UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

NALOX-1 PHARMACEUTICALS, LLC,

Petitioner

v.

OPIANT PHARMACEUTICALS, INC. Patent Owner

> IPR2019-00687 U.S. Patent No. 9,211,253

DECLARATION OF GÜNTHER HOCHHAUS, Ph.D.

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| | A. | Prior art patent applications disclose concentrated solutions of naloxone administered intranasally to treat opioid overdose | | | | |
| | | 1. Wyse (U.S. Patent No. 9,192,570)27 | | | | |
| | | 2. Wang (Chinese Patent Publication CN 1575795)27 | | | | |
| | | 3. Davies (PCT Patent Publication WO 00/62757)28 | | | | |
| | B. | In view of the prior art, a Pharmacologist POSA would have been motivated to design a concentrated solution of naloxone in a ready-to-use nasal delivery device, with a reasonable expectation | | | | |

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| of sı | access. | | | | | | |
|-------|---|---|--|--|--|--|--|
| 1. | The known physical, chemical, biopharmaceutical and pharmacological properties of naloxone and prior art would have motivated a Pharmacologist POSA to use a range of 2 to 10 mg naloxone per dose, if not up to 20 mg per dose, in an intranasal solution with a reasonable expectation of success2 | | | | | | |
| 2. | The nasal physiology would have motivated a Pharmacologist POSA to use an intranasal solution volume of up to 100 μ L pe dose with a reasonable expectation of success. | | | | | | |
| 3. | A Pharmacologist POSA would have been motivated to design an intranasal solution of naloxone that met or exceeded the exposure levels of the approved Narcan® 2 mg injection protocol, and would have determined that approximately 4-6 mg intranasally would work, with a reasonable expectation of success | | | | | | |
| 4. | A Pharmacologist POSA would have been motivated to design an intranasal solution of naloxone that achieved a T_{max} within about 20-30 minutes, with a reasonable expectation of success. | | | | | | |
| 5. | the r nalo | narmacologist POSA would have been able to choose from routine pharmaceutical excipients disclosed in prior art xone formulations, to achieve high exposure levels, with a onable expectation of success | | | | | |
| | (a) | A Pharmacologist POSA would have expected the inclusion of sodium chloride in a naloxone intranasal formulation to achieve high exposure levels, consistent with the Wyse intranasal formulations | | | | | |
| | (b) | A Pharmacologist POSA would have expected the inclusion of hydrochloric acid in a naloxone intranasal formulation to achieve high exposure levels, consistent with the Wyse intranasal formulations | | | | | |
| | (c) | A Pharmacologist POSA would have expected the | | | | | |

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| | | | | inclusion of disodium EDTA in a naloxone intranasal formulation to achieve high exposure levels, consistent with the Wyse intranasal formulations | 41 | | |
|-------|--|---|---------|---|----|--|--|
| | | | (d) | A Pharmacologist POSA would have expected the inclusion of benzalkonium chloride (BAC) in a naloxone intranasal formulation to achieve high exposure levels, and may serve to slightly improve the exposure seen with the Wyse formulations | 41 | | |
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