UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

World Programming, Ltd.
Petitioner

v.

SAS Institute, Ltd.
Patent Owner

Case No. Unassigned Patent 7,170,519

DECLARATION OF SYLVIA D. HALL-ELLIS, PH.D.



I. INTRODUCTION

- 1. My name is Sylvia D. Hall-Ellis. I have been retained as an expert by World Programming, Limited ("WPL"). I have personal knowledge of the facts and opinions set forth in this declaration, I believe them to be true, and if called upon to do so, I would testify competently to them.
- 2. I have written this report at the request of World Programming, Limited to provide my expert opinion regarding the authenticity and public availability of four books. My report sets forth my opinions in detail and provides the basis for my opinions regarding the public availability of these publications.
- 3. I reserve the right to supplement or amend my opinions, and bases for them, in response any additional evidence, testimony, discovery, argument, and/or other additional information that may be provided to me after the date of this report.
- 4. I am being compensated for my time spent working on this matter at my normal consulting rate of \$300 per hour, plus reimbursement for any additional reasonable expenses. My compensation is not in any way tied to the content of this report, the substance of my opinions, or the outcome of this litigation. I have no other interests in this proceeding or with any of the parties.
- 5. All of the materials that I considered are discussed explicitly in this declaration.



II. BACKGROUND AND QUALIFICATIONS

- 6. I am currently an Adjunct Professor in the School of Information at San José State University. I obtained a Masters of Library Science from the University of North Texas in 1972 and a Ph.D. in Library Science from the University of Pittsburgh in 1985. Over the last forty-five years, I have held various positions in the field of library and information resources. I was first employed as a librarian in 1966, and have been involved in the field of library sciences since, holding numerous positions.
- 7. I am a member of the American Library Association (ALA) and its Association for Library Collections & Technical Services (ALCTS) Division, and I served on the Committee on Cataloging: Resource and Description (which wrote the new cataloging rules) and as the chair of the Committee for Education and Training of Catalogers and the Competencies and Education for a Career in Cataloging Interest Group. I also served as the Chair of the ALCTS Division's Task Force on Competencies and Education for a Career in Cataloging. Additionally, I have served as the Chair for the ALA Office of Diversity's Committee on Diversity. Currently I serve as a member of the Editorial Board for the ALCTS premier cataloging journal, *Library Resources and Technical Services*.
- 8. I have also given over one hundred presentations in the field, including several on library cataloging systems and Machine-Readable Cataloging



("MARC") standards. My current research interests include library cataloging systems, metadata, and organization of electronic resources.

9. I have been deposed nine times: (1) Symantec Corp. vs. Finjan, Inc., Petition for Inter Partes Review of U.S. Patent No. 7,613,926, May 26, 2016, on behalf of Symantec Corp.; (2) Symantec Corp. vs. Finjan, Inc., 14-cv-299-HSG (N.D. Cal.), September 14, 2017, on behalf of Symantec Corp.; (3) one deposition for ten matters: Intellectual Ventures I LLC vs. AT&T Mobility LLC; AT&T Mobility II LLC, New Cingular Wireless Services, Inc., SBC Internet Services, Inc., Wayport, Inc., and Cricket Wireless LLC, C.A. No. 12-193 (LPS); Intellectual Ventures II LLC vs. AT&T Mobility LLC; AT&T Mobility II LLC, New Cingular Wireless Services, Inc., SBC Internet Services, Inc., Wayport, Inc., and Cricket Wireless LLC, C.A. No. 13-1631 (LPS); Intellectual Ventures I LLC vs. T-Mobile USA, Inc. and T-Mobile US, Inc., C.A. No. 13-1632 (LPS); Intellectual Ventures II LLC vs. T-Mobile USA, Inc. and T-Mobile US, Inc., C.A. No. 13-1633 (LPS); Intellectual Ventures I LLC, vs. Nextel Operations, Inc., Sprint Spectrum L.P., Boost Mobile, LLC and Virgin Mobile USA, L.P., C.A. No. 13-1634 (LPS); Intellectual Ventures II LLC vs. Nextel Operations, Inc., Sprint Spectrum L.P., Boost Mobile, LLC and Virgin Mobile USA, L.P., C.A. No. 13-1635 (LPS); Intellectual Ventures I LLC, vs. United States Cellular Corporation, C.A. No. 13-1636 (LPS); Intellectual Ventures I LLC vs. United States Cellular Corporation,



C.A. No. 13-1637 (LPS); Intellectual Ventures II LLC vs. AT&T Mobility LLC, AT&T Mobility II LLC, New Cingular Wireless Services, Inc., C.A. No. 15-799 (LPS); Intellectual Ventures I LLC vs. T-Mobile USA, Inc. and T-Mobile US, Inc., C.A. No. 15-800 (LPS), on behalf of AT&T Mobility LLC; AT&T Mobility II LLC, Boost Mobile, LLC Cricket Wireless LLC, Nextel Operations, Inc., New Cingular Wireless Services, Inc., SBC Internet Services, Inc., Sprint Spectrum L.P., T-Mobile USA, Inc., T-Mobile US, Inc., United States Cellular Corporation Virgin Mobile USA, L.P., and Wayport, Inc., November 15, 2016; (4) Hitachi Maxell, LTD., v. Top Victory Electronics (Taiwan) Co. Ltd., et al., 2:14-cv-1121 JRG-RSP (E.D. Texas), January 20, 2016, on behalf of Top Victory Electronics (Taiwan) Co. LTD, et. al.; (5) Sprint Spectrum, L.P. vs. General Access Solutions, Ltd., Petition for Inter Partes Review of U.S. Patent No. 7,173,916, July 13, 2018, on behalf of Sprint Spectrum L.P.; (6) Nichia Corporation vs. Vizio, Inc., 8:16-cv-00545, October 12, 2018, on behalf of Vizio, Inc.; (7) Intellectual Ventures I LLC, vs. T-Mobile USA, Inc., T-Mobile US, Inc., Ericsson Inc., and Telefonaktiebolaget LM Ericsson, 2:17-cv-00557 (JRG), October 19, 2018, on behalf of T-Mobile USA, Inc., T-Mobile US, Inc., Ericsson Inc., and Telefonaktiebolaget LM Ericsson; (8) Pfizer, Inc. vs. Biogen, Inc., Petition for Inter Partes Review of U.S. Patent No. 8,821,873, November 3, 2018, on behalf of Pfizer; and (9) Finjan, Inc. vs. ESET,



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