

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

APPLE INC.,  
HTC CORPORATION AND HTC AMERICA, INC.,  
ZTE (USA) INC.,  
Petitioners

v.

INVT SPE LLC,  
Patent Owner

---

Case No. 2018-01473  
U.S. Patent No. 6,611,676

---

PATENT OWNER'S MANDATORY NOTICE

**MANDATORY NOTICES UNDER 37 C.F.R. §42.8**

**A. Real Parties-In-Interest (§42.8 (b)(1))**

The real party-in-interest is INVT SPE LLC.

**B. Related Matters (§42.8 (b)(2))**

Patent Owner, INVT SPE LLC, identifies the following judicial and/or administrative matters that may affect, or may be affected by, a decision in this

*Inter Partes* Review:

- INVT SPE LLC v. ZTE et al, Civil Action No. 2:17-cv-06522-JMV-JBC (D.N.J. 2017);
- INVT SPE LLC v. Apple, Inc., Civil Action No. 2:17-cv-03738-JMV-JBC (D.N.J. 2017);
- INVT SPE LLC v. HTC Corporation et al, Civil Action No. 2:17-cv-03740-JMV-JBC (D.N.J. 2017);
- Inventergy, Inc. v. HTC Corporation et al, Civil Action No. 1:17-cv-00200-VAC-CJB (D. Del. 2017);
- Inventergy, Inc. v. Apple, Inc., Civil Action No. 1:17-cv-00196-VAC-CJB (D. Del. 2017);
- HTC Corporation and HTC America, INC. v. INVT SPE LLC, IPR2018-01555 (PTAB);

IPR2018-01473  
Patent 6,611,676

- HTC Corporation and HTC America, INC. v. INVT SPE LLC, IPR2018-01556 (PTAB);
- HTC Corporation and HTC America, INC. v. INVT SPE LLC, IPR2018-01557 (PTAB);
- HTC Corporation and HTC America, INC. v. INVT SPE LLC, IPR2018-01581 (PTAB);
- Apple Inc., HTC Corporation, HTC America, INC., and ZTE Inc. v. INVT SPE LLC, IPR2018-01472 (PTAB);
- Apple Inc. and ZTE Inc. v. INVT SPE LLC, IPR2018-01474 (PTAB);
- Apple Inc., HTC Corporation, HTC America, INC., and ZTE Inc. v. INVT SPE LLC, IPR2018-01475 (PTAB);
- Apple Inc., HTC Corporation, HTC America, INC., and ZTE Inc. v. INVT SPE LLC, IPR2018-01476 (PTAB);
- Apple Inc. and ZTE Inc. v. INVT SPE LLC, IPR2018-01477 (PTAB);
- Apple Inc. and ZTE Inc. v. INVT SPE LLC, IPR2018-01478 (PTAB).

**C. Lead and Backup Counsel (§42.8 (b)(3))**

<b>LEAD COUNSEL</b>	<b>BACKUP COUNSEL</b>
<p><b>Cyrus A. Morton</b> Reg. No. 44,954 ROBINS KAPLAN LLP 800 LaSalle Ave., Suite 2800 Minneapolis, MN 55402 Telephone: 612-349-8500 Facsimile: 612-339-4181 CMorton@RobinsKaplan.com</p>	<p><b>Bryan J. Vogel</b> Reg. No. 44,389 <b>Derrick J. Carman</b> Reg. No. 68,935 <b>Stephanie A. Diehl</b> Reg. No. 71,830 ROBINS KAPLAN LLP 399 Park Avenue, Suite 3600 New York, NY 10022 Telephone: 212-980-7400 Facsimile: 212-980-7499 BVogel@RobinsKaplan.com DCarman@RobinsKaplan.com SDiehl@RobinsKaplan.com</p>
<b>ADDITIONAL BACKUP COUNSEL</b>	<b>ADDITIONAL BACKUP COUNSEL</b>
<p><b>Christopher A. Seidl</b> (<i>pro hac vice</i> motion to follow) <b>John K. Harting</b> (<i>pro hac vice</i> motion to follow) <b>Shui Li</b> Reg. No. 74,617 <b>Mary Pheng</b> (<i>pro hac vice</i> motion to follow) ROBINS KAPLAN LLP 800 LaSalle Ave., Suite 2800 Minneapolis, MN 55402 Telephone: 612-349-8500 Facsimile: 612-339-4181 CSeidl@RobinsKaplan.com JHarting@RobinsKaplan.com SLi@RobinsKaplan.com MPheng@RobinsKaplan.com</p>	<p><b>Li Zhu</b> Reg. No. 73,465 ROBINS KAPLAN LLP 2440 West El Camino Real Suite 100 Mountain View, CA 94040 Telephone: 650-784-4040 Facsimile: 650-784-4041 LZhu@RobinsKaplan.com</p>

IPR2018-01473  
Patent 6,611,676

An appropriate Power of Attorney is filed concurrently herewith.

**D. Service Information (§42.8 (b)(4))**

Service of any documents to Counsel can be made via hand delivery to  
Robins Kaplan LLP, 800 LaSalle Avenue, Suite 2800, Minneapolis, MN 55402.

Patent Owners consent to service by electronic mail at the emails listed above.

Dated: September 11, 2018

Respectfully submitted,

*/Cyrus A. Morton/*

Cyrus A. Morton  
Reg. No. 44,954  
Robins Kaplan LLP  
2800 LaSalle Plaza  
800 LaSalle Avenue  
Minneapolis, MN 55402

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.