

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC.,
Petitioner,

v.

UNIVERSAL ELECTRONICS INC.,
Patent Owner.

Case IPR2019-01614
Patent 9,911,325

**MANDATORY NOTICES OF THE PATENT OWNDER
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

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I. REAL PARTY IN INTEREST

Patent Owner identifies Universal Electronics, Inc. as the real party in interest.

II. RELATED MATTERS

- A. *Universal Electronics, Inc. v. Roku, Inc.*, 8:18-cv-01580-JVS-ADS (C.D. Cal. 2018) (pending);
- B. U.S. Patent No. 8,015,446, IPR2019-01595 (petition filed on September 12, 2019, no institution decision has been issued);
- C. U.S. Patent No. 7,895,532, IPR2019-01608 (petition filed on September 13, 2019, no institution decision has been issued);
- D. U.S. Patent No. 7,782,309, IPR2019-01619 (petition filed on September 18, 2019, no institution decision has been issued);
- E. U.S. Patent No. 8,004,389, IPR2019-01613 (petition filed on September 18, 2019, no institution decision has been issued);
- F. U.S. Patent No. 7,821,504, IPR2019-01621 (petition filed on September 18, 2019, no institution decision has been issued);
- G. U.S. Patent No. 7,821,505, IPR2019-01620 (petition filed on September 18, 2019, no institution decision has been issued);
- H. U.S. Patent No. 7,589,642, IPR2019-01612 (petition filed on September 18, 2019, no institution decision has been issued);
- I. U.S. Patent No. 9,716,853, IPR2019-01615 (petition filed on September 18, 2019, no institution decision has been issued);
- J. The following applications and patents claim, or may claim, the benefit of the priority of the '325 patent: 15/864,339 filed on 01-08-2018 which is Pending; 16/057,544 filed on 08-07-2018 which is Pending; and
- K. The '325 patent claims priority to the following applications and patents: 13/068,820 filed on 05-21-2011 which is now US Pat. No. 9,355,553;

12/462,526 filed on 08-04-2009 which is now US Pat. No. 8,004,389;
10/737,029 filed on 12-16-2003 which is now US. Pat. No. 7,589,642.

III. LEAD AND BACK-UP COUNSEL

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Dated: October 8, 2019

Respectfully submitted,

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