

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

MICROSOFT CORPORATION,

Petitioner

v.

SAINT REGIS MOHAWK TRIBE,

Patent Owner

IPR2018-01607

Patent No. 7,620,800

PATENT OWNER'S MANDATORY NOTICES

PURSUANT TO 37 C.F.R. § 42.8(a)(3)

Under 37 C.F.R. § 42.8(a)(3), Patent Owner Saint Regis Mohawk Tribe hereby submits the following mandatory notices:

A. Real Party-In-Interest – 37 CFR § 42.8(b)(1)

Saint Regis Mohawk Tribe (the “Tribe”) hereby makes a special appearance¹ as Patent Owner and Real Party-In-Interest. By making this special appearance, the Tribe is not consenting to the Board’s jurisdiction or to this proceeding.

B. Related Matters – 37 C.F.R. § 42.8(b)(2)

The following judicial matters may affect or be affected by a decision in this proceeding:

- *SRC Labs, LLC and Saint Regis Mohawk Tribe v. Microsoft Corporation*, Case No. 2:18-cv-00321-JLR, WDWA
- *SRC Labs, LLC and Saint Regis Mohawk Tribe v. Amazon Web Services, Inc., Amazon.Com, Inc., and Vadata, Inc.*, Case No. 2:18-cv-00317-JLR, WDWA

¹ See *Friends of Amador County v. Salazar*, 554 Fed.Appx. 562, 564 (9th Cir. 2014) (tribe made special appearance before district court so as not to waive immunity to present Rule 19 motion); *Saginaw Chippewa Indian Tribe of Michigan v. NLRB*, 838 F.Supp.2d 598, 601 (E.D. Mich. 2011) (tribe made special appearance before NLRB to challenge jurisdiction on immunity grounds).

C. Lead and Back-up Counsel – 37 C.F.R. § 42.8(b)(3)

The Tribe is represented in this proceeding by the following:

LEAD COUNSEL	BACKUP COUNSEL
Alfonso Chan, Reg. No. 45,964 Shore Chan DePumpo LLP 901 Main Street, Suite 3300 Dallas, TX 75202 Telephone: (214) 593-9110 Email: achan@shorechan.com Fax: (214) 593-9111	Joseph DePumpo, Reg. No. 38,124 Shore Chan DePumpo LLP 901 Main Street, Suite 3300 Dallas, TX 75202 Telephone: (214) 593-9110 Email: jdepumpo@shorechan.com Fax: (214) 593-9111

D. Electronic Service

The Tribe consents to service by email. Please address all correspondence and service to counsel as listed above.

Dated: September 20, 2018

Respectfully submitted,

/s/ Alfonso Chan

Alfonso Chan
 Reg. No. 45,964

CERTIFICATE OF SERVICE

The undersigned certifies that on September 20, 2018, a complete and entire copy of this **PATENT OWNER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8(a)(3)** was provided via electronic service to the Petitioner by serving the correspondence address of record as follows:

Joseph A. Micallef
jmicallef@sidley.com
Scott M. Border
sborder@sidley.com
SIDLEY AUSTIN LLP
1501 K Street N.W.
Washington, DC 20005

Jason P. Greenhut
jgreenhut@sidley.com
SIDLEY AUSTIN LLP
1 South Dearborn
Chicago, IL 60603

/s/ Alfonso Chan _____
Alfonso Chan
Shore Chan DePumpo LLP
901 Main Street, Suite 3300
Dallas, TX 75202