UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ALPHATEC HOLDINGS, INC. and ALPHATEC SPINE, INC. Petitioners,

v.

NUVASIVE, INC. Patent Owner.

Case IPR2019-00361 (Patent 8,187,334 B2) Case IPR2019-00362 (Patent 8,361,156 B2) Case IPR2019-00546 (Patent 8,187,334 B2)

> Record of Oral Hearing Held: April 3, 2020

> > _____

Before DENISE M. POTHIER, HYUN J. JUNG, and SHEILA F. McSHANE, *Administrative Patent Judges*.



APPEARANCES

ON BEHALF OF THE PETITIONER:

JOVIAL WONG, ESQ.
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ON BEHALF OF THE PATENT OWNER:

MICHAEL T. ROSATO, ESQ. SONJA R. GERRARD, ESQ. JAD A. MILLS, ESQ. Wilson Sonsini Goodrich & Rosati 701 5th Avenue, Suite 5100 Seattle, Washington 98104

The above-entitled matter came on for hearing on Friday, April 3, 2020, commencing at 12:51 p.m., at the U.S. Patent and Trademark Office, 600 Dulany Street, Alexandria, Virginia.



1	PROCEEDINGS
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3	JUDGE JUNG: All right, we'll proceed on the record. This is the
4	oral hearing for IPR2019-00361, 362, and 546. Petitioner Alphatec Holding
5	and Alphatec Spine challenge certain claims of U.S. Patent Numbers
6	8,187,334 and 8,361,156 both of which are owned by NuVasive
7	Incorporated. Starting with the Petitioner's Counsel and followed by Patent
8	Owner's Counsel, please state your names for the record.
9	MR. WONG: Good morning, Your Honors. Jovial Wong from
10	Winston & Strawn for Petitioner. Also with me are co-counsel, and
11	hopefully you can see them as well, Nimalka Wickramasekera and David
12	Dalke.
13	JUDGE JUNG: Thank you, welcome.
14	MR. ROSATO: Good afternoon, Your Honor, Michael Rosato, on
15	behalf of Patent Owner, and I have with me co-counsel Sonja Gerrard and
16	Jad Mills.
17	JUDGE JUNG: Thank you. First, thank you all for your flexibility in
18	conducting this all-video hearing today. We know that this is a departure
19	from our typical practice. Given that, we want to start off by clarifying a
20	few items. First, our primary concern is your right to be heard. If at any
21	time during the proceeding you encounter technical or other difficulties that
22	you feel fundamentally undermines your ability to adequately represent your
23	client, please to let us know immediately. For example, by contacting the
24	team members who provided you a connection information.

PROCEEDINGS



1 Second, when not speaking, please mute yourself. Third, please 2 identify yourself each time you speak. This helps the court reporter prepare an accurate transcript. Fourth, we have the entire record including 3 4 demonstratives. When referring to demonstratives, papers or exhibits, 5 please do so clearly and explicitly by slide or page number. Please also pause a moment after identifying it to provide us time to find it. This also 6 7 helps with the accurate preparation of the hearing transcript. And finally, 8 please be aware that members of the public may be listening to this oral 9 hearing. 10 Turning to objections, Patent Owner has filed objections to several 11 slides in Petitioner's demonstratives. Petitioner did not file any objections, 12 and, Mr. Wong, I would like you to verify that Petitioner has no objections 13 to Patent Owner's demonstratives. 14 MR. WONG: That's correct, Your Honor. 15 JUDGE JUNG: Thank you. As a reminder, any arguments and 16 evidence that are not in the record will not be considered by the panel. The 17 demonstratives are not evidence and are used only as a visual aid. The 18 concern is that demonstratives may be used as a springboard to add new 19 arguments not in the record, and as mentioned before, new arguments and 20 evidence are not permissible. Rather than spending the time to go through 21 all of Patent Owner's objections now, we are going to decline to strike some 22 of the demonstratives at this time. However to be clear to the extent that 23 some of the graphics, characterizations, and arguments are not in the record, 24 they will be disregarded. The Board can discern the appropriateness of the



- 1 arguments and evidence and the counsel can use their allotted time as they
- 2 see fit.
- 3 As discussed in the hearing order, each party has one hour of total
- 4 time to present its arguments, and each party may reserve time for rebuttal. I
- 5 will track time and interrupt when you have only a few minutes remaining,
- 6 and as backup, I would like each party to also independently track argument
- 7 time. With all that said, Mr. Wong, you may proceed when you're ready.
- 8 MR. WONG: Yes, thank you, Your Honors, and for Petitioners, the
- 9 argument will be handled by Ms. Wickramasekera and Mr. Dalke, so I will
- 10 be deferring to them.
- JUDGE JUNG: Okay, thank you.
- MS. WICKRAMASEKERA: Good morning, Your Honors, just
- 13 confirm that you can hear me?
- JUDGE JUNG: I can hear you, and how much time would you like to
- 15 reserve for rebuttal?
- MS. WICKRAMASEKERA: I'd like to reserve 30 minutes. I'm
- hoping to go less than that in my opening, but at this stage, I think 30
- 18 minutes would be good.
- 19 JUDGE JUNG: Okay. I have right now on my computer 12:55, so
- you may start when you're ready.
- MS. WICKRAMASEKERA: Okay, thank you, Your Honor. Thank
- you to everyone for coming into this oral argument during these difficult
- 23 times. We appreciate it. We've provided a set of slides that largely covers
- 24 many of the issues that we have briefed in our petition and that have been
- 25 fully briefed in the papers. We do not depart from anything in the papers,



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