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<u>Express Mail No.:</u> EV196632874US <u>Attorney Docket No.:</u> 0492611-0598 <u>Date Filed:</u> November 18, 2004

CERTIFICATE OF MAILING

"Express Mail" mailing label number EV 196632874 US

Date of Deposit: November 18, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as *"Express Mail Post Office to Address"* service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

UTILITY PATENT APPLICATION TRANSMITTAL

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Diana Ruiz

Inventor(s):

Family Name or Surname	Residence (City and State or Foreign Country)
COHN	CHESTNUT HILL, MASSACHUSETTS
BROMBERG	SHARON, MASSACHUSETTS
HEYWOOD	NEWTON, MASSACHUSETTS
	Family Name or Surname COHN BROMBERG HEYWOOD

<u>Title of the Invention</u>: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

A) APPLICATION ELEMENTS:

- 1) [] Fee Transmittal Form (original and duplicate submitted for fee processing)
- 2) 🛛 Applicant Claims Small Entity Status (see 37 C.F.R. § 1.27)

a)
Statement Verifying Small Entity Status (optional)



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- 3) 🛛 Specification
 - Cover Page
 - Descriptive Title of the Invention
 - Background of the Invention
 - Summary of the Invention
 - Brief Description of the Drawing (if filed)
 - Description of the Preferred Embodiment
 - Claim(s) (3 pgs.)
 - \boxtimes Abstract of the Invention (1 pg.)
- 4) 🛛 Drawing(s) (35 U.S.C. § 113) a) [] Formal Drawings (if checked)
- 5) 🗌 Oath or Declaration
 - a) Newly Executed (original or copy)
 - b) \Box Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application
 - i) Deletion of inventor(s): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
 - c) 🗌 Unexecuted
- 6) Application Data Sheet. See 37 C.F.R. § 1.76.
- 7) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8) I Nucleotide and/or Amino Acid Sequence Submission (if applicable, all are necessary)
 - a) Computer Readable Form (CRF)
 - b)
 Specification Sequence Listing on:
 - i) CD-ROM or CD-R (2 copies); or
 - ii) 🗌 Paper
 - c) C Statements verifying identity of above copies

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TOTAL PAGES: 14

TOTAL PAGES:

TOTAL SHEETS: 3

B) ACCOMPANYING APPLICATION PARTS:

- 9) Assignment Papers (cover sheet & document(s))
- 10) 37 C.F.R. § 3.73(b) Statement (when there is an assignee)
- 11)
 Power of Attorney
- 12) **English Translation Document** (if applicable)
- 13) 🔲 Information Disclosure Statement (IDS)/PTO-1449
- 14)
 Copies of IDS Citations
- 15)
 Preliminary Amendment
- 16) X Return Receipt Postcard (MPEP 503) (specifically itemized)
- 17) Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 18) 🗌 Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)
- 19) **OTHER:** (if applicable, specified below)

C) <u>FOR CONTINUING APPLICATIONS</u>: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

□ continuation	divisional	continuation-in-part (CIP)
of prior application no.: filed: examiner: group/art unit:		

for continuation or divisional applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D.) **PRIORITY CLAIM(S)**:

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This application claims the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

Application Serial No.	Filing date	Status (patented, pending, abandoned
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This application claims the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No. Filing date

Status (pending, expired, abandoned)

E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

Applicant claims small entity status 37 C.F.R. § 1.27.

 \boxtimes A check for \$422.00 is enclosed to cover the filing fees.

The commissioner is hereby authorized to charge filing fees or to credit any overpayment to deposit account number 03-1721.

Basic Filing Fee (Small Entity)	\$395.00
Additional Fees:	
Total Number of Claims in excess of 20: (23 – 20) x \$9	\$27.00
Number of Independent Claims in excess of $3:(2-3) \ge 343$	\$0.00
Multiple Dependent Claims \$150:	<u>\$0.00</u>
Total Filing Fee:	\$422.00

F) CORRESPONDENCE ADDRESS:

Customer Number: 24280

Respectfully Submitted,

Δ

Sam Pasternack Registration No. 29,576

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Express Mail No.: EV196632874US Attorney Docket: 0492611-0598 Date Filed: November 18, 2004

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JOINT

APPLICATION

FOR

UNITED STATES LETTERS PATENT

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

BE IT KNOWN, that we,

Daniel R. Cohn, Chestnut Hill, Massachusetts Leslie Bromberg, Sharon, Massachusetts John B. Heywood, Newton, Massachusetts

have invented certain new and useful improvements in Fuel Management System for

Variable Ethanol Octane Enhancement of Gasoline Engines of which the following is a

specification:

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Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280

Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines

Background of the Invention

This invention relates to spark ignition gasoline engines utilizing an antiknock agent which is a liquid fuel with a higher octane number than gasoline such as ethanol to improve engine efficiency.

It is known that the efficiency of spark ignition (SI) gasoline engines can be increased by high compression ratio operation and particularly by engine downsizing. The engine downsizing is made possible by the use of substantial pressure boosting from either turbocharging or

- supercharging. Such pressure boosting makes it possible to obtain the same performance in a significantly smaller engine. See, J. Stokes, et al., "A Gasoline Engine Concept For Improved Fuel Economy The Lean-Boost System," SAE Paper 2001-01-2902. The use of these techniques to increase engine efficiency, however, is limited by the onset of engine knock. Knock is the undesired detonation of fuel and can severely damage an engine. If knock can be
- 15 prevented, then high compression ratio operation and high pressure boosting can be used to increase engine efficiency by up to twenty-five percent.

Octane number represents the resistance of a fuel to knocking but the use of higher octane gasoline only modestly alleviates the tendency to knock. For example, the difference between regular and premium gasoline is typically six octane numbers. That is significantly less

20 than is needed to realize fully the efficiency benefits of high compression ratio or turbocharged operation. There is thus a need for a practical means for achieving a much higher level of octane enhancement so that engines can be operated much more efficiently.

It is known to replace a portion of gasoline with small amounts of ethanol added at the refinery. Ethanol has a blending octane number (ON) of 110 (versus 95 for premium gasoline) (see J.B. Heywood, "Internal Combustion Engine Fundamentals," McGraw Hill, 1988, p. 477)

and is also attractive because it is a renewable energy, biomass-derived fuel, but the small amounts of ethanol that have heretofore been added to gasoline have had a relatively small impact on engine performance. Ethanol is much more expensive than gasoline and the amount of ethanol that is readily available is much smaller than that of gasoline because of the relatively
limited amount of biomass that is available for its production. An object of the present invention

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is to minimize the amount of ethanol or other antiknock agent that is used to achieve a given level of engine efficiency increase. By restricting the use of ethanol to the relatively small fraction of time in an operating cycle when it is needed to prevent knock in a higher load regime and by minimizing its use at these times, the amount of ethanol that is required can be limited to a relatively small fraction of the fuel used by the spark ignition gasoline engine.

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Summary of the Invention

In one aspect, the invention is a fuel management system for efficient operation of a spark ignition gasoline engine including a source of an antiknock agent such as ethanol. An injector directly injects the ethanol into a cylinder of the engine and a fuel management system

- controls injection of the antiknock agent into the cylinder to control knock with minimum use of 10 the antiknock agent. A preferred antiknock agent is ethanol. Ethanol has a high heat of vaporization so that there is substantial cooling of the air-fuel charge to the cylinder when it is injected directly into the engine. This cooling effect reduces the octane requirement of the engine by a considerable amount in addition to the improvement in knock resistance from the
- 15 relatively high octane number of ethanol. Methanol, tertiary butyl alcohol, MTBE, ETBE, and TAME may also be used. Wherever ethanol is used herein it is to be understood that other antiknock agents are contemplated.

The fuel management system uses a fuel management control system that may use a microprocessor that operates in an open loop fashion on a predetermined correlation between 20 octane number enhancement and fraction of fuel provided by the antiknock agent. To conserve the ethanol, it is preferred that it be added only during portions of a drive cycle requiring knock resistance and that its use be minimized during these times. Alternatively, the gasoline engine may include a knock sensor that provides a feedback signal to a fuel management microprocessor system to minimize the amount of the ethanol added to prevent knock in a closed

25 loop fashion.

> In one embodiment the injectors stratify the ethanol to provide non-uniform deposition within a cylinder. For example, the ethanol may be injected proximate to the cylinder walls and swirl can create a ring of ethanol near the walls.

In another embodiment of this aspect of the invention, the system includes a measure of 30 the amount of the antiknock agent such as ethanol in the source containing the antiknock agent to control turbocharging, supercharging or spark retard when the amount of ethanol is low.

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The direct injection of ethanol provides substantially a 13°C drop in temperature for every ten percent of fuel energy provided by ethanol. An instantaneous octane enhancement of at least 4 octane numbers may be obtained for every 20 percent of the engine's energy coming from the ethanol.

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Brief Description of the Drawing

Fig. 1 is a block diagram of one embodiment of the invention disclosed herein.

Fig. 2 is a graph of the drop in temperature within a cylinder as a function of the fraction of energy provided by ethanol.

Fig. 3 is a schematic illustration of the stratification of cooler ethanol charge using directinjection and swirl motion for achieving thermal stratification.

Fig. 4 is a schematic illustration showing ethanol stratified in an inlet manifold.

Fig. 5 is a block diagram of an embodiment of the invention in which the fuel management microprocessor is used to control a turbocharger and spark retard based upon the amount of ethanol in a fuel tank.

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Description of the Preferred Embodiment

With reference first to **Fig. 1**, a spark ignition gasoline engine **10** includes a knock sensor **12** and a fuel management microprocessor system **14**. The fuel management microprocessor system **14** controls the direct injection of an antiknock agent such as ethanol from an ethanol tank **16**. The fuel management microprocessor system **14** also controls the delivery of gasoline

- 20 from a gasoline tank 18 into engine manifold 20. A turbocharger 22 is provided to improve the torque and power density of the engine 10. The amount of ethanol injection is dictated either by a predetermined correlation between octane number enhancement and fraction of fuel that is provided by ethanol in an open loop system or by a closed loop control system that uses a signal from the knock sensor 12 as an input to the fuel management microprocessor 14. In both
- 25 situations, the fuel management processor 14 will minimize the amount of ethanol added to a cylinder while still preventing knock. It is also contemplated that the fuel management microprocessor system 14 could provide a combination of open and closed loop control.

As show in **Fig. 1** it is preferred that ethanol be directly injected into the engine **10**. Direct injection substantially increases the benefits of ethanol addition and decreases the required

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Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280 amount of ethanol. Recent advances in fuel injector and electronic control technology allows fuel injection directly into a spark ignition engine rather than into the manifold **20**. Because ethanol has a high heat of vaporization there will be substantial cooling when it is directly injected into the engine **10**. This cooling effect further increases knock resistance by a

5 considerable amount. In the embodiment of **Fig. 1** port fuel injection of the gasoline in which the gasoline is injected into the manifold rather than directly injected into the cylinder is preferred because it is advantageous in obtaining good air/fuel mixing and combustion stability that are difficult to obtain with direct injection.

Ethanol has a heat of vaporization of 840kJ/kg, while the heat of vaporization of gasoline is about 350kJ/kg. The attractiveness of ethanol increases when compared with gasoline on an energy basis, since the lower heating value of ethanol is 26.9MJ/kg while for gasoline it is about 44MJ/kg. Thus, the heat of vaporization per Joule of combustion energy is 0.031 for ethanol and 0.008 for gasoline. That is, for equal amounts of energy the required heat of vaporization of ethanol is about four times higher than that of gasoline. The ratio of the heat of vaporization per unit air required for stoichiometric combustion is about 94 kJ/kg of air for ethanol and 24 kJ/kg

- of air for gasoline, or a factor of four smaller. Thus, the net effect of cooling the air charge is about four times lower for gasoline than for ethanol (for stoichiometric mixtures wherein the amount of air contains oxygen that is just sufficient to combust all of the fuel).
- In the case of ethanol direct injection according to one aspect of the invention, the charge 20 is directly cooled. The amount of cooling due to direct injection of ethanol is shown in **Fig. 2**. It is assumed that the air/fuel mixture is stoichiometric without exhaust gas recirculation (EGR), and that gasoline makes up the rest of the fuel. It is further assumed that only the ethanol contributes to charge cooling. Gasoline is vaporized in the inlet manifold and does not contribute to cylinder charge cooling. The direct ethanol injection provides about 13°C of 25 cooling for each 10% of the fuel energy provided by ethanol. It is also possible to use direct injection of gasoline as well as direct injection of ethanol. However, under certain conditions

there can be combustion stability issues.

The temperature decrement because of the vaporization energy of the ethanol decreases with lean operation and with EGR, as the thermal capacity of the cylinder charge increases. If

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the engine operates at twice the stoichiometric air/fuel ratio, the numbers indicated in **Fig. 2** decrease by about a factor of 2 (the contribution of the ethanol itself and the gasoline is relatively modest). Similarly, for a 20% EGR rate, the cooling effect of the ethanol decreases by about 25%.

- 5 The octane enhancement effect can be estimated from the data in **Fig. 2**. Direct injection of gasoline results in approximately a five octane number decrease in the octane number required by the engine, as discussed by Stokes, *et al.* Thus the contribution is about five octane numbers per 30K drop in charge temperature. As ethanol can decrease the charge temperature by about 120K, then the decrease in octane number required by the engine due to the drop in temperature,
- 10 for 100% ethanol, is twenty octane numbers. Thus, when 100% of the fuel is provided by ethanol, the octane number enhancement is approximately thirty-five octane numbers with a twenty octane number enhancement coming from direct injection cooling and a fifteen octane number enhancement coming from the octane number of ethanol. From the above considerations, it can be projected that even if the octane enhancement from direct cooling is
- 15 significantly lower, a total octane number enhancement of at least 4 octane numbers should be achievable for every 20% of the total fuel energy that is provided by ethanol.

Alternatively the ethanol and gasoline can be mixed together and then port injected through a single injector per cylinder, thereby decreasing the number of injectors that would be used. However, the air charge cooling benefit from ethanol would be lost.

- 20 Alternatively the ethanol and gasoline can be mixed together and then port fuel injected using a single injector per cylinder, thereby decreasing the number of injectors that would be used. However, the substantial air charge cooling benefit from ethanol would be lost. The volume of fuel between the mixing point and the port fuel injector should be minimized in order to meet the demanding dynamic octane-enhancement requirements of the engine.
- 25 Relatively precise determinations of the actual amount of octane enhancement from given amounts of direct ethanol injection can be obtained from laboratory and vehicle tests in addition to detailed calculations. These correlations can be used by the fuel management microprocessor system 14.

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An additional benefit of using ethanol for octane enhancement is the ability to use it in a mixture with water. Such a mixture can eliminate the need for the costly and energy consuming water removal step in producing pure ethanol that must be employed when ethanol is added to gasoline at a refinery. Moreover, the water provides an additional cooling (due to vaporization) that further increases engine knock resistance. In contrast the present use of ethanol as an

additive to gasoline at the refinery requires that the water be removed from the ethanol.

Since unlike gasoline, ethanol is not a good lubricant and the ethanol fuel injector can stick and not open, it is desirable to add a lubricant to the ethanol. The lubricant will also denature the ethanol and make it unattractive for human consumption.

10 Further decreases in the required ethanol for a given amount of octane enhancement can be achieved with stratification (non-uniform deposition) of the ethanol addition. Direct injection can be used to place the ethanol near the walls of the cylinder where the need for knock reduction is greatest. The direct injection may be used in combination with swirl. This stratification of the ethanol in the engine further reduces the amount of ethanol needed to obtain

15 a given amount of octane enhancement. Because only the ethanol is directly injected and because it is stratified both by the injection process and by thermal centrifugation, the ignition stability issues associated with gasoline direct injection (GDI) can be avoided.

It is preferred that ethanol be added to those regions that make up the end-gas and are prone to auto-ignition. These regions are near the walls of the cylinder. Since the end-gas contains on the order of 25% of the fuel, substantial decrements in the required amounts of ethanol can be achieved by stratifying the ethanol.

In the case of the engine 10 having substantial organized motion (such as swirl), the cooling will result in forces that thermally stratify the discharge (centrifugal separation of the regions at different density due to different temperatures). The effect of ethanol addition is to increase gas density since the temperature is decreased. With swirl the ethanol mixture will automatically move to the zone where the end-gas is, and thus increase the anti-knock effectiveness of the injected ethanol. The swirl motion is not affected much by the compression stroke and thus survives better than tumble-like motion that drives turbulence towards top-dead-center (TDC) and then dissipates. It should be pointed out that relatively modest swirls result in

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large separating (centrifugal) forces. A 3m/s swirl motion in a 5cm radius cylinder generates accelerations of about 200m/s², or about 20g's.

Fig. 3 illustrates ethanol direct injection and swirl motion for achieving thermal stratification. Ethanol is predominantly on an outside region which is the end-gas region. Fig. 4 illustrates a possible stratification of the ethanol in an inlet manifold with swirl motion and thermal centrifugation maintaining stratification in the cylinder. In this case of port injection of ethanol, however, the advantage of substantial charge cooling may be lost.

With reference again to Fig. 2, the effect of ethanol addition all the way up to 100% ethanol injection is shown. At the point that the engine is 100% direct ethanol injected, there may be issues of engine stability when operating with only stratified ethanol injection that need 10 to be addressed. In the case of stratified operation it may also be advantageous to stratify the injection of gasoline in order to provide a relatively uniform equivalence ratio across the cylinder (and therefore lower concentrations of gasoline in the regions where the ethanol is injected). This situation can be achieved, as indicated in Fig. 4, by placing fuel in the region of the inlet

15 manifold that is void of ethanol.

5

The ethanol used in the invention can either be contained in a separate tank from the gasoline or may be separated from a gasoline/ethanol mixture stored in one tank.

The instantaneous ethanol injection requirement and total ethanol consumption over a drive cycle can be estimated from information about the drive cycle and the increase in torque 20 (and thus increase in compression ratio, engine power density, and capability for downsizing) that is desired. A plot of the amount of operating time spent at various values of torque and engine speed in FTP and US06 drive cycles can be used. It is necessary to enhance the octane number at each point in the drive cycle where the torque is greater than permitted for knock free operation with gasoline alone. The amount of octane enhancement that is required is determined

25 by the torque level.

> A rough illustrative calculation shows that only a small amount of ethanol might be needed over the drive cycle. Assume that it is desired to increase the maximum torque level by a factor of two relative to what is possible without direct injection ethanol octane enhancement.

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Information about the operating time for the combined FTP and US06 cycles shows that approximately only 10 percent of the time is spent at torque levels above 0.5 maximum torque and less than 1 percent of the time is spent above 0.9 maximum torque. Conservatively assuming that 100 % ethanol addition is needed at maximum torque and that the energy fraction

of ethanol addition that is required to prevent knock decreases linearly to zero at 50 percent of maximum torque, the energy fraction provided by ethanol is about 30 percent. During a drive cycle about 20 percent of the total fuel energy is consumed at greater than 50 percent of maximum torque since during the 10 percent of the time that the engine is operated in this regime, the amount of fuel consumed is about twice that which is consumed below 50 percent of maximum torque. The amount of ethanol energy consumed during the drive cycle is thus roughly around 6 percent (30 percent x 0.2) of the total fuel energy.

In this case then, although 100% ethanol addition was needed at the highest value of torque, only 6% addition was needed averaged over the drive cycle. The ethanol is much more effectively used by varying the level of addition according to the needs of the drive cycle.

- 15 Because of the lower heat of combustion of ethanol, the required amount of ethanol would be about 9% of the weight of the gasoline fuel or about 9% of the volume (since the densities of ethanol and gasoline are comparable). A separate tank with a capacity of about 1.8 gallons would then be required in automobiles with twenty gallon gasoline tanks. The stored ethanol content would be about 9% of that of gasoline by weight, a number not too different from
- 20 present-day reformulated gasoline. Stratification of the ethanol addition could reduce this amount by more than a factor of two. An on-line ethanol distillation system might alternatively be employed but would entail elimination or reduction of the increase torque and power available from turbocharging.

Because of the relatively small amount of ethanol and present lack of an ethanol fueling infrastructure, it is important that the ethanol vehicle be operable if there is no ethanol on the vehicle. The engine system can be designed such that although the torque and power benefits would be lower when ethanol is not available, the vehicle could still be operable by reducing or eliminating turbocharging capability and/or by increasing spark retard so as to avoid knock. As shown in **Fig. 5**, the fuel management microprocessor system 14 uses ethanol fuel level in the ethanol tank 16 as an input to control the turbocharger 22 (or supercharger or spark retard, not

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Attorney Docket No.: 0492611-0598 Express Mail No. EV196632874US Date of Filing: November 18, 2004 Customer Number: 24280 shown). As an example, with on-demand ethanol octane enhancement, a 4-cylinder engine can produce in the range of 280 horsepower with appropriate turbocharging or supercharging but could also be drivable with an engine power of 140 horsepower without the use of ethanol according to the invention.

5 The impact of a small amount of ethanol upon fuel efficiency through use in a higher efficiency engine can greatly increase the energy value of the ethanol. For example, gasoline consumption could be reduced by 20% due to higher efficiency engine operation from use of a high compression ratio, strongly turbocharged operation and substantial engine downsizing. The energy value of the ethanol, including its value in direct replacement of gasoline (5% of the

10 energy of the gasoline), is thus roughly equal to 25% of the gasoline that would have been used in a less efficient engine without any ethanol. The 5% gasoline equivalent energy value of ethanol has thus been leveraged up to a 25% gasoline equivalent value. Thus, ethanol can cost roughly up to five times that of gasoline on an energy basis and still be economically attractive. The use of ethanol as disclosed herein can be a much greater value use than in other ethanol

15 applications.

Although the above discussion has featured ethanol as an exemplary anti-knock agent, the same approach can be applied to other high octane fuel and fuel additives with high vaporization energies such as methanol (with higher vaporization energy per unit fuel), and other anti-knock agents such as tertiary butyl alcohol, or ethers such as methyl tertiary butyl ether (MTBE), ethyl tertiary butyl ether (ETBE), or tertiary amyl methyl ether (TAME).

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It is recognized that modifications and variations of the invention disclosed herein will be apparent to those of ordinary skill in the art and it is intended that all such modifications and variations be included within the scope of the appended claims.

What is claimed is:

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1	1.	Fuel management system for efficient operation of a spark ignition gasoline engine
2		comprising:
3		a gasoline engine;
4		a source of an anti-knock agent;
5		an injector for direct injection of the anti-knock agent into a cylinder of the engine; and
6 7		a fuel management control system for controlling injection of the anti-knock agent into the cylinder to control knock.
8 9	2.	The system of claim 1 wherein the injectors stratify the anti-knock agent to provide non- uniform deposition within a cylinder.
10 11	3.	The system of claim 2 wherein the anti-knock agent is deposited near the walls of the cylinder.
12	4.	The system of claim 2 wherein the stratification is obtained through direct injection and
13		charge swift.
14	5.	The system of claim 1 wherein the anti-knock agent is selected from the group consisting
15		of ethanol, methanol, tertiary butyl alcohol, MTBE, ETBE and TAME.
16	6.	The system of claim 1 wherein the fuel management system includes a microprocessor
17		that operates in an open loop fashion on a predetermined correlation between octane
18		number enhancement and fraction of fuel provided by the anti-knock agent.
19	7.	The system of claim 1 wherein the gasoline engine includes a knock sensor providing a
20		feedback signal to a fuel management microprocessor to minimize the amount of the anti-
21		knock agent added to prevent knock in a closed loop fashion.
22	8.	The system of claim 1 wherein the anti-knock agent is ethanol.
23	9.	The system of claim 8 wherein the ethanol is mixed with water.

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1	10.	The system of claim 8 wherein the ethanol is mixed with a lubricant.
2	11.	The system of claim 1 wherein the engine has substantial organized motion such as swirl.
3	12.	The system of claim 1 wherein the system includes a measure of the amount of anti-
4		knock agent in the source to control turbocharging, supercharging or spark retard when
5		the amount of anti-knock agent is low.
6	13.	The system of claim 1 wherein the anti-knock agent is added only during portions of a
7		drive cycle requiring knock resistance.
8	14.	The system of claim 1 wherein gasoline is port injected into the engine.
9	15.	The system of claim 1 wherein the gasoline is directly injected into the cylinder.
10	16.	The system of claim 8 wherein the direct injection of ethanol provides substantially a
11		13°C drop in temperature for every 10% of fuel energy provided by the ethanol.
12	17.	The system of claim 1 wherein the fuel management system substantially minimizes the
13		amount of anti-knock agent used over a drive cycle.
14	18.	The system of claim 8 wherein an octane enhancement of at least 4 octane numbers is
15		obtained when 20% of the fuel energy in a cylinder comes from ethanol.
16	19.	The system of claim 1 wherein turbocharging or supercharging are reduced or eliminated
17		and/or spark retard is increased when the anti-knock agent is not available.
18	20.	The system of claim 8 wherein ethanol is injected proximate to a cylinder wall and swirl
19		creates a ring of ethanol.
20	21.	Fuel management system for efficient operation of a spark ignition engine comprising:
21		a gasoline engine;
22		a source of anti-knock agent;
23		a means for port fuel injection of the anti-knock agent; and
		Page 12 of 14

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a fuel management control system for controlling injection of the anti-knock agent into
 the cylinder to control knock.

- 3 22. The system of claim 21 wherein the ethanol and gasoline are mixed together and then
 4 port injected.
- 5 23. The system of claim 21 wherein the port injection is stratified.
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Abstract of the Disclosure

Fuel management system for efficient operation of a spark ignition gasoline engine. Injectors inject an anti-knock agent such as ethanol directly into a cylinder of the engine. A fuel management microprocessor system controls injection of the anti-knock agent so as to control knock and minimize that amount of the anti-knock agent that is used in a drive cycle. It is preferred that the anti-knock agent is ethanol. The use of ethanol can be further minimized by injection in a non-uniform manner within a cylinder. The ethanol injection suppresses knock so that higher compression ratio and/or engine downsizing from increased turbocharging or supercharging can be used to increase the efficiency of the engine.

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Applicant: Cohn, et al. Title: Fuel Management System for Variable Ethanol Octane Enhancement of Gasoline Engines Reference No.: 0492611-0598 Sheet 3 of 3



PATENT APPLICATION SERIAL NO.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

11/22/2004 SZEWDIE1 00000001 10991774

01 FC:2001 02 FC:2202 395.00 OP 27.00 OP

PTO-1556 (5/87)

*U.S. Government Printing Office: 2002 - 489-267/69033

FORD Ex. 1021, page 22 IPR2019-01400

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AMENDMENT	ANSMITTAL L	ETTER	R		Do 049	cket Number 92611-0598	
Application NumberFiling DateExaminer10/991,774November 18, 2004To Be Assigned						Group Art Unit To Be Assigne	d
Invention Title FUEL MANAGEMENT S	YSTEM FOR VARIA		HANOL OC		ENHANCE	MENT OF GAS	OLINE ENGINE
TO THE COMMISSIO	NER FOR PATEN	ITS		-			
Transmitted herewi	th is an amendme	nt in the	above-id	entified	application	on, including:	
(X) Prelim (X) Return	ninary Amendment n Postcard						
	(1)	GLAI	(2)		(3)		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHI NUME PREVIO PAID I	EST BER USLY FOR	PRESE NUMBE EXTR	NT RATE R A	FEE
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NDEPENDENT CLAIMS	2	Minus	;	3	0	x \$200	\$
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						TOTAL	\$1,650
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TRADEMAN			
Applicant:	Daniel R. Cohn, et al.	:	
••		:	
Serial No.:	10/991,774	: Examiner:	To Be Assigned
		:	
Filed:	November 18, 2004	: Art Unit:	To Be Assigned
		:	
For:	FUEL MANAGEMENT SYSTEM FOR	: Atty. Docket:	0492611-0598
	VARIABLE ETHANOL OCTANE	:	
	ENHANCEMENT OF GASOLINE	:	
	ENGINES	;	

Certificate of Mailing

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 22, 2004.

Othy A OBVer

Name: Cathy A. O'Brien

PRELIMINARY AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please preliminary amend the above-identified application as follows.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begin on page 3 of this paper.

Remarks/Arguments begin on page 8 of this paper.

12/29/2004 HALI11 00000027 10991774

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AMENDMENT	ANSMITTAL L	ETTER	R		Do 049	cket Number 92611-0598	
Application NumberFiling DateExaminer10/991,774November 18, 2004To Be Assigned						Group Art Unit To Be Assigne	d
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TO THE COMMISSIO	NER FOR PATEN	ITS		-			
Transmitted herewi	th is an amendme	nt in the	above-id	entified	application	on, including:	
(X) Prelim (X) Return	ninary Amendment n Postcard						
	(1)	GLAI	(2)		(3)		
	CLAIMS REMAINING AFTER AMENDMENT		HIGHI NUME PREVIO PAID I	EST BER USLY FOR	PRESE NUMBE EXTR	NT RATE R A	FEE
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If applicant has small (1.27, then divide tota	entity status under 3 fee by 2, and enter	7 CFR 1. amount h	9 and nere.		SMALL E	NTITY TOTAL	\$ 825
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TRADEMAN			
Applicant:	Daniel R. Cohn, et al.	:	
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Serial No.:	10/991,774	· Exammer.	TO BE Assigned
Filed:	November 18, 2004	: Art Unit:	To Be Assigned
		:	
For:	FUEL MANAGEMENT SYSTEM FOR	: Atty. Docket:	0492611-0598
	VARIABLE ETHANOL OCTANE	:	
	ENHANCEMENT OF GASOLINE	:	
	ENGINES	,	

Certificate of Mailing

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Othy A OBVer

Name: Cathy A. O'Brien

PRELIMINARY AMENDMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please preliminary amend the above-identified application as follows.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begin on page 3 of this paper.

Remarks/Arguments begin on page 8 of this paper.

12/29/2004 HALI11 00000027 10991774

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AMENDMENTS TO THE SPECIFICATION

Please delete the paragraph beginning at page 6, line 17, which starts "Alternatively the ethanol."

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AMENDMENTS TO THE CLAIMS:

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This listing of claims will replace all prior versions and listing of claims in the abovereferenced application.

Listing of Claims:

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1. (currently amended) Fuel management system for efficient operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of an anti-knock agent;

an injector for direct injection of the anti-knock agent into a cylinder of the engine; and

a fuel management control system for controlling injection of the anti-knock agent into the cylinder to control knock.

2. (currently amended) The system of claim 1 wherein the injectors stratify deposit the antiknock agent to provide non-uniform deposition within a cylinder.

3. (original) The system of claim 2 wherein the anti-knock agent is deposited near the walls of the cylinder.

4. (currently amended) The system of claim 2 wherein the stratification <u>non-uniform</u> <u>deposition</u> is obtained through direct injection and charge swirl.

5. (original) The system of claim 1 wherein the anti-knock agent is selected from the group consisting of ethanol, methanol, tertiary butyl alcohol, MTBE, ETBE and TAME.

6. (currently amended) The system of claim 1 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent.

7. (original) The system of claim 1 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion.

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8. (original) The system of claim 1 wherein the anti-knock agent is ethanol.

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9. (original) The system of claim 8 wherein the ethanol is mixed with water.

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10. (original) The system of claim 8 wherein the ethanol is mixed with a lubricant.

11. (original) The system of claim 1 wherein the engine has substantial organized motion such as swirl.

12. (original) The system of claim 1 wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low.

13. (original) The system of claim 1 wherein the anti-knock agent is added only during portions of a drive cycle requiring knock resistance.

14. (original) The system of claim 1 wherein gasoline is port injected into the engine.

15. (original) The system of claim 1 wherein the gasoline is directly injected into the cylinder.

16. (original) The system of claim 8 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of fuel energy provided by the ethanol.

17. (original) The system of claim 1 wherein the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle.

18. (original) The system of claim 8 wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

19. (original) The system of claim 1 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available.

20. (original) The system of claim 8 wherein ethanol is injected proximate to a cylinder wall and swirl creates a ring of ethanol.

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21-23. (cancelled)

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24. (new) The system of claim 8 wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

25. (new) The system of claim 8 wherein the ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

26. (new) The system of claim 8 wherein the ethanol is separated from a gasoline/ethanol mixture.

27. (new) The system of claim 8 wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol.

28. (new) The system of claim 8 wherein horsepower of a given size engine can be at least doubled by using ethanol octane enhancement.

29. (new) The system of claim 8 wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation.

30. (new) Fuel management system for operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of ethanol;

an injector for direct injection of the ethanol into a cylinder of the engine; and

a fuel management control system for controlling injection of the ethanol into the cylinder when engine torque is above a selected fraction of maximum torque.

31. (new) The system of claim 30 wherein torque levels at which the ethanol is directly injected are those where knock would occur absent the ethanol injection.

32. (new) The system of claim 30 wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

33. (new) The system of claim 30 wherein gasoline is port fuel injected.

34. (new) The system of claim 30 wherein up to and including substantially 100% of the fuel can be provided by the ethanol.

35. (new) The system of claim 30 wherein octane number is enhanced with increasing torque.

36. (new) The system of claim 30 wherein an octane enhancement of more than 20 octane numbers is achieved.

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37. (new) The system of claim 30 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between the required octane number enhancement and fraction of fuel provided by the ethanol.

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38. (new) The system of claim 30 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the ethanol added to prevent knock in a closed loop fashion.

39. (new) The system of claim 30 wherein the injector provides non-uniform deposition of the ethanol within a cylinder.

40. (new) The system of claim 39 wherein the ethanol is deposited near the walls of the cylinder.

41. (new) The system of claim 39 wherein the non-uniform deposition is obtained through direct injection and charge swirl.

42. (new) The system of claim 30 wherein the ethanol is mixed with water.

43. (new) The system of claim 30 wherein the ethanol is mixed with a lubricant.

44. (new) The system of claim 30 wherein the engine has substantial organized motion such as swirl.

45. (new) The system of claim 30 wherein the system includes a measure of the amount of ethanol available to control turbocharging, supercharging or spark retard when the amount of ethanol is low.

46. (new) The system of claim 30 wherein the gasoline is directly injected into the cylinder.

47. (new) The system of claim 30 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of the fuel energy provided by the ethanol.

48. (new) The system of claim 30 wherein the fuel management system substantially minimizes the amount of ethanol used over a drive cycle.

49. (new) The system of claim 30 wherein an octane enhancement of at least four octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

50. (new) The system of claim 30 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when ethanol is not available.

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51. (new) The system of claim 30 wherein the engine is operated with substantially a stoichiometric fuel/air ratio.

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FORD Ex. 1021, page 32 IPR2019-01400 52. (new) The system of claim 30 wherein the ethanol is separated from a gasoline/ethanol mixture.

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53. (new) The system of claim 30 wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when ethanol is directly injected into the cylinder.

54. (new) The system of claim 53 wherein the horsepower is reduced by at least a factor of two.

55. (new) The system of claim 30 wherein the fuel management microprocessor control system uses ethanol level in the ethanol tank as an input to control a turbocharger, supercharger or spark retard.

56. (new) The system of claim 55 wherein the turbocharger, supercharger or spark retard is adjusted to prevent knock.

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<u>REMARKS</u>

The specification has been amended to delete two sentences that had been inadvertently duplicated.

It is requested that the claims amended herein be introduced into the application. No new matter is being introduced by these amended claims in that support is found throughout the application. Specific support for the new claim language will now be provided.

Support for claim 24 may be found in the specification at page 5, line 21. The language of claim 25 is supported at page 3, beginning at line 21. Support for claim 26 may be found at page 8, line 17. Claim 27 is supported at page 8, last line. Claim 28 is supported at page 10, lines 1-4. Claim 29 is supported at page 10, line 7.

The language of claim 30 is supported in the specification at page 3, line 3 and at page 9 beginning at line 3. Similarly, claim 31 is also supported at page 3, line 3.

Claim 32 is supported at page 9, beginning at line 3. Claim 36 is supported at page 6, line 10. The remaining claims are modeled on claims as originally filed.

Claim 53 is supported at page 9, line 26. Claim 54 is supported at page 10 beginning at line 1. Support for claims 55 and 56 may be found on page 9 at line 28.

It is requested that these claims be entered into the patent application and be examined in due course.

Respectfully submitted,

Sam Pasternack Registration No. 29,576

Date: December 22, 2004

Patent Department CHOATE, HALL & STEWART Exchange Place 53 State Street Boston, MA 02109-2804 Tel: (617) 248-5000 Fax: (617) 248-4000

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UNITED STAT	es Patent and Tradema	RK OFFICE UNITED ST United State Address: COMM Advant Advant WWW	ATES DEPARTMENT OF COMMERCE ex Patent and Trademark Office IISSIONER FOR PATENTS t 450 bis, Viginia 22313-1450 piegov
APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598
			CONFIRMATION NO. 8282
24280		FORMAL	ITIES LETTER

CHOATE, HALL & STEWART LLP EXCHANGE PLACE 53 STATE STREET BOSTON, MA 02109

Date Mailed: 03/01/2005	Date	Mailed:	03/01	/2005
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OC000000015308213

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:	Mail Stop Missing Parts	
	Commissioner for Patents	
	P.O. Box 1450	
	Alexandria VA 22313-1450	

A copy of this notice <u>MUST</u> be returned with the reply.
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Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 3 - OFFICE COPY

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	APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
I	10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598
03/22/200	24280 CHOATE, HALL & STEWAR EXCHANGE PLACE 53 STATE STREET BOSTON, MA 02109 5 HDEMESS1 00000003 10991774	TLLP		CONFIRMATION NO. 8282 TIES LETTER

01 FC:2051

Date Mailed: 03/01/2005

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

65.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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	Commissioner for Patents
	P.O. Box 1450
	Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

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Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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- pur.	<u></u> <u> </u>	VILLE UNITED STATES TATENT AND		MADEMANN	OTTICE
FILMT & TRADEN	pplicant:	Cohn, et al.	:		
S	erial No.:	10/991,774	:	Examiner:	Not Yet Assigned
Fi	iled:	November 18, 2004	• :	Art Unit:	1714
Fe	or:	FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES	• : : :	Atty. Docket:	0492611-0598

Certificate of Mailing

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, first class mail, in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 17th day of March, 2005.

Othy A O'Brien me: Cathy A. O'Brien

RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts mailed March 1, 2005 for the above-

referenced patent application under 35 U.S.C. 371, Applicant respectfully submits the following:

- 1. An executed Declaration;
- 2. Appointment of Attorney;
- 3. Establishing Right of Assignee to Take Action (37 CFR § 3.73(b));
- 4. A copy of the Notice to File Missing Parts;

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5. A check in the amount of \$65.00 to cover the missing parts surcharge for a small entity;

6. Return postcard.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 03-1721**. A duplicate copy of this form is being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-5143.

Respectfully submitted, CHOATE, HALL & STEWART LLP

Sam Pasternack Registration No. 29,576

Date: March 17, 2005

¥ .

Patent Group CHOATE, HALL & STEWART LLP Exchange Place 53 State Street Boston, MA 02109-2804 Tel: (617) 248-5000 Fax: (617) 248-4000

3879637v1

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

the specification of which (I authorize Choate, Hall & Stewart to check one of the following three choices, and fill in the blanks, if applicable):

_____ is attached hereto

X was filed on <u>November 18, 2004</u> as Application Serial No. <u>10/991,774</u> and amended on ______ (if applicable).

 was filed as PCT international application No.

 on
 and was amended under PCT Article 19

 on
 (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):		Priority Claimed		
(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No
(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)
PCT Applications designation	ting the United States:	
(PCT Appl. No.)	(U.S.S.N.)	(status-patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

3

(Application Serial No.)	(filing date)	(status)	
(Application Serial No.)	(filing date)	(status)	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Attorney Docket No.: 0492611-0598

Full name of first inventor Daniel R. Cohn
Inventor's signature Daniel R. Col_ Date: 2/7/05
Residence 26 Walnut It cheamit Hill MS 02467
Citizenship
Post Office Address Technology Licensing Office, Massachusetts Institute of Technology,
Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493

Full name of second inventor Leslie Bromberg
Inventor's signature Cale Bounhay Date: 2705
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Post Office Address <u>Technology Licensing Office, Massachusetts Institute of Technology</u> .
Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493

Full name of third inventor, John B. Heywood
Inventor's signature And Heynon Date: 2/7/05
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Citizenship USA.
Post Office Address Technology Licensing Office, Massachusetts Institute of Technology,
Five Cambridge Center, Kendall Square, Room NE25-230, Cambridge, MA 02142-1493

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Attorney Docket No.: 0492611-0598



ATTORNEY DOCKET NO. 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.: Filing Date: Title: Cohn, et al. io/ qqi, 기기식 November 18, 2004 FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner For Patents P. O. Box 1450 Alexandria, VA 22313-1450

APPOINTMENT OF ATTORNEY

The undersigned hereby appoints the attorneys/agents associated with Customer Number 24280 as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office. All correspondence should be sent to:

> Patent Department Attn: Sam Pasternack Choate, Hall & Stewart LLP Exchange Place, 53 State Street Boston, Massachusetts 02109

Respectfully submitted wa

Name: <u>Karin K. Rivard</u> Title: <u>Assistant Director/Counsel</u>, Tech. Lic. Office On behalf of Massachusetts Institute of Technology

Dated: Dec. 6,2004

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Page 1 of 1

Attorney Docket No.: .0492611-0598

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(MAR 2 1 2005 6	
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ATTORNEY DOCKET NO. 0492611-0598 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Serial No.: Filing Date: Title: Examiner: TBA Art Unit: 1714

November 18, 2004 FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner For Patents P. O. Box 1450 Alexandria, VA 22313-1450

Cohn, et al.

10/991,774

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION (37 CFR § 3.73(b))

The inventors of the above-referenced United States patent application have assigned their entire right, title, and interest in the inventions disclosed therein according to the following table:

Inventor	Assignee
Daniel R. Cohn	MASSACHUSETTS INSTITUTE OF TECHNOLOGY
Leslie Bromberg	MASSACHUSETTS INSTITUTE OF TECHNOLOGY
John B. Heywood	MASSACHUSETTS INSTITUTE OF TECHNOLOGY

Thus, the Assignee of the inventors' entire right, title, and interest is:

MASSACHUSETTS INSTITUTE OF TECHNOLOGY

According to 37 CFR § 3.73(b), the Assignee is entitled to take action relating to the application in the Patent and Trademark Office if the Assignee establishes ownership to the satisfaction of the Commissioner.

Page 1 of 2

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Ownership by the assignee is established as follows (I authorize Choate, Hall & Stewart to check one of the following two choices, and fill in the blanks, if applicable):

_____ documents already recorded in the PTO on ______.

Reel _____; and

_____ documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, person(s) authorized to sign on behalf of the Assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as Assignee who seeks to take further action.

Name/Title	Assignee	Date
Signature:	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	Dec.6,
Title:	, Tech. Lic. Office	Stay

UNITED STATE	S PATENT AND TRADEM	MARK OFFICE	Commissioner for Patents States Patent and Trademark Office Washington, D.C. 2023
APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598
			CONFIRMATION NO. 8282

24280 CHOATE, HALL & STEWART LLP EXCHANGE PLACE 53 STATE STREET BOSTON, MA 02109

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OC00000015626244

Date Mailed: 3-31-65

NOTICE OF INFORMAL APPLICATION

This application is considered to be informal since it does not comply with the regulations for the reason(s) indicated below. The period within to correct the informalities noted below and avoid abandonment is set in the accompanying Office action.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:

· does not identify the citizenship of each inventor.

A copy of this notice <u>MUST</u> be returned with the reply.

Office of Initial Patent Examination (703) 308-1202 PART 1 - ATTORNEY/APPLICANT COPY

AP	ROG 2005 TO	N THE UNITED STATES PATENT A	ND TRADEMAR	K OFFICE	
~ \?	- set				
X	Applicant:	Cohn, et al.	:		
	Serial No [°] .	10/991,774	: Examiner:	Not Yet Assigned	
	Filed:	November 18, 2004	· : Art Unit:	1714	
	For:	FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES	: Atty. Docket: : :	0492611-0598	

Certificate of Mailing

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, first class mail, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 4th day of April, 2005.

Sher

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents are also being submitted.

In accordance with 37 C.F.R. 1.97, Applicant does not believe any fees are due in connection with submission of this Information Disclosure Statement since this Information Disclosure Statement is being filed before the mailing date of a first office action on the merits.

1

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The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application. Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our Deposit Account No. 03-1721. A duplicate copy of this form is being

submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-5143.

Respectfully submitted, CHOATE, HALL & STEWART LLP

k, Reg. No. 29,576

CHOATE, HALL & STEWART LLP Patent Group Exchange Place 53 State Street Boston, MA 02109 Tel: (617) 248-5000 Fax: (617) 248-4000

Date: April 4, 2005

Customer Number 24280

2

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Suberi	ute for form 1449A	/PTO		Comp	olete if Known
				Application Number	10/991,774
TRADEMIN				Filing Date	November 18, 2004
S	ATEMENT	BY A	PPLICANT	First Named Inventor	Daniel R. Cohn, et al.
				Art Unit	1714
	(Use as many	sheets as	necessary)	Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	0492611-0598

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Initials* No. ¹	Number-Kind Code ² (f	MM-DD-YYYY	Document	Figures Appear				
		US-6,508,233	1/21/2003	Blake R. Suhre, et al.				
		US-6,076,487	6/20/2000	Joseph W. Wulff, et al.				
		US-6,575,147	6/10/2003	Joseph W. Wulff, et al.				
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	FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No. ¹	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	4		
	No. ¹	Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (if known)			Relevant Passages or Relevant Figures Appeal	T°		
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Substitu	ute for form 1449B/F	ото		Complete if Known		
				Application Number	10/991,774	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	November 18, 2004	
				First Named Inventor	Daniel R. Cohn, et al.	
		Art Unit	1714			
	(Use as many sh	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	0492611-0598	

	NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
	A	A. MODAK and L.S. CARETTO, Engine Cooling by Direct Injection of Cooling Water, Society of Automotive Engineers, Inc. 700887					
	В	JULIAN A. LoRUSSO and HARRY A. CIKANEK, Direct Injection Ignition Assisted Alcohol Engine, Society of Automotive Engineers, Inc. 880495, International Congress and Exposition in Detroit Michigan (February 29-March 4, 1998)					
	C	BÖRJE GRANDIN, HANS-ERIK ÅNGSTRÖM, PER STÅLHAMMAR and ERIC OLOFSSON, Knock Suppression in a Turbocharged SI Engine by Using Cooled EGR, Society of Automotive Engineers, Inc. 982476, International Fall Fuels and Lubricants Meeting and Exposition in San Francisco, California (October 19-22, 1998)					
	D	BÖRJE GRANDIN and HANS-ERIK ÅNGSTRÖM, Replacing Fuel Enrichment in a Turbo Charged SI Engine: Lean Burn or Cooled EGR, Society of Automotive Engineers, Inc. 199-01-3505					
	E	C. STAN, R. TROEGER, S. GUENTHER, A. STANCIU, L. MARTORANO, C. TARANTINO and R. LENSI, Internal Mixture Formation and Combustion – from Gasoline to Ethanol, Society of Automotive Engineers, Inc. 2001-01-1207					

Signature	
Signature	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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10/991,774

1714

Correspondence Address / Fee Address Change

The following fields have been set to Customer Number 24280 on 10/06/2005

- Correspondence Address
- Maintenance Fee Address

The address of record for Customer Number 24280 is: CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON,MA 02110

=> IFW: Scan as Doc Code: SRNT <= Doc Date: 4/10/06

TC 3700 Inventor Search Program

See attached inventor searches for applications and/or patents to help resolve questions of overlapping subject matter. These searches are provided as an initial examination aid: examiners should perform updated or expanded PALM or EAST inventors searches as appropriate.

Serial Number: 10/991774

1.) See <u>attached</u> printout of inventors listed in PALM

2.) See <u>attached</u> EAST Inventor Search Printout shows Inventor search terms

> FORD Ex. 1021, page 54 IPR2019-01400

Day : Monday Date: 4/10/2006

Time: 14:06:41

Inventor Information for 10/991774

PALM INTRANET

Inventor Name	City	State/Country
COHN, DANIEL R.	CHESTNUT HILL	MASSACHUSETTS
BROMBERG, LESLIE	SHARON	MASSACHUSETTS
HEYWOOD, JOHN B.	NEWTON	MASSACHUSETTS
Appln Info Contents Petitio	on Info Atty/Agent Info	Continuity Data Foreign Data
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FORD Ex. 1021, page 55 IPR2019-01400

·	1 000 001 05		270/256	270/522	Cohn Daniel
US	20060105	System and method for	370/300	570/322	Conn; Daniel
20060002382		establishing calls over			M.
A1		dynamic virtual circuit			
		connections in an ATM			
		network			
US	20051215	Optimum regeneration	60/275	60/286; 60/301	Bromberg,
20050274104	20001210	of diesel particulate			Leslie et al.
A 1		filters and NOx trans			
AI		using fuel reformers			
	20050020		422/186		Pahinovich
US	20050929	Low current plasmatron	422/180		A lawardar at
20050214179		fuel converter having			Alexander et
A1		enlarged volume			al.
		discharges			
US	20050929	Wide dynamic range	60/643		Rabinovich,
20050210877		multistage plasmatron			Alexander et
A1		reformer system			al.
US	20050922	Plasma ion mobility	250/290	250/292;	Bromberg,
20050205775	20030922	spectrometer		250/294	Leslie et al.
20030203773		spectrometer			
	20050728	Posponsive biomedical	523/105	524/555	Cohn Daniel
08	20050728	Responsive biomedical	525/105	524/555	et al
20050165128		composites			ct al.
A1			10.1/501		C 1 Derial
US	20050505	Novel polymeric	424/501		Cohn, Daniel
20050095302		compositions exhibiting			et al.
A1		reverse thermal			
		gellation properties			
US	20050428	Apparatus and method	204/172	431/3	Smaling,
20050087436		for operating a fuel			Rudolf M. et
Al		reformer so as to purge			al.
		soot therefrom			
US	20050331	Responsive polymeric	424/426		Cohn. Daniel
20050060572	20050551	system	121/120		et al
20030009373		system			ot un
	20050127	Dia ana stran a stalivat	122/196	420/10	Bromberg
US	20050127	Plasmatron-catalyst	422/100	429/19	Loglio et al
20050019230		system			Leslie et al.
Al			40.4/70.1	<u>.</u>	Cala David
US	20050113	Multi-component	424/78.1		Conn, Daniel
20050008609		reverse thermo-sensitive			et al.
A1		polymeric systems			
US	20041216	High compression ratio,	123/300		Heywood,
20040250790	•	high power density			John B. et al.
A1		homogeneous charge			
		compression ignition			
		engines using hydrogen			
		and carbon monoxide to			
		enhance auto-ignition			
1	· · ·	emailee auto-ignition	1	1	

		resistance			
US 20040202592 A1	20041014	Plasmatron fuel converter having decoupled air flow control	422/186.04	204/168	Rabinovich, Alexander et al.
US 20040156819 A1	N/A, Contact help desk				Cohn, Daniel et al.
US 20040099226 A1	20040527	Homogeneous charge compression ignition control utilizing plasmatron fuel converter technology	123/3		Bromberg, Leslie et al.
US 20040065274 A1	20040408	High compression ratio, hydrogen enhanced engine system	123/1A	123/568.11; 123/585	Cohn, Daniel R. et al.
US 20040035395 A1	20040226	Hydrogen and carbon monoxide enhanced knock resistance in spark ignition gasoline engines	123/435	123/198A	Heywood, John B. et al.
US 20030221949 A1	20031204	Low current plasmatron fuel converter having enlarged volume discharges	204/164	422/186.04	Rabinovich, Alexander et al.
US 20030195162 A1	20031016	Genetic marker for spondyloepimetaphyseal dysplasia	514/44	435/6; 435/91.2; 536/23.2; 536/24.3	Cohn, Daniel H. et al.
US 20030187148 A1	20031002	Novel polymeric compositions exhibiting reverse thermal gellation properties	525/408		Cohn, Daniel et al.
US 20030089337 A1	20030515	High compression ratio, hydrogen enhanced gasoline engine system	123/435	123/1A; 123/568.11	Cohn, Daniel R. et al.
US 20030082235 A1	20030501	Novel reverse thermo- sensitive block copolymers	424/486		Cohn, Daniel et al.
US 20030042231 A1	20030306	Symbiotic solid waste - gaseous waste conversion system for high-efficiency electricity production	219/121.37	110/346; 219/121.48	Surma, Jeffrey E. et al.
US 20020194835	20021226	Emission abatement system utilizing	60/275	60/295; 60/301	Bromberg, Leslie et al.

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Al		particulate traps			
US 20020012618 A1	20020131	Plasmatron-catalyst system	422/190	422/191; 422/192; 422/211; 48/117; 48/127.9; 48/61	Bromberg, Leslie et al.
US 20010009662 A1	20010726	Novel polymeric compositions	424/78.17	525/450	Cohn, Daniel et al.
US 6981472 B2	20060103	Homogeneous charge compression ignition control utilizing plasmatron fuel converter technology	123/3	123/27GE; 123/525	Bromberg; Leslie et al.
US 6981055 B1	20051227	Method and system for optimizing routing through multiple available internet route providers	709/238	370/401; 709/239	Ahuja; Abha et al.
US 6881386 B2	20050419	Low current plasmatron fuel converter having enlarged volume discharges	422/186.04	123/3; 422/186.22; 422/186.25; 422/186.28	Rabinovich; Alexander et al.
US 6870012 B2	20050322	Chain-extended peo/ppo/peo block copolymer, optionally with polyester blocks, combined with cellular or bioactive material	525/408	424/174.1; 424/176.1; 424/280.1; 424/94.1; 514/772.7; 525/403	Cohn; Daniel et al.
US 6818428 B1	20041116	3-phosphoadenosine-5- phosphosulfate (PAPS) synthetase proteins and methods for treating osteoarthritic disorders	435/194	424/192.1; 435/252.3; 435/320.1; 536/23.2	Cohn; Daniel H. et al.
US 6793899 B2	20040921	Plasmatron-catalyst system	422/188	422/189; 422/190; 422/198; 422/211; 429/17; 429/19; 48/127.9; 48/DIG.8	Bromberg; Leslie et al.
US 6737604 B2	20040518	Symbiotic solid waste gaseous waste conversion system for	219/121.37	110/346; 219/121.48	Surma; Jeffrey E. et al.

L	T	high-efficiency	T	<u> </u>	
		electricity production			
US 6718753 B2	20040413	Emission abatement system utilizing particulate traps	60/275		Bromberg; Leslie et al.
US 6696499 B1	20040224	Methods and compositions for reducing or eliminating post-surgical adhesion formation	514/772.1	525/408; 525/424; 525/43; 525/449; 525/454	Cohn; Daniel et al.
US 6655324 B2	20031202	High compression ratio, hydrogen enhanced gasoline engine system	123/1A	123/304; 123/431; 123/568.11; 123/DIG.12	Cohn; Daniel R. et al.
US 6621395 B1	20030916	Methods of charging superconducting materials	335/216	505/879	Bromberg; Leslie
US 6579951 B1	20030617	Chain-extended or crosslinked polyethylene oxide/polypropylene oxide/polyethylene oxide block polymer with optional polyester	525/408	525/403	Cohn; Daniel et al.
US 6560958	20030513	Emission abatement	60/275	60/286; 60/295; 60/301	Bromberg; Leslie et al.
US 6322757 B1	20011127	Low power compact plasma fuel converter	422/186.04	123/3; 422/186.22; 422/186.28	Cohn; Daniel R. et al.
US 6226516 B1	20010501	Method for invoking dynamically modifiable subscriber services and an intelligent telecommunication network incorporating the same	455/433	379/201.05; 379/207.02; 379/913; 455/414.1	Gupta; Rohit et al.
US 6211249 B1	20010403	Polyester polyether block copolymers	514/772.1	525/408; 525/424; 525/430; 525/449; 525/454	Cohn; Daniel et al.
US 6167064 A	20001226	Method and system in an intelligent communications network for a	370/522	370/410; 455/553.1	Cohn; Daniel et al.

		programmable call			
		control utilizing			
		removable configurable			
		control mechanisms			
LIS 6160238 A	20001212	Tunable molten oxide	219/121.37	110/242:	Titus: Charles
05 0100250 11	20001212	nool assisted plasma-		110/250:	H. et al.
		melter vitrification		219/121 53	
		avetems		210/121.55, 210/121.54.	
		systems		210/121.54, 210/121.57.	
				213/121.57, 272/22.	· ·
				373/22,	
				5/5/25;	
				588/311;	
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				588/408;	
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				588/410;	
				588/412;	
				588/413;	
				588/414;	
				588/900	
US 6136333 A	20001024	Methods and	424/423	424/426;	Cohn; Daniel
0001000000	2000102	compositions for		424/444;	et al.
,		reducing or eliminating		424/486:	
		nost-surgical adhesion		424/497:	:
		formation		424/78.17	
LIS 6127645 A	20001003	Tunable self-nowered	219/121 36	110/242	Titus: Charles
03 012/045 A	20001003	are plasma melter	217/121.50	110/250	H et al
		ale plasma-mener		210/121 37	11. Ot ul.
		electro conversion		210/121.57,	
		system for waste		219/121.55, 210/121.54	
		treatment and resource		219/121.54, 210/121.57.	
		recovery		219/121.57,	
				373/22;	-
		· ·		575/25;	
				588/311;	
		· ·		588/316;	
				588/405;	
				588/406;	
•				588/407;	
				588/408;	
				588/409;	
				588/410;	
				588/412;	

[588/413:	
				588/414	
US 6081329 A	20000627	Compact trace element sensor which utilizes microwave generated plasma and which is	356/316	333/99PL	Cohn; Daniel R. et al.
		portable by an individual	· · · · · · · · · · · · · · · · · · ·		
US 6066825 A	20000523	Methods and apparatus for low NO.sub.x emissions during the production of electricity from waste treatment systems	219/121.36	110/246; 219/121.37; 219/121.44; 373/18; 588/900	Titus; Charles H. et al.
US 6037560 A	20000314	Enhanced tunable plasma-melter vitrification systems	219/121.37	110/346; 219/121.36; 219/121.52; 219/121.54; 373/18; 588/311; 588/405; 588/406; 588/406;	Titus; Charles H. et al.
US 5908564 A	19990601	Tunable, self-powered arc plasma-melter electro conversion system for waste treatment and resource recovery	219/121.36	110/250; 110/346; 219/121.37; 219/121.38; 373/18; 588/900	Titus; Charles H. et al.
US 5887554 A	19990330	Rapid response plasma fuel converter systems	123/3	123/DIG.12	Cohn; Daniel R. et al.
US 5852927 A	19981229	Integrated plasmatron- turbine system for the production and utilization of hydrogen- rich gas	60/780	290/52; 60/39.48	Cohn; Daniel R. et al.
US 5847353 A	19981208	Methods and apparatus for low NO.sub.x emissions during the production of electricity from waste treatment systems	219/121.36	110/246; 219/121.37; 219/121.44; 373/18; 588/900	Titus; Charles H. et al.
US 5825485 A	19981020	Compact trace element sensor which utilizes microwave generated plasma and which is	356/316	333/99PL	Cohn; Daniel R. et al.

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		portable by an individual			
US 5798497 A	19980825	Tunable, self-powered integrated arc plasma- melter vitrification system for waste treatment and resource recovery	219/121.37	110/242; 110/250; 219/121.43; 219/121.53; 219/121.54; 219/121.57; 373/22; 373/25	Titus; Charles H. et al.
US 5785426 A	19980728	Self-calibrated active pyrometer for furnace temperature measurements	374/126	374/122; 374/128; 374/130; 374/131; 374/9	Woskov; Paul P. et al.
US 5756957 A	19980526	Tunable molten oxide pool assisted plasma- melter vitrification systems	588/311	110/250; 110/346; 219/121.36; 219/121.57; 219/121.59; 588/314; 588/318; 588/405; 588/406;	Titus; Charles H. et al.
				588/407; 588/408; 588/409; 588/412; 75/10.1;	
US 5711958 A	19980127	Methods for reducing or eliminating post- surgical adhesion formation	424/423	73/10.19 128/898; 424/424; 424/425; 424/78.06; 514/772.1; 514/772.7	Cohn; Daniel et al.
US 5671045 A	19970923	Microwave plasma monitoring system for the elemental composition analysis of high temperature process streams	356/316	333/99PL	Woskov; Paul P. et al.
US 5666891 A	19970916	ARC plasma-melter electro conversion system for waste treatment and resource recovery	110/250	110/346; 219/121.17; 219/121.38	Titus; Charles H. et al.
LUS 5573339 A	19961112	Active radiometer for	374/126	374/128;	Woskov: Paul

· · · · · · · · · · · · · · · · · · ·		self-calibrated furnace		374/131:	P. et al.
		temperature		374/141	
		measurements			
110 5470054 4	10051226	Continuous real time	256/216		Woskov: Paul
US 5479254 A	19951220	Continuous, rear time	550/510	555/991 L	D ot ol
		microwave plasma			r. et al.
		element sensor	100/0	100 010 10	D 1 · · · 1
US 5437250 A	19950801	Plasmatron-internal	123/3	123/DIG.12	Rabinovich;
		combustion engine			Alexander et
		system			al.
US 5425332 A	19950620	Plasmatron-internal	123/3	123/DIG.12	Rabinovich;
		combustion engine			Alexander et
	• .	system			al.
US 5409784 A	19950425	Plasmatron-fuel cell	429/13	180/65.3;	Bromberg;
		system for generating		429/21; 429/9	Leslie et al.
		electricity			
US 5256854 A	19931026	Tunable plasma method	219/121.52	110/242;	Bromberg;
		and apparatus using		110/244;	Leslie et al.
		radio frequency heating		219/121.21;	
	•	and electron beam		219/121.43;	
		irradiation		219/121.59:	
				250/492.21	
US 5231073 A	19930727	Microwaye/far.infrared	505/475	264/322:	Cohn: Daniel
03 J2510/J K	19950727	cavities and waveguides		505/410	R. et al.
		using high temperature		505/480	
		superconductors		505/702	
		superconductors	. · ·	505/704	
		. · · · ·		505/728	
•				505/729	
			. · ·	505/740	
				505/741	
LIG 5100002 A	10020221	Delegenthene hered	520/26	424/501	Cohn: Daniel
08 5100992 A	19920331	Polyureinane-based	520/20	424/301,	ot al
		polymeric materials and		520/20,	et al.
		biomedical articles and		528/39;	
		pharmaceutical		528/05;	
		compositions utilizing		604/19;	
		the same		604/289;	
				604/290;	
	· .			604/327;	
				604/403;	
·				604/73; 604/8	
US 4968945 A	19901106	Open tube resonator test	324/633	324/636;	Woskov; Paul
		setup for conductivity		324/653;	P. et al.
		measurements		324/708	
US 4918049 A	19900417	Microwave/far infrared	505/210	315/4;	Cohn; Daniel
		cavities and waveguides		333/21R;	R. et al.
		using high temperature		333/227;	

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t.

		superconductors		333/238; 333/239; 333/99S; 505/701	
US 4826945 A	19890502	Biodegradable polymeric materials based on polyether glycols, processes for the preparation thereof and surgical articles made therefrom	424/423	424/443; 424/497; 424/78.06; 428/423.7; 428/480; 525/450; 528/76; 604/19	Cohn; Daniel et al.
US 4330761 A	19820518	High power gas laser	372/4	372/59	Cohn; Daniel R. et al.

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EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	194	(anti-knock or antiknock) adj agent\$1 and internal adj combustion adj engine and ethanol	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/04/18 08:31

4/18/06 8:32:20 AM C:\Documents and Settings\hali1\My Documents\EAST\Workspaces\intakemanifold.wsp

Page 1

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	ed States Patent a	ND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandra, Virginia 223 www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 013-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598	8282
24280 75	590 04/25/2006		EXAM	INER
CHOATE, HA	ALL & STEWART LLP		ALI, H	YDER
BOSTON, MA	02110		ART UNIT	PAPER NUMBER
,			3747	
			DATE MAILED: 04/25/200	6

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

	Application No	Applicant/s)	\mathcal{O}_{-}
Office Action Summer	10/991,774	COHN ET AL.	
Onice Action Summary	Examiner	Art Unit	
	HYDER ALI	3747	
The MAILING DATE of this communication Period for Reply	appears on the cover sheet	with the correspondence address	
A SHORTENED STATUTORY PERIOD FOR RE WHICHEVER IS LONGER, FROM THE MAILING - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication - If NO period for reply is specified above, the maximum statutory pe - Failure to reply within the set or extended period for reply will, by si Any reply received by the Office later than three months after the m earned patent term adjustment. See 37 CFR 1,704(b).	PLY IS SET TO EXPIRE <u>3</u> 3 DATE OF THIS COMMUI R 1.136(a). In no event, however, may brind will apply and will expire SIX (6) M tatute, cause the application to become halling date of this communication, even	MONTH(S) OR THIRTY (30) DAYS, IICATION. a reply be timely filed DNTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133). if timely filed, may reduce any	
status			
1) Responsive to communication(s) filed on			
2a) This action is FINAL . 2b)	This action is non-final.		
3) Since this application is in condition for allo	wance except for formal ma	atters, prosecution as to the merits is	
closed in accordance with the practice und	er Ex parte Quayle, 1935 C	.D. 11, 453 O.G. 213.	
Disposition of Claims			
		. · ·	
4) Claim(s) <u>1-20 and 24-56</u> is/are pending in t	the application.		
4a) Of the above claim(s) is/are with	arawn from consideration.	•	
5) Claim(s) is/are allowed.			
(5) Claim(s) <u>1-20 and 24-56</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction ar	nd/or election requirement.	· .	
Application Papers			
9) The specification is objected to by the Exan	niner.		
10) The drawing(s) filed on <u>18 November 2004</u>	is/are: a) accepted or b)	objected to by the Examiner.	
Applicant may not request that any objection to	the drawing(s) be held in abey	ance. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the co	rrection is required if the drawing	ng(s) is objected to. See 37 CFR 1.121(d).	
11) The oath or declaration is objected to by the	e Examiner. Note the attach	ed Office Action or form PTO-152.	•
		•	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for fore	eign priority under 35 U.S.C	§ 119(a)-(d) or (f).	
a) All b) Some * c) None of:	1. Sec. 1. Sec		
1. Certified copies of the priority docum	ents have been received.		
2. Certified copies of the priority docum	ents have been received in	Application No	
Copies of the certified copies of the provide the provident of the	priority documents have bee	en received in this National Stage	
application from the International Bu	reau (PCT Rule 17.2(a)).		
* See the attached detailed Office action for a	list of the certified copies n	ot received.	
Attachment/e)			
		Summany (PTO-413)	
 Notice of Draftsperson's Patent Drawing Review (PTO-948)) Paper N	o(s)/Mail Date	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SE	5) Notice o	f Informal Patent Application (PTO-152)	
Paper No(s)/Mail Date <u>4/6/05</u> .	6) 🚺 Other: _		
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1.

DETAILED ACTION

Inventorship

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim Objections

Claims 11 and 44 are objected to because of the following informalities: the limitation "substantial organized motion such as swirl" should read "substantial organized swirl motion". Appropriate correction is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

1. Claims 1-20,24-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takeda (US 4,480,616) in view of Cantwell et al (US 3,106,194).

Takeda discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine ; a source of an anti-knock agent 18; an injector 16 for injection of the anti-knock agent into the engine; and a fuel management control system 24 for controlling injection of the anti-knock agent into the engine to control knock.

Takeda does not disclose direct injection of the anti-knock agent into a cylinder of the engine.

Cantwell et al discloses direct injection of the anti-knock agent into a cylinder 18 of the engine 20.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the invention of Takeda by employing direct injection of the antiknock agent into a cylinder 18 of the engine 20 as taught by Cantwell in order to provide Takeda engine capable of direct injection of the anti-knock agent into a cylinder of the engine.

With regard to claim 2, Cantwell et al discloses wherein the injectors16 deposit the antiknock agent to provide non-uniform deposition within a cylinder 18.

With regard to claim 3, Cantwell et al discloses wherein the anti-knock agent is deposited near the walls of the cylinder 18.

With regard to claim 4, Cantwell et al discloses wherein the non-uniform deposition is obtained through direct injection and charge swirl.

With regard to claim 5, Takeda discloses anti-knock agent is alcohol.

With regard to claim 6, Takeda discloses wherein the fuel management system 24 includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent.

With regard to claim 7, wherein the gasoline engine includes a knock sensors providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion (optional design choice).

With regard to claim 8, Takeda discloses anti-knock agent is alcohol such as ethanol. With regard to claim 9, Takeda discloses alcohol such as ethanol is mixed with water. With regard to claim 10, wherein the ethanol is mixed with a lubricant (optional design choice).

With regard to claim 11, Takeda discloses engine has substantial organized swirl motion.

With regard to claim 12, Takeda discloses wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low.

With regard to claim 13, Takeda discloses wherein the anti-knock agent is added only during portions of a drive cycle requiring knock resistance.

With regard to claim 14, Cantwell et al discloses wherein gasoline is port injected into the engine.

With regard to claim 15, Cantwell et al discloses wherein the gasoline is directly injected into the cylinder.

With regard to claim 16, wherein the direct injection of ethanol provides substantially a 13 degrees Celsius drop in temperature for every 10% of fuel energy provided by the ethanol (optional design choice).

With regard to claim 17, Takeda discloses wherein the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle. With regard to claim 18, wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol (optional design choice).

With regard to claim 19, wherein turbocharging or supercharging ae reduced or eliminated and/or spark retard is increased when the aniti-knock agent is not available (optional design choice).

With regard to claim 20, Takeda discloses wherein alcohol (ethanol) is injected proximate to a cylinder wall and swirl creates a ring of alcohol (ethanol).

With regard to claim 24, Takeda discloses wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

With regard to claim 25, Takeda discloses wherein the alcohol such as ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

With regard to claim 26, Takeda discloses wherein the ethanol is separated from a gasoline/alcohol (ethanol) mixture.

With regard to claim 27, wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol.

With regard to claim 28, wherein horsepower of a given size engine can be at least doubled by using alcohol (ethanol) octane enhancement.

With regard to claim 29, wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation.

2. Claims 30-56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Takeda (US 4,480,616) in view of Krauja et al (US 4,721,081).

Takeda discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine; a source of alcohol (may be ethanol); an injector for injection of the alcohol (may be ethanol) into the engine; and a fuel management control system 24 for controlling injection of the alcohol (may be ethanol) into the cylinder when engine torque is above a selected fraction of maximum torque.

Takeda does not disclose an injector for direct injection of the ethanol into a cylinder of the engine.

Krauja et al discloses an injector 22 for direct injection of the ethanol into a cylinder of the engine 14.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to modify the invention of Takeda by employing direct injection of the ethanol into a cylinder of the engine 14 as taught by Krauja et al in order to provide Takeda engine capable of direct injection of the alcohol (ethanol) into a cylinder of the engine.
With regard to claim 31, wherein torque levels at which the alcohol (ethanol) is directly injected are those where knock would occur absent the ethanol injection.

With regard to claim 32, wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

With regard to claim 33, Takeda discloses wherein gasoline is port fuel injected. With regard to claim 34, Krauja et al discloses wherein up to and including substantially 100% of the fuel can be provided by the ethanol.

With regard to claim 35, wherein octane number is enhanced with increasing torque. With regard to claim 36, wherein an octane enhancement of more than 20 octane numbers is achieved (optional design choice).

With regard to claim 37, Takeda discloses wherein the fuel management system 24 includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the alcohol (ethanol).

With regard to claim 38, wherein the gasoline engine includes a knock sensors providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion (optional design choice).

With regard to claim 39, Krauja et al discloses wherein the injectors 22 provide nonuniform deposition of the ethanol within a cylinder.

With regard to claim 40, Krauja et al discloses wherein the ethanol is deposited near the walls of the cylinder.

With regard to claim 41, Krauja et al discloses wherein the non-uniform deposition is obtained through direct injection and charge swirl.

With regard to claim 42, wherein alcohol (ethanol) mixed with water.

With regard to claim 43, wherein the ethanol is mixed with a lubricant (optional design choice).

With regard to claim 44, Takeda discloses engine has substantial organized swirl motion.

With regard to claim 45, Takeda discloses wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of alcohol (ethanol) is low.

With regard to claim 46, wherein the gasoline is directly injected into the cylinder (optional design choice).

With regard to claim 47, wherein the direct injection of ethanol provides substantially a 13 degrees Celsius drop in temperature for every 10% of fuel energy provided by the ethanol (optional design choice).

With regard to claim 48, Takeda discloses wherein the fuel management system substantially minimizes the amount of alcohol (ethanol) used over a drive cycle. With regard to claim 49, wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol (optional design choice).

With regard to claim 50, wherein turbocharging or supercharging ae reduced or eliminated and/or spark retard is increased when the aniti-knock agent is not available (optional design choice).

With regard to claim 51, Takeda discloses wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

With regard to claim 52, Takeda discloses wherein the ethanol is separated from a gasoline/alcohol (ethanol) mixture.

With regard to claim 53, wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when alcohol (ethanol) is directly injected into the cylinder.

With regard to claim 54, wherein the horsepower is reduced by at least a factor of two. With regard to claim 55, wherein the fuel management microprocessor control system uses alcohol (ethanol) level in the ethanol tank as an input to control a turbocharger, supercharger or spark retard. With regard to claim 56, wherein the turbocharger, supercharger or spark retard is adjusted to prevent knock.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HYDER ALI whose telephone number is (571) 272-4836. The examiner can normally be reached on M-F (8:30-5:00). The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

par Ali

rimary Examiner

Substitute 1	or form 1449A	/РТО		Comp	olete if Known
				Application Number	10/991,774
INFO			OSUDE	Filing Date	November 18, 2004
STA	TEMENT	BY APF		First Named Inventor	Daniel R. Cohn, et al.
				Art Unit	1714
	(Use as many s	heets as nece	ssary)	Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	0492611-0598

	U.S. PATENT DOCUMENTS							
Examiner	Cite No.'	Document Number	Publication Date	Name of Patentee or Applicant of Cited	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Initials*		Number-Kind Code ² (f	MM-DD-YYYY	Document	Figures Appear			
HA		US-6,508,233	1/21/2003	Blake R. Suhre, et al.				
HA		US-6,076,487	6/20/2000	Joseph W. Wulff, et al.				
HA		US-6,575,147	6/10/2003	Joseph W. Wulff, et al.				
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		F	OREIGN PATEN	IT DOCUMENTS			
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	No.1	Country Code ³ Number ⁴⁻ Kind Code ³ (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appeal	Τ°	
Examiner				Date	111-1-1		

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*EXAMINE	R: Initial if reference consid	lered, whether or not citation is in confo	mance with MPEP 609	. Draw line th	rough citation if i	not in
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conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the twoletter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is arcticle. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Substitu	te for form 1449B/P	то		Complete if Known			
				Application Number	10/991,774		
		יוח ו		Filing Date	November 18, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Daniel R. Cohn, et al.		
(Use as many sheets as necessary)				Art Unit	1714		
			necessary)	Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	0492611-0598		

		NON-PATENT LITERATURE DOCUMENTS	••••
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
HA	А	A. MODAK and L.S. CARETTO, Engine Cooling by Direct Injection of Cooling Water, Society of Automotive Engineers, Inc. 700887	-8-
HA	В	JULIAN A. LoRUSSO and HARRY A. CIKANEK, Direct Injection Ignition Assisted Alcohol Engine, Society of Automotive Engineers, Inc. 880495, International Congress and Exposition in Detroit Michigan (February 29-March 4, 1998)	⊕
HA	C	BÖRJE GRANDIN, HANS-ERIK ÅNGSTRÖM, PER STÅLHAMMAR and ERIC OLOFSSON, Knock Suppression in a Turbocharged SI Engine by Using Cooled EGR, Society of Automotive Engineers, Inc. 982476, International Fall Fuels and Lubricants Meeting and Exposition in San Francisco, California (October 19-22, 1998)	₽
HA	D	BÖRJE GRANDIN and HANS-ERIK ÁNGSTRÖM, Replacing Fuel Enrichment in a Turbo Charged SI Engine: Lean Burn or Cooled EGR, Society of Automotive Engineers, Inc. 199-01-3505	₽
HA	E	C. STAN, R. TROEGER, S. GUENTHER, A. STANCIU, L. MARTORANO, C. TARANTINO and R. LENSI, Internal Mixture Formation and Combustion – from Gasoline to Ethanol, Society of Automotive Engineers, Inc. 2001-01-1207	₽

Examiner Signature Hyder AL. Date Considered 4/17/06

•EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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FORD Ex. 1021, page 77 IPR2019-01400

Notice of Poferences Cited	Application/Control No. 10/991,774	Applicant(s)/Patent Under Reexamination COHN ET AL.		
Notice of Neterences Offen	Examiner	Art Unit		
	HYDER ALI	3747	Page 1 of 1	

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-4,480,616	11-1984	Takeda, Keiso	123/406.52
*	в	US-3,106,194	10-1963	CANTWELL JR EDWARD N; et. al.	123/1A
*	С	US-4,721,081	01-1988	Krauja et al.	123/298
	D	US-			
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FOREIGN PATENT DOCUMENTS

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	NON-PATENT DOCUMENTS								
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20060414

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SERIAL NUMBER 10/991,774	FILING DATE 11/18/2004 RULE	(CLASS 123	GR	OUP ART U 3747	INIT	ATTOF 049	REY DOCKET NO. 92611-0598
APPLICANTS Daniel R. Cohn, Chestnut Hill, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newton, MA; ** CONTINUING DATA **********************************								
Foreign Priority claimed 35 USC 119 (a-d) conditions met H Verified and Acknowledged Exe	yes no yes no Met after A aminer's Signature	llowance	STATE OR COUNTRY MA	Sł DR	HEETS AWING	то С⊔	ITAL AIMS 56	INDEPENDENT CLAIMS
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TITLE Fuel management system for	or variable ethanol octane en	hancehmer	nt of gasoline eng	ines				
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Class	Subclass	Date	Examiner			
123	1A	4/17/2006	НА			
123	198A	4/17/2006	НА			
123	525	4/17/2006	НА			
123	25A	4/17/2006	НА			
123	25J	4/17/2006	HA			

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SEARCH NOTES (INCLUDING SEARCH STRATEGY)				
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Part of Paper No. 20060414



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Cohn, et al.	:		
Serial No.:	10/991,774	:	Examiner:	Ali, Hyder
Filed:	November 18, 2004	:	Art Unit:	3747
For:	FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES	:	Atty. Docket:	0492611-0598

CERTIFICATE OF MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 6, 2006.

Name: Marilvn

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed April 25, 2006, please amend the application as follows:

Amendments to the Claims are reflected in the listing of claims which begin on page 2 of this paper.

Remarks begin on page 7 of this paper.

It is not believed that extensions of time or fees for net addition of claims are required,

beyond those which may otherwise be provided for in documents accompanying this paper.

However, in the event that additional extensions of time are necessary, then such extensions of

time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required for consideration of

this paper (including fees for net addition of claims) are authorized to be charged in the

Amendment Transmittal Letter filed herewith.

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AMENDMENTS TO THE CLAIMS:

This listing of claims will replace all prior versions and listing of claims in the abovereferenced application.

Listing of Claims:

1. (currently amended) Fuel management system for operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of an <u>a liquid fuel</u> anti-knock agent;

an injector for direct injection of the <u>liquid fuel</u> anti-knock agent into a cylinder of the engine <u>for vaporization in the cylinder to provide</u> charge cooling; and

a fuel management control system <u>including a microprocessor</u> for controlling injection of the <u>liquid fuel</u> anti-knock agent into the cylinder to control knock <u>wherein the fuel management</u> <u>control system microprocessor substantially minimizes the amount of anti-knock agent used over</u> <u>a drive cycle</u>.

2. (previously presented) The system of claim 1 wherein the injectors deposit the anti-knock agent to provide non-uniform deposition within a cylinder.

3. (original) The system of claim 2 wherein the anti-knock agent is deposited near the walls of the cylinder.

4. (previously presented) The system of claim 2 wherein the non-uniform deposition is obtained through direct injection and charge swirl.

5. (original) The system of claim 1 wherein the anti-knock agent is selected from the group consisting of ethanol, methanol, tertiary butyl alcohol, MTBE, ETBE and TAME.

6. (previously presented) The system of claim 1 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent.

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FORD Ex. 1021, page 83 IPR2019-01400 7. (original) The system of claim 1 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion.

8. (original) The system of claim 1 wherein the anti-knock agent is ethanol.

9. (original) The system of claim 8 wherein the ethanol is mixed with water.

10. (original) The system of claim 8 wherein the ethanol is mixed with a lubricant.

11. (original) The system of claim 1 wherein the engine has substantial organized motion such as swirl.

12. (original) The system of claim 1 wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low.

13. (original) The system of claim 1 wherein the anti-knock agent is added only during portions of a drive cycle requiring knock resistance.

14. (original) The system of claim 1 wherein gasoline is port injected into the engine.

15. (original) The system of claim 1 wherein the gasoline is directly injected into the cylinder.

16. (original) The system of claim 8 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of fuel energy provided by the ethanol.

17. (canceled)

18. (original) The system of claim 8 wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

19. (original) The system of claim 1 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available.

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FORD Ex. 1021, page 84 IPR2019-01400 20. (original) The system of claim 8 wherein ethanol is injected proximate to a cylinder wall and swirl creates a ring of ethanol.

21-23. (cancelled)

24. (previously presented) The system of claim 8 wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

25. (previously presented) The system of claim 8 wherein the ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

26. (previously presented) The system of claim 8 wherein the ethanol is separated from a gasoline/ethanol mixture.

27. (previously presented) The system of claim 8 wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol.

28. (previously presented) The system of claim 8 wherein horsepower of a given size engine can be at least doubled by using ethanol octane enhancement.

29. (previously presented) The system of claim 8 wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation.

30. (currently amended) Fuel management system for operation of a spark ignition gasoline engine comprising:

a gasoline engine;

a source of <u>liquid</u> ethanol;

an injector for direct injection of the <u>liquid</u> ethanol into a cylinder of the engine <u>for</u> <u>vaporization in the cylinder to provide charge cooling</u>; and

a fuel management control system for controlling injection of the <u>liquid</u> ethanol into the cylinder when engine torque is above a selected fraction of maximum torque to control knock.

31. (previously presented) The system of claim 30 wherein torque levels at which the ethanol is directly injected are those where knock would occur absent the ethanol injection.

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FORD Ex. 1021, page 85 IPR2019-01400 32. (previously presented) The system of claim 30 wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

33. (previously presented) The system of claim 30 wherein gasoline is port fuel injected.

34. (previously presented) The system of claim 30 wherein up to and including substantially 100% of the fuel can be provided by the ethanol.

35. (previously presented) The system of claim 30 wherein octane number is enhanced with increasing torque.

36. (previously presented) The system of claim 30 wherein an octane enhancement of more than 20 octane numbers is achieved.

37. (previously presented) The system of claim 30 wherein the fuel management system includes a microprocessor that operates in an open loop fashion on a predetermined correlation between the required octane number enhancement and fraction of fuel provided by the ethanol.

38. (previously presented) The system of claim 30 wherein the gasoline engine includes a knock sensor providing a feedback signal to a fuel management microprocessor to minimize the amount of the ethanol added to prevent knock in a closed loop fashion.

39. (previously presented) The system of claim 30 wherein the injector provides nonuniform deposition of the ethanol within a cylinder.

40. (previously presented) The system of claim 39 wherein the ethanol is deposited near the walls of the cylinder.

41. (previously presented) The system of claim 39 wherein the non-uniform deposition is obtained through direct injection and charge swirl.

42. (previously presented) The system of claim 30 wherein the ethanol is mixed with water.

43. (previously presented) The system of claim 30 wherein the ethanol is mixed with a lubricant.

44. (previously presented) The system of claim 30 wherein the engine has substantial organized motion such as swirl.

45. (previously presented) The system of claim 30 wherein the system includes a measure of the amount of ethanol available to control turbocharging, supercharging or spark retard when the amount of ethanol is low.

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46. (previously presented) The system of claim 30 wherein the gasoline is directly injected into the cylinder.

47. (previously presented) The system of claim 30 wherein the direct injection of ethanol provides substantially a 13°C drop in temperature for every 10% of the fuel energy provided by the ethanol.

48. (previously presented) The system of claim 30 wherein the fuel management system substantially minimizes the amount of ethanol used over a drive cycle.

49. (previously presented) The system of claim 30 wherein an octane enhancement of at least four octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol.

50. (previously presented) The system of claim 30 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when ethanol is not available.

51. (previously presented) The system of claim 30 wherein the engine is operated with substantially a stoichiometric fuel/air ratio.

52. (previously presented) The system of claim 30 wherein the ethanol is separated from a gasoline/ethanol mixture.

53. (previously presented) The system of claim 30 wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when ethanol is directly injected into the cylinder.

54. (previously presented) The system of claim 53 wherein the horsepower is reduced by at least a factor of two.

55. (previously presented) The system of claim 30 wherein the fuel management microprocessor control system uses ethanol level in the ethanol tank as an input to control a turbocharger, supercharger or spark retard.

56. (previously presented) The system of claim 55 wherein the turbocharger, supercharger or spark retard is adjusted to prevent knock.

REMARKS

Re-examination and reconsideration of the rejections are hereby requested.

First of all, the inventors, Daniel Cohn, Leslie Bromberg, and John Heywood, and the undersigned attorney wish to thank Examiner Ali for according them a telephone interview of sufficient length to discuss fully the issues in this prosecution. At the beginning of the interview, Dr. Cohn briefly described the present technology. Dr. Cohn explained that the knock limit in a gasoline engine can be greatly extended by the direct injection of an appropriate liquid fuel antiknock agent such as ethanol into a cylinder of the engine. The liquid fuel anti-knock agent vaporizes in the cylinder providing a substantial charge cooling effect. The cooling effect along with a higher octane number of an anti-knock agent such as ethanol extends the knock limit so that more aggressive turbo charging can be used and/or the engine can operate at a higher compression ratio without knock. In this way, substantial fuel can be saved because smaller engines can be used. Dr. Cohn explained that the change of state of the liquid fuel anti-knock agent from liquid to gas provides the predominant effect for extending the knock limit. Dr. Cohn also pointed out that in order to achieve commercial attractiveness it is important to obtain a large knock suppression effect in order to justify the inconvenience of using two tanks and two fuels. He further explained that for the same reason it was important to minimize the amount of the liquid fuel anti-knock agent, such as ethanol, that is used over the drive cycle.

At this point in the interview the rejections and references were discussed. The applicants proposed amending claim 1 to recite a liquid fuel anti-knock agent for vaporization in the cylinder to emphasize the importance of direct injection of a liquid fuel anti-knock agent. As to U.S. Patent No. 4,480,616 to Takeda, applicants pointed out that this patent teaches introducing liquid alcohol into the intake manifold of an engine. Professor Heywood explained that the alcohol would vaporize before entering the combustion chamber so could not provide the evaporative cooling as set forth in the claims as amended herein. Thus, Takeda teaches neither direct injection nor the introduction of a liquid fuel into the combustion chamber.

FORD Ex. 1021, page 88 IPR2019-01400 U.S. Patent No. 3,106,194 to Cantwell was discussed next. It was pointed out to the Examiner that alkali metal compounds are vaporized and then introduced into the engine. These alkali metal compounds are not a fuel and are not introduced in the liquid state. The Examiner pointed to Cantwell at column 1 at line 32 suggesting that water is "an auxiliary fuel." Professor Heywood explained that water cannot be considered a fuel notwithstanding Cantwell's characterization. In any event, Cantwell teaches nothing beyond introducing a vaporized material into the combustion chamber rather than a liquid that would not provide the change-of-state cooling effect. Next, the applicant discussed the Krauja et al. reference, U.S. Patent No. 4,721,081. This patent teaches a modified compression ignition engine for use either with 100% ethanol or with gasoline. This reference does not teach the introduction of any anti-knock agent, but rather is designed to operate on 100% ethanol.

The Examiner maintained his position that the references in combination meet the limitations in claim 1. The applicant disagreed suggesting that the examiner was making an impermissible hindsight reconstruction based on the teachings in the present application. No agreement was reached.

The applicant then addressed many of the dependent claims pointing out that the Examiner had no basis for the rejections. At this point, the Examiner indicated that he should have made a restriction requirement when he issued the office action because of a large number of embodiments. The Examiner stated that he would likely give a restriction requirement in the next office action. The undersigned attorney urged the Examiner not to issue a restriction requirement at this time suggesting that the attendant substantial delays could have a serious adverse effect upon the applicant. The undersigned attorney suggested that it would be unfair to penalize the applicant by a post office action reversal in the Examiner's decision as to which claims he would consider. Applicants urged that if the independent claims were not allowable, that the Examiner should consider allowing the dependent claims that are clearly not met by the prior art.

During the interview, the examiner cited two new references, U.S. Patent No. 3,089,470 to Payne, and U.S. Patent No. 4,182,278 to Coakwell. The undersigned attorney has now had an

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opportunity to review these references carefully and it is quite clear that the Payne reference does not suggest introducing a liquid fuel into an engine. The Examiner's attention is directed to column 3 beginning at line 15 wherein Payne states that the liquid auto-ignition suppressant "is preferably water" but that it is "to be clearly understood that any other liquid preparation suitable to suppress auto-ignition" is contemplated. The Examiner asserted that this section suggests injecting a liquid fuel. Applicants respectfully disagree. The Examiner has not shown that "any other liquid preparation to suppress auto-ignition" includes any liquid fuel.

As to the Coakwell patent, this reference teaches the addition of hydrogen peroxide to provide additional oxygen. The Examiner's attention is directed to Coakwell at column 9 beginning at line 7 where it is stated that the additional oxygen from the hydrogen peroxide "makes it possible to achieve combustion with leaner mixtures, to save fuel and to reduce air pollution by achieving more complete combustion." Thus, it is quite clear that the hydrogen peroxide is being introduced to provide free oxygen. Hydrogen peroxide is not itself a fuel.

Although Applicants and the Examiner continue to disagree about the patentability of the independent claims, claim 1 has been amended herein to incorporate the limitation of originally filed claim 17 and claim 17 has been cancelled. Thus claim 1 now includes the limitation "wherein the fuel management control system microprocessor substantially minimizes the amount of anti-knock agent used over a drive cycle." This amendment is being introduced in an effort to move prosecution forward. The specification speaks to the importance of minimizing the amount of anti-knock agent used over a drive cycle. For example, the specification beginning on the last line of page 2 states "An object of the present invention is to minimize the amount of ethanol or other anti-knock agent that is used to achieve a given level of engine efficiency increase. By restricting the use of ethanol to the relatively small fraction of time in an operating cycle when it is needed to prevent knock in a high load regime and by minimizing its use at these times, the amount of ethanol that is required can be limited to a relatively small fraction of the fuel used by the spark ignition gasoline engine." Moreover, page 3, beginning on line 23 of the specification states "Alternatively, the gasoline engine may include a knock sensor that provides a feedback signal to the fuel management microprocessor system to minimize the amount of ethanol added to prevent knock in a close loop fashion."

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FORD Ex. 1021, page 90 IPR2019-01400

Claim 1 as amended herein (with the limitation of originally filed claim 17) has been examined and the Examiner rejected claim 17 as being unpatentable over Takeda in view of Cantwell. The Examiner states on page 5 of the Office Action "With regard to claim 17, Takeda discloses wherein the fuel management systems substantially minimizes the amount of antiknock agent used over a drive cycle." The undersigned attorney and the inventors herein have reviewed Takeda carefully and can find no teaching whatsoever that the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle. The Applicant remains puzzled at this assertion by the Examiner since Takeda is totally silent in this regard. Further, the undersigned attorney has reviewed all of the references of record including Payne and Coakwell and can find no teaching of a fuel management system that substantially minimizes the amount of anti-knock agent used over a drive cycle. It is urged that claim 1, as amended herein, is clearly in condition for allowance and reconsideration is requested. Claims 2-16, 18-20 and 24-29 ultimately depend from amended claim 1 and are therefore also allowable.

Independent claim 30 has been amended herein to recite a source of liquid ethanol for vaporization in the cylinder to provide charge cooling and to control knock. Claim 30 as originally filed included the limitation of a fuel management control system for controlling injection of the ethanol "when engine torque is above a selected fraction of maximum torque." During the interview, Applicant pointed out that this limitation is not present in the prior art. In the Office Action, the Examiner asserts that this limitation is disclosed by Takeda. Again, the undersigned attorney and the inventors have reviewed Takeda carefully and can find no teaching that injection is controlled "when engine torque is above a selected fraction of maximum torque." Such a teaching, in fact, is totally lacking in Takeda. It is urged that the Examiner review Takeda again and remove this rejection or describe with specificity where and how Takeda provides such a teaching.

During the interview, many of the dependent claims were discussed. For example, claim 4 states that non-uniform deposition is obtained through direct injection and charge swirl. The Examiner states, without support, that Cantwell meets this limitation. In fact, a careful review of Cantwell reveals no teaching whatsoever concerning charge swirl. The Examiner is asked to

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remove the rejection of claim 4 or to explain with specificity where and how Cantwell discloses charge swirl.

Dependent claim 6 includes the limitation "wherein the fuel management system includes a microprocessor that operates in an open-loop fashion on a predetermined correlation between required octane number enhancement and fraction of fuel provided by the anti-knock agent." The Examiner asserts, again without support, that Takeda discloses such a limitation. The Examiner is asked to remove the rejection of claim 6 or provide, with specificity, those portions of Takeda that support the Examiner's position.

Claim 7 requires that the gasoline engine include a knock sensor to provide a feedback signal to minimize the amount of anti-knock agent added to prevent knock in a closed-loop fashion. The Examiner rejects this claim as "optional design choice". Reconsideration is requested. Claim 10 recites that the ethanol is mixed with a lubricant. As to this important limitation the Examiner again asserts that it is just an optional design choice. A careful review of the references of record shows no teaching or suggestion of adding a lubricant to the ethanol. Reconsideration is requested. Claim 11 adds the limitation "wherein the engine has substantial organized motion such as swirl." The Examiner asserts, without specifics, that "Takeda discloses engine has substantial organized swirl motion" and a careful review of Takeda shows that it is lacking in any such teaching. The Examiner is asked to remove this rejection of claim 11 or explain with specificity how and where Takeda discloses the organized swirl motion limitation.

Claim 12 includes the limitation "wherein the system includes a measure of the amount of anti-knock agent in the source to control turbocharging, supercharging or spark retard when the amount of anti-knock agent is low." The Examiner asserts that Takeda discloses this limitation. A careful review of Takeda indicates no teaching of such limitation. The Examiner is asked to point out where in Takeda this limitation is taught or suggested.

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Claim 19 states that the turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available. The Examiner appears to conclude that Takeda includes this limitation. It is submitted that such limitation is not disclosed in Takeda. Claim 20 states that the ethanol is injected so that swirl creates a ring of ethanol. The Examiner, without support, states that Takeda discloses that swirl creates a ring of alcohol. Takeda provides no such teaching. Reconsideration is requested.

As another example of an unfounded rejection, Claim 26 states that the ethanol is separated from a gasoline/ethanol mixture. The Examiner, without support, states that Takeda discloses this limitation. It is submitted that Takeda clearly does not teach or suggest this limitation. With regard to claim 27, the Examiner asserts that Takeda teaches that the torque at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol. It is submitted that such a teaching is lacking in Takeda. The Examiner also, without support, contends that Takeda teaches that horsepower of a given size engine can be at least doubled by using alcohol octane enhancement. It is submitted that Takeda provides no such teaching. The Examiner also states that the limitation in claim 29 concerning the gasoline consumption being reduced by at least 20% is also taught in Takeda. There is no such teaching in Takeda.

The Examiner has rejected the dependent claims depending from claim 30 with similarly sweeping, and unsupported, assertions about the prior art. The Examiner is asked either to remove the rejections of these dependent claims or provide a detailed set of specifics as to how the references meet the limitations in the claims depending claim 30.

In summary, in order to advance prosecution, the limitation of claim 17 has been introduced into claim 1. Claim 30 has been amended to provide more specificity. For the reasons discussed in detail above, it is submitted that the pending claims, as amended herein, are in condition for allowance. Early favorable action is requested.

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Respectfully submitted, CHOATE, HALL & STEWART LLP

Sam Pasternack Registration No. 29,576

Date: July 6, 2006

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Patent Department CHOATE, HALL & STEWART Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000

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ATTORNEY DOCKET NO.: 0492611-0598 (MIT 11381)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cohn, et al. Serial No.: 10,991,774 Examiner: Ali, Hyder Art Unit: 3747

Filing Date: November 18, 2004

Title: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Supplemental Information Disclosure Statement

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant

requests consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- [] An original Information Disclosure Statement; or
- [X] A supplemental Information Disclosure Statement.

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FORD Ex. 1021, page 95 IPR2019-01400

Page 1 of 6

Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
 - [] Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 - [] Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
 - [] Before the mailing of a first Office action on the merits; or
 - [] Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *either*:
 - [] Certifies that *either*:
 - [] each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - [] That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making Page 2 of 6

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reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § **1.56(c)** more than three months prior to the filing of the information disclosure statement.; or

Includes herewith the fee set forth in § 1.17(p).

- Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *both*:
 - [] Certifies that *either*:
 - [] each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - [] That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.; and
 - [] Includes herewith the fee set forth in § 1.17(p).

Page 3 of 6

4099735.1

FORD Ex. 1021, page 97 IPR2019-01400

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

- [:] A copy of each cited reference not indicated with an asterisk is included;
- [] Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(d) because they were previously provided to the United States Patent Office in an Information Disclosure Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the following patent application that is relied upon in the present case for an earlier effective filing date under 35 USC § 120:

Serial Number	Filing Date	Status

[] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the above-

identified application:

Applicant certifies that the Information Disclosure Statement either:

- [X] Does not contain non-English language citations;
- [] Does contain non-English language citations, of which the following is a concise explanation:
- [] Includes one or more translations of a non-English citation.

Page 4 of 6

4099735.1

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<u>Remarks</u>

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and

3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

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Page 5 of 6

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Respectfully submitted,

att do

Sam Pasternack Registration No.: 29,576

CHOATE, HALL & STEWART 2 International Place Boston, Massachusetts 02110 (617) 248-5000 (617) 248-4000

Dated: July 6, 2006

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Page 6 of 6

FORD Ex. 1021, page 100 IPR2019-01400

4099735.1

Substitute for form 1499A/PTO		Comp	olete if Known		
JUL 1 0 2006 INFORMATION DISCLOSURE STATEMENT BY APPETCANT (Use as many sheets as necessary)		Application Number	10/991,774		
		Filing Date	November 18, 2004		
		First Named Inventor	Daniel R. Cohn, et al.		
		Art Unit	1714		
		Examiner Name	Ali, Hyder		
Sheet	1	of		Attorney Docket Number	0492611-0598

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US 6,990,956 B2	01/31/2006	Niimi		

	FOREIGN PATENT DOCUMENTS					
Examiner Cite Initials* No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
	No.1	Country Code ³⁻ Number ⁴⁻ Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appeal	T°
Evaminer				Data		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



ATTORNEY'S DOCKET NUMBER: 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Cohn, et al.	Examiner:	Ali, Hyder
Serial No.:	10/991,774	Art Unit:	3747
Filing Date:	November 18, 2004	Conf. No:	8282
Title:	FUEL MANAGEMENT SYST	EM FOR VAR	ABLE ETHANOL
	OCTANE ENHANCEMENT O	F GASOLINE	ENGINES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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July 6, 2006 Andre Durnen
Date Signature
Marilyn Murphy
Typed or Printed Name of person signing certificate

Sir:

TRANSMITTAL LETTER

Enclosed <u>for filing</u> in the above-referenced patent application, please find the following documents:

- 1) Amendment and Response to Office Action Mailed on April 25, 2006 (13 pages);
- 2) Form 1449a(PTO) (1 page);
- 3) Supplemental Information Disclosure Statement; (6 Pages)
- 4) Credit Card Form for Payment in the amount of \$180.00 (1 page);
- 5) Return-Receipt postcard (1 page).

Please charge any additional fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully submitted, CHOATE, HALL & STEWART LLP

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Sam Pasternack Registration No. 29,576

Date: July 6, 2006 Patent Department CHOATE, HALL & STEWART Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000 U.S.S.N: 10/991,774

Page 1 of 1

Attorney Docket No.: 0492611-0598

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Г									Application or Docket Number				
	PATENT APPLICATION FEE DETERMINATION RECORD Effective October 1, 2004 (0991779												
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T	OTAL CLAIMS	5	23				RATE		FEE]	RATE	FEE	
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FORM PTO-475 (Raw, 1004) Pat

Patent and Trademark Office, U.S. DEPARTMENT OF CONSIGRCE

	· · · · ·		UNITED STATES DEPARTMENT OF COMMER United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov			
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION N		
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598	8282		
24280 75	90 09/27/2006		EXAM	INER		
CHOATE, HA	LL & STEWART LLI		ALI, H	YDER		
BOSTON, MA	02110		ART UNIT	PAPER NUMBER		
,			2747			

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

· · · · · · · · · · · · · · · · · · ·		Application No	Applicant(s)	—— <u>(</u>					
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Office Action Summer	v	10/991,774	COHN ET AL.						
	y	Examiner	Art Unit						
		HYDER ALI	3747						
The MAILING DATE of this com Period for Reply	munication appe	ears on the cover sheet w	ith the correspondence addres	\$S					
 A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned nater them adjustment. See 37 CFR 1.704(b) 									
Status									
1) X Responsive to communication(s	a) filed on 10 Jul	lv 2006							
2a) This action is FINAL	2h)∏ This :	<u>y 2000</u> . action is non-final							
3) Since this application is in cond	ition for allowand	ce except for formal mat	ters, prosecution as to the me	erits is					
closed in accordance with the p	ractice under E	k parte Quavle. 1935 C.I), 11, 453 O.G. 213.						
Disposition of Claims									
(4) Claim(s) $\frac{1-10, 18-20}{100}$ and $\frac{24-56}{24-56}$	is/are pending in	n the application.							
4a) Of the above claim(s)	Is/are withoraw	in from consideration.							
5) Claim(s) is/are allowed.	is/aro roidistad								
$7) \square Claim(s) \qquad is/are objected t$									
8) Claim(s) are subject to re	o. estriction and/or	election requirement							
	Striction and/or	cicculori requirement.							
Application Papers									
9) The specification is objected to t	by the Examiner								
10)⊠ The drawing(s) filed on <u>18 Nove</u>	<u>mber 2004</u> is/ar	e: a)⊠ accepted or b)[] objected to by the Examine	r.					
Applicant may not request that any	objection to the d	rawing(s) be held in abeya	nce. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) incl	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.									
Priority under 35 U.S.C. § 119			· .						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).									
a) All b) Some * c) None of:									
1. Certified copies of the priority documents have been received.									
2. Certified copies of the priv	2. Certified copies of the priority documents have been received in Application No								
3. Copies of the certified copies of the priority documents have been received in this National Stage									
application from the International Bureau (PCT Rule 17.2(a)).									
* See the attached detailed Office action for a list of the certified copies not received.									
Attachment(s)									
1) Notice of References Cited (PTO-892)	(BBB = 1)	4) 🗌 Interview	Summary (PTO-413)						
2) Notice of Draftsperson's Patent Drawing Revi	ew (PTO-948) 2/08)	5) Notice of	symall Date						
Paper No(s)/Mail Date 7/10/06.		6) 🗌 Other:							
J.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)	Office Act	ion Summary	Part of Paper No./Mail Date 2	20060914					

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Office Action Summary

Part of Paper No./Mail Date 20060914

DETAILED ACTION

Inventorship

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim Objections

The subject matter of independent claim 30 such as "engine torque is above a selected fraction of maximum torque" must be shown or cancelled.

The subject matter of claim 2, such as "the injectors deposit the anti-knock agent to provide non-uniform deposition within a cylinder" must be shown or cancelled.

The subject matter of claim 3, such as "the anti-knock agent is deposited near the walls of the cylinder" must be shown or cancelled.

The subject matter of claim 9, such as "ethanol is mixed with water" must be shown or cancelled.

The subject matter of claim 10, such as "ethanol is mixed with a lubricant" must be shown or cancelled.

The subject matter of claim 11, such as "the engine has substantial organized motion

such as swirl" should read "the engine has substantial organized motion".

The subject matter of claim 15, such as "the gasoline is directly injected into the

cylinder" must be shown or cancelled.

The subject matter of dependent claims 12,19,45,50,55,56 such as "turbocharging and

/or supercharging" is not clear because independent claims 1 and 30 are not a

turbocharged and/or supercharged engine.

The subject matter of claim 20, such as "swirl creates a ring of ethanol" must be shown or cancelled.

The subject matter of claim 53,54, such as "torque and/or horsepower" must be shown or cancelled.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-3,5,7,8,12-16,18,19,24-36,38-40,45-56 are rejected under 35 U.S.C.
 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383).

Watanabe et al discloses fuel management system for operation of a spark ignition gasoline engine comprising: a gasoline engine; a source of a liquid fuel anti-knock agent

9; an injector 2 for direct injection of the liquid fuel anti-knock agent into a cylinder of the engine for vaporization in the cylinder to provide charge cooling; and a fuel management control system 30 including a microprocessor for controlling injection of the liquid fuel anti-knock agent into the cylinder; wherein the fuel management control system microprocessor substantially minimizes (See Fig. 4 for the control of the duty ratio D of the control valve 15when D=D0 which is maximum 100% alcohol; when D=D1 which is smaller than 100% alcohol; when D=D2 which is also smaller than 100% alcohol. See Fig. 5 for a routine for calculating the duty ratio D of the control valve 15. This routine is executed every predetermined time by interruption. Col. 5, lines 45-66 and col. 6, lines 1-27) the amount of anti-knock agent used over a drive cycle.

Assuming it is not inherent in the **Watanabe et al** patent that injecting anti-knock agent into the engine is for controlling engine knock during heavy load and/or during low rpm and/or when engine torque is above a selected fraction of maximum torque to control knock.

Also assuming control of the duty ratio D in the **Watanabe et al** patent is not for minimizing anti-knock agent.

Jessel discloses operating engines by injecting small, but effective, quantities of antiknock agent into the engine in response both to detected knock and engine load conditions. When knock is detected, such small quantities of anti-knock agent are injected at rates and for time periods dependent upon the engine load condition, as measured by a quantity representative of mass airflow to the engine. **See col. 1**, **lines**
12-18. **Jessel** discloses a system for modulating or regulating the amount of anti-knock additive in relation to actual engine load condition causing engine knock. **See col. 2**, **lines 27-30**. **Jessel** discloses alcohol injector 48; controller 32; manifold pressure sensor 37; knock detector 30. Jessel also discloses a fuel management control system for controlling injection of the liquid ethanol into the cylinder when engine torque is above a selected fraction of maximum torque to control knock.

It would have been obvious to a person having an ordinary skill in the art to modify

Watanabe et al by employing operating engines by injecting small, but effective, quantities of anti-knock agent into the engine in response both to detected knock and engine load conditions as taught by Jessel. Motivation to do so would have been to minimize anti-knock agent during the drive cycle while preventing engine knocking. With regard to claim 2, Watanabe et al discloses the injectors will deposit the anti-knock agent to provide non-uniform deposition within cylinders.

With regard to claim 3, Watanabe et al discloses the anti-knock agent is deposited near the walls of the cylinders.

With regard to claim 5, Watanabe et al discloses anti-knock agent is alcohol. With regard to claim 7, Jessel discloses wherein the gasoline engine includes a knock sensor 30 providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion.

With regard to claim 8, Watanabe et al discloses anti-knock agent is alcohol such as ethanol.

With regard to claims 12,19,45,50,55,56 wherein turbocharging or supercharging are reduced or eliminated and/or spark retard is increased when the anti-knock agent is not available (inherently and necessary present in Watanabe et al patent and/or Jessel patent and/or obvious matter of design choice and/or turbocharging or supercharging should be cancelled because independents claims 1,30 are not turbocharged and/or supercharged engine).

With regard to claim 13, Jessel discloses the high octane fuel is added only during portions of a drive cycle requiring knock resistance.

With regard to claim 14, Jessel discloses wherein gasoline is port injected into the engine.

With regard to claim 15, Watanabe et al discloses wherein the gasoline is directly injected into the cylinder.

With regard to claims 16 and 47, wherein the direct injection of ethanol provides substantially a 13 degrees Celsius drop in temperature for every 10% of fuel energy provided by the ethanol (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 48, Jessel discloses wherein the fuel management system substantially minimizes the amount of anti-knock agent used over a drive cycle. With regard to claims 18 and 49, wherein an octane enhancement of at least 4 octane numbers is obtained when 20% of the fuel energy in a cylinder comes from ethanol (optional design choice if it is not inherently and necessary present in Jessel patent and/or Watanabe et al patent).

With regard to claims 24,51, Watanabe et al and Jessel both discloses wherein the engine is operated with substantially a stoichiometric air/fuel ratio.

With regard to claim 25, Jessel discloses wherein the alcohol such as ethanol is added only during portions of the drive cycle requiring knock resistance and its use is minimized during those times.

With regard to claims 26,52, Watanabe et al discloses wherein the ethanol is separated from a gasoline/alcohol (ethanol) mixture.

With regard to claim 27, wherein torque of the engine at which knock occurs can be increased by at least a factor of two by the direct injection of ethanol (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 28, wherein horsepower of a given size engine can be at least doubled by using alcohol (ethanol) octane enhancement (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 29, wherein gasoline consumption is reduced by at least 20% due to higher efficiency engine operation (optional design choice if it is not inherently and necessary present in Watanabe et al patent).

With regard to claim 30, **as discussed above**, Jessel discloses injecting ethanol into the engine when engine torque is above a selected fraction of maximum torque to control knock and Watanabe et al discloses direct injection of the ethanol into the cylinder of an engine.

With regard to claim 31, Jessel discloses wherein torque levels at which the ethanol is directly injected are those where knock would occur absent the ethanol injection.

With regard to claim 32, combining the teaching of Watanabe et al and Jessel discloses wherein the fraction of total fuel provided by the directly injected ethanol increases with increasing torque.

With regard to claim 33, Jessel discloses wherein gasoline is port fuel injected. With regard to claim 34, both Watanabe et al and Jessel discloses wherein up to and including substantially 100% of the fuel can be **(intended use and/or functional language)** provided by the ethanol.

With regard to claim 35, both Watanabe et al and Jessel discloses wherein octane number is enhanced with increasing torque.

With regard to claim 36, wherein an octane enhancement of more than 20 octane numbers is achieved (optional design choice if it is not disclose by Watanabe et al patent and/or Jessel patent).

With regard to claim 38, Jessel wherein the gasoline engine includes a knock sensor 30 providing a feedback signal to a fuel management microprocessor to minimize the amount of the anti-knock agent added to prevent knock in a closed loop fashion. With regard to claim 39, Watanabe et al discloses wherein the injectors 2 provide non-uniform deposition of the ethanol within a cylinder.

With regard to claim 40, Watanabe et al discloses wherein the ethanol is deposited near the walls of the cylinder.

With regard to claim 46, Watanabe et al discloses wherein the gasoline is directly injected into the cylinder.

With regard to claim 53, Watanabe et al discloses wherein the engine can be operated with only gasoline and knock can be avoided by reducing the maximum torque and horsepower relative to values when alcohol (ethanol) is directly injected into the cylinder.

With regard to claim 54, both Watanabe et al and Jessel discloses wherein the horsepower is reduced by at least a factor of two.

2. Claims 4,11,20,41,44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383) as applied to claims 1-3,5,7,8,12-16,18,19,24-36,38-40,45-56 above, and further in view of Nakakita et al (US 6,799,551).

Watanabe et al in view of Jessel does not disclose swirl in the combustion chamber. Nakakita et al discloses as shown in FIG. 2 a state of the vertically stratified intake gas charge consisting of the swirl flows of the first and second intake gases 11,12 of different compositions. See col. 5, lines 5-10.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify the invention of Watanabe et al by employing swirl in the combustion chamber as taught by Nakakita et al in order to provide Watanabe et al engine combustion chamber with non-uniform depositon of fuel.

3. Claims 6,37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383) as applied to

claims 1-3,5,7,8,12-16,18,19,24-36,38-40,45-56 above, and further in view of Uhl et al (US 6,892,691).

Watanabe et al in view of Jessel does not disclose control apparatus with a microprocessor which has a program stored in a storage medium, which program is suited to carry out the entire control (open loop) of the engine.

Uhl et al discloses control apparatus 16 with a microprocessor which has a program stored in a storage medium, which program is suited to carry out the entire control (open loop) of the engine 1. See col. 3, lines 31-55.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify the invention of Watanabe et al by employing open loop control as taught by Uhl et al in order to provide Watanabe et al engine control apparatus with a microprocessor which has a program stored in a storage medium, which program is suited to carry out the entire control (open loop) of the engine.

4. Claims 9,10,42,43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Watanabe et al (US 6,513,505) in view of Jessel (US 4,541,383) as applied to claims 1-3,5,7,12-16,18,19,24-36,38-40,45-56 above, and further in view of Fosseen (US 4,958,598).

Watanabe et al in view of Jessel does not disclose ethanol is mixed with water as claimed in claims 9 and/or 42 and/or ethanol is mixed with lubricant as claimed in claims 10 and/or 43.

Fosseen discloses a mixture of water and ethanol, in the ratio to provide approximately

an eighty proof mixture, and a small amount of water-soluble oil, is held in a reservoir or fuel tank.. See col. 2, lines 34-37.

It would have been obvious to one of ordinary skill in the art at the time of the invention was made to further modify the invention of Watanabe et al by employing ethanol is mixed with water and/or oil as taught by Fosseen in order to replace the ethanol of Watanabe et al patent with a mixture of ethanol and water and/or mixture of ethanol, water and oil.

Response to Arguments

Applicant's arguments with respect to claims 1-16,18-20,24-56 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HYDER ALI whose telephone number is (571) 272-4836. The examiner can normally be reached on M-F (8:30-5:00). The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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STEPHEN K. CRONIN SUPERVISORY PATENT EXAMINER

Substitute	for form 1499	AVPTO 4	Com	Complete if Known				
	(Application Number	10/991,774				
INFORMATION DISCLOSURE			Filing Date	November 18, 2004				
			First Named Inventor	Daniel R. Cohn, et al.				
			Art Unit	1714				
(Use as many sheets as necessary)			Examiner Name	Ali, Hyder				
Sheet 1 of		Attorney Docket Number	0492611-0598					

	U.S. PATENT DOCUMENTS											
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear							
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Examiner Signature	/Hyder Ali/	Date Considered	07/21/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Notice of Peterences Cited	Application/Control No. 10/991,774	Applicant(s)/Patent Under Reexamination COHN ET AL.					
Notice of References Cited	Examiner	Art Unit					
	HYDER ALI	3747	Page 1 of 1				
U.S. PATENT DOCUMENTS							

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,513,505	02-2003	Watanabe et al.	123/525
*	В	US-4,541,383	09-1985	Jessel, Alfred J.	. 123/435
*	С	US-6,799,551	10-2004	Nakakita et al.	123/295
*	D	US-6,892,691	05-2005	Uhl et al.	123/198A
*	Е	US-4,958,598	09-1990	Fosseen, Dwayne	123/1A
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U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20060914

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UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Address 2013-1450 WWW.apple.gov										
Bib Data Sheet						_	CONFIRM	MATION NO. 8282		
FILING DATE SERIAL NUMBER 11/18/2004 CLASS GR 10/991,774 123 RULE						GROUP ART UNIT ATTORNEY DOCKET NO. 3747 0492611-0598				
APPLICANTS Daniel R. Cohn, Chestnut Hill, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newton, MA; ** CONTINUING DATA **********************************										
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Search Notes			Appli	Application/Control No.		Applicant(s)/Patent under Reexamination			
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FromSam PasternackDateNovember 30, 2006Phone617-248-5000			Number of Pages 9 (inc Client Number 0492611		9 (inc	cluding fax cover sheet)
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Comments	Applicant:	Cohn, et al.	· · ·	E	Examiner:	Hyder Ali
	Serial No.:	10/991,774			Art Unit:	3747
	Filing Date:	November 18,	, 2004			
	Title:	FUEL MANA OCTANE EN	AGEMENT S' NHANCEMEI	YSTEM	I FOR VA GASOLI	ARIABLE ETHANOL NE ENGINES

Transmitted herewith for filing in the above-referenced application, please find the

following documents:

- 1) Amendment After Final Action (8 pages); and
- 2) This Transmittal (1 page).

Applicants believe no fees are due at this time. However, Please charge any additional fees or credit any overpayments to our Deposit Account No. 03-1721.

Kindly acknowledge receipt of the attached documents by return facsimile transmission.

Thank you for your kind attention to this request.

Respectfully Submitted,

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PAGE 1/9 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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Attorney Docket No: 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cohn, et al.

Serial No.: 10/991,774

Examiner: Hyder Ali Art Unit: 3747

Filing Date: November 18, 2004

Title:FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOLOCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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	Elizabeth Burke
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AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

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FORD Ex. 1021, page 123 IPR2019-01400

Amendment to the Claims

Claims 1-56 cancelled.

Claim 57. (New) A turbocharged, spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of liquid denatured ethanol from the second source;

wherein during part of engine operating time, the engine is powered both by gasoline that is port fuel injected and ethanol that is directly injected; and

wherein during part of the operating time the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in an amount such that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses closed loop control with information from a knock detector to vary the ethanol energy fraction when the instantaneous ethanol fraction is at least 20%; and

wherein the fuel management system minimizes the ethanol use by using information from the knock detector; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air/fuel ratio; and

wherein the fuel management microprocessor uses information about the ethanol level in the second source to control the turbocharger; and

wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

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FORD Ex. 1021, page 124 IPR2019-01400 wherein a vehicle with this spark ignition engine can be operated on port fuel injected gasoline alone without knock.

Claim 58. (New) A turbocharged, spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct fuel injection of liquid denatured ethanol from the second source;

wherein during part of the engine operating time, the engine is powered both by gasoline that is port fuel injected and ethanol that is directly injected; and

wherein during part of the operating time the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in such an amount that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses the combination of open loop control using a look up table and closed loop control using a knock detector to vary the ethanol energy fraction; and

wherein the fuel management system minimizes the ethanol use by using information from the knock detector; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air/fuel ratio; and

wherein the fuel management microprocessor uses information about the level of ethanol in the second source to control the turbocharger; and

and further wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

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wherein a vehicle using this engine can be operated on port fueled gasoline alone without knock; and

further wherein liquid ethanol is directly injected in an amount such that the turbocharged spark ignition engine is operated without knock at a horsepower level which is at least twice the horsepower level without knock as is the case when it is when operated with port fuel injected gasoline alone.

Claim 59. (New) A turbocharged, spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct fuel injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct injection of liquid denatured ethanol from the second source;

wherein during part of the engine operating time, the engine is powered both by gasoline that is port fuel injected and ethanol that is directly injected; and

wherein during part of the operating time the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in an amount such that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses the combination of open loop control using a look up table and closed loop control using a knock detector to vary the ethanol energy fraction; and

wherein the fuel management system minimizes ethanol use by using information from the knock detector; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air/fuel ratio; and

wherein the fuel management system microprocessor uses information about the level of ethanol in the second source to control the turbocharger;

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and further wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

wherein a vehicle using this engine can be operated on port fueled gasoline alone without knock; and

wherein the fuel management microprocessor uses information about the level of the ethanol in the second source to control spark retard; and

where the spark retard is increased when there is no ethanol in the second source; and

further wherein liquid ethanol is directly injected in an amount such that the turbocharged spark ignition engine is operated without knock at a horsepower level which is at least twice the horsepower level without knock than is the case when it is when operated with port fuel injected gasoline alone.

Claim 60. (New) A turbocharged spark ignition engine which uses port fuel injection of gasoline from a first source in addition to direct injection of liquid denatured ethanol from a second source comprising:

a spark ignition engine;

a turbocharger;

means for port fuel injection of gasoline from the first source;

means for direct injection of liquid denatured ethanol from the second source;

wherein during part of the engine operating time, the engine is powered by a fuel that consists of both gasoline that is port fuel injected and ethanol that is directly injected; and

wherein under some operating conditions the instantaneous ethanol energy fraction is at least 20%; and

wherein the ethanol is directly injected in an amount such that the evaporative cooling of the fuel/air charge by the directly injected ethanol combined with the higher octane number of the ethanol enhances the octane number by at least 20 octane numbers; and

a fuel management system including a microprocessor which increases the ethanol energy fraction with increasing torque so that it is sufficient to prevent knock; and

wherein the fuel management system uses a combination of open loop control with a look up table and closed loop control using a knock sensor to control the ethanol energy fraction; and

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wherein the open loop control uses a predetermined correlation between a required octane enhancement and the fraction of the fuel provided by ethanol;

wherein the fuel management system minimizes the ethanol use by using information from the knock sensor; and

wherein the turbocharged direct injection spark ignition engine is operated at a substantially stoichiometric air /fuel ratio;

wherein the fuel management microprocessor uses information about the level of ethanol in the second source to control the turbocharger;

and further wherein the turbocharging is eliminated or reduced when there is no ethanol in the second source; and

wherein a vehicle using this spark ignition engine can be operated on port fueled gasoline alone without knock; and

wherein the fuel management microprocessor uses information about the level of the ethanol in the second source to control spark retard; and

wherein the spark retard is increased when there is no ethanol in the second source; and

wherein the fuel management system includes a measure of the ethanol in the second source to control turbocharging when the amount of ethanol is low; and

wherein the fuel management system includes a measure of the ethanol in the second source to control spark retard when the amount of ethanol is low;

further wherein liquid ethanol is directly injected in an amount such that the turbocharged spark ignition engine operates without knock at a horsepower level which is at least twice the horsepower level without knock which is the case when operated with port fuel injected gasoline alone; and

wherein the engine can be operated on the denatured ethanol alone; and

wherein the ethanol fraction needed to prevent knock is reduced by concentrating the ethanol in regions that make up the end -gas and are prone to auto-ignition;

wherein the ethanol is injected so as to place the ethanol near the walls of the engine cylinder; and

wherein swirl is used to create a ring of ethanol near the walls of the cylinder; and

wherein the ethanol is mixed with a lubricant.

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<u>Remarks</u>

It is requested that the foregoing Amendment be entered and considered.

The undersigned attorney and one of the inventors, Dr. Daniel Cohn, wish to thank examiner Ali for according them a telephone interview of sufficient length to discuss this application and a related application. The undersigned also wishes to thank examiner Cronin for a short telephone interview to address a potential 35 USC §112, 2nd paragraph issue. It is submitted that the foregoing Amendments place this application into condition for allowance.

During the interview with examiner Ali, applicants discussed Claims 57-60 forming this Amendment in relation to the cited prior art. The applicants pointed out that many of the limitations in the newly presented claims distinguish over the prior art. For example, applicants pointed out that the claims now require "means for port fuel injection of gasoline from the first source" and "means for direct fuel injection of liquid denatured alcohol from the second source." The applicants pointed out that none of the prior art references, alone or in combination, teach or suggest the combination of port fuel injection of gasoline along with direct fuel injection of liquid denatured ethanol. Other limitations that distinguish these claims from the prior art were also mentioned.

After examiner Ali asked questions concerning the teachings in the prior art, he indicated that these claims distinguish over the references. At this point, examiner Ali indicated that there could be 35 USC §112, 2nd paragraph issues raised by the claims. Examiner Ali suggested that we discuss any potential 112, 2nd paragraph, issues with his supervisor, Mr. Cronin.

During a telephone interview with Mr. Cronin, Applicant agreed that the preamble was not as clear as it could be. The undersigned suggested changing the beginning of the preamble to recite "a turbocharged spark ignition engine." The undersigned proposed making the first limitation consistent with the preamble by reciting a spark ignition engine. Examiner Cronin stated that those changes would eliminate the 35 USC §112, 2nd paragraph issues.

In response to the telephone interviews, pending Claims 1-56 have been cancelled herein and replaced with new claims 57-60. Based on the telephone interviews with examiners Ali and Cronin, it is submitted that these claims are in condition for allowance and early favorable action is requested.

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FORD Ex. 1021, page 129 IPR2019-01400 .

Respectfully submitted, CHOATE, HALL & STEWART LLP

Sam Pasternack Registration No. 29,576

Patent Department CHOATE, HALL & STEWART, LLP Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000

Dated: November 30, 2006

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Page 8 of 8

PAGE 9/9 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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Application No. Applicant(s) Index of Claims D99 Art Unit Examiner • (Through numeral) Appeal Non-Elected Rejected A N Cancelled Objected F + Restricted Interference = Allowed Claim Date Claim Date Date Claim Original Original Original Final Final Final . 52 189 X .105 5Z -1Þ 119 22 73 ħ 39 46 47 48 ۰, .

Part of Paper No.

FORD Ex. 1021, page 132 IPR2019-01400

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598	8282		
24280 CHOATE, HAI	7590 12/19/200 LL & STEWART LLP	EXAMINER				
TWO INTERN	ATIONAL PLACE		ALI, HYDER			
BOSTON, MA	02110		ART UNIT	PAPER NUMBER		
			3747			
			· · · · · · · · · · · · · · · · · · ·			
	· .		MAIL DATE	DELIVERY MODE		
			12/19/2006	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

	· · · · · · · · · · · · · · · · · · ·	Mł-
	Application No.	Applicant(s)
Advisory Action	10/991,774	COHN ET AL.
Before the Filing of an Appeal Brief	Examiner	Art Unit
	HYDER ALI	3747
The MAILING DATE of this communication appe	ears on the cover sheet with the	correspondence address
THE REPLY FILED 30 November 2006 FAILS TO PLACE THI	S APPLICATION IN CONDITION F	OR ALLOWANCE.
 The reply was filed after a final rejection, but prior to or or this application, applicant must timely file one of the follo places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in complian time periods: The period for reply expires months from the mailin 	n the same day as filing a Notice of wing replies: (1) an amendment, af otice of Appeal (with appeal fee) in ce with 37 CFR 1.114. The reply m g date of the final rejection.	Appeal. To avoid abandonment of fidavit, or other evidence, which compliance with 37 CFR 41.31; or (3) ust be filed within one of the following
b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire Examiner Note: If box 1 is checked, check either box (a) or TWO MONTHS OF THE FINAL REJECTION. See MPEP 7	Advisory Action, or (2) the date set forth later than SIX MONTHS from the mailir (b). ONLY CHECK BOX (b) WHEN TH 06.07(f).	i in the final rejection, whichever is later. In ig date of the final rejection. E FIRST REPLY WAS FILED WITHIN
Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of eo under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office late may reduce any earned patent term adjustment. See 37 CFR 1.704(b NOTICE OF APPEAL	on which the petition under 37 CFR 1. tension and the corresponding amount shortened statutory period for reply orig r than three months after the mailing da).	136(a) and the appropriate extension fee of the fee. The appropriate extension fee jinally set in the final Office action; or (2) as ate of the final rejection, even if timely filed,
 The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any external a Notice of Appeal has been filed, any reply must be filed <u>AMENDMENTS</u> 	bliance with 37 CFR 41.37 must be ension thereof (37 CFR 41.37(e)), to I within the time period set forth in 3	filed within two months of the date of o avoid dismissal of the appeal. Since 37 CFR 41.37(a).
 The proposed amendment(s) filed after a final rejection, They raise new issues that would require further co They raise the issue of new matter (see NOTE below) 	but prior to the date of filing a brief nsideration and/or search (see NC	, will <u>not</u> be entered because TE below);
(c) ☐ They are not deemed to place the application in be appeal; and/or	tter form for appeal by materially re	educing or simplifying the issues for
(d) They present additional claims without canceling a	corresponding number of finally re	jected claims.
NOTE: Claims 57-60 constitute new issue. (See 3	37 CFR 1.116 and 41.33(a)).	
 4. The amendments are not in compliance with 37 CFR 1.1 5. Applicant's reply has overcome the following rejection(s) 	21. See attached Notice of Non-Co	ompliant Amendment (PTOL-324).
 Newly proposed or amended claim(s) would be a non-allowable claim(s). 	llowable if submitted in a separate,	timely filed amendment canceling the
7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows: Claim(s) allowed:	⊠ will not be entered, or b) □ wi vided below or appended.	Il be entered and an explanation of
Claim(s) objected to: Claim(s) rejected: <u>1-16,18-20 and 24-56</u> . Claim(s) rejected: <u>1-60,18-20 and 24-56</u> .		
		•
 8. The affidavit or other evidence filed after a final action, bubecause applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e). 	It before or on the date of filing a N d sufficient reasons why the affidat	otice of Appeal will <u>not</u> be entered <i>i</i> t or other evidence is necessary and
 9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to o showing a good and sufficient reasons why it is necessar 	a Notice of Appeal, but prior to the overcome <u>all</u> rejections under appe y and was not earlier presented. S	e date of filing a brief, will <u>not</u> be al and/or appellant fails to provide a see 37 CFR 41.33(d)(1).
REQUEST FOR RECONSIDERATION/OTHER 11. The request for reconsideration has been considered bu	n of the status of the claims after e	ntry is below or attached. n condition for allowance because:
12. Note the attached Information Disclosure Statement(s).	(PTO/SB/08) Paper No(s)	
13. Other: PTO-413 (Interview Summary).	· · · · · · · · · · · · · · · · · · ·	
Hyse AR.	STEPHE SUPERVISORY	N K. CRONIN PATENT EXAMINER
U.S. Patent and Trademark Office	<u> </u>	
PTOL-303 (Rev. 08-06) Advisory Action Before	the Filing of an Appeal Brief	Part of Paper No. 20061212

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	Application N	0.	Applicant(s)	
Intension Summons	10/991,774		COHN ET AL.	
merview Summary	Examiner		Art Unit	
	HYDER ALI		3747	
All participants (applicant, applicant's representative, PTC) personnel):			
(1) <u>HYDER ALI</u> .	(3) <u>Dr. Dani</u>	<u>el Cohn</u> .	·	
(2) <u>Sam Pasternack</u> .	(4)			
Date of Interview: 27 November 2006.				
Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant	2) applicant's	representative	9]	
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.			
Claim(s) discussed: Proposed amendments claims 57-60				
Identification of prior art discussed: Art of record Jessel (L	<u>JS 4,541,383) aı</u>	nd Watanabe e	t al (US 6,513,50	<u>05)</u> .
Agreement with respect to the claims f) was reached.	g)⊠ was not re	ached.h) 🗌 N	I/A.	
<i>by the proposed amendments claims 57-60.</i> (A fuller description, if necessary, and a copy of the amen allowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attached THE FORMAL WRITTEN REPLY TO THE LAST OFFICE INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS IN FILE A STATEMENT OF THE SUBSTANCE OF THE INTEr requirements on reverse side or on attached sheet.	dments which th copy of the ame ed.) ACTION MUST e last Office acti COF ONE MON TERVIEW SUMI ERVIEW. See S	e examiner ag ndments that w INCLUDE THE on has already TH OR THIRTY MARY FORM, V ummary of Red	reed would rend rould render the SUBSTANCE (been filed, APP DAYS FROM T WHICHEVER IS cord of Interview	er the claims claims DF THE LICANT IS THIS LATER, TC
	-	Har	er 1	4P .
Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.	Ē	xaminer's signa	ature, if required	<u></u>
S. Patent and Trademark Office FOL-413 (Rev. 04-03) Interview	v Summary		Paper	No. 20061212

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Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b) In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attomeys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Óffice. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

- The Form provides for recordation of the following information:
- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- _ Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- -An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner, 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
- - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

CHOATE HALL & STEWART 6172484000

NO. 663 P. 2

> RECEIVED CENTRAL FAX CENTER

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Attorney Docket No: 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cohn, et al.

10/991,774

Hyder Ali Examiner: Art Unit: 3747

Filing Date: November 18, 2004

Title:

Serial No.:

FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

C	rtificate of Facsimile Transmission
I certify that this correspondence is	being transmitted via facsimile to (mail stop if applicab
Commissioner for Patents, P.O. Ba	x 1450, Alexandria, VA 22313-1450, via facgimile no.
571-273-8300	· •
November 30, 2006	Ey h
Date <u>Elizat</u>	Signature Signature
Typed or Pr	inted Name of person signing certificate

AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

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Page 1 of 8

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PAGE 29 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

FORD Ex. 1021, page 137 IPR2019-01400

Index of Claims		Applicati	on/Control No.		Applic Reexa	ant(s)/Pat mination	lent under
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·	Searc	h Notes		Application/Control No.	Applicant(s)/Pate Reexamination COHN ET AL.	ent under
				Examiner	Art Unit	
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123	1A	4/17/2006	НА	SEARCHED EAST SEE EAST SEARCH PRINT	OUT. 4/17/2006	на
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123	25A	4/17/2006	HA			
123	25J	4/17/2006	НА			
123	435	9/19/06	HA			
123	575	4/19/06	HA			
123	406.29	9/14/06	HA			
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Bib Cata Sheet						CONFIR	MATION NO. 8282	
SERIAL NUMBER 10/991,774	FILING DATE FILING DATE 11/18/2004 CLASS 10/991,774 123				GROUP ART UNIT 3747			
APPLICANTS Daniel R. Cohn, Chestnut Hill, MA: Leslie Bromberg, Sharon, MA; John B. Heywood, Newton, MA; ** CONTINUING DATA ***********************************								
** 03/01/2005 Foreign Priority claimed 35 USC 119 (e-d) conditions met Verified and Acknowladged Exa		lowance A- ats	STATE OR COUNTRY	SHEETS DRAWING	TOT	ral IMS		
ADDRESS 24280 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110								
TITLE Fuel management system fo	r variable ethanol octane ent	ancehmen	t of gasoline engin	Nes			•	
FILING FEE FEES: No. RECEIVED No. 1312	All Fe 1.16 F 1.17 F 1.18 F 0.0ther Condit	es Fees (Filli Fees (Pro Fees (Issu	ng) cessing 18)	Ext. of time)				

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FORD Ex. 1021, page 140 IPR2019-01400

PTO/SB/30EFS (08/06) Approved for use through 08/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQ	UEST FC			N(RCE)TRANSMI	TAL					
(Submitted Only via EFS-Web)											
Application Number	10/991,774	Filing Date	2004-11-18	Docket Number (if applicable)	0492611-0598	Art Unit	3747				
First Named Inventor	Daniel R. Cohn			Examiner Name	HYDER ALI	L	1				
This is a Req Request for C 1995, or to an	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV										
	SUBMISSION REQUIRED UNDER 37 CFR 1.114										
Note: If the R in which they entered, appli	CE is proper, any were filed unless cant must reques	previously f applicant in t non-entry	filed unentered amer structs otherwise. If of such amendment(ndments and amendn applicant does not wi (s).	nents enclosed with the R ish to have any previously	CE will be ente filed unentered	red in the order I amendment(s)				
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PTO/SB/30EFS (08/06) Approved for use through 08/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control nu	umbe
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Signature of Registered U.S. Patent Practitioner							
Signature	/SamPasternack/	Date (YYYY-MM-DD)	2007-03-09				
Name	Sam Pasternack	Registration Number	29576				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

EFS - Web 2.0

Electronic Patent Application Fee Transmittal							
Application Number:		10991774					
Filing Date:		18-Nov-2004					
Title of Invention:		Fuel management system for variable ethanol octane enhancehment of gasoline engines					
First Named Inventor/Applicant Name:		Daniel R. Cohn					
Filer:		Sam Pasternack/Elizabeth Burke					
Attorney Docket Number:		0492611-0598					
Filed as Small Entity							
Utility Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
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Miscellaneous:							
Request for continued examination	2801	1	395	395			
	Tota	395					

Electronic Acknowledgement Receipt					
EFS ID:	1579892				
Application Number:	10991774				
International Application Number:					
Confirmation Number:	8282				
Title of Invention:	Fuel management system for variable ethanol octane enhancehment of gasoline engines				
First Named Inventor/Applicant Name:	Daniel R. Cohn				
Customer Number:	24280				
Filer:	Sam Pasternack/Elizabeth Burke				
Filer Authorized By:	Sam Pasternack				
Attorney Docket Number:	0492611-0598				
Receipt Date:	09-MAR-2007				
Filing Date:	18-NOV-2004				
Time Stamp:	15:24:39				
Application Type:	Utility				

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$395
RAM confirmation Number	207
Deposit Account	

File Listing:

Number Part/.2ip (ii appi.)

1	Request for Continued Examination (RCE)	MIT0598RCE.pdf	644650	no	3					
Warnings:										
Information			1	1	1					
2	Fee Worksheet (PTO-06)	fee-info.pdf	8204	no	2					
Warnings:										
Information	:									
		Total Files Size (in bytes)	: 6	52854						
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United States Patent and Trademark Office Sales Receipt for Accounting Date: 03/15/2007

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United States Patent and Trademark Office Sales Receipt for Accounting Date: 03/15/2007

LFULTON SALE #00000002 Mailroom Dt: 03/09/2007 031721 10991774 01 FC: 2253 510.00 DA

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IN THE UN	TTED STATES PATENT AND TRADEM	ARK OFFICE
Applicant: Serial No.: Filing Date: Title:	Cohn, et al.Examine10/991,774Art UniNovember 18, 2004FUEL MANAGEMENT SYSTEM FOR VOCTANE ENHANCEMENT OF GASOI	er: Hyder Ali it: 3747 VARIABLE ETHANOL LINE ENGINES CALLON CONTRACTOR CALLON CONTRA
Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1 Sir:	ts Certificat I certify that this correspondence is being to Commissioner for Patents, P.O. Box 1450. 571-273-8300 November 10, 2006 Date Elizabeth Bip Typed or Printed 2	ts of Facsimile Transmission renaratized via facsimile to (mail stop if applicable Alexandria, VA 22313-1450, via facsimile no. Signature Signature tag Name of person signing certificate

AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

Page 1 of 8

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PAGE 29 * RCVD AT 11/30/2006 1:15:00 PM [Eastern Standard Time] * SVR: USPTO-EFXRF-2/3 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598	8282
24280 CHOATE HA	7590 05/25/2007		. EXAM	INER .
TWO INTERN	IATIONAL PLACE		ALI, H	YDER
BOSTON, MA	. 02110		ART UNIT	PAPER NUMBER
			3747	
			MAIL DATE	DELIVERY MODE
			05/25/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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· · · · · · · · · · · · · · · · · · ·	Application No.	Applicant(s)
	10/001 774	
Office Action Summarv	10/991,774	
The MAILING DATE of this communication	APPER ALI	t with the correspondence address
Period for Reply		
A SHORTENED STATUTORY PERIOD FOR RI WHICHEVER IS LONGER, FROM THE MAILIN - Extensions of time may be available under the provisions of 37 Cf after SIX (6) MONTHS from the mailing date of this communicatio - If NO period for reply is specified above, the maximum statutory p - Failure to reply within the set or extended period for reply will, by s Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	EPLY IS SET TO EXPIRE G DATE OF THIS COMMU R 1.136(a). In no event, however, main, eriod will apply and will expire SIX (6) A statute, cause the application to become mailing date of this communication, even	MONTH(S) OR THIRTY (30) DAYS, NICATION. y a reply be timely filed MONTHS from the mailing date of this communication. e ABANDONED (35 U.S.C. § 133). In if timely filed, may reduce any
Status		
1) Responsive to communication(s) filed on (<u>09 March 2007</u> .	
2a) This action is FINAL . 2b)⊠	This action is non-final.	
3) Since this application is in condition for all	owance except for formal m	atters, prosecution as to the merits is
closed in accordance with the practice und	der <i>Ex parte Quayle</i> , 1935 (C.D. 11, 453 O.G. 213.
Disposition of Claims		
4) Claim(s) 57-60 is/are pending in the applic	ation.	
4a) Of the above claim(s) is/are with	ndrawn from consideration.	
5) Claim(s) <u>59 and 60</u> is/are allowed.		
6)⊠ Claim(s) <u>57 and 58</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction a	nd/or election requirement.	
Application Papers		
9) The specification is objected to by the Exa	miner.	
10) The drawing(s) filed on <u>18 November 2004</u>	is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to	the drawing(s) be held in abe	yance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the co	prrection is required if the draw	ing(s) is objected to. See 37 CFR 1.121(d).
11) $igodows$ The oath or declaration is objected to by the	e Examiner. Note the attac	hed Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for for a) All b) Some * c) None of:	eign priority under 35 U.S.C	C. § 119(a)-(d) or (f).
1. Certified copies of the priority docur	nents have been received.	· · ·
2. Certified copies of the priority docur	nents have been received i	n Application No
3. Copies of the certified copies of the	priority documents have be	en received in this National Stage
application from the International Bu	reau (PCT Rule 17.2(a)).	
* See the attached detailed Office action for a	a list of the certified copies r	not received.
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Attachment(s)		
1) D Notice of References Cited (PTO-892)	4) 🛄 Intervie	ew Summary (PTO-413)
2) I Notice of Draftsperson's Patent Drawing Review (PTO-944	3) Paper I 5) Notice	No(s)/Mail Date of Informal Patent Application
Paper No(s)/Mail Date	6) 🗌 Other:	
S. Patent and Trademark Office	ce Action Summary	Part of Paper No (Mail Date 20070516

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Application/Control Number: 10/991,774 Art Unit: 3747

DETAILED ACTION

Inventorship

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Oath/Declaration Objection

Oath/Declaration is objected to because the oath/declaration duty to disclose statement is improper.

The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because: It does not state that the person making the oath or declaration acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent

Application/Control Number: 10/991,774 Art Unit: 3747

and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 57 and 58 are provisionally rejected on the ground of nonstatutory

obviousness-type double patenting as being unpatentable over claims 77-85 of

copending Application No. 11/100026. Although the conflicting claims are not identical,

they are not patentably distinct from each other because they have the same structure

and scope.

This is a provisional obviousness-type double patenting rejection because the

conflicting claims have not in fact been patented.

Allowable Subject Matter

Claims 59 and 60 are allowed.

Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to HYDER ALI whose telephone number is (571) 272-

4836. The examiner can normally be reached on M-F (8:30-5:00).

Application/Control Number: 10/991,774 Art Unit: 3747

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Kirk Cronin can be reached on (571) 272-4536. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Ser Al.

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bright Examiner

UNI	ted States Patent and	TRADE	mark Office		UNITED S United St Address: CON PO. Alex www	ates Patent a MMISSIONER Box 1430 andria, Vrignia 22 uspto.gov CONF	ARTMF and Tra FOR PA' 2313-1450	ENT OF COMMERCE Idemark Office TENTS	
SERIAL NUMB 10/991,774	FILING OR 371(c) CLASS GR DATE CLASS 11/18/2004 123 RULE CLASS 123 123						TUNIT ATTORNEY DOCKET NO. 0492611-0598		
APPLICANTS Daniel R. C Leslie Bron John B. He ** CONTINUING I ** FOREIGN APP IF REQUIRED, F(** 03/01/2005	cohn, Chestnut Hill, MA; hberg, Sharon, MA; ywood, Newton, MA; DATA ***********************************	Nの Nの 	NE 5 NE ED ** SMALL E	NTITY	• • •*				
Foreign Priority claime 35 USC 119 (a-d) con met Verified and Acknowledged	*** 03/01/2005 Foreign Priority claimed yes 35 USC 119 (a-d) conditions yes Met after Verified and H Acknowledged Examiner's Signature STATE OR COUNTRY MA SHEETS DRAWING CLAIMS 56 2							INDEPENDENT CLAIMS 2	
ADDRESS 24280									
TITLE Fuel managemen	t system for variable ethanc	ol octane	enhancehmen	t of ga	soline e	ngines			
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	Applicant:	Cohn, et al.		Examiner:	Hyder Ali	DL.
	Serial No.:	10/991,774		Art Unit:	3747	
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	Filing Date: Title:	November 11 FUEL MAN OCTANE E	8, 2004 IAGEMENT SY NHANCEMEN	STEM FOR VA	RIABLE ET	EANOL 714 / CA
Commissio P.O. Box 1 Alexandria	Filing Date: Title: mer for Paten 450 , VA 22313-1	November 11 FUEL MAN OCTANE E 18	B, 2004 AGEMENT SY NHANCEMEN I certify that this corr Commissioner for Pa	STEM FOR VA TOF GASOLIN Certificate of respondence is being trans- tents, P.O. Box 1450, Aler	RIABLE ET E ENGINES Facilite Transmin attend via facsimile (candria, VA 223 (3-1	ELANOL HIM EN SCIED HELO (mail stop if applicabil 450, via facetorile eo.

AMENDMENT AFTER FINAL ACTION

In response to the Office Action mailed September 27, 2006 finally rejecting the pending claims, it is requested that this amendment be entered and the application allowed:

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks begin on page 7 of this paper.

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Page 1 of 8

PAGE 29 * RCVD AT 1100/2006 1:15:00 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-23 * DNIS:2738300 * CSID:6172484000 * DURATION (mm-ss):03-04

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Index of Clain	ns .	Application/Control No.	Applicant(s)/Pater Reexamination	nt under
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U.S. Patent and Trademark Office

Part of Paper No. 20060414

	Search Notes		Application/Control No. 10/991,774 Examiner	Applicant(s)/Patent unde Reexamination COHN ET AL. Art Unit			
·····				HYDER ALI	3747		
	SEAR	CHED		(INCLUDING	ARCH NO	IES STRATEGY	
Class	Subclass	Date	Examiner			DATE	EXMR
123	14	4/17/2008	на	SEARCHED EAST SEE EAST SEARCH PR	INT OUT.	4/17/2008	на
123	198A	4/17/2006	НА				· .
123	525	4/17/2008	HA	·	······		
123	25A	4/17/2006	HA				
123	25J	4/17/2006	HA				
123	435	9/19/06	HA				
123	575	7/19/06	HA				
123	406.29	9/14/06	HA				
123	406.47	4/14/06	HA				
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U.S. Patent and Trademark Office

Part of Paper No. 20080414

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ATTORNEY DOCKET NO. 0492611-0598

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Applicant: Cohn, et al. Serial No.: 10/991,774 Examiner: ALI, HYDER Filed: November 18, 2004 Art Unit: 1714 For: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

RESPONSE TO OFFICE ACTION

In response to the office action mailed May 25, 2007 please consider the following remarks:

4235440v1

REMARKS

Reexamination and reconsideration of the rejections are hereby requested.

Claims 57-60 are pending in this application. Claims 57 and 58 stand rejected on the

ground of non-statutory obviousness-type double patentee. Claim 59 and 60 stand allowed.

Included herewith is a terminal disclaimer with respect to co-pending and co-owned

application serial number 11/100,026 (now US Patent No. 7,225,787) It is submitted that this

terminal disclaimer overcomes the obviousness-type double patenting rejection.

It is submitted that this application is in condition for allowance and early favorable action is requested.

Respectfully submitted, CHOATE, HALL & STEWART LLP

/SamPasternack/ Sam Pasternack

Date: July 27, 2007

Patent Department CHOATE, HALL & STEWART, LLP Two International Place Boston, MA 02110 Tel: (617) 248-5000 Fax: (617) 248-4000

4235440v1

Approved for use U.S. Patent and Trademark Office; Under the Paperwork Reduction Act of 1995. no persons are required to respond to a collection of information unless it	PTO/SB/25 (04-07) through 09/30/2007. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE displays a valid OMB control number.
TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING REJECTION OVER A PENDING "REFERENCE" APPLICATION	Docket Number (Optional)
In re Application of: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT	OF GASOLINE ENGINES
Application No.: 10/991,774	
Filed: November 18, 2004	
For: Daniel R. Cohn	
The owner*, <u>Massachuets Insitute of Technology</u> , of <u>100</u> percent interest in the instat except as provided below, the terminal part of the statutory term of any patent granted on the instant applic the expiration date of the full statutory term of any patent granted on pending reference Application Number on <u>04-06-2005</u> , as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on the grant of any patent on the pending hereby agrees that any patent so granted on the instant application shall be enforceable only for and during granted on the reference application are commonly owned. This agreement runs with any patent granted binding upon the grantee, its successors or assigns.	ant application hereby disclaims, ation which would extend beyond <u>11/100,026</u> , filed atent granted on said reference reference application. The owner such period that it and any patent on the instant application and is
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any part application, "as the term of any patent granted on said reference application may be shortened by any ter grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application, "in the event that: any such patent: granted on the pending terminal by a court of competent juin whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory term as shortened by any terminal disclaimer filed prior to the statutory te	the instant application that would atent granted on said reference minal disclaimer filed prior to the bending reference application: risdiction, is statutorily disclaimed a, is reissued, or is in any manner its grant.
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, governet.), the undersigned is empowered to act on behalf of the business/organization.	ernment agency,
I hereby declare that all statements made herein of my own knowledge are true and that all state belief are believed to be true; and further that these statements were made with the knowledge that willful made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States statements may jeopardize the validity of the application or any patent issued thereon.	ements made on information and false statements and the like so s Code and that such willful false
2. 🗹 The undersigned is an attorney or agent of record. Reg. No. 29576	
/SamPasternack/ Signature	07/27/2007 Date
Sam Pasternack	
Typed or printed name	
	617-248-5143
	Telephone Number
✓ Terminal disclaimer fee under 37 CFR 1.20(d) is included.	
WARNING: Information on this form may become public. Credit card information be included on this form. Provide credit card information and authorization on I	should not PTO-2038.
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324.	
This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the put to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estir including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chi	blic which is to file (and by the USPTO nated to take 12 minutes to complete, the individual case. Any comments on ef Information Officer, U.S. Patent and

Trademark of time you require to complete this form alloof suggestions for reducing this burder, should be sent to the Chief monnation officet, 0.3. Patern and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal						
Application Number:	10	991774				
Filing Date:	18	-Nov-2004				
Title of Invention:	Fuel management system for variable ethanol octane enhancehment of gasoline engines					
First Named Inventor/Applicant Name:	Da	aniel R. Cohn				
Filer:	Sam Pasternack/Elizabeth Burke					
Attorney Docket Number: 0492611-0598						
Filed as Small Entity						
Utility Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Statutory disclaimer		1814	1	130	130	
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tota	al in USI	D (\$)	130

Electronic Acknowledgement Receipt				
EFS ID:	2020280			
Application Number:	10991774			
International Application Number:				
Confirmation Number:	8282			
Title of Invention:	Fuel management system for variable ethanol octane enhancehment of gasoline engines			
First Named Inventor/Applicant Name:	Daniel R. Cohn			
Customer Number:	24280			
Filer:	Sam Pasternack/Elizabeth Burke			
Filer Authorized By:	Sam Pasternack			
Attorney Docket Number:	0492611-0598			
Receipt Date:	27-JUL-2007			
Filing Date:	18-NOV-2004			
Time Stamp:	15:43:09			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$130
RAM confirmation Number	753
Deposit Account	

File Listing:

Document	File Size(Bytes)	Multi	Pages
Number Document Description File Name	/Message Digest	Part /.zip	(if appl.)

1	Amendment - After Non-Final Rejection	MIT11381ResptoOA.pdf	90460 164e904c318bfeb0be650d7375213ebctb	no	2
Warnings:			448480		
Information	:				
			198531		
2	Terminal Disclaimer Filed	MIT11381TD.pdf	62ce0f4e0ff0dd448657a008c899521b3 c0ff864	no	2
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Application Number	Application/Co	ontrol No. Applicant(s)/Patent under Reexamination		
	10/991,774		COHN ET AL.	
Document Code - DISQ	1	Internal [Document – DO	NOT MAIL

TERMINAL DISCLAIMER		
Date Filed : 07/27/07	This patent is subject to a Terminal Disclaimer	REASONS:

Approved/Di	sapproved by:	
☐ Sha	ron Greene	Patricia Martin
Para	alegal Specialist	Paralegal Specialist
Tecl	hnology Center 3700	Technology Center 3700
⊠ Jan	Hurley	Andre Robinson
Para	legal Specialist	Paralegal Specialist
Tech	inology Center 3700	Technology Center 3700

U.S. Patent and Trademark Office

EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	3	turbocharger and spark adj ignition adj engine and port adj fuel adj injection and gasoline and knock and ethanol and vehicle and energy adj fraction	US-PGPUB	OR	OFF	2007/08/13 13:28
L2	1	turbocharger and spark adj ignition adj engine and port adj fuel adj injection and gasoline and knock and ethanol and vehicle and energy adj fraction	USPAT	OR	OFF	2007/08/13 13:27

Application Number	Application/Co	ntrol No.	Applicant(s)/Patent under Reexamination COHN ET AL.			
Document Code - DISQ		Internal	Document – [DO NOT MAIL		

TERMINAL DISCLAIMER		
Date Filed : 07/27/07	This patent is subject to a Terminal Disclaimer	REASONS:

Approved/Disapproved by:							
	Sharon Greene Paralegal Specialist Technology Center 3700		Patricia Martin Paralegal Specialist Technology Center 3700				
⊠	Jan Hurley Paralegal Specialist Technology Center 3700		Andre Robinson Paralegal Specialist Technology Center 3700				

U.S. Patent and Trademark Office

<u>,</u>



Application/Control No.	
10/991.774	
Fxaminer	
HYDER ALI	

Applicant(s)/Patent under Reexamination COHN ET AL. Art Unit 3747

	ISSUE CLASSIFICATION																		
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	25			55			85			115	1		145			175			205
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Part of Paper No. 20070813

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Bib Data Sheet						CONF	IRM/	ATION NO. 828
SERIAL NUMBER 10/991,774	CLASS 123	GRO	ROUP ART UNIT 3747			ATTORNEY DOCKET NO. 0492611-0598		
APPLICANTS Daniel R. Cohn Leslie Bromber John B. Heywo	, Chestnut Hill, MA; g, Sharon, MA; od, Newton, MA;							<u> </u>
* CONTINUING DATA **********************************								
** 03/01/2005 Foreign Priority claimed 35 USC 119 (a-d) condition met Verified and Acknowledged Exa	uyes⊠no suyes⊠nouMetaf	ter <u>A</u> itials	STATE OR COUNTRY MA	SHE	EETS WING 3	TOTA CLAII 56	AL MS	INDEPENDEN CLAIMS 2
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TITLE Fuel management sys	stem for variable ethano	l octane	enhancehmen	t of ga	soline e	ngines		
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

24280 7590 08/16/2007 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110 EXAMINER ALI, HYDER ART UNIT PAPER NUMBER

3747 DATE MAILED: 08/16/2007

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598	8282

TITLE OF INVENTION: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEHMENT OF GASOLINE ENGINES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	11/16/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2007.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

FORD Ex. 1021, page 177 IPR2019-01400

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents

BOSTON, MA 02110

			P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885
INSTRUCTIONS: appropriate. All fur- indicated unless cor maintenance fee not	This form shou ther corresponde rected below or ifications.	Id be used for transmitting the ISSUE FEE a ence including the Patent, advance orders and directed otherwise in Block 1, by (a) specifyi	nd PUBLICATION FEE (if required). Blocks I through 5 should be completed where notification of maintenance fees will be mailed to the current correspondence address as ng a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for
CURRENT CORRESP	ONDENCE ADDRE	SS (Note: Use Block 1 for any change of address)	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.
24280	7590	08/16/2007	
CHOATE, 1 TWO INTER BOSTON, M	HALL & ST RNATIONAI IA 02110	TEWART LLP L PLACE	I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)				
(Signature)				
(Date)				
D. CONFIRMATION NO.	ATTORNEY DOCKET NO.	FIRST NAMED INVENTOR	FILING DATE	APPLICATION NO.
8282	0492611-0598	Daniel R. Cohn	11/18/2004	10/991.774

TITLE OF INVENTION: FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEHMENT OF GASOLINE ENGINES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	11/16/2007
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ALI, H	YDER	3747	123-19800A	,		
I. Change of corresponde CFR 1.363). Change of corresp Address form PTO/SE "Fee Address" indi PTO/SB/47; Rev 03-0 Number is required. ASSIGNEE NAME Ai PLEASE NOTE: Unl recordation as set ford (A) NAME OF ASSIC	ence address or indicatio ondence address (or Cha 3/122) attached. ication (or "Fee Address 2 or more recent) attach ND RESIDENCE DATA css an assignee is ident n in 37 CFR 3.11. Comp GNEE	n of "Fee Address" (37 nge of Correspondence " Indication form ed. Use of a Customer A TO BE PRINTED ON ified below, no assignce oletion of this form is NO	 For printing on the p the names of up to or agents OR, alternative (2) the name of a singly registered attorney or a 2 registered patent attorney or listed, no name will be THE PATENT (print or typ data will appear on the pi T a substitute for filing an (B) RESIDENCE: (CITY) 	atent front page, list 3 registered patent attorn yely, c firm (having as a memb igent) and the names of up meys or agents. If no nam printed. be) atent. If an assignce is id assignment. and STATE OR COUNT	rer a 2 p to le is 3 dentified below, the docr	ument has been filed for
4a. The following fcc(s) a Issue Fcc Publication Fcc (N Advance Order - #	ate assignee category or are submitted: o small entity discount p f of Copies	categories (will not be p 4) permitted)	 b. Payment of Fee(s): (Pleas A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo 	Individual Corporati se first reapply any prev d. Form PTO-2038 is atta authorized to charge the r sit Account Number	on or other private group riously paid issue fee shuched. required fee(s), any defic (enclose an c	o entity Government own above) iency, or credit any xtra copy of this form).
5. Change in Entity Stat	us (from status indicate	l above)				·· · ·
a. Applicant claims	s SMALL ENTITY state	is. See 37 CFR 1.27.	b. Applicant is no long	ger claiming SMALL ENT	FITY status. Sec 37 CFR	1.27(g)(2).
interest as shown by the r	ecords of the United Sta	tes Patent and Trademark	d from anyone other than the office.	ne applicant; a registered a	attorney or agent; or the	assignce or other party in
Authorized Signature	2			Date Registration No		
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE OMB 0651-0033

	NITED STATES PATE	INT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 113-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/991,774	11/18/2004	Daniel R. Cohn	0492611-0598	8282
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TWO INTERNA	TIONAL PLACE		ART UNIT	PAPER NUMBER
BOSTON, MA 0	2110		3747 DATE MAILED: 08/16/200	7

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 25 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 25 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	10/991.774	COHN ET AL	
Notice of Allowability	Examiner	Art Unit	
	HYDER ALI	3747	
The MAILING DATE of this communication appe I claims being allowable, PROSECUTION ON THE MERITS IS erewith (or previously mailed), a Notice of Allowance (PTOL-85) DTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet we (OR REMAINS) CLOSED i or other appropriate comm GHTS. This application is and MPEP 1308. filed on 07/27/2007.	th the correspondence address n this application. If not included unication will be mailed in due cours subject to withdrawal from issue at th	e. T HIS he initiative
The allowed claim(s) is/are <u>57-60</u> .			
 Acknowledgment is made of a claim for foreign priority ur a) □ All b) □ Some* c) □ None of the: 1. □ Certified copies of the priority documents have 2. □ Certified copies of the priority documents have 	nder 35 U.S.C. § 119(a)-(d) e been received. e been received in Applicatio	or (f). on No	
 Copies of the certified copies of the priority doe International Bureau (PCT Rule 17.2(a)). * Certified copies not received: 	cuments have been receive	d in this national stage application fr	om the
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file IENT of this application.	a reply complying with the requiren	nents
A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give	itted. Note the attached EX es reason(s) why the oath o	AMINER'S AMENDMENT or NOTIC r declaration is deficient.	EOF
CORRECTED DRAWINGS (as "replacement sheets") mus	st be submitted.		
(a) 🔲 including changes required by the Notice of Draftspers	on's Patent Drawing Revie	w (PTO-948) attached	
1) 🔲 hereto or 2) 🛄 to Paper No./Mail Date			
(b) including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment o	r in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on t he header according to 37 C	he drawings in the front (not the back FR 1.121(d).) of
. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	sit of BIOLOGICAL MAT FOR THE DEPOSIT OF BI	ERIAL must be submitted. Note t DLOGICAL MATERIAL.	he
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Notice of References Cited (PTO-892)	5. Notice of in	itormal Patent Application	
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of Biological Material	9. 🗌 Other		.0
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Application/Control Number: 10/991,774 Art Unit: 3747

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be

unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of

such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

Oath/Declaration Objection

Oath/Declaration is objected to because the oath/declaration duty to disclose statement is improper.

The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because:

It does not state that the person making the oath or declaration acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.

Any inquiry concerning this communication or earlier communications from the examiner should be directed

to HYDER ALI whose telephone number is (571) 272-4836. The examiner can normally be reached on M-F (8:30-5:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Kirk Cronin can be reached on (571) 272-4536. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Hyle Al.

EPHEN K. CRONIN

SUPERVISORY PATENT EXAMINER

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together ...th applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be co appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspond- indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE a maintenance fee notifications.						
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						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/991,774	11/18/2004		Daniel R. Cohn	C)492611-0598	8282
TITLE OF INVENTION:	FUEL MANAGEMEN	T SYSTEM FOR VARIA	ABLE ETHANOL OCTAN	E ENHANCEHMENT O	F GASOLINE ENGIN	ES
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$0	\$1000	11/16/2007
EXAM	INER	ART UNIT	CLASS-SUBCLASS]		
ALI, H	YDER	3747	123-19800A			
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Change of correspondence Address form PTO/SE	ondence address (or Cha 3/122) attached.	nge of Correspondence	or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 member and the names of up		or a 2 Choate I	Hall & Stewart, LLP
Fee Address" indi	cation (or "Fee Address"	Indication form				
Number is required.	2 of more recent) awach	cu. Use of a Customer	listed, no name will be	printed.	3	
3. ASSIGNEE NAME AI	ND RESIDENCE DATA	TO BE PRINTED ON	THE PATENT (print or typ	pe)		
PLEASE NOTE: Unle recordation as set fort	ess an assignce is identi h in 37 CFR 3.11. Comp	fied below, no assignce letion of this form is NO	data will appear on the p T a substitute for filing an	atent. If an assignce is id assignment.	lentified below, the do	cument has been filed for
(A) NAME OF ASSIC	INEE		(B) RESIDENCE: (CITY	and STATE OR COUNT	RY)	
Massachuse	etts Institute of Tec	hnology	с	ambridge, Massachus	etts	
Please check the appropri	ate assignee category or	categories (will not be pr	rinted on the patent) :	Individual 🖾 Corporati	on or other private gro	up entity 🔲 Government
4a. The following fee(s) a	are submitted:	41	o. Payment of Fee(s): (Plea	ase first reapply any prev	iously paid issue fee :	shown above)
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		*****	overpayment, to Depo	sit Account Number 03-17	²¹ (enclose a	n extra copy of this form).
5. Change in Entity Stat	tus (from status indicated	above)	D h Applicant is no lon	gor claiming SMALL ENT	FITY status See 37 CF	$(\mathbb{R} \mid 27(a)(2))$
NOTE: The losse Fee and Publication Fee (if required) will not be accented from anyone other than the applicant: a registered attorney or agent: or the assignee or other party in						
interest as shown by the r	ecords of the United Sta	tes Patent and Trademark	Office.	,		
Authorized Signature/SamPasternack/			Date	r 15, 2007		
Typed or printed name Sam Pasternack				Registration No.	29,576	
This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.						

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE OMB 0651-0033

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEMENT OF GASOLINE ENGINES

the specification of which:

_____ is attached hereto;

X was filed on November 18, 2004 as Application Serial No. 10/991,774and amended on ______ (if applicable); or

was filed as PCT international application No. ________ on ______ and was amended under PCT Article 19 on _______ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Number	Country	Filing Date	Status	Priority
				Claimed (Y/N)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed

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Page 1 of 3

Attorney Docket No.: 04926110598

below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior US National Application(s) Application(s) and PCT Applications Designating the US:

Number	Country	Filing Date	Status	Priority
	÷			Claimed (Y/N)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Prior US Provisional Application(s):

Number	Country	Filing Date	Status	Priority Claimed (Y/N)
······································	······			

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Name:	Daniel R. Cohn		
Signature:	Daniel R. Cohn	Date:	Nov, 19, 2007
Residence Address:	Cambridge MA O2142	Citizenship:	USA
Correspondence			·
Address:	same as above		

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Page 2 of 3

Attorney Docket No.: 04926110598

TOTAL P.02

Name:	Leslie Bromberg		1 /
Signature:	Lesle fromberg	Date:	11/15/07
Residence Address:	176 WILSHIRE OR, SHARONMA-	Citizenship:	146
Correspondence			
Address:			

Name:	John B. Heywood	
Signature:		Date:
Residence Address:	· · · · · · · · · · · · · · · · · · ·	Citizenship:
Correspondence	·	
Address:		

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Page 3 of 3

Attorney Docket No.: 04926110598

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Name:	Leslie Bromberg	
Signature:		Date:
Residence Address:		Citizenship:
Correspondence		
Address:		

Name:	John B. Heywood		
Signature:	- Ida Ater and	Date:	
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Correspondence	MIT, Department of Mechanical Engineering		
Address:	77 Massachusetts Avenue, 3-340		
	Cambridge, MA 02139		

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Page 3 of 3

Attorney Docket No.: 04926110598

Electronic Patent Application Fee Transmittal					
Application Number:		10991774			
Filing Date:	18	-Nov-2004			
Title of Invention:	FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEHMENT OF GASOLINE ENGINES				
First Named Inventor/Applicant Name:	Da	aniel R. Cohn			
Filer:		m Pasternack/Elis	abeth Dunkle		
Attorney Docket Number:		0492611-0598			
Filed as Small Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Publ. Fee- early, voluntary, or normal		1504	1	300	300
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Utility Appl issue fee		2501	1	720	720

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USI	D (\$)	1020

Electronic Acknowledgement Receipt				
EFS ID:	2470567			
Application Number:	10991774			
International Application Number:				
Confirmation Number:	8282			
Title of Invention:	FUEL MANAGEMENT SYSTEM FOR VARIABLE ETHANOL OCTANE ENHANCEHMENT OF GASOLINE ENGINES			
First Named Inventor/Applicant Name:	Daniel R. Cohn			
Customer Number:	24280			
Filer:	Sam Pasternack/Elisabeth Dunkle			
Filer Authorized By:	Sam Pasternack			
Attorney Docket Number:	0492611-0598			
Receipt Date:	15-NOV-2007			
Filing Date:	18-NOV-2004			
Time Stamp:	15:47:29			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted w	th Payment	yes					
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This Acknow characterize similar to a <u>New Applica</u> If a new app 37 CFR 1.53 shown on th <u>National Sta</u> If a timely so of 35 U.S.C. application in due cours <u>New Interna</u> If a new inter components Internationa	wledgement Receipt evidences read by the applicant, and including Post Card, as described in MPEP ations Under 35 U.S.C. 111 lication is being filed and the app (b)-(d) and MPEP 506), a Filing Re his Acknowledgement Receipt will uge of an International Application ubmission to enter the national sta 371 and other applicable requirent as a national stage submission ur se. tional Application Filed with the U mational application is being filed s for an international filing date (se I Application Number and of the line	ceipt on the noted date by page counts, where applic 503. lication includes the neces ceipt (37 CFR 1.54) will be establish the filing date of <u>under 35 U.S.C. 371</u> age of an international app nents a Form PCT/DO/EO/9 nder 35 U.S.C. 371 will be is <u>ISPTO as a Receiving Offic</u> and the international app ee PCT Article 11 and MPE nternational Filing Date (Fo	the USPTO of the in able. It serves as e sary components for issued in due cours the application. lication is complian 03 indicating accept sued in addition to re P 1810), a Notification prm PCT/RO/105) wi	dicated do vidence of or a filing d se and the o the with the o tance of th the Filing on of the Il be issued	cuments, receipt late (see date conditions ne Receipt, y d in due	



UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/991,774	01/01/2008	7314033	0492611-0598	8282

24280 7590 12/12/2007 CHOATE, HALL & STEWART LLP TWO INTERNATIONAL PLACE BOSTON, MA 02110

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Daniel R. Cohn, Chestnut Hill, MA; Leslie Bromberg, Sharon, MA; John B. Heywood, Newton, MA;

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STATEMEN	IT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner Daniel R. Cohn et al.	
Application No /Petent No · 10991774/7314033	Elleddar D. 11/19/2004 / 01/01/2008
Titled:	
COMPENSATION FOR MEASUREMENT UN	VCERTAINTY DUE TO ATMOSPHERIC EFFECTS
Aassachusetts Institute of Technology, a	non-profit
Name of Asisgnee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
). X the assignee of the entire right, title, and interest	in;
an assignee of less than the entire right, title, and (The extent (by percentage) of its ownership inte	ל Interest in rest is%); or
). I the assignee of an undivided interest in the entire	ally of (a complete assignment from one of the joint inventors was made)
he patent application/patent identified above, by virtue of eit	her:
An assignment from the inventor(s) of the patent the United States Patent and Trademark Office a copy therefore is attached.	application/patent identified above. The assignment was recorded in t Reel 016336 , Frame 0049 , or for which a
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(NOTE: A separate copy (<i>i.e.</i> , a true copy of the origin accordance with 37 CFR Part 3, to record the assignment	al assignment document(s)) must be submitted to Assignment Division i ent in the records of the USPTO. <u>See</u> MPEP 302.08]
a pridarsigned (whose title is supplied below) is authorized	to act on behalf of the assignee.
Van De	
Signature	Date
Signature	Date
Signature aniel O'Brien Printed or Typed Name	IP Manager

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PAGE 3/3 * RCVD AT 1/14/2010 3:00:26 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-5/33 * DNIS:2738300 * CSID:617 258 6790 * DURATION (mm-ss):01-12

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• **Comments:** Attached is an updated Fee Address Indication Form and corresponding 3.73(b) Form for patent number **7,314,033**. Please update at your earliest convenience.

Thank you!

Page 1 of 3

PAGE 1/3 * RCVD AT 1/14/2010 3:00:26 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-5/33 * DNIS:2738300 * CSID:617 258 6790 * DURATION (mm-ss):01-12

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