

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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ROKU, INC.,  
Petitioner,

v.

UNIVERSAL ELECTRONICS INC.,  
Patent Owner.

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Case IPR2019-01612  
Patent 7,589,642

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**MANDATORY NOTICES OF THE PATENT OWNDER  
PURSUANT TO 37 C.F.R. § 42.8(a)(2)**

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U.S. Patent and Trademark Office  
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Alexandria, VA 22313-1450

## I. REAL PARTY IN INTEREST

Patent Owner identifies Universal Electronics, Inc. as the real party in interest.

## II. RELATED MATTERS

- A. *Universal Electronics, Inc. v. Roku, Inc.*, 8:18-cv-01580-JVS-ADS (C.D. Cal. 2018) (pending);
- B. U.S Patent No. 8,015,446, IPR2019-01595 (petition filed on September 12, 2019, no institution decision has been issued);
- C. U.S. Patent No. 7,895,532, IPR2019-01608 (petition filed on September 13, 2019, no institution decision has been issued);
- D. U.S. Patent No. 7,782,309, IPR2019-01619 (petition filed on September 18, 2019, no institution decision has been issued);
- E. U.S. Patent No. 9,911,325, IPR2019-01614 (petition filed on September 18, 2019, no institution decision has been issued);
- F. U.S. Patent No. 8,004,389, IPR2019-01613 (petition filed on September 18, 2019, no institution decision has been issued);
- G. U.S. Patent No. 7,821,504, IPR2019-01621 (petition filed on September 18, 2019, no institution decision has been issued);
- H. U.S. Patent No. 7,821,505, IPR2019-01620 (petition filed on September 18, 2019, no institution decision has been issued);
- I. U.S. Patent No. 9,716,853, IPR2019-01615 (petition filed on September 18, 2019, no institution decision has been issued);
- J. *Universal Electronics Inc. v. Logitech Inc.*, 8-11-cv-01056 (C.D. Cal. 2011) (terminated);
- K. *Universal Electronics Inc v. Peel Technologies, Inc.*, 8-13-cv-01484 (C.D. Cal. 2013) (terminated);

- L. *Universal Electronics, Inc v. Universal Remote Control, Inc. et al.*, 8-16-cv-01666 (C.D. Cal. 2016) (terminated);
- M. *Universal Electronics, Inc. v. Universal Remote Control, Inc.*, 8-13-cv-00984 (C.D. Cal. 2013) (terminated);
- N. *Universal Remote Control, Inc. v. UEI Cayman Inc.*, IPR2014-01082 (PTAB 2014) (institution denied); and
- O. The following applications and patents claim, or may claim, the benefit of the priority of the '642 patent: 12/462,526 filed on 08-04-2009 which is now US Pat. No. 8,23,2001; 13/068,820 filed on 05-21-2011 which is now US Pat. No. 9,355,553; 15/153,905 filed on 05-13-2016 which is now US Pat. No. 9,911,235; 15/864,339 filed on 01-08-2018 which is Pending; 16,057,544 filed on 08-07-2018 which is Pending.

### III. LEAD AND BACK-UP COUNSEL

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