

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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NALOX-1 PHARMACEUTICALS, LLC,  
Petitioner,

v.

ADAPT PHARMA OPERATIONS LIMITED, and  
OPIANT PHARMACEUTICALS, INC.,  
Patent Owners.

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Case No. IPR2019-00694  
U.S. Patent No. 9,629,965

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**JOINT AMENDED MANDATORY NOTICES OF PATENT OWNERS  
ADAPT PHARMA OPERATIONS LIMITED AND  
OPIANT PHARMACEUTICALS, INC.**

Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owners Adapt Pharma Operations Limited (“Adapt”) and Opiant Pharmaceuticals, Inc. (“Opiant”) jointly submit the following amended mandatory notices:

**I. Real Parties in Interest, 37 C.F.R. § 42.8(b)(1)**

Adapt and Opiant are the assignees of U.S. Patent Nos. 9,211,253; 9,468,747; and 9,629,965.

Adapt is a wholly owned subsidiary of Adapt Pharma Limited, which is a wholly owned subsidiary of Emergent Acquisition Limited. Emergent Acquisition Limited is a wholly owned subsidiary of Emergent International Inc., which is a wholly owned subsidiary of Emergent BioSolutions Inc. Emergent BioSolutions Inc. is a publicly held company, and no publicly held company owns 10% or more of Emergent BioSolutions Inc.’s stock.

Opiant is a publicly held company, and no publicly held company owns 10% or more of Opiant’s stock.

**II. Related Matters, 37 C.F.R. § 42.8(b)(2)**

Petitioner Nalox-1 Pharmaceuticals, LLC (“Nalox-1”) has filed the following related petitions for *inter partes* review:

U.S. Patent No.	Case No.
9,211,253	IPR2019-00685
9,211,253	IPR2019-00686
9,211,253	IPR2019-00687
9,468,747	IPR2019-00688

U.S. Patent No.	Case No.
9,468,747	IPR2019-00689
9,468,747	IPR2019-00690
9,561,177	IPR2019-00691
9,561,177	IPR2019-00692
9,561,177	IPR2019-00693
9,629,965	IPR2019-00694
9,629,965	IPR2019-00695
9,629,965	IPR2019-00696
9,775,838	IPR2019-00697
9,775,838	IPR2019-00698
9,775,838	IPR2019-00699

The Board has denied institution of Nalox-1's petitions in Case Nos. IPR2019-00686, IPR2019-00687, IPR2019-00689, IPR2019-00690, IPR2019-00691, IPR2019-00692, IPR2019-00693, IPR2019-00695, IPR2019-00696, IPR2019-00697, IPR2019-00698, and IPR2019-00699.

U.S. Patent No. 9,211,253; U.S. Patent No. 9,468,747; U.S. Patent No. 9,561,177; U.S. Patent No. 9,629,965; and U.S. Patent No. 9,775,838 have been asserted in *Adapt Pharma Operations Ltd., et al. v. Teva Pharmaceuticals USA, Inc., et al.*, Case 2:16-cv-07721-JLL-JAD (D.N.J.) (consolidated), filed October 21, 2016 ("the Teva Action"); and *Adapt Pharma Operations Ltd., et al. v. Perrigo UK FINCO Limited Partnership*, Case 2:18-cv-15287-JLL-JAD (D.N.J.) (consolidated), filed October 25, 2018 ("the Perrigo Action"). On March 2, 2020, all claims in the Perrigo Action were dismissed with prejudice pursuant to a Consent Judgment. On June 26, 2020, the district court entered final judgment in the Teva Action holding the following claims invalid: Claims 7 and 9 of U.S.

Patent No. 9,468,747; Claim 4 of U.S. Patent No. 9,561,177; Claims 21, 24, and 25 of U.S. Patent No. 9,629,965; and Claims 2, 24, 33, and 38 of U.S. Patent No. 9,775,838. Patent Owners' appeal from this judgment was docketed on August 3, 2020. *See Adapt Pharma Operations v. Teva Pharmaceuticals USA, Inc.*, No. 2020-2106 (Fed. Cir.).

Administrative matters that would be or could be affected by this proceeding include pending U.S. Patent Application No. 16/868,816.

### **III. Lead & Backup Counsel, 37 C.F.R. § 42.8(b)(3)**

Adapt designates the following attorney as lead counsel:

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Powers of attorney appointing these attorneys to transact all business in this proceeding on behalf of Adapt or Opiant, respectively, have been submitted or will be submitted with this amended mandatory notice information.

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