

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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ROKU, INC.,  
Petitioner,

v.

UNIVERSAL ELECTRONICS, INC.,  
Patent Owner.

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Case IPR2019-01615  
U.S. Patent 9,716,853

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**PETITIONER ROKU INC.'S REPLY TO PATENT OWNER'S RESPONSE**

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U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TABLE OF CONTENTS**

INTRODUCTION .....1

I. PATENT OWNER’S FOUR PRINCIPAL ARGUMENTS LACK MERIT. ....2

    A. Contra Patent Owner’s argument, Chardon creates “a listing”—namely, an EDID-linked database—in response to a detected presence of an intended target appliance. ....2

    B. Contra Patent Owner’s argument, Chardon uses an identity associated with the intended target appliance—for example, the appliance’s EDID—to create “a listing.” .....5

    C. Contra Patent Owner’s argument, Chardon’s EDID linked database comprises two different communication methods. ....9

        1. Chardon’s linked database discloses use of at least two different command transmission mediums – CEC over HDMI and IR. ....10

        2. The Petition does not rely on Chardon’s “command codes” to meet three separate claim limitations, as Patent Owner argues.....13

    D. Contra Patent Owner’s argument, Chardon’s EDID-linked database, which links IR and CEC databases to target appliances, is used to control the claimed first and second functional operations.....16

II. PATENT OWNER’S REMAINING ARGUMENTS LACK MERIT.....18

    A. Patent Owner Does Not Dispute That A POSA Would Have Had Full Knowledge of the Relied-Upon Portions of HDMI Version 1.3a.....19

    B. The Petition Establishes Why and How a POSA Would Have Relied on Stecyk, in Combination with Chardon and HDMI, to Render the Challenged Claims Obvious. ....21

CONCLUSION.....22

**PETITIONER’S UPDATED EXHIBIT LIST**

<b>Exhibit No.</b>	<b>Description</b>
<b>1001</b>	U.S. Patent No. 9,716,853 to Arling <i>et al.</i> (“’853 patent”)
<b>1002</b>	File History of U.S. Patent No. 9,716,853 (“Prosecution History”)
<b>1003</b>	Declaration of Dr. Samuel Russ in Support of Petition for <i>Inter Partes</i> Review of U.S. Patent No. 9,716,853
<b>1004</b>	<i>Curriculum Vitae</i> of Dr. Samuel Russ
<b>1005</b>	U.S. Patent Publication No. 2012/0249890 to Chardon <i>et al.</i> (“Chardon”)
<b>1006</b>	U.S. Patent Publication No. 2009/0254500 to Stecyk (“Stecyk”)
<b>1007</b>	U.S. Patent Publication No. 2004/0148632 to Park <i>et al.</i> (“Park”)
<b>1008</b>	Tracy V. Wilson, “How HDMI Works,” archived March 26, 2010 ( <a href="https://web.archive.org/web/20100326090548/https://electronics.howstuffworks.com/hdmi2.htm">https://web.archive.org/web/20100326090548/https://electronics.howstuffworks.com/hdmi2.htm</a> )
<b>1009</b>	U.S. Patent Publication No. 2011/0289113 to Arling <i>et al.</i> (“Arling”)
<b>1010</b>	High-Definition Multimedia Interface – Specification Version 1.3a (November 10, 2006)
<b>1011</b>	User Manual Harmony 900 – Remote Control User Guide, Version 1.0, Logitech
<b>1012</b>	<i>Intentionally Left Blank</i>
<b>1013</b>	U.S. Patent No. 7,944,370 to Harris <i>et al.</i> (“Harris”)
<b>1014</b>	<i>Intentionally Left Blank</i>
<b>1015</b>	<i>Intentionally Left Blank</i>
<b>1016</b>	U.S. Patent No. 7,136,709 to Arling <i>et al.</i> (“Arling II”).
<b>1017</b>	Universal Electronics Inc. v. Roku, Claim Construction Order – 8:18-cv-01580 (August 8, 2019)
<b>1018</b>	<i>Intentionally Left Blank</i>

Exhibit No.	Description
1019	BDP-33FD – Pioneer Elite 1080p Streaming Blu-Ray Disc™ Player, Pioneer Electronics Inc., archived December 14, 2010 ( <a href="https://web.archive.org/web/20101214050550/http://www.pioneerelectronics.com:80/ephox/StaticFiles/PUSA/Files/BDP-33FD.pdf">https://web.archive.org/web/20101214050550/http://www.pioneerelectronics.com:80/ephox/StaticFiles/PUSA/Files/BDP-33FD.pdf</a> )
1020	2010 Spring BD-Players, BDP IP & RS-232 Control Version 1.00.00, (“Remote Code Commands List”) ( <a href="http://files.remotecentral.com/library/22-1/pioneer/blu-ray_disc_player/index.html">http://files.remotecentral.com/library/22-1/pioneer/blu-ray_disc_player/index.html</a> )
1021	<i>Intentionally Left Blank</i>
1022	2010 Spring BD-Players, BDP IP & RS-232 Control Version 1.00.00, Edited 12/8/2010 (“Remote Code Commands List”) ( <a href="https://www.pioneerelectronics.com/StaticFiles/PUSA/Files/Home%20Custom%20Install/2010%20Pioneer%20BDP_330_IP_&amp;_RS-232_Commands.pdf">https://www.pioneerelectronics.com/StaticFiles/PUSA/Files/Home%20Custom%20Install/2010%20Pioneer%20BDP_330_IP_&amp;_RS-232_Commands.pdf</a> )
1023	<i>Intentionally Left Blank</i>
1024	International CES 2000 Report – Universal Electronics Inc. (2000) ( <a href="http://www.remotecentral.com/ces2000/uei.htm">http://www.remotecentral.com/ces2000/uei.htm</a> )
1025	“Data Formats for IR Remote Controls”, Vishay Semiconductors, Document No. 80071, Rev. A2, (August 27, 2003)
1026	AT2400 AllTouch Remote Control User’s Guide, Scientific Atlanta Inc., (2002)
1027	User Interface – Infrared Learner (Remote Control), Application Note AN2092, Cypress Semiconductor, Document No. 001-41063, (November 11, 2002)
1028	VCR Commander™ Service User’s Guide, Scientific-Atlanta Inc. (2000)
1029	Michael Brown, Product Reviews - Logitech Harmony 900 Review, User Review 1 (September 14, 2009) ( <a href="https://www.digitaltrends.com/gadget-reviews/logitech-harmony-900-review/">https://www.digitaltrends.com/gadget-reviews/logitech-harmony-900-review/</a> )

Exhibit No.	Description
1030	Dave Rees, Logitech Harmony 900 Universal Remote Review, The Gadgeteer, User Review 2 ( <a href="https://the-gadgeteer.com/2010/01/25/logitech-harmony-900-universal-remote-review/">https://the-gadgeteer.com/2010/01/25/logitech-harmony-900-universal-remote-review/</a> )
1031	Explorer 2100 or 3100 Digital Home Communications Terminals - User's Installation Guide, Scientific Atlanta Inc. (July 2000)
1032	ANSI/CEA Standard, Remote Control Command Pass-through Standard for Home Networking, ANSI/CEA-931-C R2012 (December, 2007)
1033	Mark Eyer, "Communication of Remote Control Key Codes in the Home Network," <i>2003 IEEE International Conference on Consumer Electronics, 2003. ICCE.</i> , Los Angeles, CA, USA, pp. 94-95 (2003)
1034	<i>Intentionally Left Blank</i>
1035	<i>Intentionally Left Blank</i>
1036	Connecting the Explorer 8300HD™ Digital Video Recorder Manual, Scientific Atlantic Inc. (2005)
1037	U.S. Patent No. 7,554,614 to Satou ("Satou")
1038	U.S. Patent No. 7,940,809 to Lee ("Lee")
1039	U.S. Patent No. 7,945,708 to Ohkita ("Ohkita")
1040	U.S. Patent No. 7,948,290 to Kato ("Kato")
1041	U.S. Patent No. 8,032,911 to Ohkita ("Ohkita II")
1042	"HDMI Adopters," HDMI.org, archived March 26, 2010 ( <a href="https://web.archive.org/web/20100326092325/http://www.hdmi.org/learningcenter/adopters_founders.aspx">https://web.archive.org/web/20100326092325/http://www.hdmi.org/learningcenter/adopters_founders.aspx</a> )
1043	Declaration of Steve Venuti
1044	"HDMI: About Us," HDMI.org ( <a href="https://www.hdmi.org/about/index.aspx">https://www.hdmi.org/about/index.aspx</a> )

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