

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.,
Petitioner,

v.

UNIVERSAL ELECTRONICS, INC.,
Patent Owner.

Case IPR2019-01614
U.S. Patent 9,911,325

PETITIONER'S UPDATED EXHIBIT LIST

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Petitioner serves this Updated Exhibit List to accompany filing of Exhibit 1037, Petitioner's Oral Hearing Demonstratives as served December 11, 2020.

PETITIONER'S UPDATED EXHIBIT LIST

Exhibit No.	Description
1001 (Previously Filed)	U.S. Patent No. 9,911,325 to Mui (“’325 Patent”)
1002 (Previously Filed)	Prosecution History of U.S. Patent No. 9,911,325 (“Prosecution History”)
1003 (Previously Filed)	Declaration of Dr. Samuel Russ in Support of Petition for <i>Inter Partes</i> Review of U.S. Patent No. 9,911,325
1004 (Previously Filed)	Curriculum Vitae of Dr. Samuel Russ
1005 (Previously Filed)	U.S. Patent Publication No. 2004/0080428 to Rye <i>et al.</i> (“Rye”)
1006 (Previously Filed)	U.S. Patent No. 4,426,662 to Skerlos <i>et al.</i> (“Skerlos”)
1007 (Previously Filed)	U.S. Patent No. 7,562,128 to Caris <i>et al.</i> (“Caris”)
1008 (Previously Filed)	U.S. Patent No. 8,132,105 to Dubil <i>et al.</i> (“Dubil”)
1009 (Previously Filed)	Markman Order SACV 18-01580 JVS (Dated August 8, 2019)

Exhibit No.	Description
<p>1010 (Previously Filed)</p>	<p>“Device Specification for Infrared Detecting unit for Remote Control GP1UV70QS series,” Sharp Corporation Electronic Components Group, Opto-Electronic Devices Division (Dated December 27, 2002) (“GP1UV70”)</p>
<p>1011 (Previously Filed)</p>	<p>“Data Formats for IR Remote Control,” Vishay Semiconductors (Dated August 27, 2003) (“Vishay”)</p>
<p>1012 (Previously Filed)</p>	<p>“User Interface – Infrared Learner (Remote Control) AN2092” Project Guide, Cypress Semiconductor Corporation (Dated November 11, 2002) (“Cypress”)</p>
<p>1013 (Previously Filed)</p>	<p>“VCR Commander Service User’s Guide,” Scientific-Atlanta, Inc., (Dated September 2000) (“VCR Commander”)</p>
<p>1014 (Previously Filed)</p>	<p>U.S. Patent No. 5,469,152 to Yamamoto <i>et al.</i> (“Yamamoto”).</p>
<p>1015 (Previously Filed)</p>	<p>“Infrared Remote Control Transmitter RC5 Product Specification,” Philips Semiconductors (Dated June 15, 1999)</p>
<p>1016 (Previously Filed)</p>	<p>“AT2400 AllTouch Remote Control User’s Guide,” Scientific-Atlanta, Inc. (Dated February 2002)</p>
<p>1017 (Previously Filed)</p>	<p>“EXPLORER 2100 or 3100 Digital Home Communications Terminals User’s Installation Guide,” Scientific Atlanta, Inc. (Dated July 2000)</p>
<p>1018 (Previously Filed)</p>	<p>U.S. Patent No. 6,909,471 to Bayley (“Bayley”).</p>
<p>1019 (Previously Filed)</p>	<p>U.S. Patent No. 5,745,192 to Bialobrzewski (“Bialobrzewski”)</p>

Exhibit No.	Description
1020 (Previously Filed)	U.S. Patent No. 5,365,282 to Levine (“Levine”)
1021 (Previously Filed)	U.S. Patent No. 6,225,873 to Hill (“Hill”)
1022 (Previously Filed)	U.S. Patent No. 7,149,474 to Mikhak (“Mikhak”)
1023 (Previously Filed)	U.S. Patent No. 6,122,010 to Emelko (“Emelko”)
1024 (Previously Filed)	U.S. Patent No. 7,151,575 to Landry <i>et al.</i> (“Landry”)
1025 (Previously Filed)	U.S. Patent No. 6,930,730 to Maxon <i>et al.</i> (“Maxon”)
1026 (Previously Filed)	U.S. Patent No. 6,993,134 to Epstein (“Epstein”)
1027 (Previously Filed)	Patent Owner’s Infringement Contentions, Ex. C-1, <i>Universal Electronics Inc. v. Roku, Inc.</i> , 8:18-cv-01580 (C.D. Cal.)
1028 (Previously Served)	Supplemental Declaration of Dr. Samuel Russ for <i>Inter Partes</i> Review of U.S. Patent No. 9,911,325 (<i>served but not filed</i>)
1029 (Previously Filed)	Transcript of Telephone Conference, <i>Roku, Inc. v. Universal Electronics, Inc.</i> (Dated June 26, 2020)
1030 (Previously Filed)	Declaration of Dr. Samuel Russ in Support of Petitioner’s Reply to Patent Owner’s Response

Exhibit No.	Description
1031 (Previously Filed)	Transcript of the Deposition of Dr. Michael Sprenger, IPR2019-01612 (Dated September 15, 2020)
1032 (Previously Filed)	Transcript of the Deposition of Dr. Michael Sprenger, IPR2019-01613 (Dated September 16, 2020)
1033 (Previously Filed)	Transcript of the Deposition of Dr. Michael Sprenger, IPR2019-01614 (Dated September 16, 2020)
1034 (Previously Filed)	Supplemental Declaration of Michael Sprenger, Ph.D. in Support of Plaintiff's Supplemental Claim Construction Brief, <i>TVnGO Ltd. v. LG Electronics, Inc.</i> , 1-18-10238 (D. N.J.) (Dated February 3, 2020)
1035 (Previously Filed)	Opinion, <i>TVnGO Ltd. v. LG Electronics, Inc.</i> , 1-18-10238 (D. N.J.) (Dated April 16, 2020)
1036 (Previously Filed)	Decision Granting Institution of Inter Partes Review, Paper 12 (IPR2019-01613) (Dated April 1, 2020)
1037 (Newly Filed)	Petitioner's Oral Hearing Demonstratives, IPR2019-01612, IPR2019-01613, and IPR2019-01614 (Served December 11, 2020)

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/Lestin Kenton/

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Date: December 15, 2020

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