

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.,
Petitioner,

v.

UNIVERSAL ELECTRONICS INC.,
Patent Owner.

Case IPR2019-01615
Patent 9,716,853

**MANDATORY NOTICES OF THE PATENT OWNDER
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

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I. REAL PARTY IN INTEREST

Patent Owner identifies Universal Electronics, Inc. as the real party in interest.

II. RELATED MATTERS

- A. *Universal Electronics, Inc. v. Roku, Inc.*, 8:18-cv-01580-JVS-ADS (C.D. Cal. 2018) (pending);
- B. U.S Patent No. 8,015,446, IPR2019-01595 (petition filed on September 12, 2019, no institution decision has been issued);
- C. U.S. Patent No. 7,895,532, IPR2019-01608 (petition filed on September 13, 2019, no institution decision has been issued);
- D. U.S. Patent No. 7,782,309, IPR2019-01619 (petition filed on September 18, 2019, no institution decision has been issued);
- E. U.S. Patent No. 9,911,325, IPR2019-01614 (petition filed on September 18, 2019, no institution decision has been issued);
- F. U.S. Patent No. 8,004,389, IPR2019-01613 (petition filed on September 18, 2019, no institution decision has been issued);
- G. U.S. Patent No. 7,821,504, IPR2019-01621 (petition filed on September 18, 2019, no institution decision has been issued);
- H. U.S. Patent No. 7,821,505, IPR2019-01620 (petition filed on September 18, 2019, no institution decision has been issued);
- I. U.S. Patent No. 7,589,642, IPR2019-01612 (petition filed on September 18, 2019, no institution decision has been issued);
- J. The following applications and patents claim, or may claim, the benefit of the priority of the '853 patent: 15/626,357 filed on 06-19-2017 which is now US Pat. No. 9,942,509;
- K. The '853 patent claims priority to the following applications and patents: 13/933,877 filed on 07-02-2013 which is now US Pat. No. 9,219,874;

13,657,176 filed on 10-22-2012 which is now US Pat. No. 9,215,394;
61/680,876 filed on 08-08-2012, 61/552,857 filed on 10-28-2011;

L. Patent Interference No. 106,113 (JTM), entered May 21, 2019, relates to 15/900,342 which also claims priority to 13,657,176 filed on 10-22-2012 which is now US Pat. No. 9,215,394; 61/680,876 filed on 08-08-2012; and 61/552,857 filed on 10-28-2011; and

M. Patent Interference No. 106,114 (JTM), entered July 30, 2019, relates to 15/899,971 which also claims priority to 13,657,176 filed on 10-22-2012 which is now US Pat. No. 9,215,394; 61/680,876 filed on 08-08-2012; and 61/552,857 filed on 10-28-2011.

III. LEAD AND BACK-UP COUNSEL

Lead Counsel:

Benjamin S. Pleune (Reg. No. 52,421)
ALSTON & BIRD LLP
Bank of America Plaza
Suite 4000
101 South Tryon Street
Charlotte, NC 28280-4000
Telephone: (704) 444-1000
Facsimile: (704) 444-1111
ben.pleune@alston.com

Backup Counsel:

Ryan W. Koppelman (Reg. No. 58,307)
ALSTON & BIRD LLP
950 Page Mill Road,
Palo Alto, CA 94304
P.O. Box 51058 (*for U.S. Mail only*)
Palo Alto, CA 94303-1058
Telephone: (650) 838-2000
Facsimile: (650) 838-2001
ryan.koppelman@alston.com

Thomas W. Davison (Reg. No. 57,160)

ALSTON & BIRD LLP
950 F Street, NW
Washington, DC 20004
Telephone: (202) 239-3933
Facsimile: (202) 654-4913
Email: tom.davison@alston.com

James H. Abe (Reg. No. 61,182)
Caleb J. Bean (Reg. No. 72,837)
ALSTON & BIRD LLP
333 South Hope Street
16th Floor
Los Angeles, CA 90071
Telephone: (213) 576-1000
Facsimile: (213) 576-1100
james.abe@alston.com
caleb.bean@alston.com

Derek S. Neilson (Reg. No. 65,447)
ALSTON & BIRD LLP
Chase Tower
2200 Ross Avenue
Suite 2300
Dallas, Texas 75201
Telephone: (214) 922-3400
Facsimile: (214) 922-3899
derek.neilson@alston.com

Nicholas T. Tsui (Reg. No. 71,055)
ALSTON & BIRD LLP
One Atlantic Center
1201 West Peachtree Street
Suite 4900
Atlanta, GA 30309-3424
Telephone: (404) 881-7000
Facsimile: (404) 881-7777
nick.tsui@alston.com

IV. SERVICE INFORMATION

Please address all correspondence and service to the Counsel listed above.

Patent Owner also consents to electronic service via email at

ben.pleune@alston.com, ryan.koppelman@alston.com, tom.davison@alston.com,
james.abe@alston.com, caleb.bean@alston.com, derek.neilson@alston.com, and
nick.tsui@alston.com

Dated: October 8, 2019

Respectfully submitted,

By: /Thomas W. Davison/

Thomas W. Davison
Reg. No. 57,160
ALSTON & BIRD LLP
950 F Street, NW
Washington, DC 20004
Telephone: (202) 239-3933
Facsimile: (202) 654-4913
Email: tom.davison@alston.com

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