

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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ROKU, INC.,  
Petitioner,

v.

UNIVERSAL ELECTRONICS, INC.,  
Patent Owner.

Case IPR2019-01614  
U.S. Patent 9,911,325

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**PETITIONER'S UPDATED MANDATORY NOTICES  
PURSUANT TO 37 C.F.R. § 42.8(a)(3)**

***Mail Stop "PATENT BOARD"***  
Patent Trial and Appeal Board  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

## I. RELATED MATTERS

Petitioner, Roku, Inc., hereby files Updated Mandatory Notices pursuant to 37 C.F.R. §42.8(a)(3). These Updated Mandatory Notices note the following pending litigation proceedings that involve U.S. Patent No. 9,911,325:

- *Certain Electronic Devices, Including Streaming Players, Televisions, Set Top Boxes, Remote Controllers, and Components Thereof*, 337-3450 (ITC), filed April 17, 2020;
- *Universal Electronics Inc. v. TCL Electronics Holdings Ltd. f/k/a TCL Multimedia Technology Holdings Ltd. et al*, Case No. 2-20-cv-03328 (C.D. CA), filed April 9, 2020;
- *Universal Electronics Inc. v. Hisense Co., Ltd. et al*, Case No. 8:20-cv-00696 (C.D. CA), filed April 9, 2020;
- *Universal Electronics Inc. v. Funai Electric Company, Ltd. et al*, Case No. 8-20-cv-00700 (C.D. CA), filed April 9, 2020; and
- *Universal Electronics, Inc. v. TCL Electronics Holdings Ltd. et al*, Case No. 8-20-cv-00704 (C.D. CA), filed April 9, 2020.

Case No. IPR2019-01614

U.S. Patent 9,911,325

Respectfully submitted,  
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

/Lestin Kenton/

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Date: May 6, 2020

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**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that on May 6, 2020, the foregoing  
**PETITIONER'S UPDATED MANDATORY NOTICES PURSUANT TO 37**  
**C.F.R. § 42.8(a)(3)** was served electronically via e-mail in its entirety on the  
following counsel of record for Patent Owner:

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