

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.,
Petitioner,

v.

UNIVERSAL ELECTRONICS INC.,
Patent Owner.

Case IPR2019-01615
Patent 9,716,853

**MANDATORY NOTICES OF THE PATENT OWNER
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

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I. REAL PARTY IN INTEREST

Patent Owner identifies Universal Electronics, Inc. as the real party in interest.

II. RELATED MATTERS

- A. *Universal Electronics, Inc. v. Roku, Inc.*, 8:18-cv-01580-JVS-ADS (C.D. Cal. 2018) (pending);
- B. U.S Patent No. 8,015,446, IPR2019-01595 (petition filed on September 12, 2019, no institution decision has been issued);
- C. U.S. Patent No. 7,895,532, IPR2019-01608 (petition filed on September 13, 2019, no institution decision has been issued);
- D. U.S. Patent No. 7,782,309, IPR2019-01619 (petition filed on September 18, 2019, no institution decision has been issued);
- E. U.S. Patent No. 9,911,325, IPR2019-01614 (petition filed on September 18, 2019, no institution decision has been issued);
- F. U.S. Patent No. 8,004,389, IPR2019-01613 (petition filed on September 18, 2019, no institution decision has been issued);
- G. U.S. Patent No. 7,821,504, IPR2019-01621 (petition filed on September 18, 2019, no institution decision has been issued);
- H. U.S. Patent No. 7,821,505, IPR2019-01620 (petition filed on September 18, 2019, no institution decision has been issued);
- I. U.S. Patent No. 7,589,642, IPR2019-01612 (petition filed on September 18, 2019, no institution decision has been issued);
- J. The following applications and patents claim, or may claim, the benefit of the priority of the '853 patent: 15/626,357 filed on 06-19-2017 which is now US Pat. No. 9,942,509;
- K. The '853 patent claims priority to the following applications and patents: 13/933,877 filed on 07-02-2013 which is now US Pat. No. 9,219,874;

13,657,176 filed on 10-22-2012 which is now US Pat. No. 9,215,394;
61/680,876 filed on 08-08-2012, 61/552,857 filed on 10-28-2011;

L. Patent Interference No. 106,113 (JTM), entered May 21, 2019, relates to 15/900,342 which also claims priority to 13,657,176 filed on 10-22-2012 which is now US Pat. No. 9,215,394; 61/680,876 filed on 08-08-2012; and 61/552,857 filed on 10-28-2011; and

M. Patent Interference No. 106,114 (JTM), entered July 30, 2019, relates to 15/899,971 which also claims priority to 13,657,176 filed on 10-22-2012 which is now US Pat. No. 9,215,394; 61/680,876 filed on 08-08-2012; and 61/552,857 filed on 10-28-2011.

III. LEAD AND BACK-UP COUNSEL

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