UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC., Petitioner,

v.

MPH TECHNOLOGIES OY, Patent Owner.

> IPR2019-00821 Patent 8,037,302 B2

Before SALLY C. MEDLEY, KAMRAN JIVANI, and JOHN D. HAMANN, *Administrative Patent Judges*.

HAMANN, Administrative Patent Judge.

DOCKET

JUDGMENT Final Written Decision Determining No Challenged Claims Unpatentable 35 U.S.C. § 318(a)

### I. INTRODUCTION

In this *inter partes* review, instituted pursuant to 35 U.S.C. § 314, Apple Inc. ("Petitioner") challenges the patentability of claims 1-16 ("the challenged claims") of U.S. Patent No. 8,037,302 B2 (Ex. 1001, "the '302 patent"), owned by MPH Technologies Oy ("Patent Owner"). We have jurisdiction under 35 U.S.C § 6. This Final Written Decision is entered pursuant to 35 U.S.C. § 318(a) and 37 C.F.R. § 42.73.

For the reasons discussed herein, we determine that Petitioner has not shown by a preponderance of the evidence that claims 1-16 are unpatentable.

### II. BACKGROUND

### A. Procedural History

Petitioner filed a Petition requesting *inter partes* review of the challenged claims of the '302 patent. Paper 1 ("Pet."). The Petition is supported by the Declaration of David Goldschlag, Ph.D. (Ex. 1003). Patent Owner filed a Preliminary Response. Paper 8.

We instituted *inter partes* review of all of the challenged claims of the '302 patent on all of the grounds raised in the Petition. Paper 9 ("Dec. on Inst."), 6–7, 31. Patent Owner filed a Response to the Petition. Paper 15 ("PO Resp."). The Response is supported by the Declaration of Professor George N. Rouskas, Ph.D. (Ex. 2002). Petitioner filed a Reply to Patent Owner's Response. Paper 18 ("Pet. Reply"). The Reply is supported by an additional Declaration of David Goldschlag, Ph.D. (Ex. 1020). Patent Owner filed a Sur-Reply to Petitioner's Reply. Paper 21 ("PO Sur-Reply").

An oral hearing was held on July 17, 2020. A transcript of the oral hearing is included in the record. Paper 27 ("Tr.").

### B. Related Matter

The parties identify *MPH Techs. Oy v. Apple Inc.*, Case No. 4:18-cv-05935-PJH (N.D. Cal.), as a matter that may affect or would be affected by a decision in this proceeding. Pet. 2; Paper 7, 1.

### C. The Challenged Patent (Ex. 1001)

The '302 patent relates to providing "secure connections in telecommunication networks" more efficiently. Ex. 1001, 1:13–14, 4:55–63, 7:3–5. In particular, the '302 patent relates to reducing the handover latency for secure connections, such as those employing Internet Protocol ("IP") Security ("IPSec") with mobile terminals<sup>1</sup> (i.e., terminals that can move from one network to another). *Id.* at 4:55–63, 7:3–5, 7:39–41.

According to the '302 patent, IP Sec comprises a set of rules for "provid[ing] the capability to secure communications" between hosts. *Id.* at 1:38–39. These rules describe, *inter alia*, the concept of a Security Association ("SA"), which the '302 patent describes as "a one-way relationship between a sender and a receiver that offers [negotiated IPSec] security services to the traffic carried on it." *Id.* at 1:62–65. SAs are identified, in part, by the IP addresses of the hosts. *E.g.*, *id.* at 2:14–16. The '302 patent discloses that when a new SA is formed, "it is registered for immediate and/or later use" in a Security Association Database ("SAD"), "which is the nominal place to store IPSec SAs in the IPSec model." *Id.* at 7:45–53. Each host participating in the forming of the SA maintains a copy of the SAD, according to the '302 patent. *Id.* at 7:47–48.

<sup>&</sup>lt;sup>1</sup> The '302 patent discloses that "the term[s] mobility and mobile terminal do[] not only mean physical mobility, . . . [but also] mean[] moving from one network to another, which can be performed by a physically fixed terminal as well." Ex. 1001, 3:51–55.

In addition, the '302 patent discloses that IPSec is intended to work with static network topologies. *Id.* at 3:19–22. For example, IPSec can secure communications between static hosts across a local area network ("LAN"), as well as across a private or public wide area network ("WAN"). *Id.* at 1:38–40. IPSec, however, "does not work well with mobile" terminals, according to the '302 patent, because when "a mobile terminal moves from one network to another [and changes addresses], an IPSec connection set up is required," which typically "is expensive in terms of latency," requiring "several seconds to complete." *Id.* at 4:52–60.

To address this problem, the '302 patent discloses avoiding the need, if possible, to set up an IPSec connection when the mobile terminal moves networks by relying on a SA that is already established. *E.g.*, *id.* at 10:39–43, 10:51–56. Figure 2, shown below, is a "signalling diagram," which describes the invention of the '302 patent. *Id.* at 9:5–6.



Figure 2 "describes an example of the method of the invention for sending messages[, as shown in steps 1–6,] when a mobile terminal moves to a new address." *Id.* at 10:9–11. We focus on steps 1 and 5 between the mobile terminal and home server, because these are the illustrated steps relevant to our analysis below.

First, a SA is established between a first address of the mobile terminal and the address of the home server. *Id.* at 10:12–16. This SA is used to send a message from the mobile terminal to the home server, as illustrated in step 1. *Id.* at 10:21–25. Subsequently, the mobile terminal moves to a new network and obtains a new address from the new network. *Id.* at 10:39–40. "The mobile terminal then checks whether an SA . . . already exists between the new . . . address and the home server address. This check is normally done by inspecting the contents of" a SAD, "as specified by the IPSec protocol." *Id.* at 10:40–46.

If a SA between the mobile terminal's new address and the home server's address "already exists, this SA is registered to be the actual SA to be used." *Id.* at 10:51–56. Put differently, the SA is registered as an active connection (i.e., "a stored mobility binding that maps a given terminal address to one or more" SAs to determine to what address to forward packets). *E.g.*, *id.* at 8:13–14, 10:12–27. "This happens by means of a signalling message . . . done between the mobile terminal and the home server, described by step[]  $5 \dots$ " *Id.* at 10:57–59; *see also id.* at 7:59–63 (describing sending a Registration Request signalling message to register the actual connection to use). Alternatively, the '302 patent discloses that in lieu of a Registration Request, properly authenticated traffic from a new address can be used "as an implicit registration request, and a mobility

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