UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

NALOX-1 PHARMACEUTICALS, LLC, Petitioner,

v.

ADAPT PHARMA OPERATIONS LIMITED, and OPIANT PHARMACEUTICALS, INC., Patent Owners.

Case No. IPR2019-00694 U.S. Patent No. 9,629,965

JOINT AMENDED MANDATORY NOTICES OF PATENT OWNERS ADAPT PHARMA OPERATIONS LIMITED AND OPIANT PHARMACEUTICALS, INC.



Pursuant to 37 C.F.R. § 42.8(a)(3), Patent Owners Adapt Pharma Operations Limited ("Adapt") and Opiant Pharmaceuticals, Inc. ("Opiant") jointly submit the following amended mandatory notices:

I. Real Parties in Interest, 37 C.F.R. § 42.8(b)(1)

Adapt and Opiant are the assignees of U.S. Patent Nos. 9,211,253; 9,468,747; and 9,629,965.

Adapt is a wholly owned subsidiary of Adapt Pharma Limited, which is a wholly owned subsidiary of Emergent Acquisition Limited. Emergent Acquisition Limited is a wholly owned subsidiary of Emergent International Inc., which is a wholly owned subsidiary of Emergent BioSolutions Inc. Emergent BioSolutions Inc. is a publicly held company, and no publicly held company owns 10% or more of Emergent BioSolutions Inc.'s stock.

Opiant is a publicly held company, and no publicly held company owns 10% or more of Opiant's stock.

II. Related Matters, 37 C.F.R. § 42.8(b)(2)

Petitioner Nalox-1 Pharmaceuticals, LLC ("Nalox-1") has filed the following related petitions for *inter partes* review:

| U.S. Patent No. | Case No. |
|-----------------|---------------|
| 9,211,253 | IPR2019-00685 |
| 9,211,253 | IPR2019-00686 |
| 9,211,253 | IPR2019-00687 |
| 9,468,747 | IPR2019-00688 |



| U.S. Patent No. | Case No. |
|-----------------|---------------|
| 9,468,747 | IPR2019-00689 |
| 9,468,747 | IPR2019-00690 |
| 9,561,177 | IPR2019-00691 |
| 9,561,177 | IPR2019-00692 |
| 9,561,177 | IPR2019-00693 |
| 9,629,965 | IPR2019-00694 |
| 9,629,965 | IPR2019-00695 |
| 9,629,965 | IPR2019-00696 |
| 9,775,838 | IPR2019-00697 |
| 9,775,838 | IPR2019-00698 |
| 9,775,838 | IPR2019-00699 |

The Board has denied institution of Nalox-1's petitions in Case Nos. IPR2019-00686, IPR2019-00687, IPR2019-00689, IPR2019-00690, IPR2019-00691, IPR2019-00692, IPR2019-00693, IPR2019-00695, IPR2019-00696, IPR2019-00697, IPR2019-00698, and IPR2019-00699.

U.S. Patent No. 9,211,253; U.S. Patent No. 9,468,747; U.S. Patent No. 9,561,177; U.S. Patent No. 9,629,965; and U.S. Patent No. 9,775,838 have been asserted in *Adapt Pharma Operations Ltd.*, et al. v. Teva Pharmaceuticals USA, Inc., et al., Case 2:16-cv-07721-JLL-JAD (D.N.J.) (consolidated), filed October 21, 2016 ("the Teva Action"); and *Adapt Pharma Operations Ltd.*, et al. v. Perrigo UK FINCO Limited Partnership, Case 2:18-cv-15287-JLL-JAD (D.N.J.) (consolidated), filed October 25, 2018 ("the Perrigo Action"). On March 2, 2020, all claims in the Perrigo Action were dismissed with prejudice pursuant to a Consent Judgment. On June 26, 2020, the district court entered final judgment in the Teva Action holding the following claims invalid: Claims 7 and 9 of U.S.



Patent No. 9,468,747; Claim 4 of U.S. Patent No. 9,561,177; Claims 21, 24, and

25 of U.S. Patent No. 9,629,965; and Claims 2, 24, 33, and 38 of U.S. Patent No.

9,775,838. Patent Owners intend to appeal this judgment.

Administrative matters that would be or could be affected by this proceeding include pending U.S. Patent Application No. 16/868,816.

III. Lead & Backup Counsel, 37 C.F.R. § 42.8(b)(3)

Adapt designates the following attorney as lead counsel:

Jessamyn S. Berniker Reg. No. 72,328 WILLIAMS & CONNOLLY LLP 725 Twelfth Street, N.W. Washington, DC 20005 jberniker@wc.com

Opiant designates the following attorney as first backup counsel:

Jessica Tyrus Mackay Reg. No. 64,742 GREEN, GRIFFITH & BORG-BREEN, LLP 676 North Michigan Avenue, Suite 3900 Chicago, IL 60611 jmackay@greengriffith.com

Adapt designates the following attorneys as backup counsel:

Ana C. Reyes (Admitted *Pro Hac Vice*) 725 Twelfth Street, N.W. Washington, DC 20005 areyes@wc.com



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David M. Krinsky
Reg. No. 72,339
WILLIAMS & CONNOLLY LLP
725 Twelfth Street, N.W.
Washington, DC 20005
dkrinsky@wc.com

Anthony H. Sheh Reg. No. 70,576 WILLIAMS & CONNOLLY LLP 725 Twelfth Street, N.W. Washington, DC 20005 asheh@wc.com

Opiant designates the following attorney as backup counsel:

Ann K. Kotze Reg. No. 76,570 GREEN, GRIFFITH & BORG-BREEN, LLP 676 North Michigan Avenue, Suite 3900 Chicago, IL 60611 akotze@greengriffith.com

Powers of attorney appointing these attorneys to transact all business in this proceeding on behalf of Adapt or Opiant, respectively, have been submitted or will be submitted with this amended mandatory notice information.



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