

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

AQUESTIVE THERAPEUTICS, INC.,
Petitioner,

v.

HALE BIOPHARMA VENTURES, LLC,
Patent Owner.

Case IPR2019-00451
Patent 9,763,876

PATENT OWNER MANDATORY NOTICES

The patent owner provides the following notice pursuant to 37 CFR §42.8(a)(2).

1. Real parties-in-interest

Neurelis, Inc. (“Neurelis”) owns the involved patent. Reel 048271; frame 0304.

2. Related matters

The petitioner has filed two additional *inter partes* review petitions (IPR2019-00449 and IPR2019-00450) against the involved patent.

Neurelis has an application (15/470,498) that claims benefit under 35 U.S.C. 120 to the application that issued as the involved patent.

3. Lead and back-up counsel

| Lead Counsel | First back-up counsel |
|---|---|
| Jeffrey Guise, Reg. No. 34,613 | Richard Torczon, Reg. No. 34,448 |
| Wilson Sonsini Goodrich & Rosati | Wilson Sonsini Goodrich & Rosati |
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| Back-up counsel | |
|--|---|
| <p>Lorelei Westin, Reg. No. 52,353</p> <p>Wilson Sonsini Goodrich & Rosati</p> <p>12235 El Camino Real Suite 200</p> <p>San Diego CA 92130-3002</p> <p>Tel: 858-350-2300</p> <p>Fax: 858-350-2399</p> <p>Email: lwestin@wsgr.com</p> | <p>Lee Johnson, Reg. No. 75,671</p> <p>Wilson Sonsini Goodrich & Rosati</p> <p>12235 El Camino Real Suite 200</p> <p>San Diego CA 92130-3002</p> <p>Tel: 858-350-2300</p> <p>Fax: 858-350-2399</p> <p>Email: ljohnson@wsgr.com</p> |
| <p>Nathaniel Leachman, Reg. No. 68,911</p> <p>Wilson Sonsini Goodrich & Rosati</p> <p>12235 El Camino Real Suite 200</p> <p>San Diego CA 92130-3002</p> <p>Tel: 858-350-2300</p> <p>Fax: 858-350-2399</p> <p>Email: nleachman@wsgr.com</p> | |

A power of attorney designating a Wilson Sonsini customer number, including the above counsel, is of record for the involved patent. 37 CFR §42.10(b).

4. Service information

Service should be to the email addresses in the preceding section as well as

to 35401.652.palib1@wsgr.com.

Date: 19 February 2019

/ Richard Torczon /
Richard Torczon
Reg. No. 34,448

CERTIFICATE OF SERVICE

I certify that on 19 February 2019 this Patent Owner Mandatory Notices was served via email as follows:

| | |
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Date: 19 February 2019 / Richard Torczon /
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