Substitute for form 1449/PTO	Complete if Known					
	Application Number	14/876,276				
INFORMATION DISCLOSURE	Filing Date	October 6, 2015				
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON				
(Use as many sheets as necessary)	Art Unit	2668				
	Examiner Name	To Be Assigned				
Sheet 95 of 105	Attorney Docket Number	2855 005000C				

Sheet	95	of 105 Attorney Docket Number   2855.005000C
		NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published
	NPL854	Copy of Supplemental Notice of Allowance for U.S. Appl. No. 12/857,238, mailed February 25, 2014, 2 pages.
80 - 400000 - 40000 U	NPL855	Copy of Non-Final Office Action for U.S. Appl. No. 14/134,933, mailed February 25, 2014, 7 pages.
	NPL856	Copy of Non-Final Office Action for U.S. Appl. No. 14/033,245, mailed February 26, 2014, 11 pages.
	NPL857	Copy of Non-Final Office Action for U.S. Appl. No. 14/134,926, mailed February 27, 2014, 16 pages.
	NPL858	Copy of Final Office Action for U.S. Appl. No. 09/969,987, mailed April 8, 2014, 8 pages.
:- :	NPL859	Copy of Non-Final Office Action for U.S. Appl. No. 13/403,785, mailed May 9, 2014, 5 pages.
	NPL860	Copy of Final Office Action for U.S. Application No. 13/118,122, mailed June 18, 2014, 14 pages.
	NPL861	Copy of Notice of Allowance for U.S. Appl. No. 14/251,453, mailed June 25, 2014; 8 pages.
	NPL862	Copy of Final Office Action for U.S. Appl. No. 14/134,933, mailed June 27, 2014; 9 pages.
	NPL863	Copy of Notice of Allowance for U.S. Appl. No. 14/134,926, mailed July 8, 2014, 9 pages.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

NPL873

Complete if Known									
Application Number	14/876,276								
Filing Date	October 6, 2015								
First Named Inventor	James J. FALLON								
Art Unit	2668								
Examiner Name	To Be Assigned								
Attorney Docket Number	2855.005000C								

(Use as many sheets as necessary)	Art Unit 2008										
			Examiner Name	To Be Assigned							
Sheet	96	of 105	Attorney Docket Number	2855.005000C							
		Non Pat	FENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.¹	appropriate), title o	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published								
	NPL864	Copy of Notice of All pages.	owance for U.S. Appl. No. 14/033,24	5, mailed July 22, 2014, 13	-						
	NPL865	Copy of Non-Final Of 2014; 10 pages.	ffice Action for U.S. Appl, No. 14/495	5,574, mailed October 23,							
	NPL866	Copy of Non-Final Of 2014; 11 pages.	ffice Action for U.S. Appl. No. 09/969	9,987, mailed October 23,							
	NPL867	Copy of Non-Final Of 2014, 17 pages.	ffice Action for U.S. Appl. No. 13/403	3,785, mailed December 18,							
	NPL868	Copy of Non-Final Of 2015, 9 pages.	ffice Action for U.S. Appl. No. 14/305	5,692, mailed February 10,							
	NPL869	Copy of Non-Final Of 2015, 5 pages.	ffice Action for U.S. Appl. No. 14/303	3,276, mailed March 12,							
	NPL870	Copy of Supplementa April 7, 2015; 5 pages	l Notice of Allowability for U.S. Appl	l. No. 14/495,574, mailed							
	NPL871	Copy of Notice of All pages.	owance for U.S. Appl. No. 14/303,270	6, mailed June 5, 2015; 8							
	NPL872	Copy of Notice of All pages.	owance for U.S. Appl. No. 09/969,98'	7, mailed July 2, 2015; 10	T						

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Copy of Notice of Allowance for U.S. Appl. No. 14/727,309, mailed September 30,

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE

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STATEMENT BY APPLICANT (Use as many sheets as necessary)

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First Named Inventor	James J. FALLON							
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Examiner Name	To Be Assigned							
Attorney Docket Number	2855.005000C							

Sheet	97	of 105	Attorney Docket Number	2855.005000C				
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Examiner Initials*  Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published								
	NPL874	Copy of Non-Final Office Action for U.S. Appl. No. 14/733,565, mailed October 19, 2015; 8 pages.						
- C., - C., - C., - C.,	NPL875		Assue an Inter Partes Reexamination Certing J.S. Patent No. 7,378,992, Control No. 95, 10 pages.					
	NPL876		ssue an Inter Partes Reexamination Certi J.S. Patent No. 7,161,506, Control No. 95 , 10 pages.					
	NPL877		ames W. Modestino under 37 C.F.R. § 1 J.S. Patent No. 7,417,568, Control No. 95; 51 pages.					
	NPL878		ames W. Modestino under 37 C.F.R. § 1 J.S. Patent No. 7,400,274, Control No. 95 ; 49 pages.					
	NPL879		ames W. Modestino under 37 C.F.R. § 1. J.S. Patent No. 7,777,651, Control No. 95; 50 pages.					
	NPL880	41.77(b) in Inter Par	uest to Reopen Prosecution Before the Ertes Reexamination of U.S. Patent No. 7, ecember 2, 2013, 41 pages.					
	NPL881	41.77(b) in Inter Par	uest to Reopen Prosecution Before the Extes Reexamination of U.S. Patent No. 7, ecember 2, 2013, 57 pages.					
	NPL882	41.77(b) in Inter Par	uest to Reopen Prosecution Before the Extes Reexamination of U.S. Patent No. 7, ecember 2, 2013, 33 pages.					
	NPL883		ination Certificate in Inter Partes Reexar No. 95/001,922, mailed December 5, 201					

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Attorney Docket Number	2855.005000C								

Sheet	98	of 105	Attorney Docket Number	2855.005000C					
		Non P	ATENT LITERATURE DOCUMENTS						
Examiner Initials*									
	NPL884	Petition to Strike Pa	ition Under 37 C.F.R. § 1.182 in Opposi atent Owner's Proposed New Claims, in I 7,417,568, Control No. 95/001,533, mail	Inter Partes Reexamination	waspe				
	NPL885	Petition to Strike Pa	ition Under 37 C.F.R. § 1.182 in Opposi atent Owner's Proposed New Claims, in I 7,400,274, Control No. 95/001,544, mail-	Inter Partes Reexamination					
	NPL886	Petition to Strike Pa	ition Under 37 C.F.R. § 1.182 in Opposi atent Owner's Proposed New Claims, in I 7,777,651, Control No. 95/001,581, mail	Inter Partes Reexamination	••••				
	NPL887		nination Certificate in Inter Partes Reexa No. 95/001,926, mailed January 8, 2014						
	NPL888		nination Certificate in Inter Partes Reexa No. 95/001,928, mailed January 8, 2014		3				
	NPL889		ination Under 37 C.F.R. § 41.77(d) in In 14,747, Control No. 95/001,517, mailed		0000				
	NPL890	Improper Response	ition Under 37 C.F.R. § 1.181 to Strike Under 37 C.F.R. § 41.77(c), in Inter Par 68, Control No. 95/001,533, mailed January	rtes Reexamination of U.S.	****				
	NPL891	Improper Response	ition Under 37 C.F.R. § 1.181 to Strike Under 37 C.F.R. § 41.77(c), in Inter Par 74, Control No. 95/001,544, mailed January	rtes Reexamination of U.S.	2014				
	NPL892	Improper Response	ition Under 37 C.F.R. § 1.181 to Strike Under 37 C.F.R. § 41.77(c), in Inter Par 51, Control No. 95/001,581, mailed January	rtes Reexamination of U.S.					
	NPL893	Improper Response	ition Under 37 C.F.R. § 1.181 to Strike 2 Under 37 C.F.R. § 1.132, in Inter Partes 58, Control No. 95/001,533, mailed January	s Reexamination of U.S.					

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Attorney Docket Number	2855.005000C					

			Examiner Name	To Be Assigned						
Sheet	99	of 105	Attorney Docket Number	2855.005000C						
		Non Pat	ENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	appropriate), title of	ude name of the author (in CAPITAL LETTERS), title of the article (when priate), title of the item (book, magazine, journal, serial, symposium, catalog, late, page(s), volume number, publisher, city and/or country where published							
	NPL894	Improper Response Un	n Under 37 C.F.R. § 1.181 to Strike T nder 37 C.F.R. § 1.132, in Inter Partes Control No. 95/001,544, mailed Janua	Reexamination of U.S.						
	NPL895	Improper Response Un	n Under 37 C.F.R. § 1.181 to Strike T nder 37 C.F.R. § 1.132, in Inter Partes Control No. 95/001,581, mailed Janua	Reexamination of U.S.						
	NPL896		st For Rehearing Under 37 C.F.R. § 41 . Patent No. 7,714,747, Control No. 95							
	NPL897		emental Reply to Action Closing Prose Patent No. 6,604,158, Control No. 95							
	NPL898		emental Reply to Action Closing Prose Patent No. 7,395,345, Control No. 95							
	NPL899	41.77(b) in Inter Partes	Reopen Prosecution Before the Examirs Reexamination of U.S. Patent No. 7, arch 11, 2014, 48 pages.		***************************************					
	NPL900	41.77(b) in Inter Partes	Reopen Prosecution Before the Examirs Reexamination of U.S. Patent No. 7, arch 11, 2014, 39 pages.							
	NPL901	41.77(b) in Inter Partes	Reopen Prosecution Before the Examins Reexamination of U.S. Patent No. 7, arch 11, 2014, 67 pages.							
	NPL902		e Under 37 C.F.R. § 1.953 in Inter Par Control No. 95/001,923, mailed June 9							
	NPL903		e Under 37 C.F.R. § 1.953 in Inter Par Control No. 95/001,925 mailed June 1							

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Attorney Docket Number	2855,005000C						

Sheet	100	of 105 Attorney Docket Number 2855.005000C	
		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	$T^2$
	NPL904	Notice of Intent to Issue a Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed June 27,2014, 7 pages.	
	NPL905	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed August 4, 2014, 4 pages.	
	NPL906	Examiner's Determination Under 37 C.F.R. 41.77(d), in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed October 3, 2014; 10 pages.	
	NPL907	Examiner's Determination Under 37 C.F.R. 41.77(d), in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed October 10, 2014; 10 pages.	
	NPL908	Examiner's Determination Under 37 C.F.R. 41.77(d), in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed October 10, 2014; 12 pages.	
	NPL909	Comments in Response to Examiner's Determination Under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, filed November 3, 2014; 30 pages.	
	NPL910	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, mailed November 3, 2014; 2 pages.	
	NPL911	Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, filed November 10, 2014; 19 pages.	
	NPL912	Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, filed November 10, 2014; 19 pages.	
	NPL913	Patent Owner's Reply to Third Party Requester's Comments Under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, filed December 3, 2014, 6 pages.	

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INFORMATION DISCLOSURE

Substitute for form 1449/PTO

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	14/876,276					
Filing Date	October 6, 2015					
First Named Inventor	James J. FALLON					
Art Unit	2668					
Examiner Name	To Be Assigned					
Attorney Docket Number	2855.005000C					

Sheet	101	of 105	Attorney Docket Number 2855.005000C
		Non Pa	TENT LITERATURE DOCUMENTS
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	NPL914		y to Third Party Requester's Comments Under 37 C.F.R. 41.77(e) mination of U.S. Patent No. 7,400,274, Control No. 95/001,544, 014, 6 pages.
4 1	NPL915		y to Third Party Requester's Comments Under 37 C.F.R. 41.77(e) mination of U.S. Patent No. 7,777,651, Control No. 95/001,581, 014, 6 pages.
	NPL916		for Rehearing in Inter Partes Reexamination of U.S. Patent No. to. 95/001,517, mailed January 6, 2015, 7 pages.
:	NPL917		ce in Inter Partes Reexamination of U.S. Patent No. 6,604,158, 123, mailed January 9, 2015, 14 pages.
	NPL918		sue a Reexamination Certificate in Inter Partes Reexamination of 4,158, Control No. 95/001,923, issued March 9, 2015, 7 pages.
	NPL919		nation Certificate, in Inter Partes Reexamination of U.S. Patent No. to. 95/001,923, issued April 17, 2015; 3 pages.
	NPL920		No. 2015-007686, in Inter Partes Reexamination of U.S. Patent No. to. 95/001,533, mailed October 29, 2015; 16 pages.
	NPL921		No. 2015-007706, in Inter Partes Reexamination of U.S. Patent No. to. 95/001,581, mailed October 29, 2015; 16 pages.
	NPL922		No. 2015-007687, in Inter Partes Reexamination of U.S. Patent No. o. 95/001,544, mailed October 29, 2015; 15 pages.
	NPL923	Case Nos. 13-1092, -	filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., 1093, -1095, -1097, -1098, -1099, -1100, -1101, and -1103, United als for the Federal Circuit, filed January 27, 2014, 41 pages.

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Attorney Docket Number	2855.005000C					

Sheet	102	of 105	Attorney Docket Numb	er 2855.005000C								
***************************************	***************************************	Non P	ATENT LITERATURE DOCUMENT	18								
Examiner Initials*	Cite No. <sup>1</sup>	appropriate), title	ude name of the author (in CAPITAL LETTERS), title of the article (when priate), title of the item (book, magazine, journal, serial, symposium, catalog, date, page(s), volume number, publisher, city and/or country where published									
	NPL924		Infringement, filed in Realtime Data, LLC d/b/a IXO v. Microsoft ase No. 4:14-cv-00827 (E.D. Texas), December 19, 2014, 17									
	NPL925		nt Infringement, filed in Realtime Dat No. 6:15-cv-00463 (E.D. Texas), Ma									
	NPL926		nt Infringement, filed in Realtime Dat 0465 (E.D. Texas), May 8, 2015, 14 p									
	NPL927		ent Infringement, filed in Realtime Data, LLC d/b/a IXO v. Echostar No. 6:15-cv-00466 (E.D. Texas), May 8, 2015, 15 pages.									
	NPL928		nt Infringement, filed in Realtime Dat t al., No. 6:15-cv-00468 (E.D. Texas)									
	NPL929		nt Infringement, filed in Realtime Dat 6:15-cv-00464 (E.D. Texas), May 8,									
	NPL930		nt Infringement, filed in Realtime Dat ., No. 6:15-cv-00467 (E.D. Texas), M									
	NPL931		nt Infringement, filed in Realtime Dat ,, No. 6:15-cv-00469 (E.D. Texas), M									
	NPL932		nt Infringement, filed in Realtime Dat No. 6:15-cv-00470 (E.D. Texas), Ma									
	NPL933		nplaint for Patent Infringement, filed ca, Inc., et al., No. 6:15-cv-00469 (E.									

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	Equivalent of Form PTO/SB/08b (7-09
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Application Number	14/876,276
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First Named Inventor	James J. FALLON
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Attorney Docket Number	2855.005000C
	Application Number Filing Date First Named Inventor Art Unit Examiner Name

		Non Patent Literature Documents	1 20 1
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T
	NPL934	Defendants Actian Corporation and Pervasive Software Inc.'s Motion to Dismiss Complaint, filed in Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Tex.), filed July 24, 2015; 22 pages.	
	NPL935	Defendant Dropbox, Inc.'s Motion to Dismiss for Failure to State a Claim, filed in Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Tex.), filed July 24, 2015; 3 pages.	
	NPL936	Defendants SAP America Inc., Sybase, Inc., Hewlett-Packard Company, HP Enterprise Services, LLC, Dell Inc., BMC Software, Inc., Echostar Corporation, and Hughes Network Systems, LLC's Motion to Dismiss First Amended Complaint, filed in Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Tex.), filed July 24, 2015; 37 pages.	
	NPL937	Defendant Riverbed Technology's Motion to Dismiss for Failure to State a Claim, filed in Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Tex.), filed July 27, 2015; 3 pages.	
	NPL938	Dell Inc.'s Motion to Dismiss, filed in Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Tex.), filed July 28, 2015; 3 pages.	
	NPL939	First Amended Complaint for Patent Infringement, filed in Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Tex.), filed July 29, 2015; 42 pages.	
	NPL940	Realtime Data LLC d/b/a IXO's Answer to Hewlett-Packard Company and HP Enterprise Services, LLC's Counterclaim, filed in Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Tex.), filed August 3, 2015; 8 pages.	
	NPL941	Defendant's Reply Brief on their Motion to Dismiss First Amended Complaint, filed in Realtime Data LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL, filed September 11, 2015; 15 pages.	
	NPL942	Defendants Actian Corporation and Pervasive Software Inc.'s Motion to Dismiss First Amended Complaint, filed in Realtime Data LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL, filed September 29, 2015; 32 pages.	
	NPL943	Defendants Hewlett-Packard Company and HP Enterprise Services, LLC's Answer and Counterclaims to Plaintiff's Second Amended Complaint for Patent Infringement Against Oracle America, Inc., filed in Realtime Data LLC d/b/a IXO v. Actian Corporation, et al., filed October 1, 2015; 23 pages.	

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Substitute for form 1449/PTO Complete if Known 14/876,276 Application Number INFORMATION DISCLOSURE October 6, 2015 Filing Date James J. FALLON First Named Inventor STATEMENT BY APPLICANT Art Unit 2668 (Use as many sheets as necessary) Examiner Name To Be Assigned Sheet 104 of 105 Attorney Docket Number 2855.005000C

Examiner Initials*	Cite No, <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	$T^2$
	NPL944	Defendant Oracle America, Inc.'s Answer to Realtime Data LLC's Second Amended Complaint and Counterclaims, filed in Realtime Data LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL, filed October 1, 2015; 30 pages.	
1 · · · · · · · · · · · · · · · · · · ·	NPL945	Defendants SAP America Inc., Sybase, Inc., Hewlett-Packard Company, HP Enterprise Services, LLC, Dell Inc., Echostar Corporation, Hughes Network Systems, LLC, Dropbox, Inc., and Riverbed Technology, Inc.'s Motion to Dismiss Amended Complaints, filed in Realtime Data LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL, filed October 1, 2015; 11 pages.	
	NPL946	Defendant Teradata Operations, Inc.'s Answer, Affirmative Defenses, and Counterclaims to Plaintiff Realtime Data LLC's Amended Complaint, filed in Realtime Data LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL, filed October 2, 2015; 23 pages.	
NPL947 Complaint for Patent Infringement, filed in Realtime Data LLC d/b/a IXO v. Apple, Inc., Case No. 6:15-cv-00885, filed October 6, 2015; 17 pages.			
	NPL948	Court Docket History for Realtime Data, LLC d/b/a IXO v. Microsoft Corporation, et al., Case No. 4:14-cv-00827 (E.D. Texas), downloaded October 28, 2015, 5 pages.	
	NPL949	Court Docket History for Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., Case No. 6:15-cv-00463 (E.D. Texas), downloaded October 28, 2015, 19 pages.	
	NPL950	Court Docket History for Realtime Data, LLC d/b/a IXO v. Dropbox, Inc., Case No. 6:15-cv-00465 (E.D. Texas), downloaded October 28, 2015, 4 pages.	
	NPL951	Court Docket History for Realtime Data, LLC d/b/a IXO v. Echostar Corporation, et al., Case No. 6:15-cv-00466 (E.D. Texas), downloaded October 28, 2015, 3 pages.	
	NPL952	Court Docket History for Realtime Data, LLC d/b/a IXO v. Riverbed Technology, Inc., et al., Case No. 6:15-cv-00468 (E.D. Texas), downloaded October 28, 2015, 3 pages.	
	NPL953	Court Docket History for Realtime Data, LLC d/b/a IXO v. BMC Software, Inc., Case No. 6:15-cv-00464 (E.D. Texas), downloaded October 28, 2015, 3 pages.	
Examiner Signature		Date Considered	<b></b>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ubstitute for form 1449/PTO	Сотр	lete if Known
	Application Number	14/876,276
INFORMATION DISCLOSURE	Filing Date	October 6, 2015
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2668
	Examiner Name	To Be Assigned
Sheet 105 of 105	Attorney Docket Number	2855.005000C

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL954	Court Docket History for Realtime Data, LLC d/b/a IXO v. Oracle America, Inc., et al., Case No. 6:15-cv-00467 (E.D. Texas), downloaded October 28, 2015, 4 pages.	
	NPL955	Court Docket History for Realtime Data, LLC d/b/a IXO v. SAP America, Inc., et al., Case No. 6:15-cv-00469 (E.D. Texas), downloaded October 28, 2015, 5 pages.	
	NPL956	Court Docket History for Realtime Data, LLC d/b/a IXO v. Teradata Corporation, et al., Case No. 6:15-cv-00470 (E.D. Texas), downloaded October 28, 2015, 5 pages.	
	NPL957	Court Docket History for Realtime Data, LLC d/b/a IXO v. Apple, Inc., Case No. 6:15-cv-00885 (E.D. Texas), downloaded October 28, 2015, 2 pages.	
	NPL958	U.S. Patent Application No. 14/577,286, FALLON et al., "System and Methods for Video and Audio Data Distribution," filed December 19, 2014.	
	NPL959	U.S. Patent Application No. 14/733,565, FALLON et al., "System and Methods for Video and Audio Data Distribution," filed June 8, 2015.	
	NPL960	U.S. Patent Application No. 14/794,201, FALLON, "System and Methods for Accelerated Data Storage and Retrieval," filed July 8, 2015.	
	NPL961	U.S. Patent Application No. 14/844,973, FALLON, "System and Method for Data Feed Acceleration and Encryption," filed September 3, 2015.	
	NPL962	U.S. Patent Application No. 14/853,581, FALLON, "Data Feed Acceleration," filed September 14, 2015.	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Electronic Acknowledgement Receipt			
EFS ID:	23940708		
Application Number:	14876276		
International Application Number:			
Confirmation Number:	3403		
Title of Invention:	Video Data Compression Systems		
First Named Inventor/Applicant Name:	James J. FALLON		
Customer Number:	26111		
Filer:	Michael V. Messinger/William Flanigen		
Filer Authorized By:	Michael V. Messinger		
Attorney Docket Number:	2855.005000C		
Receipt Date:	30-OCT-2015		
Filing Date:	06-OCT-2015		
Time Stamp:	12:40:58		
Application Type:	Utility under 35 USC 111(a)		

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		2855005000C IDS.pdf	7235704	ves	42
'		2033003000C_ib3.pai	3efc0a11a80a41209fccaaa6aec4c00e7c514 6d0	· '	72

	Multipart Description/PDF files in .zip description					
	Document Des	escription Start			ind	
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2	Information Disclosure Statement (IDS)	2855005000C_IDS_PTOSB08b.	17139994	no	105	
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Information:						
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3	Non Patent Literature	NPL874_NFOA_14733565_101 92015.pdf	261477	no	8	
		92015.pdi	891d61fc74891f4d50b8722559e42c42ca82 9e51			
Warnings:						
Information:						
4	Non Patent Literature	NPL947_Apple_Complaint_100 65015.pdf	181764	no	17	
		03013.pai	ea4cd2ae5e82a12aeb7f67bcfde6e28227a7 94f5			
Warnings:						
Information:						
5	Non Patent Literature	NPL948_Microsoft_Docket_His tory_10282015.pdf	2073284	no	5	
		tory_10202013.pdf	15aef45ed74ac2be02b2233561cee3238e3 e37c2			
Warnings:						
Information:						
6	Non Patent Literature	NPL949_Actian_Docket_10282 015.pdf	8619581	no	19	
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7	Non Patent Literature	NPL950_Dropbox_Docket_102 82015.pdf	1879056	no	4	
		62013.pui	c47732461c616e23d0a6c96afdffa28721f17 74a			
Warnings:						
Information:						
8	Non Patent Literature	NPL951_Echostar_Docket_102 82015.pdf	1395075	no	3	
		02015.pd1	029842bb78d557887776f23f980b5c9483d db538			

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9	Non Patent Literature	NPL952_Riverbed_Docket_102	1248013	no	3
		82015.pdf	5faaa11429fc6252c6500160655f48ea10cd 4664		
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10	Non Patent Literature	NPL953_BMC_Docket_1028201	1189022	no	3
		5.pdf	8338d6599aebe521cb9814dd34ac29ce8ad 5a4a6		
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11	Non Patent Literature	NPL920_Appeal_Decision_950 01533_10292015.pdf	200164	no	16
		01000 <u>-</u> 102020101pg.	3dd3796073008254fc27251e8a512eeaf7ff d4ca		
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12	Non Patent Literature	NPL921_Appeal_Decision_950	219363	no	16
		01581_10292015.pdf	8f0abfb3e462d928a46dd57f24098023d6b bd319		
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13	Non Patent Literature	NPL922_Appeal_Decision_950 01544_10292015.pdf	207179	no	15
		01344_10292013.pdi	de8f25690f526773a369d825298cd68bdb9 9d61a		
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14	Non Patent Literature	NPL954_Oracle_Docket_10282 015.pdf	1504462	no	4
		013.pai	5a5cfdd64211c797c84fee27fd15cb7638b6 a5b4		
Warnings:					
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15	Non Patent Literature	NPL955_SAP_America_Docket	2054469	no	5
		_10282015.pdf	672bf2b09bc6f81cf9921f112c480893e007f 4b2		
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Information:					
16	Non Patent Literature	NPL956_Teradata_10282015.	2119011	no	5
		pdf	4cc6119b9a1fe5785cd1cabe29545bda856 50205		
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Information:					
17	Non Patent Literature	NPL958_File_Wrapper_145772	24857659	no	346
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18	Non Patent Literature	NPL957_Apple_Docket_10282	290503 no		2
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Warnings:					
Information:	:				
		Total Files Size (in bytes)	72	675780	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

MICHAEL V. MESSINGER DIRECTOR (202) 772-8667 MIKEM@SKGF.COM



October 30, 2015

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Confirmation No. 3403 Art Unit 2668 Attn: Mail Stop Amendment

Re:

U.S. Utility Patent Application

Application No. 14/876,276; Filing Date: October 6, 2015

For: Video Data Compression Systems

Inventors: FALLON et al. Our Ref: 2855.005000C

#### Commissioner:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO/SB/08a (32 sheets) listing 651 documents (US1-US624 and FP1-FP27);
- 3. Form PTO/SB/08b (105 sheets) listing 962 documents (NPL1-NPL962); and
- 4. Copies of cited documents (NPL874, NPL920-NPL922, and NPL947-NPL958).

## The above-listed documents are filed electronically through EFS-Web.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

Sterne, Kessiør, Goldstein & Fox p.l.l.c.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

MVM/MRM/wcf Enclosures

2118585 1.DOCX

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: FALLON et al.

Applicant: Realtime Data, LLC

Application No.: 14/876,276 Filing Date: October 6, 2015

Title: Video Data Compression Systems

Confirmation No.: 3403

Art Unit: 2668

Examiner: To Be Assigned

Atty. Docket: 2855.005000C

## Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

## Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No. 6,604,158 (Control No. 95/000,486)	Inter Partes Reexamination Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent No. 7,321,937 (Control No. 95/000,466)	Inter Partes Reexamination Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent No. 6,604,158 (Control No. 95/000,453)	Terminated
Ex Parte Reexamination of U.S. Patent No. 6,601,104 (Control No. 90/009,428)	Ex Parte Reexamination Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent No. 7,378,992 (Control No. 95/000,478)	Inter Partes Reexamination Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent No. 6,624,761 (Control No. 95/000,464)	Inter Partes Reexamination Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No. 7,161,506 (Control No. 95/000,479)	Inter Partes Reexamination Certificate issued 05/22/2012
Inter Partes Reexamination of U.S. Patent No. 7,714,747 (Control No. 95/001,517)	Appeal to the Court of Appeals for the Federal Circuit dismissed 6/4/2015

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,417,568 (Control No. 95/001,533)	10/29/2015
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,777,651 (Control No. 95/001,581)	10/29/2015
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,400,274 (Control No. 95/001,544)	10/29/2015

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,321,937 (Control No. 95/001,922)	Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,604,158 (Control No. 95/001,923)	Certificate issued 04/17/2015
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,352,300 (Control No. 95/001,924)	Certificate issued 08/04/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,395,345 (Control No. 95/001,925)	Certificate issued 11/03/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,161,506 (Control No. 95/001,926)	Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,415,530 (Control No. 95/001,927)	Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,378,992 (Control No. 95/001,928)	Certificate issued 01/08/2014

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to reexaminations are listed as documents NPL670-NPL840 and NPL875-NPL922.

## Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

	Status
Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	
	Dismissed
No. 6:08-cv-00144-LED (E.D. Texas)	

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York) (transferred from E.D. Texas; No. 6:09-cv-00327-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. III.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2

Atty. Dkt. No. 2855.005000C

9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Appeal Terminated
11	Realtime Data, LLC d/b/a IXO v. Microsoft Corporation, et al., No. 4:14-cv-00827 (E.D. Texas)	Dismissed May 1, 2015
12	Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., No. 6:15-cv-00463 (E.D. Texas)	Amended Complaints for Patent Infringement filed September 14, 2015
13	Realtime Data, LLC d/b/a IXO v. Dropbox, Inc., No. 6:15-cv-00465 (E.D. Texas)	Consolidated with Case No. 12
14	Realtime Data, LLC d/b/a IXO v. Echostar Corporation, et al., No. 6:15-cv-00466 (E.D. Texas)	Consolidated with Case No. 12
15	Realtime Data, LLC d/b/a IXO v. Riverbed Technology, Inc., et al., No. 6:15-cv-00468 (E.D. Texas)	Consolidated with Case No. 12
16	Realtime Data, LLC d/b/a IXO v. BMC Software, Inc., No. 6:15-cv-00464 (E.D. Texas)	Terminated October 5, 2015
17	Realtime Data, LLC d/b/a IXO v. Oracle America, Inc., et al., No. 6:15-cv-00467 (E.D. Texas)	Consolidated with Case No. 12
18	Realtime Data, LLC d/b/a IXO v. SAP America, Inc., et al., No. 6:15-cv-00469 (E.D. Texas)	Consolidated with Case No. 12
19	Realtime Data, LLC d/b/a IXO v. Teradata Corporation, et al., No. 6:15-cv-00470 (E.D. Texas)	Consolidated with Case No. 12
20	Realtime Data, LLC d/b/a IXO v. Apple, Inc., No. 6:15-cv-00885 (E.D. Texas)	Complaint filed October 6, 2015

Court dockets for litigations are submitted herewith as documents NPL431, NPL566-572, and NPL948-NPL957.

## Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Atty. Dkt. No. 2855.005000C

Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Concise explanations of the relevance of the non-English language documents appear below in accordance with 37 C.F.R. § 1.98(a)(3).

Document **FP1** (DE 4127518) is in the German language. An English-language abstract is as follows:

The magnetic disc storage device is connected to a host processor (5) and contains at least one magnetic disc for the storage of data with system startup data in a startup data region, a buffer memory (2) for data to be transferred from the disc/host computer and a data communication device (1). The data communication device transfers the startup data from the startup data region into the buffer memory at the time of system startup. The storage device can be used as an external memory device. ADVANTAGE - Enables very short startup times to be achieved for memory systems.

Document FP12 (JP 6051989) is in the Japanese language. An English-language abstract is as follows:

PURPOSE: To perform the fast load processing of an operating system without performing the read processing of volume information for the whole device in spite of the presence/absence of a restore command in the initial load processing of the operating system.

Atty. Dkt. No. 2855,005000C

CONSTITUTION: This system is provided with the device name instruction means 2 of a system storage file volume which instructs a volume in which a system storage file is stored in a device name by a REST command to instruct the generation of a system residence volume, the acquiring means 3 of the correspondence table of the device name with a channel number, a channel number acquiring means 4 to acquire the channel number from the device name of the volume, a restore processing means 5 which performs the generation processing of the system residence volume based on the device name and the channel number, and a device name/channel number correspondence table 6.

Document **FP13** (JP 9188009) is in the Japanese language. An English-language abstract is as follows:

PROBLEM TO BE SOLVED: To provide a printer capable of enhancing a compression ratio by electing a compression method fitted to data from a plurality of compression methods to perform compression and the data compressing method in the printer. SOLUTION: A laser beam printer is equipped with an ROM 4 storing a plurality of preset compression methods and a CPU 3 having a data kind discriminating function analyzing the command of received data and discriminating the kind of data on the basis of the analytical result, a compression method selecting function selecting the compression method fitted to the kind of the discriminated data from a plurality of the compression methods stored in the ROM 4 and data compressing function compressing the data on the basis of the selected compression method.

Document **FP14** (JP 11149376) is in the Japanese language. An English-language abstract is as follows:

PROBLEM TO BE SOLVED: To provide a more flexible software development environment by realizing the same function with a circuit mounting an Initial Program Loader ROM in circuit constitution of a smaller scale.

SOLUTION: A BOOT loader circuit is provided with a BOOT detection part 4 for detecting whether a system becomes a BOOT mode or not, an address decoder 5 for generating a selection signal for each device, switch parts 6 and 7 for selecting ROM and the external

Atty. Dkt. No. 2855.005000C

input interface and a CPU stop control part 8 for instructing the stop of CPU various conditions.

Document **FP18** (WO 95/29437 A1) is in the Japanese language. An English-language abstract is as follows:

A differential value and compressibility calculating means (76) of a data processing means (71) of a data transmitter calculates the actual differential value and the actual compressibility based on the data length of uncompressed data received from a data outputting source (2) through an uncompressed data receiving means (74) and the data length of compressed data from a data compressing circuit (67). When the actual differential value is equal to or larger than a set differential value alpha, at the same time, the actual compressibility is equal to or larger than a set compressibility beta, the means (76) transmits the compressed data to a destination (3) through a transfert-requested data outputting means (79), and, in the other cases, transmits the uncompressed data to the destination (3). Therefore, such a phenomenon that the area occupied by compressed data in the memory area in the destination (3) is almost equal to the area occupied by uncompressed data in the memory area can be prevented, and useless data expansion can be avoided at the destination (3).

Document **FP27** (JP 04-241681) is in the Japanese language. An English-language abstract is as follows:

PURPOSE: To provide a means which can make optimum compression on data of any kinds of data pattern and can improve the data compressing efficiency.

CONSTITUTION: At the time of storing data, the data are compressed by means of compression circuits 11 and 12 of plural data compressing systems and counted results of the byte numbers of the compressed data are compared with each other after the byte numbers are counted. Then the compressed data of the smallest amount are selected and stored in a storing section 2 after adding a code indicating the compressing system. At the time of reading out the recorded data, the data are restored to the original data by selecting

the corresponding restoration circuit 31 or 32 in accordance with the added code indicating the compressing system.

Copies of documents NPL874, NPL920-NPL922, and NPL948-NPL958 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and patent application publications cited as documents US1-US624 on the attached IDS Forms are submitted.

Additionally, copies of unpublished U.S. applications NPL959-NPL962, cited on the attached IDS Forms, are not provided in accordance with the U.S. Patent and Trademark Office Official Gazette notice of October 19, 2004, which states: "the requirement in 37 C.F.R. § 1.98(a)(2)(iii) for a legible copy of the specification, including the claims, and drawings of each cited pending unpublished U.S. patent application (or portion of the application which caused it to be listed) is sua sponte waived where the cited pending application is stored in the USPTO's IFW system."

Copies of documents FP1-FP27, NPL1-NPL873, and NPL875-NPL919, and NPL923-NPL947 were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application Nos. 14/733,565, filed June 8, 2015 (now pending); 14/577,286, filed December 19, 2014 (now abandoned); 14/134,933, filed December 19, 2013 (now U.S. Patent No. 8,929,442); 14/033,245, filed September 20, 2013 (now U.S. Patent No. 8,934,535); 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and/or 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046), which are relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

Applicant submits herewith actions from co-pending, commonly assigned U.S. Patent Applications as documents NPL573-NPL658 and NPL841-NPL874. The identification of these actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 14/733,565, filed June 8, 2015 (now pending); 14/577,286, filed December 19,

Atty. Dkt. No. 2855.005000C

2014 (now abandoned); 14/134,933, filed December 19, 2013 (now U.S. Patent No. 8,929,442); 14/033,245, filed September 20, 2013 (now U.S. Patent No. 8,934,535); 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046) in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michiel V. Messinger Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

October 30, 2015

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	14/876,276	
INFORMATION DISCLOSURE	Filing Date	October 6, 2015	
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)	Art Unit	2668	
(Cot as many sheets as needssary)	Examiner Name	To Be Assigned	
Sheet 1 of 32	Attorney Docket Number	2855.005000C	

			U.S. PATEN	T DOCUMENTS	
	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	US1	3,394,352	07-23-1968	Wernikoff et al.	
	US2	3,490,690	01-20-1970	Apple et al.	
	US3	4,021,782	05-03-1977	Hoerning	
	US4	4,032,893	06-28-1977	Moran	
	US5	4,054,951	10-18-1977	Jackson et al.	
	US6	4,127,518	11-28-1978	Coy et al.	
	US7	4,302,775	11-24-1981	Widergren et al.	
	US8	4,325,085	04-13-1982	Gooch	
	US9	4,360,840	11-23-1982	Wolfrun et al.	
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	US13	4,494,108	01-15-1985	Langdon, Jr. et al.	
	US14	4,499,499	02-12-1985	Brickman et al.	
	US15	4,574,351	03-04-1986	Dang et al.	
	US16	4,593,324	06-03-1986	Ohkubo et al.	
	US17	4,626,829	12-02-1986	Hauck	
	US18	4,646,061	02-24-1987	Bledsoe	
******	US19	4,682,150	07-21-1987	Mathes et al.	
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	T	Foreign Patent Document		Pages, Columns, Lines, Where		
Examiner initials*	Cite No.1	Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP1	DE 4127518	02-27-1992	Tokico Ltd		X
	FP2	EP 0 164677	12-18-1985	Texas Instruments Inc		ļ
	FP3	EP 0 185098	06-25-1986	Hitachi Ltd		ļ
	FP4	EP 0283798	09-28-1988	International Business Machines Corporation		<u> </u>
	FP5	EP 0405572	01-02-1991	Fujitsu Limited		<u> </u>
	FP6	EP 0493130	07-01-1992	Canon Kabushiki Kaisha		
	FP7	EP 0587437	03-16-1994	International Business Machines Corporation		<u></u>
	FP8	EP 0595406	05-04-1994	Philips Electronics		1

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached

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Sheet 2 of 32	Attorney Docket Number	2855.005000C

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initials*	No. 1	Number-Kind Code <sup>2 (if</sup> known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	US21	4,729,020	03-01-1988	Schaphorst et al.	
	US22	4,730,348	03-08-1988	MacCrisken	
	US23	4,745,559	05-17-1988	Willis et al.	
	US24	4,748,638	05-31-1988	Freidman et al.	
	US25	4,750,135	06-07-1988	Boilen	
	US26	4,754,351	06-28-1988	Wright	
	US27	4,804,959	02-14-1989	Makansi et al.	
	US28	4,813,040	03-14-1989	Futato	
	US29	4,814,746	03-21-1989	Miller et al.	
	US30	4,862,167	08-29-1989	Copeland, III	
	US31	4,866,601	09-12-1989	DuLac et al.	
	US32	4,870,415	09-26-1989	Van Maren et al.	
	US33	4,872,009	10-03-1989	Tsukiyama et al.	
	US34	4,876,541	10-24-1989	Storer	
	US35	4,888,812	12-19-1989	Dinan et al.	
	US36	4,890,282	12-26-1989	Lambert et al.	
	US37	4,897,717	01-30-1990	Hamilton et al.	
	US38	4,906,991	03-06-1990	Fiala et al.	
	US39	4,906,995	03-06-1990	Swanson	
	US40	4,929,946	05-29-1990	O'Brien et al.	

Examiner initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	١,
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD- YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	To
	FP9	EP 0718751	06-26-1996	International Business Machines Corporation		1
	FP10	EP 0 928 070 A2	07-07-1999	Unwired Planet, Inc.		<u> </u>
	FP11	GB 2162025	01-22-1986	King Reginald Alfred		1
	FP12	JP 6051989	02-25-1994	NEC Corp		X
	FP13	JP 9188009	07-22-1997	Canon Inc		X
	FP14	JP 11149376	06-02-1999	Toyo Commun Equip Co. Ltd		X
	FP15	WO 9414273	06-23-1994	Voxson International Pty Ltd		<u> </u>
	FP16	WO 9429852	12-22-1994	Maxtor Corp		

Date Considered **Examiner Signature** 

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INFORMATION DISCLOSURE	Filing Date	October 6, 2015	
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)	Art Unit	2668	
	Examiner Name	To Be Assigned	
Sheet 3 of 32	Attorney Docket Number	2855,005000C	

566			U.S. PATEN	IT DOCUMENTS	
***************************************	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Examiner initials*	No.1	Number-Kind Code <sup>2 (if</sup> known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appea
	US41	4,953,324	09-04-1990	Hermann	
	US42	4,956,808	09-11-1990	Aakre et al.	
	US43	4,965,675	10-23-1990	Hori et al.	
	US44	4,988,998	01-29-1991	O'Brien	
	US45	5,003,307	03-26-1991	Whiting et al.	
	US46	5,016,009	05-14-1991	Whiting et al.	
	US47	5,027,376	06-25-1991	Freidman et al.	
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	US49	5,045,848	09-03-1991	Fascenda	
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	US51	5,046,027	09-03-1991	Taaffe et al.	
	US52	5,049,881	09-17-1991	Gibson et al.	
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	US54	5,097,261	03-17-1992	Langdon, Jr. et al.	
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	US57	5,109,451	04-28-1992	Aono et al.	
	US58	5,113,522	05-12-1992	Dinwiddie, Jr. et al.	3.000
	US59	5,115,309	05-19-1992	Hang	
	US60	5,121,342	06-09-1992	Szymborski	\$ 10000000 000 000000000000000000000000

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Examiner initials*		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
	Cite No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sub>0</sub>
	FP17	WO 9502873	01-26-1995	Philips Electronics		<u> </u>
	FP18	WO 95/29437 A1	11-02-1995	Sony Corp		X
	FP19	WO 9748212	12-18-1997	Nokia Telecommunications		<u> </u>
	FP20	WO9839699 A2	09-11-1998	Intelligent Compression Technologies		<u> </u>
	FP21	WO 9908186	02-18-1999	Macronix International Co, Ltd		L
	FP22	WO0036754 A1	06-22-2000	Microsoft Corporation		<u></u>
	FP23	WO 01/057642	08-09-2001	Realtime Data LLC		
	FP24	WO 01/057659	08-09-2001	Realtime Data LLC		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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	Filing Date	October 6, 2015	
	First Named Inventor	James J. FALLON	
	Art Unit	2668	
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Sheet 4 of 32	Attorney Docket Number	2855.005000C	

			U.S. PATEN	NT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initials*	No <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appea
	US61	5,126,739	06-30-1992	Whiting et al.	
	US62	5,128,963	07-07-1992	Akagiri	
	US63	5,132,992	07-21-1992	Yurt et al.	
i	US64	5,146,221	09-08-1992	Whiting et al.	
3	US65	5,150,430	09-22-1992	Chu	
	US66	5,155,484	10-13-1992	Chambers, IV	
	US67	5,159,336	10-27-1992	Rabin et al.	
	US68	5,167,034	11-24-1992	MacLean, Jr. et al.	
:	US69	5,175,543	12-29-1992	Lantz	
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	US71	5,187,793	02-16-1993	Keith et al.	
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- 1814. 	US73	5,204,756	04-20-1993	Chevion et al.	
	US74	5,209,220	05-11-1993	Hiyama et al.	
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1 1	US76	5,226,176	07-06-1993	Westaway et al.	
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Examiner initials*		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where	
	Cite No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	Т6
	FP25	WO 01/63772	08-30-2001	Physical Optics Corporation		
	FP26	WO 02/39591	05-16-2002	Realtime Data LLC		
	FP27	JP 04-241681	08-28-1992	Fujitsu Limited		X
Secretary St. 1988						

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)	Art Unit	2668	
A. 1:	Examiner Name	To Be Assigned	
Sheet 5 of 32	Attorney Docket Number	2855.005000C	

en B			U.S. PATEN	NT DOCUMENTS	
Examiner	Cite	Cite Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initials*	No.1	Number-Kind Code <sup>2 (if</sup> known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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	US85	5,249,053	09-28-1993	Jain	
	US86	5,263,168	11-16-1993	Toms et al.	
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	US88	5,270,832	12-14-1993	Balkanski et al.	
	US89	5,280,600	01-18-1994	Van Maren et al.	
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	US97	5,331,425	07-19-1994	Ozaki et al.	
	US98	5,341,440	08-23-1994	Earl et al.	
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Examiner initials* Cite		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where	e			
	Cite No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Sheet 6 of 32	Attorney Docket Number	2855.005000C

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initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	US101	5,354,315	10-11-1994	Armstrong	
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	US103	5,357,614	10-18-1994	Pattisam et al.	
	US104	5,367,629	11-22-1994	Chu et al.	
	US105	5,373,290	12-13-1994	Lempel et al.	
	US106	5,374,916	12-20-1994	Chu	
	US107	5,379,036	01-03-1995	Storer	
	US108	5,379,757	01-10-1995	Hiyama et al.	
	US109	5,381,145	01-10-1995	Allen et al.	
	US110	5,389,922	02-14-1995	Seroussi et al.	
	US111	5,394,534	02-28-1995	Kulakowski et al.	
	US112	5,396,228	03-07-1995	Garahi	
	US113	5,400,401	03-21-1995	Wasilewski et al.	
	US114	5,403,639	04-04-1995	Belsan et al.	
	US115	5,406,278	04-11-1995	Graybill et al.	
	US116	5,406,279	04-11-1995	Anderson et al.	
	US117	5,410,671	04-25-1995	Elgamal et al.	
	US118	5,412,384	05-02-1995	Chang et al.	
	US119	5,414,850	05-09-1995	Whiting	
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e		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where			
Examiner initials* Cite No.1		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY			Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	Т6
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Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/876,276
INFORMATION DISCLOSURE	Filing Date	October 6, 2015
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	James J. FALLON
	Art Unit	2668
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Sheet 7 of 32	Attorney Docket Number	2855.005000C

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		Art Unit	2668	
		Examiner Name	To Be Assigned	
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Examiner initials*	Cite No. 1	Cite No. 1 Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear				
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	Art Unit	2668
	Examiner Name	To Be Assigned
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INFORMATION DISCLOSURE	Filing Date	October 6, 2015	
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	Art Unit	2668	
(Use as many sheets as necessary)	Examiner Name	To Be Assigned	
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г		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where		
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Substitute for form 1449/PTO	Complete if Known					
	Application Number	14/876,276				
INFORMATION DISCLOSURE	Filing Date	October 6, 2015				
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON				
(Use as many sheets as necessary)	Art Unit	2668				
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	Application Number	14/876,276
INFORMATION DISCLOSURE	Filing Date	October 6, 2015
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2668
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STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
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STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
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STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
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		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where									
Examiner initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	Τρ								
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Con	iplete if Known
	Application Number	14/876,276
INFORMATION DISCLOSURE	Filing Date	October 6, 2015
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2668
(ese as many eness at necessary)	Examiner Name	To Be Assigned
Sheet 30 of 32	Attorney Docket Number	2855.005000C

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	US581	2006/0181442 A1	08-17-2006	Fallon	
	US582	2006/0184687 A1	08-17-2006	Fallon	
	US583	2006/0184696 A1	08-17-2006	Fallon	
	US584	2006/0190644 A1	08-24-2006	Fallon	
	US585	2006/0195601 A1	08-31-2006	Fallon	
	US586	2007/0043939 A1	02-22-2007	Fallon et al.	
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	US590	2007/0083746 A1	04-12-2007	Fallon et al.	
	US591	2007/0096954 A1	05-03-2007	Boldt et al.	
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	US595	2007/0174209 A1	07-26-2007	Fallon	
	US596	2008/0232457 A1	09-25-2008	Fallon et al.	
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	US598	2009/0154545 A1	06-18-2009	Fallon et al.	
	US599	2009/0287839 A1	11-19-2009	Fallon et al.	
	US600	2010/0011012 A1	01-14-2010	Rawson	

		Foreign Patent Document	Publication	N	Pages, Columns, Lines, Where	
Examiner initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	т6

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. There office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Com	plete if Known
	Application Number	14/876,276
INFORMATION DISCLOSURE	Filing Date	October 6, 2015
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2668
(000 10 1000)	Examiner Name	To Be Assigned
Sheet 31 of 32	Attorney Docket Number	2855.005000C

			U.S. PATEN	IT DOCUMENTS	<u>, , , , , , , , , , , , , , , , , , , </u>
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appea
	US601	2010/0316114 A1	12-16-2010	Fallon et al.	
	US602	2010/0318684 A1	12-16-2010	Fallon	
	US603	2010/0332700 A1	12-30-2010	Fallon	
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	US609	2011/0285559 A1	11-24-2011	Fallon	
	US610	2012/0194362 A1	08-02-2012	Fallon et al.	
***************************************	US611	2012/0239921 A1	09-20-2012	Fallon	
	US612	2014/0022098 A1	01-23-2014	Fallon	
	US613	2014/0022099 A1	01-23-2014	Fallon et al.	
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	US620	2015/0009051 A1	01-08-2015	Fallon	

		F	OREIGN PAT	TENT DOCUMENTS		
_		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner initials*	Cite No. 1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. There office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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				First Named Inventor	James J. I		
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		Document Number	U.S. PATE	NT DOCUMENTS	7		÷.
Examiner initials*	Cite No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		ges, Columns, Lines, Where assages or Relevant Figures Appe	ea
	US621	2015/0012507 A1	01-08-2015	Fallon			****
	US622	2015/0113182 A1	04-23-2015	Fallon			
	US623	2015/0268969 A1	09-24-2015	Fallon et al.			
	US624	2015/0270849 A1	09-24-2015	Fallon			****
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initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -I Code <sup>5</sup> (if known)	Cind Date MM-DD-YYYY	Applicant of Cited Docur	nent	Relevant Passages or Relevant Figures Appear	,
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Examiner Signature

Date Considered

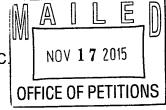


# UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

Alexandria, VA 22313-1450 www.uspto.gov

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON DC 20005



Doc Code: TRACK1.GRANT

	Prior	n Granting Request for ritized Examination rck I or After RCE)	Application No.: 14/876,276								
1.	THE R	EQUEST FILED10/6/15	IS <b>GRANTED</b> .								
2.	A. B.	for an original nonprovisiona for an application undergoin	requirements for prioritized examination I application (Track I). g continued examination (RCE). Indergo prioritized examination. The application will be								
۷.			course of prosecution until one of the following occurs:								
	Α.	filing a <b>petition for extension o</b>	f time to extend the time period for filing a reply;								
	В.	filing an amendment to amend	the application to contain more than four independent								
		claims, more than thirty total c	claims, or a multiple dependent claim;								
	C.	filing a <b>request for continued e</b>	xamination;								
	D.	filing a notice of appeal;									
	E.	filing a request for suspension of	action;								
	F.	mailing of a notice of allowance;									
	G.	mailing of a final Office action;									
	' H.	completion of examination as de	fined in 37 CFR 41.102; or								
	1.	abandonment of the application.									
	Telephone inquiries with regard to this decision should be directed to Cheryl Gibson-Baylor at (571)272-3213, Office of Petitions. In his/her absence, calls may be directed to Brian W. Brown, (571)272-5338.										
		bson-Baylor bson-Baylor/ e]	Petitions Paralegal Specialist (Title)								

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 3403

Realtime Data LLC Art Unit: 2482

Appl. No.: 14/876,276 Examiner: To Be Assigned Filed: October 6, 2015 Atty. Docket: 2855.005000C

For: Video Data Compression Systems

# Preliminary Amendment Under 37 C.F.R. § 1.115

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In advance of prosecution, Applicant respectfully requests this Application to be amended as directed herein.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.

## Amendments to the Specification

Applicant respectfully requests ¶ [0001] of the Specification as filed on
 October 6, 2015 be amended as follows:

[0001] This application is a continuation of United States Patent Application No. 14/733,565, filed on June 8, 2015, which is a continuation of United States Patent Application No. 14/577,286, filed on December 19, 2014, now abandoned, which is a continuation of United States Patent Application No. 14/134,933, filed on December 19, 2013, now U.S. Patent No. 8,929,442, which is a continuation of United States Patent Application No. 14/033,245, filed on September 20, 2013, now U.S. Patent No. 8,934,535, which is a continuation of United States Patent Application No. 13/154,239, filed on June 6, 2011, now U.S. Patent No. 8,553,759, which is a continuation of United States Patent Application No. 12/123,081, filed on May 19, 2008, now U.S. Patent No. 8,073,047, which is a continuation of United States Patent Application No. 10/076,013, filed on February 13, 2002, now U.S. Patent No. 7,386,046, which claims the benefit of United States Provisional Application No. 60/268,394, filed on February 13, 2001, each of which is fully incorporated herein by reference.

# Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application.

(Currently Amended) A system for compressing video data, comprising: 1.

a plurality of different asymmetric data compression encoders algorithms,

wherein a first asymmetric data compression encoder algorithm of the plurality of

different asymmetric data compression encoders algorithms is configured to compress

data at a higher data compression rate than a second asymmetric data compression

encoder algorithm of the plurality of different asymmetric data compression encoders

algorithms, wherein compression rate is measured in bits per second; and

one or more processors configured to:

determine one or more data parameters from one or more data blocks

containing video data, at least one of the one or more data parameters relating to a

throughput of a communications channel; and

select one or more asymmetric data compression encoders algorithms

from among the plurality of different asymmetric data compression encoders algorithms

based upon, at least in part, the determined one or more data parameters.

2. (Currently Amended) The system of claim 1 wherein at least one of the

plurality of different asymmetric data compression encoders algorithms is an arithmetic

encoder.

Realtime Data LLC Appl. No. 14/876,276

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3. (Original) The system of claim 1, wherein the throughput of the

communications channel comprises:

an actual throughput of the communications channel.

4. (Original) The system of claim 1, wherein the throughput of the

communications channel comprises:

an estimated throughput of the communications channel.

5. (Original) The system of claim 1, wherein the throughput of the

communications channel comprises:

an expected throughput of the communications channel.

6. (Currently Amended) The system of claim 1, wherein the one or more

different asymmetric data compression encoders algorithms are configured to compress

the one or more data blocks containing video data for different data transmission rates to

produce a plurality of compressed data blocks.

7. (Currently Amended) The system of claim 1, wherein at least one of the

plurality of different asymmetric data compression encoders algorithms comprises:

a lossless data compression encoder algorithm.

8. (Original) The system of claim 1, wherein at least one of the one or

more data parameters comprises:

a resolution of the one or more data blocks containing video data.

9. (Original) The system of claim 1, wherein at least one of the one or more data parameters comprises:

a data transmission rate of the one or more data blocks containing video data.

10. (Original) The system of claim 1, wherein at least one of the one or more data parameters comprises:

an attribute or a value related to a format or a syntax of video data contained in the one or more data blocks containing video data.

11. (Currently Amended) The system of claim 1, wherein the selected one or more asymmetric data compression encoders algorithms comprise:

a content-dependent data compression encoder algorithm.

12. (Currently Amended) The system of claim 11, wherein the contentdependent data compression encoder algorithm comprises:

an arithmetic encoder algorithm.

13. (Currently Amended) The system of claim 1, wherein the selected one or more asymmetric data compression encoders <u>algorithms</u> are configured to perform compression in real-time or substantially real-time.

Realtime Data LLC Appl. No. 14/876,276

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14. (Original) The system of claim 1, wherein the communications

channel comprises:

a distributed network.

15. (Original) The system of claim 14, wherein the distributed network

comprises:

the Internet.

16. (Currently Amended) The system of claim 1, wherein the selected one or

more asymmetric data compression encoders algorithms are utilized to compress the one

or more data blocks containing video data to create one or more compressed data blocks,

and

wherein a descriptor is associated with the one or more compressed data blocks

that indicates the selected one or more asymmetric data compression encoders

algorithms.

17. (Currently Amended) The system of claim 1, wherein the selected one or

more asymmetric data compression encoders algorithms are utilized to compress the one

or more data blocks containing video data to create one or more compressed data blocks,

and

wherein a descriptor indicating the selected one or more asymmetric data

compression eneoders algorithms is included with the one or more compressed data

blocks.

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18. (Original) The system of claim 1, wherein at least one of the one or more data parameters comprises:

a video data profile.

19. (Currently Amended) A system for compressing video data, comprising:

a plurality of data compression encoders algorithms;

wherein at least one of the plurality of data compression encoders

algorithms comprises an asymmetric data compression encoder algorithm, and

wherein at least one of the plurality of data compression encoders

algorithms comprises an arithmetic data compression encoder algorithm,

wherein a first data compression encoder algorithm of the plurality of data

compression encoders algorithms is configured to compress more bits per second of data

than a second data compression encoder algorithm of the plurality of data compression

encoders algorithms; and

one or more processors configured to:

determine one or more data parameters from one or more data blocks

containing video data, at least one of the one or more data parameters relating to a

throughput of a communications channel; and

select one or more data compression encoders algorithms from among the

plurality of data compression encoders algorithms based upon, at least in part, the

determined one or more data parameters.

20. (Original) The system of claim 19, wherein the throughput of the communications channel comprises:

an actual throughput of the communications channel.

21. (Original) The system of claim 19, wherein the throughput of the communications channel comprises:

an estimated or expected throughput of the communications channel.

- 22. (Currently Amended) The system of claim 19, wherein the selected one or more data compression encoders algorithms are configured to compress the one or more data blocks containing video data for different data transmission rates to produce a plurality of compressed data blocks.
- 23. (Currently Amended) The system of claim 19, wherein at least one of the plurality of data compression encoders algorithms comprises:

a lossless data compression encoder algorithm.

- 24. (Original) The system of claim 19, wherein at least one of the one or more data parameters are related to a resolution of the one or more data blocks containing video data.
- 25. (Original) The system of claim 19, wherein at least one of the one or more data parameters comprises:

a data transmission rate of the one or more data blocks containing video data.

26. (Original) The system of claim 19, wherein at least one of the one or more data parameters comprises:

an attribute or a value related to a format or a syntax of video data contained in the one or more data blocks containing video data.

- 27. (Currently Amended) The system of claim 19, wherein the selected one or more data compression encoders algorithms perform data compression in real-time or substantially real-time.
- 28. (Original) The system of claim 19, wherein the communications channel comprises:

a distributed network or the Internet.

29. (Currently Amended) The system of claim 19, wherein the one or more data blocks are compressed with the selected the one or more selected data compression encoders algorithms to create one or more compressed data blocks, and

wherein a descriptor is associated with the one or more compressed data blocks that indicates the selected data compression encoder algorithm.

30. (Original) The system of claim 19, wherein at least one of the one or more data parameters comprises:

a video data profile.

## Amendments to the Abstract

Applicant respectfully requests the Abstract of the Disclosure as filed on
 October 6, 2015 be replaced as follows:

A system and method for compressing data is disclosed. The system and method include one or more of asymmetric data compression algorithms. A first asymmetric data compression algorithm of the one or more asymmetric data compression algorithms compresses data at a higher data compression rate than a second asymmetric data compression algorithm of the one or more asymmetric data compression algorithms. The system and method also include one or more processors that determine one or more data parameters from one or more data blocks and select one or more asymmetric data compression algorithms from among the one or more asymmetric data compression algorithms based upon, at least in part, the determined one or more data parameters.

#### Remarks

Upon entry of the foregoing amendment, claims 1-30 are pending in the application, with claims 1 and 19 being the independent claims. Claims 1, 2, 6, 7, 11-13, 16, 17, 19, 22, 23, 27, and 29 are sought to be amended. Applicant reserves the right to prosecute similar or broader claims, with respect to the amended claims, in the future. The Specification and the Abstract of the Disclosure as filed on June 8, 2015 are sought to be replaced. These changes are believed to introduce no new matter, and their entry is respectfully requested.

#### Conclusion

Prompt and favorable consideration of this Preliminary Amendment is respectfully requested. Applicant believes the present application is in condition for allowance. If the Examiner believes, for any reason, that personal communication will expedite prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

Respectfully submitted,

STERNE, KESSYER, GOLDSTEIN & POX P.L.L.C.

Michael V. Messinger Attorney for Applicant

Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934

(202) 371-2600

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: FALLON et al. Confirmation No.; 3403

Applicant: Realtime Data, LLC Art Unit: 2482

Application No.: 14/876,276 Examiner: To Be Assigned

Filing Date: October 6, 2015 Atty. Docket: 2855.005000C

Title: Video Data Compression Systems

# First Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

## Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent No. 6,601,104	Ex Parte Reexamination
(Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012
Inter Partes Reexamination of U.S. Patent No.	Appeal to the Court of
7,714,747 (Control No. 95/001,517)	Appeals for the Federal
	Circuit dismissed 6/4/2015

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,417,568 (Control No. 95/001,533)	10/29/2015
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,777,651 (Control No. 95/001,581)	10/29/2015
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,400,274 (Control No. 95/001,544)	10/29/2015

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,321,937 (Control No. 95/001,922)	Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,604,158 (Control No. 95/001,923)	Certificate issued 04/17/2015
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,352,300 (Control No. 95/001,924)	Certificate issued 08/04/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,395,345 (Control No. 95/001,925)	Certificate issued 11/03/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,161,506 (Control No. 95/001,926)	Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,415,530 (Control No. 95/001,927)	Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,378,992 (Control No. 95/001,928)	Certificate issued 01/08/2014

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08.

# Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case Status			
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	Dismissed		
1	No. 6:08-cv-00144-LED (E.D. Texas)			

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York) (transferred from E.D. Texas; No. 6:09-cv-00327-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. III.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2

Atty. Dkt. No. 2855.005000C

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9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et	Consolidated with
	al. (II), No. 6:10-cv-248 (E.D. Texas)	Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas,	Appeal Terminated
1.0	LLC et al., No. 6:10-cv-00493 (E.D. Texas)	*.*
11	Realtime Data, LLC d/b/a IXO v. Microsoft	Dismissed May 1,
1.1	Corporation, et al., No. 4:14-cv-00827 (E.D. Texas)	2015
	Realtime Data, LLC d/b/a IXO v. Actian Corporation,	Amended
	et al., No. 6:15-cv-00463 (E.D. Texas)	Complaints for
12		Patent Infringement
		filed September 14,
		2015
13	Realtime Data, LLC d/b/a IXO v. Dropbox, Inc., No.	Consolidated with
1.5	6:15-ev-00465 (E.D. Texas)	Case No. 12
14	Realtime Data, LLC d/b/a IXO v. Echostar	Consolidated with
	Corporation, et al., No. 6:15-cv-00466 (E.D. Texas)	Case No. 12
	Realtime Data, LLC d/b/a IXO v. Riverbed	Consolidated with
15	Technology, Inc., et al., No. 6:15-cv-00468 (E.D.	Case No. 12
	Texas)	
16	Realtime Data, LLC d/b/a IXO v. BMC Software, Inc.,	Terminated
	No, 6:15-cv-00464 (E.D. Texas)	October 5, 2015
17	Realtime Data, LLC d/b/a IXO v. Oracle America, Inc.,	Consolidated with
* '	et al., No. 6:15-ev-00467 (E.D. Texas)	Case No. 12
18	Realtime Data, LLC d/b/a IXO v. SAP America, Inc., et	Consolidated with
	al., No. 6:15-cv-00469 (E.D. Texas)	Case No. 12
19	Realtime Data, LLC d/b/a IXO v. Teradata	Consolidated with
	Corporation, et al., No. 6:15-cv-00470 (E.D. Texas)	Case No. 12
20	Realtime Data, LLC d/b/a IXO v. Apple, Inc., No. 6:15-	Complaint filed
	cv-00885 (E.D. Texas)	October 6, 2015

## Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Atty. Dkt. No. 2855.005000C

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Copies of documents NPL1-NPL11 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2)(ii), no copy of the U.S. patent application publication cited as document US1 on the attached IDS Form is submitted.

Additionally, a copy of unpublished U.S. application NPL12, cited on the attached IDS Form, is not provided in accordance with the U.S. Patent and Trademark Office Official Gazette notice of October 19, 2004, which states: "the requirement in 37 C.F.R. § 1.98(a)(2)(iii) for a legible copy of the specification, including the claims, and drawings of each cited pending unpublished U.S. patent application (or portion of the application which caused it to be listed) is sua sponte waived where the cited pending application is stored in the USPTO's IFW system.

Applicant submits herewith an Office Action from the following co-pending, commonly-assigned U.S. Patent Application No.:

Document **NPL11** is a copy of a Final Office Action mailed on November 12, 2015, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/305,692.

The identification of this Office Action is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 14/733,565, filed June 8, 2015 (now pending); 14/577,286, filed December 19, 2014 (now abandoned); 14/134,933, filed December 19, 2013 (now U.S. Patent No. 8,929,442); 14/033,245, filed September 20, 2013 (now U.S. Patent No. 8,934,535); 13/154,239, filed June 6,

Atty. Dkt. No. 2855,005000C

2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046) in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

Sterne, Kessler, Goldstein & Fox p.l.l.c.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

December 11, 2015

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Substitute	for form 14	449/PTO		Complete if Known			
1	FIRST	SUPPLEMEN'	TAL	Application Number	14/876,2		
		ATION DISCL		Filing Date	October		
				First Named Inventor		FALLON	<del>-</del>
STA		IENT BY APPL		Art Unit	2482		
	(Us	e as many sheets as necessary,	<i>)</i>	Examiner Name	To Be A		
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			U.S. PATE	NT DOCUMENTS			
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1 Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Substitute for	r form 1449/P	OTO		Complete if Known		
EI	DCTC	IDD	LEMENTAL	Application Number	14/876,276	
				Filing Date	October 6, 2015	
INFORMATION DISCLOSURE				First Named Inventor	James J. FALLON	
STAT	<b>LEME</b>	VT B	Y APPLICANT	Art Unit	2482	
(Use as many sheets as necessary)				Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	2855.005000C	

		Non Patent Literature Documents		
Examiner Initials*	appropriate) title of the tem (book magazine lotting) serial symposium catalog etc			
	NPL1	Plaintiff Realtime Data LLC d/b/a IXO's Opposition to Defendant's Motions to Dismiss Amended Complaints with associated attachments, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed October 19, 2015; 200 pages.	***********	
	NPL2	Realtime Data LLC, d/b/a IXO's Answer to Hewlett-Packard Company and HP Enterprise Services, LLC's Counterclaims to Second Amended Complaint, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed October 19, 2015; 9 pages.		
	NPL3	Realtime Data LLC, d/b/a IXO's Answer to Oracle America, Inc.'s Counterclaims to Second Amended Complaint, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-ev-00463-RWS-JDL (E.D. Texas), filed October 19, 2015; 8 pages.		
	NPL4	Realtime Data LLC, d/b/a IXO's Answer to Teradata Operations, Inc.'s Counterclaims to First Amended Complaint, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed October 19, 2015; 9 pages.		
	NPL5	Defendants SAP America Inc., SyBase, Inc., Hewlett-Packard Company, HP Enterprise Services, LLC, Dell Inc., Echostar Corporation, Hughes Network Systems, LLC, Dropbox, Inc., and Riverbed Technology, Inc.'s Reply Brief on their Motion to Dismiss Amended Complaints, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-ev-00463-RWS-JDL (E.D. Texas), filed October 30, 2015; 15 pages.		
	NPL6	Reply in Support of Defendants Actian Corporation and Pervasive Software Inc.'s Motion to Dismiss First Amended Complaint, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed November 6, 2015; 14 pages.		
	NPL7	Plaintiff Realtime Data, LLC d/b/a IXO's Sur-Reply to SAP Defendants' Motions to Dismiss, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed November 9, 2015; 13 pages.		
	NPL8	Reply in Support of Defendants Actian Corporation and Pervasive Software Inc.'s Motion to Dismiss First Amended Complaint, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15 cv-00463-RWS-JDL (E.D. Texas), filed November 10, 2015; 13 pages.		
NPL9 Plaintiff Realtime Data LLC d/b/a IXO's Sur-Reply to SAP Defendants' Motions to Dismi in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-FJDL (E.D. Texas), filed November 10, 2015; 13 pages.				
	NPL10	Report and Recommendation of United States Magistrate Judge regarding Defendant Dell, Inc.'s Motion to Dismiss Failure to State a Claim pursuant to Fed. R. Civ. P. 12(b)(6), filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed November 30, 2015; 12 pages.		
		NON PATENT LITERATURE DOCUMENTS		
Examiner Signature		Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Substitute for for	m 1449/P	TO		Complete if Known		
FIR	ST SI	(PP	LEMENTAL	Application Number	14/876,276	
				Filing Date	October 6, 2015	
			DISCLOSURE	First Named Inventor	James J. FALLON	
STATI	CME	VT B	Y APPLICANT	Art Unit	2482	
	(Use as m	any she	ets as necessary)	Examiner Name	To Be Assigned	
Sheet	2	of	2	Attorney Docket Number	2855.005000C	

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL11	Copy of Final Office Action for U.S. Appl. No. 14/305,692, mailed November 12, 2015; 10 pages.	
	NPL12	U.S. Patent Application No. 14/936,312, FALLON, "Data Compression Systems and Method," filed November 9, 2015.	

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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Japplicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Electronic Acknowledgement Receipt					
EFS ID:	24340434				
Application Number:	14876276				
International Application Number:					
Confirmation Number:	3403				
Title of Invention:	Video Data Compression Systems				
First Named Inventor/Applicant Name:	James J. FALLON				
Customer Number:	26111				
Filer:	Michael V. Messinger/Ann-Marie Edelin				
Filer Authorized By:	Michael V. Messinger				
Attorney Docket Number:	2855.005000C				
Receipt Date:	11-DEC-2015				
Filing Date:	06-OCT-2015				
Time Stamp:	16:49:22				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

	Submitted with Payment no	
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		2855005000CPAM.pdf	10214164	yes	22
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2	Non Patent Literature	NPL1_Realtime_Opposition_to _Motions_to_Dismiss_Complai		no	200
_		nts_10192015.pdf	20a06510e9b2fe9b53ba09a31817352039f 1d633		
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3	Non Patent Literature	NPL2_Realtime_Answer_to_HP _Counterclaims_10192015.pdf	154461 1c938061f7e85fd47814f05ee924135cb4b5 ba06	no	9
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4	Non Patent Literature	NPL3_Realtime_Answer_to_Or acle_Counterclaims_10192015.	151113	no	8
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5	Non Patent Literature	NPL4_Realtime_Answer_to_Ter adata_Counterclaims_1019201	155156	no	9
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6	Non Patent Literature	NPL5_Reply_Brief_on_Motion_ to_Dismiss_10302015.pdf	214724 4797986b07c7cca2043cbb047fc8f88fb3e8	no	15

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7	Non Patent Literature	NPL6_Reply_in_Support_of_Actian_Motion_to_Dismiss_1106	219229	no	14
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8	Non Patent Literature	NPL7_Realtime_Surreply_to_M otion_to_Dismiss_11092015.	146897	no	13
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

MICHAEL V. MESSINGER DIRECTOR (202) 772-8667 MIKEM@SKGF.COM



December 11, 2015

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Confirmation No. 3403 Art Unit 2482

Re: U.S. Utility Patent Application

Appl. No. 14/876,276; Filing Date: October 6, 2015

For: Video Data Compression Systems

Inventors: FALLON et al. Our Ref: 2855.005000C

#### Commissioner:

Transmitted herewith for appropriate action are the following documents:

- 1. Preliminary Amendment Under 37 C.F.R. § 1.115;
- 2. First Supplemental Information Disclosure Statement;
- 3. Form PTO/SB/08a (1 sheet) listing 1 document (US1);
- 4. Form PTO/SB/08b (2 sheets) listing 12 documents (NPL1-NPL12); and
- 5. Copies of cited documents (NPL1-NPL11).

The above-listed documents are filed electronically through EFS-Web. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency and any additional fees required to continue prosecution or appeal of this application (including issue fee, fees for net addition of claims or forwarding to appeal) or credit any overpayment to our Deposit Account No. 19-0036.

Respectfully submitted,

Sterne Kessler, Goldstein & Før p.l.l.c.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

MVM/MRM/afe/wcf Enclosures

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Fourvalent of Form PTO/SB/08a (07-09)

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Examiner Signature

Date Considered

Substitute for form 1449/PTO	Complete if Known		
SECOND SUPPLEMENTAL	Application Number	14/876,276	
	Filing Date	October 6, 2015	
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON	
STATEMENT BY APPLICANT	Art Unit	2634	
(Use as many sheets as necessary)	Examiner Name	BOCURE, TESFALDET	
Sheet 1 of 3	Attorney Docket Number	2855.005000C	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL1	Defendants' Objections to the Report and Recommendation of Magistrate Judge (ECF No. 184), filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed December 15, 2015; 13 pages.	
	NPL2	Plaintiff Realtime Data LLC's Response to SAP Defendants' Objections to Magistrate Judge Love's Report and Recommendation on Defendants' Motion to Dismiss, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed January 4, 2016; 11 pages.	
	NPL3	Petition for Inter Partes Review of Claim 48 of U.S. Patent No. 7,378,992, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00373 (P.T.A.B.), filed December 22, 2015; 69 pages.	
	NPL4	Petition for Inter Partes Review of Claims 1, 2, 4, 6, 11-16, 18-20, 22 of U.S. Patent No. 8,643,513, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00374 (P.T.A.B.), filed December 22, 2015; 68 pages.	
	NPL5	Petition for Inter Partes Review of Claims 1-2, 4, 10-12, 18-20 of U.S. Patent No. 7,415,530, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00375 (P.T.A.B.), filed December 22, 2015; 62 pages.	
	NPL6	Petition for Inter Partes Review of Claim 24 of U.S. Patent No. 7,415,530, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00376 (P.T.A.B.), filed December 22, 2015; 68 pages.	
	NPL7	Petition for Inter Partes Review of Claims 1-2, 9, 11, 21-22, 24-25 of U.S. Patent No. 9,116,908, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00377 (P.T.A.B.), filed December 22, 2015; 65 pages.	
	NPL8	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00373 (P.T.A.B.), filed December 22, 2015; 102 pages.	
	NPL9	HSU, et al., "Automatic Synthesis of Compression Techniques for Heterogeneous Files," Software - Practice and Experience, Vol. 25, Issue 10, October 1995; pp. 1097-1116.	
	NPL10	STORER, J., Data Compression Methods and Theory, Rockville, MD: Computer Science Press, 1988.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Con	aplete if Known
SECOND SUPPLEMENTAL	Application Number	14/876,276
	Filing Date	October 6, 2015
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON
STATEMENT BY APPLICANT	Art Unit	2634
(Use as many sheets as necessary)	Examiner Name	BOCURE, TESFALDET
Sheet 2 of 3	Attorney Docket Number	2855.005000C

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>		
	NPL11	HUFFMAN, D., "A Method for the Construction of Minimum-Redundancy Codes," Proceedings of the I.R.E., September 1952, pp. 1098-1101.			
	NPL12	ZIV, et al., "A Universal Algorithm for Sequential Data Compression," IEEE Transactions on Information Theory, Vol. IT-23, No. 3, May 1977; 337-343.			
	NPL13	ZIV, et al., "Compression of Individual Sequences via Variable-Rate Coding," IEEE Transactions on Information Theory, Vol. IT-24, No. 5, September 1978; 530-536.			
	First Amended Complaint for Patent Infringement, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas), filed April 11, 2011; 15 pages.				
	NPL15	Joint Motion to Stay All Pending Deadlines Between Plaintiff Realtime Data, LLC d/b/a IXO and Defendant Cellco Partnership d/b/a Verizon Wireless, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas			
	NPL16	Joint Motion for Dismissal with Prejudice of Defendant Cellco Partnership d/b/a Verizon Wireless, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas), filed October 17, 2012; 2 pages.			
	NPL17	Order regarding Joint Motion for Dismissal with Prejudice, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas), filed October 19, 2012; 1 page.			
	NPL18	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00374 (P.T.A.B.), filed December 22, 2015; 118 pages.			
	NPL19	Submission Under 37 C.F.R. 1.114(c) and Preliminary Amendment Under 37 C.F.R. 1.115, filed October 10, 2013, in the prosecution of U.S. Patent Appl. No. 13/154,211; 10 pages.			
	NPL20	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00375 (P.T.A.B.), filed December 28, 2015; 82 pages.			

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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				Con	nşlete if Known
SECOND SUPPLEMENTAL				Application Number	14/876,276
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Sheet 3 of 3				Filing Date	October 6, 2015
				First Named Inventor	James J. FALLON
			SY APPLICANT	Art Unit	2634
			ets as necessary)	Examiner Name	BOCURE, TESFALDET
			3	Attorney Docket Number	2855.005000C

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL21	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00376 (P.T.A.B.), filed December 28, 2015; 86 pages.	
	NPL22	Definition of "bandwidth", Random House Computer & Internet Dictionary, Third Edition, New York: Random House, 1999; p. 45.	
	NPL23	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00377 (P.T.A.B.), filed December 28, 2015; 83 pages.	
	NPL24	Third Party Requester's Comments to Patent Owner's Response of June 25, 2012 Pursuant to 37 C.F.R. 1.947, Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, filed July 25, 2012; 21 pages.	
Defendant Apple, Inc.'s Answer and Affirmative Defenses to Plaintiff's Compl Patent Infringement, filed in Realtime Data, LLC d/b/a IXO v. Apple, Inc., Cas 6:15-cv-RWS-JDL (E.D. Texas), filed December 17, 2015; 10 pages.	Defendant Apple, Inc.'s Answer and Affirmative Defenses to Plaintiff's Complaint for Patent Infringement, filed in Realtime Data, LLC d/b/a IXO v. Apple, Inc., Case No. 6:15-cv-RWS-JDL (E.D. Texas), filed December 17, 2015; 10 pages.		

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Signature	50000 . 11.000	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Electronic Acknowledgement Receipt		
EFS ID:	24589543	
Application Number:	14876276	
International Application Number:		
Confirmation Number:	3403	
Title of Invention:	Video Data Compression Systems	
First Named Inventor/Applicant Name:	James J. FALLON	
Customer Number:	26111	
Filer:	Michael V. Messinger/William Flanigen	
Filer Authorized By:	Michael V. Messinger	
Attorney Docket Number:	2855.005000C	
Receipt Date:	11-JAN-2016	
Filing Date:	06-OCT-2015	
Time Stamp:	17:18:29	
Application Type:	Utility under 35 USC 111(a)	

# **Payment information:**

Submitted with Payment	no
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	Multipart Description/PDF files in .zip description				
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	Miscellaneous Incoming Letter		1	1	
	Transmitta	l Letter	2	8	
	Information Disclosure State	ement (IDS) Form (SB08)	9	12	
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Information:					
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Information:		
	Total Files Size (in bytes)	40007060

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

MICHAEL V. MESSINGER DIRECTOR (202) 772-8667 MIKEM@SKGF.COM



January 11, 2016

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Confirmation No. 3403 Art Unit 2634 Attn: Mail Stop Amendment

Re:

U.S. Utility Patent Application

Application No. 14/876,276; Filing Date: October 6, 2015

For: Video Data Compression Systems

Inventors: FALLON et al. Our Ref: 2855.005000C

#### Commissioner:

Transmitted herewith for appropriate action are the following documents:

- 1. Second Supplemental Information Disclosure Statement;
- 2. Form PTO/SB/08a (1 sheet) listing 1 document (US1);
- 3. Form PTO/SB/08b (3 sheets) listing 25 documents (NPL1-NPL25); and
- 4. Copies of cited documents (NPL1-NPL25).

The above-listed documents are filed electronically through EFS-Web.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Fee payment is provided through online credit card payment. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

MVM/MRM/wef Enclosures

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: FALLON et al. Confirmation No.: 3403

Applicant: Realtime Data, LLC Art Unit: 2634

Application No.: 14/876,276 Examiner: BOCURE, TESFALDET

Filing Date: October 6, 2015 Atty. Docket: 2855.005000C

Title: Video Data Compression Systems

# Second Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

### Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent No. 6,601,104	Ex Parte Reexamination
(Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No. 7,714,747 (Control No. 95/001,517)	Appeal to the Court of Appeals for the Federal Circuit dismissed 6/4/2015
Inter Partes Reexamination of U.S. Patent No. 7,417,568 (Control No. 95/001,533)	Decision on Appeal mailed 10/29/2015
Inter Partes Reexamination of U.S. Patent No. 7,777,651 (Control No. 95/001,581)	Decision on Appeal mailed 10/29/2015
Inter Partes Reexamination of U.S. Patent No. 7,400,274 (Control No. 95/001,544)	Decision on Appeal mailed 10/29/2015

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,321,937 (Control No. 95/001,922)	Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,604,158 (Control No. 95/001,923)	Certificate issued 04/17/2015
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,352,300 (Control No. 95/001,924)	Certificate issued 08/04/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,395,345 (Control No. 95/001,925)	Certificate issued 11/03/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,161,506 (Control No. 95/001,926)	Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,415,530 (Control No. 95/001,927)	Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,378,992 (Control No. 95/001,928)	Certificate issued 01/08/2014

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be

Atty. Dkt. No. 2855,005000C

provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08.

Applicant hereby calls to the attention of the Patent and Trademark Office the following *inter partes* review proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Patent	Status
Oracle America, Inc. v. Realtime Data, LLC,	7,378,992	Petition filed
IPR2016-00373		December 22, 2015
Oracle America, Inc. v. Realtime Data, LLC,	8,643,513	Petition filed
IPR2016-00374		December 22, 2015
Oracle America, Inc. v. Realtime Data, LLC,	7,415,530	Petition filed
IPR2016-00375	**************************************	December 28, 2015
Oracle America, Inc. v. Realtime Data, LLC,	7,415,530	Petition filed
IPR2016-00376		December 28, 2015
Oracle America, Inc. v. Realtime Data, LLC,	9,116,908	Petition filed
IPR2016-00377		December 28, 2015

Applicant invites the Examiner to review the petitions for *inter partes* review and any other papers in the above-identified *inter partes* review proceedings. If the Examiner is unable to obtain copies of papers in any *inter partes* review proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to *inter partes* reviews are listed as documents **US1** and **NPL3-NPL24**.

# Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving

U.S. Patents commonly-owned with the current patent application, the subject matter of which may
be related to the present patent application:

No.	Case	Status
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	Dismissed
1	No. 6:08-cv-00144-LED (E.D. Texas)	

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York) (transferred from E.D. Texas; No. 6:09-cv-00327-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-ev-4486 (N.D. III.)	Dismissed

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6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a LXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et	Consolidated with
7	al. (II), No. 6:10-cv-246 (E.D. Texas)	Case No. 4
	Realtime Data LLC d/b/a IXO v. Thomson Reuters	Consolidated with
8	Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Case No. 2
9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et	Consolidated with
9	al. (II), No. 6:10-cv-248 (E.D. Texas)	Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas,	Appeal Terminated
10	LLC et al., No. 6:10-cv-00493 (E.D. Texas)	
11	Realtime Data, LLC d/b/a IXO v. Microsoft	Dismissed May 1,
	Corporation, et al., No. 4:14-cv-00827 (E.D. Texas)	2015
		Amended
12	Realtime Data, LLC d/b/a IXO v. Actian Corporation,	Complaints for
	et al., No. 6:15-cv-00463 (E.D. Texas)	Patent Infringement
	C. a., 110. 6.12 01 00 103 (15.12. 14.14.13)	filed September 14,
:		2015
13	Realtime Data, LLC d/b/a IXO v. Dropbox, Inc., No.	Consolidated with
	6:15-cv-00465 (E.D. Texas)	Case No. 12
14	Realtime Data, LLC d/b/a IXO v. Echostar	Consolidated with
	Corporation, et al., No. 6:15-cv-00466 (E.D. Texas)	Case No. 12
	Realtime Data, LLC d/b/a IXO v. Riverbed	Consolidated with
15	Technology, Inc., et al., No. 6:15-cv-00468 (E.D.	Case No. 12
	Texas)	
16	Realtime Data, LLC d/b/a IXO v. BMC Software, Inc.,	Terminated
	No. 6:15-cv-00464 (E.D. Texas)	October 5, 2015
17	Realtime Data, LLC d/b/a IXO v. Oracle America, Inc.,	Consolidated with
	et al., No. 6:15-ev-00467 (E.D. Texas)	Case No. 12
18	Realtime Data, LLC d/b/a IXO v. SAP America, Inc., et	Consolidated with
	al., No. 6:15-cv-00469 (E.D. Texas)	Case No. 12
19	Realtime Data, LLC d/b/a IXO v. Teradata	Consolidated with
**	Corporation, et al., No. 6:15-ev-00470 (E.D. Texas)	Case No. 12
20	Realtime Data, LLC d/b/a IXO v. Apple, Inc., No. 6:15-	Complaint filed
	ev-00885 (E.D. Texas)	October 6, 2015

# Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in

Atty. Dkt. No. 2855.005000C

37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Copies of documents **NPL1-NPL25** are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2)(ii), no copy of the U.S. patent cited as document **US1** on the attached IDS Form is submitted.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 14/733,565, filed June 8, 2015 (now pending); 14/577,286, filed December 19, 2014 (now abandoned); 14/134,933, filed December 19, 2013 (now U.S. Patent No. 8,929,442); 14/033,245, filed September 20, 2013 (now U.S. Patent No. 8,934,535); 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No.

Atty. Dkt. No. 2855,005000C

8,073,047); and 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger

Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934

January 11,2016

(202) 371-2600

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
14/876,276	10/06/2015	James J. FALLON	3421.005000C	3403	
26111 7590 01/28/2016 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.			EXAMINER		
1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005		BOCURE, TESFALDET			
			ART UNIT	PAPER NUMBER	
			2634		
			MAIL DATE	DELIVERY MODE	
			01/28/2016	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	<b>Application No.</b> 14/876,276	Applicant(s) FALLON ET AL.		
Office Action Summary	Examiner TESFALDET BOCURE	Art Unit 2634	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondenc	e address	
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).				
Status				
1) Responsive to communication(s) filed on 10/06/2015 and Pre. Amendment of 12/11/2016.  A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on				
2a) This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.			
3) An election was made by the applicant in respo	onse to a restriction requirement s	set forth durin	g the interview on	
; the restriction requirement and election	have been incorporated into this	action.		
4) Since this application is in condition for allowan			o the merits is	
closed in accordance with the practice under E	<i>x parte Quayle</i> , 1935 C.D. 11, 45	i3 O.G. 213.		
Disposition of Claims*				
5) Claim(s) <u>1-30</u> is/are pending in the application.				
5a) Of the above claim(s) is/are withdraw	vn from consideration.			
6) Claim(s) is/are allowed.				
7) Claim(s) <u>1-30</u> is/are rejected.				
8) Claim(s) is/are objected to.				
9) Claim(s) are subject to restriction and/or	election requirement.			
* If any claims have been determined allowable, you may be eli		_	way program at a	
participating intellectual property office for the corresponding ap				
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to PPHfeedback@uspto.c	<u>lo∨</u> .		
Application Papers				
10) The specification is objected to by the Examine	r.			
11)⊠ The drawing(s) filed on <u>10/06/2015</u> is/are: a)⊠	accepted or b) ☐ objected to by	the Examine	r.	
Applicant may not request that any objection to the o	drawing(s) be held in abeyance. See	37 CFR 1.85(	a).	
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is obj	ected to. See 3	37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119				
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).				
Certified copies:				
a) ☐ All b) ☐ Some** c) ☐ None of the:				
1. Certified copies of the priority document	s have been received.			
2. Certified copies of the priority documents have been received in Application No				
3. Copies of the certified copies of the prio	rity documents have been receive	ed in this Nati	ional Stage	
application from the International Bureau (PCT Rule 17.2(a)).				
** See the attached detailed Office action for a list of the certified copies not received.				
Attachment(s)				
1) Notice of References Cited (PTO-892)	3) Interview Summary			
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date 10/30/15, 12/11/15 & 1/11/16.	Paper No(s)/Mail Da 3B/08b) 4) Other:			

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

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#### **DETAILED ACTION**

1. The present application is being examined under the pre-AIA first to invent provisions.

2. This office action (First Action on the Merit) is in response to the application as originally filed on 10/06/2015 and the preliminary amendment filed on 12/11/2015. The pending claims 1-30 are fully examined as shown in the detail action below.

#### Information Disclosure Statement

3. The information disclosure statements (IDSs) submitted on 10/30/2015 (four different communications filed separately on the same date), 12/11/2015 (two different communications filed separately on the same date) and 01/11/2016 (two different communications filed separately on the same date) are in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

Attached with this correspondence are the initialed copies of the IDSs and the noted correspondences regarding the IDSs.

### **Drawings**

4. The drawings were received on 08/08/2015. These drawings are accepted by the Examiner .

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### Specification

5. Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of 50 to 150 words. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

It seems the disclosure is describing the claimed subject matter of the parent application not the claimed and disclosed invention of the instant application, therefore, should be corrected to reflect to the current disclosed invention.

6. The disclosure is objected to because of the following informalities: the parent application S/N 14/577,286 disclosed in¶ [0001], line 2, should be updated as--- now abandoned---.

Appropriate correction is required.

# Claim Objections

7. Claims 1-30 are objected to because of the following informalities: Is the claimed "video data" in claim 1, line 9 and claim 19, line 13, the same as the "video data" claimed in the preamble of the claims? If so, the once in lines 9 and 13 of claims 1 and 19 respectively should be amended to read as---said video data---. Otherwise as

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claimed, it reads that there two deferent videos, the once in the preamble and the once in lines 9 and 13 of claims 1 and 19 respectively. Appropriate correction is required.

Claims 2-18 and 20-30 are inherently objected as being dependent on the objected base claims 1 and 19.

## Claim Rejections - 35 USC § 112

8. The following is a quotation of 35 U.S.C. 112(b):

(b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the inventor or a joint inventor regards as the invention.

The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph: The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

9. Claims 1-30 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the inventor or a joint inventor, or for pre-AIA the applicant regards as the invention.

Claims 1 and 19 are incomplete such that the claimed limitations in both claims calls for selecting one or more data compression algorithms without using the selected algorithm to compress the video data. Examiner suggest to add "compressing the video data using the selected algorithm" or any similar limitation as appropriate.

Claims 2-18 and 20-30 are inherently rejected as being dependent on the rejected base claims.

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# Allowable Subject Matter

10. Claims 1 and 19 would be allowable if rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), 2nd paragraph, set forth in this Office action.

11. Claims 2-18 and 20-30 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA), 2nd paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.

#### Conclusion

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to TESFALDET BOCURE whose telephone number is (571)272-3015. The examiner can normally be reached on 8:30am-to-5:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Daniel C. Washburn can be reached on 571-272-5551. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2634

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/TESFALDET BOCURE/ Primary Examiner, Art Unit 2634

/T. B./ Primary Examiner, Art Unit 2634

Substitute for form 1449/PTO				Complete if Known		
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			THAT	Application Number 14/876,276		
				Filing Date	October 6, 2015	
			DSURE	First Named Inventor	James J. FALLON	
			<b>ICANT</b>	Art Unit 2634		
				Examiner Name	BOCURE, TESFALDET	
Sheet		of 1		Attorney Docket Number	2855.005000C	
			U.S. PATE	NT DOCUMENTS		
***************************************		Document Number		Name of Patentee or	Pages, Columns, Lines, Where	
Examiner initials*	Cite No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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Examiner initials*	Cite No.1	Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Docu	Where Relevant Passages or	
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2753384 1.DOCX Date Considered 01/23/2016 /Tesfaldet Bocure/ Examiner Signature

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a ALL REPORT TOP STANDARD DEMAND THE CEPT WHERE LINED THROUGH. /T.B./

Substitute for form 1449/PTO	Complete if Known	
SECOND SUPPLEMENTAL	Application Number	14/876,276
	Filing Date	October 6, 2015
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON
STATEMENT BY APPLICANT	Art Unit	2634
(Use as many sheets as necessary)	Examiner Name	BOCURE, TESFALDET
Sheet 1 of 3	Attorney Docket Number	2855.005000C

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL1	Defendants' Objections to the Report and Recommendation of Magistrate Judge (ECF No. 184), filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed December 15, 2015; 13 pages.	
	NPL2	Plaintiff Realtime Data LLC's Response to SAP Defendants' Objections to Magistrate Judge Love's Report and Recommendation on Defendants' Motion to Dismiss, filed in Realtime Data, LLC d/b/a IXO v. Action Corporation, et al., Case No. 6:15-cv-00463-RWS-JDL (E.D. Texas), filed January 4, 2016; 11 pages.	
	NPL3	Petition for Inter Partes Review of Claim 48 of U.S. Patent No. 7,378,992, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00373 (P.T.A.B.), filed December 22, 2015; 69 pages.	
	NPL4	Petition for Inter Partes Review of Claims 1, 2, 4, 6, 11-16, 18-20, 22 of U.S. Patent No. 8,643,513, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. 1PR2016-00374 (P.T.A.B.), filed December 22, 2015; 68 pages.	
	NPL5	Petition for Inter Partes Review of Claims 1-2, 4, 10-12, 18-20 of U.S. Patent No. 7,415,530, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00375 (P.T.A.B.), filed December 22, 2015; 62 pages.	
	NPL6	Petition for Inter Partes Review of Claim 24 of U.S. Patent No. 7,415,530, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00376 (P.T.A.B.), filed December 22, 2015; 68 pages.	
	NPL7	Petition for Inter Partes Review of Claims 1-2, 9, 11, 21-22, 24-25 of U.S. Patent No. 9,116,908, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00377 (P.T.A.B.), filed December 22, 2015; 65 pages.	
	NPL8	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00373 (P.T.A.B.), filed December 22, 2015; 102 pages.	
	NPL9	HSU, et al., "Automatic Synthesis of Compression Techniques for Heterogeneous Files," Software - Practice and Experience, Vol. 25, Issue 10, October 1995; pp. 1097-1116.	
	NPL10	STORER, J., Data Compression Methods and Theory, Rockville, MD: Computer Science Press, 1988.	

1	Examiner Signature	/Tesfaldet Bocure/	Date Considered	01/23/2016
- 1	Signature		Considered	l

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

Substitute for form 1449/PTO	Con	aplete if Known
SECOND SUPPLEMENTAL	Application Number	14/876,276
	Filing Date	October 6, 2015
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON
STATEMENT BY APPLICANT	Art Unit	2634
(Use as many sheets as necessary)	Examiner Name	BOCURE, TESFALDET
Sheet 2 of 3	Attorney Docket Number	2855.005000C

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>	
	NPL11	HUFFMAN, D., "A Method for the Construction of Minimum-Redundancy Codes," Proceedings of the I.R.E., September 1952, pp. 1098-1101.		
	NPL12	ZIV, et al., "A Universal Algorithm for Sequential Data Compression," IEEE Transactions on Information Theory, Vol. IT-23, No. 3, May 1977; 337-343.		
	NPL13	ZIV, et al., "Compression of Individual Sequences via Variable-Rate Coding," IEEE Transactions on Information Theory, Vol. IT-24, No. 5, September 1978; 530-536.		
	NPL14	First Amended Complaint for Patent Infringement, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas), filed April 11, 2011; 15 pages.		
	NPL15	Joint Motion to Stay All Pending Deadlines Between Plaintiff Realtime Data, LLC d/b/a IXO and Defendant Cellco Partnership d/b/a Verizon Wireless, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas		
	NPL16	Joint Motion for Dismissal with Prejudice of Defendant Cellco Partnership d/b/a Verizon Wireless, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas), filed October 17, 2012; 2 pages.		
	NPL17	Order regarding Joint Motion for Dismissal with Prejudice, filed in Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC, et al., Case No. 6:10-cv-00493-LED (E.D. Texas), filed October 19, 2012; 1 page.		
	NPL18	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00374 (P.T.A.B.), filed December 22, 2015; 118 pages.		
	NPL19	Submission Under 37 C.F.R. 1.114(c) and Preliminary Amendment Under 37 C.F.R. 1.115, filed October 10, 2013, in the prosecution of U.S. Patent Appl. No. 13/154,211; 10 pages.	***************************************	
	NPL20	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00375 (P.T.A.B.), filed December 28, 2015; 82 pages.		

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Examiner		Date	04/00/0040	
Signature	/Testaidet Bocure/	Considered	01/23/2016	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

#### Substitute for form 1449/PTO Complete if Known 14/876,276 Application Number SECOND SUPPLEMENTAL Filing Date October 6, 2015 INFORMATION DISCLOSURE James J. FALLON First Named Inventor STATEMENT BY APPLICANT Art Unit 2634 (Use as many sheets as necessary) Examiner Name BOCURE, TESFALDET 3 Attorney Docket Number 2855.005000C Sheet of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL21 Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00376 (P.T.A.B.), filed December 28, 2015; 86 page	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00376 (P.T.A.B.), filed December 28, 2015; 86 pages.	
	NPL22	Definition of "bandwidth", Random House Computer & Internet Dictionary, Third Edition, New York: Random House, 1999; p. 45.	
	NPL23	Declaration of Professor James A. Storer, filed in Oracle America, Inc. v. Realtime Data, LLC, Case No. IPR2016-00377 (P.T.A.B.), filed December 28, 2015; 83 pages.	
	NPL24	Third Party Requester's Comments to Patent Owner's Response of June 25, 2012 Pursuant to 37 C.F.R. 1.947, Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, filed July 25, 2012; 21 pages.	
:	NPL25	Defendant Apple, Inc.'s Answer and Affirmative Defenses to Plaintiff's Complaint for Patent Infringement, filed in Realtime Data, LLC d/b/a IXO v. Apple, Inc., Case No. 6:15-cv-RWS-JDL (E.D. Texas), filed December 17, 2015; 10 pages.	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

CONSIDERED: /T.B./ /T.B./ 01/23/2016

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: FALLON et al. Confirmation No.: 3403

Applicant: Realtime Data, LLC Art Unit: 2634

Application No.: 14/876,276 Examiner: BOCURE, TESFALDET

Filing Date: October 6, 2015 Atty. Docket: 2855.005000C

Title: Video Data Compression Systems

# Second Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

# Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent No. 6,601,104	Ex Parte Reexamination
(Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012

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Proceeding	Status
Inter Partes Reexamination of U.S. Patent No. 7,714,747 (Control No. 95/001,517)	Appeal to the Court of Appeals for the Federal Circuit dismissed 6/4/2015
Inter Partes Reexamination of U.S. Patent No. 7,417,568 (Control No. 95/001,533)	Decision on Appeal mailed 10/29/2015
Inter Partes Reexamination of U.S. Patent No. 7,777,651 (Control No. 95/001,581)	Decision on Appeal mailed 10/29/2015
Inter Partes Reexamination of U.S. Patent No. 7,400,274 (Control No. 95/001,544)	Decision on Appeal mailed 10/29/2015

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,321,937 (Control No. 95/001,922)	Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,604,158 (Control No. 95/001,923)	Certificate issued 04/17/2015
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,352,300 (Control No. 95/001,924)	Certificate issued 08/04/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,395,345 (Control No. 95/001,925)	Certificate issued 11/03/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,161,506 (Control No. 95/001,926)	Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,415,530 (Control No. 95/001,927)	Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,378,992 (Control No. 95/001,928)	Certificate issued 01/08/2014

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be

/Tesfaldet Bocure/

01/23/2016

provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08.

Applicant hereby calls to the attention of the Patent and Trademark Office the following *inter partes* review proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Patent	Status
Oracle America, Inc. v. Realtime Data, LLC, IPR2016-00373	7,378,992	Petition filed December 22, 2015
Oracle America, Inc. v. Realtime Data, LLC, IPR2016-00374	8,643,513	Petition filed December 22, 2015
Oracle America, Inc. v. Realtime Data, LLC, IPR2016-00375	7,415,530	Petition filed December 28, 2015
Oracle America, Inc. v. Realtime Data, LLC, IPR2016-00376	7,415,530	Petition filed December 28, 2015
Oracle America, Inc. v. Realtime Data, LLC, IPR2016-00377	9,116,908	Petition filed December 28, 2015

Applicant invites the Examiner to review the petitions for *inter partes* review and any other papers in the above-identified *inter partes* review proceedings. If the Examiner is unable to obtain copies of papers in any *inter partes* review proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to *inter partes* reviews are listed as documents **US1** and **NPL3-NPL24**.

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# Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving

U.S. Patents commonly-owned with the current patent application, the subject matter of which may
be related to the present patent application:

No.	Case	Status
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	Dismissed
1	No. 6:08-cv-00144-LED (E.D. Texas)	

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York) (transferred from E.D. Texas; No. 6:09-cv-00327-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. III.)	Dismissed

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6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a LXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2
9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Appeal Terminated
11	Realtime Data, LLC d/b/a IXO v. Microsoft Corporation, et al., No. 4:14-cv-00827 (E.D. Texas)	Dismissed May 1, 2015
12	Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., No. 6:15-cv-00463 (E.D. Texas)	Amended Complaints for Patent Infringement filed September 14, 2015
13	Realtime Data, LLC d/b/a IXO v. Dropbox, Inc., No. 6:15-cv-00465 (E.D. Texas)	Consolidated with Case No. 12
14	Realtime Data, LLC d/b/a IXO v. Echostar Corporation, et al., No. 6:15-cv-00466 (E.D. Texas)	Consolidated with Case No. 12
15	Realtime Data, LLC d/b/a IXO v. Riverbed Technology, Inc., et al., No. 6:15-cv-00468 (E.D. Texas)	Consolidated with Case No. 12
16	Realtime Data, LLC d/b/a IXO v. BMC Software, Inc., No. 6:15-cv-00464 (E.D. Texas)	Terminated October 5, 2015
17	Realtime Data, LLC d/b/a IXO v. Oracle America, Inc., et al., No. 6:15-cv-00467 (E.D. Texas)	Consolidated with Case No. 12
18	Realtime Data, LLC d/b/a IXO v. SAP America, Inc., et al., No. 6:15-cv-00469 (E.D. Texas)	Consolidated with Case No. 12
19	Realtime Data, LLC d/b/a IXO v. Teradata Corporation, et al., No. 6:15-cv-00470 (E.D. Texas)	Consolidated with Case No. 12
20	Realtime Data, LLC d/b/a IXO v. Apple, Inc., No. 6:15-cv-00885 (E.D. Texas)	Complaint filed October 6, 2015

# Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in

/Tesfaldet Bocure/

01/23/2016 Atty. Dkt. No. 2855.005000C

37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Copies of documents **NPL1-NPL25** are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2)(ii), no copy of the U.S. patent cited as document **US1** on the attached IDS Form is submitted.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 14/733,565, filed June 8, 2015 (now pending); 14/577,286, filed December 19, 2014 (now abandoned); 14/134,933, filed December 19, 2013 (now U.S. Patent No. 8,929,442); 14/033,245, filed September 20, 2013 (now U.S. Patent No. 8,934,535); 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No.

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8,073,047); and 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE KESSLER, GOLDSTEIN FOX P.L.L.C.

Michael V. Messinger

Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

January 11,2016

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/Tesfaldet Bocure/

01/23/2016

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14876276	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

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**Non-Elected** 

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**Appeal** 

Cancelled

Rejected

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CONSIDERED: /T.B./

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: FALLON et al.

Applicant: Realtime Data, LLC

Application No.: 14/876,276 Filing Date: October 6, 2015

Title: Video Data Compression Systems

Confirmation No.: 3403

Art Unit: 2668

Examiner: To Be Assigned Atty. Docket: 2855.005000C

## Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

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Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	į
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Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012
Inter Partes Reexamination of U.S. Patent No.	Appeal to the Court of
7,714,747 (Control No. 95/001,517)	Appeals for the Federal
	Circuit dismissed 6/4/2015

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01/23/2016

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,417,568 (Control No. 95/001,533)	10/29/2015
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,777,651 (Control No. 95/001,581)	10/29/2015
Inter Partes Reexamination of U.S. Patent No.	Decision on Appeal mailed
7,400,274 (Control No. 95/001,544)	10/29/2015

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Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,395,345 (Control No. 95/001,925)	Certificate issued 11/03/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,161,506 (Control No. 95/001,926)	Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,415,530 (Control No. 95/001,927)	Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,378,992 (Control No. 95/001,928)	Certificate issued 01/08/2014

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to reexaminations are listed as documents NPL670-NPL840 and NPL875-NPL922.

01/23/2016

/Tesfaldet Bocure/

Atty, Dkt, No. 2855,005000C

# Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

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Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
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3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York) (transferred from E.D. Texas; No. 6:09-cv-00327-LED)	Case Terminated 11/9/2012; Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. Ill.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2

/Tesfaldet Bocure/

01/23/2016 Atty. Dkt. No. 2855.005000C

9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Appeal Terminated
11	Realtime Data, LLC d/b/a IXO v. Microsoft Corporation, et al., No. 4:14-cv-00827 (E.D. Texas)	Dismissed May 1, 2015
12	Realtime Data, LLC d/b/a IXO v. Actian Corporation, et al., No. 6:15-cv-00463 (E.D. Texas)	Amended Complaints for Patent Infringement filed September 14, 2015
13	Realtime Data, LLC d/b/a IXO v. Dropbox, Inc., No. 6:15-cv-00465 (E.D. Texas)	Consolidated with Case No. 12
14	Realtime Data, LLC d/b/a IXO v. Echostar Corporation, et al., No. 6:15-cv-00466 (E.D. Texas)	Consolidated with Case No. 12
15	Realtime Data, LLC d/b/a IXO v. Riverbed Technology, Inc., et al., No. 6:15-cv-00468 (E.D. Texas)	Consolidated with Case No. 12
16	Realtime Data, LLC d/b/a IXO v. BMC Software, Inc., No. 6:15-cv-00464 (E.D. Texas)	Terminated October 5, 2015
17	Realtime Data, LLC d/b/a IXO v. Oracle America, Inc., et al., No. 6:15-cv-00467 (E.D. Texas)	Consolidated with Case No. 12
18	Realtime Data, LLC d/b/a IXO v. SAP America, Inc., et al., No. 6:15-cv-00469 (E.D. Texas)	Consolidated with Case No. 12
19	Realtime Data, LLC d/b/a IXO v. Teradata Corporation, et al., No. 6:15-cv-00470 (E.D. Texas)	Consolidated with Case No. 12
20	Realtime Data, LLC d/b/a IXO v. Apple, Inc., No. 6:15-cv-00885 (E.D. Texas)	Complaint filed October 6, 2015

Court dockets for litigations are submitted herewith as documents NPL431, NPL566-572, and NPL948-NPL957.

# Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

01/23/2016

/Tesfaldet Bocure/

Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Concise explanations of the relevance of the non-English language documents appear below in accordance with 37 C.F.R. § 1.98(a)(3).

Document **FP1** (DE 4127518) is in the German language. An English-language abstract is as follows:

The magnetic disc storage device is connected to a host processor (5) and contains at least one magnetic disc for the storage of data with system startup data in a startup data region, a buffer memory (2) for data to be transferred from the disc/host computer and a data communication device (1). The data communication device transfers the startup data from the startup data region into the buffer memory at the time of system startup. The storage device can be used as an external memory device. ADVANTAGE - Enables very short startup times to be achieved for memory systems.

Document FP12 (JP 6051989) is in the Japanese language. An English-language abstract is as follows:

PURPOSE: To perform the fast load processing of an operating system without performing the read processing of volume information for the whole device in spite of the presence/absence of a restore command in the initial load processing of the operating system.

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CONSTITUTION: This system is provided with the device name instruction means 2 of a system storage file volume which instructs a volume in which a system storage file is stored in a device name by a REST command to instruct the generation of a system residence volume, the acquiring means 3 of the correspondence table of the device name with a channel number, a channel number acquiring means 4 to acquire the channel number from the device name of the volume, a restore processing means 5 which performs the generation processing of the system residence volume based on the device name and the channel number, and a device name/channel number correspondence table 6.

Document **FP13** (JP 9188009) is in the Japanese language. An English-language abstract is as follows:

PROBLEM TO BE SOLVED: To provide a printer capable of enhancing a compression ratio by electing a compression method fitted to data from a plurality of compression methods to perform compression and the data compressing method in the printer. SOLUTION: A laser beam printer is equipped with an ROM 4 storing a plurality of preset compression methods and a CPU 3 having a data kind discriminating function analyzing the command of received data and discriminating the kind of data on the basis of the analytical result, a compression method selecting function selecting the compression method fitted to the kind of the discriminated data from a plurality of the compression methods stored in the ROM 4 and data compressing function compressing the data on the basis of the selected compression method.

Document **FP14** (JP 11149376) is in the Japanese language. An English-language abstract is as follows:

PROBLEM TO BE SOLVED: To provide a more flexible software development environment by realizing the same function with a circuit mounting an Initial Program Loader ROM in circuit constitution of a smaller scale.

SOLUTION: A BOOT loader circuit is provided with a BOOT detection part 4 for detecting whether a system becomes a BOOT mode or not, an address decoder 5 for generating a selection signal for each device, switch parts 6 and 7 for selecting ROM and the external

/Tesfaldet Bocure/

01/23/2016

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input interface and a CPU stop control part 8 for instructing the stop of CPU various conditions.

Document **FP18** (WO 95/29437 A1) is in the Japanese language. An English-language abstract is as follows:

A differential value and compressibility calculating means (76) of a data processing means (71) of a data transmitter calculates the actual differential value and the actual compressibility based on the data length of uncompressed data received from a data outputting source (2) through an uncompressed data receiving means (74) and the data length of compressed data from a data compressing circuit (67). When the actual differential value is equal to or larger than a set differential value alpha, at the same time, the actual compressibility is equal to or larger than a set compressibility beta, the means (76) transmits the compressed data to a destination (3) through a transfert-requested data outputting means (79), and, in the other cases, transmits the uncompressed data to the destination (3). Therefore, such a phenomenon that the area occupied by compressed data in the memory area in the destination (3) is almost equal to the area occupied by uncompressed data in the memory area can be prevented, and useless data expansion can be avoided at the destination (3).

Document **FP27** (JP 04-241681) is in the Japanese language. An English-language abstract is as follows:

PURPOSE: To provide a means which can make optimum compression on data of any kinds of data pattern and can improve the data compressing efficiency.

CONSTITUTION: At the time of storing data, the data are compressed by means of compression circuits 11 and 12 of plural data compressing systems and counted results of the byte numbers of the compressed data are compared with each other after the byte numbers are counted. Then the compressed data of the smallest amount are selected and stored in a storing section 2 after adding a code indicating the compressing system. At the time of reading out the recorded data, the data are restored to the original data by selecting

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the corresponding restoration circuit 31 or 32 in accordance with the added code indicating the compressing system.

Copies of documents NPL874, NPL920-NPL922, and NPL948-NPL958 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and patent application publications cited as documents US1-US624 on the attached IDS Forms are submitted.

Additionally, copies of unpublished U.S. applications NPL959-NPL962, cited on the attached IDS Forms, are not provided in accordance with the U.S. Patent and Trademark Office Official Gazette notice of October 19, 2004, which states: "the requirement in 37 C.F.R. § 1.98(a)(2)(iii) for a legible copy of the specification, including the claims, and drawings of each cited pending unpublished U.S. patent application (or portion of the application which caused it to be listed) is sua sponte waived where the cited pending application is stored in the USPTO's IFW system."

Copies of documents FP1-FP27, NPL1-NPL873, and NPL875-NPL919, and NPL923-NPL947 were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application Nos. 14/733,565, filed June 8, 2015 (now pending); 14/577,286, filed December 19, 2014 (now abandoned); 14/134,933, filed December 19, 2013 (now U.S. Patent No. 8,929,442); 14/033,245, filed September 20, 2013 (now U.S. Patent No. 8,934,535); 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and/or 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046), which are relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

Applicant submits herewith actions from co-pending, commonly assigned U.S. Patent Applications as documents NPL573-NPL658 and NPL841-NPL874. The identification of these actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 14/733,565, filed June 8, 2015 (now pending); 14/577,286, filed December 19,

/Tesfaldet Bocure/

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2014 (now abandoned); 14/134,933, filed December 19, 2013 (now U.S. Patent No. 8,929,442); 14/033,245, filed September 20, 2013 (now U.S. Patent No. 8,934,535); 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046) in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

Date:

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October 30, wist

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	14/876,276	
INFORMATION DISCLOSURE	Filing Date	October 6, 2015	
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)	Art Unit	2668	
(Ose as many sinces as increasally)	Examiner Name	To Be Assigned	
Sheet 1 of 32	Attorney Docket Number	2855.005000C	

			U.S. PATEN	T DOCUMENTS	
Examiner initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US1	3,394,352	07-23-1968	Wernikoff et al.	
	US2	3,490,690	01-20-1970	Apple et al.	
	US3	4,021,782	05-03-1977	Hoerning	
	US4	4,032,893	06-28-1977	Moran	
	US5	4,054,951	10-18-1977	Jackson et al.	
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	US14	4,499,499	02-12-1985	Brickman et al.	
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	US16	4,593,324	06-03-1986	Ohkubo et al.	
	US17	4,626,829	12-02-1986	Hauck	
	US18	4,646,061	02-24-1987	Bledsoe	
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	US20	4,701,745	10-20-1987	Waterworth	

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	T	Foreign Patent Document	Publication		Pages, Columns, Lines, Where	
Examiner initials*	Cite No.1	Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP1	DE 4127518	02-27-1992	Tokico Ltd		X
	FP2	EP 0 164677	12-18-1985	Texas Instruments Inc		
	FP3	EP 0 185098	06-25-1986	Hitachi Ltd		ļ
	FP4	EP 0283798	09-28-1988	International Business Machines Corporation		<u> </u>
	FP5	EP 0405572	01-02-1991	Fujitsu Limited		<u> </u>
	FP6	EP 0493130	07-01-1992	Canon Kabushiki Kaisha		ļ
	FP7	EP 0587437	03-16-1994	International Business Machines Corporation		ļ
	FP8	EP 0595406	05-04-1994	Philips Electronics		<u> </u>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a ALL REFERENCE SUBJECTION OF THE DIMENSION OF THE LINED THROUGH. T.B./

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Sheet 2 of 32	Attorney Docket Number	2855.005000C

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Examiner	Cite	Cite Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
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	US21	4,729,020	03-01-1988	Schaphorst et al.	
	US22	4,730,348	03-08-1988	MacCrisken	
	US23	4,745,559	05-17-1988	Willis et al.	
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	US31	4,866,601	09-12-1989	DuLac et al.	
	US32	4,870,415	09-26-1989	Van Maren et al.	
	US33	4,872,009	10-03-1989	Tsukiyama et al.	
	US34	4,876,541	10-24-1989	Storer	
	US35	4,888,812	12-19-1989	Dinan et al.	
	US36	4,890,282	12-26-1989	Lambert et al.	
	US37	4,897,717	01-30-1990	Hamilton et al.	
	US38	4,906,991	03-06-1990	Fiala et al.	
	US39	4,906,995	03-06-1990	Swanson	
	US40	4,929,946	05-29-1990	O'Brien et al.	

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T-	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	Ι.
Examiner initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD- YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	To
	FP9	EP 0718751	06-26-1996	International Business Machines Corporation		<u> </u>
	FP10	EP 0 928 070 A2	07-07-1999	Unwired Planet, Inc.		1
	FP11	GB 2162025	01-22-1986	King Reginald Alfred		
	FP12	JP 6051989	02-25-1994	NEC Corp		X
	FP13	JP 9188009	07-22-1997	Canon Inc		X
	FP14	JP 11149376	06-02-1999	Toyo Commun Equip Co. Ltd		X
	FP15	WO 9414273	06-23-1994	Voxson International Pty Ltd		1
	FP16	WO 9429852	12-22-1994	Maxtor Corp		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^3\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\) Applicant is to place a ALL REFERENCESION OF STANDARD CEPT WHERE LINED THROUGH. \(^7\)T.B./

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STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)	Art Unit	2668	
	Examiner Name	To Be Assigned	
Sheet 3 of 32	Attorney Docket Number	2855,005000C	

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**************	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
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	US42	4,956,808	09-11-1990	Aakre et al.		
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	US45	5,003,307	03-26-1991	Whiting et al.		
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	US47	5,027,376	06-25-1991	Freidman et al.		
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	US53	5,091,782	02-25-1992	Krause et al.		
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	US58	5,113,522	05-12-1992	Dinwiddie, Jr. et al.		
	US59	5,115,309	05-19-1992	Hang		
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Examiner initials* Cite No	Cite No.	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sub>0</sub>
	FP17	WO 9502873	01-26-1995	Philips Electronics		<u> </u>
	FP18	WO 95/29437 A1	11-02-1995	Sony Corp		X
	FP19	WO 9748212	12-18-1997	Nokia Telecommunications		<u> </u>
	FP20	WO9839699 A2	09-11-1998	Intelligent Compression Technologies		<u> </u>
	FP21	WO 9908186	02-18-1999	Macronix International Co, Ltd		L
	FP22	WO0036754 A1	06-22-2000	Microsoft Corporation		<u></u>
	FP23	WO 01/057642	08-09-2001	Realtime Data LLC		
	FP24	WO 01/057659	08-09-2001	Realtime Data LLC		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a ALL REPERENCESON SINCEPT WHERE LINED THROUGH. /T.B./

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INFORMATION DISCLOSURE	Filing Date	October 6, 2015
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(Use as many sheets as necessary)	Art Unit	2668
	Examiner Name	To Be Assigned
Sheet 4 of 32	Attorney Docket Number	2855.005000C

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Examiner initials*	Cite No <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if</sup> known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appea
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1	US69	5,175,543	12-29-1992	Lantz	
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	US74	5,209,220	05-11-1993	Hiyama et al.	
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1 1 1	US76	5,226,176	07-06-1993	Westaway et al.	
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221 1002		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner initials*		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	T6
	FP25	WO 01/63772	08-30-2001	Physical Optics Corporation		
	FP26	WO 02/39591	05-16-2002	Realtime Data LLC		
	FP27	JP 04-241681	08-28-1992	Fujitsu Limited		X
						<u> </u>

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STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)	Art Unit	2668	
4	Examiner Name	To Be Assigned	
Sheet 5 of 32	Attorney Docket Number	2855.005000C	

			U.S. PATEN	NT DOCUMENTS	
Examiner initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appea
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	US83	5,247,638	09-21-1993	O'Brien et al.	311 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	US84	5,247,646	09-21-1993	Osterlund et al.	
	US85	5,249,053	09-28-1993	Jain	
	US86	5,263,168	11-16-1993	Toms et al.	
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	US98	5,341,440	08-23-1994	Earl et al.	
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Examiner initials*		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where			
	Cite No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	T6		
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Examiner initials*	No. 1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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	US102	5,355,498	10-11-1994	Provino et al.			
	US103	5,357,614	10-18-1994	Pattisam et al.			
	US104	5,367,629	11-22-1994	Chu et al.			
	US105	5,373,290	12-13-1994	Lempel et al.			
	US106	5,374,916	12-20-1994	Chu			
	US107	5,379,036	01-03-1995	Storer			
	US108	5,379,757	01-10-1995	Hiyama et al.			
	US109	5,381,145	01-10-1995	Allen et al.			
	US110	5,389,922	02-14-1995	Seroussi et al.			
	USIII	5,394,534	02-28-1995	Kulakowski et al.			
	US112	5,396,228	03-07-1995	Garahi			
	US113	5,400,401	03-21-1995	Wasilewski et al.			
	US114	5,403,639	04-04-1995	Belsan et al.			
	US115	5,406,278	04-11-1995	Graybill et al.			
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	US117	5,410,671	04-25-1995	Elgamal et al.			
	US118	5,412,384	05-02-1995	Chang et al.			
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Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/876,276
INFORMATION DISCLOSURE	Filing Date	October 6, 2015
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2668
300 20 7,237, 23,000 00 00 00 00 00 00 00 00 00 00 00 00	Examiner Name	To Be Assigned
Sheet 7 of 32	Attorney Docket Number	2855.005000C

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	STATEMENT BY APPLICANT		James J. FALLON	
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Sheet 8 of 3	2	Attorney Docket Number	2855.005000C	

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