Handbook of PHARMACEUTICAL EXCIPIENTS

Σ·

Find authenticated court documents without watermarks at docketalarm.com

Third Edition

Edited by

Arthur H. Kibbe, Ph.D.

Professor and Chair Department of Pharmaceutical Sciences Wilkes University School of Pharmacy Wilkes-Barre, Pennsylvania



American Pharmaceutical Association Washington, D.C.



London, United Kingdom

MAIA Exhibit 1035 MAIA V. BRACCO IPR PETITION Published by the American Pharmaceutical Association 2215 Constitution Avenue NW, Washington, DC 20037-2985, USA www.aphanet.org and the Pharmaceutical Press 1 Lambeth High Street, London SE1 7JN, UK www.pharmpress.com

© 1986, 1994, 2000 American Pharmaceutical Association and Pharmaceutical Press

First edition 1986 Second edition 1994 Third edition 2000

Printed in the United States of America

ISBN: 0-85369-381-1 (UK) ISBN: 0-917330-96-X (USA)

Library of Congress Cataloging-in-Publication Data

Handbook of pharmaceutical excipients / edited by Arthur H. Kibbe.--3rd ed. p.; cm.
Includes bibliographical references and index.
ISBN 0-917330-96-X
1. Excipients--Handbooks, manuals, etc. I. Kibbe, Arthur H. II. American Pharmaceutical Association.
[DNLM: 1. Excipients--Handbooks. QV 735 H236 2000]
RS201.E87 H36 2000
615'.19--dc21

99-044554

A catalogue record for this book is available from the British Library.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, without the prior written permission of the copyright holder. The publisher makes no representation, express or implied, with regard to the accuracy of the information contained in this book and cannot accept any legal responsibility or liability for any errors or omissions that may be made.

÷.

Managing Editor:Melanie SegalaCopyeditor:Paul GottehrerIndexer:Lillian RodbergCompositor:Roy BarnhillCover Designer:Tim Kaage

without watermarks

at docketalarm.com

24 Mannitol

Mannitol

Nonproprietary Names

3P: Mannitol P: D-Mannite PhEur: Mannitolum USP: Mannitol

2. Synonyms

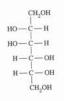
Cordycepic acid; E421; D-mannitol; manna sugar; mannite; Pearlitol.

3. Chemical Name and CAS Registry Number

p-Mannitol [69-65-8]

4. Empirical Formula	Molecular Weight	
$C_6H_{14}O_6$	182.17	

5. Structural Formula



6. Functional Category

Sweetening agent; tablet and capsule diluent; tonicity agent; vehicle (bulking agent) for lyophilized preparations.

7. Applications in Pharmaceutical Formulation or Technology

Mannitol is widely used in pharmaceutical formulations and food products. In pharmaceutical preparations it is primarily used as a diluent (10-90% w/w) in tablet formulations, where it is of particular value since it is not hygroscopic and may thus be used with moisture-sensitive active ingredients.

Mannitol may be used in direct-compression tablet applications,(1-5) for which the granular and spray-dried forms are available, or in wet granulations.⁽⁶⁾ Granulations containing mannitol have the advantage of being dried easily. Specific tablet applications include antacid preparations, glyceryl trinitrate tablets, and vitamin preparations. Mannitol is commonly used as an excipient in the manufacture of chewable tablet formulations because of its negative heat of solution, sweetness, and 'mouth feel'.(7,8)

In lyophilized preparations, mannitol (20-90% w/w) has been included as a carrier to produce a stiff, homogeneous cake that improves the appearance of the lyophilized plug in a vial.⁽⁹⁻¹²⁾ A pyrogen-free form is available specifically for this use.

Mannitol has also been used to prevent thickening in aqueous antacid suspensions of aluminum hydroxide (< 7% w/v). It has been suggested as a plasticizer in soft-gelatin capsules, as a component of sustained-release tablet formulations,(13) and is used in food applications as a bulking agent.

Therapeutically, mannitol administered parenterally is used as an osmotic diuretic, as a diagnostic agent for kidney function, as an adjunct in the treatment of acute renal failure and as an agent to reduce intracranial pressure, treat cerebral edema, and reduce intraocular pressure. Given orally, mannitol is not absorbed significantly from the GI tract, but in large doses it can cause osmotic diarrhea, see Section 14.

8. Description

Mannitol is D-mannitol. It is a hexahydric alcohol related to mannose and is isomeric with sorbitol.

Mannitol occurs as a white, odorless, crystalline powder, or free-flowing granules. It has a sweet taste, approximately as sweet as glucose and half as sweet as sucrose, and imparts a cooling sensation in the mouth. Microscopically, it appears as orthorhombic needles when crystallized from alcohol.

9. Pharmacopeial Specifications

Test	JP	PhEur	USP
Identification	+	+	+
Characters	+	+	
Solution appearance	+	+	
Melting range	166-169°C	165-170°C	164-169°C
Specific rotation	+137° to +145°	+23° to +25°	+137° to +145°
Acidity	÷	+	+
Loss on drying	≤ 0.30%	≤ 0.5%	≤ 0.3%
Chloride	≤ 0.007%	≤ 50 ppm	$\le 0.007\%$
Sulfate	≤ 0.01%	≤ 100 ppm	$\le 0.01\%$
Arsenic	≤ 1.3 ppm	-	$\leq 1 \text{ ppm}$
Lead	++	≤ 0.5 ppm	-
Nickel	+	$\leq 1 \text{ ppm}$	1.77
Heavy metals	≤ 5 ppm	-	_
Reducing sugars	+	+	+
Sulfated ash	≤ 0.10%	≤ 0.1%	-
Sorbitol		≤ 2.0%	_
Bacterial endotoxins	_	+(a)	-
Assay	≤ 98.0%	98-101.5%	96-101.5%

(a) Test applied only if the mannitol is to be used in the manufacture of parenternal dosage forms.

10. Typical Properties

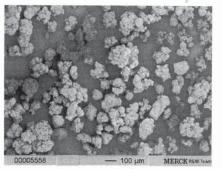
Compressibility: see Figs. 1 and 2.(a) Density (bulk): 0.430 g/cm3(b) for powder; 0.7 g/cm3(b) for

granules. Density (tapped): 0.734 g/cm3(b) for powder; 0.8 g/cm3(b) for granules

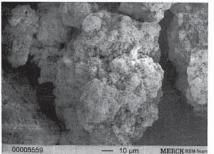
Density (true): 1.514 g/cm3(b) Dissociation constant: pKa = 13.5 at 18°C Flash point: > 150°C Flowability: powder is cohesive, granules are free flowing-Heat of combustion: 16.57 kJ/g (3960 cal/g) Heat of solution: -120.9 J/g (-28.9 cal/g) at 25°C Melting point: 166-168°C Moisture content: see Fig. 3.(b)

SEM: 1 Excipient: Mannitol Manufacturer: Merck

Magnification: 50x Voltage: 3.5 kV



SEM: 2 Excipient: Mannitol Manufacturer: Merck Magnification: 500x Voltage: 3.5 kV



SEM: 3 Excipient: Mannitol powder Manufacturer: SPI Polyols, Inc Lot No: 3140G8 Magnification: 100×



SEM: 4



Solubility: see table below.

Solvent	Solubility at 20°C	
Alkalis	Soluble	
Ethanol (95%)	1 in 83	
Ether	Practically insoluble	
Glycerin	1 in 18	
Propan-2-ol	1 in 100	
Water	1 in 5.5	

Specific surface area: 0.37-0.39 m²/g^(b) (a) Handbook of Pharmaceutical Excipients, First Edition. (b) Results of laboratory project for third edition.

Osmolarity: a 5.07% w/v aqueous solution is iso-osmotic with serum

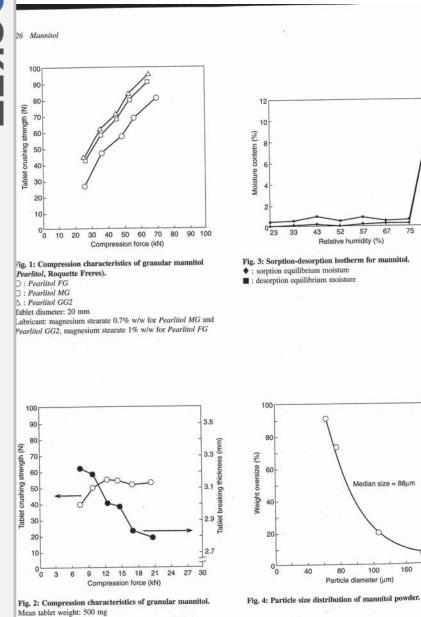
Particle size distribution: maximum of 0.1% greater than 500 µm and minimum of 90% greater than 200 µm in size for Pearlitol 300 DC: maximum of 20% greater than 500 um and minimum of 85% greater than 100 µm in size for Pearlitol 400 DC; maximum of 0.5% greater than 841 µm and minimum of 90% greater than 150 µm in size for Pearlitol 500 DC. Average particle diameter is 250 µm for Pearlitol 300 DC, 360 µm for Pearlitol 400 DC and 520 µm for Pearlitol 500 DC.⁽¹⁴⁾ See Fig. 4.⁽ⁿ⁾

efractive index: $n_D^{20} = 1.333$

Mannitol 325

Excipient: Mannitol granular Manufacturer: SPI Polyols, Inc Lot No: 2034F8 Magnification: 100×





Minimum compressional force for compaction: 7.35 kN Compressional force resulting in capping: 24.5 kN

11. Stability and Storage Conditions

Mannitol is stable in the dry state and in aqueous solutions. Solutions may be sterilized by filtration or by autoclaving and if necessary may be autoclaved repeatedly with no adverse physical or chemical effects.⁽¹⁵⁾ In solution, mannitol is not attacked by cold, dilute acids or alkalis, nor by atmospheric oxygen in the absence of catalysts. Mannitol does not undergo Maillard reactions.

The bulk material should be stored in a well-closed container in a cool, dry, place.

12. Incompatibilities

57 67 75 100

Median size = 88um

100

200

160

None reported in the dry state. Mannitol solutions, 20% w/v or stronger, may be salted out by potassium or sodium chloride.(16) Precipitation has been reported to occur when a 25% w/v mannitol solution was allowed to contact plastic.(17) Sodium cephapirin at 2 mg/mL and 30 mg/mL is incompatible with 20% w/v aqueous mannitol solution. Mannitol is incompatible with xylitol infusion and may form complexes with some metals (Fe, Al, Cu). Reducing sugar impurities in mannitol have been implicated in the oxidative degradation of a peptide in a lyophilized formation.⁽¹⁸⁾ Mannitol was found to reduce the oral biovailability of cimetidine compared to sucrose.(19)

13. Method of Manufacture

Mannitol may be extracted from the dried sap of manna and other natural sources by means of hot alcohol or other selective solvents. It is commercially produced by the catalytic or electrolytic reduction of monosaccharides such as mannose and glucose.

14. Safety

Mannitol is a naturally occurring sugar alcohol found in animals and plants; it is present in small quantities in almost all vegetables. When consumed orally in large quantities, laxative effects may occur.(20) If used in foods as a bodying agent and daily ingestion of over 20 g is foreseeable, the product label should bear the statement 'excessive consumption may have a laxative effect'. After intravenous injection, mannitol is not metabolized to any appreciable extent and is minimally reabsorbed by the renal tubule, about 80% of a dose being excreted in the urine in 3 hours.⁽²¹⁾

A number of adverse reactions to mannitol have been reported primarily following the therapeutic use of 20% w/v aqueous intravenous infusions.(22) The quantity of mannitol used as an excipient is considerably less than that used therapeutically and is consequently associated with a lower incidence of adverse reactions. However, allergic, hypersensitive-type reactions may occur when mannitol is used as an excipient.

An acceptable daily intake of mannitol has not been specified by the WHO since the amount consumed as a sweetening agent was not considered to represent a hazard to health.(23)

LD₅₀ (mouse, IP): 14 g/kg⁽²⁴⁾ LD₅₀ (mouse, IV): 7.47 g/kg LD₅₀ (mouse, oral): 22 g/kg

LD₅₀ (rat, IV); 9.69 g/kg LD50 (rat, oral): 13.5 g/kg

15. Handling Precautions

Observe normal precautions appropriate to the circumstances and quantity of material handled. Mannitol may be irritant to the eyes; eye protection is recommended.

16. Regulatory Status

GRAS listed. Accepted for use as a food additive in Europe. Included in the FDA Inactive Ingredients Guide (IP, IM, IV, and SC injections, infusions, buccal, oral and sublingual tablets and capsules). Included in nonparenteral and parenteral medicines licensed in the UK.

17. Pharmacopeias

China, Eur, Int, Jpn, Pol, and US.

18. Related Substances

Sorbitol.

19. Comments

Mannitol is an isomer of sorbitol, the difference between the two polyols occurring in the planar orientation of the OH group on the second carbon atom. Each isomer is characterized by its own individual set of properties, the most important difference being the response to moisture. Sorbitol is hygroscopic while mannitol resists moisture sorption, even at high relative humidities.

Granular mannitol flows well and imparts improved flow properties to other materials. However, it usually cannot be used with concentrations of other materials exceeding 25% by weight. Recommended levels of lubricant are 1% w/w calcium stearate or 1-2% w/w magnesium stearate. Suitable binders for preparing granulations of powdered mannitol are gelatin, methylcellulose 400, starch paste, povidone, and sorbitol. Usually, 3-6 times as much magnesium stearate or 1.5-3 times as much calcium stearate is needed for lubrication of mannitol granulations than is needed for other excipients

Mannitol has been reported to sublime at 130°C.(25)

20. Specific References

- 1. Kanig JL. Properties of fused mannitol in compressed tablets. J Pharm Sci 1964; 53: 188-192.
- 2. Ward DR, Lathrop LB, Lynch MJ. Dissolution and compatibility considerations for the use of mannitol in solid dosage forms. J Pharm Sci 1969; 58: 1464-1467.
- 3. Ghanem AH, Sakr FM, Abdel-Ghany G. Mechanical and physical properties of sulfamethoxazole-mannitol solid dispersion in tablet form. Acta Pharm Fenn 1986; 95: 167-172.
- 4. Debord B, Lefebvre C, Guyot-Hermann AM, Hubert J, Bouché R, Guyot JC. Study of different crystalline forms of mannitol: comparative behaviour under compression. Drug Dev Ind Pharm 1987; 13: 1533-1546.
- 5. Molokhia AM, Al-Shora HI, Hammad AA. Aging of tablets prepared by direct compression of bases with different moisture content. Drug Dev Ind Pharm 1987; 13: 1933-1946.
- 6. Mendes RW, Goll S, An CO, Wet granulation: a comparison of Manni-Tab and mannitol. Drug Cosmet Ind 1978; 122(3): 36, 38, 40, 44, 87-88.
- 7. Daoust RG, Lynch MJ. Mannitol in chewable tablets. Drug Cosmet Ind 1963; 93(1): 26-28, 88, 92, 128-129.
- 8. Herman J, Remon JP. Aluminium-magnesium hydroxide tablets: effect of processing and composition of granulating solution on the granule properties and in vitro antacid per-formance. Drug Dev Ind Pharm 1988; 14: 1221-1234.

328 Mannitol

- Couriel B. Advances in lyophilization technology. Bull Parenter Drug Assoc 1977; 31: 227-236.
- Williams NA, Lee Y, Polli GP, Jennings TA. The effects of cooling rate on solid phase transitions and associated vial breakage occurring in frozen mannitol solutions. J Parenter Sci Technol 1986; 40: 135-141.
- Stella VJ, Umprayn K, Waugh WN. Development of parenteral formulations of experimental cytotoxic agents I: rhizoxin (NSC-332598). Int J Pharmaceutics 1988; 43: 191-199.
- Williams NA, Dean T. Vial breakage by frozen mannitol solutions: correlation with thermal characteristics and effect of stereoisomerism, additives, and vial configuration. J Parenter Sci Technol 1991; 45: 94-100.
- Parab PV, Oh CK, Ritschel WA. Sustained release from Precirol (glycerol palmito-stearate) matrix. Effect of mannitol and hydroxypropyl methylcellulose on the release of theophylline. *Drug Dev Ind Pharm* 1986; 12: 1309-1327.
- 14. Roquette Frères. Technical literature: Pearlitol, 1997.
- Murty BSR, Kapoor JN. Properties of mannitol injection (25%) after repeated autoclavings. Am J Hosp Pharm 1975; 32: 826-827.
- Jacobs J. Factors influencing drug stability in intravenous infusions. J Hosp Pharm 1969; 27: 341-347.
- Epperson E. Mannitol crystallization in plastic containers [letter]. Am J Hosp Pharm 1978; 35: 1337.
- Dubost DC, Kaufman MJ, Zimmerman JA, Bogusky MJ, Coddington AB, Pitzenberger SM. Characterization of a solid state reaction producct from a lyophilized formulation of a

cyclic heptapeptide. A novel example of an excipient-induced oxidation. *Pharm Res* 1996; 13; 1811-1814.

- Adkin DA, Davis SS, Sparrow RA, Huckle PD, Wilding IR. The effect of mannitol on the oral bioavailability of cimetidine. J Pharm Sci 1995; 84: 1405-1409.
- Flatulence, diarrhoea, and polyol sweeteners. Lancet 1983; ii: 1321.
- Porter GA, et al. Mannitol hemodilution-perfusion: the kinetics of mannitol distribution and excretion during cardiopulmonary bypass. J Surg Res 1967; 7: 447-456.
- 22. McNeill IY. Hypersensitivity reaction to mannitol. Drug Intell Clin Pharm 1985; 19: 552-553.
- FAO/WHO. Evaluation of certain food additives and contaminants: thirtieth report of the joint FAO/WHO expert committee on food additives. *Tech Rep Ser Wld Hith Org* 1987; No. 751.
- 24. Sweet DV, editor. Registry of Toxic Effects of Chemical Substances. Cincinnati, US Department of Health, 1987.
- Weast RC, editor. Handbook of Chemistry and Physics, 60th edition, Boca Raton, CRC Press Inc., 1979; c-369.

21. General References

Czeisler JL, Perlman KP. Diluents. In: Swarbrick J, Boylan JC, editors. *Encyclopedia of Pharmaceutical Technology*, volume 4. New York, Marcel Dekker, 1988; 37-84.

22. Authors

NA Armstrong, GE Reier.

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.