UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

AMAZON WEB SERVICES, INC.,
AMAZON.COM, INC. and VADATA, INC.,
Petitioners

v.

SRC LABS LLC and SAINT REGIS MOHAWK TRIBE,
Patent Owners

IPR2019-00103
Patent No. 7,149,867

PATENT OWNER SAINT REGIS MOHAWK TRIBE'S

MANDATORY NOTICES

PURSUANT TO 37 C.F.R. § 42.8(a)(3)



Under 37 C.F.R. § 42.8(a)(3), Patent Owner Saint Regis Mohawk Tribe hereby submits the following mandatory notices:

A. Real Party-In-Interest – 37 CFR § 42.8(b)(1)

Saint Regis Mohawk Tribe (the "Tribe") hereby makes a special appearance¹ as Patent Owner and Real Party-In-Interest. By making this special appearance, the Tribe is not consenting to the Board's jurisdiction or to this proceeding.

B. Related Matters – 37 C.F.R. § 42.8(b)(2)

The following judicial matters may affect or be affected by a decision in this proceeding:

— SRC Labs, LLC and Saint Regis Mohawk Tribe v. Amazon Web Services, Inc., Amazon.Com, Inc., and Vadata, Inc., Case No. 2:18-cv-00317-JLR, WDWA

¹ See *Friends of Amador County v. Salazar*, 554 Fed.Appx. 562, 564 (9th Cir. 2014) (tribe made special appearance before district court so as not to waive immunity to present Rule 19 motion); *Saginaw Chippewa Indian Tribe of Michigan v. NLRB*, 838 F.Supp.2d 598, 601 (E.D. Mich. 2011) (tribe made special appearance before NLRB to challenge jurisdiction on immunity grounds).



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C. Lead and Back-up Counsel – 37 C.F.R. § 42.8(b)(3)

The Tribe is represented in this proceeding by the following:

LEAD COUNSEL	BACKUP COUNSEL
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D. Electronic Service

The Tribe consents to service by email. Please address all correspondence and service to counsel as listed above.

Dated: November 9, 2018 Respectfully submitted,

/s/ Alfonso Chan

Alfonso Chan Reg. No. 45,964



CERTIFICATE OF SERVICE

The undersigned certifies that on November 9, 2018, a complete and entire copy of this PATENT OWNER SAINT REGIS MOHAWK TRIBE'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(3) was provided via electronic service to the Petitioner by serving the correspondence address of record as follows:

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