

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.,
Petitioner

v.

UNIVERSAL ELECTRONICS, INC.,
Patent Owner.

IPR2019-01614
Patent No. 9,911,325 B2

**PATENT OWNER UNIVERSAL ELECTRONICS, INC.'S
NOTICE OF APPEAL**

Director of the United States Patent and Trademark Office
c/o Office of the General Counsel
Madison Building East, 10B20
600 Dulany Street
Alexandria, VA 22314

Notice is hereby given, pursuant to 37 C.F.R. § 90.2(a), that Patent Owner Universal Electronics Inc. (“UEI”) hereby appeals to the United States Court of Appeals for the Federal Circuit from the Final Written Decision entered on April 13, 2021 (Paper 31), and from all underlying findings, determinations, rulings, opinions, orders, and decisions regarding U.S. Patent No. 9,911,325. A copy of the Final Written Decision is attached.

In accordance with 37 C.F.R. § 90.2(a)(3)(ii), UEI further indicates that the issues on appeal include, but are not limited to, the Patent Trial and Appeal Board’s determination of the unpatentability of Claims 1-5 of UEI’s U.S. Patent No. 9,911,325 under 35 U.S.C. § 103.

Copies of this Notice of Appeal are being filed simultaneously with the Patent Trial and Appeal Board. In addition, three copies of this Notice Appeal, along with the required docketing fees, are being filed with the Clerk’s Office for the United States Court of Appeals for the Federal Circuit.

Respectfully Submitted,

/S. Benjamin Pleune/

Benjamin S. Pleune

Reg. No. 52,421

ALSTON & BIRD LLP

Bank of America Plaza, Suite 4000

101 South Tryon Street

Charlotte, NC 28280-4000

Telephone: (704) 444-1000

Facsimile: (704) 444-1111

Email: ben.pleune@alston.com

Attorney for Patent Owner

Universal Electronics Inc.

CERTIFICATE OF FILING

In accordance with 37 C.F.R. § 42.6, the undersigned hereby certifies that , on this 28th day of May, 2021, the foregoing, PATENT OWNER UNIVERSAL ELECTRONICS INC.'S NOTICE OF APPEAL, is being electronically filed through Patent Trial and Appeal Board's E2E System and mailed via Priority Mail Express Overnight pursuant to 37 C.F.R. § 104.2 to the Director of the United States Patent and Trademark Office, at the following address:

Director of the United States Patent and Trademark Office
c/o Office of the General Counsel
Madison Building East, 10B20
600 Dulany Street
Alexandria, VA 22314

The undersigned also hereby certifies that a true and correct paper copy of the above-captioned PATENT OWNER UNIVERSAL ELECTRONICS INC.'S NOTICE OF APPEAL and the docketing fee of \$500 are being filed by CM/ECF, and [Pay.gov](https://www.pay.gov), respectively.

CERTIFICATE OF SERVICE

The undersigned hereby certifies that on the below date, I caused a true and correct copy of the foregoing, PATENT OWNER UNIVERSAL ELECTRONICS INC.'S NOTICE OF APPEAL, to be served upon the following counsel of record via electronic mail:

Jon E. Wright, Reg. No. 50,720 jwright-PTAB@sternekessler.com STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, N.W. Washington, D.C. 20005 Tel.: 202-772-8651 Fax: 202-371-2540

Lestin L. Kenton, Reg. No. 72,314 lkenton-PTAB@sternekessler.com STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, N.W. Washington, D.C. 20005 Tel.: 202-772-8594 Fax: 202-371-2540

Daniel S. Block, Reg. No. 68,395 dblock-PTAB@sternekessler.com STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 New York Avenue, N.W. Washington, D.C. 20005 Tel.: 202-772-8735 Fax: 202-371-2540

Timothy L. Tang, Reg. No. 75,187 ttang-PTAB@sternekessler.com STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, D.C. 20005 TEL.: 202-772-8758 FAX: 202-371-2540

Date: May 28, 2021

/S. Benjamin Pleune/
S. Benjamin Pleune

Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.