

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

AQUESTIVE THERAPEUTICS, INC.

Petitioner

v.

NEURELIS, INC.

Patent Owner

Case: IPR2019-00451

U.S. Patent No. 9,763,876

PETITIONER'S FIRST UPDATED

EXHIBIT LIST

<u>EXHIBIT NO.</u>	<u>EXHIBIT DESCRIPTION</u>
1001	U.S. Patent No. 9,763,876, <i>Administration Of Benzodiazepine Compositions</i> , filed October 29, 2014 ('876 Patent)
1002	File History for '876 Patent, Ser. No. 14/527,613 ('876 FH) 1002 Part 1 - Pages 1-270 1002 Part 2 - Pages 271-530
1003	U.S. Patent No. 8,895,546, <i>Administration Of Benzodiazepine Compositions</i> , filed June 13, 2012 ('546 Patent)
1004	File History for '546 Patent, Ser. No. 13/495,942 ('546 FH) 1004 Part 1 – Pages 1-350 1004 Part 2 – Pages 351-700 1004 Part 3 – Pages 701-1050 1004 Part 4 – Pages 1051-1400 1004 Part 5 – Pages 1401-1750 1004 Part 6 – Pages 1751-2100 1004 Part 7 – Pages 2101-2450 1004 Part 8 – Pages 2451-2681
1005	Provisional Patent Application No. 61/497,017, filed June 14, 2011 ('017 Provisional)

- 1006 Provisional Patent Application No. 61/570,110, filed December 13, 2011 ('110 Provisional)
- 1007 File History for Non-Provisional Patent Application Serial No. 12/413,439, filed March 27, 2009 ('439 FH)
- 1007 Part 1 – Pages 1-400
- 1007 Part 2 – Pages 401-800
- 1007 Part 3 – Pages 801-1200
- 1007 Part 4 – Pages 1201-1600
- 1007 Part 5 – Pages 1601-2000
- 1007 Part 6 – Pages 2001-2400
- 1007 Part 7 – Pages 2401-2800
- 1007 Part 8 – Pages 2801-3200
- 1007 Part 9 – Pages 3201-3488
- 1008 Provisional Patent Application No. 61/040,558, filed March 28, 2008 ('558 Provisional)
- 1009 Cartt et al., WO 2009/121039, *Administration Of Benzodiazepine Compositions*, published October 1, 2009, International Filing Date March 27, 2009 (PCT/US2009/038696) (Cartt '039)

- 1010 U.S. Patent Application Publication No. US 2009/0258865, *Administration of Benzodiazepine Compositions*, Serial No. 12/413,439 (Cartt'865)
- 1011 Meezan et al., U.S. Patent Application Publication No. US 2006/0046962, *Absorption Enhancers for Drug Administration*, Serial No. 11/127,786, published March 2, 2006 (Meezan'962)
- 1012 Jamieson et al., U.S. Patent Application Publication No. US 2008/0070904, *Pharmaceutical Compositions of Benzodiazepines and Method of Use Thereof*, Serial No. 11/897,028, published March 20, 2008 (Jamieson)
- 1013 Sonne, U.S. Patent No. 6,193,985, *Tocopherol Compositions For Delivery Of Biologically Active Agents*, issued February 27, 2001 (Sonne)
- 1014 Gwozdz et al., WO 2009/120933, *Pharmaceutical Solutions And Method For Solubilizing Therapeutic Agents*, published October 1, 2009, International Filing Date March 27, 2009 (PCT/US2009/038518) (Gwozdz)
- 1015 Cartt et al., U.S. Patent Application Publication No. US 2008/0279784, *Nasal Administration Of Benzodiazepines*,

- Serial No. 12/116,842, published November 13, 2008 (Cartt '784)
- 1016 Kee et al., U.S. Patent No. 5,369,095, *Compositions And Method Comprising Substituted Glycosides As Mucus Membrane Permeation Enhancers*, issued November 29, 1994 (Kee)
- 1017 Cartt et al., WO 2008/137960, *Nasal Administration Of Benzodiazepines*, published November 13, 2008, International filing date May 7, 2008 (PCT/US2008/062961) (Cartt '960)
- 1018 Tenta, U.S. Patent No. 3,949,072, *Topical Compositions for Treatment of Seborrheic Keratosis Treatment*, issued April 6, 1976 (Tenta)
- 1019 Ueda et al., U.S. Patent No. 4,657,901, *Pharmaceutical Composition*, issued April 14, 1987 (Ueda)
- 1020 Meezan et al., U.S. Patent No. 5,661,130, *Absorption Enhancers For Drug Administration*, issued August 26, 1997 (Meezan '130)

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