

AO 120 (Rev. 08/10)

TO: <b>Mail Stop 8</b> <b>Director of the U.S. Patent and Trademark Office</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	<b>REPORT ON THE</b> <b>FILING OR DETERMINATION OF AN</b> <b>ACTION REGARDING A PATENT OR</b> <b>TRADEMARK</b>
---	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas Marshall Division on the following

Trademarks or  Patents. (  the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 2:18-cv-00380-JRG	DATE FILED 8/29/2018	U.S. DISTRICT COURT Eastern District of Texas Marshall Division
PLAINTIFF UNILOC 2017 LLC, and UNILOC LICENSING USA LLC		DEFENDANT VERIZON COMMUNICATIONS INC., CELLCO PARTNERSHIP INC. D/B/A VERIZON WIRELESS, VERIZON BUSINESS NETWORK SERVICES, INC., and VERIZON DIGITAL MEDIA SERVICES, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,075,917	7/11/2006	Uniloc 2017 LLC
2 6,664,891	12/16/2003	Uniloc 2017 LLC
3 6,519,005	2/11/2003	Uniloc 2017 LLC
4 7,016,676	3/21/2006	Uniloc 2017 LLC
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT	<div style="border: 2px solid black; background-color: yellow; padding: 5px; display: inline-block;"> <b>MICROSOFT CORP.</b>  <b>EXHIBIT 1002</b> </div>
--------------------	--

CLERK	(BY) DEPUTY CLERK	DATE
-------	-------------------	------

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

<b>TO:</b> <b>Mail Stop 8</b> <b>Director of the U.S. Patent and Trademark Office</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	<b>REPORT ON THE</b> <b>FILING OR DETERMINATION OF AN</b> <b>ACTION REGARDING A PATENT OR</b> <b>TRADEMARK</b>
--	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas Marshall Division on the following

Trademarks or  Patents. (  the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 2:18-cv-00379-JRG	DATE FILED 8/29/2018	U.S. DISTRICT COURT Eastern District of Texas Marshall Division
PLAINTIFF UNILOC 2017 LLC and UNILOC LICENSING USA LLC		DEFENDANT AT&T INC., AT&T CORPORATION, AT&T SERVICES, INC., AT&T MOBILITY LLC, and AT&T COMMUNICATIONS, LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 6,901,272	5/31/2005	Uniloc 2017 LLC
2 6,519,005	2/11/2003	Uniloc 2017 LLC
3 7,016,676	3/21/2006	Uniloc 2017 LLC
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT
--------------------

CLERK	(BY) DEPUTY CLERK	DATE
-------	-------------------	------

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

<b>TO: Mail Stop 8</b> <b>Director of the U.S. Patent and Trademark Office</b> <b>P.O. Box 1450</b> <b>Alexandria, VA 22313-1450</b>	<b>REPORT ON THE</b> <b>FILING OR DETERMINATION OF AN</b> <b>ACTION REGARDING A PATENT OR</b> <b>TRADEMARK</b>
---	---

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Central District of California on the following

Trademarks or  Patents. (  the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 8:18-cv-01279	DATE FILED 7/24/2018	U.S. DISTRICT COURT Central District of California
PLAINTIFF Uniloc 2017 LLC, Uniloc Licensing USA LLC and Uniloc USA, Inc.		DEFENDANT Microsoft Corporation
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,016,676	3/21/2006	Uniloc 2017 LLC
2 6,993,049	1/31/2006	Uniloc 2017 LLC
3 7,167,487	1/23/2007	Uniloc 2017 LLC
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT
--------------------

CLERK	(BY) DEPUTY CLERK	DATE
-------	-------------------	------

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director  
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy



Jan-04-2006 11:28

From-PHILIPS ELECTRONICS ICS

914-332-0615

T-473 P.001/001 F-814

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24737 7590 12/06/2005

PHILIPS INTELLECTUAL PROPERTY & STANDARDS
P.O. BOX 3001
BRIARCLIFF MANOR, NY 10510

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Elissa DeLucy (Depositor's name)
Elissa DeLucy (Signature)
Jan. 4, 2006 (Date)

01/04/2006 HDEMES2 00000028 141270 10089959

01 FC:1501 1400.00 DA
02 FC:1504 300.00 DA

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO. Values: 10/069,959, 04/04/2002, Bernhard Walke, PHDE000238, 1142

TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Table with 6 columns: APPLN. TYPE, SMALL ENTITY, ISSUE FEE, PUBLICATION FEE, TOTAL FEE(S) DUE, DATE DUE. Values: nonprovisional, NO, \$1400, \$300, \$1700, 03/06/2006

Table with 3 columns: EXAMINER, ART UNIT, CLASS-SUBCLASS. Values: TRAN, CONGVAN, 2688, 455-434000

- 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent.

(A) NAME OF ASSIGNEE: KONINKLIJKE PHILIPS ELECTRONICS N.V.
(B) RESIDENCE: (CITY and STATE OR COUNTRY): Eindhoven, The Netherlands

Please check the appropriate assignee category or categories (will not be printed on the patent): Individual [X] Corporation or other private group entity [X] Government

- 4a. The following fee(s) are enclosed: [X] Issue Fee, [X] Publication Fee, [ ] Advance Order - # of Copies
4b. Payment of Fee(s): [ ] A check in the amount of the fee(s) is enclosed, [ ] Payment by credit card, [X] The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 18-1270

5. Change in Entity Status (from status indicated above) [ ] a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. [ ] b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature: Russell Gross Date: 1-2-11-06
Typed or printed name: Russell Gross Registration No.: 40,007

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO.





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

24737 7590 12/06/2005
PHILIPS INTELLECTUAL PROPERTY & STANDARDS
P.O. BOX 3001
BRIARCLIFF MANOR, NY 10510

EXAMINER

TRAN, CONGVAN

ART UNIT PAPER NUMBER

2688

DATE MAILED: 12/06/2005

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.

TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Table with 6 columns: APPLN. TYPE, SMALL ENTITY, ISSUE FEE, PUBLICATION FEE, TOTAL FEE(S) DUE, DATE DUE

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

**PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: **Mail** **Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
 or **Fax** **(571) 273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

24737                      7590                      12/06/2005

**PHILIPS INTELLECTUAL PROPERTY & STANDARDS**  
**P.O. BOX 3001**  
**BRIARCLIFF MANOR, NY 10510**

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142

TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/06/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
TRAN, CONGVAN	2688	455-434000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). <input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. <input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, _____ 1 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. _____ 2 _____ 3
---	--

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
 PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE \_\_\_\_\_ (B) RESIDENCE: (CITY and STATE OR COUNTRY) \_\_\_\_\_

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are enclosed: <input type="checkbox"/> Issue Fee <input type="checkbox"/> Publication Fee (No small entity discount permitted) <input type="checkbox"/> Advance Order - # of Copies _____	4b. Payment of Fee(s): <input type="checkbox"/> A check in the amount of the fee(s) is enclosed. <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. <input type="checkbox"/> The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).
---	--

5. Change in Entity Status (from status indicated above)  
 a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
 NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_  
 Typed or printed name \_\_\_\_\_ Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO., EXAMINER, ART UNIT, PAPER NUMBER. Includes application details for Bernhard Walke and examiner TRAN, CONGVAN.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 411 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 411 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

**Notice of Allowability**

<b>Application No.</b>	<b>Applicant(s)</b>	
10/089,959	WALKE ET AL.	
<b>Examiner</b>	<b>Art Unit</b>	
CongVan Tran	2688	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1.  This communication is responsive to amendment filed on 11/17/05.
- 2.  The allowed claim(s) is/are 1 and 3-10 have been renumbered to 1-4, 6-8, 5, 9 respectively.
- 3.  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a)  All     Some\*     None    of the:
    - 1.  Certified copies of the priority documents have been received.
    - 2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    - 3.  Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.


Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. **THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

- 4.  A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  - 5.  CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
    - (a)  including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
      - 1)  hereto or 2)  to Paper No./Mail Date \_\_\_\_\_.
    - (b)  including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
- 6.  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- 1.  Notice of References Cited (PTO-892)
- 2.  Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3.  Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
- 4.  Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5.  Notice of Informal Patent Application (PTO-152)
- 6.  Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
- 7.  Examiner's Amendment/Comment
- 8.  Examiner's Statement of Reasons for Allowance
- 9.  Other \_\_\_\_\_

CongVan Tran  
**CONGVAN TRAN**  
Primary Examiner  
Art Unit 2688

<b>Issue Classification</b> 	Application/Control No.	Applicant(s)/Patent under Reexamination	
	10/089,959	WALKE ET AL.	
Examiner	Art Unit		
CongVan Tran	2688		

ISSUE CLASSIFICATION										
ORIGINAL					CROSS REFERENCE(S)					
CLASS		SUBCLASS			CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)				
455		434			455	553.1	434.2			
INTERNATIONAL CLASSIFICATION					370	466	467			
H	0	4	Q	7/20						
				/						
				/						
				/						
				/						

<p>_____ (Assistant Examiner) (Date)</p> <p><i>[Signature]</i> 12/05/95 (Legal Instruments Examiner) (Date)</p>	<p><b>CONGVAN TRAN</b> <b>PRIMARY EXAMINER</b></p> <p>_____ (Primary Examiner) (Date)</p>	<p><b>Total Claims Allowed: 9</b></p> <table border="1" style="width: 100%;"> <tr> <td>O.G. Print Claim(s)</td> <td>O.G. Print Fig.</td> </tr> <tr> <td style="text-align: center;">1</td> <td style="text-align: center;">1</td> </tr> </table>	O.G. Print Claim(s)	O.G. Print Fig.	1	1
O.G. Print Claim(s)	O.G. Print Fig.					
1	1					

<input type="checkbox"/> Claims renumbered in the same order as presented by applicant										<input type="checkbox"/> CPA		<input type="checkbox"/> T.D.		<input type="checkbox"/> R.1.47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	31		61		91		121		151		181			
<del>2</del>	<del>2</del>	32		62		92		122		152		182			
2	3	33		63		93		123		153		183			
3	4	34		64		94		124		154		184			
4	5	35		65		95		125		155		185			
6	6	36		66		96		126		156		186			
7	7	37		67		97		127		157		187			
8	8	38		68		98		128		158		188			
5	9	39		69		99		129		159		189			
9	10	40		70		100		130		160		190			
<del>11</del>	<del>11</del>	41		71		101		131		161		191			
	12	42		72		102		132		162		192			
	13	43		73		103		133		163		193			
	14	44		74		104		134		164		194			
	15	45		75		105		135		165		195			
	16	46		76		106		136		166		196			
	17	47		77		107		137		167		197			
	18	48		78		108		138		168		198			
	19	49		79		109		139		169		199			
	20	50		80		110		140		170		200			
	21	51		81		111		141		171		201			
	22	52		82		112		142		172		202			
	23	53		83		113		143		173		203			
	24	54		84		114		144		174		204			
	25	55		85		115		145		175		205			
	26	56		86		116		146		176		206			
	27	57		87		117		147		177		207			
	28	58		88		118		148		178		208			
	29	59		89		119		149		179		209			
	30	60		90		120		150		180		210			

**Search Notes**



Application/Control No.

10/089,959

Examiner

CongVan Tran

Applicant(s)/Patent under Reexamination

WALKE ET AL.

Art Unit

2688

**SEARCHED**

Class	Subclass	Date	Examiner
455	434 435.2 438 414.4 432.2 207	12/1/2005	CT
	553.1 22		
	314		
370	395.52	12/2/2005	CT
	395.53		
	464-469		
	395.5		

**INTERFERENCE SEARCHED**

Class	Subclass	Date	Examiner
455	553 435.2	12/2/2005	CT
370	466 467		

**SEARCH NOTES  
(INCLUDING SEARCH STRATEGY)**

	DATE	EXMR
search updated	12/2/2005	CT



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 1142

SERIAL NUMBER 10/089,959	FILING DATE 04/04/2002  RULE	CLASS 455	GROUP ART UNIT 2688	ATTORNEY DOCKET NO. PHDE000238
-----------------------------	---------------------------------------	--------------	------------------------	--------------------------------------

APPLICANTS

Bernhard Walke, Wuerselen, GERMANY;

Stefan Mangold, Aachen, GERMANY;

\*\* CONTINUING DATA \*\*\*\*\* *Yes* \*\*\*\*\*  
 This application is a 371 of PCT/EP01/09258 08/08/2001

\*\* FOREIGN APPLICATIONS \*\*\*\*\* *Yes* \*\*\*\*\*  
 GERMANY 10039532.5 08/08/2000

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY GERMANY	SHEETS DRAWING 3	TOTAL CLAIMS 11	INDEPENDENT CLAIMS 3
Verified and Acknowledged	Examiner's Signature <i>CS</i> Initials <i>CS</i>				

ADDRESS  
 24737  
 PHILIPS INTELLECTUAL PROPERTY & STANDARDS  
 P.O. BOX 3001  
 BRIARCLIFF MANOR , NY  
 10510

TITLE  
 Method, network and control station for the two-way alternate control of radio systems of different standards in the same frequency band

FILING FEE RECEIVED 1440	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue )
-----------------------------	---	--

**Index of Claims**



Application/Control No.

10/089,959

Examiner

CongVan Tran

Applicant(s)/Patent under Reexamination

WALKE ET AL.

Art Unit

2688

√	Rejected
=	Allowed

-	(Through numeral) Cancelled
+	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

Claim		Date						
Final	Original	12/2/05						
1	1	=						
	2							
2	3	=						
3	4	=						
4	5	=						
6	6	=						
7	7	=						
8	8	=						
5	9	=						
9	10	=						
	11							
	12							
	13							
	14							
	15							
	16							
	17							
	18							
	19							
	20							
	21							
	22							
	23							
	24							
	25							
	26							
	27							
	28							
	29							
	30							
	31							
	32							
	33							
	34							
	35							
	36							
	37							
	38							
	39							
	40							
	41							
	42							
	43							
	44							
	45							
	46							
	47							
	48							
	49							
	50							

Claim		Date						
Final	Original							
	51							
	52							
	53							
	54							
	55							
	56							
	57							
	58							
	59							
	60							
	61							
	62							
	63							
	64							
	65							
	66							
	67							
	68							
	69							
	70							
	71							
	72							
	73							
	74							
	75							
	76							
	77							
	78							
	79							
	80							
	81							
	82							
	83							
	84							
	85							
	86							
	87							
	88							
	89							
	90							
	91							
	92							
	93							
	94							
	95							
	96							
	97							
	98							
	99							
	100							

Claim		Date						
Final	Original							
	101							
	102							
	103							
	104							
	105							
	106							
	107							
	108							
	109							
	110							
	111							
	112							
	113							
	114							
	115							
	116							
	117							
	118							
	119							
	120							
	121							
	122							
	123							
	124							
	125							
	126							
	127							
	128							
	129							
	130							
	131							
	132							
	133							
	134							
	135							
	136							
	137							
	138							
	139							
	140							
	141							
	142							
	143							
	144							
	145							
	146							
	147							
	148							
	149							
	150							



RECEIVED  
CENTRAL FAX CENTER

NOV 17 2005

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 1 of 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Atty. Docket:

WALKE ET AL.

DE 000238

Serial No.: 10/089,959

Group Art Unit: 2688

Filed: April 4, 2002

Examiner: C. Tran

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY  
ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT  
STANDARDS IN THE SAME FREQUENCY BAND

Commissioner for Patents  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION

I certify that this correspondence is being:

deposited with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

transmitted by facsimile to the U.S. Patent and Trademark Office AT 571-273-8300

On: Nov. 17, 2005

By: Eliana DeLucy

A M E N D M E N T

Sir:

In response to the office action dated November 1, 2005,  
please amend the application as follows:

N:\UserPublic\GR\DE\DE000238\_amd\_11-18-05.doc<sup>1</sup>

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 2 of 7

IN THE CLAIMS:

Please amend the claims as follows:

1. (Currently amended) An interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band,

wherein the control station controls the access to the common frequency band for stations working in accordance with the first radio interface standard and renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

2. (Cancelled).

3. (Previously presented) The method as claimed in claim 1, wherein the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

N:\UserPublic\GR\DE\DE000238\_amd\_11-18-05.doc<sup>2</sup>

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 3 of 7

4. (Previously presented) The method as claimed in claim 1, wherein the control station sends a broadcast signal informing the stations of a time duration in which the common frequency band can be used by stations working in accordance with the second radio interface standard.

5. (Previously presented) The method as claimed in claim 3, wherein the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. (Currently amended) ~~The method as claimed in claim 1~~An interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and  
a control station which controls the alternate use of the frequency band,

wherein the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking account of resulting interference in stations working in accordance with the second radio interface

N:\UserPublic\GR\DE\DE000238\_and\_11-18-05.do<sup>2</sup>

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 4 of 7

standard.

7. (Currently amended) ~~The method as claimed in claim 1~~An interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band,

wherein the control station controls the access to the common frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

N:\UserPublic\GR\DE\DE000238\_amd\_11-18-05.doc

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 5 of 7

8. (Currently amended) ~~The method as claimed in claim 1~~An interface-control protocol method for a radio system which has at least one common frequency band that is provided for alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and  
a control station which controls the alternate use of the frequency band,

wherein the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. (Previously presented) The method as claimed in claim 1, wherein the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

N:\UserPublic\GR\DE\DE000238\_amd\_11-18-05.doc<sup>5</sup>

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 6 of 7

10. (Currently amended) A wireless network comprising at least one common frequency band provided for alternate use by a first and a second radio interface standard, the wireless network comprising:

stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, and

a control station which controls the alternate use of the common frequency band,

wherein the control station controls the access to the common frequency band for stations working in accordance with the first radio interface standard and renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

11. (Cancelled).

N:\UserPublic\GR\DE\DE000238\_amd\_11-18-05.doc

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 7 of 7

R E M A R K S

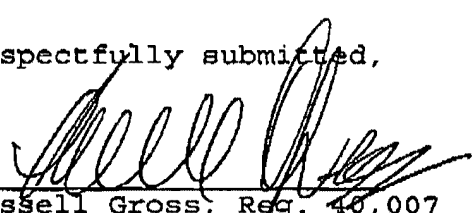
It is noted with great appreciation that the Examiner has found allowable subject matter in Claims 2 and 5-8.

Herein, the subject matter of cancelled claim 2 has been incorporated in Claims 1 and 10. Claims 6-8 have also been rewritten in independent form. Further, Claim 11 has been cancelled.

In view of the above, it is respectfully submitted that the present application is in condition for allowance. Therefore, entry of this Amendment is respectfully requested so that the present application may proceed to issue.

The Commissioner is hereby authorized to credit any overpayment or charge any fee (except the issue fee) to Account No. 14-1270.

Respectfully submitted,

  
By \_\_\_\_\_  
Russell Gross, Reg. 40,007  
Attorney  
(914) 333-9631

N:\UserPublic\GR\DE\DE000238\_amd\_11-18-05.doc

RECEIVED  
CENTRAL FAX CENTER

NOV 17 2005

<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b>  To Commissioner For Patents Please enter the following submission and withdraw the finality of the proceeding office action or withdraw any pending appeal and reopen prosecution before the Examiner.	<i>Application Number</i>	10/114,505
	<i>Filing Date</i>	April 2, 2002
	<i>First Named Inventor</i>	Rakish Taori
	<i>Group Art Unit</i>	2655
	<i>Examiner Name</i>	Huyen X. Vo
	<i>Attorney Docket Number</i>	NL010234

This is an RCE under 37 C.F.R. § 1.114 of the above-identified application (which is made prior to: payment of issue fee; abandonment; notice of appeal to the CAFC; or commencement of civil action under 35 U.S.C. 145 or 146.)

1. Submission required under 37 C.F.R. § 1.114

a.  Previously submitted

i.  Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on November 8, 2005  
(Any unentered amendment(s) referred to above will be entered).

ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii.  Other \_\_\_\_\_

b.  Enclosed

i.  Amendment/Reply

ii.  Affidavit(s)/Declaration(s)

iii.  Information Disclosure Statement (IDS)

iv.  Other \_\_\_\_\_ (may not be a brief)

2. Miscellaneous

a.  Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (May not exceed 3 months; Fee required per 37 C.F.R. § 1.117(i))

b.  Other \_\_\_\_\_

3. Fees

a.  The Commissioner For Patents is hereby authorized to charge all required fees except the issue fee or credit any overpayments, to Deposit Account No. 14-1270

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

<i>Name (Print Type)</i>	Russell Gross	<i>Registration No. (Attorney/Agent)</i>	40,007
<i>Signature</i>		<i>Date</i>	11/17/05

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office tel#: 571-273-8300 on the date below:

<i>Name (Print Type)</i>	Elissa DeLuccy	<i>Date</i>	11/17/05
<i>Signature</i>			



United States Patent and Trademark Office  
- Sales Receipt -

11/30/2005 LBADIE 00000001 141270 10089959

01 FC:1201 400.00 DA

RECEIVED  
CENTRAL FAX CENTER

NOV 17 2005

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 1 of 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Atty. Docket:
WALKE ET AL.	DE 000238
Serial No.: 10/089,959	Group Art Unit: 2688
Filed: April 4, 2002	Examiner: C. Tran
Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND	

Commissioner for Patents  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION
I certify that this correspondence is being: <input type="checkbox"/> deposited with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. <input checked="" type="checkbox"/> transmitted by facsimile to the U.S. Patent and Trademark Office AT 571-273-8300  On: <u>Nov. 17, 2005</u> By: <u>Eliasa DeLucy</u>

A M E N D M E N T

Sir:

In response to the office action dated November 1, 2005,  
please amend the application as follows:

N:\UserPublic\GR\DE\08000238\_amd\_11-18-05.doc

U.S. Serial No. 10/089,959  
Attorney Docket No. DE000238  
Page 7 of 7

R E M A R K S

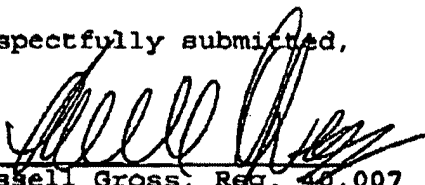
It is noted with great appreciation that the Examiner has found allowable subject matter in Claims 2 and 5-8.

Herein, the subject matter of cancelled claim 2 has been incorporated in Claims 1 and 10. Claims 6-8 have also been rewritten in independent form. Further, Claim 11 has been cancelled.

In view of the above, it is respectfully submitted that the present application is in condition for allowance. Therefore, entry of this Amendment is respectfully requested so that the present application may proceed to issue.

The Commissioner is hereby authorized to credit any overpayment or charge any fee (except the issue fee) to Account No. 14-1270.

Respectfully submitted,

  
By \_\_\_\_\_  
Russell Gross, Reg. 46,007  
Attorney  
(914) 333-9631

N:\UserPublic\GR\DE\DR000238\_amd\_11-18-05.doc

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD					Application or Docket Number <b>10/089959</b>			
Substitute for Form PTO-875								
APPLICATION AS FILED - PART I			SMALL ENTITY		OR OTHER THAN SMALL ENTITY			
(Column 1)		(Column 2)						
FOR	NUMBER FILED	NUMBER EXTRA		RATE (\$)	FEE (\$)	RATE (\$)	FEE (\$)	
BASIC FEE (37 CFR 1.16(a), (b), or (c))								
SEARCH FEE (37 CFR 1.16(k), (l), or (m))								
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))								
TOTAL CLAIMS (37 CFR 1.16(i))		minus 20 =		X =		X =		
INDEPENDENT CLAIMS (37 CFR 1.16(h))		minus 3 =		X =		X =		
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))								
* If the difference in column 1 is less than zero, enter "0" in column 2.								
APPLICATION AS AMENDED - PART II				SMALL ENTITY		OR OTHER THAN SMALL ENTITY		
(Column 1)		(Column 2)		(Column 3)				
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.16(o))	9	Minus	20	=		X =	
	Independent (37 CFR 1.16(h))	5	Minus	3	=		X =	400.00
	Application Size Fee (37 CFR 1.16(s))							
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
TOTAL ADD'L FEE								
(Column 1)		(Column 2)		(Column 3)				
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.16(o))		Minus	**	=		X =	
	Independent (37 CFR 1.16(h))		Minus	***	=		X =	
	Application Size Fee (37 CFR 1.16(s))							
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
TOTAL ADD'L FEE								
<p>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.</p> <p>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".</p> <p>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".</p> <p>The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.</p>								

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142

24737 7590 11/01/2005  
PHILIPS INTELLECTUAL PROPERTY & STANDARDS  
P.O. BOX 3001  
BRIARCLIFF MANOR, NY 10510

EXAMINER

TRAN, CONGVAN

ART UNIT PAPER NUMBER

2688

DATE MAILED: 11/01/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

<b>Application No.</b> 10/089,959	<b>Applicant(s)</b> WALKE ET AL.	
<b>Examiner</b> CongVan Tran	<b>Art Unit</b> 2688	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on \_\_\_\_\_.
- 2a)  This action is FINAL.
- 2b)  This action is non-final.
- 3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4)  Claim(s) 1-11 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5)  Claim(s) \_\_\_\_\_ is/are allowed.
- 6)  Claim(s) 1,3,4 and 9-11 is/are rejected.
- 7)  Claim(s) 2 and 5-8 is/are objected to.
- 8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9)  The specification is objected to by the Examiner.
- 10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5)  Notice of Informal Patent Application (PTO-152)
- 6)  Other: \_\_\_\_\_

### DETAILED ACTION

1. This office action is response to Amendment after final filed Aug. 19, 2005.
2. **Examiner** has been called **Applicant's representative** twice on Oct. 21 and Oct. 26, 2005 to expedite the case. However, Examiner received no response.

#### ***Continued Examination Under 37 CFR 1.114***

3. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on Aug. 24, 2005 has been entered.

#### ***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1, 3-4, 9-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Sayers et al. (6,687,243).

Regarding claims 1, 3-4, 9-11, Sayers discloses a method and apparatus for integrated wireless communications in private and public network environments,

Art Unit: 2688

comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band (see fig.1, elements 11s, 14, 15, 24s 29, col.4, line 66-col.5, line 67, and its description).

6. Claims 1, 3-4, 9-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Pecen et al. (6,631,259).

Regarding claims 1, 3-4, 9-11, Sayers discloses a method and apparatus for integrated wireless communications in private and public network environments, comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and a control station which controls the alternate use of the frequency band (see figs.1-2, elements 106, 108, 110, 112, col.1, lines 54-65, col.2, lines 49-59, and its description).

#### ***Allowable Subject Matter***

7. Claims 2, 5-8 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 571-272-7871. The examiner can normally be reached on Monday-Thursday.



Art Unit: 2688

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, George Eng can be reached on 571-272-7495. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
CONGVAN TRAN  
PRIMARY EXAMINER

CongVan Tran  
Primary Examiner  
Art Unit 2688

Oct. 27, 2005.

<b>Notice of References Cited</b>	Application/Control No. 10/089,959	Applicant(s)/Patent Under Reexamination WALKE ET AL.	
	Examiner CongVan Tran	Art Unit 2688	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
A	US-6,631,259 B2	10-2003	Pecen et al.	455/426.1
B	US-6,687,243 B1	02-2004	Sayers et al.	370/356
C	US-6,735,452 B1	05-2004	Foster et al.	455/562.1
D	US-6,754,200 B1	06-2004	Nishimura et al.	370/349
E	US-6,310,866 B1	10-2001	Kronstedt et al.	370/330
F	US-5,239,662 A	08-1993	Danielson et al.	709/246
G	US-			
H	US-			
I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

**FOREIGN PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
N					
O					
P					
Q					
R					
S					
T					

**NON-PATENT DOCUMENTS**

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
U	
V	
W	
X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

**Index of Claims**



Application/Control No.

10/089,959

Examiner

CongVan Tran

Applicant(s)/Patent under Reexamination

WALKE ET AL.

Art Unit

2688

√	Rejected
=	Allowed

-	(Through numeral) Cancelled
+	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

Claim		Date			
Final	Original	10/26/05			
	1	√			
	2	O			
	3	√			
	4	√			
	5	O			
	6	O			
	7	O			
	8	O			
	9	√			
	10	√			
	11	√			
	12				
	13				
	14				
	15				
	16				
	17				
	18				
	19				
	20				
	21				
	22				
	23				
	24				
	25				
	26				
	27				
	28				
	29				
	30				
	31				
	32				
	33				
	34				
	35				
	36				
	37				
	38				
	39				
	40				
	41				
	42				
	43				
	44				
	45				
	46				
	47				
	48				
	49				
	50				

Claim		Date			
Final	Original				
	51				
	52				
	53				
	54				
	55				
	56				
	57				
	58				
	59				
	60				
	61				
	62				
	63				
	64				
	65				
	66				
	67				
	68				
	69				
	70				
	71				
	72				
	73				
	74				
	75				
	76				
	77				
	78				
	79				
	80				
	81				
	82				
	83				
	84				
	85				
	86				
	87				
	88				
	89				
	90				
	91				
	92				
	93				
	94				
	95				
	96				
	97				
	98				
	99				
	100				

Claim		Date			
Final	Original				
	101				
	102				
	103				
	104				
	105				
	106				
	107				
	108				
	109				
	110				
	111				
	112				
	113				
	114				
	115				
	116				
	117				
	118				
	119				
	120				
	121				
	122				
	123				
	124				
	125				
	126				
	127				
	128				
	129				
	130				
	131				
	132				
	133				
	134				
	135				
	136				
	137				
	138				
	139				
	140				
	141				
	142				
	143				
	144				
	145				
	146				
	147				
	148				
	149				
	150				

RECEIVED  
CENTRAL FAX CENTER

AUG 19 2005

<p align="center"><b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b></p> <p>To Commissioner For Patents Please enter the following submission and withdraw the finality of the proceeding office action or withdraw any pending appeal and reopen prosecution before the Examiner.</p>	Application Number	10/089,959
	Filing Date	April 4, 2002
	First Named Inventor	Walke
	Group Art Unit	2683
	Examiner Name	Congvan Tran
	Attorney Docket Number	DE000238

This is an RCE under 37 C.F.R. § 1.114 of the above-identified application (which is made prior to: payment of issue fee; abandonment; notice of appeal to the CAFC; or commencement of civil action under 35 U.S.C. 145 or 146.)

1. **Submission required under 37 C.F.R. § 1.114**

- a.  Previously submitted
  - i.  Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on July 12, 2005  
(Any unentered amendment(s) referred to above will be entered).
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - iii.  Other \_\_\_\_\_
- b.  Enclosed
  - i.  Amendment/Reply
  - ii.  Affidavit(s)/Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other \_\_\_\_\_ (may not be a brief)

08/23/2005 KBETEMA1 00000041 141270 10089959  
01 FC:1801 790.00 DA

RECEIVED  
OICE/IAP

2. **Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (May not exceed 3 months; Fee required per 37 C.F.R. § 1.117(i))
- b.  Other \_\_\_\_\_

AUG 24 2005

3. **Fees**

- a.  The Commissioner For Patents is hereby authorized to charge all required fees except the issue fee or credit any overpayments, to Deposit Account No. 14-1270

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print Type)	Russell Gross	Registration No. (Attorney/Agent)	40,007
Signature	<i>Russell Gross</i>	Date	8/19/05

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office tel#: 571-273-8300 on the date below:

Name (Print Type)	Elissa DeLuccy	Date	Aug. 19, 2005
Signature	<i>Elissa DeLuccy</i>		



UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bernhard Walke et al.  
 SERIAL NO.: 10/089,959 EXAMINER: CongVan Tran  
 FILED: April 4, 2002 ART UNIT: 2683  
 FOR: METHOD, NETWORK AND CONTROL STATION FOR THE  
 TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS  
 OF DIFFERENT STANDARDS IN THE SAME FREQUENCY  
 BAND

*JKT*  
*ZTW*  
 OK to  
 enter  
 per  
 RCE  
 Hg  
 8/30/05

Mail Stop AF  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**AMENDMENT AFTER FINAL REJECTION**

Dear Sir:

In response to the Final Office Action dated May 25, 2005, the Applicant hereby requests amendment of the above-identified application as follows:



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142

24737 7590 08/10/2005

PHILIPS INTELLECTUAL PROPERTY & STANDARDS  
P.O. BOX 3001  
BRIARCLIFF MANOR, NY 10510

EXAMINER

TRAN, CONGVAN

ART UNIT	PAPER NUMBER
2683	

2683

DATE MAILED: 08/10/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Advisory Action Before the Filing of an Appeal Brief</b>	<b>Application No.</b> 10/089,959	<b>Applicant(s)</b> WALKE ET AL.	
	<b>Examiner</b> CongVan Tran	<b>Art Unit</b> 2683	

**--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

THE REPLY FILED 15 July 2005 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.

1.  The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:

- a)  The period for reply expires \_\_\_\_\_ months from the mailing date of the final rejection.  
b)  The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**NOTICE OF APPEAL**

2.  The Notice of Appeal was filed on \_\_\_\_\_. A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

**AMENDMENTS**

3.  The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because  
(a)  They raise new issues that would require further consideration and/or search (see NOTE below);  
(b)  They raise the issue of new matter (see NOTE below);  
(c)  They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or  
(d)  They present additional claims without canceling a corresponding number of finally rejected claims.

NOTE: *The newly added limitations raise new issue that would require consideration and /or search.* (See 37 CFR 1.116 and 41.33(a)).

4.  The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).  
5.  Applicant's reply has overcome the following rejection(s): \_\_\_\_\_.  
6.  Newly proposed or amended claim(s) \_\_\_\_\_ would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s).  
7.  For purposes of appeal, the proposed amendment(s): a)  will not be entered, or b)  will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.  
The status of the claim(s) is (or will be) as follows:  
Claim(s) allowed: \_\_\_\_\_  
Claim(s) objected to: \_\_\_\_\_  
Claim(s) rejected: 1-11.  
Claim(s) withdrawn from consideration: \_\_\_\_\_

**AFFIDAVIT OR OTHER EVIDENCE**

8.  The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).  
9.  The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).  
10.  The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.

**REQUEST FOR RECONSIDERATION/OTHER**

11.  The request for reconsideration has been considered but does NOT place the application in condition for allowance because: \_\_\_\_\_  
12.  Note the attached Information Disclosure Statement(s). (PTO/SB/08 or PTO-1449) Paper No(s). \_\_\_\_\_  
13.  Other: \_\_\_\_\_

**CONGVAN TRAN**  
  
**PRIMARY EXAMINER**

CongVan Tran  
Primary Examiner  
Art Unit: 2683



AF  
ZPW

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bernhard Walke et al.  
SERIAL NO.: 10/089,959 EXAMINER: CongVan Tran  
FILED: April 4, 2002 ART UNIT: 2683  
FOR: METHOD, NETWORK AND CONTROL STATION FOR THE  
TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS  
OF DIFFERENT STANDARDS IN THE SAME FREQUENCY  
BAND

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AFTER FINAL REJECTION**

Dear Sir:

In response to the Final Office Action dated May 25, 2005, the Applicant hereby requests amendment of the above-identified application as follows:



**IN THE CLAIMS:**

**Kindly replace the claims of record with the following full set of claims:**

1. (Currently amended) An interface-control protocol method for a radio system which has at least one common frequency band that is provided for [[the]] alternate use by a first and a second radio interface standard, the radio system comprising:

stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, and

a control station ~~being provided~~ which controls the alternate use of the frequency band.

2. (Currently amended) The method as claimed in claim 1, wherein the control station controls the access to the common frequency band for stations working in accordance with the first radio interface standard and ~~in that the control station~~ renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. (Previously presented) The method as claimed in claim 1, wherein the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. (Currently amended) The method as claimed in claim 1, wherein the control station sends a broadcast signal informing the stations of a time duration in which the common frequency band can be used by stations working in accordance with the second radio interface standard.

5. (Previously presented) The method as claimed in claim 3, wherein the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. (Previously presented) The method as claimed in claim 1, wherein the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking account of resulting interference in stations working in accordance with the second radio interface standard.

7. (Currently amended) The method as claimed in claim 1, wherein the control station controls the access to the common frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

8. (Previously presented) The method as claimed in claim 1, wherein the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. (Previously presented) The method as claimed in claim 1, wherein the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

10. (Currently amended) A wireless network comprising at least one common frequency band provided for [[the ]] alternate use by a first and a second radio interface standard, the wireless network comprising:

stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, and

a control station ~~being provided~~ which controls the alternate use of the common frequency band.

11. (Currently amended) A control station for a wireless network, the control station ~~being provided for~~ controlling the alternate use of a common frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio interface standard.

## REMARKS

Entry of this Amendment and reconsideration are respectfully requested in view of the amendments made to the claims and for the remarks made herein.

Claims 1 - 11 are pending and stand rejected. Claims 1, 2, 4, 7, 10 and 11 have been amended.

Claims 1-11 stand rejected under 35 USC 102(e) as allegedly being anticipated by Ala-Laurila (USP no. 6,587,680).

Applicant respectfully disagrees with, and explicitly traverses, the reason for rejecting the claims. However, in the interest of advancing the prosecution of this matter, independent claims 1, 10 and 11 have been amended to more clearly state the invention.

More specifically, claims 1, 10 and 11 have been amended to recite that a common frequency band is utilized for alternate use by a first or a second interface protocol. No new matter has been added. Support for the amendment may be found on at least page 3, lines 13-14, which state in part, "a control station is provided which controls the alternate use of the common frequency band of the two radio interface standards."

Ala-Laurila, on the other hand, discloses the re-establishment of a security association when a communication handover event occurs in a radio communication system such as IEEE 802.11 [sic] or a HIPERLAN, wherein the existing security association is maintained when the communication handover occurs. (see Abstract).

Contrary to the statements found in the Office Action, Ala-Laurila fails to describe "at least one common frequency band that is provided for alternate use by a first and a second radio interface standard," as is described in claim 1. Rather, Ala-Laurila teaches a system that uses either one interface or another interface based on the devices requesting service. See for example, col. 3, line 54 -col. 4, line 5, which state, in part, "[p]roprietary functions have been proposed with permit improved quality of communications as compared to operation pursuant to the existing IEEE 802.11 standard... However, both ends of a communication pair ... must be capable of operation in the proprietary mode. If both ends ... are not together operable pursuant to the proprietary mode, conventional operation pursuant to the IEEE 802.11 standard is required." Hence, Ala-Laurila

discloses a method where either one interface or another is used based on the interface of the communication devices and further fails to disclose a common frequency band for the alternate use of the first and second interface.

A claim is anticipated only if each and every element recited therein is expressly or inherently described in a single prior art reference. Ala-Laurila cannot be said to anticipate the present invention, because Ala-Laurila fails to disclose each and every element recited.

Applicant, accordingly, submits that the reason for the rejection of claim 1 has been overcome and can no longer be sustained. Applicant respectfully requests withdrawal of the rejection and allowance of the claim.

With regard to independent claims 10 and 11, these claims recite subject matter similar to that recited in claim 1 and were rejected for the same reason used in rejecting claim 1. Thus, for the amendments made to these claims, which are similar to the amendments made with regard to claim 1, and for the remarks made in response to the rejection of claim 1, which are also applicable in response to the rejection of claims 10 and 11, and are reasserted, as if in full, herein, in response to the rejection of claims 10 and 11, applicant submits that the reason for rejecting these claims has been overcome and the rejection can no longer be sustained. Applicant respectfully requests withdrawal of the rejection and allowance of the claims.

With regard to the remaining claims these claims ultimately depend from the independent claim 1, which has been shown to contain subject matter not disclosed by, and, hence, allowable over, the reference cited. Accordingly, these claims are also allowable by virtue of their dependency from an allowable base claim.

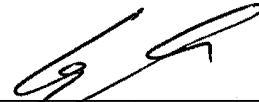
Applicant, accordingly, respectfully requests withdrawal of the rejection and allowance of the claims.

Although the last Office Action was made final, this amendment should be entered. No matter has been added to the claims that would require comparison with the prior art or any further review. Accordingly, pursuant to MPEP 714.13, applicant's amendments should only require a cursory review by the examiner. The amendment therefore should be entered without requiring a showing under 37 CFR 1.116(b).


For all the foregoing reasons, it is respectfully submitted that all the present claims are patentable in view of the cited references. A Notice of Allowance is respectfully requested.

Respectfully submitted,

Russell Gross  
Registration No. 40,007



Date: July 12, 2005

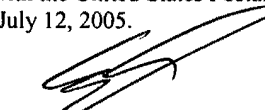
By:  Steve Cha  
Attorney for Applicant  
Registration No. 44,069

**Mail all correspondence to:**  
Russell Gross, Registration No. 40,007  
US PHILIPS CORPORATION  
P.O. Box 3001  
Briarcliff Manor, NY 10510-8001  
Phone: (914) 333-9624  
Fax: (914) 332-0615

**Certificate of Mailing Under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP on July 12, 2005.

Steve Cha, Reg. No. 44,069  
(Name of Registered Rep.)

  
(Signature and Date)

BEST AVAILABLE COPY

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD						Application or Docket Number <b>10/089959</b>					
Substitute for Form PTO-875											
<b>CLAIMS AS FILED - PART I</b>											
(Column 1)		(Column 2)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY			
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE					
BASIC FEE (37 CFR 1.16(a))				\$ _____		\$ _____					
TOTAL CLAIMS (37 CFR 1.16(c))	11	minus 20 =		X \$ _____		X \$ _____					
INDEPENDENT CLAIMS (37 CFR 1.16(b))	3	minus 3 =		X \$ _____		X \$ _____					
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))				+ \$ _____		+ \$ _____					
			TOTAL		TOTAL						
* If the difference in column 1 is less than zero, enter "0" in column 2.											
<b>CLAIMS AS AMENDED - PART II</b>											
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE			
	11	20	0	0	X \$ _____		X \$ _____				
	3	3	0	0	X \$ _____		X \$ _____				
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+ \$ _____		+ \$ _____			
					TOTAL ADD'L FEE		TOTAL ADD'L FEE				
2/25/04											
7/15/05											
<b>AMENDMENT 2</b>											
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE			
	11	20	0	0	X \$ _____		X \$ _____				
	3	3	0	0	X \$ _____		X \$ _____				
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+ \$ _____		+ \$ _____			
					TOTAL ADD'L FEE		TOTAL ADD'L FEE				
<b>AMENDMENT 3</b>											
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE			
	11	20	0	0	X \$ _____		X \$ _____				
	3	3	0	0	X \$ _____		X \$ _____				
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+ \$ _____		+ \$ _____			
					TOTAL ADD'L FEE		TOTAL ADD'L FEE				

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35-U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142

24737 7590 05/25/2005

PHILIPS INTELLECTUAL PROPERTY & STANDARDS  
P.O. BOX 3001  
BRIARCLIFF MANOR, NY 10510

EXAMINER

TRAN, CONGVAN

ART UNIT	PAPER NUMBER
2683	

2683

DATE MAILED: 05/25/2005

Please find below and/or attached an Office communication concerning this application or proceeding.



<b>Office Action Summary</b>	<b>Application No.</b> 10/089,959	<b>Applicant(s)</b> WALKE ET AL.	
	<b>Examiner</b> CongVan Tran	<b>Art Unit</b> 2683	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 25 February 2005.
- 2a)  This action is **FINAL**.                      2b)  This action is non-final.
- 3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4)  Claim(s) 1-11 is/are pending in the application.  
    4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5)  Claim(s) \_\_\_\_\_ is/are allowed.
- 6)  Claim(s) 1-11 is/are rejected.
- 7)  Claim(s) \_\_\_\_\_ is/are objected to.
- 8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9)  The specification is objected to by the Examiner.
- 10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
    a)  All    b)  Some \*    c)  None of:
1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| <p>1) <input type="checkbox"/> Notice of References Cited (PTO-892)</p> <p>2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)</p> <p>3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br/>         Paper No(s)/Mail Date _____</p> | <p>4) <input type="checkbox"/> Interview Summary (PTO-413)<br/>         Paper No(s)/Mail Date. _____</p> <p>5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)</p> <p>6) <input type="checkbox"/> Other: _____</p> |
|---|---|

## DETAILED ACTION

### *Response to Arguments*

1. In response to applicant's argument regarding claims 1, 10, and 11, that the reference fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "fails to teach, show or suggest a central station being provided to control the alternate access by a first wireless network and a second wireless network to the common frequency band, as specifically cited in base claims") are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Therefore, the previous rejection is sustained.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Ala-Laurila et al. (6,587,680).

Regarding claims 1, 10-11, Ala-Laurila discloses a communication system comprising stations which operate in accordance with a first radio interface standard

Art Unit: 2683

and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band (see fig.1, elements 12, 14, 28, col.6, lines 26-40 and its description).

Regarding claims 2-9, Ala-Laurila further discloses in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band (see fig.1, element 22, col.7, lines 31-45 and its description).

### ***Conclusion***

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 2683

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 571-272-7871. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Trost can be reached on 571-272-7872. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

**CONGVAN TRAN**  
**PRIMARY EXAMINER**

CongVan Tran  
Primary Examiner  
Art Unit 2683

May 18, 2005

**Index of Claims**



**Application No.**

10/089,959

**Examiner**

CongVan Tran

**Applicant(s)**

WALKE ET AL.

**Art Unit**

2683

√	Rejected
=	Allowed

-	(Through numeral) Cancelled
+	Restricted

N	Non-Elected
I	Interference

A	Appeal
O	Objected

Claim		Date		
Final	Original			
	1	5/18/05	√	
	2			
	3			
	4			
	5			
	6			
	7			
	8			
	9			
	10			
	11		√	
	12			
	13			
	14			
	15			
	16			
	17			
	18			
	19			
	20			
	21			
	22			
	23			
	24			
	25			
	26			
	27			
	28			
	29			
	30			
	31			
	32			
	33			
	34			
	35			
	36			
	37			
	38			
	39			
	40			
	41			
	42			
	43			
	44			
	45			
	46			
	47			
	48			
	49			
	50			

Claim		Date		
Final	Original			
	51			
	52			
	53			
	54			
	55			
	56			
	57			
	58			
	59			
	60			
	61			
	62			
	63			
	64			
	65			
	66			
	67			
	68			
	69			
	70			
	71			
	72			
	73			
	74			
	75			
	76			
	77			
	78			
	79			
	80			
	81			
	82			
	83			
	84			
	85			
	86			
	87			
	88			
	89			
	90			
	91			
	92			
	93			
	94			
	95			
	96			
	97			
	98			
	99			
	100			

Claim		Date		
Final	Original			
	101			
	102			
	103			
	104			
	105			
	106			
	107			
	108			
	109			
	110			
	111			
	112			
	113			
	114			
	115			
	116			
	117			
	118			
	119			
	120			
	121			
	122			
	123			
	124			
	125			
	126			
	127			
	128			
	129			
	130			
	131			
	132			
	133			
	134			
	135			
	136			
	137			
	138			
	139			
	140			
	141			
	142			
	143			
	144			
	145			
	146			
	147			
	148			
	149			
	150			

RECEIVED  
CENTRAL FAX OFFICE  
MAR 18 2005

Please type a plus sign (+) inside this box →

PTO/SB/122 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p align="center"><b>CHANGE OF CORRESPONDENCE ADDRESS Application</b></p> <p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p>	<b>Application Number</b>	10/089,959
	<b>Filing Date</b>	April 4, 2002
	<b>First Named Inventor</b>	Bernhard Walke
	<b>Group Art Unit</b>	2683
	<b>Examiner Name</b>	Congvan Tran
	<b>Attorney Docket Number</b>	DE000238

Please change the Correspondence Address for the above-identified application to:

Customer Number  → **\*24737\***

*Type Customer Number here*

  
PATENT TRADEMARK OFFICE

Firm or Individual Name

Address

Address

City State ZIP

Country

Telephone Fax

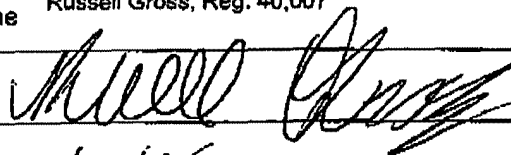
This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the :

- Applicant.
- Assignee of record of the entire interest. Certificate under 37 CFR 3.73(b) is enclosed.
- Attorney or agent of record.
- Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed Name Russell Gross, Reg. 40,007

Signature



Date

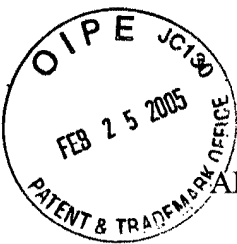
3/10/05

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

\*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

2683 890



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Bernhard Walke et al.

SERIAL NO.: 10/089,959 EXAMINER: Congvan Tran

FILED: April 4, 2002 ART UNIT: 2683

FOR: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA. 22313-1450

AMENDMENT

Dear Sir:

In response to the Office Action dated September 22, 2004, the Applicants hereby petitions for a two-month extension of time and requests amendment of the above-identified application as follows:

**IN THE CLAIMS:**

1. (Original) An interface-control protocol method for a radio system which has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

2. (Currently Amended) ~~A The method as claimed in claim 1, characterized in that~~ wherein the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. (Currently Amended) ~~A The method as claimed in claim 1, characterized in that~~ wherein the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. (Currently Amended) ~~A The method as claimed in claim 1, characterized in that~~ wherein the control station sends a broadcast signal informing the stations of a time duration in which the frequency band can be used by stations working in accordance with the second radio interface standard.



5. (Currently Amended) ~~A~~ The method as claimed in claim 3, ~~characterized in that~~ wherein the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. (Currently Amended) ~~A~~ The method as claimed in claim 1, ~~characterized in that~~ wherein the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking account of resulting interference in stations working in accordance with the second radio interface standard.

7. (Currently Amended) ~~A~~ The method as claimed in claim 1, ~~characterized in that~~ wherein the control station controls the access to the frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

8. (Currently Amended) ~~A~~ The method as claimed in claim 1, ~~characterized in that~~ wherein the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. (Currently Amended) ~~A~~ The method as claimed in claim 1, ~~characterized in that~~ wherein the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

10. (Original) A wireless network comprising at least one frequency band provided for the alternate use by a first and a second radio interface standard, the wireless network comprising stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

11. (Original) A control station for a wireless network, the control station being provided for controlling the alternate use of a frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio interface standard.

**REMARKS**

Claims 1-11 are pending in the application. Claims 2-9 have been amended to put them in better form.

Reconsideration of all grounds of rejection in the Office Action, and allowance of all of the pending claims are respectfully requested in light of the following remarks.

Base claim 1, 10, and 11 stand rejected under 35 U.S.C. §102(e) as allegedly anticipated by Ala-Laurila et al. (U.S. 6,587,680). The Office Action indicates that features cited in the base claims are shown in Ala-Laurila et al. by citing elements 12, 14 and 28 of FIG. 1 and element 22 of FIG. 1 and its description at Col. 7, lines 31-45.

The features recited in base claims provide significant advantages to make efficient use of radio transmission channels. The invention allows different radio systems to coexist and to simultaneously transmit very close together in the same spectrum, by providing a control station to control the alternate use of the frequency band, as recited in base claims. More specifically, the central station informs a first wireless network device when and how long it is allowed to utilize the common frequency band when the second network device is not transmitting (Page 7, lines 14-20).

Ala-Laurila et al, as read by applicant, relates to a method/apparatus for re-establishing an existing security association during a handover from an old access point to a new access point in a radio communications system such as an IEEE 802.11 or a HIPERLAN. Operation cited in Ala-Laurila et al. increases handover performance, and minimize the delay associated with re-negotiating the security association between a new AP and a mobile terminal.

Ala-Laurila et al. fails to teach, show or suggest a central station being provided to control the alternate access by a first wireless network and a second wireless network to the common frequency band, as specifically cited in base claims. The Office Action is wrongly equating the coexistence of different radio networks in the present invention to the arrangement of FIG. 1 in Ala-Laurila et al. More specifically, the Office Action refers to a comparator 32 used to identify the operable-mode to mean that Ala-Laurila et al. supports two different networks (page 7, lines 31-45). As stated in the background section of Ala-Laurila et al (Column 3, lines 44-67), a determination is needed prior to permitting both ends of the communication pair, i.e., mobile unit and access point, to operate in either the proprietary mode or other conventional operation mode, such is IEEE 802.11 standard. Thus, the central unit 22 of Ala-Laurila et al. perform different function than the present invention.


It is well settled that a reference that does not teach or suggest all of the features of a claimed invention cannot anticipate that invention. Since Jackson does not teach or suggest all of the features of base claims, as recited above, applicant respectfully submits that these claims are allowable and patentable under 35 U.S.C. § 102.

Claims 2-9 in this application are each dependent from one or the other of base claims discussed above and are, therefore, believed allowable and patentable under 35 U.S.C. § 102 for the same reasons.

For all the foregoing reasons, it is respectfully submitted that all the present claims are patentable in view of the cited references. A Notice of Allowance is respectfully requested.

Respectfully submitted,

Russell Gross  
Registration No. 40,007

  
By: Steve Cha  
Attorney for Applicant  
Registration No. 44,069

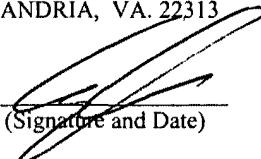
Date: February 22, 2005

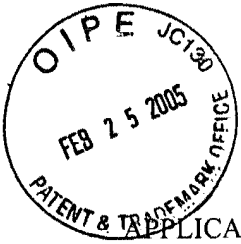
**Mail all correspondence to:**  
Russell Gross, Registration No. 40,007  
US PHILIPS CORPORATION  
P.O. Box 3001  
Briarcliff Manor, NY 10510-8001  
Phone: (914) 333-9608  
Fax: (914) 332-0615

**Certificate of Mailing Under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA. 22313 on February 22, 2005.

Steve Cha, Reg. No. 44,069  
(Name of Registered Rep.)

  
(Signature and Date)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT : Bernhard Walke et al.  
SERIAL NO. : 10/089,959 EXAMINER : Congvan Tran et al.  
FILED : April 4, 2002 ART UNIT : 2683  
FOR : METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY  
ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT  
STANDARDS IN THE SAME FREQUENCY BAND

**PETITION FOR TWO-MONTH EXTENSION OF TIME**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the period for responding to the Office Action, now set to expire on December 22, 2004, be extended by two (2) months, so as to expire on February 22, 2005.

As Applicants are associated with a large entity, a check in the amount of \$450.00 is enclosed to cover the two-month extension herein requested.

Favorable action on this Request for Extension of Time is courteously solicited.

Respectfully submitted,  
Russell Gross  
Registration No. 40,007

By: Steve Cha  
Attorney for Applicant  
Registration No. 44,069

Date: February 22, 2005

**Mail all correspondence to:**  
Russell Gross, Registration No. 40,007  
US PHILIPS CORPORATION  
P.O. Box 3001  
Briarcliff Manor, NY 10510-8001  
Phone: (914) 333-9624  
Fax: (914) 332-0615

**Certificate of Mailing Under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA. 22313 on February 22, 2005.

Steve Cha, Reg. No. 44,069  
(Name of Registered Rep.)

  
(Signature and Date)

02/26/2005 CCHAUI 00000040 10089959 450.00 DP  
01 FC-1252



UNITED STATES PATENT AND TRADEMARK OFFICE

51

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142

7590 09/22/2004  
Corporate Patent Counsel  
Philips Electronics North America Corporation  
Tarrytown, NY 10591

EXAMINER

TRAN, CONGVAN

ART UNIT PAPER NUMBER

2683

DATE MAILED: 09/22/2004

4

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED

OCT 26 2004

Technology Center 2600

**Office Action Summary**

<b>Application No.</b> 10/089,959	<b>Applicant(s)</b> WALKE ET AL.	
<b>Examiner</b> CongVan Tran	<b>Art Unit</b> 2683	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 22 June 2002.
- 2a)  This action is **FINAL**.
- 2b)  This action is non-final.
- 3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4)  Claim(s) 1-11 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5)  Claim(s) \_\_\_\_\_ is/are allowed.
- 6)  Claim(s) 1-11 is/are rejected.
- 7)  Claim(s) \_\_\_\_\_ is/are objected to.
- 8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9)  The specification is objected to by the Examiner.
- 10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 7/18/02.
- 4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5)  Notice of Informal Patent Application (PTO-152)
- 6)  Other: \_\_\_\_\_



**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Ala-Laurila et al. (6,587,680).

Regarding claims 1, 10-11, Ala-Laurila discloses a communication system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band (see fig.1, elements 12, 14, 28, col.6, lines 26-40 and its description).

Regarding claims 2-9, Ala-Laurila further discloses in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band (see fig.1, element 22, col.7, lines 31-45 and its description).

Art Unit: 2683

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 703-305-4024. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Trost can be reached on 703-308-5318. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
CONGVAN TRAN  
PRIMARY EXAMINER

CongVan Tran  
Examiner  
Art Unit 2683

TCU  
Sept. 17, 2004.

<b>Notice of References Cited</b>	Application/Control No. 10/089,959	Applicant(s)/Patent Under Reexamination WALKE ET AL.	
	Examiner CongVan Tran	Art Unit 2683	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
A	US-6,501,741	12-2002	Mikkonen et al.	370/310
B	US-6,587,680	07-2003	Ala-Laurila et al.	455/411
C	US-6,052,594	04-2000	Chuang et al.	455/450
D	US-6,580,700	06-2003	Pinard et al.	370/332
E	US-6,377,782	04-2002	Bishop et al.	455/3.01
F	US-6,792,286	09-2004	Bharath et al.	455/554.2
G	US-6,728,244	04-2004	Takabatake, Yoshiaki	370/392
H	US-			
I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

**FOREIGN PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
N					
O					
P					
Q					
R					
S					
T					

**NON-PATENT DOCUMENTS**

*	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
U	
V	
W	
X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.


  
 JUL 18 2002  
 PATENT & TRADEMARK OFFICE  
 C184

Form PTO-1449  
 U.S. DEPARTMENT OF COMMERCE  
 (REV. 7-80) PATENT AND TRADEMARK OFFICE

Atty. Docket No.  
 PHDE 000238

Serial No.  
 10/089,959

Applicant  
 BERNHARD WALKE ET AL

Filing Date  
 APRIL 4, 2002

Group  
 2681

RECEIVED

JUL 22 2002

Technology Center 2600

**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**


Ex. Int	Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.	
						Yes	No
a	AA 5 7 1 0 7 6 6	12/1995	SCHWENDEMAN	370	329		
	AB						
	AC						
	AD						
	AE						
	AF						

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Sub-class	Trans.	
						Yes	No
a	AG W 0 9 9 2 3 7 9 0	10/1998	PCT (WORLD)	H04L	12/28		X
a	AH 1 1 1 9 1 3 7 A 1	01/2000	EUROPE	H04L	12/28		X
	AI						
	AJ						
	AK						

**OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)**

AL	
AM	
AN	

Examiner 

Date Considered 9/15/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



UNITED STATES PATENT AND TRADEMARK OFFICE

51

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,959	04/04/2002	Bernhard Walke	PHDE000238	1142

7590 09/22/2004  
Corporate Patent Counsel  
Philips Electronics North America Corporation  
Tarrytown, NY 10591

EXAMINER

TRAN, CONGVAN

ART UNIT PAPER NUMBER

2683

DATE MAILED: 09/22/2004

A

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

<b>Application No.</b> 10/089,959	<b>Applicant(s)</b> WALKE ET AL.	
<b>Examiner</b> CongVan Tran	<b>Art Unit</b> 2683	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 22 June 2002.
- 2a)  This action is **FINAL**.
- 2b)  This action is non-final.
- 3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4)  Claim(s) 1-11 is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5)  Claim(s) \_\_\_\_\_ is/are allowed.
- 6)  Claim(s) 1-11 is/are rejected.
- 7)  Claim(s) \_\_\_\_\_ is/are objected to.
- 8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9)  The specification is objected to by the Examiner.
- 10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 7/18/02.
- 4)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5)  Notice of Informal Patent Application (PTO-152)
- 6)  Other: \_\_\_\_\_.

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-11 are rejected under 35 U.S.C. 102(e) as being anticipated by Ala-Laurila et al. (6,587,680).

Regarding claims 1, 10-11, Ala-Laurila discloses a communication system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band (see fig.1, elements 12, 14, 28, col.6, lines 26-40 and its description).

Regarding claims 2-9, Ala-Laurila further discloses in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band (see fig.1, element 22, col.7, lines 31-45 and its description).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CongVan Tran whose telephone number is 703-305-4024. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Trost can be reached on 703-308-5318. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
CONGVAN TRAN  
PRIMARY EXAMINER

CongVan Tran  
Examiner  
Art Unit 2683

TCU  
Sept. 17, 2004.



**Notice of References Cited**

Application/Control No.  
10/089,959

Applicant(s)/Patent Under  
Reexamination  
WALKE ET AL.

Examiner  
CongVan Tran

Art Unit  
2683

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,501,741	12-2002	Mikkonen et al.	370/310
	B	US-6,587,680	07-2003	Ala-Laurila et al.	455/411
	C	US-6,052,594	04-2000	Chuang et al.	455/450
	D	US-6,580,700	06-2003	Pinard et al.	370/332
	E	US-6,377,782	04-2002	Bishop et al.	455/3.01
	F	US-6,792,286	09-2004	Bharath et al.	455/554.2
	G	US-6,728,244	04-2004	Takabatake, Yoshiaki	370/392
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

BEST AVAILABLE COPY



Form PTO-1449 COMMERCE (REV. 7-80)	U.S. DEPARTMENT OF PATENT AND TRADEMARK OFFICE	Atty. Docket No. PHDE 000238	Serial No. 10/089,959
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		Applicant BERNHARD WALKE ET AL	
		Filing Date APRIL 4, 2002	Group 2681

**RECEIVED**  
JUL 22 2002  
Technology Center 2600

**U.S. PATENT DOCUMENTS**

Ex. Int	Document Number	Date	Name	Class	Sub-class	Filing Date If Approp.
a	AA 5 7 1 0 7 6 6	12/1995	SCHWENDEMAN	370	329	
	AB					
	AC					
	AD					
	AE					
	AF					

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Sub-class	Trans.	
						Yes	No
a	AG W O 9 9 2 3 7 9 0	10/1998	PCT (WORLD)	H04L	12/28		X
a	AH 1 1 1 9 1 3 7 A 1	01/2000	EUROPE	H04L	12/28		X
	AI						
	AJ						
	AK						

**OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)**

AL	
AM	
AN	

Examiner	Date Considered 9/16/04
----------	-------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Best Available Copy

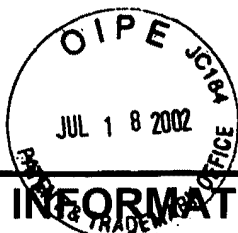
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD					Application or Docket Number <b>10/089959</b>						
CLAIMS AS FILED - PART I											
(Column 1)		(Column 2)			SMALL ENTITY		OR		OTHER THAN SMALL ENTITY		
FOR	NUMBER FILED	NUMBER EXTRA		RATE	FEE			RATE	FEE		
BASIC FEE (37 CFR 1.16(a))					\$ _____				\$ _____		
TOTAL CLAIMS (37 CFR 1.16(c))	11	minus 20 =	*	X \$ _____ =				X \$ _____ =			
INDEPENDENT CLAIMS (37 CFR 1.16(b))	3	minus 3 =	*	X \$ _____ =				X \$ _____ =			
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))					+\$ _____ =			+\$ _____ =			
					TOTAL			TOTAL			
* If the difference in column 1 is less than zero, enter "0" in column 2.											
CLAIMS AS AMENDED - PART II											
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY	
AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE			RATE	ADDITIONAL FEE	
2/25/04	Total (37 CFR 1.16(c))	-	20	=	X \$ _____ =				X \$ _____ =		
	Independent (37 CFR 1.16(b))	-	3	=	X \$ _____ =				X \$ _____ =		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+\$ _____ =			+\$ _____ =			
					TOTAL ADD'L FEE			TOTAL ADD'L FEE			
AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE			RATE	ADDITIONAL FEE	
	Total (37 CFR 1.16(c))	-	*	=	X \$ _____ =				X \$ _____ =		
	Independent (37 CFR 1.16(b))	-	***	=	X \$ _____ =				X \$ _____ =		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+\$ _____ =			+\$ _____ =			
					TOTAL ADD'L FEE			TOTAL ADD'L FEE			
AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE			RATE	ADDITIONAL FEE	
	Total (37 CFR 1.16(c))	-	**	=	X \$ _____ =				X \$ _____ =		
	Independent (37 CFR 1.16(b))	-	***	=	X \$ _____ =				X \$ _____ =		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					+\$ _____ =			+\$ _____ =			
					TOTAL ADD'L FEE			TOTAL ADD'L FEE			

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



2681 #3  
14  
7-25-02

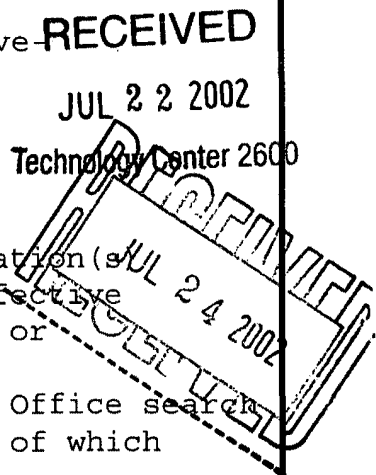
# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Application Number	10/089,959
Filing Date	APRIL 4, 2002
First Named Inventor	BERNHARD WALKE ET AL
Group Art Unit	2681
Examiner Name	
Attorney Docket Number	PHDE 000238

To Commissioner For Patents  
Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

These documents may be relevant in that they have been:

- considered in drafting the specification of the above-referenced application;
- cited in the specification of the above-referenced application;
- previously submitted or cited in U.S. patent application(s) \_\_\_\_\_ which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copy required); or
- cited as an "X" or "Y" document in a foreign Patent Office search report on a foreign counterpart application, a copy of which report is also enclosed;
  - I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago;
  - otherwise a concise explanation of the relevance of each document is append hereto.
  - I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office nor was any known to any individual designated in §1.56(c) more than three (3) months ago.



### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	RUSSELL GROSS	Registration No. (Attorney/Agent)	40,007
Signature	<i>Russell Gross</i>	Date	7/11/02

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office tel# : \_\_\_\_\_ on the date below:

Name (Print Type)	EDNA CHAPA	Date	7/15/02
Signature	<i>Edna Chapa</i>		

PH DE  
000238 WO  
PCT

MAT.  
DOSSIER

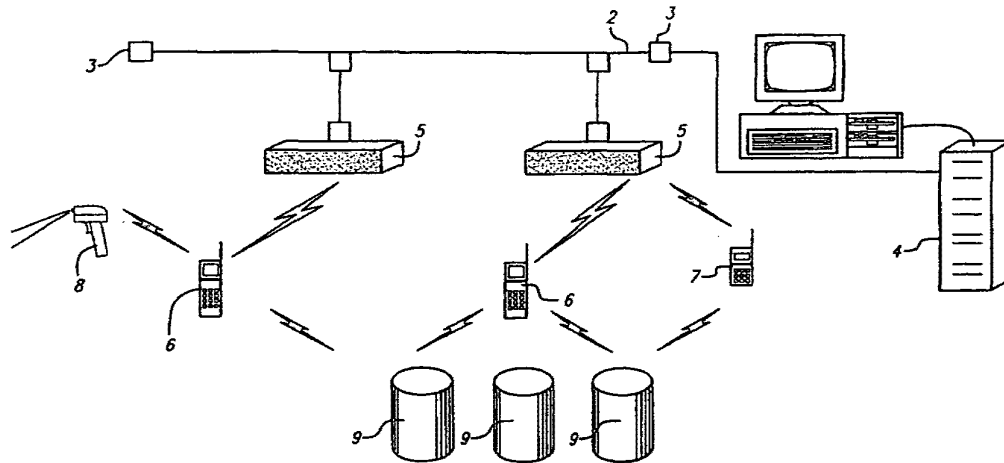
WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : H04L 12/28, H04B 1/69</p>	<p>A1</p>	<p>(11) International Publication Number: WO 99/23790 (43) International Publication Date: 14 May 1999 (14.05.99)</p>
<p>(21) International Application Number: PCT/US98/22969 (22) International Filing Date: 29 October 1998 (29.10.98) (30) Priority Data: 08/962,908 3 November 1997 (03.11.97) US (71) Applicant: INTERMEC IP CORP. [US/US]; 360 North Crescent Drive, Beverly Hills, CA 90210-4867 (US). (72) Inventors: PALMER, Brian, G.; 16525 N.E. 135th Place, Redmond, WA 98052 (US). JOVANOVIICH, Alan, F.; 22431 - 10th Avenue South, Des Moines, WA 98198 (US). (74) Agents: BERLINER, Brian, M. et al.; Graham &amp; James LLP, 14th floor, 801 S. Figueroa Street, Los Angeles, CA 90017-5554 (US).</p>		<p>(81) Designated States: JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: MULTI-MODE RADIO FREQUENCY NETWORK SYSTEM



(57) Abstract

A multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequency-hopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further comprise periodic beacon signals that define discrete time periods which further include a synchronous portion for communication of the narrowband signals and an asynchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and data collection devices adapted for communication with the first and second types of computing device over the narrowband frequency range.

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## MULTI-MODE RADIO FREQUENCY NETWORK SYSTEM

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates to computing devices coupled together into a wireless local area network, and more particularly, to a wireless local area network infrastructure that permits communication in plural modes to support both wideband spread spectrum and narrowband radio frequency signals.

#### 2. Description of Related Art

A wireless local area network (WLAN) comprises a plurality of remote computing devices which communicate together over radio frequency (RF) signals. As in a wired local area network (LAN), the WLAN allows users to seamlessly access disk drives, printers, and additional computer resources and systems connected to the WLAN. The remote computing devices include a radio receiver/transmitter adapted for RF communication with the other elements of the WLAN. The WLAN may also include a central host processing unit that sends information to and receives information from any one of the plurality of remotely disposed computing devices. The central host processor may also form part of a separate wired LAN to provide a bridge with the WLAN. In such a WLAN, the remote computing devices may comprise portable units that operate within a defined environment to report information back to the central host processing unit. WLAN systems offer increased flexibility over wired LAN systems by enabling operators of the remote computing devices substantial freedom of movement through the environment, and are particularly useful for remote data collection applications such as inventory control, manufacturing and production flow management, and asset tracking.

For simplicity, the radio receiver/transmitter provided within each remote computing device may communicate using conventional narrowband RF signals. Narrowband RF operation has a significant drawback in that the radio receiver/transmitter must be operated at relatively low power levels in order to ensure compliance with certain governmental regulations, and at such low power levels the RF signals are highly susceptible to interference and have low data throughput rates. To overcome these and other drawbacks, commercial WLAN systems have adopted so-called "spread spectrum" modulation techniques. In a spread spectrum system, the transmitted signal is spread over a frequency band that is significantly wider than the minimum bandwidth required to transmit the information being sent. As a result of the signal spreading, spread spectrum systems enable high data integrity and security. Moreover, by spreading transmission power across a broad bandwidth, power levels at any given frequency within the bandwidth are significantly reduced, thereby reducing interference to other radio devices.

In one type of spread spectrum communication system, an RF carrier is shifted in discrete increments in a pattern dictated by a predetermined sequence. These spread spectrum systems are known as "frequency-hopping" modulation systems, since the transmitter jumps from frequency to frequency in accordance with the predetermined sequence. The information signal is modulated onto the shifting carrier frequencies using frequency shift keying (FSK) modulation. Another type of spread spectrum communication system utilizes an RF carrier modulated by a digital code sequence having a spreading code rate, or chipping rate, much higher than the clock rate of the information signal. These spread spectrum systems are known as "direct sequence" modulation systems. The RF carrier may be modulated such that a data stream has one phase when a spreading code sequence represents a data "one" and 180° phase shift when the spreading code sequence represents a data "zero." The RF carrier



may also be binary or quadrature modulated by one or more data streams such that the data streams have one phase when a spreading code sequence represents a data "one" and a predetermined phase shift (e.g., 180° for binary, and 90° for quadrature) when the spreading code sequence represents a data "zero." These types of modulation are commonly referred to as binary shift key (BPSK) and quadrature shift key (QPSK) modulation, respectively.

A primary drawback of operating a WLAN using spread spectrum communication is the high cost of the computing devices due primarily to the complexity of the radio receiver/transmitter. For certain applications, a narrowband RF radio receiver/transmitter would provide satisfactory performance while the high data throughput and integrity provided by a wideband spread spectrum radio receiver/transmitter would be unnecessary. Nevertheless, it would be costly and impractical to operate two separate narrowband and wideband WLAN systems simultaneously. As a result, WLAN system designers must select a single communication mode that provides a sufficient level of performance within practical cost parameters.

Thus, it would be highly desirable to provide a WLAN infrastructure that permits multi-mode communication over both wideband spread spectrum and narrowband RF signals. Such a multi-mode WLAN could be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF signals.

### SUMMARY OF THE INVENTION

In accordance with the teachings of the present application, a multi-mode radio frequency network is provided. The multi-mode radio frequency network permits RF communication using both wideband spread

spectrum RF signals and narrowband RF signals.

More particularly, the multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a  
5 second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access  
10 controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequency-hopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further  
15 comprise periodic beacon signals that define discrete time periods which further include a synchronous portion for communication of the narrowband signals and an asynchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and data collection devices adapted for  
20 communication with the first and second types of computing device over the narrowband frequency range.

In a first embodiment of the invention, the second radio receiver/transmitter is adapted to receive frequency-hopping spread spectrum communication signals in addition to narrowband communication  
25 signals. A receive section is adapted to receive radio frequency (RF) signals over the wideband and the narrowband frequency ranges and having a downconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. An IF filter section is adapted to receive the IF signals and has a wideband  
30 bandpass filter and a narrowband bandpass filter that are alternatively

coupled to the IF signals to provide filtered IF signals. A demodulation section is adapted to receive the filtered IF signals and recover wideband and narrowband receive signals therefrom. A synthesizer section is adapted to generate the frequency-shifted carrier for the receive section. The  
5 frequency-shifted carrier is further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals, and a transmit section is adapted to transmit the modulated transmit signals.

In a second embodiment of the invention, the second radio receiver/transmitter is adapted to receive direct sequence spread spectrum  
10 communication signals in addition to narrowband communication signals. A receive section is adapted to receive radio frequency (RF) signals and has a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. A demodulation section receives the filtered IF signals and provides in-phase  
15 and quadrature receive data signals therefrom. A synthesizer section generates the carrier for the receive section, and the carrier is further modulated by in-phase and quadrature transmit data signals. A transmit section transmit the modulated transmit signals. Lastly, a control section controls the switching between wideband and narrowband modes of the  
20 second radio receiver/transmitter in which the in-phase and quadrature receive signals comprise wideband data in the wideband mode of the second radio receiver/transmitter, and the in-phase receive signals comprise narrowband data in the narrowband mode of the second radio receiver/transmitter.

25 A more complete understanding of the multi-mode radio frequency network will be afforded to those skilled in the art, as well as a realization of additional advantages and objects thereof, by a consideration of the following detailed description of the preferred embodiment. Reference will be made to the appended sheets of drawings which will first be  
30 described briefly.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a system diagram illustrating a multi-mode WLAN of the present invention which includes a first type of computing device using wideband RF communication signals and second type of computing device using narrowband RF communication signals;

Fig. 2 is a block diagram illustrating a first embodiment of a multi-mode radio receiver/transmitter adapted for frequency-hopping spread spectrum communication;

Fig. 3 is a block diagram illustrating a second embodiment of a multi-mode radio receiver/transmitter adapted for direct sequence spread spectrum communication; and

Fig. 4 is a timing diagram illustrating synchronous and asynchronous communication periods following a periodic beacon.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention satisfies the need for a multi-mode WLAN infrastructure that supports both wideband spread spectrum and narrowband radio frequency signals. The multi-mode WLAN can be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF signals. In the detailed description that follows, it should be appreciated that like reference numerals are used to identify like elements illustrated in one or more of the figures.

Referring first to Fig. 1, a system diagram of a multi-mode WLAN of the present invention is illustrated. The multi-mode WLAN includes a wired medium 2 having a plurality of interconnected nodes 3. At one of the nodes 3, a central computer controller 4 is coupled thereto which acts as a server for the WLAN and controls communications between the nodes on

the wired medium 2. Two of the nodes 3 have access points 5 coupled thereto which permit communication between the wired medium 2 and the wireless computing devices of the WLAN that will be described in greater detail below. The access points 5 include an RF receiver/transmitter that communicates between the wired medium 2 and the wireless computing devices. As known in the art, information transmitted on the wired medium 2 may be in the form of data packets in accordance with well established computer network protocols, such as Ethernet or Token Ring. It should also be appreciated that other computer network elements, such as computers, servers, printers, and data storage devices may be coupled to other nodes 3 of the wired medium 2.

The WLAN further includes a multi-mode computing device 6, a single-mode computing device 7, data collection devices 8, and data storage/retrieval devices 9. The multi-mode computing device 6 comprises a multi-mode RF receiver/transmitter adapted to communicate both narrowband RF signals and wideband RF signals. The single-mode computing device 7 comprises a single-mode RF receiver/transmitter adapted to communicate only narrowband RF signals. Both the multi-mode and single-mode computing device 6, 7 have generally similar external features, such as a keypad, a data display, and an antenna; however, it is anticipated that the multi-mode computing device 6 be more sophisticated and have greater internal data processing capability than the single-mode computing device 7. For example, the multi-mode computing device 6 may include a faster central processing unit (CPU) and greater memory storage capacity than the single-mode computing device 7, and similarly, may have a larger or more complete keypad and/or data display. For these reasons, it is anticipated that the multi-mode computing device 6 be utilized by supervisory level users and the single-mode computing device 7 be utilized by ordinary level users.

The data collection devices 8 comprise conventional bar code readers used to convert information encoded in bar code symbols into electronic data signals. As known in the art, such data collection devices 8 typically include a light source adapted to be scanned across the bar code field, such as provided by a laser or light emitting diode (LED). The bar and space elements of the bar code symbol have different light reflectivity, and the information encoded into the bar code may thus be detected in the reflected light therefrom. Alternatively, the data collection devices 8 may collect an image of the bar code using an electro-optical imaging element, such as a charge coupled device (CCD), allowing the information encoded into the bar code symbol to be interpreted from the collected image. The data collection devices 8 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals, or alternatively, may be directly coupled to the computing devices via an electrical cable.

The data storage/retrieval devices 9 comprise conventional magnetic disk or tape drives used for non-volatile data storage. The data storage/retrieval devices 9 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals. Data collected by the computing devices 6, 7 may thus be downloaded to the data storage/retrieval devices 9 during the course of data collection operations, or alternatively, data stored in the data storage/retrieval devices may be accessed by the computing devices 6, 7. As a result, the data storage capacity of the computing devices 6, 7 can be reduced accordingly.

To operate the multi-mode WLAN, the access points 5 transmit periodic beacon signals that enable all the wireless elements of the WLAN to synchronize. As shown in Fig. 4, the periodic beacon signals (B) indicate the start of a time period during which RF communication will occur. This time period is divided into a synchronous communication period (S) and an asynchronous communication period (A). The synchronous communication period is further sub-divided into fixed-length time slots  $S_1$ - $S_6$  which allow the

multi-mode computing device 6 to sequentially poll the data storage retrieval devices 9, the single-mode computing device 7, and the data collection devices 8 via narrowband RF communication signals. Also, the single-mode computing device 7 communicates with the access point 5 via narrowband  
5 RF communication signals during one of the time slots. It is anticipated that the synchronous RF communication signals be transmitted using a common system clock that is synchronized to the periodic beacon signals.

During the asynchronous communication period, the multi-mode computing devices 6 communicate with the access points 5 over  
10 wideband spread spectrum RF communication signals. The spread spectrum RF communication signals may be either of the frequency-hopping or direct sequence variety, as will be further described below. The asynchronous spread spectrum communication signals  $A_1$ - $A_2$  do not have fixed time duration, but rather such signals are provided in the form of  
15 message packets that generally include a header identifying a start of a message and a trailer identifying an end of a message in accordance with known data protocols.

Referring now to Fig. 2, an embodiment of the multi-mode RF receiver/transmitter included in the multi-mode computing device 6 is  
20 illustrated. In accordance with this embodiment, the multi-mode RF receiver/transmitter is adapted to communicate both narrowband RF signals and wideband frequency-hopping spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 2 includes an RF receive section 10, an IF filter section 20, a demodulation section 30, a digital control section 40, a  
25 synthesizer section 50 and a transmit section 60.

The RF receive section 10 includes an antenna 12, a transmit/receive switch 14, a bandpass filter 15, low noise amplifier stages 16, 17, and a downconversion mixer 18. The antenna 12 is provided for receiving and transmitting RF signals to and from the receiver/transmitter.  
30 The transmit/receive switch 14 has a common terminal that is electrically

coupled to the antenna 12, and two contact positions electrically coupled to the bandpass filter 14 of the receive circuit and transmit circuit 60 (described below), respectively. The transmit/receive switch 14 enables the antenna 12 to be configured for either transmitting or receiving operations. As known in the art, the transmit/receive switch 14 can be provided by mechanical switch elements, such as a relay, or can comprise solid state switching circuitry. It is preferable that the transmit/receive switch 14 have generally high speed switching characteristics to reduce delays between respective receiving and transmitting operations. Within the receive section 10, a received RF signal is first provided to a bandpass filter 15 which rejects adjacent extraneous frequencies outside the bandwidth of the received signal. The low noise amplifier stages 16, 17 amplify the received and filtered signal to a desired amplitude level. The mixer 18 multiplies the amplified signal with a locally generated frequency-shifted carrier from the synthesizer section 50 to produce an intermediate frequency (IF) signal having a constant difference in frequency between the received signal and the locally generated signal.

In the IF filter section 20, the IF signal is provided to one of two bandpass filters depending on whether the received RF signal is a synchronous narrowband signal or an asynchronous wideband signal. The IF filter section 20 includes a first bandpass filter 24 and a second bandpass filter 26 coupled in parallel between two switch stages 22, 28. The first bandpass filter 24 is for reception of wideband frequency-hopping spread spectrum signals, and the second bandpass filter 26 is for reception of narrowband signals. It should be appreciated that the bandwidth of the first bandpass filter 24 represents that of a single frequency channel within the wideband frequency range over which frequency-hopping spread spectrum signals are transmitted, and not the bandwidth of the entire wideband frequency range. The switches 22, 28 are controlled by the digital control section 40 (described below), so that the first bandpass filter 24 is enabled during asynchronous communication periods and the second bandpass filter



26 is enabled during synchronous communication periods.

Following the IF filter section 20, the filtered IF signal is provided to the demodulation section 30 which recovers the information contained within the original RF signal. The IF demodulation section 30  
5 comprises an IF amplifier 32, an IF limiter 34, and a demodulator 36. The IF amplifier 32 and IF limiter 34 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. The gain of these stages may be set at different levels depending on whether the received RF signal is a wideband or narrowband signal. The demodulator 36 is adapted to recover  
10 both frequency shift key (FSK) modulated signals from a frequency-hopping spread spectrum wideband signal, and frequency modulation (FM) from a synchronous narrowband signal. A single demodulator circuit could be utilized to demodulate both wideband and narrowband signals either by dynamically changing the circuit's quality factor Q, or by accepting a  
15 decreased signal to noise ration for the narrowband signal. Alternatively, separate demodulator circuits could be used for the narrowband and wideband signals that are selectively switched in the same manner as the IF filter section 20.

The digital control section 40 provides the main signal  
20 processing hardware for the radio receiver/transmitter, and is responsible for controlling the transmit/receive switching, bandwidth selection, frequency synthesizer programming, clock recovery and data handling/generation. The digital control section 40 comprises a microcontroller 42 and a host interface 44. The microcontroller 42 may be provided by an application specific  
25 integrated circuit (ASIC), a microprocessor, a digital signal processor or other such circuit element. The host interface 44 provides for communication between the receiver/transmitter portion of the computing device and a host portion that processes and utilizes the information that has been communicated. As known in the art, the microcontroller 42 performs its  
30 functions by executing a series of commands or instructions, also referred to

as a software program, that may be disposed on a permanent storage medium, such as a semiconductor read only memory (ROM) device or a magnetic medium.

The synthesizer section 50 communicates with the digital control section 40 to control the timing and selection of carrier frequencies. 5 The synthesizer section 50 comprises a digital-to-analog (D/A) converter 52, a frequency synthesizer 54, a transmit loop filter 55, a receive loop filter 56, a transmit local oscillator 57, a receive local oscillator 58 and a voltage controlled oscillator 46. The frequency synthesizer 54 is programmed by a 10 plurality of digital data signals from the microcontroller 42, and provides a D.C. voltage signal to the transmit and receive local oscillators 57, 58 that corresponds to a selected frequency. The transmit and receive loop filters 55, 56 comprise low pass filters that remove high frequency noise from the D.C. voltage signals that occurs in the feedback loop. The transmit and 15 receive local oscillators 57, 58 further comprise voltage controlled oscillator (VCO) circuits that receive the D.C. voltage signals, and generate corresponding oscillating signals at the selected frequency. The oscillating signals from the transmit and receive local oscillators 57, 58 are also provided back to the frequency synthesizer 54 as feedback signals, as 20 known in the art.

The oscillating signal from the receive local oscillator 58 is provided to the mixer 18 of the receive section 10 as the frequency-shifted carrier. Digital data from the microcontroller 42 is converted to an analog signal by the D/A converter 52, which is provided to the transmit local 25 oscillator 57 to control the waveshape (i.e., amplitude and frequency) of the oscillating signal. By changing the frequency of the oscillating signal, multiple data rates can be supported. Also, by changing the amplitude of the oscillating signal, the frequency deviation of the transmitted carrier can be changed, allowing modulation of both wideband and narrowband data. The 30 modulated oscillating signal from the transmit local oscillator 57 passes

through a VCO buffer amplifier 59, and is provided to the transmit section 60.

The transmit section 60 essentially reverses the process performed by the receive section 10. The data-modulated, frequency-shifted carrier passes through a bandpass filter 64 to remove any VCO harmonics generated by the synthesizer section 50. Thereafter, the data-modulated, frequency-shifted carrier is provided to a pre-driver 66 and a power amplifier 67 that amplify the carrier signal to a desired output level, and a low pass filter 68 for noise attenuation. Lastly, the amplified carrier signal is provided to the antenna 12 for RF transmission. It should be appreciated that the pre-driver 66 and amplifier 67 stages need not be linear amplifiers due to the constant envelope modulation, thereby making them more efficient than linear counterparts.

The transmit section 60 further includes a D/A converter 62 that modifies the characteristics of the pre-driver 66 and power amplifier 67. The microcontroller 42 calculates a digital offset value for the transmit section 60 based on the frequency generated by the synthesizer section 50, in order to maintain an optimum power output level of the radio receiver/transmitter for each of the shifted frequencies across the wideband frequency range. The digital offset value is provided to the D/A converter, which provides an analog control signal to bias the pre-driver 66 and power amplifier 67. An example of an RF transmitter that maintains power output level linearity across a range of transmitting frequencies is disclosed in Serial Number 08/823,611 for ADAPTIVE POWER LEVELING OF AN RF TRANSCEIVER UTILIZING INFORMATION STORED IN NON-VOLATILE MEMORY, filed March 25, 1997, by the assignee herein.

Fig. 3 illustrates an alternative embodiment of the multi-mode RF receiver/transmitter in the multi-mode computing device 6 which is adapted to communicate both narrowband RF signals and wideband direct sequence spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 3 includes an RF receive section 10, a

demodulation section 70, a digital control section 40, a synthesizer section 80 and a transmit section 60. The RF receive section 10, digital control section 40 and transmit section 60 of Fig. 3 are substantially the same as the corresponding sections of the multi-mode RF receiver/transmitter of Fig. 2,  
5 and further description of these sections is therefore omitted.

Following the RF receive section 10, the IF signal is provided to the demodulation section 70 which recovers the information contained within the original RF signal. The demodulation section 70 comprises a  
10 bandpass filter 72, an IF amplifier 73, an IF limiter 74, a demodulator 76, and a narrowband and a wideband data low pass filter 77, 78. The bandpass filter 72 has a bandwidth sufficient for reception of wideband direct sequence spread spectrum signals. The IF amplifier 73 and IF limiter 74 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. As in the previous embodiment, the gain of these stages may  
15 be set at different levels depending on whether the received RF signal is a wideband or narrowband signal.

The demodulator 76 is adapted to recover binary phase shift key (BPSK) modulated signals from a direct sequence spread spectrum wideband signal and frequency modulation (FM) from a synchronous  
20 narrowband signal. The demodulator 76 may further comprise a conventional QPSK demodulator circuit which provides an in phase (I) output and a quadrature (Q) output. By modulating the direct sequence spread spectrum data using BPSK modulation, the Q channel output provides the demodulated BPSK data through the associated wideband filter 78 and the I  
25 channel output provides the demodulated FM signal through the associated narrowband filter 77. This way, a single demodulator circuit could be utilized to demodulate both wideband and narrowband signals without having to switch filters as in the previous embodiment.

The synthesizer section 80 communicates with the digital  
30 control section 40 to control the timing and selection of carrier frequencies.

On the receive side, the synthesizer section 80 comprises a frequency synthesizer 82, a receive loop filter 83 and a receive local oscillator 84. As in the previous embodiment, the frequency synthesizer 82 is programmed by a plurality of digital data signals from the microcontroller 42, and provides a  
5 D.C. voltage signal to the receive local oscillator 84 that corresponds to a selected frequency. The oscillating signal from the receive local oscillator 84 is provided back to the frequency synthesizer 82 as a feedback signal, and the receive loop filter 87 comprises a low pass filter that removes high  
10 frequency noise from the D.C. voltage signal that occurs in the feedback loop.

On the transmit side, the synthesizer section further comprises a transmit loop filter 87, a transmit local oscillator 89, an I-channel data low pass filter 85, a Q-channel data low pass filter 88, an I-channel mixer 86, a  
15 Q-channel mixer 91, a phase shift circuit 92 and a summing circuit 94. The frequency synthesizer 82 provides a D.C. voltage signal to the transmit local oscillator 89 to provide an oscillating signal, which is in turn provided back to the frequency synthesizer as a feedback signal. The oscillating signal from the transmit local oscillator 89 is provided to the phase shift circuit 92, which provides the oscillating signal to the I-channel mixer 86 and shifts the phase  
20 of the oscillating signal by 90° and provides the phase-shifted oscillating signal to the Q-channel mixer 91. I-channel data (i.e., narrowband data) and Q-channel data (i.e., wideband data) generated by the digital control section 40 is provided through the respective filters 85, 88 to the respective mixers 86, 91. The Q-channel data low pass filter 88 has a wider bandwidth than  
25 the I-channel data low pass filter 85 with a frequency cutoff consistent with the required direct sequence spread spectrum data format. The mixers 86, 91 modulate the I and Q-channel data with the respective oscillating signals, and these modulated data signals are summed by the summing device 94. Lastly, the modulated oscillating signal from the summing device 94 passes  
30 through a VCO buffer amplifier 96, and is provided to the transmit section 60.

In the wideband mode (i.e., direct sequence spread spectrum communication), the receiver/transmitter operates as an ordinary direct sequence spread spectrum radio. The digital control section 40 controls the transmit and receive operation, using data from the wideband filter 78, programs the synthesizer 82 for the desired channel frequency, and outputs the proper spreading sequence data to the synthesizer section 80 for transmit on the Q-channel. In the narrowband mode, the operation is the same, except that at the time interval defined by the beacon signal the receiver/transmitter is placed in the narrowband mode. The digital control section 40 selects the data from the narrowband filter 77 for reception of narrowband data. When transmitting, the digital control section 40 outputs data of a lower data rate onto the I-channel only, creating a signal of narrower bandwidth than the direct sequence spread spectrum signal.

Having thus described a preferred embodiment of a multi-mode radio frequency network, it should be apparent to those skilled in the art that certain advantages have been achieved. It should also be appreciated that various modifications, adaptations, and alternative embodiments thereof may be made within the scope and spirit of the present invention. The invention is solely defined by the following claims.

CLAIMSWhat is Claimed is:

- 5                   1.     A multi-mode radio frequency network, comprising:  
                    at least one first type of computing device having a first radio  
                    receiver/transmitter adapted for communication over a narrowband  
                    frequency range;  
                    at least one second type of computing device having a second  
10     radio receiver/transmitter adapted for communication over both said  
                    narrowband frequency range and a wideband frequency range; and  
                    a network access controller adapted for communication with  
                    said at least one first type of computing device and said at least one second  
                    type of computing device over respective ones of said narrowband and said  
15     wideband frequency ranges, said network access controller providing  
                    synchronization signals for coordinating timing of communications over said  
                    narrowband and said wideband frequency ranges.
2.     The multi-mode radio frequency network of Claim 1,  
20     wherein said second radio receiver/transmitter provides spread spectrum  
                    communication signals over said wideband frequency range.
3.     The multi-mode radio frequency network of Claim 2,  
                    wherein said spread spectrum communication signals further comprise  
25     frequency-hopping spread spectrum signals.
4.     The multi-mode radio frequency network of Claim 2,  
                    wherein said spread spectrum communication signals further comprise direct  
                    sequence spread spectrum signals.

30

5. The multi-mode radio frequency network of Claim 1, wherein said wideband frequency range communications occur in a substantially asynchronous manner.

5 6. The multi-mode radio frequency network of Claim 1, wherein said narrowband frequency range communications occur in a substantially synchronous manner.

10 7. The multi-mode radio frequency network of Claim 1, further comprising at least one data storage/retrieval device adapted for communication with each of said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.

15 8. The multi-mode radio frequency network of Claim 1, further comprising at least one data collection device adapted for communication with said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.

20

9. The multi-mode radio frequency network of Claim 1, wherein said synchronization signals further comprise periodic beacon signals.

25 10. The multi-mode radio frequency network of Claim 9, wherein said periodic beacon signals define respective discrete time periods which further include a synchronous portion and an asynchronous portion.

30 11. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises an



intermediate frequency portion having a wideband filter, a narrowband filter, and means for switching between said wideband and narrowband filters based upon said synchronization signals.

5           12. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

          a receive section adapted to receive radio frequency (RF) signals over said wideband and said narrowband frequency ranges and having a downconversion mixer to mix the RF signals with a frequency-shifted carrier  
10 signal to downconvert the RF signals to intermediate frequency (IF) signals;

          an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

          a demodulation section adapted to receive said filtered IF  
15 signals and recover wideband and narrowband receive signals therefrom;

          a synthesizer section adapted to generate said frequency-shifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals; and

20           a transmit section adapted to transmit said modulated transmit signals.

          13. The multi-mode radio frequency network of Claim 12, further comprising a control section adapted to select between said  
25 wideband bandpass filter and said narrowband bandpass filter.

          14. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

          a receive section adapted to receive radio frequency (RF)  
30 signals and having a downconversion mixer to mix the RF signals with a

carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom;

5 a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

a transmit section adapted to transmit said modulated transmit signals; and

10 a control section adapted to control switching between wideband and narrowband modes of said second radio receiver/transmitter, wherein said in-phase and quadrature receive signals comprise wideband data in said wideband mode of said second radio receiver/transmitter, and said in-phase receive signals comprising narrowband data in said  
15 narrowband mode of said second radio receiver/transmitter.

15. The multi-mode radio frequency network of Claim 14, wherein said demodulation section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and  
20 quadrature phase shift key (QPSK) modulation from said wideband data.

16. The multi-mode radio frequency network of Claim 14, wherein said wideband data further comprises direct sequence spread spectrum data.  
25

17. An apparatus for communicating in both narrowband and wideband frequency ranges comprising:

a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a  
30 frequency-shifted carrier signal to downconvert the RF signals to

intermediate frequency (IF) signals;

an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

5 a demodulation section adapted to receive said filtered IF signals and recover wideband and narrowband receive signals therefrom;

a synthesizer section adapted to generate said frequency-shifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to  
10 provide modulated transmit signals; and

a transmit section adapted to transmit said modulated transmit signals.

18. The apparatus of Claim 17, wherein said demodulation  
15 section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband signals and frequency shift key (FSK) modulation from said wideband signals.

19. An apparatus for communicating in both narrowband  
20 and wideband frequency ranges comprising:

a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

25 a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom;

a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

a transmit section adapted to transmit said modulated transmit signals; and

a control section adapted to control switching between wideband and narrowband modes of said apparatus, wherein said in-phase and quadrature receive signals comprise wideband data in said wideband mode of the apparatus, and said in-phase receive signals comprising narrowband data in said narrowband mode of the apparatus.

20. The apparatus of Claim 19, wherein said demodulation section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and quadrature phase shift key (QPSK) modulation from said wideband data.

21. The apparatus of Claim 19, wherein said wideband data further comprises direct sequence spread spectrum data.

1/3

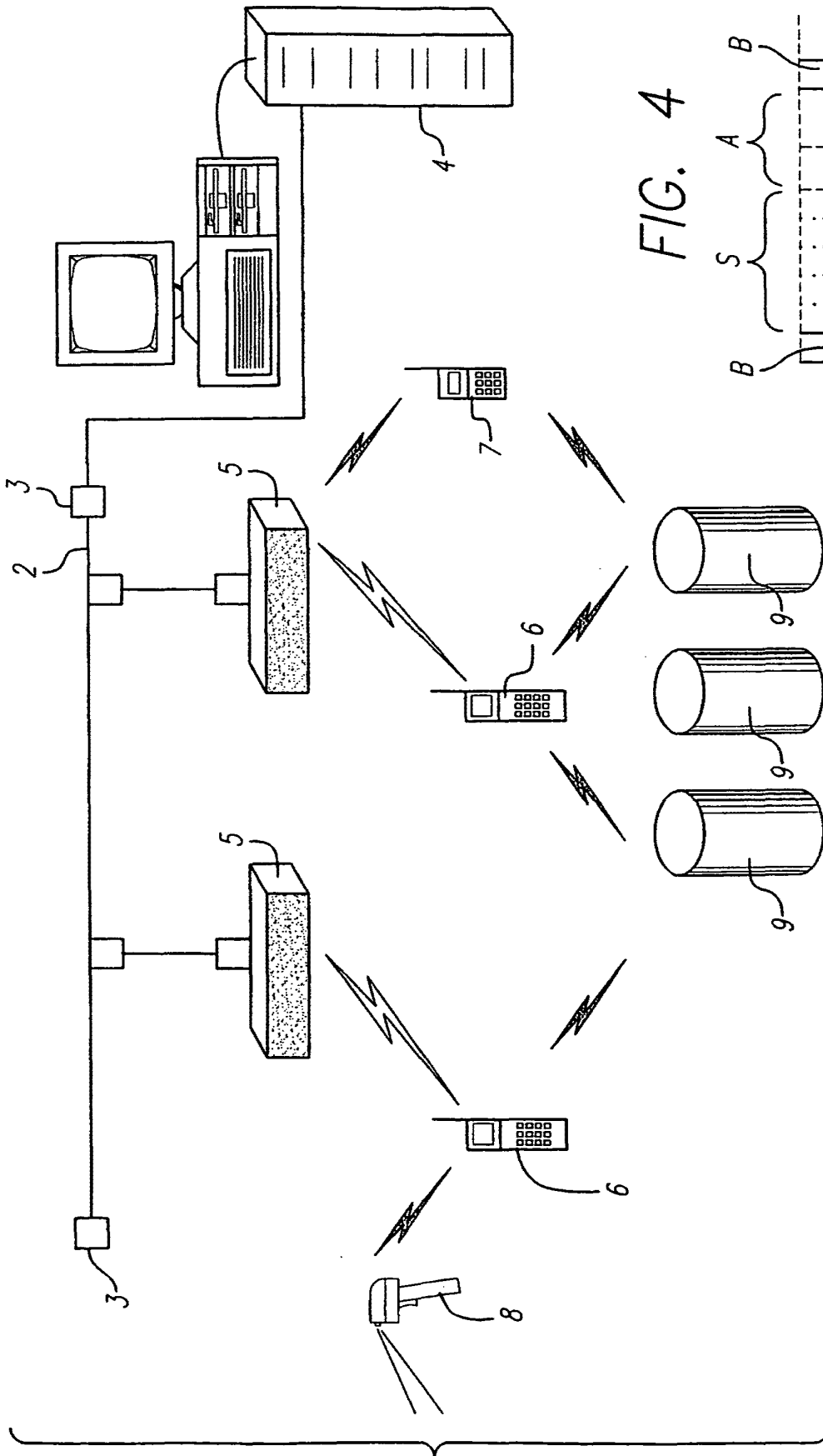


FIG. 4

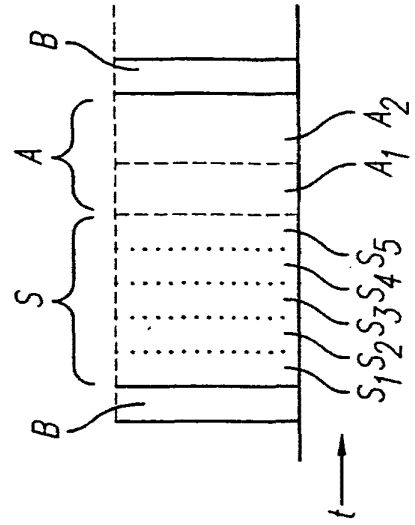


FIG. 1

FIG. 2

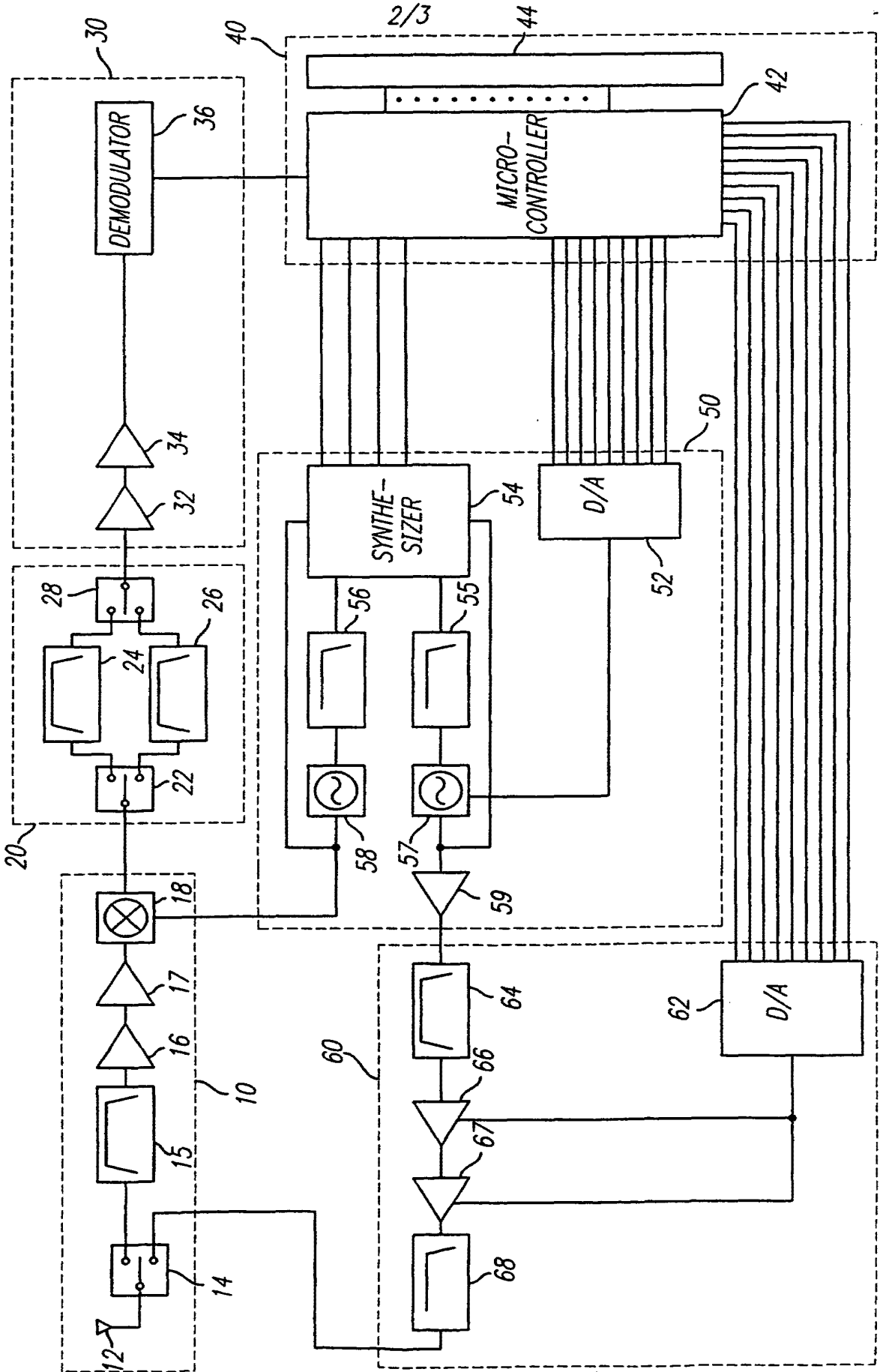
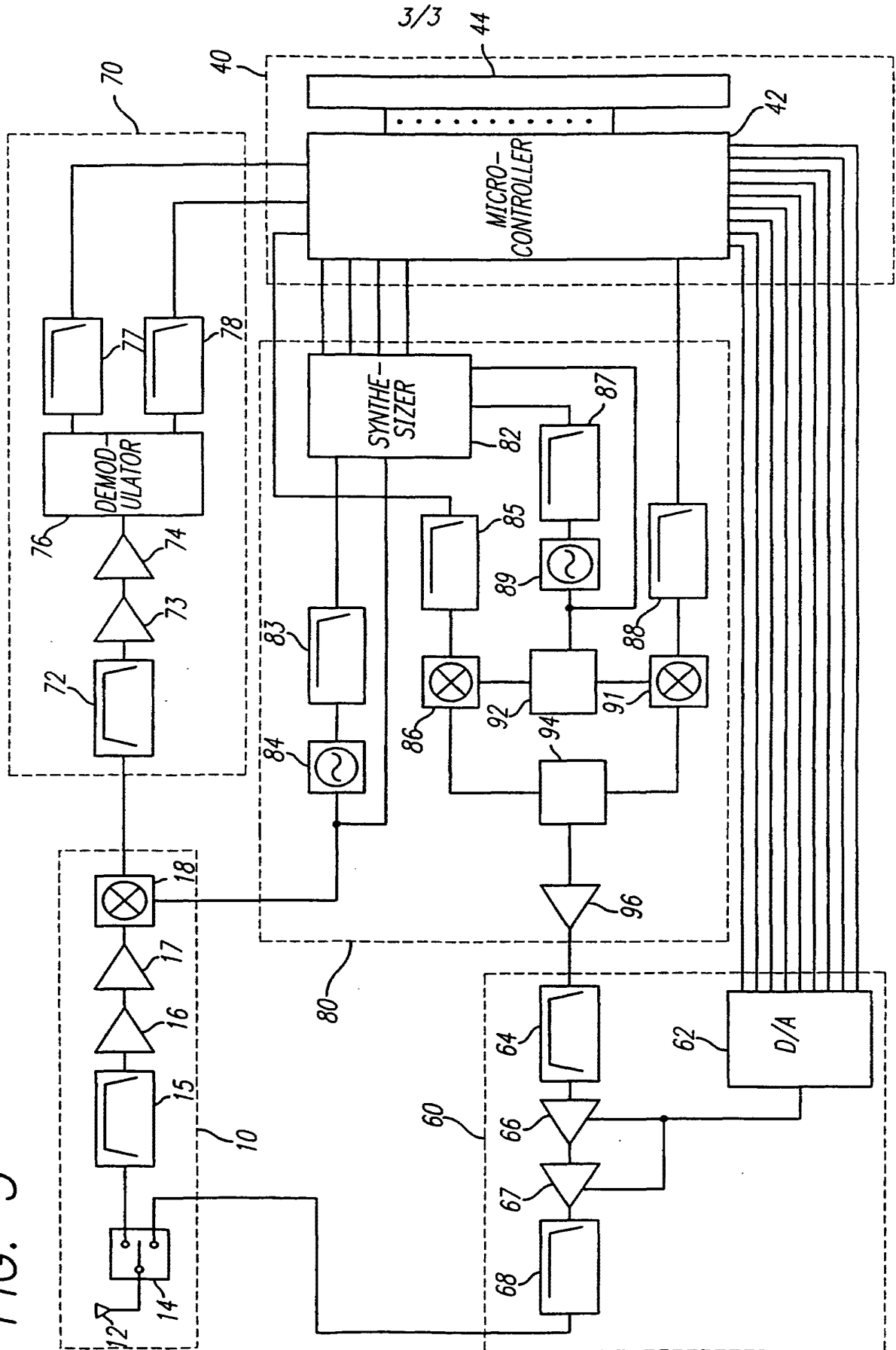


FIG. 3



# INTERNATIONAL SEARCH REPORT

Internat'l Application No

PCT/US 98/22969

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 H04L12/28 H04B1/69

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04L H04Q H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 291 516 A (DIXON ROBERT C ET AL) 1 March 1994  see column 1, line 60 - column 3, line 30 see column 4, line 57 - column 8, line 14 see claims 1,4-6	1,4, 11-14, 16,17, 19,21
A	WO 97 32403 A (ERICSSON GE MOBILE INC) 4 September 1997 see abstract see page 2, line 5 - page 5, line 14 see page 6, line 23 - page 7, line 2 see page 11, line 22 - page 12, line 13 see claims 1,10	1,11-13

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

19 February 1999

Date of mailing of the international search report

02/03/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040. Tx. 31 651 epo nl.  
 Fax: (+31-70) 340-3016

Authorized officer

Karavassilis, N



## INTERNATIONAL SEARCH REPORT

Internat : Application No

PCT/US 98/22969

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 022 046 A (MORROW JR ROBERT K) 4 June 1991 see abstract see column 5, line 30 - column 6, line 9 see claim 1	1,4,17, 19
A	SKELLERN D J ET AL: "A HIGH-SPEED WIRELESS LAN" IEEE MICRO, vol. 17, no. 1, January 1997, pages 40-47, XP000642695 see page 43, left-hand column, line 1 - line 31; figure 4	14,17,19
A	BANTZ D F ET AL: "WIRELESS LAN DESIGN ALTERNATIVES" IEEE NETWORK: THE MAGAZINE OF COMPUTER COMMUNICATIONS, vol. 8, no. 2, 1 March 1994, pages 43-53, XP000515079 see page 46, left-hand column, line 35 - page 47, left-hand column, line 46	2-4,16, 21

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat	Application No
PCT/US 98/22969	

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5291516    A	01-03-1994	US 5694414 A US 5790587 A US 5796772 A US 5815525 A AU 2140092 A CA 2102914 A EP 0584248 A JP 2846118 B JP 6507768 T WO 9221195 A	02-12-1997 04-08-1998 18-08-1998 29-09-1998 30-12-1992 14-11-1992 02-03-1994 13-01-1999 01-09-1994 26-11-1992
WO 9732403    A	04-09-1997	AU 5419196 A EP 0883934 A	16-09-1997 16-12-1998
US 5022046    A	04-06-1991	NONE	

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



(12) EUROPEAN PATENT APPLICATION

(43) Date of publication: 25.07.2001 Bulletin 2001/30 (51) Int Cl.7: H04L 12/28, H04L 12/56

(21) Application number: 00300397.7

(22) Date of filing: 20.01.2000

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

(72) Inventors:  
• Awater, Geert Arnout  
Utrecht (NL)  
• Yan, Ran-Hong  
Farington, Oxon SN7 7SS (GB)

(71) Applicant: LUCENT TECHNOLOGIES INC.  
Murray Hill, New Jersey 07974-0636 (US)

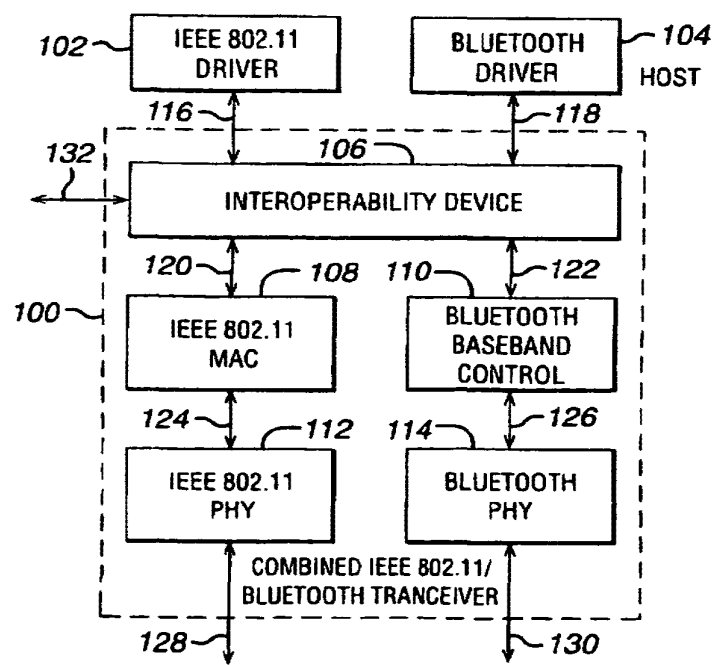
(74) Representative: Williams, David John et al  
Page White & Farrer,  
54 Doughty Street  
London WC1N 2LS (GB)

(54) Interoperability for bluetooth/IEEE 802.11

(57) The key of the invention is to introduce an interoperability device in a communication system which integrates an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addition,

the device preferably prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). Optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design.

FIG. 1



EP 1 119 137 A1

BEST AVAILABLE COPY

**Description**

**[0001]** The present invention relates to both Bluetooth and IEEE 802.11 radio communication systems.

**[0002]** IEEE 802.11 is a standard for wireless systems that operate in the 2.4 - 2.5 GHz ISM (industrial, scientific and medical) band. This ISM band is available world-wide and allows unlicensed operation for spread spectrum systems. For both the US and Europe, the 2,400 - 2,483.5 MHz band has been allocated, while for some other countries, such as Japan, another part of the 2.4 - 2.5 GHz ISM band has been assigned. The 802.11 standard focuses on the MAC (medium access control) protocol and PHY (physical layer) protocol for access point (AP) based networks and ad-hoc networks.

**[0003]** In access point based networks, the stations within a group or cell can communicate only directly to the access point. This access point forwards messages to the destination station within the same cell or through a wired distribution system to another access point, from which such messages arrive finally at the destination station. In ad-hoc networks, the stations operate on a peer-to-peer level and there is no access point or (wired) distribution system.

**[0004]** The 802.11 standard supports: DSSS (direct sequence spread spectrum) with differential encoded BPSK and QPSK; FHSS (frequency hopping spread spectrum) with GFSK (Gaussian FSK); and infrared with PPM (pulse position modulation). These three physical layer protocols (DSSS, FHSS and infrared) all provide bit rates of 2 and 1 Mbit/s. The 802.11 standard further includes extensions 11a and 11b. Extension 11b is for a high rate CCK (Complementary Code Keying) physical layer protocol, providing bit rates 11 and 5.5 Mbit/s as well as the basic DSSS bit rates of 2 and 1 Mbit/s within the same 2.4 - 2.5 GHz ISM band. Extension 11a is for a high bit rate OFDM (Orthogonal Frequency Division Multiplexing) physical layer protocol standard providing bit rates in the range of 6 to 54 Mbit/s in the 5 GHz band. The 802.11 basic medium access behaviour allows interoperability between compatible physical layer protocols through the use of the CSMA/CA (carrier sense multiple access with a collision avoidance) protocol and a random back-off time following a busy medium condition. In addition all directed traffic uses immediate positive acknowledgement (ACK frame), where a retransmission is scheduled by the sender if no positive acknowledgement is received. The 802.11 CSMA/CA protocol is designed to reduce the collision probability between multiple stations accessing the medium at the point in time where collisions are most likely occur. The highest probability of a collision occurs just after the medium becomes free, following a busy medium. This is because multiple stations would have been waiting for the medium to become available again. Therefore, a random back-off arrangement is used to resolve medium contention conflicts. In addition, the 802.11 MAC defines: special functional behaviour for fragmentation of packets; medium reservation via RTS/CTS (request-to-send/clear-to-send) polling interaction; and point co-ordination (for time-bounded services).

**[0005]** The IEEE 802.11 MAC also defines Beacon frames, sent at a regular interval by an AP to allow STAs to monitor the presence of the AP. IEEE 802.11 also defines a set of management frames including Probe Request frames which are sent by an STA, and are followed by Probe Response frames sent by the AP. Probe Request frames allow an STA to actively scan whether there is an AP operating on a certain channel frequency, and for the AP to show to the STA what parameter settings this AP is using.

**[0006]** Bluetooth technology allows for the replacement of the many proprietary cables that connect one device to another with one universal short-range radio link. For instance, Bluetooth radio technology built into both a cellular telephone and a laptop would replace the cumbersome cable used today to connect a laptop to a cellular telephone. Printers, personal digital assistant's (PDA's), desktops, computers, fax machines, keyboards, joysticks and virtually any other digital device can be part of the Bluetooth system. But beyond un-tethering devices by replacing the cables, Bluetooth radio technology provides a universal bridge to existing data networks, a peripheral interface, and a mechanism to form small private ad-hoc groupings of connected devices away from fixed network infrastructures.

**[0007]** Designed to operate in a noisy radio frequency environment, the Bluetooth radio system uses a fast acknowledgement and frequency hopping scheme to make the link robust. Bluetooth radio modules avoid interference from other signals by hopping to a new frequency after transmitting or receiving a packet. Compared with other systems operating in the same frequency band, the Bluetooth radio system typically hops faster and uses shorter packets. This makes the Bluetooth radio system more robust than other systems. Short packets and fast hopping also limit the impact of domestic and professional microwave ovens. Use of Forward Error Correction (FEC) limits the impact of random noise on long-distance links. The encoding is optimised for an uncoordinated environment. Bluetooth radios operate in the unlicensed ISM band at 2.4 GHz. A frequency hop transceiver is applied to combat interference and fading. A shaped, binary FM modulation is applied to minimise transceiver complexity. The gross data rate is 1Mb/s.

**[0008]** A Time-Division Duplex scheme is used for full-duplex transmission. The Bluetooth baseband protocol is a combination of circuit and packet switching. Slots can be reserved for synchronous packets. Each packet is transmitted in a different hop frequency. A packet nominally covers a single slot, but can be extended to cover up to five slots. Bluetooth can support an asynchronous data channel, up to three simultaneous synchronous voice channels, or a channel which simultaneously supports asynchronous data and synchronous voice. Each voice channel supports 64 kb/s synchronous (voice) link. The asynchronous channel can support an asymmetric link of maximally 721 kb/s in

either direction while permitting 57.6 kb/s in the return direction, or a 432.6 kb/s symmetric link.

**[0009]** The IEEE 802.11 standard is well-established and local area networks are already implemented based on the standard, typically in office environments. As Bluetooth comes into the market, it is likely to be implemented in a domestic environment for communications within the home, for example. Thus someone with a lap-top computer may wish to connect to a IEEE 802.11 wireless local area network in the workplace, and connect to a device, such as a mobile telephone, using a Bluetooth interface outside of the workplace.

**[0010]** It is therefore an object of the present invention to provide a means for enabling such a single device to interface via both an IEEE 802.11 radio system and a Bluetooth radio system.

**[0011]** According to one aspect of the present invention there is provided a device incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio systems such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.

**[0012]** The device may be additionally controlled such that when one device is transmitting the other device cannot receive or transmit. The device may be additionally controlled such that when one device is receiving the other device cannot receive or transmit.

**[0013]** The control means may comprise a switching means, the switching means being adapted to switch on and off the first and second radio systems.

**[0014]** The control means may comprise a multiplexing means adapted to time multiplex transmissions from the first and second radio systems.

**[0015]** The control means may comprise a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

**[0016]** The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.

**[0017]** The control means may prevent transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission. The control means may prevent transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.

**[0018]** The first and second radio systems may share a common physical layer.

**[0019]** According to another aspect of the present invention there is provided a method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.

**[0020]** The method may further comprise controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.

**[0021]** The method may further comprise controlling the radio systems such that one device is receiving the other device cannot receive or transmit.

**[0022]** The radio systems may be controlled by switching on and off the first and second radio systems.

**[0023]** The radio systems may be controlled by time multiplexing transmissions from the first and second radio systems.

**[0024]** The method may comprise time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

**[0025]** The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.

**[0026]** The method may further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission. The method may further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.

**[0027]** The first and second radio systems may share a common physical layer.

**[0028]** Therefore if both an IEEE 802.11 radio transceiver and a Bluetooth radio transceiver reside in a single device (for instance in a laptop computer) they can transmit and receive in the same radio frequency simultaneously, even though both communication standards make use of the same 85 MHz wide ISM band, at around 2.4 GHz. This is

achieved by a Bluetooth device in a computer being prevented from transmitting data whilst an 802.11 device is attempting to receive data and vice versa.

**[0029]** Even if the RF frequency that the receiving device is tuned to is different, but still in the same band that the transmitting device is using, the emitted power will jam the receiver, rendering it unable to receive the intended signal.

**[0030]** The invention solves this problem by introducing an interoperability device, that is connected both to the medium access controller of the IEEE 802.11 device and to the baseband controller of the Bluetooth device.

**[0031]** The invention also proposes an alternative solution, called dual mode operation, where the IEEE 802.11 devices operate in a different radio frequency band than the Bluetooth system.

**[0032]** The key of the invention to introduce an interoperability device in a communication system which integrates an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addition, the device prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design. The invention also covers a dual band mode in which the IEEE 802.11 device and the Bluetooth device work in a different frequency band, and allows completely parallel operation of the two devices.

**[0033]** The invention will now be described by way of example with reference to the accompanying Figures, in which:

Figure 1 illustrates a high-level architecture for implementing the present invention;

Figure 2 illustrates the architecture of Figure 1 adapted to utilise radio re-use in accordance with a preferred embodiment of the invention;

Figure 3 illustrates a Bluetooth HV-*i* packet;

Figure 4 illustrates the time-slot allocation for transmission of three different HV-*i* schemes;

Figure 5 illustrates a forward and reverse packet structure for IEEE 802.11; and

Figure 6 illustrates a possible single chip implementation of the present invention.

**[0034]** The invention serves to solve a fundamental problem associated with providing both a Bluetooth radio system and an IEEE 802.11 radio system in a single device. The fundamental problem that has been identified is that if either one of the radio systems is transmitting, there is need to prevent the other radio system from receiving or else the receiving system will be drowned out by the transmitting system. As will be further discussed hereinbelow, further problems associated with the dual operation of a IEEE 802.11 and Bluetooth radio system are overcome by preferred embodiments of the present invention as discussed hereinbelow.

**[0035]** Referring to Figure 1, there is illustrated a high-level architecture of the combination of an IEEE 802.11 radio system transceiver and a Bluetooth radio system transceiver in a single system, in conjunction with an interoperability device in accordance with the present invention. It will be understood by one skilled in the art that only those elements necessary for the implementation of the present invention are shown in Figure 1.

**[0036]** The dual mode transceiver of Figure 1 comprises: an IEEE 802.11 physical layer functional element 112; an IEEE 802.11 MAC layer functional element 108; a Bluetooth physical layer functional element 114; a Bluetooth baseband control functional element 110; and an interoperability device 106, all of which comprise a combined IEEE 802.11 /Bluetooth transceiver generally designated by reference numeral 100. In addition an IEEE 802.11 driver 102 and a Bluetooth driver 104 are shown in Figure 1.

**[0037]** The IEEE 802.11 driver 102 receives IEEE 802.11 packets from the dual mode transceiver 100 on lines 116, and transmits IEEE 802.11 packets to the dual mode transceiver 100 on lines 116. The Bluetooth driver 104 receives Bluetooth packets from the dual mode transceiver 100 on lines 118, and transmits Bluetooth packets to the dual mode transceiver on lines 118. The operation of the respective drivers 102 and 104 is exactly the same as their operation would be if the device were provided with a single IEEE 802.11 or Bluetooth transceiver respectively. However their function may be extended in the sense that they pass on switching signal from application(s) to the interoperability device 106.

**[0038]** The IEEE 802.11 MAC functional element 108 and the IEEE 802.11 physical functional element 112 form the IEEE 802.11 transceiver of the dual mode transceiver. The IEEE 802.11 MAC functional element 108 operates in accordance with the IEEE standard arrangement to control access to the IEEE 802.11 transmission medium by the device to which it is connected. The IEEE 802.11 MAC functional element 108 receives and transmits IEEE 802.11 packets to and from the interoperability device 106 via lines 120, and transmits and receives IEEE 802.11 packets to



and from the IEEE 802.11 physical layer functional element 112 via lines 124. The IEEE 802.11 physical layer functional element 112 operates in accordance with the IEEE standard arrangement to perform modulation etc. of the IEEE 802.11 packets and transmit/receive the packets via lines 128, which interface the element to the device antenna.

5 **[0039]** The Bluetooth baseband control functional element 110 and the Bluetooth physical layer functional element 114 form the Bluetooth transceiver of the dual mode transceiver. The Bluetooth baseband control functional element 110 operates in accordance with the Bluetooth standard arrangement to control access to the transmission medium by the device to which it is connected. The Bluetooth baseband control functional element 110 receives and transmits Bluetooth packets to and from the interoperability device 106 via lines 122, and transmits and receives Bluetooth packets to and from the Bluetooth physical layer functional element 114 via lines 126. The IEEE 802.11 physical layer functional element 114 operates in accordance with the Bluetooth standard arrangement to perform modulation etc. of the Bluetooth packets and transmit/receive the packets via lines 130, which interface the element to the device antenna.

10 **[0040]** The control of IEEE 802.11 packets and Bluetooth packets from the respective drivers 102 and 104 to the respective transceiver elements 108/112 and 110/114 is controlled in accordance with the invention by the interoperability device 106. As shown in Figure 1, the interoperability device is additionally connected to control circuitry within the device via control signal lines 132.

15 **[0041]** The dual mode transceiver 100 operates in accordance with the invention in one of two modes. A first mode is a switching mode and a second mode is a multiplexing mode, both of which modes are discussed in further detail herein below.

20 **[0042]** In the switching mode of operation, the interoperability device 106 deactivates the Bluetooth transceiver (110/114) whenever the IEEE 802.11 transceiver (108/112) is activated, and vice versa. The interoperability device 106 is adapted to make the decision as to which mode of operation to switch to or activate. There are several alternative criteria on which the interoperability device may make this decision.

25 **[0043]** In a first alternative, the user of the device may decide which mode to switch to. For instance when the user is at home and wants to connect to the Internet through a telephone, the user may decide to switch to Bluetooth mode and dial up to an Internet Service Provider (ISP). When the user is in the office, where an IEEE 802.11 wireless LAN is present, the IEEE 802.11 mode may be selected by the user, to enable the user to log on to the network. This mode requires the user to know which is the appropriate interface to use for the chosen application. The user command will most likely be provided through an interface, such as a screen and keypad, on the device itself, and notified to the interoperability device 106 via a command signal from a central processor or controller in the device. In addition mixed environments, where both Bluetooth and IEEE 802.11 exist, may be present for example in an office environment.

30 **[0044]** In an alternative, the notification of the mode of operation may be provided to the transceivers via control from the CPU through regular drivers, or through a dedicated interoperability device driver.

35 **[0045]** In a second alternative, application software may control which mode the device switches to. For instance when the user chooses to synchronise a Personal Digital Assistant (PDA), the data-synchronisation application in the PC may tell the interoperability device to switch to Bluetooth mode. When the user chooses to surf the World Wide Web (WWW), the browser application (or the network driver software supporting it) may tell the interoperability device to switch to IEEE 802.11 mode. Again, the interoperability device 106 may be instructed via a command signal from a central processor or controller.

40 **[0046]** In a third alternative, a protocol sniffer may determine whether it detects the presence of an IEEE 802.11 device or a Bluetooth device on the air interface, and set the mode of the interoperability device accordingly. When the protocol sniffer detects both Bluetooth and IEEE 802.11 devices, it may choose a mode that the user has indicated as preferential, or it may consult the user as in the first alternative. Alternatively, the protocol sniffer may let the application decide as in the second alternative.

45 **[0047]** Thus in the switching mode the interoperability device operates merely to deactivate, or switch off, one of the two transceivers within the dual mode transceiver. This operation is transparent to the functional elements of the respective transceivers, and also to the other processing functionality in the device itself. When the interoperability device is switched to "IEEE 802.11" mode the transceiver 100 behaves as an IEEE 802.11 transceiver. When the interoperability device is switched to "Bluetooth" mode the transceiver 100 behaves as an Bluetooth transceiver.

50 **[0048]** In the switching mode, turning off one transceiver when the other is transmitting means that the one transceiver cannot receive or transmit when the other is transmitting. Thus when employing the switching mode only one radio system needs to be operating at a given time, which means that the radio hardware can be reused.

55 **[0049]** Figure 2 illustrates the dual mode transceiver of Figure 1 re-configured to utilise radio re-use. As can be seen from Figure 2, the functionality of the IEEE 802.11 physical layer functional element 112 and the Bluetooth physical layer functional element 114 are combined into a single functional element referred to as the IEEE 802.11/Bluetooth dual physical layer functional element, and denoted by reference numeral 200. The dual functional element 200 transmits and receives IEEE 802.11 and Bluetooth packets on signal lines 204 to the device antenna.

**[0050]** The IEEE 802.11/Bluetooth dual physical layer functional element is controlled by the interoperability device

via signal lines 202 to operate as the physical layer functional element for either IEEE 802.11 or Bluetooth in accordance with the current mode of operation selected.

**[0051]** In the multiplexing mode of operation the IEEE 802.11 transmitter is switched off when the Bluetooth transmitter is receiving data and the Bluetooth transmitter is switched off when the IEEE 802.11 device is receiving data. In this way one radio system is never transmitting when the other is receiving, and vice versa. The interoperability device 106 observes the rules of the medium access control protocols, and while the transmission and reception of the IEEE 802.11 and Bluetooth radio systems are time multiplexed, it will appear to the user that the two systems operate in parallel. There will, however, be some performance impact (reduced data throughput, increased data error rate, reduced voice quality).

**[0052]** Furthermore, the interoperability device 106 additionally preferably does not allow the IEEE 802.11 and Bluetooth radio systems to transmit at the same time. Thus interference of one signal with the other at an external (remote) receiver is prevented.

**[0053]** In a preferred implementation of the multiplexing mode, if an IEEE 802.11 packet must be transmitted, all Bluetooth data connections are placed in the so-called PARK mode. The interoperability device 106 will issue one HLC\_Park\_Mode primitive per active ACL (Asynchronous Connectionless data) connection to the Bluetooth transceiver, to put all ACL connections in PARK mode. The PARK mode of the Bluetooth radio system will be familiar to one skilled in the art. In this way, the Bluetooth radio system is deactivated whilst an IEEE 802.11 transmission takes place.

**[0054]** Although the example implementation is presented herein with reference to a discussion of the Bluetooth PARK mode, it will be appreciated by one skilled in the art that the Bluetooth HOLD mode may alternatively be utilised.

**[0055]** If there are active Bluetooth SCO (Synchronous, connection-oriented voice) connections, which transmit and receive periodically in a 0.625 ms Bluetooth slot, then the IEEE 802.11 transceiver must schedule its packet transmissions in-between the Bluetooth packets. The Bluetooth SCO connections are real-time (voice) connections. The interoperability device 106 must take the full IEEE packet exchange period into account, which includes an acknowledgement packet (ACK) and (when the RTS/CTS transmission mode is used) an RTS and CTS packet.

**[0056]** Further hereinbelow a detailed implementation for scheduling IEEE 802.11 packets in an active SCO connection is given. A 'slot-stealing' scheme is explained and a calculation of data throughput that can be achieved given.

**[0057]** The IEEE 802.11 packets may need to be as short as a single slot when such a slot-stealing scheme is implemented, and this implies that the interoperability device 106 has to implement a packet fragmentation and reassembly scheme, so that it can divide IEEE 802.11 packets in chunks that can be accommodated in the number of Bluetooth slots that are available. The IEEE 802.11's own fragmentation mechanisms cannot be used, since these mechanisms assume that all fragments are sent consecutively. In the detailed implementation described hereinbelow, a suitable fragmentation scheme is discussed.

**[0058]** In the following, an example is given for introducing the IEEE 802.11 functionality into a Bluetooth radio system, to enable both radio systems to function together in the same device. The following example is not limiting of the present invention, and the person skilled in the art will recognise that other possibilities exist for the implementation of such an architecture. However, as the Bluetooth specification is dominant the following is a preferred implementation.

**[0059]** The standard Bluetooth radio system uses Frequency Shift Keying (FSK) modulation, sending one bit of information per symbol time of 1  $\mu$ s. Thus the raw bit-rate is 1 Mbit/s. A packet consists of a preamble, containing a channel access code and a payload. The payload, in turn, is divided into a header (containing packet type, destination address and some other information fields) and a user payload field.

**[0060]** On the synchronous connection orientated (SCO) links, voice packets are used. The voice packets are typically of the high-quality voice (HV) types HV1, HV2 or HV3. All of these packet types have a 30-byte payload. The most robust packet, HV1, uses rate 1/3 Forward Error Correction (FEC). Packet type HV2 uses rate 2/3 FEC, and type HV3 does not use FEC at all. The number of user bytes is 10, 20 and 30 bytes respectively for HV1, HV2 and HV3. The packet layout of an Hv-i (where  $i=1,2,3$ ) packet is shown in Figure 3. The total duration of a HV-i voice packet is 330  $\mu$ s. Referring to Figure 3, it can be seen that the Hv-i packet 300 comprises a 72 bit preamble 302, an 18 bit header 304, and a 240 bit (or 30 byte) payload 306.

**[0061]** In addition to the HV-i type packets, there also exists for Bluetooth a data and voice (DV) type packet. The DV type packet offers the same performance as HV3 (i.e. with no FEC), and carries a variable amount of data as well as voice in the same packet. However, a DV packet carries only 10 user bytes, i.e. a third of HV3's user bytes. The duration of the DV packet is 238 to 356  $\mu$ s, depending on the amount of data carried.

**[0062]** Bluetooth packets are sent in time slots, which each have a duration of 625  $\mu$ s. However packets must be less than 625  $\mu$ s to allow the radio system sufficient time to hop to another frequency between time slots. Examples of channel operation for HV1, HV2 and HV3 connection are shown in Figure 4, and described further hereinbelow.

**[0063]** Figures 4(a) to 4(c) illustrate timing diagrams for a single Bluetooth voice connection, based on HV1 (Figure 4(a)), HV2 (Figure 4(b)), or HV3 (Figure 4(c)) packets. The shaded packets are in the forward direction (from Bluetooth master device to Bluetooth slave device), and the clear packets are in the reverse direction (from Bluetooth slave device to Bluetooth master device). Eight time slots TS1 to TS8 are shown. As can be seen forward packets are sent

in odd-numbered time-slots and reverse packets are sent in even-numbered time-slots. The frequency hops, in accordance with the Bluetooth standard, on every time slot, such that the frequencies  $f_1$  to  $f_8$  are hopped-to in times slots TS1 to TS8 respectively.

**[0064]** All voice connection rates are specified to be 64 kbit/s. To achieve this rate a HV1 packet must be sent every other slot, since in every HV1 packet  $(1/3) \times 30 \times 8 = 80$  bits of user data are sent.  $(1/3)$  is the FEC used in HV1, and  $30 \times 8$  is the number of bits in a 30 byte payload. One packet is sent every  $2 \times 0.625$  ms time-slots, which is equal to 1.25 milliseconds, 0.625 ms being the length of each slot. The user bit rate is thus  $80/1.25$  bits/ms = 64 kbit/s. Since a voice link is full duplex, the other remaining alternate empty slots are required for the reverse link. This allocation of forward and reverse packets to time-slots is shown in Figure 4(a).

**[0065]** HV2 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every four slots, as shown in Figure 4(b).

**[0066]** HV3 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every six slots, as shown in Figure 4(c). Thus even if there were two HV3 links active, there would still be required only four time-slots in every six time-slots, leaving two time-slots in every six free.

**[0067]** As a DV packet, similar to a HV1 packet, carries only 10 user bytes, a DV packet must similarly be transmitted every other slot to achieve a rate of 64 kbit/s.

**[0068]** Hence in combination with a single HV1 or DV voice link, no IEEE 802.11 data traffic can be transmitted or received without reducing the voice quality of the transmission.

**[0069]** With a single HV2 link, or HV3 links, two slots are available for IEEE 802.11 traffic. With a single HV3 link, 4 slots are available for IEEE 802.11 traffic.

**[0070]** Working within these parameters set by the Bluetooth transmission system, it is necessary to determine what IEEE 802.11 user bit rate is possible, given the available time slots. As discussed further hereinbelow, this depends to a certain extent on the overhead of the IEEE 802.11 packet.

**[0071]** IEEE 802.11 packets have either a short or a long preamble, of 96 or 192  $\mu$ s respectively. The IEEE 802.11 packet payload is transmitted at a rate of one byte in every symbol time with a duration of  $8/11$ -th  $\mu$ s. This gives a bit rate of 11 Mbit/s. The payload contains a 24 byte header and a 32 bit (4 byte) CRC field, which takes  $28 \times (8/11) = 20.3$   $\mu$ s to send in total. A SIFS (Short Interframe Space) time of 10  $\mu$ s after correct reception of a packet, the recipient transmits an acknowledgement packet, which consists of a header of 96 or 192  $\mu$ s. The payload contains MAC protocol control information of 14 bytes that take  $14 \times 8/11 = 10.2$   $\mu$ s to transmit. Figure 5 depicts an IEEE 802.11 packet transmission.

**[0072]** As shown in Figure 6, an IEEE 802.11 forward data packet 500 consists of a preamble 504, a MAC header 506 and a data field 508. If received correctly, the receiver, responds with an acknowledgement packet 502 after a SIFS period. The latter packet consists of a preamble 510 and an acknowledgement field 512 comprising MAC information.

**[0073]** There are thus 4 scenarios to consider: there are two possible IEEE preamble lengths (96 and 192  $\mu$ s); and there are either two or four Bluetooth "idle" periods (two and four slots).

**[0074]** The scenario where two Bluetooth slots are available for transmission for IEEE transmissions having a long preamble is considered.

**[0075]** The overhead due to preambles, SIFS, and MAC overhead amounts to  $[2 \times 192] + 10 + [(28+14) \times (8/11)] = 424.5$   $\mu$ s. Of the two idle slots, it is permissible only to use  $625 + 366 = 991$   $\mu$ s according to the Bluetooth specification. This is to leave  $625 - 366 = 259$   $\mu$ s to allow the radio system to hop to the frequency of the next slot. Subtract 424.5 from 991, to get 566.5, which is the time left for actual data transmission at 11 Mbit/s. In this time  $566.5 / (8/11) = 779$  IEEE 802.11 bytes can be transmitted. This data can be transmitted every 4 slots. Hence the effective bit rate is equal to  $(8 \times 779) / (4 \times 625) = 2.5$  Mbit/s.

**[0076]** The scenario where four Bluetooth slots are available for transmission for IEEE transmissions having a long preamble is now considered.

**[0077]** If four Bluetooth slots are available, then the time for payload transmission is equal to payload time  $625 \times 3 + 366 - 424.5 = 1817$ . This Equates to  $1817 / (8/11) = 2498$  IEEE 802.11 CCK bytes. The equivalent bit rate is now  $(8 \times 2498) / (6 \times 625) = 5.33$  Mbit/s

**[0078]** If the calculations are repeated for short IEEE 802.11 preambles, the bit rates are 3.33 Mbit/s for an HV2 connection or for two HV3 connections. For a single HV3 connection the bit rate is 5.89 Mbit/s. The results are summarised in Table 1.

Table 1

IEEE 802.11 throughput	Two Slots	Four Slots
Short preamble	3.33 Mbit/s	5.89 Mbit/s

Table 1 (continued)

IEEE 802.11 throughput	Two Slots	Four Slots
long preamble	2.49 Mbit/s	5.33 Mbit/s

5  
10  
[0079] Table 1 shows IEEE 802.11 user throughputs if IEEE 802.11 packets are transmitted in slots that are left idle by Bluetooth. If there is one HV2 connection or two HV3 connections, there are 2 idle slots to transmit. If there is one HV3 connection, there are 4 idle slots to transmit. If there is on HV1 or DV1 connection there are no idle slots. If there is no SCO connection at all, then all slots are available for transmission, and the theoretical IEEE 802.11 maximum of 11 Mbit/s can be achieved.

15  
[0080] If a Bluetooth ACL packet must be transmitted, the interoperability device 106 simply holds back IEEE 802.11 packets. As the ACL packets are none real time data packets, they can be held back. When a Bluetooth ACL packet is to be transmitted, an IEEE 802.11 packet transmission will not be in progress, as the ACL connection would be in PARK mode if an IEEE transmission was in progress, as discussed hereinabove.

20  
[0081] In an alternative fomulation, if a Bluetooth ACL packet transmission or reception is in progress, the IEEE 802.11 transmission is held back until the Bluetooth transmission/reception is completed. Then the Bluetooth ACL connection is put in HOLD or PARK mode, and the IEEE802.11 transmission can be scheduled and organised around SCO transmissions, as described above.

25  
[0082] Optionally, the interoperability device has a further mode in which it will not allow the IEEE 802.11 devices and Bluetooth device to receive in parallel. By not allowing this, only one radio will be operating at a given time, which implies that the radio hardware can be reused. This again results in an architecture as shown in Figure 2. In this mode Bluetooth SCO slots are always received. If neither the Bluetooth nor the IEEE 802.11 transmitter need to transmit, the common receiver listens to either Bluetooth or IEEE 802.11 packets, according to an algorithm.

30  
[0083] Such an algorithm may be static; for instance the receiver listens to IEEE 802.11 in odd slots and to Bluetooth packets in even slots. Also given the distribution of traffic between Bluetooth and IEEE802.11, the algorithm could give preference to one over the other.

35  
[0084] Finally, the receiver may have a dual synchronisation mode, where it listens to the channel, detects on the fly what type of packet is in the medium (Bluetooth or IEEE 802.11), and reports this to the receiver, which will switch to the appropriate reception mode.

40  
[0085] Both IEEE 802.11 and Bluetooth Packets may be longer than a single slot. In that case the receiver attempts to receive the packet until completion.

45  
[0086] In a typical embodiment of the invention, the MAC controller of the IEE802.11 device and the baseband controller of the Bluetooth device may be implemented in separate, dedicated processor chips. The interoperability device's functionality may be implemented in an additional chip. Alternatively, the functionality of the interoperability device can be added to the controller chips of either the Bluetooth or the IEE802.11 device. In a still further alternative, it is possible to integrate the IEEE 802.11 MAC control functions and the Bluetooth control function in a single chip and add the interoperability functionality to the same chip as well. Other arrangements of chips and division of interoperability functionality are also possible.

50  
[0087] Figure 6 illustrates an example of a "system on a chip" implementation of a combined IEEE 802.11 MAC controller and a Bluetooth Baseband controller. The chip 600 includes a DMA (Direct Memory Access) 610, an interrupt controller (Int. Ctrl) 612, timers 614, RAM (Random Access Memory) 616 all connected to a CPU (central processor unit) 622 via an internal bus 624, which elements are all required for both the IEEE 802.11 and Bluetooth functions. An external bus (Ext. Bus) block 608 is also required for both the IEEE 802.11 and Bluetooth functions, and is connected to the CPU 622 via internal bus 624 and to an external flash memory and/or ROM via lines 626. A USB (Universal Serial Bus) block 606, connected to internal bus 624, is used to interface the Bluetooth transceiver and optionally the IEEE 802.11 transceiver to a host PC via connections 628. The (mini) PCI block 602, connected to the internal bus 624, is used to interface between the host PC (via connections 628) and the IEEE 802.11 transceiver. A PCI based interface between host PC and Bluetooth is not yet defined but is foreseen. The UART block is also connected to the internal bus 624 and to the external connections 628.

55  
[0088] The CPU micro-controller 622 runs firmware that implements the IEEE 802.11 MAC and Bluetooth baseband functions. A Bluetooth Link Controller block 618 and an IEEE 802.11 MAC support block 620 are connected to the CPU via the internal bus 624, and operate in conjunction with the CPU 622 to implement hardware assist functions for both the Bluetooth and IEEE 802.11 transceivers respectively.

[0089] The Bluetooth Link Controller 618 is connected to the Bluetooth physical layer functional elements (not shown) via connections 632, and similarly the IEEE 802.1 MAC support block 620 is connected to the IEEE 802.11 physical layer functional elements (not shown) via connections 634

**Claims**

- 5 1. A device incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio systems such that only one or the other radio system may transmit at any one time.
- 10 2. The device of claim 1 wherein the first radio system is a Bluetooth system and the second radio system is an IEEE 802.11 system.
- 15 3. The device of claim 1 or claim 2 wherein the device is additionally controlled such that when one device is transmitting the other device cannot receive or transmit.
- 20 4. The device of any one of claims 1 to 3 wherein the device is additionally controlled such that one device is receiving the other device cannot receive or transmit.
- 25 5. The device of claim 1 or claim 2, wherein the control means comprises a switching means, the switching means being adapted to switch on and off the first and second radio systems.
- 30 6. The device of claim 1 or claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the first and second radio systems.
- 35 7. The device of claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
- 40 8. The device of claim 7, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four.
- 45 9. The device of claim 7, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
- 50 10. The device of claim 7, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
- 55 11. The device of claim 2 wherein the control means prevents transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.
12. The device of claim 2 wherein the control means prevents transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
13. The device of any one of claims 1 to 12 in which the first and second radio systems share a common physical layer.
14. A method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time.
15. The method of claim 14 wherein the first radio system is a Bluetooth system and the second radio system is an IEEE 802.11 system.
16. The method of claim 14 or 15 further comprising controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.
17. The method of any one of claims 14 to 16 further comprising controlling the radio systems such that one device is receiving the other device cannot receive or transmit.
18. The method of claim 14 or 15 wherein the radio systems are controlled by switching on and off the first and second

radio systems.

- 5
19. The device of claim 14 or claim 15 wherein the radio systems are controlled by time multiplexing transmissions from the first and second radio systems.
20. The method of claim 15, comprising time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
- 10
21. The method of claim 20, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four.
22. The method of claim 20, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
- 15
23. The method of claim 20, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
24. The method of claim 15 further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.
- 20
25. The method of claim 15 further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
- 25
26. The method of any one of claims 14 to 25 in which the first and second radio systems share a common physical layer.
- 30
- 35
- 40
- 45
- 50
- 55

FIG. 1

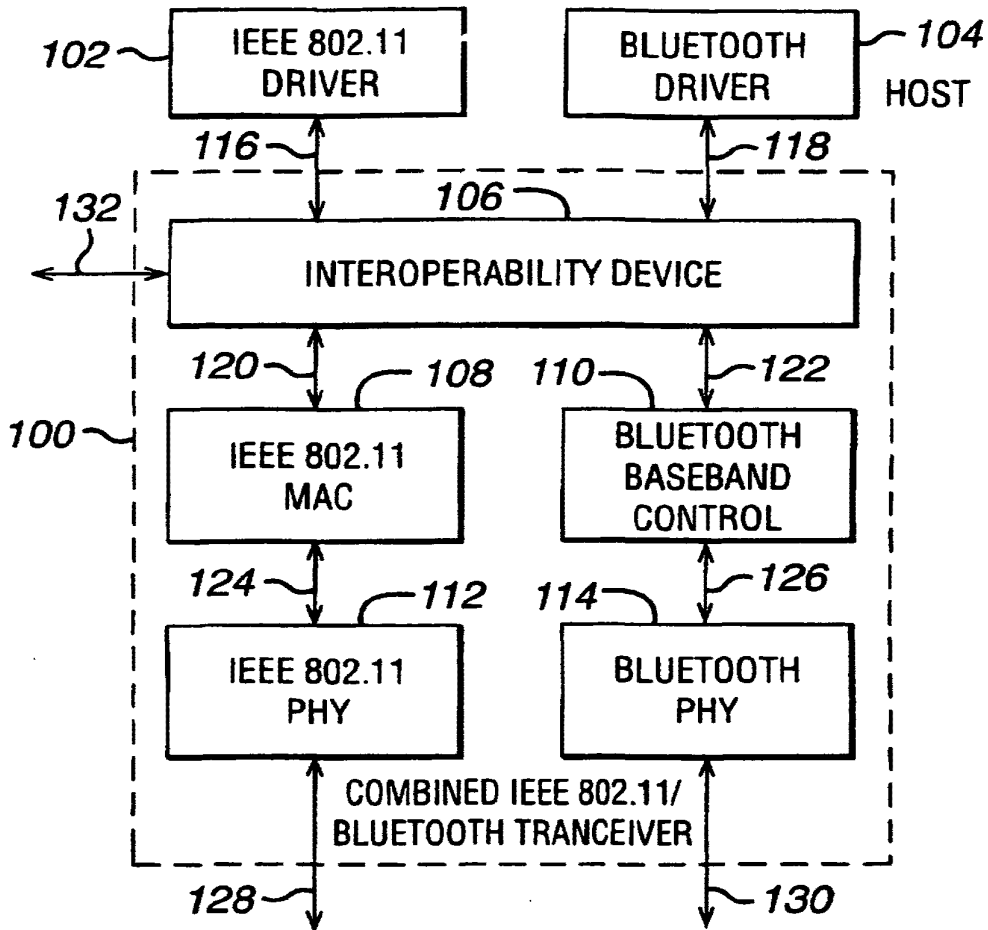


FIG. 3

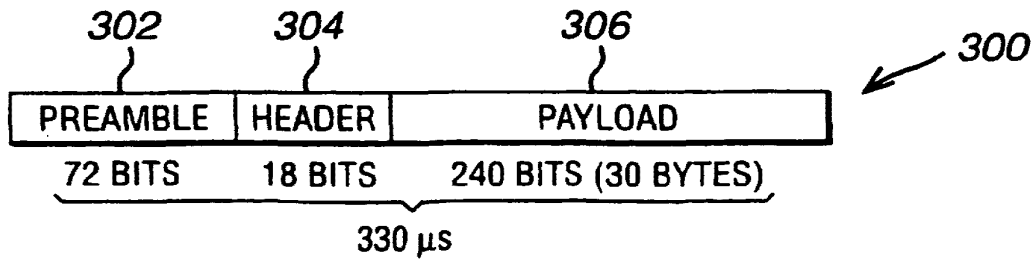


FIG. 2

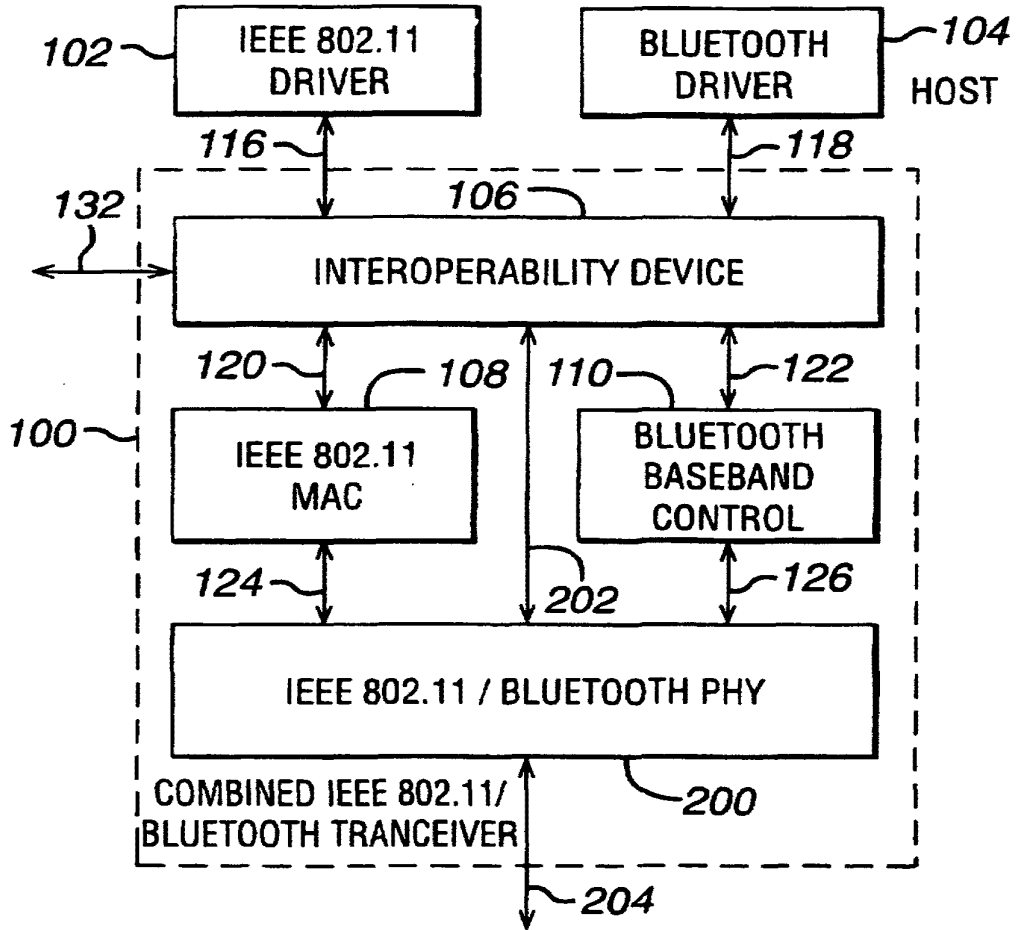
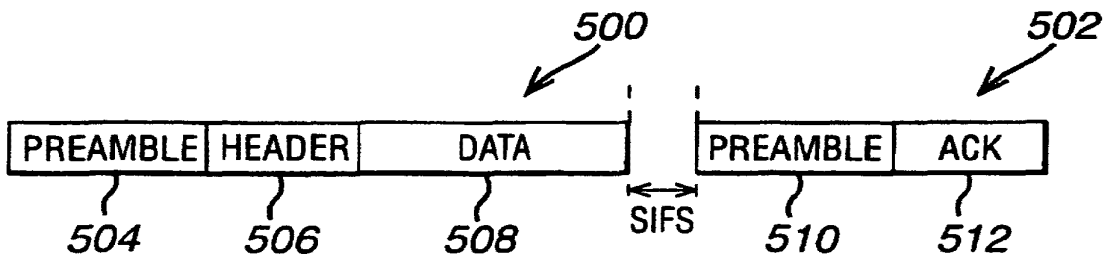
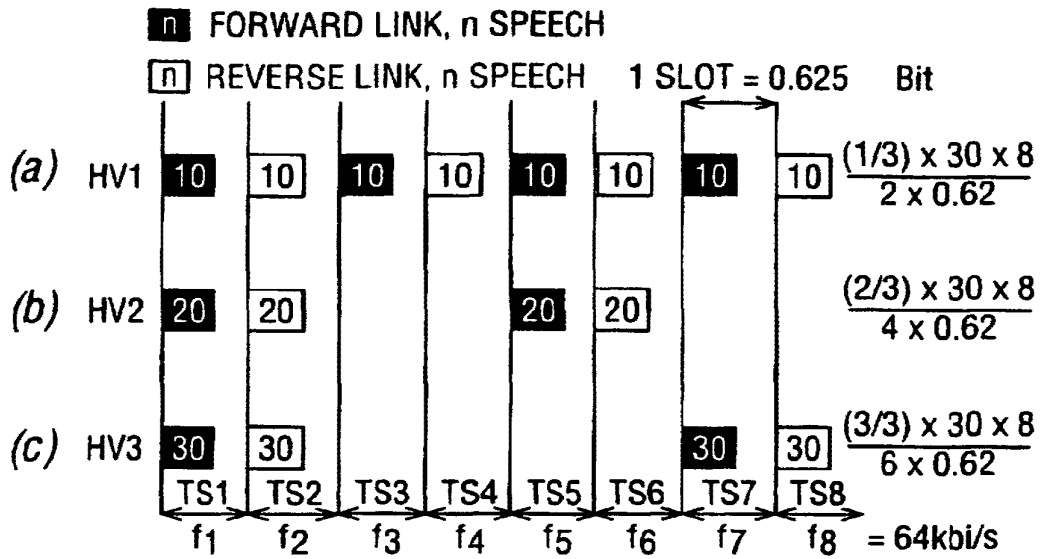


FIG. 5

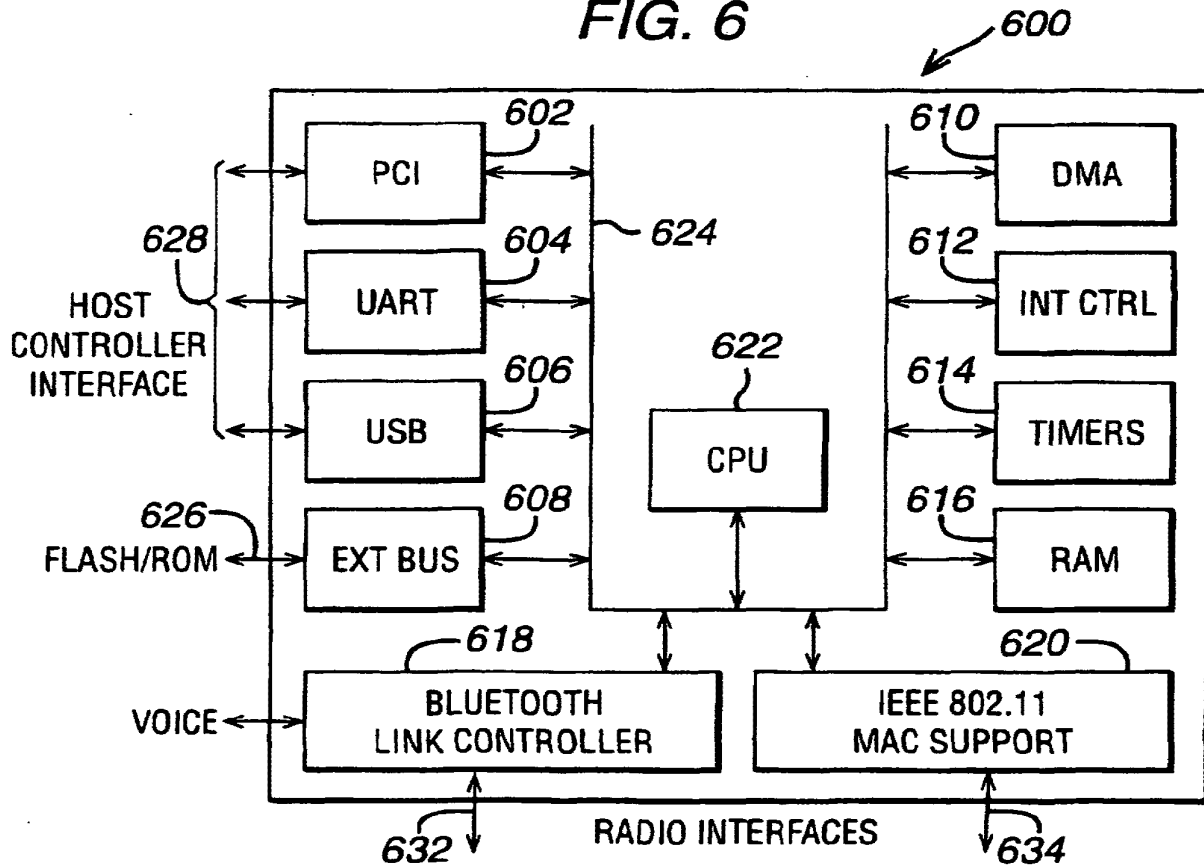




**FIG. 4**



**FIG. 6**





European Patent Office

EUROPEAN SEARCH REPORT

Application Number  
EP 00 30 0397

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
X	US 5 960 344 A (MAHANY RONALD L) 28 September 1999 (1999-09-28)	1, 14	H04L12/28 H04L12/56
A	* column 4, line 36 - column 5, line 30 *  * column 9, line 66 - column 10, line 21 *	3-5, 16-18	
X	US 5 903 548 A (DELAMATER JEFF) 11 May 1999 (1999-05-11)	1, 14	
A	* column 2, line 64 - column 3, line 23 *  * column 3, line 48 - line 61 * * column 4, line 45 - line 55 * * column 5, line 17 - line 48 * * column 8, line 7 - column 9, line 6 *	3-5, 13, 16-18, 26	
A	WO 99 29126 A (JOERESSEN OLAF J ;NOKIA MOBILE PHONES LTD (FI)) 10 June 1999 (1999-06-10)  * page 1, line 25 - line 29 * * page 4, line 4 - line 12 *	1, 2, 7-12, 14-16, 20-26	
			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			H04L H04Q
The present search report has been drawn up for all claims			
Place of search	Date of completion of the search	Examiner	
THE HAGUE	20 June 2000	Heinrich, D	
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure F : intermediate document			

EPO FORM 1503 03 92 (F04/C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 00 30 0397

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

20-06-2000

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5960344 A	28-09-1999	US 5546397 A AU 1374795 A WO 9517724 A	13-08-1996 10-07-1995 29-06-1995
US 5903548 A	11-05-1999	NONE	
WO 9929126 A	10-06-1999	AU 1500299 A	16-06-1999

EPO FORM P0159

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

**THIS PAGE BLANK (USPTO)**

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner For Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20233  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/089,959	FIRST NAMED APPLICANT Bernhard Walke	ATTY. DOCKET NO. PHDE000238
---	---	--------------------------------

INTERNATIONAL APPLICATION NO.

PCT/EP01/09258

I.A. FILING DATE	PRIORITY DATE
------------------	---------------

08/08/2001

08/08/2000

Corporate Patent Counsel  
 Philips Electronics North America Corporation  
 Tarrytown, NY 10591

CONFIRMATION NO. 1142

371 ACCEPTANCE LETTER



\*0C00000008270309\*

Date Mailed: 06/26/2002

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated Office (37 CFR 1.494) , has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

04/04/2002

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

04/04/2002

DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).* Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Assignee Statement
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)


---

DEBORAH D WILLIAMS  
Telephone: (703) 305-3744

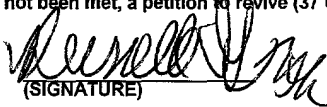
**PART 3 - OFFICE COPY**

FORM PCT/DO/EO/903 (371 Acceptance Notice)



FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NO. PHDE 000238
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application No. (if known, see 37 CFR 1.5) <b>10/089959</b>
INTERNATIONAL APPLICATION NO. PCT/EP01/09258	INTERNATIONAL FILING DATE AUGUST 8, 2001	PRIORITY DATE CLAIMED AUGUST 8, 2000
TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DEFFERENT STANDARDS IN THE SAME FREQUENCY BAND		
APPLICANT(S) FOR DO/EO/US BERNHARD WALKE; STEFAN MANGOLD		
Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 18th month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <p>    a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>    b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>    c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2))</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>    a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>    b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>    c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>    d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11. to 16. below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.     <input type="checkbox"/> A SECOND OR SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input checked="" type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:     Application as published (WO 02/13457 A2)     3 Sheets of Formal Drawings</p>		
		<p align="center"><b>CERTIFICATE OF EXPRESS MAILING</b></p> <p>Express Mail Mailing Label No. <u>EL 686950531</u></p> <p>Date of Deposit <u>April 4, 2002</u></p> <p>I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington D.C. 20231</p> <p>Edna Chapa Typed Name</p> <p> Signature</p>

200406563001

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/089959</b>	INTERNATIONAL APPLICATION NO. PCT/EP01/09258	ATTORNEY'S DOCKET NUMBER PHDE 000238	
17 [ X ] The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)): Search Report has been prepared by the EPO or JPO <span style="float:right">\$940.00</span> International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) <span style="float:right">\$720.00</span> No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) <span style="float:right">\$760.00</span> Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO <span style="float:right">\$970.00</span> International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) <span style="float:right">\$ 96.00</span> ENTER APPROPRIATE BASIC FEE AMOUNT = <span style="float:right">\$970.00</span>		CALCULATIONS (PTO USE ONLY)	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	11 - 20 =		X \$ 18.00
Independent claims	3 - 3 =		X \$ 78.00
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$260.00
TOTAL OF ABOVE CALCULATIONS		=	\$970.00
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)			\$
SUBTOTAL		=	\$970.00
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			\$
TOTAL NATIONAL FEE		=	\$
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property			+ \$40.00
TOTAL FEES ENCLOSED		=	\$1,010.00
		Amount to be refunded	\$
		charged	\$
a. [ ] A check in the amount \$ _____ to cover the above fees is enclosed. b. [ X ] Please charge my Deposit Account No. <u>14-1270</u> in the amount of <u>\$1,010.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. [ X ] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO: Corporate Patent Counsel Philips Electronics North America Corporation Tarrytown, NY 10591		 (SIGNATURE) <b>Russel Gross</b> 40,007 (REGISTRATION NUMBER)	
DATE OF MAILING: <b>April 4, 2002</b>			

20020404 089959

3/ptw

Method, network and control station for the two-way alternate control of radio systems of different standards in the same frequency band

The invention relates to a method of alternate control of radio systems of different standards in the same frequency band.

A radio system for wireless transmission of information is allowed to use transmission power only in accordance with standards. The national regulation authority determines on what frequencies with what transmission power and in accordance with what radio interface standard a radio system is allowed to transmit. For this purpose there is provided for so-termed ISM frequency bands (Industrial Scientific Medical) that radio systems transmit in the same frequency band in accordance with different radio interface standards. An example of this is the US radio system IEEE802.11a and the European ETSI BRAN HiperLAN/2. The two radio systems transmit in the same frequency bands between 5.5 GHz and 5.875 GHz with approximately the same radio transmission method, but different transmission protocols.

In the event of interference, method were standardized for an active switching to another frequency within the permitted frequency band, for controlling transmission power and for the adaptive coding and modulation to reduce interference. Radio systems of wideband LANs of the radio interface standards ETSI BRAN HiperLAN/2 and IEEE802.11a utilize the same radio transmission method, a 64-carrier OFDM method and an adaptive modulation and coding. About the same modulation and coding methods (Link Adaptation, LA) are defined for the two standards.

The Medium Access Control (MAC) of the two systems is totally different. ETSI BRAN HiperLAN/2 utilizes a centrally controlled reservation-based method in which a radio station takes over the role of a central instance co-ordinating the radio resources. This central radio station (Access Point, AP) which may be an access point to the wide area network, periodically signals every 2 ms the MAC frame structure from the AP and the associated stations if required.

The IEEE802.11a standard describes a CSMA/CA (Carrier Sense Multiple Access/Collision Avoidance) method not based on reservations, in which all the radio

stations listen in on the medium and assume that the channel is unused for a minimum duration (Short InterFrame Space, SIFS) before 802.11a-MAC frames, thus user data packets, are transmitted if necessary. The method is highly suitable for self-organizing ad hoc networks, but requires positive acknowledgements of all the packets. Measures supporting service quality (Point Coordination Function PCF) in addition allow the support of multimedia applications. Fig. 2 shows by way of example the sequence for media access in accordance with IEEE802.11a. In accordance with a variant of the standard a station is to then transmit an RTS packet (Ready To Send) and wait for a CTS packet (Clear To Send) from the addressed station before it is allowed to transmit user data. All the other stations in the radio coverage area set a time monitoring (Network Allocation Vector, NAV) and do not transmit until the addressed station has sent an acknowledgement (ACKnowledge, ACK).

Wideband LANs in accordance with the HiperLAN/2 and 802.11a standards will operate in the same frequency band in the future between 5.15 and 5.825 GHz. The wideband LANs work with Transmitter Power Control (TPC), it is true, with adaptive radio transmission methods and the Dynamic Frequency Selection (DFS) to minimize the alternating interfering effects, these methods, however, do not make optimum use and spreading possible of the radio channels over the stations which transmit in accordance with different standards. The guarantee of the service quality necessary for the multimedia applications is impossible in the case of interference caused by their own stations or stations of outside systems. In case of alternating interference, systems do not work efficiently and occupy a frequency channel even at low transmission rates.

It is an object of the invention to provide a method, a wireless network and a control station which make efficient use of radio transmission channels possible.

This object is achieved for the method in accordance with the invention by an interface control protocol method for a radio system, which system comprises at least a frequency band provided for the alternate use of a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, respectively, a control station being provided which controls the alternate use of the frequency band.

The invention is based on the idea of providing a comprehensive standard exchange of implicit or explicit control information in systems that have the same radio transmission methods but different radio transmission protocols. This makes a simple and efficient use possible of a radio channel via a plurality of radio interface standards.

The radio system comprises one or more stations. The stations may be, for example, computers of a wireless local area network. These stations may be arranged, for example, only for operation in accordance with a first or second radio interface standard. But it is also possible for stations to operate in accordance with both the first and the second radio interface standard.

A first number of stations preferably forms a wireless local area network in accordance with a first radio interface standard and a second number of stations forms a wireless network in accordance with a second radio interface standard. The first radio interface standard may be, for example, the HiperLAN/2 standard and the second radio interface standard may be the IEEE802.11a standard.

For these two standards is reserved the frequency band from 5.15 GHz to 5.825 GHz.

In accordance with the invention a control station is provided which controls the alternate use of the common frequency band of the two radio interface standards.

The control station is preferably a station that may operate in accordance with both the first and the second radio interface standard.

The control of the alternate use of the common frequency band may be effected in various ways. For example, it is possible to provide certain predefinable time intervals for the use of the first and second radio interface standard and allocate the frequency band alternately to the first radio interface standard and then to the second radio interface standard in a kind of time-division multiplex mode.

However, it is advantageous to effect the allocation by means of adaptive protocols. The common radio channel can then be utilized more effectively particularly when the demand for transmission capacity in accordance with the first and the second radio interface standard varies.

In the advantageous embodiment of the invention as claimed in claim 2, the control station is provided, on the one hand, for controlling the access to the frequency band for stations operating in accordance with the first radio interface standard. If the first radio interface standard is, for example, the HiperLAN/2 standard, the control station performs the function of the central controller (Access Point AP) in accordance with this standard. In that case the stations of the HiperLAN/2 standard send a request for capacity to the control station and the control station allocates transmission capacity to each respective station.

On the other hand, the control station is provided in an advantageous embodiment of the invention as claimed in claim 2 for releasing the common frequency band

for access by stations operating in accordance with the second radio interface standard, if stations operating in accordance with the first radio interface standard do not request access to the frequency band. In this advantageous embodiment of the invention the first radio interface standard is given priority over the second radio interface standard in this manner.

5 The release of the common frequency band for the second radio interface standard may be effected, for example, explicitly by the sending of control information to the stations of the second radio interface standard.

10 Alternatively, it is possible, for example, that the point coordinator provided in accordance with the IEEE802.11a standard operates as the central control station and controls the alternate access of stations of the first and second radio interface standard to the common frequency band. In this advantageous embodiment of the invention the point coordinator could for example periodically render the common frequency band available to another radio interface standard, for example, to the HiperLAN/2 standard.

20 In the advantageous embodiment as claimed in claim 3, the control is effected in that the control station determines the respective duration in which the stations operating in accordance with the second radio interface standard can utilize the common frequency band. Determining the duration may advantageously be effected as claimed in claim 4 in that the control station sends a broadcast signal which informs the stations of a time period in which the frequency band can be used by stations operating in accordance with the second radio interface standard.

25 It is an advantage of the invention that when radio systems are operated in phases in which no information is sent or received by a radio station in accordance with a first radio interface standard, the additional sending of information in accordance with another radio interface standard becomes possible, so that the access to the radio channel can be controlled by competing radio systems.

30 It is then possible for a first radio station operating in accordance with a first radio interface standard to additionally carry out certain functions described in a second radio interface standard, while the first radio station or a coordinating further radio station that transmits in accordance with the first radio interface standard determines the beginning and duration of the phase that may be used by the first station for transmission in accordance with the second radio interface standard.

Depending on the radio interface standard, beginning and duration can be defined only approximately, while the respective standards are violated regularly or from time to time. The first station may preferably end the phase during which it transmits in

accordance with the second radio interface standard, while disregarding resulting interference in stations operating in accordance with the second radio interface standard.

The first radio station may, in addition to functions in accordance with the second radio interface standard, also carry out functions that cause radio systems working in accordance with the second radio interface standard or radio systems working in accordance with the first radio interface standard to interpret the radio channel as interfered and occupy another radio channel for its own operation.

The efficient common use of a radio channel by different radio systems may be achieved via a suitable control protocol method. Such a radio interface control protocol method enables a first station of a radio system working in accordance with the first radio interface standard to control the access times to the radio channel by other stations. For this purpose this first station then has to carry out functions described in another, second radio interface standard in addition to the functions laid down by its own first radio interface standard at times at which stations working in accordance with the first radio interface standard do not send and do not expect information in accordance with the standard from the first station, while the first station or a further station determines the duration for which the first station is allowed to transmit in accordance with the second radio interface standard. The duration of the operation in accordance with the second radio interface standard need not be determined exactly but may also be determined approximately. A transmission in accordance with the first radio interface standard can provide that the first station terminates the use of the radio interface in accordance with the second radio interface standard without taking resulting interference into account in stations that send in accordance with the second radio interface standard.

The object of the invention is achieved for the network by a wireless network that has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, while the wireless network comprises stations that work in accordance with the first and/or the second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

Several examples of embodiment of the invention will be further explained below with reference to the drawing in the Figs. 1 to 3, in which:

Fig. 1 shows the frame structure in accordance with the ETSI BRAN HiperLAN/2 standard,

Fig. 2 gives a diagrammatic representation of the access to a radio channel in systems in accordance with the IEEE802.11a standard, and

Fig. 3 shows two wireless local area networks in accordance with a first and a second radio interface standard.

5

Fig. 1 shows the structure of the HiperLAN/2-MAC frame.

Fig. 2 diagrammatically shows the media access in systems working in accordance with the radio interface standard IEEE802.11a.

10 In a HiperLAN/2 system the central controller can be controlled via the Access Point (AP) which periodically generates the MAC frame and then transmits the data of the broadcast phase to individually control the service quality (packet delay sending rate and so on) of individual links.

20 Transmission in Figs. 1 and 2 with respect to the associated standards is understood to mean that a HiperLAN/2 AP in a partially unused downlink, uplink and direct-mode phase could dispense with sending useless (dummy) information and giving 802.11-systems no opportunity to observe an unused channel for a period of time SIFS and starting the run as shown in Fig. 2. The AP could readily regain the control in which the transmission in accordance with the HiperLan/2 standard does not suppress the broadcast phase, but transmission takes place. Likewise, the function PCF of the 802.11 standard could be used to occasionally render the radio channel available to HiperLAN/2 systems with a time limit (periodically).

25 The alternate control of radio systems of different standards, which control is proposed here and discussed with respect to an example of the wideband LANs ETSI BRAN HiperLAN/2 and IEEE802.11a, may guarantee in a heterogeneous environment in which various radio systems simultaneously transmit very close together in the same spectrum, a decentrally controlled adaptivity relative to the transmission capacity available in the respective systems for the management of the respective current traffic supply, of the required service quality and of the environment of use. When the integrated controller in accordance with the invention is used, different radio systems may be made compatible in the way that they constructively coexist in the same frequency band and then can provide 30 services that require a high service quality. The radio spectrum is clearly used more efficiently; without the implementation of the new method this is only possible with respective exclusively used radio channels.

Fig. 3 diagrammatically shows two wireless local area networks.



A first wireless local area network comprises three stations 10, 11 and 12.

These three stations 10, 11 and 12 work in accordance with the first radio interface standard A, for example, in accordance with the HiperLAN/2 standard.

A second wireless local area network includes four stations 14, 15, 16 and 17.

5 These four stations 14, 15, 16 and 17 work in accordance with the second radio interface standard B, for example, in accordance with the IEEE802.11a standard.

The stations may be, for example, computers which include a radio interface. The communication between the individual stations is effected in a wireless fashion, for example, by radio.

10 For wireless local area networks in accordance with the HiperLAN/2 and IEEE802.11a standards the frequency band is comprised between 5.15 GHz and 5.825 GHz.

A central control station 13 is provided which controls the alternate access by the first wireless network and the second wireless network to the common frequency band.

This may be effected in an advantageous manner in that the station 13 sends a broadcast message to the stations 14 to 17 of the IEEE802.11a standard when the stations 10 to 12 do not need transmission capacity. This broadcast message preferably contains time information which informs the stations 14 to 17 of the IEEE802.11 standard how long they are allowed to utilize the common frequency band. During this time the control station 13 can also carry out functions in accordance with the IEEE802.11a standard, for example, also be used for data transmission in accordance with the IEEE802.11a standard.

20 If the stations 10 to 12 of the first wireless network are HiperLAN/2 stations, the control station 13 preferably also operates as the central control station (Access Point) of the HiperLAN/2 network and co-ordinates its radio resources. In HiperLAN/2 systems it is planned beforehand at what time the stations are allowed to send. For this purpose the  
25 HiperLAN/2 systems have a central controller (Access Point, AP) which receives the requests for capacity from the various stations and assigns capacity accordingly. The central control station 13 is preferably also provided for carrying out the function of the access point of the HiperLAN/2 standard. The central control station 13 then periodically signals every 2 ms the MAC frame structure in accordance with the requirements of the individual stations of  
30 the HiperLAN/2 network.

Alternatively, it is also possible, however, in HiperLAN/2 systems for the function of the access point and the function of the alternating control of the access to the common frequency band by the first wireless network and the second wireless network to be realized in separate stations. In that case, however, with respect to the duration in which the

frequency band can be utilized by the first or second radio interface standard a data exchange is necessary between these separate stations.

Alternatively, it is possible, for example, for the point co-ordinator provided in accordance with the IEEE802.11 standard to operate as a central control station and to  
5 control the alternate access to the common frequency band by stations of the first and second radio interface standards. In this embodiment the point co-ordinator would, for example, periodically render the common frequency band available to another radio interface standard, for example, to the HiperLAN/2 standard.

## CLAIMS:

1. An interface-control protocol method for a radio system which has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

2. A method as claimed in claim 1, characterized in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. A method as claimed in claim 1, characterized in that the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. A method as claimed in claim 1, characterized in that the control station sends a broadcast signal informing the stations of a time duration in which the frequency band can be used by stations working in accordance with the second radio interface standard.

5. A method as claimed in claim 3, characterized in that the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. A method as claimed in claim 1, characterized in that the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking

account of resulting interference in stations working in accordance with the second radio interface standard.

5 7. A method as claimed in claim 1, characterized in that the control station controls the access to the frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

10 8. A method as claimed in claim 1, characterized in that the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. A method as claimed in claim 1, characterized in that the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

20 10. A wireless network comprising at least one frequency band provided for the alternate use by a first and a second radio interface standard, the wireless network comprising stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, a control station being provided which controls the  
25 alternate use of the frequency band.

30 11. A control station for a wireless network, the control station being provided for controlling the alternate use of a frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio interface standard.

## ABSTRACT:

The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in  
5 accordance with a second radio interface standard, in which a control station is provided that controls the two-way alternate utilization of the frequency band.

Fig. 3

20040623001

1/3

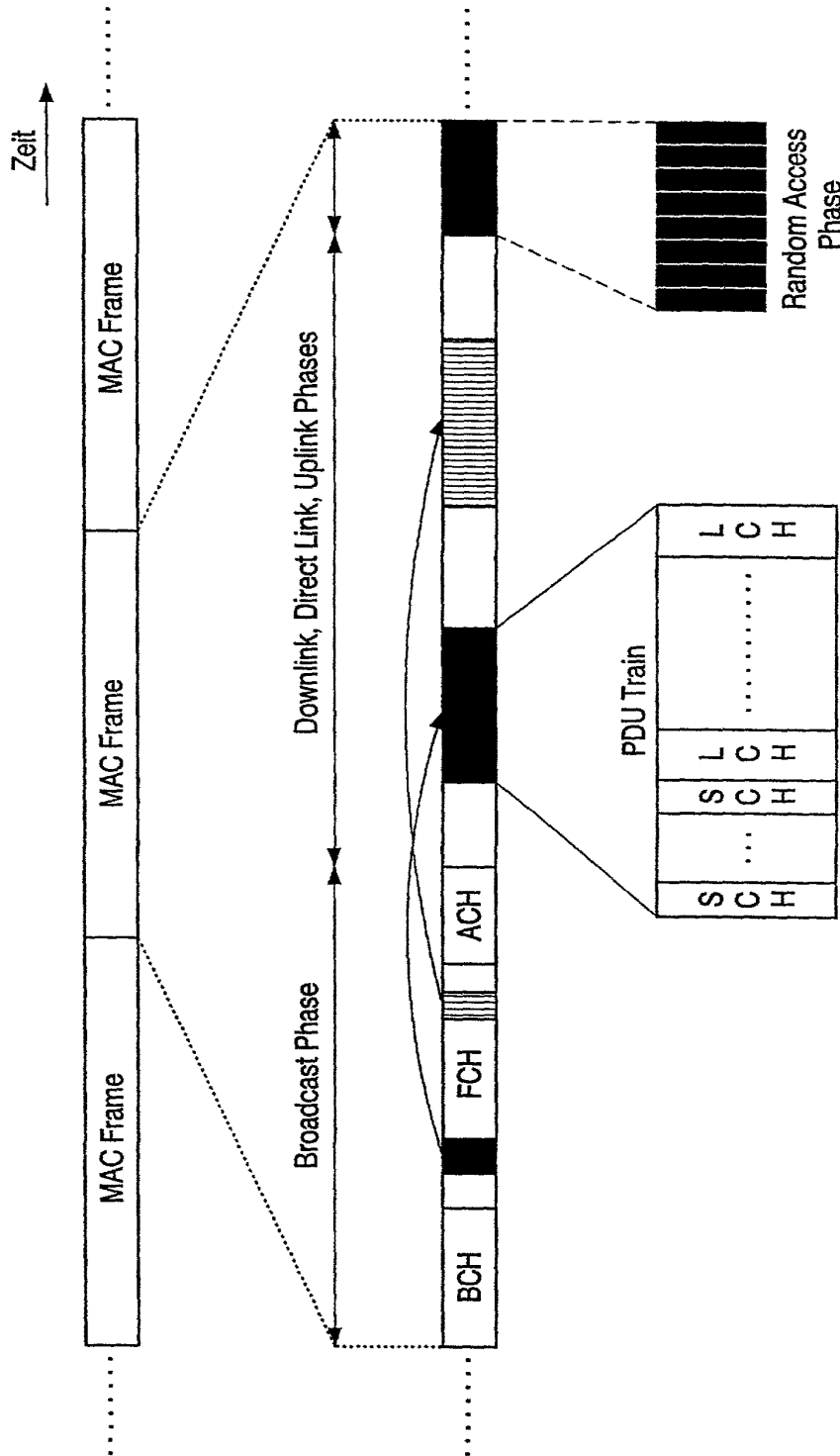


FIG. 1

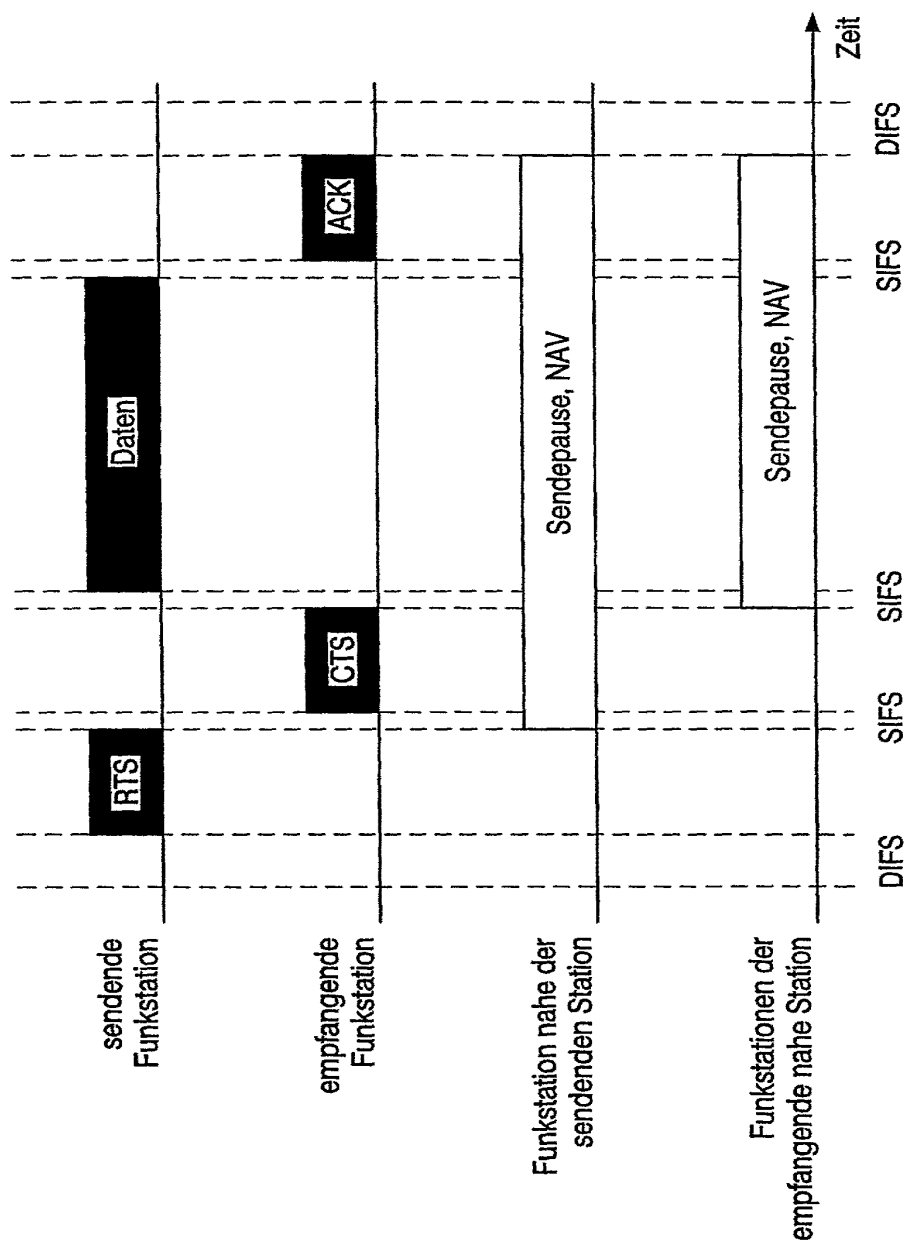


FIG. 2

3/3

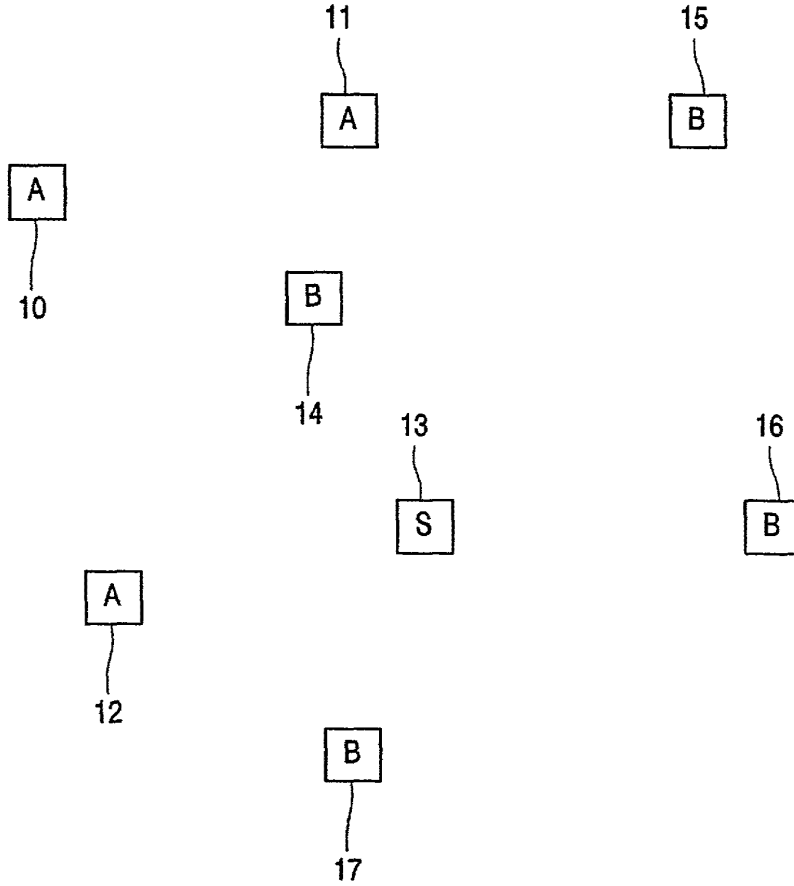


FIG. 3



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

the specification of which (check only one item below):

is attached hereto.

was filed as United States application

Serial No \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_

was filed as PCT international application

Number PCI/EP01/09258

on 8 August 2001

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

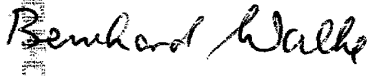
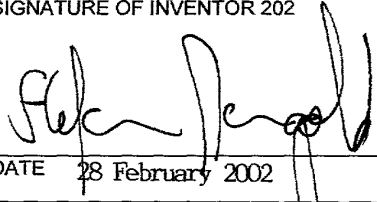
COUNTRY	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 USC 119
<b>Germany</b>	<b>10039532.5</b>	<b>8 August 2000</b>	<b>YES</b>

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Jack E. Haken, Reg. No. <u>26,902</u> Michael E. Marion, Reg. No. <u>32,266</u> Edward M. Blocker, Reg. No. <u>30,245</u>	Direct Telephone Calls to: (name and telephone number) (914)332-0222
---	--

	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
201	RESIDENCE & CITIZENSHIP	<b>WALKE</b>	<b>Bernhard</b>	
	POST OFFICE ADDRESS	<b>Wuerselen</b>	<b>Germany</b> <i>DEX</i>	<b>Germany</b>
		<b>Ath 2</b>	<b>DE- 52146 Wuerselen</b>	<b>Germany</b>
202	RESIDENCE & CITIZENSHIP	<b>MANGOLD</b>	<b>Stefan</b>	
	POST OFFICE ADDRESS	<b>Aachen</b>	<b>Germany</b> <i>DEX</i>	<b>Germany</b>
		<b>Suedstrasse 54</b>	<b>DE-52064 Aachen</b>	<b>Germany</b>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201  	SIGNATURE OF INVENTOR 202  
DATE <u>28 February 2002</u>	DATE <u>28 February 2002</u>

10/089959

FILED UNDER 35 U.S.C. 371

PATENT NUMBER and  
ISSUE DATE

U.S. UTILITY Patent Application

APPL NUM	FILING DATE	CLASS	SUBCLASS	GAU	EXAMINER
10089959	04/04/2002	455	434	2684	<i>Smith C. TRAW</i>

**\*\*APPLICANTS:** Walke Bernhard; Mangold Stefan; *2685*

**\*\*CONTINUING DATA VERIFIED:**  
THIS APPLICATION IS A 371 OF PCT/EP01/09258 08/08/2001

**\*\* FOREIGN APPLICATIONS VERIFIED:**  
GERMANY 10039532.5 08/08/2000

PG-PUB  DO NOT PUBLISH  RESCIND

Foreign priority claimed  yes  no  
 35 USC 119 conditions met  yes  no  
 Verified and Acknowledged Examiners's initials

ATTORNEY DOCKET NO  
PHDE000238

**TITLE :** Method, network and control station for the two-way alternate control of radio systems of different standards in the same frequency band

BEST AVAILABLE COPY

<b>NOTICE OF ALLOWANCE MAILED</b>		<b>Assistant Examiner</b>	<b>CLAIMS ALLOWED</b>	
			Total Claims	Print Claim for O.G.
<b>ISSUE FEE</b>		<b>Primary Examiner</b>	<b>DRAWING</b>	
Amount Due	Date Paid		Sheets Drwg.	Figs. Drwg.
<input type="checkbox"/> <b>TERMINAL DISCLAIMER</b>		<b>PREPARED FOR ISSUE</b>	<b>Application Examiner</b>	
<p><b>WARNING:</b> The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368, Possession outside the U.S. Patent &amp; Trademark Office is restricted to authorized employees and contractors only.</p>				

FILED WITH:  DISK (CRF)  CD-ROM  
 (Attached in pocket on right inside flap)

**BEST AVAILABLE COPY**

SEARCH			
Class	Sub.	Date	Exmr.
455	434 + 435.2 438	9/16/09	ca
370	464 465 466 + 467 + 468 469 395.5 395.82 395.53	9/17/09	

SEARCH NOTES		
(List databases searched. Attach search strategy inside.)		
Date	Exmr.	

INTERFERENCE SEARCHED			
Class	Sub.	Date	Exmr.

ISSUE SLIP STAPLE AREA (for additional cross-references)

ORIGINAL		CROSS REFERENCE(S)			
CLASS	SUBCLASS	CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)		
INTERNATIONAL CLASSIFICATION					
	/				
	/				
	/				
	/				
	/				

^ Continued on Issue Slip inside File Jacket

INDEX OF CLAIMS

✓ ..... Rejected - (Through numeral) ... Canceled N ..... Non-elected A ..... Appeal  
 = ..... Allowed + ..... Restricted I ..... Interference O ..... Objected

Claim	Date	Claim	Date	Claim	Date
Final Original 1		51		101	
2		52		102	
3		53		103	
4		54		104	
5		55		105	
6		56		106	
7		57		107	
8		58		108	
9		59		109	
10		60		110	
11		61		111	
12		62		112	
13		63		113	
14		64		114	
15		65		115	
16		66		116	
17		67		117	
18		68		118	
19		69		119	
20		70		120	
21		71		121	
22		72		122	
23		73		123	
24		74		124	
25		75		125	
26		76		126	
27		77		127	
28		78		128	
29		79		129	
30		80		130	
31		81		131	
32		82		132	
33		83		133	
34		84		134	
35		85		135	
36		86		136	
37		87		137	
38		88		138	
39		89		139	
40		90		140	
41		91		141	
42		92		142	
43		93		143	
44		94		144	
45		95		145	
46		96		146	
47		97		147	
48		98		148	
49		99		149	
50		100		150	

If more than 150 claims or 9 actions staple additional sheet here

BEST AVAILABLE COPY

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NO. PHDE 000238
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	U.S. Application No. (If known, see 37 CFR 1.5) <b>10/089959</b>

INTERNATIONAL APPLICATION NO. PCT/EP01/09258	INTERNATIONAL FILING DATE AUGUST 8, 2001	PRIORITY DATE CLAIMED AUGUST 8, 2000
---	---	---

TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DEFERENT STANDARDS IN THE SAME FREQUENCY BAND

APPLICANT(S) FOR DO/EO/US BERNHARD WALKE; STEFAN MANGOLD

Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

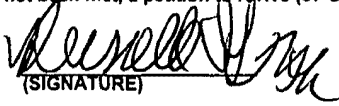
1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2))
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13.  A FIRST preliminary amendment.  
 A SECOND OR SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:  
Application as published (WO 02/13457 A2)  
3 Sheets of Formal Drawings

CERTIFICATE OF EXPRESS MAILING	
Express Mail Mailing Label No.	<u>EL686950531</u>
Date of Deposit	<u>April 4, 2002</u>
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the	
Commissioner of Patents and Trademarks, Washington D.C. 20231	
Edna Chapa Typed Name	 Signature

20040406563001

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/089959</div>	INTERNATIONAL APPLICATION NO. PCT/EP01/09258	ATTORNEY'S DOCKET NUMBER PHDE 000238		
17 <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO <span style="float: right;">\$940.00</span>  International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) <span style="float: right;">\$720.00</span>  No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) <span style="float: right;">\$760.00</span>  Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO <span style="float: right;">\$970.00</span>  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) <span style="float: right;">\$ 96.00</span>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> <span style="float: right;"><b>\$970.00</b></span>		CALCULATIONS (PTO USE ONLY)		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$		
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	
Total Claims	11 - 20 =		X \$ 18.00	\$
Independent claims	3 - 3 =		X \$ 78.00	\$
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$260.00	\$
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>=</b>	<b>\$970.00</b>
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)				\$
<b>SUBTOTAL</b>			<b>=</b>	<b>\$970.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			+	\$
<b>TOTAL NATIONAL FEE</b>			<b>=</b>	<b>\$</b>
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property			+	\$40.00
<b>TOTAL FEES ENCLOSED</b>			<b>=</b>	<b>\$1,010.00</b>
			Amount to be refunded	\$
			charged	\$
a. <input type="checkbox"/> A check in the amount \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>14-1270</u> in the amount of <u>\$1,010.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed.				
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO: Corporate Patent Counsel Phillips Electronics North America Corporation Tarrytown, NY 10591			 (SIGNATURE) <b>Russel Gross</b> 40,007 (REGISTRATION NUMBER)	
DATE OF MAILING: <b>April 4, 2002</b>				

20440 "6566007

10/089959  
1013 Rec'd PCT/PTO 04 APR 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
BERNHARD WALKE ET AL

Atty. Docket  
PHDE 000238

Serial No.:

Filed: CONCURRENTLY

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY  
ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE  
SAME FREQUENCY BAND

Commissioner for Patents  
Washington, D.C. 20231

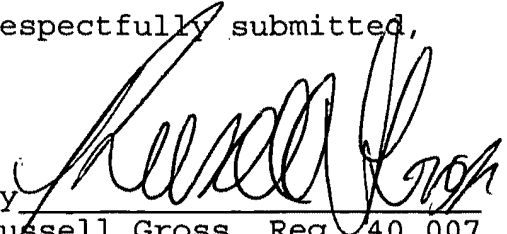
AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3)  
AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

  
By  
Russell Gross, Reg. 40,007  
Attorney  
(914) 333-9631



FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NO. PHDE 000238
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	U.S. Application No. (If known, see 37 CFR 1.5) <b>10/089959</b>

INTERNATIONAL APPLICATION NO. PCT/EP01/09258	INTERNATIONAL FILING DATE AUGUST 8, 2001	PRIORITY DATE CLAIMED AUGUST 8, 2000
---	---	---

TITLE OF INVENTION: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DEFERENT STANDARDS IN THE SAME FREQUENCY BAND


APPLICANT(S) FOR DO/EO/US BERNHARD WALKE; STEFAN MANGOLD

Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

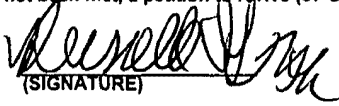
1.  This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2))
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11.  An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13.  A FIRST preliminary amendment.  
 A SECOND OR SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:  
Application as published (WO 02/13457 A2)  
3 Sheets of Formal Drawings

<b>CERTIFICATE OF EXPRESS MAILING</b>	
Express Mail Mailing Label No.	<u>EL686950531</u>
Date of Deposit	<u>April 4, 2002</u>
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the	
Commissioner of Patents and Trademarks, Washington D.C. 20231	
Edna Chapa Typed Name	 Signature

20040406563001

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/089959</div>	INTERNATIONAL APPLICATION NO. PCT/EP01/09258	ATTORNEY'S DOCKET NUMBER PHDE 000238	
17 <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(A)(1)-(5)):</b>  Search Report has been prepared by the EPO or JPO <span style="float: right;">\$940.00</span>  International preliminary-examination fee paid to USPTO (37 C.F.R. 1.482) <span style="float: right;">\$720.00</span>  No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) <span style="float: right;">\$760.00</span>  Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO <span style="float: right;">\$970.00</span>  International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) <span style="float: right;">\$ 96.00</span>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>CALCULATIONS (PTO USE ONLY)</b>          \$970.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>
Total Claims	11 - 20 =		X \$ 18.00
Independent claims	3 - 3 =		X \$ 78.00
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$260.00
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>= \$970.00</b>
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)			\$
<b>SUBTOTAL</b>			<b>= \$970.00</b>
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).			\$
<b>TOTAL NATIONAL FEE</b>			<b>= \$</b>
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property			+ \$40.00
<b>TOTAL FEES ENCLOSED</b>			<b>= \$1,010.00</b>
		Amount to be refunded	\$
		charged	\$
a. <input type="checkbox"/> A check in the amount \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>14-1270</u> in the amount of <u>\$1,010.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed.			
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.			
SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel Phillips Electronics North America Corporation Tarrytown, NY 10591		 (SIGNATURE)  <b>Russel Gross</b>  <b>40,007</b> (REGISTRATION NUMBER)	
DATE OF MAILING:  <b>April 4, 2002</b>			

20440 "6566007

10/089959  
1013 Rec'd PCT/PTO 04 APR 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
BERNHARD WALKE ET AL

Atty. Docket  
PHDE 000238

Serial No.:

Filed: CONCURRENTLY

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY  
ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE  
SAME FREQUENCY BAND

Commissioner for Patents  
Washington, D.C. 20231

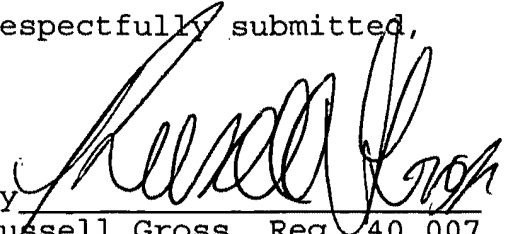
AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3)  
AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

  
By  
Russell Gross, Reg. 40,007  
Attorney  
(914) 333-9631

1/3

204040" 6566300F

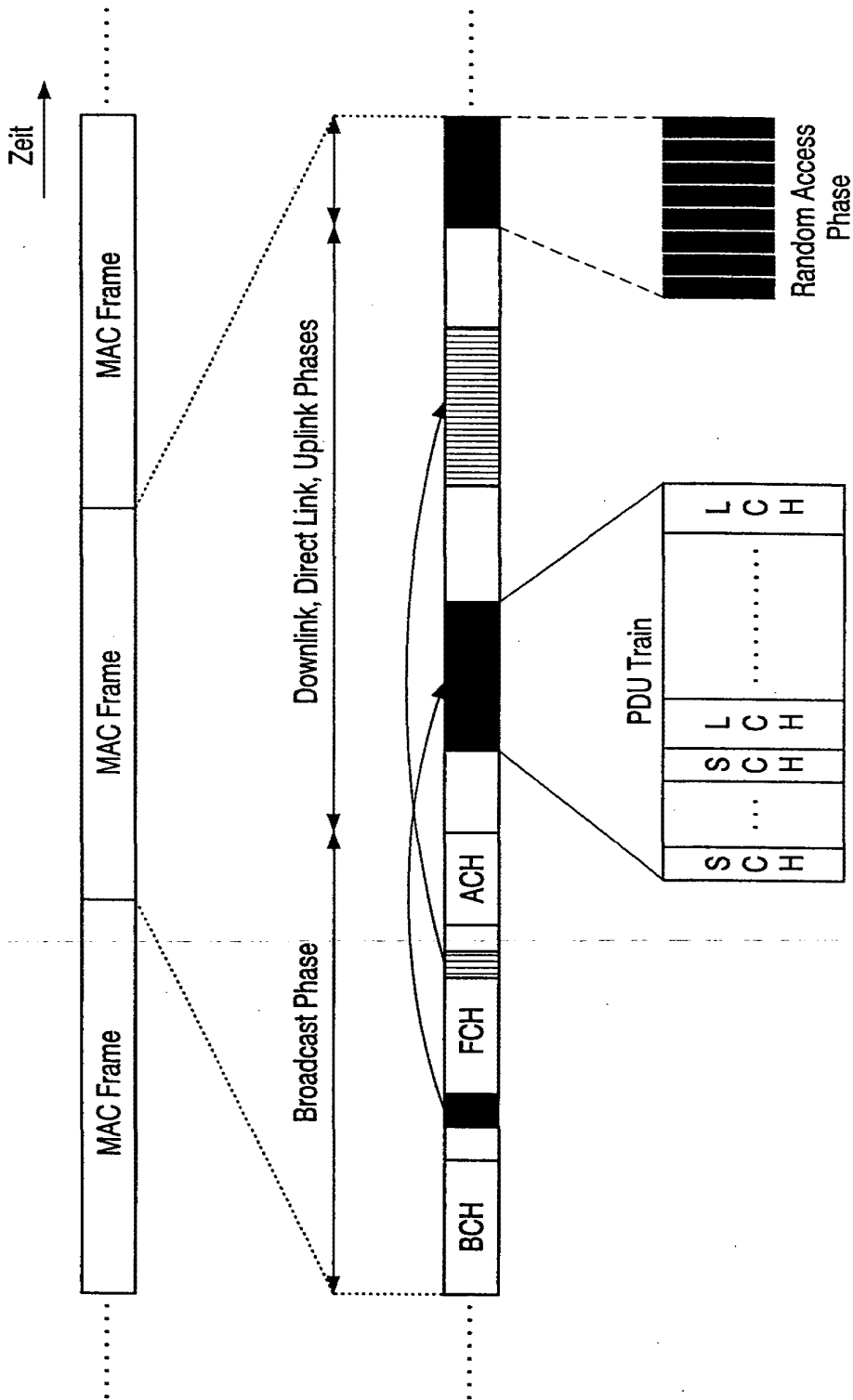


FIG. 1

2040" 6568007

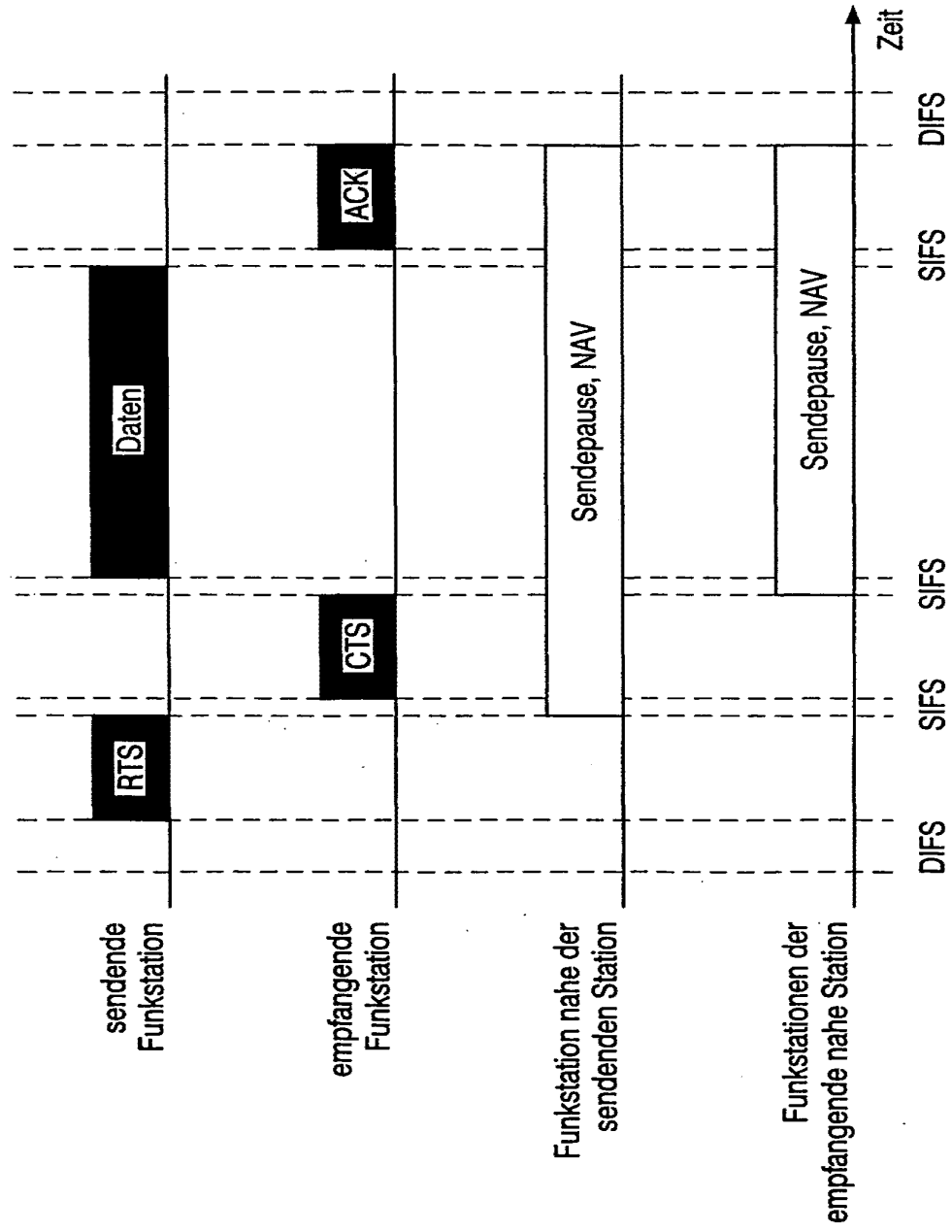
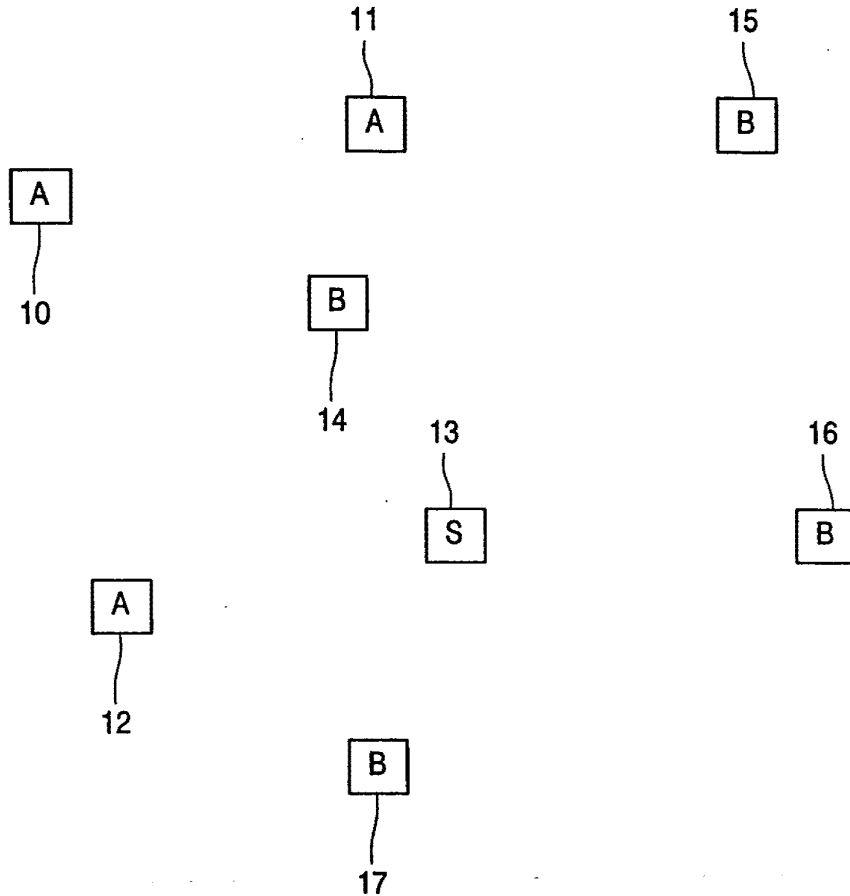


FIG. 2

3/3



204040" 63668007

FIG. 3

3/prk

Method, network and control station for the two-way alternate control of radio systems of different standards in the same frequency band

The invention relates to a method of alternate control of radio systems of different standards in the same frequency band.

A radio system for wireless transmission of information is allowed to use transmission power only in accordance with standards. The national regulation authority determines on what frequencies with what transmission power and in accordance with what radio interface standard a radio system is allowed to transmit. For this purpose there is provided for so-termed ISM frequency bands (Industrial Scientific Medical) that radio systems transmit in the same frequency band in accordance with different radio interface standards. An example of this is the US radio system IEEE802.11a and the European ETSI BRAN HiperLAN/2. The two radio systems transmit in the same frequency bands between 5.5 GHz and 5.875 GHz with approximately the same radio transmission method, but different transmission protocols.

In the event of interference, method were standardized for an active switching to another frequency within the permitted frequency band, for controlling transmission power and for the adaptive coding and modulation to reduce interference. Radio systems of wideband LANs of the radio interface standards ETSI BRAN HiperLAN/2 and IEEE802.11a utilize the same radio transmission method, a 64-carrier OFDM method and an adaptive modulation and coding. About the same modulation and coding methods (Link Adaptation, LA) are defined for the two standards.

The Medium Access Control (MAC) of the two systems is totally different. ETSI BRAN HiperLAN/2 utilizes a centrally controlled reservation-based method in which a radio station takes over the role of a central instance co-ordinating the radio resources. This central radio station (Access Point, AP) which may be an access point to the wide area network, periodically signals every 2 ms the MAC frame structure from the AP and the associated stations if required.

The IEEE802.11a standard describes a CSMA/CA (Carrier Sense Multiple Access/Collision Avoidance) method not based on reservations, in which all the radio

5  
25440" 656801  
108959  
0404  
20

25

stations listen in on the medium and assume that the channel is unused for a minimum duration (Short InterFrame Space, SIFS) before 802.11a-MAC frames, thus user data packets, are transmitted if necessary. The method is highly suitable for self-organizing ad hoc networks, but requires positive acknowledgements of all the packets. Measures supporting service quality (Point Coordination Function PCF) in addition allow the support of multimedia applications. Fig. 2 shows by way of example the sequence for media access in accordance with IEEE802.11a. In accordance with a variant of the standard a station is to then transmit an RTS packet (Ready To Send) and wait for a CTS packet (Clear To Send) from the addressed station before it is allowed to transmit user data. All the other stations in the radio coverage area set a time monitoring (Network Allocation Vector, NAV) and do not transmit until the addressed station has sent an acknowledgement (ACKnowledge, ACK).

Wideband LANs in accordance with the HiperLAN/2 and 802.11a standards will operate in the same frequency band in the future between 5.15 and 5.825 GHz. The wideband LANs work with Transmitter Power Control (TPC), it is true, with adaptive radio transmission methods and the Dynamic Frequency Selection (DFS) to minimize the alternating interfering effects, these methods, however, do not make optimum use and spreading possible of the radio channels over the stations which transmit in accordance with different standards. The guarantee of the service quality necessary for the multimedia applications is impossible in the case of interference caused by their own stations or stations of outside systems. In case of alternating interference, systems do not work efficiently and occupy a frequency channel even at low transmission rates.

It is an object of the invention to provide a method, a wireless network and a control station which make efficient use of radio transmission channels possible.

This object is achieved for the method in accordance with the invention by an interface control protocol method for a radio system, which system comprises at least a frequency band provided for the alternate use of a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, respectively, a control station being provided which controls the alternate use of the frequency band.

The invention is based on the idea of providing a comprehensive standard exchange of implicit or explicit control information in systems that have the same radio transmission methods but different radio transmission protocols. This makes a simple and efficient use possible of a radio channel via a plurality of radio interface standards.



The radio system comprises one or more stations. The stations may be, for example, computers of a wireless local area network. These stations may be arranged, for example, only for operation in accordance with a first or second radio interface standard. But it is also possible for stations to operate in accordance with both the first and the second radio interface standard.

A first number of stations preferably forms a wireless local area network in accordance with a first radio interface standard and a second number of stations forms a wireless network in accordance with a second radio interface standard. The first radio interface standard may be, for example, the HiperLAN/2 standard and the second radio interface standard may be the IEEE802.11a standard.

For these two standards is reserved the frequency band from 5.15 GHz to 5.825 GHz.

In accordance with the invention a control station is provided which controls the alternate use of the common frequency band of the two radio interface standards.

The control station is preferably a station that may operate in accordance with both the first and the second radio interface standard.

The control of the alternate use of the common frequency band may be effected in various ways. For example, it is possible to provide certain predefinable time intervals for the use of the first and second radio interface standard and allocate the frequency band alternately to the first radio interface standard and then to the second radio interface standard in a kind of time-division multiplex mode.

However, it is advantageous to effect the allocation by means of adaptive protocols. The common radio channel can then be utilized more effectively particularly when the demand for transmission capacity in accordance with the first and the second radio interface standard varies.

In the advantageous embodiment of the invention as claimed in claim 2, the control station is provided, on the one hand, for controlling the access to the frequency band for stations operating in accordance with the first radio interface standard. If the first radio interface standard is, for example, the HiperLAN/2 standard, the control station performs the function of the central controller (Access Point AP) in accordance with this standard. In that case the stations of the HiperLAN/2 standard send a request for capacity to the control station and the control station allocates transmission capacity to each respective station.

On the other hand, the control station is provided in an advantageous embodiment of the invention as claimed in claim 2 for releasing the common frequency band

for access by stations operating in accordance with the second radio interface standard, if stations operating in accordance with the first radio interface standard do not request access to the frequency band. In this advantageous embodiment of the invention the first radio interface standard is given priority over the second radio interface standard in this manner.

5 The release of the common frequency band for the second radio interface standard may be effected, for example, explicitly by the sending of control information to the stations of the second radio interface standard.

10 Alternatively, it is possible, for example, that the point coordinator provided in accordance with the IEEE802.11a standard operates as the central control station and controls the alternate access of stations of the first and second radio interface standard to the common frequency band. In this advantageous embodiment of the invention the point coordinator could for example periodically render the common frequency band available to another radio interface standard, for example, to the HiperLAN/2 standard.

15 In the advantageous embodiment as claimed in claim 3, the control is effected in that the control station determines the respective duration in which the stations operating in accordance with the second radio interface standard can utilize the common frequency band. Determining the duration may advantageously be effected as claimed in claim 4 in that the control station sends a broadcast signal which informs the stations of a time period in which the frequency band can be used by stations operating in accordance with the second radio interface standard.

20 It is an advantage of the invention that when radio systems are operated in phases in which no information is sent or received by a radio station in accordance with a first radio interface standard, the additional sending of information in accordance with another radio interface standard becomes possible, so that the access to the radio channel can be controlled by competing radio systems.

25 It is then possible for a first radio station operating in accordance with a first radio interface standard to additionally carry out certain functions described in a second radio interface standard, while the first radio station or a coordinating further radio station that transmits in accordance with the first radio interface standard determines the beginning and duration of the phase that may be used by the first station for transmission in accordance with the second radio interface standard.

30 Depending on the radio interface standard, beginning and duration can be defined only approximately, while the respective standards are violated regularly or from time to time. The first station may preferably end the phase during which it transmits in

accordance with the second radio interface standard, while disregarding resulting interference in stations operating in accordance with the second radio interface standard.

5 The first radio station may, in addition to functions in accordance with the second radio interface standard, also carry out functions that cause radio systems working in accordance with the second radio interface standard or radio systems working in accordance with the first radio interface standard to interpret the radio channel as interfered and occupy another radio channel for its own operation.

10 The efficient common use of a radio channel by different radio systems may be achieved via a suitable control protocol method. Such a radio interface control protocol method enables a first station of a radio system working in accordance with the first radio interface standard to control the access times to the radio channel by other stations. For this purpose this first station then has to carry out functions described in another, second radio interface standard in addition to the functions laid down by its own first radio interface standard at times at which stations working in accordance with the first radio interface standard do not send and do not expect information in accordance with the standard from the first station, while the first station or a further station determines the duration for which the first station is allowed to transmit in accordance with the second radio interface standard. The duration of the operation in accordance with the second radio interface standard need not be determined exactly but may also be determined approximately. A transmission in accordance with the first radio interface standard can provide that the first station terminates the use of the radio interface in accordance with the second radio interface standard without taking resulting interference into account in stations that send in accordance with the second radio interface standard.

25 The object of the invention is achieved for the network by a wireless network that has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, while the wireless network comprises stations that work in accordance with the first and/or the second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

30 Several examples of embodiment of the invention will be further explained below with reference to the drawing in the Figs. 1 to 3, in which:

Fig. 1 shows the frame structure in accordance with the ETSI BRAN HiperLAN/2 standard,

Fig. 2 gives a diagrammatic representation of the access to a radio channel in systems in accordance with the IEEE802.11a standard, and

Fig. 3 shows two wireless local area networks in accordance with a first and a second radio interface standard.

5

Fig. 1 shows the structure of the HiperLAN/2-MAC frame.

Fig. 2 diagrammatically shows the media access in systems working in accordance with the radio interface standard IEEE802.11a.

In a HiperLAN/2 system the central controller can be controlled via the Access Point (AP) which periodically generates the MAC frame and then transmits the data of the broadcast phase to individually control the service quality (packet delay sending rate and so on) of individual links.

Transmission in Figs. 1 and 2 with respect to the associated standards is understood to mean that a HiperLAN/2 AP in a partially unused downlink, uplink and direct-mode phase could dispense with sending useless (dummy) information and giving 802.11-systems no opportunity to observe an unused channel for a period of time SIFS and starting the run as shown in Fig. 2. The AP could readily regain the control in which the transmission in accordance with the HiperLAN/2 standard does not suppress the broadcast phase, but transmission takes place. Likewise, the function PCF of the 802.11 standard could be used to occasionally render the radio channel available to HiperLAN/2 systems with a time limit (periodically).

The alternate control of radio systems of different standards, which control is proposed here and discussed with respect to an example of the wideband LANs ETSI BRAN HiperLAN/2 and IEEE802.11a, may guarantee in a heterogeneous environment in which various radio systems simultaneously transmit very close together in the same spectrum, a decentrally controlled adaptivity relative to the transmission capacity available in the respective systems for the management of the respective current traffic supply, of the required service quality and of the environment of use. When the integrated controller in accordance with the invention is used, different radio systems may be made compatible in the way that they constructively coexist in the same frequency band and then can provide services that require a high service quality. The radio spectrum is clearly used more efficiently; without the implementation of the new method this is only possible with respective exclusively used radio channels.

Fig. 3 diagrammatically shows two wireless local area networks.

A first wireless local area network comprises three stations 10, 11 and 12. These three stations 10, 11 and 12 work in accordance with the first radio interface standard A, for example, in accordance with the HiperLAN/2 standard.

A second wireless local area network includes four stations 14, 15, 16 and 17. These four stations 14, 15, 16 and 17 work in accordance with the second radio interface standard B, for example, in accordance with the IEEE802.11a standard.

The stations may be, for example, computers which include a radio interface. The communication between the individual stations is effected in a wireless fashion, for example, by radio.

For wireless local area networks in accordance with the HiperLAN/2 and IEEE802.11a standards the frequency band is comprised between 5.15 GHz and 5.825 GHz.

A central control station 13 is provided which controls the alternate access by the first wireless network and the second wireless network to the common frequency band.

This may be effected in an advantageous manner in that the station 13 sends a broadcast message to the stations 14 to 17 of the IEEE802.11a standard when the stations 10 to 12 do not need transmission capacity. This broadcast message preferably contains time information which informs the stations 14 to 17 of the IEEE802.11 standard how long they are allowed to utilize the common frequency band. During this time the control station 13 can also carry out functions in accordance with the IEEE802.11a standard, for example, also be used for data transmission in accordance with the IEEE802.11a standard.

If the stations 10 to 12 of the first wireless network are HiperLAN/2 stations, the control station 13 preferably also operates as the central control station (Access Point) of the HiperLAN/2 network and co-ordinates its radio resources. In HiperLAN/2 systems it is planned beforehand at what time the stations are allowed to send. For this purpose the HiperLAN/2 systems have a central controller (Access Point, AP) which receives the requests for capacity from the various stations and assigns capacity accordingly. The central control station 13 is preferably also provided for carrying out the function of the access point of the HiperLAN/2 standard. The central control station 13 then periodically signals every 2 ms the MAC frame structure in accordance with the requirements of the individual stations of the HiperLAN/2 network.

Alternatively, it is also possible, however, in HiperLAN/2 systems for the function of the access point and the function of the alternating control of the access to the common frequency band by the first wireless network and the second wireless network to be realized in separate stations. In that case, however, with respect to the duration in which the

frequency band can be utilized by the first or second radio interface standard a data exchange is necessary between these separate stations.

Alternatively, it is possible, for example, for the point co-ordinator provided in accordance with the IEEE802.11 standard to operate as a central control station and to control the alternate access to the common frequency band by stations of the first and second radio interface standards. In this embodiment the point co-ordinator would, for example, periodically render the common frequency band available to another radio interface standard, for example, to the HiperLAN/2 standard.

5

204040" 6566800F

## CLAIMS:

1. An interface-control protocol method for a radio system which has at least one frequency band that is provided for the alternate use by a first and a second radio interface standard, the radio system comprising stations which operate in accordance with a first radio interface standard and/or a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

2. A method as claimed in claim 1, characterized in that the control station controls the access to the frequency band for stations working in accordance with the first radio interface standard and in that the control station renders the frequency band available for access by the stations working in accordance with the second radio interface standard if stations working in accordance with the first radio interface standard do not request access to the frequency band.

3. A method as claimed in claim 1, characterized in that the control station determines the respective duration in which the stations working in accordance with the second radio interface standard are allowed to utilize the frequency band.

4. A method as claimed in claim 1, characterized in that the control station sends a broadcast signal informing the stations of a time duration in which the frequency band can be used by stations working in accordance with the second radio interface standard.

5. A method as claimed in claim 3, characterized in that the duration of operation in accordance with the first and second radio interface standards is laid down only approximately while the respective standards are violated regularly or from time to time.

6. A method as claimed in claim 1, characterized in that the control station terminates the use of the radio interface in accordance with the second radio interface standard by transmitting in accordance with the first radio interface standard, without taking

account of resulting interference in stations working in accordance with the second radio interface standard.

7. A method as claimed in claim 1, characterized in that the control station controls the access to the frequency band by stations working in accordance with the first radio interface standard and in that duration and type of control of the radio interface in accordance with the second radio interface standard is determined by a further station and transmitted to the control station.

8. A method as claimed in claim 1, characterized in that the control station, in addition to functions in accordance with the second radio interface standard, also carries out functions which cause radio systems in accordance with the second radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

9. A method as claimed in claim 1, characterized in that the control station also carries out functions which cause radio systems in accordance with the first radio interface standard to interpret the radio channel as interfered and to seize another radio channel for its own operation.

10. A wireless network comprising at least one frequency band provided for the alternate use by a first and a second radio interface standard, the wireless network comprising stations which work in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, a control station being provided which controls the alternate use of the frequency band.

11. A control station for a wireless network, the control station being provided for controlling the alternate use of a frequency band by stations which work in accordance with a first radio interface standard and stations which work in accordance with a second radio interface standard.

201210 656007



## ABSTRACT:

The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, in which a control station is provided that controls the two-way alternate utilization of the frequency band.

Fig. 3

2004016563001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

BERNHARD WALKE ET AL

PHDE 000238

Serial No.:

Filed: CONCURRENTLY

Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY  
ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE  
SAME FREQUENCY BAND

Commissioner for Patents  
Washington, D.C. 20231

APPOINTMENT OF ASSOCIATES

Sir:

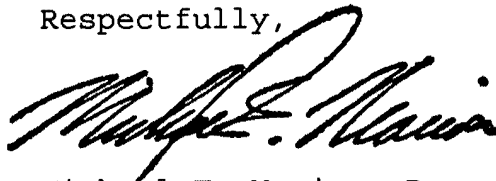
The undersigned Attorney of Record hereby revokes all prior appointments (if any) of Associate Attorney(s) or Agent(s) in the above-captioned case and appoints:

**RUSSELL GROSS****(Registration No. 40,007)**

c/o PHILIPS ELECTRONICS NORTH AMERICA CORPORATION, Corporate Intellectual Property, 580 White Plains Road, Tarrytown, New York 10591, his Associate Attorney(s)/Agent(s) with all the usual powers to prosecute the above-identified application and any division or continuation thereof, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

ALL CORRESPONDENCE CONCERNING THIS APPLICATION AND THE LETTERS PATENT WHEN GRANTED SHOULD BE ADDRESSED TO THE UNDERSIGNED ATTORNEY OF RECORD.

Respectfully,



Michael E. Marion, Reg. 32,266  
Attorney of Record

Dated at Tarrytown, New York  
on April 3, 2002.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

the specification of which (check only one item below):

is attached hereto.

was filed as United States application

Serial No \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_

was filed as PCT international application

Number PCT/EP01/09258

on 8 August 2001

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 USC 119
<b>Germany</b>	<b>10039532.5</b>	<b>8 August 2000</b>	<b>YES</b>

Combined Declaration For Patent Application and Power of Attorney (Continued)  
(includes Reference to PCT International Applications)

Attorneys Docket Number  
**PHDE000238 US**

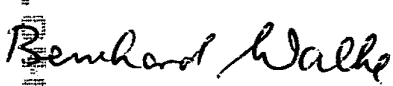
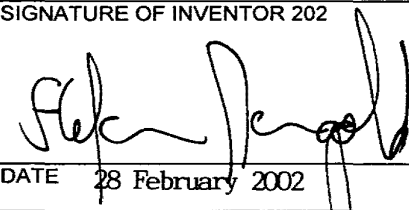
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

3  
20  
20  
Jack E. Haken, Reg. No. 26,902  
Michael E. Marion, Reg. No. 32, 266  
Edward M. Blocker, Reg. No. 30,245

Direct Telephone Calls to:  
(name and telephone number)  
(914)332-0222

	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
201		<b>WALKE</b>	<b>Bernhard</b>	
	RESIDENCE & CITIZENSHIP	CITY <b>Wuersele</b>	STATE OR FOREIGN COUNTRY <b>Germany</b> <i>DEX</i>	COUNTRY OF CITIZENSHIP <b>Germany</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>Ath 2</b>	CITY <b>DE- 52146 Wuersele</b>	STATE & ZIP CODE/COUNTRY <b>Germany</b>
202		<b>MANGOLD</b>	<b>Stefan</b>	
	RESIDENCE & CITIZENSHIP	CITY <b>Aachen</b>	STATE OR FOREIGN COUNTRY <b>Germany</b> <i>DEX</i>	COUNTRY OF CITIZENSHIP <b>Germany</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>Suedstrasse 54</b>	CITY <b>DE-52064 Aachen</b>	STATE & ZIP CODE/COUNTRY <b>Germany</b>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 if Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201 	SIGNATURE OF INVENTOR 202 
DATE <u>28</u> February 2002	DATE <u>28</u> February 2002

U.S. DEPARTMENT OF COMMERCE- Patent and Trademarks Office

(July 1994)

PATENT APPLICATION SERIAL NO. 10-089959

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

04/12/2002 UEDUVIJE 00000010 141270 10089959

01 FC:960 1040.00 CH

PTO-1556  
(5/87)

**PATENT APPLICATION DETERMINATION RECORD**

Effective October 1, 2001

Application or Docket Number

110-089059

**CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

TOTAL CLAIMS		
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	11 minus 20= *	
INDEPENDENT CLAIMS	3 minus 3 = *	
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

SMALL ENTITY TYPE

OR OTHER THAN SMALL ENTITY

RATE	FEE
BASIC FEE	
X\$ 9=	
X42=	
+140=	
TOTAL	

RATE	FEE
BASIC FEE	
X\$18=	
X84=	
+280=	
TOTAL	

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

(Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total *	Minus **	=
	Independent *	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE
X\$ 9=	
X42=	
+140=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X84=	
+280=	
TOTAL ADDIT. FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total *	Minus **	=
	Independent *	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X42=	
+140=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X84=	
+280=	
TOTAL ADDIT. FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total *	Minus **	=
	Independent *	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X42=	
+140=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X84=	
+280=	
TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

BEST AVAILABLE COPY

**MULTIPLE DEPENDENT CLAIM  
FEE CALCULATION SHEET  
(FOR USE WITH FORM PTO-375)**

SERIAL NO. 10-089959  
APPLICANT(S)

FILING DATE

**CLAIMS**

	AS FILED		AFTER 1st AMENDMENT		AFTER 2nd AMENDMENT		* IND.	* DEP.	* IND.	* DEP.	* IND.	* DEP.
	IND.	DEP.	IND.	DEP.	IND.	DEP.						
1												
2												
3												
4												
5												
6												
7												
8												
9												
10												
11												
12												
13												
14												
15												
16												
17												
18												
19												
20												
21												
22												
23												
24												
25												
26												
27												
28												
29												
30												
31												
32												
33												
34												
35												
36												
37												
38												
39												
40												
41												
42												
43												
44												
45												
46												
47												
48												
49												
50												
51												
52												
53												
54												
55												
56												
57												
58												
59												
60												
61												
62												
63												
64												
65												
66												
67												
68												
69												
70												
71												
72												
73												
74												
75												
76												
77												
78												
79												
80												
81												
82												
83												
84												
85												
86												
87												
88												
89												
90												
91												
92												
93												
94												
95												
96												
97												
98												
99												
100												
TOTAL IND.	3											
TOTAL DEP.	8											
TOTAL CLAIMS	11											

\* MAY BE USED FOR ADDITIONAL CLAIMS OR ADMENDMENTS

BEST AVAILABLE COPY

# DO/EO WORKSHEET

U.S. Appl. No. 10-089991

International Appl. No. PCT/EP01/09258

Application filed by :  20 months  30 months

### WIPO PUBLICATION INFORMATION :

Publication No.: WO 02/13457      Publication Language :  English  Japanese  
 German  French  Other : \_\_\_\_\_      Screening Done by Debbie Wms  
 Publication Date : 2-14-02      Not Published :  U.S. only designated  EP request

### INTERNATIONAL APPLICATION PAPERS IN THE APPLICATION FILE :

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> International Application (RECORD COPY) | <input type="checkbox"/> International Appl. on Double Sided Paper (COPIES MA) |
| <input type="checkbox"/> Article 19 Amendments                              | <input type="checkbox"/> Request form PCT/RO/101                               |
| <input type="checkbox"/> PCT/IB/331   | <input checked="" type="checkbox"/> PCT/ISA/210 - Search Report                |
| <input type="checkbox"/> PCT/IPEA/409 IPER (PCT/IPEA/416 on front)          | <input type="checkbox"/> Search Report References                              |
| <input type="checkbox"/> Annexes to 409                                     | <input type="checkbox"/> Other : _____   |
| <input type="checkbox"/> Priority Document (s) No. _____                    |  |

### RECEIPTS FROM THE APPLICANT (other than checked above) :

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Basic National Fee (or authorization to charge)   | <input type="checkbox"/> Preliminary Amendment(s) Filed on :<br>1. _____ 2. _____ 3. _____  |
| <input type="checkbox"/> Description  | <input type="checkbox"/> Information Disclosure Statement(s) Filed on :<br>1. _____ 2. _____ 3. _____                                 |
| <input type="checkbox"/> Claims   | <input checked="" type="checkbox"/> Assignment Document   |
| <input type="checkbox"/> Words in the Drawing Figure(s)   | <input checked="" type="checkbox"/> Power of Attorney/ Change of Address  |
| <input type="checkbox"/> Article 19 Amendments<br><input type="checkbox"/> english transl. of annexes NOT present<br><input type="checkbox"/> entered <input type="checkbox"/> not entered :<br><input type="checkbox"/> not a page for page substitution<br><input type="checkbox"/> other : _____ | <input type="checkbox"/> Substitute Specification Filed on :<br>1. _____ 2. _____   |
| <input type="checkbox"/> Annexes to 409<br><input type="checkbox"/> english transl. of annexes NOT present<br><input type="checkbox"/> entered <input type="checkbox"/> not entered :<br><input type="checkbox"/> not a page for page substitution<br><input type="checkbox"/> other : _____        | <input checked="" type="checkbox"/> Verified Small Status Statement (executed)  |
|   | <input checked="" type="checkbox"/> Oath/ Declaration (executed)<br><input type="checkbox"/> surcharge was paid at the time of filing |
|   | <input type="checkbox"/> DNA Diskette   |
|   | <input type="checkbox"/> Other : 1. _____ 2. _____  |

NOTES :  LA. used as Specification  Other :

35 U.S.C. 371 - Receipt of Request (PTO-1390)	<u>04 April 02</u>
Date Acceptable Oath/ Declaration Received	<u>4-4-02</u>
Date of Completion of requirements under 35 U.S.C. 371	<u>4-4-02</u>
102(e) Date	<u>4-4-02</u>
Date of Completion of DO/EO 906 - Notification of Missing 102(e) Requirements	
Date of Completion of DO/EO 907 - Notification of Acceptance for 102(e) Date	
Date of Completion of DO/EO 911 - Application Accepted Under 35 U.S.C. 111	
Date of Completion of DO/EO 905 - Notification of Missing Requirements	
Date of Completion of DO/EO 916 - Notification of Defective Response	
Date of Completion of DO/EO 903 - Notification of Acceptance	<u>6-11-2002</u>
Date of Completion of DO/EO 909 - Notification of Abandonment	

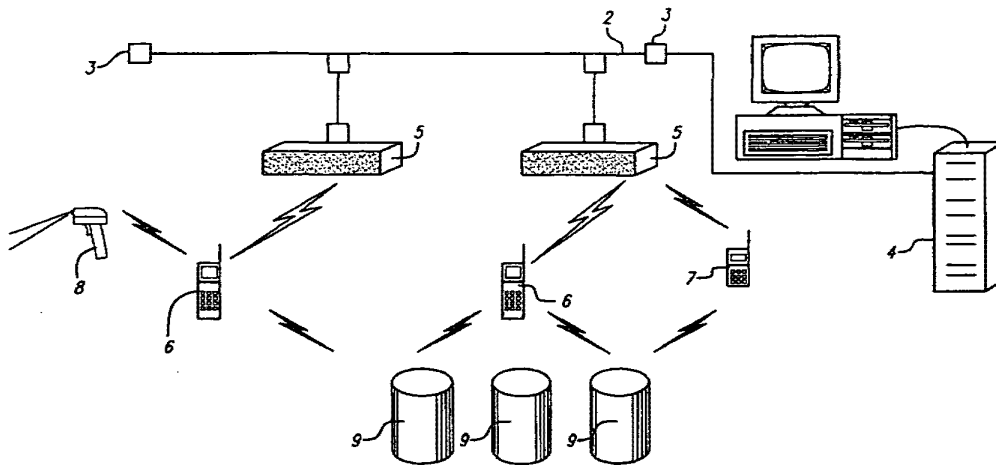




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : H04L 12/28, H04B 1/69</p>	<p>A1</p>	<p>(11) International Publication Number: <b>WO 99/23790</b> (43) International Publication Date: 14 May 1999 (14.05.99)</p>
<p>(21) International Application Number: PCT/US98/22969 (22) International Filing Date: 29 October 1998 (29.10.98) (30) Priority Data: 08/962,908 3 November 1997 (03.11.97) US (71) Applicant: INTERMEC IP CORP. [US/US]; 360 North Crescent Drive, Beverly Hills, CA 90210-4867 (US). (72) Inventors: PALMER, Brian, G.; 16525 N.E. 135th Place, Redmond, WA 98052 (US). JOVANOVIĆ, Alan, F.; 22431 - 10th Avenue South, Des Moines, WA 98198 (US). (74) Agents: BERLINER, Brian, M. et al.; Graham &amp; James LLP, 14th floor, 801 S. Figueroa Street, Los Angeles, CA 90017-5554 (US).</p>		<p>(81) Designated States: JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: MULTI-MODE RADIO FREQUENCY NETWORK SYSTEM



(57) Abstract

A multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequency-hopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further comprise periodic beacon signals that define discrete time periods which further include a synchronous portion for communication of the narrowband signals and an asynchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and data collection devices adapted for communication with the first and second types of computing device over the narrowband frequency range.

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## MULTI-MODE RADIO FREQUENCY NETWORK SYSTEM

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates to computing devices coupled together into a wireless local area network, and more particularly, to a wireless local area network infrastructure that permits communication in plural modes to support both wideband spread spectrum and narrowband radio frequency signals.

#### 2. Description of Related Art

A wireless local area network (WLAN) comprises a plurality of remote computing devices which communicate together over radio frequency (RF) signals. As in a wired local area network (LAN), the WLAN allows users to seamlessly access disk drives, printers, and additional computer resources and systems connected to the WLAN. The remote computing devices include a radio receiver/transmitter adapted for RF communication with the other elements of the WLAN. The WLAN may also include a central host processing unit that sends information to and receives information from any one of the plurality of remotely disposed computing devices. The central host processor may also form part of a separate wired LAN to provide a bridge with the WLAN. In such a WLAN, the remote computing devices may comprise portable units that operate within a defined environment to report information back to the central host processing unit. WLAN systems offer increased flexibility over wired LAN systems by enabling operators of the remote computing devices substantial freedom of movement through the environment, and are particularly useful for remote data collection applications such as inventory control, manufacturing and production flow management, and asset tracking.

For simplicity, the radio receiver/transmitter provided within each remote computing device may communicate using conventional narrowband RF signals. Narrowband RF operation has a significant drawback in that the radio receiver/transmitter must be operated at relatively low power levels in order to ensure compliance with certain governmental regulations, and at such low power levels the RF signals are highly susceptible to interference and have low data throughput rates. To overcome these and other drawbacks, commercial WLAN systems have adopted so-called "spread spectrum" modulation techniques. In a spread spectrum system, the transmitted signal is spread over a frequency band that is significantly wider than the minimum bandwidth required to transmit the information being sent. As a result of the signal spreading, spread spectrum systems enable high data integrity and security. Moreover, by spreading transmission power across a broad bandwidth, power levels at any given frequency within the bandwidth are significantly reduced, thereby reducing interference to other radio devices.

In one type of spread spectrum communication system, an RF carrier is shifted in discrete increments in a pattern dictated by a predetermined sequence. These spread spectrum systems are known as "frequency-hopping" modulation systems, since the transmitter jumps from frequency to frequency in accordance with the predetermined sequence. The information signal is modulated onto the shifting carrier frequencies using frequency shift keying (FSK) modulation. Another type of spread spectrum communication system utilizes an RF carrier modulated by a digital code sequence having a spreading code rate, or chipping rate, much higher than the clock rate of the information signal. These spread spectrum systems are known as "direct sequence" modulation systems. The RF carrier may be modulated such that a data stream has one phase when a spreading code sequence represents a data "one" and 180° phase shift when the spreading code sequence represents a data "zero." The RF carrier

may also be binary or quadrature modulated by one or more data streams such that the data streams have one phase when a spreading code sequence represents a data "one" and a predetermined phase shift (e.g., 180° for binary, and 90° for quadrature) when the spreading code sequence  
5 represents a data "zero." These types of modulation are commonly referred to as binary shift key (BPSK) and quadrature shift key (QPSK) modulation, respectively.

A primary drawback of operating a WLAN using spread spectrum communication is the high cost of the computing devices due  
10 primarily to the complexity of the radio receiver/transmitter. For certain applications, a narrowband RF radio receiver/transmitter would provide satisfactory performance while the high data throughput and integrity provided by a wideband spread spectrum radio receiver/transmitter would be unnecessary. Nevertheless, it would be costly and impractical to operate  
15 two separate narrowband and wideband WLAN systems simultaneously. As a result, WLAN system designers must select a single communication mode that provides a sufficient level of performance within practical cost parameters.

Thus, it would be highly desirable to provide a WLAN  
20 infrastructure that permits multi-mode communication over both wideband spread spectrum and narrowband RF signals. Such a multi-mode WLAN could be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF  
25 signals.

### SUMMARY OF THE INVENTION

In accordance with the teachings of the present application, a multi-mode radio frequency network is provided. The multi-mode radio  
30 frequency network permits RF communication using both wideband spread

spectrum RF signals and narrowband RF signals.

More particularly, the multi-mode radio frequency network comprises a first type of computing device having a radio receiver/transmitter adapted for communication over a narrowband frequency range, and a  
5 second type of computing device having a radio receiver/transmitter adapted for communication over both the narrowband frequency range and a wideband frequency range. A network access controller is adapted for communication with both types of computing device over respective ones of the narrowband and wideband frequency ranges. The network access  
10 controller provides synchronization signals for coordinating the timing of communications over the narrowband and wideband frequency ranges. The second type of computing device may be adapted for either frequency-hopping or direct sequence spread spectrum communication signals over the wideband frequency range. The synchronization signals further  
15 comprise periodic beacon signals that define discrete time periods which further include a synchronous portion for communication of the narrowband signals and an asynchronous portion for communication of the wideband signal. The multi-mode radio frequency network may further include data storage/retrieval devices and data collection devices adapted for  
20 communication with the first and second types of computing device over the narrowband frequency range.

In a first embodiment of the invention, the second radio receiver/transmitter is adapted to receive frequency-hopping spread spectrum communication signals in addition to narrowband communication  
25 signals. A receive section is adapted to receive radio frequency (RF) signals over the wideband and the narrowband frequency ranges and having a downconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. An IF filter section is adapted to receive the IF signals and has a wideband  
30 bandpass filter and a narrowband bandpass filter that are alternatively

coupled to the IF signals to provide filtered IF signals. A demodulation section is adapted to receive the filtered IF signals and recover wideband and narrowband receive signals therefrom. A synthesizer section is adapted to generate the frequency-shifted carrier for the receive section. The  
5 frequency-shifted carrier is further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals, and a transmit section is adapted to transmit the modulated transmit signals.

In a second embodiment of the invention, the second radio receiver/transmitter is adapted to receive direct sequence spread spectrum  
10 communication signals in addition to narrowband communication signals. A receive section is adapted to receive radio frequency (RF) signals and has a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals. A demodulation section receives the filtered IF signals and provides in-phase  
15 and quadrature receive data signals therefrom. A synthesizer section generates the carrier for the receive section, and the carrier is further modulated by in-phase and quadrature transmit data signals. A transmit section transmit the modulated transmit signals. Lastly, a control section controls the switching between wideband and narrowband modes of the  
20 second radio receiver/transmitter in which the in-phase and quadrature receive signals comprise wideband data in the wideband mode of the second radio receiver/transmitter, and the in-phase receive signals comprise narrowband data in the narrowband mode of the second radio receiver/transmitter.

25 A more complete understanding of the multi-mode radio frequency network will be afforded to those skilled in the art, as well as a realization of additional advantages and objects thereof, by a consideration of the following detailed description of the preferred embodiment. Reference will be made to the appended sheets of drawings which will first be  
30 described briefly.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a system diagram illustrating a multi-mode WLAN of the present invention which includes a first type of computing device using wideband RF communication signals and second type of computing device using narrowband RF communication signals;

Fig. 2 is a block diagram illustrating a first embodiment of a multi-mode radio receiver/transmitter adapted for frequency-hopping spread spectrum communication;

Fig. 3 is a block diagram illustrating a second embodiment of a multi-mode radio receiver/transmitter adapted for direct sequence spread spectrum communication; and

Fig. 4 is a timing diagram illustrating synchronous and asynchronous communication periods following a periodic beacon.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention satisfies the need for a multi-mode WLAN infrastructure that supports both wideband spread spectrum and narrowband radio frequency signals. The multi-mode WLAN can be constructed using a combination of higher performance computing devices communicating using wideband spread spectrum RF signals and lower performance computing devices communicating using narrowband RF signals. In the detailed description that follows, it should be appreciated that like reference numerals are used to identify like elements illustrated in one or more of the figures.

Referring first to Fig. 1, a system diagram of a multi-mode WLAN of the present invention is illustrated. The multi-mode WLAN includes a wired medium 2 having a plurality of interconnected nodes 3. At one of the nodes 3, a central computer controller 4 is coupled thereto which acts as a server for the WLAN and controls communications between the nodes on



the wired medium 2. Two of the nodes 3 have access points 5 coupled thereto which permit communication between the wired medium 2 and the wireless computing devices of the WLAN that will be described in greater detail below. The access points 5 include an RF receiver/transmitter that communicates between the wired medium 2 and the wireless computing devices. As known in the art, information transmitted on the wired medium 2 may be in the form of data packets in accordance with well established computer network protocols, such as Ethernet or Token Ring. It should also be appreciated that other computer network elements, such as computers, servers, printers, and data storage devices may be coupled to other nodes 3 of the wired medium 2.

The WLAN further includes a multi-mode computing device 6, a single-mode computing device 7, data collection devices 8, and data storage/retrieval devices 9. The multi-mode computing device 6 comprises a multi-mode RF receiver/transmitter adapted to communicate both narrowband RF signals and wideband RF signals. The single-mode computing device 7 comprises a single-mode RF receiver/transmitter adapted to communicate only narrowband RF signals. Both the multi-mode and single-mode computing device 6, 7 have generally similar external features, such as a keypad, a data display, and an antenna; however, it is anticipated that the multi-mode computing device 6 be more sophisticated and have greater internal data processing capability than the single-mode computing device 7. For example, the multi-mode computing device 6 may include a faster central processing unit (CPU) and greater memory storage capacity than the single-mode computing device 7, and similarly, may have a larger or more complete keypad and/or data display. For these reasons, it is anticipated that the multi-mode computing device 6 be utilized by supervisory level users and the single-mode computing device 7 be utilized by ordinary level users.

The data collection devices 8 comprise conventional bar code readers used to convert information encoded in bar code symbols into electronic data signals. As known in the art, such data collection devices 8 typically include a light source adapted to be scanned across the bar code field, such as provided by a laser or light emitting diode (LED). The bar and space elements of the bar code symbol have different light reflectivity, and the information encoded into the bar code may thus be detected in the reflected light therefrom. Alternatively, the data collection devices 8 may collect an image of the bar code using an electro-optical imaging element, such as a charge coupled device (CCD), allowing the information encoded into the bar code symbol to be interpreted from the collected image. The data collection devices 8 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals, or alternatively, may be directly coupled to the computing devices via an electrical cable.

The data storage/retrieval devices 9 comprise conventional magnetic disk or tape drives used for non-volatile data storage. The data storage/retrieval devices 9 are adapted to communicate with the computing devices 6, 7 via narrowband RF signals. Data collected by the computing devices 6, 7 may thus be downloaded to the data storage/retrieval devices 9 during the course of data collection operations, or alternatively, data stored in the data storage/retrieval devices may be accessed by the computing devices 6, 7. As a result, the data storage capacity of the computing devices 6, 7 can be reduced accordingly.

To operate the multi-mode WLAN, the access points 5 transmit periodic beacon signals that enable all the wireless elements of the WLAN to synchronize. As shown in Fig. 4, the periodic beacon signals (B) indicate the start of a time period during which RF communication will occur. This time period is divided into a synchronous communication period (S) and an asynchronous communication period (A). The synchronous communication period is further sub-divided into fixed-length time slots  $S_1$ - $S_6$  which allow the

multi-mode computing device 6 to sequentially poll the data storage retrieval devices 9, the single-mode computing device 7, and the data collection devices 8 via narrowband RF communication signals. Also, the single-mode computing device 7 communicates with the access point 5 via narrowband RF communication signals during one of the time slots. It is anticipated that the synchronous RF communication signals be transmitted using a common system clock that is synchronized to the periodic beacon signals.

During the asynchronous communication period, the multi-mode computing devices 6 communicate with the access points 5 over wideband spread spectrum RF communication signals. The spread spectrum RF communication signals may be either of the frequency-hopping or direct sequence variety, as will be further described below. The asynchronous spread spectrum communication signals  $A_1$ - $A_2$  do not have fixed time duration, but rather such signals are provided in the form of message packets that generally include a header identifying a start of a message and a trailer identifying an end of a message in accordance with known data protocols.

Referring now to Fig. 2, an embodiment of the multi-mode RF receiver/transmitter included in the multi-mode computing device 6 is illustrated. In accordance with this embodiment, the multi-mode RF receiver/transmitter is adapted to communicate both narrowband RF signals and wideband frequency-hopping spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 2 includes an RF receive section 10, an IF filter section 20, a demodulation section 30, a digital control section 40, a synthesizer section 50 and a transmit section 60.

The RF receive section 10 includes an antenna 12, a transmit/receive switch 14, a bandpass filter 15, low noise amplifier stages 16, 17, and a downconversion mixer 18. The antenna 12 is provided for receiving and transmitting RF signals to and from the receiver/transmitter. The transmit/receive switch 14 has a common terminal that is electrically

coupled to the antenna 12, and two contact positions electrically coupled to the bandpass filter 14 of the receive circuit and transmit circuit 60 (described below), respectively. The transmit/receive switch 14 enables the antenna 12 to be configured for either transmitting or receiving operations. As known in the art, the transmit/receive switch 14 can be provided by mechanical switch elements, such as a relay, or can comprise solid state switching circuitry. It is preferable that the transmit/receive switch 14 have generally high speed switching characteristics to reduce delays between respective receiving and transmitting operations. Within the receive section 10, a received RF signal is first provided to a bandpass filter 15 which rejects adjacent extraneous frequencies outside the bandwidth of the received signal. The low noise amplifier stages 16, 17 amplify the received and filtered signal to a desired amplitude level. The mixer 18 multiplies the amplified signal with a locally generated frequency-shifted carrier from the synthesizer section 50 to produce an intermediate frequency (IF) signal having a constant difference in frequency between the received signal and the locally generated signal.

In the IF filter section 20, the IF signal is provided to one of two bandpass filters depending on whether the received RF signal is a synchronous narrowband signal or an asynchronous wideband signal. The IF filter section 20 includes a first bandpass filter 24 and a second bandpass filter 26 coupled in parallel between two switch stages 22, 28. The first bandpass filter 24 is for reception of wideband frequency-hopping spread spectrum signals, and the second bandpass filter 26 is for reception of narrowband signals. It should be appreciated that the bandwidth of the first bandpass filter 24 represents that of a single frequency channel within the wideband frequency range over which frequency-hopping spread spectrum signals are transmitted, and not the bandwidth of the entire wideband frequency range. The switches 22, 28 are controlled by the digital control section 40 (described below), so that the first bandpass filter 24 is enabled during asynchronous communication periods and the second bandpass filter

26 is enabled during synchronous communication periods.

Following the IF filter section 20, the filtered IF signal is provided to the demodulation section 30 which recovers the information contained within the original RF signal. The IF demodulation section 30  
5 comprises an IF amplifier 32, an IF limiter 34, and a demodulator 36. The IF amplifier 32 and IF limiter 34 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. The gain of these stages may be set at different levels depending on whether the received RF signal is a wideband or narrowband signal. The demodulator 36 is adapted to recover  
10 both frequency shift key (FSK) modulated signals from a frequency-hopping spread spectrum wideband signal, and frequency modulation (FM) from a synchronous narrowband signal. A single demodulator circuit could be utilized to demodulate both wideband and narrowband signals either by dynamically changing the circuit's quality factor Q, or by accepting a  
15 decreased signal to noise ration for the narrowband signal. Alternatively, separate demodulator circuits could be used for the narrowband and wideband signals that are selectively switched in the same manner as the IF filter section 20.

The digital control section 40 provides the main signal  
20 processing hardware for the radio receiver/transmitter, and is responsible for controlling the transmit/receive switching, bandwidth selection, frequency synthesizer programming, clock recovery and data handling/generation. The digital control section 40 comprises a microcontroller 42 and a host interface 44. The microcontroller 42 may be provided by an application specific  
25 integrated circuit (ASIC), a microprocessor, a digital signal processor or other such circuit element. The host interface 44 provides for communication between the receiver/transmitter portion of the computing device and a host portion that processes and utilizes the information that has been communicated. As known in the art, the microcontroller 42 performs its  
30 functions by executing a series of commands or instructions, also referred to

as a software program, that may be disposed on a permanent storage medium, such as a semiconductor read only memory (ROM) device or a magnetic medium.

5 The synthesizer section 50 communicates with the digital control section 40 to control the timing and selection of carrier frequencies. The synthesizer section 50 comprises a digital-to-analog (D/A) converter 52, a frequency synthesizer 54, a transmit loop filter 55, a receive loop filter 56, a transmit local oscillator 57, a receive local oscillator 58 and a voltage controlled oscillator 46. The frequency synthesizer 54 is programmed by a  
10 plurality of digital data signals from the microcontroller 42, and provides a D.C. voltage signal to the transmit and receive local oscillators 57, 58 that corresponds to a selected frequency. The transmit and receive loop filters 55, 56 comprise low pass filters that remove high frequency noise from the D.C. voltage signals that occurs in the feedback loop. The transmit and  
15 receive local oscillators 57, 58 further comprise voltage controlled oscillator (VCO) circuits that receive the D.C. voltage signals, and generate corresponding oscillating signals at the selected frequency. The oscillating signals from the transmit and receive local oscillators 57, 58 are also provided back to the frequency synthesizer 54 as feedback signals, as  
20 known in the art.

The oscillating signal from the receive local oscillator 58 is provided to the mixer 18 of the receive section 10 as the frequency-shifted carrier. Digital data from the microcontroller 42 is converted to an analog  
25 signal by the D/A converter 52, which is provided to the transmit local oscillator 57 to control the waveshape (i.e., amplitude and frequency) of the oscillating signal. By changing the frequency of the oscillating signal, multiple data rates can be supported. Also, by changing the amplitude of the oscillating signal, the frequency deviation of the transmitted carrier can be changed, allowing modulation of both wideband and narrowband data. The  
30 modulated oscillating signal from the transmit local oscillator 57 passes

through a VCO buffer amplifier 59, and is provided to the transmit section 60.

The transmit section 60 essentially reverses the process performed by the receive section 10. The data-modulated, frequency-shifted carrier passes through a bandpass filter 64 to remove any VCO harmonics generated by the synthesizer section 50. Thereafter, the data-modulated, frequency-shifted carrier is provided to a pre-driver 66 and a power amplifier 67 that amplify the carrier signal to a desired output level, and a low pass filter 68 for noise attenuation. Lastly, the amplified carrier signal is provided to the antenna 12 for RF transmission. It should be appreciated that the pre-driver 66 and amplifier 67 stages need not be linear amplifiers due to the constant envelope modulation, thereby making them more efficient than linear counterparts.

The transmit section 60 further includes a D/A converter 62 that modifies the characteristics of the pre-driver 66 and power amplifier 67. The microcontroller 42 calculates a digital offset value for the transmit section 60 based on the frequency generated by the synthesizer section 50, in order to maintain an optimum power output level of the radio receiver/transmitter for each of the shifted frequencies across the wideband frequency range. The digital offset value is provided to the D/A converter, which provides an analog control signal to bias the pre-driver 66 and power amplifier 67. An example of an RF transmitter that maintains power output level linearity across a range of transmitting frequencies is disclosed in Serial Number 08/823,611 for ADAPTIVE POWER LEVELING OF AN RF TRANSCEIVER UTILIZING INFORMATION STORED IN NON-VOLATILE MEMORY, filed March 25, 1997, by the assignee herein.

Fig. 3 illustrates an alternative embodiment of the multi-mode RF receiver/transmitter in the multi-mode computing device 6 which is adapted to communicate both narrowband RF signals and wideband direct sequence spread spectrum RF signals. The multi-mode RF receiver/transmitter of Fig. 3 includes an RF receive section 10, a

demodulation section 70, a digital control section 40, a synthesizer section 80 and a transmit section 60. The RF receive section 10, digital control section 40 and transmit section 60 of Fig. 3 are substantially the same as the corresponding sections of the multi-mode RF receiver/transmitter of Fig. 2, and further description of these sections is therefore omitted.

Following the RF receive section 10, the IF signal is provided to the demodulation section 70 which recovers the information contained within the original RF signal. The demodulation section 70 comprises a bandpass filter 72, an IF amplifier 73, an IF limiter 74, a demodulator 76, and a narrowband and a wideband data low pass filter 77, 78. The bandpass filter 72 has a bandwidth sufficient for reception of wideband direct sequence spread spectrum signals. The IF amplifier 73 and IF limiter 74 are used to adjust the signal level of the filtered IF signal to a level sufficient for demodulation. As in the previous embodiment, the gain of these stages may be set at different levels depending on whether the received RF signal is a wideband or narrowband signal.

The demodulator 76 is adapted to recover binary phase shift key (BPSK) modulated signals from a direct sequence spread spectrum wideband signal and frequency modulation (FM) from a synchronous narrowband signal. The demodulator 76 may further comprise a conventional QPSK demodulator circuit which provides an in phase (I) output and a quadrature (Q) output. By modulating the direct sequence spread spectrum data using BPSK modulation, the Q channel output provides the demodulated BPSK data through the associated wideband filter 78 and the I channel output provides the demodulated FM signal through the associated narrowband filter 77. This way, a single demodulator circuit could be utilized to demodulate both wideband and narrowband signals without having to switch filters as in the previous embodiment.

The synthesizer section 80 communicates with the digital control section 40 to control the timing and selection of carrier frequencies.



On the receive side, the synthesizer section 80 comprises a frequency synthesizer 82, a receive loop filter 83 and a receive local oscillator 84. As in the previous embodiment, the frequency synthesizer 82 is programmed by a plurality of digital data signals from the microcontroller 42, and provides a D.C. voltage signal to the receive local oscillator 84 that corresponds to a selected frequency. The oscillating signal from the receive local oscillator 84 is provided back to the frequency synthesizer 82 as a feedback signal, and the receive loop filter 87 comprises a low pass filter that removes high frequency noise from the D.C. voltage signal that occurs in the feedback loop.

On the transmit side, the synthesizer section further comprises a transmit loop filter 87, a transmit local oscillator 89, an I-channel data low pass filter 85, a Q-channel data low pass filter 88, an I-channel mixer 86, a Q-channel mixer 91, a phase shift circuit 92 and a summing circuit 94. The frequency synthesizer 82 provides a D.C. voltage signal to the transmit local oscillator 89 to provide an oscillating signal, which is in turn provided back to the frequency synthesizer as a feedback signal. The oscillating signal from the transmit local oscillator 89 is provided to the phase shift circuit 92, which provides the oscillating signal to the I-channel mixer 86 and shifts the phase of the oscillating signal by 90° and provides the phase-shifted oscillating signal to the Q-channel mixer 91. I-channel data (i.e., narrowband data) and Q-channel data (i.e., wideband data) generated by the digital control section 40 is provided through the respective filters 85, 88 to the respective mixers 86, 91. The Q-channel data low pass filter 88 has a wider bandwidth than the I-channel data low pass filter 85 with a frequency cutoff consistent with the required direct sequence spread spectrum data format. The mixers 86, 91 modulate the I and Q-channel data with the respective oscillating signals, and these modulated data signals are summed by the summing device 94. Lastly, the modulated oscillating signal from the summing device 94 passes through a VCO buffer amplifier 96, and is provided to the transmit section 60.

In the wideband mode (i.e., direct sequence spread spectrum communication), the receiver/transmitter operates as an ordinary direct sequence spread spectrum radio. The digital control section 40 controls the transmit and receive operation, using data from the wideband filter 78, programs the synthesizer 82 for the desired channel frequency, and outputs the proper spreading sequence data to the synthesizer section 80 for transmit on the Q-channel. In the narrowband mode, the operation is the same, except that at the time interval defined by the beacon signal the receiver/transmitter is placed in the narrowband mode. The digital control section 40 selects the data from the narrowband filter 77 for reception of narrowband data. When transmitting, the digital control section 40 outputs data of a lower data rate onto the I-channel only, creating a signal of narrower bandwidth than the direct sequence spread spectrum signal.

Having thus described a preferred embodiment of a multi-mode radio frequency network, it should be apparent to those skilled in the art that certain advantages have been achieved. It should also be appreciated that various modifications, adaptations, and alternative embodiments thereof may be made within the scope and spirit of the present invention. The invention is solely defined by the following claims.

CLAIMSWhat is Claimed is:

- 5                   1.       A multi-mode radio frequency network, comprising:  
                    at least one first type of computing device having a first radio  
                    receiver/transmitter adapted for communication over a narrowband  
                    frequency range;  
                    at least one second type of computing device having a second  
10                 radio receiver/transmitter adapted for communication over both said  
                    narrowband frequency range and a wideband frequency range; and  
                    a network access controller adapted for communication with  
                    said at least one first type of computing device and said at least one second  
                    type of computing device over respective ones of said narrowband and said  
15                 wideband frequency ranges, said network access controller providing  
                    synchronization signals for coordinating timing of communications over said  
                    narrowband and said wideband frequency ranges.
2.       The multi-mode radio frequency network of Claim 1,  
20                 wherein said second radio receiver/transmitter provides spread spectrum  
                    communication signals over said wideband frequency range.
3.       The multi-mode radio frequency network of Claim 2,  
                    wherein said spread spectrum communication signals further comprise  
25                 frequency-hopping spread spectrum signals.
4.       The multi-mode radio frequency network of Claim 2,  
                    wherein said spread spectrum communication signals further comprise direct  
                    sequence spread spectrum signals.

30

5. The multi-mode radio frequency network of Claim 1, wherein said wideband frequency range communications occur in a substantially asynchronous manner.

5 6. The multi-mode radio frequency network of Claim 1, wherein said narrowband frequency range communications occur in a substantially synchronous manner.

7. The multi-mode radio frequency network of Claim 1,  
10 further comprising at least one data storage/retrieval device adapted for communication with each of said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.

15 8. The multi-mode radio frequency network of Claim 1, further comprising at least one data collection device adapted for communication with said at least one first type of computing device and said at least one second type of computing device over said narrowband frequency range.

20 9. The multi-mode radio frequency network of Claim 1, wherein said synchronization signals further comprise periodic beacon signals.

25 10. The multi-mode radio frequency network of Claim 9, wherein said periodic beacon signals define respective discrete time periods which further include a synchronous portion and an asynchronous portion.

30 11. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises an

intermediate frequency portion having a wideband filter, a narrowband filter, and means for switching between said wideband and narrowband filters based upon said synchronization signals.

5           12. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

          a receive section adapted to receive radio frequency (RF) signals over said wideband and said narrowband frequency ranges and having a downconversion mixer to mix the RF signals with a frequency-shifted carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

10           an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

          a demodulation section adapted to receive said filtered IF signals and recover wideband and narrowband receive signals therefrom;

15           a synthesizer section adapted to generate said frequency-shifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals; and

20           a transmit section adapted to transmit said modulated transmit signals.

          13. The multi-mode radio frequency network of Claim 12, further comprising a control section adapted to select between said wideband bandpass filter and said narrowband bandpass filter.

          14. The multi-mode radio frequency network of Claim 1, wherein said second radio receiver/transmitter further comprises:

30           a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a

carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom;

5 a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

a transmit section adapted to transmit said modulated transmit signals; and

10 a control section adapted to control switching between wideband and narrowband modes of said second radio receiver/transmitter, wherein said in-phase and quadrature receive signals comprise wideband data in said wideband mode of said second radio receiver/transmitter, and said in-phase receive signals comprising narrowband data in said  
15 narrowband mode of said second radio receiver/transmitter.

15. The multi-mode radio frequency network of Claim 14, wherein said demodulation section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and  
20 quadrature phase shift key (QPSK) modulation from said wideband data.

16. The multi-mode radio frequency network of Claim 14, wherein said wideband data further comprises direct sequence spread spectrum data.

25

17. An apparatus for communicating in both narrowband and wideband frequency ranges comprising:

a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a  
30 frequency-shifted carrier signal to downconvert the RF signals to

intermediate frequency (IF) signals;

an IF filter section adapted to receive said IF signals and having a wideband bandpass filter and a narrowband bandpass filter that are alternatively coupled to said IF signals to provide filtered IF signals;

5 a demodulation section adapted to receive said filtered IF signals and recover wideband and narrowband receive signals therefrom;

a synthesizer section adapted to generate said frequency-shifted carrier for said receive section, said frequency-shifted carrier being further modulated by wideband and narrowband transmit data signals to provide modulated transmit signals; and

10 a transmit section adapted to transmit said modulated transmit signals.

18. The apparatus of Claim 17, wherein said demodulation section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband signals and frequency shift key (FSK) modulation from said wideband signals.

19. An apparatus for communicating in both narrowband and wideband frequency ranges comprising:

20 a receive section adapted to receive radio frequency (RF) signals and having a downconversion mixer to mix the RF signals with a carrier signal to downconvert the RF signals to intermediate frequency (IF) signals;

25 a demodulation section adapted to receive said filtered IF signals and provide in-phase and quadrature receive data signals therefrom;

a synthesizer section adapted to generate said carrier for said receive section, said carrier being further modulated by in-phase and quadrature transmit data signals;

a transmit section adapted to transmit said modulated transmit signals; and

a control section adapted to control switching between wideband and narrowband modes of said apparatus, wherein said in-phase and quadrature receive signals comprise wideband data in said wideband mode of the apparatus, and said in-phase receive signals comprising narrowband data in said narrowband mode of the apparatus.

20. The apparatus of Claim 19, wherein said demodulation section further comprises a demodulator adapted to recover frequency modulation (FM) from said narrowband data and quadrature phase shift key (QPSK) modulation from said wideband data.

21. The apparatus of Claim 19, wherein said wideband data further comprises direct sequence spread spectrum data.



1/3

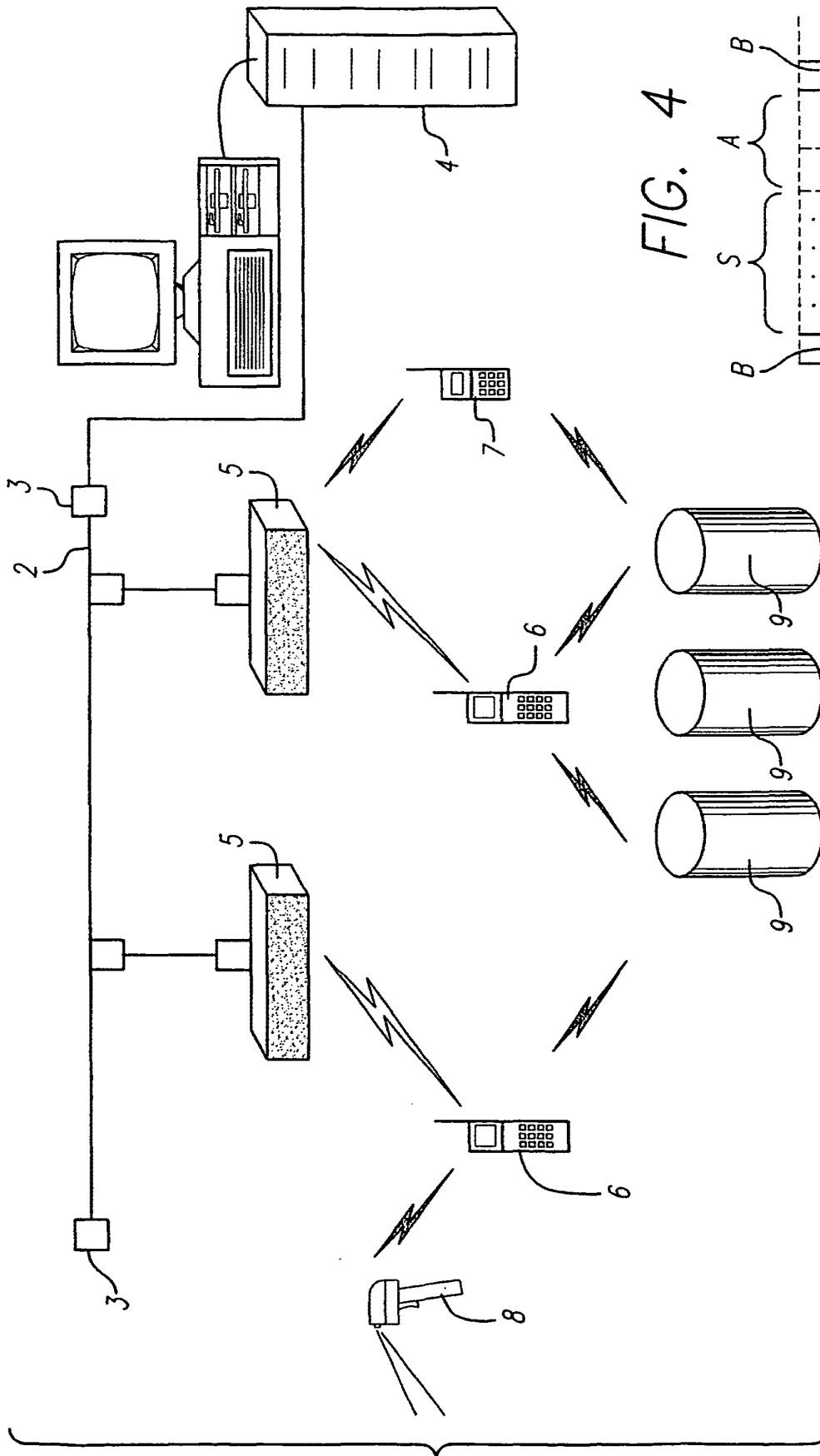


FIG. 4

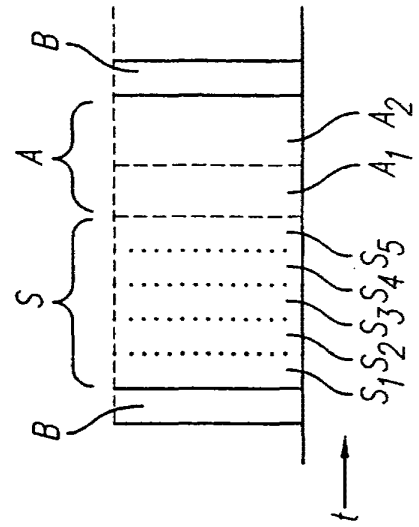


FIG. 1

FIG. 2

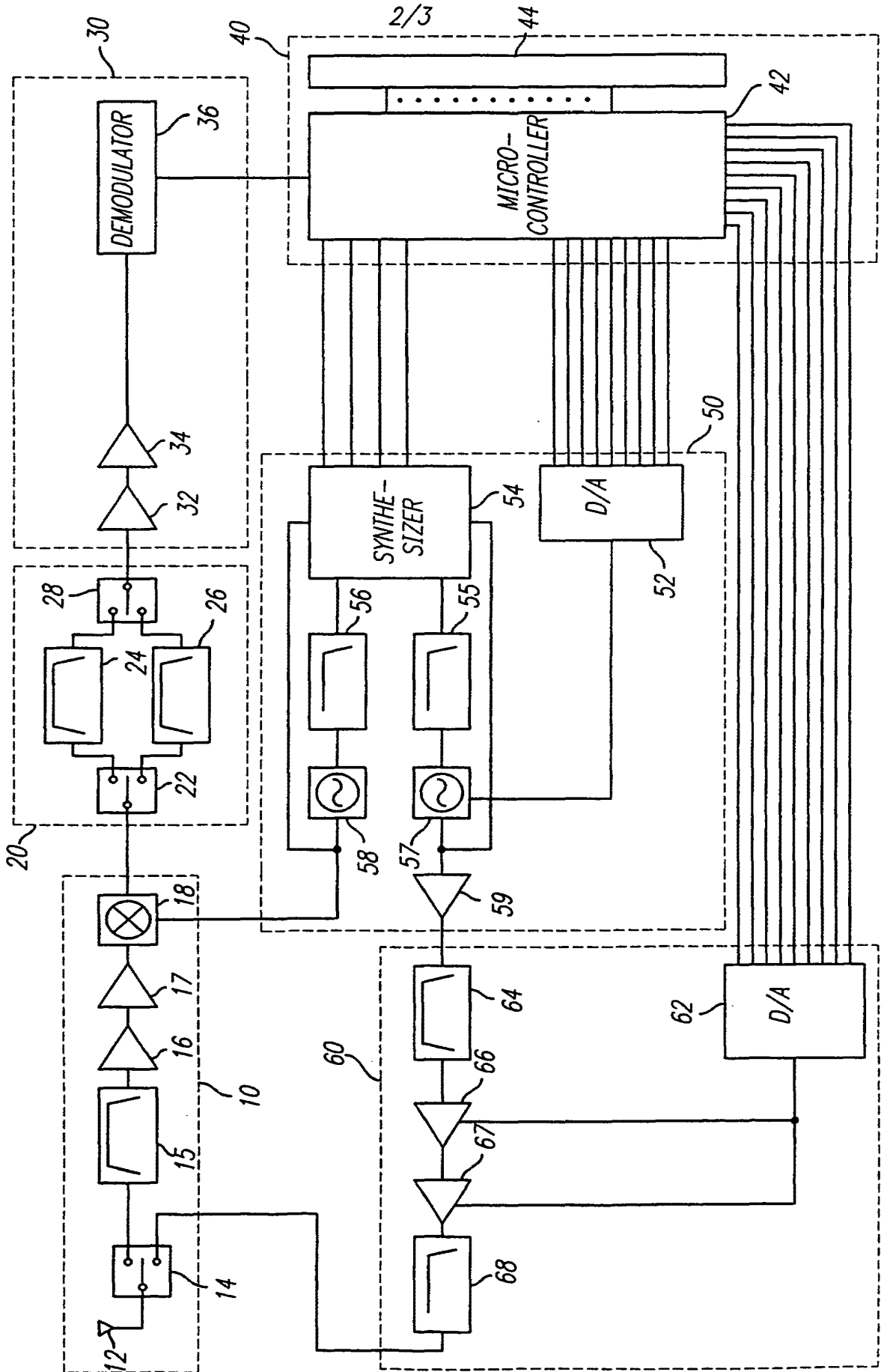
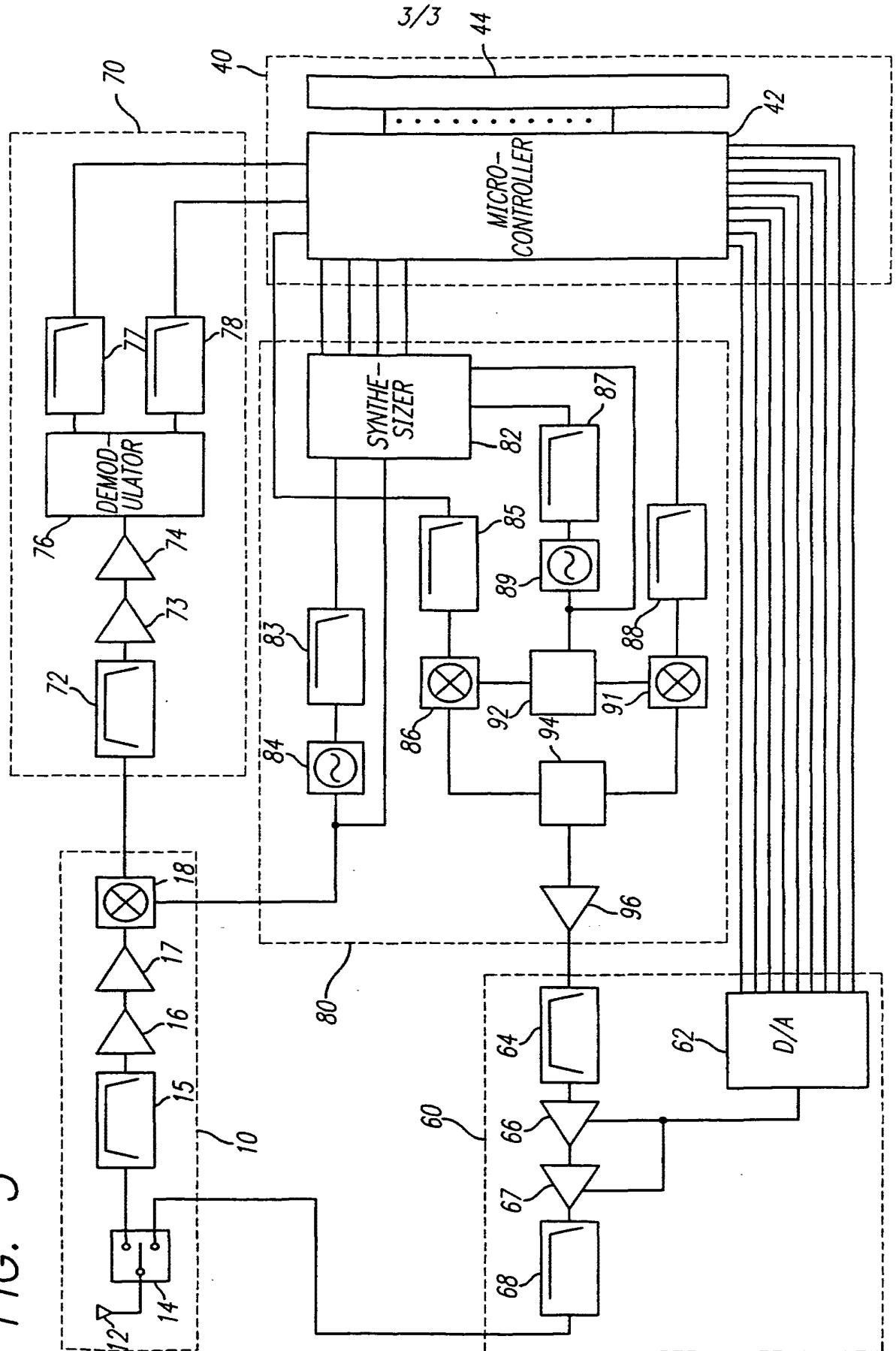


FIG. 3



# INTERNATIONAL SEARCH REPORT

Application No

/US 98/22969

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 H04L12/28 H04B1/69

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 6 H04L H04Q H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 291 516 A (DIXON ROBERT C ET AL) 1 March 1994  see column 1, line 60 - column 3, line 30 see column 4, line 57 - column 8, line 14 see claims 1,4-6  ---	1,4, 11-14, 16,17, 19,21
A	WO 97 32403 A (ERICSSON GE MOBILE INC) 4 September 1997 see abstract see page 2, line 5 - page 5, line 14 see page 6, line 23 - page 7, line 2 see page 11, line 22 - page 12, line 13 see claims 1,10  --- -/--	1,11-13

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

**Special categories of cited documents:**

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

19 February 1999

Date of mailing of the international search report

02/03/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Karavassilis, N

## INTERNATIONAL SEARCH REPORT

Intern. Application No  
PCT/ 98/22969

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 022 046 A (MORROW JR ROBERT K) 4 June 1991 see abstract see column 5, line 30 - column 6, line 9 see claim 1 ---	1,4,17, 19
A	SKELLERN D J ET AL: "A HIGH-SPEED WIRELESS LAN" IEEE MICRO, vol. 17, no. 1, January 1997, pages 40-47, XP000642695 see page 43, left-hand column, line 1 - line 31; figure 4 ---	14,17,19
A	BANTZ D F ET AL: "WIRELESS LAN DESIGN ALTERNATIVES" IEEE NETWORK: THE MAGAZINE OF COMPUTER COMMUNICATIONS, vol. 8, no. 2, 1 March 1994, pages 43-53, XP000515079 see page 46, left-hand column, line 35 - page 47, left-hand column, line 46 -----	2-4,16, 21

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Patent Application No  
PCT/US 98/22969

Patent document cited in search report	A	Publication date	Patent family member(s)	Publication date
US 5291516	A	01-03-1994	US 5694414 A	02-12-1997
			US 5790587 A	04-08-1998
			US 5796772 A	18-08-1998
			US 5815525 A	29-09-1998
			AU 2140092 A	30-12-1992
			CA 2102914 A	14-11-1992
			EP 0584248 A	02-03-1994
			JP 2846118 B	13-01-1999
			JP 6507768 T	01-09-1994
			WO 9221195 A	26-11-1992
<hr style="border-top: 1px dashed black;"/>				
WO 9732403	A	04-09-1997	AU 5419196 A	16-09-1997
			EP 0883934 A	16-12-1998
<hr style="border-top: 1px dashed black;"/>				
US 5022046	A	04-06-1991	NONE	
<hr style="border-top: 1px dashed black;"/>				

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

THIS PAGE BLANK (USPTO)

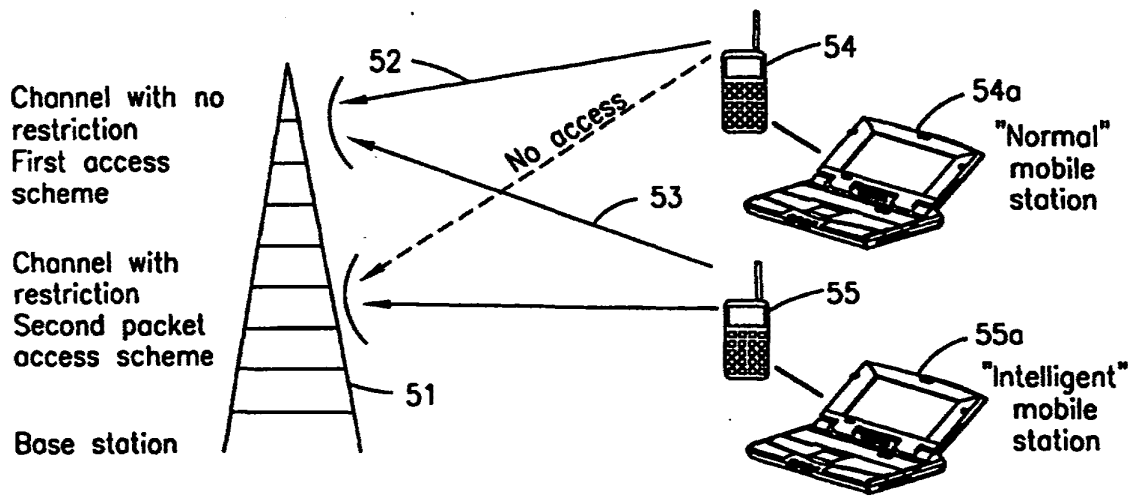




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>6</sup> : H04L 12/56, H04Q 7/22, H04L 12/403, 12/413</p>	<p>A1</p>	<p>(11) International Publication Number: <b>WO 99/21328</b> (43) International Publication Date: 29 April 1999 (29.04.99)</p>
<p>(21) International Application Number: PCT/SE98/01884 (22) International Filing Date: 20 October 1998 (20.10.98) (30) Priority Data: 08/956,073 22 October 1997 (22.10.97) US (71) Applicant: TELEFONAKTIEBOLAGET LM ERICSSON (publ) [SE/SE]; S-126 25 Stockholm (SE). (72) Inventors: HANSSON, Rolf; Skolvägen 9, S-196 30 Kungsängen (SE). HERLITZ, Anders; Edinsvägen 8, 2tr ned, S-131 45 Nacka (SE). FRID, Lars; Hälsingegatan 3, S-113 23 Stockholm (SE). (74) Agent: ERICSSON RADIO SYSTEMS AB; Common Patent Dept., S-164 80 Stockholm (SE).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	

(54) Title: DIGITAL CELLULAR COMMUNICATION SYSTEM WITH SEVERAL MULTIPLE ACCESS SCHEMES FOR PACKET DATA



(57) Abstract

A digital radio network (12) having a first single random access (52, 59) for packet data is enhanced by providing a second access (53, 58) which includes a second random access channel (61) for controlled short data messages and a polled data channel (62, 63) for larger data messages. All mobiles (54, 55) operating within the system can access the first channel but only dual-mode mobiles (55) can connect to the second access.

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

DIGITAL CELLULAR COMMUNICATION SYSTEM WITH SEVERAL MULTIPLE ACCESS SCHEMES FOR PACKET DATA

5           **CROSS REFERENCE TO RELATED APPLICATIONS**

This application is related to U.S. Patent Application Serial No.08/955,664, filed on even date herewith in the name of the same inventors and entitled "Access Scheme for Packet Data in a Digital Cellular Communications System" (attorney's Docket No. 34646/00270) which is hereby incorporated by reference herein.

10           **BACKGROUND OF THE INVENTION**

Field of the Invention

The present invention relates to radio telecommunications and, more particularly, to a packet data telecommunication system for a cellular radio network.

**Description of the Related Art**

15           In radio telecommunications, such as cellular radio systems, digital modulation schemes, such as time division multiple access (TDMA), are used to transmit both control information and voice traffic over the radio network. In addition, in recent years the transmission of data between computers and other data processing devices over the radio network is increasingly common. One technique which is used for the  
20           handling of data traffic over the radio network is circuit switched data services in which a dedicated circuit between a transmitting and a receiving station conveys the data from one to the other. An attractive alternative to such circuit switched data services for operators of mobile telephony networks are packet data services. The use of packet data switching enables several mobile users to share the available channel  
25           capacity within the system. This technique is well suited to modern data communication applications since data transmissions are usually of a bursty nature and thus do not continuously require a dedicated communications circuit.

A number of different channel access schemes are commonly used in radio communication systems. Each such access scheme has distinct advantages and

-2-

disadvantages for various applications. For example, fixed assignment access schemes within a radio telecommunications system are used for circuit switched services such as conventional voice telephony and fax. Although not yet widely used in cellular systems, polling schemes may also be employed to enhance the frequency efficiency of a radio system. The most common scheme used for multiple access in a radio system are random access schemes, conventionally employed in many cellular radio telecommunications systems.

In conventional mobile packet radio communication systems, a base station (BS) communicates with a plurality of mobile stations (MSs) over one or more shared packet radio channels. Downlink packet traffic is scheduled by the base station, so that downlink contention between mobile stations is avoided. However, in order for the mobile stations to gain access to the base station on the uplink, they must compete using a random multiple access protocol which inevitably leads to contention and multiple collisions between the different mobile stations which are competing with one another for access on the uplink. Referring to Fig. 1, there is shown a simplified block diagram of a radio communications system which includes facilities for transferring packet data to and from a mobile station. The system 10 includes a communication network 12 which includes a base station/transceiver section 14. The network 12 can be a public land mobile network (PLMN) such as the Personal (formerly, Pacific) Digital Cellular (PDC) system, a digital TDMA cellular radio network.

Network 12 communicates with a mobile station 16 which has the capacity of sending and receiving packet data, via a base station 14 using existing air interface and switching communication protocols. The network 12 also communicates with other mobile stations 20 via a second base station 18 in the network 12, fixed telephones 22 in a public switch telephone network (PSTN), and terminal work stations 24 and 26. As shown, the communication between computer terminal 24 and network 12 are made over a wired line connection. The communication between computer terminal 26 and the network 12 are via a wireless radio connection through base station 14. Consequently, communications to and from phone 22 and computer terminals 24 and 26 can be routed to and from the mobile stations 20 and 16 by means of a network 12.

Referring next to Fig. 2, there is shown the channel structure of an illustrative air interface in a cellular radio system of the type illustrated in Fig. 1 which

-3-

accommodates random access packet data channel. The channel structure includes a broadcast channel (BCCH) which is used by the network to broadcast various information to mobile stations such as channel allocation and system information. A set of common control channels (CCCH), including a paging channel (PCH) and a single cell signaling channel (SCCH) are used for transmitting signal information. The PCH is used to page a mobile station while the SCCH is used for transmitting information between the network and the mobile units, for example, requests by a mobile seeking access to the network. The uplink channel of the SCCH is of the random access type. A user packet channel (UPCH) is a channel which is available to multiple users for the transmission of user packet data. The uplink channel of the UPCH is also a random access type.

The appended control channels (ACCH) comprise an auxiliary channel appended to the traffic channel (TCH) for transmitting signal information between the network and the mobile station. The ACCH is further divided into the slow appended control channel (SACCH) which comprises a data channel carrying continuous system administration information such as measurement reports from each mobile of received signal strength measurements obtained for both its presently serving cell and adjacent cells. The fast appended control channel (FACCH) is also appended to a TCH and is a channel which temporarily steals the TCH to perform high speed transmissions. A housekeeping channel (RCH) sometimes replaces the SACCH and is used for transmitting maintenance information on the radio channel. Finally, the traffic channel (TCH) is used for transferring encoded speech and circuit switched user data. It is often further divided into full rate TCH and a half rate TCH for encoded speech.

It is conventional today to use the random access method for uplinking data transfer from a mobile station on the user packet channel (UPCH). The channel structure of the cell is communicated to the mobile users within that cell through the information transmitted on the broadcast channel (BCCH). For example, in the PDC system there is broadcast on the BCCH (and on other channels from time to time) a broadcast information message which contains numerous mandatory and optional parameters, including packet channel structure information and channel restriction information. The latter comprises one octet of data of which a small number of the

-4-

possible 256 bit combinations are used to indicate to the mobile whether or not particular channels are restricted from access by those mobiles.

In accordance with conventional random access procedures, as soon as the user packet data channel (UPCH) is idle, all mobile users which want to send user data packets to the network will simultaneously compete for the use of that channel. If there is only one access during this competition phase, that user will get hold of the channel and remain its user until the complete data packet has been sent. During the time when the user utilizes the channel, no other mobile seeking to transmit a data packet will try to access it. However, if during the competition phase there is more than one user which simultaneously accesses the channel, a collision occurs and a maximum of one, or often none of those competing users, will get data through the channel. In such cases, each failing user must wait a random time period before it can make a new attempt to seize the channel.

The use of shared random access data channels in conventional packet services within radio networks has numerous disadvantages. For example, during high traffic loads and long packet messages, the probability of a mobile station being able to send its data packets is dramatically reduced and a mobile must wait an inordinately long period of time for the channel to become free so that it can even attempt to access it.

As illustrated in Fig. 3, each of the two mobile stations 31 and 32, equipped respectively for handling packet data from two portable computers 31a and 32a receive information broadcast on the downlink of the air interface, 33 and 34 respectively. Each mobile 31 and 32 receives the same information 35 broadcast on the BCCH. If both of the mobile stations 31 and 32 seek to send packet data to the network, they both listen for information on the BCCH indicating the availability of a random access user data channel (UPCH). An algorithm which uses the mobile's own unique identity (MSI) as one input parameter attempts to spread the mobiles evenly over the available channels. We assume each of the two mobiles 31 and 32 find the same UPCH 38 when applying the algorithm. If their respective access data packets 36 and 37 do not collide and obliterate one another when received at the base station, the packets 36 and 37 are successfully delivered to the network. If, instead, two user packets 36 and 37 collide, then its likely that neither of the two mobile stations 31 or 32 succeeds to access the channel and both must wait a random period of time before it make a new

-5-

attempt to access the channel. The random access control process in a digital mobile radio communication system of the PDC type illustrated in Figs. 1 and 3, is shown in Fig. 5.

Once a mobile successfully has started sending a packet it will continue to complete that packet. Each packet transfer is done under competition with other mobiles. Fig. 4 illustrates the layer 1 view of an uplink access scheme if we assume MS1 "has" the channel.

It is obvious that the more MSs that the algorithm allots to the same UPCH, the higher the risk of colliding packets.

In Fig. 5, the downlink user packet channel UPCH, and signaling channel SCCH, include a collision control field 41. This field is labeled E and, in this example, is 22 bits in length. This information is used by the mobile station during random access. Processing of the collision control bit field E at the base station comprises the processing of several sub-fields including the setting of an I/B field 42 to the bits "111" if the uplink UPCH is idle and to "000" if the uplink UPCH is busy. An R/N field 43 is set by the base station to "111" if valid information was received on the UPCH channel in the previous slot and to "000" if no valid information was received on the UPCH channel in the previous slot. The PE field 44 is set to all zeros if the channel is idle or no message was received. If a message is received on the UPCH channel, the detected and checked (CRC) (16 bits) from the UPCH message received from the mobile station are used as a partial echo in the PE field 44 in the downlink transmission.

With respect to processing of the packet data information in the mobile station, when the mobile station has data to send, it sequentially checks UPCH channels for an idle condition and starts the transmission. Next it looks for the R/N and PE fields to confirm that the first packet unit was correctly received by the base station. If this did not occur, the mobile station will, after a random delay, look again for an idle UPCH channel and try to retransmit its packet.

Referring next to Fig. 6, an example of random access control between two mobile stations in an illustrative digital cellular system of the PDC type is illustrated. In this example, two mobile stations MS1 and MS2 each have a packet to transmit to the network. The packets both consist of two bursts on the UPCH channel. The

-6-

sequence of events corresponds to the sequence of circled numbers in Fig. 6. First, the uplink UPCH is idle, which is indicated by the E field on the downlink UPCH, and thus both mobiles start transmission of their packets. Second, the base station is able to receive the first packet burst from MS2 uncorrupted and responds accordingly by setting the following indications in the E field on the downlink: I/B field: B=B (busy); and R/N field: =R (burst received); and PE field: the CRC value from the burst received from MS2. Thirdly, MS2 detects that the PE field contains the CRC from the burst it has transmitted, which together with the appropriate B and R indications tell this mobile station to continue transmitting its packet. MS1, since it lost the contention with MS2, will inhibit all transmissions for a random time and then start searching for an indication that the channel has become idle again. In the fourth step, when mobile station MS2 has completed its transmission the channel will again be marked idle and, in this example, MS1 starts transmission of its packet. At 5, MS1 receives an indication that its first burst was correctly received.

From these illustrations, it can be seen how a mobile station, seeking random access within the system could encounter substantial difficulty in obtaining use of the packet data channel when either a great deal of packet traffic is present in the network or the packets being sent by the packet channel user are lengthy and therefore occupy the channel for extended periods of time.

Thus, there exists a need for an alternative solution within such radio telecommunication networks which enhance the packet data access by users within the system.

### **BRIEF SUMMARY OF THE INVENTION**

In one aspect the present invention includes providing packet data access in a digital cellular communications network by incorporating a first random access scheme within the network in which packet data access for low traffic areas is provided only over a shared data channel and mobile stations seeking packet data access must compete with one another for usage of the channel. A second access scheme is provided within the network with which packet data access is provided over a random access channel which is used only for control signaling and short data messages and at least one polling data channel is used for sending larger quantities of



-7-

data in high traffic areas between a mobile station and the network. Messages are broadcast over the control channel (BCCH) which indicate the presence and operative parameters of the second access scheme to all mobile stations. Access to the second access scheme is inhibited to all mobile stations which are not capable of communicating over both the first and second access schemes.

In another aspect, the invention includes improving the performance of a digital mobile radio communications network that includes a first random access packet data scheme by allocating the nonexclusive use of the first random access packet data scheme for packet data transmission within the mobile communication network by all mobile stations having packet data capability within the system. A second packet data access scheme is provided within the network which includes a second user data and control channel accessible only by a selected class of dual mode mobile stations capable of packet data transmission within both the first and second access schemes of the network and at least one data channel for exclusive use of the dual mode mobiles for sending packet data information in both directions between the mobile station and the network. Both the first and second access schemes may be provided by reallocating the existing channel structure within the radio network. Dual mode mobile stations may select to use the second packet data access scheme to which they have exclusive access based upon, for example, the number of collisions which are currently occurring on the packet data access channel to which all mobiles have access.

In yet another aspect, the present invention provides a method of providing a second packet access scheme into a radio system which has an existing access scheme. The second access scheme is provided in a manner which does not affect the existing mobile stations which are only capable of operating within the existing system.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

For an understanding of the present invention and for further objects and advantages thereof, reference can now be had to the following description, taken in conjunction with the accompanying drawings in which:

FIG. 1 is a pictorial block diagram illustrating a prior art radio telecommunications system;

-8-

FIG. 2 is a diagram illustrating the radio channel structure within the air interface of an illustrative prior cellular system having a packet data channel;

FIG. 3 is a diagram illustrating random access of a shared packet data channel in an illustrative prior art digital cellular system;

5 FIG. 4 is a pictorial diagram illustrating competition for a single random access packet data channel and possible collisions which may occur in a prior art system;

FIG. 5 is a diagram illustrating the downlink signaling format within a control channel of a prior digital cellular system illustrating collision control messaging;

10 FIG. 6 is a diagram illustrating random access for communication by two separate mobile stations, both seeking random packet access to a base station in a prior art digital cellular system;

FIG. 7 is a pictorial diagram illustrating the addition of a separate parallel packet data access scheme within a digital cellular system having a random access packet data channel in accordance with the present invention;

15 FIG. 8 is a pictorial diagram illustrating selective access of the separate parallel dedicated packet data channel in a system constructed in accordance with the teachings of the present invention;

FIG. 9 is a pictorial diagram illustrating access to a parallel packet data access scheme incorporated into a network in accordance with the present invention;

20 FIG. 10 is a signaling diagram illustrating large quantity data transfer initiated by a mobile station in a system constructed in accordance with the present invention;

FIG. 11 is a signaling diagram illustrating large quantities of data transfer initiated by the network when a mobile station is in active state in a system constructed in accordance with the present invention; and

25 FIG. 12 is a signaling diagram illustrating large quantities of data transfer initiated by the network when a mobile station is initially in a packet standby state in a system constructed in accordance with the present invention.

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

30 As discussed above, a shared random access packet data channel has distinct disadvantages in a digital radio telecommunication system when packet traffic is heavy and/or large quantities of data need to be sent. The system of the present

invention provides an improved solution to this situation by incorporating a second multiple access dedicated packet data scheme which is used simultaneously with the existing shared random access scheme. The system also provides for a second class of mobile station which has the capability of accessing either the first access scheme or both the first access scheme and the dedicated packet access scheme.

As illustrated in Fig. 7, a base station 51 in a system constructed in accordance with the present invention, includes means for providing random access attempts 52 and 53 from a pair of mobile stations 54 and 55 each of which include, respectively, packet data access capabilities 54a and 55a. The BCCH contains an indication of which packet channels are available within the system. Access to the first access scheme by the respective mobile stations 54 and 55 is accomplished by random access contention by the two mobile stations in response to information on the downlink UPCH for the first access scheme. In addition, mobile station 55 includes the additional capability of using a second dedicated packet access scheme incorporated as part of the system of the present invention. The first access scheme, available to both mobile stations 54 and 55, includes only random packet access. The second access scheme, available to only mobile station 55, is dedicated packet traffic only. The mobile station 55 is, in effect, a dual mode mobile station having the capability of securing packet data access on either of the two schemes while the mobile station 54 only has the capability of access under the first random access scheme.

One exemplary technique for allowing access to the dedicated packet to only the limited group of dual mode mobiles capable of accessing both schemes is with the use of channel restriction parameters. Under present PDC standards, for example, a broadcast information message is sent on the downlink of the BCCH and on other channels from time to time. The broadcast information message contains numerous mandatory and optional parameters including packet channel structure information and channel restriction information. The channel restriction parameter combines one octet of data allowing for 256 combinations of bits. Presently, under current PDC standards, only 11 eight bit combinations are known to and recognizable by existing mobiles and used by the network to control access to the current random packet access scheme. Thus, bit combinations other than those 11 would be unrecognized and ignored by the older single mode mobiles which can only access the random packet

access scheme. However, the new dual mode mobiles are programmed to recognize a group of new eight bit combinations in the channel restriction parameter (as well as the old combinations) and thereby know that the dedicated packet access channels are available to them.

5 Referring next to Fig. 8, there is shown a pictorial diagram illustrating use of the parallel multiple access schemes of the system of the present invention utilized by the dual mode mobile station 55. The first digital random access scheme 59 is essentially the same as that illustrated in the prior art system of Fig. 3 in which multiple mobile stations contend for random access to a packet data channel. The  
10 second multiple access scheme 58 may consist, for example, of one channel of random access type 61 and a number of channels which use the polling principle of access. In one exemplary embodiment, the random access channel 61 of the second access scheme comprises an access and control channel (ACH) which is used for control signaling and short data signals. In this embodiment, polling data channels (PDCH)  
15 62 and 63 are used for larger quantities of data to be sent between the mobile station 55 and the network. Only the presence and the operative parameters of the random access channel (ACH) are communicated to all the mobile stations 54 and 55 on the BCCH 35; however, the channel restriction information on the BCCH tells the single mode mobiles 54 that this ACH is not available to them. A dual mode mobile station  
20 55 which has a relatively large quantity of data to send to the network utilizes the present system of the present invention by sending a data registration signal 64 on the ACH. The network can then dynamically allocate one or more polling data channels PDCHs 62 and 63 depending upon the quantity of data to be sent. The network also allocates one or more PDCHs when there is data to be sent to a mobile station. For the  
25 polling data channels, PDCHs, either a standard polling scheme, such as in accordance with one of the options of the high level datalink control (HDLC), for example, or a messaging scheme of the type set forth below can be used. The burst structure of the type described above in connection with Figs. 5 and 6 contains an E field for the administration of collision control bits. In this exemplary embodiment of a possible  
30 second access scheme, however, the E field is replaced by flag patterns which are controlled by the network. Each pattern is associated with a specific mobile station and, when recognized, allows it to send its data to the network. The layer 1 uplink

scheme of this exemplary second access scheme is illustrated in Fig. 9. The use of polling flags on the PCDH uses the same burst format as, but not the same content as, the use of the E-bits in the random access channel.

One goal in a possible embodiment of a second access system is to minimize the changes in the existing system necessary to implement the new system. For example, the existing downlink signaling format for the control channel is shown in Fig. 5 and discussed above. This format contains an E field which is 22 bits in length and which is used in the existing random access to control packet collisions. In this exemplary second access scheme, the technique of controlling access by the various mobiles seeking access to the PDCH is to replace the collision control bits of the E field with a six bit "flag pattern" repeated 3 times (18 bits) for redundancy. This will give a maximum number of 64 possible combinations. When a dual mode mobile registers with the system on the ACH to obtain access for sending data packets on the PDCH it is assigned one particular flag pattern. That mobile may only send data after it recognizes its own flag pattern as having been broadcast by the network over the PDCH on the downlink indicating that mobile's turn to send packets to the network on the uplink PDCH. For example, a mobile which has recognized its unique flag pattern in the E field may, 190 symbols after the interface between the syncword and color code broadcast by the network, start sending bursts and continue for up to 18 bursts in a single layer 2 message. Thereafter, the network resumes control and sends the flag pattern of a different mobile on the downlink PDCH in the E field giving a different mobile the opportunity to send packets. The allocation of a specific time to send packets to each mobile means that there will be no collisions on the PDCH. The present system allows the same burst format to be used on both the existing random access packet channel and the dedicated PDCH.

This technique of the first exemplary embodiment described above greatly enhances the efficiency of packet data access in a system over both the purely random access system as well as GSM-like systems which offer a mobile the opportunity to continue to maintain ownership of the packet channel (by continuing to request ownership after sending repeated units of packet data). This exemplary embodiment of the system of the present invention provides a much fairer allocation of packet data resources to all the mobiles in the system.

-12-

As shown in Figs. 10-12 for one exemplary embodiment of a second access, there are a number of different traffic situations wherein the messaging scheme outline should be used. For example, in Fig. 10 there illustrates a situation in which transfer of a large quantity of data is to be initiated by the mobile station. First, the mobile station (MS) sends a data registration message 71 to the network (NW) on the random access channel (ACH). Thereafter, the network sends a channel allocation message 72 back to the mobile station which then enters the active mode and performs a first data transfer 73 from the mobile station to the network via the polling data channel (PCDH). Thereafter, multiple units, each comprising large quantities of data 74 may be transferred in both directions between the mobile station and the network.

Similarly, Fig. 11 illustrates large quantities of data transfer initiated by the network when the mobile station is in active state. In this instance, the network issues a channel allocation message 75 on the random access channel (ACH) to the mobile station which transmits a channel allocation acknowledgment (ACK) 76 on the packet data channel (PDCH) to the network. A first data transfer 77 takes place on the PDCH from the network to the mobile station and thereafter large quantities of data transfer 78 take place in both directions between the mobile station and the network on the PDCH.

Finally, Fig. 12 illustrates large quantities of data transfer initiated by the network when the mobile station is in a packet standby state wherein in order to save power, the mobile listens less frequently to messages sent by the network. In this instance, a page message 81 is sent on the random access (ACH channel) from the network to the mobile station and the mobile responds with a terminating condition report message 82 on the ACH. The network responds with a channel allocation message 83 to the mobile station who forwards a channel allocation acknowledgment 84 on the PDCH back to the network. A first data transfer 85 takes place on the PDCH from the network to the mobile station followed by large quantity data transfers 86 in both directions between the mobile station and the network.

A second exemplary embodiment of a second access scheme in the multiple access scheme of the present invention might be similar to the first. However, instead of sequentially and cyclically polling the various mobiles and sequentially and cyclically allocating access in accordance with unique flag patterns as described

above, a mobile could be allocated access to the PDCH and allowed to send packets continuously as long as it had any to send. This would also prevent collisions on the PDCH and allow more efficient handling of large amounts of packet data in the network over the single random access system. It would not give each mobile as fair an access to the packet system as in the first exemplary embodiment.

The provision of two parallel access packet data channels, one accessible by all mobiles in the network and the other selectively accessible by dual mode mobiles, can be used in existing systems with random access packet data channels without effecting their existing functions. Minimal change to existing standards, such as PDC, are required to implement the system of the present invention.

The availability of access by mobile stations to one or the other of the dual access schemes of the present invention is controlled by a channel restriction information element, i.e. a parameter, included, for example, within the layer 3 downlink messages called "Broadcast Information," "Zone Information Notification," and "Packet System Information" within the PDC standard used herein as an exemplary embodiment of the invention. This parameter is presently used in PDC to prevent too many mobile stations from using one specific random access channel. In the present invention, this parameter is assigned a value which prevents mobile stations which do not have the capability to communicate on both the conventional random access scheme and the second access scheme from being able to access the latter. Only the "dual-mode" mobiles are allowed by the broadcast parameter to access both schemes. Dual mode mobile stations may be attracted to the second access scheme by selected values of the same parameters used to exclude non-dual mode mobiles from access or by the receipt of information over the BCCH channel which indicates, for example, the number of collisions which are currently occurring on the first random access scheme open to all mobiles.

The present invention enhances the facility of the existing radio telecommunications networks by adding increased capacity on several bases: (1) no collisions will occur on the second access scheme giving superior packet transfer behavior at medium and high traffic loads within the network; (2) the messages sent on the first access scheme will be very short (with no or only very limited data transmissions) which also decreases the probability of collision between dual mode

mobiles accessing this alternative channel and is more suitable for low traffic areas; and (3) the second access scheme is dynamically allocated for usage by the mobile stations according to the amount of data to be transmitted.

5 The method and system of the present invention also allows a flexible assignment of available packet data resources for each cell. In low traffic cells a single random access packet data channel scheme may be preferred because of lower cost. However, for higher traffic densities, either in numbers of mobiles seeking access for packet data transmission or the larger quantities of data to be sent between mobile stations and the network, a base station which supports both access schemes is  
10 considerably more efficient. The provision of the additional access channels may be made by changing the set up parameters for the channels within a cell. The currently available channel structure within each cell is communicated to the mobile stations through normal broadcast procedures.

15 Although preferred embodiments of the method and apparatus of the present invention have been illustrated in the accompanying drawings and described in the foregoing description, it is understood that the invention is not limited to the embodiment(s) disclosed but it capable of numerous rearrangements, modifications and substitutions without departing from the spirit of the invention as set forth and defined in the following claims.



-15-

## WHAT IS CLAIMED IS:

1. A method for providing packet data access in a digital cellular communications network which includes:

5 providing a broadcast control channel (BCCH) over which the network broadcasts system control information to mobile stations in the network;

providing a first random access scheme within said network in which packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon channel availability messages broadcast to all mobile stations;

10 providing a second access scheme within said system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

15 broadcasting messages over said control channel (BCCH) which indicate the presence and operative parameters of the channels utilizing said second access scheme to all mobile stations; and

allowing access to the channels utilizing said second access scheme to only mobile stations which are capable of communicating over both the channels utilizing said first and second access schemes.

2. A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said access allowing step includes:

25 broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are not capable of communications over both of said first and second access schemes as indicating that all channels utilizing said second scheme are not available.

3. A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said access allowing step also includes:

30 broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are capable

of communications over both of said first and second access schemes as indicating that said second scheme is available to them and attracts said mobile stations to the channels utilizing said second scheme rather than the channels utilizing said first scheme.

5           4.       A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said first access scheme also includes:

10                       broadcasting on the downlink of the channel messages which include a collision control bit field indicating whether the uplink of the shared channel is idle or busy.

          5.       A method for providing packet data access in a digital cellular communications network as set forth in claim 1 wherein said second access scheme includes a polling data channel and said method further includes the additional step of:

15                       broadcasting on the polling access channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

          6.       A method for improving the performance of a digital mobile radio communications network that includes a first random access packet data scheme, comprising the steps of:

20                       allocating the nonexclusive use of the channels utilizing said first random access packet data scheme for packet data transmission within said mobile communication network by all mobile stations having packet data capability within the system;

25                       providing a second packet data access scheme within said network which includes the additional steps of:

                              providing a second random access user data and control channel accessible only by a selected class of dual mode mobile stations capable of packet data transmission within both said first and second access schemes of said network; and

-17-

allocating at least one polling data channel for exclusive use of said dual mode mobiles for sending packet data information in both directions between the mobile station and the network.

5 7. A method as set forth in Claim 6 wherein the channels of both said first and second access schemes are provided by reallocating the existing channel structure within said radio network.

8. A method as set forth in claim 6 wherein the channels of both said first and second access schemes employ the same burst format.

10 9. A method as set forth in claim 6 wherein said dual mode mobile stations select to use the second packet data access scheme to which they have exclusive access in response to the number of collisions which are currently occurring on the packet data access channel to which all mobiles have access.

15 10. A method for providing a second multiple access scheme in an existing digital cellular communications system without affecting mobiles designed according to the standards of said existing system in which said existing system includes a random packet access scheme wherein packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon channel availability messages broadcast to all mobile stations, said method comprising:

20 providing a second access scheme within said existing system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

25 broadcasting messages on the control channel (BCCH) of said existing system which indicate the presence and operative parameters of the channels, utilizing said second access scheme to all mobiles stations; and

allowing access to channels utilizing said second access scheme to only mobile stations which are capable of communicating over channels utilizing both said existing and said second access schemes.

-18-

11. A method for providing a second multiple access scheme in an existing digital cellular communications system as set forth in claim 10 wherein said access allowing step includes:

5 broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are designed in accordance with the standards of said existing system and not capable of communications over both of said existing and second access schemes as indicating that all channels utilizing said second scheme are not available.

10 12. A method for providing a second access scheme in an existing digital cellular communications system as set forth in claim 10 wherein said access allowing step also includes:

15 broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are capable of communications over both of said existing and said access schemes as indicating that said second scheme is available to them and which attracts said mobile stations to channels utilizing said second scheme rather than channels utilizing said existing scheme.

20 13. A method for providing a second access scheme in an existing digital cellular communications system as set forth in claim 10 wherein said first access scheme also includes:

broadcasting on the downlink of the channel messages which include a collision control bit field indicating whether the uplink of the shared channel is idle or busy.

25 14. A method for providing a second access scheme in an existing digital cellular communications system as set forth in claim 10 wherein said second access scheme includes a polling data channel and said method further includes the additional step of:

broadcasting on the polling data channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

5 15. A system for providing packet data access in a digital cellular communications network which includes:

means for providing a broadcast control channel (BCCH) over means for which the network broadcasts system control information to mobile station in the network;

10 means for providing a first random access scheme within said network in which packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon messages broadcast on the downlink of the channel utilizing said first random access scheme;

15 means for providing channels utilizing a second access scheme within said system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

means for broadcasting messages over said control channel (BCCH) which indicate the presence and operative parameters of the channels utilizing said second random access scheme to all mobile stations; and

20 means for allowing access to channels utilizing said second access scheme to only mobile stations which are capable of communicating over both said first and second access schemes.

25 16. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said access allowing means includes:

means for broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are not capable of communications over both of said first and second access schemes as indicating that the channels utilizing said second scheme are not available.

-20-

17. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said access allowing means also includes:

5 means for broadcasting control messages over said BCCH which include an information parameter which is interpreted by all mobile stations which are capable of communications over both of said first and second access schemes as indicating that the channels utilizing said second scheme is available to them and attracts said mobile stations to the channels utilizing said second scheme rather than the channels utilizing said first scheme.

10 18. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said first access scheme also includes:

15 means for broadcasting messages on the downlink of the channel utilizing said first access scheme which include a collision control bit field indicating whether the shared channel is idle or busy.

19. A system for providing packet data access in a digital cellular communications network as set forth in claim 15 wherein said second access scheme includes a polling data channel and said system also includes:

20 means for broadcasting on the polling data channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

20. A system for improving the performance of a digital mobile radio communications network that includes a first random access packet data scheme, comprising:

25 means for allocating the nonexclusive use of channels utilizing said first random access packet data scheme for packet data transmission within said mobile communication network by all mobile stations having packet data capability within the system;

-21-

means for providing channels utilizing a second packet data access scheme within said network which includes:

means for providing a second random access user data and control channel accessible only by a selected class of dual mode mobile stations capable of packet data transmission within both said first and second access schemes of said network; and

means for allocating at least one polling data channel for exclusive use of said dual mode mobiles for sending packet data information in both directions between the mobile station and the network.

21. A system as set forth in Claim 20 wherein the channels of both said first and second access schemes are provided by reallocating the existing channel structure within said radio network.

22. A system as set forth in claim 20 wherein the channels of both said first and second access schemes employ the same burst format.

23. A system as set forth in claim 24 wherein said dual mode mobile stations select to use the second packet data access scheme to which they have exclusive access in response to the number of collisions which are currently occurring on the packet data access channel to which all mobiles have access.

24. A system for providing a second multiple access scheme in an existing digital cellular communications system without affecting mobiles designed according to the standards of said existing system in which said existing system includes a random packet access scheme wherein packet data access for low traffic areas is provided over a data channel and mobile stations seeking packet data access compete with one another for use of the channel based upon channel availability messages broadcast to all mobile stations, said system comprising:

means for providing a second access scheme within said existing system in which packet data access for high traffic areas is provided for sending larger quantities of data between a mobile station and the network;

-22-

means for broadcasting messages of the control channel (BCCH) of said existing system which indicate the presence and operative parameters of the channels, utilizing said second access scheme to all mobiles stations; and

5 means for allowing access to channels utilizing said second access scheme to only mobile stations which are capable of communicating over channels utilizing both said existing and said second access schemes.

25. A system for providing a second multiple access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said means for allowing access comprises:

10 means for broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are designed in accordance with the standards of said existing system and not capable of communications over both of said existing and second access schemes as indicating that all channels utilizing said second scheme are not available.

15 26. A system for providing a second access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said means for allowing access comprises:

20 means for broadcasting control messages over said existing BCCH which include an information parameter which is interpreted by all mobile stations which are capable of communications over both of said existing and said access schemes as indicating that said second scheme is available to them and which attracts said mobile stations to channels utilizing said second scheme rather than channels utilizing said existing scheme.

25 27. A system for providing a second access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said first access scheme also includes:

means for broadcasting on the downlink of the channel messages which include a collision control bit field indicating whether the uplink of the shared channel is idle or busy.



-23-

28. A system for providing a second access scheme in an existing digital cellular communications system as set forth in claim 24 wherein said second access scheme includes a polling data channel and said system further comprises:

5 means for broadcasting on the polling data channel messages which include a flag pattern field indicating which mobile can send its data to the network on the channel utilizing said second access.

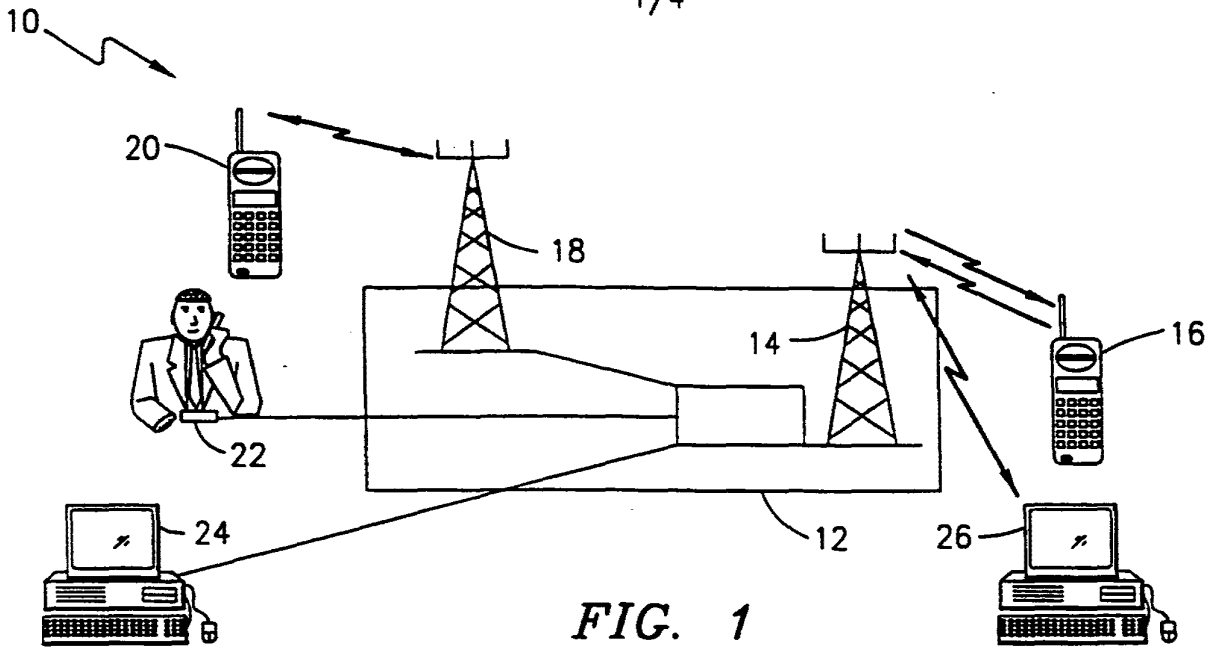


FIG. 1

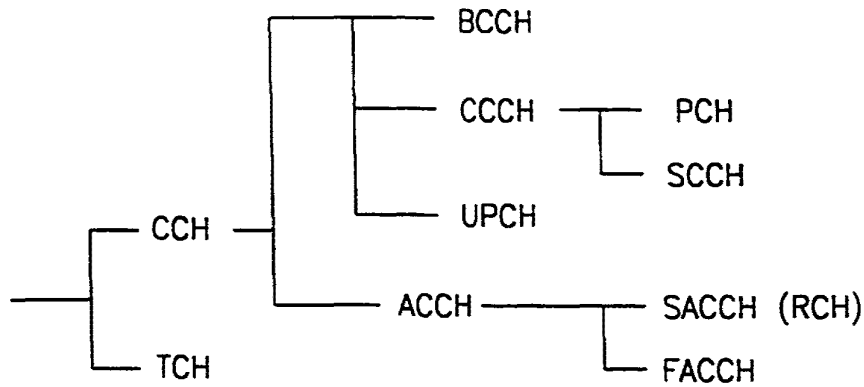


FIG. 2

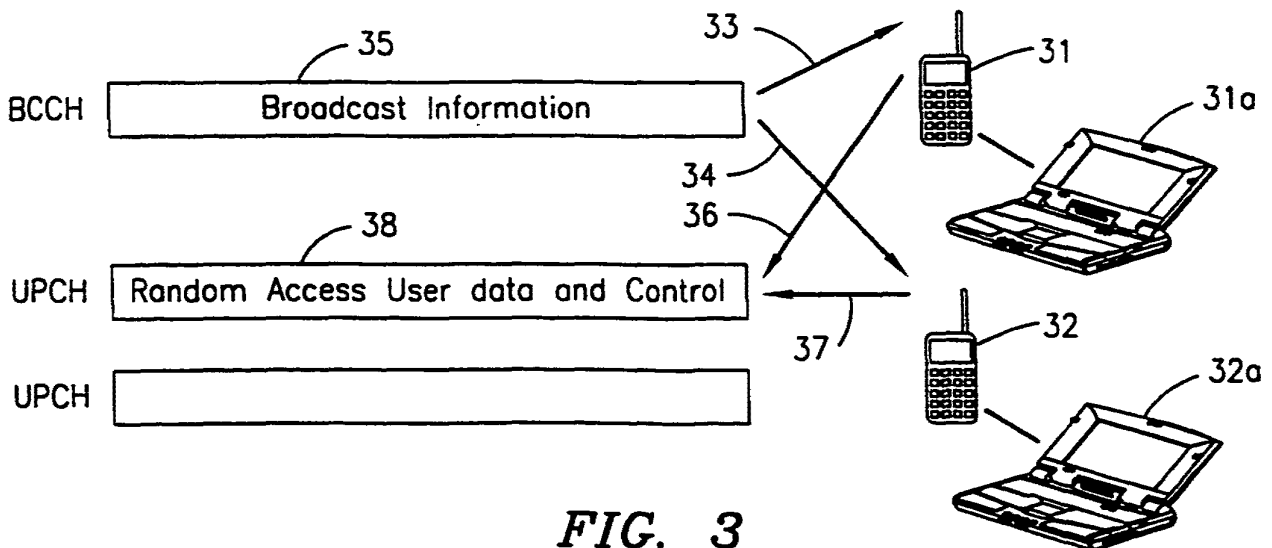


FIG. 3

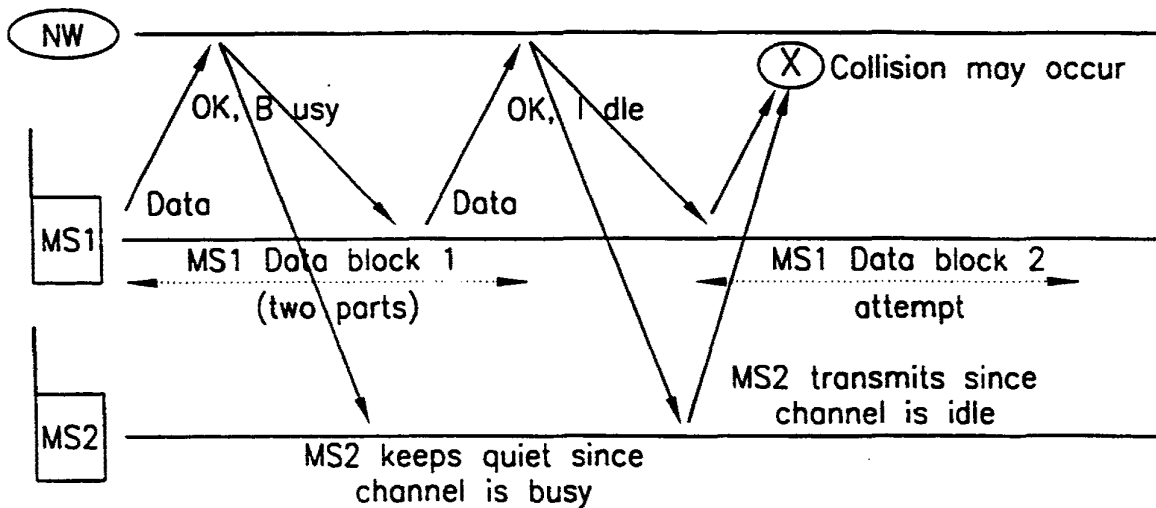


FIG. 4

• Downlink Signalling Format for Control Channel

R	P	CAC	SW	CC	CAC	E
4	2	112	20	8	112	22

E: Collision Control bits,

• Structure of Collision Control Bits:

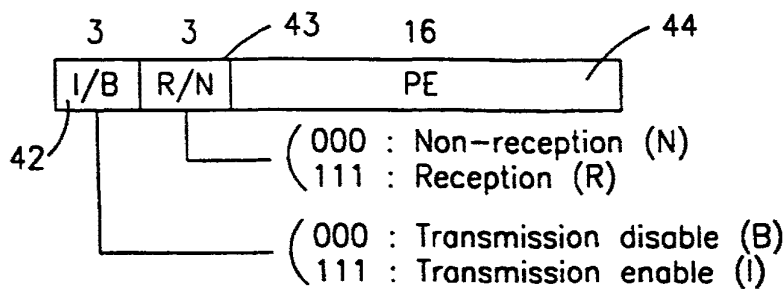


FIG. 5

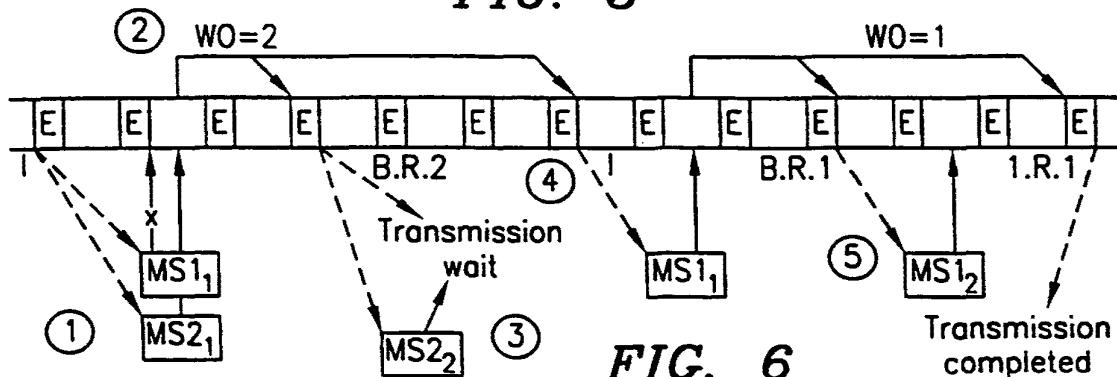


FIG. 6

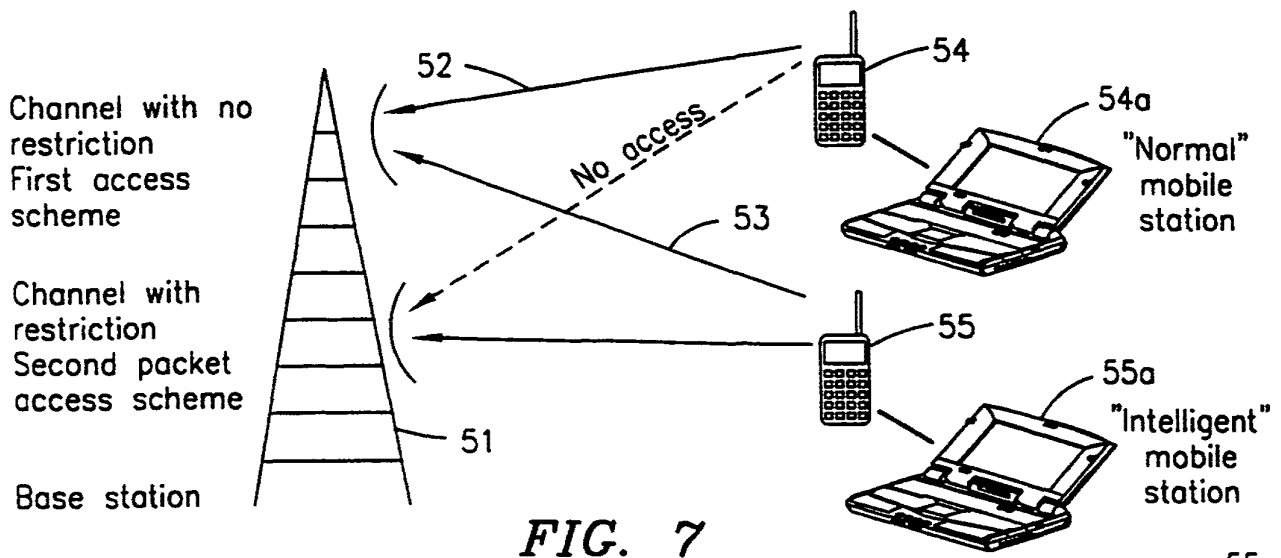


FIG. 7

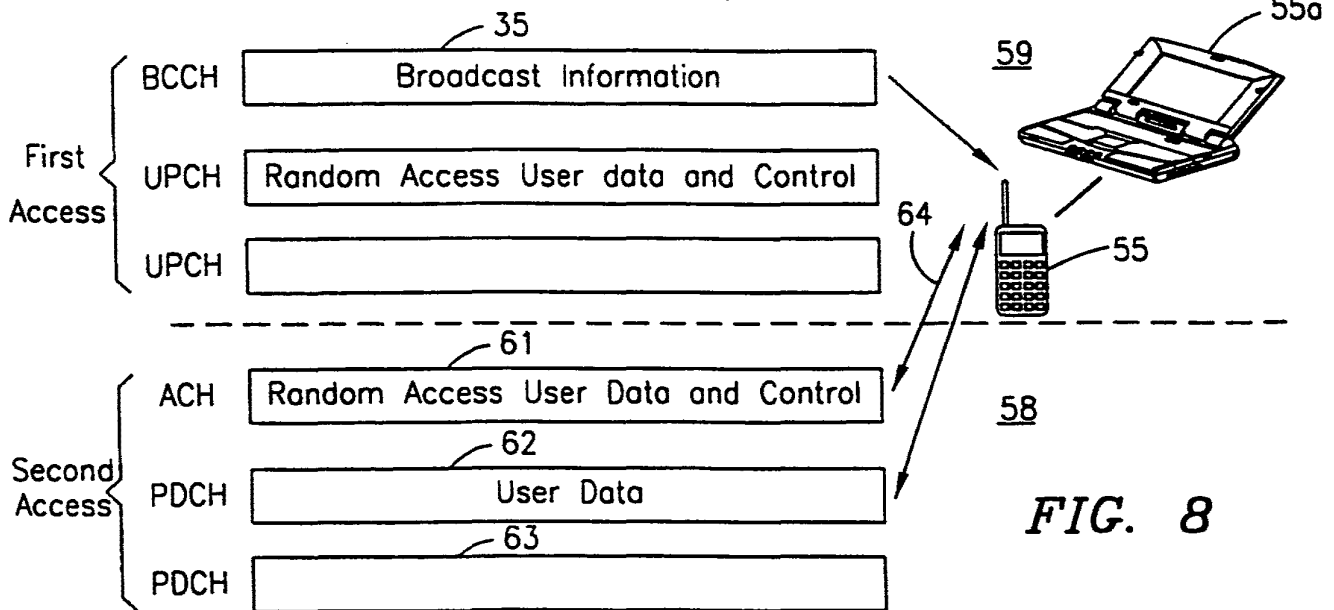


FIG. 8

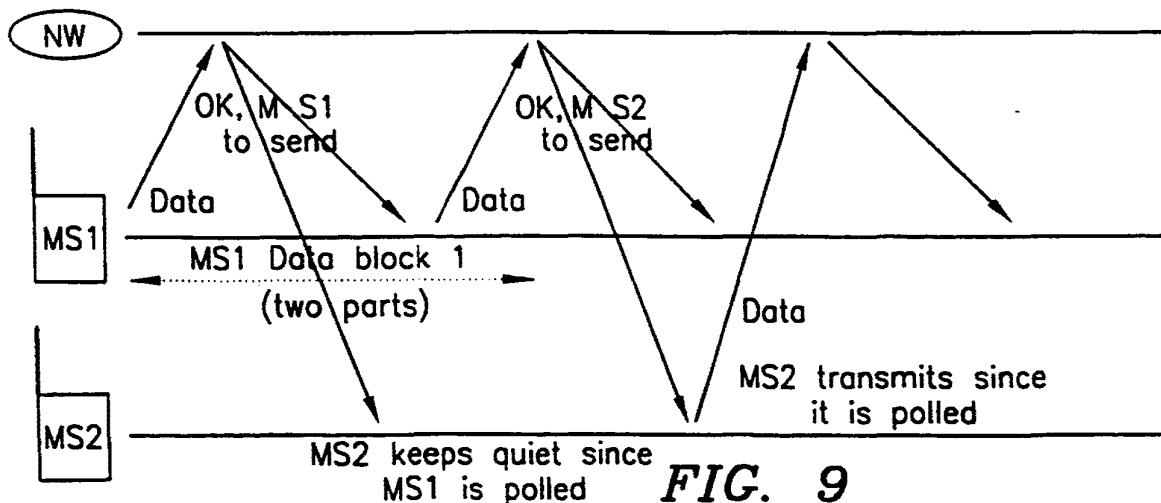
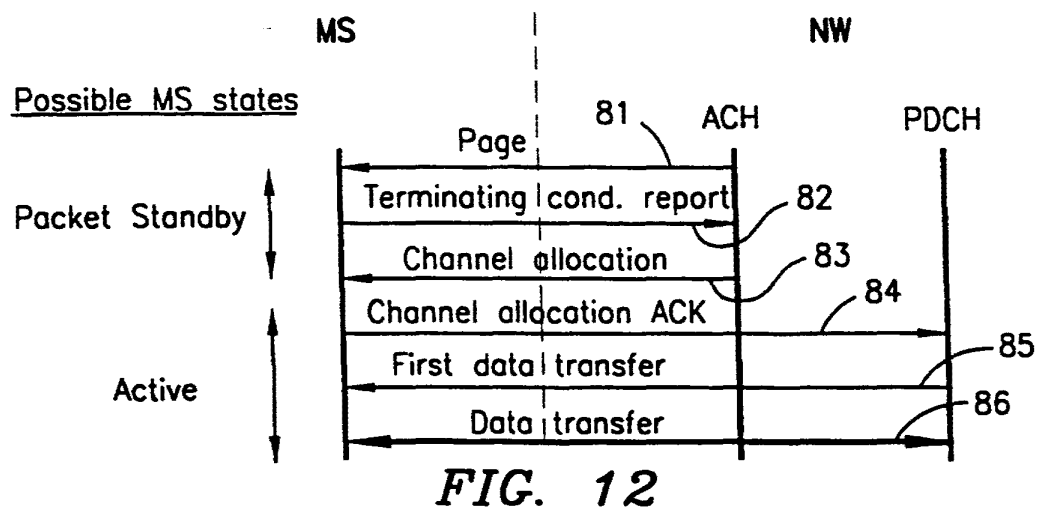
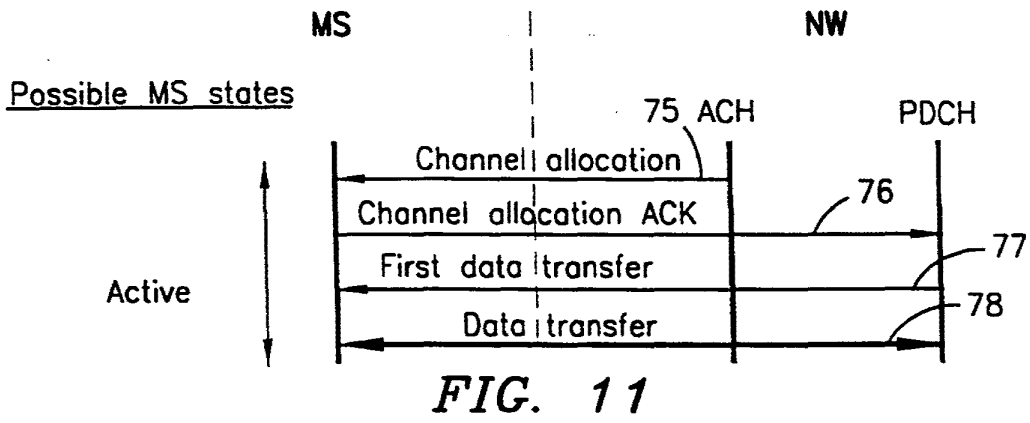
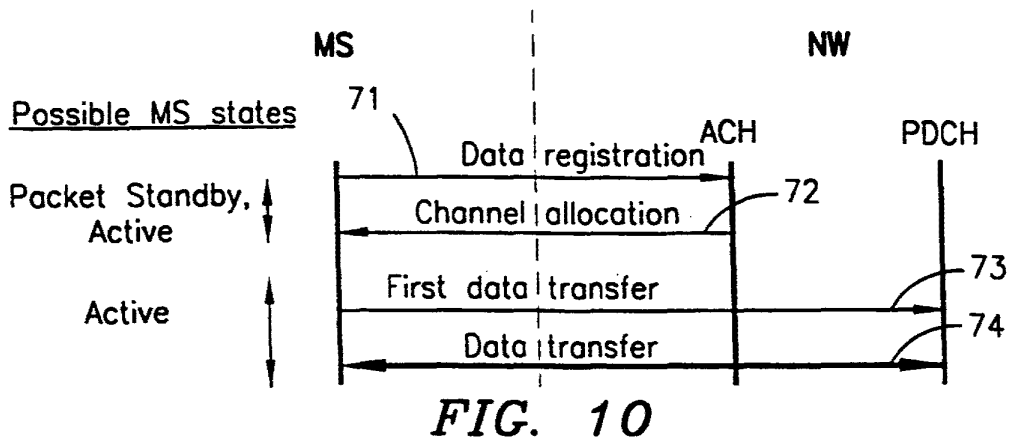


FIG. 9



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/SE 98/01884

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 H04L12/56 H04Q7/22 H04L12/403 H04L12/413

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 6 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 37079 A (QUALCOMM INC) 21 November 1996 see page 1, line 8 - line 13 see page 2, line 19 - page 7, line 15 see page 9, line 8 - page 11, line 28 see page 13, line 29 - page 14, line 22 see page 16, line 30 - page 18, line 25 see page 19, line 11 - line 18 see claim 3	1, 3, 15, 17
Y		2, 4, 10, 12, 16, 18, 24, 26
A	---	5-8, 11, 13, 14, 19-22, 25, 27, 28

Further documents are listed in the continuation of box C.\*

Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

26 February 1999

17/03/1999

Name and mailing address of the ISA  
 European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer  
  
 Vaskimo, K

INTERNATIONAL SEARCH REPORT

International Patent Application No  
PCT/SE 98/01884

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 590 133 A (BILLSTROEM LARS ET AL) 31 December 1996 see column 3, line 53 - column 5, line 8 see column 6, line 66 - column 7, line 28 see column 9, line 1 - column 10, line 6 see column 11, line 7 - column 12, line 55 see column 13, line 20 - column 14, line 44 see column 15, line 2 - column 20, line 55	1, 3, 15, 17
Y		2, 4, 10, 12, 16, 18, 24, 26
A		5-8, 11, 13, 14, 19-22, 25, 27, 28
Y	US 5 012 469 A (SARDANA KARAMVIR) 30 April 1991 see column 1, line 6 - column 6, line 49	1-3, 15-17
A		5, 6, 8-10, 13, 14, 19, 20, 22-24, 27, 28
Y	MICHEL MOULY, MARIE-BERNADETTE PAUTET: "GSM System for Mobile Communications" 2 September 1993, EUROPE MEDIA, LASSAY-LES-CHATEAUX, FR XP002063457 200240 see page 367, paragraph 6.3.1. - page 373, paragraph 6.3.1.2. see page 424, paragraph 6.3.10. - page 428, paragraph 6.3.10.4	1-3, 15-17
Y	WO 97 38502 A (ERICSSON GE MOBILE INC ;HASSAN AMER (US); RAMESH RAJARAM (US); REI) 16 October 1997 see abstract see page 1, line 15 - page 6, line 15 see page 8, line 2 - page 15, line 22 see page 16, line 27 - page 23, line 4	10, 12, 24, 26
A		5-9, 14, 19-23, 28
Y	EP 0 744 849 A (NIPPON ELECTRIC CO) 27 November 1996 see column 1, line 3 - column 3, line 7	4, 18
A		1, 6, 10, 15, 20, 24
	---	
	-/--	

1

INTERNATIONAL SEARCH REPORT

International Application No

PCT/SE 98/01884

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>US 5 276 703 A (BUDIN DAN ET AL) 4 January 1994</p> <p>see column 1, line 6 - line 61 see column 4, line 23 - line 45 see column 5, line 59 - column 6, line 15 see column 8, line 21 - line 31 ---</p>	<p>1,5,6, 10,14, 15,19, 20,24,28</p>
A	<p>US 4 534 061 A (ULUG MEHMET E) 6 August 1985</p> <p>see column 1, line 6 - column 3, line 61 see column 5, line 32 - column 6, line 7 see column 7, line 66 - column 9, line 65 see column 11, line 13 - column 12, line 6 -----</p>	<p>6,9,20, 23</p>



# INTERNATIONAL SEARCH REPORT

Information on patent family members

Inventor's name	Application No
	PCT/SE 98/01884

Patent document cited in search report	A	Publication date	Patent family member(s)	Publication date
WO 9637079	A	21-11-1996	US 5673259 A AU 701485 B AU 5859596 A BR 9608353 A CA 2221213 A EP 0827674 A FI 974215 A	30-09-1997 28-01-1999 29-11-1996 28-07-1998 21-11-1996 11-03-1998 16-01-1998
US 5590133	A	31-12-1996	AU 675898 B AU 1251595 A CA 2153871 A CN 1117335 A EP 0683963 A FI 953775 A JP 8506713 T WO 9516330 A SG 43755 A	20-02-1997 27-06-1995 15-06-1995 21-02-1996 29-11-1995 09-08-1995 16-07-1996 15-06-1995 14-11-1997
US 5012469	A	30-04-1991	NONE	
WO 9738502	A	16-10-1997	US 5796726 A AU 2439397 A CA 2247356 A EP 0893012 A	18-08-1998 29-10-1997 16-10-1997 27-01-1999
EP 0744849	A	27-11-1996	JP 2746183 B JP 8274788 A AU 700228 B AU 5048896 A CN 1144443 A US 5740167 A	28-04-1998 18-10-1996 24-12-1998 17-10-1996 05-03-1997 14-04-1998
US 5276703	A	04-01-1994	AU 3441493 A WO 9314580 A	03-08-1993 22-07-1993
US 4534061	A	06-08-1985	CA 1218114 A DK 419484 A EP 0136517 A JP 60094550 A	17-02-1987 07-03-1985 10-04-1985 27-05-1985

**THIS PAGE BLANK (USPTO)**

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

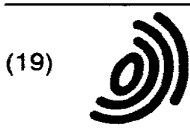
Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**



Europäisches Patentamt  
 European Patent Office  
 Office européen des brevets



(11) EP 1 119 137 A1

(12) EUROPEAN PATENT APPLICATION

(43) Date of publication: 25.07.2001 Bulletin 2001/30  
 (51) Int Cl.7: H04L 12/28, H04L 12/56  
 (21) Application number: 00300397.7  
 (22) Date of filing: 20.01.2000

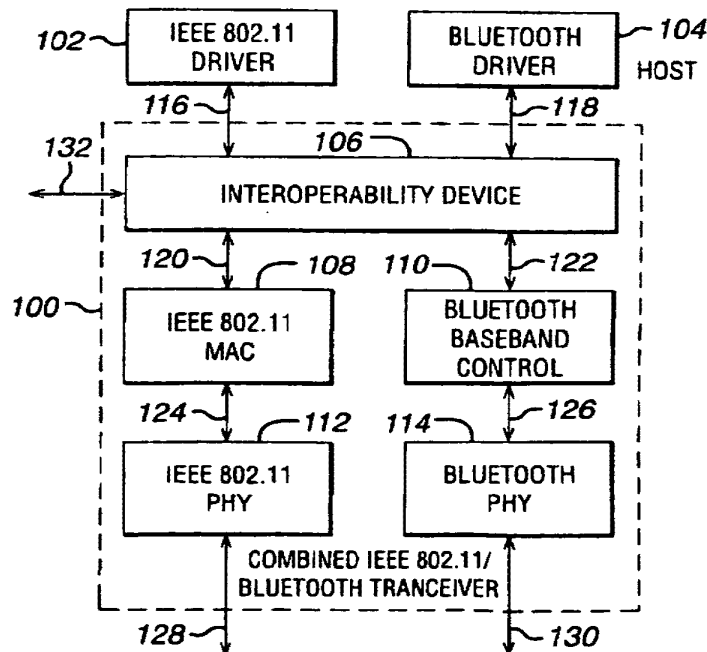
<p>(84) Designated Contracting States:  <b>AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE</b>          Designated Extension States:  <b>AL LT LV MK RO SI</b></p> <p>(71) Applicant: <b>LUCENT TECHNOLOGIES INC.</b>  <b>Murray Hill, New Jersey 07974-0636 (US)</b></p>	<p>(72) Inventors:          • <b>Awater, Geert Arnout Utrecht (NL)</b>          • <b>Yan, Ran-Hong Farington, Oxon SN7 7SS (GB)</b></p> <p>(74) Representative: <b>Williams, David John et al Page White &amp; Farrer, 54 Doughty Street London WC1N 2LS (GB)</b></p>
--	---

(54) Interoperability for bluetooth/IEEE 802.11

(57) The key of the invention is to introduce an interoperability device in a communication system which integrates an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addition,

the device preferably prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). Optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design.

FIG. 1



EP 1 119 137 A1

BEST AVAILABLE COPY

## Description

[0001] The present invention relates to both Bluetooth and IEEE 802.11 radio communication systems.

[0002] IEEE 802.11 is a standard for wireless systems that operate in the 2.4 - 2.5 GHz ISM (industrial, scientific and medical) band. This ISM band is available world-wide and allows unlicensed operation for spread spectrum systems. For both the US and Europe, the 2,400 - 2,483.5 MHz band has been allocated, while for some other countries, such as Japan, another part of the 2.4 - 2.5 GHz ISM band has been assigned. The 802.11 standard focuses on the MAC (medium access control) protocol and PHY (physical layer) protocol for access point (AP) based networks and ad-hoc networks.

[0003] In access point based networks, the stations within a group or cell can communicate only directly to the access point. This access point forwards messages to the destination station within the same cell or through a wired distribution system to another access point, from which such messages arrive finally at the destination station. In ad-hoc networks, the stations operate on a peer-to-peer level and there is no access point or (wired) distribution system.

[0004] The 802.11 standard supports: DSSS (direct sequence spread spectrum) with differential encoded BPSK and QPSK; FHSS (frequency hopping spread spectrum) with GFSK (Gaussian FSK); and infrared with PPM (pulse position modulation). These three physical layer protocols (DSSS, FHSS and infrared) all provide bit rates of 2 and 1 Mbit/s. The 802.11 standard further includes extensions 11a and 11b. Extension 11b is for a high rate CCK (Complementary Code Keying) physical layer protocol, providing bit rates 11 and 5.5 Mbit/s as well as the basic DSSS bit rates of 2 and 1 Mbit/s within the same 2.4 - 2.5 GHz ISM band. Extension 11a is for a high bit rate OFDM (Orthogonal Frequency Division Multiplexing) physical layer protocol standard providing bit rates in the range of 6 to 54 Mbit/s in the 5 GHz band. The 802.11 basic medium access behaviour allows interoperability between compatible physical layer protocols through the use of the CSMA/CA (carrier sense multiple access with a collision avoidance) protocol and a random back-off time following a busy medium condition. In addition all directed traffic uses immediate positive acknowledgement (ACK frame), where a retransmission is scheduled by the sender if no positive acknowledgement is received. The 802.11 CSMA/CA protocol is designed to reduce the collision probability between multiple stations accessing the medium at the point in time where collisions are most likely occur. The highest probability of a collision occurs just after the medium becomes free, following a busy medium. This is because multiple stations would have been waiting for the medium to become available again. Therefore, a random back-off arrangement is used to resolve medium contention conflicts. In addition, the 802.11 MAC defines: special functional behaviour for fragmentation of packets; medium reservation via RTS/CTS (request-to-send/clear-to-send) polling interaction; and point co-ordination (for time-bounded services).

[0005] The IEEE 802.11 MAC also defines Beacon frames, sent at a regular interval by an AP to allow STAs to monitor the presence of the AP. IEEE 802.11 also defines a set of management frames including Probe Request frames which are sent by an STA, and are followed by Probe Response frames sent by the AP. Probe Request frames allow a STA to actively scan whether there is an AP operating on a certain channel frequency, and for the AP to show to the STA what parameter settings this AP is using.

[0006] Bluetooth technology allows for the replacement of the many proprietary cables that connect one device to another with one universal short-range radio link. For instance, Bluetooth radio technology built into both a cellular telephone and a laptop would replace the cumbersome cable used today to connect a laptop to a cellular telephone. Printers, personal digital assistant's (PDA's), desktops, computers, fax machines, keyboards, joysticks and virtually any other digital device can be part of the Bluetooth system. But beyond un-tethering devices by replacing the cables, Bluetooth radio technology provides a universal bridge to existing data networks, a peripheral interface, and a mechanism to form small private ad-hoc groupings of connected devices away from fixed network infrastructures.

[0007] Designed to operate in a noisy radio frequency environment, the Bluetooth radio system uses a fast acknowledgement and frequency hopping scheme to make the link robust. Bluetooth radio modules avoid interference from other signals by hopping to a new frequency after transmitting or receiving a packet. Compared with other systems operating in the same frequency band, the Bluetooth radio system typically hops faster and uses shorter packets. This makes the Bluetooth radio system more robust than other systems. Short packets and fast hopping also limit the impact of domestic and professional microwave ovens. Use of Forward Error Correction (FEC) limits the impact of random noise on long-distance links. The encoding is optimised for an uncoordinated environment. Bluetooth radios operate in the unlicensed ISM band at 2.4 GHz. A frequency hop transceiver is applied to combat interference and fading. A shaped, binary FM modulation is applied to minimise transceiver complexity. The gross data rate is 1Mb/s.

[0008] A Time-Division Duplex scheme is used for full-duplex transmission. The Bluetooth baseband protocol is a combination of circuit and packet switching. Slots can be reserved for synchronous packets. Each packet is transmitted in a different hop frequency. A packet nominally covers a single slot, but can be extended to cover up to five slots. Bluetooth can support an asynchronous data channel, up to three simultaneous synchronous voice channels, or a channel which simultaneously supports asynchronous data and synchronous voice. Each voice channel supports 64 kb/s synchronous (voice) link. The asynchronous channel can support an asymmetric link of maximally 721 kb/s in

either direction while permitting 57.6 kb/s in the return direction, or a 432.6 kb/s symmetric link.

**[0009]** The IEEE 802.11 standard is well-established and local area networks are already implemented based on the standard, typically in office environments. As Bluetooth comes into the market, it is likely to be implemented in a domestic environment for communications within the home, for example. Thus someone with a lap-top computer may wish to connect to a IEEE 802.11 wireless local area network in the workplace, and connect to a device, such as a mobile telephone, using a Bluetooth interface outside of the workplace.

**[0010]** It is therefore an object of the present invention to provide a means for enabling such a single device to interface via both an IEEE 802.11 radio system and a Bluetooth radio system.

**[0011]** According to one aspect of the present invention there is provided a device incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio systems such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.

**[0012]** The device may be additionally controlled such that when one device is transmitting the other device cannot receive or transmit. The device may be additionally controlled such that when one device is receiving the other device cannot receive or transmit.

**[0013]** The control means may comprise a switching means, the switching means being adapted to switch on and off the first and second radio systems.

**[0014]** The control means may comprise a multiplexing means adapted to time multiplex transmissions from the first and second radio systems.

**[0015]** The control means may comprise a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

**[0016]** The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.

**[0017]** The control means may prevent transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission. The control means may prevent transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.

**[0018]** The first and second radio systems may share a common physical layer.

**[0019]** According to another aspect of the present invention there is provided a method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time. The first radio system may be a Bluetooth system and the second radio system may be an IEEE 802.11 system.

**[0020]** The method may further comprise controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.

**[0021]** The method may further comprise controlling the radio systems such that one device is receiving the other device cannot receive or transmit.

**[0022]** The radio systems may be controlled by switching on and off the first and second radio systems.

**[0023]** The radio systems may be controlled by time multiplexing transmissions from the first and second radio systems.

**[0024]** The method may comprise time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.

**[0025]** The Bluetooth transmissions may be through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four. The Bluetooth transmissions may be through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six. The Bluetooth transmissions may be through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.

**[0026]** The method may further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission. The method may further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.

**[0027]** The first and second radio systems may share a common physical layer.

**[0028]** Therefore if both an IEEE 802.11 radio transceiver and a Bluetooth radio transceiver reside in a single device (for instance in a laptop computer) they can transmit and receive in the same radio frequency simultaneously, even though both communication standards make use of the same 85 MHz wide ISM band, at around 2.4 GHz. This is

achieved by a Bluetooth device in a computer being prevented from transmitting data whilst an 802.11 device is attempting to receive data and vice versa.

**[0029]** Even if the RF frequency that the receiving device is tuned to is different, but still in the same band that the transmitting device is using, the emitted power will jam the receiver, rendering it unable to receive the intended signal.

**[0030]** The invention solves this problem by introducing an interoperability device, that is connected both to the medium access controller of the IEEE 802.11 device and to the baseband controller of the Bluetooth device.

**[0031]** The invention also proposes an alternative solution, called dual mode operation, where the IEEE 802.11 devices operate in a different radio frequency band than the Bluetooth system.

**[0032]** The key of the invention to introduce an interoperability device in a communication system which integrates an IEEE 802.11 transceiver and a Bluetooth transceiver. The device prevents that one transceiver is transmitting while the other is receiving, which would cause interference at the receiving transceiver. In addition, the device prevents that both systems are transmitting at the same time to avoid interference at the receiving device(s). optionally the device prohibits simultaneous reception of both transceivers. In that way the radio receiver can be shared between the devices, allowing a cheaper and smaller hardware design. The invention also covers a dual band mode in which the IEEE 802.11 device and the Bluetooth device work in a different frequency band, and allows completely parallel operation of the two devices.

**[0033]** The invention will now be described by way of example with reference to the accompanying Figures, in which:

Figure 1 illustrates a high-level architecture for implementing the present invention;

Figure 2 illustrates the architecture of Figure 1 adapted to utilise radio re-use in accordance with a preferred embodiment of the invention;

Figure 3 illustrates a Bluetooth HV-*i* packet;

Figure 4 illustrates the time-slot allocation for transmission of three different HV-*i* schemes;

Figure 5 illustrates a forward and reverse packet structure for IEEE 802.11; and

Figure 6 illustrates a possible single chip implementation of the present invention.

**[0034]** The invention serves to solve a fundamental problem associated with providing both a Bluetooth radio system and an IEEE 802.11 radio system in a single device. The fundamental problem that has been identified is that if either one of the radio systems is transmitting, there is need to prevent the other radio system from receiving or else the receiving system will be drowned out by the transmitting system. As will be further discussed hereinbelow, further problems associated with the dual operation of a IEEE 802.11 and Bluetooth radio system are overcome by preferred embodiments of the present invention as discussed hereinbelow.

**[0035]** Referring to Figure 1, there is illustrated a high-level architecture of the combination of an IEEE 802.11 radio system transceiver and a Bluetooth radio system transceiver in a single system, in conjunction with an interoperability device in accordance with the present invention. It will be understood by one skilled in the art that only those elements necessary for the implementation of the present invention are shown in Figure 1.

**[0036]** The dual mode transceiver of Figure 1 comprises: an IEEE 802.11 physical layer functional element 112; an IEEE 802.11 MAC layer functional element 108; a Bluetooth physical layer functional element 114; a Bluetooth baseband control functional element 110; and an interoperability device 106, all of which comprise a combined IEEE 802.11 /Bluetooth transceiver generally designated by reference numeral 100. In addition an IEEE 802.11 driver 102 and a Bluetooth driver 104 are shown in Figure 1.

**[0037]** The IEEE 802.11 driver 102 receives IEEE 802.11 packets from the dual mode transceiver 100 on lines 116, and transmits IEEE 802.11 packets to the dual mode transceiver 100 on lines 116. The Bluetooth driver 104 receives Bluetooth packets from the dual mode transceiver 100 on lines 118, and transmits Bluetooth packets to the dual mode transceiver on lines 118. The operation of the respective drivers 102 and 104 is exactly the same as their operation would be if the device were provided with a single IEEE 802.11 or Bluetooth transceiver respectively. However their function may be extended in the sense that they pass on switching signal from application(s) to the interoperability device 106.

**[0038]** The IEEE 802.11 MAC functional element 108 and the IEEE 802.11 physical functional element 112 form the IEEE 802.11 transceiver of the dual mode transceiver. The IEEE 802.11 MAC functional element 108 operates in accordance with the IEEE standard arrangement to control access to the IEEE 802.11 transmission medium by the device to which it is connected. The IEEE 802.11 MAC functional element 108 receives and transmits IEEE 802.11 packets to and from the interoperability device 106 via lines 120, and transmits and receives IEEE 802.11 packets to



and from the IEEE 802.11 physical layer functional element 112 via lines 124. The IEEE 802.11 physical layer functional element 112 operates in accordance with the IEEE standard arrangement to perform modulation etc. of the IEEE 802.11 packets and transmit/receive the packets via lines 128, which interface the element to the device antenna.

**[0039]** The Bluetooth baseband control functional element 110 and the Bluetooth physical layer functional element 114 form the Bluetooth transceiver of the dual mode transceiver. The Bluetooth baseband control functional element 110 operates in accordance with the Bluetooth standard arrangement to control access to the transmission medium by the device to which it is connected. The Bluetooth baseband control functional element 110 receives and transmits Bluetooth packets to and from the interoperability device 106 via lines 122, and transmits and receives Bluetooth packets to and from the Bluetooth physical layer functional element 114 via lines 126. The IEEE 802.11 physical layer functional element 114 operates in accordance with the Bluetooth standard arrangement to perform modulation etc. of the Bluetooth packets and transmit/receive the packets via lines 130, which interface the element to the device antenna.

**[0040]** The control of IEEE 802.11 packets and Bluetooth packets from the respective drivers 102 and 104 to the respective transceiver elements 108/112 and 110/114 is controlled in accordance with the invention by the interoperability device 106. As shown in Figure 1, the interoperability device is additionally connected to control circuitry within the device via control signal lines 132.

**[0041]** The dual mode transceiver 100 operates in accordance with the invention in one of two modes. A first mode is a switching mode and a second mode is a multiplexing mode, both of which modes are discussed in further detail herein below.

**[0042]** In the switching mode of operation, the interoperability device 106 deactivates the Bluetooth transceiver (110/114) whenever the IEEE 802.11 transceiver (108/112) is activated, and vice versa. The interoperability device 106 is adapted to make the decision as to which mode of operation to switch to or activate. There are several alternative criteria on which the interoperability device may make this decision.

**[0043]** In a first alternative, the user of the device may decide which mode to switch to. For instance when the user is at home and wants to connect to the Internet through a telephone, the user may decide to switch to Bluetooth mode and dial up to an Internet Service Provider (ISP). When the user is in the office, where an IEEE 802.11 wireless LAN is present, the IEEE 802.11 mode may be selected by the user, to enable the user to log on to the network. This mode requires the user to know which is the appropriate interface to use for the chosen application. The user command will most likely be provided through an interface, such as a screen and keypad, on the device itself, and notified to the interoperability device 106 via a command signal from a central processor or controller in the device. In addition mixed environments, where both Bluetooth and IEEE 802.11 exist, may be present for example in an office environment.

**[0044]** In an alternative, the notification of the mode of operation may be provided to the transceivers via control from the CPU through regular drivers, or through a dedicated interoperability device driver.

**[0045]** In a second alternative, application software may control which mode the device switches to. For instance when the user chooses to synchronise a Personal Digital Assistant (PDA), the data-synchronisation application in the PC may tell the interoperability device to switch to Bluetooth mode. When the user chooses to surf the World Wide Web (WWW), the browser application (or the network driver software supporting it) may tell the interoperability device to switch to IEEE 802.11 mode. Again, the interoperability device 106 may be instructed via a command signal from a central processor or controller.

**[0046]** In a third alternative, a protocol sniffer may determine whether it detects the presence of an IEEE 802.11 device or a Bluetooth device on the air interface, and set the mode of the interoperability device accordingly. When the protocol sniffer detects both Bluetooth and IEEE 802.11 devices, it may choose a mode that the user has indicated as preferential, or it may consult the user as in the first alternative. Alternatively, the protocol sniffer may let the application decide as in the second alternative.

**[0047]** Thus in the switching mode the interoperability device operates merely to deactivate, or switch off, one of the two transceivers within the dual mode transceiver. This operation is transparent to the functional elements of the respective transceivers, and also to the other processing functionality in the device itself. When the interoperability device is switched to "IEEE 802.11" mode the transceiver 100 behaves as an IEEE 802.11 transceiver. When the interoperability device is switched to "Bluetooth" mode the transceiver 100 behaves as an Bluetooth transceiver.

**[0048]** In the switching mode, turning off one transceiver when the other is transmitting means that the one transceiver cannot receive or transmit when the other is transmitting. Thus when employing the switching mode only one radio system needs to be operating at a given time, which means that the radio hardware can be reused.

**[0049]** Figure 2 illustrates the dual mode transceiver of Figure 1 re-configured to utilise radio re-use. As can be seen from Figure 2, the functionality of the IEEE 802.11 physical layer functional element 112 and the Bluetooth physical layer functional element 114 are combined into a single functional element referred to as the IEEE 802.11/Bluetooth dual physical layer functional element, and denoted by reference numeral 200. The dual functional element 200 transmits and receives IEEE 802.11 and Bluetooth packets on signal lines 204 to the device antenna.

**[0050]** The IEEE 802.11/Bluetooth dual physical layer functional element is controlled by the interoperability device

via signal lines 202 to operate as the physical layer functional element for either IEEE 802.11 or Bluetooth in accordance with the current mode of operation selected.

**[0051]** In the multiplexing mode of operation the IEEE 802.11 transmitter is switched off when the Bluetooth transmitter is receiving data and the Bluetooth transmitter is switched off when the IEEE 802.11 device is receiving data. In this way one radio system is never transmitting when the other is receiving, and vice versa. The interoperability device 106 observes the rules of the medium access control protocols, and while the transmission and reception of the IEEE 802.11 and Bluetooth radio systems are time multiplexed, it will appear to the user that the two systems operate in parallel. There will, however, be some performance impact (reduced data throughput, increased data error rate, reduced voice quality).

**[0052]** Furthermore, the interoperability device 106 additionally preferably does not allow the IEEE 802.11 and Bluetooth radio systems to transmit at the same time. Thus interference of one signal with the other at an external (remote) receiver is prevented.

**[0053]** In a preferred implementation of the multiplexing mode, if an IEEE 802.11 packet must be transmitted, all Bluetooth data connections are placed in the so-called PARK mode. The interoperability device 106 will issue one HLC\_Park\_Mode primitive per active ACL (Asynchronous Connectionless data) connection to the Bluetooth transceiver, to put all ACL connections in PARK mode. The PARK mode of the Bluetooth radio system will be familiar to one skilled in the art. In this way, the Bluetooth radio system is deactivated whilst an IEEE 802.11 transmission takes place.

**[0054]** Although the example implementation is presented herein with reference to a discussion of the Bluetooth PARK mode, it will be appreciated by one skilled in the art that the Bluetooth HOLD mode may alternatively be utilised.

**[0055]** If there are active Bluetooth SCO (Synchronous, connection-oriented voice) connections, which transmit and receive periodically in a 0.625 ms Bluetooth slot, then the IEEE 802.11 transceiver must schedule its packet transmissions in-between the Bluetooth packets. The Bluetooth SCO connections are real-time (voice) connections. The interoperability device 106 must take the full IEEE packet exchange period into account, which includes an acknowledgement packet (ACK) and (when the RTS/CTS transmission mode is used) an RTS and CTS packet.

**[0056]** Further hereinbelow a detailed implementation for scheduling IEEE 802.11 packets in an active SCO connection is given. A 'slot-stealing' scheme is explained and a calculation of data throughput that can be achieved given.

**[0057]** The IEEE 802.11 packets may need to be as short as a single slot when such a slot-stealing scheme is implemented, and this implies that the interoperability device 106 has to implement a packet fragmentation and reassembly scheme, so that it can divide IEEE 802.11 packets in chunks that can be accommodated in the number of Bluetooth slots that are available. The IEEE 802.11's own fragmentation mechanisms cannot be used, since these mechanisms assume that all fragments are sent consecutively. In the detailed implementation described hereinbelow, a suitable fragmentation scheme is discussed.

**[0058]** In the following, an example is given for introducing the IEEE 802.11 functionality into a Bluetooth radio system, to enable both radio systems to function together in the same device. The following example is not limiting of the present invention, and the person skilled in the art will recognise that other possibilities exist for the implementation of such an architecture. However, as the Bluetooth specification is dominant the following is a preferred implementation.

**[0059]** The standard Bluetooth radio system uses Frequency Shift Keying (FSK) modulation, sending one bit of information per symbol time of 1  $\mu$ s. Thus the raw bit-rate is 1 Mbit/s. A packet consists of a preamble, containing a channel access code and a payload. The payload, in turn, is divided into a header (containing packet type, destination address and some other information fields) and a user payload field.

**[0060]** On the synchronous connection orientated (SCO) links, voice packets are used. The voice packets are typically of the high-quality voice (HV) types HV1, HV2 or HV3. All of these packet types have a 30-byte payload. The most robust packet, HV1, uses rate 1/3 Forward Error Correction (FEC). Packet type HV2 uses rate 2/3 FEC, and type HV3 does not use FEC at all. The number of user bytes is 10, 20 and 30 bytes respectively for HV1, HV2 and HV3. The packet layout of an Hv-*i* (where *i*=1,2,3) packet is shown in Figure 3. The total duration of a Hv-*i* voice packet is 330  $\mu$ s. Referring to Figure 3, it can be seen that the Hv-*i* packet 300 comprises a 72 bit preamble 302, an 18 bit header 304, and a 240 bit (or 30 byte) payload 306.

**[0061]** In addition to the Hv-*i* type packets, there also exists for Bluetooth a data and voice (DV) type packet. The DV type packet offers the same performance as HV3 (i.e. with no FEC), and carries a variable amount of data as well as voice in the same packet. However, a DV packet carries only 10 user bytes, i.e. a third of HV3's user bytes. The duration of the DV packet is 238 to 356  $\mu$ s, depending on the amount of data carried.

**[0062]** Bluetooth packets are sent in time slots, which each have a duration of 625  $\mu$ s. However packets must be less than 625  $\mu$ s to allow the radio system sufficient time to hop to another frequency between time slots. Examples of channel operation for HV1, HV2 and HV3 connection are shown in Figure 4, and described further hereinbelow.

**[0063]** Figures 4(a) to 4(c) illustrate timing diagrams for a single Bluetooth voice connection, based on HV1 (Figure 4(a)), HV2 (Figure 4(b)), or HV3 (Figure 4(c)) packets. The shaded packets are in the forward direction (from Bluetooth master device to Bluetooth slave device), and the clear packets are in the reverse direction (from Bluetooth slave device to Bluetooth master device). Eight time slots TS1 to TS8 are shown. As can be seen forward packets are sent

in odd-numbered time-slots and reverse packets are sent in even-numbered time-slots. The frequency hops, in accordance with the Bluetooth standard, on every time slot, such that the frequencies  $f_1$  to  $f_8$  are hopped-to in times slots TS1 to TS8 respectively.

5 **[0064]** All voice connection rates are specified to be 64 kbit/s. To achieve this rate a HV1 packet must be sent every other slot, since in every HV1 packet  $(1/3) \times 30 \times 8 = 80$  bits of user data are sent.  $(1/3)$  is the FEC used in HV1, and  $30 \times 8$  is the number of bits in a 30 byte payload. One packet is sent every  $2 \times 0.625$  ms time-slots, which is equal to 1.25 milliseconds, 0.625 ms being the length of each slot. The user bit rate is thus  $80/1.25$  bits/ms = 64 kbit/s. Since a voice link is full duplex, the other remaining alternate empty slots are required for the reverse link. This allocation of forward and reverse packets to time-slots is shown in Figure 4(a).

10 **[0065]** HV2 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every four slots, as shown in Figure 4(b).

**[0066]** HV3 packets carry twice the number of user bits as HV1 packets and hence only one forward and one reverse packet is required for every six slots, as shown in Figure 4(c). Thus even if there were two HV3 links active, there would still be required only four time-slots in every six time-slots, leaving two time-slots in every six free.

15 **[0067]** As a DV packet, similar to a HV1 packet, carries only 10 user bytes, a DV packet must similarly be transmitted every other slot to achieve a rate of 64 kbit/s.

**[0068]** Hence in combination with a single HV1 or DV voice link, no IEEE 802.11 data traffic can be transmitted or received without reducing the voice quality of the transmission.

20 **[0069]** With a single HV2 link, or HV3 links, two slots are available for IEEE 802.11 traffic. With a single HV3 link, 4 slots are available for IEEE 802.11 traffic.

**[0070]** Working within these parameters set by the Bluetooth transmission system, it is necessary to determine what IEEE 802.11 user bit rate is possible, given the available time slots. As discussed further hereinbelow, this depends to a certain extent on the overhead of the IEEE802.11 packet.

25 **[0071]** IEEE 802.11 packets have either a short or a long preamble, of 96 or 192  $\mu$ s respectively. The IEEE 802.11 packet payload is transmitted at a rate of one byte in every symbol time with a duration of  $8/11$ -th  $\mu$ s. This gives a bit rate of 11 Mbit/s. The payload contains a 24 byte header and a 32 bit (4 byte) CRC field, which takes  $28 \times (8/11) = 20.3$   $\mu$ s to send in total. A SIFS (Short Interframe Space) time of 10  $\mu$ s after correct reception of a packet, the recipient transmits an acknowledgement packet, which consists of a header of 96 or 192  $\mu$ s. The payload contains MAC protocol control information of 14 bytes that take  $14 \times 8/11 = 10.2$   $\mu$ s to transmit. Figure 5 depicts an IEEE 802.11 packet transmission.

30 **[0072]** As shown in Figure 6, an IEEE 802.11 forward data packet 500 consists of a preamble 504, a MAC header 506 and a data field 508. If received correctly, the receiver, responds with an acknowledgement packet 502 after a SIFS period. The latter packet consists of a preamble 510 and an acknowledgement field 512 comprising MAC information.

35 **[0073]** There are thus 4 scenarios to consider: there are two possible IEEE preamble lengths (96 and 192  $\mu$ s); and there are either two or four Bluetooth "idle" periods (two and four slots).

**[0074]** The scenario where two Bluetooth slots are available for transmission for IEEE transmissions having a long preamble is considered.

40 **[0075]** The overhead due to preambles, SIFS, and MAC overhead amounts to  $[2 \times 192] + 10 + [(28+14) \times (8/11)] = 424.5$   $\mu$ s. Of the two idle slots, it is permissible only to use  $625 + 366 = 991$   $\mu$ s according to the Bluetooth specification. This is to leave  $625 - 366 = 259$   $\mu$ s to allow the radio system to hop to the frequency of the next slot. Subtract 424.5 from 991, to get 566.5, which is the time left for actual data transmission at 11 Mbit/s. In this time  $566.5 / (8/11) = 779$  IEEE 802.11 bytes can be transmitted. This data can be transmitted every 4 slots. Hence the effective bit rate is equal to  $(8 \times 779)/(4 \times 625) = 2.5$  Mbit/s.

45 **[0076]** The scenario where four Bluetooth slots are available for transmission for IEEE transmissions having a long preamble is now considered.

**[0077]** If four Bluetooth slots are available, then the time for payload transmission is equal to payload time  $625 \times 3 + 366 - 424.5 = 1817$ . This Equates to  $1817 / (8/11) = 2498$  IEEE 802.11 CCK bytes. The equivalent bit rate is now  $(8 \times 2498)/(6 \times 625) = 5.33$  Mbit/s

50 **[0078]** If the calculations are repeated for short IEEE 802.11 preambles, the bit rates are 3.33 Mbit/s for an HV2 connection or for two HV3 connections. For a single HV3 connection the bit rate is 5.89 Mbit/s. The results are summarised in Table 1.

Table 1

IEEE 802.11 throughput	Two Slots	Four Slots
Short preamble	3.33 Mbit/s	5.89 Mbit/s

55

Table 1 (continued)

IEEE 802.11 throughput	Two Slots	Four Slots
long preamble	2.49 Mbit/s	5.33 Mbit/s

5

**[0079]** Table 1 shows IEEE 802.11 user throughputs if IEEE 802.11 packets are transmitted in slots that are left idle by Bluetooth. If there is one HV2 connection or two HV3 connections, there are 2 idle slots to transmit. If there is one HV3 connection, there are 4 idle slots to transmit. If there is on HV1 or DV1 connection there are no idle slots. If there is no SCO connection at all, then all slots are available for transmission, and the theoretical IEEE 802.11 maximum of 11 Mbit/s can be achieved.

10

**[0080]** If a Bluetooth ACL packet must be transmitted, the interoperability device 106 simply holds back IEEE 802.11 packets. As the ACL packets are none real time data packets, they can be held back. When a Bluetooth ACL packet is to be transmitted, an IEEE 802.11 packet transmission will not be in progress, as the ACL connection would be in PARK mode if an IEEE transmission was in progress, as discussed hereinabove.

15

**[0081]** In an alternative formulation, if a Bluetooth ACL packet transmission or reception is in progress, the IEEE 802.11 transmission is held back until the Bluetooth transmission/reception is completed. Then the Bluetooth ACL connection is put in HOLD or PARK mode, and the IEEE802.11 transmission can be scheduled and organised around SCO transmissions, as described above.

20

**[0082]** Optionally, the interoperability device has a further mode in which it will not allow the IEEE 802.11 devices and Bluetooth device to receive in parallel. By not allowing this, only one radio will be operating at a given time, which implies that the radio hardware can be reused. This again results in an architecture as shown in Figure 2. In this mode Bluetooth SCO slots are always received. If neither the Bluetooth nor the IEEE 802.11 transmitter need to transmit, the common receiver listens to either Bluetooth or IEEE 802.11 packets, according to an algorithm.

25

**[0083]** Such an algorithm may be static; for instance the receiver listens to IEEE 802.11 in odd slots and to Bluetooth packets in even slots. Also given the distribution of traffic between Bluetooth and IEEE802.11, the algorithm could give preference to one over the other.

**[0084]** Finally, the receiver may have a dual synchronisation mode, where it listens to the channel, detects on the fly what type of packet is in the medium (Bluetooth or IEEE 802.11), and reports this to the receiver, which will switch to the appropriate reception mode.

30

**[0085]** Both IEEE 802.11 and Bluetooth Packets may be longer than a single slot. In that case the receiver attempts to receive the packet until completion.

**[0086]** In a typical embodiment of the invention, the MAC controller of the IEEE802.11 device and the baseband controller of the Bluetooth device may be implemented in separate, dedicated processor chips. The interoperability device's functionality may be implemented in an additional chip. Alternatively, the functionality of the interoperability device can be added to the controller chips of either the Bluetooth or the IEEE802.11 device. In a still further alternative, it is possible to integrate the IEEE 802.11 MAC control functions and the Bluetooth control function in a single chip and add the interoperability functionality to the same chip as well. Other arrangements of chips and division of interoperability functionality are also possible.

35

**[0087]** Figure 6 illustrates an example of a "system on a chip" implementation of a combined IEEE 802.11 MAC controller and a Bluetooth Baseband controller. The chip 600 includes a DMA (Direct Memory Access) 610, an interrupt controller (Int. Ctrl) 612, timers 614, RAM (Random Access Memory) 616 all connected to a CPU (central processor unit) 622 via an internal bus 624, which elements are all required for both the IEEE 802.11 and Bluetooth functions. An external bus (Ext. Bus) block 608 is also required for both the IEEE 802.11 and Bluetooth functions, and is connected to the CPU 622 via internal bus 624 and to an external flash memory and/or ROM via lines 626. A USB (Universal Serial Bus) block 606, connected to internal bus 624, is used to interface the Bluetooth transceiver and optionally the IEEE 802.11 transceiver to a host PC via connections 628. The (mini) PCI block 602, connected to the internal bus 624, is used to interface between the host PC (via connections 628) and the IEEE 802.11 transceiver. A PCI based interface between host PC and Bluetooth is not yet defined but is foreseen. The UART block is also connected to the internal bus 624 and to the external connections 628.

45

**[0088]** The CPU micro-controller 622 runs firmware that implements the IEEE 802.11 MAC and Bluetooth baseband functions. A Bluetooth Link Controller block 618 and an IEEE 802.11 MAC support block 620 are connected to the CPU via the internal bus 624, and operate in conjunction with the CPU 622 to implement hardware assist functions for both the Bluetooth and IEEE 802.11 transceivers respectively.

50

**[0089]** The Bluetooth Link Controller 618 is connected to the Bluetooth physical layer functional elements (not shown) via connections 632, and similarly the IEEE 802.11 MAC support block 620 is connected to the IEEE 802.11 physical layer functional elements (not shown) via connections 634

55

## Claims

- 5 1. A device incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, wherein the device further includes a control means adapted to control the first and second radio systems such that only one or the other radio system may transmit at any one time.
- 10 2. The device of claim 1 wherein the first radio system is a Bluetooth system and the second radio system is an IEEE 802.11 system.
3. The device of claim 1 or claim 2 wherein the device is additionally controlled such that when one device is transmitting the other device cannot receive or transmit.
- 15 4. The device of any one of claims 1 to 3 wherein the device is additionally controlled such that one device is receiving the other device cannot receive or transmit.
5. The device of claim 1 or claim 2, wherein the control means comprises a switching means, the switching means being adapted to switch on and off the first and second radio systems.
- 20 6. The device of claim 1 or claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the first and second radio systems.
- 25 7. The device of claim 2, wherein the control means comprises a multiplexing means adapted to time multiplex transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
8. The device of claim 7, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four.
- 30 9. The device of claim 7, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
- 35 10. The device of claim 7, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
11. The device of claim 2 wherein the control means prevents transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.
- 40 12. The device of claim 2 wherein the control means prevents transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
13. The device of any one of claims 1 to 12 in which the first and second radio systems share a common physical layer.
- 45 14. A method of incorporating a first radio system operating at a first range of frequencies of operation and a second radio system operating at a second range of frequencies of operation, wherein at least a part of said first and second range of frequencies overlap, into a single device, wherein the first and second radio systems are controlled such that only one or the other radio system may transmit at any one time.
- 50 15. The method of claim 14 wherein the first radio system is a Bluetooth system and the second radio system is an IEEE 802.11 system.
16. The method of claim 14 or 15 further comprising controlling the radio systems such that when one radio system is transmitting the other device cannot receive or transmit.
- 55 17. The method of any one of claims 14 to 16 further comprising controlling the radio systems such that one device is receiving the other device cannot receive or transmit.
18. The method of claim 14 or 15 wherein the radio systems are controlled by switching on and off the first and second

radio systems.

- 5
19. The device of claim 14 or claim 15 wherein the radio systems are controlled by time multiplexing transmissions from the first and second radio systems.
20. The method of claim 15, comprising time multiplexing transmissions from the Bluetooth and IEEE 802.11 radio systems, the IEEE 802.11 and Bluetooth transmissions being multiplexed into Bluetooth time-slots.
- 10
21. The method of claim 20, wherein the Bluetooth transmissions are through a single HV2 SCO link connection, the IEEE 802.11 transmissions being in two time-slots in every four.
22. The method of claim 20, wherein the Bluetooth transmissions are through a single HV3 SCO link connection, the IEEE 802.11 transmissions being in four time-slots in every six.
- 15
23. The method of claim 20, wherein the Bluetooth transmissions are through two HV3 SCO link connections, the IEEE 802.11 transmissions being in two time-slots in every six.
24. The method of claim 15 further comprising preventing transmission of IEEE 802.11 packets during a Bluetooth ACL packet transmission.
- 20
25. The method of claim 15 further comprising preventing transmission of Bluetooth ACL packets during an IEEE 802.11 packet transmission.
- 25
26. The method of any one of claims 14 to 25 in which the first and second radio systems share a common physical layer.
- 30
- 35
- 40
- 45
- 50
- 55

FIG. 1

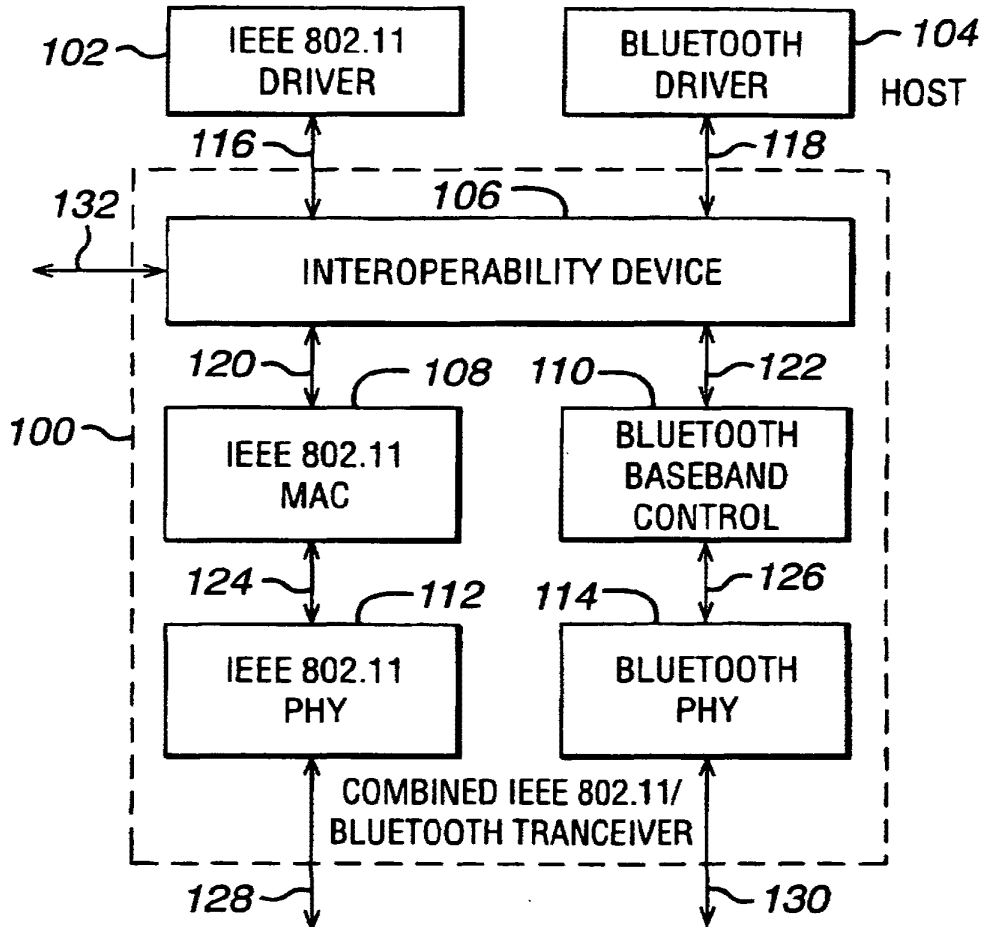


FIG. 3

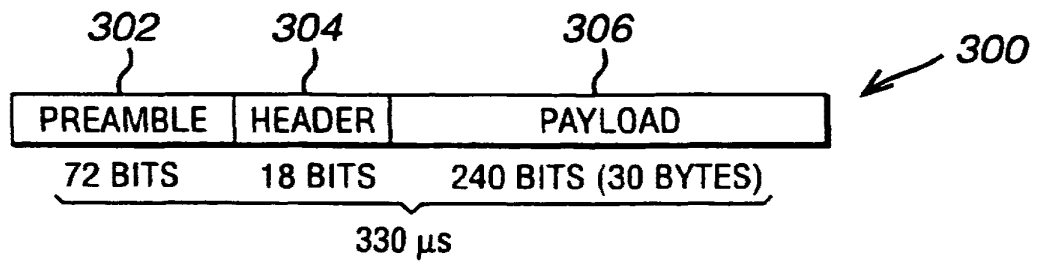


FIG. 2

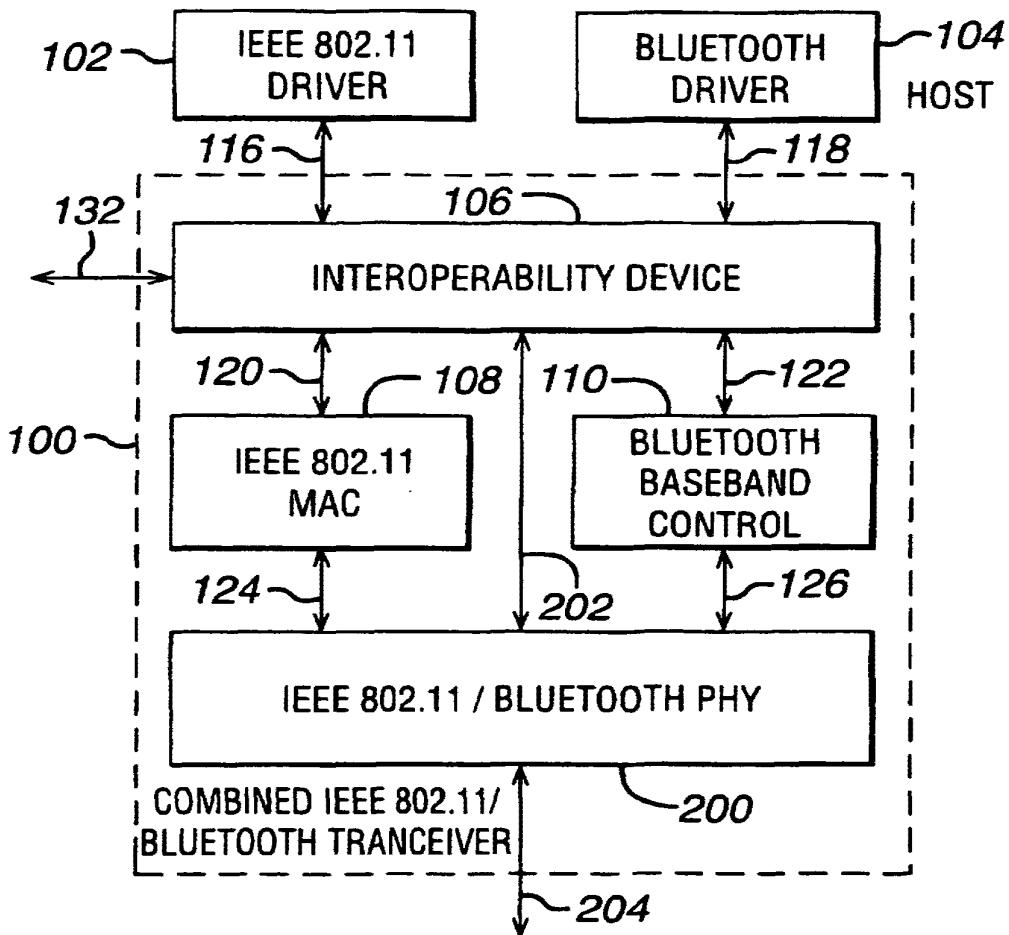


FIG. 5

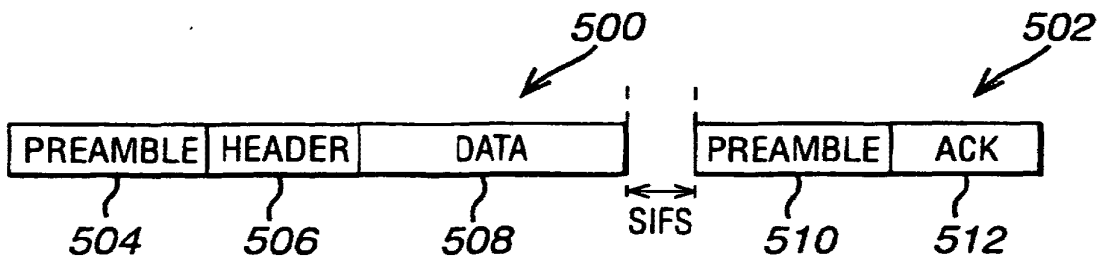




FIG. 4

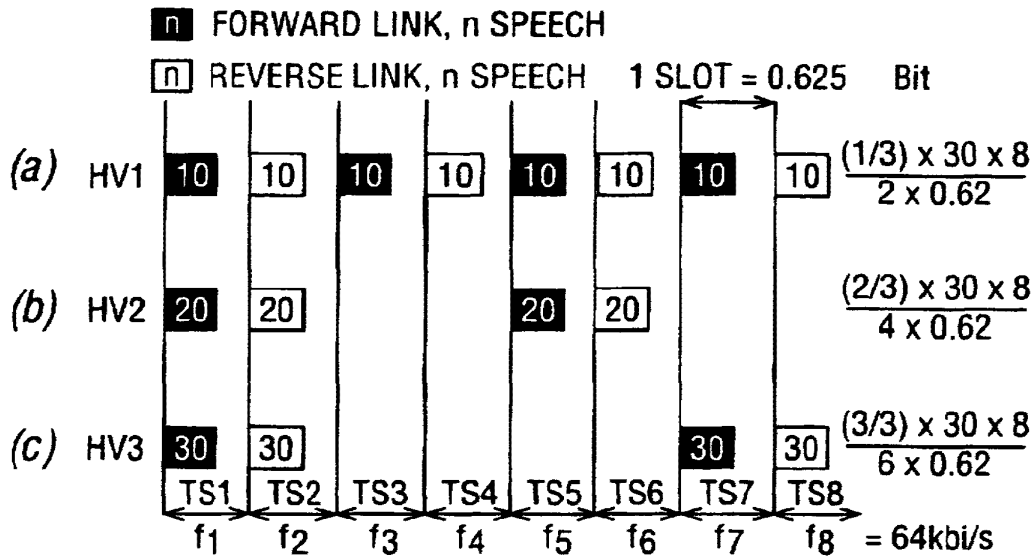
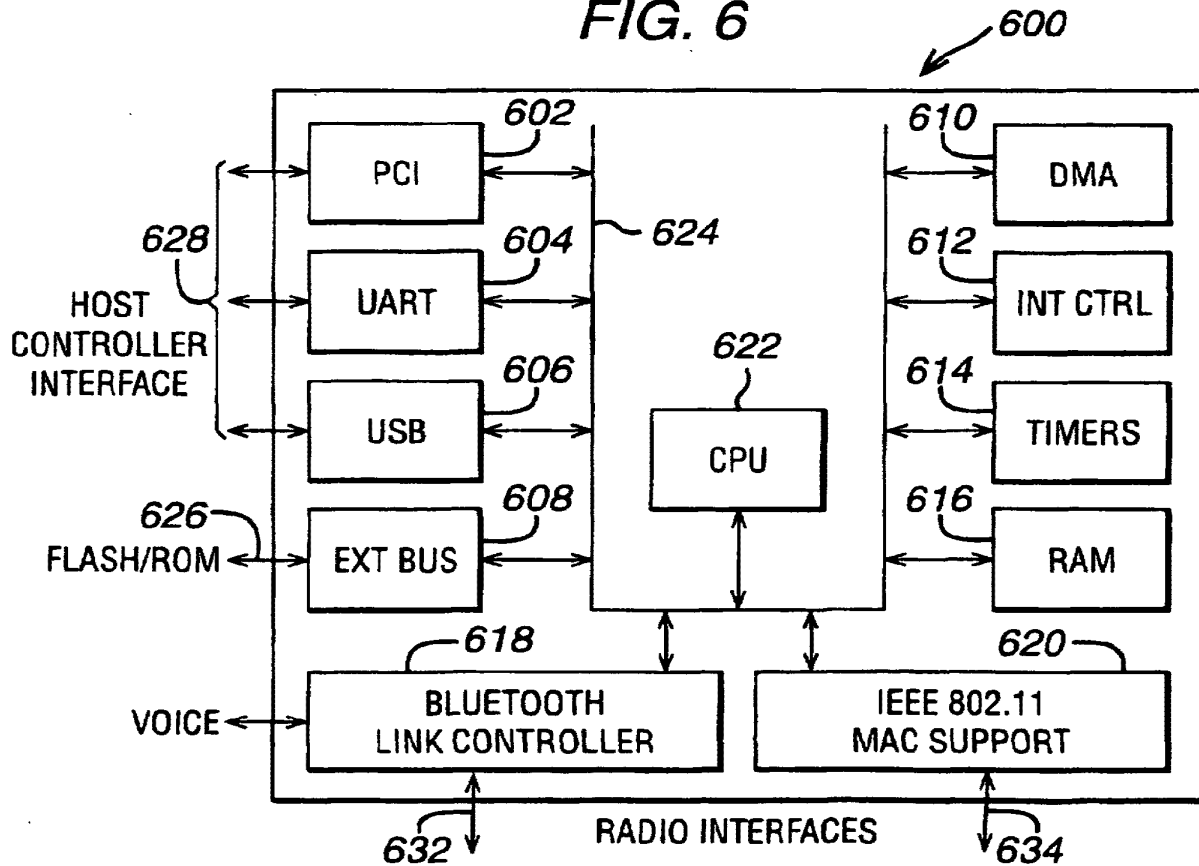


FIG. 6





European Patent  
Office

EUROPEAN SEARCH REPORT

Application Number  
EP 00 30 0397

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
X A	US 5 960 344 A (MAHANY RONALD L) 28 September 1999 (1999-09-28) * column 4, line 36 - column 5, line 30 *  * column 9, line 66 - column 10, line 21 *	1, 14  3-5, 16-18	H04L12/28 H04L12/56
X A	US 5 903 548 A (DELAMATER JEFF) 11 May 1999 (1999-05-11) * column 2, line 64 - column 3, line 23 *  * column 3, line 48 - line 61 * * column 4, line 45 - line 55 * * column 5, line 17 - line 48 * * column 8, line 7 - column 9, line 6 *	1, 14  3-5, 13, 16-18, 26	
A	WO 99 29126 A (JOERESSEN OLAF J ;NOKIA MOBILE PHONES LTD (FI)) 10 June 1999 (1999-06-10)  * page 1, line 25 - line 29 * * page 4, line 4 - line 12 *	1, 2, 7-12, 14-16, 20-26	
The present search report has been drawn up for all claims			TECHNICAL FIELDS SEARCHED (Int.Cl.7)
			H04L H04Q
Place of search	Date of completion of the search	Examiner	
THE HAGUE	20 June 2000	Heinrich, D	
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

EPO FORM 1500 03/02 (P04001)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 00 30 0397

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

20-06-2000

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5960344 A	28-09-1999	US 5546397 A AU 1374795 A WO 9517724 A	13-08-1996 10-07-1995 29-06-1995
US 5903548 A	11-05-1999	NONE	
WO 9929126 A	10-06-1999	AU 1500299 A	16-06-1999

FORM P0159

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**MIS PAGE BLANK (USPTO)**

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum  
Internationales Büro



(43) Internationales Veröffentlichungsdatum  
14. Februar 2002 (14.02.2002)

PCT

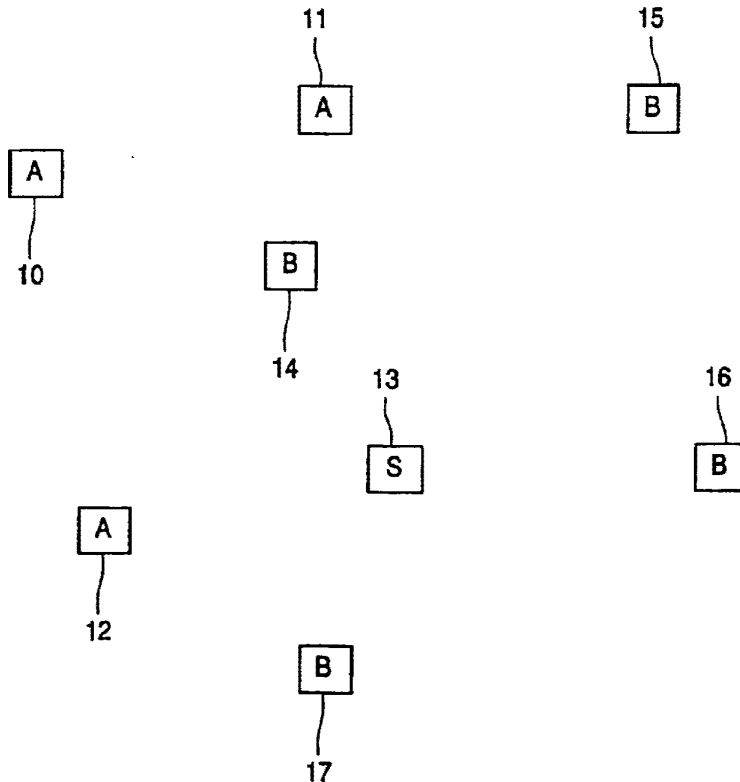
(10) Internationale Veröffentlichungsnummer  
WO 02/13457 A2

- (51) Internationale Patentklassifikation<sup>7</sup>: **H04L 12/00** **PHILIPS ELECTRONICS N.V.** [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).
- (21) Internationales Aktenzeichen: PCT/EP01/09258
- (22) Internationales Anmeldedatum: 8. August 2001 (08.08.2001)
- (25) Einreichungssprache: Deutsch
- (26) Veröffentlichungssprache: Deutsch
- (30) Angaben zur Priorität: 100 39 532.5 8. August 2000 (08.08.2000) DE
- (71) Anmelder (nur für AT, BE, CH, CY, DK, ES, FI, FR, GB, GR, IE, IT, JP, LU, MC, NL, PT, SE, TR): **KONINKLIJKE**
- (71) Anmelder (nur für DE): **PHILIPS CORPORATE INTELLECTUAL PROPERTY GMBH** [DE/DE]; Habsburgerallee 11, 52066 Aachen (DE).
- (72) Erfinder; und
- (75) Erfinder/Anmelder (nur für US): **WALKE, Bernard** [DE/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). **MANGOLD, Stefan** [DE/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (74) Anwalt: **MEYER, Michael**; Internationaal Octrooibureau B.V., Prof Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (81) Bestimmungsstaaten (national): JP, US.

[Fortsetzung auf der nächsten Seite]

(54) Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

(54) Bezeichnung: VERFAHREN, NETZWERK UND STEUERSTATION ZUR WECHSELSEITIGEN STEUERUNG VON FUNKSYSTEMEN UNTERSCHIEDLICHER STANDARDS IM GLEICHEN FREQUENZBAND



(57) Abstract: The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, whereby a control station is provided that controls the two-way alternate utilization of the frequency band.

(57) Zusammenfassung: Die Erfindung bezieht sich auf ein Schnittstellen-Steuerungsverfahren für ein Funksystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das Funksystem mehrere Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.



WO 02/13457 A2

BEST AVAILABLE COPY



**(84) Bestimmungsstaaten (regional):** europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

— *insgesamt in elektronischer Form (mit Ausnahme des Kopfbogens); auf Antrag vom Internationalen Büro erhältlich*

**Veröffentlicht:**

— *ohne internationalen Recherchenbericht und erneut zu veröffentlichen nach Erhalt des Berichts*

*Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.*



Verfahren, Netzwerk und Steuerstation zur wechselseitigen Steuerung von Funksystemen unterschiedlicher Standards im gleichen Frequenzband

Die Erfindung betrifft ein Verfahren zur wechselseitigen Steuerung von Funksystemen unterschiedlicher Standards im gleichen Frequenzband.

Ein Funksystem zur drahtlosen Übertragung von Information darf nur standardgemäß Sendeleistungen benutzen. Die nationale Regulierungsbehörde bestimmt, auf  
5 welchen Frequenzen, mit welcher Sendeleistung, und nach welchem Funkschnittstellenstandard ein Funksystem übertragen darf.

Dabei ist für so genannte ISM-Frequenzbänder (*Industrial Scientific Medical*) vorgesehen, dass Funksysteme nach unterschiedlichen Funkschnittstellenstandards im gleichen Frequenzband übertragen. Ein Beispiel ist das US-amerikanische Funksystem IEEE 802.11a  
10 und das europäische ETSI BRAN HiperLAN/2. Beide Funksysteme übertragen in gleichen Frequenzbändern zwischen 5.15 GHz und 5.875 GHz mit annähernd dem gleichen Funkübertragungsverfahren, aber verschiedenen Übertragungsprotokollen.

Für den Fall einer Störung wurden Verfahren für ein aktives Ausweichen auf eine andere Frequenz innerhalb des erlaubten Frequenzbands, Sendeleistungsregelung und  
15 adaptive Codierung und Modulation zur Interferenzreduzierung standardisiert.

Funksysteme von BreitbandLANs der Funkschnittstellen-Standards ETSI BRAN HiperLAN/2 und IEEE 802.11a nutzen das gleiche Funkübertragungsverfahren, ein 64-Träger OFDM-Verfahren mit einer adaptiven Modulation und Codierung. Annähernd die gleichen Modulations- und Codierverfahren (*Link Adaptation, LA*) sind für beide Standards  
20 definiert.

Das Medienzugriffsverfahren (*Medium Access Control, MAC*) beider Systeme ist vollständig unterschiedlich. ETSI BRAN HiperLAN/2 verwendet ein zentral gesteuertes, reservierungsbasiertes Verfahren, bei dem eine Funkstation die Aufgabe einer zentralen, die Funkressourcen koordinierenden Instanz übernimmt. Diese zentrale Funkstation (*Access  
25 Point, AP*), die unter Umständen Zugangspunkt zum Weitverkehrsnetz ist, signalisiert periodisch alle 2ms die MAC-Rahmenstruktur je nach Bedarf von AP und den zugehörigen Stationen.

Der IEEE 802.11a Standard beschreibt ein nicht reservierungsbasiertes CSMA/CA Verfahren (*Carrier Sense Multiple Access/Collision Avoidance*), bei dem alle

Funkstationen das Medium abhören und voraussetzen, dass der Kanal für eine Mindestdauer (*Short Inter Frame Space, SIFS*) ungenutzt ist bevor bei Bedarf 802.11a-MAC-Frames, also Nutzdatenpakete, versendet werden. Das Verfahren ist gut für selbstorganisierende Ad-Hoc Netze geeignet, verlangt jedoch positive Quittierungen aller Pakete. Dienstgüte unterstützende Maßnahmen (*Point Coordination Function, PCF*) erlauben darüber hinaus die Unterstützung von Multimedia-Anwendungen. Abb. 2 zeigt beispielhaft den Zeitablauf beim Medienzugriff bei IEEE 802.11a. Demnach muss in einer Variante des Standards eine Station ein RTS Paket (*Ready To Send*) übertragen und auf ein CTS (*Clear To Send*) Paket der adressierten Station warten, bevor sie Nutzdaten übertragen darf. Alle anderen Stationen in Funkreichweite setzen eine Zeitüberwachung (*Network Allocation Vector, NAV*) und übertragen erst wieder, wenn die adressierte Station eine Bestätigung (*Acknowledge, ACK*) gesendet hat.

BreitbandLANs nach den Standards HiperLAN/2 und 802.11a werden in Zukunft im gleichen Frequenzband zwischen 5.15 und 5.825 GHz operieren. Die BreitbandLANs arbeiten zwar mit Sendeleistungsregelung (*Transmitter Power Control, TPC*), adaptiven Funkübertragungsverfahren und der dynamischen Auswahl von Frequenzen (*Dynamic Frequency Selection, DFS*), um die wechselseitig störenden Einflüsse zu minimieren, diese Verfahren ermöglichen jedoch nicht die optimale Nutzung und Aufteilung der Funkkanäle auf die nach verschiedenen Standards übertragenden Stationen. Die Garantie der für Multimedia-Anwendungen nötigen Dienstgüte ist bei Störung durch eigene Stationen oder Stationen fremder Systeme nicht möglich. Bei wechselseitiger Störung arbeiten Systeme ineffizient und belegen selbst bei geringen Übertragungsraten einen Frequenzkanal.

Es ist Aufgabe der Erfindung, ein Verfahren, ein drahtloses Netzwerk sowie eine Steuerstation aufzuzeigen, welche eine effiziente Nutzung von Funkübertragungskanälen ermöglichen.

Diese Aufgabe ist für das Verfahren erfindungsgemäß gelöst durch ein Schnittstellen -Steuerungsprotokollverfahren für ein Funksystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das Funksystem Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

Der Erfindung liegt die Idee zugrunde, bei Systemen mit gleichen Funkübertragungsverfahren, aber verschiedenen Funkübertragungsprotokollen, einen standardübergreifenden Austausch von impliziter bzw. auch expliziter Steuerinformationen vorzusehen. Dies ermöglicht eine einfache und effiziente Nutzung eines Funkkanals durch mehrere Funkschnittstellenstandards.

Das Funksystem weist ein oder mehrere Stationen auf. Die Stationen können z.B. Computer eines drahtlosen lokalen Netzwerks sein. Diese Stationen können z.B. jeweils nur für den Betrieb gemäß dem ersten oder dem zweiten Funkschnittstellenstandard ausgelegt sein. Es ist jedoch auch möglich, daß Stationen sowohl gemäß dem ersten als auch gemäß dem zweiten Funkschnittstellenstandard arbeiten können.

Vorzugsweise bildet eine erste Anzahl von Stationen ein lokales drahtloses Netzwerk nach einem ersten Funkschnittstellen-Standard und eine zweite Anzahl von Stationen bildet ein drahtloses Netzwerk nach einem zweiten Funkschnittstellen-Standard. Der erste Funkschnittstellen-Standard kann z.B. der HiperLAN2-Standard und der zweite Funkschnittstellen-Standard der IEEE 802.11a-Standard sein.

Für diese beiden Standards ist das Frequenzband von 5.15 GHz bis 5.825 GHz vorgesehen.

Erfindungsgemäß ist eine Steuerstation vorgesehen, welche die wechselseitige Nutzung des gemeinsamen Frequenzbandes von den beiden Funkschnittstellen-Standards steuert.

Die Steuerstation ist vorzugsweise eine Station, die sowohl nach dem ersten Funkschnittstellenstandard als auch nach dem zweiten Funkschnittstellenstandard operieren kann.

Die Steuerung der wechselseitigen Nutzung des gemeinsamen Frequenzbandes kann auf verschiedene Weise erfolgen. So ist es beispielsweise möglich, für die Nutzung des ersten und des zweiten Funkschnittstellenstandards bestimmte vorgebbare Zeitintervalle vorzusehen und in einer Art Zeitmultiplex abwechselnd das Frequenzband dem ersten Funkschnittstellenstandard und danach dem zweiten Funkschnittstellenstandard zuzuweisen.

Vorteilhaft ist es jedoch, die Zuteilung mittels adaptiver Protokolle vorzunehmen. Dadurch kann der gemeinsame Funkkanal effektiver genutzt werden, insbesondere wenn der Bedarf an Übertragungskapazität nach dem ersten und dem zweiten Funkschnittstellenstandard variiert.

Bei der vorteilhaften Ausgestaltung der Erfindung nach Anspruch 2 ist die Steuerstation einerseits dazu vorgesehen, für Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, den Zugriff auf das Frequenzband zu steuern.

5 Ist der erste Funkschnittstellen-Standard z.B. der HiperLAN/2-Standard, so führt die Steuerstation die Funktion des gemäß diesem Standard vorgesehenen zentralen Controllers (Access Point, AP) aus. In diesem Fall senden die Stationen des HiperLAN/2-Standards jeweils eine Kapazitätsanforderung an die Steuerstation und die Steuerstation weist den Stationen jeweils Übertragungskapazität zu.

Andererseits ist die Steuerstation bei der vorteilhaften Ausgestaltung der Erfindung nach Anspruch 2 dazu vorgesehen, das gemeinsame Frequenzband für den Zugriff von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, freizugeben, wenn Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, keinen Zugriff auf das Frequenzband anfordern. Bei dieser vorteilhaften Ausgestaltung der Erfindung wird somit der erste Funkschnittstellenstandard gegenüber dem zweiten

10 Funkschnittstellenstandard priorisiert. Die Freigabe des gemeinsamen Frequenzbandes für den zweiten Funkschnittstellenstandard kann z.B. explizit durch Senden einer Steuerinformation an die Stationen des zweiten Funkschnittstellenstandards erfolgen.

Alternativ ist es z.B. möglich, daß der gemäß dem IEEE 802.11 Standard vorgesehene Punkt-Koordinator (Point Coordinator) als zentrale Steuerstation fungiert und den wechselseitigen Zugriff von Stationen des ersten und des zweiten Funkschnittstellenstandards auf das gemeinsame Frequenzband steuert. Bei dieser vorteilhaften Ausgestaltung der Erfindung würde der Punkt-Koordinator z.B. periodisch einem anderen Funkschnittstellenstandard, z.B. dem HiperLAN/2 Standard, das gemeinsame Frequenzband zur Verfügung stellen.

15

Bei der vorteilhaften Ausgestaltung der Erfindung nach Anspruch 3 erfolgt die Steuerung dadurch, daß die Steuerstation die jeweilige Zeitdauer festlegt, während der Stationen, die gemäß dem zweiten Funkschnittstellen-Standard operieren, das gemeinsame Frequenzband nutzen dürfen. Die Festlegung der Zeitdauer kann vorteilhaft gemäß Anspruch 4 dadurch erfolgen, daß die Steuerstation ein Broadcast-Signal sendet, welches den Stationen eine Zeitdauer mitteilt, während der das Frequenzband von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, nutzbar ist.

20

25

30

Die Erfindung hat den Vorteil, daß beim Betrieb von Funksystemen in Phasen, in denen standardgemäß keine Information von einer Funkstation gemäß einem ersten Funkschnittstellenstandard gesendet oder empfangen wird, das zusätzliche Senden von

Informationen gemäß eines anderen Funkschnittstellenstandards möglich wird, so dass der Zugriff auf den Funkkanal durch konkurrierende Funksysteme gesteuert werden kann.

Dabei ist es möglich, dass eine erste Funkstation, die nach einem ersten Funkschnittstellenstandard operiert, zusätzlich bestimmte in einem zweiten

5 Funkschnittstellenstandard beschriebene Funktionen ausführt, wobei durch die erste Funkstation oder eine koordinierende weitere Funkstation, die nach dem ersten Funkschnittstellenstandard überträgt, Beginn und Dauer der Phase, die gemäß dem zweiten Funkschnittstellenstandard von der ersten Station zur Übertragung benutzt werden darf, festgelegt werden.

10 Je nach Funkschnittstellenstandard können Beginn und Dauer nur näherungsweise definiert werden, wobei Festlegungen der betroffenen Standards zeitweise oder regelmäßig verletzt werden. Die erste Station kann vorzugsweise die Phase, während der sie nach dem zweiten Funkschnittstellenstandard überträgt, jederzeit beenden, ohne Rücksicht auf resultierende Störungen bei Stationen gemäß dem zweiten

15 Funkschnittstellenstandard.

Die erste Funkstation kann neben Funktionen nach dem zweiten Funkschnittstellenstandard auch Funktionen ausführen, die Funksysteme nach dem zweiten Funkschnittstellenstandard oder Funksysteme nach dem ersten Funkschnittstellenstandard veranlassen, den Funkkanal als gestört zu interpretieren und einen anderen Funkkanal für den

20 eigenen Betrieb belegen.

Die effiziente gemeinsame Nutzung eines Funkkanals durch unterschiedliche Funksysteme kann durch ein geeignetes Steuerungsprotokollverfahren erreicht werden. Ein solches Funkschnittstellen-Steuerungsprotokollverfahren ermöglicht einer ersten Station eines Funksystems nach dem ersten Funkschnittstellenstandard die Zeitpunkte des Zugriffs

25 auf den Funkkanal durch andere Stationen zu steuern. Sie muss dafür neben den durch ihren eigenen ersten Funkschnittstellenstandard festgelegten Funktionen zu Zeitpunkten, zu denen Stationen gemäß dem ersten Funkschnittstellenstandard nicht übertragen und keine standardgemäße Information von der ersten Station erwarten, in einem anderen zweiten Funkschnittstellenstandard beschriebene Funktionen ausführen, wobei die erste Station oder

30 eine weitere Station die Dauer festlegt, während der die erste Station gemäß dem zweiten Funkschnittstellenstandard übertragen darf.

Die Dauer des Betriebs nach dem zweiten Funkschnittstellenstandard muss nicht exakt, sondern kann auch nur näherungsweise festgelegt sein.

Die erste Station kann die Nutzung der Funkschnittstelle gemäß dem zweiten Funkschnittstellenstandard ohne Rücksicht auf resultierende Störungen bei Stationen, die gemäß dem zweiten Funkschnittstellenstandard übertragen, durch Übertragung gemäß dem ersten Funkschnittstellenstandard beenden.

5 Die Aufgabe der Erfindung ist für das Netzwerk gelöst durch ein drahtloses Netzwerk, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das drahtlose Netzwerk Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten,  
10 wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

Einige Ausführungsbeispiele der Erfindung werden nachfolgend anhand der Zeichnung in den Fig. 1 bis 3 näher erläutert. Es zeigen:

Fig. 1 die Rahmenstruktur gemäß dem ETSI BRAN HiperLAN/2 Standard,  
15 Fig. 2 eine schematische Darstellung des Zugriffs auf einen Funkkanal bei Systemen gemäß dem IEEE 802.11a Standard,  
Fig. 3 zwei drahtlose lokale Netzwerke gemäß einem ersten und einem zweiten Funkschnittstellen-Standard.

Fig. 1 zeigt die Struktur des HiperLAN/2 MAC-Rahmens.  
20 Fig. 2 zeigt schematisch den Medienzugriff bei Systemen, die gemäß dem Funkschnittstellenstandard IEEE 802-11a arbeiten.

Bei einem HiperLAN/2 System ist mittels der zentralen Steuerung durch den Access-Point (AP), der den MAC-Rahmen periodisch erzeugt und dabei die Daten der Broadcast-Phase überträgt, die Dienstgüte (Paketverzögerung, Übertragungsrates usw.)  
25 einzelner Verbindungen individuell steuerbar.

Übertragen auf die Fig. 1 und 2 bzw. die zugehörigen Standards bedeutet das, dass ein HiperLAN/2 AP bei teilweise nicht genutzter *Downlink*-, *Uplink*- und *Direct Mode* Phase darauf verzichten könnte, nutzlose (*dummy*) Information zu übertragen und 802.11-Systemen keine Gelegenheit zu geben, eine Zeitspanne SIFS lang einen ungenutzten Kanal zu beobachten und den Ablauf nach Fig. 2 zu beginnen. Der AP könnte die Kontrolle sehr  
30 bald zurückgewinnen, in dem die HiperLAN/2-Standard-gemäße Übertragung die *Broadcast*-Phase nicht unterdrückt, sondern gesendet wird.

Ebenso könnte die Funktion PCF des 802.11-Standards genutzt werden, um HiperLAN/2-Systemen zeitweise befristet (periodisch) den Funkkanal zur Verfügung zu stellen.

Die hier vorgeschlagene und am Beispiel der BreitbandLANs ETSI BRAN HiperLAN/2 und IEEE 802.11a diskutierte wechselseitige Steuerung von Funksystemen unterschiedlicher Standards kann in einer heterogenen Umgebung, in der verschiedene Funksysteme zur gleichen Zeit in unmittelbarer Nähe im gleichen Spektrum übertragen, eine

5 dezentral gesteuerte Adaptivität bezüglich der in den jeweiligen Systemen verfügbaren Übertragungskapazität zur Bewältigung des jeweils aktuellen Verkehrsangebots, der geforderten Dienstgüte und der momentanen Nutzungsumgebung gewährleisten.

Bei der Anwendung der erfindungsgemäßen integrierten Steuerung können unterschiedliche Funksysteme kompatibel gemacht werden in dem Sinn, dass sie konstruktiv im gleichen

10 Frequenzband koexistieren und dabei Dienste erbringen können, die eine hohe Dienstgüte verlangen. Das Funkspektrum wird deutlich effizienter genutzt, ohne Anwendung des neuen Verfahrens ist dies nur mit jeweils exklusiv verwendeten Funkkanälen möglich

Fig.3 zeigt schematisch zwei drahtlose lokale Netzwerke.

Ein erstes drahtloses lokales Netzwerk weist drei Stationen 10, 11 und 12 auf.

15 Diese drei Stationen 10, 11, 12 und 13 arbeiten nach einem ersten Funkschnittstellenstandard A, z.B. nach dem HiperLAN2 – Standard.

Ein zweites drahtloses lokales Netzwerk weist vier Stationen 14, 15, 16 und 17 auf. Diese vier Stationen 14, 15, 16 und 17 arbeiten nach einem zweiten Funkschnittstellenstandard B, z.B. nach dem IEEE802.11a – Standard.

20 Die Stationen können z.B. Computer mit einer Funkschnittstelle sein. Die Kommunikation zwischen den einzelnen Stationen erfolgt drahtlos, z.B. per Funk.

Für drahtlose lokale Netzwerke nach den Standards HiperLAN/2 und IEEE 802.11a ist das Frequenzband zwischen 5.15 GHz und 5.825 GHz vorgesehen.

Es ist eine zentrale Steuerstation 13 vorgesehen, welche den wechselseitigen

25 Zugriff des ersten drahtlosen Netzwerks und des zweiten drahtlosen Netzwerks auf das gemeinsame Frequenzband steuert.

Dies kann vorteilhaft z.B. dadurch erfolgen, daß die Station 13 eine Broadcast-Nachricht an die Stationen 14 bis 17 des IEEE 802.11a Standards verschickt, wenn die Stationen 10 bis 12 keinen Bedarf an Übertragungskapazität haben. Diese Broadcast

30 Nachricht enthält vorzugsweise eine Zeitinformaton, welche den Stationen 14 bis 17 des IEEE 802.11 Standards mitteilt, wie lange sie das gemeinsame Frequenzband nutzen dürfen. Während dieser Zeit kann die Steuerstation 13 auch Funktionen nach dem IEEE 802.11a - Standard ausführen, z.B. auch zur Datenübertragung nach dem IEEE 802.11a-Standard benutzt werden.

Handelt es sich bei den Stationen 10 bis 12 des ersten drahtlosen Netzwerks um HiperLAN/2 Stationen, so operiert die Steuerstation 13 vorzugsweise auch als zentrale Station (Access-Point) des HiperLAN2 Netzwerkes und koordiniert deren Funkressourcen.

Bei Hiperlan/2 Systemen wird vorab geplant, zu welcher Zeit die Stationen senden dürfen.

5 Zu diesem Zweck gibt es bei HiperLAN/2- Systemen eine zentrale Einrichtung (Access Point, AP), welche die Kapazitätsanforderungen von den verschiedenen Stationen erhält und demgemäß Kapazität zuweist. Die zentrale Steuerstation 13 ist vorzugsweise auch dazu vorgesehen, die Funktion des Access Point des HiperLAN/2 Standards auszuführen. Die zentrale Steuerstation 13 signalisiert dann periodisch alle 2ms die MAC-Rahmenstruktur je  
10 nach Bedarf der einzelnen Stationen des HiperLAN2 Netzwerkes.

Alternativ ist es jedoch auch möglich, daß bei HiperLAN/2 Systemen die Funktion des Access-Points und die Funktion der wechselseitigen Steuerung des Zugriffs des ersten drahtlosen Netzwerks und des zweiten drahtlosen Netzwerks auf das gemeinsame Frequenzband in getrennten Stationen realisiert ist. Dann ist aber ein Datenaustausch  
15 hinsichtlich der Dauer, während der das Frequenzband von dem ersten bzw. dem zweiten Funkschnittstellenstandard genutzt werden darf, zwischen diesen getrennten Stationen erforderlich.

Alternativ ist es z.B. möglich, daß der gemäß dem IEEE 802.11 Standard vorgesehene Punkt-Koordinator (Point Coordinator) als zentrale Steuerstation fungiert und  
20 den wechselseitigen Zugriff von Stationen des ersten und des zweiten Funkschnittstellenstandards auf das gemeinsame Frequenzband steuert. Bei dieser Ausführungsform würde der Punkt-Koordinator z.B. periodisch einem anderen Funkschnittstellenstandard, z.B. dem HiperLAN/2 Standard, das gemeinsame Frequenzband zur Verfügung stellen.



## PATENTANSPRÜCHE:

1. Schnittstellen - Steuerungsprotokollverfahren für ein Funkssystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das Funksystem Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem  
5 zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.
2. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation für Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, den Zugriff auf das  
10 Frequenzband steuert und daß die Steuerstation das Frequenzband für den Zugriff von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, freigibt, wenn Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, keinen Zugriff auf das Frequenzband anfordern.
- 15 3. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation die jeweilige Zeitdauer festlegt, während der Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, das Frequenzband nutzen dürfen.
4. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation ein Broadcast-Signal sendet, welches den Stationen eine Zeitdauer mitteilt, während der das  
20 Frequenzband von Stationen, die gemäß dem zweiten Funkschnittstellenstandard arbeiten, nutzbar ist.
5. Verfahren nach Anspruch 3, dadurch gekennzeichnet, dass die Zeitdauer des Betriebs nach dem ersten und dem zweiten Funkschnittstellenstandard nur näherungsweise  
25 festgelegt wird, wobei Festlegungen der betroffenen Standards zeitweise oder regelmäßig verletzt werden.

6. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation die Nutzung der Funkschnittstelle gemäß dem zweiten Funkschnittstellenstandard durch Übertragung gemäß dem ersten Funkschnittstellenstandard beendet, ohne Rücksicht auf resultierende Störungen bei Stationen gemäß dem zweiten Funkschnittstellenstandard.

5

7. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation für Stationen, die gemäß dem ersten Funkschnittstellenstandard arbeiten, den Zugriff auf das Frequenzband steuert und daß Dauer und Art der Steuerung der Funkschnittstelle gemäß dem zweiten Funkschnittstellenstandard durch eine weitere Station festgelegt und an die Steuerstation übermittelt wird.

10

8. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation neben Funktionen nach dem zweiten Funkschnittstellenstandard auch Funktionen ausführt, die Funkssysteme nach dem zweiten Funkschnittstellenstandard veranlassen, den Funkkanal als gestört zu interpretieren und einen anderen Funkkanal für den eigenen Betrieb zu belegen.

15

9. Verfahren nach Anspruch 1, dadurch gekennzeichnet, dass die Steuerstation auch Funktionen ausführt, die Funkssysteme nach dem ersten Funkschnittstellenstandard veranlassen, den Funkkanal als gestört zu interpretieren und einen anderen Funkkanal für den eigenen Betrieb zu belegen.

20

10. Drahtloses Netzwerk, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das drahtlose Netzwerk Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

25

11. Steuerstation für ein drahtloses Netzwerk, wobei die Steuerstation dazu vorgesehen ist, die wechselseitige Nutzung eines Frequenzbandes von Stationen, welche nach einem ersten Funkschnittstellenstandard arbeiten und Stationen, welche nach einem zweiten Funkschnittstellenstandard arbeiten, zu steuern.

30

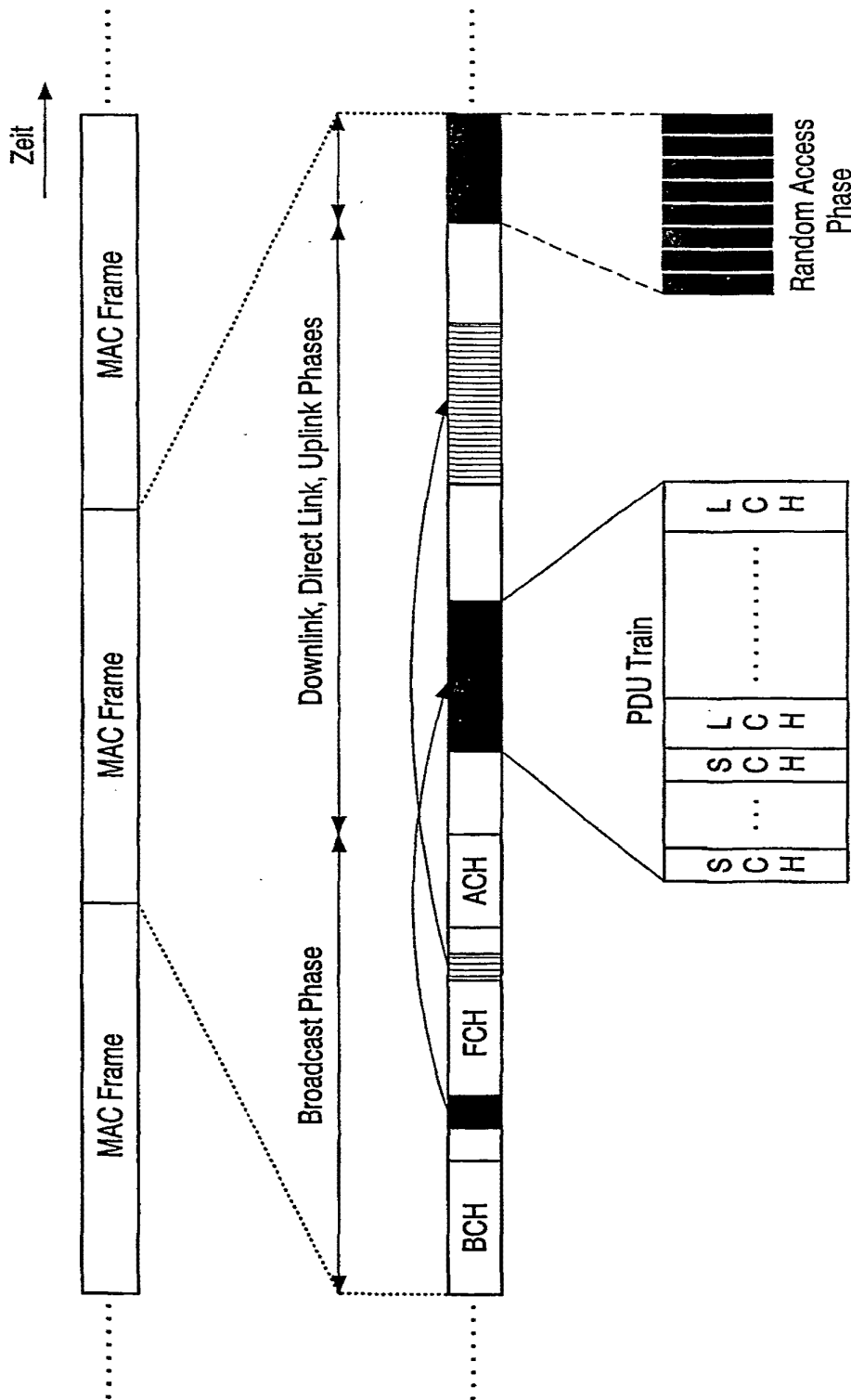


FIG. 1

BLANK (USPTO)

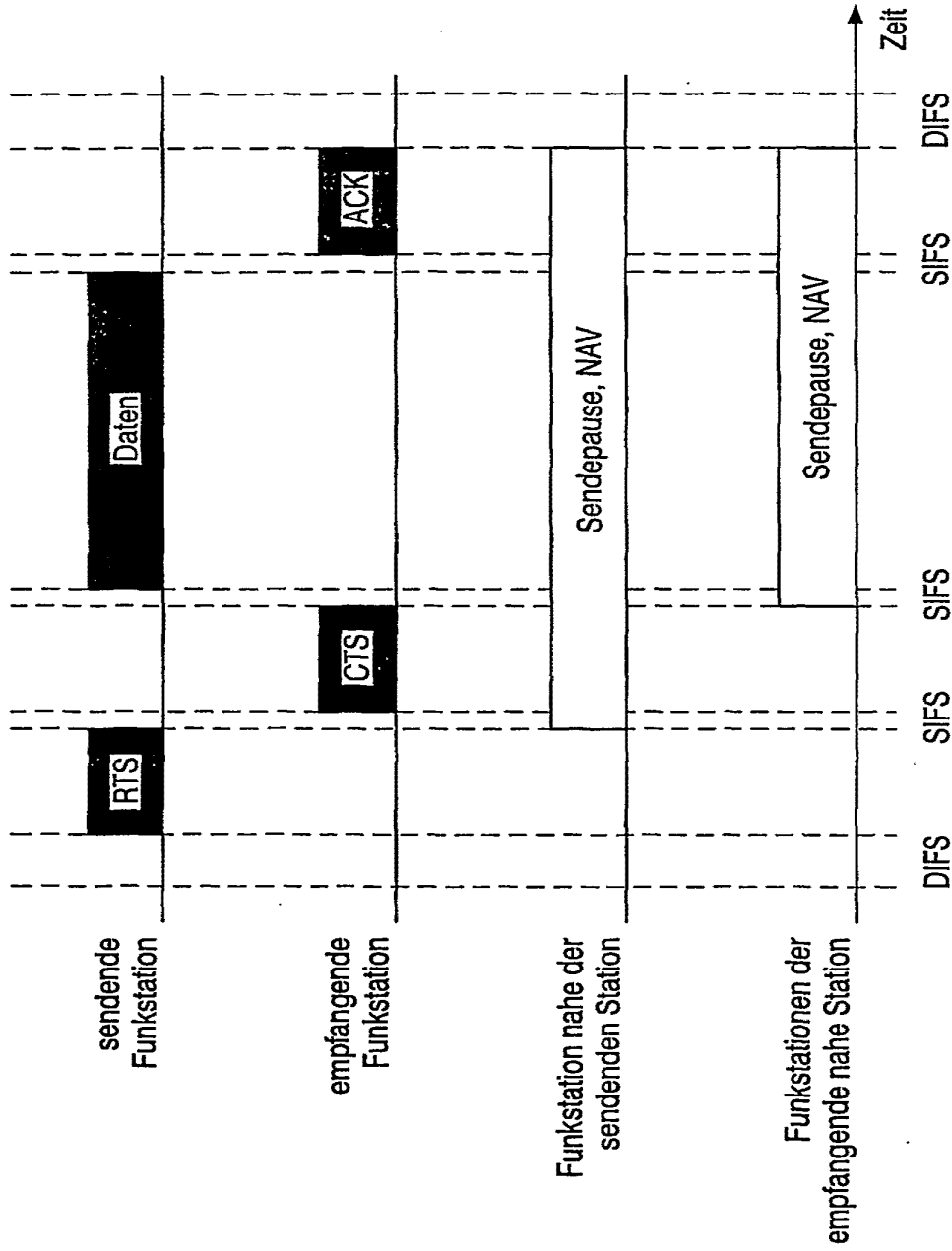


FIG. 2

**THIS PAGE BLANK (USPTO)**

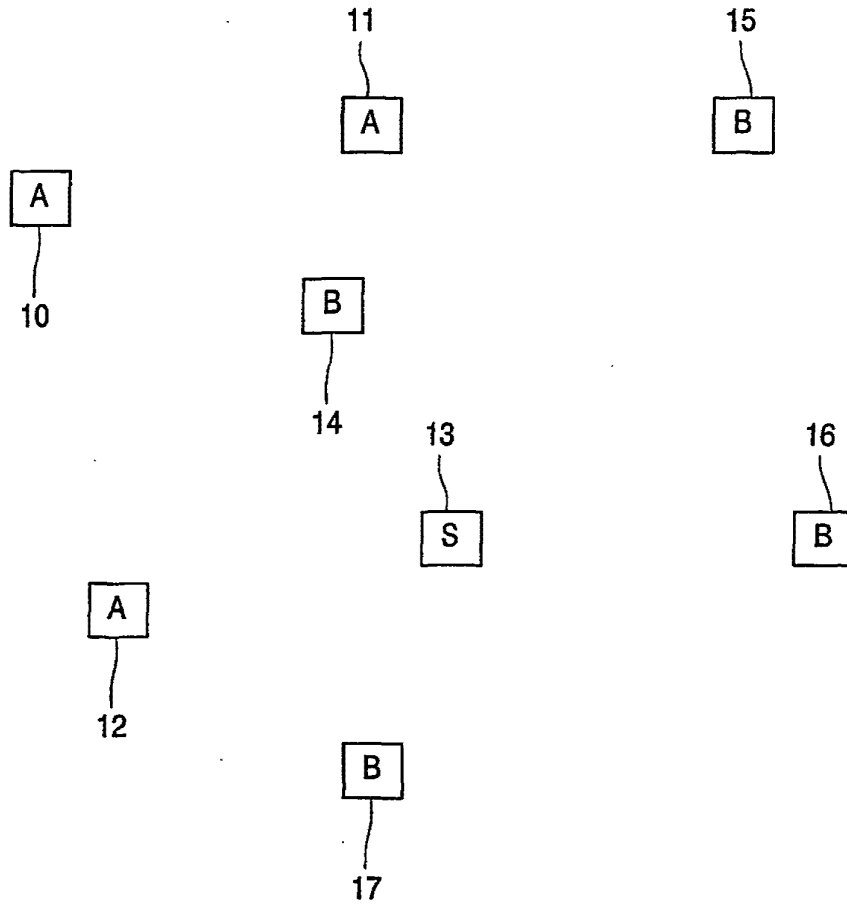


FIG. 3

**THIS PAGE BLANK (USPTO)**



(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum  
Internationales Büro



10/089959  
[Barcode]

(43) Internationales Veröffentlichungsdatum  
14. Februar 2002 (14.02.2002)

PCT

(10) Internationale Veröffentlichungsnummer  
WO 02/13457 A3

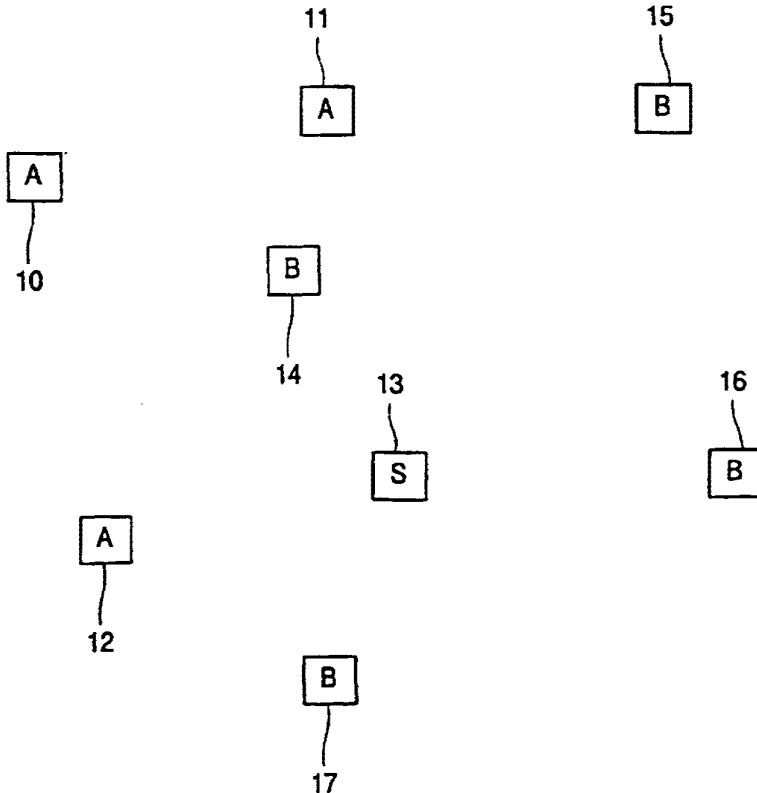
- (51) Internationale Patentklassifikation<sup>7</sup>: H04L 12/28
- (21) Internationales Aktenzeichen: PCT/EP01/09258
- (22) Internationales Anmeldedatum:  
8. August 2001 (08.08.2001)
- (25) Einreichungssprache: Deutsch
- (26) Veröffentlichungssprache: Deutsch
- (30) Angaben zur Priorität:  
100 39 532.5 8. August 2000 (08.08.2000) DE
- (71) Anmelder (nur für AT, BE, CH, CY, DK, ES, FI, FR, GB, GR, IE, IT, JP, LU, MC, NL, PT, SE, TR): KONINKLIJKE

- PHILIPS ELECTRONICS N.V. [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).
- (71) Anmelder (nur für DE): PHILIPS CORPORATE INTELLECTUAL PROPERTY GMBH [DE/DE]; Habsburgerallee 11, 52066 Aachen (DE).
- (72) Erfinder; und
- (75) Erfinder/Anmelder (nur für US): WALKER, Bernard [DE/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). MANGOLD, Stefan [DE/NL]; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (74) Anwalt: MEYER, Michael; Internationaal Octrooibureau B.V., Prof Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (81) Bestimmungsstaaten (national): JP, US.

[Fortsetzung auf der nächsten Seite]

(54) Title: METHOD, NETWORK AND CONTROL STATION FOR THE TWO-WAY ALTERNATE CONTROL OF RADIO SYSTEMS OF DIFFERENT STANDARDS IN THE SAME FREQUENCY BAND

(54) Bezeichnung: VERFAHREN, NETZWERK UND STEUERSTATION ZUR WECHSELSEITIGEN STEUERUNG VON FUNKSYSTEMEN UNTERSCHIEDLICHER STANDARDS IM GLEICHEN FREQUENZBAND



(57) Abstract: The invention relates to an interface-control protocol method for a radio system, which has at least one frequency band provided for the two-way alternate utilization of a first and a second radio interface standard. The radio system comprises a number of stations, which each function in accordance with a first radio interface standard and/or in accordance with a second radio interface standard, whereby a control station is provided that controls the two-way alternate utilization of the frequency band.

(57) Zusammenfassung: Die Erfindung bezieht sich auf ein Schnittstellen-Steuerungsverfahren für ein Funkssystem, welches wenigstens ein Frequenzband aufweist, das für die wechselseitige Nutzung eines ersten und eines zweiten Funkschnittstellenstandards vorgesehen ist, wobei das Funkssystem mehrere Stationen aufweist, welche jeweils nach einem ersten Funkschnittstellenstandard und/oder nach einem zweiten Funkschnittstellenstandard arbeiten, wobei eine Steuerstation vorgesehen ist, welche die wechselseitige Nutzung des Frequenzbandes steuert.

WO 02/13457 A3

RECEIVED

AUG 05 2002

Technology Center 2600



(84) Bestimmungsstaaten (reserviert): europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

(88) Veröffentlichungsdatum des internationalen  
 Recherchenberichts: 23. Mai 2003

**Veröffentlicht:**

- mit internationalem Recherchenbericht
- vor Ablauf der für Änderungen der Ansprüche geltenden Frist: Veröffentlichung wird wiederholt, falls Änderungen eintreffen
- insgesamt in elektronischer Form (mit Ausnahme des Kopfbogens): auf Antrag vom Internationalen Büro erhältlich

Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 01/09258

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04L12/28

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04L H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 23790 A (INTERMEC IP CORP) 14 May 1999 (1999-05-14) page 3, line 28 -page 4, line 21 page 5, line 18 - line 24 page 8, line 24 -page 9, line 17 page 10, line 17 - line 28 page 16, line 3 - line 9 figures 1,4	1-11
X	US 5 710 766 A (SCHWENDEMAN ROBERT JOHN) 20 January 1998 (1998-01-20) column 1, line 55 -column 3, line 15 column 6, line 47 - line 50 column 9, line 1 - line 20 figures 3,10	1,10,11

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

5 March 2002

12/03/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo.nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Barel, C

INTERNATIONAL SEARCH REPORT

International Application No  
PC1/EP 01/09258

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 99 21328 A (ERICSSON TELEFON AB L M) 29 April 1999 (1999-04-29) page 9, line 18 -page 10, line 20 page 7, line 6 - line 21 page 11, line 12 - line 25 figures 7,8 -----	1,2,10, 11
P,X	EP 1 119 137 A (LUCENT TECHNOLOGIES INC) 25 July 2001 (2001-07-25) page 3, line 9 - line 14 page 3, line 46 - line 54 page 4, line 9 - line 16 page 7, line 45 -page 8, line 10 figure 1 -----	1-3,10, 11

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No.

PCT/EP 01/09258

Patent document cited in search report	A	Publication date	Patent family member(s)	Publication date
WO 9923790	A	14-05-1999	US 6295461 B1 WO 9923790 A1	25-09-2001 14-05-1999
US 5710766	A	20-01-1998	NONE	
WO 9921328	A	29-04-1999	US 6226279 B1 AU 9768598 A JP 2001521330 T WO 9921328 A1	01-05-2001 10-05-1999 06-11-2001 29-04-1999
EP 1119137	A	25-07-2001	EP 1119137 A1 JP 2001217853 A US 2001010689 A1	25-07-2001 10-08-2001 02-08-2001

U.S. PATENT AND TRADEMARK OFFICE  
PAGE BLANK (USPTO)

## A. KLASSIFIZIERUNG DES ANMELDUNGSGEGENSTANDES

IPK 7 H04L12/28

Nach der Internationalen Patentklassifikation (IPK) oder nach der nationalen Klassifikation und der IPK

## B. RESEARCHIERTE GEBIETE

Recherchiertes Mindestprüfstoff (Klassifikationssystem und Klassifikationssymbole)

IPK 7 H04L H04Q

Recherchierte aber nicht zum Mindestprüfstoff gehörende Veröffentlichungen, soweit diese unter die recherchierten Gebiete fallen

Während der internationalen Recherche konsultierte elektronische Datenbank (Name der Datenbank und evtl. verwendete Suchbegriffe)

EPO-Internal

## C. ALS WESENTLICH ANGESEHENE UNTERLAGEN

Kategorie*	Bezeichnung der Veröffentlichung, soweit erforderlich unter Angabe der in Betracht kommenden Teile	Betr. Anspruch Nr.
X	WO 99 23790 A (INTERMEC IP CORP) 14. Mai 1999 (1999-05-14) Seite 3, Zeile 28 -Seite 4, Zeile 21 Seite 5, Zeile 18 - Zeile 24 Seite 8, Zeile 24 -Seite 9, Zeile 17 Seite 10, Zeile 17 - Zeile 28 Seite 16, Zeile 3 - Zeile 9 Abbildungen 1,4	1-11
X	US 5 710 766 A (SCHWENDEMAN ROBERT JOHN) 20. Januar 1998 (1998-01-20) Spalte 1, Zeile 55 -Spalte 3, Zeile 15 Spalte 6, Zeile 47 - Zeile 50 Spalte 9, Zeile 1 - Zeile 20 Abbildungen 3,10	1, 10, 11

-/--

 Weitere Veröffentlichungen sind der Fortsetzung von Feld C zu entnehmen Siehe Anhang Patentfamilie

\* Besondere Kategorien von angegebenen Veröffentlichungen

\*A\* Veröffentlichung, die den allgemeinen Stand der Technik definiert, aber nicht als besonders bedeutsam anzusehen ist

\*E\* älteres Dokument, das jedoch erst am oder nach dem internationalen Anmeldedatum veröffentlicht worden ist

\*L\* Veröffentlichung, die geeignet ist, einen Prioritätsanspruch zweifelhaft erscheinen zu lassen, oder durch die das Veröffentlichungsdatum einer anderen im Recherchenbericht genannten Veröffentlichung belegt werden soll oder die aus einem anderen besonderen Grund angegeben ist (wie ausgeführt)

\*O\* Veröffentlichung, die sich auf eine mündliche Offenbarung, eine Benutzung, eine Ausstellung oder andere Maßnahmen bezieht

\*P\* Veröffentlichung, die vor dem internationalen Anmeldedatum, aber nach dem beanspruchten Prioritätsdatum veröffentlicht worden ist

\*T\* Spätere Veröffentlichung, die nach dem internationalen Anmeldedatum oder dem Prioritätsdatum veröffentlicht worden ist und mit der Anmeldung nicht kollidiert, sondern nur zum Verständnis des der Erfindung zugrundeliegenden Prinzips oder der ihr zugrundeliegenden Theorie angegeben ist

\*X\* Veröffentlichung von besonderer Bedeutung; die beanspruchte Erfindung kann allein aufgrund dieser Veröffentlichung nicht als neu oder auf erfinderischer Tätigkeit beruhend betrachtet werden

\*Y\* Veröffentlichung von besonderer Bedeutung; die beanspruchte Erfindung kann nicht als auf erfinderischer Tätigkeit beruhend betrachtet werden, wenn die Veröffentlichung mit einer oder mehreren anderen Veröffentlichungen dieser Kategorie in Verbindung gebracht wird und diese Verbindung für einen Fachmann naheliegend ist

\*Z\* Veröffentlichung, die Mitglied derselben Patentfamilie ist

Datum des Abschlusses der internationalen Recherche

5. März 2002

Absendedatum des internationalen Recherchenberichts

12/03/2002

Name und Postanschrift der Internationalen Recherchenbehörde  
Europäisches Patentamt, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Bevollmächtigter Bediensteter

Barel, C

## C.(Fortsetzung) ALS WESENTLICH ANGESEHENE UNTERLAGEN

Kategorie*	Bezeichnung der Veröffentlichung, soweit erforderlich unter Angabe der in Betracht kommenden Teile	Beitr. Anspruch Nr.
A	WO 99 21328 A (ERICSSON TELEFON AB L M) 29. April 1999 (1999-04-29) Seite 9, Zeile 18 -Seite 10, Zeile 20 Seite 7, Zeile 6 - Zeile 21 Seite 11, Zeile 12 - Zeile 25 Abbildungen 7,8 ----	1,2,10, 11
P,X	EP 1 119 137 A (LUCENT TECHNOLOGIES INC) 25. Juli 2001 (2001-07-25) Seite 3, Zeile 9 - Zeile 14 Seite 3, Zeile 46 - Zeile 54 Seite 4, Zeile 9 - Zeile 16 Seite 7, Zeile 45 -Seite 8, Zeile 10 Abbildung 1 -----	1-3,10, 11



INTERNATIONALER RECHERCHENBERICHT

Angaben zu Veröffentlichungen, die zur selben Patentfamilie gehören

Internationales Aktenzeichen

PCT/EP 01/09258

Im Recherchenbericht angeführtes Patentdokument		Datum der Veröffentlichung	Mitglied(er) der Patentfamilie	Datum der Veröffentlichung
WO 9923790	A	14-05-1999	US 6295461 B1 WO 9923790 A1	25-09-2001 14-05-1999
US 5710766	A	20-01-1998	KEINE	
WO 9921328	A	29-04-1999	US 6226279 B1 AU 9768598 A JP 2001521330 T WO 9921328 A1	01-05-2001 10-05-1999 06-11-2001 29-04-1999
EP 1119137	A	25-07-2001	EP 1119137 A1 JP 2001217853 A US 2001010689 A1	25-07-2001 10-08-2001 02-08-2001

**THIS PAGE BLANK (USPTO)**

**VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT  
AUF DEM GEBIET DES PATENTWESENS**

**PCT**

**INTERNATIONALER RECHERCHENBERICHT**

(Artikel 18 sowie Regeln 43 und 44 PCT)

Aktenzeichen des Anmelders oder Anwalts <b>PHDE000238W0</b>	<b>WEITERES VORGEHEN</b>	siehe Mitteilung über die Übermittlung des internationalen Recherchenberichts (Formblatt PCT/ISA/220) sowie, soweit zutreffend, nachstehender Punkt 5
Internationales Aktenzeichen <b>PCT/EP 01/ 09258</b>	Internationales Anmeldedatum (Tag/Monat/Jahr) <b>08/08/2001</b>	(Frühestes) Prioritätsdatum (Tag/Monat/Jahr) <b>08/08/2000</b>
Anmelder  <b>KONINKLIJKE PHILIPS ELECTRONICS N.V.</b>		

Dieser internationale Recherchenbericht wurde von der Internationalen Recherchenbehörde erstellt und wird dem Anmelder gemäß Artikel 18 übermittelt. Eine Kopie wird dem Internationalen Büro übermittelt.

Dieser internationale Recherchenbericht umfaßt insgesamt 3 Blätter.

Darüber hinaus liegt ihm jeweils eine Kopie der in diesem Bericht genannten Unterlagen zum Stand der Technik bei.

**1. Grundlage des Berichts**

a. Hinsichtlich der **Sprache** ist die internationale Recherche auf der Grundlage der internationalen Anmeldung in der Sprache durchgeführt worden, in der sie eingereicht wurde, sofern unter diesem Punkt nichts anderes angegeben ist.

Die internationale Recherche ist auf der Grundlage einer bei der Behörde eingereichten Übersetzung der internationalen Anmeldung (Regel 23.1 b)) durchgeführt worden.

b. Hinsichtlich der in der internationalen Anmeldung offenbarten **Nucleotid- und/oder Aminosäuresequenz** ist die internationale Recherche auf der Grundlage des Sequenzprotokolls durchgeführt worden, das

in der internationalen Anmeldung in Schriftlicher Form enthalten ist.

zusammen mit der internationalen Anmeldung in computerlesbarer Form eingereicht worden ist.

bei der Behörde nachträglich in schriftlicher Form eingereicht worden ist.

bei der Behörde nachträglich in computerlesbarer Form eingereicht worden ist.

Die Erklärung, daß das nachträglich eingereichte schriftliche Sequenzprotokoll nicht über den Offenbarungsgehalt der internationalen Anmeldung im Anmeldezeitpunkt hinausgeht, wurde vorgelegt.

Die Erklärung, daß die in computerlesbarer Form erfaßten Informationen dem schriftlichen Sequenzprotokoll entsprechen, wurde vorgelegt.

2.  **Bestimmte Ansprüche haben sich als nicht recherchierbar erwiesen** (siehe Feld I).

3.  **Mangelnde Einheitlichkeit der Erfindung** (siehe Feld II).

**4. Hinsichtlich der Bezeichnung der Erfindung**

wird der vom Anmelder eingereichte Wortlaut genehmigt.

wurde der Wortlaut von der Behörde wie folgt festgesetzt:

**5. Hinsichtlich der Zusammenfassung**

wird der vom Anmelder eingereichte Wortlaut genehmigt.

wurde der Wortlaut nach Regel 38.2b) in der in Feld III angegebenen Fassung von der Behörde festgesetzt. Der Anmelder kann der Behörde innerhalb eines Monats nach dem Datum der Absendung dieses internationalen Recherchenberichts eine Stellungnahme vorlegen.

6. Folgende Abbildung der **Zeichnungen** ist mit der Zusammenfassung zu veröffentlichen: Abb. Nr. 3

wie vom Anmelder vorgeschlagen

keine der Abb.

weil der Anmelder selbst keine Abbildung vorgeschlagen hat.

weil diese Abbildung die Erfindung besser kennzeichnet.

**THIS PAGE BLANK (USPTO)**

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- ~~REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY~~
- OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**