FORM PT	O-1390 U.S. DEPARTMENT OF COM	MERCE PATENT AND TRADEMARK	ATTORNEY'S DOCKET NO. PHDE 000238				
TRANS	MITTAL LETTER TO THE UNITED S (DO/EO/US) CONCERNING A FI		FFICE U.S. Application No. (if known, see 37 CFR 1.6)				
NTERNA PCT/EP0	l	ITERNATIONAL FILING DATE UGUST 8, 2001	PRIORITY DATE CLAIMED AUGUST 8, 2000				
	INVENTION: METHOD, NETWORK AN ENT STANDARDS IN THE SAME FREQU		O-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF				
APPLICA	NT(S) FOR DO/EO/US BERNHARD W/	ALKE; STEFAN MANGOLD					
Applicant	t(s) herewith submit to the United State	s Designated/Elected Office (DO/EO	/US) the following items and other information:				
1. [X]	This is a FIRST submission of items of	concerning a filing under 35 U.S.C. 3	71.				
2. []	This is a SECOND or SUBSEQUENT s	submission of items concerning a fili	ing under 35 U.S.C. 371.				
3. [X]	This express request to begin nationa examination until the expiration of th 39(1).						
4. []	A proper Demand for International Pro	eliminary Examination was made by	the 19th month from the earliest claimed priority date.				
5. []	b. [] has been transmitted by th	quired only if not transmitted by the	·				
6. []	A translation of the International Application into English (35 U.S.C. 371(c)(2))						
7.[]	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are transmitted herewith (required only if not transmitted by the international Bureau). b. [] have been transmitted by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [] have not been made and will not be made.						
8.[]	A translation of the amendment to the	e claims under PCT Article 19 (35 U.S	S.C. 371 (c)(3)).				
9. [X]	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).					
10.[]	A translation of the annexes to the Int	ternational Preliminary Examination	Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
ítems 11.	to 16. below concern document(s) or in	formation included:					
11. []	An Information Disclosure Statement	under 37 C.F.R. 1.97 and 1.98.					
12. [X]	An assignment document for recordi	ng. A separate cover sheet is compl	iance with 37 C.F.R. 3.28 and 3.31 is included.				
13. [] []	A FIRST preliminary amendment. A SECOND OR SUBSEQUENT prelim	inary amenoment.	CERTIFICATE OF EXPRESS MAILING				
14. []	A substitute specification.	Express Mail	Mailing Label No. <u>EL 68695053</u>				
15. [X]	A change of power of attorney and/or	address letter.	. (los 4 7,00)				
16. [X]	Other items or information: Application as published (WO 02/1345 3 Sheets of Formal Drawings	57 A2) I hereby certi United States service under adressed to th	ify that this paper and/or fee is being deposited with the Postal Service "Express Mail Post Office to Addressee 37 C.F.R. 1.10 on the date indicated above and is				
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	Total Claims	11 - 20 =			X \$ 18.00	\$				
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c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed of the second sec										
	NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) m filed and granted to restore the application to pending status.									
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Method, network and control station for the two-way alternate control of radio systems of different standards in the same frequency band

The invention relates to a method of alternate control of radio systems of different standards in the same frequency band.

A radio system for wireless transmission of information is allowed to use transmission power only in accordance with standards. The national regulation authority determines on what frequencies with what transmission power and in accordance with what radio interface standard a radio system is allowed to transmit. For this purpose there is provided for so-termed ISM frequency bands (Industrial Scientific Medical) that radio systems transmit in the same frequency band in accordance with different radio interface standards. An example of this is the US radio system IEEE802.11a and the European ETSI BRAN HiperLAN/2. The two radio systems transmit in the same frequency bands between 5.5 GHz and 5.875 GHz with approximately the same radio transmission method, but different transmission protocols.

In the event of interference, method were standardized for an active switching to another frequency within the permitted frequency band, for controlling transmission power and for the adaptive coding and modulation to reduce interference. Radio systems of wideband LANs of the radio interface standards ETSI BRAN HiperLAN/2 and IEEE802.11a utilize the same radio transmission method, a 64-carrier OFDM method and an adaptive modulation and coding. About the same modulation and coding methods (Link Adaptation, LA) are defined for the two standards.

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The Medium Access Control (MAC) of the two systems is totally different. ETSI BRAN HiperLAN/2 utilizes a centrally controlled reservation-based method in which a radio station takes over the role of a central instance co-ordinating the radio resources. This central radio station (Access Point, AP) which may be an access point to the wide area

25 network, periodically signals every 2 ms the MAC frame structure from the AP and the associated stations if required.

The IEEE802.11a standard describes a CSMA/CA (Carrier Sense Multiple Access/Collision Avoidance) method not based on reservations, in which all the radio

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stations listen in on the medium and assume that the channel is unused for a minimum duration (Short InterFrame Space, SIFS) before 802.11a-MAC frames, thus user data packets, are transmitted if necessary. The method is highly suitable for self-organizing ad hoc networks, but requires positive acknowledgements of all the packets. Measures supporting

5 service quality (Point Coordination Function PCF) in addition allow the support of multimedia applications. Fig. 2 shows by way of example the sequence for media access in accordance with IEEE802.11a. In accordance with a variant of the standard a station is to then transmit an RTS packet (Ready To Send) and wait for a CTS packet (Clear To Send) from the addressed station before it is allowed to transmit user data. All the other stations in the radio coverage area set a time monitoring (Network Allocation Vector, NAV) and do not transmit until the addressed station has sent an acknowledgement (ACKnowledge, ACK).

Wideband LANs in accordance with the HiperLAN/2 and 802.11a standards will operate in the same frequency band in the future between 5.15 and 5.825 GHz. The wideband LANs work with Transmitter Power Control (TPC), it is true, with adaptive radio transmission methods and the Dynamic Frequency Selection (DFS) to minimize the alternating interfering effects, these methods, however, do not make optimum use and spreading possible of the radio channels over the stations which transmit in accordance with different standards. The guarantee of the service quality necessary for the multimedia applications is impossible in the case of interference caused by their own stations or stations of outside systems. In case of alternating interference, systems do not work efficiently and occupy a frequency channel even at low transmission rates.

It is an object of the invention to provide a method, a wireless network and a control station which make efficient use of radio transmission channels possible.

This object is achieved for the method in accordance with the invention by an interface control protocol method for a radio system, which system comprises at least a frequency band provided for the alternate use of a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, respectively, a control station being provided which controls the alternate use of the frequency band.

The invention is based on the idea of providing a comprehensive standard exchange of implicit or explicit control information in systems that have the same radio transmission methods but different radio transmission protocols. This makes a simple and efficient use possible of a radio channel via a plurality of radio interface standards.

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The radio system comprises one or more stations. The stations may be, for example, computers of a wireless local area network. These stations may be arranged, for example, only for operation in accordance with a first or second radio interface standard. But it is also possible for stations to operate in accordance with both the first and the second radio interface standard.

A first number of stations preferably forms a wireless local area network in accordance with a first radio interface standard and a second number of stations forms a wireless network in accordance with a second radio interface standard. The first radio interface standard may be, for example, the HiperLAN/2 standard and the second radio interface standard may be the IEEE802.11a standard.

For these two standards is reserved the frequency band from 5.15 GHz to 5.825 GHz.

In accordance with the invention a control station is provided which controls the alternate use of the common frequency band of the two radio interface standards.

The control station is preferably a station that may operate in accordance with both the first and the second radio interface standard.

The control of the alternate use of the common frequency band may be effected in various ways. For example, it is possible to provide certain predefinable time intervals for the use of the first and second radio interface standard and allocate the frequency band alternately to the first radio interface standard and then to the second radio interface standard in a kind of time-division multiplex mode.

However, it is advantageous to effect the allocation by means of adaptive protocols. The common radio channel can then be utilized more effectively particularly when the demand for transmission capacity in accordance with the first and the second radio interface standard varies.

In the advantageous embodiment of the invention as claimed in claim 2, the control station is provided, on the one hand, for controlling the access to the frequency band for stations operating in accordance with the first radio interface standard. If the first radio interface standard is, for example, the HiperLAN/2 standard, the control station performs the

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function of the central controller (Access Point AP) in accordance with this standard. In that case the stations of the HiperLAN/2 standard send a request for capacity to the control station and the control station allocates transmission capacity to each respective station.

On the other hand, the control station is provided in an advantageous embodiment of the invention as claimed in claim 2 for releasing the common frequency band

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for access by stations operating in accordance with the second radio interface standard, if stations operating in accordance with the first radio interface standard do not request access to the frequency band. In this advantageous embodiment of the invention the first radio interface standard is given priority over the second radio interface standard in this manner.

5 The release of the common frequency band for the second radio interface standard may be effected, for example, explicitly by the sending of control information to the stations of the second radio interface standard.

Alternatively, it is possible, for example, that the point coordinator provided in accordance with the IEEE802.11a standard operates as the central control station and controls the alternate access of stations of the first and second radio interface standard to the common frequency band. In this advantageous embodiment of the invention the point coordinator could for example periodically render the common frequency band available to another radio

interface standard, for example, to the HiperLAN/2 standard.

In the advantageous embodiment as claimed in claim 3, the control is effected in that the control station determines the respective duration in which the stations operating in accordance with the second radio interface standard can utilize the common frequency band. Determining the duration may advantageously be effected as claimed in claim 4 in that the control station sends a broadcast signal which informs the stations of a time period in which the frequency band can be used by stations operating in accordance with the second radio interface standard.

It is an advantage of the invention that when radio systems are operated in phases in which no information is sent or received by a radio station in accordance with a first radio interface standard, the additional sending of information in accordance with another radio interface standard becomes possible, so that the access to the radio channel can be controlled by competing radio systems.

It is then possible for a first radio station operating in accordance with a first radio interface standard to additionally carry out certain functions described in a second radio interface standard, while the first radio station or a coordinating further radio station that transmits in accordance with the first radio interface standard determines the beginning and

30 duration of the phase that may be used by the first station for transmission in accordance with the second radio interface standard.

Depending on the radio interface standard, beginning and duration can be defined only approximately, while the respective standards are violated regularly or from time to time. The first station may preferably end the phase during which it transmits in

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accordance with the second radio interface standard, while disregarding resulting interference in stations operating in accordance with the second radio interface standard.

The first radio station may, in addition to functions in accordance with the second radio interface standard, also carry out functions that cause radio systems working in accordance with the second radio interface standard or radio systems working in accordance with the first radio interface standard to interpret the radio channel as interfered and occupy another radio channel for its own operation.

The efficient common use of a radio channel by different radio systems may be achieved via a suitable control protocol method. Such a radio interface control protocol method enables a first station of a radio system working in accordance with the first radio interface standard to control the access times to the radio channel by other stations. For this purpose this first station then has to carry out functions described in another, second radio interface standard in addition to the functions laid down by its own first radio interface standard at times at which stations working in accordance with the first radio interface standard do not send and do not expect information in accordance with the standard from the first station, while the first station or a further station determines the duration for which the first station is allowed to transmit in accordance with the second radio interface standard. The duration of the operation in accordance with the second radio interface standard need not be determined exactly but may also be determined approximately. A transmission in accordance with the first radio interface standard can provide that the first station terminates the use of the radio interface in accordance with the second radio interface standard without taking resulting interference into account in stations that send in accordance with the second radio interface standard.

The object of the invention is achieved for the network by a wireless network that has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, while the wireless network comprises stations that work in accordance with the first and/or the second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

Several examples of embodiment of the invention will be further explained below with reference to the drawing in the Figs. 1 to 3, in which:

Fig. 1 shows the frame structure in accordance with the ETSI BRAN HiperLAN/2 standard,

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Fig. 2 gives a diagrammatic representation of the access to a radio channel in systems in accordance with the IEEE802.11a standard, and

Fig. 3 shows two wireless local area networks in accordance with a first and a second radio interface standard.

Fig. 1 shows the structure of the HiperLAN/2-MAC frame.

Fig. 2 diagrammatically shows the media access in systems working in accordance with the radio interface standard IEEE802.11a.

In a HiperLAN/2 system the central controller can be controlled via the Access Point (AP) which periodically generates the MAC frame and then transmits the data of the broadcast phase to individually control the service quality (packet delay sending rate and so on) of individual links.

Transmission in Figs. 1 and 2 with respect to the associated standards is understood to mean that a HiperLAN/2 AP in a partially unused downlink, uplink and directmode phase could dispense with sending useless (dummy) information and giving 802.11systems no opportunity to observe an unused channel for a period of time SIFS and starting the run as shown in Fig. 2. The AP could readily regain the control in which the transmission in accordance with the HiperLan/2 standard does not suppress the broadcast phase, but transmission takes place. Likewise, the function PCF of the 802.11 standard could be used to occasionally render the radio channel available to HiperLAN/2 systems with a time limit (periodically).

The alternate control of radio systems of different standards, which control is proposed here and discussed with respect to an example of the wideband LANs ETSI BRAN HiperLAN/2 and IEEE802.11a, may guarantee in a heterogeneous environment in which

- various radio systems simultaneously transmit very close together in the same spectrum, a decentrally controlled adaptivity relative to the transmission capacity available in the respective systems for the management of the respective current traffic supply, of the required service quality and of the environment of use. When the integrated controller in accordance with the invention is used, different radio systems may be made compatible in the
- 30 way that they constructively coexist in the same frequency band and then can provide services that require a high service quality. The radio spectrum is clearly used more efficiently; without the implementation of the new method this is only possible with respective exclusively used radio channels.

Fig. 3 diagrammatically shows two wireless local area networks.

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A first wireless local area network comprises three stations 10, 11 and 12. These three stations 10, 11 and 12 work in accordance with the first radio interface standard A, for example, in accordance with the HiperLAN/2 standard.

A second wireless local area network includes four stations 14, 15, 16 and 17. These four stations 14, 15, 16 and 17 work in accordance with the second radio interface standard B, for example, in accordance with the IEEE802.11a standard.

The stations may be, for example, computers which include a radio interface. The communication between the individual stations is effected in a wireless fashion, for example, by radio.

For wireless local area networks in accordance with the HiperLAN/2 and IEEE802.11a standards the frequency band is comprised between 5.15 GHz and 5.825 GHz.

A central control station 13 is provided which controls the alternate access by the first wireless network and the second wireless network to the common frequency band.

This may be effected in an advantageous manner in that the station 13 sends a broadcast message to the stations 14 to 17 of the IEEE802.11a standard when the stations 10 to 12 do not need transmission capacity. This broadcast message preferably contains time information which informs the stations 14 to 17 of the IEEE802.11 standard how long they are allowed to utilize the common frequency band. During this time the control station 13 can also carry out functions in accordance with the IEEE802.11a standard, for example, also be used for data transmission in accordance with the IEEE802.11a standard.

If the stations 10 to 12 of the first wireless network are HiperLAN/2 stations, the control station 13 preferably also operates as the central control station (Access Point) of the HiperLAN/2 network and co-ordinates its radio resources. In HiperLAN/2 systems it is planned beforehand at what time the stations are allowed to send. For this purpose the

HiperLAN/2 systems have a central controller (Access Point, AP) which receives the requests for capacity from the various stations and assigns capacity accordingly. The central control station 13 is preferably also provided for carrying out the function of the access point of the HiperLAN/2 standard. The central control station 13 then periodically signals every 2 ms the MAC frame structure in accordance with the requirements of the individual stations of the HiperLAN/2 network.

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Alternatively, it is also possible, however, in HiperLAN/2 systems for the function of the access point and the function of the alternating control of the access to the common frequency band by the first wireless network and the second wireless network to be realized in separate stations. In that case, however, with respect to the duration in which the

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frequency band can be utilized by the first or second radio interface standard a data exchange is necessary between these separate stations.

Alternatively, it is possible, for example, for the point co-ordinator provided in accordance with the IEEE802.11 standard to operate as a central control station and to

5 control the alternate access to the common frequency band by stations of the first and second radio interface standards. In this embodiment the point co-ordinator would, for example, periodically render the common frequency band available to another radio interface standard, for example, to the HiperLAN/2 standard.

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Ex. 1002 / Page 10 of 293 ERICSSON v. UNILOC CLAIMS:

1. An interface-control protocol method for a radio system which has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

2. A method as claimed in claim 1, characterized in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. A method as claimed in claim 1, characterized in that the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. A method as claimed in claim 1, characterized in that the control station sends a broadcast signal informing the stations of a time duration in which the frequency band can be used by stations working in accordance with the second radio interface standard.

5. A method as claimed in claim 3, characterized in that the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. A method as claimed in claim 1, characterized in that the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking

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account of resulting interference in stations working in accordance with the second radio interface standard.

7. A method as claimed in claim 1, characterized in that the control station controls the access to the frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

8. A method as claimed in claim 1, characterized in that the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. A method as claimed in claim 1, characterized in that the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

10. A wireless network comprising at least one frequency band provided for the alternate use by a first and a second radio interface standard, the wireless network comprising stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

11. A control station for a wireless network, the control station being provided for controlling the alternate use of a frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio

30 interface standard.

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ABSTRACT:

The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in

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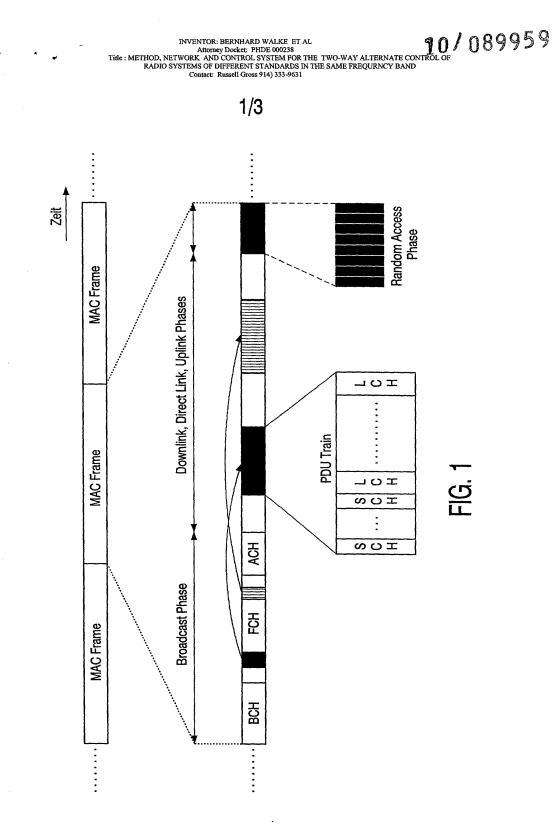
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accordance with a second radio interface standard, in which a control station is provided that controls the two-way alternate utilization of the frequency band.

Fig. 3



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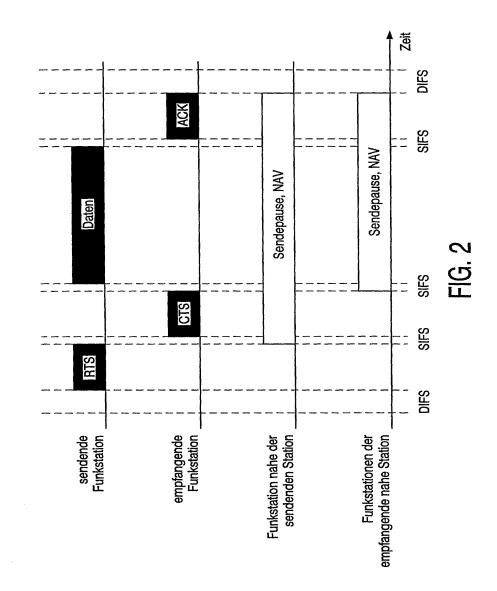
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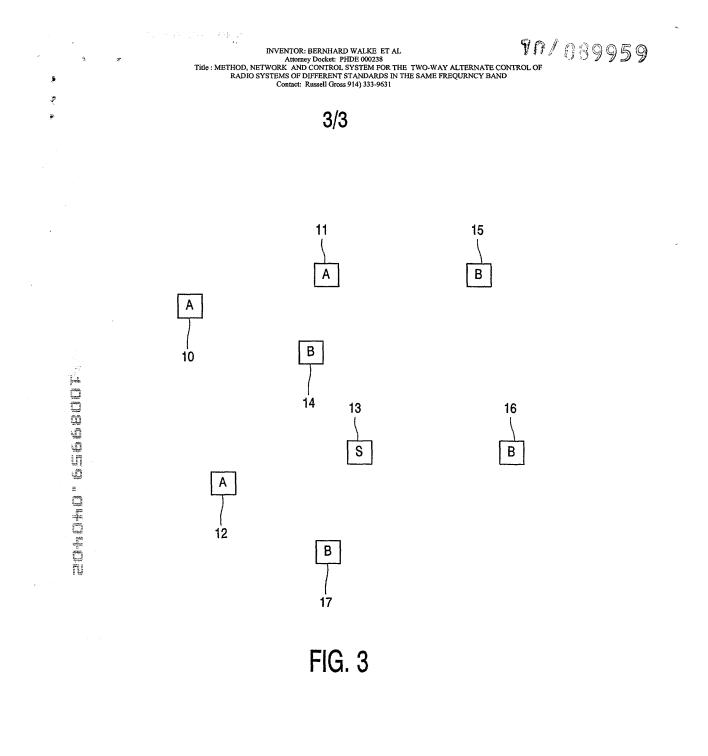
INVENTOR: BERNHARD WALKE ET AL Attorney Docket: PHDE 000238 Title : METHOD, NETWORK AND CONTROL SYSTEM FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND Contact: Russell Gross 914) 333-9631

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COMBINED DECLARATIC (includes Reference to PCT Inter	ON FOR PATENT APPLICATION / national Applications)	AND POWER OF ATTORNEY	ATTORNEY'S DOCKET NUMBER PHDE000238 US
As a below named invento	r, I hereby declare that:		
My residence, post office a	ddress and citizenship are as stat	ed next to my name.	
	first and sole inventor (if only one r ow) of the subject matter which is		
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I acknowledge the duty to Title 37, Code of Federal F	disclose information which is mate Regulations, § 1.56(a).	rial to the examination of this ap	oplication in accordance wit
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Germany	10039532.5	8 August 2000	YES
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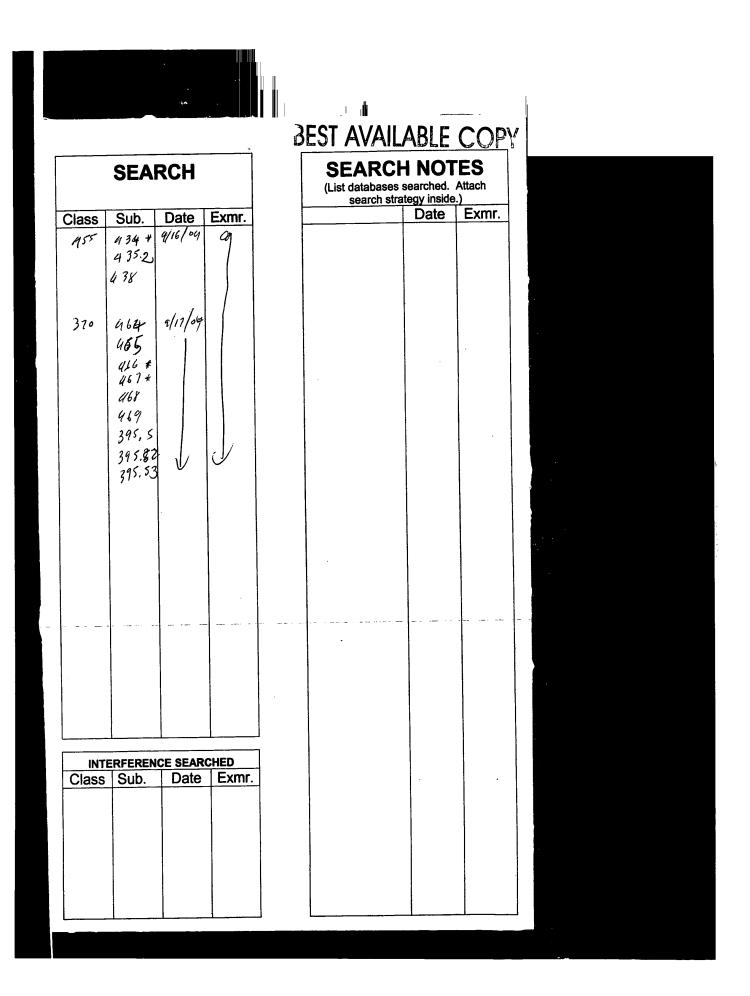
U.S. DEPARTMENT OF COMMERCE- Patent and Trademarks Office

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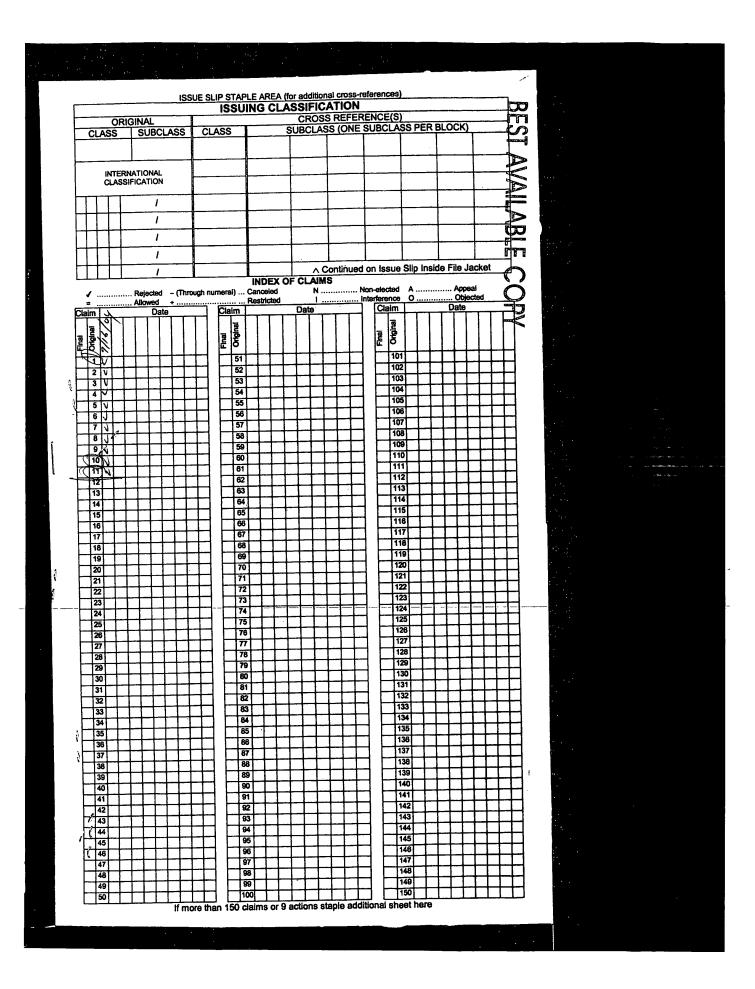
page 2 of 2

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TITLE : Meth	od, network and cont lards in the same fre	rol station f	or the two-way	/ alternate	e control of radio	4	
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Ex. 1002 / Page 20 of 293 ERICSSON v. UNILOC



Ex. 1002 / Page 21 of 293 ERICSSON v. UNILOC

FORM PT	TO-1390 U.S. DEPARTMENT OF COM	MERCE PATENT AND TRADEMARK	ATTORNEY'S DOCKET NO. PHDE 000238				
TRANS	MITTAL LETTER TO THE UNITED (DO/EO/US) CONCERNING A F		FICE U.S. Application No. (if known, see 37 CFR				
INTERNA PCT/EP0		NTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED AUGUST 8, 2000				
	- INVENTION: METHOD, NETWORK AN ENT STANDARDS IN THE SAME FREQU		D-WAY ALTERNATE CONTROL OF RADIO SYSTEMS				
APPLICA	NT(S) FOR DO/EO/US BERNHARD W	ALKE; STEFAN MANGOLD					
Applican	t(s) herewith submit to the United State	es Designated/Elected Office (DO/EO/	US) the following items and other information:				
1. [X]	This is a FIRST submission of items	concerning a filing under 35 U.S.C. 37	1.				
2. []	This is a SECOND or SUBSEQUENT	submission of items concerning a filir	ng under 35 U.S.C. 371.				
3. [X]	This express request to begin nation: examination until the expiration of the 39(1).	al examination procedures (35 U.S.C. le applicable time limit set in 35 U.S.C	371(f)) at any time rather than delay . 371(b) and PCT Articles 22 and				
4. []	A proper Demand for International Pr	eliminary Examination was made by t	he 19th month from the earliest claimed priority date.				
5. []	b. [] has been transmitted by the	quired only if not transmitted by the l	·				
6. []	A translation of the International App	lication into English (35 U.S.C. 371(c)	(2))				
7. []	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [] are transmitted herewith (required only if not transmitted by the International Bureau). b. [] have been transmitted by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired. d. [] have not been made and will not be made.						
8. []	A translation of the amendment to the	e claims under PCT Article 19 (35 U.S.	C. 371 (c)(3)).				
9. [X]	An oath or declaration of the inventor	r(s) (35 U.S.C. 371(c)(4)).					
10.[]	A translation of the annexes to the In	ternational Preliminary Examination R	Report under PCT Article 36 (35 U.S.C. 371(c)(5)).				
Items 11.	to 16. below concern document(s) or in	nformation included:					
11. []	An Information Disclosure Statement	under 37 C.F.R. 1.97 and 1.98.					
12. [X]	An assignment document for recordi	ng. A separate cover sheet is complia	ance with 37 C.F.R. 3.28 and 3.31 is included.				
13. [] []	A FIRST preliminary amendment. A SECOND OR SUBSEQUENT prelim	mary amendment.	CERTIFICATE OF EXPRESS MAILING				
14. []	A substitute specification.	Express Mail I	Mailing Label No. <u>EL 6869</u> 505				
15. [X]	A change of power of attorney and/or	Date of Deposi	1. april 4,2002				
16. [X]	Other Items or Information: Application as published (WO 02/134) 3 Sheets of Formal Drawings	57 A2) United States service under adressed to the	y that this paper and/or fee is being deposited with Postal Service "Express Mail Post Office to Address 37 C.F.R. 1.10 on the date indicated above and is e of Patents and Trademarks, Washington				

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	(If known, see 37 C.F.F	R. 1.5)	INTERNATION PCT/EP01/092	NAL APPLICATION NO. 258	ATTORNEY'S DOCK PHDE 000238	ET NUMBER		
17 [X] The following	fees are submitted:				CALCULATIONS (PT	O USE ONLY)		
BASIC NATIONAL FEI	E (37 C.F.R. 1.492(A)(1)-(5)):						
Search Re	port has been prepared t	by the El	PO or JPO	\$940.00				
Internation (37 C.F.R. 1	al preliminary-examinati 1.482)	on fee p	aid to USPTO	\$720.00				
No internat (37 C.F.R. 2 (37 C.F.R. 2	tional preliminary examii 1.482) but international s 1.445(a)(2)	nation fe earch fe	e paid to USPT(e paid to USPT(D \$760.00				
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Internation (37 C.F.R. Article 33(2	al preliminary examinati 1.482) and all claims sati 2)-(4)	on fee p sfied pro	aid to USPTO ovisions of PCT	\$ 96.00				
	ENTER APPROPRIATE	BASIC F	EE AMOUNT =		\$970.00			
Surcharge of \$130.00 from the earliest clain	for furnishing the oath o ned priority date (37 C.F.	r declar R. 1.492	ation later than (e)).	[] 20 [] 30 months	\$			
CLAIMS	NUMBER FILED	NUME	BER EXTRA	RATE				
Total Claims	11 - 20 =			X \$ 18.00	\$			
Independent claims	3 - 3 =			X \$ 78.00	\$			
MULTIPLE DEPENDE applicable)	NT CLAIMS (if			+ \$260.00	\$			
	TOTAL OF A	BOVE C	ALCULATIONS	=	\$970.00			
Reductions by 1/2 for must also be filed (No	filing by small entity, if a ote 37 C.F.R. 1.9, 1.27, 1.2	applicabi 28)	le. Verified Sma	II Entity Statement	\$			
			SUE	STOTAL =	\$970.00			
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			TOTAL NATIO	NAL FEE =	\$			
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			TOTAL FEES E	ENCLOSED =	\$1,010.00			
		-			Amount to be refunded	\$		
					charged	\$		
a. [] A check in the amount \$ to cover the above fees is enclosed.								
 b. [X] Please charge my Deposit Account No. <u>14-1270</u> in the amount of <u>\$1,010.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. 								
c. [X] The Comm required, c	nissioner is hereby autho or credit any overpaymer	orized to nt to Dep	charge any add oosit Account No	itional fee, with the exce b. <u>14-1270</u> . A duplica	ption of the Base Issue ite copy of this sheet is	Fee, which may be enclosed.		
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Corporate Patent Cou Philips Electronics No Tarrytown, NY 10591	unsel orth America Corporatio	'n		(SIGNATURE) Russel Gros	<u>ss</u>			
DATE OF MAILING:				40,007 (REGISTRATION	NUMBER)			

April 4, 2002

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Ex. 1002 / Page 23 of 293 ERICSSON v. UNILOC

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10/089959 1013 Rec'd PCT/PTO 04 APR 2000

IN THE UNATED STATES PATENT AND TRAMARK OFFICE

In re Application of

Atty. Docket

BERNHARD WALKE ET AL

PHDE 000238

Serial No.:

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Filed: CONCURRENTLY

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Commissioner for Patents Washington, D.C. 20231

AUTHORIZATION PURSUANT TO 37 CFR →1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectful submitted

Russell Gross, Reg. 40,007 Attorney (914) 333-9631

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FORM PT	TO-1390 U.S. DEPARTMENT OF COM	MERCE PATENT AND TRADEMARK	ATTORNEY'S DOCKET NO. PHDE 000238				
TRANS	MITTAL LETTER TO THE UNITED (DO/EO/US) CONCERNING A F		FICE U.S. Application No. (if known, see 37 CFR				
INTERNA PCT/EP0		NTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED AUGUST 8, 2000				
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APPLICA	NT(S) FOR DO/EO/US BERNHARD W	ALKE; STEFAN MANGOLD					
Applican	t(s) herewith submit to the United State	es Designated/Elected Office (DO/EO/	US) the following items and other information:				
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						3 Rec'd P	CT/PIO U 4 AP
U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) INTERNATIONAL APPLICATION NO. PCT/EP01/09258					ATTORNEY'S DOCKET NUMBER PHDE 000238		
17 [X] The following fees are submitted:						CALCULATIONS (PT	O USE ONLY)
BASIC NATIONAL FEE	E (37 C.F.R. 1.492(A)(1)-(5)):					
Search Rep	port has been prepared l	by the EF	'O or JPO	\$940.00			
Internation (37 C.F.R. 1	al preliminary-examinati 1.482)	on fee pa	aid to USPTO	\$720.00			
No internat (37 C.F.R. 1 (37 C.F.R. 1	tional preliminary exami 1.482) but international s 1.445(a)(2)	nation fe learch fe	e paid to USPT(paid to USPT(0 \$760.00			
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	ENTER APPROPRIATE	BASIC F	EE AMOUNT =			\$970.00	
Surcharge of \$130.00 from the earliest claim	for furnishing the oath o ned priority date (37 C.F.	or declara R. 1.492(ition later than e)).	[] 20 [] 30 mon	ths	\$	
CLAIMS	NUMBER FILED	NUMB	ER EXTRA	RATE			
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ndependent claims	3 - 3 =			X \$ 78.00		\$	
MULTIPLE DEPENDEI applicable)	I NT CLAIMS (if			+ \$260.00		\$	
	TOTAL OF ABOVE CALCULATIONS =						
Reductions by 1/2 for must also be filed (No	filing by small entity, if a te 37 C.F.R. 1.9, 1.27, 1.2	applicabl 28)	e. Verified Sma	II Entity Statement		\$	
			SUF	BTOTAL	8	\$970.00	
-	0.00 for furnishing the E est claimed priority date	-		han [] 20 [] 30 +		\$	
			TOTAL NATIO	NAL FEE		\$	
Fee for recording the accompanied by an ap	enclosed assignment (3 ppropriate cover sheet (3	7 C.F.R. 37 C.F.R.	1.21(h)). The as 3.28,3.31). \$40	signment must be .00 per property	+	\$40.00	
			TOTAL FEES E	NCLOSED	-	\$1,010.00	
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DATE OF MAILING:

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40,007 (REGISTRATION NUMBER)

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Ex. 1002 / Page 26 of 293 ERICSSON v. UNILOC I

10/089959 1013 Rec'd PCT/PTO 04 APR 2000

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In re Application of

Atty. Docket

BERNHARD WALKE ET AL

PHDE 000238

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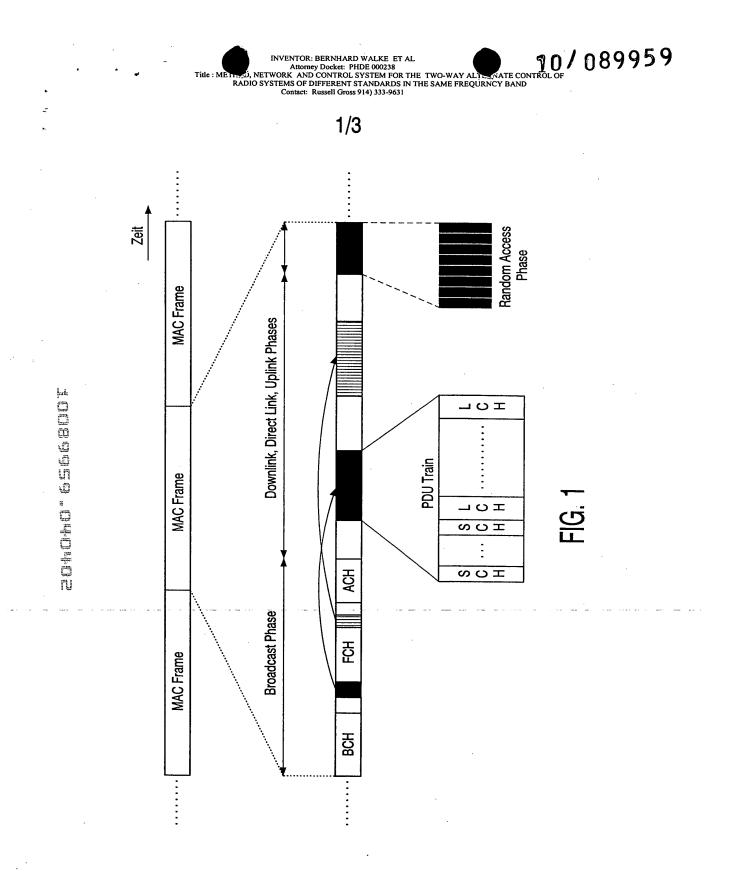
The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

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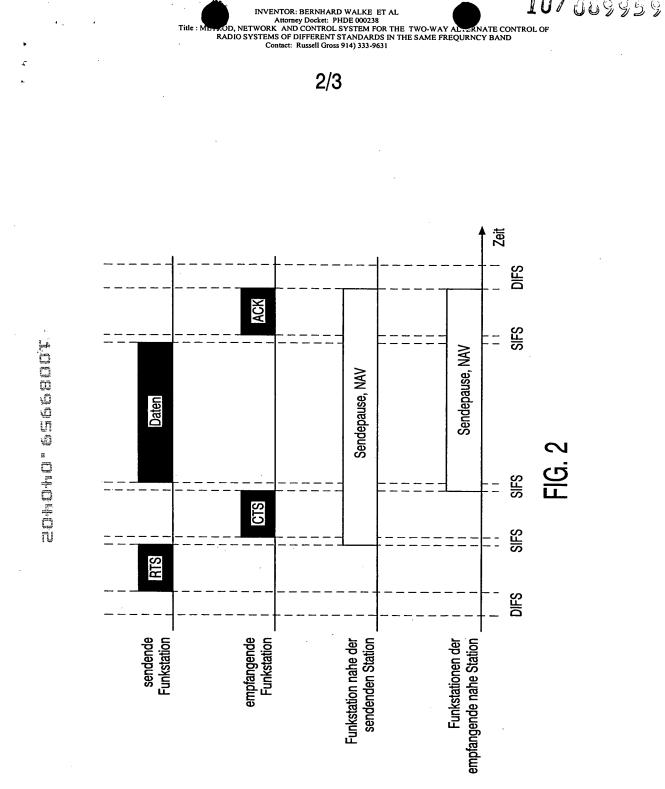
Respectful submitted

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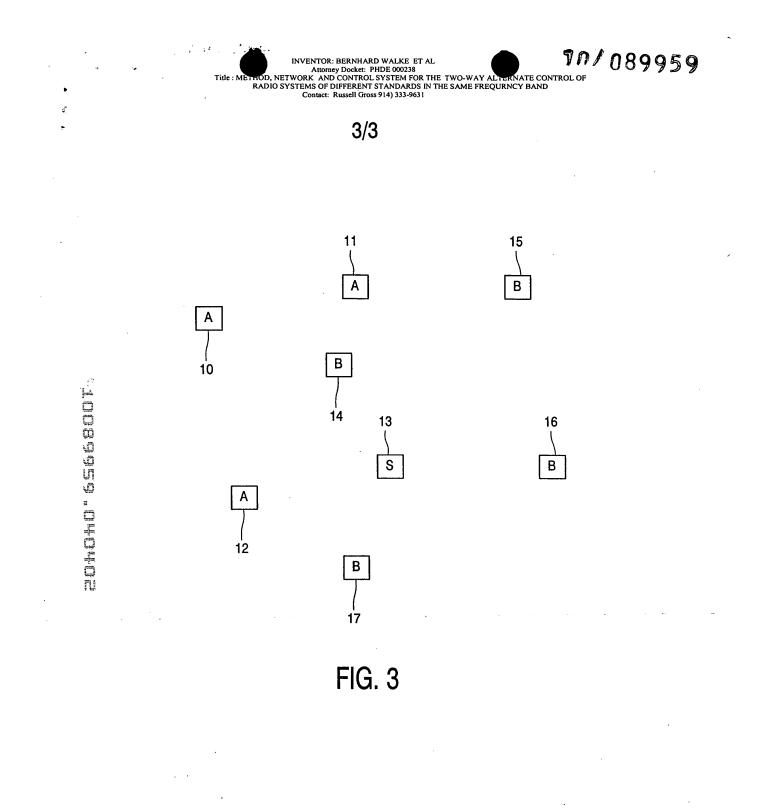
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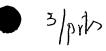
Ex. 1002 / Page 28 of 293 ERICSSON v. UNILOC



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Ex. 1002 / Page 30 of 293 ERICSSON v. UNILOC PHDE000238



tion for the two-way alternate control of radio systems of

Method, network and control station for the two-way alternate control of radio systems of different standards in the same frequency band

The invention relates to a method of alternate control of radio systems of different standards in the same frequency band.

A radio system for wireless transmission of information is allowed to use transmission power only in accordance with standards. The national regulation authority determines on what frequencies with what transmission power and in accordance with what radio interface standard a radio system is allowed to transmit. For this purpose there is provided for so-termed ISM frequency bands (Industrial Scientific Medical) that radio systems transmit in the same frequency band in accordance with different radio interface standards. An example of this is the US radio system IEEE802.11a and the European ETSI BRAN HiperLAN/2. The two radio systems transmit in the same frequency bands between 5.5 GHz and 5.875 GHz with approximately the same radio transmission method, but different transmission protocols.

In the event of interference, method were standardized for an active switching to another frequency within the permitted frequency band, for controlling transmission power and for the adaptive coding and modulation to reduce interference. Radio systems of wideband LANs of the radio interface standards ETSI BRAN HiperLAN/2 and IEEE802.11a utilize the same radio transmission method, a 64-carrier OFDM method and an adaptive modulation and coding. About the same modulation and coding methods (Link Adaptation,

20 LA) are defined for the two standards.

The Medium Access Control (MAC) of the two systems is totally different. ETSI BRAN HiperLAN/2 utilizes a centrally controlled reservation-based method in which a radio station takes over the role of a central instance co-ordinating the radio resources. This central radio station (Access Point, AP) which may be an access point to the wide area

25 network, periodically signals every 2 ms the MAC frame structure from the AP and the associated stations if required.

The IEEE802.11a standard describes a CSMA/CA (Carrier Sense Multiple Access/Collision Avoidance) method not based on reservations, in which all the radio

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stations listen in on the medium and assume that the channel is unused for a minimum duration (Short InterFrame Space, SIFS) before 802.11a-MAC frames, thus user data packets, are transmitted if necessary. The method is highly suitable for self-organizing ad hoc networks, but requires positive acknowledgements of all the packets. Measures supporting

5 service quality (Point Coordination Function PCF) in addition allow the support of multimedia applications. Fig. 2 shows by way of example the sequence for media access in accordance with IEEE802.11a. In accordance with a variant of the standard a station is to then transmit an RTS packet (Ready To Send) and wait for a CTS packet (Clear To Send) from the addressed station before it is allowed to transmit user data. All the other stations in the radio coverage area set a time monitoring (Network Allocation Vector, NAV) and do not transmit until the addressed station has sent an acknowledgement (ACKnowledge, ACK).

Wideband LANs in accordance with the HiperLAN/2 and 802.11a standards will operate in the same frequency band in the future between 5.15 and 5.825 GHz. The wideband LANs work with Transmitter Power Control (TPC), it is true, with adaptive radio transmission methods and the Dynamic Frequency Selection (DFS) to minimize the alternating interfering effects, these methods, however, do not make optimum use and spreading possible of the radio channels over the stations which transmit in accordance with different standards. The guarantee of the service quality necessary for the multimedia applications is impossible in the case of interference caused by their own stations or stations of outside systems. In case of alternating interference, systems do not work efficiently and occupy a frequency channel even at low transmission rates.

It is an object of the invention to provide a method, a wireless network and a control station which make efficient use of radio transmission channels possible.

This object is achieved for the method in accordance with the invention by an interface control protocol method for a radio system, which system comprises at least a frequency band provided for the alternate use of a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, respectively, a control station being provided which controls the alternate use of the frequency band.

The invention is based on the idea of providing a comprehensive standard exchange of implicit or explicit control information in systems that have the same radio transmission methods but different radio transmission protocols. This makes a simple and efficient use possible of a radio channel via a plurality of radio interface standards.

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The radio system comprises one or more stations. The stations may be, for example, computers of a wireless local area network. These stations may be arranged, for example, only for operation in accordance with a first or second radio interface standard. But it is also possible for stations to operate in accordance with both the first and the second radio interface standard.

A first number of stations preferably forms a wireless local area network in accordance with a first radio interface standard and a second number of stations forms a wireless network in accordance with a second radio interface standard. The first radio interface standard may be, for example, the HiperLAN/2 standard and the second radio interface standard may be the IEEE802.11a standard.

For these two standards is reserved the frequency band from 5.15 GHz to 5.825 GHz.

In accordance with the invention a control station is provided which controls the alternate use of the common frequency band of the two radio interface standards.

The control station is preferably a station that may operate in accordance with both the first and the second radio interface standard.

The control of the alternate use of the common frequency band may be effected in various ways. For example, it is possible to provide certain predefinable time intervals for the use of the first and second radio interface standard and allocate the frequency band alternately to the first radio interface standard and then to the second radio interface standard in a kind of time-division multiplex mode.

However, it is advantageous to effect the allocation by means of adaptive protocols. The common radio channel can then be utilized more effectively particularly when the demand for transmission capacity in accordance with the first and the second radio interface standard varies.

In the advantageous embodiment of the invention as claimed in claim 2, the control station is provided, on the one hand, for controlling the access to the frequency band for stations operating in accordance with the first radio interface standard. If the first radio interface standard is, for example, the HiperLAN/2 standard, the control station performs the

30 function of the central controller (Access Point AP) in accordance with this standard. In that case the stations of the HiperLAN/2 standard send a request for capacity to the control station and the control station allocates transmission capacity to each respective station.

On the other hand, the control station is provided in an advantageous embodiment of the invention as claimed in claim 2 for releasing the common frequency band

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for access by stations operating in accordance with the second radio interface standard, if stations operating in accordance with the first radio interface standard do not request access to the frequency band. In this advantageous embodiment of the invention the first radio interface standard is given priority over the second radio interface standard in this manner.

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The release of the common frequency band for the second radio interface standard may be effected, for example, explicitly by the sending of control information to the stations of the second radio interface standard.

Alternatively, it is possible, for example, that the point coordinator provided in accordance with the IEEE802.11a standard operates as the central control station and controls the alternate access of stations of the first and second radio interface standard to the common frequency band. In this advantageous embodiment of the invention the point coordinator could for example periodically render the common frequency band available to another radio interface standard, for example, to the HiperLAN/2 standard.

In the advantageous embodiment as claimed in claim 3, the control is effected in that the control station determines the respective duration in which the stations operating in accordance with the second radio interface standard can utilize the common frequency band. Determining the duration may advantageously be effected as claimed in claim 4 in that the control station sends a broadcast signal which informs the stations of a time period in which the frequency band can be used by stations operating in accordance with the second radio interface standard.

It is an advantage of the invention that when radio systems are operated in phases in which no information is sent or received by a radio station in accordance with a first radio interface standard, the additional sending of information in accordance with another radio interface standard becomes possible, so that the access to the radio channel can be controlled by competing radio systems.

It is then possible for a first radio station operating in accordance with a first radio interface standard to additionally carry out certain functions described in a second radio interface standard, while the first radio station or a coordinating further radio station that transmits in accordance with the first radio interface standard determines the beginning and duration of the phase that may be used by the first station for transmission in accordance with the second radio interface standard.

Depending on the radio interface standard, beginning and duration can be defined only approximately, while the respective standards are violated regularly or from time to time. The first station may preferably end the phase during which it transmits in

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accordance with the second radio interface standard, while disregarding resulting interference in stations operating in accordance with the second radio interface standard.

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The first radio station may, in addition to functions in accordance with the second radio interface standard, also carry out functions that cause radio systems working in accordance with the second radio interface standard or radio systems working in accordance with the first radio interface standard to interpret the radio channel as interfered and occupy another radio channel for its own operation.

The efficient common use of a radio channel by different radio systems may be achieved via a suitable control protocol method. Such a radio interface control protocol 10 method enables a first station of a radio system working in accordance with the first radio interface standard to control the access times to the radio channel by other stations. For this purpose this first station then has to carry out functions described in another, second radio interface standard in addition to the functions laid down by its own first radio interface standard at times at which stations working in accordance with the first radio interface standard do not send and do not expect information in accordance with the standard from the first station, while the first station or a further station determines the duration for which the first station is allowed to transmit in accordance with the second radio interface standard. The duration of the operation in accordance with the second radio interface standard need not be determined exactly but may also be determined approximately. A transmission in accordance with the first radio interface standard can provide that the first station terminates the use of the radio interface in accordance with the second radio interface standard without taking resulting interference into account in stations that send in accordance with the second radio interface standard.

The object of the invention is achieved for the network by a wireless network that has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, while the wireless network comprises stations that work in accordance with the first and/or the second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

Several examples of embodiment of the invention will be further explained below with reference to the drawing in the Figs. 1 to 3, in which:

Fig. 1 shows the frame structure in accordance with the ETSI BRAN HiperLAN/2 standard,

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Fig. 2 gives a diagrammatic representation of the access to a radio channel in systems in accordance with the IEEE802.11a standard, and

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Fig. 3 shows two wireless local area networks in accordance with a first and a second radio interface standard.

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Fig. 1 shows the structure of the HiperLAN/2-MAC frame.

Fig. 2 diagrammatically shows the media access in systems working in accordance with the radio interface standard IEEE802.11a.

In a HiperLAN/2 system the central controller can be controlled via the Access Point (AP) which periodically generates the MAC frame and then transmits the data of the broadcast phase to individually control the service quality (packet delay sending rate and so on) of individual links.

Transmission in Figs. 1 and 2 with respect to the associated standards is understood to mean that a HiperLAN/2 AP in a partially unused downlink, uplink and directmode phase could dispense with sending useless (dummy) information and giving 802.11systems no opportunity to observe an unused channel for a period of time SIFS and starting the run as shown in Fig. 2. The AP could readily regain the control in which the transmission in accordance with the HiperLan/2 standard does not suppress the broadcast phase, but transmission takes place. Likewise, the function PCF of the 802.11 standard could be used to occasionally render the radio channel available to HiperLAN/2 systems with a time limit (periodically).

The alternate control of radio systems of different standards, which control is proposed here and discussed with respect to an example of the wideband LANs ETSI BRAN HiperLAN/2 and IEEE802.11a, may guarantee in a heterogeneous environment in which various radio systems simultaneously transmit very close together in the same spectrum, a decentrally controlled adaptivity relative to the transmission capacity available in the respective systems for the management of the respective current traffic supply, of the required service quality and of the environment of use. When the integrated controller in accordance with the invention is used, different radio systems may be made compatible in the

30 way that they constructively coexist in the same frequency band and then can provide services that require a high service quality. The radio spectrum is clearly used more efficiently; without the implementation of the new method this is only possible with respective exclusively used radio channels.

Fig. 3 diagrammatically shows two wireless local area networks.

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A first wireless local area network comprises three stations 10, 11 and 12. These three stations 10, 11 and 12 work in accordance with the first radio interface standard A, for example, in accordance with the HiperLAN/2 standard.

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A second wireless local area network includes four stations 14, 15, 16 and 17.
5 These four stations 14, 15, 16 and 17 work in accordance with the second radio interface standard B, for example, in accordance with the IEEE802.11a standard.

The stations may be, for example, computers which include a radio interface. The communication between the individual stations is effected in a wireless fashion, for example, by radio.

For wireless local area networks in accordance with the HiperLAN/2 and IEEE802.11a standards the frequency band is comprised between 5.15 GHz and 5.825 GHz.

A central control station 13 is provided which controls the alternate access by the first wireless network and the second wireless network to the common frequency band.

This may be effected in an advantageous manner in that the station 13 sends a broadcast message to the stations 14 to 17 of the IEEE802.11a standard when the stations 10 to 12 do not need transmission capacity. This broadcast message preferably contains time information which informs the stations 14 to 17 of the IEEE802.11 standard how long they are allowed to utilize the common frequency band. During this time the control station 13 can also carry out functions in accordance with the IEEE802.11a standard, for example, also be used for data transmission in accordance with the IEEE802.11a standard.

If the stations 10 to 12 of the first wireless network are HiperLAN/2 stations, the control station 13 preferably also operates as the central control station (Access Point) of the HiperLAN/2 network and co-ordinates its radio resources. In HiperLAN/2 systems it is planned beforehand at what time the stations are allowed to send. For this purpose the

HiperLAN/2 systems have a central controller (Access Point, AP) which receives the requests for capacity from the various stations and assigns capacity accordingly. The central control station 13 is preferably also provided for carrying out the function of the access point of the HiperLAN/2 standard. The central control station 13 then periodically signals every 2 ms the MAC frame structure in accordance with the requirements of the individual stations of the HiperLAN/2 network.

Alternatively, it is also possible, however, in HiperLAN/2 systems for the function of the access point and the function of the alternating control of the access to the common frequency band by the first wireless network and the second wireless network to be realized in separate stations. In that case, however, with respect to the duration in which the

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frequency band can be utilized by the first or second radio interface standard a data exchange is necessary between these separate stations.

Alternatively, it is possible, for example, for the point co-ordinator provided in accordance with the IEEE802.11 standard to operate as a central control station and to

5 control the alternate access to the common frequency band by stations of the first and second radio interface standards. In this embodiment the point co-ordinator would, for example, periodically render the common frequency band available to another radio interface standard, for example, to the HiperLAN/2 standard.

Ex. 1002 / Page 38 of 293 ERICSSON v. UNILOC CLAIMS:

1. An interface-control protocol method for a radio system which has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

2. A method as claimed in claim 1, characterized in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. A method as claimed in claim 1, characterized in that the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. A method as claimed in claim 1, characterized in that the control station sends a broadcast signal informing the stations of a time duration in which the frequency band can be used by stations working in accordance with the second radio interface standard.

5. A method as claimed in claim 3, characterized in that the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

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6. A method as claimed in claim 1, characterized in that the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking

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account of resulting interference in stations working in accordance with the second radio interface standard.

A method as claimed in claim 1, characterized in that the control station
controls the access to the frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

8. A method as claimed in claim 1, characterized in that the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. A method as claimed in claim 1, characterized in that the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

10. A wireless network comprising at least one frequency band provided for the alternate use by a first and a second radio interface standard, the wireless network comprising stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

11. A control station for a wireless network, the control station being provided for controlling the alternate use of a frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio interface standard.

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ABSTRACT:

The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in

5 accordance with a second radio interface standard, in which a control station is provided that controls the two-way alternate utilization of the frequency band.

Fig. 3

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In re Application of

IN THE U

Atty. Docket

BERNHARD WALKE ET AL

PHDE 000238

Serial No.:

Sir:

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Filed: CONCURRENTLY

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Commissioner for Patents Washington, D.C. 20231

APPOINTMENT OF ASSOCIATES

The undersigned Attorney of Record hereby revokes all prior appointments (if any) of Associate Attorney(s) or Agent(s) in the above-captioned case and appoints:

RUSSELL GROSS (Registration No. 40,007) c/o PHILIPS ELECTRONICS NORTH AMERICA CORPORATION, Corporate Intellectual Property, 580 White Plains Road, Tarrytown, New York 10591, his Associate Attorney(s)/Agent(s) with all the usual powers to prosecute the above-identified application and any division or continuation thereof, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

ALL CORRESPONDENCE CONCERNING THIS APPLICATION AND THE LETTERS PATENT WHEN GRANTED SHOULD BE ADDRESSED TO THE UNDERSIGNED ATTORNEY OF RECORD.

Respectfully

Michael E. Marion, Reg. 32,266 Attorney of Record

Dated at Tarrytown, New York on April 3, 2002.

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# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(71) Applicant: INTERMEC IP CORP. [US/US]; 360 No. cent Drive, Beverly Hills, CA 90210–4867 (US).	orth Cres	5-
(72) Inventors: PALMER, Brian, G.; 16525 N.E. 135 Redmond, WA 98052 (US). JOVANOVICH, 22431 – 10th Avenue South, Des Moines, WA 98	Alan, F	· · ·
(74) Agents: BERLINER, Brian, M. et al.; Graham LLP, 14th floor, 801 S. Figueroa Street, Los An; 90017-5554 (US).		
(54) Title: MULTI-MODE RADIO FREQUENCY NET	WORK	
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(57) Abstract		
A multi-mode radio frequency network comprises	a first ty second	ype of computing device having a radio receiver/transmitter adapted for type of computing device having a radio receiver/transmitter adapted for

A multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequency-hopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further comprise periodic beacon signals that define discrete time periods which further include a synchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and data collection devices adapted for communication with the first and second types of computing device over the narrowband frequency range.

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### MULTI-MODE RADIO FREQUENCY NETWORK SYSTEM

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### BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates to computing devices coupled together into a wireless local area network, and more particularly, to a wireless local area network infrastructure that permits communication in plural modes to support both wideband spread spectrum and narrowband radio frequency signals.

# 2. Description of Related Art

- 10 A wireless local area network (WLAN) comprises a plurality of remote computing devices which communicate together over radio frequency (RF) signals. As in a wired local area network (LAN), the WLAN allows users to seamlessly access disk drives, printers, and additional computer resources and systems connected to the WLAN. The remote
- 15 computing devices include a radio receiver/transmitter adapted for RF communication with the other elements of the WLAN. The WLAN may also include a central host processing unit that sends information to and receives information from any one of the plurality of remotely disposed computing devices. The central host processor may also form part of a separate wired
- 20 LAN to provide a bridge with the WLAN. In such a WLAN, the remote computing devices may comprise portable units that operate within a defined environment to report information back to the central host processing unit. WLAN systems offer increased flexibility over wired LAN systems by enabling operators of the remote computing devices substantial freedom of
- 25 movement through the environment, and are particularly useful for remote data collection applications such as inventory control, manufacturing and production flow management, and asset tracking.

For simplicity, the radio receiver/transmitter provided within each remote computing device may communicate using conventional narrowband RF signals. Narrowband RF operation has a significant drawback in that the radio receiver/transmitter must be operated at relatively low power levels in order to ensure compliance with certain governmental regulations, and at such low power levels the RF signals are highly susceptible to interference and have low data throughput rates. To

overcome these and other drawbacks, commercial WLAN systems have

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adopted so-called "spread spectrum" modulation techniques. In a spread
spectrum system, the transmitted signal is spread over a frequency band that is significantly wider than the minimum bandwidth required to transmit the information being sent. As a result of the signal spreading, spread spectrum systems enable high data integrity and security. Moreover, by spreading transmission power across a broad bandwidth, power levels at
any given frequency within the bandwidth are significantly reduced, thereby

reducing interference to other radio devices.

In one type of spread spectrum communication system, an RF carrier is shifted in discrete increments in a pattern dictated by a predetermined sequence. These spread spectrum systems are known as

- 20 "frequency-hopping" modulation systems, since the transmitter jumps from frequency to frequency in accordance with the predetermined sequence. The information signal is modulated onto the shifting carrier frequencies using frequency shift keying (FSK) modulation. Another type of spread spectrum communication system utilizes an RF carrier modulated by a digital
- 25 code sequence having a spreading code rate, or chipping rate, much higher than the clock rate of the information signal. These spread spectrum systems are known as "direct sequence" modulation systems. The RF carrier may be modulated such that a data stream has one phase when a spreading code sequence represents a data "one" and 180° phase shift
- 30 when the spreading code sequence represents a data "zero." The RF carrier

may also be binary or quadrature modulated by one or more data streams such that the data streams have one phase when a spreading code sequence represents a data "one" and a predetermined phase shift (e.g., 180° for binary, and 90° for quadrature) when the spreading code sequence represents a data "zero." These types of modulation are commonly referred to as binary shift key (BPSK) and quadrature shift key (QPSK) modulation, respectively.

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A primary drawback of operating a WLAN using spread spectrum communication is the high cost of the computing devices due primarily to the complexity of the radio receiver/transmitter. For certain applications, a narrowband RF radio receiver/transmitter would provide satisfactory performance while the high data throughput and integrity provided by a wideband spread spectrum radio receiver/transmitter would be unnecessary. Nevertheless, it would be costly and impractical to operate two separate narrowband and wideband WLAN systems simultaneously. As a result, WLAN system designers must select a single communication mode that provides a sufficient level of performance within practical cost parameters.

Thus, it would be highly desirable to provide a WLAN infrastructure that permits multi-mode communication over both wideband spread spectrum and narrowband RF signals. Such a multi-mode WLAN could be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF signals.

### SUMMARY OF THE INVENTION

In accordance with the teachings of the present application, a multi-mode radio frequency network is provided. The multi-mode radio 30 frequency network permits RF communication using both wideband spread spectrum RF signals and narrowband RF signals.

More particularly, the multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a 5 second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access 10 controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequencyhopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further 15 comprise periodic beacon signals that define discrete time periods which further include a synchronous portion for communication of the narrowband signals and an asynchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and data collection devices adapted for 20 communication with the first and second types of computing device over the narrowband frequency range.

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In a first embodiment of the invention, the second radio receiver/transmitter is adapted to receive frequency-hopping spread spectrum communication signals in addition to narrowband communication 25 signals. A receive section is adapted to receive radio frequency (RF) signals over the wideband and the narrowband frequency ranges and having a dowconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. An IF filter section is adapted to receive the IF signals and has a wideband 30 bandpass filter and a narrowband bandpass filter that are alternatively

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coupled to the IF signals to provide filtered IF signals. A demodulation section is adapted to receive the filtered IF signals and recover wideband and narrowband receive signals therefrom. A synthesizer section is adapted to generate the frequency-shifted carrier for the receive section. The frequency-shifted carrier is further modulated by wideband and narrowband

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5 frequency-shifted carrier is further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals, and a transmit section is adapted to transmit the modulated transmit signals.

In a second embodiment of the invention, the second radio receiver/transmitter is adapted to receive direct sequence spread spectrum communication signals in addition to narrowband communication signals. A receive section is adapted to receive radio frequency (RF) signals and has a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. A demodulation section receives the filtered IF signals and provides in-phase

15 and quadrature receive data signals therefrom. A synthesizer section generates the carrier for the receive section, and the carrier is further modulated by in-phase and quadrature transmit data signals. A transmit section transmit the modulated transmit signals. Lastly, a control section controls the switching between wideband and narrowband modes of the

20 second radio receiver/transmitter in which the in-phase and quadrature receive signals comprise wideband data in the wideband mode of the second radio receiver/transmitter, and the in-phase receive signals comprise narrowband data in the narrowband mode of the second radio receiver/transmitter.

A more complete understanding of the multi-mode radio frequency network will be afforded to those skilled in the art, as well as a realization of additional advantages and objects thereof, by a consideration of the following detailed description of the preferred embodiment. Reference will be made to the appended sheets of drawings which will first be described briefly.



### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a system diagram illustrating a multi-mode WLAN of the present invention which includes a first type of computing device using
wideband RF communication signals and second type of computing device using narrowband RF communication signals;

Fig. 2 is a block diagram illustrating a first embodiment of a multi-mode radio receiver/transmitter adapted for frequency-hopping spread spectrum communication;

10 Fig. 3 is a block diagram illustrating a second embodiment of a multi-mode radio receiver/transmitter adapted for direct sequence spread spectrum communication; and

Fig. 4 is a timing diagram illustrating synchronous and asynchronous communication periods following a periodic beacon.

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### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention satisfies the need for a multi-mode WLAN infrastructure that supports both wideband spread spectrum and narrowband radio frequency signals. The multi-mode WLAN can be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF signals. In the detailed description that follows, it should be appreciated that like reference numerals are used to identify like elements illustrated in one or

25 more of the figures.

Referring first to Fig. 1, a system diagram of a multi-mode WLAN of the present invention is illustrated. The multi-mode WLAN includes a wired medium 2 having a plurality of interconnected nodes 3. At one of the nodes 3, a central computer controller 4 is coupled thereto which acts as a

30 server for the WLAN and controls communications between the nodes on

the wired medium 2. Two of the nodes 3 have access points 5 coupled thereto which permit communication between the wired medium 2 and the wireless computing devices of the WLAN that will be described in greater detail below. The access points 5 include an RF receiver/transmitter that communicates between the wired medium 2 and the wireless computing devices. As known in the art, information transmitted on the wired medium 2 may be in the form of data packets in accordance with well established

computer network protocols, such as Ethernet or Token Ring. It should also

be appreciated that other computer network elements, such as computers,
servers, printers, and data storage devices may be coupled to other nodes 3 of the wired medium 2.

The WLAN further includes a multi-mode computing device 6, a single-mode computing device 7, data collection devices 8, and data storage/retrieval devices 9. The multi-mode computing device 6 comprises a 15 multi-mode RF receiver/transmitter adapted to communicate both narrowband RF signals and wideband RF signals. The single-mode computing device 7 comprises a single-mode RF receiver/transmitter adapted to communicate only narrowband RF signals. Both the multi-mode and single-mode computing device 6, 7 have generally similar external 20 features, such as a keypad, a data display, and an antenna; however, it is

- anticipated that the multi-mode computing device 6 be more sophisticated and have greater internal data processing capability than the single-mode computing device 7. For example, the multi-mode computing device 6 may include a faster central processing unit (CPU) and greater memory storage
- 25 capacity than the single-mode computing device 7, and similarly, may have a larger or more complete keypad and/or data display. For these reasons, it is anticipated that the multi-mode computing device 6 be utilized by supervisory level users and the single-mode computing device 7 be utilized by ordinary level users.

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The data collection devices 8 comprise conventional bar code readers used to convert information encoded in bar code symbols into electronic data signals. As known in the art, such data collection devices 8 typically include a light source adapted to be scanned across the bar code field, such as provided by a laser or light emitting diode (LED). The bar and space elements of the bar code symbol have different light reflectivity, and the information encoded into the bar code may thus be detected in the reflected light therefrom. Alternatively, the data collection devices 8 may collect an image of the bar code using an electro-optical imaging element, such as a charge coupled device (CCD), allowing the information encoded into the bar code symbol to be interpreted from the collected image. The data collection devices 8 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals, or alternatively, may be directly coupled to the computing devices via an electrical cable.

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15 The data storage/retrieval devices 9 comprise conventional magnetic disk or tape drives used for non-volatile data storage. The data storage/retrieval devices 9 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals. Data collected by the computing devices 6, 7 may thus be downloaded to the data storage/retrieval devices 9 20 during the course of data collection operations, or alternatively, data stored in the data storage/retrieval devices may be accessed by the computing devices 6, 7. As a result, the data storage capacity of the computing devices 6, 7 can be reduced accordingly.

To operate the multi-mode WLAN, the access points 5 transmit 25 periodic beacon signals that enable all the wireless elements of the WLAN to synchronize. As shown in Fig. 4, the periodic beacon signals (B) indicate the start of a time period during which RF communication will occur. This time period is divided into a synchronous communication period (S) and an asynchronous communication period (A). The synchronous communication 30

period is further sub-divided into fixed-length time slots  $S_1$ - $S_6$  which allow the

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multi-mode computing device 6 to sequentially poll the data storage retrieval devices 9, the single-mode computing device 7, and the data collection devices 8 via narrowband RF communication signals. Also, the single-mode computing device 7 communicates with the access point 5 via narrowband RF communication signals during one of the time slots. It is anticipated that

the synchronous RF communication signals be transmitted using a common

system clock that is synchronized to the periodic beacon signals. During the asynchronous communication period, the multimode computing devices 6 communicate with the access points 5 over wideband spread spectrum RF communication signals. The spread spectrum RF communication signals may be either of the frequency-hopping or direct sequence variety, as will be further described below. The asynchronous spread spectrum communication signals A₁-A₂ do not have fixed time duration, but rather such signals are provided in the form of message packets that generally include a header identifying a start of a message and a trailer identifying an end of a message in accordance with

known data protocols.

Referring now to Fig. 2, an embodiment of the multi-mode RF receiver/transmitter included in the multi-mode computing device 6 is illustrated. In accordance with this embodiment, the multi-mode RF receiver/transmitter is adapted to communicate both narrowband RF signals and wideband frequency-hopping spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 2 includes an RF receive section 10, an IF filter section 20, a demodulation section 30, a digital control section 40, a synthesizer section 50 and a transmit section 60.

The RF receive section 10 includes an antenna 12, a transmit/receive switch 14, a bandpass filter 15, low noise amplifier stages 16, 17, and a downconversion mixer 18. The antenna 12 is provided for receiving and transmitting RF signals to and from the receiver/transmitter.

30 The transmit/receive switch 14 has a common terminal that is electrically

coupled to the antenna 12, and two contact positions electrically coupled to the bandpass filter 14 of the receive circuit and transmit circuit 60 (described below), respectively. The transmit/receive switch 14 enables the antenna 12 to be configured for either transmitting or receiving operations. As known in

- 5 the art, the transmit/receive switch 14 can be provided by mechanical switch elements, such as a relay, or can comprise solid state switching circuitry. It is preferable that the transmit/receive switch 14 have generally high speed switching characteristics to reduce delays between respective receiving and transmitting operations. Within the receive section 10, a received RF signal
- 10 is first provided to a bandpass filter 15 which rejects adjacent extraneous frequencies outside the bandwidth of the received signal. The low noise amplifier stages 16, 17 amplify the received and filtered signal to a desired amplitude level. The mixer 18 multiplies the amplified signal with a locally generated frequency-shifted carrier from the synthesizer section 50 to
- 15 produce an intermediate frequency (IF) signal having a constant difference in frequency between the received signal and the locally generated signal.

In the IF filter section 20, the IF signal is provided to one of two bandpass filters depending on whether the received RF signal is a synchronous narrowband signal or an asynchronous wideband signal. The

- 20 IF filter section 20 includes a first bandpass filter 24 and a second bandpass filter 26 coupled in parallel between two switch stages 22, 28. The first bandpass filter 24 is for reception of wideband frequency-hopping spread spectrum signals, and the second bandpass filter 26 is for reception of narrowband signals. It should be appreciated that the bandwidth of the first
- 25 bandpass filter 24 represents that of a single frequency channel within the wideband frequency range over which frequency-hopping spread spectrum signals are transmitted, and not the bandwidth of the entire wideband frequency range. The switches 22, 28 are controlled by the digital control section 40 (described below), so that the first bandpass filter 24 is enabled
- 30 during asynchronous communication periods and the second bandpass filter



26 is enabled during synchronous communication periods.

Following the IF filter section 20, the filtered IF signal is provided to the demodulation section 30 which recovers the information contained within the original RF signal. The IF demodulation section 30 5 comprises an IF amplifier 32, an IF limiter 34, and a demodulator 36. The IF amplifier 32 and IF limiter 34 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. The gain of these stages may be set at different levels depending on whether the received RF signal is a wideband or narrowband signal. The demodulator 36 is adapted to recover 10 both frequency shift key (FSK) modulated signals from a frequency-hopping spread spectrum wideband signal, and frequency modulation (FM) from a synchronous narrowband signal. A single demodulator circuit could be utilized to demodulate both wideband and narrowband signals either by dynamically changing the circuit's quality factor Q, or by accepting a 15 decreased signal to noise ration for the narrowband signal. Alternatively, separate demodulator circuits could be used for the narrowband and wideband signals that are selectively switched in the same manner as the IF filter section 20.

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- The digital control section 40 provides the main signal processing hardware for the radio receiver/transmitter, and is responsible for controlling the transmit/receive switching, bandwidth selection, frequency synthesizer programming, clock recovery and data handling/generation. The digital control section 40 comprises a microcontroller 42 and a host interface 44. The microcontroller 42 may be provided by an application specific integrated circuit (ASIC), a microprocessor, a digital signal processor or other such circuit element. The host interface 44 provides for communication between the receiver/transmitter portion of the computing device and a host portion that processes and utilizes the information that has been communicated. As known in the art, the microcontroller 42 performs its
- 30 functions by executing a series of commands or instructions, also referred to

as a software program, that may be disposed on a permanent storage medium, such as a semiconductor read only memory (ROM) device or a magnetic medium.

The synthesizer section 50 communicates with the digital control section 40 to control the timing and selection of carrier frequencies. The synthesizer section 50 comprises a digital-to-analog (D/A) converter 52, a frequency synthesizer 54, a transmit loop filter 55, a receive loop filter 56, a transmit local oscillator 57, a receive local oscillator 58 and a voltage controlled oscillator 46. The frequency synthesizer 54 is programmed by a plurality of digital data signals from the microcontroller 42, and provides a D.C. voltage signal to the transmit and receive local oscillators 57, 58 that corresponds to a selected frequency. The transmit and receive loop filters 55, 56 comprise low pass filters that remove high frequency noise from the D.C. voltage signals that occurs in the feedback loop. The transmit and

15 receive local oscillators 57, 58 further comprise voltage controlled oscillator (VCO) circuits that receive the D.C. voltage signals, and generate corresponding oscillating signals at the selected frequency. The oscillating signals from the transmit and receive local oscillators 57, 58 are also provided back to the frequency synthesizer 54 as feedback signals, as

20 known in the art.

The oscillating signal from the receive local oscillator 58 is provided to the mixer 18 of the receive section 10 as the frequency-shifted carrier. Digital data from the microcontroller 42 is converted to an analog signal by the D/A converter 52, which is provided to the transmit local oscillator 57 to control the waveshape (i.e., amplitude and frequency) of the oscillating signal. By changing the frequency of the oscillating signal, multiple data rates can be supported. Also, by changing the amplitude of the oscillating signal, the frequency deviation of the transmitted carrier can be changed, allowing modulation of both wideband and narrowband data. The

30 modulated oscillating signal from the transmit local oscillator 57 passes

linear counterparts.

through a VCO buffer amplifier 59, and is provided to the transmit section 60.

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The transmit section 60 essentially reverses the process performed by the receive section 10. The data-modulated, frequency-shifted carrier passes through a bandpass filter 64 to remove any VCO harmonics generated by the synthesizer section 50. Thereafter, the data-modulated, frequency-shifted carrier is provided to a pre-driver 66 and a power amplifier 67 that amplify the carrier signal to a desired output level, and a low pass filter 68 for noise attenuation. Lastly, the amplified carrier signal is provided to the antenna 12 for RF transmission. It should be appreciated that the predriver 66 and amplifier 67 stages need not be linear amplifiers due to the constant envelope modulation, thereby making them more efficient than

The transmit section 60 further includes a D/A converter 62 that modifies the characteristics of the pre-driver 66 and power amplifier 67. 15 The microcontroller 42 calculates a digital offset value for the transmit section 60 based on the frequency generated by the synthesizer section 50, in order to maintain an optimum power output level of the radio receiver/transmitter for each of the shifted frequencies across the wideband frequency range. The digital offset value is provided to the D/A converter, 20 which provides an analog control signal to bias the pre-driver 66 and power amplifier 67. An example of an RF transmitter that maintains power output level linearity across a range of transmitting frequencies is disclosed in Serial Number 08/823,611 for ADAPTIVE POWER LEVELING OF AN RF TRANSCEIVER UTILIZING INFORMATION STORED IN NON-VOLATILE

25 MEMORY, filed March 25, 1997, by the assignee herein.

Fig. 3 illustrates an alternative embodiment of the multi-mode RF receiver/transmitter in the multi-mode computing device 6 which is adapted to communicate both narrowband RF signals and wideband direct sequence spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 3 includes an RF receive section 10, a

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demodulation section 70, a digital control section 40, a synthesizer section 80 and a transmit section 60. The RF receive section 10, digital control section 40 and transmit section 60 of Fig. 3 are substantially the same as the corresponding sections of the multi-mode RF receiver/transmitter of Fig. 2, and further description of these sections is therefore omitted.

Following the RF receive section 10, the IF signal is provided to the demodulation section 70 which recovers the information contained within the original RF signal. The demodulation section 70 comprises a bandpass filter 72, an IF amplifier 73, an IF limiter 74, a demodulator 76, and 10 a narrowband and a wideband data low pass filter 77, 78. The bandpass filter 72 has a bandwidth sufficient for reception of wideband direct sequence spread spectrum signals. The IF amplifier 73 and IF limiter 74 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. As in the previous embodiment, the gain of these stages may be set at different levels depending on whether the received RF signal is a

15 wideband or narrowband signal.

The demodulator 76 is adapted to recover binary phase shift key (BPSK) modulated signals from a direct sequence spread spectrum wideband signal and frequency modulation (FM) from a synchronous 20 narrowband signal. The demodulator 76 may further comprise a conventional QPSK demodulator circuit which provides an in phase (I) output and a quadrature (Q) output. By modulating the direct sequence spread spectrum data using BPSK modulation, the Q channel output provides the demodulated BPSK data through the associated wideband filter 78 and the I 25 channel output provides the demodulated FM signal through the associated

narrowband filter 77. This way, a single demodulator circuit could be utilized to demodulate both wideband and narrowband signals without having to switch filters as in the previous embodiment.

The synthesizer section 80 communicates with the digital 30 control section 40 to control the timing and selection of carrier frequencies.

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On the receive side, the synthesizer section 80 comprises a frequency synthesizer 82, a receive loop filter 83 and a receive local oscillator 84. As in the previous embodiment, the frequency synthesizer 82 is programmed by a plurality of digital data signals from the microcontroller 42, and provides a D.C. voltage signal to the receive local oscillator 84 that corresponds to a selected frequency. The oscillating signal from the receive local oscillator 84

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is provided back to the frequency synthesizer 82 as a feedback signal, and the receive loop filter 87 comprises a low pass filter that removes high frequency noise from the D.C. voltage signal that occurs in the feedback 10 loop.

On the transmit side, the synthesizer section further comprises a transmit loop filter 87, a transmit local oscillator 89, an I-channel data low pass filter 85, a Q-channel data low pass filter 88, an I-channel mixer 86, a Q-channel mixer 91, a phase shift circuit 92 and a summing circuit 94. The frequency synthesizer 82 provides a D.C. voltage signal to the transmit local oscillator 89 to provide an oscillating signal, which is in turn provided back to the frequency synthesizer as a feedback signal. The oscillating signal from the transmit local oscillator 89 is provided to the phase shift circuit 92, which provides the oscillating signal to the I-channel mixer 86 and shifts the phase of the oscillating signal by 90° and provides the phase-shifted oscillating

- signal to the Q-channel mixer 91. I-channel data (i.e., narrowband data) and Q-channel data (i.e., wideband data) generated by the digital control section 40 is provided through the respective filters 85, 88 to the respective mixers 86, 91. The Q-channel data low pass filter 88 has a wider bandwidth than
- 25 the I-channel data low pass filter 85 with a frequency cutoff consistent with the required direct sequence spread spectrum data format. The mixers 86, 91 modulate the I and Q-channel data with the respective oscillating signals, and these modulated data signals are summed by the summing device 94. Lastly, the modulated oscillating signal from the summing device 94 passes
- 30 through a VCO buffer amplifier 96, and is provided to the transmit section 60.



In the wideband mode (i.e., direct sequence spread spectrum communication), the receiver/transmitter operates as an ordinary direct sequence spread spectrum radio. The digital control section 40 controls the transmit and receive operation, using data from the wideband filter 78, programs the synthesizer 82 for the desired channel frequency, and outputs the proper spreading sequence data to the synthesizer section 80 for transmit on the Q-channel. In the narrowband mode, the operation is the

same, except that at the time interval defined by the beacon signal the

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- receiver/transmitter is placed in the narrowband mode. The digital control section 40 selects the data from the narrowband filter 77 for reception of narrowband data. When transmitting, the digital control section 40 outputs data of a lower data rate onto the l-channel only, creating a signal of narrower bandwidth than the direct sequence spread spectrum signal.
- Having thus described a preferred embodiment of a multi-mode radio frequency network, it should be apparent to those skilled in the art that certain advantages have been achieved. It should also be appreciated that various modifications, adaptations, and alternative embodiments thereof may be made within the scope and spirit of the present invention. The invention is solely defined by the following claims.



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## <u>CLAIMS</u>

### What is Claimed is:

1. A multi-mode radio frequency network, comprising:

at least one first type of computing device having a first radio receiver/transmitter adapted for communication over a narrowband frequency range;

at least one second type of computing device having a second 10 radio receiver/transmitter adapted for communication over both said narrowband frequency range and a wideband frequency range; and

a network access controller adapted for communication with said at least one first type of computing device and said at least one second type of computing device over respective ones of said narrowband and said 15 wideband frequency ranges, said network access controller providing synchronization signals for coordinating timing of communications over said narrowband and said wideband frequency ranges.

The multi-mode radio frequency network of Claim 1,
 wherein said second radio receiver/transmitter provides spread spectrum communication signals over said wideband frequency range.

 The multi-mode radio frequency network of Claim 2, wherein said spread spectrum communication signals further comprise
 frequency-hopping spread spectrum signals.

4. The multi-mode radio frequency network of Claim 2, wherein said spread spectrum communication signals further comprise direct sequence spread spectrum signals.

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5. The multi-mode radio frequency network of Claim 1, wherein said wideband frequency range communications occur in a substantially asynchronous manner.

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- 5 6. The multi-mode radio frequency network of Claim 1, wherein said narrowband frequency range communications occur in a substantially synchronous manner.
- The multi-mode radio frequency network of Claim 1,
   further comprising at least one data storage/retrieval device adapted for communication with each of said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.
- 15 8. The multi-mode radio frequency network of Claim 1, further comprising at least one data collection device adapted for communication with said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.

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9. The multi-mode radio frequency network of Claim 1, wherein said synchronization signals further comprise periodic beacon signals.

25 10. The multi-mode radio frequency network of Claim 9, wherein said periodic beacon signals define respective discrete time periods which further include a synchronous portion and an asynchronous portion.

11. The multi-mode radio frequency network of Claim 1, 30 wherein said second radio receiver/transmitter further comprises an



intermediate frequency portion having a wideband filter, a narrowband filter, and means for switching between said wideband and narrowband filters based upon said synchronization signals.

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5 12. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

a receive section adapted to receive radio frequency (RF) signals over said wideband and said narrowband frequency ranges and having a dowconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

a demodulation section adapted to receive said filtered IF 15 signals and recover wideband and narrowband receive signals therefrom;

a synthesizer section adapted to generate said frequencyshifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals; and

20 a transmit section adapted to transmit said modulated transmit signals.

13. The multi-mode radio frequency network of Claim 12, further comprising a control section adapted to select between said
 wideband bandpass filter and said narrowband bandpass filter.

14. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

a receive section adapted to receive radio frequency (RF) 30 signals and having a downconversion mixer to mix the RF signals with a

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carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom;

a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

a transmit section adapted to transmit said modulated transmit signals; and

10 a control section adapted to control switching between wideband and narrowband modes of said second radio receiver/transmitter, wherein said in-phase and quadrature receive signals comprise wideband data in said wideband mode of said second radio receiver/transmitter, and said in-phase receive signals comprising narrowband data in said
15 narrowband mode of said second radio receiver/transmitter.

The multi-mode radio frequency network of Claim 14, wherein said demodulation section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and quadrature phase shift key (QPSK) modulation from said wideband data.

16. The multi-mode radio frequency network of Claim 14, wherein said wideband data further comprises direct sequence spread spectrum data.

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17. An apparatus for communicating in both narrowband and wideband frequency ranges comprising:

a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to

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intermediate frequency (IF) signals;

an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

a demodulation section adapted to receive said filtered IF signals and recover wideband and narrowband receive signals therefrom;

a synthesizer section adapted to generate said frequencyshifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals; and

a transmit section adapted to transmit said modulated transmit signals.

18. The apparatus of Claim 17, wherein said demodulation
 15 section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband signals and frequency shift key (FSK) modulation from said wideband signals.

19. An apparatus for communicating in both narrowband20 and wideband frequency ranges comprising:

a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

25 a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom; a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

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a transmit section adapted to transmit said modulated transmit signals; and

a control section adapted to control switching between wideband and narrowband modes of said apparatus, wherein said in-phase 5 and quadrature receive signals comprise wideband data in said wideband mode of the apparatus, and said in-phase receive signals comprising narrowband data in said narrowband mode of the apparatus.

20. The apparatus of Claim 19, wherein said demodulation
 10 section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and quadrature phase shift key (QPSK) modulation from said wideband data.

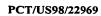
21. The apparatus of Claim 19, wherein said wideband data15 further comprises direct sequence spread spectrum data.

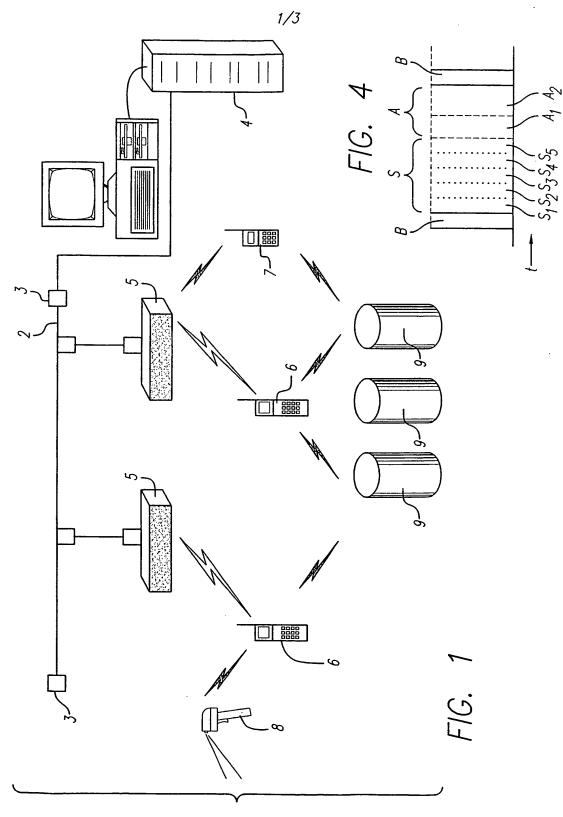


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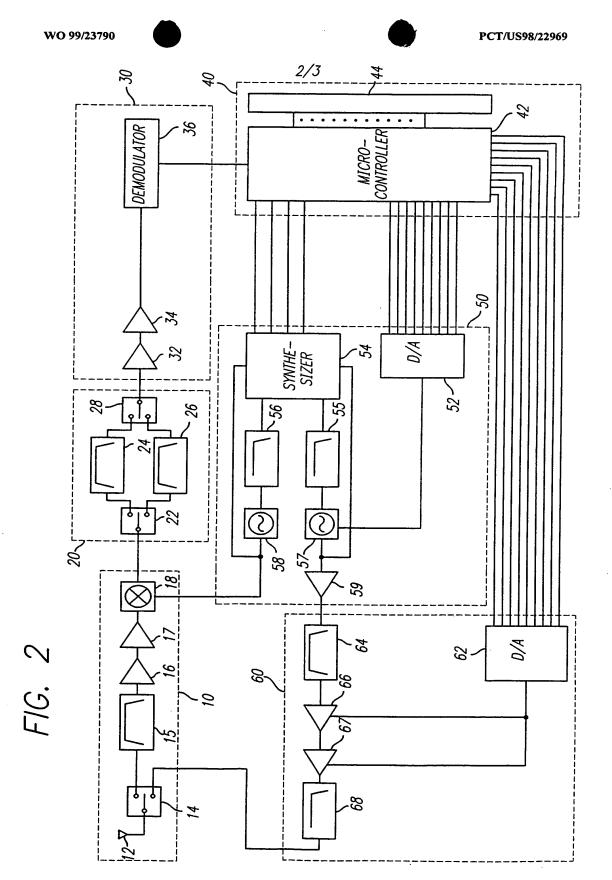






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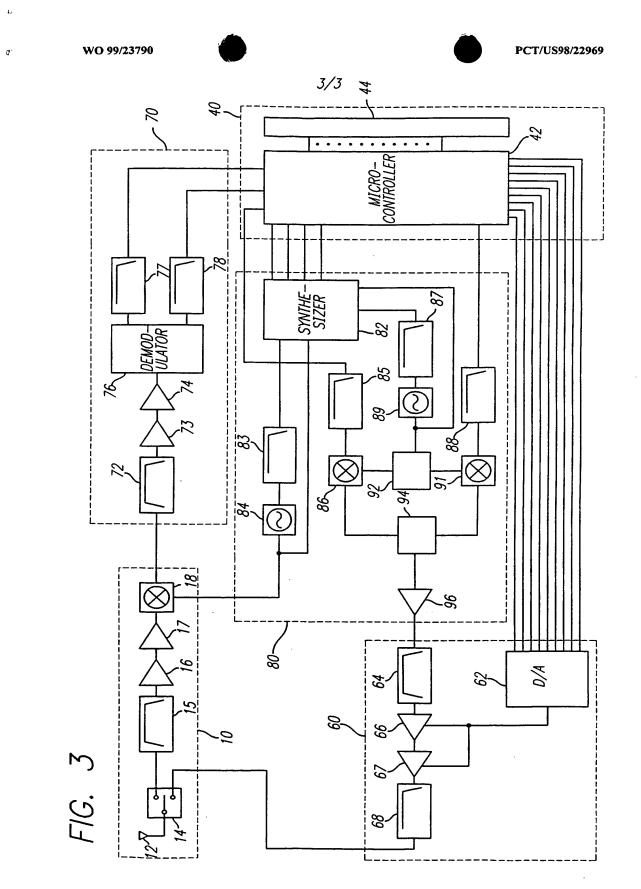
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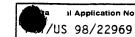
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Ex. 1002 / Page 75 of 293 ERICSSON v. UNILOC INTERNATIONAL SEARCH REPORT



3

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 H04L12/28 H04B1/69

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT
Category - Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No.

Α US 5 291 516 A (DIXON ROBERT C ET AL) 1,4, 1 March 1994 11-14, 16,17, 19,21 see column 1, line 60 - column 3, line 30 see column 4, line 57 - column 8, line 14 see claims 1,4-6 Α WO 97 32403 A (ERICSSON GE MOBILE INC) 1,11-13 4 September 1997 see abstract see page 2, line 5 - page 5, line 14 see page 6, line 23 - page 7, line 2 see page 11, line 22 - page 12, line 13 see claims 1,10 -/--X Further documents are listed in the continuation of box C. Y Patent family members are listed in annex.

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Date of the actual completion of the international search 19 February 1999	Date of mailing of the international search report 02/03/1999			
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040. Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Authorized officer Karavassilis, N			

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	INTERNATION. SEARCH REPORT	PCT 98/22969
C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 022 046 A (MORROW JR ROBERT K) 4 June 1991 see abstract see column 5, line 30 - column 6, line 9 see claim 1	1,4,17, 19
A	SKELLERN D J ET AL: "A HIGH-SPEED WIRELESS LAN" IEEE MICRO, vol. 17, no. 1, January 1997, pages 40-47, XP000642695 see page 43, left-hand column, line 1 - line 31; figure 4	14,17,19
Α	BANTZ D F ET AL: "WIRELESS LAN DESIGN ALTERNATIVES" IEEE NETWORK: THE MAGAZINE OF COMPUTER COMMUNICATIONS, vol. 8, no. 2, 1 March 1994, pages 43-53, XP000515079 see page 46, left-hand column, line 35 - page 47, left-hand column, line 46 	2-4,16, 21

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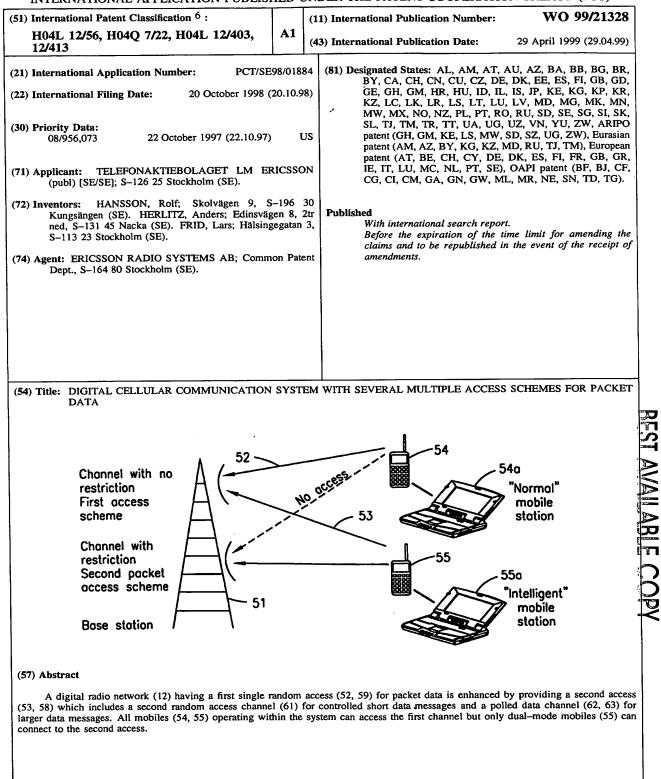
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### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)



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# DIGITAL CELLULAR COMMUNICATION SYSTEM WITH SEVERAL MULTIPLE ACCESS SCHEMES FOR PACKET DATA

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#### **CROSS REFERENCE TO RELATED APPLICATIONS**

This application is related to U.S. Patent Application Serial No.08/955,664, filed on even date herewith in the name of the same inventors and entitled "Access Scheme for Packet Data in a Digital Cellular Communications System" (attorney's Docket No. 34646/00270) which is hereby incorporated by reference herein.

#### 10 BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to radio telecommunications and, more particularly, to a packet data telecommunication system for a cellular radio network. Description of the Related Art

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In radio telecommunications, such as cellular radio systems, digital modulation schemes, such as time division multiple access (TDMA), are used to transmit both control information and voice traffic over the radio network. In addition, in recent years the transmission of data between computers and other data processing devices over the radio network is increasingly common. One technique which is used for the handling of data traffic over the radio network is circuit switched data services in which a dedicated circuit between a transmitting and a receiving station conveys the data from one to the other. An attractive alternative to such circuit switched data services for operators of mobile telephony networks are packet data services. The use of packet data switching enables several mobile users to share the available channel capacity within the system. This technique is well suited to modern data communication applications since data transmissions are usually of a bursty nature and thus do not continuously require a dedicated communications circuit.

A number of different channel access schemes are commonly used in radio communication systems. Each such access scheme has distinct advantages and disadvantages for various applications. For example, fixed assignment access schemes within a radio telecommunications system are used for circuit switched services such as conventional voice telephony and fax. Although not yet widely used in cellular systems, polling schemes may also be employed to enhance the frequency efficiency of a radio system. The most common scheme used for multiple access in a radio system are random access schemes, conventionally employed in many cellular radio telecommunications systems.

In conventional mobile packet radio communication systems, a base station (BS) communicates with a plurality of mobile stations (MSs) over one or more shared packet radio channels. Downlink packet traffic is scheduled by the base station, so that downlink contention between mobile stations is avoided. However, in order for the mobile stations to gain access to the base station on the uplink, they must compete using a random multiple access protocol which inevitably leads to contention and multiple collisions between the different mobile stations which are competing with one another for access on the uplink. Referring to Fig. 1, there is shown a simplified block diagram of a radio communications system which includes facilities for transferring packet data to and from a mobile station. The system 10 includes a communication network 12 which includes a base station/transceiver section 14. The network 12 can be a public land mobile network (PLMN) such as the Personal (formerly, Pacific) Digital Cellular (PDC) system, a digital TDMA cellular radio network.

Network 12 communicates with a mobile station 16 which has the capacity of sending and receiving packet data, via a base station 14 using existing air interface and switching communication protocols. The network 12 also communicates with other mobile stations 20 via a second base station 18 in the network 12, fixed telephones 22 in a public switch telephone network (PSTN), and terminal work stations 24 and 26. As shown, the communication between computer terminal 24 and network 12 are made over a wired line connection. The communication between computer terminal 26 and the network 12 are via a wireless radio connection through base station 14. Consequently, communications to and from phone 22 and computer terminals 24 and 26 and the network 12 are work 12 are work 12 and 16 by means of a network 12.

Referring next to Fig. 2, there is shown the channel structure of an illustrative air interface in a cellular radio system of the type illustrated in Fig. 1 which

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accommodates random access packet data channel. The channel structure includes a broadcast channel (BCCH) which is used by the network to broadcast various information to mobile stations such as channel allocation and system information. A set of common control channels (CCCH), including a paging channel (PCH) and a single cell signaling channel (SCCH) are used for transmitting signal information. The PCH is used to page a mobile station while the SCCH is used for transmitting information between the network and the mobile units, for example, requests by a mobile seeking access to the network. The uplink channel of the SCCH is of the random access type. A user packet channel (UPCH) is a channel which is available to multiple users for the transmission of user packet data. The uplink channel of the UPCH is also a random access type.

The appended control channels (ACCH) comprise an auxiliary channel appended to the traffic channel (TCH) for transmitting signal information between the network and the mobile station. The ACCH is further divided into the slow appended control channel (SACCH) which comprises a data channel carrying continuous system administration information such as measurement reports from each mobile of received signal strength measurements obtained for both its presently serving cell and adjacent cells. The fast appended control channel (FACCH) is also appended to a TCH and is a channel which temporarily steals the TCH to perform high speed transmissions. A housekeeping channel (RCH) sometimes replaces the SACCH and is used for transmitting maintenance information on the radio channel. Finally, the traffic channel (TCH) is used for transferring encoded speech and circuit switched user data. It is often further divided into full rate TCH and a half rate TCH for encoded speech.

It is conventional today to use the random access method for uplinking data transfer from a mobile station on the user packet channel (UPCH). The channel structure of the cell is communicated to the mobile users within that cell through the information transmitted on the broadcast channel (BCCH). For example, in the PDC system there is broadcast on the BCCH (and on other channels from time to time) a broadcast information message which contains numerous mandatory and optional parameters, including packet channel structure information and channel restriction 30 information. The latter comprises one octet of data of which a small number of the

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possible 256 bit combinations are used to indicate to the mobile whether or not particular channels are restricted from access by those mobiles.

In accordance with conventional random access procedures, as soon as the user packet data channel (UPCH) is idle, all mobile users which want to send user data packets to the network will simultaneously compete for the use of that channel. If there is only one access during this competition phase, that user will get hold of the channel and remain its user until the complete data packet has been sent. During the time when the user utilizes the channel, no other mobile seeking to transmit a data packet will try to access it. However, if during the competition phase there is more than one user which simultaneously accesses the channel, a collision occurs and a maximum of one, or often none of those competing users, will get data through the channel. In such cases, each failing user must wait a random time period before it can make a new attempt to seize the channel.

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The use of shared random access data channels in conventional packet services within radio networks has numerous disadvantages. For example, during high traffic loads and long packet messages, the probability of a mobile station being able to send its data packets is dramatically reduced and a mobile must wait an inordinately long period of time for the channel to become free so that it can even attempt to access it.

As illustrated in Fig. 3, each of the two mobile stations 31 and 32, equipped respectively for handling packet data from two portable computers 31a and 32a receive 20 information broadcast on the downlink of the air interface, 33 and 34 respectively. Each mobile 31 and 32 receives the same information 35 broadcast on the BCCH. If both of the mobile stations 31 and 32 seek to send packet data to the network, they both listen for information on the BCCH indicating the availability of a random access user data channel (UPCH). An algorithm which uses the mobile's own unique identity 25 (MSI) as one input parameter attempts to spread the mobiles evenly over the available channels. We assume each of the two mobiles 31 and 32 find the same UPCH 38 when applying the algorithm. If their respective access data packets 36 and 37 do not collide and obliterate one another when received at the base station, the packets 36 and 37 are successfully delivered to the network. If, instead, two user packets 36 and 37 30 collide, then its likely that neither of the two mobile stations 31 or 32 succeeds to access the channel and both must wait a random period of time before it make a new

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Ex. 1002 / Page 86 of 293 ERICSSON v. UNILOC attempt to access the channel. The random access control process in a digital mobile radio communication system of the PDC type illustrated in Figs. 1 and 3, is shown in Fig. 5.

Once a mobile successfully has started sending a packet it will continue to complete that packet. Each packet transfer is done under competition with other mobiles. Fig. 4 illustrates the layer 1 view of an uplink access scheme if we assume MS1 "has" the channel.

It is obvious that the more MSs that the algorithm allots to the same UPCH, the higher the risk of colliding packets.

In Fig. 5, the downlink user packet channel UPCH, and signaling channel SCCH, include a collision control field 41. This field is labeled E and, in this example, is 22 bits in length. This information is used by the mobile station during random access. Processing of the collision control bit field E at the base station comprises the processing of several sub-fields including the setting of an I/B field 42 to the bits "111" if the uplink UPCH is idle and to "000" if the uplink UPCH is busy. An R/N field 43 is set by the base station to "111" if valid information was received on the UPCH channel in the previous slot and to "000" if no valid information was received on the UPCH channel in the previous slot. The PE field 44 is set to all zeros if the channel is idle or no message was received. If a message is received on the UPCH channel, the detected and checked (CRC) (16 bits) from the UPCH message received from the mobile station are used as a partial echo in the PE field 44 in the downlink transmission.

With respect to processing of the packet data information in the mobile station, when the mobile station has data to send, it sequentially checks UPCH channels for an idle condition and starts the transmission. Next it looks for the R/N and PE fields to confirm that the first packet unit was correctly received by the base station. If this did not occur, the mobile station will, after a random delay, look again for an idle UPCH channel and try to retransmit its packet.

Referring next to Fig. 6, an example of random access control between two mobile stations in an illustrative digital cellular system of the PDC type is illustrated. In this example, two mobile stations MS1 and MS2 each have a packet to transmit to the network. The packets both consist of two bursts on the UPCH channel. The

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sequence of events corresponds to the sequence of circled numbers in Fig. 6. First, the uplink UPCH is idle, which is indicated by the E field on the downlink UPCH, and thus both mobiles start transmission of their packets. Second, the base station is able to receive the first packet burst from MS2 uncorrupted and responds accordingly by setting the following indications in the E field on the downlink: I/B field: B=B (busy); and R/N field: =R (burst received); and PE field: the CRC value from the burst received from MS2. Thirdly, MS2 detects that the PE field contains the CRC from the burst it has transmitted, which together with the appropriate B and R indications tell this mobile station to continue transmitting its packet. MS1, since it lost the contention with MS2, will inhibit all transmissions for a random time and then start searching for an indication that the channel has become idle again. In the fourth step, when mobile station MS2 has completed its transmission the channel will again be marked idle and, in this example, MS1 starts transmission of its packet. At 5, MS1 receives an indication that its first burst was correctly received.

From these illustrations, it can be seen how a mobile station, seeking random access within the system could encounter substantial difficulty in obtaining use of the packet data channel when either a great deal of packet traffic is present in the network or the packets being sent by the packet channel user are lengthy and therefore occupy the channel for extended periods of time.

Thus, there exists a need for an alternative solution within such radio telecommunication networks which enhance the packet data access by users within the system.

#### **BRIEF SUMMARY OF THE INVENTION**

In one aspect the present invention includes providing packet data access in a digital cellular communications network by incorporating a first random access scheme within the network in which packet data access for low traffic areas is provided only over a shared data channel and mobile stations seeking packet data access must compete with one another for usage of the channel. A second access scheme is provided within the network with which packet data access is provided over 30 a random access channel which is used only for control signaling and short data messages and at least one polling data channel is used for sending larger quantities of

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data in high traffic areas between a mobile station and the network. Messages are broadcast over the control channel (BCCH) which indicate the presence and operative parameters of the second access scheme to all mobile stations. Access to the second access scheme is inhibited to all mobile stations which are not capable of communicating over both the first and second access schemes.

In another aspect, the invention includes improving the performance of a digital mobile radio communications network that includes a first random access packet data scheme by allocating the nonexclusive use of the first random access packet data scheme for packet data transmission within the mobile communication network by all mobile stations having packet data capability within the system. A second packet data access scheme is provided within the network which includes a second user data and control channel accessible only by a selected class of dual mode mobile stations capable of packet data transmission within both the first and second access schemes of the network and at least one data channel for exclusive use of the dual mode mobiles for sending packet data information in both directions between the mobile station and the network. Both the first and second access schemes may be provided by reallocating the existing channel structure within the radio network. Dual mode mobile stations may select to use the second packet data access scheme to which they have exclusive access based upon, for example, the number of collisions which are currently occurring on the packet data access channel to which all mobiles have access.

In yet another aspect, the present invention provides a method of providing a second packet access scheme into a radio system which has an existing access scheme. The second access scheme is provided in a manner which does not affect the existing mobile stations which are only capable of operating within the existing system.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

For an understanding of the present invention and for further objects and advantages thereof, reference can now be had to the following description, taken in conjunction with the accompanying drawings in which:

FIG. 1 is a pictorial block diagram illustrating a prior art radio telecommunications system;

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FIG. 2 is a diagram illustrating the radio channel structure within the air interface of an illustrative prior cellular system having a packet data channel;

FIG. 3 is a diagram illustrating random access of a shared packet data channel in an illustrative prior art digital cellular system;

FIG. 4 is a pictorial diagram illustrating competition for a single random access packet data channel and possible collisions which may occur in a prior art system;

FIG. 5 is a diagram illustrating the downlink signaling format within a control channel of a prior digital cellular system illustrating collision control messaging;

FIG. 6 is a diagram illustrating random access for communication by two separate mobile stations, both seeking random packet access to a base station in a prior art digital cellular system;

FIG. 7 is a pictorial diagram illustrating the addition of a separate parallel packet data access scheme within a digital cellular system having a random access packet data channel in accordance with the present invention;

FIG. 8 is a pictorial diagram illustrating selective access of the separate parallel dedicated packet data channel in a system constructed in accordance with the teachings of the present invention;

FIG. 9 is a pictorial diagram illustrating access to a parallel packet data access scheme incorporated into a network in accordance with the present invention;

FIG. 10 is a signaling diagram illustrating large quantity data transfer initiated by a mobile station in a system constructed in accordance with the present invention;

FIG. 11 is a signaling diagram illustrating large quantities of data transfer initiated by the network when a mobile station is in active state in a system constructed in accordance with the present invention; and

FIG. 12 is a signaling diagram illustrating large quantities of data transfer initiated by the network when a mobile station is initially in a packet standby state in a system constructed in accordance with the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

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As discussed above, a shared random access packet data channel has distinct disadvantages in a digital radio telecommunication system when packet traffic is heavy and/or large quantities of data need to be sent. The system of the present

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invention provides an improved solution to this situation by incorporating a second multiple access dedicated packet data scheme which is used simultaneously with the existing shared random access scheme. The system also provides for a second class of mobile station which has the capability of accessing either the first access scheme or both the first access scheme and the dedicated packet access scheme.

As illustrated in Fig. 7, a base station 51 in a system constructed in accordance with the present invention, includes means for providing random access attempts 52 and 53 from a pair of mobile stations 54 and 55 each of which include, respectively, packet data access capabilities 54a and 55a. The BCCH contains an indication of which packet channels are available within the system. Access to the first access scheme by the respective mobile stations 54 and 55 is accomplished by random access contention by the two mobile stations in response to information on the downlink UPCH for the first access scheme. In addition, mobile station 55 includes the additional capability of using a second dedicated packet access scheme incorporated as part of the system of the present invention. The first access. The second access scheme, available to only mobile station 55, is dedicated packet traffic only. The mobile station 55 is, in effect, a dual mode mobile station having the capability of securing packet data access on either of the two schemes while the mobile station 54 only has the capability of access under the first random access scheme.

One exemplary technique for allowing access to the dedicated packet to only the limited group of dual mode mobiles capable of accessing both schemes is with the use of channel restriction parameters. Under present PDC standards, for example, a broadcast information message is sent on the downlink of the BCCH and on other channels from time to time. The broadcast information message contains numerous mandatory and optional parameters including packet channel structure information and channel restriction information. The channel restriction parameter combines one octet of data allowing for 256 combinations of bits. Presently, under current PDC standards, only 11 eight bit combinations are known to and recognizable by existing mobiles and used by the network to control access to the current random packet access scheme. Thus, bit combinations other than those 11 would be unrecognized and ignored by the older single mode mobiles which can only access the random packet

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access scheme. However, the new dual mode mobiles are programmed to recognize a group of new eight bit combinations in the channel restriction parameter (as well as the old combinations) and thereby know that the dedicated packet access channels are available to them.

the parallel multiple access schemes of the system of the present invention utilized by the dual mode mobile station 55. The first digital random access scheme 59 is essentially the same as that illustrated in the prior art system of Fig. 3 in which multiple mobile stations contend for random access to a packet data channel. The

second multiple access scheme 58 may consist, for example, of one channel of random access type 61 and a number of channels which use the polling principle of access. In one exemplary embodiment, the random access channel 61 of the second access scheme comprises an access and control channel (ACH) which is used for control signaling and short data signals. In this embodiment, polling data channels (PDCH) 62 and 63 are used for larger quantities of data to be sent between the mobile station

55 and the network. Only the presence and the operative parameters of the random access channel (ACH) are communicated to all the mobile stations 54 and 55 on the BCCH 35; however, the channel restriction information on the BCCH tells the single mode mobiles 54 that this ACH is not available to them. A dual mode mobile station

55 which has a relatively large quantity of data to send to the network utilizes the present system of the present invention by sending a data registration signal 64 on the

Referring next to Fig. 8, there is shown a pictorial diagram illustrating use of

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ACH. The network can then dynamically allocate one or more polling data channels PDCHs 62 and 63 depending upon the quantity of data to be sent. The network also allocates one or more PDCHs when there is data to be sent to a mobile station. For the polling data channels, PDCHs, either a standard polling scheme, such as in accordance with one of the options of the high level datalink control (HDLC), for example, or a messaging scheme of the type set forth below can be used. The burst structure of the type described above in connection with Figs. 5 and 6 contains an E field for the administration of collision control bits. In this exemplary embodiment of a possible second access scheme, however, the E field is replaced by flag patterns which are controlled by the network. Each pattern is associated with a specific mobile station and, when recognized, allows it to send its data to the network. The layer 1 uplink

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Ex. 1002 / Page 92 of 293 ERICSSON v. UNILOC scheme of this exemplary second access scheme is illustrated in Fig. 9. The use of polling flags on the PCDH uses the same burst format as, but not the same content as, the use of the E-bits in the random access channel.

the changes in the existing system necessary to implement the new system. For

One goal in a possible embodiment of a second access system is to minimize

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example, the existing downlink signaling format for the control channel is shown in Fig. 5 and discussed above. This format contains an E field which is 22 bits in length and which is used in the existing random access to control packet collisions. In this exemplary second access scheme, the technique of controlling access by the various mobiles seeking access to the PDCH is to replace the collision control bits of the E field with a six bit "flag pattern" repeated 3 times (18 bits) for redundancy. This will give a maximum number of 64 possible combinations. When a dual mode mobile registers with the system on the ACH to obtain access for sending data packets on the PDCH it is assigned one particular flag pattern. That mobile may only send data after it recognizes its own flag pattern as having been broadcast by the network over the PDCH on the downlink indicating that mobile's turn to send packets to the network on the uplink PDCH. For example, a mobile which has recognized its unique flag pattern in the E field may, 190 symbols after the interface between the syncword and color code broadcast by the network, start sending bursts and continue for up to 18 bursts in a single layer 2 message. Thereafter, the network resumes control and sends the flag pattern of a different mobile on the downlink PDCH in the E field giving a different mobile the opportunity to send packets. The allocation of a specific time to send packets to each mobile means that there will be no collisions on the PDCH. The present system allows the same burst format to be used on both the existing random access packet channel and the dedicated PDCH.

This technique of the first exemplary embodiment described above greatly enhances the efficiency of packet data access in a system over both the purely random access system as well as GSM-like systems which offer a mobile the opportunity to continue to maintain ownership of the packet channel (by continuing to request ownership after sending repeated units of packet data). This exemplary embodiment of the system of the present invention provides a much fairer allocation of packet data resources to all the mobiles in the system.

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As shown in Figs. 10-12 for one exemplary embodiment of a second access, there are a number of different traffic situations wherein the messaging scheme outline should be used. For example, in Fig. 10 there illustrates a situation in which transfer of a large quantity of data is to be initiated by the mobile station. First, the mobile station (MS) sends a data registration message 71 to the network (NW) on the random access channel (ACH). Thereafter, the network sends a channel allocation message 72 back to the mobile station which then enters the active mode and performs a first data transfer 73 from the mobile station to the network via the polling data channel (PCDH). Thereafter, multiple units, each comprising large quantities of data 74 may be transferred in both directions between the mobile station and the network.

Similarly, Fig. 11 illustrates large quantities of data transfer initiated by the network when the mobile station is in active state. In this instance, the network issues a channel allocation message 75 on the random access channel (ACH) to the mobile station which transmits a channel allocation acknowledgment (ACK) 76 on the packet data channel (PDCH) to the network. A first data transfer 77 takes place on the PDCH from the network to the mobile station and thereafter large quantities of data transfer 78 take place in both directions between the mobile station and the network on the PDCH.

Finally, Fig. 12 illustrates large quantities of data transfer initiated by the network when the mobile station is in a packet standby state wherein in order to save power, the mobile listens less frequently to messages sent by the network. In this instance, a page message 81 is sent on the random access (ACH channel) from the network to the mobile station and the mobile responds with a terminating condition report message 82 on the ACH. The network responds with a channel allocation 25 message 83 to the mobile station who forwards a channel allocation acknowledgment 84 on the PDCH back to the network. A first data transfer 85 takes place on the PDCH from the network to the mobile station followed by large quantity data transfers 86 in both directions between the mobile station and the network.

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A second exemplary embodiment of a second access scheme in the multiple access scheme of the present invention might be similar to the first. However, instead of sequentially and cyclically polling the various mobiles and sequentially and cyclically allocating access in accordance with unique flag patterns as described

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above, a mobile could be allocated access to the PDCH and allowed to send packets continuously as long as it had any to send. This would also prevent collisions on the PDCH and allow more efficient handling of large amounts of packet data in the network over the single random access system. It would not give each mobile as fair an access to the packet system as in the first exemplary embodiment.

The provision of two parallel access packet data channels, one accessible by all mobiles in the network and the other selectively accessible by dual mode mobiles, can be used in existing systems with random access packet data channels without effecting their existing functions. Minimal change to existing standards, such as PDC, are required to implement the system of the present invention.

The availability of access by mobile stations to one or the other of the dual access schemes of the present invention is controlled by a channel restriction information element, i.e. a parameter, included, for example, within the layer 3 downlink messages called "Broadcast Information," "Zone Information Notification," and "Packet System Information" within the PDC standard used herein as an exemplary embodiment of the invention. This parameter is presently used in PDC to prevent too many mobile stations from using one specific random access channel. In the present invention, this parameter is assigned a value which prevents mobile stations which do not have the capability to communicate on both the conventional random access scheme and the second access scheme from being able to access the latter. Only the "dual-mode" mobiles are allowed by the broadcast parameter to access both schemes. Dual mode mobile stations may be attracted to the second access scheme by selected values of the same parameters used to exclude non-dual mode mobiles from access or by the receipt of information over the BCCH channel which indicates, for example, the number of collisions which are currently occurring on the first random access scheme open to all mobiles.

The present invention enhances the facility of the existing radio telecommunications networks by adding increased capacity on several bases: (1) no collisions will occur on the second access scheme giving superior packet transfer behavior at medium and high traffic loads within the network; (2) the messages sent on the first access scheme will be very short (with no or only very limited data transmissions) which also decreases the probability of collision between dual mode

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mobiles accessing this alternative channel and is more suitable for low traffic areas; and (3) the second access scheme is dynamically allocated for usage by the mobile stations according to the amount of data to be transmitted.

The method and system of the present invention also allows a flexible assignment of available packet data resources for each cell. In low traffic cells a single random access packet data channel scheme may be preferred because of lower cost. However, for higher traffic densities, either in numbers of mobiles seeking access for packet data transmission or the larger quantities of data to be sent between mobile stations and the network, a base station which supports both access schemes is considerably more efficient. The provision of the additional access channels may be made by changing the set up parameters for the channels within a cell. The currently available channel structure within each cell is communicated to the mobile stations through normal broadcast procedures.

Although preferred embodiments of the method and apparatus of the present invention have been illustrated in the accompanying drawings and described in the foregoing description, it is understood that the invention is not limited to the embodiment(s) disclosed but it capable of numerous rearrangements, modifications and substitutions without departing from the spirit of the invention as set forth and defined in the following claims.

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#### WHAT IS CLAIMED IS:

1. A method for providing packet data access in a digital cellular communications network which includes:

providing a broadcast control channel (BCCH) over which the network broadcasts system control information to mobile stations in the network;

providing a first random access scheme within said network in which packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon channel availability messages broadcast to all mobile stations;

providing a second access scheme within said system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

broadcasting messages over said control channel (BCCH) which indicate the presence and operative parameters of the channels utilizing said second access scheme to all mobile stations; and

allowing access to the channels utilizing said second access scheme to only mobile stations which are capable of communicating over both the channels utilizing said first and second access schemes.

2. A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said access allowing step includes:

broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are not capable of communications over both of said first and second access schemes as indicating that all channels utilizing said second scheme are not available.

3. A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said access allowing step also includes:

30 broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are capable

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of communications over both of said first and second access schemes as indicating that said second scheme is available to them and attracts said mobile stations to the channels utilizing said second scheme rather than the channels utilizing said first scheme.

4. A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said first access scheme also includes:

broadcasting on the downlink of the channel messages which include a collision control bit field indicating whether the uplink of the shared channel is idle or busy.

5. A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said second access scheme includes a polling data channel and said method further includes the additional step of:

broadcasting on the polling access channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

6. A method for improving the performance of a digital mobile radio communications network that includes a first random access packet data scheme, comprising the steps of:

allocating the nonexclusive use of the channels utilizing said first random access packet data scheme for packet data transmission within said mobile communication network by all mobile stations having packet data capability within the system;

providing a second packet data access scheme within said network which includes the additional steps of:

providing a second random access user data and control channel accessible only by a selected class of dual mode mobile stations capable of packet data transmission within both said first and second access schemes of said network; and

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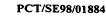
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allocating at least one polling data channel for exclusive use of said dual mode mobiles for sending packet data information in both directions between the mobile station and the network.

7. A method as set forth in Claim 6 wherein the channels of both said first and second access schemes are provided by reallocating the existing channel structure within said radio network.

8. A method as set forth in claim 6 wherein the channels of both said first and second access schemes employ the same burst format.

9. A method as set forth in claim 6 wherein said dual mode mobile stations select to use the second packet data access scheme to which they have exclusive access in response to the number of collisions which are currently occurring on the packet data access channel to which all mobiles have access.

10. A method for providing a second multiple access scheme in an existing digital cellular communications system without affecting mobiles designed according to the standards of said existing system in which said existing system includes a random packet access scheme wherein packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon channel availability messages broadcast to all mobile stations, said method comprising:

providing a second access scheme within said existing system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

broadcasting messages on the control channel (BCCH) of said existing system which indicate the presence and operative parameters of the channels, utilizing said second access scheme to all mobiles stations; and

allowing access to channels utilizing said second access scheme to only mobile stations which are capable of communicating over channels utilizing both said existing and said second access schemes.

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11. A method for providing a second multiple access scheme in an existing digital cellular communications system as set forth in claim 10 wherein said access allowing step includes:

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broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are designed in accordance with the standards of said existing system and not capable of communications over both of said existing and second access schemes as indicating that all channels utilizing said second scheme are not available.

12. A method for providing a second access scheme in an existing digital 10 cellular communications system as set forth in claim 10 wherein said access allowing step also includes:

broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are capable of communications over both of said existing and said access schemes as indicating that said second scheme is available to them and which attracts said mobile stations to channels utilizing said second scheme rather than channels utilizing said existing scheme.

13. A method for providing a second access scheme in an existing digital cellular communications system as set forth in claim 10 wherein said first access scheme also includes:

broadcasting on the downlink of the channel messages which include a collision control bit field indicating whether the uplink of the shared channel is idle or busy.

A method for providing a second access scheme in an existing digital
 cellular communications system as set forth in claim 10 wherein said second access
 scheme includes a polling data channel and said method further includes the additional
 step of:

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broadcasting on the polling data channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

15. A system for providing packet data access in a digital cellular communications network which includes:

means for providing a broadcast control channel (BCCH) over means for which the network broadcasts system control information to mobile station in the network;

means for providing a first random access scheme within said network in which packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon messages broadcast on the downlink of the channel utilizing said first random access scheme;

means for providing channels utilizing a second access scheme within said system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

means for broadcasting messages over said control channel (BCCH) which indicate the presence and operative parameters of the channels utilizing said second random access scheme to all mobile stations; and

means for allowing access to channels utilizing said second access scheme to only mobile stations which are capable of communicating over both said first and second access schemes.

16. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said access allowing means includes:

means for broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are not capable of communications over both of said first and second access schemes as indicating that the channels utilizing said second scheme are not available.

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17. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said access allowing means also includes:

means for broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are capable of communications over both of said first and second access schemes as indicating that the channels utilizing said second scheme is available to them and attracts said mobile stations to the channels utilizing said second scheme rather than the channels utilizing said first scheme.

18. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said first access scheme also includes:

means for broadcasting messages on the downlink of the channel utilizing said first access scheme which include a collision control bit field indicating whether the shared channel is idle or busy.

19. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said second access scheme includes a polling data channel and said system also includes:

means for broadcasting on the polling data channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

20. A system for improving the performance of a digital mobile radio communications network that includes a first random access packet data scheme, comprising:

means for allocating the nonexclusive use of channels utilizing said first random access packet data scheme for packet data transmission within said mobile communication network by all mobile stations having packet data capability within the system;

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means for providing channels utilizing a second packet data access scheme within said network which includes:

means for providing a second random access user data and control channel accessible only by a selected class of dual mode mobile stations capable of packet data transmission within both said first and second access schemes of said network; and

means for allocating at least one polling data channel for exclusive use of said dual mode mobiles for sending packet data information in both directions between the mobile station and the network.

21. A system as set forth in Claim 20 wherein the channels of both said first and second access schemes are provided by reallocating the existing channel structure within said radio network.

22. A system as set forth in claim 20 wherein the channels of both said first and second access schemes employ the same burst format.

23. A system as set forth in claim 24 wherein said dual mode mobile stations select to use the second packet data access scheme to which they have exclusive access in response to the number of collisions which are currently occurring on the packet data access channel to which all mobiles have access.

24. A system for providing a second multiple access scheme in an existing digital cellular communications system without affecting mobiles designed according to the standards of said existing system in which said existing system includes a random packet access scheme wherein packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon channel availability messages broadcast to all mobile stations, said system comprising:

means for providing a second access scheme within said existing system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

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means for broadcasting messages of the control channel (BCCH) of said existing system which indicate the presence and operative parameters of the channels, utilizing said second access scheme to all mobiles stations; and

means for allowing access to channels utilizing said second access scheme to only mobile stations which are capable of communicating over channels utilizing both said existing and said second access schemes.

25. A system for providing a second multiple access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said means for allowing access comprises:

means for broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are designed in accordance with the standards of said existing system and not capable of communications over both of said existing and second access schemes as indicating that all channels utilizing said second scheme are not available.

26. A system for providing a second access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said means for allowing access comprises:

means for broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are capable of communications over both of said existing and said access schemes as indicating that said second scheme is available to them and which attracts said mobile stations to channels utilizing said second scheme rather than channels utilizing said existing scheme.

27. A system for providing a second access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said first access scheme also includes:

means for broadcasting on the downlink of the channel messages which include a collision control bit field indicating whether the uplink of the shared channel is idle or busy.

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28. A system for providing a second access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said second access scheme includes a polling data channel and said system further comprises:

means for broadcasting on the polling data channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

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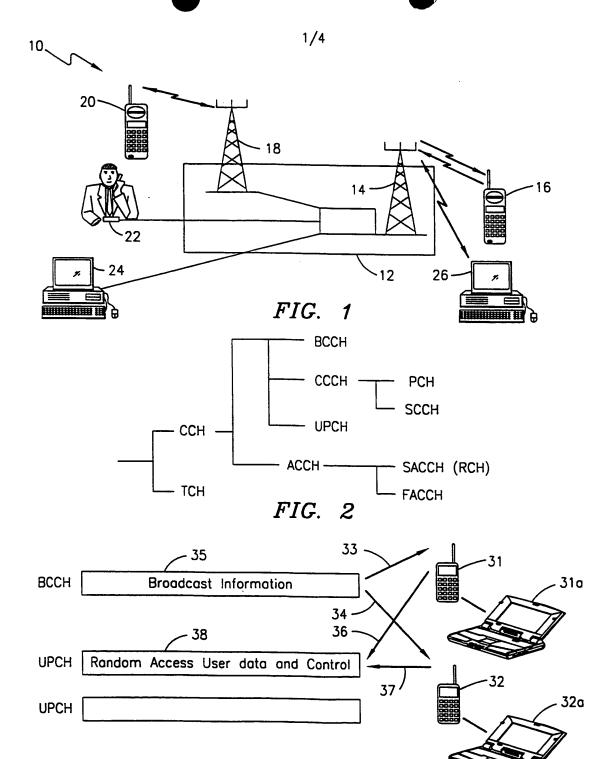
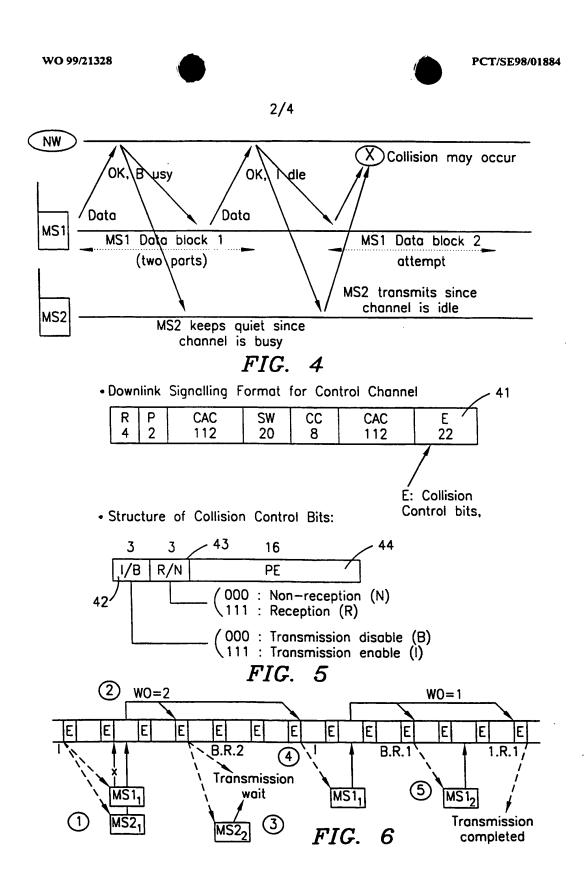


FIG. 3

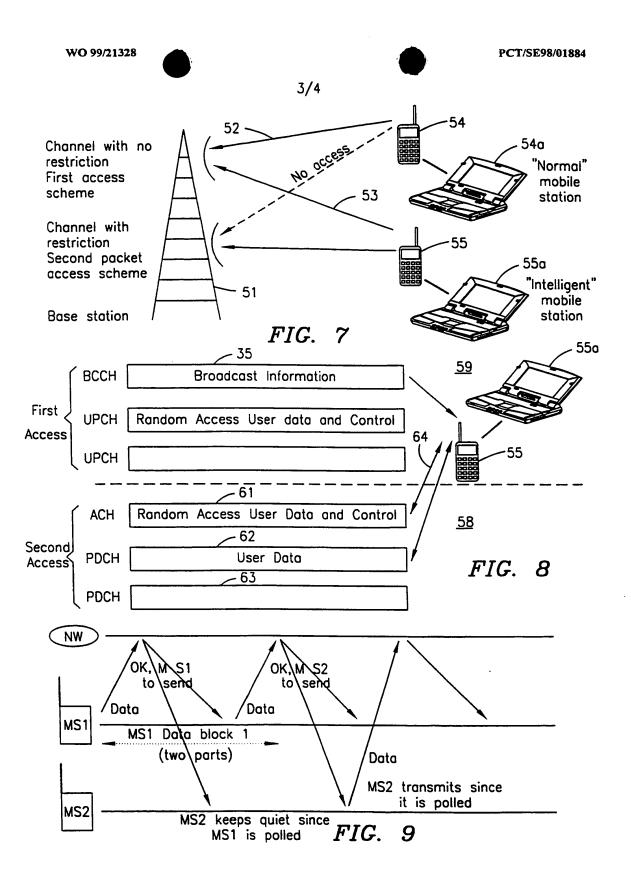




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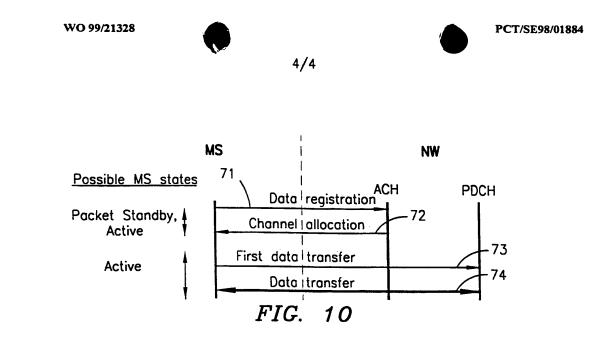
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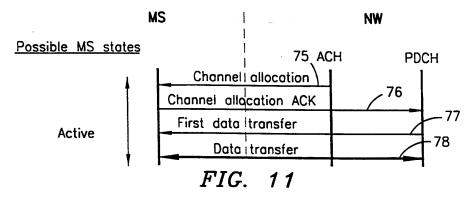


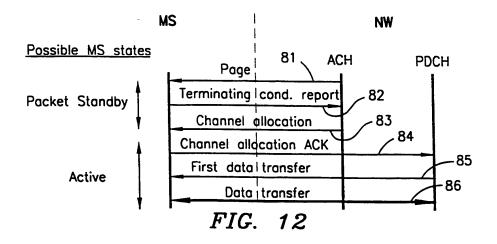
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C. DOCUM	ENTS CONSIDERED TO BE RELEVANT		
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Y	see claim 3		2,4,10,
A			12,16, 18,24,26 5-8,11, 13,14, 19-22, 25,27,28
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"A" docum consi	ategories of cited documents : lent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international	T later document published after the or priority date and not in conflic cited to understand the principle invention	t with the application but or theory underlying the
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	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk		

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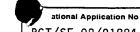
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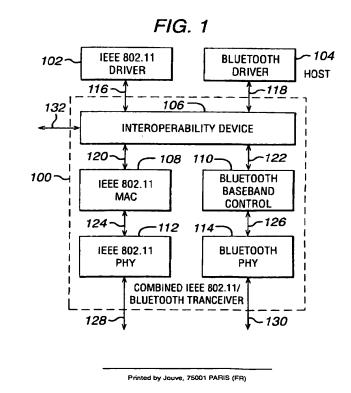
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(12)	EUROPEAN PATE	
(43) Date of p 25.07.20	bublication: 101 Bulletin 2001/30	(51) Int CI.7: H04L 12/28, H04L 12/56
(21) Applicati	on number: 00300397.7	
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(57) The key of the invention is to introduce an interoperability device in a communication system which integrates an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addi-

tion, the device preferably prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). Optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design.



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#### Description

[0001] The present invention relates to both Bluetooth and IEEE 802.11 radio communication systems.

- [0002] IEEE 802.11 is a standard for wireless systems that operate in the 2.4 2.5 GHz ISM (industrial, scientific and medical) band. This ISM band is available world-wide and allows unlicensed operation for spread spectrum systems. For both the US and Europe, the 2,400 - 2,483.5 MHz band has been allocated, while for some other countries, such as Japan, another part of the 2.4 - 2.5 GHz ISM band has been assigned. The 802.11 standard focuses on the MAC (medium access control) protocol and PHY (physical layer) protocol for access point (AP) based networks and ad-hoc networks.
- 10 [0003] In access point based networks, the stations within a group or cell can communicate only directly to the access point. This access point forwards messages to the destination station within the same cell or through a wired distribution system to another access point, from which such messages arrive finally at the destination station. In ad-hoc networks, the stations operate on a peer-to-peer level and there is no access point or (wired) distribution system.
- [0004] The 802.11 standard supports: DSSS (direct sequence spread spectrum) with differential encoded BPSK and QPSK; FHSS (frequency hopping spread spectrum) with GFSK (Gaussian FSK); and infrared with PPM (pulse position modulation). These three physical layer protocols (DSSS, FHSS and infrared) all provide bit rates of 2 and 1 Mbit/s. The 802.11 standard further includes extensions 11a and 11b. Extension 11b is for a high rate CCK (Complementary Code Keying) physical layer protocol, providing bit rates 11 and 5.5 Mbit/s as well as the basic DSSS bit rates of 2 and 1 Mbit/s within the same 2.4 2.5 GHz ISM band. Extension 11a is for a high bit rate OFDM (Orthogonal Frequency
- 20 Division Multiplexing) physical layer protocol standard providing bit rates in the range of 6 to 54 Mbit/s in the 5 GHz band. The 802.11 basic medium access behaviour allows interoperability between compatible physical layer protocols through the use of the CSMA/CA (carrier sense multiple access with a collision avoidance) protocol and a random back-off time following a busy medium condition. In addition all directed traffic uses immediate positive acknowledgement (ACK frame), where a retransmission is scheduled by the sender if no positive acknowledgement is received.
- 25 The 802.11 CSMA/CA protocol is designed to reduce the collision probability between multiple stations accessing the medium at the point in time where collisions are most likely occur. The highest probability of a collision occurs just after the medium becomes free, following a busy medium. This is because multiple stations would have been waiting for the medium to become available again. Therefore, a random back-off arrangement is used to resolve medium contention conflicts. In addition, the 802.11 MAC defines: special functional behaviour for fragmentation of packets; medium
- reservation via RTS/CTS (request-to-send/clear-to-send) polling interaction; and point co-ordination (for time-bounded services).
   [0005] The IEEE 802.11 MAC also defines Beacon frames, sent at a regular interval by an AP to allow STAs to

monitor the presence of the AP. IEEE 802.11 also defines a set of management frames including Probe Request frames which are sent by an STA, and are followed by Probe Response frames sent by the AP. Probe Request frames allow an STA to actively scan whether there is an AP operating on a certain channel frequency, and for the AP to show to

the STA what parameter settings this AP is using. [0006] Bluetooth technology allows for the replacement of the many proprietary cables that connect one device to another with one universal short-range radio link. For instance, Bluetooth radio technology built into both a cellular telephone and a laptop would replace the cumbersome cable used today to connect a laptop to a cellular telephone.

- Printers, personal digital assistant's (PDA's), desktops, computers, fax machines, keyboards, joysticks and virtually any other digital device can be part of the Bluetooth system. But beyond un-tethering devices by replacing the cables, Bluetooth radio technology provides a universal bridge to existing data networks, a peripheral interface, and a mechanism to form small private ad-hoc groupings of connected devices away from fixed network infrastructures. [0007] Designed to operate in a noisy radio frequency environment, the Bluetooth radio system uses a fast acknowl-
- 45 edgement and frequency hopping scheme to make the link robust. Bluetooth radio modules avoid interference from other signals by hopping to a new frequency after transmitting or receiving a packet. Compared with other systems operating in the same frequency band, the Bluetooth radio system typically hops faster and uses shorter packets. This makes the Bluetooth radio system more robust than other systems. Short packets and fast hopping also limit the impact of domestic and professional microwave ovens. Use of Forward Error Correction (FEC) limits the impact of random
- ⁵⁰ noise on long-distance links. The encoding is optimised for an uncoordinated environment. Bluetooth radios operate in the unlicensed ISM band at 2.4 GHz. A frequency hop transceiver is applied to combat interference and fading. A shaped, binary FM modulation is applied to minimise transceiver complexity. The gross data rate is 1Mb/s.
   [0008] A Time-Division Duplex scheme is used for full-duplex transmission. The Bluetooth baseband protocol is a
- combination of circuit and packet switching. Slots can be reserved for synchronous packets. Each packet is transmitted
   in a different hop frequency. A packet nominally covers a single slot, but can be extended to cover up to five slots. Bluetooth can support an asynchronous data channel, up to three simultaneous synchronous voice channels, or a channel which simultaneously supports asynchronous data and synchronous voice. Each voice channel supports 64 kb/s synchronous (voice) link. The asynchronous channel can support an asymmetric link of maximally 721 kb/s in

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either direction while permitting 57.6 kb/s in the return direction, or a 432.6 kb/s symmetric link. [0009] The IEEE 802.11 standard is well-established and local area networks are already implemented based on the standard, typically in office environments. As Bluetooth comes into the market, it is likely to be implemented in a domestic environment for communications within the home, for example. Thus someone with a lap-top computer may

⁵ wish to connect to a IEEE 802.11 wireless local area network in the workplace, and connect to a device, such as a mobile telephone, using a Bluetooth interface outside of the workplace.
[0010] It is therefore an object of the present invention to provide a means for enabling such a single device to interface via both an IEEE 802.11 radio system and a Bluetooth radio system.
[0011] According to one aspect of the present invention there is provided a device incorporating a first radio system

- 10 operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio system such that such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.
- ¹⁵ **[0012]** The device may be additionally controlled such that when one device is transmitting the other device cannot receive or transmit. The device may be additionally controlled such that when one device is receiving the other device cannot receive or transmit.

[0013] The control means may comprise a switching means, the switching means being adapted to switch on and off the first and second radio systems.

20 [0014] The control means may comprise a multiplexing means adapted to time multiplex transmissions from the first and second radio systems.

**[0015]** The control means may comprise a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

- 25 [0016] The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
- [0017] The control means may prevent transmission of IEEE 802.11 packets during a Bluetooth ACL packet trans ³⁰ mission. The control means may prevent transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.

[0018] The first and second radio systems may share a common physical layer.

[0019] According to another aspect of the present invention there is provided a method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range

of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.

**[0020]** The method may further comprise controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.

- [0021] The method may further comprise controlling the radio systems such that one device is receiving the other device cannot receive or transmit.
- [0022] The radio systems may be controlled by switching on and off the first and second radio systems.
- [0023] The radio systems may be controlled by time multiplexing transmissions from the first and second radio systems.

**[0024]** The method may comprise time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

[0025] The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.

**[0026]** The method may further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission. The method may further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.

### 55 [0027] The first and second radio systems may share a common physical layer.

[0028] Therefore if both an IEEE 802.11 radio transceiver and a Bluetooth radio transceiver reside in a single device (for instance in a laptop computer) they can transmit and receive in the same radio frequency simultaneously, even though both communication standards make use of the same 85 MHz wide ISM band, at around 2.4 GHz. This is

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achieved by a Bluetooth device in a computer being prevented from transmitting data whilst an 802.11 device is attempting to receive data and vice versa.

[0029] Even if the RF frequency that the receiving device is tuned to is different, but still in the same band that the transmitting device is using, the emitted power will jam the receiver, rendering it unable to receive the intended signal. [0030] The invention solves this problem by introducing an interoperability device, that is connected both to the

- ⁵ [0030] The invention solves this problem by introducing an interoperability device, that is connected both to the medium access controller of the IEEE 802.11 device and to the baseband controller of the Bluetooth device.
   [0031] The invention also proposes an alternative solution, called dual mode operation, where the IEEE 802.11 devices operate in a different radio frequency band than the Bluetooth system.
   [0032] The key of the invention to introduce an interoperability device in a communication system which integrates
- 10 an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addition, the device prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design. The invention also covers a dual band mode in which the IEE802.11
- 15 device and the Bluetooth device work in a different frequency band, and allows completely parallel operation of the two devices.

[0033] The invention will now be described by way of example with reference to the accompanying Figures, in which:

Figure 1 illustrates a high-level architecture for implementing the present invention;

Figure 2 illustrates the architecture of Figure 1 adapted to utilise radio re-use in accordance with a preferred embodiment of the invention;

Figure 3 illustrates a Bluetooth HV-*i* packet;

Figure 4 illustrates the time-slot allocation for transmission of three different HV-*i* schemes;

Figure 5 illustrates a forward and reverse packet structure for IEEE 802.11; and

³⁰ Figure 6 illustrates a possible single chip implementation of the present invention.

**[0034]** The invention serves to solve a fundamental problem associated with providing both a Bluetooth radio system and an IEEE 802.11 radio system in a single device. The fundamental problem that has been identified is that if either one of the radio systems is transmitting, there is need to prevent the other radio system from receiving or else the

35 receiving system will be drowned out by the transmitting system. As will be further discussed hereinbelow, further problems associated with the dual operation of a IEEE 802.11 and Bluetooth radio system are overcome by preferred embodiments of the present invention as discussed hereinbelow.

**[0035]** Referring to Figure 1, there is illustrated a high-level architecture of the combination of an IEEE 802.11 radio system transceiver and a Bluetooth radio system transceiver in a single system, in conjunction with an interoperability device in accordance with the present invention. It will be understood by one skilled in the art that only those elements necessary for the implementation of the present invention are shown in Figure 1.

[0036] The dual mode transceiver of Figure 1 comprises: an IEEE 802.11 physical layer functional element 112; an IEEE 802.11 MAC layer functional element 108; a Bluetooth physical layer functional element 114; a Bluetooth baseband control functional element 110; and an interoperability device 106, all of which comprise a combined IEEE 802.11 (Bluetooth baseband control functional element 110; and an interoperability device 106, all of which comprise a combined IEEE 802.11

⁴⁵ /Bluetooth transceiver generally designated by reference numeral 100. In addition an IEEE 802.11 driver 102 and a Bluetooth driver 104 are shown in Figure 1.
 [0037] The IEEE 802.11 driver 102 receives IEEE 802.11 packets from the dual mode transceiver 100 on lines 116, and transmits IEEE 802.11 packets to the dual mode transceiver 100 on lines 116. The Bluetooth driver 104 receives Bluetooth packets from the dual mode transmits Bluetooth packets to the dual mode transceiver 100 on lines 118, and transmits Bluetooth packets to the dual mode transceiver 100 on lines 118, and transmits Bluetooth packets to the dual mode transceiver 100 on lines 118, and transmits Bluetooth packets to the dual mode transceiver 100 on lines 118, and transmits Bluetooth packets to the dual mode

- 50 transceiver on lines 118. The operation of the respective drivers 102 and 104 is exactly the same as their operation would be if the device were provided with a single IEEE 802.11 or Bluetooth transceiver respectively. However their function may be extended in the sense that they pass on switching signal from application(s) to the interoperability device 106.
- [0038] The IEEE 802.11 MAC functional element 108 and the IEEE 802.11 physical functional element 112 form the IEEE 802.11 transceiver of the dual mode transceiver. The IEEE 802.11 MAC functional element 108 operates in accordance with the IEEE standard arrangement to control access to the IEEE 802.11 transmission medium by the device to which it is connected. The IEEE 802.11 MAC functional element 108 receives and transmits IEEE 802.11 packets to and from the interoperability device 106 via lines 120, and transmits and receives IEEE 802.11 packets to

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and from the IEEE 802.11 physical layer functional element 112 via lines 124. The IEEE 802.11 physical layer functional element 112 operates in accordance with the IEEE standard arrangement to perform modulation etc. of the IEEE 802.11 packets and transmit/receive the packets via lines 128, which interface the element to the device antenna.

- [0039] The Bluetooth baseband control functional element 110 and the Bluetooth physical layer functional element 5 114 form the Bluetooth transceiver of the dual mode transceiver. The Bluetooth baseband control functional element 110 operates in accordance with the Bluetooth standard arrangement to control access to the transmission medium by the device to which it is connected. The Bluetooth baseband control functional element 110 receives and transmits Bluetooth packets to and from the interoperability device 106 via lines 122, and transmits and receives Bluetooth packets to and from the Bluetooth physical layer functional element 114 via lines 126. The IEEE 802.11 physical layer
- 10 functional element 114 operates in accordance with the Bluetooth standard arrangement to perform modulation etc. of the Bluetooth packets and transmit/receive the packets via lines 130, which interface the element to the device antenna

[0040] The control of IEEE 802.11 packets and Bluetooth packets from the respective drivers 102 and 104 to the respective transceiver elements 108/112 and 110/114 is controlled in accordance with the invention by the interoperability device 106. As shown in Figure 1, the interoperability device is additionally connected to control circuitry within

the device via control signal lines 132. [0041] The dual mode transceiver 100 operates in accordance with the invention in one of two modes. A first mode is a switching mode and a second mode is a multiplexing mode, both of which modes are discussed in further detail herein below.

- 20 [0042] In the switching mode of operation, the interoperability device 106 deactivates the Bluetooth transceiver (110/114) whenever the IEEE 802.11 transceiver (108/112) is activated, and vice versa. The interoperability device 106 is adapted to make the decision as to which mode of operation to switch to or activate. There are several alternative criteria on which the interoperability device may make this decision.
- [0043] In a first alternative, the user of the device may decide which mode to switch to. For instance when the user 25 is at home and wants to connect to the Internet through a telephone, the user may decide to switch to Bluetooth mode and dial up to an Internet Service Provider (ISP). When the user is in the office, where an IEEE 802.11 wireless LAN is present, the IEEE 802.11 mode may be selected by the user, to enable the user to log on to the network. This mode requires the user to know which is the appropriate interface to use for the chosen application. The user command will most likely be provided through an interface, such as a screen and keypad, on the device itself, and notified to the
- 30 interoperability device 106 via a command signal from a central processor or controller in the device. In addition mixed environments, where both Bluetooth and IEEE 802.11 exist, may be present for example in an office environment. [0044] In an alternative, the notification of the mode of operation may be provided to the transceivers via control from the CPU through regular drivers, or through a dedicated interoperability device driver.
- [0045] In a second alternative, application software may control which mode the device switches to. For instance 35 when the user chooses to synchronise a Personal Digital Assistant (PDA), the data-synchronisation application in the PC may tell the interoperability device to switch to Bluetooth mode. When the user chooses to surf the World Wide Web (WWW), the browser application (or the network driver software supporting it) may tell the interoperability device to switch to IEEE 802.11 mode. Again, the interoperability device 106 may be instructed via a command signal from a central processor or controller.
- 40 [0046] In a third alternative, a protocol sniffer may determine whether it detects the presence of an IEEE 802.11 device or a Bluetooth device on the air interface, and set the mode of the interoperability device accordingly. When the protocol sniffer detects both Bluetooth and IEEE 802.11 devices, it may choose a mode that the user has indicated as preferential, or it may consult the user as in the first alternative. Alternatively, the protocol sniffer may let the application decide as in the second alternative.
- 45 [0047] Thus in the switching mode the interoperability device operates merely to deactivate, or switch off, one of the two transceivers within the dual mode transceiver. This operation is transparent to the functional elements of the respective transceivers, and also to the other processing functionality in the device itself. When the interoperability device is switched to "IEEE 802.11" mode the transceiver 100 behaves as an IEEE 802.11 transceiver. When the interoperability device is switched to "Bluetooth" mode the transceiver 100 behaves as an Bluetooth transceiver.
- 50 [0048] In the switching mode, turning off one transceiver when the other is transmitting means that the one transceiver cannot receive or transmit when the other is transmitting. Thus when employing the switching mode only one radio system needs to be operating at a given time, which means that the radio hardware can be reused. [0049] Figure 2 illustrates the dual mode transceiver of Figure 1 re-configured to utilise radio re-use. As can be seen
- from Figure 2, the functionality of the IEEE 802.11 physical layer functional element 112 and the Bluetooth physical 55 layer functional element 114 are combined into a single functional element referred to as the IEEE 802.11/Bluetooth dual physical layer functional element, and denoted by reference numeral 200. The dual functional element 200 transmits and receives IEEE 802.11 and Bluetooth packets on signal lines 204 to the device antenna.

[0050] The IEEE 802.11/Bluetooth dual physical layer functional element is controlled by the interoperability device





via signal lines 202 to operate as the physical layer functional element for either IEEE 802.11 or Bluetooth in accordance with the current mode of operation selected.

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[0051] In the multiplexing mode of operation the IEEE 802.11 transmitter is switched off when the Bluetooth transmitter is receiving data and the Bluetooth transmitter is switched off when the IEEE 802.11 device is receiving data. In

- 5 this way one radio system is never transmitting when the other is receiving, and vice versa. The interoperability device 106 observes the rules of the medium access control protocols, and while the transmission and reception of the IEEE 802.11 and Bluetooth radio systems are time multiplexed, it will appear to the user that the two systems operate in parallel. There will, however, be some performance impact (reduced data throughput, increased data error rate, reduced voice quality).
- 10 [0052] Furthermore, the interoperability device 106 additionally preferably does not allow the IEEE 802.1 and Bluetooth radio systems to transmit at the same time. Thus interference of one signal with the other at an external (remote) receiver is prevented.

[0053] In a preferred implementation of the multiplexing mode, if an IEEE 802.11 packet must be transmitted, all Bluetooth data connections are placed in the so-called PARK mode. The interoperability device 106 will issue one

- 15 HLC_Park_Mode primitive per active ACL (Asynchronous Connectionless data) connection to the Bluetooth transceiver, to put all ACL connections in PARK mode. The PARK mode of the Bluetooth radio system will be familiar to one skilled in the art. In this way, the Bluetooth radio system is deactivated whilst an IEEE 802.11 transmission takes place. [0054] Although the example implementation is presented herein with reference to a discussion of the Bluetooth PARK mode, it will be appreciated by one skilled in the art that the Bluetooth HOLD mode may alternatively be utilised.
- 20 [0055] If-there are active Bluetooth SCO (Synchronous, connection-oriented voice) connections, which transmit and receive periodically in a 0.625 ms Bluetooth slot, then the IEEE 802.11 transceiver must schedule its packet transmissions in-between the Bluetooth packets. The Bluetooth SCO connections are real-time (voice) connections. The inter-operability device 106 must take the full IEEE packet exchange period into account, which includes an acknowledgement packet (ACK) and (when the RTS/CTS transmission mode is used) an RTS and CTS packet.
- [0056] Further hereinbelow a detailed implementation for scheduling IEEE 802.11 packets in an active SCO connection is given. A 'slot-stealing' scheme is explained and a calculation of data throughput that can be achieved given. [0057] The IEEE 802.11 packets may need to be as short as a single slot when such a slot-stealing scheme is implemented, and this implies that the interoperability device 106 has to implement a packet fragmentation and reassembly scheme, so that it can divide IEEE 802.11 packets in chunks that can be accommodated in the number of
- 30 Bluetooth slots that are available. The IEEE 802.11's own fragmentation mechanisms cannot be used, since these mechanisms assume that all fragments are sent consecutively. In the detailed implementation described hereinbelow, a suitable fragmentation scheme is discussed.
  [0058] In the following an example is given for introducing the IEEE 802.11 functionality into a Bluetooth radio system.

[0058] In the following, an example is given for introducing the IEEE 802.11 functionality into a Bluetooth radio system, to enable both radio systems to function together in the same device. The following example is not limiting of the

- ³⁵ present invention, and the person skilled in the art will recognise that other possibilities exist for the implementation of such an architecture. However, as the Bluetooth specification is dominant the following is a preferred implementation. [0059] The standard Bluetooth radio system uses Frequency Shift Keying (FSK) modulation, sending one bit of information per symbol time of 1µs. Thus the raw bit-rate is 1 Mbit/s. A packet consists of a preamble, containing a channel access code and a payload. The payload, in turn, is divided into a header (containing packet type, destination address and some other information fields) and a user payload field.
- [0060] On the synchronous connection orientated (SCO) links, voice packets are used. The voice packets are typically of the high-quality voice (HV) types HV1, HV2 or HV3. All of these packet types have a 30-byte payload. The most robust packet, HV1, uses rate 1/3 Forward Error Correction (FEC). Packet type HV2 uses rate 2/3 FEC, and type HV3 does not use FEC at all. The number of user bytes is 10,20 and 30 bytes respectively for HV1, HV2 and HV3.
- ⁴⁵ The packet layout of an Hv-i (where ⊨1,2,3) packet is shown in Figure 3. The total duration of a HV-i voice packet is 330 μs. Referring to Figure 3, it can be seen that the Hv-i packet 300 comprises a 72 bit preamble 302, an 18 bit header 304, and a 240 bit (or 30 byte) payload 306.

[0061] In addition to the HV-*i* type packets, there also exists for Bluetooth a data and voice (DV) type packet. The DV type packet offers the same performance as HV3 (i.e. with no FEC), and carries a variable amount of data as well as voice in the same packet. However, a DV packet carries only 10 user bytes, i.e. a third of HV3's user bytes. The

- duration of the DV packet is 238 to 356  $\mu$ s, depending on the amount of data carried. **[0062]** Bluetooth packets are sent in time slots, which each have a duration of 625  $\mu$ s. However packets must be less then 625  $\mu$ s to allow the radio system sufficient time to hop to another frequency between time slots. Examples of channel operation for HV1, HV2 and HV3 connection are shown in Figure 4, and described further hereinbelow.
- 55 [0063] Figures 4(a) to 4(c) illustrate timing diagrams for a single Bluetooth voice connection, based on HV1 (Figure 4(a)), HV2 (Figure 4(b)), or HV3 (Figure 4(c)) packets. The shaded packets are in the forward direction (from Bluetooth master device to Bluetooth slave device), and the clear packets are in the reverse direction (from Bluetooth slave device to Bluetooth master device). Eight time slots TS1 to TS8 are shown. As can be seen forward packets are sent





in odd-numbered time-slots and reverse packets are sent in even-numbered time-slots. The frequency hops, in accordance with the Bluetooth standard, on every time slot, such that the frequencies  $f_1$  to  $f_8$  are hopped-to in times slots TSIto TS8 respectively.

- [0064] All voice connection rates are specified to be 64 kbit/s. To achieve this rate a HV1 packet must be sent every other slot, since in every HV1 packet (1/3)×30×8 = 80 bits of user data are sent. (1/3) is the FEC used in HV1, and 30x8 is the number of bits in a 30 byte payload. One packet is sent every 2×0.625ms time-slots, which is equal to 1.25 milliseconds, 0.625 ms being the length of each slot. The user bit rate is thus 80/1.25 bits/ms = 64 kbit/s. Since a voice link is full duplex, the other remaining alternate empty slots are required for the reverse link. This allocation of forward and reverse packets to time-slots is shown in Figure 4(a).
- [0065] HV2 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every four slots, as shown in Figure 4(b).
   [0066] HV3 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every six slots, as shown in Figure 4(c). Thus even if there were two HV3 links active, there would
- still be required only four time-slots in every six time-slots, leaving two time-slots in every six free.
  [0067] As a DV packet, similar to a HV1 packet, carries only 10 user bytes, a DV packet must similarly be transmitted every other slot to achieve a rate of 64 kbit/s.
  [0068] Hence in combination with a single HV1 or DV voice link, no IEEE 802.11 data traffic can be transmitted or received without reducing the voice quality of the transmission.
- [0069] With a single HV2 link, or HV3 links, two slots are available for IEEE 802.11 traffic. With a single HV3 link, 4 slots are available for IEEE 802.11 traffic.
  - **[0070]** Working within these parameters set by the Bluetooth transmission system, it is necessary to determine what IEEE 802.11 user bit rate is possible, given the available time slots. As discussed further hereinbelow, this depends to a certain extent on the overhead of the IEEE802.11 packet.
- [0071] IEEE 802.11 packets have either a short or a long preamble, of 96 or 192 μs respectively. The IEEE 802.11 packet payload is transmitted at a rate of one byte in every symbol time with a duration of 8/11-th μs. This gives a bit rate of 11 Mbit/s. The payload contains a 24 byte header and a 32 bit (4 byte) CRC field, which takes 28 × (8/11) = 20.3 μs to send in total. A SIFS (Short Interframe Space) time of 10 μs after correct reception of a packet, the recipient transmits an acknowledgement packet, which consists of a header of 96 or 192 μs. The payload contains MAC protocol control information of 14 bytes that take 14×8/11 = 10.2 μs to transmit. Figure 5 depicts an IEEE 802.11 packet trans-
  - [0072] As shown in Figure 6, an IEEE 802.11 forward data packet 500 consists of a preamble 504, a MAC header 506 and a data field 508. If received correctly, the receiver, responds with an acknowledgement packet 502 after a SIFS period. The latter packet consists of a preamble 510 and an acknowledgement field 512 comprising MAC information.
- 35 [0073] There are thus 4 scenarios to consider: there are two possible IEEE preamble lengths (96 and 192 μs); and there are either two or four Bluetooth "idle" periods (two and four slots).

[0074] The scenario where two Bluetooth slots are available for transmission for IEEE transmissions having a long preamble is considered.

- [0075] The overhead due to preambles, SIFS, and MAC overhead amounts to  $[2 \times 192] + 10 + [(28+14) \times (8/11)]$ = 424.5 µs. Of the two idle slots, it is permissible only to use 625 + 366 = 991 µs according to the Bluetooth specification. This is to leave 625 - 366 = 259 µs to allow the radio system to hop to the frequency of the next slot. Subtract 424.5 from 991, to get 566.5, which is the time left for actual data transmission at 11 Mbit/s. In this time 566.5 / (8/11) = 779 IEEE 802.11 bytes can be transmitted. This data can be transmitted every 4 slots. Hence the effective bit rate is equal to  $(8 \times 779)/(4 \times 625) = 2.5$  Mbit/s.
- ⁴⁵ [0076] The scenario where four Bluetooth slots are available for transmission for IEEE transmissions having a long preamble is now considered.
  - **[0077]** If four Bluetooth slots are available, then the time for payload transmission is equal to payload time  $625 \times 3 + 366 424.5 = 1817$ . This Equates to 1817 / (8/11) = 2498 IEEE 802.11 CCK bytes. The equivalent bit rate is now (8  $\times 2498$ )/( $6 \times 625$ ) = 5.33 Mbit/s
- 50 [0078] If the calculations are repeated for short IEEE 802.11 preambles, the bit rates are 3.33 Mbit/s for an HV2 connection or for two HV3 connections. For a single HV3 connection the bit rate is 5.89 Mbit/s. The results are summarised in Table 1.

Ia		
IEEE 802.11 throughput	Two Slots	Four Slots
Short preamble	3.33 Mbit/s	5.89 Mbit/s

T-1-1- 4





#### Table 1 (continued)

IEEE 802.11 throughpu	ut Two Slots	Four Slots
long preamble		5.33 Mbit/s

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**[0079]** Table 1 shows IEEE 802.11 user throughputs if IEEE 802.11 packets are transmitted in slots that are left idle by Bluetooth. If there is one HV2 connection or two HV3 connections, there are 2 idle slots to transmit. If there is one HV3 connection, there are 4 idle slots to transmit. If there is on HV1 or DV1 connection there are no idle slots. If there is no SCO connection at all, then all slots are available for transmission, and the theoretical IEEE 802.11 maximum of 11 Mbit/s can be achieved.

**[0080]** If a Bluetooth ACL packet must be transmitted, the interoperability device 106 simply holds back IEEE 802.11 packets. As the ACL packets are none real time data packets, they can be held back. When a Bluetooth ACL packet is to be transmitted, an IEEE 802.11 packet transmission will not be in progress, as the ACL connection would be in PARK mode if an IEEE transmission was in progress, as discussed hereinabove.

- ¹⁵ [0081] In an alternative formulation, if a Bluetooth ACL packet transmission or reception is in progress, the IEEE 802.11 transmission is held back until the Bluetooth transmission/reception is completed. Then the Bluetooth ACL connection is put in HOLD or PARK mode, and the IEEE802.11 transmission can be scheduled and organised around SCO transmissions, as described above.
- [0082] Optionally, the interoperability device has a further mode in which it will not allow the IEEE 802.11 devices and Bluetooth device to receive in parallel. By not allowing this, only one radio will be operating at a given time, which implies that the radio hardware can be reused. This again results in an architecture as shown in Figure 2. In this mode Bluetooth SCO slots are always received. If neither the Bluetooth nor the IEEE 802.11 transmitter need to transmit, the common receiver listens to either Bluetooth or IEEE 802.11 packets, according to an algorithm.
- [0083] Such an algorithm may be static; for instance the receiver listens to IEEE 802.11 in odd slots and to Bluetooth packets in even slots. Also given the distribution of traffic between Bluetooth and IEEE802.11, the algorithm could give preference to one over the other.

**[0084]** Finally, the receiver may have a dual synchronisation mode, where it listens to the channel, detects on the fly what type of packet is in the medium (Bluetooth or IEEE 802.11), and reports this to the receiver, which will switch to the appropriate reception mode.

30 [0085] Both IEEE 802.11 and Bluetooth Packets may be longer than a single slot. In that case the receiver attempts to receive the packet until completion.
 [0086] In a typical embodiment of the invention, the MAC controller of the IEE802.11 device and the baseband con-

troller of the Bluetooth device may be implemented in separate, dedicated processor chips. The interoperability device's functionality may be implemented in an additional chip. Alternatively, the functionality of the interoperability device can be added to the controller chips of either the Bluetooth or the IEE802.11 device. In a still further alternative, it is possible to integrate the IEEE 802.11 MAC control functions and the Bluetooth control function in a single chip and add the interoperability functionality to the same chip as well. Other arrangements of chips and division of interoperability functionality are also possible.

- [0087] Figure 6 illustrates an example of a "system on a chip" implementation of a combined IEEE 802.11 MAC controller and a Bluetooth Baseband controller. The chip 600 includes a DMA (Direct Memory Access) 610, an interrupt controller (Int. Ctrl) 612, timers 614, RAM (Random Access Memory) 616 all connected to a CPU (central processor unit) 622 via an internal bus 624, which elements are all required for both the IEEE 802.11 and Bluetooth functions. An external bus (Ext. Bus) block 608 is also required for both the IEEE 802.11 and Bluetooth functions, and is connected to the CPU 622 via internal bus 624 and to an external flash memory and/or ROM via lines 626. A USB (Universal
- ⁴⁵ Serial Bus) block 606, connected to internal bus 624, is used to interface the Bluetooth transceiver and optionally the IEEE 802.11 transceiver to a host PC via connections 628. The (mini) PCI block 602, connected to the internal bus 624, is used to interface between the host PC (via connections 628) and the IEEE 802.11 transceiver. A PCI based interface between host PC and Bluetooth is not yet defined but is foreseen. The UART block is also connected to the internal bus 624 and to the external connections 628.
- 50 [0088] The CPU micro-controller 622 runs firmware that implements the IEEE 802.11 MAC and Bluetooth baseband functions. A Bluetooth Link Controller block 618 and an IEEE 802.11 MAC support block 620 are connected to the CPU via the internal bus 624, and operate in conjunction with the CPU 622 to implement hardware assist functions for both the Bluetooth and IEEE 802.11 transceivers respectively.
- ⁵⁵ **[0089]** The Bluetooth Link Controller 618 is connected to the Bluetooth physical layer functional elements (not shown) via connections 632, and similarly the IEEE 802.1 MAC support block 620 is connected to the IEEE 802.11 physical layer functional elements (not shown) via connections 634



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#### Claims

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- 1. A device incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio systems such that such that only one or the other radio system may transmit at any one time.
- 2. The device of claim 1 wherein the first radio system is a Bluetooth system and the second radio system is an IEEE 802.11 system.
- 3. The device of claim 1 or claim 2 wherein the device is additionally controlled such that when one device is transmitting the other device cannot receive or transmit.
- The device of any one of claims 1 to 3 wherein the device is additionally controlled such that one device is receiving
   the other device cannot receive or transmit.
  - 5. The device of claim 1 or claim 2, wherein the control means comprises a switching means, the switching means being adapted to switch on and off the first and second radio systems.
- 20 6. The device of claim 1 or claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the first and second radio systems.
  - 7. The device of claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
  - 8. The device of claim 7, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four.
- 30 9. The device of claim 7, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
  - **10.** The device of claim 7, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
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- 11. The device of claim 2 wherein the control means prevents transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.
- 12. The device of claim 2 wherein the control means prevents transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
- 13. The device of any one of claims 1 to 12 in which the first and second radio systems share a common physical layer.
- 14. A method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time.
- 15. The method of claim 14 wherein the first radio system is a Bluetooth system and the second radio system is anIEEE 802.11 system.
  - 16. The method of claim 14 or 15 further comprising controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.
- 55 **17.** The method of any one of claims 14 to 16 further comprising controlling the radio systems such that one device is receiving the other device cannot receive or transmit.
  - 18. The method of claim 14 or 15 wherein the radio systems are controlled by switching on and off the first and second



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radio systems.

- **19.** The device of claim 14 or claim 15 wherein the radio systems are controlled by time multiplexing transmissions from the first and second radio systems.
- **20.** The method of claim 15, comprising time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
- 21. The method of claim 20, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the*IEEE* 802.11 transmissions being in two time-slots in every four.
  - 22. The method of claim 20, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
- 15 23. The method of claim 20, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
  - 24. The method of claim 15 further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.
  - **25.** The method of claim 15 further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
- 26. The method of any one of claims 14 to 25 in which the first and second radio systems share a common physical25 layer.

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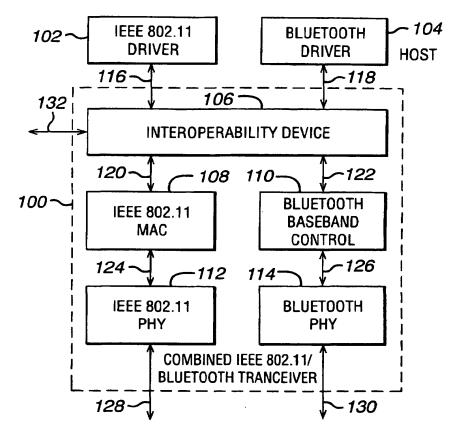
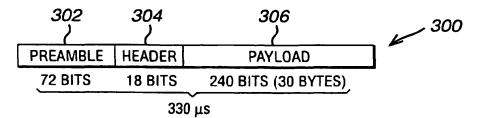


FIG. 3



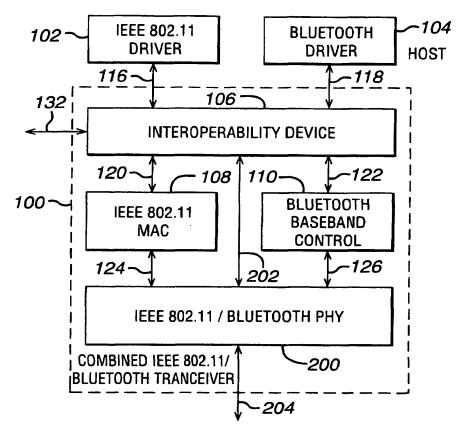
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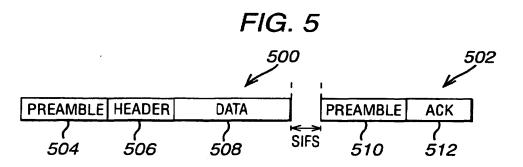
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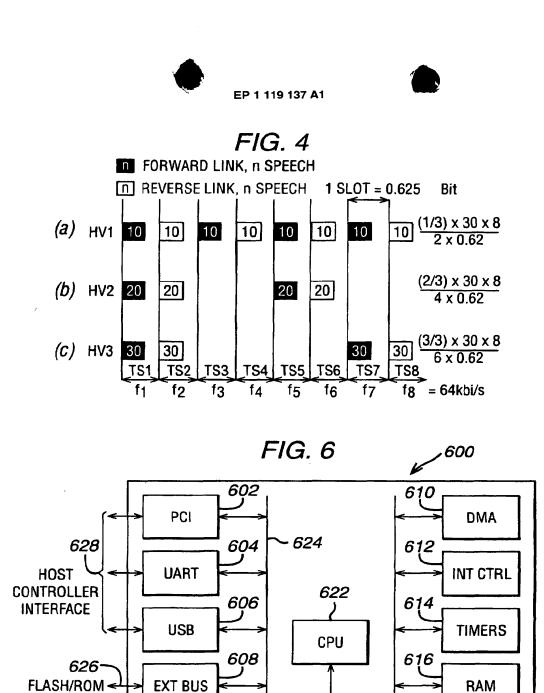
# FIG. 2

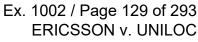




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IEEE 802.11

MAC SUPPORT

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VOICE <

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**RADIO INTERFACES** 

618

**BLUETOOTH** 

LINK CONTROLLER





European Patent Office

EUROPEAN SEARCH REPORT

Application Number EP 00 30 0397 ÷.

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Category	Citation of document with in of relevant pass	ndication, where appropriate	. A	lelevant claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
x	US 5 960 344 A (MAH 28 September 1999 (	ANY RONALD L) 1999-09-28)	1,1		H04L12/28 H04L12/56
A	* column 4, line 36		16-	5, -18	
x	* column 9, line 66 US 5 903 548 A (DEL		1,1	14	
A	11 May 1999 (1999-0 * column 2, line 64	5-11)		5,13,	
	<pre>* column 3, line 48 * column 4, line 45 * column 5, line 17 * column 8, line 7</pre>	- line 61 * - line 55 * - line 48 *	16	-18,26	
A	WO 99 29126 A (JOER MOBILE PHONES LTD ( 10 June 1999 (1999-	FI))	7- 14-	2, 12, -16, -26	
	* page 1, line 25 - * page 4, line 4 -	line 29 * line 12 *			TECHNICAL FIELD3 SEARCHED (Int.Cl.7)
					H04L H04Q
	The present search report has	been drawn up for all claims			
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X : pari Y : pari doc	ATEGORY OF CITED DOCUMENTS icularly relevant if taken alone icularly relevant if combined with anot ument of the same category inotogical background	T:11-ea E:ear afte her D:dot	ory or principle under lier patent document r the filing date sument cited in the ument cited for othe	erlying the i ni, but publication	



### ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 00 30 0397

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20-06-2000

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(12) NACH DEM VERTRESSER DIE INTERNATIONALE ZUSAMMEN SIT AUF DEM GEBIET DES PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

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- (30) Angaben zur Priorität: 100 39 532.5 8. August 2000 (08.08.2000) DE
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(10) Internationale Veröffentlichungsnummer WO 02/13457 A2

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#### (72) Erfinder; und

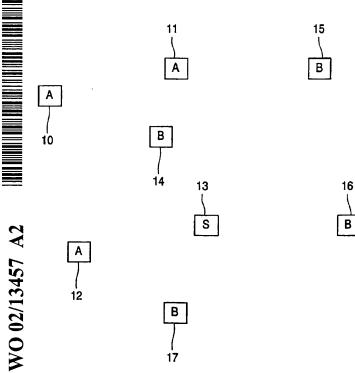
- (75) Erfinder/Anmelder (nur für US): WALKE, Bernard [DE/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). MANGOLD, Stefan [DE/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (74) Anwalt: MEYER, Michael; Internationaal Octrooibureau B.V., Prof Holstlaan 6, NL-5656 AA Eindhoven (NL).

(81) Bestimmungsstaaten (national): JP, US.

[Fortsetzung auf der nächsten Seite]

(54) Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

(54) Bezeichnung: VERFAHREN, NETZWERK UND STEUERSTATION ZUR WECHSELSEITIGEN STEUERUNG VON FUNKSYSTEMEN UNTERSCHIEDLICHER STANDARDS IM GLEICHEN FREQUENZBAND



(57) Abstract: The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, whereby a control station is provided that controls the two-way alternate utilization of the frequency band.

(57) Zusammenfassung: Die Erfindung bezieht sich auf ein Schnittstellen-Steuerungsprotokollverfahren für ein Funksystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das Funksystem mehrere Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

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(84) Bestimmungsstaaten (regional): europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

### Veröffentlicht:

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- ohne internationalen Recherchenbericht und erneut zu veröffentlichen nach Erhalt des Berichts
- insgesamt in elektronischer Form (mit Ausnahme des Kopfbogens); auf Antrag vom Internationalen Büro erhältlich

Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.



Verfahren, Netzwerk und Steuerstation zur wechselseitigen Steuerung von Funksystemen unterschiedlicher Standards im gleichen Frequenzband

Die Erfindung betrifft ein Verfahren zur wechselseitigen Steuerung von Funksystemen unterschiedlicher Standards im gleichen Frequenzband.

Ein Funksystem zur drahtlosen Übertragung von Information darf nur standardgemäß Sendeleistungen benutzen. Die nationale Regulierungsbehörde bestimmt, auf welchen Frequenzen, mit welcher Sendeleistung, und nach welchem

- Funkschnittstellenstandard ein Funksystem übertragen darf. Dabei ist für so genannte ISM-Frequenzbänder (*Industrial Scientific Medical*) vorgesehen, dass Funksysteme nach unterschiedlichen Funkschnittstellenstandards im gleichen Frequenzband übertragen. Ein Beispiel ist das US-amerikanische Funksystem IEEE 802.11a
- 10 und das europäische ETSI BRAN HiperLAN/2. Beide Funksysteme übertragen in gleichen Frequenzbändern zwischen 5.15 GHz und 5.875 GHz mit annähernd dem gleichen Funkübertragungsverfahren, aber verschiedenen Übertragungsprotokollen.

Für den Fall einer Störung wurden Verfahren für ein aktives Ausweichen auf eine andere Frequenz innerhalb des erlaubten Frequenzbands, Sendeleistungsregelung und

- adaptive Codierung und Modulation zur Interferenzreduzierung standardisiert.
   Funksysteme von BreitbandLANs der Funkschnittstellen-Standards ETSI BRAN
   HiperLAN/2 und IEEE 802.11a nutzen das gleiche Funkübertragungsverfahren, ein 64 Träger OFDM-Verfahren mit einer adaptiven Modulation und Codierung. Annähernd die
   gleichen Modulations- und Codierverfahren (*Link Adaptation, LA*) sind für beide Standards
- 20 definiert. Das Medienzugriffsverfahren (*Medium Access Control, MAC*) beider Systeme ist vollständig unterschiedlich. ETSI BRAN HiperLAN/2 verwendet ein zentral gesteuertes, reservierungsbasiertes Verfahren, bei dem eine Funkstation die Aufgabe einer zentralen, die Funkressourcen koordinierenden Instanz übernimmt. Diese zentrale Funkstation (*Access*)
- 25 *Point, AP*), die unter Umständen Zugangspunkt zum Weitverkehrsnetz ist, signalisiert periodisch alle 2ms die MAC-Rahmenstruktur je nach Bedarf von AP und den zugehörigen Stationen.

Der IEEE 802.11a Standard beschreibt ein nicht reservierungsbasiertes CSMA/CA Verfahren (*Carrier Sense Multiple Access/Collision Avoidance*), bei dem alle

### BESTÄTIGUNGSKOPIE

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Funkstationen das Medium abhören und voraussetzen, dass der Kanal für eine Mindestdauer (*Short Inter Frame Space, SIFS*) ungenutzt ist bevor bei Bedarf 802.11a-MAC-Frames, also Nutzdatenpakete, versendet werden. Das Verfahren ist gut für selbstorganisierende Ad-Hoc Netze geeignet, verlangt jedoch positive Quittierungen aller

- 5 Pakete. Dienstgüte unterstützende Maßnahmen (Point Coordination Function, PCF) erlauben darüber hinaus die Unterstützung von Multimedia-Anwendungen. Abb. 2 zeigt beispielhaft den Zeitablauf beim Medienzugriff bei IEEE 802.11a. Demnach muss in einer Variante des Standards eine Station ein RTS Paket (Ready To Send) übertragen und auf ein CTS (Clear To Send) Paket der adressierten Station warten, bevor sie Nutzdaten übertragen darf. Alle
- 10 anderen Stationen in Funkreichweite setzen eine Zeitüberwachung (Network Allocation Vector, NAV) und übertragen erst wieder, wenn die adressierte Station eine Bestätigung (Acknowledge, ACK) gesendet hat.

BreitbandLANs nach den Standards HiperLAN/2 und 802.11a werden in Zukunft im gleichen Frequenzband zwischen 5.15 und 5.825 GHz operieren. Die

- 15 BreitbandLANs arbeiten zwar mit Sendeleistungsregelung (*Transmitter Power Control*, *TPC*), adaptiven Funkübertragungsverfahren und der dynamischen Auswahl von Frequenzen (*Dynamic Frequency Selection*, *DFS*), um die wechselseitig störenden Einflüsse zu minimieren, diese Verfahren ermöglichen jedoch nicht die optimale Nutzung und Aufteilung der Funkkanäle auf die nach verschiedenen Standards übertragenden Stationen.
- 20 Die Garantie der f
  ür Multimedia-Anwendungen nötigen Dienstg
  üte ist bei Störung durch eigene Stationen oder Stationen fremder Systeme nicht möglich. Bei wechselseitiger Störung arbeiten Systeme ineffizient und belegen selbst bei geringen Übertragungsraten einen Frequenzkanal.

Es ist Aufgabe der Erfindung, ein Verfahren, ein drahtloses Netzwerk sowie eine

25 Steuerstation aufzuzeigen, welche eine effiziente Nutzung von Funkübertragungskanälen ermöglichen.

Diese Aufgabe ist für das Verfahren erfindungsgemäß gelöst durch ein Schnittstellen -Steuerungsprotokollverfahren für ein Funksystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten

30 Funkschnittstellenstandards vorgesehen ist, wobei das Funksystem Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

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Der Erfindung liegt die Idee zugrunde, bei Systemen mit gleichen Funkübertragungsverfahren, aber verschiedenen Funkübertragungsprotokollen, einen standardübergreifenden Austausch von impliziter bzw. auch expliziter Steuerinformationen vorzusehen. Dies ermöglicht eine einfache und effiziente Nutzung eines Funkkanals durch mehrere Funkschnittstellenstandards.

Das Funksystem weist ein oder mehrere Stationen auf. Die Stationen können z.B. Computer eines drahtlosen lokalen Netzwerks sein. Diese Stationen können z.B. jeweils nur für den Betrieb gemäß dem ersten oder dem zweiten Funkschnittstellenstandard ausgelegt sein. Es ist jedoch auch möglich, daß Stationen sowohl gemäß dem ersten als auch gemäß

10 dem zweiten Funkschnittstellenstandard arbeiten können.

Vorzugsweise bildet eine erste Anzahl von Stationen ein lokales drahtloses Netzwerk nach einem ersten Funkschnittstellen-Standard und eine zweite Anzahl von Stationen bildet ein drahtloses Netzwerk nach einem zweiten Funkschnittstellen-Standard. Der erste Funkschnittstellen-Standard kann z.B. der HiperLAN2-Standard und der zweite

15 Funkschnittstellen-Standard der IEEE 802.11a-Standard sein.

Für diese beiden Standards ist das Frequenzband von 5.15 GHz bis 5.825 GHz vorgesehen.

Erfindungsgemäß ist eine Steuerstation vorgesehen, welche die wechselseitige Nutzung des gemeinsamen Frequenzbandes von den beiden Funkschnittstellen-Standards

20 steuert.

Die Steuerstation ist vorzugsweise eine Station, die sowohl nach dem ersten Funkschnittstellenstandard als auch nach dem zweiten Funkschnittstellenstandard operieren kann.

Die Steuerung der wechselseitigen Nutzung des gemeinsamen Frequenzbandes kann auf verschiedene Weise erfolgen. So ist es beispielsweise möglich, für die Nutzung des ersten und des zweiten Funkschnittstellenstandards bestimmte vorgebbare Zeitintervalle vorzusehen und in einer Art Zeitmultiplex abwechselnd das Frequenzband dem ersten Funkschnittstellenstandard und danach dem zweiten Funkschnittstellenstandard zuzuweisen.

30 vorzunehmen. Dadurch kann der gemeinsame Funkkanal effektiver genutzt werden, insbesondere wenn der Bedarf an Übertragungskapazität nach dem ersten und dem zweiten Funkschnittstellenstandard variiert.

Vorteilhaft ist es jedoch, die Zuteilung mittels adaptiver Protokolle



Bei der vorteilhaften Ausgestaltung der Erfindung nach Anspruch 2 ist die Steuerstation einerseits dazu vorgesehen, für Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, den Zugriff auf das Frequenzband zu steuern. Ist der erste Funkschnittstellen-Standard z.B. der HiperLAN/2-Standard, so führt die

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- 5 Steuerstation die Funktion des gemäß diesem Standard vorgesehenen zentralen Controllers (Access Point, AP) aus. In diesem Fall senden die Stationen des HiperLAN/2-Standards jeweils eine Kapazitätsanforderung an die Steuerstation und die Steuerstation weist den Stationen jeweils Übertragungskapazität zu.
- Andererseits ist die Steuerstation bei der vorteilhaften Ausgestaltung der
  Erfindung nach Anspruch 2 dazu vorgesehen, das gemeinsame Frequenzband für den Zugriff von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, freizugeben, wenn Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, keinen Zugriff auf das Frequenzband anfordern. Bei dieser vorteilhaften Ausgestaltung der Erfindung wird somit der erste Funkschnittstellenstandard gegenüber dem zweiten
- 15 Funkschnittstellenstandard priorisiert. Die Freigabe des gemeinsamen Frequenzbandes für den zweiten Funkschnittstellenstandard kann z.B. explizit durch Senden einer Steuerinformation an die Stationen des zweiten Funkschnittstellenstandards erfolgen.

Alternativ ist es z.B. möglich, daß der gemäß dem IEEE 802.11 Standard vorgesehene Punkt-Koordinator (Point Coordinator) als zentrale Steuerstation fungiert und

20 den wechselseitigen Zugriff von Stationen des ersten und des zweiten Funkschnittstellenstandards auf das gemeinsame Frequenzband steuert. Bei dieser vorteilhaften Ausgestaltung der Erfindung würde der Punkt-Koordinator z.B. periodisch einem anderen Funkschnittstellenstandard, z.B. dem HiperLAN/2 Standard, das gemeinsame Frequenzband zur Verfügung stellen.

25 Bei der vorteilhaften Ausgestaltung der Erfindung nach Anspruch 3 erfolgt die Steuerung dadurch, daß die Steuerstation die jeweilige Zeitdauer festlegt, während der Stationen, die gemäß dem zweiten Funkschnittstellen-Standard operieren, das gemeinsame Frequenzband nutzen dürfen. Die Festlegung der Zeitdauer kann vorteilhaft gemäß Anspruch 4 dadurch erfolgen, daß die Steuerstation ein Broadcast-Signal sendet, welches den Stationen

30 eine Zeitdauer mitteilt, während der das Frequenzband von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, nutzbar ist.

Die Erfindung hat den Vorteil, daß beim Betrieb von Funksystemen in Phasen, in denen standardgemäß keine Information von einer Funkstation gemäß einem ersten Funkschnittstellenstandard gesendet oder empfangen wird, das zusätzliche Senden von Informationen gemäß eines anderen Funkschnittstellenstandards möglich wird, so dass der Zugriff auf den Funkkanal durch konkurrierende Funksysteme gesteuert werden kann.

Dabei ist es möglich, dass eine erste Funkstation, die nach einem ersten Funkschnittstellenstandard operiert, zusätzlich bestimmte in einem zweiten

5 Funkschnittstellenstandard beschriebene Funktionen ausführt, wobei durch die erste Funkstation oder eine koordinierende weitere Funkstation, die nach dem ersten Funkschnittstellenstandard überträgt, Beginn und Dauer der Phase, die gemäß dem zweiten Funkschnittstellenstandard von der ersten Station zur Übertragung benutzt werden darf, festgelegt werden.

Je nach Funkschnittstellenstandard können Beginn und Dauer nur näherungsweise definiert werden, wobei Festlegungen der betroffenen Standards zeitweise oder regelmäßig verletzt werden. Die erste Station kann vorzugsweise die Phase, während der sie nach dem zweiten Funkschnittstellenstandard überträgt, jederzeit beenden, ohne Rücksicht auf resultierende Störungen bei Stationen gemäß dem zweiten

15 Funkschnittstellenstandard.

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Die erste Funkstation kann neben Funktionen nach dem zweiten Funkschnittstellenstandard auch Funktionen ausführen, die Funksysteme nach dem zweiten Funkschnittstellenstandard oder Funksysteme nach dem ersten Funkschnittstellenstandard veranlassen, den Funkkanal als gestört zu interpretieren und einen anderen Funkkanal für den

20 eigenen Betrieb belegen.

Die effiziente gemeinsame Nutzung eines Funkkanals durch unterschiedliche Funksysteme kann durch ein geeignetes Steuerungsprotokollverfahren erreicht werden. Ein solches Funkschnittstellen-Steuerungsprotokollverfahren ermöglicht einer ersten Station eines Funksystems nach dem ersten Funkschnittstellenstandard die Zeitpunkte des Zugriffs

- 25 auf den Funkkanal durch andere Stationen zu steuern. Sie muss dafür neben den durch ihren eigenen ersten Funkschnittstellenstandard festgelegten Funktionen zu Zeitpunkten, zu denen Stationen gemäß dem ersten Funkschnittstellenstandard nicht übertragen und keine standardgemäße Information von der ersten Station erwarten, in einem anderen zweiten Funkschnittstellenstandard beschriebene Funktionen ausführen, wobei die erste Station oder
- 30 eine weitere Station die Dauer festlegt, während der die erste Station gemäß dem zweiten Funkschnittstellenstandard übertragen darf.

Die Dauer des Betriebs nach dem zweiten Funkschnittstellenstandard muss nicht exakt, sonder kann auch nur näherungsweise festgelegt sein.

Die erste Station kann die Nutzung der Funkschnittstelle gemäß dem zweiten Funkschnittstellenstandard ohne Rücksicht auf resultierende Störungen bei Stationen, die gemäß dem zweiten Funkschnittstellenstandard übertragen, durch Übertragung gemäß dem ersten Funkschnittstellenstandard beenden.

Die Aufgabe der Erfindung ist für das Netzwerk gelöst durch ein drahtloses Netzwerk, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das drahtlose Netzwerk Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten,

10 wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

Einige Ausführungsbeispiele der Erfindung werden nachfolgend anhand der Zeichnung in den Fig. 1 bis 3 näher erläutert. Es zeigen:

Fig. 1 die Rahmenstruktur gemäß dem ETSI BRAN HiperLAN/2 Standard,

Fig. 2 eine schematische Darstellung des Zugriffs auf einen Funkkanal bei Systemen gemäß dem IEEE 802.11a Standard,

Fig. 3 zwei drahtlose lokale Netzwerke gemäß einem ersten und einem zweiten Funkschnittstellen-Standard.

Fig. 1 zeigt die Struktur des HiperLAN/2 MAC-Rahmens.

Fig. 2 zeigt schematisch den Medienzugriff bei Systemen, die gemäß dem Funkschnittstellenstandard IEEE 802-11a arbeiten.

Bei einem HiperLAN/2 System ist mittels der zentralen Steuerung durch den Access-Point (AP), der den MAC-Rahmen periodisch erzeugt und dabei die Daten der Broadcast-Phase überträgt, die Dienstgüte (Paketverzögerung, Übertragungsrate usw.)

25 einzelner Verbindungen individuell steuerbar.

Übertragen auf die Fig. 1 und 2 bzw. die zugehörigen Standards bedeutet das, dass ein HiperLAN/2 AP bei teilweise nicht genutzter *Downlink-*, *Uplink-* und *Direct Mode* Phase darauf verzichten könnte, nutzlose (*dummy*) Information zu übertragen und 802.11-Systemen keine Gelegenheit zu geben, eine Zeitspanne SIFS lang einen ungenutzten Kanal

30 zu beobachten und den Ablauf nach Fig. 2 zu beginnen. Der AP könnte die Kontrolle sehr bald zurückgewinnen, in dem die HiperLAN/2-Standard-gemäße Übertragung die Broadcast-Phase nicht unterdrückt, sondern gesendet wird.

Ebenso könnte die Funktion PCF des 802.11-Standards genutzt werden, um HiperLAN/2-Systemen zeitweise befristet (periodisch) den Funkkanal zur Verfügung zu stellen.

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7 Die hier vorgeschlagene und am Beispiel der BreitbandLANs ETSI BRAN HiperLAN/2 und IEEE 802.11a diskutierte wechselseitige Steuerung von Funksystemen unterschiedlicher Standards kann in einer heterogenen Umgebung, in der verschiedene Funksysteme zur gleichen Zeit in unmittelbarer Nähe im gleichen Spektrum übertragen, eine 5 dezentral gesteuerte Adaptivität bezüglich der in den jeweiligen Systemen verfügbaren Übertragungskapazität zur Bewältigung des jeweils aktuellen Verkehrsangebots, der geforderten Dienstgüte und der momentanen Nutzungsumgebung gewährleisten. Bei der Anwendung der erfindungsgemäßen integrierten Steuerung können unterschiedliche Funksysteme kompatibel gemacht werden in dem Sinn, dass sie konstruktiv im gleichen 10 Frequenzband koexistieren und dabei Dienste erbringen können, die eine hohe Dienstgüte verlangen. Das Funkspektrum wird deutlich effizienter genutzt, ohne Anwendung des neuen Verfahrens ist dies nur mit jeweils exklusiv verwendeten Funkkanälen möglich Fig.3 zeigt schematisch zwei drahtlose lokale Netzwerke. Ein erstes drahtloses lokales Netzwerk weist drei Stationen 10, 11 und 12 auf. 15 Diese drei Stationen 10, 11, 12 und 13 arbeiten nach einem ersten Funkschnittstellenstandard A, z.B. nach dem HiperLAN2 – Standard. Ein zweites drahtloses lokales Netzwerk weist vier Stationen 14, 15, 16 und 17 auf. Diese vier Stationen 14, 15, 16 und 17 arbeiten nach einem zweiten Funkschnittstellenstandard B, z.B. nach dem IEEE802.11a - Standard. 20 Die Stationen können z.B. Computer mit einer Funkschnittstelle sein. Die Kommunikation zwischen den einzelnen Stationen erfolgt drahtlos, z.B. per Funk. Für drahtlose lokale Netzwerke nach den Standards HiperLAN/2 und IEEE 802.11a ist das Frequenzband zwischen 5.15 GHz und 5.825 GHz vorgesehen. Es ist eine zentrale Steuerstation 13 vorgesehen, welche den wechselseitigen 25 Zugriff des ersten drahtlosen Netzwerks und des zweiten drahtlosen Netzwerks auf das gemeinsame Frequenzband steuert. Dies kann vorteilhaft z.B. dadurch erfolgen, daß die Station 13 eine Broadcast-Nachricht an die Stationen 14 bis 17 des IEEE 802.11a Standards verschickt, wenn die Stationen 10 bis 12 keinen Bedarf an Übertragungskapazität haben. Diese Broadcast 30 Nachricht enthält vorzugsweise eine Zeitinformation, welche den Stationen 14 bis 17 des IEEE 802.11 Standards mitteilt, wie lange sie das gemeinsame Frequenzband nutzen dürfen. Während dieser Zeit kann die Steuerstation 13 auch Funktionen nach dem IEEE 802.11a -Standard ausführen, z.B. auch zur Datenübertragung nach dem IEEE 802.11a-Standard benutzt werden.

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Handelt es sich bei den Stationen 10 bis 12 des ersten drahtlosen Netzwerks um HiperLAN/2 Stationen, so operiert die Steuerstation 13 vorzugsweise auch als zentrale Station (Access-Point) des HiperLAN2 Netzwerkes und koordiniert deren Funkresourcen. Bei Hiperlan/2 Systemen wird vorab geplant, zu welcher Zeit die Stationen senden dürfen.

5 Zu diesem Zweck gibt es bei HiperLAN/2- Systemen eine zentrale Einrichtung (Access Point, AP), welche die Kapazitätsanforderungen von den verschiedenen Stationen erhält und demgemäß Kapazität zuweist. Die zentrale Steuerstation 13 ist vorzugsweise auch dazu vorgesehen, die Funktion des Access Point des HiperLAN/2 Standards auszuführen. Die zentrale Steuerstation 13 signalisiert dann periodisch alle 2ms die MAC-Rahmenstruktur je

10 nach Bedarf der einzelnen Stationen des HiperLAN2 Netzwerkes.

Alternativ ist es jedoch auch möglich, daß bei HiperLAN/2 Systemen die Funktion des Access-Points und die Funktion der wechselseitigen Steuerung des Zugriffs des ersten drahtlosen Netzwerks und des zweiten drahtlosen Netzwerks auf das gemeinsame Frequenzband in getrennten Stationen realisiert ist. Dann ist aber ein Datenaustausch

- 15 hinsichtlich der Dauer, während der das Frequenzband von dem ersten bzw. dem zweiten
- Funkschnittstellenstandard genutzt werden darf, zwischen diesen getrennten Stationen erforderlich.

Alternativ ist es z.B. möglich, daß der gemäß dem IEEE 802.11 Standard vorgesehene Punkt-Koordinator (Point Coordinator) als zentrale Steuerstation fungiert und

20 den wechselseitigen Zugriff von Stationen des ersten und des zweiten Funkschnittstellenstandards auf das gemeinsame Frequenzband steuert. Bei dieser Ausführungsform würde der Punkt-Koordinator z.B. periodisch einem anderen Funkschnittstellenstandard, z.B. dem HiperLAN/2 Standard, das gemeinsame Frequenzband zur Verfügung stellen. G

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# PATENTANSPRÜCHE:

1. Schnittstellen - Steuerungsprotokollverfahren für ein Funksystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das Funksystem Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

 Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation für Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, den Zugriff auf das
 Frequenzband steuert und daß die Steuerstation das Frequenzband für den Zugriff von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, freigibt, wenn Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, keinen Zugriff auf das Frequenzband anfordern.

 15 3. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation die jeweilige Zeitdauer festlegt, während der Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, das Frequenzband nutzen dürfen.

 Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation
 ein Broadcast-Signal sendet, welches den Stationen eine Zeitdauer mitteilt, während der das Frequenzband von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, nutzbar ist.

 Verfahren nach Anspruch 3, dadurch gekennzeichnet, dass die Zeitdauer des
 Betriebs nach dem ersten und dem zweiten Funkschnittstellenstandard nur näherungsweise festgelegt wird, wobei Festlegungen der betroffenen Standards zeitweise oder regelmäßig verletzt werden.

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6. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation die Nutzung der Funkschnittstelle gemäß dem zweiten Funkschnittstellenstandard durch Übertragung gemäß dem ersten Funkschnittstellenstandard beendet, ohne Rücksicht auf resultierende Störungen bei Stationen gemäß dem zweiten Funkschnittstellenstandard.

Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass
 die Steuerstation für Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten,
 den Zugriff auf das Frequenzband steuert und daß Dauer und Art der Steuerung der Funkschnittstelle gemäß dem zweiten Funkschnittstellenstandard durch eine weitere

10 Station festgelegt und an die Steuerstation übermittelt wird.

8. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation neben Funktionen nach dem zweiten Funkschnittstellenstandard auch Funktionen ausführt, die Funksysteme nach dem zweiten Funkschnittstellenstandard veranlassen, den Funkkanal

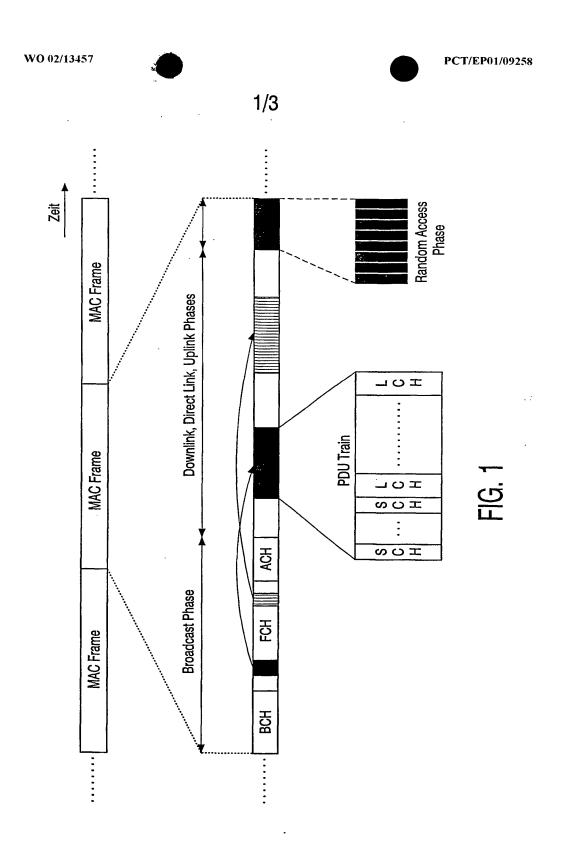
15 als gestört zu interpretieren und einen anderen Funkkanal für den eigenen Betrieb zu belegen.

9. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation auch Funktionen ausführt, die Funksysteme nach dem ersten Funkschnittstellenstandard veranlassen, den Funkkanal als gestört zu interpretieren und einen anderen Funkkanal für den

20 eigenen Betrieb zu belegen.

 Drahtloses Netzwerk, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das drahtlose Netzwerk Stationen aufweist, welche jeweils nach einem
 ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

 Steuerstation für ein drahtloses Netzwerk, wobei die Steuerstation dazu
 vorgesehen ist, die wechselseitige Nutzung eines Frequenzbandes von Stationen, welche nach einem ersten Funkschnittstellenstandard arbeiten und Stationen, welche nach einem zweiten Funkschnittstellenstandard arbeiten, zu steuern.



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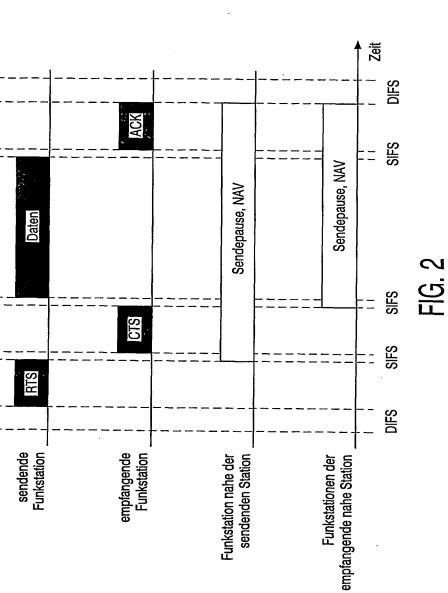


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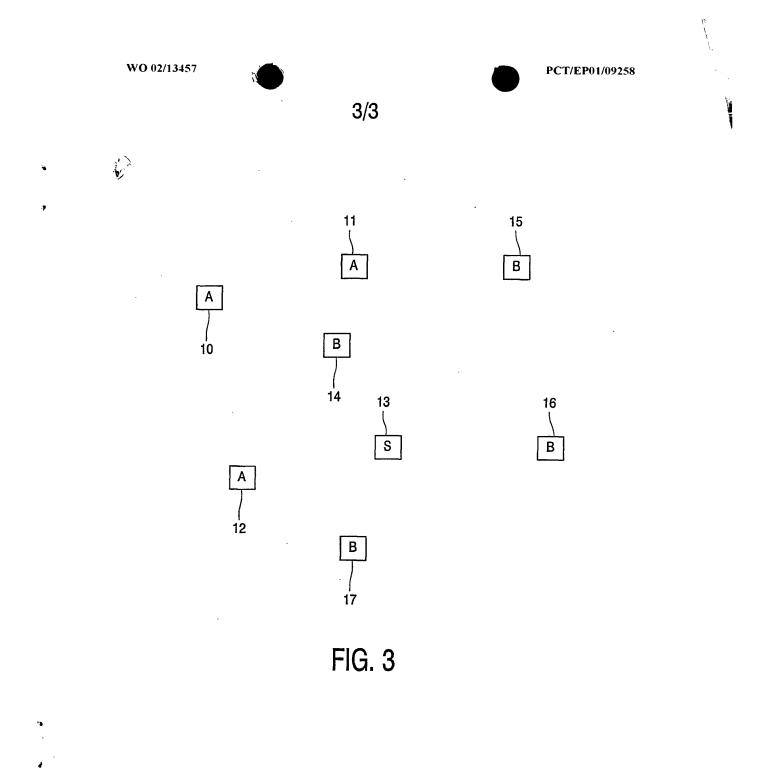
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### (12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

PCT

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PHILIPS ELECTRONICS N.V. [NL/NL]: Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).

(71) Anmelder (nur f
ür DE): PHILIPS CORPORATE IN-TELLECTUAL PROPERTY GMBH [DE/DE]: Habsburgerallee 11, 52066 Aachen (DE).

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(72) Erfinder; und

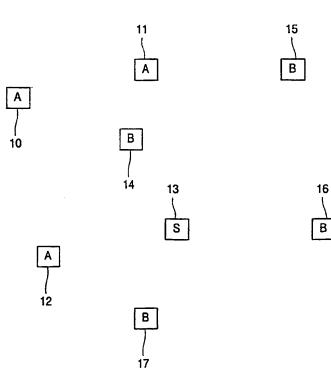
- (75) Erfinder/Anmelder (nur für US): WALKE, Bernard [DE/NL]: Prof. Holstlaan 6. NL-5656 AA Eindhoven (NL). MANGOLD, Stefan [DE/NL]: Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (74) Anwalt: MEYER, Michael: Internationaal Octrooibureau B.V., Prof Holstlaan 6, NL-5656 AA Eindhoven (NL).

(81) Bestimmungsstaaten (national): JP, US.

[Fortsetzung auf der nächsten Seite]

(54) Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

(54) Bezeichnung: VERFAHREN, NETZWERK UND STEUERSTATION ZUR WECHSELSEITIGEN STEUERUNG VON FUNKSYSTEMEN UNTERSCHIEDLICHER STANDARDS IM GLEICHEN FREQUENZBAND



(57) Abstract: The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, whereby a control station is provided that controls the two-way alternate utilization of the frequency band.

(57) Zusammenfassung: Die Erfindung bezieht sich auf ein Schnittstellen-Steuerungsprotokollverfahren für ein Funksystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das Funksystem mehrere Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

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(81) Besting vun esta o /regiser): europäisches Patent (AT, BE. CH.CY. DL. DK, ES, FI. FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

### Veröffentlicht:

- mit internationalem Recherchenbericht
- vor Ablauf der f
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  üche geltenden Frist: Veröffentlichung wird wiederholt, falls Änderungen eintreffen
- insgesamt in elektronischer Form (mit Ausnahme des Kopfbogens): auf Antrag vom Internationalen Büro erhältlich

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Zur Erklärung der Zweibuchstaben-Codes und der andereh Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Aussabe der PCT-Gazette verwiesen.

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# INTERNATIONALER RECHERCHENBERICHT

Inter 'onales Aktenzeichen

PC1/EP 01/09258 A. KLASSIFIZERUNG DES ANMELDUNGSGEGENSTANDES IPK 7 H04L12/28 Nach der Internationalen Patentklassifikation (IPK) oder nach der nationalen Klassifikation und der IPK B. RECHERCHIERTE GEBIETE Recherchierter Mindestprüfstoff (Klassifikationssystem und Klassifikationssymbole) IPK 7 H04L H04Q Recherchierte aber nicht zum Mindestprütstolf gehörende Veröftentlichungen, soweit diese unter die recherchierten Gebiete fallen Während der internationalen Recherche konsultierte elektronische Datenbank (Name der Datenbank und evtl. verwendete Suchbegriffe) EPO-Internal C. ALS WESENTLICH ANGESEHENE UNTERLAGEN Kalegone® Bezeichnung der Veröffentlichung. soweit erforderlich unter Angabe der in Betracht kommenden Teile Betr. Anspruch Nr. χ WO 99 23790 A (INTERMEC IP CORP) 1 - 1114. Mai 1999 (1999-05-14) Seite 3, Zeile 28 -Seite 4, Zeile 21 Seite 5, Zeile 18 - Zeile 24 Seite 8, Zeile 24 -Seite 9, Zeile 17 Seite 10, Zeile 17 - Zeile 28 Seite 16, Zeile 3 - Zeile 9 Abbildungen 1,4 χ US 5 710 766 A (SCHWENDEMAN ROBERT JOHN) 1,10,11 20. Januar 1998 (1998-01-20) Spalte 1, Zeile 55 -Spalte 3, Zeile 15 Spalte 6, Zeile 47 - Zeile 50 Spalte 9, Zeile 1 - Zeile 20 Abbildungen 3,10 -/--Weitere Veröffentlichungen sind der Fortsetzung von Feld C zu entnehmen X Siehe Anhang Patentfamilie X *T* Spätere Veröffentlichung, die nach dem internationalen Anmeldedatum oder dem Prioritätsdatum veröffentlicht worden ist und mit der Anmeldung nicht kollidien, sondern nur zum Verständnis des der Erfindung zugrundeliegenden Prinzips oder der ihr zugrundeliegenden Theorie angegeben ist * Besondere Kategorien von angegebenen Veröffentlichungen *A* Veröffentlichung, die den allgemeinen Stand der Technik definiert, aber nicht als besonders bedeutsam anzusehen ist "E" älteres Dokument, das jedoch erst am oder nach dem internationalen Anmeldedatum veröffentlicht worden ist Veröffentlichung von besonderer Bedeutung; die beanspruchte Erfindung kann allein aufgrund dieser Veröffentlichung nicht als neu oder auf erfinderischer Tätigkeit beruhend betrachtet werden •X' *L' Veröffentlichung, die geeignet ist, einen Prioritätsanspruch zweilelhaft er-scheinen zu lassen, oder durch die das Veröffentlichungsdatum einer anderen im Recherchenbericht genannten Veröffentlichung belegt werden -yv soll oder die aus einem anderen besonderen Grund angegeben ist (wie Veröffentlichung von besonderer Bedeutung: die beanspruchte Erfindung kann nicht als auf erfinderischer Tätigkeit beruhend betrachtel-werden, wenn die Veröffentlichung mit einer oder mehreren anderen Veröffentlichungen dieser Kategorie in Veröfndung gebracht wird und diese Verbindung für einen Fachmann naheliegend ist ausgeführt) Veröffentlichung, die sich auf eine mündliche Offenbarung,
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The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated Office (37 CFR 1.494), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

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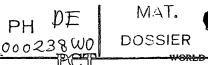
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•	TRANSMITTAL	First Named Inventor	BERNHARD WALKE ET AL	
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# WORLD-INTELLECTUAL PROPERTY ORGANIZATION International Bureau INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) WO 99/23790 (51) International Patent Classification ⁶: (11) International Publication Number: A1 H04L 12/28, H04B 1/69 14 May 1999 (14.05.99) (43) International Publication Date: (81) Designated States: JP, European patent (AT, BE, CH, CY, DE, PCT/US98/22969 (21) International Application Number: DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). 29 October 1998 (29.10.98) (22) International Filing Date: Published (30) Priority Data: With international search report. Before the expiration of the time limit for amending the 3 November 1997 (03.11.97) US 08/962.908 claims and to be republished in the event of the receipt of amendments. (71) Applicant: INTERMEC IP CORP. [US/US]; 360 North Crescent Drive, Beverly Hills, CA 90210-4867 (US). (72) Inventors: PALMER, Brian, G.; 16525 N.E. 135th Place, Redmond, WA 98052 (US). JOVANOVICH, Alan, F.; 22431 - 10th Avenue South, Des Moines, WA 98198 (US). (74) Agents: BERLINER, Brian, M. et al.; Graham & James LLP, 14th floor, 801 S. Figueroa Street, Los Angeles, CA 90017-5554 (US). EST AVAILABLE (54) Title: MULTI-MODE RADIO FREQUENCY NETWORK SYSTEM

### (57) Abstract

A multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequency-hopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further comprise periodic beacon signals that define discrete time periods which further include a synchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and to frequency network may further include data storage/retrieval devices and to frequency network may further include data storage/retrieval devices and data collection devices adapted for communication with the first and second types of computing device over the narrowband frequency range.

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## MULTI-MODE RADIO FREQUENCY NETWORK SYSTEM

### BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates to computing devices coupled together into a wireless local area network, and more particularly, to a wireless local area network infrastructure that permits communication in 5 plural modes to support both wideband spread spectrum and narrowband radio frequency signals.

### 2. **Description of Related Art**

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remote computing devices which communicate together over radio frequency (RF) signals. As in a wired local area network (LAN), the WLAN allows users to seamlessly access disk drives, printers, and additional computer resources and systems connected to the WLAN. The remote

A wireless local area network (WLAN) comprises a plurality of

- 15 computing devices include a radio receiver/transmitter adapted for RF communication with the other elements of the WLAN. The WLAN may also include a central host processing unit that sends information to and receives information from any one of the plurality of remotely disposed computing devices. The central host processor may also form part of a separate wired
- 20 LAN to provide a bridge with the WLAN. In such a WLAN, the remote computing devices may comprise portable units that operate within a defined environment to report information back to the central host processing unit. WLAN systems offer increased flexibility over wired LAN systems by enabling operators of the remote computing devices substantial freedom of
- 25 movement through the environment, and are particularly useful for remote data collection applications such as inventory control, manufacturing and production flow management, and asset tracking.

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For simplicity, the radio receiver/transmitter provided within each remote computing device may communicate using conventional narrowband RF signals. Narrowband RF operation has a significant drawback in that the radio receiver/transmitter must be operated at relatively low power levels in order to ensure compliance with certain governmental

- 5 low power levels in order to ensure compliance with certain governmental regulations, and at such low power levels the RF signals are highly susceptible to interference and have low data throughput rates. To overcome these and other drawbacks, commercial WLAN systems have adopted so-called "spread spectrum" modulation techniques. In a spread
- 10 spectrum system, the transmitted signal is spread over a frequency band that is significantly wider than the minimum bandwidth required to transmit the information being sent. As a result of the signal spreading, spread spectrum systems enable high data integrity and security. Moreover, by spreading transmission power across a broad bandwidth, power levels at
- 15 any given frequency within the bandwidth are significantly reduced, thereby reducing interference to other radio devices.

In one type of spread spectrum communication system, an RF carrier is shifted in discrete increments in a pattern dictated by a predetermined sequence. These spread spectrum systems are known as

- 20 "frequency-hopping" modulation systems, since the transmitter jumps from frequency to frequency in accordance with the predetermined sequence. The information signal is modulated onto the shifting carrier frequencies using frequency shift keying (FSK) modulation. Another type of spread spectrum communication system utilizes an RF carrier modulated by a digital
- 25 code sequence having a spreading code rate, or chipping rate, much higher than the clock rate of the information signal. These spread spectrum systems are known as "direct sequence" modulation systems. The RF carrier may be modulated such that a data stream has one phase when a spreading code sequence represents a data "one" and 180° phase shift
- 30 when the spreading code sequence represents a data "zero." The RF carrier



may also be binary or quadrature modulated by one or more data streams such that the data streams have one phase when a spreading code sequence represents a data "one" and a predetermined phase shift (e.g., 180° for binary, and 90° for quadrature) when the spreading code sequence represents a data "zero." These types of modulation are commonly referred to as binary shift key (BPSK) and quadrature shift key (QPSK) modulation,

respectively.

parameters.

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A primary drawback of operating a WLAN using spread spectrum communication is the high cost of the computing devices due primarily to the complexity of the radio receiver/transmitter. For certain applications, a narrowband RF radio receiver/transmitter would provide satisfactory performance while the high data throughput and integrity provided by a wideband spread spectrum radio receiver/transmitter would be unnecessary. Nevertheless, it would be costly and impractical to operate two separate narrowband and wideband WLAN systems simultaneously. As a result, WLAN system designers must select a single communication mode that provides a sufficient level of performance within practical cost

Thus, it would be highly desirable to provide a WLAN 20 infrastructure that permits multi-mode communication over both wideband spread spectrum and narrowband RF signals. Such a multi-mode WLAN could be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF 25 signals.

## SUMMARY OF THE INVENTION

In accordance with the teachings of the present application, a multi-mode radio frequency network is provided. The multi-mode radio 30 frequency network permits RF communication using both wideband spread

spectrum RF signals and narrowband RF signals.

More particularly, the multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a 5 second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access 10 controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequencyhopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further comprise periodic beacon signals that define discrete time periods which 15

further include a synchronous portion for communication of the narrowband signals and an asynchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and data collection devices adapted for
communication with the first and second types of computing device over the narrowband frequency range.

In a first embodiment of the invention, the second radio receiver/transmitter is adapted to receive frequency-hopping spread spectrum communication signals in addition to narrowband communication 25 signals. A receive section is adapted to receive radio frequency (RF) signals over the wideband and the narrowband frequency ranges and having a dowconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. An IF filter section is adapted to receive the IF signals and has a wideband 30 bandpass filter and a narrowband bandpass filter that are alternatively



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coupled to the IF signals to provide filtered IF signals. A demodulation section is adapted to receive the filtered IF signals and recover wideband and narrowband receive signals therefrom. A synthesizer section is adapted to generate the frequency-shifted carrier for the receive section. The frequency-shifted carrier is further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals, and a transmit

In a second embodiment of the invention, the second radio receiver/transmitter is adapted to receive direct sequence spread spectrum communication signals in addition to narrowband communication signals. A 10 receive section is adapted to receive radio frequency (RF) signals and has a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. A demodulation section receives the filtered IF signals and provides in-phase

section is adapted to transmit the modulated transmit signals.

and quadrature receive data signals therefrom. A synthesizer section 15 generates the carrier for the receive section, and the carrier is further modulated by in-phase and quadrature transmit data signals. A transmit section transmit the modulated transmit signals. Lastly, a control section controls the switching between wideband and narrowband modes of the

second radio receiver/transmitter in which the in-phase and quadrature 20 receive signals comprise wideband data in the wideband mode of the second radio receiver/transmitter, and the in-phase receive signals comprise narrowband data in the narrowband mode of the second radio receiver/transmitter.

25 A more complete understanding of the multi-mode radio frequency network will be afforded to those skilled in the art, as well as a realization of additional advantages and objects thereof, by a consideration of the following detailed description of the preferred embodiment. Reference will be made to the appended sheets of drawings which will first be 30

described briefly.

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# BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a system diagram illustrating a multi-mode WLAN of the present invention which includes a first type of computing device using
wideband RF communication signals and second type of computing device using narrowband RF communication signals;

Fig. 2 is a block diagram illustrating a first embodiment of a multi-mode radio receiver/transmitter adapted for frequency-hopping spread spectrum communication;

Fig. 3 is a block diagram illustrating a second embodiment of a multi-mode radio receiver/transmitter adapted for direct sequence spread spectrum communication; and

Fig. 4 is a timing diagram illustrating synchronous and asynchronous communication periods following a periodic beacon.

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## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention satisfies the need for a multi-mode WLAN infrastructure that supports both wideband spread spectrum and narrowband radio frequency signals. The multi-mode WLAN can be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF signals. In the detailed description that follows, it should be appreciated that like reference numerals are used to identify like elements illustrated in one or

25 more of the figures.

Referring first to Fig. 1, a system diagram of a multi-mode WLAN of the present invention is illustrated. The multi-mode WLAN includes a wired medium 2 having a plurality of interconnected nodes 3. At one of the nodes 3, a central computer controller 4 is coupled thereto which acts as a

30 server for the WLAN and controls communications between the nodes on



the wired medium 2. Two of the nodes 3 have access points 5 coupled thereto which permit communication between the wired medium 2 and the wireless computing devices of the WLAN that will be described in greater detail below. The access points 5 include an RF receiver/transmitter that communicates between the wired medium 2 and the wireless computing devices. As known in the art, information transmitted on the wired medium 2 may be in the form of data packets in accordance with well established computer network protocols, such as Ethernet or Token Ring. It should also

10 servers, printers, and data storage devices may be coupled to other nodes 3 of the wired medium 2.

The WLAN further includes a multi-mode computing device 6, a single-mode computing device 7, data collection devices 8, and data storage/retrieval devices 9. The multi-mode computing device 6 comprises a

be appreciated that other computer network elements, such as computers,

- 15 multi-mode RF receiver/transmitter adapted to communicate both narrowband RF signals and wideband RF signals. The single-mode computing device 7 comprises a single-mode RF receiver/transmitter adapted to communicate only narrowband RF signals. Both the multi-mode and single-mode computing device 6, 7 have generally similar external
- 20 features, such as a keypad, a data display, and an antenna; however, it is anticipated that the multi-mode computing device 6 be more sophisticated and have greater internal data processing capability than the single-mode computing device 7. For example, the multi-mode computing device 6 may include a faster central processing unit (CPU) and greater memory storage
- 25 capacity than the single-mode computing device 7, and similarly, may have a larger or more complete keypad and/or data display. For these reasons, it is anticipated that the multi-mode computing device 6 be utilized by supervisory level users and the single-mode computing device 7 be utilized by ordinary level users.

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The data collection devices 8 comprise conventional bar code readers used to convert information encoded in bar code symbols into electronic data signals. As known in the art, such data collection devices 8 typically include a light source adapted to be scanned across the bar code field, such as provided by a laser or light emitting diode (LED). The bar and space elements of the bar code symbol have different light reflectivity, and the information encoded into the bar code may thus be detected in the reflected light therefrom. Alternatively, the data collection devices 8 may collect an image of the bar code using an electro-optical imaging element, such as a charge coupled device (CCD), allowing the information encoded

into the bar code symbol to be interpreted from the collected image. The data collection devices 8 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals, or alternatively, may be directly coupled to the computing devices via an electrical cable.

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The data storage/retrieval devices 9 comprise conventional magnetic disk or tape drives used for non-volatile data storage. The data storage/retrieval devices 9 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals. Data collected by the computing devices 6, 7 may thus be downloaded to the data storage/retrieval devices 9 during the course of data collection operations, or alternatively, data stored in the data storage/retrieval devices may be accessed by the computing devices 6, 7. As a result, the data storage capacity of the computing devices

6, 7 can be reduced accordingly.

To operate the multi-mode WLAN, the access points 5 transmit periodic beacon signals that enable all the wireless elements of the WLAN to synchronize. As shown in Fig. 4, the periodic beacon signals (B) indicate the start of a time period during which RF communication will occur. This time period is divided into a synchronous communication period (S) and an asynchronous communication period (A). The synchronous communication

30 period is further sub-divided into fixed-length time slots  $S_1$ - $S_6$  which allow the



multi-mode computing device 6 to sequentially poll the data storage retrieval devices 9, the single-mode computing device 7, and the data collection devices 8 via narrowband RF communication signals. Also, the single-mode computing device 7 communicates with the access point 5 via narrowband

5 RF communication signals during one of the time slots. It is anticipated that the synchronous RF communication signals be transmitted using a common system clock that is synchronized to the periodic beacon signals.

During the asynchronous communication period, the multimode computing devices 6 communicate with the access points 5 over wideband spread spectrum RF communication signals. The spread spectrum RF communication signals may be either of the frequency-hopping or direct sequence variety, as will be further described below. The asynchronous spread spectrum communication signals A₁-A₂ do not have fixed time duration, but rather such signals are provided in the form of message packets that generally include a header identifying a start of a message and a trailer identifying an end of a message in accordance with

Referring now to Fig. 2, an embodiment of the multi-mode RF receiver/transmitter included in the multi-mode computing device 6 is illustrated. In accordance with this embodiment, the multi-mode RF receiver/transmitter is adapted to communicate both narrowband RF signals and wideband frequency-hopping spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 2 includes an RF receive section 10, an IF filter section 20, a demodulation section 30, a digital control section 40, a synthesizer section 50 and a transmit section 60.

25 synthesizer section 50 and a transmit section 60.

known data protocols.

The RF receive section 10 includes an antenna 12, a transmit/receive switch 14, a bandpass filter 15, low noise amplifier stages 16, 17, and a downconversion mixer 18. The antenna 12 is provided for receiving and transmitting RF signals to and from the receiver/transmitter.

30 The transmit/receive switch 14 has a common terminal that is electrically

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coupled to the antenna 12, and two contact positions electrically coupled to the bandpass filter 14 of the receive circuit and transmit circuit 60 (described below), respectively. The transmit/receive switch 14 enables the antenna 12 to be configured for either transmitting or receiving operations. As known in

- 5 the art, the transmit/receive switch 14 can be provided by mechanical switch elements, such as a relay, or can comprise solid state switching circuitry. It is preferable that the transmit/receive switch 14 have generally high speed switching characteristics to reduce delays between respective receiving and transmitting operations. Within the receive section 10, a received RF signal
- 10 is first provided to a bandpass filter 15 which rejects adjacent extraneous frequencies outside the bandwidth of the received signal. The low noise amplifier stages 16, 17 amplify the received and filtered signal to a desired amplitude level. The mixer 18 multiplies the amplified signal with a locally generated frequency-shifted carrier from the synthesizer section 50 to
- 15 produce an intermediate frequency (IF) signal having a constant difference in frequency between the received signal and the locally generated signal.

In the IF filter section 20, the IF signal is provided to one of two bandpass filters depending on whether the received RF signal is a synchronous narrowband signal or an asynchronous wideband signal. The

- 20 IF filter section 20 includes a first bandpass filter 24 and a second bandpass filter 26 coupled in parallel between two switch stages 22, 28. The first bandpass filter 24 is for reception of wideband frequency-hopping spread spectrum signals, and the second bandpass filter 26 is for reception of narrowband signals. It should be appreciated that the bandwidth of the first
- 25 bandpass filter 24 represents that of a single frequency channel within the wideband frequency range over which frequency-hopping spread spectrum signals are transmitted, and not the bandwidth of the entire wideband frequency range. The switches 22, 28 are controlled by the digital control section 40 (described below), so that the first bandpass filter 24 is enabled
- 30 during asynchronous communication periods and the second bandpass filter

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26 is enabled during synchronous communication periods.

Following the IF filter section 20, the filtered IF signal is provided to the demodulation section 30 which recovers the information contained within the original RF signal. The IF demodulation section 30 comprises an IF amplifier 32, an IF limiter 34, and a demodulator 36. The IF 5 amplifier 32 and IF limiter 34 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. The gain of these stages may be set at different levels depending on whether the received RF signal is a wideband or narrowband signal. The demodulator 36 is adapted to recover 10 both frequency shift key (FSK) modulated signals from a frequency-hopping spread spectrum wideband signal, and frequency modulation (FM) from a synchronous narrowband signal. A single demodulator circuit could be utilized to demodulate both wideband and narrowband signals either by dynamically changing the circuit's quality factor Q, or by accepting a 15 decreased signal to noise ration for the narrowband signal. Alternatively, separate demodulator circuits could be used for the narrowband and wideband signals that are selectively switched in the same manner as the IF filter section 20.

The digital control section 40 provides the main signal processing hardware for the radio receiver/transmitter, and is responsible for controlling the transmit/receive switching, bandwidth selection, frequency synthesizer programming, clock recovery and data handling/generation. The digital control section 40 comprises a microcontroller 42 and a host interface 44. The microcontroller 42 may be provided by an application specific integrated circuit (ASIC), a microprocessor, a digital signal processor or other such circuit element. The host interface 44 provides for communication between the receiver/transmitter portion of the computing device and a host portion that processes and utilizes the information that has been communicated. As known in the art, the microcontroller 42 performs its

30 functions by executing a series of commands or instructions, also referred to

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as a software program, that may be disposed on a permanent storage medium, such as a semiconductor read only memory (ROM) device or a magnetic medium.

- The synthesizer section 50 communicates with the digital 5 control section 40 to control the timing and selection of carrier frequencies. The synthesizer section 50 comprises a digital-to-analog (D/A) converter 52, a frequency synthesizer 54, a transmit loop filter 55, a receive loop filter 56, a transmit local oscillator 57, a receive local oscillator 58 and a voltage controlled oscillator 46. The frequency synthesizer 54 is programmed by a 10 plurality of digital data signals from the microcontroller 42, and provides a D.C. voltage signal to the transmit and receive local oscillators 57, 58 that corresponds to a selected frequency. The transmit and receive loop filters 55, 56 comprise low pass filters that remove high frequency noise from the D.C. voltage signals that occurs in the feedback loop. The transmit and 15 receive local oscillators 57, 58 further comprise voltage controlled oscillator (VCO) circuits that receive the D.C. voltage signals, and generate corresponding oscillating signals at the selected frequency. The oscillating signals from the transmit and receive local oscillators 57, 58 are also provided back to the frequency synthesizer 54 as feedback signals, as
- 20 known in the art.

The oscillating signal from the receive local oscillator 58 is provided to the mixer 18 of the receive section 10 as the frequency-shifted carrier. Digital data from the microcontroller 42 is converted to an analog signal by the D/A converter 52, which is provided to the transmit local 25 oscillator 57 to control the waveshape (i.e., amplitude and frequency) of the oscillating signal. By changing the frequency of the oscillating signal, multiple data rates can be supported. Also, by changing the amplitude of the oscillating signal, the frequency deviation of the transmitted carrier can be changed, allowing modulation of both wideband and narrowband data. The modulated oscillating signal from the transmit local oscillator 57 passes

through a VCO buffer amplifier 59, and is provided to the transmit section 60.

The transmit section 60 essentially reverses the process performed by the receive section 10. The data-modulated, frequency-shifted carrier passes through a bandpass filter 64 to remove any VCO harmonics generated by the synthesizer section 50. Thereafter, the data-modulated, frequency-shifted carrier is provided to a pre-driver 66 and a power amplifier 67 that amplify the carrier signal to a desired output level, and a low pass filter 68 for noise attenuation. Lastly, the amplified carrier signal is provided to the antenna 12 for RF transmission. It should be appreciated that the predriver 66 and amplifier 67 stages need not be linear amplifiers due to the constant envelope modulation, thereby making them more efficient than linear counterparts.

The transmit section 60 further includes a D/A converter 62 that modifies the characteristics of the pre-driver 66 and power amplifier 67. The microcontroller 42 calculates a digital offset value for the transmit 15 section 60 based on the frequency generated by the synthesizer section 50, in order to maintain an optimum power output level of the radio receiver/transmitter for each of the shifted frequencies across the wideband frequency range. The digital offset value is provided to the D/A converter, 20 which provides an analog control signal to bias the pre-driver 66 and power amplifier 67. An example of an RF transmitter that maintains power output level linearity across a range of transmitting frequencies is disclosed in Serial Number 08/823,611 for ADAPTIVE POWER LEVELING OF AN RF TRANSCEIVER UTILIZING INFORMATION STORED IN NON-VOLATILE 25 MEMORY, filed March 25, 1997, by the assignee herein.

Fig. 3 illustrates an alternative embodiment of the multi-mode RF receiver/transmitter in the multi-mode computing device 6 which is adapted to communicate both narrowband RF signals and wideband direct sequence spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 3 includes an RF receive section 10, a 1 .

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demodulation section 70, a digital control section 40, a synthesizer section 80 and a transmit section 60. The RF receive section 10, digital control section 40 and transmit section 60 of Fig. 3 are substantially the same as the corresponding sections of the multi-mode RF receiver/transmitter of Fig. 2, and further description of these sections is therefore omitted.

Following the RF receive section 10, the IF signal is provided to the demodulation section 70 which recovers the information contained within the original RF signal. The demodulation section 70 comprises a bandpass filter 72, an IF amplifier 73, an IF limiter 74, a demodulator 76, and
a narrowband and a wideband data low pass filter 77, 78. The bandpass filter 72 has a bandwidth sufficient for reception of wideband direct sequence spread spectrum signals. The IF amplifier 73 and IF limiter 74 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. As in the previous embodiment, the gain of these stages may
be set at different levels depending on whether the received RF signal is a

wideband or narrowband signal.

The demodulator 76 is adapted to recover binary phase shift key (BPSK) modulated signals from a direct sequence spread spectrum wideband signal and frequency modulation (FM) from a synchronous

- 20 narrowband signal. The demodulator 76 may further comprise a conventional QPSK demodulator circuit which provides an in phase (I) output and a quadrature (Q) output. By modulating the direct sequence spread spectrum data using BPSK modulation, the Q channel output provides the demodulated BPSK data through the associated wideband filter 78 and the I
- 25 channel output provides the demodulated FM signal through the associated narrowband filter 77. This way, a single demodulator circuit could be utilized to demodulate both wideband and narrowband signals without having to switch filters as in the previous embodiment.

The synthesizer section 80 communicates with the digital 30 control section 40 to control the timing and selection of carrier frequencies. 5

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On the receive side, the synthesizer section 80 comprises a frequency synthesizer 82, a receive loop filter 83 and a receive local oscillator 84. As in the previous embodiment, the frequency synthesizer 82 is programmed by a plurality of digital data signals from the microcontroller 42, and provides a D.C. voltage signal to the receive local oscillator 84 that corresponds to a selected frequency. The oscillating signal from the receive local oscillator 84 is provided back to the frequency synthesizer 82 as a feedback signal, and the receive loop filter 87 comprises a low pass filter that removes high frequency noise from the D.C. voltage signal that occurs in the feedback loop.

On the transmit side, the synthesizer section further comprises a transmit loop filter 87, a transmit local oscillator 89, an I-channel data low pass filter 85, a Q-channel data low pass filter 88, an I-channel mixer 86, a Q-channel mixer 91, a phase shift circuit 92 and a summing circuit 94. The frequency synthesizer 82 provides a D.C. voltage signal to the transmit local oscillator 89 to provide an oscillating signal, which is in turn provided back to the frequency synthesizer as a feedback signal. The oscillating signal from the transmit local oscillator 89 is provided to the phase shift circuit 92, which provides the oscillating signal to the I-channel mixer 86 and shifts the phase of the oscillating signal by 90° and provides the phase-shifted oscillating signal to the Q-channel mixer 91. I-channel data (i.e., narrowband data) and Q-channel data (i.e., wideband data) generated by the digital control section

86, 91. The Q-channel data low pass filter 88 has a wider bandwidth than the I-channel data low pass filter 85 with a frequency cutoff consistent with the required direct sequence spread spectrum data format. The mixers 86, 91 modulate the I and Q-channel data with the respective oscillating signals, and these modulated data signals are summed by the summing device 94. Lastly, the modulated oscillating signal from the summing device 94 passes

40 is provided through the respective filters 85, 88 to the respective mixers

30 through a VCO buffer amplifier 96, and is provided to the transmit section 60.

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In the wideband mode (i.e., direct sequence spread spectrum communication), the receiver/transmitter operates as an ordinary direct sequence spread spectrum radio. The digital control section 40 controls the transmit and receive operation, using data from the wideband filter 78, programs the synthesizer 82 for the desired channel frequency, and outputs the proper spreading sequence data to the synthesizer section 80 for transmit on the Q-channel. In the narrowband mode, the operation is the same, except that at the time interval defined by the beacon signal the receiver/transmitter is placed in the narrowband mode. The digital control section 40 selects the data from the narrowband filter 77 for reception of narrowband data. When transmitting, the digital control section 40 outputs data of a lower data rate onto the l-channel only, creating a signal of narrower bandwidth than the direct sequence spread spectrum signal.

Having thus described a preferred embodiment of a multi-mode radio frequency network, it should be apparent to those skilled in the art that certain advantages have been achieved. It should also be appreciated that various modifications, adaptations, and alternative embodiments thereof may be made within the scope and spirit of the present invention. The invention is solely defined by the following claims.

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#### <u>CLAIMS</u>

#### What is Claimed is:

1. A multi-mode radio frequency network, comprising:

at least one first type of computing device having a first radio receiver/transmitter adapted for communication over a narrowband frequency range;

at least one second type of computing device having a second 10 radio receiver/transmitter adapted for communication over both said narrowband frequency range and a wideband frequency range; and

a network access controller adapted for communication with said at least one first type of computing device and said at least one second type of computing device over respective ones of said narrowband and said 15 wideband frequency ranges, said network access controller providing synchronization signals for coordinating timing of communications over said narrowband and said wideband frequency ranges.

The multi-mode radio frequency network of Claim 1,
 wherein said second radio receiver/transmitter provides spread spectrum communication signals over said wideband frequency range.

 The multi-mode radio frequency network of Claim 2, wherein said spread spectrum communication signals further comprise
 frequency-hopping spread spectrum signals.

4. The multi-mode radio frequency network of Claim 2, wherein said spread spectrum communication signals further comprise direct sequence spread spectrum signals.

5. The multi-mode radio frequency network of Claim 1, wherein said wideband frequency range communications occur in a substantially asynchronous manner.

- 5 6. The multi-mode radio frequency network of Claim 1, wherein said narrowband frequency range communications occur in a substantially synchronous manner.
- The multi-mode radio frequency network of Claim 1,
   further comprising at least one data storage/retrieval device adapted for communication with each of said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.
- 15 8. The multi-mode radio frequency network of Claim 1, further comprising at least one data collection device adapted for communication with said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.
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9. The multi-mode radio frequency network of Claim 1, wherein said synchronization signals further comprise periodic beacon signals.

25 10. The multi-mode radio frequency network of Claim 9, wherein said periodic beacon signals define respective discrete time periods which further include a synchronous portion and an asynchronous portion.

The multi-mode radio frequency network of Claim 1,
 wherein said second radio receiver/transmitter further comprises an



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intermediate frequency portion having a wideband filter, a narrowband filter, and means for switching between said wideband and narrowband filters based upon said synchronization signals.

5 12. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

a receive section adapted to receive radio frequency (RF) signals over said wideband and said narrowband frequency ranges and having a dowconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

a demodulation section adapted to receive said filtered IF 15 signals and recover wideband and narrowband receive signals therefrom;

a synthesizer section adapted to generate said frequencyshifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals; and

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a transmit section adapted to transmit said modulated transmit signals.

13. The multi-mode radio frequency network of Claim 12, further comprising a control section adapted to select between said 25 wideband bandpass filter and said narrowband bandpass filter.

14. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

a receive section adapted to receive radio frequency (RF) 30 signals and having a downconversion mixer to mix the RF signals with a

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carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom;

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a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

a transmit section adapted to transmit said modulated transmit signals; and

10 a control section adapted to control switching between wideband and narrowband modes of said second radio receiver/transmitter, wherein said in-phase and quadrature receive signals comprise wideband data in said wideband mode of said second radio receiver/transmitter, and said in-phase receive signals comprising narrowband data in said 15 narrowband mode of said second radio receiver/transmitter.

The multi-mode radio frequency network of Claim 14, wherein said demodulation section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and quadrature phase shift key (QPSK) modulation from said wideband data.

16. The multi-mode radio frequency network of Claim 14, wherein said wideband data further comprises direct sequence spread spectrum data.

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17. An apparatus for communicating in both narrowband and wideband frequency ranges comprising:

a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a 30 frequency-shifted carrier signal to downconvert the RF signals to

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intermediate frequency (IF) signals;

an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

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a demodulation section adapted to receive said filtered IF signals and recover wideband and narrowband receive signals therefrom;

a synthesizer section adapted to generate said frequencyshifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals; and

a transmit section adapted to transmit said modulated transmit signals.

18. The apparatus of Claim 17, wherein said demodulation
 15 section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband signals and frequency shift key (FSK) modulation from said wideband signals.

20 and wideband frequency ranges comprising:

a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

25 a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom; a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

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a transmit section adapted to transmit said modulated transmit signals; and

a control section adapted to control switching between wideband and narrowband modes of said apparatus, wherein said in-phase 5 and quadrature receive signals comprise wideband data in said wideband mode of the apparatus, and said in-phase receive signals comprising narrowband data in said narrowband mode of the apparatus.

20. The apparatus of Claim 19, wherein said demodulation
 10 section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and quadrature phase shift key (QPSK) modulation from said wideband data.

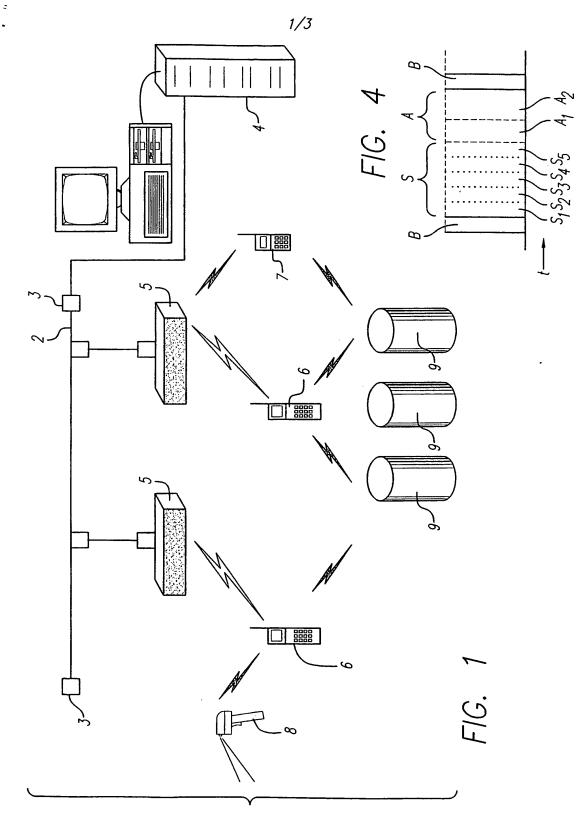
21. The apparatus of Claim 19, wherein said wideband data15 further comprises direct sequence spread spectrum data.

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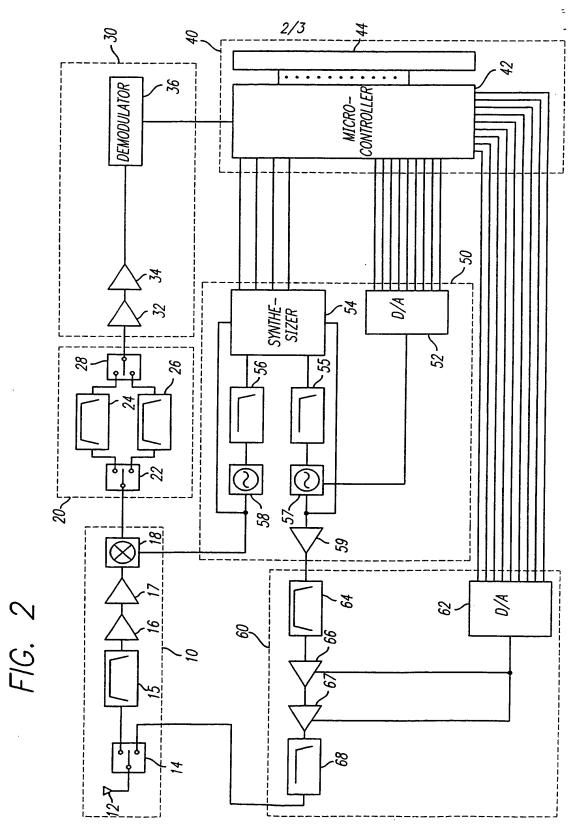




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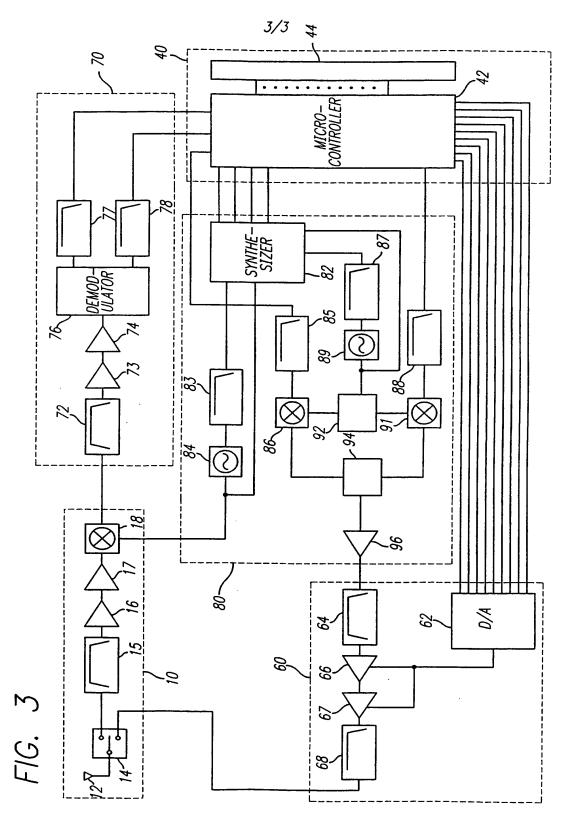
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### INTERNATIONAL SEARCH REPORT

Interna 31 Application No PCT/US 98/22969 .

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A. CLASS IPC 6	IFICATION OF SUBJECT MATTER H04L12/28 H04B1/69		-
According	o International Patent Classification (IPC) or to both national classific	cation and IPC	
8. FIELDS	SEARCHED		
Minimum a IPC 6	ocumentation searched (classification system followed by classificat H04L H04Q H04B	ion symbols)	
Documenta	tion searched other than minimum documentation to the extent that	such documents are included in the fields s	Parched
	tata base consulted during the international search (name of data ba	ase and, where practical, search terms used	)
C. DOCUM			
Category -	Citation of document. with indication, where appropriate, of the rel	levant passages	Relevant to ctaim No.
A	US 5 291 516 A (DIXON ROBERT C 4 1 March 1994 see column 1, line 60 - column 3 see column 4, line 57 - column 8	, line 30	1,4, 11-14, 16,17, 19,21
A	see claims 1,4-6 WO 97 32403 A (ERICSSON GE MOBILE 4 September 1997 see abstract see page 2, line 5 - page 5, line see page 6, line 23 - page 7, lin see page 11, line 22 - page 12, 1 see claims 1,10	e 14 ne 2	1,11-13
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X Furth	ner documents are listed in the continuation of box C.	Patent family members are listed	in annex.
"A" docume consid "E" earlierd filing d "L" docume which i citation "O" docume other n	nt defining the general state of the art which is not ered to be of particular relevance locument but published on or after the international ate in which may throw doubts on priority claim(s) or s crited to establish the publication date of another or other special reason (as specified) int referring to an oral disclosure, use, exhibition or heans in published prior to the international filing date but	<ul> <li>*T" later document published after the inte or priority date and not in conflict with cited to understand the principle or the invention</li> <li>*X" document of particular relevance: the c cannot be considered novel or cannot involve an inventive step when the doc</li> <li>*Y" document of particular relevance; the c cannot be considered to involve an inv document is combined with one or mo- ments, such combined with one or mo- ments, such combination being obviou- in the art.</li> <li>*A" document member of the same patent f</li> </ul>	the application but laimed invention be considered to cument is taken alone aimed invention rentive step when the re other such docu- is to a person skilled
Date of the a	ictual completion of the international search	Date of mailing of the international sea	rch report
	9 February 1999	02/03/1999	
Name and m	ailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Karavassilis, N	

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4	SKELLERN D J ET AL: "A HIGH-SPEED WIRELESS LAN" IEEE MICRO, vol. 17, no. 1, January 1997, pages 40-47, XP000642695 see page 43, left-hand column, line 1 - line 31; figure 4	14,17,19
Ą	BANTZ D F ET AL: "WIRELESS LAN DESIGN ALTERNATIVES" IEEE NETWORK: THE MAGAZINE OF COMPUTER COMMUNICATIONS, vol. 8, no. 2, 1 March 1994, pages 43-53, XP000515079 see page 46. left-hand column, line 35 - page 47, left-hand column, line 46	2-4,16, 21

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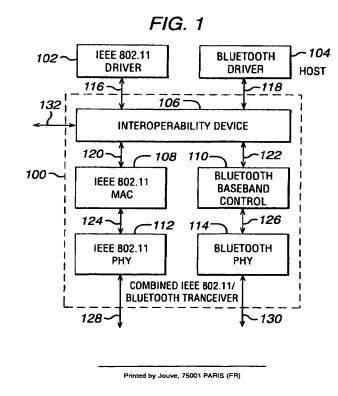
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(57) The key of the invention is to introduce an interoperability device in a communication system which integrates an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addition, the device preferably prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). Optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design.



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#### Description

[0001] The present invention relates to both Bluetooth and IEEE 802.11 radio communication systems.

- [0002] IEEE 802.11 is a standard for wireless systems that operate in the 2.4 2.5 GHz ISM (industrial, scientific and medical) band. This ISM band is available world-wide and allows unlicensed operation for spread spectrum systems. For both the US and Europe, the 2,400 - 2,483.5 MHz band has been allocated, while for some other countries, such as Japan, another part of the 2.4 - 2.5 GHz ISM band has been assigned. The 802.11 standard focuses on the MAC (medium access control) protocol and PHY (physical layer) protocol for access point (AP) based networks and ad-hoc networks.
- 10 [0003] In access point based networks, the stations within a group or cell can communicate only directly to the access point. This access point forwards messages to the destination station within the same cell or through a wired distribution system to another access point, from which such messages arrive finally at the destination station. In ad-hoc networks, the stations operate on a peer-to-peer level and there is no access point or (wired) distribution system.
- [0004] The 802.11 standard supports: DSSS (direct sequence spread spectrum) with differential encoded BPSK and QPSK; FHSS (frequency hopping spread spectrum) with GFSK (Gaussian FSK); and infrared with PPM (pulse position modulation). These three physical layer protocols (DSSS, FHSS and infrared) all provide bit rates of 2 and 1 Mbit/s. The 802.11 standard further includes extensions 11a and 11b. Extension 11b is for a high rate CCK (Complementary Code Keying) physical layer protocol, providing bit rates 11 and 5.5 Mbit/s as well as the basic DSSS bit rates of 2 and 1 Mbit/s within the same 2.4 - 2.5 GHz ISM band. Extension 11a is for a high bit rate OFDM (Orthogonal Frequency
- 20 Division Multiplexing) physical layer protocol standard providing bit rates in the range of 6 to 54 Mbit/s in the 5 GHz band. The 802.11 basic medium access behaviour allows interoperability between compatible physical layer protocols through the use of the CSMA/CA (carrier sense multiple access with a collision avoidance) protocol and a random back-off time following a busy medium condition. In addition all directed traffic uses immediate positive acknowledgement (ACK frame), where a retransmission is scheduled by the sender if no positive acknowledgement is received.
- 25 The 802.11 CSMA/CA protocol is designed to reduce the collision probability between multiple stations accessing the medium at the point in time where collisions are most likely occur. The highest probability of a collision occurs just after the medium becomes free, following a busy medium. This is because multiple stations would have been waiting for the medium to become available again. Therefore, a random back-off arrangement is used to resolve medium contention conflicts. In addition, the 802.11 MAC defines: special functional behaviour for fragmentation of packets; medium
- reservation via RTS/CTS (request-to-send/clear-to-send) polling interaction; and point co-ordination (for time-bounded services).
   [0005] The IEEE 802.11 MAC also defines Beacon frames, sent at a regular interval by an AP to allow STAs to monitor the presence of the AP. IEEE 802.11 also defines a set of management frames including Probe Request frames which are sent by an STA, and are followed by Probe Response frames sent by the AP. Probe Request frames allow
- an STA to actively scan whether there is an AP operating on a certain channel frequency, and for the AP to show to the STA what parameter settings this AP is using.
   [0006] Bluetooth technology allows for the replacement of the many proprietary cables that connect one device to
- another with one universal short-range radio link. For instance, Bluetooth radio technology built into both a cellular telephone and a laptop would replace the cumbersome cable used today to connect a laptop to a cellular telephone. Printers, personal digital assistant's (PDA's), desktops, computers, fax machines, keyboards, joysticks and virtually
- any other digital device can be part of the Bluetooth system. But beyond un-tethering devices by replacing the cables, Bluetooth radio technology provides a universal bridge to existing data networks, a peripheral interface, and a mechanism to form small private ad-hoc groupings of connected devices away from fixed network infrastructures. [0007] Designed to operate in a noisy radio frequency environment, the Bluetooth radio system uses a fast acknowl-
- 45 edgement and frequency hopping scheme to make the link robust. Bluetooth radio modules avoid interference from other signals by hopping to a new frequency after transmitting or receiving a packet. Compared with other systems operating in the same frequency band, the Bluetooth radio system typically hops faster and uses shorter packets. This makes the Bluetooth radio system more robust than other systems. Short packets and fast hopping also limit the impact of domestic and professional microwave ovens. Use of Forward Error Correction (FEC) limits the impact of random
- noise on long-distance links. The encoding is optimised for an uncoordinated environment. Bluetooth radios operate in the unlicensed ISM band at 2.4 GHz. A frequency hop transceiver is applied to combat interference and fading. A shaped, binary FM modulation is applied to minimise transceiver complexity. The gross data rate is 1Mb/s.
   [0008] A Time-Division Duplex scheme is used for full-duplex transmission. The Bluetooth baseband protocol is a combination of circuit and packet switching. Slots can be reserved for synchronous packets. Each packet is transmitted
- in a different hop frequency. A packet nominally covers a single slot, but can be extended to cover up to five slots. Bluetooth can support an asynchronous data channel, up to three simultaneous synchronous voice channels, or a channel which simultaneously supports asynchronous data and synchronous voice. Each voice channel supports 64 kb/s synchronous (voice) link. The asynchronous channel can support an asymmetric link of maximally 721 kb/s in

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either direction while permitting 57.6 kb/s in the return direction, or a 432.6 kb/s symmetric link.

[0009] The IEEE 802.11 standard is well-established and local area networks are already implemented based on the standard, typically in office environments. As Bluetooth comes into the market, it is likely to be implemented in a domestic environment for communications within the home, for example. Thus someone with a lap-top computer may wish to connect to a IEEE 802.11 wireless local area network in the workplace, and connect to a device, such as a

mobile telephone, using a Bluetooth interface outside of the workplace. [0010] It is therefore an object of the present invention to provide a means for enabling such a single device to interface via both an IEEE 802.11 radio system and a Bluetooth radio system.

- [0011] According to one aspect of the present invention there is provided a device incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of fre-10 quencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio systems such that such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.
- [0012] The device may be additionally controlled such that when one device is transmitting the other device cannot 15 receive or transmit. The device may be additionally controlled such that when one device is receiving the other device cannot receive or transmit.

[0013] The control means may comprise a switching means, the switching means being adapted to switch on and off the first and second radio systems.

[0014] The control means may comprise a multiplexing means adapted to time multiplex transmissions from the first 20 and second radio systems.

[0015] The control means may comprise a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

- [0016] The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmis-25 sions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
- [0017] The control means may prevent transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission. The control means may prevent transmission of Bluetooth ACL packets during an IEEE 802.11 packet trans-30 mission.

[0018] The first and second radio systems may share a common physical layer.

[0019] According to another aspect of the present invention there is provided a method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range

of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single 35 device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.

[0020] The method may further comprise controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.

- [0021] The method may further comprise controlling the radio systems such that one device is receiving the other device cannot receive or transmit.
  - [0022] The radio systems may be controlled by switching on and off the first and second radio systems.
  - [0023] The radio systems may be controlled by time multiplexing transmissions from the first and second radio systems

The method may comprise time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, [0024] the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

[0025] The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be 50

- through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six. [0026] The method may further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission. The method may further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
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- [0027] The first and second radio systems may share a common physical layer.[0028] Therefore if both an IEEE 802.11 radio transceiver and a Bluetooth radio transceiver reside in a single device (for instance in a laptop computer) they can transmit and receive in the same radio frequency simultaneously, even though both communication standards make use of the same 85 MHz wide ISM band, at around 2.4 GHz. This is

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achieved by a Bluetooth device in a computer being prevented from transmitting data whilst an 802.11 device is attempting to receive data and vice versa.

[0029] Even if the RF frequency that the receiving device is tuned to is different, but still in the same band that the transmitting device is using, the emitted power will jam the receiver, rendering it unable to receive the intended signal. [0030] The invention solves this problem by introducing an interoperability device, that is connected both to the

⁵ [0030] The invention solves this problem by introducing an interoperability device, that is connected both to the medium access controller of the IEEE 802.11 device and to the baseband controller of the Bluetooth device.
 [0031] The invention also proposes an alternative solution, called dual mode operation, where the IEEE 802.11 devices operate in a different radio frequency band than the Bluetooth system.
 [0032] The key of the invention to introduce an interoperability device in a communication system which integrates

- 10 an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addition, the device prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design. The invention also covers a dual band mode in which the IEE802.11
- 15 device and the Bluetooth device work in a different frequency band, and allows completely parallel operation of the two devices.

[0033] The invention will now be described by way of example with reference to the accompanying Figures, in which:

Figure 1 illustrates a high-level architecture for implementing the present invention;

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Figure 2 illustrates the architecture of Figure 1 adapted to utilise radio re-use in accordance with a preferred embodiment of the invention;

Figure 3 illustrates a Bluetooth HV-*i* packet;

Figure 4 illustrates the time-slot allocation for transmission of three different HV-i schemes;

Figure 5 illustrates a forward and reverse packet structure for IEEE 802.11; and

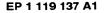
30 Figure 6 illustrates a possible single chip implementation of the present invention.

[0034] The invention serves to solve a fundamental problem associated with providing both a Bluetooth radio system and an IEEE 802.11 radio system in a single device. The fundamental problem that has been identified is that if either one of the radio systems is transmitting, there is need to prevent the other radio system from receiving or else the

35 receiving system will be drowned out by the transmitting system. As will be further discussed hereinbelow, further problems associated with the dual operation of a IEEE 802.11 and Bluetooth radio system are overcome by preferred embodiments of the present invention as discussed hereinbelow.

[0035] Referring to Figure 1, there is illustrated a high-level architecture of the combination of an IEEE 802.11 radio system transceiver and a Bluetooth radio system transceiver in a single system, in conjunction with an interoperability device in accordance with the present invention. It will be understood by one skilled in the art that only those elements

- necessary for the implementation of the present invention are shown in Figure 1. **[0036]** The dual mode transceiver of Figure 1 comprises: an IEEE 802.11 physical layer functional element 112; an IEEE 802.11 MAC layer functional element 108; a Bluetooth physical layer functional element 114; a Bluetooth baseband control functional element 110; and an interoperability device 106, all of which comprise a combined IEEE 802.11
- /Bluetooth transceiver generally designated by reference numeral 100. In addition an IEEE 802.11 driver 102 and a Bluetooth driver 104 are shown in Figure 1.
  [0037] The IEEE 802.11 driver 102 receives IEEE 802.11 packets from the dual mode transceiver 100 on lines 116, and transmits IEEE 802.11 packets to the dual mode transceiver 100 on lines 116. The Bluetooth driver 104 receives
- Bluetooth packets from the dual mode transceiver 100 on lines 118, and transmits Bluetooth packets to the dual mode
   transceiver on lines 118. The operation of the respective drivers 102 and 104 is exactly the same as their operation would be if the device were provided with a single IEEE 802.11 or Bluetooth transceiver respectively. However their function may be extended in the sense that they pass on switching signal from application(s) to the interoperability device 106.
- [0038] The IEEE 802.11 MAC functional element 108 and the IEEE 802.11 physical functional element 112 form the IEEE 802.11 transceiver of the dual mode transceiver. The IEEE 802.11 MAC functional element 108 operates in accordance with the IEEE standard arrangement to control access to the IEEE 802.11 transmission medium by the device to which it is connected. The IEEE 802.11 MAC functional element 108 receives and transmits IEEE 802.11 packets to and from the interoperability device 106 via lines 120, and transmits and receives IEEE 802.11 packets to



and from the IEEE 802.11 physical layer functional element 112 via lines 124. The IEEE 802.11 physical layer functional element 112 operates in accordance with the IEEE standard arrangement to perform modulation etc. of the IEEE 802.11 packets and transmit/receive the packets via lines 128, which interface the element to the device antenna.

- [0039] The Bluetooth baseband control functional element 110 and the Bluetooth physical layer functional element 114 form the Bluetooth transceiver of the dual mode transceiver. The Bluetooth baseband control functional element 5 110 operates in accordance with the Bluetooth standard arrangement to control access to the transmission medium by the device to which it is connected. The Bluetooth paseband control functional element 110 receives and transmits Bluetooth packets to and from the interoperability device 106 via lines 122, and transmits and receives Bluetooth packets to and from the Bluetooth physical layer functional element 114 via lines 126. The IEEE 802.11 physical layer
- 10 functional element 114 operates in accordance with the Bluetooth standard arrangement to perform modulation etc. of the Bluetooth packets and transmit/receive the packets via lines 130, which interface the element to the device antenna.
  - [0040] The control of IEEE 802.11 packets and Bluetooth packets from the respective drivers 102 and 104 to the respective transceiver elements 108/112 and 110/114 is controlled in accordance with the invention by the interoperability device 106. As shown in Figure 1, the interoperability device is additionally connected to control circuitry within
- the device via control signal lines 132. [0041] The dual mode transceiver 100 operates in accordance with the invention in one of two modes. A first mode is a switching mode and a second mode is a multiplexing mode, both of which modes are discussed in further detail herein below.
- 20 [0042] In the switching mode of operation, the interoperability device 106 deactivates the Bluetooth transceiver (110/114) whenever the IEEE 802.11 transceiver (108/112) is activated, and vice versa. The interoperability device 106 is adapted to make the decision as to which mode of operation to switch to or activate. There are several alternative criteria on which the interoperability device may make this decision.
- [0043] In a first alternative, the user of the device may decide which mode to switch to. For instance when the user 25 is at home and wants to connect to the Internet through a telephone, the user may decide to switch to Bluetooth mode and dial up to an Internet Service Provider (ISP). When the user is in the office, where an IEEE 802.11 wireless LAN is present, the IEEE 802.11 mode may be selected by the user, to enable the user to log on to the network. This mode requires the user to know which is the appropriate interface to use for the chosen application. The user command will most likely be provided through an interface, such as a screen and keypad, on the device itself, and notified to the
- 30 interoperability device 106 via a command signal from a central processor or controller in the device. In addition mixed environments, where both Bluetooth and IEEE 802.11 exist, may be present for example in an office environment. [0044] In an alternative, the notification of the mode of operation may be provided to the transceivers via control from the CPU through regular drivers, or through a dedicated interoperability device driver.
- [0045] In a second alternative, application software may control which mode the device switches to. For instance 35 when the user chooses to synchronise a Personal Digital Assistant (PDA), the data-synchronisation application in the PC may tell the interoperability device to switch to Bluetooth mode. When the user chooses to surf the World Wide Web (WWW), the browser application (or the network driver software supporting it) may tell the interoperability device to switch to IEEE 802.11 mode. Again, the interoperability device 106 may be instructed via a command signal from a central processor or controller.
- 40 [0046] In a third alternative, a protocol sniffer may determine whether it detects the presence of an IEEE 802.11 device or a Bluetooth device on the air interface, and set the mode of the interoperability device accordingly. When the protocol sniffer detects both Bluetooth and IEEE 802.11 devices, it may choose a mode that the user has indicated as preferential, or it may consult the user as in the first alternative. Alternatively, the protocol sniffer may let the application decide as in the second alternative.
- 45 [0047] Thus in the switching mode the interoperability device operates merely to deactivate, or switch off, one of the two transceivers within the dual mode transceiver. This operation is transparent to the functional elements of the respective transceivers, and also to the other processing functionality in the device itself. When the interoperability device is switched to "IEEE 802.11" mode the transceiver 100 behaves as an IEEE 802.11 transceiver. When the interoperability device is switched to "Bluetooth" mode the transceiver 100 behaves as an Bluetooth transceiver.
- 50 [0048] In the switching mode, turning off one transceiver when the other is transmitting means that the one transceiver cannot receive or transmit when the other is transmitting. Thus when employing the switching mode only one radio system needs to be operating at a given time, which means that the radio hardware can be reused. [0049] Figure 2 illustrates the dual mode transceiver of Figure 1 re-configured to utilise radio re-use. As can be seen
- from Figure 2, the functionality of the IEEE 802.11 physical layer functional element 112 and the Bluetooth physical 55 layer functional element 114 are combined into a single functional element referred to as the IEEE 802.11/Bluetooth dual physical layer functional element, and denoted by reference numeral 200. The dual functional element 200 transmits and receives IEEE 802.11 and Bluetooth packets on signal lines 204 to the device antenna.

[0050] The IEEE 802.11/Bluetooth dual physical layer functional element is controlled by the interoperability device

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via signal lines 202 to operate as the physical layer functional element for either IEEE 802.11 or Bluetooth in accordance with the current mode of operation selected.

[0051] In the multiplexing mode of operation the IEEE 802.11 transmitter is switched off when the Bluetooth transmitter is receiving data and the Bluetooth transmitter is switched off when the IEEE 802.11 device is receiving data. In

- 5 this way one radio system is never transmitting when the other is receiving, and vice versa. The interoperability device 106 observes the rules of the medium access control protocols, and while the transmission and reception of the IEEE 802.11 and Bluetooth radio systems are time multiplexed, it will appear to the user that the two systems operate in parallel. There will, however, be some performance impact (reduced data throughput, increased data error rate, reduced voice quality).
- 10 [0052] Furthermore, the interoperability device 106 additionally preferably does not allow the IEEE 802.1 and Bluetooth radio systems to transmit at the same time. Thus interference of one signal with the other at an external (remote) receiver is prevented.

[0053] In a preferred implementation of the multiplexing mode, if an IEEE 802.11 packet must be transmitted, all Bluetooth data connections are placed in the so-called PARK mode. The interoperability device 106 will issue one

- HLC_Park_Mode primitive per active ACL (Asynchronous Connectionless data) connection to the Bluetooth transceiver, to put all ACL connections in PARK mode. The PARK mode of the Bluetooth radio system will be familiar to one skilled in the art. In this way, the Bluetooth radio system is deactivated whilst an IEEE 802.11 transmission takes place.
  [0054] Although the example implementation is presented herein with reference to a discussion of the Bluetooth PARK mode, it will be appreciated by one skilled in the art that the Bluetooth HOLD mode may alternatively be utilised.
- 20 [0055] If-there are active Bluetooth SCO (Synchronous, connection-oriented voice) connections, which transmit and receive periodically in a 0.625 ms Bluetooth slot, then the IEEE 802.11 transceiver must schedule its packet transmissions in-between the Bluetooth packets. The Bluetooth SCO connections are real-time (voice) connections. The inter-operability device 106 must take the full IEEE packet exchange period into account, which includes an acknowledgement packet (ACK) and (when the RTS/CTS transmission mode is used) an RTS and CTS packet.
- [0056] Further hereinbelow a detailed implementation for scheduling IEEE 802.11 packets in an active SCO connection is given. A 'slot-stealing' scheme is explained and a calculation of data throughput that can be achieved given. [0057] The IEEE 802.11 packets may need to be as short as a single slot when such a slot-stealing scheme is implemented, and this implies that the interoperability device 106 has to implement a packet fragmentation and reassembly scheme, so that it can divide IEEE 802.11 packets in chunks that can be accommodated in the number of
- Bluetooth slots that are available. The IEEE 802.11's own fragmentation mechanisms cannot be used, since these mechanisms assume that all fragments are sent consecutively. In the detailed implementation described hereinbelow, a suitable fragmentation scheme is discussed.
   [0058] In the following, an example is given for introducing the IEEE 802.11 functionality into a Bluetooth radio system,
- to enable both radio systems to function together in the same device. The following example is not limiting of the
   present invention, and the person skilled in the art will recognise that other possibilities exist for the implementation of
   such an architecture. However, as the Bluetooth specification is dominant the following is a preferred implementation.
   [0059] The standard Bluetooth radio system uses Frequency Shift Keying (FSK) modulation, sending one bit of
   information per symbol time of 1µs. Thus the raw bit-rate is 1 Mbit/s. A packet consists of a preamble, containing a
   channel access code and a payload. The payload, in turn, is divided into a header (containing packet type, destination
   address and some other information fields) and a user payload field.
- [0060] On the synchronous connection orientated (SCO) links, voice packets are used. The voice packets are typically of the high-quality voice (HV) types HV1, HV2 or HV3. All of these packet types have a 30-byte payload. The most robust packet, HV1, uses rate 1/3 Forward Error Correction (FEC). Packet type HV2 uses rate 2/3 FEC, and type HV3 does not use FEC at all. The number of user bytes is 10,20 and 30 bytes respectively for HV1, HV2 and HV3.
- ⁴⁵ The packet layout of an Hv-i (where ⊨1,2,3) packet is shown in Figure 3. The total duration of a HV-i voice packet is 330 μs. Referring to Figure 3, it can be seen that the Hv-i packet 300 comprises a 72 bit preamble 302, an 18 bit header 304, and a 240 bit (or 30 byte) payload 306.

[0061] In addition to the HV-*i* type packets, there also exists for Bluetooth a data and voice (DV) type packet. The DV type packet offers the same performance as HV3 (i.e. with no FEC), and carries a variable amount of data as well as voice in the same packet. However, a DV packet carries only 10 user bytes, i.e. a third of HV3's user bytes. The duration of the DV packet is 238 to 356 µs, depending on the amount of data carried.

**[0062]** Bluetooth packets are sent in time slots, which each have a duration of 625 μs. However packets must be less then 625 μs to allow the radio system sufficient time to hop to another frequency between time slots. Examples of channel operation for HV1, HV2 and HV3 connection are shown in Figure 4, and described further hereinbelow.

55 [0063] Figures 4(a) to 4(c) illustrate timing diagrams for a single Bluetooth voice connection, based on HV1 (Figure 4(a)), HV2 (Figure 4(b)), or HV3 (Figure 4(c)) packets. The shaded packets are in the forward direction (from Bluetooth master device to Bluetooth slave device), and the clear packets are in the reverse direction (from Bluetooth slave device to Bluetooth master device). Eight time slots TS1 to TS8 are shown. As can be seen forward packets are sent

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in odd-numbered time-slots and reverse packets are sent in even-numbered time-slots. The frequency hops, in accordance with the Bluetooth standard, on every time slot, such that the frequencies  $f_1$  to  $f_8$  are hopped-to in times slots TSIto TS8 respectively.

- [0064] All voice connection rates are specified to be 64 kbit/s. To achieve this rate a HV1 packet must be sent every other slot, since in every HV1 packet (1/3)×30×8 = 80 bits of user data are sent. (1/3) is the FEC used in HV1, and 30x8 is the number of bits in a 30 byte payload. One packet is sent every 2×0.625ms time-slots, which is equal to 1.25 milliseconds, 0.625 ms being the length of each slot. The user bit rate is thus 80/1.25 bits/ms = 64 kbit/s. Since a voice link is full duplex, the other remaining alternate empty slots are required for the reverse link. This allocation of forward and reverse packets to time-slots is shown in Figure 4(a).
- [0065] HV2 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every four slots, as shown in Figure 4(b).
   [0066] HV3 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every six slots, as shown in Figure 4(c). Thus even if there were two HV3 links active, there would still be required only four time-slots in every six time-slots, leaving two time-slots in every six free.
- 15 [0067] As a DV packet, similar to a HV1 packet, carries only 10 user bytes, a DV packet must similarly be transmitted every other slot to achieve a rate of 64 kbit/s.
   [0068] Hence in combination with a single HV1 or DV voice link, no IEEE 802.11 data traffic can be transmitted or received without reducing the voice quality of the transmission.
- [0069] With a single HV2 link, or HV3 links, two slots are available for IEEE 802.11 traffic. With a single HV3 link, 4 slots are available for IEEE 802.11 traffic.
- **[0070]** Working within these parameters set by the Bluetooth transmission system, it is necessary to determine what IEEE 802.11 user bit rate is possible, given the available time slots. As discussed further hereinbelow, this depends to a certain extent on the overhead of the IEEE802.11 packet.
- [0071] IEEE 802.11 packets have either a short or a long preamble, of 96 or 192 μs respectively. The IEEE 802.11 packet payload is transmitted at a rate of one byte in every symbol time with a duration of 8/11-th μs. This gives a bit rate of 11 Mbit/s. The payload contains a 24 byte header and a 32 bit (4 byte) CRC field, which takes 28 × (8/11) = 20.3 μs to send in total. A SIFS (Short Interframe Space) time of 10 μs after correct reception of a packet, the recipient transmits an acknowledgement packet, which consists of a header of 96 or 192 μs. The payload contains MAC protocol control information of 14 bytes that take 14×8/11 = 10.2 μs to transmit. Figure 5 depicts an IEEE 802.11 packet trans-
  - ^o mission. [0072] As shown in Figure 6, an IEEE 802.11 forward data packet 500 consists of a preamble 504, a MAC header 506 and a data field 508. If received correctly, the receiver, responds with an acknowledgement packet 502 after a SIFS period. The latter packet consists of a preamble 510 and an acknowledgement field 512 comprising MAC information.
- 35 [0073] There are thus 4 scenarios to consider: there are two possible IEEE preamble lengths (96 and 192 μs); and there are either two or four Bluetooth "idle" periods (two and four slots).
   [0074] The scenario where two Bluetooth slots are available for transmission for IEEE transmissions having a long constitution is perioded.

preamble is considered. [0075] The overhead due to preambles, SIFS, and MAC overhead amounts to  $[2 \times 192] + 10 + [(28+14) \times (8/11)]$ 

- 40 = 424.5 μs. Of the two idle slots, it is permissible only to use 625 + 366 = 991 μs according to the Bluetooth specification. This is to leave 625 - 366 = 259 μs to allow the radio system to hop to the frequency of the next slot. Subtract 424.5 from 991, to get 566.5, which is the time left for actual data transmission at 11 Mbit/s. In this time 566.5 / (8/11) = 779 IEEE 802.11 bytes can be transmitted. This data can be transmitted every 4 slots. Hence the effective bit rate is equal to  $(8 \times 779)/(4 \times 625) = 2.5$  Mbit/s.
- 45 [0076] The scenario where four Bluetooth slots are available for transmission for IEEE transmissions having a long preamble is now considered.
  [0077] If four Bluetooth slots are available, then the time for payload transmission is equal to payload time 625 × 3 + 366 424.5 = 1817. This Equates to 1817 / (8/11) = 2498 IEEE 802.11 CCK bytes. The equivalent bit rate is now (8 × 2498)/(6 × 625) = 5.33 Mbit/s
- 50 [0078] If the calculations are repeated for short IEEE 802.11 preambles, the bit rates are 3.33 Mbit/s for an HV2 connection or for two HV3 connections. For a single HV3 connection the bit rate is 5.89 Mbit/s. The results are summarised in Table 1.

Tat	ole 1	
IEEE 802.11 throughput	Two Slots	Four Slots
Short preamble	3.33 Mbit/s	5.89 Mbit/s

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Table 1	(continued)

IEEE 802.11 throughput	Two Slots	Four Slots
long preamble	2.49 Mbit/s	5.33 Mbit/s

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**[0079]** Table 1 shows IEEE 802.11 user throughputs if IEEE 802.11 packets are transmitted in slots that are left idle by Bluetooth. If there is one HV2 connection or two HV3 connections, there are 2 idle slots to transmit. If there is one HV3 connection, there are 4 idle slots to transmit. If there is on HV1 or DV1 connection there are no idle slots. If there is no SCO connection at all, then all slots are available for transmission, and the theoretical IEEE 802.11 maximum of

- ¹⁰ 11 Mbit/s can be achieved. [0080] If a Bluetooth ACL packet must be transmitted, the interoperability device 106 simply holds back IEEE 802.11 packets. As the ACL packets are none real time data packets, they can be held back. When a Bluetooth ACL packet is to be transmitted, an IEEE 802.11 packet transmission will not be in progress, as the ACL connection would be in PARK mode if an IEEE transmission was in progress, as discussed hereinabove.
- ¹⁵ [0081] In an alternative formulation, if a Bluetooth ACL packet transmission or reception is in progress, the IEEE 802.11 transmission is held back until the Bluetooth transmission/reception is completed. Then the Bluetooth ACL connection is put in HOLD or PARK mode, and the IEEE802.11 transmission can be scheduled and organised around SCO transmissions, as described above.
- [0082] Optionally, the interoperability device has a further mode in which it will not allow the IEEE 802.11 devices and Bluetooth device to receive in parallel. By not allowing this, only one radio will be operating at a given time, which implies that the radio hardware can be reused. This again results in an architecture as shown in Figure 2. In this mode Bluetooth SCO slots are always received. If neither the Bluetooth nor the IEEE 802.11 transmitter need to transmit, the common receiver listens to either Bluetooth or IEEE 802.11 packets, according to an algorithm.
- [0083] Such an algorithm may be static; for instance the receiver listens to IEEE 802.11 in odd slots and to Bluetooth packets in even slots. Also given the distribution of traffic between Bluetooth and IEEE802.11, the algorithm could give preference to one over the other.

**[0084]** Finally, the receiver may have a dual synchronisation mode, where it listens to the channel, detects on the fly what type of packet is in the medium (Bluetooth or IEEE 802.11), and reports this to the receiver, which will switch to the appropriate reception mode.

- 30 [0085] Both IEEE 802.11 and Bluetooth Packets may be longer than a single slot. In that case the receiver attempts to receive the packet until completion.
   [0086] In a typical embodiment of the invention, the MAC controller of the IEE802.11 device and the baseband controller of the Bluetooth device may be implemented in separate, dedicated processor chips. The interoperability device's functionality may be implemented in an additional chip. Alternatively, the functionality of the interoperability device can
- ³⁵ be added to the controller chips of either the Bluetooth or the IEE802.11 device. In a still further alternative, it is possible to integrate the IEEE 802.11 MAC control functions and the Bluetooth control function in a single chip and add the interoperability functionality to the same chip as well. Other arrangements of chips and division of interoperability functionality are also possible.
- [0087] Figure 6 illustrates an example of a "system on a chip" implementation of a combined IEEE 802.11 MAC controller and a Bluetooth Baseband controller. The chip 600 includes a DMA (Direct Memory Access) 610, an interrupt controller (Int. Ctrl) 612, timers 614, RAM (Random Access Memory) 616 all connected to a CPU (central processor unit) 622 via an internal bus 624, which elements are all required for both the IEEE 802.11 and Bluetooth functions. An external bus (Ext. Bus) block 608 is also required for both the IEEE 802.11 and Bluetooth functions, and is connected to the CPU 622 via internal bus 624 and to an external flash memory and/or ROM via lines 626. A USB (Universal
- ⁴⁵ Serial Bus) block 606, connected to internal bus 624, is used to interface the Bluetooth transceiver and optionally the IEEE 802.11 transceiver to a host PC via connections 628. The (mini) PCI block 602, connected to the internal bus 624, is used to interface between the host PC (via connections 628) and the IEEE 802.11 transceiver. A PCI based interface between host PC and Bluetooth is not yet defined but is foreseen. The UART block is also connected to the internal bus 624 and to the external connections 628.
- 50 [0088] The CPU micro-controller 622 runs firmware that implements the IEEE 802.11 MAC and Bluetooth baseband functions. A Bluetooth Link Controller block 618 and an IEEE 802.11 MAC support block 620 are connected to the CPU via the internal bus 624, and operate in conjunction with the CPU 622 to implement hardware assist functions for both the Bluetooth and IEEE 802.11 transceivers respectively.
- [0089] The Bluetooth Link Controller 618 is connected to the Bluetooth physical layer functional elements (not shown) via connections 632, and similarly the IEEE 802.1 MAC support block 620 is connected to the IEEE 802.11 physical layer functional elements (not shown) via connections 634

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Claims		

- A device incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio systems such that such that only one or the other radio system may transmit at any one time.
- 2. The device of claim 1 wherein the first radio system is a Bluetooth system and the second radio system is an IEEE 802.11 system.
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- 3. The device of claim 1 or claim 2 wherein the device is additionally controlled such that when one device is transmiting the other device cannot receive or transmit.
- The device of any one of claims 1 to 3 wherein the device is additionally controlled such that one device is receiving
   the other device cannot receive or transmit.
  - 5. The device of claim 1 or claim 2, wherein the control means comprises a switching means, the switching means being adapted to switch on and off the first and second radio systems.
- 20 6. The device of claim 1 or claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the first and second radio systems.
  - 7. The device of claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
  - **8.** The device of claim 7, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four.
- *30* **9.** The device of claim 7, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
  - The device of claim 7, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
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- 11. The device of claim 2 wherein the control means prevents transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.
- **12.** The device of claim 2 wherein the control means prevents transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
- 13. The device of any one of claims 1 to 12 in which the first and second radio systems share a common physical layer.
- 14. A method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time.
- **15.** The method of claim 14 wherein the first radio system is a Bluetooth system and the second radio system is an IEEE 802.11 system.
  - 16. The method of claim 14 or 15 further comprising controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.
- 55 **17.** The method of any one of claims 14 to 16 further comprising controlling the radio systems such that one device is receiving the other device cannot receive or transmit.
  - 18. The method of claim 14 or 15 wherein the radio systems are controlled by switching on and off the first and second

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radio systems.

19. The device of claim 14 or claim 15 wherein the radio systems are controlled by time multiplexing transmissions from the first and second radio systems.

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- 20. The method of claim 15, comprising time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
- 21. The method of claim 20, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the
   IEEE 802.11 transmissions being in two time-slots in every four.
  - **22.** The method of claim 20, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
- 15 23. The method of claim 20, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
  - 24. The method of claim 15 further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.

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- 25. The method of claim 15 further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
- **26.** The method of any one of claims 14 to 25 in which the first and second radio systems share a common physical layer.
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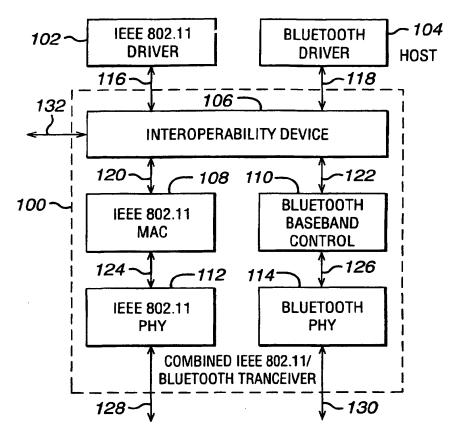
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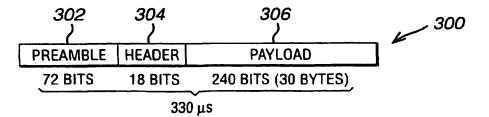
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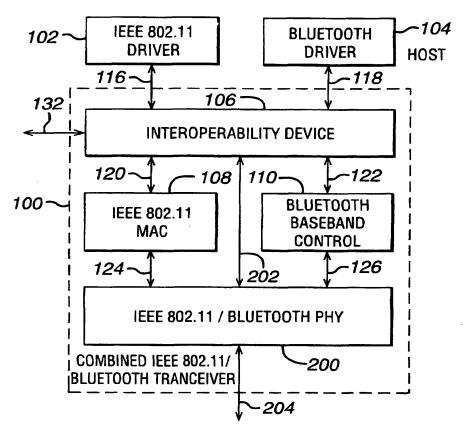
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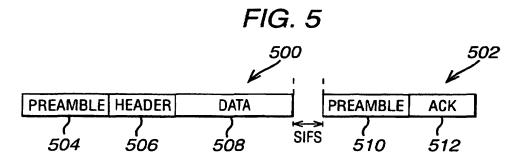
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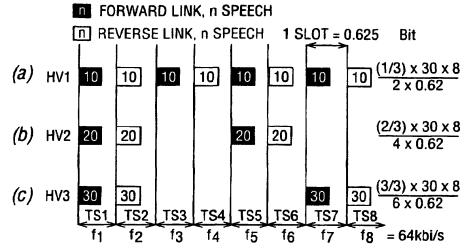
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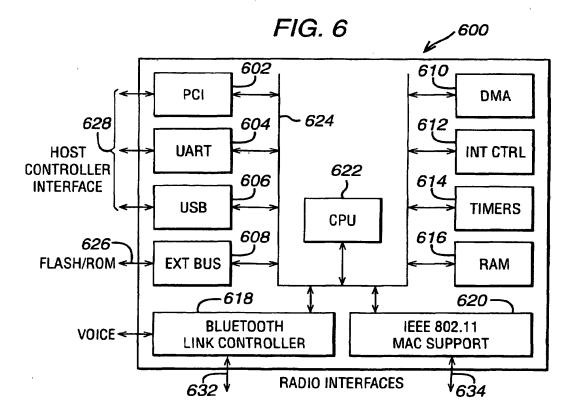
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# FIG. 4





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EUROPEAN SEARCH REPORT

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#### ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

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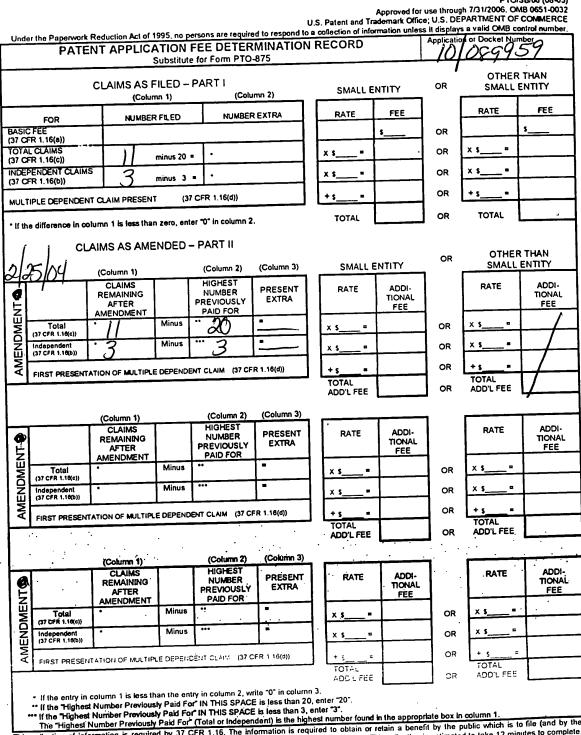
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PTO/SB/06 (08-03)

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142
75	90 09/22/2004		EXAM	INER
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			DATE MAILED: 09/22/2004	4 A-

Please find below and/or attached an Office communication concerning this application or proceeding.

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PTO-90C (Rev. 10/03)

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	Application No.	Applicant(s)
	10/089,959	WALKE ET AL.
Office Action Summary	Examiner	Art Unit
	CongVan Tran	2683
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet w	ith the correspondence address
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. • Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. • If the period for reply specified above is less than thirty (30) days, a reply • If NO period for reply is specified above, the maximum statutory period w • Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a within the statutory minimum of thir fill apply and will expire SIX (6) MON cause the application to become Al	reply be timely filed ty (30) days will be considered timely. JTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on $22 Ju$	ine 2002.	
	action is non-final.	
3) Since this application is in condition for allowan		ters, prosecution as to the merits is
closed in accordance with the practice under <i>E</i>	x parte Quayle, 1935 C.E	). 11, 453 O.G. 213.
Disposition of Claims		
<ul> <li>4) ∠ Claim(s) <u>1-11</u> is/are pending in the application. 4a) Of the above claim(s) is/are withdraw</li> <li>5) Claim(s) is/are allowed.</li> <li>6) ∠ Claim(s) <u>1-11</u> is/are rejected.</li> <li>7) Claim(s) is/are objected to.</li> <li>8) Claim(s) are subject to restriction and/or</li> </ul>	vn from consideration.	
Application Papers		
9) The specification is objected to by the Examiner	r.	
10) The drawing(s) filed on is/are: a) acce		by the Examiner.
Applicant may not request that any objection to the o	drawing(s) be held in abeya	nce. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction		
11) The oath or declaration is objected to by the Ex	aminer. Note the attache	d Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
<ul> <li>12) Acknowledgment is made of a claim for foreign</li> <li>a) All b) Some * c) Done of:</li> <li>1. Certified copies of the priority documents</li> </ul>	s have been received.	
2. Certified copies of the priority documents		
3. Copies of the certified copies of the prior application from the International Bureau		received in this National Stage
* See the attached detailed Office action for a list of	• • • •	received
Attachment(s)		
1) X Notice of References Cited (PTO-892)		Summary (PTO-413)
<ol> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date <u>7/18/02</u>.</li> </ol>		s)/Mail Date nformal Patent Application (PTO-152)
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Office Action Summary

Part of Paper No./Mail Date 4

Page 2

# DETAILED ACTION

# Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Ala-

Laurila et al. (6,587,680).

Regarding claims 1, 10-11, Ala-Laurila discloses a communication system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band (see fig.1, elements 12, 14, 28, col.6, lines 26-40 and its description).

Regarding claims 2-9, Ala-Laurila further discloses in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band (see fig.1, element 22, col.7, lines 31-45 and its description).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 703-305-4024. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Trost can be reached on 703-308-5318. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

CONGVANTRAN ERIMARY EXAMINER

TCU Sept. 17, 2004. CongVan Tran Examiner Art Unit 2683 Page 3

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	ed States Patent	AND TRADEMARK OFFICE		OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142
75	590 09/22/2004		EXAM	INER
Corporate Pate	ent Counsel nics North America Corp	oration	TRAN, CO	DNGVAN
Tarrytown, NY		oration	ART UNIT	PAPER NUMBER
•			2683	
			DATE MAILED: 09/22/200	4 4

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)
	10/089,959	WALKE ET AL.
Office Action Summary	Examiner	Art Unit
	CongVan Tran	2683
The MAILING DATE of this communicati Period for Reply	ion appears on the cover sheet	with the correspondence address
A SHORTENED STATUTORY PERIOD FOR THE MAILING DATE OF THIS COMMUNICA ⁻ Extensions of time may be available under the provisions of 37 after SIX (6) MONTHS from the mailing date of this communica If the period for reply specified above is less than thirty (30) day If NO period for reply is specified above, the maximum statutor Failure to reply within the set or extended period for reply will, t Any reply received by the Office later than three months after th earned patent term adjustment. See 37 CFR 1.704(b).	TION. CFR 1.136(a). In no event, however, may stion. ys, a reply within the statutory minimum of th y period will apply and will expire SIX (6) M y statute, cause the application to become	a reply be timely filed hirty (30) days will be considered timely. NTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed or	n 22 June 2002.	
	This action is non-final.	
3) Since this application is in condition for a	allowance except for formal ma	itters, prosecution as to the merits is
closed in accordance with the practice u	inder <i>Ex parte Quayle</i> , 1935 C	D. 11, 453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>1-11</u> is/are pending in the appli	cation	
4a) Of the above claim(s) is/are w		
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>1-11</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction	and/or election requirement	
	and/or election requirement.	
Application Papers		
9) The specification is objected to by the Ex	kaminer.	
10) The drawing(s) filed on is/are: a)	accepted or b) discred to	o by the Examiner.
Applicant may not request that any objection	to the drawing(s) be held in abey	ance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the	correction is required if the drawin	g(s) is objected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by	the Examiner. Note the attach	ed Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12)⊠ Acknowledgment is made of a claim for f a)□ All b)⊠ Some * c)□ None of:	foreign priority under 35 U.S.C.	§ 119(a)-(d) or (f).
1. Certified copies of the priority doc	uments have been received.	
2. Certified copies of the priority doc	uments have been received in	Application No
3. Copies of the certified copies of the		
application from the International		-
* See the attached detailed Office action fo	r a list of the certified copies no	ot received.
Attachment(s)		Summon (BTO 412)
<ol> <li>Notice of References Cited (FTO-692)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-692)</li> </ol>		y Summary (PTO-413) b(s)/Mail Date
		Informal Patent Application (PTO-152)
3) Information Disclosure Statement(s) (PTO-1449 or PTO Paper No(s)/Mail Date 7/18/02.	/SB/08) 5) 1 Nouce of 6) 0 Other: _	

Ex. 1002 / Page 228 of 293 ERICSSON v. UNILOC

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# Claim Rejections - 35 USC § 102

**DETAILED ACTION** 

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Ala-

Laurila et al. (6,587,680).

Regarding claims 1, 10-11, Ala-Laurila discloses a communication system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band (see fig.1, elements 12, 14, 28, col.6, lines 26-40 and its description).

Regarding claims 2-9, Ala-Laurila further discloses in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard if stations working in accordance with the first radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band (see fig.1, element 22, col.7, lines 31-45 and its description).

Ex. 1002 / Page 229 of 293 ERICSSON v. UNILOC

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 703-305-4024. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Trost can be reached on 703-308-5318. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

PRIMARYEXAMINER

TCU Sept. 17, 2004. - CongVan[®] Tran Examiner Art Unit 2683

		Notice of Reference	s Cited		Application/Control No. 10/089,959	Reexamination WALKE ET A	
					Examiner	Art Unit	Dans 1 of 1
					CongVan Tran	2683	Page 1 of 1
				U.S. P	ATENT DOCUMENTS		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Name		Classification
	Α	US-6,501,741	12-2002	Mikkon	en et al.		370/310
	В	US-6,587,680	07-2003	Ala-Lau	urila et al.		455/411
	С	US-6,052,594	04-2000	Chuang	g et al.		455/450
	D	US-6,580,700	06-2003	Pinard	et al.		370/332
	Е	US-6,377,782	04-2002	Bishop	et al.		455/3.01
	F	US-6,792,286	09-2004	Bharat	h et al.		455/554.2
	G	US-6,728,244	04-2004	Takaba	atake, Yoshiaki		370/392
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#### FOREIGN PATENT DOCUMENTS

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		NON-PATENT DOCUMENTS
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 4

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OIPE CIE	IN THE UNIT	FED STATES PATE	NT AND TRA	DEMARK OFFICE
FLB - CAPE	PLICANT:	Bernhard Walke et al.		
SER	RIAL NO.:	10/089,959	EXAMINER:	Congvan Tran
FIL	ED:	April 4, 2002	ART UNIT:	2683
FOF	,	TWO-WAY ALTERN	VATE CONTRO	ROL STATION FOR THE DL OF RADIO SYSTEMS THE SAME FREQUENCY

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA. 22313-1450

BAND

## **AMENDMENT**

Dear Sir:

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In response to the Office Action dated September 22, 2004, the Applicants hereby

petitions for a two-month extension of time and requests amendment of the above-

identified application as follows:

- A1 1

### IN THE CLAIMS:

1. (Original) An interface-control protocol method for a radio system which has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

2. (Currently Amended) A-<u>The</u> method as claimed in claim 1, characterized in that wherein the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard in the first radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. (Currently Amended) A-<u>The</u> method as claimed in claim 1, <del>characterized in that</del> <u>wherein</u> the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. (Currently Amended) A-<u>The</u> method as claimed in claim 1, <del>characterized</del> in that <u>wherein</u> the control station sends a broadcast signal informing the stations of a time duration in which the frequency band can be used by stations working in accordance with the second radio interface standard.

5. (Currently Amended) A-<u>The</u> method as claimed in claim 3, <del>characterized in that</del> <u>wherein</u> the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. (Currently Amended) A-<u>The</u> method as claimed in claim 1, <del>characterized in that</del> wherein the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking account of resulting interference in stations working in accordance with the second radio interface standard.

7. (Currently Amended) A-<u>The</u> method as claimed in claim 1, characterized in that <u>wherein</u> the control station controls the access to the frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

8. (Currently Amended) A-<u>The</u> method as claimed in claim 1, characterized in that wherein the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

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9. (Currently Amended) A-<u>The</u> method as claimed in claim 1, characterized in that wherein the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

10. (Original) A wireless network comprising at least one frequency band provided for the alternate use by a first and a second radio interface standard, the wireless network comprising stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

11. (Original) A control station for a wireless network, the control station being provided for controlling the alternate use of a frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio interface standard.

#### **REMARKS**

Claims 1-11 are pending in the application. Claims 2-9 have been amended to put them in better form.

Reconsideration of all grounds of rejection in the Office Action, and allowance of all of the pending claims are respectfully requested in light of the following remarks.

Base claim 1, 10, and 11 stand rejected under 35 U.S.C.§102(e) as allegedly anticipated by Ala-Laurila et al. (U.S. 6,587,680). The Office Action indicates that features cited in the base claims are shown in Ala-Laurila et al. by citing elements 12, 14 and 28 of FIG. 1 and element 22 of FIG. 1 and its description at Col. 7, lines 31-45.

The features recited in base claims provide significant advantages to make efficient use of radio transmission channels. The invention allows different radio systems to coexist and to simultaneously transmit very close together in the same spectrum, by providing <u>a control station to control the alternate use of the frequency band</u>, as recited in base claims. More specifically, the central station informs a first wireless network device when and how long it is allowed to utilize the common frequency band when the second network device is not transmitting (Page 7, lines 14-20).

Ala-Laurila et al, as read by applicant, relates to a method/apparatus for reestablishing an existing security association during a handover <u>from an old access point to a</u> <u>new access point</u> in a radio communications system such as an IEEE 802.11 or a HIPERLAN. Operation cited in Ala-Laurila et al. increases handover performance, and minimize the delay associated with re-negotiating the security association between a new AP and a mobile terminal.

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Ala-Laurila et al. fails to teach, show or suggest a central station being provided to control the alternate access by a first wireless network and a second wireless network to the common frequency band, as specifically cited in base claims. The Office Action is wrongly equating the coexistence of different radio networks in the present invention to the arrangement of FIG. 1 in Ala-Laurila et al. More specifically, the Office Action refers to a comparator 32 used to identify the operable-mode to mean that Ala-Laurila et al. supports two different networks (page 7, lines 31-45). As stated in the background section of Ala-Laurila et al (Column 3, lines 44-67), a determination is needed prior to permitting both ends of the communication pair, i.e., mobile unit and access point, to operate in either the proprietary mode or other conventional operation mode, such is IEEE 802.11 standard. Thus, the central unit 22 of Ala-Laurila et al. perform different function than the present invention.

It is well settled that a reference that does not teach or suggest all of the features of a claimed invention cannot anticipate that invention. Since Jackson does not teach or suggest all of the features of base claims, as recited above, applicant respectfully submits that these claims are allowable and patentable under 35 U.S.C. § 102.

Claims 2-9 in this application are each dependent from one or the other of base claims discussed above and are, therefore, believed allowable and patentable under 35 U.S.C. § 102 for the same reasons.

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For all the foregoing reasons, it is respectfully submitted that all the present claims are patentable in view of the cited references. A Notice of Allowance is respectfully requested.

Respectfully submitted,

Russell Gross Registration No. 40,007

By: Steve Cha Attorney for Applicant Registration No. 44,069

Date: February 22, 2005

Mail all correspondence to: Russell Gross, Registration No. 40,007 US PHILIPS CORPORATION P.O. Box 3001 Briarcliff Manor, NY 10510-8001 Phone: (914) 333-9608 Fax: (914) 332-0615

#### Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA. 22313 on February 22, 2005.

Steve Cha, Reg. No. 44,069 (Name of Registered Rep.)

(Signature and Date)

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CATENT 8	APPLICANT	:	Bernhard Walke et al.		
	SERIAL NO.	:	10/089,959	EXAMINER	: Congvan Tran et al.
	FILED	:	April 4, 2002	ART UNIT	: 2683
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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the period for responding to the Office Action, now set to expire on December 22, 2004, be extended by two (2) months, so as to expire on February 22, 2005.

As Applicants are associated with a large entity, a check in the amount of \$450.00 is enclosed to cover the two-month extension herein requested.

Favorable action on this Request for Extension of Time is courteously solicited.

Respectfully submitted, Russell Gross Registration No. 40,007

Steve Cha Bv:

Autorney for Applicant Registration No. 44,069

Date: February 22, 2005

Mail all correspondence to: Russell Gross, Registration No. 40,007 US PHILIPS CORPORATION P.O. Box 3001 Briarcliff Manor, NY 10510-8001 Phone: (914) 333-9624 Fax: (914) 332-0615

#### Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA. 22313 on February 22, 2005.

Steve Cha, Reg. No. 44,069 (Name of Registered Rep.)

(Signature and Date)

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comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patenta. Washington, DC 20231.

PAGE 1/1 * RCVD AT 3/11/2005 8:53:52 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/0 * DNIS:8729306 * CSID:914 332 0615 * DURATION (mm-ss):00-44

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.					
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142					
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Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

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	Applicati	on No.	Applicant(s)
	10/089,9	59	WALKE ET AL.
Office Action Summary	Examine	r	Art Unit
	CongVan	Tran	2683
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A SHORTENED STATUTORY PERIOD FOR R THE MAILING DATE OF THIS COMMUNICATI - Extensions of time may be available under the provisions of 37 C after SIX (6) MONTHS from the mailing date of this communicatic - If the period for reply specified above is less than thirty (30) days, - If NO period for reply is specified above, the maximum statutory p - Failure to reply within the set or extended period for reply will, by Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	ON. FR 1.136(a). In no ev on. a reply within the sta period will apply and w statute, cause the app	rent, however, may a reply be ti tutory minimum of thirty (30) da vill expire SIX (6) MONTHS from dication to become ABANDONI	mely filed ys will be considered timely. n the mailing date of this communication. ED (35 U.S.C. § 133).
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Disposition of Claims			
4) Claim(s) <u>1-11</u> is/are pending in the application	ation.		
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6) Claim(s) $1-11$ is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction a	Ind/or election r	equirement.	
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Priority under 35 U.S.C. § 119			······································
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12) Acknowledgment is made of a claim for for	reign priority un	der 35 U.S.C. § 119(a	i)-(d) or (†).
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2. Certified copies of the priority docur			
3. Copies of the certified copies of the			ed in this National Stage
application from the International Bu			
* See the attached detailed Office action for a	a list of the cert	ified copies not receive	ed.
Attachment(s)		_	
1) Notice of References Cited (PTO-892)		4) Interview Summary	
		Paper No(s)/Mail D	

# DETAILED ACTION

#### **Response to Arguments**

1. In response to applicant's argument regarding claims 1, 10, and 11, that the reference fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "fails to teach, show or suggest a central station being provided to control the alternate access by a first wireless network and a second wireless network to the common frequency band, as specifically cited in base claims") are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Therefor, the previous rejection is sustained.

#### Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Ala-Laurila et al. (6,587,680).

Regarding claims 1, 10-11, Ala-Laurila discloses a communication system comprising stations which operate in accordance with a first radio interface standard

Ex. 1002 / Page 244 of 293 ERICSSON v. UNILOC

and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band (see fig.1, elements 12, 14, 28, col.6, lines 26-40 and its description).

Regarding claims 2-9, Ala-Laurila further discloses in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band (see fig.1, element 22, col.7, lines 31-45 and its description).

#### Conclusion

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 571-272-7871. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Trost can be reached on 571-272-7872. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

CONGVANTRAN EXAMINE

CongVan Tran Primary Examiner Art Unit 2683

May 18, 2005

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# THE THE STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Bernhard Walke et al.		
SERIAL NO.:	10/089,959	EXAMINER:	CongVan Tran
FILED:	April 4, 2002	ART UNIT:	2683
FOR:	METHOD, NETWORK AND TWO-WAY ALTERNATE ( OF DIFFERENT STANDAR BAND	CONTROL OF	RADIO SYSTEMS

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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# **AMENDMENT AFTER FINAL REJECTION**

Dear Sir:

In response to the Final Office Action dated May 25, 2005, the Applicant hereby

requests amendment of the above-identified application as follows:

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### <u>IN THE CLAIMS:</u> Kindly replace the claims of record with the following full set of claims:

1. (Currently amended) An interface-control protocol method for a radio system which has at least one <u>common</u> frequency band that is provided for [[the]] alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, <u>and</u>

a control station being provided which controls the alternate use of the frequency band.

2. (Currently amended) The method as claimed in claim 1, wherein the control station controls the access to the <u>common</u> frequency band for stations working in accordance with the first radio interface standard and-in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. (Previously presented) The method as claimed in claim 1, wherein the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. (Currently amended) The method as claimed in claim 1, wherein the control station sends a broadcast signal informing the stations of a time duration in which the <u>common</u> frequency band can be used by stations working in accordance with the second radio interface standard.

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5. (Previously presented) The method as claimed in claim 3, wherein the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. (Previously presented) The method as claimed in claim 1, wherein the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking account of resulting interference in stations working in accordance with the second radio interface standard.

7. (Currently amended) The method as claimed in claim 1, wherein the control station controls the access to the <u>common</u> frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

8. (Previously presented) The method as claimed in claim 1, wherein the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. (Previously presented) The method as claimed in claim 1, wherein the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

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10. (Currently amended) A wireless network comprising at least one <u>common</u> frequency band provided for [[the ]] alternate use by a first and a second radio interface standard, the wireless network comprising:

stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, <u>and</u>

a control station being provided which controls the alternate use of the <u>common</u> frequency band.

11. (Currently amended) A control station for a wireless network, the control station being provided for controlling the alternate use of a <u>common</u> frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio interface standard.

#### **REMARKS**

Entry of this Amendment and reconsideration are respectfully requested in view of the amendments made to the claims and for the remarks made herein.

Claims 1 - 11 are pending and stand rejected. Claims 1, 2, 4, 7, 10 and 11 have been amended.

Claims 1-11 stand rejected under 35 USC 102(e) as allegedly being anticipated by Ala-Laurila (USP no. 6,587,680).

Applicant respectfully disagrees with, and explicitly traverses, the reason for rejecting the claims. However, in the interest of advancing the prosecution of this matter, independent claims 1, 10 and 11 have been amended to more clearly state the invention.

More specifically, claims 1, 10 and 11 have been amended to recite that a <u>common</u> frequency band is utilized for alternate use by a first or a second interface protocol. No new matter has been added. Support for the amendment may be found on at least page 3, lines 13-14, which state in part, "a control station is provided which controls the alternate use of the common frequency band of the two radio interface standards."

Ala-Laurila, on the other hand, discloses the re-establishment of a security association when a communication handover event occurs in a radio communication system such as IEEE 082.11 [sic] or a HIPERLAN, wherein the existing security association is maintained when the communication handover occurs. (see Abstract).

Contrary to the statements found in the Office Action, Ala-Laurila fails to describe "at least one <u>common</u> frequency band that is provided for alternate use by a first and a second radio interface standard," as is described in claim 1. Rather, Ala-Laurila teaches a system that uses either one interface or another interface based on the devices requesting service. See for example, col. 3, line 54 -col. 4, line 5, which state, in part, "[p]roprietary functions have been proposed with permit improved quality of communications as compared to operation pursuant to the existing IEEE 802.11 standard... However, both ends of a communication pair ... must be capable of operation in the proprietary mode. If both ends ... are not together operable pursuant to the proprietary mode, conventional operation pursuant to the IEEE 802.11 standard." Hence, Ala-Laurila Amendment After Final Rejection Serial No. 10/089,959

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discloses a method where either one interface or another is used based on the interface of the communication devices and further fails to disclose a common frequency band for the alternate use of the first and second interface.

A claim is anticipated only if each and every element recited therein is expressly or inherently described in a single prior art reference. Ala-Laurila cannot be said to anticipate the present invention, because Ala-Laurila fails to disclose each and every element recited.

Applicant, accordingly, submits that the reason for the rejection of claim 1 has been overcome and can no longer be sustained. Applicant respectfully requests withdrawal of the rejection and allowance of the claim.

With regard to independent claim s 10 and 11, these claims recite subject matter similar to that recited in claim 1 and were rejected for the same reason used in rejecting claim 1. Thus, for the amendments made to these clams, which are similar to the amendments made with regard to claim 1, and for the remarks made in response to the rejection of claim 1, which are also applicable in response to the rejection of claims 10 and 11, and are reasserted, as if in full, herein, in response to the rejection of claims 10 and 11, applicant submits that the reason for rejecting these claims has been overcome and the rejection can no longer be sustained. Applicant respectfully requests withdrawal of the rejection and allowance of the claims.

With regard the remaining claims these claims ultimately depend from the independent claim 1, which has been shown to contain subject matter not disclosed by, and, hence, allowable over, the reference cited. Accordingly, these claims are also allowable by virtue of their dependency from an allowable base claim.

Applicant, accordingly, respectfully requests withdrawal of the rejection and allowance of the claims.

Although the last Office Action was made final, this amendment should be entered. No matter has been added to the claims that would require comparison with the prior art or any further review. Accordingly, pursuant to MPEP 714.13, applicant's amendments should only require a cursory review by the examiner. The amendment therefore should be entered without requiring a showing under 37 CFR 1.116(b).

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Amendment After Final Rejection Serial No. 10/089,959 Docket No. PHDE 000238

For all the foregoing reasons, it is respectfully submitted that all the present claims are patentable in view of the cited references. A Notice of Allowance is respectfully requested.

Respectfully submitted,

Russell Gross Registration No. 40,007

By Steve Cha Attorney for Applicant Registration No. 44,069

Date: July 12, 2005

## Mail all correspondence to:

Russell Gross, Registration No. 40,007 US PHILIPS CORPORATION P.O. Box 3001 Briarcliff Manor, NY 10510-8001 Phone: (914) 333-9624 Fax: (914) 332-0615

## Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP on July 12, 2005.

Steve Cha, Reg. No. 44,069 (Name of Registered Rep.)

(Signature and Date)

Ex. 1002 / Page 254 of 293 ERICSSON v. UNILOC

U.a. Fairint and Insomatic units, U.a. Variant and Insomatic units, U.a. Variant and Insomatic units, H displays a valid OMB control number Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless H displays a valid OMB control number PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875 CLAIMS AS FILED - PART I SMALL ENTITY (Column 2) (Column 1) RATE FEE NUMBER EXTRA NUMBER FILED FOR BASIC FEE (37 OFR 1.16(a)) TOTAL CLAIMS X S . . minus 20 = (37 CFR 1.16(c)) INDEPENDENT CLAIMS 2 X S minus 3 . (37 CFR 1,16(d)) MULTIPLE DEPENDENT CLAIM PRESENT TOTAL " If the difference in column 1 is less than zero, enter "0" in column 2. CLAIMS AS AMENDED - PART II SMALL ENTITY (Column 3) (Column 2) (Column 1) HIGHEST ADDI-TIONAL FEE CLAIMS PRESENT RATE NUMBER REMAINING EXTRA PREVIOUSLY PAID FOR AFTER AMENDMENT AMENDMENT 20 Minus Total (37 CFR LIG(d) X S Minut 3 . x s Independent (37 CFR 1.18(9)) FIRST PRESENTATION OF MALTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) TOTAL ADD'L FEE 7/15/05-

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PPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142				
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	ELLECTUAL PROPER	TY & STANDARDS						
P.O. BOX 3001 BRIARCLIFF N	MANOR, NY 10510	ART UNIT	PAPER NUMBER					
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Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)	•		
Advisory Action	10/089,959	WALKE ET AL.			
Before the Filing of an Appeal Brief	Examiner	Art Unit	t		
	CongVan Tran	2683			
The MAILING DATE of this communication ap	pears on the cover sheet wi	th the correspondence ac	dress		
THE REPLY FILED 15 July 2005 FAILS TO PLACE THIS AF	PPLICATION IN CONDITION	FOR ALLOWANCE.			
<ol> <li>The reply was filed after a final rejection, but prior to or this application, applicant must timely file one of the for places the application in condition for allowance; (2) a (3) a Request for Continued Examination (RCE) in con- following time periods:         <ul> <li>The period for reply expiresmonths from the mailin</li> <li>The period for reply expires on: (1) the mailing date of this A event, however, will the statutory period for reply expire later Examiner Note: If box 1 is checked, check either box (a) or MONTHS OF THE FINAL REJECTION. See MPEP 706.0</li> </ul> </li> <li>Extensions of time may be obtained under 37 CFR 1.136(a). The date been filed is the date for purposes of determining the period of extension CFR 1.17(a) is calculated from: (1) the expiration date of the shortened above, if checked. Any reply received by the Office later than three mor earned patent term adjustment. See 37 CFR 1.704(b).</li> <li>NOTICE OF APPEAL</li> <li>The Notice of Appeal was filed onA brief in co of filing the Notice of Appeal (37 CFR 41.37(a)), or any Since a Notice of Appeal has been filed, any reply must</li> </ol>	Ilowing replies: (1) an amend Notice of Appeal (with appeal npliance with 37 CFR 1.114. g date of the final rejection. dvisory Action, or (2) the date set 1 than SIX MONTHS from the maili b). ONLY CHECK BOX (b) WHEI 7(f). on which the petition under 37 CFR n and the corresponding amount of statutory period for reply originally attact and the fin mpliance with 37 CFR 41.37 v extension thereof (37 CFR 41.37	Iment, affidavit, or other evi I fee) in compliance with 37 The reply must be filed with forth in the final rejection, which ing date of the final rejection. N THE FIRST REPLY WAS FIL R 1.136(a) and the appropriate exten- set in the final Office action; or ( ial rejection, even if timely filed, in must be filed within two model 1.37(e)), to avoid dismissa	idence, which 7 CFR 41.3 7 Normal CFR 41.3 9 Normal States States and States States		
<ul> <li><u>AMENDMENTS</u></li> <li>3. ☐ The proposed amendment(s) filed after a final rejection (a) ☐ They raise new issues that would require further (b) ☐ They raise the issue of new matter (see NOTE be (c) ☐ They are not deemed to place the application in I</li> </ul>	n, but prior to the date of filin consideration and/or search ( elow);	ng a brief, will <u>not</u> be entere (see NOTE below);	d because		
<ul> <li>(d) ☐ They present additional claims without canceling</li> <li>NOTE: <u>The newly added limitations raise new is</u></li> </ul>	a corresponding number of f	inally rejected claims.	-		
and 41.33(a)).			•		
4. The amendments are not in compliance with 37 CFR		f Non-Compliant Amendme	ent (PTOL-3		
<ol> <li>Applicant's reply has overcome the following rejection</li> <li>Newly proposed or amended claim(s) would be the non-allowable claim(s).</li> </ol>	· /	eparate, timely filed amend	Iment cance		
<ul> <li>7. For purposes of appeal, the proposed amendment(s): how the new or amended claims would be rejected is p The status of the claim(s) is (or will be) as follows: Claim(s) allowed:</li> </ul>		b) 🗌 will be entered and a	in explanatio		
Claim(s) objected to: Claim(s) rejected: <u>1-11</u> . Claim(s) withdrawn from consideration: AFFIDAVIT OR OTHER EVIDENCE					
<ol> <li>The affidavit or other evidence filed after a final action, because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).</li> </ol>	but before or on the date of t and sufficient reasons why th	filing a Notice of Appeal wil e affidavit or other evidenc	l <u>not</u> be ente e is necessa		
<ul> <li>9. The affidavit or other evidence filed after the date of filinentered because the affidavit or other evidence failed to showing a good and sufficient reasons why it is necess</li> <li>10. The affidavit or other evidence is entered. An explana REQUEST FOR RECONSIDERATION/OTHER</li> <li>11. The request for reconsideration has been considered</li> </ul>	o overcome <u>all</u> rejections und sary and was not earlier prese tion of the status of the claim	ler appeal and/or appellant ented. See 37 CFR 41.33(c is after entry is below or att	fails to prov I)(1). ached.		
12.  Note the attached Information Disclosure Statement(statement)					
13. 🗌 Other:					
	CONGWANTRAN	CongVan Tran Primary Examine			

Ex. 1002 / Page 257 of 293 ERICSSON v. UNILOC



914-332-0615

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AUG 1 9 2005

REQUEST	Application Number	10/089	,959								
FOR	Filing Date	April 4,	2002								
CONTINUED EXAMINATION (RCE)	First Named Inventor	Walke									
TRANSMITTAL	Group Art Unit	2683									
To Commissioner For Patents Please enter the following submission and withdraw the finality of the proceeding office	Examiner Name	Congva	an Tran								
action or withdraw any pending appeal and reopen prosecution before the Examiner.	Attorney Docket Number	DE000	238								
This is an RCE under 37 C.F.R. § 1.114 of the above-identified application ( the CAFC; or commencement of civil action under 35 U.S.C. 145 or 146.) 1. Submission required under 37 C.F.R. § 1.114	which is made phorie; payment o		bandonment; nouce or appear to								
a. X Previously submitted											
<ul> <li>i. X Consider the amendment(s)/reply under 37 C.F (Any unentered amendment(s) referred to above will be entered</li> </ul>		ed on_JL	<u>ily 12, 2005</u>								
(Any unentared amandment(s) referred to above will be entered).											
iii Other											
<ol> <li>Armendment/Reply</li> <li>Affidavit(s)Declaration(s)</li> <li>Information Disclosure Statement (IDS)</li> <li>Information Disclosure Statement (IDS)</li> <li>Other</li> <li>Other</li> <li>Miscellaneous</li> <li>Suspension of action on the above-identified application</li> </ol>		DA ≙ a brief) C.F.R. §1	RECEIVED OIPE/IAP								
3. Fees a. X The Commissioner For Patents is hereby authorized any overpayments, to Deposit Account No. 14-1270 SIGNATURE OF APPLICANT, ATTORN			the issue fee or credit								
	T		T								
Name (Print Type) Russell Gross	Registration No. (Attorney//	Agant)	40,007								
Signature Malle Outsch	Date 8/10	a									
CERTIFICATE OF MAILING C I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage Box RCE, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trader	as first class mail In an envelope										
Name (Print Type) Elissa DeLuccy	,										
Signature Elissa DeLuca		Date	Aug. 19, 2005								
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PAGE 1/1 * RCVD AT 8/19/2005 11:05:29 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-6/26 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):00-40

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# IN THE ONITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Bernhard Walke et al.

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SERIAL NO.:10/089,959EXAMINER: CongVan TranFILED:April 4, 2002ART UNIT: 2683FODMETHOD NETWORK AND CONTROL OF A TWO LOOP A

FOR: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Mail Stop AF **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

# **AMENDMENT AFTER FINAL REJECTION**

Dear Sir:

In response to the Final Office Action dated May 25, 2005, the Applicant hereby

requests amendment of the above-identified application as follows:

			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandra, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS				
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION N				
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142				
24737 7	590 11/01/2005		EXAMINER					
PHILIPS INT P.O. BOX 300		ERTY & STANDARDS	TRAN, CO	TRAN, CONGVAN				
	MANOR, NY 10510	ART UNIT	ART UNIT PAPER NUMBER					
			2688					
			DATE MAILED: 11/01/200					

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Please find below and/or attached an Office communication concerning this application or proceeding.

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PTO-90C (Rev. 10/03)

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Ex. 1002 / Page 260 of 293 ERICSSON v. UNILOC

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	Application No.	Applicant(s)
	10/089,959	WALKE ET AL.
Office Action Summary	Examiner	Art Unit
	CongVan Tran	2688
The MAILING DATE of this communication a Period for Reply	appears on the cover sheet v	vith the correspondence address
A SHORTENED STATUTORY PERIOD FOR REF WHICHEVER IS LONGER, FROM THE MAILING Extensions of time may be available under the provisions of 37 CFR after SX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory peri Failure to reply within the set or extended period for reply will, by sta Any reply received by the Office later than three months after the ma earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUN 1.136(a). In no event, however, may a iod will apply and will expire SIX (6) MC tute, cause the application to become A	ICATION. reply be timely filed NTHS from the mailing date of this communicatio BANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on		
	his action is non-final.	
3) Since this application is in condition for allow		tters, prosecution as to the merits i
closed in accordance with the practice unde	•	•
Disposition of Claims		
4)⊠ Claim(s) <u>1-11</u> is/are pending in the applicati	on.	
4a) Of the above claim(s) is/are withd		
5) Claim(s) is/are allowed.		
6) Claim(s) <u>1,3,4 and 9-11</u> is/are rejected.		
7) Claim(s) <u>2 and 5-8</u> is/are objected to.		
8) Claim(s) are subject to restriction and	d/or election requirement.	
Application Papers		
9) The specification is objected to by the Exam	inor	
10) The drawing(s) filed on is/are: a) a		by the Examiner
Applicant may not request that any objection to the		-
Replacement drawing sheet(s) including the corr		
11) The oath or declaration is objected to by the		
Priority under 35 U.S.C. § 119	•	· · · · · · · · · · · · · · · · · ·
12) Acknowledgment is made of a claim for forei	an priority under 35 U.S.C.	8 119(a)-(d) or (f)
a) $\square$ All b) $\square$ Some * c) $\square$ None of:	an phoney under 55 0.0.0.	3 · · · · (a)-(u) · · (i).
1. Certified copies of the priority docume	ents have been received	
2. Certified copies of the priority docume		Application No
3. Copies of the certified copies of the p		
application from the International Bure		
* See the attached detailed Office action for a li		t received.
Attachment(s)		,
1) X Notice of References Cited (PTO-892)	4) 🔲 Interview	Summary (PTO-413)
2) D Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No	(s)/Mail Date
<li>Information Disclosure Statement(s) (PTO-1449 or PTO/SB/ Paper No(s)/Mail Date</li>	)8) 5) ∐ Notice of 6) ☐ Other:	Informal Patent Application (PTO-152)
2. Patent and Trademark Office		

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Ex. 1002 / Page 261 of 293 ERICSSON v. UNILOC

# DETAILED ACTION

1. This office action is response to Amendment after final filed Aug. 19, 2005.

2. **Examiner** has been called **Applicant's representative** twice on Oct. 21 and

Oct. 26, 2005 to expedite the case. However, Examiner received no response.

## Continued Examination Under 37 CFR 1.114

3. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on Aug. 24, 2005 has been entered.

# Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1, 3-4, 9-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Sayers et al. (6,687,243).

Regarding claims 1, 3-4, 9-11, Sayers discloses a method and apparatus for integrated wireless communications in private and public network environments,

Ex. 1002 / Page 262 of 293 ERICSSON v. UNILOC Application/Control Number: 10/089,959 Art Unit: 2688

comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band (see fig.1, elements 11s, 14, 15, 24s 29, col.4, line 66-col.5, line 67, and its description).

6. Claims 1, 3-4, 9-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Pecen et al. (6,631,259).

Regarding claims 1, 3-4, 9-11, Sayers discloses a method and apparatus for integrated wireless communications in private and public network environments, comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band (see figs.1-2, elements 106, 108, 110, 112, col.1, lines 54-65, col.2, lines 49-59, and its description).

# Allowable Subject Matter

7. Claims 2, 5-8 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 571-272-7871. The examiner can normally be reached on Monday-Thursday.

Application/Control Number: 10/089,959 Art Unit: 2688

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, George Eng can be reached on 571-272-7495. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

CongVan Tran Primary Examiner Art Unit 2688

Oct. 27, 2005.

Ex. 1002 / Page 264 of 293 ERICSSON v. UNILOC

		Notice of Reference	c Citod	Application/Control No. 10/089,959	Applicant(s)/Pa Reexamination WALKE ET AL			
		Notice of Reference	is cited	Examiner	Art Unit			
				CongVan Tran	2688	Page 1 of 1		
				U.S. PATENT DOCUMENTS		• • • • • • • • • • • • • • • • • • • •		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name		Classification		
	Α	US-6,631,259 B2	10-2003	Pecen et al.		455/426.1		
	в	US-6,687,243 B1	02-2004	Sayers et al.		370/356		
	с	US-6,735,452 B1	05-2004	Foster et al.		455/562.1		
	D	US-6,754,200 B1	06-2004	Nishimura et al.		370/349		

Kronestedt et al.

Danielson et al.

#### FOREIGN PATENT DOCUMENTS

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# NON-PATENT DOCUMENTS * Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U U V V W V X X

* copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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#### Notice of References Cited

Part of Paper No. 20051020

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Ex. 1002 / Page 265 of 293 ERICSSON v. UNILOC

	Index of Claims													Application/Control No. 10/089,959 Examiner									Applicant(s)/Patent under Reexamination WALKE ET AL. Art Unit							
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U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 NOV 1 7 2005 Page 1 of 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Atty. Docket:								
WALKE ET AL.	DE 000238								
Serial No.: 10/089,959	Group Art Unit: 2688								
Filed: April 4, 2002	Examiner: C. Tran								
Title, METHOD NEWHORK NTD COMPANY									

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Commissioner for Patents Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION	٦
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D4 transmitted by facsimile to the U.S. Patent and Trademark Office AT 571-273-8300	l
On: Nov. 17, 2005	
By. Elissa De Luca	
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#### AMENDMENT

Sir:

In response to the office action dated November 1, 2005, please amend the application as follows:

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PAGE 1/8* RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR: USPTO-EFXRF-6/27 * DNIS: 2738300 * CSID: 914 332 0515 * DURATION (mm-ss): 02-04

Ex. 1002 / Page 267 of 293 **ERICSSON v. UNILOC**  •

U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 Page 2 of 7

#### IN THE CLAIMS:

Please amend the claims as follows:

1. (Currently amended) An interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and

a control station which controls the alternate use of the frequency band,

wherein the control station controls the access to the common frequency band for stations working in accordance with the first radio interface standard and-renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

2.(Cancelled).

3. (Previously presented) The method as claimed in claim 1, wherein the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

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PAGE 2/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):02-04

Ex. 1002 / Page 268 of 293 ERICSSON v. UNILOC .

U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 Page 3 of 7

4. (Previously presented) The method as claimed in claim 1, wherein the control station sends a broadcast signal informing the stations of a time duration in which the common frequency band can be used by stations working in accordance with the second radio interface standard.

5. (Previously presented) The method as claimed in claim 3, wherein the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. (Currently amended) The method as claimed in claim lAn interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and

a control station which controls the alternate use of the frequency band,

wherein the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking account of resulting interference in stations working in accordance with the second radio interface N:\UserFublic\GR\DE\DE000238_amd_11-18-05.do2

PAGE 3/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):02-04

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U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 Page 4 of 7

#### standard.

7. (Currently amended) The method as claimed in claim 1An interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band,

wherein the control station controls the access to the common frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

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PAGE 4/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):02-04

Ex. 1002 / Page 270 of 293 ERICSSON v. UNILOC

U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 Page 5 of 7

8. (Currently amended) The method as claimed in claim <u>An</u> interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band,

wherein the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. (Previously presented) The method as claimed in claim 1, wherein the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

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PAGE 5/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR: USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):02-04

Ex. 1002 / Page 271 of 293 ERICSSON v. UNILOC .

U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 Page 6 of 7

10. (Currently amended) A wireless network comprising at least one common frequency band provided for alternate use by a first and a second radio interface standard, the wireless network comprising:

stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, and

a control station which controls the alternate use of the common frequency band,

wherein the control station controls the access to the common frequency band for stations working in accordance with the first radio interface standard and-renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

11. (Cancelled).

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PAGE 6/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR: USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):02-04

Ex. 1002 / Page 272 of 293 ERICSSON v. UNILOC • •

914-332-0615 T-370 P.007/007 F-111

U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 Page 7 of 7

## REMARKS

It is noted with great appreciation that the Examiner has found allowable subject matter in Claims 2 and 5-8.

Herein, the subject matter of cancelled claim 2 has been incorporated in Claims 1 and 10. Claims 6-8 have also been rewritten in independent form. Further, Claim 11 has been cancelled.

In view of the above, it is respectfully submitted that the present application is in condition for allowance. Therefore, entry of this Amendment is respectfully requested so that the present application may proceed to issue.

The Commissioner is hereby authorized to credit any overpayment or charge any fee (except the issue fee) to Account No. 14-1270.

Respectfully submi Βv

Russell Gross; Reg. 40,007 Attorney (914) 333-9631

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PAGE 7/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):02-04

Ex. 1002 / Page 273 of 293 ERICSSON v. UNILOC

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# CENTRAL FAX CENTER

# NOV 1 7 2005

REQUEST	Application Number	10/114	,505						
FOR	Filing Date	April 2	, 2002						
<b>CONTINUED EXAMINATION (RCE)</b>	First Named Inventor	Rakish	Taori						
TRANSMITTAL	Group Art Unit	2655							
To Commissioner For Patents Please enter the following submission and withdraw the finality of the proceeding office	Examiner Name	Huyen	X. Vo						
action or withdraw any pending appeal and reopen prosecution before the Examiner.	Attomey Docket Number	NL010	234						
This is an RCE under 37 C.F.R. § 1.114 of the above-identified application (which is made prior to: payment of issue fee; abandonment; notice of appeal to the CAFC; or commencement of civil action under 35 U.S.C. 145 or 146.)									
1. Submission required under 37 C.F.R. § 1.114									
a. X Previously submitted									
i. X Consider the amendment(s)/reply under 37 C.		ed on_N	ovember 8, 2005						
(Any unentared amondment(s) referred to above will be enter	•								
ii. Consider the arguments in the Appeal Brief or F	cepty Brief previously field	on							
b. Enclosed									
i. Amendment/Reply ii. Affidavit(s)Declaration(s)									
iii. Information Disclosure Statement (IDS)									
iv. Other									
2. Miscellaneous		•							
a. Suspension of action on the above-identified applicat	ion is requested under 37		103/c) for a pariod of						
	ed 3 months; Fee required per 37	-							
b. T Other									
3. Fees									
a. X The Commissioner For Patents is hereby authorized any overpayments, to Deposit Account No. 14-1270	to charge all required tee	es except	the issue fee or credit						
SIGNATURE OF APPLICANT, ATTO	RNEY, OR AGENT REQURI	ED							
Russell Gross a -			40.007						
Name (Print Type) Russell Gross	Registration No. (Attorney/	Agent)							
Signature	Date [[1]								
CERTIFICATE OF MAILING									
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Box RCE, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trad	emank Office tells : <u>2/1-2/3-8</u>		18 GA18 D810W:						
Name (Print Type) Elissa DeLuccy									
Signature Elissa De Luces		Date	11/17/05						

PAGE 8/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0615 * DURATION (mm-ss):02-04

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U.S. Serial No. 10/089,959 Attorney Dockst No. DE000238 Page 1 of 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:	Atty.	Docket:
WALKE	ET AL.	DE 000	238

Serial No.: 10/089,959 Group Art Unit: 2688

Filed: April 4, 2002 Examiner: C. Tran

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Commissioner for Patents Alexandria, VA 22313-1450

	CERTIFICATE OF MAILING OR TRANSMISSION
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BUD103300 10 810 CQ	U.S. Postal Service with sufficient postage as first-class meil in an envelope nmissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
<b>b4</b> transmitted by fa	csimile to the U.S. Patent and Trademark Office AT 571-273-8300
on: 1 Jor.	17 2005
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#### AMENDMENT

Sir:

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In response to the office action dated November 1, 2005,

please amend the application as follows:

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PAGE 1/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0515 * DURATION (mm-ss):02-04

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914-332-0615 T-370 P.007/007 F-111

U.S. Serial No. 10/089,959 Attorney Docket No. DE000238 Page 7 of 7

#### REMARKS

It is noted with great appreciation that the Examiner has found allowable subject matter in Claims 2 and 5-8.

Herein, the subject matter of cancelled claim 2 has been incorporated in Claims 1 and 10. Claims 6-8 have also been rewritten in independent form. Further, Claim 11 has been cancelled.

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The Commissioner is hereby authorized to credit any overpayment or charge any fee (except the issue fee) to Account No. 14-1270.

Respectfylly submitt Bv Russell Gross; Reg. 40,007

Attorney (914) 333-9631

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PAGE 7/8 * RCVD AT 11/17/2005 3:44:25 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/27 * DNIS:2738300 * CSID:914 332 0515 * DURATION (mm-ss):02-04

Ex. 1002 / Page 277 of 293 **ERICSSON v. UNILOC** 

PTO/SB/06 (12-04) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number PATENT APPLICATION FEE DETERMINATION RECORD Application or Docket Numbe **NS 99** ✐ Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN OR SMALL ENTITY (Column 1) (Column 2) SMALL ENTITY FOR NUMBER FILED NUMBER EXTRA RATE (\$) RATE (\$) FEE (\$) BASIC FEE (37 CFR 1.16(a), (b), or (c)) SEARCH FEE (37 CFR 1.16(k), (i), or (m)) EXAMINATION FEE (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS (37 CFR 1.16(i)) minus 20 = · = = OR INDEPENDENT CLAIMS (37 CFR 1.16(h)) minus 3 🛥 х ± = If the specification and drawings exceed 100 APPLICATION SIZE sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each FEE (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) * If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL TOTAL APPLICATION AS AMENDED - PART II OTHER THAN OR -17-05 (Column 1) (Column 2) (Column 3) SMALL ENTITY SMALL ENTITY CLAIMS HIGHES PRESENT REMAINING NUMBER RATE (\$) ADDI RATE (\$) ADDI AFTER PREVIOUSLY EXTRA TIONAL FEE (\$) TIONAL 片 AMENDMENT PAID FOR FEE (\$) Total (37 CFR 1.16(i)) AMENDMEI Minus 9 20 = OR Independent (37 CFR 1.16(h)) Minus 1 5 ×200 1002 _ Ξ OR Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16()) OR TOTAL TOTAL ADD'L FEE OR ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST PRESENT REMAINING NUMBER RATE (\$) ADDI-RATE (\$) m ADDI-AFTER EXTRA PREVIOUSLY TIONAL -TIONAL AMENDMENT PAID FOR FEE (\$) FEE (\$) ENDMEN Total (37 CFR 1.16()) Minus = OR = X Independent (37 CFR 1,16(h)) Minus = OR = Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(i)) OR TOTAL TOTAL OR ADD'L FEE ADD'L FEE * If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed up 50.50.12 and 37 CPR 114. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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PHILIPS IN I P.O. BOX 3001 BRIARCLIFF N			t STANDARDS	ART UNIT	TRAN, CON	NGVAN	
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				DATE MAILED:	12/06/2005	••	
APPLICATION NO.	FILING	DATE	FIRST NAMED INVENTOR	ATTORNEY DOC	KET NO.	CONFIRMATION NO.	
10/089,959	04/04/2	2002	Bernhard Walke	PHDE0002	38	1142	

TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Ľ	APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	NO	\$1400	\$300	\$1700	03/06/2006

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

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•	nis form, together with		or <u>Fax</u>	(571) 273-2885	for Patents ginia 22313-1450	ي نه
INSTRUCTIONS: This for appropriate. All further con indicated unless corrected by maintenance fee notification	m should be used for transr respondence including the Pa relow or directed otherwise in s.	nitting the ISSUE itent, advance orde n Block 1, by (a) s	FEE and PUBLIC rs and notification specifying a new c	CATION FEE (if red of maintenance fees correspondence addres	uired). Blocks 1 through 5 sl will be mailed to the current s; and/or (b) indicating a sepa	correspondence address as rate "FEE ADDRESS" for
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APPLICATION NO.	FILING DATE	FI	RST NAMED INVER		ATTORNEY DOCKET NO. PHDE000238	CONFIRMATION NO.
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hc Director of the USPTO VOTE: The Issue Fee and Pinterest as shown by the reconnected Signature	n is required by 37 CFR 1.31 ty is governed by 35 U.S.C. 1 plication form to the USPTO for reducing this burden, sho nia 22313-1450. DO NOT SI 450.	I. The information 22 and 37 CFR I. J. Time will vary de uld be sent to the C END FEES OR CC	is required to obtai 14. This collection epending upon the Chief Information ( OMPLETED FORM	Registration n or retain a benefit b is estimated to take 1 individual case. Any officer, U.S. Patent an IS TO THIS ADDRE		d by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandra, Virginia 223 www.uspto.gov	Trademark Office OR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142
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# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 411 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 411 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

Page 3 of 3

PTOL-85 (Rev. 07/05) Approved for use through 04/30/2007.

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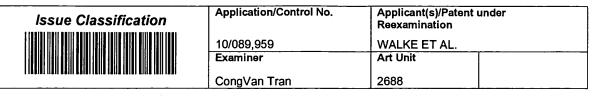
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Apr	plication No.	Applicant(s)
	089,959	WALKE ET AL.
	miner	Art Unit
Cor	gVan Tran	2688
The MAILING DATE of this communication appears of All claims being allowable, PROSECUTION ON THE MERITS IS (OR I herewith (or previously mailed), a Notice of Allowance (PTOL-85) or of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS of the Office or upon petition by the applicant. See 37 CFR 1.313 and	on the cover sheet with the co REMAINS) CLOSED in this app her appropriate communication S. This application is subject to MPEP 1308.	plication. If not included will be mailed in due course. THIS
1. X This communication is responsive to <u>amendment filed on 11/17/</u>	<u>/05</u> .	
2. X The allowed claim(s) is/are <u>1 and 3-10 have been renumbered t</u>	<u>o 1-4, 6-8, 5, 9 respectively</u> .	
3. ☑ Acknowledgment is made of a claim for foreign priority under 3 a) □ All b) □ Some* c) ☑ None of the:		
1. 1 Certified copies of the priority documents have beer		
2. Certified copies of the priority documents have been		
3. Copies of the certified copies of the priority docume	nts have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this noted below. Failure to timely comply will result in ABANDONMENT THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be submitted. INFORMAL PATENT APPLICATION (PTO-152) which gives rea		
5. CORRECTED DRAWINGS ( as "replacement sheets") must be s	submitted.	
(a) I including changes required by the Notice of Draftsperson's	Patent Drawing Review ( PTO-	948) attached
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date		
(b) including changes required by the attached Examiner's Amo Paper No./Mail Date		
ldentifying indicia such as the application number (see 37 CFR 1.84(c)) each sheet. Replacement sheet(s) should be labeled as such in the he		
6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT FOR	BIOLOGICAL MATERIAL r THE DEPOSIT OF BIOLOGIC	nust be submitted. Note the AL MATERIAL.
Attachment(s)         1. □ Notice of References Cited (PTO-892)         2. □ Notice of Draftperson's Patent Drawing Review (PTO-948)         3. □ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date         4. □ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview Summary Paper No./Mail Dat 7. ☐ Examiner's Amendr	le
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Ex. 1002 / Page 282 of 293 ERICSSON v. UNILOC



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Application/Control No.	Applicant(s)/Patent under Reexamination	
10/089,959	WALKE ET AL.	
Examiner	Art Unit	
CongVan Tran	2688	

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U.S. Patent and Trademark Office

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address (NMISSIONER FOR PATENTS PO. Box 1450 Algorithm 22313-1450 www.uspto.gov

Bib Data Sheet

# **CONFIRMATION NO. 1142**

SERIAL NUMB 10/089,959	FILING DATE 04/04/2002 CLASS GROUP ART UNIT 10/089,959 RULE GROUP ART UNIT RULE PHDE000238										
APPLICANTS											
Bernhard V	Valke,	Wuerselen, GERMAN	IY;								
Stefan Mar	ngold,	Aachen, GERMANY;									
This application is a 371 of PCT/EP01/09258 08/08/2001											
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10/089,959 04/04/2002 Bernhard Welke PHDE000238 [142 TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE-TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFEREN STANDARDS IN THE SAME FREQUENCY BAND												
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PAGE 1/1 * RCVD AT 1/4/2006 10:20:00 AM [Eastern Standard Time] * SVR:USPTO-EFXRF-6/25 * DNIS:2732885 * CSID:914 332 0615 * DURATION (mm-ss):00-50

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Case 8:18-cv-01279-DOC-JDE Document 5 Filed 07/24/18 Page 1 of 1 Page ID #:73

AO 120 (Rev. 08/10)

	Mail Stop 8 S. Patent and Trademark Of P.O. Box 1450 dria, VA 22313-1450	fice	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK						
filed in the U.S. Distr	0	Central	<ul> <li>1116 you are hereby advised that a court action has been</li> <li>I District of California on the following</li> <li>as 35 U.S.C. § 292.):</li> </ul>						
DOCKET NO. 8:18-cv-01279 PLAINTIFF Uniloc 2017 LLC, Uniloc USA, Inc.	DATE FILED 7/24/2018 Licensing USA LLC and Ur		STRICT COURT Central District of California DEFENDANT Microsoft Corporation						
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK						
1 7,016,676	3/21/2006	Unild	oc 2017 LLC						
2 6,993,049	1/31/2006	Unild	oc 2017 LLC						
3 7,167,487	1/23/2007	Unild	oc 2017 LLC						
4									
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	Amen	dment	Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE

Case 2:18-cv-00380-JRG-RSP Document 5 Filed 08/30/18 Page 1 of 1 PageID #: 123

AO 120 (Rev. 08/10)

]	Mail Stop 8 S. Patent and Trademark Of P.O. Box 1450 dria, VA 22313-1450	fice	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK							
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas Marshall Division on the following Trademarks or Patents. ( the patent action involves 35 U.S.C. § 292.):										
DOCKET NO. 2:18-cv-00380-JRG	STRICT COURT Eastern District of Texas Marshall Division									
PLAINTIFF UNILOC 2017 LLC, and	UNILOC LICENSING USA	LLC	DEFENDANT VERIZON COMMUNICATIONS INC., CELLCO PARTNERSHIP INC. D/B/A VERIZON WIRELESS, VERIZON BUSINESS NETWORK SERVICES, INC., and VERIZON DIGITAL MEDIA SERVICES, INC.							
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK							
1 7,075,917	7/11/2006	Unile	DC 2017 LLC							
2 6,664,891	12/16/2003	Unile	DC 2017 LLC							
3 6,519,005	2/11/2003	Unile	DC 2017 LLC							
4 7,016,676	3/21/2006	Unile	DC 2017 LLC							
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In the above-entitled case, the following decision has been rendered or judgement issued:

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CLERK	(BY) DEPUTY CLERK	DATE

Case 2:18-cv-00379-JRG-RSP Document 6 Filed 08/30/18 Page 1 of 1 PageID #: 146

AO 120 (Rev. 08/10)

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
1 0			e 1116 you are hereby advised that a court action has been on the following as 35 U.S.C. § 292.):	
DOCKET NO. 2:18-cv-00379-JRG PLAINTIFF	DATE FILED 8/29/2018	U.S. DI	STRICT COURT Eastern District of Texas Marshall Division DEFENDANT	
UNILOC 2017 LLC and UNILOC LICENSING USA LLC		LLC	AT&T INC., AT&T CORPORATION, AT&T SERVICES, INC., AT&T MOBILITY LLC, and AT&T COMMUNICATIONS, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1 6,901,272	5/31/2005	Unile	DC 2017 LLC	
2 6,519,005	2/11/2003	Unile	DC 2017 LLC	
3 7,016,676	3/21/2006	Unild	DC 2017 LLC	
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
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Case 2:18-cv-00448-JRG-RSP Document 3 Filed 11/05/18 Page 1 of 1 PageID #: 55

AO 120 (Rev. 08/10)

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. filed in the U.S. District Court Easter Trademarks or Patents. ( the patent action involved)			rn District of Texas	a court action has been on the following	
DOCKET NO. 2:18-cv-	DATE FILED 10/29/2018	U.S. DISTRICT COURT Eastern District of Texas			
PLAINTIFF			DEFENDANT		
UNILOC 2017 LLC and UNILOC LICENSING USA LLC			GOOGLE LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT	OR TRADEMARK	
1 7,016,676	3/21/2006	Uniloc 2017 LLC			
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE

Case 2:18-cv-00448-JRG Document 3 Filed 11/05/18 Page 1 of 1 PageID #: 55

AO 120 (Rev. 08/10)				
Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			FILING OR DETE ACTION REGARI	F ON THE RMINATION OF AN DING A PATENT OR EMARK
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. filed in the U.S. District Court Easter Trademarks or A Patents. ( the patent action involved)			rn District of Texas	court action has been on the following
DOCKET NO. 2:18-cv-	DATE FILED 10/29/2018	U.S. DI	STRICT COURT Eastern District	of Texas
PLAINTIFF UNILOC 2017 LLC and UNILOC LICENSING USA LLC		DEFENDANT GOOGLE LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT (	OR TRADEMARK
1 7,016,676	3/21/2006	Unil	DC 2017 LLC	
2				
3				
4				
5				

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
	Amen	dment	☐ Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER	OF PATENT OR T	RADEMARK
1					
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In the above--entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(DIO DEDUTTY OF EDV	DATE
CLERK	(BY) DEPUTY CLERK	DATE

Case 2:18-cv-00495-JRG-RSP Document 5 Filed 01/24/19 Page 1 of 1 PageID #: 58

AO 120 (Rev. 08/10)

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § filed in the U.S. District Court Easter Trademarks or A Patents. ( the patent action involve			rn District of Texas	at a court action has been on the following		
DOCKET NO. 2:18-cv-00495	DATE FILED 11/17/2018	U.S. DI	STRICT COURT Eastern Dist	rict of Texas		
PLAINTIFF UNILOC 2017 LLC and UNILOC USA, INC.		DEFENDANT GOOGLE LLC				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATER	NT OR TRADEMARK		
1 7,016,676	3/21/2006	Uniloc 2017 LLC				
2						
3						
4						
5						

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY		-		
	Amen	dment	Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR	IRADEMARK
1					
2					
3					
4					
5					

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE