UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

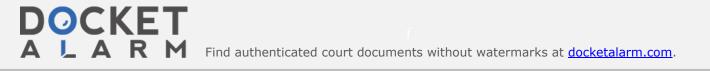
PAR PHARMACEUTICALS, INC., Petitioner

v.

HORIZON THERAPEUTICS, LLC, Patent Owner

> Case IPR2018-01550 Patent 9,561,197

PATENT OWNER'S INITIAL MANDATORY NOTICES



Pursuant to 37 C.F.R. § 42.8(a), the Patent Owner submits the following initial mandatory notices.

#### 1. Real Parties-In-Interest (37 C.F.R. § 42.8(b)(1))

U.S. Patent No. 9,561,197 is owned by Horizon Therapeutics, LLC (f/k/a Hyperion Therapeutics, Inc.), a company organized and existing under the laws of the State of Delaware, having its principal place of business at 150 S. Saunders Road, Lake Forest, IL 60045. Pursuant to the requirements of 37 C.F.R. § 42.8(b)(1), the undersigned state that the real parties-in-interest are Horizon Therapeutics, LLC as well its corporate parents (collectively, Horizon):

- Horizon Pharma, Inc., a corporation organized and existing under the laws of the State of Delaware, having its principal place of business at 150 S. Saunders Road, Lake Forest, IL 60045, which is wholly-owned by:
- Horizon Pharma Finance S.á.r.l., an entity organized and existing under the laws of Luxembourg, having its principal place of business at 19 Rue de Bitbourg, Luxembourg, which is wholly owned by;
- Horizon Pharma Capital Limited, a corporation organized and existing under the laws of Ireland, having its principal place of business at Connaught House, 1st Floor, 1 Burlington Road, Dublin 4, Ireland, which is wholly owned by;

- Horizon Pharma Holdings Limited, a corporation organized and existing under the laws of Ireland, having its principal place of business at Connaught House, 1st Floor, 1 Burlington Road, Dublin 4, Ireland, which is wholly owned by; and
- Horizon Pharma plc, a company organized and existing under the laws of Ireland, having its principal place of business at Connaught House, 1st Floor, 1 Burlington Road, Dublin 4, Ireland.

## 2. Related Matters (37 C.F.R. § 42.8(b)(2))

The following judicial and administrative matters may affect or be affected by a decision in this proceeding:

- (a) *Horizon Therapeutics, LLC v. Par Pharmaceutical, Inc.*, Civil Action
  No. 1:17-cv-05901-MAH-KM (D.N.J. filed Aug. 08, 2017). The
  Complaint asserts infringement of U.S. Patent No. 9,561,197.
- (b) Horizon Therapeutics, LLC v. Lupin Ltd. and Lupin Pharmaceuticals, Inc., Civil Action No. 1:17-cv-05900-MAH-KM (D.N.J. filed Aug. 08, 2017) (dismissed July 10, 2018, due to settlement). The Complaint asserted infringement of U.S. Patent No. 9,561,197.
- (c) Lupin Ltd. and Lupin Pharmaceuticals Inc. v. Horizon Therapeutics, LLC, IPR2018-00459 (terminated July 25, 2018, due to settlement).

- (d) Pending U.S. Patent Appl. No. 15/402,780, filed January 10, 2017, which claims benefit of the patent under review.
- (e) Pending U.S. Patent Appl. No. 15/687,136, filed August 25, 2017, which claims benefit of the patent under review.
- (f) Pending U.S. Patent Appl. No. 15/980,431, filed May 15, 2018, which claims benefit of the patent under review.

## 3. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))

Pursuant to 37 C.F.R. §§ 42.8(b)(3) and 42.10(a), the Patent Owner provides

the following designation of counsel:

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## LEAD COUNSEL

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BACK-UP COUNSEL

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Pursuant to 37 C.F.R. § 42.10(b), a power of attorney for the above-listed counsel is concurrently filed.

### 4. Service Information (37 C.F.R. § 42.8(b)(4))

Service information for the Patent Owner's counsel of record is provided above, all of whom consent to service by e-mail. If service by means other than email is made, the Patent Owner requests that the petitioner notify each counsel above by email that service is being made by another means at the time of service.

Respectfully submitted,

Date: <u>Sept. 4, 2018</u>

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By: / M.C. Phillips/

Matthew C. Phillips Registration No. 43,403 Backup Counsel for Patent Owner

## DOCKET A L A R M



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