	Page 1
1	STEPHEN M. TRIMBERGER, Ph.D.
2	UNITED STATES PATENT AND TRADEMARK OFFICE
3	
4	BEFORE THE PATENT TRIAL AND APPEAL BOARD
5	
6	
7	MICROSOFT CORPORATION,
8	Petitioner,
9	VS.
10	DIRECTSTREAM, LLC,
11	Patent Owner.
12	
13	Case IPR2018-01599 (Patent 6,076,152)
14	Case IPR2018-01600 (Patent 6,247,110)
15	
16	
17	VIDEO DEPOSITION OF STEPHEN M. TRIMBERGER, Ph.D.
18	Washington, D.C.
19	Friday, June 7, 2019, 9:00 a.m.
20	
21	
22	
23	
24	Job Number 161500
25	Reported by: Laurie Donovan, RPR, CRR, CSR

TSG Reporting - Worldwide 877-702-9580



	Page 2	Page	3
1	STEPHEN M. TRIMBERGER, Ph.D.	¹ STEPHEN M. TRIMBERGER, Ph.I	D.
2	Video Deposition of	2 APPEARANCES	
3	Stephen M. Trimberger, Ph.D.	³ ON BEHALF OF PETITIONER:	
4		4 Sidley Austin	
5	Held at the offices of:	5 1501 K Street, N.W.	
6 7	Sidley Austin, LLP	6 Washington, D.C. 20005	
8	1501 K Street, NW	7 By: Scott Border, Esq.	
9	Washington, D.C. 20005	9	
10		ON BEHALF OF PATENT OWNER:	
11		Janik Vinnakota	
12		12 8111 LBJ Freeway	
13		Dallas, Texas 75251	
14		By: Donald Puckett, Esq.	
15		Sean Hsu, Esq.	
16		16	
17 18		17 18	
19	Taken managed to notice hefere	19	
20	Taken pursuant to notice, before Laurie Donovan, Registered Professional	20 ALSO PRESENT:	
21	Reporter, Certified Realtime Reporter, and	David Chroniger, videographer	
22	notary public in and for the District of	22	
23	Columbia.	23	
24		24	
25		25	
	Page 4	Page	5
1	STEPHEN M. TRIMBERGER, Ph.D.	¹ STEPHEN M. TRIMBERGER, Ph.D.	5
2	STEPHEN M. TRIMBERGER, Ph.D. EXAMINATION INDEX	¹ STEPHEN M. TRIMBERGER, Ph.D. ² (Exhibits continued)	
2	STEPHEN M. TRIMBERGER, Ph.D. EXAMINATION INDEX PAGE	1 STEPHEN M. TRIMBERGER, Ph.D. 2 (Exhibits continued) 3 EXHIBIT DESCRIPTION PACE	
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	Page 6	Page 7
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2	(Exhibits continued)	PROCEEDINGS
3	EXHIBIT DESCRIPTION PAGE	THE VIDEOGRAPHER: This is the
4	Exhibit 2075 Book entitled SPARCcenter	start of tape labeled number 1 of the
5	2000/2000E Service Manual 244	5 videotaped deposition of Stephen Trimberger
6	Exhibit 2076 Chapter from book entitled	in the matter of Microsoft Corporation versus
7	Memory Systems, Cache, DRAM,	DigitalStream LLP, Patent Owner, in the court
8	Disk 260	8 United States Patent & Trademark Office,
9		⁹ IPR 2018-01599, Patent 6,247,152; also IPR
10		¹⁰ 2018-01600, Patent 6,247,110.
11		This deposition is being held at
12		1501 K Street, Suite 600, Northwest,
13		Washington, D.C., on June 7, 2019, at
14		approximately 9:06. My name is David
15		Chroniger from TSG Reporting, Inc., and I am
16		the legal video specialist. The court
17		reporter is Laurie Donovan in association
18		with TSG Reporting.
19		19 Will counsel please introduce 20 yourselves
20		yourserves.
21		MR. PUCKETT: Good morning. This is Donald Puckett, the law firm Janik
22		Vinnakota. I'm here with Sean Hsu, also of
23 24		Janik Vinnakota, and we represent Patent
25		25 Owner is these proceedings.
23		Owner is these proceedings.
	Page 8	Page 9
1		-
1 2	Page 8 STEPHEN M. TRIMBERGER, Ph.D. MR. BORDER: Scott Border. I'm	¹ STEPHEN M. TRIMBERGER, Ph.D.
	STEPHEN M. TRIMBERGER, Ph.D.	STEPHEN M. TRIMBERGER, Ph.D. 1599 case, Exhibits 1001 through 1003, I have
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2	of law?	2	depositions, those were in connection with some
3	A Yes.	3	sort of district court civil litigation?
4	Q Was that at a trial or a hearing of some	4	A Yes.
5	sort?	5	Q And so those are what we refer to in
6	A At a trial.	6	legal practice as "discovery depositions," so the
7	Q Okay, and then have you previously sat	7	lawyers are trying to discover facts before you go
8	for a deposition?	8	and later potentially testify at a trial, right?
9	A Yes, I did.	9	Do you understand that?
10	Q Approximately how many times on each of	10	A That's my understanding, yes.
11	those? How many times have you testified in court	11	Q And so I want to make sure that, that
12	or at trial?	12	you understand for an IPR is a little bit
13	A Once in court at a trial.	13	different in one, I think, important respect.
14	Q And then	14	In an IPR, right now we're in trial,
15	A And depositions, I'm not sure. Three or	15	okay, so you submitted a declaration in both of
16	four, something like that.	16	these IPR cases, the 1599 and the 1600.
17	Q So of the depositions that, that you've	17	You provided a declaration in each case,
18	been involved in, were any of those in an inter	18	correct?
19	partes review proceeding in the patent office?	19	A Yes.
20	A No.	20	Q And so do you understand that that, that
21	Q So this is the first time you've given	21	declaration constitutes the, the direct
22	an IPR deposition?	22	examination portion of your trial testimony?
23	A Yes, sir.	23	Do you understand that?
24	Q Okay.	24	A Yes, I understand that.
25	So when you previously sat for	25	Q And so this deposition here, this is
	Page 12		Page 13
1	STEPHEN M. TRIMBERGER, Ph.D.	1	STEPHEN M. TRIMBERGER, Ph.D.
2	considered cross-examination, okay? So in	2	offering opinions about anything?
3	essence, to compare it to a civil jury trial, your	3	A I haven't had the title of "expert
4	declaration was you getting on the stand and your	4	witness," but I have, of course, given opinions
5	lawyer or a lawyer asking you questions that you	5	about, about actions and, and technology.

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would give your direct testimony to, and then at the conclusion of that portion, the lawyer would pass the witness, and then the opposing lawyer, which would be me, would stand up and do a cross-examination in court, right?

So do you understand that that's where we're at in this proceeding right now? This isn't a discovery deposition. Do you understand the difference?

A I understand that.

Q Okay.

Now, the declaration that you've submitted in this case contains your opinions on various matters as an expert witness in this case, right?

A That is correct.

Have you ever been an expert witness in any of your other previous cases that you've been involved in, either your trial testimony or your depositions? Have you ever been an expert

Q So there's a couple of things that I want to go through just to make sure that, that you and I are communicating correctly over the course of the day, and it has to do with this capacity that you're in today, serving as an expert witness to provide opinions about certain things.

And what I want to make sure that you and I have an understanding about is sort of the difference between things that you know through your own personal experience versus things that you are providing opinion testimony about as an expert, okay?

So the first thing is: You understand what it means to know about something through your own personal knowledge, right? Do you understand that concept?

A Yes.

Q And so, for example, if you, if you perceived an event with your own eyes, you know,

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STEPHEN M. TRIMBERGER, Ph.D. if you saw someone walk into a room or something, right, like you would, you would have personal knowledge of that because you are perceiving it with, with your own senses.

Do you understand that?

A Yes.

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Q Okay, and so ordinarily -- I'm going to -- I want to give you an exhibit on your screen, and we won't spend time on this, but if you'll indulge me just for a moment or two.

So ordinarily, for any witness to testify in a court of law, provide competent testimony, that witness needs to have personal knowledge of the matters that they are testifying to. This is for lay, you know, lay fact witnesses, okay?

Do you understand that?

A All right, yeah, I understand.

Q So ordinarily, you would not be allowed to come to court and testify about things that you didn't personally have some sort of actual personal knowledge of yourself, okay?

So, for example -- so, for example, you hear this notion of, of hearsay. Somebody told

STEPHEN M. TRIMBERGER, Ph.D. you something. You know, my spouse told me about something that happened between her and some friends over there, right? So when you have hearsay knowledge of something, you might know that someone said something, but you don't have personal knowledge of the thing that they are talking about.

You kind of understand that, right?

A I understand that.

Q Okay. Now, here is kind of the important concept for, for purposes of this, this deposition. A lot of times people differentiate between facts and opinion, right? Okay, and, and we don't sort of make that distinction in the rules of evidence. They can be a little bit confusing. This is why I want to talk about this.

In the rules of evidence, we make a distinction between what you have personal knowledge of and then what you're providing opinions about, okay, and so let me just give you a quick example to kind of illustrate this.

So if I were to ask you whether you know who was the first president of the United States, I'm sure that you do, right? You know who was the

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STEPHEN M. TRIMBERGER, Ph.D. first president of the United States?

A Yes.

Q Who was it?

A So I, I have been told it's George Washington.

Q Right, and that you don't have personal knowledge of that, right?

A Never met him.

Q Okay, and so, so you would not -frankly, nobody alive today would be competent to
go to court and testify based on personal
knowledge that George Washington was the first
president of the United States, right; but if this
were an important fact in a lawsuit, we could get
an expert, a historian, let's say, to come in and
testify based upon their special skills and
knowledge and based upon a methodology, a
historical methodology that they applied in terms
of doing research or something, and could testify
as an expert that George Washington was the first
president of the United States, but when
someone -- when an expert did that, that would be
considered their opinion.

Do you understand that?

STEPHEN M. TRIMBERGER, Ph.D.

A I understand.

Q Okay. So let me show you -- I'll be very brief on this, but under Evidence Rule 702, someone can only give opinion testimony in court if they are qualified as an expert with knowledge, skill or experience, training or education, okay, and that training has to be helpful to the trier of fact, and then the testimony has to be based on sufficient facts or data, the testimony has to be either a product of reliable principles and methods, and then you have to have applied those principles and methods correctly to the facts of the case. That's what the rule says.

Do you sort of understand that?

A Yes.

Q Okay, and so -- and you, and you believe that you have special knowledge and skills regarding some areas that are useful to the trier of fact in this case, the PTAB, such that you're qualified to bring opinion testimony into this case; is that fair?

A I believe I qualify here under evidence rule 702 as stated.

Q Now, there's one more important

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