## UNITED STATES INTERNATIONAL TRADE COMMISSION WASHINGTON, D.C.

Before the Honorable MaryJoan McNamara Administrative Law Judge

In the Matter of

Investigation No. 337-TA-1138

CERTAIN LTE- AND 3G-COMPLIANT COMMUNICATIONS DEVICES

### RESPONDENTS' NOTICE OF PRIOR ART

Pursuant to Order No. 7 and Ground Rule 4, Respondents HTC Corporation, HTC America, Inc., Apple Inc., ZTE Corporation, and ZTE (USA) Inc. (collectively, "Respondents") hereby submit this Notice of Prior Art. The attached Exhibit A sets forth the prior art relevant to each of the patents asserted by Complainant INVT SPE LLC in this Investigation. Respondents intend to rely on the below-listed references, either singly or in combination, to establish the invalidity and/or unenforceability of the asserted patents.

Respondents also give notice that it may rely upon the following: (i) prior art contained within the prosecution histories of the asserted patents; (ii) prior art contained within the prosecution histories of patents or patent applications related to the asserted patents; (iii) prior art identified by the Staff of any other party in a notice of prior art; and (iv) prior art identified during the remainder of the discovery.

Discovery is ongoing in this investigation, and Complainant has not yet provided all of the discovery requested by Respondents or supplied all of its contentions regarding certain issues, including validity. Accordingly, Respondents reserve the right to supplement, amend, or otherwise modify this notice as necessary based upon Complainant's contentions, further



discovery and investigation, review of newly or yet-to-be produced documents, any discovery provided by third parties, and the disclosures of witnesses not yet deposed.

Dated: December 7, 2018

By: /s/ Stephen S. Korniczky

Stephen S. Korniczky

Martin R. Bader

Nam Kim

Ericka J. Schulz

Ryan P. Cunningham

Eric K. Gill

Ximena P. Solano Suarez

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

12275 El Camino Real, Suite 200

San Diego, California 92130

Telephone: 858.720.8900 Facsimile: 858.509.3691

Email: LegalTM-HTC-INVT-

ITC@SheppardMullin.com

Edward V. Anderson

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

379 Lytton Avenue

Palo Alto, California 94301

Telephone: 650.815.2600

Facsimile: 650.816.2601

Carrie A. Ross

SHEPPARD, MULLIN, RICHTER & HAMPTON LLP

2099 Pennsylvania Avenue, NW, Suite 100

Washington, D.C. 200006

Telephone: 202.747.1900

Facsimile: 202.747.1901

Attorneys for Respondents

HTC Corporation and HTC America, Inc.



### /s/ Jay H. Reiziss

Jay H. Reiziss Alexander P. Ott

McDERMOTT WILL & EMERY LLP

500 North Capital Street, NW Washington, DC 20001

Telephone: 202-756-8000 Facsimile: 202-756-8087 Email: zteinyt@mwe.com

Charles M. McMahon

Brian A. Jones

Thomas M. DaMario

McDERMOTT WILL & EMERY LLP

444 West Lake Street, Suite 4000

Chicago, IL 60606

Telephone: 312-372-2000 Facsimile: 312-984-7700

Jiaxiao Zhang

McDERMOTT WILL & EMERY LLP

4 Park Plaza, Suite 1700

Irvine, CA 92614

Telephone: 949-851-0633 Facsimile: 949-851-9348

Attorneys for Respondents
ZTE Corporation and ZTE (USA) Inc.

#### /s/ Paul F. Brinkman, P.C.

Paul F. Brinkman, P.C. KIRKLAND & ELLIS LLP 655 Fifteenth Street, N.W. Washington, D.C. 20005 Telephone: (202) 879-5000 Facsimile: (202) 879-5200

Email: Apple-Inventergy@kirkland.com

Greg S. Arovas, P.C. Robert A. Appleby, P.C. Jeanne M. Heffernan, P.C. Leslie M. Schmidt Alan Rabinowitz KIRKLAND & ELLIS LLP 601 Lexington Avenue New York, NY 10022 Telephone: (212) 446-4800 Facsimile: (212) 446-4900

Akshay S. Deoras KIRKLAND & ELLIS LLP 555 California Street San Francisco, CA 94104 Telephone: (415) 439-1400 Facsimile: (415) 439-1500

David Rokach KIRKLAND & ELLIS LLP 300 North LaSalle Chicago, IL 60654 Telephone: (312) 862-2000 Facsimile: (312) 862-2200

Attorneys for Respondents Apple Inc.



In the Matter of

CERTAIN LTE- AND 3G-COMPLIANT CELLULAR COMMUNICATIONS DEVICES

**Investigation No. 337-TA-1138** 

## **CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on this day, December 7, 2018, a true and correct copy of the foregoing document, **RESPONDENTS' NOTICE OF PRIOR ART**, was served by the indicated means to the persons at the addresses below:

For the U.S. International Trade Commission:					
	The Honorable Lisa R. Barton	×	Via EDIS		
	Secretary to the Commission		Via Hand Delivery		
	U.S. INTERNATIONAL TRADE	×	Via Federal Express (2 copies)		
	COMMISSION		Via Electronic Mail		
	500 E Street, SW, Room 112				
	Washington, DC 20436				
	Hon. MaryJoan McNamara		Via EDIS		
	Administrative Law Judge		Via Hand Delivery		
	U.S. INTERNATIONAL TRADE	×	Via Federal Express (2 copies)		
	COMMISSION 500 E Street, S.W.	×	Via Electronic Mail to:		
	Washington, D.C. 20436		<u>jae.lee@usitc.gov</u>		
	Reginald Lucas, Esq.		Via EDIS		
	Vu Bui, Esq.		Via Hand Delivery		
	Office of Unfair Import Investigations		Via Federal Express		
	U.S. INTERNATIONAL TRADE	×	Via Electronic Mail to:		
	COMMISSION		<u>reginald.lucas@usitc.gov</u>		
	500 E Street, S.W.		vu.bui@usitc.gov		
	Washington, DC 20436				
For Complainants INVT SPE LLC:					
	Bryan J. Vogel		Via EDIS		
	ROBINS KAPLAN LLP		Via Hand Delivery		
	399 Park Avenue, Suite 3600		Via Federal Express		
	New York, NY 10022-4690	×	Via Electronic Mail to:		
			RK_INVT_ITC@RobinsKaplan.com		
	For Respondents Apple Inc.				
	Robert A. Appleby, P.C.		Via EDIS		
	KIRKLAND & ELLIS LLP		Via Hand Delivery		
	601 Lexington Avenue		Via Federal Express		
J	New York, New York 10022	×	Via Electronic Mail to:		
	Telephone: (212) 446-4800		Apple-Inventergy@kirkland.com		
	Facsimile: (212) 446-4900				



For Respondents ZTE Corporation and ZTE (USA) Inc.				
Jay H. Reiziss MCDERMOTT WILL & EMERY LLP 500 North Capitol Street, NW Washington, DC 20001	<ul> <li>□ Via EDIS</li> <li>□ Via Hand Delivery</li> <li>□ Via Federal Express</li> <li>☑ Via Electronic Mail to:</li> <li>ZTEINVT@mwe.com</li> </ul>			
/s/ Kristina Grauer  Kristina Grauer				



# DOCKET

## Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

## **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

