#### Case 2:17-cv-00932-JLR Document 5 Filed 06/19/17 Page 1 of 1

AO	120	(Rev.	08/10)
7 L Q	170	(******	00,10)

Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § filed in the U.S. District Court W Trademarks or Patents. ( ] the patent action involve		1116 you are hereby advised the D. Washington is 35 U.S.C. § 292.):	a court action has been on the following	
DOCKET NO. 17-CV-932 JLR	DATE FILED 6/9/2017	U.S. DISTRICT COURT W.D. Washington		shington
PLAINTIFF			DEFENDANT	
CyWee Group Ltd.			HTC Corporation; and H	TC America, Inc.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		NT OR TRADEMARK
1 8441438	10/8/2013	CyWee Group Ltd.		
2 8552978	5/14/2013	CyWee Group Ltd.		
3				
4				
5				

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amen	dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDE	R OF PATENT OR 1	FRADEMARK
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In the above---entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE
William M. McCool	Sherri Tye	6/19/2017

Copy 1-Upon initiation of action, mail this copy to Director Copy 3-Upon termination of action, mail this copy to Director Copy 2-Upon filing document adding patent(s), mail this copy to Director Copy 4-Case file copy

## Page 1 of 209

## SAMSUNG EXHIBIT 1009

Case 1:17-cv-00780-GMS Document 3 Filed 06/16/17 Page 1 of 1 PageID #: 119

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. filed in the U.S. District Court United States Dia Trademarks or Patents. ( the patent action involved to the patent action in			<ul> <li>s 1116 you are hereby advised that a court action has been</li> <li>s trict Court, District of Delaware on the following</li> <li>s 35 U.S.C. § 292.):</li> </ul>
DOCKET NO.	DATE FILED 6/16/2017	U.S. DI	STRICT COURT United States District Court, District of Delaware
PLAINTIFF CYWEE GROUP LTD.		DEFENDANT MOTOROLA MOBILITY LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,441,438B2	5/14/2013	CYWEE GROUP LTD.	
2 <b>8,552,978B2</b>	10/8/2013	CYV	VEE GROUP LTD.
3			
4			
5			

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
	Amen	dment 🗌 Answer	Cross Bill	□ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLD	ER OF PATENT OR '	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 2:17-cv-00495-RWS-RSP Document 2 Filed 06/09/17 Page 1 of 1 PageID #: 55

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § filed in the U.S. District Court Easter		3 1116 you are hereby advised that a court ern District of Texas es 35 U.S.C. § 292.):	action has been on the following	
DOCKET NO.	DATE FILED 6/9/2017	U.S. DI	STRICT COURT Eastern District of 1	lexas
PLAINTIFF CYWEE GROUP LTD.		DEFENDANT HUAWEI TECHNOLOGIES CO., LTD and HUAWEI DEVICE USA, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
1 8,441,438	5/14/2013	CyWee Group Limited		
2 8,552,978	10/8/2013	CyWee Group Limited		
3				
4				
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY				
		dment	Answer	Cross Bill	□ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR	FRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

CLERK (BY) DEPUTY CLERK DATE

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DECISION/JUDGEMENT

Case 3:17-cv-01102-BEN-RBB Document 3 Filed 05/31/17 PageID.60 Page 1 of 1

|--|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of California on the following: **X**\_Patents or **\_\_\_** Trademarks:

DOCKET NO.	DATE FILED	US District Court Southern District of California
3:17-cv-01102-GPC-RBB	5/31/17	San Diego, CA
PLAINTIFF		DEFENDANT
Cywee Group Ltd.		LG Electronics, Inc., et al.
PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.
1.8.441.438	6.	11.
2. 8,552,978	7.	12.
3.	8.	13.
4.	9.	14.
5.	10.	15.

In the above–entitled case, the following patents(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	Amendment Answer Cross	Bill Other Pleading
PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.
1.	6.	11.
2.	7.	12.
3.	8.	13.
4.	9.	14.
5.	10.	15.

In the above-entitled case, the following decision has been rendered or judgment issued:

#### DECISION/JUDGMENT

CLERK	(BY) DEPUTY CLERK	DATE
John Morrill		

Case 3:17-cv-01102-GPC-RBB Document 3 Filed 05/31/17 PageID.60 Page 1 of 1

To:	Mail Stop 8	
	Director of the U.S. Patent and Trademark Office	F
	P.O. Box 1450	A
	Alexandria, VA 22313–1450	

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of California on the following: **X**\_Patents or **\_\_\_\_** Trademarks:

DOCKET NO.	DATE FILED	US District Court Southern District of California
3:17-cv-01102-GPC-RBB	5/31/17	San Diego, CA
PLAINTIFF		DEFENDANT
Cywee Group Ltd.		LG Electronics, Inc., et al.
PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.
1. 8.441.438	6.	11
2. 8.552.978	7.	12.
3.	8.	13
4.	9	14.
5	10.	15.

In the above-entitled case, the following patents(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	Amendment Answer Cr	oss Bill Other Pleading
PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.	PATENT OR TRADEMARK NO.
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4	9	14.
5	10.	15.

In the above-entitled case, the following decision has been rendered or judgment issued:

#### DECISION/JUDGMENT

CLERK	(BY) DEPUTY CLERK	DATE
John Morrill		

S AO 120 (Rev. 2/99)

#### TO: Mail Stop 8 Director of the U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### **REPORT ON THE** FILING OR DETERMINATION OF AN **ACTION REGARDING A PATENT OR** TRADEMARK

In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been

✓ Patents or □ Trademarks: filed in the U.S. District Court <u>Northern District of California</u> on the following

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
CV 14-01853 JSC	4/22/14	450 Golden Gate Avenue, P.O. Box 36060, San Francisco, CA 94102
PLAINTIFF CYWEE GROUP LTE	)	APPLE INC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 see Complaint		
2 8, 552, 978		
38,441,438		
4		
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In the above-entitled case, the following patent(s) have been included:

DATE INCLUDED	INCLUDED BY	1		Cross Bill	□ Other Pleading
		iment			
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE
Richard W. Wieking	Sheila Rash	April 23, 2014

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Copy 1-Upon initiation of action, mail this copy to Commissioner Copy 3-Upon termination of action, mail this copy to Commissioner er Copy 4-Case file copy

Page 6 of 209

#### TO: Mail Stop 8 Director of the U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been

filed in the U.S. District Court <u>Northern District of California</u> on the following **V** Patents or Trademarks:

DOCKET NO.	DATE FILED	U.S. DISTRICT COURT
<u>CV 14-01853 JSC</u>	4/22/14	450 Golden Gete Avenue R.O. Den 26060 St. E. St. Others
PLAINTIFF		DEFENDANT
CYWEE GROUP LTE	)	APPLEINC
PATENT OR	DATE OF PATENT	
TRADEMARK NO.	OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 see Complaint		
Correction Correction		
2 8,552,978		
200 1111, 1120		
<u>38, 441, 438</u>		
4		
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In the above-entitled case, the following patent(s) have been included:

DATE INCLUDED	INCLUDED BY				
DATENT OD	📩 🖄 Amena	dment	Answer	Cross Bill	Other Pleading
TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR	TRADEMARK
1 See Amended Comp	laint				
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In the above-entitled case, the following decision has been rendered or judgement issued:

 CLERK
 (BY) DEPUTY CLERK
 DATE

 Richard W. Wieking
 Sheila Rash
 April 23, 2014

Copy 1—Upon initiation of action, mail this copy to Commissioner Copy 3—Upon termination of action, mail this copy to Commissioner Copy 2—Upon filing document adding patent(s), mail this copy to Commissioner Copy 4—Case file copy

DECISION/JUDGEMENT

1 2 3 4 5 6 7 8 9	Jill F. Kopeikin (State Bar No. 160792) Valerie M. Wagner (State Bar No. 1731) GCA LAW PARTNERS LLP 2570 W. El Camino Real, Suite 510 Mountain View, CA 94040 Telephone: (650) 428-3900 Fax: (650) 428-3901 jkopeikin@gcalaw.com vwagner@gcalaw.com Attorneys for Plaintiff CYWEE GROUP LTD.	46)		
10	IN THE UNITED STA FOR THE NORTHERN I	ATES DISTRICT COURT DISTRICT OF CALIFORNIA		
11	CYWEE GROUP I TD	CASE NO 3.14 CV 01853 ISC		
12	Plaintiff,	CASE NO. 5:14-C V-01855 JSC		
13	APPLE INC	CYWEE'S FIRST AMENDED		
14	Defendant.	INFRINGEMENT		
15		DEMAND FOR JURY TRIAL		
10				
1/				
10 10	Plaintiff CyWee Group Ltd. ("H	Plaintiff" or "CyWee") by and through its		
20	undersigned counsel, files this First An	nended Complaint against Defendant Apple,		
21	Inc. ("Defendant" or "Apple) as follows			
22	· <u>THE</u>	PARTIES		
23	1. CyWee Group Ltd. is a corporation existing under the laws of the			
24	British Virgin Islands with a principal place of business at 3F, No.28, Lane 128, Jing			
25	Ye 1st Road, Taipei, Taiwan 10462.			
26	2. CyWee is a world leading technology company that focuses on building			
27	products and services for consumers and businesses. CyWee is widely known as			
28	having one of the most significant patent portfolios in the industry, and is considered			
	FIRST AMENDED COMPLAINT FOR PATENT INFR CASE NO. 3:14-CV-01853 JSC	UNGEMENT		
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a market leader in its core development areas of motion processing, wireless high
 definition video delivery, and facial tracking technology.

3 3. Upon information and belief, Defendant Apple Inc. is a corporation
4 organized under the laws of California, and its principal place of business is 1
5 Infinite Loop, Cupertino, California 95014. Apple's registered agent for service of
6 process is CT Corporation System, 818 West Seventh St., 2<sup>nd</sup> Floor, Los Angeles,
7 California 90017.

8

#### JURISDICTION AND VENUE

9 4. This action arises under the patent laws of the United States, 35 U.S.C.
10 § 1 *et seq.*, including 35 U.S.C. § 271, 281, 283, 284, and 285. This Court has
11 subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331 and 1338(a).

5. This Court has personal jurisdiction over Defendant because Defendant 12 13 resides and has its primary place of business in Cupertino, California, within this District. This Court also has personal jurisdiction over Defendant because Defendant 14 has purposefully and voluntarily availed itself of the privilege of doing business in 15 the United States, the State of California, and the Northern District of California by 16 17 continuously and systematically placing goods into the stream of commerce with the 18 expectation that they will be purchased by consumers in the Northern District of 19 California. Upon information and belief, Defendant has committed acts of patent infringement within the State of California and, more particularly, within the 2021 Northern District of California.

6. Venue is proper in the Northern District of California pursuant to 28
U.S.C. §§ 1391(b) and 1400(b), in that, Defendant resides in this District, has a
regular and established place of business in this District, and has committed acts of
infringement in this District.

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- 21 28

FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT CASE NO. 3:14-CV-01853 JSC

1

2 7. This action is an intellectual property action subject to district-wide
3 assignment.

**INTRADISTRICT ASSIGNMENT** 

## PATENT INFRINGEMENT OF U.S. PATENT NO. 8,552,978

5 8. Plaintiff repeats and re-alleges each and every allegation of paragraphs
6 1-7 as though fully set forth herein.

9. U.S. Patent No. 8,552,978 (the '978 Patent"), titled "3D Pointing
B Device and Method for Compensating Rotations of the 3D Pointing Device
9 Thereof," was duly and legally issued by the United States Patent and Trademark
10 Office on October 8, 2013 to Cywee Group Limited, as assignee of named inventors
11 Zhou Ye, Chin-Lung Li, Shun-Nan Liou. A true and correct copy of the '978 Patent
12 is attached hereto as Exhibit A.

13 10. CyWee is the owner of all right, title, and interest in and to the '978
14 Patent with full right to bring suit to enforce the patent, including the right to recover
15 for past infringement damages.

16 11. Each and every claim of the '978 Patent is valid and enforceable and
17 each enjoys a statutory presumption of validity separate, apart, and in addition to the
18 statutory presumption of validity enjoyed by every other of its claims. 35 U.S.C. §
19 282.

20 12. Apple has at no time, either expressly or impliedly, been licensed under
21 the '978 Patent.

13. The '978 Patent describes and claims, *inter alia*, 3D pointing devices
and methods for compensating rotations of the 3D pointing device.

24 14. CyWee is informed and believes, and thereupon alleges, that Apple,
25 without authorization or license, has been, and is currently directly or indirectly
26 infringing one or more claims of the '978 Patent in violation of 35 U.S.C. § 271,
27 including as stated below.

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FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT CASE NO. 3:14-CV-01853 JSC

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1 15. CyWee is informed and believes, and thereupon alleges, that Apple has
 2 directly infringed, literally and/or under the doctrine of equivalents, and will
 3 continue to directly infringe each patent claim of the '978 Patent by making, using,
 4 selling, offering to sell, and/or importing into the United States products that
 5 embody or practice the apparatus and/or method covered by one or more claims of
 6 the '978 Patent, including but not limited to the Defendant's iPhone 5s, iPad Air,
 7 and iPad mini (2nd generation) (collectively referred to as "Accused Products").

8 16. Apple has had knowledge of and notice of the '978 Patent and Apple's
9 infringement of the '978 Patent since at least March 31, 2014, and through, the filing
10 and service of this Complaint and despite this knowledge continues to infringe. On
11 March 31, 2014, CyWee disclosed several patents, including the '978 Patent, in pre12 suit licensing discussions with Apple. Apple's infringement of the '978 patent has
13 been and continues to be willful and deliberate.

14 CyWee is informed and believes, and thereupon alleges, that Apple 17. actively induces customers to infringe the '978 Patent in violation of 35 U.S.C. § 15 271(b) by instructing and otherwise encouraging infringement and by providing 16 infringing mobile devices and 3D pointing technologies preinstalled in the Accused 17 Products. For example, Apple provides application developers a Core Motion 18 Framework Reference for Apple's iOS platform to enable end users to enable the 19 Accused Products' hardware to determine current position or motion associated with 20 the device. Consumers of the Accused Products then directly or jointly infringe the 21 '978 Patent. 22

18. CyWee is informed and believes, and thereupon alleges, that Apple
knowingly offers to sell or sells within the United States or imports into the United
States the Accused Products that contain infringing 3D pointing technologies
preinstalled. The 3D pointing technologies are especially made or especially adapted
for use in infringement of the '978 Patent. The Accused Products are not staple

FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT CASE NO. 3:14-CV-01853 JSC articles or commodities of commerce suitable for substantial non-infringing use and
 constitute a material part of the invention claimed by the '978 Patent at least because
 Apple's 3D pointing technologies in the Accused Products work in conjunction with
 mobile applications in a manner that infringes the '978 Patent. Therefore, Apple is
 also contributing to the direct infringement of the '978 Patent by the users of these
 products.

7 19. Defendant's acts of infringement have caused and will continue to
8 cause substantial and irreparable damage to CyWee.

9 20. As a result of the infringement of the '978 Patent by Defendant, CyWee
10 has been damaged. CyWee is, therefore, entitled to such damages pursuant to 35
11 U.S.C. § 284 in an amount that presently cannot be pled but that will be determined
12 at trial.

13

#### PATENT INFRINGEMENT OF U.S. PATENT NO. 8,441,438

14 21. Plaintiff repeats and re-alleges each and every allegation of paragraphs
15 1-7 as though fully set forth herein.

16 22. U.S. Patent No. 8,441,438 (the '438 Patent"), titled "3D Pointing
17 Device and Method for Compensating Movement Thereof," was duly and legally
18 issued by the United States Patent and Trademark Office on May 14, 2013 to Cywee
19 Group Limited, as assignee of named inventors Zhou Ye, Chin-Lung Li, Shun-Nan
20 Liou. A true and correct copy of the '438 Patent is attached hereto as Exhibit B.

21 23. CyWee is the owner of all right, title, and interest in and to the '438
22 Patent with full right to bring suit to enforce the patent, including the right to recover
23 for past infringement damages.

24 24. Each and every claim of the '438 Patent is valid and enforceable and
25 each enjoys a statutory presumption of validity separate, apart, and in addition to the
26 statutory presumption of validity enjoyed by every other of its claims. 35 U.S.C. §
27 282.

28

FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT CASE NO. 3:14-CV-01853 JSC

4

25. Apple has at no time, either expressly or impliedly, been licensed under
 the '438 Patent.

3 26. The '438 Patent describes and claims, *inter alia*, 3D pointing devices
4 and methods for compensating movement.

5 27. CyWee is informed and believes, and thereupon alleges, that Apple,
6 without authorization or license, has been, and is currently directly or indirectly
7 infringing one or more claims of the '438 Patent in violation of 35 U.S.C. § 271,
8 including as stated below.

9 28. CyWee is informed and believes, and thereupon alleges, that Apple has
10 directly infringed, literally and/or under the doctrine of equivalents, and will
11 continue to directly infringe each patent claim of the '438 Patent by making, using,
12 selling, offering to sell, and/or importing into the United States the Accused
13 Products that embody or practice the apparatus and/or method covered by one or
14 more claims of the '438 Patent, including but not limited to the Accused Products.

15 29. Apple has had knowledge of and notice of the '438 Patent and Apple's
16 infringement of the '438 Patent since at least March 31, 2014, and through, the filing
17 and service of this Complaint and despite this knowledge continues to infringe. On
18 March 31, 2014, CyWee disclosed several patents, including the '438 Patent, in pre19 suit licensing discussions with Apple. Apple's infringement of the '438 patent has
20 been and continues to be willful and deliberate.

CyWee is informed and believes, and thereupon alleges, that Apple 21 30. 22 actively induces customers to infringe the '438 Patent in violation of 35 U.S.C. § 23 271(b) by instructing and otherwise encouraging infringement and by providing 24 infringing mobile devices and 3D pointing technologies preinstalled in the Accused Products. For example, Apple provides application developers a Core Motion 25 26 Framework Reference for Apple's iOS platform to enable end users to enable the 27 Accused Products' hardware to determine current position or motion associated with 28

FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT CASE NO. 3:14-CV-01853 JSC the device. Consumers of the Accused Products then directly or jointly infringe the
 '438 Patent.

3 31. CyWee is informed and believes, and thereupon alleges, that Apple knowingly offers to sell or sells within the United States or imports into the United 4 States the Accused Products that contain infringing 3D pointing technologies 5 6 preinstalled. The 3D pointing technologies are especially made or especially adapted for use in infringement of the '438 Patent. The Accused Products are not staple 7 8 articles or commodities of commerce suitable for substantial non-infringing use and 9 constitute a material part of the invention claimed by the '438 Patent at least because Apple's 3D pointing technologies in the Accused Products work in conjunction with 10 11 mobile applications in a manner that infringes the '438 Patent. Therefore, Apple is 12 also contributing to the direct infringement of the '438 Patent by the users of these 13 products.

14 32. Defendant's acts of infringement have caused and will continue to15 cause substantial and irreparable damage to CyWee.

16 33. As a result of the infringement of the '438 Patent by Defendant, CyWee
17 has been damaged. CyWee is, therefore, entitled to such damages pursuant to 35
18 U.S.C. § 284 in an amount that presently cannot be pled but that will be determined
19 at trial.

20

#### **PRAYER FOR RELIEF**

21 WHEREFORE, Plaintiff prays for entry of judgment against Defendant as
22 follows:

A. A judgment that Defendant has infringed and continues to infringe the
'978 Patent and '438 Patent, directly and/or indirectly by way of
inducing or contributing to infringement of such patents as alleged
herein;

27 28

FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT CASE NO. 3:14-CV-01853 JSC

6

1	B.	That Defendant provide	e to CyWee an accounting of all gains, profits		
2		and advantages derived by Defendant's infringement of the '978 Patent			
3		and '438 Patent, and t	hat CyWee be awarded damages adequate to		
4		compensate them for the wrongful infringement by Defendant,			
5		including treble damage	es for willful infringement, in accordance with		
6		35 U.S.C. § 284;			
7	C.	That CyWee be awarde	d any other supplemental damages and interest		
8		on all damages, includ	ing, but not limited to attorney fees available		
9		under 35 U.S.C. § 285;			
10	D.	That the Court permane	ently enjoin Defendant and all those in privity		
11		with Defendant from m	naking, having made, selling, offering for sale,		
12		distributing and/or using products that infringe the '978 Patent and			
13		'438, including the Accu	used Products, in the United States; and		
14	E.	That CyWee be awarde	d such other and further relief and all remedies		
15		available at law.			
16		DEMAND	FOR JURY TRIAL		
17	Pursu	ant to Federal Rule of C	ivil Procedure 38(b), CyWee hereby demands a		
18	trial by jury	on all issues triable to a j	ury.		
19 20					
20 21	Dated	l: May 9, 2014	Respectfully submitted,		
22			/s/ Jill F. Kopeikin		
23					
24			jkopeikin@gcalaw.com		
25			GCA LAW PARTNERS LLP		
26			Mountain View, CA 94040		
27			Telephone: (650) 428-3900 Fax: (650) 428-3901		
28	EIDET ANTEND		$1 \text{ and } (050) = 40^{-}5701$		
	CASE NO. 3:14-CV-01853 JSC				

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#### TO: Mail Stop 8 Director of the U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been

filed in the U.S. District Court <u>Northern District of California</u> on the following **V** Patents or Trademarks:

		LUS DI	STRICT COURT
DOCKET NO.	DATE FILED	0.5. 01	DO Der 26060 Sen Francisco, CA 94102
CV 14-01853 JSC	4/22/14	4:	50 Golden Gate Avenue, P.U. Box 30000, San Francisco, CA 94102
PLAINTIFF			DEFENDANI
CYWEE GROUP LTI	)		APPLE INC
	DATE OF PATENT		L
PATENT OR	OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
IRADEMARK NO.			
1 see Complaint			
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28,55,2.418			
138,441,438			
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In the above-entitled case, the following patent(s) have been included:

DATE INCLUDED	INCLUDED BY	ment Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDI	ER OF PATENT OR T	RADEMARK
1 See Amended Comp	aint			
2				
3				
4				
4				
5				

In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLEBK	(BY) DEPUTY CLERK	DATE
Richard W. Wieking	Sheila Rash	April 23, 2014

Copy 1—Upon initiation of action, mail this copy to Commissioner Copy 3—Upon termination of action, mail this copy to Commissioner Copy 2—Upon filing document adding patent(s), mail this copy to Commissioner Copy 4—Case file copy





APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/176,771	10/08/2013	8552978	040-13-0052.IUS	5154
66749 759	00 09/18/2013			
DING YU TAN				

22114 LEGENDRE RD RICHMOND, TX 77407

## **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 144 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Zhou Ye, Foster City, CA; Chin-Lung Li, Taoyuan County, TAIWAN; Shun-Nan Liou, Kaohsiung City, TAIWAN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

## Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for universative fees will be readered otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

or <u>Fax</u>

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

06/25/2013

66749 7590 DING YU TAN 22114 LEGENDRE RD RICHMOND, TX 77407 Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

#### **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/176,771	07/06/2011	Zhou Ye	040-13-0052.IUS	5154

ITTLE OF INVENTION: 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$890	\$300	<b>\$0</b>	\$1190	09/25/2013
EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
SADIO	, INSA	2692	345-157000			
<ul> <li>I. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ul>		<ol> <li>For printing on the p.</li> <li>the names of up to or agents OR, alternativ</li> <li>the name of a single registered attorney or a 2 registered patent attool listed, no name will be</li> </ol>	atent front page, list 3 registered patent attorn vely, e firm (having as a memb ugent) and the names of up rneys or agents. If no nam printed.	era 2 pto eris 3	ú Tạn	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

# CYWEE GROUP LIMITED Please check the appropriate assignee category or categories (will not be printed on the patent): Individual Acorporation or other private group entity Government

TORTOLA, VG

5. Change in Entity Status (from status indicated above)
 Applicant certifying micro entity status. See 37 CFR 1.29

**D**....

Applicant asserting small entity status. See 37 CFR 1.27

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U

an

Applicant changing to regular undiscounted fee status.

<u>NOTE</u>: Absent a valid certification of Micro Entity Status (see form PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. <u>NOTE</u>: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in nerest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Typed or printed name .

Date 09/04/2013 Registration No. 588/2

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) in application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Electronic Patent Application Fee Transmittal					
Application Number:	13	13176771			
Filing Date:	06	-Jul-2011			
Title of Invention:	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF				
First Named Inventor/Applicant Name:	Zh	ou Ye			
Filer:	Dir	ng Yu Tan			
Attorney Docket Number:	04	0-13-0052.IUS			
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Utility Appl Issue Fee		2501	1	890	890
Publ. Fee- Early, Voluntary, or Normal		<sup>1504</sup> 020	1	300	300

Page 20 of 209

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1190

Electronic Acknowledgement Receipt					
EFS ID:	16766359				
Application Number:	13176771				
International Application Number:					
Confirmation Number:	5154				
Title of Invention:	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF				
First Named Inventor/Applicant Name:	Zhou Ye				
Customer Number:	66749				
Filer:	Ding Yu Tan				
Filer Authorized By:					
Attorney Docket Number:	040-13-0052.IUS				
Receipt Date:	04-SEP-2013				
Filing Date:	06-JUL-2011				
Time Stamp:	22:27:52				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment	yes						
Payment Type	Credit Card	Credit Card					
Payment was successfully received in RAM	\$1190						
RAM confirmation Number	11075						
Deposit Account							
Authorized User	Authorized User						
File Listing:							
Document Document Description	⊖ <b>∱ij</b> e Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
Page 22 of 209	·						

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Warnings:		·			•
Information	:				
		Total Files Size (in bytes)	: 1	03677	
This Acknow characterize Post Card, as	vledgement Receipt evidences receip d by the applicant, and including pa s described in MPEP 503.	t on the noted date by the U ge counts, where applicable.	SPTO of the indicated It serves as evidence	l document of receipt s	s, imilar to a

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. UNITED STATES PATENT AND TRADEMARK OFFICE

06/25/2013



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

66749 7590 DING YU TAN 22114 LEGENDRE RD RICHMOND, TX 77407 EXAMINER

SADIO, INSA

ART UNIT PAPER NUMBER
2692

DATE MAILED: 06/25/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/176,771	07/06/2011	Zhou Ye	040-13-0052.IUS	5154

TITLE OF INVENTION: 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$890	\$300	\$0	\$1190	09/25/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

06/25/2013

66749 7590 DING YU TAN 22114 LEGENDRE RD RICHMOND, TX 77407 Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name
(Signature
(Date

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/176.771	07/06/2011	Zhou Ye	040-13-0052.IUS	5154

TITLE OF INVENTION: 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$890	\$300	\$0	\$1190	09/25/2013
EXAN	IINER	ART UNIT	CLASS-SUBCLASS			
SADIC	, INSA	2692	345-157000	-		
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>		<ol> <li>For printing on the p         <ol> <li>the names of up to             or agents OR, alternativ</li> <li>the name of a singleregistered attorney or a             2 registered patent attos             listed, no name will be</li> </ol> </li> </ol>	atent front page, list 3 registered patent attorn rely, e firm (having as a memb gent) and the names of up rneys or agents. If no nam printed.	er a 2 p to e is 3		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will no	ot be printed on the patent) : 🛛 Individual 🖵 Corporation or other private group entity 🖵 Government
<ul> <li>4a. The following fee(s) are submitted:</li> <li>Issue Fee</li> <li>Publication Fee (No small entity discount permitted)</li> <li>Advance Order - # of Copies</li> </ul>	<ul> <li>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).</li> </ul>

5. Change in Entity Status (from status indicated above)	
Applicant certifying micro entity status. See 37 CFR 1.29	<u>NOTE:</u> Absent a valid certification of Micro Entity Status (see form PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
Applicant asserting small entity status. See 37 CFR 1.27	<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
Applicant changing to regular undiscounted fee status.	<u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

 Authorized Signature
 Date

Typed or printed name

Registration No. \_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov							
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
13/176,771	07/06/2011	Zhou Ye	040-13-0052.IUS	5154			
66749 75	90 06/25/2013		EXAM	IINER			
DING YU TAN 22114 LEGENDRI	ERD		SADIO	, INSA			
RICHMOND, TX	77407		ART UNIT	PAPER NUMBER			
			2692				
			DATE MAILED: 06/25/201	3			

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 144 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 144 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)		
Notice of Allowability	Examiner INSA SADIO	Art Unit 2692	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet with the (OR REMAINS) CLOSED in this a ) or other appropriate communication IGHTS. This application is subject 3 and MPEP 1308.	correspondence opplication. If no on will be mailed to withdrawal fre	t included in due course. <b>THIS</b> om issue at the initiative	
1. X This communication is responsive to Application filed on 07	7/06/2011.			
A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was	s/were filed on <u>.</u>			
2. An election was made by the applicant in response to a res requirement and election have been incorporated into this a	triction requirement set forth during action.	the interview or	n; the restriction	
3. ⊠ The allowed claim(s) is/are <u>1,4-12 and 15-22</u> . As a result of <b>Prosecution Highway</b> program at a participating intellectual please see <u>http://www.uspto.gov/patents/init_events/pph/int</u>	the allowed claim(s), you may be al property office for the correspond <u>dex.jsp</u> or send an inquiry to <u>PPHfe</u>	eligible to benefi ling application. edback@uspto.	t from the <b>Patent</b> For more information, <u>gov</u> .	
4. Acknowledgment is made of a claim for foreign priority under	er 35 U.S.C. § 119(a)-(d) or (f).			
Certified copies:				
a) ☐ Allb) ☐ Some _*c) ☐ None of the:				
1. Certified copies of the priority documents have	e been received.			
2. Certified copies of the priority documents have	e been received in Application No.		opplication from the	
International Bureau (PCT Bule 17 2(a))	cuments have been received in this	s national stage	application nom the	
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Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE	of this communication to file a repl MENT of this application.	y complying with	the requirements	
5 □ CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted			
including changes required by the attached Examiner	's Amendment / Comment or in the	Office action of		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in	I.84(c)) should be written on the drav the header according to 37 CFR 1.12	vings in the front 1(d).	(not the back) of	
6. DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT F	BIOLOGICAL MATERIAL must be s OR THE DEPOSIT OF BIOLOGICA	submitted. Note	the	
Attachmont(c)				
1. Notice of References Cited (PTO-892)	5. 🔲 Examiner's Amer	ndment/Commer	ıt	
2. Information Disclosure Statements (PTO/SB/08),	6. 🗌 Examiner's State	ment of Reasons	s for Allowance	
Paper No./Mail Date	7 🗖 Other			
<ul> <li>of Biological Material</li> <li>4. Interview Summary (PTO-413), Paper No./Mail Date</li> </ul>				
INSA SADIO	/LUN-YI LAO/			
Examiner Art Linit: 2692	Supervisory Patent E	Examiner, Art L	Init 2692	
U.S. Patent and Trademark Office PTOL-37 (Rev. 03-13) No	tice of Allowability	Part of Pape	er No./Mail Date 20130628	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13176771	YE ET AL.
	Examiner	Art Unit
	INSA SADIO	2692

CPC- SEARCHED		
Symbol	Date	Examiner

<b>CPC COMBINATION SETS - SEARCHED</b>				
Symbol	Date	Examiner		

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			
345	156-168, 173-183	6/16/2013	/IS/			
178	18.01-18.04, 19.01-19.04	6/16/2013	/IS/			

SEARCH NOTES		
Search Notes	Date	Examiner
Inventor search	6/16/2013	/IS/

INTERFERENCE SEARCH						
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			
	Searched all of the above	6/16/2013	/IS/			

### EAST Search History

#### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	3355	178/18.01-18.04,19.01-19.04.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:49
L2	40	("5440326"   "7236156"   "6061611"   "7158118"   "7414611"   "7489298"   "7535456"   "5898421"   "7262760"   "7817134"   "5138154"   "7239301"   "20110307173"   "7774155"   "7924264"   "20080096654"   "6993451"   "8010313"   "20090262074").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:49
L3	0	1 and L2	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:49
L4	21165	345/173-183.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:49
L5	2791	178/18.01,18.03,19.01.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:49
L6	22393	L4 L5	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:49
L7	412	L6 and (orientat\$3 same sens\$3) and (rotat\$3 same sens\$3)and (comput\$3 with process\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:49

Page 31 of 209 file:///Cl/Users/isadio/Documents/e-Red%20Folder/13176771/EASTSearchHistory.13176771\_AccessibleVersion.htm[6/16/2013 8:50:51 PM]

L8	60	1 and L7	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	OR	ON	2013/06/16 20:49
S1	76	((ZHOU) near2 (YE)).INV.	US-PGPUB; USPAT	OR	OFF	2012/12/29 20:11
S2	6	((CHIN-LUNG) near2 (LI)).INV.	US-PGPUB; USPAT	OR	OFF	2012/12/29 20:12
S3	52	((SHUN-NAN) near2 (LIOU)).INV.	US-PGPUB; USPAT	OR	OFF	2012/12/29 20:12
S4	118	S1 S2 S3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:15
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S6	10	US-6993451-\$.DID. OR US-7774155- \$.DID. OR US-8010313-\$.DID. OR US- 20080096654-\$.DID. OR US-6061611- \$.DID. OR US-7924264-\$.DID. OR US- 7817134-\$.DID. OR US-20090262074- \$.DID. OR US-1994197-\$.DID.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/29 20:17
S7	10	US-5138154-\$.DID. OR US-5440326- \$.DID. OR US-5898421-\$.DID. OR US- 7158118-\$.DID. OR US-7236156-\$.DID. OR US-7239301-\$.DID. OR US- 7262760-\$.DID. OR US-7414611-\$.DID. OR US-7489298-\$.DID. OR US- 7535456-\$.DID.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/29 20:18
58	41	S5 S6 S7	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:18
S9	7	US-6993451-\$.DID. OR US-7774155- \$.DID. OR US-8010313-\$.DID. OR US- 20080096654-\$.DID. OR US-6061611- \$.DID. OR US-7924264-\$.DID. OR US- 7817134-\$.DID.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/12/29 20:19
S10	41	S8 S9	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:19

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S13	3	12/943934	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:21
S14	18848	345/156-168.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:21
S15	14	S12 and S14	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:22
S16	10	S15 and (orient\$3 with sens\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:37
S17	11	S15 and (orient\$3 same sens\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:37
S18	13	S15 and (orientat\$3 same sens\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:39
S19	13	S15 and (orientat\$3 same sens\$3) and (rotat\$3 same sens\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	OR	ON	2012/12/29 20:41

			DERWENT; IBM_TDB			
S20	11	S15 and (orientat\$3 same sens\$3) and (rotat\$3 same sens\$3)and (comput\$3 with process\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:44
S21	0	"20090262074".pn. and (earth same (magnet\$5 magnetometer))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:32
S22	0	"20090262074".pn. and (earth same (magnetism magnet\$3 magnetometer))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:33
S23	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:34
S24	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer orbit\$4))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:35
S25	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer orbit\$4 orientat\$3))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:36
S26	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer orbit\$4 orientat\$3 space))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:38
S27	1	"20090262074".pn. and (control\$4 with process\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:58
528	1	"20090262074".pn. and (control\$4 with process\$3) and (velocit\$3 and movement)	US-PGPUB; USPAT; USOCR;	OR	ON	2012/12/30 00:17

			FPRS; EPO; JPO; DERWENT; IBM_TDB			
S29	1	"20090262074".pn. and (control\$4 with process\$3) and (velocit\$3 same movement)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/30 00:17
S30	1	"20090262074".pn. and (control\$4 with process\$3) and (velocit\$3 with movement)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/30 00:17
S31	21165	345/173-183.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:00
S32	2791	178/18.01,18.03,19.01.ccls.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	OR	ON	2013/06/16 20:01
S33	22393	S31 S32	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:01
S34	412	S33 and (orientat\$3 same sens\$3) and (rotat\$3 same sens\$3)and (comput\$3 with process\$4)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:01
S35	469	S33 and (control\$4 with process\$3) and (velocit\$3 with movement)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:02
S36	42	S34 and S35	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:03
S37	0	(refrence and sensor and poiting and	US-PGPUB;	OR	ON	2013/06/16

		device and three and coordinate and device and earth and processor and orientation).clm.	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			20:06
S38	0	(reference and sensor and poiting and device and three and coordinate and device and earth and processor and orientation).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:06
S39	0	(reference and sensor and poiting and device and three and coordinate and device and processor and orientation).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:06
S40	13	(reference and sensor and pointing and device and three and coordinate and device and processor and orientation).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2013/06/16 20:06

#### EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S41	13	(reference and sensor and pointing and device and three and coordinate and device and processor and orientation).clm.	US- PGPUB; USPAT; UPAD	OR	ON	2013/06/16 20:07
S42	20	(reference and sensor and pointing and device and three and coordinate and device and processor).clm.	US- PGPUB; USPAT; UPAD	OR	ON	2013/06/16 20:08
S43	20	(reference and sensor and pointing and device and three and coordinate and processor).clm.	US- PGPUB; USPAT; UPAD	OR	ON	2013/06/16 20:08
S44	32	(reference and sensor and pointing and device and coordinate and processor).clm.	US- PGPUB; USPAT; UPAD	OR	ON	2013/06/16 20:08
S45	85	(reference and pointing and device and coordinate and processor).clm.	US- PGPUB; USPAT; UPAD	OR	ON	2013/06/16 20:08

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13176771	YE ET AL.
	Examiner	Art Unit
	INSA SADIO	2692

CPC			
Symbol		Туре	Version

CPC Combination Sets								
Symbol		Туре	Set	Ranking	Version			

US ORIGINAL CLASSIFICATION					INTERNATIONAL CLASSIFICATION										
	CLASS		SUBCLASS				CLAIMED					NON-CLAIMED			
345			157			G	0	6	F	3 / 033 (2013.0)					
				G	0	9	G	5 / 08 (2006.0)							
			ERENCE	3)											
CLASS	SUB	CLASS (ON	E SUBCLAS	S PER BLOO	:К)										
345	156	158	173												
178	18.01	18.03	19.01												

/INSA SADIO/ Examiner.Art Unit 2692	06/16/2013	Total Claims Allowed:		
(Assistant Examiner)	(Date)			
/LUN-YI LAO/ Supervisory Patent Examiner.Art Unit 2692	06/17/2013	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	4&9	

U.S. Patent and Trademark Office

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				IN	INSA SADIO					1	2692							

/INSA SADIO/ Examiner.Art Unit 2692	06/16/2013	Total Clain	ns Allowed:		
(Assistant Examiner)	(Date)	18			
/LUN-YI LAO/ Supervisory Patent Examiner.Art Unit 2692	06/17/2013	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	4&9		
U.S. Patent and Trademark Office Part of Paper No					

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13176771	YE ET AL.
	Examiner	Art Unit
	INSA SADIO	2692

	Claims renumbered in the same order as presented by applica								СР	A [	] T.D.	[	] R.1.4	47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	13	17												
-	2	14	18												
-	3	17	19												
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12	16														

/INSA SADIO/ Examiner.Art Unit 2692	06/16/2013	Total Claims Allowed:			
(Assistant Examiner)	(Date)	1	8		
/LUN-YI LAO/ Supervisory Patent Examiner.Art Unit 2692	06/17/2013	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	4&9		
U.S. Patent and Trademark Office Part of Paper No					

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# **Title:** 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

Appl. No.	:	13/176,771	Confirmation No.	5154
Applicant	:	Zhou Ye et al.		
Filing Date	:	07/06/2011		
TC/A.U.	:	2692		
Examiner	:	SADIO, INSA		
Docket No.	:	040-13-0052.IUS		
Customer No.		66749		

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

### **Amendments**

In response to the outstanding Office action, mailed on Jan. 28, 2013, in connection with the above-identified application, kindly amend the subject application as follows and consider the accompanying remarks.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.

#### Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### Listing of Claims:

1. (currently-amended) A 3D pointing device, comprising:

an orientation sensor, generating an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global reference frame associated with Earth;

a rotation sensor, generating a rotation output associated with a rotation of the 3D pointing device associated with three coordinate axes of a spatial reference frame associated with the 3D pointing device; and

a first computing processor, using the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device[[.]].

wherein the orientation sensor comprises:

an accelerometer, generating a first signal set comprising axial accelerations associated with movements and rotations of the 3D pointing device in the spatial reference frame;

a magnetometer, generating a second signal set associated with Earth's magnetism; and

a second computing processor, generating the orientation output based on the first signal set, the second signal set and the rotation output or based on the first signal set and the second signal set; wherein the rotation sensor, the accelerometer, and the magnetometer forming a nine-axis motion sensor module; the 3D pointing device is configured for obtaining one or more resultant deviation including a plurality of deviation angles using a plurality of measured magnetisms Mx, My, Mz and a plurality of predicted magnetism Mx', My' and Mz'.

2. (canceled)

3. (canceled)

4. (currently-amended) The 3D pointing device of claim [[3]]1, wherein the orientation output comprises a yaw angle, a pitch angle, and a roll angle associated with the three coordinate axes of the global reference frame; the first signal set comprises a first axial acceleration, a second axial acceleration, and a third axial acceleration; the second computing processor calculates the pitch angle based on the first axial acceleration, calculates the roll angle based on the second axial acceleration and the pitch angle or based on the third axial acceleration and the pitch angle or based on the pitch angle, and calculates the yaw angle based on the pitch angle, the roll angle, and the second signal set.

5. (original) The 3D pointing device of claim 1, wherein the orientation output provided by the orientation sensor is a rotation matrix, a quaternion, a rotation vector, or comprises three orientation angles.

6. (original) The 3D pointing device of claim 1, wherein the transformed output represents a segment of a movement in a plane in the fixed reference frame

parallel to a screen of the display device.

7. (original) The 3D pointing device of claim 1, wherein the first computing processor obtains an orientation of the display device associated with the global reference frame, obtains an orientation of the 3D pointing device associated with the fixed reference frame based on the orientation output and the orientation of the display device associated with the global reference frame, generates a transformed rotation associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed based on the orientation of the 3D pointing device associated with the fixed based on the orientation of the 3D pointing device associated with the fixed based on the orientation of the 3D pointing device associated with the fixed based on the orientation of the 3D pointing device associated with the fixed based on the orientation of the 3D pointing device associated with the fixed based on the transformed rotation output, and generates the transformed output based on the transformed rotation.

8. (original) The 3D pointing device of claim 7, wherein when the first computing processor receives a reset signal, the first computing processor records a current orientation output generated by the orientation sensor as the orientation of the display device associated with the global reference frame.

9. (original) The 3D pointing device of claim 8, wherein the current orientation output comprises a yaw angle associated with one of the three coordinate axes of the global reference frame, the first computing processor obtains the orientation of the 3D pointing device associated with the fixed reference frame by subtracting the yaw angle from the orientation output.

10. (original) The 3D pointing device of claim 7, wherein the first computing processor obtains a rotation matrix from the orientation of the 3D pointing device associated with the fixed reference frame, and multiplies the rotation

matrix and the rotation output together to generate the transformed rotation.

11. (original) The 3D pointing device of claim 10, wherein the transformed rotation comprises a first angular velocity, a second angular velocity, and a third angular velocity associated with three coordinate axes of the fixed reference frame; the transformed output comprises a first movement component and a second movement component associated with two of the three coordinate axes of the fixed reference frame; the fixed reference frame; the second angular velocity by a scale factor to generate the second movement component and multiplies the third angular velocity by the scale factor to generate the first movement.

12. (currently-amended) A method for compensating rotations of a 3D pointing device, comprising:

generating an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global reference frame associated with Earth;

generating a first signal set comprising axial accelerations associated with movements and rotations of the 3D pointing device in the spatial reference frame;

generating a second signal set associated with Earth's magnetism; generating the orientation output based on the first signal set, the second signal set and the rotation output or based on the first signal set and the second signal set;

generating a rotation output associated with a rotation of the 3D pointing device associated with three coordinate axes of a spatial reference frame associated with the 3D pointing device; and

using the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device[[.]], wherein the orientation output and the rotation output is generated by a nine-axis motion sensor module; obtaining one or more resultant deviation including a plurality of deviation angles using a plurality of measured magnetisms Mx, My, Mz and a plurality of predicted magnetism Mx', My' and Mz' for the second signal set.

13. (canceled)

14. (canceled)

15. (currently amended) The method of claim [[14]]12, wherein the orientation output comprises a yaw angle, a pitch angle, and a roll angle associated with the three coordinate axes of the global reference frame; the first signal set comprises a first axial acceleration, a second axial acceleration, and a third axial acceleration; the step of generating the orientation output based on the first signal set and the second signal set comprises:

calculating the pitch angle based on the first axial acceleration;

calculating the roll angle based on the second axial acceleration and the pitch angle or based on the third axial acceleration and the pitch angle; and

calculating the yaw angle based on the pitch angle, the roll angle, and the second signal set.

16. (original) The method of claim 12, wherein the orientation output is a rotation matrix, a quaternion, a rotation vector, or comprises three orientation angles.

17. (original) The method of claim 12, wherein the transformed output represents a segment of a movement in a plane in the fixed reference frame parallel to a screen of the display device.

18. (original) The method of claim 12, wherein the step of generating the transformed output comprises:

obtaining an orientation of the display device associated with the global reference frame;

obtaining an orientation of the 3D pointing device associated with the fixed reference frame based on the orientation output and the orientation of the display device associated with the global reference frame;

generating a transformed rotation associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame and the rotation output; and

generating the transformed output based on the transformed rotation.

19. (original) The method of claim 18, wherein the step of obtaining the orientation of the display device associated with the global reference frame comprises:

recording a current orientation output as the orientation of the display device associated with the global reference frame in response to a reset signal. 20. (original) The method of claim 19, wherein the current orientation output comprises a yaw angle associated with one of the three coordinate axes of the global reference frame, and the step of obtaining the orientation of the 3D pointing device associated with the fixed reference frame comprises: obtaining the orientation of the 3D pointing device associated with the fixed reference with the fixed reference frame comprises obtaining the orientation of the 3D pointing device associated with the fixed reference frame comprises.

21. (original) The method of claim 18, wherein the step of generating the transformed rotation comprises:

obtaining a rotation matrix from the orientation of the 3D pointing device associated with the fixed reference frame; and

multiplying the rotation matrix and the rotation output together to generate the transformed rotation.

22. (original) The method of claim 21, wherein the transformed rotation comprises a first angular velocity, a second angular velocity, and a third angular velocity associated with three coordinate axes of the fixed reference frame; the transformed output comprises a first movement component and a second movement component associated with two of the three coordinate axes of the fixed reference frame; the step of generating the transformed output based on the transformed rotation comprises:

multiplying the second angular velocity by a scale factor to generate the second movement component; and

multiplying the third angular velocity by the scale factor to generate the first movement component.

#### REMARKS

Applicant has amended claims 1, 4, 12 and 15, and cancelled claims 2, 3, 13, and 14 without prejudice. The amendments to the claims are based on what was previously expressly disclosed or inherent in the specification as originally filed. No new matter is added. Applicant respectfully submits that all the hitherto pending claims are now placed in position for allowance. Detailed reasons for allowance are provided below.

#### Double Patenting Rejections under 37 CFR 1.78(b)

Claims 1-22 of this application conflict with claims 1-20 of Application No. 12/943,034. Applicant is required to either cancel the conflicting claims from all but one application or maintain a clear line of demarcation between the applications.

In response, Applicant submits that claim 1 is hereby amended to include new limitations of "a nine-axis motion sensor module" and "the 3D pointing device is configured for obtaining one or more resultant deviation including a plurality of deviation angles using a plurality of **measured magnetisms** Mx, My, Mz and a plurality of **predicted magnetism** Mx', My' and Mz'", and claim 12 is hereby amended to include new limitations of "wherein the orientation output and the rotation output is generated by a nine-axis motion sensor module" and "obtaining one or more resultant deviation including a plurality of deviation angles using a plurality of measured magnetisms Mx, My, Mz and a plurality of predicted magnetism Mx', My' and Mz' for the second signal set" to fully patentably differentiate and provide clear line of demarcation between this application and Application No. 12/943,034. Applicant submits that Application No. 12/943,034 includes the claimed subject matter of a six-axis motion sensor module without having and using measured magnetisms and predicted magnetisms.

Based on the amendments to claims 1 and 12, Applicant submits that the double patenting rejections are overcome.

#### Claim Rejections under 35 U.S.C. §103

Claims 1-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nasiri et al. (20090262074), hereinafter referred to as Nasiri.

#### In response, regarding claim 1,

Applicant submits that [0059] and [0071] of the specification of instant application expressly recites features regarding the motion sensor module's magnetometer signals used to facilitate the obtaining of the resultant deviation including deviation angles in 3D reference, in which measured magnetisms Mx, My, Mz are obtained, and predicted magnetism Mx', My' and Mz' are calculated and obtained.

Applicant submits the limitations of amended claim 1 which includes of (1) wherein the rotation sensor, the accelerometer, and the magnetometer forming a nine-axis motion sensor module; and (2) obtaining resultant deviation including deviation angles using a plurality of measured magnetisms Mx, My, Mz, and a plurality of predicted magnetism Mx', My' and Mz' are patentable over Nasiri based on the following traversal.

Regarding amended claim 1, Applicant submits that Nasiri fails to teach or

suggest of having orientation associated or **defined with respect to the global reference frame.** Referring to [0145] of Nasiri, which recites "In one embodiment, the gyroscopes and accelerometers can be combined in a sensor fusion algorithm in order to provide a rotation matrix, quaternion, or Euler angle representation of the devices orientation in space. This orientation can be mapped directly or with constraints to a **virtual world** shown in the display of the device." And to [0123] of Naziri, which recites that " In the present invention, a yaw gyroscope can be used to control portrait and landscape orientation in this case or horizontal screen orientation." Therefore, the orientation taught in Nasiri **is with respect to spatial reference frame, and therefore fails to be "associated with three coordinate axes of a global reference frame** associated with Earth" as claimed in claim 1.

Applicant submits the claimed invention for amended claim 1 for the 3D pointing device adopts a nine-axis motion sensor module with an **enhanced comparison** method or model for **eliminating accumulated errors** of said nine-axis motion sensor module to obtain **deviation angles** corresponding to movements and rotations of said electronic device in a spatial pointer reference frame. In other words, the claimed invention for amended claim 1 for the 3D pointing device of the present invention is capable of accurately outputting the deviation angles including yaw, pitch and roll angles in a 3D spatial pointer reference frame of the 3D pointing device to eliminate or reduce accumulated errors and noises generated over time in a dynamic environment.

Comparing to the specification of present application in [0013], which is reproduced as follow: "[0013] According to another example embodiment of

the present invention, an electronic device capable of generating 3D deviation angles and for use in for example computers, motion detection or navigation is provided. The electronic device may utilize a nine-axis motion sensor module with an **enhanced comparison** method or model for **eliminating** accumulated errors of said nine-axis motion sensor module to obtain deviation angles corresponding to movements and rotations of said electronic device in a spatial pointer reference frame. The comparison method or model may be advantageously provided by comparing signals from the abovementioned nine-axis motion sensor module capable of detecting rotation rates or angular velocities of the electronic device about all of the X<sub>P</sub>, Y<sub>P</sub> and Z<sub>P</sub> axes as well as axial accelerations and ambient magnetism including such as earth's magnetic field or that of other planets of the electronic device along all of the  $X_P$ ,  $Y_P$  and  $Z_P$  axes such that deviation angles of the resultant deviation of the electronic device of the present invention may be preferably obtained or outputted in an absolute manner. In other words, the present invention is capable of accurately outputting the abovementioned deviation angles including yaw, pitch and roll angles in a 3D spatial pointer reference frame of the 3D pointing device to eliminate or reduce accumulated errors and noises generated over time in a dynamic environment including conditions such as being subject to a combination of continuous movements, rotations, external gravity forces, magnetic field and additional extra accelerations in multiple directions or movement and rotations that are continuously nonlinear with respect to time; and furthermore, based on the deviation angles being compensated and accurately outputted in 3D spatial reference frame may be further mapped onto or translated into another reference frame such as the abovementioned display frame, for example a reference in two-dimension

(2D)." (emphasis added), Applicant submits that Nasiri teaches the following in [0068]: "Having other algorithms that filter out hand shake and reduce drift may also be used to improve the usability of the device." and Nasiri teaches the following in [0049]: "Some implementations may employ more than three gyroscopes, for example to enhance accuracy, increase performance, or improve reliability. Some implementations may employed more than three accelerometers, for example to enhance accuracy, increase performance, or improve reliability.

Based on the above teachings from Naziri, it can be deduced that Naziri teaches away from the above **enhanced comparison** method for **eliminating accumulated errors** of nine-axis motion sensor module to obtain **deviation angles** corresponding to movements and rotations of said electronic device in a spatial pointer reference frame, **by teaching instead**, on using algorithms for filtering out hand shake, and using more than 3 gyroscopes and/or more than 3 accelerometers for improving accuracy and performance.

Applicant further submits that, Nasiri **only briefly** describes about "magnetometers" in [0053] and [0067], as being reproduced below as follows:

[0053]: "For example, sensors such one or more barometers, compasses or magnetometers, temperature sensors, optical sensors (such as a camera sensor, infrared sensor, etc.), ultrasonic sensors, radio frequency sensors, or other types of sensors can be provided. For example, a compass or magnetometer sensor can provide an additional one, two, or three axes of sensing, such as two horizontal vectors and a third vertical

vector." (emphasis added)

[0067]: "These control signals may be derived only from gyroscopes of the device, or they may be derived from a combination of any of gyroscopes, accelerometers, and magnetometers of the device as the output of a sensor fusion algorithm (e.g., an algorithm combining inputs from multiple sensors to provide more robust sensing, an example of which is described in copending U.S. patent application Ser. No. 12/252,322, incorporated herein by reference)."

Based on the above reproduced passages from Nasiri, it can deduced that Nasiri fails to disclose or teach of **calculating resultant deviations based upon axial accelerations and magnetisms** as claimed in amended claim 1.

Applicant submits that Nasiri fails to disclose or teach of a nine-axis motion sensor module, but instead, Nasiri teaches a 6-axis sensing device being provided for providing sensing in six degrees of freedom, and teaching also the following: In embodiments with more than three gyroscopes and/or more than three accelerometers, additional degrees of freedom (or sensing axes) can be provided, and/or additional sensor input can be provided for each of the six axis of motion.

Regarding claim 12, claim 12 is amended to include the similar or substantially the same patentable limitations that have been discussed above under amended claim 1, therefore, amended claim 12 should also be patentable and allowable over Nasiri based on the same reasons as that of

claim 1.

#### Conclusion

Applicant submits that the amendments made herein to claims 1 and 12 are sufficient for overcoming rejections under 35 U.S.C. 103(a) as being unpatentable over Nasiri.

Since dependent claims 4-11 depend on claim 1, and dependent claims 15-22 depend on claim 12, upon allowance of independent claims 1 and 12, dependent claims 4-11 and dependent claims 15-22 should also be patentable and allowable over Nasiri, respectively.

Therefore, Applicant respectfully requests that a timely Notice of Allowance be issued for the instant application.

Respectfully yours,

/Ding Yu Tan/

Ding Yu Tan, Reg. # 58812

Tel 281-668-8988

Date: April 17, 2013

Electronic Acl	knowledgement Receipt
EFS ID:	15548171
Application Number:	13176771
International Application Number:	
Confirmation Number:	5154
Title of Invention:	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF
First Named Inventor/Applicant Name:	Zhou Ye
Customer Number:	66749
Filer:	Ding Yu Tan
Filer Authorized By:	
Attorney Docket Number:	040-13-0052.IUS
Receipt Date:	17-APR-2013
Filing Date:	06-JUL-2011
Time Stamp:	22:45:05
Application Type:	Utility under 35 USC 111(a)

## Payment information:

Submitted with Payment no							
File Listing:							
Document Number	Document Description	File Name File Size(Bytes)/ Multi Message Digest Part /.zip					
1		201011_D_US_OA_Response_ USPTO.pdf	4114407 	yes	15		

	Multipart Description/PDF files in .zip description							
	Document Description	Start	End					
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1					
	Claims	2	8					
	Applicant Arguments/Remarks Made in an Amendment	9	15					
Warnings:			<u> </u>					
Information:								
	Total Files Size (in bytes):	47	14407					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respon <b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875					nd to	to a collection of information unle Application or Docket Number 13/176,771			plays a valid ( ing Date 06/2011	DMB control number.	
	AF	PLICATION A	AS FILE (Column 1	D – PART I	Column 2)		SMALL	entity 🛛	OR	OTH SMA	HER THAN
	FOR	N	JMBER FIL	.ED NUM	IBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), c	E pr (q))	N/A		N/A		N/A			N/A	
TOT (37 (	AL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		OR	X \$ =	
IND (37 (	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =			X \$ =	
(37 GFR 1.16(n))       If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).											
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (37	7 CFR 1.16(j))			TOTAL			TOTAL	
<sup>a</sup> lf t	* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL TOTAL										
	APPI	(Column 1)	AMENL	(Column 2)	(Column 3)		SMALL ENTITY			OTHER THAN OR SMALL ENTIT	
ENT	04/17/2013	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
OME	Total (37 CFR 1.16(i))	* 18	Minus	** 20	= 0		X \$40 =	0	OR	X \$ =	
IN I	Independent (37 CFR 1.16(h))	* 2	Minus	***3	= 0		X \$210 =	0	OR	X \$ =	
AME	Application Si	ze Fee (37 CFR 1	.16(s))								
`		ITATION OF MULTIF	LE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				OR		
						•	TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)				-		
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Ľ	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
ΠEN	Application Si	ze Fee (37 CFR 1	.16(s))								
AN		ITATION OF MULTIF	LE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
* If t ** If *** If The	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.         ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".         *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".         The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

In scollection of information is required by 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to file (and by the OSP10 to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USP10. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspic.org							
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE				
13/176,771	07/06/2011	Zhou Ye	35822-US-PA-0P-2				
66749 FORESIGHT IP DING YU TAN 14F., No.111, Songjiang R Unit B03 Taipei, TAIWAN	łd		CONFIRMATION NO. 5154 EPTANCE LETTER				

Date Mailed: 04/03/2013

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/18/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/sleutchit/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Vignia 22313-1450 www.uspto.gov							
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE				
13/176,771	07/06/2011	Zhou Ye	35822-US-PA-0P-2				
31561 JIANQ CHYUN INTELLEO 7 FLOOR-1, NO. 100 ROOSEVELT ROAD, SEO TAIPEI, 100 TAIWAN	CTUAL PROPERTY OFFICE		CONFIRMATION NO. 5154 F ATTORNEY NOTICE				

### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/18/2013.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/sleutchit/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Applicant Initiated Interview Request Form						
Application No.: 1317	6771	First Named Applicant	Zhou Ye			
Examiner: SADIO, INSA		Art Unit: 2692	Status of App	olication: Non-final	Rejection	
Tentative Participants (1) Examiner SADIO, INS	s: A	(2) Ding Tan				
(3)		(4)				
	. 201:	Apr 2~16				
Proposed Date of Inte	rview: 2010		Proposed Ti	ime: <u>10 AM</u>	(AM/PM)	
Type of Interview Requested:(1) [/] Telephonic(2) [] Personal(3) [] Video Conference						
Exhibit To Be Shown If yes, provide brief de	or Demonstra escription:	ated: [] YES	[ /] <b>NO</b>		_	
Issues To Be Discussed						
Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed	
(1)			[]	[]	[]	
(2)			[ -	[]	[]	
(3)			[]	[]	ĹĴ	
(4) [7] Continuation Sheet	Attached	[v] Proposed Amendm	[] ent or Argume	[] ents Attached	[]	
Brief Description of A	rguments to l	be Presented:				
An interview was con	iched 5 pages for ducted on the	proposed arguments and amended above-identified applicat	ion on	ems, thank you.		
<b>NOTE:</b> This form should be completed and filed by applicant in advance of the interview (see MPEP § 713.01). If this form is signed by a registered practitioner not of record, the Office will accept this as an indication that he or she is authorized to conduct an interview on behalf of the principal (37 CFR 1.32(a)(3)) pursuant to 37 CFR 1.34. This is not a power of attorney to any above named practitioner. See the Instruction Sheet for this form, which is incorporated by reference. By signing this form, applicant or practitioner is certifying that he or she has read the Instruction Sheet. After the interview is conducted, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible. This application will not be delayed from issue because of applicant's failure to submit a written record of this interview.						
/Ding Yu Tan/	<sup>2</sup> a Donnogontot	in Simotro	Enom	inor/CDE Sion	atura	
Ding Yu Tan	s representat	ive signature	Exam	mensre sign	ature	
Typed/Printed Name o	f Applicant or	Representative				
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Registration N	Number, if app	licable				
This collection of information is r	equired by 37 CFR	1.133. The information is required to	obtain or retain a be	enefit by the public w	hich is to file (and by the	

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 24 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# **Title:** 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

Appl. No.	:	13/176,771	Confirmation No.	5154
Applicant	:	Zhou Ye et al.		
Filing Date	:	07/06/2011		
TC/A.U.	:	2692		
Examiner	:	SADIO, INSA		
Docket No.	:	040-13-0052.IUS		
Customer No.		66749		

#### **Continuation Sheets/ Interview Agenda**

**Examiner Interview Requestor:** Ding Yu Tan, reg. # 58812 (referred herein below as Applicant rep.)

**Rejections to be discussed:** 35 U.S.C. 103(a) as being unpatentable over Nasiri et. al. (2009/0262074), hereinafter referred to as Nasiri.

**Reference to be discussed:** Nasiri et. al. (2009/0262074), hereinafter referred to as Nasiri.

Claims to be discussed during interview: Claims 1, 3, 4, 7, 8, and 9

#### **Proposed Arguments and Amendments For Discussion During Interview**

A) Regarding claims 1, 4, 7, 8, and 9, Applicant rep. submits that Narizi fails to teach or suggest of having orientation associated or **defined with respect to the global reference frame.** 

Referring to [0145] of Naziri, which recites "In one embodiment, the

gyroscopes and accelerometers can be combined in a sensor fusion algorithm in order to provide a rotation matrix, quaternion, or Euler angle representation of the devices orientation in space. This orientation can be mapped directly or with constraints to a **virtual world** shown in the display of the device." And to [0123] of Naziri, which recites that " In the present invention, a yaw gyroscope can be used to control portrait and landscape orientation in this case or horizontal screen orientation."

Therefore, the orientation taught in Naziri **is with respect to spatial reference frame**, **and therefore fails to be "associated with three coordinate axes of a global reference frame** associated with Earth" as claimed in claim 1, and fails to teach or disclose of "wherein the orientation output comprises a yaw angle, a pitch angle, and a roll angle associated with the three coordinate axes of the global reference frame" as claimed in claim 4, and "wherein the first computing processor obtains an orientation of the display device associated with the global reference frame" of claim 7, and "the first computing processor records a current orientation output generated by the orientation sensor as the orientation of the display device associated with the global reference frame" of claim 8, and "wherein the current orientation output comprises a yaw angle associated with one of the three coordinate axes of the global reference frame" of claim 9.

B) Although not currently recited in the existing claims, Applicant rep. assert the claimed invention for claim 1 for the 3D pointing device inherently uses nine-axis motion sensor module with an **enhanced comparison** method or model for **eliminating accumulated errors** of said nine-axis motion sensor module to obtain **deviation angles** corresponding to movements and rotations of said electronic device in a spatial pointer reference frame.

In other words, the claimed invention for claim 1 for the 3D pointing device of the present invention is capable of accurately outputting the deviation angles including yaw, pitch and roll angles in a 3D spatial pointer reference frame of the 3D pointing device to eliminate or reduce accumulated errors and noises generated over time in a dynamic environment.

Refer to the present specification in [0013], which recites as follow:

"[0013] According to another example embodiment of the present invention, an

electronic device capable of generating 3D deviation angles and for use in for example computers, motion detection or navigation is provided. The electronic device may utilize a nine-axis motion sensor module with an enhanced comparison method or model for eliminating accumulated errors of said nine-axis motion sensor module to obtain deviation angles corresponding to movements and rotations of said electronic device in a spatial pointer reference frame. The comparison method or model may be advantageously provided by comparing signals from the abovementioned nine-axis motion sensor module capable of detecting rotation rates or angular velocities of the electronic device about all of the  $X_P$ ,  $Y_P$  and  $Z_P$  axes as well as axial accelerations and ambient magnetism including such as earth's magnetic field or that of other planets of the electronic device along all of the  $X_P$ ,  $Y_P$  and  $Z_P$ axes such that deviation angles of the resultant deviation of the electronic device of the present invention may be preferably obtained or outputted in an absolute manner. In other words, the present invention is capable of accurately outputting the abovementioned deviation angles including yaw, pitch and roll angles in a 3D spatial pointer reference frame of the 3D pointing device to eliminate or reduce accumulated errors and noises generated over time in a dynamic environment including conditions such as being subject to a combination of continuous movements, rotations, external gravity forces, magnetic field and additional extra accelerations in multiple directions or movement and rotations that are continuously nonlinear with respect to time; and furthermore, based on the deviation angles being compensated and accurately outputted in 3D spatial reference frame may be further mapped onto or translated into another reference frame such as the abovementioned display frame, for example a reference in two-dimension (2D)." (emphasis added)

On the other hand, Applicant rep. submits that Nasiri teaches the following:

Having other algorithms that filter out hand shake and reduce drift may also be used to improve the usability of the device. Some implementations may employ more than three gyroscopes, for example to enhance accuracy, increase performance, or improve reliability. Some implementations may employed more than three accelerometers, for example to enhance accuracy, increase performance, or improve reliability.

Based on the above teachings from Naziri, it can be deduced that Naziri

teaches away from the above **enhanced comparison** method for **eliminating accumulated errors** of nine-axis motion sensor module to obtain **deviation angles** corresponding to movements and rotations of said electronic device in a spatial pointer reference frame, **by having focused instead**, on using algorithms for filtering out hand shake, and using more than 3 gyroscopes and/or more than 3 accelerometers for improving accuracy and performance.

Pending discussion results based on the above argument, one or more claim amendment possibilities consistent with the above argument may be further discussed during the interview.

C) Referring to claim 3, and [0015] of instant application which recites in part the following:

processor. The processor is capable of compensating the accumulated errors associated with the resultant deviation in relation to the signals of the abovementioned nine-axis motion sensor module of the 3D pointing device subject to movements and rotations in a spatial reference frame and in a dynamic environment by performing a data comparison to compare signals of rotation sensor related to angular velocities with the ones of accelerometer related to axial accelerations and the ones of magnetometer related to magnetism such that the resultant deviation corresponding to the movements and rotations of the 3D pointing device in the 3D spatial reference frame may be obtained accurately over time in the dynamic environments.

Applicant rep. submits that, Nasiri **only briefly talks about** "magnetometers" as follows:

For example, sensors such one or more barometers, compasses or magnetometers, temperature sensors, optical sensors (such as a camera sensor, infrared sensor, etc.), ultrasonic sensors, radio frequency sensors, or other types of sensors can be provided. For example, a compass or magnetometer sensor can provide an additional one, two, or three axes of sensing, such as two horizontal vectors and a third vertical vector.

These control signals may be derived only from gyroscopes of the device, or they may be derived from a combination of any of gyroscopes, accelerometers, and magnetometers of the device as the output of a sensor fusion algorithm (e.g., an algorithm combining inputs from multiple sensors to provide more robust sensing, an example of which is described in copending U.S. patent application Ser. No. 12/252,322, incorporated herein by reference).

Based on the above passages from Nasiri, it can deduced that Nasiri fails to disclose or teach of calculating resultant deviations based upon axial accelerations and magnetisms.

Pending discussion results based on the above argument, one or more claim amendment possibilities consistent with the above argument may be further discussed during the interview.

D) Further regarding Claim 3, Applicant rep. submits that the claimed invention of claim 3 inherently discloses of nine-axis motion sensor, whereas, Nasiri teaches instead, of 6-axis sensing device being provided for providing sensing in six degrees of freedom, and the following: In embodiments with more than three gyroscopes and/or more than three accelerometers, additional degrees of freedom (or sensing axes) can be provided, and/or additional sensor input can be provided for each of the six axis of motion.

Upon making further claim amendments to claim 3 which are to be discussed during interview, Applicant rep. submits that Nasiri fails to disclose or suggest of **enabling and fully describing the nine-axis** motion sensor of claim 3.

Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	15385725					
Application Number:	13176771					
International Application Number:						
Confirmation Number:	5154					
Title of Invention:	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF					
First Named Inventor/Applicant Name:	Zhou Ye					
Customer Number:	66749					
Filer:	Ding Yu Tan					
Filer Authorized By:						
Attorney Docket Number:	35822-US-PA-0P-2					
Receipt Date:	29-MAR-2013					
Filing Date:	06-JUL-2011					
Time Stamp:	02:21:01					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

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File Listing:								
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Letter Requesting Interview with		01011-D-US-interview.pdf	2222552	no	6		
	Examiner			0764db80692647cf281b686beada2285dd4 b4a8a				
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Page 66 of 209

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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STATEMENT UND	ER 37 CFR 3.73(b)
Applicant/Patent Owner Zhou Ye, Chin-Lung Li, Shun-Nan Lio	u ,
Application No./Patent No.: 13176771	Filed/Issue Date: 07-06-2011
Titled: 3D POINTING DEVICE AND METHOD FOR COMP	ENSATING ROTATIONS OF THE 3D POINTING DEVICE
THEREOF	
CYWEE GROUP LTD.	
(Name of Assignee)	oi Assignee, e.g., colporation, parmensinip, university, government agency, etc.
states that it is:	
1. X the assignee of the entire right, title, and interest in;	,
2. an assignee of less than the entire right, title, and interest (The extent (by percentage) of its ownership interest is _	t in %); or
3. the assignee of an undivided interest in the entirety of (a	complete assignment from one of the joint inventors was made)
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A. An assignment from the inventor(s) of the patent applicat the United States Patent and Trademark Office at Reel copy therefore is attached.	ion/patent identified above. The assignment was recorded in 026552 , Frame 0398 , or for which a
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B. A chain of the investor(s), of the patent application	TA.
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Additional documents in the chain of title are listed on a	supplemental sheet(s).
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[NOTE: A separate copy ( <i>i.e.</i> , a true copy of the original assignation accordance with 37 CFR Part 3, to record the assignment in the assignment of the assignment is the assignment of the assignment is the assignment of the assignment is a second as a secon	gnment document(s)) must be submitted to Assignment Division in ne records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act o	on behalf of the assignee.
	03/18/2013
, Signature	Date
Zhou Ye	President
Printed or Typed Name	
process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 a gathering, preparing, and submitting the completed application form to the USPTO. Time you require to complete this form and/or suggestions for reducing this burden, should be Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENE for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	and 1.14. This collection is estimated to take 12 minutes to the full by the DOFID to and 1.14. This collection is estimated to take 12 minutes to complete, including a will vary depending upon the individual case. Any comments on the amount of time sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. D FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner

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PAGE 1/3 \* RCVD AT 3/18/2013 10:29:26 PM [Eastern Daylight Time] \* SVR:W-PTOFAX-002/33 \* DNIS:2738300 \* CSID:886266021291 \* DURATION (mm-ss):02-26

### Page 68 of 209

O: SADIO, INSA

Page 1 of 3

#### 886268021291 From: DEAN TAN

#### 2013-03-19 02:29:22 (GMT)

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#### PTO/AIA/82A (07-12)

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#### TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B or equivalent) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5. If the Power of Attorney by Applicant form is not accompanied by this transmittal form or an equivalent, the Power of Attorney will not be recognized in the application.

Application Nur	nber	13176771					
Filing Date		07-06-2011					
First Named Inventor Zhou Ye							
Title		3D POINTING DEVICE AND METHOD FOR COMPL	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF				
Art Unit		2692					
Examiner Nam	Examiner Name SADIO, INSA						
Attorney Docke	t Number	040-13-0052.IUS					
	SIGNA	TURE of Applicant or Patent Practitioner		· · · · · · · · · · · · · · · · · · ·			
Signature	/Ding Yu 7	ſan/	Date	03/18/2013			
Name	Ding Yu	Tan	Telephone	281-668-8988			
Registration Number	58812						
NOTE: This form must	be signed in accor	dance with 37 CFR 1.33. See 37 CFR 1.4(d) (	for signature require	ments and certifications.			

\*Total of \_\_\_\_\_ forms are submitted.

Page 2 of 3

0: SADIO, INSA

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chiel Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Page 69 of 209

#### 2013-03-19 02:29:22 (GMT)

Page 3 of 3

To: SADIO, INSA

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granted in the	application or is concurre	ntly being filed wi	th this docu	ment)		
	Si	GNATURE of Applic	ant for Paten	t		
Signature	2	<u> </u>		Date	12/10/2012	2
Tale and Company	Zhou Ye			Telephone	281-668-8988	•
NOTE: Signature - This to certifications, Submit m	form must be signed by the app nultiple forms for more than one	licant in accordance signature, see belov	with 37 CFR 1	.33. See 37 CFF	R 1.4 for signa	ature requirements and
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This collection of Information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pateni and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

USA@JCIPGROUP.COM.TW Belinda@JCIPGROUP.COM.TW

	Application No.	Applicant(s)		
Office Action Summary	13/176 771			
	Fxaminer			
The MAILING DATE of this communication ap	pears on the cover sheet v	with the correspondence address		
Period for Reply				
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D</li> <li>Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period</li> <li>Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	ATE OF THIS COMMUN 136(a). In no event, however, may a will apply and will expire SIX (6) MC e, cause the application to become ng date of this communication, even	MONTH(S) OR THIRTY (30) DAYS, IICATION. a reply be timely filed INTHS from the mailing date of this communication. ABANDONED (35 U.S.C. § 133). if timely filed, may reduce any		
Status				
1) Responsive to communication(s) filed on $06$	lulv 2011.			
2a) This action is <b>FINAL</b> . $2b$ ) This	s action is non-final.			
3) An election was made by the applicant in resp	oonse to a restriction requ	irement set forth during the interview on		
; the restriction requirement and electio	n have been incorporated	l into this action.		
4) Since this application is in condition for allowa	nce except for formal ma	tters, prosecution as to the merits is		
closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.				
Disposition of Claims				
5 Claim(s) 1-22 is/are pending in the application	1			
$5)$ $\Box$ $\Box$ $\overline{1-22}$				
3a) of the above claim(s) is/are withdrawn non-consideration. b Claim(s) is/are allowed				
7) Claim(s) <u>1-22</u> is/are rejected				
8) Claim(s) is/are objected to.				
9) Claim(s) are subject to restriction and/o	or election requirement.			
* If any claims have been determined <u>allowable</u> , you map program at a participating intellectual property office for <u>http://www.uspto.gov/patents/init_events/pph/index.isp</u>	ay be eligible to benefit fro the corresponding applic or send an inquiry to <u>PPH</u>	om the <b>Patent Prosecution Highway</b> ation. For more information, please see <u>feedback@uspto.gov</u> .		
Application Papers				
10) The specification is objected to by the Examine	er			
11) The drawing(s) filed on 06 July 2011 is/are: a) $\mathbb{N}$ accepted or b) $\square$ objected to by the Examiner				
Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a).				
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119	·			
$12$ $\Delta$ aknowledgement is made of a claim for foreign	o priority updor 25 U.S.C.	8 110(a) (d) ar (f)		
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § $119(a)$ -(d) or (f).				
a) All b) Some c) None of the priority documents have been received				
2 Certified copies of the priority documents have been received in Application No.				
$3 \square$ Copies of the certified copies of the prior	prity documents have bee	n received in this National Stage		
application from the International Burea	u (PCT Bule 17 2(a))			
* See the attached detailed Office action for a list of the certified copies not received				
Attachment(s)				
1) X Notice of References Cited (PTO-892)	3) 🗌 Interview	Summary (PTO-413)		
2) X Information Disclosure Statement(s) (BTO/SB/08)		o(s)/Mail Date		
Paper No(s)/Mail Date <u>07/06/2011</u> .		·		
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Page	72	of	209
### **DETAILED ACTION**

### **Double Patenting**

1. Claims 1-22 of this application conflict with claims 1-20 of Application No.

12/943,934. 37 CFR 1.78(b) provides that when two or more applications filed by the

same applicant contain conflicting claims, elimination of such claims from all but one

application may be required in the absence of good and sufficient reason for their

retention during pendency in more than one application. Applicant is required to either

cancel the conflicting claims from all but one application or maintain a clear line of

demarcation between the applications. See MPEP § 822.

For example:

13/176,771	12/943,934
1. A 3D pointing device, comprising: an orientation sensor, generating an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global reference frame associated with Earth; a rotation sensor, generating a rotation output associated with a rotation of the 3D pointing device associated with three coordinate axes of a spatial reference frame associated with the 3D pointing device; and a first computing processor, using the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device.	1. (original) A three-dimensional (3D) pointing device subject to movements and rotations in dynamic environments, comprising: a housing associated with said movements and rotations of the 3D pointing device in a spatial pointer reference frame; a printed circuit board (PCB) enclosed by the housing; a six-axis motion sensor module attached to the PCB, comprising a rotation sensor for detecting and generating a first signal set comprising angular velocities rex, my, coz associated with said movements and rotations of the 3D pointing device in the spatial pointer reference flame, an accelerometer for detecting and generating a second signal set comprising axial accelerations Ax, Ay, Az associated with said movements and rotations of the spatial pointer reference frame; and a processing and transmitting module, comprising a data transmitting unit electrically connected to the six-axis motion sensor module for transmitting said first and second signal sets from the data transfitting unit, communicating `'vith the six-axis motion comprising resultant angles in said spatial pointer reference frame a resulting deviation comprising resultant angles in said spatial pointer reference frame by utilizing a comparison to

	compare the first signal set with the second signal set `'vhereby said resultant angles in the spatial pointer reference flame of the resulting deviation of the six-axis motion sensor module of the 3D pointing device are obtained under said dynamic environments.
4. The 3D pointing device of claim 3, wherein the orientation output comprises a yaw angle, a pitch angle, and a roll angle associated with the three coordinate axes of the global reference frame; the first signal set comprises a first axial acceleration, a second axial acceleration, and a third axial acceleration; the second computing processor calculates the pitch angle based on the first axial acceleration, calculates the roll angle or based on the third axial acceleration and the pitch angle or based on the third axial acceleration and the pitch angle, and calculates the yaw angle based on the pitch angle, the roll angle, and the second signal set.	<ul> <li>4. (original) The 3D pointing device of claim 1, wherein the spatial pointer reference frame is a reference flame in three dimensions; and wherein said resultam angles of the resulting deviation includes yaw, pitch and roll angles about each of three orthogonal coordinate axes of the spatial pointer reference frame.</li> <li>5. (original) The 3D pointing device of claim 1, wherein the data transmitting unit of the processing and transmitting module is attached to the PCB enclosed by the housing and transmits said first and second signal of the six-axis motion sensor module to the computing processor via electronic cmmections on the PCB.</li> </ul>

Claims 2-3 and 5-22 are rejected the same based on claims 1-20 of Application No.

12/943,934.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

2.

Claims 1-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Nasiri et al. (2009/0262074), hereinafter referenced as Nasiri.

As of claim 1, Nasiri discloses a controlling and accessing content using motion processing on mobile devices. Further, Nasiri teaches wherein said a 3D pointing device, comprising: an orientation sensor, generating an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global reference frame ([0043], [0063], [0067], [0145], [0155], fig.2 [28]) associated with Earth (Nasiri does not specifically teach wherein said "associated with earth" but Examiner's position is that it is obvious that Nasiri referenced frame is associated with earth since it teaches on [0098] that in some embodiments a displayed map can be rotated on the display screen based on this heading in order to correctly align the displayed map with the actual directions on the surface of the Earth); a rotation sensor, generating a rotation output associated with a rotation of the 3D pointing device associated with three coordinate axes of a spatial reference frame associated with the 3D pointing device ([0043], [0063], [0067], [0114], [0115], [0145], [0155], fig.2 [28]); and a first computing processor, using the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device ([0043], [0045], [0048], [0048], fig.2 [20 the MPU.TM]).

**As of claim 2**, Nasiri teaches the limitations of claim 1 above. Further, Nasiri teaches wherein said the orientation sensor comprises: an accelerometer, generating a first signal set comprising axial accelerations associated with movements and rotations of the 3D pointing device in the spatial reference frame ([0043], [0051], [0067], fig.2 [28]); and a second computing processor, generating the orientation output based on

the first signal set and the rotation output ([0044], [0045], [0051], [0104], [0155], [0169], fig.2 [12] please note that the processors allow motion to be detected).

As of claim 3, Nasiri teaches the limitations of claim 2 above. Further, Nasiri teaches wherein said the orientation sensor further comprises: a magnetometer, generating a second signal set associated with Earth's magnetism, wherein the second computing processor further generates the orientation output based on the first signal set, the second signal set and the rotation output or based on the first signal set and the second signal set ([0053], [0098]).

As of claim 4, Nasiri teaches the limitations of claim 3 above. Further, Nasiri teaches wherein said the orientation output comprises a yaw angle, a pitch angle, and a roll angle associated with the three coordinate axes of the global reference frame; the first signal set comprises a first axial acceleration, a second axial acceleration, and a third axial acceleration; the second computing processor calculates the pitch angle based on the first axial acceleration, calculates the roll angle based on the second axial acceleration, calculates the roll angle based on the second axial acceleration and the pitch angle or based on the third axial acceleration and the pitch angle based on the pitch angle, and calculates the yaw angle based on the pitch angle, the roll angle, and the second signal set ([0114], [0115]).

**As of claim 5**, Nasiri teaches the limitations of claim 1 above. Further, Nasiri teaches wherein said the orientation output provided by the orientation sensor is a rotation matrix, a quaternion, a rotation vector, or comprises 10 three orientation angles ([0067], [0114], [0115]).

**As of claim 6**, Nasiri teaches the limitations of claim 1 above. Further, Nasiri teaches wherein said the transformed output represents a segment of a movement in a plane in the fixed reference frame parallel to a screen of the display device ([0178]).

As of claim 7, Nasiri teaches the limitations of claim 1 above. Further, Nasiri teaches wherein said the first computing processor 15 obtains an orientation of the display device associated with the global reference frame, obtains an orientation of the 3D pointing device associated with the fixed reference frame based on the orientation output and the orientation of the display device associated with the global reference frame based on the global reference frame, generates a transformed rotation associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation output, and generates the transformed output based on the transformed rotation ([0043], [0044], [0045], [0048], [0051], [0104], [0155], [0169], fig.2 [20 the MPU.TM], fig.2 [12].

As of claim 8, Nasiri teaches the limitations of claim 7 above. Further, Nasiri teaches wherein said when the first computing processor receives a reset signal, the first computing processor records a current orientation output generated by the orientation sensor as the orientation of the display device associated with the global reference frame ([0051], [0155], please note that the controller would do the same as sending a reset signal to the computing processor).

**As of claim 9**, Nasiri teaches the limitations of claim 8 above. Further, Nasiri teaches wherein said the current orientation output comprises a yaw angle associated with one of the three coordinate axes of the global reference frame, the first computing

processor obtains the orientation of the 3D pointing device associated with the fixed reference frame by subtracting the yaw angle from the orientation output ([0067], [0114], [0115], please note that calculating the resulting deviation angles may involve subtraction).

As of claim 10, Nasiri teaches the limitations of claim 7 above. Further, Nasiri teaches wherein said the first computing processor obtains a rotation matrix from the orientation of the 3D pointing device associated with the fixed reference frame, and multiplies the rotation matrix and the rotation output together to generate the transformed rotation ([0067], [0114], [0115], please note that calculating the resulting deviation angles may involve multiplication).

. **As of claim 11**, Nasiri teaches the limitations of claim 10 above. Further, Nasiri teaches wherein said the transformed rotation comprises a first angular velocity, a second angular velocity, and a third angular velocity associated with three coordinate axes of the fixed reference frame ([0049], [0067], [0114], [0115]); the transformed output comprises a first movement component and a second movement component associated with two of the three coordinate axes of the fixed reference frame ([0115]); the first computing processor multiplies the second angular velocity by a scale factor to generate the second movement component and multiplies the third angular velocity by the scale factor to generate the first movement component ([0067], [0114], [0115], please note that calculating the resulting deviation angles may involve multiplication).

As of claims 12-22, claims 12-22 are rejected the same as respectively claims 1-11. Only claims 12-22 are method claims.

#### Conclusion

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to INSA SADIO whose telephone number is (571)270-5580. The examiner can normally be reached on MONDAY through FRIDAY 8am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, LunYi Lao can be reached on 571-272-7671. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> INSA SADIO Examiner Art Unit 2692

/INSA SADIO/ Examiner, Art Unit 2692

/LUN-YI LAO/

Supervisory Patent Examiner, Art Unit 2692

Notice of References Cited	13/176,771 Examiner INSA SADIO	YE ET AL. Art Unit 2692	Page 1 of 1
	Application/Control No.	Applicant(s)/Patent Under	

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-2009/0262074 A1	10-2009	NASIRI et al.	345/158
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	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	н	US-			
	I	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13176771	YE ET AL.
	Examiner	Art Unit
	INSA SADIO	2692

	SEARCHED		
Class	Subclass	Date	Examiner
345	156-168	12/31/2012	/IS/

Date	Examiner
12/31/2012	/IS/
	<b>Date</b> 12/31/2012

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner

Doc description: Information Disclosure Statement (IDS) Filed

13176771 - GALL: Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

### **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

	Application Number		
	Filing Date		
	First Named Inventor	Zhou	Ye
	Art Unit Examiner Name Attorney Docket Number		
			35822-US-PA-0P-2

				PATENTS	Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5138154		1992-08-11	Hotelling	
	2	5440326		1995-08-08	Quinn	
	3	5898421		1999-04-27	Quinn	
	4	7158118		2007-01-02	Liberty	
	5	7236156		2007-06-26	Liberty, et al.	
	6	7239301		2007-07-03	Liberty, et al.	
	7	7262760		2007-08-28	Liberty	
	8	7414611		2008-08-19	Liberty	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /I.S./ EFS Web 2.1.17 Page 83 of 209

Receipt date: 07/06/2011

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		13176771 - GAU: 2692
Filing Date		
First Named Inventor	Zhou	Ye
Art Unit		
Examiner Name		
Attorney Docket Number		35822-US-PA-0P-2

	9	7489298		2009-02	2-10	Liberty, et al					
	10	7535456		2009-05	5-19	Liberty, et al					
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Receipt date: 07/06/2011	Application Number		13176771 - GAU: 2692
	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor Zhou Y		Ye
(Not for submission under 37 CER 1 99)	Art Unit		
	Examiner Name		
	Attorney Docket Number		35822-US-PA-0P-2

EXAMINER SIGNATURE							
Examiner Signature	/Insa Sadio/ (12/31/2012)	Date Considered	12/31/2012				
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### EAST Search History

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	76	((ZHOU) near2 (YE)).INV.	US-PGPUB; USPAT	OR	OFF	2012/12/29 20:11
S2	6	((CHIN-LUNG) near2 (LI)).INV.	US-PGPUB; USPAT	OR	OFF	2012/12/29 20:12
<b>S</b> 3	52	((SHUN-NAN) near2 (LIOU)).INV.	US-PGPUB; USPAT	OR	OFF	2012/12/29 20:12
S4	118	S1 S2 S3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:15
S5	39	("5440326"   "7236156"   "6061611"   "7158118"   "7414611"   "7489298"   "7535456"   "5898421"   "7262760"   "7817134"   "5138154"   "7239301"   "20110307173"   "7774155"   "7924264"   "20080096654"   "6993451"   "8010313"   "20090262074").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 20:16
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			JPO; DERWENT; IBM_TDB			
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S22	0	"20090262074".pn. and (earth same (magnetism magnet\$3 magnetometer))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:33
S23	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:34
S24	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer orbit\$4))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:35
S25	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer orbit\$4 orientat\$3))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:36
S26	1	"20090262074".pn. and (earth and (magnetism magnet\$3 magnetometer orbit\$4 orientat\$3 space))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:38
S27	1	"20090262074".pn. and (control\$4 with process\$3)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/29 23:58

S28	1	"20090262074".pn. and (control\$4 with process\$3) and (velocit\$3 and movement)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/30 00:17
S29	1	"20090262074".pn. and (control\$4 with process\$3) and (velocit\$3 same movement)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/30 00:17
<b>S</b> 30	1	"20090262074".pn. and (control\$4 with process\$3) and (velocit\$3 with movement)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/12/30 00:17

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### **BIB DATA SHEET**

#### **CONFIRMATION NO. 5154**

SERIAL NUMBER	FILING or 371(c)	CLASS	GROUP ART						
13/176,771	07/06/2011	345	2692	35	5822-US-PA-0P-2				
	RULE								
APPLICANTS Zhou Ye, Foster City, CA; Chin-Lung Li, Taoyuan County, TAIWAN; Shun-Nan Liou, Kaohsiung City, TAIWAN;									
** CONTINUING DA This applicatio which is which cl	** <b>CONTINUING DATA</b> ***********************************								
** FOREIGN APPLI	CATIONS ***************	*****							
** IF REQUIRED, FO 07/15/2011	REIGN FILING LICENS	SE GRANTED ** ** SMA	ALL ENTITY **						
Foreign Priority claimed 35 USC 119(a-d) conditions r	□ Yes ☑ No net □ Yes ☑ No □ Met a Allow	After rance COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS				
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ADDRESS JIANQ CHYUN 7 FLOOR-1, N ROOSEVELT TAIPEI, 100 TAIWAN	I INTELLECTUAL PROF O. 100 ROAD, SECTION 2	PERTY OFFICE							
TITLE									
3D POINTING DEVICE THEF	DEVICE AND METHOD	FOR COMPENSATING	G ROTATIONS	OF THE 3D	POINTING				
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APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE				
13/176,771	07/06/2011	Zhou Ye	35822-US-PA-0P-2				
31561			CONFIRMATION NO. 5154				
JIANQ CHYUN INTELLEO 7 FLOOR-1, NO. 100 ROOSEVELT ROAD, SEO TAIPEI, 100 TAIWAN	CTUAL PROPERTY OFFICE		CC000000050639930*				

**Title:**3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

Publication No.US-2011-0260968-A1 Publication Date:10/27/2011

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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS		
13/176,771	07/06/2011	2629	514	35822-US-PA-0P-2	22	2		
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Applicant(s)

Zhou Ye, Foster City, CA; Chin-Lung Li, Taoyuan County, TAIWAN; Shun-Nan Liou, Kaohsiung City, TAIWAN;

Assignment For Published Patent Application

CYWEE GROUP LTD., Taipei City, TAIWAN **Power of Attorney:** The patent practitioners associated with Customer Number <u>31561</u>

### Domestic Priority data as claimed by applicant

This application is a CIP of 13/072,794 03/28/2011 which is a CIP of 12/943,934 11/11/2010 which claims benefit of 61/292,558 01/06/2010

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/176,771** 

Projected Publication Date: 10/27/2011

Non-Publication Request: No

Early Publication Request: No \*\* SMALL ENTITY \*\*

Title

# 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

#### **Preliminary Class**

345

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UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Vigina 22313-1450 www.uspic.gov						
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE			
13/176,771	07/06/2011	Zhou Ye	35822-US-PA-0P-2			
31561 JIANQ CHYUN INTELLEO 7 FLOOR-1, NO. 100 ROOSEVELT ROAD, SEO TAIPEI, 100 TAIWAN	CTUAL PROPERTY OFFICE		CONFIRMATION NO. 5154 EPTANCE LETTER			

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/06/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/tmekuria/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

#### 201011-D-US 35822-US-PA-0P-2

#### POWER OF ATTORNEY

#### AND

#### CORRESPONDENCE ADDRESS INDICATION FORM

First Names Inventor	:Zhou Ye				
Title	: 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF				
Attorney Docket Number	· : 35822-US-PA-0	)P-2			
Application Number	:	Filing Date :			
Art Unit	:	Examiner Name:			

As the below named inventor(s),I hereby appoint : practitioners associated with the Customer Number :  $\ensuremath{31561}$ 

as my/our attorney(s) or patent agent(s) to prosecute the application identified above,

and to transact business in the United States Patent and Trademark Office connected therewith.

Please recognize the correspondence address for the above-identified application to : the address associated with the above-mentioned Customer Number.

SIGNATURE(s) of Applicant/Inventor

Signature:

July. 5, 2011

Date:

Sole or First Joint Inventor: Zhou Ye

Signature:

2011.7.5 Date:

Second Joint Inventor (if any): Chin-Lung Li

201011-D-US 35822-US-PA-0P-2 POWER OF ATTORNEY

AND

#### CORRESPONDENCE ADDRESS INDICATION FORM

SIGNATURE(s) of Applicant/Inventor

Signature: Shun-Nan Livu Date: 2011. 7. 1 Third Joint Inventor (if any): Shun-Nan Liou

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	35822-US-PA-0P-2	
		Application Number		
Title of Invention	tion 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF			
The application data sheet is part of the provisional or ponprovisional application for which it is being submitted. The following form contains the				

I he application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

### Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

### **Applicant Information:**

Applic	Applicant 1 Remove									
Applic	cant Authority 🖲	Inventor	.egal	Representativ	e under 35 l	U.S.C. 11	7	OParty of In	terest under 35 U.S.	C. 118
Prefix	Given Name	Middle Name			Fam	Family Name		Suffix		
	Zhou					Ye				
Resid	ence Informatio	n (Select One)	۲	US Residenc	y 🔿 No	on US Re	sidenc	y 🔿 Active	e US Military Service	
City	Foster City		Sta	ate/Province	e CA	Countr	y of F	<b>lesidence</b> i	US	
Citize	nship under 37 C	FR 1.41(b) <sup>i</sup>	CN							
Mailin	g Address of Ap	plicant:								
Addre	Address 1     542 Hanbury Lane,									
Addre	ess 2									
City	Foster City				Stat	e/Provir	ıce	CA		
Posta	l Code	94404			Country	US				
Annlia	Applicant 2									
Annlia	Applicant 2									
Prefix	Given Name			Middle Nar	me		Family Name		Suffix	
	Chin-Lung									
Resid	lence Informatio	n (Select One)	$\overline{\mathbf{O}}$	US Residence	y 💿 Na	on US Re	sidenc	y 🔿 Active	e US Military Service	<u> </u>
City	Taoyuan County		Co	ountry Of Re	sidencei	TW				
Citize	nship under 37 C	FR 1.41(b) <sup>i</sup>	ТМ	1						
Mailin	g Address of Ap	plicant:								
Addre	ess 1	No.4, Ln. 99, S	Sec. 1	, Shengde Rd	., Zhongli Cit	ty,				
Addre	ess 2									
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Prefix	Given Name			Middle Nar	me		Fam	ilv Name		Suffix
	Shun-Nan						Liou	*		
Resid	lence Informatio	n (Select One)		US Residenc	y (•) No	on US Re	sidenc	y () Active	e US Military Service	! !
City	Kaohsiung City	<u> </u>	Co	ountry Of Re	sidencei	TW				
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Page 99 of 209

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Application Data Sheet 37 CFR 1.76		Attorney Do	cket Nur	nber 🗄	5822-US-PA-0P-2	
		Application	Number			
Title of Invention         3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVIC           THEREOF         THEREOF					ROTATIONS OF THE 3D POINTING DEVICE	
Citizenship under 37 CFR 1.41(b) i TW						
Mailing Ad	dress of	Applicant:				
Address 1		No. 28, Ln. 341, Gan	ishan N. St., Qiar	zhen Dis	t.,	
Address 2						
City	Kaohsiun	nsiung City State/Province		•		
Postal Code Country <sup>i</sup> TW						
All Inventors Must Be Listed - Additional Inventor Information blocks may be						

### **Correspondence Information:**

 Enter either Customer Number or complete the Correspondence Information section below.

 For further information see 37 CFR 1.33(a).

 An Address is being provided for the correspondence Information of this application.

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 31561

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### **Application Information:**

Title of the Invention	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF				
Attorney Docket Number	35822-US-PA-0P-2Small Entity Status Claimed				
Application Type	Nonprovisional				
Subject Matter	Utility				
Suggested Class (if any)			Sub Class (if any)		
Suggested Technology Center (if any)					
Total Number of Drawing Sheets (if any)		12	Suggested Figure for Publication (if any)		

### Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.
 C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

### Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

PTO/SB/14 (11-08)

Approved for use through 09/30/2010. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	35822-US-PA-0P-2		
		Application Number			
Title of Invention	3D POINTING DEVICE AND THEREOF	METHOD FOR COMPENSATIN	IG ROTATIONS OF THE 3D POINTING DEVICE		
Please Select One: <ul> <li>Customer Number</li> </ul>		r O US Patent Practitione	er 🔘 Limited Recognition (37 CFR 11.9)		
Customer Number 31561					

### **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status	Pending		Remove	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	
	Continuation in part of	13072794	2011-03-28	
Prior Application Status	Pending		Remove	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	
13072794	Continuation in part of	12943934	2010-11-11	
Prior Application Status	Pending		Remove	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	
12943934	non provisional of	61292558	2010-01-06	
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button. Add				

### **Foreign Priority Information:**

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

		Re	nove		
Application Number	Country <sup>i</sup>	Parent Filing Date (YYYY-MM-DD)	Priority Claimed		
			🔿 Yes 💿 No		
Additional Foreign Priority Data may be generated within this form by selecting the Add button.					

### **Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.				
Assignee 1				
If the Assignee is an Organization check here.				
Organization Name	Cywee Group Ltd.			

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	35822-US-PA-0P-2
		Application Number	
Title of Invention	3D POINTING DEVICE AND THEREOF	METHOD FOR COMPENSATIN	IG ROTATIONS OF THE 3D POINTING DEVICE

Mailing Address Information:				
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Address 2	Zhongshan Dist.,			
City	Taipei City	State/Province		
Country <sup>i</sup> TW		Postal Code	104	
Phone Number		Fax Number		
Email Address				
Additional Assignee Data may be generated within this form by selecting the Add Add				

### Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Signature	/Belinda Lee/			Date (YYYY-MM-DD)	2011-07-06
First Name	Belinda	Last Name	Lee	Registration Number	46863

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

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#### **CROSS-REFERENCE TO RELATED APPLICATION**

This application is a continuation in part application of and claims the priority benefit of U.S. application serial no. 13/072,794, filed on Mar. 28, 2011, now pending. The prior application serial no. 13/072,794 is a continuation in part application of and claims the priority benefit of U.S. application serial no. 12/943,934, filed on November 11, 2010, now pending, which claims the priority benefit of U.S. provisional application serial no. 61/292,558, filed on January 6, 2010. The entirety of each of the above-mentioned patent applications is hereby incorporated by reference herein and made a part of this specification.

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#### BACKGROUND OF THE INVENTION

Field of the Invention

**[0001]** The present invention generally relates to a 3D pointing device, more particularly to a 3D pointing device for use in computers, motion detection or navigation utilizing a orientation sensor and a method for compensating signals of the orientation sensor subject to movements and rotations of said 3D pointing device.

#### Description of the Related Art

[0002] Fig. 1 is a schematic diagram showing a user using a portable electronic device 110, such as a 3D pointing device or computer mouse, for detecting motions of the device and translating the detected motions to a cursor display such as a cursor

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pointing on the screen 122 of a 2D display device 120. If the pointing device 110 emits a light beam, the corresponding point would be the location where the light beam hits the screen 122. For example, the pointing device 110 may be a mouse of a computer or a pad of a video game console. The display device 120 may be a part of the computer or the video game console. There are two reference frames, such as the spatial pointer reference frame and the display frame, associated with the pointing device 110 and the display device 120, respectively. The first reference frame or spatial pointer reference frame associated with the pointing device 110 is defined by the coordinate axes  $X_P$ ,  $Y_P$ and  $Z_P$  as shown in Fig. 1. The second reference frame or display frame associated with the display device 120 is defined by the coordinate axes  $X_D$ ,  $Y_D$  and  $Z_D$  as shown in Fig. 1. The screen 122 of the display device 120 is a subset of the  $X_DY_D$  plane of the reference frame  $X_DY_DZ_D$  associated with the display device 120. Therefore, the  $X_DY_D$ plane is also known as the display plane associated with the display device 120.

15 [0003] A user may perform control actions and movements utilizing the pointing device for certain purposes including entertainment such as playing a video game, on the display device 120 through the aforementioned pointer on the screen 122. For proper interaction with the use of the pointing device, when the user moves the pointing device 110, the pointer on the screen 122 is expected to move along with the orientation, direction and distance travelled by the pointing device 110 and the display 120 shall display such movement of the pointer to a new location on the screen 122 of the display 120. The orientation of the pointing device 110 may be represented by three deviation angles of the 3D pointing device 110 with respect to the reference frame X<sub>P</sub>Y<sub>P</sub>Z<sub>P</sub>, namely, the yaw angle 111, the pitch angle 112 and the roll angle 113. The yaw, pitch

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and roll angles 111, 112, 113 may be best understood in relation to the universal standard definition of spatial angles related to commercial vehicles or transportation such as ships and airplanes. Conventionally, the yaw angle 111 may represent the rotation of the pointing device 110 about the  $Z_P$  axis; the pitch angle 112 may represent the rotation of the pointing device 110 about the  $Y_P$  axis; the roll angle 113 may represent the rotation of the pointing device 110 about the  $X_P$  axis.

In a known related art as shown in Fig. 1, when the yaw angle 111 of the [0004] pointing device 110 changes, the aforementioned pointer on the screen 122 must move horizontally or in a horizontal direction with reference to the ground in response to the change of the yaw angle 111. Fig. 2 shows what happens when the user rotates the pointing device 110 counterclockwise by a degree such as a 90-degree about the  $X_P$  axis. In another known related art as shown in Fig. 2, when the yaw angle 111 changes, the aforementioned pointer on the screen 122 is expected to move vertically in response. The change of the yaw angle 111 can be detected by a gyro-sensor which detects the angular velocity  $\omega_x$  of the pointing device 110 about the X<sub>P</sub> axis. Fig. 1 and Fig. 2 show that the same change of the yaw angle 111 may be mapped to different movements of the point on the screen 122. Therefore, a proper compensation mechanism for the orientation of the pointing device 110 is required such that corresponding mapping of the pointer on the screen 122 of the display 120 may be obtained correctly and desirably. The term compensation of the prior arts by Liberty (US Patent No. 7,158,118, US Patent No. 7,262,760 and US Patent No. 7,414,611) refers to the correction and compensation of signals subject to gravity effects or extra rotations about the axis related to "roll". The term of "comparison" of the present invention may generally

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refer to the calculating and obtaining of the actual deviation angles of the 3D pointing device 110 with respect to the first reference frame or spatial pointing frame  $X_PY_PZ_P$ utilizing signals generated by motion sensors while reducing or eliminating noises associated with said motion sensors; whereas the term mapping may refer to the calculating and translating of said deviation angles in the spatial pointing frame  $X_PY_PZ_P$ onto the aforementioned pointer on the display plane associated with the 2D display device 120 of a second reference frame or display frame  $X_DY_DZ_D$ .

[0005] It is known that a pointing device utilizing 5-axis motion sensors, namely, Ax, Ay, Az,  $\omega_{\rm Y}$  and  $\omega_{\rm Z}$  may be compensated. For example, US Patent No. 7,158,118 by 10 Liberty, US Patent 7,262,760 by Liberty and US 7,414,611 by Liberty provide such pointing device having a 5-axis motion sensor and discloses a compensation using two gyro-sensors  $\omega_Y$  and  $\omega_Z$  to detect rotation about the Yp and Zp axes, and accelerometers Ax, Ay and Az to detect the acceleration of the pointing device along the three axes of the reference frame X<sub>P</sub>Y<sub>P</sub>Z<sub>P</sub>. The pointing device by Liberty utilizing a 15 5-axis motion sensor may not output deviation angles of the pointing device in, for example, a 3D reference frame; in other words, due to due to the limitation of the 5-axis motion sensor of accelerometers and gyro-sensors utilized therein, the pointing device by Liberty cannot output deviation angles readily in 3D reference frame but rather a 2D reference frame only and the output of such device having 5-axis motion sensors is a 20 planar pattern in 2D reference frame only. In addition, it has been found that the pointing device and compensation disclosed therein cannot accurately or properly calculate or obtain movements, angles and directions of the pointing device while being subject to undesirable interferences, external or internal, in the dynamic environment

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during the obtaining of the signals generated by the motion sensors, in particular, during unexpected drifting movements and/or accelerations along with the direction of gravity. In other words, it has been found that dynamic actions or extra accelerations including additional accelerations, in particular the one acted upon the direction substantially parallel to or along with the gravity imposed on the pointing device with the compensation methods provided by Liberty, said pointing device by Liberty cannot properly or accurately output the actual yaw, pitch and roll angles in the spatial reference frame  $X_P Y_P Z_P$  and following which, consequently, the mapping of the spatial angles onto any 2D display reference frame such as X<sub>D</sub>Y<sub>D</sub>Z<sub>D</sub> may be greatly affected and erred. To be more specific, as the 5-axis compensation by Liberty cannot detect or compensate rotation about the X<sub>P</sub> axis directly or accurately, the rotation about the X<sub>P</sub> axis has to be derived from the gravitational acceleration detected by the accelerometer. Furthermore, the reading of the accelerometer may be accurate only when the pointing device is static since due to the limitation on known accelerometers that these sensors may not distinguish the gravitational acceleration from the acceleration of the forces including centrifugal forces or other types of additional accelerations imposed or exerted by the user.

[0006] Furthermore, it has been found that known prior arts may only be able to output a "relative" movement pattern in a 2D reference frame based on the result calculated from the signals of motion sensors. For example, the abovementioned prior arts by Liberty may only output a 2D movement pattern in a relative manner and a pointer on a display screen to show such corresponding 2D relative movement pattern. To be more specific, the pointer moves from a first location to a second new location

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File: 35822-0P-2usf

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relative to said first location only. Such relative movement from the previous location to the next location with respect to time cannot accurately determine and/or output the next location, particularly in situations where the previous location may have been an erred location or have been faultily determined as an incorrect reference point for the next location that is to be calculated therefrom and obtained based on their relative relationship adapted. One illustration of such defect of known prior arts adapting a relative relationship in obtaining a movement pattern may be clearly illustrated by an example showing the faultily outputted movements of a pointer intended to move out of a boundary or an edge of display screen. It has been found that as the pointer of known prior arts reaches the edge of a display and continues to move out of the boundary or edge at a certain extra extent beyond said boundary, the pointer fails to demonstrate a correct or "absolute" pattern as it moves to a new location either within the display or remaining outside of the boundary; in other words, instead of returning to a new location by taking into account said certain extra extend beyond the boundary made earlier in an "absolute" manner, the pointer of known arts discards such virtual distance of the extra extend beyond the boundary already made and an erred next position is faultily outputted due to the relative relationship adapted and utilized by the pointer.

[0007] Therefore, it is clear that an improved device for use in for example motion 20 detection, computers or navigation with enhanced calculating or comparison method capable of accurately obtaining and calculating actual deviation angles in the spatial pointer frame is needed. For applications of navigations or computers including portable communication devices integrated with displays therein, the electronic device may too include the mapping of such actual angles onto a cursor, pointer or position information

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on the display frame in dynamic environments and conditions including undesirable external interferences. In addition, as the trend of 3D technology advances and is applicable to various fields including displays, interactive systems and navigation, there is a significant need for an electronic device, including for example a motion detector, a 3D pointing device, a navigation equipment, or a communication device integrated with motion sensors therein, capable of accurately outputting a deviation of such device readily useful in a 3D or spatial reference frame. Furthermore, there is a need to provide an enhanced comparison method and/or model applicable to the processing of signals of motion sensors such that errors and/or noises associated with such signals or fusion of signals from the motions sensors may be corrected or eliminated. In addition, according to the field of application, such output of deviation in 3D reference frame may too be further mapped or translated to a pattern useful in a 2D reference frame.

#### SUMMARY OF THE INVENTION

15 [0008] According to one aspect of an exemplary embodiment of the present invention, an electronic device utilizing a nine-axis motion sensor module for use in for example computers, motion detection or navigation is provided. The electronic device comprises an accelerometer to measure or detect axial accelerations Ax, Ay, Az, a magnetometer to measure or detect magnetism Mx, My, Mz and a rotation sensor to measure or detect angular velocities ω<sub>x</sub>, ω<sub>y</sub>, ω<sub>z</sub> such that resulting deviation including resultant angles comprising yaw, pitch and roll angles in a spatial pointer frame of the electronic device subject to movements and rotations in dynamic environments may be obtained and such that said resulting deviation including said resultant angles may be obtained and outputted in an absolute manner reflecting or associating with the actual movements and

## Page 110 of 209

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rotations of the electronic device of the present invention in said spatial pointer reference frame and preferably excluding undesirable external interferences in the dynamic environments.

- 5 [0009] According to another aspect of the present invention, the present invention provides an enhanced comparison method and/or model to eliminate the accumulated errors as well as noises over time associated with signals generated by a combination of motion sensors, including the ones generated by accelerometers  $A_x$ ,  $A_y$ ,  $A_z$ , the ones generated by magnetometers  $M_x$ ,  $M_y$ ,  $M_z$  and the ones generated by gyroscopes  $\omega_x$ ,  $\omega_y$ ,
- 10  $\omega_z$  in dynamic environments. In other words, accumulated errors associated with a fusion of signals from a motions sensor module comprising a plurality of motion sensors to detect movements on and rotations about different axes of a reference frame may be eliminated or corrected.
- 15 [0010] According to still another aspect of the present invention, the present invention provides an enhanced comparison method to correctly calculating and outputting a resulting deviation comprising a set of resultant angles including yaw, pitch and roll angles in a spatial pointer frame, preferably about each of three orthogonal coordinate axes of the spatial pointer reference frame, by comparing signals of rotation sensor related to angular velocities or rates with the ones of accelerometer related to axial accelerations and the ones of magnetometer related to magnetism such that these angles may be accurately outputted and obtained, which may too be further mapping to another reference frame different from said spatial pointer frame.

25 [0011] In the event of interferences including external interferences introduced by

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either the device user or the surrounding environment, such as external electromagnetic fields, according to still another aspect of the present invention, the present invention provides a unique update program comprising a data association model to intelligently process signals received from a motion sensor module to output a resultant deviation preferably in 3D reference frame such that the adverse effects caused by the interferences may be advantageously reduced or compensated.

**[0012]** According to still another aspect of the present invention, the present invention further provides a mapping of the abovementioned resultant angles, preferably about each of three orthogonal coordinate axes of the spatial pointer reference frame, including yaw, pitch and roll angles in a spatial pointer reference frame onto a display frame either external to the device of the present invention or integrated therein such that a movement pattern in a display frame different from the spatial pointer reference frame of the resultant angles of the resultant deviation onto said movement pattern.

**[0013]** According to another example embodiment of the present invention, an electronic device capable of generating 3D deviation angles and for use in for example computers, motion detection or navigation is provided. The electronic device may utilize a nine-axis motion sensor module with an enhanced comparison method or model for eliminating accumulated errors of said nine-axis motion sensor module to obtain deviation angles corresponding to movements and rotations of said electronic device in a spatial pointer reference frame. The comparison method or model may be advantageously provided by comparing signals from the abovementioned nine-axis motion sensor module capable of detecting rotation rates or angular velocities of the

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electronic device about all of the  $X_P$ ,  $Y_P$  and  $Z_P$  axes as well as axial accelerations and ambient magnetism including such as earth's magnetic field or that of other planets of the electronic device along all of the  $X_P$ ,  $Y_P$  and  $Z_P$  axes such that deviation angles of the resultant deviation of the electronic device of the present invention may be preferably obtained or outputted in an absolute manner. In other words, the present invention is capable of accurately outputting the abovementioned deviation angles including yaw, pitch and roll angles in a 3D spatial pointer reference frame of the 3D pointing device to eliminate or reduce accumulated errors and noises generated over time in a dynamic environment including conditions such as being subject to a combination of continuous movements, rotations, external gravity forces, magnetic field and additional extra accelerations in multiple directions or movement and rotations that are continuously nonlinear with respect to time; and furthermore, based on the deviation angles being compensated and accurately outputted in 3D spatial reference frame may be further mapped onto or translated into another reference frame such as the abovementioned display frame, for example a reference in two-dimension (2D).

[0014] According to another example embodiment of the present invention, a 3D pointing device utilizing a nine-axis motion sensor module is provided; wherein the nine-axis motion sensor module of the 3D pointing device comprises at least one gyroscope, at least one accelerometer and at least one magnetometer. In one preferred embodiment of the present invention, the nine-axis motion sensor module comprises a rotation sensor capable of detecting and generating angular velocities of  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$ , an accelerometer capable of detecting and generating axial accelerations of Ax, Ay, Az, and a magnetometer capable of detecting and generating magnetism of Mx, My, Mz. It

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can be understood that in another embodiment, the abovementioned rotation sensor may comprise three gyroscopes corresponding to each of the said angular velocities of  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  in a 3D spatial reference frame of the 3D pointing device; whereas the abovementioned accelerometer may comprise three accelerometers corresponding to each of the said axial accelerations Ax, Ay, Az in a 3D spatial reference frame of the 3D pointing device; and whereas the abovementioned magnetometer may comprise three magnetic sensors such as magneto-impedance (MI) sensors or magneto-resistive (MR) sensors corresponding to each of the said magnetism Mx, My, Mz in a 3D spatial reference frame of the electronic device. The rotation sensor detects the rotation of the 3D pointing device with respect to a reference frame associated with the 3D pointing device and provides a rotation rate or angular velocity output. The angular velocity output includes three components corresponding to the rotation rate or angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  of the 3D pointing device about the first axis, the second axis and the third axis of the reference frame, namely, Xp, Yp and Zp of the 3D spatial frame. The accelerometer detects the axial accelerations of the 3D pointing device with respect to the spatial reference frame such as a 3D-pointer reference frame and provides an acceleration output. The acceleration output includes three components corresponding to the accelerations, Ax, Az, Ay of the 3D pointing device along the first axis, the second axis and the third axis of the reference frame, namely, Xp, Yp and Zp of the 3D spatial reference frame. The magnetometer detects the magnetism of the electronic device with respect to the spatial reference frame such as a 3D reference frame and provides an magnetism output. The magnetism output includes three components corresponding to the magnetism, Mx, My, Mz of the 3D pointing device along the first axis, the second axis and the third axis of the reference frame, namely, Xp, Yp and Zp of the 3D spatial frame. It can, however, be understood that the axes of Xp, Yp and Zp of the 3D spatial reference frame may too be represented simply by the denotation of X. Y and Z.

5 [0015] According to another example embodiment of the present invention, a method for compensating accumulated errors of signals of the abovementioned nine-axis motion sensor module in dynamic environments associated in a spatial reference frame is provided. In one embodiment, the method may be performed or handled by a hardware processor. The processor is capable of compensating the accumulated errors associated 10 with the resultant deviation in relation to the signals of the abovementioned nine-axis motion sensor module of the 3D pointing device subject to movements and rotations in a spatial reference frame and in a dynamic environment by performing a data comparison to compare signals of rotation sensor related to angular velocities with the ones of accelerometer related to axial accelerations and the ones of magnetometer 15 related to magnetism such that the resultant deviation corresponding to the movements and rotations of the 3D pointing device in the 3D spatial reference frame may be obtained accurately over time in the dynamic environments.

According to another embodiment of the present invention, a method for [0016] obtaining a resulting deviation including resultant angles in a spatial reference frame of 20 a three-dimensional (3D) pointing device utilizing a nine-axis motion sensor module therein and subject to movements and rotations in dynamic environments in said spatial reference frame is provided. Said method comprises the steps of: obtaining a previous state associated with previous angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  gained from the motion

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sensor signals of the nine-axis motion sensor module at a previous time T-1; obtaining a current state of the nine-axis motion sensor module by obtaining measured angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  gained from the motion sensor signals at a current time T; obtaining a measured state of the nine-axis motion sensor module by obtaining measured axial accelerations Ax, Ay, Az and measured magnetism M<sub>x</sub>, M<sub>y</sub>, M<sub>z</sub> gained from the motion sensor signals at the current time T and calculating predicted axial accelerations Ax', Ay', Az' and predicted magnetism Mx', My', Mz' based on the measured angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  of the current state; obtaining an updated state of the nine-axis motion sensor module by comparing the current state with the measured state of the nine-axis motion sensor module; and calculating and converting the updated state of the nine-axis motion sensor module to said resulting deviation comprising said resultant angles in said spatial reference frame of the 3D pointing device.

[0017] According to another aspect of the present invention, a method for mapping 15 deviation angles associated with movements and rotations of a 3D pointing device in a spatial reference frame onto a display frame of a display having a predetermined screen size is provided. In one embodiment, the method for mapping or translating deviation angles including yaw, pitch and roll angles in a spatial reference frame to an pointing object, such as a pointer, having movements in a display frame, preferably a 2D reference frame, comprises the steps of obtaining boundary information of the display frame by calculating a predefined sensitivity associated with the display frame and performing angle and distance translation in the display frame based on said deviation angles and boundary information.

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[0018] According to another embodiment of the present invention, a method for obtaining a resulting deviation including resultant angles in a spatial reference frame of a three-dimensional pointing device utilizing a nine-axis motion sensor module therein and subject to movements and rotations in dynamic environments in said spatial 5 reference frame is provided. Said method comprises the steps of: obtaining a previous state of the nine-axis motion sensor module; wherein the previous state includes an initial-value set associated with at least previous angular velocities gained from the motion sensor signals of the nine-axis motion sensor module at a previous time T-1; obtaining a current state of the nine-axis motion sensor module by obtaining measured 10 angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  gained from the motion sensor signals of the nine-axis motion sensor module at a current time T; obtaining a measured state of the nine-axis motion sensor module by obtaining measured axial accelerations Ax, Ay, Az gained from the motion sensor signals of the nine-axis motion sensor module at the current time T and calculating predicted axial accelerations Ax', Ay', Az' based on the 15 measured angular velocities  $\omega x$ ,  $\omega y$ ,  $\omega z$  of the current state of the nine-axis motion sensor module; obtaining a first updated state of the nine-axis motion sensor module by comparing the current state with the measured state of the nine-axis motion sensor module; obtaining the measured state of the nine-axis motion sensor module by obtaining and calculating a measured yaw angle gained from the motion sensor signals of the nine-axis motion sensor module at the current time T and calculating a predicted 20 yaw angle based on the first updated state of the nine-axis motion sensor module; obtaining a second updated state of the nine-axis motion sensor module by comparing the current state with the measured state of the nine-axis motion sensor module; and calculating and converting the second updated state of the nine-axis motion sensor

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module to said resulting deviation comprising said resultant angles in said spatial reference frame of the electronic device.

- [0019] According to another aspect of the present invention, a 3D pointing device is 5 provided, which includes an orientation sensor, a rotation sensor, and a computing processor. The orientation sensor generates an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global reference frame associated with the Earth. The rotation sensor generates a rotation output associated with a rotation of the 3D pointing device associated with three 10 coordinate axes of a spatial reference frame associated with the 3D pointing device. The computing processor uses the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device.
- According to another aspect of the present invention, a method for 15 [0020]compensating the rotations of a 3D pointing device is provided. The method includes the following steps. Generate an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global reference frame associated with the Earth. Generate a rotation output associated with the rotation of the 3D pointing device associated with three coordinate axes of a spatial reference frame 20 associated with the 3D pointing device. Use the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0021]** The accompanying drawings are included to provide a further understanding of the invention, and are incorporated herein for illustrative purposes only. The drawings illustrate embodiments of the invention and, together with the description, serve to only illustrate the principles of the invention.

[0022] FIG. 1 shows a known related art having a 5-axis motion sensor in 2D reference frame.

10 **[0023]** FIG. 2 shows the known related art having a 5-axis motion sensor as shown in FIG.1 being rotated or rolled about Xp axis and is subject to further dynamic interactions or environment.

[0024] FIG. 3 is an exploded diagram showing an electronic device of the present invention, such as a pointing device, utilizing a nine-axis motion sensor module according to one embodiment of the present invention.

**[0025]** FIG. 4 is a schematic block diagram illustrating hardware components of an electronic device according to one embodiment of the present invention.

- 20 **[0026]** FIG. 5 is a schematic diagram showing another embodiment of an electronic device of the present invention, such as a pointing device, utilizing a nine-axis motion sensor module as well as an external processor.
- 25 [0027] FIG. 6 is an exploded diagram showing still another embodiment of an electronic device of the present invention, such as a smartphone or navigation

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equipment, utilizing a nine-axis motion sensor module according to anther embodiment of the present invention.

**[0028]** FIG. 7 is a flow chart illustrating a method for obtaining a resultant deviation of an electronic device of the present invention subject to movements and rotations in a spatial reference frame.

**[0029]** FIG. 8 shows another exemplary flow chart illustrating a method for obtaining resultant deviation including mapping of said deviation to a display of an electronic device according to another embodiment of the present invention.

[0030] FIG. 9 is a schematic diagram showing the mapping of the resultant angles of the resultant deviation according to an embodiment of the present invention.

15 [0031] FIG. 10 is an exemplary flow chart illustrating another embodiment of a method for obtaining a resultant deviation of an electronic device of the present invention.

[0032] FIG. 11 shows an exemplary flow chart illustrating another embodiment of a 20 method for obtaining a resultant deviation including mapping of such deviation to a display of an electronic device of the present invention.

**[0033]** FIG. 12 shows an exemplary flow chart illustrating a method for obtaining resultant deviation of an electronic device according to still another embodiment of the present invention.

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[0034] FIG. 13 is a flow chart of a method for compensating rotations of a 3D pointing device according to an embodiment of the present invention.

**[0035]** FIG. 14, FIG. 15 and FIG. 16 are schematic diagrams showing three 3D pointing devices according to three different embodiments of the present invention.

#### DESCRIPTION OF PREFERRED EMBODIMENTS

**[0036]** Detailed descriptions of preferred embodiments of the present invention recited herein are provided for illustrative purposes only; examples of which are too illustrated in the accompanying drawings. In addition, similar reference numbers in the drawings and the description may too refer to similar parts or components.

[0037] FIG. 3 is an exploded diagram showing an electronic device 300 according to one embodiment of the present invention, such as a pointing device. The electronic device 300 is subject to movements and rotations in dynamic environments in a spatial reference frame such as a 3D reference frame. The spatial reference frame is analogous to the reference frame  $X_PY_PZ_P$  also shown in Fig. 1 and Fig. 2. The movements and rotations of the electronic device 300, such as a pointing device, in the aforementioned dynamic environments in the spatial reference frame may be continuously nonlinear with respect to time. The term of "dynamic" recited herein may refer to moving or subject to motions in general.

[0038] The electronic device 300 includes a top cover 310, a printed circuit board (PCB) 340, a rotation sensor 342, an accelerometer 344, a magnetometer 345, a data

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transmitting unit 346, a computing processor 348, a bottom cover 320, and a battery pack 322. The top cover 310 may include a few control buttons 312 for a user to issue predefined commands for remote control. In one embodiment, the housing 330 may comprise the top cover 310 and the bottom cover 320. The housing 330 may move and rotate in the spatial reference frame according to user manipulation or any external forces in any direction and/or under the abovementioned dynamic environments. As shown in the FIG.3, in one embodiment, the rotation sensor 342, the accelerometer 344, the magnetometer 345, the data transmitting unit 346, and the computing processor 348 may be all attached to the PCB 340. The PCB 340 is enclosed by the housing 330. The PCB 340 includes at least one substrate having a longitudinal side configured to be substantially parallel to the longitudinal surface of the housing 330. An additional battery pack 322 provides electrical power for the electronic device 300.

[0039] Furthermore, in one embodiment, the abovementioned dynamic environments, in which the electronic device 300 of the present invention may be present or subject to, 15 may include undesirable external interferences to the electronic device 300 of the present invention. In one example, the undesirable external interferences may refer to or include undesirable axial accelerations caused by undesirable external forces other than a force of gravity. In another example, the undesirable external interferences may also refer to or include undesirable magnetism caused by undesirable electromagnetic fields.

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[0040] FIG. 4 is a schematic block diagram illustrating hardware components of the electronic device 300. The electronic device 300 includes a nine-axis motion sensor module 302 and a processing and transmitting module 304. The nine-axis motion sensor

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module 302 includes the rotation sensor 342, the accelerometer 344 and the magnetometer 345. The processing and transmitting module 304 includes the data transmitting unit 346 and the computing processor 348.

5 The term "nine-axis" recited herein may refer to and generally include the [0041] three angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$ , the three axial accelerations Ax, Ay, Az, and the three magnetism Mx, My, Mz. The rotation sensor 342 of the nine-motion sensor module 302 detects and generates the first signal set including angular velocities  $\omega_x, \omega_y$ ,  $\omega_z$  associated with the movements and rotations of the electronic device 300 about each 10 of three orthogonal coordinate axes  $X_P Y_P Z_P$  of the spatial reference frame. The angular velocities  $\omega_x, \, \omega_y$  and  $\omega_z$  are corresponding to the coordinate axes  $X_P, \, Y_P$  and  $Z_P$ respectively. The accelerometer 344 detects and generates the second signal set including axial accelerations Ax, Ay, Az associated with the movements and rotations of the electronic device 300 along each of the three orthogonal coordinate axes X<sub>P</sub>Y<sub>P</sub>Z<sub>P</sub> 15 of the spatial reference frame. The axial accelerations Ax, Ay and Az are corresponding to the coordinate axes X<sub>P</sub>, Y<sub>P</sub> and Z<sub>P</sub> respectively. The magnetometer 345 of the nine-motion sensor module 302 detects and generates the third signal set including magnetism Mx, My, Mz associated with the movements and rotations of the electronic device 300 along each of the three orthogonal coordinate axes X<sub>P</sub>Y<sub>P</sub>Z<sub>P</sub> of the spatial reference frame. The magnetism Mx, My and Mz represent the strength and/or direction 20 of ambient magnetic field (such as the magnetic field of the Earth) of the electronic device 300. The magnetism Mx, My and Mz are corresponding to the coordinate axes  $X_P$ ,  $Y_P$  and  $Z_P$  respectively. It too can be understood that the abovementioned nine axes of  $X_p Y_p Z_p$  may not need to be orthogonal in a specific orientation and they may be

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rotated in different orientations; the present invention discloses such coordinate system for illustrative purposes only and any coordinates in different orientation and/or denotations may too be possible.

- 5 Furthermore, in one embodiment of the present invention, the motion sensor [0042] module or nine-axis motion sensor module 302 of the electronic device 300 may refer to a Micro-Electro-Mechanical-System (MEMS) type of sensor. In an explanatory example, the abovementioned rotation sensor 342 of the nine-axis motion sensor module 302 may further comprise at least one resonating mass such that a movement of 10 said at least one resonating mass along an axis of said spatial reference frame may be detected and measured by said rotation sensor using the Coriolis acceleration effect to generate said first signal set comprising angular velocities  $\omega x$ ,  $\omega y$ ,  $\omega z$  in said spatial reference frame. It can be understood that for a three-axis rotation sensor of a MEMS type sensor, there may be positioned three resonating masses along each of X, Y and Z 15 axes of the spatial reference frame to generate and obtain movements or displacements of the three resonating masses thereof. It can too be understood that the nine-axis motion sensor 302 of the present invention may also include a three-axis accelerometer, a three-axis rotation sensor and a three-axis magnetometer in a MEMS structure.
- 20 **[0043]** The data transmitting unit 346 is electrically connected to the nine-axis motion sensor module 302 for transmitting the first, second and third signal sets. The data transmitting unit 346 transmits the first, second and third signal sets of the nine-axis motion sensor module 302 to the computing processor 348 preferably via electronic connections configured on the PCB 340. The computing processor 348

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receives and calculates the first, second and third signal sets from the data transmitting unit 346. The computing processor 348 further communicates with the nine-axis motion sensor module 302 to calculate the resulting deviation of the electronic device 300 including three resultant angles preferably about each of the three axes of the spatial 5 reference frame. The resultant angles include the yaw angle 111, the pitch angle 112 and the roll angle 113 as shown in Fig. 1 and Fig. 2. In order to calculate the resulting deviation, the computing processor 348 may utilize a comparison or algorithm to eliminate accumulated errors of the first, second and/or third signal sets of the nine-axis motion sensor module 302, whereby the resultant angles in the spatial reference frame, 10 preferably about each of three orthogonal coordinate axes of the spatial reference frame, of the resulting deviation of the nine-axis motion sensor module 302 of the electronic device 300 is obtained under the aforementioned dynamic environments excluding the abovementioned undesirable external interferences and such that it is preferably obtained and outputted in an absolute manner reflecting or associating with the actual 15 movements and rotations of the electronic device 300, including such as a pointing device, of the present invention in said spatial reference frame. In addition, said comparison utilized by the computing processor 348 may further comprise an update program to obtain an updated state of the nine-axis motion sensor module based on a previous state associated with a first signal set in relation to the angular velocities  $\omega_x, \omega_y$ ,  $\omega_z$  and a measured state associated with both said second and third signal sets in relation 20 to the axial accelerations Ax, Ay, Az as well as magnetism Mx, My, Mz. The abovementioned measured state may include a measurement of said second signal set or measured Ax, Ay, Az and a predicted measurement of Ax', Ay' and Az' obtained based on or calculated from a current state of the motion sensor module 302. In addition, the

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abovementioned measured state may too include a measurement of said third signal set or measured Mx, My, Mz and a predicted measurement of Mx', My' and Mz' obtained based on or calculated from the current state of the motion sensor module 302. Details of different "states" of the nine-axis motion sensor module 302 of the electronic device 300 of the present invention are provided in the later content.

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[0044] In one embodiment, the computing processor 348 of the processing and transmitting module 304 may further include a mapping program for translating the resultant angles of the resulting deviation in the spatial reference frame to a movement pattern in a display reference frame different from the spatial reference frame. The display reference frame is analogous to the reference frame  $X_DY_DZ_D$  in Fig. 1 and Fig. 2. The movement pattern may be displayed on a screen of a 2D display device similar to the display device 120 in Fig. 1 and Fig. 2. The mapping program translates the resultant angles, preferably about each of the three orthogonal coordinate axes of the spatial reference frame to the movement pattern according to a sensitivity input correlated to the display reference frame.

**[0045]** FIG. 5 is a schematic diagram showing an electronic device 500 utilizing a nine-axis motion sensor module according to anther embodiment of the present invention in a 3D spatial reference frame. As shown in FIG. 5, the electronic device 500 may comprise two parts 560 and 570 in data communication with each other. In one embodiment, the first part 560 includes a top cover (not shown), a PCB 540, a nine-axis motion sensor module 502 comprising a rotation sensor 542, an accelerometer 544 and a magnetometer 545, a data transmitting unit 546, a bottom cover 520, and a battery

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pack 522. The data transmitting unit 546 transmits the first signal set ( $\omega_x$ ,  $\omega_y$ ,  $\omega_z$ ) generated by the rotation sensor 542 of the nine-motion sensor module 502 and the second signal set (Ax, Ay, Az) generated by the accelerometer 544 as well as the third signal set (Mx, My, Mz) generated by the magnetometer 545 of the nine-motion sensor module 502 to the data receiving unit 552 of the second part 570 via wireless communication or connection including wireless local area network (WLAN) based on IEEE 802.11 standards or Bluetooth<sup>TM</sup>. It can be understood that in another embodiment, wired communication or connection via a physical cable or electrical wires connecting the first part 560 and the second part 570 may too be possible. In one embodiment of the present invention, the motion sensor module or nine-axis motion sensor module 502 of the electronic device 500 may refer to a MEMS type of sensor. In an explanatory example, the abovementioned rotation sensor 542 of the nine-axis motion sensor module 502 may further comprise at least one resonating mass such that a movement of said at least one resonating mass along an axis of said spatial reference frame may be detected and measured by said rotation sensor using the Coriolis acceleration effect to generate said first signal set comprising angular velocities  $\omega x$ ,  $\omega y$ ,  $\omega z$  in said spatial reference frame. It can be understood that for a three-axis rotation sensor of a MEMS type sensor, there may be positioned three resonating masses along each of X, Y and Z axes of the spatial reference frame to generate and obtain movements or displacements of the three resonating masses thereof. It can too be understood that the nine-axis motion sensor 502 of the present invention may also include a three-axis accelerometer, a three-axis rotation sensor and a three-axis magnetometer in a MEMS structure.

[0046] In one embodiment, the second part 570 may be an external processing device

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to be adapted to another electronic computing apparatus or system such as a standalone personal computer or server 580; for instance, the second part 570 may be coupled or adapted to an laptop computer via a standard interface, such as the universal serial bus (USB) interface depicted as shown in Fig. 5. The first part 560 and the second part 570 communicate via the data transmitting unit 546 and the data receiving unit 552. As previously mentioned, the data transmitting unit 546 and the data receiving unit 552 may communicate through wireless connection or wired connection. In other words, in terms of hardware configuration and data transmission, in one embodiment of the present invention, the nine-axis motion sensor module 502 comprising the rotation sensor 542, the accelerometer 544 and the magnetometer 545 may be disposed distally from the processing unit or computing processor 554; the signals from the nine-axis motion sensor module 502 may then be transmitted via the data transmitting units 546, 552 to the computing processor 554 via wired or wireless communication including for example IEEE 802.11 standards or Bluetooth<sup>TM</sup>.

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[0047] The second part 570 of the electronic device 500 according to one embodiment of the present invention comprises the data transmitting unit 552 and the processor 554. The data transmitting unit 552 of the second part 570 may be in data communication with the other data transmitting unit 546 disposed distally therefrom in the first part 560 as previously mentioned. The data transmitting unit 552 in the second part 570 receives the first, second and third signal sets from the data transmitting unit 546 in the first part 560 and transmits the first, second and third signal sets to the computing processor 554. In one embodiment, the computing processor 554 performs the aforementioned calculation as well as comparison of signals. In one embodiment,

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said comparison utilized by the computing processor 554 may further comprise an update program to obtain an updated state based on or from a previous state associated with said first signal set and a measured state associated with said second and third signal sets. The measured state may further include a measurement of said second and third signal sets and predicted measurements obtained based on the first signal set or based on a current state of the motion sensor module 502. The computing processor 554 is external to the housing of the 3D pointing device as depicted in Fig. 5. In one embodiment, the computing processor 554 also performs mapping by translating the resultant angles of the resulting deviation of the electronic device in the spatial pointer reference frame, preferably about each of three orthogonal coordinate axes of the spatial reference frame, to a movement pattern in a display reference frame associated with the notebook computer 580.

15 [0048] FIG. 6 is an exploded diagram showing a portable electronic device 600, such as for example a 3D pointing device, utilizing a nine-axis motion sensor module according to anther embodiment of the present invention in a 3D spatial reference frame. The portable electronic device 600 may further comprises a built-in display 682; examples of the portable electronic device 600 as an explanatory embodiment of the present invention may include such as smartphone, tablet PC or navigation equipment. In other words, the abovementioned display reference frame associated with a display may need not to be external to the spatial reference frame in terms of the hardware configuration of the present invention. In one embodiment, the electronic device 600 comprises a bottom cover 620, a PCB 640, a battery pack 622, a rotation sensor 642, an

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accelerometer 644, a magnetometer 645, a data transmitting unit 646, a computing processor 648, a display 682, and a top cover 610. Likewise, in one embodiment, the housing 630 may comprise the top and bottom covers 610, 620. A built-in display 682 may too be integrated on the housing 630; the nine-axis motion sensor module 602 may comprise the rotation sensor 642, the accelerometer 644 and the magnetometer 645. The data transmitting unit 646 and the computing processor 648 may also be integrated as a processing and transmitting module 604 of the electronic device 600. In one embodiment of the present invention, the motion sensor module or nine-axis motion sensor module 602 of the portable electronic device 600 may refer to a MEMS type of sensor. In an explanatory example, the abovementioned rotation sensor 642 of the nine-axis motion sensor module 602 may further comprise at least one resonating mass such that a movement of said at least one resonating mass along an axis of said spatial reference frame may be detected and measured by said rotation sensor using the Coriolis acceleration effect to generate said first signal set comprising angular velocities  $\omega x$ ,  $\omega y$ ,  $\omega z$  in said spatial reference frame. It can be understood that for a three-axis rotation sensor of a MEMS type sensor, there may be positioned three resonating masses along each of X, Y and Z axes of the spatial reference frame to generate and obtain movements or displacements of the three resonating masses thereof. It can too be understood that the nine-axis motion sensor 602 of the present invention may also include a three-axis accelerometer, a three-axis rotation sensor and a three-axis magnetometer in a MEMS structure.

[0049] The computing processor 648 of the processing and transmitting module 604 may too perform the mapping of resultant deviation from or in said spatial reference

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frame or 3D reference frame to a display reference frame such as a 2D reference frame by translating the resultant angles of the resulting deviation of the electronic device 600 in the spatial reference frame, preferably about each of three orthogonal coordinate axes of the spatial reference frame to a movement pattern in a display reference frame associated with the electronic device 600 itself. The display 682 displays the aforementioned movement pattern. The top cover 610 includes a transparent area 614 for the user to see the display 682.

[0050] FIG. 7 is an explanatory flow chart illustrating a method for obtaining and/or outputting a resultant deviation including deviation angles in a spatial reference frame of an electronic device, including such as a pointing device, navigation equipment or smartphone, having movements and rotations in a 3D spatial reference frame and in dynamic environments according to an embodiment of the present invention. The method in Fig. 7 may be a program such as an algorithm or a comparison model to be embedded or performed by the processing unit or computing processor 348, 554, 648 of the processing and transmitting module according to different embodiments of the present invention recited herein for illustrative purposes.

[0051] Accordingly, in one embodiment of the present invention, a method for obtaining a resultant deviation including deviation angles in a spatial reference frame of an electronic device utilizing a nine-axis motion sensor module therein in dynamic environments and preferably excluding undesirable external interferences thereof is provided. As the electronic device may be subject to movements and rotations in the dynamic environments, undesirable interferences may cause the measurements, 25 calculations or outputs of the motion sensor module thereof to be errorsome. In one

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embodiment, said method may comprise the following steps. First of all, as shown in FIG.7, different states including "previous state", "current state", "measured state" and "update state" of the nine-axis motion sensor module may be provided to represent a step or a set of steps utilized by the method for obtaining the resulting deviation in 3D reference frame, and preferably in the abovementioned "absolute" manner. In one exemplary embodiment, the method comprises the steps of obtaining a previous state of the nine-axis motion sensor module (such as steps 705, 710); and wherein the previous state may too include an initial-value set predetermined to initialize said previous state of the nine-axis motion sensor module at a beginning of the method. The initial-value 10 set may preferably be utilized at said beginning of the method or a start of the method where a previous state is not available to be obtained from an updated state (to be recited hereafter). In another embodiment where previous state may be obtained or updated from an updated state, said previous state may be a first quaternion inducing values associated with at least previous angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  gained from the 15 motion sensor signals of the nine-axis motion sensor module at a previous time T-1. A current state of the nine-axis motion sensor module may then be subsequently obtained by obtaining measured angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  gained from the motion sensor signals of the nine-axis motion sensor module at a current time T (such as steps 715, 720). A measured state of the nine-axis motion sensor module may then be obtained by obtaining measured axial accelerations Ax, Ay, Az gained from the motion sensor 20 signals of the nine-axis motion sensor module at the current time T (such as step 725). Furthermore, the step of calculating predicted axial accelerations Ax', Ay', Az' based on the measured angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  of the current state of the nine-axis motion sensor module (such as step 730); obtaining an updated state of the nine-axis motion

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sensor module by comparing the current state with the measured state of the nine-axis motion sensor module (such as step 735); and calculating and converting the updated state of the nine-axis motion sensor module to said resulting deviation comprising said resultant angles in said spatial reference frame of the electronic device (745) may then be performed and obtained; and whereby the resultant deviation comprising deviation angles associated with the updated state of the nine-axis motion module may be obtained excluding said undesirable external interferences in the dynamic environments. In order to provide a continuous loop such as performed in a looped manner, the result of the updated state of the nine-axis motion sensor module may preferably be outputted to the previous state; in one embodiment, the updated state may be a quaternion, namely third quaternion as shown in the figure, such that it may be directly outputted to the abovementioned previous state of another quaternion, namely the abovementioned first quaternion and as shown in the figure (such as step 740).

In addition, it can be understood that the abovementioned comparison utilized by the processing and transmitting module and comprising the update program may too make reference to said different states of the nine-axis motion sensor module as shown in FIGs 7 and 8. As mentioned previously, the update program may be utilized by the processor to obtain the updated state of the nine-axis motion sensor module based on the previous state associated with a first signal set in relation to the angular velocities ω<sub>x</sub>, ω<sub>y</sub>, ω<sub>z</sub> and the measured state associated with said second signal set in relation to the axial accelerations Ax, Ay, Az. The abovementioned measured state may include a measurement of said second signal set or measured Ax, Ay, Az and a predicted measurement of Ax', Ay' and Az' obtained based on or calculated from the first signal set. Details of each of the abovementioned states of the nine-axis motion sensor module

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and the related steps of the method for obtaining the resultant deviation of the electronic device in 3D reference frame are as follows.

[0053] Referring to FIG. 7 again, the method for obtaining a resultant deviation 5 including resultant angles in a spatial reference frame of electronic device utilizing a nine-axis motion sensor module according to one embodiment of the present invention may begin at the obtaining of a previous state of the nine-axis motion sensor module. In one embedment, the previous state of the nine-axis motion sensor module may preferably be in a form of a first quaternion, and the first quaternion may be preferably 10 initialized (step 705) at a very beginning of the process or method and as part of the obtaining of the previous state thereof. In other words, according to one embodiment of the present invention, the signals of the nine-axis motion sensor are preferably to be initialized according to a predetermined value set or quaternion including such as zeros and in particular, the signal or value associated with the yaw angle in terms of a quaternion value. The four elements of the first quaternion may be initialized with 15 predetermined initial values. Alternatively, the first quaternion may be initialized or replaced by another signal sets generated by the rotation sensor and the accelerometer at a next time frame such that the method as shown in Fig. 7 is a continuous loop between a previous time frame T-1 and a present time frame T; details on the replacement of the first quaternion at T-1 with the later outputted quaternion at T is to be provided in the 20 later content. It can be understood that one may make reference to Euler Angles for definition on quaternion. Similarly, it can be easily comprehended that the abovementioned previous time T-1 and present time T may too be substitute by a present time T and a next time T+1 respectively and shall too fall within the scope and

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spirit of the present invention.

**[0054]** In addition, the abovementioned dynamic environments may include undesirable external interferences to the present invention as mentioned previously. For instance, the undesirable external interferences nay refer to or include undesirable axial accelerations caused by undesirable external forces other than a force of gravity and they may too include or refer to include undesirable magnetism caused by undesirable electromagnetic fields. In a preferred embodiment of the present invention, one of the technical effects of the perform of the method as shown in FIG. 7 include that the abovementioned updated state of the nine-axis motion sensor module to said resulting deviation comprising said resultant angles in said spatial reference frame of the electronic device (step 745) may be preferably obtained excluding undesirable interferences in the dynamic environments, such as decoupling of undesirable external forces from the force of gravity to exclude undesirable axial accelerations and exclusion of undesirable external magnetism caused or induced by undesirable electromagnetic fields in the dynamic environments.

[0055] The method illustrated in Fig. 7 may be performed in consecutive time frames. According to one embodiment of the present invention, steps 710-745 may be performed in a looped manner by such as a data processing unit of an electronic device of the present invention. In another embodiment, multiple steps may be performed simultaneously, such as the obtaining of signals from the nine-axis motion sensor module may be performed simultaneously instead of one after another. It can therefore be understood that the steps recited herein are for illustrative purposes only and any

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other sequential orders or simultaneous steps are possible and shall too be considered to be within the scope of the present invention. The first quaternion with respect to the previous time T is obtained as shown in the figure as step 710. When step 710 is performed, the first quaternion initialized in step 705 is obtained. Otherwise, the first quaternion used in the present time T is generated in the previous time T-1. In other words, the step 710 may generally refer to or represented by the abovementioned "previous state" of the nine-axis motion sensor module; according to another embodiment, the previous state may refer to the steps of 705 and 710.

10 [0056] The next may be to obtain the first signal set generated by the rotation sensor, which includes the measured angular velocities ω<sub>x</sub>, ω<sub>y</sub> and ω<sub>z</sub> as shown in step 715 according to an exemplary embodiment of the present invention. In step 720, the second quaternion with respect to a present time T is calculated and obtained based on the angular velocities ω<sub>x</sub>, ω<sub>y</sub> and ω<sub>z</sub>. The step 715 and 720 may generally refer to or may be represented by the abovementioned "current state" of the nine-axis motion sensor module. In one embodiment, the computing processor may use a data conversion utility including such as an algorithm to convert the angular velocities ω<sub>x</sub>, ω<sub>y</sub>, ω<sub>z</sub> and first quaternion into the second quaternion. This data conversion utility may be a program or instruction represented by the following equation (1).

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$$\begin{bmatrix} \dot{q}_{0} \\ \dot{q}_{1} \\ \dot{q}_{2} \\ \dot{q}_{3} \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 0 & -\omega_{x} & -\omega_{y} & -\omega_{z} \\ \omega_{x} & 0 & \omega_{z} & -\omega_{y} \\ \omega_{y} & -\omega_{z} & 0 & \omega_{x} \\ \omega_{z} & \omega_{y} & -\omega_{x} & 0 \end{bmatrix} \begin{bmatrix} q_{0} \\ q_{1} \\ q_{2} \\ q_{3} \end{bmatrix}$$
 .....(1)

Equation (1) is a differential equation. The quaternion on the left side of the equal sign is the first order derivative with respect to time of the quaternion  $(q_0, q_1, q_2, q_3)$  on the

right side of the equal sign. The data conversion utility uses the first quaternion as the initial values for the differential equation (1) and calculates the solution of the differential equation (1). The second quaternion may be represented by a solution of the differential equation (1).

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As shown in the figure, the "measured state" of the nine-axis motion sensor [0057] module according to one embodiment of the present invention may generally refer or may be represented by steps 725 and 730. In step 725, the second signal set generated by the accelerometer may be obtained, which includes measured axial accelerations Ax, Ay and Az; or Ax, Ay and Az may refer to the measurement of the axial accelerations obtained. In order to obtain said measured state of the nine-axis motion sensor of the present invention, according to one embodiment, predicted axial accelerations Ax', Ay' and Az' may too be calculated and obtained based on the abovementioned current state of the nine-axis motion sensor module or the second quaternion as shown in step 730. In other words, two sets of axial accelerations may be obtained for the measured state of the nine-axis motion sensor module; one may be the measured axial accelerations Ax, Ay, Az in step 725 and the other may be the predicted axial accelerations Ax', Ay', Az' in step 730 calculated based on the abovementioned current state or second quaternion in relation to the measured angular velocities thereof. Furthermore, in one embodiment, the computing processor may use a data conversion utility to convert quaternion into the predicted axial accelerations Ax', Ay' and Az'. This data conversion utility may be a software program represented by the following equations (2), (3) and (4).

$2(q_1q_3-q_0q_2)=Ax'$	
$2(q_2q_3 + q_0q_1) = Ay'$	

 $q_0^2 - q_1^2 - q_2^2 + q_3^2 = Az' \quad \dots \tag{4}$ 

The computing processor calculates the solution (Ax', Ay', Az') of the equations (2), (3) and (4).

According to an exemplary embodiment of the method for obtaining a 5 [0058] resultant deviation including deviation angles in a spatial reference frame of an electronic device, including such as a 3D pointing device, a portable electronic device, a navigation equipment or a smartphone, utilizing a nine-axis motion sensor module, it may be preferable to compare the current state of the nine-axis motion sensor module with the measured state thereof with respect to the present time frame T by utilizing a 10 comparison model. In other words, in one embodiment as shown in step 735, it is preferable to compare the second quaternion in relation to the measured angular velocities of the current state at present time T with the measured axial accelerations Ax, Ay, Az as well as the predicted axial accelerations Ax', Ay', Az' also at present time T. 15 Following which, a result may be advantageously obtained as an updated state of the nine-axis motion sensor module, excluding the abovementioned undesirable external interferences of the dynamic environments. In an explanatory example, the updated state may generally refer to the update of the current state of the nine-axis motion sensor module at preset time T. Instructions including equations related to the abovementioned 20 current state, measured state and updated state may be illustrated in the following.

[0059] According to an exemplary embodiment of the comparison model utilized by the present invention in relation to step 735 as shown in the figure, the current state correlated to the abovementioned second quaternion and in relation to the angular

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velocities of gyroscope(s) may be obtained based on an exemplary equation of:

Preferably, a first probability (state transition probability) associated with the said current state may be further obtained based on an exemplary equation of:

$$P(x_t \mid x_{t-1}, u_t) = F_x P(x_{t-1} \mid x_{t-1}) F_x^T + F_u P(u_{t-1} \mid u_{t-1}) F_u^T + Q_t$$

wherein  $Q_t$  = addtional motion model noise

Likewise, the measured state correlated to the abovementioned predicted axial accelerations and in relation to the axial accelerations of accelerometers and current state may be obtained based on an exemplary equation of:

$$z_t(t \mid t-1) = h(x(t \mid t-1)) \dots (8)$$

Preferably, a second probability (measurement probability) associated with the measured state may be further obtained based on an exemplary equation of:

wherein  $R_t$  = measurement model noise

As an illustrative example, the abovementioned first and second probabilities may be further utilized to obtain the updated state of the nine-axis motion sensor module based on an exemplary method of data association of an exemplary equation of:

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In one embodiment, the result of the updated state of the nine-axis motion sensor module, preferably involving comparison or data association represented by the equations, may be a third quaternion as shown in the figure. Furthermore, the result may then be further outputted and utilized to obtain a resultant deviation, excluding undesirable interferences of the dynamic environments under which the present invention is subject to, but including deviation angles in a spatial reference frame in the following steps as shown in the figure. In a preferred embodiment of the present invention, said undesirable external interferences may further comprise or refer to undesirable axial accelerations caused by undesirable external forces other than a force of gravity; in another preferred embodiment, said undesirable external interferences may further comprise or refer to undesirable magnetism caused by undesirable electromagnetic fields. In other words, the method and algorithm provided by the present invention may preferably generate or provide an output of the resultant deviation of the nine-axis motion sensor module excluding the abovementioned undesirable interferences. In one example, external forces exerted to cause axial accelerations of a nine-axis motion sensor of an electronic device of the present invention may be decoupled or separated from a force of gravity; and in another example, the undesirable magnetism caused by such as electromagnetic fields external or internal to an electronic device the present invention may be excluded. It can be understood that the examples of current state, measured state, state update, data association and probabilities of the comparison model and method of the present invention recited herein are provided for illustrative purposes only.

[0060] As mentioned previously, it may be preferable to output the result of the

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updated state, preferably in a form of third quaternion, to the previous state of the nine-axis motion sensor module as shown in step 740 in FIG.7. In a preferred embodiment, the updated state may further comprise a first data association model; and wherein the abovementioned and related data association model may be provided for comparing the measured state associated with said second signal set with a predicted measurement obtained from said current state. In other words, in one embodiment, the first quaternion may be replaced by the abovementioned third quaternion or substitute directly any previous values of first quaternion in the previous time T for further process in a loop. In other words, the third quaternion with respect to the present time T becomes the first quaternion with respect to the next time such as T+1; or, the third quaternion at previous time frame T-1 outputted may now be the first quaternion at present time frame T.

In step 745, the updated state of the nine-axis motion sensor module of the [0061] present invention may be further calculated and converted to the resultant deviation 15 including deviation angles associated with the spatial reference frame, wherein the deviation angles includes the vaw angle, pitch angle and roll angle of the electronic device associated with the spatial reference frame, preferably about each of three orthogonal coordinate axes of the spatial reference frame; and whereby the resultant deviation comprising deviation angles associated with the updated state of the nine-axis 20 motion module may be preferably obtained excluding said undesirable external interferences in the dynamic environments. In an explanatory example, said undesirable external interferences may refer to or further comprise undesirable axial accelerations caused by undesirable external forces other than a force of gravity. In

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another explanatory example, said undesirable external interferences may refer to or further comprise undesirable magnetism caused by undesirable electromagnetic fields. In one embodiment, the computing processor may use a data conversion utility to convert the third quaternion of the updated state of the nine-axis motion sensor module into the yaw, pitch and roll angles thereof. This data conversion utility may be a program or instruction represented by the following equations (12), (13) and (14).

$$yaw = \arctan\left(\frac{2(q_0q_3 + q_1q_2)}{q_0^2 + q_1^2 - q_2^2 - q_3^2}\right)$$
(12)

 $pitch = \arcsin(2(q_0q_2 - q_3q_1))$  .....(13)

$$roll = \arctan\left(\frac{2(q_0q_1 + q_2q_3)}{q_0^2 - q_1^2 - q_2^2 + q_3^2}\right) \dots (14)$$

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The variables  $q_0$ ,  $q_1$ ,  $q_2$  and  $q_3$  in equations (12), (13) and (14) are the four elements of the third quaternion.

[0062] For a looped method continuous with respect to time, in one embodiment of the present invention, the method utilized by for example the computing processor communicated with the nine-axis motion sensor module may return to step 710 to perform the comparison process or method with respect to the next time T+1. In addition, the abovementioned resultant deviation including deviation angles comprising yaw, pitch and roll angles in the spatial reference frame converted from the third quaternion is preferably obtained and outputted in an absolute manner reflecting or associating with the actual movements and rotations of the electronic device of the present invention in said spatial reference frame. It can be understood that said actual movements and rotations of the electronic device of the present invention in the spatial reference frame or 3D reference frame may refer to real-time movements and rotations associated with vectors having both magnitudes and directions along or about orthogonal axes in the spatial reference frame under the dynamic environments.

5 FIG. 8 shows a flow chart illustrating a method of mapping resultant deviation [0063] angles of an electronic device having movements and rotations in a 3D spatial reference frame and in a dynamic environment onto a display reference frame according to another embodiment of the present invention. FIG. 9 is a schematic diagram showing the aforementioned mapping of the resultant angles of the resultant deviation of an 10 electronic device according to this embodiment. For illustrative purposes, the difference between FIG. 7 and Fig. 8 may be represented by the additional mapping step 750 as shown in FIG. 8. Steps 705-745 in Fig. 8 are the same as their counterparts in Fig. 7, which perform the comparison process for the 3D pointing device. Step 750 performs the mapping process for the electronic device. The computing processor may include a mapping program that performs the mapping step 750. At step 750, the processing and 15 transmitting module may obtain display data including for example, display screen size such as boundary information, and translates the deviation angles of the resultant deviation associated with the spatial reference frame, preferably about each of three orthogonal coordinate axes of the spatial reference frame, to a movement pattern in a mapping area in a display reference frame based on a sensitivity input correlated to the 20 display reference frame. It can be understood that the abovementioned display data may too include or refer to the type of display such as LED, LCD, touch panel or 3D display as well as frequency rate of display such as 120Hz or 240Hz. In one embodiment, the display reference frame associated with the display to be mapped may be a 2D display

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reference frame; in another embodiment, the display reference frame may be a 3D display reference frame of a 3D display.

[0064] The aforementioned display data may further include a sensitivity input. 5 The aforementioned sensitivity input is a parameter which may be inputted and adjusted by a user through control buttons attached on the housing of the electronic device. The sensitivity input may represent the sensitivity of the display device with respect to the movement of the electronic device. For details of the mapping process, please refer to Fig. 9. In one embodiment, the sensitivity input is a parameter representing the 10 relationship between the display to be mapped with deviation to a movement pattern in 2D display reference frame and the electronic device of the present invention outputted with said deviation including yaw, pitch and roll angles in 3D reference frame; wherein the relationship may be a distance relationship. In another embodiment, the sensitivity input may be a display screen size including boundary information predetermined by a 15 user; wherein the boundary information may be obtained based on a user input or manual input data from the user. In still another embodiment, the sensitivity input may be predefined or preset in the mapping program such that the parameter of the sensitivity input is a preset value for either increase or decrease the movement patterns including distance or number of pixels to be moved or mapped from said resultant 20 deviation of the electronic device of the present invention.

[0065] Fig. 9 is a bird's-eye view of an electronic device 930 according to one embodiment of the present invention directed to a display screen 910 of a display device. The display screen has a central point 922, a target point 924 and a boundary point 926.

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The central point 922 is the geometric center of the display screen 910. The target point 924 is the position that the electronic device 930 is aiming at. The boundary point 926 is a point on the right boundary of the display screen 910. The points 922, 924, 926 and the electronic device 930 are on a common plane parallel to both the X<sub>D</sub> axis and the Z<sub>D</sub> axis of the display reference frame X<sub>D</sub>Y<sub>D</sub>Z<sub>D</sub>. Virtual beams 942, 944 and 946 are imaginary light beams from the electronic device 930 to the central point 922, the target point 924 and the boundary point 926, respectively. The distance P is the distance between the central point 922 and the target point 924, while the distance  $P_{max}$  is the distance between the central point 922 and the boundary point 926. The distance d is the distance between the central point 922 and the electronic device 930. The aforementioned yaw angle of the resultant deviation of the electronic device 930 of the present invention is the angle  $\theta$  between the virtual beams 942 and 944, while the angle  $\theta_{max}$  is the angle between the virtual beams 942 and 946. The aforementioned mapping area is a plane including the display surface of the display screen 910 in the display reference frame. The display surface of the display screen 910 is a subset of the 15 mapping area.

[0066] In this embodiment, the aforementioned sensitivity input is provided by the user of the electronic device 930. The sensitivity  $\beta$  is defined by the following equation (15).

$$\beta = \frac{P_{\max}}{\theta_{\max}} \quad \dots \tag{15}$$

The variable  $\beta$  in equation (16) is the sensitivity input defined by user.

The following equation (16) may be derived from equation (15) and geometry.

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The following equation (17) may be derived from equations (16).

- [0067] In equation (17), the distance P<sub>max</sub> may be obtained from the width of the display screen of the display data obtained at step 750; the angle θ is the yaw angle obtained at step 745; the sensitivity input β is provided by the user. Therefore, the computing processor of the electronic device 930 can calculate the distance P according to equation (17). Next, the computing processor can easily obtain the horizontal coordinate of the target point 924 on the display screen 910 according to the distance P and the width of the display screen 910. In addition, the computing processor can easily obtains the vertical coordinate of the target point 924 on the display screen 910 according to the display screen 910 according to the display screen 910.
- 15 [0068] The mapping process performed at step 750 may be exemplified by the process of translating the yaw angle and the pitch angle of the resultant angles to the 2D coordinates of the target point 924 on the display screen 910 discussed above. Now the computing processor has the coordinates of the target point 924 of the present time frame. The computing processor subtracts the coordinates of the target point 924 of the present time frame. The result of the subtraction is the horizontal offset and the vertical offset of the target point 924 in the present time frame. The horizontal and vertical offsets may be

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transmitted to the display device so that the display device can track the position of the target point 924. The display device may display a cursor or some video effect on the display screen 910 to highlight the position of the target point 924. The cursor or video effect may exhibit a movement pattern on the display screen 910 when the user moves the electronic device 930 of the present invention.

**[0069]** Likewise, according to another embodiment of the present invention, the comparison method of the present invention may be a lopped method. For a looped method continuous with respect to time, in one embodiment, the method utilized by for example the computing processor communicating with the nine-axis motion sensor module may return to step 710 to perform the comparison process or method with respect to the next time T+1, following which the comparison and mapping process with respect to the next time frame may then be performed.

15 [0070] FIG. 10 shows another embodiment of the comparison method of the present invention. The flow chart illustrates a method of obtaining resultant deviation including deviation angles in a spatial reference frame of an electronic device utilizing a nine-axis motion sensor module therein and subject to movements and rotations in dynamic environments in the spatial reference frame and mapping resultant deviation of the electronic device of the present invention having movements and rotations in a 3D spatial reference frame and in a dynamic environment onto a display reference frame according to another embodiment of the present invention; and whereby the resultant deviation comprising deviation angles associated an output or state such as an updated state (details below) of the nine-axis motion module may be preferably obtained

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excluding said undesirable external interferences in the dynamic environments. In an explanatory example, said undesirable external interferences may refer to or further comprise undesirable axial accelerations caused by undesirable external forces other than a force of gravity. In another explanatory example, said undesirable external interferences may refer to or further comprise undesirable magnetism caused by undesirable electromagnetic fields. The steps 1005-1030 in Fig. 10 may make reference to the ones shown in another embodiment of the present invention as shown in Fig. 7.

For an electronic device, including such as a pointing device, a navigation [0071] 10 equipment, a smartphone or other portable electronic apparatus, utilizing a nine-axis motion sensor module, the signals of the magnetometer of the motion sensor module may be preferably be used to facilitate the obtaining of the resultant deviation including deviation angles in 3D reference and preferably in an absolute manner. The third signal set generated by the magnetometer may be obtained as shown in step 1035 in FIG. 10, 15 which includes measured magnetism Mx, My and Mz. In one embodiment, the Mx, My and Mz may refer to the measurement of the magnetism obtained. In order to obtain said measured state of the nine-axis motion sensor, according to one embodiment of the present invention, predicted magnetism Mx', My' and Mz' may too be calculated and obtained based on the abovementioned current state of the nine-axis motion sensor module or the second quaternion as shown in step 1040. In other words, two sets of 20 magnetism may be obtained for the measured state of the nine-axis motion sensor module: one may be the measured magnetism Mx, My, Mz in step 1035 and the other may be the predicted magnetism Mx', My', Mz' in step 1040 calculated based on the abovementioned current state or second quaternion in relation to the measured angular

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velocities thereof. Furthermore, in one embodiment, the computing processor may use a data conversion utility to convert the current state or second quaternion into predicted magnetism Mx',My' and Mz' and vice versa. This data conversion utility may be a software program represented by the following equations (18), (19) and (20).

$$(q_0^2 + q_1^2 - q_2^2 - q_3^2)\cos\lambda + 2(q_1q_3 - q_0q_2)\sin\lambda = Mx'$$
(18)

$$2(q_1q_2 - q_0q_3)\cos\lambda + 2(q_2q_3 + q_0q_1)\sin\lambda = My'....(19)$$

The variable  $\lambda$  in equations (18), (19) and (20) is the dip angle between the direction of the ambient magnetic field measured by the magnetometer and a horizontal plane in the spatial reference frame. The dip angle  $\lambda$  may be measured or calculated through an initial calibration process of the electronic device of the present invention and then be used as a parameter. The computing processor calculates the solution (Mx',My',Mz') of the equations (18), (19) and (20).

15 [0072] According to an exemplary embodiment of the method for obtaining a resultant deviation including deviation angles in a spatial reference frame of an electronic device, including such as a pointing device, a navigation equipment, a smartphone or other portable electronic apparatus, utilizing a nine-axis motion sensor module, it may be preferable to compare the current state of the nine-axis motion sensor 20 module with the measured state thereof with respect to the present time frame T by utilizing a comparison model. In other words, in one embodiment as shown in step 1045, it is preferable to compare the second quaternion in relation to the measured angular velocities of the current state at present time T with the measured axial accelerations Ax, Ay, Az, the predicted axial accelerations Ax', Ay', Az', the measured magnetism Mx,

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My, Mz, and the predicted magnetism Mx', My', Mz' also at present time T. Following which, a result may be obtained as an updated state of the nine-axis motion sensor module. In general and in an explanatory example of the present invention, the updated state may generally refer to the update of the previous state of the nine-axis motion sensor module at a previous time T-1 with reference to the current state and/or measured state thereof. The comparison model in step 1045 utilizes the measured axial accelerations Ax, Ay, Az and measured magnetism Mx, My, Mz, as well as the predicted axial accelerations Ax', Ay', Az' and the predicted magnetism Mx', My', Mz'.

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**[0073]** In one embodiment, the result of the updated state of the nine-axis motion sensor module, preferably involving comparison or data association represented by the equations associated to the comparison model, may be a third quaternion as shown in the figure. Furthermore, as shown in steps 1050~1060, the result may then be further outputted and utilized to obtain a resultant deviation including deviation angles in a spatial reference frame in the steps as shown in the figure. It can be understood that the examples of current state, measured state, state update, data association and probabilities of the comparison model and method of the present invention are provided for illustrative purposes only.

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**[0074]** FIG. 11 shows a further exemplary embodiment of the comparison method of the present invention. The flow chart illustrates a method of obtaining resulting deviation including resultant angles in a spatial reference frame of an electronic device, including such as a pointing device, a navigation equipment, a smartphone or other

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portable electronic device, utilizing a nine-axis motion sensor module therein and subject to movements and rotations in dynamic environments in the spatial reference frame and mapping resultant deviation angles of said electronic device having movements and rotations in the 3D spatial reference frame and in a dynamic environment onto a display reference frame according to another embodiment of the present invention. Likewise, steps 1105~1130 may include obtaining a previous state and a current state of the motion sensor module as well as a measured state related to the axial accelerations of the motion sensor module. Additionally, in step 1135, it may be preferable to compare the current state of the nine-axis motion sensor module with the measured state thereof with respect to the present time frame T by utilizing a comparison model. In other words, as shown in step 1135, it is preferable to compare the second quaternion in relation to the measured angular velocities of the current state at present time T with the measured axial accelerations Ax, Ay, Az as well as the predicted axial accelerations Ax', Ay', Az' also at present time T. Following which, a result may be obtained as the first updated state of the nine-axis motion sensor module. In an explanatory example, the first updated state may generally refer to the first update of the current state of the nine-axis motion sensor module at preset time T. Furthermore, one of the technical effects of the present invention may too be obtained or achieved. In step 1135, one of the advantages or effects by performing steps from 1105~1135 may be that the first updated state or third quaternion as shown in FIG. 11 may be advantageously obtained excluding undesirable axial accelerations caused by for example undesirable external forces such as the ones decoupled from a force of gravity.

[0075] In one embodiment, the result of the first updated state of the nine-axis

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motion sensor module, preferably involving comparison or data association represented by the equations associated to the comparison model, may be a third quaternion as shown in the figure. In addition, one of the technical effects of the present invention may include the exclusion of undesirable external interferences in the dynamic environment as previously mentioned; and wherein the undesirable external interferences may refer to or further include undesirable axial accelerations caused by undesirable external forces, preferably decoupled from a force of gravity, and/or undesirable magnetism caused by for example undesirable electromagnetic fields either adjacent to the motion sensor module. As shown in step 1140 of FIG. 11, the first updated state of the nine-axis motion sensor module of the present invention may be further calculated and converted to a temporary pitch angle and a temporary roll angle based on the third quaternion. The first updated state, as shown in the figure, may be advantageously obtained such that or whereby undesirable axial accelerations associated with said undesirable external interferences in the dynamic environments may be preferably excluded; in an explanatory example, the first updated state may be preferably obtained excluding the abovementioned undesirable axial accelerations caused by undesirable external forces, such as external forces decoupled from a force of gravity. The third signal set generated by the magnetometer may be obtained, which includes measured magnetism Mx, My and Mz. The measured state of the nine-axis motion sensor module may be obtained by obtaining and calculating a measured yaw angle gained from the motion sensor signals of the nine-axis motion sensor module at the current time T according to the following equation (21).

$$Ty = \frac{-My\cos(Tr) + Mz\sin(Tr)}{Mx\cos(Tp) + My\sin(Tp)\cos(Tr) + Mz\sin(Tp)\cos(Tr)}$$
....(21)

In equation (21), Ty is the measured yaw angle, Tp is the temporary pitch angle and Tr

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is the temporary roll angle.

[0076] In order to obtain said measured state of the nine-axis motion sensor, according to one embodiment of the present invention, a predicted yaw angle may be calculated and obtained based on the abovementioned first updated state of the nine-axis motion sensor module or the third quaternion at present time T as shown in step 1145. In other words, the measured yaw angle in step 1140 and the predicted yaw angle in step 1145 may be obtained for the measured state of the nine-axis module.

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[0077] Furthermore, it may be preferable to compare the current state of the nine-axis motion sensor module with the measured state thereof with respect to the present time frame T by utilizing a comparison model. In other words, as shown in step 1150, it is preferable to compare the second quaternion in relation to the measured angular velocities of the current state at present time T with the measured axial accelerations Ax, Ay, Az, the predicted axial accelerations Ax', Ay', Az', the measured yaw angle and the predicted yaw angle also at present time T. Following which, a result may be obtained as the second updated state of the nine-axis motion sensor module. In an explanatory example, the second updated state may generally refer to the second update of the current state of the nine-axis motion sensor module at preset time T. The comparison model in step 1150 is very similar to the abovementioned comparison models. Related details are omitted here for brevity. In one embodiment, the result of the second updated state of the nine-axis motion sensor module and utilized to obtain a

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resulting deviation including resultant angles in a spatial reference frame in the following steps as shown in the figure. In addition to the abovementioned technical effects of the present invention in which undesirable axial accelerations of undesirable external interferences in the dynamic environments may be advantageously excluded as a result of the first updated state of the motion sensor module in step 1135, another technical effect or merit may too be obtained along with result of the second updated state of the motion sensor as shown in step 1150 of FIG. 11. One of the advantages or effects by performing steps such as from 1140~1150 may be that the second updated state or fourth quaternion as shown in FIG. 11 may be advantageously obtained excluding undesirable magnetism such as the ones caused by for example undesirable external or internal electromagnetic fields adjacent to the motion sensor module in the dynamic environments of the present invention.

[0078] It may be preferable to output the result of the second updated state, preferably in a form of the fourth quaternion, to the previous state of the nine-axis motion sensor module as shown in step 1155 in the figure. In other words, in one embodiment, the first quaternion may be replaced by the abovementioned fourth quaternion or substitute directly any previous values of first quaternion in the previous time T for further process in a loop. In other words, the fourth quaternion with respect to the present time T becomes the first quaternion with respect to the next time such as T+1; or, the fourth quaternion at previous time frame T-1 outputted may now be the first quaternion at present time T.

[0079] In step 1160, the second updated state of the nine-axis motion sensor module

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of the present invention may be further calculated and converted to the resulting deviation including resultant angles associated with the spatial reference frame, wherein the resultant angles includes the yaw angle, pitch angle and roll angle of the 3D pointing device associated with the spatial reference frame, preferably about each of three 5 orthogonal coordinate axes of the spatial reference frame. In addition, the second updated state, as shown in the figure, may be advantageously obtained such that or whereby undesirable magnetism associated with said undesirable external interferences in the dynamic environments may be preferably excluded; in an explanatory example, the second updated state may be preferably obtained excluding the abovementioned 10 undesirable magnetism caused by for example undesirable electromagnetic fields, or magnetism other than the planetary geomagnetism, adjacent or of a magnitude influencing magnetometer of the motion sensor module. The resultant angles may be calculated according to equations (12), (13) and (14), wherein the variables  $q_0$ ,  $q_1$ ,  $q_2$ and  $q_3$  in equations (12), (13) and (14) are the four elements of the fourth quaternion. Furthermore, the resultant deviation in step 1160 may be advantageously obtained 15 excluding undesirable interferences including such as the ones of undesirable axial accelerations caused by undesirable external forces decoupled from a force of gravity mentioned previously in step 1135 and the ones of undesirable magnetism caused by for example undesirable electromagnetic fields as mentioned previously in step 1150. Likewise, in step 1165 as shown in FIG. 11, the resultant deviation including deviation 20 angles in 3D pointer reference may be further mapped to a display reference such as a 2D display reference of a display.

[0080] As illustrated by FIG.12, in one preferred embodiment, the first and second 25 updated states may further comprise a first data association model and a second data

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association model respectively. The first data association model may be advantageously provided for comparing the first measured state associated with said second signal set with a first predicted measurement obtained from said current state; in addition, the second data association model may too be advantageously provided for comparing the second measured state associated with said third signal set with a second predicted measurement obtained from said first updated state. Furthermore, in another preferred embodiment, the first and second updated states may further comprise a first data association model and a second data association model respectively; and wherein the first data association model may be advantageously provided for comparing the first measured state associated with said second signal set with a first predicted measurement obtained from said current state; and wherein the second data association model may be advantageously provided for comparing the second measured state associated with said third signal set with a second predicted measurement obtained from said current state. Details on the differences of the obtaining of said second predicted measurement based on either the first updated state or the current state of the motion sensor module depending upon a comparison result are further described in FIG. 12, as the routes presented or denoted by "Yes" and "No' shown therein.

[0081] FIG. 12 shows an exemplary flow chart of another embodiment of the present invention of a method for obtaining a resultant deviation comprising deviation angles of an electronic device, including such as a pointing device, navigation equipment, a smartphone or other portable electronic apparatus. Accordingly, the method for obtaining a resultant deviation including deviation angles in a spatial reference frame of an electronic device utilizing a nine-axis motion sensor module therein and subject to 25 movements and rotations in dynamic environments in said spatial reference frame

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includes the following steps. As shown in the figure, at step 1210, a previous state of the nine-axis motion sensor module may be obtained; and wherein the previous state is associated with at least previous angular velocities gained from the motion sensor signals of the nine-axis motion sensor module at a previous time T-1. In another embodiment, the previous state is associated with previous angular velocities, previous axial accelerations and previous magnetism gained from the motion sensor signals of the nine-axis motion sensor module at a previous time T-1. Next, at step 1220, it may be to obtain a current state of the nine-axis motion sensor module by obtaining measured angular velocities  $\omega_x$ ,  $\omega_y$ ,  $\omega_z$  gained from the motion sensor signals of the nine-axis motion sensor module at a current time T. At step 1225, it may be to obtain a first measured state of the nine-axis motion sensor module by obtaining measured axial accelerations Ax, Ay, Az gained from the motion sensor signals of the nine-axis motion sensor module at the current time T. Following which and at step 1230 is calculating and obtaining a first predicted measurement of the nine-axis motion sensor module based on said current state thereof. At step 1235, a comparison may be performed to determine whether the signals related to the measured state including such as measured axial accelerations and/or measured magnetism are "good enough" to be used to compensate the current state of the motion sensor module and therefore an updated state thereof can be obtained.

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**[0082]** According to the previously mentioned objectives of the present invention, it is preferable to provide an advantageous comparison or compensation method capable of outputting resultant deviation of a motion sensor module of a relatively high accuracy in the presence of external or internal interferences including such as electromagnetic

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fields generated by other electronic components adjacent to the motion sensor modules or of a magnitude strong enough to distort or affect the normal operations or signals of motion sensor module. Under such circumstances, a comparison utilizing data association may be advantageously provided or used to compare measured state of the motion sensor modules with an expected or predicted measurement thereof to determine the compensation for updating an updated state of the previous state. In step 1235 as previously mentioned, the data association may also include a predetermined value preset or preselected in accordance with for example the performance of motion sensor module utilized, and such that the comparison result of the measured state and the predicted measurement may make reference to the data association and the predetermined value or range to determine the compensation needed to take place to update the state of the motion sensor module including such as the previous and/or current states thereof.

15 [0083] Accordingly, updated state(s) of the motion sensor module may be obtained based on the result of the data association(s). As shown in the figure, if the result of abovementioned comparison falls within the predetermined result of for example a predetermined value or range of the data association, then in one embodiment of the present invention, in step 1240, a first updated state of the nine-axis motion sensor module may be obtained based on a first comparison between said first predicted measurement and said first measured state of the nine-axis motion sensor module. Otherwise, if the result is not within the predetermined value of range of the data association, then the first updated state may not be performed or obtained. Such method of the use of data association and comparison may be particularly useful in the

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abovementioned scenario of external or internal "interferences" such as the ones caused by undesired electromagnetic fields. In the case where the result falls outside of expected range, or denoted by "No" as shown in FIG. 12, the next step would be to obtain another measured state or the second measured state of the motion sensor module to determine whether another data association may be utilized to obtain the second updated state. It can however be understood that the second updated state may be provided as an additional step to the method of the present invention. One may only perform the abovementioned steps and obtain a result of the first updated state based on the measured state including the measured axial accelerations associated with the motion sensor module; in other words, either performing steps to obtain only the first updated state, steps to obtain only the second updated state, and/or steps to obtain both the first and second updated states as shown in the figure, shall all be considered to be within the scope of the present invention and within the spirit of the present invention. Furthermore and likewise, one of the technical effects of the present invention may too be obtained or achieved. In step 1240, one of the advantages or effects by performing steps from 1210~1240 may be that the first updated state as shown in FIG. 12 may be advantageously obtained excluding undesirable axial accelerations caused by for example undesirable external forces such as the ones decoupled from a force of gravity.

20 [0084] In another embodiment of the present invention or in the case where the abovementioned second updated state is to be obtained, one may further perform steps 1245 ~1260 as shown in FIG. 12. In step 1245, one may obtain a second measured state of the nine-axis motion sensor module by obtaining a measured yaw angle based on measured magnetism Mx, My, Mz gained from the motion sensor signals of the

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nine-axis motion sensor module at the current time T. Furthermore, as shown in step 1250, a second predicted measurement of the nine-axis motion sensor module may be calculated and obtained, following which a predicted yaw angle may too be obtained based on said first updated state thereof depending upon the result of the comparison 5 such as the route denoted by "Yes" in FIG. 12. In another embodiment, the predicted yaw angle may be obtained based on said current state of the motion sensor module depending upon the result of the comparison such as a result or route of "No" denoted in FIG. 12. Once the measured state and the predicted measurements are obtained and available, a second comparison may be performed to determine whether compensation 10 may be carried out based on the result of the comparison and the second data association. As shown in step 1255, the second data association including a predetermined value or range may be performed to determine whether the comparison falls within said predetermined value or range. If the result falls within said value or range, then a second updated state may be obtained and compensation may too take 15 place as shown in step 1260 with the denotation of "Yes". Otherwise, if the result is not within the predetermined value, then step 1265 shall be carried out, following the direction shown and denoted by "No" in the figure; and in other words, compensation may utilize the second predicted measurement of the motion sensor module thereof for updating instead of using the second measured state thereof. Likewise, in addition to the 20 abovementioned technical effects of the present invention in which undesirable axial accelerations of undesirable external interferences in the dynamic environments may be advantageously excluded as a result of the first updated state of the motion sensor module in step 1240, another technical effect or merit may too be obtained along with result of the second updated state of the motion sensor as shown in step 1260 of FIG. 12.

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One of the advantages or effects by performing steps such as from 1245~1260 may be that the second updated state as shown in FIG. 12 may be advantageously obtained excluding undesirable magnetism such as the ones caused by for example undesirable external or internal electromagnetic fields adjacent to the motion sensor module in the dynamic environments of the present invention.

**[0085]** Following the above steps, in one embodiment of the present invention in which said comparison method may be provided in a continuous loop or a looped manner with respect to time, the result of the updated state at present time T may then be outputted to the previous state at previous time T-1 and become another beginning of the loop for the abovementioned steps to carry out again. The terminology of time(s) T, T-1 or T+1 shall be clear and apparent and shall too fall within the scope and spirit of the present invention. For example, in step 1260 as shown in FIG. 12, the second updated state of the nine-axis motion sensor module may be obtained by, or may too include, the updating said first updated state thereof based on a second comparison between said second predicted measurement and said second measured state of the nine-axis motion sensor module; and in step 1265, the result of the second updated state thereof may be further outputted to the previous state in a looped manner with respect to time.

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**[0086]** After step 1265, the resultant deviation including the deviation angles in the spatial reference frame, namely the yaw, pitch and roll angles, may be obtained in step 1270 in a similar way as those in steps 745, 1060 and 1160. Furthermore, the resultant deviation in step 1270 may be advantageously obtained excluding undesirable

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interferences including such as the ones of undesirable axial accelerations caused by undesirable external forces decoupled from a force of gravity mentioned previously in step 1240 and the ones of undesirable magnetism caused by for example undesirable electromagnetic fields as mentioned previously in step 1260.

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**[0087]** As mentioned previously, in one embodiment of the present invention, the method for obtaining a resultant deviation of an electronic device utilizing a nine-axis motion sensor module, data associations may be provided to obtain a relatively accurate result under for example the existence of external or internal interferences to the sensor module. Accordingly, the abovementioned step of obtaining the first updated state of the nine-axis motion sensor module may further comprise performing a first data association to determine whether said first comparison between said first predicted measurement and said first measured state thereof falls within a first predetermined value of the nine-axis motion sensor module; and wherein the step of obtaining the second updated state of the nine-axis motion to determine whether said second comparison between said second predicted measurement and said second measured state thereof falls within a second predetermined value of the nine-axis motion sensor module.

20 [0088] Likewise, in accordance to the abovementioned continuous loop of the method of the present invention with respect to time and in one embodiment, the method for obtaining a resultant deviation of an electronic device utilizing a nine-axis motion sensor module may further comprise outputting said second updated state of the nine-axis motion sensor module to said previous state thereof; and wherein said previous state of the nine-axis motion with nine-axis motion sensor module to sensor module may be a first quaternion with

respect to said previous time T-1; and wherein said current state of the nine-axis motion sensor module may be a second quaternion with respect to said current time T; and wherein said first and second updated states of the nine-axis motion sensor module may too be a third and a fourth quaternion with respect to said current time T respectively.

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[0089] In summary, the present invention also provides a nine-axis comparison method that compares the detected signals generated by and converted from the rotation of the electronic device, utilizing a nine-axis motion sensor module, about all of the three axes with the detected signals generated by and converted from the acceleration of 10 the device along all of the three axes. In one embodiment, the nine-axis comparison method may then output the resultant deviation including yaw, pitch and roll angles in a spatial reference frame such as a 3D reference frame of the device. In another embodiment, the nine-axis comparison method may also include the mapping of the resultant deviation including yaw, pitch and roll angles in the spatial reference to a 15 display reference frame such as a 2D display reference frame of a display screen of a display device. The nine-axis comparison method involving the comparison of different states of the motion sensor module and the utilization of data association of the present invention in order to output a resultant deviation having yaw, pitch and roll angles in for example 3D reference frame is novel and cannot be easily achieved by any know arts or their combinations thereof.

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[0090] In view of the above, it is clear that such obtaining and outputting of deviation including 3D angles in a spatial reference frame in an "absolute" manner of the present invention is too novel, and the fact that the electronic device utilizing a

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motion sensor module therein having a novel comparison method and program of the present invention to obtain and output such deviation in "absolute" manner cannot be easily achieved by any known arts or their combination thereof. The term "absolute" associated with the resulting deviation including resultant angles such as yaw, pitch and roll in a spatial reference frame or 3D reference frame obtained and outputted by the device of the present invention may refer to the "actual" movements and rotations of the 3D pointer device of the present invention in said spatial reference frame. Moreover, the nine-axis comparison method of the present invention may accurately output said deviation including angles in 3D reference frame as noises associated with the nine-axis motion sensor module subject to movement and rotations in dynamic environments and accumulated over time may be effectively eliminated or compensated. Furthermore, the term "a", "an" or "one" recited herein as well as in the claims hereafter may refer to and include the meaning of "at least one" or "more than one". It can be understood that, as previously mentioned, the term of "dynamic" recited herein may refer to moving or subject to motions in general. It too can be understood that the term "excluding" recited herein to describe the exclusion of undesirable interferences is provided for illustrative purposes and shall not be limited to a certain or specific degree or magnitude of the effect of exclusion; any degree or magnitude associated thereto shall be considered to be within the spirit and scope of the present invention.

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[0091] FIG. 13 is a flow chart of a method for compensating rotations of a 3D pointing device according to an embodiment of the present invention. The purpose of the method is transforming rotations and movements of the 3D pointing device to a movement pattern in the display plane of a display device (such as the plane  $X_DY_D$  of

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the display device 120 shown in FIG. 1 and FIG. 2). The method may be executed by the 3D pointing device shown in FIG. 14. FIG. 14 is a schematic diagram showing a 3D pointing device according to an embodiment of the present invention. The 3D pointing device in FIG. 14 includes a rotation sensor 342, an orientation sensor 1410, and a computing processor 1420. The orientation sensor 1410 includes an accelerometer 344 and another computing processor 348.

[0092] The flow in FIG. 13 is discussed as follows. In step 1320, the orientation sensor 1410 generates an orientation output associated with the orientation of the 3D pointing device associated with three coordinate axes of a global reference frame 10 associated with the Earth. The computing processor 348 of the orientation sensor 1410 may generate the aforementioned orientation output by executing steps 710 to 745 illustrated in FIG. 7 and FIG. 8. In brief, in steps 710 to 745 illustrated in FIG. 7 and FIG. 8, the rotation sensor 342 generates a rotation output ( $\omega_x$ ,  $\omega_y$ ,  $\omega_z$ ) associated with 15 the rotation of the 3D pointing device associated with the three coordinate axes of a spatial reference frame associated with the 3D pointing device (such as the reference frame X<sub>P</sub>Y<sub>P</sub>Z<sub>P</sub> shown in FIG. 1 and FIG. 2), the accelerometer 344 generates a first signal set including axial accelerations Ax, Ay and Az associated with the movements and rotations of the 3D pointing device in the spatial reference frame, and then the 20 computing processor 348 generates the orientation output based on the first signal set and the rotation output. For more details, please refer to the discussions related to FIG. 7 and FIG. 8 above.

[0093] The computing processor 348 may generate the aforementioned orientation

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output in the form of a rotation matrix, a quaternion, a rotation vector, or in a form including the three orientation angles yaw, pitch and roll. The orientation output in the quaternion form may be the third quaternion generated in step 740 in FIG. 7 and FIG. 8. The three orientation angles, namely yaw, pitch and roll, may be generated in step 745 in FIG. 7 and FIG. 8. The computing processor 348 may obtain the rotation matrix from the orientation angles according to the following equation (22).

$$[R]_{3\times3} = \begin{bmatrix} \cos\theta\cos\psi & \sin\theta\sin\phi\cos\psi - \cos\phi\sin\psi & \sin\theta\cos\phi\cos\psi + \sin\phi\sin\psi\\ \cos\theta\sin\psi & \sin\theta\sin\phi\sin\psi + \cos\phi\cos\psi & \sin\theta\cos\phi\sin\psi - \sin\phi\cos\psi\\ -\sin\theta & \cos\theta\sin\phi & \cos\theta\cos\phi \end{bmatrix} (22)$$

 $[R]_{3\times 3}$  is the orientation output in the form of a rotation matrix,  $\theta$  is the pitch angle,  $\phi$  is the roll angle and  $\psi$  is the yaw angle.

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[0094] The computing processor 348 may also obtain the orientation output in the rotation matrix form from the quaternion form according to the following equation (23), wherein the quaternion form is represented as  $\langle e_0, e_1, e_2, e_3 \rangle$ .

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[0095] Assume that the orientation output in the rotation vector form is represented as  $\langle e_1, e_2, e_3 \rangle$  and the orientation output in the quaternion form is represented as  $\langle e_0, e_1, e_2, e_3 \rangle$ . The computing processor 348 may convert the rotation vector form to the quaternion form and convert the quaternion form to the rotation vector form according to the following equation (24).

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$$\therefore e_0^2 + e_1^2 + e_2^2 + e_3^2 = 1$$

$$\therefore e_0^2 = 1 - (e_1^2 + e_2^2 + e_3^2)$$
(24)

One of the four forms of the orientation output may be converted to another of the four forms easily based on equations (22), (23) and (24).

5 [0096] In step 1340, the rotation sensor 342 generates a rotation output associated with the rotation of the 3D pointing device associated with the three coordinate axes of a spatial reference frame associated with the 3D pointing device itself (such as the reference frame  $X_P Y_P Z_P$  shown in FIG. 1 and FIG. 2). In step 1360, the computing processor 1420 uses the orientation output and the rotation output to generate a 10 transformed output  $\langle d_x, d_y \rangle$  associated with the fixed reference frame associated with the display device. The transformed output  $\langle d_x, d_y \rangle$  represents a 2-dimensional movement in a display plane in the fixed reference frame parallel to the screen of the display device, such as the display plane  $X_D Y_D$  of the display device 120 shown in FIG. 1 and FIG. 2, wherein  $d_x$  represents the movement along the X<sub>D</sub> axis and  $d_y$  represents 15 the movement along the Y<sub>D</sub> axis. In addition, the transformed output  $\langle d_x, d_y \rangle$  may represent a segment of movement in the display plane. Multiple segments of movement plotted by the 3D pointing device may constitute a movement pattern in the display plane and the display device may be controlled to move a virtual object or a cursor along the movement pattern.

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[0097] The step 1360 includes four sub-steps 1362, 1364, 1366 and 1368. In step 1362, the computing processor 1420 obtains the orientation of the display device associated with the global reference frame associated with the Earth. For example, the

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3D pointing device may include a reset button. The user may point the 3D pointing device at the display device and press the reset button. The reset button may transmit a reset signal to the computing processor 1420. The computing processor 1420 may record the current orientation output generated by the orientation sensor 1410 as the orientation of the display device associated with the global reference frame upon receiving the reset signal. The orientation of the display device associated with the global reference frame upon sensor 1410 as the orientation of the display device associated with the display device associated with the global reference frame upon receiving the reset signal. The orientation of the display device associated with the global reference frame associated with the Earth may be recorded as a reset yaw angle.

[0098] In step 1364, the computing processor 1420 obtains the orientation of the 3D pointing device associated with the fixed reference frame associated with the display device based on the orientation output and the orientation of the display device associated with the global reference frame associated with the Earth. As mentioned above, the current orientation output recorded by the computing processor 1420 may include a reset yaw angle associated with one of the three coordinate axes (such as the Z axis) of the global reference frame associated with the Earth. The computing processor 1420 may obtain the orientation of the 3D pointing device associated with the fixed reference frame associated with the fixed reference frame associated with the display device by subtracting the reset yaw angle from the orientation output.

20 **[0099]** Step 705 shown in FIG. 7 and FIG. 8 is equivalent to steps 1362 and 1364. In an alternative embodiment of the present invention, in order to generate the orientation output in step 1320, the computing processor 348 may execute steps 705 to 745 shown in FIG. 7 and FIG. 8. In this case, the orientation output generated by the orientation sensor 1410 represents the orientation of the 3D pointing device associated

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with the fixed reference frame associated with the display device. Therefore, the computing processor 1420 skips steps 1362 and 1364 in the alternative embodiment.

**[00100]** In step 1366, the computing processor 1420 generates a transformed rotation associated with the fixed reference frame associated with the display device based on the orientation of the 3D pointing device associated with the fixed reference frame associated with the display device and the rotation output. For example, the computing processor 1420 may generate the transformed rotation according to the following equation (25).

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$$\begin{bmatrix} \omega_{x} \\ \omega_{y} \\ \omega_{z} \end{bmatrix}_{D} = \begin{bmatrix} R_{11} & R_{12} & R_{13} \\ R_{21} & R_{22} & R_{23} \\ R_{31} & R_{32} & R_{33} \end{bmatrix} \begin{bmatrix} \omega_{x} \\ \omega_{y} \\ \omega_{z} \end{bmatrix}_{P}$$
(25)

 $R_{11}$ - $R_{13}$ ,  $R_{21}$ - $R_{23}$  and  $R_{31}$ - $R_{33}$  are the elements of the 3x3 rotation matrix obtained from the orientation of the 3D pointing device associated with the fixed reference frame associated with the display device.  $[\omega_x \ \omega_y \ \omega_z]_D$  is the transformed rotation associated with the fixed reference frame associated with the display device.  $[\omega_x \ \omega_y \ \omega_z]_P$  is the rotation output generated by the rotation sensor 342. The transformed rotation  $[\omega_x \ \omega_y \ \omega_z]_D$  includes three angular velocities  $\omega_x$ ,  $\omega_y$  and  $\omega_z$ respectively associated with the three coordinate axes  $X_D$ ,  $Y_D$  and  $Z_D$  of the fixed reference frame associated with the display device. The rotation output  $[\omega_x \ \omega_y \ \omega_z]_P$ includes three angular velocities  $\omega_x$ ,  $\omega_y$  and  $\omega_z$  respectively associated with the three coordinate axes  $X_P$ ,  $Y_P$  and  $Z_P$  of the spatial reference frame associated with the 3D pointing device.

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[00101] In step 1368, the computing processor 1420 generates the transformed output

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 $\langle d_x, d_y \rangle$  based on the transformed rotation  $[\omega_x \ \omega_y \ \omega_z]_D$ .  $d_x$  is the first movement component of the transformed output associated with the coordinate axis  $X_D$  of the fixed reference frame associated with the display device, while  $d_y$  is the second movement component of the transformed output associated with the coordinate axis  $Y_D$  of the fixed reference frame associated with the display device. For example, the computing processor 1420 may multiply the angular velocity  $\omega_y$  of the transformed rotation by a predetermined scale factor to generate the second movement component  $d_y$  and multiply the angular velocity  $\omega_z$  of the transformed rotation by the same scale factor to generate the first movement component  $d_x$ . The value of the scale factor may be set by the user.

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**[00102]** The method for compensating rotations of a 3D pointing device shown in FIG. 13 may be executed by the 3D pointing device shown in FIG. 15 as well. FIG. 15 is a schematic diagram showing another 3D pointing device according to an embodiment of the present invention. The 3D pointing device in FIG. 15 includes a rotation sensor 342, an orientation sensor 1510, and a computing processor 1420. The orientation sensor 1510 includes an accelerometer 344, a magnetometer 345, and a computing processor 348.

[00103] In step 1320, the orientation sensor 1510 generates an orientation output associated with the orientation of the 3D pointing device associated with the three coordinate axes of the global reference frame associated with the Earth. The computing processor 348 of the orientation sensor 1510 may generate the aforementioned orientation output by executing steps 1010 to 1060 illustrated in FIG. 10, steps 1110 to 1160 illustrated in FIG. 11, or steps 1210 to 1270 illustrated in FIG. 12. In brief, in the

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aforementioned steps shown in FIG. 10, FIG. 11 or FIG. 12, the rotation sensor 342 generates a rotation output ( $\omega_x$ ,  $\omega_y$ ,  $\omega_z$ ) associated with the rotation of the 3D pointing device associated with the three coordinate axes of the spatial reference frame associated with the 3D pointing device (such as the reference frame  $X_PY_PZ_P$  shown in FIG. 1 and FIG. 2), the accelerometer 344 generates a first signal set including axial accelerations Ax, Ay and Az associated with the movements and rotations of the 3D pointing device in the spatial reference frame, the magnetometer 345 generates a second signal set (Mx, My, Mz) associated with the magnetism of the Earth, and then the computing processor 348 generates the orientation output based on the first signal set, the second signal set and the rotation output. For more details, please refer to the discussions regarding FIG. 10, FIG. 11 and FIG. 12 above.

**[00104]** The computing processor 348 may generate the aforementioned orientation output in the form of a rotation matrix, a quaternion, a rotation vector, or in a form including the three orientation angles yaw, pitch and roll. The orientation output in the quaternion form may be the third quaternion generated in step 1050 in FIG. 10, the fourth quaternion generated in step 1155 in FIG. 11, or may be obtained from the updated state in step 1265 in FIG. 12. The three orientation angles, namely yaw, pitch and roll, may be generated in step 1060 in FIG. 10, step 1160 in FIG. 11, or step 1270 in FIG. 12. The rotation matrix form and the rotation vector form may be obtained according to the aforementioned equations (22), (23) and (24).

[00105] In this embodiment, the rotation sensor 342 in FIG. 15 executes step 1340 as the rotation sensor 342 in FIG. 14 does, and the computing processor 1420 in FIG. 15

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executes step 1360 as the computing processor 1420 in FIG. 14 does.

[00106] Step 1005 shown in FIG. 10 and step 1105 shown FIG. 11 are equivalent to steps 1362 and 1364. In an alternative embodiment of the present invention, in order to generate the orientation output in step 1320, the computing processor 348 in FIG. 15 may execute steps 1005 to 1060 shown in FIG. 10 or steps 1105 to 1160 shown in FIG. 8. In this case, the orientation output generated by the orientation sensor 1510 represents the orientation of the 3D pointing device associated with the fixed reference frame associated with the display device. Therefore, the computing processor 1420 in FIG. 15 skips steps 1362 and 1364 in the alternative embodiment.

**[00107]** The method for compensating rotations of a 3D pointing device shown in FIG. 13 may be executed by the 3D pointing device shown in FIG. 16 as well. FIG. 16 is a schematic diagram showing another 3D pointing device according to an embodiment of the present invention. The 3D pointing device in FIG. 16 includes a rotation sensor 342, an orientation sensor 1610, and a computing processor 1420. The orientation sensor 1610 includes an accelerometer 344, a magnetometer 345, and a computing processor 348.

20 **[00108]** In step 1320, the orientation sensor 1610 generates an orientation output associated with the orientation of the 3D pointing device associated with the three coordinate axes of the global reference frame associated with the Earth. In order to generate the orientation output, the accelerometer 344 generates a first signal set including axial accelerations Ax, Ay and Az associated with the movements and

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rotations of the 3D pointing device in the spatial reference frame associated with the 3D pointing device itself, the magnetometer 345 generates a second signal set (Mx, My, Mz) associated with the magnetism of the Earth, and then the computing processor 348 in FIG. 16 generates the orientation output based on the first signal set and the second signal set. The details of the generation of the orientation output are discussed below.

**[00109]** The orientation output may be provided in a form including a yaw angle  $\psi$ , a pitch angle  $\theta$ , and a roll angle  $\phi$  respectively associated with the three coordinate axes of the global reference frame associated with the Earth. The first signal set includes axial accelerations Ax, Ay and Az respectively associated with the movements and rotations of the 3D pointing device along the X<sub>P</sub>, Y<sub>P</sub> and Z<sub>P</sub> axes of the spatial reference frame associated with the 3D pointing device itself. The second signal set includes magnetism Mx, My, Mz associated with the movements and rotations of the 3D pointing device along each of the three orthogonal coordinate axes X<sub>P</sub>Y<sub>P</sub>Z<sub>P</sub> of the spatial reference frame associated with the 3D pointing device, respectively.

[00110] The computing processor 348 may calculate the pitch angle  $\theta$  and the roll angle  $\phi$  according to the following equations (26) and (27).

$$\theta = \sin^{-1}(\frac{Ax}{g}) \quad \dots \tag{26}$$

In equations (26) and (27), Ax, Ay and Az are axial accelerations of the first signal set, while g is the gravitational acceleration. In addition, the computing processor 348 may calculate the yaw angle  $\psi$  according to the following equation (28).

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In equation (28), Mx, My and Mz are the aforementioned elements of the second signal set. The computing processor 348 in FIG. 16 may generate the orientation output including the yaw angle  $\psi$ , the pitch angle  $\theta$ , and the roll angle  $\phi$  according to equations (26), (27) and (28).

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[00111] In this embodiment, the rotation sensor 342 in FIG. 16 executes step 1340 as the rotation sensor 342 in FIG. 14 does, and the computing processor 1420 in FIG. 16 executes step 1360 as the computing processor 1420 in FIG. 14 does.

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**[00112]** The method shown in FIG. 13 and the 3D pointing devices shown in FIG. 14 to FIG. 16 can transform 3D rotations and movements of the pointing devices into a 2D movement pattern in the display plane of the display device. The transformation of conventional pointing devices fails to take every one of the yaw, pitch and roll angles into account and their transformation is erroneous in some circumstances. For example, a conventional pointing device may transform its rotation to movement along an exactly opposite direction when the conventional pointing device is flipped over. On the other hand, the method shown in FIG. 13 and the 3D pointing devices shown in FIG. 14 to FIG. 16 can transform the rotations and movements of the 3D pointing devices correctly no matter how they are oriented or turned because the transformation of the embodiments of the present invention takes every one of the yaw, pitch and roll angles into account.

[00113] It may be understood that the present invention may be applied to various

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scenarios and application fields including such as gaming, computers and navigation. It may too be understood that the scope of the present invention shall be determined by the accompanying claims and shall include variations of applications of the present invention as well as differences in the term definitions used or related to including such as pointing devices, navigation equipment, smartphone and/or electronic devices.

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## WHAT IS CLAIMED IS:

1. A 3D pointing device, comprising:

an orientation sensor, generating an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global

5 reference frame associated with Earth;

a rotation sensor, generating a rotation output associated with a rotation of the 3D pointing device associated with three coordinate axes of a spatial reference frame associated with the 3D pointing device; and

a first computing processor, using the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device.

2. The 3D pointing device of claim 1, wherein the orientation sensor comprises:

an accelerometer, generating a first signal set comprising axial accelerations associated with movements and rotations of the 3D pointing device in the spatial

15 reference frame; and

a second computing processor, generating the orientation output based on the first signal set and the rotation output.

3. The 3D pointing device of claim 2, wherein the orientation sensor further comprises:

a magnetometer, generating a second signal set associated with Earth's magnetism, wherein the second computing processor further generates the orientation output based on the first signal set, the second signal set and the rotation output or based on the first signal set and the second signal set.

4. The 3D pointing device of claim 3, wherein the orientation output comprises a

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yaw angle, a pitch angle, and a roll angle associated with the three coordinate axes of the global reference frame; the first signal set comprises a first axial acceleration, a second axial acceleration, and a third axial acceleration; the second computing processor calculates the pitch angle based on the first axial acceleration, calculates the roll angle based on the second axial acceleration and the pitch angle or based on the third axial acceleration and the pitch angle, and calculates the yaw angle based on the pitch angle, the roll angle, and the second signal set.

5. The 3D pointing device of claim 1, wherein the orientation output provided by the orientation sensor is a rotation matrix, a quaternion, a rotation vector, or comprises three orientation angles.

6. The 3D pointing device of claim 1, wherein the transformed output represents a segment of a movement in a plane in the fixed reference frame parallel to a screen of the display device.

7. The 3D pointing device of claim 1, wherein the first computing processor obtains an orientation of the display device associated with the global reference frame, obtains an orientation of the 3D pointing device associated with the fixed reference frame based on the orientation output and the orientation of the display device associated with the global reference frame, generates a transformed rotation associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame based on the orientation of the 3D pointing device

8. The 3D pointing device of claim 7, wherein when the first computing processor receives a reset signal, the first computing processor records a current orientation output generated by the orientation sensor as the orientation of the display device associated

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with the global reference frame.

9. The 3D pointing device of claim 8, wherein the current orientation output comprises a yaw angle associated with one of the three coordinate axes of the global reference frame, the first computing processor obtains the orientation of the 3D pointing device associated with the fixed reference frame by subtracting the yaw angle from the orientation output.

10. The 3D pointing device of claim 7, wherein the first computing processor obtains a rotation matrix from the orientation of the 3D pointing device associated with the fixed reference frame, and multiplies the rotation matrix and the rotation output together to generate the transformed rotation.

11. The 3D pointing device of claim 10, wherein the transformed rotation comprises a first angular velocity, a second angular velocity, and a third angular velocity associated with three coordinate axes of the fixed reference frame; the transformed output comprises a first movement component and a second movement component associated with two of the three coordinate axes of the fixed reference frame; the first computing processor multiplies the second angular velocity by a scale factor to generate the second movement component and multiplies the third angular velocity by the scale factor to generate the first movement component.

12. A method for compensating rotations of a 3D pointing device, comprising:

generating an orientation output associated with an orientation of the 3D pointing device associated with three coordinate axes of a global reference frame associated with Earth;

generating a rotation output associated with a rotation of the 3D pointing device associated with three coordinate axes of a spatial reference frame associated with the 3D

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pointing device; and

using the orientation output and the rotation output to generate a transformed output associated with a fixed reference frame associated with a display device.

13. The method of claim 12, wherein the step of generating the orientation output comprises:

generating a first signal set comprising axial accelerations associated with movements and rotations of the 3D pointing device in the spatial reference frame; and

generating the orientation output based on the first signal set and the rotation output.

10 14. The method of claim 13, wherein the step of generating the orientation output further comprises:

generating a second signal set associated with Earth's magnetism; and

generating the orientation output based on the first signal set, the second signal set and the rotation output or based on the first signal set and the second signal set.

15. The method of claim 14, wherein the orientation output comprises a yaw angle, a pitch angle, and a roll angle associated with the three coordinate axes of the global reference frame; the first signal set comprises a first axial acceleration, a second axial acceleration, and a third axial acceleration; the step of generating the orientation output based on the first signal set and the second signal set comprises:

20 calculating the pitch angle based on the first axial acceleration; calculating the roll angle based on the second axial acceleration and the pitch angle or based on the third axial acceleration and the pitch angle; and

calculating the yaw angle based on the pitch angle, the roll angle, and the second signal set.

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16. The method of claim 12, wherein the orientation output is a rotation matrix, a quaternion, a rotation vector, or comprises three orientation angles.

17. The method of claim 12, wherein the transformed output represents a segment of a movement in a plane in the fixed reference frame parallel to a screen of the display device.

18. The method of claim 12, wherein the step of generating the transformed output comprises:

obtaining an orientation of the display device associated with the global reference frame;

obtaining an orientation of the 3D pointing device associated with the fixed reference frame based on the orientation output and the orientation of the display device associated with the global reference frame;

generating a transformed rotation associated with the fixed reference frame based on the orientation of the 3D pointing device associated with the fixed reference frame and the rotation output; and

generating the transformed output based on the transformed rotation.

19. The method of claim 18, wherein the step of obtaining the orientation of the display device associated with the global reference frame comprises:

20 recording a current orientation output as the orientation of the display device 20 associated with the global reference frame in response to a reset signal.

20. The method of claim 19, wherein the current orientation output comprises a yaw angle associated with one of the three coordinate axes of the global reference frame, and the step of obtaining the orientation of the 3D pointing device associated with the fixed reference frame comprises:

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obtaining the orientation of the 3D pointing device associated with the fixed reference frame by subtracting the yaw angle from the orientation output.

21. The method of claim 18, wherein the step of generating the transformed rotation comprises:

obtaining a rotation matrix from the orientation of the 3D pointing device associated with the fixed reference frame; and

multiplying the rotation matrix and the rotation output together to generate the transformed rotation.

22. The method of claim 21, wherein the transformed rotation comprises a first angular velocity, a second angular velocity, and a third angular velocity associated with three coordinate axes of the fixed reference frame; the transformed output comprises a first movement component and a second movement component associated with two of the three coordinate axes of the fixed reference frame; the step of generating the transformed output based on the transformed rotation comprises:

multiplying the second angular velocity by a scale factor to generate the second movement component; and

multiplying the third angular velocity by the scale factor to generate the first movement component.

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#### ABSTRACT OF THE DISCLOSURE

A 3D pointing device utilizing an orientation sensor, capable of accurately transforming rotations and movements of the 3D pointing device into a movement pattern in the display plane of a display device is provided. The 3D pointing device 5 includes the orientation sensor, a rotation sensor, and a computing processor. The orientation sensor generates an orientation output associated with the orientation of the 3D pointing device associated with three coordinate axes of a global reference frame associated with the Earth. The rotation sensor generates a rotation output associated with three coordinate axes of a spatial reference frame associated with the 3D pointing device associated with the 3D pointing device itself. The computing processor uses the orientation output and the rotation output to generate a transformed output associated with the display device above. The transformed output represents a segment of the movement pattern.

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FIG. 2 (RELATED ART)







FIG. 6



FIG. 7



FIG. 8



FIG. 9





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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		
	Filing Date		
	First Named Inventor	Zhou	Ye
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	2	5440326		1995-08-08	Quinn	
	3	5898421		1999-04-27	Quinn	
	4	7158118		2007-01-02	Liberty	
	5	7236156		2007-06-26	Liberty, et al.	
	6	7239301		2007-07-03	Liberty, et al.	
	7	7262760		2007-08-28	Liberty	
	8	7414611		2008-08-19	Liberty	

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	9	7489298		2009-02	2-10	Liberty, et al.				
	10	7535456		2009-05	5-19	Liberty, et al.				
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INFORMATION DISCLOSURE	First Named Inventor	Zhou	Ye
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Title of Invention:	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS O THE 3D POINTING DEVICE THEREOF		IG ROTATIONS OF		
First Named Inventor/Applicant Name:	Zhou Ye				
Filer:	Belinda Lee				
Attorney Docket Number: 35822-US-PA-0P-2					
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First Named Inventor/Applicant Name:	Zhou Ye				
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Electronic Acl	knowledgement Receipt
EFS ID:	10456871
Application Number:	13176771
International Application Number:	
Confirmation Number:	5154
Title of Invention:	3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF
First Named Inventor/Applicant Name:	Zhou Ye
Customer Number:	31561
Filer:	Belinda Lee
Filer Authorized By:	
Attorney Docket Number:	35822-US-PA-0P-2
Receipt Date:	06-JUL-2011
Filing Date:	
Time Stamp:	07:33:18
Application Type:	Utility under 35 USC 111(a)

# Payment information:

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Payment Type	Credit Card			
Payment was successfully received in RAM	\$514			
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Deposit Account	502620			
Authorized User	HUAI-LU,LEE-			
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 C.F.R. See	ction 1.16 (National application filing, search, and examination fees)			
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Information:						
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4	Information Disclosure Statement (IDS) Form (SB08)	35822-0P-2US_IDS_Form.pdf	612068	no	5	
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### DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name and that I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a design or Utility patent is sought on the invention entitled

### 3D POINTING DEVICE AND METHOD FOR COMPENSATING ROTATIONS OF THE 3D POINTING DEVICE THEREOF

the specification of which

X is attached hereto.

\_\_\_\_ was filed on

as Application Serial No.\_\_\_\_\_ and was amended on\_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified application, including the specification, claims and figures if any ,as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or 365(b) of any foreign application(s) for patent or 365(a) of any PCT international application which designated at least one country other than the United States of America,or inventor's certificate listed on the Application Data Sheet,and have also identified on the Application Data Sheet any foreign application for patent or inventor's certificate having a filing date before that of each application on which priority is claimed:

I hereby claim the benefit under Title 35 U.S Code §119(e) of any U.S provisional applications listed on the Application Data Sheet.

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) or PCT international application(s) designating The United States of America listed on the Application Data Sheet, and ,insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35,United States Code, §112,I acknowledge the duty to disclose information which is material to patentability as defined in Title 37,Code of Federal Regulation, §1.56 which become available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

201011-D-US 35822-US-PA-0P-2

#### DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any design or utility patents issued thereon.

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