## UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

ZTE (USA), INC. AND SAMSUNG ELECTRONICS CO., LTD., Petitioners

v.

BELL NORTHERN RESEARCH, LLC, Patent Owner

> Case: IPR2019-01365 U.S. Patent No. 7,039,435

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PETITIONER'S UPDATED EXHIBIT LIST



Case: IPR2019-01365 U.S. Patent No. 7,039,435

## **LIST OF EXHIBITS**

Exhibit	Description	Previously Submitted
1001	U.S. Pat. No. 7,039,435 to McDowell et al. ("the '435 patent")	X
1002	File History of the '435 Patent	X
1003	Declaration of Dr. Jonathan Wells	X
1004	Certified English Translation of European Patent Publication EP 1091498 by Baiker ("Baiker")	X
1005	U.S. Patent No. 6,456,856 to Werling ("Werling")	X
1006	PCT Patent Publication WO 2002/05443 by Irvin ("Irvin")	X
1007	U.S. Patent No. 6,018,646 to Myllymäki ("Myllymäki")	X
1008	U.S. Pat. No. 5,390,338 to Bodin ("Bodin")	X
1009	Joint Claim Construction Chart, Worksheet, and Hearing Statement in <i>Bell Northern Research, LLC, v. ZTE Corporation, ZTE (USA) Inc., and ZTE (TX), Inc.</i> (Case No. 3:18-cv-1786) (S.D. Cal.)	X
1010	U.S. Provisional Patent Application No. 09/612,034 by Irvin ("Irvin Provisional")	X
1011	Michael Barr, Programming Embedded Systems in C and C++ (O'Reilly & Associates, 1999)	X
1012	Rudolf F. Graf, Modern Dictionary of Electronics (Butter- worth-Heinemann, 1999)	X
1013	Harry Newton, <i>Newton's Telecom Dictionary</i> (Miller Freeman, Inc., 1999)	X
1014	Webster's II New College Dictionary (Houghton Mifflin Co, 1999)	X
1015	Martin H. Weik, Fiber Optics Standard Dictionary (Chapman & Hall, 1997)	X
1016	European Patent Publication EP 1091498 ("Baiker")	X
1017	Webster's New World College Dictionary (Simon & Schuster, 1997)	X



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Exhibit	Description	Previously
1010	77.6.7	Submitted
1018	U.S. Patent No. 6,029,074 to Irvin ("Irvin '074")	X
1019	Defendants' Joint Opening Claim Construction Brief in Bell Northern Research, LLC, v. ZTE Corporation, ZTE (USA) Inc., and ZTE (TX), Inc. (Case No. 3:18- cv-1786) (S.D. Cal.)	X
1020	Plaintiff's Opening Claim Construction Brief in <i>Bell Northern Research, LLC, v. ZTE Corporation, ZTE (USA) Inc., and ZTE (TX), Inc.</i> (Case No. 3:18-cv-1786) (S.D. Cal.)	X
1021	Supplemental Joint Claim Construction Hearing Statement Pursuant to P.L.R. 4.2 in <i>Bell Northern</i> Research, LLC, v. ZTE Corporation, ZTE (USA) Inc., and ZTE (TX), Inc. (Case No. 3:18-cv-1786) (S.D. Cal.)	X
1022	Defendants' Joint Responsive Claim Construction Brief in <i>Bell Northern Research, LLC v. ZTE</i> <i>Corporation, ZTE (USA) Inc., and ZTE (TX), Inc.</i> (Case No. 3:18-cv-1786) (S.D. Cal.)	X
1023	Plaintiff's Responsive Claim Construction Brief in Bell Northern Research, LLC v. ZTE Corporation, ZTE (USA) Inc., and ZTE (TX), Inc. (Case No. 3:18-cv-1786) (S.D. Cal.)	X
1024	Redline Comparison of this Petition and Petition filed in IPR2019-01365 ("ZTE IPR")	X
1025	Claim Construction Order and Order on Motions for Summary Judgment in <i>Bell Northern Research</i> , <i>LLC</i> , v. <i>ZTE Corporation</i> , <i>ZTE</i> (USA) Inc., and ZTE (TX), Inc. (Case No. 3:18-cv-1786) (S.D. Cal.)	X
1026	Transcript of June 20, 2019 Hearing in <i>Bell Northern Research, LLC, v. ZTE Corporation, ZTE (USA) Inc., and ZTE (TX), Inc.</i> (Case No. 3:18-cv-1786) (S.D. Cal.)	X



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Exhibit	Description	Previously Submitted
1027	Order on Request for Pre-Institution Stay of the Litigation (August 12, 2019) in <i>Bell Northern</i>	X
	Research, LLC, v. ZTE Corporation, ZTE (USA) Inc., and ZTE (TX), Inc. (Case No. 3:18-cv-1786) (S.D. Cal.)	
1028	Order on Confirming Settlement and Setting Deadline to File Joint Motion for Dismissal (October 24, 2019) in Bell Northern Research, LLC, v. Bell Northern Research, LLC, v. Huawei Technologies Co., Ltd. et al. (Case No. 3:18-cv-1784) (S.D. Cal.)	X
1029	Settlement Agreement Between ZTE and BNR BUSINESS CONFIDENTIAL INFORMATION – BOARD ONLY	Х
1030	Rebuttal Declaration of Dr. Jonathan Wells	
1031	Cross Examination Testimony of Dr. Mark Horenstein (excerpted transcript of the January 8, 2020 deposition transcript in <i>Bell Northern Research, LLC v. Coolpad Technologies, Inc., et al.</i> , No. 3:18-cv-01783-CAB(BLM) and <i>Bell Northern Research, LLC v. ZTE Corp., et al.</i> , No. 3:18-cv-01786-CAB(BLM))	
1032	Excerpts from Opening Expert Report of Jonathan Wells, Ph.D., M.B.A., October 25, 2019, Southern District of California, Case No. 3:18-cv-01783-CAB-BLM [Lead Case]	

Respectfully submitted,

Dated: September 8, 2020 By: /Naveen Modi/ Naveen Modi (Reg. No. 46,224)

> Counsel for Petitioner Samsung Electronics Co., Ltd.



## **CERTIFICATE OF SERVICE**

Pursuant to 37 C.F.R. § 42.6(e), I certify that I caused to be served on the counsel for Petitioner a true and correct copy of the foregoing Petitioner's Updated Exhibit List by electronic means at the following address of record:

Steven W. Hartsell
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A copy of the foregoing Petitioner's Updated Exhibit List has been served by electronic means upon the following counsel of record for Petitioner ZTE:

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Respectfully submitted,

Dated: September 8, 2020 By: /Naveen Modi/

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