IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: James E. Jervis

U.S. Patent No.: 6,306,141

Issue Date: October 23, 2001

Serial No.: 08/483,291 Filing Date: June 7, 1995

Title: MEDICAL DEVICES INCORPORATING SIM ALLOY

ELEMENTS

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PETITION FOR *INTER PARTES* REVIEW OF U.S. PATENT NUMBER 6,306,141 UNDER 35 U.S.C. §§ 311-319

Lombard Medical Technologies PLC ("Lombard" or "Petitioner") hereby requests *Inter Partes* Review ("IPR") of Claims 1-10 and 18-22 in U.S. Patent Number 6,306,141 ("141 patent") (**Exhibit 1001**). A detailed statement supporting the petition follows.

The requisite fee accompanies this request. If any additional fee is necessary the Director is authorized to charge Deposit Account No. 16–0605. This document, together with all exhibits referenced herein, has been served on the patent owner at the address of record for the 141 patent, as well as on the counsel of record for the 141 patent, as reflected in the accompanying Certificate of Service.



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EXHIBIT LIST

1001	U.S. Patent No. 6,306,141 to Jervis
1002	U.S. Patent No. 4,512,338 to Balko et al.
1003	Schetky, <i>Shape Memory Alloys</i> , Kirk-Othmer Encyclopedia of Chemical Technology, Vol. 20 726-736 (3d Ed. 1982)
1004	U.S. Patent No. 4,503,569 to Dotter
1005	A. Cragg et al., Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire, Radiology, Vol. 147: 261-263 (April 1983)
1006	Japanese Patent Publication No. S58-46923 (filed Sept. 12, 1981; disclosed Mar. 18, 1983) to Miyauchi et al.
1007	Certified Translation of Japanese Patent Publication No. S58-46923 to Miyauchi et al.
1008	U.S. Patent No. 5,597,378 to Jervis
1009	Declaration of Scott M. Russell
1010	Curriculum Vitae of Scott M. Russell
1011	U.S. Patent No. 4,307,723 to Finney
1012	Prosecution History of U.S. Patent No. 6,306,141
1013	Prosecution History of U.S. Patent No. 5,597,378
1014	U.S. Patent No. 4,485,805 to Foster
1015	Certified Transcript of Deposition of Dr. Lee Middleman, Dec. 10-11, 2008.
1016	Inherent Properties Video Presentation by Mr. Scott M. Russell



1017 Double Patenting Video Presentation (Part 1) by Mr. Scott M. Russell C. Dotter et al., Transluminal Expandable Nitinol Coil Stent Grafting: 1018 Preliminary Report, Radiology, Vol. 147: 259 (April 1983) 1019 Otsuka et al., *Pseudoelastiticy*, 4 Metals Forum No. 3, 142 (Aus. Inst. of Metals 1981) 1020 Delaey, et al., *Thermoelasticity, pseudoelasticity and the memory* effects associated with martensitic transformations. Part 1: Structural and microstructural changes associated with the transformations, 9 Journal of Materials Science 1521 (1974) 1021 Krishnan, et al., Thermoplasticity, pseudoelastiticy and the memory effects associated with martensitic transformations. Part 2: The macroscopic mechanical behavior, 9 Journal of Materials Science 1536 (1974) 1022 U.S. Patent No. 3,890,977 to Wilson 1023 European Patent Publication No. 0129634 to Drettner 1024 Canadian Patent No. 1001034 to McWhorter 1025 U.S. Patent No. 4,401,433 to Luther 1026 Double Patenting Video Presentation (Part 1) by Mr. Scott M. Russell 1027 Kauffman et al., The Story of Nitinol: The Serendipitous Discovery of the Memory Metal and Its Applications, Vol. 2, No. 2 The Chemical Educator 1, 4-6 1028 Ling et al., Phase Transitions and Shape Memory in NiTi, 11A Metallurgica Transactions A 77, 77-79 (1980) 1029 Schetky, Shape-Memory Alloys, 241:5 Scientific American 74-82 (November 1979) 1030 Patel et al., Criterion for the Action of Applied Stress in the Martensitic Transformation, 1 Acta Metalurgica 531-538 (1953)



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