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Express Mail No.: EV196632874US Attorney Docket No.: 0492611-0598 Date Filed: November 18, 2004

CERTIFICATE OF MAILING

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Date of Deposit: November 18, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as *"Express Mail Post Office to Address"* service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

UTILITY PATENT APPLICATION TRANSMITTAL

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Diana Ruiz

Inventor(s):

| Given Name (first and middle) | Family Name or Surname | Residence (City and State or Foreign Country) |
|-------------------------------|------------------------|---|
| DANIEL R. | COHN | CHESTNUT HILL, MASSACHUSETTS |
| LESLIE | BROMBERG | SHARON, MASSACHUSETTS |
| JOHN B. | HEYWOOD | NEWTON, MASSACHUSETTS |
| | | |

<u>Title of the Invention</u>: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

A) APPLICATION ELEMENTS:

- 1) [] Fee Transmittal Form (original and duplicate submitted for fee processing)
- 2) 🛛 Applicant Claims Small Entity Status (see 37 C.F.R. § 1.27)

a)
Statement Verifying Small Entity Status (optional)

FORD Ex. 1121, page 1 IPR2020-00013

- 3) 🛛 Specification
 - Cover Page
 - Descriptive Title of the Invention
 - Background of the Invention
 - Summary of the Invention
 - Brief Description of the Drawing (if filed)
 - Description of the Preferred Embodiment
 - \boxtimes Claim(s) (3 pgs.)
 - \boxtimes Abstract of the Invention (1 pg.)
- 4) Drawing(s) (35 U.S.C. § 113)
 a) Formal Drawings (if checked)
- 5) 🗌 Oath or Declaration
 - a) D Newly Executed (original or copy)
 - b) Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application
 - i) Deletion of inventor(s): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
 - c) 🗌 Unexecuted
- 6) 🗌 Application Data Sheet. See 37 C.F.R. § 1.76.
- 7) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8) D Nucleotide and/or Amino Acid Sequence Submission (if applicable, all are necessary)
 - a) 🗌 Computer Readable Form (CRF)
 - b) D Specification Sequence Listing on:
 - i) CD-ROM or CD-R (2 copies); or
 - ii) 🗌 Paper
 - c) 🗌 Statements verifying identity of above copies

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TOTAL PAGES: <u>14</u>

TOTAL PAGES: _____

TOTAL SHEETS: 3

B) ACCOMPANYING APPLICATION PARTS:

- 9) Assignment Papers (cover sheet & document(s))
- 10) 37 C.F.R. § 3.73(b) Statement (when there is an assignee)
- 11)
 Power of Attorney
- 12) **English Translation Document** (if applicable)
- 13) Information Disclosure Statement (IDS)/PTO-1449
- 14)
 Copies of IDS Citations
- 15) 🔲 Preliminary Amendment
- 16) X Return Receipt Postcard (MPEP 503) (specifically itemized)
- 17) Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 18) 🗌 Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)
- 19) **OTHER:** (if applicable, specified below)

C) <u>FOR CONTINUING APPLICATIONS</u>: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

| □ continuation | divisional | □ continuation-in-part (CIP) |
|---|------------|------------------------------|
| of prior application no.: filed: examiner: group/art unit: | | |

for continuation or divisional applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D.) **PRIORITY CLAIM(S)**:

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This application claims the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

| Application Serial No. F | Filing date | Status (patented, pending, abandoned |
|--------------------------|-------------|--------------------------------------|
|--------------------------|-------------|--------------------------------------|

This application claims the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No. Filing date

Status (pending, expired, abandoned)

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E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

Applicant claims small entity status 37 C.F.R. § 1.27.

 \boxtimes A check for \$422.00 is enclosed to cover the filing fees.

The commissioner is hereby authorized to charge filing fees or to credit any overpayment to deposit account number 03-1721.

| Basic Filing Fee (Small Entity) Additional Fees: | \$395.00 |
|---|----------|
| Total Number of Claims in excess of 20: $(23 - 20) \times $ | \$27.00 |
| Number of Independent Claims in excess of $3:(2-3) \times 43$ | \$0.00 |
| Multiple Dependent Claims \$150: | \$0.00 |
| Total Filing Fee: | \$422.00 |

F) CORRESPONDENCE ADDRESS:

Customer Number: 24280

Respectfully Submitted,

Sam Pasternack Registration No. 29,576

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Express Mail No.: EV196632874US Attorney Docket: 0492611-0598 Date Filed: November 18, 2004

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JOINT

APPLICATION

FOR

UNITED STATES LETTERS PATENT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

BE IT KNOWN, that we,

Daniel R. Cohn, Chestnut Hill, Massachusetts Leslie Bromberg, Sharon, Massachusetts John B. Heywood, Newton, Massachusetts

have invented certain new and useful improvements in Fuel Management System for

Variable Ethanol Octane Enhancement of Gasoline Engines of which the following is a

specification:

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Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280

Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

Background of the Invention

This invention relates to spark ignition gasoline engines utilizing an antiknock agent which is a liquid fuel with a higher octane number than gasoline such as ethanol to improve engine efficiency.

It is known that the efficiency of spark ignition (SI) gasoline engines can be increased by high compression ratio operation and particularly by engine downsizing. The engine downsizing is made possible by the use of substantial pressure boosting from either turbocharging or

- supercharging. Such pressure boosting makes it possible to obtain the same performance in a significantly smaller engine. See, J. Stokes, et al., "A Gasoline Engine Concept For Improved Fuel Economy The Lean-Boost System," SAE Paper 2001-01-2902. The use of these techniques to increase engine efficiency, however, is limited by the onset of engine knock. Knock is the undesired detonation of fuel and can severely damage an engine. If knock can be
- 15 prevented, then high compression ratio operation and high pressure boosting can be used to increase engine efficiency by up to twenty-five percent.

Octane number represents the resistance of a fuel to knocking but the use of higher octane gasoline only modestly alleviates the tendency to knock. For example, the difference between regular and premium gasoline is typically six octane numbers. That is significantly less

20 than is needed to realize fully the efficiency benefits of high compression ratio or turbocharged operation. There is thus a need for a practical means for achieving a much higher level of octane enhancement so that engines can be operated much more efficiently.

It is known to replace a portion of gasoline with small amounts of ethanol added at the refinery. Ethanol has a blending octane number (ON) of 110 (versus 95 for premium gasoline) (see J.B. Heywood, "Internal Combustion Engine Fundamentals," McGraw Hill, 1988, p. 477) and is also attractive because it is a renewable energy, biomass-derived fuel, but the small amounts of ethanol that have heretofore been added to gasoline have had a relatively small

impact on engine performance. Ethanol is much more expensive than gasoline and the amount

of ethanol that is readily available is much smaller than that of gasoline because of the relatively

30 limited amount of biomass that is available for its production. An object of the present invention

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is to minimize the amount of ethanol or other antiknock agent that is used to achieve a given level of engine efficiency increase. By restricting the use of ethanol to the relatively small fraction of time in an operating cycle when it is needed to prevent knock in a higher load regime and by minimizing its use at these times, the amount of ethanol that is required can be limited to

5 a relatively small fraction of the fuel used by the spark ignition gasoline engine.

Summary of the Invention

In one aspect, the invention is a fuel management system for efficient operation of a spark ignition gasoline engine including a source of an antiknock agent such as ethanol. An injector directly injects the ethanol into a cylinder of the engine and a fuel management system

- 10 controls injection of the antiknock agent into the cylinder to control knock with minimum use of the antiknock agent. A preferred antiknock agent is ethanol. Ethanol has a high heat of vaporization so that there is substantial cooling of the air-fuel charge to the cylinder when it is injected directly into the engine. This cooling effect reduces the octane requirement of the engine by a considerable amount in addition to the improvement in knock resistance from the
- 15 relatively high octane number of ethanol. Methanol, tertiary butyl alcohol, MTBE, ETBE, and TAME may also be used. Wherever ethanol is used herein it is to be understood that other antiknock agents are contemplated.

The fuel management system uses a fuel management control system that may use a microprocessor that operates in an open loop fashion on a predetermined correlation between octane number enhancement and fraction of fuel provided by the antiknock agent. To conserve the ethanol, it is preferred that it be added only during portions of a drive cycle requiring knock resistance and that its use be minimized during these times. Alternatively, the gasoline engine may include a knock sensor that provides a feedback signal to a fuel management microprocessor system to minimize the amount of the ethanol added to prevent knock in a closed

25 loop fashion.

In one embodiment the injectors stratify the ethanol to provide non-uniform deposition within a cylinder. For example, the ethanol may be injected proximate to the cylinder walls and swirl can create a ring of ethanol near the walls.

In another embodiment of this aspect of the invention, the system includes a measure of the amount of the antiknock agent such as ethanol in the source containing the antiknock agent to control turbocharging, supercharging or spark retard when the amount of ethanol is low.

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Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280 The direct injection of ethanol provides substantially a 13°C drop in temperature for every ten percent of fuel energy provided by ethanol. An instantaneous octane enhancement of at least 4 octane numbers may be obtained for every 20 percent of the engine's energy coming from the ethanol.

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Brief Description of the Drawing

Fig. 1 is a block diagram of one embodiment of the invention disclosed herein.

Fig. 2 is a graph of the drop in temperature within a cylinder as a function of the fraction of energy provided by ethanol.

Fig. 3 is a schematic illustration of the stratification of cooler ethanol charge using directinjection and swirl motion for achieving thermal stratification.

Fig. 4 is a schematic illustration showing ethanol stratified in an inlet manifold.

Fig. 5 is a block diagram of an embodiment of the invention in which the fuel management microprocessor is used to control a turbocharger and spark retard based upon the amount of ethanol in a fuel tank.

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Description of the Preferred Embodiment

With reference first to **Fig. 1**, a spark ignition gasoline engine **10** includes a knock sensor **12** and a fuel management microprocessor system **14**. The fuel management microprocessor system **14** controls the direct injection of an antiknock agent such as ethanol from an ethanol tank **16**. The fuel management microprocessor system **14** also controls the delivery of gasoline

- 20 from a gasoline tank 18 into engine manifold 20. A turbocharger 22 is provided to improve the torque and power density of the engine 10. The amount of ethanol injection is dictated either by a predetermined correlation between octane number enhancement and fraction of fuel that is provided by ethanol in an open loop system or by a closed loop control system that uses a signal from the knock sensor 12 as an input to the fuel management microprocessor 14. In both
- 25 situations, the fuel management processor 14 will minimize the amount of ethanol added to a cylinder while still preventing knock. It is also contemplated that the fuel management microprocessor system 14 could provide a combination of open and closed loop control.

As show in **Fig. 1** it is preferred that ethanol be directly injected into the engine **10**. Direct injection substantially increases the benefits of ethanol addition and decreases the required

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Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280 amount of ethanol. Recent advances in fuel injector and electronic control technology allows fuel injection directly into a spark ignition engine rather than into the manifold **20**. Because ethanol has a high heat of vaporization there will be substantial cooling when it is directly injected into the engine **10**. This cooling effect further increases knock resistance by a

5 considerable amount. In the embodiment of **Fig. 1** port fuel injection of the gasoline in which the gasoline is injected into the manifold rather than directly injected into the cylinder is preferred because it is advantageous in obtaining good air/fuel mixing and combustion stability that are difficult to obtain with direct injection.

Ethanol has a heat of vaporization of 840kJ/kg, while the heat of vaporization of gasoline is about 350kJ/kg. The attractiveness of ethanol increases when compared with gasoline on an energy basis, since the lower heating value of ethanol is 26.9MJ/kg while for gasoline it is about 44MJ/kg. Thus, the heat of vaporization per Joule of combustion energy is 0.031 for ethanol and 0.008 for gasoline. That is, for equal amounts of energy the required heat of vaporization of ethanol is about four times higher than that of gasoline. The ratio of the heat of vaporization per unit air required for stoichiometric combustion is about 94 kJ/kg of air for ethanol and 24 kJ/kg

- of air for gasoline, or a factor of four smaller. Thus, the net effect of cooling the air charge is about four times lower for gasoline than for ethanol (for stoichiometric mixtures wherein the amount of air contains oxygen that is just sufficient to combust all of the fuel).
- In the case of ethanol direct injection according to one aspect of the invention, the charge 20 is directly cooled. The amount of cooling due to direct injection of ethanol is shown in **Fig. 2**. It is assumed that the air/fuel mixture is stoichiometric without exhaust gas recirculation (EGR), and that gasoline makes up the rest of the fuel. It is further assumed that only the ethanol contributes to charge cooling. Gasoline is vaporized in the inlet manifold and does not contribute to cylinder charge cooling. The direct ethanol injection provides about 13°C of 25 cooling for each 10% of the fuel energy provided by ethanol. It is also possible to use direct injection of gasoline as well as direct injection of ethanol. However, under certain conditions

there can be combustion stability issues.

The temperature decrement because of the vaporization energy of the ethanol decreases with lean operation and with EGR, as the thermal capacity of the cylinder charge increases. If

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the engine operates at twice the stoichiometric air/fuel ratio, the numbers indicated in **Fig. 2** decrease by about a factor of 2 (the contribution of the ethanol itself and the gasoline is relatively modest). Similarly, for a 20% EGR rate, the cooling effect of the ethanol decreases by about 25%.

- 5 The octane enhancement effect can be estimated from the data in **Fig. 2**. Direct injection of gasoline results in approximately a five octane number decrease in the octane number required by the engine, as discussed by Stokes, *et al.* Thus the contribution is about five octane numbers per 30K drop in charge temperature. As ethanol can decrease the charge temperature by about 120K, then the decrease in octane number required by the engine due to the drop in temperature,
- 10 for 100% ethanol, is twenty octane numbers. Thus, when 100% of the fuel is provided by ethanol, the octane number enhancement is approximately thirty-five octane numbers with a twenty octane number enhancement coming from direct injection cooling and a fifteen octane number enhancement coming from the octane number of ethanol. From the above considerations, it can be projected that even if the octane enhancement from direct cooling is
- 15 significantly lower, a total octane number enhancement of at least 4 octane numbers should be achievable for every 20% of the total fuel energy that is provided by ethanol.

Alternatively the ethanol and gasoline can be mixed together and then port injected through a single injector per cylinder, thereby decreasing the number of injectors that would be used. However, the air charge cooling benefit from ethanol would be lost.

- 20 Alternatively the ethanol and gasoline can be mixed together and then port fuel injected using a single injector per cylinder, thereby decreasing the number of injectors that would be used. However, the substantial air charge cooling benefit from ethanol would be lost. The volume of fuel between the mixing point and the port fuel injector should be minimized in order to meet the demanding dynamic octane-enhancement requirements of the engine.
- 25 Relatively precise determinations of the actual amount of octane enhancement from given amounts of direct ethanol injection can be obtained from laboratory and vehicle tests in addition to detailed calculations. These correlations can be used by the fuel management microprocessor system 14.

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An additional benefit of using ethanol for octane enhancement is the ability to use it in a mixture with water. Such a mixture can eliminate the need for the costly and energy consuming water removal step in producing pure ethanol that must be employed when ethanol is added to gasoline at a refinery. Moreover, the water provides an additional cooling (due to vaporization) that further increases engine knock resistance. In contrast the present use of ethanol as an

additive to gasoline at the refinery requires that the water be removed from the ethanol.

Since unlike gasoline, ethanol is not a good lubricant and the ethanol fuel injector can stick and not open, it is desirable to add a lubricant to the ethanol. The lubricant will also denature the ethanol and make it unattractive for human consumption.

10 Further decreases in the required ethanol for a given amount of octane enhancement can be achieved with stratification (non-uniform deposition) of the ethanol addition. Direct injection can be used to place the ethanol near the walls of the cylinder where the need for knock reduction is greatest. The direct injection may be used in combination with swirl. This stratification of the ethanol in the engine further reduces the amount of ethanol needed to obtain

15 a given amount of octane enhancement. Because only the ethanol is directly injected and because it is stratified both by the injection process and by thermal centrifugation, the ignition stability issues associated with gasoline direct injection (GDI) can be avoided.

It is preferred that ethanol be added to those regions that make up the end-gas and are prone to auto-ignition. These regions are near the walls of the cylinder. Since the end-gas contains on the order of 25% of the fuel, substantial decrements in the required amounts of ethanol can be achieved by stratifying the ethanol.

In the case of the engine 10 having substantial organized motion (such as swirl), the cooling will result in forces that thermally stratify the discharge (centrifugal separation of the regions at different density due to different temperatures). The effect of ethanol addition is to increase gas density since the temperature is decreased. With swirl the ethanol mixture will automatically move to the zone where the end-gas is, and thus increase the anti-knock effectiveness of the injected ethanol. The swirl motion is not affected much by the compression stroke and thus survives better than tumble-like motion that drives turbulence towards top-dead-center (TDC) and then dissipates. It should be pointed out that relatively modest swirls result in

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large separating (centrifugal) forces. A 3m/s swirl motion in a 5cm radius cylinder generates accelerations of about 200m/s², or about 20g's.

Fig. 3 illustrates ethanol direct injection and swirl motion for achieving thermal stratification. Ethanol is predominantly on an outside region which is the end-gas region. Fig. 4 illustrates a possible stratification of the ethanol in an inlet manifold with swirl motion and thermal centrifugation maintaining stratification in the cylinder. In this case of port injection of ethanol, however, the advantage of substantial charge cooling may be lost.

With reference again to Fig. 2, the effect of ethanol addition all the way up to 100% ethanol injection is shown. At the point that the engine is 100% direct ethanol injected, there may be issues of engine stability when operating with only stratified ethanol injection that need 10 to be addressed. In the case of stratified operation it may also be advantageous to stratify the injection of gasoline in order to provide a relatively uniform equivalence ratio across the cylinder (and therefore lower concentrations of gasoline in the regions where the ethanol is injected). This situation can be achieved, as indicated in Fig. 4, by placing fuel in the region of the inlet

15 manifold that is void of ethanol.

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The ethanol used in the invention can either be contained in a separate tank from the gasoline or may be separated from a gasoline/ethanol mixture stored in one tank.

The instantaneous ethanol injection requirement and total ethanol consumption over a drive cycle can be estimated from information about the drive cycle and the increase in torque 20 (and thus increase in compression ratio, engine power density, and capability for downsizing) that is desired. A plot of the amount of operating time spent at various values of torque and engine speed in FTP and US06 drive cycles can be used. It is necessary to enhance the octane number at each point in the drive cycle where the torque is greater than permitted for knock free operation with gasoline alone. The amount of octane enhancement that is required is determined

25 by the torque level.

> A rough illustrative calculation shows that only a small amount of ethanol might be needed over the drive cycle. Assume that it is desired to increase the maximum torque level by a factor of two relative to what is possible without direct injection ethanol octane enhancement.

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Information about the operating time for the combined FTP and US06 cycles shows that approximately only 10 percent of the time is spent at torque levels above 0.5 maximum torque and less than 1 percent of the time is spent above 0.9 maximum torque. Conservatively assuming that 100 % ethanol addition is needed at maximum torque and that the energy fraction

of ethanol addition that is required to prevent knock decreases linearly to zero at 50 percent of maximum torque, the energy fraction provided by ethanol is about 30 percent. During a drive cycle about 20 percent of the total fuel energy is consumed at greater than 50 percent of maximum torque since during the 10 percent of the time that the engine is operated in this regime, the amount of fuel consumed is about twice that which is consumed below 50 percent of maximum torque. The amount of ethanol energy consumed during the drive cycle is thus roughly around 6 percent (30 percent x 0.2) of the total fuel energy.

In this case then, although 100% ethanol addition was needed at the highest value of torque, only 6% addition was needed averaged over the drive cycle. The ethanol is much more effectively used by varying the level of addition according to the needs of the drive cycle.

- 15 Because of the lower heat of combustion of ethanol, the required amount of ethanol would be about 9% of the weight of the gasoline fuel or about 9% of the volume (since the densities of ethanol and gasoline are comparable). A separate tank with a capacity of about 1.8 gallons would then be required in automobiles with twenty gallon gasoline tanks. The stored ethanol content would be about 9% of that of gasoline by weight, a number not too different from
- 20 present-day reformulated gasoline. Stratification of the ethanol addition could reduce this amount by more than a factor of two. An on-line ethanol distillation system might alternatively be employed but would entail elimination or reduction of the increase torque and power available from turbocharging.

Because of the relatively small amount of ethanol and present lack of an ethanol fueling infrastructure, it is important that the ethanol vehicle be operable if there is no ethanol on the vehicle. The engine system can be designed such that although the torque and power benefits would be lower when ethanol is not available, the vehicle could still be operable by reducing or eliminating turbocharging capability and/or by increasing spark retard so as to avoid knock. As shown in **Fig. 5**, the fuel management microprocessor system 14 uses ethanol fuel level in the ethanol tank 16 as an input to control the turbocharger 22 (or supercharger or spark retard, not

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Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280 shown). As an example, with on-demand ethanol octane enhancement, a 4-cylinder engine can produce in the range of 280 horsepower with appropriate turbocharging or supercharging but could also be drivable with an engine power of 140 horsepower without the use of ethanol according to the invention.

5 The impact of a small amount of ethanol upon fuel efficiency through use in a higher efficiency engine can greatly increase the energy value of the ethanol. For example, gasoline consumption could be reduced by 20% due to higher efficiency engine operation from use of a high compression ratio, strongly turbocharged operation and substantial engine downsizing. The energy value of the ethanol, including its value in direct replacement of gasoline (5% of the

10 energy of the gasoline), is thus roughly equal to 25% of the gasoline that would have been used in a less efficient engine without any ethanol. The 5% gasoline equivalent energy value of ethanol has thus been leveraged up to a 25% gasoline equivalent value. Thus, ethanol can cost roughly up to five times that of gasoline on an energy basis and still be economically attractive. The use of ethanol as disclosed herein can be a much greater value use than in other ethanol

15 applications.

Although the above discussion has featured ethanol as an exemplary anti-knock agent, the same approach can be applied to other high octane fuel and fuel additives with high vaporization energies such as methanol (with higher vaporization energy per unit fuel), and other anti-knock agents such as tertiary butyl alcohol, or ethers such as methyl tertiary butyl ether (MTBE), ethyl tertiary butyl ether (ETBE), or tertiary amyl methyl ether (TAME).

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It is recognized that modifications and variations of the invention disclosed herein will be apparent to those of ordinary skill in the art and it is intended that all such modifications and variations be included within the scope of the appended claims.

What is claimed is:

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| 1 | 1. | Fuel management system for efficient operation of a spark ignition gasoline engine |
|----------|----|--|
| 2 | | comprising: |
| 3 | | a gasoline engine; |
| 4 | | a source of an anti-knock agent; |
| 5 | | an injector for direct injection of the anti-knock agent into a cylinder of the engine; and |
| 6 7 | | a fuel management control system for controlling injection of the anti-knock agent into the cylinder to control knock. |
| 8 9 | 2. | The system of claim 1 wherein the injectors stratify the anti-knock agent to provide non- uniform deposition within a cylinder. |
| 10 11 | 3. | The system of claim 2 wherein the anti-knock agent is deposited near the walls of the cylinder. |
| 12 | 4. | The system of claim 2 wherein the stratification is obtained through direct injection and |
| 13 | | charge swirl. |
| 14 | 5. | The system of claim 1 wherein the anti-knock agent is selected from the group consisting |
| 15 | | of ethanol, methanol, tertiary butyl alcohol, MTBE, ETBE and TAME. |
| 16 | 6. | The system of claim 1 wherein the fuel management system includes a microprocessor |
| 17 | | that operates in an open loop fashion on a predetermined correlation between octane |
| 18 | | number enhancement and fraction of fuel provided by the anti-knock agent. |
| 19 | 7. | The system of claim 1 wherein the gasoline engine includes a knock sensor providing a |
| 20 | | feedback signal to a fuel management microprocessor to minimize the amount of the anti- |
| 21 | | knock agent added to prevent knock in a closed loop fashion. |
| 22 | 8. | The system of claim 1 wherein the anti-knock agent is ethanol. |
| 23 | 9. | The system of claim 8 wherein the ethanol is mixed with water. |

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| 1 | 10. | The system of claim 8 wherein the ethanol is mixed with a lubricant. |
|----|-----|--|
| 2 | 11. | The system of claim 1 wherein the engine has substantial organized motion such as swirl. |
| 3 | 12. | The system of claim 1 wherein the system includes a measure of the amount of anti- |
| 4 | | knock agent in the source to control turbocharging, supercharging or spark retard when |
| 5 | | the amount of anti-knock agent is low. |
| 6 | 13. | The system of claim 1 wherein the anti-knock agent is added only during portions of a |
| 7 | | drive cycle requiring knock resistance. |
| 8 | 14. | The system of claim 1 wherein gasoline is port injected into the engine. |
| 9 | 15. | The system of claim 1 wherein the gasoline is directly injected into the cylinder. |
| 10 | 16. | The system of claim 8 wherein the direct injection of ethanol provides substantially a |
| 11 | | 13°C drop in temperature for every 10% of fuel energy provided by the ethanol. |
| 12 | 17. | The system of claim 1 wherein the fuel management system substantially minimizes the |
| 13 | | amount of anti-knock agent used over a drive cycle. |
| 14 | 18. | The system of claim 8 wherein an octane enhancement of at least 4 octane numbers is |
| 15 | | obtained when 20% of the fuel energy in a cylinder comes from ethanol. |
| 16 | 19. | The system of claim 1 wherein turbocharging or supercharging are reduced or eliminated |
| 17 | | and/or spark retard is increased when the anti-knock agent is not available. |
| 18 | 20. | The system of claim 8 wherein ethanol is injected proximate to a cylinder wall and swirl |
| 19 | | creates a ring of ethanol. |
| 20 | 21. | Fuel management system for efficient operation of a spark ignition engine comprising: |
| 21 | | a gasoline engine; |
| 22 | | a source of anti-knock agent; |
| 23 | | a means for port fuel injection of the anti-knock agent; and |
| | | Page 12 of 14 |

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a fuel management control system for controlling injection of the anti-knock agent into
 the cylinder to control knock.

- 3 22. The system of claim 21 wherein the ethanol and gasoline are mixed together and then
 4 port injected.
- 5 23. The system of claim 21 wherein the port injection is stratified.
- 6

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Abstract of the Disclosure

Fuel management system for efficient operation of a spark ignition gasoline engine. Injectors inject an anti-knock agent such as ethanol directly into a cylinder of the engine. A fuel management microprocessor system controls injection of the anti-knock agent so as to control knock and minimize that amount of the anti-knock agent that is used in a drive cycle. It is preferred that the anti-knock agent is ethanol. The use of ethanol can be further minimized by injection in a non-uniform manner within a cylinder. The ethanol injection suppresses knock so that higher compression ratio and/or engine downsizing from increased turbocharging or supercharging can be used to increase the efficiency of the engine.

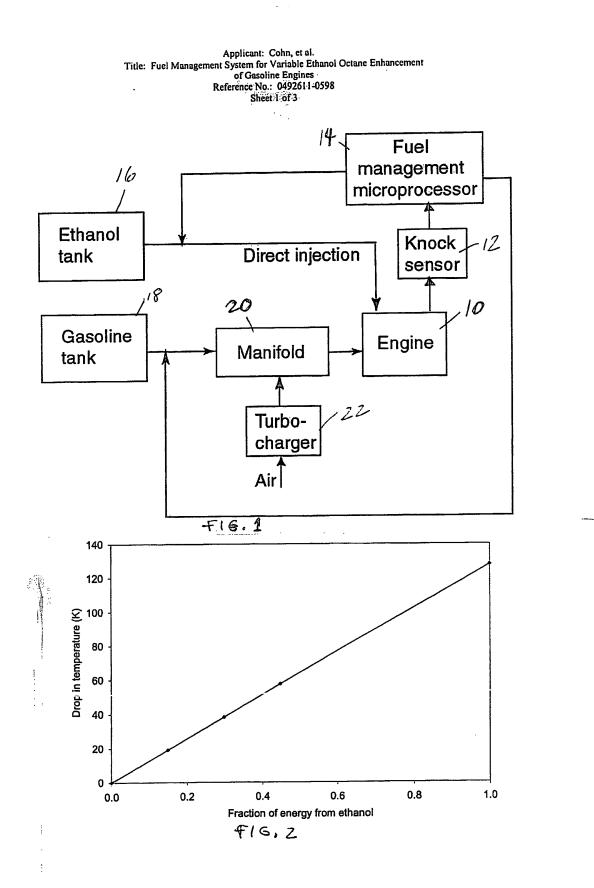
Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280

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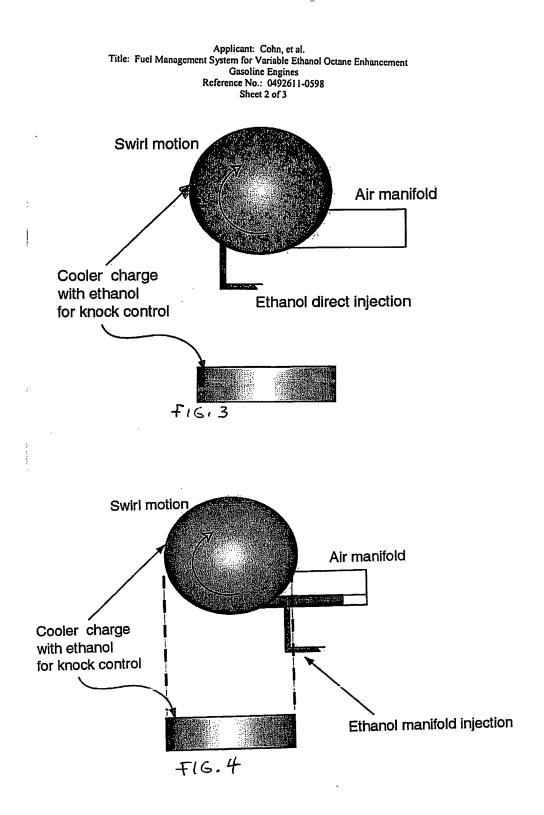
Page 14 of 14

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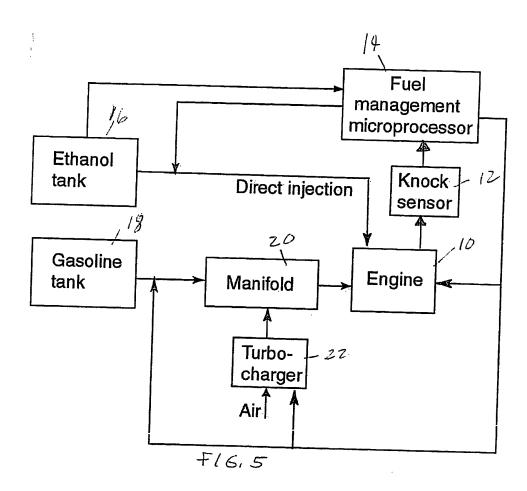
FORD Ex. 1121, page 18 IPR2020-00013



FORD Ex. 1121, page 19 IPR2020-00013



FORD Ex. 1121, page 20 IPR2020-00013 Applicant: Cohn, et al. Title: Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines Reference No.: 0492611-0598 Sheet 3 of 3



FORD Ex. 1121, page 21 IPR2020-00013

PATENT APPLICATION SERIAL NO.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

11/22/2004 SZEWDIE1 00000001 10991774

01 FC:2001 02 FC:2202 395.00 OP 27.00 OP

PTO-1556 (5/87)

*U.S. Government Printing Office: 2002 - 489-267/69033

FORD Ex. 1121, page 22 IPR2020-00013

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| | PATENT APPLICATION FEE DETERMINATION RECO Effective October 1, 2004 | | | | | | | | (0 | 99 | 1770 | f |
| | CLAIMS AS FILED - PART I (Column 1) (Column 2) | | | | | | | SMALL TYPE | | OF | | R THAN ENTITY |
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| AMENDMENT | NSMITTAL L | ETTER | 2 | | | Number I 1-0598 | |
|--|---|---|--|---|--|---|---------------------------|
| Application Number 10/991,774 | Filing Date November 18, 20 | | | | | oup Art Unit Be Assigne | d |
| nvention Title FUEL MANAGEMENT SY | STEM FOR VARIA | BLE ETH | HANOL OCTA | NÉ ENHA | NCEME | NT OF GAS | |
| TO THE COMMISSION | ER FOR PATEN | TS | | | | | - |
| Transmitted herewith | is an amendme | nt in the | above-identi | fied appl | ication, | including: | |
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| · | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSI PAID FOR | PR NU LY E | ESENT IMBER XTRA | RATE | FEE |
| TOTAL CLAIMS | 53 | | 20 | | 33 | x \$ 50 | \$1,650 |
| NDEPENDENT CLAIMS | 2 | Minus | 3 | | 0 | x \$200 | \$ |
| MULTIPLE DEPENDENT | | l | I | | | \$360 | \$ |
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|--------------------------------|---|---------------------------|----------------|
| Applicant: | Daniel R. Cohn, et al. | : | |
| Serial No.: | 10/991,774 | : Examiner: | To Be Assigned |
| Filed: | November 18, 2004 | : Art Unit: | To Be Assigned |
| For: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | : Atty. Docket: : : | 0492611-0598 |

Certificate of Mailing

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Othy A OBVer

Name: Cathy A. O'Brien

PRELIMINARY AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please preliminary amend the above-identified application as follows.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begin on page 3 of this paper.

Remarks/Arguments begin on page 8 of this paper.

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| AMENDMENT | NSMITTAL L | ETTER | 2 | | | Number I 1-0598 | |
|--|---|---|--|---|--|---|---------------------------|
| Application Number 10/991,774 | Filing Date November 18, 20 | | | | | oup Art Unit Be Assigne | d |
| nvention Title FUEL MANAGEMENT SY | STEM FOR VARIA | BLE ETH | HANOL OCTA | NÉ ENHA | NCEME | NT OF GAS | |
| TO THE COMMISSION | ER FOR PATEN | TS | | | | | - |
| Transmitted herewith | is an amendme | nt in the | above-identi | fied appl | ication, | including: | |
| | nary Amendment Postcard | | MS AS AM | | п | | |
| | (1) | ULAI | (2) | | 3) | | |
| · | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSI PAID FOR | PR NU LY E | ESENT IMBER XTRA | RATE | FEE |
| TOTAL CLAIMS | 53 | | 20 | | 33 | x \$ 50 | \$1,650 |
| NDEPENDENT CLAIMS | 2 | Minus | 3 | | 0 | x \$200 | \$ |
| MULTIPLE DEPENDENT | | l | I | | | \$360 | \$ |
| | | | | | | TOTAL | \$1,650 |
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| Applicant: | Daniel R. Cohn, et al. | : | |
| Serial No.: | 10/991,774 | : Examiner: | To Be Assigned |
| Filed: | November 18, 2004 | : Art Unit: | To Be Assigned |
| For: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | : Atty. Docket: : : | 0492611-0598 |

Certificate of Mailing

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Othy A OBVer

Name: Cathy A. O'Brien

PRELIMINARY AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please preliminary amend the above-identified application as follows.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begin on page 3 of this paper.

Remarks/Arguments begin on page 8 of this paper.

12/29/2004 HALI11 00000027 10991774

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AMENDMENTS TO THE SPECIFICATION

Please delete the paragraph beginning at page 6, line 17, which starts "Alternatively the ethanol."

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FORD Ex. 1121, page 28 IPR2020-00013

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AMENDMENTS TO THE CLAIMS:

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This listing of claims will replace all prior versions and listing of claims in the abovereferenced application.

Listing of Claims:

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1. (currently amended) Fuel management system for efficient operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of an anti-knock agent;

an injector for direct injection of the anti-knock agent into a cylinder of the engine; and

a fuel management control system for controlling injection of the anti-knock agent into the cylinder to control knock.

2. (currently amended) The system of claim 1 wherein the injectors stratify deposit the antiknock agent to provide non-uniform deposition within a cylinder.

3. (original) The system of claim 2 wherein the anti-knock agent is deposited near the walls of the cylinder.

4. (currently amended) The system of claim 2 wherein the stratification <u>non-uniform</u> <u>deposition</u> is obtained through direct injection and charge swirl.

5. (original) The system of claim 1 wherein the anti-knock agent is selected from the group consisting of ethanol, methanol, tertiary butyl alcohol, MTBE, ETBE and TAME.

6. (currently amended) The system of claim 1 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent.

7. (original) The system of claim 1 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion.

8. (original) The system of claim 1 wherein the anti-knock agent is ethanol.

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FORD Ex. 1121, page 29 IPR2020-00013 9. (original) The system of claim 8 wherein the ethanol is mixed with water.

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10. (original) The system of claim 8 wherein the ethanol is mixed with a lubricant.

11. (original) The system of claim 1 wherein the engine has substantial organized motion such as swirl.

12. (original) The system of claim 1 wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low.

13. (original) The system of claim 1 wherein the anti-knock agent is added only during portions of a drive cycle requiring knock resistance.

14. (original) The system of claim 1 wherein gasoline is port injected into the engine.

15. (original) The system of claim 1 wherein the gasoline is directly injected into the cylinder.

16. (original) The system of claim 8 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of fuel energy provided by the ethanol.

17. (original) The system of claim 1 wherein the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle.

18. (original) The system of claim 8 wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

19. (original) The system of claim 1 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available.

20. (original) The system of claim 8 wherein ethanol is injected proximate to a cylinder wall and swirl creates a ring of ethanol.

21-23. (cancelled)

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24. (new) The system of claim 8 wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

25. (new) The system of claim 8 wherein the ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

26. (new) The system of claim 8 wherein the ethanol is separated from a gasoline/ethanol mixture.

27. (new) The system of claim 8 wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol.

28. (new) The system of claim 8 wherein horsepower of a given size engine can be at least doubled by using ethanol octane enhancement.

29. (new) The system of claim 8 wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation.

30. (new) Fuel management system for operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of ethanol;

an injector for direct injection of the ethanol into a cylinder of the engine; and

a fuel management control system for controlling injection of the ethanol into the cylinder when engine torque is above a selected fraction of maximum torque.

31. (new) The system of claim 30 wherein torque levels at which the ethanol is directly injected are those where knock would occur absent the ethanol injection.

32. (new) The system of claim 30 wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

33. (new) The system of claim 30 wherein gasoline is port fuel injected.

34. (new) The system of claim 30 wherein up to and including substantially 100% of the fuel can be provided by the ethanol.

35. (new) The system of claim 30 wherein octane number is enhanced with increasing torque.

36. (new) The system of claim 30 wherein an octane enhancement of more than 20 octane numbers is achieved.

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FORD Ex. 1121, page 31 IPR2020-00013 · · ·

37. (new) The system of claim 30 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between the required octane number enhancement and fraction of fuel provided by the ethanol.

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38. (new) The system of claim 30 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the ethanol added to prevent knock in a closed loop fashion.

39. (new) The system of claim 30 wherein the injector provides non-uniform deposition of the ethanol within a cylinder.

40. (new) The system of claim 39 wherein the ethanol is deposited near the walls of the cylinder.

41. (new) The system of claim 39 wherein the non-uniform deposition is obtained through direct injection and charge swirl.

42. (new) The system of claim 30 wherein the ethanol is mixed with water.

43. (new) The system of claim 30 wherein the ethanol is mixed with a lubricant.

44. (new) The system of claim 30 wherein the engine has substantial organized motion such as swirl.

45. (new) The system of claim 30 wherein the system includes a measure of the amount of ethanol available to control turbocharging, supercharging or spark retard when the amount of ethanol is low.

46. (new) The system of claim 30 wherein the gasoline is directly injected into the cylinder.

47. (new) The system of claim 30 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of the fuel energy provided by the ethanol.

48. (new) The system of claim 30 wherein the fuel management system substantially minimizes the amount of ethanol used over a drive cycle.

49. (new) The system of claim 30 wherein an octane enhancement of at least four octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

50. (new) The system of claim 30 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when ethanol is not available.

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51. (new) The system of claim 30 wherein the engine is operated with substantially a stoichiometric fuel/air ratio.

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FORD Ex. 1121, page 32 IPR2020-00013 52. (new) The system of claim 30 wherein the ethanol is separated from a gasoline/ethanol mixture.

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53. (new) The system of claim 30 wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when ethanol is directly injected into the cylinder.

54. (new) The system of claim 53 wherein the horsepower is reduced by at least a factor of two.

55. (new) The system of claim 30 wherein the fuel management microprocessor control system uses ethanol level in the ethanol tank as an input to control a turbocharger, supercharger or spark retard.

56. (new) The system of claim 55 wherein the turbocharger, supercharger or spark retard is adjusted to prevent knock.

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<u>REMARKS</u>

The specification has been amended to delete two sentences that had been inadvertently duplicated.

It is requested that the claims amended herein be introduced into the application. No new matter is being introduced by these amended claims in that support is found throughout the application. Specific support for the new claim language will now be provided.

Support for claim 24 may be found in the specification at page 5, line 21. The language of claim 25 is supported at page 3, beginning at line 21. Support for claim 26 may be found at page 8, line 17. Claim 27 is supported at page 8, last line. Claim 28 is supported at page 10, lines 1-4. Claim 29 is supported at page 10, line 7.

The language of claim 30 is supported in the specification at page 3, line 3 and at page 9 beginning at line 3. Similarly, claim 31 is also supported at page 3, line 3.

Claim 32 is supported at page 9, beginning at line 3. Claim 36 is supported at page 6, line 10. The remaining claims are modeled on claims as originally filed.

Claim 53 is supported at page 9, line 26. Claim 54 is supported at page 10 beginning at line 1. Support for claims 55 and 56 may be found on page 9 at line 28.

It is requested that these claims be entered into the patent application and be examined in due course.

Respectfully submitted,

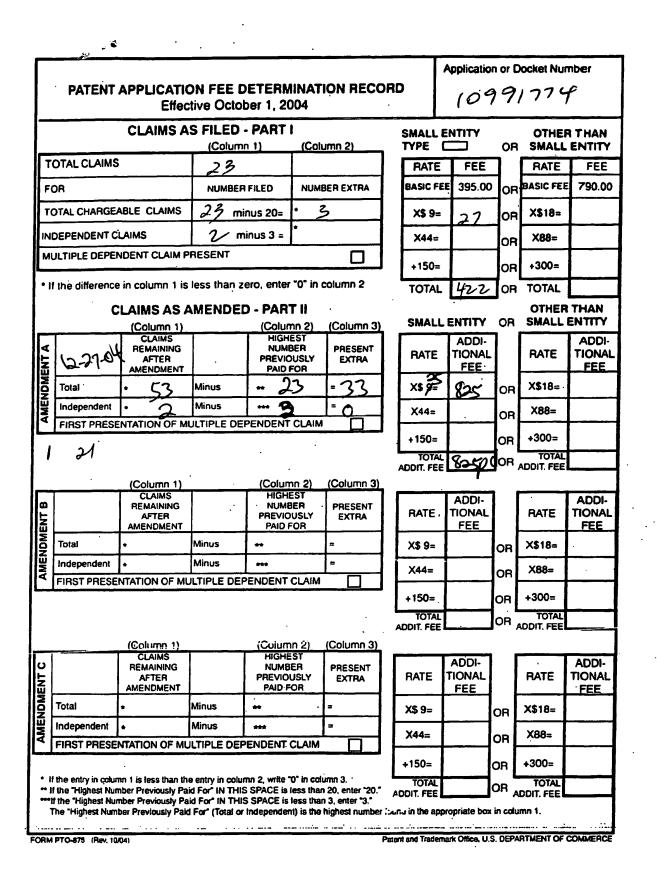
Sam Pasternack Registration No. 29,576

Date: December 22, 2004

Patent Department CHOATE, HALL & STEWART Exchange Place 53 State Street Boston, MA 02109-2804 Tel: (617) 248-5000 Fax: (617) 248-4000

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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 |
| | | | CONFIRMATION NO. 8282 |
| 24280 | | FORMAL | ITIES LETTER |

CHOATE, HALL & STEWART LLP EXCHANGE PLACE 53 STATE STREET BOSTON, MA 02109

| Date | Mailed: | 03/01 | /2005 |
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OC000000015308213

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

| Replies should be mailed to: | Mail Stop Missing Parts | |
|------------------------------|--------------------------|--|
| | Commissioner for Patents | |
| | P.O. Box 1450 | |
| | Alexandria VA 22313-1450 | |

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Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 3 - OFFICE COPY

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| | APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| I | 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 |
| | 24280 CHOATE, HALL & STEWAR EXCHANGE PLACE 53 STATE STREET BOSTON, MA 02109 5 HDEMESS1 00000003 10991774 | TLLP | | CONFIRMATION NO. 8282 TIES LETTER |

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Date Mailed: 03/01/2005

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

65.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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| Replies should be mailed to: | Mail Stop Missing Parts |
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| | Commissioner for Patents |
| | P.O. Box 1450 |
| | Alexandria VA 22313-1450 |

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Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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|------------------|---|-----|---------------|------------------|
| TRADEW Pplicant: | Cohn, et al. | : | | |
| Serial No.: | 10/991,774 | : | Examiner: | Not Yet Assigned |
| Filed: | November 18, 2004 | : | Art Unit: | 1714 |
| For: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | : | Atty. Docket: | 0492611-0598 |

Certificate of Mailing

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Dethy A O'Brien ne: Cathy A. O'Brien

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts mailed March 1, 2005 for the above-

referenced patent application under 35 U.S.C. 371, Applicant respectfully submits the following:

- 1. An executed Declaration;
- 2. Appointment of Attorney;
- 3. Establishing Right of Assignee to Take Action (37 CFR § 3.73(b));
- 4. A copy of the Notice to File Missing Parts;

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5. A check in the amount of \$65.00 to cover the missing parts surcharge for a small entity;

6. Return postcard.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 03-1721**. A duplicate copy of this form is being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-5143.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Sam Pasternack Registration No. 29,576

Date: March 17, 2005

ÿ `

Patent Group CHOATE, HALL & STEWART LLP Exchange Place 53 State Street Boston, MA 02109-2804 Tel: (617) 248-5000 Fax: (617) 248-4000

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DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

the specification of which (I authorize Choate, Hall & Stewart to check one of the following three choices, and fill in the blanks, if applicable):

_____ is attached hereto

X was filed on <u>November 18, 2004</u> as Application Serial No. <u>10/991,774</u> and amended on ______ (if applicable).

 was filed as PCT international application No.

 on
 and was amended under PCT Article 19

 on
 (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s): | | Priority Claimed | | |
|-------------------------------|-----------|------------------------|-------|-----------|
| (Number) | (Country) | (Day/Month/Year/Filed) | Yes N | <u>lo</u> |
| (Number) | (Country) | (Day/Month/Year/Filed) | Yes N | 10 |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| (Application Serial No.) | (filing date) | (status-patented, pending, abandoned) |
|------------------------------|-------------------------|---------------------------------------|
| (Application Serial No.) | (filing date) | (status-patented, pending, abandoned) |
| PCT Applications designation | ting the United States: | |
| (PCT Appl. No.) | (U.S.S.N.) | (status-patented, pending, abandoned) |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

3

| (Application Serial No.) | (filing date) | (status) | |
|--------------------------|---------------|----------|--|
| (Application Serial No.) | (filing date) | (status) | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Page 2 of 3

Attorney Docket No.: 0492611-0598

| Full name of first inventor Daniel R. Cohn |
|---|
| Inventor's signature Daniel R. Col_ Date: 2/7/05 |
| Inventor's signature Daniel R. Col Date: 2/7/65 Residence 26 Walnut St Chesnut Hill MX 02467 |
| Citizenship |
| Post Office Address Technology Licensing Office, Massachusetts Institute of Technology, |
| Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493 |

| Full name of second inventor Leslie Bromberg |
|--|
| Inventor's signature Call Boundary Date: 2705 |
| Residence 176 Wildure Mr. Sharon MA 02067 |
| Citizenship <u> </u> |
| Post Office Address <u>Technology Licensing Office, Massachusetts Institute of Technology.</u> |
| Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493 |

| Full name of third inventor, John B. Heywood |
|---|
| Inventor's signature And Heynon Date: 2/7/05 |
| Residence 218 Mill Street Newton MA 02460 |
| Citizenship USA. |
| Post Office Address <u>Technology Licensing Office</u> , <u>Massachusetts Institute of Technology</u> , |
| Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493 |

Attorney Docket No.: 0492611-0598



ATTORNEY DOCKET NO. 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.: Filing Date: Title: Cohn, et al. にの/ 991, 기기석 November 18, 2004 FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner For Patents P. O. Box 1450 Alexandria, VA 22313-1450

APPOINTMENT OF ATTORNEY

The undersigned hereby appoints the attorneys/agents associated with Customer Number **24280** as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office. All correspondence should be sent to:

Patent Department Attn: Sam Pasternack Choate, Hall & Stewart LLf Exchange Place, 53 State Street Boston, Massachusetts 02109

Respectfully submitted wa

Name: <u>Karin K. Rivard</u> Title: <u>Assistant Director/Counsel</u>, Tech. Lic. Office On behalf of Massachusetts Institute of Technology

Dated: Dec. 6,2004

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Page 1 of 1

Attorney Docket No.: .0492611-0598

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ATTORNEY DOCKET NO. 0492611-0598 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.: Filing Date: Title: Examiner: TBA Art Unit: 1714

November 18, 2004 FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner For Patents P. O. Box 1450 Alexandria, VA 22313-1450

Cohn, et al.

10/991,774

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION (37 CFR § 3.73(b))

The inventors of the above-referenced United States patent application have assigned their entire right, title, and interest in the inventions disclosed therein according to the following table:

| Inventor | Assignee |
|-----------------|--|
| Daniel R. Cohn | MASSACHUSETTS INSTITUTE OF TECHNOLOGY |
| Leslie Bromberg | MASSACHUSETTS INSTITUTE OF TECHNOLOGY |
| John B. Heywood | MASSACHUSETTS INSTITUTE OF TECHNOLOGY |

Thus, the Assignee of the inventors' entire right, title, and interest is:

MASSACHUSETTS INSTITUTE OF TECHNOLOGY

According to 37 CFR § 3.73(b), the Assignee is entitled to take action relating to the application in the Patent and Trademark Office if the Assignee establishes ownership to the satisfaction of the Commissioner.

Page 1 of 2

Attorney Docket No.: 0492611-0598

FORD Ex. 1121, page 46 IPR2020-00013



Ownership by the assignee is established as follows (I authorize Choate, Hall & Stewart to check one of the following two choices, and fill in the blanks, if applicable):

documents already recorded in the PTO on _____.

Reel _____; and

_____ documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, person(s) authorized to sign on behalf of the Assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as Assignee who seeks to take further action.

| Name/Title | Assignee | Date |
|-------------------------------------|--|--------|
| Signature: Name: Karin K. Rivard | MASSACHUSETTS INSTITUTE OF TECHNOLOGY | Dec.6, |
| Title:Assistant_Director/Counsel | , Tech. Lic. Office | Stay |

| UNITED STATE | S PATENT AND TRADEM | | COMMISSIONER FOR PATENTS STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023 www.usplo.gov |
|--------------------|---------------------|-----------------------|--|
| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 |
| | | | CONFIRMATION NO. 8282 |

24280 CHOATE, HALL & STEWART LLP EXCHANGE PLACE 53 STATE STREET BOSTON, MA 02109

1.100

OC00000015626244

Date Mailed: 3-31-65

NOTICE OF INFORMAL APPLICATION

This application is considered to be informal since it does not comply with the regulations for the reason(s) indicated below. The period within to correct the informalities noted below and avoid abandonment is set in the accompanying Office action.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:

· does not identify the citizenship of each inventor.

A copy of this notice <u>MUST</u> be returned with the reply.

Office of Initial Patent Examination (703) 308-1202 PART 1 - ATTORNEY/APPLICANT COPY

| 1 | ROG 2005 TO | N THE UNITED STATES PATENT A | ND TRADEMAR | K OFFICE | |
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| X | GIRADEMARK Applicant: | Cohn, et al. | : | | |
| | Serial No [°] . | 10/991,774 | : Examiner: | Not Yet Assigned | |
| | Filed: | November 18, 2004 | · : Art Unit: | 1714 | |
| | For: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | : Atty. Docket: : : | 0492611-0598 | |

Certificate of Mailing

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, first class mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 4th day of April, 2005.

Sher

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents are also being submitted.

In accordance with 37 C.F.R. 1.97, Applicant does not believe any fees are due in connection with submission of this Information Disclosure Statement since this Information Disclosure Statement is being filed before the mailing date of a first office action on the merits.

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The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application. Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our Deposit Account No. 03-1721. A duplicate copy of this form is being

submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-5143.

Respectfully submitted, CHOATE, HALL & STEWART LLP

k, Reg. No. 29,576

CHOATE, HALL & STEWART LLP Patent Group Exchange Place 53 State Street Boston, MA 02109 Tel: (617) 248-5000 Fax: (617) 248-4000

Date: April 4, 2005

Customer Number 24280

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| APK Suber | Substitute for form 1449A/P1O | | | Complete if Known | |
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| TE TRADEWAND | | | | Application Number | 10/991,774 |
| TRADE | INFORMATION DISCLOSURE | | | Filing Date | November 18, 2004 |
| | TATEMENT | | | First Named Inventor | Daniel R. Cohn, et al |
| | | | | Art Unit | 1714 |
| | (Use as many | sheets as nece | ssary) | Examiner Name | Not Yet Assigned |
| Sheet | 1 | of | 2 | Attorney Docket Number | 0492611-0598 |

| Examiner Cite Initials* No. ¹ | | Document Number | Publication Date | Name of Patentee or | Pages, Columns, Lines, Where Relevant | |
|---|--|----------------------------------|------------------|--------------------------------|--|--|
| | | Number-Kind Code ² (f | MM-DD-YYYY | Applicant of Cited Document | Passages or Relevant Figures Appear | |
| | | US-6,508,233 | 1/21/2003 | Blake R. Suhre, et al. | | |
| | | US-6,076,487 | 6/20/2000 | Joseph W. Wulff, et al. | | |
| | | US-6,575,147 | 6/10/2003 | Joseph W. Wulff, et al. | | |
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|---------------|-------------------------|--|---------------------|--|---|----|
| Examiner Cite | Foreign Patent Document | Publication Date | Name of Patentee or | Pages, Columns, Lines, Where | 6 | |
| Initials* | No. ¹ | Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant Figures Appeal | T. |
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| Examiner | Date | |
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| Signature | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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| Substitu | ute for form 1449B/F | ото | | Complete if Known | | |
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| | | | | Application Number | 10/991,774 | |
| INI | ORMATION | יחו | | Filing Date | November 18, 2004 | |
| | | | | First Named Inventor | Daniel R. Cohn, et al. | |
| | | | | Art Unit | 1714 | |
| | (Use as many sh | eets as | necessary) | Examiner Name | Not Yet Assigned | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 0492611-0598 | |

| | | NON-PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | A | A. MODAK and L.S. CARETTO, Engine Cooling by Direct Injection of Cooling Water, Society of Automotive Engineers, Inc. 700887 | |
| | В | JULIAN A. LoRUSSO and HARRY A. CIKANEK, Direct Injection Ignition Assisted Alcohol Engine, Society of Automotive Engineers, Inc. 880495, International Congress and Exposition in Detroit Michigan (February 29-March 4, 1998) | |
| | С | BÖRJE GRANDIN, HANS-ERIK ÁNGSTRÖM, PER STÁLHAMMAR and ERIC OLOFSSON, Knock Suppression in a Turbocharged SI Engine by Using Cooled EGR, Society of Automotive Engineers, Inc. 982476, International Fall Fuels and Lubricants Meeting and Exposition in San Francisco, California (October 19-22, 1998) | |
| | D | BÖRJE GRANDIN and HANS-ERIK ÁNGSTRÖM, Replacing Fuel Enrichment in a Turbo Charged SI Engine: Lean Burn or Cooled EGR, Society of Automotive Engineers, Inc. 199-01-3505 | |
| | E | C. STAN, R. TROEGER, S. GUENTHER, A. STANCIU, L. MARTORANO, C. TARANTINO and R. LENSI, Internal Mixture Formation and Combustion – from Gasoline to Ethanol, Society of Automotive Engineers, Inc. 2001-01-1207 | |
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| Examiner | Date | |
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| Signature | Considere | d |

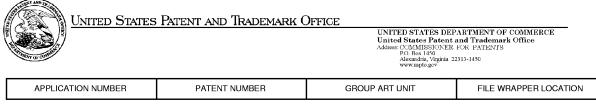
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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10/991,774

1714

Correspondence Address / Fee Address Change

The following fields have been set to Customer Number 24280 on 10/06/2005

- Correspondence Address
- Maintenance Fee Address

The address of record for Customer Number 24280 is: CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON,MA 02110

=> IFW: Scan as Doc Code: SRNT <= Doc Date: 4/10/06

TC 3700 Inventor Search Program

See attached inventor searches for applications and/or patents to help resolve questions of overlapping subject matter. These searches are provided as an initial examination aid: examiners should perform updated or expanded PALM or EAST inventors searches as appropriate.

Serial Number: 10/991774

1.) See <u>attached</u> printout of inventors listed in PALM

2.) See <u>attached</u> EAST Inventor Search Printout shows Inventor search terms

> FORD Ex. 1121, page 54 IPR2020-00013

Day : Monday Date: 4/10/2006

Time: 14:06:41

Inventor Information for 10/991774

PALM INTRANET

| Inventor Name | City | State/Country |
|------------------------------|---------------|------------------------------|
| COHN, DANIEL R. | CHESTNUT HILL | MASSACHUSETTS |
| BROMBERG, LESLIE | SHARON | MASSACHUSETTS |
| HEYWOOD, JOHN B. | NEWTON | MASSACHUSETTS |
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http://expoweb1:8001/cgi-bin/expo/GenInfo/sninventors.pl?APPL_ID=10991774 4/10/2006

FORD Ex. 1121, page 55 IPR2020-00013

| US 20060002382 | 20060105 | System and method for establishing calls over | 370/356 | 370/522 | Cohn; Daniel M. |
|-------------------------|----------|--|----------|---------------------|------------------------------------|
| A1 | | dynamic virtual circuit connections in an ATM network | | | |
| US 20050274104 A1 | 20051215 | Optimum regeneration of diesel particulate filters and NOx traps using fuel reformers | 60/275 | 60/286; 60/301 | Bromberg, Leslie et al. |
| US 20050214179 A1 | 20050929 | Low current plasmatron fuel converter having enlarged volume discharges | 422/186 | | Rabinovich, Alexander et al. |
| US 20050210877 A1 | 20050929 | Wide dynamic range multistage plasmatron reformer system | 60/643 | | Rabinovich, Alexander et al. |
| US 20050205775 A1 | 20050922 | Plasma ion mobility spectrometer | 250/290 | 250/292; 250/294 | Bromberg, Leslie et al. |
| US 20050165128 A1 | 20050728 | Responsive biomedical composites | 523/105 | 524/555 | Cohn, Daniel et al. |
| US 20050095302 A1 | 20050505 | Novel polymeric compositions exhibiting reverse thermal gellation properties | 424/501 | | Cohn, Daniel et al. |
| US 20050087436 A1 | 20050428 | Apparatus and method for operating a fuel reformer so as to purge soot therefrom | 204/172 | 431/3 | Smaling, Rudolf M. et al. |
| US 20050069573 A1 | 20050331 | Responsive polymeric system | 424/426 | | Cohn, Daniel et al. |
| US 20050019230 A1 | 20050127 | Plasmatron-catalyst system | 422/186 | 429/19 | Bromberg, Leslie et al. |
| US 20050008609 A1 | 20050113 | Multi-component reverse thermo-sensitive polymeric systems | 424/78.1 | | Cohn, Daniel et al. |
| US 20040250790 A1 | 20041216 | High compression ratio, high power density homogeneous charge compression ignition engines using hydrogen and carbon monoxide to enhance auto-ignition | 123/300 | | Heywood, John B. et al. |

| | | resistance | | | |
|-------------------------|---------------------------|--|------------|--|------------------------------------|
| US 20040202592 A1 | 20041014 | Plasmatron fuel converter having decoupled air flow control | 422/186.04 | 204/168 | Rabinovich, Alexander et al. |
| US 20040156819 A1 | N/A, Contact help desk | | | | Cohn, Daniel et al. |
| US 20040099226 A1 | 20040527 | Homogeneous charge compression ignition control utilizing plasmatron fuel converter technology | 123/3 | | Bromberg, Leslie et al. |
| US 20040065274 A1 | 20040408 | High compression ratio, hydrogen enhanced engine system | 123/1A | 123/568.11; 123/585 | Cohn, Daniel R. et al. |
| US 20040035395 A1 | 20040226 | Hydrogen and carbon monoxide enhanced knock resistance in spark ignition gasoline engines | 123/435 | 123/198A | Heywood, John B. et al. |
| US 20030221949 A1 | 20031204 | Low current plasmatron fuel converter having enlarged volume discharges | 204/164 | 422/186.04 | Rabinovich, Alexander et al. |
| US 20030195162 A1 | 20031016 | Genetic marker for spondyloepimetaphyseal dysplasia | 514/44 | 435/6; 435/91.2; 536/23.2; 536/24.3 | Cohn, Daniel H. et al. |
| US 20030187148 A1 | 20031002 | Novel polymeric compositions exhibiting reverse thermal gellation properties | 525/408 | | Cohn, Daniel et al. |
| US 20030089337 A1 | 20030515 | High compression ratio, hydrogen enhanced gasoline engine system | 123/435 | 123/1A; 123/568.11 | Cohn, Daniel R. et al. |
| US 20030082235 A1 | 20030501 | Novel reverse thermo- sensitive block copolymers | 424/486 | | Cohn, Daniel et al. |
| US 20030042231 A1 | 20030306 | Symbiotic solid waste - gaseous waste conversion system for high-efficiency electricity production | 219/121.37 | 110/346; 219/121.48 | Surma, Jeffrey E. et al. |
| US 20020194835 | 20021226 | Emission abatement system utilizing | 60/275 | 60/295; 60/301 | Bromberg, Leslie et al. |

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| Al | | particulate traps | | | |
|-------------------------|----------|---|------------|---|------------------------------------|
| US 20020012618 A1 | 20020131 | Plasmatron-catalyst system | 422/190 | 422/191; 422/192; 422/211; 48/117; 48/127.9; 48/61 | Bromberg, Leslie et al. |
| US 20010009662 A1 | 20010726 | Novel polymeric compositions | 424/78.17 | 525/450 | Cohn, Daniel et al. |
| US 6981472 B2 | 20060103 | Homogeneous charge compression ignition control utilizing plasmatron fuel converter technology | 123/3 | 123/27GE; 123/525 | Bromberg; Leslie et al. |
| US 6981055 B1 | 20051227 | Method and system for optimizing routing through multiple available internet route providers | 709/238 | 370/401; 709/239 | Ahuja; Abha et al. |
| US 6881386 B2 | 20050419 | Low current plasmatron fuel converter having enlarged volume discharges | 422/186.04 | 123/3; 422/186.22; 422/186.25; 422/186.28 | Rabinovich; Alexander et al. |
| US 6870012 B2 | 20050322 | Chain-extended peo/ppo/peo block copolymer, optionally with polyester blocks, combined with cellular or bioactive material | 525/408 | 424/174.1; 424/176.1; 424/280.1; 424/94.1; 514/772.7; 525/403 | Cohn; Daniel et al. |
| US 6818428 B1 | 20041116 | 3-phosphoadenosine-5- phosphosulfate (PAPS) synthetase proteins and methods for treating osteoarthritic disorders | 435/194 | 424/192.1; 435/252.3; 435/320.1; 536/23.2 | Cohn; Daniel H. et al. |
| US 6793899 B2 | 20040921 | Plasmatron-catalyst system | 422/188 | 422/189; 422/190; 422/198; 422/211; 429/17; 429/19; 48/127.9; 48/DIG.8 | Bromberg; Leslie et al. |
| US 6737604 B2 | 20040518 | Symbiotic solid waste gaseous waste conversion system for | 219/121.37 | 110/346; 219/121.48 | Surma; Jeffrey E. et al. |

| | | high-efficiency | | | |
|------------------|----------|--|------------|---|----------------------------|
| 110 (71975) | 20040413 | electricity production Emission abatement | 60/275 | | Bromberg; |
| US 6718753 B2 | 20040413 | system utilizing particulate traps | 60/275 | | Leslie et al. |
| US 6696499 B1 | 20040224 | Methods and compositions for reducing or eliminating post-surgical adhesion formation | 514/772.1 | 525/408; 525/424; 525/43; 525/449; 525/454 | Cohn; Daniel et al. |
| US 6655324 B2 | 20031202 | High compression ratio, hydrogen enhanced gasoline engine system | 123/1A | 123/304; 123/431; 123/568.11; 123/DIG.12 | Cohn; Daniel R. et al. |
| US 6621395 B1 | 20030916 | Methods of charging superconducting materials | 335/216 | 505/879 | Bromberg; Leslie |
| US 6579951 B1 | 20030617 | Chain-extended or crosslinked polyethylene oxide/polypropylene oxide/polyethylene | 525/408 | 525/403 | Cohn; Daniel et al. |
| | • | oxide block polymer with optional polyester blocks | | | |
| US 6560958 B1 | 20030513 | Emission abatement system | 60/275 | 60/286; 60/295; 60/301 | Bromberg; Leslie et al. |
| US 6322757 B1 | 20011127 | Low power compact plasma fuel converter | 422/186.04 | 123/3; 422/186.22; 422/186.28 | Cohn; Daniel R. et al. |
| US 6226516 B1 | 20010501 | Method for invoking dynamically modifiable subscriber services and an intelligent telecommunication network incorporating the same | 455/433 | 379/201.05; 379/207.02; 379/913; 455/414.1 | Gupta; Rohit e al. |
| US 6211249 B1 | 20010403 | Polyester polyether block copolymers | 514/772.1 | 525/408; 525/424; 525/430; 525/449; 525/454 | Cohn; Daniel et al. |
| US 6167064 A | 20001226 | Method and system in an intelligent communications network for a | 370/522 | 370/410; 455/553.1 | Cohn; Daniel et al. |

| | | programmable call | | | |
|---------------|----------|-------------------------|------------|------------------|----------------|
| | | control utilizing | | | |
| | | removable configurable | | | |
| | | control mechanisms | | | |
| US 6160238 A | 20001212 | Tunable molten oxide | 219/121.37 | 110/242; | Titus; Charles |
| 00 010025011 | 20001212 | pool assisted plasma- | | 110/250; | H. et al. |
| | | melter vitrification | | 219/121.53; | |
| | | systems | | 219/121.54; | |
| | | 39300113 | | 219/121.57; | |
| | | | | 373/22; | • |
| | | | | 373/25; | |
| | | | | 575/25, 588/311; | |
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| | | | | 588/314; | |
| | | | | 588/316; | |
| | | | | 588/405; | |
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| | | | | 588/413; | |
| | | | | 588/414; | |
| | | | | 588/900 | |
| US 6136333 A | 20001024 | Methods and | 424/423 | 424/426; | Cohn; Daniel |
| | | compositions for | | 424/444; | et al. |
| , | | reducing or eliminating | | 424/486; | |
| | | post-surgical adhesion | | 424/497; | : |
| | | formation | | 424/78.17 | |
| US 6127645 A | 20001003 | Tunable, self-powered | 219/121.36 | 110/242; | Titus; Charles |
| 05 0127015 11 | 20001003 | arc plasma-melter | | 110/250; | H. et al. |
| | | electro conversion | | 219/121.37; | |
| | | system for waste | | 219/121.53; | |
| | | treatment and resource | | 219/121.54; | |
| | | | | 219/121.54; | |
| | | recovery | | 373/22; | |
| | | | | 373/25; | |
| | | · , | | 588/311; | |
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| | | | | 588/316; | |
| | | | | 588/405; | |
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| | - | | | 588/413; 588/414 | |
|--------------|----------|---|------------|---|-----------------------------|
| US 6081329 A | 20000627 | Compact trace element sensor which utilizes microwave generated plasma and which is portable by an individual | 356/316 | 333/99PL | Cohn; Daniel R. et al. |
| US 6066825 A | 20000523 | Methods and apparatus for low NO.sub.x emissions during the production of electricity from waste treatment systems | 219/121.36 | 110/246; 219/121.37; 219/121.44; 373/18; 588/900 | Titus; Charles H. et al. |
| US 6037560 A | 20000314 | Enhanced tunable plasma-melter vitrification systems | 219/121.37 | 110/346; 219/121.36; 219/121.52; 219/121.54; 373/18; 588/311; 588/405; 588/406; 588/410 | Titus; Charles H. et al. |
| US 5908564 A | 19990601 | Tunable, self-powered arc plasma-melter electro conversion system for waste treatment and resource recovery | 219/121.36 | 110/250; 110/346; 219/121.37; 219/121.38; 373/18; 588/900 | Titus; Charles H. et al. |
| US 5887554 A | 19990330 | Rapid response plasma fuel converter systems | 123/3 | 123/DIG.12 | Cohn; Daniel R. et al. |
| US 5852927 A | 19981229 | Integrated plasmatron- turbine system for the production and utilization of hydrogen- rich gas | 60/780 | 290/52; 60/39.48 | Cohn; Daniel R. et al. |
| US 5847353 A | 19981208 | Methods and apparatus for low NO.sub.x emissions during the production of electricity from waste treatment systems | 219/121.36 | 110/246; 219/121.37; 219/121.44; 373/18; 588/900 | Titus; Charles H. et al. |
| US 5825485 A | 19981020 | Compact trace element sensor which utilizes microwave generated plasma and which is | 356/316 | 333/99PL | Cohn; Daniel R. et al. |

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| | | portable by an individual | | | |
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| US 5798497 A | 19980825 | Tunable, self-powered | 219/121.37 | 110/242; | Titus; Charles |
| US 5/98497 A | 19960625 | integrated arc plasma- | 21)/121.57 | 110/250; | H. et al. |
| | | melter vitrification | | 219/121.43; | 11. 00 al. |
| | | | | 219/121.53; | |
| | | system for waste | | 219/121.55; | |
| | | treatment and resource | | | |
| | | recovery | | 219/121.57; | |
| | | | 074/106 | 373/22; 373/25 | W. L. Devi |
| JS 5785426 A | 19980728 | Self-calibrated active | 374/126 | 374/122; | Woskov; Paul |
| | | pyrometer for furnace | | 374/128; | P. et al. |
| | | temperature | | 374/130; | |
| | | measurements | | 374/131; 374/9 | |
| JS 5756957 A | 19980526 | Tunable molten oxide | 588/311 | 110/250; | Titus; Charles |
| | | pool assisted plasma- | | 110/346; | H. et al. |
| | | melter vitrification | | 219/121.36; | |
| | | systems | | 219/121.57; | |
| | | | | 219/121.59; | |
| | | | | 588/314; | |
| | | | | 588/318; | |
| | | | | 588/405; | |
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| | | | | 588/407; | |
| | | | | 588/408; | |
| | | | | 588/409; | |
| | | | | 588/412; | |
| | | | | 75/10.1; | |
| | | | | 75/10.19 | |
| JS 5711958 A | 19980127 | Methods for reducing or | 424/423 | 128/898; | Cohn; Daniel |
| JS 3/11930 A | 19900127 | eliminating post- | 124/425 | 424/424; | et al. |
| | | surgical adhesion | | 424/425; | |
| | | formation | | 424/78.06; | |
| | | iormation | | 514/772.1; | |
| | | | | 514/772.7 | |
| | 10070000 | | 256/216 | 333/99PL | Woskov; Pau |
| JS 5671045 A | 19970923 | Microwave plasma | 356/316 | 333/99PL | |
| | | monitoring system for | | | P. et al. |
| | | the elemental | | | |
| | | composition analysis of | | | |
| | | high temperature | | | |
| | | process streams | | • | |
| JS 5666891 A | 19970916 | ARC plasma-melter | 110/250 | 110/346; | Titus; Charles |
| | 1 | electro conversion | | 219/121.17; | H. et al. |
| | | system for waste | | 219/121.38 | |
| | | treatment and resource | | | |
| | | recovery | | | |
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| | • | self-calibrated furnace | <u> </u> | 374/131; | P. et al. |
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| | | temperature | | 5/4/141 | |
| | | measurements | 256/016 | | W 1 D 1 |
| US 5479254 A | 19951226 | Continuous, real time | 356/316 | 333/99PL | Woskov; Paul |
| | | microwave plasma | | | P. et al. |
| | | element sensor | | | |
| US 5437250 A | 19950801 | Plasmatron-internal | 123/3 | 123/DIG.12 | Rabinovich; |
| | | combustion engine | | • | Alexander et |
| | | system | | | al. |
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| 0.5.0.12000-11 | | combustion engine | | | Alexander et |
| | | system | | | al. |
| US 5409784 A | 19950425 | Plasmatron-fuel cell | 429/13 | 180/65.3; | Bromberg; |
| US 3409704 A | 17750425 | system for generating | 427/13 | 429/21; 429/9 | Leslie et al. |
| | | | | 4 2 <i>1</i> /21, 4 2 <i>1</i> /2 | Lesne et al. |
| | 10021026 | electricity | 219/121.52 | 110/242; | Bromberg; |
| US 5256854 A | 19931026 | Tunable plasma method | 219/121.52 | 110/242; | Leslie et al. |
| | | and apparatus using | | | Lesne et al. |
| | | radio frequency heating | | 219/121.21; | |
| | | and electron beam | | 219/121.43; | |
| | | irradiation | | 219/121.59; | |
| | | | | 250/492.21 | |
| US 5231073 A | 19930727 | Microwave/far infrared | 505/475 | 264/322; | Cohn; Daniel |
| | | cavities and waveguides | | 505/410; | R. et al. |
| | | using high temperature | | 505/480; | |
| | | superconductors | | 505/702; | |
| | | | | 505/704; | |
| | | · · · · | | 505/728; | |
| • | | | | 505/729; | |
| | | | | 505/740; | |
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| US 5100992 A | 19920331 | Polyurethane-based | 528/26 | 424/501; | Cohn; Daniel |
| 03 J100332 A | 19920331 | polymeric materials and | 520,20 | 528/28; | et al. |
| • | | biomedical articles and | | 528/59; | |
| | | pharmaceutical | | 528/65; | |
| | | | | 604/19; | |
| | | compositions utilizing | | 604/19; | |
| | | the same | | | |
| | | | | 604/290; | |
| | · . | | | 604/327; | |
| | | | | 604/403; | |
| | · | | | 604/73; 604/8 | |
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| | | setup for conductivity | | 324/653; | P. et al. |
| | | measurements | | 324/708 | |
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| | 1 | cavities and waveguides |] | 333/21R; | R. et al. |
| | | cavinos ana wavegulues | | 55572110, | 10.000 |

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| | | superconductors | | 333/238; 333/239; 333/99S; 505/701 | |
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| US 4826945 A | 19890502 | Biodegradable polymeric materials based on polyether glycols, processes for the preparation thereof and surgical articles made therefrom | 424/423 | 424/443; 424/497; 424/78.06; 428/423.7; 428/480; 525/450; 528/76; 604/19 | Cohn; Daniel et al. |
| US 4330761 A | 19820518 | High power gas laser | 372/4 | 372/59 | Cohn; Daniel R. et al. |

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EAST Search History

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|----------|------|---|---|---------------------|---------|------------------|
| L1 | 194 | (anti-knock or antiknock) adj agent\$1 and internal adj combustion adj engine and ethanol | US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB | OR | OFF | 2006/04/18 08:31 |

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Page 1

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| | | | UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov | Frademark Office OR PATENTS |
|-----------------|------------------|----------------------|--|--------------------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
| 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 | 8282 |
| 24280 75 | 90 04/25/2006 | | EXAM | INER |
| , | LL & STEWART LLP | | ALI, H | YDER |
| | ATIONAL PLACE | | ART UNIT | PAPER NUMBER |
| BOSTON, MA | 02110 | | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

| | Application No. | Applicant(s) |
|---|--|---|
| | | |
| Office Action Summary | 10/991,774 | COHN ET AL. |
| ennee Action Cummury | Examiner | Art Unit |
| The MAILING DATE of this communication a | HYDER ALI | 3747 |
| Period for Reply | opears on the cover sheet | whith the correspondence address |
| A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory perio - Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMU .136(a). In no event, however, may d will apply and will expire SIX (6) N tte, cause the application to become | NICATION. y a reply be timely filed IONTHS from the mailing date of this communication. & ABANDONED (35 U.S.C. § 133). |
| Status | | |
| 1) Responsive to communication(s) filed on | | |
| | | |
| 3) Since this application is in condition for allow | | atters, prosecution as to the merits is |
| closed in accordance with the practice under | | |
| | | |
| Disposition of Claims | | |
| 4) | | |
| 4a) Of the above claim(s) is/are withdr | awn from consideration. | |
| 5) Claim(s) is/are allowed. | | |
| (,, 6)⊠ Claim(s) <u>1-20 and 24-56</u> is/are rejected. | | |
| 7) Claim(s) is/are objected to. | | |
| 8) Claim(s) are subject to restriction and | or election requirement. | · . |
| Application Papers | | |
| 9) The specification is objected to by the Examir |)er | |
| 10)⊠ The drawing(s) filed on <u>18 November 2004</u> is. | | On objected to by the Examiner |
| Applicant may not request that any objection to th | | • |
| Replacement drawing sheet(s) including the corre | | |
| 11) The oath or declaration is objected to by the I | | |
| | | · · · · · · · · · · · · · · · · · · · |
| Priority under 35 U.S.C. § 119 | | |
| 12) Acknowledgment is made of a claim for foreig | n priority under 35 U.S.C | 5. § 119(a)-(d) or (f). |
| a) All b) Some * c) None of: | - 1 - 1 - 1 | |
| 1. Certified copies of the priority documer | | |
| 2. Certified copies of the priority documer | | |
| 3. Copies of the certified copies of the pri | | en received in this National Stage |
| application from the International Bure | | |
| * See the attached detailed Office action for a lis | a of the certified copies r | |
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| Attachment(s) | | |
| 1) X Notice of References Cited (PTO-892) | | w Summary (PTO-413) |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0. | | lo(s)/Mail Date of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date <u>4/6/05</u> . | 6) 🗌 Other: | , |
| J.S. Patent and Trademark Office PTOL-326 (Rev. 7-05) Office | Action Summary | Part of Paper No./Mail Date 20060414 |

1.

DETAILED ACTION

Inventorship

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim Objections

Claims 11 and 44 are objected to because of the following informalities: the limitation "substantial organized motion such as swirl" should read "substantial organized swirl motion". Appropriate correction is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

1. Claims 1-20,24-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takeda (US 4,480,616) in view of Cantwell et al (US 3,106,194).

Takeda discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine ; a source of an anti-knock agent 18; an injector 16 for injection of the anti-knock agent into the engine; and a fuel management control system 24 for controlling injection of the anti-knock agent into the engine to control knock.

Takeda does not disclose direct injection of the anti-knock agent into a cylinder of the engine.

Cantwell et al discloses direct injection of the anti-knock agent into a cylinder 18 of the engine 20.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the invention of Takeda by employing direct injection of the antiknock agent into a cylinder 18 of the engine 20 as taught by Cantwell in order to provide Takeda engine capable of direct injection of the anti-knock agent into a cylinder of the engine.

With regard to claim 2, Cantwell et al discloses wherein the injectors16 deposit the antiknock agent to provide non-uniform deposition within a cylinder 18.

With regard to claim 3, Cantwell et al discloses wherein the anti-knock agent is deposited near the walls of the cylinder 18.

With regard to claim 4, Cantwell et al discloses wherein the non-uniform deposition is obtained through direct injection and charge swirl.

With regard to claim 5, Takeda discloses anti-knock agent is alcohol.

With regard to claim 6, Takeda discloses wherein the fuel management system 24 includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent.

With regard to claim 7, wherein the gasoline engine includes a knock sensors providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion (optional design choice).

With regard to claim 8, Takeda discloses anti-knock agent is alcohol such as ethanol. With regard to claim 9, Takeda discloses alcohol such as ethanol is mixed with water. With regard to claim 10, wherein the ethanol is mixed with a lubricant (optional design choice).

With regard to claim 11, Takeda discloses engine has substantial organized swirl motion.

With regard to claim 12, Takeda discloses wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low.

With regard to claim 13, Takeda discloses wherein the anti-knock agent is added only during portions of a drive cycle requiring knock resistance.

With regard to claim 14, Cantwell et al discloses wherein gasoline is port injected into the engine.

With regard to claim 15, Cantwell et al discloses wherein the gasoline is directly injected into the cylinder.

With regard to claim 16, wherein the direct injection of ethanol provides substantially a 13 degrees Celsius drop in temperature for every 10% of fuel energy provided by the ethanol (optional design choice).

With regard to claim 17, Takeda discloses wherein the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle. With regard to claim 18, wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol (optional design choice).

With regard to claim 19, wherein turbocharging or supercharging ae reduced or eliminated and/or spark retard is increased when the aniti-knock agent is not available (optional design choice).

With regard to claim 20, Takeda discloses wherein alcohol (ethanol) is injected proximate to a cylinder wall and swirl creates a ring of alcohol (ethanol).

With regard to claim 24, Takeda discloses wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

With regard to claim 25, Takeda discloses wherein the alcohol such as ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

With regard to claim 26, Takeda discloses wherein the ethanol is separated from a gasoline/alcohol (ethanol) mixture.

With regard to claim 27, wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol.

With regard to claim 28, wherein horsepower of a given size engine can be at least doubled by using alcohol (ethanol) octane enhancement.

With regard to claim 29, wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation.

2. Claims 30-56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takeda (US 4,480,616) in view of Krauja et al (US 4,721,081).

Takeda discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine; a source of alcohol (may be ethanol); an injector for injection of the alcohol (may be ethanol) into the engine; and a fuel management control system 24 for controlling injection of the alcohol (may be ethanol) into the cylinder when engine torque is above a selected fraction of maximum torque.

Takeda does not disclose an injector for direct injection of the ethanol into a cylinder of the engine.

Krauja et al discloses an injector 22 for direct injection of the ethanol into a cylinder of the engine 14.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the invention of Takeda by employing direct injection of the ethanol into a cylinder of the engine 14 as taught by Krauja et al in order to provide Takeda engine capable of direct injection of the alcohol (ethanol) into a cylinder of the engine.

With regard to claim 31, wherein torque levels at which the alcohol (ethanol) is directly injected are those where knock would occur absent the ethanol injection.

With regard to claim 32, wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

With regard to claim 33, Takeda discloses wherein gasoline is port fuel injected. With regard to claim 34, Krauja et al discloses wherein up to and including substantially 100% of the fuel can be provided by the ethanol.

With regard to claim 35, wherein octane number is enhanced with increasing torque. With regard to claim 36, wherein an octane enhancement of more than 20 octane numbers is achieved (optional design choice).

With regard to claim 37, Takeda discloses wherein the fuel management system 24 includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the alcohol (ethanol).

With regard to claim 38, wherein the gasoline engine includes a knock sensors providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion (optional design choice).

With regard to claim 39, Krauja et al discloses wherein the injectors 22 provide nonuniform deposition of the ethanol within a cylinder.

With regard to claim 40, Krauja et al discloses wherein the ethanol is deposited near the walls of the cylinder.

With regard to claim 41, Krauja et al discloses wherein the non-uniform deposition is obtained through direct injection and charge swirl.

With regard to claim 42, wherein alcohol (ethanol) mixed with water.

With regard to claim 43, wherein the ethanol is mixed with a lubricant (optional design choice).

With regard to claim 44, Takeda discloses engine has substantial organized swirl motion.

With regard to claim 45, Takeda discloses wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of alcohol (ethanol) is low.

With regard to claim 46, wherein the gasoline is directly injected into the cylinder (optional design choice).

With regard to claim 47, wherein the direct injection of ethanol provides substantially a 13 degrees Celsius drop in temperature for every 10% of fuel energy provided by the ethanol (optional design choice).

With regard to claim 48, Takeda discloses wherein the fuel management system substantially minimizes the amount of alcohol (ethanol) used over a drive cycle. With regard to claim 49, wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol (optional design choice).

With regard to claim 50, wherein turbocharging or supercharging ae reduced or eliminated and/or spark retard is increased when the aniti-knock agent is not available (optional design choice).

With regard to claim 51, Takeda discloses wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

With regard to claim 52, Takeda discloses wherein the ethanol is separated from a gasoline/alcohol (ethanol) mixture.

With regard to claim 53, wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when alcohol (ethanol) is directly injected into the cylinder.

With regard to claim 54, wherein the horsepower is reduced by at least a factor of two. With regard to claim 55, wherein the fuel management microprocessor control system uses alcohol (ethanol) level in the ethanol tank as an input to control a turbocharger, supercharger or spark retard. With regard to claim 56, wherein the turbocharger, supercharger or spark retard is adjusted to prevent knock.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HYDER ALI whose telephone number is (571) 272-4836. The examiner can normally be reached on M-F (8:30-5:00). The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

par Ali

Primary Examiner

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| ACTINE | | | | Application Number | 10/991,774 | | | | |
| INFO | | | OSURE | Filing Date | November 18, 2004 | | | | |
| | | BY APF | | First Named Inventor | Daniel R. Cohn, et al. | | | | |
| | | | | Art Unit | 1714 | | | | |
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| Sheet | 1 | of | 2 | Attorney Docket Number | 0492611-0598 | | | | |

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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possible. Applicant is to place a check that k tere it engine tangenge transport of state-true. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| | ATEMENT | | | First Named Inventor | Daniel R. Cohn, et al. | | | | | | | |
| | | | | Art Unit | 1714 | | | | | | | |
| | (Use as many | sheets as neces | ssary) | Examiner Name | Not Yet Assigned | | | | | | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 0492611-0598 | | | | | | | |

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| Examiner Initials* | Cite No.' | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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| SERIAL NUMBER 10/991,774 | FILING DATE 11/18/2004 RULE | (| CLASS 123 | GR | OUP ART U 3747 | INIT | | RNEY DOCKET NO. 92611-0598 |
| APPLICANTS Daniel R. Cohn, Che Leslie Bromberg, Sh John B. Heywood, N ** CONTINUING DATA ***** ** FOREIGN APPLICATION IF REQUIRED, FOREIGN F ** 03/01/2005 | haron, MA; Newton, MA; | - | É NE "SMALL ENT | TITY ** | | | | - |
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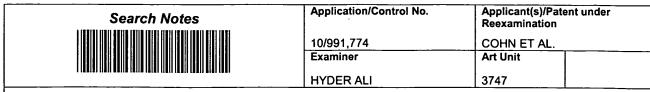
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Cohn, et al. | : | | |
|-------------|---|------------------|---------------|--------------|
| Serial No.: | 10/991,774 | : | Examiner: | Ali, Hyder |
| Filed: | November 18, 2004 | : | Art Unit: | 3747 |
| For: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES | · : : : | Atty. Docket: | 0492611-0598 |

CERTIFICATE OF MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 6, 2006.

Name: Marilvn

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed April 25, 2006, please amend the application as follows:

Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.

Remarks begin on page 7 of this paper.

It is not believed that extensions of time or fees for net addition of claims are required,

beyond those which may otherwise be provided for in documents accompanying this paper.

However, in the event that additional extensions of time are necessary, then such extensions of

time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required for consideration of

this paper (including fees for net addition of claims) are authorized to be charged in the

Amendment Transmittal Letter filed herewith.

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AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions and listing of claims in the abovereferenced application.

Listing of Claims:

1. (currently amended) Fuel management system for operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of an <u>a liquid fuel</u> anti-knock agent;

an injector for direct injection of the <u>liquid fuel</u> anti-knock agent into a cylinder of the engine <u>for vaporization in the cylinder to provide charge cooling</u>; and

a fuel management control system <u>including a microprocessor</u> for controlling injection of the <u>liquid fuel</u> anti-knock agent into the cylinder to control knock <u>wherein the fuel management</u> <u>control system microprocessor substantially minimizes the amount of anti-knock agent used over</u> <u>a drive cycle</u>.

2. (previously presented) The system of claim 1 wherein the injectors deposit the anti-knock agent to provide non-uniform deposition within a cylinder.

3. (original) The system of claim 2 wherein the anti-knock agent is deposited near the walls of the cylinder.

4. (previously presented) The system of claim 2 wherein the non-uniform deposition is obtained through direct injection and charge swirl.

5. (original) The system of claim 1 wherein the anti-knock agent is selected from the group consisting of ethanol, methanol, tertiary butyl alcohol, MTBE, ETBE and TAME.

6. (previously presented) The system of claim 1 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent.

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FORD Ex. 1121, page 83 IPR2020-00013 7. (original) The system of claim 1 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion.

8. (original) The system of claim 1 wherein the anti-knock agent is ethanol.

9. (original) The system of claim 8 wherein the ethanol is mixed with water.

10. (original) The system of claim 8 wherein the ethanol is mixed with a lubricant.

11. (original) The system of claim 1 wherein the engine has substantial organized motion such as swirl.

12. (original) The system of claim 1 wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low.

13. (original) The system of claim 1 wherein the anti-knock agent is added only during portions of a drive cycle requiring knock resistance.

14. (original) The system of claim 1 wherein gasoline is port injected into the engine.

15. (original) The system of claim 1 wherein the gasoline is directly injected into the cylinder.

16. (original) The system of claim 8 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of fuel energy provided by the ethanol.

17. (canceled)

18. (original) The system of claim 8 wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

19. (original) The system of claim 1 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available.

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FORD Ex. 1121, page 84 IPR2020-00013 20. (original) The system of claim 8 wherein ethanol is injected proximate to a cylinder wall and swirl creates a ring of ethanol.

21-23. (cancelled)

24. (previously presented) The system of claim 8 wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

25. (previously presented) The system of claim 8 wherein the ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

26. (previously presented) The system of claim 8 wherein the ethanol is separated from a gasoline/ethanol mixture.

27. (previously presented) The system of claim 8 wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol.

28. (previously presented) The system of claim 8 wherein horsepower of a given size engine can be at least doubled by using ethanol octane enhancement.

29. (previously presented) The system of claim 8 wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation.

30. (currently amended) Fuel management system for operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of <u>liquid</u> ethanol;

an injector for direct injection of the <u>liquid</u> ethanol into a cylinder of the engine <u>for</u> <u>vaporization in the cylinder to provide charge cooling</u>; and

a fuel management control system for controlling injection of the <u>liquid</u> ethanol into the cylinder when engine torque is above a selected fraction of maximum torque to control knock.

31. (previously presented) The system of claim 30 wherein torque levels at which the ethanol is directly injected are those where knock would occur absent the ethanol injection.

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FORD Ex. 1121, page 85 IPR2020-00013 32. (previously presented) The system of claim 30 wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

33. (previously presented) The system of claim 30 wherein gasoline is port fuel injected.

34. (previously presented) The system of claim 30 wherein up to and including substantially 100% of the fuel can be provided by the ethanol.

35. (previously presented) The system of claim 30 wherein octane number is enhanced with increasing torque.

36. (previously presented) The system of claim 30 wherein an octane enhancement of more than 20 octane numbers is achieved.

37. (previously presented) The system of claim 30 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between the required octane number enhancement and fraction of fuel provided by the ethanol.

38. (previously presented) The system of claim 30 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the ethanol added to prevent knock in a closed loop fashion.

39. (previously presented) The system of claim 30 wherein the injector provides nonuniform deposition of the ethanol within a cylinder.

40. (previously presented) The system of claim 39 wherein the ethanol is deposited near the walls of the cylinder.

41. (previously presented) The system of claim 39 wherein the non-uniform deposition is obtained through direct injection and charge swirl.

42. (previously presented) The system of claim 30 wherein the ethanol is mixed with water.

43. (previously presented) The system of claim 30 wherein the ethanol is mixed with a lubricant.

44. (previously presented) The system of claim 30 wherein the engine has substantial organized motion such as swirl.

45. (previously presented) The system of claim 30 wherein the system includes a measure of the amount of ethanol available to control turbocharging, supercharging or spark retard when the amount of ethanol is low.

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FORD Ex. 1121, page 86 IPR2020-00013 46. (previously presented) The system of claim 30 wherein the gasoline is directly injected into the cylinder.

47. (previously presented) The system of claim 30 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of the fuel energy provided by the ethanol.

48. (previously presented) The system of claim 30 wherein the fuel management system substantially minimizes the amount of ethanol used over a drive cycle.

49. (previously presented) The system of claim 30 wherein an octane enhancement of at least four octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

50. (previously presented) The system of claim 30 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when ethanol is not available.

51. (previously presented) The system of claim 30 wherein the engine is operated with substantially a stoichiometric fuel/air ratio.

52. (previously presented) The system of claim 30 wherein the ethanol is separated from a gasoline/ethanol mixture.

53. (previously presented) The system of claim 30 wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when ethanol is directly injected into the cylinder.

54. (previously presented) The system of claim 53 wherein the horsepower is reduced by at least a factor of two.

55. (previously presented) The system of claim 30 wherein the fuel management microprocessor control system uses ethanol level in the ethanol tank as an input to control a turbocharger, supercharger or spark retard.

56. (previously presented) The system of claim 55 wherein the turbocharger, supercharger or spark retard is adjusted to prevent knock.

REMARKS

Re-examination and reconsideration of the rejections are hereby requested.

First of all, the inventors, Daniel Cohn, Leslie Bromberg, and John Heywood, and the undersigned attorney wish to thank Examiner Ali for according them a telephone interview of sufficient length to discuss fully the issues in this prosecution. At the beginning of the interview, Dr. Cohn briefly described the present technology. Dr. Cohn explained that the knock limit in a gasoline engine can be greatly extended by the direct injection of an appropriate liquid fuel antiknock agent such as ethanol into a cylinder of the engine. The liquid fuel anti-knock agent vaporizes in the cylinder providing a substantial charge cooling effect. The cooling effect along with a higher octane number of an anti-knock agent such as ethanol extends the knock limit so that more aggressive turbo charging can be used and/or the engine can operate at a higher compression ratio without knock. In this way, substantial fuel can be saved because smaller engines can be used. Dr. Cohn explained that the change of state of the liquid fuel anti-knock agent from liquid to gas provides the predominant effect for extending the knock limit. Dr. Cohn also pointed out that in order to achieve commercial attractiveness it is important to obtain a large knock suppression effect in order to justify the inconvenience of using two tanks and two fuels. He further explained that for the same reason it was important to minimize the amount of the liquid fuel anti-knock agent, such as ethanol, that is used over the drive cycle.

At this point in the interview the rejections and references were discussed. The applicants proposed amending claim 1 to recite a liquid fuel anti-knock agent for vaporization in the cylinder to emphasize the importance of direct injection of a liquid fuel anti-knock agent. As to U.S. Patent No. 4,480,616 to Takeda, applicants pointed out that this patent teaches introducing liquid alcohol into the intake manifold of an engine. Professor Heywood explained that the alcohol would vaporize before entering the combustion chamber so could not provide the evaporative cooling as set forth in the claims as amended herein. Thus, Takeda teaches neither direct injection nor the introduction of a liquid fuel into the combustion chamber.

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FORD Ex. 1121, page 88 IPR2020-00013 U.S. Patent No. 3,106,194 to Cantwell was discussed next. It was pointed out to the Examiner that alkali metal compounds are vaporized and then introduced into the engine. These alkali metal compounds are not a fuel and are not introduced in the liquid state. The Examiner pointed to Cantwell at column 1 at line 32 suggesting that water is "an auxiliary fuel." Professor Heywood explained that water cannot be considered a fuel notwithstanding Cantwell's characterization. In any event, Cantwell teaches nothing beyond introducing a vaporized material into the combustion chamber rather than a liquid that would not provide the change-of-state cooling effect. Next, the applicant discussed the Krauja et al. reference, U.S. Patent No. 4,721,081. This patent teaches a modified compression ignition engine for use either with 100% ethanol or with gasoline. This reference does not teach the introduction of any anti-knock agent, but rather is designed to operate on 100% ethanol.

The Examiner maintained his position that the references in combination meet the limitations in claim 1. The applicant disagreed suggesting that the examiner was making an impermissible hindsight reconstruction based on the teachings in the present application. No agreement was reached.

The applicant then addressed many of the dependent claims pointing out that the Examiner had no basis for the rejections. At this point, the Examiner indicated that he should have made a restriction requirement when he issued the office action because of a large number of embodiments. The Examiner stated that he would likely give a restriction requirement in the next office action. The undersigned attorney urged the Examiner not to issue a restriction requirement at this time suggesting that the attendant substantial delays could have a serious adverse effect upon the applicant. The undersigned attorney suggested that it would be unfair to penalize the applicant by a post office action reversal in the Examiner's decision as to which claims he would consider. Applicants urged that if the independent claims were not allowable, that the Examiner should consider allowing the dependent claims that are clearly not met by the prior art.

During the interview, the examiner cited two new references, U.S. Patent No. 3,089,470 to Payne, and U.S. Patent No. 4,182,278 to Coakwell. The undersigned attorney has now had an

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opportunity to review these references carefully and it is quite clear that the Payne reference does not suggest introducing a liquid fuel into an engine. The Examiner's attention is directed to column 3 beginning at line 15 wherein Payne states that the liquid auto-ignition suppressant "is preferably water" but that it is "to be clearly understood that any other liquid preparation suitable to suppress auto-ignition" is contemplated. The Examiner asserted that this section suggests injecting a liquid fuel. Applicants respectfully disagree. The Examiner has not shown that "any other liquid preparation to suppress auto-ignition" includes any liquid fuel.

As to the Coakwell patent, this reference teaches the addition of hydrogen peroxide to provide additional oxygen. The Examiner's attention is directed to Coakwell at column 9 beginning at line 7 where it is stated that the additional oxygen from the hydrogen peroxide "makes it possible to achieve combustion with leaner mixtures, to save fuel and to reduce air pollution by achieving more complete combustion." Thus, it is quite clear that the hydrogen peroxide is being introduced to provide free oxygen. Hydrogen peroxide is not itself a fuel.

Although Applicants and the Examiner continue to disagree about the patentability of the independent claims, claim 1 has been amended herein to incorporate the limitation of originally filed claim 17 and claim 17 has been cancelled. Thus claim 1 now includes the limitation "wherein the fuel management control system microprocessor substantially minimizes the amount of anti-knock agent used over a drive cycle." This amendment is being introduced in an effort to move prosecution forward. The specification speaks to the importance of minimizing the amount of anti-knock agent used over a drive cycle. For example, the specification beginning on the last line of page 2 states "An object of the present invention is to minimize the amount of ethanol or other anti-knock agent that is used to achieve a given level of engine efficiency increase. By restricting the use of ethanol to the relatively small fraction of time in an operating cycle when it is needed to prevent knock in a high load regime and by minimizing its use at these times, the amount of ethanol that is required can be limited to a relatively small fraction of the fuel used by the spark ignition gasoline engine." Moreover, page 3, beginning on line 23 of the specification states "Alternatively, the gasoline engine may include a knock sensor that provides a feedback signal to the fuel management microprocessor system to minimize the amount of ethanol added to prevent knock in a close loop fashion."

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FORD Ex. 1121, page 90 IPR2020-00013

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Claim 1 as amended herein (with the limitation of originally filed claim 17) has been examined and the Examiner rejected claim 17 as being unpatentable over Takeda in view of Cantwell. The Examiner states on page 5 of the Office Action "With regard to claim 17, Takeda discloses wherein the fuel management systems substantially minimizes the amount of antiknock agent used over a drive cycle." The undersigned attorney and the inventors herein have reviewed Takeda carefully and can find no teaching whatsoever that the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle. The Applicant remains puzzled at this assertion by the Examiner since Takeda is totally silent in this regard. Further, the undersigned attorney has reviewed all of the references of record including Payne and Coakwell and can find no teaching of a fuel management system that substantially minimizes the amount of anti-knock agent used over a drive cycle. It is urged that claim 1, as amended herein, is clearly in condition for allowance and reconsideration is requested. Claims 2-16, 18-20 and 24-29 ultimately depend from amended claim 1 and are therefore also allowable.

Independent claim 30 has been amended herein to recite a source of liquid ethanol for vaporization in the cylinder to provide charge cooling and to control knock. Claim 30 as originally filed included the limitation of a fuel management control system for controlling injection of the ethanol "when engine torque is above a selected fraction of maximum torque." During the interview, Applicant pointed out that this limitation is not present in the prior art. In the Office Action, the Examiner asserts that this limitation is disclosed by Takeda. Again, the undersigned attorney and the inventors have reviewed Takeda carefully and can find no teaching that injection is controlled "when engine torque is above a selected fraction of maximum torque." Such a teaching, in fact, is totally lacking in Takeda. It is urged that the Examiner review Takeda again and remove this rejection or describe with specificity where and how Takeda provides such a teaching.

During the interview, many of the dependent claims were discussed. For example, claim 4 states that non-uniform deposition is obtained through direct injection and charge swirl. The Examiner states, without support, that Cantwell meets this limitation. In fact, a careful review of Cantwell reveals no teaching whatsoever concerning charge swirl. The Examiner is asked to

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remove the rejection of claim 4 or to explain with specificity where and how Cantwell discloses charge swirl.

Dependent claim 6 includes the limitation "wherein the fuel management system includes a microprocessor that operates in an open-loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent." The Examiner asserts, again without support, that Takeda discloses such a limitation. The Examiner is asked to remove the rejection of claim 6 or provide, with specificity, those portions of Takeda that support the Examiner's position.

Claim 7 requires that the gasoline engine include a knock sensor to provide a feedback signal to minimize the amount of anti-knock agent added to prevent knock in a closed-loop fashion. The Examiner rejects this claim as "optional design choice". Reconsideration is requested. Claim 10 recites that the ethanol is mixed with a lubricant. As to this important limitation the Examiner again asserts that it is just an optional design choice. A careful review of the references of record shows no teaching or suggestion of adding a lubricant to the ethanol. Reconsideration is requested. Claim 11 adds the limitation "wherein the engine has substantial organized motion such as swirl." The Examiner asserts, without specifics, that "Takeda discloses engine has substantial organized swirl motion" and a careful review of Takeda shows that it is lacking in any such teaching. The Examiner is asked to remove this rejection of claim 11 or explain with specificity how and where Takeda discloses the organized swirl motion limitation.

Claim 12 includes the limitation "wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low." The Examiner asserts that Takeda discloses this limitation. A careful review of Takeda indicates no teaching of such limitation. The Examiner is asked to point out where in Takeda this limitation is taught or suggested.

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Claim 19 states that the turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available. The Examiner appears to conclude that Takeda includes this limitation. It is submitted that such limitation is not disclosed in Takeda. Claim 20 states that the ethanol is injected so that swirl creates a ring of ethanol. The Examiner, without support, states that Takeda discloses that swirl creates a ring of alcohol. Takeda provides no such teaching. Reconsideration is requested.

As another example of an unfounded rejection, Claim 26 states that the ethanol is separated from a gasoline/ethanol mixture. The Examiner, without support, states that Takeda discloses this limitation. It is submitted that Takeda clearly does not teach or suggest this limitation. With regard to claim 27, the Examiner asserts that Takeda teaches that the torque at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol. It is submitted that such a teaching is lacking in Takeda. The Examiner also, without support, contends that Takeda teaches that horsepower of a given size engine can be at least doubled by using alcohol octane enhancement. It is submitted that Takeda provides no such teaching. The Examiner also states that the limitation in claim 29 concerning the gasoline consumption being reduced by at least 20% is also taught in Takeda. There is no such teaching in Takeda.

The Examiner has rejected the dependent claims depending from claim 30 with similarly sweeping, and unsupported, assertions about the prior art. The Examiner is asked either to remove the rejections of these dependent claims or provide a detailed set of specifics as to how the references meet the limitations in the claims depending claim 30.

In summary, in order to advance prosecution, the limitation of claim 17 has been introduced into claim 1. Claim 30 has been amended to provide more specificity. For the reasons discussed in detail above, it is submitted that the pending claims, as amended herein, are in condition for allowance. Early favorable action is requested.

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Respectfully submitted, CHOATE, HALL & STEWART LLP

Sam Pasternack Registration No. 29,576

Date: July 6, 2006

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Patent Department CHOATE, HALL & STEWART Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000

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ATTORNEY DOCKET NO.: 0492611-0598 (MIT 11381)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cohn, et al. Serial No.: 10,991,774 Examiner: Ali, Hyder Art Unit: 3747

Filing Date: November 18, 2004

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Supplemental Information Disclosure Statement

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant

requests consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- [] An original Information Disclosure Statement; or
- [X] A supplemental Information Disclosure Statement.

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Page 1 of 6

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Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
 - [] Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 - [] Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
 - [] Before the mailing of a first Office action on the merits; or
 - [] Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *either*:
 - [] Certifies that *either*:
 - [] each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - [] That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making Page 2 of 6

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reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § **1.56(c)** more than three months prior to the filing of the information disclosure statement.; or

Includes herewith the fee set forth in § 1.17(p).

- Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *both*:
 - [] Certifies that *either*:
 - [] each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - [] That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.; and
 - [] Includes herewith the fee set forth in § 1.17(p).

Page 3 of 6

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FORD Ex. 1121, page 97 IPR2020-00013

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

- [:] A copy of each cited reference not indicated with an asterisk is included;
- [] Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(d) because they were previously provided to the United States Patent Office in an Information Disclosure Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the following patent application that is relied upon in the present case for an earlier effective filing date under 35 USC § 120:

| Serial Number | Filing Date | Status |
|---------------|-------------|--------|
| | | |

[] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the above-

identified application:

Applicant certifies that the Information Disclosure Statement either:

- [X] Does not contain non-English language citations;
- [] Does contain non-English language citations, of which the following is a concise explanation:
- [] Includes one or more translations of a non-English citation.

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FORD Ex. 1121, page 98 IPR2020-00013

<u>Remarks</u>

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and

3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

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Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Respectfully submitted,

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Dated: July 6, 2006

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FORD Ex. 1121, page 100 IPR2020-00013

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| | | 4 | <u>ا د</u> | Application Number | 10/991,774 | |
| | | | | Filing Date | November 18, 2004 | |
| STATEMENT BY APPECANT | | First Named Inventor | Daniel R. Cohn, et al. | | | |
| | | CALLEMAN | | Art Unit | 1714 | |
| | (Use as many | sheets as necessary | <i>'</i>) | Examiner Name | Ali, Hyder | |
| Sheet | 1 | of | | Attorney Docket Number | 0492611-0598 | |

| | | | U.S. PATENT | DOCUMENTS | · · · · · · · · · · · · · · · · · · · | | |
|-----------|------|----------------------------------|-------------|--------------------------------|--|--|---------------------------------------|
| Examiner | Cite | Document Number | | Publication Date | Publication Date | | Pages, Columns, Lines, Where Relevant |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ATTORNEY'S DOCKET NUMBER: 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Cohn, et al. | Examiner: | Ali, Hyder |
|--------------|----------------------|-------------|--------------|
| Serial No.: | 10/991,774 | Art Unit: | 3747 |
| Filing Date: | November 18, 2004 | Conf. No: | 8282 |
| Title: | FUEL MANAGEMENT SYST | EM FOR VARI | ABLE ETHANOL |
| | OCTANE ENHANCEMENT (| OF GASOLINE | ENGINES |

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| Marilyn Murphy |
| Typed or Printed Name of person signing certificate |

Sir:

TRANSMITTAL LETTER

Enclosed <u>for filing</u> in the above-referenced patent application, please find the following documents:

- 1) Amendment and Response to Office Action Mailed on April 25, 2006 (13 pages);
- 2) Form 1449a(PTO) (1 page);
- 3) Supplemental Information Disclosure Statement; (6 Pages)
- 4) Credit Card Form for Payment in the amount of \$180.00 (1 page);
- 5) Return-Receipt postcard (1 page).

Please charge any additional fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully submitted, CHOATE, HALL & STEWART LLP

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Sam Pasternack Registration No. 29,576

Date: July 6, 2006 Patent Department CHOATE, HALL & STEWART Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000 U.S.S.N: 10/991,774

Page 1 of 1

Attorney Docket No.: 0492611-0598

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| AMENDMENT A | 6-27-04 | CLAIMS REMAINING AFTER AMENDMENT | | HIGHI NUME PREVIC PAID | BER | PRESENT EXTRA | RAT | E | ADDI- TIONAL FEE | | RATE | ADDI- TIONA FEE | |
| | Total | · [3 | Minus | - 2 | 3 | .33 | XS | Ş | 825 | OR | X\$18∝ · | | |
| | Independent | . 2 | Minus | - 9 | | - 0 | X44 | | | OR | X88= | | |
| | | | | | | +150 | | | OR | +300= | | | |
| 1 | 1 21 | | | | 10 | TAL | 82500 | | TOTAL | | | | |
| | -7 - 10 - 06 (Column 1) (Column 2) (Column 3) | | | (Column 3) | ADDIT. | 'EE | 0-7/ | | adoit. Fee | | | | |
| 8 | | CLAIMS | | HIGH | EST | PRESENT | | Ť | ADDI- | | | ADDI- | |
| AMENDMENT B | | AFTER | • | PREVIO PAID F | USLY | EXTRA | RAT | E. | TIONAL /FEE | | RATE | TIONAL | |
| | Total | . 52 | Minus | + 5 | 3 | | X\$ 9 | - | | OR | X\$18= | | |
| UNE | Independent | • 3 | Minus | *** | 3 | • | X44 | 71 | $\overline{}$ | OR | X88= | | |
| _ | FIRST PRESE | NTATION OF ML | ILTIPLE DE | PENDENT | CLAIM | | +150 | | $\overline{}$ | OR | +300= | _ | |
| | | | | | | | TO | AL | \ | | TOTAL | | |
| | | (Coh) +1 | | | n 91 | (Colume 2) | addít. F | EE L | | 7., | ODIT. FEEL | | |
| | | | | HIGHE | ST | | | Т | ADDI- | ſ | | ADDI | |
| AMENDMENT C | ! | REMAINING AFTER AMENDMENT | | NUM8 PREVIO PAID F | USLY | PRESENT | RATE | | FEE | ļ | RATE | | |
| | Total | • | Minus | ** | • | · | X\$ 9 | - | | OR | X\$18= | | |
| | Independent | • | Minus | *** | | · | X44- | T | | OR | X88= | | |
| 1 | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM | | | | | | ╈ | | | 4300- | <u></u> | | |
| the entry in column 1 is tess than the entry in column 2, write '0' in column 3. | | | | | | | | | | | | | |

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| | | | UNITED STATES DEPARTMENT OF COMMER United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov | | | |
|-----------------|------------------|----------------------|---|----------------|--|--|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION N | | |
| 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 | 8282 | | |
| 24280 75 | 90 09/27/2006 | | EXAM | INER | | |
| | LL & STEWART LLF | | ALI, H | YDER | | |
| BOSTON, MA | | | ART UNIT | PAPER NUMBER | | |
| , | | | 3747 | | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

| · | | | ····· | <u>(</u> | | | | |
|---|--|---|---|----------|--|--|--|--|
| | | Application No. | Applicant(s) | \cup | | | | |
| | Office Action Summer | 10/991,774 | COHN ET AL. | | | | | |
| | Office Action Summary | Examiner | Art Unit | | | | | |
| | | HYDER ALI | 3747 | | | | | |
| Period fo | The MAILING DATE of this communication ap r Reply | pears on the cover sheet wi | th the correspondence addres | s | | | | |
| WHIC - Exter after - If NC - Failu Any i | ORTENED STATUTORY PERIOD FOR REPL CHEVER IS LONGER, FROM THE MAILING D sions of time may be available under the provisions of 37 CFR 1. SIX (6) MONTHS from the mailing date of this communication. period for reply is specified above, the maximum statutory period re to reply within the set or extended period for reply will, by statut reply received by the Office later than three months after the mailin ad patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUNIC 136(a). In no event, however, may a re will apply and will expire SIX (6) MON e, cause the application to become AB. | CATION. pply be timely filed THS from the mailing date of this commun ANDONED (35 U.S.C. § 133). | | | | | |
| Status | | | | | | | | |
| 1)⊠ | Responsive to communication(s) filed on 10 J | ulv 2006. | | | | | | |
| | | s action is non-final. | | | | | | |
| / | Since this application is in condition for allowa | | ers, prosecution as to the mer | rits is | | | | |
| | closed in accordance with the practice under | | • | | | | | |
| Disnositi | on of Claims | | | | | | | |
| | | in the could at the | | | | | | |
| • | 4) Claim(s) <u>1-16,18-20 and 24-56</u> is/are pending in the application. | | | | | | | |
| | 4a) Of the above claim(s) is/are withdra | iwn from consideration. | | | | | | |
| · · · · · · · · · · · · · · · · · · · | Claim(s) is/are allowed. | | | | | | | |
| | 6) Claim(s) <u>1-16,18-20 and 24-56</u> is/are rejected. | | | | | | | |
| | 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. | | | | | | | |
| 0 | | brelection requirement. | | | | | | |
| Applicati | on Papers | | | | | | | |
| 9) | The specification is objected to by the Examine | er. | | | | | | |
| 10)🛛 | The drawing(s) filed on <u>18 November 2004</u> is/a | are: a) accepted or b) | objected to by the Examiner. | | | | | |
| | Applicant may not request that any objection to the | drawing(s) be held in abeyan | ce. See 37 CFR 1.85(a). | | | | | |
| | Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | | |
| 11) | The oath or declaration is objected to by the E | xaminer. Note the attached | Office Action or form PTO-1 | 52. | | | | |
| Priority ι | Inder 35 U.S.C. § 119 | | | | | | | |
| 12) | Acknowledgment is made of a claim for foreigr | n priority under 35 U.S.C. § | 119(a)-(d) or (f). | | | | | |
| - | All b) Some * c) None of: | • | | | | | | |
| | 1. Certified copies of the priority document | ts have been received. | | | | | | |
| | 2. Certified copies of the priority documents have been received in Application No | | | | | | | |
| | 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | | |
| | application from the International Bureau (PCT Rule 17.2(a)). | | | | | | | |
| * 5 | * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | |
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| Attachmen | :(5) | | | | | | | |
| 1) 🛛 Notic | e of References Cited (PTO-892) | 4) 🔲 Interview S | ummary (PTO-413) | | | | | |
| 2) 🗌 Notic | e of Draftsperson's Patent Drawing Review (PTO-948) | |)/Mail Date. | | | | | |
| | nation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date 7/10/06. | 5) [] Notice of In 6) [_] Other: | formal Patent Application | | | | | |
| J.S. Patent and T | ademark Office | | | | | | | |
| TOL-326 (R | ev. 08-06) Office A | ction Summary | Part of Paper No./Mail Date 20 | 060914 | | | | |

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FORD Ex. 1121, page 105 IPR2020-00013

DETAILED ACTION

Inventorship

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim Objections

The subject matter of independent claim 30 such as "engine torque is above a selected fraction of maximum torque" must be shown or cancelled.

The subject matter of claim 2, such as "the injectors deposit the anti-knock agent to provide non-uniform deposition within a cylinder" must be shown or cancelled.

The subject matter of claim 3, such as "the anti-knock agent is deposited near the walls of the cylinder" must be shown or cancelled.

The subject matter of claim 9, such as "ethanol is mixed with water" must be shown or cancelled.

The subject matter of claim 10, such as "ethanol is mixed with a lubricant" must be shown or cancelled.

The subject matter of claim 11, such as "the engine has substantial organized motion

such as swirl" should read "the engine has substantial organized motion".

The subject matter of claim 15, such as "the gasoline is directly injected into the

cylinder" must be shown or cancelled.

The subject matter of dependent claims 12,19,45,50,55,56 such as "turbocharging and

/or supercharging" is not clear because independent claims 1 and 30 are not a

turbocharged and/or supercharged engine.

The subject matter of claim 20, such as "swirl creates a ring of ethanol" must be shown or cancelled.

The subject matter of claim 53,54, such as "torque and/or horsepower" must be shown or cancelled.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3,5,7,8,12-16,18,19,24-36,38-40,45-56 are rejected under 35 U.S.C.
 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383).

Watanabe et al discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine; a source of a liquid fuel anti-knock agent

9; an injector 2 for direct injection of the liquid fuel anti-knock agent into a cylinder of the engine for vaporization in the cylinder to provide charge cooling; and a fuel management control system 30 including a microprocessor for controlling injection of the liquid fuel anti-knock agent into the cylinder; wherein the fuel management control system microprocessor substantially minimizes (See Fig. 4 for the control of the duty ratio D of the control valve 15when D=D0 which is maximum 100% alcohol; when D=D1 which is smaller than 100% alcohol; when D=D2 which is also smaller than 100% alcohol. See Fig. 5 for a routine for calculating the duty ratio D of the control valve 15. This routine is executed every predetermined time by interruption. Col. 5, lines 45-66 and col. 6, lines 1-27) the amount of anti-knock agent used over a drive cycle.

Assuming it is not inherent in the **Watanabe et al** patent that injecting anti-knock agent into the engine is for controlling engine knock during heavy load and/or during low rpm and/or when engine torque is above a selected fraction of maximum torque to control knock.

Also assuming control of the duty ratio D in the **Watanabe et al** patent is not for minimizing anti-knock agent.

Jessel discloses operating engines by injecting small, but effective, quantities of antiknock agent into the engine in response both to detected knock and engine load conditions. When knock is detected, such small quantities of anti-knock agent are injected at rates and for time periods dependent upon the engine load condition, as measured by a quantity representative of mass airflow to the engine. **See col. 1**, **lines**

12-18. **Jessel** discloses a system for modulating or regulating the amount of anti-knock additive in relation to actual engine load condition causing engine knock. **See col. 2**, **lines 27-30**. **Jessel** discloses alcohol injector 48; controller 32; manifold pressure sensor 37; knock detector 30. Jessel also discloses a fuel management control system for controlling injection of the liquid ethanol into the cylinder when engine torque is above a selected fraction of maximum torque to control knock.

It would have been obvious to a person having an ordinary skill in the art to modify

Watanabe et al by employing operating engines by injecting small, but effective, quantities of anti-knock agent into the engine in response both to detected knock and engine load conditions as taught by Jessel. Motivation to do so would have been to minimize anti-knock agent during the drive cycle while preventing engine knocking. With regard to claim 2, Watanabe et al discloses the injectors will deposit the anti-knock agent to provide non-uniform deposition within cylinders.

With regard to claim 3, Watanabe et al discloses the anti-knock agent is deposited near the walls of the cylinders.

With regard to claim 5, Watanabe et al discloses anti-knock agent is alcohol. With regard to claim 7, Jessel discloses wherein the gasoline engine includes a knock sensor 30 providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion.

With regard to claim 8, Watanabe et al discloses anti-knock agent is alcohol such as ethanol.

With regard to claims 12,19,45,50,55,56 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available (inherently and necessary present in Watanabe et al patent and/or Jessel patent and/or obvious matter of design choice and/or turbocharging or supercharging should be cancelled because independents claims 1,30 are not turbocharged and/or supercharged engine).

With regard to claim 13, Jessel discloses the high octane fuel is added only during portions of a drive cycle requiring knock resistance.

With regard to claim 14, Jessel discloses wherein gasoline is port injected into the engine.

With regard to claim 15, Watanabe et al discloses wherein the gasoline is directly injected into the cylinder.

With regard to claims 16 and 47, wherein the direct injection of ethanol provides substantially a 13 degrees Celsius drop in temperature for every 10% of fuel energy provided by the ethanol (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 48, Jessel discloses wherein the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle. With regard to claims 18 and 49, wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol (optional design choice if it is not inherently and necessary present in Jessel patent and/or Watanabe et al patent).

With regard to claims 24,51, Watanabe et al and Jessel both discloses wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

With regard to claim 25, Jessel discloses wherein the alcohol such as ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

With regard to claims 26,52, Watanabe et al discloses wherein the ethanol is separated from a gasoline/alcohol (ethanol) mixture.

With regard to claim 27, wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 28, wherein horsepower of a given size engine can be at least doubled by using alcohol (ethanol) octane enhancement (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 29, wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 30, **as discussed above**, Jessel discloses injecting ethanol into the engine when engine torque is above a selected fraction of maximum torque to control knock and Watanabe et al discloses direct injection of the ethanol into the cylinder of an engine.

With regard to claim 31, Jessel discloses wherein torque levels at which the ethanol is directly injected are those where knock would occur absent the ethanol injection.

With regard to claim 32, combining the teaching of Watanabe et al and Jessel discloses wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

With regard to claim 33, Jessel discloses wherein gasoline is port fuel injected. With regard to claim 34, both Watanabe et al and Jessel discloses wherein up to and including substantially 100% of the fuel can be **(intended use and/or functional language)** provided by the ethanol.

With regard to claim 35, both Watanabe et al and Jessel discloses wherein octane number is enhanced with increasing torque.

With regard to claim 36, wherein an octane enhancement of more than 20 octane numbers is achieved (optional design choice if it is not disclose by Watanabe et al patent and/or Jessel patent).

With regard to claim 38, Jessel wherein the gasoline engine includes a knock sensor 30 providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion. With regard to claim 39, Watanabe et al discloses wherein the injectors 2 provide non-uniform deposition of the ethanol within a cylinder.

With regard to claim 40, Watanabe et al discloses wherein the ethanol is deposited near the walls of the cylinder.

With regard to claim 46, Watanabe et al discloses wherein the gasoline is directly injected into the cylinder.

With regard to claim 53, Watanabe et al discloses wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when alcohol (ethanol) is directly injected into the cylinder.

With regard to claim 54, both Watanabe et al and Jessel discloses wherein the horsepower is reduced by at least a factor of two.

2. Claims 4,11,20,41,44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383) as applied to claims 1-3,5,7,8,12-16,18,19,24-36,38-40,45-56 above, and further in view of Nakakita et al (US 6,799,551).

Watanabe et al in view of Jessel does not disclose swirl in the combustion chamber. Nakakita et al discloses as shown in FIG. 2 a state of the vertically stratified intake gas charge consisting of the swirl flows of the first and second intake gases 11,12 of different compositions. See col. 5, lines 5-10.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify the invention of Watanabe et al by employing swirl in the combustion chamber as taught by Nakakita et al in order to provide Watanabe et al engine combustion chamber with non-uniform depositon of fuel.

3. Claims 6,37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383) as applied to

claims 1-3,5,7,8,12-16,18,19,24-36,38-40,45-56 above, and further in view of Uhl et al (US 6,892,691).

Watanabe et al in view of Jessel does not disclose control apparatus with a microprocessor which has a program stored in a storage medium, which program is suited to carry out the entire control (open loop) of the engine.

Uhl et al discloses control apparatus 16 with a microprocessor which has a program stored in a storage medium, which program is suited to carry out the entire control (open loop) of the engine 1. See col. 3, lines 31-55.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify the invention of Watanabe et al by employing open loop control as taught by Uhl et al in order to provide Watanabe et al engine control apparatus with a microprocessor which has a program stored in a storage medium, which program is suited to carry out the entire control (open loop) of the engine.

4. Claims 9,10,42,43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383) as applied to claims 1-3,5,7,12-16,18,19,24-36,38-40,45-56 above, and further in view of Fosseen (US 4,958,598).

Watanabe et al in view of Jessel does not disclose ethanol is mixed with water as claimed in claims 9 and/or 42 and/or ethanol is mixed with lubricant as claimed in claims 10 and/or 43.

Fosseen discloses a mixture of water and ethanol, in the ratio to provide approximately

an eighty proof mixture, and a small amount of water-soluble oil, is held in a reservoir or fuel tank.. See col. 2, lines 34-37.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify the invention of Watanabe et al by employing ethanol is mixed with water and/or oil as taught by Fosseen in order to replace the ethanol of Watanabe et al patent with a mixture of ethanol and water and/or mixture of ethanol, water and oil.

Response to Arguments

Applicant's arguments with respect to claims 1-16,18-20,24-56 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HYDER ALI whose telephone number is (571) 272-4836. The examiner can normally be reached on M-F (8:30-5:00). The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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STEPHEN K. CRONIN SUPERVISORY PATENT EXAMINER

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| | (| JUL 1 0 2006 | Application Number | 10/991,774 |
| INFC | RMATE | | Filing Date | November 18, 2004 |
| STA | INFORMATION DISCLOSURE | | T First Named Inventor | Daniel R. Cohn, et al. |
| | | | Art Unit | 1714 |
| _ | (Use as many | sheets as necessary) | Examiner Name | Ali, Hyder |
| Sheet | 1 | of | Attorney Docket Number | 0492611-0598 |

| | | | U.S. PATENT | DOCUMENTS | |
|-----------------------|--------------------------|---|--------------------------------|---|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ^{2 (/} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner | Cite | Foreign Patent Document | Publication Date | Name of Patentee or | Pages, Columns, Lines, Where | |
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| Examiner Signature | /Hyder Ali/ | Date Considered | 07/21/2006 |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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| Notice of References Cited | Application/Control No. 10/991,774 | Applicant(s)/I Reexaminatio COHN ET AL | on |
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| Notice of References Cheu | Examiner | Art Unit | |
| | HYDER ALI | 3747 | Page 1 of 1 |
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| * | | Document Number Country Code-Number-Kind Code | Date MM-YYYY | Name | Classification |
|---|---|--|-----------------|-------------------|----------------|
| * | A | US-6,513,505 | 02-2003 | Watanabe et al. | 123/525 |
| * | В | US-4,541,383 | 09-1985 | Jessel, Alfred J. | . 123/435 |
| * | с | US-6,799,551 | 10-2004 | Nakakita et al. | 123/295 |
| * | D | US-6,892,691 | 05-2005 | Uhl et al. | 123/198A |
| * | Е | US-4,958,598 | 09-1990 | Fosseen, Dwayne | 123/1A |
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| | | NON-PATENT DOCUMENTS |
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20060914

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| Comments | Applicant: | Cohn, et al. | | E | xaminer: | Hyder Ali |
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| • | Title: | FUEL MANA OCTANE EN | AGEMENT S NHANCEME | YSTEM NT OF (| FOR VA SASOLI | ARIABLE ETHANOL NE ENGINES |

Transmitted herewith for filing in the above-referenced application, please find the

following documents:

- 1) Amendment After Final Action (8 pages); and
- 2) This Transmittal (1 page).

Applicants believe no fees are due at this time. However, Please charge any additional fees or credit any overpayments to our Deposit Account No. 03-1721.

Kindly acknowledge receipt of the attached documents by return facsimile transmission.

Thank you for your kind attention to this request.

Respectfully Submitted,

Sam Pasternack Reg. No. 29,576

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Attorney Docket No: 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cohn, et al.

Serial No.: 10/991,774

Examiner: Hyder Ali Art Unit: 3747

Filing Date: November 18, 2004

Title:FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOLOCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

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Amendment to the Claims

Claims 1-56 cancelled.

Claim 57. (New) A turbocharged, spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of liquid denatured ethanol from the second source;

wherein during part of engine operating time, the engine is powered both by gasoline that is port fuel injected and ethanol that is directly injected; and

wherein during part of the operating time the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in an amount such that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses closed loop control with information from a knock detector to vary the ethanol energy fraction when the instantaneous ethanol fraction is at least 20%; and

wherein the fuel management system minimizes the ethanol use by using information from the knock detector; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air/fuel ratio; and

wherein the fuel management microprocessor uses information about the ethanol level in the second source to control the turbocharger; and

wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

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FORD Ex. 1121, page 124 IPR2020-00013 wherein a vehicle with this spark ignition engine can be operated on port fuel injected gasoline alone without knock.

Claim 58. (New) A turbocharged, spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of liquid denatured ethanol from the second source;

wherein during part of the engine operating time, the engine is powered both by gasoline that is port fuel injected and ethanol that is directly injected; and

wherein during part of the operating time the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses the combination of open loop control using a look up table and closed loop control using a knock detector to vary the ethanol energy fraction; and

wherein the fuel management system minimizes the ethanol use by using information from the knock detector; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air/fuel ratio; and

wherein the fuel management microprocessor uses information about the level of ethanol in the second source to control the turbocharger; and

and further wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

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FORD Ex. 1121, page 125 IPR2020-00013 wherein a vehicle using this engine can be operated on port fueled gasoline alone without knock; and

further wherein liquid ethanol is directly injected in an amount such that the turbocharged spark ignition engine is operated without knock at a horsepower level which is at least twice the horsepower level without knock as is the case when it is when operated with port fuel injected gasoline alone.

Claim 59. (New) A turbocharged, spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct injection of liquid denatured ethanol from the second source;

wherein during part of the engine operating time, the engine is powered both by gasoline that is port fuel injected and ethanol that is directly injected; and

wherein during part of the operating time the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in an amount such that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses the combination of open loop control using a look up table and closed loop control using a knock detector to vary the ethanol energy fraction; and

wherein the fuel management system minimizes ethanol use by using information from the knock detector; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air/fuel ratio; and

wherein the fuel management system microprocessor uses information about the level of ethanol in the second source to control the turbocharger;

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and further wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

wherein a vehicle using this engine can be operated on port fueled gasoline alone without knock; and

wherein the fuel management microprocessor uses information about the level of the ethanol in the second source to control spark retard; and

where the spark retard is increased when there is no ethanol in the second source; and

further wherein liquid ethanol is directly injected in an amount such that the turbocharged spark ignition engine is operated without knock at a horsepower level which is at least twice the horsepower level without knock than is the case when it is when operated with port fuel injected gasoline alone.

Claim 60. (New) A turbocharged spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct injection of liquid denatured ethanol from the second source;

wherein during part of the engine operating time, the engine is powered by a fuel that consists of both gasoline that is port fuel injected and ethanol that is directly injected; and

wherein under some operating conditions the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in an amount such that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses a combination of open loop control with a look up table and closed loop control using a knock sensor to control the ethanol energy fraction; and

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wherein the open loop control uses a predetermined correlation between a required octane enhancement and the fraction of the fuel provided by ethanol;

wherein the fuel management system minimizes the ethanol use by using information from the knock sensor; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air /fuel ratio;

wherein the fuel management microprocessor uses information about the level of ethanol in the second source to control the turbocharger;

and further wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

wherein a vehicle using this spark ignition engine can be operated on port fueled gasoline alone without knock; and

wherein the fuel management microprocessor uses information about the level of the ethanol in the second source to control spark retard; and

wherein the spark retard is increased when there is no ethanol in the second source; and

wherein the fuel management system includes a measure of the ethanol in the second source to control turbocharging when the amount of ethanol is low; and

wherein the fuel management system includes a measure of the ethanol in the second source to control spark retard when the amount of ethanol is low;

further wherein liquid ethanol is directly injected in an amount such that the turbocharged spark ignition engine operates without knock at a horsepower level which is at least twice the horsepower level without knock which is the case when operated with port fuel injected gasoline alone; and

wherein the engine can be operated on the denatured ethanol alone; and

wherein the ethanol fraction needed to prevent knock is reduced by concentrating the ethanol in regions that make up the end -gas and are prone to auto-ignition;

wherein the ethanol is injected so as to place the ethanol near the walls of the engine cylinder; and

wherein swirl is used to create a ring of ethanol near the walls of the cylinder; and

wherein the ethanol is mixed with a lubricant.

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PAGE 7/9 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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<u>Remarks</u>

It is requested that the foregoing Amendment be entered and considered.

The undersigned attorney and one of the inventors, Dr. Daniel Cohn, wish to thank examiner Ali for according them a telephone interview of sufficient length to discuss this application and a related application. The undersigned also wishes to thank examiner Cronin for a short telephone interview to address a potential 35 USC §112, 2nd paragraph issue. It is submitted that the foregoing Amendments place this application into condition for allowance.

During the interview with examiner Ali, applicants discussed Claims 57-60 forming this Amendment in relation to the cited prior art. The applicants pointed out that many of the limitations in the newly presented claims distinguish over the prior art. For example, applicants pointed out that the claims now require "means for port fuel injection of gasoline from the first source" and "means for direct fuel injection of liquid denatured alcohol from the second source." The applicants pointed out that none of the prior art references, alone or in combination, teach or suggest the combination of port fuel injection of gasoline along with direct fuel injection of liquid denatured ethanol. Other limitations that distinguish these claims from the prior art were also mentioned.

After examiner Ali asked questions concerning the teachings in the prior art, he indicated that these claims distinguish over the references. At this point, examiner Ali indicated that there could be 35 USC §112, 2nd paragraph issues raised by the claims. Examiner Ali suggested that we discuss any potential 112, 2nd paragraph, issues with his supervisor, Mr. Cronin.

During a telephone interview with Mr. Cronin, Applicant agreed that the preamble was not as clear as it could be. The undersigned suggested changing the beginning of the preamble to recite "a turbocharged spark ignition engine." The undersigned proposed making the first limitation consistent with the preamble by reciting a spark ignition engine. Examiner Cronin stated that those changes would eliminate the 35 USC §112, 2nd paragraph issues.

In response to the telephone interviews, pending Claims 1-56 have been cancelled herein and replaced with new claims 57-60. Based on the telephone interviews with examiners Ali and Cronin, it is submitted that these claims are in condition for allowance and early favorable action is requested.

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FORD Ex. 1121, page 129 IPR2020-00013 .

Respectfully submitted, CHOATE, HALL & STEWART LLP

Sam Pasternack Registration No. 29,576

Patent Department CHOATE, HALL & STEWART, LLP Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000

Dated: November 30, 2006

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Page 8 of 8

PAGE 9/9 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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FORM PTO-470 (Plant 1000) Petert and Taskmark Ofice. U.S. DEPARTMENT OF COMMERCE

Applicant(s) Application No. Index of Claims DQQ Art Unit Examiner • (Through numeral) Appeal Non-Elected Rejected A N Cancelled Objected F Interference + Restricted Allowed = Date Claim Claim Date Date Claim Original Original Original Final Final Final . 52 54 .105 5Z -1Þ 119 挈 73 '33 24 2Þ ۰, .

U.S. Patent and Trademark Office

Part of Paper No.

FORD Ex. 1121, page 132 IPR2020-00013



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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | | | | |
|--------------------------|------------------------------------|----------------------|---------------------|------------------|--|--|--|--|
| 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 | 8282 | | | | |
| | 7590 12/19/200 LL & STEWART LLP | EXAMINER | | | | | | |
| TWO INTERN BOSTON, MA | ATIONAL PLACE | ALI, HYDER | | | | | | |
| BOSTON, MA | 02110 | | ART UNIT | PAPER NUMBER | | | | |
| | | | 3747 | | | | | |
| | | | MAIL DATE | DELIVERY MODE | | | | |
| | | | 12/19/2006 | PAPER | | | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Mł- | | | | | | | | | |
|--|---|---|--|--|--|--|--|--|--|--|--|
| | Application No. | Applicant(s) | | | | | | | | | |
| Advisory Action | 10/991,774 | COHN ET AL. | | | | | | | | | |
| Before the Filing of an Appeal Brief | Examiner | Art Unit | | | | | | | | | |
| | HYDER ALI | 3747 | | | | | | | | | |
| The MAILING DATE of this communication appe | ears on the cover sheet with the o | correspondence address | | | | | | | | | |
| THE REPLY FILED 30 November 2006 FAILS TO PLACE THI | S APPLICATION IN CONDITION F | OR ALLOWANCE. | | | | | | | | | |
| The reply was filed after a final rejection, but prior to or or this application, applicant must timely file one of the follor places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in complian time periods: The period for reply expiresmonths from the mailing | wing replies: (1) an amendment, af otice of Appeal (with appeal fee) in ce with 37 CFR 1.114. The reply m | fidavit, or other evidence, which compliance with 37 CFR 41.31; or (3) | | | | | | | | | |
| b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). | | | | | | | | | | | |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of ex- under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office late may reduce any earned patent term adjustment. See 37 CFR 1.704(b NOTICE OF APPEAL | tension and the corresponding amount shortened statutory period for reply orig r than three months after the mailing da | of the fee. The appropriate extension fee inally set in the final Office action: or (2) as | | | | | | | | | |
| The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exter a Notice of Appeal has been filed, any reply must be filed <u>AMENDMENTS</u> | nsion thereof (37 CFR 41.37(e)), to within the time period set forth in 3 | o avoid dismissal of the appeal. Since 37 CFR 41.37(a). | | | | | | | | | |
| 3. The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will <u>not</u> be entered because (a) They raise new issues that would require further consideration and/or search (see NOTE below); (b) They raise the issue of new matter (see NOTE below); | | | | | | | | | | | |
| (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or | | | | | | | | | | | |
| (d) They present additional claims without canceling a | | ected claims. | | | | | | | | | |
| NOTE: <u>Claims 57-60 constitute new issue</u> . (See 3 4. The amendments are not in compliance with 37 CFR 1.1 | | mnliant Amendment (PTOL-324) | | | | | | | | | |
| 5. Applicant's reply has overcome the following rejection(s) | | | | | | | | | | | |
| 6. Newly proposed or amended claim(s) would be a non-allowable claim(s). | lowable if submitted in a separate, | _ | | | | | | | | | |
| 7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows: Claim(s) allowed: | ☑ will not be entered, or b) □ wi vided below or appended. | Il be entered and an explanation of | | | | | | | | | |
| Claim(s) objected to: Claim(s) rejected: <u>1-16,18-20 and 24-56</u> . Claim(s) withdrawn from consideration: | | | | | | | | | | | |
| AFFIDAVIT OR OTHER EVIDENCE | | | | | | | | | | | |
| The affidavit or other evidence filed after a final action, bubecause applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e). | It before or on the date of filing a N d sufficient reasons why the affiday | otice of Appeal will <u>not</u> be entered vit or other evidence is necessary and | | | | | | | | | |
| 9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to or showing a good and sufficient reasons why it is necessar | overcome <u>all</u> rejections under appe y and was not earlier presented. S | al and/or appellant fails to provide a ee 37 CFR 41.33(d)(1). | | | | | | | | | |
| 10. The affidavit or other evidence is entered. An explanatio <u>REQUEST FOR RECONSIDERATION/OTHER</u> 11. The request for reconsideration has been considered bu | | | | | | | | | | | |
| 12. Note the attached Information Disclosure Statement(s). | | | | | | | | | | | |
| 13. Other: PTO-413 (Interview Summary). | · · · · · · · · · · · · · · · · · · · | | | | | | | | | | |
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| Hyse AR. | SUPERVISORY | N K. CRONIN PATENT EXAMINER | | | | | | | | | |
| U.S. Patent and Trademark Office PTOL-303 (Rev. 08-06) Advisory Action Before | the Filing of an Appeal Brief | Part of Paper No. 20061212 | | | | | | | | | |

FORD Ex. 1121, page 134 IPR2020-00013

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| | Applicatio | n No. | Applicant(s) | |
|--|--|--|--|---|
| Interview Symmetry | 10/991,774 | Ļ | COHN ET AL. | |
| Interview Summary | Examiner | | Art Unit | 1 |
| | HYDER AL | | 3747 | |
| All participants (applicant, applicant's representative, PT | ro personnel): | | | |
| (1) <u>HYDER ALI</u> . | (3) <u>Dr. D</u> | aniel Cohn. | | |
| (2) <u>Sam Pasternack</u> . | (4) | _· | | |
| Date of Interview: 27 November 2006. | | | | |
| Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant | 2) 🗌 applica | int's representati | ve] | |
| Exhibit shown or demonstration conducted: d) Yes If Yes, brief description: | e)⊠ No. | | | |
| Claim(s) discussed: Proposed amendments claims 57-6 | <u>80</u> . | | | |
| Identification of prior art discussed: Art of record Jessel | <u>(US 4,541,383</u> |) and Watanabe | <u>et al (US 6,513,5</u> | <u>05)</u> . |
| Agreement with respect to the claims f) was reached. | g)🛛 was no | t reached. h) | N/A. | |
| (A fuller description, if necessary, and a copy of the ame allowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attack THE FORMAL WRITTEN REPLY TO THE LAST OFFICE INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGE INTERVIEW DATE, OR THE MAILING DATE OF THIS IN FILE A STATEMENT OF THE SUBSTANCE OF THE IN requirements on reverse side or on attached sheet. | o copy of the a hed.) E ACTION MU the last Office ER OF ONE M NTERVIEW SI | mendments that ST INCLUDE TH action has alread ONTH OR THIRT JMMARY FORM | would render the IE SUBSTANCE (Iy been filed, APP IY DAYS FROM | Claims OF THE LICANT IS THIS LATER, 1 |
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FORD Ex. 1121, page 135 IPR2020-00013 .

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b) In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attomeys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Óffice. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

- The Form provides for recordation of the following information:
- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- _ Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- -An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

CHOATE HALL & STEWART 6172484000

NO. 663 P. 2

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> > NOV 3 0 2006

Attorney Docket No: 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cohn, et al.

10/991,774

Hyder Ali Examiner: Art Unit: 3747

November 18, 2004 Filing Date:

Serial No .:

FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL Title: OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

| | Certificate of Facsimile Transmission |
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| I certify that this correspondent | to is being transmitted via facsimile to (mail stop if applicat |
| Commissioner for Patents, P.O. | Bax 1450, Alexandria, VA 22313-1450, via facsimile no. |
| 571-273-8300 | · • |
| November 30, 2006 | - Ey-h |
| | izabeth Burke |
| Typed o | r Printed Name of person signing certificate |

AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

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Page 1 of 8

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PAGE 29 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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| | RULE | • | | | | 04 | 92611-0598 | | | |
| APPLICANTS | | | | | | | | | | |
| | Daniel R. Cohn, Chestnut Hill, MA; | | | | | | | | | |
| Leslie Bromberg, John B. Heywood | , Newton, MA; | . | | | | | | | | |
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FORD Ex. 1121, page 140 IPR2020-00013

PTO/SB/30EFS (08/06) Approved for use through 08/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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| Application Number | 10/991,774 | Filing Date | 2004-11-18 | Docket Number (if applicable) | 0492611-0598 | Art Unit | 3747 | | | | |
| First Named Inventor | Daniel R. Cohn | | | Examiner Name | HYDER ALI | | 1 | | | | |
| Request for C | This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV | | | | | | | | | | |
| | SUBMISSION REQUIRED UNDER 37 CFR 1.114 | | | | | | | | | | |
| Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). | | | | | | | | | | | |
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| Name | Sam Pasternack | Registration Number | 29576 | | | | |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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| Electronic Patent Application Fee Transmittal | | | | | | | |
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| Application Number: | | 10991774 | | | | | |
| Filing Date: | | 18-Nov-2004 | | | | | |
| Title of Invention: | | Fuel management system for variable ethanol octane enhancehment of gasoline engines | | | | | |
| First Named Inventor/Applicant Name: | | Daniel R. Cohn | | | | | |
| Filer: | | Sam Pasternack/Elizabeth Burke | | | | | |
| Attorney Docket Number: | | 0492611-0598 | | | | | |
| Filed as Small Entity | | | | | | | |
| Utility Filing Fees | | | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) | | |
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| Petition: | | | | | | | |
| Patent-Appeals-and-Interference: | | | | | | | |
| Post-Allowance-and-Post-Issuance: | | | | | | | |
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| EFS ID: | 1579892 |
| Application Number: | 10991774 |
| International Application Number: | |
| Confirmation Number: | 8282 |
| Title of Invention: | Fuel management system for variable ethanol octane enhancehment of gasoline engines |
| First Named Inventor/Applicant Name: | Daniel R. Cohn |
| Customer Number: | 24280 |
| Filer: | Sam Pasternack/Elizabeth Burke |
| Filer Authorized By: | Sam Pasternack |
| Attorney Docket Number: | 0492611-0598 |
| Receipt Date: | 09-MAR-2007 |
| Filing Date: | 18-NOV-2004 |
| Time Stamp: | 15:24:39 |
| Application Type: | Utility |

Payment information:

| Submitted with Payment | yes |
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| Payment was successfully received in RAM | \$395 |
| RAM confirmation Number | 207 |
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| 1 | Request for Continued Examination (RCE) | MIT0598RCE.pdf | 644650 | no | 3 |
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| 2 | Fee Worksheet (PTO-06) | fee-info.pdf | 8204 | no | 2 |
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| Commissioner for Paten P.O. Box 1450 Alexandria, VA 22313- Sir: | I certify that thi | a correspondence is being transm for Patents, P.O. Box 1450, Alexo 2006 | | nail stop if applicable | |
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AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

Page 1 of 8

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PAGE 29 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR: USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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| | Independent | • | Minus | *** | • | = | KAS- | • | OR | X86= | |
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| | | | | | • | | L | <u></u> | | TOTAL | |
| | | (Column 1) | | (Colun | י. (2 חר | (Column 3) | ADDIT. FÉ | E I | . | ADDIT, FEE | · |
| | • • | CLAIMS REMAINING AFTER | | HIGHI NUME PREVIO PAID F | EST IER USLY | | RATE | ADDI- TIONAL FEE | | RATE | ADDI- TIONAL FEE |
| | Total | AMENDMENT | Minus | ++ | <u> </u> | = | X\$ 9= | | OR | X\$18= | |
| | | * | Minus | *** | | = | - X43= | | OR | X86= | · |
| | Independent | | JLTIPLE DEF | PENDENT | CLAIM | | | | 1 | +290= | |
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| | · | ENTATION OF MU | | | | | +145= | L | | TOTAL | |
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| | | | UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 222 www.uspio.gov | Trademark Office OR PATENTS |
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 | 8282 |
| 24280 CHOATE HA | 7590 05/25/2007 LL & STEWART LLP | | . EXAM | INER . |
| TWO INTERN | IATIONAL PLACE | | ALI, H | YDER |
| BOSTON, MA | 02110 | | ART UNIT | PAPER NUMBER |
| | | | 3747 | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 05/25/2007 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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| | Application No. | Applicant(s) | |
|---|--|---|-----|
| | 10/991.774 | COHN ET AL | |
| Office Action Summary | | Art Unit | |
| | HYDER ALL | 3747 | |
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| WHICHEVER IS LONGER, FROM THE MAILING Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication If NO period for reply is specified above, the maximum statutory prime and the set or extended period for reply within the set or extended period for reply will, by similar to reply within the set or extended period for reply will. | G DATE OF THIS COMI (R 1.136(a). In no event, however, h. eriod will apply and will expire SIX tatute, cause the application to bec | IUNICATION. may a reply be timely filed 6) MONTHS from the mailing date of this communication ome ABANDONED (35 U.S.C. § 133). | |
| Status | | | |
| 1) Responsive to communication(s) filed on (| <u>)9 March 2007</u> . | | |
| 2a) This action is FINAL . 2b)⊠ | This action is non-final. | | |
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| closed in accordance with the practice und | le <u>r</u> Ex parte Quayle, 193 | 5 C.D. 11, 453 O.G. 213. | |
| Disposition of Claims | | | |
| 4) Claim(s) <u>57-60</u> is/are pending in the applic | ation. | | |
| 4a) Of the above claim(s) is/are with | drawn from consideratio | n. | |
| 5) Claim(s) <u>59 and 60</u> is/are allowed. | | | |
| 6)⊠ Claim(s) <u>57 and 58</u> is/are rejected. | | . • | |
| | | | |
| 8) Claim(s) are subject to restriction a | nd/or election requireme | nt. | |
| Application Papers | | | |
| 9) The specification is objected to by the Exa | niner. | | |
| 10)⊠ The drawing(s) filed on <u>18 November 2004</u> | is/are: a) accepted o | r b) 🖸 objected to by the Examiner. | |
| Applicant may not request that any objection to | the drawing(s) be held in a | beyance. See 37 CFR 1.85(a). | |
| | | | d). |
| 11) The oath or declaration is objected to by th | e Examiner. Note the atl | ached Office Action or form PTO-152. | |
| Priority under 35 U.S.C. § 119 | | | |
| 12) Acknowledgment is made of a claim for for a) All b) Some * c) None of: | eign priority under 35 U. | S.C. § 119(a)-(d) or (f). | |
| 1. Certified copies of the priority docum | nents have been receive | d. | |
| Office Action Summary 10/991,774 COHN ET AL. * The MAILING DATE of this communication appears on the cover sheet with the correspondence address - riod for Reply Art Unit 3/47 SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. ************************************ | | | |
| | Office Action Summary Examiner Art Unit - The MAILING DATE of this communication appears on the cover sheet with the correspondence address - or Reply 3747 - The MAILING DATE of this communication appears on the cover sheet with the correspondence address - or Reply 9781 ORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. CHEVER IS LONGER, FROM the Address of 37 CFR 1.38(a). In or event, heaver, may arely be simely fred Miles Main State (1990) 500 CM EMPT the MAILING DATE OF THIS COMMUNICATION. Instance of the marks addre of this communication, were any were reply the limit of the communication, were or epily which the address of the communication were to reply which the address of the communication were to reply which the address of the communication. Were organized to communication(\$) filled on <u>09 March 2007</u> . This action is FINAL 2b)[3] This action is non-final. Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C. D. 11, 453 O. G. 213. Claim(s) <u>57-69</u> is/are rejected. Claim(s) <u>57-69</u> is/are rejected to. Claim(s) <u>57-69</u> is/are rejected to. Claim(s) <u>57-69</u> is/are allowed. Claim(s) <u>57-69</u> is/are rejected to. Claim(s) <u>57-69</u> is/are rejected to. Claim(s) <u>57-69</u> is/are rejected to. Claim(s) <u>57-69</u> is/are allowed. | | |
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| * See the attached detailed Office action for a | list of the certified copie | s not received. | |
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|) [] Notice of References Cited (PTO-892)) [_] Notice of Draftsperson's Patent Drawing Review (PTO-948 | | | |
|) Information Disclosure Statement(s) (PTO/SB/08) | 5) 🗌 Not | | |
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| . Patent and Trademark Office OL-326 (Rev. 08-06) Offi | ce Action Summary | Part of Paper No./Mail Date 200705 | 16 |

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DETAILED ACTION

Inventorship

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Oath/Declaration Objection

Oath/Declaration is objected to because the oath/declaration duty to disclose statement is improper.

The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because: It does not state that the person making the oath or declaration acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent

Application/Control Number: 10/991,774 Art Unit: 3747

and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 57 and 58 are provisionally rejected on the ground of nonstatutory

obviousness-type double patenting as being unpatentable over claims 77-85 of

copending Application No. 11/100026. Although the conflicting claims are not identical,

they are not patentably distinct from each other because they have the same structure

and scope.

This is a <u>provisional</u> obviousness-type double patenting rejection because the

conflicting claims have not in fact been patented.

Allowable Subject Matter

Claims 59 and 60 are allowed.

Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to HYDER ALI whose telephone number is (571) 272-

4836. The examiner can normally be reached on M-F (8:30-5:00).

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Kirk Cronin can be reached on (571) 272-4536. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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| | ted St | ates Patent and | TRADE | MARK OFFICE | | United St | ates Patent a AMISSIONER Box 1450 andria, Vinginia 22 uspto.gov | and Tra FOR PA 1313-1450 | ENT OF COMMERCE Idemark Office TENTS |
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| Commissioner for Pater P.O. Box 1450 Alexandria, VA 22313- Sir: | 1450 I centi Comm 571-2 | ify that this correspondence is being trans- nissioner for Patents, P.O. Box 1450, Alex 173-4300 meter 10, 2006 Date Elizabeth Europa | smitted via faceimile to (mail stop if applicable examéria, VA 22313-1450, via facebrile so. |

AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

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Page 1 of 8

PAGE 29' RCVD AT 11/30/2006 1:15:00 PM (Eastern Standard Time) * SVR:USPTO-EFXRF-23 * DNIS:2738300 * CSID:6172484000 * DURATION (nm-ss):03-04

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U.S. Patent and Trademark Office

Part of Paper No. 20060414

| • | Search Notes Million Intel Million Million Million | | | Application/Control No. | | Applicant(s)/Patent under Reexamination | | |
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| | | | | 10/991,774 Examiner | | COHN ET AL. | | |
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| Class | Subclass | Date | Examiner | | | <u> </u> | DATE | EXM |
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| 123 | 406.29 | 9/14/06 | HA | | | | | |
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U.S. Patent and Trademark Office

Part of Paper No. 20080414

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ATTORNEY DOCKET NO. 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Applicant: Cohn, et al. Serial No.: 10/991,774 Examiner: ALI, HYDER Filed: November 18, 2004 Art Unit: 1714 For: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

RESPONSE TO OFFICE ACTION

In response to the office action mailed May 25, 2007 please consider the following remarks:

4235440v1

FORD Ex. 1121, page 161 IPR2020-00013

REMARKS

Reexamination and reconsideration of the rejections are hereby requested.

Claims 57-60 are pending in this application. Claims 57 and 58 stand rejected on the

ground of non-statutory obviousness-type double patentee. Claim 59 and 60 stand allowed.

Included herewith is a terminal disclaimer with respect to co-pending and co-owned

application serial number 11/100,026 (now US Patent No. 7,225,787) It is submitted that this

terminal disclaimer overcomes the obviousness-type double patenting rejection.

It is submitted that this application is in condition for allowance and early favorable action is requested.

Respectfully submitted, CHOATE, HALL & STEWART LLP

/SamPasternack/ Sam Pasternack

Date: July 27, 2007

Patent Department CHOATE, HALL & STEWART, LLP Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000

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| | PTO/SB/25 (04-07) through 09/30/2007. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE displays a valid OMB control number. |
|---|--|
| TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION OVER A PENDING "REFERENCE" APPLICATION | Docket Number (Optional) |
| In re Application of: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT | OF GASOLINE ENGINES |
| Application No.: 10/991,774 | |
| Filed: November 18, 2004 | |
| For: Daniel R. Cohn | |
| The owner*, <u>Massachuets Insitute of Technology</u> , of <u>100</u> percent interest in the instat except as provided below, the terminal part of the statutory term of any patent granted on the instant applic the expiration date of the full statutory term of any patent granted on pending reference Application Number on <u>04-06-2005</u> , as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on the grant of any patent on the pending hereby agrees that any patent so granted on the instant application shall be enforceable only for and during granted on the reference application are commonly owned. This agreement runs with any patent granted binding upon the grantee, its successors or assigns. | ation which would extend beyond <u>11/100,026</u> , filed patent granted on said reference reference application. The owner such period that it and any patent |
| In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any part application, "as the term of any patent granted on said reference application may be shortened by any ter grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application, "in the event that: any such patent: granted on the pending terminal by a court of competent juin whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory te | atent granted on said reference minal disclaimer filed prior to the bending reference application: risdiction, is statutorily disclaimed e, is reissued, or is in any manner |
| Check either box 1 or 2 below, if appropriate. | |
| 1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, governet.), the undersigned is empowered to act on behalf of the business/organization. | ernment agency, |
| I hereby declare that all statements made herein of my own knowledge are true and that all state belief are believed to be true; and further that these statements were made with the knowledge that willful made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States statements may jeopardize the validity of the application or any patent issued thereon. | false statements and the like so |
| 2. 🗹 The undersigned is an attorney or agent of record. Reg. No. 29576 | |
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| | |
| /SamPasternack/ Signature | 07/27/2007 Date |
| Sam Pasternack | |
| Typed or printed name | |
| | 617-248-5143 |
| | Telephone Number |
| ✓ Terminal disclaimer fee under 37 CFR 1.20(d) is included. | |
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| WARNING: Information on this form may become public. Credit card information be included on this form. Provide credit card information and authorization on I | |
| *Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324. | |
| This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the put to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estir including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chi | nated to take 12 minutes to complete, the individual case. Any comments on |

Trademark of time you require to complete this form alloof suggestions for reducing this burder, should be sent to the Chief monnation officet, 0.3. Patern and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Electronic Patent Application Fee Transmittal | | | | | | |
|---|--------------|---|----------|--------|-------------------------|--|
| Application Number: | 10 | 991774 | | | | |
| Filing Date: | 18-Nov-2004 | | | | | |
| Title of Invention: | | Fuel management system for variable ethanol octane enhancehment of gasoline engines | | | | |
| First Named Inventor/Applicant Name: | Da | aniel R. Cohn | | | | |
| Filer: | | Sam Pasternack/Elizabeth Burke | | | | |
| Attorney Docket Number: | 0492611-0598 | | | | | |
| Filed as Small Entity | | | | | | |
| Utility Filing Fees | | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) | |
| Basic Filing: | | | | | | |
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| Claims: | | | | | | |
| Miscellaneous-Filing: | | | | | | |
| Petition: | | | | | | |
| Patent-Appeals-and-Interference: | | | | | | |
| Post-Allowance-and-Post-Issuance: | | | | | | |
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| Electronic Acl | knowledgement Receipt |
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| EFS ID: | 2020280 |
| Application Number: | 10991774 |
| International Application Number: | |
| Confirmation Number: | 8282 |
| Title of Invention: | Fuel management system for variable ethanol octane enhancehment of gasoline engines |
| First Named Inventor/Applicant Name: | Daniel R. Cohn |
| Customer Number: | 24280 |
| Filer: | Sam Pasternack/Elizabeth Burke |
| Filer Authorized By: | Sam Pasternack |
| Attorney Docket Number: | 0492611-0598 |
| Receipt Date: | 27-JUL-2007 |
| Filing Date: | 18-NOV-2004 |
| Time Stamp: | 15:43:09 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| Submitted with Payment | yes |
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| Payment was successfully received in RAM | \$130 |
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| Deposit Account | |

File Listing:

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| Application Number | Application/Co 10/991,774 | ntrol No. | Applicant(s)/Patent Reexamination | under |
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| Document Code - DISQ | | Internal D | ocument – DC | D NOT MAIL |

| TERMINAL DISCLAIMER | | |
|------------------------|---|----------|
| Date Filed : 07/27/07 | This patent is subject to a Terminal Disclaimer | REASONS: |

| Approved/Disapproved by: | | | | |
|--|--|--|--|--|
| Sharon Greene Paralegal Specialist Technology Center 3700 | Patricia Martin Paralegal Specialist Technology Center 3700 | | | |
| Jan Hurley Paralegal Specialist Technology Center 3700 | Andre Robinson Paralegal Specialist Technology Center 3700 | | | |

U.S. Patent and Trademark Office

EAST Search History

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
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| L1 | 3 | turbocharger and spark adj ignition adj engine and port adj fuel adj injection and gasoline and knock and ethanol and vehicle and energy adj fraction | US-PGPUB | OR | OFF | 2007/08/13 13:28 |
| L2 | 1 | turbocharger and spark adj ignition adj engine and port adj fuel adj injection and gasoline and knock and ethanol and vehicle and energy adj fraction | USPAT | OR | OFF | 2007/08/13 13:27 |

| Application Number | Application/Co | ntrol No. | Applicant(s)/Pater Reexamination COHN ET AL. | nt under |
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| TERMINAL DISCLAIMER | | |
|------------------------|---|----------|
| Date Filed : 07/27/07 | This patent is subject to a Terminal Disclaimer | REASONS: |

| Approved/Disapproved by: | | | | | | |
|--------------------------|---|--|---|--|--|--|
| | Sharon Greene Paralegal Specialist Technology Center 3700 | | Patricia Martin Paralegal Specialist Technology Center 3700 | | | |
| ⊠ | Jan Hurley Paralegal Specialist Technology Center 3700 | | Andre Robinson Paralegal Specialist Technology Center 3700 | | | |

U.S. Patent and Trademark Office



| Application/Control No. |
|-------------------------|
| 10/991,774 |
| |
| Examiner |
| HYDER ALI |

Applicant(s)/Patent under Reexamination COHN ET AL. Art Unit 3747

| ISSUE CLASSIFICATION | | | | | | | ISSUE | SIF | ICAT | | | | | | | | | | |
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NOTICE OF ALLOWANCE AND FEE(S) DUE

24280 7590 08/16/2007 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110 EXAMINER ALI, HYDER ART UNIT PAPER NUMBER

3747 DATE MAILED: 08/16/2007

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/991,774 | 11/18/2004 | Daniel R. Cohn | 0492611-0598 | 8282 |

TITLE OF INVENTION: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEHMENT OF GASOLINE ENGINES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
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| nonprovisional | YES | \$700 | \$300 | \$0 | \$1000 | 11/16/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2007.

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| A. If the status is the same, pay the TOTAL FEE(S) DUE shown above. | A. Pay TOTAL FEE(S) DUE shown above, or |
| B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or | B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above. |

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

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PART B - FEE(S) TRANSMITTAL

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| PLEASE NOTE: Unl recordation as set fort | css an assignee is ident h in 37 CFR 3.11. Comp | A TO BE PRINTED ON T ified below, no assignce pletion of this form is NO | data will appear on th T a substitute for filing | •• • | | | ocument has been filed for |
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| 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. Sec 37 CFR 1.27. | b. Applicant is no longer claiming SMALL ENTITY status. Sec 37 CFR 1.27(g)(2). |
| NOTE: The Issue Fee and Publication Fee (if required) will not be accounterest as shown by the records of the United States Patent and Tradem | pted from anyone other than the applicant; a registered attorney or agent; or the assignce or other party in ark Office. |
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| | NITED STATES PATE | INT AND TRADEMARK OFFICE | UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov | OR PATENTS |
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| TWO INTERNA | TIONAL PLACE | | ART UNIT | PAPER NUMBER |
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Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 25 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 25 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| □ Notice of Draftperson's Patent Drawing Review (PTO-948) □ Information Disclosure Statements (PTO/SB/08), □ Paper No./Mail Date □ Examiner's Comment Regarding Requirement for Deposit 8. □ Examiner's Comment Regarding Requirement for Deposit | Applicant(s) | |
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| Notice of Allowability Examiner HYDER ALI The MAILING DATE of this communication appears on the cover si It claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLO arewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate OTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This applicat the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. It his communication is responsive to Arguments/Remarks filed on 07/27/2007. It has communication is responsive to Arguments/Remarks filed on 07/27/2007. It has communication is responsive to Arguments/Remarks filed on 07/27/2007. It has communication is responsive to Arguments/Remarks filed on 07/27/2007. It has communication is responsive to Arguments/Remarks filed on 07/27/2007. It has allowed claim(s) is/are 57-60. It claims being allowable, PROSECUTION ON the priority documents have been received. 1 Certified copies of the priority documents have been received. 2 Certified copies of the certified copies of the priority documents have been received images required by the standautic in ABANDONMENT of this application the transtonal Bureau (PCT Rule 17.2(a)). * Certified copies on treceived: | COHN ET AL. | |
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| Hora M. | tice of Informal Patent Application erview Summary (PTO-413), aper No./Mail Date aminer's Amendment/Comment aminer's Statement of Reasons for All her | owance |
| J.S. Patent and Trademark Office | | |

FORD Ex. 1121, page 180 IPR2020-00013

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Application/Control Number: 10/991,774 Art Unit: 3747

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be

unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of

such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

Oath/Declaration Objection

Oath/Declaration is objected to because the oath/declaration duty to disclose statement is improper.

The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because:

It does not state that the person making the oath or declaration acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.

Any inquiry concerning this communication or earlier communications from the examiner should be directed

to HYDER ALI whose telephone number is (571) 272-4836. The examiner can normally be reached on M-F (8:30-5:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Kirk Cronin can be reached on (571) 272-4536. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Hyler Al.

EPHEN K. CRONIN

SUPERVISORY PATENT EXAMINER

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together ...th applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

| INSTRUCTIONS: This appropriate. All further (indicated unless correcte maintenance fee notificat | form should be used f correspondence includin d below or directed oth ions. | or transmitting the ISSU g the Patent, advance or erwise in Block 1, by (a | | | | nould be completed where correspondence address as rate "FEE ADDRESS" for |
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| | | ock 1 for any change of address) | pap | e: A certificate of mailin (s) Transmittal. This certi ers. Each additional pape e its own certificate of ma | r, such as an assignmei | r domestic mailings of the or any other accompanying nt or formal drawing, must |
| 24280 7590 08/16/2007 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110 | | | I he Stat addi tran | Certificat reby certify that this Fee es Postal Service with su ressed to the Mail Stop smitted to the USPTO (57 | e of Mailing or Transn (s) Transmittal is being fricient postage for firs ISSUE FEE address 71) 273-2885, on the di | nission deposited with the United t class mail in an envelope above, or being facsimile ate indicated below. |
| | | | | | | (Depositor's name) |
| | | | | | | (Signature) |
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| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | ATTO | DRNEY DOCKET NO. | CONFIRMATION NO. |
| 10/991,774 | 11/18/2004 | ······································ | Daniel R. Cohn | | 0492611-0598 | 8282 |
| r | | | ABLE ETHANOL OCTAN | | | · · |
| APPLN, TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | YES | \$700 | \$300 | \$0 | \$1000 | 11/16/2007 |
| EXAMI | NER | ART UNIT | CLASS-SUBCLASS |] | | |
| ALI, H` | YDER | 3747 | 123-19800A | - | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Prec Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer | | the names of up to or agents OR, alternati the name of a singl registered attorney or a 2 registered patent atto | of a single firm (having as a member a orney or agent) and the names of up to batent attorneys or agents. If no name is 3 | | | |
| Number is required. | | | listed, no name will be | printea. | | |
| | ess an assignee is ident 1 in 37 CFR 3.11. Comp | | THE PATENT (print or typ data will appear on the p T a substitute for filing an (B) RESIDENCE: (CITY | atent. If an assignce is i assignment. | | ocument has been filed for |
| Massachuse | tts Institute of Tec | hnology | С | ambridge, Massachus | setts | |
| Please check the appropri | ate assignee category or | categories (will not be pr | inted on the patent) : | Individual 🖾 Corporal | tion or other private gro | oup entity 🔲 Government |
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| Authorized Signature | /SamPaster | rnack/ | | Date Novembe | er 15, 2007 | |
| ~~ · · · · | Sam Pas | ternack | | Registration No. | er 15, 2007 29,576 | |
| This collection of inform an application. Confident submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 | ation is required by 37 C iality is governed by 35 I application form to the ons for reducing this bu irginia 22313-1450. DC 13-1450. | FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th 0 NOT SEND FEES OR | on is required to obtain or 1,14. This collection is es depending upon the indi- e Chief Information Offic COMPLETED FORMS T spond to a collection of in: | retain a benefit by the pult timated to take 12 minute vidual case. Any commer cr, U.S. Patent and Trade O THIS ADDRESS. SEN | blic which is to file (and s to complete, includin its on the amount of the mark Office, U.S. Depi ID TO: Commissioner | I by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, |

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

the specification of which:

_____ is attached hereto;

X was filed on November 18, 2004 as Application Serial No. 10/991,774and amended on ______ (if applicable); or

was filed as PCT international application No. ________ on ______ and was amended under PCT Article 19 on _______ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

| Number | Country | Filing Date | Status | Priority |
|--------|---------|-------------|--|---------------|
| | | | | Claimed (Y/N) |
| | | | ************************************** | |
| | | | | |

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed

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Page 1 of 3

Attorney Docket No.: 04926110598

below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior US National Application(s) Application(s) and PCT Applications Designating the US:

| Number | Country | Filing Date | Status | Priority |
|--------|---------|---------------------------------------|--------|---------------|
| | | | | Claimed (Y/N) |
| | | · · · · · · · · · · · · · · · · · · · | | |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Prior US Provisional Application(s):

| Number | Country | Filing Date | Status | Priority |
|--------|---------|-------------|--------|---------------|
| | | | | Claimed (Y/N) |
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

| Name: | Daniel R. Cohn | | |
|--------------------|--------------------|--------------|---------------|
| Signature: | Daniel R. Cohn | Date: | Nov, 19, 2007 |
| Residence Address: | Combridge MA 62142 | Citizenship: | USA |
| Correspondence | | · | ·} |
| Address: | same as above | | |

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Page 2 of 3

Attorney Docket No.: 04926110598

TOTAL P.02

| Name: | Leslie Bromberg | | 1 / |
|--------------------|----------------------------|--------------|----------|
| Signature: | Lesle fromberg | Date: | 11/15/07 |
| Residence Address: | 176 WILSHIRE OR, SHARONMA- | Citizenship: | 146 |
| Correspondence | | | |
| Address: | | | |

| Name: | John B. Heywood | |
|--------------------|---------------------------------------|--------------|
| Signature: | | Date: |
| Residence Address: | · · · · · · · · · · · · · · · · · · · | Citizenship: |
| Correspondence | · | |
| Address: | | |

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Page 3 of 3

Attorney Docket No.: 04926110598

FORD Ex. 1121, page 185 IPR2020-00013

| Name: | Leslie Bromberg | |
|--------------------|-----------------|--------------|
| Signature: | | Date: |
| Residence Address: | | Citizenship: |
| Correspondence | | |
| Address: | | |

| Name: | John B. Heywood | | | |
|---------------------------|---|--------------|--|--|
| Signature: | - Inn Heywood | Date: | | |
| | June Medine | 11/01/2007 | | |
| Residence Address: | 218 Mill Street | Citizenship: | | |
| | Newton, Massachusetts 02460 | USA | | |
| Correspondence | MIT, Department of Mechanical Engineering | | | |
| Address: | 77 Massachusetts Avenue, 3-340 | | | |
| | Cambridge, MA 02139 | | | |

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Page 3 of 3

Attorney Docket No.: 04926110598

| Electronic Patent Application Fee Transmittal | | | | | | |
|--|---------------------------------|-----------|---------------|--------|-------------------------|--|
| Application Number: | 10991774 | | | | | |
| Filing Date: | 18 | -Nov-2004 | | | | |
| Title of Invention: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANO ENHANCEHMENT OF GASOLINE ENGINES | | | THANOL OCTANE | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | | | |
| Filer: | Sam Pasternack/Elisabeth Dunkle | | | | | |
| Attorney Docket Number: 0492611-0598 | | | | | | |
| Filed as Small Entity | Filed as Small Entity | | | | | |
| Utility Filing Fees | | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) | |
| Basic Filing: | | | | | | |
| Pages: | | | | | | |
| Claims: | | | | | | |
| Miscellaneous-Filing: | | | | | | |
| Publ. Fee- early, voluntary, or normal | | 1504 | 1 | 300 | 300 | |
| Petition: | | | | | | |
| Patent-Appeals-and-Interference: | | | | | | |
| Post-Allowance-and-Post-Issuance: | | | | | | |
| Utility Appl issue fee | | 2501 | 1 | 720 | 720 | |

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| Extension-of-Time: | | | | |
| Miscellaneous: | | | | |
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| Electronic Acknowledgement Receipt | | | | |
|--------------------------------------|--|--|--|--|
| EFS ID: | 2470567 | | | |
| Application Number: | 10991774 | | | |
| International Application Number: | | | | |
| Confirmation Number: | 8282 | | | |
| Title of Invention: | FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEHMENT OF GASOLINE ENGINES | | | |
| First Named Inventor/Applicant Name: | Daniel R. Cohn | | | |
| Customer Number: | 24280 | | | |
| Filer: | Sam Pasternack/Elisabeth Dunkle | | | |
| Filer Authorized By: | Sam Pasternack | | | |
| Attorney Docket Number: | 0492611-0598 | | | |
| Receipt Date: | 15-NOV-2007 | | | |
| Filing Date: | 18-NOV-2004 | | | |
| Time Stamp: | 15:47:29 | | | |
| Application Type: | Utility under 35 USC 111(a) | | | |

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| 2 | Oath or Declaration filed | Declaration.pdf | 197104 | no | 4 | |
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| | | Total Files Size (in bytes) | 3. | 42348 | | |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. | | | | | | |
| similar to a l | Post Card, as described in MPEP | 503. | | | locolpt | |
| <u>New Applica</u> If a new appl 37 CFR 1.53(| Post Card, as described in MPEP tions Under 35 U.S.C. 111 lication is being filed and the app (b)-(d) and MPEP 506), a Filing Re is Acknowledgement Receipt will | lication includes the neces ceipt (37 CFR 1.54) will be | issued in due cours | | ate (see | |
| New Applica If a new appl 37 CFR 1.53(shown on th <u>National Sta</u> If a timely su of 35 U.S.C. | tions Under 35 U.S.C. 111 lication is being filed and the app b)-(d) and MPEP 506), a Filing Re is Acknowledgement Receipt will ge of an International Application bmission to enter the national sta 371 and other applicable requirent as a national stage submission un | lication includes the neces ceipt (37 CFR 1.54) will be establish the filing date of <u>under 35 U.S.C. 371</u> age of an international app nents a Form PCT/DO/EO/9 | issued in due cours the application. lication is complian 003 indicating accept | se and the o t with the o btance of th | ate (see date conditions | |



UNITED STATES PATENT AND TRADEMARK OFFICE

| APPLICATION NO. | ISSUE DATE | PATENT NO. | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|------------|------------|---------------------|------------------|
| 10/991,774 | 01/01/2008 | 7314033 | 0492611-0598 | 8282 |

24280 7590 12/12/2007 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Daniel R. Cohn, Chestnut Hill, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newton, MA;

RECEIVED

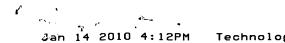
Jan 14 2010 4:12PM Technology Licensing Offi 617 258 679 ENTRAL FAX CENTER

JAN 1 4 2010

| STATEMEN | IT UNDER 37 CFR 3.73(b) |
|--|---|
| pplicant/Patent Owner: Daniel R. Cohn et al. | |
| 440047747044000 | Filed/issue Date: 11/18/2004 / 01/01/2008 |
| COMPENSATION FOR MEASUREMENT UN | NCERTAINTY DUE TO ATMOSPHERIC EFFECTS |
| assachusetts institute of Technology | non-profit |
| lame of Assignee) | (Type of Assignee, e.g., corporation, partnership, university, government agency, etc. |
| ates that it is: | |
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• **Comments:** Attached is an updated Fee Address Indication Form and corresponding 3.73(b) Form for patent number **7,314,033**. Please update at your earliest convenience.

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