## UNITED STATES INTERNATIONAL TRADE COMMISSION WASHINGTON, D.C.

Before the Honorable MaryJoan McNamara Administrative Law Judge

In the Matter of

Investigation No. 337-TA-1138

CERTAIN LTE- AND 3G-COMPLIANT COMMUNICATIONS DEVICES

### RESPONDENTS' NOTICE OF PRIOR ART

Pursuant to Order No. 7 and Ground Rule 4, Respondents HTC Corporation, HTC America, Inc., Apple Inc., ZTE Corporation, and ZTE (USA) Inc. (collectively, "Respondents") hereby submit this Notice of Prior Art. The attached Exhibit A sets forth the prior art relevant to each of the patents asserted by Complainant INVT SPE LLC in this Investigation. Respondents intend to rely on the below-listed references, either singly or in combination, to establish the invalidity and/or unenforceability of the asserted patents.

Respondents also give notice that it may rely upon the following: (i) prior art contained within the prosecution histories of the asserted patents; (ii) prior art contained within the prosecution histories of patents or patent applications related to the asserted patents; (iii) prior art identified by the Staff of any other party in a notice of prior art; and (iv) prior art identified during the remainder of the discovery.

Discovery is ongoing in this investigation, and Complainant has not yet provided all of the discovery requested by Respondents or supplied all of its contentions regarding certain issues, including validity. Accordingly, Respondents reserve the right to supplement, amend, or otherwise modify this notice as necessary based upon Complainant's contentions, further



discovery and investigation, review of newly or yet-to-be produced documents, any discovery provided by third parties, and the disclosures of witnesses not yet deposed.

Dated: December 7, 2018

By: /s/ Stephen S. Korniczky

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In the Matter of

CERTAIN LTE- AND 3G-COMPLIANT CELLULAR COMMUNICATIONS DEVICES

**Investigation No. 337-TA-1138** 

## **CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that on this day, December 7, 2018, a true and correct copy of the foregoing document, **RESPONDENTS' NOTICE OF PRIOR ART**, was served by the indicated means to the persons at the addresses below:

For the U.S. International Trade Commission:			
The Honorable Lisa R. Barton	×	Via EDIS	
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/s/ Kristina Grauer  Kristina Grauer			



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