



APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/808,467	03/28/2017	9607722	56782.1.7.2	3733	
22859 7	590 03/08/2017				

22859 7590 03/08/2017 FREDRIKSON & BYRON, P.A. INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS, MN 55402

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 486 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Stephen E. Hidem, Plymouth, MN; Aaron M. Fontaine, Fridley, MN; Janet L. Gelbach, New Albany, IN; Patrick M. McDonald, Omaha, NE; Kathryn M. Hunter, Knoxville, TN; Rolf E. Swenson, Princeton, NJ; Julius P. Zodda, Mercerville, NJ;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)

# JUBILANT EXHIBIT 1010 Jubilant v. Bracco, IPR2018-01449

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE **Commissioner** for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571)-273-2885

or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

22850 7590 11/23/2016 FREDRIKSON & BYRON, P.A. INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS, MN 55402

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

#### Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733		

TULE OF INVENTION: INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$960	<u>\$960</u> <u>\$0</u>	02/23/2017

EXAMINER	ART UNIT	CLÁSS-SUBCLASS			
DORNA, CARRIE R	3735	600-004000	2		
<ul> <li>Change of correspondence address or indicatio CFR 1.363).</li> <li>Change of correspondence address (or Cha Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address PTO/SB/47; Rev 03-02 or more recent) attache Number is required.</li> </ul>	<ul> <li>2. For printing on the patent front page, list         <ol> <li>(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,</li> <li>(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.</li> </ol> </li> </ul>				
3. ASSIGNEE NAME AND RESIDENCE DATA PLEASE NOTE: Unless an assignee is ident recordation as set forth in 37 CFR 3.11. Comp (A) NAME OF ASSIGNEE	A TO BE PRINTED ON ified below, no assignee pletion of this form is NO	THE PATENT (print or typ data will appear on the p T a substitute for filing an (B) RESIDENCE: (CITY	ee) atent. If an assignee is identifi assignment. 1 and STATE OR COUNTRY)	ed below, the document has been filed for	
Bracco Diagnostics Inc. Monroe Township, New Jersey					
Please check the appropriate assignee category or	categories (will not be p	rinted on the patent):	Individual X Corporation or	other private group entity 🔲 Government	
<ul> <li>4a. The following fee(s) are submitted:</li> <li>Issue Fee</li> <li>Publication Fee (No small entity discount point)</li> <li>Advance Order - # of Copies</li></ul>	4 vermitted)	<ul> <li>b. Payment of Fee(s): (Please)</li> <li>A check is enclosed.</li> <li>Payment by credit car</li> <li>The director is hereby overpayment, to Depo</li> </ul>	use first reapply any previousl d. Form PTO-2038 is attached, authorized to charge the requir sit Account Number 06-1910	y paid issue fee shown above) ed fee(s), any deficiency, or credits any (euclose an extra copy of this form).	
<ul> <li>5. Change in Entity Status (from status indicated Applicant certifying micro entity status. See</li> <li>Applicant asserting small entity status. See</li> <li>Applicant changing to regular undiscounter</li> </ul>	1 above) e 37 CFR 1.29 37 CFR 1.27 1 fee status.	<u>NOTE</u> : Absent a valid ce fee payment in the micro <u>NOTE</u> : If the application to be a notification of los <u>NOTE</u> : Checking this bo entity status, as applicabl	rtification of Micro Entity Statu entity amount will not be accep was previously under micro ent s of entitlement to micro entity ; x will be taken to be a notificati- e.	s (see forms PTO/SB/15A and 15B), issue ted at the risk of application abandonment. lity status, checking this box will be taken status. on of loss of entitlement to small or micro	
NOTE: This form must be signed in accordance w	vith 37 CFR: 1.31 and 1.3	3. See 37 CFR 1.4 for sign	ature requirements and certifica	йоля.	
Authorized Signature //Paul J. LaVan	way, Jr./		Date February 23	i, 2017	
Typed or printed name Paul J. LaVany	vay, Jr.		Registration No. <u>64,61</u>	0	
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Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	28439942				
Application Number:	12808467				
International Application Number:					
Confirmation Number:	3733				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Customer Number:	22859				
Filer:	Paul J. LaVanway Jr./Tina Blissenbach				
Filer Authorized By:	Paul J. LaVanway Jr.				
Attorney Docket Number:	56782.1.7.2				
Receipt Date:	23-FEB-2017				
Filing Date:	16-JUN-2010				
Time Stamp:	17:27:38				
Application Type:	U.S. National Stage under 35 USC 371				

# Payment information:

Submitted with Payment			no				
File Listin	g:						
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Post Allowance Communication - Incoming	56	782-1-7-2-Issue-Fee-LTR.pdf	96582 a1c948ac2e91e7c2dc297bd3a7ac5d30dfc bc87d	no	2	
Warnings:				•	•		

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Issue Fee Payment (PTO-85B)	ayment (PTO-85B) 56782-1-7-2-Issue-Fee- Transmittal.pdf 3081b972e90744e4733 3d4		no	1		
Warnings:						
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	Total Files Size (in bytes)	17	64641			
vledgement Receipt evidences receip ed by the applicant, and including pages s described in MPEP 503. <u>Ations Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF gement Receipt will establish the filin age of an International Application un obmission to enter the national stage and other applicable requirements a F ge submission under 35 U.S.C. 371 with tional Application Filed with the USP rnational application is being filed and onal filing date (see PCT Article 11 and ternational Filing Date (Form PCT/Re urity, and the date shown on this Ack ion.	t on the noted date by the U ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>Inder 35 U.S.C. 371</u> of an international applicat orm PCT/DO/EO/903 indicat ill be issued in addition to th <u>PTO as a Receiving Office</u> and the international applicat d MPEP 1810), a Notification D/105) will be issued in due of mowledgement Receipt will	SPTO of the indicated It serves as evidence components for a filin course and the date s ion is compliant with ing acceptance of the e Filing Receipt, in du cion includes the nece of the International <i>J</i> course, subject to pres establish the internat	document of receipt s g date (see hown on th the condition application course. ssary comp Application criptions co ional filing	s, imilar to a 37 CFR is ons of 35 as a onents for Number oncerning date of		
	: Issue Fee Payment (PTO-85B) veledgement Receipt evidences receipted by the applicant, and including pages described in MPEP 503. ations Under 35 U.S.C. 111 lication is being filed and the application under 35 U.S.C. 111 lication is being filed and the application under 35 U.S.C. 111 lication is being filed and the application under 35 U.S.C. 111 lication is being filed and the application under 35 U.S.C. 111 lication is being filed and the application under 35 U.S.C. 111 lication is being filed and the application under 35 U.S.C. 371 with the filing the stablish the filing and other applicable requirements a Fige submission under 35 U.S.C. 371 with the use of the stable of the stabl	: Issue Fee Payment (PTO-85B) Sof782-1-7-2-Issue-Fee- Transmittal.pdf Total Files Size (in bytes) veledgement Receipt evidences receipt on the noted date by the U ed by the applicant, and including page counts, where applicable. s described in MPEP 503. ations Under 35 U.S.C. 111 lication is being filed and the application includes the necessary of and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due gement Receipt will establish the filing date of the application. Inge of an International Application under 35 U.S.C. 371 Jubmission to enter the national stage of an international applicat ind other applicable requirements a Form PCT/DO/EO/903 indicat ge submission under 35 U.S.C. 371 will be issued in addition to the application Filed with the USPTO as a Receiving Office rmational application is being filed and the international applicat onal filing Date (Form PCT/RO/105) will be issued in due of urity, and the date shown on this Acknowledgement Receipt will ion.	Issue Fee Payment (PTO-85B)       56782-1-7-2-Issue-Fee- Transmittal.pdf       1668059         Issue Fee Payment (PTO-85B)       56782-1-7-2-Issue-Fee- Transmittal.pdf       1668059         Issue Fee Payment (PTO-85B)       56782-1-7-2-Issue-Fee- Transmittal.pdf       1668059         Issue Fee Payment (PTO-85B)       Total Files Size (in bytes):       17         Issue Fee Payment Receipt evidences receipt on the noted date by the USPTO of the indicated dby the applicant, and including page counts, where applicable. It serves as evidence s described in MPEP 503.       17         Ations Under 35 U.S.C. 111       lication is being filed and the application includes the necessary components for a filin and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date s pement Receipt will establish the filing date of the application.         Inge of an International Application under 35 U.S.C. 371       Jubmission to enter the national stage of an international application is compliant with the dote applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the ge submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in du         Itional Application Filed with the USPTO as a Receiving Office       Irrational application is being filed and the international application includes the nece         International application is being filed and the international application of the International / Iternational Filing Date (Form PCT/RO/105) will be issued in due course, subject to presurity, and the date shown on this Acknowledgement Receipt will establish the internation.	: Issue Fee Payment (PTO-858) Issue Fee Payment (PTO-858) S6782-1-7-2-Issue-Fee- Transmittal.pdf Total Files Size (in bytes) Total Files Size (in bytes) 1764641 Vedgement Receipt evidences receipt on the noted date by the USPTO of the indicated document be by the applicant, and including page counts, where applicable. It serves as evidence of receipt s s described in MPEP 503. Autions Under 35 U.S.C. 111 lication is being filed and the application includes the necessary components for a filing date (see and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on the gement Receipt will establish the filing date of the application. International Application under 35 U.S.C. 371 Jubmission to enter the national stage of an international application is compliant with the condition ind other applicable requirements a Form PCT/D0/E0/903 indicating acceptance of the application ge submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. Autional Application Filed with the USPTO as a Receiving Office rmational application is being filed and the international application includes the necessary comp onal filing date (see PCT Article 1 1 and MPEP 1810), a Notification of the International Application international Filing Date (Form PCT/R0/105) will be issued in due course, subject to prescriptions courity, and the date shown on this Acknowledgement Receipt will establish the international filing ion.		

#### PATENT Attorney Docket No.: 56782.1.7.2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 20010	Examiner:	Carrie R Dorna
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/O	CLUDING COMP R OPERATION A	PUTER-FACILITATED

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **ISSUE FEE PAYMENT TRANSMITTAL**

Dear Commissioner:

22859

Customer Number

In response to the Notice of Allowance mailed November 23, 2016 for the abovereferenced patent application, Applicant is hereby submitting the Fee Transmittal form to proceed with grant of the patent application. Applicant previously paid the Issue Fee payment due for the application on July 20, 2016 when responding to an earlier-issued Notice of Allowance. Applicant subsequently petitioned to have the application withdrawn from issuance to have additional art considered and made of record. Since the issue fee payment has already been made for the application, Applicant understands that no further issue fee payment is due. However, Commissioner is authorized to charge any deficiencies that may be due for the application to Deposit Account No. 06-1910.

The Examiner is invited to telephone the undersigned attorney to discuss this application.

Dated: February 23, 20107

Respectfully submitted,

/Paul J. LaVanway, Jr./

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077 Paul J. LaVanway, Jr. Registration No. 64,610 Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.

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22859

# PART B - FEE(S) TRANSMITTAL

nd send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (571)-273-2885

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11/23/2016

7590

FREDRIKSON & BYRON, P.A. INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000

MINNEAPOLIS, MN 55402

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(Deposi	tor's name)
	(Signature)
	(Date)

ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. FILING DATE FIRST NAMED INVENTOR 12/808,467 06/16/2010 Stephen E. Hidem 56782.1.7.2 3733

TTLLE OF INVENTION: INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$960	<del>5960</del> <u>\$0</u>	02/23/2017

EXAMINER	ART UNIT	CLASS-SUBCLASS	О2/24/2017 CCHA	U2 00000022 12808467
DORNA, CARRIE R	3735	600-004000	91 FC:1501	960.00 OP
1. Change of correspondence address or indication	on of "Fee Address" (37	2. For printing on the	patent front page, list	
CFR 1.363). Change of correspondence address (or Cha	ange of Correspondence	(1) The names of up t or agents OR, alternat	to 3 registered patent attorneys 1 ively,	Fredrikson & Byron, P.A.
Address form PTO/SB/122) attached.		(2) The name of a sing	gle firm (having as a member a. $\frac{2}{3}$	
PTO/SB/47; Rev 03-02 or more recent) attach Number is required.	ed. Use of a Customer	2 registered patent atte listed, no name will be	orneys or agents. If no name is 3 e printed.	·
3. ASSIGNEE NAME AND RESIDENCE DAT	A TO BE PRINTED OF	N THE PATENT (print or ty	rpe)	
PLEASE NOTE: Unless an assigned is ident recordation as set forth in 37 CFR 3.11. Com	tified below. no assigne pletion of this form is N	to data will appear on the p OT a substitute for filing an	patent. If an assignee is identified assignment.	below, the document has been filed for
(A) NAME OF ASSIGNEE		(B) RESIDENCE: (CIT	Y and STATE OR COUNTRY)	02/24/2017 CCHAU2
Bracco Diagnostics Inc.		Monroe Towns	5hip, New 95172016 INTE	SW 00005410 12808467 -960.00 OP
Please check the appropriate assignce category of	r categories (will not be	printed on the patent):	Individual 🛛 Corporation or oth	er private group entity 🔲 Government
4a. The following fee(s) are submitted:		4b. Payment of Fee(s): (Pic	ase first reapply any previously p	uid issue fee shown above)
Issue Fee		A check is enclosed.		
Publication Fee (No small entity discount)	permitted)	Payment by credit ca	rd. Form PTO-2038 is attached.	
Advance Order - # of Copies		The director is hereby overpayment, to Dep	y authorized to charge the required f osit Account Number 06-1910	ee(5), any deficiency, or credits any (enclose an extra copy of this form).
5. Change in Entity Status (from status indicate	d above)			
Applicant certifying micro entity status. Se	ee 37 CFR 1.29	NOTE: Absent a valid c fee payment in the micro	ertification of Micro Entity Status (s o entity amount will not be accepted	ee forms PTO/SB/15A and 15B), issue at the risk of application abandonment.
Applicant asserting small entity status. Sea	e 37 CFR 1.27	<u>NOTE:</u> If the application to be a notification of lo	n was previously under micro entity ss of entitlement to micro entity stat	status, checking this box will be taken us.
Applicant changing to regular undiscounted	ed fee status.	NOTE: Checking this be entity status, as applicable	ox will be taken to be a notification only.	of loss of entitlement to small or micro
NOTE: This form must be signed in accordance	with 37 CFR 1.31 and 1	.33. See 37 CFR 1.4 for sign	nature requirements and certification	15.
Authorized Signature /Paul J. LaVan	way, Jr./		Date February 23, 2	2017
Typed or printed name Paul J. LaVan	way, Jr.		Registration No. <u>64,610</u>	
		Page 2 of 3		

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

22859 7590 11/23/2016 FREDRIKSON & BYRON, P.A. INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS, MN 55402 EXAMINER

DORNA, CARRIE R

ART UNIT PAPER NUMBER
3735

DATE MAILED: 11/23/2016

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733

TITLE OF INVENTION: INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$960	\$960	02/23/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

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(Depositor's name
(Signature
(Date

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733

TITLE OF INVENTION: INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

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nonprovisional	UNDISCOUNTED	\$960	\$0	\$960	\$960	02/23/2017		
EXAMINER ART UNIT		ART UNIT	CLASS-SUBCLASS					
DORNA,	CARRIE R	3735	600-004000					
1. Change of correspond CFR 1.363).	dence address or indication	n of "Fee Address" (37	2. For printing on the patent front page, list					
Change of corres	pondence address (or Cha	inge of Correspondence	(1) The names of up to or agents OR, alternativ	7 registered patent attorn	2			
"Fee Address" in PTO/SB/47; Rev 03- Number is required	dication (or "Fee Address" 02 or more recent) attach	" Indication form ed. Us <b>e of a Customer</b>	(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME A	AND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or typ	be)				
PLEASE NOTE: Un recordation as set for	nless an assignee is ident th in 37 CFR 3.11. Comp	ified below, no assignee pletion of this form is NO	data will appear on the pa T a substitute for filing an a	atent. If an assignee is ic assignment.	lentified below, the docu	ment has been filed for		
(A) NAME OF ASS	IGNEE	•	(B) RESIDENCE: (CITY	and STATE OR COUNT	'RY)			
Please check the approp	riate assignee category or	categories (will not be p	rinted on the patent) :	Individual 🗖 Corporati	on or other private group	entity 🗖 Government		
4a. The following fee(s)	) are submitted:	41	o. Payment of Fee(s): (Plea	se first reapply any prev	iously paid issue fee sho	own above)		
Issue Fee	NT11	· · · · · · · · · · · · · · · · · · ·	A check is enclosed.	1 E PTO 2029	-1 - J			
Advance Order -	# of Copies	permitted)	□ Payment by credit card. Form P10-2038 is attached. The director is bereby authorized to charge the required fee(s), any deficiency, or credits any					
	" or copies		overpayment, to Deposit Account Number (enclose an extra copy of this form).					
5. Change in Entity St	atus (from status indicated	d above)						
Applicant certify	ing micro entity status. Se	ee 37 CFR 1.29	<u>NOTE:</u> Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment					
Applicant assertion	ng small entity status. See	37 CFR 1.27	<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.					
Applicant changi	ng to regular undiscounted	d fee status.	<u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.					
NOTE: This form must	be signed in accordance v	with 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for signa	ature requirements and cer	tifications.			
Authorized Simeter				Data				
Authorized Signature	ت							
Typed or printed nan	ne		Registration No					

#### Page 2 of 3

9 PT 298 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	ted States Pate	NT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and 7 Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.usplo.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 13-1450		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733		
22859 75	90 11/23/2016		EXAMINER DORNA, CARRIE R			
FREDRIKSON &	2 BYRON, P.A. PROPERTY GROUP					
200 SOUTH SIXT	H STREET, SUITE 40	00	ART UNIT PAPER NUMB			
MINNEAPOLIS, N	AN 55402		3735			
			DATE MAILED: 11/23/201	6		

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	5)							
Notice of Allowability	Examiner	Art Unit	AL. AIA (First Inventor to File)					
	CARRIE R. DORNA	3735	Status No					
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F of the Office or upon petition by the applicant. See 37 CFR 1.31	eears on the cover sheet with the (OR REMAINS) CLOSED in this a ) or other appropriate communication (IGHTS. This application is subject 3 and MPEP 1308.	correspondent pplication. If no on will be mailed to withdrawal fr	<i>ce address</i> ot included d in due course. <b>THIS</b> rom issue at the initiative					
<ol> <li>This communication is responsive to <u>2 August 2016</u>.</li> <li>A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> wa</li> </ol>	s/were filed on							
2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.								
3. ☑ The allowed claim(s) is/are <u>1-5, 37-48, and 51-55</u> . As a res Prosecution Highway program at a participating intellectu please see http://www.uspto.gov/patents/init_events/pph/in	sult of the allowed claim(s), you may al property office for the correspond dex.jsp or send an inquiry to PPHf	v be eligible to b ling application. eedback@usptc	enefit from the <b>Patent</b> For more information, o.gov.					
4. 🗌 Acknowledgment is made of a claim for foreign priority und	er 35 U.S.C. § 119(a)-(d) or (f).							
Certified copies:								
a) 🔲 All b) 🗌 Some *c) 🗌 None of the:								
<ol> <li>Certified copies of the priority documents hav</li> </ol>	e been received.							
2. 🗌 Certified copies of the priority documents hav	e been received in Application No.	·						
3. 🔲 Copies of the certified copies of the priority do	ocuments have been received in thi	s national stage	application from the					
International Bureau (PCT Rule 17.2(a)).								
* Certified copies not received:								
Applicant has THREE MONTHS FROM THE "MAILING DATE' noted below. Failure to timely comply will result in ABANDONI THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	' of this communication to file a repl MENT of this application.	y complying wit	h the requirements					
5. 🔲 CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.							
including changes required by the attached Examiner Paper No./Mail Date	's Amendment / Comment or in the	Office action of						
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in	1.84(c)) should be written on the drav the header according to 37 CFR 1.12	vings in the front 1(d).	t (not the back) of					
6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT F	BIOLOGICAL MATERIAL must be s OR THE DEPOSIT OF BIOLOGICA	submitted. Note	the					
Attachment(c)								
1. Notice of References Cited (PTO-892)	5. 🛛 Examiner's Amen	dment/Commer	nt					
2. Information Disclosure Statements (PTO/SB/08),	6. 🗌 Examiner's Stater	ment of Reason	s for Allowance					
<ul> <li>3. Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	7. 🔲 Other							
4. Interview Summary (PTO-413), Paper No./Mail Date								
/CARRIE R DORNA/	/Charles A. Marmor,	11/						
Examiner, Art Unit 3735	Supervisory Patent E	Examiner, Art l	Jnit 3735					
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20161116	Notice of Allowability	Part c	of Paper No./Mail Date					

## **DETAILED ACTION**

## Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

## Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 2 August 2016 has been entered.

## Allowable Subject Matter

3. **Claims 1-5, 37-48, and 51-55** are allowed for the reasons noted in the previous Office action.

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CARRIE R. DORNA whose telephone number is (571)270-7483. The examiner can normally be reached on Monday - Friday from 8 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Marmor, II can be reached on (571) 272-4730. The fax phone

Application/Control Number: 12/808,467 Art Unit: 3735

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Charles A. Marmor, II/ Supervisory Patent Examiner Art Unit 3735

/C. R. D./ Examiner, Art Unit 3735 Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

12808467 - GAU: 3735 PTO/SB/08a (03-15)

Approved for use through 07/31/2016. OMB 0651-0031

Imation Disclosure Statement (IDS) Filed U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467
Filing Date		2010-06-16
First Named Inventor Steph		en E. Hidem
Art Unit		3735
1		0.00
Examiner Name	Carrie	R Dorna

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	Date	Name of Patentee or Applicant of cited Document Figures			es,Columns,Lines where evant Passages or Relevant ires Appear	
	1	3576998	A	1971-05	5-04	Deutsch et al.				
	2	5166526	A	1992-11	1-24	Dietzel				
If you wisl	h to ado	d additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.		Add	
U.S.PATENT APPLICATION PUBLICATIONS										
Examiner Initial*	Cite N	o Publication Number	Kind Code <sup>1</sup>	nd Publication ode <sup>1</sup> Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	20060164093	A1	2006-07	7-27	Ooe et al.				
	2	20070226175	A1	2007-09	)-27	Resnic et al.				
If you wis	h to add	additional U.S. Publi	shed Ap	plication	n citatio	n information p	lease click the Add	d buttor	n. Add	
				FOREI	GN PAT	ENT DOCUM	ENTS		Remove	
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code²i	/	Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document	eor	Pages,Columns,Li where Relevant Passages or Relev Figures Appear	nes rant <sup>T5</sup>
	1	317114	EP		A1	1989-05-24	OROS SYSTEMS L	.TD		

EFS Web 2.1.17

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

			10000167 0811. 0705
Application Number		12808467	12808467 - GAU: 3733
Filing Date		2010-06-16	
First Named Inventor	Steph	en E. Hidem	
Art Unit		3735	
Examiner Name	Carrie	R Dorna	
Attorney Docket Number		56782.1.7.2	

	2	2004080523	wo	A2	2004-09-23	HAMMERSMITH IMANET LTD			
If you wis	h to ac	ld additional Foreign Pa	atent Document	citation	information ple	ease click the Add buttor	1 Add	1	
NON-PATENT LITERATURE DOCUMENTS Remove									
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							
	1								
If you wis	h to ac	ld additional non-paten	t literature docu	ment ci	tation information	on please click the Add k	outton Add		
			EX	AMINE	R SIGNATURI	E			
Examiner	Signa	ture /CARRIE R	DORNA/			Date Considered	11/17/2016		
*EXAMIN citation if	ER: In not in (	itial if reference conside conformance and not c	ered, whether or onsidered. Inclu	not cita ude cop	ation is in confo y of this form w	ormance with MPEP 609 with next communication	. Draw line through a to applicant.		
<sup>1</sup> See Kind C Standard ST <sup>4</sup> Kind of doo English lang	Codes of 5.3). <sup>-3</sup> F cument l uage tra	f USPTO Patent Documents or Japanese patent docume by the appropriate symbols a anslation is attached.	at <u>www.USPTO.GC</u> nts, the indication of is indicated on the d	<u>₽V</u> or MPI the year ocument	EP 901.04. <sup>2</sup> Enter of the reign of the under WIPO Stand	<sup>r</sup> office that issued the docume Emperor must precede the ser dard ST.16 if possible. <sup>5</sup> Applic	nt, by the two-letter code (Wi rial number of the patent doc cant is to place a check mark	IPO ument. : here if	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number       12808467         Filing Date       2010-06-16         First Named Inventor       Stephen E. Hidem         Art Unit       3735         Examiner Name       Carrie R Dorna         Attorney Docket Number       56782.1.7.2					<u> 10000827 2311. 076</u>	16
Filing Date2010-06-16First Named InventorStephen E. HidemArt Unit3735Examiner NameCarrie R DornaAttorney Docket Number56782.1.7.2		Application Number		12808467	12000407 - GAU: 37.	23
First Named InventorStephen E. HidemArt Unit3735Examiner NameCarrie R DornaAttorney Docket Number56782.1.7.2		Filing Date		2010-06-16		
Art Unit3735Examiner NameCarrie R DornaAttorney Docket Number56782.1.7.2		First Named InventorStephArt UnitExaminer NameCarrieAttorney Docket NumberCarrie		nen E. Hidem		
Examiner Name     Carrie R Dorna       Attorney Docket Number     56782.1.7.2				3735		
Attorney Docket Number 56782.1.7.2				e R Dorna		
				56782.1.7.2		

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

 $\times$  A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2016-08-02
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R. DORNA	3735

CPC- SEARCHED						
Symbol	Date	Examiner				
A61N5/1002, 1007	8/5/14	EF				
A61N 2005/1021	8/5/14	EF				
A61N 5/1001, 1002, 1007, 1014-1017, 1027, 1028	9/2015	CD				
A61N 2005/1021	9/2015	CD				
A61M 5/007	9/2015	CD				
G21G 4/08	9/2015	CD				
A61N 5/1001, 1002, 1007, 1014-1017, 1027, 1028 updated	4/2016	CD				
A61N 2005/1021 updated	4/2016	CD				
A61M 5/007 updated	4/2016	CD				
G21G 4/08 updated	4/2016	CD				
A61N 5/1001, 1002, 1007, 1014-1017, 1027, 1028 updated	11/2016	CD				
A61N 2005/1021 updated	11/2016	CD				
A61M 5/007 updated	11/2016	CD				
G21G 4/08 updated	11/2016	CD				

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			
600	1-8, 301, 431	7/9/12-7/26/12	EF			
604	48, 65-67, 218, 236, 526	7/9/12-7/26/12	EF			

SEARCH NOTES		
Search Notes	Date	Examiner
Limited class/subclass searches with test	7/9/12-7/26/12	EF
Text searching in EAST (see Search History)	7/9/12-7/26/12	EF
Inventor name search in EAST	7/9/12-7/26/12	EF
Assignee name search in EAST	7/9/12-7/26/12	EF
Forward/backward searches of relevant art in EAST	7/9/12-7/26/12	EF

# SEARCH NOTES

Search Notes	Date	Examiner
Updated class/subclass searches	11/27/12-	EF
	11/28/12	
Additional text searching and forward/backward searches in EAST	11/27/12-	EF
	11/28/12	
Updated class/subclass searches and additional text searching in EAST	8/13-9/17/13	EF
Updated class/subclass searches	4/25/14	EF
Updated class/subclass searches and text searching in EAST for AFCP	8/5/14	EF
2.0 submission		
Updated class/subclass searches	11/17/14	EF
Updated class/subclass searches	4/16/15	EH
see EAST search report	9/2015	CD
EAST: inventor name search, assignee search	9/2015	CD
see updated EAST search report	4/2016	CD
see updated EAST search report	11/2016	CD

# **INTERFERENCE SEARCH**

US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
	see EAST search report	9/2015	CD
	see updated EAST search report	4/2016	CD
	see updated EAST search report	11/2016	CD

## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L2	3597	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/11/17 14:21
L3	207	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/11/17 14:29
L4	2539	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/11/17 14:30
L5	444	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/11/17 14:35
L6	23	(2 or 3 or 4 or 5) ((display\$4 with tim\$3) or (tim\$3 with count\$3) or (tim\$3 with elaps\$3)) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/11/17 14:43
S2	273	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/08/14 10:57
<b>S</b> 3	3	(("20070213848") or ("20080237502") or ("20070260213")).PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/14 11:17
<b>S</b> 4	2	(time with elaps\$3 with elut\$4) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:51
S5	107	(time with elaps\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT;	AND	ON	2015/08/14 12:52

			USOCR; EPO; JPO; DERWENT			
S6	0	(display\$4 with time with elaps\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:53
S7	3	(display\$4 same (time with elaps\$3)) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:53
S8	2	(display\$4 same (time with (idl\$3 or compet\$))) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:16
S9	2	S4 (time with elaps\$3)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:21
S10	273	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/08/14 13:21
S11	1	S10 (time with elaps\$3)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:21
S12	275	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/09/28 13:01
S13	191	(bracco near2 diagnostics).as.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/09/28 13:03
S14	2	("3543752"   "3861380").PN.	US- PGPUB; USPAT	AND	ON	2015/09/28 13:16
S15	4	("3535085"   "4160910"   "4759345"   "6639237").PN.	US- PGPUB; USPAT	AND	ON	2015/09/28 13:16
S16	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT;	AND	ON	2015/09/28 13:21

			USOCR; EPO; JPO; DERWENT			
S17	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 15:51
S18	118	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 16:45
S19	1899	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 17:04
S20	407	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:22
S21	0	(S17 or S18 or S19 or S20) (display\$4 same (time with (idl\$3 or compet\$))) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:55
S22	31	(S17 or S18 or S19 or S20) (display\$4 same tim\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:56
S23	7	(("20070140958") or ("7966068") or ("20060015056") or ("4585941") or ("20050187515") or ("5395320") or ("20030139640")).PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/09/28 20:33
S24	1	("7996068").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/09/28 20:59
S25	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S26	118	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S27	1899	А61М5/007.срс.	US-	AND	ON	2015/09/28

			PGPUB; USPAT; USOCR; EPO; JPO; DERWENT			21:25
S28	407	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S29	32	(S25 or S26 or S27 or S28) (display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S30	33	("4202345").PN. OR ("4562829").URPN.	US- PGPUB; USPAT; USOCR	AND	ON	2015/09/28 21:26
S31	1	((display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 detect\$4).clm.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:32
S33	3371	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 10:03
S34	160	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 10:07
S35	2221	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 10:08
S36	427	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 10:14
S37	3371	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S38	160	A61N2005/1021.cpc.	US- PGPUB; USPAT;	AND	ON	2016/04/11 11:35

			USOCR; EPO; JPO; DERWENT			
S39	2221 A61M5/007.cpc.		US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S40	427	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S41	38	(S37 or S38 or S39 or S40) (display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S42	20	0(S37 or S38 or S39 or S40) (display\$4 same US tim\$3) (((radioactiv\$3 with (inject\$4 or PC infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer)US EF D D		AND	ON	2016/04/11 11:51
S43	3371	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S44	160	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S45	2221	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S46	427	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S47	21	(S43 or S44 or S45 or S46) ((display\$4 with tim\$3) or (tim\$3 with count\$3) or (tim\$3 with elaps\$3)) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S48	135	("20020129471"   "20030004463"   "20030139640"   "20040054319"	US- PGPUB;	AND	ON	2016/04/11 13:26

S58	4	S57 and tim\$3	US- PGPUB;	OR	OFF	2016/11/16 15:17
IS57	4	("20060164093"   "20070226175"   "3576998"   "5166526").PN.	US- PGPUB; USPAT	OR	OFF	2016/11/16 15:14
		"20040104160"   "20040260143"   "20050278066"   "2006015056"   "20060151048"   "20070140958"   "20070213848"   "20070232980"   "20070260213"   "20070282263"   "20080166292"   "20080093564"   "20080166292"   "20080177126"   "20080166292"   "20080177126"   "200801242915"   "20090312630"   "20090312635"   "20110071392"   "20100312039"   "20110071392"   "20110172524"   "20110178359"   "20110029764"   "20120398671"   "20120312980"   "20120310031"   "20120312980"   "20130300109"   "20140084187"   "20140175959"   "3483867"   "3535085"   "3543752"   "3565376"   "3710118"   "3714429"   "3774036"   "3847138"   "3861380"   "3991960"   "3997784"   4096859"   "4160910"   "4212303"   "4286169"   "4336036"   "4466888"   "4562829"   "4585009"   4585941"   "4623102"   "4625118"   "4656697"   4674403"   "4679142"   "4755679"   "4759345"   "5039863"   5254328"   "5258906"   "5274239"   5395320"   "5475232"   "5485831"   "5590648"   "5702115"   "5739508"   5765842"   "5827429"   "5485831"   5590648"   "5702115"   "5739508"   5765842"   "5827429"   "5485831"   5590648"   "5702115"   "5739508"   5765842"   "5827429"   "5486831"   5590648"   "5702115"   "5739508"   5765842"   "5827429"   "5840026"   5885216"   6157036"   "6220554"   6267717"   6347711"   "6442418"   6450936"   6454460"   "6558125"   66268622"   6639237"   "7504646"   7522952"   7586102"   "7504646"   7522952"   7586102"   "7504646"   7522952"   7586102"   "7605384"   7608831").PN. OR ("7612999"   "7712491"   "7734331"   "7737415"   "7780352"   7813841"   7825372"   "7862534"   7996068"   8058632"   "8071959"   8198599"   8216181"   "8216184"   8295916"   8317674"   "8431909"   8439815"   "8442803"   "8708352").PN. OR ("9299467").URPN.	USPAT; USOCR			

# EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L7	3	((((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or	US- PGPUB; USPAT	AND	ON	2016/11/17 15:03

		computer) break\$1through tim\$3).clm.				
S32	0	((display\$4 with tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 detect\$4).clm.	US- PGPUB; USPAT	AND	ON	2015/09/28 21:32
S49	0	((display\$4 with tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 breatk\$1through).clm.	US- PGPUB; USPAT	AND	ON	2016/04/11 13:03
S54	5	((((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through).clm.	US- PGPUB; USPAT	AND	ON	2016/04/11 13:04
S55	3	((((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through tim\$3).clm.	US- PGPUB; USPAT	AND	ON	2016/04/11 13:05
S56	131	<pre>("20020129471"   "20030004463"   "20030139640"   "20040054319"   "20040104160"   "20040260143"   "20050278066"   "20060173419"   "20050278066"   "20060173419"   "2007080223"   "20070140958"   "20070260213"   "20070282263"   "20080071219"   "20080093564"   "20080071219"   "20080093564"   "20080071219"   "20080037502"   "20080071219"   "20080237502"   "20080042915"   "20090312630"   "20080242915"   "2010030009"   "20100312039"   "20110071392"   "20110172524"   "2011007392"   "20110209764"   "20120098671"   "20120305730"   "20120310031"   "20120312980"   "20130300109"   "20140084187"   "20140175959"   "3483867"   "3535085"   "3543752"   "3565376"   "3541718"   "3714429"   "3774036"   "3997784"   4096859"   4160910"   4212303"   4286169"   4336036"   "4466888"   4562829"   4585009"   "4585941"   4623102"   4625118"   "4666697"   4674403"   4679142"   "4755679"   4759345"   "376908"   "3539320"   5475232"   55485831"   "5590648"   5528906"   5274239"   "5395320"   5475232"   5485831"   "5590648"   5702115"   5739508"   "55765842"   5827429"   5840026"   "5885216"   6157036"   6220554"   6626862"   6639237"   6767319"   "6870175"   6901283"   6908598"   "7091494"   7163031"   7169135"   "7204797"   7256888"   726688"   7266867"   "7413123"   7476377"   7504646"   "752952"   7586102"   7605384"  </pre>	US- PGPUB; USPAT	AND	ON	2016/04/11 13:05

 "7608831").PN. OR ("7612999"   "7712491"		
 "7734331"   "7737415"   "7780352"		
 "7813841"   "7825372"   "7862534"		
 "7996068"   "8058632"   "8071959"		
 "8198599"   "8216181"   "8216184"		
 "8295916"   "8317674"   "8431909"		
 "8439815"   "8442803"   "8708352").PN. OR		
 ("9299468").URPN.		

11/17/2016 3:11:03 PM

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R DORNA	3735

CPC	CPC							
Symbol					Туре	Version		
A61M		5	1	16881	F	2013-01-01		
A61N		5	1	1001	Ι	2013-01-01		
A61N		2005	1	1021	А	2013-01-01		
A61B		90	1	39	I	2016-02-01		
A61M		5	1	14	I	2013-01-01		
G21F		7	ł	00	Ι	2013-01-01		
G21G		1	1	0005	Ι	2013-01-01		
A61B		6	1	507	Ι	2013-01-01		
A61B		6	1	107	Ι	2013-01-01		
A61B		6	1	481	Ι	2013-01-01		
A61M		5	1	007	Ι	2013-01-01		
A61M		5	/	142	Ι	2013-01-01		
G21F		3	/	00	Ι	2013-01-01		
A61K		51	/	00	Ι	2013-01-01		
G21G		4	1	08	Ι	2013-01-01		
A61B		6	1	037	-	2013-01-01		
A61B		2090	1	392	А	2016-02-01		
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G21G		1	1	001		2013-01-01		
G21G		2001	1	0031	A	2013-01-01		

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(Assistant Examiner)	(Date)	22		
/CHARLES A MARMOR II/ Supervisory Patent Examiner.Art Unit 3735	11/17/2016	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	1A	

U.S. Patent and Trademark Office

	Application/Control No.		Appl	icant(s)/P	atent Under F	Reexamination
Issue Classification	12808467	HIDEM ET AL.				
	Examiner		Art U	Jnit		
	CARRIE R DORNA		3735			

Symbol	Туре	Set	Ranking	Version

/CARRIE R DORNA/ Examiner.Art Unit 3735	11/17/2016	Total Claims Allowed:			
(Assistant Examiner)	(Date)	22			
/CHARLES A MARMOR II/ Supervisory Patent Examiner.Art Unit 3735	11/17/2016	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1A		
J.S. Patent and Trademark Office Part of Paper No. 201611					

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R DORNA	3735

US ORIGINAL CLASSIFICATION					INTERNATIONAL CLASSIFICATION										
	CLASS SUBCLASS						С	LAIMED		NON-CLAIMED			CLAIMED		
			G	2	1	G	4 / 08 (2006.01.01)								
CROSS REFERENCE(S)															
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										

/CARRIE R DORNA/ Examiner.Art Unit 3735	11/17/2016	Total Clain	ns Allowed:	
(Assistant Examiner)	(Date)	22		
/CHARLES A MARMOR II/ Supervisory Patent Examiner.Art Unit 3735	11/17/2016	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	1A	
U.S. Patent and Trademark Office		Pa	rt of Paper No. 20161116	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R DORNA	3735

	Claims renumbered in the same order as presented by applicant							СР	<b>A</b> [	] T.D.	۵	<b>R.1.</b> 4	17		
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
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3	з	-	19	-	35	18	51								
4	4	-	20	-	36	19	52								
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-	15	-	31	17	47										
-	16	-	32	5	48										

/CARRIE R DORNA/ Examiner.Art Unit 3735	11/17/2016	Total Clain	ns Allowed:		
(Assistant Examiner)	(Date)	22			
/CHARLES A MARMOR II/ Supervisory Patent Examiner.Art Unit 3735	11/17/2016	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1A		
J.S. Patent and Trademark Office Part of Paper No. 20161					



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## FREDRIKSON & BYRON, P.A. INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS MN 55402



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:
: DECISION GRANTING PETITION
: UNDER 37 CFR 1.313(c)(2)
:

This is a decision on the petition under 37 CFR 1.313(c)(2), filed, August 2, 2016 to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED**.

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid on July 20, 2016 cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.<sup>1</sup>

Telephone inquiries should be directed to Terri Johnson at (571) 272-2991.

This application is being referred to Technology Center AU 3735 for processing of the request for continued examination under 37 CFR 1.114 and for consideration of the concurrently filed information disclosure statement.

/Terri Johnson/ Terri Johnson Paralegal Specialist Office of Petitions

<sup>&</sup>lt;sup>1</sup> The request to apply the issue fee to the new Notice may be satisfied by completing and returning the new Part B – Fee(s) Transmittal Form (along with any balance due at the time of submission). <u>Petitioner is advised that the</u> <u>Issue Fee Transmittal Form must be completed and timely submitted to avoid abandonment of the application.</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	Carrie R. Dorna
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/C	ICLUDING COMP OR OPERATION A	PUTER-FACILITATED

## PETITION UNDER 37 CFR 1.313(c) FOR WITHDRAWAL FROM ISSUE

Mail Stop PETITIONS Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

Applicant hereby petitions for withdrawal of the above-identified patent application from issue. The issue fee for this case was paid July 20, 2016.

Applicant requests that the application be withdrawn from issue in accordance with the provisions of 37 C.F.R. § 1.313(c) to enable the United States Patent and Trademark Office to consider a Request for Continued Examination (filed concurrently). The Request for Continued Examination is accompanied by an Information Disclosure Statement listing references not previously considered by the Examiner. The Information Disclosure Statement constitutes a sufficient submission.

This petition is accompanied by the \$140.00 petition fee required under 37 CFR 1.17(h). The Commissioner is further authorized to charge any deficiencies and credit any overpayments to Deposit Account No. 06-1910.

Dated: August 2, 2016	Respectfully submitted,
FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000	/Paul J. LaVanway, Jr./
Minneapolis, MN 55402-1425 USA	Paul J. LaVanway, Jr.
Telephone: (612) 492-7387	Registration No. 64,610
Facsimile: (612) 492-7077	

*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.* 59333636\_1.DOC

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	REQ	UEST FO	R CONTINUED	) EXAMINATIO I Only via EFS	N(RCE)TRANSMITT/ -Web)	4L			
Application Number	12/808,467	Filing Date	2010-06-16	Docket Number (if applicable)	56782.1.7.2	Art Unit	3735		
First Named Inventor	Stephen E. Hide	m		Examiner Name	Carrie R. Dorna				
This is a Req Request for C 1995, to any ir Instruction Sho	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, to any international application that does not comply with the requirements of 35 U.S.C. 371, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV.								
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Applica	ant Signature								

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Signature of Registered U.S. Patent Practitioner								
Signature	'Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2016-08-02					
Name	Paul J. LaVanway, Jr.	Registration Number	64610					

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.
The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

<ul> <li>Europäisches Patentamt</li> <li>European Patent Office</li> <li>Office européen des brevets</li> </ul>	(1) Publication number: 0 317 114 A1					
EUROPEAN PATENT APPLICATION						
<ul> <li>Application number: 88310238.6</li> <li>Date of filing: 31.10.88</li> </ul>	(i) Int. Cl.4: G01N 30/88 , B01D 15/08					
<ul> <li>Priority: 04.11.87 GB 8725858</li> <li>Date of publication of application: 24.05.89 Bulletin 89/21</li> <li>Designated Contracting States: AT BE CH DE ES FR GB GR IT LI LU NL SE</li> </ul>	<ul> <li>Applicant: OROS SYSTEMS LIMITED 715 Banbury Avenue Slough SL1 4LJ(GB)</li> <li>Inventor: Kenney, Andrew Charles 87, Grove Road Windsor Bershire, SL4 1HT(GB) Inventor: Thompson, Philip William Rotherwood 70A Gore Road Burnham Buckinghamshire SL1 7DJ(GB) Inventor: Harris, John Louis 13, Reddington Road Langley Slough Berkshire, SL3 7QT(GB)</li> <li>Representative: Ablewhite, Alan James et al MARKS &amp; CLERK 57/60 Lincoln's Inn Fields London WC2A 3LS(GB)</li> </ul>					

#### Automatic chromatography apparatus.

 Automatic chromatography apparatus comprises a column packed with adsorbent or attractant material;

means for supplying an elutant solution to the column;

loading means for loading controlled quantities of a material into the column, the material containing a substance to be eluted;

automatic control means which control the loading means so as to load the column with a first charge of the material and subsequently to load the column

with a second charge of the material, the first charge being sufficiently small to ensure that the capacity of the column is not exceeded and the second charge being sufficiently large to ensure that the capacity of the column is exceeded;

First monitoring means for monitoring and controlling flow rate to provide accurate flow information to enable peak area integration;

• second monitoring means which monitor the peaks of elution of the substance from the column resulting inform the first and second charges of material;

processing means connected to the monitoring means and arranged to integrate the elution peak with respect to the first charge of material so as to derive the concentration of the substance in the material, and to determine from the peak of elution of the substance from the column resulting from the second charge of material the maximum practical capacity of the column for the said substance; and, optionally,

means for providing a controlled change in time in a chemical parameter of the elutant supplied to the column under control of the control means thereby enabling the processing means to determine from elution peaks monitored by the monitoring means the optimum value for said parameter for elution of the said substance and whether or not other similar substances are being eluted from the column.

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### AUTOMATIC CHROMATOGRAPHY APPARATUS

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This invention relates to an automated system for chromatography, particularly adsorption chromatography, and in particular adsorption chromatography of monoclonal antibodies.

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In the preparation of microbiological and cell culture derived products such as monoclonal antibodies or fragments thereof, the main problem is the separation of the required material from raw materials and by-products and also from the preparation medium. It is frequently the case that the concentration of the required product in the preparation medium is in fact very low, so that the product has to be won from large volumes of culture liquor.

A convenient method for capturing and isolating materials such as monoclonal antibodies and fragments thereof comprises chromatography, particularly affinity chromatography, in which the medium is contacted with an adsorbent or otherwise attractant material in a column so that the required product is bound, and the column is subsequently eluted with a suitable medium to cause the product to become selectively unbound.

Practical problems arise in running column chromatography of this type, especially on a preparative, as opposed to an investigative, scale. Often, the affinity of the product for the column packing is unknown, especially if the product is new. Secondly, the concentration of the desired product in the medium may not be known with any degree of accuracy, and thirdly the degree of purity of the medium may not be known. For example, the preparation of a monoclonal antibody supply may be contaminated with rogue polyclonal products. There is thus always a considerable degree of investigation and calibration to be done before routine chromatography can be carried out. Such investigative work can be time consuming and troublesome and can also lead to wastage of highly valuable product. There is thus a need for an automated system which solves these problems.

This invention provides a novel application of expert software control to a chromatography system and also to particular combinations of apparatus of use in this process.

According to a first aspect of the present invention there is provided automatic chromatography apparatus comprising:

a column packed with adsorbent or attractant material:

means for supplying an elutant solution to the column:

loading means for loading controlled quantities of a

material into the column, the material containing a substance to be eluted;

automatic control means which control the loading means so as to load the column with a first charge

- of the material and subsequently to load the column with a second charge of the material, the first charge being sufficiently small to ensure that the capacity of the column is not exceeded and the second charge being sufficiently large to ensure that the capacity of the column is exceeded; 10
  - first monitoring means for monitoring and controlling flow rate to provide accurate flow information to enable peak area integration;
- second monitoring means which monitor the peaks of elution of the substance from the column result-15 ing from the first and second charges of material;
  - processing means connected to the monitoring means and arranged to integrate the elution peak with respect to the first charge of material so as to derive the concentration of the substance in the material, and to determine from the peak of elution of the substance from the column resulting from the second charge of material the maximum practical capacity of the column for the said substance; and, optionally,

means for providing a controlled change in time in a chemical parameter of the elutant supplied to the column under control of the control means thereby enabling the processing means to determine from elution peaks monitored by the monitoring means the optimum value for said parameter for elution of the said substance and whether or not other similar substances are being eluted from the column. The parameter can be, for example, the pH, the ionic strength or the chemical composition, e.g. the presence of a certain quantity of a chaotropic agent such as urea, guanidine etc.

According to a second aspect of the present invention there is provided a method of automatic chromatography characterisation comprising the steps of: automatically;

loading onto a column packed with adsorbent or attractant material a relatively small sample containing a substance to be eluted, the relatively small sample being sufficiently small so as to ensure that the adsorption capacity of the column is not exceeded:

eluting the substance from the column to obtain an elution peak:

integrating the elution peak with respect to the relatively small sample so as to derive the concentration of the substance in the sample;

loading the column with a relatively large sample containing the substance, the relatively large sample being sufficiently large to ensure that the ca-



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pacity of the column is exceeded;

calculating the maximum practical capacity of the column; and, optionally

providing a controlled change in time in a chemical parameter of the elutant supplied to the column to determine the optimum value at which the substance can be eluted.

The apparatus and method are suitable for chromatographic separation generally, but are particularly suitable for affinity chromatography especially immunological affinity chromatography needed for the separation of monoclonal antibodies. Thus, the column packing can be any suitable adsorbent or otherwise attractant material, but for immunological purposes a substance which can bind to an antibody or a fraction thereof by means of specific affinity is most suitable. A particularly desirable material comprises an inert packing to which is bound an immunological material such as protein A.

The packing generally comprises a rigid or semi-rigid inert support material, either porous or nonporous, of which many are known and produced commercially, for example Sepharose and other agarose-based materials, silica and derivatives and other synthetic organic polymers and copolymers. Preferably, a 60 micron porous bead (pore size 3500nm) composed of hydroxyethylmethacrylate to which protein A (obtained either naturally or by means of genetic manipulation) is convalently attached using a chemical means of which many are known but preferably by means of divinyisulphone. The amount of protein A may be attached to the support material is, in practice, limited only by the chemical means of attachment, but preferably 2.5 to 3.5 mg of protein A is attached per ml of support material.

One of the problems of continuous chromatography of this type is that after a while the column becomes overloaded with impurities and by-products so that the capacity for the required product and the sharpness of the elution peak for that product gradually decrease. Under such circumstances, it is usually necessary for some kind of cleaning cycle to be operated before the column can be operated at its optimum efficiency. It is therefore desirable that the apparatus should be provided with means for determining when such an operation becomes necessary.

According to a further aspect of the present invention there is provided apparatus for controlling the efficiency of operation of a chromatography column, comprising:

monitoring means which monitor the shape and magnitude of the elution peak of the substance on the column;

memory means for storing at least one reference and details of one or more corrective actions which may counteract deterioration of the said efficiency of operation;

comparator means which compare output from the monitoring means with the said reference;

5 processing means which apply output from the comparator means to select a stored corrective action; and

control means which automatically execute the selected corrective action.

10 According to a still further aspect of the present invention, there is provided a method of controlling the efficiency of operation of a chromatography column, comprising the steps of: monitoring the continuing performance of the col-

umn by automatic elution peak analysis, said peak analysis including the comparison of peak shape and magnitude with at least one prestored reference, the analysis further including identifying from a plurality of prestored options the most probable corrective action to counteract deterioration of the

said efficiency of operation; and automatic execution of the identified corrective action.

The system may select corrective actions from a choice of several but also may try choices in a sequence, moving from one to another as the benefit of the first is exhausted.

Preferably, peak shape analysis comprises determining the degree of asymmetry of the peak.

Beneficially, two references are prestored, one of the references being varied in accordance with the efficiency of the column itself and the other of the references representing the minimum acceptable efficiency of the column.

35 A more detailed description of the apparatus and its use follows.

A typical characterisation sequence for use with a monoclonal antibody on a protein A column is as follows:-

The objective of the characterisation cycle is to obtain certain parameters for an unknown antibody which enable the instrument to set itself up automatically to purify the antibody with maximum efficiency.

The parameters obtained are as follows:-

1. The capacity of the column for the antibody.

2. The concentration of antibody in the crude feedstock.

3. The pH at which the antibody can be eluted from the column.

4. The number of resolvable peaks in the chromatogram.

55 These parameters are stored by the software to be used subsequently to control the operation of the purification runs. They are needed for the following reasons:-

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1. In order to load an amount of antibody onto the column which is as high as possible without losing part of that antibody in the unbound protein fraction, it is necessary to know the capacity of the column for that particular antibody. This capacity will be different for different antibodies and so the capacity must be established for each

2. Once the capacity is known, the volume of feedstock to load for each purification cycle can only be calculated from the concentration of antibody in the feedstock. This is often not known accurately beforehand.

new antibody of interest.

3. Antibody is eluted from the column by lowering the pH of the solution passing through the column. Different antibodies will be eluted at different pH values. Whilst it would be possible to elute all antibodies at, say, pH 3.0, many antibodies are not stable at low pH and so it is important to elute at as high a pH as possible. This must be determined experimentally for each antibody of interest.

4. A clonal hybridoma culture should only produce a single monoclonal antibody. However sometimes by accident cell cultures are used to produce antibody that are di-, tri- or polyclonal and so more than one antibody may be found in the culture fluid. Furthermore, cells may be grown in animal serum that contains antibody from another species, or in mouse ascites which contains normal polyclonal mouse antibodies. In each of these cases it is possible that on elution of the antibody from protein A, more than one peak of antibody may be obtained. By running a pH gradient during the characterisation sequence the instrument may detect these additional components and prompt the operator to analyse each component and tell the computer which of the peaks is of interest and should be collected during the purification runs. Where the characterisation experiment gradient only achieves partial resolution of peaks, a second, shallower gradient may be run on a repeat cycle to attempt a better resolution.

These parameters may be obtained automatically by the instrument as follows:-

When a new antibody is presented to the machine, the operator causes it to perform the characterisation sequence by making an entry at the keyboard.

The machine is also told the source of the antibody (e.g. ascites, cell culture or concentrated cell culture) and the operator's estimate of the antibody concentration, if possible. From this information the software calculates approximately how much feedstock to load in order to achieve 5-15% saturation of the column. Under this condition all of the applied antibody will be retained by the

column and, on elution, the peak integral may be used to calculate accurately the total antibody concentration. The elution in this automatic experiment is done at pH 3 to obtain a single eluted peak.

Next the instrument loads the column with an amount of antibody equivalent to more than an estimate of the saturation amount. The estimate is made on the basis of experience of typical saturation values for different types of antibody which have been previously determined by experiment and stored in the computer. During the loading of this material, the unbound protein stream is returned to the feedstock vessel to avoid loss of antibody in the waste stream. The volume returned in this way is recorded. Alternatively this stream may be collected in a separate vessel. The bound antibody is then eluted from the column, this time using a linear pH gradient from 8.8 down to 2.0. The integral values of the total number of peaks of eluted antibody obtained is used to determine the saturation capacity of the column. In cases where more than one peak is obtained, the operator is prompted to decide which of the peaks is/are collected. This peak is then used to determine the saturation capacity. Where the unbound protein stream was returned to the feedstock vessel, the total antibody eluted from the column is used to perform a calculation to take account of the now diluted feedstock and a revised concentration for the remaining antibody is obtained.

The chromatogram obtained is used by the software to determine the appropriate pH for elution. This is done by relating the protein concentration measurements to the pH measurements and selecting a pH which is equivalent to the point at, say, 75% of the peak width.

The characterisation sequence described above derives the antibody concentration and column capacity for each new antibody.

These values can be in error to an extent where the performance of the instrument would be compromised and so a rourine is performed during each separation which checks the actual yield (peak integral) against expected values obtained during characterization and takes appropriate action if significant deviations are detected. This routine can also spot the onset ot column fouling by detecting the reduction in yield that often accompanies fouling.

The flow sheet in Fig. 1 illustrates this rather complex routine and the variables have the following meaning:-

R35 = peak integral from last cycle

R24 = expected peak integral from characterisation cycle

C = cycle number

R27 = conversion factor to generate mass from peak integral

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R5 = volume of feed to load R42 = corrected feed concentration R8 = column capacity

#### First Cycle (C = 1)

The peak integral obtained is compared with 70% and 90% of the expected peak integral. A value below 70% indicates that the original concentration estimate was too high and so on the basis that all of the antibody on this cycle was captured and eluted, the actual concentration may be estimated from this eluted mass divided by the volume of feed that was applied. Since too high a concentration estimate would have lead to the determination of a capacity that was possibly too low, the instrument then performs the characterisation cycle again using the revised concentration estimate.

A value for the peak integral above 90% of expectation indicates that the concentration was probably underestimated at the start and so the load volume for the next cycle is set to 10% of the previous value. On subsequent cycles the load volume will be increased until the obtained peak integral falls in the 70-90% range.

#### Second Cycle (C = 2)

The range comparison is made again this time from the second cycle peak integral. Integrals falling within the range are ignored and the next cycle is performed without adjustment. Integral values below 70% will indicate that the column has possibly become fouled since the first cycle (concentration overestimate is not possible this time since it would have been detected and corrected on the first cycle). If on the first cycle an underestimate was diagnosed then the concentration is recalculated from the eluted mass and the volume of feed applied. Using this corrected concentration, the feed volume is then adjusted and the next cycle performed. This strategy will work because if an underestimate was diagnosed on the first cycle, the feed volume will have been set to 10% of the original feed value (see above). Consequently, one would have expected to obtain a low peak integral on the second cycle in order to recalculate the feed concentration accurately.

If a concentration underestimate was not diagnosed on the first cycle, then the yield obtained is tested to determine whether it is below a preset lower limit. If it is, then the run is aborted and a column replacement is recommended. If the yield is above the preset lower limit then the software determines that a cleaning cycle should be performed before the next cycle.

If the second cycle peak integral is above 90% of the expected value then an overload is assumed and the load volume is reduced by 10%. This protects against a loss of, antibody in the unbound protein fraction because the capacity was exceeded.

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### Subsequent Cycles (C > 2)

As before, the integral obtained is compared with the range 7090%. Integrals falling below 70% 15 indicate column fouling and this leads to the same analysis of yield as for the second cycle case above. However, if the yield is below the preset lower limit then the run may be aborted and a column replacement is recommended. If the yield 20 is above this preset lower limit, then the software checks to seewhether a cleaning cycle was performed before the last cycle. It it was, then another cleaning is performed before the next cycle but the loaded volume is reduced to an amount deter-25 mined from the actual yield of the most recent cycle. This takes account of the gradual loss of column capacity as fouling increases cycle by cycle. If no cleaning took place, then the column is cleaned and the next cycle runs without a reduction 30 in load volume, since the cleaning may well restore the capacity.

For peak integrals above 90%, the same load reduction sequence is triggered as for the same case for the second cycle above.

The peak analysis routines are as follows, in a preferred embodiment:-

#### 40 1. Peak Shape.

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The peak shape analysis is performed by the control computer after every separation run on the instrument. The objective is to detect on-line any deterioration in performance during the run and then to take action to correct it.

The first step is to perform a calculation on the peak and determine a parameter (skewness) which represents the extent to which the eluted peak diverges from a symmetric distribution. The skewness factor obtained is then compared with a preset level of acceptable skewness and if a value in excess of this acceptable level is found, then corrective action is taken. The acceptable level of

skewness (P) is a variable resident in the software which is set from experimental determination of the best peak shape obtainable with the system. A new column has a P value which is set on installation of

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the column. As the performance of the column deteriorates, the P value is adjusted so that at any time it represents the best peak shape obtainable with the particular column in place.

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There is further constant (P') which represents an extreme value for the skewness. At the point where the determined skewness reaches P, it is judged that the column in place is no longer servicable and should be replaced.

Once the skewness value has been determined, the software proceeds to work through a decision tree which enables it to take the appropriate corrective action.

Two basic factors can cause the eluted peak shape to deteriorate from the expected shape.

The first of these is elution pH. If the characterisation sequence determines an elution pH which in practice turns out to be too high, then antibody will not be eluted from the column with the maximum efficiency and so the peak obtained will tend to broaden and an extended tail to the peak may be obtained. The second factor is column fouling. The crude feedstocks that are used with the instrument may contain lipid and denatured protein which will tend to interact with the column in a nonspecific fashion and may not be eluted during normal cycling. Eventually the build up of these materials will give rise to uneven flow through the column and consequently the shape of the eluted peak will deteriorate. This may be corrected wholely or in part by cleaning the column with appropriate solutions.

Before any production run the column will have been cleaned and so if poor peak shape is detected at the outset it most likely to be a pH problem and so initially the software adjusts the elution pH downward by 0.5. However, if during the characterization experiments the apparatus detected a second unwanted peak within 1 pH unit of the peak of interest, pH adjustment would not be attempted as this might lead to inadequate resolution of the two components. The next separation cycle is then run and the skewness factor determined. If there has been an improvement over the previous cycle but the skewness is still above the preset value 'P' then the pH is again decremented by 0.5 and another cycle is performed. If the skewness is now below P then the next cycle is performed without further adjustment.

Once the potential to improve the shape using pH has been exhausted or the shape improvement has resulted in a skewness of less than P, then a flag is set in software to indicate that no further pH adjustment should be attempted.

Where a pH adjustment is made and fails to improve the peak shape on the next production cycle, then the pH is restored by 0.5 and a cleaning sequence is performed before the next production cycle.

If a poor peak shape fails to be improved by pH adjustment or is improved up to a point but still has a skewness greater than P, then a cleaning cycle is performed before the subsequent production cycle.

The skewness obtained from the eluted peak from a cycle performed after the column has been cleaning is checked against P', the maximum allowable skewness. If this value is exceeded then the instrument warns the operator that the column is now performing below specification and recommends replacement. Whether P' is exceeded or not, the software resets P to the skewness determined on the cycle following a clean since this is now likely to be the best possible peak shape obtainable with the column in place.

The new value of P is retained in software for use on subsequent runs since this variable is a characteristic of the column installed on the machine. When the column is replaced, P is reset to the original value.

#### Determination of Skewness\_

Mean (x)

= (sum from i = 1 to n of xi"yi) /(sum from i = 1 to n of yi)

Variance(s<sup>2</sup>) = (sum from i = 1 to n of  $yi(xi - x)^2$ ) /(sum from i = 1

to n of yi)

Standard Deviation = S Third Moment (m3)

= (sum from i = 1 to n of  $yi(xi - x)^3$ ) / (sum from i = 1 to n of yi)

Coefficient of Skewness = m3/S<sup>3</sup>

where, Yi = protein concentration value at a point, Xi along the eluted volume axis of the peak; and

i = the number of the coordinate out of a total of n coordinates which define the peak.

The coefficient of skewness will be 0 for a perfectly symmetrical peak and will have increasing positive or negative values reflecting the extent to which the leading or trailing edges of the peak deviate from normality.

Under the situation described here only trailing edge deviations will be observed and hence the extent of the positive value for the skewness can be used as a measure of the extent to which the eluted peak "tails".

This mathematics is a well known statistical manipulation and is included for information only.

The sequence of steps is illustrated in Fig. 2.

In the above procedure no account is taken of the nature of the deterioration in the peak shape. Only the extent of deviation from a symmetrical

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peak is considered.

By means of the following procedure it is possible to separate shape changes leading to wider but symmetrical peaks from wider but asymmetrical peaks. This is of use to the control system because wide symmetrical peaks are usually the result of column fouling whilst wide asymmetrical peaks are usually the result of too high an elution pH.

This procedure works as follows:-

After the peak has been eluted from the column, two measurements are made. Firstly the width of the peak is measured between set thresholds of protein concentration. Secondly, the position of the peak maximum is measured and the width of the leading edge of the peak is determined.

If the peak width is less than a preset value of acceptability (w) then no action is taken.

If the width exceeds this preset value then the width of the leading edge is also compared with a preset value of acceptability (I'). In this case if this leading edge width is exceeded then a cleaning cycle is performed, since this indicates that the peak is probably symmetrical but of excessive width.

If the leading edge width is within the limit of l', then the instrument decreases the elution pH by 0.5, since this indicates that, despite the excessive width of the peak overall, the leading edge is normal.

In deciding whether to adjust the pH downward, the software first checks from the characterisation data that there is not a second peak set to elute at a lower pH. If such a second peak does exist and it is within a pH unit of the peak of interest, then a reduction in elution pH would cause co-elution of the two.

It will be appreciated that these two strategies for managing changes in the shape and width of eluted peaks can be combined.

The apparatus according to the present invention may incorporate a number of optional features to aid effective use.

One such feature is an automatic arrangement for changing to an alternative filter. The inlet stream to the chromatography column should, desirably, pass through a filter to exclude undissolved residues. In the course of time such a filter becomes overloaded and ceases to be efficient. A simple arrangement for overcoming this problem is to have two filters connected in parallel, with the flow of liquid controlled by a toggle valve. Upstream of the toggle valve the pipeline is provided with a pressure sensor connected to operating means serving to actuate the toggle valve. Thus, in operation, liquid passes the pressure sensor and leaves the toggle valve to pass through one of the filters. After a certain time, the back pressure in the system builds to an undesirable level. The pressure sensor and actuating means are calibrated to operate when the pressure reaches this predetermined value and the toggle valve is switched so that the liquid flow passes the second filter. The first filter can then be removed and cleaned or replaced.

Another useful feature for the apparatus according to this invention is the provision of sensing means, for example small infra-red detectors, to monitor liquid levels in the storage vessels to which product solutions etc. are delivered. The sensing means can be arranged to detect whether the storage vessel is full or empty. In a particularly preferred embodiment, the apparatus is provided with means to signal the absence of the vessel, for example a spring-loaded interrupter arranged to interrupt the infra-red beam if the storage vessel is removed. The sensing means can be arranged to

20 removed. The sensing means can be arranged to cooperate with control means serving to control filling and washing cycles etc.

In a further preferred embodiment, a device to eliminate gas bubbles from the feed stream to the column comprises 2 level sensing devices mounted one above the other in a cyclindrical chamber which is also provided with ports for the entry and exit of the liquid flow at the base and a further port at the top of the chamber above the level sensing devices which is connected to a valve. This is

devices which is connected to a valve. This is under the control of the computer and when opened connects the inside of the chamber to the atmosphere. Bubbles of gas entering the chamber rise up before they can be removed in the exit stream and replace the liquid which initially fills the

35 stream and replace the liquid which initially fills the chamber. The upper of the 2 level sensing devices detects when this collected gas exceeds a certain volume and as a consequence the control computer opens the vent valve until the gas is replaced

in the chamber by liquid from the inlet stream. If a large quantity of gas enters the chamber or if the upper level sensing device fails then the second, lower level sensor will detect an abnormally large quantity of gas in the chamber and as a consequence the computer will divert the flow from passing through the column in order to protect it from damage caused by entry of gas, as well as opening the vent valve.

In another preferred embodiment, the provision of a gradually changing pH in the elutant solution over a period of time can be achieved by arranging two buffered solutions to flow in parallel to a toggle valve connected to a single conduit leading to the column. The toggle valve is arranged so that for part of the cycle one of the buffer solutions is passed to the conduit and for the remainder of the cycle the other buffer solution is passed to the conduit. Control means can be provided to adjust

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the ratio between these times on a gradually changing basis, so that the pH of the emerging elutant gradually changes. Throughout the operation, the toggle valve "toggles", i.e. constantly switches from one supply to the other and back again. Typical buffer solutions for this purpose are a phosphate buffer at pH 8.8 and a citrate buffer at pH 2.0. For preferance, the conduit is connected to the column via auxiliary mixing means, such as a small reservoir, optionally fitted with baffles. To be effective, the reservoir should have a volume equal to the output of the toggle valve over a number of toggle cycles, so that the alternate streams of buffer have a chance to mix and stabilise before passing to the column. In this way an effectively linear transition of pH can be achieved very simply.

#### Claims

1. Automatic chromatography apparatus comprising a column packed with adsorbent or attractant material;

means for supplying an elutant solution to the column;

loading means for loading controlled quantities of a material into the column, the material containing a substance to be eluted;

automatic control means which control the loading means so as to load the column with a first charge of the material and subsequently to load the column with a second charge of the material, the first charge being sufficiently small to ensure that the capacity of the column is not exceeded and the second charge being sufficiently large to ensure that the capacity of the column is exceeded;

first monitoring means for monitoring and controlling flow rate to provide accurate flow information to enable peak area integration;

second monitoring means which monitor the peaks of elution of the substance from the column resulting from the first and second charges of material;

processing means connected to the monitoring means and arranged to integrate the elution peak with respect to the first charge of material so as to derive the concentration of the substance in the material, and to determine from the peak of elution of the substance from the column resulting from the second charge of material the maximum practical capacity of the column for the said substance; and, optionally,

means for providing a controlled change in time in a chemical parameter of the elutant supplied to the column under control of the control means thereby enabling the processing means to determine from elution peaks monitored by the monitoring means the optimum value for said parameter for elution of the said substance and whether or not other similar substances are being eluted from the column.

2. Apparatus according to claim 1 including means for providing a controlled change in time in a chemical parameter selected from the group consisting of pH, ionic strength and chemical composition.

3. Apparatus according to claim 2 further comprising supplies of two buffered solutions arranged to flow in parallel to a toggle valve arranged to alternate between the two solutions, and control means arranged to adjust the ratio between the times of the flow of each of the solutions on a gradually changing basis, whereby the pH of the emerging elutant gradually changes.

4. Apparatus according to any of claims 1 to 3, wherein the said adsorbent or attractant material comprises an inert packing to which is bound an immunological material.

5. Apparatus of according to any of claims 1 to 4, wherein the said immunological material comprises protein A.

6. Apparatus according to any of claims 1 to 5 further comprising two filters arranged in parallel, upstream of the column;

a toggle valve arranged to control the flow of liquid to the filters;

a pressure sensing means arranged upstream of the toggle valve and serving to actuate the toggle valve.

7. Apparatus according to any of claims 1 to 6, further comprising sensing means arranged to monitor liquid levels in strong vessels to which product solutions etc are delivered by the column.

8. Apparatus according to any of claims 1 to 7, including a device to eliminate gas bubbles from the feed stream to the column, comprising two level sensing devices mounted one above the other in a cylindrical chamber, said chamber being provided with ports for the entry and exit of the liquid flow at the base thereof and a further port at the top of the chamber above the level sensing devices and connected to a controllable valve for venting the chamber to the atmosphere.

9. A method of automatic chromatography characterisation comprising the steps of: automatically;

loading onto a column packed with adsorbent or attractant material a relatively small sample containing a substance to be eluted, the relatively small sample being sufficiently small so as to ensure that the adsorption capacity of the column is not exceeded;

seluting the substance from the column to obtain an elution peak;

integrating the elution peak with respect to the relatively small sample so as to derive the con-

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centration of the substance in the sample;

loading the column with a relatively large sample containing the substance, the relatively large sample being sufficiently large to ensure that the capacity of the column is exceeded;

calculating the maximum practical capacity of the column; and, optionally

providing a controlled change in time in a chemical parameter of the elutant supplied to the column to determine the optimum value at which the substance can be eluted.

10. Apparatus for controlling the efficiency of operation of a chromatography column, comprising: monitoring means which monitor the shape and magnitude of the elution peak of the substance on the column;

memory means for storing at least one reference and details of one or more corrective actions which may counteract deterioration of the said efficiency of operation;

comparator means which compare output from the monitoring means with the said reference;

processing means which apply output from the comparator means to select a stored corrective action; and

control means which automatically execute the selected corrective action.

11. A method of controlling the efficiency of operation of a chromatography column, comprising the steps of:

monitoring the continuing performance of the column by automatic elution peak analysis, said peak analysis including the comparison of peak shape and magnitude with at least one prestored reference, the analysis further including identifying from a plurality of prestored options the most probable corrective action to counteract deterioration of the said efficiency of operation; and

automatic execution of the identified corrective action.

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# EUROPEAN SEARCH REPORT

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	DOCUMENTS CONSI	DERED TO BE RELEV	ANT		
Category	Citation of document with i of relevant pa	ndication, where appropriate, ssages	Relevant to claim	CLASSIFICATION OF TH APPLICATION (Int. Cl.4)	
A	US-A-4 674 323 (RU * Column 11, line 1 50 *	LF) - column 18, line	1	G 01 N 30/88 B 01 D 15/08	
A	EP-A-0 103 082 (SC * Pages 20-28 *	HÖNESHOFER)	1		
A	US-A-4 681 870 (BA * Columns 10-12; cl	LINT) aims *	4,5		
				TECHNICAL FIELDS SEARCHED (Int. Cl.4)	
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CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		NTS T: theory or p E: earlier pate after the fi other D: document of	T: theory or principle underlying the invention         E: earlier patent document, but published on, or after the filing date         D: document cited in the application         L: document cited for other reasons         &: member of the same patent family, corresponding document		
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(54) Title: RADIOPHARMACEUTICAL GENERATION SYSTEM

(57) Abstract: The present invention relates to radiopharmaceutical generation system for use in generating a radiopharmaceutical, and is particularly concerned with improving the amount of information, relating to the process of generating the pharmaceutical, that is available. In a first aspect of the invention, the radiopharmaceutical generation system comprises a fluid processing system arranged to perform one or more processes in relation to a radiopharmaceutical, the fluid processing system having a plurality of system elements and being arranged to output signals indicative of a state of the fluid processing system, each of said system elements having an expected operative state; and at least one monitoring software component arranged to derive data from said output signals and to compare said derived data with one or more operating conditions in order to identify system elements not in the expected operative state. Since the expected operating state of the system elements is monitored during execution of the processes, real-time monitoring is possible. This means that fault finding and trouble shooting is easier than it is with current systems.

## RADIOPHARMACEUTICAL GENERATION SYSTEM

The present invention relates to a radiopharmaceutical generation system, and is particularly, but not exclusively, related to aspects of control thereof.

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## **Background**

Nuclear medicine involves the use of radioactive isotopes (radioisotopes) within a body. The radioisotopes are attracted to specific organs, bones or 10 tissues, and the emissions produced by the radioisotopes are used provide information about a particular type of disease. Examples of radioisotopes commonly used in nuclear medicine include carbon-11, oxygen-15, fluorine-18 and bromine-75.

Positron emission tomography (PET) is an example of a nuclear 15 medicine diagnostic technique whereby images of physiological function of organs are acquired by imaging the decay of radio-isotopes bound to molecules having known biological properties. Suitable radio-isotopes are synthesized into a carrier (also called a tracer) that enables the radio-isotope to be delivered to the organ being examined. Such a carrier is commonly referred to as a 20 radiopharmaceutical.

One commonly used radio-isotope is Oxygen-15 ( $^{15}$ O), which is produced by deuteron bombardment of natural nitrogen through the  $^{14}N(d,n)^{15}O$ nuclear reaction; this process is carried out by a device commonly referred to as a cyclotron. The present invention is not concerned with the way in which the radio-isotope is generated, and the cyclotron will not be described in further detail.

One radiopharmaceutical associated with the Oxygen-15 radio-isotope is <sup>15</sup>O-labelled water. Typically, <sup>15</sup>O-labelled water is produced on-line from Oxygen -15 in a radiopharmaceutical generator by mixing Oxygen-15 with 30 hydrogen, in a stochiometric proportion, and passing the mixture over a palladium catalyst in an oven at 150°C. The resulting radioactive water vapour

diffuses across a semi-permeable membrane (cellulose acetate) into a sterile saline solution (0.9% NaCl), which is pumped continuously through the system with a medical infusion pump to generate a solution containing <sup>15</sup>O-labelled water.

5 One radiopharmaceutical generator suitable for generating <sup>15</sup>O-labelled water is described in an article entitled "Technical performance and operating procedure of a bedside [<sup>15</sup>O] water infuser", authored by H Touchon-Danguy et al and published in the Journal of Label. Cmpds. Radiopharm., volume 37 pages 662 – 664, in 1995.

10 The radiopharmaceutical generator comprises several components, some of which are independent of, and others of which are interrelated with, other components. This means that, when there is a problem with the operation of the radiopharmaceutical generator, it is extremely difficult to work out where, in the radiopharmaceutical generator, the problem is. Typically, a technician has to 15 test each and every component, which can be extremely time consuming and have a significant impact on operation of the whole PET facility. Moreover, if there is any risk of radioactivity leaks, the entire PET facility is shut down; since downtime associated with trouble-shooting is costly and inconvenient, being able to identify whether the entire facility requires shutting down becomes increasingly important.

The act of noting the progress of the fluid generation process is known from US 4,625,118, in which a photoelectric barrier is used to detect output of fluid from a syringe. In the US 4,625,118 system, once the presence of fluid has been detected, the configuration of certain valves is modified so as to adjust the

25 fluid delivery path. Whilst providing a means of triggering a change to the flow delivery path (in this case enabling the fluid in the syringe to fill a flushing station), this does not provide any detailed information as to the operational status of the generator, and thus does not readily facilitate isolation of problems with devices therein.

It would thus be desirable to provide a means of improving identification of faults associated with the radiopharmaceutical generator.

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A second problem results from the fact that radioactivity is only measured within the radiopharmaceutical generator itself. The distance between the radiopharmaceutical generator and the subject being examined can be significant, due to logistical constraints (layout, shielding and safety requirements etc.). Thus by the time that the radiopharmaceutical is delivered to the subject, the actual level of radioactivity may be substantially different to the level measured in the radiopharmaceutical generator. For a radiopharmaceutical based on Oxygen-15, which has a half-life of 2 minutes, this distance, and thus decay in radioactivity, can be appreciable.

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It would thus be desirable to provide a means quantifying the level of radioactivity delivered to the subject.

## Summary of the Invention

According to a first aspect of the present invention there is provided a 15 radiopharmaceutical generation system comprising:

a fluid processing system arranged to perform one or more processes in relation to a radiopharmaceutical, the fluid processing system having a plurality of system elements and being arranged to output signals indicative of a state of the fluid processing system, each of said system elements having an expected

20 operative state; and

at least one monitoring software component arranged to derive data from said output signals and to compare said derived data with one or more operating conditions in order to identify system elements not in the expected operative state.

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The fluid processing system may be a radiopharmaceutical generator, which, in one embodiment is a water generator, and the one or more processes may constitute a radiopharmaceutical generation event.

Since the expected operating state of system elements is monitored during a radiopharmaceutical generation event, real-time monitoring of the 30 generator is possible. This means that fault finding and trouble shooting is easier than it is with current systems.

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Conveniently, the or each system element is arranged to receive one or more control signals that have been issued by a controller, and said one or more processes (i.e. radiopharmaceutical generation event) are performed in accordance with said control signals. Additionally the controller is arranged to output data to the monitoring software component as it issues control signals to at least one system element. In one arrangement the controller comprises at least one software component.

Preferably the controller is arranged to receive said output signals indicative of the state of the radiopharmaceutical generation system, and it tracks the state of the radiopharmaceutical generator on the basis thereof. In response to a change in the state of the radiopharmaceutical generator, the controller is arranged to output data to the monitoring software component.

Advantageously the monitoring software component includes an outputting software component in the form of, for example, a display means or 15 an alerting means. The outputting component provides a means of communicating said real-time state information to an operator of the radiopharmaceutical generation system.

In one arrangement, the radiopharmaceutical generation system elements include: a heating device; a radiopharmaceutical delivery system comprising an 20 output to a subject (e.g. patient); a plurality of valves arranged to control the path of the delivery system; a dialyser; a pump for pumping the radiopharmaceutical around the delivery system; and at least one radioactivity detector arranged in the path of the radiopharmaceutical delivery path. The system elements are respectively operable to output signals indicative of at least 25 some of: temperature of heating device; energised status of the valves; flow rate through, and pressure applied by, the pump; radioactivity measured by radioactivity detector; and time elapsed since the process started. In such an arrangement the monitoring software component is arranged to identify which of the processes is currently being performed on the basis of data identifying the energised status of the valves, and the display means is arranged to display a 30 natural language descriptor corresponding to said identified process.

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Alternatively, the monitoring software component is arranged to identify which of the processes is currently being performed on the basis of radioactivity data measured by the radioactivity detector, since the radioactivity detector is located in a specific part of the delivery path of the radiopharmaceutical.

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In the following description, a radiopharmaceutical generator is alternatively referred to as a radiochemistry module.

According to a further aspect of the present invention there is provided a radiopharmaceutical generation system comprising:

a fluid processing system arranged to perform one or more processes in 10 relation to a radiopharmaceutical, the fluid processing system having at least one actuator element capable of adopting a plurality of operating positions, the fluid processing system being arranged to determine a current operating position of the actuator element and to output a signal indicative of the determined operating position; and

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at least one monitoring software component arranged to process data derived from said output signal during execution of said one or more processes in order to identify a state of the fluid processing system.

In this aspect of the invention, the operating positions, or states, of actual devices are determined, which enables accurate pinpointing of faults, together 20 with a means of proactively planning for part replacement. Examples of actuator elements include flow valves, pumping mechanisms, and the like.

According to a yet further aspect of the present invention there is provided a radioactivity detection system for use in relation to a radiopharmaceutical, the detection system comprising:

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a fluid processing module arranged to receive a radioisotope and to generate a radiopharmaceutical therefrom;

a radiopharmaceutical delivery system arranged to deliver the generated radiopharmaceutical to a subject, the radiopharmaceutical delivery system comprising a delivery path operable between said fluid processing module and said subject; and

a radioactivity detector arranged to measure radioactivity in the delivery path and output a signal indicative thereof.

The fluid processing module may be a radiopharmaceutical generator, which, in one embodiment is a water generator, and is enclosed within a lead shielding. In a preferred arrangement, the radioactivity detector is located as close to subject as possible thereby quantifying initial radioactivity levels as accurately as possible. More specifically the radioactivity detector is conveniently located along the delivery path at a distance of between 5% and 50% of the delivery path length from the subject, more preferably at a distance 10 of between 7% and 12% of the delivery path length from the subject. In one

arrangement, the radioactivity detector is located between 100 and 150 mm from the subject, the delivery path having a total length of approximately 1.2 metres; in a second arrangement the radioactivity detector is located between 300 and 350 mm from the subject, the delivery path having a total length of

15 approximately 3.5 metres.

This measured data is then used in the post-processing of scanned images of the subject, thereby increasing the accuracy of quantitative measurements of biological activity of the subject.

## 20 Brief Description of Drawings

Figure 1 is a schematic diagram of the radiopharmaceutical generator with which embodiments of the invention inter-operate;

Figure 2a is a schematic diagram showing the valves of Figure 1 arranged in a first configuration;

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Figure 2b is a schematic diagram showing the valves of Figure 1 arranged in a second configuration;

Figure 2c is a schematic diagram showing the valves of Figure 1 arranged in a third configuration;

Figure 2d is a schematic diagram showing the valves of Figure 1 30 arranged in a fourth configuration;

Figure 3 is a schematic diagram showing a controller for the radiopharmaceutical generator of Figure 1 according to an embodiment of a first aspect of the invention;

Figure 4 is a flow diagram showing steps carried out by the controller of Figure 3 according to an embodiment of a first aspect of the invention;

Figure 5 is a schematic diagram of a GUI comprising part of the controller of Figure 3;

Figure 6 is a flow diagram showing further steps carried out by the controller of Figure 3;

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Figure 7 is a flow diagram showing yet further steps carried out by the controller of Figure 3;

Figure 8 is a schematic diagram of a GUI comprising part of the controller of Figure 3;

Figure 9 shows a schematic diagram of a nuclear medicine diagnosis 15 facility according to a second aspect of the invention;

Figure 10 is a schematic diagram illustrating a holding device for a second detector according to the second aspect of the invention;

Figure 11 is a flow diagram showing steps carried out by the controller of Figure 3, which has been modified to process data in accordance with the second aspect of the invention; and

Figure 12 is a perspective representation of the radiopharmaceutical generator according to the first aspect of the invention, comprising a radiochemistry module.

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## Overview of Operating Environment of Embodiments of the Invention

Figure 1 is a schematic diagram of a radiopharmaceutical generator, which in this embodiment is a conventional water generator 100, showing a catalyst furnace (oven) 101 for producing radioactive water vapour (H2<sup>15</sup>O); a saline source 103; a dialyser (semi-permeable membrane) 105 for binding radioactive water vapour with saline; a pump 107 for pumping the saline into the dialyser 105 and towards subject 115; and a Geiger Muller (GM) tube 109 for measuring radioactivity in the saline radiopharmaceutical. Referring also to Figure 12, the radiopharmaceutical generator 100 is enclosed in a lead shield 1201, and includes a waste decay coil 1203 (shown schematically as waste 111

on Figure 1) that allows the radioactive water to decay before it exits the lead shield.

The radiopharmaceutical generator 100 also includes two operating valves, V1 and V2, which control movement of fluid within the radiopharmaceutical generator 100. Referring to Figures 2a – 2d, the valves V1, V2 are configured such that the radiopharmaceutical generator 100 can operate in a plurality of modes (in the Figure, the pathway through the valve is indicated by an open quadrant). In a first mode, shown in Figure 2a (buildup: mode<sub>1</sub>), V1 is energised and V2 is de-energised. Thus in one direction D1, saline is held in region 113 of the dialyser 105, thereby creating the radiopharmaceutical, and in another direction D2 saline passes out to waste 111. In a second mode, shown in Figure 2b, (infusion: mode<sub>2</sub>), V1 is de-energised and V2 is energised, which means that the radiopharmaceutical present in region 113 is delivered to the subject 115 via delivery path 116, passing through the GM tube 109. In a third

25 mode, shown in Figure 2c, (flushing: mode<sub>3</sub>), both V1 and V2 are energised, and pure saline (from saline source 107) flushes out any radiopharmaceutical present in the radiopharmaceutical generator, the delivery tube 116 and in the subject 115 itself. In a fourth mode, shown in Figure 2d, (waste: mode<sub>4</sub>), both V1 and V2 are de-energised, so that any remaining material exits via waste output 111.

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Preferably the valves include sensors (not shown), which sense whether or not the valves are energised.

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### Overview of Embodiments of the Invention

In the prior art, control of the radiopharmaceutical generator 100 shown in Figure 1 is either manual – by manually opening and closing valves V1, V2 – or automatic – by means of bespoke control unit. An advantage of controlling the radiopharmaceutical generator automatically is that various combinations of the modes described above (with differing intervals between successive modes) can be pre-programmed, and the timings can be accurately controlled. As a result, the valves can be controlled remotely, which, when the fluid involves 10 radioactive material, is important from the point of view of health and safety.

However, in known automatic control arrangements, little or no information is fed back to the operator regarding the progress of generating and infusing the radiopharmaceutical into the subject. As a result, when there are problems with operation of the radiopharmaceutical generator 100, it can be extremely difficult to identify the source of the problem. Typically, a technician has to test each and every component, which can be extremely time consuming and have a significant impact on operation of the whole diagnostic facility. Moreover, if there is any risk to the patient, the entire diagnostic facility has to be shut down; since downtime associated with trouble-shooting is costly and inconvenient, being able to identify whether or not the problem will affect the patient, and thus whether the entire facility requires shutting down, becomes increasingly important.

Embodiments of the invention are thus concerned with improving speed and accuracy with which the source of the problem is identified. In one embodiment, the controller includes software components that send data to, and request data from, the various radiopharmaceutical generator components, and output, e.g. via a display, the data. Since the behaviour of these components can be well defined, the data received from the components can be compared with specified operating criteria, and/or simply displayed for inspection by an 30 experienced operator.

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Turning to Figure 3, an embodiment of the invention, for the case where the radiopharmaceutical generator is a water generator, will now be described in more detail. The controller of the radiopharmaceutical generator 100 comprises a programmable logic controller (PLC) 301 and a plurality of operational 5 software components 311, which run locally on the PLC 301 in response to signals received from a conventional PC computer 320. The signals received from the PC 320 are generated under control of configuration software components 321. The operational software components 311 include software for controlling and retrieving data in respect of the radiopharmaceutical 10 generator, and the configuration software 321 include software components arranged to receive operator-selected and/or operator-specified data, to send such data to the operational software 311, and to process data retrieved by the operational software 311. In one arrangement, the configuration software 321 is written using the Labview® programming language, but the skilled person will

- 15 realize that any suitable computer programming language, or combinations of computer programming languages, could be used. The operational software 311 is written using the proprietary programming language associated with the PLC 301.
- As shown in Figure 3, the computer 320 comprises processing unit (CPU) 323, memory 324, hard disc drive 325 and I/O device 326, which facilitates interconnection of the computer 320 with the PLC 301. Operating system programs 327 are stored on the hard disc drive 325, and control, in a known manner, low level operation of the computer 320. The computer 320 also includes a display and keyboard (not shown), which receive input from an operator and pass, via I/O device 326, the input to the O/S programs 327 in accordance with known techniques.

As also shown in Figure 3, the PLC 301 comprises: a bus 314, into which various operating modules can be plugged; a bus controller 315, which co-ordinates processing of data associated with the operating modules; and an I/O device 313, which is arranged both to receive input from external devices such as computer 320 and to output signals in accordance with operation of the

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operating modules. In one arrangement, the input part of I/O device 313 comprises an RS232 interface which is arranged to receive input signals from the computer 320 (via serial link L1), and the output part of I/O device 313 has a plurality of output terminals, each being associated with a different one of the

- 5 modules plugged into the bus 314 and connected to whichever component of the radiopharmaceutical generator 100 corresponds to that module. The bus controller 315 is arranged to receive data from the RS232 interface, process the received data in accordance with the operational software 311, and distribute data to an appropriate module on the bus 314. An example of a suitable PLC
- 10 301 is the Beckhoff<sup>TM</sup> PLC (model BC8100); the skilled person would realize that other types (notably those manufactured by Siemens<sup>TM</sup> or a bespoke PLC) could be used. The operating modules that plug into the bus 314 are not shown in Figure 3, but include: a module for controlling the temperature of the catalyst furnace 101; a module for controlling valves V1, V2; a module for controlling
- 15 and monitoring pump 107 and receiving data therefrom; and a module for receiving data from the GM tube 109. When the valves V1, V2 include sensors indicating their energised state, the bus 314 also includes a module for receiving status data therefrom.
- The functionality associated with energising and de-energising valves 20 V1 and V2 is conventional. However, other aspects of the operational software 311 are new, as are aspects of the configuration software 321 for processing operator-selected and/or operator -specified data. In particular, the configuration software 321 includes a graphical user interface (GUI), which can be used to specify operating parameters such as time intervals between mode 25 changes (i.e. value energizing and de-energising, Figures 2a - 2d); details of a radiopharmaceutical generator event; details of data to be retrieved from the radiopharmaceutical generator 100; and authentication criteria. These data, once entered via the GUI, are sent to the operational software 311 (as will be described in more detail below), which is arranged to transmit corresponding 30 control signals to the radiopharmaceutical generator 100 in order to execute a

radiopharmaceutical event.

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The operational software 311 is also arranged to inform the configuration software 321 of the transmission of such control signals via confirmation signals and/or to initiate requests for data from components of the radiopharmaceutical generator during execution of the radiopharmaceutical event. When the operational software 311 requests data from components of the radiopharmaceutical generator, any data received in response thereto are forwarded to the configuration software 311 for post processing thereof, alongside, or in addition to, the confirmation signals received from the operational software 321. Such post-processing facilitates monitoring of real-time performance of the radiopharmaceutical generator and validation of radiopharmaceutical generator events, as is described in more detail below.

Firstly, however, steps involved in configuring a radiopharmaceutical generator event according to an embodiment of the invention will be described, with reference to Figures 4 and 5. Figure 4 is a flow diagram illustrating these

- 15 steps and Figure 5 is a schematic diagram showing an example of a graphical user interface forming part of the configuration software components 321. Turning to Figure 4, at step 401, an operator enters data relating both to the subject 115 and to the radiopharmaceutical generator event. This information includes the names of the subject 115 and of the clinician responsible for the
- 20 radiopharmaceutical generator event, and authentication data. Preferably this information is entered via text entry boxes 501, 502, 503, 504, 505 of the GUI, shown in Figure 5. In addition, the operator reviews calibration values relating to components of the radiopharmaceutical generator on a separate screen, accessible via tab 511. These calibration values include background 25 radioactivity levels and are typically read from the database DB, to which only authorized users have access. In the event that the calibration values do not represent current conditions, the operator can request such an authorized user to change the values in the database.

The operator then selects 403 one of a plurality of operating protocols, 30 e.g. from a drop-down menu that is displayed when the cursor hovers over a particular region 506 of the GUI. Each protocol typically represents a

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configuration of the afore-mentioned valve modes (mode<sub>1</sub> – mode<sub>4</sub>), together with temporal data relating thereto, and corresponds to a type of radiopharmaceutical generator event. The GUI includes an "operate" button 507, which the operator presses to request the start of a radiopharmaceutical generator event. The configuration software 321 includes a verification software component (not shown), which is arranged to verify that data have been entered into particular boxes of the GUI; the start button 507 is disabled by the verification software component until certain conditions have been satisfied

10 In response to activation of the "operate" button 507, the configuration software 321 validates 405 the entered authentication data, e.g. by comparing the entered authentication data with an expected entry, which may be stored in validation database DB. Assuming the authentication data to be successfully validated, the configuration software 321 stores 407, locally, the data entered at

(e.g. data entered into certain boxes 501 ... 505 etc.).

- 15 step 401, and then formulates 409 a control string S1 for input to the PLC 301. Since in this embodiment data are transmitted via the serial port of the computer 320, the control string S1 takes the form of a comma delimited hexadecimal string. The control string S1 includes data identifying the protocol selected at step 403, together with a request for data relating to at least one of: time since
- 20 commencement of the radiopharmaceutical generator event; valve status set by the operational software 311; actual status of one or both valves V1, V2 (detectable via the sensors associated with the valves); protocol applied by the operational software 311; furnace 101 temperature; and radioactivity measured by GM tube 109. The request data can be specified either via the GUI, or via data stored in the database DB, or hard-coded into the configuration software 321. At step 411, the configuration software 321 outputs the control string S1, asynchronously, via the I/O device 326. Configuration software 321 is then effectively "paused" until such time as data are received from the PLC 301.

Turning now to Figure 6, which is a flow diagram showing steps 30 involved in processing a radiopharmaceutical generator event, aspects of the operational software 311 will now be described. The control string S1 sent at

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step 411 is received by the operational software 311 and processed (step 601) to extract information therein. The extracting step 601 involves decomposing control string S1 into its constituent parts and converting to Boolean or numerical data as required. For illustrative purposes it is assumed that the

- 5 protocol selected at step 403 corresponds to the following sequence: 120 seconds buildup (mode<sub>1</sub>); 20 seconds infusion (mode<sub>2</sub>); 120 seconds flush (mode<sub>3</sub>), and that the request part includes requests for all data that can be monitored during the sequence. Accordingly, having decomposed control string S1, the operational software 311 firstly runs 603 an initialization process, which
- 10 involves checking the temperature of the furnace 101 (since if the furnace temperature is not sufficiently hot, the radiopharmaceutical generator event cannot be processed), de-energising the valves V1, V2, and requesting the operating module corresponding to the valve sensors to record, via its own internal clock, the periods in which each valve is energised and de-energised.

Assuming the temperature of the furnace to be within certain specified

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operating limits, at step 605 the operational software 311 initiates the processes associated with mode<sub>1</sub>, which involves setting a timer t1, sending a signal to the operating module associated with the valves V1, V2, causing V1 to be energised, and noting the time at which the valve is so activated. After 120 20 seconds have elapsed, the operational software 311 changes mode (steps 609, 610, 611, 605), sending a signal to the same operating module, which causes V1 to be de-energised and V2 to be energised (setting  $mode_2$ ). After 20 seconds have elapsed, the operational software 311 again changes mode (steps 609, 610, 611, 605), sending a signal to the same operating module, which causes V1 to be 25 energised (setting mode<sub>3</sub>); and after a further 120 seconds have elapsed, the operational software 311 yet again changes mode (steps 609, 610, 611, 613 (since the condition at step 611 is, on this cycle, satisfied)), sending a signal to the same operating module, this time causing both valves to be de-energised (setting mode<sub>4</sub>).

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During these time intervals, the operational software 311 sends signals to various operating modules (plugged into the bus 314 of the PLC 301) requesting

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items of data relating to their operation. Each data item corresponds to a part extracted from the control string S1 at step 601, namely value of timer t1 (time elapsed since start of radiopharmaceutical generator event); actual status of the valves retrieved from the valve sensors (energised or de-energised); temperature

- 5 of the furnace 101; and number of counts recorded by the GM tube 109. Data items received in response to these signals are, under certain conditions, sent to the configuration software 321. In one arrangement, the operational software 321 monitors (step 607) the values of the requested data items, and, each time a value of a requested status data item changes, it creates a comma delimited
- 10 hexadecimal return string S2 comprising the or each data item into and sends the return string S2 to the RS232 I/O port 313 for receipt by the configuration software 321 (step 608). In addition, each time the operational software 311 transmits a control signal to a component of the radiopharmaceutical generator e.g. one of the valves it generates a return string S2, so that, at the very least, a
- 15 return string S2 is sent each time the operational software 311 attempts to instigate a mode change.

If the value of one of the data items has not changed since the last time data was sent from the PLC 301, the portion of return string S2 corresponding to that data item assumes its previous value. Thus, during the first mode (Figure 20 2a), when the saline passing through the GM tube 109 is not radioactive, the GM tube 109 is unlikely to register a change in level of radioactivity. Thus if one or more return strings S2 are sent to the configuration software 321 during this period (due to, e.g. a change in temperature of the furnace 101, or in the event that the actual status of the valve changes) the values relating to the GM 25 tube 109 of successively transmitted return strings S2 are likely to be identical, or at least very similar, to one another. However, during the second mode (Figure 2b), when the radiopharmaceutical in region 113 is transported to the subject, the level of measured radioactivity (thus part of return strings S2 corresponding thereto) can be expected to vary. Since return string S2 is 30 transmitted during a radiopharmaceutical generator event, the present

embodiment makes real-time monitoring of the radiopharmaceutical generator possible.

The configuration software 321 can alternatively or additionally send explicit data requests (via request string S3 shown in Figure 3) to the operational software 311, which causes the operational software 311 either to create return string S2 using the data items currently available, or to send requests for the data items to the various operating modules and create a return string S2 using the data sent in response thereto.

As a further alternative, the operational software 311 can create and send 10 a return string S2 to the configuration software 321 periodically. In this arrangement the configuration software 321 is configured to poll for such a return string S2 with corresponding periodicity. In the event that the configuration software 321 cannot identify a return string S2 at an expected time, the configuration software 321 is arranged to generate an alert, which may

15 be output, e.g. via the GUI.

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Turning now to Figures 7 and 8, which are respectively a flow diagram showing steps involved in post-processing return string S2 and a schematic diagram showing output displayed by the configuration software 321, postprocessing of the return string S2 will be described. When a return string S2 is received at I/O port 326 of the computer 320, the configuration software 321

- firstly performs 701 an error check, and, if the error check is successful, breaks 703 the return string S2 down into constituent parts and converts 705 the constituent parts to boolean or integer/non-integer values in accordance with a specified set of conversion rules. The error check involves, for example,
- 25 checking the length of return string S2 against a specified length. As described above, each of the constituent parts corresponds to a data item status of the valves V1, V2, temperature of the furnace 101 and/or radioactivity measured at the GM tube 109 etc. which is subsequently processed by the configuration software 321 in order to assess the operating status of the various components of
- 30 the radiopharmaceutical generator. In one arrangement, the set of rules includes one or more rules corresponding to each of the data items in the return string S2,

namely valves (actual status and protocol applied), GM tube measurements, oven temperature, etc.; for clarity, the following passages will describe post-processing of individual data items in turn.

- Considering firstly post-processing of data relating to valves V1, V2, 5 step 706 includes identifying whether the return string S2 comprises one or both of actual valve data sensed by the valve sensors or/and confirmation of control signals sent by the operational software 311. In the event that both actual and confirmation data are available, the mode of operation corresponding to each type of data, together with a "natural language" description thereof, are 10 identified (step 707) from a stored mapping (not shown) between mode and
- description (buildup: mode<sub>1</sub>; infusion: mode<sub>2</sub>, flush: mode<sub>3</sub>, waste: mode<sub>4</sub>). In the event that there is correspondence between the mode identified from the valve sensor data and the mode identified from the confirmation of control signal data, the natural language description identified at step 707 can be
  displayed 709 on the GUI of the configuration software 311 (box 531), for inspection by an operator. Monitoring actual, or real-time, status of the valves V1, V2 thus enables the operator to track the progress of a radiopharmaceutical

generator event. In the event that the modes do not agree, an alert is generated.

- If available, the actual times at which the valve sensors ascertained changes in valve state can also be displayed (not shown), and/or used to quantify any latency in the PLC 301 and/or operation of the valves (since the operator can compare an expected duration, specified in the protocol selected at step 403, with the actual duration (steps 611, 613), and, in the event that the actual duration fails to meet certain conditions (indicating, for example, a failed valve), generate an alert). In the event that the return string S2 only comprises confirmation of control signals sent by the operational software 311, there is no post-processing of temporal data, and the natural language description of operational mode corresponds to that identified from the confirmation data alone.
- 30 Referring also to Figure 7, and considering next the post-processing of data relating to the catalyst furnace 101, having extracted temperature data from

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the return string S2, the configuration software 321 applies 721 rules to identify whether the temperature is within a specified operating range and displays 723 the temperature (box 801) on the GUI; in the event that the temperature falls outside of the specified operating range, the configuration software 321 constructs and displays an alert (steps 725, 727). Step 723 can also include storing the value of the temperature data item, which provides a means of tracking historical temperature values.

Considering next the post-processing of data from the GM tube 109, step 731 includes applying a correction to the measured radioactivity data item 10 values in order to compensate for measurement errors and levels of background radioactivity. This step therefore involves retrieving the calibration and background values selected at step 401 and applying them to the radioactivity values extracted at step 705 in order to identify a corrected level of radioactivity. This corrected level is then displayed 733 in real-time on the graph area 521

15 shown in Figure 5 as a function of elapsed time t1 (itself displayed in box 533, shown in Figure 5); successively received return strings S2 thus provide a means of tracking the change in radioactivity associated with the radiopharmaceutical generator in real-time (since these values are plotted on graph area 521), as well as monitoring the magnitude of radioactivity infused into the subject 115.

20 Thus the above described embodiment enables the operator to monitor both the operation of components of the radiopharmaceutical generator 100 and the progress of a radiopharmaceutical generator event. This enables prompt identification of problems, which in turn facilitates evaluation of the affect of the problem on the subject and isolation of the component(s), and only 25 that/those component(s), responsible for the problem. This reduces the possibility of a potentially dangerous event becoming an actual danger, reduces time spent investigating the source of the problem, and thus reduces the downtime of a PET facility.

As stated in the introductory section, a further problem with current 30 radiopharmaceutical generators is that the level of radioactivity is only measured at the radiopharmaceutical generator itself. As is well known, the decay of a

radio isotope is determined by its half-life, and decays exponentially. The decay of the radio-isotope within a subject (measured by the PET facility, and which is not a concern of this invention) includes a contribution from its half-life and a contribution from any biological effect resulting from the radio-isotopes binding

5 with molecules of the subject. In order to ascertain the biological effect, the decay resulting from the half-life of the radio-isotope has to be removed from measurements, and thus firstly requires quantifying. Referring to Figure 9, the radiopharmaceutical generator 100 and the subject 115 can be separated by a reasonable distance, so that it takes a certain amount of time for the radiopharmaceutical to travel from the radiopharmaceutical generator 100 to the subject 115. As a result, the level of radioactivity measured by the detector 109 within the radiopharmaceutical generator 100 is unlikely to be indicative of that

infused into the subject.

- If the level of radioactivity measured within the radiopharmaceutical generator is then used to represent the half-life, the apparent magnitude of the biological contribution is likely to be lower than its actual value, since the level of radioactivity in the radiopharmaceutical generator can be expected to be higher than it is at the subject. For a radio-isotope such as Oxygen-15 this can be significant, since the half-life of Oxygen-15 is only 2 minutes.
- 20 Referring again to Figure 9, in a second aspect of the invention, the radiopharmaceutical generator 100 includes a second radioactivity detector 901, which may be another GM tube or other suitable device, positioned in the vicinity of the scanner 903 of the PET facility. This facilitates measurement of radioactivity as close to the subject 115 as possible, thereby improving quantitative measurements of a subject's biological properties. In one arrangement, for a delivery tube 116 of length 1200 mm, the second radioactivity detector 901 could be positioned between 100 and 150 mm from the point of entry into the subject.

If the radiopharmaceutical were infused into an arm, the detector 901 30 could be located proximate to the delivery tube 116 at the patient's arm. A suitable holding device 1001, such as that shown in Figure 10, could be used to

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secure the detector 901 in place; as can be seen, the delivery tube 116 passes through opening 1000 of the holding device 1001 and is thus exposed to the detector 901. The holding device 1001 includes a plate 1003 for securing the device 1001 to the scanner 903, via holes 1005.

- 5 A data output of the second detector 901 can be coupled to the PLC 301 via a data link and further operating module (not shown), which is configured to receive and request data from the detector 901. Alternatively, the operating module corresponding to GM tube 109 can be arranged to communicate with both the GM tube 109 and the detector 901. Either way, the return string S2 10 generated by the operational software 311 will be created as described above, and in this second aspect of the invention will include data from both the GM tube 109 and the detector 901. This means that the configuration software 321 has to carry out some processing steps not described in Figure 7. These steps
- 15 are referred to using the reference numerals introduced in Figure 7. Initially, therefore, as for the first aspect of the invention, at step 701 the configuration software 321 performs an error check, and, if the error check is successful, breaks 703 the return string S2 down into constituent parts, converting 705 the constituent parts to boolean or integer/non-integer values in accordance with a

are shown in Figure 11; those that are common to both aspects of the invention

- 20 specified set of conversion rules. At steps 1101a, 1101b the radioactivity data items corresponding to the detectors 109, 901 are corrected to account for background and calibration adjustments (note that if the detectors are of different types, the background and calibration values specified at step 401 are likely to differ). Since the detector 901 is measuring the radioactivity values 25 close to the subject 115, these corrected values are displayed (step 1102) in the
- graph area 521 and are output for further processing to a processing part of the PET facility (not shown).

In addition to facilitating an improved quantification of the biological contribution to any measured decay (measured by the PET facility), these 30 additional data can be used to monitor the behaviour of the radio-isotope and verify operation of the detectors 109, 901. Accordingly, in one arrangement, the

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radioactivity values measured by the GM tube 109 are adjusted to take account of the distance between the two detectors, in order to estimate an expected level of radioactivity at the second detector 901 (step 1103). This expected value is compared (step 1105) with the actual value measured by the second detector

901, and, if the difference between the expected value and the actual value 5 measured by second detector 901 exceeds a specified threshold, an alert is generated (step 1109). Since, at this stage, it is unclear which of the detectors 109, 901 is incorrect, the alert is passed to the processing part of the PET facility as well as to the operator of the radiopharmaceutical generator 100 (e.g. via the 10 GUI).

Whilst in the first aspect of the invention a specific protocol (radiopharmaceutical generator event) involving 120 seconds build-up/ 20 seconds infusion/ 120 seconds flush is described, other protocols are possible. These are selectable from the pop-up menu 507 and include (non-exhaustive list):

1. 120 second build-up / 20 second infusion / 120 second flush;

2. 120 second build-up / 20 second infusion / 50 second flush;

3. 120 second build-up / 20 second infusion / 20 second flush;

4. Activity test: As 1 but V2 permanently to waste to check 20 radioactivity level;

5. Sterilise mode: step through all 4 combinations of V1 and V2 allowing all paths to be cleaned;

6. Long flush: permanently energise V2 while stepping V1 between its 2 states;

25 7. Take samples: energise V2 for 30 seconds, de-energise for 1 minute, energise both V1 and V2 for 30 seconds;

8. Energise V1 and V2 for 50 seconds to fill the line to subject with fresh saline;

9. Turn oven heater ON or OFF as required during maintenance;

30 10. Turn valves V1 and V2 ON or OFF as required during maintenance; and

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11. Terminate mode: valves V1, V2 OFF.

Whilst in the above embodiments the connection between the PLC 301 and the PC is described as being a serial link, the connection could alternatively be wireless, e.g. Bluetooth or WLAN.

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Whilst step 401 involves manually entering data into the GUI, identification data could instead be stored in a log file, or similar, and read therefrom when the GUI is invoked.

Whilst in the embodiment relating to the first aspect of the invention described above, the operating software 311 requests data from the valves V1, V2, GM tube 109 and the furnace 101, the operating software 311 may also

request data items in respect of the pump 107. Specifically, in the event that the pump 107 is equipped with means for measuring pressure and mass flow rate of the saline pumped therethrough, the operating software 311 may receive the flow rate and pump pressure data (e.g. via another module on bus 314) and

15 include these data in the return string S2. Processing of a return string S2 comprising the pump data would then involve monitoring a change in flow rate over time, and, if the change satisfied certain conditions, the configuration software 321 would create an alert message that includes the option of generating a "stop" radiopharmaceutical generator event. In addition, the pump

20 pressure would be monitored over time, and, if a specified rise in pump pressure were accompanied by a specified drop in flow rate, the operator would similarly be sent an alert message (and possibility of halting the radiopharmaceutical generator). This is particularly useful since a drop in mass flow rate accompanied by a rise in pump pressure may signify either a blocked pipe

25 (harmless) or some reaction by the subject 115 as saline is infused therein (potentially harmful). This feature of the invention thus enables the operator a) to know that a problem exists that may affect the subject 115; b) to shut down the radiopharmaceutical generator 100; c) pinpoint the source of the problem accurately and d) investigate the source of the problem far earlier than is

30 currently possible.
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It will be understood that the present disclosure is for the purpose of illustration only and the invention extends to modifications, variations and improvements thereto.

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#### **CLAIMS**

1. A radiopharmaceutical generation system comprising:

- a fluid processing system arranged to perform one or more processes in relation to a radiopharmaceutical, the fluid processing system having a plurality of system elements and being arranged to output signals indicative of a state of the fluid processing system, each of said system elements having an expected operative state; and
- at least one monitoring software component arranged to derive data from said output signals and to compare said derived data with one or more operating conditions in order to identify system elements not in the expected operative state.

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- A system according to claim 1, wherein the or each system
   element is arranged to receive one or more control signals, the system including a controller arranged to generate said control signals, wherein said one or more processes are performed in accordance with said control signals.
- A system according to claim 2, wherein the controller is arranged
   to receive said output signals indicative of state of the radiopharmaceutical generation system.
- A system according to claim 3, wherein the controller is arranged to track the state of the radiopharmaceutical generation system on the basis of said received output signals, and transmit data indicative of a state of the fluid processing system to the monitoring software component in response to a change in the state of the radiopharmaceutical generation system.
- A system according to claim any one of claim 2 to claim 4,
   wherein the signals indicative of a state of the fluid processing system correspond to transmission of control signals to at least one system element.

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6. A system according to any one of the preceding claims, wherein the monitoring software component is arranged to trigger a termination control signal in response to the derived data satisfying a specified condition, the termination control signal, when received by a system element, causing a currently executing process to terminate.

7. A system according to any one of the preceding claims, wherein the monitoring software component is arranged to trigger a termination control signal to each of said system elements identified as not being in the expected operative state, the termination control signal, when received by said system element, causing a currently executing process to terminate.

- A system according to any one of the preceding claims, including
   alerting means arranged to generate an alert in response to the monitoring software component identifying that one of said system elements is not in the expected operative state.
- A system according to any one of the preceding claims, wherein
   the monitoring software component is arranged to process said data derived from said output signals in order to identify a state of the fluid processing system.

10. A system according to claim 9, including an outputting softwarecomponent arranged to output data indicative of the identified state.

11. A system according to claim 10, wherein the outputting software component includes display means arranged to display the data indicative of the identified state.

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12. A system according to claim 11, wherein the display means is arranged to display a natural language descriptor corresponding to said identified state.

5 13. A system according to any one of the preceding claims, wherein the fluid processing system includes: a heating device; a radiopharmaceutical delivery system comprising an output to a subject; a plurality of valves arranged to control the path of the delivery system; a dialyser; a pump for pumping the radiopharmaceutical around the delivery system; and at least one radioactivity 10 detector arranged in the path of the radiopharmaceutical delivery path.

14. A system according to claim 13, wherein the fluid processing system is operable to output signals indicative of at least some of: temperature of heating device; energised status of the valves; flow rate through, and pressure
applied by, the pump; radioactivity measured by radioactivity detector; and time elapsed since the process started.

15. A system according to claim 14, wherein the monitoring software component is arranged to identify which of the processes is currently being
20 performed on the basis of data identifying the energised status of the valves.

16. A system according to any one of claim 13 to claim 15, wherein the monitoring software is arranged to compare data derived in respect of the pump pressure and data derived in respect of the flow rate with specified
operating limits, and, in the event that one or both of the pressure and/or flow rate fall outside of the operating limits, to generate an alert.

17. A system according to any one of the preceding claims, wherein the radiopharmaceutical comprises <sup>15</sup>O-labelled water.

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18. A computer program product arranged to perform at least some of the steps of:

generating a plurality of control signals for use in control of a fluid processing system;

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transmitting said generated control signals to a plurality of system elements in the fluid processing system;

communicating data indicative of at least some of said generated control signals to a monitoring system;

processing the communicated data so as to identify expected operating 10 states of said system elements;

receiving data indicative of operating states of said system elements;

comparing the received data against one or more predetermined conditions based on the expected operating states, and, in response to the received data indicating that one or more of the system elements is not in the

15 expected state, transmitting data indicative thereof to the monitoring system.

19. A computer program product arranged to perform at least some of the steps of:

transmitting specified operating conditions to a controller for use in formulating control signals for controlling a fluid processing system;

receiving data indicative of a state of the fluid processing system;

evaluating said received data in accordance with specified conditions;

and

generating user-perceptible output indicative of the evaluated data.

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20. A radiopharmaceutical generation system comprising:

a fluid processing system arranged to perform one or more processes in relation to a radiopharmaceutical, the fluid processing system having at least one actuator element capable of adopting a plurality of operating positions, the fluid processing system being arranged to determine a current operating position of 10

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the actuator element and to output a signal indicative of the determined operating position; and

at least one monitoring software component arranged to process data derived from said output signal during execution of said one or more processes 5 in order to identify a state of the fluid processing system.

21. A system according to claim 20, wherein said actuator elements include valve elements.

22. A radiopharmaceutical generation system comprising:

a fluid processing system arranged to perform one or more processes in relation to a radiopharmaceutical in accordance with a plurality of control signals, the fluid processing system having a plurality of system elements and being arranged to output signals indicative of a state of the fluid processing system, each of said system elements having an expected operative state;

a controller arranged to control the fluid processing system, the controller having at least one control software component arranged to generate at least some of said control signals;

a monitoring software component arranged to receive data in relation to 20 the state of the radiopharmaceutical generation system,

wherein the controller is arranged to track the state of the radiopharmaceutical generation system during execution of said one or more processes and output data indicative of the same to the monitoring software component, the monitoring software component being arranged to compare said output data with one or more operating conditions in order to identify system elements not in the expected operative state.

23. A radioactivity detection system for use in relation to a radiopharmaceutical, the detection system comprising:

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a fluid processing module arranged to receive a radioisotope and to generate a radiopharmaceutical therefrom;

- 28 -

PCT/GB2004/001033

a radiopharmaceutical delivery system arranged to deliver the generated radiopharmaceutical to a subject, the radiopharmaceutical delivery system comprising a delivery path operable between said fluid processing module and said subject; and

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a radioactivity detector arranged to measure radioactivity in the delivery path and output a signal indicative thereof.

24. A radioactivity detection system according to claim 23, wherein the radioactivity detector is located along the delivery path at a distance of
between 5% and 50% of the delivery path length from the subject, more preferably at a distance of between 7% and 12% of the delivery path length from the subject.

25. A radioactivity detection system according to claim 23 or claim
15 24, wherein the radioactivity detection system includes a processing system arranged to process said output signal.

26. A radioactivity detection system according to any one of claims 23 to 25, wherein the fluid processing module includes a module radioactivity 20 detector therein, said module radioactivity detector being arranged to measure radioactivity in the fluid processing module and output a signal indicative thereof, wherein the processing system is arranged to process said output signal.

A radioactivity detection system according to claim 26, wherein
 the processing system is arranged to compare signals received from the radioactivity detector and said module radioactivity detector.

28. A radioactivity detection system according to claim 27, wherein an output signal comprises a plurality of signal components, and, for at least one
30 such signal component received from the module radioactivity detector, the

processing system is arranged to identify a corresponding component in the signal received from the radioactivity detector.

- 29. A radioactivity detection system according to claim 27 or claim
  5 28, wherein, for at least one such signal component received from the module radioactivity detector, the processing system is arranged to identify the temporal delay between detection thereof and detection of a corresponding component in the signal received from the radioactivity detector.
- 10 30. A radioactivity detection system according to any one of claim 26 to claim 29, wherein the processing system is arranged to receive data indicative of distance between the radioactivity detector and said module radioactivity detector.
- 15 31. A radioactivity detection system according to claim 30 when dependent on any one of claim 27 to claim 29, wherein, for any signal component of the signal received from the radioactivity detector, the processing system is arranged to estimate an expected delay in occurrence thereof in relation to a corresponding component of the signal received from the module 20 radioactivity detector, based on the distance data, and to compare the identified temporal delay corresponding to the signal component with the estimated delay.

32. A radioactivity detection system according to claim 30 or claim 31 when claim 30 is dependent on any one of claim 27 to claim 29, wherein, for any signal component of the signal received from the module radioactivity detector, the processing system is arranged to estimate an expected radioactivity value, based on the half-life of the radioisotope and the distance data, and to compare the signal component with the estimated radioactivity value.

30 33. A radioactivity detection system according to any one of claim 23 to claim 32, wherein the fluid processing module includes: a heating device;

- 30 -

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a plurality of valves arranged to control the path of the delivery system; a dialyser; and a pump for pumping the radiopharmaceutical around the delivery system.

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<u>2/13</u>



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Figure 3

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Figure 4



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Figure 7



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<u>10/13</u>





<u>11/13</u>



<u>12/13</u>



<u>13/13</u>



Electronic Patent Application Fee Transmittal						
Application Number:	128	308467				
Filing Date:	16-	Jun-2010				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				D MAINTENANCE	
First Named Inventor/Applicant Name:	Stephen E. Hidem					
Filer:	Paul J. LaVanway Jr.					
Attorney Docket Number:	56782.1.7.2					
Filed as Large Entity						
Filing Fees for U.S. National Stage under 35 USC 371						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Petition fee- 37 CFR 1.17(h) (Group III)		1464	1	140	140	
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
RCE- 2nd and Subsequent Request	1820	1	1700	1700
	Tot	al in USD	)(\$)	1840

Electronic Acknowledgement Receipt				
EFS ID:	26522160			
Application Number:	12808467			
International Application Number:				
Confirmation Number:	3733			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE			
First Named Inventor/Applicant Name:	Stephen E. Hidem			
Customer Number:	22859			
Filer:	Paul J. LaVanway Jr./Sarah Munson			
Filer Authorized By:	Paul J. LaVanway Jr.			
Attorney Docket Number:	56782.1.7.2			
Receipt Date:	02-AUG-2016			
Filing Date:	16-JUN-2010			
Time Stamp:	16:12:24			
Application Type:	U.S. National Stage under 35 USC 371			

# Payment information:

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Payment was successfully received in RAM	\$1840
RAM confirmation Number	080316INTEFSW16124700
Deposit Account	9797
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Warnings:	I		I		
Information:					
			1007237		
2	Information Disclosure Statement (IDS) Form (SB08)	56782_1_7_2_SIDS.pdf	e482ccabe10b613625cc2b775167f680579 e2b2c	no	4
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5	Foreign Reference	FR_WO2004080523A2.PDF	7fe344a0144daf92b13f8ecdf4cefdcf0a038 bef	no	45
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## National Stage of an International Application under 35 U.S.C. 371

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## New International Application Filed with the USPTO as a Receiving Office

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		12808467
Filing Date		2010-06-16
First Named Inventor	Steph	en E. Hidem
Art Unit		3735
Examiner Name	Carrie R Dorna	
Attorney Docket Numb	er	56782.1.7.2

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	Name of Patentee or Applicant of cited Document Figures			es,Columns,Lines where vant Passages or Relevant res Appear	
	1	3576998	A	1971-05	5-04	Deutsch et al.				
	2	5166526	A	1992-11	-24	Dietzel				
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	1	20060164093	A1	2006-07	7-27	Ooe et al.				
	2	20070226175	A1	2007-09	)-27	Resnic et al.				
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	1	317114	EP		A1	1989-05-24	OROS SYSTEMS L	_TD		

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT H)

( Not for	submission	under 37	CFR	1.99
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Application Number		12808467			
Filing Date		2010-06-16			
First Named Inventor	Steph	en E. Hidem			
Art Unit		3735			
Examiner Name	Carrie	R Dorna			
Attorney Docket Number		56782.1.7.2			

	2	2004080523	WO	A2	2004-09-23	HAMMERSMITH IMANET LTD				
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EFS Web 2.1.17

	Application Number		12808467	
	Filing Date		2010-06-16	
INFORMATION DISCLOSURE	First Named Inventor	Steph	ien E. Hidem	
(Not for submission under 37 CFR 1.99)	Art Unit		3735	
	Examiner Name Carrie		rie R Dorna	
	Attorney Docket Numb	er	56782.1.7.2	

## **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

## OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

 $\times$  A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2016-08-02
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		12808467	12808467 - GAU: 4157
Filing Date		2010-06-16	
First Named Inventor	STEP	HEN E. HIDEM	
Art Unit		3768	
Examiner Name	CATT	UNGAL, SANJAY	
Attorney Docket Number		56782.1.7.2	

		4		20090312630		2009-12	-17	Hidem				
		5		20090318745		2009-12	-24	Quirico				
		6		20100125243		2010-05	-20	Balestracci				
		7		20100270226		2010-10	-28	Balestracci				
		8		20100312039		2010-12	-09	Quirico				
		9		20110071392		2011-03	-24	Quirico				
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		1	091	19249	EP			1999-06-02	NISSHO KK			

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	1	0919249	EP		1999-06-02	NISSHO KK		
	2	1421960	EP		2004-05-26	GVS S P A		

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733

TITLE OF INVENTION: INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	07/20/2016
EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
DORNA, C	CARRIE R	3735	600-004000			
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**10506 2987** (10-13) Approved for use through 10/31/2013.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal					
Application Number:	128	308467			
Filing Date:	16-	Jun-2010			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Filer:	Paul J. LaVanway Jr.				
Attorney Docket Number:	56782.1.7.2				
Filed as Large Entity					
Filing Fees for U.S. National Stage under 35 USC 371					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
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Utility Appl Issue Fee		1501	1	960	960

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
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Miscellaneous:				
	Tot	al in USD	(\$)	960

Electronic Acknowledgement Receipt				
EFS ID:	26404039			
Application Number:	12808467			
International Application Number:				
Confirmation Number:	3733			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE			
First Named Inventor/Applicant Name:	Stephen E. Hidem			
Customer Number:	22859			
Filer:	Paul J. LaVanway Jr.			
Filer Authorized By:				
Attorney Docket Number:	56782.1.7.2			
Receipt Date:	20-JUL-2016			
Filing Date:	16-JUN-2010			
Time Stamp:	19:04:11			
Application Type:	U.S. National Stage under 35 USC 371			

# Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$960
RAM confirmation Number	072116INTEFSW19050101
Deposit Account	9797
Authorized User	Paul LaVanway

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:
# File Listing:

	-				
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			85274		
1	Post Allowance Communication - Incoming	56782_1_7_2_Bracco_Reasonsf orAllowance.pdf	e9180a6bcfde4d7c6590363a0a91273bcb1 2d543	no	1
Warnings:		1			
Information:					
			203796		
2 Change of Address		56782_1_7_2_Bracco_FeeAddr essForm.pdf	38481a79302fe60e039558d3520e7875f0f2 a659	no	2
Warnings:		•			
Information:					
			205965		
3	lssue Fee Payment (PTO-85B)	Bracco_lssueFeeTransmittal.pdf	e2bff610bd15f6da6bb5bf2098d8b44d74f5 4a21	no	1
Warnings:		•			
Information:					
			30699		
4	Fee Worksheet (SB06)	fee-info.pdf	31157e1184c14e06adfdda5f8d0f235eb0d7 99c7	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	52	25734	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# 22859

Customer Number

Patent Docket No.: 56782.1.7.2

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	Carrie R. Dorna
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/O	ICLUDING COMP OR OPERATION A	PUTER-FACILITATED

Mailstop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE**

Dear Commissioner:

Applicant has reviewed the Examiner's Statement of Reasons for Allowance accompanying the Notice of Allowance mailed April 20, 2016. Applicant does not acquiesce in the stated reasons for allowance.

Applicant agrees with the Examiner that the claims are allowable over the prior art. Applicant points out, however, that the claims require multiple limitations not taught or suggested by the prior art and that the claims are allowable for at least the reasons set forth in Applicant's previous communications.

Applicant respectfully requests that the Examiner place the preceding comments into the prosecution record prior to issuance of the above-identified application. The Examiner is invited to telephone the below-signed attorney to discuss this application.

Dated: July 20, 2016

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077 Respectfully submitted,

/Paul J. LaVanway, Jr./

Paul J. LaVanway, Jr. Registration No. 64,610

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.

59027420\_1.docx

# **"FEE ADDRESS" INDICATION FORM**

Address to: Mail Stop M Correspondence	Fax to: 571-273-6500				
Commissioner for Patents - OR - P.O. Box 1450					
Alexandria, VA 22313-1450					
<b>INSTRUCTIONS:</b> The issue fee must have been paid only an address represented by a Customer Number of fee purposes (hereafter, fee address). A fee address s maintenance fees should be mailed to a different addres <b>When to check the first box below</b> : If you have a Custo <b>to check the second box below</b> : If you have no Custo in which case a completed Request for Customer Num more information on Customer Numbers, see the Manu	for application(s) listed on this form. In addition, an be established as the fee address for maintenance should be established when correspondence related to ess than the correspondence address for the application. stomer Number to represent the fee address. <b>When</b> omer Number representing the desired fee address, ber (PTO/SB/125) must be attached to this form. For ual of Patent Examining Procedure (MPEP) § 403.				
For the following listed application(s), please recognize a 1.363 the address associated with:	is the "Fee Address" under the provisions of 37 CFR				
Customer Number: 31834					
OR					
The attached Request for Customer Number (PTC	0/SB/125) form.				
PATENT NUMBER (if known)	APPLICATION NUMBER				
	12/808,467				
Completed by (check one):					
Applicant/Inventor	/Paul J. LaVanway, Jr./				
	Signature				
Attorney or Agent of record 64610	Paul J. LaVanway, Jr.				
(Reg. No.)	Typed or printed name				
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)  612-492-7387  Requester's telephone number					
Assignee recorded at Reel Frame					
	Date				
NOTE: Signatures of all the inventors or assignees of record of the entire interest signature is required, see below*.	t or their representative(s) are required. Submit multiple forms if more that one				
* Total offorms are submitted.					

This collection of information is required by 37 CFR 1.363. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1. 11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alex andria, VA 22313- 1450. DO NOT SEND COMPLETE D FORMS TO THIS A DDRESS. **SEND TO: Mail Stop M Correspondence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	United State	<u>s Patent</u>	and Tradem	ARK OFFICE UNITED STATES United States Pat Address: COMMISSIO PC: Box 1450 Alexandria, Virgi www.uspto.gov	DEPARTMENT OF COM ent and Trademark Off NER FOR PATENTS nia 22313-1450	IMERCE lice			
APPLICATION	FILING or	GRP ART			TOT CLARKE				
NUMBER	3/I(c) DATE	UNII	FIL FEE REUD	ATTY.DUCKET.NO	IOI CLAIMS II	ND CLAIMS			
12/808,467	06/16/2010	3735	2356	56782.1.7.2	38	5			
				CC	ONFIRMATION N	10. 3733			
22859				CORRECTE	D FILING RECE	IPT			
FREDRIKSON	& BYRON P	Δ							
200 SOUTH S	IXTH STREET	, SUITE 40	00						
MINNEAPOLIS, MN 55402									

Date Mailed: 07/07/2016

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Inventor(s)

Stephen E. Hidem, Plymouth, MN; Aaron M. Fontaine, Fridley, MN; Janet L. Gelbach, New Albany, IN; Patrick M. McDonald, Omaha, NE; Kathryn M. Hunter, Knoxville, TN; Rolf E. Swenson, Princeton, NJ; Julius P. Zodda, Mercerville, NJ;

#### Applicant(s)

Stephen E. Hidem, Plymouth, MN; Aaron M. Fontaine, Fridley, MN; Janet L. Gelbach, New Albany, IN; Patrick M. McDonald, Omaha, NE; Kathryn M. Hunter, Knoxville, TN; Rolf E. Swenson, Princeton, NJ; Julius P. Zodda, Mercerville, NJ;

## Assignment For Published Patent Application

BRACCO DIAGNOSTICS INC., Princeton, NJ

Power of Attorney: The patent practitioners associated with Customer Number 22859

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US09/47031 06/11/2009 which is a CON of 12/137,356 06/11/2008 PAT 8317674 and is a CON of 12/137,363 06/11/2008 PAT 7862534 and is a CON of 12/137,364 06/11/2008 and is a CON of 12/137,377 06/11/2008 PAT 8708352 page 1 of 4

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

### Permission to Access Application via Priority Document Exchange: No

### Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

### If Required, Foreign Filing License Granted: 04/01/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/808,467** 

Projected Publication Date: Not Applicable

#### Non-Publication Request: No

Early Publication Request: No

Title

INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

#### **Preliminary Class**

600

### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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# Title 35, United States Code, Section 184

# Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

	United State	<u>es Patent</u>	and Tradem	ARK OFFICE UNITED STA United State Address: COMM P.C. Box Alexandr www.usp	NTES DEPARTMENT OF COMMERCE es Patent and Trademark Office ISSIONER FOR PATENTS 1450 is, Vinguia 22313-1450 to gov			
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS			
12/808,467	06/16/2010	ð	2356	56782.1.7.2	38 5			
					<b>CONFIRMATION NO. 3733</b>			
22859				CORRE	CTED FILING RECEIPT			
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200 SOUTH S	<b>SIXTH STREET</b>	, SUITE 40	00		*OC000000047345354*			
MINNEAPOLI	S. MN 55402							

Date Mailed: 04/26/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Stephen E. Hidem, Plymouth, MN; Aaron M. Fontaine, Fridley, MN; Janet L. Gelbach, New Albany, IN; Patrick M. McDonald, Omaha, NE; Kathryn M. Hunter, Knoxville, TN; Rolf E. Swenson, Princeton, NJ; Julius P. Zodda, Mercerville, NJ;

Assignment For Published Patent Application

BRACCO DIAGNOSTICS INC., Princeton, NJ

Power of Attorney: The patent practitioners associated with Customer Number 22859

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US09/47031 06/11/2009

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO: Please see http://www.uspto.gov for more information:)

-UNITED STATES OF AMERICA 12/137364-06/11/2008

UNITED STATES OF AMERICA 12/137377-06/11/2008-

-UNITED STATES OF AMERICA 12/137363-06/11/2008-

-UNITED STATES OF AMERICA 12/137356-06/11/2008-

(Domestic Priority data as claimed by applicant cont.) which is a CON of 12/137,356 06/11/2008 PAT 8317674 and is a CON of 12/137,363 06/11/2008 PAT 7862534 and is a CON of 12/137,364 06/11/2008 and is a CON of 12/137,377 06/11/2008 PAT 8708352

If Required, Foreign Filing License Granted: 04/01/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/808,467** 

Projected Publication Date: 07/14/2011

Non-Publication Request: No

Early Publication Request: No Title

# INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

#### **Preliminary Class**

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Application Da	ta Shoot 37 CED 1 76	Attorney Docket Number	56782.1.7.2				
	ita Sheet Sr Orik 1.70	Application Number	12/808,467				
Title of Invention	f Invention INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION ANI METHODS OF USE						
The application data sheat is not of the provisional or concretizional explication for which it is hold submitted. The following form partoine the							

The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

# **Applicant Information:**

Applic	an	t 1									1		
Applic	an	ant Authority  Inventor CLegal Representative under 35 U.S.C. 11						U.S.C. 11	7	OParty of In	terest under 35 U.S.	C. 118	
Prefix	G	iiven Name				Middle Nar	ne	Family Name			nily Name		Suffix
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### **Supplemental**

#### PTO/SB/14 (11-08) Approved for use through 04/30/2017. OMB 0651-0032 atent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CER 1 76				Attorne	y Docket N	umber	56782.1.7.2			
Approation Da		V: IX		Application Number 12/808.467						
Title of Invention	Pention INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE									
Citizenship under	37 CFR 1.41(	bj	US							
Mailing Address o	f Applicant:									
Address 1	4204 Sh	etland (	Court							
Address 2										
City New All	bany				Stat	e/Provir	nce	IN		
Postal Code	47150				Country	US				
Applicant 4										
Applicant Authori	ty Inventor	OLe	gal Rep	resentativ	e under 35 l	J.S.C. 11	7 (	)Party of Ir	iterest under 35 U.S.	C. 118
Prefix Given Nan	ie		Mi	iddle Nar	ne		Famil	y Name		Suffix
Patrick			M.				McDon	ald		
Residence Inform	ation (Select	One)	🖲 US	Residenc	y 🔿 No	on US Re	sidency	O Activ	e US Military Service	)
City Omaha			State/I	Province	e NE	Countr	y of Re	sidence	US	
Citizenship under	37 CFR 1.41(	b)	US							
Mailing Address o	of Applicant:									
Address 1	ss 1 15395 Nicholas Street									
Address 2										
City Omaha					Stat	e/Provir	nce	NE		
Postal Code	68154				Country	US				
Applicant 5										
Applicant Authori	ty <ol> <li>Inventor</li> </ol>	OLe	gal Rep	resentativ	e under 35 l	J.S.C. 11	7	)Party of Ir	terest under 35 U.S.	C. 118
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Kathryn			M.				Hunter			
Residence Inform	ation (Select	One)	🖲 US	Residenc	y O No	on US Re	sidency	O Activ	e US Military Service	;
City Knoxville			State/I	Province	e TN	Countr	y of Re	sidence	US	
Citizenship under	37 CFR 1.41(	b)	US							
Mailing Address o	of Applicant:									
Address 1	1312 Juc	ly Reag	jan Lane	Э						
Address 2										
City Knoxvil	e				Stat	e/Provir	nce	TN		
Postal Code	37931				Country	US				
Applicant 6										
Applicant Authori	ty Inventor	OLe	gal Rep	resentativ	e under 35 l	J.S.C. 11	7	)Party of Ir	nterest under 35 U.S.	C. 118
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Application Data Sheet 37 CER 1 76			Attorne	Attorney Docket Number			56782.1.7.2							
Abbu	cauvii L	ala Q	nicet J/	Urn	1.70	Application Number 12			12/808	12/808,467				
Title of Invention INFUSION SYSTEMS INCLUDING						DING CO	MPUT	ER-FA	CILITATE	ED MAIN	NTENANCE	AND/OR OPERATIO	ON AND	
Mailing	Mailing Address of Applicant:													
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Applic	ant 7													
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All Inv genera	All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.													

# **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).								
An Address is being provided for the correspondence Information of this application.								
Customer Number 22859								
Email Address         IP@fredlaw.com         Add Email         Remove Email								

# Application Information:

Title of the Invention	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE							
Attorney Docket Number	56782.1.7.2	56782.1.7.2 Small Entity Status Claimed						
Application Type	Nonprovisional							
Subject Matter	Utility							
Suggested Class (if any)			Sub Class (if any)					
Suggested Technology Center (if any)								
Total Number of Drawing	Sheets (if any)	27	Suggested Figure for Publication (if any)					

Annlination Da	ta Shaat 37 CED 1 76	Attorney Docket Number	56782.1.7.2		
Application Da	LA DIRECTOT OFTA 1.70	Application Number	12/808,467		
Title of Invention	INFUSION SYSTEMS INCLU METHODS OF USE	DING COMPUTER-FACILITAT	ED MAINTENANCE AND/OR OPERATION AND		

# **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

# **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

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Customer Number	22859		

# Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application	on Status	Pending				Rei	nove
Application N	umber	Cont	inuity Type	Prior Application Num	ber Filing Date (YYYY-MM-DD)		te (YYYY-MM-DD)
<u>12808467</u>		a 371 of interr	lational	PCT/US2009/047031		2009-06-11	
Prior Application	on Status	Patented				Ror	nove
Application Number	Cont	tinuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	lssue Date (YYYY-MM-DD)
PCT/US2009/047031	Continua	tion of	<u>12137356</u>	2008-06-11	83	<u>17674</u>	2012-11-27
Prior Application	on Status	Patented		Remove			
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PCT/US2009/047031	Continua	tion of	<u>12137363</u>	2008-06-11	_786	62534	2011-01-04
Prior Application	on Status	Pending_				Rei	nove
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PCT/US2009/047031 Continuation of		<u>)f</u>	<u>12137364</u>		2008-06-11		
Prior Application Status Patented					Rei	nove	
Application Number	Continuity Type		Prior Application Number	Filing Date (YYYY-MM-DD)	Pat	ent Number	lssue Date (YYYY-MM-DD)
PCT/US2009/047031	Continua	tion of	<u>12137377</u>	2008-06-11	870	08352	2014-04-29

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	56782.1.7.2
		Application Number	12/808,467
Title of Invention	INFUSION SYSTEMS INCLU METHODS OF USE	DING COMPUTER-FACILITATI	ED MAINTENANCE AND/OR OPERATION AND

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

# Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is
not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b)
and 37 CFR 1.55(a).

			SUBOAE				
Application Number	Country <sup>i</sup>	Parent Filing Date (YYYY-MM-DD)	Priority Claimed				
			🔿 Yes 💿 No				
Additional Foreign Priority Data may be generated within this form by selecting the Add button							

# **Assignee Information:**

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1					
If the Assignee is an C	Drganization check here.	$\boxtimes$			
Organization Name	Bracco Diagnostics Inc.				
Mailing Address Info	rmation:				
Address 1	107 College Road East				
Address 2					
City	Princeton	State/Province	LNJ		
Country <sup>I</sup> US		Postal Code	08540		
Phone Number		Fax Number			
Email Address					
Additional Assignee Data may be generated within this form by selecting the Add button.					

# Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.						
Signature	nature /Elisabeth Lacy Belden/			Date (YYYY-MM-DD)	2010-06-16	
First Name	Elisabeth Lacy	Last Name	Belden	Registration Number	50751	

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	56782.1.7.2
		Application Number	12/808,467
Title of Invention	INFUSION SYSTEMS INCLU METHODS OF USE	DING COMPUTER-FACILITATI	ED MAINTENANCE AND/OR OPERATION AND

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent. The information provided by you in this form will be subject to the following routine uses: 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records. 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations. 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record. 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m). A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, 5. as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty. 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)). 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals. 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

#### (19) World Intellectual Property Organization International Bureau



(43) International Publication Date 17 December 2009 (17.12.2009)

- (51) International Patent Classification: *A61M 5/00* (2006.01) *A61M 5/14* (2006.01)
- (21) International Application Number:
  - PCT/US2009/047031
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- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:

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12/137,364	11 June 2008 (11.06.2008)	US
12/137,377	11 June 2008 (11.06.2008)	US
12/137,363	11 June 2008 (11.06.2008)	US
12/137,356	11 June 2008 (11.06.2008)	US

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- (74) Agents: BELDEN, Elisabeth, L. et al.; Fredrikson & Byron, P.A., 200 South Sixth Street, Suite 4000, Minneapolis, MN 55402-1425 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

 without international search report and to be republished upon receipt of that report (Rule 48.2(g))

(54) Title: INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

(57) Abstract: Methods for setting up, maintaining and operating a radiopharmaceutical infusion system, that includes a radioisotope generator, are facilitated by a computer of the system. The computer includes pre-programmed instructions and a computer interface, for interaction with a user of the system, for example, in order to track contained volumes of eluant and/or eluate, and/or to track time from completion of an elution performed by the system, and/or to calculate one or more system and/or injection parameters for quality control, and/or to perform purges of the system, and/or to facilitate diagnostic imaging.

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	11 II INF 2009 (11/06/09)			
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according to the Patent Cooperation Treaty.	Name of receiving Offi	ce and "PCT Inte	rnational Application"	
	Applicant's or agent's	ile reference	700 4 7 4	
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Box No. I TITLE OF INVENTION				
INFUSION SYSTEMS INCLUDING COM	PUTER-FACILIT	ATED MAIN	ITENANCE	
AND/OR OPERATION AND METHODS OF	USE			
Box No. II APPLICANT This perso	n is also inventor	<u> </u>		
Name and address: (Family name followed by given name; for a legal end	tity, full official designation.	Telephone No.		
Box is the applicant's State (that is, country) of residence if no State of reside	ence is indicated below.)	(609) 514-2	2252	
107 College Road East		Facsimile No.		
Princeton, New Jersey 08540		(609) 514-2	2429	
US		Applicant's regi	stration No. with the Office	
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send, if the Office or Authority so wishes, advance copies or of this international application. (See also the Notes to Bo	f notifications in respect <i>xes Nos. II and III.</i> )			
State (that is, country) of nationality:	State (that is, country)	of residence:		
US This person is applicant II all designated SI all designated	US	the United States	the States indicated in	
for the purposes of:	States of America	of America only	the Supplemental Box	
Box No. III FURTHER APPLICANT(S) AND/OR (FURT	HER) INVENTOR(S)			
Further applicants and/or (further) inventors are indicated	on a continuation sheet.			
Box No. IV AGENT OR COMMON REPRESENTATIVE	E; OR ADDRESS FOR	CORRESPONI	DENCE	
The person identified below is hereby/has been appointed to act of the applicant(s) before the competent International Authorities	on behalf	agent	common	
Name and address: (Family name followed by given name; for a legal en	tity, full official designation.	Telephone No.	•	
The address must include postal code and name of	country.)	612.492.7	000	
BELDEN, Elisabeth L.		Facsimile No		
FREDRIKSON & BYRON, P.A.			077	
Minneapolis, Minnesota 55402-1425				
US			tion No. with the Office	
		50,751		
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Preliminary Examining Authority to use the e-mail address indicated in this Box to send, if the Office or Authority so wishes, advance copies of notifications in respect				
of this international application. (See also the Notes to Bo.	xes Nos. II and III.)	recentative is/has	been appointed and the	
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Form PCT/RO/101 (first sheet) (July 2008)

See Notes to the request form

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Sheet	No.	 .2			
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Box No. III FURTHER APPLICANT(S) AND/OR (FURTHE	R) INVENTOR(S)
If none of the following sub-boxes is used, this sheet should not b Name and address: (Family name followed by given name; for a legal entity, f The address must include postal code and name of country. The country of the a Box is the applicant's State (that is, country) of residence if no State of residence if HIDEM, Stephen E. 4710 Juneau Lane North Plymouth, Minnesota 55446 US State (that is, country) of nationality: US This person is applicant for the purposes of: Name and address: (Family name followed by given name; for a legal entity, f The address must include postal code and name of country. The country of the a Box is the applicant's State (that is, country) of residence if no State of residence if States Name and address: (Family name followed by given name; for a legal entity, f The address must include postal code and name of country. The country of the a Box is the applicant's State (that is, country) of residence if no State of residence if FONTAINE, Aaron M. 5663 West Bavarian Pass	e included in the request. full official designation. ddress indicated in this is indicated below.)  This person is:  applicant only  applicant and inventor  inventor only (If this check-box is marked, do not fill in below.)  Applicant's registration No. with the Office  State (that is, country) of residence: US  tates except s of America the United States of America only the United States of America only the Supplemental Box  full official designation. ddress indicated in this is indicated below.)  This person is: applicant only applicant and inventor inventor only (If this check-box is marked, below.)
Fridley, Minnesota 55432	inventor only (If this check-box is marked, do not fill in below.)
US	Applicant's registration No. with the Office
State (that is, country) of nationality: US	State ( <i>that is, country</i> ) of residence: US
This person is applicant all designated S all designated S for the purposes of:	tates except so f America only the United States the States indicated in the Supplemental Box
Name and address: (Family name followed by given name; for a legal entity, j The address must include postal code and name of country. The country of the a Box is the applicant's State (that is, country) of residence if no State of residence GELBACH, Janet L. 4204 Shetland Court New Albany, Indiana 47150 US	full official designation.       This person is:         applicant only       applicant only         is indicated below.)       applicant and inventor         inventor only (If this check-box is marked, do not fill in below.)         Applicant's registration No. with the Office
State (that is, country) of nationality: US	State ( <i>that is, country</i> ) of residence: US
This person is applicant all designated S all designated S for the purposes of:	tates except s of America the United States the States indicated in the Supplemental Box
Name and address: (Family name followed by given name; for a legal entity, The address must include postal code and name of country. The country of the a Box is the applicant's State (that is, country) of residence if no State of residence McDONALD, Patrick M. 15395 Nicholas Street Omaha, Nebraska 68154 US	full official designation.       This person is:         address indicated in this is indicated below.)       applicant only         applicant and inventor       inventor only (If this check-box is marked, do not fill in below.)         Applicant's registration No. with the Office
State (that is, country) of nationality:	State (that is, country) of residence: JS
This person is applicant all designated S all designated S for the purposes of:	tates except so of America I the United States the States indicated in the Supplemental Box
Further applicants and/or (further) inventors are indicated on	another continuation sheet.

Form PCT/RO/101 (continuation sheet) (July 2008)

See Notes to the request form

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Sheet 140				
Box No. III FURTHER APPLICANT(S) AND/OR (FURTH	IER) INVENTOR(S)			
If none of the following sub-boxes is used, this sheet should not	be included in the request.			
Name and address: (Family name followed by given name: for a legal entity The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of residen HUNTER, Kathryn M. 1312 Judy Reagan Lane Knoxville, Tennessee 37931 US	w, full official designation.       This person is:         e address indicated in this       applicant only         is indicated below.)       applicant only         is indicated below.)       inventor only (If this check-box is marked, do not fill in below.)         Applicant's registration No. with the Office			
State (that is, country) of nationality: US	State (that is, country) of residence: US			
This person is applicant all designated all designated for the purposes of:	States except the United States the States indicated in the Supplemental Box			
Name and address: (Family name followed by given name; for a legal entity The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of resident SWENSON, Rolf E. 35 Fieldston Road Princeton, New Jersey 08540 US	y, full official designation.       This person is:         address indicated in this       applicant only         se is indicated below.)       applicant only         applicant and inventor       inventor only (If this check-box is marked, do not fill in below.)         Applicant's registration No. with the Office			
State (that is, country) of nationality: US	State (that is, country) of residence: US			
This person is applicant all designated all designated for the purposes of:	States except the United States the States indicated in the States of America only the Supplemental Box			
Name and address: (Family name followed by given name; for a legal entity The address must include postal code and name of country. The country of the Box is the applicant's State (that is, country) of residence if no State of resident ZODDA, Julius P. 3 Tigers Court Mercerville, New Jersey 08619 US	y. full official designation. e address indicated in this ce is indicated below.) This person is: applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.) Applicant's registration No. with the Office			
State ( <i>that is, country</i> ) of nationality: US	State ( <i>that is, country</i> ) of residence:			
This person is applicant all designated all designated for the purposes of:	States except ates of America I the United States the States indicated in the Supplemental Box			
Name and address: (Family name followed by given name; for a legal entity. full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)       This person is:         Image: the applicant's State (that is, country) of residence if no State of residence is indicated below.)       Image: the applicant only         Image: the applicant's State (that is, country) of residence if no State of residence is indicated below.)       Image: the applicant only         Image: the applicant is the applicant of the address indicated below.)       Image: the applicant only         Image: the applicant is the applicant of the address indicated below.)       Image: the applicant only         Image: the applicant is the applicant of the address indicated below.)       Image: the applicant only         Image: the applicant of the address indicated below.)       Image: the applicant only         Image: the applicant of the address indicated below.)       Image: the applicant only         Image: the address indicated below.)       Image: the address indicated below.)         Image: the address indicated below.)       Image: the address indicated below.)         Image: the address indicated below.)       Image: the address indicated below.)         Image: the address indicated below.)       Image: the address indicated below.)         Image: the address indicated below.)       Image: the address indicated below				
State (that is, country) of nationality:	State (that is, country) of residence:			
This person is applicant all designated all designated for the purposes of:	States except the United States the States indicated in the supplemental Box			
Further applicants and/or (further) inventors are indicated o	n another continuation sheet.			

Form PCT/RO/101 (continuation sheet) (July 2008)

See Notes to the request form

#### Sneet NO. .....

Supplemental Box If the Supplemental Box is not used, this sheet should not be included in the request.

- 1. If, in any of the Boxes, except Boxes Nos. VIII(i) to (v) for which a special continuation box is provided, **the space is insufficient** to furnish all the information: in such case, write "Continuation of Box No..." (indicate the number of the Box) and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:
- (i) if more than one person is to be indicated as applicant and/ or inventor and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;
- (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;
- (v) if, in Box No. VI, there are more than four earlier applications whose priority is claimed: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.
- 2. If the applicant intends to make an indication of the wish that the international application be treated, in certain designated States, as an application for a patent of addition, certificate of addition, inventor's certificate of addition or utility certificate of addition: in such a case, write the name or two-letter code of each designated State concerned and the indication "patent of addition," "certificate of addition," "inventor's certificate of addition," or "utility certificate of addition," the number of the parent application or parent patent or other parent grant and the date of grant of the parent patent or other parent grant or the date of filing of the parent application (Rules 4.11(a)(i) and 49bis.1(a) or (b)).
- 3. If the applicant intends to make an indication of the wish that the international application be treated, in the United States of America, as a continuation or continuation-in-part of an earlier application: in such a case, write "United States of America" or "US" and the indication "continuation" or "continuation-in-part" and the number and the filing date of the parent application (Rules 4.11(a)(ii) and 49bis.1(d)).

Continuation of Box No. IV:

BELDEN, Elisabeth L., Reg. No. 50,751 CHICOINE, Caroline G., Reg. No. 38,198 DOLAN, John F., Reg. No. 45,382; FAIRBAIRN, Kara K., Reg. No. 49,079; FELLER, Michael J., Reg. No. 59,296; GOLDMAN, Philip M., Reg. No. 31,162 GRAHAM, Matthew J.S., Reg. No. 54,647 HALLER, James R., Reg. No. 24,906; HIPKINS, Thomas R., Reg. No. 57,659 KADIEVITCH, Natalie D., Reg. No. 34,196; KLEPINSKI, Robert, Reg. No. 30,187 McMASTERS, Thomas L. Reg. No. 45,593; MENDOZA, Mia E., Reg. No. 56,688 NEBLETT, Adonis A., Reg. No. 32,358; PARZYCH, John S., Reg. No. 52,097; SEGELBAUM, Charles D., Reg. No. 42,138; SNUSTAD, Eric J., Reg. No. 45,120; WEST, David C., Reg. No. 35,735

all of:

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, Minnesota 55402-1425 US

Form PCT/RO/101 (supplemental sheet) (July 2008)

	Sneet r	NO		
Box No. V DESIGNATION	S			:
The filing of this request <b>constitu</b> filing date, for the grant of every	tes under Rule 4.9(a) the desi kind of protection available an	ignation of all Contract id, where applicable, fo	ing States bound by the r the grant of both regio	PCT on the international onal and national patents.
However,				
DE Germany is not design	ated for any kind of national p	protection		
U JP Japan is not designated	for any kind of national prote	ction		
DI Di Di Di Di Col Korea is i	of designated for any kind of	national protection		
(The check-boxes above may only Rule 26bis. 1, the international app State concerned, in order to avoi	be used to exclude (irrevocably, plication contains in Box No. V d the ceasing of the effect, uno	) the designations conce I a priority claim to an e ler the national law of	erned if, at the time of fil arlier national applica this earlier national a	ing or subsequently under tion filed in the particular pplication.)
Box No. VI PRIORITY CLA	VIM			
The priority of the following early	ier application(s) is hereby cla	imed:		
Filing date	Number	Wh	ere earlier application i	is:
of earlier application	of earlier application	national application:	regional application:	international application
(aay/monun/year)		country or Member of WTO	regional Office	receiving Office
item (1) (11.06.2008) 11 June 2008	12/137,356	US		
item (2) (11.06.2008) 11 June 2008	12/137,363	US		
item (3) (11.06.2008) 11 June 2008	12/137,364	US		
item (4) (11.06.2008) 11 June 2008	12/137,377	US		
Further priority claims are in	dicated in the Supplemental E	Box.		
<b>Transmit certified copy:</b> the re earlier application(s) (only if the is the receiving Office) identified	ceiving Office is requested to earlier application was filed y d above as:	prepare and transmit to with the Office which fo	the International Burg or the purposes of this	eau a certified copy of the international application
all items item (1)	item (2)	item (3)	item (4) 🛛 🗍 oth	er, see Supplemental Box
<b>Restore the right of priority:</b> the above or in the Supplemental Boo information must be provided to	ne receiving Office is requeste x as item(s) (	d to restore the right of the right of the right of priority.)	priority for the earlier ). (See also the Note	application(s) identified to Box No. VI; further
<b>Incorporation by reference:</b> w the description, claims or drawi completely contained in an earli Article 11(1)(iii) were first rece incorporated by reference in this	here an element of the interna ngs referred to in Rule 20.5( er application whose priority eived by the receiving Office international application for t	tional application refer a) is not otherwise co- is claimed on the date that element or part the purposes of Rule 20	rred to in Article 11(1) ntained in this interna on which one or mor is, subject to confirr 0.6.	(iii)(d) or (e) or a part of tional application but is e elements referred to in nation under Rule 20.6,
Box No. VII INTERNATION	IAL SEARCHING AUTHOR	RITY		
Choice of International Search international search, indicate the	ing Authority (ISA) (if more Authority chosen; the two-letter	than one International S r code may be used):	Searching Authority is a	competent to carry out the
ISA/ .EP				

Form PCT/RO/101 (second sheet) (July 2008)

See Notes to the request form

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Bar No IV CHECKLIST, LANCHACE	SE EU D	Sheet No	
Box No. IX CHECK LIST; LANGUAGE (	OF FILIN	(G	
<ul> <li>This international application contains:</li> <li>(a) on paper, the following number of sheets:</li> </ul>	This int item(s) right co	ernational application is <b>accompanied by</b> the following (mark the applicable check-boxes below and indicate in lumn the number of each item):	Number of items
request (including	1. 🛛	fee calculation sheet	: 1
declaration and supplemental sheets) : 6	2. 🗌	original separate power of attorney	:
description (excluding	3.	original general power of attorney	:
sequence listing and/or tables related thereto) : 34	4. ∐	copy of general power of attorney; reference number, if any:	:
claims : 11	5. 🗖	statement explaining lack of signature	:
abstract : 1	6. 🗖	priority document(s) identified in Box No. VI as	
drawings : 27		item(s):	
Sub-total number of sheets : 79	7. 🗖	translation of international application into (language):	:
sequence listing : tables related thereto	8. 🗖	separate indications concerning deposited microorgani	sm
(for both, actual number		or other biological material	:
of sheets if filed on paper, whether or not also	, , ,	(indicate type and number of carriers)	
filed in electronic form; see (c) below)	(i)	Copy submitted for the purposes of international sear Rule 13ter only (and not as part of the international a	rch under application) :
Total number of sheets : 79	(ii)	(only where check-box (b)(i) or (c)(i) is marked in left of additional copies including, where applicable, the co	olumn) opy for the
(b) $\Box$ only in electronic form		purposes of international search under Rule 13ter	:
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(c) also in electronic form (Section 801(a)(ii))	(i)	(indicate type and number of carriers $)$	rch under
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CD-ROM, CD-R or other) on which are		additional copies including, where applicable, the co	ppy for the b-auater) :
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(additional copies to be indicated under		copy of results of earlier search(es) (Rule 12bis.1(a))	:
items 9(ii) and/or 10(ii), in right column)	12. 🔟	other (specify):	····· :
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Box No. X SIGNATURE OF APPLICAN	T. AGEN	T OR COMMON REPRESENTATIVE	
Next to each signature, indicate the name of the person sig	ning and th	e capacity in which the person signs (if such capacity is not obvious j	from reading the request).
Dated: 11 June 2009			
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and and a			
Flisabeth I BELDEN Reg No 50 75	1		
			·····
1. Date of actual receipt of the purported 11	TI INTE	2000(11/06/00)	
international application:	JUNE	2009 (11/00/09)	2. Drawings:
3. Corrected date of actual receipt due to later l	but		received:
timely received papers or drawings completi	ng		
4. Date of timely receipt of the required corrections under PCT Article 11(2):			
5 International Coardhing Authority		6 Transmittel of security delayed	
(if two or more are competent): ISA /		until search fee is paid	
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Date of receipt of the record conv		-	
by the International Bureau:			

Form PCT/RO/101 (last sheet) (July 2008)

See Notes to the request form

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	Carrie R. Dorna
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/O	CLUDING COMP R OPERATION A	PUTER-FACILITATED ND METHODS OF USE

### <u>RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS, REQUEST</u> FOR SUPPLEMENTAL ADS, AND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

This Transmittal is in response to the Notice to File Corrected Application Papers mailed May 4, 2016, the period of response for which runs through July 5, 2016 (July 4<sup>th</sup> falling on a federal holiday). This Transmittal is accompanied by a Supplemental Application Data Sheet and a Request for a Corrected Filing Receipt.

### **Response to Notice to File Corrected Application Papers**

In the Notice to File Corrected Application Papers mailed May 4, 2016 (the "Notice"), the Office objected to the pending application for purportedly claiming priority to four US Non-Provisional applications—US 12/137,364; US12/137,377; US12/137,363; and US12/137,356— with foreign priority claims. The Notice indicated that a 35 U.S.C. § 119(a)-(d) foreign priority claim cannot be based on a US application.

Upon review, Applicant respectfully submits that the priority claim to the four US Non-Provisional applications was properly made as a domestic priority claim in the application and that the filing receipt incorrectly listed the priority claims as being foreign priority claims. Accordingly, Applicant is enclosing a request for a corrected filing receipt and supplemental application data sheet to correct and clarify the prosecution history. Applicant's position that the priority claims to the four US Non-Provisional applications was correctly made is supported by multiple pieces of evidence in the record. First, the standards for making a priority claim to a prior application are set out at MPEP § 211.02. Since the present application was filed prior to September 16, 2012, the relevant language is as follows:

For applications filed prior to September 16, 2012, the specific reference to the prior application must be in an application data sheet (37 CFR 1.76(a)) and/or in the first sentence(s) of the specification following the title, although the Office prefers the use of an application data sheet. If applicant is claiming the benefit of multiple prior applications, and the reference to the prior applications is in the specification, the reference may be in a continuous string of multiple sentences at the beginning of the specification. The multiple sentences must begin as the first sentence after the title, and any additional sentence(s) including a benefit claim must follow the first sentence and not be separated from the first sentence by any other sentence not making a benefit claim. If the specific reference is only contained in the application data sheet, then the benefit claim information will be included on the first sentence(s) of the specification, but will not be included in the first sentence(s) of the specification.

In the present case, Applicant properly claimed priority to the four US Non-Provisional applications through a priority claim made in the first sentence of the specification. In particular, in a preliminary amendment accompanying the national stage filing, Applicant amended the application specification to include the following first paragraph:

The present application claims priority to <u>International Application No.</u> <u>PCT/US2009/047031 filed 11 June 2009, which in turn claims priority to</u> the following U.S. patent applications: U.S. patent application serial No. 12/137,356, filed June 11, 2008; U.S. patent application serial No. 12/137,364, filed June 11, 2008; and U.S. patent application serial No. 12/137,377, filed June 11, 2008, the teaching of all of which are incorporated herein by reference.

As seen above, the priority claim made in the first paragraph of the specification referenced the four US Non-Provisional applications as being US patent applications, not foreign applications. Accordingly, the Office should have assigned a domestic priority claim, not a foreign priority claim to the four applications on the filing receipt.

Second, MPEP § 1893.03(c) and 37 C.F.R. § 1.76(g) set out standards for claiming the benefit of an earlier filed application in US national stage applications. The provisions state, in relevant part:

In order for a national stage application (of international application "X") to obtain benefit under 35 U.S.C. 119(e) of a prior U.S. provisional application, the national stage application must comply with the requirements set forth in 37 CFR 1.78(a). 37 CFR 1.78(a)(2) requires that the prior provisional application must be entitled

to a filing date as set forth in 37 CFR 1.53(c), and the basic filing fee set forth in 37 CFR 1.16(d) must be paid on the provisional application within the time period set forth in 37 CFR 1.53(g). Additionally, the provisional application must name as an inventor at least one inventor named in the later filed international application "X" and disclose the named inventor's invention claimed in at least one claim of the national stage application in the manner provided by the first paragraph of 35 U.S.C. 112. The national stage application must contain a reference to the provisional application identifying it as a provisional application, and including the provisional application number (series code and serial number). If the national stage application has an international filing date prior to September 16, 2012, then the reference must be in either an application data sheet (37 CFR 1.76) or in the first sentence(s) of the specification. See pre-AIA 37 CFR 1.78(a)(5)(iii). If the national stage application has an international filing date that is on or after September 16, 2012, then the reference must be in an application data sheet (37 CFR 1.76). See 37 CFR 1.78(a)(3). However, the requirement for inclusion of the benefit claim in an application data sheet will be satisfied in a U.S. national stage application by the presentation of such benefit claim in the PCT request form contained in the international application or the presence of such benefit claim on the front page of the published international application. See 37 CFR 1.76(g).<sup>1</sup>

and

The requirement in § 1.78 for the presentation of a benefit claim under 35 U.S.C. 119, 120, 121, or 365 in an application data sheet will be satisfied in a national stage application under 35 U.S.C. 371 by the presentation of such benefit claim in the Patent Cooperation Treaty Request Form contained in the international application or the presence of such benefit claim on the front page of the publication of the international application under PCT Article 21(2). The requirement in § 1.55 or § 1.78 for the presentation of a priority or benefit claim under 35 U.S.C. 119 , 120 , 121 , or 365 in an application data sheet and the requirement in § 1.46 for the presentation of the name of the applicant under 35 U.S.C. 118 in an application data sheet will be satisfied in an application under 35 U.S.C. 111 by the presentation of such priority or benefit claim and presentation of the name of the applicant in a Patent Cooperation Treaty Request Form. If a Patent Cooperation Treaty Request Form is submitted in an application under 35 U.S.C. 111, the Patent Cooperation Treaty Request Form must be accompanied by a clear indication that treatment of the application as an application under 35 U.S.C. 111 is desired.<sup>2</sup>

In the present case, the current application is a 371 national stage application of PCT/US09/47031. The four US Non-Provisional applications were properly claimed on the Patent Cooperation Treaty Request Form contained in that international application. Further, the priority claims for the four US Non-Provisional applications were published on the front page of

<sup>&</sup>lt;sup>1</sup> MPEP § 1893.03(c)(II) (emphasis added).

<sup>&</sup>lt;sup>2</sup> 37 CFR § 1.76(g) (emphasis added).

the international application: WO2009/152323. A copy of the Patent Cooperation Treaty Request Form for PCT/US09/47031 and the front page of WO2009/152323 are attached as exhibits to this Transmittal. Accordingly, the benefit claim of the four US Non-Provisional applications under 35 USC § 120 was properly made pursuant to MPEP § 1893.03(c) and 37 C.F.R. § 1.76(g). For this additional reason, the priority claim to the four US Non-Provisional applications is proper and should be recognized in the application.

#### **Request for Supplemental ADS and Corrected Filing Receipt**

In view of the above, Applicant respectfully submits that the priority to the four US Non-Provisional applications referenced in the Notice—US 12/137,364; US12/137,377; US12/137,363; and US12/137,356—was properly made. To clarify and correct the prosecution record, Applicant is enclosing a request for a corrected filing receipt and supplemental application data sheet with the present Transmittal.

The Filing Receipt mailed April 26, 2011, as well as the Application Data Sheet, have been amended by way of the annotated corrected Filing Receipt and Supplemental ADS to reflect that the present application is a 371 national stage filing of PCT/US2009/047031, filed June 11, 2009, which is a Continuation of 12/137,356, filed June 11, 2008, issued as 8,317,674 on November 27, 2012, and is a Continuation of 12/137,363, filed June 11, 2008, issued as 7,862,534 on January 4, 2011, and is a Continuation of 12/137,364, filed June 11, 2008, and is a Continuation of 12/137,364, filed June 11, 2008, and is a Continuation of 12/137,377, filed June 11, 2008, issued as 8,708,352 on April 29, 2014. Applicant respectfully requests entry of the Supplemental ADS and issuance of a corrected filing receipt reflecting the proper priority claim for the application.

#### **Conclusion**

In view of the foregoing, Applicant believes that all requirements set forth in the Notice have been complied with. The Commissioner is authorized to charge any fees due and credit any overpayments to Deposit Account No. 06-1910. The Office is invited to telephone the undersigned if there are any questions or the Office believes it would be useful.

Dated: July 5, 2016	Respectfully submitted,
	/Paul J. LaVanway, Jr./
FREDRIKSON & BYRON, P.A.	
200 South Sixth Street, Suite 4000	Paul J. LaVanway, Jr.
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Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077 Registration No. 64,610

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Electronic Acl	knowledgement Receipt
EFS ID:	26260974
Application Number:	12808467
International Application Number:	
Confirmation Number:	3733
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE
First Named Inventor/Applicant Name:	Stephen E. Hidem
Customer Number:	22859
Filer:	Paul J. LaVanway Jr.
Filer Authorized By:	
Attorney Docket Number:	56782.1.7.2
Receipt Date:	05-JUL-2016
Filing Date:	16-JUN-2010
Time Stamp:	19:42:41
Application Type:	U.S. National Stage under 35 USC 371

# Payment information:

Submitted with Payment		no				
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				3603635		
1	Request for Corrected Filing Receipt	567	782_1_7_2_Corrected_Filing _Receipt-Final.pdf	66da20a40a24dd4d7b9af701e131a7b59e9 164d5	no	3
Warnings:					•	

Information:	:				
2	Application Data Sheet	56782_1_7_2_Supp_ADS_mark ups-Final.pdf	139465 c45313fed34edf70b543352828d388a26e9	no	7
Warnings:			3110a		
Information:					
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		WO2000152222 Dublication B	70600		
3	Miscellaneous Incoming Letter	age1.pdf	297dd5c94f51b1aa12582959314f73aca70f 5b30	no	1
Warnings:		•		-	
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			517867		
4	Miscellaneous Incoming Letter	WO2009152323_Request.pdf	8080a8558568e0ad60d78d3a6f580fb2583 18b92	no	6
Warnings:					•
Information:					-
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5	Miscellaneous Incoming Letter	Transmittal_to_Notice.pdf	37bd195cffc5c01fa4b907266e6df67aff0c0 651	no	5
Warnings:	<u> </u>	1	ł		1
Information:					
		Total Files Size (in bytes)	: 43	399238	
his Acknow characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an Acknowledg <u>National Star</u> If a timely su U.S.C. 371 an national stag	tions Under 35 U.S.C. 111 described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the applicand nd MPEP 506), a Filing Receipt (37 Cl ement Receipt will establish the filing <u>ge of an International Application un</u> bomission to enter the national stage and other applicable requirements a Fige submission under 35 U.S.C. 371 w	ation includes the necessary of FR 1.54) will be issued in due ng date of the application. <u>nder 35 U.S.C. 371</u> e of an international applicati Form PCT/DO/EO/903 indicati	it serves as evidence components for a filir course and the date s on is compliant with ng acceptance of the e Filing Receipt, in du	of receipt s of receipt s ag date (see shown on th the condition application the course.	s, similar to a 37 CFR his ons of 35 h as a
New Internation If a new internation and of the In national second the application	tional Application Filed with the USI rnational application is being filed a onal filing date (see PCT Article 11 ar iternational Filing Date (Form PCT/R urity, and the date shown on this Action.	<u>PTO as a Receiving Office</u> Ind the international applicat Ind MPEP 1810), a Notification 10/105) will be issued in due c knowledgement Receipt will	ion includes the nece of the International ourse, subject to pres establish the internat	essary comp Application scriptions c tional filing	oonents for Number oncerning date of

Unit	<u>'ed States Patent a</u>	and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 313-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733
22859 EPEDPIKSON	7590 05/04/2016		EXAM	IINER
INTELLECTU 200 SOUTH SI	AL PROPERTY GROUP	000	DORNA, O	CARRIE R
MINNEAPOLI	IS, MN 55402		ART UNIT	PAPER NUMBER
			3735	
			NOTIFICATION DATE	DELIVERY MODE
			05/04/2016	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

PTOL-90A (Rev. 04/07)



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Application No. : 12808467 Applicant : Hidem Filing Date : 06/16/2010 Date Mailed : 05/04/2016

# NOTICE TO FILE CORRECTED APPLICATION PAPERS

# Notice of Allowance Mailed

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

# Applicant is given two (2) months from the mail date of this Notice within which to respond. This time period for reply is extendable under 37 CFR 1.136(a) for only TWO additional MONTHS.

The application is not in compliance with 37 CFR 1.78, as indicated in the attachment. The consequences of failure to respond within the above-identified time period are set forth in the attachment.

Even if the Office has recognized a benefit claim and has entered it into the Office's database and included it on applicant's filing receipt, the benefit claim is not a proper benefit claim unless the reference in compliance with 37 CFR 1.78 is included, depending upon the application's filing date and as indicated in the attachment, in an application data sheet or in the first sentence(s) of the specification and all other requirements are met.

See attachment.

A copy of this notice <u>MUST</u> be returned with the reply. Please address response to "Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450".

/Nran Hem/ Publication Branch Office of Data Management (571) 272-4200

	NOT IN COMPLIANCE WITH 37 CFR 1.78
	The 37 CFR 1.78(a)(2) reference on the application data sheet or in the first sentence(s) of the specification does not indicate the relationship (continuation, division, continuation-in-part) to the prior U.S. nonprovisional application or international application designating the U.S. See document coded dated , listing application number(s).
	The 37 CFR $1.78(a)(2)$ reference on the application data sheet or in the first sentence(s) of the specification following the title does not provide the U.S. nonprovisional application number (series code and serial number) or, with respect to an international PCT application designating the U.S., it provides the international application number or international filing date but not both. See document coded dated, in which the following is missing:
	The 37 CFR $1.78(a)(2)$ reference on the application data sheet or in the first sentence(s) of the specification following the title shows an incorrect, incomplete, or illegible U.S. nonprovisional application number, international PCT application number, or international PCT filing date. See document coded dated, in which the following error was made:
	The 37 CFR 1.78(a)(2) reference to the prior U.S. nonprovisional application or international application designating the U.S. is not present on an application data sheet or in the first sentence(s) of the specification following the title, thus removing the validating link under 35 U.S.C. 119(a)-(d) to a prior foreign application or under 35 U.S.C. 119(e) to a prior U.S. provisional application.
	The 37 CFR $1.78(a)(2)$ reference to the prior U.S. nonprovisional application or international application designating the U.S. is not present on an application data sheet or in the first sentence(s) of the specification following the title.
	The 37 CFR $1.78(a)(5)$ reference to the prior U.S. provisional application is not present on an application data sheet or in first sentence(s) of the specification following the title.
	The 37 CFR $1.78(a)(5)$ reference to the prior U.S. provisional application on an application data sheet or in first sentence(s) of the specification following the title does not provide the provisional application number (series code and serial number). See document coded dated, in which the following is missing:.
	The 37 CFR $1.78(a)(5)$ reference to the prior U.S. provisional application on an application data sheet or in first sentence(s) of the specification following the title shows an incorrect, incomplete, or illegible U.S. provisional application number. See document coded dated, in which the following error was made: .
X	Other: <u>SPEC 6/16/10 incorrectly claims non-provisional applications 12/137364, 12/137377, 12/137363, 12/137356 as a foreign</u> priority claim. A 35 U.S.C. 119(a)-(d) foreign priority claim cannot be based on a US application.

**APPLICATION FILED PRIOR TO SEPTEMBER 16, 2012,** 

#### HOW TO RESPOND

A proper response to this notice would include any one of: (1) a supplemental Application Data Sheet (ADS) pursuant to 37 CFR 1.76(c) which provides benefit information that complies with 37 CFR 1.78(a)(2) or 37 CFR 1.78(a)(5); (2) an amendment to the first sentence(s) of the specification which provides benefit information that complies with 37 CFR 1.78(a)(2) or 37 CFR 1.78(a)(2) or 37 CFR 1.78(a)(5); or (3) a petition filed pursuant to the provisions of 37 CFR 1.78(a)(3) or 37 CFR 1.78(a)(6) if the benefit information from the document identified above by code and date does not accurately reflect the benefits under 35 U.S.C. 119(e), 120, 121 or 365(c) as claimed by applicant (a grantable petition would include either a supplemental ADS or an amendment to the first sentence(s) of the specification as required by 37 CFR 1.78(a)(3)(i) or 37 CFR 1.78(a)(6)(i)). Such amendments to the specification or supplemental ADS submission may be filed after payment of the issue fee if limited to informalities noted herein. See Waiver of 37 CFR 1.312 for Document Required by Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004).

**WARNING:** If Applicant fails to timely submit a proper response, the benefit information will be deleted and the patent will be printed without the benefit information present.




UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

22859 7590 04/20/2016 FREDRIKSON & BYRON, P.A. INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS, MN 55402 EXAMINER

DORNA, CARRIE R

ART UNIT PAPER NUMBER
3735

DATE MAILED: 04/20/2016

APPLICATION NO.	NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733

TITLE OF INVENTION: INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	07/20/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

22859 7590 04/20/2016 FREDRIKSON & BYRON, P.A. INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS, MN 55402

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name
(Signature
(Date

APPLICATION NO. FILING DATE			FIRST NAMED INVENTOR		FORNEY DOCKET NO.	CONFIRMATION NO.	
12/808,467	06/16/2010	-	Stephen E. Hidem	-	56782.1.7.2	3733	
TITLE OF INVENTION OF USE	N: INFUSION SYSTEMS	S INCLUDING COMPU	TER-FACILITATED MAI	NTENANCE AND/OF	COPERATION AND M	ETHODS	
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FER	E TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	07/20/2016	
EXAN	AINER	ART UNIT	CLASS-SUBCLASS				
DORNA,	CARRIE R	3735	600-004000				
1. Change of correspond CER 1.363)	ence address or indicatio	n of "Fee Address" (37	2. For printing on the p	atent front page, list	1		
Change of corresp	ondence address (or Cha	nge of Correspondence	(1) The names of up to or agents OR, alternativ	3 registered patent atto ely,	orneys <sup>1</sup>		
The Address form P10/S "Fee Address" inc PTO/SB/47; Rev 03- Number is required	B/122) attached. lication (or "Fee Address 02 or more recent) attach	" Indication form ed. Use of a Customer	(2) The name of a singl registered attorney or a 2 registered patent attor listed, no name will be	e firm (having as a mer gent) and the names of meys or agents. If no na printed.	nber a 2 Sup to ame is 3		
3. ASSIGNEE NAME A	AND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or typ	e)			
PLEASE NOTE: Un recordation as set for	less an assignee is ident th in 37 CFR 3.11. Com	ified below, no assignee pletion of this form is NO	data will appear on the pa T a substitute for filing an	ttent. If an assignee is assignment.	identified below, the d	ocument has been filed for	
(A) NAME OF ASSI	GNEE		(B) RESIDENCE: (CITY	and STATE OR COUL	NTRY)		
Please check the appropriate	riate assignee category or	categories (will not be pr	rinted on the patent) :	Individual 🖵 Corpor	ation or other private gro	oup entity 🔲 Government	
4a. The following fee(s)	are submitted:	41	o. Payment of Fee(s): (Plea	se first reapply any pi	reviously paid issue fee	shown above)	
Issue Fee			A check is enclosed.				
Publication Fee (1	No small entity discount p	permitted)	□ Payment by credit card. Form PTO-2038 is attached. The director is berefy authorized to charge the required fee(s) any deficiency, or credits any				
Advance Order -			overpayment, to Depo	sit Account Number	(enclose a	n extra copy of this form).	
5. Change in Entity Sta	tus (from status indicate	d above)					
Applicant certifyi	ng micro entity status. Se	e 37 CFR 1.29	NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue				
Applicant assertin	ng small entity status. See	37 CFR 1.27	<u>NOTE:</u> If the application to be a notification of loss	was previously under n	nicro entity status, check	ing this box will be taken	
Applicant changing to regular undiscounted fee status.					otification of loss of enti	tlement to small or micro	
NOTE: This form must	be signed in accordance v	vith 37 CFR 1.31 and 1.33	3. See 37 CFR 1.4 for signa	ture requirements and	certifications.		
Authorized Signature				Data			
Autorized Signature				Date			
Typed or printed nam	ne			Registration No			

#### Page 2 of 3

**14606 2987** (10-13) Approved for use through 10/31/2013.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	ited States Pate	NT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and ' Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 113-1450	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733	
22859 75	90 04/20/2016		EXAM	IINER	
FREDRIKSON &	& BYRON, P.A. PROPERTY GROUP		DORNA, CARRIE R		
200 SOUTH SIXT	H STREET, SUITE 40	00	ART UNIT	PAPER NUMBER	
MINNEAPOLIS, N	MN 55402		3735		
			DATE MAILED: 04/20/201	6	

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	)
Notice of Allowability	Examiner CARRIE R. DORNA	<b>Art Unit</b> 3735	AIA (First Inventor to File) Status No
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	ears on the cover sheet with the co (OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to and MPEP 1308.	prrespondence blication. If not will be mailed withdrawal fro	e <i>address</i> included in due course. <b>THIS</b> om issue at the initiative
<ol> <li>Image: Market Annual Strategy and Strategy</li></ol>	<u>6 April 2016</u> . /were filed on		
<ol> <li>An election was made by the applicant in response to a rest requirement and election have been incorporated into this ac</li> </ol>	riction requirement set forth during th	ne interview on	; the restriction
3. ☑ The allowed claim(s) is/are <u>1-5,37-48 and 51-55</u> . As a result Prosecution Highway program at a participating intellectua please see <u>http://www.uspto.gov/patents/init_events/pph/ind</u>	t of the allowed claim(s), you may be I property office for the correspondin <u>ex.isp</u> or send an inquiry to <u>PPHfeec</u>	eligible to ben g application. I back@uspto.c	efit from the <b>Patent</b> For more information, 20V.
4. 🗌 Acknowledgment is made of a claim for foreign priority unde	r 35 U.S.C. § 119(a)-(d) or (f).		
Certified copies:			
a) 🔲 All b) 🗌 Some *c) 🔲 None of the:			
1. 🔲 Certified copies of the priority documents have	been received.		
2. 🔲 Certified copies of the priority documents have	been received in Application No.		
3. 🔲 Copies of the certified copies of the priority do	cuments have been received in this r	national stage a	application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" of noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file a reply o ENT of this application.	complying with	the requirements
5. 🔲 CORRECTED DRAWINGS ( as "replacement sheets") must	be submitted.		
including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the O	ffice action of	
Identifying indicia such as the application number (see 37 CFR 1, each sheet. Replacement sheet(s) should be labeled as such in the	.84(c)) should be written on the drawin ne header according to 37 CFR 1.121(c	gs in the front ( I).	(not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC	IOLOGICAL MATERIAL must be sub R THE DEPOSIT OF BIOLOGICAL	omitted. Note t MATERIAL.	he
1. Notice of References Cited (PTO-892)	5. 🗌 Examiner's Amendr	nent/Comment	
2.  Information Disclosure Statements (PTO/SB/08),	6. 🛛 Examiner's Stateme	ent of Reasons	for Allowance
<ul> <li>Paper No./Mail Date</li> <li>3. Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	7. 🔲 Other		
4. Interview Summary (PTO-413), Paper No./Mail Date			
/CARRIE R DORNA/ Examiner, Art Unit 3735	/Charles A. Marmor, II, Supervisory Patent Exa	/ aminer, Art U	nit 3735
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20160411	Notice of Allowability	Part of	Paper No./Mail Date

## **DETAILED ACTION**

## Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

## Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 6 April 2016 has been entered.

## **Response to Arguments**

3. Applicant's arguments, see pages 13-15, filed 6 April 2016, with respect to the rejections of claim 1 and its dependents under 35 U.S.C. 103 (pre-AIA) citing at least de Kemp, Fago, and Williams et al. have been fully considered and are persuasive in light of the amendments to the claims. The rejections of 6 October 2015 have been withdrawn.

## Allowable Subject Matter

4. Claims 1-5, 37-48, and 51-55 are allowed.

5. The following is an examiner's statement of reasons for allowance:

No prior art of record teach and/or fairly suggest a system for generating and infusing radiopharmaceuticals required by claim 1, comprising: a computer configured to

Application/Control Number: 12/808,467 Art Unit: 3735

execute a breakthrough test by controlling the system to activate the pump to fill a test vial with an eluate sample, display a time lapse indicating the time passed since cessation of the pump, receiving a first activity measurement of the sample and the time elapsed since completion of the elution and the first activity measurement, receiving a second activity measurement at a later time, and determining a result of the breakthrough test using the received activity measurements and time lapsed, within the context of the remainder of claim 1.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CARRIE R. DORNA whose telephone number is (571)270-7483. The examiner can normally be reached on Monday - Friday from 8 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Marmor, II can be reached on (571) 272-4730. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Charles A. Marmor, II/ Supervisory Patent Examiner Art Unit 3735

/C. R. D./ Examiner, Art Unit 3735

## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S2	273	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/08/14 10:57
S3	3	(("20070213848") or ("20080237502") or ("20070260213")).PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/14 11:17
S4	2	(time with elaps\$3 with elut\$4) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:51
S5	107	(time with elaps\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:52
S6	0	(display\$4 with time with elaps\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:53
S7	3	(display\$4 same (time with elaps\$3)) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:53
S8	2	(display\$4 same (time with (idl\$3 or compet\$))) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:16
S9	2	S4 (time with elaps\$3)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:21
S10	273	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2	US- PGPUB; USPAT; USOCR;	OR	ON	2015/08/14 13:21

		zodda)).in.	EPO; JPO; DERWENT			
S11	1	S10 (time with elaps\$3)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:21
S12	275	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/09/28 13:01
S13	191	(bracco near2 diagnostics).as.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/09/28 13:03
S14	2	("3543752"   "3861380").PN.	US- PGPUB; USPAT	AND	ON	2015/09/28 13:16
S15	4	("3535085"   "4160910"   "4759345"   "6639237").PN.	US- PGPUB; USPAT	AND	ON	2015/09/28 13:16
S16	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 13:21
S17	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 15:51
S18	118	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 16:45
S19	1899	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 17:04
S20	407	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:22
S21	0	(S17 or S18 or S19 or S20) (display\$4 same (time with (idl\$3 or compet\$))) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR;	AND	ON	2015/09/28 18:55

			EPO; JPO; DERWENT			
S22	31	(S17 or S18 or S19 or S20) (display\$4 same tim\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:56
S23	7	(("20070140958") or ("7966068") or ("20060015056") or ("4585941") or ("20050187515") or ("5395320") or ("20030139640")).PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/09/28 20:33
S24	1	("7996068").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/09/28 20:59
S25	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S26	118	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S27	1899	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S28	407	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S29	32	(S25 or S26 or S27 or S28) (display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
S30	33	("4202345").PN. OR ("4562829").URPN.	US- PGPUB; USPAT; USOCR	AND	ON	2015/09/28 21:26
S31	1	((display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 detect\$4).clm.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:32
S33	3371	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR;	AND	ON	2016/04/11 10:03

			EPO; JPO; DERWENT			
S34	160	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 10:07
S35	2221	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 10:08
S36	427	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 10:14
S37	3371	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S38	160	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S39	2221	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S40	427	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S41	38	(S37 or S38 or S39 or S40) (display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer)	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:35
S42	20	(S37 or S38 or S39 or S40) (display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 11:51
S43	3371	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US- PGPUB; USPAT; USOCR;	AND	ON	2016/04/11 13:09

			EPO; JPO; DERWENT			
S44	160	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S45	2221	A61M5/007.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S46	427	G21G4/08.cpc.	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S47	21	(S43 or S44 or S45 or S46) ((display\$4 with tim\$3) or (tim\$3 with count\$3) or (tim\$3 with elaps\$3)) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through	US- PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2016/04/11 13:09
S48	135	("20020129471"   "20030004463"   "20030139640"   "20040054319"   "20040104160"   "20040260143"   "20050187515"   "20050277833"   "20050278066"   "2006015056"   "20060151048"   "20060173419"   "2007080223"   "20070140958"   "20070213848"   "20070232980"   "20070260213"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080177126"   "20080191148"   "20080237502"   "20080191148"   "20090312630"   "20090312635"   "20100030009"   "20100312039"   "20110071392"   "20110172524"   "20120398671"   "20120305730"   "20120310031"   "20120312980"   "20120310031"   "20140084187"   "20140175959"   "3483867"   "3535085"   "3543752"   "3565376"   "3710118"   "3714429"   "3774036"   "3847138"   "3861380"   "3991960"   "3997784"   4096859"   "4160910"   "4212303"   4286169"   "4336036"   "4466888"   4562829"   "4655118"   "4656697"   4674403"   "4679142"   "4755679"   "4759345"   "4679142"   "4755679"   "4759345"   "4769008"   "4853546"   "4994056"   "5039863"   "5254328"   "5258906"   "5274239"   "5395320"   "5475232"   "5485831"   "5590648"   "5702115"   "5739508"   "5765842"   "5827429"   "5840026"   "5885216"   "6157036"	US- PGPUB; USPAT; USOCR	AND	ON	2016/04/11 13:26

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## EAST Search History (Interference)

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S32	0	((display\$4 with tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 detect\$4).clm.	US- PGPUB; USPAT	AND	ON	2015/09/28 21:32
S49	0	((display\$4 with tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 breatk\$1through).clm.	US- PGPUB; USPAT	AND	ON	2016/04/11 13:03
S54	5	((((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through).clm.	US- PGPUB; USPAT	AND	ON	2016/04/11 13:04
S55	3	((((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) break\$1through tim\$3).clm.	US- PGPUB; USPAT	AND	ON	2016/04/11 13:05
S56	131	<pre>("20020129471"   "20030004463"   "20030139640"   "20040054319"   "20040104160"   "20040260143"   "20050187515"   "20050277833"   "20050278066"   "20060015056"   "20060151048"   "20060173419"   "20070080223"   "20070140958"   "20070213848"   "20070232980"   "20070260213"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080177126"   "20080166292"   "20080177126"   "20080242915"   "20080237502"   "20080242915"   "20090312630"   "20090312635"   "20100030009"   "20100312039"   "20110071392"   "20110172524"   "20110178359"   "20120305730"   "20120310031"   "20120312980"   "20130300109"   "20140084187"   "20140175959"   "3483867"   "3710118"   "3714429"   "3774036"  </pre>	US- PGPUB; USPAT	AND	ON	2016/04/11 13:05

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R DORNA	3735

CPC					
Symbol				Туре	Version
G21G	4	08	F	2	2013-01-01
A61N	5	1001	1	2	2013-01-01
A61N	2005	1021	A	2	2013-01-01
A61B	90	39	1	2	2016-02-01
A61M	5	14	1	2	2013-01-01
G21F	7	00	1	2	2013-01-01
G21G	1	0005	1	2	2013-01-01
A61B	6	507	A	2	2013-01-01
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A61B	6	481	1	2	2013-01-01
A61M	5	007	1	2	2013-01-01
A61M	5	142	1	2	2013-01-01
G21F	3	00	1	2	2013-01-01
A61K	51	00		2	2013-01-01
A61B	6	037	A	2	2013-01-01
A61B	2090	392	A	2	2016-02-01

CPC Combination Sets											
Symbol	Туре	Set	Ranking	Version							

/CARRIE R DORNA/ Examiner.Art Unit 3735	04/11/2016	Total Claims Allowed: 22					
(Assistant Examiner)	(Date)						
/CHARLES A MARMOR II/ Supervisory Patent Examiner.Art Unit 3735	04/15/2016	O.G. Print Claim(s)	O.G. Print Figure				
(Primary Examiner)	(Date)	1 1A					
U.S. Patent and Trademark Office	Part of Paper No. 201604						

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R DORNA	3735

	US ORIGINAL CLASSIFICATION							INTERNATIONAL CLASSIFICATION							
	CLASS		ę	SUBCLASS			CLAIMED NON-CLAIMED						CLAIMED		
				G	2	1	G	4 / 08 (2006.01.01)							
CROSS REFERENCE(S)															
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										

/CARRIE R DORNA/ Examiner.Art Unit 3735	04/11/2016	Total Claims Allowed:				
(Assistant Examiner)	(Date)	22				
/CHARLES A MARMOR II/ Supervisory Patent Examiner.Art Unit 3735	04/15/2016	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	1A			
U.S. Patent and Trademark Office Part of Pap						

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	12808467	HIDEM ET AL.
	Franciscov	
	Examiner	Art Unit
	CARRIE R DORNA	3735

	Claims renumbered in the same order as presented by application								CP	<b>A</b> [	] T.D.	[	] R.1.4	47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
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/CARRIE R DORNA/ Examiner.Art Unit 3735	04/11/2016	5 Total Claims Allowed:				
(Assistant Examiner)	(Date)	22				
/CHARLES A MARMOR II/ Supervisory Patent Examiner.Art Unit 3735	04/15/2016	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1 1A				
U.S. Patent and Trademark Office Part of Pape						

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R. DORNA	3735

CPC- SEARCHED				
Symbol	Date	Examiner		
A61N5/1002, 1007	8/5/14	EF		
A61N 2005/1021	8/5/14	EF		
A61N 5/1001, 1002, 1007, 1014-1017, 1027, 1028	9/2015	CD		
A61N 2005/1021	9/2015	CD		
A61M 5/007	9/2015	CD		
G21G 4/08	9/2015	CD		
A61N 5/1001, 1002, 1007, 1014-1017, 1027, 1028 updated	4/2016	CD		
A61N 2005/1021 updated	4/2016	CD		
A61M 5/007 updated	4/2016	CD		
G21G 4/08 updated	4/2016	CD		

CPC COMBINATION SETS - SEARCHED			
Symbol	Date	Examiner	

US CLASSIFICATION SEARCHED				
Class	Subclass	Date	Examiner	
600	1-8, 301, 431	7/9/12-7/26/12	EF	
604	48, 65-67, 218, 236, 526	7/9/12-7/26/12	EF	

SEARCH NOTES				
Search Notes	Date	Examiner		
Limited class/subclass searches with test	7/9/12-7/26/12	EF		
Text searching in EAST (see Search History)	7/9/12-7/26/12	EF		
Inventor name search in EAST	7/9/12-7/26/12	EF		
Assignee name search in EAST	7/9/12-7/26/12	EF		
Forward/backward searches of relevant art in EAST	7/9/12-7/26/12	EF		
Updated class/subclass searches	11/27/12-	EF		
	11/28/12			
Additional text searching and forward/backward searches in EAST	11/27/12-	EF		
	11/28/12			

## SEARCH NOTES

Search Notes	Date	Examiner
Updated class/subclass searches and additional text searching in EAST	8/13-9/17/13	EF
Updated class/subclass searches	4/25/14	EF
Updated class/subclass searches and text searching in EAST for AFCP	8/5/14	EF
2.0 submission		
Updated class/subclass searches	11/17/14	EF
Updated class/subclass searches	4/16/15	EH
see EAST search report	9/2015	CD
EAST: inventor name search, assignee search	9/2015	CD
see updated EAST search report	4/2016	CD

# INTERFERENCE SEARCH

US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
	see EAST search report	9/2015	CD
	see updated EAST search report	4/2016	CD

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REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)							
Application Number	12/808,467	Filing Date	2010-06-16	Docket Number (if applicable)	56782.1.7.2	Art Unit	3735
First Named Inventor	Stephen E. Hide	m		Examiner Name	Carrie R. Dorna		
This is a Req Request for Co 1995, to any ir Instruction Sho	uest for Continu ontinued Examina iternational appli- eet for this form is	ed Examinal ation (RCE) p cation that do s located at W	tion (RCE) under 3 ractice under 37 CF es not comply with WW.USPTO.GOV.	7 CFR 1.114 of the FR 1.114 does not ap the requirements of 3	above-identified application oply to any utility or plant appli 35 U.S.C. 371, or to any desig	cation filed n applicatio	prior to June 8, on. The
		SL	JBMISSION REQ	UIRED UNDER 37	' CFR 1.114		
Note: If the RC in which they entered, applic	CE is proper, any vere filed unless ant must reques	previously file applicant inst t non-entry of	ed unentered amend ructs otherwise. If a such amendment(s	dments and amendm pplicant does not wis s).	nents enclosed with the RCE v sh to have any previously filed	vill be ente unenterec	red in the order I amendment(s)
Previously submissio	r submitted. If a fi n even if this box	nal Office act is not checke	ion is outstanding, a ed.	any amendments file	d after the final Office action n	nay be con	sidered as a
Con	nsider the argum	ents in the Ap	peal Brief or Reply	Brief previously filed	on		
🗌 Otr	ег						
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🗌 Info	ormation Disclosu	re Statement	: (IDS)				
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🗌 Oti	Other						
MISCELLANEOUS							
Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)							
Other							
FEES							
The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.         Image: The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No         061910							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED							
× Patent	Practitioner Sign	ature					
Applicant Signature							

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Signature of Registered U.S. Patent Practitioner				
Signature	'Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2016-04-06	
Name	Paul J. LaVanway, Jr.	Registration Number	64610	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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PATENT Attorney Docket No.: 56782.1.7.2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	Carrie R. Dorna
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/C	CLUDING COMP R OPERATION A	PUTER-FACILITATED

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

22859

Customer Number

#### AMENDMENT AND REQEUST FOR CONTINUED EXAMINATION

Dear Commissioner:

In response to the outstanding Final Office Action, please amend the application as follows. This Amendment is accompanied by a Request for Continued Examination under 37 C.F.R. § 1.114 and constitutes a sufficient submission.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

## AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions and listings of claims in the application.

## **Listing of Claims:**

1. (Currently Amended) A system that generates and infuses radiopharmaceuticals comprising: an eluant reservoir, a pump coupled to the reservoir, an infusion tubing circuit, a radioisotope generator, an activity detector, a waste bottle, a computer, and a computer interface;

wherein the infusion tubing circuit <u>includes</u> including an eluant line coupled to the pump and to the generator, and an eluate line coupled to the generator, to the activity detector and to the waste bottle, the eluate line being positioned to pass over the activity detector; and

the computer <u>is communicatively</u> being coupled to the computer interface, to the pump, and to the activity detector, and

the computer is configured to receive a command via the computer interface to deliver eluate during a patient infusion procedure and, in response to receiving the command, control the pump to pump eluant through the generator, thereby generating eluate that is infused into a patient; and

the computer is further configured to receive a command via the computer interface to execute a breakthrough test and, in response to receiving the command, control the system by at least: being pre-programmed to execute a method the method comprising:

activating the pump to pump a volume of eluant from the reservoir, through the eluant line and through the generator, <u>thereby providing a sample of</u> in order to generate a sample <u>eluate sized to fill a test vial for breakthrough testing</u> or a dose of eluate in the eluate line, via elution within the generator, each sample being intended for a quality control measurement, and each dose being intended for diagnostic imaging;

providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator; and

upon stopping the pump and thereby completing elution, displaying, via the computer interface, a time lapse <u>indicating an amount of time passed</u> since each elution was completed,

receiving, via the computer interface, a first activity measurement of the sample and the time lapse corresponding to the amount of time passed since elution was completed and the first activity was measured.

receiving, via the computer interface, a second activity measurement of the sample, where the second activity measurement is taken at a time later than the first activity measurement, and

determining a breakthrough test result using the first activity measurement, the time lapse received via the computer interface, and the second activity measurement.

2. (Currently Amended) The system of claim 1, wherein the <u>computer is further configured</u> to execute the breakthrough test by pre-programmed method further comprises:

counting elutions per unit time; categorizing each counted elution as having been generated as a sample or a dose; and maintaining a record of the counted and categorized elutions.

3. (Currently Amended) The system of claim 2, wherein the <u>computer is further configured</u> to execute the breakthrough test by pre-programmed method of the computer further comprises receiving a detected activity level of the eluate for <u>the each dose and sample</u>, from the activity detector, and entering the detected activity level for each elution into the record.

4. (Currently Amended) The system of claim 3, wherein the <u>computer is further configured</u> to execute the breakthrough test by pre-programmed method of the computer further comprises compiling a report that contains the record, the report being formatted for printing and/or for electronic transfer to another system.

5. (Currently Amended) The system of claim 1, wherein the <u>computer is further configured</u> to pre-programmed method further comprises:

maintaining maintain a record of elutions that categorizes each elution of the generator as having been generated for the patient infusion procedure or the breakthrough test a sample or a dose;

receiving receive a detected activity level of the eluate for each <u>elution</u> dose and sample, from the activity detector, and <u>enter</u> entering the detected activity level for each elution into the record;

calculating and tracking <u>calculate and track</u> an amount of activity left in the generator after each elution, over a life of the generator; and

compiling compile a report that includes an amount of activity left in the generator after a final elution at an end of the life of the generator.

## 6-36. (Canceled)

37. (Currently Amended) The system of claim 1, <u>wherein the computer is further configured</u> to execute the breakthrough test by further characterized in that the pre-programmed method of the computer further comprises the steps of:

- providing, via the computer interface, a first set of breakthrough test data entry fields for a user of the system to enter <u>the first activity measurement and the</u> a first activity measure of a sample of eluate and the corresponding time lapse since the elution was completed to a time of the first activity measure, when the activated pumping generates the sample of eluate for collection in a shielded test vial; and
- providing, via the computer interface, a second set of breakthrough test data entry fields for a user of the system to enter <u>the second activity measurement</u> <del>a second activity measure of the sample after a predetermined time lapse since the elution was completed to the time of a second activity measure; and</del>
- calculating a breakthrough of the generator using the first and second activity measures and the time lapse entered by the user.

38. (Currently Amended) The system of claim 1, wherein the computer is further configured to execute a calibration test by at least further characterized in that the pre-programmed method of the computer further comprises the steps of:

providing, via the computer interface, calibration data entry fields for a user of the system to enter an activity measure of a sample of eluate and the corresponding time lapse since the elution was completed to a time of the activity measure, when the activated pumping generates the sample of eluate for collection in a shielded test vial; and

- calculating a calibration coefficient of the infusion system using a detected activity, received from the activity detector, and the activity measure received via the computer interface, and the time lapse entered by the user.
- 39. (Currently Amended) The system of claim 1, wherein the <u>computer is further configured</u> to pre-programmed method of the computer further comprises the steps of:
  - providing provide, via the computer interface, a patient identification entry field for a user to enter a patient identification number;
  - receiving receive a detected activity level of a dose of eluate from the activity detector, during the patient infusion procedure when the activated pumping generates the dose for diagnostic imaging; and
  - compiling <u>compile</u> a report that includes an identification number for the generated dose and the detected activity level.
- 40. (Currently Amended) The system of claim 1, <u>wherein:</u> further characterized in that: the system further comprises a light projector <u>communicatively</u> coupled to the computer; and the <u>pre-programmed method of the</u> computer <u>is</u> further <u>configured to control the light</u> <u>projector to emit</u> <del>comprises the step of providing</del> a light signal from the light projector when the pump is activated to pump <del>each volume of</del> eluant through the generator.
- 41. (Currently Amended) The system of claim 1, <u>wherein</u>: further characterized in that: the system further comprises a light projector <u>communicatively</u> coupled to the computer; and the <u>pre-programmed method of the</u> computer <u>is</u> further <u>configured to</u> <del>comprises the steps of</del>: diverting <u>divert</u> an initial volume of eluate to the waste bottle, based upon input from the activity detector, before <u>activating the pump during</u> the activated pumping generates the sample or the dose the patient infusion procedure and the <u>breakthrough test</u>; and

providing provide a first light signal from the light projector, when the initial volume of eluate is being diverted; and

providing provide a second light signal from the light projector, <u>after activating the pump to</u> <u>generate</u> when the activated pumping is generating the sample or the dose of eluate, the second light signal being different from the first light signal.

- 42. (Currently Amended) The system of claim 1, <u>wherein further characterized in that</u>: the system further comprises a light projector <u>communicatively</u> coupled to the computer; and the <u>computer is further configured to control the light projector to provide pre-programmed</u> method further comprises providing a light signal when the activity detector detects a peak bolus of radioactivity in the eluate line.
- 43. (Currently Amended) The system of claim 1, wherein the <u>computer is further configured</u> to pre-programmed method of the computer further comprises the steps of:
  - diverting divert an initial volume of eluate to the waste bottle, based upon input from the activity detector, during the patient infusion and breakthrough test before the activated pumping generates the sample or the dose;

tracking track the initial volume of eluate diverted to the waste bottle; and providing provide an indication when the waste bottle needs to be emptied based upon the tracking.

44. (Previously Presented) The system of claim 1, wherein the computer interface comprises a touch-activated display screen.

45. (Currently Amended) The system of claim 1, further comprising at least one sensor for detecting a leak in the tubing circuit, the computer being coupled to the at least one sensor; and

wherein the pre-programmed method of the computer is further configured to comprises the step of providing provide an indication, via the computer interface, when that a leak in the tubing circuit is detected.

46. (Currently Amended) The system of claim 1, <u>wherein the computer is further configured</u>, <u>during the patient infusion procedure, to further characterized in that the pre-programmed</u> <u>method of the computer further comprises the steps of</u>: calculating <u>calculate</u> a flow rate profile, that corresponds to a desired activity profile, using a baseline activity profile, the baseline activity profile being comprised of a plurality of detected activity levels, received from the activity detector, of a sample of eluate, the sample of eluate being generated by the activated pump pumping the volume of eluant through the generator at a constant flow rate; and

controlling <u>control</u> a speed of the pump, according to the calculated flow rate profile, when pumping another volume of eluant through the generator, in order to generate a dose of eluate in the eluate line, the speed being controlled according to the calculated flow rate profile in order to achieve a desired activity profile of the dose of eluate for diagnostic imaging.

47. (Currently Amended) The system of claim 1, wherein the <u>computer is further configured</u> to transfer pre-programmed method further comprises transferring to another system, for analysis, a plurality of detected activity levels, received from the activity detector<del>, over time, for</del> each dose of eluate.

48. (Currently Amended) The system of claim 2, wherein the <u>computer is further configured</u> to compile pre-programmed method of the computer further comprises compiling a report that contains the record, the report being formatted for printing and/or for electronic transfer to another system.

## 49-50. (Canceled)

51. (Previously Presented) The system of claim 1, wherein displaying the time lapse since each elution was completed comprises displaying a timer counting up or down since each elution was completed.

52. (New) The system of claim 1, wherein receiving the first activity measurement comprises receiving the first activity measurement from a dose calibrator, and receiving the second activity measurement comprises receiving the second activity measurement from the dose calibrator.

53. (New) The system of claim 52, wherein the dose calibrator is electronically coupled to the computer.

54. (New) The system of claim 1, wherein the time later than the first activity measurement is a predetermined time.

55. (New) The system of claim 1, wherein the predetermined time is 60 minutes.

#### <u>REMARKS</u>

This Amendment is responsive to the outstanding Final Office Action. Applicant has amended claims 1–5, 37–43, and 45–58, canceled claims 6, 7, 36, 49, and 50, and added new claims 52–55. No new matter has been added by way of the amendments, and support for the amendments can be found throughout Applicant's original disclosure including, e.g., at paragraphs [0062] and [0071]–[0075], FIGS. 7A–7C, and original claim 37. Claims 1–5, 37–48, and 51–55 will be pending upon entry of this Amendment. Reconsideration of the application is respectfully requested.

#### **Interview Summary**

Applicant thanks the Examiner for her time and the courtesies extended during the telephonic interview conducted on December 2, 2015. Examiner Carrie Dorna and Applicant's representative Paul J. LaVanway, Jr. (Reg. No. 64,610) were involved in the telephonic interview. The parties discussed rejected independent claim 1. The parties also discussed deKemp et al. (US 2007/0213848, hereinafter "deKemp '848"), Fago (US 2008/0237502), and Williams et al. (US 2007/0260213, hereinafter "Williams"), which were previously cited by the Patent Office. No exhibits were introduced or discussed.

Applicant's representative began the discussion by providing a background overview of the underlying technology and shared example real-world applications and benefits achievable with the claimed technology. For example, Applicant's representative discussed how the claimed technology may be used to enhance the accuracy and reliability of quality control measurements made prior to conducting patient infusion procedures. Applicant's representative continued the discussion by noting distinctions between independent claim 1 and the applied references.

For example, Applicant's representative discussed how the applied references do not disclose or suggest a system that includes a computer pre-programmed to execute a method that includes "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator." Applicant's representative noted how this feature, which was acknowledged to be missing from the deKemp '848 reference, could not be taught or suggested by Fago and Williams. In

particular, Applicant's representative noted how the portions of Fago relied upon to support the rejection do not even have a pump, much less provide any indication related to a pump.

In addition, Applicant's representative explained why Williams cannot be characterized as teaching a "display of time lapse since each elution." Applicant's representative pointed out how Williams does not even describe a radioisotope generator that generates eluate via elution, much less a "display of time lapse since each elution."

Although the Examiner indicated that she appreciated the background information Applicant's representative provided and also understood the substantive comments shared, the Examiner stated that she felt the Final Office Action adequately supported the rejection of the claims. The Examiner commented that, in her opinion, the claim feature of displaying a time lapse since elution was completed could be read broadly. In this regard, the Examiner indicated she thought the applied references could be read broader than Applicant was reading the references and this breadth of interpretation was leading to the discrepancy between the Applicant's reading of the references and the Examiner's interpretation of the references.

While no specific claim amendments were discussed, the Examiner suggested that Applicant amend the independent claim to tighten up the structure and function described by Applicant's representative during the background discussion on embodiments of the technology. Applicant's representative agreed to take the Examiner's suggestion under consideration. Despite productive discussion, no agreement on allowability was reached during the telephone interview.

#### Claim Rejections Under 35 U.S.C. § 103(a)

In the Office Action, previously-presented claims 1, 43 and 51 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over deKemp et al. (US 2007/0213848, hereinafter "deKemp '848") in view of Fago (US 2008/0237502) and Williams et al. (US 2007/0260213, hereinafter "Williams"). In addition, previously-presented claims 2–5, 37–42 and 44–48 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over deKemp '848 in view of Fago and Williams and further in view of additional cited references.

Applicant respectfully traverses the rejections, particularly to the extent the rejections can be considered applicable to the claims as amended. The applied references do not disclose or suggest the features of the claims, and there would have been no apparent reason for

modification to arrive at the claimed features. For example, the applied references do not disclose or suggest the features of amended independent claim 1.

In support of the rejection of previously-presented independent claim 1, the Office Action cited paragraphs [0025] through [0032] of the de Kemp '848 reference as allegedly disclosing a system that includes an eluant reservoir, a pump, an infusion tubing circuit, a radioisotope generator, a computer, and a user interface. Although the Office Action conceded that the de Kemp '848 reference does not disclose "the use of a computer to control the modes of operation of the system, providing an indication that the elution is complete, or displaying, via the computer interface, a time lapse since each elution was completed," the Office Action cited Fago and Williams in an attempt to overcome these deficiencies. In particular, the Office Action cited paragraph [0034] of Fago as teaching that the volume and/or time associated with each elution process may be tracked and displayed to enable a user to estimate when the generator will be ready for another elution process. The Office Action alleged then that it would have been obvious to modify the method of controlling the elution system in the de Kemp '848 reference to include the displayed volume and time calculations of Fago. In addition, the Office Action cited paragraphs [0025] and [0045] of Williams as disclosing displaying elapsed time information related to the dispensing of media. The Office Action took the position that it would have been obvious to further modify the technique of the de Kemp '848 reference in view of Fago to display a time lapse since each elution according to Williams.

As discussed in Applicant's prior remarks, Applicant respectfully disagrees that deKemp '848 in view of Fago and Williams renders the features of previously-presented independent claim 1 obvious. For example, the combination of references does not provide a method that involves "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator," as recited by the previously-presented claim. The Office Action acknowledged that deKemp '848 does not disclose the feature but cited Fago in an attempt to overcome the acknowledged deficiency. In particular, the Office Action cited FIGS. 6 and 7 of Fago and related description in the reference as disclosing an electronic drop counter that counts drops passing through a drip chamber and is communicatively coupled to a display "for displaying metrics relating to the elution process." The Office Action alleged that this disclosure of Fago overcomes the acknowledged deficiencies of deKemp '848.

However, the embodiments of cited FIGS. 6 and 7 of Fago do not even have a pump, much less provide an indication related to a pump. Instead, Fago describes that the systems in cited FIGS. 6 and 7 utilize an eluate collection bottle that "begin[s] in an evacuated condition."<sup>1</sup> The reference continues to describe that the evacuated collection bottle causes "eluate residing in the generator 22 to begin filling the bottle," which correspondingly causes eluate from the eluate supply container 4 to "begin flowing into the generator 22."<sup>2</sup> In other words, the systems in cited FIGS. 6 and 7 of Fago do not have a pump but instead utilize a pressure differential to drive eluant flow.

Because Fago does not have a pump, Fago necessarily does not provide any indication "when a pump has completed pumping," as per claim 1. Since the Office Action relied on Fago to teach this feature of independent claim 1 and overcome the acknowledged deficiencies of deKemp '848, and since Fago does not in fact disclose the feature, the combination of deKemp '848 in view of Fago does not render the claim feature *prima facie* obvious.

As another example, Applicant respectfully disagrees that deKemp '848 in view of Fago and Williams renders the features of previously-presented independent claim 1 obvious because the combination of references does not disclose or suggest a system that execute a method that includes "displaying, via the computer interface, a time lapse since each elution was completed," as further recited by the claim. In support of the rejection of this claim feature, the Office Action conceded that neither deKemp '848 nor Fago disclose a computer pre-programmed to execute a method that includes "displaying, via the computer interface, a time lapse since each elution was completed." However, the Office Action cited Williams in an attempt to overcome this deficiency. Specifically, the Office Action rejected independent claim 1 based on the legal conclusion that it would have been obvious to modify the elution process of deKemp '848 and Fago "to display a time lapse <u>since each elution as taught by Williams</u>." Williams, though, does not even describe a radioisotope generator that generates eluate via elution, much less a "display of time lapse since each elution."

Williams is directed to an injection device for dispensing contrast media as part of a dispensing procedure.<sup>3</sup> For example, Williams describes that the injection device includes syringes that can be filled with contrast media, flushing media, or combinations thereof for

<sup>&</sup>lt;sup>1</sup> Fago at paragraph [0031].

 $<sup>^{2}</sup>$  See id.

<sup>&</sup>lt;sup>3</sup> See Williams at Abstract.

injection into a patient.<sup>4</sup> Nowhere, however, does Williams describe a radioisotope generator system or generating eluate via elution. Williams makes no mention of such a feature. Therefore, Williams necessarily cannot and does not teach displaying "a time lapse since each elution," as asserted in the Office Action.

While Applicant does not agree with the propriety of rejection of previously-presented independent claim 1, for at least the reasons discussed above, Applicant has amended the claim to advance prosecution and grant of the application. In particular, Applicant has amended the claim to specify that the claimed system includes a computer that is configured to both (1) "receive a command via the computer interface to deliver eluate during a patient infusion procedure and, in response to receiving the command, control the pump to pump eluant through the generator, thereby generating eluate that is infused into a patient" and (2) "receive a command via the computer interface to execute a breakthrough test and, in response to receiving the command, control the system." The amended claim further specifies that the computer is configured to execute the breakthrough test by, among other elements, (a) activating the pump to provide a sample of eluate sized to fill a test vial for breakthrough testing, (b) displaying a time lapse indicating an amount of time passes since elution was completed, (c) receiving a first activity measurement and time lapse corresponding to the amount of time passed since elution was completed and the first activity was measured, (d) receiving a second activity measurement taken at a time later than the first activity measurement, and (e) determining a breakthrough test result using the first activity measurement, the time lapse received via the computer interface, and the second activity measurement. Nothing in the applied references discloses or suggests the combination of features recited by amended independent claim 1.

For example, neither deKemp '848, Fago, nor Williams disclose or suggest an infusion system having a computer configured to <u>both</u> execute a patient infusion procedure <u>and</u> execute a breakthrough test, where the breakthrough test involves determining a breakthrough test result using a first activity measurement, a time lapse received via a computer interface, and a second activity measurement, as per amended independent claim 1. In deKemp '848, the only discussion of breakthrough is in paragraph [0055] of the reference. As described in that paragraph, however, the deKemp '848 system is not configured to receive a first activity measurement, a time lapse corresponding to an amount of time since elution was completed and

<sup>&</sup>lt;sup>4</sup> See id. at paragraph [0021].
the first activity was measured, and a second activity measurement taken at a time later than the first activity measurement, in each case via a computer interface. Nor is the deKemp '848 system configured to determine a breakthrough test result using this received data.

Rather, deKemp '848 proposes an entirely different solution that involves collecting generator performance data using a different system than the generator system to provide "stored generator performance data."<sup>5</sup> That is, deKemp '848 does not disclose an integrated infusion system that both executes patient infusion procedures and performs breakthrough testing, as per amended independent claim 1.

Moreover, the breakthrough analysis process described by deKemp '848 does not disclose or suggest the requirements of a breakthrough test according to amended independent claim 1. deKemp '848 does not describe a breakthrough test that involves receiving, via a computer interface, a first activity measurement and corresponding time lapse since completion of elution along with a second activity measurement taken at a later time than the first activity measurement. Rather, deKemp '848 describes a graphical analysis technique to detect <sup>82</sup>Sr breakthrough. According to deKemp '848, the technique involves curve comparison between a predicted <sup>82</sup>Rb decay curve and a curve formed through calibration data. As a result, deKemp '848 describes detecting breakthrough through curve deviation, not using a first activity measurement received via a computer interface, a corresponding time lapse received via the computer interface. None of the cited portions of Fago or Williams provide any disclosure concerning breakthrough. Accordingly, deKemp '848 in view of Fago and Williams does not render the features of amended independent claim 1 unpatentable.

It bears noting that the Office Action rejected the features of previously-presented claim 37 based on the assertion that it would have been obvious to modify the system of deKemp '848 in view of Fago and Williams in further view of deKemp '958 to include first and second breakthrough test data entry fields. The Office Action asserted that such a modification would have been obvious "in order to determine if breakthrough is less than a threshold for permissible levels." To the extent the characterization and application of the deKemp '958 reference can be considered applicable to the claims as amended, Applicant respectfully disagrees.

<sup>&</sup>lt;sup>5</sup> deKemp '848 at paragraph [0054].

Applicant respectfully submits that a person of ordinary skill in the art would not have been motivated to modify the system of deKemp '848 in view of Fago and Williams in further view of deKemp '958 to include breakthrough data entry fields via a computer interface of a computer that controls the infusion system. Neither deKemp '848 nor deKemp '958 (nor Fago or Williams) disclose or suggest configuring a computer that executes a patient infusion procedure to also execute a breakthrough test, including receiving data associated with such a test. Rather, as discussed above, deKemp '848 indicates using a different system than the infusion system to generate data that then is imported and stored as "stored generator performance data."

Moreover, a person of ordinary skill in the art would not have been motivated to modify the system deKemp '848 in view of Fago and Williams in further view of deKemp '958 to include breakthrough data entry fields because such a modification would having undermined a fundamental principal by which the deKemp '848 system operates. As discussed above, deKemp '848 describe a technique that utilizes graphical analysis of a predicted decay curve to predict breakthrough. Modifying the deKemp '848 system to provide breakthrough data entry fields, as proposed in the Office Action, would have no benefit or purpose given the divergent technique used by the system.

Additionally, Applicant wishes to point out that the cited portions of deKemp '958 do not, in fact, disclose or suggest any type of computer-implemented breakthrough testing, a computer that receives data related to breakthrough testing, or any type of data entry fields. Rather, the cited portions of deKemp '958 merely describe general background information on breakthrough that deKemp '958 alleges would be "understood by those skilled in the art." There is no disclosure or suggestion in deKemp '958 of an infusion system that can both execute a patient infusion procedure and perform breakthrough testing, much breakthrough testing according to the requirements of amended independent claim 1.

For at least the reasons given above, Applicant respectfully submits that the applied references do not disclose or suggest the features of amended independent claim 1. Claims 2–5, 37–48, and 51–55 depend from independent claim 1 and are therefore patentable at least by virtue of their dependency from the independent claim, as well as in their own right.

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#### **CONCLUSION**

It is submitted that all claims in this application are in condition for allowance. Applicant respectfully requests reconsideration and prompt allowance of all pending claims.

In view of the fundamental differences identified above, Applicant reserves further comment concerning the additional features set forth in the claims. However, Applicant does not acquiesce in the propriety of the Office Action's application or interpretation of the references with respect to the claims, and reserves the right to present additional arguments in any further prosecution of this application.

The Commissioner is authorized to charge any deficiencies and credit any overpayments to Deposit Account No. 06-1910. The Examiner is invited to telephone the undersigned attorney to discuss this application.

Dated: April 6, 2016

Respectfully submitted,

/Paul J. LaVanway, Jr./

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077

Paul J. LaVanway, Jr. Registration No. 64,610

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.

57712073\_1.DOC

Electronic Patent Application Fee Transmittal						
Application Number:	128	12808467				
Filing Date:	16-	Jun-2010				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE					
First Named Inventor/Applicant Name:	Stephen E. Hidem					
Filer:	Paul J. LaVanway Jr./Keisha Forsman					
Attorney Docket Number:	56	782.1.7.2				
Filed as Large Entity						
Filing Fees for U.S. National Stage under 35 USC 371						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	1253	1	1400	1400
Miscellaneous:				
RCE- 2nd and Subsequent Request	1820	1	1700	1700
	Tot	al in USD	) (\$)	3100

Electronic Acknowledgement Receipt				
EFS ID:	25398136			
Application Number:	12808467			
International Application Number:				
Confirmation Number:	3733			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE			
First Named Inventor/Applicant Name:	Stephen E. Hidem			
Customer Number:	22859			
Filer:	Paul J. LaVanway Jr./Keisha Forsman			
Filer Authorized By:	Paul J. LaVanway Jr.			
Attorney Docket Number:	56782.1.7.2			
Receipt Date:	06-APR-2016			
Filing Date:	16-JUN-2010			
Time Stamp:	15:29:55			
Application Type:	U.S. National Stage under 35 USC 371			

# Payment information:

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Payment Type	Credit Card				
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

## File Listing:

Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Extension of Time	56782172_Bracco_Extensionof	163657	no	2		
		l ime.par	ab8fcfcda2c61d9c85cab6734fd45e344be8 89e1				
Warnings:							
Information:							
2	Request for Continued Examination	56782172_Bracco_RCE.pdf	1350064	no	3		
	(RCE)		ecf80cb2e9dcfbe8a6518a16f64385bb897a 21fb				
Warnings:							
Information:							
3		56782172_Bracco_Responseto	147644	ves	16		
5		FOA.pdf	f53fd6652f0703bf4c97b9ab10ca27ad7252 28a1	,	10		
	Multip	part Description/PDF files in .	zip description				
	Document De	scription	Start	E	nd		
	Amendment Submitted/Entere	d with Filing of CPA/RCE	1		1		
	Claims	i	2		8		
	Applicant Arguments/Remarks	Made in an Amendment	9	1	6		
Warnings:							
Information:							
4	Fee Worksheet (SB06)	fee-info.ndf	32575	no	2		
·			4580a16cfe2dc96d97828f451a97a15f95ad 422b		-		
Warnings:							
Information:							
		Total Files Size (in bytes)	16	93940			

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/AIA/22 (03-13) Approved for use through 7/31/2016. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no	persons are rec	quired to respond to a collection	on of information unless Dock	it displays a valid OMB control number. et Number (Optional)	
PETITION FOR EXTENSION (	1 136(a) 567	EC700 1 7 0			
				02.1.7.2	
Application Number 12/808,467 Filed June 16, 20			16, 2010		
For INFUSION SYSTEMS INCLUDING COM	/PUTER-FAC	ILITATED MAINTENAN	CE AND/OR OPER	ATION AND METHODS OF USE	
Art Unit 3735		Examiner Ca	rrie R. Dor	na	
This is a request under the provisions of 37 CF	R 1.136(a) to a	extend the period for filing	a reply in the above	identified application.	
The requested extension and fee are as follows	s (check time p	period desired and enter th	ne appropriate fee be	low):	
	<u>Fee</u>	Small Entity Fee	Micro Entity Fee		
One month (37 CFR 1.17(a)(1))	\$200	\$100	\$50	\$	
Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	\$	
✓ Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	\$_1,400	
Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	\$	
Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$750	\$	
Applicant asserts small entity status. S	See 37 CFR 1.	27.			
Applicant cortifica micro ontity status	Sec 27 CEP 1	20			
Form PTO/SB/15A or B or equivalent must	either be enclos	ed or have been submitted pr	eviously.		
A check in the amount of the fee is en	iclosed.				
Payment by credit card. Form PTO-20	038 is attached	l.			
The Director has already been author	ized to charge	fees in this application to	a Deposit Account.		
The Director is hereby authorized to c Deposit Account Number 06-1910	harge any fees	s which may be required, o	or credit any overpay	ment, to	
Payment made via EFS-Web.					
WARNING: Information on this form may be credit card information and authorization or	ecome public. ∩ PTO-2038.	Credit card information	should not be incl	uded on this form. Provide	
l am the					
applicant.					
v attorney or agent of record.	Registration n	umber_64,610			
attorney or agent acting under 37 CFR 1.34. Registration number					
/Paul J. LaVanway, Jr./		April 6, 2	2016		
Signature			Da	te	
Paul J. Lavanway, Jr.		612-492	-/38/ Telephone	Number	
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below*.					
This collection of information is required by 37 CER 1	The submitted.	rmation is required to obtain o	or retain a benefit by the	public, which is to file (and by the	

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

		Under ti	ne Paperwork F	eduction Act of 1995,	no persons are requi	red to respond t	o a collection of informatio	on unless it displays a v	alid OMB control number.
	ATENT APPL	Substitute	for Form P	ERMINATION		Application 12	/808,467	06/16/2010	To be Mailed
	ENTITY: 🛛 LARGE 🗌 SMALL 🗍 MICRO								
	APPLICATION AS FILED - PART I								
			(Column 1	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), c	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), (	E or (q))	N/A		N/A		N/A		
TOT (37 (	AL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		
IND (37 (	EPENDENT CLAIM CFR 1.16(h))	S	mi	nus 3 = *			X \$ =		
	APPLICATION SIZE FEE (37 CFR 1.16(s)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFB 1.16(c)								
	MULTIPLE DEPEN	IDENT CLAIM I	PRESENT (3	7 CFR 1.16(j))					
*lft	he difference in colu	ımn 1 is less th	an zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)		<b>APPLICAT</b> (Column 2)	ION AS AMEN (Column 3	IDED – PA	RT II		
NТ	04/06/2016	CLAIMS REMAINING AFTER AMENDMEN	т	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIC	ONAL FEE (\$)
DME	Total (37 CFR 1.16(i))	* 22	Minus	** 38	= 0		x \$80 =		0
ENI	Independent (37 CFR 1.16(h))	* 1	Minus	***5	= 0		x \$420=		0
AM	Application Si	ze Fee (37 CFF	R 1.16(s))					_	
	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
		(Column 1)		(Column 2)	(Column 3	)	TOTAL ADD'L FE	E	0
Г		CLAIMS REMAINING AFTER AMENDMEN	à T	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIC	ONAL FEE (\$)
Ľ	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
NDN	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
NH N	Application Si	ze Fee (37 CFF	R 1.16(s))			—	<b> </b>		
A	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1. This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to									
proce	ss) an application. C	Confidentiality is	governed by	35 U.S.C. 122 and	d 37 CFR 1.14. Th	is collection is	estimated to take 12	minutes to complete	e, including gathering,

preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	<u>ed States Paten</u>	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER I P.O. Box 1450 Alexandria, Virginia 22 www.uspto.gov	TMENT OF COMMERCE Trademark Office FOR PATENTS 313-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733
22859 FREDRIKSON	7590 12/10/201 & BYRON, P.A.	EXAMINER		
200 SOUTH SI	AL PROPERTY GROU XTH STREET, SUITE	⊖P € 4000	DORNA, V	LAKKIE K
MINNEAPOLI	S, MN 55402		ART UNIT	PAPER NUMBER
			3735	
			NOTIFICATION DATE	DELIVERY MODE
			12/10/2015	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

	Application No.	Applicant(s)						
Applicant-Initiated Interview Summary	12/808,467	HIDEM ET AL.						
	Examiner	Art Unit						
	CARRIE R. DORNA	3735						
All participants (applicant, applicant's representative, PTO personnel):								
(1) <u>Carrie R. Dorna</u> .	(3)							
(2) <u>Paul LaVanway</u> .	(4)							
Date of Interview: 02 December 2015.								
Type: X Telephonic Video Conference Personal [copy given to: Applicant	applicant's representative]							
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	🖾 No.							
Issues Discussed 101 112 102 103 0th (For each of the checked box(es) above, please describe below the issue and deta	ers iled description of the discussion)							
Claim(s) discussed: <u>1</u> .								
Identification of prior art discussed: <u>US 2007/0213848 (de</u> <u>(Williams)</u> .	Kemp); US 2008/0237502 (Fag	<u>go); US 2007/0260213</u>						
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreemen reference or a portion thereof, claim interpretation, proposed amendments, argun	t was reached. Some topics may include: i ents of any applied references etc)	dentification or clarification of a						
<u>Applicant's representative presented the arguments with rethat were filed 23 July 2015. The examiner stated these arguments with response to the filed 23 July 2015. The examiner stated these arguments and visory Action of 19 August 2015 and maintained in the file suggested amending the language of claim 1 in a manner structure that the programming of the cited prior art would structural elements of claim 1 over that of the prior art may not reached. An updated search will be performed upon suggested applications that the programmer of the prior art may not reached.</u>	Applicant's representative presented the arguments with respect to the combination of deKemp, Fago, and Williams that were filed 23 July 2015. The examiner stated these arguments were not persuasive for the reasons noted in the Advisory Action of 19 August 2015 and maintained in the final Office action of 6 October 2015. The examiner suggested amending the language of claim 1 in a manner that requires the computer is programmed to perform functions that the programming of the cited prior art would not be capable of performing, and/or further defining the structural elements of claim 1 over that of the prior art may overcome the present rejections. A formal agreement was not reached. An updated search will be performed upon submission of formal claim amendments.							
<b>Applicant recordation instructions:</b> The formal written reply to the last section 713.04). If a reply to the last Office action has already been filed, thirty days from this interview date, or the mailing date of this interview su	Office action must include the substand applicant is given a non-extendable pe mmary form, whichever is later, to file	ce of the interview. (See MPEP riod of the longer of one month or a statement of the substance of the						
interview Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised. Attachment								
Examiner, Art Unit 3/35								
U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010) Interview	/ v Summary	Paper No. 20151204						

#### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

	ED STATES PATEN	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22. www.uspto.gov	TMENT OF COMMERCE Trademark Office 'OR PATENTS 313-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733
22859 FREDRIKSON	7590 10/06/201 & BYRON, P.A.	EXAMINER		
INTELLECTU 200 SOUTH SI	AL PROPERTY GRO IXTH STREET, SUITH	UP 5 4000	DORNA, O	CARRIE R
MINNEAPOLI	(S, MN 55402		ART UNIT	PAPER NUMBER
			3735	
			NOTIFICATION DATE	DELIVERY MODE
			10/06/2015	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

	<b>Application No.</b> 12/808,467	Applicant(s) HIDEM ET A	) .L.
Office Action Summary	Examiner CARRIE R. DORNA	<b>Art Unit</b> 3735	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Benly	bears on the cover sheet wi	ith the corresponden	ce address
A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	Y IS SET TO EXPIRE <u>3</u> M 36(a). In no event, however, may a r will apply and will expire SIX (6) MON , cause the application to become AE g date of this communication, even if	ONTHS FROM THE eply be timely filed THS from the mailing date o SANDONED (35 U.S.C. § 133 timely filed, may reduce any	f this communication.
Status 1) Responsive to communication(s) filed on <u>24 S</u>	eptember 2015.		
A declaration(s)/affidavit(s) under <b>37 CFR 1</b> .1	<b>30(b)</b> was/were filed on _	<u> </u>	
3) An election was made by the applicant in resp	onse to a restriction requir	ement set forth duri	ng the interview on
; the restriction requirement and election	have been incorporated i	nto this action.	
4) Since this application is in condition for allowated closed in accordance with the practice under <i>B</i>	nce except for formal matt Ex parte Quayle, 1935 C.D	ers, prosecution as t . 11, 453 O.G. 213.	to the merits is
Disposition of Claims*			
5) Claim(s) <u>1-7 and 36-51</u> is/are pending in the a	pplication.		
5a) Of the above claim(s) $6.7.36.49$ and 50 is/a	re withdrawn from conside	eration.	
6) Claim(s) IS/are allowed.			
$7)\square$ Claim(s) <u>1-3,37-46 and 31</u> is/are rejected. 8) Claim(s) is/are objected to			
9) Claim(s) are subject to restriction and/o	r election requirement.		
* If any claims have been determined <u>allowable</u> , you may be e	igible to benefit from the <b>Pat</b>	ent Prosecution High	way program at a
participating intellectual property office for the corresponding a	pplication. For more informat	ion, please see	
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	l an inquiry to <u>PPHfeedback@</u>	<u>ðuspto.gov</u> .	
Application Papers			
10) The specification is objected to by the Examine	er.		
11) The drawing(s) filed on <u>16 June 2010</u> is/are: a	)⊠ accepted or b)□ obje	cted to by the Exam	iner.
Applicant may not request that any objection to the	drawing(s) be held in abeyar	ice. See 37 CFR 1.85	(a).
Replacement drawing sheet(s) including the correct	tion is required if the drawing	(s) is objected to. See	37 CFR 1.121(d).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. §	a 119(a)-(d) or (f).	
$\begin{array}{c} \text{Certified copies:} \\ \text{a)} \square \text{All}  \text{b)} \square \text{Some}^{**} \text{ c)} \square \text{None of the} \end{array}$			
1. Certified copies of the priority documen	ts have been received.		
2. Certified copies of the priority documen	ts have been received in A	Application No.	
3. Copies of the certified copies of the price	ority documents have beer	received in this Nat	 tional Stage
application from the International Bureau	u (PCT Rule 17.2(a)).		
** See the attached detailed Office action for a list of the certific	ed copies not received.		
Attachment(s)	_		
	3) 🔟 Interview S	Summary (PTO-413)	
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/ Paper No(s)/Mail Date	SB/08b) 4) 🗌 Other:		
PTOL-326 (Rev. 11-13) Office Action	Summary	Part of Paper No	o./Mail Date 20150928

#### **DETAILED ACTION**

#### Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

2. A request for continued examination under 37 CFR 1.114, including the fee set

forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this

application is eligible for continued examination under 37 CFR 1.114, and the fee set

forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action

has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 24

September 2015 has been entered.

### Claim Rejections - 35 USC § 103

3. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. **Claims 1, 43, and 51** are rejected under 35 U.S.C. 103(a) as being unpatentable

over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter

"deKemp et al. US '848") in view of U.S. Patent Application Publication No.

2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213

(Williams et al.).

Art Unit: 3735 Regarding **claim 1**, deKemp et al. US '848 teaches a system that generates and infuses radiopharmaceuticals comprising: an eluent reservoir (*Figure 3, reservoir,* 4), a

pump coupled to the reservoir (*Figure 3, pump*, 6), an infusion tubing circuit (*Figure 3,* generator valve, 16, bypass line 18, patient valve 24, elution system, 14, and Figures 3 and 5, feed-line, 33), a radioisotope generator (Figure 3, strontium-rubidium (<sup>82</sup>Sr/<sup>82</sup>Rb) generator, 8), an activity detector (Figure 3, positron detector, 20), a waste bottle (Figure 3, waste reservoir, 26), a computer (Figure 3, controller, 28) and a computer interface (Figure 3, user interface, 44) ([0025]; [0028]); the infusion tubing circuit including an eluant line coupled to the pump and to the generator (pump 6 for drawing saline from reservoir 4, [0025]), and an eluate line coupled to the generator, to the activity detector (20) and to the waste bottle (26) (Generator valve 16 proportions saline flow between the generator 8 and a bypass line 18 which meet at merge point 22, a positron detector 20 downstream of merge point 22, a patient valve 24 to control supply of saline to patient outlet 10 and waste reservoir 26, [0025]). deKemp et al. US '848 further teaches the controller (28) is connected to the pump (6), activity detector (20), valves (16) and (24), and the user interface (44) ([0025]; see Figure 3), wherein the pump (6) and valves (16, 24) are controlled via the controller (28) to route saline through the system (14) to generate a sample or a dose of eluate in accordance with various modes of operation ([0029]-[0032]; Figures 6a-6d). deKemp et al. US '848 teaches the pump (6) is controlled to draw saline from the reservoir (4) at a desired flow rate ([0025]). During an "elution" mode, deKemp et al. US '848 discloses generator valve (16) is actively controlled by a control loop (42) from the activity detector (20) to

proportion saline flow through both the generator (8) and bypass line (18) (paragraph [0032]). The limitation "each sample being intended for a quality control measurement, and each dose being intended for diagnostic imaging" is intended use, thus has not been given patentable weight. The samples generated as disclosed by deKemp et al. US '848 are capable of use in either quality control measurement or diagnostic imaging ([0003]-[0004]; [0054]). deKemp et al. US '848 does not explicitly teach providing an indication that the elution is complete, or displaying, via the computer interface, a time lapse since each elution was completed.

However, Fago teaches a system that generates and infuses radiopharmaceuticals (abstract). Fago teaches visualization of the eluate collection bottle (34) facilitates determining when the elution process is complete, e.g. the eluate collection bottle (34) is full ([0031]). Fago additionally teaches a syringe pump (40) is adapted to inject the eluent (18) into the generator (22) via tubing (10) ([0032]). Fago teaches a drip chamber (44) is incorporated in the tubing (10) to facilitate tracking or identification of an amount of eluent flowing into a generator (22) ([0033]; *Figure 6*), wherein an electronic drop counter (46) may be used to count the drops passing through the drip chamber (44) to provide metrics relating to the amount of eluent being passed from the eluant passing from the eluent supply container (4) into the generator (22) ([0033]; *Figure 7*). Fago teaches the drop counter (46) is "coupled to an electronic devices, and/or perform calculations relating to the elution process" ([0034]), and is additionally "communicatively coupled to a display (52) for displaying metrics relating to the elution

process", wherein "the display (52) may be incorporated into the computer (54)" ([0034]). The broadest reasonable interpretation of the limitation "the computer...being pre-programmed to execute a method, the method comprising....providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator" requires a computer programmed in a manner that it is capable of performing the recited function of providing an indication of when the pump has completed dispensation. Since Fago discloses a computer that is programmed to display a volume of dispensed eluant as discussed above ([0034]), Fago discloses a computer programmed in a manner that is capable of providing an indication via computer interface of when dispensation of an elution is complete. It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of controlling an <sup>82</sup>Sr/<sup>82</sup>Rb elution system of deKemp et al. US '848 to include the displayed volume and time calculations taught by Fago, because such a display provides a practitioner with an indication of when the proper desired amount of eluant has been dispensed (Fago: [0004]; [0034]). Accordingly, the system of de Kemp modified in view of this teaching of Fago would result in a computer providing an indication via its interface of when the pump has completed pumping the desired volume of eluant. Fago teaches the volume and/or time associated with each elution process may be tracked and displayed to enable the user to estimate when the generator will be ready for another elution process paragraph [0034]), but the combination of de Kemp et al. US '848 and Fago does not disclose

displaying, via the computer interface, a time lapse since each elution was completed.

However, Williams teaches a system that generates and infuses media (abstract), and methods for displaying elapsed time information related to the dispensing of media by a dispensing device in relation to a medical procedure ([0001]). Williams teaches a user may view data corresponding to a dispensing operation, such as flow rate and elapsed time from injection of media via a display device in a user interface (230) ([0025]; [0027]). Williams teaches a computer program product comprises executable portions for directing the dispensing medical device (115) to perform at least one dispensing function, to manipulate and/or adjust the display parameters of the user interface (230), and to display a status text graphic (470) indicating the status of a given dispensing operation, such as its completion, and an elapsed time counter graphic (410) to monitor the elapsed time since the introduction of contrast media into a patient ([0045]). The broadest reasonable interpretation of the limitation "the computer...being pre-programmed to execute a method, the method comprising....displaying, via the computer interface, a time lapse since each elution was completed" requires a computer programmed in a manner that is capable of performing the recited function of displaying via an interface a time lapse since the completion of an elution. Since Williams discloses a system including a computer programmed to display via a time counter the time elapsed following a "dispensation function" ([0044]-[0045]), Williams discloses a computer programmed in a manner that is capable of displaying a time counter that indicates the elapsed time following completion of an elution, providing the benefit of enabling a practitioner to efficiently monitor dispensation in a single interface ([0006]; [0045]). It would have been obvious to one of ordinary skill in the art at

the time of the invention to use the data associated with each elution process in the method of de Kemp et al. US '848 and Fago to display a time lapse since each elution as taught by Williams, because such a configuration enables a practitioner to efficiently monitor dispensation in a single interface (Williams, [0045]).

Regarding **claim 43**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848 teaches the pre-programmed method of the computer (28) further comprises the steps of: diverting an initial volume of eluate to the waste bottle (90), based upon input from the activity detector (20), before the activated pumping generates the sample or dose ([0029]). deKemp et al. US '848 does not teach tracking the initial volume or eluate or providing an indication when the waste bottle needs to be emptied.

However, Fago further teaches an eluate collection bottle (34) may have a standard or predefined volume, wherein a user can observe remotely via the computer the eluent levels in the eluant supply container (4) go down in an amount generally corresponding to the amount of eluate received in the eluate collection bottle (34) (This observation is construed as "tracking the initial volume of the eluate", [0005], [0024], [0026], and [0031]). Fago teaches this visualization facilitates determining when the eluation process is complete, e.g. the eluate collection bottle is full, thus indicates when the collection bottle should be emptied ([0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to further modify the pre-programmed method of deKemp et al. US '848, Fago, and Williams to include tracking the initial

volume or eluate and providing an indication when the waste bottle needs to be emptied taught by Fago in order to track the amount of fluid in the bottle (Fago, [0031]).

Regarding **claim 51**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. Williams discloses a displayed "elapsed time counter graphic 410" in *Figure 4* that is described in paragraph [0045] as updated "in real time" ([0045]), thus is a "timer counting up or down".

5. **Claims 2-4, 38, 39, 44, and 48** are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent Application Publication No. 2008/0177126 (Tate et al.).

Regarding **claims 2-4 and 48**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848 teaches the preprogrammed method further comprises: categorizing each counted elution as having been generated as a sample or a dose (A control algorithm regulates a flow rate and volume of the sterile saline solution 100 pumped through the generator column 10 controls valve 108, which directs the eluate through a delivery line 112 for a calibration elution or a patient elution 110, [0025]). Fago teaches the pre-programmed method further comprises: counting elutions per unit time (Computer 54 may be coupled to each of a plurality of drop counters 46 and/or displays 52 that provide data relating to elution processes in each of the generators 22, such as time associated with each counted

drop or a volume associated with the number of counted drops, [0034] and [0036]). The modified system of deKemp et al. US '848, Fago, and Williams does not teach maintaining a record of the elutions.

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However, Tate teaches a system that generates and infuses radiopharmaceuticals (abstract), comprising a computer coupled to a computer interface, pre-programmed to execute a method comprising a step of maintaining a record of prior elutions (abstract; Records or Injection History button 1022, [0172], [0181]; [0238]-[0245]). Tate further teaches receiving a detected activity level of the eluate for each dose and sample, from the activity detector, and entering the detected activity level for each elution into the record ([0235]); and compiling a report that contains the record, the report being formatted printing ([0075]; [0235]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the pre-programmed method of the system of deKemp et al. US '848, Fago, and Williams to maintaining a record of prior the elutions as taught by Tate, because maintaining such a record permits a practitioner to access prior injection and elution records as needed for compiling and printing injection records (Tate, [0181]; [0235]; [0238]-[0245]).

Regarding **claim 38**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848 teaches sampling the activity level in the dose calibrator at regular intervals throughout the duration of the elution run ([0055]). deKemp et al. US '848 also teaches calibration data collected during the elution can be used to calculate the proportionality constant K between the activity parameter ( $C_{det}$ ) and the <sup>82</sup>Rb activity concentration ([0056]-[0057]). The combination of

deKemp et al. US '848, Fago, and Williams does not teach providing via the computer interface, calibration data entry fields for a user.

However, Tate further teaches user-friendly data entry mechanisms for the system (10) ([0170]), such that calibration data may be entered by the user ([0269]-[0271]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of deKemp et al. US '848, Fago, and Williams such that calibration and activity data of may be input by a user through the user-friendly data entry mechanisms taught by Tate in order to clearly and unambiguously communicate the current status of the system to an operator (Tate, [0170]; [0269]-[0271]).

Regarding **claim 39**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848, Fago, and Williams do not teach providing a patient identification entry field for a user to enter a patient identification number, receiving a detected activity level of a dose of eluate from the activity detector, or compiling a report that includes an identification number for the generated dose and detected activity level.

However, Tate further teaches the touch screen arrangement (1000) can be used for four categories of tasks, including Patient Treatment ([0172]). Tate discloses the "Patient Treatment" category includes a number of tasks including inputting patient and/or case identification information into the system (10) and measuring the activity level of the radiopharmaceutical dose ([0207]). Tate teaches that the pharmaceuticals are used in imaging procedures ([0069]). Tate teaches that the printer (24) may be used to generate records of the injection and/or imaging procedures performed for inclusion

in their medical records ([0075]). Tate discloses a "Case Information" pop-up display (1217) including an "Identification" field (1217a) and a keypad (1217j) for inputting a patient or other identification number ([0216]). Lastly, Tate discloses a detailed injection history display (1360), which includes activity level of the injection and the patient identification number, and can be printed using "Print" button (1363) ([0245]; *Figure 34B*). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the identification information taught by Tate in order to generate records of the injection and/or imaging procedures performed for inclusion in patient's medical records (Tate, [0075]).

Regarding **claim 44**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848, Fago, and Williams do not teach the computer interface comprises a touch-activated display screen.

However, Tate further teaches that the fluid delivery system (10) includes a display or graphical user interface display (15) for programming and operating the system (10) and may incorporate touch-screen capability ([0071]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the touch-screen capability taught by Tate to improve ease of use of the system (Tate, [0071]).

6. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S.
Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago)

and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent Application Publication No. 2008/0177126 (Tate et al.) and U.S. Patent Application Publication No. 2007/0140958 (deKemp, hereinafter "deKemp US '958").

Regarding **claim 5**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848 teaches the pre-programmed method comprises categorizing each counted elution as having been generated as a sample or a dose (A control algorithm regulates a flow rate and volume of the sterile saline solution 100 pumped through the generator column 10 and controls valve 108, which directs the eluate through a delivery line 112 for a calibration elution or a patient elution 110, [0025]). deKemp et al. US '848 does not specify the pre-programmed method comprises receiving a detected activity level and entering the detected activity level for each elution into the record and calculating and tracking the amount of activity left in the generator after each elution.

However, Fago further teaches receiving a detected activity level and entering the detected activity level for each elution into the record (An actual radioactivity level of the eluate can be determined at a given time, programmed into the computer 54, [0034]; [0036]); and calculating and tracking the amount of activity left in the generator after each elution (The radioactivity level can be incorporated with other data to determine an expected radioactivity level at a specified future time and thus estimate when the generator will be ready for another elution process, [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of

deKemp et al. US '848 to include the radioactivity information and estimations taught by Fago in order to estimate when a generator will be ready to perform another elution process (Fago, [0034]). The combination of deKemp et al. US '848, Fago, and Williams does not teach maintaining a record of the elutions or compiling a report that includes an amount of activity left in the generator.

However, Tate teaches a system that generates and infuses radiopharmaceuticals (abstract), comprising a computer coupled to a computer interface, pre-programmed to execute a method comprising a step of maintaining a record of prior elutions (abstract; Records or Injection History button 1022, [0172], [0181]; [0238]-[0245]). Tate further teaches receiving a detected activity level of the eluate for each dose and sample, from the activity detector, and entering the detected activity level for each elution into the record ([0235]); and compiling a report that contains the record ([0075]; [0235]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the pre-programmed method of the system of deKemp et al. US '848, Fago, and Williams to maintaining a record of prior the elutions as taught by Tate, because maintaining such a record permits a practitioner to access prior injection and elution records as needed for compiling and printing injection records (Tate, [0181]; [0235]; [0238]-[0245]). The combination of deKemp et al. US '848, Fago, Williams, and Tate does not teach compiling a report that includes an amount of activity left in the generator.

However, deKemp US '958 teaches a system that generates and infuses radiopharmaceuticals (abstract), comprising a computer coupled to a computer

interface, pre-programmed to execute a method wherein a valve (108) directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ([0025]). The calibration eluate is tested for 82Rb yield and 82Sr breakthrough to determine if the yield is above a predetermined radioactivity limit ([0029]; *Figure 5*). deKemp US '958 teaches the pre-programmed method comprises controlling a volume of fluid during generator column (10) flushes and elutions, and accepts the cumulative volume and stores it ([0031]). Lastly, deKemp US '958 teaches that the cumulative volume is recomputed after each elution and disposing of the generator column if the volume limit is exceeded ([0032]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the yield and volume determinations of deKemp US '958 in the records of the computer controlled elution system of deKemp et al. US '848, Fago, Williams, and Tate in order to determine if the generator column can safely continue to be used for patient elutions (deKemp US '958, [0028]).

7. **Claim 37** is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent Application Publication No. 2007/0140958 (deKemp, hereinafter "deKemp US '958").

Regarding **claim 37**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848, Fago, and Williams do not teach providing a first and second set of breakthrough test data entry fields.

However, deKemp US '958 teaches a system that generates and infuses radiopharmaceuticals (abstract), wherein a generator column (10) is flushed with 50 ml of sterile saline solution in order to remove any strontium breakthrough, and then the operator waits for a predetermined period of time of at least 10 minutes before performing the calibration elution ([0029]). deKemp US '958 teaches that the calibration eluate subsequently tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough ([0029]). deKemp US '958 discloses that those skilled in the art would know to test the radioactivity of the elution after about 26 minutes has elapsed, at which time the amount of residual <sup>82</sup>Rb is insignificant and will not distort the test results ([0030]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the breakthrough measurements taught by deKemp US '958 in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to determine if breakthrough is less than a threshold for permissible levels ([0030]).

8. **Claim 40** is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent No. 7,996,068 (Telischak et al.).

Regarding **claim 40**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. The cited prior art does not teach a light projector or providing a light signal when the pump is activated.

However, Telischak teaches a detection system wherein a visible light is projected at all times when the device is activated (col. 8, lines 18-26). It would have been obvious to one of ordinary skill in the art to include the light projecting when the device is activated as disclosed by Telischak in the pump of the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to confirm to a practitioner that the device is operational (Telischak, col. 8, lines 24-25).

9. **Claim 41** is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent Application Publication No. 2006/0015056 (Ellingboe et al.).

Regarding **claim 41**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. deKemp et al. US '848 teaches a "waiting for threshold" mode of system (14), in which the saline flow is routed through the generator (8) and into the waste reservoir (26) ([0031]), and an "elution" mode where the active saline solution is directed to the patient outlet ([0032]). The combination of deKemp et al. US '848, Fago, and Williams does not teach a light projector or providing a first light signal when an initial volume of eluate is being diverted or providing a second light signal when the pumping is generating the sample or the dose of eluate.

However, Ellingboe teaches a system for fluid delivery, wherein an animated light indicator may be provided to indicate when cardioplegia is being delivered (e.g. via

green illumination) and when delivery is stopped (e.g. via red illumination) ([0243]; *Figure 301*). It would have been obvious to one of ordinary skill in the art to include the different illumination signals disclosed by Ellingboe in the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to provide indicators to the operator regarding which stage of the infusion is occurring.

10. **Claim 42** is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent No. 4,585,941 (Bergner).

Regarding **claim 42**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. The cited prior art does not teach a light projector or providing a light signal when the activity detector detects a peak bolus of radioactivity.

However, Bergner teaches a system that generates and infuses radiopharmaceuticals (abstract), wherein an LED display (100) is immediately about the total dose thumbwheel switches (98) and displays the total dose which has been infused in the patient (56) (col. 5, lines 8-25). Bergner teaches the actual dose rate present in the eluate within the tube (30) in front of the dosimetry probe (58) is displayed on LED display (104) (col. 5, lines 8-25). It would have been obvious to one of ordinary skill in the art to include the LED signals emitted based on actual dose as disclosed by Bergner in the computer controlled infusion system of deKemp et al. US '848, Fago, and

Williams in order to provide a description of the dose present in the eluate (Bergner, col. 5, lines 19-22).

Claim 45 is rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over
 U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter
 "deKemp et al. US '848") in view of U.S. Patent Application Publication No.
 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213
 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent
 Application Publication No. 2005/0187515 (Varrichio et al.).

Regarding **claim 45** deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. The cited prior art does not teach at least one sensor for detecting a leak in the tubing and providing an indication that a leak has been detected.

However, Varrichio et al. teaches an infusion system comprising: a sensor (251) that may be utilized to detect a leak in the valve system (241) ([0027]). Varrichio et al. teaches that if one of the flow valves leaks, a midpoint pressure will drift higher if primary flow valve (343) is leaking and lower if redundant flow valve (344) is leaking, thus providing an indication that a leak has been detected ([0036]). Varrichio et al. discloses a user interface for external program controller (260), which provides real-time status information with respect to infusate pump (200), and may provide audible alarms upon detection of particular conditions and may report conditions to the doctor using information from sensor (251) ([0027]; [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the leak detecting sensor of Varrichio et al. in the computer controlled elution system of deKemp et al. US '848,

Fago, and Williams in order to provide feedback to the doctor to indicate presence of a leak (Varrichio et al., [0027]).

12. **Claim 46** is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of (U.S. Patent No. 5,395,320) (Padda et al.).

Regarding **claim 46**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. The cited prior art does not teach calculating a flow rate profile or controlling a speed of the pump according to the calculated flow rate profile.

However, Padda teaches an infusion pump which can be programmed to deliver any of a variety of selected profiles of fluid medicine volume over time (abstract). Padda discloses examples of delivery profiles including fixed rate of flow, ramp up, ramp down, fixed rate with increased rate spikes at specified intervals, and no flow with an infusion bolus at specified intervals (col. 2, lines 42-50). Padda teaches a pumping mechanism (24) provides accurate delivery of medicine (col.4, lines 9-10). Padda teaches a piggyback delivery profile function which allows for the use of a second profile applied before, during an interrupt, or after the first profile, and a different medicine and different fluid to be infused through the infusion pump (col. 4, line 59- col. 5, line 6). Padda discloses a CPU that sends a motor control drive (14) a code containing information on the desired motor speed and a motor speed control (15) (col. 6, line 66- col. 7, line 16).

It would have been obvious to one of ordinary skill in the art at the time of the invention to include the flow control and profile mechanisms of Padda in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to provide a variety of different fluid delivery profiles to a patient (Padda, col. 2, lines 31-34).

13. **Claim 47** is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent Application Publication No. 2007/0213848 deKemp et al. (hereinafter "deKemp et al. US '848") in view of U.S. Patent Application Publication No. 2008/0237502 (Fago) and U.S. Patent Application Publication No. 2007/0260213 (Williams et al.), as applied to claim 1 above, and further in view of U.S. Patent Application Publication No. 2003/0139640 (Whittacre et al.).

Regarding **claim 47**, deKemp et al. US '848 in view of Fago and Williams teaches the limitations of claim 1. The cited prior art does not teach transferring a plurality of detect activity levels to another system.

However, Whittacre teaches a system for infusing radiopharmaceuticals (abstract), comprising: a display of the amount of isotope which is generating the radioactivity and transferring those values to a database if accepted by the operator ([0195]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the data transfer of Whittacre in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to allow one or more physicians to access the displayed information (Whittacre, [0202]).

#### Response to Arguments

14. Applicant's arguments filed 23 July 2015 have been fully considered but they are not persuasive for the reasons given in the Advisory Action mailed 19 August 2015.

#### Conclusion

15. All claims are drawn to the same invention claimed in the application prior to the entry of the submission under 37 CFR 1.114 and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the application prior to entry under 37 CFR 1.114. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action after the filing of a request for continued examination and the submission under 37 CFR 1.114. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to CARRIE R. DORNA whose telephone number is
Application/Control Number: 12/808,467 Art Unit: 3735

(571)270-7483. The examiner can normally be reached on Monday - Friday from 8 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Marmor, II can be reached on (571) 272-4730. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Charles A. Marmor, II/ Supervisory Patent Examiner Art Unit 3735

/C. R. D./ Examiner, Art Unit 3735

# EAST Search History

# EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	7	(("20070140958") or ("7966068") or ("20060015056") or ("4585941") or ("20050187515") or ("5395320") or ("20030139640")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/09/28 20:33
12	1	("7996068").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/09/28 20:59
L3	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
L4	118	A61N2005/1021.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
L5	1899	A61M5/007.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
L6	407	G21G4/08.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
L7	32	(L3 or L4 or L5 or L6) (display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:25
L8	33	("4202345").PN. OR ("4562829").URPN.	US-PGPUB; USPAT; USOCR	AND	ON	2015/09/28 21:26
L9	1	((display\$4 same tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 detect\$4).clm.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 21:32
S2	273	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/08/14 10:57
83	3	(("20070213848") or ("20080237502") or ("20070260213")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/14 11:17

S4 2	(time with elaps\$3 with elut\$4) (radio\$1pharmaceutical\$1 with generat\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:51
S5 107	(time with elaps\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:52
S6 0	(display\$4 with time with elaps\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:53
S7 3	(display\$4 same (time with elaps\$3)) (radio\$1pharmaceutical\$1 with generat\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 12:53
S8 2	(display\$4 same (time with (idl\$3 or compet\$))) (radio\$1pharmaceutical\$1 with generat\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:16
S9 2	S4 (time with elaps\$3)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:21
S10 273	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/08/14 13:21
S11 1	S10 (time with elaps\$3)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/08/14 13:21
S12 275	((stephen near2 hidem) (aaron near2 fontaine) (janet near2 gelbach) (patrick near2 mcdonald) or (kathryn near2 hunter) (rolf near2 swenson) (julius near2 zodda)).in.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/09/28 13:01
S13 191	(bracco near2 diagnostics).as.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	OR	ON	2015/09/28 13:03
S14 2	("3543752"   "3861380").PN.	US-PGPUB; USPAT	AND	ON	2015/09/28 13:16
S15 4	("3535085"   "4160910"   "4759345"   "6639237").PN.	US-PGPUB; USPAT	AND	ON	2015/09/28 13:16
S16 318	4 A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 13:21

S17	3184	A61N5/1001,1002,1007,1014- 1017,1027,1028.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 15:51
S18	118	A61N2005/1021.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 16:45
S19	1899	A61M5/007.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 17:04
S20	407	G21G4/08.cpc.	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:22
S21	0	(S17 or S18 or S19 or S20) (display\$4 same (time with (idl\$3 or compet\$))) (radio\$1pharmaceutical\$1 with generat\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:55
S22	31	(S17 or S18 or S19 or S20) (display\$4 same tim\$3) (radio\$1pharmaceutical\$1 with generat\$4)	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	AND	ON	2015/09/28 18:56

# EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L10	0	((display\$4 with tim\$3) (((radioactiv\$3 with (inject\$4 or infus\$4 or fluid)) or radio\$1pharmaceutical\$1) with generat\$4) (control\$4 or process\$4 or computer) pump\$4 detect\$4).clm.	US- PGPUB; USPAT	AND	ON	2015/09/28 21:32

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Doc description: Information Disclosure Statement (IDS) Filed

12808467 - GAU: 3735

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467			
Filing Date		2010-06-16			
First Named Inventor Steph		en E. Hidem			
Art Unit		3735			
Examiner Name Jacqu		ieline Cheng			
Attorney Docket Number		56782.1.7.2			

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	1	3535085	A	1970-10	-20	Shumate							
	2	4160910	A	1979-07	-10	Thornton et al.		Thornton et al.		Thornton et al.			
	3	4759345	A	1988-07	-26	Mistry							
	4	6639237	В2	2003-10	-28	Pedersen et al.							
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467 - GAU: 3735 12808467				
Filing Date		2010-06-16				
First Named Inventor Steph		en E. Hidem				
Art Unit		3735				
Examiner Name Jacqu		ieline Cheng				
Attorney Docket Number		56782.1.7.2				

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eceipt date: 06/08/2015	Application Number		12808467 - GAU: 3735 12808467		
	Filing Date		2010-06-16		
INFORMATION DISCLOSURE	First Named Inventor Steph		hen E. Hidem		
(Not for submission under 37 CFR 1.99)	Art Unit		3735		
(	Examiner Name	Jacqu	eline Cheng		
	Attorney Docket Numb	er	56782.1.7.2		

### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

X

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

X The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2015-06-08
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc description: Information Disclosure Statement (IDS) Filed

12808467 - GAU: 3735

PTO/SB/08a (01-10) Approved for use through 07/31/2012. OMB 0651-0031

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467			
Filing Date		2010-06-16			
First Named Inventor Steph		en E. Hidem			
Art Unit		3735			
Examiner Name Carrie		R. Dorna			
Attorney Docket Number		56782.1.7.2			

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Examiner Initial*	Cite No	Patent Number	Kind Code1	Issue D	)ate	Name of Patentee or Applicant of cited Document		Page Relev Figur	s,Columns,Lines where vant Passages or Relev es Appear	ant	
	1	3543752		1970-12	2-01	Hesse et al.					
	2	3861380		1975-01	-21	Chassagne et al.					
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CD/ 225 of 2987

			13000467		
ecerpt date. 09/24/2010	Application Number		12808467		
	Filing Date		2010-06-16		
INFORMATION DISCLOSURE	First Named Inventor Steph		vhen E. Hidem		
(Not for submission under 37 CFR 1.99)	Art Unit		3735		
	Examiner Name	Carrie	R. Dorna		
	Attorney Docket Numb	er	56782.1.7.2		

Examiner Initials*	xaminer nitials* Cite No linclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							
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If you wish to add additional non-patent literature document citation information please click the Add button Add								
EXAMINER SIGNATURE								
Examiner	Signa	ature	/CARRIE DORNA/	Date Considered	09/28/2015			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								

EFS Web 2.1.17

eceipt date: 09/24/2015	Angelianting Number		12808467 - GAU: 3735	
	Application Number		12000407	
	Filing Date		2010-06-16	
INFORMATION DISCLOSURE	First Named Inventor Steph		phen E. Hidem	
Vot for submission under 37 CER 1 99	Art Unit		3735	
	Examiner Name	Carrie	R. Dorna	
	Attorney Docket Numb	er	56782.1.7.2	

### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2015-09-24
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64,610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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Part of Paper No. : 20150928

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	CLA	IM							DATE							
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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12808467	HIDEM ET AL.
	Examiner	Art Unit
	CARRIE R. DORNA	3735

CPC- SEARCHED									
Symbol	Date	Examiner							
A61N5/1002, 1007	8/5/14	EF							
A61N 2005/1021	8/5/14	EF							
A61N 5/1001, 1002, 1007, 1014-1017, 1027, 1028	9/2015	CD							
A61N 2005/1021	9/2015	CD							
A61M 5/007	9/2015	CD							
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CPC COMBINATION SETS - SEARCHED							
Symbol	Date	Examiner					

US CLASSIFICATION SEARCHED							
Class	Subclass	Date	Examiner				
600	1-8, 301, 431	7/9/12-7/26/12	EF				
604	48, 65-67, 218, 236, 526	7/9/12-7/26/12	EF				

SEARCH NOTES								
Search Notes	Date	Examiner						
Limited class/subclass searches with test	7/9/12-7/26/12	EF						
Text searching in EAST (see Search History)	7/9/12-7/26/12	EF						
Inventor name search in EAST	7/9/12-7/26/12	EF						
Assignee name search in EAST	7/9/12-7/26/12	EF						
Forward/backward searches of relevant art in EAST	7/9/12-7/26/12	EF						
Updated class/subclass searches	11/27/12- 11/28/12	EF						
Additional text searching and forward/backward searches in EAST	11/27/12- 11/28/12	EF						
Updated class/subclass searches and additional text searching in EAST	8/13-9/17/13	EF						
Updated class/subclass searches	4/25/14	EF						
Updated class/subclass searches and text searching in EAST for AFCP 2.0 submission	8/5/14	EF						

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# SEARCH NOTES

Search Notes	Date	Examiner
Updated class/subclass searches	11/17/14	EF
Updated class/subclass searches	4/16/15	EH
see EAST search report	9/2015	CD
EAST: inventor name search, assignee search	9/2015	CD

# INTERFERENCE SEARCH

US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
	see EAST search report	9/2015	CD

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REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)							
Application Number	12808467	Filing Date	2010-06-16	Docket Number (if applicable)	56782.1.7.2	Art Unit	3735
First Named Inventor	Stephen E. Hide	m		Examiner Name	Carrie R. Dorna		
This is a Req Request for C 1995, or to an	uest for Continu ontinued Examina y design applicati	ed Examina ation (RCE) on. The Ins	ation (RCE) under 3 practice under 37 CF struction Sheet for thi	7 CFR 1.114 of the FR 1.114 does not a s form is located at V	above-identified application. oply to any utility or plant applic WW.USPTO.GOV	ation filed	prior to June 8,
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The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.         Image: The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No         061910							
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Signature of Registered U.S. Patent Practitioner					
Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2015-09-24		
Name	Paul J. LaVanway, Jr.	Registration Number	64610		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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Art Unit		3735		
Examiner Name	Carrie	R. Dorna		
Attorney Docket Number		56782.1.7.2		

PTO/SB/08a (01-10)

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	Date	Name of Pate of cited Docu	entee or Applicant ment	Page Relev Figur	s,Columns,Lines where vant Passages or Relev es Appear	e vant	
	1	3543752		1970-12	2-01	Hesse et al.					
	2	3861380		1975-01	I-21	Chassagne et al.					
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# INFORMATION DISCLOSURE Application Number 12808467 Filing Date 2010-06-16 First Named Inventor Stephen E. Hidem Art Unit 3735 Examiner Name Carrie R. Dorna Attorney Docket Number 56782.1.7.2

<b></b>					
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.			T⁵
	1				
If you wis	h to ao	dd add	ditional non-patent literature document citation information please click the Add b	outton Add	1
			EXAMINER SIGNATURE		
Examiner	Signa	ature	Date Considered		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.					

EFS Web 2.1.17

	Application Number		12808467	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2010-06-16	
	First Named Inventor	Steph	phen E. Hidem	
	Art Unit	•	3735	
	Examiner Name	Carrie	ie R. Dorna	
	Attorney Docket Numb	er	56782.1.7.2	

### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2015-09-24
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64,610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal					
Application Number:	128	308467			
Filing Date:	16-	Jun-2010			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE		D MAINTENANCE		
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Filer:	Paul J. LaVanway Jr.				
Attorney Docket Number: 56782.1.7.2					
Filed as Large Entity					
Filing Fees for U.S. National Stage under 35 USC 371					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Fee Code	Quantity	Amount	Sub-Total in USD(\$)
1252	1	600	600
1820	1	1700	1700
Tot	al in USD	(\$)	2300
	Fee Code           1252           1820           Tot	Fee CodeQuantity1252118201Total in USD	Fee CodeQuantityAmount12521600182011700Total in USD (\$)

Electronic Acknowledgement Receipt				
EFS ID:	23596969			
Application Number:	12808467			
International Application Number:				
Confirmation Number:	3733			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE			
First Named Inventor/Applicant Name:	Stephen E. Hidem			
Customer Number:	22859			
Filer:	Paul J. LaVanway Jr.			
Filer Authorized By:				
Attorney Docket Number:	56782.1.7.2			
Receipt Date:	24-SEP-2015			
Filing Date:	16-JUN-2010			
Time Stamp:	17:37:15			
Application Type:	U.S. National Stage under 35 USC 371			

# Payment information:

Submitted with Payment	yes			
Payment Type	Credit Card			
Payment was successfully received in RAM	\$2300			
RAM confirmation Number	5794			
Deposit Account				
Authorized User				
The Director of the USDTO is hereby outherized to charge indicated fees and credit any everyour part as follows:				

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

# File Listing:

Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Extension of Time	56782 1 7 2 EOT odf	187413	20	n		
I	Extension of time	36/82_1_/_2_E01.pu	46fc0c8da437cc04e039e470a5aa7c92354c 1f7c	no	2		
Warnings:							
Information:							
2	Request for Continued Examination	56782_1_7_2_RCE_sb0030e_fil	697800	no			
2	(RCE)	l.pdf	1dcfbfe7172b8e7aa445f57c2207c3591997 2c5c	110			
Warnings:			· · · ·				
Information:							
3	Information Disclosure Statement (IDS) Form (SB08)	56782_1_7_2_SIDS09240215. pdf	612235	no	4		
5			af3dcc1d761bd3b2c0a35a63686c69cf2964 4d0e				
Warnings:							
Information:							
4	Eag Warkshoot (CROS)	foo info ndf	32494	no	2		
·			92374316ae4bf97a0be4c56ea1e5ad03469 dced0	110			
Warnings:							
Information:							
		Total Files Size (in bytes)	<b>:</b> 15	29942			
Inis Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office							
If a new inter an internatio and of the Int national secu the applicatio	national application is being filed an nal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC irity, and the date shown on this Ack on.	nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c nowledgement Receipt will	ion includes the nece of the International <i>J</i> ourse, subject to pres establish the internat	ssary comp Application scriptions co ional filing	onents for Number oncerning date of		

PTO/SB/22 (03-13) Approved for use through 3/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Jnder the Paperwork Reduction Act of 1995, r	to persons are required to r	respond to a collecti	on of informatio	n unless it dis Docket Nu	plays a valid OMB control number. Imber (Optional)
				1 136(2)		
• - '				1.100(a)	56782.1.7.2	
Applic	ation Number 10/000 467		Filed	16.00	10	
For	12/000,407		June	10, 20	10	
For	NFUSION SYSTEMS INCLUDING CO	MPUTER-FACILITATE	ED MAINTENAN	CE AND/OR	OPERATIC	ON AND METHODS OF USE
Art Ur	<sup>iit</sup> 3735		Examiner Ca	Examiner Carrie R. Dorna		
This is	a request under the provisions of 37 CF	FR 1.136(a) to extend th	ne period for filing	a reply in the	above-iden	tified application.
The re	equested extension and fee are as follow	s (check time period de	sired and enter th	ne appropriate	fee below):	
		<u>Fee</u> <u>Sma</u>	II Entity Fee	Micro Enti	ty Fee	
	One month (37 CFR 1.17(a)(1))	\$200	\$100	\$50		\$
<b></b>	Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	)	\$_600.00
	Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	)	\$
	Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	)	\$
	Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$750	)	\$
	Applicant asserts small entity status.	See 37 CFR 1.27.				
	→	See 27 CEB 1 20				
	Form PTO/SB/15A or B or equivalent mus	t either be enclosed or hav	e been submitted pr	eviously.		
	A check in the amount of the fee is e	nclosed.				
-	Payment by credit card. Form PTO-2	038 is attached.				
	The Director has already been autho	rized to charge fees in t	his application to	a Deposit Acc	ount.	
•	<ul> <li>The Director is hereby authorized to Deposit Account Number 06-1910</li> </ul>	charge any fees which r	nay be required, o	or credit any o	verpayment	t, to
[·	Payment made via EFS-Web.		_			
WARI	─ NING: Information on this form may b	ecome public. Credit (	card information	should not b	oe included	l on this form. Provide
credit	card information and authorization o	n PTO-2038.				
l am tl						
	applicant/inventor.			0 -04		
assignee of record of the entire interest. See 37 CFR 3.71. 37 CFR 3.73(b) statement is enclosed (Form PTO/SB/96).						
✓ attorney or agent of record. Registration number 04,010						
attorney or agent acting under 37 CFR 1.34. Registration number						
/Paul J. LaVanway, Jr./			September 24, 2015			
Signature			Date			
	Paul J. Lavanway, Jr.			-/ 30/ Tel	enhone Nur	mher
<b>NOTE:</b> This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. Submit						
multiple forms if more than one signature is required, see below*.						
Ŀ	' * Total of forms	are submitted.				
This co USPTO comple	Ilection of information is required by 37 CFR 1 D to process) an application. Confidentiality is te, including gathering, preparing, and submit	I.136(a). The information is governed by 35 U.S.C. 122 ting the completed applicat	e required to obtain of 2 and 37 CFR 1.11 a ion form to the USP	or retain a benef and 1.14. This co TO. Time will va	it by the publi ollection is est ary depending	ic, which is to file (and by the timated to take 6 minutes to g upon the individual case. Any

complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Unit	ed States Paten	t and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 313-1450	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733	
22859 FREDRIKSON	7590 08/19/201	EXAM	EXAMINER		
INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000			DORNA, CARRIE R		
MINNEAPOLIS, MN 55402		ART UNIT	PAPER NUMBER		
			3735		
			NOTIFICATION DATE	DELIVERY MODE	
			08/19/2015	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

Advisory Action	App   12/8	Dication No. 308,467	Applicant(s HIDEM ET A	) \L.	
Before the Filing of an Appeal Brief	Exa CAF	<b>miner</b> RRIE R. DORNA	<b>Art Unit</b> 3735	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication appears on the cover sheet with the correspondence address					
THE REPLY FILED <u>23 July 2015</u> FAILS TO PLACE THIS . <u>NO NOTICE OF APPEAL FILED</u>	APPL	ICATION IN CONDITION FOR A	ALLOWANCE.		
1. 🖾 The reply was filed after a final rejection. No Notice of A	Appeal	has been filed. To avoid abandor	ment of this ap	plication, applicant must timely file	
one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114 if this is a utility or plant application. Note that RCEs are not permitted in design applications. The reply must be filed within one of the following time periods:					
a) The period for reply expires <u>3</u> months from the n	nailing	date of the final rejection.			
b) I The period for reply expires on: (1) the mailing date In no event, however, will the statutory period for re	e of thi enly ex	s Advisory Action; or (2) the date s pire later than SIX MONTHS from	set forth in the fi the mailing dat	nal rejection, whichever is later.	
c) A prior Advisory Action was mailed more than 3 months after the mailing date of the final rejection in response to a first after-final reply filed within 2 months of the mailing date of the final rejection. The current period for reply expires months from the mailing date of the prior Advisory Action or SIX MONTHS from the mailing date of the final rejection, whichever is earlier. Examiner Note: If box 1 is checked, check either box (a), (b) or (c). ONLY CHECK BOX (b) WHEN THIS ADVISORY ACTION IS THE FIRST RESPONSE TO APPLICANT'S FIRST AFTER-FINAL REPLY WHICH WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. ONLY CHECK BOX (c) IN THE LIMITED SITUATION SET FORTH UNDER BOX (c). See MPEP 706,07(f).					
Extensions of time may be obtained under 37 GFR 1.136(a extension fee have been filed is the date for purposes of de	a). Th etermi	e date on which the petition und ning the period of extension and	ler 37 CFR 1.1 the correspor	36(a) and the appropriate	
appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) or (c) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
2. The Notice of Appeal was filed on A brief in	compl	iance with 37 CFR 41.37 must b	e filed within t	wo months of the date of filing the	
Notice of Appeal (37 CFR 41.37(a)), or any extension Appeal has been filed, any reply must be filed within <u>AMENDMENTS</u>	n ther the tii	eof (37 CFR 41.37(e)), to avoid ne period set forth in 37 CFR 41	dismissal of the 1.37(a).	e appeal. Since a Notice of	
3. The proposed amendments filed after a final rejection	on, bu	t prior to the date of filing a brief	, will <u>not</u> be en	tered because	
a) They raise new issues that would require furth	her co	nsideration and/or search (see N	NOTE below);		
<ul> <li>b) I hey raise the issue of new matter (see NOTI</li> <li>c) They are not deemed to place the application appeal: and/or</li> </ul>	in bei	w); ter form for appeal by materially	reducing or si	mplifying the issues for	
<ul> <li>d) They present additional claims without canceling a corresponding number of finally rejected claims.</li> <li>NOTE:</li></ul>					
4.  The amendments are not in compliance with 37 CFI	R 1.12	21. See attached Notice of Non-	Compliant Ame	endment (PTOL-324).	
5. Applicant's reply has overcome the following rejection	on(s):				
6. [_] Newly proposed or amended claim(s) would allowable claim(s).	be allo	owable if submitted in a separate	e, timely filed a	mendment canceling the non-	
7. For purposes of appeal, the proposed amendment(s): (a) will not be entered, or (b) will be entered, and an explanation of how the new or amended claims would be rejected is provided below or appended. AFEIDAVIT OR OTHER EVIDENCE					
8. A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was/were filed on					
9. The affidavit or other evidence filed after final action, but before or on the date of filing a Notice of Appeal will <u>not</u> be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).					
10. The affidavit or other evidence filed after the date of filing the Notice of Appeal, but prior to the date of filing a brief, will <u>not</u> be entered because the affidavit or other evidence failed to overcome <u>all</u> rejections under appeal and/or appellant fails to provide a showing of good and sufficient reasons why it is necessary and was not earlier presented. See 37 CEB 41 33(d)(1)					
11. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.					
12. The request for reconsideration has been considered but does NOT place the application in condition for allowance because:					
See Continuation Sneet. 13. Note the attached Information <i>Disclosure Statement</i> (s). (PTO/SB/08) Paper No(s).					
14. Other: STATUS OF CLAIMS					
15. The status of the claim(s) is (or will be) as follows:					
Claim(s) allowed:					
Claim(s) rejected: 1-5,37-48 and 51.					
(Chevies A. Merrary 11/	, <del>,</del> , , ,				
Supervisory Patent Examiner, Art Unit 3735		/CARRIE R DORNA/ Examiner, Art Unit 3735			

U.S. Patent and Trademark Office PTOL-303 (Rev. 08-2013)

Advisory Action Before the Filing of an Appeal Brief

Continuation of 12. Applicant's arguments filed 23 July 2015 have been fully considered but they are not persuasive.

Applicant contends that the combination of de Kemp, Fago, and Williams fails to meet the invention of claim 1 in part because Fago does not disclose a computer providing an indication that each elution is completed (arguments, page 3-5). Applicant alleges that since Fago discloses separate, unrelated embodiments wherein a syringe pump is employed to dispense eluant and wherein the volume dispensed is displayed via a drip counter, respectively, Fago fails to disclose providing an indication via a computer when a pump has competed dispensation (arguments, pages 3-5). The examiner does not find these arguments to be persuasive. The broadest reasonable interpretation of the limitation "the computer...being pre-programmed to execute a method, the method comprising:...providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator" requires a computer programmed in a manner that it is capable of performing the recited function of providing an indication of when the pump has completed dispensation. The deficiency of de Kemp with respect to this limitation is that de Kemp lacks a teaching of a computer programmed to be capable of providing an indication that the pump has completed pumping a volume of eluant. Since Fago discloses a computer that is programmed to display a volume of dispensed eluant ([0034]), Fago discloses a computer programmed to display a volume of dispensed eluant of an elution is complete. Fago teaches the benefit of providing such a display is to provide a practitioner with an indication of when the proper desired amount of eluant thas been dispensed ([0004]; [0034]). Accordingly, the system of de Kemp modified in view of this teaching of Fago would result in a computer providing an indication via its interface of when the pump has completed pumping the desired volume of eluant.

Applicant additionally contends the cited combination of de Kemp, Fago, and Williams further fails to meet the invention of claim 1 as Williams does not disclose displaying the time elapsed since completion of an elution (arguments, pages 5-6). Applicant alleges that Williams is not directed to a radioisotope generator, and further teaches displaying the time elapsed since the "beginning" of a procedure (the introduction of contrast media into a patient) instead of the time elapsed since the completion of a procedure (elution) (arguments, page 7). The examiner does not find these arguments to be persuasive. The broadest reasonable interpretation of the limitation "the computer...being pre-programmed to execute a method, the method comprising:...displaying, via the computer interface, a time lapse sinse each elution was completed" requires a computer programmed in a manner that is capable of performing the recited function of displaying via an interface a time lapse since the completion of an elution. While Fago describes time data related to dispensation of an elution may be used to determine "a certain time when an elution should be performed" based on a calculated "expected radioactivity level at a specified future time" ([0034]), de Kemp and Fago fail to disclose a computer programmed to be capable of displaying a time lapse since the elution was completed. Since Williams discloses a system including a computer programmed to display via a time counter the time elapsed following a "dispensation function" ([0044]-[0045]), Williams discloses a computer programmed in a manner that is capable of enabling a practitioner to efficiently monitor dispensation in a single interface ([0006]; [0045]).

Applicant contends that the Office has not properly supported a prima facie conclusion of obviousness with respect to the limitations of claim 51 (arguments, pages 8-9). The examiner does not find this argument to be persuasive. The limitations of claim 51 further define the limitations of claim 1 directed to displaying a time lapse for which Williams is applied, and is maintained for the reasons noted above. Williams discloses a displayed "elapsed time counter graphic 410" in Figure 4 that is described in paragraph [0045] as updated "in real time" ([0045]), thus is a "timer counting up or down". The limitations of claim 51 are met by the combination of de Kemp, Fago, and Williams, and would have been obvious to one of ordinary skill in the art at the time of the invention for the reasons noted above and in the previous Office action.

PATENT Attorney Docket No.: 56782.1.7.2

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	Jacqueline CHENG
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/O	CLUDING COMP R OPERATION A	PUTER-FACILITATED

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **AFTER-FINAL RESPONSE**

Dear Commissioner:

22859

Customer Number

In response to the Office Action mailed April 24, 2015, the period of response for which runs through July 24, 2015, reconsideration of the application is respectfully requested in view of the following remarks.

Remarks begin on page 2 of this paper.

### **REMARKS**

These Remarks are responsive to the Office Action dated April 24, 2015. Claims 1–7 and 36–51 remain pending, with claims 6, 7, 36, 49, and 50 withdrawn from consideration. Reconsideration of the application is respectfully requested.

## Claim Rejections Under 35 U.S.C. § 103(a)

In the Final Office Action, the Examiner maintained the rejections set out in the Non-Final Office Action dated November 25, 2014. In particular, the Final Office Action rejected claims 1, 43 and 51 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over deKemp et al. (US 2007/0213848, hereinafter "deKemp '848") in view of Fago (US 2008/0237502) and Williams et al. (US 2007/0260213, hereinafter "Williams"). In addition, claims 2–5, 37–42, and 44–48 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over de Kemp '848 in view of Fago and Williams and in further view of additional cited references. Applicant respectfully traverses the rejections. The applied references fail to disclose or suggest the features defined by the claims, and there would have been no apparent reason for modification to arrive at the claim features.

In response to Applicant's previous arguments discussing the deficiencies of deKemp '848 in view of Fago and Williams, the Final Office Action indicated that the remarks were considered by the Examiner but that the Examiner deemed the rejections to still be proper.<sup>1</sup> Accordingly, the current Office Action rejected the claims on the same grounds of rejection presented in the Office Action dated November 25, 2014.<sup>2</sup> Applicant respectfully disagrees.

As an initial matter, Applicant reiterates and incorporates by reference the arguments presented in the Office Action response dated February 23, 2015 as to why the applied references do not render the pending claims unpatentable. Those remarks focused on two primary points traversing the grounds of rejection lodged against the pending claims. First, Applicant submitted that the applied references do not disclose or suggest a system that includes a computer pre-programmed to execute a method that includes "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of

<sup>&</sup>lt;sup>1</sup> See Final Office Action dated April 24, 2015, at page 18.

<sup>&</sup>lt;sup>2</sup> See id. at page 3.

eluant through the generator."<sup>3</sup> Second, Applicant took the position that the Office Action had improperly characterized Williams as teaching a "display of time lapse since each elution."<sup>4</sup> The "Response to Arguments" section of the present Office Action responded to those earlier arguments of Applicant.

While Applicant appreciates the additional insight into the grounds of rejection provided by the Examiner in the "Response to Arguments" section, Applicant still respectfully disagrees with the rejections. For sake of clarity, the following remarks focus on why Applicant still respectfully disagrees with the rejection of the claims, as those rejections were developed in the "Response to Arguments" portion of the Office Action.

# Issue 1

In Applicant's remarks responding to the Non-Final Office Action dated November 25, 2014, Applicant explained why, even if the system of deKemp '848 were modified in view of Fago and Williams as proposed in the Office Action, the resulting combination would not yield a method that involves "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator." Specifically, the Office Action acknowledged that deKemp '848 does not disclose the feature but cited Fago in an attempt to overcome the acknowledged deficiency.<sup>5</sup> In particular, the Office Action cited FIGS. 6 and 7 of Fago and related description in the reference as disclosing an electronic drop counter that counts drops passing through a drip chamber and is communicatively coupled to a display "for displaying metrics relating to the elution process."<sup>6</sup> The Office Action appeared to allege that this disclosure of Fago overcomes the acknowledged deficiencies of deKemp '848.<sup>7</sup>

However, the embodiments of cited FIGS. 6 and 7 of Fago do not even have a pump, much less provide an indication related to a pump. Instead, Fago describes that the systems in cited FIGS. 6 and 7 utilize an eluate collection bottle that "begin[s] in an evacuated condition."<sup>8</sup> The reference continues to describe that the evacuated collection bottle causes "eluate residing in

<sup>&</sup>lt;sup>3</sup> See Office Action response dated February 23, 2015, at page 3.

<sup>&</sup>lt;sup>4</sup> See id. at page 7.

<sup>&</sup>lt;sup>5</sup> See Office Action dated April 24, 2015, at page 4.

<sup>&</sup>lt;sup>6</sup> See id. at page 4-5.

<sup>&</sup>lt;sup>7</sup> See id.

<sup>&</sup>lt;sup>8</sup> Fago at paragraph [0031].

the generator 22 to begin filling the bottle," which correspondingly causes eluate from the eluate supply container 4 to "begin flowing into the generator 22."<sup>9</sup> In other words, the systems in cited FIGS. 6 and 7 of Fago do not have a pump but instead utilize a pressure differential to drive eluant flow.

Because Fago does not have a pump, Fago necessarily does not provide any indication "when a pump has completed pumping," as per claim 1. Since the Office Action relied on Fago to teach this feature of independent claim 1 and overcome the acknowledged deficiencies of deKemp '848, and since Fago does not in fact disclose the feature, the combination of deKemp '848 in view of Fago does not render the claim feature prima facie obvious.

The current Final Office Action responded to Applicant's prior arguments concerning the failure of the applied references to disclose or suggest a method that involves "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator." In particular, the current Final Office Action responded by alleging that "Fago does teach provid[ing] an indication when a pump has completed pumping" because "Fago teaches a syringe pump [that] is adapted to inject eluent into a generator and teaches an electronic drop counter [to] count the drops passing through the drip chamber."<sup>10</sup> The Final Office Action further stated that Fago "would provide an indication that the pump has completed pumping when the display shows no volume of fluid is passing through the drip chamber."<sup>11</sup>

Applicant respectfully disagrees. Contrary to the position taken in the "Response to Arguments" section of the present Final Office Action, Fago does not disclose or suggest the use of a syringe pump in combination with a drip chamber. Rather, Fago describes two different and unrelated embodiments: one embodiment utilizes a syringe pump but not a drip chamber while the second embodiment utilizes a drip chamber but not a syringe pump. For example, in connection with FIG. 5, Fago describes a generator that has a syringe pump but does not have a drip counter.<sup>12</sup> In this embodiment, Fago describes that graduations or volumetric marks on the syringe enable the user to measure and/or observe the amount of eluant injected into the

<sup>&</sup>lt;sup>9</sup> See id.
<sup>10</sup> Final Office Action dated April 24, 2015, at page 18.

<sup>&</sup>lt;sup>12</sup> See, e.g., Fago at FIG. 5; paragraph [0032].
generator.<sup>13</sup> For embodiments where there is no pump to directly measure the amount of eluant injected, an alternative measuring arrangement is needed. Accordingly, in connection with the embodiments of FIGS. 6 and 7, which do not have a pump but instead utilize a pressure differential to drive eluant flow, Fago describes using a drip chamber to measure eluant flow.<sup>14</sup>

Nowhere, however, does Fago disclose or suggest using a syringe pump in combination with a drip counter. Indeed, such a combination would not appear to be technically feasible because the pressurized stream of eluant discharging from the pump would not form the slow stream of drips needed for counting and tracking by a drip counter. Accordingly, the factual grounds relied upon in the Final Office Action to assert that Fago discloses providing an indication when a pump has completed pumping is not supported by substantial evidence. Fago does not disclose or suggest providing "an indication that the [syringe] pump has completed pumping when the display shows no volume of fluid is passing through the drip chamber"<sup>15</sup> because Fago does not disclose or suggest using a syringe pump in combination with a drip counter.

#### Issue 2

In Applicant's remarks responding to the Non-Final Office Action dated November 25, 2014, Applicant also took the position that the rejection of independent claim 1 based on deKemp '848 in view of Fago and Williams is improper because the Office Action improperly characterized the teachings of Williams.<sup>16</sup> In support of the rejection of independent claim 1, the Office Action conceded that neither deKemp '848 nor Fago disclose a computer preprogrammed to execute a method that includes "displaying, via the computer interface, a time lapse since each elution was completed."<sup>17</sup> However, the Office Action cited Williams in an attempt to overcome this deficiency.<sup>18</sup> Specifically, the Office Action rejected independent claim 1 based on the legal conclusion that it would have been obvious to modify the elution process of deKemp '848 and Fago "to display a time lapse <u>since each elution as taught by</u>

<sup>&</sup>lt;sup>13</sup> See id. at paragraph [0032].

<sup>&</sup>lt;sup>14</sup> See id. at paragraph [0033].

<sup>&</sup>lt;sup>15</sup> See Final Office Action dated April 24, 2015, at page 18.

<sup>&</sup>lt;sup>16</sup> See Office Action response dated February 23, 2015, at page 6–7.

<sup>&</sup>lt;sup>17</sup> See Final Office Action dated April 24, 2015, at page 5.

<sup>&</sup>lt;sup>18</sup> See id.

Williams."<sup>19</sup> Williams, though, does not even describe a radioisotope generator that generates eluate via elution, much less a "display of time lapse since each elution."

Williams is directed to an injection device for dispensing contrast media as part of a dispensing procedure.<sup>20</sup> For example, Williams describes that the injection device includes syringes that can be filled with contrast media, flushing media, or combinations thereof for injection into a patient.<sup>21</sup> Nowhere, however, does Williams describe a radioisotope generator system or generating eluate via elution. Williams makes no mention of such a feature. Therefore, Williams necessarily cannot and does not teach displaying "a time lapse since each elution," as asserted in the Office Action.

The current Final Office Action responded to Applicant's prior arguments concerning the failure of Williams to disclose or suggest displaying "a time lapse since each elution." In particular, the current Final Office Action responded by stating that "Williams teaches an elution of contrast media via a dispensing device that is injected into a patient for use in a medical imaging procedure."<sup>22</sup> The Final Office Action further stated that "Williams teaches an elapsed time counter graphic (410) to monitor the elapsed time since the introduction of contrast media into a patient.<sup>23</sup> Applicant again respectfully disagrees.

First, Applicant wishes to reiterate that, contrary to the assertion in the "Response to Arguments" section, Williams does not disclose or suggest elution or generating eluate via elution. While the cited passages of Williams mention contrast media, the passages do not expressly or inherently disclose elution of contrast media. Indeed, the passages make no mention of elution. It is unclear to Applicant how the Office Action is characterizing Williams as purportedly teaching elution, particularly since the Office Action states that Williams teaches "an elution of contrast media via a dispensing device." The dispensing device described in the cited passages of Williams is an injection syringe. On the other hand, Applicant's independent claim 1 requires a radioisotope generator that generates eluate via elution within the generator. Accordingly, Williams does not disclose elution as required by claim 1 and therefore cannot and does not teach displaying "a time lapse since each elution," as further recited by the claim.

<sup>&</sup>lt;sup>19</sup> *Id.* at page 6.
<sup>20</sup> *See* Williams at Abstract.

<sup>&</sup>lt;sup>21</sup> See id. at paragraph [0021].

<sup>&</sup>lt;sup>22</sup> Final Office Action dated April 24, 2015, at page 19.

<sup>&</sup>lt;sup>23</sup> Id.

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Second, the characterization of Williams advanced in the "Response to Arguments" section supports—rather than refutes—Applicant's arguments that deKemp '848 in view of Fago and Williams fails to disclose or suggest all the elements required by the claims. As discussed above, Applicant took the position that Williams does not describe a radioisotope generator that generates eluate via elution and therefore cannot disclose a "display of time lapse since each elution." The Office Action responded by stating that "Williams teaches an elapsed time counter graphic (410) to monitor the elapsed time since the introduction of contrast media into a patient."<sup>24</sup> However, Applicant's independent claim 1 does not recite monitoring elapsed time "since the introduction of contrast media into a patient." An elapsed time since the introduction of contrast media into a patient (as the Office Action alleged Williams teaches) is different than a time lapse since each elution is completed (as per claim 1).

The Office Action based the rejection of independent claim 1 on the legal conclusion that it would have been obvious to modify the elution process of deKemp '848 and Fago "to display a time lapse <u>since each elution as taught by Williams</u>."<sup>25</sup> Since Williams does not teach displaying a time lapse since each elution, as acknowledged in the "Response to Arguments" section of the Office Action, the Office Action did not support the rejection of the claim features by substantial evidence.

#### **Summary**

For at least the reasons given above, Applicant respectfully submits that the rejection of independent claim 1 is unfounded and should be reversed. Contrary to the position taken in the Office Action, the combination of the de Kemp '848 reference in view of Fago does not disclose or suggest a computer pre-programmed to execute a method that includes "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator." Further, the Office Action improperly characterized the teachings of Williams in a way that is not supported by the reference.

<sup>&</sup>lt;sup>24</sup> Id.

 $<sup>^{25}</sup>$  Id. at page 6.

Claims 2–5, 37–48, and 51 depend from independent claim 1 and are therefore patentable for at least the reasons given above with respect to the independent claim, as well as upon additional patentable features and elements claimed in the dependent claims but not explicitly discussed herein. None of the other references overcome the fundamental deficiencies of the de Kemp '848 reference, Fago, and Williams set forth above.

For example, with respect to claim 51, the applied references do not disclose or suggest a computer pre-programmed to execute a method where displaying the time lapse since each elution was completed comprises displaying a timer counting up or down since each elution was completed. In support of the rejection of this feature, the Office Action cited paragraph [0038] and FIG. 4 of Williams. Applicant respectfully disagrees.

Applicant reiterates that Williams does not disclose any type of elution and therefore cannot disclose a "time lapse since each elution was completed." Moreover, in connection with claim 51, the passages of Williams cited in the Office Action do not disclose a timer counting up or down since any type of medical procedure is completed, much less "each elution was completed." Williams describes initiation of the "time counter graphic 410" in cited paragraph [0045] of the reference as follows:

[T]he elapsed time counter graphic 410 may be automatically initiated <u>concurrently with a dispensing function</u>, such as the dispensing of contrast media by the extension of the injector ram 215, 216 into a syringe 211, 213 filled with contrast media. Thus, a user of the dispensing medical device 115 may, using this embodiment of the computer program product of the present invention, monitor the elapsed time <u>since the introduction of contrast media into a patient</u>  $\dots^{26}$ 

As seen above, Williams describes monitoring a time "since the introduction of contrast media into a patient." Such time would be the beginning of the medical procedure, not when the medical procedure is "completed."

Applicant notes that the Final Office Action did not address Applicant's prior remarks concerning the insufficiency of the Williams reference to disclose or suggest the features of claim 51. The Final Office Action did not respond to the remarks or provide Applicant with any additional guidance on how allegedly the applied references render the features of claim 51 obvious. Applicant respectfully submits that the prior remarks responding to the rejection of claim 51 in the Non-Final Office Action dated November 25, 2014 overcame any *prima facie* case of obviousness. Accordingly, the burden shifted back to the Patent Office to provide

<sup>&</sup>lt;sup>26</sup> *Id.* at paragraph [0045].

Application No. 12/808,467 Response to Office Action mailed April 24, 2015

reasons and evidence to substantiate a *prima facie* case of obviousness. Since the Office Action did not provide any further discussion of claim 51 in the Final Office Action, Applicant respectfully submits that a *prima facie* case of obviousness has not been established.

#### **CONCLUSION**

It is submitted that all claims in this application are in condition for allowance. Applicant respectfully requests reconsideration and prompt allowance of all pending claims.

In view of the fundamental differences identified above, Applicant reserves further comment concerning the additional features set forth in the claims. However, Applicant does not acquiesce in the propriety of the Office Action's application or interpretation of the references with respect to the claims, and reserves the right to present additional arguments in any further prosecution of this application.

The Commissioner is authorized to charge any deficiencies and credit any overpayments to Deposit Account No. 06-1910. The Examiner is invited to telephone the undersigned attorney to discuss this application.

Dated: July 23, 2015

Respectfully submitted,

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077 /Paul J. LaVanway, Jr./

Paul J. LaVanway, Jr. Registration No. 64,610

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.

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Electronic Acknowledgement Receipt				
EFS ID:	23001197			
Application Number:	12808467			
International Application Number:				
Confirmation Number:	3733			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE			
First Named Inventor/Applicant Name:	Stephen E. Hidem			
Customer Number:	22859			
Filer:	Paul J. LaVanway Jr./Sarah Munson			
Filer Authorized By:	Paul J. LaVanway Jr.			
Attorney Docket Number:	56782.1.7.2			
Receipt Date:	23-JUL-2015			
Filing Date:	16-JUN-2010			
Time Stamp:	17:14:26			
Application Type:	U.S. National Stage under 35 USC 371			

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.								
<u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning								

an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		12808467
Filing Date		2010-06-16
First Named Inventor	Steph	en E. Hidem
Art Unit		3735
Examiner Name	Jacqu	eline Cheng
Attorney Docket Number		56782.1.7.2

PTO/SB/08a (01-10)

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	2	4160910	A	1979-07	'-10	Thornton et al.			
	3	4759345	A	1988-07	<b>'-</b> 26	Mistry	Mistry		
	4	6639237	B2	2003-10	9-28	Pedersen et al			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12808467	
	Filing Date		2010-06-16	
	First Named Inventor Stephe		hen E. Hidem	
	Art Unit		3735	
	Examiner Name	Jacqu	ueline Cheng	
	Attorney Docket Numb	er	56782.1.7.2	

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<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.									

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12808467	
	Filing Date		2010-06-16	
	First Named Inventor	Steph	hen E. Hidem	
	Art Unit		3735	
	Examiner Name	Jacqu	Jeline Cheng	
	Attorney Docket Number		56782.1.7.2	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

X The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2015-06-08
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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Electronic Patent Application Fee Transmittal					
Application Number:	128	308467			
Filing Date:	16-	Jun-2010			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Filer:	Paul J. LaVanway Jr.				
Attorney Docket Number:	56782.1.7.2				
Filed as Large Entity					
Filing Fees for U.S. National Stage under 35 USC 371					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	)(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	22560769			
Application Number:	12808467			
International Application Number:				
Confirmation Number:	3733			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE			
First Named Inventor/Applicant Name:	Stephen E. Hidem			
Customer Number:	22859			
Filer:	Paul J. LaVanway Jr./Sarah Munson			
Filer Authorized By:	Paul J. LaVanway Jr.			
Attorney Docket Number:	56782.1.7.2			
Receipt Date:	08-JUN-2015			
Filing Date:	16-JUN-2010			
Time Stamp:	18:06:38			
Application Type:	U.S. National Stage under 35 USC 371			

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Payment was successfully received in RAM	\$180	
RAM confirmation Number	4882	
Deposit Account		
Authorized User		
The Divertex of the USDTO is hereby outherized to shown indicated for and we ditany everyown at as follows:		

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
Information Disclosure Statement (ID	Information Disclosure Statement (IDS)	56782 1 7 2 SIDS.pdf	612367	no	4
	Form (SB08)		a54f103222a1d50a7442a32ce95c20c80d9c 2c45		
Warnings:					
Information:					
			30780		
2	Fee Worksheet (SB06)	fee-info.pdf	b48de05d362971fa710d5ed2b559ec3b95e da12e	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	: 64	43147	
haracterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an Acknowledg	d by the applicant, and including pages described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin	t on the noted date by the U ge counts, where applicable. tion includes the necessary o R 1.54) will be issued in due g date of the application.	It serves as evidence components for a filin course and the date s	of receipt s og date (see hown on th	s, imilar to a 37 CFR is
<u>National Star</u> If a timely su U.S.C. 371 an national sta <u>c</u> <u>New Interna</u>	ge of an International Application un bmission to enter the national stage nd other applicable requirements a F ge submission under 35 U.S.C. 371 wi tional Application Filed with the USP	ider 35 U.S.C. 371 of an international applicati orm PCT/DO/EO/903 indicati Il be issued in addition to the TO as a Receiving Office	ion is compliant with ing acceptance of the e Filing Receipt, in du	the conditic application e course.	ons of 35 as a
If a new inter an internatic and of the In national secu	rnational application is being filed ar onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack	nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c mowledgement Receipt will	ion includes the nece of the International <i>i</i> ourse, subject to pres establish the internat	ssary comp Application scriptions co tional filing	onents foi Number oncerning date of

267 of 2987

the application.

	<u>ed States Paten</u>	t and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.usplo.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 313-1450		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733		
22859 7590 04/24/2015 FREDRIKSON & BYRON P A			EXAM	EXAMINER		
INTELLECTUAL PROPERTY GROUP 200 SOUTH SIXTH STREET, SUITE 4000 MINNEAPOLIS, MN 55402		HYDE, EILF	HYDE, EILEEN FOLEY			
		ART UNIT	PAPER NUMBER			
		3735				
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		04/24/2015	ELECTRONIC			

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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	Applicatio 12/808,46	<b>n No.</b> 7	Applicant(s) HIDEM ET AL.	
Office Action Summary	Examiner EILEEN FO	DLEY	Art Unit 3735	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	pears on the	cover sheet with the c	orresponder	nce address
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period</li> <li>Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	Y IS SET TO 136(a). In no even will apply and will e, cause the appli g date of this corr	DEXPIRE <u>3</u> MONTHS nt, however, may a reply be tir expire SIX (6) MONTHS from cation to become ABANDONE munication, even if timely filed	S FROM THI nely filed the mailing date D (35 U.S.C. § 10 d, may reduce any	E MAILING DATE OF of this communication. 33). y
Status				
1) Responsive to communication(s) filed on Febr	ruary 23, 20	<u>15</u> .		
A declaration(s)/affidavit(s) under <b>37 CFR 1</b> .	<b>130(b)</b> was/v	were filed on <u>.</u>		
2a) This action is <b>FINAL</b> . 2b) This	s action is no	on-final.		
3) An election was made by the applicant in resp	onse to a re	striction requirement	set forth dur	ing the interview on
; the restriction requirement and election	n have been	incorporated into this	action.	
4) Since this application is in condition for allowa closed in accordance with the practice under <i>I</i>	nce except f Ex parte Qua	or formal matters, pro ayle, 1935 C.D. 11, 4	secution as 53 O.G. 213	to the merits is
Disposition of Claims*				
5) Claim(s) <u>1-7 and 36-51</u> is/are pending in the a	pplication.			
5a) Of the above claim(s) <u>6,7,36,49 and 50</u> is/a	are withdraw	n from consideration.		
6) Claim(s) is/are allowed.				
7)⊠ Claim(s) <u>1-5,37-48 and 51</u> is/are rejected.				
8) Claim(s) Is/are objected to.	v alaatiaa va	auticomont		
9) Glaim(s) are subject to restriction and/c	or election re	quirement.	ecoution Hig	burgu program at a
n any claims have been determined <u>allowable</u> , you may be e	anglication Ec	r more information ples		nway piogram at a
http://www.uspto.gov/patents/ipit_events/pph/index isp.or.send	d an inquiry to	PPHfeedback@uspto		
Application Denous			1	
Application Papers $10\sqrt{10}$ The specification is objected to by the Examine	or			
11) The drawing(s) filed on is/are: a)	σι. Sented or b)Γ	Objected to by the	Evaminer	
Applicant may not request that any objection to the	drawing(s) be	_ objected to by the	= 37 CFB 1 8 <sup>/</sup>	5(a)
Replacement drawing sheet(s) including the correct	tion is require	d if the drawing(s) is ob	iected to. See	≥ 37 CFR 1.121(d).
	I	3( )	,	
12) Acknowledgment is made of a claim for foreign	n priority und	or 35     S ( 8 110/a)	(d) or (f)	
Certified copies:	i phonty and		, (u) or (i).	
a) $\square$ All b) $\square$ Some <sup>**</sup> c) $\square$ None of the:				
1. Certified copies of the priority document	nts have bee	n received.		
2. Certified copies of the priority documen	nts have bee	n received in Applicat	tion No	<u>     .</u> .
3. Copies of the certified copies of the price	ority docume	ents have been receiv	ed in this Na	ational Stage
application from the International Burea	u (PCT Rule	e 17.2(a)).		
** See the attached detailed Office action for a list of the certifi	ied copies not	received.		
Attachment(s)				
1) 🔲 Notice of References Cited (PTO-892)		3) 🔲 Interview Summary	(PTO-413)	
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/ Paper No(s)/Mail Date <u>See Continuation Sheet</u> .	′SB/08b)	Paper No(s)/Mail Da 4)  Other:	ate	
U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Office Action	Summary		Part of Paper N	No./Mail Date 20150416

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269	of	2987	

Continuation of Attachment(s) 2). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :March 27, 2015; December 23, 2014.

#### **DETAILED ACTION**

#### Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

#### **Response to Amendment**

2. The Response filed on February 23, 2015 is acknowledged. Claims 1-5, 37-48, & 51 are

pending and claims 6, 7, 36, 49, & 50 are withdrawn based on a prior restriction/election.

#### Claim Rejections - 35 USC § 103

# 3. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under pre-

AIA 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or

nonobviousness.

<u>Claims 1, 43, and 51</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. (US Publication No. 2007/0213848 A1) (hereinafter "deKemp et al. US '848") (cited in the Office Action dated January 4, 2013) in view of Fago (US Publication No. 2008/0237502 A1) (cited in the Office Action dated October 2, 2013) and Williams et al. (US Publication No. 2007/0260213 A1) (cited in the Office Action dated November 25, 2014).

<u>Regarding claim 1</u>, deKemp et al. US '848 teaches an eluent reservoir (*reservoir* (4) (paragraph [0025]; Figure 3)), a pump coupled to the reservoir (*pump* (6) (paragraph [0025]; Figure 3)), an infusion tubing circuit (generator valve (16), bypass line (18), patient valve (24), elution system (14) (paragraph [0025]; Figure 3) and feed-line (33) (paragraph [0028]; Figure 5)), a radioisotope generator (*strontium-rubidium* ( $^{82}Sr/^{82}Rb$ ) generator (8) (paragraph [0025]; Figure 3)), an activity detector (positron detector (20) (paragraph [0025]; Figure 3)), a waste bottle (*waste reservoir* (26) (paragraph [0025]; Figure 3)), a computer (*controller* (28) (paragraph [0025]; Figure 3)) and a computer interface (user interface (44) (Figure 3)). deKemp et al. US '848 also teaches the infusion tubing circuit including an eluant line coupled to the pump and to the generator (*pump* (6) for drawing saline from reservoir (4) (paragraph [0025])) and an eluate line coupled to the generator, to the activity detector and to the waste bottle (generator value (16) to proportion saline flow between the generator (8) and a bypass line (18) which meet at merge point (22), a positron detector (20) downstream of merge point (22), a patient valve (24) to control supply of saline to patient outlet (10) and waste reservoir (26) (paragraph [0025])).

deKemp et al. US '848 further teaches a controller (28) is connected to pump (6), positron detector (20), valves (16) and (24), and user interface (44) (paragraph [0025]; Figure 3)

that pump (6) and valves (16, 24) are controlled to route saline through the system (14) in accordance with various modes of operation (paragraphs [0029]-[0032]; Figures 6a-6d). deKemp et al. US '848 teaches a pump (6) for drawing saline from the reservoir (4) at a desired flow rate (paragraph [0025]). During an "elution" mode, deKemp et al. US '848 discloses generator valve (16) is actively controlled by a control loop (42) from the positron detector (20) to proportion saline flow through both the generator (8) and bypass line (18) (paragraph [0032]).

deKemp et al. US '848 does not explicitly teach the use of a computer to control the modes of operation of the system, providing an indication that the elution is complete, or displaying, via the computer interface, a time lapse since each elution was completed.

Fago teaches visualization of the eluate collection bottle (34) facilitates determining when the elution process is complete, e.g. the eluate collection bottle (34) is full (paragraph [0031]). Fago teaches a syringe pump (40 is adapted to inject the eluent (18) into the generator (22) via tubing (10) (paragraph [0032]). Fago teaches a drip chamber (44) is incorporated in the tubing (10) to facilitate tracking or identification of an amount of eluent flowing into a generator (22) (paragraph [0033]; Figure 6). Fago teaches an electronic drop counter (46) may be used to count the drops passing through the drip chamber (44) by using an LED (48) and a photo detector (50) to determine when a drop passes through the drip chamber (44) (paragraph [0033]; Figure 7). Fago teaches this facilitates the provision of metrics relating to the amount of eluant being passed from the eluant passing from the eluent supply container (4) into the generator (22) (paragraph [0033]). Fago teaches the drop counter (46) is coupled to an electronic device and/or computer (54) to store data, facilitate communication with other device, and/or perform calculations relating to the elution process (paragraph [0034]). Fago teaches the drop counter

(46) is communicatively coupled to a display (52) for displaying metrics relating to the elution process and that the display may be incorporated into the computer (54) (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of controlling an <sup>82</sup>Sr/<sup>82</sup>Rb elution system of deKemp et al. US '848 to include the displayed volume and time calculations taught by Fago in order to estimate when a generator will be ready for another elution process (Fago, paragraph [0034]).

Fago teaches the volume and/or time associated with each elution process may be tracked and displayed to enable the user to estimate when the generator will be ready for another elution process paragraph [0034]), but the combination of de Kemp et al. US '848 and Fago does not teach displaying, via the computer interface, a time lapse since each elution was completed.

Williams teaches methods for displaying elapsed time information related to the dispensing of media by the dispensing device in relation to a medical procedure (paragraph [0001]). Williams teaches when the user interface (230) comprises a display device, a user may view data corresponding to the dispensing operation, such as flow rate, elapsed time from injection of media (paragraph [0025]). Williams teaches the storage device may be configured to be capable of storing a plurality of display formats and/or the displays of various dispensing tools, including an elapsed time display showing time elapsed from a given dispensing operation (paragraph [0027]). Williams teaches a computer program product comprises executable portions for directing the dispensing medical device (115) to perform at least one dispensing function, to manipulate and/or adjust the display parameters of the user interface (230) (paragraph [0045]). Williams teaches a status text graphic (470) indicating the status of a given dispensing operation, such as its completion, and an elapsed time counter graphic (410) to monitor the elapsed time

Page 5

since the introduction of contrast media into a patient (paragraph [0045]). It would have been obvious to one of ordinary skill in the art at the time of the invention to use the data associated with each elution process taught by de Kemp et al. US '848 and Fago to display a time lapse since each elution as taught by Williams in order to monitor the elapsed time without a need to activate and/or monitor a timing device that is separate from the display (Williams, paragraph [0045]).

Regarding claim 43, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110), or to a shielded waste container (90) ("diverting an initial volume of eluate to the waste bottle") (paragraph [0025]). deKemp et al. US '848 does not teach tracking the initial volume or eluate or providing an indication when the waste bottle needs to be emptied.

Fago teaches an eluate collection bottle (34) may have a standard or predefined volume (paragraph [0031]). Fago teaches a user can observe, based on the visualization portal and index marks (19), the eluent levels in the eluant supply container (4) go down in an amount generally corresponding to the amount of eluate received in the eluate collection bottle (34) ("tracking the initial volume of the eluate") (paragraphs [0026] and [0031]). Fago teaches this visualization facilitates determining when the elution process is complete, e.g. the eluate collection bottle is full ("providing an indication when the waste bottle needs to be emptied") (paragraph [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify

the waste bottle of deKemp et al. US '848 to include the visualization portal and index marks taught by Fago in order to track the amount of fluid in the bottle (Fago, paragraph [0031]).

<u>Regarding claim 51</u>, Williams teaches displaying a timer counting up or down since each elution was completed (paragraph [0038]; *see* timer graphic (410) in Figure 4).

6. <u>Claims 2-4, 38, 39, 44, and 48</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Tate et al. (US Publication No. 2008/0177126 A1) (cited in the Office Action dated January 4, 2013).

Regarding claim 2, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]) and Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]), but the combination of deKemp et al. US '848, Fago, and Williams does not teach maintaining a record of the elutions.

Tate teaches a Records or Injection History button (1022) (paragraph [0181]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the Records or Injection

History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

<u>Regarding claim 3</u>, Tate teaches printing the injection information, including information about the activity and volume of the dose delivered to the patient and displaying it in pop-up (1240) (paragraph [0235]).

<u>Regarding claim 4</u>, Tate teaches a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]).

<u>Regarding claim 38</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1. deKemp et al. US '848 teaches sampling the activity level in the dose calibrator at regular intervals throughout the duration of the elution run (paragraph [0055]). deKemp et al. US '848 also teaches calibration data collected during the elution can be used to calculate the proportionality constant K between the activity parameter ( $C_{det}$ ) and the <sup>82</sup>Rb activity concentration (paragraphs [0056]-[0057]). The combination of deKemp et al. US '848, Fago, and Williams does not teach providing via the computer interface, calibration data entry fields for a user.

Tate teaches user-friendly data entry mechanisms for the system (10) (paragraph [0170]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the calibration and activity data of deKemp et al. US '848, Fago, and Williams to be input by a user through the user-friendly data entry mechanisms taught by Tate in order to clearly and unambiguously communicate the current status of the system to an operator (Tate, paragraph [0170]).

<u>Regarding claim 39</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1, but does not teach providing a patient identification entry field for a user to enter a patient identification number, receiving a detected activity level of a dose of eluate from the activity detector, or compiling a report that includes an identification number for the generated dose and detected activity level.

Tate teaches the touch screen arrangement (1000) can be used for four categories of tasks, including Patient Treatment (paragraph [0172]). Tate discloses the "Patient Treatment" category includes a number of tasks including inputting patient and/or case identification information into the system (10) and measuring the activity level of the radiopharmaceutical dose (paragraph [0207]). Tate teaches that the pharmaceuticals are used in imaging procedures (paragraph [0069]). Tate teaches that the printer (24) may be used to generate records of the injection and/or imaging procedures performed for inclusion in their medical records (paragraph [0075]). Tate discloses a "Case Information" pop-up display (1217) including an "Identification" field (1217a) and a keypad (1217j) for inputting a patient or other identification number (paragraph [0216]). Tate also discloses a detailed injection history display (1360), which includes activity level of the injection and the patient identification number, and can be printed using "Print" button (1363) (paragraph [0245]; Figure 34B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the identification information taught by Tate in order to generate records of the injection and/or imaging procedures performed for inclusion in patient's medical records (Tate, paragraph [0075]).

<u>Regarding claim 44</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1, but does not teach the computer interface comprises a touch-activated display screen.

Tate teaches that the fluid delivery system (10) includes a display or graphical user interface display (15) for programming and operating the system (10) and may incorporate touch-screen capability (paragraph [0071]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the touch-screen capability taught by Tate for ease of use of the system (Tate, paragraph [0071]).

Regarding claim 48, Tate teaches the fluid delivery system (10) includes a printer (24) (paragraph [0074]). Tate teaches that the printer (24) may generate records of the injection and/or imaging procedures performed on patients and may be pivotally connected to the system (10) to allow an operator to load paper or labels into the printer (24) (paragraph [0075]; Figure 1B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the printer taught by Tate in order to include data in patients' medical records or for billing/inventory purposes (Tate, paragraph [0075]).

7. <u>Claim 5</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Tate and deKemp (US Publication No. 2007/0140958 A1) (hereinafter "deKemp US '958") (cited in the Office Action dated January 4, 2013).

Regarding claim 5, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]).

Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]). Fago teaches an actual radioactivity level of the eluate can be determined at a given time, programmed into the computer (54) ("receiving a detected activity level and entering the detected activity level for each elution into the record") (paragraph [0034]). Fago teaches the radioactivity level can be incorporated with other data to determine an expected radioactivity level at a specified future time and thus estimate when the generator will be ready for another elution process ("calculating and tracking the amount of activity left in the generator after each elution") (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of deKemp et al. US '848 to include the radioactivity information and estimations taught by Fago in order to estimate when a generator will be ready to perform another elution process (Fago, paragraph [0034]).

The combination of deKemp et al. US '848, Fago, and Williams does not teach maintaining a record of the elutions or compiling a report that includes an amount of activity left in the generator.

Tate discloses user-friendly data entry mechanisms for the system (10) (paragraph [0170]). Tate teaches a Records or Injection History button (1022) (paragraph [0181]) and a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the Records or Injection History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

The combination of deKemp et al. US '848, Fago, Williams, and Tate does not teach compiling a report that includes an amount of activity left in the generator.

deKemp US '958 teaches a control algorithm and a valve (108) direct the eluate through a delivery line (112) for a calibration elution or a patient elution (110) (paragraph [0025]). deKemp US '958 teaches that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough to determine if the yield is above a predetermined radioactivity limit (paragraph [0029]; Figure 5). deKemp US '958 teaches control software controls a volume of fluid during generator column (10) flushes and elutions, and accepts the cumulative volume and stores it (paragraph [0031]). deKemp US '958 further teaches that the cumulative volume is recomputed after each elution and disposing of the generator column if the volume limit is exceeded (paragraph [0032]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the yield and volume determinations of deKemp US '958 in the records of the

computer controlled elution system of deKemp et al. US '848, Fago, Williams, and Tate in order to determine if the generator column can continue to be used for patient elutions (deKemp US '958, paragraph [0028]).

8. <u>Claim 37</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of deKemp US '958.

<u>Regarding claim 37</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach providing a first and second set of breakthrough test data entry fields.

deKemp US '958 teaches generator column (10) is flushed with 50 ml of sterile saline solution in order to remove any strontium breakthrough, then the operator waits for a predetermined period of time (at least 10 minutes) before performing the calibration elution (paragraph [0029]). Then, deKemp US '958 discloses that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough (paragraph [0029]). deKemp US '958 discloses that those skilled in the art would know to test the radioactivity of the elution after about 26 minutes has elapsed, at which time the amount of residual <sup>82</sup>Rb is insignificant and will no distort the test results (paragraph [0030]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the breakthrough measurements taught by deKemp US '958 in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to determine if breakthrough is less than a threshold for permissible levels (paragraph [0030]).

9. <u>Claim 40</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Telischak et al. (US Patent No. 7,996,068 B2) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 40</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the pump is activated.

Telischak teaches a visible light is projected at all times when the device is activated (column 8, lines 18-26). It would have been obvious to one of ordinary skill in the art to include the light projecting when the device is activated disclosed by Telischak in the pump of the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to confirm that the device is operational (Telischak, column 8, lines 24-25).

10. <u>Claim 41</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Ellingboe et al. (US Publication No. 2006/0015056 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 41</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 also teaches a "waiting for threshold" mode of system (14), in which the saline flow is routed through the generator (8) and into the waste reservoir (26) (paragraph [0031]) and an "elution" mode where the active saline solution is directed to the patient outlet (paragraph [0032]). The combination of deKemp et al. US '848, Fago, and Williams does not teach a light projector or providing a first light signal when an initial volume of eluate is being diverted or providing a second light signal when the pumping is generating the sample or the dose of eluate.

Ellingboe teaches an animated light indicator may be provided to indicate when cardioplegia is being delivered (e.g. via green illumination) and when delivery is stopped (e.g. via red illumination) (paragraph [0243]; Figure 301). It would have been obvious to one of ordinary skill in the art to include the different illumination signals disclosed by Ellingboe in the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to provide indicators to the operator regarding which stage of the infusion is occurring.

<u>Claim 42</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Bergner
(US Patent No. 4,585,941) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 42</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the activity detector detects a peak bolus of radioactivity.

Bergner teaches an LED display (100) is immediately about the total dose thumbwheel switches (98) and displays the total dose which has been infused in the patient (56) (column 5, lines 8-25). Bergner teaches the actual dose rate which is present in the eluate within the tube (30) in front of the dosimetry probe (58) is displayed on LED display (104) (column 5, lines 8-25). It would have been obvious to one of ordinary skill in the art to include the LED signals based on actual dose disclosed by Bergner in the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to provide a description of the dose present in the eluate (Bergner, column 5, lines 19-22).

12. <u>Claim 45</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Varrichio et al. (US Publication No. 2005/0187515 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 45</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach at least one sensor for detecting a leak in the tubing and providing an indication that a leak has been detected.

Varrichio et al. teaches a sensor (251) that may be utilized to detect a leak in the valve system (241) (paragraph [0027]). Varrichio et al. teaches that if one of the flow valves leaks, a midpoint pressure will drift higher if primary flow valve (343) is leaking and lower if redundant flow valve (344) is leaking, thus providing an indication that a leak has been detected (paragraph [0036]). Varrichio et al. discloses a user interface for external program controller (260), which provides real-time status information with respect to infusate pump (200) and may provide audible alarms upon detection of particular conditions and may report conditions to the doctor using information from sensor (251) (paragraphs [0027] and [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the leak detecting sensor of Varrichio et al. in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to provide feedback to the doctor (Varrichio et al., paragraph [0027]).

13. <u>Claim 46</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Padda et
al. (US Patent No. 5,395,320) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 46</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach calculating a flow rate profile or controlling a speed of the pump according to the calculated flow rate profile.

Padda teaches an infusion pump which can be programmed to deliver any of a variety of selected profiles of fluid medicine volume over time (Abstract). Padda discloses examples of delivery profiles including fixed rate of flow, ramp up, ramp down, fixed rate with increased rate spikes at specified intervals, and no flow with an infusion bolus at specified intervals (column 2, lines 42-50). Padda teaches a pumping mechanism (24) provides accurate delivery of medicine (column 4, lines 9-10). Padda teaches a piggyback delivery profile function which allows for the use of a second profile applied before, during an interrupt, or after the first profile, and a different medicine and different fluid to be infused through the infusion pump (column 4, line 59-column 5, line 6). Padda discloses a CPU that sends a motor control drive (14) a code containing information on the desired motor speed and a motor speed control (15) (column 6, line 66-column 7, line 16). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the flow control and profile mechanisms of Padda in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to provide a variety of different fluid delivery profiles to a patient (Padda, column 2, lines 31-34). 14. Claim 47 is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Whittacre et al. (US Publication No. 2003/0139640 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 47</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach transferring a plurality of detect activity levels to another system.

Whittacre teaches a display of the amount of isotope which is generating the radioactivity and transferring those values to a database if accepted by the operator (paragraph [0195]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the data transfer of Whittacre in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to allow one or more physicians to access the displayed information (Whittacre, paragraph [0202]).

#### **Response to Arguments**

15. Applicant's arguments, see pages 3-6 of the Remarks filed February 23, 2015, have been fully considered but they are not persuasive. Fago does teach provide an indication when a pump has completed pumping. Fago teaches a syringe pump is adapted to inject eluent into a generator and teaches an electronic drop counter counts the drops passing through the drip chamber and is coupled to a display for displaying metrics relating to the elution process (see Office Action dated November 25, 2014), and thus would provide an indication that the pump has completed pumping when the display shows that no volume of fluid is passing through the drip chamber. The same detection of presence or absence of fluid passing through the drip chamber would apply if the flow was pressurized or single drips.

16. Applicant's arguments, see pages 6 & 7 of the Remarks filed February 23, 2015, have been fully considered but they are not persuasive. Williams does teach displaying, via the

computer interface, a time lapse since each elution was completed. Williams teaches an elution of contrast media via a dispensing device that is injected into a patient for use in a medical imaging procedure (Abstract; paragraphs [0001], [0002], [0021] & [0022]). Williams teaches an elapsed time counter graphic (410) to monitor the elapsed time since the introduction of contrast media into a patient (paragraph [0045]), which is an important parameter to the success of a medical imaging procedure which depends on the presence of contrast media in the patient. Williams discusses how contrast media is often injected into a patient's vasculature prior to the medical imaging procedure (paragraph [0002] and the time elapsed since the introduction of contrast media into a patient would be relevant to the medical professional in determining if the contrast media had sufficiently circulated in the patient's vasculature to perform the medical imaging. Further, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See In re Keller, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); In re Merck & Co., 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Here, Williams is combined with the teachings of de Kemp '848 and Fago, which do teach generating and infusing a radioisotope (see above rejection). Williams is combined with the elution of radioisotopes taught by de Kemp '848 and Fago to provide an indicator of the time elapsed since the elution.

#### Conclusion

17. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to EILEEN FOLEY whose telephone number is (571) 270-7248.
 The examiner can normally be reached Monday-Thursday, and alternate Fridays, from 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jacqueline Cheng can be reached at (571) 272-5596. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/E. F./ Examiner, Art Unit 3735

/JACQUELINE CHENG/ Supervisory Patent Examiner, Art Unit 3735 Doc description: Information Disclosure Statement (IDS) Filed

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467
Filing Date		2010-06-16
First Named Inventor Steph		en E. Hidem
Art Unit		3735
Examiner Name Eileer		n Foley Hyde
Attorney Docket Number		56782.1.7.2

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CPC- SEARCHED					
Symbol	Date	Examiner			
A61N5/1002, 1007	8/5/14	EF			
A61N2005/1021	8/5/14	EF			

<b>CPC COMBINATION SETS - SEARCHED</b>						
Symbol Date Examiner						

<b>US CLASSIFICATION</b>	SEARCHED
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Class	Subclass	Date	Examiner
600	1-8, 301, 431	7/9/12-7/26/12	EF
604	48, 65-67, 218, 236, 526	7/9/12-7/26/12	EF

SEARCH NOTES						
Search Notes	Date	Examiner				
Limited class/subclass searches with test	7/9/12-7/26/12	EF				
Text searching in EAST (see Search History)	7/9/12-7/26/12	EF				
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#### **EAST Search History**

#### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
12	8905	600/1-8,301,431.ccls.	US-PGPUB; USPAT; USOCR	OR	ON	2015/04/16 17:23
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L7	1072	L6	US-PGPUB; USPAT; USOCR	OR	ON	2015/04/16 17:24
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Attorney Docket Numb	er	56782.1.7.2	

	1	ALVAI for my 1999,	ALVAREZ-DIEZ et al. "Manufacture of strontium-82/rubidium-82 generators and quality control of rubidium-82 chloride or myocardial perfusion imaging in patients using positron emission tomography," Applied Radiation and Isotopes, 999, pp. 1015-1023.								
	2 U.S. Application No. 14/657,598, filed March 13, 2015, entitled, "REAL TIME NUCLEAR ISOTOPE DETECTION," 48 pages. Attorney docket number 56782.1.13.3.										
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<sup>1</sup> See Kind ( Standard S <sup>-1</sup> <sup>4</sup> Kind of do English land	<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached										

	Ina	lex of C	ns	12   EX	Application/Control No. 12808467 Examiner EILEEN FOLEY						Applicant(s)/Patent Under Reexamination HIDEM ET AL. Art Unit 3735				
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Part of Paper No. : 20150416

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Application Number		12808467			
Filing Date		2010-06-16			
First Named Inventor Steph		en E. Hidem			
Art Unit		3735			
Examiner Name Eileen		n Foley Hyde			
Attorney Docket Number		56782.1.7.2			

PTO/SB/08a (01-10)

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# INFORMATION DISCLOSURE Application Number 12808467 Filing Date 2010-06-16 First Named Inventor Stephen E. Hidem Art Unit 3735 Examiner Name Eileen Foley Hyde Attorney Docket Number 56782.1.7.2

	1	ALVA for m	ALVAREZ-DIEZ et al. "Manufacture of strontium-82/rubidium-82 generators and quality control of rubidium-82 chloride for myocardial perfusion imaging in patients using positron emission tomography," Applied Radiation and Isotopes, 1999, pp. 1015-1023.								
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	2 U.S. Application No. 14/657,598, filed March 13, 2015, entitled, "REAL TIME NUCLEAR ISOTOPE DETECTION," 48 pages. Attorney docket number 56782.1.13.3.										
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<sup>1</sup> See Kind ( Standard S <sup>-1</sup> <sup>4</sup> Kind of doo English lang	<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.										

	Application Number		12808467	
	Filing Date		2010-06-16	
INFORMATION DISCLOSURE	First Named Inventor Stephe		hen E. Hidem	
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit		3735	
	Examiner Name	Eileer	n Foley Hyde	
	Attorney Docket Numb	er	56782.1.7.2	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2015-03-27
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal									
Application Number:	12	308467							
Filing Date:	16	Jun-2010							
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE								
First Named Inventor/Applicant Name:	Stephen E. Hidem								
Filer:	Paul J. LaVanway Jr.								
Attorney Docket Number:	56782.1.7.2								
Filed as Large Entity									
Filing Fees for U.S. National Stage under 35 USC 371									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Miscellaneous-Filing:									
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									
Extension-of-Time:									

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	)(\$)	180

Electronic Acl	Electronic Acknowledgement Receipt					
EFS ID:	21903408					
Application Number:	12808467					
International Application Number:						
Confirmation Number:	3733					
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE					
First Named Inventor/Applicant Name:	Stephen E. Hidem					
Customer Number:	22859					
Filer:	Paul J. LaVanway Jr./Sarah Munson					
Filer Authorized By:	Paul J. LaVanway Jr.					
Attorney Docket Number:	56782.1.7.2					
Receipt Date:	27-MAR-2015					
Filing Date:	16-JUN-2010					
Time Stamp:	17:02:17					
Application Type:	U.S. National Stage under 35 USC 371					

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PATENT Attorney Docket No.: 56782.1.7.2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	Eileen Foley Hyde
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/C	ICLUDING COMP OR OPERATION A	PUTER-FACILITATED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **RESPONSE**

Dear Commissioner:

22859

Customer Number

In response to the Office Action mailed November 25, 2014, the period of response for which runs through February 25, 2015, reconsideration of the application is respectfully requested in view of the following remarks.

**Remarks** begin on page 2 of this paper.

#### **REMARKS**

These Remarks are responsive to the Office Action dated November 25, 2014. Claims 1– 7 and 36–51 remain pending, with claims 6, 7, 36, 49, and 50 withdrawn from consideration. Reconsideration of the application is respectfully requested.

#### Claim Rejections Under 35 U.S.C. § 103(a)

In the Office Action, claims 1, 43 and 51 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over de Kemp et al. (US 2007/0213848, hereinafter "de Kemp '848") in view of Fago (US 2008/0237502) and Williams et al. (US 2007/0260213, hereinafter "Williams"). In addition, claims 2–5, 37–42, and 44–48 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over de Kemp '848 in view of Fago and Williams and in further view of additional cited references.

Applicant respectfully traverses the rejections. The applied references fail to disclose or suggest the features defined by the claims, and there would have been no apparent reason for modification to arrive at the claim features.

The applied references fail to disclose or suggest all the features of the claims including, for example, independent claim 1. Independent claim 1 is directed to a system that generates and infuses radiopharmaceuticals. The system includes, among other features, an eluant reservoir, a pump, an infusion tubing circuit, a radioisotope generator, and a computer. The claim specifies that the computer is programmed to execute a method that includes activating the pump to pump a volume of eluant in order to generate eluate via elution and providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator. The claim also specifies that the computer is programmed to execute a method that includes displaying, via the computer interface, a time lapse since each elution was completed.

In support of the rejection of independent claim 1, the Office Action cited paragraphs [0025] through [0032] of the de Kemp '848 reference as allegedly disclosing a system that includes an eluant reservoir, a pump, an infusion tubing circuit, a radioisotope generator, a computer, and a user interface.<sup>1</sup> Although the Office Action conceded that the de Kemp '848 reference does not disclose "the use of a computer to control the modes of operation of the

<sup>&</sup>lt;sup>1</sup> Office Action dated November 25, 2014, at page 3–4.

Application No. 12/808,467 Response to Office Action mailed November 25, 2014

system, providing an indication that the elution is complete, or displaying, via the computer interface, a time lapse since each elution was completed, the Office Action cited Fago and Williams in an attempt to overcome these deficiencies.<sup>2</sup> In particular, the Office Action cited paragraph [0034] of Fago as teaching that the volume and/or time associated with each elution process may be tracked and displayed to enable a user to estimate when the generator will be ready for another elution process.<sup>3</sup> The Office Action alleged then that it would have been obvious to modify the method of controlling the elution system in the de Kemp '848 reference to include the displayed volume and time calculations of Fago.<sup>4</sup> In addition, the Office Action cited paragraphs [0025] and [0045] of Williams as disclosing displaying elapsed time information related to the dispensing of media.<sup>5</sup> The Office Action took the position that it would have been obvious to further modify the technique of the de Kemp '848 reference in view of Fago to display a time lapse since each elution according to Williams.<sup>6</sup>

For multiple reasons discussed below, Applicant respectfully disagrees that the applied combination of references renders independent claim 1 obvious.

#### THE APPLIED REFERENCES DO NOT DISCLOSE OR SUGGEST A METHOD A. THAT INCLUDES PROVIDING AN INDICATION, VIA THE COMPUTER INTERFACE, THAT EACH ELUTION IS COMPLETED, WHEN THE PUMP HAS **COMPLETED PUMPING EACH VOLUME OF ELUANT THROUGH THE GENERATOR**

Even if the system disclosed in the de Kemp '848 reference were modified in view of Fago and Williams in the manner proposed in the Office Action, the resulting combination would not yield all the features required by independent claim 1. For example, the resulting combination would not provide a system that includes a computer pre-programmed to execute a method that includes "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator."

In support of the rejection of this claim feature, the Office Action acknowledged that the de Kemp '848 reference does not teach the use of a computer to provide an indication that

- <sup>4</sup> Id.
- <sup>5</sup> Id.

 $<sup>^{2}</sup>$  *Id.* at page 4–5. <sup>3</sup> *Id.* at page 5.

<sup>&</sup>lt;sup>6</sup> *Id.* at page 6.

elution is completed, when the pump has completed pumping each volume of eluant through the generator.<sup>7</sup> However, the Office Action cited Fago in an effort to overcome this acknowledged deficiency of the de Kemp '848 reference. In particular, the Office Action characterized Fago as disclosing an electronic drop counter that counts drops passing through a drip chamber and is communicatively coupled to a display "for displaying metrics relating to the elution process."<sup>8</sup> Based on this interpretation of Fago, the Office Action took the position that it would have been obvious to modify the method for controlling the elution system of the de Kemp '848 reference "to include the displayed volume and time calculations taught by Fago."<sup>9</sup>

Fago is directed to a system for identifying an amount or flow of eluant in an elution system.<sup>10</sup> According to Fago, a problem with radioactive shielding containers is that the containers tend to block visualization of the state and progress of an elution process.<sup>11</sup> The reference proposes to overcome this problem by configuring an elution supply container with a drip chamber that can be used to visually and/or electronically measure the number of drops of eluant passing through the drip chamber.<sup>12</sup> An example of this system is shown in FIG. 7 of the reference, which is reproduced below.



- <sup>7</sup> See id. at page 4.
- <sup>8</sup> See id. at page 5.
- $^{9}$  Id.

<sup>11</sup> *Id.* at paragraph [0004].

<sup>&</sup>lt;sup>10</sup> Fago at paragraph [0001].

<sup>&</sup>lt;sup>12</sup> See id. at paragraphs [0032]–[0033].

In connection with the figure above, Fago describes a system having a drip chamber 44, an electronic drip counter 46, and a display 52.<sup>13</sup> In operation, Fago states that display 52 can display metrics relating to the elution process.<sup>14</sup> Yet Fago provides no indication that the system of FIG. 7 above has a pump. To the contrary, Fago describes that the system above does not utilize a pump but instead relies on a pressure differential between an eluate collection bottle and the eluate supply container 4.

Fago describes the operation of the systems in cited FIGS. 6 and 7 in connection with FIG. 4 of the reference, which is the same system except that it does not have drip chamber 44. According to Fago, the system utilizes an eluate collection bottle that "begin[s] in an evacuated condition."<sup>15</sup> The reference continues to describe that the evacuated collection bottle causes "eluate residing in the generator 22 to begin filling the bottle," which correspondingly causes eluate from the eluate supply container 4 to "begin flowing into the generator 22."<sup>16</sup> In other words, the system in Fago does not have a pump but instead utilizes a pressure differential to drive eluant flow.

Since the Fago system does not have a pump, Fago necessarily cannot and does not disclose the feature of independent claim 1 acknowledged by the Office Action to be missing from the de Kemp '848 reference. In other words, because Fago does not have a pump, Fago necessarily does not provide any indication "<u>when a pump has completed pumping</u>," as per claim 1.

The Office Action based the conclusion of obviousness on the belief that it would have been obvious to modify the method for controlling the elution system of de Kemp '848 "to include the displayed volume and time calculations <u>taught by Fago</u>."<sup>17</sup> Yet since providing an indication when a pump has completed pumping is not something taught by Fago, and since this was acknowledged to not be disclosed in the de Kemp '848 reference, the combination of the de Kemp '848 reference in view of Fago does not render the claim feature *prima facie* obvious.

Moreover, Applicant respectfully disagrees that a person of ordinary skill in the art would have found it obvious to modify the system of the de Kemp '848 reference to include the drip counter techniques of Fago. The Office Action asserted that it would have been obvious "in

<sup>&</sup>lt;sup>13</sup> *Id.* at paragraphs [0033]–[0034].

<sup>&</sup>lt;sup>14</sup> *Id.* at paragraph [0034].

<sup>&</sup>lt;sup>15</sup> *Id.* at paragraph [0031].

<sup>&</sup>lt;sup>16</sup> See id.

<sup>&</sup>lt;sup>17</sup> See id. at page 5 (emphasis added).

order to estimate when a generator will be ready for another elution process."<sup>18</sup> However, it is unclear <u>how</u> a person of ordinary skill in the art could even have modified the system of the de Kemp '848 reference to include the drop counter hardware needed to implement the volume and time calculation techniques of Fago.

As discussed above, the drop counter in Fago counts eluant drops that form when an evacuated eluate collection bottle is connected to the outlet of generator 22. If the drop counter were placed in the system of the de Kemp '848 reference, drips would not form because the pump in the de Kemp '848 reference would block flow from the eluate source. Further, during pumping, the pressurized stream of eluant discharging from the pump would not form the slow stream of drips needed by the Fago reference for counting and tracking. Since such a technical configuration would not have been feasible, a person of ordinary skill in the art would not have considered it obvious to modify the de Kemp '848 reference in view of Fago as proposed in the Office Action.

For at least the reasons given above, Applicant respectfully submits that the combination of the de Kemp '848 reference in view of Fago does not disclose or suggest a computer preprogrammed to execute a method that includes "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator." Further, Applicant respectfully disagrees that a person of ordinary skill in the art would have considered it obvious to modify the de Kemp '848 reference in view of Fago as suggested in the Office Action.

# B. THE OFFICE ACTION HAS IMPROPERLY CHARACTERIZED THE TEACHINGS OF WILLIAMS AND, AS A RESULT, HAS NOT ESTABLISHED A *PRIMA FACIE* CASE OF OBVIOUSNESS

In addition to the features discussed above, Applicant also respectfully traverses the rejection of independent claim 1 based on the de Kemp '848 reference in view of Fago and Williams because the Office Action has improperly characterized the teachings of Williams. In support of the rejection of independent claim 1, the Office Action conceded that neither the de Kemp '848 reference nor Fago discloses a computer pre-programmed to execute a method that includes "displaying, via the computer interface, a time lapse since each elution was

<sup>&</sup>lt;sup>18</sup> Id.

completed."<sup>19</sup> In an effort to overcome this deficiency, the Office Action cited Williams.<sup>20</sup> Specifically, the Office Action rejected independent claim 1 based on the legal conclusion that it would have been obvious to modify the elution process of the de Kemp '848 reference and Fago "to display a time lapse since each elution as taught by Williams."<sup>21</sup> Williams, though, does not even describe a radioisotope generator that generates eluate via elution, much less a "display of time lapse since each elution."

Williams is directed to an injection device for dispensing contrast media as part of a dispensing procedure.<sup>22</sup> For example, Williams describes that the injection device includes syringes that can be filled with contrast media, flushing media, or combinations thereof for injection into a patient.<sup>23</sup> Nowhere, however, does Williams describe a radioisotope generator system or generating eluate via elution. Williams makes no mention of such a feature. Therefore, Williams necessarily cannot and does not teach displaying "a time lapse since each elution," as asserted in the Office Action.

The Office Action based the rejection of independent claim 1 on the conclusion that it would have been obvious to modify the elution process of the de Kemp '848 reference and Fago "to display a time lapse since each elution as taught by Williams." Since Williams does not, in fact, teach displaying a time lapse since each elution, the Office Action improperly characterized the teachings of Williams. Consequently, the Office Action did not support by substantial evidence the rejection of independent claim 1 based on the de Kemp '848 reference in view of Fago and Williams.

For the additional reasons given above, Applicant respectfully submits that a *prima facie* case of obviousness was not established for independent claim 1.

#### С. **SUMMARY**

For at least the reasons given above, Applicant respectfully submits that the rejection of independent claim 1 is unfounded and should be reversed. Contrary to the position taken in the Office Action, the combination of the de Kemp '848 reference in view of Fago does not disclose or suggest a computer pre-programmed to execute a method that includes "providing an

<sup>&</sup>lt;sup>19</sup> *Id*.

 $<sup>^{20}</sup>$  Id.

<sup>&</sup>lt;sup>21</sup> *Id.* at page 6.
<sup>22</sup> See Williams at Abstract.

<sup>&</sup>lt;sup>23</sup> See id. at paragraph [0021].

indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator." Further, a person of ordinary skill in the art would not have considered it obvious to modify de Kemp '848 in view of Fago as suggested in the Office Action. In addition, the Office Action improperly characterized the teachings of Williams in a way that is not supported by the reference.

Claims 2–5, 37–48, and 51 depend from independent claim 1 and are therefore patentable for at least the reasons given above with respect to the independent claim, as well as upon additional patentable features and elements claimed in the dependent claims but not explicitly discussed herein. None of the other references overcome the fundamental deficiencies of the de Kemp '848 reference, Fago, and Williams set forth above.

For example, with respect to claim 51, the applied references do not disclose or suggest a computer pre-programmed to execute a method where displaying the time lapse since each elution was completed comprises displaying a timer counting up or down since each elution was completed. In support of the rejection of this feature, the Office Action cited paragraph [0038] and FIG. 4 of Williams. Applicant respectfully disagrees.

As an initial matter, Applicant reiterates that Williams does not disclose any type of elution and therefore cannot disclose a "time lapse since each elution was completed." Moreover, in connection with claim 51, the passages of Williams cited in the Office Action do not disclose a timer counting up or down since any type of medical procedure is completed, much less "each elution was completed." Williams describes initiation of the "time counter graphic 410" in cited paragraph [0045] of the reference as follows:

[T]he elapsed time counter graphic 410 may be automatically initiated <u>concurrently with a dispensing function</u>, such as the dispensing of contrast media by the extension of the injector ram 215, 216 into a syringe 211, 213 filled with contrast media. Thus, a user of the dispensing medical device 115 may, using this embodiment of the computer program product of the present invention, monitor the elapsed time <u>since the introduction of contrast media into a patient</u> .....<sup>24</sup>

As seen above, Williams describes monitoring a time "since the introduction of contrast media into a patient." Such time would be the beginning of the medical procedure, not when the medical procedure is "completed." For this additional reason, the applied references do not render the features of dependent claim 51 obvious.

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<sup>&</sup>lt;sup>24</sup> *Id.* at paragraph [0045].

#### **CONCLUSION**

It is submitted that all claims in this application are in condition for allowance. Applicant respectfully requests reconsideration and prompt allowance of all pending claims.

In view of the fundamental differences identified above, Applicant reserves further comment concerning the additional features set forth in the claims. However, Applicant does not acquiesce in the propriety of the Office Action's application or interpretation of the references with respect to the claims, and reserves the right to present additional arguments in any further prosecution of this application.

The Commissioner is authorized to charge any deficiencies and credit any overpayments to Deposit Account No. 06-1910. The Examiner is invited to telephone the undersigned attorney to discuss this application.

Dated: February 23, 2015

Respectfully submitted,

/Paul J. LaVanway, Jr./

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077

Paul J. LaVanway, Jr. Registration No. 64,610

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.

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Electronic Acl	knowledgement Receipt
EFS ID:	21566177
Application Number:	12808467
International Application Number:	
Confirmation Number:	3733
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE
First Named Inventor/Applicant Name:	Stephen E. Hidem
Customer Number:	22859
Filer:	Paul J. LaVanway Jr.
Filer Authorized By:	
Attorney Docket Number:	56782.1.7.2
Receipt Date:	23-FEB-2015
Filing Date:	16-JUN-2010
Time Stamp:	11:55:48
Application Type:	U.S. National Stage under 35 USC 371

# Payment information:

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File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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the application.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor	Steph	en E. Hidem		
Art Unit		3735		
Examiner Name	Eileer	n Foley Hyde		
Attorney Docket Number		56782.1.7.2		

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	Name of Patentee or Applicant of cited Document		Page Relev Figur	s,Columns,Lines where /ant Passages or Relev es Appear	e vant												
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	2	4674403	A	1987-06	5-23	Bryant et al.		Bryant et al.		Bryant et al.		Bryant et al.		Bryant et al.		Bryant et al.		Bryant et al.				
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	Application Number		12808467	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2010-06-16	
	First Named Inventor	Steph	ien E. Hidem	
	Art Unit		3735	
	Examiner Name	Eileer	n Foley Hyde	
	Attorney Docket Numb	er	56782.1.7.2	

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	Application Number		12808467	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2010-06-16	
	First Named Inventor	Steph	ien E. Hidem	
	Art Unit		3735	
	Examiner Name	Eileer	n Foley Hyde	
	Attorney Docket Numb	er	56782.1.7.2	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2014-12-23
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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Electronic Patent Application Fee Transmittal							
Application Number:	12808467						
Filing Date:	16-Jun-2010						
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE						
First Named Inventor/Applicant Name:	Stephen E. Hidem						
Filer:	Paul J. LaVanway Jr.						
Attorney Docket Number:	56	782.1.7.2					
Filed as Large Entity							
Filing Fees for U.S. National Stage under 35 USC 371							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
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Miscellaneous:							
Submission- Information Disclosure Stmt	1806	1	180	180			
	Tot	al in USD	(\$)	180			

Electronic Acknowledgement Receipt				
EFS ID:	21041195			
Application Number:	12808467			
International Application Number:				
Confirmation Number:	3733			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE			
First Named Inventor/Applicant Name:	Stephen E. Hidem			
Customer Number:	22859			
Filer:	Paul J. LaVanway Jr.			
Filer Authorized By:				
Attorney Docket Number:	56782.1.7.2			
Receipt Date:	23-DEC-2014			
Filing Date:	16-JUN-2010			
Time Stamp:	17:08:22			
Application Type:	U.S. National Stage under 35 USC 371			

# Payment information:

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Payment was successfully received in RAM	\$180			
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	Information Disclosure Statement (IDS)	56782_1_7_2_IDS_12-23-14.	612373	no	4		
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Warnings:							
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2	Non Patent Literature	NPL CardioGen.pdf	1030665	no	53		
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3	Fee Worksheet (SB06)	fee-info.pdf	30780	no	2		
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National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733	
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MINNEAPOLI	S, MN 55402		ART UNIT	PAPER NUMBER	
			3735		
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Office Action Summary	Examiner EILEEN FC	DLEY	Art Unit 3735	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication ap	pears on the	cover sheet with the c	corresponden	ace address	
A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	Y IS SET TO 136(a). In no even will apply and will e, cause the appli- ng date of this corr	DEXPIRE <u>3</u> MONTHS at, however, may a reply be tir expire SIX (6) MONTHS from pation to become ABANDONE munication, even if timely filed	S FROM THE nely filed the mailing date c .D (35 U.S.C. § 13 d, may reduce any	E MAILING DATE OF	
Status 1)⊠ Responsive to communication(s) filed on <u>Separate</u> □ A deploration(s) (affidavit(s) under <b>37 CEP 1</b> )	tember 15, 2	<u>014</u> . vora filod on			
2a This action is <b>FINAL</b> . 2b) This	s action is no	n-final.			
3) An election was made by the applicant in resp	oonse to a re	striction requirement	set forth duri	ng the interview on	
; the restriction requirement and election 4) Since this application is in condition for allowa closed in accordance with the practice under	n have been ance except f <i>Ex parte Qua</i>	incorporated into this or formal matters, pro <i>ayle</i> , 1935 C.D. 11, 4	action. Disecution as 53 O.G. 213.	to the merits is	
Disposition of Claims*					
<ul> <li>5) Claim(s) <u>1-7 and 36-51</u> is/are pending in the a 5a) Of the above claim(s) <u>6,7,36,49 and 50</u> is/a</li> <li>6) Claim(s) is/are allowed.</li> <li>7) Claim(s) <u>1-5,37-48 and 51</u> is/are rejected.</li> </ul>	application. are withdraw	n from consideration.			
<ul> <li>8) Claim(s) is/are objected to.</li> <li>9) Claim(s) are subject to restriction and/or</li> </ul>	or election re	quirement.			
* If any claims have been determined <u>allowable</u> , you may be e	eligible to bene	fit from the <b>Patent Pro</b>	secution High	<b>way</b> program at a	
participating intellectual property office for the corresponding a http://www.uspto.dov/patents/init_events/ppb/index isp.or.send	application. Fo d an inquiry to	r more information, plea	ase see		
	a an mgany to		<u></u> .		
10) The specification is objected to by the Examine	er.				
11) The drawing(s) filed on is/are: a) acc	cepted or b)	objected to by the	Examiner.		
Applicant may not request that any objection to the	drawing(s) be	held in abeyance. See	e 37 CFR 1.85		
Replacement drawing sheet(s) including the correc	tion is require	d if the drawing(s) is ob	jected to. See	37 CFR 1.121(d).	
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreigr Certified copies:	n priority und	er 35 U.S.C. § 119(a	)-(d) or (f).		
a) All b) Some** c) None of the: 1. Certified copies of the priority documer	nts have bee	n received.			
<ul> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ul>					
** See the attached detailed Office action for a list of the certifi	ied copies not	received.			
Attachment(s)					
1) X Notice of References Cited (PTO-892)		3) Interview Summary	(PTO-413)		
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/ Paper No(s)/Mail Date <u>September 15, 2014</u> .	/SB/08b)	4) Other:	ale		
PTOL-326 (Rev. 11-13) Office Action	n Summary		Part of Paper N	o./Mail Date 20141117	

#### **DETAILED ACTION**

#### Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

#### Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in

37 CFR 1.17(e), was filed in this application after final rejection. Since this application is

eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e)

has been timely paid, the finality of the previous Office action has been withdrawn pursuant to

37 CFR 1.114. Applicant's submission filed on September 15, 2014 has been entered.

#### Claim Rejections - 35 USC § 103

3. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under pre-

AIA 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

<u>Claims 1, 43, and 51</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. (US Publication No. 2007/0213848 A1) (hereinafter "deKemp et al. US '848") (cited in the Office Action dated January 4, 2013) in view of Fago (US Publication No. 2008/0237502 A1) (cited in the Office Action dated October 2, 2013) and Williams et al. (US Publication No. 2007/0260213 A1).

Regarding claim 1, deKemp et al. US '848 teaches an eluent reservoir (*reservoir* (4) (paragraph [0025]; Figure 3)), a pump coupled to the reservoir (*pump (6)* (paragraph [0025]; Figure 3)), an infusion tubing circuit (generator valve (16), bypass line (18), patient valve (24), elution system (14) (paragraph [0025]; Figure 3) and feed-line (33) (paragraph [0028]; Figure 5)), a radioisotope generator (*strontium-rubidium* ( ${}^{82}Sr/{}^{82}Rb$ ) generator (8) (paragraph [0025]; Figure 3)), an activity detector (*positron detector* (20) (paragraph [0025]; Figure 3)), a waste bottle (*waste reservoir* (26) (paragraph [0025]; Figure 3)), a computer (*controller* (28) (paragraph [0025]; Figure 3)) and a computer interface (user interface (44) (Figure 3)). deKemp et al. US '848 also teaches the infusion tubing circuit including an eluant line coupled to the pump and to the generator (*pump* (6) for drawing saline from reservoir (4) (paragraph [0025])) and an eluate line coupled to the generator, to the activity detector and to the waste bottle (generator value (16) to proportion saline flow between the generator (8) and a bypass line (18) which meet at merge point (22), a positron detector (20) downstream of merge point (22), a patient valve (24) to control supply of saline to patient outlet (10) and waste reservoir (26) (paragraph [0025])).

deKemp et al. US '848 further teaches a controller (28) is connected to pump (6), positron detector (20), valves (16) and (24), and user interface (44) (paragraph [0025]; Figure 3) that pump (6) and valves (16, 24) are controlled to route saline through the system (14) in accordance with various modes of operation (paragraphs [0029]-[0032]; Figures 6a-6d). deKemp et al. US '848 teaches a pump (6) for drawing saline from the reservoir (4) at a desired flow rate (paragraph [0025]). During an "elution" mode, deKemp et al. US '848 discloses generator valve (16) is actively controlled by a control loop (42) from the positron detector (20) to proportion saline flow through both the generator (8) and bypass line (18) (paragraph [0032]).

deKemp et al. US '848 does not explicitly teach the use of a computer to control the modes of operation of the system, providing an indication that the elution is complete, or displaying, via the computer interface, a time lapse since each elution was completed.

Fago teaches visualization of the eluate collection bottle (34) facilitates determining when the elution process is complete, e.g. the eluate collection bottle (34) is full (paragraph [0031]). Fago teaches a syringe pump (40 is adapted to inject the eluent (18) into the generator (22) via tubing (10) (paragraph [0032]). Fago teaches a drip chamber (44) is incorporated in the tubing (10) to facilitate tracking or identification of an amount of eluent flowing into a generator (22) (paragraph [0033]; Figure 6). Fago teaches an electronic drop counter (46) may be used to count the drops passing through the drip chamber (44) by using an LED (48) and a photo detector (50) to determine when a drop passes through the drip chamber (44) (paragraph [0033]; Figure 7). Fago teaches this facilitates the provision of metrics relating to the amount of eluant being passed from the eluant passing from the eluent supply container (4) into the generator (22) (paragraph [0033]). Fago teaches the drop counter (46) is coupled to an electronic device and/or

computer (54) to store data, facilitate communication with other device, and/or perform calculations relating to the elution process (paragraph [0034]). Fago teaches the drop counter (46) is communicatively coupled to a display (52) for displaying metrics relating to the elution process and that the display may be incorporated into the computer (54) (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of controlling an <sup>82</sup>Sr/<sup>82</sup>Rb elution system of deKemp et al. US '848 to include the displayed volume and time calculations taught by Fago in order to estimate when a generator will be ready for another elution process (Fago, paragraph [0034]).

Fago teaches the volume and/or time associated with each elution process may be tracked and displayed to enable the user to estimate when the generator will be ready for another elution process paragraph [0034]), but the combination of de Kemp et al. US '848 and Fago does not teach displaying, via the computer interface, a time lapse since each elution was completed.

Williams teaches methods for displaying elapsed time information related to the dispensing of media by the dispensing device in relation to a medical procedure (paragraph [0001]). Williams teaches when the user interface (230) comprises a display device, a user may view data corresponding to the dispensing operation, such as flow rate, elapsed time from injection of media (paragraph [0025]). Williams teaches the storage device may be configured to be capable of storing a plurality of display formats and/or the displays of various dispensing tools, including an elapsed time display showing time elapsed from a given dispensing operation (paragraph [0027]). Williams teaches a computer program product comprises executable portions for directing the dispensing medical device (115) to perform at least one dispensing function, to manipulate and/or adjust the display parameters of the user interface (230) (paragraph [0045]).

Williams teaches a status text graphic (470) indicating the status of a given dispensing operation, such as its completion, and an elapsed time counter graphic (410) to monitor the elapsed time since the introduction of contrast media into a patient (paragraph [0045]). It would have been obvious to one of ordinary skill in the art at the time of the invention to use the data associated with each elution process taught by de Kemp et al. US '848 and Fago to display a time lapse since each elution as taught by Williams in order to monitor the elapsed time without a need to activate and/or monitor a timing device that is separate from the display (Williams, paragraph [0045]).

Regarding claim 43, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110), or to a shielded waste container (90) ("diverting an initial volume of eluate to the waste bottle") (paragraph [0025]). deKemp et al. US '848 does not teach tracking the initial volume or eluate or providing an indication when the waste bottle needs to be emptied.

Fago teaches an eluate collection bottle (34) may have a standard or predefined volume (paragraph [0031]). Fago teaches a user can observe, based on the visualization portal and index marks (19), the eluent levels in the eluant supply container (4) go down in an amount generally corresponding to the amount of eluate received in the eluate collection bottle (34) ("tracking the initial volume of the eluate") (paragraphs [0026] and [0031]). Fago teaches this visualization facilitates determining when the elution process is complete, e.g. the eluate collection bottle is

full ("providing an indication when the waste bottle needs to be emptied") (paragraph [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the waste bottle of deKemp et al. US '848 to include the visualization portal and index marks taught by Fago in order to track the amount of fluid in the bottle (Fago, paragraph [0031]).

<u>Regarding claim 51</u>, Williams teaches displaying a timer counting up or down since each elution was completed (paragraph [0038]; *see* timer graphic (410) in Figure 4).

6. <u>Claims 2-4, 38, 39, 44, and 48</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Tate et al. (US Publication No. 2008/0177126 A1) (cited in the Office Action dated January 4, 2013).

Regarding claim 2, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]) and Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]), but the combination of deKemp et al. US '848, Fago, and Williams does not teach maintaining a record of the elutions.

Tate teaches a Records or Injection History button (1022) (paragraph [0181]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the Records or Injection History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

<u>Regarding claim 3</u>, Tate teaches printing the injection information, including information about the activity and volume of the dose delivered to the patient and displaying it in pop-up (1240) (paragraph [0235]).

<u>Regarding claim 4</u>, Tate teaches a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]).

Regarding claim 38, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1. deKemp et al. US '848 teaches sampling the activity level in the dose calibrator at regular intervals throughout the duration of the elution run (paragraph [0055]). deKemp et al. US '848 also teaches calibration data collected during the elution can be used to calculate the proportionality constant K between the activity parameter ( $C_{det}$ ) and the <sup>82</sup>Rb activity concentration (paragraphs [0056]-[0057]). The combination of deKemp et al. US '848, Fago, and Williams does not teach providing via the computer interface, calibration data entry fields for a user.

Tate teaches user-friendly data entry mechanisms for the system (10) (paragraph [0170]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the calibration and activity data of deKemp et al. US '848, Fago, and Williams to be input by a user through the user-friendly data entry mechanisms taught by Tate in order to clearly

and unambiguously communicate the current status of the system to an operator (Tate, paragraph [0170]).

<u>Regarding claim 39</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1, but does not teach providing a patient identification entry field for a user to enter a patient identification number, receiving a detected activity level of a dose of eluate from the activity detector, or compiling a report that includes an identification number for the generated dose and detected activity level.

Tate teaches the touch screen arrangement (1000) can be used for four categories of tasks, including Patient Treatment (paragraph [0172]). Tate discloses the "Patient Treatment" category includes a number of tasks including inputting patient and/or case identification information into the system (10) and measuring the activity level of the radiopharmaceutical dose (paragraph [0207]). Tate teaches that the pharmaceuticals are used in imaging procedures (paragraph [0069]). Tate teaches that the printer (24) may be used to generate records of the injection and/or imaging procedures performed for inclusion in their medical records (paragraph [0075]). Tate discloses a "Case Information" pop-up display (1217) including an "Identification" field (1217a) and a keypad (1217j) for inputting a patient or other identification number (paragraph [0216]). Tate also discloses a detailed injection history display (1360), which includes activity level of the injection and the patient identification number, and can be printed using "Print" button (1363) (paragraph [0245]; Figure 34B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the identification information taught by Tate in order

to generate records of the injection and/or imaging procedures performed for inclusion in patient's medical records (Tate, paragraph [0075]).

<u>Regarding claim 44</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the system of claim 1, but does not teach the computer interface comprises a touch-activated display screen.

Tate teaches that the fluid delivery system (10) includes a display or graphical user interface display (15) for programming and operating the system (10) and may incorporate touch-screen capability (paragraph [0071]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the touch-screen capability taught by Tate for ease of use of the system (Tate, paragraph [0071]).

Regarding claim 48, Tate teaches the fluid delivery system (10) includes a printer (24) (paragraph [0074]). Tate teaches that the printer (24) may generate records of the injection and/or imaging procedures performed on patients and may be pivotally connected to the system (10) to allow an operator to load paper or labels into the printer (24) (paragraph [0075]; Figure 1B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the printer taught by Tate in order to include data in patients' medical records or for billing/inventory purposes (Tate, paragraph [0075]).

7. <u>Claim 5</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Tate and

deKemp (US Publication No. 2007/0140958 A1) (hereinafter "deKemp US '958") (cited in the Office Action dated January 4, 2013).

Regarding claim 5, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]).

Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]). Fago teaches an actual radioactivity level of the eluate can be determined at a given time, programmed into the computer (54) ("receiving a detected activity level and entering the detected activity level for each elution into the record") (paragraph [0034]). Fago teaches the radioactivity level can be incorporated with other data to determine an expected radioactivity level at a specified future time and thus estimate when the generator will be ready for another elution process ("calculating and tracking the amount of activity left in the generator after each elution") (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of deKemp et al. US '848 to include the radioactivity information and

estimations taught by Fago in order to estimate when a generator will be ready to perform another elution process (Fago, paragraph [0034]).

The combination of deKemp et al. US '848, Fago, and Williams does not teach maintaining a record of the elutions or compiling a report that includes an amount of activity left in the generator.

Tate discloses user-friendly data entry mechanisms for the system (10) (paragraph [0170]). Tate teaches a Records or Injection History button (1022) (paragraph [0181]) and a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848, Fago, and Williams to include the Records or Injection History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

The combination of deKemp et al. US '848, Fago, Williams, and Tate does not teach compiling a report that includes an amount of activity left in the generator.

deKemp US '958 teaches a control algorithm and a valve (108) direct the eluate through a delivery line (112) for a calibration elution or a patient elution (110) (paragraph [0025]). deKemp US '958 teaches that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough to determine if the yield is above a predetermined radioactivity limit (paragraph [0029]; Figure 5). deKemp US '958 teaches control software controls a volume of fluid during generator column (10) flushes and elutions, and accepts the cumulative volume and stores it (paragraph [0031]). deKemp US '958 further teaches that the cumulative volume is recomputed after each elution and disposing of the generator column if the volume limit is exceeded (paragraph

[0032]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the yield and volume determinations of deKemp US '958 in the records of the computer controlled elution system of deKemp et al. US '848, Fago, Williams, and Tate in order to determine if the generator column can continue to be used for patient elutions (deKemp US '958, paragraph [0028]).

8. <u>Claim 37</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of deKemp US '958.

<u>Regarding claim 37</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach providing a first and second set of breakthrough test data entry fields.

deKemp US '958 teaches generator column (10) is flushed with 50 ml of sterile saline solution in order to remove any strontium breakthrough, then the operator waits for a predetermined period of time (at least 10 minutes) before performing the calibration elution (paragraph [0029]). Then, deKemp US '958 discloses that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough (paragraph [0029]). deKemp US '958 discloses that those skilled in the art would know to test the radioactivity of the elution after about 26 minutes has elapsed, at which time the amount of residual <sup>82</sup>Rb is insignificant and will no distort the test results (paragraph [0030]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the breakthrough measurements taught by deKemp US '958 in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to determine if breakthrough is less than a threshold for permissible levels (paragraph [0030]).

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9. <u>Claim 40</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Telischak et al. (US Patent No. 7,996,068 B2) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 40</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the pump is activated.

Telischak teaches a visible light is projected at all times when the device is activated (column 8, lines 18-26). It would have been obvious to one of ordinary skill in the art to include the light projecting when the device is activated disclosed by Telischak in the pump of the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to confirm that the device is operational (Telischak, column 8, lines 24-25).

10. <u>Claim 41</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Ellingboe et al. (US Publication No. 2006/0015056 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 41</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 also teaches a "waiting for threshold" mode of system (14), in which the saline flow is routed through the generator (8) and into the waste reservoir (26) (paragraph [0031]) and an "elution" mode where the active saline solution is directed to the patient outlet (paragraph [0032]). The combination of deKemp et al. US '848, Fago, and Williams does not teach a light projector or providing a first light signal when an initial volume of eluate is being diverted or providing a second light signal when the pumping is generating the sample or the dose of eluate.

Ellingboe teaches an animated light indicator may be provided to indicate when cardioplegia is being delivered (e.g. via green illumination) and when delivery is stopped (e.g. via red illumination) (paragraph [0243]; Figure 301). It would have been obvious to one of ordinary skill in the art to include the different illumination signals disclosed by Ellingboe in the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to provide indicators to the operator regarding which stage of the infusion is occurring.

<u>Claim 42</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Bergner
(US Patent No. 4,585,941) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 42</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the activity detector detects a peak bolus of radioactivity.

Bergner teaches an LED display (100) is immediately about the total dose thumbwheel switches (98) and displays the total dose which has been infused in the patient (56) (column 5, lines 8-25). Bergner teaches the actual dose rate which is present in the eluate within the tube (30) in front of the dosimetry probe (58) is displayed on LED display (104) (column 5, lines 8-25). It would have been obvious to one of ordinary skill in the art to include the LED signals based on actual dose disclosed by Bergner in the computer controlled infusion system of deKemp et al. US '848, Fago, and Williams in order to provide a description of the dose present in the eluate (Bergner, column 5, lines 19-22).

12. <u>Claim 45</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Varrichio et al. (US Publication No. 2005/0187515 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 45</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach at least one sensor for detecting a leak in the tubing and providing an indication that a leak has been detected.

Varrichio et al. teaches a sensor (251) that may be utilized to detect a leak in the valve system (241) (paragraph [0027]). Varrichio et al. teaches that if one of the flow valves leaks, a midpoint pressure will drift higher if primary flow valve (343) is leaking and lower if redundant flow valve (344) is leaking, thus providing an indication that a leak has been detected (paragraph [0036]). Varrichio et al. discloses a user interface for external program controller (260), which provides real-time status information with respect to infusate pump (200) and may provide audible alarms upon detection of particular conditions and may report conditions to the doctor using information from sensor (251) (paragraphs [0027] and [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the leak detecting sensor of Varrichio et al. in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to provide feedback to the doctor (Varrichio et al., paragraph [0027]).

13. <u>Claim 46</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Padda et
al. (US Patent No. 5,395,320) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 46</u>, the combination of deKemp et al. US '848, Fago, and Williams teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach calculating a flow rate profile or controlling a speed of the pump according to the calculated flow rate profile.

Padda teaches an infusion pump which can be programmed to deliver any of a variety of selected profiles of fluid medicine volume over time (Abstract). Padda discloses examples of delivery profiles including fixed rate of flow, ramp up, ramp down, fixed rate with increased rate spikes at specified intervals, and no flow with an infusion bolus at specified intervals (column 2, lines 42-50). Padda teaches a pumping mechanism (24) provides accurate delivery of medicine (column 4, lines 9-10). Padda teaches a piggyback delivery profile function which allows for the use of a second profile applied before, during an interrupt, or after the first profile, and a different medicine and different fluid to be infused through the infusion pump (column 4, line 59-column 5, line 6). Padda discloses a CPU that sends a motor control drive (14) a code containing information on the desired motor speed and a motor speed control (15) (column 6, line 66-column 7, line 16). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the flow control and profile mechanisms of Padda in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to provide a variety of different fluid delivery profiles to a patient (Padda, column 2, lines 31-34). 14. Claim 47 is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago and Williams, as applied to claim 1 above, and further in view of Whittacre et al. (US Publication No. 2003/0139640 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 47</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach transferring a plurality of detect activity levels to another system.

Whittacre teaches a display of the amount of isotope which is generating the radioactivity and transferring those values to a database if accepted by the operator (paragraph [0195]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the data transfer of Whittacre in the computer controlled elution system of deKemp et al. US '848, Fago, and Williams in order to allow one or more physicians to access the displayed information (Whittacre, paragraph [0202]).

#### **Response to Arguments**

15. Applicant's arguments, see pages 9-12 of the Remarks, filed July 8, 2014, with respect to the rejection(s) of claim(s) 1 under 35 U.S.C. 103 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made over de Kemp et al. US '848 in view of Fago and Williams, where Williams teaches the limitation "displaying, via the computer interface, a time lapse since each elution was completed" (see above rejection).

#### Conclusion

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EILEEN FOLEY whose telephone number is (571) 270-7248.

The examiner can normally be reached Monday-Thursday, and alternate Fridays, from 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jacqueline Cheng can be reached at (571) 272-5596. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/E. F./ Examiner, Art Unit 3735

/JACQUELINE CHENG/ Supervisory Patent Examiner, Art Unit 3735

Notice of References Cited	Application/Control No. 12/808,467	Applicant(s)/Patent Under Reexamination HIDEM ET AL.		
	Examiner	Art Unit		
	EILEEN FOLEY	3735 Page		

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-2007/0260213 A1	11-2007	Williams et al.	604/500
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	н	US-			
	I	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

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#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001) Doc description: Information Disclosure Statement (IDS) Filed

# 12808467 - GAL: 37,35 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467
Filing Date		2010-06-16
First Named Inventor Steph		en E. Hidem
Art Unit		3735
Examiner Name Eileer		Dorothy Foley
Attorney Docket Number		56782.1.7.2

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	2	8058632		2011-11-15	Balestracci				
	3	8216181	B2	2012-07-10	Balestracci				
	4	8216184	B2	2012-07-10	Balestracci				
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467	12808467 - GAU: 3735
Filing Date		2010-06-16	
First Named Inventor	Steph	en E. Hidem	
Art Unit	-	3735	
Examiner Name	Eileer	Dorothy Foley	
Attorney Docket Numb	er	56782.1.7.2	

	1	20040260143	A1	2004-12-23	Reilly	
	2	20060173419	A1	2006-08-03	Malcolm	
	3	20070080223	A1	2007-04-12	Japuntich	
	4	20070140958	A1	2007-06-21	deKemp	
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	6	20120310031	A1	2012-12-06	Quirico	
	7	20130300109	A1	2013-11-14	Balestracci	
	8	20140084187	A1	2014-03-27	Quirico	
	9	20140175959	A1	2014-06-26	Quirico	
	10	20080191148	A1	2008-08-14	Gibson	
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Application Number		12808467	12808467 - GAU: 3735
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Art Unit		3735	
Examiner Name Eileer		Dorothy Foley	
Attorney Docket Numb	er	56782.1.7.2	

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code² j	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5			
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	1	U.S. Application No. 14/290,765, filed May 29, 2014, entitled, "INFUSION SYSTEM CONFIGURATIONS," 67 pages. Attorney docket number 56782.1.6.15.									
	2	U.S. Application No. 61/952,270, filed March 13, 2014 entitled, "REAL TIME NUCLEAR ISOTOPE DETECTION," 30 pages. Attorney docket number 56782.1.13.2.									
	3	U.S. Application No. 14 DETECTOR," 64 pages	/455,623, filed Aug . Attorney docket r	just 8, 20 number {	014, entitled, "IN 56782.1.7.15.	FUSION SYSTEM WITH R	ADIOISOTOPE				
	4	U.S. Application No. 14, INCLUDING ACTIVITY 56782.1.7.16.	455,631, filed Aug MEASUREMENT	just 8, 20 AND DC	)14, entitled, "R/ DSE CALIBRATI	ADIOISOTOPE GENERAT ON," 66 pages. Attorney d	OR SYSTEM ocket number				
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#### **EAST Search History**

#### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	16	("20040260143"   "20060173419"   "20070080223"   "20070140958"   "20080191148"   "20120305730"   "20120310031"   "20130300109"   "20140084187"   "20140175959"   "6220554"   "8058632"   "8216181"   "8216184"   "8317674"   "8708352").PN.	US- PGPUB; USPAT; USOCR	OR	ON	2014/11/17 09:09
L2	2	("20040054319"   "20070260213").pn.	US- PGPUB; USPAT; USOCR	OR	ON	2014/11/17 09:10
L3	8389	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2014/11/17 09:56
L4	5802	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2014/11/17 09:56
S217	7935	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:20
S218	5640	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:20
S219	13485	S217 or S218	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:20
S220	1007	A61N5/1002,1007.cpc.	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:21
S221	93	A61N2005/1021.cpc.	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:22
S222	13772	S219 or S220 or S221	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:35
S223	2464	S222 and (display\$3 with time)	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:35
S224	6	S222 and (display\$3 with time with lapse)	US- PGPUB;	OR	ON	2014/08/05 14:35

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S225	132	S222 and (display\$3 with time with (elapsed or lapse))	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:37
S226	16	S222 and ((display\$3 with time with (elapsed or lapse)) same (complete\$1 or completion or elution))	US- PGPUB; USPAT; USOCR	OR	ON	2014/08/05 14:37
S229	1	(10/433646).APP.	US- PGPUB; USOCR	OR	ON	2014/08/05 15:12
S230	1	(10/579709). <b>A</b> PP.	US- PGPUB; USOCR	OR	ON	2014/08/05 15:27

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12808467	HIDEM ET AL.
	Examiner	Art Unit
	EILEEN FOLEY	3735

CPC- SEARCHED								
Symbol	Date	Examiner						
A61N5/1002, 1007	8/5/14	EF						
A61N2005/1021	8/5/14	EF						

CPC COMBINATION SETS - SEARCHED								
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Class	Subclass	Date	Examiner
600	1-8, 301, 431	7/9/12-7/26/12	EF
604	48, 65-67, 218, 236, 526	7/9/12-7/26/12	EF

SEARCH NOTES					
Search Notes	Date	Examiner			
Limited class/subclass searches with test	7/9/12-7/26/12	EF			
Text searching in EAST (see Search History)	7/9/12-7/26/12	EF			
Inventor name search in EAST	7/9/12-7/26/12	EF			
Assignee name search in EAST	7/9/12-7/26/12	EF			
Forward/backward searches of relevant art in EAST	7/9/12-7/26/12	EF			
Updated class/subclass searches	11/27/12-	EF			
	11/28/12				
Additional text searching and forward/backward searches in EAST	11/27/12-	EF			
	11/28/12				
Updated class/subclass searches and additional text searching in EAST	8/13-9/17/13	EF			
Updated class/subclass searches	4/25/14	EF			
Updated class/subclass searches and text searching in EAST for AFCP	8/5/14	EF			
2.0 submission					
Updated class/subclass searches	11/17/14	EF			

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REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL							
Application	40/000 407	Filing		Docket Number		Art	0700
Number	12/808,467	Date	2010-06-16	(if applicable)	56782.1.7.2	Unit	3733
First Named Inventor	Stephen E. Hide	m		Examiner Name	Eileen Dorothy Foley		
This is a Req Request for C 1995, or to an	uest for Continu ontinued Examina y design applicati	ed Examina ation (RCE) on. The Ins	ation (RCE) under 3 practice under 37 CF truction Sheet for thi	7 CFR 1.114 of the FR 1.114 does not ap s form is located at V	above-identified application. oply to any utility or plant applic WWW.USPTO.GOV	ation filed	prior to June 8,
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Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)							
Other							
FEES							
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Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2014-09-15		
Name	Paul J. LaVanway, Jr.	Registration Number	64610		

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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PTO/AIA/22 (03-13)

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PETITION FOR EXTENSION	OF TIME	UNDER 37 CFR	1.136(a)	82.1.7.2
Application Number 12/808,467		Filed 2010	-06-16	
For INFUSION SYSTEMS INCLUDING CO	MPUTER-FA	CILITATED MAINTENAN	ICE AND/OR OPER	ATION AND METHODS OF USE
Art Unit 3735		Examiner Eil	een Doroth	ıy Foley
This is a request under the provisions of 37 C	FR 1.136(a) to	extend the period for filing	a reply in the above	identified application.
The requested extension and fee are as follow	vs (check time	period desired and enter th	he appropriate fee be	low):
	<u>Fee</u>	Small Entity Fee	Micro Entity Fee	
✓ One month (37 CFR 1.17(a)(1))	\$200	\$100	\$50	\$_200
Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	\$
Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	\$
Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	\$
Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$750	\$
Applicant asserts small entity status.	See 37 CFR 1	.27.		
Annlicant certifies micro entity status	See 37 CER	1 29		
Form PTO/SB/15A or B or equivalent mus	t either be enclo	sed or have been submitted p	reviously.	
A check in the amount of the fee is e	nclosed.			
Payment by credit card. Form PTO-2	038 is attache	d.		
The Director has already been autho	rized to charge	e fees in this application to	a Deposit Account.	
The Director is hereby authorized to	charge any fee	es which may be required,	or credit any overpay	ment, to
Deposit Account Number 06-1910		·		
Payment made via EFS-Web.				
WARNING: Information on this form may to credit card information and authorization o	ecome public n PTO-2038.	c. Credit card informatior	n should not be incl	uded on this form. Provide
I am the				
applicant.				
attorney or agent of record	. Registration	number 64,688		
attorney or agent acting ur	nder 37 CFR 1.	34. Registration number		
/Paul J. LaVanway, Jr./		2014-09	-15	
Signature			Da	te
Paul J. LaVanway, Jr./		612-492	-7387	
Typed or printed name			Telephone	e Number
<b><u>NOTE</u></b> : This form must be signed in accordan multiple forms if more than one signature is re	ce with 37 CFI quired, see be	R 1.33. See 37 CFR 1.4 fo low*.	r signature requireme	ents and certifications. Submit
✓ * Total of <sup>1</sup> forms	are submitted			
This collection of information is required by 37 CER	1 136(a) The inf	ormation is required to obtain	or retain a benefit by the	public which is to file (and by the

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal					
Application Number:	12	808467			
Filing Date:	16	-Jun-2010			
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Filer:	Paul J. LaVanway Jr./Sarah Munson				
Attorney Docket Number: 56782.1.7.2					
Filed as Large Entity					
U.S. National Stage under 35 USC 371 Filing	Fee	s			
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					
Extension - 1 month with \$0 paid		1251	1	200	200

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
	Tot	al in USD	)(\$)	1400

Electronic Acknowledgement Receipt					
EFS ID:	20142028				
Application Number:	12808467				
International Application Number:					
Confirmation Number:	3733				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Customer Number:	22859				
Filer:	Paul J. LaVanway Jr./Sarah Munson				
Filer Authorized By:	Paul J. LaVanway Jr.				
Attorney Docket Number:	56782.1.7.2				
Receipt Date:	15-SEP-2014				
Filing Date:	16-JUN-2010				
Time Stamp:	17:42:28				
Application Type:	U.S. National Stage under 35 USC 371				

# Payment information:

Submitted wi	th Payment	yes	yes					
Payment Typ	e	Credit Card	Credit Card					
Payment was	successfully received in RAM	\$1400						
RAM confirm	ation Number	4571						
Deposit Acco	unt							
Authorized U	ser							
File Listing:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			

1	Information Disclosure Statement (IDS)	56782_1_7_2_IDS_filed_9-15-1	613208	no	5
	Form (SB08)	4.pat	bded45aa0f2e2d0d34d560fb6ced4c34083 02529		
Warnings:					
Information:					
2	Non Patent Literature	NPL 14290765.pdf	3304416	no	67
_			1326a860b13a1f9864796bbf02b2cfcfe38e 12a4		
Warnings:					
Information:					
3	Non Patent Literature	NPL_61952270.pdf	1733174	no	30
			0772c46a9a60663329be59b5734c145e45c 7b85c		
Warnings:					
Information:		i			
4	Non Patent Literature	NPL_14455623.pdf	2529716	no	64
			8df06196ea0f78bac2d888390516fc7835ae 1333		
Warnings:					
Information:					
5	Non Patent Literature	NPL_14455631.pdf	2636860	no	66
			126fabc1c59fafa6c80a50bba7f7eb05d217 72a7		
Warnings:					
Information:					
6	Request for Continued Examination	56782_1_7_2_RCE.PDF	697806	no	3
	(RCE)		8676140dfac13e1566b240a1c27cb6a8456 b32c0		
Warnings:					
Information:					
7	Extension of Time	56782_1_7_2_Extension_of_Ti	163822	no	2
		me.pdf			
Warnings:					
Information:					
8	Fee Worksheet (SB06)	fee-info.pdf	32185	no	2
-			92c3216bbf41c958e546af9765f1ce77c09b 5b1a		
Warnings:					
Information:					
		Total Files Size (in bytes)	11	711187	

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor	Stephen E. Hidem			
Art Unit		3735		
Examiner Name	Eileen Dorothy Foley			
Attorney Docket Number		56782.1.7.2		

PTO/SB/08a (01-10)

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6220554	B1	2001-04-24	Daoud	
	2	8058632		2011-11-15	Balestracci	
	3	8216181	B2	2012-07-10	Balestracci	
	4	8216184	B2	2012-07-10	Balestracci	
	5	8317674	B2	2012-11-27	Quirico	
	6	8708352	B2	2014-04-29	Quirico	
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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT ))

(Not for submission under 37 CF	R 1.99
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Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor	Steph	en E. Hidem		
Art Unit		3735		
Examiner Name	Eileer	n Dorothy Foley		
Attorney Docket Number		56782.1.7.2		

	1	20040260143	A1	2004-12-23	Reilly			
	2	20060173419	A1	2006-08-03	Malcolm			
	3	20070080223	A1	2007-04-12	Japuntich			
	4	20070140958	A1	2007-06-21	deKemp			
	5	20120305730	A1	2012-12-06	Balestracci			
	6	20120310031	A1	2012-12-06	Quirico			
	7	20130300109	A1	2013-11-14	Balestracci			
	8	20140084187	A1	2014-03-27	Quirico			
	9	20140175959	A1	2014-06-26	Quirico			
	10	20080191148	A1	2008-08-14	Gibson			
lf you wis	h to add a	dditional U.S. Publis	hed Ap	plication citation	n information please click the Ado	d button. Add		
	FOREIGN PATENT DOCUMENTS Remove							

	Application Number		12808467	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2010-06-16	
	First Named Inventor	Steph	nen E. Hidem	
	Art Unit		3735	
	Examiner Name	Eileer	n Dorothy Foley	
	Attorney Docket Numb	er	56782.1.7.2	

Examiner Initial*	Cite No	Fore Num	ign Document ber <sup>3</sup>	Country Code² j	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1								
If you wis	h to a	dd ado	litional Foreign F	atent Document	citation	information p	lease click the Add butto	n Add	
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Examiner Initials*	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							T⁵	
	1       U.S. Application No. 14/290,765, filed May 29, 2014, entitled, "INFUSION SYSTEM CONFIGURATIONS," 67 pages. Attorney docket number 56782.1.6.15.								
	2	U.S. Application No. 61/952,270, filed March 13, 2014 entitled, "REAL TIME NUCLEAR ISOTOPE DETECTION," 30 pages. Attorney docket number 56782.1.13.2.							
	3	U.S DETE	Application No. 14 ECTOR," 64 pages	/455,623, filed Aug a. Attorney docket r	just 8, 20 number {	014, entitled, "IN 56782.1.7.15.	IFUSION SYSTEM WITH R	ADIOISOTOPE	
	4	U.S. INCL 5678	Application No. 14 UDING ACTIVITY 2.1.7.16.	/455,631, filed Aug MEASUREMENT	just 8, 20 AND DC	014, entitled, "R DSE CALIBRAT	ADIOISOTOPE GENERAT ION," 66 pages. Attorney d	OR SYSTEM ocket number	
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				EX	AMINE	R SIGNATUR	E		
Examiner	Signa	ature					Date Considered		
*EXAMIN citation if	EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind C Standard ST <sup>4</sup> Kind of doo English lang	See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12808467	
	Filing Date		2010-06-16	
	First Named Inventor	Steph	hen E. Hidem	
	Art Unit		3735	
	Examiner Name	Eileer	n Dorothy Foley	
	Attorney Docket Number		56782.1.7.2	

## **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2014-09-15
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

		Ur	nder the Pa	aperwork F	eduction Act of 1995,	no persons are requi	red to respond t	to a collection of information	on unless it displays a	alid OMB control number.
P	ATENT APPL	ICATIO Substi	N FEE tute for	Form P	ERMINATION TO-875	N RECORD	Applicatior 12	n or Docket Number 2/808,467	Filing Date 06/16/2010	To be Mailed
								ENTITY: 🛛 L	ARGE 🗌 SMA	
					APPLIC	ATION AS FIL	ED – PAR	ТІ		
			(	Column 1	)	(Column 2)				
	FOR		NU	IMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))		N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), (i)	or (m))		N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),	EE or (q))		N/A		N/A		N/A		
TO (37	TAL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =		
IND (37	EPENDENT CLAIM CFR 1.16(h))	S		mi	nus 3 = *			X \$ =		
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	MULTIPLE DEPEN	IDENT CL	AIM PRE	ESENT (3	7 CFR 1.16(j))					
* If f	the difference in colu	umn 1 is le:	ss than z	zero, ente	r "0" in column 2.			TOTAL		
		(Colurr	ın 1)		APPLICAT (Column 2)	ION AS AMEN (Column 3	IDED – PA	ART II		
NT	09/15/2014	CLAIMS REMAIN AFTER AMENDI	IING MENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 23		Minus	** 38	= 0		x \$80 =		0
ΞNΕ	Independent (37 CFR 1.16(h))	* 4		Minus	***5	= 0		x \$420=		0
AME	Application Si	ize Fee (37	' CFR 1.	16(s))						
	FIRST PRESEN	NTATION OF	MULTIPL	LE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				
								TOTAL ADD'L FE	E	0
		(Colurr	ın 1)		(Column 2)	(Column 3	)			
		CLAI REMAII AFTE AMEND	MS NING ER MENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
EN	Total (37 CFR 1.16(i))	*		Minus	**	=		X \$ =		
DM	Independent (37 CFR 1.16(h))	*		Minus	***	=		X \$ =		
ΕN	Application Si	ize Fee (37	' CFR 1.:	16(s))						
AN	FIRST PRESEN	NTATION OF	MULTIPL	LE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				
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This of proce	collection of informat ss) an application. (	tion is requ Confidentia	ired by 3 lity is gov	37 CFR 1. verned by	16. The information 35 U.S.C. 122 and	n is required to obt d 37 CFR 1.14. Thi	ain or retain a s collection is	a benefit by the public s estimated to take 12	which is to file (and minutes to complete	by the USPTO to e, including gathering,

preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	ED STATES PATEN	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER I P.O. Box 1450 Alexandria, Virginia 22 www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 313-1450
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733
22859 FREDRIKSON	7590 08/14/201 L& BYRON P A	4	EXAN	IINER
INTELLECTU	AL PROPERTY GRO	UP 7 4000	FOLEY, EILEI	EN DOROTHY
MINNEAPOLI	IS, MN 55402	4000	ART UNIT	PAPER NUMBER
			3735	
			NOTIFICATION DATE	DELIVERY MODE
			08/14/2014	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

Application No.Applicant(s)Advisory Action12/808,467HIDEM ET AL.							
Before the Filing of an Appeal Brief	Examiner EILEEN FOLEY	Art Unit 3735	AIA (First Inventor to File) Status No				
The MAILING DATE of this communicati	on appears on the cover sheet with	h the correspo	ndence address				
THE REPLY FILED <u>08 July 2014</u> FAILS TO PLACE THIS / <u>NO NOTICE OF APPEAL FILED</u>	APPLICATION IN CONDITION FOR	ALLOWANCE.					
1. The reply was filed after a final rejection. No Notice of A	ppeal has been filed.  To avoid abando	nment of this ap	plication, applicant must timely file				
<ul> <li>(2) a Notice of Appeal (with appeal fee) in compliance w</li> <li>37 CFR 1.114 if this is a utility or plant application. Note the following time periods:</li> </ul>	ith 37 CFR 41.31; or (3) a Request for that RCEs are not permitted in design	Continued Exami applications. Th	ination (RCE) in compliance with re reply must be filed within one of				
a) 🔲 The period for reply expiresmonths from the mailing date of the final rejection.							
b) The period for reply expires on: (1) the mailing date of this Advisory Action; or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.							
c) A prior Advisory Action was mailed more than 3 months after the mailing date of the final rejection in response to a first after-final reply filed within 2 months of the mailing date of the final rejection. The current period for reply expires months from the mailing date of the prior Advisory Action or SIX MONTHS from the mailing date of the final rejection, whichever is earlier. Examiner Note: If box 1 is checked, check either box (a), (b) or (c). ONLY CHECK BOX (b) WHEN THIS ADVISORY ACTION IS THE FIRST RESPONSE TO APPLICANT'S FIRST AFTER-FINAL REPLY WHICH WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. ONLY CHECK BOX (c) IN THE LIMITED SITUATION SET FORTH UNDER BOX (c). See MPEP 706.07(f). Extensions of time may be obtained under 37 CEB 1 136(a). The date on which the patition under 37 CEB 1 136(a) and the appropriate							
extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) or (c) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
<ol> <li>The Notice of Appeal was filed on A brief in a Notice of Appeal (37 CFR 41.37(a)), or any extension Appeal has been filed, any reply must be filed within AMENDMENTS</li> </ol>	compliance with 37 CFR 41.37 must n thereof (37 CFR 41.37(e)), to avoid the time period set forth in 37 CFR 4	be filed within tv dismissal of the 1.37(a).	vo months of the date of filing the appeal. Since a Notice of				
3. The proposed amendments filed after a final rejection	n, but prior to the date of filing a brie	f. will not be ent	ered because				
a) X They raise new issues that would require furth	er consideration and/or search (see	NOTE below);					
<ul> <li>b) They raise the issue of new matter (see NOTE</li> <li>c) They are not deemed to place the application</li> </ul>	E below); in better form for appeal by materiall	y reducing or sir	nplifying the issues for				
d) They present additional claims without cancel NOTE: . (See 37 CFR 1.116 and 41.33	ing a corresponding number of finally (a)).	rejected claims	3.				
4. The amendments are not in compliance with 37 CFF	R 1.121. See attached Notice of Non-	-Compliant Ame	ndment (PTOL-324).				
5. 🔲 Applicant's reply has overcome the following rejection	on(s):						
6. Newly proposed or amended claim(s) would l allowable claim(s).	be allowable if submitted in a separat	te, timely filed a	mendment canceling the non-				
<ol> <li>For purposes of appeal, the proposed amendment(s new or amended claims would be rejected is provide <u>AFFIDAVIT OR OTHER EVIDENCE</u></li> </ol>	): (a)	J will be entere	d, and an explanation of how the				
8. A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> v	vas/were filed on						
<ol> <li>The affidavit or other evidence filed after final action, applicant failed to provide a showing of good and suf presented. See 37 CFR 1.116(e).</li> </ol>	but before or on the date of filing a N ficient reasons why the affidavit or ot	lotice of Appeal ther evidence is	will <u>not</u> be entered because necessary and was not earlier				
10. The affidavit or other evidence filed after the date of because the affidavit or other evidence failed to over and sufficient reasons why it is necessary and was n	filing the Notice of Appeal, but prior come <u>all</u> rejections under appeal and ot earlier presented. See 37 CFR 41	to the date of fili I/or appellant fai I.33(d)(1).	ng a brief, will <u>not</u> be entered Is to provide a showing of good				
11. The affidavit or other evidence is entered. An explar REQUEST FOR RECONSIDERATION/OTHER	nation of the status of the claims after	r entry is below	or attached.				
<ol> <li>The request for reconsideration has been considere See Continuation Sheet.</li> </ol>	d but does NOT place the application	n in condition fo	r allowance because:				
13. Note the attached Information <i>Disclosure Statement</i>	t(s). (PTO/SB/08) Paper No(s)	_					
14. U Other: STATUS OF CLAIMS							
15. The status of the claim(s) is (or will be) as follows:							
Claim(s) allowed. Claim(s) objected to:							
Claim(s) rejected: 1-5 and 37-48. Claim(s) withdrawn from consideration:							
/JACQUELINE CHENG/							
Supervisory Patent Examiner, Art Unit 3735	Examiner, Art Unit 3735						

U.S. Patent and Trademark Office PTOL-303 (Rev. 08-2013)

Advisory Action Before the Filing of an Appeal Brief

Continuation of 11. does NOT place the application in condition for allowance because: Searching was conducted pursuant to the AFCP 2.0 Pilot and references were found that, in combination with previously cited references, teach the limitation filed with the AFCP 2.0 submission, "displaying, via the computer interface, a time lapse since each elution was completed. Since additional references were found during the AFCP 2.0 search, the Examiner contacted the Applicant about conducting an interview or mailing an advisory action, pursuant to the AFCP 2.0 guidelines. Applicant asked that the advisory action be mailed. Two of the references found during the AFCP 2.0 search are Langley et al. (U.S. Publication No. 2004/0054319 A1) and Williams et al. (US Publication No. 2007/0260213 A1). Langley teaches a display panel (10) to provide alphanumeric and graphical information relating to operation of the device, including time elapsed since the previous dose was administered (see e.g. paragraphs [0036], [0057], & [0063]). Williams teaches displaying elapsed time from injection of media (see e.g. paragraphs [0001], [00025], [0027], [0038], & [0045])..

PATENT Attorney Docket No.: 56782.1.7.2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	FOLEY, Eileen Dorothy
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/O	CLUDING COMP R OPERATION A	PUTER-FACILITATED

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **AFTER-FINAL AMENDMENT**

Dear Commissioner:

In response to the Final Office Action mailed May 8, 2014, the period of response for which runs through August 8, 2014, please amend the application as follows. This Amendment is accompanied by a Certification and Request for Consideration Under the After Final Consideration Pilot Program 2.0.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

PATENT Attorney Docket No.: 56782.1.7.2

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Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **AFTER-FINAL AMENDMENT**

Dear Commissioner:

22859

Customer Number

In response to the Final Office Action mailed May 8, 2014, the period of response for which runs through August 8, 2014, please amend the application as follows. This Amendment is accompanied by a Certification and Request for Consideration Under the After Final Consideration Pilot Program 2.0.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

## **AMENDMENTS TO THE CLAIMS**

This listing of claims will replace all prior versions and listings of claims in the application.

## Listing of Claims:

1. (Currently Amended) A system that generates and infuses radiopharmaceuticals comprising an eluant reservoir, a pump coupled to the reservoir, an infusion tubing circuit, a radioisotope generator, an activity detector, a waste bottle, a computer and a computer interface; the infusion tubing circuit including an eluant line coupled to the pump and to the generator, and an eluate line coupled to the generator, to the activity detector and to the waste bottle; and the computer being coupled to the computer interface, to the pump and to the activity detector and being preprogrammed to execute a method, the method comprising:

activating the pump to pump a volume of eluant from the reservoir, through the eluant line and through the generator, in order to generate a sample or a dose of eluate in the eluate line, via elution within the generator, each sample being intended for a quality control measurement, and each dose being intended for diagnostic imaging;

providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator; and

providing an indication <u>displaying</u>, via the computer interface, [[of]] a time lapse since each elution was completed.

2. (Previously Presented) The system of claim 1, wherein the pre-programmed method further comprises:

counting elutions per unit time;

categorizing each counted elution as having been generated as a sample or a dose; and maintaining a record of the counted and categorized elutions.

3. (Previously Presented) The system of claim 2, wherein the pre-programmed method of the computer further comprises receiving a detected activity level of the eluate for each dose and sample, from the activity detector, and entering the detected activity level for each elution into the record.

4. (Previously Presented) The system of claim 3, wherein the pre-programmed method of the computer further comprises compiling a report that contains the record, the report being formatted for printing and/or for electronic transfer to another system.

5. (Previously Presented) The system of claim 1, wherein the pre-programmed method further comprises:

maintaining a record of elutions that categorizes each elution as having been generated a sample or a dose;

receiving a detected activity level of the eluate for each dose and sample, from the activity detector, and entering the detected activity level for each elution into the record;

calculating and tracking an amount of activity left in the generator after each elution, over a life of the generator; and

compiling a report that includes an amount of activity left in the generator after a final elution at an end of the life of the generator.

6. (Withdrawn) A system that generates and infuses radiopharmaceuticals comprising an eluant reservoir, a pump coupled to the reservoir, an infusion tubing circuit, a radioisotope generator, an activity detector, a waste bottle and a computer; the infusion tubing circuit including an eluant line coupled to the pump and to the generator, an eluate line coupled to the generator and to the activity detector, a patient line coupled to the eluate line, a by-pass line coupled to the eluant line, via a divergence valve, and to the patient line, the by-pass line accommodating flow of eluant to the patient line, when the divergence valve is set to direct the flow to by-pass the generator; and wherein:

- the patient line is coupled to the eluate line and to the by-pass line downstream of the activity detector; and
- the computer is coupled to the pump, to the divergence valve and to the activity detector, and is pre-programmed to collect information, from the pump and the activity detector, and to execute a method for controlling the divergence valve and the pump according to a method, the method comprising:
  - activating the pump a first time, to pump a portion of a volume of eluant from the reservoir, through the eluant line and through the generator at a first flow rate, in order to generate eluate in the eluate line, via an elution within the generator;

- diverting flow of the eluate into the patient line, thereby pushing a dose of the eluate into the patient line, upon receipt of feedback from the activity detector, the feedback indicating detection by the activity detector of a desired activity of the eluate in the eluate line;
- setting the divergence valve to direct flow of eluant through the by-pass line, once the dose of the eluate has been pushed into the patient line; and
- activating the pump a second time, to pump a second portion of the volume of eluant from the reservoir, through the eluant line, through the by-pass line and into the patient line, at a second flow rate that is higher than the first flow rate, in order to inject the dose out from the patient line.

7. (Withdrawn) The system of claim 6, wherein the first flow rate is less than approximately 70mL/minute and the second flow rate is greater than approximately 70mL/minute.

8-35. (Canceled)

36. (Withdrawn) A method for purging a tubing circuit of an infusion system with air, the system comprising a pump coupled to the tubing circuit, a radioisotope generator, a waste bottle and a computer including a computer interface, the method comprising:

- receiving instructions from the computer, via the computer interface, to disconnect the pump from an eluant reservoir of the system, and to by-pass the generator by disconnecting an eluant line and an eluate line, of the tubing circuit, from the generator, and connecting the eluant line to the eluate line; and
- entering, into the computer, via the computer interface, a command to perform an air purge of the tubing circuit, the air purge being automated, via the computer, to perform purges of individual portions of the tubing circuit, in sequence, via control of the pump and of two divergence values of the tubing circuit;
- wherein a first valve, of the two divergence valves, is located between a first portion of the eluate line and two downstream portions of the eluate line, a first of the two downstream portions extending to a waste bottle of the system and a second of the two downstream portions extending to a vial outside the system;
- a second valve, of the two divergence valves, is located between a first portion of the eluant line, extending from the pump, and two downstream portions of the eluant line, a first of

the two downstream portions of the eluant line being connected to the first portion of the eluate line, and a second of the two downstream portions of the eluant line being connected to the second of the two downstream portions of the eluate line.

37. (Previously Presented) The system of claim 1, further characterized in that the preprogrammed method of the computer further comprises the steps of:

- providing, via the computer interface, a first set of breakthrough test data entry fields for a user of the system to enter a first activity measure of a sample of eluate and the corresponding time lapse since the elution was completed to a time of the first activity measure, when the activated pumping generates the sample of eluate for collection in a shielded test vial;
- providing, via the computer interface, a second set of breakthrough test data entry fields for a user of the system to enter a second activity measure of the sample after a predetermined time lapse since the elution was completed to the time of a second activity measure; and calculating a breakthrough of the generator using the first and second activity measures and the time lapse entered by the user.

38. (Previously Presented) The system of claim 1, further characterized in that the preprogrammed method of the computer further comprises the steps of:

- providing, via the computer interface, calibration data entry fields for a user of the system to enter an activity measure of a sample of eluate and the corresponding time lapse since the elution was completed to a time of the activity measure, when the activated pumping generates the sample of eluate for collection in a shielded test vial; and
- calculating a calibration coefficient of the infusion system using a detected activity, received from the activity detector, and the activity measure and the time lapse entered by the user.

39. (Previously Presented) The system of claim 1, wherein the pre-programmed method of the computer further comprises the steps of:

- providing, via the computer interface, a patient identification entry field for a user to enter a patient identification number;
- receiving a detected activity level of a dose of eluate from the activity detector, when the activated pumping generates the dose for diagnostic imaging; and

compiling a report that includes an identification number for the generated dose and the detected activity level.

- 40. (Previously Presented) The system of claim 1, further characterized in that:the system further comprises a light projector coupled to the computer; andthe pre-programmed method of the computer further comprises the step of providing a lightsignal from the light projector when the pump is activated to pump each volume of eluantthrough the generator.
- 41. (Previously Presented) The system of claim 1, further characterized in that: the system further comprises a light projector coupled to the computer; and the pre-programmed method of the computer further comprises the steps of:
  - diverting an initial volume of eluate to the waste bottle, based upon input from the activity detector, before the activated pumping generates the sample or the dose; and
  - providing a first light signal from the light projector, when the initial volume of eluate is being diverted; and
  - providing a second light signal from the light projector, when the activated pumping is generating the sample or the dose of eluate, the second light signal being different from the first light signal.
- 42. (Previously Presented) The system of claim 1, further characterized in that: the system further comprises a light projector coupled to the computer; and the pre-programmed method further comprises providing a light signal when the activity detector detects a peak bolus of radioactivity in the eluate line.

43. (Previously Presented) The system of claim 1, wherein the pre-programmed method of the computer further comprises the steps of:

diverting an initial volume of eluate to the waste bottle, based upon input from the activity detector, before the activated pumping generates the sample or the dose;

tracking the initial volume of eluate; and

providing an indication when the waste bottle needs to be emptied based upon the tracking.

44. (Previously Presented) The system of claim 1, wherein the computer interface comprises a touch-activated display screen.

45. (Previously Presented) The system of claim 1, further comprising at least one sensor for detecting a leak in the tubing circuit, the computer being coupled to the at least one sensor; and wherein the pre-programmed method of the computer further comprises the step of providing an indication, via the computer interface, that a leak in the tubing circuit is detected.

46. (Previously Presented) The system of claim 1, further characterized in that the preprogrammed method of the computer further comprises the steps of:

- calculating a flow rate profile, that corresponds to a desired activity profile, using a baseline activity profile, the baseline activity profile being comprised of a plurality of detected activity levels, received from the activity detector, of a sample of eluate, the sample of eluate being generated by the activated pump pumping the volume of eluant through the generator at a constant flow rate; and
- controlling a speed of the pump, according to the calculated flow rate profile, when pumping another volume of eluant through the generator, in order to generate a dose of eluate in the eluate line, the speed being controlled according to the calculated flow rate profile in order to achieve a desired activity profile of the dose of eluate for diagnostic imaging.

47. (Previously Presented) The system of claim 1, wherein the pre-programmed method further comprises transferring to another system, for analysis, a plurality of detected activity levels, received from the activity detector, over time, for each dose of eluate.

48. (Previously Presented) The system of claim 2, wherein the pre-programmed method of the computer further comprises compiling a report that contains the record, the report being formatted for printing and/or for electronic transfer to another system.

49. (Withdrawn) The system of claim 6, further characterized in that the pre-programmed method of the computer further comprises the steps of:

calculating a flow rate profile, that corresponds to a desired activity profile, using a baseline activity profile, the baseline activity profile being comprised of a plurality of detected

activity levels, received from the activity detector, for the eluate in the eluate line, prior to diverting the flow of eluate into the patient line; and

controlling a speed of the pump, according to the calculated flow rate profile, when activating the pump the second time.

50. (Withdrawn) A system that generates and infuses radiopharmaceuticals comprising an eluant reservoir, a pump coupled to the reservoir, an infusion tubing circuit, a radioisotope generator, an activity detector, a waste bottle, a computer and a computer interface; the infusion tubing circuit including an eluant line coupled to the pump and to the generator, an eluate line coupled to the generator and to the activity detector, a patient line coupled to the eluate line, via a first divergence valve, a waste line coupled to the eluate line, via the first divergence valve, a by-pass line coupled to the eluant line, via a second divergence valve, and to the patient line, the by-pass line accommodating flow of eluant to the patient line, when the second divergence valve is set to direct the flow to by-pass the generator; and the system being characterized in that:

- the patient line is coupled to the eluate line and to the by-pass line downstream of the activity detector; and
- the computer is coupled to the pump, to the first and second divergence valves and to the computer interface, the computer being pre-programmed to execute a method for an automated air purge of the tubing circuit, the method comprising the steps of: providing instructions to a user of the system, via the computer interface, the instructions instructing the user to disconnect the pump from the eluant reservoir and to by-pass the generator by disconnecting the eluant line and the eluate line from the generator and connecting the eluant line to the eluate line; and performing air purges of individual portions of the tubing circuit, in sequence, via control of the pump and of two divergence valves of the tubing circuit.

51. (New) The system of claim 1, wherein displaying the time lapse since each elution was completed comprises displaying a timer counting up or down since each elution was completed.

## REMARKS

This Amendment is responsive to the Office Action dated May 8, 2014. Applicant has amended independent claim 1 and added new claim 51. No new matter has been added by way of the amendments, and support for the amendments can be found throughout Applicant's original disclosure, such as at page 23, line 27–page 25, line, 10. Claims 1–7 and 36–51 will be pending upon entry of this Amendment with claims 6, 7, 36, 49, and 50 withdrawn from consideration. Reconsideration of the application is respectfully requested.

## **Interview Summary**

Applicant thanks the Examiner and the Examiner's Supervisor for their time and the courtesies extended during the telephonic interview conducted on June 27, 2014. Examiner Eileen Foley, the Examiner's Supervisor Jacqueline Cheng, and Applicant's representative Paul LaVanway, Jr. (Reg. No. 64,610) were involved in the telephonic interview. The parties discussed rejected independent claim 1. The parties also discussed deKemp et al. (US Publication No. 2007/0213848, hereinafter "the deKemp '848 reference") and Fago (US Publication No. 2008/0237502), which were previously cited by the Patent Office. No exhibits were introduced or discussed.

Applicant's representative noted several distinctions between the independent claim and the previously applied references. First, Applicant's representative discussed how independent claim 1 requires a computer pre-programmed to execute a method that includes "providing an indication, via a computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator." The Office Action had noted that the deKemp '848 reference does not disclose this feature but did not appear to provide any additional treatment of the claim element. Thus, Applicant's representative took the position that this appears to be a "missing element" from the cited art.

The Examiners acknowledged that the Office Action did not separately discuss this claim element in the rejection of the claims but stated that the feature was intended to be addressed in connection with the "time lapse" feature of the claim and the citation to paragraph [0034] of Fago. In response, Applicant's representative pointed out that there is no pump in the Fago system but rather Fago attaches an empty bottle at vacuum pressure to the outlet of a

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radioisotope generator and, upon opening the vacuum bottle to the generator, allows the vacuum pressure to draw eluant out of an eluant bottle and through the generator. Accordingly, because Fago does not have a pump, Applicant's representative submitted that the reference necessarily cannot disclose a system executing a method that includes "providing an indication, via a computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator."

Second, Applicant's representative discussed how independent claim 1 also recites "providing an indication, via the computer interface, of a time lapse since each elution was completed." The Office Action had acknowledged that the deKemp '848 reference does not disclose this feature but cited Fago in an attempt to overcome this shortcoming of the reference. Applicant's representative submitted, however, that the cited portions of Fago also do not disclose or suggest providing an indication of a time lapse since an elution was completed. Applicant's representative acknowledged that cited paragraph [0034] of Fago states that "[t]he volume and/or time associated with each elution process may be tracked and displayed to enable a user (or the computer 54) to estimate when the generator will be ready for another elution." However, Applicant's representative noted that this statement in Fago does not expressly or inherently disclose or suggest either (1) providing an indication of a time lapse or (2) providing an indication of a time lapse since each elution was completed. For example, Applicant's representative pointed out that the cited portion of Fago discusses tracking and displaying "volume and/or time associated with each elution process" whereas claim 1 calls out an indication of a time lapse since each elution was completed. Applicant's representative further asserted that the cited portion of Fago does not disclose or suggest an indication of a "time lapse." Rather, the cited portion of Fago describes that "a value corresponding to an expected radioactivity level of an elution at a certain time can be calculated and displayed on a computer screen."

The Examiners responded that the phrase "providing an indication" in claim 1 could be interpreted broadly before the USPTO and that Fago provides general guidance to a person of ordinary skill in the art to display data providing an "indication" as specified by the claim. While Applicant's representative disagreed with this application of the Fago reference, Applicant's representative proposed amending independent claim 1 as presented herein in an effort to advance prosecution. The Examiners indicated that further study and consideration of

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such an amendment would be appropriate and recommended filing the amendment using the After Final Pilot program.

Finally, Applicant's representative presented concluding remarks discussing why one of ordinary skill in the art would not have been motivated to modify the system in the deKemp '848 reference in view of the teachings of Fago as proposed in the Office Action. For example, Applicant's representative explained that the eluate system described in the cited embodiment of Fago does not have a pump but rather relies on an evacuated eluate collection bottle to draw eluant from a container and through a generator.<sup>1</sup> Because Fago does not have a pump to actively control and monitor flow, the Fago reference incorporates an eluant electronic drop counter to track the elution process.<sup>2</sup> On the other hand, the system in the deKemp '848 reference has a pump that is controlled and monitored by a controller.<sup>3</sup> Given this technical distinction between the system in the deKemp '848 reference and the system in the Fago reference, a person of ordinary skill in the art would not have seen any benefit to be had or advantage to be gained by incorporating the electronic drop counter techniques of Fago into the system of the deKemp '848 reference. The Examiners agreed to take the argument under additional consideration in connection with the proposed amendment discussed during the interview.

Applicant's representative again thanks the Examiners for their time during the interview and the invitation to participate in the After Final Pilot program. The Examiners are invited to telephone the undersigned if it would be useful to discuss the application further.

## Claim Rejections Under 35 U.S.C. § 103(a)

In the Office Action, claims 1 and 43 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over the deKemp '848 reference in view of Fago. In addition, claims 2–5, 37–42, and 44–48 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over the deKemp '848 reference in view of Fago and in further view of additional cited references.

While Applicant does not agree with the propriety of the rejections, Applicant has amended independent claim 1 in the manner discussed during the telephone interview. For at

<sup>&</sup>lt;sup>1</sup> See Fago at paragraph [0031].

<sup>&</sup>lt;sup>2</sup> See *id.* at paragraph [0034].

<sup>&</sup>lt;sup>3</sup> See deKemp '848 reference at paragraph [0006].

Application No. 12/808,467 Response to Office Action date May 8, 2014

least the reasons discussed during the telephone interview, as well as those presented in Applicant's last Office Action response dated January 2, 2014, the applied references do not render the amended claims unpatentable. Reconsideration and withdrawal of the rejections are therefore respectfully requested.

## CONCLUSION

It is submitted that all claims in this application are in condition for allowance. Applicant respectfully requests reconsideration and prompt allowance of all pending claims.

The Commissioner is authorized to charge any deficiencies and credit any overpayments to Deposit Account No. 06-1910. The Examiner is invited to telephone the undersigned attorney to discuss this application.

Dated: July 8, 2014

Respectfully submitted,

/Paul J. LaVanway, Jr./

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077

Paul J. LaVanway, Jr. Registration No. 64,610

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.

50752473 1.DOC

PTO/SB/434 (05-13)

CERTIFICATION AND REQUEST FOR CONSIDERATION UNDER THE AFTER FINAL CONSIDERATION PILOT PROGRAM 2.0					
Practitioner Docket No.:	Application No.:		Filing Date:		
56782.1.7.2	12/808,467		June 16, 2010		
First Named Inventor: Stephen E. Hidem	Title:	NG COMPUTER-FACILITATED	MAINTENANCE AND/OR OPERATION AND METHODS OF USE		
APPLICANT HEREBY CERTIFIES THE FOLLOW PROGRAM 2.0 (AFCP 2.0) OF THE ACCOMP/	ING AND REQUESTS COM	NSIDERATION UNDER 1 ER 37 CFR 1.116.	THE AFTER FINAL CONSIDERATION PILOT		
<ol> <li>The above-identified application</li> <li>35 U.S.C. 111(a) [a continuing ap</li> <li>eligible under (i)], or (ii) an interr</li> </ol>	is (i) an original utility, p plication ( <i>e.g.,</i> a continua ational application that	lant, or design nonpro ation or divisional appl has entered the natior	visional application filed under lication) is filed under 35 U.S.C. 111(a) and is 1al stage in compliance with 35 U.S.C. 371(c).		
2. The above-identified application	contains an outstanding	final rejection.			
<ol> <li>Submitted herewith is a response amendment to at least one indep any aspect.</li> </ol>	e under 37 CFR 1.116 to t bendent claim, and the a	the outstanding final n mendment does not b	ejection. The response includes an roaden the scope of the independent claim in		
4. This certification and request for response to the outstanding fina	consideration under AFG rejection.	CP 2.0 is the only AFCP	2.0 certification and request filed in		
5. Applicant is willing and available	to participate in any inte	erview requested by th	e examiner concerning the present response.		
6. This certification and request is being filed electronically using the Office's electronic filing system (EFS-Web).					
<ol> <li>Any fees that would be necessary 1.116, <i>e.g.</i>, extension of time fee consideration under AFCP 2.0.]</li> </ol>	Any fees that would be necessary consistent with current practice concerning responses after final rejection under 37 CFR 1.116, <i>e.g.</i> , extension of time fees, are being concurrently filed herewith. [There is no additional fee required to request consideration under AFCP 2.0.]				
8. By filing this certification and req	uest, applicant acknowle	edges the following:			
<ul> <li>By ning this certification and request, upplicative acknowledges the following.</li> <li>Reissue applications and reexamination proceedings are not eligible to participate in AFCP 2.0.</li> <li>The examiner will verify that the AFCP 2.0 submission is compliant, <i>i.e.</i>, that the requirements of the program have been met (see items 1 to 7 above). For compliant submissions:         <ul> <li>The examiner will review the response under 37 CFR 1.116 to determine if additional search and/or consideration (i) is necessitated by the amendment and (ii) could be completed within the time allotted under AFCP 2.0. If additional search and/or consideration is required but cannot be completed within the allotted time, the examiner will process the submission consistent with current practice concerning responses after final rejection under 37 CFR 1.116, <i>e.g.</i>, by mailing an advisory action.</li> <li>If the examiner determines that the amendment does not necessitate additional search and/or consideration, or if the examiner determines that additional search and/or consideration is required and could be completed within the allotted time, then the examiner will consider whether the amendment places the application in condition for allowance (after completing the additional search and/or consideration, if required). If the examiner will contact the applicant and request an interview.</li> <li>The interview will be conducted by the examiner, and if the examiner does not have negotiation authority, a primary examiner and/or supervisory patent examiner will also participate.</li> <li>If the applicant declines the interview, or if the interview cannot be scheduled within ten (10) calendar days from the date that the examiner first contacts the applicant, then the examiner will proceed</li> </ul> </li></ul>					
Signature		Date			
/Paul J. LaVanway, Jr./		July 8, 2014			
Name (Print/Typed) Paul J. LaVanway, Jr. Paul J. LaVanway, Jr.					
<b>Note:</b> This form must be signed in accordance forms if more than one signature is required, s	with 37 CFR 1.33. See 37 ee below*.	CFR 1.4(d) for signature	requirements and certifications. Submit multiple		
✓ * Total of forms are submitted	l				

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acl	Electronic Acknowledgement Receipt				
EFS ID:	19515741				
Application Number:	12808467				
International Application Number:					
Confirmation Number:	3733				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Customer Number:	22859				
Filer:	Paul J. LaVanway Jr.				
Filer Authorized By:					
Attorney Docket Number:	56782.1.7.2				
Receipt Date:	08-JUL-2014				
Filing Date:	16-JUN-2010				
Time Stamp:	15:47:57				
Application Type:	U.S. National Stage under 35 USC 371				

# Payment information:

Submitted with Payment no						
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Response After Final Action	56781 1 7 2 AmendAE.pdf	135160	no	12	
	Response Arter Find Action		53fe5529cdcc596eff288ee29d5981a1dc46 c871		12	
Warnings:						
Information:						

	After Final Consideration Program	56782 1 7 2 Bracco After Fi	249139		
2	Request	nal Pilot Program Form.pdf		no	2
	1		84f9416d00516186a8ec6d2252db77d68c4 2abbb		

#### Warnings:

Information:

Total Files Size (in byt	<b>25):</b> 384299
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

		Unde	r the Paperwork F	Reduction Act of 1995,	no persons are requi	red to respond	to a collection of information	on unless it displays a v	valid OMB control number.
P/	ATENT APPL	ICATION Substitut	FEE DETI te for Form P	ERMINATION TO-875	N RECORD	Applicatio 12	on or Docket Number 2/808,467	Filing Date 06/16/2010	To be Mailed
	ENTITY: 🛛 LARGE 🗌 SMALL 🗌 MICRO								
				APPLIC	ATION AS FIL	ED – PAF	RT I		
			(Column 1	)	(Column 2)				
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), (i)	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),	E or (q))	N/A		N/A		N/A		
TO1 (37	TAL CLAIMS CFR 1.16(i))		minus 20 = *			X \$ -			
IND (37	EPENDENT CLAIM CFR 1.16(h))	S	m	nus 3 = *			X \$ =		
, D	APPLICATION SIZE (37 CFR 1.16(s))	FEE f	f the specifica of paper, the a for small entity fraction thereo CFR 1.16(s).	ation and drawing application size f /) for each additi of. See 35 U.S.C	gs exceed 100 s ee due is \$310 ( onal 50 sheets c . 41(a)(1)(G) and	heets \$155 or 1 37			
	MULTIPLE DEPEN	IDENT CLAIN	M PRESENT (3	7 CFR 1.16(j))					
* If t	the difference in colu	umn 1 is less	than zero, ente	r "0" in column 2.			TOTAL		
	(Column 1) (Column 2) (Column 3)								
NT	07/08/2014	CLAIMS REMAININ AFTER AMENDME	G ENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 23	Minus	** 38	= 0		× \$80 =		0
ΞNΓ	Independent (37 CFR 1.16(h))	* 4	Minus	***5	= 0		x \$420 =		0
AM	Application Si	ize Fee (37 C	FR 1.16(s))						
	FIRST PRESEN	NTATION OF M	ULTIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))			ADDITIONAL FEE (\$)	
		(Column	1)	(Column 2)	(Column 3	)	TOTAL ADD'L FE	E	0
		CLAIMS REMAININ AFTER AMENDME	S NG ENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
L N N	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ΝD	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
ΠN	Application Si	ze Fee (37 C	FR 1.16(s))						
AN	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								
* If 1 ** If *** I The This c	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. LIE ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /TAMARA DARKO/ *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.								
proce	ss) an application. (	Confidentiality	is governed by	35 U.S.C. 122 an	d 37 CFR 1.14. Thi	s collection	is estimated to take 12	minutes to complete	e, including gathering,

preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov					
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733	
22859 FREDRIKSON	7590 07/02/201 J & BYRON P A	EXAMINER			
INTELLECTU 200 SOLITU SI	AL PROPERTY GRO	FOLEY, EILEEN DOROTHY			
MINNEAPOLIS, MN 55402			ART UNIT	PAPER NUMBER	
		3735			
			NOTIFICATION DATE	DELIVERY MODE	
			07/02/2014	ELECTRONIC	

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

	Application No.	Applicant(s)					
Applicant-Initiated Interview Summary	12/808,467	HIDEM ET AL.					
Applicant-initiated interview Summary	Examiner	Art Unit					
	EILEEN FOLEY	3735					
All participants (applicant, applicant's representative, P	ΓO personnel):						
(1) <u>EILEEN FOLEY</u> .	(3) <u>PAUL LAVANWAY</u> .						
(2) JACQUELINE CHENG.	(4)						
Date of Interview: 27 June 2014.							
Type: 🛛 Telephonic 🔲 Video Conference 🗋 Personal [copy given to: 🗌 applicant 📄 applicant's representative]							
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	🗌 No.						
Issues Discussed 101 112 102 103 0 (For each of the checked box(es) above, please describe below the issue and c	Others letailed description of the discussion)						
Claim(s) discussed: <u>1</u> .							
Identification of prior art discussed: DeKemp and Fago.							
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc)							
Applicant and Examiner discussed the combination of DeKemp and Fago and whether one skilled in the art would have motivation to combine the teachings. Applicant and Examiner also discussed Fago's teaching of the claim limitations 'providing an indication that each elution is completed, when the pump has completed pumping,' and 'providing an indication of a time lapse since each elution was completed.' Applicant and Examiner had different interpretations of the teaching of Fago and no agreement was reached. Examiner suggested filing a response under the After Final Consideration Pilot 2.0 with claim amendments further defining the type of indication provided for both the elution is completed and the time lapse (e.g. a display of a digital clock counting up). Further limiting the claim to guality control may also help advance prosecution.							
<b>Applicant recordation instructions:</b> The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview interview.							
<b>Examiner recordation instructions</b> : Examiners must summarize the the substance of an interview should include the items listed in MPEP general thrust of each argument or issue discussed, a general indication general results or outcome of the interview, to include an indication as	substance of any interview of record. A c 713.04 for complete and proper recordat on of any other pertinent matters discuss to whether or not agreement was reache	omplete and proper recordation of on including the identification of ed regarding patentability and the d on the issues raised.	of the e				
Attachment							
/E. F./ Examiner, Art Unit 3735	/JACQUELINE CHENG/ Supervisory Patent Examiner, Art L	Init 3735					
U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010) Interv	iew Summary	Paper No. 201406	 327				
#### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- -Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- -Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and

7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Unit	ed States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER I P.O. Box 1450 Alexandria, Virginia 22 www.uspto.gov	TTMENT OF COMMERCE Trademark Office OR PATENTS 313-1450		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2 3733 EXAMINER			
22859 FREDRIKSON	7590 05/08/201	4				
INTELLECTU 200 SOUTH SI	AL PROPERTY GRO	UP 7 4000	FOLEY, EILEI	EN DOROTHY		
MINNEAPOLI	S, MN 55402	4000	ART UNIT	PAPER NUMBER		
		3735				
			NOTIFICATION DATE	DELIVERY MODE		
			05/08/2014	ELECTRONIC		

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

	Application No. 12/808,467	Applicant(s HIDEM ET A	<b>)</b> AL.
Office Action Summary	Examiner EILEEN FOLEY	Art Unit 3735	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	bears on the cover sheet with the	corresponder	ce address
A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	Y IS SET TO EXPIRE <u>3</u> MONTH 36(a). In no event, however, may a reply be will apply and will expire SIX (6) MONTHS fro a, cause the application to become ABANDON g date of this communication, even if timely fil	IS FROM THE timely filed m the mailing date of IED (35 U.S.C. § 13 ed, may reduce any	E MAILING DATE OF of this communication. 3).
Status			
1) Responsive to communication(s) filed on <u>Janu</u>	<u>1ary 2, 2014</u> .		
A declaration(s)/affidavit(s) under <b>37 CFR 1</b> .	130(b) was/were filed on		
2a) This action is <b>FINAL</b> . $2b)$ This	s action is non-final.		
3) An election was made by the applicant in resp	onse to a restriction requirement	t set forth duri	ng the interview on
1; the restriction requirement and election 1 Since this application is in condition for allows	nave been incorporated into th	is action.	to the morite is
closed in accordance with the practice under <i>I</i>	Ex parte Quayle 1935 C.D. 11	153 O G 213	
Dispesition of Claimer*			
Disposition of Claims <sup>*</sup> $E \setminus M$ Claim(a) 1.7 and 26 EQ is/are pending in the a	polication		
5) Of the above claim(s) 6.7.36.49 and 50 is/	pplication. are withdrawn from consideration	n	
6) Claim(s) is/are allowed		1.	
7) $\square$ Claim(s) <u>1-5 and 37-48</u> is/are rejected.			
8) Claim(s) is/are objected to.			
9) Claim(s) are subject to restriction and/c	or election requirement.		
* If any claims have been determined <u>allowable</u> , you may be e	ligible to benefit from the Patent Pr	osecution Higl	<b>way</b> program at a
participating intellectual property office for the corresponding a	pplication. For more information, pl	ease see	
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to <u>PPHfeedback@usptc</u>	<u>.dov</u> .	
Application Papers			
10) The specification is objected to by the Examine	er.		
11) The drawing(s) filed on is/are: a) acc	epted or b) cobjected to by the	Examiner.	
Applicant may not request that any objection to the	drawing(s) be held in abeyance. S	ee 37 CFR 1.85	ō(a).
Replacement drawing sheet(s) including the correc	tion is required if the drawing(s) is o	bjected to. See	37 CFR 1.121(d).
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign	n priority under 35 U.S.C. § 119(	a)-(d) or (f).	
Certified copies:			
a) All b) Some** c) None of the:			
1. Certified copies of the priority documen	its have been received.		
2. Certified copies of the priority documen	its have been received in Applic	ation No	<u>     .</u> .
3. Copies of the certified copies of the price	ority documents have been rece	ived in this Na	tional Stage
application from the international Burea	u (PCT Rule 17.2(a)).		
	eu copies not received.		
Attachment(s)			
1)  Notice of References Cited (PTO-892)	3) 🔲 Interview Summa	ry (PTO-413)	
2) X Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/ Paper No(s)/Mail Date <u>See Continuation Sheet</u> .	Paper No(s)/Mail           SB/08b)         4) ☐ Other:	Date	
U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13) Office Action	Summary	Part of Paper N	o./Mail Date 20140425

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399	of	2987

Continuation of Attachment(s) 2). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :November 8, 2013 (2 submissions); January 21, 2014; March 28, 2014.

#### **DETAILED ACTION**

#### Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

#### **Response to Amendment**

2. The Response filed January 2, 2014 is acknowledged. Claims 1-7 and 36-50 are pending,

with claims 6-7, 36, and 49-50 withdrawn based on a prior restriction/election.

#### Claim Rejections - 35 USC § 103

# 3. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under pre-

AIA 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.

2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or

nonobviousness.

5. <u>Claims 1 and 43</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. (US Publication No. 2007/0213848 A1) (hereinafter "deKemp et al. US '848") (cited in the Office Action dated January 4, 2013) in view of Fago (US Publication No. 2008/0237502 A1) (cited in the Office Action dated October 2, 2013).

Regarding claim 1, deKemp et al. US '848 teaches an eluent reservoir (*reservoir* (4) (paragraph [0025]; Figure 3)), a pump coupled to the reservoir (*pump* (6) (paragraph [0025]; Figure 3), an infusion tubing circuit (generator valve (16), bypass line (18), patient valve (24), elution system (14) (paragraph [0025]; Figure 3) and feed-line (33) (paragraph [0028]; Figure 5)), a radioisotope generator (*strontium-rubidium* ( ${}^{82}Sr/{}^{82}Rb$ ) generator (8) (paragraph [0025]; Figure 3)), an activity detector (positron detector (20) (paragraph [0025]; Figure 3)), a waste bottle (*waste reservoir* (26) (paragraph [0025]; Figure 3)), a computer (*controller* (28) (paragraph [0025]; Figure 3)) and a computer interface (user interface (44) (Figure 3)). deKemp et al. US '848 also teaches the infusion tubing circuit including an eluant line coupled to the pump and to the generator (*pump* (6) for drawing saline from reservoir (4) (paragraph [0025])) and an eluate line coupled to the generator, to the activity detector and to the waste bottle (generator value (16) to proportion saline flow between the generator (8) and a bypass line (18) which meet at merge point (22), a positron detector (20) downstream of merge point (22), a patient valve (24) to control supply of saline to patient outlet (10) and waste reservoir (26) (paragraph [0025])).

deKemp et al. US '848 further teaches a controller (28) is connected to pump (6), positron detector (20), valves (16) and (24), and user interface (44) (paragraph [0025]; Figure 3) that pump (6) and valves (16, 24) are controlled to route saline through the system (14) in

accordance with various modes of operation (paragraphs [0029]-[0032]; Figures 6a-6d). deKemp et al. US '848 teaches a pump (6) for drawing saline from the reservoir (4) at a desired flow rate (paragraph [0025]). During an "elution" mode, deKemp et al. US '848 discloses generator valve (16) is actively controlled by a control loop (42) from the positron detector (20) to proportion saline flow through both the generator (8) and bypass line (18) (paragraph [0032]).

deKemp et al. US '848 does not explicitly teach the use of a computer to control the modes of operation of the system, providing an indication that the elution is complete, or providing an indication of a time lapse.

Fago teaches visualization of the eluate collection bottle (34) facilitates determining when the elution process is complete, e.g. the eluate collection bottle (34) is full (paragraph [0031]). Fago teaches a syringe pump (40 is adapted to inject the eluent (18) into the generator (22) via tubing (10) (paragraph [0032]). Fago teaches a drip chamber (44) is incorporated in the tubing (10) to facilitate tracking or identification of an amount of eluent flowing into a generator (22) (paragraph [0033]; Figure 6). Fago teaches an electronic drop counter (46) may be used to count the drops passing through the drip chamber (44) by using an LED (48) and a photo detector (50) to determine when a drop passes through the drip chamber (44) (paragraph [0033]; Figure 7). Fago teaches this facilitates the provision of metrics relating to the amount of eluant being passed from the eluant passing from the eluent supply container (4) into the generator (22) (paragraph [0033]). Fago teaches the drop counter (46) is coupled to an electronic device and/or computer (54) to store data, facilitate communication with other device, and/or perform calculations relating to the elution process (paragraph [0034]). Fago teaches the drop counter (46) is communicatively coupled to a display (52) for displaying metrics relating to the elution

process and that the display may be incorporated into the computer (54) (paragraph [0034]). Fago teaches the volume and/or time associated with each elution process may be tracked and displayed to enable the user to estimate when the generator will be ready for another elution process ("providing an indication via the computer interface that each elution is completed and of a time lapse since each elution was completed") (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of controlling an <sup>82</sup>Sr/<sup>82</sup>Rb elution system of deKemp et al. US '848 to include the displayed volume and time calculations taught by Fago in order to estimate when a generator will be ready for another elution process (Fago, paragraph [0034]).

Regarding claim 43, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110), or to a shielded waste container (90) ("diverting an initial volume of eluate to the waste bottle") (paragraph [0025]). deKemp et al. US '848 does not teach tracking the initial volume or eluate or providing an indication when the waste bottle needs to be emptied.

Fago teaches an eluate collection bottle (34) may have a standard or predefined volume (paragraph [0031]). Fago teaches a user can observe, based on the visualization portal and index marks (19), the eluent levels in the eluant supply container (4) go down in an amount generally corresponding to the amount of eluate received in the eluate collection bottle (34) ("tracking the initial volume of the eluate") (paragraphs [0026] and [0031]). Fago teaches this visualization

facilitates determining when the elution process is complete, e.g. the eluate collection bottle is full ("providing an indication when the waste bottle needs to be emptied") (paragraph [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the waste bottle of deKemp et al. US '848 to include the visualization portal and index marks taught by Fago in order to track the amount of fluid in the bottle (Fago, paragraph [0031]).

6. <u>Claims 2-4, 38, 39, 44, and 48</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of Tate et al. (US Publication No. 2008/0177126 A1) (cited in the Office Action dated January 4, 2013).

Regarding claim 2, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]) and Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]), but the combination does not teach maintaining a record of the elutions.

Tate teaches a Records or Injection History button (1022) (paragraph [0181]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the

elution system of deKemp et al. US '848 and Fago to include the Records or Injection History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

<u>Regarding claim 3</u>, Tate teaches printing the injection information, including information about the activity and volume of the dose delivered to the patient and displaying it in pop-up (1240) (paragraph [0235]).

<u>Regarding claim 4</u>, Tate teaches a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]).

Regarding claim 38, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches sampling the activity level in the dose calibrator at regular intervals throughout the duration of the elution run (paragraph [0055]). deKemp et al. US '848 also teaches calibration data collected during the elution can be used to calculate the proportionality constant K between the activity parameter ( $C_{det}$ ) and the <sup>82</sup>Rb activity concentration (paragraphs [0056]-[0057]). The combination of deKemp et al. US '848 and Fago does not teach providing via the computer interface, calibration data entry fields for a user.

Tate teaches user-friendly data entry mechanisms for the system (10) (paragraph [0170]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the calibration and activity data of deKemp et al. US '848 and Fago to be input by a user through the user-friendly data entry mechanisms taught by Tate in order to clearly and unambiguously communicate the current status of the system to an operator (Tate, paragraph [0170]).

<u>Regarding claim 39</u>, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1, but does not teach providing a patient identification entry field for a user to enter a patient identification number, receiving a detected activity level of a dose of eluate from the activity detector, or compiling a report that includes an identification number for the generated dose and detected activity level.

Tate teaches the touch screen arrangement (1000) can be used for four categories of tasks, including Patient Treatment (paragraph [0172]). Tate discloses the "Patient Treatment" category includes a number of tasks including inputting patient and/or case identification information into the system (10) and measuring the activity level of the radiopharmaceutical dose (paragraph [0207]). Tate teaches that the pharmaceuticals are used in imaging procedures (paragraph [0069]). Tate teaches that the printer (24) may be used to generate records of the injection and/or imaging procedures performed for inclusion in their medical records (paragraph [0075]). Tate discloses a "Case Information" pop-up display (1217) including an "Identification" field (1217a) and a keypad (1217j) for inputting a patient or other identification number (paragraph [0216]). Tate also discloses a detailed injection history display (1360), which includes activity level of the injection and the patient identification number, and can be printed using "Print" button (1363) (paragraph [0245]; Figure 34B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the identification information taught by Tate in order to generate records of the injection and/or imaging procedures performed for inclusion in patient's medical records (Tate, paragraph [0075]).

<u>Regarding claim 44</u>, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1, but does not teach the computer interface comprises a touch-activated display screen.

Tate teaches that the fluid delivery system (10) includes a display or graphical user interface display (15) for programming and operating the system (10) and may incorporate touch-screen capability (paragraph [0071]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the touch-screen capability taught by Tate for ease of use of the system (Tate, paragraph [0071]).

Regarding claim 48, Tate teaches the fluid delivery system (10) includes a printer (24) (paragraph [0074]). Tate teaches that the printer (24) may generate records of the injection and/or imaging procedures performed on patients and may be pivotally connected to the system (10) to allow an operator to load paper or labels into the printer (24) (paragraph [0075]; Figure 1B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the printer taught by Tate in order to include data in patients' medical records or for billing/inventory purposes (Tate, paragraph [0075]).

7. <u>Claim 5</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of Tate and deKemp (US Publication No. 2007/0140958 A1) (hereinafter "deKemp US '958") (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 5</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]).

Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]). Fago teaches an actual radioactivity level of the eluate can be determined at a given time, programmed into the computer (54) ("receiving a detected activity level and entering the detected activity level for each elution into the record") (paragraph [0034]). Fago teaches the radioactivity level can be incorporated with other data to determine an expected radioactivity level at a specified future time and thus estimate when the generator will be ready for another elution process ("calculating and tracking the amount of activity left in the generator after each elution") (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of deKemp et al. US '848 to include the radioactivity information and estimations taught by Fago in order to estimate when a generator will be ready to perform another elution process (Fago, paragraph [0034]).

The combination of deKemp et al. US '848 and Fago does not teach maintaining a record of the elutions or compiling a report that includes an amount of activity left in the generator.

Tate discloses user-friendly data entry mechanisms for the system (10) (paragraph [0170]). Tate teaches a Records or Injection History button (1022) (paragraph [0181]) and a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the Records or Injection History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

The combination of deKemp et al. US '848, Fago, and Tate does not teach compiling a report that includes an amount of activity left in the generator.

deKemp US '958 teaches a control algorithm and a valve (108) direct the eluate through a delivery line (112) for a calibration elution or a patient elution (110) (paragraph [0025]). deKemp US '958 teaches that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough to determine if the yield is above a predetermined radioactivity limit (paragraph [0029]; Figure 5). deKemp US '958 teaches control software controls a volume of fluid during generator column (10) flushes and elutions, and accepts the cumulative volume and stores it (paragraph [0031]). deKemp US '958 further teaches that the cumulative volume is recomputed after each elution and disposing of the generator column if the volume limit is exceeded (paragraph [0032]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the yield and volume determinations of deKemp US '958 in the records of the computer controlled elution system of deKemp et al. US '848, Fago, and Tate in order to determine if the generator column can continue to be used for patient elutions (deKemp US '958, paragraph [0028]).

8. <u>Claim 37</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of deKemp US '958.

<u>Regarding claim 37</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach providing a first and second set of breakthrough test data entry fields.

deKemp US '958 teaches generator column (10) is flushed with 50 ml of sterile saline solution in order to remove any strontium breakthrough, then the operator waits for a predetermined period of time (at least 10 minutes) before performing the calibration elution (paragraph [0029]). Then, deKemp US '958 discloses that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough (paragraph [0029]). deKemp US '958 discloses that those skilled in the art would know to test the radioactivity of the elution after about 26 minutes has elapsed, at which time the amount of residual <sup>82</sup>Rb is insignificant and will no distort the test results (paragraph [0030]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the breakthrough measurements taught by deKemp US '958 in the computer controlled elution system of deKemp et al. US '848 and Fago in order to determine if breakthrough is less than a threshold for permissible levels (paragraph [0030]).

9. <u>Claim 40</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of Telischak et al. (US Patent No. 7,996,068 B2) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 40</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the pump is activated.

Telischak teaches a visible light is projected at all times when the device is activated (column 8, lines 18-26). It would have been obvious to one of ordinary skill in the art to include the light projecting when the device is activated disclosed by Telischak in the pump of the computer controlled infusion system of deKemp et al. US '848 and Fago in order to confirm that the device is operational (Telischak, column 8, lines 24-25).

10. <u>Claim 41</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Ellingboe et al. (US
Publication No. 2006/0015056 A1) (cited in the Office Action dated January 4, 2013).

Regarding claim 41, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 also teaches a "waiting for threshold" mode of system (14), in which the saline flow is routed through the generator (8) and into the waste reservoir (26) (paragraph [0031]) and an "elution" mode where the active saline solution is directed to the patient outlet (paragraph [0032]). Neither deKemp et al. US '848 nor Fago teach a light projector or providing a first light signal when an initial volume of eluate is being diverted or providing a second light signal when the pumping is generating the sample or the dose of eluate.

Ellingboe teaches an animated light indicator may be provided to indicate when cardioplegia is being delivered (e.g. via green illumination) and when delivery is stopped (e.g. via red illumination) (paragraph [0243]; Figure 301). It would have been obvious to one of ordinary skill in the art to include the different illumination signals disclosed by Ellingboe in the computer controlled infusion system of deKemp et al. US '848 and Fago in order to provide indicators to the operator regarding which stage of the infusion is occurring.

<u>Claim 42</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Bergner (US Patent No.
4,585,941) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 42</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the activity detector detects a peak bolus of radioactivity.

Bergner teaches an LED display (100) is immediately about the total dose thumbwheel switches (98) and displays the total dose which has been infused in the patient (56) (column 5, lines 8-25). Bergner teaches the actual dose rate which is present in the eluate within the tube (30) in front of the dosimetry probe (58) is displayed on LED display (104) (column 5, lines 8-25). It would have been obvious to one of ordinary skill in the art to include the LED signals based on actual dose disclosed by Bergner in the computer controlled infusion system of deKemp et al. US '848 and Fago in order to provide a description of the dose present in the eluate (Bergner, column 5, lines 19-22).

12. <u>Claim 45</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Varrichio et al. (US
Publication No. 2005/0187515 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 45</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach at least one sensor for detecting a leak in the tubing and providing an indication that a leak has been detected.

Varrichio et al. teaches a sensor (251) that may be utilized to detect a leak in the valve system (241) (paragraph [0027]). Varrichio et al. teaches that if one of the flow valves leaks, a midpoint pressure will drift higher if primary flow valve (343) is leaking and lower if redundant flow valve (344) is leaking, thus providing an indication that a leak has been detected (paragraph [0036]). Varrichio et al. discloses a user interface for external program controller (260), which provides real-time status information with respect to infusate pump (200) and may provide audible alarms upon detection of particular conditions and may report conditions to the doctor using information from sensor (251) (paragraphs [0027] and [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the leak detecting sensor of Varrichio et al. in the computer controlled elution system of deKemp et al. US '848 and Fago in order to provide feedback to the doctor (Varrichio et al., paragraph [0027]).

13. <u>Claim 46</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Padda et al. (US Patent No. 5,395,320) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 46</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach calculating a flow rate profile or controlling a speed of the pump according to the calculated flow rate profile.

Padda teaches an infusion pump which can be programmed to deliver any of a variety of selected profiles of fluid medicine volume over time (Abstract). Padda discloses examples of delivery profiles including fixed rate of flow, ramp up, ramp down, fixed rate with increased rate spikes at specified intervals, and no flow with an infusion bolus at specified intervals (column 2, lines 42-50). Padda teaches a pumping mechanism (24) provides accurate delivery of medicine

(column 4, lines 9-10). Padda teaches a piggyback delivery profile function which allows for the use of a second profile applied before, during an interrupt, or after the first profile, and a different medicine and different fluid to be infused through the infusion pump (column 4, line 59-column 5, line 6). Padda discloses a CPU that sends a motor control drive (14) a code containing information on the desired motor speed and a motor speed control (15) (column 6, line 66-column 7, line 16). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the flow control and profile mechanisms of Padda in the computer controlled elution system of deKemp et al. US '848 and Fago in order to provide a variety of different fluid delivery profiles to a patient (Padda, column 2, lines 31-34).

14. <u>Claim 47</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Whittacre et al. (US
Publication No. 2003/0139640 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 47</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach transferring a plurality of detect activity levels to another system.

Whittacre teaches a display of the amount of isotope which is generating the radioactivity and transferring those values to a database if accepted by the operator (paragraph [0195]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the data transfer of Whittacre in the computer controlled elution system of deKemp et al. US '848 and Fago in order to allow one or more physicians to access the displayed information (Whittacre, paragraph [0202]).

#### **Response to Arguments**

15. Applicant's arguments filed January 2, 2014 have been fully considered but they are not persuasive. In response to applicant's arguments against the references individually, see pages 2-5 of the Remarks, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). Applicant argues that because Fago does not have a pump, it cannot provide an indication of a time lapse since each elution was completed. However, the rejection combined Fago with the teachings of deKemp et al. US '848. deKemp et al. US '848 does teach a pump and modifying the system of deKemp et al. US '848 to include the drop counter would provide an indicated of when the pump has completed pumping, because no drops would be counted or seen once the pump stopped pumping fluid.

16. Applicant's arguments on page 5 of the Remarks that a person of ordinary skill in the art would have consciously avoided modifying the eluent counting system of Fago to utilize an eluant pump have been fully considered but they are not persuasive because the system of deKemp et al. US '848 that was modified to include the drop counter of Fago (see page 5 of the Office Action dated October 2, 2013).

17. Applicant's arguments on pages 5-6 of the Remarks that Fago does not teach an indication of a time lapse since each elution was completed have been fully considered but they are not persuasive because the volume and/or time associated with each elution process may be tracked and displayed to enable a user (or the computer 54) to estimate when the generator will be ready for another elution process (paragraph [0034]), and time displayed between the time

where no drops are sensed/counted and the time where drops are again sensed/counted would provide an indication of a time lapse since each elution was completed (Fago, paragraphs [0034]-[0036]).

#### Conclusion

18. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

 Any inquiry concerning this communication or earlier communications from the examiner should be directed to EILEEN FOLEY whose telephone number is (571) 270-7248.
 The examiner can normally be reached Monday-Thursday, and alternate Fridays, from 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jacqueline Cheng can be reached at (571) 272-5596. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/E. F./ Examiner, Art Unit 3735

/JACQUELINE CHENG/ Supervisory Patent Examiner, Art Unit 3735 Doc description: Information Disclosure Statement (IDS) Filed

# 12808467 - GAL: 37,35 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467			
Filing Date		2010-06-16			
First Named Inventor	Steph	en E. Hidem			
Art Unit		3735			
Examiner Name	Eileer	n Dorothy Foley			
Attorney Docket Number		56782.1.7.2			

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Receipt date:03/28/2014Application Number1280846712808467 - GAU: 3735INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT<br/>(Not for submission under 37 CFR 1.99)Filing Date2010-06-16Art Unit3735Examiner NameEileen Dorothy Foley

Attorney Docket Number

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Attorney Docket Number		56782.1.7.2			

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467	12808467 - GAU: 3735
Filing Date		2010-06-16	
First Named Inventor	Steph	en E. Hidem	
Art Unit		3735	
Examiner Name	Eileer	Dorothy Foley	
Attorney Docket Number		56782.1.7.2	

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<sup>1</sup> See Kind Codes of Us Standard ST.3). <sup>3</sup> For <sup>4</sup> Kind of document by English language trans	SPTO Patent Documents at <u>www.USF</u> Japanese patent documents, the indic the appropriate symbols as indicated o lation is attached.	<u>PTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter offic ation of the year of the reign of the Emp on the document under WIPO Standard	e that issued the docume eror must precede the set ST.16 if possible. <sup>5</sup> Applie	nt, by the two-letter code (W rial number of the patent do cant is to place a check mar	/IPO cument. k here if						

Doc description: Information Disclosure Statement (IDS) Filed

# 12808467 - GAL: 37,35 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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First Named Inventor	Steph	en E. Hidem			
Art Unit		3735			
Examiner Name	Eileer	Dorothy Foley			
Attorney Docket Number		56782.1.7.2			

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	1	244513	SU			1969-12-31	Bogoudinov			
	2	2288755	RU			2006-12-10	Shchetinin			
	3	2131273	RU			1999-06-10	Sajens			

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.F./ EFS Web 2.1.17

Receipt date: 11/08/2013

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467	12808467 - GAU: 3735
Filing Date		2010-06-16	
First Named Inventor Stept		en E. Hidem	
Art Unit	-	3735	
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Attorney Docket Number		56782.1.7.2	

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<sup>1</sup> See Kind C Standard ST <sup>4</sup> Kind of doo English lang	<ul> <li>Litation if not in conformance and not considered. Include copy of this form with next communication to applicant.</li> <li><sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.</li> <li><sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.</li> </ul>								

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Doc description: Information Disclosure Statement (IDS) Filed

# 12808467 - GAL: 37,35 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor	Steph	en E. Hidem		
Art Unit		3735		
Examiner Name FOLE		Y, Eileen Dorothy		
Attorney Docket Number		56782.1.7.2		

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12808467 - GAU: 3735 Receipt date: 01/21/2014 Application Number 12808467 Filing Date 2010-06-16 **INFORMATION DISCLOSURE** First Named Inventor Stephen E. Hidem STATEMENT BY APPLICANT Art Unit 3735 (Not for submission under 37 CFR 1.99) **Examiner Name** FOLEY, Eileen Dorothy 56782.1.7.2 Attorney Docket Number

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EXAMINER SIGNATURE									
Examiner Signature	Examiner Signature /Eileen Foley/ Date Considered 04/25/2014								
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<sup>1</sup> See Kind Codes of USP Standard ST.3). <sup>3</sup> For Jap <sup>4</sup> Kind of document by the English language translati	TO Patent Documents at <u>www.USPTO.GOV</u> or MP banese patent documents, the indication of the year appropriate symbols as indicated on the document on is attached.	EP 901.04. <sup>2</sup> Enter office that issued the docume of the reign of the Emperor must precede the se under WIPO Standard ST.16 if possible. <sup>5</sup> Appli	nt, by the two-letter code (WIPO rial number of the patent document. cant is to place a check mark here i						

#### **EAST Search History**

#### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	7610	600/1-8,301,431.ccls.	US-PGPUB; USPAT; USOCR	OR	ON	2014/04/25 15:29
L2	7610	L1	US-PGPUB; USPAT; USOCR	OR	ON	2014/04/25 15:29
L3	5508	604/236,65- 67,48,218.ccls.	US-PGPUB; USPAT; USOCR	OR	ON	2014/04/25 15:29
L4	5508	L3	US-PGPUB; USPAT; USOCR	OR	ON	2014/04/25 15:29
L5	1	"20110209764".pn.	US-PGPUB; USPAT; USOCR	OR	ON	2014/04/25 15:30
L6	2	("3565376"   "20020129471").pn.	US-PGPUB; USPAT; USOCR	OR	ON	2014/04/25 15:32

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12808467	HIDEM ET AL.
	Examiner	Art Unit
	EILEEN FOLEY	3735

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED								
Symbol	Date	Examiner						

### **US CLASSIFICATION SEARCHED**

Class	Subclass	Date	Examiner
600	1-8, 301, 431	7/9/12-7/26/12	EF
604	48, 65-67, 218, 236, 526	7/9/12-7/26/12	EF

SEARCH NOTES						
Search Notes	Date	Examiner				
Limited class/subclass searches with test	7/9/12-7/26/12	EF				
Text searching in EAST (see Search History)	7/9/12-7/26/12	EF				
Inventor name search in EAST	7/9/12-7/26/12	EF				
Assignee name search in EAST	7/9/12-7/26/12	EF				
Forward/backward searches of relevant art in EAST	7/9/12-7/26/12	EF				
Updated class/subclass searches	11/27/12-	EF				
	11/28/12					
Additional text searching and forward/backward searches in EAST	11/27/12-	EF				
	11/28/12					
Updated class/subclass searches and additional text searching in EAST	8/13-9/17/13	EF				
Updated class/subclass searches	4/25/14	EF				

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US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner			

/E.F./ Examiner.Art Unit 3735 22859 Customer Number

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	Eileen Dorothy Foley
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/O	CLUDING COMP R OPERATION A	PUTER-FACILITATED ND METHODS OF USE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### <u>COMMUNICATION REGARDING</u> INFORMATION DISCLOSURE STATEMENT

Dear Commissioner:

Applicant wishes to notify the Commissioner that through error and in good faith, Applicant mistakenly paid the small entity fee at the time of filing the Information Disclosure Statement submitted to the U.S. Patent Office on March 28, 2014 for the above-referenced patent application. In order to rectify this error, Applicant is currently submitting an additional \$90.00 payment via credit card with this communication to satisfy the \$180.00 fee set forth in 37 CFR 1.17(p) for an undiscounted entity.<sup>1</sup>

The Commissioner is authorized to charge any surcharge or additionally required fees to Deposit Account No. 06-1910.

Respectfully submitted,

/Paul J. LaVanway, Jr./ Paul J. LaVanway, Jr. Registration No. 64,610

#### Customer No. 22859

<sup>&</sup>lt;sup>1</sup> The \$180 fee is satisfied by the \$90.00 fee paid March 28, 2014 and the \$90.00 fee paid with this filing, May 2, 2014.

Fredrikson & Byron, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077

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Electronic Patent Application Fee Transmittal						
Application Number:	12	808467				
Filing Date:	16	-Jun-2010				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE					
First Named Inventor/Applicant Name:	Stephen E. Hidem					
Filer:	Paul J. LaVanway Jr./Alycia Morell					
Attorney Docket Number:	56	782.1.7.2				
Filed as Small Entity						
U.S. National Stage under 35 USC 371 Filing	Fee	S				
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	2806	1	90	90
	Tot	al in USD	) (\$)	90

Electronic Acknowledgement Receipt					
EFS ID:	18931302				
Application Number:	12808467				
International Application Number:					
Confirmation Number:	3733				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Customer Number:	22859				
Filer:	Paul J. LaVanway Jr./Alycia Morell				
Filer Authorized By:	Paul J. LaVanway Jr.				
Attorney Docket Number:	56782.1.7.2				
Receipt Date:	02-MAY-2014				
Filing Date:	16-JUN-2010				
Time Stamp:	17:21:56				
Application Type:	U.S. National Stage under 35 USC 371				

# Payment information:

Submitted with Payment	yes					
Payment Type	Credit Card					
Payment was successfully received in RAM	\$90					
RAM confirmation Number	4121					
Deposit Account	061910					
Authorized User	LAVANWAY, PAUL J.					
The Director of the USPTO is hereby authorized to charge	The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. 1.492 (National application filing, search, and examination fees)						
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Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:									
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	Miscellaneous Incoming Letter 56782_1_7_2_IDS_Comm_U erpayment_5-2-14.pdf		68627	no	2				
		erpayment_5-2-14.pu	376f94fd45c772c38280e0e84bde4f980a9fc 015						
Warnings:									
Information:		1		i					
2	Fee Worksheet (SB06)	fee-info.pdf	30516	no	2				
			43503489dc6e8c192ffa29f93e9ad41fe515c be5						
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		Total Files Size (in bytes)	9	9143					
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.									
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.									
New Internat If a new inter an internatio and of the In national secu the applicati	tional Application Filed with the US mational application is being filed a mal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/R urity, and the date shown on this Ac on.	PTO as a Receiving Office and the international applicat nd MPEP 1810), a Notification 10/105) will be issued in due c knowledgement Receipt will (	ion includes the nece of the International <i>J</i> ourse, subject to pres establish the internat	ssary comp Application scriptions co ional filing	onents for Number oncerning date of				

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor	Steph	en E. Hidem		
Art Unit		3735		
Examiner Name	Eileer	n Dorothy Foley		
Attorney Docket Number		56782.1.7.2		

PTO/SB/08a (01-10)

	U.S.PATENTS Remove											
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Da	ite	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines whe Relevant Passages or Rel Figures Appear		e /ant		
	1	3565376		1971-02-2	23	Homer J. Viers						
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Examiner Initial*	Cite N	lo Publication Number	Kind Code <sup>1</sup>	Publicatio Date	on	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Releva Figures Appear		e /ant		
	1	20020129471	A1	2002-09-1	19	Chin-Yang Wang		Chin-Yang Wang				
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	1											
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# INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT<br/>(Not for submission under 37 CFR 1.99)Application Number12808467Art Unit2010-06-16First Named InventorStephen E. HidemArt Unit3735Examiner NameEileen Dorothy FoleyAttorney Docket Number56782.1.7.2

If you wish	1 n to ac	d add	itional non-patent literature document citation information please click the Add b	outton Add				
EXAMINER SIGNATURE								
Examiner	Examiner Signature Date Considered							
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.								

EFS Web 2.1.17

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12808467	
	Filing Date		2010-06-16	
	First Named Inventor Step		phen E. Hidem	
	Art Unit		3735	
	Examiner Name Eileer		een Dorothy Foley	
	Attorney Docket Numb	er	56782.1.7.2	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2014-03-28
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64,610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal						
Application Number:	12	808467				
Filing Date:	16	-Jun-2010				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE					
First Named Inventor/Applicant Name:	Stephen E. Hidem					
Filer:	Paul J. LaVanway Jr./Alycia Morell					
Attorney Docket Number:	56	782.1.7.2				
Filed as Small Entity						
U.S. National Stage under 35 USC 371 Filing	Fee	S				
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	2806	1	90	90
	Tot	al in USD	)(\$)	90

Electronic Acknowledgement Receipt					
EFS ID:	18593798				
Application Number:	12808467				
International Application Number:					
Confirmation Number:	3733				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Customer Number:	22859				
Filer:	Paul J. LaVanway Jr./Alycia Morell				
Filer Authorized By:	Paul J. LaVanway Jr.				
Attorney Docket Number:	56782.1.7.2				
Receipt Date:	28-MAR-2014				
Filing Date:	16-JUN-2010				
Time Stamp:	16:01:40				
Application Type:	U.S. National Stage under 35 USC 371				

# Payment information:

Submitted with Payment	yes				
Payment Type	Credit Card				
Payment was successfully received in RAM	\$90				
RAM confirmation Number	2426				
Deposit Account	061910				
Authorized User     LAVANWAY, PAUL J.					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:					
Charge any Additional Fees required under 37 C.F.R. 1.492 (National application filing, search, and examination fees)					
Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)					

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

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File Listin	g:							
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Information Disclosure Statement (IDS)	56782 1 7 2 IDS 3 27 14.pdf	612226		4			
	Form (SB08)		7363dcd09b472f6088fb5fe278373ba7e7af 9842					
Warnings:								
Information:								
2	Fee Worksheet (SB06)	fee-info.pdf	30516	no	2			
	、 <i>·</i>		8ffd52627d1e05ec10b0e05bc672effcd7da a68e					
Warnings:								
Information:								
		Total Files Size (in bytes)	<b>:</b> 64	42742				
characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating accentance of the application as a								
national stag <u>New Internat</u> If a new inter an internatio and of the In national secu the applicati	U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

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### **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor Steph		en E. Hidem		
Art Unit		3735		
Examiner Name	FOLE	Y, Eileen Dorothy		
Attorney Docket Number		56782.1.7.2		

U.S.PATENTS Remove										
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	9	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relev Figures Appear		∋ /ant
	1									
If you wis	h to ad	d additional U.S. Pate	nt citatio	n informatio	on pl	ease click the	Add button.		Add	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12808467	
	Filing Date		2010-06-16	
	First Named Inventor	Steph	nen E. Hidem	
	Art Unit		3735	
	Examiner Name	FOLEY, Eileen Dorothy		
	Attorney Docket Number		56782.1.7.2	

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Examiner	Signa	iture	Date Consider	red		
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here it English language translation is attached.						

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12808467	
	Filing Date		2010-06-16	
	First Named Inventor	Steph	nen E. Hidem	
	Art Unit		3735	
	Examiner Name	FOLE	EY, Eileen Dorothy	
	Attorney Docket Numb	er	56782.1.7.2	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

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See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2014-01-21
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64,610

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

(B) Europäisches Patentamt European Patent Office Office européen des brevets	<ul> <li>Publication number:</li> <li><b>0 319 148</b></li> <li>A2</li> </ul>
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Method of operating a multi-processor system for the transfer of data between processor units.

Servicing the request with a relatively slow I/O accessing operation to a secondary storage device. (F) A method for minimising I/O mechanical assess operations on secondary storage devices in a data processing system having a plurality of processor units interconnected in a cluster configuration to permit each processor unit to request and obtain data that is resident only on a secondary storage device of one processor unit. The method involves the steps of maintaining at each processor unit information about each copy of data that has been sent from the unit to another unit to permit a second request to the unit to be serviced by transferring a copy of the data from the main memory which is storing the data to the requesting unit rather than



FIG. 1 Xerox Copy Centre

#### METHOD OF OPERATING A MULTI-PROCESSOR SYSTEM FOR THE TRANSFER OF DATA BETWEEN PROCESSOR UNITS

This invention relates in general to data processing systems comprising a plurality of similar interconnected data processing units which share the same virtual memory addressing space and in particular to an arrangement for reducing disk I/O access by each of the processing units.

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A related application, European Published Application No. A-229691, is directed to a method for use in a multi-user page segmented virtual memory data processing system in which a mapped file data structure is selectively created to permit all I/O operations to the secondary storage devices to be executed by simple load and store instructions under the control of the page fault handler.

- The prior art has disclosed a number of virtual memory data processing systems which employ a single stand alone Central Processing Unit (CPU). These systems generally employ a main memory having a plurality of individually addressable storage locations, each of which stores one byte of data and a secondary storage device such as a Disk File which includes a plurality of block addressable storage locations each of which stores a block of data. For discussions purposes it is convenient to assume that each block address of the disk file stores a page of data comprising for example 2K (2048) bytes of data. The virtual memory concept involves what is sometimes referred to as a single-level store.
- In a single-level store, the maximum address range of the system is generally much larger than the real capacity of the main memory. The main memory is made to appear much larger by the use of a paging mechanism and a secondary storage device which cooperate to keep the data required by the application program in main memory. The function of the paging mechanism is to transfer a page of data from the disk
- 20 file to main memory whenever a page which is addressed by the application program is not in main memory. This is called a page fault. Transferring the page of data from the disk file to main memory is called page fault handling.

The performance of a virtual memory data processing system is directly related to the number of disk accesses that occur in servicing page faults since accessing a disk is a relatively slow process typically requiring several milliseconds, whereas accessing main memory typically involves less than a single microsecond. Prior art virtual memory systems therefore employ various techniques to reduce disk accesses and increase the percentage of "hits" that are made in addressing virtual memory. A hit is made in addressing virtual memory if data addressed by an application program is in main memory at the time the application program addressed the data. The hit ratio r of a virtual memory system is the number of hits h plus misses m, or

r = h/(h + m)

The prior art has also disclosed a number of multi-processor system configurations that are sometimes employed to obtain increased data processing power. A multi-processor system configuration may be thought of as a plurality of processing units sharing a logical communication channel. The logical communication channel may take the form of memory shared among the processing units into which messages from the processing unit to another processing unit may be placed. Additionally, the logical communication channel may take the form of a communication network through which from one processing unit to another processing unit may travel. In some prior art multi-processor system configurations referred to as tightly-coupled multi-processor configurations, the processing units in the configuration share some amount of memory which any of the processing units in the configuration may access, and each processing unit may have some amount of private memory which only it and no other processing unit may access.

Computing systems arranged in a tightly-coupled multi-processor configuration have the benefit of rapid communication via shared memory and may also exploit the shared memory as a disk cache. A page fault may occur when an application program executing on one of the processing units in a tightly-coupled multiprocessor configuration addresses a page of data that is not in main memory. During page fault handling, the appropriate secondary storage device connected to the configuration is commanded to place the appropriate page of data into the shared memory. Once the page of data has been placed in the shared memory it may be addressed by any of the processing units in the configuration.

If the plurality of processing units in a multi-processor configuration are working on a common problem, it is normal for the data they access to be accessed in such a way as to experience "locality of reference". The term locality of reference is used when there is some non-zero probability that a page of data retrieved from secondary storage and placed in shared memory to satisfy a page fault resulting from an access to

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virtual memory by an application program executing on one processing unit in the configuration will also be accessed by another application program executing on another processing unit in the configuration before the page frame in shared memory holding that page of data has been re-used by the configuration to hold another page of data. If such an access by another application program executing on another processing unit in the configuration occurs, the configuration may avoid a disk access by satisfying the page fault with that page of data already in shared memory.

A practical limit however is reached for tightly-coupled multi-processor configurations when the contention for access to shared memory among the processing units in the configuration exceeds the benefit provided by the shared memory when used as a disk cache. For instance, one processing unit in the configuration may attempt to change the contents of a page of data while another processing unit is attempting to examine the contents of the same page of data. Some mechanism must normally be provided by the configuration to lock out one of the processing units in favour of the other so that the two processing units see a consistent view of the data.

Various methods exist in the prior art to enforce a consistent view of data upon the processing units in a tightly-coupled multi-processor configuration. These methods involve idling one of the processing units in the configuration until the other processing unit has completed its access to shared memory. The processing unit that has been idled cannot be idle and also perform useful work; thus, contention for access to shared memory inevitably results in some loss of processing units in a single tightly coupled multiconsidered as a whole. For these reasons, the number of processing units in a single tightly coupled multi-

- 20 processor configuration rarely exceeds six. In some other prior art multi-processor system configurations referred to as closely-coupled multi-processor configurations, the plurality of processing units is connected via a communications network and each processing unit may access its own memory directly and so other processing unit has access to that memory. The processing units in a closely-coupled multi-processor configuration may share data by sending messages via the communications network to other processing
- units within the configuration. A variation on the closely-coupled multi-processor configuration distinguishes one of the processing units in the configuration as a shared memory processing unit. The main memory attached to the shared memory processing unit is used as a disk cache managed by the shared memory processing unit. The shared memory processing unit is assigned the function of controlling which of the other processing units can have access to what area of the shared memory at what time and under what
- 30 configurations. When the shared memory is a virtual memory involving a fast main memory which is required to obtain a respectable hit ratio is directly related to the total number of instructions that are being executed by the multi-processor configuration per second. Individual processing units are sometimes rated in Millions of Instructions Per Seconds (MIPS). If two 4 MIPS processing units and a third shared memory processing unit are employed in a closely-coupled multi-processor configuration, the main memory
- associated with the configuration must have approximately 80 megabytes of byte addressable memory to obtain a respectable hit ratio. The rule of thumb that is used is that 10 megabytes of byte addressable main memory per MIPS is required to obtain an 85 percent hit ratio in the shared memory. Therefore, if another 4 MIPS processing unit is added to the multi-processor configuration, another 40 megabytes of the shared memory processing unit to maintain the 85 percent hit ratio. A practical limit however is reached in the number of processing units that can be added to the configuration before the cost parameters and
- performance reach the point of diminishing returns.

More recently stand alone personal computers or stand alone engineering work stations have been configured into local area networks. In such an arrangement, which is called a loosely-coupled multiprocessor configuration or a distributed system configuration or a cluster configuration, any work station can communicate with another work station employing standard communication protocols. The motivation that exists for establishing the cluster configuration is not necessarily more data processing power, but simply one of the convenience of exchanging information electronically vs. non-electronic exchange. However, it has been found insome situations that the individual work stations are running the same operating system and at times run the same application programs. A paper entitled "Memory Coherence is Shared Virtual

- 50 Storage Systems" authored by Kai Liand Paul Hudak and presented at the 5th Annual Association for Computing Machinery Symposium on Principles of Distributed Computing 1986, discloses a plurality of virtual memory data processing units interconnected in a cluster configuration. In this arrangement all units have the same operating system and address the same virtual address space. Each unit is the owner of a different set of files which is stored in that owner's memory system. A non-owner running an application program obtains access to the other unit's memory system through a suitable communication link, which
- causes requests to the file owner for virtual pages of data which are then returned to the requester.

Each unit of the cluster configuration therefore shares the set of files in its virtual memory system with the other units in the configuration. Page faults resulting from requests are serviced by the file owner. If the

request is local, that is from the owner, the requested page is transferred from the owner's secondary storage directly to the owner's main memory. If the request is from a remote unit, the page is transferred from the owner's secondary storage to the requester's main memory through the communication link. A system protocol is established to control what happens to pages of data after the requesting unit is finished to with them. This protocol addresses such issues as, when to return a page to the owner, how to manage

concurrent requests for the same page if one unit wants to write to that page while other units want to read from that page, and various other situations that are common to functions that share stored data.

The sharing by each processing unit of its virtual memory with other processing units in the cluster has some potential advantages in that the size or capacity of the secondary storage devices can be reduced since the total number of files available to the cluster is spread out among a number of secondary storage devices. This would permit the use of devices with faster access times and/or lower cost. A potential disadvantage is that concurrent requests from a number of different units to an owning unit will each result in a number of disk accesses to occur in sequence. While the requests are generally serviced in an overlapped manner, a disk access is a relatively time consuming operation for the unit and could severely impact the performance of the owning unit which is perhaps executing an unrelated application program,

that is competing for the services of the secondary storage device.

The present invention is directed to an arrangement for use by a shared virtual memory, cluster configured, data processing system in which the number of page faults requiring access to the secondary storage devices is considerably reduced.

According to the invention, there is provided a method of operating a data processing system comprising at least three inter-coupled processor units, each having a main memory and a secondary data storage device, said system including a switch system for selectively interconnecting any pair of the units for the transfer of data stored in the main memory of the second of the pair in response to requests from the second unit, comprising the steps of:

25 maintaining at each unit a list of files stored in the system and indications of the unit storing each file and coordinating access thereto; opening a specified file stored at a first unit of a pair in response to a request for specified data from the second unit of the pair;

- transferring the specified data requested by the second unit from the secondary storage device of the first unit to the main memory of the second unit in the pair; and
- if said request has been initiated by a request for said specified data from a third unit to said second unit, transferring a copy of said specified data from the main memory of the second unit of the pair to the main memory of the third unit.
- In an embodiment of the invention to be detailed later, the individual processor units within a cluster of multi-processors, individually coordinate access to multiple segments of the common virtual memory address space. Each segment of virtual memory is logically composed of 128K (131,072) pages, each of which is composed of 2K (2048) bytes of memory. The processor units within the cluster share these virtual memory segments among other processor units within the cluster. A single processor unit serves as the access coordinator of a given file of data assigned to a specified virtual memory segment. Other processor
- 40 units that use the virtual memory segment are individually called "using processor units". The strategy of the method to implement consistent access to cached pages of virtual memory basically comprises four important aspects.

1. The ownership of a page changes dynamically with its use; thus the right to update a page may be assigned by the access coordinator for the segment containing the page to a given using processor unit and remains with that processor unit until contention for the page requires it to be revoked by the access coordinator for the segment containing that page and assigned processor unit.

2. Ownership of one portion of page implies ownership of the entire page. If, for example, a processor unit accesses the first byte on a page and that unit is granted the capability of accessing that byte, it is also granted the capability of accessing the entire page.

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3. The coordination of access to a page is assigned statically and is the processor unit coordinating the segment containing the page.

4. The access coordinator for a given page records in the Virtual Shared Memory Table (VSMT) which processor units have a copy of the page, the access rights individual processor units hold (e.g., read only vs. read/write) and a list of other processor units that are seeking access to the page. The method involves recording at a segment's access coordinator, which pages of the segment are resident in the memory of which using processor unit. The using processor unit requesting access to a page avoids disk

I/O by reading the page from a processor unit that has it in its memory system, rather than reading it from disk. In this way, the private memories attached to the individual processor units within the cluster are

virtually shared among each other.

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The number of entries in the VSMT table are determined at cluster IPL time or at execution time, based on performance measurements and requirements. The number of VSMT entries is always bounded by the number of page frames of real storage in the cluster. For example, if a cluster consists of 16 processor units each of which has 16 megabytes of memory directly attached to it, there is a total of 2<sup>\*\*</sup>17 frames in the cluster. If an entry for each frame were allocated to the VSMT in each processor unit, then 11 megabytes of storage would have to be allocated in each processor unit for the VSMT table. For practical purposes, the VSMT table never needs to be that large. The VSMT table does not need to represent pages in the real memory of the access coordinator since these pages are already represented in its page table. Only those pages of those segments, both code and data that are actually shared across the cluster and are in real memory at any given time, need to be represented in the VSMT table. Thus, only a fraction of physical memory frames will contain pages of shared segments at any given time, other frames containing non-shared pages need not be represented to the VSMT table.

The strategy to determine the number of entries in the VSMT table is to create it initially with a small number of entries and to let the number of entries grow with use.

The arrangement further employs "Triangular I/O" which is a scheme for performing I/O among two or more processors. In the unit processor view of the world, I/O is performed between a master unit, the processor and a "slave unit" (a control unit). The master sends requests to the slave, which processes them and responds to them. For example, a processor might send a request for a virtual page to some control

unit for a set of disks. The control unit would handle the request by locating the appropriate blocks of data on its disks and transferring them back to the requesting processor. In a cluster, the concept of master and slave units is less clear. For example, a processor unit R might send a request to some of her processor unit Q for a page P stored on a disk device connected directly to processor unit Q. Processor unit Q might handle the request in the same way the control unit would or it might try to avoid a disk I/O by forwarding the request to another processor unit T that has a copy of the requested page in physical memory attached

to it. Processor unit T might send the requested page to processor unit R rather than having to send it through processor unit Q. In this sense processor units R, Q and T are involved in a "Triangular I/O" with page P. Interprocess communication is reduced and a second I/O operation to a disk device is avoided.

30 The embodiment will now be described in detail, by way of example, with reference to the accompanying drawings, in which:

Fig. 1 is a Functional Block Diagram of a plurality of processor units interconnected in a cluster configuration, in which the method of the present invention may be advantageously employed;

Fig. 2 is a Block diagram of one of the processor units shown in Fig. 1, illustrating the various functions that are incorporated in one of the units;

Fig. 3 is a Block diagram of one of the processing units shown in Fig. 1 illustrating the various software functions that are incorporated in the unit of Figs. 2 and 3;

Fig. 4 illustrates an External Page Table (XPT) data structure employed by the Virtual Memory Manager function of the unit shown in Figs. 2 and 3;

Fig. 5 illustrates an Inverted Page Table structure employed by the Virtual Memory Manager function of the unit shown in Figs. 2 and 3;

Fig. 6 illustrates the Global and Local Segment Identifier data structures which uniquely identify virtual memory segments;

Fig. 7 illustrates the Virtual Shared Memory Table (VSMT) data structure;

Fig. 8 illustrates the VSMT hash anchor table;

Fig. 9 shows a model of a shared map file and the segments associated with it;

Fig. 10 is a block diagram of a basic cluster configuration which is one environment employed to describe some typical operations that are performed;

Fig. 11 is a flow chart illustrating the steps for creating a new file that is stored on one of the 50 processing units in the cluster;

Figs. 12a and 12B show a flow chart illustrating how an existing file is opened by an application program running on a processing unit within the cluster.

Fig. 13 is a flow chart illustrating how an existing file is loaded into the virtual memory shared in a cluster configuration;

Fig. 14 is a flow chart illustrating the steps performed by the access coordinator when a using processing unit wishes to page-in a copy of a page that is not in the memory of the processing units in the configuration;

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Fig. 15a and 15b show a flow chart illustrating the detailed steps of how the VSMT is updated by the access coordinator when a page of data is transferred from one processing unit to another processing unit;

Fig. 16 is a flow chart illustrating the steps performed by the access coordinator when a using processor unit sends a request to cast a page of its main memory and there is a copy of the page in the memory of another processing unit;

Fig. 17 is a flow chart illustrating the steps performed by the access coordinator when a using processing unit sends a request to cast a page out of its main memory and there is no copy of the page in the memory of any other processing unit; and

Fig. 18 is a flow chart illustrating the steps performed by the access coordinator when it determines that a given page of data must be cached by a shared processing unit.

Fig. 1 is a block diagram of a multi-processor cluster configured data processing system in which the method of the present invention may be advantageously employed. As shown in Fig. 1, the data processing system comprises a plurality of processor units 10, a switch 11 and a plurality of communication links 12,

- 15 each of which connects one processor unit 10 to switch 11. The function of switch 11 is to permit any processor unit 10 to communicate with any other processor unit. The specific details of the switch and the communication links are not considered relevant to an understanding of the present invention and hence are neither shown nor described in detail. Examples of the switching arrangement that may be employed may be found in U.S. Patents 4,635,250; 4,633,394; 4,630,015; 4,605,928.
- Fig. 2 illustrates in detail one of the processor units 10 shown in Fig. 1. Processor unit 10 may be high function personal computer or an engineering work station such as the IBM PC RT running a Unix (T.M.) type operating system such as the IBM AIX (T.M.) operating system. The processor unit 10, as shown in Fig. 2, comprises a microprocessor 16, a main memory management unit 18 which controls the transfer of data between the processor 16 and memory 17, and a plurality of I/O adapters or ports 20A-20E. Ports 20A
- and 20B function to connect display type terminals 21 and 22 to the system. Fort 20C connects a printer to the system while port 20D connects disk drive 24 to the system. The communication port 20E is employed to connect the processor unit 10 to the communication link 12. For purposes of discussion, processor unit 10 corresponds generally to the virtual memory data processing system that is described in detail in cross referenced application Serial Number 819,458. As described in that application, the processor has a 32 bit
- 30 effective address that is converted into a 40 bit virtual address by employing the 4 high order bits 31-28 to select one of 16 segment registers, each of which stores a 12 bit segment address that defines one of 4096 unique segments. Each segment comprises 256 megabytes of storage (2\*\*28). If a page includes 2K bytes of data, then a segment contains 128K pages. On the other hand, if a page includes 4K bytes of data, the segment then has 64K pages, or more precisely, 64K virtual addresses which may be used to identify pages of data that are currently assigned to that segment.
- As explained in the cross referenced to application, a Unix type operating system is employed for the processor unit so that application programs and data employed by these programs are organised in accordance with the Unix file system type of organisation. Existing files are stored on the secondary storage devices of the processor unit which may be assumed to be a disk file. The unit of storage or addressability
- 40 of the disk file is a disk block, which for purposes of discussion, will be assumed to store one page of data. Unix read and write system calls function to control the transfer of data between main memory and disk storage.

In a virtual memory organisation, the memory manager and a page fault handling mechanism also function to control the transfer of pages between the disk file and main memory in response to load and store type of instructions being executed by the application program. In the system disclosed in the cross referenced application, the operating system is provided with a Map Page Range Service (MPRS) which functions to map a file into an assigned segment of the virtual address space.

The MPRS employs an External Page Table (XPT) data structure in which the disk block address containing a page of a file is assigned a virtual address in the assigned segment. The memory manager also employs an Inverted Page Table (IPT) data structure for correlating real addresses in main memory where a page of data is stored to a virtual address assigned to that page. The system disclosed in the cross referenced application also employed a way to convert read and write system calls in the operating system to load and store instructions having virtual address which reflected the system call parameters and the Unix offset pointer in the file. All disk I/O operations were therefore under control of the memory manager and page fault handling mechanism in the system of the cross referenced application.

The operation of the processor unit 10 in executing an instruction for an application program is briefly as follows. The virtual address of the instruction is hashed with a suitable hashing algorithm to provide an index into a Hash Anchor Table (HAT). The indexed entry in the HAT contains a pointer to the first entry in

a list of virtual addresses that hash to the same value. If a page having a virtual address that would hash to the value that provides the pointer to the list is in real memory, then the virtual address is on the list. The page frame in real memory where the page of data is stored is obtained from the entry in the list containing the virtual address. If the virtual address is not on the list, the corresponding page is not in real memory and a page fault has occurred.

The page fault handling mechanism is then activated. By referencing the XPT entry created when the file was mapped, the page fault handling mechanism locates the disk block address where the page having the requested virtual address is stored. Since the XPT is not pinned in memory, the page fault handling mechanism may encounter a page fault when it first references in XPT. However, once the appropriate page of XPT entries is paged into memory, the original page fault can be serviced. The page is transferred to a page frame in memory that has been available and the application process is restarted.

It should be understood that the virtual memory manager operation summarised above is just one of the many prior art virtual memory management functions that can be used in the processor unit shown in Fig. 1 and on which the method of the present invention relies.

As indicated in Fig. 1, the units are interconnected by switch 10 and communication links 12 to permit one unit to be selectively interconnected in a data transferring relationship with one other unit. As stated earlier, communication port 20E is the normal interface to communication link 12 that is connected to the switch 11. A remote memory manager function is added to each unit 10 and provides an interface between port 20E and the native memory manager function 18. The function of the remote memory manager of

20 processor unit 10A for example, is to process a request for a virtual page P of data from the remote memory manager function of processor unit 10B. The request is sent to processor unit 10A because 10A has been assigned as the access coordinator for that page and file. To process the request for page P, the remote memory manager function first determines if the requested page is in the main memory of the unit 10A. If the page P is there, a copy Pb is returned to unit 10B and a data structure referred to as the Virtual

25 Shared Memory Table (VSMT) and shown in Fig. 7, records the fact that unit 10B has a copy of the requested page in its main memory. The remote request was initiated by unit 10B when an instruction having a virtual address was executed by unit 10B and recognised as involving a file that was stored at unit A. The manner in which the Access Coordinator of a file or a virtual page is recognised is discussed in detail later on the specification.

The above operation is a simple direct request and transfer operation involving two processor units. A slightly more involved operation occurs if it is assumed that the requested page Pa is paged out of the main memory of unit A so that the only copy in any main memory is the copy Pb that was previously sent to unit B. Assume now that C requests a copy of the same page from unit A. Unit A does have a copy of the requested page P on disk, but this would require a relatively long disk I/O operation to retrieve it and

35 forward it to unit C. The remote memory manager of unit A in servicing the request from unit C would first check unit 10A's inverted page table and determine that it was not in the main memory of unit 10A. At this point, prior art systems would take the page fault and retrieve the page from disk. The new method, however, merely checks the SVMT data structure for the virtual address of the requested page Pb and is advised that a copy Pb is still in the main memory of unit 10B. The remote memory manager of unit 10A

40 therefore sends a message to the remote memory manager of unit 10B, requesting that unit 10B send a copy of the page Pb to the remote memory manager of unit 10C. The initial request by unit 10C is said to have been serviced by a "triangular I/O operation." While a triangular I/O operation involves a number of messages including the transfer of a page of data, the time involved is at least 2 orders of magnitude faster with present day storage and communication technologies than would be involved in retrieving the requested page from unit 10A's disk file.

It should be noted that in the cluster shown in Fig. 1, each unit is running the same operating system and that preferably only one copy of each file exists in the cluster. Each file is assigned a processor unit which acts as the Access Coordinator for that file. The file is stored on the processor unit's secondary storage device. The file/access coordinator assignment can be established by the name given to the file similar to the convention employed by the PC-DOS operating system which uses drive and path parameters

50 similar to the convention employed by the PC-DOS operating system which uses drive and path parameters in the full name of the file. Alternately, a simple access coordinator table could be employed listing each file in the cluster along with the current access coordinator.

It should be assumed that in the following discussion, a page of data comprises 2\*\*11 or 2K bytes (2048), that a segment consists of 2\*\*17 or 128K pages (131,072). Since the virtual address space employed by the cluster is used by each processor unit, two new data structures and identifiers are employed. The Local Segment Identifier (LSID) shown in Fig. 6 uniquely identifies a segment with its access coordinator. The LSID comprises 12 bits.

The Global Segment Identifier (GSID) shown in Fig. 6 comprises 19 bits which uniquely identify the

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processor unit within the segment. The GSID comprises an 7 bit processor ID portion and the 12 bit LSID. The VSMT data structure is shown in Fig. 7 and is similar in many respects and functions to an inverted page table used by each processor unit.

Each entry of the VSMT includes the following fields:

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State Indicator	4 bits
Local Segment ID	24 bits
Page Number Within Local Segment	16 bits
Last Entry Indicator	1 bit
Processor ID	8 bits
Index of Next Entry on Hash Chain	31 bits

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As discussed earlier, the inverted page table employed by each processor unit 10 functions to correlate page frame addresses in main memory with the virtual address of the page that is stored in the page frame. An inverted page table as shown in Fig. 5 has one entry for each page frame in its main memory. The data contained in each of the inverted page tables in the cluster is not per se duplicated in the VSMT that is stored by that processor unit. The function of the VSMT for a processor unit is to log entries that reflect that a virtual page which is being coordinated by that processor unit has been sent to another unit in the cluster. 20 Stated differently, the VSMT for a processor unit is updated when a virtual page that the processor unit is

<sup>20</sup> Stated differently, the VSMT for a processor unit is updated when a virtual page that the processor unit is coordinating is transferred to another processor unit of the cluster.

The VSMT for a processor unit 10 is a list of entries as shown in Fig. 7. Entry into the table is by an index stored in the system hash anchor table shown in Fig. 8. The index is to the first entry in the section of the table whose virtual addresses hash to the same value. These sections are referred to as hash value

- sections. Entries in each hash value section are sequenced in ascending order of Local Segment ID's. Within the same LSID, entries are sequenced increasingly by virtual page index. The hash value for a virtual address is obtained for example by hashing the LSID of the segment containing the page with the page's Virtual Page Index. The hash value is an index into the anchor table to provide a pointer to the head of the hash entries in the VSMT.
- <sup>30</sup> A shared mapped file consists of at least two virtual memory segments. At each using processing unit on which at least one application program has the file open there is one segment per open system call issued by an application program, and at the owning processing unit there is one segment. Figure 9 shows a model of a shared mapped file. The segment at using processing unit 10a is bound to the segment at owning processing unit 10b using the Bind Remote Segment Service (BRSS). All of the pages of
- <sup>35</sup> processing unit 10a's segment are mapped read-write to the owning processing unit segment using the Map Remote Page Range Service (MRPRS).

To map a file, an application program first issues the open system call to open the file. The application program then issues the shmat system call to map the file into the application program's virtual address space. The shmat system call uses the Map Page Range Service (MPRS) to load the file into virtual

- <sup>40</sup> memory. The application program can now directly access the file with load and/or store instructions. No other interaction with the operating system is required to access the file. When the application program is finished with the file, it can remove the file from its virtual address space by issuing the shmat system call. Alternatively, the program could just issue the close system call since the close system call will automatically perform the shmat system call as required.
- <sup>45</sup> Sharing of the data in a given mapped file is performed in a cluster environment by binding the using processing unit's segment associated with the open file to the owning processing unit's segment associated with the open file. Each using processing unit that has a file mapped into a virtual memory segment has one segment for each mapped file that application programs executing on it have opened. All application programs at a given using processing unit or the owning processing unit logically share the same segment.
- <sup>50</sup> The owning processing unit's segment associated with the open file is the segment to which each using processing unit's segment associated with the open file is linked.

One of the requirements for sharing virtual memory segments across a cluster configuration is to ensure that updates to mapped files behave the same in both a stand alone configuration and a cluster configuration. This implies that each store instruction executed against mapped file must appear to be immediately applied to all copies of the mapped file shared throughout the cluster. This may be achieved

<sup>55</sup> immediately applied to all copies of the mapped file shared throughout the cluster. This may be achieved by enforcing a set of consistency rules on access to mapped files. These consistency rules are: (1) At most one processing unit within the cluster configuration may have a copy of given page of the segment if one or more application programs executing on that processing unit is (are) writing into the page.

(2) Any number of processing units within the cluster configuration may have a copy of a given page
 of the segment if no application programs executing on any processing units in the cluster are writing into the page.

The virtual memory managers (VMMs) at the owning processing unit and the using processing units cooperate to enforce these consistency rules. Each VMM enforces these consistency rules by using a prior art hardware page protection mechanism. Each page in shared virtual memory has two page protection keys associated with it. The former key is the requested protection key specified using the Create Segment Service (CSS) or the Protect Page Service (PPS). This key is used to determine what type of memory access is valid for the page. The latter key is the effective protection key. This key is used to enforce the data consistency rules for shared mapped files.

Each page in a mapped file has one of three distinct consistency states at any given time. These consistency states apply to both using processing unit and owning processing unit shared mapped files. The consistency states for a given page of a mapped file that is shared across a cluster are recorded in the Virtual Shared Memory Table (VSMT) State Field (see Figure 7). A description of how the VSMT data structure is updated is described below. The consistency states are as follows:

NoAccess A copy of the page is in the main memory of the processing unit. Any access to the page by an application program will result in a page fault occurred interrupt to be signalled to the VMM. This state places no additional restrictions on the valid consistency states of a copy of the page at any other processing unit in the cluster.

ReadOnly A copy of the page is in the main memory of the processing unit and the copy of the page has not been modified since having been placed in main memory. The effective protection key for the page is read-only. A store access to the page will result in a page fault occurred interrupt to be signalled to the VMM if the requested protection key is read-write. A store access to the page will result in a protection exception occurred interrupt to be signalled to the VMM if the requested protection key is read-only. The former interrupt is used to inform the VMM that an application program attempted to access the page for

writing. The latter interrupt is used to inform the VMM that an application program attempted to access the page for writing although it did not have permission to do so. This is generally considered an error condition, and appropriate error handling must be executed to handle the error in an appropriate way.

Other processing units within the cluster may access the same page for reading when the page is in the ReadOnly consistency state. No other processing units within the cluster may access the same page for writing when the page is in the ReadOnly consistency state.

ReadWrite A copy of the page is in the main memory of the processing unit and the page has been modified since it was placed in the main memory of the processing unit. The effective protection key for the page is read-write. An access to the page for either reading or writing will be allowed without causing a page fault occurred interrupt to be signalled to the VMM. An access to the page for reading may cause a

40 protection exception interrupt to be signalled to the VM if the requested protection key does not allow read access to the page. An access to the page for writing may cause a protection exception interrupt to be signalled to the VMM if the requested protection key does not allow write access to the page. No other processing unit within the cluster may access the same page for either reading or writing when the page is in the ReadWrite consistency state.

The consistency state of a page may be effected by the occurrence of one of several different events. These events are: (1) accesses to the page by application programs executing on the same processing unit; (2) execution of the Purge Page Range (PPRS) at the same processing unit; (3) execution of the Purge Segment Service (PSS) at the same processing unit; (4) execution of the VMM page replacement mechanism at the same processing unit; and (5) changes to the consistency state of the page at another

50 processing unit within the cluster. The VMMs executing at each of the processing units within a cluster cooperate to ensure that an occurrence of any of these events results in a valid transition of the page consistency state. The valid transitions allowed by the VMMs are: NoAccess to ReadOnly This consistency state transition is triggered by a page fault occurred interrupt

having been signalled to the using processing unit VMM resulting from a read access to the page. Upon
 receipt of the page fault occurred interrupt, the using processing unit VMM sends a message to the owning
 processing unit VMM requesting that the owning processing unit VMM send the data for the page along
 with permission to access the page for reading to the using processing unit VMM.

In some instances it may be desirable for the VMM at the using processing unit to "remember" the

previous consistency state for the page and treat this transition as if it were a write access to the page occurring when the consistency state of the page was ReadWrite instead of a read access to the page occurring when the consistency state of the page was NoAccess. This variation in protocol would prevent two consistency state changes when a write access to the page follows a read access to the page which in

- practice is often the case. If this variant protocol is adopted, upon receipt of the page fault occurred interrupt, the using processing unit VMM sends a message to the owning processing unit VMM requesting that the owning processing unit VMM send the data for the page along with permission to access the page for writing to the using processing unit VMM.
- NoAccess to ReadWrite This consistency state transition is triggered by a page fault occurred interrupt being signalled to the using processing unit VMM resulting from a write access to the page. Upon 10 receipt of the page fault occurred interrupt, the using processing unit VMM sends a message to the owning processing unit VMM requesting that the owning processing unit VMM send the data for the page along with permission to access the page for writing to the using processing unit VMM.
- ReadOnly to ReadWrite This consistency state transition is triggered by a page fault occurred 15 interrupt being signalled to the using processing unit VMM resulting from a write access to the page. Upon receipt of the page fault occurred interrupt, the using processing unit VMM sends a message to the owning processing unit VMM requesting that the owning processing unit VMM send permission to access the page for writing to the using processing unit VMM.
- This consistency state transition is triggered when a page frame containing ReadOnly to NoAccess an unmodified page is reassigned by the using processing unit VMM to hold another page of data or when 20 an unmodified page is purged using either the Purge Segment Service (PSS) or the Purge Page Range Service (PPRS), or when the owning processing unit VMM requested that the using processing unit VMM change the effective protection key for the page to NoAccess, which would occur if an application program executing at another using processing unit that has the file mapped for read-write attempts to access the 25 page for writing.
- ReadWrite to NoAccess This consistency state transition is triggered when a page frame containing a modified page is selected for replacement by the using processing unit VMM or when a modified page is purged using either the Purge Segment Service (PSS) or the Purge Page Range Service (PPRS), or when the owning processing unit VMM requested that the using processing unit VMM change the effective
- 30 protection key for the page to NoAccess, which would occur if an application program executing at another using processing unit that has the file mapped for read-write attempts to access the page for writing. The using processing unit VMM sends the data contained in the page to the owning processing unit VMM along with notification that the using processing unit VMM has changed the consistency state for the page to NoAccess, and has purged the page from its main memory.
- 35 ReadWrite to ReadOnly This consistency state transition is triggered when a page frame containing a modified page is selected for replacement by the using processing unit VMM or when a modified page is purged using either the Purge Segment Service (PSS) or the Purge Page Range Service (PPRS), or when the owning processing unit VMM requested that the using processing unit VMM change the effective protection key for the page to NoAccess, which would occur if an application program executing at another
- 40 using processor unit that has the file mapped for read-write attempts to access the page for writing. The using processing unit VMM sends the data contained in the page to the owning processing unit VMM along with notification that the using processing unit VMM has changed the consistency state for the page to ReadOnly, and has set the effective protection key to allow read-only access to the page.
- The owning processing unit VMM ensures that a valid combination of consistency states exists at each 45 of the nodes accessing the mapped file. This is achieved by having the owning processing unit VMM maintain a list of writers to each page that is managed under the owning processing unit's consistency control algorithm and by having the owning processing unit send requests to using processing units to change the consistency state of a given page of data. Any request by any using processing unit to access the page for reading will cause the owning processing unit to send a request to a using processing unit that
- 50 has an application program executing on it that has written to the page to change the consistency state for the page from ReadWrite to ReadOnly. Any request by any using processing unit to send a request to a using processing unit that has an application program executing on it that has the page in the ReadWrite consistency state to change the consistency state for the page to NoAccess, or to send a request to each using processing unit that has the page in the ReadOnly consistency state to change the consistency state 55 for the page to NoAccess.

The protocol for updating the various SVMT of each processor unit to reflect the processing of the pages that it is coordinating, by other processors units will depend to a large extent on the particular application. In some applications it may be more efficient to notify the Access Coordinator when the copy is

no longer in main memory of the requester so that the coordinator will not service another request by a triangular I/O operation involving that unit.

Likewise the protocol for protecting pages being written by more than one processor unit could take the form of many of the prior art protection schemes involving locking bits. The techniques discussed by A. Chang and M. Mergen in an article entitled "801 Storage: Architecture and Programming", presented in the Proceeding of the 1987 Conference of the ACM Special Interest Group on Operating Systems on November 26, 1987 may be employed.

Typical operations will now be described in connection with Figures 10. Figure 10 is a block diagram of a cluster configuration consistency of three processing units 10a, 10b, and 10c, a switch 11, and three communication links 12 that connect the processing units to the switch. Each of the processing units has a secondary storage device which may be thought of as a disk attached directly to it. Except for the contents of the files stored on the secondary storage devices attached to an individual processing unit, processing units 10a, 10b, and 10c should be thought of as identical. We shall use Figures 10 - 13 to illustrate typical operations in the cluster configuration. The description of these operations and the flow of messages is at a

15 level of detail such that a person skilled in the art of implementing a software virtual memory manager component of a general purpose operating system will be able, without undue experimentation, to implement the method.

Figure 11 is a flow chart illustrating the steps for creating a new file that is stored on one of the processing units in the cluster.

In Step A of Figure 11, an application program executing on processing unit 10a uses the create (sic) system call to create the file "/u/smorgan/database se".

In Step B the operating system executing on processing unit 10a intercepts the system call from the application program.

In Step C the operating system examines the "root" system directory. The system directories, individually contain lists of file names each with the name of the access coordinator for that file. We shall assume for the purpose of discussion that a UNIX file naming convention and directory structure is used, although persons skilled in the art will understand that this assumption is not necessary for the purpose of implementing the method. For example, the application program may have asked to create the file "/u/smorgan/database". The operating system examines the root system directory, called "/", and finds that it contains an entry for "u" and that u is a directory.

In Step D the operating system examines the u directory and determines that it contains an entry for "smorgan" and that smorgan is a directory.

In Step E the operating system examines the smorgan directory and finds that it does not contain an entry for "database". Steps C-E are called the directory lookup phase of the create system call.

In Step F the operating system determines which processing unit in the cluster is a good candidate to serve as the access coordinator for the file once it is created. The operating system uses some algorithm, whose exact working is unnecessary for an understanding of the method, to make a judicious choice. For example, the choice of an access coordinator might be based on a computation of which of the processing units is least heavily loaded with access coordinator duties for other existing files. By picking the least heavily loaded processing unit, the operating system might be making an assumption that the configuration will provide the best overall performance if the access coordination function is spread uniformly among the various processing units in the configuration.

After having chosen one processing unit in the configuration as the access coordinator for the to-becreated file /u/smorgan/database, which for the purpose of discussion is assumed to be processing unit 10c. In Step G processing unit 10a sends message 1 to processing unit 10c to create the file.

In Step H, upon receipt of message 1 from processing unit 10a, processing unit 10c determines that the file does not yet exist within the configuration by examining the various shared directories in a way similar to that performed by processing unit 10a in the directory lookup phase (Steps C-E) of the create system call.

In Step I processing unit 10c creates the file and assigns it a file identifier FID. For the purpose of this discussion we shall assume that a file identifier is a 32 bit integer that uniquely identifies the file in the configuration. The file identifier may have been composed by concatenating the processing unit identifier for the access coordinator (processing unit 10c) with a number chosen by the access coordinator that uniquely identifies the file to the access coordinator. A processor identifier is a 7 bit integer that uniquely identifies a given processing unit within a cluster configuration.

In Step J processing unit 10c sends message 2 to each of the other processing units 10a and 10b in the configuration that the file identified by FID has been created. Message 2 includes the name of the file, its file identifier FID, and the processor identifier PID of the access coordinator.

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In Step H, upon receipt of message 2 from processing unit 10c, each of the other processing units 10a and 10b updates its copy of the system directories to indicate the existence of the newly created file /u/smorgan/data base along with the file identifier FID and the access coordinator processor identifier PID for the file.

5 In Step K, upon receipt of message 2 from processing unit 10c, processing unit 10a determines that the file /u/smorgan/database has been created, and

In Step L 10A informs the application program executing on processing unit 10a that this is the case.

Figs. 12A and 12B is a flow chart illustrating how an existing file is opened by an application program running on a processing unit within the cluster.

In Step A of Figure 12, an application program executing on processing unit 10a uses the open system call to open the file "/u/smorgan/database" for read-write access.

In Step B the operating system executing on processing unit 10a intercepts the system call from the application program.

In Step C the operating system examines the root system directory "/" and finds that it contains an 15 entry for "u" and that u is a directory.

In Step D the operating system examines the u directory for "smorgan" and determines that smorgan is a directory.

In Step E the operating system examines the smorgan directory for "database" and determines: (1) that database is a file; (2) that the access coordinator for the file is processing unit 10c; and (3) that the file identifier FID is associated with the file. In step F the operating system executing at processing unit 10a sends message 1 containing file identifier by FID to processing unit 10c, requesting that the file identified by FID be opened on behalf of an application program executing on processing unit 10a.

In Step G, upon receipt of message 1 from processing unit 10a, processing unit 10c determines the location on its secondary storage device of file descriptor FD, which describes the file identified by FID. The

- 25 processing unit 10c locates file descriptor FD by using file identifier FID to index into the File Descriptor Table (FDT) located at processing unit 10c. The FDT located at processing unit 10c contains a file descriptor for each existing file for which processing unit 10c serves as access coordinator. A file descriptor identifies the number and location of disk blocks on secondary storage devices attached to processing unit 10c that are part of a given file. In addition, a file descriptor contains other information about a file, such as
- 30 its length, the time it was most recently accessed, the name of the its owner, etc. Persons skilled in the art will understand that the additional information contained in a file descriptor is irrelevant insofar as developing an understanding of the method is concerned; thus, it is not discussed.

In Step H processing unit 10c determines that the file identified by FID is not currently open, i.e. it does not currently have a local virtual segment associated with it.

In Step I processing unit 10c uses the Create Segment Service (CSS) to create a virtual memory segment for the file FID. In doing so, processing unit 10c specifies that the segment is to be created using file descriptor FD, and also that the requested protection key for the segment to be created is to be read-write. CSS returns a segment identifier S by which the segment it created may be identified.

In Step J processing unit 10c sends message 2 to processing unit 10a responding that processing unit 40 10c has successfully opened the file identified by FID on behalf of processing unit 10a. Message 2 identifies the segment identifier S as the segment associated with the file identified by FID.

In Step K processing unit 10a determines that the file identified by FID is not currently open, i.e. it does not currently have a local virtual segment associated with it.

In Step L processing unit 10a creates a local segment SA for the file identified by FID using the Create Remote Segment Service (CRSS). CRSS takes the segment identifier S and creates a "dummy" segment SA. A dummy segment is a local segment with a segment identifier and a Segment Identifier Table (SIT) entry, but without an External Page Table (XPT).

In Step M processing unit 10a uses the Bind Remote Segment Service (BRSS) to bind the local segment SA to the global segment S. BRSS takes the segment identifiers S and SA, the processor identifier PID of the access coordinator (processing unit 10c), and modifies the SIT entry associated with segment SA

to indicate that segment SA relates to segment S whose access is coordinated by processing unit PID.

In Step N processing unit 10a determines that file /u/smorgan/database has been successfully opened and informs the application program that this is the case.

Fig. 13 is a flow chart illustrating how an open file is loaded into the virtual memory shared in a cluster configuration.

In Step A of Figure 13, an application program executing on processing unit 10a uses the shmat system call to map the local segment SA associated with the open file "/u/smorgan/database" into the application program's virtual address space for read-write access.

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In Step B the operating system executing on processing unit 10a intercepts the system call from the application program.

In Step C the operating system determines that the local segment SA is bound to a remote segment S whose access is coordinated by processing unit 10c. Processing unit 10a makes this determination by examining the Segment Identifier Table (SIT) relating a given segment identifier for a currently open file to

the appropriate remote segment for the currently open file and the processor identifier of the access coordinator associated with that segment.

In Step D processing unit 10a uses the Map Page Range Service (MPRS) to map the contents of segment SA into the virtual address space of the application program.

In Step E processing unit 10a determines that the file /u/smorgan/data base has been successfully mapped into the virtual address space of the application program and informs the application program that this is the case.

Fig. 14 is a flow chart illustrating the steps performed by the access coordinator when a using processing unit wishes to page-in a copy of a page that is not in the memory of any of the processing units in the configuration. This description assumes for the purpose of discussion that:

(1) an application program executing on processing unit 10a has previously opened the file and had the file mapped into the application program's virtual address space; and

(2) that processing unit 10c serves as the access coordinator for the file.

In Step A of Figure 14 an application program executing on processing unit 10a attempts to access for reading page P of segment SL containing file F.

In Step B the application program page faults. In Step C the operating system executing on processing unit 10a intercepts the page fault and determines that it was caused by a read access to page P of segment SL by the application program.

In Step D processing unit 10a determines that segment SL is a remote segment whose access is coordinated by processing unit 10c.

In Step E processing unit 10a determines that segment SL is bound to remote segment SR.

In Step F processing unit 10a sends message 1 to processing unit 10c requesting that processing unit 10c send a copy of page P of segment SR to processing unit 10a.

In Step G, upon receipt of message 1, processing unit 10c examines its VSM Table looking for entries for page P of segment SR. Assume for the sake of discussion that exactly one entry exists in the VSM Table for page P of Segment SR, and that the entry indicates that processing unit 10b has a copy of the page in its memory, and that the ReadOnly consistency state is associated with that copy of the page.

In Step H processing unit 10c determines that segment SR is bound to segment ST in processing unit 10b.

In Step I processing unit 10c sends message 2 to processing unit 10b requesting that processing unit 10b send a copy of page P of segment ST to processing unit 10a and that the copy of the page have the ReadOnly consistency state associated with it. Message 2 further indicates that processing unit 10a refers to segment ST as segment SL.

Figs 15a and 15b is a flow chart illustrating the detailed steps of how the VSMT is updated by the access coordinator when a page of data is transferred from one processing unit to another processing unit. This description assumes for the purpose of discussion that: (1) an application program executing on processing unit 10a has previously opened the file and had the file mapped into the application program's virtual address space; and (2) that processing unit 10c serves as the access coordinator for the file.

In Step A of Fig. 15A an application program executing on processing unit 10a attempts to access for reading page P of segment SA containing the file F.

in Step B the application program page faults.

In Step C the operating system executing on processing unit 10a intercepts the page fault and determines that it was caused by a read access to page P of segment SA by the application program.

<sup>50</sup> In Step D processing unit 10a determines that segment SA is a local segment bound to remote segment S whose access is coordinated by processing unit 10c.

In Step E processing unit 10a sends message 1 to processing unit 10c requesting that processing unit 10c send a copy of page P of segment S to processing unit 10a.

In Step F, upon receipt of message 1, processing unit 10c examines its VSM Table looking for entries for page P of segment S. We shall assume for the purpose of discussion that: (1) exactly one entry exists in the VSM Table for page P of Segment S; (2) the entry indicates that processing unit 10b has a copy of the page in its memory; (3) the ReadOnly consistency state is associated with that copy of the page.

In Step G processing unit 10c sends message 2 to processing unit 10b requesting that processing unit

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10b send a copy of page P of segment S to processing unit 10a and that the copy of the page have the ReadOnly consistency state associated with it.

In Step H processing unit 10c adds an entry to its VSM Table indicating that processing unit 10a has been sent a copy of page P of segment S with the ReadOnly consistency state associated with it. In order to add an entry to the VSM Table for page P of segment S, the following steps must be performed by

processing unit 10c: (H1) Hash the segment identifier SR and the page number P together to locate the hash anchor table entry that would correspond to page P of segment SR if there were already an entry for this page in the VSM Table.

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(H2) Determine whether the hash chain is empty. Perform this operation by examining the Empty bit in the hash anchor table entry for the computed hash value. In the case at hand the hash chain contains at least one entry, which is the entry for page P of segment S located at processing unit 10b; thus, the Empty bit will be clear.

(H3) Follows the hash chain for the computed hash value until it finds the entry for page P of segment S at processing unit 10b. We shall refer to this below as entry E of the VSM Table.

(H4) Allocate an entry F in the VSM Table by taking an entry off the free-list of currently unused VSM Table entries. Allocating an entry in a data structure from a free list is well known, simple, and will be understood by a person skilled in the art of computer programming; therefore, it is not illustrated here.

(H5) Fill the appropriate values into entry F. Specifically, fill in:

(a) the Processor Identifier field with an integer that uniquely identifies processing unit 10a;

- (b) the Page Number field with the page number P;
- (c) the Local Segment Identifier field with the segment identifier S; and

(d) the State field with an integer that uniquely identifies consistency state ReadOnly.

(H6) Add entry F to the hash chain for the computed hash value. Perform this operation by:

(a) copying the Next Entry Index field of entry E into the Next Entry Index field of entry F; then
(b) copying the number F into the Next Entry Index field of entry E. After Step H6 has been completed, entry F is on the hash chain for the computed hash value.

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In Step I, upon receipt of message 2 from processing unit 10c, processing unit 10b locates page P of segment S in its main memory.

In Step J processing unit 10b sends message 3 containing page P of segment S to processing unit 10a. Message 3 indicates that page P of segment S has the ReadOnly consistency state associated with it.

In Step K, upon receipt of message 3 from processing unit 10b, processing unit 10a places the copy of page P of segment S in its main memory, changes the virtual address of page P of segment S to indicate that the page is page P of segment SA, then sets the effective protection key for the page to ReadOnly.

Fig. 16 is a flow chart illustrating the steps performed by the access coordinator when a using processing unit sends a request to cast-out a page from its main memory.

In Step A of Fig. 16 processing unit 10a selects page P of segment SA for replacement. This would happen in the normal course of events if, for example, the virtual memory manager (VMM) component of the operating system executing on processing unit 10a determined that page P of segment SA had not been accessed by any application program for an extended period of time.

In Step B, processing unit 10a determines that page P is contained within segment SA, and that segment SA is a local segment bound to remote segment S whose access is coordinated by processing unit 10c.

In Step C processing unit 10a sends message 1 to processing unit 10c requesting that processing unit 10a be allowed to cast page P of segment S out of its main memory.

In Step D, upon receipt of message 1 from processing unit 10a, processing unit 10c examines its VSM Table for all entries corresponding to page P of segment S. We shall assume for the purpose of discussion that: (1) exactly two entries exist in its VSM Table for page P of segment S; (2) the former entry indicates that processing unit 10a has a copy of page P of segment S in its memory in ReadOnly consistency state; and (3) the latter entry indicates that processing unit 10b also has a copy of page P of segment S in its memory, and that this copy is also in ReadOnly consistency state.

In Step E processing unit 10c determines that, since: (1) there are currently two copies of the page cached in the main memory of processing units within the cluster configuration; and (2) both copies of the page are in ReadOnly consistency state, then processing unit 10a may be allowed to cast page P of segment S out of its main memory without the significant degradation of performance that re-reading page P of segment S from secondary storage might later incur.

In Step F processing unit 10c sends message 2 to processing unit 10a responding that processing unit 10a may cast page P of segment S out of its main memory.

In Step G, upon receipt of message 2 from processing unit 10c, processing unit 10a casts page P of segment S out of its main memory.

Figure 17 is a flow chart illustrating the steps performed by the access coordinator when a using processing unit sends a request to cast a page out of its main memory and there isn't a copy of the page in the memory of any other processing unit.

In Step A of Fig. 17 the VMM component of the operating system executing at processing unit 10a 10 selects page P of segment SA as a candidate for replacement.

In Step B processing unit 10a sends message 1 to processing unit 10c requesting that processing unit 10a be allowed to cast page P of segment S out of its main memory.

In Step C, upon receipt of message 1 processing unit 10c examines its VSM Table for entries for page P of segment S. We shall assume for the purpose of discussion that no entry exist in its VSM Table for 15 page P of segment S.

In Step D processing unit 10c determines that it has enough space in its main memory to hold page P of Segment S and allocates a frame for that purpose.

In Step E processing unit 10c sends message 2 to processing unit 10a requesting that processing unit 10a send a copy of page P of to processing unit 10c.

In Step F, upon receipt of message 2 from processing unit 10c, processing unit 10a sends message 3 20 containing page P of segment S to processing unit.

In Step G, upon receipt of message 3 from processing unit 10, processing unit 10c add page P of segment S to its main memory.

In Step H processing unit 10c updates its VSM Table indicating that a copy of page P with the 25 ReadOnly consistency state associated with it, has been moved from processing unit 10a's main memory to processing unit 10c's main memory. In order to update the an entry in the VSM Table for page P of segments the following steps must be performed by processing unit 10c.

(H1) Hash the segment identifier S and the page number P together to locate the hash anchor table entry that would correspond to page P of segment S if there were already an entry for this page in the VSM Table.

(H2) Determine whether the hash chain is empty. Perform this operation by examining the Empty bit in the hash anchor table entry for the computed hash value. In the case at hand the hash chain contains at least one entry, which is the entry for page P of segment S located at processing unit 10b; thus, the Empty bit will be clear.

35 (H3) Follow the hash chain for the computed hash value until it finds the entry for page P of segment S at processing unit 10a.

(H4) Update the processor Identifier field of entry E with an integer that uniquely identifies processing unit 10c. after Step 4 has been completed Entry E has been updated.

Figure 18 is a flow chart illustrating the steps performed by the access coordinator when it determines 40 that a given page of data must be cached by a shared memory processing unit.

In Step A of Fig. 18 the VMM component of the operating system executing at processing unit 10a selects page P of segment S as a candidate for replacement.

In Step B processing unit 10a sends message 1 to processing unit 10c, the access coordinator for 45 segment S, requesting that processing unit 10a be allowed to cast page P of segment S out of its main memory.

In Step C, upon receipt of message 1 processing unit 10c examines its VSM Table for entries for page P of segment S. Assume for the purpose of discussion that no entry exist in its VSM Table for page P of seament S.

In Step D processing unit 10c determines that it does not have enough space in its main memory to 50 cache page P of segment S. In Step E processing unit 10c determines that processing unit 10b is acting as a shared memory unit for the cluster configuration and sends message 2 to processing unit 10b requesting that processing unit 10b cache a copy of page P of segment S in its main memory, processing unit 10c.

In Step F processing unit 10c adds an entry to its VSM Table indicating processing unit 10b now holds a copy of page P of segment S with the ReadOnly consistency state associated with it. In order to add an 55 entry in the VSM Table for page P of segment S the following steps must be performed by processing unit 10c.

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(F1) Hash the segment identifier S and the page number P together to locate the hash anchor table entry that would correspond to page P of segment S if there were already an entry for this page in the VSM Table.

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(F2) Determine whether the hash chain is empty. Perform this operation by examining the Empty bit in the hash anchor table entry for the computed hash value. In the case at hand the hash chain contains at 5 least one entry, which is the entry for page P of segment S located at processing unit 10b; thus, the Empty bit will be clear.

(F3) Follow the hash chain for the computed hash value until it finds the entry for page P of segment S at processing unit 10a.

(F4) Update the processor Identifier field of entry E with an integer that uniquely identifies processing unit 10b. After Step 4 has been completed entry E has been updated.

(G) In Step G upon receipt of message 2 from processing unit 10c, processing unit 10b allocates a page frame in its main memory and sends message 3 to processing unit 10a requesting that processing unit 10a send page P of Segment S to processing unit 10b.

(H) In Step H upon receipt of message 3 from processing unit 10c, processing unit 10a sends 15 page P of Segment S along with the ReadOnly consistency state associated with that page to processing unit 10b.

20 While the preferred embodiment has been described for use in a virtual memory environment, it will be apparent that the method is equally applicable to a cluster configuration comprising a plurality of processing units which do not employ a virtual type of memory. The underlying problem of I/O disk access for obtaining a copy of data that is currently in the main memory of another unit can be solved in the manner taught in this application, namely maintaining information on what data has been sent to the main memory

25 by what processor unit and transferring a copy of that data to the requester from main memory having the copy rather than performing an I/O operation to disk to obtain the data.

#### Claims

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1. A method of operating a data processing system comprising at least three inter-coupled processor units, each having a main memory and a secondary data sotrae device, said system including a switch system for selectively interconnecting any pair of the units for the transfer of data stored in the main memory of a first of the pair to the main memory of the second of the pair in response to requests from the second unit, comprising the steps of:

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maintaining at each unit a list of files stored in the system and indications of the unit storing each file and coordinating access thereof:

opening a specified file stored at a first unit of a pair in response to a request for specified data from the second unit of the pair; 40

transferring the specified data requested by the second unit from the second storage device of the first unit to the main memory of the second unit in the pair; and

if said request has been initiated by a request for said specified data from a third unit to said second unit, transferring a copy of said specified data from the main memory of the second unit of the pair to the main 45 memory of the third unit.

2. A method according to claim 1 including the further step of:

maintaining, in each unit, a virtual shared memory (VSM) table identifying any other unit holding a copy of data in files coordinated by the unit.

50 3. A method according to claim 2, including the further step of:

updating said VSM table of the first unit in a pair of transfer of data to the second unit of the pair. 4. A method according to claim 2 or claim 3, including the further step of:

55 updating said VSM table of the first unit in a pair on transfer of data to the third unit from the second unit in the pair.

5. A method according to any of the previous claims in which said main memory and secondary storage device of each unit comprise a virtual memory system, each virtual memory system having the same virtual address range, each secondary storage device includes a plurality of block addressable locations, each for storing a page of data, each main memory includes a plurality of page frames for storing a page of data, and each unit includes a page manager including a page fault handling system for revolving page faults that occur in response to requests for pages of data not in the main memory of the unit.

6. A method according to claim 5, in which each unit contains application programs unique to itself within the system, including the further steps of:

10 establishing, in each unit, a first data structure having a unique identifier for each application program in the unit together with a rocessor unit identifier to designate the processor controlling access to the program; and storing the first data structure in the main memory of the unit.

7. A method according to claim 6 including the further steps of:

15 establishing, in each unit, a second data structure having a plurality of entries indicating the virtual address of a page of data transferred from a file coordinated by a first unit and the identity of any other unit holding a copy of the transferred page; and

storing the second data structure in the main memory of the unit.

20 8. A method according to claim 7 including the further step of:

resolving local page faults in each unit by determining from said second data structure whether a copy of a page causing a local fault is stored in the main memory of another unit prior to resolving the page fault with the page fault handling system of the unit.

9. A method according to claim 8 including the further step of resolving a page fault at a remote unit for a page from a file coordinated by a further unit by:

determining, by reference to the first data structure, the unit controlling access to a file containing the faulting page;

requesting coupling between the unit controlling access and the remote unit through the switch system; and

sending a message to the unit controlling access requesting that a copy of the faulting page be sent to the remote unit.

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FIG. 1

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EP 0 319 148 A2

1<	< 7 BITS	>	<·		- 12 B	SITS	>	ł
	PROCESSOR	ID		LOCAL	SEGMEN	T II	DENTIFIER	]
0		6	7				1	8

FIG. 6

←3 BITS→	<	-12 BITS	>	<del>&lt;</del>	17 BIT	'S	
S T A T E	LOCAL S	EGMENT IDENTII	FIER	PAGE NU	MBER WITHIN	I SEGMENT	
PROCES	SSOR ·	INDEX (	OF NEXT	ENTRY ON	HASH CHAIN		
0 2	3 6	17	14	15	•		31
←7 BI	rs>	<u> </u>		-25 BITS-			$\rightarrow$

FIG. 7



FIG. 8

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FIG. 10

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A	APPLICATION ON 10A ISSUES CREATE FILE SYSTEM CALL (SC)
B	OPERATING SYSTEM (OS) INTECEPTS SC
с	OS EXAMINES ROOT DIRECTORY AND FINDS A FILE "U"
D	OS EXAMINES "U" DIRECTORY AND FINDS A FILE :SMORGAN"
E	OS EXAMINES "SMORGAN" DIRECTORY."DATABASE" FILE NOT FOUND.
F	V OS ASSIGNS UNIT 10C AS "ACCESS COORDINATOR"(AC FOR /U/SMORGAN/DATEBASE
G	UNIT 10A SENDS UNIT 10C A MESSAGE TO CREATE FILE /U/SMORGAN/DATABASE
H	UNIT 10C CHECKS SHARED DIRECTORIES FOR FOR FILE NAME /U/SMORGAN/DATABASE
I	UNIT 10C CREATES FILE AND ASSIGNS 32 BIT ID.
J	UNIT 10C SENDS MESSAGE 2 TO UNITS 10A AND 10B THAT FILE IS CREATED. NAME, ID, AND THE AC ARE PROVIDED
К	UNITS 10A AND 10B UPDATE RESPECTIVE DIRECTORIES
L	UNIT 10A INFORMS THE APPLICATION THAT FILE HAS BEEN CREATED

USER TO CREATE AND OPEN A FILE NAMED /U/SMORGAN/DATABASE ON UNIT 10A

FIG. 11

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APPLICATION PROGRAM REQUESTS AN EXISTING PRGRAM TO BE OPENED



FIG. 12B

#### APPLICATION PROGRAM EXECUTING ON 10 ISSUES SCHMAT CALL TO MAP SA



FIG. 13

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APPLICATION PROGRAM EXECUTING ON 10A REQUEST PAGE P OF SEGMENT SL FOR FILE F



FIG. 14

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UPDATE OF VSMT BY UNIT 10C AFTER 10B SENDS COPY TO 10A IN RESPONE TO APPLICATION PROGRAM EXECUTING ON 10A REQUESTING PAGE P OF SEGMENT SL FOR FILE F



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FIG. 15B



USING PROCESSOR REQUESTS ACCESS COORDINATOR (AC) 10C TO CAST OUT PAGE

FIG. 16

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USING PROCESSOR REQUESTS ACCESS COORDINATOR (AC) 10C TO CAST OUT PAGE WHICH IS NOT IN MEMORYwhich is not in memory

FIG. 17



#### ACCESS COORDINATOR (AC) DETERMINES THAT A PAGE IS TO BE CACHED

FIG. 18

Electronic Acl	knowledgement Receipt
EFS ID:	17957176
Application Number:	12808467
International Application Number:	
Confirmation Number:	3733
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE
First Named Inventor/Applicant Name:	Stephen E. Hidem
Customer Number:	22859
Filer:	Paul J. LaVanway Jr.
Filer Authorized By:	
Attorney Docket Number:	56782.1.7.2
Receipt Date:	21-JAN-2014
Filing Date:	16-JUN-2010
Time Stamp:	10:57:14
Application Type:	U.S. National Stage under 35 USC 371

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PATENT Attorney Docket No.: 56782.1.7.2

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Stephen E. Hidem		
Application No.:	12/808,467	Group Art Unit:	3735
Filed:	June 16, 2010	Examiner:	FOLEY, Eileen Dorothy
Title:	INFUSION SYSTEMS IN MAINTENANCE AND/C	ICLUDING COMP OR OPERATION A	PUTER-FACILITATED

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **RESPONSE**

Dear Sir:

22859

Customer Number

In response to the Office Action mailed October 2, 2013, the period of response for which runs through January 2, 2014, reconsideration of the application is respectfully requested in view of the following remarks.

**Remarks** begin on page 2 of this paper.

### **REMARKS**

These Remarks are responsive to the Office Action dated October 2, 2013. Claims 1–7 and 36–50 remain pending, with claims 6, 7, 36, 49, and 50 withdrawn from consideration. Reconsideration of the application is respectfully requested.

## Claim Rejections Under 35 U.S.C. § 103(a)

In the Office Action, claims 1 and 43 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over deKemp et al. (US Publication No. 2007/0213848, hereinafter "the deKemp '848 reference") in view of Fago (US Publication No. 2008/0237502). In addition, claims 2–5, 37–42, and 44–48 were rejected under 35 U.S.C. § 103(a) as purportedly being unpatentable over the deKemp '848 reference in view of Fago and in further view of additional cited references.

Applicant respectfully traverses the rejections. The applied references fail to disclose or suggest the features defined by the claims, and there would have been no apparent reason for modification to arrive at the claim features.

The applied references fail to disclose or suggest all the features of the claims including, for example, independent claim 1. Independent claim 1 is directed to a system that generates and infuses radiopharmaceuticals. The system includes, among other features, an eluant reservoir, a pump, an infusion tubing circuit, a radioisotope generator, and a computer. The claim specifies that the computer is programmed to execute a method that includes activating the pump to pump a volume of eluant in order to generate eluate via elution and providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator. The claim also specifies that the computer is programmed to execute a method that includes providing an indication, via the computer interface, of a time lapse since each elution was completed.

In support of the rejection of independent claim 1, the Office Action cited paragraphs [0025] through [0032] of the deKemp '848 reference as allegedly disclosing a system that includes an eluant reservoir, a pump, an infusion tubing circuit, a radioisotope generator, a computer, and a user interface.<sup>1</sup> Although the Office Action conceded that the deKemp '848 reference does not disclose "the use of a computer to control the modes of operation of the

<sup>&</sup>lt;sup>1</sup> Office Action dated October 2, 2013, at page 3–4.

system, providing an indication that the elution is complete, or providing an indication of a time lapse," the Office Action cited Fago in an attempt to overcome these deficiencies.<sup>2</sup> In particular, the Office Action cited paragraph [0034] of Fago as teaching that the volume and/or time associated with each elution process may be tracked and displayed to enable a user to estimate when the generator will be ready for another elution process.<sup>3</sup> On this basis, the Office Action alleged that the features of independent claim 1 would have been obvious. Applicant respectfully disagrees.

Even if the system disclosed in the deKemp '848 reference were modified in view of Fago, the resulting combination would not yield all the features required by independent claim 1. For example, the resulting combination would not provide a system that includes a computer preprogrammed to execute a method that includes: (1) "providing an indication, via the computer interface, that each elution is completed, when the pump has completed pumping each volume of eluant through the generator," and (2) "providing an indication, via the computer interface, of a time lapse since each elution was completed." These features are required by independent claim 1.

In the rejection of independent claim 1, the Office Action cited Fago as allegedly disclosing the claim features noted above. However, the cited embodiment of Fago does not even have a pump, much less disclose a computer programmed to execute a method that includes providing an indication that an elution is completed, when the pump has completed pumping, as per independent claim 1. The further requirement in claim 1 of providing an indication of a time lapse since each elution was completed, where each elution is completed <u>when the pump has completed when the pump has completed pumping</u>, is necessarily also not disclosed by Fago.

Fago is directed to a system for identifying an amount or flow of eluant in an elution system.<sup>4</sup> According to Fago, a problem with radioactive shielding containers is that the containers tend to block visualization of the state and progress of an elution process.<sup>5</sup> With respect to cited FIGS. 6 and 7 of Fago, the reference proposes to overcome this problem by configuring an elution supply container with a drip chamber that can be used to visually and/or

 $<sup>^{2}</sup>$  *Id.* at page 4.

<sup>&</sup>lt;sup>3</sup> *Id.* at page 4.

<sup>&</sup>lt;sup>4</sup> Fago at paragraph [0001].

<sup>&</sup>lt;sup>5</sup> *Id.* at paragraph [0004].

electronically measure the number of drops of eluant passing through the drip chamber.<sup>6</sup> An example of this system is shown in FIG. 7 of the reference, which is reproduced below.



In connection with the figure above, Fago describes a system having a drip chamber 44, an electronic drip counter 46, and a display 52.<sup>7</sup> In operation, Fago states that display 52 can display metrics relating to the elution process.<sup>8</sup> Yet Fago provides no indication that the system of FIG. 7 above has a pump. To the contrary, Fago describes that the system above does not utilize a pump but instead relies on a pressure differential between an eluate collection bottle and the eluate supply container 4.

Fago describes the operation of the systems in cited FIGS. 6 and 7 in connection with FIG. 4 of the reference, which is the same system except that it does not have drip chamber 44. According to Fago, the system utilizes an eluate collection bottle that "begin[s] in an evacuated condition."<sup>9</sup> The reference continues to describe that the evacuated collection bottle causes "eluate residing in the generator 22 to begin filling the bottle," which correspondingly causes

<sup>&</sup>lt;sup>6</sup> See id. at paragraphs [0032]–[0033].

<sup>&</sup>lt;sup>7</sup> *Id.* at paragraphs [0033]–[0034]. <sup>8</sup> *Id.* at paragraph [0034].

<sup>&</sup>lt;sup>9</sup> Id. at paragraph [0031].

eluate from the eluate supply container 4 to "begin flowing into the generator 22."<sup>10</sup> In other words, the system in cited FIGS. 6 and 7 of Fago does not have a pump but instead utilizes a pressure differential to drive eluant flow.

Because the Fago system does not have a pump, Fago does not and cannot disclose a computer programmed to execute a method that includes providing an indication that an elution is completed, when a pump has completed pumping. Since the Office Action acknowledged that the deKemp '848 reference also does not disclose this feature, the proposed modification of the deKemp '848 reference in view of Fago does not disclose or suggest this feature of independent claim 1.

Moreover, a person of ordinary skill in the art would have consciously avoided modifying the eluant counting system of Fago to utilize an eluant pump because the Fago system requires a pumpless configuration. In the system of cited FIG. 7 of Fago, the reference tracks eluant by providing a drip counter and a motion detector that detects a number of eluant drops passing through the drip counter.<sup>11</sup> If the system were modified to include an eluant pump, the system would no longer provide a slow stream of drips that can be counted and tracked but instead would have a pressurized stream of eluant discharging from the pump. This would undermine a fundamental principle by which the Fago system operates and, as a result, the person of ordinary skill in the art would not have found it obvious to make such a modification.

In addition to the features discussed above, the deKemp '848 reference in view of Fago also fails to disclose or suggest a computer pre-programmed to execute a method that includes "providing an indication, via the computer interface, of a time lapse since each elution was completed," as further recited by independent claim 1. The Office Action conceded that the deKemp '848 reference does not disclose this feature of independent claim 1 but again cited Fago. Applicant respectfully disagrees for several reasons set forth below.

First, as discussed in greater detail above, the cited Fago system does not have a pump and cannot therefore provide any indication related to completion of an elution, where each elution is completed when the pump has completed pumping, as per independent claim 1. Second, independent claim 1 recites an indication of a time <u>lapse</u> since each elution was completed. On the other hand, as recognized by the Examiner, the cited portion of Fago only

<sup>&</sup>lt;sup>10</sup> See id.

<sup>&</sup>lt;sup>11</sup> See id. at paragraph [0033].

states that the "volume and/or time associated with each elution process may be tracked and displayed to enable a user (or the computer 54) to estimate when the generator will likely be ready for another elution process."<sup>12</sup> This statement in Fago says nothing about a time lapse since an elution was completed. Rather, the statement indicates that the Fago system tracks volume and/or time while an elution is occurring, not since an elution was completed, as per independent claim 1.

This interpretation of Fago is reinforced by the sentences in cited paragraph [0034] of the references immediately preceding the sentence stating that "volume and/or time associated with each elution process may be tracked." These preceding sentences indicate that the volume that can be tracked is "a volume associated with the number of drops counted" and the time that can be tracked is "[a] time associated with each counted drop."<sup>13</sup> In other words, Fago describes tracking volume and/or time associated with a flow of eluant during an elution process.

In short, there is no disclosure or suggestion in the cited portions of Fago about providing an indication, via a computer interface, of a time lapse since each elution was completed. As the Office Action conceded that the deKemp '848 reference does not disclose this feature of independent claim 1, and as Fago also fails to disclose the claim feature for the reasons outlined above, the combination of the deKemp '848 reference and Fago likewise fails to disclose the claim feature.

For at least the reasons given above, the deKemp '848 reference in view of Fago does not disclose or suggest each and every feature recited by independent claim 1. Reconsideration and withdrawal of the rejection are respectfully requested.

Claims 2-5 and 37-48 depend from independent claim 1 and are therefore patentable for at least the reasons given above with respect to the independent claim, as well as upon additional patentable features and elements claimed in the dependent claims but not explicitly discussed herein.

<sup>&</sup>lt;sup>12</sup> *Id.* at paragraph [0034]. <sup>13</sup> *Id.* 

## CONCLUSION

It is submitted that all claims in this application are in condition for allowance. Applicant respectfully requests reconsideration and prompt allowance of all pending claims.

In view of the fundamental differences identified above, Applicant reserves further comment concerning the additional features set forth in the claims. However, Applicant does not acquiesce in the propriety of the Office Action's application or interpretation of the references with respect to the claims, and reserves the right to present additional arguments in any further prosecution of this application.

The Commissioner is authorized to charge any deficiencies and credit any overpayments to Deposit Account No. 06-1910. The Examiner is invited to telephone the undersigned attorney to discuss this application.

Dated: January 2, 2014

Respectfully submitted,

/Paul J. LaVanway, Jr./

FREDRIKSON & BYRON, P.A. 200 South Sixth Street, Suite 4000 Minneapolis, MN 55402-1425 USA Telephone: (612) 492-7387 Facsimile: (612) 492-7077

Paul J. LaVanway, Jr. Registration No. 64,610

*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 06-1910.* 7480524 1.DOC

Electronic Acl	knowledgement Receipt
EFS ID:	17794310
Application Number:	12808467
International Application Number:	
Confirmation Number:	3733
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE
First Named Inventor/Applicant Name:	Stephen E. Hidem
Customer Number:	22859
Filer:	Paul J. LaVanway Jr.
Filer Authorized By:	
Attorney Docket Number:	56782.1.7.2
Receipt Date:	02-JAN-2014
Filing Date:	16-JUN-2010
Time Stamp:	10:48:18
Application Type:	U.S. National Stage under 35 USC 371

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#### New Applications Under 35 U.S.C. 111

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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

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	Application Number		12808467		
Filing Date			2010-06-16		
First Named Inventor Steph			en E. Hidem		
Art Unit			3735		
Examiner Name Eileer		Eileer	Dorothy Foley		
Attorney Docket Number		er	56782.1.7.2		

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# INFORMATION DISCLOSURE Application Number 12808467 Filing Date 2010-06-16 First Named Inventor Stephen E. Hidem Art Unit 3735 Examiner Name Eileen Dorothy Foley Attorney Docket Number 56782.1.7.2

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number		12808467
	Filing Date		2010-06-16
	First Named Inventor	Steph	ien E. Hidem
	Art Unit		3735
	Examiner Name	Eileer	n Dorothy Foley
	Attorney Docket Numb	er	56782.1.7.2

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2013-11-08
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

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EFS ID:	17347453	
Application Number:	12808467	
International Application Number:		
Confirmation Number:	3733	
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE	
First Named Inventor/Applicant Name:	Stephen E. Hidem	
Customer Number:	22859	
Filer:	Paul J. LaVanway Jr.	
Filer Authorized By:		
Attorney Docket Number:	56782.1.7.2	
Receipt Date:	08-NOV-2013	
Filing Date:	16-JUN-2010	
Time Stamp:	16:50:23	
Application Type:	U.S. National Stage under 35 USC 371	

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		Number	Date	METHOD FOR	Applicant/Assignee	
		Number	Date	METHOD FOR APPLYING COMBINED	Applicant/Assignee	
		Number	<b>Date</b> 2001-02- 20	METHOD FOR APPLYING COMBINED TREATMENT OF ONCOLOGICAL	Grobel' Oleg Vjacheslavovich	
		Number	<b>Date</b> 2001-02- 20	METHOD FOR APPLYING COMBINED TREATMENT OF ONCOLOGICAL DISEASES OF SMALL PELVIS	Grobel' Oleg Vjacheslavovich	
		Number	<b>Date</b> 2001-02- 20	METHOD FOR APPLYING COMBINED TREATMENT OF ONCOLOGICAL DISEASES OF SMALL PELVIS ORGANS	Applicant/Assignee Grobel' Oleg Vjacheslavovich	
~ Description	Eng	Number RU2163100C1	Date 2001-02- 20 n:	METHOD FOR APPLYING COMBINED TREATMENT OF ONCOLOGICAL DISEASES OF SMALL PELVIS ORGANS	Applicant/Assignee Grobel' Oleg Vjacheslavovich	
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Авторы изобретения

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#### УСТРОЙСТВО ДЛЯ ВНЕДРЕНИЯ РАДИОАКТИВНЫХ АППЛИКАТОРОВ И ПЕРЕВОЗКИ ГИНЕКОЛОГИЧЕСКИХ БОЛЬНЫХ

#### ļ

В основном авт. св. № 171934 описано устройство для транспортировки больных в радиологическом отделении, содержащее основание с колесами, трехсекционную танель и ручные приводы для превращения устройства либо в кровать-каталку, либо в гинекологическое кресло.

Предлагаемое устройство для внедрения радиоактивных апликаторов и перевозки тинекологических больных отличается тем, что с 10 целью повышения быстродействия в улучшения условий работы обслуживающего персонала, в нем установлен гидравлический привод с рычажными системами перевода устройства из положения «кровать-каталка» в положение 15 «гинекологическое кресло». Для проведения контрольных ренттеновских снимков, определяющих положение радкоактивного преварата в облучаемой полости, в устройстве имеется гнездо для вставки кассеты с ренттеновской 20 пленкой.

На чертеже изображена схема описываемого устройства.

Устройство состоит из основания (каркаса) *1*, подвижной вамы *2*, гидропривода *3* с 25 ножной педалью *4*, стопорного механизма *5*, рычагов *6* и *7*, соединяющих подвижную раму  $\mathbf{2}$ 

с основанием по параллелограммной схеме, самоорентирующих колес 8 с механизмом 9 для принудительной ориентации. Подвижная рама содержит три секции 10, 11 и 12 с встро-5 енным подголовником 13 и механизмом 14 для его фиксации. В гнезде 15 могут устанавливаться съемные гинехологические приспособления 16.

Гнездо 17 служит для установки кассет.

#### Предмет изобретения

 Устройство для внедрення радиоактивных апиликаторов и перевозки гинекологических больных по авт. св. № 171934, отличающееся тем, что, с целью повышения быстродействия и улучшения условий работы обслуживающего персонала, в нем установлен гидравлический привод с рычажными системами перевода усто ройства из положения «Кровать-каталка» в положение «гинекологическое кресло».

2. Устройство по п. 1, отличающееся тем, что, с целью проведения контрольных рентгеновских снимков, определяющих положение радиоактивного препарата в облучаемой полости, в нем имеется гнездо для вставки кассеты с рентгеновской пленкой.



	Составитель и. гофман	
Редактор В. Сорокин	Техред Л. Я. Левина	Корректор Л. А. Иголкина
Заказ 2509/14 ЦНИИПИ Комитета по	Тираж 480 делам изобретений и открытий Москва, Центр, пр. Серова, д.	Подписаов при Совете Министров СССР 4
	Типография, пр. Сапунова.	2



Espacenet

Bibliographic data: RU2288755 (C1) - 2006-12-10

# DEVICE FOR RADIONUCLIDE SURGERY

No documents available for this priority number.

Inventor(s):	SHCHETININ VIKTOR VASIL EVICH [RU]; GULYJ VLADIMIR GRIGOR EVICH [RU]; CHERNIJ ALEKSANDR NIKOLAEVICH [RU] <u>*</u> (SHCHETININ VIKTOR VASIL'EVICH, ; GULYJ VLADIMIR GRIGOR'EVICH, ; CHERNIJ ALEKSANDR NIKOLAEVICH)
Applicant(s):	SHCHETININ VIKTOR VASIL EVICH [RU]; GULYJ VLADIMIR GRIGOR EVICH [RU]; CHERNIJ ALEKSANDR NIKOLAEVICH [RU] <u>+</u> (SHCHETININ VIKTOR VASIL'EVICH, ; GULYJ VLADIMIR GRIGOR'EVICH, ; CHERNIJ ALEKSANDR NIKOLAEVICH)
Classification:	- international: <i>A61B18/22; A61M36/00; A61N5/10; G01T1/161</i> - cooperative:
Application number:	RU20050112292 20050425
Priority number(s):	RU20050112292 20050425

Abstract of RU2288755 (C1)

FIELD: medical facilities. ^ SUBSTANCE: device can be used as a tool for malignant tumors surgery. Device for radionuclide surgery has gamma radiation detector, collimator and handle. Wire of detector is connected with measuring unit. Gamma radiation detector is fixed in metal tip with sharpened side edge, which passes in plane being perpendicular to optical axis of gamma radiation detector. Detector is made in form of semiconductor crystal; two collimators adjoin opposite surfaces of crystal. Collimators have cells which pass in parallel to optical axis of gamma detector. Measuring unit has electronic circuit with sonic signal source. ^ EFFECT: ability of reaching area of lesion for removing it. ^ 4 cl, 2 dwg

Last updated: 09.10.2013 Worldwide Database 5.8.11.5; 92p

РОССИЙСКАЯ ФЕДЕРАЦИЯ



**RU**<sup>(11)</sup> 2 288 755<sup>(13)</sup> **C1** (51) MITK A61N 5/10 (2006.01) A61B 18/22 (2006.01) G01T 1/161 (2006.01) A61M 36/00 (2006.01)

(19)

ФЕДЕРАЛЬНАЯ СЛУЖБА ПО ИНТЕЛЛЕКТУАЛЬНОЙ СОБСТВЕННОСТИ, ПАТЕНТАМ И ТОВАРНЫМ ЗНАКАМ

# (12) ИЗВЕЩЕНИЯ К ПАТЕНТУ НА ИЗОБРЕТЕНИЕ

(21), (22) Заявка: 2005112292/14, 25.04.2005	(72) Автор(ы):
(24) Дата начала отсчета срока действия патента: 25.04.2005	цетинин виктор Васильевич (RO), Гулый Владимир Григорьевич (RU), Черний Александр Николаевич (RU)
(45) Опубликовано: <b>10.12.2006</b>	(73) Патентообладатель(и):
(56) Список документов, цитированных в отчете о поиске: FOUGERES P. et al. Sentinel node in cancer diagnosis with surgical probes. Nuclear Instruments and Methods in Physics Research, 2001, A458, p.34-40. US 6422748 A, 23.07.2002. WO 9930764 A1, 24.06.1999. RU 2112993 C1, 10.06.1998.	Щетинин Виктор Васильевич (RU), Гулый Владимир Григорьевич (RU), Черний Александр Николаевич (RU)
Адоес для переписки:	
Адрес для переписки: 115582, Москва, ул. Домодедовская, 27, кв.402, А.Н. Чернию	
Адрес для переписки: 115582, Москва, ул. Домодедовская, 27, кв.402, А.Н. Чернию (54) УСТРОЙСТВО ДЛЯ РАДИОНУКЛИДНОЙ ХИ	РУРГИИ
Адрес для переписки: 115582, Москва, ул. Домодедовская, 27, кв.402, А.Н. Чернию (54) УСТРОЙСТВО ДЛЯ РАДИОНУКЛИДНОЙ XИІ Опубликовано на CD-ROM: MIMOSA RBI 2006/34D	РУРГИИ RBI200634D
Адрес для переписки: 115582, Москва, ул. Домодедовская, 27, кв.402, А.Н. Чернию (54) УСТРОЙСТВО ДЛЯ РАДИОНУКЛИДНОЙ XИІ Опубликовано на CD-ROM: MIMOSA RBI 2006/34D ММ4А - Досрочное прекращение действия патента CC из-за неуплаты в установленный срок пошлины за под (21) Регистрационный номер заявки: 2005112292	РУРГИИ RBI200634D СР или патента Российской Федерации на изобретение держание патента в силе
Адрес для переписки: 115582, Москва, ул. Домодедовская, 27, кв.402, А.Н. Чернию (54) УСТРОЙСТВО ДЛЯ РАДИОНУКЛИДНОЙ XИІ Опубликовано на CD-ROM: MIMOSA RBI 2006/34D ММ4А - Досрочное прекращение действия патента СС из-за неуплаты в установленный срок пошлины за под (21) Регистрационный номер заявки: 2005112292 Дата прекращения действия патента: 26.04.2007	РУРГИИ RBI200634D СР или патента Российской Федерации на изобретение держание патента в силе

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Bibliographic data: RU2131273 (C1) — 1999-06-10

## LIQUID DELIVERY DEVICE

No documents available for this priority number.

MARSHALL S KRIESEL [US] $\pm$ (MARSHALL S.KRIESEL)
SAJENS INKORPOREJTED [US] <u>+</u> (SAJENS INKORPOREJTED)
<ul> <li>international: A61M37/00; A61M5/152; A61M5/14; A61M5/142; (IPC1-7): A61M37/00</li> <li>cooperative: <u>A61M5/152</u>; <u>A61M2205/197</u>; <u>A61M5/1407</u>; <u>A61M5/1409</u>; <u>A61M5/14248</u></li> </ul>
RU19950122716 19940515
WO1994US05389 19940516 ; US19930046438 19930413
WO9426348 (A1) JPH08510658 (A) EP0700308 (A1) EP0700308 (A4) CN1126950 (A) CA2163242 (A1) BR9406808 (A) AU6949394 (A) AU697117 (B2) less

Abstract of RU2131273 (C1)

FIELD: medical engineering. SUBSTANCE: device is intended for accurate infusion of medicinal substances into ambulatory patient at definite speed within long periods of time. Device represents compact, low-profile laminated construction. It has flexible stretchable membrane which in combination with thin flat base outlines boundaries for liquid provided with outlet. This membrane permeable for liquid controls accurately rate of liquid flow through liquid outlet. It is positioned inside liquid chamber which allows convenient fixing to parts of patient's body. EFFECT: higher efficiency of infusion. 12 cl, 94 dwg

Last updated: 09.10.2013 Worldwide Database 5.8.11.5; 93p




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Сайенс Инкорпорейтед (US)

(61) MRK® A 61 M 37/00

#### РОССИЙСКОЕ АГЕНТСТВО ПО ПАТЕНТАМ И ТОВАРНЫМ ЗНАКАМ

# (12) ОПИСАНИЕ ИЗОБРЕТЕНИЯ К ПАТЕНТУ РОССИЙСКОЙ ФЕДЕРАЦИИ

(71) Заязитель;

(73) Патентообладатель:

- (21), (22) Заявка 95122716/14, 15.05.1994
- (30) Приоритет: 18.05.1993 US 08/046438
- (46) Дата публикации: 10.06.1999
- (56) COLUMN 1. US 5154697, 13 10.92, 2. SU 1404080 A1, 23 06 88. 3. US 5176641, 05.01.93.
- (85) Дата перевода заявки РСТ на национальную dasy: 18.12.95
- (86) Baseka PCT US 94/05389 (15.05.94)
- (87) Публикация РСТ WO 94/26348 (24,11,94)
- (98) Адрес для перелиски 103735, Мосжва, ул.Ильинка 5/2 Союзпатент Дудушкину С.З.

#### (54) УСТРОЙСТВО ДОСТАВКИ ЖИДКОСТИ

(57) Pechepar:

Устройство предназначено для точной инфузии пекарственных средств амбулаторно нациенту с определенными окоростями на протяжении длительных периодов времени. Устройство представляет KOMBAKTHYKO низкопрофильную слоистую конструкцию и sknio-last эпастичную растягиваемую мембрану, которая в сочетании с тонким проским основанием очерчивает траницы для жидкости имеющей жидкостный выход. Тонкая проницаемая для жидкости мембрана. которая точно контролирует скорость течения жидкости через жидкостный SPXCO. расположена внутри жидкостной камеры, что позволяет удобно фиксироваться к частям. о ми коатевосалоном и стивниел слат

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(72) Изобретатель: Маршалл С.Криесел (US)

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(19)RU<sup>(11)</sup> 2 131 273<sup>(13)</sup> C1

Sajens Inkorponeited (US)

Sajens Inkorporejted (US)

Marshall S.Kriesel (US)

(61) int CL<sup>6</sup> A 61 M 37/00

(71) Applicant:

(72) Inventor

(73) Proprietor:

RUSSIAN AGENCY FOR PATENTS AND TRADEMARKS

## (12) ABSTRACT OF INVENTION

(21), (22) Application 95122716/14, 15.05 1994

(30) Priority: 18.05 1993 US 06/046438

(46) Date of publication 10.06 1999

- (65) Commencement of national phase: 18.12.95
- (86) PCT application: US 94/05389 (15.05.94)
- (87) PCT publication: WO 94/26348 (24.11.94)
- (98) Mail address: 103735, Moskva, ul. il'inka 5/2 Sojuzpatent Dudushkinu S.V.

#### (54) LIQUID DELIVERY DEVICE

#### (57) Abstract:

FIELD: medical engineering, SUBSTANCE: device is intended for accurate infusion of medicinal substances into ambulatory patient at definite speed within long periods of time. Device represents compact, low-profile laminated construction. It has flexible stretchable membrane which in combination with thin fist base outlines boundaries for liquid provided with outlet. This membrane permeable for liquid controls accurately rate of liquid flow through liquid outlet. It is positioned inside liquid chamber which allows convenient fixing to parts of patient's body. EFFECT: higher efficiency of



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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number		12808467	
Filing Date		2010-06-16	
First Named Inventor Steph		en E. Hidem	
Art Unit		3735	
Examiner Name Eileer		Dorothy Foley	
Attorney Docket Number		56782.1.7.2	

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# INFORMATION DISCLOSURE Application Number 12808467 Filing Date 2010-06-16 First Named Inventor Stephen E. Hidem Art Unit 3735 Examiner Name Eileen Dorothy Foley Attorney Docket Number 56782.1.7.2

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<sup>1</sup> See Kind ( Standard ST <sup>4</sup> Kind of doo English lang	Codes o [.3). <sup>3</sup> F cument juage tra	USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04 or Japanese patent documents, the indication of the year of the reig by the appropriate symbols as indicated on the document under Will instation is attached.	. <sup>2</sup> Enter office that iss jn of the Emperor mus PO Standard ST.16 if j	sued the docume st precede the ser possible. <sup>5</sup> Applie	nt, by the two-letter code (W rial number of the patent doc cant is to place a check mark	'IPO xument. k here if

	Application Number		12808467	
	Filing Date		2010-06-16	
INFORMATION DISCLOSURE	First Named Inventor	Steph	ien E. Hidem	
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit		3735	
	Examiner Name	Eileer	n Dorothy Foley	
	Attorney Docket Numb	er	56782.1.7.2	

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2013-11-08
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Unit	ed States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER I P.O. Box 1450 Alexandria, Virginia 22 www.uspto.gov	TMENT OF COMMERCE Trademark Office OR PATENTS 313-1450		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/808,467	06/16/2010	Stephen E. Hidem	56782.1.7.2	3733		
22859 FREDRIKSON	7590 10/02/201	3	EXAMINER			
INTELLECTU	AL PROPERTY GRO	UP	FOLEY, EILEEN DOROTHY			
MINNEAPOLI	S, MN 55402	4000	ART UNIT	PAPER NUMBER		
			3735			
			NOTIFICATION DATE	DELIVERY MODE		
			10/02/2013	ELECTRONIC		

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

IP@FREDLAW.COM

	Application No. 12/808,467	Applicant(s) HIDEM ET AL.		
Office Action Summary	Examiner EILEEN FOLEY	Art Unit 3735	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication app	bears on the cover sheet with the	corresponde	nce address	
<ul> <li>Period for Reply</li> <li>A SHORTENED STATUTORY PERIOD FOR REPL' WHICHEVER IS LONGER, FROM THE MAILING D.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period to Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	Y IS SET TO EXPIRE <u>3</u> MONTH ATE OF THIS COMMUNICATIO 36(a). In no event, however, may a reply be t will apply and will expire SIX (6) MONTHS from a, cause the application to become ABANDON g date of this communication, even if timely file	H(S) OR THIP DN. imely filed n the mailing date ED (35 U.S.C. § 1 ed, may reduce any	TY (30) DAYS, of this communication. 33).	
Status				
1) Responsive to communication(s) filed on 29 M	<u>Iarch 2013</u> .			
A declaration(s)/affidavit(s) under <b>37 CFR 1</b> .1	130(b) was/were filed on <u>.</u>			
2a) This action is <b>FINAL</b> . $2b)$ This	action is non-final.			
3) An election was made by the applicant in resp	onse to a restriction requiremen	t set forth dur	ing the interview on	
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Disposition of Claima	<i>Lx puno Quuyio</i> , 1000 0. <i>D</i> . 11,	100 0.0. E10		
<ul> <li>5)∑ Claim(s) <u>1-7 and 36-50</u> is/are pending in the a 5a) Of the above claim(s) <u>6,7,36,49 and 50</u> is/a</li> <li>6)□ Claim(s) <u>1-5 and 37-48</u> is/are rejected.</li> <li>7)∑ Claim(s) <u>1-5 and 37-48</u> is/are rejected.</li> <li>8)□ Claim(s) <u>is/are objected to.</u></li> <li>9)□ Claim(s) <u>are subject to restriction and/o</u></li> <li>* If any claims have been determined <u>allowable</u>, you may be e participating intellectual property office for the corresponding a <u>http://www.uspto.gov/patents/init_events/pph/index.jsp</u> or send</li> <li>Application Papers <ul> <li>10)□ The specification is objected to by the Examine 11)□ The drawing(s) filed on is/are: a)□ acc</li> <li>Applicant may not request that any objection to the Baplacement drawing abact(a) including the correspondent.</li> </ul> </li> </ul>	pplication. are withdrawn from consideration or election requirement. ligible to benefit from the <b>Patent Pro</b> pplication. For more information, ple d an inquiry to <u>PPHfeedback@uspto</u> er. epted or b) dojected to by the drawing(s) be held in abeyance. So	n. <b>Disecution Hig</b> ease see <u>.aov</u> . Examiner. ee 37 CFR 1.83	<b>hway</b> program at a 5(a).	
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12)       Acknowledgment is made of a claim for foreign         Certified copies:       a)         All       b)         Some * c)       None of the:         1.       Certified copies of the priority documen         2.       Certified copies of the priority documen	priority under 35 U.S.C. § 119(attack to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a second state to be a secon	a)-(d) or (f).		
$3 \square$ Copies of the certified copies of the prior	ns have been received in Application	ved in this Na	 ational Stage	
application from the International Bureau	u (PCT Rule 17.2(a)).		allonal Glage	
* See the attached detailed Office action for a list of	the certified copies not received.			
Attachment(s)				
1) X Notice of References Cited (PTO-892)	3) 🔲 Interview Summai	y (PTO-413)		
2) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>See Continuation Sheet</u> .	Paper No(s)/Mail I 4) 🗌 Other:	Date		

U.S. Patent and Trademark Office PTOL-326 (Rev. 05-13)

Office Action Summary

Continuation of Attachment(s) 2). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :January 18, 2013; March 29, 2013; May 23, 2013; July 2, 2013; August 26, 2013.

#### **DETAILED ACTION**

#### **Response to Amendment**

1. The Response filed on March 29, 2013 is acknowledged. Claims 1-7 and 36-50 are pending with claims 6-7, 36, and 49-50 withdrawn based on a prior restriction/election requirement.

#### Information Disclosure Statement

2. The Supplemental information disclosure statement (IDS) submitted on January 18, 2013 has been considered by the examiner.

3. The information disclosure statements (IDS) submitted on March 29, 2013, May 23,

2013, July 2, 2013, and August 26, 2013 have been considered by the examiner.

#### Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under 35

U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

6. <u>Claims 1 and 43</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. (US Publication No. 2007/0213848 A1) (hereinafter "deKemp et al. US '848") (cited in the Office Action dated January 4, 2013) in view of Fago (US Publication No. 2008/0237502 A1).

<u>Regarding claim 1</u>, deKemp et al. US '848 teaches an eluent reservoir (*reservoir* (4) (paragraph [0025]; Figure 3)), a pump coupled to the reservoir (*pump* (6) (paragraph [0025]; Figure 3)), an infusion tubing circuit (generator valve (16), bypass line (18), patient valve (24), elution system (14) (paragraph [0025]; Figure 3) and feed-line (33) (paragraph [0028]; Figure 5)), a radioisotope generator (*strontium-rubidium* ( $^{82}Sr/^{82}Rb$ ) generator (8) (paragraph [0025]; Figure 3)), an activity detector (positron detector (20) (paragraph [0025]; Figure 3)), a waste bottle (*waste reservoir* (26) (paragraph [0025]; Figure 3)), a computer (*controller* (28) (paragraph [0025]; Figure 3)) and a computer interface (user interface (44) (Figure 3)). deKemp et al. US '848 also teaches the infusion tubing circuit including an eluant line coupled to the pump and to the generator (*pump* (6) for drawing saline from reservoir (4) (paragraph [0025])) and an eluate line coupled to the generator, to the activity detector and to the waste bottle (generator valve (16) to proportion saline flow between the generator (8) and a bypass line (18) which meet at merge point (22), a positron detector (20) downstream of merge point (22), a patient valve (24) to control supply of saline to patient outlet (10) and waste reservoir (26) (paragraph [0025])).

deKemp et al. US '848 further teaches a controller (28) is connected to pump (6), positron detector (20), valves (16) and (24), and user interface (44) (paragraph [0025]; Figure 3) that pump (6) and valves (16, 24) are controlled to route saline through the system (14) in

accordance with various modes of operation (paragraphs [0029]-[0032]; Figures 6a-6d). deKemp et al. US '848 teaches a pump (6) for drawing saline from the reservoir (4) at a desired flow rate (paragraph [0025]). During an "elution" mode, deKemp et al. US '848 discloses generator valve (16) is actively controlled by a control loop (42) from the positron detector (20) to proportion saline flow through both the generator (8) and bypass line (18) (paragraph [0032]).

deKemp et al. US '848 does not explicitly teach the use of a computer to control the modes of operation of the system, providing an indication that the elution is complete, or providing an indication of a time lapse.

Fago teaches visualization of the eluate collection bottle (34) facilitates determining when the elution process is complete, e.g. the eluate collection bottle (34) is full (paragraph [0031]). Fago teaches a syringe pump (40 is adapted to inject the eluent (18) into the generator (22) via tubing (10) (paragraph [0032]). Fago teaches a drip chamber (44) is incorporated in the tubing (10) to facilitate tracking or identification of an amount of eluent flowing into a generator (22) (paragraph [0033]; Figure 6). Fago teaches an electronic drop counter (46) may be used to count the drops passing through the drip chamber (44) by using an LED (48) and a photo detector (50) to determine when a drop passes through the drip chamber (44) (paragraph [0033]; Figure 7). Fago teaches this facilitates the provision of metrics relating to the amount of eluant being passed from the eluant passing from the eluent supply container (4) into the generator (22) (paragraph [0033]). Fago teaches the drop counter (46) is coupled to an electronic device and/or computer (54) to store data, facilitate communication with other device, and/or perform calculations relating to the elution process (paragraph [0034]). Fago teaches the drop counter (46) is communicatively coupled to a display (52) for displaying metrics relating to the elution

process and that the display may be incorporated into the computer (54) (paragraph [0034]). Fago teaches the volume and/or time associated with each elution process may be tracked and displayed to enable the user to estimate when the generator will be ready for another elution process ("providing an indication via the computer interface that each elution is completed and of a time lapse since each elution was completed") (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of controlling an <sup>82</sup>Sr/<sup>82</sup>Rb elution system of deKemp et al. US '848 to include the displayed volume and time calculations taught by Fago in order to estimate when a generator will be ready for another elution process (Fago, paragraph [0034]).

Regarding claim 43, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110), or to a shielded waste container (90) ("diverting an initial volume of eluate to the waste bottle") (paragraph [0025]). deKemp et al. US '848 does not teach tracking the initial volume or eluate or providing an indication when the waste bottle needs to be emptied.

Fago teaches an eluate collection bottle (34) may have a standard or predefined volume (paragraph [0031]). Fago teaches a user can observe, based on the visualization portal and index marks (19), the eluent levels in the eluant supply container (4) go down in an amount generally corresponding to the amount of eluate received in the eluate collection bottle (34) ("tracking the initial volume of the eluate") (paragraphs [0026] and [0031]). Fago teaches this visualization

facilitates determining when the elution process is complete, e.g. the eluate collection bottle is full ("providing an indication when the waste bottle needs to be emptied") (paragraph [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the waste bottle of deKemp et al. US '848 to include the visualization portal and index marks taught by Fago in order to track the amount of fluid in the bottle (Fago, paragraph [0031]).

7. <u>Claims 2-4, 38, 39, 44, and 48</u> are rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of Tate et al. (US Publication No. 2008/0177126 A1) (cited in the Office Action dated January 4, 2013).

Regarding claim 2, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]) and Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]), but the combination does not teach maintaining a record of the elutions.

Tate teaches a Records or Injection History button (1022) (paragraph [0181]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the

elution system of deKemp et al. US '848 and Fago to include the Records or Injection History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

<u>Regarding claim 3</u>, Tate teaches printing the injection information, including information about the activity and volume of the dose delivered to the patient and displaying it in pop-up (1240) (paragraph [0235]).

<u>Regarding claim 4</u>, Tate teaches a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]).

Regarding claim 38, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1. deKemp et al. US '848 teaches sampling the activity level in the dose calibrator at regular intervals throughout the duration of the elution run (paragraph [0055]). deKemp et al. US '848 also teaches calibration data collected during the elution can be used to calculate the proportionality constant K between the activity parameter ( $C_{det}$ ) and the <sup>82</sup>Rb activity concentration (paragraphs [0056]-[0057]). The combination of deKemp et al. US '848 and Fago does not teach providing via the computer interface, calibration data entry fields for a user.

Tate teaches user-friendly data entry mechanisms for the system (10) (paragraph [0170]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the calibration and activity data of deKemp et al. US '848 and Fago to be input by a user through the user-friendly data entry mechanisms taught by Tate in order to clearly and unambiguously communicate the current status of the system to an operator (Tate, paragraph [0170]).

<u>Regarding claim 39</u>, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1, but does not teach providing a patient identification entry field for a user to enter a patient identification number, receiving a detected activity level of a dose of eluate from the activity detector, or compiling a report that includes an identification number for the generated dose and detected activity level.

Tate teaches the touch screen arrangement (1000) can be used for four categories of tasks, including Patient Treatment (paragraph [0172]). Tate discloses the "Patient Treatment" category includes a number of tasks including inputting patient and/or case identification information into the system (10) and measuring the activity level of the radiopharmaceutical dose (paragraph [0207]). Tate teaches that the pharmaceuticals are used in imaging procedures (paragraph [0069]). Tate teaches that the printer (24) may be used to generate records of the injection and/or imaging procedures performed for inclusion in their medical records (paragraph [0075]). Tate discloses a "Case Information" pop-up display (1217) including an "Identification" field (1217a) and a keypad (1217j) for inputting a patient or other identification number (paragraph [0216]). Tate also discloses a detailed injection history display (1360), which includes activity level of the injection and the patient identification number, and can be printed using "Print" button (1363) (paragraph [0245]; Figure 34B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the identification information taught by Tate in order to generate records of the injection and/or imaging procedures performed for inclusion in patient's medical records (Tate, paragraph [0075]).

<u>Regarding claim 44</u>, the combination of deKemp et al. US '848 and Fago teaches the system of claim 1, but does not teach the computer interface comprises a touch-activated display screen.

Tate teaches that the fluid delivery system (10) includes a display or graphical user interface display (15) for programming and operating the system (10) and may incorporate touch-screen capability (paragraph [0071]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the touch-screen capability taught by Tate for ease of use of the system (Tate, paragraph [0071]).

Regarding claim 48, Tate teaches the fluid delivery system (10) includes a printer (24) (paragraph [0074]). Tate teaches that the printer (24) may generate records of the injection and/or imaging procedures performed on patients and may be pivotally connected to the system (10) to allow an operator to load paper or labels into the printer (24) (paragraph [0075]; Figure 1B). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the printer taught by Tate in order to include data in patients' medical records or for billing/inventory purposes (Tate, paragraph [0075]).

8. <u>Claim 5</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of Tate and deKemp (US Publication No. 2007/0140958 A1) (hereinafter "deKemp US '958") (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 5</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 teaches a control algorithm that regulates a flow rate and volume of the sterile saline solution (100) pumped through the generator column (10) and controls valve (108), which directs the eluate through a delivery line (112) for a calibration elution or a patient elution (110) ("categorizing each counted elution as having been generated as a sample or a dose") (paragraph [0025]).

Fago teaches computer (54) may be coupled to each of a plurality of drop counters (46) and/or displays (52) that provide data relating to elution processes in each of the generators (22), such as time associated with each counted drop or a volume associated with the number of counted drops ("counting elutions per unit time") (paragraphs [0034] and [0036]). Fago teaches an actual radioactivity level of the eluate can be determined at a given time, programmed into the computer (54) ("receiving a detected activity level and entering the detected activity level for each elution into the record") (paragraph [0034]). Fago teaches the radioactivity level can be incorporated with other data to determine an expected radioactivity level at a specified future time and thus estimate when the generator will be ready for another elution process ("calculating and tracking the amount of activity left in the generator after each elution") (paragraph [0034]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the system of deKemp et al. US '848 to include the radioactivity information and estimations taught by Fago in order to estimate when a generator will be ready to perform another elution process (Fago, paragraph [0034]).

The combination of deKemp et al. US '848 and Fago does not teach maintaining a record of the elutions or compiling a report that includes an amount of activity left in the generator.

Tate discloses user-friendly data entry mechanisms for the system (10) (paragraph [0170]). Tate teaches a Records or Injection History button (1022) (paragraph [0181]) and a printer (24) used to generate records of the injection and/or imaging procedures performed on patients (paragraph [0075]). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the elution system of deKemp et al. US '848 and Fago to include the Records or Injection History function of Tate in order to access information regarding prior injection procedures (Tate, paragraph [0181]).

The combination of deKemp et al. US '848, Fago, and Tate does not teach compiling a report that includes an amount of activity left in the generator.

deKemp US '958 teaches a control algorithm and a valve (108) direct the eluate through a delivery line (112) for a calibration elution or a patient elution (110) (paragraph [0025]). deKemp US '958 teaches that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough to determine if the yield is above a predetermined radioactivity limit (paragraph [0029]; Figure 5). deKemp US '958 teaches control software controls a volume of fluid during generator column (10) flushes and elutions, and accepts the cumulative volume and stores it (paragraph [0031]). deKemp US '958 further teaches that the cumulative volume is recomputed after each elution and disposing of the generator column if the volume limit is exceeded (paragraph [0032]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the yield and volume determinations of deKemp US '958 in the records of the computer controlled elution system of deKemp et al. US '848, Fago, and Tate in order to determine if the generator column can continue to be used for patient elutions (deKemp US '958, paragraph [0028]).

9. <u>Claim 37</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of deKemp US '958.

<u>Regarding claim 37</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach providing a first and second set of breakthrough test data entry fields.

deKemp US '958 teaches generator column (10) is flushed with 50 ml of sterile saline solution in order to remove any strontium breakthrough, then the operator waits for a predetermined period of time (at least 10 minutes) before performing the calibration elution (paragraph [0029]). Then, deKemp US '958 discloses that the calibration eluate is tested for <sup>82</sup>Rb yield and <sup>82</sup>Sr breakthrough (paragraph [0029]). deKemp US '958 discloses that those skilled in the art would know to test the radioactivity of the elution after about 26 minutes has elapsed, at which time the amount of residual <sup>82</sup>Rb is insignificant and will no distort the test results (paragraph [0030]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the breakthrough measurements taught by deKemp US '958 in the computer controlled elution system of deKemp et al. US '848 and Fago in order to determine if breakthrough is less than a threshold for permissible levels (paragraph [0030]).

10. <u>Claim 40</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
'848 in view of Fago, as applied to claim 1 above, and further in view of Telischak et al. (US
Patent No. 7,996,068 B2) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 40</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the pump is activated.

Telischak teaches a visible light is projected at all times when the device is activated (column 8, lines 18-26). It would have been obvious to one of ordinary skill in the art to include the light projecting when the device is activated disclosed by Telischak in the pump of the computer controlled infusion system of deKemp et al. US '848 and Fago in order to confirm that the device is operational (Telischak, column 8, lines 24-25).

<u>Claim 41</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Ellingboe et al. (US
Publication No. 2006/0015056 A1) (cited in the Office Action dated January 4, 2013).

Regarding claim 41, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection. deKemp et al. US '848 also teaches a "waiting for threshold" mode of system (14), in which the saline flow is routed through the generator (8) and into the waste reservoir (26) (paragraph [0031]) and an "elution" mode where the active saline solution is directed to the patient outlet (paragraph [0032]). Neither deKemp et al. US '848 nor Fago teach a light projector or providing a first light signal when an initial volume of eluate is being diverted or providing a second light signal when the pumping is generating the sample or the dose of eluate.

Ellingboe teaches an animated light indicator may be provided to indicate when cardioplegia is being delivered (e.g. via green illumination) and when delivery is stopped (e.g. via red illumination) (paragraph [0243]; Figure 301). It would have been obvious to one of ordinary skill in the art to include the different illumination signals disclosed by Ellingboe in the computer controlled infusion system of deKemp et al. US '848 and Fago in order to provide indicators to the operator regarding which stage of the infusion is occurring.

12. <u>Claim 42</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Bergner (US Patent No.
4,585,941) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 42</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach a light projector or providing a light signal when the activity detector detects a peak bolus of radioactivity.

Bergner teaches an LED display (100) is immediately about the total dose thumbwheel switches (98) and displays the total dose which has been infused in the patient (56) (column 5, lines 8-25). Bergner teaches the actual dose rate which is present in the eluate within the tube (30) in front of the dosimetry probe (58) is displayed on LED display (104) (column 5, lines 8-25). It would have been obvious to one of ordinary skill in the art to include the LED signals based on actual dose disclosed by Bergner in the computer controlled infusion system of deKemp et al. US '848 and Fago in order to provide a description of the dose present in the eluate (Bergner, column 5, lines 19-22).

<u>Claim 45</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Varrichio et al. (US
Publication No. 2005/0187515 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 45</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach at least one sensor for detecting a leak in the tubing and providing an indication that a leak has been detected.

Varrichio et al. teaches a sensor (251) that may be utilized to detect a leak in the valve system (241) (paragraph [0027]). Varrichio et al. teaches that if one of the flow valves leaks, a midpoint pressure will drift higher if primary flow valve (343) is leaking and lower if redundant flow valve (344) is leaking, thus providing an indication that a leak has been detected (paragraph [0036]). Varrichio et al. discloses a user interface for external program controller (260), which provides real-time status information with respect to infusate pump (200) and may provide audible alarms upon detection of particular conditions and may report conditions to the doctor using information from sensor (251) (paragraphs [0027] and [0031]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the leak detecting sensor of Varrichio et al. in the computer controlled elution system of deKemp et al. US '848 and Fago in order to provide feedback to the doctor (Varrichio et al., paragraph [0027]).

14. <u>Claim 46</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US '848 in view of Fago, as applied to claim 1 above, and further in view of Padda et al. (US Patent No. 5,395,320) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 46</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach calculating a flow rate profile or controlling a speed of the pump according to the calculated flow rate profile.

Padda teaches an infusion pump which can be programmed to deliver any of a variety of selected profiles of fluid medicine volume over time (Abstract). Padda discloses examples of delivery profiles including fixed rate of flow, ramp up, ramp down, fixed rate with increased rate spikes at specified intervals, and no flow with an infusion bolus at specified intervals (column 2, lines 42-50). Padda teaches a pumping mechanism (24) provides accurate delivery of medicine

(column 4, lines 9-10). Padda teaches a piggyback delivery profile function which allows for the use of a second profile applied before, during an interrupt, or after the first profile, and a different medicine and different fluid to be infused through the infusion pump (column 4, line 59-column 5, line 6). Padda discloses a CPU that sends a motor control drive (14) a code containing information on the desired motor speed and a motor speed control (15) (column 6, line 66-column 7, line 16). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the flow control and profile mechanisms of Padda in the computer controlled elution system of deKemp et al. US '848 and Fago in order to provide a variety of different fluid delivery profiles to a patient (Padda, column 2, lines 31-34).

15. <u>Claim 47</u> is rejected under 35 U.S.C. 103(a) as being unpatentable over deKemp et al. US
\*848 in view of Fago, as applied to claim 1 above, and further in view of Whittacre et al. (US
Publication No. 2003/0139640 A1) (cited in the Office Action dated January 4, 2013).

<u>Regarding claim 47</u>, the combination of deKemp et al. US '848 and Fago teaches the infusion system of claim 1, as discussed in the above rejection, but does not teach transferring a plurality of detect activity levels to another system.

Whittacre teaches a display of the amount of isotope which is generating the radioactivity and transferring those values to a database if accepted by the operator (paragraph [0195]). It would have been obvious to one of ordinary skill in the art at the time of the invention to include the data transfer of Whittacre in the computer controlled elution system of deKemp et al. US '848 and Fago in order to allow one or more physicians to access the displayed information (Whittacre, paragraph [0202]).

#### **Response to Arguments**

16. Applicant's arguments, see pages 2-8 of the Remarks, filed March 29, 2013, with respect to the rejections of claims 1-5 and 37-48 under 35 U.S.C. § 103(a) have been fully considered and are persuasive. In particular, Applicant's arguments that Tate cannot provide indications of a time lapse since each elution was completed since Tate does not teach a system that generates radiopharmaceuticals via elution is persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground of rejection is made in view of deKemp et al. US '848 and Fago, which is related to elutions and radioisotope generation and provides indications of the steps of the elution process through an electronic drop counter (see above rejection).

#### **Conclusion**

17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EILEEN FOLEY whose telephone number is (571) 270-7248.
The examiner can normally be reached Monday-Thursday, and alternate Fridays, from 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Marmor II can be reached on (571) 272-4730. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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> /Charles A. Marmor, II/ Supervisory Patent Examiner, Art Unit 3735

/E. F./ Examiner, Art Unit 3735

Notice of References Cited	Application/Control No. 12/808,467	Applicant(s)/Patent Under Reexamination HIDEM ET AL.		
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	EILEEN FOLEY	3735	Page 1 of 1	

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-2008/0237502 A1	10-2008	Fago	250/506.1
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Filing Date		2010-06-16
First Named Inventor Steph		en E. HIDEM
Art Unit		3735
Examiner Name FOLE		Y, Eileen D.
Attorney Docket Number		56782.1.7.2

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Examiner Name	FOLE	Y, Eileen D.	
Attorney Docket Numb	er	56782.1.7.2	

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Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2013-03-29
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

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Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

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Attorney Docket Number	er	56782.1.7.2	

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	R. KLEIN, , "Precision control of eluted Activity from a Sr/Rb generator for cardiac positron emission tomography" Proceedings of the 26th Annual International Conference of the IEEE EMBS San Francisco, CA, USA, September 1-5, 2004, 4 pages								
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<sup>1</sup> See Kind ( Standard S <sup>-4</sup> Kind of do English lanç	Codes o T.3). <sup>3</sup> I cument guage tr	of USPT For Japa by the a ranslatio	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 90 anese patent documents, the indication of the year of the appropriate symbols as indicated on the document unde in is attached.	)1.04. <sup>2</sup> Enter offic e reign of the Emp r WIPO Standard	ce that issued the docume eror must precede the sel ST.16 if possible. <sup>5</sup> Applic	nt, by the two-letter code (WI rial number of the patent doct cant is to place a check mark	PO ument. here if		

Receipt date: 07/02/2013 12808467 - GAU: 3735 Application Number 12808467 Filing Date 2010-06-16 INFORMATION DISCLOSURE First Named Inventor Stephen E. Hidem STATEMENT BY APPLICANT 3735 Art Unit (Not for submission under 37 CFR 1.99) Examiner Name Eileen Dorothy Foley Attorney Docket Number 56782.1.7.2

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2013-07-02
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc description: Information Disclosure Statement (IDS) Filed

# 12808467 - GAL: 37,35 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number		12808467
Filing Date		2010-06-16
First Named Inventor Steph		en E. Hidem
Art Unit	-	3735
Examiner Name Eileer		Dorothy Foley
Attorney Docket Number		56782.1.7.2

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Examiner Initial*	Cite No	Р	atent Number	Kind Code <sup>1</sup>	Issue D	)ate	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relev Figures Appear		∍ ∕ant		
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If you wish to add additional Foreign Patent Document citation information please click the Add button Add													
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Examiner Initials*	Examiner Initials* Cite No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.								T⁵				

Receipt date: 01/18/2013

Application Number		12808467	12808467 - GAU: 3735
Filing Date		2010-06-16	
First Named Inventor	Steph	en E. Hidem	
Art Unit	-	3735	
Examiner Name Eileer		Dorothy Foley	
Attorney Docket Numb	er	56782.1.7.2	

	1	R. Kle Ottav (Elec	Klein, "Precise 82RB infusion system for cardiac perfusion measurement using 3D positron emission tomography", tawa-Carleton Institute for Electrical and Computer Engineering School of Information Technology and Engineering ectrical & Computer Engineering), February, 2005, 147 pages									
	2	LEME 2008	MER PAX, POSIJET® Integrated FDG dispensing and infusion system, www.lemerpax.com (copyright date May 8)									
If you wis	h to ao	dd add	litional non-patent literature doo	cument citation information p	lease click the Add I	outton Add						
			E	EXAMINER SIGNATURE								
Examiner	Signa	ature	/Eileen Foley/		Date Considered	07/18/2013						
*EXAMIN citation if	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											
<sup>1</sup> See Kind ( Standard S <sup>-4</sup> Kind of do English lang	<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.											

12808467 - GAU: 3735 Receipt date: 01/18/2013 Application Number 12808467 Filing Date 2010-06-16 INFORMATION DISCLOSURE First Named Inventor Stephen E. Hidem STATEMENT BY APPLICANT 3735 Art Unit (Not for submission under 37 CFR 1.99) Examiner Name Eileen Dorothy Foley Attorney Docket Number 56782.1.7.2

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Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

### EAST Search History

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
12	72	(US-20090318745-\$ or US- 20080242915-\$ or US-20080177126-\$ or US-20080166292-\$ or US- 20060151048-\$ or US-20070140958-\$ or US-20070213848-\$ or US- 20090312630-\$ or US-20110172524-\$ or US-20100312039-\$ or US- 200900312635-\$ or US-20080071219-\$ or US-20090131862-\$ or US- 20050277833-\$ or US-20050085682-\$ or US-20040092787-\$ or US- 20090292156-\$ or US-20030139640-\$ or US-20090309465-\$ or US- 20080093564-\$ or US-20070282263-\$ or US-20090299277-\$ or US- 20080093564-\$ or US-20110028775-\$ or US-20090286512-\$ or US- 20050203329-\$).did. or (US- 20080097170-\$ or US-20070287895-\$ or US-20060015056-\$ or US- 20090266998-\$ or US-20080237502-\$ \$).did. or (US-7612999-\$ or US- 7204797-\$ or US-7169135-\$ or US- 7204797-\$ or US-7169135-\$ or US- 7204797-\$ or US-7169135-\$ or US- 6157036-\$ or US-4585941-\$ or US- 4562829-\$ or US-4336036-\$ or US- 4096859-\$ or US-3997784-\$ or US- 774036-\$ or US-3997784-\$ or US- 7774036-\$ or US-7862534-\$ or US- 7774036-\$ or US-7862534-\$ or US- 77319-\$ or US-6626862-\$ or US- 4096859-\$ or US-317506-\$ or US- 77319-\$ or US-6659934-\$ or US- 77319-\$ or US-6659934-\$ or US- 7838844-\$ or US-5317506-\$ or US- 7838844-\$ or US-5317506-\$ or US- 7838844-\$ or US-5317506-\$ or US- 7838844-\$ or US-7925330-\$ or US- 7813841-\$).did. or (US-7734331-\$ or US-7700926-\$ or US-4625118-\$ or US-7700926-\$ or US-4625118-\$ or US-771959-\$ or US-6901283-\$ or US-771971-\$ or US-6901283-\$ or US-771971-\$ or US-6901283-\$ or US-7141795-\$ or US-4018677-\$ or US-5395320-\$ or US-4018677-\$ or US-731971-\$ or US-6901283-\$ or US-7141795-\$ or US-4018677-\$ or US-731971-\$ or US-6901283-\$ or US-7141795-\$ or US-4018677-\$ or US-5039863-\$).did. or (US-3997784-\$).did.	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/17 09:19
L3	65	L2 and (count\$3 or tally\$3 or number\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/17 09:20
L4	940	(generat\$3 with (radioisotope or isotope)) same (count\$3 or tally\$3 or number\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/17 09:20
L5	16	L2 and ((count\$3 or tally\$3 or	US-	OR	ON	2013/09/17

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L6	0	(generat\$3 with (radioisotope or isotope)) same (record\$3 with elution)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/17 09:26
L7	17	(generat\$3 with (radioisotope or isotope)) same ((count\$3 or tally\$3 or number\$3) with elution)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/17 09:26
S2	3	((STEPHEN) near2 (HIDEM)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 15:55
S3	2	((AARON) near2 (FONTAINE)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 15:55
S4	2	((JANET) near2 (GELBACH)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 15:55
S5	43	((PATRICK) near2 (MCDONALD)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 15:56
S6	2	((KATHRYN) near2 (HUNTER)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 15:56
S7	86	((ROLF) near2 (SWENSON)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 15:56
S8	19	((JULIUS) near2 (ZODDA)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 15:56
S10	73	("20070213848"   "4625118"   "4679142"   "5258906"   "6157036"   "6767319"   "20090318745"   "6908598"   "5827429"   "5485831"   "5739508"   "5885216"   "6442418"   "20090312630"   "7504646"   "7862534"   "3714429"   "20070140958"   "4562829"   "5590648"   "5840026"   "20070232980"   "20090309466"   "20070232980"   "20090309466"   "20070282263"   "20080093564"   "4096859"   "4769008"   "20070282263"   "20080093564"   "4585941"   "5039863"   "20100270226"   "20080166292"   "20080242915"   "3774036"   "3997784"   "4286169"   "5274239"   "7256888"   "20090309465"   "20100312039"   "3483867"   "4336036"   "5765842"	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 16:09

		"20080071219"   "4853546"   "6870175"   "6901283"   "7204797"   "20060015056"   "4994056"   "6347711"   "6558125"   "20080177126"   "4755679"   "5475232"   "7476377"   "20040104160"   "20110071392"   "20050278066"   "3710118"   "4585009"   "6626862"   "7169135"   "7413123"   "4466888"   "4623102"   "5702115"   "7612999").PN.				
S11	5849	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 16:26
S12	4715	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/09 16:26
S19	3	((STEPHEN) near2 (HIDEM)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 09:48
S20	2	((AARON) near2 (FONTAINE)).INV. US- OR PGPUB; USPAT; USOCR		OR	ON	2012/07/11 09:48
S21	2	((JANET) near2 (GELBACH)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 09:48
S22	43	((PATRICK) near2 (MCDONALD)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 09:48
S23	2	((KATHRYN) near2 (HUNTER)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 09:48
S24	86	((ROLF) near2 (SWENSON)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 09:48
S25	19	((JULIUS) near2 (ZODDA)).INV.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 09:48
S26	147	S19 or S20 or S21 or S22 or S23 or S24 or S25	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 09:48
S27	5852	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:00
S28	4719	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:00

S29	10502	S27 or S28	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:00
S30	2527966	(time or seconds or minutes) near3 (lapse or duration or period or span or length)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:01
S31	5288	S29 and S30	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:01
S32	130946	((strontium or Sr) with (rubidium or Rb)) or isotope or radioisotope or radio-isotope	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:02
S33	918	S31 and S32	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:02
S34	359	S33 and computer	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:02
S35	65	S33 and (computer with interface)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 10:03
S36	75299	(automated near3 dispensing near3 system) or dosimetry or (radioisotope or radio-isotope or radio adj isotope) or (radio adj pharmaceutical or radiopharmaceutical)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 12:56
S37	1429	computer with (display or screen or output) with time near3 (lapse or elapsed or duration)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 13:02
S38	12	S36 and S37	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 13:02
S39	49	("20030004463"   "20050278066"   "20060015056"   "20070140958"   "20070213848"   "20070232980"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080242915"   "20090312635"   "3710118"   "3774036"   "3997784"   "4286169"   "4562829"   "4585009"   "4585941"   "4625118"   "4679142"   "4755679"   "4853546"   "5039863"   "5258906"   "5274239"   "5475232"   "5485831"   "5590648"   "5739508"   "5840026"   "5885216"   "6157036"   "6442418"   "6626862"   "6767319"   "6870175"   "6901283"   "6908598"   "7163031"   "7169135"   "7204797"   "7256888"   "7413123"   "7476377"   "7504646").PN. OR ("7862534").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 13:05

S41	1	"20030004463".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 15:32
S43	1	"20080093564".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 15:37
S47	146	600/4.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/11 15:50
S49	1	"20080177126".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 10:42
S50	279	604/526.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 10:45
S51	12456	(((strontium or Sr) with (rubidium or Rb)) or isotope or radioisotope or radio-isotope or radiopharmaceutical) with (administration or system or delivery or infusion)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 12:01
S52	70873	((display or screen or output) with time near3 (lapse or elapsed or duration)) or (progress near3 (bar or indicator or indication))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 12:02
S53	52	S51 and S52	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 12:02
S54	37	S53 and computer	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 12:03
S55	49	("20030004463"   "20050278066"   "20060015056"   "20070140958"   "20070213848"   "20070232980"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080242915"   "20090312635"   "3710118"   "3774036"   "3997784"   "4286169"   "4562829"   "4585009"   "4585941"   "4625118"   "4679142"   "4755679"   "4853546"   "5039863"   "5258906"   "5274239"   "5475232"   "5485831"   "5590648"   "5739508"   "5840026"   "5885216"   "6157036"   "6442418"   "6626862"   "6767319"   "6870175"   "6901283"   "6908598"   "7163031"   "7169135"   "7204797"   "7256888"   "7413123"   "7476377"   "7504646").PN. OR ("7862534").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12
S56	36	S51 and ((count\$3 or tally\$3 or enumerat\$3 or add\$3) with elution\$1 with time)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:21

S57	7 0 "2007140958".pn.		US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:31
S58	1	"20070140958".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:31
S59	228	600/4,5.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:41
S60	48	S59 and (report\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:42
S61	1	"20080177126".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:46
S63	1	"20080071219".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:48
S64	5865	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:50
S65	4723	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:50
S66	10518	S64 or S65	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:50
S67	10518	S66	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:50
S68	352	S67 and (report\$3 with (function or process or aspect))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:51
S69	159	S68 and (injection or infusion)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:52
S70	2	S59 and (report with (generate or generation))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 14:57
S71	31	elution with sample with dose	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 15:20
S72	4	S59 and (breakthrough or break near2 through)	US- PGPUB; USPAT;	OR	ON	2012/07/12 15:27

	<u>.</u>		USOCR			
S73	140	S67 and (breakthrough or break near2 through)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 15:27
S74	347	S51 and (breakthrough or break adj through)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 15:36
S75	4	dekemp.inv.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 15:40
S76	397	S51 and ((record or save or list or track) with (elution or level or value))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 15:54
S77	17	S76 and S52	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 15:54
S78	1	"20090309465".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 15:56
S79	352	(sort or categorize or classify or identify) with (sample and dose)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 16:19
S80	1	S79 and S59	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 16:19
S81	57434	(sort or categorize or classify or identify) with (sample or dose)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 16:20
S82	7	S81 and S59	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 16:20
S83	228	S66 and S81	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/12 16:21
S84	5869	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 09:05
S85	4729	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 09:05
S86	10528	S84 or S85	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 09:05
S87	10528	S86	US-	OR	ON	2012/07/17

			PGPUB; USPAT; USOCR			09:05
S88	677	S86 and (light near3 (signal or project\$2))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 09:07
S89	264	S88 and (infusion or dosimetry or elution)	d (infusion or dosimetry or US- OR ON ) PGPUB; USPAT; USOCR		2012/07/17 09:07	
S90	1528	S86 and (((strontium or Sr) with (rubidium or Rb)) or isotope or radioisotope or radio-isotope or radiopharmaceutical)	US- PGPUB; USPAT; USOCR	OR	2012/07/17 09:55	
S91	307	S90 and count	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 09:56
S92	97	(Bracco near2 Diagnostics).as.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 11:51
S93	5869	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:52
S94	4729	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:52
S95	10528	S93 or S94	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:52
S96	10528	S95	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:52
S97	1528	S96 and (((strontium or Sr) with (rubidium or Rb)) or isotope or radioisotope or radio-isotope or radiopharmaceutical)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:52
S98	363859	(maintain\$3 or keep\$3 or updat\$3 or provid\$3) with (record or list or archive or journal or log or report)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:55
S99	47	S97 and S98	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:55
S100	5355	S96 and (infusion or injection or elution or dosimetry)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:58
S101	383	S100 and S98	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:59

S102	180	S101 and (radio)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 15:59
S103	51	("3528407"   "3827427"   "4091287").PN. OR ("4585941").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 16:44
S104	49	("20030004463"   "20050278066"   "20060015056"   "20070140958"   "20070213848"   "20070232980"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080242915"   "20090312635"   "3710118"   "3774036"   "3997784"   "4286169"   "4562829"   "4585009"   "4585941"   "4625118"   "4679142"   "4755679"   "4853546"   "5039863"   "5258906"   "5274239"   "5475232"   "5485831"   "5590648"   "5739508"   "5840026"   "5885216"   "6157036"   "6442418"   "6626862"   "6767319"   "6870175"   "6901283"   "6908598"   "7163031"   "7169135"   "7204797"   "7256888"   "7413123"   "7476377"   "7504646").PN. OR ("7862534").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 16:46
S105	21	("20030073854"   "2845136"   "3164980"   "3935884"   "3969243"   "4175037"   "4193867"   "4379855"   "4400358"   "4406877"   "4562829"   "4585009"   "4585941"   "5545319"   "5693223"   "6157036"   "6197174"   "6908598"   "7476377").PN. OR ("8071959").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 16:48
S106	21	("20010009994"   "20040154690"   "3935883"   "4296785"   "5039863"   "5329976"   "5479969"   "5831271"   "5911252"   "7017623").PN. OR ("7163031").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 16:49
S107	48	quirico.inv.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 17:23
S108	13	S107 and light	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/17 17:24
S109	51	("3528407"   "3827427"   "4091287").PN. OR ("4585941").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:12
S110	49	("20030004463"   "20050278066"   "20060015056"   "20070140958"   "20070213848"   "20070232980"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080242915"   "20090312635"   "3710118"   "3774036"   "3997784"   "4286169"   "4562829"   "4585009"   "4585941"   "4625118"   "4679142"	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:12

EAST	Search	History
11101	Seuren	ribcory

/						
		"4755679"   "4853546"   "5039863"   "5258906"   "5274239"   "5475232"   "5485831"   "5590648"   "5739508"   "5840026"   "5885216"   "6157036"   "6442418"   "6626862"   "6767319"   "6870175"   "6901283"   "6908598"   "7163031"   "7169135"   "7204797"   "7256888"   "7413123"   "7476377"   "7504646").PN. OR ("7862534").URPN.				
S111	21	("20030073854"   "2845136"   "3164980"   "3935884"   "3969243"   "4175037"   "4193867"   "4379855"   "4400358"   "4406877"   "4562829"   "4585009"   "4585941"   "5545319"   "5693223"   "6157036"   "6197174"   "6908598"   "7476377").PN. OR ("8071959").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:12
S112	21	("20010009994"   "20040154690"   "3935883"   "4296785"   "5039863"   "5329976"   "5479969"   "5831271"   "5911252"   "7017623").PN. OR ("7163031").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:12
S113	126	S109 or S110 or S111 or S112	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:12
S114	2	S113 and (breakthrough or break adj through) same (shield\$3 with (vial or tube or container))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:13
S115	12	S113 and (breakthrough or break adj through)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:14
S116	118	dosimetry and light	DERWENT	OR	ON	2012/07/18 08:48
S117	49	radiopharmaceutical and (injusion or injection or administration) and light	DERWENT	OR	ON	2012/07/18 08:49
S119	67	S113 and (sensor or leak)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 08:59
S120	24	S113 and (sensor and leak)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 09:00
S121	5869	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 09:01
S122	4729	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 09:01
S123	10528	S121 or S122	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 09:01
	1					

S124	10528	S123	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 09:01
S125	170	S124 and (sensor same leak)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/18 09:01
S126	1	"20090312630".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/19 11:17
S127	1	"7813841".pn.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:01
S128	13	("4585009"   "4585941"   "4975583"   "6049026"   "6641783"   "6713765"   "6731971"   "6733477"   "6733478"   "6901283"   "6928338"   "7169135"   "7174240").PN. OR ("7813841").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:02
S129	51	("3528407"   "3827427"   "4091287").PN. OR ("4585941").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:03
S130	5887	600/1-8,301,431.ccls.	31.ccls. BGPUB; USPAT; USOCR			
S131	4741	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:05
S132	10558	S130 or S131	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:05
S133	347	S132 and (shield\$2 with (vial or tube or container))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:06
S134	8	S133 and (breakthrough)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:06
S135	109	S133 and (calibrat\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:08
S136	257	S132 and (transfer\$4 with analysis)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:55
S137	33	S132 and (transfer\$4 same analysis same activity)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 08:55
S138	66	S132 and (transfer\$4 same analysis	US-	OR	ON	2012/07/26

		same level)				08:56
S139	782	S132 and ((control or regulate) with (speed or rate) with pump)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:18
S140	265	S139 and profile	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:19
S141	99	("3685697"   "3809871"   "3901231"   "3908652"   "3985133"   "4085747"   "4155362"   "4187057"   "4221543"   "4256437"   "4276004"   "4278085"   "443218"   "4457751"   "4493706"   "4519792"   "4529401"   "4537561"   "4617014"   "4653987"   "4657490"   "4664430"   "4668220"   "4685903"   "4689043"   "4690673"   "4692145"   "4714462"   "4725205"   "4728265"   "4731051"   "4741736"   "4744786"   "4749109"   "4752289"   "4756706"   "4758228"   "4771694"   "4781548"   "4785799"   "4808167"   "4838860"   "4840542"   "4856339"   "4890984"   "4898578"   "4898579"   "4919650"   "4936760"   "4950136"   "4978335"   "5006050"   "5013303"   "5018945"   "5034004"   "5061242"   "5074756"   "5213483").PN. OR ("5395320").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:27
S142	496	("0685385"   "1893776"   "20010031944"   "20010034502"   "2002004645"   "20020128606"   "20020165491"   "20030009133"   "20030060754"   "200300073954"   "20030065287"   "20030073954"   "20030078534"   "20030097092"   "20030149402"   "20100106082"   "2757554"   "3606596"   "3756752"   "3771694"   "3809191"   "3809871"   "3998103"   "4038983"   "4065230"   "4078562"   "4151407"   "4187057"   "419307"   "4237409"   "4256437"   "4273121"   "4276004"   "4277226"   "4308866"   "4320757"   "4332246"   "4369780"   "4373525"   "4392849"   "4398908"   "4416595"   "4428381"   "4430078"   "4447234"   "4451255"   "447233"   "4447234"   "4451255"   "4472116"   "4487604"   "4493710"   "4496351"   "4498843"   "4504200"   "4511352"   "4519792"   "4529401"   "4559038"   "4561830"   "4561856"   "4562751"   "4596550"   "4601702"   "4602249"   "4624661"   "4637817"   "4639245"   "4646781"   "4648812"	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:29

:1				. 31 31	51	
	"4650469"	"4652262"	"4653987"			
	"4657490"	"4666430"	4668220			
	"4676776"	"4678408"	"4681563"			
	"4685903"	"4690673"	"4696671"			
	"4714460"	"4719576"	"1710002"			
	47 14402	4710070	4710093			
	4722149	4722224	4722734			
	"4725205"	"4731058"	"4741732"			
	"4741736"	"4744786"	"4754401"			
	"4756706"	"4758228"	"4759527"			
	"4772263"	"4781548"	"4804368"			
	"4810242"	"4824704"	"A836752"			
	4010243	4004704				
	4838857	4838860	4840542			
	4846637"	"4854324"	"4856339"			
	"4882575"	"4886431")	.PN. OR			
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	4908017"	"4911168"	4919650			
	"4923375"	"4931041"	"4936760"			
	"10/10/00"	"4042270"				
	4941000	4943279	4954040			
	4960230	4976151	4978335			
	5000739"	"5006050"	"5011378"			
	"5017192"	"5018945"	"5034004"			
	"5041086"	"5044900"	"5049047"			
	"5053031"	"5055001"	"5057081"			
	"5061243"	"5078362"	5078683"			
	5001245	"E000004"	"E0000EC"			
	0000000	5066904	0096256			
	5098377	"5100380"	5100389			
	"5102392"	"5104374"	"5116203"			
	"5120096"	"5123275"	"5131816"			
	"5135500"	"5139390"	"5153827"			
	"5160320"	"5165874"	5167633			
	"5176004"	"5176644"	5181842			
	5170004	"5170044	"E00704E"			
	5181910	5197322	5207645			
	"5213483"	"5217442"	"5219327"			
	"5219330"	"5219331"	"5219428"			
	"5232449"	"5236416"	"5238001"			
	"5242407"	"5242408"	"5244461"			
	"5244463"	"5254096"	"5256157"			
	"5257071"	"5061004"	"5065401"			
	0207971	U201004	0200431			
	5276610	5279556				
	5290239"	"5295966"	5295967"			
	"5298021"	"5308333"	"5308335"			
	"5317506"	"5321392"	"5326236"			
	"5328460"	"5330431"	"5336245"			
	"5338157"	"5341291"	"5343734"			
	"5248520"	"5256270"	5266004"			
	5070610"	"5070600"	0000004			
	5570012	5370022	0374201			
	5374965	5376070	5378231			
	5382236"	"5387088"	"5389078"			
	"5395320"	"5395324"	"5395340"			
	"5397222"	"5411482"	5415532			
	"5419684"	"5423746"	"5423759"			
	5429602	"5431627"	"5433704"			
	"E400710"	"5407605"				
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	5458469"	5458578	0462051			
	5462525"	"5466218"	54/2317"			
	"5472420"	"5478211")	.PN. OR			
	("5482446"	"5485408"	"5489265"			
	5501665	"5503538"	"5505709"			
	"5507412"	"5511951"	"5513957"			
	"5514102"	"5520628"	"5522708"			
	"EE00700"	"5520000	"EE0001 4"			
	0022/99	002/00/	0029214			
	0801680	5531697	5533981"			
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	"5551850"	"5554115"	"5554123"			

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S143	21	S139 and (profile with activity)	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:31
S144	12	S139 and (profile with (baseline or base))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:33
S145	5	S132 and (activity with generator with (left or remain\$3 or after))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:39
S146	663	S132 and (activity with (left or remain\$3 or after))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:39
S147	11	S132 and (activity with (generator or calibrator) with (left or remain\$3 or after))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 09:40
S148	46	S132 and (waste with (empty\$3 or emptied))	US- PGPUB; USPAT; USOCR	OR	ON	2012/07/26 11:29
S149	65	(US-20090318745-\$ or US- 20080242915-\$ or US-20080177126-\$ or US-20080166292-\$ or US- 20060151048-\$ or US-20070140958-\$ or US-20070213848-\$ or US- 20090312630-\$ or US-20110172524-\$ or US-20100312039-\$ or US- 20090312635-\$ or US-20080071219-\$ or US-20090131862-\$ or US- 20050277833-\$ or US-20050085682-\$ or US-20040092787-\$ or US- 20090292156-\$ or US-20030139640-\$ or US-20090309465-\$ or US- 20080093564-\$ or US-20070282263-\$ or US-20090299277-\$ or US- 20050187515-\$ or US-20110028775-\$ or US-20100286512-\$ or US- 20050203329-\$).did. or (US- 20050203329-\$).did. or (US- 20080097170-\$ or US-20070287895- \$).did. or (US-7612999-\$ or US- 7204797-\$ or US-7169135-\$ or US- 7163031-\$ or US-6870175-\$ or US- 6157036-\$ or US-4385941-\$ or US- 4562829-\$ or US-4336036-\$ or US- 4096859-\$ or US-3714429-\$ or US- 3774036-\$ or US-3714429-\$ or US-	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/27 16:10

		3710118-\$ or US-7862534-\$ or US- 6767319-\$ or US-6626862-\$ or US- 4585009-\$ or US-6659934-\$ or US- 7838844-\$ or US-5317506-\$ or US- 8137083-\$ or US-8105269-\$ or US- 8071959-\$ or US-7925330-\$ or US- 7813841-\$).did. or (US-7734331-\$ or US-5840026-\$ or US-4625118-\$ or US-7700926-\$ or US-4406877-\$ or US-5395320-\$ or US-6928338-\$ or US-6731971-\$ or US-6901283-\$ or US-6168563-\$).did. or (US-3997784- \$) did				
S150	31	S149 and (patient with (identification or identify or identifying or information))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/27 16:11
S151	33	S149 and light	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/27 16:26
S152	16	S149 and (light with (signal or project\$3))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/27 16:26
S153	79	("3528407"   "3827427"   "4006736"   "4091287").PN. OR ("4585009"   "4585941").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/27 16:28
S154	10	S153 and (light with (signal or project\$3))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/27 16:28
S155	6222	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:11
S156	4881	604/236,65-67,48,218.ccls.	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:11
S157	11029	S155 or S156	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:11
S158	124	S157 and (light near3 project\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:11
S159	7	S157 and ((light near3 project\$3) with pump)	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:11
S160	3	S157 and ((light near3 project\$3) with activat\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:12
S161	1947	((light near3 project\$3) with (pump or activat\$3))	US- PGPUB; USPAT;	OR	ON	2012/11/28 10:15

			USOCR	<u> </u>		
S162	17566	((light near3 (signal or project\$3)) with (pump or activat\$3 or peak))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:21
S163	4410	((light near3 (signal or project\$3)) with (peak))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:21
S164	803	((light near3 (signal or project\$3)) with ((detect\$3) near3 peak))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:21
S165	50	("20030004463"   "20050278066"   "20060015056"   "20070140958"   "20070213848"   "20070232980"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080242915"   "20090312635"   "3710118"   "3774036"   "3997784"   "4286169"   "4562829"   "4585009"   "4585941"   "4625118"   "4679142"   "4755679"   "4853546"   "5039863"   "5258906"   "5274239"   "5475232"   "5485831"   "5590648"   "5739508"   "5840026"   "5885216"   "6157036"   "6442418"   "6626862"   "6767319"   "6870175"   "6901283"   "6908598"   "7163031"   "7169135"   "7204797"   "7504646").PN. OR ("7862534").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:27
S166	24	S165 and light	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:28
S167	9	((light near3 (signal or project\$3)) with (detect\$3 near3 peak near3 radioactivity))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:46
S168	37	((light near3 (signal or project\$3)) with (detect\$3 near3 radioactivity))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 10:48
S169	37	(((light or LED) near3 (signal or project\$3)) with (detect\$3 near3 radioactivity))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:33
S170	1421	((light or LED) near3 (signal or project\$3)) with (detect\$3 near3 (peak or maximum or largest or greatest or highest))	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:35
S171	42	S170 and radioactiv\$2	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:35
S172	822	((light or LED) near3 (signal or project\$3)) with (detect\$3 near3 threshold)	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:39

S173	9	S172 and radioactiv\$2	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:39
S174	154	(light near3 project\$3) same (signal with detect\$3 with peak)	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:41
S175	1	("20050277833").PN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:58
S176	1	("6450936").PN.	US- PGPUB; USPAT; USOCR	OR	ON	2012/11/28 12:58
S177	68	(US-20090318745-\$ or US- 20080242915-\$ or US-20080177126-\$ or US-20080166292-\$ or US- 20060151048-\$ or US-20070140958-\$ or US-20070213848-\$ or US- 20090312630-\$ or US-20110172524-\$ or US-20100312039-\$ or US- 20090312635-\$ or US-20080071219-\$ or US-20090131862-\$ or US- 20050277833-\$ or US-20050085682-\$ or US-20040092787-\$ or US- 20090292156-\$ or US-20030139640-\$ or US-20090309465-\$ or US- 20080093564-\$ or US-20070282263-\$ or US-20090299277-\$ or US- 20050187515-\$ or US-20110028775-\$ or US-20100286512-\$ or US- 20050203329-\$).did. or (US- 20050203329-\$).did. or (US- 20080097170-\$ or US-20070287895-\$ or US-20060015056-\$).did. or (US- 7612999-\$ or US-7204797-\$ or US- 7169135-\$ or US-7163031-\$ or US- 8870175-\$ or US-6157036-\$ or US- 4386036-\$ or US-4096859-\$ or US- 3997784-\$ or US-3774036-\$ or US- 839036-\$ or US-4096859-\$ or US- 83903784-\$ or US-7838844-\$ or US- 8665934-\$ or US-7838844-\$ or US- 8662534-\$ or US-7838844-\$ or US- 86659934-\$ or US-7838844-\$ or US- 8105269-\$ or US-7838844-\$ or US- 925330-\$ or US-7813841-\$).did. or (US-7734331-\$ or US-7700926-\$ or US-4406877-\$ or US-676731971-\$ or US-6901283-\$ or US-6731971-\$ or US-7996068-\$ or US-77141795-\$).did.	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/13 15:24
S178	6852	600/1-8,301,431.ccls.	US- PGPUB; USPAT; USOCB	OR	ON	2013/08/13 15:24
S179	5165	604/236,65-67,48,218.ccls.	US- PGPUB;	OR	ON	2013/08/13 15:24

			USPAT; USOCR			
S180	11938	S178 or S179	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/13 15:24
S181	15	S180 and ((indicator or indication) with lapse)	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/13 15:25
S182	1106	S180 and ((indicator or indication) with time)	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/13 15:26
S183	210	S180 and (((indicator or indication) with time) same (elution or generat\$3))	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/13 15:26
S184	8	S177 and (((indicator or indication) with time) same (elution or generat\$3))	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/13 15:26
S185	8	S180 and (((indicator or indication) with time) same elut\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/13 15:27
S186	47	(US-20090312630-\$ or US- 20110172524-\$ or US-20090309465-\$ or US-20090318745-\$ or US- 20100312039-\$ or US-20110071392- \$).did. or (US-4658017-\$ or US- 5346988-\$ or US-6261606-\$ or US- 6502448-\$ or US-3774036-\$ or US- 5109160-\$ or US-7862534-\$ or US- 317674-\$ or US-5362641-\$ or US- 3576998-\$ or US-3655981-\$ or US- 3576998-\$ or US-3972918-\$ or US- 3979287-\$ or US-3972918-\$ or US- 3979287-\$ or US-3998627-\$ or US- 4018677-\$ or US-4020351-\$ or US- 4028355-\$ or US-4020351-\$ or US- 4028355-\$ or US-4279869-\$ or US- 4280985-\$ or US-4287159-\$ or US- 4296785-\$).did. or (US-4298578-\$ or US-4330507-\$ or US-4403039-\$ or US-4423008-\$ or US-4427639-\$ or US-4423008-\$ or US-4427639-\$ or US-442367-\$ or US-4465622-\$ or US-4612367-\$ or US-4677192-\$ or US-4612367-\$ or US-4710474-\$ or US-4727034-\$ or US-4729380-\$).did.	US- PGPUB; USPAT	OR	ON	2013/08/14 09:57
S187	77	("20030004463"   "20030139640"   "20040104160"   "20050187515"   "20050277833"   "20050278066"   "20060015056"   "20060151048"   "20070140958"   "20070213848"   "20070232980"   "20070282263"   "20080071219"   "20080093564"   "20080166292"   "20080177126"   "20080242915"   "20090309466"   "20090312630"   "20090312635"	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/14 09:58

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S188	118	S186 or S187	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/14 10:11
S189	16	S188 and (elution with indicat\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/14 10:12
S190	18	S188 and (computer with (program or programmed or execute))	US- PGPUB; USPAT; USOCR	OR	ON	2013/08/14 10:13
S191	16	(elution with (lapse or indicator or indication)) and radiopharmaceutical	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 12:59
S192	19	("6157036").URPN.	USPAT	OR	ON	2013/09/16 12:59
S193	31	("3655981"   "4296785"   "4387303"   "4783305"   "4833329"   "4853546"   "5039863"   "5109160"   "5186913"   "5382388"   "5580541"   "5729821").PN. OR ("6157036").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:00
S194	2	S193 and (elution with (lapse or indicator or indication))	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:00
S195	6	(generat\$3 with (isotope or radioisotope)) same (elution with (lapse or indicator or indication))	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:05
S196	1	(12/441919). <b>A</b> PP.	US- PGPUB; USOCR	OR	ON	2013/09/16 13:08
S197	6781	(generat\$3 with (isotope or radioisotope))	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:18

S198	3	(generat\$3 with (isotope or radioisotope)) same elution same computer	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:18
S199	256	(generat\$3 with (isotope or radioisotope)) same computer	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:19
S200	1	(generat\$3 with (isotope or radioisotope)) same (elution with count\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:20
S201	299	(generat\$3 with (isotope or radioisotope)) same (count\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:20
S202	0	(generat\$3 with (isotope or radioisotope)) same (elution with categoriz\$3)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:45
S203	1	(generat\$3 with (isotope or radioisotope)) same (elution with sample with dose)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:45
S204	15	(generat\$3 with (isotope or radioisotope)) and (elution with sample with dose)	US- PGPUB; USPAT; USOCR	OR	ON	2013/09/16 13:45

#### 9/17/2013 10:30:48 AM

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	12808467	HIDEM ET AL.
	Examiner	Art Unit
	EILEEN FOLEY	3735

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED					
Symbol	Date	Examiner			

### **US CLASSIFICATION SEARCHED**

Class	Subclass	Date	Examiner
600	1-8, 301, 431	7/9/12-7/26/12	EF
604	48, 65-67, 218, 236, 526	7/9/12-7/26/12	EF

SEARCH NOTES		
Search Notes	Date	Examiner
Limited class/subclass searches with test	7/9/12-7/26/12	EF
Text searching in EAST (see Search History)	7/9/12-7/26/12	EF
Inventor name search in EAST	7/9/12-7/26/12	EF
Assignee name search in EAST	7/9/12-7/26/12	EF
Forward/backward searches of relevant art in EAST	7/9/12-7/26/12	EF
Updated class/subclass searches	11/27/12-	EF
	11/28/12	
Additional text searching and forward/backward searches in EAST	11/27/12-	EF
	11/28/12	
Updated class/subclass searches and additional text searching in EAST	8/13-9/17/13	EF

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

/E.F./ Examiner.Art Unit 3735	

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Index of Claims					A 1: E E	pplication/ 2808467 xaminer ILEEN FOL	trol N	lo.	Applia Reexa HIDEN Art UI 3735	Applicant(s)/Patent Under Reexamination HIDEM ET AL. Art Unit 3735				
	Re	ejected		-	Ca	ncelled		N	Non-E	lected		Α	Арр	peal
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	CLA	IM							DATE					
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		3	✓			✓								
		4			√ 	✓ 								
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U.S. Patent and Trademark Office

					A	Application/Control No.					Applicant(s)/Patent Under Reexamination				
Index of Claims					12	2808467				HIDEN	HIDEM ET AL.				
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		38	~		~	✓									
		39	~		~	✓									
		40	~		~	✓									
		41	✓		$\checkmark$	✓									
		42	✓		$\checkmark$	✓									
		43	~		$\checkmark$	✓									
		44	~		$\checkmark$	✓									
		45	✓		~	✓									
		46	✓		~	✓									
		47	✓		~	✓									
		48	✓		~	✓									
		49	N		N	N									
		50	N		N	N									

Doc description: Information Disclosure Statement (IDS) Filed

# 12808467 - GAL: 37,35 Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467
Filing Date		2010-06-16
First Named Inventor	Steph	en E. Hidem
Art Unit		3735
Examiner Name	Eileer	Dorothy Foley
Attorney Docket Numb	er	56782.1.7.2

		Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5254328		1993-10-19	Herscheid	
	2	6267717		2001-07-31	Stoll	
	3	6454460		2002-09-24	Ramanathan	
	4	7286867		2007-10-23	Schlyer	
	5	7522952		2009-04-21	Krieg	
	6	7586102		2009-09-08	Mourtada	
	7	7605384		2009-10-20	Sonnenhol	
	8	7608831		2009-10-27	Lamb	

EFS Web 2.1.17

Receipt date: 08/26/2013

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467	12808467 - GAU: 3735
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Art Unit	-	3735	
Examiner Name	Eileer	n Dorothy Foley	
Attorney Docket Numb	er	56782.1.7.2	

9	7712491	2010-05-11	Tochon-Danguy	
10	7734331	2010-06-08	Dhawale	
11	7737415	2010-06-15	Casale	
12	7780352	2010-08-24	Fox	
13	7825372	2010-11-02	Allberg	
14	8198599	2012-06-12	Bouton	
15	8431909	2013-04-30	Horton	
16	8439815	2013-05-14	Lemer	
17	8442803	2013-05-14	Chen	
18	7091494	2006-08-15	Weisner	
19	3991960	1976-11-16	Tanaka	

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /E.F./

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Art Unit	-	3735	
Examiner Name	Eileer	Dorothy Foley	
Attorney Docket Numb	er	56782.1.7.2	

	20	4212303		1980-07	<b>'-1</b> 5	Nolan				
	21	4656697		1987-04	-04-14 Naeslund					
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	I		U.S.P	ATENT	APPLIC	CATION PUBI			Remove	
Examiner Initial*	Cite N	o Publication Number	Kind Code <sup>1</sup>	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant ment	Page Relev Figure	s,Columns,Lines where /ant Passages or Relev es Appear	e vant
	1	20080237502		2008-10	)-02	Fago				
	2	20120098671		2012-04	2012-04-26 Wieczorek					
	3	20120312980		2012-12	2-13	Whitehouse				
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				FOREIC	GN PAT	ENT DOCUM	ENTS		Remove	
Examiner Initial*	Cite I No I	Foreign Document Number <sup>3</sup>	Country Code² j		Kind Code⁴	Publication Date	Name of Patentee Applicant of cited Document	e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T⁵
	1 :	2008066586	WO	0 A2		2008-06-05	MALLINCKRODT II	vС		
	2	2011126522	WO	A2		2011-10-13	MEDI PHYSICS IN	C		
If you wis	h to ado	l additional Foreign Pa	atent Do	cument	citation	information pl	ease click the Add	buttor	n Add	
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Receipt date: 08/26/2013

Application Number		12808467	12808467 - GAU: 3735
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Art Unit		3735	
Examiner Name	Eileer	Dorothy Foley	
Attorney Docket Numb	er	56782.1.7.2	

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.				T⁵
	1	Machine translation of abstract of RU2307378 published 2007-09-27 (Oao Sojuztsvetmetavtomatika).				
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Examiner Signa		ture /Eileen Foley/		Date Considered	09/16/2013	
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<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.						
12808467 - GAU: 3735 Receipt date: 08/26/2013 Application Number 12808467 Filing Date 2010-06-16 INFORMATION DISCLOSURE First Named Inventor Stephen E. Hidem STATEMENT BY APPLICANT 3735 Art Unit (Not for submission under 37 CFR 1.99) Examiner Name Eileen Dorothy Foley Attorney Docket Number 56782.1.7.2

# **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

# OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

**X** The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

# SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2013-08-26
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor	Steph	en E. Hidem		
Art Unit		3735		
Examiner Name	Eileer	Dorothy Foley		
Attorney Docket Numb	er	56782.1.7.2		

		Remove				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5254328		1993-10-19	Herscheid	
	2	6267717		2001-07-31	Stoll	
	3	6454460		2002-09-24	Ramanathan	
	4	7286867		2007-10-23	Schlyer	
	5	7522952		2009-04-21	Krieg	
	6	7586102		2009-09-08	Mourtada	
	7	7605384		2009-10-20	Sonnenhol	
	8	7608831		2009-10-27	Lamb	

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT 3)

(Not for submission	under 3	37 (	CFR	1.99
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Application Number		12808467		
Filing Date		2010-06-16		
First Named Inventor	Steph	en E. Hidem		
Art Unit		3735		
Examiner Name Eileer		n Dorothy Foley		
Attorney Docket Numb	er	56782.1.7.2		

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10	7734331	2010-06-08	Dhawale	
11	7737415	2010-06-15	Casale	
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15	8431909	2013-04-30	Horton	
16	8439815	2013-05-14	Lemer	
17	8442803	2013-05-14	Chen	
18	7091494	2006-08-15	Weisner	
19	3991960	1976-11-16	Tanaka	

EFS Web 2.1.17

# **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT I)

Application Number		12808467			
Filing Date		2010-06-16			
First Named Inventor	Steph	en E. Hidem			
Art Unit		3735			
Examiner Name Eileer		n Dorothy Foley			
Attorney Docket Numb	er	56782.1.7.2			

	20	4212303		1980-07-15		Nolan				
	21	4656697		1987-04-14		Naeslund	Naeslund			
If you wis	h to ado	I additional U.S. Pater	it citatio	n inform	ation pl	ease click the	Add button.		Add	
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Examiner Initial*	Cite N	o Publication Number	Kind Publication Code <sup>1</sup> Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		ant	
	1	20080237502		2008-10-02		Fago				
	2	20120098671		2012-04-26		Wieczorek				
	3	20120312980		2012-12-13		Whitehouse				
If you wis	h to ado	additional U.S. Publis	shed Ap	plication	n citation	n information p	please click the Add	d butto	on. Add	
				FOREIC	GN PAT	ENT DOCUM	ENTS		Remove	
Examiner Initial*	Cite I No I	Foreign Document Country Number <sup>3</sup> Code <sup>2</sup> j		/ i	Kind Code⁴	Publication Date Name of Patente Applicant of cited Document		e or	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T⁵
	1	2008066586	WO		A2	2008-06-05	MALLINCKRODT INC			
	2 2	2011126522	wo	A2		2011-10-13	MEDI PHYSICS IN	С		
If you wis	h to ado	l additional Foreign Pa	atent Do	cument	citation	information pl	lease click the Add	buttor	n Add	
	NON-PATENT LITERATURE DOCUMENTS Remove									

# INFORMATION DISCLOSURE Application Number 12808467 Filing Date 2010-06-16 First Named Inventor Stephen E. Hidem Art Unit 3735 Examiner Name Eileen Dorothy Foley Attorney Docket Number 56782.1.7.2

Examiner Initials*	Cite No	Inclu (boo publi	Ide name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item k, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), isher, city and/or country where published.					
	1 Machine translation of abstract of RU2307378 published 2007-09-27 (Oao Sojuztsvetmetavtomatika).							
If you wis	h to ac	dd add	ditional non-patent literature document citation information please click the Add I	button Add				
			EXAMINER SIGNATURE					
Examiner	Signa	ture	Date Considered					
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<sup>1</sup> See Kind C Standard ST <sup>4</sup> Kind of doo English lang	Codes o F.3). <sup>-3</sup> F cument juage tra	of USPT For Japa by the a anslatic	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the docume anese patent documents, the indication of the year of the reign of the Emperor must precede the se appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Appli- on is attached.	ent, by the two-letter code (W rial number of the patent doc cant is to place a check mark	IPO ument. ( here if			

	Application Number		12808467	
	Filing Date		2010-06-16	
INFORMATION DISCLOSURE	First Named Inventor	Steph	ien E. Hidem	
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit		3735	
	Examiner Name Eileer		en Dorothy Foley	
	Attorney Docket Numb	er	56782.1.7.2	

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# OR

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See attached certification statement.

**X** The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

# SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Paul J. LaVanway, Jr./	Date (YYYY-MM-DD)	2013-08-26
Name/Print	Paul J. LaVanway, Jr.	Registration Number	64610

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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Electronic Patent Application Fee Transmittal						
Application Number:	12	12808467				
Filing Date:	16	16-Jun-2010				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE					
First Named Inventor/Applicant Name:	Stephen E. Hidem					
Filer:	Paul J. LaVanway Jr.					
Attorney Docket Number:	56	782.1.7.2				
Filed as Large Entity						
U.S. National Stage under 35 USC 371 Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Miscellaneous:					
Submission- Information Disclosure Stmt	1806	1	180	180	
	Tot	180			

Electronic Acknowledgement Receipt					
EFS ID:	16669434				
Application Number:	12808467				
International Application Number:					
Confirmation Number:	3733				
Title of Invention:	INFUSION SYSTEMS INCLUDING COMPUTER-FACILITATED MAINTENANCE AND/OR OPERATION AND METHODS OF USE				
First Named Inventor/Applicant Name:	Stephen E. Hidem				
Customer Number:	22859				
Filer:	Paul J. LaVanway Jr.				
Filer Authorized By:					
Attorney Docket Number:	56782.1.7.2				
Receipt Date:	26-AUG-2013				
Filing Date:	16-JUN-2010				
Time Stamp:	11:53:46				
Application Type:	U.S. National Stage under 35 USC 371				

# Payment information:

Submitted wi	th Payment	yes					
Payment Type	e	Credit Card					
Payment was successfully received in RAM		\$180	\$180				
RAM confirmation Number		10288	10288				
Deposit Acco	unt						
Authorized U	ser						
File Listing:							
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		

1	Foreign Reference	WO2008066586A2.pdf	1272548	no	30	
			8680ae5b7584c393f429ab1b8ea740c0989 4f890			
Warnings:						
Information:			1	1	1	
2	Foreign Reference	WO2011126522A2.pdf	3863770	no	60	
			03b32ea73aaeb819a89b2b2e2811c298554 f32c5	+		
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(54) Title: SYSTEM AND METHOD FOR CONTROLLING ELUTION FROM A RADIOISOTOPE GENERATOR WITH ELECTRONIC PINCH VALVES

(57) Abstract: Embodiments of the present invention relate to a system and method for controlling an elution process with at least one electronic pinch valve. Specifically, embodiments of the present invention include supplying eluent to a radioisotope generator of a radioisotope elution system, and controlling elution of the radioisotope generator with at least one electronic pinch valve disposed on at least one flow line of the radioisotope elution system, wherein the electronic pinch valve is configured to either block flow through the at least one flow line or enable flow through the at least one flow line based on a state of the electronic pinch valve.

# SYSTEM AND METHOD FOR CONTROLLING ELUTION FROM A RADIOISOTOPE GENERATOR WITH ELECTRONIC PINCH VALVES

[0001] This application claims the benefit of U.S. Provisional Application No. 60/818,808, filed July 6, 2006.

# FIELD OF THE INVENTION

**[0002]** The present invention relates generally to the field of nuclear medicine. Specifically, embodiments of the invention relate to a system and method for starting and stopping elution of radioisotopes from a radioisotope generator with electronic pinch valves.

# BACKGROUND

**[0003]** This section is intended to introduce the reader to various aspects of art that may be related to various aspects of the present invention, which are described and/or claimed below. This discussion is believed to be helpful in providing the reader with background information to facilitate a better understanding of the various aspects of the present invention. Accordingly, it should be understood that these statements are to be read in this light, and not as admissions of prior art.

**[0004]** Nuclear medicine is a branch of health science that utilizes radioactive material for diagnostic and therapeutic purposes by injecting a patient with a small dose of the radioactive material, which concentrates in certain organs or biological regions of the patient. Radioactive materials typically used for nuclear medicine include Technetium-99m, Indium-113m, and Strontium-87m among others. Some radioactive materials naturally concentrate toward a particular tissue; for example, iodine concentrates toward the thyroid. However, radioactive materials are often combined with a tagging or organ-seeking agent, which targets the radioactive material for a desired organ or biologic region of the patient. These radioactive materials alone or in combination with a tagging agent are typically defined as radiopharmaceuticals in the field of nuclear medicine. At relatively lower doses of the radiopharmaceutical, a radiation imaging system (e.g., a gamma camera) can provide an image of the organ or biological region that collects the radiopharmaceutical. Irregularities in the image are often indicative of a pathologic condition, such as cancer. Higher doses of the radiopharmaceutical may be used to deliver a therapeutic dose of radiation directly to the pathologic tissue, such as cancer cells.

[0005] The production of radiopharmaceuticals inherently involves radioactive material. Accordingly, it is desirable for clinicians and other individuals that work around

radioisotope elution systems to limit their exposure to the elution process and its products. Indeed, many elution systems and related devices (e.g., transportation and dispensing mechanisms) include shielding that limits the exposure of users to radiation from the elution system and its products. However, even when shielding is present, it may be desirable to further limit exposure generally involved with engaging or disengaging flow controls in the radioisotope elution system. In addition, existing systems can expose the flow controls and other mechanisms to radiation, an eluent, or other materials involved with an elution process or subsequent cleaning. These materials can adversely affect the life and operability of the flow controls.

# SUMMARY

**[0006]** The present invention, in certain embodiments, is directed to a radioisotope elution system including electronic pinch valves disposed along flow lines of the radioisotope elution system. One or more electronic pinch valves may be positioned along the flow lines such that opening and closing the electronic pinch valves in defined combinations can stop and/or start an elution process. The electronic pinch valves may be arranged or configured to reduce the possibility of exposure of a user or operator to radiation from the elution system. For example, by preventing flow or controlling suction in components of the elution system, the electronic pinch valves may prevent or reduce the potential for spilling radioactive fluid when retrieving collected eluate from the elution system. Additionally, the electronic pinch valves may be configured to avoid direct contamination of the valves themselves by operating to squeeze flow lines (e.g., tubing) together when closed and release the flow lines when open, thus avoiding direct contact between the valves and radioactive material and/or corrosive material in the flow lines.

[0007] Certain aspects commensurate in scope with the originally claimed invention are set forth below. It should be understood that these aspects are presented merely to provide the reader with a brief summary of certain forms the invention might take and that these aspects are not intended to limit the scope of the invention. Indeed, the invention may encompass a variety of aspects that may not be set forth below.

**[0008]** In accordance with a first aspect of the present invention, there is provided a radioisotope elution system, comprising a flexible radioisotope elution line, and an electronic pinch valve disposed externally about the flexible radioisotope elution line, wherein the electronic pinch valve includes a remote electronic control connector.

[0009] In accordance with a second aspect of the present invention, there is provided a radioisotope elution system, comprising a radioisotope generator, an elution line coupled to

the radioisotope generator, wherein the elution line comprises a resilient circumferential wall disposed about a passage; and an electronic pinch valve disposed externally about the resilient circumferential wall.

**[0010]** In accordance with a third aspect of the present invention, there is provided a method, comprising electronically manipulating a state of at least one electronic pinch valve disposed externally about at least one resilient flow line of a radioisotope elution system between constricting and not constricting the at least one resilient flow line to control elution of a radioisotope generator.

**[0011]** Various refinements exist of the features noted above in relation to the various aspects of the present invention. Further features may also be incorporated in these various aspects as well. These refinements and additional features may exist individually or in any combination. For instance, various features discussed below in relation to one or more of the illustrated embodiments may be incorporated into any of the above-described aspects of the present invention alone or in any combination. Again, the brief summary presented above is intended only to familiarize the reader with certain aspects and contexts of the present invention without limitation to the claimed subject matter.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

[0012] These and other features, aspects, and advantages of the present invention will become better understood when the following detailed description is read with reference to the accompanying drawings in which like characters represent like parts throughout the drawings, wherein:

[0013] FIG. 1 is a cross-sectional view of an embodiment of a radioisotope elution system including electronic pinch valves;

[0014] FIGS. 2-6 are diagrams of various embodiments of a radioisotope elution systems including electronic pinch valves;

[0015] FIG. 7 is a flowchart illustrating an embodiment of a nuclear medicine process;

[0016] FIG. 8 is a diagram of an embodiment of a radiopharmaceutical preparation system; and

[0017] FIG. 9 is a diagram of an embodiment of a nuclear medicine imaging system.

# DETAILED DESCRIPTION OF SPECIFIC EMBODIMENTS

**[0018]** One or more exemplary embodiments of the present invention are described below. In an effort to provide a concise description of these embodiments, some features of an actual implementation may not be described in the specification. It should be appreciated that in the development of any such actual implementation, as in any engineering or design project, numerous implementation-specific decisions may be made to achieve the developers'

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specific goals, such as compliance with system-related and business-related constraints, which may vary from one implementation to another. Such a development effort would be a routine undertaking of design, fabrication, and manufacture for those of ordinary skill having the benefit of this disclosure.

**[0019]** FIG. 1 is a cross-sectional side view of an embodiment of a radioisotope elution system 10 including a pair of electronic pinch valves 22,24 disposed on flow lines 26. It should be noted that a line may include a single line or a system of lines. The illustrated elution system 10 also may include a radioisotope generator 12, radiation shielding 14, an elution output assembly 16, an eluent supply bottle 18, and an eluate collection bottle 20. The elution output assembly 16 may include an elution shield 16A disposed about the eluate collection bottle 20. Each of the electronic pinch valves 22, 24 is coupled to a flow line 26 (e.g., resilient tubing) of the elution system 10 to facilitate automatic and/or remote control of an elution process being performed by the elution system 10. One or both of the electronic pinch valves 22, 24 may be disposed at least partially within the radioisotope generator 12.

**[0020]** In certain embodiments, the flow line 26 may include one or more lengths of resilient tubing in parallel or in series, or continuous, or intermittently coupled with other elution components, or a combination thereof. For example, a first portion of the flow line 26 may be disposed upstream from the radioisotope generator 12, while a second portion of the flow line 26 may be disposed downstream of the radioisotope generator 12. Together, the first and second portions may represent the overall elution flow line 26. The electronic pinch valves 22, 24 may be disposed externally about the flow line 26 on various upstream and/or downstream portions relative to the radioisotope generator 12 or in proximity to fluid connectors on the radioisotope generator 12. In certain embodiments, a system operator may remotely coordinate activation or deactivation of the first and second electronic pinch valves 22, 24 to stop or start an elution. Indeed, using the electronic pinch valves 22, 24, an operator or controller may cause the elution system 10 to complete a full or a partial elution (e.g., an elution to partially fill an eluate output container) without any radiation exposure. In other words, the operator can control liquid flow without opening the shielding 14, thereby substantially reducing the potential for radiation exposure.

**[0021]** During an elution procedure performed with the elution system 10, eluent (e.g., saline) flows from the eluent supply bottle 18 through the generator 12, and is collected as eluate in the eluate collection bottle 20. In the illustrated embodiment, the eluent supply bottle 18 is coupled to the generator 12 via a vented spike 28 and the tubing 26. The vented spike 28 includes an eluent vent needle 28A and a container eluent output needle 28B. The tubing 26 coupling the eluent supply 18 and the generator 12 may be referred to as an eluent input line 29 or eluent supply line 29. The eluent input line 29 may couple to the generator 12 via a generator eluent input needle 29A. The vented spike 28 may also couple to a vent 30 via the

tubing 26 to regulate pressure and facilitate flow of eluent out of the eluent supply bottle 18. The tubing 26 between the vent 30 and the eluent supply bottle 18 may be referred to as a supply vent line, an eluent vent line, or an input vent line 31. The vent 30 may include a check valve to allow air into the eluent supply bottle 18 while generally preventing backflow from the eluent supply bottle 18 through the vent 30 and into other areas of the elution system 10. The tubing 26 between the eluent supply bottle 18 and the generator 12 (i.e., the eluent input line 29) may channel the eluent into the radioisotope generator 12 for flushing or generally eluting a daughter radioisotope from a parent radioisotope in the generator 12 and into the eluate collection bottle 20 may be coupled to the generator 12 via a hollow outlet needle 32 and the tubing 26 to facilitate such collection. The tubing 26 between the eluate collection bottle 20 may be referred to as an eluate collection line 33 or eluate output line 33. The eluate output line 33 may couple to the generator 12 via a a generator eluate output needle 33A.

**[0022]** The generator 12 may include a container or a shielded container designed to hold a parent radioisotope, such as Molybdenum-99, absorbed to alumina beads or another suitable exchange medium. Over time, the parent radioisotope may decay to produce a daughter radioisotope. For example, Molybdenum-99 may decay to form Technetium-99m as its daughter radioisotope. Molybdenum-99 has a half-life of approximately 67 hours. Thus, short-lived Technetium-99m, which has a half-life of approximately 6 hours, may continually be produced inside the generator 12 during operation. Once a certain amount of the radioisotope is present, the radioisotope elution system 10 may be ready for "milking." In other words, the radioisotope may be ready to be collected from the generator 12 via an elution process, which may begin with flowing eluent through the generator 12. The daughter radioisotope (e.g., Technetium-99m) is held chemically less tightly than the parent radioisotope from the radioisotope generator 12 into the eluate collection bottle 20 as a component of the eluate. In some embodiments, a wet elution process is utilized, wherein the generator 12 generally remains charged and eluate is removed via the eluate collection bottle 20 at designated times.

**[0023]** The eluate collection bottle 20 may have a standard or predefined volume. Additionally, the eluate collection bottle 20 may begin in an evacuated condition. Thus, when the eluate collection bottle 20 is attached to the elution system 10, it creates a suction or pressure drop into the eluate collection bottle 20. This pressure drop may essentially drive the elution system 10. For example, the suction of the eluate collection bottle 20 may draw the eluate residing in the generator 12 into the eluate collection bottle 20 via the tubing 26 and the outlet needle 32. In turn, the vacancy in the generator 12 created by moving the eluate into the eluate collection bottle 20 may result in eluent being drawn into the generator 12 from the eluent supply bottle 18. This transfer of eluent through the generator 12 facilitates production