

Roku, Inc.
v.
Universal Electronics, Inc.

IPR2019-01615

U.S. Patent No. 9,716,853

ROKU

Instituted Grounds

Claims Challenged	35 U.S.C. §¹	Reference(s)/Basis
1, 3, 5, 7	103(a)	Chardon
1, 3, 5, 7	103(a)	Chardon and HDMI
1, 3, 5, 7	103(a)	Chardon and Stecy
1, 3, 5, 7	103(a)	Chardon, HDMI 1

Undisputed Issues

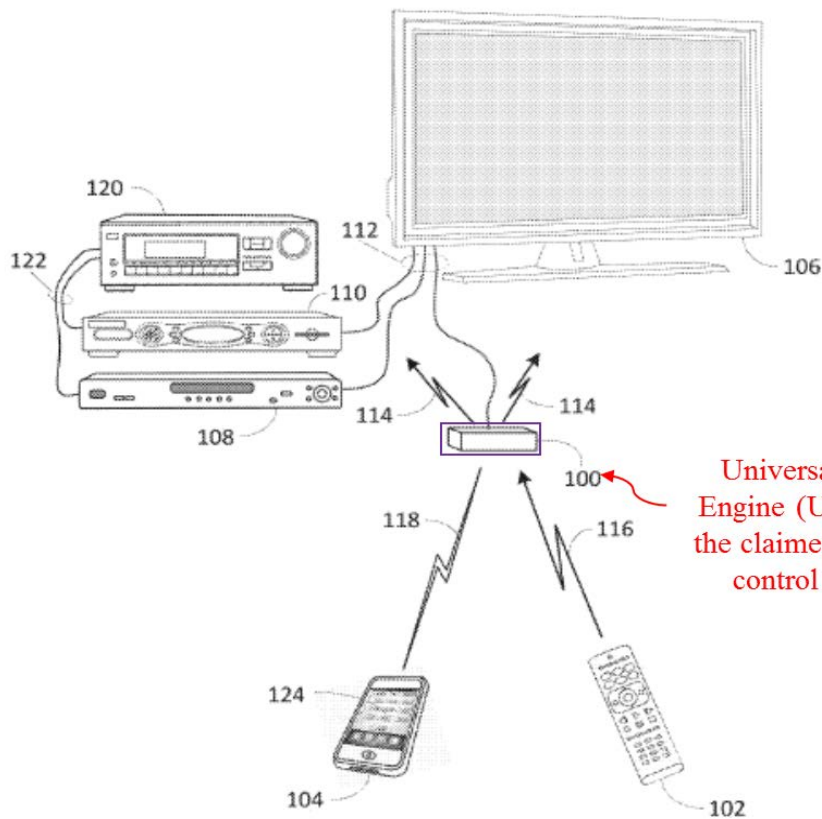
- **No dispute that Chardon discloses the physical components that make up the claimed system – i.e. no dispute that Chardon discloses:**
 - “a universal control engine”
 - “a processing device”
 - “a memory device having stored thereon instructions executable by the device”
 - “an intended target appliance within a logical topography”
 - “a controlling device”
- **No dispute that HDMI Spec Ver. 1.3a qualifies as prior art. Reply, 19.**
- **Patent Owner does not separately argue that the prior art is not so its arguments rise and fall with independence. Reply, 40.**

Disputed Issues

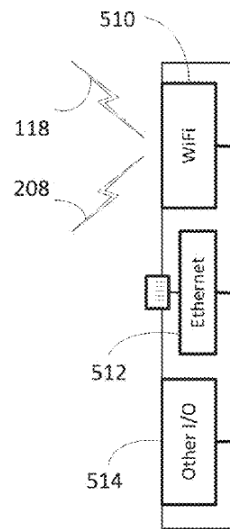
- **The Listing Limitation (Pet. Reply, 2, 5,**
 - The “Response Limitation”
 - The “Identity Limitation”
 - The “Communication Methods Limitation”
 - The “Functional Operations Limitation”

- **Motivation to combine Chardon (Pet. R**
 - with HDMI Ver. 1.3a
 - with Stecyk

The '853 Patent's System



Universal Control Engine (UCE) 100 as the claimed "universal control engine."



EX1001, '853 Patent, FIG. 1 (annotated) (Pet., 6).

EX1001, FIG.

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