

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

NEPTUNE GENERICS, LLC

PETITIONER

V.

AVENTIS PHARMA S.A.

PATENT OWNER

CASE NO.: IPR2019-00136

PATENT NO. 5,847,170

FILED: MARCH 26, 1996

ISSUED: DECEMBER 8, 1998

INVENTORS: HERVÉ BOUCHARD,
JEAN-DOMINIQUE BOURZAT, ALAIN COMMERÇON

TITLE: TAXOIDS, THEIR PREPARATION, AND PHARMACEUTICAL
COMPOSITIONS CONTAINING THEM

**PETITIONER'S FIRST UPDATE TO
MANDATORY NOTICES – 37 C.F.R. § 42.8(A)(3)**

Pursuant to 37 C.F.R. § 42.8(a)(3), Petitioner updates the original Mandatory Notices recited in its Petition (Paper No. 1), because several entities identified as Real Parties-in-Interest have subsequently changed their names.

Real Parties-in-Interest (37 C.F.R. § 42.8(b)(1))

Petitioner certifies that Neptune Generics, LLC, Niagara FundingCo, LLC, BCIM Partners II, LP, BCIM General Partner II, LP, Burford Capital Ireland DAC, BCIM PII Holdings, LLC, Burford Capital Investment Management LLC, Burford Capital Holdings (UK) Limited, and Burford Capital Limited are the real parties in interest (collectively, “RPI”). Neptune Generics, LLC, a New York limited liability company, is 100% owned by Niagara FundingCo, LLC, a New York limited liability company, which itself is 100% owned by BCIM Partners II, LP, a Delaware limited partnership. BCIM General Partner II, LLC, a Delaware limited liability company, is the general partner of BCIM Partners II, LP, and Burford Capital Investment Management LLC is the investment manager to BCIM Partners II, LP. No other person has authority to direct or control (i) the timing of, filing of, content of, or any decisions or other activities relating to this Petition or (ii) any timing, future filings, content of, or any decisions or other activities relating to the future proceedings related to this Petition. All of the costs associated with this Petition are expected to be borne by Neptune Generics, LLC, Niagara FundingCo,

LLC, BCIM Partners II, LP, BCIM General Partner II, LP, Burford Capital Investment Management LLC and Burford Capital Holdings (UK) Limited.

Related Judicial and Administrative Matters (37 C.F.R. § 42.8(b)(2))

The related matters information has not changed.

Designation of Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))

The lead and back-up counsel information has not changed.

Service Information (37 C.F.R. § 42.8(b)(4)).

The service information has not changed.

Respectfully submitted,

/Alexander E. Gasser/

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CERTIFICATE OF SERVICE

I hereby certify that on 8/12/2019, copies of this Petitioner's First Update to Mandatory Notices – 37 C.F.R. § 42.8(a)(3), including any exhibits and papers filed therewith, were served as follows:

VIA ELECTRONIC MAIL

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