United States Patent and Trademark Office
Before the Patent Trial and Appeal Board

Intel Corporation
Petitioner,
v.
Qualcomm Incorporated
Patent Owner

Case Nos: IPR2019-00047, IPR2019-00048, and IPR2019-00049

Petitioner's Demonstrative Exhibits

***Inter Partes Review* of U.S. Patent No. 9,154,356**

April 7, 2020

Intel 1141
Intel v. Qualcomm
IPR2019-00048

Agenda

- Introduction
- Technology Background
- U.S. Patent No. 9,154,356
- Claim Construction
- Overview of Prior Art for IPR2019-00047
- Disputed Issues for IPR2019-00047
- Overview of Prior Art for IPR2019-00048 and IPR2019-00049
- Disputed Issues for IPR2019-00048 and IPR2019-00049

Introduction

Introduction: Previous '356 Patent IPRs

IPR2019-00128		
Grounds	**Reference(s)**	**Challenged Claims**
Ground I	Anticipated by *Lee*	1, 7, 8, 11, 17, and 18
Ground II	Obvious over *Lee*	7 and 8
Ground III	Obvious over *Lee* in view of *Feasibility Study*	1, 7, 8, 11, 17, and 18

IPR2019-00129		
Grounds	**Reference(s)**	**Challenged Claims**
Ground I	Anticipated by *Lee*	2-6
Ground II	Obvious over *Lee* in view of *Youssef*	10
Ground III	Obvious over *Lee* in view of *Feasibility Study*	2-6
Ground IV	Obvious over *Lee* in view of *Feasibility Study* and *Youssef*	10

-00128 IPR, Paper 9 (Institution Decision) at 32; -00129 IPR, Paper 9 (Institution Decision) at 35-36

Introduction: Instituted Grounds

IPR2019-00047		
Grounds	**Reference(s)**	**Challenged Claims**
Ground I	Claims 1, 11, 17, 18	Anticipated by Uehara
Ground II	Claims 7 and 8	Obvious over Uehara in view of Perumana
Ground III	Claim 10	Obvious over Uehara in view of Youssef
Ground IV	Claims 1, 11, 17, 18	Obvious over Uehara in view of Feasibility Study
Ground V	Claims 7 and 8	Obvious over Uehara in view of Feasibility Study and Perumana
Ground VI	Claim 10	Obvious over Uehara in view of Feasibility Study and Youssef

-00047 IPR, Paper 8 (Institution Decision) at 35-36

Introduction: Instituted Grounds

IPR2019-00048		
Grounds	**Reference(s)**	**Challenged Claims**
Ground I	Claims 1, 17, 18	Obvious over Jeon in view of Xiong
Ground II	Claims 9 and 10	Obvious over Jeon in view of Xiong and Youssef
Ground III	Claims 1, 17, 18	Obvious over Jeon in view of Xiong and Feasibility Study
Ground IV	Claims 9 and 10	Obvious over Jeon in view of Xiong, Feasibility Study, and Youssef

IPR2019-00049		
Grounds	**Reference(s)**	**Challenged Claims**
Ground I	Claims 2-8, 11	Obvious over Jeon in view of Xiong
Ground II	Claims 2-8, 11	Obvious over Jeon in view of Xiong and Feasibility Study

-00048 IPR, Paper 8 (Institution Decision) at 20; -00049 IPR, Paper 8 (Institution Decision) at 19

Introduction: Prior Adjudication

ITC Markman Order

In spite of this explanation with examples, Qualcomm and Staff make an unusual argument that the construction of "carrier aggregation" should incorporate language not used to describe "carrier aggregation" in the '356 patent specification. (SIMBr. at 12

A bandwidth limitation like the one proposed by Staff and Qualcomm would steer "carrier aggregation" away from how the applicant characterized the invention and toward prior art the applicant distinguished from the invention. Moreover, as mentioned

-00047 IPR, Ex. 1036 (Markman CC Order) Appx.A at 24, 27 (annotated)

Technology Background

Technology Background: Wireless System

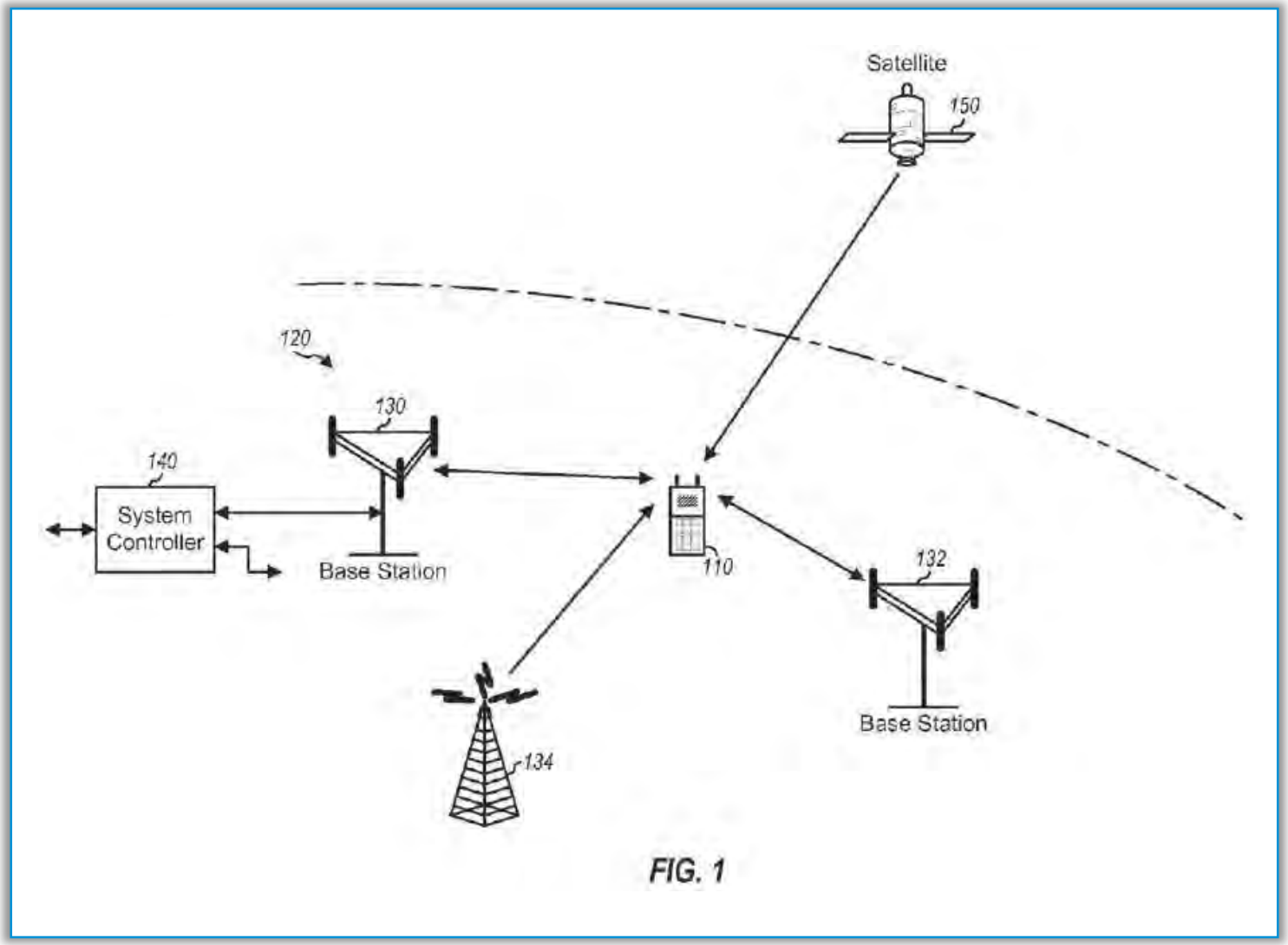
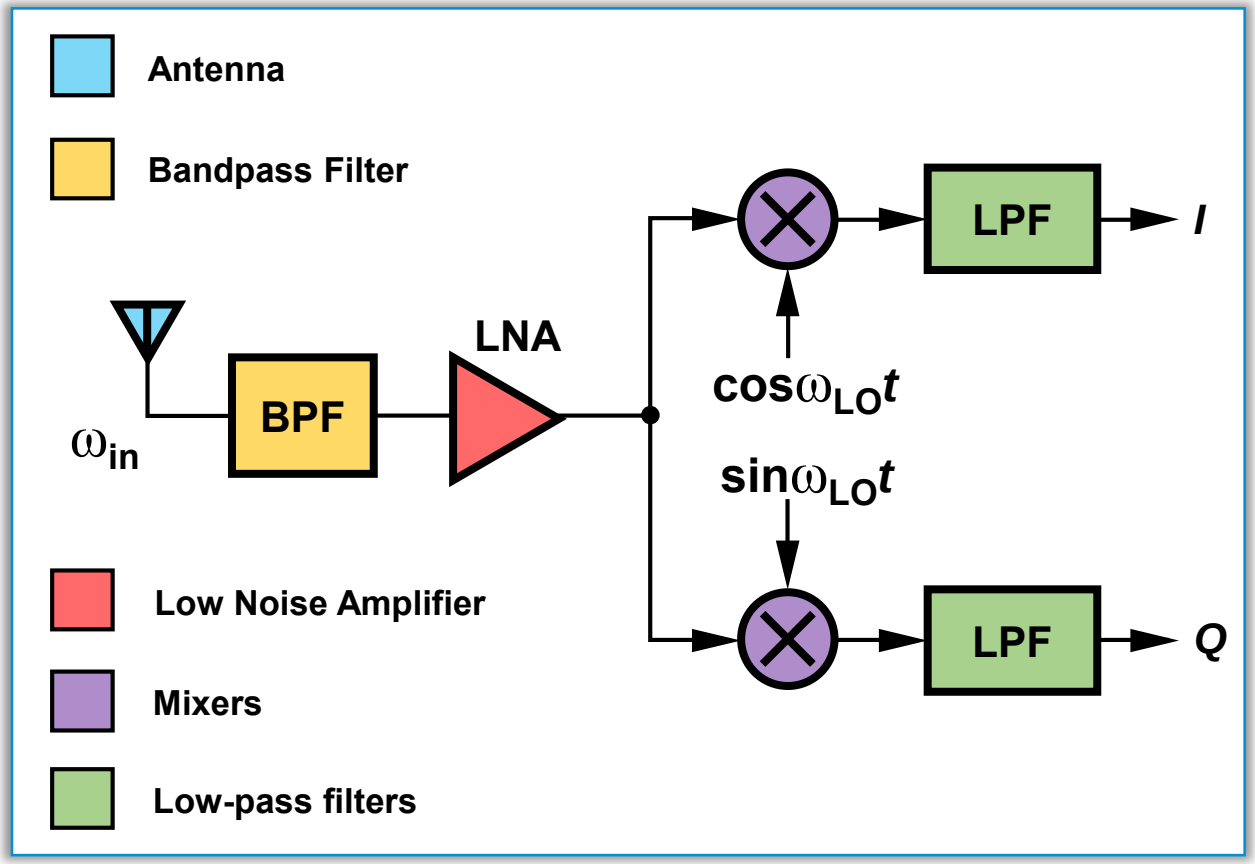


FIG. 1

-00047 IPR, Ex. 1001 ('356 Patent) Fig. 1

Technology Background: Basic Receiver



- "antenna for receiving signals"
- "low noise amplifier for amplifying the signals"
- "mixers for down conversion"
- "various filters for removing undesired signals"

-00047 IPR, Ex. 1002 (Fay Decl.) ¶ 33

Technology Background: Carrier Aggregation

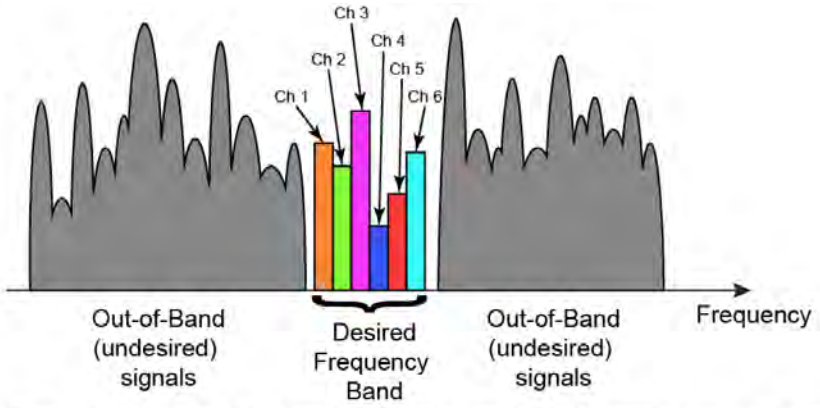


Figure 2. An input RF signal containing multiple frequencies as collected by the antenna.

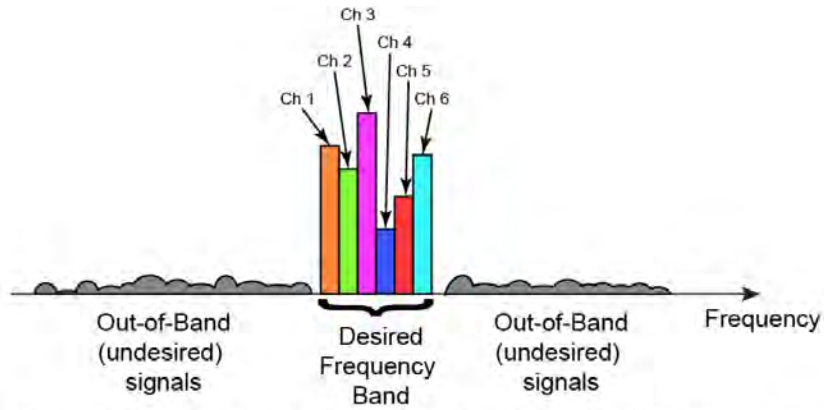
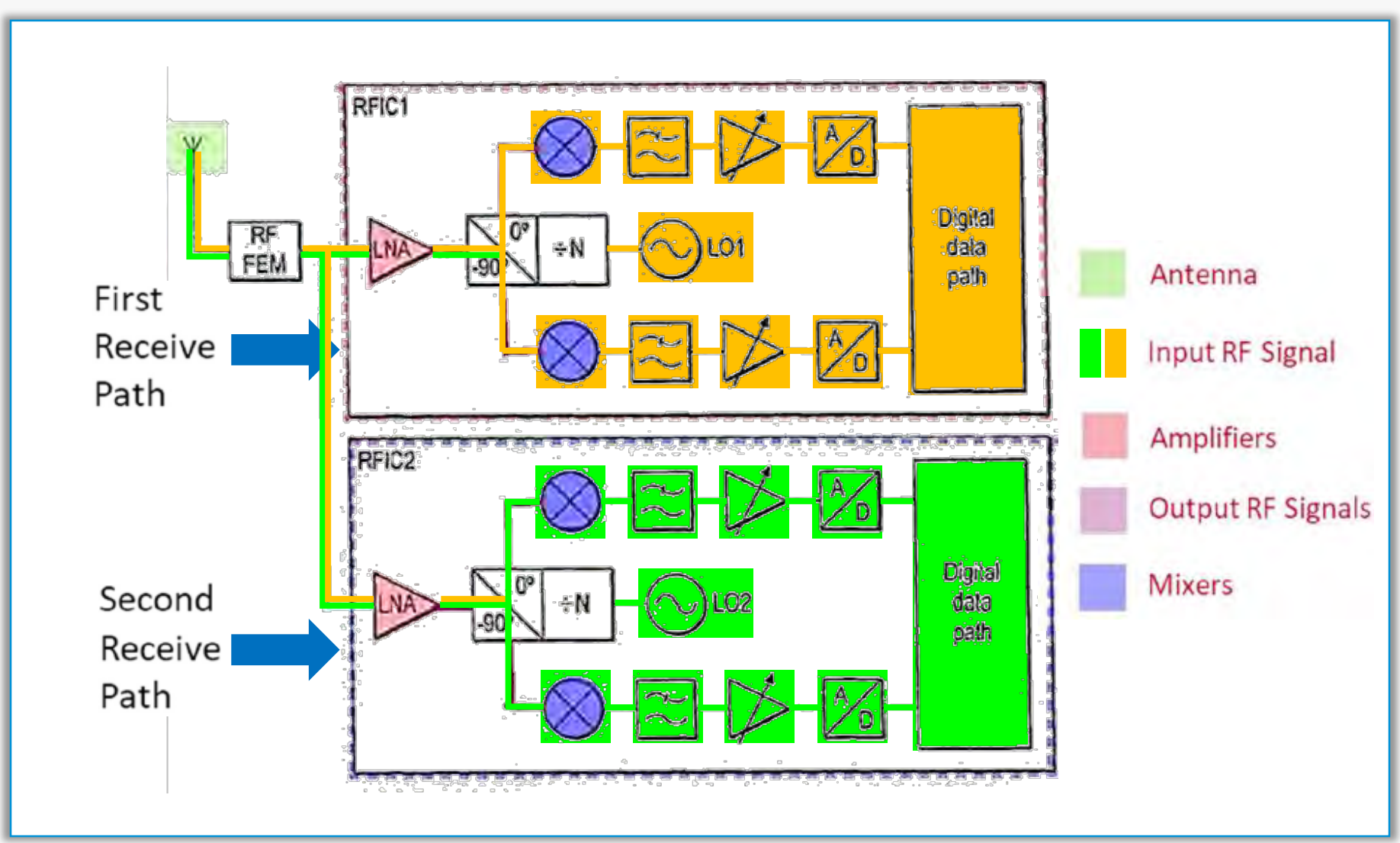


Figure 3. Frequencies in an input RF signal after the BPF attenuates out-of-band carriers and the LNA amplifies the remaining frequencies.

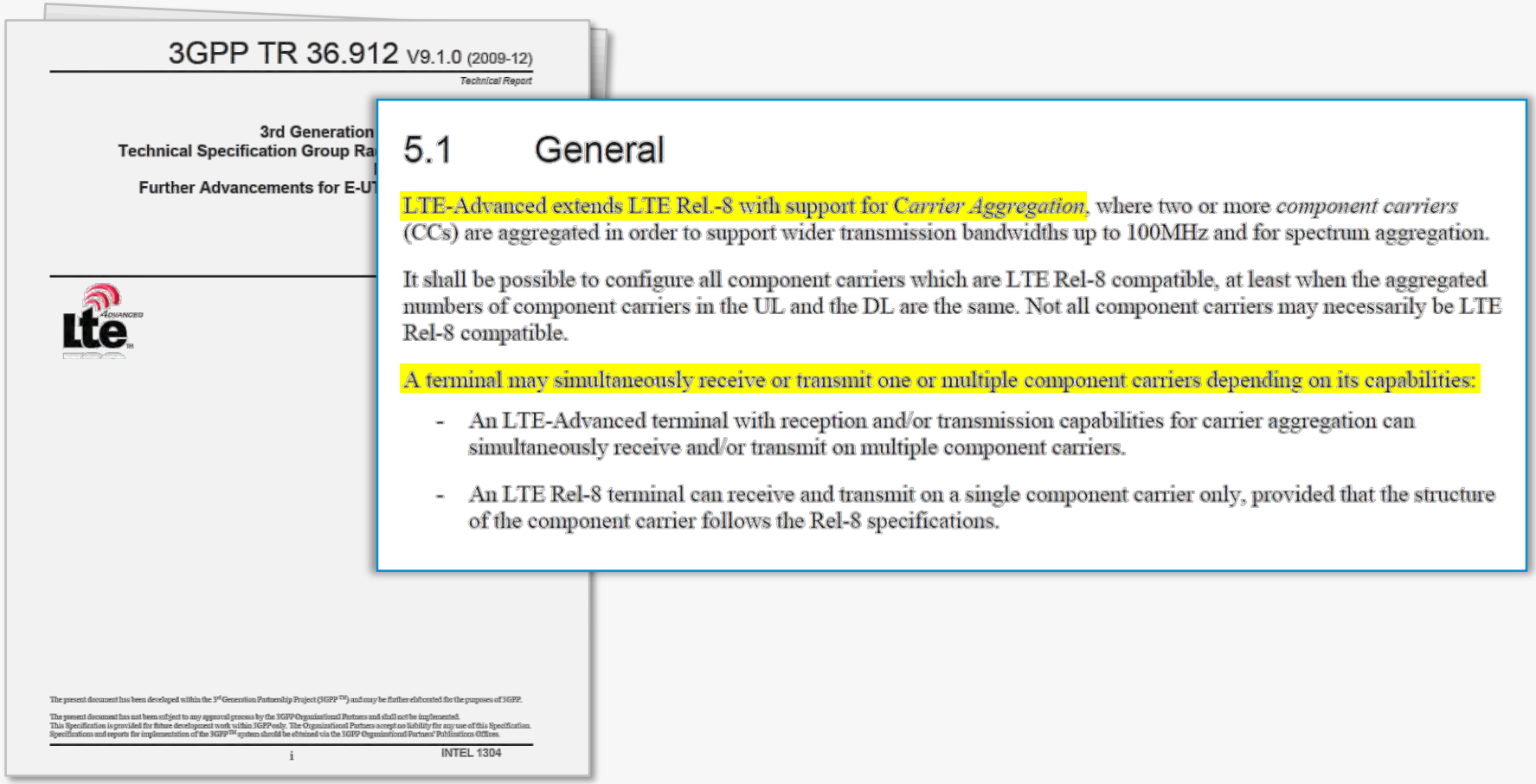
-00047 IPR, Paper 3 (Petition) at 9-10, Figs. 2, 3

Technology Background: Carrier Aggregation



-00047 IPR, Paper 3 (Petition) at 15, Fig. 7 (annotated); see also -00047 IPR, Ex. 1025 (Kaukovuori), Fig. 15

Technology Background: Carrier Aggregation



3GPP TR 36.912 V9.1.0 (2009-12)

Technical Report

3rd Generation
Technical Specification Group Ra

Further Advancements for E-UT

The present document has been developed within the 3rd Generation Partnership Project (3GPP™) and may be further elaborated for the purposes of 3GPP.

The present document has not been subject to any approval process by the 3GPP Organizational Partners and shall not be implemented.
This Specification is provided for future development work within 3GPP only. The Organizational Partners accept no liability for any use of this Specification.
Specifications and reports for implementation of the 3GPP™ system should be obtained via the 3GPP Organizational Partners' Publications Offices.

i

INTEL 1304

5.1 General

LTE-Advanced extends LTE Rel.-8 with support for *Carrier Aggregation*, where two or more *component carriers* (CCs) are aggregated in order to support wider transmission bandwidths up to 100MHz and for spectrum aggregation.

It shall be possible to configure all component carriers which are LTE Rel-8 compatible, at least when the aggregated numbers of component carriers in the UL and the DL are the same. Not all component carriers may necessarily be LTE Rel-8 compatible.

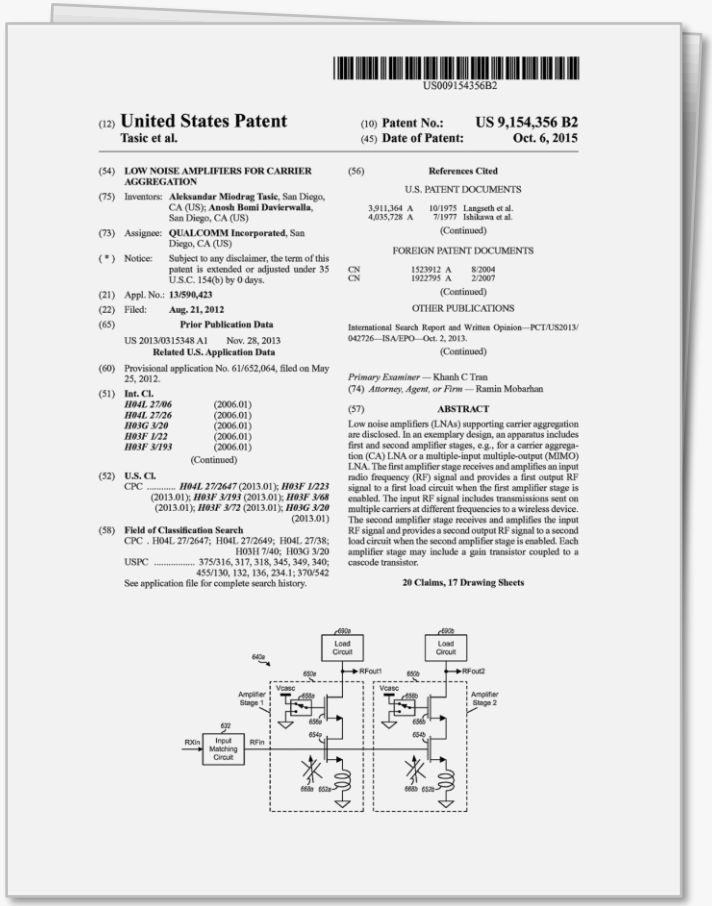
A terminal may simultaneously receive or transmit one or multiple component carriers depending on its capabilities:

- An LTE-Advanced terminal with reception and/or transmission capabilities for carrier aggregation can simultaneously receive and/or transmit on multiple component carriers.
- An LTE Rel-8 terminal can receive and transmit on a single component carrier only, provided that the structure of the component carrier follows the Rel-8 specifications.

-00047 IPR, Ex. 1004 (Feasibility Study) at 8, 9 (annotated)

U.S. Patent No. 9,154,356

U.S. Patent No. 9,154,356



US009154356B2

(12) **United States Patent**
Tasic et al.

(10) **Patent No.: US 9,154,356 B2**
(45) **Date of Patent: Oct. 6, 2015**

(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Bomi Davierwalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/590,423**

(22) Filed: **Aug. 21, 2012**

(65) **Prior Publication Data**

US 2013/0315348 A1 Nov. 28, 2013

Related U.S. Application Data

(60) Provisional application No. 61/652,064, filed on May 25, 2012.

(51) **Int. Cl.**

H04L 27/06	(2006.01)
H04L 27/26	(2006.01)
H03G 3/20	(2006.01)
H03F 1/22	(2006.01)
H03F 3/193	(2006.01)

(Continued)

(52) **U.S. Cl.**

CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**

CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20

USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542

See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

3,911,364	A	10/1975	Langseth et al.
4,035,728	A	7/1977	Ishikawa et al.

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CN		1922795 A	2/2007

(Continued)

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(Continued)

Primary Examiner — Khanh C Tran
(74) *Attorney, Agent, or Firm* — Ramin Mobarhan

(57) **ABSTRACT**

Low noise amplifiers (LNAs) supporting carrier aggregation are disclosed. In an exemplary design, an apparatus includes first and second amplifier stages, e.g., for a carrier aggregation (CA) LNA or a multiple-input multiple-output (MIMO) LNA. The first amplifier stage receives and amplifies an input radio frequency (RF) signal and provides a first output RF signal to a first load circuit when the first amplifier stage is enabled. The input RF signal includes transmissions sent on multiple carriers at different frequencies to a wireless device. The second amplifier stage receives and amplifies the input RF signal and provides a second output RF signal to a second load circuit when the second amplifier stage is enabled. Each amplifier stage may include a gain transistor coupled to a cascode transistor.

20 Claims, 17 Drawing Sheets

(10) **Patent No.: US 9,154,356 B2**
(45) **Date of Patent: Oct. 6, 2015**

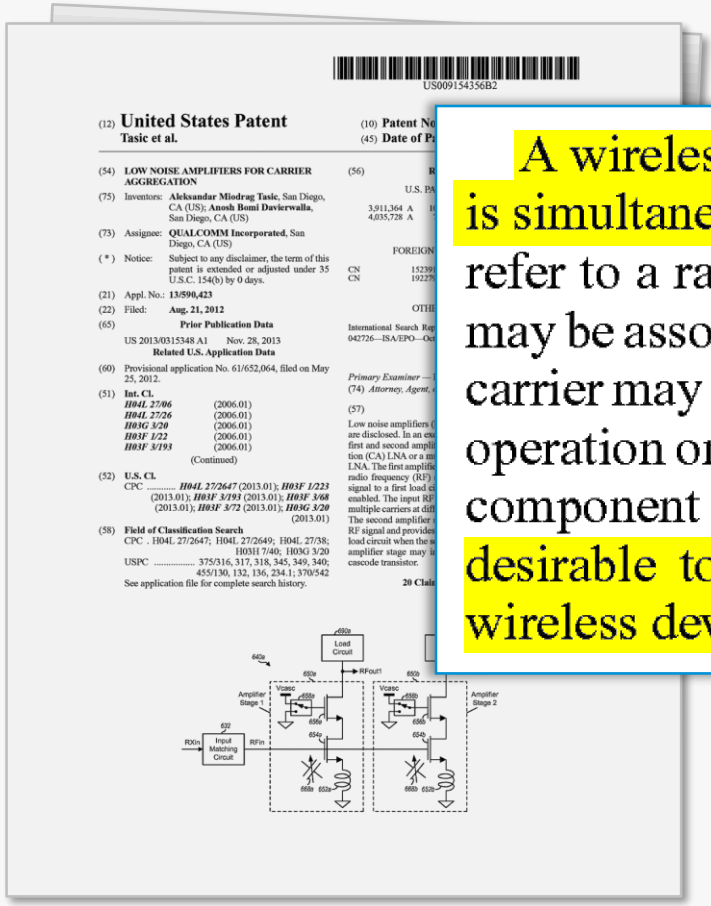
(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(57) **ABSTRACT**

Low noise amplifiers (LNAs) supporting carrier aggregation are disclosed. In an exemplary design, an apparatus includes first and second amplifier stages, e.g., for a carrier aggregation (CA) LNA or a multiple-input multiple-output (MIMO) LNA. The first amplifier stage receives and amplifies an input radio frequency (RF) signal and provides a first output RF signal to a first load circuit when the first amplifier stage is enabled. The input RF signal includes transmissions sent on multiple carriers at different frequencies to a wireless device. The second amplifier stage receives and amplifies the input RF signal and provides a second output RF signal to a second load circuit when the second amplifier stage is enabled. Each amplifier stage may include a gain transistor coupled to a cascode transistor.

-00047 IPR, Ex. 1001 ('356 Patent) at Cover, Abstract

'356 Patent: Alleged Problem in the Prior Art


US009154356B2

(12) **United States Patent**
Tasic et al.

(10) Patent No
(45) Date of P

(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Bomi Davierwalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

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(51) **Int. Cl.**
H04L 27/06 (2006.01)
H04L 27/26 (2006.01)
H03G 3/20 (2006.01)
H03F 1/22 (2006.01)
H03F 3/193 (2006.01)
(Continued)

(52) **U.S. Cl.**
CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**
CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20
USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542
See application file for complete search history.

(56)

U.S. PA
3,911,364 A
4,035,728 A

FOREIGN
CN
CN

OTH
International Search Rep
042726—ISA/EPO—Oc

Primary Examiner
(74) *Attorney, Agent,*

(57)
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are disclosed. In an ex
first and second ampli
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LNA. The first amplifie
radio frequency (RF)
signal to a first load ci
enabled. The input RF
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The second amplifier
RF signal and provides
load circuit when the w
amplifier stage may i
cascode transistor.

20 Clai

A wireless device may support carrier aggregation, which is simultaneous operation on multiple carriers. A carrier may refer to a range of frequencies used for communication and may be associated with certain characteristics. For example, a carrier may be associated with system information describing operation on the carrier. A carrier may also be referred to as a component carrier (CC), a frequency channel, a cell, etc. It is desirable to efficiently support carrier aggregation by the wireless device.

-00047 IPR, Ex. 1001 ('356 Patent) at 1:32-40 (annotated)

'356 Patent: File History

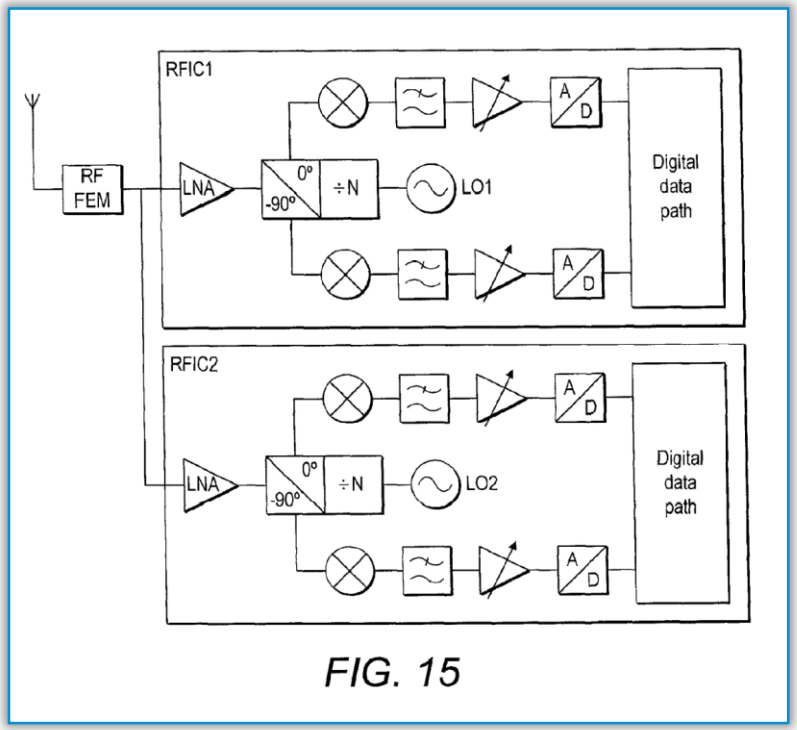


FIG. 15

-00047 IPR, Ex. 1025 (Kaukovouri) at Fig. 15

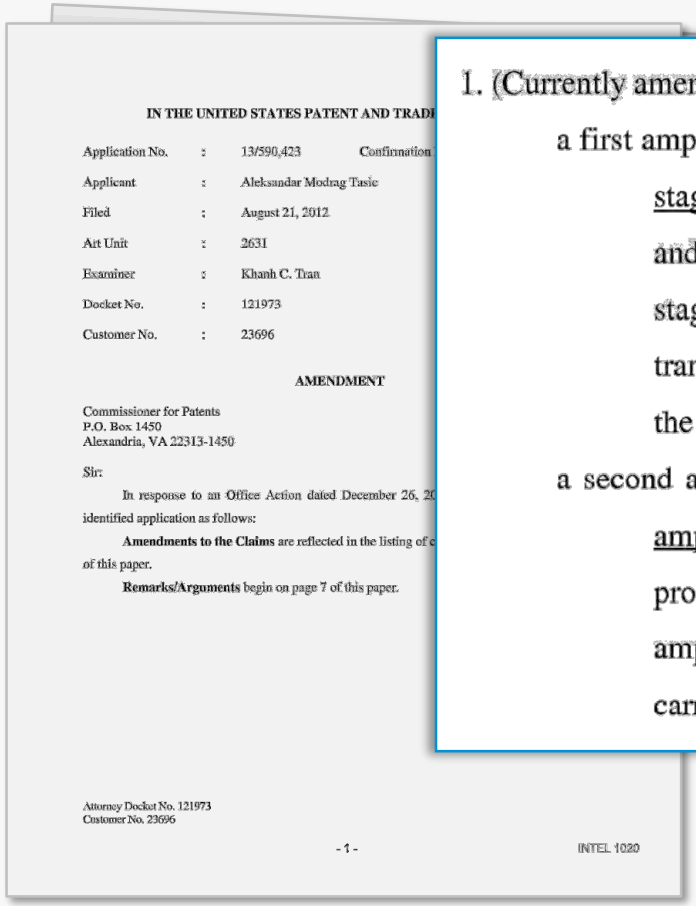
3. Claims 1, 11-12, 14 and 17 are rejected under pre-AIA 35 U.S.C. 102(e) as being anticipated by Kaukovuori et al. U.S. Patent 8,442,473.

Regarding claim 1, Kaukovuori et al. discloses an apparatus (FIG. 15 embodiment) comprising:

a first amplifier stage configured to receive and amplify an input radio frequency (RF) signal and provide a first output RF signal to a first load circuit when the first amplifier stage is enabled, the input RF signal employing carrier aggregation comprising transmissions sent on multiple carriers at different frequencies to a wireless device, the

-00047 IPR, Ex. 1016 at 2 (annotated)

'356 Patent: File History



IN THE UNITED STATES PATENT AND TRADE

Application No.	:	13/590,423 Confirmation
Applicant	:	Aleksandar Modrag Tasic
Filed	:	August 21, 2012
Art Unit	:	2631
Examiner	:	Khanh C. Tran
Docket No.	:	121973
Customer No.	:	23696

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to an Office Action dated December 26, 20 identified application as follows:

Amendments to the Claims are reflected in the listing of c of this paper.

Remarks/Arguments begin on page 7 of this paper.

Attorney Docket No. 121973
Customer No. 23696

- 1 -

INTEL 1020

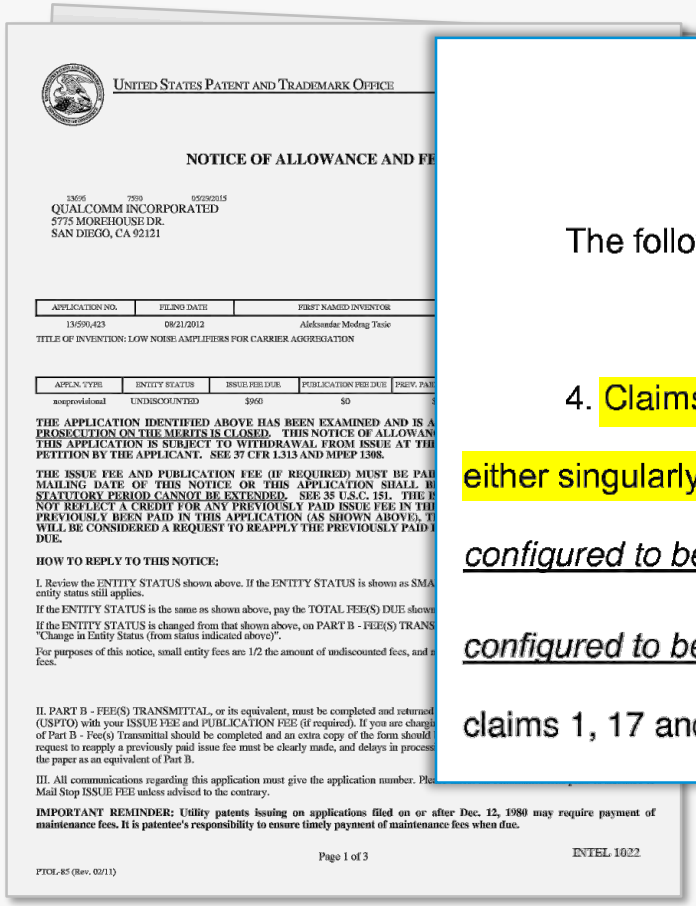
1. (Currently amended) An apparatus comprising:

a first amplifier stage configured to be independently enabled or disabled, the first amplifier stage further configured to receive and amplify an input radio frequency (RF) signal and provide a first output RF signal to a first load circuit when the first amplifier stage is enabled, the input RF signal employing carrier aggregation comprising transmissions sent on multiple carriers at different frequencies to a wireless device, the first output RF signal including at least a first carrier of the multiple carriers; and

a second amplifier stage configured to be independently enabled or disabled, the second amplifier stage further configured to receive and amplify the input RF signal and provide a second output RF signal to a second load circuit when the second amplifier stage is enabled, the second output RF signal including at least a second carrier of the multiple carriers different than the first carrier.

-00047 IPR, Ex. 1020 at 2 (annotated)

'356 Patent: File History



UNITED STATES PATENT AND TRADEMARK OFFICE

NOTICE OF ALLOWANCE AND FE

13695 7590 05/29/2015

QUALCOMM INCORPORATED
5775 MOREHOUSE DR.
SAN DIEGO, CA 92121

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR
13/590,423	08/21/2012	Aleksandar Modrag Tesic

TITLE OF INVENTION: LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAI
nonprovisional	UNDISCOUNTED	$960	$0	

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS A
PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWAN
THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THI
PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAI
MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL. B
STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE I
NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THI
PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), T
WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID I
DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMA
entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANS
"Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and
fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned
(USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are changi
of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should
request to reapply a previously paid issue fee must be clearly made, and delays in process
the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Ple
Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

INTEL 1022

PTOL-85 (Rev. 02/11)

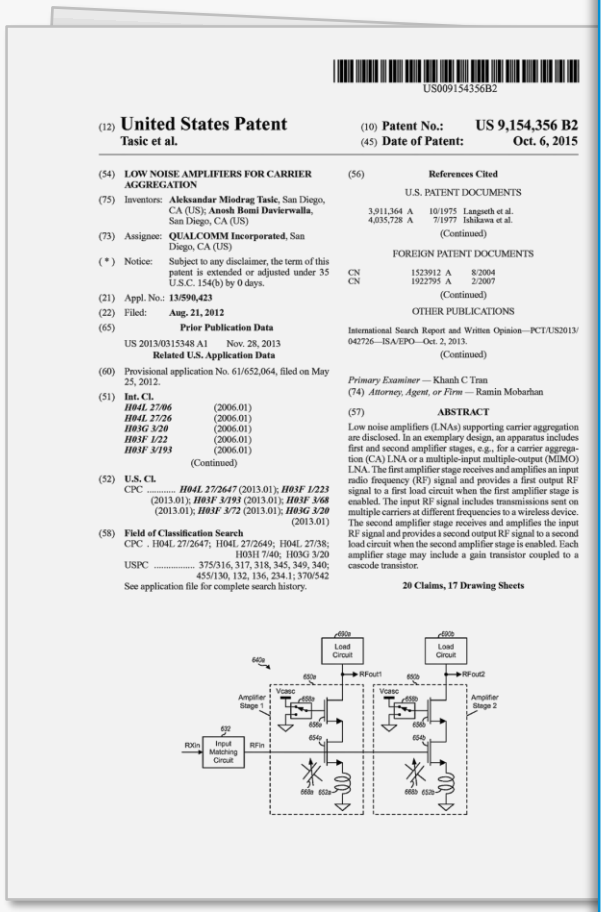
Reasons for Allowance

The following is an examiner's statement of reasons for allowance:

4. Claims are allowable over prior art of record because the cited references either singularly or in combination cannot teach or suggest "*a first amplifier stage configured to be independently enabled or disabled …*" and "*a second amplifier stage configured to be independently enabled or disabled …*" as set forth in the independent claims 1, 17 and 19.

-00047 IPR, Ex. 1022 at 4-5 (annotated)

'356 Patent: Overview of Claim 1



US009154356B2

(12) **United States Patent**
Tasic et al.

(10) **Patent No.: US 9,154,356 B2**
(45) **Date of Patent: Oct. 6, 2015**

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H04L 27/26 (2006.01)
H03G 3/20 (2006.01)
H03F 1/22 (2006.01)
H03F 3/193 (2006.01)
(Continued)

(52) **U.S. Cl.**
CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**
CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20
USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542
See application file for complete search history.

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U.S. PATENT DOCUMENTS

3,911,364 A 10/1975 Langseth et al.
4,035,728 A 7/1977 Ishikawa et al.
(Continued)

FOREIGN PATENT DOCUMENTS

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CN 1922795 A 2/2007
(Continued)

OTHER PUBLICATIONS

International Search Report and Written Opinion—PCT/US2013/042726—ISA/EPO—Oct. 2, 2013.
(Continued)

Primary Examiner — Khanh C Tran
(74) *Attorney, Agent, or Firm* — Ramin Mobarhan

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20 Claims, 17 Drawing Sheets

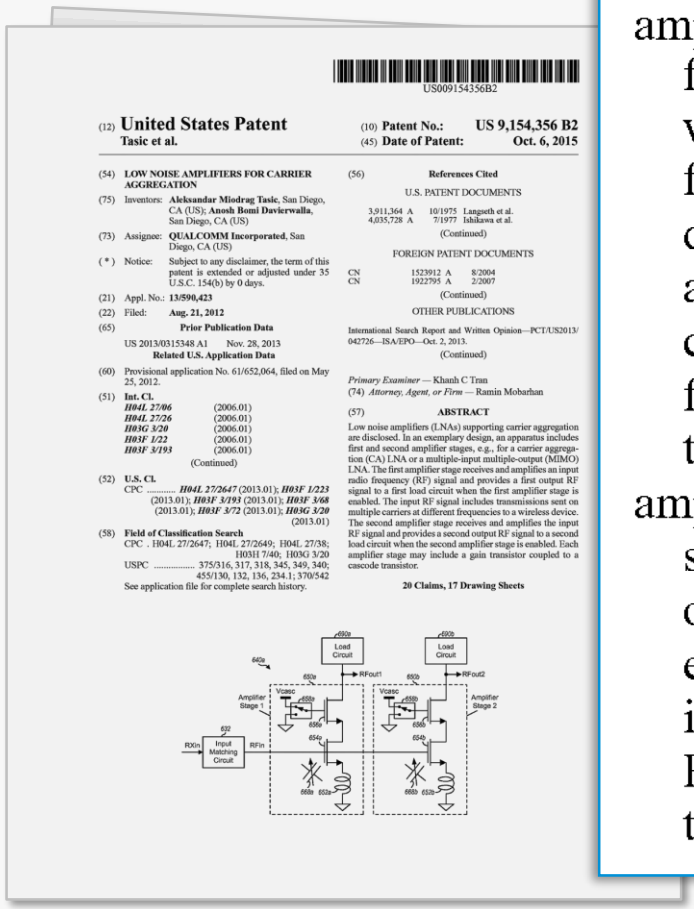
1. An apparatus comprising:

a first amplifier stage configured to be independently enabled or disabled, the first amplifier stage further configured to receive and amplify an input radio frequency (RF) signal and provide a first output RF signal to a first load circuit when the first amplifier stage is enabled, the input RF signal employing carrier aggregation comprising transmissions sent on multiple carriers at different frequencies to a wireless device, the first output RF signal including at least a first carrier of the multiple carriers; and

a second amplifier stage configured to be independently enabled or disabled, the second amplifier stage further configured to receive and amplify the input RF signal and provide a second output RF signal to a second load circuit when the second amplifier stage is enabled, the second output RF signal including at least a second carrier of the multiple carriers different than the first carrier.

-00047 IPR, Ex. 1001 ('356 Patent) Claim 1

'356 Patent: Overview of Claim 17



US009154356B2

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(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Bomi Davierwalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/590,423**

(22) Filed: **Aug. 21, 2012**

(65) **Prior Publication Data**

US 2013/0315348 A1 Nov. 28, 2013

Related U.S. Application Data

(60) Provisional application No. 61/652,064, filed on May 25, 2012.

(51) **Int. Cl.**

H04L 27/06	(2006.01)
H04L 27/26	(2006.01)
H03G 3/20	(2006.01)
H03F 1/22	(2006.01)
H03F 3/193	(2006.01)

(Continued)

(52) **U.S. Cl.**

CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**

CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20

USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542

See application file for complete search history.

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4,035,728 A 7/1977 Ishikawa et al.
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(Continued)

Primary Examiner — Khanh C Tran
(74) *Attorney, Agent, or Firm* — Ramin Mobarhan

(57) **ABSTRACT**

Low noise amplifiers (LNAs) supporting carrier aggregation are disclosed. In an exemplary design, an apparatus includes first and second amplifier stages, e.g., for a carrier aggregation (CA) LNA or a multiple-input multiple-output (MIMO) LNA. The first amplifier stage receives and amplifies an input radio frequency (RF) signal and provides a first output RF signal to a first load circuit when the first amplifier stage is enabled. The input RF signal includes transmissions sent on multiple carriers at different frequencies to a wireless device. The second amplifier stage receives and amplifies the input RF signal and provides a second output RF signal to a second load circuit when the second amplifier stage is enabled. Each amplifier stage may include a gain transistor coupled to a cascode transistor.

20 Claims, 17 Drawing Sheets

17. A method comprising:

amplifying a first input radio frequency (RF) signal with a first amplifier stage to obtain a first output RF signal when the first amplifier stage is enabled, the first amplifier stage configured to be independently enabled or disabled, the first input RF signal employing carrier aggregation comprising transmissions sent on multiple carriers at different frequencies to a wireless device, the first output RF signal including at least a first carrier of the multiple carriers; and

amplifying the first input RF signal or a second input RF signal with a second amplifier stage to obtain a second output RF signal when the second amplifier stage is enabled, the second amplifier stage configured to be independently enabled or disabled, the second output RF signal including at least a second carrier of the multiple carriers different than the first carrier.

-00047 IPR, Ex. 1001 ('356 Patent), Claim 17

Overview of '356 Patent

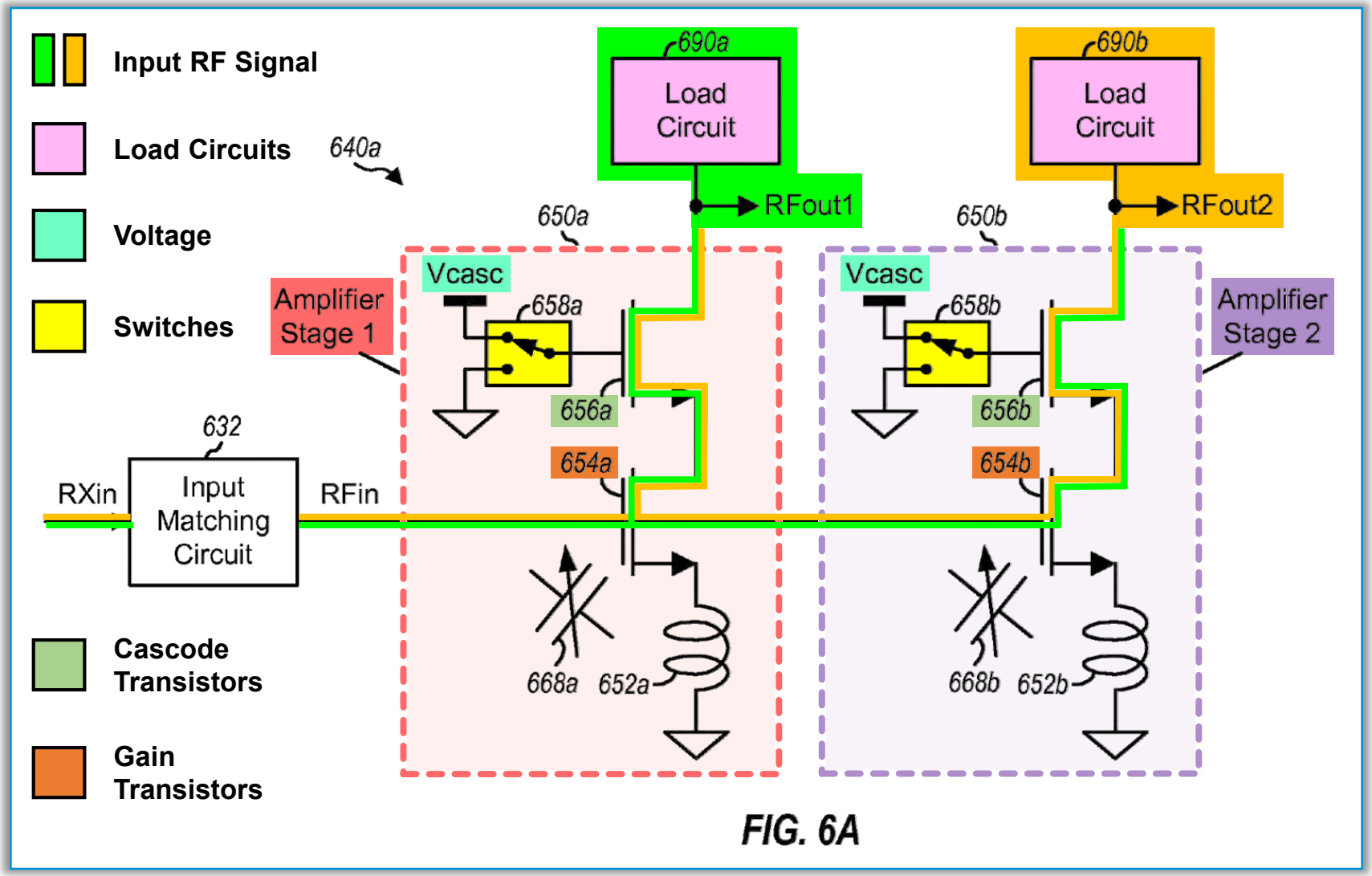


FIG. 6A

-00047 IPR, Paper 3 (Petition) at 23; -00047 IPR, Ex. 1001 ('356 Patent), Fig. 6A (annotated)

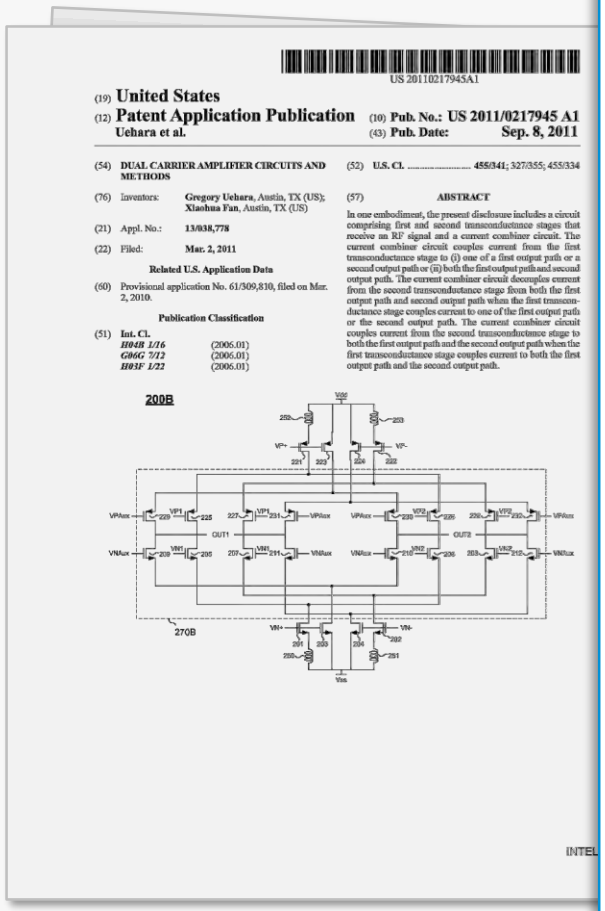
Claim Construction of "Carrier Aggregation"

Claim Construction: "Carrier Aggregation"

"carrier aggregation"	
Petitioner	**Patent Owner**
"simultaneous operation on multiple carriers"	"[1] simultaneous operation on multiple carriers [2] that are combined as a single virtual channel [3] to provide higher bandwidth"

-00047 IPR, Paper 3 (Petition) at 30-34; -00047 IPR, Paper 12 (POR) at 11-31

Claim Construction: "Carrier Aggregation"



US 20110217945A1

(19) **United States**

(12) **Patent Application Publication** (10) **Pub. No.: US 2011/0217945 A1**

Uehara et al. (43) **Pub. Date: Sep. 8, 2011**

(54) **DUAL CARRIER AMPLIFIER CIRCUITS AND METHODS**

(52) **U.S. Cl.** 455/341; 327/355; 455/334

(76) Inventors: **Gregory Uehara**, Austin, TX (US); **Xiaohua Fan**, Austin, TX (US)

(57) **ABSTRACT**

In one embodiment, the present disclosure includes a circuit comprising first and second transconductance stages that receive an RF signal and a current combiner circuit. The current combiner circuit couples current from the first transconductance stage to (i) one of a first output path or a second output path or (ii) both the first output path and second output path. The current combiner circuit decouples current from the second transconductance stage from both the first output path and second output path when the first transconductance stage couples current to one of the first output path or the second output path. The current combiner circuit couples current from the second transconductance stage to both the first output path and the second output path when the first transconductance stage couples current to both the first output path and the second output path.

(21) Appl. No.: **13/038,778**

(22) Filed: **Mar. 2, 2011**

Related U.S. Application Data

(60) Provisional application No. 61/309,810, filed on Mar. 2, 2010.

Publication Classification

(51) **Int. Cl.**
H04B 1/16 (2006.01)
G06G 7/12 (2006.01)
H03F 1/22 (2006.01)

200B

270B

INTEL

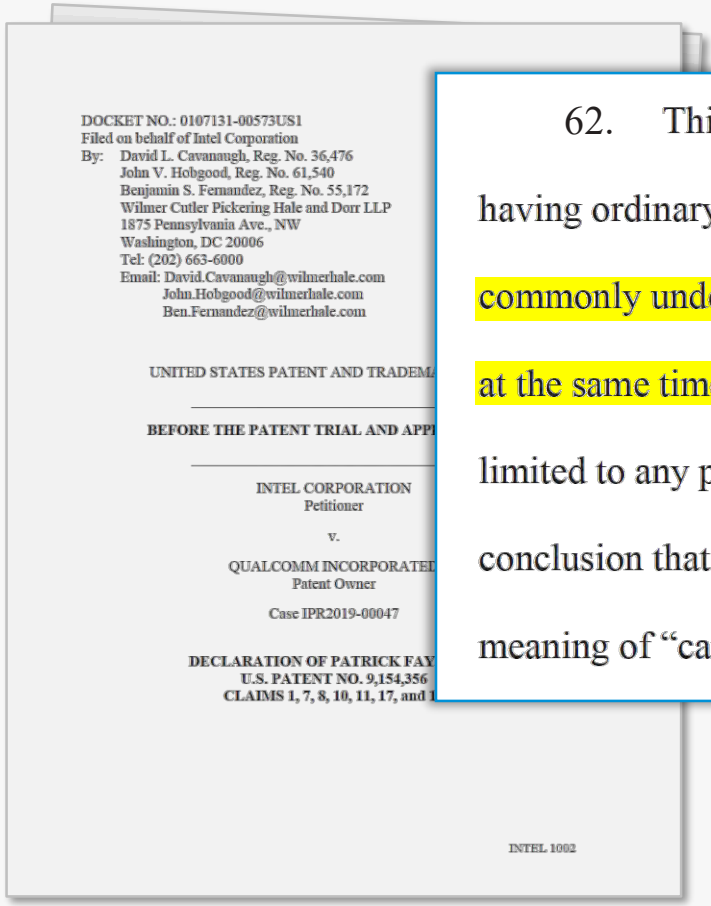
1. An apparatus comprising:

a first amplifier stage configured to be independently enabled or disabled, the first amplifier stage further configured to receive and amplify an input radio frequency (RF) signal and provide a first output RF signal to a first load circuit when the first amplifier stage is enabled, the input RF signal employing carrier aggregation comprising transmissions sent on multiple carriers at different frequencies to a wireless device, the first output RF signal including at least a first carrier of the multiple carriers; and

a second amplifier stage configured to be independently enabled or disabled, the second amplifier stage further configured to receive and amplify the input RF signal and provide a second output RF signal to a second load circuit when the second amplifier stage is enabled, the second output RF signal including at least a second carrier of the multiple carriers different than the first carrier.

-00047 IPR, Ex. 1001 ('356 Patent), Claim 1 (annotated)

Claim Construction: "Carrier Aggregation"



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UNITED STATES PATENT AND TRADEMA

BEFORE THE PATENT TRIAL AND APPI

INTEL CORPORATION
Petitioner

v.

QUALCOMM INCORPORATED
Patent Owner

Case IPR2019-00047

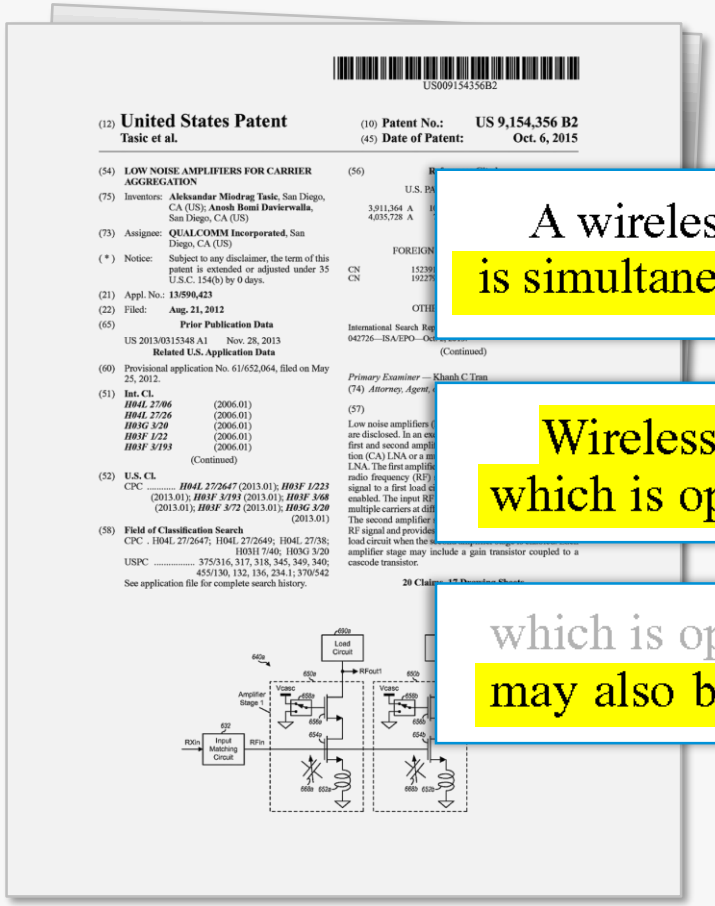
DECLARATION OF PATRICK FAY
U.S. PATENT NO. 9,154,356
CLAIMS 1, 7, 8, 10, 11, 17, and 1

INTEL 1002

62. This construction is consistent with the understanding of persons having ordinary skill in the art. As described above, carrier aggregation is commonly understood to mean sending data to or from a radio on multiple carriers at the same time. Carrier aggregation is known to have multiple uses and is not limited to any particular use. In light of this multi-purpose operation, it is my conclusion that "simultaneous operation on multiple carriers" captures the meaning of "carrier aggregation" to a person having ordinary skill in the art.

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶ 62 (annotated)

Claim Construction: "Carrier Aggregation"



US009154356B2

(12) **United States Patent**
Tasic et al.

(10) **Patent No.: US 9,154,356 B2**
(45) **Date of Patent: Oct. 6, 2015**

(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Bomi Davierwalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/590,423**

(22) Filed: **Aug. 21, 2012**

(65) **Prior Publication Data**

US 2013/0315348 A1 Nov. 28, 2013

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(51) **Int. Cl.**
H04L 27/06 (2006.01)
H04L 27/26 (2006.01)
H03G 3/20 (2006.01)
H03F 1/22 (2006.01)
H03F 3/193 (2006.01)
(Continued)

(52) **U.S. Cl.**
CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**
CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20
USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542
See application file for complete search history.

(56) [illegible]
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FOREIGN
CN
CN
OTH
International Search Rep
042726—ISA/EPO—Oc
(Continued)

Primary Examiner — Khanh C Tran
(74) *Attorney, Agent,*

(57)
Low noise amplifiers (
are disclosed. In an ex
first and second amplif
tion (CA) LNA or a m
LNA. The first amplifie
radio frequency (RF)
signal to a first load ci
enabled. The input RF
multiple carriers at diff
The second amplifier
RF signal and provides
load circuit when the
amplifier stage may include a gain transistor coupled to a cascode transistor.

20 Claim

A wireless device may support carrier aggregation, which is simultaneous operation on multiple carriers. A carrier may

Wireless device **110** may support carrier aggregation, which is operation on multiple carriers. Carrier aggregation

which is operation on multiple carriers. Carrier aggregation may also be referred to as multi-carrier operation. Wireless

-00047 IPR, Ex. 1001 ('356 Patent) at 1:32-33, 2:53-55 (annotated)

Claim Construction: "Carrier Aggregation"

- ***Phillips v. AWH Corp.,***
  415 F.3d 1303, 1315 (Fed. Cir. 2005) (en banc)
  - "[T]he specification is always highly relevant to the claim construction analysis. Usually, it is dispositive; it is the single best guide to the meaning of a disputed term." (internal quotation marks omitted)

Claim Construction: "Carrier Aggregation"

ITC Construction of "Carrier Aggregation" Under *Phillips*

In sum, free from artificial limitations, the proper construction of "carrier aggregation" comes straight from the specification of the '356 patent: "simultaneous operation on multiple carriers."

-00047 IPR, Ex. 1036 (Markman CC Order) Appx.A at 30 (annotated)

- ***Rembrandt Wireless Techs., L.P. v. Samsung Elecs. Co.,*** 853 F.3d 1370, 1377 (Fed. Cir. 2017)
  - "the Board in IPR proceedings operates under a broader claim construction standard than the federal courts"

-00047 IPR, Paper 19 (Petitioner's Reply to POR) at 2

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm's proposed construction is "simultaneous operation on multiple carriers that are combined as a single virtual channel to provide higher bandwidth"

"simultaneous operation on multiple carriers"

A wireless device may support carrier aggregation, which is simultaneous operation on multiple carriers. A carrier may

-00047 IPR, Ex. 1001 ('356 Patent) at 1:32-33 (annotated)

"that are combined as a single virtual channel to provide higher bandwidth"

- "single virtual channel" and "higher bandwidth" do not appear in the '356 specification

-00047 IPR, Paper 19 (Petitioner's Reply to POR) at 4

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm argues that the '356 specification's statements regarding "carrier aggregation" do not meet the standard for lexicography

Phillips v. AWH Corp.

"[T]he specification is always highly relevant to the claim construction analysis. Usually, it is dispositive; it is the single best guide to the meaning of a disputed term."
(internal quotation marks omitted)

Phillips v. AWH Corp., 415 F.3d 1003, 1015 (Fed. Cir. 2005) (en banc)

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm relies primarily on disclosure in the '356 patent that focuses on LTE

'356 Patent

tems (GNSS), etc. Wireless device **110** may support one or more radio technologies for wireless communication such as LTE, cdma2000, WCDMA, GSM, 802.11, etc.

-00047 IPR, Ex. 1001 ('356 Patent) at 2:50-52 (annotated)

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm argues that its distinguishing of the '356 claims over Hirose supports its construction

'356 File History

> **Regarding amended independent claims 1 and 17**, Applicant's amended independent claims 1 and 17 recite, *inter alia*, "the [] input RF signal employing ***carrier aggregation***," which is **not** disclosed in Hirose. Generally, Applicant's claimed invention recites "carrier aggregation" which results in an ***increased aggregated*** data rate. In contrast, Hirose transmits the same signals over different paths which results in ***redundant*** data at a ***common*** data rate. Specifically, the

-00047 IPR, Ex. 1015 (Patent Owner's June 6, 2014 Response) at 7 (annotated)

> Applicant respectfully asserts that Hirose's "satellite wave signal and ground wave signal" do not result in "carrier aggregation" as claimed by Applicant in amended independent claims 1 and 17. As stated, Applicant's amended independent claims 1 and 17 recite, *inter alia*, "the [] input RF signal employing ***carrier aggregation***," while Hirose discloses ***redundant*** data at a ***common*** data rate. Specifically, Hirose discloses:

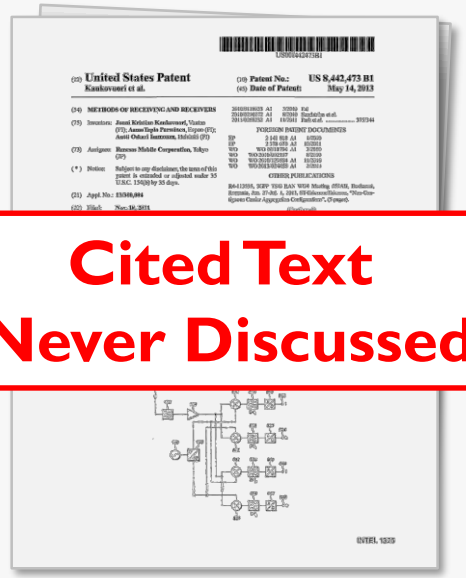
-00047 IPR, Ex. 1015 (Patent Owner's June 6, 2014 Response) at 7-8 (annotated)

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm relies on three specific pieces of prior art cited in the '356 prosecution history

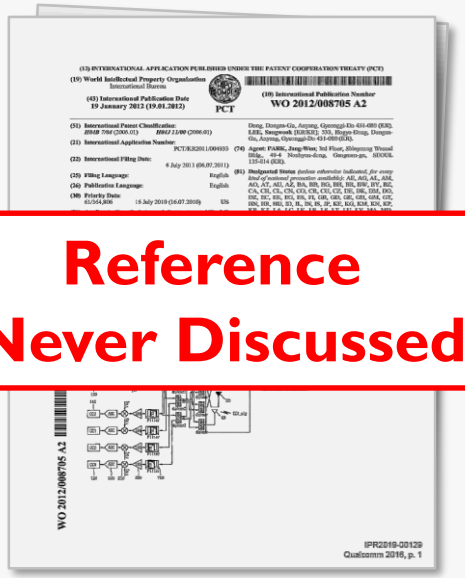
Kaukovuori



Cited Text Never Discussed

Ex. 1025

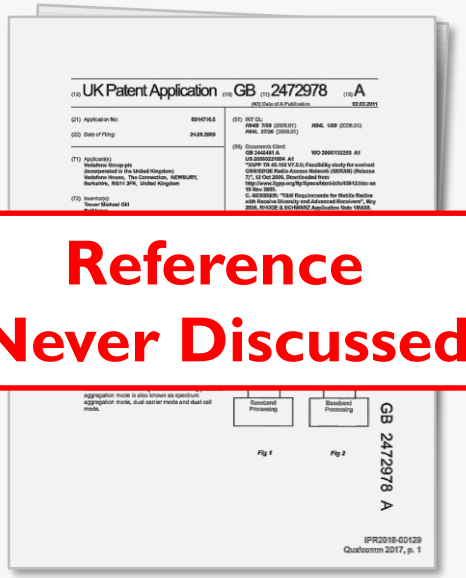
WO 2012/008705



Reference Never Discussed

Ex. 2016

GB 2472978



Reference Never Discussed

Ex. 2017

-00047 IPR, Paper 12 (Patent Owner Response) at 16-18

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm argues that Intel's construction renders claim language redundant

'356 Patent

1. An apparatus comprising:

a first amplifier stage configured to be independently enabled or disabled, the first amplifier stage further configured to receive and amplify an input radio frequency (RF) signal and provide a first output RF signal to a first load circuit when the first amplifier stage is enabled, the input RF signal employing ~~carrier aggregation~~ *[simultaneous operation on multiple carriers] comprising transmissions sent on multiple carriers* at different frequencies to a wireless device, the first output RF signal including at least a first carrier of the multiple carriers;

- Intel's construction includes the concept of "simultaneous operation"

-00047 IPR, Ex. 1001 ('356 Patent) at Claim 1 (annotated); -00047 IPR, Paper 12 (Patent Owner Response) at 30-31

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm argues that Intel's construction reads out "aggregation"

Fay Declaration

27. Patent Owner argues that the Petition's BRI construction reads out the word "aggregation." POR, 30-31. I disagree. When the claimed "input RF signal" employs "simultaneous operation on multiple carriers," those carriers will be aggregated along the input RF signal. Pet., 51-53 ("input RF signal includes 'two channels encoded around two different carrier frequencies (i.e., ***dual carriers***).'"). Thus, "carrier aggregation" in the context of the challenged claims accounts for aggregation (*i.e.*, collected together, assembled, as defined in the POR, 30), because the multiple carriers would be present simultaneously in the input RF signal.

28. Because the '356 patent describes "carrier aggregation" as encompassing wireless devices that support "one or more radio technologies for wireless communication such as LTE, cdma2000, WCDMA, GSM, 802.11, etc.," when two or more carriers in a carrier aggregated signal are received according to "one or more" of these technologies, those carriers are all aggregated in the input RF signal (e.g. "RFin" in FIG. 6A) that enters the amplifier.

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶¶ 27, 28 (annotated)

Claim Construction: "Carrier Aggregation"

Qualcomm

Qualcomm argues that its construction is supported by extrinsic evidence

Phillips v. AWH Corp.

However, while extrinsic evidence "can shed useful light on the relevant art," we have explained that it is "less significant than the intrinsic record in determining 'the legally operative meaning of claim language.' "

In sum, extrinsic evidence may be useful to the court, but it is unlikely to result in a reliable interpretation of patent claim scope unless considered in the context of the intrinsic evidence.

Phillips v. AWH Corp., 415 F.3d 1017, 1019 (Fed. Cir. 2005) (en banc)

IPR2019-00047

Overview of Prior Art for IPR2019-00047

Uehara

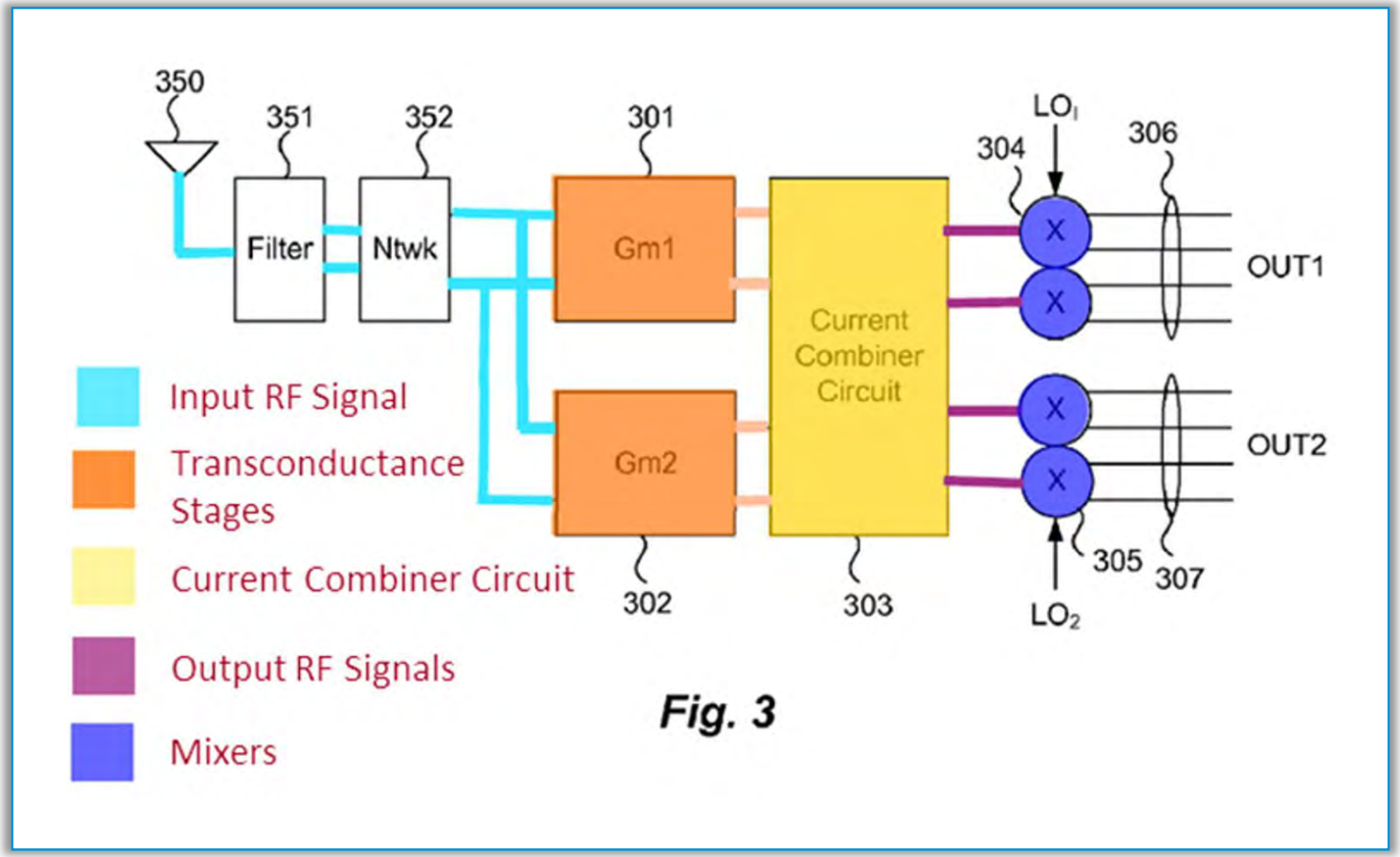


Fig. 3

-00047 IPR, Paper 3 (Petition) at 36, Fig. 3

Uehara

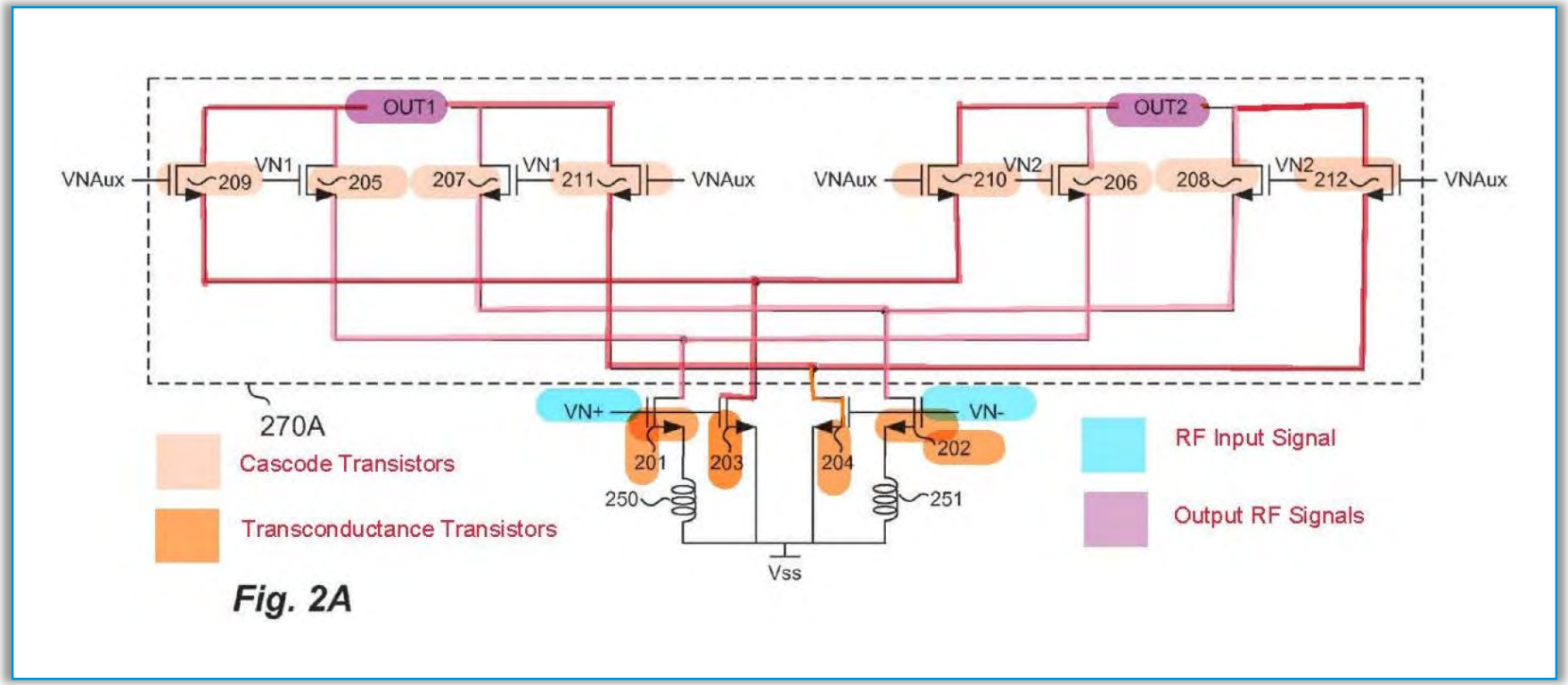


Fig. 2A

-00047 IPR, Paper 3 (Petition) at 37, Fig. 2A

Perumana

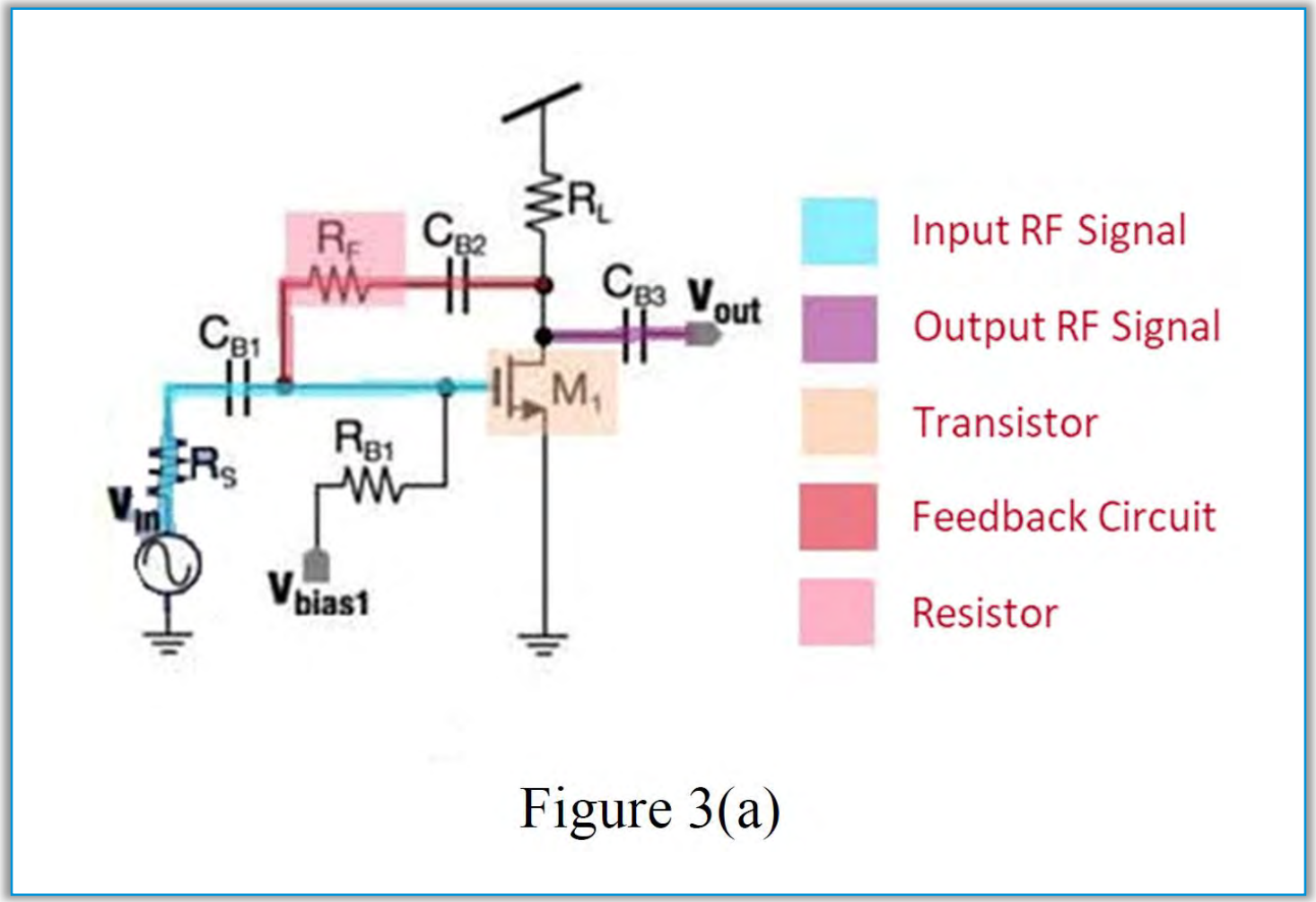


Figure 3(a)

-00047 IPR, Paper 3 (Petition) at 41, Fig. 3(a)

Youssef

Digitally-Controlled RF Passive At
CMOS for Mobile TV Tu

Ahmed Youssef and James Haslett
Electrical and Computer Engineering Department
University of Calgary
Alberta, Canada

Abstract—**A novel VHF/UHF passive attenuator linearization circuit suitable for mobile TV applications has been designed and implemented in 65 nm CMOS technology. The proposed attenuator has a wide gain range of 48 dB that can be digitally programmed in 3 to 6 dB steps. At every gain setting, the input and output of the attenuator are matched to 50 Ω to facilitate its integration into mobile TV tuners.**

I. INTRODUCTION

Mobile TV is one of the latest features to be added to cell phones and other hand-held devices. The low cost, low power, and small size demands of this application have pushed researchers to use nanometer CMOS technologies in designing high performance tuner chip sets. The bulky RF filters (i.e., SAW filters) usually used in traditional TV-can tuners to suppress far-away interferer blockers are thus not an option for these integrated tuners. This results in tightening the linearity requirement of the RF front-end needed for mobile TV reception, and hence demands innovative design techniques to adhere to the low power necessities for this application [1].

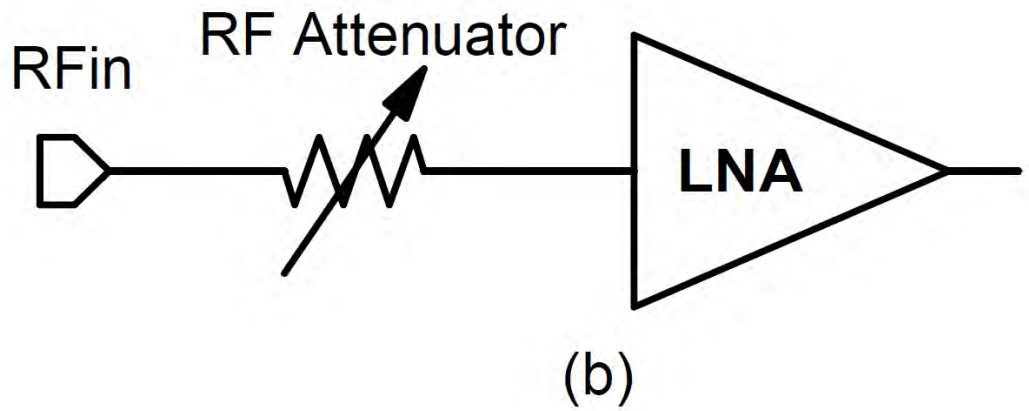
The RF-AGC (Automatic gain control) technique has been proposed recently in the literature as one of the low power solutions that can help mobile TV receivers achieve their stringent linearity requirements [2]-[4]. Decreasing the RF gain at large input signal levels helps the receiver pass larger signals without any degradation in the output SNR (Signal-to-Noise Ratio). Although there are many mechanisms to vary the RF gain in receivers, the efficacy of any given mechanism depends on the amount of the dynamic range that can be achieved while decreasing the RF gain.

This paper proposes an RF attenuator linearization circuit used to vary the RF gain of mobile TV receivers while maximizing their dynamic range. The paper describes a passive attenuator designed, implemented in 65 nm CMOS technology and characterized in the lab. Additionally, a 5 bit linear thermometer decoder [5] integrated in the same test chip is used to program the gain of the attenuator. The decoder sets the gain value according to the signal level received at the attenuator input. Also, an on-chip programmable matching network is used to provide a stable 50 Ω input resistance

978-1-4244-5309-2/10/$26.00 ©2010 IEEE 1999

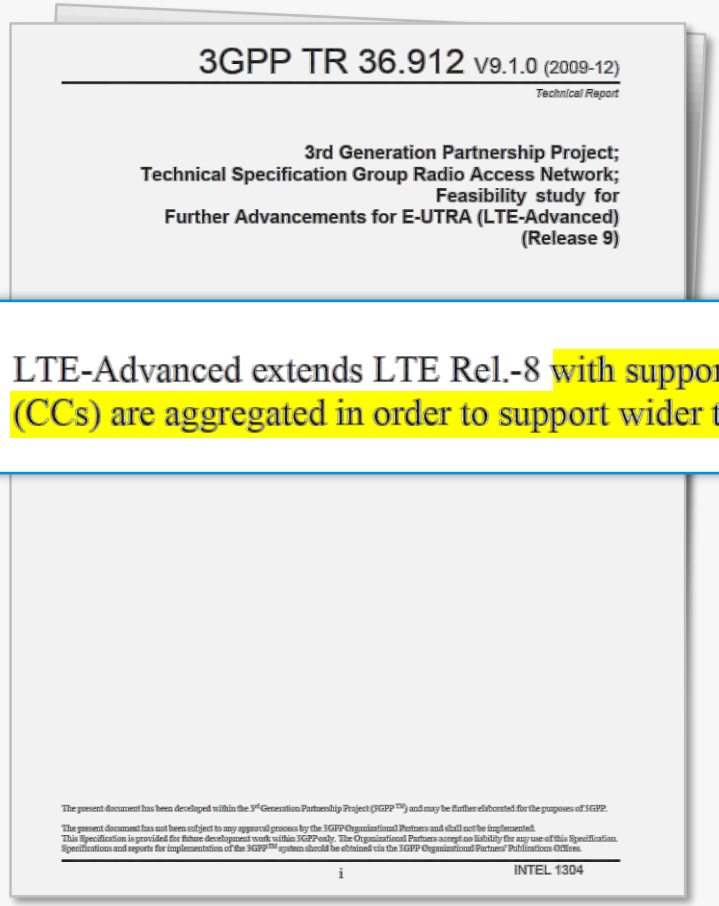
INTEL 1409

This paper proposes an RF attenuator linearization circuit used to vary the RF gain of mobile TV receivers while maximizing their dynamic range. The paper describes a



-00047 IPR, Ex. 1009 (Youssef) at 1999, Fig. 1(b)

Feasibility Study



3GPP TR 36.912 V9.1.0 (2009-12)

Technical Report

3rd Generation Partnership Project;
Technical Specification Group Radio Access Network;
Feasibility study for
Further Advancements for E-UTRA (LTE-Advanced)
(Release 9)

The present document has been developed within the 3rd Generation Partnership Project (3GPP™) and may be further elaborated for the purposes of 3GPP.

The present document has not been subject to any approval process by the 3GPP Organizational Partners and shall not be implemented.
This Specification is provided for future development work within 3GPP only. The Organizational Partners accept no liability for any use of this Specification.
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i

INTEL 1304

LTE-Advanced extends LTE Rel.-8 with support for *Carrier Aggregation*, where two or more *component carriers* (CCs) are aggregated in order to support wider transmission bandwidths up to 100MHz and for spectrum aggregation.

-00047 IPR, Ex. 1004 (Feasibility Study) at 8 (annotated)

Disputed Issues for IPR2019-00047

Disputed Issues for IPR2019-00047

- Anticipation by Uehara
  - Claims 1, 11, 17, 18
- Motivation to Combine Uehara and Perumana
  - Claims 7 and 8
- Motivation to Combine Uehara and Youssef
  - Claim 10
- Motivation to Combine Uehara and Feasibility Study
  - Claims 1, 7, 8, 10, 11, 17, 18

Anticipation by Uehara

Anticipation by Uehara

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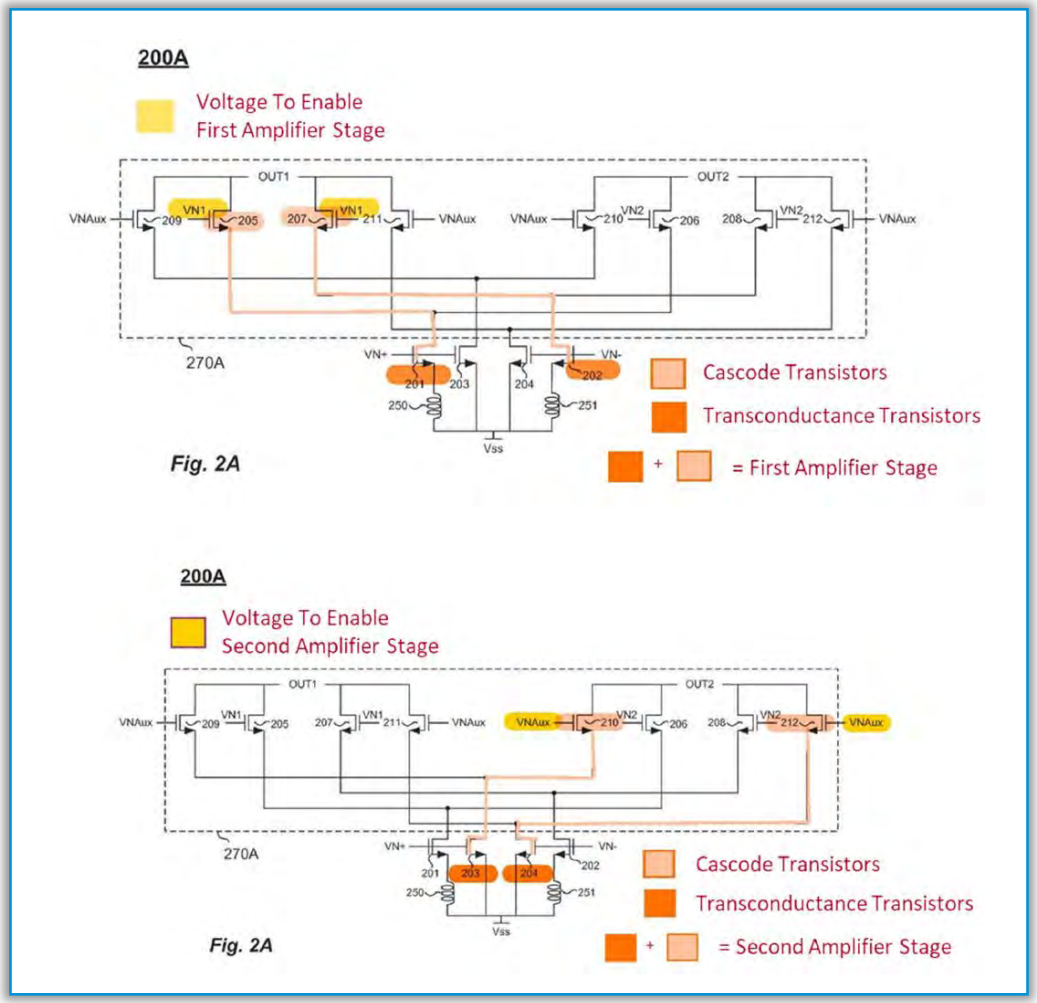

-00047 IPR, Paper 19 (Petitioner's Reply to POR) at 9, Fig 2A

Anticipation by Uehara

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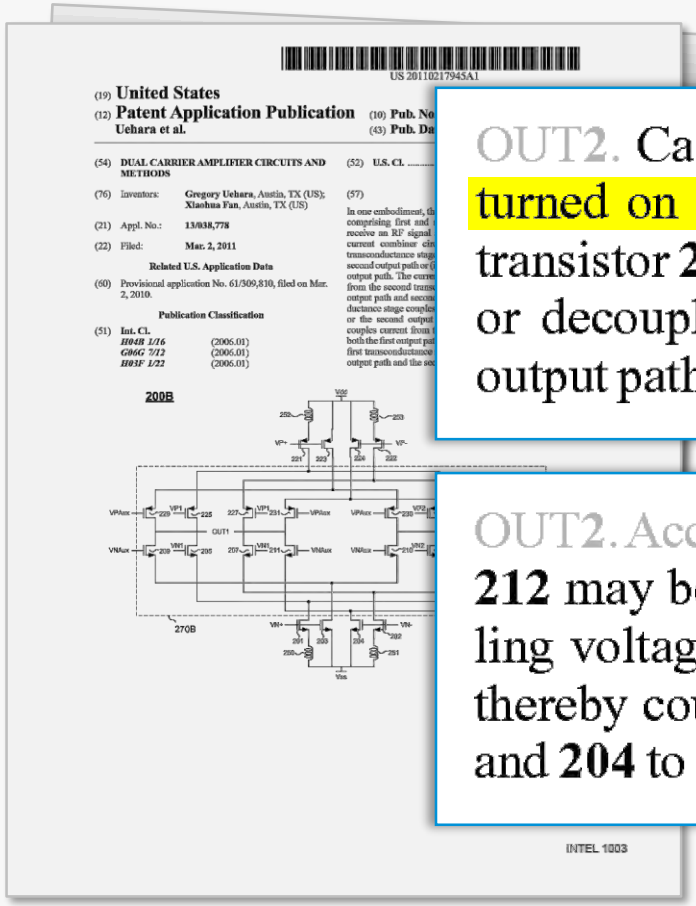


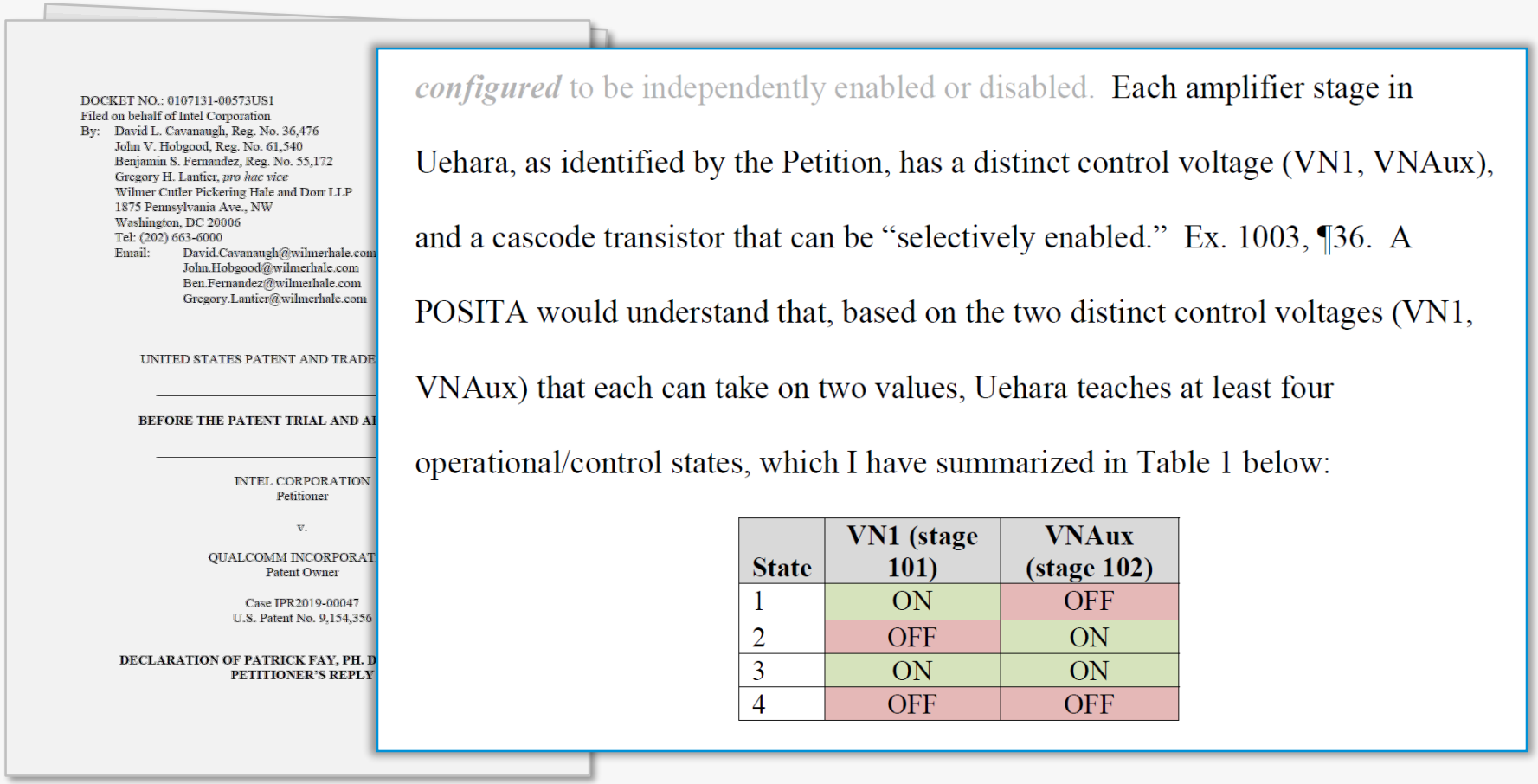
OUT2. Cascode transistors **205** and **207** may be selectively turned on or off by controlling voltage VN1 at the gate of transistor **205** and the gate of transistor **207**, thereby coupling or decoupling current from transistors **201** and **202** from output path OUT**1**. Likewise, cascode transistors **206** and **208**

OUT2. Accordingly, in this example, cascode transistors **209-212** may be selectively turned on or off together by controlling voltage VNAux at the gate of each transistor **209-212**, thereby coupling or decoupling current from transistors **203** and **204** to or from output path OUT**1** and output path OUT**2**.

-00047 IPR, Ex. 1003 (Uehara) at ¶¶ 36, 38 (annotated)

Anticipation by Uehara

Configured to be independently enabled or disabled



DOCKET NO.: 0107131-00573US1
Filed on behalf of Intel Corporation
By: David L. Cavanaugh, Reg. No. 36,476
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UNITED STATES PATENT AND TRADE

BEFORE THE PATENT TRIAL AND AI

INTEL CORPORATION
Petitioner

v.

QUALCOMM INCORPORAT
Patent Owner

Case IPR2019-00047
U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH. D
PETITIONER'S REPLY

configured to be independently enabled or disabled. Each amplifier stage in Uehara, as identified by the Petition, has a distinct control voltage (VN1, VNAux), and a cascode transistor that can be "selectively enabled." Ex. 1003, ¶36. A POSITA would understand that, based on the two distinct control voltages (VN1, VNAux) that each can take on two values, Uehara teaches at least four operational/control states, which I have summarized in Table 1 below:

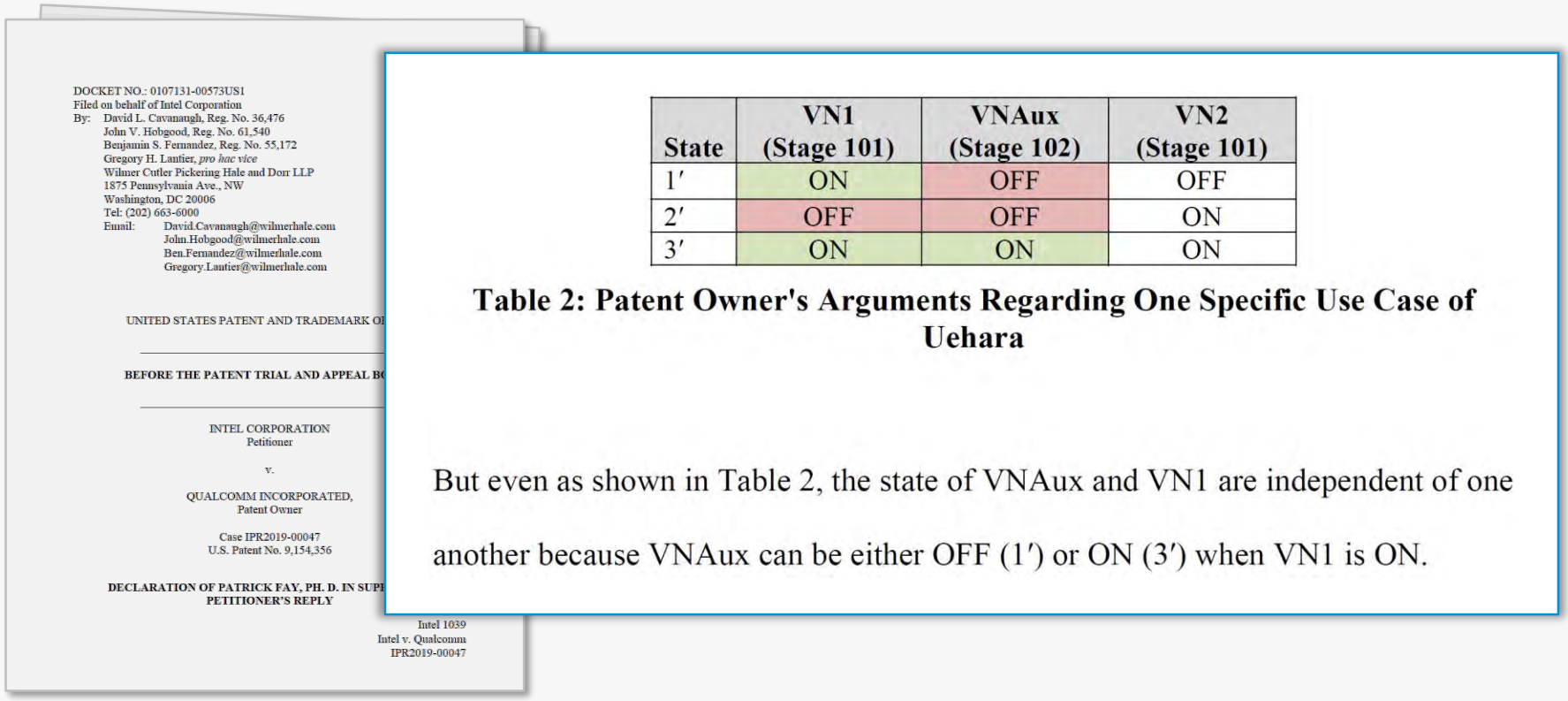
State	VN1 (stage 101)	VNAux (stage 102)
1	ON	OFF
2	OFF	ON
3	ON	ON
4	OFF	OFF

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶ 32

Anticipation by Uehara

Configured to be independently enabled or disabled

- VN1 and VNAux are independent of VN2



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UNITED STATES PATENT AND TRADEMARK O

BEFORE THE PATENT TRIAL AND APPEAL B

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Case IPR2019-00047
U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH. D. IN SUP
PETITIONER'S REPLY

Intel 1039
Intel v. Qualcomm
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State	VN1 (Stage 101)	VNAux (Stage 102)	VN2 (Stage 101)
1′	ON	OFF	OFF
2′	OFF	OFF	ON
3′	ON	ON	ON

Table 2: Patent Owner's Arguments Regarding One Specific Use Case of Uehara

But even as shown in Table 2, the state of VNAux and VN1 are independent of one another because VNAux can be either OFF (1′) or ON (3′) when VN1 is ON.

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶ 33

Anticipation by Uehara

Configured to be independently enabled or disabled

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Patent Owner

Case IPR2019-00047
U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH. D. IN SUPPORT OF PETITIONER'S REPLY

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consistent with Table 1, above. *Id.* In Table 3 below, I add the dual-carrier operational states described in paragraph [0032] of Uehara (these dual carrier states from [0032] are denoted 3″ and 4″). Comparing states 1′ and 3″, it is clear that VNAux can be either ON or OFF if VN1 is ON and VN2 is OFF. Likewise, comparing states 2′ and 4″, VNAux can be either ON or OFF if VN1 is OFF and VN2 is ON. Thus, VNAux is not dependent on state of the VN1 (or VN2) signals in the embodiment described in paragraph [0032]. Furthermore, since VN1

State	VN1 (Stage 101, OUT1)	VNAux (Stage 102, OUT1 and OUT2)	VN2 (Stage 101, OUT2)
1′	ON	OFF	OFF
2′	OFF	OFF	ON
3″	ON	ON	OFF
4″	OFF	ON	ON

Table 3: Operational Use Case Described in ¶[0031] (single carrier modes) and ¶[0032] (dual carrier modes) of Uehara.

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶ 33

Anticipation by Uehara

Providing a first/second output RF signal to a first/second load circuit

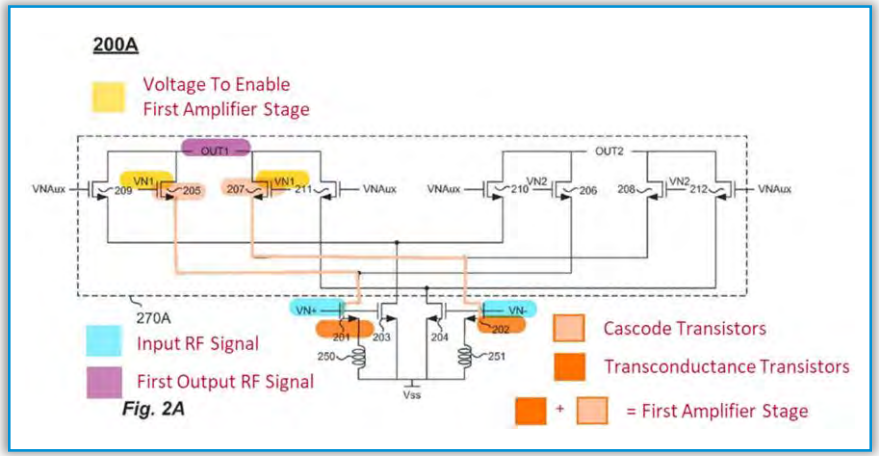


Fig. 2A

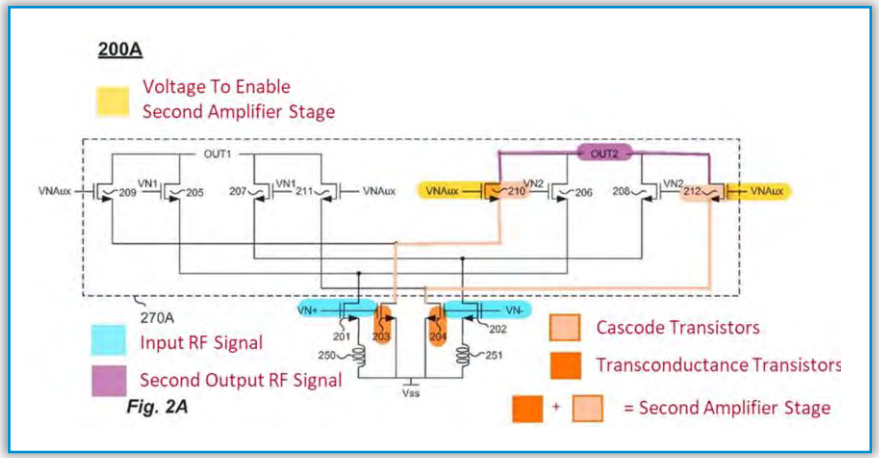


Fig. 2A

-00047 IPR, Paper 3 (Petition) at 49, 58

Anticipation by Uehara

Providing a first/second output RF signal to a first/second load circuit

1. An apparatus comprising:

a first amplifier stage configured to be independently enabled or disabled, the first amplifier stage further configured to receive and amplify an input radio frequency (RF) signal and provide a first output RF signal to a first load circuit when the first amplifier stage is enabled, the input RF signal employing carrier aggregation comprising transmissions sent on multiple carriers at different frequencies to a wireless device, the first output RF signal including at least a first carrier of the multiple carriers; and

a second amplifier stage configured to be independently enabled or disabled, the second amplifier stage further configured to receive and amplify the input RF signal and provide a second output RF signal to a second load circuit when the second amplifier stage is enabled, the second output RF signal including at least a second carrier of the multiple carriers different than the first carrier.

-00047 IPR, Ex. 1001 ('356 Patent) at Claim 1 (annotated)

Qualcomm's Expert

Q Focus just on the claim language. You would agree that the claim does not require the first amplifier stage to provide a first output RF signal only to a first load circuit when the first amplifier stage is enabled; correct?

A The word "only" does not appear in the claim. That's facial. I'll agree with that.

-00047 IPR, Ex. 1040 (Foty Tr.) at 48:10-16 (annotated)

Anticipation by Uehara

Providing a first/second output RF signal to a first/second load circuit

- Each of the amplifier stages in Uehara is already **"configured"** to provide an output RF signal **"when … enabled"**

State	VN1 (stage 101)	VNAux (stage 102)
1	ON	OFF
2	OFF	ON
3	ON	ON
4	OFF	OFF

Table 1: Basic Control Voltage Configuration of Uehara Amplifier Stages

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶ 32 (annotated)

Anticipation by Uehara

The input RF signal employing carrier aggregation

Term	Petitioner's Construction
"carrier aggregation"	"simultaneous operation on multiple carriers"

from an antenna. In some wireless applications, an RF signal may include multiple channels with multiple carrier frequencies. To process such signals, an LNA may send the amplified

improved amplifiers for driving different signal paths. Particular embodiments further provide processing for dual or multi-carrier signals, such as in a wireless receiver.

-00047 IPR, Ex. 1003 (Uehara) at ¶¶ 6, 7 (annotated)

Anticipation by Uehara

The input RF signal employing carrier aggregation

Term	Petitioner's Construction
"carrier aggregation"	"simultaneous operation on multiple carriers"

work 352. The RF signal may include two channels encoded around two different carrier frequencies (i.e., dual carriers), for example. The dual carrier signal may be amplified by

[0033] By incorporating one or more additional "Gm" stages when driving multiple output paths, the performance of the amplifier circuit **100** may be maintained across different output loads. Specifically, when driving two output paths simultaneously, a second transconductance stage is enabled to maintain substantially similar gain, Noise Figure ("NF"), linearity, and input impedance matching. In this example, the

-00047 IPR, Ex. 1003 (Uehara) at ¶¶ 47, 33 (annotated)

Anticipation by Uehara

The input RF signal employing carrier aggregation

Patent Owner's Cited Reference (GB 2472978)

> and instructs the receiving terminal accordingly. Carrier aggregation mode is also known as spectrum aggregation mode, dual carrier mode and dual cell mode.

Uehara

> work **352**. The RF signal may include two channels encoded around two different carrier frequencies (i.e., dual carriers), for example. The dual carrier signal may be amplified by

-00047 IPR, Ex. 2017 Abstract (annotated); Ex. 1003 (Uehara) ¶47 (annotated)

Anticipation by Uehara

The input RF signal employing carrier aggregation

- Uehara's "dual carriers" are "aggregated"

> 39. Patent Owner also argues that the Petition ignores the meaning of "aggregation." This is incorrect. When dual carriers are received simultaneously in the amplification circuit of Uehara, they are aggregated at the input. *See* POR, 30 ("Aggregate means 'to collect together, assemble.'"). This is true regardless of whether or not the two carriers originate from a common source, or whether or not they are logically related to one another (*e.g.*, at the baseband level). The two carriers do not somehow travel down separate sides of the wire or avoid one another along the input.

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶ 39 (annotated)

Anticipation by Uehara

The input RF signal employing carrier aggregation

- Uehara teaches "higher bandwidth"

increased bandwidth, Uehara also teaches this. Bandwidth is the amount of spectrum available for data transmission. A receiver that operates simultaneously on multiple carriers increases bandwidth because carriers occupy frequency ranges and transmitting data over multiple carriers increases bandwidth to the sum of the carriers' frequency ranges, as would have been understood by a person of ordinary skill in the art at the time of the Patent Owner's alleged conception date for the '356 patent. *See supra* ¶41 at Figure 7 (showing carriers occupying

bandwidth). Uehara teaches that "[t]he RF signal may include ***two channels encoded around two different carrier frequencies***." EX1003-Uehara ¶47 (emphasis added). Uehara's use of two channels provides greater bandwidth than one channel. *See* Section III.C. Specifically, by sending data over two or more

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶ 89 (annotated)

Anticipation by Uehara

The input RF signal employing carrier aggregation

- Uehara teaches increased aggregated data rate

90. Uehara also teaches employing carrier aggregation to increase an aggregated data rate. When the total amount of data entering a wireless device increases, the wireless device (and the user of the device) experiences an "increased aggregated data rate." Uehara discloses "two channels encoded around two different carrier frequencies." EX1003-Uehara ¶47. When non-redundant data is transmitted over these dual carriers, the data rate to the wireless device of Uehara increases because the device is receiving more data per unit of time. This is different than Hirose (EX1024-Hirose), which Patent Owner distinguished during prosecution. Specifically, Uehara does not require the data sent over the dual carriers to be redundant data. *See* EX1015 at 2 (June 6, 2014 Resp. to Office Action). Moreover, Uehara provides an example of an implementation of an

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶90 (annotated)

Anticipation by Uehara

The input RF signal employing carrier aggregation

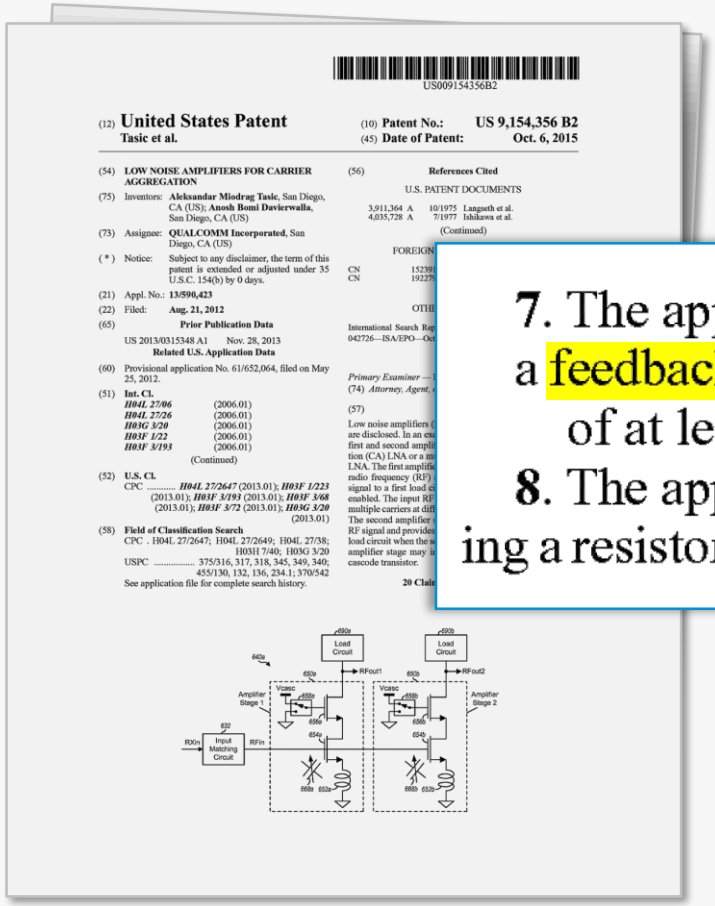
- Uehara teaches increased aggregated data rate

Action). Moreover, Uehara provides an example of an implementation of an "Enhanced Data rates for GSM Evolution" wireless system in which an LNA receives a dual carrier signal. EX1003-Uehara ¶48. Uehara selectively couples the "LNA outputs to the two down converters using the current combiner circuits of each LNA ***as illustrated above***." *Id.* ¶49 (referring to the current combiner circuits 270A of Figure 2A and 303 of Figure 3 (emphasis added)). Down conversion and other circuits "translat[e] the information in each channel of the RF signal into digital data." *Id.* ¶49. A person of ordinary skill in the art would have understood that the "enhanced data rate" achieved in this implementation in Uehara is an increased data rate.

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶90 (annotated)

Motivation to Combine Uehara and Perumana

Motivation to Combine Uehara and Perumana



US009154356B2

(12) **United States Patent**
Tasic et al.

(10) **Patent No.: US 9,154,356 B2**
(45) **Date of Patent: Oct. 6, 2015**

(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Bomi Daviewalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/590,423**

(22) Filed: **Aug. 21, 2012**

(65) **Prior Publication Data**

US 2013/0315348 A1 Nov. 28, 2013

Related U.S. Application Data

(60) Provisional application No. 61/652,064, filed on May 25, 2012.

(51) **Int. Cl.**
H04L 27/06 (2006.01)
H04L 27/26 (2006.01)
H03G 3/20 (2006.01)
H03F 1/22 (2006.01)
H03F 3/193 (2006.01)
(Continued)

(52) **U.S. Cl.**
CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**
CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20
USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542
See application file for complete search history.

(56) **References Cited**
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3,911,364 A 10/1975 Langseth et al.
4,035,728 A 7/1977 Ishikawa et al.
(Continued)
FOREIGN
CN
CN
OTH

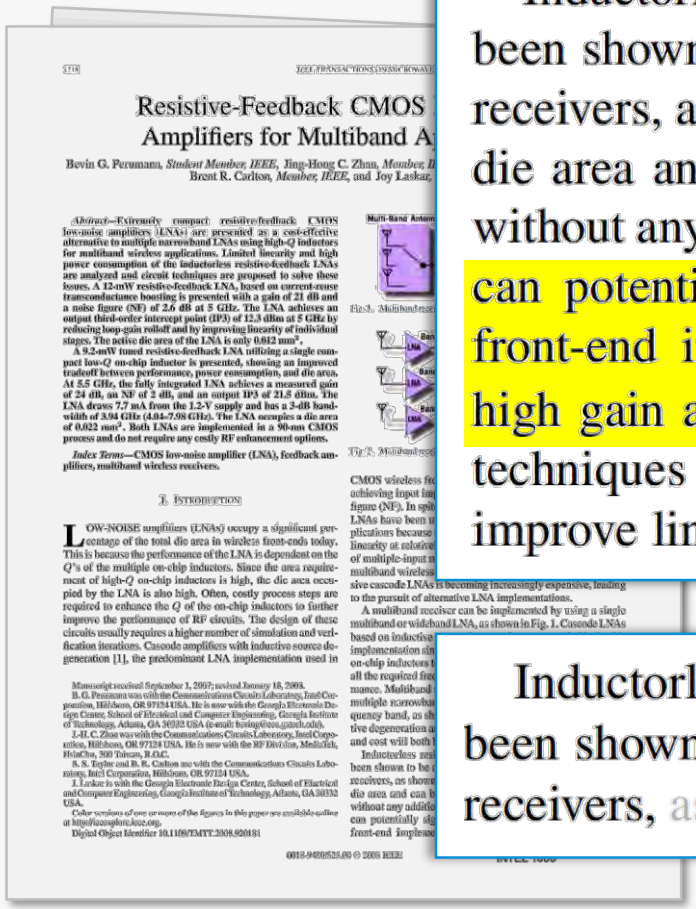
(57)

20 Clai

> **7**. The apparatus of claim **1**, further comprising:
> a feedback circuit coupled between an output and an input of at least one of the first and second amplifier stages.
> **8**. The apparatus of claim **7**, the feedback circuit comprising a resistor, or a capacitor, or both a resistor and a capacitor.

-00047 IPR, Ex. 1001 ('356 Patent), Claims 7, 8 (annotated)

Motivation to Combine Uehara and Perumana



Resistive-Feedback CMOS
Amplifiers for Multiband A

Bevin G. Perumana, *Student Member, IEEE*, Jing-Hong C. Zhan, *Member, I*
Brent R. Carlton, *Member, IEEE*, and Joy Laskar,

Abstract—Extremely compact resistive-feedback CMOS low-noise amplifiers (LNAs) are presented as a cost-effective alternative to multiple narrowband LNAs using high-Q inductors for multiband wireless applications. Limited linearity and high power consumption of the inductorless resistive-feedback LNAs are analyzed and circuit techniques are proposed to solve these issues. A 12-mW resistive-feedback LNA, based on current-reuse transconductance boosting is presented with a gain of 21 dB and a noise figure (NF) of 2.6 dB at 5 GHz. The LNA achieves an output third-order intercept point (IP3) of 12.5 dBm at 5 GHz by reducing loop-gain rolloff and by improving linearity of individual stages. The active die area of the LNA is only 0.012 mm^2.

A 9.2-mW tuned resistive-feedback LNA utilizing a single compact low-Q on-chip inductor is presented, showing an improved tradeoff between performance, power consumption, and die area. At 5.5 GHz, the fully integrated LNA achieves a measured gain of 24 dB, an NF of 2 dB, and an output IP3 of 21.8 dBm. The LNA draws 7.7 mA from the 1.2-V supply and has a 3-dB bandwidth of 3.04 GHz (4.04–7.08 GHz). The LNA occupies a die area of 0.022 mm^2. Both LNAs are implemented in a 90-nm CMOS process and do not require any costly RF enhancement options.

Index Terms—CMOS low-noise amplifier (LNA), feedback amplifiers, multiband wireless receivers.

I. Introduction

LOW-NOISE amplifiers (LNAs) occupy a significant percentage of the total die area in wireless front-ends today. This is because the performance of the LNA is dependent on the Q's of the multiple on-chip inductors. Since the area requirement of high-Q on-chip inductors is high, the die area occupied by the LNA is also high. Often, costly process steps are required to enhance the Q of the on-chip inductors to further improve the performance of RF circuits. The design of these circuits usually requires a higher number of simulation and verification iterations. Cascode amplifiers with inductive source degeneration [1], the predominant LNA implementation used in

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J.-H. C. Zhan was with the Communications Circuits Laboratory, Intel Corporation, Hillsboro, OR 97124 USA. He is now with the RF Division, MediaTek, HsinChu, 300 Taiwan, R.O.C.

S. S. Taylor and B. R. Carlton are with the Communications Circuits Laboratory, Intel Corporation, Hillsboro, OR 97124 USA.

J. Laskar is with the Georgia Electronic Design Center, School of Electrical and Computer Engineering, Georgia Institute of Technology, Atlanta, GA 30332 USA.

Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

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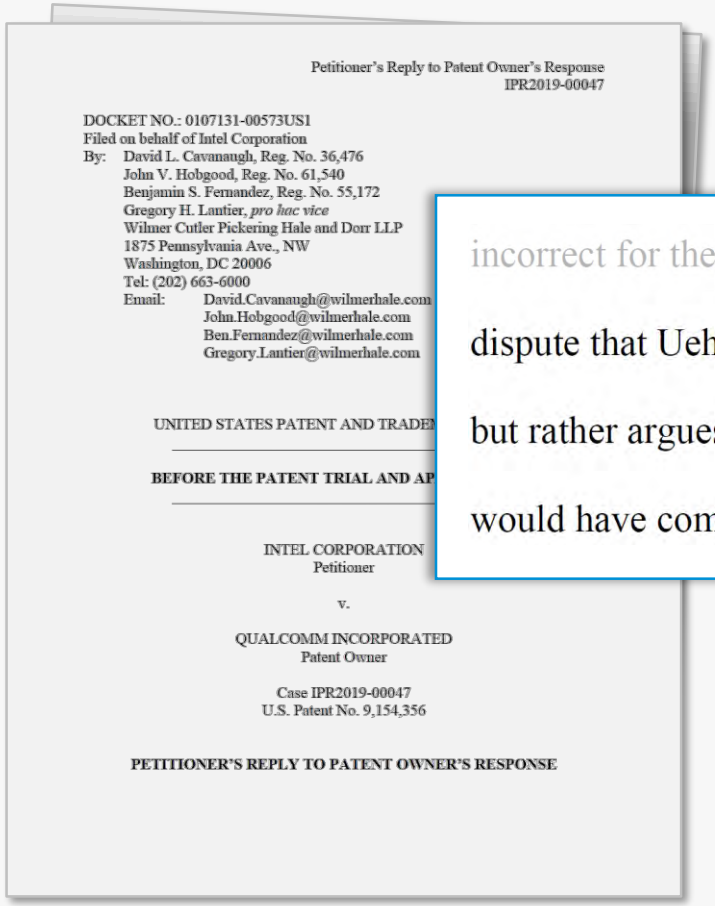
Inductorless resistive-feedback CMOS LNAs [2]–[4] have been shown to be a viable option for implementing multiband receivers, as shown in Fig. 1. These circuits require very small die area and can be implemented in a digital CMOS process without any additional RF enhancements. Hence, this approach can potentially significantly reduce the cost of the wireless front-end implementation. Resistive-feedback LNAs achieve high gain and reasonably low NF [4]. However, novel circuit techniques are required to reduce power consumption and improve linearity.

Inductorless resistive-feedback CMOS LNAs [2]–[4] have been shown to be a viable option for implementing multiband receivers, as shown in Fig. 1. These circuits require very small

-00047 IPR, Ex. 1008 (Perumana) at 1218-1219 (annotated)

Motivation to Combine Uehara and Perumana



Petitioner's Reply to Patent Owner's Response
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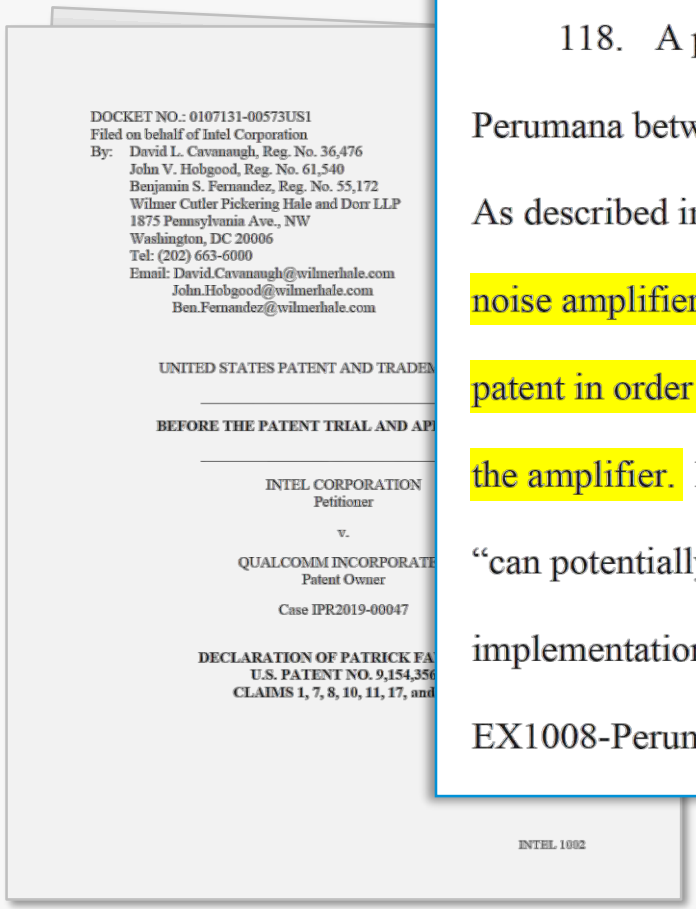
U.S. Patent No. 9,154,356

PETITIONER'S REPLY TO PATENT OWNER'S RESPONSE

incorrect for the reasons identified above in Section III, Patent Owner does not dispute that Uehara in view of Perumana teaches each limitation of claims 7 and 8, but rather argues that Petitioner "fails to sufficiently articulate" why a POSITA would have combined Perumana and Uehara. POR, 48-50. As evidenced by the

-00047 IPR, Paper 19 (Petitioner's Reply to POR) at 19

Motivation to Combine Uehara and Perumana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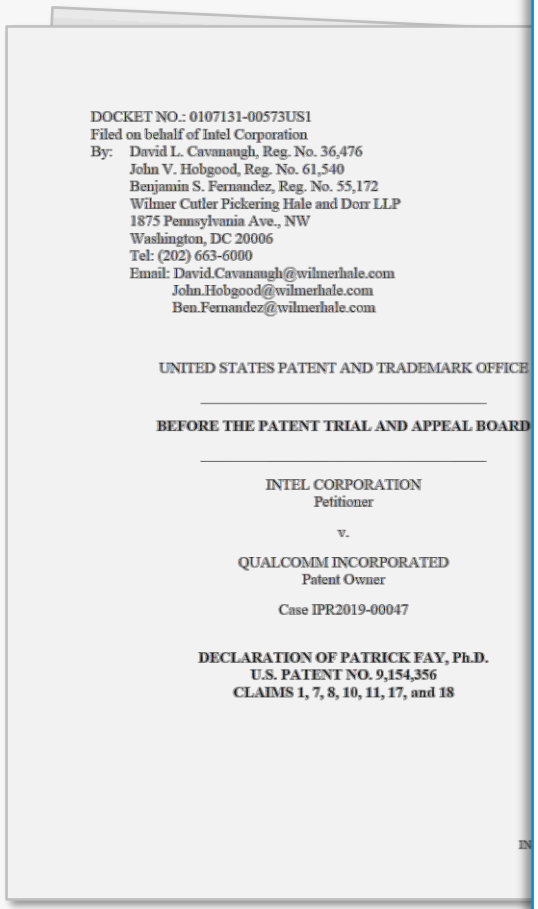
DECLARATION OF PATRICK FA
U.S. PATENT NO. 9,154,356
CLAIMS 1, 7, 8, 10, 11, 17, and

INTEL 1002

118. A person of ordinary skill would have coupled the feedback circuit of Perumana between the output and input of at least one amplifier stage of Uehara. As described in Section III.D.2, feedback circuits were commonly added to low noise amplifiers before the Patent Owner's alleged conception date for the '356 patent in order to improve the stability, input matching, and frequency response of the amplifier. Perumana further explains that adding a feedback circuit to an LNA "can potentially significantly reduce the cost of the wireless front-end implementation" and provides "high gain and reasonably low NF [noise figure]." EX1008-Perumana at 1218-19. A person having ordinary skill in the art would

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶ 118 (annotated)

Motivation to Combine Uehara and Perumana



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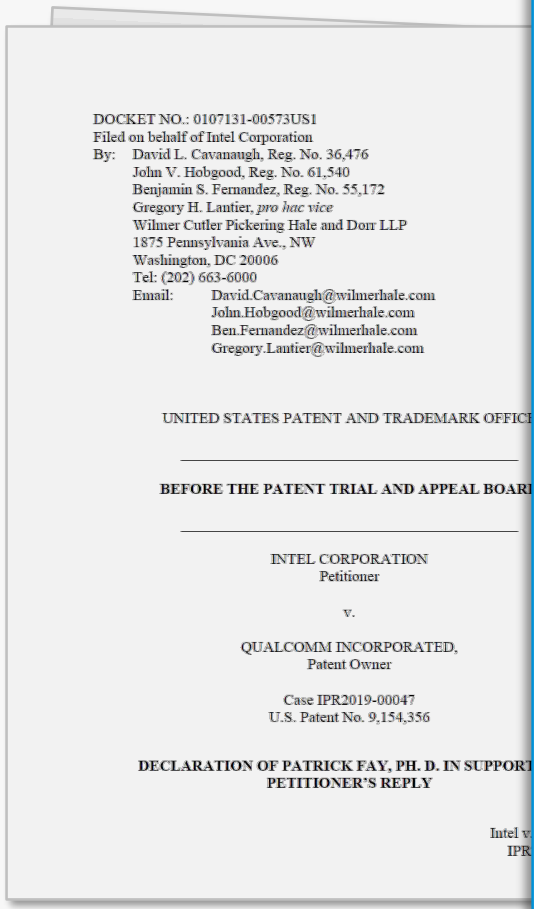
CLAIMS 1, 7, 8, 10, 11, 17, and 18

119. A person of ordinary skill in the art would have also found it desirable to increase the bandwidth of the amplifier of Uehara, which could have been achieved using the feedback circuit of Perumana. Compared to alternative configurations, the resistive feedback circuit of Perumana offers broadband operation in a compact die size and without using exotic process options. Uehara concerns simultaneous multi-carrier operation. *See* EX1003-Uehara ¶7; *see also id.* ¶47. Because the different carriers can be far apart on the frequency spectrum, the amplifier of Uehara benefits from increased bandwidth. Moreover, an amplifier with broader bandwidth would be able to process a greater range of carrier frequencies. Perumana explains that feedback circuits are a "viable option" for wideband and multiband receivers, *see* EX1008-Perumana at 1218 ("Inductorless resistive-feedback CMOS LNAs [2]-[4] have been shown to be a viable option for implementing ***multiband receivers***." (emphasis added)). Thus, a

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶ 119 (annotated)

Motivation to Combine Uehara and Perumana



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QUALCOMM INCORPORATED,
Patent Owner

Case IPR2019-00047
U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH. D. IN SUPPORT OF PETITIONER'S REPLY

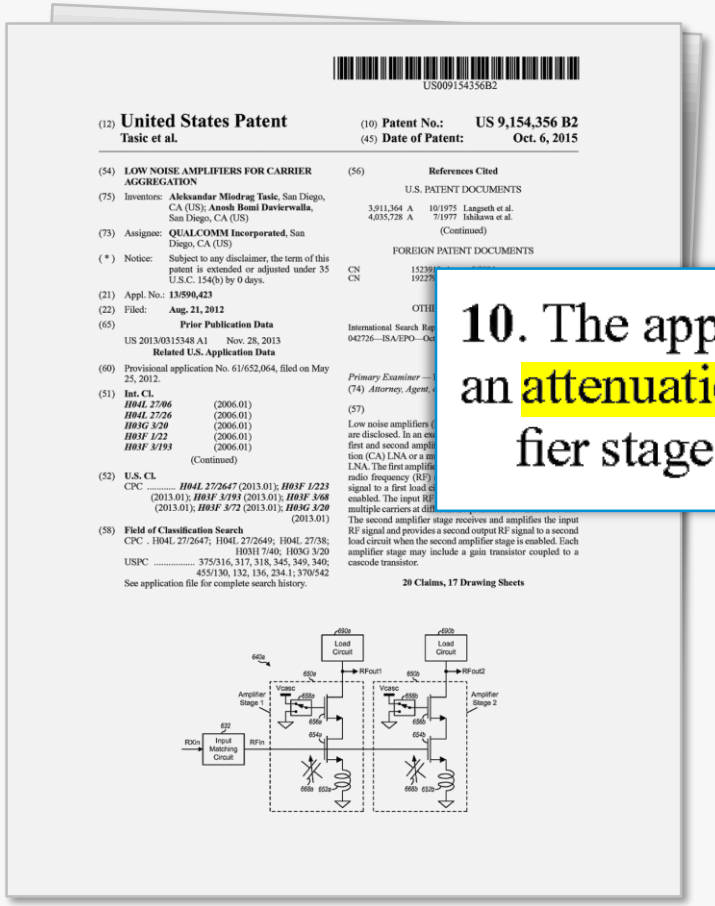
Intel v.
IPR

42. Patent Owner argues that Perumana's statement that "novel circuit techniques are required to reduce power consumption and improve linearity" is an "explicit admonition" that points to disadvantages associated with Figure 3(a) of Perumana. POR, 50. When read in context, however, this statement neither identifies any disadvantages with Figure 3(a) of Perumana, nor presents any inconsistency with the stated reasons to combine or reasonable expectations of success in my initial Declaration or the Petition. Rather, that statement in Perumana merely serves to introduce the additional solution presented in the rest of Perumana's paper. *Id.* In fact, this quote reinforces the Petition's stated reasons to combine, by demonstrating that a POSITA would have been considering performance (*e.g.*, power consumption, linearity) and implementation cost in implementing feedback circuits, as expressly identified in the Petition's (and my) reasons to combine. Pet., 69-70. This is confirmed by my initial declaration. Ex.

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶ 42 (annotated)

Motivation to Combine Uehara and Youssef

Motivation to Combine Uehara and Youssef



US009154356B2

(12) **United States Patent**
Tasic et al.

(10) **Patent No.: US 9,154,356 B2**
(45) **Date of Patent: Oct. 6, 2015**

(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Bomi Davierwalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/590,423**

(22) Filed: **Aug. 21, 2012**

(65) **Prior Publication Data**

US 2013/0315348 A1 Nov. 28, 2013

Related U.S. Application Data

(60) Provisional application No. 61/652,064, filed on May 25, 2012.

(51) **Int. Cl.**
H04L 27/06 (2006.01)
H04L 27/26 (2006.01)
H03G 3/20 (2006.01)
H03F 1/22 (2006.01)
H03F 3/193 (2006.01)
(Continued)

(52) **U.S. Cl.**
CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**
CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20
USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542
See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

3,911,364 A 10/1975 Langseth et al.
4,035,728 A 7/1977 Ishikawa et al.
(Continued)

FOREIGN PATENT DOCUMENTS

CN
CN

(57)

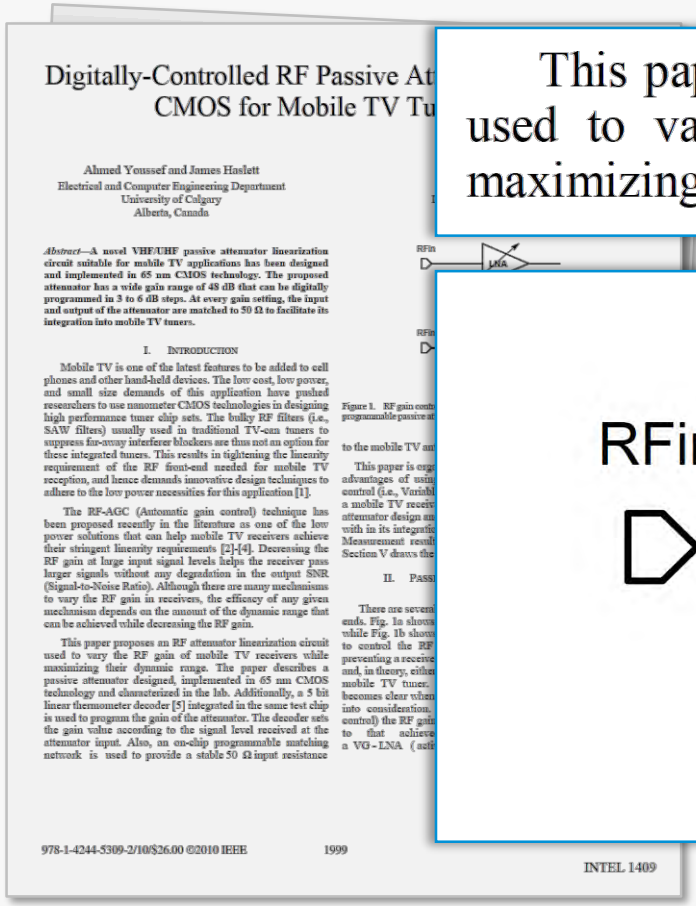
Low noise amplifiers (
are disclosed. In an ex
first and second amplif
tion (CA) LNA or a m
LNA. The first amplifie
radio frequency (RF)
signal to a first load ci
enabled. The input RF
multiple carriers at diff
The second amplifier stage receives and amplifies the input RF signal and provides a second output RF signal to a second load circuit when the second amplifier stage is enabled. Each amplifier stage may include a gain transistor coupled to a cascode transistor.

20 Claims, 17 Drawing Sheets

10. The apparatus of claim **1**, further comprising: an attenuation circuit coupled to the first and second amplifier stages and configured to receive the input RF signal.

-00047 IPR, Ex. 1001 ('356 Patent) at Claim 10 (annotated)

Motivation to Combine Uehara and Youssef



Digitally-Controlled RF Passive At
CMOS for Mobile TV Tu

Ahmed Youssef and James Haslett
Electrical and Computer Engineering Department
University of Calgary
Alberta, Canada

Abstract—**A novel VHF/UHF passive attenuator linearization circuit suitable for mobile TV applications has been designed and implemented in 65 nm CMOS technology. The proposed attenuator has a wide gain range of 48 dB that can be digitally programmed in 3 to 6 dB steps. At every gain setting, the input and output of the attenuator are matched to 50 Ω to facilitate its integration into mobile TV tuners.**

I. INTRODUCTION

Mobile TV is one of the latest features to be added to cell phones and other hand-held devices. The low cost, low power, and small size demands of this application have pushed researchers to use nanometer CMOS technologies in designing high performance tuner chip sets. The bulky RF filters (i.e., SAW filters) usually used in traditional TV-can tuners to suppress far-away interferer blockers are thus not an option for these integrated tuners. This results in tightening the linearity requirement of the RF front-end needed for mobile TV reception, and hence demands innovative design techniques to adhere to the low power necessities for this application [1].

The RF-AGC (Automatic gain control) technique has been proposed recently in the literature as one of the low power solutions that can help mobile TV receivers achieve their stringent linearity requirements [2]-[4]. Decreasing the RF gain at large input signal levels helps the receiver pass larger signals without any degradation in the output SNR (Signal-to-Noise Ratio). Although there are many mechanisms to vary the RF gain in receivers, the efficacy of any given mechanism depends on the amount of the dynamic range that can be achieved while decreasing the RF gain.

This paper proposes an RF attenuator linearization circuit used to vary the RF gain of mobile TV receivers while maximizing their dynamic range. The paper describes a passive attenuator designed, implemented in 65 nm CMOS technology and characterized in the lab. Additionally, a 5 bit linear thermometer decoder [5] integrated in the same test chip is used to program the gain of the attenuator. The decoder sets the gain value according to the signal level received at the attenuator input. Also, an on-chip programmable matching network is used to provide a stable 50 Ω input resistance

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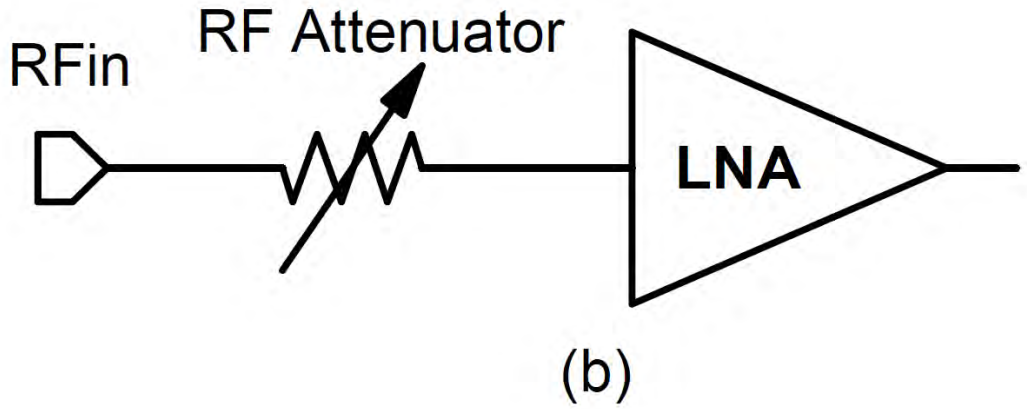
INTEL 1409

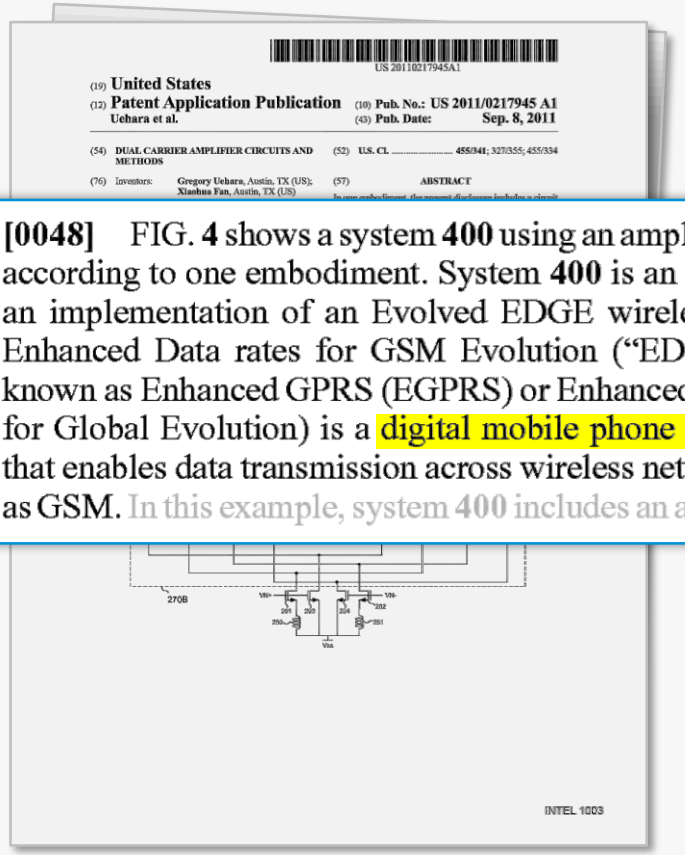
This paper proposes an RF attenuator linearization circuit used to vary the RF gain of mobile TV receivers while maximizing their dynamic range. The paper describes a



-00047 IPR, Ex. 1009 (Youssef) at 1999, Fig. 1(b)

Motivation to Combine Uehara and Youssef

Uehara



US 20110217945A1

(19) **United States**
(12) **Patent Application Publication**
Uehara et al.

(10) Pub. No.: US 2011/0217945 A1
(43) Pub. Date: Sep. 8, 2011

(54) DUAL CARRIER AMPLIFIER CIRCUITS AND METHODS

(52) U.S. Cl. 455/341; 327/355; 455/334

(76) Inventors: Gregory Uehara, Austin, TX (US); Xiaohua Fan, Austin, TX (US)

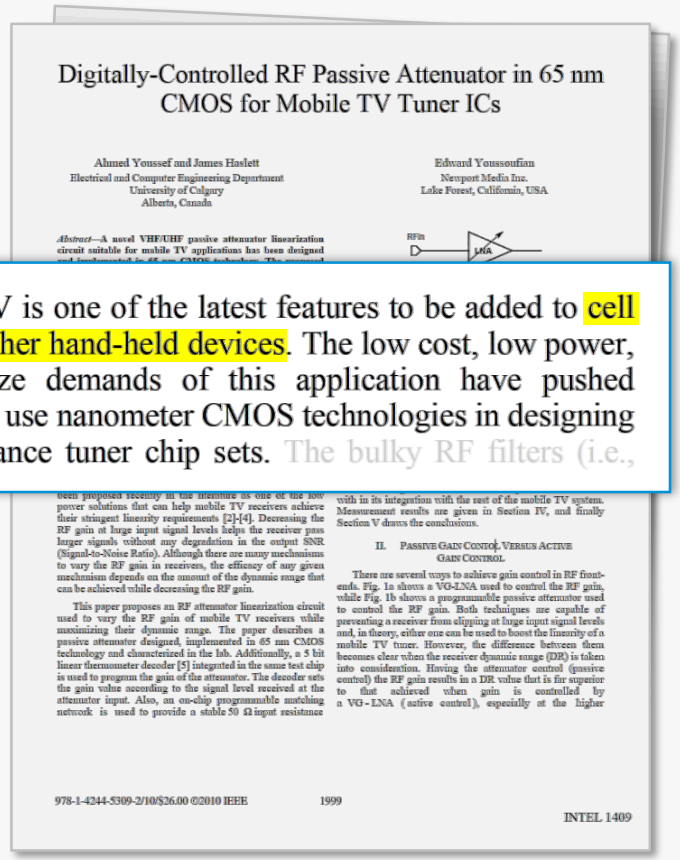
(57) ABSTRACT

[0048] FIG. **4** shows a system **400** using an amplifier circuit according to one embodiment. System **400** is an example of an implementation of an Evolved EDGE wireless system. Enhanced Data rates for GSM Evolution ("EDGE") (also known as Enhanced GPRS (EGPRS) or Enhanced Data rates for Global Evolution) is a digital mobile phone technology that enables data transmission across wireless networks such as GSM. In this example, system **400** includes an antenna **401**

270B

INTEL 1003

Youssef



Digitally-Controlled RF Passive Attenuator in 65 nm CMOS for Mobile TV Tuner ICs

Ahmed Youssef and James Haslett
Electrical and Computer Engineering Department
University of Calgary
Alberta, Canada

Edward Youssoufian
Newport Media Inc.
Lake Forest, California, USA

Abstract—A novel VHF/UHF passive attenuator linearization circuit suitable for mobile TV applications has been designed

RFin

LNA

Mobile TV is one of the latest features to be added to cell phones and other hand-held devices. The low cost, low power, and small size demands of this application have pushed researchers to use nanometer CMOS technologies in designing high performance tuner chip sets. The bulky RF filters (i.e.,

been proposed recently in the literature as one of the low power solutions that can help mobile TV receivers achieve their stringent linearity requirements [2]-[4]. Decreasing the RF gain at large input signal levels helps the receiver pass larger signals without any degradation in the output SNR (Signal-to-Noise Ratio). Although there are many mechanisms to vary the RF gain in receivers, the efficacy of any given mechanism depends on the amount of the dynamic range that can be achieved while decreasing the RF gain.

This paper proposes an RF attenuator linearization circuit used to vary the RF gain of mobile TV receivers while maximizing their dynamic range. The paper describes a passive attenuator designed, implemented in 65 nm CMOS technology and characterized in the lab. Additionally, a 5 bit linear thermometer decoder [5] integrated in the same test chip is used to program the gain of the attenuator. The decoder sets the gain value according to the signal level received at the attenuator input. Also, an on-chip programmable matching network is used to provide a stable 50 Ω input resistance

with in its integration with the rest of the mobile TV system. Measurement results are given in Section IV, and finally Section V draws the conclusions.

II. Passive Gain Control Versus Active Gain Control

There are several ways to achieve gain control in RF front-ends. Fig. 1a shows a VG-LNA used to control the RF gain, while Fig. 1b shows a programmable passive attenuator used to control the RF gain. Both techniques are capable of preventing a receiver from clipping at large input signal levels and, in theory, either one can be used to boost the linearity of a mobile TV tuner. However, the difference between them becomes clear when the receiver dynamic range (DR) is taken into consideration. Having the attenuator control (passive control) the RF gain results in a DR value that is far superior to that achieved when gain is controlled by a VG-LNA (active control), especially at the higher

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1999

INTEL 1409

-00047 IPR, Ex. 1003 (Uehara) at [0048] (annotated); -00047 IPR, Ex. 1009 (Youssef) at 1999 (annotated)

Motivation to Combine Uehara and Youssef

Fay Declaration

DOCKET NO.: 0107131-00573US5
Filed on behalf of Intel Corporation
By: David L. Cavanaugh, Reg. No. 36,476
John V. Hobgood, Reg. No. 61,540
Benjamin S. Fernandez, Reg. No. 55,172
Wilmer Cutler Pickering Hale and Dorr LLP
1875 Pennsylvania Ave., NW

129. A person of ordinary skill in the art would have coupled an attenuation circuit of Youssef to the first and second amplifier stages of Uehara to in order to prevent signal clipping and to suppress interfering signals. As

130. Furthermore, a person of ordinary skill would have been motivated to couple the attenuation circuit of Youssef to the first and second amplifier stages of Uehara to increase the receiver linearity (IIP3) and maintain a wide dynamic range. *See* EX1009-Youssef at 1999. As Youssef explains, traditional techniques

DECLARATION OF PATRICK FAY, PH.D.
U.S. PATENT NO. 9,154,356
CHALLENGING CLAIMS 2, 3, 4, 5, 6, and 10

INTEL 1402

Youssef

ends. Fig. 1a shows a VG-LNA used to control the RF gain, while Fig. 1b shows a programmable passive attenuator used to control the RF gain. Both techniques are capable of preventing a receiver from clipping at large input signal levels and, in theory, either one can be used to boost the linearity of a mobile TV tuner. However, the difference between them

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TV applications presents several challenges. Such an attenuator has to achieve certain characteristics so that it can protect the RF performance of a mobile TV receiver in the presence of interferer blockers as high as 0 dBm. Typically, it

suppress far-away interferer blockers are thus not an option for these integrated tuners. This results in tightening the linearity requirement of the RF front-end needed for mobile TV reception, and hence demands innovative design techniques to

to the mobile TV antenna for the entire gain range.

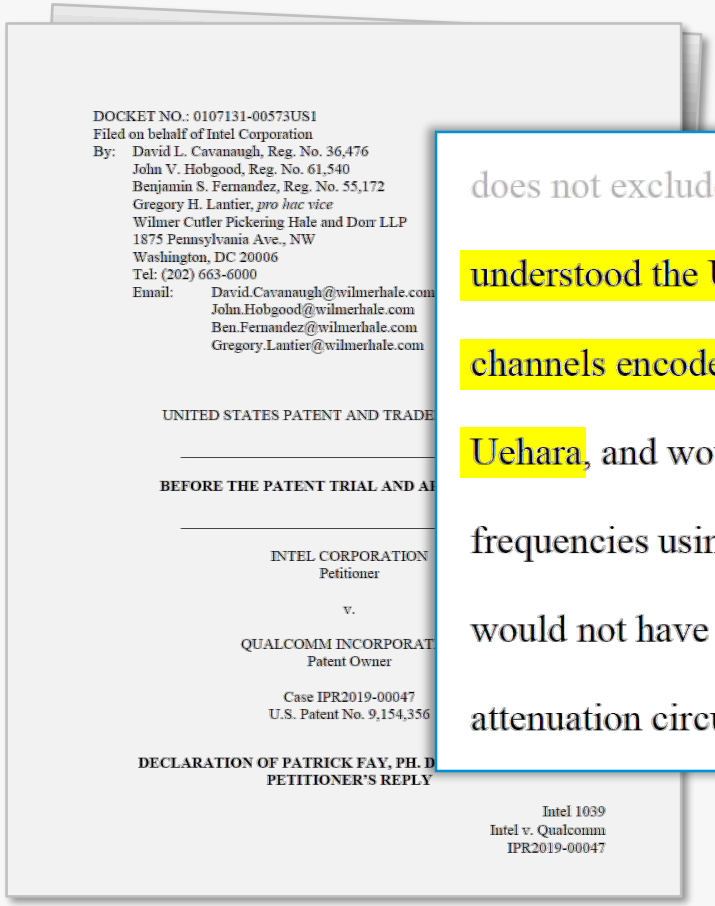
This paper is organized as follows. Section II discusses the advantages of using passive gain control over active gain

V. Conclusion

A novel RF attenuator linearization circuit has been proposed to overcome the shortcomings of having the VG-LNA alone control the mobile TV front-end gain. The attenuator designed in 65 nm CMOS technology enables a low power, highly linear, wide dynamic range front-end realization with low noise figure at sensitivity level. The attenuator design can be scaled to any application that requires a wide dynamic range RF front-end.

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶¶ 129, 130 (annotated); -00047 IPR, Ex. 1009 (Youssef) at 1999-2001 (annotated)

Motivation to Combine Uehara and Youssef



DOCKET NO.: 0107131-00573US1
Filed on behalf of Intel Corporation
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UNITED STATES PATENT AND TRADE

BEFORE THE PATENT TRIAL AND AI

INTEL CORPORATION
Petitioner

v.

QUALCOMM INCORPORAT
Patent Owner

Case IPR2019-00047
U.S. Patent No. 9,154,356

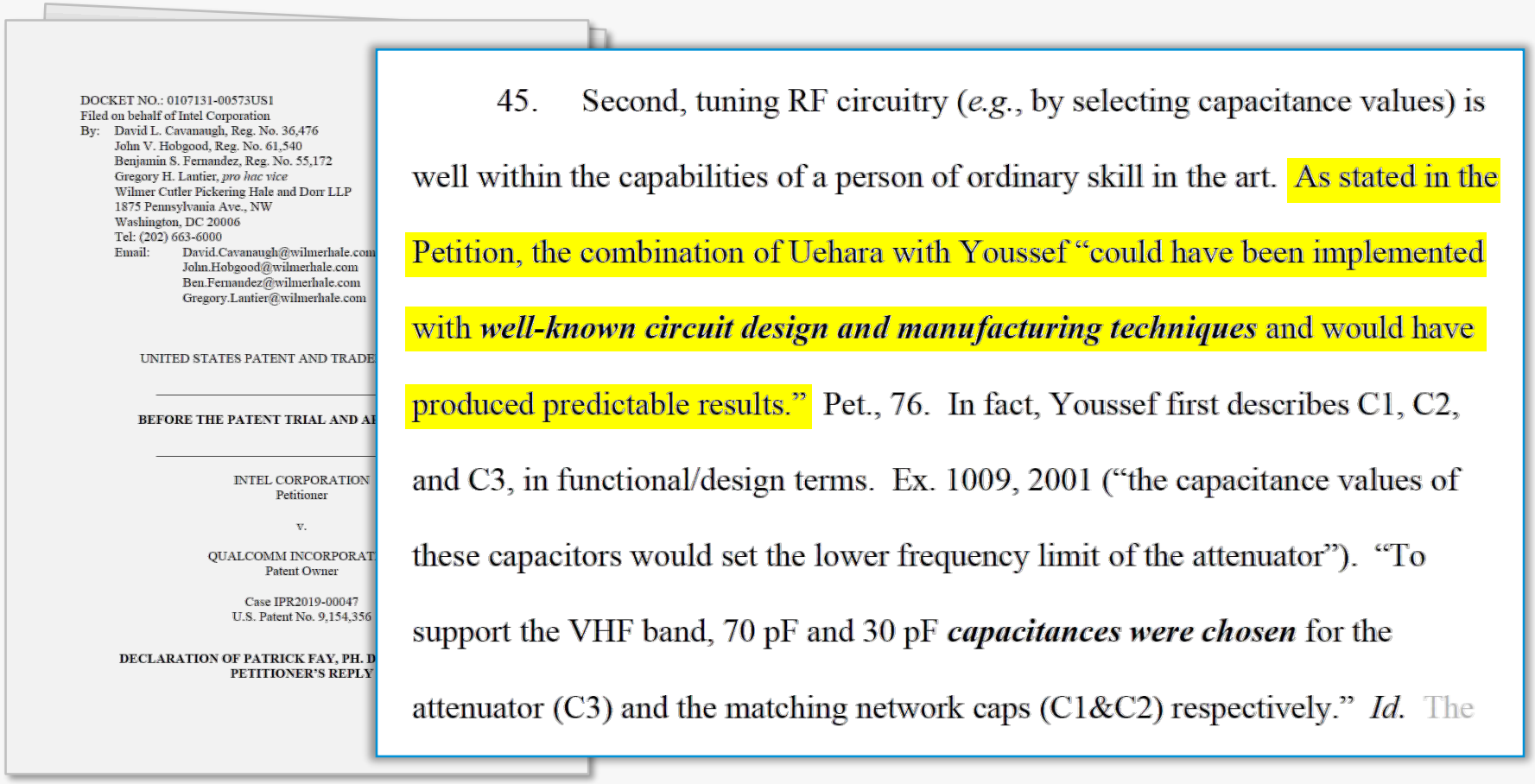
DECLARATION OF PATRICK FAY, PH. D
PETITIONER'S REPLY

Intel 1039
Intel v. Qualcomm
IPR2019-00047

does not exclude operation on UHF/VHF bands. A POSITA would have understood the UHF and VHF carriers described in Youssef to be within the "two channels encoded around two different carrier frequencies (i.e., dual carriers)" in Uehara, and would have understood that receiving carriers at UHF and/or VHF frequencies using the combination of Uehara and Youssef described in the Petition would not have involved changing the capacitance values of C1, C2, or C3 of the attenuation circuit of Youssef.

-00047 IPR, Ex. 1039 (Second Fay Decl.) ¶ 44 (annotated)

Motivation to Combine Uehara and Youssef



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UNITED STATES PATENT AND TRADE

BEFORE THE PATENT TRIAL AND AI

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U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH. D
PETITIONER'S REPLY

45. Second, tuning RF circuitry (*e.g.*, by selecting capacitance values) is well within the capabilities of a person of ordinary skill in the art. As stated in the Petition, the combination of Uehara with Youssef "could have been implemented with ***well-known circuit design and manufacturing techniques*** and would have produced predictable results." Pet., 76. In fact, Youssef first describes C1, C2, and C3, in functional/design terms. Ex. 1009, 2001 ("the capacitance values of these capacitors would set the lower frequency limit of the attenuator"). "To support the VHF band, 70 pF and 30 pF ***capacitances were chosen*** for the attenuator (C3) and the matching network caps (C1&C2) respectively." *Id.* The

-00047 IPR, Ex. 1039 (Second Fay Decl.) ¶ 45 (annotated)

Motivation to Combine Uehara and Feasibility Study

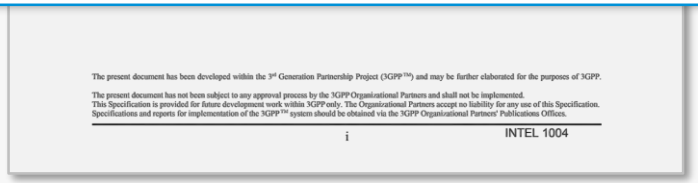
Motivation to Combine Uehara and Feasibility Study

3GPP TR 36.912 V9.1.0 (2009-12)

LTE-Advanced extends LTE Rel.-8 with support for *Carrier Aggregation*, where two or more *component carriers* (CCs) are aggregated in order to support wider transmission bandwidths up to 100MHz and for spectrum aggregation.

Carrier aggregation is supported for both contiguous and non-contiguous component carriers with each component carrier limited to a maximum of 110 Resource Blocks in the frequency domain using the LTE Rel-8 numerology

It is possible to configure a UE to aggregate a different number of component carriers originating from the same eNB and of possibly different bandwidths in the UL and the DL. In typical TDD deployments, the number of component


The present document has been developed within the 3rd Generation Partnership Project (3GPP™) and may be further elaborated for the purposes of 3GPP.

The present document has not been subject to any approval process by the 3GPP Organizational Partners and shall not be implemented.
This Specification is provided for future development work within 3GPP only. The Organizational Partners accept no liability for any use of this Specification.
Specifications and reports for implementation of the 3GPP™ system should be obtained via the 3GPP Organizational Partners' Publications Offices.

i

INTEL 1004

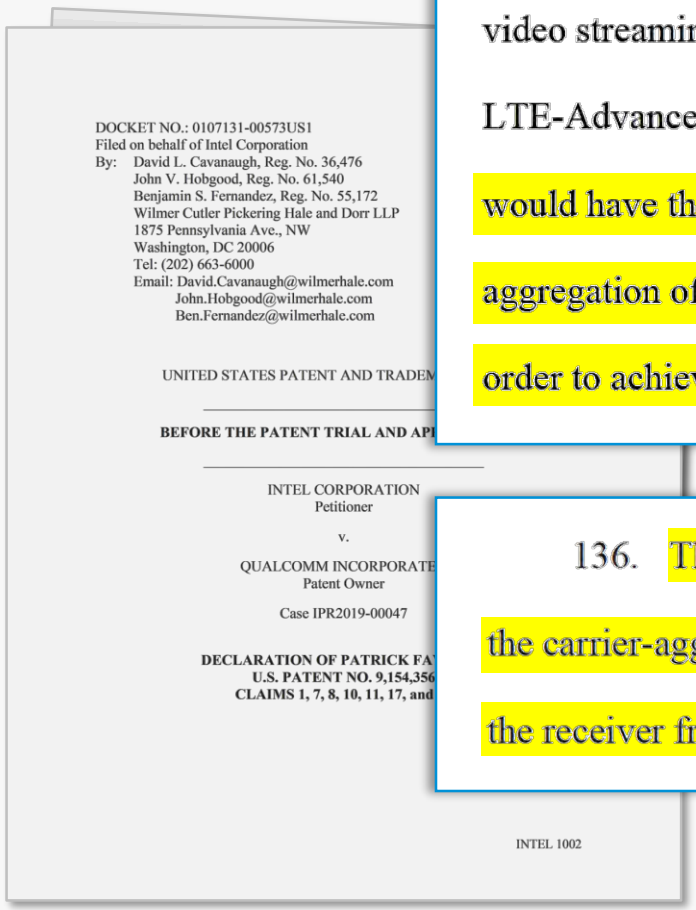
-00047 IPR, Ex. 1004 (Feasibility Study) at 8-9 (annotated)

Motivation to Combine Uehara and Feasibility Study

- "[A] POSITA would have found it obvious to turn to the receiver front end of Uehara in order to process the carrier aggregated input RF signal of the Feasibility Study and would have been motivated to combine those references."
  - "The Feasibility Study recognizes that wireless mobile devices can be configured to operate with input RF signals employing carrier aggregation."
  - "The Feasibility Study further suggests that an ideal receiver for noncontiguous intra-band and inter-band carrier aggregation would have multiple RF front-ends."
  - "The Feasibility Study characterizes an "RF front end" as having its own gain control (amplifier), mixer, and analog-to-digital conversion."
  - "Uehara teaches a wireless receiver using multiple signal paths for different carriers, in which each of the multiple signal paths includes its own amplifier, mixer, and analog-to-digital conversion."
  - "Uehara thus teaches the exact type of receiver that the Feasibility Study recognizes would work with signals employing carrier aggregation."

-00047 IPR, Ex. 1039 (Second Fay Decl.) at ¶ 49

Motivation to Combine Uehara and Feasibility Study



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UNITED STATES PATENT AND TRADEM

BEFORE THE PATENT TRIAL AND AP

INTEL CORPORATION
Petitioner

v.

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Patent Owner

Case IPR2019-00047

DECLARATION OF PATRICK FA
U.S. PATENT NO. 9,154,356
CLAIMS 1, 7, 8, 10, 11, 17, and

INTEL 1002

video streaming and video gameplay. Carrier aggregation is also a feature of LTE-Advanced and other systems, such as HSPA+. *Id.* A person of ordinary skill would have therefore been motivated to use the input RF signal employing carrier aggregation of the Feasibility Study with the receiver architecture of Uehara in order to achieve these benefits and support the feature of LTE-Advanced.

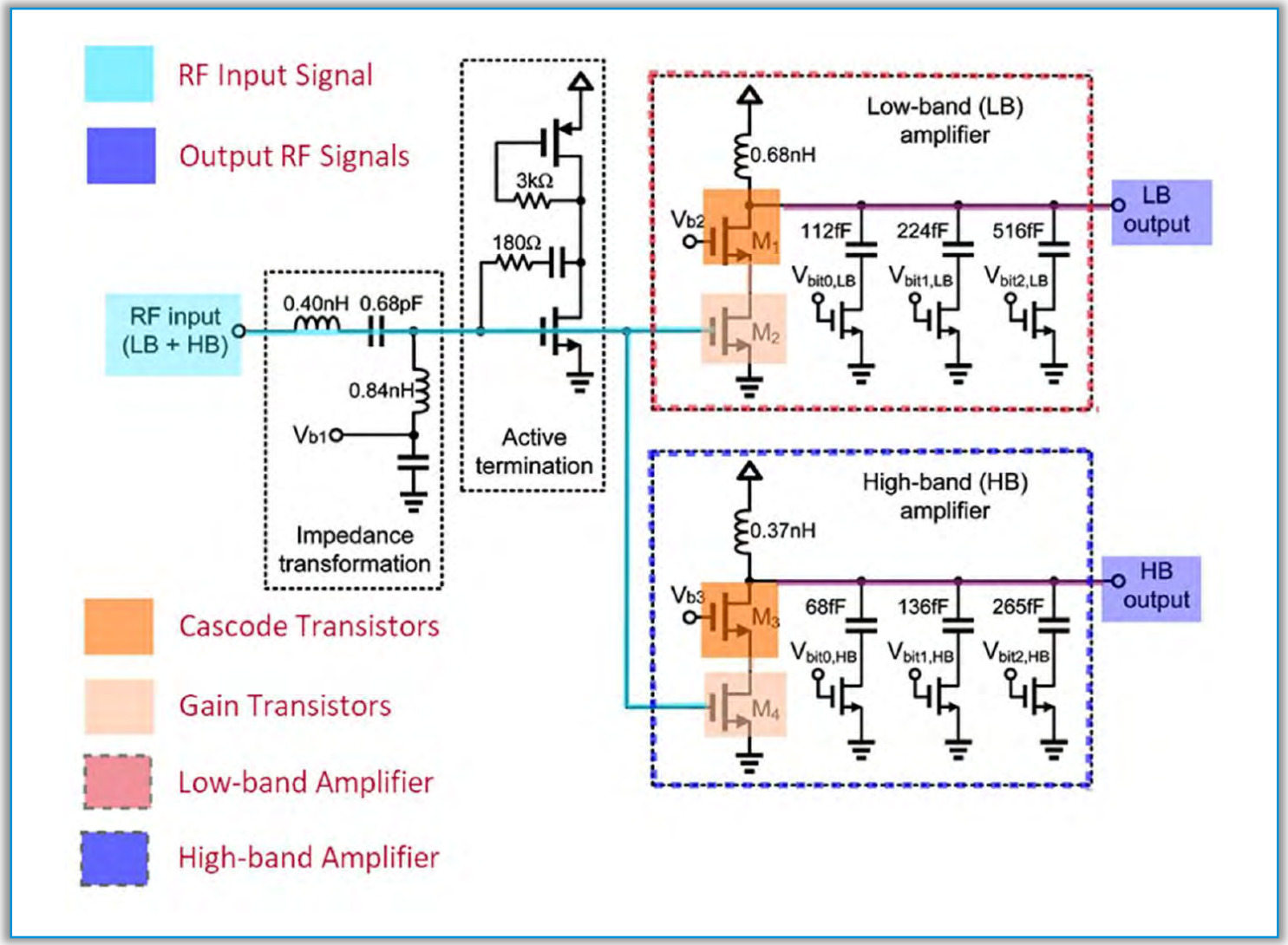
136. There would have been a reasonable expectation of success in using the carrier-aggregated input RF signals as described in the Feasibility Study with the receiver front-end of Uehara. The Feasibility Study recommends a receiver

-00047 IPR, Ex. 1002 (Fay Decl.) at ¶¶ 135, 136 (annotated)

IPR2019-00048 and IPR2019-00049

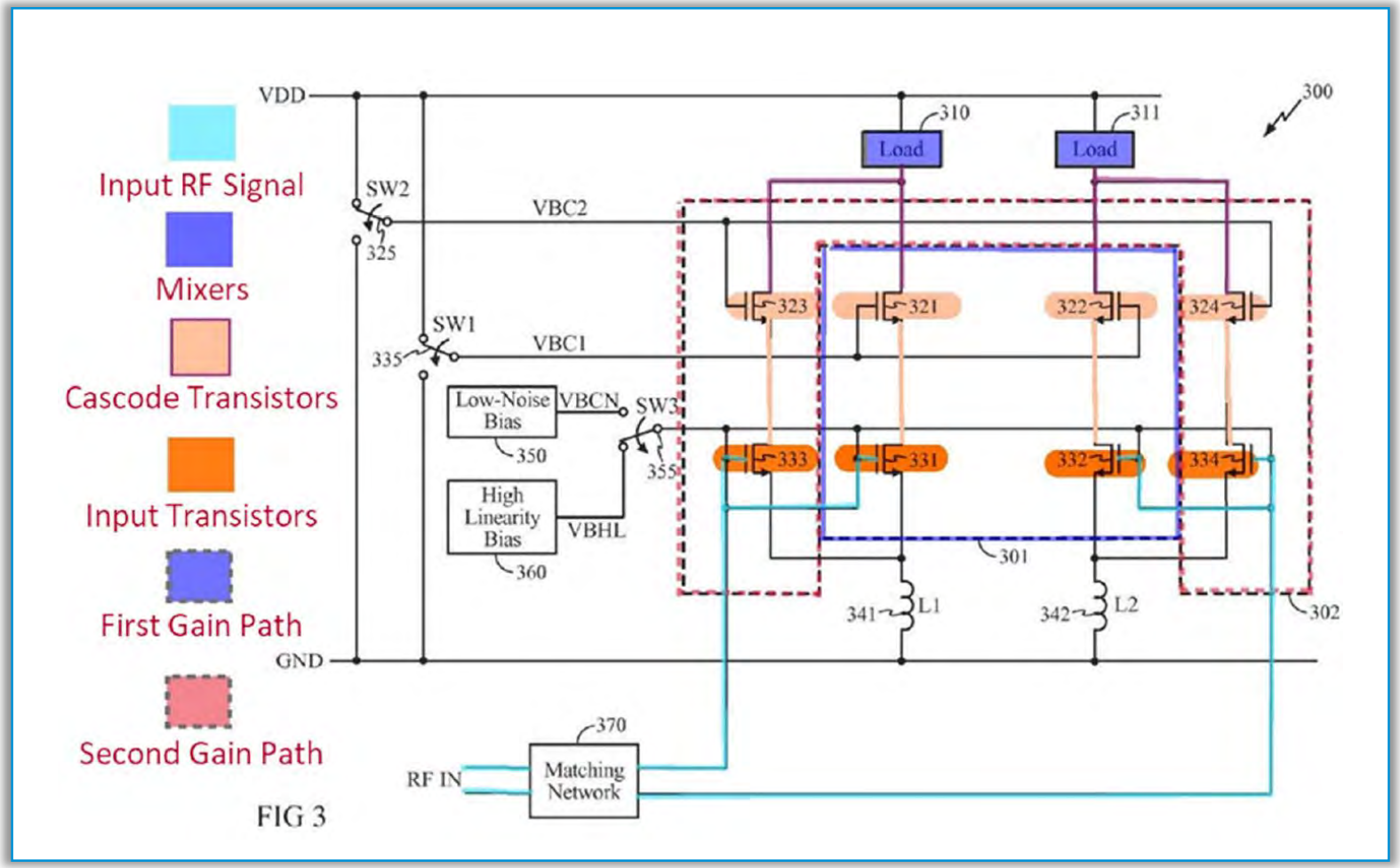
Overview of Prior Art for IPR2019-00048 and IPR2019-00049

Jeon



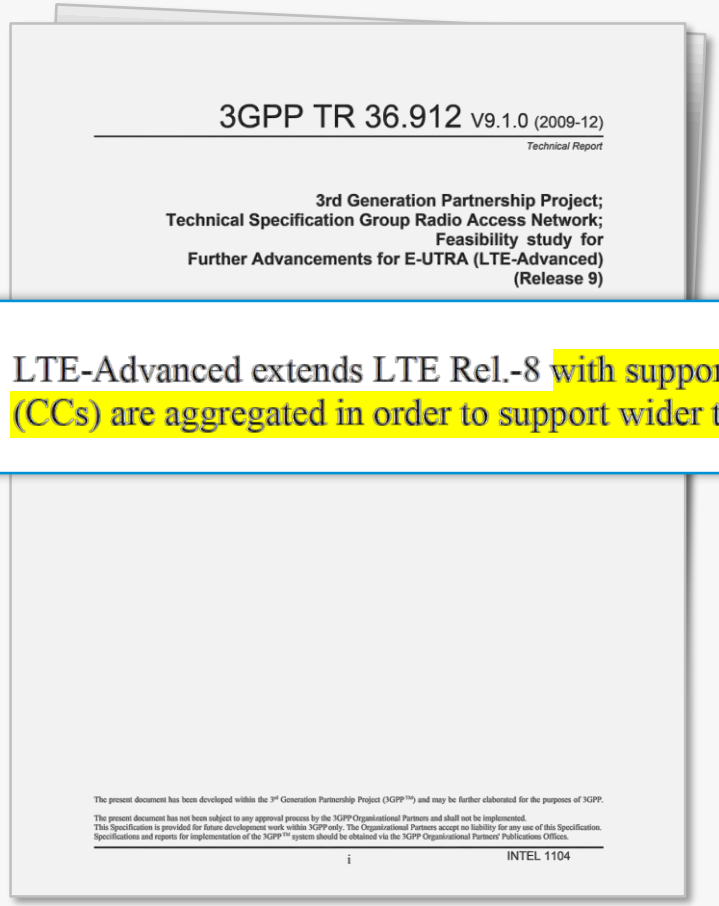
-00048 IPR, Paper 3 (Petition) at 35, Fig. 6

Xiong



-00048 IPR, Paper 3 (Petition) at 37, Fig. 3

Feasibility Study



3GPP TR 36.912 V9.1.0 (2009-12)

Technical Report

3rd Generation Partnership Project;
Technical Specification Group Radio Access Network;
Feasibility study for
Further Advancements for E-UTRA (LTE-Advanced)
(Release 9)

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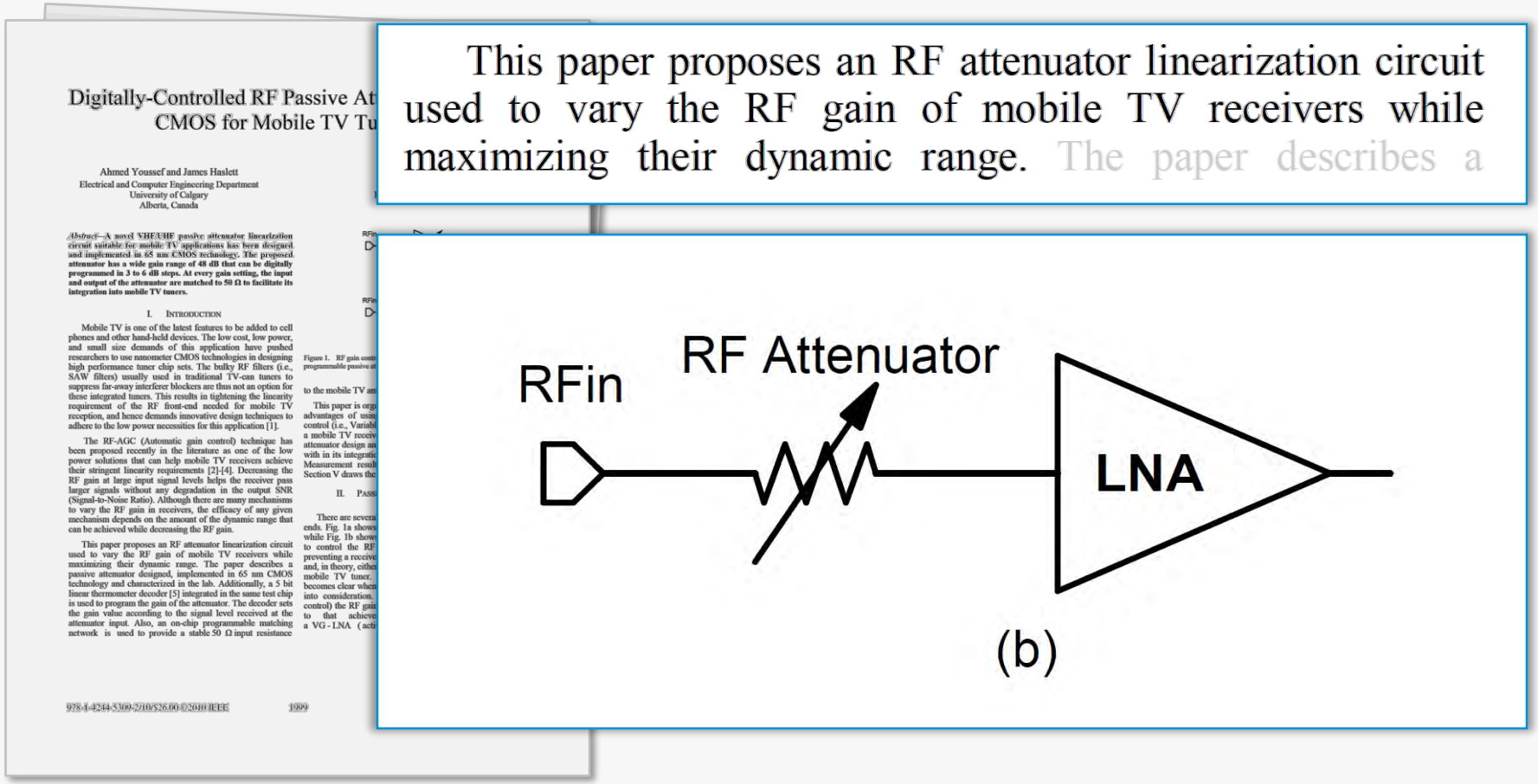
i

INTEL 1104

LTE-Advanced extends LTE Rel.-8 with support for *Carrier Aggregation*, where two or more *component carriers* (CCs) are aggregated in order to support wider transmission bandwidths up to 100MHz and for spectrum aggregation.

-00048 IPR, Ex. 1104 (Feasibility Study) at 8 (annotated)

Youssef



-00048 IPR, Ex. 1109 (Youssef) at 1999, Fig. 1(b)

Disputed Issues for IPR2019-00048 and IPR2019-00049

Disputed Issues for IPR2019-00048 and IPR2019-00049

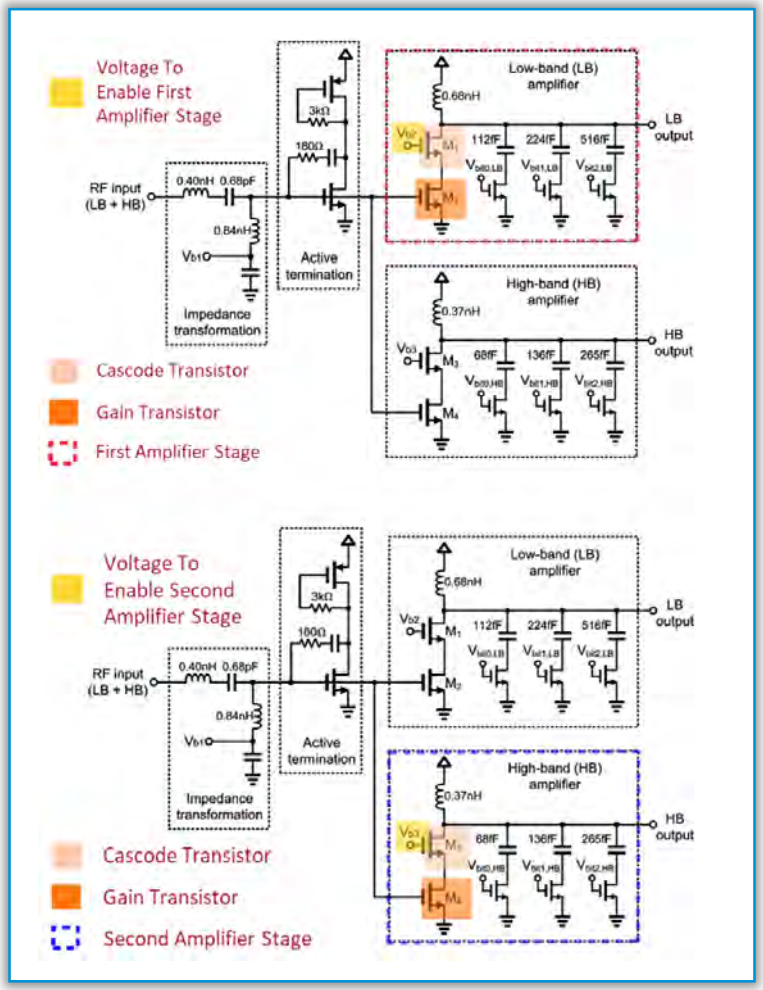
- Obviousness Based on Jeon and Xiong
  - Claims 1, 2-8, 11, 17, 18
- Motivation to Combine Jeon, Xiong, and Youssef
  - Claims 9 and 10
- Motivation to Combine Jeon, Xiong, and Feasibility Study
  - Claims 1, 2-11, 17, 18

Obviousness Based on Jeon and Xiong

Obviousness Based on Jeon and Xiong

Configured to be independently enabled or disabled

Jeon

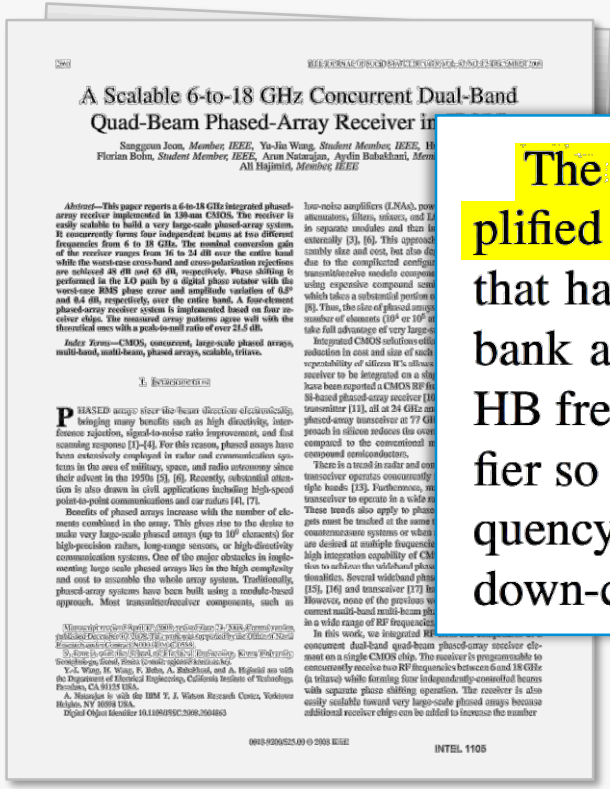


-00048 IPR, Paper 19 (Petitioner's Reply to POR) at 7

Obviousness Based on Jeon and Xiong

Configured to be independently enabled or disabled

Jeon



A Scalable 6-to-18 GHz Concurrent Dual-Band Quad-Beam Phased-Array Receiver in

Sanggeun Jeon, *Member, IEEE*, Yu-Jiu Wang, *Student Member, IEEE*, H
Florian Bohn, *Student Member, IEEE*, Arun Natarajan, Aydin Babakhani, *Mem*
Ali Hajimiri, *Member, IEEE*

Abstract—This paper reports a 6-to-18 GHz integrated phased-array receiver implemented in 130-nm CMOS. The receiver is easily scalable to build a very large-scale phased-array system. It concurrently forms four independent beams at two different frequencies from 6 to 18 GHz. The nominal conversion gain of the receiver ranges from 16 to 24 dB over the entire band while the worst-case cross-band and cross-polarization rejections are achieved 48 dB and 60 dB, respectively. Phase shifting is performed in the LO path by a digital phase rotator with the worst-case RMS phase error and amplitude variation of 0.8° and 0.4 dB, respectively, over the entire band. A four-element phased-array receiver system is implemented based on four receiver chips. The measured array patterns agree well with the theoretical ones with a peak-to-null ratio of over 21.5 dB.

Index Terms—CMOS, concurrent, large-scale phased arrays, multi-band, multi-beam, phased arrays, scalable, tritave.

I. Introduction

PHASED arrays steer the beam direction electronically, bringing many benefits such as high directivity, interference rejection, signal-to-noise ratio improvement, and fast scanning response [1]–[4]. For this reason, phased arrays have been extensively employed in radar and communication systems in the area of military, space, and radio astronomy since their advent in the 1950s [5], [6]. Recently, substantial attention is also drawn in civil applications including high-speed point-to-point communications and car radars [4], [7].

Benefits of phased arrays increase with the number of elements combined in the array. This gives rise to the desire to make very large-scale phased arrays (up to 10^5 elements) for high-precision radars, long-range sensors, or high-directivity communication systems. One of the major obstacles in implementing large scale phased arrays lies in the high complexity and cost to assemble the whole array system. Traditionally, phased-array systems have been built using a module-based approach. Most transmit/receiver components, such as

low-noise amplifiers (LNAs), pow
attenuators, filters, mixers, and L
in separate modules and then le
externally [3], [6]. This approach
assembly size and cost, but also de
due to the complicated configur
transmit/receive module compone
using expensive compound semi
which takes a substantial portion o
[8]. Thus, the size of phased arrays
number of elements (10^4 or 10^5 a
take full advantage of very large-s
Integrated CMOS solutions offe
reduction in cost and size of such
repeatability of silicon ICs allows
receiver to be integrated on a sin
have been reported a CMOS RF fr
Si-based phased-array receiver [10
transmitter [11], all at 24 GHz an
phased-array transceiver at 77 GH
proach in silicon reduces the over
compared to the conventional m
compound semiconductors.
There is a trend in radar and com
transceiver operates concurrently
tiple bands [13]. Furthermore, m
transceiver to operate in a wide r
These trends also apply to phase
gain must be tracked at the same t
countermeasure systems or when
are desired at multiple frequencie
high integration capability of CM
tion to achieve the wideband phase
tionalities. Several wideband phas
[15], [16] and transceiver [17] ha
However, none of the previous w
current multi-band multi-beam ph
in a wide range of RF frequencies
In this work, we integrated FI
concurrent dual-band quad-beam phased-array receiver ele-
ment on a single CMOS chip. The receiver is programmable to
concurrently receive two RF frequencies between 6 and 18 GHz
(a tritave) while forming four independently-controlled beams
with separate phase shifting operation. The receiver is also
easily scalable toward very large-scale phased arrays because
additional receiver chips can be added to increase the number

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INTEL 1105

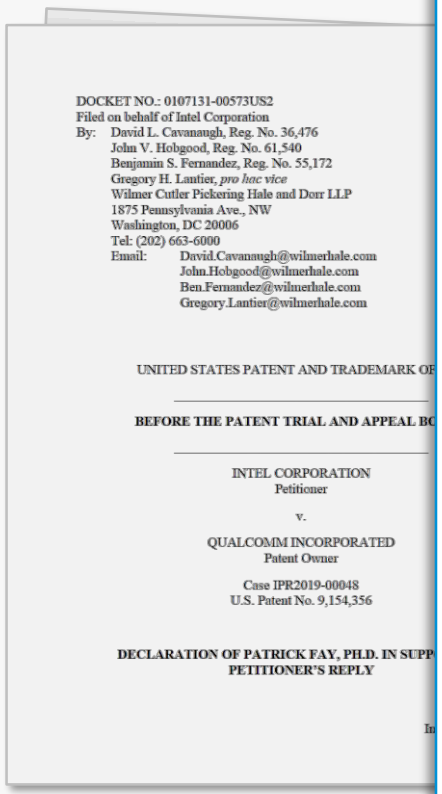
The RF signals at two frequencies are then selectively amplified by two separate cascode amplifiers (M_1–M_2, M_3–M_4) that have tunable LC output loads. A 3-bit switched capacitor bank at each output load is tuned to cover the entire LB and HB frequencies. This allows for the digital tuning of the amplifier so that it can provide the maximum gain at the desired frequency while attenuating out-of-band signals prior to the first down-conversion.

-00048 IPR, Ex. 1105 (Jeon) at 2665 (annotated)

Obviousness Based on Jeon and Xiong

Configured to be independently enabled or disabled

Jeon



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By: David L. Cavanaugh, Reg. No. 36,476
John V. Hobgood, Reg. No. 61,540
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Patent Owner

Case IPR2019-00048
U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH.D. IN SUPP
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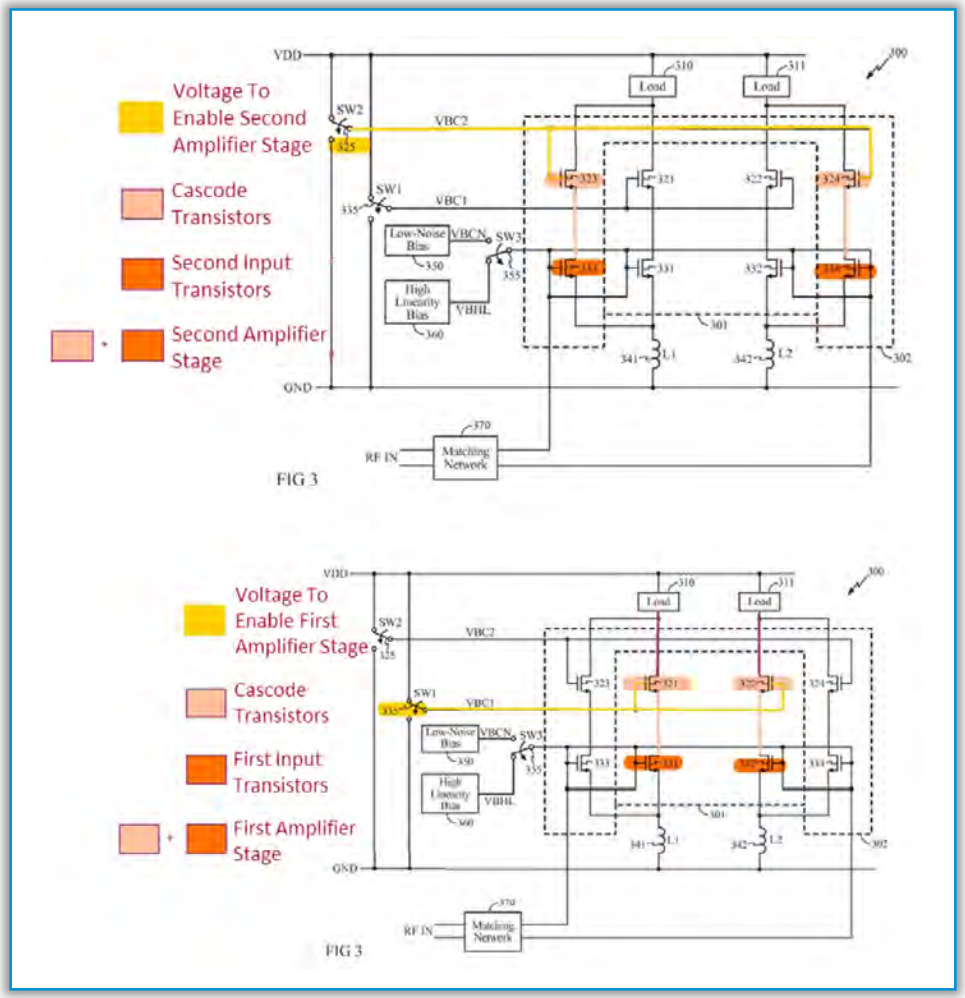
Each of the amplifier stages identified, above, is ***configured*** to be independently enabled or disabled at least in part due to the presence of distinct voltage signals (V_{b2} and V_{b3}) used to enable respective cascode transistors (M_1 and M_3). Ex. 1105, FIG. 6. My initial declaration explained that because Jeon uses two separate voltages to enable two separate cascode transistors, a POSITA "would have known the input voltage V_{b2} [(or V_{b3})] allows the first amplifier stage [(or second amplifier stage)] to be configured to be independently enabled or disabled". Ex. 1102, ¶¶80, 94. Further, during operation Jeon explicitly teaches that "RF signals at two frequencies are then ***selectively*** amplified by two separate cascode amplifiers (M_1–M_2, M_3–M_4)"—showing that each amplifier can be enabled or disabled independently. Ex. 1105, 2665.

-00048 IPR, Ex. 1139 (Second Fay Decl.) ¶ 30 (annotated)

Obviousness Based on Jeon and Xiong

Configured to be independently enabled or disabled

Xiong

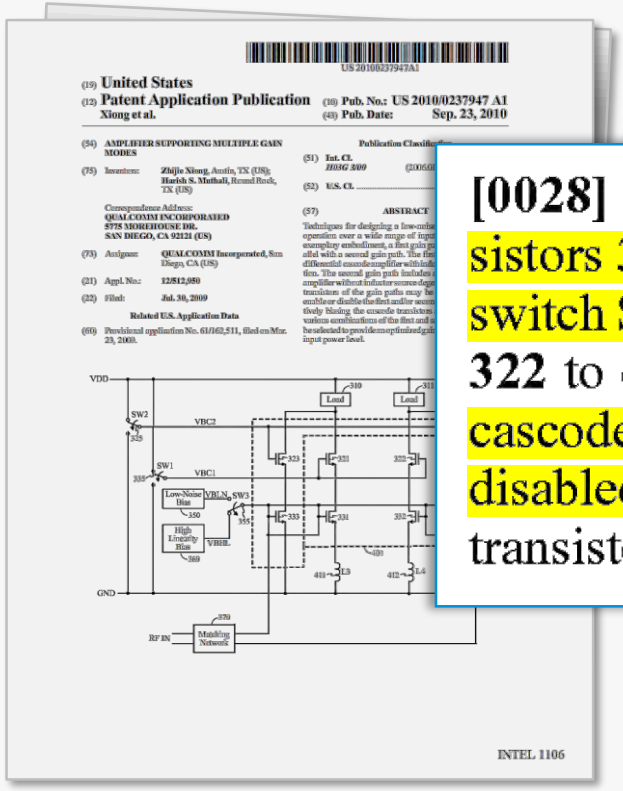


-00048 IPR, Paper 19 (Petitioner's Reply to POR) at 9

Obviousness Based on Jeon and Xiong

Configured to be independently enabled or disabled

Xiong



[0028] As further shown in FIG. **3**, the first cascode transistors **321**, **322** may be selectively enabled or disabled by a switch SW1 **335**, which pulls the gates of the transistors **321**, **322** to either a high or a low voltage. Similarly, the second cascode transistors **323**, **324** may be selectively enabled or disabled by a switch SW2 **325**, which pulls the gates of the transistors **323**, **324** to either a high or a low voltage.

-00048 IPR, Ex. 1106 (Xiong) at 0028 (annotated)

Obviousness Based on Jeon and Xiong

Configured to be independently enabled or disabled

Xiong

However, Patent Owner's singling out of a single use case of the circuitry of Xiong fails to rebut the Petition's showing that the amplifier stages of Xiong are ***configured*** to be independently enabled or disabled. Each amplifier stage in Figure 3 of Xiong, as identified in my initial declaration, has its own switch (325 and 335) to supply a voltage (VBC1 and VBC2) to respective cascode transistors (321/322 and 323/324). Ex. 1106, ¶28. A POSITA would understand that Xiong teaches at least four operational/control states, which I have listed in Table 1 below:

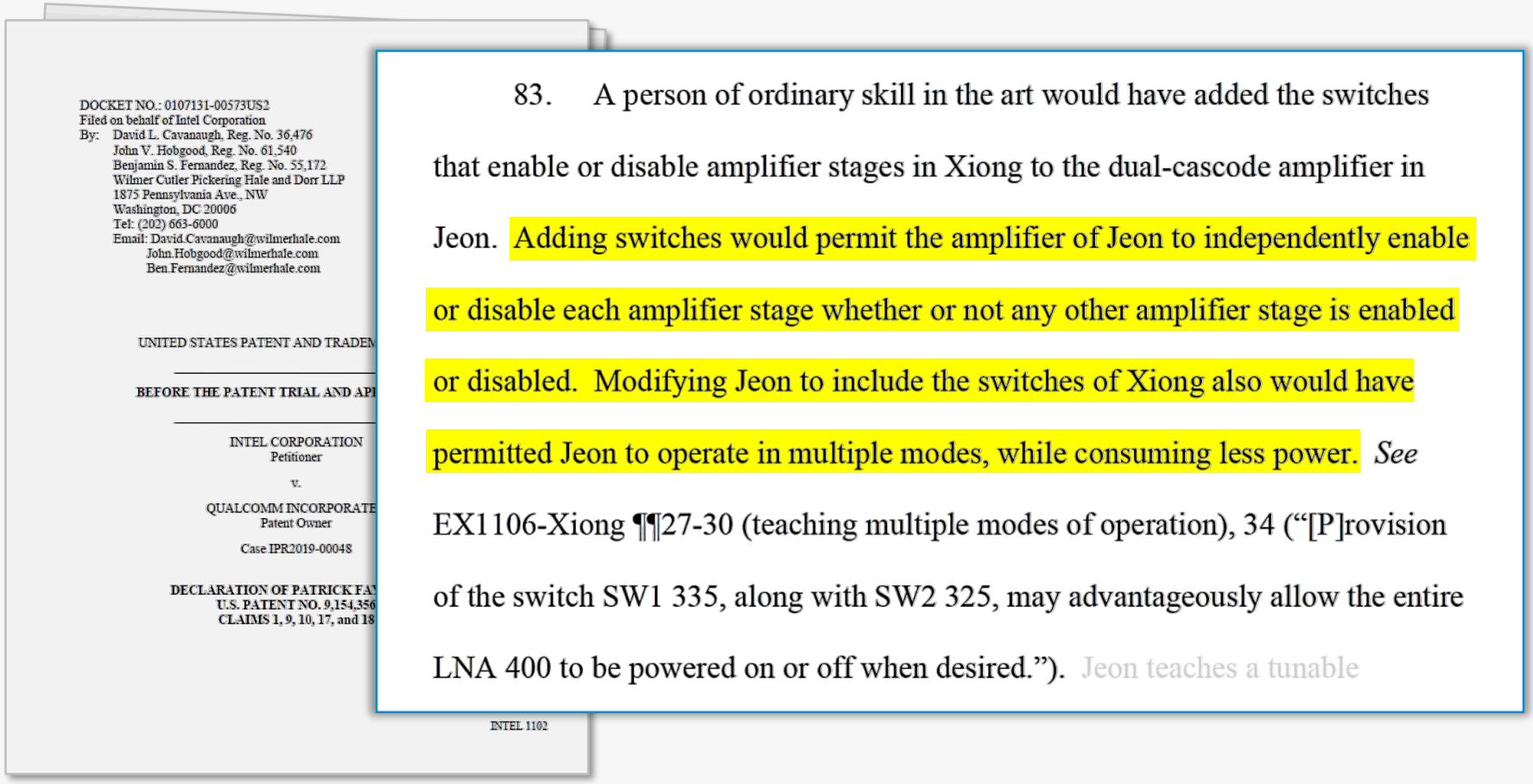
State	SW1 335 (VBC1)	SW2 325 (VBC2)
1	ON	OFF
2	OFF	ON
3	ON	ON
4	OFF	OFF

Table 1: Basic Control Voltage Configuration of Xiong Amplifier Stages

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 35 (annotated)

Obviousness Based on Jeon and Xiong

Motivation to Combine Jeon and Xiong



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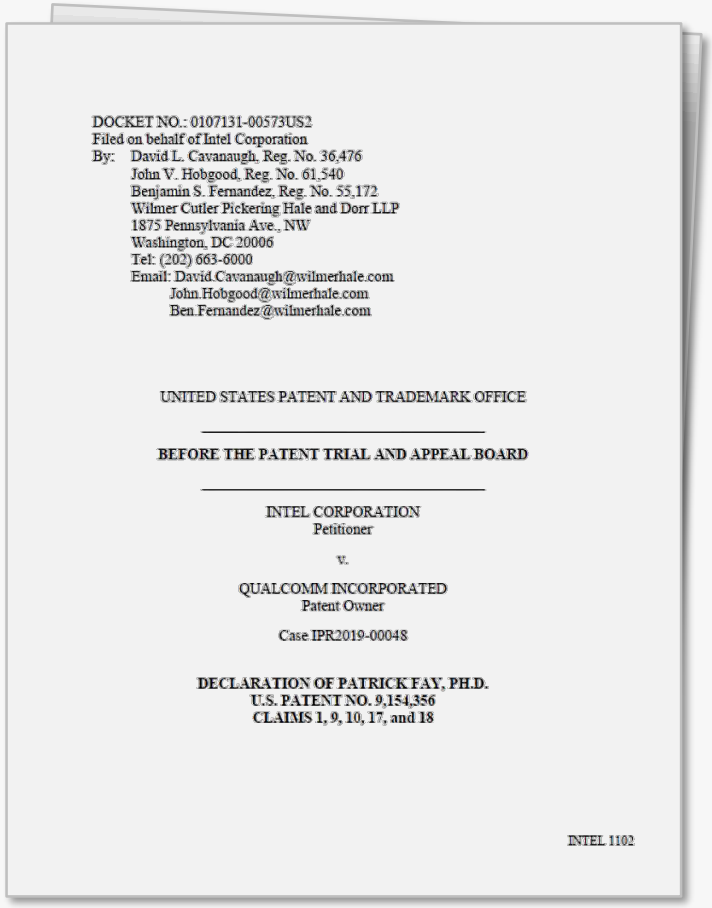
83. A person of ordinary skill in the art would have added the switches that enable or disable amplifier stages in Xiong to the dual-cascode amplifier in Jeon. Adding switches would permit the amplifier of Jeon to independently enable or disable each amplifier stage whether or not any other amplifier stage is enabled or disabled. Modifying Jeon to include the switches of Xiong also would have permitted Jeon to operate in multiple modes, while consuming less power. *See* EX1106-Xiong ¶¶27-30 (teaching multiple modes of operation), 34 ("[P]rovision of the switch SW1 335, along with SW2 325, may advantageously allow the entire LNA 400 to be powered on or off when desired."). Jeon teaches a tunable

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶ 83 (annotated)

Obviousness Based on Jeon and Xiong

Motivation to Combine Jeon and Xiong



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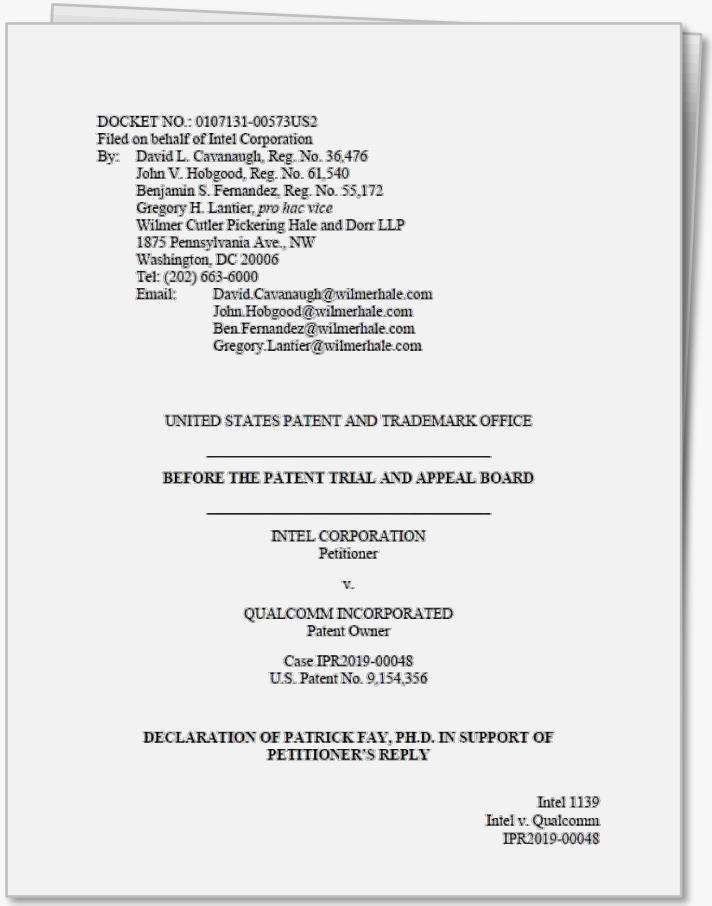
2660. Thus, Jeon implicitly teaches that concurrent dual-band operation is not needed in some cases, such that either the HB or the LB amplifier would remain unused. Xiong teaches enabling and disabling different amplifier stages for different modes of operation. *See* EX1106-Xiong ¶¶27-30 (teaching a "high linearity" and a "low noise" mode). Specifically, Xiong explains that different input RF signals may benefit from different amplifier characteristics, such as a low power signal benefiting from a high-gain, low noise LNA, and a high-power signal benefiting from good linearity to avoid distortion. *See id.* ¶5. Xiong uses independently enabled or disabled amplifier stages to achieve these different modes depending on the characteristics of the input signal (i.e. power level).

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶ 83 (annotated)

Obviousness Based on Jeon and Xiong

Motivation to Combine Jeon and Xiong



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Intel 1139
Intel v. Qualcomm
IPR2019-00048

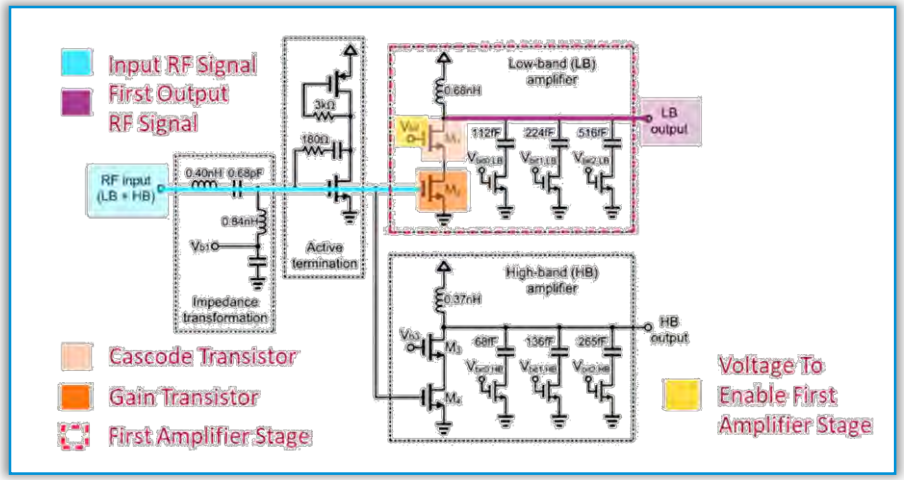
42. Patent Owner also mischaracterizes the motivation to combine to require adding more from Xiong to Jeon than argued in the Petition. As stated in the Petition, a POSITA would have found it obvious to use Xiong's switches to "selectively enable or disable" Jeon's cascode transistors to save power. *See* Pet., 47-49; Ex. 1102, ¶¶83-86. Contrary to Patent Owner's arguments on pages 45-46 of the POR, the Petition does not rely (nor does it need to rely) on Xiong's discussions that are specific to its adding/subtracting gain paths. Pet., 47-49. In other words, a POSITA would have understood how to modify the amplifier stages of Jeon using the switching topology of Xiong to make them "selectively enabled or disabled" to save power as taught by Xiong, and would not have been deterred

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 42 (annotated)

Obviousness Based on Jeon and Xiong

Providing a first/second output RF signal to a first/second load circuit

First Output RF Signal



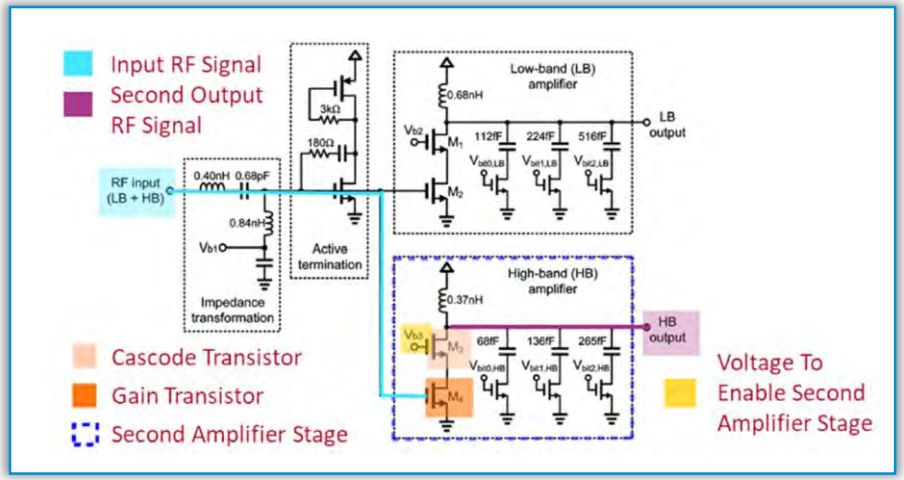
presented in Fig. 4. Since it is a concurrent dual-band receiver, the incoming RF signal contains two frequencies at LB and HB respectively, and feeds a front-end tunable concurrent amplifier (TCA). The TCA amplifies, filters, and finally splits the RF signal into two separate outputs; one at LB and the other at HB. Each of the two signals goes through separate double down-conversion by subsequent RF and IF mixers. The IF mixers generate the I and Q components of the

-00048 IPR, Paper 3 (Petition) at 49; -00048 IPR, Ex. 1105 (Jeon) at 2663 (annotated)

Obviousness Based on Jeon and Xiong

Providing a first/second output RF signal to a first/second load circuit

Second Output RF Signal

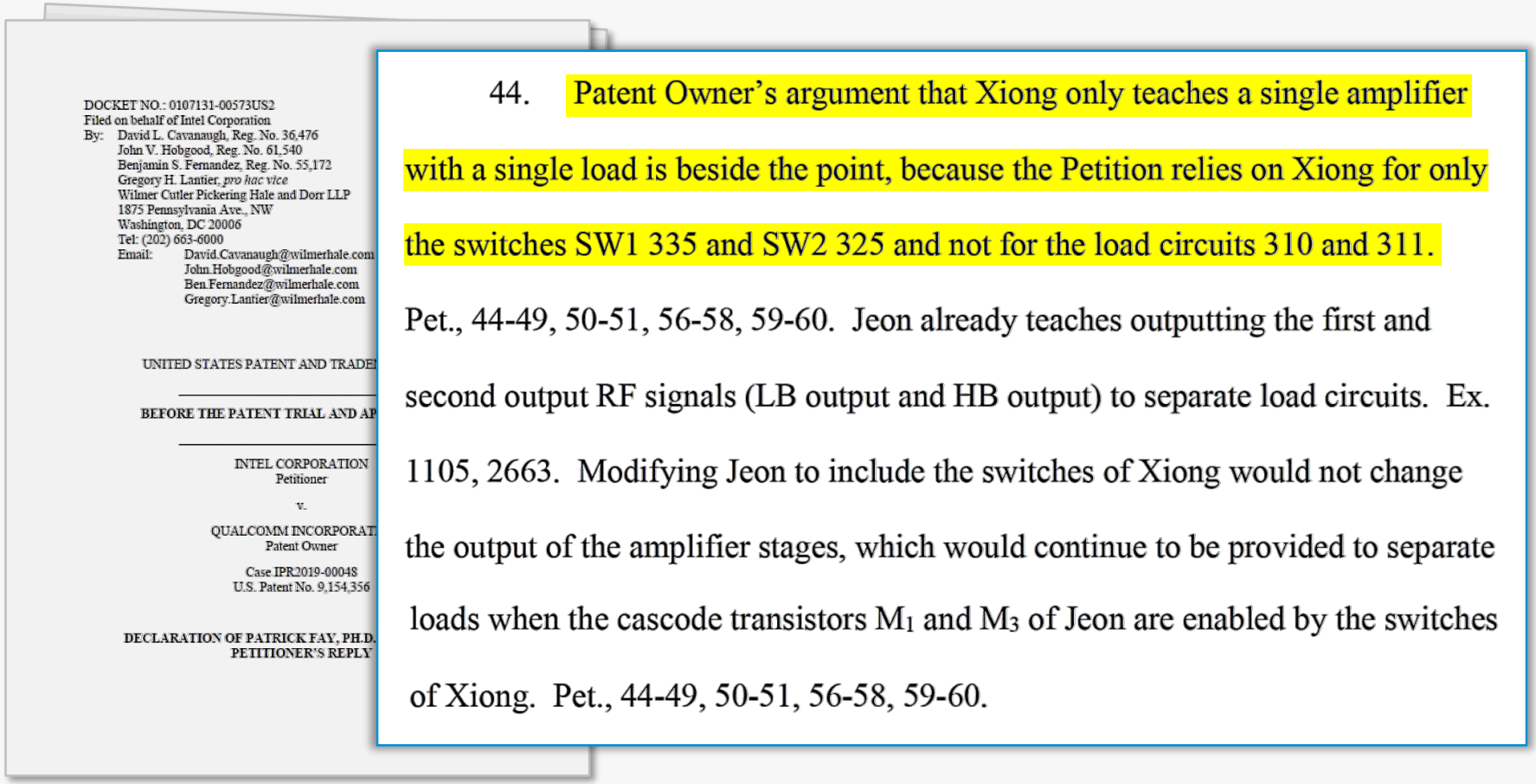


presented in Fig. 4. Since it is a concurrent dual-band receiver, the incoming RF signal contains two frequencies at LB and HB respectively, and feeds a front-end tunable concurrent amplifier (TCA). The TCA amplifies, filters, and finally splits the RF signal into two separate outputs; one at LB and the other at HB. Each of the two signals goes through separate double down-conversion by subsequent RF and IF mixers. The IF mixers generate the I and Q components of the

-00048 IPR, Paper 3 (Petition) at 58; -00048 IPR, Ex. 1105 (Jeon) at 2663 (annotated)

Obviousness Based on Jeon and Xiong

Providing a first/second output RF signal to a first/second load circuit



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44. Patent Owner's argument that Xiong only teaches a single amplifier with a single load is beside the point, because the Petition relies on Xiong for only the switches SW1 335 and SW2 325 and not for the load circuits 310 and 311. Pet., 44-49, 50-51, 56-58, 59-60. Jeon already teaches outputting the first and second output RF signals (LB output and HB output) to separate load circuits. Ex. 1105, 2663. Modifying Jeon to include the switches of Xiong would not change the output of the amplifier stages, which would continue to be provided to separate loads when the cascode transistors M_1 and M_3 of Jeon are enabled by the switches of Xiong. Pet., 44-49, 50-51, 56-58, 59-60.

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 44 (annotated)

Obviousness Based on Jeon and Xiong

The input RF signal employing carrier aggregation

Term	Petitioner's Construction
"carrier aggregation"	"simultaneous operation on multiple carriers"

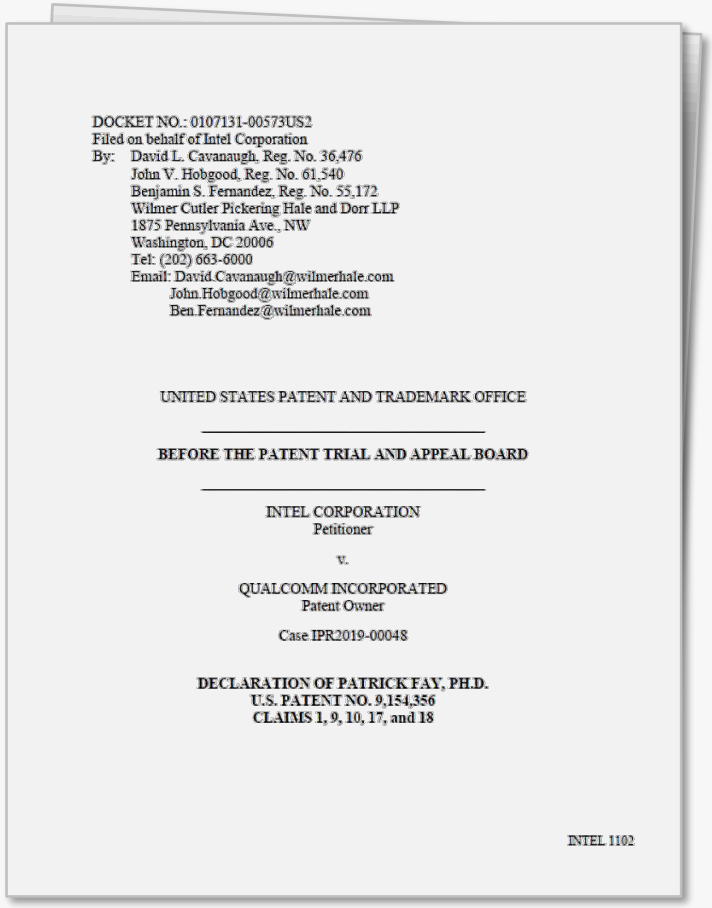
Jeon

concurrent amplifier in Figure 6 is "*a dual-band signal containing two different frequencies* concurrently, one in the *low band* (LB) from 6 to 10.4 GHz and the other in the *high band* (HB) from 10.4 to 18 GHz." Ex. 1105, 2662. When the

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 46 (annotated)

Obviousness Based on Jeon and Xiong

The input RF signal employing carrier aggregation



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18 GHz." EX1105-Jeon at 2662 (emphasis added). Low-band and high-band frequency ranges comprise different, non-overlapping carriers, as acknowledged by the '356 patent and as was known well before the '356 patent. *See* EX1101-'356-Patent at 2:59-63 ("Low-band, mid-band, and high-band refer to three groups of bands (or band groups), with each band group including a number of frequency bands (or simply, 'bands') . . . Each band . . . includes one or more carriers."). This means that the dual-band input RF signal necessarily contains two carriers at different frequencies. Jeon thus teaches an input RF signal comprising transmissions sent on multiple carriers at different frequencies. Furthermore, Jeon teaches the input RF signal employing carrier aggregation because it describes receiving "a dual-band signal containing two different frequencies ***concurrently***," as required for simultaneous operation on multiple carriers. EX1105-Jeon at 2662 (emphasis added). Finally, Jeon teaches that the multi-carrier signal is sent to a wireless device because the signal is transmitted, and received, wirelessly by a receiver.[16] *See* EX1105-Jeon at 2660, 2662.

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶ 89 (annotated)

Obviousness Based on Jeon and Xiong

The input RF signal employing carrier aggregation

Patent Owner's Cited Reference (GB 2472978)

and its expert rely for their construction teaches that "*Carrier aggregation mode* ***is*** ***also known as*** spectrum aggregation mode, *dual carrier mode* and dual cell

Jeon

concurrent amplifier in Figure 6 is "***a*** *dual-band signal containing two different* ***frequencies*** concurrently, one in the ***low band*** (LB) from 6 to 10.4 GHz and the other in the ***high band*** (HB) from 10.4 to 18 GHz." Ex. 1105, 2662. When the

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 46 (annotated)

Obviousness Based on Jeon and Xiong

The input RF signal employing carrier aggregation

- Jeon's dual carriers are "aggregated"

> 47. Patent Owner further argues that the Petition ignores the meaning of "aggregation." POR, 46. This is incorrect – when dual carriers are received simultaneously in the amplification circuit of Jeon, they are aggregated at the single input of the TCA of Jeon. *See* POR, 30 ("Aggregate means 'to collect together, assemble.'"). This is true regardless of whether or not the two carriers originate from a common source, or whether or not they are logically related to one another (*e.g.*, at the baseband level). The two carriers do not somehow travel down separate sides of the wire or avoid one another along the input.

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 47 (annotated)

Obviousness Based on Jeon and Xiong

The input RF signal employing carrier aggregation

- Jeon teaches "higher bandwidth"

for data transmission. A receiver that operates simultaneously on multiple carriers increases bandwidth because carriers occupy frequency ranges and transmitting data over multiple carriers increases bandwidth to the sum of the carriers' frequency ranges, as would have been understood by a person of ordinary skill in the art at the time of the Patent Owner's alleged conception date for the '356 patent. *See supra* ¶ 41 at Fig. 7 (showing carriers occupying bandwidth); *see also* EX1101-'356-Patent at 1:32-35 (noting that a "carrier may refer to a range of frequencies"), Figs. 2A-2D (showing carriers occupying bandwidth). Jeon teaches "a dual-band signal containing two different frequencies concurrently." EX1105-Jeon at 2662. Jeon's use of two frequency channels provides greater bandwidth than one channel. *See* Section III.C. Specifically, by sending data over two or more carriers, the bandwidth for data transmission necessarily increases to the sum of the first carrier's frequency range and the second carrier's frequency range.

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶ 90 (annotated)

Obviousness Based on Jeon and Xiong

The input RF signal employing carrier aggregation

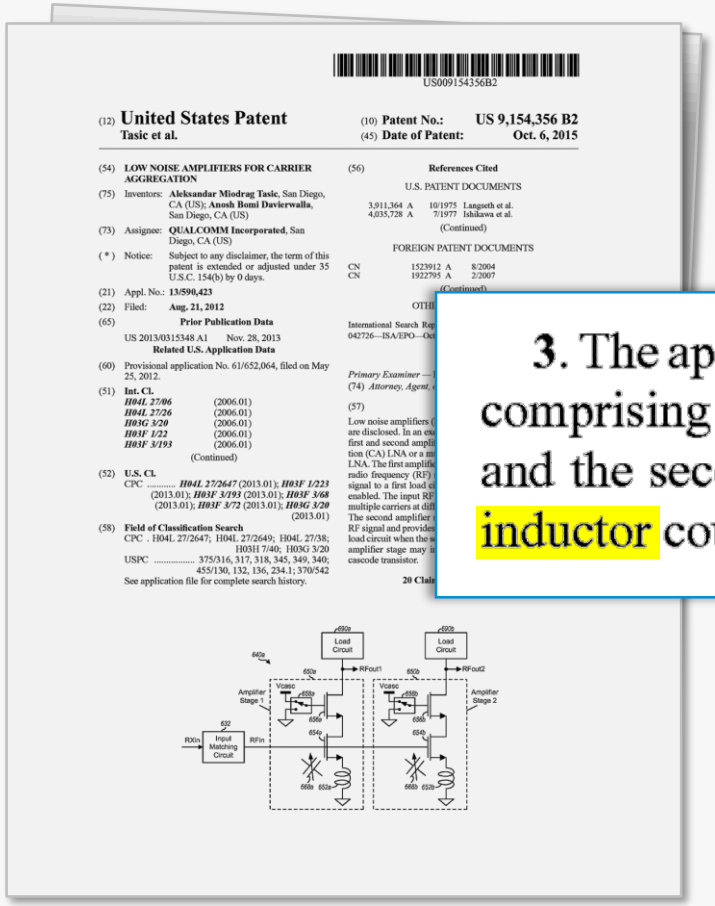
- Jeon teaches increased aggregated data rate

91. Jeon also teaches employing carrier aggregation to increase an aggregated data rate. When the total amount of data entering a wireless device increases, the wireless device (and the user of the device) experiences an "increased aggregated data rate." Jeon discloses an "incoming RF signal [that] contains two frequencies at LB and HB respectively." EX1105-Jeon at 2663. When non-redundant data is transmitted over these two frequencies, the data rate to the wireless device of Jeon increases because the device is receiving more data per unit of time. This is different than Hirose (EX1124-Hirose), which the Patent Owner distinguished during prosecution. Specifically, Jeon does not require the data sent over the dual carriers to be redundant data. *See* EX1115 at 2 (June 6, 2014 Resp. to Office Action). A person having ordinary skill in the art would have

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶ 91 (annotated)

Obviousness Based on Jeon and Xiong

Claim 3



US009154356B2

(12) **United States Patent**
Tasic et al.

(10) **Patent No.: US 9,154,356 B2**
(45) **Date of Patent: Oct. 6, 2015**

(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Boni Davierwalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/590,423**

(22) Filed: **Aug. 21, 2012**

(65) **Prior Publication Data**

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Related U.S. Application Data

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(51) **Int. Cl.**
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H04L 27/26 (2006.01)
H03G 3/20 (2006.01)
H03F 1/22 (2006.01)
H03F 3/193 (2006.01)
(Continued)

(52) **U.S. Cl.**
CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**
CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20
USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542
See application file for complete search history.

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(Continued)

OTH

International Search Rep
042726—ISA/EPO—Oct

Primary Examiner —
(74) *Attorney, Agent*

(57)

Low noise amplifiers (
are disclosed. In an ex
first and second amplif
tion (CA) LNA or a m
LNA. The first amplifie
radio frequency (RF)
signal to a first load ci
enabled. The input RF
multiple carriers at diff
The second amplifier
RF signal and provides
load circuit when the s
amplifier stage may i
cascode transistor.

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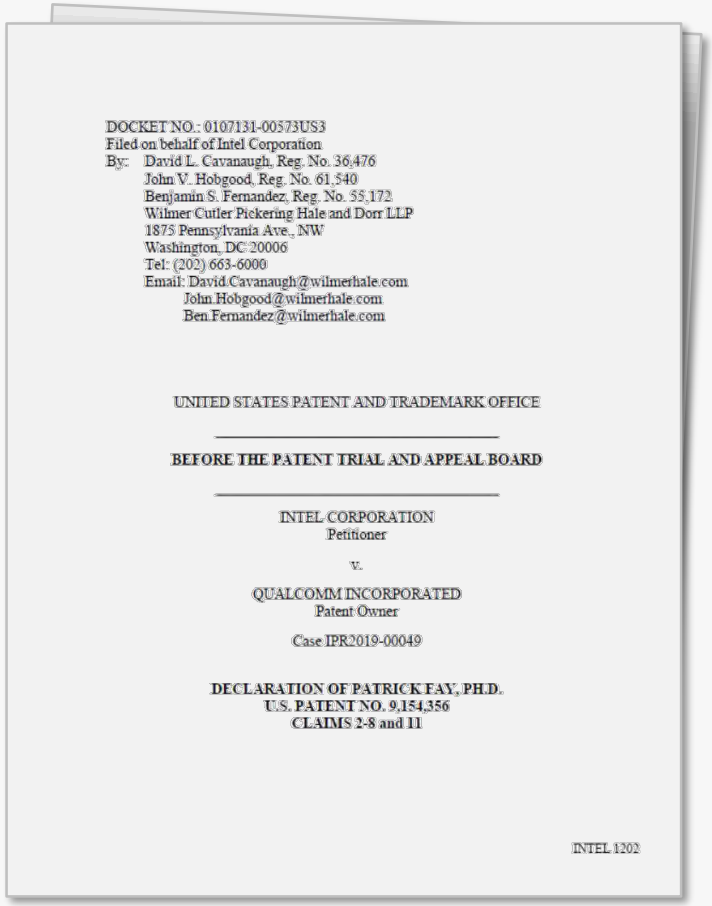
3. The apparatus of claim **2**, the first amplifier stage further comprising a first inductor coupled to the first gain transistor, and the second amplifier stage further comprising a second inductor coupled to the second gain transistor.

-00049 IPR, Ex. 1201 ('356 Patent) at Claim 3 (annotated)

Obviousness Based on Jeon and Xiong

Claim 3



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Filed on behalf of Intel Corporation
By: David L. Cavanaugh, Reg. No. 36,476
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BEFORE THE PATENT TRIAL AND APPEAL BOARD

INTEL CORPORATION
Petitioner

v.

QUALCOMM INCORPORATED
Patent Owner

Case IPR2019-00049

DECLARATION OF PATRICK FAY, PH.D.
U.S. PATENT NO. 9,154,356
CLAIMS 2-8 and 11

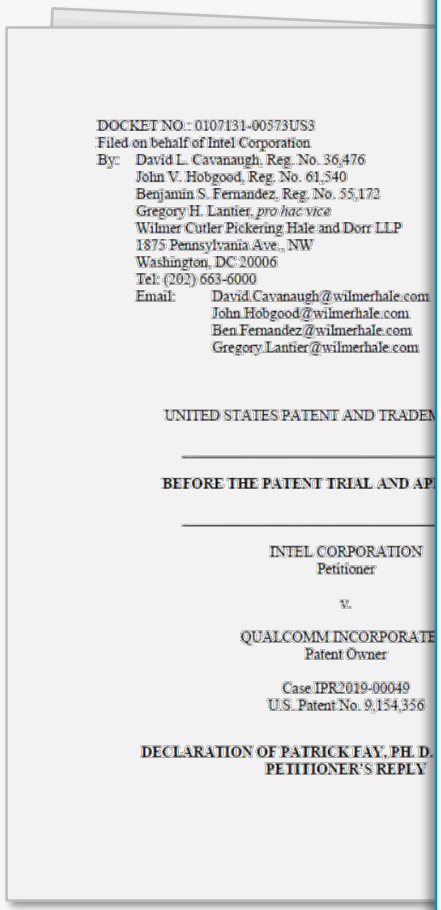
INTEL 1202

106. As described above, a person of ordinary skill would have attached a first inductor to the first gain transistor of Jeon, according to the teaching of Xiong. A person of ordinary skill would have also coupled a second inductor to a second gain transistor in Jeon to achieve the same benefits of impedance matching with low noise figure as is achieved for the first amplifier stage according to the teaching of Xiong. In particular, the amplifier stages of Jeon are shown to be separate amplifiers providing different outputs. *See* EX1205-Jeon at 2663, Fig. 6. Moreover, Jeon shows that the two outputs are in different frequency bands. *See id.* (showing "LB" low-band output and "HB" high-band output). A person of ordinary skill would have understood that amplifiers providing different outputs could benefit from the use of different inductance values, particularly if those outputs were targeted to cover different frequency ranges as in Jeon. The impedance matching conditions required for optimal low noise amplifier operation are a function of frequency, and so the choice of source degeneration inductance is also a function of the intended amplifier frequency of operation.

-00049 IPR, Ex. 1202 (Fay Decl.) at ¶ 106 (annotated)

Obviousness Based on Jeon and Xiong

Claim 3



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Case IPR2019-00049
U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH. D.
PETITIONER'S REPLY

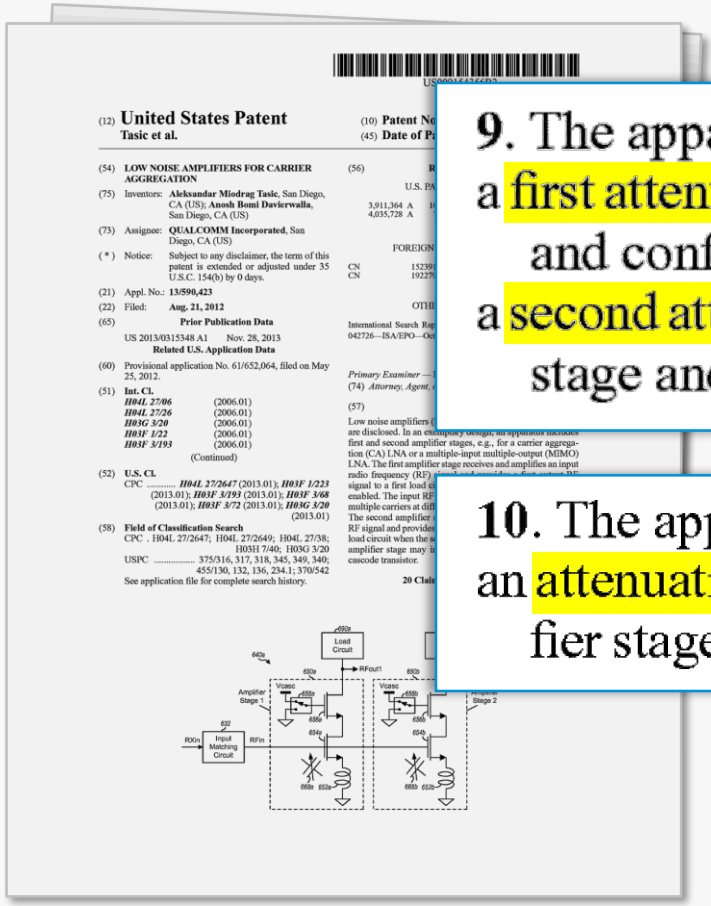
¶¶104-07. Patent Owner asserts that "Petitioner fails to sufficiently articulate a motivation to select and combine the references in this manner to improve the input impedance." POR, 49. To the contrary, the Petition, at pages 65-66, articulated several reasons to combine the references in the manner claimed: (1) source degeneration inductors were well-known and among the finite number of alternatives used to provide impedance matching, (2) source degeneration inductors would have improved similar systems in the same way (*e.g.*, to improve linearity, Ex. 1206, ¶32), and (3) there was reasonable expectation of success involving well-known circuit design and manufacturing techniques that would have produced predictable results. *See also* Ex. 1202, ¶¶104-05. Patent Owner does not rebut any of these reasons.

-00049 IPR, Ex. 1239 (Second Fay Decl.) at ¶ 50 (annotated)

Motivation to Combine Jeon, Xiong, and Youssef

Motivation to Combine Jeon, Xiong, and Youssef

Claims 9, 10



(12) **United States Patent**
Tasic et al.

(10) **Patent No**
(45) **Date of Pa**

(54) **LOW NOISE AMPLIFIERS FOR CARRIER AGGREGATION**

(75) Inventors: **Aleksandar Miodrag Tasic**, San Diego, CA (US); **Anosh Boni Davierwalla**, San Diego, CA (US)

(73) Assignee: **QUALCOMM Incorporated**, San Diego, CA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/590,423**

(22) Filed: **Aug. 21, 2012**

(65) **Prior Publication Data**

US 2013/0315348 A1 Nov. 28, 2013

Related U.S. Application Data

(60) Provisional application No. 61/652,064, filed on May 25, 2012.

(51) **Int. Cl.**
H04L 27/06 (2006.01)
H04L 27/26 (2006.01)
H03G 3/20 (2006.01)
H03F 1/22 (2006.01)
H03F 3/193 (2006.01)
(Continued)

(52) **U.S. Cl.**
CPC ***H04L 27/2647*** (2013.01); ***H03F 1/223*** (2013.01); ***H03F 3/193*** (2013.01); ***H03F 3/68*** (2013.01); ***H03F 3/72*** (2013.01); ***H03G 3/20*** (2013.01)

(58) **Field of Classification Search**
CPC . H04L 27/2647; H04L 27/2649; H04L 27/38; H03H 7/40; H03G 3/20
USPC 375/316, 317, 318, 345, 349, 340; 455/130, 132, 136, 234.1; 370/542
See application file for complete search history.

(56) U.S. PA
3,911,364 A
4,035,728 A
FOREIGN
CN
CN
OTH
International Search Rep
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Primary Examiner
(74) *Attorney, Agent*

(57)

Low noise amplifiers
are disclosed. In an
first and second amplifier stages, e.g., for a carrier aggregation (CA) LNA or a multiple-input multiple-output (MIMO) LNA. The first amplifier stage receives and amplifies an input radio frequency (RF)
signal to a first load
enabled. The input RF
multiple carriers at
The second amplifier
RF signal and provides
load circuit when the
amplifier stage may
cascade transistor.

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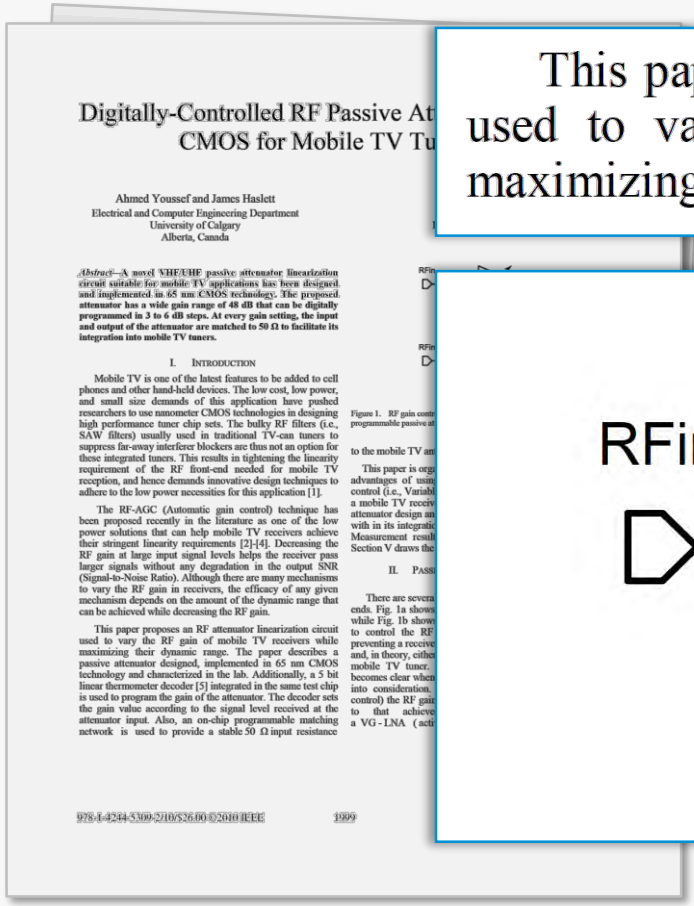
Load Circuit
RFout1
Amplifier Stage 1
Vcasc
Amplifier Stage 2
RXin
Input Matching Circuit
RFin

9. The apparatus of claim **1**, further comprising:
a first attenuation circuit coupled to the first amplifier stage and configured to receive the input RF signal; and
a second attenuation circuit coupled to the second amplifier stage and configured to receive the input RF signal.

10. The apparatus of claim **1**, further comprising:
an attenuation circuit coupled to the first and second amplifier stages and configured to receive the input RF signal.

-00048 IPR, Ex. 1101 ('356 Patent) at Claim 9, 10 (annotated)

Motivation to Combine Jeon, Xiong, and Youssef



Digitally-Controlled RF Passive At
CMOS for Mobile TV Tu

Ahmed Youssef and James Haslett
Electrical and Computer Engineering Department
University of Calgary
Alberta, Canada

Abstract—A novel VHF/UHF passive attenuator linearization circuit suitable for mobile TV applications has been designed and implemented in 65 nm CMOS technology. The proposed attenuator has a wide gain range of 48 dB that can be digitally programmed in 3 to 6 dB steps. At every gain setting, the input and output of the attenuator are matched to 50 Ω to facilitate its integration into mobile TV tuners.

I. Introduction

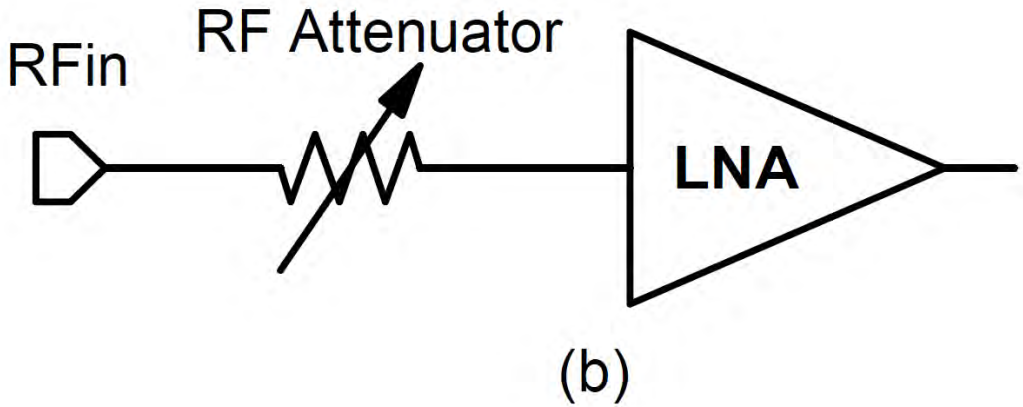
Mobile TV is one of the latest features to be added to cell phones and other hand-held devices. The low cost, low power, and small size demands of this application have pushed researchers to use nanometer CMOS technologies in designing high performance tuner chip sets. The bulky RF filters (i.e., SAW filters) usually used in traditional TV-can tuners to suppress far-away interferer blockers are thus not an option for these integrated tuners. This results in tightening the linearity requirement of the RF front-end needed for mobile TV reception, and hence demands innovative design techniques to adhere to the low power necessities for this application [1].

The RF-AGC (Automatic gain control) technique has been proposed recently in the literature as one of the low power solutions that can help mobile TV receivers achieve their stringent linearity requirements [2]-[4]. Decreasing the RF gain at large input signal levels helps the receiver pass larger signals without any degradation in the output SNR (Signal-to-Noise Ratio). Although there are many mechanisms to vary the RF gain in receivers, the efficacy of any given mechanism depends on the amount of the dynamic range that can be achieved while decreasing the RF gain.

This paper proposes an RF attenuator linearization circuit used to vary the RF gain of mobile TV receivers while maximizing their dynamic range. The paper describes a passive attenuator designed, implemented in 65 nm CMOS technology and characterized in the lab. Additionally, a 5 bit linear thermometer decoder [5] integrated in the same test chip is used to program the gain of the attenuator. The decoder sets the gain value according to the signal level received at the attenuator input. Also, an on-chip programmable matching network is used to provide a stable 50 Ω input resistance

978-1-4244-5309-2/10/$26.00 ©2010 IEEE

This paper proposes an RF attenuator linearization circuit used to vary the RF gain of mobile TV receivers while maximizing their dynamic range. The paper describes a



(b)

-00048 IPR, Ex. 1109 (Youssef) at 1999, Fig. 1(b)

Motivation to Combine Jeon, Xiong, and Youssef

Fay Declaration

Section III.D.3, attenuation circuits were commonly used to provide impedance matching and gain control, as well as suppression of interference signals and prevention of signal clipping, before the Patent Owner's alleged conception date

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Filed on behalf of Intel Corporation

alternatives, such as variable gain LNAs. *Id.* The use of programmable attenuation circuits allows the amplifier gain to be modified in response to operational conditions (e.g., signal strength), while at the same time maintaining or improving linearity (IIP3). Thus, as Youssef explains, an attenuator enables "a low

BEFORE THE PATENT TRIAL AND APPEAL BOARD

figure." *Id.* at 2001. A person of ordinary skill would have been motivated to couple the attenuation circuit of Youssef to the first amplifier stage of the amplifier of Jeon in view of Xiong in order to achieve such "low power, highly linear, wide dynamic range" front-end realizations.

INTEL 1102

Youssef

ends. Fig. 1a shows a VG-LNA used to control the RF gain, while Fig. 1b shows a programmable passive attenuator used to control the RF gain. Both techniques are capable of preventing a receiver from clipping at large input signal levels and, in theory, either one can be used to boost the linearity of a mobile TV tuner. However, the difference between them

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Newport Media Inc.

TV applications presents several challenges. Such an attenuator has to achieve certain characteristics so that it can protect the RF performance of a mobile TV receiver in the presence of interferer blockers as high as 0 dBm. Typically, it

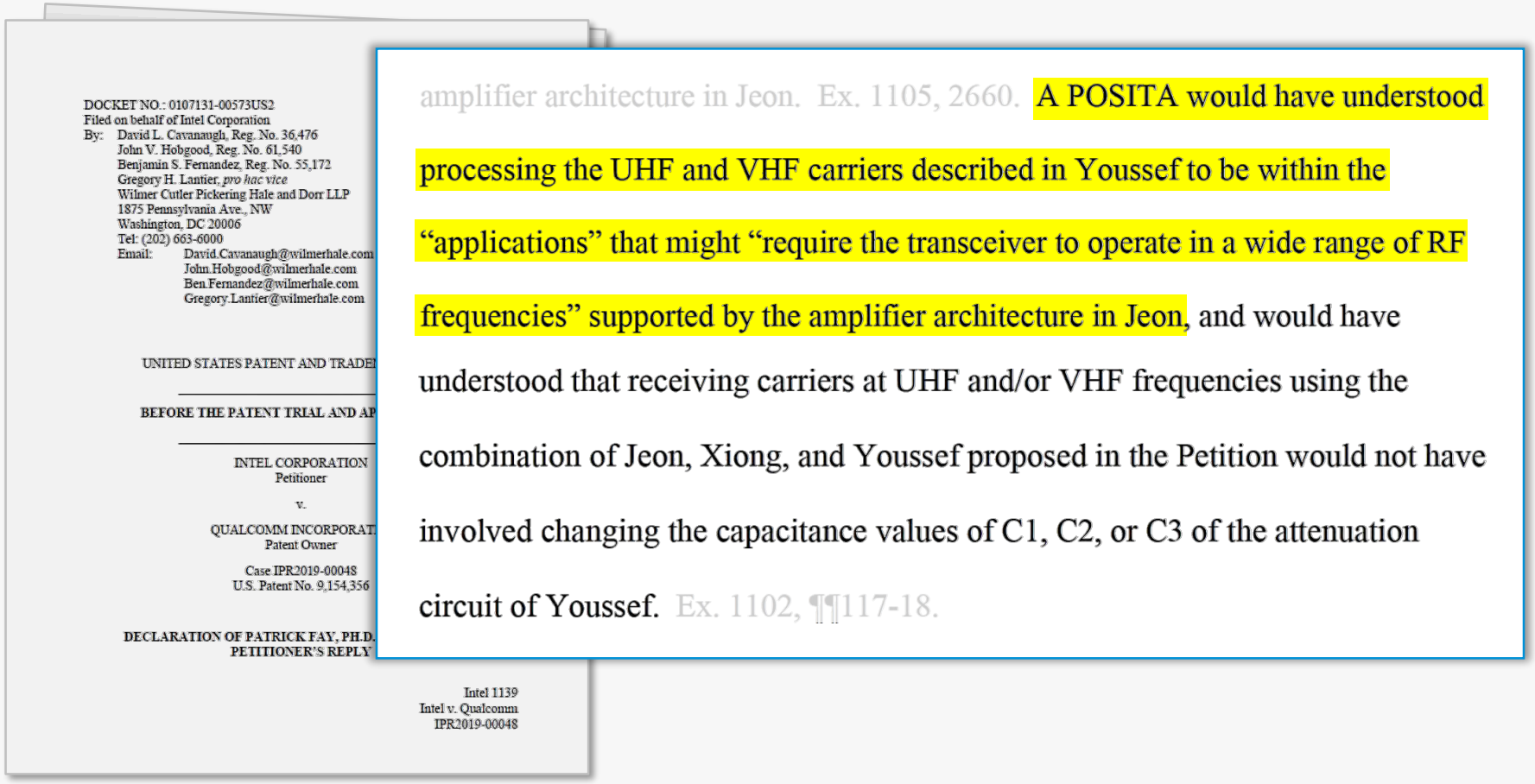
to the mobile TV antenna for the entire gain range.

V. Conclusion

A novel RF attenuator linearization circuit has been proposed to overcome the shortcomings of having the VG-LNA alone control the mobile TV front-end gain. The attenuator designed in 65 nm CMOS technology enables a low power, highly linear, wide dynamic range front-end realization with low noise figure at sensitivity level. The attenuator design can be scaled to any application that requires a wide dynamic range RF front-end.

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶¶ 117 (annotated); Ex. 1109 (Youssef) at 1999-2001 (annotated)

Motivation to Combine Jeon, Xiong, and Youssef



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Case IPR2019-00048
U.S. Patent No. 9,154,356

DECLARATION OF PATRICK FAY, PH.D.
PETITIONER'S REPLY

Intel 1139
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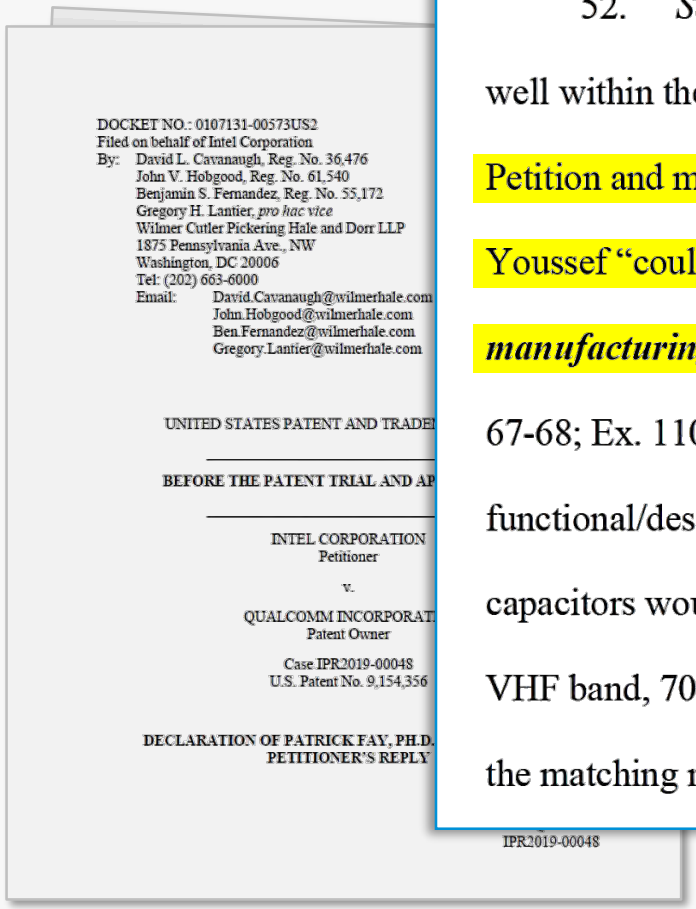
IPR2019-00048

amplifier architecture in Jeon. Ex. 1105, 2660. A POSITA would have understood processing the UHF and VHF carriers described in Youssef to be within the "applications" that might "require the transceiver to operate in a wide range of RF frequencies" supported by the amplifier architecture in Jeon, and would have understood that receiving carriers at UHF and/or VHF frequencies using the combination of Jeon, Xiong, and Youssef proposed in the Petition would not have involved changing the capacitance values of C1, C2, or C3 of the attenuation circuit of Youssef. Ex. 1102, ¶¶117-18.

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 51 (annotated)

Motivation to Combine Jeon, Xiong, and Youssef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PETITIONER'S REPLY

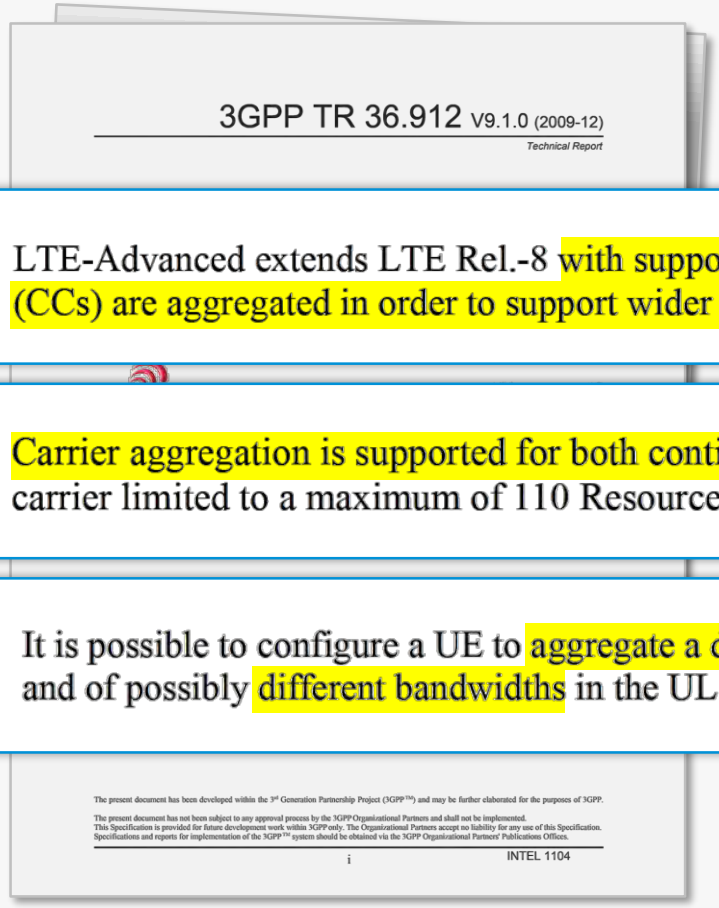
IPR2019-00048

52. *Second*, tuning RF circuitry *(e.g.*, by selecting capacitance values) is well within the capabilities of a person of ordinary skill in the art. As stated in the Petition and my initial declaration, the combination of Jeon and Xiong with Youssef "could have been implemented with ***well-known circuit design and manufacturing techniques*** and would have produced predictable results." Pet., 67-68; Ex. 1102, ¶118. In fact, Youssef first describes C1, C2, and C3, in functional/design terms. Ex. 1109, 2001 ("the capacitance values of these capacitors would set the lower frequency limit of the attenuator"). "To support the VHF band, 70 pF and 30 pF ***capacitances were chosen*** for the attenuator (C3) and the matching network caps (C1&C2) respectively." *Id.* The '356 patent also

-00048 IPR, Ex. 1139 (Second Fay Decl.) at ¶ 52 (annotated)

Motivation to Combine Jeon, Xiong, and Feasibility Study

Motivation to Combine Jeon, Xiong, and Feasibility Study



3GPP TR 36.912 V9.1.0 (2009-12)
Technical Report

LTE-Advanced extends LTE Rel.-8 with support for *Carrier Aggregation*, where two or more *component carriers* (CCs) are aggregated in order to support wider transmission bandwidths up to 100MHz and for spectrum aggregation.

Carrier aggregation is supported for both contiguous and non-contiguous component carriers with each component carrier limited to a maximum of 110 Resource Blocks in the frequency domain using the LTE Rel-8 numerology

It is possible to configure a UE to aggregate a different number of component carriers originating from the same eNB and of possibly different bandwidths in the UL and the DL. In typical TDD deployments, the number of component

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The present document has not been subject to any approval process by the 3GPP Organizational Partners and shall not be implemented.
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Specifications and reports for implementation of the 3GPP™ system should be obtained via the 3GPP Organizational Partners' Publications Offices.

i

INTEL 1104

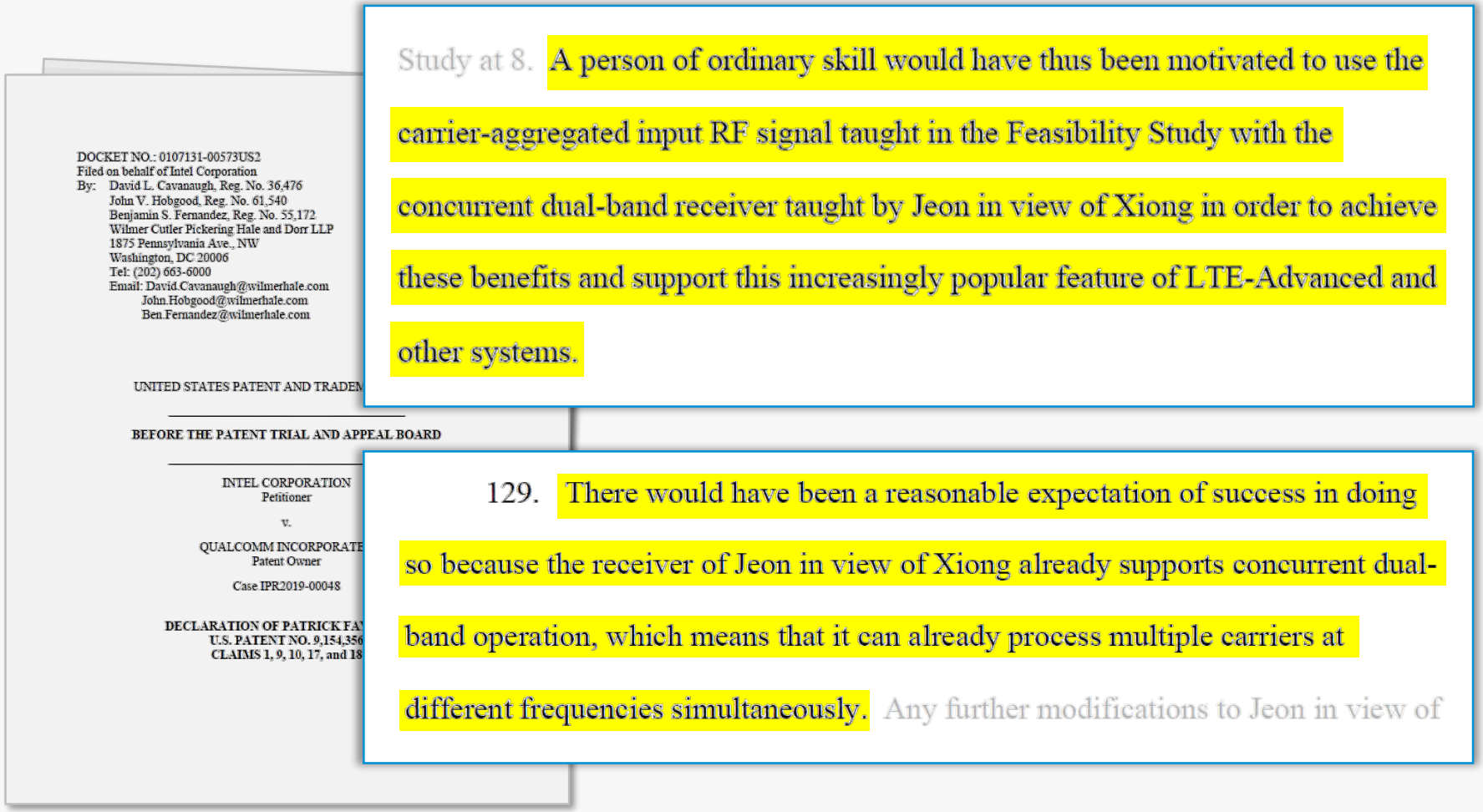
-00048 IPR, Ex. 1104 (Feasibility Study) at 8-9 (annotated)

Motivation to Combine Jeon, Xiong, and Feasibility Study

- "A person of ordinary skill in the art would have found it obvious to use the input RF signal employing carrier aggregation of the Feasibility Study with the concurrent multiband receiver and amplifier of Jeon in view of Xiong."
  - "[T]he Feasibility Study recognizes that wireless mobile devices can be configured to operate with input RF signals employing carrier aggregation."
  - "[The Feasibility Study] also recognizes that an ideal receiver for carrier aggregation would have multiple RF front-ends to allow for processing of far-apart carriers to support inter-band carrier aggregation and noncontiguous intra-band carrier aggregation."
  - "'RF front end' refers to the components between the antenna and the baseband, including filters, amplifiers, and mixers."
  - "Jeon in view of Xiong teaches the use of such multiple front-ends because its wireless receiver uses multiple processing paths (each of which includes an amplifier and a mixer set) for different frequency bands."
  - "Therefore, the receiver in Jeon in view of Xiong has the 'multiple front ends' that the Feasibility Study recommends for carrier aggregation."

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶ 127

Motivation to Combine Jeon, Xiong, and Feasibility Study



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DECLARATION OF PATRICK FA
U.S. PATENT NO. 9,154,356
CLAIMS 1, 9, 10, 17, and 18

Study at 8. A person of ordinary skill would have thus been motivated to use the carrier-aggregated input RF signal taught in the Feasibility Study with the concurrent dual-band receiver taught by Jeon in view of Xiong in order to achieve these benefits and support this increasingly popular feature of LTE-Advanced and other systems.

129. There would have been a reasonable expectation of success in doing so because the receiver of Jeon in view of Xiong already supports concurrent dual-band operation, which means that it can already process multiple carriers at different frequencies simultaneously. Any further modifications to Jeon in view of

-00048 IPR, Ex. 1102 (Fay Decl.) at ¶¶ 128, 129 (annotated)