Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

This application is a continuation of United States Patent Application No. 10/991,774 filed on November 18, 2004.

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Background of the Invention

This invention relates to spark ignition gasoline engines utilizing an antiknock agent which is a liquid fuel with a higher octane number than gasoline such as ethanol to improve engine efficiency.

10 It is known that the efficiency of spark ignition (SI) gasoline engines can be increased by high compression ratio operation and particularly by engine downsizing. The engine downsizing is made possible by the use of substantial pressure boosting from either turbocharging or supercharging. Such pressure boosting makes it possible to obtain the same performance in a significantly smaller engine. See, J. Stokes, *et al.*, "A Gasoline Engine Concept For Improved

15 Fuel Economy – The Lean-Boost System," SAE Paper 2001-01-2902. The use of these techniques to increase engine efficiency, however, is limited by the onset of engine knock. Knock is the undesired detonation of fuel and can severely damage an engine. If knock can be prevented, then high compression ratio operation and high pressure boosting can be used to increase engine efficiency by up to twenty-five percent.

20 Octane number represents the resistance of a fuel to knocking but the use of higher octane gasoline only modestly alleviates the tendency to knock. For example, the difference between regular and premium gasoline is typically six octane numbers. That is significantly less than is needed to realize fully the efficiency benefits of high compression ratio or turbocharged operation. There is thus a need for a practical means for achieving a much higher level of octane enhancement so that engines can be operated much more efficiently.

It is known to replace a portion of gasoline with small amounts of ethanol added at the refinery. Ethanol has a blending octane number (ON) of 110 (versus 95 for premium gasoline) (see J.B. Heywood, "Internal Combustion Engine Fundamentals," McGraw Hill, 1988, p. 477) and is also attractive because it is a renewable energy, biomass-derived fuel, but the small

amounts of ethanol that have heretofore been added to gasoline have had a relatively small impact on engine performance. Ethanol is much more expensive than gasoline and the amount of ethanol that is readily available is much smaller than that of gasoline because of the relatively limited amount of biomass that is available for its production. An object of the present invention

5 is to minimize the amount of ethanol or other antiknock agent that is used to achieve a given level of engine efficiency increase. By restricting the use of ethanol to the relatively small fraction of time in an operating cycle when it is needed to prevent knock in a higher load regime and by minimizing its use at these times, the amount of ethanol that is required can be limited to a relatively small fraction of the fuel used by the spark ignition gasoline engine.

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Summary of the Invention

In one aspect, the invention is a fuel management system for efficient operation of a spark ignition gasoline engine including a source of an antiknock agent such as ethanol. An injector directly injects the ethanol into a cylinder of the engine and a fuel management system controls injection of the antiknock agent into the cylinder to control knock with minimum use of

- 15 the antiknock agent. A preferred antiknock agent is ethanol. Ethanol has a high heat of vaporization so that there is substantial cooling of the air-fuel charge to the cylinder when it is injected directly into the engine. This cooling effect reduces the octane requirement of the engine by a considerable amount in addition to the improvement in knock resistance from the relatively high octane number of ethanol. Methanol, tertiary butyl alcohol, MTBE, ETBE, and
- 20 TAME may also be used. Wherever ethanol is used herein it is to be understood that other antiknock agents are contemplated.

The fuel management system uses a fuel management control system that may use a microprocessor that operates in an open loop fashion on a predetermined correlation between octane number enhancement and fraction of fuel provided by the antiknock agent. To conserve

25 the ethanol, it is preferred that it be added only during portions of a drive cycle requiring knock resistance and that its use be minimized during these times. Alternatively, the gasoline engine may include a knock sensor that provides a feedback signal to a fuel management microprocessor system to minimize the amount of the ethanol added to prevent knock in a closed loop fashion. In one embodiment the injectors stratify the ethanol to provide non-uniform deposition within a cylinder. For example, the ethanol may be injected proximate to the cylinder walls and swirl can create a ring of ethanol near the walls.

In another embodiment of this aspect of the invention, the system includes a measure of the amount of the antiknock agent such as ethanol in the source containing the antiknock agent to control turbocharging, supercharging or spark retard when the amount of ethanol is low.

The direct injection of ethanol provides substantially a 13°C drop in temperature for every ten percent of fuel energy provided by ethanol. An instantaneous octane enhancement of at least 4 octane numbers may be obtained for every 20 percent of the engine's energy coming from the ethanol.

Brief Description of the Drawing

Fig. 1 is a block diagram of one embodiment of the invention disclosed herein.

Fig. 2 is a graph of the drop in temperature within a cylinder as a function of the fraction of energy provided by ethanol.

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Fig. 3 is a schematic illustration of the stratification of cooler ethanol charge using direct injection and swirl motion for achieving thermal stratification.

Fig. 4 is a schematic illustration showing ethanol stratified in an inlet manifold.

Fig. 5 is a block diagram of an embodiment of the invention in which the fuel

management microprocessor is used to control a turbocharger and spark retard based upon the amount of ethanol in a fuel tank.

Description of the Preferred Embodiment

With reference first to **Fig. 1**, a spark ignition gasoline engine **10** includes a knock sensor **12** and a fuel management microprocessor system **14**. The fuel management microprocessor system **14** controls the direct injection of an antiknock agent such as ethanol from an ethanol

25 tank 16. The fuel management microprocessor system 14 also controls the delivery of gasoline from a gasoline tank 18 into engine manifold 20. A turbocharger 22 is provided to improve the torque and power density of the engine 10. The amount of ethanol injection is dictated either by a predetermined correlation between octane number enhancement and fraction of fuel that is provided by ethanol in an open loop system or by a closed loop control system that uses a signal

from the knock sensor 12 as an input to the fuel management microprocessor 14. In both situations, the fuel management processor 14 will minimize the amount of ethanol added to a cylinder while still preventing knock. It is also contemplated that the fuel management microprocessor system 14 could provide a combination of open and closed loop control.

- 5 As show in **Fig. 1** it is preferred that ethanol be directly injected into the engine **10**. Direct injection substantially increases the benefits of ethanol addition and decreases the required amount of ethanol. Recent advances in fuel injector and electronic control technology allows fuel injection directly into a spark ignition engine rather than into the manifold **20**. Because ethanol has a high heat of vaporization there will be substantial cooling when it is directly
- 10 injected into the engine 10. This cooling effect further increases knock resistance by a considerable amount. In the embodiment of Fig. 1 port fuel injection of the gasoline in which the gasoline is injected into the manifold rather than directly injected into the cylinder is preferred because it is advantageous in obtaining good air/fuel mixing and combustion stability that are difficult to obtain with direct injection.

Ethanol has a heat of vaporization of 840kJ/kg, while the heat of vaporization of gasoline is about 350kJ/kg. The attractiveness of ethanol increases when compared with gasoline on an energy basis, since the lower heating value of ethanol is 26.9MJ/kg while for gasoline it is about 44MJ/kg. Thus, the heat of vaporization per Joule of combustion energy is 0.031 for ethanol and 0.008 for gasoline. That is, for equal amounts of energy the required heat of vaporization of ethanol is about four times higher than that of gasoline. The ratio of the heat of vaporization per Joule of the heat of vaporization per Joule of the heat of vaporization of ethanol is about four times higher than that of gasoline. The ratio of the heat of vaporization per Joule of the heat of vaporization per Joule of the heat of vaporization of ethanol is about four times higher than that of gasoline. The ratio of the heat of vaporization per Joule of the heat of vaporization per Joule of the heat of vaporization per Joule of the heat of vaporization of ethanol is about four times higher than that of gasoline. The ratio of the heat of vaporization per Joule of the heat of vaporization

- unit air required for stoichiometric combustion is about 94 kJ/kg of air for ethanol and 24 kJ/kg of air for gasoline, or a factor of four smaller. Thus, the net effect of cooling the air charge is about four times lower for gasoline than for ethanol (for stoichiometric mixtures wherein the amount of air contains oxygen that is just sufficient to combust all of the fuel).
- In the case of ethanol direct injection according to one aspect of the invention, the charge is directly cooled. The amount of cooling due to direct injection of ethanol is shown in **Fig. 2**. It is assumed that the air/fuel mixture is stoichiometric without exhaust gas recirculation (EGR), and that gasoline makes up the rest of the fuel. It is further assumed that only the ethanol contributes to charge cooling. Gasoline is vaporized in the inlet manifold and does not

contribute to cylinder charge cooling. The direct ethanol injection provides about 13°C of cooling for each 10% of the fuel energy provided by ethanol. It is also possible to use direct injection of gasoline as well as direct injection of ethanol. However, under certain conditions there can be combustion stability issues.

5 The temperature decrement because of the vaporization energy of the ethanol decreases with lean operation and with EGR, as the thermal capacity of the cylinder charge increases. If the engine operates at twice the stoichiometric air/fuel ratio, the numbers indicated in **Fig. 2** decrease by about a factor of 2 (the contribution of the ethanol itself and the gasoline is relatively modest). Similarly, for a 20% EGR rate, the cooling effect of the ethanol decreases by about 25%.

The octane enhancement effect can be estimated from the data in **Fig. 2**. Direct injection of gasoline results in approximately a five octane number decrease in the octane number required by the engine, as discussed by Stokes, *et al*. Thus the contribution is about five octane numbers per 30K drop in charge temperature. As ethanol can decrease the charge temperature by about

- 15 120K, then the decrease in octane number required by the engine due to the drop in temperature, for 100% ethanol, is twenty octane numbers. Thus, when 100% of the fuel is provided by ethanol, the octane number enhancement is approximately thirty-five octane numbers with a twenty octane number enhancement coming from direct injection cooling and a fifteen octane number enhancement coming from the octane number of ethanol. From the above
- 20 considerations, it can be projected that even if the octane enhancement from direct cooling is significantly lower, a total octane number enhancement of at least 4 octane numbers should be achievable for every 20% of the total fuel energy that is provided by ethanol.

Alternatively the ethanol and gasoline can be mixed together and then port injected through a single injector per cylinder, thereby decreasing the number of injectors that would be used. However, the air charge cooling benefit from ethanol would be lost.

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Alternatively the ethanol and gasoline can be mixed together and then port fuel injected using a single injector per cylinder, thereby decreasing the number of injectors that would be used. However, the substantial air charge cooling benefit from ethanol would be lost. The

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volume of fuel between the mixing point and the port fuel injector should be minimized in order to meet the demanding dynamic octane-enhancement requirements of the engine.

Relatively precise determinations of the actual amount of octane enhancement from given amounts of direct ethanol injection can be obtained from laboratory and vehicle tests in addition to detailed calculations. These correlations can be used by the fuel management microprocessor system 14.

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An additional benefit of using ethanol for octane enhancement is the ability to use it in a mixture with water. Such a mixture can eliminate the need for the costly and energy consuming water removal step in producing pure ethanol that must be employed when ethanol is added to

10 gasoline at a refinery. Moreover, the water provides an additional cooling (due to vaporization) that further increases engine knock resistance. In contrast the present use of ethanol as an additive to gasoline at the refinery requires that the water be removed from the ethanol.

Since unlike gasoline, ethanol is not a good lubricant and the ethanol fuel injector can stick and not open, it is desirable to add a lubricant to the ethanol. The lubricant will also denature the ethanol and make it unattractive for human consumption.

Further decreases in the required ethanol for a given amount of octane enhancement can be achieved with stratification (non-uniform deposition) of the ethanol addition. Direct injection can be used to place the ethanol near the walls of the cylinder where the need for knock reduction is greatest. The direct injection may be used in combination with swirl. This

20 stratification of the ethanol in the engine further reduces the amount of ethanol needed to obtain a given amount of octane enhancement. Because only the ethanol is directly injected and because it is stratified both by the injection process and by thermal centrifugation, the ignition stability issues associated with gasoline direct injection (GDI) can be avoided.

It is preferred that ethanol be added to those regions that make up the end-gas and are prone to auto-ignition. These regions are near the walls of the cylinder. Since the end-gas contains on the order of 25% of the fuel, substantial decrements in the required amounts of ethanol can be achieved by stratifying the ethanol.

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In the case of the engine **10** having substantial organized motion (such as swirl), the cooling will result in forces that thermally stratify the discharge (centrifugal separation of the regions at different density due to different temperatures). The effect of ethanol addition is to increase gas density since the temperature is decreased. With swirl the ethanol mixture will

automatically move to the zone where the end-gas is, and thus increase the anti-knock effectiveness of the injected ethanol. The swirl motion is not affected much by the compression stroke and thus survives better than tumble-like motion that drives turbulence towards top-dead-center (TDC) and then dissipates. It should be pointed out that relatively modest swirls result in large separating (centrifugal) forces. A 3m/s swirl motion in a 5cm radius cylinder generates accelerations of about 200m/s², or about 20g's.

Fig. 3 illustrates ethanol direct injection and swirl motion for achieving thermal stratification. Ethanol is predominantly on an outside region which is the end-gas region. **Fig. 4** illustrates a possible stratification of the ethanol in an inlet manifold with swirl motion and thermal centrifugation maintaining stratification in the cylinder. In this case of port injection of ethanol, however, the advantage of substantial charge cooling may be lost.

With reference again to **Fig. 2**, the effect of ethanol addition all the way up to 100% ethanol injection is shown. At the point that the engine is 100% direct ethanol injected, there may be issues of engine stability when operating with only stratified ethanol injection that need to be addressed. In the case of stratified operation it may also be advantageous to stratify the injection of gasoline in order to provide a relatively uniform equivalence ratio across the cylinder (and therefore lower concentrations of gasoline in the regions where the ethanol is injected). This situation can be achieved, as indicated in **Fig. 4**, by placing fuel in the region of the inlet

manifold that is void of ethanol.

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The ethanol used in the invention can either be contained in a separate tank from the gasoline or may be separated from a gasoline/ethanol mixture stored in one tank.

The instantaneous ethanol injection requirement and total ethanol consumption over a drive cycle can be estimated from information about the drive cycle and the increase in torque (and thus increase in compression ratio, engine power density, and capability for downsizing) that is desired. A plot of the amount of operating time spent at various values of torque and

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engine speed in FTP and US06 drive cycles can be used. It is necessary to enhance the octane number at each point in the drive cycle where the torque is greater than permitted for knock free operation with gasoline alone. The amount of octane enhancement that is required is determined by the torque level.

- 5 A rough illustrative calculation shows that only a small amount of ethanol might be needed over the drive cycle. Assume that it is desired to increase the maximum torque level by a factor of two relative to what is possible without direct injection ethanol octane enhancement. Information about the operating time for the combined FTP and US06 cycles shows that approximately only 10 percent of the time is spent at torque levels above 0.5 maximum torque
- 10 and less than 1 percent of the time is spent above 0.9 maximum torque. Conservatively assuming that 100 % ethanol addition is needed at maximum torque and that the energy fraction of ethanol addition that is required to prevent knock decreases linearly to zero at 50 percent of maximum torque, the energy fraction provided by ethanol is about 30 percent. During a drive cycle about 20 percent of the total fuel energy is consumed at greater than 50 percent of
- 15 maximum torque since during the 10 percent of the time that the engine is operated in this regime, the amount of fuel consumed is about twice that which is consumed below 50 percent of maximum torque. The amount of ethanol energy consumed during the drive cycle is thus roughly around 6 percent (30 percent x 0.2) of the total fuel energy.
- In this case then, although 100% ethanol addition was needed at the highest value of 20 torque, only 6% addition was needed averaged over the drive cycle. The ethanol is much more effectively used by varying the level of addition according to the needs of the drive cycle. Because of the lower heat of combustion of ethanol, the required amount of ethanol would be about 9% of the weight of the gasoline fuel or about 9% of the volume (since the densities of ethanol and gasoline are comparable). A separate tank with a capacity of about 1.8 gallons
- 25 would then be required in automobiles with twenty gallon gasoline tanks. The stored ethanol content would be about 9% of that of gasoline by weight, a number not too different from present-day reformulated gasoline. Stratification of the ethanol addition could reduce this amount by more than a factor of two. An on-line ethanol distillation system might alternatively be employed but would entail elimination or reduction of the increase torque and power available
- 30 from turbocharging.

Because of the relatively small amount of ethanol and present lack of an ethanol fueling infrastructure, it is important that the ethanol vehicle be operable if there is no ethanol on the vehicle. The engine system can be designed such that although the torque and power benefits would be lower when ethanol is not available, the vehicle could still be operable by reducing or

5 eliminating turbocharging capability and/or by increasing spark retard so as to avoid knock. As shown in Fig. 5, the fuel management microprocessor system 14 uses ethanol fuel level in the ethanol tank 16 as an input to control the turbocharger 22 (or supercharger or spark retard, not shown). As an example, with on-demand ethanol octane enhancement, a 4-cylinder engine can produce in the range of 280 horsepower with appropriate turbocharging or supercharging but
10 could also be drivable with an engine power of 140 horsepower without the use of ethanol according to the invention.

The impact of a small amount of ethanol upon fuel efficiency through use in a higher efficiency engine can greatly increase the energy value of the ethanol. For example, gasoline consumption could be reduced by 20% due to higher efficiency engine operation from use of a

- 15 high compression ratio, strongly turbocharged operation and substantial engine downsizing. The energy value of the ethanol, including its value in direct replacement of gasoline (5% of the energy of the gasoline), is thus roughly equal to 25% of the gasoline that would have been used in a less efficient engine without any ethanol. The 5% gasoline equivalent energy value of ethanol has thus been leveraged up to a 25% gasoline equivalent value. Thus, ethanol can cost
- 20 roughly up to five times that of gasoline on an energy basis and still be economically attractive. The use of ethanol as disclosed herein can be a much greater value use than in other ethanol applications.

Although the above discussion has featured ethanol as an exemplary anti-knock agent, the same approach can be applied to other high octane fuel and fuel additives with high

25 vaporization energies such as methanol (with higher vaporization energy per unit fuel), and other anti-knock agents such as tertiary butyl alcohol, or ethers such as methyl tertiary butyl ether (MTBE), ethyl tertiary butyl ether (ETBE), or tertiary amyl methyl ether (TAME).

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It is recognized that modifications and variations of the invention disclosed herein will be apparent to those of ordinary skill in the art and it is intended that all such modifications and variations be included within the scope of the appended claims.

What is claimed is:

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| 1 | | CLAIMS |
|----------------------------|----|--|
| 2 3 4 5 | 1. | A turbocharged or supercharged spark ignition engine system which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid ethanol from a second source comprising: |
| 6 | | a spark ignition engine; |
| 7 | | a turbocharger or supercharger; |
| 8 | | means for port fuel injection of gasoline from the first source; |
| 9 | | means for direct fuel injection of liquid ethanol from the second source; |
| 10 11 | | wherein during part of the engine operating time, the engine is powered both by gasoline that is port fuel injected and ethanol that is directly injected; and |
| 12 13 14 | | a fuel management system which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock |
| 15 16 17 18 | 2. | The engine system of claim 1 wherein the ethanol is denatured and further wherein during part of the operating time the instantaneous ethanol energy fraction is at least 20% and the engine is operated with a substantially stoichiometric fuel/air ratio |
| 19 20 21 22 23 | 3. | The engine system of claims 1 or 2 wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers. |
| 24 25 26 27 28 | 4. | The engine system of claims 1 or 2 wherein the level of turbocharging or supercharging is reduced or the turbocharging or supercharging is eliminated if there is no ethanol in the second source and where the engine can be operated without knock without the use of ethanol |
| 29 30 31 | 5. | The engine system of claims 1 or 2 wherein the fuel management system controls ethanol use by employing information from a knock detector |
| 32 33 34 35 | 6. | The engine system of claims 1 or 2 wherein the fuel management system includes a microprocessor that provides open loop control of the fraction of the total engine power that is provided by ethanol |
| 36 37 | 7. | The engine system of claims 1 or 2 wherein both a knock detector and the open loop control are used to determine the ethanol fraction required to prevent knock. |

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| 1 | | |
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| 2 3 4 | 8. The engine system of claim ethanol in the second source | as1 or 2 wherein spark retard is increased when the amount of e is low or not available |
| 5 6 | 9. The engine system of claim | as 1 or 2 wherein the ethanol is mixed with a lubricant |
| 7 8 9 | ÷ . | as 1 or 2 wherein the ethanol is injected so it is non uniformly ounts towards the walls of the cylinder |
| 10 11 12 | 11. The engine system of claim injection in combination w | 10 wherein the non-uniform distribution is obtained by direct ith charge swirl |
| 13 14 15 16 | | ns 1 or 2 wherein the amount of ethanol used for a given amount educed when it is injected so that it is non uniformly distributed ds the walls of the cylinder |
| 17 18 19 | 13. The engine system of claim the vehicle | as 1 or 2 wherein the ethanol is separated from gasoline on board |
| 20 21 22 23 | | as 1 or 2 wherein the fuel management system includes a ethanol fuel level in the second source to control the er |
| 24 25 26 | | ns 1 or 2 wherein the fuel management system is used to anol required over a drive cycle to prevent knock |
| 27 28 29 30 | | as 1 or 2 wherein the ethanol is injected in such an amount so as to engine at at least twice the knock free torque attainable when no |
| 31 32 33 34 | | n 16 wherein by use of both a knock detector and open loop nt system limits the required ethanol energy fraction to less than 6 |
| 35 36 37 | 18. The engine system of claim is at least doubled by ethan | as 1 or 2 wherein the maximum horsepower of a given size engine nol octane enhancement |
| 38 39 40 | used to increase efficiency | as 1 or 2 wherein downsizing and higher compression ratio are relative to a larger size engine which uses port fuel injection of s the same maximum horsepower |

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| 2 3 4 | 20. The engine system of claim1 wherein the ethanol energy fraction is at least 20 % and the engine is operated with a substantially stoichiometric fuel/air ratio |
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| 9 | |
| 10 | |
| 11 12 13 | 21. A turbocharged or supercharged spark ignition engine system which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid methanol from a second source comprising: |
| 14 | a spark ignition engine; |
| 15 | a turbocharger or supercharger; |
| 16 | means for port fuel injection of gasoline from the first source; |
| 17 | means for direct fuel injection of liquid methanol from the second source; |
| 18 19 | wherein during part of the engine operating time, the engine is powered both by gasoline that is port fuel injected and methanol that is directly injected; and |
| 20 21 22 | a fuel management system which increases the methanol energy fraction with increasing torque so that it is sufficient to prevent knock |
| 23 24 25 26 | 22. The engine system of claim 21 wherein during part of the operating time the instantaneous methanol energy fraction is at least 20%.and the engine is operated with a substantially stoichiometric fuel/air ratio |
| 27 28 29 30 | 23. The engine system of claim 21 wherein the fuel management system includes a micorprocessor which uses methanol fuel level in the second source to control the turbobocharger or supercharger |
| 31 32 33 34 35 | 24. The engine system of claim 21 wherein the level of turbocharging or supercharging is reduced or the turbocharging or supercharging is eliminated if there is no methanol in the second source and where the engine can be operated without knock without the use of denatured methanol |

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| 1 2 3 | 25. The engine system of claim 21 wherein the fuel management system controls methanol use by employing information from a knock detector |
|------------------|---|
| 4 5 6 7 | 26. The engine system of claim 21 wherein the fuel management system includes a microprocessor that provides open loop control of the fraction of the total engine power that is provided by methanol |
| 8 9 10 | 27. The engine system of claim 21 wherein both a knock detector and the open loop control are used to determine the methanol fraction required to prevent knock. |
| 11 12 13 | 28. The engine system of claim 21 wherein spark retard is increased when the amount of methanol in the second source is low or not available |
| 14 15 | 29. The engine system of claim 21 wherein the methanol is mixed with a lubricant |
| 16 17 18 | 30. The engine system of claim 21 wherein the methanol is injected so it is non uniformly distributed with greater amounts towards the walls of the cylinder |
| 19 | 31. The engine system of claim 30 wherein the non-uniform distribution is obtained by |
| 20 | direct injection in combination with charge swirl |
| 21 | |
| 22 | 32. The engine system of claim 21 wherein the amount of methanol used for a given |
| 23 | amount of octane enhancement is reduced when it is injected so that it is non uniformly |
| 24 | distributed with greater amounts towards the walls of the cylinder |
| 25 | |
| 26 | 33 The engine system of claim 21 wherein the methanol is separated from gasoline on |
| 27 | board the vehicle |
| 28 | |
| 29 | |
| 30 | |
| 31 | 34. The engine system of claim 21 wherein the level of turbocharging or supercharging is |
| 32 | determined by measurement of the amount of methanol in the second source |
| 33 | |

35. A turbocharged or supercharged, spark ignition engine system which uses fueling of
gasoline from a first source in addition to direct fuel injection of liquid ethanol from a second
source comprising:

| 4 | a spark ignition engine; |
|----------------|--|
| 5 | a turbocharger or supercharger; |
| 6 | means for fueling the engine with gasoline from the first source; |
| 7 | means for direct fuel injection of liquid ethanol from the second source; |
| 8 9 | wherein during part of the engine operating time, the engine is powered both by gasoline that is direct fuel injected and ethanol that is directly injected; and |
| 10 11 12 | a fuel management system which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock. |
| 13 | 36. The engine system of claim 35 wherein the ethanol is denatured and further wherein |
| 14 | during part of the operating time the instantaneous ethanol energy fraction is at least 20%; and |
| 15 | the engine is operated with a subsatuailly stoichiometric fuel/air ratio |
| 16 | |
| 17 | 37. The engine system of claim 36 wherein the the ethanol is directly injected in such an |
| 18 | amount that the evaporative cooling of the fuel/air charge by the directly injected ethanol |
| 19 | combined with the higher octane of number of the ethanol enhances the octane number by at |
| 20 | least 20 octane numbers |
| 21 | |
| 22 | 38. The engine system of claim 36 wherein the level of turbocharging or supercharging is |
| 23 | reduced or the turbocharging or supercharging is eliminated if there is no ethanol in the second |
| 24 | source and where the engine can be operated without knock without the use of denatured ethanol |
| 25 | |
| 26 | 39. The engine system of claim 36 wherein the fuel management system includes a |
| 27 | micrpocessor and controls ethanol use by employing information from a knock detector |
| 28 | |
| 29 | 40. The engine system of claim 36 wherein the fuel management system includes a |
| 30 | microprocessor that provides open loop control of the fraction of the total engine power that is |
| 31 | provided by ethanol |

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| 2 | 41. The engine system of claim 36 wherein both a knock detector and the open loop |
| 3 | control are used to determine the ethanol fraction required to prevent knock. |
| 4 | |
| 5 | 42. The engine system of claim 36 wherein spark retard is increased when the amount of |
| 6 | ethanol in the second source is low or not available |
| 7 | |
| 8 | 43. The engine system of claim 36 wherein the ethanol is mixed with a lubricant |
| 9 | |
| 10 | 44. The engine system of claim 36 wherein the ethanol is injected so it is non uniformly |
| 11 | distributed with greater amounts towards the walls of the cylinder |
| 12 | |
| 13 | 45. The engine system of claim 44 wherein the non-uniform distribution is obtained by |
| 14 | direct injection in combination with charge swirl |
| 15 | |
| 16 | 46. The engine system of claim 36 wherein the amount of ethanol used for a given |
| 17 | amount of octane enhancement is reduced when it is injected so that it is non uniformly |
| 18 | distributed with greater amounts towards the walls of the cylinder |
| 19 | |
| 20 | 47 The engine system of claim 36 wherein the ethanol is separated from gasoline on |
| 21 | board the vehicle |
| 22 | |
| 23 | 48. The engine system of claim 36 wherein the fuel management system includes a |
| 24 | turbocharger which uses ethanol fuel level in the second source to control the truvbocharger or |
| 25 | supercharger |
| 26 | |
| 27 | 49. The engine system of claim 36 wherein the fuel management system is used to |
| 28 | minimize the amount of ethanol required over a drive cycle to prevent knock |
| 29 | |

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| 1 | 50. The engine system of claim 36 wherein the ethanol is injected in such an amount so as |
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| 2 | to allow operation of a given engine at at least twice the knock free torque attainable when no |
| 3 | ethanol is used |
| 4 | |
| 5 | 51. The engine system of claim 50 wherein by use of both knock detector and open |
| 6 | loop control the fuel management system limits the required ethanol energy fraction to less than |
| 7 | 6 % over a drive cycle |
| 8 | |
| 9 | 52. The engine system of claim 36 wherein the maximum horsepower of a given size |
| 10 | engine is at least doubled by ethanol octance enhancement. |
| 11 | |
| 12 | 53. The engine system of claim 36 wherein downsizing and higher compression ratio are |
| 13 | used to increase efficiency relative to a larger size engine which uses port fuel injection of |
| 14 | gasoline alone and provides the same maximum horsepower |
| 15 | |
| 16 | 54. The engine system of claim 36 wherein the the ethanol energy fraction is at least |
| 17 | 20% and the engine is operated with a substantially stocihiometric fuel/air ratio |
| 18 | |
| 19 20 21 | 55. A turbocharged or supercharged spark ignition engine system which uses fuel injection of gasoline from a first source in addition to direct fuel injection of liquid methanol from a second source comprising: |
| 22 | a spark ignition engine; |
| 23 | a turbocharger or supercharger; |
| 24 | means for fueling the engine with gasoline from the first source; |
| 25 | means for direct fuel injection of liquid methanol from the second source; |
| 26 27 | wherein during part of the engine operating time, the engine is powered both by gasoline that is direct fuel injected and methanol that is directly injected; and |
| 28 29 30 | a fuel management system which increases the methanol energy fraction with increasing torque so that it is sufficient to prevent knock |

| 1 | 56 The engine system of claim 55 wherein during part of the operating time the |
|----|---|
| 2 | instantaneous methanol energy fraction is at least 20%; |
| 3 | |
| 4 | 57. The engine system of claim 56 wherein the turbocharged or supercharged direct |
| 5 | injection spark ignition engine is operated with at substantially stoichiometric ratio of the |
| 6 | gasoline plus methanol to air |
| 7 | |
| 8 | 58. The engine system of claim 57 wherein the level of turbocharging or supercharging is |
| 9 | reduced or the turbocharging or supercharging is eliminated if there is no methanol in the second |
| 10 | source and where the engine can be operated without knock without the use of methanol |
| 11 | |
| 12 | 59The engine system of claim 57 wherein the fuel management system includes a |
| 13 | microprocessor and controls methanol use by employing information from a knock detector |
| 14 | |
| 15 | 60. The engine system of claim 57 wherein the fuel management system includes a |
| 16 | microprocessor that provides open loop control of the fraction of the total engine power that is |
| 17 | provided by methanol |
| 18 | |
| 19 | 61. The engine system of claim 57 wherein both a knock detector and the open loop |
| 20 | control are used to determine the methanol fraction required to prevent knock. |
| 21 | |
| 22 | 62 The engine system of claim 57 wherein spark retard is increased when the amount of |
| 23 | methanol in the second source is low or not available |
| 24 | |
| 25 | 63. The engine system of claim 57 wherein the methanol is mixed with a lubricant |
| 26 | |
| 27 | 64. The engine system of claim 57 wherein the methanol is injected so it is non uniformly |
| 28 | distributed with greater amounts towards the walls of the cylinder |
| 29 | |
| 30 | 65 .The engine system of claim 64 wherein the non-uniform distribution is obtained by |
| 31 | direct injection in combination with charge swirl |

Page 18 of 21

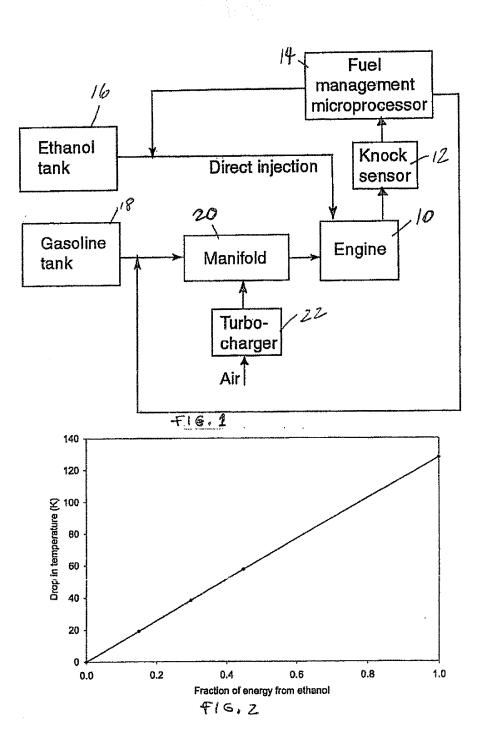
| 1 | |
|----|---|
| 2 | 66. The engine system of claim 57 wherein the amount of methanol used for a given |
| 3 | amount of octane enhancement is reduced when it is injected so that it is non uniformly |
| 4 | distributed with greater amounts towards the walls of the cylinder |
| 5 | |
| 6 | 67. The engine system of claim 57 wherein the methanol is separated from gasoline on |
| 7 | board the vehicle |
| 8 | |
| 9 | 68The engine system of claim 57 wherein the fuel management system uses methanol |
| 10 | fuel level in the second source to control the turbocharger or supercharger |
| 11 | |
| 12 | 69. The engine system of claim 57 wherein the fuel management system minimizes the |
| 13 | methanol required over a drive cycle to prevent knock |
| 14 | |
| 15 | 70. A turbocharged or supercharged spark igntion engine system which is partly or |
| 16 | completely fueled with directly injected mixtures of gasoline with ethanol or methanol and |
| 17 | wherein the directly injected fuel is non uniformly distributed with greater amounts towards the |
| 18 | walls of the cylinder |
| 19 | |
| 20 | 71. The engine system of claim 70 wherein the engine has substantial organized motion |
| 21 | such as swirl |
| 22 | |
| 23 | 72. The engine system of claims 70 or 71 wherein the directly injected fuel is injected |
| 24 | proximate to the cylinder wall and swirl creates a ring of this fuel |
| 25 | |
| 26 | 72. The engine system of claims 70 or 71 where the ethanol or methanol energy fraction |
| 27 | used for a given level of octane enhancement is reduced from the energy fraction that is required |
| 28 | when the directly injected fuel is not non uniformly distributed with greater amounts toward the |
| 29 | wall of the cylinder |
| 30 | |

- 1 73. The engine system of claims 70 or 71 where the level of turbocharging or supercharging is
- 2 determined by the ethanol or methanol energy fraction
- 3

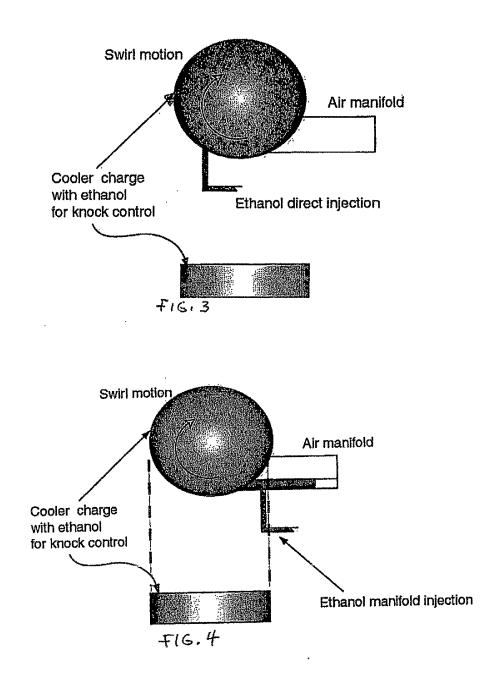
Abstract of the Disclosure

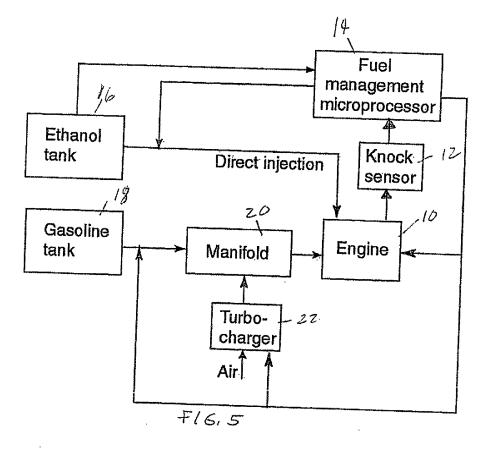
Fuel management system for efficient operation of a spark ignition gasoline engine. Injectors inject an anti-knock agent such as ethanol directly into a cylinder of the engine. A fuel management microprocessor system controls injection of the anti-knock agent so as to control knock and minimize that amount of the anti-knock agent that is used in a drive cycle. It is preferred that the anti-knock agent is ethanol. The use of ethanol can be further minimized by injection in a non-uniform manner within a cylinder. The ethanol injection suppresses knock so that higher compression ratio and/or engine downsizing from increased turbocharging or supercharging can be used to increase the efficiency of the engine.

Page 21 of 21



FORD Ex. 1120, page 22 IPR2020-00013





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| Application Da | ta Sheet 37 CFR 1.76 | Attorney Docket Number | 0492611-07XX | | | |
|---|----------------------------|---|--------------|--|--|--|
| | ita Sheet 37 CFR 1.70 | Application Number | | | | |
| Title of Invention | Fuel Management System for | r Variable Ethanol Octane Enhancement of Gasoline Engines | | | | |
| The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. | | | | | | |

Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Applicant Information:

| Applic | ant 1 | | | | | | | | | | Remove | |
|--|------------------|-----------|------|--------------------------------------|----------------------------------|-----------|------------------------------|-------------|-----------|------------------|-----------------------|----------|
| Applic | ant Authority 🖲 | Inventor | OLe | egal | Representative | e under 3 | 5 U.S.(| C. 11 | 7 (| ⊖Party of In | terest under 35 U.S. | C. 118 |
| Prefix | | | | | Middle Name | | | Family Name | | | Suffix | |
| | Daniel | | | | R. | | | | Cohn | | | |
| Resid | lence Informatio | n (Select | One) | \odot | US Residency | у () | Non U | S Res | sidency | Active | e US Military Service | |
| City | Cambridge | | | Sta | ate/Province | e MA | MA Country of Residence i US | | | US | | |
| Citize | nship under 37 C | FR 1.41(I | b)i | US | ; | | | | | | | |
| Mailin | g Address of Ap | plicant: | | | | | | | | | | |
| Addre | ss 1 | | | | | | | | | | | |
| Addre | ss 2 | | | | | | | | | | | |
| City | | | | | | s | tate/Pr | rovir | nce | | | |
| Posta | l Code | | | | | Countr | yi | | | | | |
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| Applic | | Inventor | ΩLe | eaal | Representative | e under 3 | 5 U.S.(| C. 11 | 7 (|) Partv of In | terest under 35 U.S. | C. 118 |
| Applicant Authority <a>Inventor LegalPrefixGiven Name | | | | Middle Name | | | Family Name | | | Suffix | | |
| Пепх | Leslie | | | | | | | | omberg | | Jam | |
| Resid | lence Informatio | n (Select | One) | | US Residency () Non US Residency | | | 8 | | | | |
| City | Sharon | | | | ate/Province | | | | | | | |
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| Applic | | | | | | | | | - 1 | <u></u> | | <u> </u> |
| | | | egai | al Representative under 35 U.S.C. 11 | | | C. 11 | | | | | |
| Prefix | | | | | | | | | mily Name | | Suffix | |
| John | | | | | | | | | Heywood | | | |
| Residence Information (Select One) US Residency Non US Residency | | | | | | | e US Military Service | | | | | |
| City | V Newtonville S | | | | ate/Province | e MA | Co | untr | y of Re | sidence i | US | |

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PTO/SB/14 (07-07) Approved for use through 06/30/2010. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Application Data Sheet 37 CFR 1.76 | | | Attorney Docket Number | 0492611-07XX | | | | |
|---|----------------------|----|-------------------------------|-----------------------------|--|--|--|--|
| | | | Application Number | | | | | |
| Title of Invention Fuel Management System for | | | Variable Ethanol Octane Enhan | ncement of Gasoline Engines | | | | |
| | | | | | | | | |
| Citizenship under | • 37 CFR 1.41(b) i և | JS | | | | | | |
| Mailing Address of Applicant: | | | | | | | | |

| Address 1 | | | | | | |
|---|----|--|-----|-------------------|------------|--|
| Address 2 | 2 | | | | | |
| City | | | | State | e/Province | |
| Postal Co | de | | Cou | ntry ⁱ | | |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. | | | | | | |

Correspondence Information:

| Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a). | | | | | |
|---|--|-----------|--------------|--|--|
| An Address is being | An Address is being provided for the correspondence Information of this application. | | | | |
| Customer Number | Customer Number 24280 | | | | |
| Email Address | bo@choate.com | Add Email | Remove Email | | |

Application Information:

| Title of the Invention | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | | | |
|---|--|--|----------------------------|-------------------|
| Attorney Docket Number | 0492611-07XX | | Small Entity Status Claime | ed 🗙 |
| Application Type | Nonprovisional | | | |
| Subject Matter | Utility | | | |
| Suggested Class (if any) | | | Sub Class (if any) | |
| Suggested Technology Center (if any) | | | · · · · | |
| Total Number of Drawing Sheets (if any) | | | Suggested Figure for Publ | lication (if any) |
| | | | | |

Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.
 C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

| Please Select One: • Customer Number | O US Patent Practitioner | Limited Recognition (37 CFR 11.9) |
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| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 0492611-07XX |
|---|--|-------------------------------|-----------------------------|
| | | Application Number | |
| Title of Invention Fuel Management System for | | Variable Ethanol Octane Enhar | ncement of Gasoline Engines |
| Customer Number 24280 | | | |

Domestic Benefit/National Stage Information:

| This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification. | | | | | |
|--|-----------------|--------------------------|--------------------------|--|--|
| Prior Application Status Pending Remove | | | | | |
| Application Number | Continuity Type | Prior Application Number | Filing Date (YYYY-MM-DD) | | |
| Continuation of 10/991774 2004-11-18 | | | | | |
| Additional Domestic Benefit/National Stage Data may be generated within this form Add | | | | | |

Foreign Priority Information:

| This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a). | | | | |
|---|----------------------|---------------------------------|------------------|--|
| | Remove | | | |
| Application Number | Country ⁱ | Parent Filing Date (YYYY-MM-DD) | Priority Claimed | |
| ● Yes ○ No | | | | |
| Additional Foreign Priority Data may be generated within this form by selecting the | | | | |

Add button.

Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

| Assignee 1 | | | Remove |
|---|-----------------------------------|----------------|--------|
| If the Assignee is an O | rganization check here. | | |
| Organization Name | Massachusetts Institute of Techno | ology | |
| Mailing Address Infor | mation: | | |
| Address 1 | 77 Massachusetts Avenue | | |
| Address 2 | | | |
| City | Cambridge | State/Province | МА |
| Country ⁱ US | | Postal Code | 02139 |
| Phone Number | | Fax Number | |
| Email Address | | · | |
| Additional Assignee Data may be generated within this form by selecting the Add Add | | | |

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

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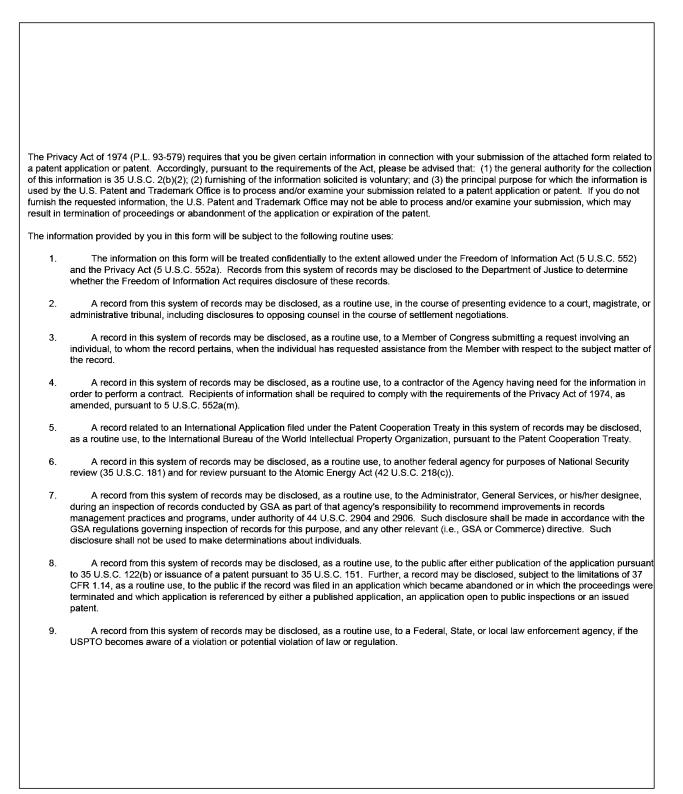
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Application Data Sheet 37 CFR 1.76 | | ta Shoot 37 CED 1 76 | Attorney Docket Number | 0492611-07XX | |
|--|--|-------------------------------|----------------------------|--------------|--|
| | | | Application Number | | |
| Title of Invention Fuel Management System for Vari | | Variable Ethanol Octane Enhar | ncement of Gasoline Engine | s | |
| Signature /SamPasternack/ | | Date (YYYY-MM-DD) | 2007-08-17 | | |

| Signature | /SamPasternack/ | | | | 2007-08-17 |
|------------|-----------------|-----------|------------|---------------------|------------|
| First Name | Sam | Last Name | Pasternack | Registration Number | 29576 |
| | • | | | | |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement



DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

the specification of which (I authorize Choate, Hall & Stewart to check one of the following three choices, and fill in the blanks, if applicable):

_____ is attached hereto

<u>X</u> was filed on <u>November 18, 2004</u> as Application Serial No. <u>10/991,774</u> and amended on ______ (if applicable).

 was filed as PCT international application No.

 on
 and was amended under PCT Article 19

 on
 (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Ap | oplication(s): | Priority Claimed | ł |
|------------------|----------------|------------------------|--------|
| (Number) | (Country) | (Day/Month/Year/Filed) | Yes No |
| (Number) | (Country) | (Day/Month/Year/Filed) | Yes No |

Page 1 of 3

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| (Application Serial No.) | (filing date) | (status-patented, pending, abandoned) |
|------------------------------|-------------------------|---------------------------------------|
| (Application Serial No.) | (filing date) | (status-patented, pending, abandoned) |
| PCT Applications designation | ting the United States: | |
| (PCT Appl. No.) | (U.S.S.N.) | (status-patented, pending, abandoned) |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

ł

| (Application Serial No.) | (filing date) | (status) | |
|--------------------------|---------------|----------|--|
| (Application Serial No.) | (filing date) | (status) | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Attorney Docket No.: 0492611-0598

| Full name of first inventor Daniel R. Cohn |
|---|
| Inventor's signature Daniel R. Col Date: 2/7/05 |
| Inventor's signature Daniel R. Col Date: 2/7/65 Residence 26 Walnut St Chesnut Hill MY 02467 |
| Citizenship |
| Post Office Address Technology Licensing Office, Massachusetts Institute of Technology, |
| Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493 |

| Full name of second inventor Leslie Bromberg |
|---|
| Inventor's signature Laber Boundary Date: 2705 |
| Residence 176 Wildure Mr, Sharon MA 02067 |
| Citizenship <u>US</u> |
| Post Office Address <u>Technology Licensing Office, Massachusetts Institute of Technology</u> . |
| Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493 |

| Full name of third inventor John B. Heywood |
|---|
| Inventor's signature And Haymond Date: 2/7/05 |
| Residence 215 MUU Street Newton MA 02460 |
| Citizenship USA. |
| Post Office Address Technology Licensing Office, Massachusetts Institute of Technology, |
| Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493 |
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Attorney Docket No.: 0492611-0598

| Electronic Acl | knowledgement Receipt |
|--------------------------------------|---|
| EFS ID: | 2097666 |
| Application Number: | 11840719 |
| International Application Number: | |
| Confirmation Number: | 1817 |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines |
| First Named Inventor/Applicant Name: | Daniel R. Cohn |
| Customer Number: | 24280 |
| Filer: | Sam Pasternack/Elizabeth Burke |
| Filer Authorized By: | Sam Pasternack |
| Attorney Docket Number: | 0492611-07XX |
| Receipt Date: | 17-AUG-2007 |
| Filing Date: | |
| Time Stamp: | 16:38:58 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| Submitted with Payment | no |
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File Listing:

| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) |
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| 4 | Oath or Declaration filed | MIT11381CONDeclaration.p | 122295 | no | 3 | | | | |
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| Information | : | | | | | | | | |
| | | Total Files Size (in bytes) | 15 | 66312 | | | | | |
| characterize similar to a <u>New Applica</u> If a new app 37 CFR 1.53 shown on th <u>National Sta</u> If a timely so of 35 U.S.C. application in due course <u>New Interna</u> If a new inter components International course, sub | wledgement Receipt evidences receipt by the applicant, and including Post Card, as described in MPEP ations Under 35 U.S.C. 111 blication is being filed and the app 8(b)-(d) and MPEP 506), a Filing Re his Acknowledgement Receipt will age of an International Application ubmission to enter the national str 371 and other applicable requirer as a national stage submission ur se. ational Application Filed with the U ernational application is being filed s for an international filing date (s al Application Number and of the la ject to prescriptions concerning n establish the international filing date | page counts, where applic 503. lication includes the neces ceipt (37 CFR 1.54) will be establish the filing date of under 35 U.S.C. 371 age of an international app nents a Form PCT/DO/EO/9 nder 35 U.S.C. 371 will be is <u>ISPTO as a Receiving Offic</u> d and the international apple e PCT Article 11 and MPE nternational Filing Date (Fo national security, and the data | able. It serves as e sary components fo issued in due cours the application. lication is complian 03 indicating accep ssued in addition to <u>e</u> lication includes the P 1810), a Notification orm PCT/RO/105) wil | vidence of or a filing d se and the otance of th the Filing e necessar on of the Il be issued | receipt late (see date conditions ne Receipt, y d in due | | | | |

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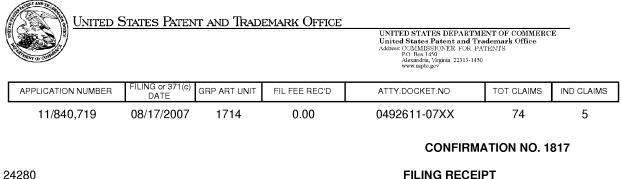
PTO/SB/06 (12-04) Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875 | | | | | | | | | 11/840,719 | | | |
|--|-------------------------------------|----------------------------------|------------|------------------------|--|--------------|--------------|-----------------|------------|----------------------------|------------------------|--|
| APPLICATION AS FILED – PART I (Column 1) (Column 2) | | | | | | | SMALL ENTITY | | | OTHER THAN SMALL ENTITY | | |
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| BAS | FOR C FEE | | NUM | IBER FILED | NUMBER EXTRA | | ATE (\$) | FEE (\$) | • | RATE (\$) | FEE (\$) | |
| 37 C | FR 1.16(a), (b), or | (c)) | | | | | | 75 | | | | |
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| | MINATION FEE | // | | • | | | | 100 | 1 | | | |
| | CFR 1.16(o), (p), or AL CLAIMS | (q)) | | · · · | | | | | 4 | L | | |
| 37 (| CFR 1.16(i)) | | 94 | minus 20 = | 74 | X | 25= | 1850 | OR | X 50 | - | |
| | PENDENT CLAIM CFR 1.16(h)) | S | 5 | minus 3 = | 2 | х | 100= | 200 | | X 200 | = | |
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| FEE | CFR 1.16(s)) | | | s or fraction there | y) for each additional of. See 35 | | | | | | | |
| 370 | JFR 1.10(S)) | | U.S.C. 4 | 1(a)(1)(G) and 37 | CFR 1.16(s). | | | | 4 | | | |
| MU | LTIPLE DEPENI | DENT CLAIM PI | RESENT | (37 CFR 1.16 | (j)) | | N/A | 180 | | N/A | | |
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| | APPL | ICATION AS | SAMEN | NDED – PAI | RT II | | | | | OTH | ER THAN | |
| | | (Column 1) (Column 2) (Column 3) | | | | | SMALL ENTITY | | OR | SMA | LL ENTITY | |
| | | CLAIMS | | HIGHEST | PRESENT | | | ADDI- | 1 | | ADDI- TION | |
| ۷ | | REMAINING AFTER | | NUMBER PREVIOUSLY | EXTRA | R | ATE (\$) | TIONAL | · · | RATE (\$) | ADDI- TION FEE (\$) | |
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| AMENDMENT | Total (37 CFR 1.16(i)) | • | Minus | ** | = | × | = | | OR | x = | | |
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| | | ATION OF MULT | | | (37 CER 1.16(i)) | | N/A | | OR | | | |
| _ | | | | | | ТОТА | | | 1 | TOTAL | | |
| | | | | | | ADD' | | | OR | ADD'T FEE | | |
| | | (Column 1) | | (Column 2) | (Column 2) | | | | OR | | | |
| | · · · | (Column 1) CLAIMS | 1 | (Column 2) HIGHEST | (Column 3) | _ | | | 1 | | | |
| | | REMAINING | | NUMBER | PRESENT | | ATE (\$) | ADDI- TIONAL | | RATE (\$) | ADDI- TION | |
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| | FIRST PRESENT | ATION OF MULT | IPLE DEF | PENDENT CLAIN | 1 (37 CFR 1.16(j)) | | N/A | | OR | N/A | | |
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| * | If the entry in c | olumn 1 is less | than the | entry in colum | ın 2, write "0" in colu | mn 3 | | | • | | | |
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USP To to process) an application. Comidentiality is governed by 35 0.5.0.122 and 37 CFR 1.14. This Collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



24280 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA02110

Date Mailed: 08/28/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Daniel R. Cohn, Cambridge, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newtonville, MA;

Assignment For Published Patent Application

MASSACHUSETTS INSTITUTE OF TECHNOLOGY, Cambridge, MA

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 10/991,774 11/18/2004

Foreign Applications

If Required, Foreign Filing License Granted: 08/27/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/840,719**

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

FORD Ex. 1120, page 36 IPR2020-00013 Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

Preliminary Class

044

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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| UNITED STAT | es Patent and Tradema | UNITED STA United State Address: COMM P.O. Box | ria, Virginia 22313-1450 |
|--------------------|------------------------|---|--------------------------|
| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 11/840,719 | 08/17/2007 | Daniel R. Cohn | 0492611-07XX |

CONFIRMATION NO. 1817 FORMALITIES LETTER

24280 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110

Date Mailed: 08/28/2007

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

• The statutory basic filing fee is missing.

Applicant must submit \$ 75 to complete the basic filing fee for a small entity.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$2230 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee, or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this notice.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$2720 for a small entity

- \$75 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$250 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$100 to complete the
 examination fee for a small entity in compliance with 37 CFR 1.27.

FORD Ex. 1120, page 39 IPR2020-00013

- Total additional claim fee(s) for this application is \$2230
 - \$200 for 2 independent claims over 3.
 - **\$1850** for **74** total claims over 20.
 - \$180 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts

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Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199 PART 3 - OFFICE COPY

> FORD Ex. 1120, page 40 IPR2020-00013

ATTORNEY'S DOCKET NUMBER: 0492611-0806

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Daniel R. Cohn, et al. | Examiner: | Not Y | et Assigned |
|-------------|------------------------|------------|--------|-------------|
| Serial No.: | 11/840,719 | Art Unit: | 1714 | |
| Filed: | August 17, 2007 | Customer N | umber: | 24280 |

For: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

Please preliminarily amend the above identified application as follows.

Listing of Claims

Claims 1-73 (cancelled).

74. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of an anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and the anti-knock agent that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio.

75. (New). The engine system of claim 74 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

76. (New). The engine of claim 75 where the liquid contained in the second source is an alcohol.

77. (New). The engine system of claim 74 where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent in the engine and uses both closed loop control with a knock detector and open loop control with a look up table and;

where the fuel management system minimizes the amount of anti-knock agent that is used over a drive cycle.

78. (New). The engine system of claim 77 where the anti-knock agent is an alcohol and is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

79. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of an anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and the anti-knock agent that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio;

and wherein engine downsizing is used to obtain a higher efficiency than a larger engine that uses port fuel injection of gasoline alone and produces the same maximum horsepower.

80. (New). The engine system of claim 79 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

81. (New). The engine system of claim 80 wherein the anti-knock agent is ethanol and is directly injected in such an amount so as to allow operation of a given engine at least twice the knock free torque attainable when no directly injected ethanol is used; and

where during some of the operating time the ethanol energy fraction is at least 20 % and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers.

82. (New). The engine system of claim 81 where the fuel management system limits the required ethanol energy fraction needed to prevent knock to less than 6% over a drive cycle; and

where the fuel management system uses both closed loop control which employs a knock detector and open loop control and a look up table.

83. (New). The engine system of claim 79 where the anti-knock agent is ethanol and is separated from an ethanol–gasoline mixture on board the vehicle.

84. (New). The engine system of claim 79 where the anti-knock agent is methanol.

85. (New). The engine system of claim 79 where the second source contains a mixture of alcohol and water.

86. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and alcohol that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio; and

wherein the turbocharging or supercharging is reduced or eliminated as a function of the amount of anti-knock agent in the second source.

87. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for fueling the engine with gasoline from the first source;

means for direct fuel injection of the liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline and by the anti-knock agent that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio; and

wherein engine downsizing is used to obtain a higher efficiency than a larger engine that uses port fuel injection of gasoline alone and produces the same maximum horsepower.

88. (New). The engine system of claim 87 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of gasoline.

89. (New). The engine system of claim 87 where the anti-knock agent is an alcohol and where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent in the engine fraction and uses both closed loop control with a knock detector and open loop control with a look up table; and

where the fuel management system minimizes the amount of alcohol that is used over a drive cycle.

90. (New). The engine system of claim 87 wherein the directly injected anti-knock agent is an alcohol which is injected so it is non uniformly distributed with greater amounts towards the walls of the cylinder and

where the alcohol energy fraction is sufficiently high to prevent knock and resulting in the alcohol energy fraction being reduced as compared to the situation using a uniform distribution.

91. (New). The engine system of claim 87 wherein the anti-knock agent is ethanol and is directly injected during some of the operating time the ethanol energy fraction is at least 20 %; and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers.

92. (New). The engine system of claim 87 where the anti-knock agent is ethanol and the ethanol is separated from a gasoline-ethanol mixture onboard the vehicle.

93. (New). The engine system of claim 87 where the second tank contains a liquid that is a mixture of alcohol and a lubricant.

94. (New). The engine system of claim 87 where the second tank contains a liquid that is a mixture of alcohol and water.

95. (New). A turbocharged or supercharged spark ignition engine system which uses fueling with gasoline from a first source in addition to direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for fueling with gasoline from the first source;

means for direct fuel injection of liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline from the first source and by liquid anti-knock agent that is directly injected from the second source;

and a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock; and

where the engine is operated with a substantially stoichiometric fuel/air ratio;

and where the fuel management system controls the amount of anti-knock agent that is employed using closed loop control which utilizes a knock detector; and

wherein the turbocharging or supercharging is reduced or eliminated depending upon the amount of anti-knock agent in the second source.

96. (New). The engine system of claim 95 where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent that is used in the engine and uses both closed loop control with a knock detector and open loop control with a look up table; and

where the fuel management system minimizes the amount of anti-knock agent that is used over a drive cycle.

97. (New). The engine system of claim 95 where the turbocharging or supercharging is reduced or eliminated when the anti-knock agent is not available from the second source and the engine can be operated during a drive cycle without knock when there is no anti-knock agent available from the second source.

98. (New). The engine system of claim 97 where spark retard is also employed when the anti-knock agent from the second source is not available.

REMARKS

Claims 1-73 are cancelled herein. New claims 74-98 are being added to more particularly point out and distinctly claim the invention.

Respectfully submitted,

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

Date: November 2, 2007

Patent Department CHOATE, HALL & STEWART Exchange Place 53 State Street Boston, MA 02109-2804 Tel: (617) 248-5000 Fax: (617) 248-4000

| Electronic Acknowledgement Receipt | | |
|--------------------------------------|---|--|
| EFS ID: | 2418740 | |
| Application Number: | 11840719 | |
| International Application Number: | | |
| Confirmation Number: | 1817 | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | |
| Customer Number: | 24280 | |
| Filer: | Sam Pasternack/Elisabeth Dunkle | |
| Filer Authorized By: | Sam Pasternack | |
| Attorney Docket Number: | 0492611-07XX | |
| Receipt Date: | 02-NOV-2007 | |
| Filing Date: | 17-AUG-2007 | |
| Time Stamp: | 14:58:44 | |
| Application Type: | Utility under 35 USC 111(a) | |

Payment information:

| Submitted with Payment | no |
|------------------------|----|
|------------------------|----|

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) |
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| 1 | Preliminary Amendment | 04962611_0806_prelimame | 367731 | | 8 |
| | Freiminary Amendment | nd.pdf | 99d2abd9f0681363581a406dda41e294 62de1869 | no | |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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ATTORNEY'S DOCKET NUMBER: 0492611-0806

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Daniel R. Cohn, et al. | Examiner: | Not Y | et Assigned |
|-------------|------------------------|-------------|--------|-------------|
| Serial No.: | 11/840,719 | Art Unit: | 1714 | |
| Filed: | August 17, 2007 | Customer Nu | umber: | 24280 |

For: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

Please preliminarily amend the above identified application as follows.

Listing of Claims

Claims 1-73 (cancelled).

74. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of an anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and the anti-knock agent that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio.

75. (New). The engine system of claim 74 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

76. (New). The engine of claim 75 where the liquid contained in the second source is an alcohol.

77. (New). The engine system of claim 74 where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent in the engine and uses both closed loop control with a knock detector and open loop control with a look up table and;

where the fuel management system minimizes the amount of anti-knock agent that is used over a drive cycle.

78. (New). The engine system of claim 77 where the anti-knock agent is an alcohol and is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

79. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of an anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and the anti-knock agent that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio;

and wherein engine downsizing is used to obtain a higher efficiency than a larger engine that uses port fuel injection of gasoline alone and produces the same maximum horsepower.

80. (New). The engine system of claim 79 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

81. (New). The engine system of claim 80 wherein the anti-knock agent is ethanol and is directly injected in such an amount so as to allow operation of a given engine at least twice the knock free torque attainable when no directly injected ethanol is used; and

where during some of the operating time the ethanol energy fraction is at least 20 % and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers.

82. (New). The engine system of claim 81 where the fuel management system limits the required ethanol energy fraction needed to prevent knock to less than 6% over a drive cycle; and

where the fuel management system uses both closed loop control which employs a knock detector and open loop control and a look up table.

83. (New). The engine system of claim 79 where the anti-knock agent is ethanol and is separated from an ethanol–gasoline mixture on board the vehicle.

84. (New). The engine system of claim 79 where the anti-knock agent is methanol.

85. (New). The engine system of claim 79 where the second source contains a mixture of alcohol and water.

86. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and alcohol that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio; and

wherein the turbocharging or supercharging is reduced or eliminated as a function of the amount of anti-knock agent in the second source.

87. (New). A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for fueling the engine with gasoline from the first source;

means for direct fuel injection of the liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline and by the anti-knock agent that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio; and

wherein engine downsizing is used to obtain a higher efficiency than a larger engine that uses port fuel injection of gasoline alone and produces the same maximum horsepower.

88. (New). The engine system of claim 87 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of gasoline.

89. (New). The engine system of claim 87 where the anti-knock agent is an alcohol and where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent in the engine fraction and uses both closed loop control with a knock detector and open loop control with a look up table; and

where the fuel management system minimizes the amount of alcohol that is used over a drive cycle.

90. (New). The engine system of claim 87 wherein the directly injected anti-knock agent is an alcohol which is injected so it is non uniformly distributed with greater amounts towards the walls of the cylinder and

where the alcohol energy fraction is sufficiently high to prevent knock and resulting in the alcohol energy fraction being reduced as compared to the situation using a uniform distribution.

91. (New). The engine system of claim 87 wherein the anti-knock agent is ethanol and is directly injected during some of the operating time the ethanol energy fraction is at least 20 %; and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers.

92. (New). The engine system of claim 87 where the anti-knock agent is ethanol and the ethanol is separated from a gasoline-ethanol mixture onboard the vehicle.

93. (New). The engine system of claim 87 where the second tank contains a liquid that is a mixture of alcohol and a lubricant.

94. (New). The engine system of claim 87 where the second tank contains a liquid that is a mixture of alcohol and water.

95. (New). A turbocharged or supercharged spark ignition engine system which uses fueling with gasoline from a first source in addition to direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for fueling with gasoline from the first source;

means for direct fuel injection of liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline from the first source and by liquid anti-knock agent that is directly injected from the second source;

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and a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock; and

where the engine is operated with a substantially stoichiometric fuel/air ratio;

and where the fuel management system controls the amount of anti-knock agent that is employed using closed loop control which utilizes a knock detector; and

wherein the turbocharging or supercharging is reduced or eliminated depending upon the amount of anti-knock agent in the second source.

96. (New). The engine system of claim 95 where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent that is used in the engine and uses both closed loop control with a knock detector and open loop control with a look up table; and

where the fuel management system minimizes the amount of anti-knock agent that is used over a drive cycle.

97. (New). The engine system of claim 95 where the turbocharging or supercharging is reduced or eliminated when the anti-knock agent is not available from the second source and the engine can be operated during a drive cycle without knock when there is no anti-knock agent available from the second source.

98. (New). The engine system of claim 97 where spark retard is also employed when the anti-knock agent from the second source is not available.

REMARKS

Claims 1-73 are cancelled herein. New claims 74-98 are being added to more particularly point out and distinctly claim the invention.

Respectfully submitted,

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

Date: November 2, 2007

Patent Department CHOATE, HALL & STEWART Exchange Place 53 State Street Boston, MA 02109-2804 Tel: (617) 248-5000 Fax: (617) 248-4000

| Under the paperwork Reduction Act of 1995, no persons are required to respond to a | PTO/SB/22 (11-07) Approved for use through 11/30/2007. OMB 0651-0031 S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE collection of information unless if displays a valid OMB control number. | | | |
|--|---|--|--|--|
| PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136 | a) Docket Number (Optional) | | | |
| FY 2008 (Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).) | 0492611-0806 | | | |
| Application Number 11/840,719 Filed August 17, 2007 | | | | |
| For Fuel Enhancement System for Variable Ethanol Octane Enhancement of Gasoline Engines | | | | |
| Art Unit 1714 | Examiner Not Yet Assigned | | | |
| This is a request under the provisions of 37 CFR 1.136(a) to extend th application. | e period for filing a reply in the above identified | | | |
| The requested extension and fee are as follows (check time period de | sired and enter the appropriate fee below): | | | |
| Fee | Small Entity Fee | | | |
| One month (37 CFR 1.17(a)(1)) \$120 | \$60 \$ <u>60.00</u> | | | |
| Two months (37 CFR 1.17(a)(2)) \$460 | \$230 \$ | | | |
| Three months (37 CFR 1.17(a)(3)) \$1050 | \$525 \$ | | | |
| Four months (37 CFR 1.17(a)(4)) \$1640 | \$820 \$ | | | |
| Five months (37 CFR 1.17(a)(5)) \$2230 | \$1115 \$ | | | |
| Applicant claims small entity status. See 37 CFR 1.27. | | | | |
| A check in the amount of the fee is enclosed. | | | | |
| Payment by credit card. Form PTO-2038 is attached. | | | | |
| The Director has already been authorized to charge fees in | n this application to a Deposit Account. | | | |
| Dopodititioudulititianiset | have enclosed a duplicate copy of this sheet. | | | |
| WARNING: Information on this form may become public. Credit car Provide credit card information and authorization on PTO-2038. | d information should not be included on this form. | | | |
| I am the applicant/inventor. | | | | |
| assignee of record of the entire interest. Se Statement under 37 CFR 3.73(b) is encl | osed (Form PTO/SB/96). | | | |
| attorney or agent of record. Registration Nu | mber <u>29,576</u> | | | |
| attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 | | | | |
| /SamPasternack/ | November 28, 2007 | | | |
| Signature Date | | | | |
| Sam Pasternack 617-248-5000 | | | | |
| Typed or printed name | | | | |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or the signature is required, see below. | r representative(s) are required. Submit multiple forms in more than one | | | |
| Total of forms are submitted. | to obtain or retain a benefit by the nublic which is to file (and by the | | | |
| This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2. | | | | |

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ATTORNEY'S DOCKET NUMBER: 04926111-0806

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | D. Cohn et al. | Examiner: | Not Yet Assigned | |
|-------------|---|--------------------|------------------|--|
| Serial No.: | 11/840,719 | Art Unit: | 1714 | |
| Filed: | August 17, 2007 | Confirmation No .: | 1817 | |
| For: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | | | |

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts in the above-referenced application dated August 28, 2007, attached herewith is the following:

- 1. Payment in the amount of \$895.00 via electronic credit card authorization for payment of the late submission fee, extension of time, and claims;
- 2. Copy of Preliminary Amendment filed November 2, 2007; and
- 3. Request for Extension of Time (One Month).

Docket No. 0492611-0806 4275648v1 As this Response is electronically-filed, a copy of the Notice to File Missing Parts is not attached. Please charge any additional fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully submitted,

/SamPasternack/ Sam Pasternack Registration Number 29,576

CHOATE, HALL & STEWART LLP Two International Place Boston, MA 02110 (617) 248-5000 Dated: November 28, 2007

Docket No. 0492611-0806

4275648v1

FORD Ex. 1120, page 62 IPR2020-00013

| Electronic Patent Application Fee Transmittal | | | | | |
|--|--|--------------------|--------------|--------|-------------------------|
| Application Number: 11 | | 840719 | | | |
| Filing Date: | 17 | -Aug-2007 | | | |
| Title of Invention: Fuel Management System for Variable Ethanol Octane Enha Gasoline Engines | | ne Enhancement of | | | |
| First Named Inventor/Applicant Name: | irst Named Inventor/Applicant Name: Daniel R. Cohn | | | | |
| Filer: | Sa | am Pasternack/Elis | abeth Dunkle | | |
| Attorney Docket Number: 0492611-07XX | | | | | |
| Filed as Small Entity | led as Small Entity | | | | |
| Utility Filing Fees | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: | | | | | |
| Utility filing Fee (Electronic filing) | | 4011 | 1 | 75 | 75 |
| Utility Search Fee | | 2111 | 1 | 255 | 255 |
| Utility Examination Fee | | 2311 | 1 | 105 | 105 |
| Pages: | | | | | |
| Claims: | | | | | |
| Claims in excess of 20 | | 2202 | 5 | 25 | 125 |
| Independent claims in excess of 3 | | 2201 | 2 | 105 | 210 |
| Miscellaneous-Filing: | | | | | |

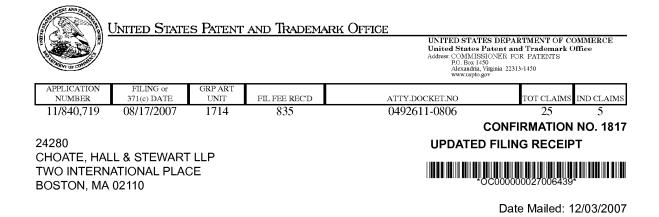
| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|---|----------|-----------|--------|-------------------------|
| Late filing fee for oath or declaration | 2051 | 1 | 65 | 65 |
| Petition: | | | | |
| Patent-Appeals-and-Interference: | | | | |
| Post-Allowance-and-Post-Issuance: | | | | |
| Extension-of-Time: | | | | |
| Extension - 1 month with \$0 paid | 2251 | 1 | 60 | 60 |
| Miscellaneous: | | | | |
| | Tota | al in USD |) (\$) | 895 |

| Electronic Acknowledgement Receipt | | |
|--------------------------------------|---|--|
| EFS ID: | 2519081 | |
| Application Number: | 11840719 | |
| International Application Number: | | |
| Confirmation Number: | 1817 | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | |
| Customer Number: | 24280 | |
| Filer: | Sam Pasternack/Elisabeth Dunkle | |
| Filer Authorized By: | Sam Pasternack | |
| Attorney Docket Number: | 0492611-07XX | |
| Receipt Date: | 28-NOV-2007 | |
| Filing Date: | 17-AUG-2007 | |
| Time Stamp: | 15:13:22 | |
| Application Type: | Utility under 35 USC 111(a) | |

Payment information:

| Submitted with Payment | | yes | yes | | | | |
|--|----------------------|-------------|-------------------------------------|---------------------|---------------------|--|--|
| Payment Type | | Credit Card | Credit Card | | | | |
| Payment was successfully received in RAM | | \$895 | \$895 | | | | |
| RAM confirmation Number | | 545 | 545 | | | | |
| Deposit Account | | | | | | | |
| Authorized U | ser | | | | | | |
| File Listing: | | | | | | | |
| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) | | |

| 1 | Applicant Response to Pre-Exam Formalities Notice | 04962611_0806_prelimame nd.pdf | 367731 | no | 8 | |
|--|--|-----------------------------------|--|-------|---|--|
| | | | 99d2abd9f0681363581a406dda41e294 62de1869 | | | |
| Warnings: | | | | | | |
| Information | | | | | | |
| 2 | Extension of Time | Ext.pdf | 196184 | no | 2 | |
| | | | 8028a2e295552da7782f760426f9d246 58ae0f0a | | | |
| Warnings: | | | | | | |
| Information | : | | | | 1 | |
| 3 | Applicant Response to Pre-Exam | ResMP.pdf | 55760 | no | 2 | |
| | Formalities Notice | | 08d7cb0717b16ff1a9b10705ac37c727a d166ee1 | | | |
| Warnings: | | | | | | |
| Information | : | | | | | |
| 4 | Fee Worksheet (PTO-06) | fee-info.pdf | 8919 | no | 2 | |
| | | | 016f88ff874b5f9267ca938cf45960a053 6031ca | | | |
| Warnings: | | | | | | |
| Information | : | | 1 | | | |
| | | Total Files Size (in bytes) | 62 | 28594 | | |
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Applicant(s)

Daniel R. Cohn, Cambridge, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newtonville, MA;

Assignment For Published Patent Application

MASSACHUSETTS INSTITUTE OF TECHNOLOGY, Cambridge, MA

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 10/991,774 11/18/2004

Foreign Applications

If Required, Foreign Filing License Granted: 08/27/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/840,719**

Projected Publication Date: 03/13/2008

Non-Publication Request: No

Early Publication Request: No ** SMALL ENTITY **

page 1 of 3

Title

Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

Preliminary Class

044

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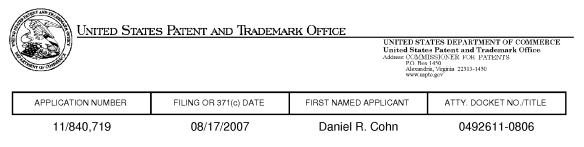
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page 3 of 3



CONFIRMATION NO. 1817

24280 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA02110

Title: Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

Publication No. US-2008-0060612-A1 Publication Date: 03/13/2008

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The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

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Pre-Grant Publication Division, 703-605-4283

| | <u>ed States Paten</u> | T AND TRADEMARK OFFICE | UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22. www.uspto.gov | FOR PATENTS | |
|--|------------------------|------------------------|--|------------------|--|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
| 11/840,719 | 08/17/2007 | Daniel R. Cohn | 0492611-0806 | 1817 | |
| 24280 7590 07/11/2008 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE | | | EXAMINER | | |
| | | | ALI, HYDER | | |
| BOSTON, MA | 02110 | | ART UNIT | PAPER NUMBER | |
| | | | 3747 | | |
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| | | | NOTIFICATION DATE | DELIVERY MODE | |
| | | | 07/11/2008 | ELECTRONIC | |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@choate.com

| | Application No. | Applicant(s) | | | |
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| | 11/840,719 | COHN ET AL. | | | |
| Office Action Summary | Examiner | Art Unit | | | |
| | HYDER ALI | 3747 | | | |
| The MAILING DATE of this communication app Period for Reply | pears on the cover sheet with the | correspondence address | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | |
| Status | | | | | |
| 1) Responsive to communication(s) filed on <u>28 N</u> | ovember 2007. | | | | |
| | action is non-final. | | | | |
| 3) Since this application is in condition for allowa | | osecution as to the merits is | | | |
| closed in accordance with the practice under <i>I</i> | | | | | |
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| Disposition of Claims | | | | | |
| 4) Claim(s) <u>74-98</u> is/are pending in the applicatio | | | | | |
| 4a) Of the above claim(s) is/are withdra | wn from consideration. | | | | |
| 5)X Claim(s) <u>79-85 and 87-94</u> is/are allowed. | | | | | |
| 6)⊠ Claim(s) <u>74-78,86 and 95-98</u> is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and/c | r election requirement. | | | | |
| Application Papers | | | | | |
| 9) The specification is objected to by the Examine | ar . | | | | |
| 10)⊠ The drawing(s) filed on <u>17 August 2007</u> is/are: | | to by the Exeminer | | | |
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| Applicant may not request that any objection to the | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a claim for foreign | priority under 35 U.S.C. § 119(a |)-(d) or (f). | | | |
| a) All b) Some * c) None of: | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | |
| 2. Certified copies of the priority documents have been received in Application No. | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | |
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| Attachment(s) | | | | | |
| 1) X Notice of References Cited (PTO-892) | 4) 🔲 Interview Summary | ((PTO-413) | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail D | ate | | | |
| 3) Information Disclosure Statement(s) (PTO/SB/08) | 5) Notice of Informal I | Patent Application | | | |
| Paper No(s)/Mail Date | 6) 🛄 Other: | | | | |
| U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office A | ction Summary P | art of Paper No./Mail Date 20080702 | | | |

Application/Control Number: 11/840,719 Art Unit: 3747

DETAILED ACTION

Response to Preliminary Amendment

Claims 74-98 are in the application.

Claims 1-73 been cancelled. See applicant arguments/remarks filed 11/28/2007.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 74-78, 86, 95-98 are rejected on the ground of nonstatutory obviousness-

type double patenting as being unpatentable over claims 1-4 of U.S. Patent No.

7,314,033. Although the conflicting claims are not identical, they are not patentably

distinct from each other because the difference between claims 74-78, 86, 97-98 and

Patent No. 7,314,033 is that fuel from the second source is a liquid anti-knock agent in

Application/Control Number: 11/840,719 Art Unit: 3747

lieu of ethanol. It is the view of the Examiner that ethanol that is a fuel is art recognized equivalent of liquid anti-knock agent that is a fuel.

Claims 74-78, 86, 95-98 are rejected on the ground of nonstatutory obviousnesstype double patenting as being unpatentable over claims 1-9 of U.S. Patent No. 7,225,787. Although the conflicting claims are not identical, they are not patentably distinct from each other because the difference between claims 74-78, 86, 97-98 and claims 2-4 of Patent No. 7,225,787 is that fuel from the second source is a liquid antiknock agent in lieu of ethanol. It is the view of the Examiner that ethanol that is a fuel is art recognized equivalent of liquid anti-knock agent that is a fuel. Further Patent No. 7,225,787 has fuel management system including a microprocessor that would have reduced engine timing in response to knocking.

Claims 74-78, 86, 95-98 provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 117 of copending Application No. 11/229,755. Although the conflicting claims are not identical, they are not patentably distinct from each other because the difference between claims 74-78, 86, 97-98 and claim 117 of copending Application No. 11/229,755 is that fuel from the second source is a liquid anti-knock agent in lieu of ethanol. It is the view of the Examiner that ethanol that is a fuel is art recognized equivalent of liquid anti-knock agent that is a fuel. Further claim 117 of copending Application No. 11/229,755 has fuel management system including a microprocessor that would have reduced engine timing in response to knocking.

Application/Control Number: 11/840,719 Art Unit: 3747

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Allowable Subject Matter

Claims 79-85 and 87-94 are allowed.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HYDER ALI whose telephone number is (571)272-4836. The examiner can normally be reached on M-F (8:30-5:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Kirk Cronin can be reached on (571) 272-4536. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/HYDER ALI/ Examiner, Art Unit 3747

/Stephen K. Cronin/ Supervisory Patent Examiner, Art Unit 3747

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| Notice of References Cited | Application/Control No. 11/840,719 | No. Applicant(s)/Patent Under Reexamination COHN ET AL. | | | | |
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| | HYDER ALI | 3747 | Page 1 of 1 | | | |

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| * | А | US-2006/0102146 | 05-2006 | Cohn et al. | 123/406.29 |
| * | В | US-7,314,033 | 01-2008 | Cohn et al. | 123/198A |
| * | С | US-7,225,787 | 06-2007 | Bromberg et al. | 123/198A |
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NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20080702

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U.S. Patent and Trademark Office

Part of Paper No.: 20080702

| Index of Claims | Application/Contro | Ree | Dicant(s)/Patent Unc examination HN ET AL. | ler |
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 1817

| SERIAL NUM 11/840,71 | DATE 08/17/20 | | | 12 | CLASS ~ 044 3 | GROUP ART UNIT 3747 | | UNIT | ATTORNEY DOCKE ⁻ NO. 0492611-0806 | | |
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| RULE 123 APPLICANTS Daniel R. Cohn, Cambridge, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newtonville, MA; ** CONTINUING DATA ********************************** | | | | | | | | | | | |
| Foreign Priority claimed Yes INo 35 USC 119(a-d) conditions met Yes INo Verified and /HYDER ALI/ Acknowledged Examiner's Signature Mathematical Initials MA 3 25 5 | | | | | | | | AIMS | | | |
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EAST Search History

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ATTORNEY'S DOCKET NUMBER: 0492611-0806 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn U.S. App. No.: 11/840,719 Filing Date: August 17, 2007

Confirmation No.: 1817 Art Unit: 3747 Examiner: Ali, Hyder

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS)

Dear Madam:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08 form. It is respectfully requested that the information be expressly considered during the prosecution of the above-identified application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

CERTIFICATION STATEMENT

This Information Disclosure Statement (IDS) is filed in compliance with the following Rule(s), as far as is known to the undersigned:

37 CFR § 1.97 (c)(2), i.e. before a final action or notice of allowance, and wherein the fee as set forth in § 1.17(p) is included with this IDS.

Copies of any cited foreign patent or non-patent literature documents not previously provided to the USPTO are enclosed herewith. Copies of non-patent literature documents numbered 1-5 were previously submitted to the USPTO in an IDS for application number 10/991,774, which is relied on for an earlier effective filing date under 35 U.S.C. § 120.

1 of 2

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Attorney Docket No.: 0492611-0806

FORD Ex. 1120, page 83 IPR2020-00013 Additionally, the Applicant brings to the attention of the Examiner co-pending U.S. patent applications: App. No. 10/991,774 now issued as patent No. 7,314,033; App. No. 11/100,026 now issued as patent No. 7,225,787; App. No. 11/229,755 now issued as patent No. 7,444,987; App. No. 11/758,157; App. No. 11/871,384; and App. No. 12/020,285. Applicant also brings to the attention of the Examiner co-pending U.S. patent applications: App. No. 11/682,372; App. No. 11/782,050; App. No. 11/683,564; App. No. 11/684,100; and App. No. 12/167,534. Prosecution of these applications may have bearing on the above-identified application.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98, and the Examiner is respectfully requested to consider the listed references. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 03-1721.

2 of 2

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: <u>November 25, 2008</u>

CHOATE, HALL & STEWART LLP Intellectual Property Two International Place Boston, MA 02110 /Sam Pasternack/ Sam Pasternack Registration No. 29,576

> Phone: (617) 248-5000 Fax: (617) 502-5002 patentdocket@choate.com

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Attorney Docket No.: 0492611-0806

FORD Ex. 1120, page 84 IPR2020-00013 Doc code :IDS

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INFORMATION DISCLOSURE Application Number 11840719 Filing Date 2007-08-17 First Named Inventor Daniel R. Cohn Art Unit 3747 Examiner Name Ali, Hyder Attorney Docket Number 0492611-0806

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| Filing Date | | 2007-08-17 | | |
| First Named Inventor Danie | | R. Cohn | | |
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| Examiner Name | Ali, Hy | yder | | |
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| INFORMATION DISCLOSURE | First Named Inventor | Danie | I R. Cohn | |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit | | 3747 | |
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| | Examiner Name Ali, Hy | | Hyder | |
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| Application Number: | 11 | 11840719 | | | | | |
| Filing Date: | 17 | 17-Aug-2007 | | | | | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | | | | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | | | |
| Filer: | Sa | m Pasternack/Marily | /n Murphy | | | | |
| Attorney Docket Number: | 04 | 92611-0806 | | | | | |
| Filed as Small Entity | | | | | | | |
| Utility under 35 USC 111(a) Filing Fees | | | | | | | |
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| EFS ID: | 4351439 | | | | | |
| Application Number: | 11840719 | | | | | |
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| Confirmation Number: | 1817 | | | | | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | | | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | | |
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| Receipt Date: | 25-NOV-2008 | | | | | |
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| national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. | | | | | |
| New International Application Filed with the USPTO as a Receiving Office | | | | | |
| If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. | | | | | |

ATTORNEY'S DOCKET NUMBER: 0492611-0806 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn

Serial No: 11/840,719

Filed: August 17, 2007

Confirmation No.: 1817 Art Unit: 3747 Examiner: Ali, Hyder

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

RESPONSE TO NON-FINAL OFFICE ACTION UNDER 37 C.F.R. § 1.111

Applicant hereby submits the following Response ("this Response") to the Non-Final Office Action mailed July 11, 2008. Applicant respectfully requests consideration and entry of this Response.

Applicant additionally submits a two (2) month Petition for Extension of Time, and accompanying Petition fee under 37 CFR § 1.17(a)(2). Applicant believes no additional fees are due with this Response, but if Applicant is in error any fees due may be charged to deposit account 03-1721.

Contents:

Remarks page 2.

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Attorney Docket No.: 0492611-0806

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REMARKS

Status of the Claims

Claims **74-98** were pending in the application. In the Office Action, claims 79-85 and 87-94 were indicated as allowed. Claims 74-78, 86 and 95-98 were rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claims 1-4 of U.S. Patent No. 7,314,033 to Cohn *et al.* and claims 1-9 of U.S. Patent No. 7,225,787 to Bromberg *et al.* Claims 74-78, 86 and 95-98 were provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claim 117 of copending Application No. 11/229,755 to Cohn *et al.* Applicant makes no amendments to the claims with this Response. Upon entry of this Response, claims **74-98** will be presented for examination.

Double Patenting Rejections: Claims 74-78, 86 and 95-98

In the Office Action, claims 74-78, 86 and 95-98 were rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claims 1-4 of U.S. Patent No. 7,314,033 to Cohn *et al.* and claims 1-9 of U.S. Patent No. 7,225,787 to Bromberg *et al.* Applicant submits herewith a Terminal Disclaimer which overcomes these obviousness-type double patenting rejections.

In the Office Action, claims 74-78, 86 and 95-98 were provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claim 117 of copending application No. 11/229,755 to Cohn *et al*. Applicant notes that application No. 11/229,755 has recently issued as U.S. patent No. 7,444,987. Applicant submits herewith a Terminal Disclaimer which overcomes these obviousness-type double patenting rejections.

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Attorney Docket No.: 0492611-0806

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CONCLUSION

In view of the above, Applicant submits that presently pending claims **74-98** are in condition for allowance and early indication thereof is respectfully requested.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: November 25, 2008

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

CHOATE, HALL & STEWART LLP Intellectual Property Two International Place Boston, MA 02110

Phone: (617) 248-5000 Fax: (617) 502-5002 patentdocket@choate.com

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Attorney Docket No.: 0492611-0806

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| PTO/SB/23 (10-08) |
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| Approved for use through 11/30/2008. OMB 0651-0031 |
| U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE |
| Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number. |

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| PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1. | 0492611-0806 | | |
| In re Application of Daniel R. Cohn | | | |
| Application Number 11/840,719 | gust 2007 | | |
| For Fuel Management System for Variable Ethanol Octane Enh | incement of Gas | soline Engines | |
| Art Unit 3747 Examiner | Ali, Hyder | | |
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| /SamPasternack/ | Novem | ıber 25, 2008 | |
| Signature | | Date | |
| Sam Pasternack | 29,576 | 3 | |
| Typed or printed name | Reg | istration Number | |
| | (617) 2 | 248-5000 | |
| Title | | ephone Number | |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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ATTORNEY DOCKET NUMBER: 0492611-0806

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn

Confirmation No.: 1817

Serial No: 11/840,719 Filed: August 17, 2007

Examiner: Ali, Hyder

Art Unit: 3747

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF **GASOLINE ENGINES**

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Madam:

TERMINAL DISCLAIMER

I, Sam Pasternack, represent that I am an attorney and am empowered to act on behalf of Massachusetts Institute of Technology.

Massachusetts Institute of Technology is the assignee of record of the subject application based on assignments that have been recorded with the U.S. Patent and Trademark Office as follows:

Assignments

| Inventor | Assignee | Reel | Frame | Recorded |
|----------|---------------------------------------|--------|-------|------------|
| Cohn | Massachusetts Institute of Technology | 021864 | 0406 | 11/20/2008 |
| Bromberg | Massachusetts Institute of Technology | 021864 | 0406 | 11/20/2008 |
| Heywood | Massachusetts Institute of Technology | 021864 | 0406 | 11/20/2008 |

Page 1 of 3

Massachusetts Institute of Technology is also the assignee of record of U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,444,987 based on assignments that have been recorded with the U.S. Patent and Trademark Office as follows:

<u>Assignments</u>

| Inventor | Assignee | Reel | Frame | Recorded |
|----------|--|--------|-------|------------|
| Cohn | Massachusetts Institute of Technology | 016336 | 0049 | 03/07/2005 |
| Bromberg | Massachusetts Institute of Technology | 016336 | 0049 | 03/07/2005 |
| Heywood | Massachusetts Institute of Technology | 016336 | 0049 | 03/07/2005 |
| Cohn | Massachusetts Institute of Technology | 016751 | 0156 | 07/08/2005 |
| Bromberg | Massachusetts Institute of Technology | 016751 | 0156 | 07/08/2005 |
| Heywood | Massachusetts Institute of Technology | 016751 | 0156 | 07/08/2005 |
| Cohn | Massachusetts Institute of Technology | 021861 | 0139 | 11/20/2008 |
| Bromberg | Massachusetts Institute of Technology | 021861 | 0139 | 11/20/2008 |
| Heywood | Massachusetts Institute of Technology | 021861 | 0139 | 11/20/2008 |

On behalf of Massachusetts Institute of Technology, I hereby disclaim, except as otherwise provided herein, the terminal part of any patent granted on the subject application which would extend beyond the expiration date of the full statutory term, including statutory extensions thereof of U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,444,987, and hereby agree that any patent so granted on the subject application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,444,987, this agreement to run with any patent granted on the subject application and to be binding upon the grantee, its successors or assigns.

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Serial No. 11/840,719

FORD Ex. 1120, page 107 IPR2020-00013 Massachusetts Institute of Technology, does not disclaim any terminal part of any patent granted on the subject application prior to the expiration date of the full statutory term of U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 or U.S. Patent No. 7,444,987 in the event that such patent later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321(a); has all claims cancelled by a reexamination certificate; is reissued; or is otherwise terminated prior to the expiration of its statutory term, except for the separation of legal title stated above.

Pursuant to 37 CFR § 3.73(b), I have reviewed all the recordation information above or all documents in the chain of title of the subject patent application and, to the best of my knowledge and belief, title is in the assignee identified above.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please charge any fees that may be required, or credit any overpayment, to our Deposit Account No. 03-1721.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: November 25, 2008

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

CHOATE, HALL & STEWART LLP Intellectual Property Two International Place Boston, MA 02110

Phone: (617) 248-5000 Fax: (617) 502-5002 patentdocket@choate.com

Page 3 of 3

ATTORNEY'S DOCKET NUMBER: 0492611-0806 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn U.S. App. No.: 11/840,719 Filing Date: August 17, 2007

Confirmation No.: 1817 Art Unit: 3747 Examiner: Ali, Hyder

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS) LETTER AND CERTIFICATION STATEMENT

Dear Madam:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08 form. It is respectfully requested that the information be expressly considered during the prosecution of the above-identified application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

CERTIFICATION STATEMENT

This Information Disclosure Statement (IDS) is filed in compliance with the following Rule(s), as far as is known to the undersigned:

37 CFR § 1.97 (c)(2), i.e. before a final action or notice of allowance, and wherein the fee as set forth in § 1.17(p) is included with this IDS.

1 of 2

Copies of any cited foreign patent or non-patent literature documents not previously provided to the USPTO are enclosed herewith.

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Attorney Docket No.: 0492611-0806

FORD Ex. 1120, page 109 IPR2020-00013 In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98, and the Examiner is respectfully requested to consider the listed references. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 03-1721.

2 of 2

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: ______ December 12, 2008

CHOATE, HALL & STEWART LLP Intellectual Property Two International Place Boston, MA 02110 Sam Pasternack Registration No. 29,576

/Sam Pasternack/

Phone: (617) 248-5000 Fax: (617) 502-5002 patentdocket@choate.com

4396288v1

Attorney Docket No.: 0492611-0806

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 Application Number
 11840719

 Filing Date
 2007-08-17

Daniel R. Cohn

Ali, Hyder

3747

0492611-0806

First Named Inventor

Attorney Docket Number

Examiner Name

Art Unit

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| If you wisl | h to ac | dd additional Foreign Pa | atent Do | cument | citation | information pl | ease click the Add | button | Add | I |
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| | | | PTO/SI | 3/08a (08-08) |
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| | Approved for us | se through 08/3 | 31/2008. ON | B 0651-0031 |
| U.S. Patent and | Trademark Office | ; U.S. DEPAR | TMENT OF | COMMERCE |

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| | Application Number | | 11840719 | |
|--|-----------------------------|---------|--------------|--|
| | Filing Date | | 2007-08-17 | |
| INFORMATION DISCLOSURE | First Named Inventor Daniel | | el R. Cohn | |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit | | 3747 | |
| | Examiner Name | Ali, Hy | yder | |
| | Attorney Docket Numb | er | 0492611-0806 | |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | | |
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| | 3 | H. J. Curran et al., "A comprehensive modeling study of iso-octane oxidation," Combustion and Flame 129:263-280 (2002) pp. 253-280. | | | | | | | |
| | 4 | B. Lecointe and G. Monnier, "Downsizing a gasoline engine using turbocharging with direct injection" SAE paper 2003-01-0542. | | | | | | | |
| If you wis | h to ao | d additional non-patent literature document citation information please click the Add button Add | | | | | | | |
| | | EXAMINER SIGNATURE | | | | | | | |
| Examiner | Signa | ure Date Considered | | | | | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | |
| Standard ST ⁴ Kind of doo | F.3). ³ F cument | USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WII or Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent docu y the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark nslation is attached. | ument. | | | | | | |

| | Application Number | | 11840719 | |
|--|-----------------------------|---------|--------------|--|
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| | Examiner Name | Ali, Hy | yder | |
| | Attorney Docket Number | | 0492611-0806 | |
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| | | CER | TIFICATION STATEMENT | | | | | | |
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| Plea | Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s): | | | | | | | | |
| | | | information disclosure statement wa eign application not more than thre | - | | | | | |
| | information discl | osure statement. See 37 CFR 1. | 97(e)(1). | | | | | | |
| OR | OR | | | | | | | | |
| | That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2). | | | | | | | | |
| X | See attached ce | rtification statement. | | | | | | | |
| × | Fee set forth in 3 | 37 CFR 1.17 (p) has been submit | ted herewith. | | | | | | |
| | None | | | | | | | | |
| | SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature. | | | | | | | | |
| Sigr | nature | /Sam Pasternack/ | Date (YYYY-MM-DD) | 2008-12-12 | | | | | |
| Nan | ne/Print | Sam Pasternack | Registration Number | 29,576 | | | | | |
| | | | | | | | | | |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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| Electronic Patent Application Fee Transmittal | | | | | | |
|---|---|--------------------|----------|--------|-------------------------|--|
| Application Number: 11840719 | | | | | | |
| Filing Date: | 17 | Aug-2007 | | | | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | | | | | |
| First Named Inventor/Applicant Name: Daniel R. Cohn | | | | | | |
| Filer: | Sa | n Pasternack/Elyse | Pino | | | |
| Attorney Docket Number: | 04 | 92611-0806 | | | | |
| Filed as Large Entity | | | | | | |
| Utility under 35 USC 111(a) Filing Fees | | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) | |
| Basic Filing: | | | | | | |
| Pages: | | | | | | |
| Claims: | | | | | | |
| Miscellaneous-Filing: | | | | | | |
| Petition: | | | | | | |
| Patent-Appeals-and-Interference: | | | | | | |
| Post-Allowance-and-Post-Issuance: | | | | | | |
| Extension-of-Time: | | | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
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| Miscellaneous: | | | | |
| Submission- Information Disclosure Stmt | 1806 | 1 | 180 | 180 |
| | Tot | 180 | | |

| Electronic Acknowledgement Receipt | | | | | |
|--------------------------------------|---|--|--|--|--|
| EFS ID: | 4443309 | | | | |
| Application Number: | 11840719 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 1817 | | | | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | |
| Customer Number: | 24280 | | | | |
| Filer: | Sam Pasternack/Elyse Pino | | | | |
| Filer Authorized By: | Sam Pasternack | | | | |
| Attorney Docket Number: | 0492611-0806 | | | | |
| Receipt Date: | 12-DEC-2008 | | | | |
| Filing Date: | 17-AUG-2007 | | | | |
| Time Stamp: | 10:41:52 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |

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| Authorized User File Listing: | | | | | | | | |
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| Deposit Accou Authorized Use | | | | | | | | |
| RAM confirmat | tion Number | 7970 | 7970 | | | | | |
| Payment was s | uccessfully received in RAM | \$180 | \$180 | | | | | |
| Payment Type | | Credit Card | Credit Card | | | | | |
| Submitted wit | h Payment | yes | yes | | | | | |

| 1 | Information Disclosure Statement Letter | IDS_ltr_0492611_0806.pdf | 78303 | no | 2 | | |
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| 2 | Information Disclosure Statement (IDS) Filed (SB/08) | US_IDS_FormSB_08a.pdf | 787086 | no | 4 | | |
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| 3 | NPL Documents | Heywood_1988.pdf | 137182 | no | 3 | | |
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| 5 | NPL Documents | Curran_2002.pdf | 2621607 | no | 28 | | |
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

ATTORNEY'S DOCKET NUMBER: 0492611-0806 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn U.S. App. No.: 11/840,719 Filing Date: August 17, 2007

Confirmation No.: 1817 Art Unit: 3747 Examiner: Ali, Hyder

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS) LETTER AND CERTIFICATION STATEMENT

Madam:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08 form. It is respectfully requested that the information be expressly considered during the prosecution of the above-identified application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

CERTIFICATION STATEMENT

This Information Disclosure Statement (IDS) is filed in compliance with the following Rule(s), as far as is known to the undersigned:

37 CFR § 1.97 (c)(2), i.e. before a final action or notice of allowance, and wherein the fee as set forth in § 1.17(p) is included with this IDS.

1 of 2

Copies of any cited foreign patent or non-patent literature documents not previously provided to the USPTO are enclosed herewith.

4426205v1

Attorney Docket No.: 0492611-0806

FORD Ex. 1120, page 120 IPR2020-00013 Additionally, the Applicant brings to the attention of the Examiner co-pending or prior U.S. patent applications: App. No. 10/991,774 now issued as patent No. 7,314,033; App. No. 11/100,026 now issued as patent No. 7,225,787; App. No. 11/229,755 now issued as patent No. 7,444,987; App. No. 11/758,157; App. No. 11/871,384; App. No. 12/020,285; and App. No. 12/329,729. Applicant also brings to the attention of the Examiner co-pending U.S. patent applications: App. No. 11/682,372; App. No. 11/683,564; App. No. 11/782,050; App. No. 11/684,100; App. No. 12/167,534 and App. No. 12/374,992. Prosecution of these applications may have bearing on the above-identified application.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98, and the Examiner is respectfully requested to consider the listed references. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 03-1721.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: March 12, 2009

CHOATE, HALL & STEWART LLP Intellectual Property Two International Place /Sam Pasternack/ Sam Pasternack Registration No. 29,576

> Phone: (617) 248-5000 Fax: (617) 502-5002 patentdocket@choate.com

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Boston, MA 02110

2 of 2

Attorney Docket No.: 0492611-0806

FORD Ex. 1120, page 121 IPR2020-00013 Doc code :IDS

Doc description: Information Disclosure Statement (IDS) Filed

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. 11840719 Application Number Filing Date 2007-08-17 First Named Inventor Daniel R. Cohn

3747

0492611-0806

Ali, Hyder

Art Unit

Examiner Name

Attorney Docket Number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| | | | | U.S. | PATENTS | Remove |
|----------------------|------------|---------------|---------------------------|------------|--|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
| | 1 | 5497744 | | 1996-03-12 | Nagaosa et al. | |
| | 2 | 5715788 | | 1998-02-10 | Tarr et al. | |
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PTO/SB/08a (08-08) Approved for use through 08/31/2008. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

| Application Number | | 11840719 |
|----------------------------|--|--------------|
| Filing Date | | 2007-08-17 |
| First Named Inventor Danie | | IR. Cohn |
| Art Unit | | 3747 |
| Examiner Name Ali, H | | yder |
| Attorney Docket Number | | 0492611-0806 |

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| | 9 | 6755175 | | 2004-06 | 8-29 | McKay et al. | | | | | |
| | 10 | 6955154 | | 2005-10 |)-18 | Douglas, Denis | | | | | |
| | 11 | 7077100 | | 2006-06 | 6-18 | Vogel et al. | | | | | |
| | 12 | 4596277 | | 1986-06 | 3-24 | Djordjevic, Ilija | | | | | |
| | 13 | 6321692 | | 2001-11 | I-27 | Rayner, Bradford William | | | | | |
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| | Application Number | | 11840719 | |
|--|-----------------------------|----|--------------|--|
| | Filing Date | | 2007-08-17 | |
| INFORMATION DISCLOSURE | First Named Inventor Daniel | | el R. Cohn | |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit | | 3747 | |
| | Examiner Name Ali | | yder | |
| | Attorney Docket Numb | er | 0492611-0806 | |

| Examiner Initials* | Cite No | (bool | nclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), bublisher, city and/or country where published. | | | | | | |
|--|---|--------|---|-------------------------|------------|---|--|--|--|
| | 1 | РСТ | International Search Report and Written Opinion, Appl. No. PCT/L | JS05/041317, April 6, 2 | 2006. | | | | |
| 2 PCT International Search Report and Written Opinion, Appl. No. PCT/US06/012750, June 28, 2007. | | | | | | | | | |
| | 3 | USP1 | TO Notice of Allowance, Application No. 11/684,100, March 3, 200 | 9. | | | | | |
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| INFORMATION DISCLOSURE | Application Number | | 11840719 |
|--|-----------------------------|--|--------------|
| | Filing Date | | 2007-08-17 |
| | First Named Inventor Daniel | | el R. Cohn |
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| | Examiner Name Ali, Hy | | yder |
| | Attorney Docket Number | | 0492611-0806 |
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| | CERTIFICATION STATEMENT | | | | | | | | |
|------|---|---|--|--|--|--|--|--|--|
| Plea | Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s): | | | | | | | | |
| | That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1). | | | | | | | | |
| OR | 2 | | | | | | | | |
| | foreign patent o after making rea any individual d | ffice in a counterpart foreign appl sonable inquiry, no item of inform | ormation disclosure statement was d lication, and, to the knowledge of th ation contained in the information di re than three months prior to the fil | e person signing the certification sclosure statement was known to | | | | | |
| X | See attached ce | rtification statement. | | | | | | | |
| × | Fee set forth in 3 | 37 CFR 1.17 (p) has been submitte | ed herewith. | | | | | | |
| | None | | | | | | | | |
| | SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature. | | | | | | | | |
| Sigr | nature | /Sam Pasternack/ | Date (YYYY-MM-DD) | 2009-03-12 | | | | | |
| Nan | ne/Print | Sam Pasternack | Registration Number | 29,576 | | | | | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

EFS Web 2.1.4

FORD Ex. 1120, page 126 IPR2020-00013

| (| PINH ((Me) sp to WY Hen Oph' ERATION TREATY DOCKETED |
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| rom the INTERNATIONAL SEARCHING AUTHORITY | Due 9.18.06 |
| | PCT |
| AM PASTERNACK CHOATE, HALL & STUART LLP WO INTERNATIONAL PLACE BOSTON, MA 02110 Amend Claws Docketed | NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION |
| Docketed | (PCT Rule 44.1) |
| Due <u>60606</u> | Date of mailing (day/month/year) 06 APR 2006 |
| Applicant's or agent's file reference 492612-0406 | FOR FURTHER ACTION See paragraphs 1 and 4 below |
| nternational application No. PCT/US05/41317 | International filing date (day/month/year) 14 November 2005 (14.11.2005) |
| Applicant MASSACHUSETTS INSTITUTE OF TECHNOLOGY | |
| . The applicant is hereby notified that the international se have been established and are transmitted herewith. | earch report and the written opinion of the International Searching Authority |
| Filing of amendments and statement under Article 1 The applicant is entitled, if he so wishes, to amend the c | 19: claims of the international application (see Rule 46): |
| When? The time limit for filing such amendments search report. | is normally two months from the date of transmittal of the international |
| Where? Directly to the International Bureau of WI 1211 Geneva 20, Switzerland, Facsimile N | |
| For more detailed instructions, see the notes on the | |
| 2. The applicant is hereby notified that no international se Article 17(2)(a) to that effect and the written opinion o | earch report will be established and that the declaration under f the International Searching Authority are transmitted herewith. |
| | dditional fee(s) under Rule 40.2, the applicant is notified that: |
| request to forward the texts of both the protest an | been transmitted to the International Bureau together with the applicant's d the decision thereon to the designated Offices. applicant will be notified as soon as a decision is made. |
| 4. Reminders | |
| Bureau. If the applicant wishes to avoid or postpone public priority claim, must reach the International Bureau as provide technical preparations for international publication. | date, the international application will be published by the International ation, a notice of withdrawal of the international application, or of the d in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the completion of the |
| International Bureau. The International Bureau will send a c preliminary examination report has been or is to be establish before the expiration of 30 months from the priority date. | on the written opinion of the International Searching Authority to the copy of such comments to all designated Offices unless an international red. These comments would also be made available to the public but not |
| examination must be filed if the applicant wishes to postpone (in some Offices even later); otherwise, the applicant must, we entry into the national phase before those designated Offices. | ect of some designated Offices, a demand for international preliminary e the entry into the national phase until 30 months from the priority date within 20 months from the priority date, perform the prescribed acts for |
| | onths (or later) will apply even if no demand is filed within 19 months. e applicable time limits, Office by Office, see the <i>PCT Applicant's Guide</i> , |
| Name and mailing address of the ISA/ US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 | Authorized officer For HENRY YUEN Juginia Liby |
| Facsimile No. (571) 273-3201 orm PCT/ISA/220 (January 2004) | APR 1 0 2006 |
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FORD Ex. 1120, page 127 IPR2020-00013 PATENT COOPERATION TREATY

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PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference 0492612-0406 | FOR FURTHER see Form PCT/ISA/220 ACTION as well as, where applicable, item 5 below. | | | | |
|---|---|--|--|--|--|
| International application No. PCT/US05/41317 | International filing date (<i>day/month/year</i>) 14 November 2005 (14.11.2005) | (Earliest) Priority Date (<i>day/month/year</i>) 18 November 2004 (18.11.2004) | | | |
| Applicant MASSACHUSETTS INSTITUTE OF TEC | HNOLOGY | | | | |
| according to Article 18. A copy is being This international search report consists of It is also accompanied 1. Basis of the Report a. With regard to the language, the the international a translation of the of a translation of the b. With regard to any nucleoti 2. Certain claims were found 3. Unity of invention is lacking 4. With regard to the title, the text is approved as submit | of a total of <u>2</u> sheets. I by a copy of each prior art document cited i international search was carried out on the basi application in the language in which it was file the international application into <u>1000000000000000000000000000000000000</u> | n this report. is of: d. , which is the language h (Rules 12.3(a) and 23.1(b)) | | | |
| | nitted by the applicant. d, according to Rule 38.2(b), by this Authority n the date of mailing of this international searcl | | | | |
| as suggested by the as selected by this as selected by this | Authority, because the applicant failed to sugg Authority, because this figure better characteris published with the abstract. | | | | |

| INTERNATIONAL SEARCH REPOR | T International app | pplication No. | | | | | | |
|--|--|--|--|--|--|--|--|--|
| | PCT/US05/4131 | 7 | | | | | | |
| A. CLASSIFICATION OF SUBJECT MATTER IPC(8): F02B 75/12(2006.01) | | | | | | | | |
| USPC: 123/198A,575,1A,525 According to International Patent Classification (IPC) or to both national classification and IPC | | | | | | | | |
| B. FIELDS SEARCHED | | | | | | | | |
| Minimum documentation searched (classification system followed by U.S. : 123/ 198A, 575, 1A, 525 | v classification symbols) | | | | | | | |
| Documentation searched other than minimum documentation to the e NONE | extent that such documents are included | in the fields searched | | | | | | |
| Electronic data base consulted during the international search (name NONE | of data base and, where practicable, sea | rch terms used) | | | | | | |
| C. DOCUMENTS CONSIDERED TO BE RELEVANT | | | | | | | | |
| Category * Citation of document, with indication, where ap | propriate, of the relevant passages | Relevant to claim No. | | | | | | |
| X US 6,076,487 A (WULFF et al) 20 June 2000 (20.06. | 2000), column 4, lines 60-64 and | 1,4,54 | | | | | | |
| column 5, lines 3-6. US 4,495,930 A (NAKAJIMA) 29 January 1985 (29.) | .01.1985), see entire document. 1-22,24-85 | | | | | | | |
| A US 4,402,296 A (SCHWARZ) 06 September 1983 (0 | 06.09.1983), see entire document. 1-22,24-85 | | | | | | | |
| | | | | | | | | |
| Further documents are listed in the continuation of Box C. | See patent family annex. | | | | | | | |
| * Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of | "T" later document published after the in date and not in conflict with the app principle or theory underlying the in | lication but cited to understand the | | | | | | |
| particular relevance "E" earlier application or patent published on or after the international filing date | "X" document of particular relevance; the considered novel or cannot be considered novel is taken alone when the document is taken alone | | | | | | | |
| "L" document which mây throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | "Y" document of particular relevance; th considered to involve an inventive s with one or more other such docum | tep when the document is combined ents, such combination being | | | | | | |
| "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed | obvious to a person skilled in the ar "&" document member of the same pate | | | | | | | |
| Date of the actual completion of the international search | Date of mailing of the international se | arch report | | | | | | |
| 13 March 2006 (13.03.2006) | 06 APR 2006 | | | | | | | |
| Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alwardin Virginia 22313, 1450 | Authorized officer For HENRY YUEN Telephone No. (703) 308-0861 | liby | | | | | | |
| Alexandria, Virginia 22313-1450 Facsimile No. (571) 273-3201 | | - | | | | | | |

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Form PCT/ISA/210 (second sheet) (April 2005)

PATENT COOPERATION TREATY

| From the | ONAL SEARCH | ING AUTHO | DRITY | | | | | | |
|---|---|--|---------------------------|--|---|---|--|--|--|
| To: SAM PASTERNACK CHOATE, HALL & STUART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110 | | | | | PCT WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY | | | | |
| | | | | | | (PCT Rule 43bis.1) | | | |
| | | | | | Date of mailing (day/month/year) 0 6 APR 2006 | | | | |
| Applicant's | or agent's file re | ference | | | FOR FURTHER A | See paragraph 2 below | | | |
| 0492612-04 | | | | | | | | | |
| Internationa | al application No. | | Internatio | onal filing date | (day/month/year) | Priority date (<i>day/month/year</i>) | | | |
| PCT/US05/ | | | | mber 2005 (14.1 | | 18 November 2004 (18.11.2004) | | | |
| Internationa | al Patent Classific | ation (IPC) o | or both nat | ional classificat | ion and IPC | | | | |
| | 02B 75/12(2006 23/198A,575,1A, | | | | | | | | |
| Applicant | 23/198A,3/3,1A, | | | | | | | | |
| | IUSETTS INSTI | TUTE OF TI | CHNOLO | OGY | | | | | |
| | | | | | | | | | |
| 1. This of | pinion contains in | dications rel | ating to the | e following item | 15: | | | | |
| | Box No. I | Basis of the | e opinion | | | | | | |
| | Box No. II | Priority | | | | | | | |
| | Box No. III | Non-establ | ishment of | opinion with re | gard to novelty, inven | tive step and industrial applicability | | | |
| | Box No. IV | Lack of un | ity of inver | ntion | | | | | |
| | Box No. V | Reasoned s applicabilit | tatement u y; citation | inder Rule 43 <i>bis</i> s and explanatic | s.1(a)(i) with regard to ons supporting such sta | o novelty, inventive step or industrial atement | | | |
| | Box No. VI | Certain do | cuments ci | ted | | | | | |
| | Box No. VII | Certain det | ects in the | international ap | oplication | | | | |
| | Box No. VIII | Certain ob | servations | on the internation | onal application | | | | |
| 2. FUR | THER ACTIO | N | | | | | | | |
| Intern | ational Prelimina rity other than th | ary Examinition in the second se | ng Author the IPEA | ity ("IPEA") e and the chosen | xcept that this does | be considered to be a written opinion of the not apply where the applicant chooses an the International Bureau under Rule 66.1 <i>bis(b)</i> ered. | | | |
| If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. | | | | | | | | | |
| 3. For fu | 3. For further details, see notes to Form PCT/ISA/220. | | | | | | | | |
| Mail Stop PCT, Attn: ISA/US Commissioner for Patents 13 March 20 P.O. Box 1450 | | | | | etion of this opinion 6 (13.03.2006) | Authorized officer HENRY YUEN Juginia Liby Telephone No. (703) 308-0861 | | | |
| | Alexandria, Virginia 22313-1450 Facsimile No. (571) 273-3201 | | | | | | | | |

Form PCT/ISA/237 (cover sheet) (April 2005)

| | International application No. | | | | | | |
|--|--|--|--|--|--|--|--|
| WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY | PCT/US05/41317 | | | | | | |
| Box No. I Basis of this opinion | | | | | | | |
| | | | | | | | |
| 1. With regard to the language, this opinion has been established on the basis of: | | | | | | | |
| the international application in the language in which it was filed | | | | | | | |
| a translation of the international application into, which is the lang international search (Rules 12.3(a) and 23.1(b)). | uage of a translation furnished for the purposes of | | | | | | |
| With regard to any nucleotide and/or amino acid sequence disclosed in the intinvention, this opinion has been established on the basis of: | ternational application and necessary to the claimed | | | | | | |
| a. type of material | | | | | | | |
| a sequence listing | | | | | | | |
| table(s) related to the sequence listing | | | | | | | |
| b. format of material | | | | | | | |
| on paper | | | | | | | |
| in electronic form | | | | | | | |
| c. time of filing/furnishing | | | | | | | |
| contained in the international application as filed. | | | | | | | |
| filed together with the international application in electronic form | | | | | | | |
| furnished subsequently to this Authority for the purposes of search | ì. | | | | | | |
| 3. In addition, in the case that more than one version or copy of a sequence or furnished, the required statements that the information in the subse application as filed or does not go beyond the application as filed, as apple. 4. Additional comments: | quent or additional copies is identical to that in the | | | | | | |
| Form PCT/ISA/237(Box No. I) (April 2005) | | | | | | | |

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| | WRITTEN OPINION OF INTERNATIONAL SEARCHING | International application No. PCT/US05/41317 | | | | | | |
|---|---|---|------------|-----|--|--|--|--|
| Box No. V Reasoned statement under Rule 43 <i>bis.</i> 1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | | | | |
| 1. Staten | nent | | | | | | | |
| | Novelty (N) | Claims 2,3,5-22,2 | 4-53,55-85 | YES | | | | |
| | | Claims <u>1,4,54</u> | | NO | | | | |
| | Inventive step (IS) | Claims <u>2,3,5-22,2</u> | 4-53,55-85 | YES | | | | |
| | | Claims <u>1,4,54</u> | | NO | | | | |
| | Industrial applicability (IA) | Claims <u>1-22,24-8</u> | 5 | YES | | | | |
| | | Claims <u>NONE</u> | | NO | | | | |
| | | | | | | | | |

2. Citations and explanations:

Claims 1,4,54 lack novelty under PCT Article 33(2) as being anticipated by Wulff et al (US 6,076,487).

As to Claim 1, Wulff et al discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine; a source of an anti-knock agent; an injector 57 for direct injection of the anti-knock agent into a cylinder of the engine 14; and a fuel management control system 45 for controlling injection of the anti-knock agent into the cylinder to control knock.

As to Claim 4, Wulff et al discloses the anti-knock agent is selected from the group consisting of ethanol, methanol, tertiary butyl alcohol, MTBE, ETBE and TAME.

As to Claim 54, Wulff et al discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine; a source of an anti-knock agent; an injector 57 for direct injection of the anti-knock agent into a cylinder of the engine 14; and a fuel management control system 45 for controlling injection of the anti-knock agent into the cylinder to control knock; wherein the anti-knock agent is selected from the group consisting of methanol, tertiary butyl alcohol, MTBE, ETBE, and TAME.

Claims 2,3,5-22,24-53,55-85 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low.

Claims 1-22,24-85 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/ISA/237 (Box No. V) (April 2005)

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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US05/41317

Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

Claims 1 and 23 are objected to under PCT Rule 66.2(a)(iii) as containing the following defect(s) in the form or contents thereof: Regarding claim 1, currently there are two claims, which are numbered 1. Regarding claim 23, currently there is no claim 23 in the application.

Form PCT/ISA/237 (Box No. VII) (April 2005)

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NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*, a publication of WIPO.

In these Notes, "Article," "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority. one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Volume I/A, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, Volume I/A, paragraph 296).

What parts of the international application may be amended ?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Preliminary Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1). When ?

Where not to file the amendments ?

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The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one How ? or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

Notes to Form PCT/ISA/220 (first sheet) (January 2004)

| SPIR | mo nep to written Op |
|---|---|
| PATENT COOP | PERATION TREATY DOCKETED |
| From the INTERNATIONAL SEARCHING AUTHORITY | Due 9.28/17 |
| To: SAM PASTERNACK CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110 DOCKETED | PCT NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL |
| Due <u>8.28</u> 0 | Date of mailing |
| Applicant's or agent's file reference | day/month/year) 28 JUN 2007 |
| 0492611-0617 - 0433 | FOR FURTHER ACTION See paragraphs 1 and 4 below |
| International application No. PCT/US06/12750 | International filing date (<i>day/month/year</i>) 06 April 2006 (06.04.2006) |
| Applicant MASSACHUSETTS INSTITUTE OF TECHNOLOGY | |
| | earch report and the written opinion of the International Searching Authority |
| Filing of amendments and statement under Article I The applicant is entitled, if he so wishes, to amend the c | |
| | is normally two months from the date of transmittal of the international |
| Where? Directly to the International Bureau of WII 1211 Geneva 20, Switzerland, Facsimile N | |
| For more detailed instructions, see the notes on the | e accompanying sheet. |
| | arch report will be established and that the declaration under the International Searching Authority are transmitted herewith. |
| 3. With regard to the protest against payment of (an) add | ditional fee(s) under Rule 40.2, the applicant is notified that: |
| the protest together with the decision thereon has the request to forward the texts of both the protest and | been transmitted to the International Bureau together with the applicant's the decision thereon to the designated Offices. |
| | pplicant will be notified as soon as a decision is made. |
| Bureau. If the applicant wishes to avoid or postpone publica | ate, the international application will be published by the International tion, a notice of withdrawal of the international application, or of the in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the completion of the |
| International Bureau. The International Bureau will send a co | n the written opinion of the International Searching Authority to the py of such comments to all designated Offices unless an international d. These comments would also be made available to the public but not |
| examination must be filed if the applicant wishes to postpone t | t of some designated Offices, a demand for international preliminary he entry into the national phase until 30 months from the priority date thin 20 months from the priority date, perform the prescribed acts for |
| | ths (or later) will apply even if no demand is filed within 19 months. applicable time limits, Office by Office, see the <i>PCT Applicant's Guide</i> , |
| Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (571) 273-3201 | Authorized officer Supphen Kirk Cronin Telephone No. (703) 308-0861 |

Form PCT/ISA/220 (January 2004)

(See notes on accompanying sheet)

PATENT COOPERATION TREATY

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PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference 0492611-0617 | | Form PCT/ISA/220 ere applicable, item 5 below. |
|--|---|--|
| International application No. PCT/US06/12750 | International filing date (<i>day/month/year</i>) 06 April 2006 (06.04.2006) | (Earliest) Priority Date (day/month/year) 06 April 2005 (06.04.2005) |
| Applicant MASSACHUSETTS INSTITUTE OF TECH | INOLOGY | |
| according to Article 18. A copy is being t This international search report consists of It is also accompanied I 1. Basis of the Report a. With regard to the language, the in the international ag a translation of the of a translation fur b. With regard to any nucleotide 2. Certain claims were found u 3. Unity of invention is lacking 4. With regard to the title, the text is approved as submit | f a total of <u>2</u> sheets. by a copy of each prior art document cited international search was carried out on the bas oplication in the language in which it was file international application into | in this report. is of: |
| With regard to the abstract, the text is approved as submitted | led by the applicant. | |
| the text has been established, a | according to Rule 38.2(b), by this Authority a he date of mailing of this international search | s it appears in Box No. IV. The applicant report, submit comments to this Authority. |
| as suggested by the ap as selected by this Au | thority, because the applicant failed to sugges thority, because this figure better characterize | 5 |

Form PCT/ISA/210 (first sheet) (April 2005)

| | INTERNATIONAL SEARCH REPO | RT | International appli | cation No. |
|--|---|--------------|---|----------------------------------|
| | | | PCT/US06/12750 | |
| A. CLA IPC: | SSIFICATION OF SUBJECT MATTER F02B 77/04(2006.01) | | | |
| USPC: According to | 123/198A,435,406.29,406.47,25C,559.1 International Patent Classification (IPC) or to both na | tional class | ification and IPC | |
| B. FIEL | DS SEARCHED | | | |
| | cumentation searched (classification system followed 23/198A,435,406.29,406.47,25C,559.1 | oy classific | ation symbols) | |
| Documentat NONE | ion searched other than minimum documentation to the | extent that | t such documents are included in | the fields searched |
| Electronic da NONE | ata base consulted during the international search (nam | e of data ba | ase and, where practicable, search | h terms used) |
| C. DOC | UMENTS CONSIDERED TO BE RELEVANT | <u></u> | | |
| Category * | Citation of document, with indication, where a | ppropriate, | , of the relevant passages | Relevant to claim No. |
| Х | US 6,513,505 B2 (WATANABE et al) 04 February | 2003 (04.02 | 2.2003), column 5, lines 45- | 1,2,17,36 |
| A | 66. US 4,541,383 A (JESSEL) 17 September 1985 (17.0 | 9.1985), co | olumn 1, lines 10-20. | 1-51 |
| A US 5,937,799 A (BINION) 17 August 1999 (17.08.1999), column 8, lines 20-35. 1-51 | | | | 1-51 |
| | | | | |
| Furthe | r documents are listed in the continuation of Box C. | | See patent family annex. | |
| | Special categories of cited documents: | "Т" | later document published after the inter date and not in conflict with the applica | tion but cited to understand the |
| particula | at defining the general state of the art which is not considered to be of r relevance | "X" | principle or theory underlying the inver document of particular relevance; the c | laimed invention cannot be |
| | oplication or patent published on or after the international filing date | | considered novel or cannot be consider when the document is taken alone | ed to involve an inventive step |
| "L" documer establish specified | It which may throw doubts on priority claim(s) or which is cited to the publication date of another citation or other special reason (as)) | "Y" | document of particular relevance; the ci considered to involve an inventive step combined with one or more other such | when the document is |
| "O" documer | at referring to an oral disclosure, use, exhibition or other means | | being obvious to a person skilled in the | |
| | at published prior to the international filing date but later than the late claimed | "&" | document member of the same patent f | amily |
| | ctual completion of the international search | Date of m | nailing of the international search | n report |
| | 7 (31.05.2007) | ZO. | | |
| | ailing address of the ISA/US il Stop PCT, Attn: ISA/US | Authorize | | |
| Co | mmissioner for Patents D. Box 1450 | Stephen | Kirk Cronin | |
| Ale | exandria, Virginia 22313-1450 | Telephon | e No. (703) 308-0861 | |
| Facsimile No | o. (571) 273-3201 | | | |

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Form PCT/ISA/210 (second sheet) (April 2005)

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| ί. | PATENT COOPERATION TREAT | |

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| From the INTERNATIONAL SEARCHING AUTHO | RITY | | | | |
|---|---|---|--|--|--|
| To: SAM PASTERNACK CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110 | | PCT WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY | | | |
| | | | | | |
| | | | (PCT Rule 43 <i>bis</i> .1) | | |
| · | | Date of mailing (day/month/year) 28 JUN 2007 | | | |
| Applicant's or agent's file reference | · | FOR FURTHER | ACTION See paragraph 2 below | | |
| 0492611-0617 | | L | | | |
| International application No. | International filing date | (day/month/year) | Priority date (day/month/year) | | |
| | 06 April 2006 (06.04.20 | | 06 April 2005 (06.04.2005) | | |
| International Patent Classification (IPC) or | both national classificat | ion and IPC | | | |
| IPC: F02B 77/04(2006.01) | 1.250 | | | | |
| USPC: 123/198A,406.29,406.47,435,559 Applicant |).1,25C | | | | |
| MASSACHUSETTS INSTITUTE OF TEC | | | | | |
| MASSACHOSELLS INSTITUTE OF TEC | | | | | |
| 1. This opinion contains indications relating to the following items: Image: Box No. I Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Box No. V Reasoned statement cited Box No. VII Certain defects in the international application Box No. VII Certain observations on the international application If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis, that written opinions of this International Searching Authority will not be so considered. | | | | | |
| If this opinion is, as provided above, IPEA a written reply together, where a of Form PCT/ISA/220 or before the exp For further options, see Form PCT/ISA 3. For further details, see notes to Form P | ppropriate, with amendr piration of 22 months fro /220. | ments, before the exp | EA, the applicant is invited to submit to the biration of 3 months from the date of mailing whichever expires later. | | |
| Name and mailing address of the ISA/ US Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (571) 273-3201 | Date of complet 31 May 2007 (3 | ion of this opinion 1.05.2007) | Authorized officer Stephen Kirk Crohin Telephone Nd. (703) 308-0861 | | |

Form PCT/ISA/237 (cover sheet) (April 2005)

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| WRITTEN OPINION OF THE | International application No. |
| INTERNATIONAL SEARCHING AUTHORITY | PCT/US06/12750 |
| Box No. I Basis of this opinion | J |
| With regard to the language, this opinion has been established on the basis of: the international application in the language in which it was filed a translation of the international application into, which is the languinternational search (Rules 12.3(a) and 23.1(b)). | uage of a translation furnished for the purposes of |
| 2. With regard to any nucleotide and/or amino acid sequence disclosed in the in invention, this opinion has been established on the basis of: | ternational application and necessary to the claimed |
| a. type of material a sequence listing table(s) related to the sequence listing | |
| b. format of material on paper in electronic form | |
| c. time of filing/furnishing contained in the international application as filed. filed together with the international application in electronic form. furnished subsequently to this Authority for the purposes of search. | |
| In addition, in the case that more than one version or copy of a sequence or furnished, the required statements that the information in the subseq application as filed or does not go beyond the application as filed, as apprend. Additional comments: | uent or additional copies is identical to that in the |
| | |
| | Box No. I Basis of this opinion 1. With regard to the language, this opinion has been established on the basis of: |

Form PCT/ISA/237(Box No. I) (April 2005)

| | | New York Company of the Second Se | | | |
|--|--|--|---|--|--|
| WRITTEN OPINION OF INTERNATIONAL SEARCHING A | | International application No. PCT/US06/12750 | | | |
| Box No. V Reasoned statement under Rule 43 <i>bis</i> .1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | |
| 1. Statement | | | | | |
| Novelty (N) | Claims <u>3-16,18-35,37</u> | 7-51 YES | 5 | | |
| | Claims <u>1.2.17,36</u> | NO | | | |
| Inventive step (IS) | Claims <u>3-16,18-35,37</u> | 7-51 YES | 5 | | |
| | Claims <u>1,2,17,36</u> | NO | | | |
| Industrial applicability (IA) | Claims <u>1-51</u> | YES | 5 | | |
| | Claims <u>NONE</u> | NO | | | |
| 2. Citations and explanations: Claims 1.2.17.36 lacks novelty under PCT Article 33(| 2) as being opticipated by W | stando et el (110 (512 505) | | | |
| As to Claim 1, Watanabe et al discloses fuel managemignition engine 1; a source of gasoline; a source of ant agent 9 into a cylinder 1a of the engine 1; and a fuel minto the cylinder 1a to control knock, wherein the antilleast three times that of gasoline. See col. 2, lines 12-2 As to Claim 2, Watanabe et al discloses fuel managemengine 1; a source of gasoline; a source of an anti-kno 9 into a cylinder of the engine; and a fuel management | hent system for operation of a i-knock agent 9 which is a fu anagement control system 3 knock agent 9 has a heat of v 20, col. 5, lines 45-66 and col hent system for operation of a ck agent 9 which is a fuel an | a spark ignition gasoline engine comprising: a spark itel; an injector 2 for direct injection of the anti-knock of for controlling injection of the anti-knock agent 9 aporization per unit of combustion energy that is at . 6, lines 1-27 and Figs. 1-6. | k | | |

cylinder when engine torque is above a selected value or fraction of maximum torque where the value or fraction of maximum torque is a function of engine speed.

As to Claim 17, Watanabe et al discloses wherein the anti-knock agent is ethanol and where the amounts of air, ethanol and gasoline per cylinder per cycle are controlled so as to achieve a substantially stoichiometric fuel/ air ratio.

As to Claim 36, Watanabe et al discloses fuel management system for efficient operation of a spark ignition gasoline engine comprising: a gasoline engine 1; a source of an anti-knock agent 9; an injector 2 for direct injection of both the anti-knock agent and the gasoline into a cylinder of the engine; and a fuel management control system 30 for controlling injection of the anti-knock agent into the cylinder to control knock.

Claims 3-16,18-35,37-51 meets the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest wherein the maximum anti-knock agent energy fraction used during a drive cycle is between 30% and 100%.

Claims 1-51 meets the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Form PCT/ISA/237 (Box No. V) (April 2005)

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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

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International application No.

PCT/US06/12750

Box No. VII Certain defects in the international application

. . . .

The following defects in the form or contents of the international application have been noted:

Claims 4,42,48 objected to under PCT Rule 66.2(a)(iii) as containing the following defect(s) in the form or contents thereof: The inlet valve of claim 4 lacks proper antecedent basis. The claim 42 is an improper multiple dependent claim (not in alternative format, and dependent upon other multiple dependent claims). The claim 48, "expandable pipe and funnel" is not shown in the drawings.

Form PCT/ISA/237 (Box No. VII) (April 2005)

| Electronic Patent Application Fee Transmittal | | | | | |
|---|----------------|-------------------------------------|------------------|-------------------|-------------------------|
| Application Number: | 11 | 11840719 | | | |
| Filing Date: | 17 | -Aug-2007 | | | |
| Title of Invention: | | el Management Sys soline Engines | tem for Variable | Ethanol Octane Ei | nhancement of |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | |
| Filer: | Sa | m Pasternack/Elyse | Pino | | |
| Attorney Docket Number: | 0492611-0806 | | | | |
| Filed as Small Entity | | | | | |
| Utility under 35 USC 111(a) Filing Fees | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: | | | | | |
| Pages: | | | | | |
| Claims: | | | | | |
| Miscellaneous-Filing: | | | | | |
| Petition: | | | | | |
| Patent-Appeals-and-Interference: | | | | | |
| Post-Allowance-and-Post-Issuance: | | | | | |
| Extension-of-Time: | | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|---|----------|-----------|--------|-------------------------|
| Miscellaneous: | | | | |
| Submission- Information Disclosure Stmt | 1806 | 1 | 180 | 180 |
| | Tot | al in USD |) (\$) | 180 |

| Electronic Acknowledgement Receipt | | |
|--------------------------------------|---|--|
| EFS ID: | 4948453 | |
| Application Number: | 11840719 | |
| International Application Number: | | |
| Confirmation Number: | 1817 | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | |
| Customer Number: | 24280 | |
| Filer: | Sam Pasternack/Kimberly Hutchins | |
| Filer Authorized By: | Sam Pasternack | |
| Attorney Docket Number: | 0492611-0806 | |
| Receipt Date: | 12-MAR-2009 | |
| Filing Date: | 17-AUG-2007 | |
| Time Stamp: | 17:43:24 | |
| Application Type: | Utility under 35 USC 111(a) | |

Payment information:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) | |
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| File Listing | J: | | | | | |
| Authorized User | | | | | | |
| Deposit Account | | | | | | |
| RAM confirmation Number | | 3298 | | | | |
| Payment was successfully received in RAM | | \$180 | \$180 | | | |
| Payment Type | | Credit Card | | | | |
| Submitted with Payment | | yes | | | | |

| Information | : | | | | |
|-------------|---|--------------------------|--|----|---|
| Warnings: | | | 4863029001d5a0ff00535a52e17d4b3c2ad e56aa | | |
| 6 | Fee Worksheet (PTO-06) | fee-info.pdf | 29982 | no | 2 |
| Information | • | | | | |
| Warnings: | | | · | | - |
| 5 | NPL Documents | NOA_11684100_090303.pdf | e5fe1cee0fc6d5cfca3b2f6be9b53ae18ed03 a9b | no | 7 |
| | | | 323701 | | |
| Information | : | | | | |
| Warnings: | | | 3009 | | |
| 4 | NPL Documents | ISR_WO_pctus06012750.pdf | cdab049aaa608ca1061757f1f12b6b66a9e6 3c09 | no | 7 |
| | | | 480648 | | |
| Information | : | | | | |
| Warnings: | | | UZCUT | | |
| 3 | NPL Documents | ISR_WO_pctus05041317.pdf | 89b76226212e5c99ba140d9a3591bde3a3f 62c0f | no | 8 |
| | | | 592173 | | |
| Information | : | | | | |
| Warnings: | | | a72 | | |
| 2 | Information Disclosure Statement (IDS) Filed (SB/08) | US_IDS_FormSB_08a.pdf | 776afcfe25a853ff34af1b97b698f3557bb38 | no | 5 |
| | | | 898181 | | |
| Information | : | | | | |
| Warnings: | | | 246d | | |
| 1 | Information Disclosure Statement Letter | IDS_ltr_03-12-09.pdf | a783efec2c6187aa12b672afdd55a2673822 | no | 2 |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/81 (01-09) Approved for use through 11/30/2011. OMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Under the Pape | rwork Reduction Act of 1995, no persons are require | ed to respond to a colle | ction of infor | mation unless | it displays | a valid OMB control numb |
|-----------------------------------|---|--------------------------|----------------|---------------|--------------|--------------------------|
| POW | ER OF ATTORNEY | Application Num | ber | 11/840,71 | 9 | |
| . 511 | OR | Filing Date | | August 17 | , 2007 | |
| REVOCATION | OF POWER OF ATTORNEY | First Named Inve | ntor | Cohn, Dar | niel R. | |
| | V POWER OF ATTORNEY | Title | | Fuel Mana | agement S | ystem for |
| | AND | Art Unit | | 3741 | | |
| | ORRESPONDENCE ADDRESS | Examiner Name | | Ali, Hyder | | |
| CHANGE OF CO | | Attorney Docket | Number | 0492611-0 | 0806 (MIT | 11381) |
| I hereby revoke all | previous powers of attorney given i | n the above-ider | ntified ap | plication. | | |
| A Power of Atto | prney is submitted herewith. | | | | | |
| Number as my/ identified above | t Practitioner(s) associated with the following our attorney(s) or agent(s) to prosecute the a e, and to transact all business in the United S Office connected therewith: | pplication | | 24 | 4280 | |
| I hereby appoin | t Practitioner(s) named below as my/our atto usiness in the United States Patent and Trad | | | | ation ident | ified above, and |
| | Practitioner(s) Name | | Regi | stration Nu | mber | |
| | | | | | | |
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| OR The address ass OR | sociated with the above-mentioned Custome | 24280 | | | | |
| Firm or Individual Name | | | | | P_{i} | |
| Address | | | | | | |
| City | | State | | | Zip | |
| Country | | | L | | | |
| Telephone | | Email | | | | |
| | or. ord of the entire interest. See 37 CFR 3.71. r 37 CFR 3.73(b) (Form PTO/SB/96) submitte | ed herewith or filed a | חת | | | |
| | SIGNATURE of Appli | | | | | · |
| Signature | to know allow | <u>_</u> | Date | 2 | 9 ADRI | L 2009 |
| Name | DANIEL O'BRI | EN | Telep | hone d | 617: | 253 6966 |
| Title and Company | INTELLECTUAL PROPER | | | | | |
| | e inventors or TECHNOLOGYthLICENS | | ative(s) are r | equired. Subr | nit multiple | forms if more than one |
| Total of | forms are submitted. | | | | | |
| | | | | | | |

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete form and/or suggestions for reducing this burden, should be sent to the Chef Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

| | STATEMENT UNDER 37 CFR 3.73(b) | |
|-------------------------|--|-------------------------------------|
| Applicant/Patent | Dwner: Massachusetts Institute of Technology | |
| Application No./P | atent No.: 11/840,719 Filed/Issue Date: August 17, 2007 | |
| Fitled: FUEL I ENGIN | /ANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF ES | GASOLINE |
| lassachusetts I | nstitute of Technology, aeducational institution | |
| lame of Assignee) | (Type of Assignee, e.g., corporation, partnership, university, gov | remment agency, etc. |
| tates that it is: | | |
| . 🗙 the as | signee of the entire right, title, and interest in; | |
| an ass (The e | signee of less than the entire right, title, and interest in extent (by percentage) of its ownership interest is%); or | |
| the as | signee of an undivided interest in the entirety of (a complete assignment from one of the joint inv | ventors was made) |
| e patent applica | tion/patent identified above, by virtue of either: | |
| the Ur | signment from the inventor(s) of the patent application/patent identified above. The assignment ited States Patent and Trademark Office at Reel 021864 , Frame 0406 herefore is attached. | was recorded in , or for which a |
| · · · · · · | n of title from the inventor(s), of the patent application/patent identified above, to the current assi | ignee as follows: |
| | om: To: | |
| 1. A. A. | The document was recorded in the United States Patent and Trademark Office at | |
| | Reel, Frame, or for which a copy thereof | f is attached. |
| 2. Fr | | |
| | The document was recorded in the United States Patent and Trademark Office at | |
| | Reel, Frame, or for which a copy thereof | is attached. |
| 3. Fr | om: To: | |
| 0.11 | The document was recorded in the United States Patent and Trademark Office at | |
| | Reel, Frame, or for which a copy thereof | is attached. |
| | | |
| Addit | onal documents in the chain of title are listed on a supplemental sheet(s). | |
| | by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner ently is being, submitted for recordation pursuant to 37 CFR 3.11. | r to the assignee w |
| accordance | separate copy (<i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to A e with 37 CFR Part 3, to record the assignment in the records of the USPTO. <u>See</u> MPEP 302.08] | |
| he undereigned | whose stille is supplied below) is authorized to act on behalf of the assignee. | 11 2009 |
| | | |
| | DANIEL O'BRIEN | |
| Dai | DANIEL O'BRIEN INTELLECTUAL PROPERTY MANAGER TECHNOLOGY LICENSING OFFICE | 5 |

genroins, prepaning, and submitting the completed application form to the USP10. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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| Electronic Acl | knowledgement Receipt |
|--------------------------------------|---|
| EFS ID: | 6061683 |
| Application Number: | 11840719 |
| International Application Number: | |
| Confirmation Number: | 1817 |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines |
| First Named Inventor/Applicant Name: | Daniel R. Cohn |
| Customer Number: | 24280 |
| Filer: | Sam Pasternack/Christina Andrews |
| Filer Authorized By: | Sam Pasternack |
| Attorney Docket Number: | 0492611-0806 |
| Receipt Date: | 13-SEP-2009 |
| Filing Date: | 17-AUG-2007 |
| Time Stamp: | 11:10:24 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| Submitted with F | Payment | no | | | |
|--------------------|-----------------------------|-----------|--|---------------------|---------------------|
| File Listing: | | | | | |
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | Power of Attorney | POA.pdf | 153443 | no | 1 |
| | Power of Attorney | POA.pui | 4254c696fe6886297c884287228e1008db0 325a9 | 110 | I |
| Warnings: | | | | | |
| Information: | | | | | |

| 2 | Assignee showing of ownership per 37 CFR 3.73(b). | 373b.pdf | 166797 78f2ffb5432aaea5f54b0a244784c4a580d4a 1c3 | no | 1 |
|---|---|---|--|---|--|
| Warnings: | <u>.</u> | | | | <u>.</u> |
| Information | : | | | | |
| | | Total Files Size (in bytes) | 3 | 20240 | |
| characterize Post Card, a <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowled <u>o</u> <u>National Sta</u> If a timely su U.S.C. 371 a national sta <u>New Interna</u> If a new inte an international sta | vledgement Receipt evidences receip ed by the applicant, and including pag s described in MPEP 503. Ations Under 35 U.S.C. 111 dication is being filed and the applica and MPEP 506), a Filing Receipt (37 CF gement Receipt will establish the filin age of an International Application ur ubmission to enter the national stage and other applicable requirements a F ge submission under 35 U.S.C. 371 wi ational Application Filed with the USP trational application is being filed ar onal filing date (see PCT Article 11 an international Filing Date (Form PCT/RC curity, and the date shown on this Ack ion. | ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>Inder 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>TO as a Receiving Office</u> and the international applicat d MPEP 1810), a Notification D/105) will be issued in due c | It serves as evidence components for a filir course and the date s on is compliant with ng acceptance of the e Filing Receipt, in du ion includes the nece of the International ourse, subject to pres | e of receipt ing date (see shown on th the condition application e course. essary comp Application scriptions c | similar to a 37 CFR his ons of 35 h as a oonents for h Number oncerning |

| | ED STATES PATEN | T AND TRADEMARK OFFICE | UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22. www.uspto.gov | FOR PATENTS |
|-----------------|------------------------------------|------------------------|--|------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 11/840,719 | 08/17/2007 | Daniel R. Cohn | 0492611-0806 | 1817 |
| | 7590 09/22/200 LL & STEWART LLP | | EXAM | IINER |
| TWO INTERN | IATIONAL PLACE | | MCMAHON, M | IARGUERITE J |
| BOSTON, MA | . 02110 | | ART UNIT | PAPER NUMBER |
| | | | 3741 | |
| | | | | |
| | | | NOTIFICATION DATE | DELIVERY MODE |
| | | | 09/22/2009 | ELECTRONIC |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@choate.com

| | Application No. | Applicant(s) |
|--|--|---|
| | 11/840,719 | COHN ET AL. |
| Office Action Summary | Examiner | Art Unit |
| | Marguerite J. McMahon | 3741 |
| The MAILING DATE of this communication app Period for Reply | bears on the cover sheet with the c | correspondence address |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING D/ Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period v Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATIOI 36(a). In no event, however, may a reply be tir vill apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). |
| Status | | |
| 1) Responsive to communication(s) filed on $25 N_{\odot}$ | ovember 2008. | |
| | action is non-final. | |
| 3) Since this application is in condition for allowar | nce except for formal matters, pro | osecution as to the merits is |
| closed in accordance with the practice under E | Ex parte Quayle, 1935 C.D. 11, 4 | 53 O.G. 213. |
| Disposition of Claims | | |
| 4) Claim(s) <u>74-98</u> is/are pending in the application | n | |
| 4a) Of the above claim(s) is/are withdraw | | |
| 5) Claim(s) is/are allowed. | | |
| 6) Claim(s) $74-98$ is/are rejected. | | |
| 7) Claim(s) $\underline{\qquad}$ is/are objected to. | | |
| 8) Claim(s) are subject to restriction and/o | r election requirement | |
| | | |
| Application Papers | | |
| 9) The specification is objected to by the Examine | r. | |
| 10) The drawing(s) filed on is/are: a) acc | epted or b) objected to by the | Examiner. |
| Applicant may not request that any objection to the | drawing(s) be held in abeyance. Se | e 37 CFR 1.85(a). |
| Replacement drawing sheet(s) including the correct | ion is required if the drawing(s) is ob | jected to. See 37 CFR 1.121(d). |
| 11) The oath or declaration is objected to by the Ex | aminer. Note the attached Office | Action or form PTO-152. |
| Priority under 35 U.S.C. § 119 | | |
| 12) Acknowledgment is made of a claim for foreign | priority under 35 LLS C & 119(a | (d) or (f) |
| a) All b) Some * c) None of: | | |
| 1. Certified copies of the priority document | s have been received | |
| 2. Certified copies of the priority documents | | ion No |
| 3. Copies of the certified copies of the prior | | |
| application from the International Bureau | - | |
| * See the attached detailed Office action for a list | | he |
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| Attachment(s) | | |
| 1) X Notice of References Cited (PTO-892) | 4) 🔲 Interview Summary | (PTO-413) |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) | ───────────────────────────────────── | |
| Paper No(s)/Mail Date <u>11/25/08; 12/12/08; 3/12/09</u> . | 6) Other: | 4-1 |
| L U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Office Ac | ction Summary Pa | art of Paper No./Mail Date 20090917 |

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DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 74-76, 78, 80, 81, 83-88, 90-95, 87, and 98 are rejected under 35

U.S.C. 102(b) as being clearly anticipated by Cohn et al (2006/0102146).

Claims 74-76, 78, 80, 81, 83-88, 90-95, 87, and 98 are rejected under 35

U.S.C. 102(b) as being clearly anticipated by Cohn et al (2006/0102145).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 77, 79, 82, 89, and 96 are rejected under 35 U.S.C. 103(a) as being

unpatentable over Cohn et al (2006/0102146) or Cohn et al (2006/0102145), In view of

Bromberg et al (2006/0102136). Both Cohn et al references show everything except

employing open loop control using a look-up map. Bromberg et al teach that it is old in

the art to employ open loop control using a look-up map (as well as closed loop control

using a knock sensor). It would have been obvious to one having ordinary skill in the art

to modify the Cohn et al references by employing open loop control using a look-up

Application/Control Number: 11/840,719 Art Unit: 3741

map, in order to provide increased safety and control over the use of the anti-knock additive.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marguerite J. McMahon whose telephone number is 571-272-4848. The examiner can normally be reached on Monday- Friday, 10am-6:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Cuff can be reached on 571-272-6778. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Marguerite McMahon

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> Primary Examiner Art Unit 3741

/Marguerite McMahon/ Primary Examiner, Art Unit 3741 Page 4

| Notice of References Cited | | | Application/Control No. Applicant(s) 11/840,719 COHN ET A | | | | |
|----------------------------|----------------------------|--|---|----------|-----------------------|------|----------------|
| | Notice of References Cited | | | Examiner | Art Unit | | |
| | | | | | Marguerite J. McMahon | 3741 | Page 1 of 1 |
| | | | | U.S. P/ | ATENT DOCUMENTS | · | · |
| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | | Name | | Classification |
| * | А | US-2006/0102146 | 05-2006 | Cohn e | t al. | | 123/406.29 |
| * | В | US-2006/0102145 | 05-2006 | Cohn e | t al. | | 123/406.29 |
| * | С | US-2006/0102136 | 05-2006 | Brombe | erg et al. | | 123/198.00A |
| * | D | US-7,461,628 | 12-2008 | Blumbe | erg et al. | | 123/304 |
| * | Е | US-7,581,528 | 09-2009 | Stein e | t al. | | 123/431 |
| * | F | US-2007/0119391 | 05-2007 | Fried et | t al. | | 123/025.00A |
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| | J | US- | | | | | |
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FOREIGN PATENT DOCUMENTS

| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Country | Name | Classification |
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NON-PATENT DOCUMENTS

| * | | Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) |
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20090917

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EAST Search History

EAST Search History (Prior Art)

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|----------|------|--|---|---------------------|---------|---------------------|
| L1 | 2 | "6076487".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:04 |
| L2 | 2 | "6513505".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:15 |
| L3 | 4 | 1 or 2 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:17 |
| L4 | 0 | 3 and "closed loop" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:17 |
| L5 | 0 | 3 and loop | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:17 |
| L6 | 0 | 3 and (knock with (sensor or detector)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:19 |
| L7 | 1 | 1 and "control mechanism" and "45" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:21 |

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| L8 | 48 | 3123/1a.ccls. antiknock and (knock with (sensor or detector)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 10:35 |
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| L9 | 0 | 3123/1a.ccls. and (knock with (sensor or detector)) and (alcohol or ethanol) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 11:03 |
| L10 | 41 | 123/1a.ccls. and (knock with (sensor or detector)) and (alcohol or ethanol) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 11:03 |
| L11 | 17 | 123/1a.ccls. and (knock with (sensor or detector) same (alcohol or ethanol)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 11:16 |
| L12 | 78 | "123"/\$.ccls. and (knock with (sensor or detector) same (alcohol or ethanol)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 11:26 |
| L13 | 61 | 12 not 11 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 11:26 |
| L14 | 75 | "123"/\$.ccls. and (knock near3 (sensor or detector) same (alcohol or ethanol)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 11:28 |
| L15 | 0 | 14 not 12 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB | OR | OFF | 2009/09/17 11:28 |

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| L16 | 59 | 14 not 11 | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 11:28 |
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| L17 | 2 | "7225787".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:27 |
| L18 | 143 | ("20060102146" "2741230" "3089470" "3106194" "3557763" "4596277" "4031864" "4056087" "4182278" "4230072" "4312310" "4402296" "4402296" "4402296" "4402296" "4402296" "4596277" "4596277" "4596277" "4596277" "4596277" "4958598" "4967714" "4958598" "4967714" "4973386" "5497744" "5715788" "5983855" "5179923" "5233944" "5497744" "5560344" "5560344" "55937799" "5983855" "6073607" "6321692" "6340015" "6755175" "6955154" "6073607" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:32 |

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| L21 | 3 | 18 and ((knock near4 (sensor or detector)) with (alcohol or ethanol)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:41 |
|-----|---|--|---|----|-----|---------------------|
| L22 | 2 | "7225787".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:43 |
| L23 | 2 | "20060102136".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:44 |
| L24 | 5 | 18 and ((knock near4 (sensor or detector)) and (alcohol or ethanol)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:46 |
| L25 | 2 | "2958314".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:54 |
| L26 | 3 | "2958317".pn. | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 12:59 |
| L27 | 1 | "2958317".pn. and knock | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:01 |
| L28 | 0 | "2958317".pn. and (knock with (sensor or detector)) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:02 |

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| L29 | 1 | "20060102146".pn. and stoichiometric | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:15 |
|-----|---|--|---|----|-----|---------------------|
| L30 | 1 | "20060102145".pn. and stoichiometric | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:16 |
| L31 | 2 | "20060102145".pn. and "spark ignition" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:16 |
| L32 | 1 | "20060102145".pn. and (turbocharged or supercharged) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:17 |
| L33 | 0 | "20060102145".pn. and table | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:23 |
| L34 | 0 | "20060102146".pn. and table | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:23 |
| L35 | 1 | "20060102136".pn. and table | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:23 |
| L36 | 1 | "20060102145".pn. and separated | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB | OR | OFF | 2009/09/17 13:26 |

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| L37 | 1 | "20060102146".pn. and separated | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:26 |
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| L38 | 2 | "20060102146".pn. and methanol | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:27 |
| L39 | 1 | "20060102145".pn. and methanol | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:27 |
| L40 | 1 | "20060102145".pn. and water | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:27 |
| L41 | 1 | "20060102146".pn. and water | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:27 |
| L42 | 1 | "20060102146".pn. and (reduced or eliminated) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:28 |
| L43 | 1 | "20060102145".pn. and (reduced or eliminated) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:29 |
| L44 | 1 | "20060102145".pn. and downsizing | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:30 |

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| L45 | 1 | "20060102146".pn. and downsizing | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:30 |
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| L46 | 1 | "20060102146".pn. and "octane numbers" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:32 |
| L47 | 1 | "20060102145".pn. and "octane numbers" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:34 |
| L48 | 1 | "20060102145".pn. and lubricant | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:34 |
| L49 | 1 | "20060102146".pn. and lubricant | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:35 |
| L50 | 1 | "20060102146".pn. and water | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:35 |
| L51 | 1 | "20060102145".pn. and water | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:35 |
| L52 | 1 | "20060102145".pn. and "spark retard" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:36 |

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| L54 | 0 | "20060102136".pn. and look | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:47 |
| L55 | 1 | "20060102136".pn. and table | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2009/09/17 13:47 |

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| Application/Control No. | Applicant(s)/Patent under Reexamination | | | |
|-------------------------|--|--|--|--|
| 11/840,719 | COHN ET AL. | | | |
| Examiner | Art Unit | | | |
| Marguerite J. McMahon | 3741 | | | |

| | SEARCHED | | | | | | |
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| Class | Subclass | Date | Examiner | | | | |
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Daniel R. Cohn

Ali, Hyder

3747

0492611-0806

First Named Inventor

Attorney Docket Number

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| First Named Inventor Danie | | R. Cohn | | |
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This is in response to the Power of Attorney filed 09/13/2009.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/snguyen/

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page 1 of 1

ATTORNEY'S DOCKET NUMBER: 0492611-0806 (MIT-11381) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn

Serial No: 11/840,719

Confirmation No.: 1817

Art Unit: 3747

Filed: August 17, 2007

Examiner: Marguerite McMahon

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Madam:

RESPONSE TO NON-FINAL OFFICE ACTION UNDER 37 C.F.R. § 1.111

Applicant hereby submits the following Response to the Non-Final Office Action mailed September 22, 2009. Applicant respectfully requests consideration and entry of this Response.

Listing of Claims begin on page 2.

Remarks begin on page 10.

An **Appendix** including Response to Non-Final Office Action and a Terminal Disclaimer both dated November 25, 2008 are attached following page 11.

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Listing of Claims

74. (Original) A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of an anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and the anti-knock agent that is directly injected ; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio.

75. (Original) The engine system of claim 74 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

76. (Original) The engine of claim 75 where the liquid contained in the second source is an

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FORD Ex. 1120, page 182 IPR2020-00013 alcohol.

77. (Original) The engine system of claim 74 where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent in the engine and uses both closed loop control with a knock detector and open loop control with a look up table and; where the fuel management system minimizes the amount of anti-knock agent that is used over a drive cycle.

78. (Original) The engine system of claim 77 where the anti-knock agent is an alcohol and is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

79. (Original) A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of an anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and the anti-knock agent that is directly injected ; and

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a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio;

and wherein engine downsizing is used to obtain a higher efficiency than a larger engine that uses port fuel injection of gasoline alone and produces the same maximum horsepower.

80. (Original) The engine system of claim 79 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of the gasoline.

81. (Original) The engine system of claim 80 wherein the anti-knock agent is ethanol and is directly injected in such an amount so as to allow operation of a given engine at least twice the knock free torque attainable when no directly injected ethanol is used; and where during some of the operating time the ethanol energy fraction is at least 20 % and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers.

82. (Original) The engine system of claim 81 where the fuel management system limits the required ethanol energy fraction needed to prevent knock to less than 6% over a drive cycle; and

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where the fuel management system uses both closed loop control which employs a knock detector and open loop control and a look up table.

83. (Original) The engine system of claim 79 where the anti-knock agent is ethanol and is separated from an ethanol–gasoline mixture on board the vehicle.

84. (Original) The engine system of claim 79 where the anti-knock agent is methanol.

85. (Original) The engine system of claim 79 where the second source contains a mixture of alcohol and water.

86. (Original) A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of a liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline that is port fuel injected and alcohol that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

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where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio; and

wherein the turbocharging or supercharging is reduced or eliminated as a function of the amount of anti-knock agent in the second source.

87. (Original) A turbocharged or supercharged spark ignition engine system which uses both port fuel injection of gasoline from a first source and direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for fueling the engine with gasoline from the first source;

means for direct fuel injection of the liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline and by the anti-knock agent that is directly injected; and

a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock;

where the fuel management system uses closed loop control to control the amount of directly injected anti-knock agent that is used and employs information from a knock detector; and

where the engine is operated with a substantially stoichiometric fuel/air ratio; and

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wherein engine downsizing is used to obtain a higher efficiency than a larger engine that uses port fuel injection of gasoline alone and produces the same maximum horsepower.

88. (Original) The engine system of claim 87 where the anti-knock agent is the sole or partial constituent of a liquid which is contained in the second source and where the liquid is a fuel which is suitable for operation of a spark ignition engine without simultaneous use of gasoline.

89. (Original) The engine system of claim 87 where the anti-knock agent is an alcohol and where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent in the engine fraction and uses both closed loop control with a knock detector and open loop control with a look up table; and

where the fuel management system minimizes the amount of alcohol that is used over a drive cycle.

90. (Original) The engine system of claim 87 wherein the directly injected anti-knock agent is an alcohol which is injected so it is non uniformly distributed with greater amounts towards the walls of the cylinder and

where the alcohol energy fraction is sufficiently high to prevent knock and resulting in the alcohol energy fraction being reduced as compared to the situation using a uniform distribution.

91. (Original) The engine system of claim 87 wherein the anti-knock agent is ethanol and is directly injected during some of the operating time the ethanol energy fraction is at least 20 %; and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the

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fuel/air charge combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers.

92. (Original) The engine system of claim 87 where the anti-knock agent is ethanol and the ethanol is separated from a gasoline-ethanol mixture onboard the vehicle.

93. (Original) The engine system of claim 87 where the second tank contains a liquid that is a mixture of alcohol and a lubricant.

94. (Original) The engine system of claim 87 where the second tank contains a liquid that is a mixture of alcohol and water.

95. (Original) A turbocharged or supercharged spark ignition engine system which uses fueling with gasoline from a first source in addition to direct fuel injection of a liquid anti-knock agent that is a fuel from a second source comprising:

a spark ignition engine;

a turbocharger or supercharger;

means for fueling with gasoline from the first source;

means for direct fuel injection of liquid anti-knock agent that is a fuel from the second source;

wherein during part of the engine operating time, the engine is fueled both by gasoline from the first source and by liquid anti-knock agent that is directly injected from the second source;

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and a fuel management system which increases the relative amount of anti-knock agent in the engine with increasing torque so as to prevent knock; and

where the engine is operated with a substantially stoichiometric fuel/air ratio;

and where the fuel management system controls the amount of anti-knock agent that is employed using closed loop control which utilizes a knock detector; and

wherein the turbocharging or supercharging is reduced or eliminated depending upon the amount of anti-knock agent in the second source.

96. (Original) The engine system of claim 95 where the fuel management system employs a microprocessor for control of the relative amount of anti-knock agent that is used in the engine and uses both closed loop control with a knock detector and open loop control with a look up table; and

where the fuel management system minimizes the amount of anti-knock agent that is used over a drive cycle.

97. (Original) The engine system of claim 95 where the turbocharging or supercharging is reduced or eliminated when the anti-knock agent is not available from the second source and the engine can be operated during a drive cycle without knock when there is no anti-knock agent available from the second source.

98. (Original) The engine system of claim 97 where spark retard is also employed when the anti-knock agent from the second source is not available.

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REMARKS

Claims **74-98**, of which claims 1, 74, 79, 86, 87 and 95 are independent in form, are presented for examination. Applicant makes no amendments to the claims with this Response. Applicants respectfully request a timely Notice of Allowance.

Claim Rejections: Claims 74-76, 78, 80, 81, 83-88, 90-95, 87, and 98

Claims 74-76, 78, 80, 81, 83-88, 90-95, 87, and 98 were rejected under 35 U.S.C. 102(b) as anticipated by Cohn *et al.* (2006/0102146).

Claims 74-76, 78, 80, 81, 83-88, 90-95, 87, and 98 were rejected under 35 U.S.C. 102(b) as anticipated by Cohn et al (2006/0102145).

Claims 77, 79, 82, 89 and 96 were rejected under 35 U.S.C. 103(a) as being unpatentable over Cohn *et al.* ((2006/0102146) or Cohn *et al.* (2006/0102145), in view of Bromberg *et al.* (2006/0102136).

Examiner Interview

Applicants appreciate the Examiner's interview on October 13, 2009. As discussed during the interview, the present application and all three references cited in the Office Action, namely, 2006/0102146 (now patent 7444987); 2006/0102145 (now patent 7314033); and 2006/0102136 (now patent 7225787) (hereinafter "references") belong in the same family. In fact, the present application is a continuation of, and explicitly claims priority to, 2006/0102145 (now patent 7314033). Accordingly, these references are not valid anticipatory references.

Applicants draw attention to a response to non-final office action filed November 25, 2008, and attached herein, where the Applicants submitted a terminal disclaimer disclaiming the terminal part of any patent granted on the present application which would extend beyond the expiration date of the full statutory term of the references.

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CONCLUSION

In view of the foregoing remarks, Applicants submit that all claims pending in this application, namely claims **74-98** are in condition for allowance and early indication thereof is respectfully requested.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: October 19, 2008

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

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APPENDIX

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ATTORNEY'S DOCKET NUMBER: 0492611-0806 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn

Serial No: 11/840,719

Examiner: Ali, Hyder

Art Unit: 3747

Confirmation No.: 1817

Filed: August 17, 2007

Title: Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

RESPONSE TO NON-FINAL OFFICE ACTION UNDER 37 C.F.R. § 1.111

Applicant hereby submits the following Response ("this Response") to the Non-Final Office Action mailed July 11, 2008. Applicant respectfully requests consideration and entry of this Response.

Applicant additionally submits a two (2) month Petition for Extension of Time, and accompanying Petition fee under 37 CFR § 1.17(a)(2). Applicant believes no additional fees are due with this Response, but if Applicant is in error any fees due may be charged to deposit account 03-1721.

Contents:

Remarks page 2.

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Attorney Docket No.: 0492611-0806

REMARKS

Status of the Claims

Claims 74-98 were pending in the application. In the Office Action, claims 79-85 and 87-94 were indicated as allowed. Claims 74-78, 86 and 95-98 were rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claims 1-4 of U.S. Patent No. 7,314,033 to Cohn *et al.* and claims 1-9 of U.S. Patent No. 7,225,787 to Bromberg *et al.* Claims 74-78, 86 and 95-98 were provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claim 117 of copending Application No. 11/229,755 to Cohn *et al.* Applicant makes no amendments to the claims with this Response. Upon entry of this Response, claims 74-98 will be presented for examination.

Double Patenting Rejections: Claims 74-78, 86 and 95-98

In the Office Action, claims 74-78, 86 and 95-98 were rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claims 1-4 of U.S. Patent No. 7,314,033 to Cohn *et al.* and claims 1-9 of U.S. Patent No. 7,225,787 to Bromberg *et al.* Applicant submits herewith a Terminal Disclaimer which overcomes these obviousness-type double patenting rejections.

In the Office Action, claims 74-78, 86 and 95-98 were provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being upatentable over claim 117 of copending application No. 11/229,755 to Cohn *et al.* Applicant notes that application No. 11/229,755 has recently issued as U.S. patent No. 7,444,987. Applicant submits herewith a Terminal Disclaimer which overcomes these obviousness-type double patenting rejections.

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Attorney Docket No.: 0492611-0806

CONCLUSION

In view of the above, Applicant submits that presently pending claims 74-98 are in condition for allowance and early indication thereof is respectfully requested.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: November 25, 2008

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

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4388083v1

Attorney Docket No.: 0492611-0806

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ATTORNEY DOCKET NUMBER: 0492611-0806

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn

Serial No: 11/840,719

Confirmation No.: 1817 Art Unit: 3747

Filed: August 17, 2007

Examiner: Ali, Hyder

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

EFS WEB FILING WWW.USPTO.GOV

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Madam:

TERMINAL DISCLAIMER

I, Sam Pasternack, represent that I am an attorney and am empowered to act on behalf of Massachusetts Institute of Technology.

Massachusetts Institute of Technology is the assignee of record of the subject application based on assignments that have been recorded with the U.S. Patent and Trademark Office as follows:

Assignments

| Inventor | Assignee | Reel | Frame | Recorded |
|----------|--|--------|-------|------------|
| Cohn | Massachusetts Institute of Technology | 021864 | 0406 | 11/20/2008 |
| Bromberg | Massachusetts Institute of Technology | 021864 | 0406 | 11/20/2008 |
| Heywood | Massachusetts Institute of Technology | 021864 | 0406 | 11/20/2008 |

Page 1 of 3

Serial No. 11/840,719

Massachusetts Institute of Technology is also the assignee of record of U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,444,987 based on assignments that have been recorded with the U.S. Patent and Trademark Office as follows:

Assignments

| Inventor | Assignee | Reel | Frame | Recorded |
|----------|--|--------|-------|------------|
| Cohn | Massachusetts Institute of Technology | 016336 | 0049 | 03/07/2005 |
| Bromberg | Massachusetts Institute of Technology | 016336 | 0049 | 03/07/2005 |
| Heywood | Massachusetts Institute of Technology | 016336 | 0049 | 03/07/2005 |
| Cohn | Massachusetts Institute of Technology | 016751 | 0156 | 07/08/2005 |
| Bromberg | Massachusetts Institute of Technology | 016751 | 0156 | 07/08/2005 |
| Heywood | Massachusetts Institute of Technology | 016751 | 0156 | 07/08/2005 |
| Cohn | Massachusetts Institute of Technology | 021861 | 0139 | 11/20/2008 |
| Bromberg | Massachusetts Institute of Technology | 021861 | 0139 | 11/20/2008 |
| Heywood | Massachusetts Institute of Technology | 021861 | 0139 | 11/20/2008 |

On behalf of Massachusetts Institute of Technology, I hereby disclaim, except as otherwise provided herein, the terminal part of any patent granted on the subject application which would extend beyond the expiration date of the full statutory term, including statutory extensions thereof of U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,444,987, and hereby agree that any patent so granted on the subject application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 and U.S. Patent No. 7,444,987, this agreement to run with any patent granted on the subject application and to be binding upon the grantee, its successors or assigns.

Serial No. 11/840,719

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Page 2 of 3

Massachusetts Institute of Technology, does not disclaim any terminal part of any patent granted on the subject application prior to the expiration date of the full statutory term of U.S. Patent No. 7,314,033, U.S. Patent No. 7,225,787 or U.S. Patent No. 7,444,987 in the event that such patent later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR § 1.321(a); has all claims cancelled by a reexamination certificate; is reissued; or is otherwise terminated prior to the expiration of its statutory term, except for the separation of legal title stated above.

Pursuant to 37 CFR § 3.73(b), I have reviewed all the recordation information above or all documents in the chain of title of the subject patent application and, to the best of my knowledge and belief, title is in the assignee identified above.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please charge any fees that may be required, or credit any overpayment, to our Deposit Account No. 03-1721.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: November 25, 2008

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

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4391725v1

Page 3 of 3

Serial No. 11/840,719

| Electronic Acl | knowledgement Receipt |
|--------------------------------------|---|
| EFS ID: | 6285760 |
| Application Number: | 11840719 |
| International Application Number: | |
| Confirmation Number: | 1817 |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines |
| First Named Inventor/Applicant Name: | Daniel R. Cohn |
| Customer Number: | 24280 |
| Filer: | Sam Pasternack/Adele E. Kalogeris |
| Filer Authorized By: | Sam Pasternack |
| Attorney Docket Number: | 0492611-0806 (MIT 11381) |
| Receipt Date: | 19-OCT-2009 |
| Filing Date: | 17-AUG-2007 |
| Time Stamp: | 15:53:11 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| Submitted with | Payment | no | | | |
|--------------------|--------------------------------|--------------------------|--|---------------------|---------------------|
| File Listing | : | | | | |
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | Miscellaneous Incoming Letter | MIT-0806-Transmittal.pdf | 72013 | no | 1 |
| | Miscellarieous incoming Letter | Mir-0000-mansmittal.pur | 1e4d241d42d2bbd76f78284c76ceb6c6079 2462b | 110 | I |
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| Information: | | | | | |

| 2 | Amendment/Req. Reconsideration-After | MIT-0806-Response.pdf | 708952 | no | 18 |
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| | | Total Files Size (in bytes) | : 78 | 80965 | |
| If a new app 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely so | ations Under 35 U.S.C. 111 Ilication is being filed and the applica and MPEP 506), a Filing Receipt (37 CF gement Receipt will establish the filing age of an International Application un ubmission to enter the national stage | R 1.54) will be issued in due o g date of the application. Ider 35 U.S.C. 371 of an international applicati | course and the date s on is compliant with | hown on th | nis ons of 35 |
| national sta | nd other applicable requirements a Fo ge submission under 35 U.S.C. 371 wi | ll be issued in addition to the | | | n as a |
| lf a new inte an internati and of the li | ntional Application Filed with the USP ernational application is being filed ar onal filing date (see PCT Article 11 an international Filing Date (Form PCT/RC curity, and the date shown on this Ack cion. | nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c | of the International <i>i</i> ourse, subject to pres | Application scriptions c | Number oncerning |

ATTORNEY'S DOCKET NUMBER: 0492611-0806 (MIT-11381) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1st Inventor: Daniel R. Cohn

Confirmation No.: 1817

Serial No: 11/840,719

Filed: August 17, 2007

Art Unit: 3747

Examiner: Marguerite McMahon

Title: Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

TRANSMITTAL

Enclosed are the following documents:

- 1. Response to Non-Final Office Action and Appendix (18 pages); and
- 2. This Transmittal (<u>1</u> page).

If any fees are required to be paid or if any overpayment has been made, please charge or credit same to Deposit Account No. 03-1721 referencing Attorney Docket Number 0492611-0806.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Date: October 19, 2008

/Sam Pasternack/ Sam Pasternack Registration No. 29,576

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| ., | ATENT APPL | | EE DETI | | | Application or | Docket Number 0,719 | Fil | ing Date 17/2007 | To be Maile |
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| | FOR | | NUMBER FII | LED NUM | MBER EXTRA | RATE (\$) | FEE (\$) | | RATE (\$) | FEE (\$) |
| | BASIC FEE (37 CFR 1.16(a), (b), | or (c)) | N/A | | N/A | N/A | | | N/A | |
| | SEARCH FEE (37 CFR 1.16(k), (i), | or (m)) | N/A | | N/A | N/A | | 1 | N/A | |
| | EXAMINATION FE (37 CFR 1.16(o), (p), | E | N/A | | N/A | N/A | | 1 | N/A | |
| | (37 CFR 1.16(0), (p), TAL CLAIMS CFR 1.16(i)) | or (q)) | mir | nus 20 = * | | X\$ = | | OR | X\$ = | |
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| └── * If 1 | the difference in colu | | | | | TOTAL | | | TOTAL | |
| | | (Column 1) | | (Column 2) | (Column 3) | SMAL | | OR | | ER THAN |
| IZ | 10/19/2009 | (Column 1) CLAIMS REMAINING AFTER AMENDMEN | | (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR | (Column 3) PRESENT EXTRA | SMAL RATE (\$) | L ENTITY ADDITIONAL FEE (\$) | OR | | ER THAN ALL ENTITY ADDITIONAL FEE (\$) |
| | 10/19/2009 Total (37 CFR 1.16(0) | CLAIMS REMAINING | Minus | HIGHEST | PRESENT | | ADDITIONAL | OR | SMA | ALL ENTITY ADDITIONAL |
| | Total (37 CFR | CLAIMS REMAINING AFTER AMENDMEN | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE (\$) | ADDITIONAL FEE (\$) | | SMA RATE (\$) | ALL ENTITY ADDITIONAL |
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| WITH A NEV | V POWER OF ATTORNEY | Art Unit | 3741 | |
| | AND | Examiner Name | MARGUERITE J. MCMAHON | |
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| Applicant/Patent Owner: Daniel R. Cohn et al. | |
| Application No./Patent No.: 11/840719 | Filed/Issue Date: 08/17/2007 |
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| | | Art Unit | 37 | 41 | |
| | | Examiner Name | M | ARGUERITE J. MCMAHON | |
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| hereby revoké a | I previous powers of attorney given i | n the above-ident | ified appli | cation. | |
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| Applicant/Patent Owner. Daniel R. Cohn et al. | |
| Application No./Patent No.: 11/840719 | Filed/Issue Date: 08/17/2007 |
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Thank you for your assistance with these filings. Please contact me with any questions.

Sincerely,

Maureen A. Joyce

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| WITH A NEW POWER OF ATTOR | NEY | ArtUnit | 3741 | |
| AND | | Examiner Name | MARG | UERITE J. MCMAHON |
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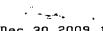
This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the including case. Any comments on the amount of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PAGE 2/7 * RCVD AT 12/30/2009 9:58:21 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/10 * DNIS:2738300 * CSID:617 258 6790 * DURATION (mm-ss):01-42

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| | IDER 37 CFR 3.73(b) |
| Applicant/Patent Owner: Daniel R. Cohn et al. | |
| Application No./Patent No.: 11/840719 | Filed/Issue Date: 08/17/2007 |
| Titled FUEL MANAGEMENT SYSTEM FOR VARIABLE ENGINES | ETHANOL OCTANE ENHANCEMENT OF GASOLINE |
| Massachusetts Institute of Technology | n-profit |
| (Name of Assignee) (7 | ype of Assignae, e.g., corporation, partnership, university, government agency, etc. |
| states that it is: | |
| 1. X the assignee of the entire right, title, and interest in; | |
| an assignee of less than the entire right, title, and inte (The extent (by percentage) of its ownership interest i | rest in is%); or |
| 3. the assignce of an undivided interest in the entirety of | f (a complete assignment from one of the joint inventors was made) |
| the patent application/patent identified above, by virtue of either. | The second se |
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| | is the state of the state of the surrent assigned as follows: |
| B. A chain of title from the inventor(s), of the patent application | lication/patent identified above, to the current assignee as follows: |
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| 2. From: | То: |
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| Reel, Frame | or for which a copy thereof is attached. |
| 3. From: | То: |
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| Additional occurrents in the chain of title are listed | |
| ar concurrently is being submitted for recordation pursua | vidence of the chain of title from the original owner to the assignee was, ant to 37 CFR 3.11. |
| [NOTE: A separate copy (<i>i.e.</i> , a true copy of the original accordance with 37 CFR Part 3, to record the assignment | I assignment document(s)) must be submitted to Assignment Division in it in the records of the USPTO. <u>See MPEP 302.08</u>] |
| The undersigned (whose title is supplied below) is authorized to | act on behalf of the assignee. |
| Same O'Prin | 12/30/2009 |
| Signature | Date |
| Daniel O'Brien | IP Manager |
| | Tille |
| This collection of information is required by 37 CFR 3.73(b) The information is re- process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFI publicities research and submitting the completed application form to the USPT | equied to obtain or retain a benefit by the public which is to file (and by the USPTO to R 1.11 and 1 14. This collection is estimated to take 12 minutes to complete, including O. Time will vary depending upon the individual case. Any comments on the amount of time ould be sent to the Ch ef Intomatian Officer U.S Patent and Trademark Office. U.S IT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner |

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Five Cambridge Center, Kendall Square Room NE25-230 Cambridge, Massachusetts

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| To: | | From: | Maureen Joyce | |
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| 10. | Commissioner for Patents | | Patent Docket Manager | |
| Fax: | 571.273.8300 | Pages: | Seventeen | |
| Phone: | 617.258.6729 | Date: | December 30, 2009 | |
| Re: | Please reference below. | cc : | | |

Dear Sir:

Please process the Revocation of Power of Attorney document (PTO/SB/81) along with the required accompanying Statement Under 37 C.F.R. 3.73 (b) form (PTO/SB/96) for each of the following pending patent applications:

11/376994 11/057958 12/329729 12/130390 11/868174 12/105776 11/871384 11/840719

Thank you for your assistance with these filings. Please contact me with any questions.

Sincerely,

Maureen A. Joyce

PAGE 1/7 * RCVD AT 12/30/2009 9:58:21 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/10 * DNIS:2738300 * CSID:617 258 6790 * DURATION (mm-ss):01-42

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| POWE | OR | Filing Date | 08/17/2007 |
| REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS | | First Named Inventor | Daniel R. Cohn et al. |
| | | Title | FUEL MANAGEMENT SYSTEM FOR VAR |
| | | Art Unit | 3741 |
| | | Examiner Name | MARGUERITE J. MCMAHON |
| | | Attorney Docket Num | ber 11381. 105824 |
| nereby revoke all p | revious powers of attorney given | in the above-identifie | ed application. |
| | ey is submitted herewith. | | |
| Number as my/ou | Practitioner(s) associated with the following r altorney(s) or agent(s) to prosecute the | application | 91197 |
| identified above, a and Trademark O | and to transact all business in the United S flice connected therewith: | States Patent | |
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| Applicant/Patent | Owner: Daniel R. Co | Cilodila | aug Date: 08/17/2007 | |
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process) an application. Confidentiality is governed by 35 U S C 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form so the USPTO. Time with vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing mis burden should be sent to the Chief Information Officer. U S Patent and Trademark Office. U S Department of Commerce. P O. Bos 1450, Alexandria. V4. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, V4. 22313-1450.

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| | Phone: | 617.258.6729 | Date: | December 30, 2009 | ···· | |
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Dear Sir:

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11/376994 11/057958 12/329729 12/130390 11/868174 12/105776 11/871384 11/840719

Thank you for your assistance with these filings. Please contact me with any questions.

Sincerely,

Maureen A. Joyce

| United St | ates Patent and Tradema | IARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspt.gov | | |
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| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE | |
| 11/840,719 | 08/17/2007 | Daniel R. Cohn | 11981. 105826 | |
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| Cambridge, MA 02142-14 | 93 | | | |

Date Mailed: 01/12/2010

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/30/2009.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/vvan/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

| UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMER United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Patental Advanank Vignia 22313-1450 www.uppl.gov | | | | | | | |
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| 11/840,719 | 08/17/2007 | Daniel R. Cohn | 0492611-0806 (MIT 11381) | | | | |
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NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/30/2009.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/vvan/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

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| re Application of: Daniel R. Cohn | |
| pplication No.: 11/840719 | |
| led: August 17, 2007 | |
| $_{ m or:}$ FUEL MANAGEMENT SYSTEM FOR VARIABLE ANTI-KNOCK AGENT OCTANE ENHANCI | EMENT OF GASOLINE ENGINES |
| he owner*. <u>Massachusetts Institute of Technology</u> , of <u>100</u> percent interet xcept as provided below, the terminal part of the statutory term of any patent granted on the inst ne expiration date of the full statutory term prior patent No. <u>7225787</u> as the term of nd 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. I ranted on the instant application shall be enforceable only for and during such period that it and ti greement runs with any patent granted on the instant application and is binding upon the grantee, | said prior patent is defined in 35 U.S.C. 154 The owner hereby agrees that any patent so the prior patent are commonly owned. This |
| n making the above disclaimer, the owner does not disclaim the terminal part of the term of any prould extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 o attent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for failure to pay a maintenance fee; is held unenforceable; | atent granled on the inslant application tha I the prior patent , "as the term of said p rior |
| is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whote or terminally disclaimed under 37 CFR 1.321, | |
| has all claims canceled by a reexamination certificate; is reissued; or | |
| is in any manner terminated prior to the expiration of its full statutory term as presently shorter | ed by any terminal disclaimer. |
| heck either box 1 or 2 below, if appropriate. | |
| For submissions on behalf of a business/organization (e.g., corporation, partnership, university, etc.), the undersigned is empowered to act on behalf of the business/organization. | rsily, government agency, |
| I hereby declare that all statements made herein of my own knowledge are true and the electare belie ved to be true; and further that the se statements were made with the knowledge tade are punis hable by fine or imprisonment, or both, under Se ction 1001 of Title 18 of the Unite latements may jeopardize the validity of the application or any patent issued thereon. | that willful false sitatements and the like so |
| . I The undersigned is an attomey or agent of record. Reg. No. 29576 | |
| | <i>.</i> |
| den Belank | 1/20/10 |
| Signature | Date |
| Sam Pastemack | |
| Typed or printed name | |
| | 617.258.7171 |
| | Telephone Number |
| Terminal disclaimer fee under 37 CFR 1.20(d) included. | |
| WARNING: Information on this form may become public. Credit card information and authorizat be included on this form. Provide credit card information and authorizat | |
| Statement_under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (own- orm PTO/SB/96 may be used for making this certification. See MPEP § 324. | ar). |
| | |

on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandna, vz 2213-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/26 (07-09) Approved for use through 07/31/2012, OMB 0651-0031

| TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT | Docket Number (Optional) 11381.10582-6 |
|---|--|
| n re Application of: Daniel R. Cohn | |
| Application No.: 11/840719 | |
| Filed: Augusl 17, 2007 | |
| For: FUEL MANAGEMENT SYSTEM FOR VARIABLE ANTI-KNOCK AGENT OCTANE ENHANCE | MENT OF GASOLINE ENGINES |
| except as provided below, the terminal part of the statutory term of any patent granted on the insta | said prior patent is defined in 35 U.S.C. 15 he owner hereby agrees that any patent s he prior patent are commonly owned. Thi |
| In making the above disclaimer, the owner does not disclaim the lerminal part of the term of any p would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for failure to pay a maintenance fee; is held unenforceable: | |
| is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate; is reissued, or | |
| is in any manner terminated prior to the expiration of its full statutory term as presently shorter | ed by any terminal disclaimer. |
| Check either box 1 or 2 below, if appropriate. | |
| For submissions on behalf of a business/organization (e.g., corporation, partnership, unive etc.), the undersigned is empowered to act on behalf of the business/organization. | rsity, government agency, |
| I hereby declare that all statements made herein of my own knowledge are true and th belief are believed to be true; a nd further that these statements were made with the knowledge to made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the Unite statements may jeopardize the validity of the application or any patent issued thereon. | that willful false statements and the like is |
| 2. 🗹 The undersigned is an attorney or agent of record. Reg. No. 29576 | |
| Som Batenik | 1/20/10 |
| Signature | / Date |
| Sam Pasternack | |
| Typed or printed name | |
| | 617.258.7171 Telephone Number |
| Terminal disclarmer fee under 37 CFR 1.20(d) included. | |
| WARNING: Information on this form may become public. Credit card inf be included on this form. Provide credit card information and authorization | ormation should not tion on PTO-2038. |
| *Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (own Form PTO/SB/96 may be used for making this certification. See MPEP § 324. | |
| This collection of information is required by 37 CFR 1,321. The information is required to obtain or retain a benef to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,11 and 1 14. This colle- anduding gathering, preparing, and submitting the completed application form to the USPTO. Time will vary dep | |

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on the annound of time you require to complete this form endors suggestions for reducing this bolden, endors be sent to the unter information Unicel, U.S. Pateria and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/26 (07-09) Approved for use through 07/31/2012, OMB 0651-0031

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|---|--|
| TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT | Docket Number (Optional) |
| | 11901.107824 |
| In re Application of: Daniel R. Cohn | |
| Application No.: 11/840719 | |
| Filed: August 17, 2007 | |
| For, FUEL MANAGEMENT SYSTEM FOR VARIABLE ANTI-KNOCK AGENT OCTANE ENHANCE | MENT OF GASOLINE ENGINES |
| The owner*, <u>Massachusetts Institute of Technology</u> of <u>100</u> percent interest except as provided below, the terminal part of the statutory term of any patent granted on the instat the expiration date of the full statutory term prior patent No. <u>7314033</u> as the term of and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The granted on the instant application shall be enforceable only for and during such period that it and the agreement runs with any patent granted on the instant application and is binding upon the grantee, | said prior patent is defined in 35 U.S.C. 154 he owner hereby agrees that any patent so he prior patent are commonly owned. This |
| In making the above disclaimer, the owner does not disclaim the terminal part of the term of any pa would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of patent is presently shortened by any terminal disclaimer," in the event that said prior patent (ater: expires for failure to pay a maintenance fee; is held unenforceable; is held unenforceable; | atenl granted on the instant application that the prior patent, "as the term of said prior |
| is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or | |
| is in any manner terminated prior to the expiration of its full statutory term as presently shorten | ed by any terminal disclaimer. |
| Check either box 1 or 2 below, if appropriate. | |
| 1. For submissions on behalf of a business/organization (e.g., corporation, partnership, univer- etc.), the undersigned is empowered to act on behalf of the business/organization. | rsity, government agency, |
| I hereby declare that all statements made herein of my own knowledge are true and the belief are believed to be true; a nd further that the se statements were made with the knowledge t made are punishable by fine or improsomment, or both, under Section 1001 of Title 18 of the Unite statements may jeopardize the validity of the application or any patent issued thereon. | hat willful false statements and the like so |
| 2. The undersigned is an attorney or agent of record. Reg. No. 29576 | |
| Som Postenik | 1/20/10 |
| Signature | / Date |
| Sam Pasternack Typed or printed name | |
| ryped of printed name | |
| | 617.258.7171 |
| | Telephone Number |
| Terminal disclaimer fee under 37 CFR 1.20(d) included. | |
| WARNING: Information on this form may become public. Credit card info be included on this form. Provide credit card information and authorization | ormation should not ion on PTO-2038. |
| *Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner Form PTO/SB/96 may be used for making this certification. See MPEP § 324, | r). |
| This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit to process) an application, Confidentiality is governed by 35 U.S.C. (22 and 37 CFR 111 and 1.14. This collect including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary dep on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be a | tion is estimated to take 12 minutes to complete ending upon the individual case. Any comments |

and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(f you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

ATTORNEY DOCKET NO.: 11381.105826

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:Daniel R. Cohn et al.Examiner: MARGUERITE J. MCMAHONSerial No.:11/840719Art Unit: 3741Filing Date:August 17, 2007Confirmation No.: 1817Title:FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE
ENHANCEMENT OF GASOLINE ENGINES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmittal of Replacement Terminal Disclaimers

Enclosed herewith are replacement terminal disclaimers requested by Examiner Marguerite J. McMahon. These terminal disclaimers remedy the defects in the originally filed terminal disclaimer.

The Examiner is requested to call the undersigned at the telephone number listed below if this communication does not place the case in good standing.

If this communication is not considered timely filed and if a request for an extension of time is otherwise absent, Applicant hereby requests any necessary extension of time. If there is a fee occasioned by this communication, including an extension fee, the Director is hereby authorized to charge any deficiency or credit any overpayment in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 192553, under Docket No. 11381.105826.

Respectfully Submitted, botent

Sam Pasternack

Registration No.: 29576 Massachusetts Institute of Technology Five Cambridge Center Room NE25-230 Cambridge, MA 02412-1493 617.258.7171

Date: January 20, 2010

| Electronic Acknowledgement Receipt | | | | | |
|--------------------------------------|---|--|--|--|--|
| EFS ID: | 6847123 | | | | |
| Application Number: | 11840719 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 1817 | | | | |
| Title of Invention: | Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines | | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | |
| Customer Number: | 91197 | | | | |
| Filer: | Sam Pasternack/Anna Yem | | | | |
| Filer Authorized By: | Sam Pasternack | | | | |
| Attorney Docket Number: | 11981.105826 | | | | |
| Receipt Date: | 20-JAN-2010 | | | | |
| Filing Date: | 17-AUG-2007 | | | | |
| Time Stamp: | 16:02:38 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |

Payment information:

| Submitted with Payment | | | no | | | | | | |
|------------------------|---------------------------|--|-------------------|--|-----|---------------------|--|--|--|
| File Listing: | | | | | | | | | |
| Document Number | Document Description | File Name File Size(Bytes)/ Mul Message Digest Part / | | | | Pages (if appl.) | | | |
| 1 | Terminal Disclaimer Filed | erminal Disclaimer Filed 11381105826ter.pdf | | 61684 | no | 3 | | | |
| | | | 11501105020te1.pu | afb58084191b5841a96dca88e01c28d5a92 eed1c | 110 | 5 | | | |
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| Information: | | | | | | | |
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| 2 | Miscellaneous Incoming Letter | 11381105826term.pdf | 12723 | no | 1 | | |
| | Miscellaneous meonning Letter | | ebe0fa0bee18c34ef9994a1ac51f539f0b6d a102 | | | | |

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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

74407



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box, 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

91197 7590 03/11/2010

Technology Licensing Office Masachusetts Institute of Technology Five Cambridge Center Kendall Square Cambridge, MA 02142-1493 EXAMINER MCMAHON, MARGUERITE J ART UNIT PAPER NUMBER

DATE MAILED: 03/11/2010

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 11/840,719 | 08/17/2007 | Daniel R. Cohn | 11381.105826 | 1817 |

TITLE OF INVENTION: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$755 | \$300 | \$0 | \$1055 | 06/11/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

| If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status: | If the SMALL ENTITY is shown as NO: |
|--|--|
| A. If the status is the same, pay the TOTAL FEE(S) DUE shown above. | A. Pay TOTAL FEE(S) DUE shown above, or |
| B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or | B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above. |

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

FORD Ex. 1120, page 224 IPR2020-00013

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

| | | | or <u>Fax</u> | (57) | 1)-273-2885 | | | |
|--|---|---|--|---|---|--|---|--|
| INSTRUCTIONS: This form should be us appropriate. All further correspondence incl indicated unless corrected below or directed maintenance fee notifications. | d for tra iding the otherwise | nsmitting the ISSU Patent, advance on e in Block 1, by (a | JE FEE and PUBLIC rders and notification a) specifying a new c | of n | ON FEE (if requi naintenance fees w pondence address; | red). E vill be and/or | Blocks 1 through 5 sl mailed to the current r (b) indicating a sepa | hould be completed where correspondence address as trate "FEE ADDRESS" for |
| CURRENT CORRESPONDENCE ADDRESS (Note: U | | r any change of address) | | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. | | | | |
| 91197 7590 03/11/2010 Technology Licensing Office Masachusetts Institute of Technology Five Cambridge Center | | | | Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. | | | | |
| Kendall Square Cambridge, MA 02142-1493 | | | | | | | | (Depositor's name) |
| Camonogo, 111 021 12 1 190 | | | | | | | | (Signature) |
| | | | | | | | | (Date) |
| APPLICATION NO. FILING DA | TE | | FIRST NAMED INVEN | TOR | | ATTO | RNEY DOCKET NO. | CONFIRMATION NO. |
| 11/840,719 08/17/20 |)7 | 1 | Daniel R. Cohn | | | | 11381.105826 | 1817 |
| TITLE OF INVENTION: FUEL MANAGEN | ENT SYS | STEM FOR VARIA | ABLE ETHANOL OC | TAN | E ENHANCEME | NT OF | GASOLINE ENGINE | 3S |
| APPLN. TYPE SMALL ENTITY | IS | SUE FEE DUE | PUBLICATION FEE D | UE | PREV. PAID ISSU | E FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional YES | | \$755 | \$300 | | \$0 | | \$1055 | 06/11/2010 |
| EXAMINER | | ART UNIT | CLASS-SUBCLASS | 5 | | | | |
| MCMAHON, MARGUERITE J | | 3741 | 123-19800A | | | | | |
| Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered patent attorneys or agents. If no name is listed, no name will be printed. | | | | | |
| 3. ASSIGNEE NAME AND RESIDENCE D PLEASE NOTE: Unless an assignee is ic recordation as set forth in 37 CFR 3.11. C (A) NAME OF ASSIGNEE | entified b mpletion | elow, no assignee of this form is NO | data will appear on the substitute for filing (B) RESIDENCE: (C) | he pa g an a CITY | itent. If an assign assignment. and STATE OR C | OUNI | TRY) | |
| Please check the appropriate assignee categor | or categ | ories (will not be pr | rinted on the patent): | | Individual 🖵 Co | orporati | ion or other private gro | oup entity Government |
| 4a. The following fee(s) are submitted: Issue Fee Publication Fee (No small entity discon Advance Order - # of Copies | A check is enclos Payment by credi The Director is here | ed. it caro creby | 1. Form PTO-2038 | is atta | required fee(s), any de | shown above) ficiency, or credit any n extra copy of this form). | | |
| 5. Change in Entity Status (from status indi | | | D | | | | | |
| a. Applicant claims SMALL ENTITY NOTE: The Issue Fee and Publication Fee (if interest as shown by the records of the United | required) | will not be accepte | d from anyone other th | | | | FITY status. See 37 Cl attorney or agent; or th | |
| Authorized Signature | | | | | Date | | | |
| Typed or printed name | | | | | 0 | | | |
| This collection of information is required by an application. Confidentiality is governed by submitting the completed application form to this form and/or suggestions for reducing this Box 1450, Alexandria, Virginia 22313-1450. Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, | the USP burden, s DO NOT | O. Time will vary hould be sent to th SEND FEES OR (| depending upon the i e Chief Information O COMPLETED FORM | indiv Office IS TC | idual case. Any co r, U.S. Patent and D THIS ADDRESS | mment Traden 5. SENI | is on the amount of tin nark Office, U.S. Depa D TO: Commissioner | ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, |

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| | NITED STATES PATE | ENT AND TRADEMARK OFFICE | UNITED STATES DEPAR United States Patent and ' Address: COMMISSIONER F P. O. Box 1450 Alexandria, Virginia 223 www.uspto.gov | OR PATENTS |
|---|---------------------|--------------------------|---|------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 11/840,719 | 08/17/2007 | Daniel R. Cohn | 11381.105826 | 1817 |
| 91197 | 7590 03/11/2010 | | EXAN | IINER |
| Technology Lic | ensing Office | | MCMAHON, M | IARGUERITE J |
| Masachusetts Inst | itute of Technology | ART UNIT | PAPER NUMBER | |
| Five Cambridge (Kendall Square Cambridge, MA (| | | 3741 DATE MAILED: 03/11/201 | 0 |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 49 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 49 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Page 3 of 3

| | Application No. | Applicant(s) | | | | | | | | |
|--|---|---------------------------------------|--|--|--|--|--|--|--|--|
| | 11/840,719 | COHN ET AL. | | | | | | | | |
| Notice of Allowability | Examiner | Art Unit | | | | | | | | |
| | Marguerite J. McMahon | 3741 | | | | | | | | |
| | | 5741 | | | | | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. | | | | | | | | | | |
| 1. X This communication is responsive to <u>TD filed 1/20/10</u> . | | | | | | | | | | |
| 2. 🔀 The allowed claim(s) is/are <u>74-98</u> . | | | | | | | | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority us a) ☐ All b) ☐ Some* c) ☐ None of the: | | | | | | | | | | |
| 1. Certified copies of the priority documents have | | | | | | | | | | |
| 2. Certified copies of the priority documents have | ••• – | | | | | | | | | |
| Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)). | cuments have been received in this | a national stage application from the | | | | | | | | |
| * Certified copies not received: | | | | | | | | | | |
| | | | | | | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | v complying with the requirements | | | | | | | | |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | | | | | | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted. | | | | | | | | | |
| (a) 🔲 including changes required by the Notice of Draftspers | son's Patent Drawing Review(PTO | 9-948) attached | | | | | | | | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | | | | | | | | | | |
| (b) including changes required by the attached Examiner' Paper No./Mail Date | s Amendment / Comment or in the | Office action of | | | | | | | | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | | | | | | | | |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT | | | | | | | | | | |
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| Attachment(s) | | | | | | | | | | |
| 1. Notice of References Cited (PTO-892) | 5. Notice of Informal I | | | | | | | | | |
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| 3. Information Disclosure Statements (PTO/SB/08), | 7. 🗌 Examiner's Amend | | | | | | | | | |
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| /Marguerite McMahon/ Brimary Examinar, Art Unit 2741 | | | | | | | | | | |
| Primary Examiner, Art Unit 3741 | | | | | | | | | | |
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| Application/Control No. | Applicant(s)/Patent under Reexamination | | | | | |
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| Application/Control No. 11/840,719 | Applicant(s)/Patent under Reexamination COHN ET AL. |
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| | ISSUE CLASSIFICATION | | | | | | | | | | | | | | | | | |
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BIB DATA SHEET

CONFIRMATION NO. 1817

| SERIAL NUM 11/840,71 | | FILING or 371(c) DATE 08/17/2007 | CLASS 044 | GF | ROUP ART 3741 | UNIT | ATTORNEY DOCKET NO. 11381.105826 | | |
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| APPLICANTS Daniel R. Cohn, Cambridge, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newtonville, MA; | | | | | | | | | |
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EAST Search History

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| TITLE OF INVENTION: | FUEL MANAGEMEN | IT SYSTEM FOR VARL | ABLE ETHANOL O | CTAN | E ENHANCEMEI | VT OF GAS | OLINE ENGIN | ES |
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| Attorney or Agent of record(Reg. No.) | Sam Pasternack Typed or printed name | | | | | | |
| Assignee of record of the entire interest. See 37 CFR Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) | R 3.71. 617.258.7171 Requester's telephone number | | | | | | |
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| NOTE Signatures of all the inventors or assignees of record of the entire interest signature is required, see below* | or their representative(s) are required. Submit multiple forms if more that one | | | | | | |
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This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO) to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1. 11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alex andna, VA 22313-1450. DO NOT SEND COMPLETE D FORMS TO THIS A DDRESS. SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Electronic Patent Application Fee Transmittal | | | | | | | | |
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| Application Number: | 11840719 | | | | | | | |
| Filing Date: | 17- | Aug-2007 | | | | | | |
| Title of Invention: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | | | | | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | | | | |
| Filer: | Sam Pasternack/Anna Yem | | | | | | | |
| Attorney Docket Number: | 113 | 381.105826 | | | | | | |
| Filed as Large Entity | | | | | | | | |
| Utility under 35 USC 111(a) Filing Fees | | | | | | | | |
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| Application Number: | 11840719 | | | | | |
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| Confirmation Number: | 1817 | | | | | |
| Title of Invention: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | | | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | | |
| Customer Number: | 91197 | | | | | |
| Filer: | Sam Pasternack/Anna Yem | | | | | |
| Filer Authorized By: | Sam Pasternack | | | | | |
| Attorney Docket Number: | 11381.105826 | | | | | |
| Receipt Date: | 25-MAR-2010 | | | | | |
| Filing Date: | 17-AUG-2007 | | | | | |
| Time Stamp: | 10:49:03 | | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | | |

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address CoMMISSIONER FOR PATENTS RO, Box 1450 Advandra, Vinginia 22313-1450 www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 1817

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| APPLICANTS | <u>_</u> | | | | | | | | |
| APPLICANTS Daniel R. Cohn, Cambridge, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newtonville, MA; | | | | | | | | | |
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| Acknowledged ADDRESS | Exan | niner's Signature In | nitials | | | | | | |
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| APPLICATION NO. | ISSUE DATE | PATENT NO. | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|------------|------------|---------------------|------------------|
| 11/840,719 | 06/22/2010 | 7740004 | 11381.105826 | 1817 |

91197759006/02/2010Technology Licensing OfficeMasachusetts Institute of TechnologyFive Cambridge CenterKendall SquareCambridge, MA 02142-1493

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 49 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

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