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# Kaukovuori et al.

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# (54) METHODS OF RECEIVING AND RECEIVERS

(75) Inventors: Jouni Kristian Kaukovuori, Vantaa (FI); AamoTapio Parssinen, Espoo (FI); Antti Oskari Immonen, Helsinki (FI)

(73) Assignee: Renesas Mobile Corporation, Tokyo

(JP)

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(52) U.S. Cl. USPC ....... 455/323; 455/334; 455/550.1; 375/324

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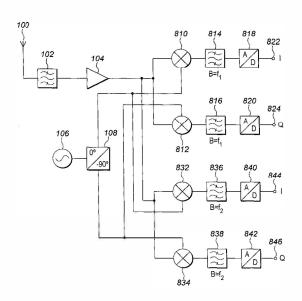
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Primary Examiner — Nhan Le (74) Attorney, Agent, or Firm — Lucas & Mercanti LLP; Robert P. Michal

#### (57) ABSTRACT

Data transmitted via a combination of radio frequency RF signals using carrier aggregation CA is received, each RF signal occupying a respective RF band, the bands being arranged in two groups separated in frequency by a first frequency region, the first of the two groups occupying a wider frequency region than the second group. Inphase and quadrature components of the RF signals are filtered using a first bandpass filter bandwidth to give first bandpass filtered components and filtered using a second bandpass filter bandwidth, to give second bandpass filtered components. A reconfigurable receiver is configurable to a first mode to receive the combination of RF signals, and is also configurable to at least a second mode. A filter is configured, in different modes, to use a first or a lowpass bandpass filter bandwidth.

# 20 Claims, 18 Drawing Sheets



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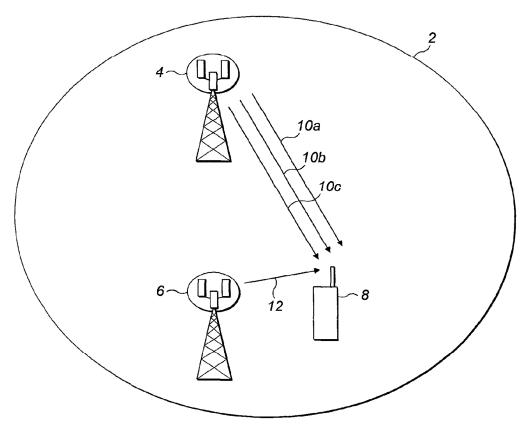


FIG. 1

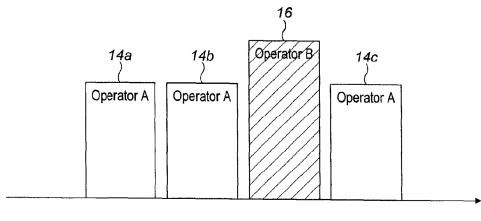


FIG. 2

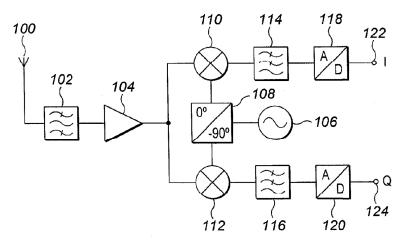
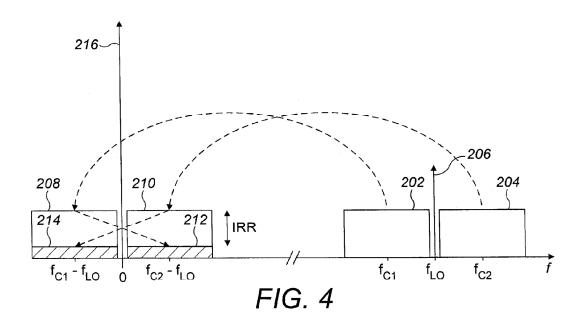
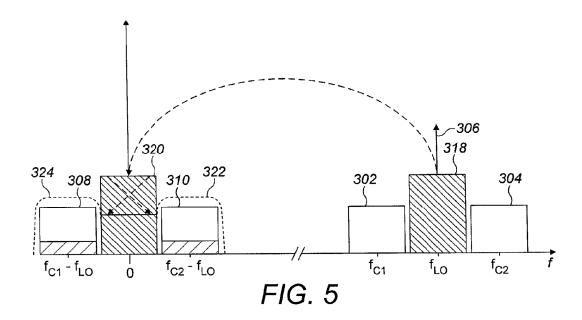


FIG. 3





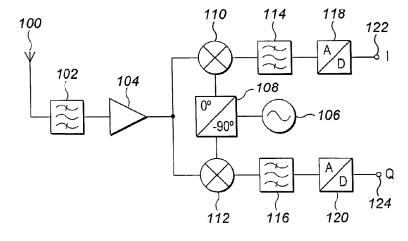
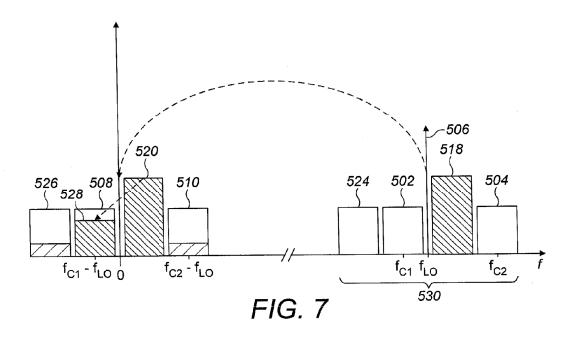
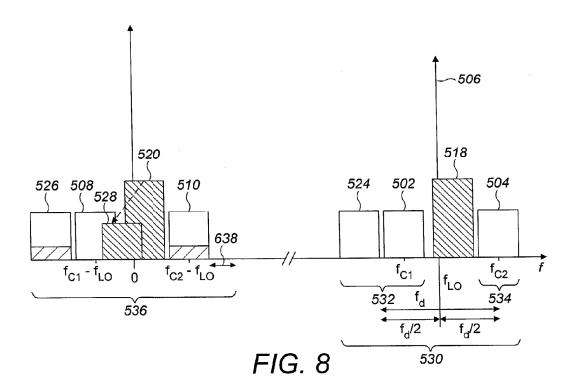


FIG. 6





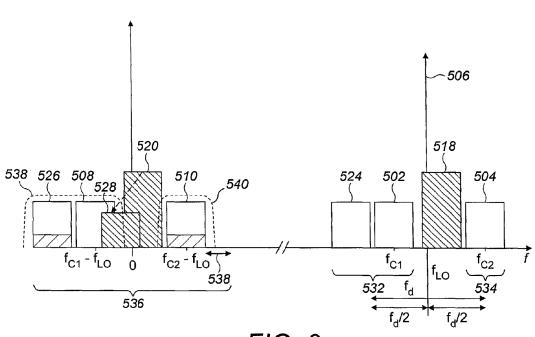


FIG. 9

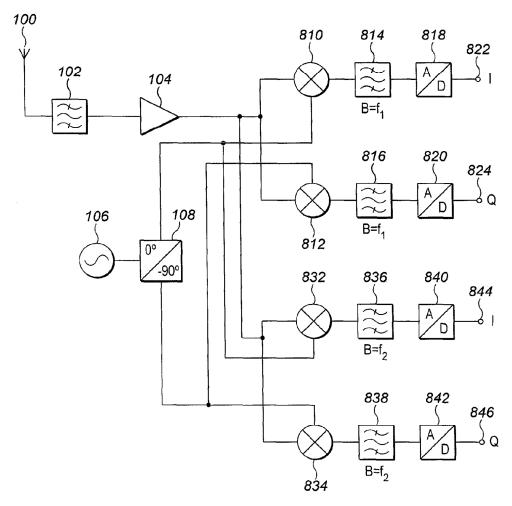


FIG. 10

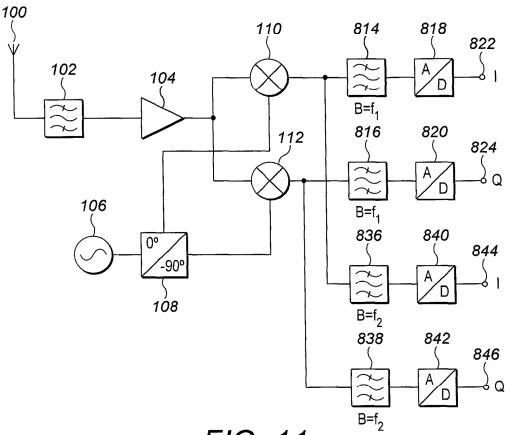


FIG. 11

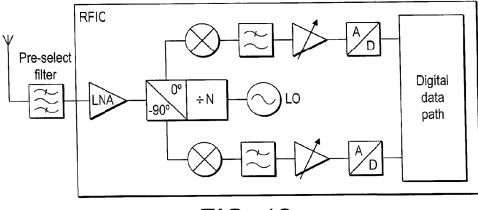
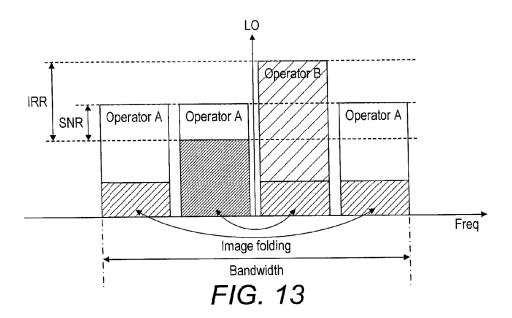
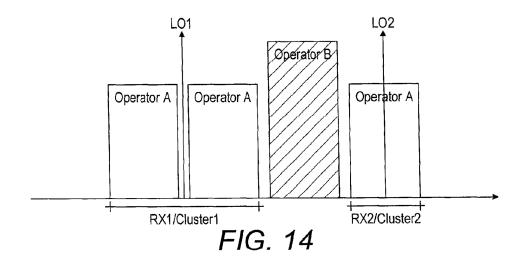


FIG. 12





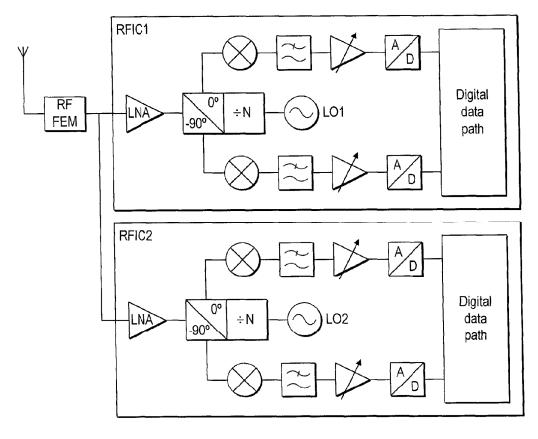


FIG. 15

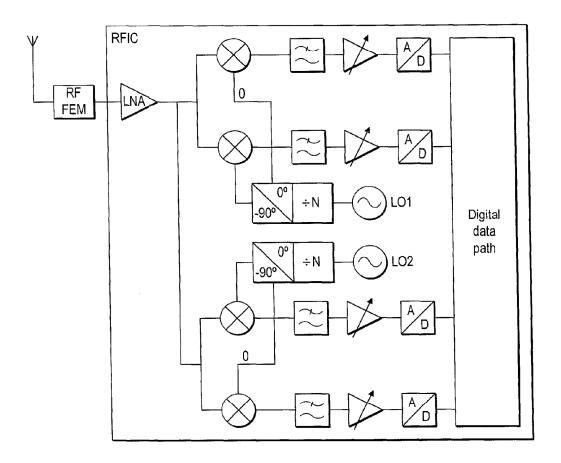
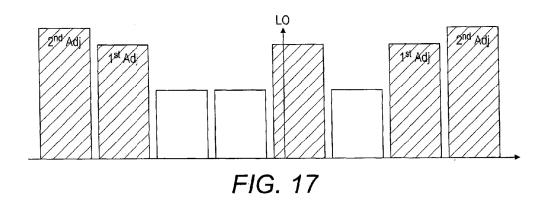
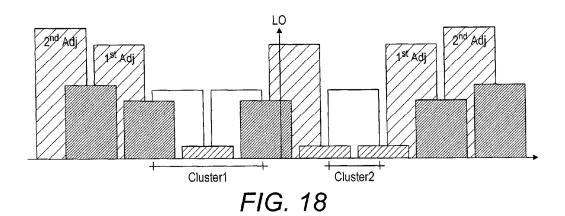


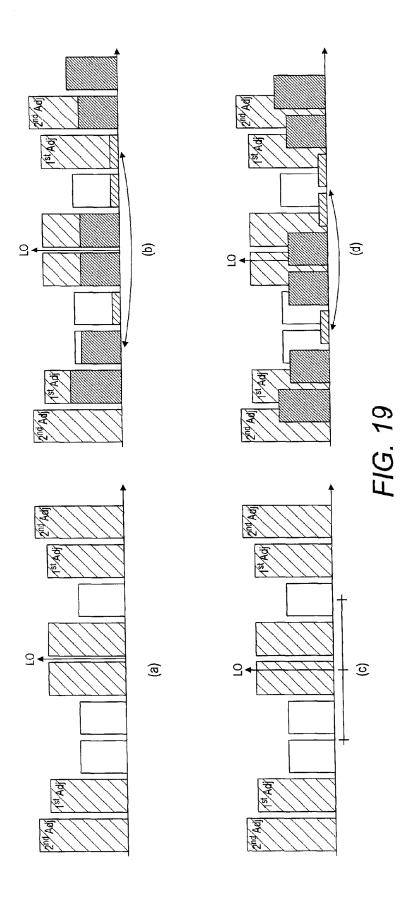
FIG. 16

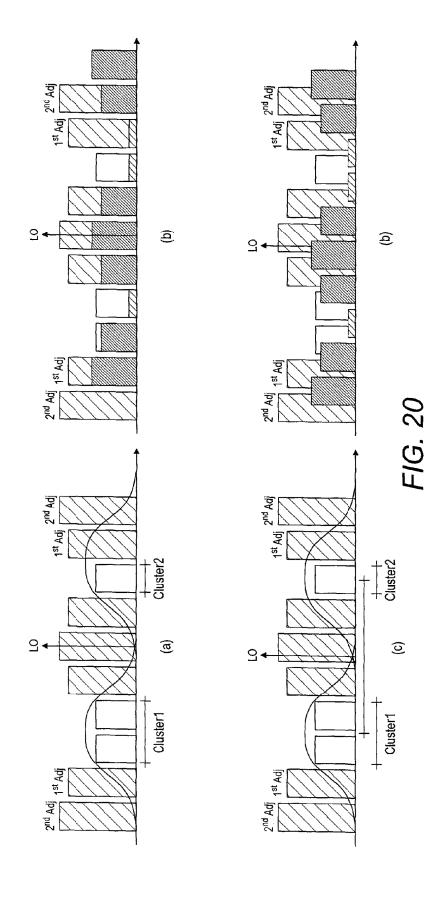
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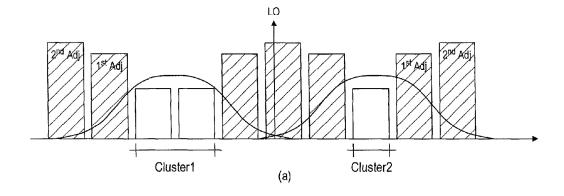


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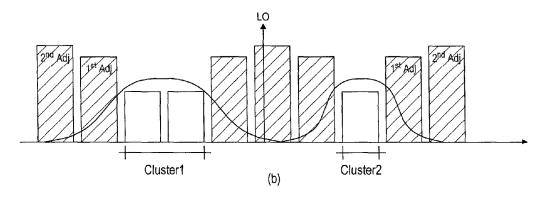


FIG. 21

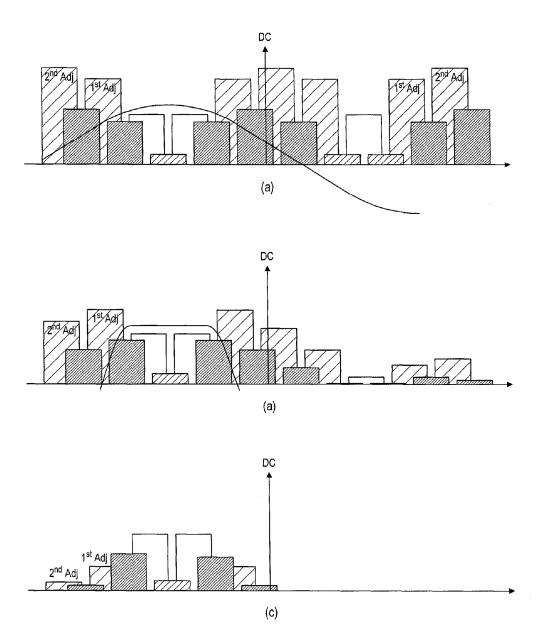
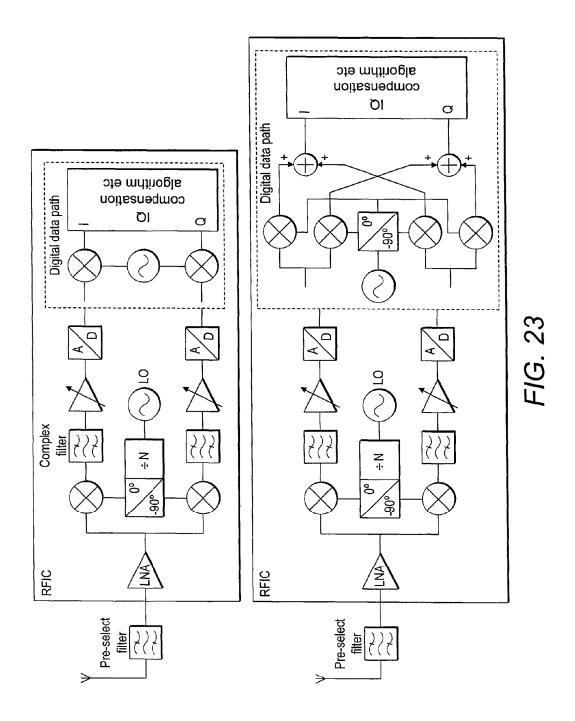


FIG. 22



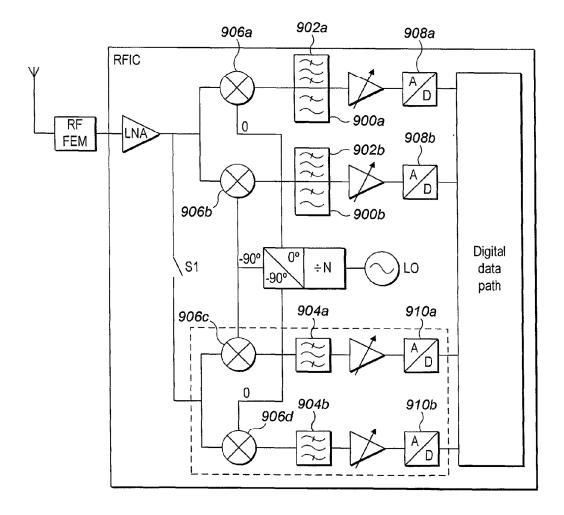


FIG. 24

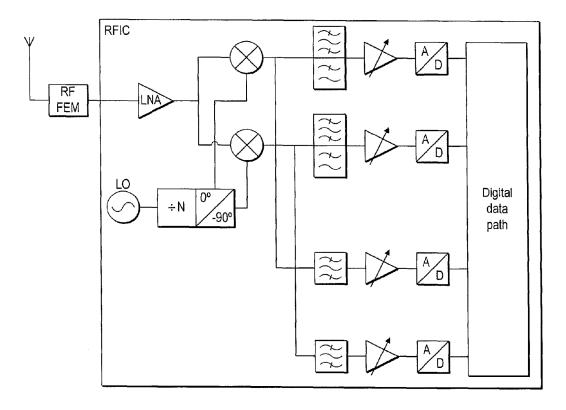


FIG. 25

# METHODS OF RECEIVING AND RECEIVERS

# CROSS REFERENCE TO RELATED APPLICATION

This application claims benefit under 35 U.S.C. §119(a) and 37 CFR 1.55 to UK Patent Application 1119888.4, filed on Nov. 17, 2011.

#### TECHNICAL FIELD

The present invention relates to methods of receiving and receivers for radio communication systems, and in particular, but not exclusively, to non-contiguous carrier aggregation schemes.

### BACKGROUND

Long Term Evolution (LTE) Advanced is a mobile telecommunication standard proposed by the  $3^{rd}$  Generation 20 Partnership Project (3GPP) and first standardised in 3GPP Release 10. In order to provide the peak bandwidth requirements of a 4th Generation system as defined by the International Telecommunication Union Radiocommunication (ITU-R) Sector, while maintaining compatibility with legacy 25 mobile communication equipment, LTE Advanced proposes the aggregation of multiple carrier signals in order to provide a higher aggregate bandwidth than would be available if transmitting via a single carrier signal. This technique of Carrier Aggregation (CA) requires each utilised carrier signal 30 to be demodulated at the receiver, whereafter the message data from each of the signals can be combined in order to reconstruct the original data. Carrier Aggregation can be used also in other radio communication protocols such as High Speed Packet Access (HSPA).

Carrier signals are typically composed of a carrier frequency that is modulated to occupy a respective radio frequency carrier signal band. Contiguous Carrier Aggregation involves aggregation of carrier signals that occupy contiguous radio frequency carrier signal bands. Contiguous radio 40 limitations of the prior art systems. frequency carrier signal bands may be separated by guard bands, which are small unused sections of the frequency spectrum designed to improve the ease with which individual signals can be selected by filters at the receiver by reducing the likelihood of interference between signals transmitted in 45 adjacent bands. Non-contiguous Carrier Aggregation comprises aggregation of carrier signals that occupy non-contiguous radio frequency carrier signal bands, and may comprise aggregation of clusters of one or more contiguous carrier signals. The non-contiguous radio frequency carrier signal 50 bands are typically separated by a frequency region which is not available to the operator of the network comprising the carrier signals, and may be allocated to another operator. This situation is potentially problematic for the reception of the carrier signals, since there may be signals in the frequency 55 using quadrature mixing to give inphase and quadrature comregion that separates the non-contiguous carriers which are at a higher power level than the wanted carrier signals.

A Direct Conversion Receiver (DCR) is typically employed to receive cellular radio signals, and typically provides an economical and power efficient implementation of a 60 receiver. A DCR uses a local oscillator placed within the radio frequency bandwidth occupied by the signals to be received to directly concert the signals to baseband. Signals on the high side of the local oscillator are mixed to the same baseband frequency band as signals on the low side of the local oscil- 65 lator, and in order to separate out the high and low side signals, it is necessary to mix the signal with two components

of the local oscillator in quadrature (i.e. 90 degrees out of phase with one another) to produce inphase (I) and quadrature (Q) signal components at baseband. The I and Q components are digitised separately, and may be processed digitally to reconstruct the separate high side and low side signals. The reconstructed high and low side signals may be filtered in the digital domain to separate carrier signals received within the receiver bandwidth of the DCR.

The presence of a higher power signal in the region sepa-10 rating non-contiguous carrier clusters poses particular problems if a DCR is to be used to receive a band of frequencies comprising non-contiguous Carrier Aggregation signals. In particular, since the higher power signal is within the receiver bandwidth, the dynamic range of the receiver need to encompass the powers of the wanted carrier signals, which are typically received at a similar power to each other, and the higher power signal. This may place severe demands on the dynamic range of the analogue to digital converter (A/D) in particular. Furthermore, due to inevitable imbalances between the amplitudes and phases of the I and Q channels, the process of reconstructing the separate high side and low side signals suffers from a limited degree of cancellation of the image component; that is to say, some of the high side signals break through onto the reconstructed low side signals, and vice versa. The degree of rejection of the image signal may be termed the Image Reject Ratio (IRR). If the higher power signal is a high side signal, it may cause interference to received low side signals due to the finite IIR, and similarly if the higher power signal is a low side signal, it may cause interference to received high side signals.

One conventional method of receiving Non-contiguous Carrier Aggregation signals is to provide two DCR receiver stages, each having a local oscillator tuned to receive a cluster of contiguous carriers, and so rejecting signals in the frequency region between the clusters before digitisation. However, this approach is potentially expensive and power consuming, and may suffer from interference between the closely spaced local oscillators.

It is an object of the invention to address at least some of the

# **SUMMARY**

In accordance with a first exemplary embodiment of the present invention, there is provided a method of receiving data transmitted via a combination of at least a plurality of radio frequency signals using carrier aggregation, each radio frequency signal occupying a respective band of a plurality of radio frequency bands, the plurality of radio frequency bands being arranged in two groups separated in frequency by a first frequency region, the first of the two groups occupying a wider frequency region than the second group, the method

downconverting said plurality of radio frequency signals

filtering said inphase and quadrature components using a first bandpass filter bandwidth to give first bandpass filtered inphase and quadrature components; and

filtering said inphase and quadrature components using a second bandpass filter bandwidth, different from the first bandpass filter bandwidth, to give second bandpass filtered inphase and quadrature components.

In accordance with a second exemplary embodiment of the present invention, there is provided a receiver for receiving data transmitted via a combination of at least a plurality of radio frequency signals, each radio frequency signal occupy-

ing a respective band of a plurality of radio frequency bands, the plurality of radio frequency bands being arranged in two groups separated in frequency by a first frequency region, the first of the two groups occupying a wider frequency region than the second group, the receiver comprising:

at least one downconverter configured to downconvert said plurality of radio frequency signals using quadrature mixing to give inphase and quadrature components;

at least one first bandpass filter configured to filter said inphase and quadrature components using a first bandpass 10 filter bandwidth to give first bandpass filtered inphase and quadrature components; and

at least one second bandpass filter configured to filter said inphase and quadrature components using a second bandpass filter bandwidth, different from the first bandpass filter band- 15 width, to give second bandpass filtered inphase and quadrature components.

In accordance with a third exemplary embodiment of the present invention, there is provided a reconfigurable receiver capable of receiving data transmitted via a combination of at 20 least a plurality of radio frequency signals using carrier aggregation, each radio frequency signal occupying a respective band of a plurality of radio frequency bands,

the receiver being configurable to a first mode to receive are arranged in two groups separated in frequency by a first frequency region, the first of the two groups occupying a wider frequency region than the second group, and to at least a second mode.

receiver comprising:

at least one downconverter configured to downconvert said plurality of radio frequency signals using quadrature mixing to give inphase and quadrature components;

at least one first filter arranged to be configured, in the first mode, to filter said inphase and quadrature components using 35 a first bandpass filter bandwidth to give first bandpass filtered inphase and quadrature components and, in the second mode to filter said inphase and quadrature components using a first lowpass filter bandwidth to give first lowpass filtered inphase and quadrature components;

at least one second filter arranged to be configured, in the first mode, to filter said inphase and quadrature components using a second bandpass filter bandwidth, different from the first bandpass filter bandwidth, to give second bandpass filtered inphase and quadrature components.

Further features and advantages of the invention will be apparent from the following description of preferred embodiments of the invention, which are given by way of example only.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic diagram showing the transmission of carrier aggregation signals by the radio access network of a first operator and transmission of a signal from another a radio 55 access network;

FIG. 2 is amplitude-frequency diagram showing carriers in a non-contiguous carrier aggregation method and a carrier from another operator received at a higher level;

FIG. 3 is a schematic diagram showing a conventional 60 direct conversion receiver;

FIG. 4 is a diagram illustrating an effect of a finite image rejection ratio in a direct conversion receiver;

FIG. 5 is a diagram illustrating reception of non-contiguous aggregated carriers in a low IF receiver.

FIG. 6 is a schematic diagram showing a conventional low IF receiver;

FIG. 7 is a diagram illustrating problems with reception of non-contiguous aggregated carriers in a direct conversion receiver:

FIG. 8 is an amplitude-frequency diagram illustrating reception of non-contiguous aggregated carriers in a direct conversion receiver in an embodiment of the invention;

FIG. 9 is an amplitude-frequency diagram illustrating reception of non-contiguous aggregated carriers in an receiver having different passband filters for the high side and low side signals in an embodiment of the invention;

FIG. 10 is a schematic diagram showing a receiver having two zero IF branches each having different bandpass filters in an embodiment of the invention;

FIG. 11 is a schematic diagram showing an alternative receiver having two zero IF branches each having different bandpass filters in an embodiment of the invention;

FIG. 12 is a diagram illustrating a conventional direct conversion receiver as implemented in an RFIC;

FIG. 13 is a frequency-amplitude diagram illustrating problems with reception of non-contiguous aggregated carriers in a direct conversion receiver, showing image frequencies at the equivalent position in RF frequency;

FIG. 14 is a frequency-amplitude diagram illustrating a radio signals in which the plurality of radio frequency bands 25 conventional solution for the reception of non-contiguous aggregated carriers, by the use of two receivers, each having a different local oscillator frequency;

> FIG. 15 is a schematic diagram illustrating an RF IC implementation for the reception of non-contiguous aggregated carriers, by the use of two receivers, each having a separate RFIC and a different local oscillator frequency;

> FIG.  ${\bf 16}$  is a schematic diagram illustrating an RF IC implementation for the reception of non-contiguous aggregated carriers, by the use of two receivers, each having a different local oscillator frequency on a single RFIC;

> FIG. 17 is an amplitude-frequency diagram illustrating reception of non-contiguous aggregated carriers, with a single signal from another operator between the wanted carrier signals in an embodiment of the invention;

> FIG. 18 is an amplitude-frequency diagram illustrating the reception of non-contiguous aggregated carriers, showing a single signal from another operator between carrier aggregation clusters and the effect of image frequencies in an embodiment of the invention;

> FIG. 19 is an amplitude-frequency diagram illustrating the reception of non-contiguous aggregated carriers, showing two signals from another operator between carrier aggregation clusters and the effect of image frequencies in an embodiment of the invention;

> FIG. 20 is an amplitude-frequency diagram illustrating the reception of non-contiguous aggregated carriers, showing three signals from another operator between carrier aggregation clusters and the effect of image frequencies in an embodiment of the invention;

> FIG. 21 is an amplitude-frequency diagram illustrating the reception of non-contiguous aggregated carriers, showing a different filter bandwidth used for the reception of high side and low side signals in an embodiment of the invention;

> FIG. 22 is an amplitude-frequency diagram illustrating the reception of non-contiguous aggregated carriers, showing a) the use of a complex filter characteristic b) the effect of the complex filter characteristic shown with a digital filter characteristic superimposed and c) the combined effect of the complex and digital filters;

> FIG. 23 (upper part) is schematic diagram showing a receiver architecture having complex filters and a digital data path;

FIG. 23 (lower part) is schematic diagram showing a receiver architecture having real filters and a digital data path having image reject mixing;

FIG. **24** is a schematic diagram showing a reconfigurable receiver having two branches, a first branch having a filter with a selectable low pass or band pass characteristic and a second branch having a band pass characteristic; and

FIG. 25 is a schematic diagram showing an alternative reconfigurable receiver having two branches, a first branch having a filter with a selectable low pass or band pass characteristic and a second branch having a band pass characteristic, the two branches sharing the same I and Q mixers.

#### DETAILED DESCRIPTION

By way of example an embodiment of the invention will now be described in the context of a wireless communications system supporting communication using E-UTRA radio access technology, as associated with E-UTRAN radio access networks in LTE systems. However, it will be understood that this is by way of example only and that other embodiments may involve wireless networks using other radio access technologies, such as UTRAN, GERAN or IEEE802.16 WiMax systems.

FIG. 1 shows the transmission of radio frequency signal 25 signals 10a, 10b and 10c by the radio access network to a receiver 8. The radio frequency signals each occupy a respective carrier signal band, as shown in the amplitude-frequency diagram of FIG. 2. A carrier signal band is the part of the radio frequency spectrum occupied by a modulated radio fre- 30 quency carrier comprising the radio frequency signal. Radio frequency signals 10a, 10b, and 10c occupy radio frequency bands 14a, 14b and 14c as shown in FIG. 2. Data is received using the combination of the radio frequency signals 10a, 10b and 10c, and the bands 14a, 14b and 14c shown in FIG. 2 represent a set of radio frequency signals, that may be referred to as component carriers, transmitted using Carrier Aggregation. It can be seen from FIG. 2 that non-contiguous Carrier Aggregation is used, since a radio frequency signal from another operator, other than the operator sending the data, is present in a frequency region separating bands 14b and 14c. In FIG. 1, the radio frequency signals are sent from a first base station 4, operated by Operator A. A second base station 6, operated by a different operator, Operator B, is situated within the area of coverage 2 of the first base station 4, and transmits a radio frequency signal 12 that is received by the user equip- 45 ment 8. It can be seen that the second base station is closer to the user equipment 8 than is the first base station. As a result, it can be seen from FIG. 2 that the radio frequency signal is received at the user equipment 8 at a significantly higher power level, as shown by the amplitude of the band 16 trans- 50 mitted by operator B.

FIG. 3 is a schematic diagram showing a conventional direct conversion receiver. A signal is received by an antenna 100, and filtered by a front end filter 102, which removes out of band signals, protecting the Low Noise Amplifier (LNA) 104 from saturation by strong out of band signals. A local oscillator 106 is typically set to a frequency in the centre of a desired radio frequency (RF) band. RF signals that are both higher than (high side) and lower than (low side) the local oscillator frequency are mixed with the local oscillator to downconvert the RF signals to baseband frequencies, which are the difference between the RF and local oscillator frequencies. These difference frequencies, for signals within an intended receive band, are arranged to fall within the passband of the low pass filters 114, 116 of the direct conversion receiver. In order to distinguish between RF signals that originated on the high side of the local oscillator and RF signals that originated on the high side of the local oscillator, it is

necessary to mix the RF signal with two components of the local oscillator which are in quadrature (i.e. 90 degrees out of phase with one another) to produce inphase (I) and quadrature (Q) signal components at baseband. As shown in FIG. 3, the local oscillator is split into 0 and –90 degree components in a

local oscillator is split into 0 and –90 degree components in a splitter 108 and each component is mixed with the incoming RF signal in a respective mixer 110, 112. The I and Q components are separately filtered low pass filtered, and each filtered signal is converted to the digital domain in an Analogue to digital converter (A/D) 118, 120, to produce a data stream with I and Q components 122, 124. The I and Q components may be processed digitally to reconstruct the separate high side and low side signals. The reconstructed high and low side signals may be filtered in the digital domain to separate carrier signals received within the receiver band-

high and low side signals may be filtered in the digital domain to separate carrier signals received within the receiver bandwidth of the DCR. However, as already mentioned, due to imbalances between the amplitudes and phases of the I and Q channels, the process of reconstructing the separate high side and low side signals suffers from a limited degree of cancellation of the image component, so that some of the high side

signals break through onto the reconstructed low side signals, and vice versa. The degree of rejection of the image signal may be termed the Image Reject Ratio (IRR).

FIG. 4 shows the effect of a finite image rejection ratio in a direct conversion receiver, in the case where two bands 202, 204 are received at approximately the same power level at radio frequency. As can be seen, the two bands are mixed with a local oscillator 206 and downconverted to a band encompassing zero frequency, which may be referred to as DC (Direct Current). În FIG. 4, the high side signal 204 is shown as being downconverted to positive frequency 210, and the low side signal 202 is shown as being downconverted to a negative frequency 208. This is a matter of convention, and the designation of positive and negative frequencies may be transposed. The concept of positive and negative frequencies has meaning only within the complex signal domain, in which signals are represented by I and Q components. A negative frequency has a phasor defined by its I and Q components that rotates in the opposite direction to the phasor of a positive frequency. By distinguishing between positive and negative frequencies by signal processing, for example using a Fast Fourier Transform (FFT) or a complex digital mixer, signals originating as high side RF signals may be separately received from signals originating as low side RF signals. So, as shown in FIG. 4, data may be extracted from two received carrier signal bands, provided that the signal to noise ratio (SNR) is not degraded unacceptably by the image component 214 of the high side signal 204 that is in the same band 208 as the downconverted low side signal 202, and the image component 212 of the low side signal 202 that is in the same band 210 as the downconverted high side signal 204. For signals received at approximately the same power level, SNR is not usually degraded unacceptably by the finite image reject ratio.

FIG. 5 is a diagram illustrating reception of non-contiguous aggregated carriers. In this example, wanted component signal bands 302 and 302 are separated by a higher power radio frequency signal 318, which may originate from another operator. As can be seen from FIG. 5, a local oscillator 306 may be placed in the middle of a receive band defined by the three component signal bands 302, 304, 318. As can be seen from FIG. 5, images of the higher power radio frequency signal resulting from the finite image reject ratio do not fall on top of the downconverted weaker signals in this case, but fall within the downconverted components 320 of the higher power radio frequency signal.

FIG. **6** is a schematic diagram showing a conventional low IF receiver that may be used to receive the signals illustrated in FIG. **5**. It can be seen that the low IF receiver differs from a conventional DCR receiver in that the low pass filters of a

conventional DCR receiver, as shown in FIG. 3, have been replaced by bandpass filters 114, 118, to filter the I and Q signals respectively. The band pass characteristics of the band pass filters have been shown on FIG. 5, as the dashed lines 324, 322, around the wanted component signal bands 308, 5 322. It can be seen that the downconverted components 320 of the higher power radio frequency signal are rejected by the band pass filters in the I and Q signal paths, so that saturation of the A/D converter by the unfiltered downconverted components 320 of the higher power radio frequency signal may 10 be avoided.

FIG. 7 is a diagram illustrating problems with reception of non-contiguous aggregated carriers in a direct conversion receiver. This illustrates the situation shown in FIG. 2, in which component signals bands 524, 502, 504 in a non- 15 contiguous carrier aggregation system are arranged in two groups, or clusters, the first group occupying a wider frequency region than the second group. A higher power signal 518 is located between in a frequency region between the first group and the second group. In this case, by contrast to the 20 situation shown in FIG. 5, it can be seen that the images 528 of the higher power radio frequency signal that result from the finite image reject ratio fall directly in the same band as one of the downconverted component signal bands 508. Depending on the difference between the received power of the higher 25 power signal, the received power of the wanted received signals, and the image reject ration, this situation may prevent reliable transmission of the signals in band 508.

FIG. 8 shows a solution to the problems illustrated by FIG. 7 in an embodiment of the invention. As can be seen, the local 30 oscillator is offset from the centre of the band encompassing the wanted signals, that is to say offset from the centre of the band 530 defined by a combination of the frequency regions occupied by the two groups of signals and the frequency region in between, i.e offset from the centre of a band defined 35 by outer edges of the frequency regions occupied by the two groups.

When the LO frequency is set as shown in FIG. 8, it can be seen that the images 528 of the higher power radio frequency signal that result from the finite image reject ratio is only 40 partly overlapping the downconverted component signal band 508. As can be seen, part of the bands are affected by the image while other parts are not. Due to interleaving of subcarriers and the use of error correction coding, a typical modulation format, such as Orthogonal Frequency Division 45 Multiplexing (OFDM), may be tolerant to the degradation of a proportion of the band, whereas it would not be tolerant if the degradation were applied to the whole band. Therefore, the situation in FIG. 8 may allow acceptable reception of component signal band 508, whereas the situation in FIG. 7 50 may not. As can be seen from FIG. 8, preferably the LO is set such that the distance from the LO to the centre of each of the two wanted clusters 532, 534 is equal. Setting the local oscillator in this way has the advantage of minimising interference due to finite image rejection ratio resulting from both an 55 unwanted signal between the wanted signal clusters, and also minimising interference from unwanted signals adjacent to the wanted signal clusters situated away from the local oscillator frequency. In an embodiment of the invention, the offset of the local oscillator frequency may be determined in depen- 60 dence on a measurement of signal quality, such as signal to noise plus interference ratio, of at least one of the plurality of radio frequency signals. For example, if an unwanted signal adjacent to the wanted signal clusters situated away from the local oscillator frequency on the high frequency side is 65 greater than another unwanted signal adjacent to the wanted signal clusters situated away from the local oscillator fre8

quency on the low frequency side, it may be determined that the local oscillator offset should be set at a position that causes the least total interference with the wanted signals. This may be determined on the basis of signal to noise plus interference ratio measurements for each of the wanted signals

FIG. 9 shows that that setting of the local oscillator may be used in conjunction with a receiver having two bandpass filter characteristics 540, 538 one of which 538 is wider than the other 540. The bandpass characteristics may be set to be appropriate to receive the component signal bands in the respective groups of signals.

FIG. 10 is a schematic diagram showing a receiver having two bandpass filter characteristics as illustrated in FIG. 9 in an embodiment of the invention. The receiver has two branches. A first branch is a low IF receiver having I and Q channels, each of which has a bandpass filters 814, 816 with a first bandwidth. A second branch is also configured as a low IF receiver as shown in FIG. 10, and also has I and Q channels, each of which has a bandpass filters 814, 816 with a second bandwidth, different from the first bandwidth. A first subset of downconverted radio signals may be received using the first branch, and a second subset of downconverted radio signals may be received using the second branch.

FIG. 11 is a schematic diagram showing an alternative receiver having two branches each having different bandpass filters in an embodiment of the invention, in which a single set of quadrature mixers is shared between the two branches.

Embodiments of the invention will now be described in more detail. Embodiments of the invention relate to multicarrier wireless systems, using carrier aggregation. Operators may own non-contiguous allocation of spectrum; this may come about, for example, if an operator buys another operator's businesses. If the spectrums happen to be non-adjacent then the allocation is non-contiguous. Operators typically wish to exploit their spectrum as effectively as possible, so the need for non-contiguous multi-carrier systems is increasing. An example of such scenario is presented in FIG. 2. In a scenario such as that illustrated in FIG. 2, there may be a problem with single receiver chain architecture in that it may not be known or guaranteed a priori what is allocated in the gap between the two non-contiguous carriers. Typically, another operator's licensed spectrum may be present in the gap. Furthermore, it cannot be guaranteed that the other operator's signal, that is to say deployed spectrum, is not significantly stronger than the wanted signal at the receiver input. This may place large demands on the receiver performance in terms of dynamic range and image rejection performance.

Table 1 below gives example of possible allocations of blocks of carriers within a single band. In table 1, in the column headed "configuration", "C" represents a 5 MHz component carrier and the gap length is expressed as a number in MHz.

TABLE 1

Summary of operators' scenarios.					
Scenario	Band	Gap length	Number of Component Carriers	Configuration	
A	I	5	2	C-5-C	
A B	I	5 5	2 3	C-5-C C-5-CC	
	I I	5 5 10	2 3 4		
	I I IV	5 5 10 5	_	C-5-CC	

Summary of operators' scenarios.					
Scenario	Band	Gap length	Number of Component Carriers		
F G H	IV IV IV	15 20 25	4 3 4	CC-15-CC CC-20-C CC-25-CC	

The reception of two or more non-contiguous component carriers causes several design challenges for a receiver containing one reception branch only. The simplified block diagram of a typical direct-conversion receiver (DCR) is presented in FIG. 12. The signal is amplified in the low-noise amplifier (LNA) before being down-converted to zero intermediate frequency (IF). For phase- and frequency-modulated signals, the down-conversion must be performed with quadrature local oscillator (LO) signals to prevent signal side- 20 bands from aliasing on one another. Prior to analogue-todigital conversion, the signal is low-pass filtered and amplified such that the signal for the ADC is at sufficient level. A DCR is typically used in cellular user equipments (UEs) in, for example, GSM, WCDMA, HSPA, and single-carrier LTE 25 modes, for example in Release 7, 8 or 9 LTE. From the point of view of integrated circuit development, DCR has several advantages compared to other receiver types, such as low complexity and power consumption, small silicon area, and a low number of off-chip components.

For a single receiver UE comprising conventional DRC hardware as shown in FIG. 12, the scenario shown in FIG. 2 is challenging. Firstly, since deployed spectrum of operator B shown is located in the wanted channel, it passes through the analogue circuitry without any filtering. Thus, the dynamic range of the analogue-to-digital converter (ADC) needs to be increased by the amount of power difference between the unwanted and wanted carriers. In addition to the increased bandwidth required to receive non-contiguous aggregated carriers, the dynamic range requirement makes ADC design 40 even more challenging and power consuming.

Secondly, the gain control of the receiver becomes more challenging, since the maximum gain setup in different RF front-end blocks (LNA, Mixer, filters) is dominated by the strong unwanted carrier to prevent the receiver from saturation and/or clipping. As a result, the gain may be set to a lower value than would be ideally required for the weaker carriers, thus deteriorating the signal-to-noise performance of the weaker carriers.

Thirdly, in practice, due to imperfections such as component mismatch in down-conversion mixers and analogue baseband filters and the quality of quadrature signals from the local oscillator, there is a finite amplitude and phase balance between the in-phase (I) and quadrature phase (Q) branches. That is to say, there are errors in matching between the phase 55 and amplitude of the inphase and quadrature signals paths. As has been already mentioned, this leads to a finite image reject ratio (IIR).

FIG. 13 depicts a case, such as, for example, may result from 4C-HSDPA (4 Carrier High Speed Downlink Packet 60 Access) with a strong unwanted carrier received and down-converted with a demodulator having a finite IQ performance. Due to the finite image-reject ratio (IRR), the more powerful unwanted carrier will generate a strong image signal overlapping the weaker carrier locating at opposite side of the LO. 65 This may not achieve sufficient signal-to-noise ratio (SNR) to receive the weaker carrier.

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So, as has been mentioned, the reception of non-contiguous CA signals in a conventional DCR receiver presents challenges regarding the ADC design (dynamic range vs. power consumption), RF/analogue gain control, and RF images. These challenges apply to both the reception of non-contiguous (NC) carrier aggregation in HSDPA and LTE, and to the use of non-contiguous carrier aggregation for future standards to achieve high peak data rates. Furthermore, high SNR figures are needed to be able to operate with 64QAM modulation to reach the highest data rates. As a result, a small impairment in signal quality or dynamic range caused by the presence of the operator B signal can have a significant effect.

It is preferable that a single direct-conversion receiver is utilised in user equipment intended to receive NC-HSDPA (or non-contiguous LTE), as the user equipment may also be configured for lower data rates and single carrier operation, and user expectations would be for similar or better battery life than legacy UEs when operating at lower data rates (i.e. in non-carrier aggregation mode). However, as already mentioned, a UE with a conventional single receiver path is unlikely to be able to receive intra-band non-contiguous carriers with maximal SNR.

One potential method of receiving non-contiguous carrier aggregation signals is to receive separate clusters of component carriers in separate receiver chains, each having a LO signal of its own. This is depicted in FIG. 15, where Cluster1 and Cluster2 are each handled by a separate respective receiver chain, as shown in FIG. 15. However, the solution illustrated by FIG. 15 may increase the complexity of the Front End Module (FEM), due to the need for signal splitting and the need to minimise local oscillator coupling between channels, which in turn may lead to a higher cost and increased insertion loss. In addition, in the solution presented in FIG. 16, having two LO synthesizers operating at frequencies close each other might suffer from LO pulling, which can lead to increased phase noise, instability and presence of sideband tones. Within a single die it is challenging to achieve sufficient isolation between two LOs having a small frequency separation between each other. Possibly, two simultaneously running synthesisers could operate at two completely different RF frequencies but the final LO frequency could be generated with different frequency division ratios (e.g. 4 GHz divided by 2 and 6 GHz divided by 3). That solution, however, may lead to complicated design (either fractional or odd frequency division ratios could be needed) and would possibly generate unwanted tones.

In an embodiment of the invention, a DCR is configured such that it is able to handle two non-contiguous clusters with improved SNR with a single Radio Frequency Integrated Circuit (RFIC). In an embodiment of the invention, two clusters are each received with a different bandwidth filter.

FIG. 17 presents a scenario similar to one shown in FIG. 13, except the first and second adjacent channels are now presented. In an embodiment of the invention, the LO signal is placed offset from the centre of the illustrated band to be received, as shown in FIG. 17. This has the advantage that the effect of resulting images signals is minimized, as illustrated in FIG. 18. After the LO frequency is placed as shown in FIG. 18, the images of the unwanted adjacent channels are only partly overlapping with wanted channels in Cluster1 as shown. The average SNR impairment across a band due to image signal folding, that is to say due to finite image reject ratio, is thus reduced in the worst affected bands at the expense of degrading the SNR impairment in bands that were not affected with a conventional placing of the local oscillator. As can be seen, part of the bands are affected by signal folding while other parts are not. As has already been men-

tioned, due to interleaving of subcarriers and the use of error correction coding, a typical modulation format, such as OFDM, may be tolerant to the degradation of a proportion of the band, whereas it would not be tolerant if the degradation were applied to the whole band.

An additional example is presented in FIG. 19. The scenario is similar to the previous one but now there are two carriers deployed by the other operator in the centre of the band, as shown in FIG. 19(a). A conventional approach to the reception of the signals shown in FIG. 19(a) is shown in FIG. 10 **19**(*b*), in which the LO is placed between the two unwanted carriers, but as a result, one of the wanted carriers suffers from image signal due to the first adjacent high side channel. In an embodiment of the invention, this is mitigated by placing the LO such that the distance from the LO to the centre of each of 15 the two wanted clusters is equal, as shown in FIG. 19(c). As a result, after down-conversion the image of the wanted carrier in the narrow cluster is located between the two wanted carriers, as shown in FIG. 19(d). Now, image signals due to adjacent channels overlap the wanted carriers only partly and 20 SNR degradation is averaged over the channel. As already mentioned, a typical modulation and coding format may be tolerant of a reduced SNR over a part of the band.

FIG. 20 gives an example of a scenario in which there are three unwanted carriers between the wanted clusters. As 25 shown in FIGS. 20(a) and 20(b), a conventional LO location may be at the centre of the most powerful carrier. Then, the image due this most powerful carrier would be placed on top of the most powerful carrier itself, as shown in FIG. 20(b). However, the SNR degradation due to image folding is minimized in an embodiment of the invention, when the LO is placed substantially half way between the centres of the clusters, as shown in FIG. 10(c), or at least within approximately an eighth of a carrier bandwidth of this position.

In an embodiment of the invention, the improved positioning of the LO may be used advantageously in combination with a low IF receiver. A low IF receiver may be realised as illustrated in FIG. 6 by the substitution of a band pass filter for the low pass filter of a conventional direct conversion receiver.

FIGS. 20(a) and 20(c) show the passband filter characteristic of a low IF receiver, shown referred to RF frequencies. As may be seen from a comparison of FIG. 20(a) with FIG. 20(c), the passband filter in the case illustrated by FIG. 20(c) attenuates adjacent channels of Cluster2 more efficiently than 45 that in the case illustrated by FIG. 20(a).

In an embodiment of the invention, the improved positioning of the LO may be used advantageously in combination with a low IF receiver, having two receiver branches, one receiver branch having a different bandpass filter characteristic from the other. Such a two-branch low IF receiver is shown in FIG. 10, and an alternative implementation is shown in FIG. 11. As shown in FIG. 21(b), the use of a narrower bandpass filter to filter the narrower cluster, Cluster2, improves the rejection of adjacent channels, as compared to 55 the case with a the use of the same filter bandit to receive high and low side signals, as in the case shown in FIG. 21(a). FIG. 21(a) may represent the case, for example, in which a single branch low IF receiver used.

The use of analogue bandpass filters may reduce the 60 dynamic range required by the A/D converter, since interfering signals may be removed before conversion.

In an embodiment of the invention, the analogue, typically bandpass filters, are implemented using a complex filtering method, that is to say each filter may process components of 65 both the I and Q channels. Then, the filter response is asymmetric in respect to zero frequency as shown in FIG. **22**(*a*). In

this case, the image signal located at the opposite side of the zero frequency can be filtered out. As a result, carrier separation in the digital domain could be implemented with typical digital down-conversion mixers as shown in the upper part of FIG. 23. Alternatively, if conventional real-only analogue filters are used, the digital down-conversion could comprise a complex scheme to attenuate the image signal, as shown in the lower part of FIG. 23.

It is advantageous to provide a receiver that may be reconfigurable, to optimally receive signals that may be received using non-contiguous carrier aggregation as already described, but also configurable to receive signals received using contiguous carrier aggregation, or legacy signals not using carrier aggregation. Preferably, the receiver may be configured with the optimum trade off between image reject and dynamic range performance and power consumption, appropriate to the type of signal format to be received.

FIG. 24 is a schematic diagram showing a reconfigurable receiver having two branches, a first branch having a filter in each of the I and Q channels with a selectable low pass 900a, 900b or band pass 902a, 902b characteristic and a second branch having a filter in each of the I and Q channels with a band pass 904a, 904b characteristic. The bandwidth of the bandpass filters in the first branch may be different to the bandwidth of the bandpass filters in the second branch, similar to the arrangement in FIG. 10. Selection of the bandpass filter may be appropriate for reception of non-contiguous carrier aggregation signals arranged in groups of different bandwidths. Selection of the low pass characteristic may be appropriate for receiving legacy systems without carrier aggregation, or contiguous carrier aggregation. In this case, the second branch may be turned off to save power.

In the receiver shown in FIG. 24, in an embodiment of the invention, in the first branch there may be either separate low-pass and band-pass filters such that the non-active is turned off when not needed or some of the blocks or components, such as for example op-amps or capacitors are re-used between the configurations. FIG. 24 shows a second branch (within the dashed line), containing down-conversion mixers 906c, 906d, analogue pass-band filters 904a, 904b, and analogue to digital converters (A/Ds) 910a, 910b. Analogue filters 900a, 900b, 902a, 902b, 904a, 904b and A/Ds 908a, 908b, 910a, 910b in one or both branches may also comprise a complex band-pass scheme providing lower I/Q imbalance. That would efficiently decrease the levels of folded images shown in figures in FIG. 22.

As a variant to the topology shown in FIG. 24, the division into different receiver branches may be provided in the LNA if it contains several stages. In FIG. 24 the switch Si may be turned off when the second branch, the additional low-IF branch, is not needed it can be turned off such that is has a minimal effect on the first branch when it is configured as a conventional low pass DCR branch.

FIG. 25 is a schematic diagram showing an alternative embodiment of the invention in which the two branches sharing the same I and Q mixers. As shown in FIG. 25, only one set of IQ-mixers is used and the division between the branches is performed after the mixers. In contrast to the topology shown in FIG. 24, the LNA/mixer interface is unchanged compared to a conventional single branch DCR design and the DCR/low-IF mode interface is moved to after down-conversion mixers. The design of the mixer/filter interface may be more demanding in the case of the receiver shown in FIG. 25 as compared with the receiver of FIG. 24.

In the reconfigurable receiver of either FIG. 24 or FIG. 25, both branches may be configured to having independent gain, bandwidth, and/or current consumption setups and the

wanted configuration may be set with minimal effect on the other branch, so that the signal levels can be independently set to optimum level in each paths. The signal levels may depend on the presence of blocking signals within the passband, and the gains and signal levels may be set to maximum SNR to set 5 an optimum A/D input. As shown in FIGS. 24 and 25, the controllable gain stage presents an exemplary arrangement; the gain control can be implemented in any block in the receiver.

Since good sensitivity is required from both branches and 10 the signal is split, there may be a need to increase the gain of the LNA (this is also true for topology shown in FIG. 16). Thus, higher transconductance is required from the LNA than would be the case with a single branch receiver.

Both the analogue filter and the ADC in the second branch 15 may be either a passband type or could be designed to operate with a wide reception bandwidth setup. The analogue passband filters and ADCs in the additional branch may also comprise a complex bandpass scheme providing lower I/Q imbalance. In addition, the digital part following the ADC 20 may typically include additional filtering, level shifting, IQ compensation, DC offset compensation etc. In the case of low-IF reception, the digital data can be down-converted to around zero frequency for further signal processing, for example using complex mixers. Modem DSP based I/Q compensation schemes may be used to provide excellent performance.

When the clusters have unequal bandwidths, the choice of bandwidth (BW) setups for both receiver chains may be performed in order to reconfigure the receiver such that receiver 30 performance is optimal. Typically, the first branch may be configured in a first mode to have a first bandpass filter bandwidth to give first bandpass filtered inphase and quadrature components, and may be configured in a second mode to have a first lowpass filter bandwidth to give first lowpass filtered 35 inphase and quadrature components. In the first mode, a second branch may be configured, for example as shown in FIG. 24 within the dashed lines, and for example as shown in FIG. 10 or FIG. 11, to have a second bandpass filter bandwidth, different from the first bandpass filter bandwidth, to give 40 second bandpass filtered inphase and quadrature components. In the second mode, the first branch may be used as a conventional DCR receiver, for example to receive single carrier or contiguous carrier signals, and the second branch, also referred to as an additional branch, may be not used, for 45 example by being disconnected or turned off. In a variation of the second mode, that may be referred to as a third mode, the first branch may be configured as a low-IF receiver, to operate for example as shown in FIG. 6 with a bandpass characteristic, and the second branch may be not used. This variation of 50 the second mode may be used, for example, to receive noncontiguous carriers aggregated as in two groups, i.e. clusters, or bands having the same bandwidth, as shown in FIG. 5.

In the second mode, in which the first branch may be configured to have a low pass DCR characteristic, the first 55 branch may configured to support wider bandwidths than the second branch, the wider bandwidths being typically 2×20 MHz in some cases, for example in the case of 3GPP Rel10 contiguous intra-band LTE carrier aggregation. This maximum BW of 2×20 MHz may not increase in Rel11. Therefore, 60 in an embodiment of the invention, the ADC supports a BW of 20 MHz even in the typical DCR mode. In NC-HSDPA, the maximum BW of the clusters is typically 15 MHz (scenario 3 in Table 1). In NC-LTE, the envisioned maximum bandwidths of non-contiguous clusters are probably in the order of 10 65 MHz. Therefore, to limit the maximum BW of the ADC in the additional receiver branch, the BWs exceeding 10 MHz may

be handled by the first branch. In some cases, as is shown in FIG. 18, the receiver chain handling the narrower cluster may suffer less from image signals. In this case, the receiver may be configured such that the first branch (configured to bandpass, Low-IF mode) handles the narrow cluster and the wider cluster (for example max 10 MHz) may be handled by the second, i.e. additional, low-IF branch. Then, the additional branch could comprise a complex signal processing scheme to improve the IQ performance, i.e. the image reject ratio, and less stringent IQ requirements are required by configurable DRC branch. In general, the optimal receiver configuration depends on several factors, for instance abovementioned image issues and energy consumption. The optimal receiver configuration for each reception scenario can be considered case by case.

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Although at least some aspects of the embodiments described herein with reference to the drawings comprise computer processes performed in processing systems or processors, the invention also extends to computer programs, particularly computer programs on or in a carrier, adapted for putting the invention into practice. The program may be in the form of non-transitory source code, object code, a code intermediate source and object code such as in partially compiled form, or in any other non-transitory form suitable for use in the implementation of processes according to the invention. The carrier may be any entity or device capable of carrying the program. For example, the carrier may comprise a storage medium, such as a solid-state drive (SSD) or other semiconductor-based RAM; a ROM, for example a CD ROM or a semiconductor ROM; a magnetic recording medium, for example a floppy disk or hard disk; optical memory devices in general; etc.

It will be understood that the processor or processing system or circuitry referred to herein may in practice be provided by a single chip or integrated circuit or plural chips or integrated circuits, optionally provided as a chipset, an application-specific integrated circuit (ASIC), field-programmable gate array (FPGA), etc. The chip or chips may comprise circuitry (as well as possibly firmware) for embodying at least one or more of a data processor or processors, a digital signal processor or processors, baseband circuitry and radio frequency circuitry, which are configurable so as to operate in accordance with the exemplary embodiments. In this regard, the exemplary embodiments may be implemented at least in part by computer software stored in (non-transitory) memory and executable by the processor, or by hardware, or by a combination of tangibly stored software and hardware (and tangibly stored firmware).

The above embodiments are to be understood as illustrative examples of the invention. It is to be understood that any feature described in relation to any one embodiment may be used alone, or in combination with other features described, and may also be used in combination with one or more features of any other of the embodiments, or any combination of any other of the embodiments. Furthermore, equivalents and modifications not described above may also be employed without departing from the scope of the invention, which is defined in the accompanying claims.

The invention claimed is:

1. A method of receiving data transmitted via a combination of at least a plurality of radio frequency signals using carrier aggregation, each radio frequency signal occupying a respective band of a plurality of radio frequency bands, the plurality of radio frequency bands being arranged in two groups separated in frequency by a first frequency region, the first of the two groups occupying a wider frequency region than the second group, the method comprising:

- downconverting said plurality of radio frequency signals using quadrature mixing to give inphase and quadrature components:
- filtering said inphase and quadrature components using a first bandpass filter bandwidth to give first bandpass 5 filtered inphase and quadrature components; and
- filtering said inphase and quadrature components using a second bandpass filter bandwidth, different from the first bandpass filter bandwidth, to give second bandpass filtered inphase and quadrature components.
- 2. A method according to claim 1, wherein the first frequency region comprises a radio frequency band occupied by a radio frequency signal that is not aggregated with one of said plurality of radio frequency signals.
- 3. A method according to claim 1, the method comprising 15 using a complex filter to perform at least one of the steps of: filtering said inphase and quadrature components to give first bandpass filtered inphase and quadrature components using a first complex filter; and
  - filtering said inphase and quadrature components to give 20 second bandpass filtered inphase and quadrature components using a second complex filter.
  - **4.** A method according to claim 1, further comprising: receiving a first subset of the downconverted plurality of radio frequency signals using the first bandpass filtered 25 inphase and quadrature components; and
  - receiving a second subset of the downconverted plurality of radio frequency signals using the second bandpass filtered inphase and quadrature components.
  - 5. A method according to claim 4, wherein:
  - the first subset of the downconverted plurality radio frequency signals are downconverted from radio frequency bands in the first group; and
  - the second subset of the downconverted plurality radio frequency signals are downconverted from radio frequency bands in the second group.
- **6**. A method according to claim 1, wherein said downconverting comprises:
  - downconverting said plurality of radio frequency signals using a local oscillator;
  - setting the local oscillator, during said downconverting, to a frequency that is offset from the centre of a band defined by outer edges of the frequency regions occupied by the two groups.
- 7. A method according to claim 6, wherein the frequency to 45 which the local oscillator is set is within one quarter of the bandwidth of one of the plurality of radio frequency bands from a frequency mid-way between the centre of the frequency region occupied by the first group and the centre of the frequency region occupied by the second group.
- **8**. A method according to claim 7, wherein the frequency to which the local oscillator is set is substantially mid-way between the centre of the frequency region occupied by the first group and the centre of the frequency region occupied by the second group.
  - 9. A method according to claim 6, the method comprising: determining the offset in dependence on a measurement of signal quality of at least one of the plurality of radio frequency signals.
- 10. A receiver for receiving data transmitted via a combination of at least a plurality of radio frequency signals, each radio frequency signal occupying a respective band of a plurality of radio frequency bands, the plurality of radio frequency bands being arranged in two groups separated in frequency by a first frequency region, the first of the two 65 groups occupying a wider frequency region than the second group, the receiver comprising:

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- at least one downconverter configured to downconvert said plurality of radio frequency signals using quadrature mixing to give inphase and quadrature components;
- at least one first bandpass filter configured to filter said inphase and quadrature components using a first bandpass filter bandwidth to give first bandpass filtered inphase and quadrature components; and
- at least one second bandpass filter configured to filter said inphase and quadrature components using a second bandpass filter bandwidth, different from the first bandpass filter bandwidth, to give second bandpass filtered inphase and quadrature components.
- 11. A method according to claim 10, wherein the first frequency region comprises a radio frequency band occupied by a radio frequency signal that is not one of said plurality of radio frequency signals.
  - 12. A receiver according to claim 10, wherein:
  - said at least one first bandpass filter and said at least one second bandpass filter are complex filters arranged to process both inphase and quadrature components.
- ${f 13}.$  A receiver according to claim  ${f 10},$  the receiver being configured to:
  - downconvert the first subset of the downconverted plurality radio frequency signals from radio frequency bands in the first group;
  - downconvert the second subset of the downconverted plurality radio frequency signals from radio frequency bands in the second group;
  - receive a first subset of the downconverted plurality of radio frequency signals using the first bandpass filtered inphase and quadrature components; and
  - receive a second subset of the downconverted plurality of radio frequency signals using the second bandpass filtered inphase and quadrature components.
- 14. A receiver according to claim 13, wherein the receiver comprises:
  - at least two first analogue to digital converters configured to digitise the respective first bandpass filtered inphase and quadrature components;
  - at least two second analogue to digital converters configured to digitise the respective second bandpass filtered inphase and quadrature components; and
  - at least one digital image reject mixer to downconvert the digitised bandpass filtered inphase and quadrature components,
  - wherein said first analogue to digital converters have a broader bandwidth than said second analogue to digital converters.
  - 15. A receiver according to claim 10,

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- wherein the downconverter is configured to downconvert said plurality of radio frequency signals using a local oscillator and wherein the receiver comprises a controller configured to set the local oscillator, during downconversion of said plurality of radio frequency signals, to a frequency that is offset from the centre of a band defined by outer edges of the frequency regions occupied by the two groups.
- 16. A reconfigurable receiver capable of receiving data transmitted via a combination of at least a plurality of radio frequency signals using carrier aggregation, each radio frequency signal occupying a respective band of a plurality of radio frequency bands,
  - the receiver being configurable to a first mode to receive radio signals in which the plurality of radio frequency bands are arranged in two groups separated in frequency by a first frequency region, the first of the two groups

occupying a wider frequency region than the second group, and to at least a second mode,

the receiver comprising:

- at least one downconverter configured to downconvert said plurality of radio frequency signals using quadrature <sup>5</sup> mixing to give inphase and quadrature components;
- at least one first filter arranged to be configured, in the first mode, to filter said inphase and quadrature components using a first bandpass filter bandwidth to give first bandpass filtered inphase and quadrature components and, in the second mode to filter said inphase and quadrature components using a first lowpass filter bandwidth to give first lowpass filtered inphase and quadrature components:
- at least one second filter arranged to be configured, in the first mode, to filter said inphase and quadrature components using a second bandpass filter bandwidth, different from the first bandpass filter bandwidth, to give second bandpass filtered inphase and quadrature components.
- 17. A reconfigurable receiver according to claim 16, wherein:
  - said at least one second filter is a complex filter arranged to process both inphase and quadrature components.

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- 18. A reconfigurable receiver according to claim 16, the receiver further comprising:
  - at least two first analogue to digital converters configured to digitise the respective first bandpass filtered or first lowpass filtered inphase and quadrature components;
  - at least two second analogue to digital converters configured to digitise the respective second bandpass filtered inphase and quadrature components,
  - wherein said first analogue to digital converters have a broader bandwidth than said second analogue to digital converters.
- $19.\,\mathrm{A}$  reconfigurable receiver according to any of claim 16, wherein the receiver is configured to:
  - determine a measure of quality relating to said plurality of radio frequency signals, when said receiver is set to the second mode; and
  - set the receiver to the first mode dependent on the determined measure being less than a predetermined threshold
- 20. A reconfigurable receiver according to any of claim 16, wherein the receiver is configured to use the first filter and not the second filter in the second mode.

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