IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

ELI LILLY AND COMPANY Petitioner,

V.

TEVA PHARMACEUTICALS INTERNATIONAL GMBH Patent Owner.

Case IPR2018-01426 U.S. Patent No. 9,890,211

TEVA PHARMACEUTICALS INTERNATIONAL GMBH'S PRELIMINARY RESPONSE UNDER 37 C.F.R. § 42.107(a)

Mail Stop "PATENT BOARD"
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450



TABLE OF CONTENTS

I.	Introduction						
II.	CGRP, CGRP receptor antagonists, and the inventors' unorthodox switch to CGRP antagonist antibodies						
III.	The Board should deny institution under 35 U.S.C. § 325(d) because the Petition is based on substantially the same prior art and arguments already considered by the USPTO						
	A. The same examiner reviewed the references or equivalents thereof and rejected Petitioner's arguments during	10					
	prosecution of the '211 patent and its parent '649 patent	12					
	institution under § 325(d)	14					
	1. Each of the primary references—Tan, Wimalawansa, and Queen—is the same or substantially the same as						
	the art that was overcome during examination	15					
	2. The asserted art was fully evaluated during examination and was the basis for rejection	21					
	3. The Petition's prior art references are cumulative of the art evaluated during prosecution	21					
	4. The arguments in the Petition substantially overlap with the examiner's arguments during prosecution	22					
	5. Lilly offers no explanation for how the examiner erred						
	during prosecution when evaluating the same art	24					
	6. Lilly provides no justification to reconsider the same art and arguments from prosecution	26					
IV.	Petitioner failed to establish a reasonable likelihood of prevailing as	to any					
	challenged claim	-					
	A. Claim construction						
	B. Person of ordinary skill in the art						
	C. Lilly should be held to its Tan 1995, Wimalawansa, and						
	Queen obviousness combination	29					
	D. Lilly has not shown that its combination of Tan 1995, Wimalawansa, and Queen discloses every element of the challenged claims: none of Tan 1995, Wimalawansa, or Queen discloses a binding affinity (K _D) to CGRP of 10 nM						
	or less	31					



	E.	•		not demonstrate why a POSA would have	•
				Tan's full-length antibody	38
		1.	Lilly	fails to provide any reason a POSA would have	
			had t	to modify Tan 1995's full-length C4.19 antibody	
			by hu	umanization	39
			a)	Tan 1995 did not establish that C4.19	
				antagonized endogenous CGRP; a critical	
				prerequisite to Lilly's argument that is missing	
				for motivation	41
			b)	Lilly fails to address why a POSA would not	
			-)	expect Tan 1995's negative result to also apply	
				to other full-length anti-CGRP antibodies	45
		2.	Wim	alawansa provides no reason to humanize Tan	10
		2.		's failed full-length C4.19 antibody	49
			a)	Lilly argues that Wimalawansa would have	т
			a)	motivated a POSA to generate humanized anti-	
				CGRP antagonist antibodies for therapeutic use,	
				<u> </u>	
				but Wimalawansa cautions against this	
				approach, focusing on receptor antagonists	70
			1.	instead	50
			b)	Lilly does not provide any evidence of the "data	
				from carefully designed studies" that	
				Wimalawansa deemed necessary before a	
				POSA would begin to evaluate anti-CGRP	
				monoclonal antibodies for human use	55
	F.	•		ar-simultaneous invention theory is neither	
		suppo	orted b	by the facts nor the law	59
V.	Conc	lusion			61
	\sim \sim \sim \sim \sim \sim \sim \sim	- COLUII			🔾 I



Patent Owner Teva Pharmaceuticals International GmbH ("Patent Owner") provides this preliminary response to Petitioner Eli Lilly and Company's ("Lilly") petition for *inter partes* review of claims 1-15 of U.S. Patent No. 9,890,211 ("the '211 patent"; EX1001) in accordance with 37 C.F.R. § 42.107(a).

I. Introduction

In this proceeding, Lilly wants to cancel Teva's patent claims protecting its groundbreaking, humanized monoclonal anti-CGRP antagonist antibodies. Yet Lilly's entire effort to cancel as obvious claims to something that it once itself thought worthy of patenting is troubling. See EX1127. Until the present inventors' contribution, the therapeutic focus for CGRP receptor-mediated disorders was on CGRP receptor antagonism, and the antagonist development focused on small molecule receptor antagonists, such as BIBN4096BS. EX1025. Before the present inventors filed their humanized anti-CGRP antagonist antibody applications, to the extent that antibodies to CGRP were used, it was as research tools to answer basic science questions related to, for example, receptor-ligand interaction. That Lilly now turns to those same research tools as a basis for its obviousness challenge contradicts its own contemporaneous efforts to seek patent protection for anti-CGRP antibodies and methods of use thereof.

To be instituted, an IPR petition must establish a reasonable likelihood that it could prevail against at least one challenged claim. Lilly's Petition fails to meet this



Case IPR2018-01426 Patent No. 9,890,211

requirement here for multiple separate and independent reasons, any one of which compels denial of institution. This Board routinely exercises its discretion under 35 U.S.C. § 314(a) and 37 C.F.R. § 42.5 to deny institution when it determines, as it should here, that a petitioner fails to demonstrate a reasonable likelihood of prevailing on at least one challenged claim. *See Apple, Inc. v. Contentguard Holdings, Inc.*, IPR2015-00355, Paper 9 at 15-16 (PTAB June 26, 2015).

As a threshold matter, institution should be denied under 35 U.S.C. § 325(d) because Lilly's Petition does no more than attempt to resurrect the same or substantially the same prior art and arguments that were previously before the examiner during prosecution and were overcome. What's more, each of the primary references in the challenged ground were either already squarely before the examiner, or are cumulative to references raised and overcome during prosecution, and the Petition does not sufficiently demonstrate that the examiner somehow erred in evaluating those references. Thus, the Board need not, and indeed should not, waste valuable resources second-guessing the examiner without any adequate justification.

Setting aside that the Board can and should deny institution here under its § 325(d) discretion, Lilly's Petition independently deserves denial because it fails to make the necessary threshold showing of a reasonable likelihood that any challenged claim is unpatentable as obvious over the cited references. Lilly's



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

