Filed: October 16, 2019

## UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

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## NEPTUNE GENERICS, LLC

**PETITIONER** 

V.

**AVENTIS PHARMA S.A.** 

PATENT OWNER

\_\_\_\_\_

CASE NO.: IPR2019-00136
PATENT NO. 5,847,170
FILED: MARCH 26, 1996
ISSUED: DECEMBER 8, 1998
INVENTORS: HERVÉ BOUCHARD,
JEAN-DOMINIQUE BOURZAT, ALAIN COMMERÇON

TITLE: TAXOIDS, THEIR PREPARATION, AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM

# PETITIONER'S REQUEST FOR REFUND OF POST-INSTITUTION FEES



Petitioner Neptune Generics, LLC ("Neptune") filed a petition for *inter* partes review of two (2) claims of U.S. Patent No. 5,847,170 on October 31, 2018 (Paper No. 1). As indicated by the below payment section corresponding to this IPR proceeding at https://ptab.uspto.gov, Petitioner at the time of filing, submitted a total fee of \$30,500.00, consisting of a \$15,500.00 request fee, a \$15,000.00 post-institution fee in accordance with 37 C.F.R. § 42.15(a):

#### **Payments**

Transaction Date	Payment Method	Description	Fee Code	Quantity	Fee Amount	Fee Total	
10/31/2018 17:36:14	DA506293	Inter Parties Review Request Fee- UP TO 20 Claims	1406	1	\$15,500	\$15,500	*
10/31/2018 17:36:14	DA506293	Inter Parties Review Post- Institution Fee	1414	1	\$15,000	\$15,000	¥

On October 15, 2019, the Board denied Petitioners' request for rehearing (Paper No. 16) of the Board's decision denying institution of the *inter partes* review (Paper No. 15). (Paper No. 20). In view of the Board's decision denying institution of *inter partes* review, Petitioner respectfully requests a refund of the post-institution fee of \$15,000.00 that was submitted with its petition pursuant to 37 C.F.R. § 42.15(a)(2) as set forth in the Board's Final Rule, Setting and Adjusting Patent Fees, 78 Fed. Reg. 4212, 4234-35 (Jan. 18, 2013) (authorizing refunds of post-institution fees when review is not instituted). Petitioner requests that the refund be deposited in Deposit Account No. 506293.



# Dated October 16, 2019

# Respectfully Submitted,

/Alexander E. Gasser/

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**Lead Counsel for Petitioner** 

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**Back-Up Counsel for Petitioner** 



## **CERTIFICATE OF SERVICE**

I hereby certify that on October 16, 2019, a copy of this Petitioner's Request for Refund, was served by delivering a copy via electronic mail to the attorneys of record for the Patent Owner as follows:

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