

**Petitioner Bluehouse Global Ltd.**

**Ex. 1002**

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 9,298,057 B2  
APPLICATION NO. : 13/939323  
DATED : March 29, 2016  
INVENTOR(S) : Yasuharu Hosaka et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the specification,

At column 26, lines 59-60, "terminal Note" should be --terminal. Note--;


At column 29, line 40, "1.5  $\mu\text{m}$ -thick" should be --1.5- $\mu\text{m}$ -thick--;

At column 30, line 5, "lam" should be -- $\mu\text{m}$ --;

In the claims,

In claim 12, column 32, line 36, "in the second insulating film" should be --in the second insulating film,--.

Signed and Sealed this  
Twelfth Day of July, 2016



Michelle K. Lee  
*Director of the United States Patent and Trademark Office*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Confirmation No. 2340  
Yasuharu HOSAKA et al. ) Group Art Unit: 2871  
U.S. Patent No. 9,298,057 ) Examiner: Richard H. Kim  
Serial No. 13/939,323 )  
Filed: July 11, 2013 )  
For: DISPLAY DEVICE AND )  
ELECTRONIC DEVICE )  
INCLUDING THE DISPLAY )  
DEVICE )

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. § 1.322 FOR  
CORRECTION OF OFFICE MISTAKE**

ATTN: Certificate of Correction Branch  
Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

As provided in detail in the attached, the patentee respectfully requests that a Certificate of Correction be granted in the above-identified patent to correct a mistake in a patent, incurred through the fault of the Office.

Under 37 C.F.R. 1.322, "The Commissioner may issue a certificate of correction pursuant to 35 U.S.C. 254 to correct a mistake in a patent, incurred through the fault of the Office, which mistake is clearly disclosed in the records of the Office at the request of the patentee or the patentee's assignee."

The patentee furthermore requests Expedited Issuance of this Certificate of Correction in accordance with MPEP § 1480.01. Specifically, this section provides that:

In an effort to reduce the overall time required in processing and granting Certificate of Correction requests, the Office will expedite processing and granting of patentee requests where such requests are

accompanied by evidence to show that the error is attributable solely to the Office . . . . Where the correction requested was incurred through the fault of the Office, and the matter is clearly disclosed in the records of the Office, and is accompanied by documentation that unequivocally supports the patentee's assertion(s), a Certificate of Correction will be expeditiously issued. MPEP § 1480.01

The following errors appear to have occurred through the fault of the Office, and the patentee respectfully requests correction thereof.

At column 26, lines 59-60, "terminal Note" should be --terminal. Note--;


At column 29, line 40, "1.5  $\mu$ m-thick" should be --1.5- $\mu$ m-thick--;

At column 30, line 5, "lam" should be -- $\mu$ m--;

In claim 12, column 32, line 36, "in the second insulating film" should be --in the second insulating film,--.

The corrections in the specification and claim 12 (original claim 21) are directed to mistakes in the patent incurred through the fault of the Office, possibly resulting from the Office's document scanning processes. As the errors were incurred through the fault of the Office, a fee is not believed to be necessary. Should it be determined that a fee is necessary, any deficiencies or overages in any fees due in connection with this patent and the requested actions should be applied to Deposit Account No. 50-2280.

Respectfully submitted,



---

Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

PATENT NO : 9,298,057  
DATED : March 29, 2016  
INVENTOR(S) : Yasuharu HOSAKA et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

At column 26, lines 59-60, "terminal Note" should be --terminal. Note--;

At column 29, line 40, "1.5  $\mu\text{m}$ -thick" should be --1.5- $\mu\text{m}$ -thick--;

At column 30, line 5, "lam" should be -- $\mu\text{m}$ --;

In claim 12, column 32, line 36, "in the second insulating film" should be --in the second insulating film,--.

MAILING ADDRESS OF SENDER:

Eric J. Robinson  
Robinson Intellectual Property Law Office  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033

PATENT NO. 9,298,057

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	25963489
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Sulma Portillo
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	03-JUN-2016
<b>Filing Date:</b>	11-JUL-2013
<b>Time Stamp:</b>	14:22:09
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Certificate of Correction	COC_03JUNE2016.pdf	30083 <small>d209c2a9b2b8607615fc66ce1f759c53f8e6edbb</small>	no	3

### Warnings:

### Information:

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**



APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/939,323	03/29/2016	9298057	0756-10194	2340

31780 7590 03/09/2016  
 Robinson Intellectual Property Law Office, P.C.  
 3975 Fair Ridge Drive  
 Suite 20 North  
 Fairfax, VA 22033

### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

**Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**  
 (application filed on or after May 29, 2000)

The Patent Term Adjustment is 50 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site <http://pair.uspto.gov> for additional applicants):

Semiconductor Energy Laboratory Co., Ltd., Atsugi-shi, JAPAN;  
 Yasuharu HOSAKA, Tochigi, JAPAN;  
 Yukinori SHIMA, Tatebayashi, JAPAN;  
 Kenichi OKAZAKI, Tochigi, JAPAN;  
 Shunpei YAMAZAKI, Setagaya, JAPAN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit [SelectUSA.gov](http://SelectUSA.gov).



Document Description: Issue Fee Payment (PTO-85B)

**Issue Fee Transmittal Form**

Application Number	Filing Date	First Named Inventor	Atty. Docket No.	Confirmation No.
13939323	11-Jul-2013	Yasuharu HOSAKA	0756-10194	2340

**TITLE OF INVENTION :**

DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

Entity Status	Application Type	Art Unit	Class - Subclass	EXAMINER
Regular Undiscounted	Utility under 35 USC 111(a)	2871	043000	RICHARD KIM
Issue Fee Due	Publication Due	Total Fee(s) Due	Date Due	Prev. Paid Fee
\$960	\$0	\$960	19-Feb-2016	\$0

**1.Change of Correspondence Address and/or Indication Of Fee Address (37 CFR 1.33 & 1.363)**

Current Correspondence Address:	Current Indicated Fee Address :
31780 Robinson Intellectual Property Law Office, P.C.  3975 Fair Ridge Drive Suite 20 North Fairfax VA 22033 UNITED STATES 571-434-6789 erobinson@riplo.com	
<input type="checkbox"/> Change of correspondence address requested, system generated AIA/122-EFS form attached	<input type="checkbox"/> Fee Address indication requested, system generated SB/47-EFS form attached

**2.Entity Status****Change in Entity Status**

Applicant certifying micro entity status; system generated Micro Entity certification form attached. See 37 CFR 1.29.

Note: Absent a valid certification of micro entity status, issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.  
 If this box is checked, you will be prompted to choose a micro entity status on the gross income basis (37 CFR 1.29(a)) or the institution of higher education basis (37 CFR 1.29(d)), and make the applicable certification online.

 Applicant asserting small entity status. See 37 CFR 1.27.

Note: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

 Applicant changing to regular undiscounted fee status.

Note: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

Document Description: Issue Fee Payment (PTO-85B)

**3.The Following Fee(s) Are Submitted:**

Issue Fee

I authorize USPTO to apply my previously paid issue fee to the current fees due

Publication Fee

The Director is hereby authorized to apply my previously paid issue fee to the current fee due and to charge deficient fees to Deposit Account Number \_\_\_\_\_

Advance Order - # of copies 2

If **in addition** to the payment of the issue fee amount submitted with this form, there are any discrepancies in any amount(s) due, the Director is authorized to charge any deficiency, or credit any overpayment, to Deposit Account Number 50-2280.  
**The issue fee must be submitted with this form. If payment of the issue fee does not accompany this form, checking this box and providing a deposit account number will NOT be effective to satisfy full payment of the fee(s) due.**

**4.Firm and/or Attorney Names To Be Printed**

**NOTE: If no name is listed, no name will be printed**

For printing on the patent front page, list to be displayed as entered

1. ROBINSON INTELLECTUAL PROPERTY LAW OFFICE

2. ERIC J. ROBINSON

3.

**5.Assignee Name(s) and Residence Data To Be Printed**

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

Name	City	State	Country	Category
Semiconductor Energy Laboratory Co., Ltd.	Kanagawa-ken		japan	corporation

**6.Signature**

I certify, in accordance with 37 CFR 1.4(d)(4) that I am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application. I also certify that this Fee(s) Transmittal form is being transmitted to the USPTO via EFS-WEB on the date indicated below.

<b>Signature</b>	/Eric J. Robinson/	<b>Date</b>	02-19-2016
<b>Name</b>	Eric J. Robinson	<b>Registration Number</b>	38285

## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	13939323
<b>Filing Date:</b>	11-Jul-2013
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Filer:</b>	Eric J. Robinson/Sue Ann Carr
<b>Attorney Docket Number:</b>	0756-10194

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
Utility Appl Issue Fee	1501	1	960	960
Publ. Fee- Early, Voluntary, or Normal	1504	1	0	0
Printed Copy of Patent - No Color	8001	2	3	6

**Pages:**

**Claims:**

**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>966</b>

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	24925623
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Sue Ann Carr
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	19-FEB-2016
<b>Filing Date:</b>	11-JUL-2013
<b>Time Stamp:</b>	07:31:59
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$966
RAM confirmation Number	7440,7441
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	Web85b.pdf	46334	no	2
			29b1790973c1933ebcd9d8c4e219072ea24c1326		

**Warnings:**

**Information:**

2	Fee Worksheet (SB06)	fee-info.pdf	35568	no	2
			edf12b5d6f7e688b42052f84b28312de14785e36		

**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	81902
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**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

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NOTICE OF ALLOWANCE AND FEE(S) DUE

31780 7590 11/19/2015
Robinson Intellectual Property Law Office, P.C.
3975 Fair Ridge Drive
Suite 20 North
Fairfax, VA 22033

Table with 2 columns: EXAMINER (KIM, RICHARD H), ART UNIT (2871), PAPER NUMBER

DATE MAILED: 11/19/2015

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.

13/939,323 07/11/2013 Yasuharu HOSAKA 0756-10194 2340
TITLE OF INVENTION: DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

Table with 7 columns: APPLN. TYPE, ENTITY STATUS, ISSUE FEE DUE, PUBLICATION FEE DUE, PREV. PAID ISSUE FEE, TOTAL FEE(S) DUE, DATE DUE

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.
If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.
If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".
For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

**PART B - FEE(S) TRANSMITTAL**

**Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (571)-273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

31780 7590 11/19/2015  
**Robinson Intellectual Property Law Office, P.C.**  
 3975 Fair Ridge Drive  
 Suite 20 North  
 Fairfax, VA 22033

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194	2340

TITLE OF INVENTION: DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	02/19/2016

EXAMINER	ART UNIT	CLASS-SUBCLASS
KIM, RICHARD H	2871	349-043000

<p>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</p> <p><input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</p> <p><input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. <b>Use of a Customer Number is required.</b></p>	<p>2. For printing on the patent front page, list</p> <p>(1) The names of up to 3 registered patent attorneys or agents OR, alternatively, _____ 1</p> <p>(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. _____ 2</p> <p>_____ 3</p>
---	---

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE \_\_\_\_\_ (B) RESIDENCE: (CITY and STATE OR COUNTRY) \_\_\_\_\_

Please check the appropriate assignee category or categories (will not be printed on the patent) :  Individual  Corporation or other private group entity  Government

<p>4a. The following fee(s) are submitted:</p> <p><input type="checkbox"/> Issue Fee</p> <p><input type="checkbox"/> Publication Fee (No small entity discount permitted)</p> <p><input type="checkbox"/> Advance Order - # of Copies _____</p>	<p>4b. Payment of Fee(s): (<b>Please first reapply any previously paid issue fee shown above</b>)</p> <p><input type="checkbox"/> A check is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><input type="checkbox"/> The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).</p>
---	--

5. **Change in Entity Status** (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29

Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

**NOTE:** Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.

**NOTE:** If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

**NOTE:** Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

**NOTE:** This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_ Registration No. \_\_\_\_\_





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.
13/939,323 07/11/2013 Yasuharu HOSAKA 0756-10194 2340

31780 7590 11/19/2015
Robinson Intellectual Property Law Office, P.C.
3975 Fair Ridge Drive
Suite 20 North
Fairfax, VA 22033

EXAMINER

KIM, RICHARD H

ART UNIT PAPER NUMBER

2871

DATE MAILED: 11/19/2015

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### Privacy Act Statement

**The Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

<b>Notice of Allowability</b>	<b>Application No.</b> 13/939,323	<b>Applicant(s)</b> HOSAKA ET AL.	
	<b>Examiner</b> RICHARD KIM	<b>Art Unit</b> 2871	<b>AIA (First Inventor to File) Status</b> No

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1.  This communication is responsive to 11/3/15.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
2.  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
3.  The allowed claim(s) is/are 1-9 and 21-38. As a result of the allowed claim(s), you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/oph/index.jsp](http://www.uspto.gov/patents/init_events/oph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).
4.  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

- a)  All    b)  Some    \*c)  None of the:
1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5.  CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.  
 including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.  
**Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).**
6.  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

- |   |   |
|---|---|
| <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Notice of References Cited (PTO-892)</li> <li>2. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),<br/>Paper No./Mail Date _____</li> <li>3. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br/>of Biological Material</li> <li>4. <input type="checkbox"/> Interview Summary (PTO-413),<br/>Paper No./Mail Date _____</li> </ol> | <ol style="list-style-type: none"> <li>5. <input type="checkbox"/> Examiner's Amendment/Comment</li> <li>6. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance</li> <li>7. <input type="checkbox"/> Other _____</li> </ol> |
|---|---|

Art Unit: 2871

1. The present application is being examined under the pre-AIA first to invent provisions.

### DETAILED ACTION

#### *Continued Examination Under 37 CFR 1.114*

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/3/15 has been entered.

#### *Allowable Subject Matter*

3. Claims 1-9 and 21-38 allowed.
4. The following is an examiner's statement of reasons for allowance: the prior art of record, taken alone or in combination, fails to teach or disclose, in light of the specifications, **claim 1**) a display device wherein the third insulating film is in an opening provided in the second insulating film; **claim 21**) a display device wherein the third insulating film is in an opening provided in the second insulating film; and **claim 27**) a display device wherein an edge portion of the second insulating film overlaps with the third insulating film, and wherein the third insulating film is not provided in the driver circuit portion.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

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fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### *Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Caley can be reached on 571-272-2286. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/  
Primary Examiner, Art Unit 2871

Application/Control Number: 13/939,323

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 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 2340

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
13/939,323	07/11/2013	349	2871	0756-10194
	RULE			

**APPLICANTS**

Semiconductor Energy Laboratory Co., Ltd., Atsugi-shi, JAPAN;

**INVENTORS**

Yasuharu HOSAKA, Tochigi, JAPAN;  
 Yukinori SHIMA, Tatebayashi, JAPAN;  
 Kenichi OKAZAKI, Tochigi, JAPAN;  
 Shunpei YAMAZAKI, Setagaya, JAPAN;

\*\* CONTINUING DATA \*\*\*\*\*

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

JAPAN 2012-161344 07/20/2012

\*\* IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\*

07/26/2013

Foreign Priority claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119(a-d) conditions met <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Initials				
Verified and Acknowledged	/RICHARD H KIM/ Examiner's Signature				


**ADDRESS**

Robinson Intellectual Property Law Office, P.C.  
 3975 Fair Ridge Drive  
 Suite 20 North  
 Fairfax, VA 22033  
 UNITED STATES

**TITLE**

DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

<b>FILING FEE RECEIVED</b> 2160	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit


<b>Issue Classification</b> 	<b>Application/Control No.</b> 13939323	<b>Applicant(s)/Patent Under Reexamination</b> HOSAKA ET AL.
	<b>Examiner</b> RICHARD KIM	<b>Art Unit</b> 2871

CPC						
Symbol					Type	Version
G02F		1		1368	F	2013-01-01
G02F		1		133345	A	2013-01-01
H01L		27		1214	I	2013-01-01
H01L		27		1225	I	2013-01-01
H01L		27		1248	I	2013-01-01
G02F		1		13454	I	2013-01-01

CPC Combination Sets							
Symbol				Type	Set	Ranking	Version


NONE		<b>Total Claims Allowed:</b>	
		27	
(Assistant Examiner)	(Date)	O.G. Print Claim(s)	O.G. Print Figure
/RICHARD KIM/ Primary Examiner. Art Unit 2871	11/15/15	1	2
(Primary Examiner)	(Date)		



<b>Issue Classification</b> 	<b>Application/Control No.</b> 13939323	<b>Applicant(s)/Patent Under Reexamination</b> HOSAKA ET AL.
	<b>Examiner</b> RICHARD KIM	<b>Art Unit</b> 2871

US ORIGINAL CLASSIFICATION						INTERNATIONAL CLASSIFICATION														
CLASS			SUBCLASS			CLAIMED					NON-CLAIMED									
						G	0	2	F	1 / 1345 (2006.01.01)										
<b>CROSS REFERENCE(S)</b>																				
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)																			

NONE		<b>Total Claims Allowed:</b>	
		27	
(Assistant Examiner)	(Date)	O.G. Print Claim(s)	O.G. Print Figure
/RICHARD KIM/ Primary Examiner. Art Unit 2871	11/15/15	1	2
(Primary Examiner)	(Date)		

<b>Issue Classification</b> 	<b>Application/Control No.</b> 13939323	<b>Applicant(s)/Patent Under Reexamination</b> HOSAKA ET AL.
	<b>Examiner</b> RICHARD KIM	<b>Art Unit</b> 2871

<input type="checkbox"/> <b>Claims renumbered in the same order as presented by applicant</b>																<input type="checkbox"/> <b>CPA</b>		<input type="checkbox"/> <b>T.D.</b>		<input type="checkbox"/> <b>R.1.47</b>	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original						
1	1		17	10	33																
2	2		18	11	34																
3	3		19	18	35																
4	4		20	19	36																
5	5	12	21	26	37																
6	6	13	22	27	38																
7	7	14	23																		
8	8	15	24																		
9	9	16	25																		
	10	17	26																		
	11	20	27																		
	12	21	28																		
	13	22	29																		
	14	23	30																		
	15	24	31																		
	16	25	32																		

NONE		<b>Total Claims Allowed:</b>	
		27	
(Assistant Examiner)	(Date)	O.G. Print Claim(s)	O.G. Print Figure
/RICHARD KIM/ Primary Examiner. Art Unit 2871	11/15/15	1	2
(Primary Examiner)	(Date)		

## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S178	1602	((G02F1/133345).CPC. and ((thin adj film adj transistor) tft) )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:19
S181	797	S180 and driv\$5	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:20
S180	835	(349/151).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/11/12 17:20
S179	1423	((G02F1/134363).CPC. and ffs)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:20
S182	3053	((G02F1/13454).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:22
S183	2863	((G02F1/13454).CPC. and @ad< "20130720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:23
S184	206	((G02F1/13454).CPC. and @ad< "20130720" and (third adj (passivat\$5 insulat\$5)) )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:24
S185	164	((G02F1/133345).CPC. and S182 )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 18:16
S186	8	("20110068334"   "20110095299"   "20130321726"   "20140078440"   "7142260"   "8189130"   "8592814"   "8791458").PN. OR ("9146429").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/11/12 18:17
S187	243	("3967882"   "4390245"   "4775225"   "4844597"   "5148301"   "5338240"   "5381255"   "5537235"   "5566013"   "5739888"   "5880803").PN. OR ("6163357").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/11/12 18:25
S188	120	("20010046027"   "20020056838"	US-	OR	ON	2015/11/12

		"20020132454"   "20030137621"   "20030189401"   "20030218222"   "20040038446"   "20040127038"   "20050017302"   "20050088589"   "20050199959"   "20060012729"   "20060035452"   "20060043377"   "20060091793"   "20060108529"   "20060108636"   "20060110867"   "20060113536"   "20060113539"   "20060113549"   "20060113565"   "20060169973"   "20060170111"   "20060197092"   "20060208977"   "20060228974"   "20060231882"   "20060238135"   "20060244107"   "20060284171"   "20060284172"   "20060292777"   "20070024187"   "20070046191"   "20070052025"   "20070054507"   "20070072439"   "20070090365"   "20070108446"   "20070152217"   "20070172591"   "20070187678"   "20070187760"   "20070194379"   "20070252928"   "20070272922"   "20070287296"   "20080006877"   "20080038882"   "20080038929"   "20080050595"   "20080073653"   "20080083950"   "20080106191"   "20080128689"   "20080129195"   "20080166834"   "20080182358"   "20080224133"   "20080254569"   "20080258139"   "20080258140"   "20080258141"   "20080258143"   "20080296568"   "20080308796"   "20080308797"   "20080308804"   "20080308805"   "20080308806"   "20090008639"   "20090068773"   "20090073325"   "20090114910"   "20090134399"   "20090152506"   "20090152541"   "20090239335"   "20090278122"   "20090280600"   "20090305461"   "20100051937"   "20100065844"   "20100092800"   "20100109002"   "20100136743"   "20110102697"   "5731856"   "5744864"   "6294274"   "6489952"   "6563174"   "6635505"   "6727522").PN. OR ("6847422"   "7049190"   "7061014"   "7064346"   "7105868"   "7198967"   "7211825"   "7282782"   "7297977"   "7298437"   "7323356"   "7385224"   "7402506"   "7411209"   "7453065"   "7453087"   "7462862"   "7468304"   "7501293"   "7544981"   "7674650"   "7732819").PN. OR ("8592814").URPN.	PGPUB; USPAT; USOCR			18:37
S189	1	("20020084459").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/11/12 20:09
S190	566	((G02F1/13454).CPC. and @ad<"20130720" and (second adj insulat\$5) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 21:03

S191	206	((G02F1/13454).CPC. and @ad<"20130720" and (third adj (passivat\$5 insulat\$5)) )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/13 17:16
L1	20147	(g02f1/1368 h01l27/1214 h01l27/1225 h01l27/1248 g02f1/1354).cpc.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:56
L3	1903	((G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and (third adj (insulat\$5 passivat\$5))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:58
L2	18653	((G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:58
L5	925	((G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and (third adj (insulat\$5 passivat\$5)) and ((driv\$5 peripher\$5) near5 (TFT transistor)) and @ad<"20120720"	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:59
L4	1192	((G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and (third adj (insulat\$5 passivat\$5)) and ((driv\$5 peripher\$5) near5 (TFT transistor))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:59
L6	4714	((G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and ((opening hole) near5 (insulat\$5 passivat\$5)) and ((driv\$5 peripher\$5) near5 (TFT transistor)) and @ad<"20120720"	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 21:25
L7	2130	((G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and ((opening hole) near5 (insulat\$5 passivat\$5) near5 (second third)) and ((driv\$5 peripher\$5) near5 (TFT transistor)) and @ad<"20120720"	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 21:26
L9	2313	((G02F1/133345).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 21:53


## EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L8	0	(third adj insulating adj film adj3 opening adj provided).clm.	US-PGPUB; USPAT	OR	ON	2015/11/15 21:53

11/15/2015 10:00:57 PM

C:\Users\rkim3\Documents\EAST\Workspaces\13939323.wsp



<b>Search Notes</b>  	<b>Application/Control No.</b>  13939323	<b>Applicant(s)/Patent Under Reexamination</b>  HOSAKA ET AL.
	<b>Examiner</b>  RICHARD KIM	<b>Art Unit</b>  2871

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search attached	1/12/2015	RHK
EAST search attached	7/31/2015	RHK
EAST search attached	11/15/2015	RHK

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
	PGPUB claim language search	11/15/2015	RHK

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**REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL  
(Submitted Only via EFS-Web)**

Application Number	13/939,323	Filing Date	2013-07-11	Docket Number (if applicable)	0756-10194	Art Unit	2871
First Named Inventor	Yasuharu HOSAKA et al.			Examiner Name	Richard H. Kim		

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV

**SUBMISSION REQUIRED UNDER 37 CFR 1.114**

Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

Other \_\_\_\_\_

Enclosed

Amendment/Reply

Information Disclosure Statement (IDS)

Affidavit(s)/ Declaration(s)

Other \_\_\_\_\_

**MISCELLANEOUS**

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months \_\_\_\_\_  
(Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

Other \_\_\_\_\_

**FEES**

**The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.**

The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 502280

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Patent Practitioner Signature

Applicant Signature



Signature of Registered U.S. Patent Practitioner			
Signature	/Eric J. Robinson/	Date (YYYY-MM-DD)	2015-11-03
Name	Eric J. Robinson	Registration Number	38285

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Confirmation No. 2340
Yasuharu HOSAKA et al.	)	Group Art Unit: 2871
Serial No. 13/939,323	)	Examiner: Richard H. Kim
Filed: July 11, 2013	)	
For: DISPLAY DEVICE AND	)	
ELECTRONIC DEVICE	)	
INCLUDING THE DISPLAY	)	
DEVICE	)	

**AMENDMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action dated August 6, 2015, please consider the following amendments and remarks in connection with the above-identified application.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

The listing of claims will replace all prior versions, and listings, of claims in the application:

**Listing of Claims:**

1. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film covering the second insulating film; and

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film,

wherein the third insulating film is in a opening provided in the second insulating film,

wherein the first insulating film comprises an inorganic insulating material, wherein the second insulating film comprises an organic insulating material, wherein the third insulating film comprises an inorganic insulating material, and wherein an edge portion of the second insulating film overlaps with the third insulating film.

2. (Previously Presented) The display device according to claim 1 further comprising:

a second electrode over the first electrode;

a fourth insulating film over the second electrode;  
a colored film over the fourth insulating film; and  
a light-blocking film over the fourth insulating film.

3. (Original) The display device according to claim 1,  
wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

4. (Original) The display device according to claim 1,  
wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin.

5. (Original) The display device according to claim 1,  
wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

6. (Original) The display device according to claim 1,  
wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

7. (Original) The display device according to claim 6,  
wherein the oxide semiconductor layer comprises any of indium and zinc.

8. (Original) The display device according to claim 6,  
wherein the oxide semiconductor layer has a first oxide semiconductor film and a second oxide semiconductor film.

9. (Original) An electronic device comprising the display device according to claim 1.

10.-20. (Canceled)

21. (Currently Amended) A display device comprising:  
a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film covering the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and

a liquid crystal layer over the first electrode; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film,

wherein the third insulating film is in an opening provided in the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,  
wherein the second insulating film comprises an organic insulating material,  
wherein the third insulating film comprises an inorganic insulating material, and  
wherein an edge portion of the second insulating film overlaps with the third insulating film.

22. (Previously Presented) The display device according to claim 21, further comprising:

- a first alignment film over the first electrode;
- a second alignment film over the liquid crystal layer;
- a second electrode over the second alignment film;
- a fourth insulating film over the second electrode;
- a colored film over the fourth insulating film; and
- a light-blocking film over the fourth insulating film.

23. (Previously Presented) The display device according to claim 21, wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

24. (Previously Presented) The display device according to claim 21, wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

25. (Previously Presented) The display device according to claim 21, wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

26. (Previously Presented) An electronic device comprising the display device according to claim 21.

27. (Previously Presented) A display device comprising:  
a pixel portion comprising:  
a first transistor;

a first insulating film over the first transistor;  
a second insulating film over the first insulating film;  
a third insulating film covering the second insulating film;  
a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and  
a liquid crystal layer over the first electrode; and  
a driver circuit portion comprising:  
a second transistor;  
the first insulating film over the second transistor; and  
the second insulating film over the first insulating film;  
wherein the first insulating film comprises an inorganic insulating material,  
wherein the second insulating film comprises an organic insulating material,  
wherein the third insulating film comprises an inorganic insulating material,  
wherein an edge portion of the second insulating film overlaps with the third insulating film, and  
wherein the third insulating film is not provided in the driver circuit portion.

28. (Previously Presented) The display device according to claim 27, further comprising:

a first alignment film over the first electrode;  
a second alignment film over the liquid crystal layer;  
a second electrode over the second alignment film;  
a fourth insulating film over the second electrode;  
a colored film over the fourth insulating film; and  
a light-blocking film over the fourth insulating film.

29. (Previously Presented) The display device according to claim 27,



wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

30. (Previously Presented) The display device according to claim 27, wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

31. (Previously Presented) The display device according to claim 27, wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

32. (Previously Presented) An electronic device comprising the display device according to claim 27.

33. (Previously Presented) The display device according to claim 1, wherein an edge portion of the first insulating film overlaps with the third insulating film.

34. (Previously Presented) The display device according to claim 1, wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

35. (Previously Presented) The display device according to claim 21, wherein an edge portion of the first insulating film overlaps with the third insulating film.

36. (Previously Presented) The display device according to claim 21,  
wherein the first transistor and the second transistor each comprises a gate  
insulating film, a source electrode, and a drain electrode, and  
wherein bottom surfaces of the source electrode and the drain electrode are in  
contact with a top surface of the gate insulating film.

37. (Previously Presented) The display device according to claim 27,  
wherein an edge portion of the first insulating film overlaps with the third  
insulating film.

38. (Previously Presented) The display device according to claim 27,  
wherein the first transistor and the second transistor each comprises a gate  
insulating film, a source electrode, and a drain electrode, and  
wherein bottom surfaces of the source electrode and the drain electrode are in  
contact with a top surface of the gate insulating film.

**REMARKS**

The Official Action mailed August 6, 2015, has been received and its contents carefully noted. This response is filed within three months of the mailing date of the Official Action and therefore is believed to be timely without extension of time. Filed concurrently herewith is a *Request for Continued Examination*. Accordingly, the Applicant respectfully submits that this response is being timely filed.

The Applicant notes with appreciation the consideration of the Information Disclosure Statements filed on July 11, 2013; September 19, 2013; November 12, 2013; December 2, 2014 and April 21, 2015.

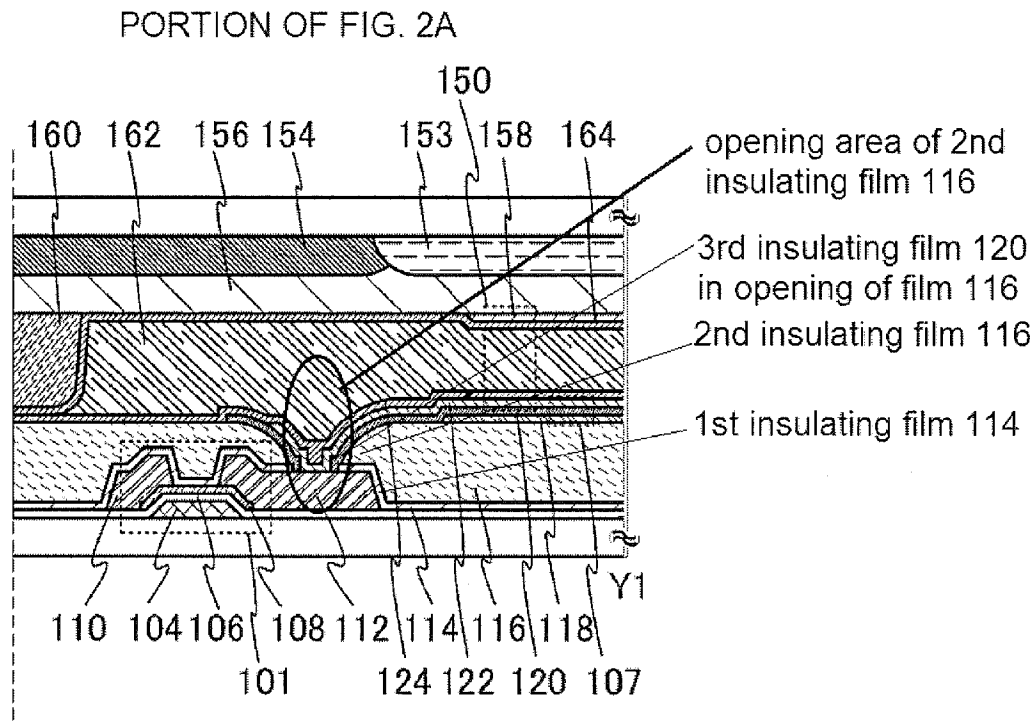
Claims 1-9 and 21-38 are pending in the present application, of which claims 1, 21 and 27 are independent. The Applicant notes with appreciation the allowance of claims 27-32, 37 and 38. Claims 1 and 21 have been amended to better recite the features of the present invention. For the reasons set forth in detail below, all claims are believed to be in condition for allowance. Favorable reconsideration is requested.

Paragraph 3 of the Official Action rejects claims 1-5, 9, 21-24, 26 and 33-36 as obvious based on U.S. Patent No. 8,988,623 to Koyama. Paragraph 12 of the Official Action rejects claims 6, 7 and 25 as obvious based on the combination of Koyama and U.S. Publication No. 2012/0013817 to Kim. Paragraph 16 of the Official Action rejects claim 8 as obvious based on the combination of Koyama, Kim and U.S. Publication No. 2011/0157252 to Yamazaki. The Applicant respectfully traverses the rejections because a *prima facie* case of obviousness cannot be maintained against the independent claims of the present application, as amended.

As stated in MPEP §§ 2142-2144.04, to establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some reason, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations.

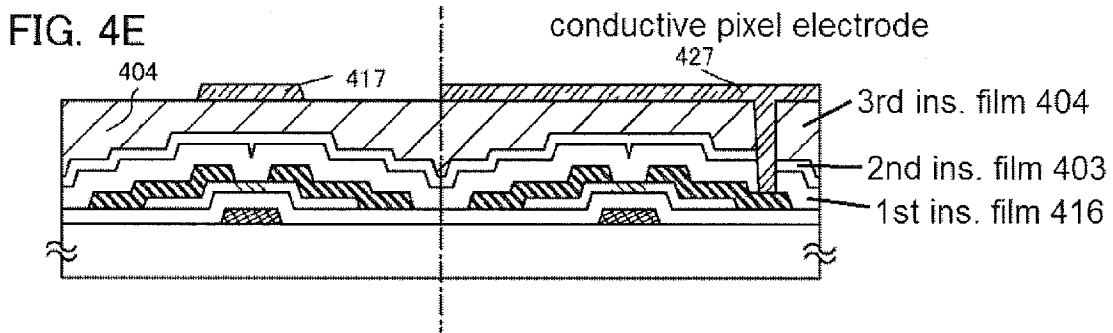
Obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some reason to do so found either explicitly or implicitly in the references themselves or in the knowledge generally available to one of ordinary skill in the art. "The test for an implicit showing is what the combined teachings, knowledge of one of ordinary skill in the art, and the nature of the problem to be solved as a whole would have suggested to those of ordinary skill in the art." In re Kotzab, 217 F.3d 1365, 1370, 55 USPQ2d 1313, 1317 (Fed. Cir. 2000). See also In re Fine, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988); In re Jones, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992).

With respect to independent claims 1 and 21, the prior art, either alone or in combination, does not teach or suggest all the features of the independent claims, as amended. Specifically, rejected independent claims 1 and 21 have been revised to add the limitation that "the third insulating film is in an opening provided in the second insulating film," as supported by at least Applicant's FIG. 2A, a portion of which is annotated below.



Koyama does not teach or suggest the added feature. The Patent Office relies on FIGS. 4A-4E and alleges that Koyama discloses a first insulating film 416, a second insulating film 403, and a third insulating film 404. However, as shown by asserted FIG. 4E of Koyama annotated below, to the extent that the alleged second insulating film 403 has an opening therein, the alleged third insulating film 404 is not “in” such an opening. Rather, it is conductive pixel electrode 427 that is provided into such an opening. For at least this reason, a *prima facie* case of obviousness cannot be maintained.

KOYAMA



Moreover, in the claimed invention, the second insulating film is formed using an organic insulating material with which the planarity is improved. However, when heating or the like is performed, the organic insulating material releases hydrogen, moisture or an organic component as a gas. Entry of such gas into a transistor could adversely impact and change the electrical characteristics of the display device and the reliability of the display device can be decreased. However, the claimed first insulating film and the third insulating film cover the second insulating film. The first insulating film and the third insulating film include inorganic insulating materials such as a silicon nitride film, a silicon nitride oxide film, or the like. These can prevent entry of the gas into the first transistor and suppress a change of electrical characteristics and decrease in the reliability of the display device (e.g., see Applicant's paragraphs [0156] to [0162]). Thus, the stacking order of the organic insulating film and the inorganic insulating film is critical and has a specific beneficial function.


On the other hand, while the cited references may disclose a display device including various organic and inorganic insulating films, they do not disclose the stacking order or appreciate the criticality to such in obtaining a functionality that can prevent entry of the gas from the second insulating film into the first transistor. As a result, one of ordinary skill in the art would not have been predictably led to the claimed invention. For this additional reason, a *prima facie* case of obviousness has not been met.

Because Koyama alone or in view of Kim and Yamazaki do not appear to teach or suggest all the claim limitations, or appreciate advantages thereof, a *prima facie* case of obviousness cannot be maintained with respect to independent claims 1 and 21. Therefore, Applicant believes the rejections of claims 1 and 21 and claims dependent therefrom are not proper. Accordingly, reconsideration and withdrawal of the rejections under 35 U.S.C. § 103(a) are in order and respectfully requested.

Should the Examiner believe that anything further would be desirable to place this application in better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number listed below.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

  
\_\_\_\_\_  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	13939323
<b>Filing Date:</b>	11-Jul-2013
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Filer:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Miscellaneous:</b>				
Request for Continued Examination	1801	1	1200	1200
<b>Total in USD (\$)</b>				<b>1200</b>



## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	23974637
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Jennifer Rosenfeld
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	03-NOV-2015
<b>Filing Date:</b>	11-JUL-2013
<b>Time Stamp:</b>	15:53:43
<b>Application Type:</b>	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$1200
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Deposit Account	
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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	RCE_03NOV2015.pdf	697481	no	3
			947ec17b1bf46bc400b179963e7c331dbe06eeaf		

**Warnings:**

**Information:**

2	Amendment Submitted/Entered with Filing of CPA/RCE	AMENDMENT_03NOV2015.pdf	1550890	no	12
			59d270935a8dccc077e4a4bbcea2f0da79733380a		

**Warnings:**

**Information:**

3	Fee Worksheet (SB06)	fee-info.pdf	30686	no	2
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**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	2279057
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**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

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<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875	Application or Docket Number <b>13/939,323</b>	Filing Date <b>07/11/2013</b>	<input type="checkbox"/> To be Mailed
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ENTITY:  LARGE  SMALL  MICRO

**APPLICATION AS FILED – PART I**

FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*	X \$ =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X \$ =	
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

**APPLICATION AS AMENDED – PART II**

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>	<b>11/03/2015</b>	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR			
	Total (37 CFR 1.16(i))	* 27	Minus	** 27	= 0	X \$80 = 0
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	X \$420 = 0
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
					TOTAL ADD'L FEE	<b>0</b>

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR			
	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$ =
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$ =
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
					TOTAL ADD'L FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE  
/JERMAINE MINOR/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.
13/939,323 07/11/2013 Yasuharu HOSAKA 0756-10194 2340

31780 7590 08/06/2015
Robinson Intellectual Property Law Office, P.C.
3975 Fair Ridge Drive
Suite 20 North
Fairfax, VA 22033

EXAMINER

KIM, RICHARD H

ART UNIT PAPER NUMBER

2871

MAIL DATE DELIVERY MODE

08/06/2015

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 13/939,323	<b>Applicant(s)</b> HOSAKA ET AL.	
	<b>Examiner</b> RICHARD KIM	<b>Art Unit</b> 2871	<b>AIA (First Inventor to File) Status</b> No

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 4/21/15.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 2a)  This action is **FINAL**.    2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims\***

- 5)  Claim(s) 1-9 and 21-38 is/are pending in the application.  
5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) 27-32,37 and 38 is/are allowed.
- 7)  Claim(s) 1-9,21-26 and 33-36 is/are rejected.
- 8)  Claim(s) \_\_\_\_\_ is/are objected to.
- 9)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

\* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).

**Application Papers**

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

- a)  All    b)  Some\*\*    c)  None of the:
1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  
Paper No(s)/Mail Date 4/21/15.
- 3)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 4)  Other: \_\_\_\_\_.

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1. The present application is being examined under the pre-AIA first to invent provisions.

### **DETAILED ACTION**

#### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-5, 9, 21-24, 26 and 33-36 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Koyama et al. (US 8,988,623 B2).
4. Re claims 1, 9, 21, 26, Koyama et al. discloses an electronic device (Figs. 4a-4e) comprising a first transistor (420); a first insulating film (416) over the first transistor; a second insulating film (403) over the first insulating film; a third insulating film (404) covering the second insulating film; and a first electrode (427) over the third insulating film, the first electrode being electrically connected to the first transistor (see Fig. 4D, ref. 427); a liquid crystal layer (Fig. 10, ref. 650) over the first electrodes; and a driver circuit portion comprising: a second transistor (410); the first insulating film (416) over the second transistor; and the second insulating film (403) over the first insulating film, wherein the first insulating film comprises an inorganic insulating material (col. 25, lines 29, 30), wherein an edge portion of the second insulating film (403) overlaps with the third insulating film (404) (at the contact hole in Fig. 4e). Koyama et al. does not disclose the device wherein the second insulating film comprises an

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organic insulating material, and wherein the third insulating film comprises an inorganic insulating material.

5. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the second insulating film comprises an organic insulating material, wherein the third insulating film comprises an inorganic insulating material. Alternating layers of inorganic and organic insulating material is well known in the art to obtain excellent insulating properties. Additionally, the simple substitution of one known element for another to obtain predictable results requires routine skill in the art (*KSR*).

6. Re claims 2 and 22, Koyama et al. does not disclose the device comprising a first alignment film over the first electrode; a second alignment film over the liquid crystal layer; a second electrode over the second alignment film; a fourth insulating film over the second electrode; a colored film over the fourth insulating film; and a light blocking film over the fourth insulating film.

7. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device comprising a first alignment film over the first electrode; a second alignment film over the liquid crystal layer; a second electrode over the second alignment film; a fourth insulating film over the second electrode; a colored film over the fourth insulating film; and a light blocking film over the fourth insulating film. Doing so is a well-known structure in the art to form a color filter substrate in order to obtain a color display.

8. Re claims 3-5, 23 and 24, Koyama et al. does not disclose the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the

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second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film.

9. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film. Employing the claimed materials as an insulating layer is well known in the art due to their high insulating properties. Furthermore, it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

10. Re claims 33 and 35, Koyama et al. discloses the device wherein an edge portion of the first insulating film (416) overlaps with the third insulating film (404) (at the contact hole in Fig. 4e).

11. Re claims 34 and 36, Koyama et al. discloses the device wherein the first transistor and the second transistor each comprises a gate insulating film (402), a source electrode (415a), and a drain electrode (415b), and wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.



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12. Claims 6, 7 and 25 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Koyama et al. in view of Kim et al. (US 2012/0013817 A1).

13. Koyama et al. discloses the device previously recited, but does not disclose the device wherein the first and the second transistors each comprise an oxide semiconductor layer, wherein the oxide semiconductor layer comprises any of indium and zinc.

14. Kim et al. discloses a device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc (paragraph 0107).

15. It would have been obvious to one having ordinary skill in the art at the time the invention as made to employ the device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc since one would be motivated by superior semiconductor characteristics (paragraph 0107).

16. Claim 8 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Koyama et al. and Kim et al. in view of Yamazaki et al. (US 2011/0157252 A1).

17. Koyama et al. does not disclose the device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer.

18. Yamazaki et al. discloses a device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer (paragraph 0178).

19. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the oxide semiconductor layer has a first

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oxide semiconductor layer and a second oxide semiconductor layer since one would be motivated by obtaining an oxide semiconductor having a crystal region having a large thickness (paragraph 0178).

*Allowable Subject Matter*

20. Claims 27-32, 37 and 38 allowed.

*Response to Arguments*

21. Applicant's arguments with respect to the claims have been considered but are moot due to new grounds of rejection.

*Conclusion*

22. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

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however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bumsuk Won can be reached on (571)272-2713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/  
Primary Examiner, Art Unit 2871

<b>Notice of References Cited</b>	Application/Control No. 13/939,323	Applicant(s)/Patent Under Reexamination HOSAKA ET AL.	
	Examiner RICHARD KIM	Art Unit 2871	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A US-8,988,623 B2	03-2015	Koyama et al.	349/43
	B US-			
	C US-			
	D US-			
	E US-			
	F US-			
	G US-			
	H US-			
	I US-			
	J US-			
	K US-			
	L US-			
	M US-			

**FOREIGN PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N				
	O				
	P				
	Q				
	R				
	S				
	T				

**NON-PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
	U				
	V				
	W				
	X				

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S72	4	("6498369" "20090046230").pn.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 19:54
S73	7	yasuharu.in. and hosaka.in. and (edge adj portion)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:03
S76	234	S74 and S75	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:35
S75	3440	(349/43).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:35
S74	1463	(349/138).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:35
S78	75	S77 and ((align\$5 adj film) near5 (contact adj hole))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:43
S77	60592	("349").CLAS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:43
S80	27	S79 and Richard.xa.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:51
S79	1463	(349/138).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:51
S82	3	S79 and Richard.xa. and superimpos\$5	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:52
S81	2	S79 and Richard.xa. and superimpose	US-PGPUB; USPAT;	OR	ON	2015/07/29 20:52

			EPO; DERWENT			
S83	322	((G02F1/136227 and G02F1/133345).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:53
S84	254	((G02F1/136227 and G02F1/133345).CPC. and @ad<"20130711" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:01
S86	102	((G02F1/136227 and G02F1/133345).CPC. and @ad<"20120720") and (driv\$5 near5 (transistor tft))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:04
S85	223	((G02F1/136227 and G02F1/133345).CPC. and @ad<"20120720")	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:04
S87	110	((G02F1/136227 and G02F1/133345).CPC. and @ad<"20130720") and (driv\$5 near5 (transistor tft))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:05
S88	251	((G02F1/136227 and G02F1/13454).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:06
S89	19	("4826297"   "5095304"   "5130829"   "5641974"   "5798534"   "5936698"   "5952588"   "5982462"   "5982469"   "6046479"   "6108055").PN. OR ("6246460").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:08
S91	278	S90 and (driv\$5 near5 transistor)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:09
S90	835	(349/151).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 21:09
S94	147	S92 and S93	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:10
S93	1896	((G02F1/133345).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:10
S92	2878	((G02F1/13454).CPC. )	US-PGPUB; USPAT; EPO;	OR	ON	2015/07/29 21:10

			DERWENT			
S95	64	("4408836"   "4832457"   "4874227"   "4906071"   "5032531"   "5055899"   "5106197"   "5121237"   "5148301"   "5164853"   "5200847"   "5250931"   "5453858"   "5525822"   "5608557"   "5644370"   "5650664"   "5710606"   "5739880"   "5780872"   "5796150"   "5815226"   "5818070"   "5821138"   "5821622"   "5859443"   "5864150"   "5929464").PN. OR ("6115094").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:11
S98	1443	S96 and (driv\$5 near2 TFT) and ((contact adj hole) near5 (insulat\$5))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:14
S97	4795	S96 and (driv\$5 near2 TFT)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:14
S96	60592	("349").CLAS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 21:14
S99	1343	S96 and (driv\$5 near2 TFT) and ((contact adj hole) near5 (insulat\$5)) and @ad<"20120711"	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:15
S100	6	("20060215102"   "20070279572"   "20080218838"   "20090051286"   "20110068334"   "20110080549").PN. OR ("8982306").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:17
S101	15	("20060256268"   "20070146591"   "20070222925"   "6727969"   "6798480"   "6914656"   "7088409"   "7362400"   "7486351"   "7502084").PN. OR ("7643115").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:48
S103	419	S102 and ((tft) near5 driv\$5)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:54
S102	2740	(349/141).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 21:54
S104	15	("20060256268"   "20070146591"   "20070222925"   "6727969"   "6798480"   "6914656"   "7088409"   "7362400"   "7486351"   "7502084").PN. OR ("7643115").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/07/29 22:01
S107	137	S105 and S106	US-PGPUB; USPAT; EPO;	OR	ON	2015/07/29 22:02

			DERWENT			
S106	2740	(349/141).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:02
S105	1463	(349/138).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:02
S109	1325	((G02F1/133345).CPC. and ((thin adj film adj transistor) tft) )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:09
S108	1896	((G02F1/133345).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:09
S110	946	((G02F1/133345).CPC. and ((thin adj film adj transistor) tft) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:10
S111	1	("8531637").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:21
S113	46	S112 and (bottom adj gate)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:28
S112	835	(349/151).OCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:28
S114	1	("6498369").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:42
S115	1	("8988623").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 15:11
S116	13	((G02F1/133345).CPC. and (inorganic near5 organic near5 alternat\$5) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:32
S118	60633	("349").CLAS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 15:34
S117	1	((G02F1/133345).CPC. and (inorganic near5 organic near5 alternating) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:34



S120	10	( S118 and (inorganic near5 organic near5 alternate) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:35
S119	18	( S118 and (inorganic near5 organic near5 alternating) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:35
S121	64	( S118 and (inorganic near5 organic near5 gas) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:36
S123	23	( S118 and (inorganic near5 organic near5 react\$5) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:37
S122	1	( S118 and (inorganic near5 organic near5 reactivity) and @ad< "20120720" )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:37
S124	1	("20120013817").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 16:48
S125	1	("20110157252").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 16:50
S126	1	("6498369").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 21:45
S127	1	("8,988,623").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 21:46
S129	129923	g02f1/\$.cpc.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:21
S128	0	g02f1.cpc.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:21
S131	41	S129 and ((alternating alternate) near5 organic near5 inorganic)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:22
S130	242	S129 and ((alternating alternat\$5) near5 organic near5 inorganic)	US-PGPUB; USPAT; EPO;	OR	ON	2015/07/31 00:22

			DERWENT			
S132	11	S129 and ((alternates) near5 organic near5 inorganic)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:24
S135	400	S134 and (organic near5 inorganic)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:26
S134	1463	(349/138).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 00:26
S133	11	S132 and (organic near5 inorganic)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:26
S137	310	S134 and S136	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:27
S136	4760	(349/42,43).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 00:27
S139	75	S137 and (organic near5 inorganic)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:28
S138	388489	"19" and (organic near5 inorganic)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:28
S146	34	S145 and ((organic near5 inorganic) near5 (alternating))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29
S145	129923	g02f1/\$.cpc.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29
S144	0	S141 and ((organic near5 inorganic) near5 (alternating))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29
S143	0	S142 and ((organic near5 inorganic) near5 (alternating))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29

S142	310	S140 and S141	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29
S141	4760	(349/42,43).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 13:29
S140	1463	(349/138).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 13:29
S147	171	S145 and ((organic near5 inorganic near5 (barrier))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:32
S148	0	S145 and ((organic near5 inorganic near5 (barrier) near5 tft)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:33
S149	6	S145 and ((organic near5 inorganic near5 (stack) near5 tft)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:40
S152	56	S141 and ((organic near5 inorganic near5 (stacked stack))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:41
S151	480	S145 and ((organic near5 inorganic near5 (stacked stack))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:41
S150	4	S145 and ((organic near5 inorganic near5 (stacked) near5 tft)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:41
S153	3	"8988623"	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:48
S156	24	S154 and S155	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:08
S155	1463	(349/138).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 14:08
S154	835	(349/151).CCLS.	US-PGPUB; USPAT;	OR	OFF	2015/07/31 14:08

			USOCR			
S157	1205	S155 and (insulating)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:09
S161	2623	((G02F1/13454).CPC. ) and @ad< "20120720"	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:10
S160	2878	((G02F1/13454).CPC. )	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:10
S159	287	S158 and (tft near5 driv\$5)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:10
S158	835	(349/151).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 14:10
S162	141	((G02F1/13454).CPC. ) and @ad< "20120720" and zhang.in.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:11
S163	23	("4457589"   "5148301"   "5300446"   "5523865"   "5777701"   "5888856"   "6011607"   "6055034"   "6072556"   "6075580").PN. OR ("6404479").URPN.	US-PGPUB; USPAT; USOCR	OR	ON	2015/07/31 14:12
L1	1	("8988623").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 14:41
L2	1	("20110157252").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 14:52
L4	23	("7,583,336" "7,696,529" "7,760,309" "8,115,893" "8,399,182" "8,704,962" "7,859,510" "20100117991").pn.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 14:56
L3	21	("7,583,336" "7,696,529" "7,760,309" "8,115,893" "8,399,182" "8,704,962" "7,859,510" 2010/0117991).pn.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 14:56
L5	23	("7583336" "7696529" "7760309" "8115893" "8399182" "8704962" "7859510" "20100117991").pn.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 14:58
L6	9	("20080129706"   "20080143906"	US-	OR	ON	2015/08/03

		"20080239214"   "20080252618"   "20080266661"   "20090051842"   "20090075421"   "20100020029"   "20100194709").PN. OR ("8704962").URPN.	PGPUB; USPAT; USOCR			15:03
L9	59	7 and 8	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:05
L8	3214	(349/149-152).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 15:05
L7	2741	(349/141).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 15:05
L10	96	("3774989"   "3814501"   "3838909"   "3864905"   "4097128"   "4345249"   "4923286"   "5035490"   "5128782"   "5194976"   "5270846"   "5448385"   "5453858"   "5574292"   "5583678"   "5612799"   "5745203").PN. OR ("6160600").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/08/03 15:07
L11	1338	((G02F1/134363).CPC. and ffs )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:14
L14	11	((G02F1/13454).CPC. ) and 11	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:15
L13	1184	((G02F1/13454).CPC. and ((align\$5 orientat\$5) adj3 (layer film)) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:15
L12	1915	((G02F1/133345).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:15

**EAST Search History (Interference)**

&lt; This search history is empty &gt;

**8/ 3/ 2015 3:17:04 PM****C:\ Users\ rkim3\ Documents\ EAST\ Workspaces\ 13939323.wsp**

PTO/SB/08A (07-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	13/939,323
		Filing Date	July 11, 2013
		First Named Inventor	Yasuharu HOSAKA
		Art Unit	2871
		Examiner Name	R. KIM
Sheet	1	of	1
		Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-7,583,336		09-01-2009	AHN.B	
		US-7,696,529		04-13-2010	CHOO.K et al.	
		US-7,760,309		07-20-2010	AHN.B et al.	
		US-8,115,893		02-14-2012	JUNG.T et al.	
		US-8,399,182		03-19-2013	LIM.J et al.	
		US-8,704,962		04-22-2014	OH.K et al.	
		US-7,859,510		12-28-2010	UMEZAKI.A	
		US-2010/0117991		05-13-2010	KOYAMA.J et al.	


FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		JP-2007-123861A		05-17-2007			Abst.
		JP-2012-128159A		07-05-2012			Abst.
		JP-2009-271103A		11-19-2009			Abst.
		EP-2466365A		06-20-2012			Eng.
		KR-2012-0067288A		06-25-2012			Abst.
		CN-102540603A		07-04-2012			Abst.

Examiner Signature	/Richard Kim/	Date Considered	08/03/2015
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<b>Search Notes</b>  	<b>Application/Control No.</b>  13939323	<b>Applicant(s)/Patent Under Reexamination</b>  HOSAKA ET AL.
	<b>Examiner</b>  RICHARD KIM	<b>Art Unit</b>  2871

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search attached	1/12/2015	RHK
EAST search attached	7/31/2015	RHK

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Confirmation No. 2340
Yasuharu HOSAKA et al.	)	Group Art Unit: 2871
Serial No. 13/939,323	)	Examiner: Richard H. Kim
Filed: July 11, 2013	)	
For: DISPLAY DEVICE AND	)	
ELECTRONIC DEVICE	)	
INCLUDING THE DISPLAY	)	
DEVICE	)	

**AMENDMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action dated January 21, 2015, please consider the following amendments and remarks in connection with the above-identified application.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.



The listing of claims will replace all prior versions, and listings, of claims in the application:

**Listing of Claims:**

1. (Currently Amended) A display device comprising:  
a pixel portion comprising:  
    a first transistor;  
    a first insulating film over the first transistor;  
    a second insulating film over the first insulating film;  
    a third insulating film ~~[[over]]~~ covering the second insulating film; and  
    a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;  
    ~~a first alignment film over the first electrode; and~~  
    ~~a liquid crystal layer over the first alignment film; and~~  
a driver circuit portion comprising:  
    a second transistor;  
    the first insulating film over the second transistor; and  
    the second insulating film over the first insulating film~~[[; and]]~~  
    ~~the first alignment film over the second insulating film,~~  
wherein the first insulating film comprises an inorganic insulating material,  
wherein the second insulating film comprises an organic insulating material,  
wherein the third insulating film comprises an inorganic insulating material, and  
~~wherein the first alignment film is entirely in contact with the second insulating film in the driver circuit portion~~  
    wherein an edge portion of the second insulating film overlaps with the third insulating film.

2. (Currently Amended) The display device according to claim 1 further comprising:

- a ~~second alignment film over the liquid crystal layer;~~
- a second electrode over the ~~second alignment film~~ first electrode;
- a fourth insulating film over the second electrode;
- a colored film over the fourth insulating film; and
- a light-blocking film over the fourth insulating film.

3. (Original) The display device according to claim 1,  
wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

4. (Original) The display device according to claim 1,  
wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin.

5. (Original) The display device according to claim 1,  
wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

6. (Original) The display device according to claim 1,  
wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

7. (Original) The display device according to claim 6,  
wherein the oxide semiconductor layer comprises any of indium and zinc.

8. (Original) The display device according to claim 6,  
wherein the oxide semiconductor layer has a first oxide semiconductor film and a  
second oxide semiconductor film.

9. (Original) An electronic device comprising the display device according to  
claim 1.

10.-20. (Canceled)

21. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film ~~[[over]]~~ covering the second insulating film;

a first electrode over the third insulating film, the first electrode being  
electrically connected to the first transistor; and

~~a first alignment film over the first electrode; and~~

a liquid crystal layer over the first ~~alignment film~~ electrode; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film~~[[; and]]~~

~~the first alignment film over the second insulating film,~~

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

~~wherein the first alignment film is in contact with the second insulating film over the driver circuit portion~~

wherein an edge portion of the second insulating film overlaps with the third insulating film.

22. (Currently Amended) The display device according to claim 21, further comprising:

- a first alignment film over the first electrode;
- a second alignment film over the liquid crystal layer;
- a second electrode over the second alignment film;
- a fourth insulating film over the second electrode;
- a colored film over the fourth insulating film; and
- a light-blocking film over the fourth insulating film.

23. (Previously Presented) The display device according to claim 21, wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

24. (Previously Presented) The display device according to claim 21, wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

25. (Previously Presented) The display device according to claim 21, wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

26. (Previously Presented) An electronic device comprising the display device according to claim 21.

27. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film ~~over and in contact with~~ covering the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and

~~a first alignment film over the first electrode; and~~

a liquid crystal layer over the first ~~alignment film~~ electrode; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film; ~~[[and]]~~

~~the first alignment film over and in contact with the second insulating film;~~

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, ~~[[and]]~~

~~wherein the first alignment film is in contact with the second insulating film over the driver circuit portion~~

wherein an edge portion of the second insulating film overlaps with the third insulating film, and

wherein the third insulating film is not provided in the driver circuit portion.

28. (Currently Amended) The display device according to claim 27, further comprising:

- a first alignment film over the first electrode;
- a second alignment film over the liquid crystal layer;
- a second electrode over the second alignment film;
- a fourth insulating film over the second electrode;
- a colored film over the fourth insulating film; and
- a light-blocking film over the fourth insulating film.

29. (Previously Presented) The display device according to claim 27, wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

30. (Previously Presented) The display device according to claim 27, wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

31. (Previously Presented) The display device according to claim 27, wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

32. (Previously Presented) An electronic device comprising the display device according to claim 27.

33. (New) The display device according to claim 1, wherein an edge portion of the first insulating film overlaps with the third insulating film.

34. (New) The display device according to claim 1,  
wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and  
wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

35. (New) The display device according to claim 21,  
wherein an edge portion of the first insulating film overlaps with the third insulating film.

36. (New) The display device according to claim 21,  
wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and  
wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

37. (New) The display device according to claim 27,  
wherein an edge portion of the first insulating film overlaps with the third insulating film.

38. (New) The display device according to claim 27,  
wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and  
wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

**REMARKS**

The Official Action mailed January 21, 2015, has been received and its contents carefully noted. This response is filed within three months of the mailing date of the Official Action and therefore is believed to be timely without extension of time. Accordingly, the Applicant respectfully submits that this response is being timely filed.

The Applicant notes with appreciation the consideration of the Information Disclosure Statements filed on July 11, 2013; September 19, 2013; November 12, 2013 and December 2, 2014.

A further Information Disclosure Statement is submitted herewith and consideration of this Information Disclosure Statement is respectfully requested.

Claims 1-9 and 21-32 were pending in the present application prior to the above amendment. Claims 1-2, 21-22 and 27-28 have been amended to better recite the features of the present invention and new claims 33-38, which read on the elected species, have been added to recite additional protection to which the Applicant is entitled. Accordingly, claims 1-9 and 21-38 are now pending in the present application and elected, of which claims 1, 21 and 27 are independent. For the reasons set forth in detail below, all claims are believed to be in condition for allowance. Favorable reconsideration is requested.

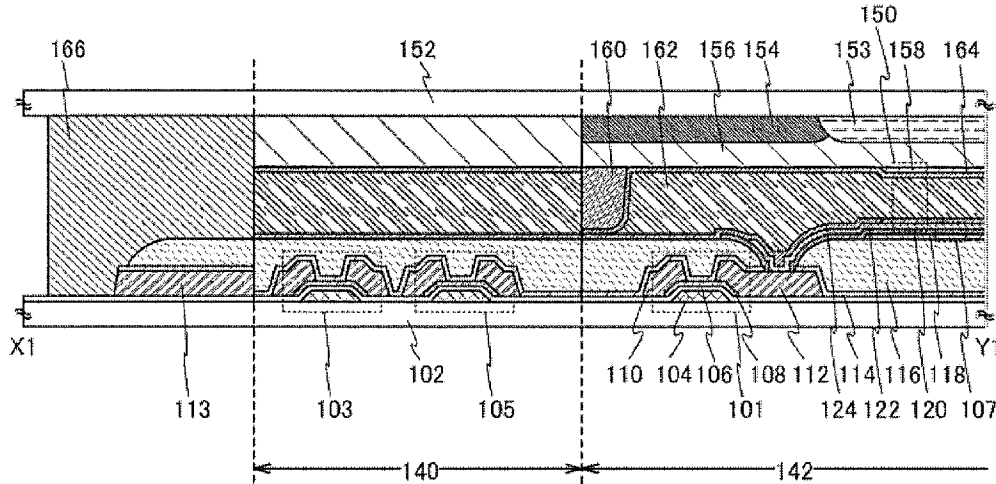
Paragraph 3 of the Official Action rejects claims 1-5, 9 and 21-32 as obvious based on the combination of U.S. Patent No. 6,498,369 to Yamazaki and U.S. Publication No. 2009/0046230 to Sakurai. Paragraph 15 of the Official Action rejects claims 6-7 as obvious based on the combination of Yamazaki '369, Sakurai and U.S. Publication No. 2012/0013817 to Kim. Paragraph 19 of the Official Action rejects claim 8 as obvious based on the combination of Sakurai and U.S. Publication No. 2011/0157252 to Yamazaki. The Applicant respectfully traverses the rejections because a *prima facie* case of obviousness cannot be maintained against the independent claims of the present application, as amended.



As stated in MPEP §§ 2142-2144.04, to establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some reason, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. Obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some reason to do so found either explicitly or implicitly in the references themselves or in the knowledge generally available to one of ordinary skill in the art. “The test for an implicit showing is what the combined teachings, knowledge of one of ordinary skill in the art, and the nature of the problem to be solved as a whole would have suggested to those of ordinary skill in the art.” In re Kotzab, 217 F.3d 1365, 1370, 55 USPQ2d 1313, 1317 (Fed. Cir. 2000). See also In re Fine, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988); In re Jones, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992).

With respect to independent claims 1, 21 and 27, the prior art, either alone or in combination, does not teach or suggest all the features of the independent claims, as amended. Specifically, rejected independent claims 1, 21 and 27 are revised to add that a third insulating film (an inorganic film) covers a second insulating film (an inorganic film) and an edge portion of the second insulating film overlaps the third insulating film. Independent claim 27 further adds that the third insulating film is not provided in the driver circuit portion. These features are supported by at least Applicant’s FIG. 2 (below) and FIG. 5 as well as paragraph [0235] of Applicant’s publication where there are shown and described first-third insulating films 114, 116 and 120, respectively oriented as claimed.

FIG. 2



In the present invention, the second insulating film is formed using an organic insulating material, with which planarity is improved. However, when heating or the like is performed, the organic insulating material may release hydrogen, moisture, or an organic component as a gas. Entry of such a gas into a transistor of the display device may adversely change the electrical characteristics of a display device, and decrease the reliability of the display device. However, covering the second insulating film with the third insulating film including an inorganic insulating material (such as a silicon nitride film, a silicon nitride oxide film, or the like) can prevent entry of such a gas into the first transistor. This acts to suppress a change of electrical characteristics and any adverse decrease in the reliability of the display device.

In rejecting the claims, the Official Action alleges that Yamazaki '369 discloses a second insulating film and a third insulating film over the second insulating film. However, Yamazaki does not necessarily teach or suggest that the third insulating film covers the second insulating film, and fails to teach that entry of gas from the second insulating film into the transistor should be reduced. The secondary references do not appear to overcome the above deficiencies of Yamazaki '369.


Because Yamazaki '369 and Sakurai do not teach or suggest all the claim limitations, a *prima facie* case of obviousness cannot be maintained with respect to independent claims 1, 21 and 27. The secondary references to Kim and Yamazaki '252 do not cure the deficiencies of Yamazaki '369 and Sakurai. Therefore, Applicant believes the rejections of claims 1, 21 and 27 and claims dependent therefrom are not proper. Accordingly, reconsideration and withdrawal of the rejections under 35 U.S.C. § 103(a) are in order and respectfully requested.

New claims 33-38 have been added to recite additional protection to which the Applicant is entitled. The features of claims 33-38 are supported in the present specification, for example, by at least Applicant's FIGS. 2 and 5. New claims 33, 35, and 37 recite that an edge of a first insulating film and the third insulating film are overlapped with each other. Thus, the second insulating film is surrounded by the first insulating film and the third insulating film, which are inorganic insulating films that suppress entry of hydrogen, moisture, or an organic component into the transistor. Surrounding the second insulating film can prevent entry of gas into the transistor, and suppress a change of electrical characteristics or a decrease in the reliability of the display device. New claims 34, 36, and 38 recite that a first transistor and a second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film. These features do not appear to be taught or suggested by Yamazaki '369 or the other cited references. For the reasons stated above, the Applicant respectfully submits that new claims 33-38 are in condition for allowance.

Should the Examiner believe that anything further would be desirable to place this application in better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number listed below.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Confirmation No. 2340  
Yasuharu HOSAKA et al. ) Group Art Unit: 2871  
Serial No. 13/939,323 ) Examiner: Richard H. Kim  
Filed: July 11, 2013 )  
For: DISPLAY DEVICE AND )  
ELECTRONIC DEVICE INCLUDING )  
THE DISPLAY DEVICE )  
)

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:


In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

JP 2012-128159; KR 20120067288 and CN 102540603 are in the family of EP 2 466 365.

A payment in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285  
Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	13/939,323
				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA
				Art Unit	2871
				Examiner Name	R. KIM
Sheet	1	of	1	Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-7,583,336		09-01-2009	AHN.B	
		US-7,696,529		04-13-2010	CHOO.K et al.	
		US-7,760,309		07-20-2010	AHN.B et al.	
		US-8,115,893		02-14-2012	JUNG.T et al.	
		US-8,399,182		03-19-2013	LIM.J et al.	
		US-8,704,962		04-22-2014	OH.K et al.	
		US-7,859,510		12-28-2010	UMEZAKI.A	
		US-2010/0117991		05-13-2010	KOYAMA.J et al.	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		JP-2007-123861A		05-17-2007			Abst.
		JP-2012-128159A		07-05-2012			Abst.
		JP-2009-271103A		11-19-2009			Abst.
		EP-2466365A		06-20-2012			Eng.
		KR-2012-0067288A		06-25-2012			Abst.
		CN-102540603A		07-04-2012			Abst.

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	13939323
<b>Filing Date:</b>	11-Jul-2013
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Filer:</b>	Eric J. Robinson/Adele Stamper
<b>Attorney Docket Number:</b>	0756-10194

Filed as Large Entity

**Filing Fees for Utility under 35 USC 111(a)**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
Claims in Excess of 20	1202	6	80	480

**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

**Post-Allowance-and-Post-Issuance:**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Extension-of-Time:</b>				
<b>Miscellaneous:</b>				
Submission- Information Disclosure Stmt	1806	1	180	180
<b>Total in USD (\$)</b>				<b>660</b>



## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	22124387
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Adele Stamper
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	21-APR-2015
<b>Filing Date:</b>	11-JUL-2013
<b>Time Stamp:</b>	16:07:05
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$660
RAM confirmation Number	2790
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After Non-Final Reject	AMENDMENT_21APR2015_075610194.pdf	1683063	no	13
			af69ddecf41b1823f996e23476530e37d860eed0		

**Warnings:**

**Information:**

2		IDS_21APR2015_075610194.pdf	319421	yes	2
			dc9d8c5c1dca1192ea632d22a0be3f945fa5ab28		

**Multipart Description/PDF files in .zip description**

	Document Description	Start	End
	Transmittal Letter	1	1
	Information Disclosure Statement (IDS) Form (SB08)	2	2

**Warnings:**

**Information:**

3	Foreign Reference	JP_2007123861.pdf	7792536	no	48
			b3fc872c18b4380bcb99155645ce03ae46850fc		

**Warnings:**

**Information:**

4	Foreign Reference	JP2012128159.pdf	2372423	no	16
			e4908e568eaa1a36a58ea819f47e2b5862ef93ba		

**Warnings:**

**Information:**

5	Foreign Reference	JP_2009271103.pdf	1960570	no	12
			ee7f277e088aef742b8ffef150e52a4fc1607c5		

**Warnings:**

**Information:**

6	Foreign Reference	EP_2466365.pdf	747621	no	17
			21942dcf3126ca143bca818c99644081a2b6ddb4		

**Warnings:**

**Information:**

7	Foreign Reference	KR_20120067288.pdf	2002650	no	16
			a19df5e1d38728bcd19d27e7766aa524b1e c7322		
<b>Warnings:</b>					
<b>Information:</b>					
8	Foreign Reference	CN_102540603.pdf	2752561	no	19
			b9704d2c3164e423fc1f8f09479d5a1a5411 3b63		
<b>Warnings:</b>					
<b>Information:</b>					
9	Fee Worksheet (SB06)	fee-info.pdf	32381	no	2
			e5f155442be4a51f458cfd9049fab887acaa2 cc3		
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				19663226	

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

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<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875	Application or Docket Number <b>13/939,323</b>	Filing Date <b>07/11/2013</b>	<input type="checkbox"/> To be Mailed
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ENTITY:  LARGE  SMALL  MICRO

**APPLICATION AS FILED – PART I**

FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*	X \$ =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X \$ =	
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

**APPLICATION AS AMENDED – PART II**

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>	<b>04/21/2015</b>	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR			
	Total (37 CFR 1.16(i))	* 22	Minus	** 21	= 1	X \$80 = 80
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	X \$420 = 0
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
					TOTAL ADD'L FEE	<b>80</b>

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR			
	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$ =
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$ =
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
					TOTAL ADD'L FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE  
/JOSEPHINE DOUGLAS/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875	Application or Docket Number <b>13/939,323</b>	Filing Date <b>07/11/2013</b>	<input type="checkbox"/> To be Mailed
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ENTITY:  LARGE  SMALL  MICRO

**APPLICATION AS FILED – PART I**

FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE <small>(37 CFR 1.16(a), (b), or (c))</small>	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE <small>(37 CFR 1.16(k), (l), or (m))</small>	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE <small>(37 CFR 1.16(o), (p), or (q))</small>	N/A	N/A	N/A	
TOTAL CLAIMS <small>(37 CFR 1.16(i))</small>	minus 20 =	*	X \$ =	
INDEPENDENT CLAIMS <small>(37 CFR 1.16(h))</small>	minus 3 =	*	X \$ =	
<input type="checkbox"/> APPLICATION SIZE FEE <small>(37 CFR 1.16(s))</small>	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT <small>(37 CFR 1.16(j))</small>				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

**APPLICATION AS AMENDED – PART II**

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>	<b>04/21/2015</b>	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR			
	Total <small>(37 CFR 1.16(i))</small>	* 27	Minus	** 21 = 6	X \$80 =	480
	Independent <small>(37 CFR 1.16(h))</small>	* 3	Minus	***3 = 0	X \$420 =	0
	<input type="checkbox"/> Application Size Fee <small>(37 CFR 1.16(s))</small>					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small>						
					TOTAL ADD'L FEE	<b>480</b>

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>		CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR			
	Total <small>(37 CFR 1.16(i))</small>	*	Minus	** =	X \$ =	
	Independent <small>(37 CFR 1.16(h))</small>	*	Minus	*** =	X \$ =	
	<input type="checkbox"/> Application Size Fee <small>(37 CFR 1.16(s))</small>					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <small>(37 CFR 1.16(j))</small>						
					TOTAL ADD'L FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE  
/JOSEPHINE DOUGLAS/

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www.uspto.gov

Table with 5 columns: APPLICATION NO., FILING DATE, FIRST NAMED INVENTOR, ATTORNEY DOCKET NO., CONFIRMATION NO.
13/939,323 07/11/2013 Yasuharu HOSAKA 0756-10194 2340

31780 7590 01/21/2015
Robinson Intellectual Property Law Office, P.C.
3975 Fair Ridge Drive
Suite 20 North
Fairfax, VA 22033

EXAMINER

KIM, RICHARD H

ART UNIT PAPER NUMBER

2871

MAIL DATE DELIVERY MODE

01/21/2015

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**  
13/939,323

**Applicant(s)**  
HOSAKA ET AL.

**Examiner**  
RICHARD KIM

**Art Unit**  
2871

**AIA (First Inventor to File)  
Status**  
No

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1)  Responsive to communication(s) filed on 12/2/14.
  - A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 2a)  This action is **FINAL**.
- 2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims\*

- 5)  Claim(s) 1-9 and 21-32 is/are pending in the application.
  - 5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) \_\_\_\_\_ is/are allowed.
- 7)  Claim(s) 1-9 and 21-32 is/are rejected.
- 8)  Claim(s) \_\_\_\_\_ is/are objected to.
- 9)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

\* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).

## Application Papers

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on 7/11/13 is/are: a)  accepted or b)  objected to by the Examiner.
  - Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
  - Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

## Priority under 35 U.S.C. § 119

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

### Certified copies:

- a)  All    b)  Some\*\*    c)  None of the:
  - 1.  Certified copies of the priority documents have been received.
  - 2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1)  Notice of References Cited (PTO-892)
- 2)  Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  
Paper No(s)/Mail Date See Continuation Sheet.
- 3)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 4)  Other: \_\_\_\_\_.

Continuation of Attachment(s) 2). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :7/11/13, 9/19/13, 11/12/13, 12/2/14.



Art Unit: 2871

1. The present application is being examined under the pre-AIA first to invent provisions.

### **DETAILED ACTION**

#### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-5, 9, 21-32 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yamazaki et al. (US 6,498,369 B1) in view of Sakurai et al. (US 2009/0046230 A1).
4. Re claims 1, 9, 21, 26, 27 and 32, Yamazaki et al. discloses an electronic device comprising: a pixel portion comprising: a first transistor (304); a first insulating film (14) over the first transistor; a second insulating film (15) over the first insulating film; a third insulating film (135) over and in contact with the second insulating film (in fig. 6, the end portion of the insulating film 135 is in contact with the second insulating film 15); a first electrode (137) over the third insulating film, the first electrode being electrically connected to the first transistor; a first alignment film (601) over the first electrode; and a liquid crystal layer (605) over the first alignment film; and a driver circuit portion comprising: a second transistor (302); the first insulating film over the second transistor; the second insulating film over the first insulating film; and the first alignment film over the second insulating film, wherein the first alignment film is entirely in contact with the second insulating film in the driver portion (Fig. 6, ref. 601). Yamazaki does not disclose the device wherein the first insulating film comprises an inorganic

Art Unit: 2871

insulating material, wherein the second insulating film comprises an organic insulating material, wherein the third insulating film comprises an inorganic insulating material.

5. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the first insulating film comprises an inorganic insulating material, wherein the second insulating film comprises an organic insulating material, wherein the third insulating film comprises an inorganic insulating material.

Alternating layers of inorganic and organic insulating material is well known in the art to obtain excellent insulating properties.

6. Furthermore, in regard to the third insulating film, Sakurai et al. discloses employing an inorganic insulating layer (paragraph 0013).

7. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the third insulating film comprises an inorganic insulating material since one would be motivated by superior capacitance properties (paragraph 0013).

8. Re claims 2, 22 and 28, Yamazaki et al. does not disclose the device comprising a second alignment film over the liquid crystal layer; a second electrode over the second electrode over the second alignment film; a fourth insulating layer over the second electrode; a colored film over the fourth insulating film; and a light blocking film over the fourth insulating film.

9. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device comprising a second alignment film over the liquid crystal layer; a second electrode over the second alignment film; a fourth insulating layer over the second electrode; a colored film over the fourth insulating film; and a light blocking film

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over the fourth insulating film. Doing so is a well-known structure in the art to form a color filter substrate in order to obtain a color display.

10. Re claims 3-5, 23-25, and 29-31, Yamazaki does not disclose the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film.

11. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film. Employing the claimed materials as an insulating layer is well known in the art due to their high insulating properties. Furthermore, it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

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15. Claims 6 and 7 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yamazaki et al. and Sakurai et al. in view of Kim et al. (US 2012/0013817 A1).
16. Yamazaki et al. discloses the device previously recited, but does not disclose the device wherein the first and the second transistor each comprise an oxide semiconductor layer, wherein the oxide semiconductor layer comprises any of indium and zinc.
17. Kim et al. discloses a device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc (paragraph 0107).
18. It would have been obvious to one having ordinary skill in the art at the time the invention as made to employ the device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc since one would be motivated by superior semiconductor characteristics (paragraph 0107).
19. Claim 8 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yamazaki et al. and Sakurai et al. in view of Yamazaki et al. (US 2011/0157252 A1).
20. Yamazaki et al. does not disclose the device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer.
21. Yamazaki et al. (US 2011/0157252 A1) discloses a device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer (paragraph 0178).
22. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer since one would be

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motivated by obtaining an oxide semiconductor having a crystal region having a large thickness (paragraph 0178).

### *Conclusion*

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bumsuk Won can be reached on (571)272-2713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/  
Primary Examiner, Art Unit 2871

<b>Notice of References Cited</b>	Application/Control No. 13/939,323	Applicant(s)/Patent Under Reexamination HOSAKA ET AL.	
	Examiner RICHARD KIM	Art Unit 2871	Page 1 of 1

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*	B US-2009/0046230 a1	02-2009	SAKURAI et al.	349/138
*	C US-2012/0013817 a1	01-2012	KIM et al.	349/41
*	D US-2011/0157252 a1	06-2011	Yamazaki et al.	345/690
	E US-			
	F US-			
	G US-			
	H US-			
	I US-			
	J US-			
	K US-			
	L US-			
	M US-			


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*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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	Q				
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	S				
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**NON-PATENT DOCUMENTS**

*	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
	U				
	V				
	W				
	X				

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<b>Search Notes</b>  	<b>Application/Control No.</b>  13939323	<b>Applicant(s)/Patent Under Reexamination</b>  HOSAKA ET AL.
	<b>Examiner</b>  RICHARD KIM	<b>Art Unit</b>  2871

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search attached	1/12/2015	RHK

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner

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## EAST Search History

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L4	1	("6115094").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:35
L6	1	("8643812").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:37
L7	3635	(349/106).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:47
L8	4	7 and (organic adj color adj filter)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:47
L9	490	7 and (organic near5 (color adj filter))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:47
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L13	397	12 and (second adj insulat\$5)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:52
L14	3635	(349/106).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:55
L15	0	14 and (filer near5 (bottom near5 substrate))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:55
L16	79	14 and (filter near5 (bottom near5	US-	OR	ON	2015/01/12



		substrate))	PGPUB; USPAT; EPO; DERWENT			12:56
L17	1470	14 and (filter near5 (first near5 substrate))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:57
L18	815	(349/151).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 13:03
L19	252	18 and ((align\$5 orient\$5) adj2 (layer film))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:03
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L21	58443	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 13:18
L22	267	21 and ((metal adj oxide) near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:18
L23	44	21 and (oxide near5 oxidizing near5 metal)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:20
L24	0	21 and (oxide near5 oxidizing near5 metal near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:20
L25	0	21 and (oxidizing near5 metal near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:20
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L27	0	21 and (oxidizing near5 metal near5 organic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:21
L28	3	21 and (oxidizing near5 metal near5 organic)	US- PGPUB; USPAT; EPO;	OR	ON	2015/01/12 13:21

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L31	157	21 and (organic near5 inorganic near5 alternat\$5)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:35
L32	4	21 and (organic near5 inorganic near5 alternat\$5 near5 combination)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:36
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L35	253	21 and (organic near5 inorganic near5 (silicon adj oxide))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:53
L36	1563	21 and (insulating near5 (acrylic))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:54
L37	174	21 and ((silicon adj nitride) near5 capacit\$5)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:00
L38	58443	("349").CLAS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 14:00
L39	2231	38 and (semiconductor near5 oxide)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:00
L40	9	38 and (semiconductor near5 oxide nEAR5 SUPERIOR)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:01

L41	171	38 and (semiconductor near5 second near5 oxide near5 film)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:05
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L47	38	("20060244107" "7211825" "20050017302" "5731856" "5744864" "20030218222" "7674650" "6563174" "7385224" "20070152217" "20090068773" "7732819" "20060043377" "20090278122" "20090280600" "20090152506" "20100092800" "20100109002" "20100065844").pn.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:49
L48	3	("7796101" "8059067").pn.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:51
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S2	815	(349/151).CCLS.	US-	OR	OFF	2015/01/11

			PGPUB; USPAT; USOCR			22:12
S3	3276	(349/43).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/11 22:12
S4	56	S2 and S3	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 22:12
S5	1129	((G02F1/13454).CPC. and ((align\$5 orientat\$5) adj3 (layer film)) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 22:16
S6	4	("20010052950" "6115097" "64983696115094").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:26
S7	0	("20010052950" "6115097" "64983696115094").pn. and orgainc	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:26
S8	1	("20010052950" "6115097" "64983696115094").pn. and organic	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:26
S9	4	("20010052950" "6115097" "64983696115094").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:29
S10	1	("6115094").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/11 23:30
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S12	1136	S11 and (peripheral driv\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 00:50
S13	113	S11 and ((peripheral driv\$5) near5 (align\$5 orientation))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 00:56
S14	7	("20030058376"   "20070242179"   "20090147188"   "6115097"   "6577372"   "7333160"   "8120742").PN. OR ("8643812").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/01/12 01:00

S15	1717	(349/123).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 01:28
S16	199	S15 and ((align\$5 orientat\$5) adj3 (layer film)) near5 (peripher\$5 driv\$5 non\$5display)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 01:29
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S22	19	("6,960,786" "7,554,116" "7,989,808" "8,207,537" "8,471,259" "20110084272" "20120153292" "20140022480").pn.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 02:54
S23	65	S11 and S15	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 03:13
S24	0	go2f.cpc. and (align\$5 orientat\$5) near5 (driv\$5 peripheral periphery non\$5display)	US-PGPUB; USPAT;	OR	ON	2015/01/12 03:21

			EPO; DERWENT			
S25	58443	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 03:22
S26	4252	S25 and (align\$5 orientat\$5) near5 (driv\$5 peripheral periphery non\$display)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 03:22
S27	1334	S25 and ((align\$5 orientat\$5) adj3 (film layer)) near5 (driv\$5 peripheral periphery non\$display)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 03:22

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	13/939,323
				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	2871
Examiner Name	E. Glick				
Sheet	1	of	6	Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-2003/0189210	10-09-2003	YAMAZAKI.S et al.	
		US-2003/0127651	07-10-2003	MURAKAMI.S et al.	
		US-6861710	03-01-2005	MURAKAMI.S et al.	
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		US-8415669	04-09-2013	YAMAZAKI.S et al.	
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		US-2011/0198598	08-18-2011	KIM.Y et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP-2003-197367A	07-11-2003			Abst.
		WO-2011/102203	08-25-2011			Eng.
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		JP-2012-084864A	04-26-2012			Abst.

Examiner Signature	/Richard Kim/	Date Considered	01/12/2015
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	13/939,323
				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	2871
				Examiner Name	E. Glick
Sheet	2	of	6	Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-7796101	09-14-2010	IWASHITA.T et al.	
		US-8059067	11-15-2011	IWASHITA.T et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP-2011-192977A	09-29-2011			Abst.
		JP-2007-053355A	03-01-2007			Abst.
		JP-2007-250244A	09-27-2007			Abst.
		JP-2011-171300A	09-01-2011			Abst.
		TW-201230341	07-16-2012			Abst.
		JP-2012-160715A	08-23-2012			Abst.

Examiner Signature	/Richard Kim/	Date Considered	01/12/2015
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PTO/SB/08A (07-06)

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Sheet	3	of	6	Attorney Docket Number	0756-10194

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		CN-102593185	07-18-2012			Abst.
		KR-2012-0090779A	08-17-2012			Abst.
		TW-201238056	09-16-2012			Abst.
		TW-201214711	04-01-2012			Abst.
		KR-2012-0138770A	12-26-2012			Abst.
		TW-I264822	10-21-2006			Abst.

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			Art Unit	2871	
			Examiner Name	E. Glick	
Sheet	4	of	6	Attorney Docket Number	0756-10194

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		CN-001450665	10-22-2003			Abst.
		KR-2010-0061420A	06-07-2010			Abst.
		KR-2008-0035643A	04-23-2008			Abst.
		EP-1835540A	09-19-2007			Eng.
		KR-2007-0093830A	09-19-2007			Abst.
		CN-101038932	09-19-2007			Abst.

Examiner Signature	/Richard Kim/	Date Considered	01/12/2015
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				Examiner Name	E. Glick	
Sheet	5	of	6	Attorney Docket Number	0756-10194	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		KR-2011-0094458A	08-24-2011			Abst.

Examiner Signature	/Richard Kim/	Date Considered	01/12/2015
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				Art Unit	2871	
				Examiner Name	E. Glick	
Sheet	6	of	6	Attorney Docket Number	0756-10194	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		INTERNATIONAL SEARCH REPORT (APPLICATION NO.PCT/JP2013/069456) DATED October 22, 2013.	Eng.
		WRITTEN OPINION (APPLICATION NO.PCT/JP2013/069456) DATED October 22, 2013.	Eng.

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		Art Unit		2871	
		Examiner Name		R. KIM	
Sheet	1	of	1	Attorney Docket Number 0756-10194	

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		Number-Kind Code <sup>2</sup> (if known)			
		US-6,960,786	11-01-2005	YAMAZAKI.S et al.	
		US-7,554,116	06-30-2009	YAMAZAKI.S et al.	
		US-7,989,808	08-02-2011	YAMAZAKI.S et al.	
		US-8,207,537	06-26-2012	YAMAZAKI.S et al.	
		US-8,471,259	06-25-2013	YAMAZAKI.S et al.	
		US-2011/0084272	04-14-2011	MIYANAGA.A et al.	
		US-2012/0153292	06-21-2012	NAKAMURA.T et al.	
		US-2014/0022480	01-23-2014	YOKOYAMA.M et al.	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP-2005-173106A	06-30-2005			Abst.

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		Examiner Name	E. Glick
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		Number-Kind Code <sup>2</sup> (if known)			
		US-2002/0056838	05-16-2002	OGAWA.K	
		US-2003/0189401	10-09-2003	KIDO.J et al.	
		US-6727522	04-27-2004	KAWASAKI.M et al.	
		US-2006/0169973	08-03-2006	ISA.T et al.	
		US-2006/0208977	09-21-2006	KIMURA.H	
		US-2006/0238135	10-26-2006	KIMURA.H	
		US-7064346	06-20-2006	KAWASAKI.M et al.	
		US-2007/0108446	05-17-2007	AKIMOTO.K	
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		US-2006/0113565	06-01-2006	ABE.K et al.	
		US-2006/0113539	06-01-2006	SANO.M et al.	
		US-2006/0113549	06-01-2006	DEN.T et al.	

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		JP-2000-150900A	05-30-2000			Abst.
		JP-60-198861A	10-08-1985			Full
		JP-2004-103957A	04-02-2004			Abst.
		JP-11-505377	05-18-1999			Abst.
		JP-08-264794A	10-11-1996			Full
		JP-2000-044236A	02-15-2000			Full

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		Number-Kind Code <sup>2</sup> (if known)			
		US-7323356	01-29-2008	HOSONO.H et al.	
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		US-2007/0194379	08-23-2007	HOSONO.H et al.	
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		US-2006/0284171	12-21-2006	LEVY.D et al.	
		US-2006/0284172	12-21-2006	ISHII.H	
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
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		Examiner Name	E. Glick		
Sheet	3	of	12	Attorney Docket Number	0756-10194

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		US-2007/0052025	03-08-2007	YABUTA.H	
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		JP-63-239117A	10-05-1988			Full
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			Examiner Name	E. Glick	
Sheet	8	of	12	Attorney Docket Number	0756-10194

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		US2011/0133181	06-09-2011	YAMAZAKI	
		US8269218	09-18-2012	YAMAZAKI	
		US2012/0319118	12-20-2012	YAMAZAKI	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP2006-165528	06-22-2006			abst.
		JP2011-139047	07-14-2011			abst.

Examiner Signature	/Richard Kim/	Date Considered	01/12/2015
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.K./

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Confirmation No. 2340  
Yasuharu HOSAKA et al. ) Examiner: Richard H. Kim  
Serial No. 13/939,323 ) Group Art Unit: 2871  
Filed: July 11, 2013 )  
For: DISPLAY DEVICE AND )  
ELECTRONIC DEVICE INCLUDING )  
THE DISPLAY DEVICE )

**AMENDMENT AND RESPONSE TO ELECTION REQUIREMENT**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please consider the following amendments and remarks in connection with the above-identified application.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.

The listing of claims will replace all prior versions, and listings, of claims in the application:

**Listing of Claims:**

1. (Original) A display device comprising:  
a pixel portion comprising:
  - a first transistor;
  - a first insulating film over the first transistor;
  - a second insulating film over the first insulating film;
  - a third insulating film over the second insulating film;
  - a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;
  - a first alignment film over the first electrode; and
  - a liquid crystal layer over the first alignment film; anda driver circuit portion comprising:
  - a second transistor;
  - the first insulating film over the second transistor;
  - the second insulating film over the first insulating film; and
  - the first alignment film over the second insulating film,wherein the first insulating film comprises an inorganic insulating material,  
wherein the second insulating film comprises an organic insulating material,  
wherein the third insulating film comprises an inorganic insulating material, and  
wherein the first alignment film is entirely in contact with the second insulating film in the driver circuit portion.
  
2. (Original) The display device according to claim 1 further comprising:  
a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film;  
a fourth insulating film over the second electrode;  
a colored film over the fourth insulating film; and  
a light-blocking film over the fourth insulating film.

3. (Original) The display device according to claim 1,  
wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

4. (Original) The display device according to claim 1,  
wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin.

5. (Original) The display device according to claim 1,  
wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

6. (Original) The display device according to claim 1,  
wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

7. (Original) The display device according to claim 6,  
wherein the oxide semiconductor layer comprises any of indium and zinc.

8. (Original) The display device according to claim 6,

wherein the oxide semiconductor layer has a first oxide semiconductor film and a second oxide semiconductor film.

9. (Original) An electronic device comprising the display device according to claim 1.

10.-20. (Canceled)

21. (New) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film over the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;

a first alignment film over the first electrode; and

a liquid crystal layer over the first alignment film; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor;

the second insulating film over the first insulating film; and

the first alignment film over the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein the first alignment film is in contact with the second insulating film over the driver circuit portion.

22. (New) The display device according to claim 21, further comprising:  
a second alignment film over the liquid crystal layer;  
a second electrode over the second alignment film;  
a fourth insulating film over the second electrode;  
a colored film over the fourth insulating film; and  
a light-blocking film over the fourth insulating film.
23. (New) The display device according to claim 21,  
wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.
24. (New) The display device according to claim 21,  
wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.
25. (New) The display device according to claim 21,  
wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.
26. (New) An electronic device comprising the display device according to claim 21.
27. (New) A display device comprising:  
a pixel portion comprising:  
a first transistor;  
a first insulating film over the first transistor;

a second insulating film over the first insulating film;  
a third insulating film over and in contact with the second insulating film;  
a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;  
a first alignment film over the first electrode; and  
a liquid crystal layer over the first alignment film; and  
a driver circuit portion comprising:  
a second transistor;  
the first insulating film over the second transistor;  
the second insulating film over the first insulating film; and  
the first alignment film over and in contact with the second insulating film,  
wherein the first insulating film comprises an inorganic insulating material,  
wherein the second insulating film comprises an organic insulating material,  
wherein the third insulating film comprises an inorganic insulating material, and  
wherein the first alignment film is in contact with the second insulating film over the driver circuit portion.

28. (New) The display device according to claim 27, further comprising:

a second alignment film over the liquid crystal layer;  
a second electrode over the second alignment film;  
a fourth insulating film over the second electrode;  
a colored film over the fourth insulating film; and  
a light-blocking film over the fourth insulating film.

29. (New) The display device according to claim 27,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.



30. (New) The display device according to claim 27,  
wherein the third insulating film is any of a silicon nitride film, a silicon nitride  
oxide film, and an aluminum oxide film.

31. (New) The display device according to claim 27,  
wherein the first transistor and the second transistor each comprises an oxide  
semiconductor layer.

32. (New) An electronic device comprising the display device according to claim  
27.


**REMARKS**

In response to the election requirement in the Office Action of October 31, 2014, Applicant hereby elects Species (1) "The specifics of the device comprising a liquid crystal display device comprising a liquid crystal layer (Fig. 2)." Claims 1-9 read on the elected species. New claims 21-32, which also read on Species (1), have been added. Specifically, newly added independent claims 21 and 27 are supported by, for example, Applicant's Figs. 1A to 1C and Fig. 2 and associated disclosure while added dependent claims 22-26 and 28-32 correspond to dependent claims 2, 3, 5, 6, and 9. Non-elected claims 10-20 have been canceled without prejudice or disclaimer. Thus, claims 1-9 and 21-32 are pending and subject to examination at this time.

Examination on the merits is respectfully requested.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

  
\_\_\_\_\_  
Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive,  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Confirmation No. 2340
Yasuharu HOSAKA et al.	)	Examiner: Richard H. Kim
Serial No. 13/939,323	)	Group Art Unit: 2871
Filed: July 11, 2013	)	
For: DISPLAY DEVICE AND	)	
ELECTRONIC DEVICE INCLUDING	)	
THE DISPLAY DEVICE	)	

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

  
 \_\_\_\_\_  
 Eric J. Robinson  
 Reg. No. 38,285  
 Robinson Intellectual Property Law Office, P.C.  
 3975 Fair Ridge Drive,  
 Suite 20 North  
 Fairfax, Virginia 22033  
 (571) 434-6789

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	13/939,323
				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA
				Art Unit	2871
				Examiner Name	R. KIM
Sheet	1	of	1	Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-6,960,786	11-01-2005	YAMAZAKI.S et al.	
		US-7,554,116	06-30-2009	YAMAZAKI.S et al.	
		US-7,989,808	08-02-2011	YAMAZAKI.S et al.	
		US-8,207,537	06-26-2012	YAMAZAKI.S et al.	
		US-8,471,259	06-25-2013	YAMAZAKI.S et al.	
		US-2011/0084272	04-14-2011	MIYANAGA.A et al.	
		US-2012/0153292	06-21-2012	NAKAMURA.T et al.	
		US-2014/0022480	01-23-2014	YOKOYAMA.M et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP-2005-173106A	06-30-2005			Abst.

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	13939323
<b>Filing Date:</b>	11-Jul-2013
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Filer:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194

Filed as Large Entity

### Utility under 35 USC 111(a) Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
Claims in Excess of 20	1202	1	80	80

**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

**Post-Allowance-and-Post-Issuance:**

**Extension-of-Time:**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>80</b>

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	20841612
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Adele Stamper
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	02-DEC-2014
<b>Filing Date:</b>	11-JUL-2013
<b>Time Stamp:</b>	15:52:22
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$80
RAM confirmation Number	2379
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /zip (if appl.)	Pages (if appl.)

1	Response to Election / Restriction Filed	RER_02DEC2014_075610194.pdf	835725 44f028dbe41b9839e5c172bf47bf77661866a594	no	8
<b>Warnings:</b>					
<b>Information:</b>					
2		IDS_02DEC2014_075610194.pdf	314982 175db00c699f16c11663c821611da6a6ff2859cf	yes	2
	<b>Multipart Description/PDF files in .zip description</b>				
	<b>Document Description</b>		<b>Start</b>	<b>End</b>	
	Transmittal Letter		1	1	
	Information Disclosure Statement (IDS) Form (SB08)		2	2	
<b>Warnings:</b>					
<b>Information:</b>					
3	Foreign Reference	JP_2005173106.pdf	3552108 3c9db8c2e95515fc48a38f43ce0c483414277b7c	no	23
<b>Warnings:</b>					
<b>Information:</b>					
4	Fee Worksheet (SB06)	fee-info.pdf	30402 7657bfed4bb63ec488af043980462ed683314ec9	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			4733217		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					



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<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875	Application or Docket Number <b>13/939,323</b>	Filing Date <b>07/11/2013</b>	<input type="checkbox"/> To be Mailed
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ENTITY:  LARGE  SMALL  MICRO

**APPLICATION AS FILED – PART I**

FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*	X \$ =	
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X \$ =	
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	

**APPLICATION AS AMENDED – PART II**

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>	<b>12/02/2014</b>	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		
	Total (37 CFR 1.16(i))	* 21	Minus	** 20	= 1	X \$80 = 80
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	X \$420 = 0
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
					TOTAL ADD'L FEE	<b>80</b>

	(Column 1)	(Column 2)	(Column 3)	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)
<b>AMENDMENT</b>		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		
	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$ =
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$ =
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))					
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
					TOTAL ADD'L FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE  
/LYNNELL JOHNSON/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194	2340

31780 7590 10/31/2014  
Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, VA 22033

EXAMINER
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KIM, RICHARD H

ART UNIT	PAPER NUMBER
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2871

MAIL DATE	DELIVERY MODE
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10/31/2014

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 13/939,323	<b>Applicant(s)</b> HOSAKA ET AL.	
	<b>Examiner</b> RICHARD KIM	<b>Art Unit</b> 2871	<b>AIA (First Inventor to File) Status</b> No

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on \_\_\_\_\_.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 2a)  This action is **FINAL**.                                        2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims\***

- 5)  Claim(s) 1-20 is/are pending in the application.  
     5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) \_\_\_\_\_ is/are allowed.
- 7)  Claim(s) \_\_\_\_\_ is/are rejected.
- 8)  Claim(s) \_\_\_\_\_ is/are objected to.
- 9)  Claim(s) 1-20 are subject to restriction and/or election requirement.

\* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).

**Application Papers**

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

- a)  All    b)  Some\*\*    c)  None of the:
  - 1.  Certified copies of the priority documents have been received.
  - 2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - 3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  
     Paper No(s)/Mail Date \_\_\_\_\_.
- 3)  Interview Summary (PTO-413)  
     Paper No(s)/Mail Date. \_\_\_\_\_.
- 4)  Other: \_\_\_\_\_.

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### DETAILED ACTION

1. The present application is being examined under the pre-AIA first to invent provisions.

#### *Election/Restrictions*

2. This application contains claims directed to the following patentably distinct species:

3. (1) The specifics of the device comprising a liquid crystal display device comprising a liquid crystal layer (Fig. 2);

4. (2) The specifics of the device comprising an organic EL panel comprising a filler (Fig. 4).

5. The species are independent or distinct because they represent mutually exclusive embodiments distinguished by the type of display device. In addition, these species are not obvious variants of each other based on the current record.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, or a single grouping of patentably indistinct species, for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable.

There is a search and/or examination burden for the patentably distinct species as set forth above because at least the following reason(s) apply:

A search and/or examination of both species would require searches in different class/subclasses and/or require different fields of search (i.e. search queries). Additionally, a reference relevant to one species may not be relevant to the other species.

**Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species to be examined** even though the requirement may be traversed (37

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CFR 1.143) and (ii) **identification of the claims encompassing the elected species or grouping of patentably indistinct species**, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

The election may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected species or grouping of patentably indistinct species.

Should applicant traverse on the ground that the species, or groupings of patentably indistinct species from which election is required, are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing them to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the species unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103 or pre-AIA 35 U.S.C. 103(a) of the other species.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141.

6. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be corrected in compliance with 37 CFR 1.48(a) if one or more of the

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currently named inventors is no longer an inventor of at least one claim remaining in the application. A request to correct inventorship under 37 CFR 1.48(a) must be accompanied by an application data sheet in accordance with 37 CFR 1.76 that identifies each inventor by his or her legal name and by the processing fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bumsuk Won can be reached on (571)272-2713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/  
Primary Examiner, Art Unit 2871

日 本 国 特 許 庁  
JAPAN PATENT OFFICE

別紙添付の書類に記載されている事項は下記の出願書類に記載されている事項と同一であることを証明する。

This is to certify that the annexed is a true copy of the following application as filed with this Office.

出 願 年 月 日                    2 0 1 2 年   7 月 2 0 日  
Date of Application:

出 願 番 号                        特 願 2 0 1 2 - 1 6 1 3 4 4  
Application Number:

パリ条約による外国への出願  
に用いる優先権の主張の基礎  
となる出願の国コードと出願  
番号  
The country code and number  
of your priority application,  
to be used for filing abroad  
under the Paris Convention, is

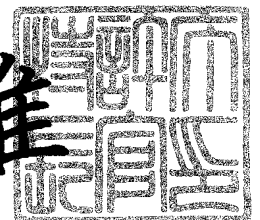
J P 2 0 1 2 - 1 6 1 3 4 4

出 願 人                            株式会社半導体エネルギー研究所  
Applicant(s):

2 0 1 4 年   2 月 2 4 日

特許庁長官  
Commissioner,  
Japan Patent Office

羽藤秀雄



【書類名】 特許願  
 【整理番号】 P017384  
 【提出日】 平成24年 7月20日  
 【あて先】 特許庁長官 殿  
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 【手数料の表示】  
     【振替番号】 00003528  
     【納付金額】 15,000円  
 【提出物件の目録】  
     【物件名】 明細書 1  
     【物件名】 特許請求の範囲 1  
     【物件名】 要約書 1  
     【物件名】 図面 1



【書類名】明細書

【発明の名称】表示装置、及び該表示装置を有する電子機器

【技術分野】

【0001】

液晶パネルを用いる表示装置、または有機ELパネルを用いる表示装置に関する。また、該表示装置を有する電子機器に関する。

【背景技術】

【0002】

近年、液晶パネルを用いる表示装置や有機ELパネルを用いる表示装置の開発が盛んである。この表示装置には、大別して画素制御用のトランジスタ（画素トランジスタ）のみを基板上に形成して走査回路（駆動回路）は周辺ICで行うものと、画素トランジスタとともに走査回路を同一基板上に形成するものに分類される。

【0003】

表示装置の狭額縁化または周辺ICのコスト低減のため、駆動回路一体型の表示装置の方が、有利である。しかしながら、駆動回路に用いるトランジスタとしては、画素トランジスタに用いられる電気特性（例えば、電界効果移動度（ $\mu FE$ ）またはしきい値等）よりも、高い電気特性が求められる。

【0004】

トランジスタに適用可能な半導体薄膜としてシリコン系半導体材料が広く知られているが、その他の材料として酸化物半導体が注目されている。例えば、トランジスタに用いる半導体薄膜として、電子キャリア濃度が $10^{18}/cm^3$ 未満であるインジウム（In）、ガリウム（Ga）、及び亜鉛（Zn）を含む非晶質酸化物を用いたトランジスタが開示されている（例えば、特許文献1参照）。

【0005】

酸化物半導体を半導体層に用いるトランジスタは、シリコン系半導体材料である非晶質シリコンを半導体層に用いるトランジスタよりも電界効果移動度が大きいため、動作速度が速く、駆動回路一体型の表示装置には好適であり、且つ多結晶シリコンを半導体層に用いるトランジスタよりも製造工程が容易である。

【0006】

しかし、酸化物半導体を半導体層に用いるトランジスタは、酸化物半導体に水素、水分等の不純物が入り込むことによってキャリアが形成され、該トランジスタの電気特性が変動するという問題がある。

【0007】

上述した問題を解決するために、トランジスタのチャンネル形成領域として用いる酸化物半導体膜中の水素原子の濃度を $1 \times 10^{16} cm^{-3}$ 未満とすることで、信頼性を向上させたトランジスタが開示されている（例えば、特許文献2）。

【先行技術文献】

【特許文献】

【0008】

【特許文献1】特開2006-165528号公報

【特許文献2】特開2011-139047号公報

【発明の概要】

【発明が解決しようとする課題】

【0009】

特許文献2にも記載されているように、酸化物半導体膜を半導体層に用いるトランジスタは、その電気特性を十分に維持するためには、水素、水分等を該酸化物半導体膜より極力排除することが重要である。

【0010】

また、表示装置の画素領域及び駆動回路領域に双方にトランジスタを用いる場合、駆動方法にも依存するが、画素領域よりも駆動回路領域に用いるトランジスタの方が、電気的

負荷が大きいため、駆動回路領域に用いるトランジスタの電気特性が重要となる。

【0011】

とくに、酸化半導体膜を半導体層に用いるトランジスタを、画素領域及び駆動回路領域に用いた表示装置では、高温高湿環境下の信頼性試験において、駆動回路領域に用いるトランジスタの劣化が問題になっている。該トランジスタの劣化原因としては、トランジスタ上に形成された有機絶縁膜から、半導体層に用いる酸化半導体膜へ水分等が入り込み、該酸化半導体膜のキャリア密度が増加するためである。

【0012】

そこで、本発明の一態様は、画素領域及び駆動回路領域にトランジスタを有する表示装置において、電気特性の変動を抑制すると共に、信頼性を向上させることを課題の一とする。とくに、トランジスタのチャネル形成領域に酸化半導体膜を用いた表示装置において、該酸化半導体膜への水素、水分の入り込みを抑制し、電気特性の変動を抑制すると共に、信頼性を向上させることを課題の一とする。

【課題を解決するための手段】

【0013】

上述した課題に鑑み、本発明の一態様では、画素領域及び駆動回路領域に用いるトランジスタを有する表示装置において、トランジスタの電気特性の変動を抑制することができる構造を提供する。より具体的には、トランジスタのチャネル形成領域に酸化半導体膜を用い、該トランジスタ上に設けられた有機絶縁材料により形成された平坦化膜の構造に特徴を持たせ、水素、水分が酸化半導体膜、特に駆動回路領域に用いる酸化半導体膜に入り込みづらい構造とする。より具体的には以下の通りである。

【0014】

本発明の一態様は、画素電極と、該画素電極と電気的に接続される少なくとも一の第1のトランジスタを含む画素が複数個配列されている画素領域と、画素領域の外側に隣接し、該画素領域の各画素に含まれる第1のトランジスタに信号を供給する少なくとも一の第2のトランジスタを含む駆動回路領域と、が形成された第1の基板と、第1の基板と対向するように設けられた第2の基板と、第1の基板と第2の基板間に挟持された液晶層と、を有し、第1のトランジスタ及び第2のトランジスタ上に無機絶縁材料で形成された第1の層間絶縁膜と、第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間絶縁膜と、第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜と、を有し、第3の層間絶縁膜は、画素領域上の一部に設けられ、該第3の層間絶縁膜の端部が駆動回路領域よりも内側に形成されることを特徴とする表示装置である。

【0015】

上記構成において、画素電極上に設けられた第1の配向膜と、第1の配向膜上に形成された液晶層と、液晶層上に設けられた第2の配向膜と、第2の配向膜上に設けられた対向電極と、対向電極上に設けられた有機保護絶縁膜と、有機保護絶縁膜上に設けられた有色膜及び遮光膜と、有色膜及び遮光膜上に設けられた第2の基板と、を有していてもよい。

【0016】

また、本発明の他の一態様は、画素電極と、該画素電極と電気的に接続される少なくとも一の第1のトランジスタを含む画素が複数個配列されている画素領域と、画素領域の外側に隣接し、該画素領域の各画素に含まれる第1のトランジスタに信号を供給する少なくとも一の第2のトランジスタを含む駆動回路領域と、が形成された第1の基板と、第1の基板と対向するように設けられた第2の基板と、第1の基板と第2の基板間に挟持された発光層と、を有し、第1のトランジスタ及び第2のトランジスタ上に無機絶縁材料で形成された第1の層間絶縁膜と、第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間絶縁膜と、第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜と、を有し、第3の層間絶縁膜は、画素領域上の一部に設けられ、該第3の層間絶縁膜の端部が駆動回路領域よりも内側に形成されることを特徴とする表示装置である。

【0017】

上記構成において、画素電極上に設けられた発光層と、発光層上に設けられた電極と、

を有していてもよい。

【0018】

また、上記各構成において、第3の層間絶縁膜は、窒化シリコン膜、窒化酸化シリコン膜、酸化アルミニウム膜の中から選ばれたいずれか一であると好ましい。

【0019】

また、上記各構成において、第1のトランジスタ及び第2のトランジスタは、チャンネル形成領域を形成する半導体材料が酸化物半導体であると好ましい。また、第1のトランジスタ及び第2のトランジスタは、ゲート電極と、ゲート電極上に形成された酸化物半導体からなる半導体層と、半導体層上に形成されたソース電極及びドレイン電極と、を有する構成であると好ましい。

【0020】

また、本発明の一態様は、上記各構成の表示装置を有する電子機器も範疇に含めるものである。

【発明の効果】

【0021】

画素領域及び駆動回路領域にトランジスタを有する表示装置において、電気特性の変動を抑制すると共に、信頼性を向上させることができる。とくに、トランジスタのチャンネル形成領域に酸化物半導体膜を用いた表示装置において、該酸化物半導体膜への水素、水分の入り込みを抑制し、電気特性の変動を抑制すると共に、信頼性を向上させることができる。

【図面の簡単な説明】

【0022】

【図1】 表示装置の一形態の上面を説明する図。

【図2】 表示装置の一形態の断面を説明する図。

【図3】 表示装置の一形態の上面を説明する図。

【図4】 表示装置の一形態の断面を説明する図。

【図5】 本発明の一態様に係るイメージセンサ付表示装置の一例を示す回路図および断面図。

【図6】 本発明の一態様に係るタブレット型端末の一例を示す図。

【図7】 本発明の一態様に係る電子機器の例を示す図。

【図8】 各質量電荷比における放出ガスのイオン強度を示す図。

【図9】 基板表面温度に対する各質量電荷比のイオン強度を示す図。

【図10】 試料の断面観察像。

【図11】 各試料の電気特性を示す図。

【発明を実施するための形態】

【0023】

以下では、本発明の実施の形態について図面を用いて詳細に説明する。ただし、本発明は以下の説明に限定されず、その形態および詳細を様々に変更し得ることは、当業者であれば容易に理解される。また、本発明は以下に示す実施の形態の記載内容に限定して解釈されるものではない。

【0024】

以下に説明する実施の形態において、同じものを指す符号は異なる図面間で共通して用いる。なお、図面において示す構成要素、すなわち層や領域等の厚さ幅、相対的な位置関係等は、実施の形態において説明する上で明確性のために誇張して示される。

【0025】

また、本明細書等において「電極」や「配線」の用語は、これらの構成要素を機能的に限定するものではない。例えば、「電極」は「配線」の一部として用いられることがあり、その逆もまた同様である。さらに、「電極」や「配線」の用語は、複数の「電極」や「配線」が一体となって形成されている場合なども含む。

【0026】

また、本明細書等において、窒化酸化シリコン膜とは、窒素と、酸素と、シリコンと、を成分として含有し、且つ、窒素の含有量が酸素の含有量よりも多い膜である。また、酸化窒化シリコン膜とは、酸素と、窒素と、シリコンと、を成分として含有し、且つ、酸素の含有量が窒素の含有量よりも多い膜である。

【0027】

また、「ソース」や「ドレイン」の機能は、異なる極性のトランジスタを採用する場合や、回路動作において電流の方向が変化する場合などには入れ替わることがある。このため、本明細書等においては、「ソース」や「ドレイン」の用語は、入れ替えて用いることができるものとする。

【0028】

(実施の形態1)

本実施の形態では、表示装置の一形態として、液晶パネルを用いた表示装置について図1及び図2を用いて説明する。

【0029】

図1(A)、(B)、(C)に表示装置の一形態として、表示装置の上面図を示す。なお、図1(A)は、表示装置全体を、図1(B)は、表示装置の駆動回路部の一部分を、図1(C)は画素部の一部分の上面図を、それぞれ示す。また、図2は、図1(A)におけるX1-Y1の断面図に相当する。

【0030】

図1(A)に示す表示装置において、第1の基板102上に設けられた画素領域142と、画素領域142の外側に隣接し、該画素領域142に信号を供給する駆動回路領域であるゲートドライバ回路部140及びソースドライバ回路部144を囲むようにして、シール材166が設けられ、第2の基板152によって封止されている。また、画素領域142と、ゲートドライバ回路部140及びソースドライバ回路部144が設けられた第1の基板102と対向するように第2の基板152が設けられている。よって画素領域142と、ゲートドライバ回路部140と、ソースドライバ回路部144とは、第1の基板102とシール材166と第2の基板152によって、表示素子と共に封止されている。

【0031】

また、図1(A)においては、第1の基板102上のシール材166によって囲まれている領域とは異なる領域に、画素領域142、ゲートドライバ回路部140、ソースドライバ回路部144と電気的に接続されているFPC端子部146(FPC:Flexible printed circuit)が設けられており、FPC端子部146には、FPC148が接続され、画素領域142、ゲートドライバ回路部140、及びソースドライバ回路部144に与えられる各種信号、及び電位は、FPC148により供給されている。

【0032】

また、図1(A)においては、ゲートドライバ回路部140及びソースドライバ回路部144を画素領域142と同じ第1の基板102に形成している例を示しているが、この構成に限定されない。例えば、ゲートドライバ回路部140のみを第1の基板102に形成し、別途用意されたソースドライバ回路が形成された基板(例えば、単結晶半導体膜、多結晶半導体膜で形成された駆動回路基板)を、第1の基板102に実装する構成としても良い。

【0033】

また、図1(A)においては、ゲートドライバ回路部140は画素領域142の両側に2つ配置する構成について例示しているが、この構成に限定されない。例えば、画素領域142の片側にのみゲートドライバ回路部140を配置する構成としても良い。

【0034】

なお、別途形成した駆動回路基板の接続方法は、特に限定されるものではなく、COG(Chip On Glass)方法、ワイヤボンディング方法、或いはTAB(Tape Automated Bonding)方法などを用いることができる。また、表示

装置は、表示素子が封止された状態にあるパネルと、該パネルにコントローラを含むIC等を実装した状態にあるモジュールとを含む。

#### 【0035】

このように、トランジスタを含む駆動回路の一部または全体を、画素領域142と同じ第1の基板102上に一体形成し、システムオンパネルを形成することができる。

#### 【0036】

また、図1(C)においては、画素領域142に、第1のトランジスタ101、容量素子107が形成されている。第1のトランジスタ101は、半導体層108に対して、ゲート電極104、ソース電極110、及びドレイン電極112が、それぞれ電氣的に接続されている。また、図1(C)に示す平面図においては、図示しないが、第1のトランジスタ101上には、無機絶縁材料で形成された第1の層間絶縁膜と、第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間絶縁膜と、第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜が形成されている。また、容量素子107は、容量電極118と、容量電極118上に形成された第3の層間絶縁膜と、該第3の層間絶縁膜上に形成された画素電極122により構成されている。

#### 【0037】

また、図1(B)においては、駆動回路領域であるゲートドライバ回路部140に、第2のトランジスタ103、及び第3のトランジスタ105が形成されている。また、ゲートドライバ回路部140の各トランジスタは、半導体層108に対して、ゲート電極104、ソース電極110、及びドレイン電極112が、それぞれ電氣的に接続されている。また、ゲートドライバ回路部140においては、ゲート電極104を含むゲート線が左右方向に延在し、ソース電極110を含むソース線が上下方向に延在し、ドレイン電極112を含むドレイン線がソース電極と離間して上下方向に延在している。

#### 【0038】

第2のトランジスタ103、及び第3のトランジスタ105を含むゲートドライバ回路部140は、画素領域142の各画素に含まれる第1のトランジスタ101に信号を供給することができる。

#### 【0039】

また、ゲートドライバ回路部140における第2のトランジスタ103、及び第3のトランジスタ105は、各種信号の制御、及び昇圧等を行うために、比較的高い電圧が必要となる。具体的には、10V～30V程度の電圧が必要となる。一方、画素領域142における第1のトランジスタ101は、画素のスイッチングのために用いるのみであるため、数V～20V程度の電圧で駆動することができる。そのため、ゲートドライバ回路部140における第2のトランジスタ103、及び第3のトランジスタ105は、画素領域142における第1のトランジスタ101と比較し、与えられるストレスが非常に大きい構成となる。

#### 【0040】

図1(A)、(B)、(C)に示す表示装置の構成をより具体的に説明するため、図1(A)、(B)、(C)におけるX1-Y1の断面図に相当する図2を用いて、ゲートドライバ回路部140、及び画素領域142の構成について、以下説明を行う。

#### 【0041】

画素領域142において、第1の基板102と、第1の基板102上に形成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜106と、ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体層108と、ゲート絶縁膜106、及び半導体層108上に形成されたソース電極110及びドレイン電極112と、により、第1のトランジスタ101が形成されている。

#### 【0042】

また、画素領域142において、第1のトランジスタ101上、より詳しくはゲート絶縁膜106、半導体層108、ソース電極110、及びドレイン電極112上に無機絶縁材料で形成された第1の層間絶縁膜114と、第1の層間絶縁膜114上に有機絶縁材料

で形成された第2の層間絶縁膜116と、第2の層間絶縁膜116上に形成された容量電極118と、第2の層間絶縁膜116及び容量電極118上に無機絶縁材料で形成された第3の層間絶縁膜120と、第3の層間絶縁膜120上に形成された画素電極122と、を有している。

#### 【0043】

なお、容量電極118と、第3の層間絶縁膜120と、画素電極122と、により容量素子107が形成されている。容量電極118、第3の層間絶縁膜120、及び画素電極122を、それぞれ、可視光において、透光性を有する材料により形成することで、画素部の開口率を損ねることなく大きな容量を確保することができるので、好適である。

#### 【0044】

また、画素電極122上には、第1の配向膜124と、第1の配向膜124上に設けられた液晶層162と、液晶層162上に設けられた第2の配向膜164と、第2の配向膜164上に設けられた対向電極158と、対向電極158上に設けられた有機保護絶縁膜156と、有機保護絶縁膜156上に設けられた有色膜153及び遮光膜154と、有色膜153及び遮光膜154上に設けられた第2の基板152と、を有する。

#### 【0045】

なお、画素電極122と、第1の配向膜124と、液晶層162と、第2の配向膜164と、対向電極158と、により表示素子である液晶素子150が形成されている。

#### 【0046】

ゲートドライバ回路部140において、第1の基板102と、第1の基板102上に形成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜106と、ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体層108と、ゲート絶縁膜106、及び半導体層108上に形成されたソース電極110及びドレイン電極112と、により、第2のトランジスタ103、及び第3のトランジスタ105が形成されている。

#### 【0047】

また、ゲートドライバ回路部140において、第2のトランジスタ103及び第3のトランジスタ105上、より詳しくはゲート絶縁膜106、及び半導体層108、ソース電極110、及びドレイン電極112上に形成された第1の層間絶縁膜114と、第1の層間絶縁膜114上に形成された第2の層間絶縁膜116が形成されている。

#### 【0048】

すなわち、第3の層間絶縁膜120は、画素領域142上の一部に設けられ、第3の層間絶縁膜120の端部が駆動回路領域であるゲートドライバ回路部140よりも内側に形成される。

#### 【0049】

このような構成とすることによって、外部から取り込まれる水分、または表示装置内部で生じた水分、水素等のガスをゲートドライバ回路部140の第2の層間絶縁膜116から上部へ放出することができる。したがって、第1のトランジスタ101、第2のトランジスタ103、及び第3のトランジスタ105内部に水分、水素等のガスが取り込まれるのを抑制することができる。

#### 【0050】

なお、有機絶縁材料により形成される第2の層間絶縁膜116は、表示装置を構成するトランジスタの凹凸等を低減するために、平坦性の高い有機絶縁材料が必要とされる。これは、トランジスタの凹凸等を低減することにより、表示装置の画質を向上させることができるためである。しかしながら、該有機絶縁材料は加熱等により、水素、水分、または有機成分をガスとして放出してしまう。

#### 【0051】

しかし、半導体層108に、例えば、シリコン系半導体材料であるシリコン膜を用いたトランジスタにおいては、上述の水素、水分、または有機成分のガスが大きな問題になる可能性が低い。しかし、本発明の一態様においては、半導体層108に酸化物半導体膜を

用いるため、有機絶縁材料により形成される第2の層間絶縁膜116からのガスを外部に好適に放出させる必要がある。なお、第3の層間絶縁膜120の端部が駆動回路領域であるゲートドライバ回路部140よりも内側に形成される構成は、半導体層108を酸化半導体膜により形成した場合において、優れた効果を奏する。ただし、半導体層108に酸化半導体以外の材料（例えば、シリコン系半導体材料である非晶質シリコン、結晶性シリコンなど）により形成したトランジスタにおいても、同様の効果が得られる。

#### 【0052】

また、有機絶縁材料で形成された第2の層間絶縁膜116上に形成される無機絶縁材料で形成された第3の層間絶縁膜120は、本実施の形態においては、容量素子107の誘電体として用いる。また、無機絶縁材料で形成された第3の層間絶縁膜120は、外部から第2の層間絶縁膜116に入り込む水素、水分等を抑制することができる。

#### 【0053】

しかしながら、第3の層間絶縁膜120をゲートドライバ回路部140に用いる第2のトランジスタ103及び第3のトランジスタ105上の第2の層間絶縁膜116上に形成すると、第2の層間絶縁膜116に用いる有機絶縁材料から放出されるガスを外部に拡散することができず、第2のトランジスタ103、及び第3のトランジスタ105内部に入り込む。

#### 【0054】

上述した有機絶縁材料から放出されるガスが、トランジスタの半導体層108に用いる酸化半導体に入り込むと、酸化半導体膜中で不純物として取り込まれ、該半導体層108を用いたトランジスタの特性が変動してしまう。

#### 【0055】

しかし、図1(B)に示すように、ゲートドライバ回路部140に用いる第2のトランジスタ103、及び第3のトランジスタ105上の第3の層間絶縁膜120が開口された構成、すなわち第3の層間絶縁膜120が、画素領域142の一部に設けられ、第3の層間絶縁膜120の端部がゲートドライバ回路部140よりも内側に形成される構成とすることによって、第2の層間絶縁膜116から放出されるガスを、外部へ拡散できる構成とすることができる。

#### 【0056】

なお、図1(B)に示すように、画素領域142に用いる第1のトランジスタ101においても、半導体層108の重畳する位置の無機絶縁材料で形成された第3の層間絶縁膜120が除去された構成が好ましい。このような構成とすることで、有機絶縁材料で形成された第2の層間絶縁膜116から放出されるガスが、第1のトランジスタ101への入り込むのを抑制することができる。

#### 【0057】

ここで、図1及び図2に示す表示装置の他の構成要素について、以下詳細な説明を行う。

#### 【0058】

第1の基板102及び第2の基板152としては、アルミノシリケートガラス、アルミノホウケイ酸ガラス、バリウムホウケイ酸ガラスなどのガラス材料を用いる。量産する上では、第1の基板102及び第2の基板152は、第8世代(2160mm×2460mm)、第9世代(2400mm×2800mm、または2450mm×3050mm)、第10世代(2950mm×3400mm)等のマザーガラスを用いることが好ましい。マザーガラスは、処理温度が高く、処理時間が長いと大幅に収縮するため、マザーガラスを使用して量産を行う場合、作製工程の加熱処理は、好ましくは600℃以下、さらに好ましくは450℃以下、さらに好ましくは350℃以下とすることが望ましい。

#### 【0059】

なお、第1の基板102及びゲート電極104の間に下地絶縁膜を設けてもよい。下地絶縁膜としては、酸化シリコン膜、酸化窒化シリコン膜、窒化シリコン膜、窒化酸化シリコン膜、酸化ガリウム膜、酸化ハフニウム膜、酸化イットリウム膜、酸化アルミニウム膜

、酸化窒化アルミニウム膜等がある。なお、下地絶縁膜として、窒化シリコン膜、酸化ガリウム膜、酸化ハフニウム膜、酸化イットリウム膜、酸化アルミニウム膜等を用いることで、第1の基板102から不純物、代表的にはアルカリ金属、水、水素等の半導体層108へ入り込むのを抑制することができる。

#### 【0060】

ゲート電極104としては、アルミニウム、クロム、銅、タンタル、チタン、モリブデン、タングステンから選ばれた金属元素、または上述した金属元素を成分とする合金か、上述した金属元素を組み合わせた合金等を用いて形成することができる。また、マンガン、ジルコニウムのいずれか一または複数から選択された金属元素を用いてもよい。また、ゲート電極104は、単層構造でも、二層以上の積層構造としてもよい。例えば、シリコンを含むアルミニウム膜の単層構造、アルミニウム膜上にチタン膜を積層する二層構造、窒化チタン膜上にチタン膜を積層する二層構造、窒化タンタル膜または窒化タングステン膜上にタングステン膜を積層する二層構造、チタン膜と、そのチタン膜上にアルミニウム膜を積層し、さらにその上にチタン膜を形成する三層構造等がある。また、アルミニウムに、チタン、タンタル、タングステン、モリブデン、クロム、ネオジム、スカンジウムから選ばれた元素の膜、または複数組み合わせた合金膜、もしくは窒化膜を用いてもよい。

#### 【0061】

また、ゲート電極104は、インジウム錫酸化物、酸化タングステンを含むインジウム酸化物、酸化タングステンを含むインジウム亜鉛酸化物、酸化チタンを含むインジウム酸化物、酸化チタンを含むインジウム錫酸化物、インジウム亜鉛酸化物、酸化シリコンを添加したインジウム錫酸化物等の透光性を有する導電性材料を適用することもできる。また、上記透光性を有する導電性材料と、上記金属元素の積層構造とすることもできる。

#### 【0062】

また、ゲート電極104とゲート絶縁膜106との間に、In-Ga-Zn系酸窒化物半導体膜、In-Sn系酸窒化物半導体膜、In-Ga系酸窒化物半導体膜、In-Zn系酸窒化物半導体膜、Sn系酸窒化物半導体膜、In系酸窒化物半導体膜、金属窒化膜（InN、ZnN等）等を設けてもよい。これらの膜は5eV、好ましくは5.5eV以上の仕事関数を有し、酸化物半導体の電子親和力よりも大きい値であるため、酸化物半導体を用いたトランジスタのしきい値電圧をプラスにシフトすることができ、所謂ノーマリーオフ特性のスイッチング素子を実現できる。例えば、In-Ga-Zn系酸窒化物半導体膜を用いる場合、少なくとも半導体層108より高い窒素濃度、具体的には7原子%以上のIn-Ga-Zn系酸窒化物半導体膜を用いる。

#### 【0063】

ゲート絶縁膜106としては、例えば酸化シリコン膜、酸化窒化シリコン膜、窒化酸化シリコン膜、窒化シリコン膜、酸化アルミニウム膜、酸化ハフニウム膜、酸化ガリウム膜またはGa-Zn系金属酸化物、窒化シリコン膜、窒化酸化シリコン膜などを用いればよく、積層または単層で設ける。なお、半導体層108との界面特性を向上させるため、ゲート絶縁膜106において少なくとも半導体層108と接する領域は酸化絶縁膜で形成することが好ましい。

#### 【0064】

また、ゲート絶縁膜106に、酸素、水素、水等のブロッキング効果を有する絶縁膜を設けることで、半導体層108からの酸素の外部への拡散と、外部から半導体層108への水素、水等の入り込むのを防ぐことができる。酸素、水素、水等のブロッキング効果を有する絶縁膜としては、酸化アルミニウム、酸化窒化アルミニウム、酸化ガリウム、酸化窒化ガリウム、酸化イットリウム、酸化窒化イットリウム、酸化ハフニウム、酸化窒化ハフニウム等がある。

#### 【0065】

また、ゲート絶縁膜106を積層構造とし、第1の窒化シリコン膜として、欠陥が少ない窒化シリコン膜とし、第1の窒化シリコン膜上に、第2の窒化シリコン膜として、水素



放出量及びアンモニア放出量の少ない窒化シリコン膜を設け、第2の窒化シリコン膜上に酸化絶縁膜を設けることで、ゲート絶縁膜106として、欠陥が少なく、且つ水素及びアンモニアの放出量の少ないゲート絶縁膜106を形成することができる。この結果、ゲート絶縁膜106に含まれる水素及び窒素が、半導体層108への移動を抑制することが可能である。

#### 【0066】

また、ゲート絶縁膜106に窒化シリコン膜を用いることで、以下の効果を得ることができる。窒化シリコン膜は、酸化シリコン膜と比較して比誘電率が高く、同等の静電容量を得るのに必要な膜厚が大きいいため、ゲート絶縁膜を物理的に厚膜化することができる。よって、第1のトランジスタ101、第2のトランジスタ103、及び第3のトランジスタ105の絶縁耐圧の低下を抑制、さらには絶縁耐圧を向上させて、表示装置に用いるトランジスタの静電破壊を抑制することができる。

#### 【0067】

また、ゲート電極104として銅を用い、ゲート電極104に接するゲート絶縁膜106に窒化シリコン膜を用いる場合、銅とアンモニア分子が反応することを抑制するために当該窒化シリコン膜は、加熱によるアンモニア分子放出量をできる限り低減することが好ましい。

#### 【0068】

酸化物半導体膜を半導体層108に用いるトランジスタにおいて、酸化物半導体膜及びゲート絶縁膜の界面またはゲート絶縁膜中に捕獲準位（界面準位ともいう。）があると、トランジスタのしきい値電圧の変動、代表的にはしきい値電圧のマイナスシフト、及びトランジスタがオン状態となるときにドレイン電流が一桁変化するのに必要なゲート電圧を示すサブスレッショルド係数（S値）の増大の原因となる。この結果、トランジスタごとに電気特性がばらつくという問題がある。このため、ゲート絶縁膜として、欠陥の少ない窒化シリコン膜を用いることで、しきい値電圧のマイナスシフト、及びトランジスタの電気特性のばらつきを低減することができる。

#### 【0069】

また、ゲート絶縁膜106として、ハフニウムシリケート（ $\text{HfSiO}_x$ ）、窒素が添加されたハフニウムシリケート（ $\text{HfSi}_x\text{O}_y\text{N}_z$ ）、窒素が添加されたハフニウムアルミネート（ $\text{HfAl}_x\text{O}_y\text{N}_z$ ）、酸化ハフニウム、酸化イットリウムなどのhigh-k材料を用いることでトランジスタのゲートリークを低減できる。

#### 【0070】

ゲート絶縁膜106の厚さは、5nm以上400nm以下、より好ましくは10nm以上300nm以下、より好ましくは50nm以上250nm以下とするとうよい。

#### 【0071】

半導体層108は、酸化物半導体を用い、少なくともインジウム（In）若しくは亜鉛（Zn）を含むことが好ましい。または、InとZnの双方を含むことが好ましい。また、該酸化物半導体を用いたトランジスタの電気特性のばらつきを減らすため、それらと共に、スタビライザーの一または複数を有することが好ましい。

#### 【0072】

スタビライザーとしては、ガリウム（Ga）、スズ（Sn）、ハフニウム（Hf）、アルミニウム（Al）、またはジルコニウム（Zr）等がある。また、他のスタビライザーとしては、ランタノイドである、ランタン（La）、セリウム（Ce）、プラセオジウム（Pr）、ネオジウム（Nd）、サマリウム（Sm）、ユウロピウム（Eu）、ガドリニウム（Gd）、テルビウム（Tb）、ジスプロシウム（Dy）、ホルミウム（Ho）、エルビウム（Er）、ツリウム（Tm）、イッテルビウム（Yb）、ルテチウム（Lu）等がある。

#### 【0073】

例えば、酸化物半導体として、酸化インジウム、酸化スズ、酸化亜鉛、二元系金属酸化物であるIn-Zn系金属酸化物、Sn-Zn系金属酸化物、Al-Zn系金属酸化物、

Zn-Mg系金属酸化物、Sn-Mg系金属酸化物、In-Mg系金属酸化物、In-Ga系金属酸化物、In-W系金属酸化物、三元系金属酸化物であるIn-Ga-Zn系金属酸化物（IGZOとも表記する）、In-Al-Zn系金属酸化物、In-Sn-Zn系金属酸化物、Sn-Ga-Zn系金属酸化物、Al-Ga-Zn系金属酸化物、Sn-Al-Zn系金属酸化物、In-Hf-Zn系金属酸化物、In-La-Zn系金属酸化物、In-Ce-Zn系金属酸化物、In-Pr-Zn系金属酸化物、In-Nd-Zn系金属酸化物、In-Sm-Zn系金属酸化物、In-Eu-Zn系金属酸化物、In-Gd-Zn系金属酸化物、In-Tb-Zn系金属酸化物、In-Dy-Zn系金属酸化物、In-Ho-Zn系金属酸化物、In-Er-Zn系金属酸化物、In-Tm-Zn系金属酸化物、In-Yb-Zn系金属酸化物、In-Lu-Zn系金属酸化物、四元系金属酸化物であるIn-Sn-Ga-Zn系金属酸化物、In-Hf-Ga-Zn系金属酸化物、In-Al-Ga-Zn系金属酸化物、In-Sn-Al-Zn系金属酸化物、In-Sn-Hf-Zn系金属酸化物、In-Hf-Al-Zn系金属酸化物を用いることができる。

【0074】

なお、ここで、例えば、In-Ga-Zn系金属酸化物とは、InとGaとZnを主成分として有する酸化物という意味であり、InとGaとZnの比率は問わない。また、InとGaとZn以外の金属元素が入っていてもよい。

【0075】

また、酸化物半導体として、 $InMO_3(ZnO)_m$  ( $m > 0$ 、且つ、 $m$ は整数でない) で表記される材料を用いてもよい。なお、 $M$ は、Ga、Fe、Mn及びCoから選ばれた一の金属元素または複数の金属元素を示す。また、酸化物半導体として、 $In_2SnO_5(ZnO)_n$  ( $n > 0$ 、且つ、 $n$ は整数) で表記される材料を用いてもよい。

【0076】

例えば、 $In:Ga:Zn=1:1:1$  ( $=1/3:1/3:1/3$ )、 $In:Ga:Zn=2:2:1$  ( $=2/5:2/5:1/5$ )、あるいは $In:Ga:Zn=3:1:2$  ( $=1/2:1/6:1/3$ )の原子数比のIn-Ga-Zn系金属酸化物やその組成の近傍の酸化物を用いることができる。あるいは、 $In:Sn:Zn=1:1:1$  ( $=1/3:1/3:1/3$ )、 $In:Sn:Zn=2:1:3$  ( $=1/3:1/6:1/2$ )あるいは $In:Sn:Zn=2:1:5$  ( $=1/4:1/8:5/8$ )の原子数比のIn-Sn-Zn系金属酸化物を用いるとよい。なお、金属酸化物の原子数比は、誤差として上記の原子数比のプラスマイナス20%の変動を含む。

【0077】

しかし、これらに限られず、必要とする半導体特性及び電気特性（電界効果移動度、しきい値電圧、ばらつき等）に応じて適切な組成のものを用いればよい。また、必要とする半導体特性を得るために、キャリア密度や不純物濃度、欠陥密度、金属元素と酸素の原子数比、原子間距離、密度等を適切なものとするのが好ましい。

【0078】

例えば、In-Sn-Zn系金属酸化物では比較的容易に高い移動度が得られる。しかしながら、In-Ga-Zn系金属酸化物でも、バルク内欠陥密度を低くすることにより電界効果移動度を上げることができる。

【0079】

また、半導体層108として用いることのできる酸化物半導体膜としては、エネルギーギャップが2 eV以上、好ましくは2.5 eV以上、より好ましくは3 eV以上である。このように、エネルギーギャップの広い酸化物半導体膜を用いることで、トランジスタのオフ電流を低減することができる。

【0080】

また、半導体層108として用いる酸化物半導体膜は、非晶質構造、単結晶構造、または多結晶構造であってもよい。

【0081】

また、半導体層108として用いる酸化物半導体膜として、結晶化した部分を有するCAAC-OS (C Axis Aligned Crystalline Oxide Semiconductorともいう。)膜を用いてもよい。

【0082】

CAAC-OS膜は、完全な単結晶ではなく、完全な非晶質でもない。CAAC-OS膜は、非晶質相に結晶部及び非晶質部を有する結晶-非晶質混相構造の酸化物半導体膜である。なお、当該結晶部は、一辺が100nm未満の立方体内に収まる大きさであることが多い。また、透過型電子顕微鏡(TEM: Transmission Electron Microscope)による観察像では、CAAC-OS膜に含まれる非晶質部と結晶部との境界は明確ではない。また、TEMによってCAAC-OS膜には粒界(グレインバウンダリーともいう。)は確認できない。そのため、CAAC-OS膜は、粒界に起因する電子移動度の低下が抑制される。

【0083】

CAAC-OS膜に含まれる結晶部は、c軸がCAAC-OS膜の被形成面の法線ベクトルまたは表面の法線ベクトルに平行な方向に揃い、かつab面に垂直な方向から見て三角形または六角形の原子配列を有し、c軸に垂直な方向から見て金属原子が層状または金属原子と酸素原子とが層状に配列している。なお、異なる結晶部間で、それぞれa軸及びb軸の向きが異なってもよい。本明細書において、単に垂直と記載する場合、 $85^{\circ}$ 以上 $95^{\circ}$ 以下の範囲も含まれることとする。また、単に平行と記載する場合、 $-5^{\circ}$ 以上 $5^{\circ}$ 以下の範囲も含まれることとする。なお、酸化物半導体膜を構成する酸素の一部は窒素で置換されてもよい。

【0084】

なお、CAAC-OS膜において、結晶部の分布が一様でなくてもよい。例えば、CAAC-OS膜の形成過程において、酸化物半導体膜の表面側から結晶成長させる場合、被形成面の近傍に対し表面の近傍では結晶部の占める割合が高くなることがある。また、CAAC-OS膜へ不純物を添加することにより、当該不純物添加領域において結晶部が非晶質化することもある。

【0085】

CAAC-OS膜に含まれる結晶部のc軸は、CAAC-OS膜の被形成面の法線ベクトルまたは表面の法線ベクトルに平行な方向に揃うため、CAAC-OS膜の形状(被形成面の断面形状または表面の断面形状)によっては互いに異なる方向を向くことがある。なお、結晶部のc軸の方向は、CAAC-OS膜が形成されたときの被形成面の法線ベクトルまたは表面の法線ベクトルに平行な方向となる。結晶部は、成膜することにより、または成膜後に加熱処理などの結晶化処理を行うことにより形成される。

【0086】

CAAC-OS膜を用いたトランジスタは、可視光や紫外光の照射による電気特性の変動を抑制することが可能である。よって、当該トランジスタは、信頼性が高い。

【0087】

また、CAAC-OS膜は、例えば、多結晶である酸化物半導体スパッタリング用ターゲットを用い、スパッタリング法によって成膜する。当該スパッタリング用ターゲットにイオンが衝突すると、スパッタリング用ターゲットに含まれる結晶領域がa-b面から劈開し、a-b面に平行な面を有する平板状またはペレット状のスパッタリング粒子として剥離することがある。この場合、当該平板状のスパッタリング粒子が、結晶状態を維持したまま基板に到達することで、CAAC-OS膜を成膜することができる。

【0088】

また、CAAC-OS膜を成膜するために、以下の条件を適用することが好ましい。

【0089】

成膜時の不純物混入を低減することで、不純物によって結晶状態が崩れることを抑制できる。例えば、成膜室内に存在する不純物濃度(水素、水、二酸化炭素および窒素など)を低減すればよい。また、成膜ガス中の不純物濃度を低減すればよい。具体的には、露点

が $-80^{\circ}\text{C}$ 以下、好ましくは $-100^{\circ}\text{C}$ 以下である成膜ガスを用いる。

【0090】

また、成膜時の基板加熱温度を高めることで、基板到達後にスパッタリング粒子のマイグレーションが起こる。具体的には、基板加熱温度を $100^{\circ}\text{C}$ 以上 $740^{\circ}\text{C}$ 以下、好ましくは $150^{\circ}\text{C}$ 以上 $500^{\circ}\text{C}$ 以下として成膜する。成膜時の基板加熱温度を高めることで、平板状のスパッタリング粒子が基板に到達した場合、基板上でマイグレーションが起こり、スパッタリング粒子の平らな面が基板に付着する。

【0091】

また、成膜ガス中の酸素割合を高め、電力を最適化することで成膜時のプラズマダメージを軽減すると好ましい。成膜ガス中の酸素割合は、 $30$ 体積%以上、好ましくは $100$ 体積%とする。

【0092】

また、半導体層108として用いる酸化物半導体膜は、複数の酸化物半導体膜が積層された構造でもよい。例えば、酸化物半導体膜を、第1の酸化物半導体膜と第2の酸化物半導体膜の積層として、第1の酸化物半導体膜と第2の酸化物半導体膜に、異なる組成の金属酸化物を用いてもよい。例えば、第1の酸化物半導体膜に二元系金属酸化物乃至四元系金属酸化物の一を用い、第2の酸化物半導体膜に第1の酸化物半導体膜と異なる二元系金属酸化物乃至四元系金属酸化物を用いてもよい。

【0093】

また、第1の酸化物半導体膜と第2の酸化物半導体膜の構成元素を同一とし、両者の組成を異ならせてもよい。例えば、第1の酸化物半導体膜の原子数比を $\text{In}:\text{Ga}:\text{Zn}=1:1:1$ とし、第2の酸化物半導体膜の原子数比を $\text{In}:\text{Ga}:\text{Zn}=3:1:2$ としてもよい。また、第1の酸化物半導体膜の原子数比を $\text{In}:\text{Ga}:\text{Zn}=1:3:2$ とし、第2の酸化物半導体膜の原子数比を $\text{In}:\text{Ga}:\text{Zn}=2:1:3$ としてもよい。なお、各酸化物半導体膜の原子数比は、誤差として上記の原子数比のプラスマイナス $20\%$ の変動を含む。

【0094】

この時、第1の酸化物半導体膜と第2の酸化物半導体膜のうち、ゲート電極に近い側（チャンネル側）の酸化物半導体膜の $\text{In}$ と $\text{Ga}$ の含有率を $\text{In} > \text{Ga}$ とするとよい。またゲート電極から遠い側（バックチャンネル側）の酸化物半導体膜の $\text{In}$ と $\text{Ga}$ の含有率を $\text{In} \leq \text{Ga}$ とするとよい。

【0095】

また、酸化物半導体膜を3層構造とし、第1の酸化物半導体膜～第3の酸化物半導体膜の構成元素を同一とし、且つそれぞれの組成を異ならせてもよい。例えば、第1の酸化物半導体膜の原子数比を $\text{In}:\text{Ga}:\text{Zn}=1:3:2$ とし、第2の酸化物半導体膜の原子数比を $\text{In}:\text{Ga}:\text{Zn}=3:1:2$ とし、第3の酸化物半導体膜の原子数比を $\text{In}:\text{Ga}:\text{Zn}=1:1:1$ としてもよい。

【0096】

$\text{Ga}$ 及び $\text{Zn}$ より $\text{In}$ の原子数比が小さい酸化物半導体膜、代表的には原子数比が $\text{In}:\text{Ga}:\text{Zn}=1:3:2$ である第1の酸化物半導体膜は、 $\text{Ga}$ 及び $\text{Zn}$ より $\text{In}$ の原子数比が大きい酸化物半導体膜、代表的には第2の酸化物半導体膜、並びに $\text{Ga}$ 、 $\text{Zn}$ 、及び $\text{In}$ の原子数比が同じ酸化物半導体膜、代表的には第3の酸化物半導体膜と比較して、酸素欠損が生じにくいいため、キャリア密度が増加することを抑制することができる。また、原子数比が $\text{In}:\text{Ga}:\text{Zn}=1:3:2$ である第1の酸化物半導体膜が非晶質構造であると、第2の酸化物半導体膜が $\text{CAAC-O S}$ 膜となりやすい。

【0097】

また、第1の酸化物半導体膜～第3の酸化物半導体膜の構成元素は同一であるため、第1の酸化物半導体膜は、第2の酸化物半導体膜との界面におけるトラップ準位が少ない。このため、酸化物半導体膜を上記構造とすることで、トランジスタの経時変化や光劣化によるしきい値電圧の変動量を低減することができる。

#### 【0098】

酸化物半導体では主として重金属のs軌道がキャリア伝導に寄与しており、Inの含有率を多くすることにより、より多くのs軌道が重なるため、 $In > Ga$ の組成となる酸化物は $In \leq Ga$ の組成となる酸化物と比較して高いキャリア移動度を備える。また、GaはInと比較して酸素欠損の形成エネルギーが大きく酸素欠損が生じにくいいため、 $In \leq Ga$ の組成となる酸化物は $In > Ga$ の組成となる酸化物と比較して安定した特性を備える。

#### 【0099】

チャネル側に $In > Ga$ の組成となる酸化物半導体を適用し、バックチャネル側に $In \leq Ga$ の組成となる酸化物半導体を適用することで、トランジスタの電界効果移動度及び信頼性をさらに高めることが可能となる。

#### 【0100】

また、第1の酸化物半導体膜乃至第3の酸化物半導体膜に、結晶性の異なる酸化物半導体を適用してもよい。すなわち、単結晶酸化物半導体、多結晶酸化物半導体、非晶質酸化物半導体、またはCAAC-Osを適宜組み合わせ合わせた構成としてもよい。また、第1の酸化物半導体膜乃至第2の酸化物半導体膜のいずれかに非晶質酸化物半導体を適用すると、酸化物半導体膜の内部応力や外部からの応力を緩和し、トランジスタの特性ばらつきが低減され、また、トランジスタの信頼性をさらに高めることが可能となる。

#### 【0101】

酸化物半導体膜の厚さは、1nm以上100nm以下、更に好ましくは1nm以上30nm以下、更に好ましくは1nm以上50nm以下、更に好ましくは3nm以上20nm以下とすることが好ましい。

#### 【0102】

半導体層108に用いる酸化物半導体膜において、二次イオン質量分析法(SIMS: Secondary Ion Mass Spectrometry)により得られるアルカリ金属またはアルカリ土類金属の濃度を、 $1 \times 10^{18} \text{ atoms/cm}^3$ 以下、さらに好ましくは $2 \times 10^{16} \text{ atoms/cm}^3$ 以下であることが望ましい。アルカリ金属及びアルカリ土類金属は、酸化物半導体と結合するとキャリアを生成する場合があります、トランジスタのオフ電流の上昇の原因となるためである。

#### 【0103】

また、半導体層108に用いる酸化物半導体膜において、二次イオン質量分析法により得られる水素濃度を、 $5 \times 10^{18} \text{ atoms/cm}^3$ 未満、好ましくは $1 \times 10^{18} \text{ atoms/cm}^3$ 以下、より好ましくは $5 \times 10^{17} \text{ atoms/cm}^3$ 以下、さらに好ましくは $1 \times 10^{16} \text{ atoms/cm}^3$ 以下とすることが好ましい。

#### 【0104】

酸化物半導体膜に含まれる水素は、金属原子と結合する酸素と反応して水となると共に、酸素が脱離した格子(あるいは酸素が脱理した部分)には欠損が形成されてしまう。また、水素の一部が酸素と結合することで、キャリアである電子が生じてしまう。これらのため、酸化物半導体膜の成膜工程において、水素を含む不純物を極めて減らすことにより、酸化物半導体膜の水素濃度を低減することが可能である。このため、水素をできるだけ除去された酸化物半導体膜をチャネル領域とすることにより、しきい値電圧のマイナスシフトを抑制することができると共に、電気特性のばらつきを低減することができる。また、トランジスタのソース及びドレインにおけるリーク電流を、代表的には、オフ電流を低減することが可能である。

#### 【0105】

また、半導体層108に用いる酸化物半導体膜の窒素濃度を $5 \times 10^{18} \text{ atoms/cm}^3$ 以下とすることで、トランジスタのしきい値電圧のマイナスシフトを抑制することができると共に、電気特性のばらつきを低減することができる。

#### 【0106】

なお、水素をできるだけ除去することで高純度化された酸化物半導体膜をチャネル領域

に用いたトランジスタのオフ電流が低いことは、いろいろな実験により証明できる。例えば、チャネル幅が $1 \times 10^6 \mu\text{m}$ でチャネル長が $10 \mu\text{m}$ のトランジスタであっても、ソース電極とドレイン電極間の電圧（ドレイン電圧）が $1\text{V}$ から $10\text{V}$ の範囲において、オフ電流が、半導体パラメータアナライザの測定限界以下、すなわち $1 \times 10^{-13}\text{A}$ 以下という特性を得ることができる。この場合、オフ電流をトランジスタのチャネル幅で除した数値に相当するオフ電流は、 $100\text{zA}/\mu\text{m}$ 以下であることが分かる。また、容量素子とトランジスタとを接続して、容量素子に流入または容量素子から流出する電荷を当該トランジスタで制御する回路を用いて、オフ電流の測定を行った。当該測定では、上記トランジスタに高純度化された酸化物半導体膜をチャネル領域に用い、容量素子の単位時間あたりの電荷量の推移から当該トランジスタのオフ電流を測定した。その結果、トランジスタのソース電極とドレイン電極間の電圧が $3\text{V}$ の場合に、数十 $\text{yA}/\mu\text{m}$ という、さらに低いオフ電流が得られることが分かった。従って、高純度化された酸化物半導体膜をチャネル領域に用いたトランジスタは、オフ電流が著しく小さい。

#### 【0107】

ソース電極110及びドレイン電極112としては、導電材料として、アルミニウム、チタン、クロム、ニッケル、銅、イットリウム、ジルコニウム、モリブデン、銀、タンタル、またはタングステンからなる単体金属、またはこれを主成分とする合金を単層構造または積層構造として用いる。例えば、シリコンを含むアルミニウム膜の単層構造、アルミニウム膜上にチタン膜を積層する二層構造、タングステン膜上にチタン膜を積層する二層構造、銅-マグネシウム-アルミニウム合金膜上に銅膜を積層する二層構造、チタン膜または窒化チタン膜と、そのチタン膜または窒化チタン膜上に重ねてアルミニウム膜または銅膜を積層し、さらにその上にチタン膜または窒化チタン膜を形成する三層構造、モリブデン膜または窒化モリブデン膜と、そのモリブデン膜または窒化モリブデン膜上に重ねてアルミニウム膜または銅膜を積層し、さらにその上にモリブデン膜または窒化モリブデン膜を形成する三層構造等がある。なお、酸化インジウム、酸化錫または酸化亜鉛を含む透明導電材料を用いてもよい。

#### 【0108】

なお、本実施の形態では、ソース電極110及びドレイン電極112を半導体層108上に設けたが、ゲート絶縁膜106と半導体層108の間に設けても良い。

#### 【0109】

第1の層間絶縁膜114としては、半導体層108として用いる酸化物半導体膜との界面特性を向上させるため、酸化物絶縁膜を用いることが好ましい。第1の層間絶縁膜114としては、厚さ $150\text{nm}$ 以上 $400\text{nm}$ 以下の酸化シリコン膜、酸化窒化シリコン膜、酸化アルミニウム膜、酸化ハフニウム膜、酸化ガリウム膜、またはGa-Zn系金属酸化物等を用いることができる。また、第1の層間絶縁膜114としては、酸化物絶縁膜と窒化物絶縁膜との積層構造としてもよい。例えば、第1の層間絶縁膜114として、酸化窒化シリコン膜と、窒化シリコン膜との積層構造とすることができる。

#### 【0110】

第2の層間絶縁膜116としては、アクリル系樹脂、ポリイミド系樹脂、ベンゾシクロブテン系樹脂、ポリアミド系樹脂、エポキシ系樹脂等の、耐熱性を有する有機絶縁材料を用いることができる。なお、これらの材料で形成される絶縁膜を複数積層させることで、第2の層間絶縁膜116を形成してもよい。第2の層間絶縁膜116を用いることにより、第1のトランジスタ101等の凹凸を平坦化させることが可能となる。

#### 【0111】

容量電極118としては、酸化タングステンを含むインジウム酸化物、酸化タングステンを含むインジウム亜鉛酸化物、酸化チタンを含むインジウム酸化物、酸化チタンを含むインジウム錫酸化物、インジウム錫酸化物（以下、ITOと示す。）、インジウム亜鉛酸化物、酸化ケイ素を添加したインジウム錫酸化物などの透光性を有する導電性材料を用いることができる。

#### 【0112】

第3の層間絶縁膜120としては、酸化シリコン膜、酸化窒化シリコン膜、窒化酸化シリコン膜、窒化シリコン膜、酸化アルミニウム膜などの無機絶縁材料を用いることができる。特に、第3の層間絶縁膜120としては、窒化シリコン膜、窒化酸化シリコン膜、酸化アルミニウム膜の中から選ばれたいずれか一であることが好ましい。窒化シリコン膜、窒化酸化シリコン膜、酸化アルミニウム膜の中から選ばれたいずれか一を第3の層間絶縁膜120として用いることにより、第2の層間絶縁膜116からの水素、水分の放出を抑制することができる。

#### 【0113】

画素電極122としては、容量電極118に示す材料と同様の材料を用いることができる。容量電極118と画素電極122に用いる材料としては、同一の材料、または異なる材料を用いても良いが、同一の材料の方が、製造コストを低減できるため好ましい。

#### 【0114】

第1の配向膜124及び第2の配向膜164としては、アクリル系樹脂、ポリイミド系樹脂、ベンゾシクロブテン系樹脂、ポリアミド系樹脂、エポキシ系樹脂等の、耐熱性を有する有機材料を用いることができる。

#### 【0115】

液晶層162としては、サーモトロピック液晶、低分子液晶、高分子液晶、高分子分散型液晶、強誘電性液晶、反強誘電性液晶等の液晶材料を用いることができる。これらの液晶材料は、条件により、コレステリック相、スメクチック相、キュービック相、カイラルネマチック相、等方相等を示す。

#### 【0116】

また、横電界方式を採用する場合、配向膜（第1の配向膜124及び第2の配向膜164）を用いないブルー相を示す液晶を用いてもよい。ブルー相は液晶相の一つであり、コレステリック液晶を昇温していくと、コレステリック相から等方相へ転移する直前に発現する相である。ブルー相は狭い温度範囲でしか発現しないため、温度範囲を改善するために数重量%以上のカイラル剤を混合させた液晶組成物を用いて液晶層に用いる。ブルー相を示す液晶とカイラル剤とを含む液晶組成物は、応答速度が短く、光学的等方性であるため配向処理が不要であり、視野角依存性が小さい。また配向膜を設けなくてもよいのでラビング処理も不要となるため、ラビング処理によって引き起こされる静電破壊を防止することができ、作製工程中の液晶表示装置の不良や破損を軽減することができる。よって液晶表示装置の生産性を向上させることが可能となる。酸化物半導体膜を用いるトランジスタは、静電気の影響によりトランジスタの電気的な特性が著しく変動して設計範囲を逸脱する恐れがある。よって酸化物半導体膜を用いるトランジスタを有する液晶表示装置にブルー相の液晶材料を用いることはより効果的である。

#### 【0117】

また、液晶材料の固有抵抗は、 $1 \times 10^9 \Omega \cdot \text{cm}$ 以上であり、好ましくは $1 \times 10^{11} \Omega \cdot \text{cm}$ 以上であり、さらに好ましくは $1 \times 10^{12} \Omega \cdot \text{cm}$ 以上である。なお、本明細書における固有抵抗の値は、 $20^\circ\text{C}$ で測定した値とする。

#### 【0118】

表示装置に設けられる保持容量の大きさは、画素部に配置されるトランジスタのリーク電流等を考慮して、所定の期間の間電荷を保持できるように設定される。保持容量の大きさは、トランジスタのオフ電流等を考慮して設定すればよい。高純度且つ酸素欠損の形成を抑制した酸化物半導体層を有するトランジスタを用いることにより、例えば表示素子として、液晶素子を用いた場合、各画素における液晶容量に対して $1/3$ 以下、好ましくは $1/5$ 以下の容量の大きさを有する保持容量を設ければ充分である。

#### 【0119】

また、本実施の形態で用いる高純度化し、酸素欠損の形成を抑制した酸化物半導体を半導体層に用いるトランジスタは、オフ状態における電流値（オフ電流値）を低くすることができる。よって、画像信号等の電気信号の保持時間を長くことができ、電源オン状態では書き込み間隔も長く設定できる。よって、リフレッシュ動作の頻度を少なくするこ

とができるため、消費電力を抑制する効果を奏する。

#### 【0120】

また、図1及び図2に示す表示装置において、液晶素子150の駆動モードとしては、TN (Twisted Nematic) モード、IPS (In-Plane-Switching) モード、FFS (Fringe Field Switching) モード、ASM (Axially Symmetric aligned Microcell) モード、OCB (Optical Compensated Birefringence) モード、FLC (Ferroelectric Liquid Crystal) モード、AFLC (AntiFerroelectric Liquid Crystal) モードなどを用いることができる。特に、高視野角を得るにはFFSモードを用いると好ましい。

#### 【0121】

また、ノーマリブラック型の液晶表示装置、例えば垂直配向 (VA) モードを採用した透過型の液晶表示装置としてもよい。垂直配向モードとしては、いくつか挙げられるが、例えば、MVA (Multi-Domain Vertical Alignment) モード、PVA (Patterned Vertical Alignment) モードなどを用いることができる。また、画素 (ピクセル) をいくつかの領域 (サブピクセル) に分け、それぞれ別の方向に分子を倒すよう工夫されているマルチドメイン化あるいはマルチドメイン設計といわれる方法を用いてもよい。

#### 【0122】

また、図1及び図2においては、図示していないが、偏光部材、位相差部材、反射防止部材などの光学部材 (光学基板) などを適宜設けても良い。例えば、偏光基板及び位相差基板による円偏光を用いてもよい。また、光源としてバックライト、サイドライトなどを用いてもよい。

#### 【0123】

また、画素領域142における表示方式は、プログレッシブ方式やインターレース方式等を用いることができる。また、カラー表示する際に画素で制御する色要素としては、RGB (Rは赤、Gは緑、Bは青を表す) の三色に限定されない。例えば、RGBW (Wは白を表す)、又はRGBに、イエロー、シアン、マゼンタ等を一色以上追加したものがある。なお、色要素のドット毎にその表示領域の大きさが異なってもよい。ただし、開示する発明はカラー表示の表示装置に限定されるものではなく、モノクロ表示の表示装置に適用することもできる。

#### 【0124】

また、第2の基板152上には、スペーサ160が形成されており、第1の基板102と第2の基板152との間隔 (セルギャップともいう) を制御するために設けられている。なお、セルギャップにより、液晶層162の膜厚が決定される。なお、スペーサ160としては、絶縁膜を選択的にエッチングすることで得られる柱状のスペーサ、球状のスペーサ等の任意の形状のスペーサを用いればよい。

#### 【0125】

また、有色膜153は、所謂カラーフィルタとして機能する。有色膜153としては、特定波長帯域の光に対して透過性を示す材料を用いればよく、染料や顔料を含有した有機樹脂膜等を用いることができる。

#### 【0126】

また、遮光膜154は、所謂ブラックマトリクスとして機能する。遮光膜154としては、隣接する画素間の放射光を遮光できればよく、金属膜、及び黒色染料や黒色顔料を含有した有機樹脂膜等を用いることができる。なお、本実施の形態においては、黒色顔料を含有した有機樹脂膜による遮光膜154を例示している。

#### 【0127】

また、有機保護絶縁膜156としては、有色膜153に含まれるイオン性物質が液晶層162中に拡散しないように設ける。ただし、有機保護絶縁膜156は、この構成に限定



されず、設けない構成としても良い。

#### 【0128】

また、シール材166としては、熱硬化型樹脂、または紫外線硬化型の樹脂等を用いることができる。なお、図2に示すシール材166の封止領域においては、第1の基板102と第2の基板152間に、ゲート絶縁膜106、ソース電極110及びドレイン電極112と同一工程で形成される電極113、第1の層間絶縁膜114、及び第2の層間絶縁膜116を設ける構成を例示したがこれに限定されない。例えば、ゲート絶縁膜106と、第1の層間絶縁膜114のみの構成としても良い。なお、第2の層間絶縁膜116を除去したほうが、外部からの水分等の入り込みがないため、図2に示すように、第2の層間絶縁膜116の一部を除去または一部を後退させる構造が好ましい。

#### 【0129】

以上のように本実施の形態に示す表示装置は、画素領域と駆動回路領域のそれぞれに形成されたトランジスタと、該トランジスタ上に形成された第1の層間絶縁膜と、第1の層間絶縁膜上に形成された第2の層間絶縁膜と、第2の層間絶縁膜上に形成された第3の層間絶縁膜と、を有し、第3の層間絶縁膜が画素領域上の一部に設けられ、第3の層間絶縁膜の端部が駆動回路領域よりも内側に形成される構成である。このような構成とすることで、第2の層間絶縁膜からの脱ガスをトランジスタ側へ入り込むのを抑制し、信頼性の高い表示装置とすることができる。また、さらに第1の層間絶縁膜により、第2の層間絶縁膜からの脱ガスをトランジスタ側へ入り込むのを抑制できる。

#### 【0130】

本実施の形態に示す構成は、他の実施の形態または実施例に示す構成と適宜組み合わせることができる。

#### 【0131】

##### (実施の形態2)

本実施の形態では、表示装置の一形態として、有機ELパネルを用いた表示装置について図3及び図4を用いて説明する。なお、実施の形態1で示す構成と同一の箇所には同一の符号を付し、その詳細な説明は省略する。

#### 【0132】

表示装置の一形態として、表示装置の上面図を図3に、表示装置の断面図を図4にそれぞれ示す。なお、図4は、図3におけるX2-Y2の断面図に相当する。

#### 【0133】

図3に示す表示装置において、第1の基板102上に設けられた画素領域142と、画素領域142の外側に隣接し、該画素領域142に信号を供給する駆動回路領域であるゲートドライバ回路部140及びソースドライバ回路部144を囲むようにして、シール材166が設けられ、第2の基板152によって封止されている。また、画素領域142と、ゲートドライバ回路部140及びソースドライバ回路部144が設けられた第1の基板102と対向するように第2の基板152が設けられている。よって画素領域142と、ゲートドライバ回路部140と、ソースドライバ回路部144とは、第1の基板102とシール材166と第2の基板152によって、表示素子と共に封止されている。

#### 【0134】

このように、トランジスタを含む駆動回路の一部または全体を、画素領域142と同じ第1の基板102上に一体形成し、システムオンパネルを形成することができる。

#### 【0135】

次に、図3におけるX2-Y2の断面図に相当する図4を用いて、画素領域142、及びゲートドライバ回路部140の構成について、以下詳細に説明を行う。

#### 【0136】

画素領域142において、第1の基板102と、第1の基板102上に形成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜106と、ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体層108と、ゲート絶縁膜106、及び半導体層108上に形成されたソース電極110及びドレイン電極1

12と、により、第1のトランジスタ101が形成されている。

【0137】

また、画素領域142において、第1のトランジスタ101上、より詳しくはゲート絶縁膜106、及び半導体層108、ソース電極110、及びドレイン電極112上に無機絶縁材料で形成された第1の層間絶縁膜114と、第1の層間絶縁膜114上に有機絶縁材料で形成された第2の層間絶縁膜116と、第2の層間絶縁膜116上に無機絶縁材料で形成された第3の層間絶縁膜120と、第2の層間絶縁膜116、及び第3の層間絶縁膜120上に形成された隔壁126と、第3の層間絶縁膜120、及び隔壁126上に形成された画素電極122と、画素電極122上に形成された発光層128と、発光層128上に形成された電極130が形成されている。

【0138】

なお、画素電極122と、発光層128と、電極130と、により発光素子170が形成されている。

【0139】

また、発光素子170上、より詳しくは電極130上には、充填材172が設けられ、充填材172上には、第2の基板152が設けられている。すなわち、第1の基板102と、第2の基板152との間に発光素子170、及び充填材172が挟持された構造である。

【0140】

また、ゲートドライバ回路部140において、第1の基板102と、第1の基板102上に形成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜106と、ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体層108と、ゲート絶縁膜106、及び半導体層108上に形成されたソース電極110及びドレイン電極112と、により、第2のトランジスタ103、及び第3のトランジスタ105が形成されている。

【0141】

また、ゲートドライバ回路部140において、第2のトランジスタ103及び第3のトランジスタ105上、より詳しくはゲート絶縁膜106、及び半導体層108、ソース電極110、及びドレイン電極112上に無機絶縁材料で形成された第1の層間絶縁膜114と、第1の層間絶縁膜114上に有機絶縁材料で形成された第2の層間絶縁膜116が形成されている。

【0142】

すなわち、第3の層間絶縁膜120は、画素領域142上の一部に設けられ、第3の層間絶縁膜120の端部が駆動回路領域であるゲートドライバ回路部140よりも内側に形成される。

【0143】

このような構成とすることによって、外部から取り込まれる水分、または表示装置内部で生じた水分、水素等のガスをゲートドライバ回路部140の第2の層間絶縁膜116から上部へ放出することができる。したがって、第1のトランジスタ101、第2のトランジスタ103、及び第3のトランジスタ105内部に水分、水素等のガスが取り込まれるのを抑制することができる。

【0144】

なお、有機絶縁材料により形成される第2の層間絶縁膜116は、表示装置を構成するトランジスタの凹凸等を低減するために、平坦性の高い有機絶縁材料が必要とされる。しかしながら、該有機絶縁材料は加熱等により、水素、水分、または有機成分をガスとして放出してしまう。

【0145】

しかし、半導体層108に、例えば、シリコン系半導体材料であるシリコン膜を用いたトランジスタにおいては、上述の水素、水分、または有機成分のガスが大きな問題になる可能性が低い。しかし、本発明の一態様においては、半導体層108に酸化物半導体膜を

用いるため、有機絶縁材料により形成される第2の層間絶縁膜116からのガスを外部に好適に放出させる必要がある。なお、第3の層間絶縁膜120の端部が駆動回路領域であるゲートドライバ回路部140よりも内側に形成される構成は、半導体層108を酸化物半導体膜により形成した場合において、優れた効果を奏する。ただし、半導体層108に酸化物半導体以外の材料（例えば、シリコン系半導体材料である非晶質シリコン、結晶性シリコンなど）により形成したトランジスタにおいても、同様の効果が得られる。

#### 【0146】

また、第2の層間絶縁膜116上に形成される第3の層間絶縁膜120は、本実施の形態においては、第2の層間絶縁膜116からの放出するガスを発光素子170側へ入り込むのを抑制するため、及び／または画素電極122と、第2の層間絶縁膜116との密着性を向上させるために形成されている。このような構成とすることで発光素子170側へ第2の層間絶縁膜116からの水素、水分等のガスが入り込むのを抑制することができる。

#### 【0147】

しかしながら、第3の層間絶縁膜120をゲートドライバ回路部140に用いる第2のトランジスタ103、及び第3のトランジスタ105上の第2の層間絶縁膜116上に形成すると、第2の層間絶縁膜116に用いる有機絶縁材料から放出されるガスを外部に拡散することができず、第2のトランジスタ103、及び第3のトランジスタ105内部に入り込んでしまう。

#### 【0148】

上述したガスがトランジスタの半導体層108に用いる酸化物半導体に入り込むと、酸化物半導体膜中で不純物として取り込まれ、該半導体層108を用いたトランジスタの特性が変動してしまう。

#### 【0149】

しかし、図4に示すように、ゲートドライバ回路部140に用いる第2のトランジスタ103、及び第3のトランジスタ105上の第3の層間絶縁膜120が開口された構成、すなわち第3の層間絶縁膜120が、画素領域142の一部に設けられ、第3の層間絶縁膜120の端部がゲートドライバ回路部140よりも内側に形成される構成とすることによって、第2の層間絶縁膜116から放出されるガスを、外部へ拡散できる構造とすることができる。

#### 【0150】

なお、図4に示すように、画素領域142に用いる第1のトランジスタ101においても、半導体層108の重畳する位置の無機絶縁材料で形成された第3の層間絶縁膜120が除去された構成が好ましい。このような構成とすることで、有機絶縁材料で形成された第2の層間絶縁膜116から放出されるガスが、第1のトランジスタ101への入り込むのを抑制することができる。

#### 【0151】

ここで、図3及び図4に示す表示装置の他の構成要素について、実施の形態1に示す表示装置と異なる構成について、以下詳細な説明を行う。

#### 【0152】

隔壁126としては、有機絶縁材料、又は無機絶縁材料を用いて形成する。特に感光性の樹脂材料を用い、画素電極122上に開口部を形成し、その開口部の側壁が連続した曲率を持って形成される傾斜面となるように形成することが好ましい。

#### 【0153】

充填材172としては、窒素やアルゴンなどの不活性な気体の他に、紫外線硬化樹脂または熱硬化樹脂を用いることができ、PVC（ポリビニルクロライド）、アクリル系樹脂、ポリイミド系樹脂、エポキシ系樹脂、シリコーン系樹脂、PVB（ポリビニルブチラル）またはEVA（エチレンビニルアセテート）を用いることができる。例えば充填材172として、窒素を用いればよい。

#### 【0154】

発光素子170としては、エレクトロルミネッセンスを利用する発光素子を適用することができる。エレクトロルミネッセンスを利用する発光素子は、発光材料が有機化合物であるか、無機化合物であるかによって区別され、一般的に、前者は有機EL素子、後者は無機EL素子と呼ばれている。ここでは、有機EL素子を用いて説明する。

#### 【0155】

有機EL素子は、発光素子に電圧を印加することにより、一対の電極（画素電極122及び電極130）から電子および正孔がそれぞれ発光性の有機化合物を含む層に注入され、電流が流れる。そして、それらキャリア（電子および正孔）が再結合することにより、発光性の有機化合物が励起状態を形成し、その励起状態が基底状態に戻る際に発光する。このようなメカニズムから、このような発光素子は、電流励起型の発光素子と呼ばれる。

#### 【0156】

発光素子170は発光を取り出すために少なくとも一対の電極（画素電極122または電極130）の一方が透光性であればよい。そして、第1の基板102とは逆側の面から発光を取り出す上面射出や、第1の基板102側の面から発光を取り出す下面射出や、第1の基板102側及び第1の基板102とは反対側の面から発光を取り出す両面射出構造の発光素子があり、どの射出構造の発光素子も適用することができる。

#### 【0157】

また、発光素子170に酸素、水素、水分、二酸化炭素等が入り込まないように、電極130、及び隔壁126上に保護膜を形成してもよい。保護膜としては、窒化シリコン膜、窒化酸化シリコン膜等を形成することができる。また、第1の基板102、第2の基板152、及びシール材166によって封止された空間には充填材172が設けられ密封されている。このように外気に曝されないように気密性が高く、脱ガスの少ない保護フィルム（貼り合わせフィルム、紫外線硬化樹脂フィルム等）やカバー材でパッケージング（封入）することが好ましい。

#### 【0158】

また、必要であれば、発光素子170の射出面に偏光板、又は円偏光板（楕円偏光板を含む）、位相差板（ $\lambda/4$ 板、 $\lambda/2$ 板）、カラーフィルタなどの光学フィルムを適宜設けてもよい。また、偏光板又は円偏光板に反射防止膜を設けてもよい。例えば、表面の凹凸により反射光を拡散し、映り込みを低減できるアンチグレア処理を施すことができる。

#### 【0159】

また、発光層128としては、三重項励起エネルギーを発光に変える発光性材料をゲスト材料と、該ゲスト材料よりも三重項励起エネルギーの準位（T1準位）が高いホスト材料と、を含む有機化合物を用いると好適である。なお、発光層128は、発光層が複数積層された構造（所謂タンデム構造）や、発光層以外の機能層（正孔注入層、正孔輸送層、電子輸送層、電子注入層、電荷発生層など）を含む構成としてもよい。

#### 【0160】

また、シール材166としては、実施の形態1に示す材料に加えて、ガラス材料を含む材料、例えば粉末ガラス（フリットガラスともよぶ）を溶解、凝固させて形成されたガラス体を用いてもよい。このような材料は、水分やガスの透過を効果的に抑制することができるため、表示素子として、発光素子170を用いた場合、該発光素子170の劣化を抑制し、極めて信頼性の高い表示装置を実現できる。

#### 【0161】

また、図4に示すシール材166の封止領域においては、第1の基板102と第2の基板152の間に、ゲート絶縁膜106のみを設ける構成を例示したが、これに限定されない。例えば、ゲート絶縁膜106と、第1の層間絶縁膜114を積層した構成としても良い。ただし、図4に示すように、第2の層間絶縁膜116が除去された領域において、シール材166が配置されるような構成が好ましい。

#### 【0162】

以上のように本実施の形態に示す表示装置は、画素領域と駆動回路領域のそれぞれに形成されたトランジスタと、該トランジスタ上に形成された第1の層間絶縁膜と、第1の層

間絶縁膜上に形成された第2の層間絶縁膜と、第2の層間絶縁膜上に形成された第3の層間絶縁膜と、を有し、第3の層間絶縁膜が画素領域上の一部に設けられ、第3の層間絶縁膜の端部が駆動回路領域よりも内側に形成される構成である。このような構成とすることで、第2の層間絶縁膜からの脱ガスをトランジスタ側へ入り込むのを抑制し、信頼性の高い表示装置とすることができる。また、さらに第1の層間絶縁膜により、第2の層間絶縁膜からの脱ガスをトランジスタ側へ入り込むのを抑制できる。

【0163】

本実施の形態に示す構成は、他の実施の形態または実施例に示す構成と適宜組み合わせて用いることができる。

【0164】

(実施の形態3)

本実施の形態では、先の実施の形態で示した表示装置と組み合わせが可能な、イメージセンサについて説明する。

【0165】

図5(A)に、イメージセンサ付の表示装置の一例を示す。図5(A)はイメージセンサ付の表示装置の画素を示す等価回路である。

【0166】

フォトダイオード素子4002は、一方の電極がリセット信号線4058に、他方の電極がトランジスタ4040のゲート電極に電気的に接続されている。トランジスタ4040は、ソース電極またはドレイン電極の一方が電源電位(VDD)に、ソース電極またはドレイン電極の他方がトランジスタ4056のソース電極またはドレイン電極の一方に電気的に接続されている。トランジスタ4056は、ゲート電極がゲート選択線4057に、ソース電極またはドレイン電極の他方が出力信号線4071に電気的に接続されている。

【0167】

また、第1のトランジスタ4030は、画素スイッチング用のトランジスタであり、ソース電極またはドレイン電極の一方が映像信号線4059に、ソース電極またはドレイン電極の他方が容量素子4032及び液晶素子4034に電気的に接続されている。また、第1のトランジスタ4030のゲート電極は、ゲート線4036に電気的に接続されている。

【0168】

なお、第1のトランジスタ4030、容量素子4032、液晶素子4034は、実施の形態1で示した表示装置と同様の構造を適用すればよい。

【0169】

図5(B)は、イメージセンサ付の表示装置の画素の一部を示す断面図と、駆動回路部の断面図であり、画素領域5042においては、第1の基板4001上に、フォトダイオード素子4002および第1のトランジスタ4030が設けられている。また、駆動回路部であるゲートドライバ回路部5040においては、第1の基板4001上に、第2のトランジスタ4060、及び第3のトランジスタ4062が設けられている。

【0170】

なお、画素領域5042上のフォトダイオード素子4002、及び第1のトランジスタ4030上には、第1の層間絶縁膜4014、第2の層間絶縁膜4016、及び第3の層間絶縁膜4020が形成されている。また、第2の層間絶縁膜4016上に第3の層間絶縁膜4020を誘電体として用いる容量素子4032が形成されている。

【0171】

すなわち、第3の層間絶縁膜4020が、画素領域5042の一部に設けられ、第3の層間絶縁膜4020の端部がゲートドライバ回路部5040よりも内側に形成される構成である。このような構成とすることによって、第2の層間絶縁膜4016から放出されるガスを、外部へ拡散できる構造とすることができる。したがって、第2の層間絶縁膜4016からの脱ガスをトランジスタ側へ入り込むのを抑制し、信頼性の高い表示装置とする

ことができる。

【0172】

なお、フォトダイオード素子4002は、第1のトランジスタ4030のソース電極及びドレイン電極と同一の工程で形成される下部電極と、液晶素子4034の画素電極と同一工程で形成される上部電極と、を一对の電極とし、該一对の電極間にダイオードを有する構成である。

【0173】

フォトダイオード素子4002に用いることのできるダイオードとしては、p型半導体膜、n型半導体膜の積層を含むpn型ダイオード、p型半導体膜、i型半導体膜、n型半導体膜の積層を含むpin型ダイオード、ショットキー型ダイオードなどを用いればよい。

【0174】

また、フォトダイオード素子4002上には、第1の配向膜4024、液晶層4096、第2の配向膜4084、対向電極4088、有機絶縁膜4086、有色膜4085、第2の基板4052等が設けられている。

【0175】

なお、pin型ダイオードはp型の半導体膜側を受光面とする方が高い光電変換特性を示す。これは、正孔移動度は電子移動度に比べて小さいためである。本実施の形態においては、第2の基板4052の面から、有色膜4085、液晶層4096等を介して、フォトダイオード素子4002に入射する光を電気信号に変換する構成について例示しているが、これに限定されない。例えば、有色膜4085を設けない構成としてもよい。

【0176】

本実施の形態で示したフォトダイオード素子4002は、フォトダイオード素子4002に光が入射することで、一对の電極間に電流が流れることを利用する。フォトダイオード素子4002が光を検出することによって、被検出物の情報を読み取ることができる。

【0177】

本実施の形態で示したイメージセンサ付の表示装置は、トランジスタの作製など、表示装置およびイメージセンサの工程を共通化させることで、生産性を高めることができる。ただし、先の実施の形態で示した表示装置と、本実施の形態で示したイメージセンサを異なる基板上に作製しても構わない。具体的には、先の実施の形態で示した表示装置において、第2の基板上にイメージセンサを作製しても構わない。

【0178】

本実施の形態は、他の実施の形態または他の実施例に記載した構成と適宜組み合わせることで実施することが可能である。

【0179】

(実施の形態4)

本実施の形態では、本発明の一態様の表示装置を用いたタブレット型端末の一例を説明する。

【0180】

図6(A)及び図6(B)は2つ折り可能なタブレット型端末である。図6(A)は、タブレット型端末を開いた状態である。タブレット型端末は、筐体8630と、筐体8630に設けられた、表示部8631a、表示部8631b、表示モード切り替えスイッチ8034、電源スイッチ8035、省電力モード切り替えスイッチ8036、留め具8033および操作スイッチ8038と、を有する。

【0181】

本発明の一態様である表示装置は、表示部8631a、表示部8631bに適用することができる。

【0182】

表示部8631aは、一部または全部をタッチパネルとして機能させることができ、表示された操作キーに触れることで入力することができる。例えば、表示部8631aの全

面にキーボードボタンを表示し、タッチパネルとして機能させ、表示部 8 6 3 1 b を表示画面として用いても構わない。

【0 1 8 3】

また、表示部 8 6 3 1 a と同様に、表示部 8 6 3 1 b の一部または全部をタッチパネルとして機能させることができる。

【0 1 8 4】

また、表示部 8 6 3 1 a のタッチパネルの領域と表示部 8 6 3 1 b のタッチパネルの領域を同時にタッチ入力することもできる。

【0 1 8 5】

また、表示モード切り替えスイッチ 8 0 3 4 は、縦表示または横表示などの表示の向き  
の切り替え、白黒表示やカラー表示の切り替えなどを選択できる。省電力モード切り替え  
スイッチ 8 0 3 6 は、タブレット型端末に内蔵している光センサで検出される外光に  
応じて表示の輝度を最適なものとする事ができる。なお、タブレット型端末は、光  
センサだけでなく、傾きを検出可能なジャイロ、加速度センサなど、他の検出装置  
を有してもよい。

【0 1 8 6】

また、図 6 (A) では、表示部 8 6 3 1 b と表示部 8 6 3 1 a の面積が同じ例を示して  
いるが特に限定されない。表示部 8 6 3 1 b と表示部 8 6 3 1 a の面積が異なっ  
てもよく、表示の品質が異なってもよい。例えば、一方が他方よりも高精細な  
表示を行える表示パネルとしてもよい。

【0 1 8 7】

図 6 (B) は、タブレット型端末を閉じた状態である。タブレット型端末は、  
筐体 8 6 3 0 と、筐体 8 6 3 0 に設けられた、太陽電池 8 6 3 3 および充放電制御回路  
8 6 3 4 と、を有する。なお、図 6 (B) では充放電制御回路 8 6 3 4 の一例として  
バッテリー 8 6 3 5、DCDCコンバータ 8 6 3 6 を有する構成について示している。

【0 1 8 8】

なお、タブレット型端末は 2 つ折り可能なため、未使用時に筐体 8 6 3 0 を閉  
じた状態にすることができる。従って、表示部 8 6 3 1 a、表示部 8 6 3 1 b を保  
護できるため、耐久性に優れ、長期使用の観点からも信頼性に優れる。

【0 1 8 9】

また、この他にも図 6 (A) 及び図 6 (B) に示したタブレット型端末は、様々  
な情報（静止画、動画、テキスト画像など）を表示する機能、カレンダー、日付  
または時刻などを表示部に表示する機能、表示部に表示した情報をタッチ入  
力操作または編集するタッチ入力機能、様々なソフトウェア（プログラム）に  
よって処理を制御する機能、などを有することができる。

【0 1 9 0】

タブレット型端末は、太陽電池 8 6 3 3 によって得られた電力を、タブレット  
型端末の動作に用いることができる。または、当該電力をバッテリー 8 6 3 5  
に蓄積することができる。なお、太陽電池 8 6 3 3 は、筐体 8 6 3 0 の二面に  
設ける構成とすることもできる。なおバッテリー 8 6 3 5 としては、リチウム  
イオン電池を用いると、小型化を図れるなどの利点がある。

【0 1 9 1】

また、図 6 (B) に示す充放電制御回路 8 6 3 4 の構成、及び動作について  
図 6 (C) にブロック図を示し説明する。図 6 (C) には、太陽電池 8 6 3 3 と、  
バッテリー 8 6 3 5 と、DCDCコンバータ 8 6 3 6 と、コンバータ 8 6 3 7 と、  
スイッチ SW1 と、スイッチ SW2 と、スイッチ SW3 と、表示部 8 6 3 1 と、  
を示している。図 6 (C) において、バッテリー 8 6 3 5、DCDCコンバータ  
8 6 3 6、コンバータ 8 6 3 7、スイッチ SW1、スイッチ SW2 および  
スイッチ SW3 が、図 6 (B) に示す充放電制御回路 8 6 3 4 に対応する。

【0 1 9 2】

太陽電池 8 6 3 3 により発電がされる場合、太陽電池で発電した電力は、バッテリー 8 6 3 5 を充電するための電圧となるよう DCDC コンバータ 8 6 3 6 で昇圧または降圧される。次に、スイッチ SW 1 をオンし、コンバータ 8 6 3 7 で表示部 8 6 3 1 に最適な電圧に昇圧または降圧をする。また、表示部 8 6 3 1 での表示を行わない際は、スイッチ SW 1 をオフし、スイッチ SW 2 をオンしてバッテリー 8 6 3 5 の充電を行う。

#### 【0193】

なお、発電手段の一例として太陽電池 8 6 3 3 について示したが、特に限定されず、圧電素子（ピエゾ素子）や熱電変換素子（ペルティエ素子）などの他の発電手段で代替しても構わない。例えば、無線（非接触）で電力を送受信して充電する無接点電力電送モジュールなど、他の充電手段を組み合わせて行う構成としてもよい。

#### 【0194】

本実施の形態は、他の実施の形態または他の実施例に記載した構成と適宜組み合わせて実施することが可能である。

#### 【0195】

##### （実施の形態 5）

本実施の形態では、先の実施の形態で示した表示装置などを搭載した電子機器の例について説明する。

#### 【0196】

図 7（A）は携帯型情報端末である。図 7（A）に示す携帯型情報端末は、筐体 9 3 0 0 と、ボタン 9 3 0 1 と、マイクロフォン 9 3 0 2 と、表示部 9 3 0 3 と、スピーカ 9 3 0 4 と、カメラ 9 3 0 5 と、を具備し、携帯型電話機としての機能を有する。表示部 9 3 0 3 に先の実施の形態で示した表示装置または／およびイメージセンサ付の表示装置を適用することができる。

#### 【0197】

図 7（B）は、ディスプレイである。図 7（B）に示すディスプレイは、筐体 9 3 1 0 と、表示部 9 3 1 1 と、を具備する。表示部 9 3 1 1 に先の実施の形態で示した表示装置または／およびイメージセンサ付表示装置を適用することができる。

#### 【0198】

図 7（C）は、デジタルスチルカメラである。図 7（C）に示すデジタルスチルカメラは、筐体 9 3 2 0 と、ボタン 9 3 2 1 と、マイクロフォン 9 3 2 2 と、表示部 9 3 2 3 と、を具備する。表示部 9 3 2 3 に先の実施の形態で示した表示装置または／およびイメージセンサ付表示装置を適用することができる。

#### 【0199】

本発明の一態様を用いることで、電子機器の信頼性を高めることができる。

#### 【0200】

本実施の形態は、他の実施の形態または他の実施例に記載した構成と適宜組み合わせて実施することが可能である。

##### 【実施例 1】

#### 【0201】

本実施例においては、表示装置に用いることのできる代表的な有機樹脂であるアクリル樹脂の放出ガスについて調査した。

#### 【0202】

試料は、ガラス基板上にアクリル樹脂を塗布し、窒素ガス雰囲気下、250℃にて1時間の加熱処理を行った。なお、アクリル樹脂は加熱処理後に厚さが1.5μmとなるように形成した。

#### 【0203】

作製した試料に対し、TDS（Thermal Desorption Spectroscopy：昇温脱離ガス分光法）による放出ガスの測定を行った。

#### 【0204】

図 8 に、基板表面温度 250℃ のときの、各質量電荷比（M/z とともいう。）における



放出ガスのイオン強度を示す。図8において、横軸は質量電荷比を、縦軸は強度（任意単位）を、それぞれ示す。図8より、試料からは、水起因と見られる質量電荷比が18（ $\text{H}_2\text{O}$ ）のガスと、炭化水素起因と見られる質量電荷比が28（ $\text{C}_2\text{H}_4$ ）、44（ $\text{C}_3\text{H}_8$ ）および56（ $\text{C}_4\text{H}_8$ ）のガスが検出された。なお、各質量電荷比の近傍には、それぞれのフラグメントイオンが検出された。

#### 【0205】

同様に、図9に、基板表面温度に対する各質量電荷比（18、28、44および56）のイオン強度を示す。図9において、横軸は基板表面温度（ $^{\circ}\text{C}$ ）を、縦軸は強度（任意単位）を、それぞれ示す。基板表面温度を55 $^{\circ}\text{C}$ から270 $^{\circ}\text{C}$ の範囲とした場合、水起因と見られる質量電荷比が18のイオン強度は、55 $^{\circ}\text{C}$ 以上100 $^{\circ}\text{C}$ 以下および150 $^{\circ}\text{C}$ 以上270 $^{\circ}\text{C}$ 以下にピークを有することがわかった。一方、炭化水素起因と見られる質量電荷比が28、44および56のイオン強度は、150 $^{\circ}\text{C}$ 以上270 $^{\circ}\text{C}$ 以下にピークを有することがわかった。

#### 【0206】

以上に示したように、有機樹脂からの水、炭化水素などの酸化物半導体膜にとっての不純物が放出されることがわかった。特に、水は55 $^{\circ}\text{C}$ 以上100 $^{\circ}\text{C}$ 以下の比較的低温でも放出されることがわかった。即ち、有機樹脂に起因する不純物が酸化物半導体膜に到達した場合、トランジスタの電気特性を劣化させることが示唆された。

#### 【0207】

また、有機樹脂を水、炭化水素などの放出ガスを透過しない膜（窒化シリコン膜、窒化酸化シリコン膜、酸化アルミニウム膜など）で覆った場合、有機樹脂からガスが放出されることで水、炭化水素などの放出ガスを透過しない膜への圧力が高まり、最終的に水、炭化水素などの放出ガスを透過しない膜が破壊され、トランジスタの形状不良となることが示唆された。

#### 【実施例2】

#### 【0208】

本実施例では、トランジスタを作製し、断面形状および電気特性を評価した。

#### 【0209】

各試料には、ボトムゲート・トップコンタクト型のチャネルエッチ構造の酸化物半導体膜を用いたトランジスタが設けられている。当該トランジスタは、ガラス基板上に設けられたゲート電極と、ゲート電極上に設けられたゲート絶縁膜と、ゲート絶縁膜を介しゲート電極上に設けられた酸化物半導体膜と、酸化物半導体膜上にあり酸化物半導体膜と接して設けられた一対の電極と、を有する。ここで、ゲート電極はタングステン膜を、ゲート絶縁膜は窒化シリコン膜、および窒化シリコン膜上の酸化窒化シリコン膜を、酸化物半導体膜はIn-Ga-Zn酸化物膜を、一対の電極はタングステン膜、タングステン膜上のアルミニウム膜、およびアルミニウム膜上のチタン膜を、それぞれ用いた。

#### 【0210】

一対の電極上には保護絶縁膜（450nmの厚さの酸化窒化シリコン膜と、酸化窒化シリコン膜上に設けられた50nmの厚さの窒化シリコン膜）が設けられている。

#### 【0211】

なお、実施例試料は、保護絶縁膜上に2 $\mu\text{m}$ の厚さでアクリル樹脂が設けられており、アクリル樹脂上にはアクリル樹脂の側面の一部を露出するように200nmの厚さで窒化シリコン膜が設けられている。また、比較試料は、保護絶縁膜上に1.5 $\mu\text{m}$ の厚さでアクリル樹脂が設けられており、アクリル樹脂上にはアクリル樹脂を覆うように200nmの厚さで窒化シリコン膜が設けられている。

#### 【0212】

図10に、比較例試料の一部を拡大した領域のTEMによる透過電子像（Transmitted Electron: TE像ともいう。）断面形状を示す。断面形状の観察には、株式会社日立ハイテクノロジーズ製「日立超薄膜評価装置HD-2300」を用いた。図10において、一対の電極、および一対の電極を覆うように設けられた保護絶縁膜に

着目すると、一対の電極が形成する段差部から保護絶縁膜に亀裂が生じていることがわかった。なお、観察領域において、実施例試料と比較例試料は概略同様の構造であるため、実施例試料の断面形状は省略する。

#### 【0213】

従って、実施例試料はアクリル樹脂からの放出ガスが実施例試料外部へ抜ける構造であり、比較例試料はアクリル樹脂からの放出ガスが比較試料外部へ抜けない構造である。即ち、比較例試料において、アクリル樹脂からの放出ガスは、外部へは抜けず、保護絶縁膜に生じた亀裂を介してトランジスタに到達することがわかった。

#### 【0214】

次に、各試料のトランジスタの電気特性であるゲート電圧 ( $V_g$ ) - ドレイン電流 ( $I_d$ ) 特性を測定した。 $V_g - I_d$  特性は、チャンネル長が  $3 \mu m$ 、チャンネル幅が  $3 \mu m$  のトランジスタを用いて測定した。電気特性は、ドレイン電圧 ( $V_d$ ) を  $1 V$  または  $10 V$  とし、ゲート電圧 ( $V_g$ ) を  $-20 V$  から  $15 V$  へ掃引した。

#### 【0215】

図11に各試料の  $V_g - I_d$  特性を示す。なお、 $600 mm \times 720 mm$  のガラス基板において、なるべく均等に  $20$  個のトランジスタの  $V_g - I_d$  特性を測定した。なお、図11(A)に実施例試料のトランジスタの  $V_g - I_d$  特性および電界効果移動度を示し、図11(B)に比較例試料のトランジスタの  $V_g - I_d$  特性を示す。なお、図11(A)に示す電界効果移動度はドレイン電圧 ( $V_d$ ) が  $10 V$  における値を示す。また、図11(B)においては、電界効果移動度の算出が困難であったため省略する。

#### 【0216】

図11(A)より、実施例試料のトランジスタでは、良好なスイッチング特性が得られることがわかった。また、図11(B)より、比較例試料のトランジスタでは、スイッチング特性が得られず、常時オンであることがわかった。

#### 【0217】

実施例試料との比較により、比較例試料のスイッチング特性不良は、アクリル樹脂からの放出ガスがトランジスタに影響を及ぼしたためとわかる。具体的には、アクリル樹脂からの放出ガスの影響で酸化半導体膜のキャリア密度が高まり、ゲート電極からの電界によってトランジスタをオフすることができなかつたためと推察される。

#### 【0218】

本実施例より、有機樹脂を水、炭化水素などの放出ガスを透過しない膜（ここでは厚さが  $200 nm$  の窒化シリコン膜）で覆うと、有機樹脂からの放出ガスによってトランジスタのスイッチング特性不良が引き起こされることがわかる。また、有機樹脂を覆う、水、炭化水素などの放出ガスを透過しない膜の一部に、放出ガスの試料外部への抜け道を設けることで、当該トランジスタのスイッチング特性不良を回避でき、良好なスイッチング特性を得られることがわかる。

#### 【符号の説明】

#### 【0219】

- 101 第1のトランジスタ
- 102 第1の基板
- 103 第2のトランジスタ
- 104 ゲート電極
- 105 第3のトランジスタ
- 106 ゲート絶縁膜
- 107 容量素子
- 108 半導体層
- 110 ソース電極
- 112 ドレイン電極
- 113 電極
- 114 第1の層間絶縁膜

1 1 6 第2の層間絶縁膜  
1 1 8 容量電極  
1 2 0 第3の層間絶縁膜  
1 2 2 画素電極  
1 2 4 第1の配向膜  
1 2 6 隔壁  
1 2 8 発光層  
1 3 0 電極  
1 4 0 ゲートドライバ回路部  
1 4 2 画素領域  
1 4 4 ソースドライバ回路部  
1 4 6 FPC端子部  
1 4 8 FPC  
1 5 0 液晶素子  
1 5 2 第2の基板  
1 5 3 有色膜  
1 5 4 遮光膜  
1 5 6 有機保護絶縁膜  
1 5 8 対向電極  
1 6 0 スペーサ  
1 6 2 液晶層  
1 6 4 第2の配向膜  
1 6 6 シール材  
1 7 0 発光素子  
1 7 2 充填材  
4 0 0 1 第1の基板  
4 0 0 2 フォトダイオード素子  
4 0 1 4 第1の層間絶縁膜  
4 0 1 6 第2の層間絶縁膜  
4 0 2 0 第3の層間絶縁膜  
4 0 2 4 第1の配向膜  
4 0 3 0 第1のトランジスタ  
4 0 3 2 容量素子  
4 0 3 4 液晶素子  
4 0 3 6 ゲート線  
4 0 4 0 トランジスタ  
4 0 5 2 第2の基板  
4 0 5 6 トランジスタ  
4 0 5 7 ゲート選択線  
4 0 5 8 リセット信号線  
4 0 5 9 映像信号線  
4 0 6 0 第2のトランジスタ  
4 0 6 2 第3のトランジスタ  
4 0 7 1 出力信号線  
4 0 8 4 第2の配向膜  
4 0 8 5 有色膜  
4 0 8 6 有機絶縁膜  
4 0 8 8 対向電極  
4 0 9 6 液晶層  
5 0 4 0 ゲートドライバ回路部

5042	画素領域
8033	留め具
8034	スイッチ
8035	電源スイッチ
8036	スイッチ
8038	操作スイッチ
8630	筐体
8631	表示部
8631 a	表示部
8631 b	表示部
8633	太陽電池
8634	充放電制御回路
8635	バッテリー
8636	DCDCコンバータ
8637	コンバータ
9300	筐体
9301	ボタン
9302	マイクロフォン
9303	表示部
9304	スピーカ
9305	カメラ
9310	筐体
9311	表示部
9320	筐体
9321	ボタン
9322	マイクロフォン
9323	表示部

【書類名】 特許請求の範囲

【請求項 1】

画素電極と、該画素電極と電気的に接続される少なくとも一の第 1 のトランジスタを含む画素が複数個配列されている画素領域と、

前記画素領域の外側に隣接し、該画素領域の各画素に含まれる前記第 1 のトランジスタに信号を供給する少なくとも一の第 2 のトランジスタを含む駆動回路領域と、が形成された第 1 の基板と、

前記第 1 の基板と対向するように設けられた第 2 の基板と、前記第 1 の基板と前記第 2 の基板間に挟持された液晶層と、を有し、

前記第 1 のトランジスタ及び前記第 2 のトランジスタ上に無機絶縁材料で形成された第 1 の層間絶縁膜と、

前記第 1 の層間絶縁膜上に有機絶縁材料で形成された第 2 の層間絶縁膜と、

前記第 2 の層間絶縁膜上に無機絶縁材料で形成された第 3 の層間絶縁膜と、を有し、

前記第 3 の層間絶縁膜は、前記画素領域上の一部に設けられ、該第 3 の層間絶縁膜の端部が前記駆動回路領域よりも内側に形成される

ことを特徴とする表示装置。

【請求項 2】

請求項 1 において、

前記画素電極上に設けられた第 1 の配向膜と、

前記第 1 の配向膜上に形成された前記液晶層と、

前記液晶層上に設けられた第 2 の配向膜と、

前記第 2 の配向膜上に設けられた対向電極と、

前記対向電極上に設けられた有機保護絶縁膜と、

前記有機保護絶縁膜上に設けられた有色膜及び遮光膜と、

前記有色膜及び前記遮光膜上に設けられた前記第 2 の基板と、を有する

ことを特徴とする表示装置。

【請求項 3】

画素電極と、該画素電極と電気的に接続される少なくとも一の第 1 のトランジスタを含む画素が複数個配列されている画素領域と、

前記画素領域の外側に隣接し、該画素領域の各画素に含まれる前記第 1 のトランジスタに信号を供給する少なくとも一の第 2 のトランジスタを含む駆動回路領域と、が形成された第 1 の基板と、

前記第 1 の基板と対向するように設けられた第 2 の基板と、前記第 1 の基板と前記第 2 の基板間に挟持された発光層と、を有し、

前記第 1 のトランジスタ及び前記第 2 のトランジスタ上に無機絶縁材料で形成された第 1 の層間絶縁膜と、

前記第 1 の層間絶縁膜上に有機絶縁材料で形成された第 2 の層間絶縁膜と、

前記第 2 の層間絶縁膜上に無機絶縁材料で形成された第 3 の層間絶縁膜と、を有し、

前記第 3 の層間絶縁膜は、前記画素領域上の一部に設けられ、該第 3 の層間絶縁膜の端部が前記駆動回路領域よりも内側に形成される

ことを特徴とする表示装置。

【請求項 4】

請求項 3 において、

前記画素電極上に設けられた前記発光層と、

前記発光層上に設けられた電極と、を有する

ことを特徴とする表示装置。

【請求項 5】

請求項 1 または請求項 3 において、

前記第 3 の層間絶縁膜は、窒化シリコン膜、窒化酸化シリコン膜、酸化アルミニウム膜の中から選ばれたいずれか一である

ことを特徴とする表示装置。

【請求項 6】

請求項 1 または請求項 3 において、  
前記第 1 のトランジスタ及び前記第 2 のトランジスタは、チャンネル形成領域を形成する  
半導体材料が酸化物半導体である

ことを特徴とする表示装置。

【請求項 7】

請求項 6 において、

前記第 1 のトランジスタ及び前記第 2 のトランジスタは、

ゲート電極と、

前記ゲート電極上に形成された酸化物半導体からなる半導体層と、

前記半導体層上に形成されたソース電極及びドレイン電極と、を有する

ことを特徴とする表示装置。

【請求項 8】

請求項 1 乃至請求項 7 のいずれか一に記載する表示装置を有する電子機器。

【書類名】 要約書

【要約】

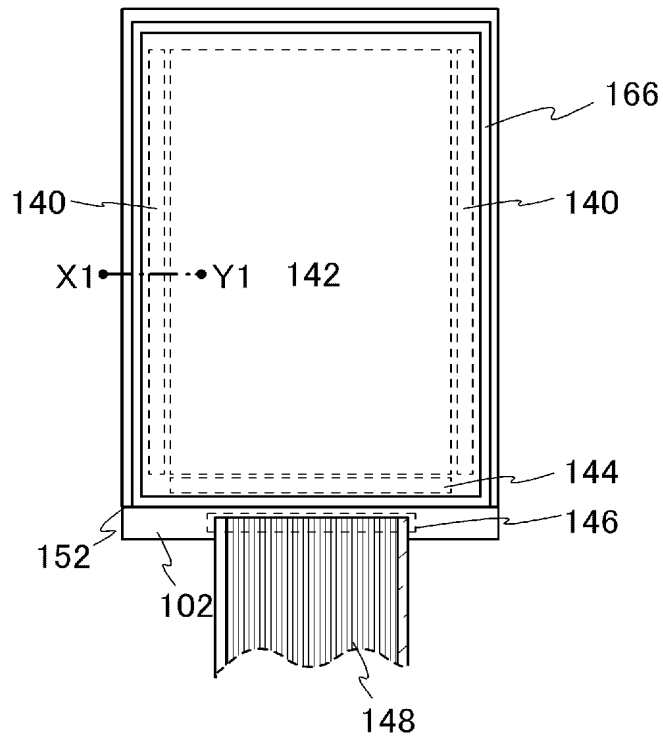
【課題】 画素領域及び駆動回路領域にトランジスタを有する表示装置において、電気特性の変動を抑制すると共に、信頼性を向上させる。

【解決手段】 画素領域の外側に隣接し、該画素領域の各画素に含まれる第1のトランジスタに信号を供給する少なくとも一の第2のトランジスタを含む駆動回路領域が形成された第1の基板と、第1の基板と対向するように設けられた第2の基板と、第1の基板と第2の基板間に挟持された液晶層と、を有し、第1のトランジスタ及び第2のトランジスタ上に無機絶縁材料で形成された第1の層間絶縁膜と、第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間絶縁膜と、第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜と、を有し、第3の層間絶縁膜は、画素領域上の一部に設けられ、該第3の層間絶縁膜の端部が駆動回路領域よりも内側に形成される。

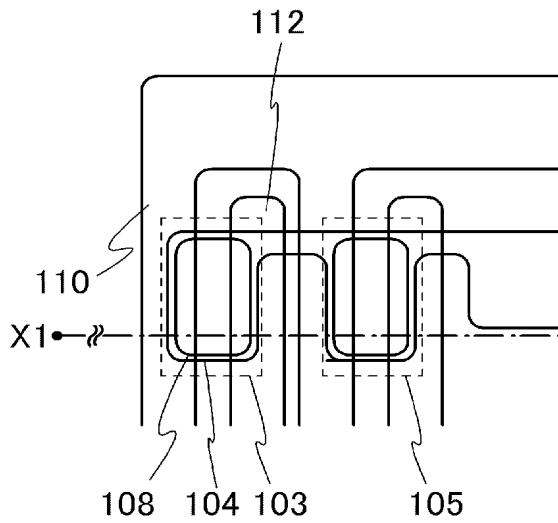
【選択図】 図1

【書類名】 図面  
 【図 1】

(A)

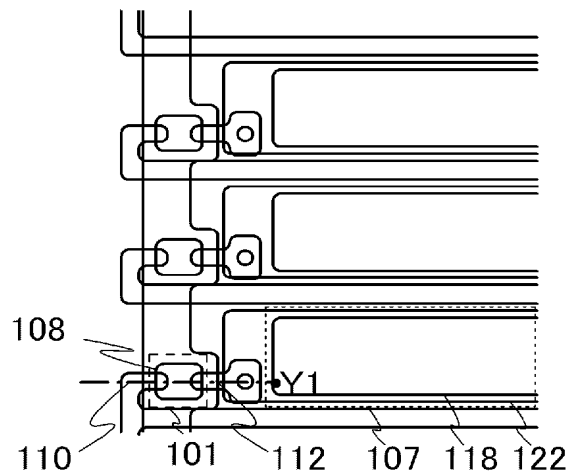


(B)



140

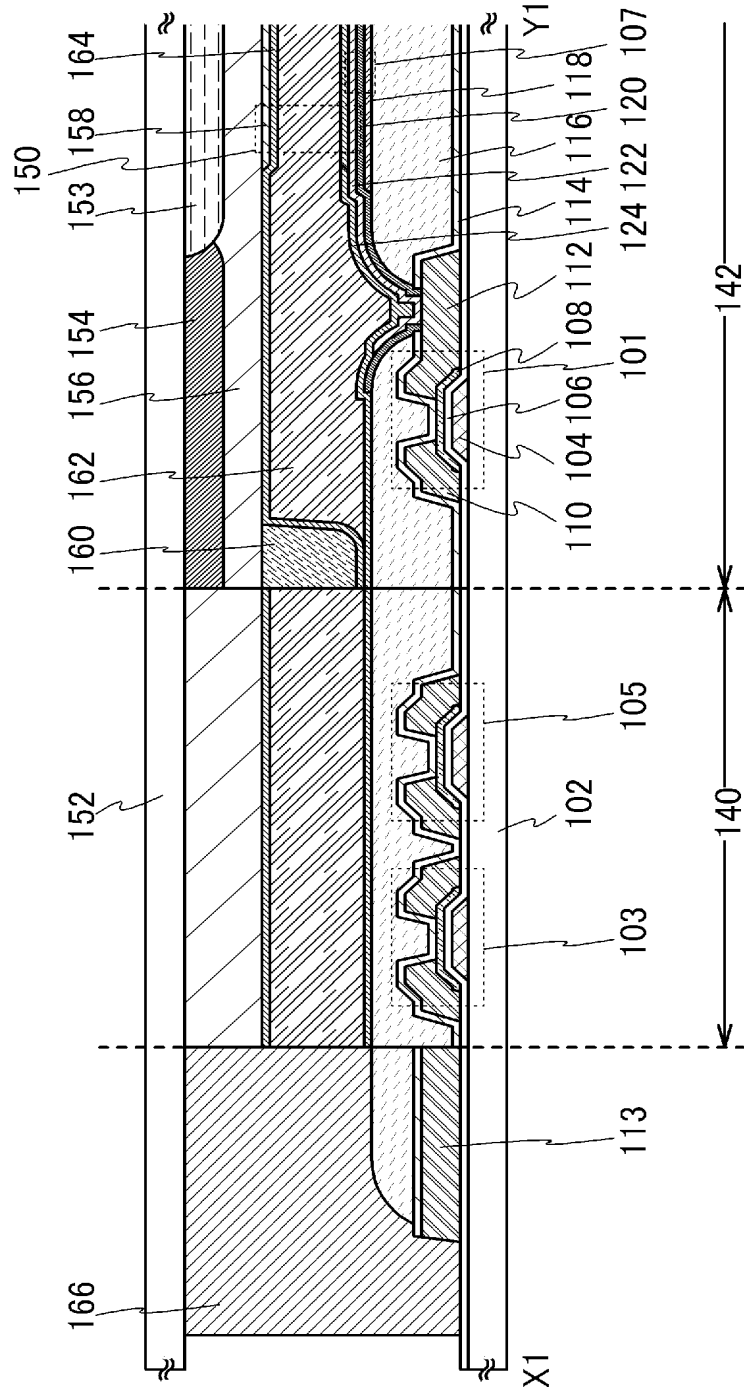
(C)



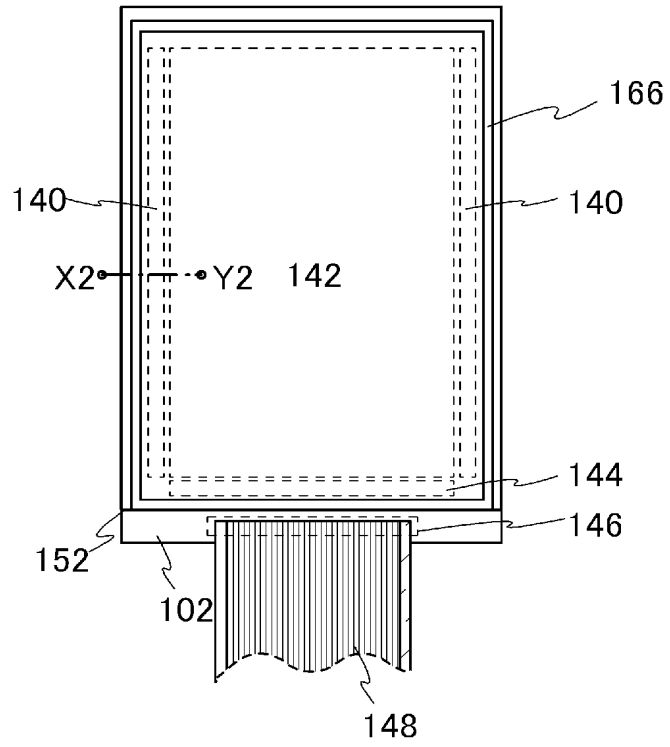
142



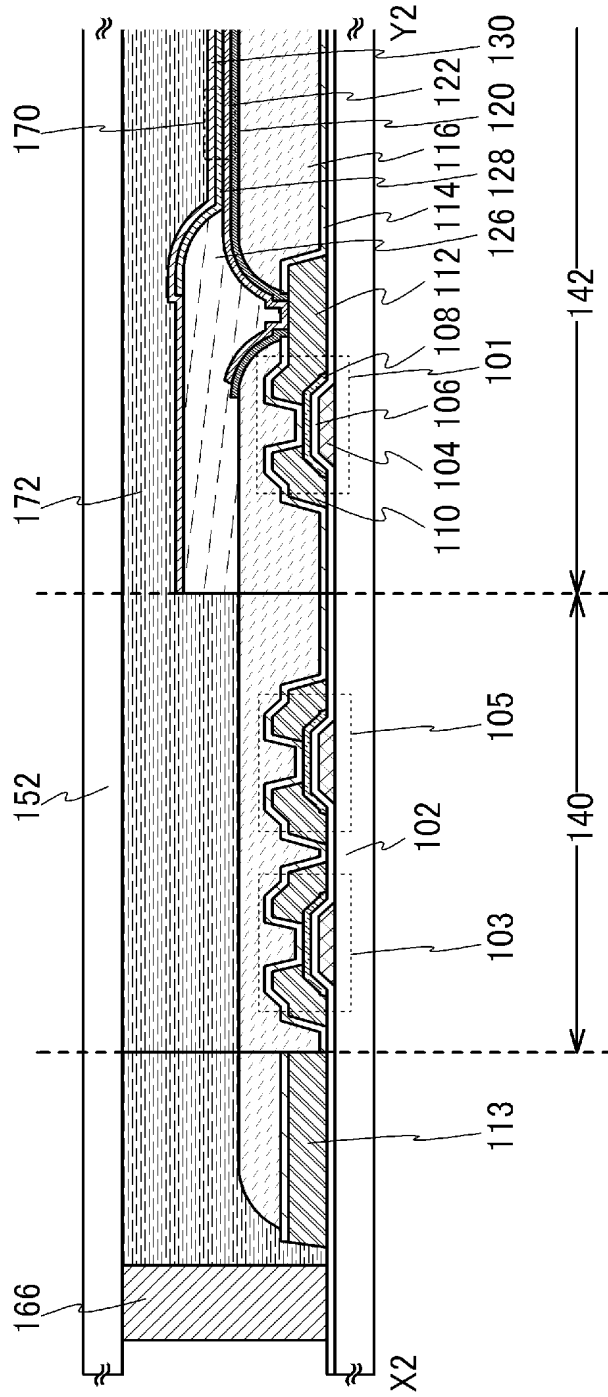
[圖 2]

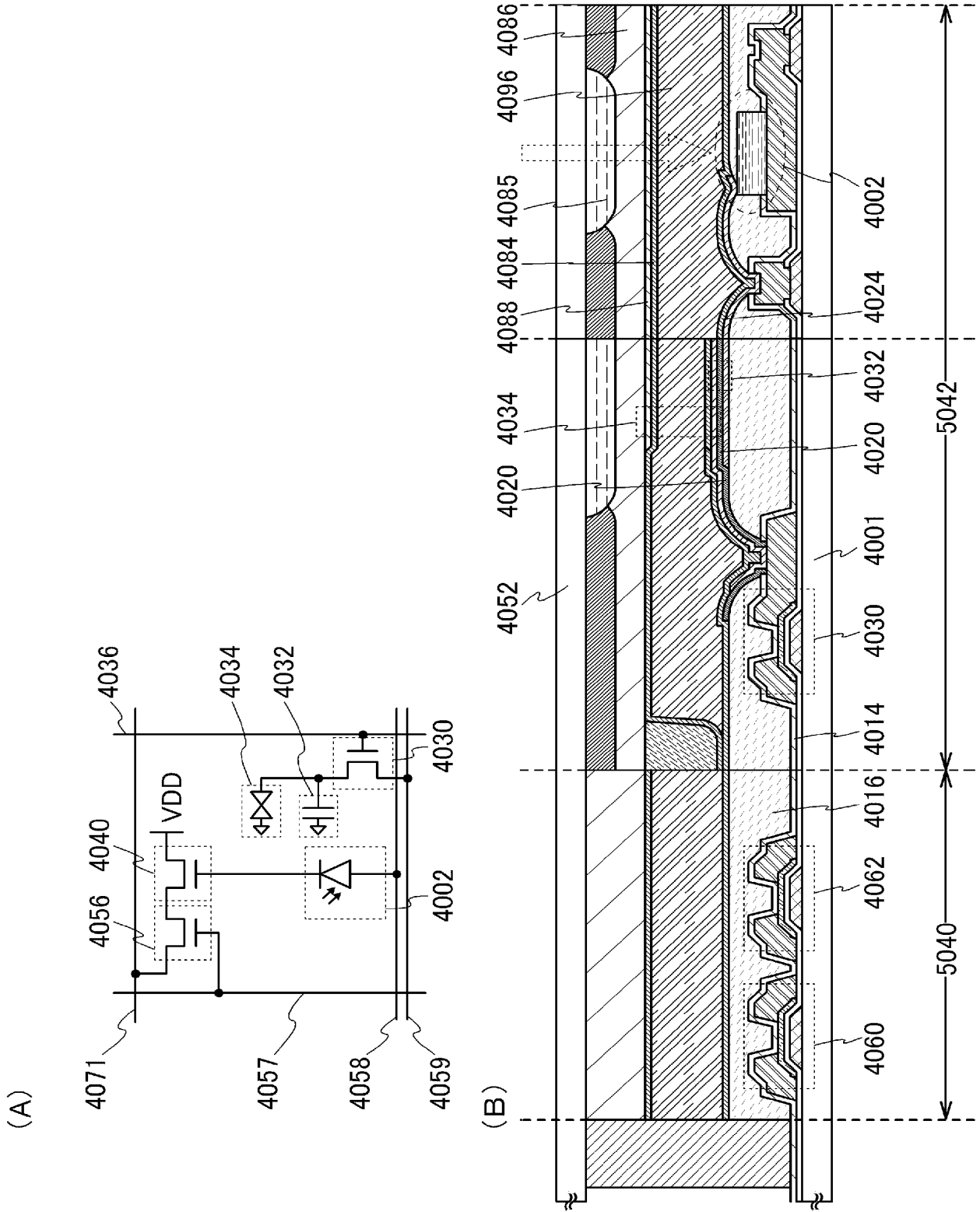


【圖 3】

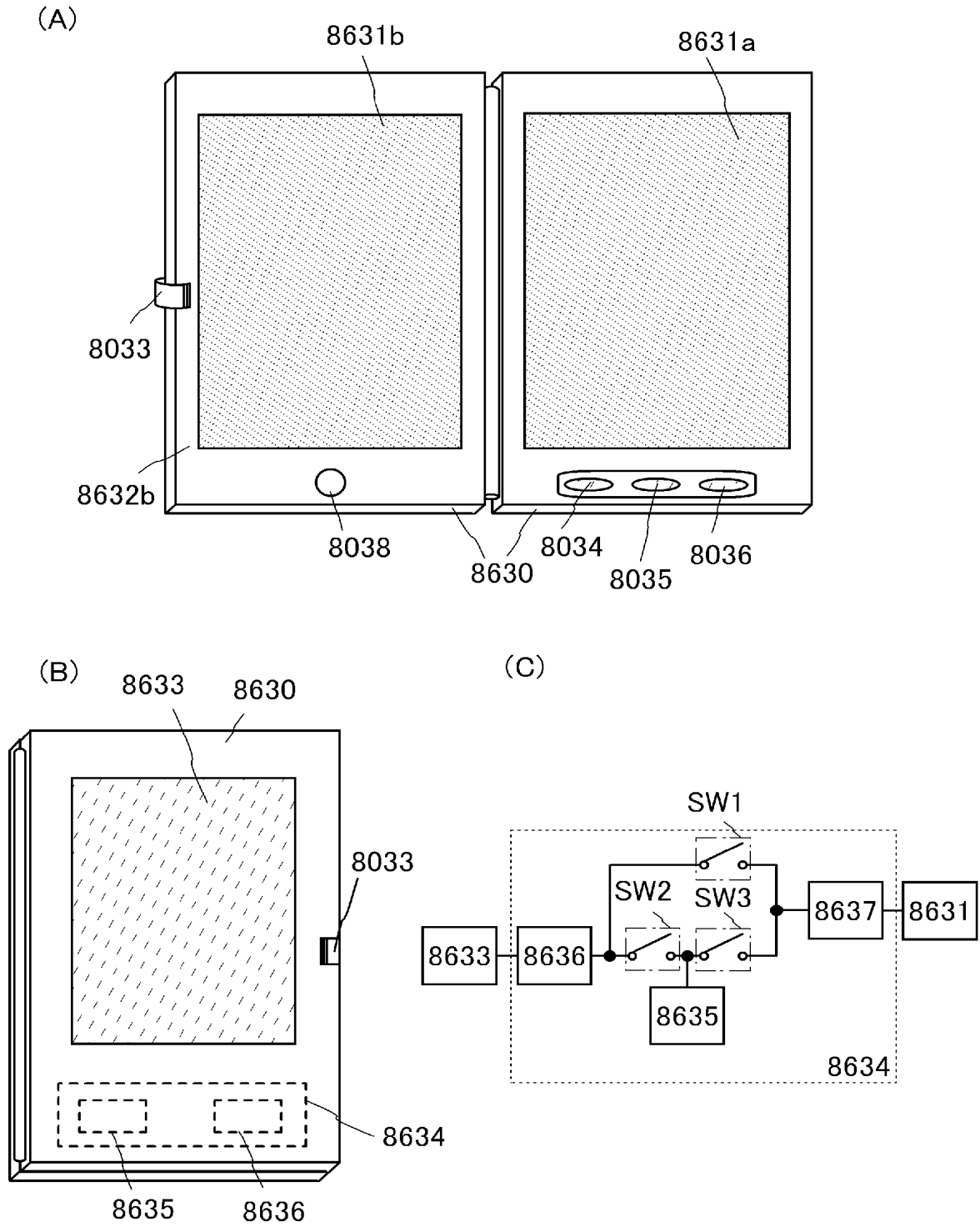


【圖 4】

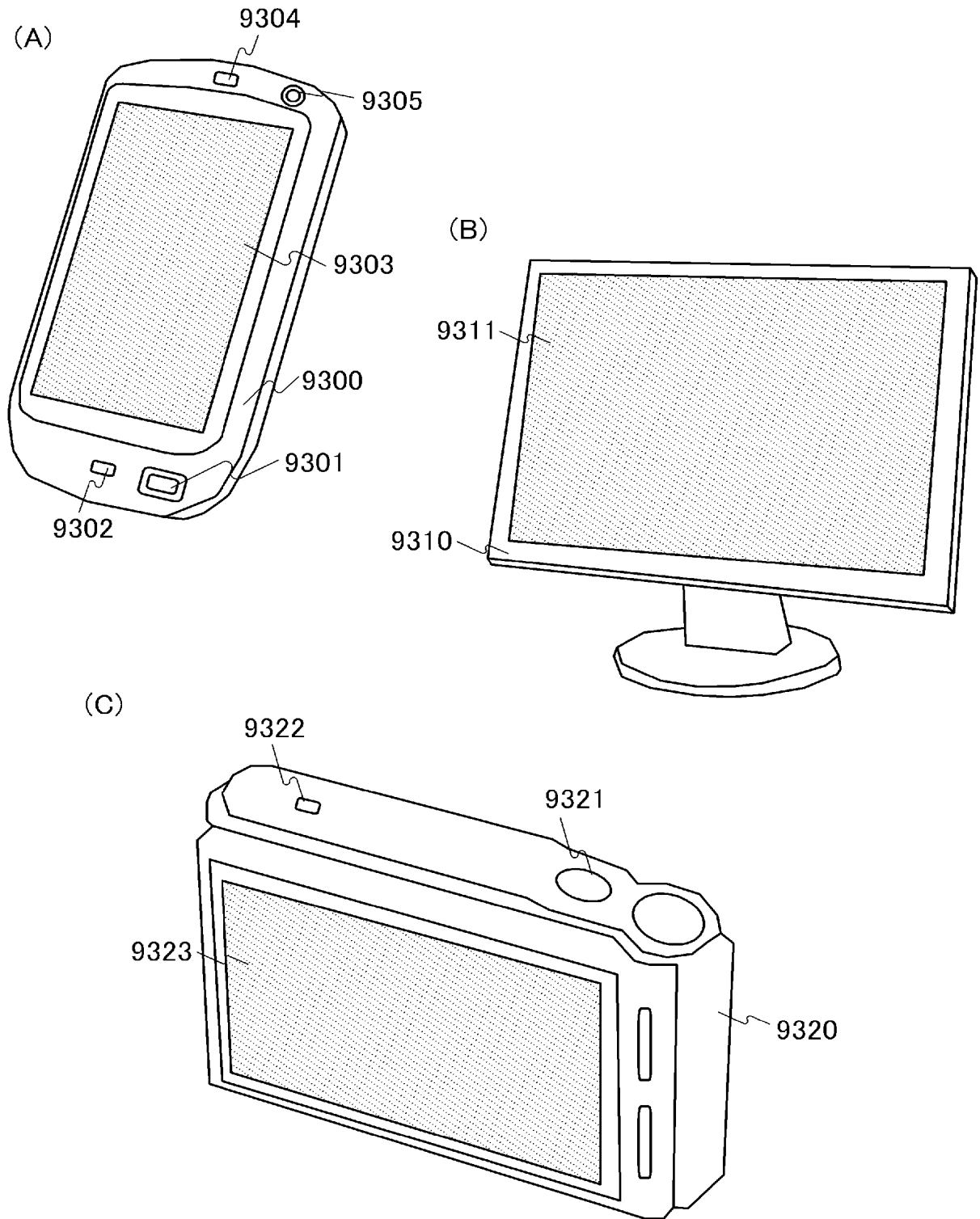




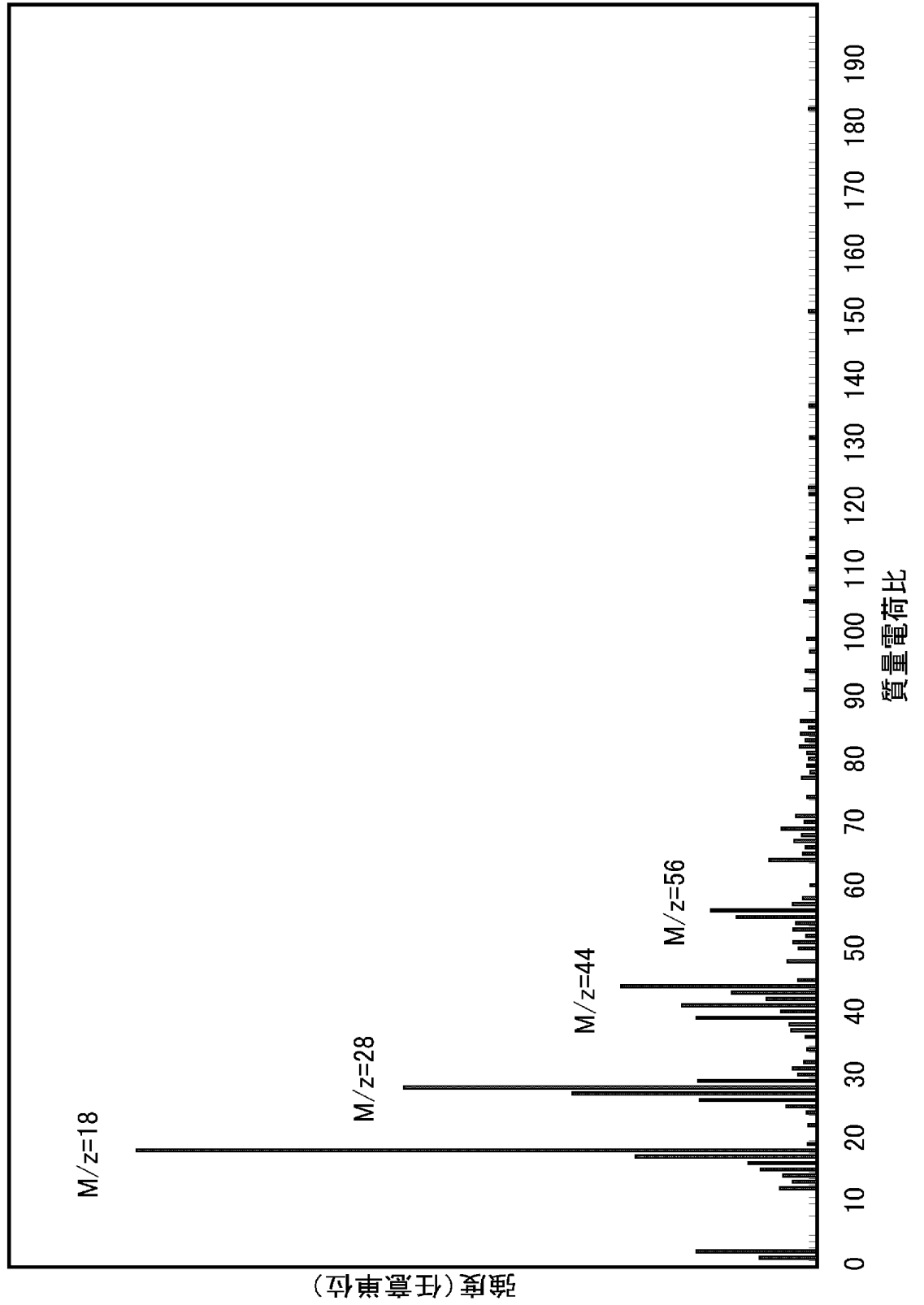
【図 6】



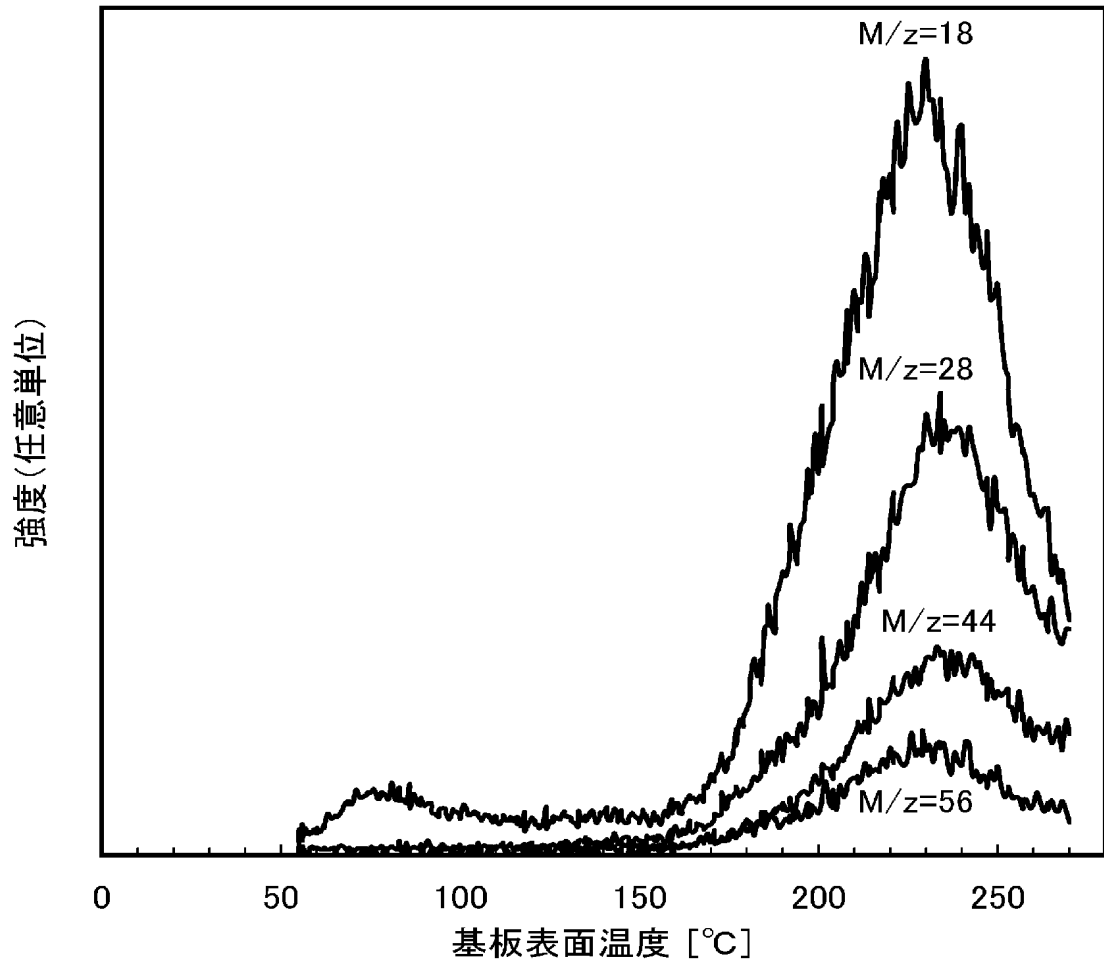
【図7】



【圖 8】

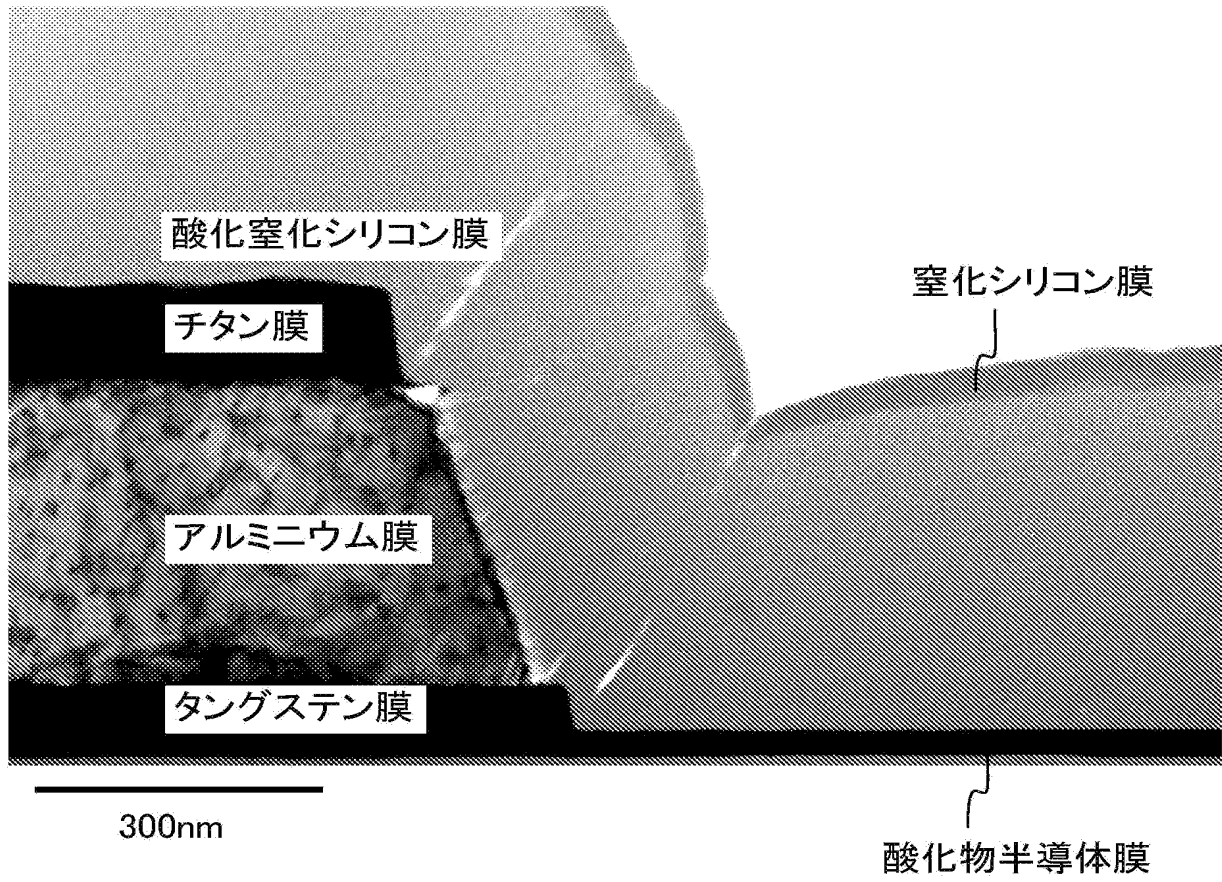


【図9】



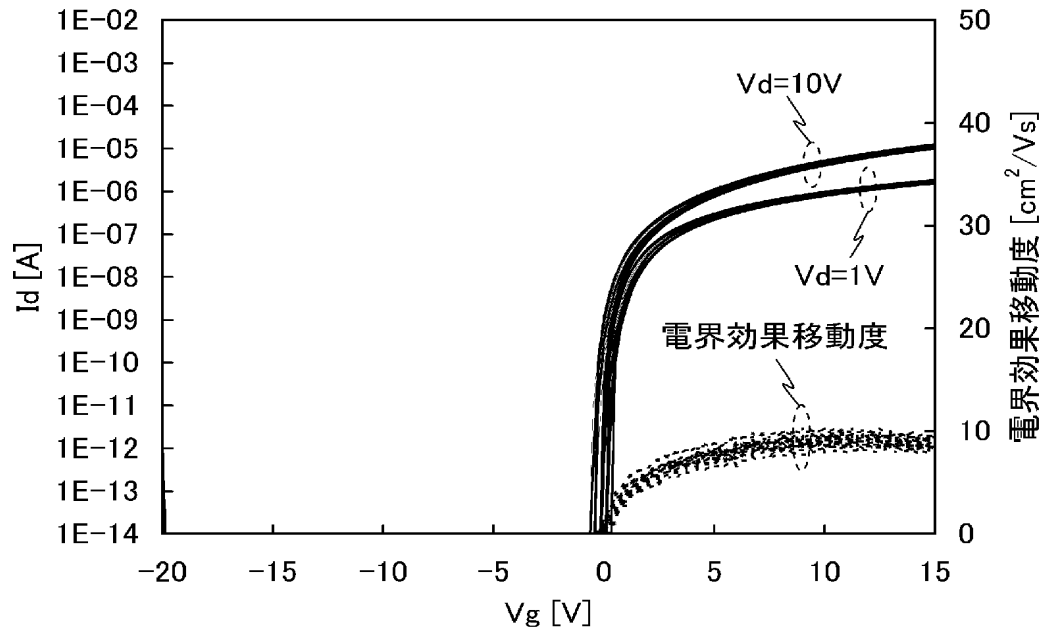


【図10】

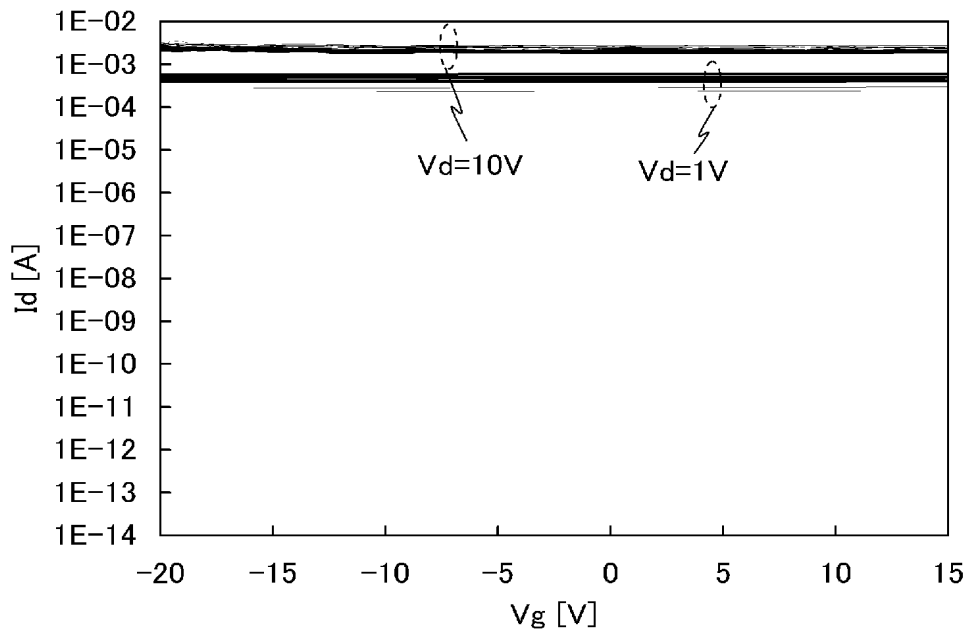


【図 1 1】

(A)



(B)



出願人履歴

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新規登録

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CONFIRMATION NO. 2340

PUBLICATION NOTICE



31780
Robinson Intellectual Property Law Office, P.C.
3975 Fair Ridge Drive
Suite 20 North
Fairfax, VA 22033

Title: DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

Publication No. US-2014-0022479-A1
Publication Date: 01/23/2014

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	13/939,323
				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	2871
				Examiner Name	E. Glick
Sheet	1	of	6	Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-2003/0189210	10-09-2003	YAMAZAKI.S et al.	
		US-2003/0127651	07-10-2003	MURAKAMI.S et al.	
		US-6861710	03-01-2005	MURAKAMI.S et al.	
		US-7033848	04-25-2006	MURAKAMI.S et al.	
		US-2009/0261337	10-22-2009	SAKAKURA.M et al.	
		US-7671369	03-02-2010	YAMAZAKI.S et al.	
		US-7492012	02-17-2009	MURAKAMI.S et al.	
		US-8008666	08-30-2011	YAMAZAKI.S et al.	
		US-2011/0204368	08-25-2011	TSUBUKU.M et al.	
		US-8115206	02-14-2012	SAKAKURA.M et al.	
		US-2012/0061666	03-15-2012	INOUE.S et al.	
		US-2012/0132919	05-31-2012	SAKAKURA.M et al.	
		US-2012/0175625	07-12-2012	YAMAZAKI.S	
		US-8405092	03-26-2013	INOUE.S et al.	
		US-8415669	04-09-2013	YAMAZAKI.S et al.	
		US-2013/0168670	07-04-2013	INOUE.S et al.	
		US-2013/0221361	08-29-2013	YAMAZAKI.S et al.	
		US-2008/0036705	02-14-2008	IWASHITA.T et al.	
		US-2011/0198598	08-18-2011	KIM.Y et al.	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP-2003-197367A	07-11-2003			Abst.
		WO-2011/102203	08-25-2011			Eng.
		WO-2007/011061	01-25-2007			Eng.
		WO-2012/035984	03-22-2012			Eng.
		JP-2003-302917A	10-24-2003			Abst.
		JP-2012-084864A	04-26-2012			Abst.

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				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	2871
				Examiner Name	E. Glick
Sheet	2	of	6	Attorney Docket Number	0756-10194

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		Number-Kind Code <sup>2</sup> (if known)				
		US-7796101		09-14-2010	IWASHITA.T et al.	
		US-8059067		11-15-2011	IWASHITA.T et al.	

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		JP-2011-192977A		09-29-2011			Abst.
		JP-2007-053355A		03-01-2007			Abst.
		JP-2007-250244A		09-27-2007			Abst.
		JP-2011-171300A		09-01-2011			Abst.
		TW-201230341		07-16-2012			Abst.
		JP-2012-160715A		08-23-2012			Abst.

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				First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	2871
				Examiner Name	E. Glick
Sheet	3	of	6	Attorney Docket Number	0756-10194

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		CN-102593185	07-18-2012			Abst.
		KR-2012-0090779A	08-17-2012			Abst.
		TW-201238056	09-16-2012			Abst.
		TW-201214711	04-01-2012			Abst.
		KR-2012-0138770A	12-26-2012			Abst.
		TW-I264822	10-21-2006			Abst.

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	13/939,323
				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	2871
				Examiner Name	E. Glick
Sheet	4	of	6	Attorney Docket Number	0756-10194

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		CN-001450665	10-22-2003			Abst.
		KR-2010-0061420A	06-07-2010			Abst.
		KR-2008-0035643A	04-23-2008			Abst.
		EP-1835540A	09-19-2007			Eng.
		KR-2007-0093830A	09-19-2007			Abst.
		CN-101038932	09-19-2007			Abst.

Examiner Signature	Date Considered
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				Art Unit	2871
				Examiner Name	E. Glick
Sheet	5	of	6	Attorney Docket Number	0756-10194

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		Number-Kind Code <sup>2</sup> (if known)				

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
		KR-2011-0094458A		08-24-2011			Abst.

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				Art Unit	2871
				Examiner Name	E. Glick
Sheet	6	of	6	Attorney Docket Number	0756-10194

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		INTERNATIONAL SEARCH REPORT (APPLICATION NO.PCT/JP2013/069456) DATED October 22, 2013.	Eng.
		WRITTEN OPINION (APPLICATION NO.PCT/JP2013/069456) DATED October 22, 2013.	Eng.

<b>Examiner Signature</b>	<b>Date Considered</b>
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## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	17373551
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Doris Vasquez Soriano
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	12-NOV-2013
<b>Filing Date:</b>	11-JUL-2013
<b>Time Stamp:</b>	13:28:31
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		IDS12NOV2013.pdf	1238200 f341170e63bd5fca9557c31b67e30490b07a11b3	yes	8

Multipart Description/PDF files in .zip description					
Document Description			Start	End	
Transmittal Letter			1	2	
Information Disclosure Statement (IDS) Form (SB08)			3	8	
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<b>Information:</b>					
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27	Non Patent Literature	INTERNATIONALSEARCHREPORT.pdf	721536 c9c7a33e44001a12b97cc8e0e738eabfd44e064c	no	6
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<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			188201023		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Confirmation No. 2340  
Yasuharu HOSAKA et al. ) Group Art Unit: 2871  
Serial No. 13/939,323 ) Examiner: E. Glick  
Filed: July 11, 2013 )  
For: DISPLAY DEVICE AND ELECTRONIC DEVICE )  
INCLUDING THE DISPLAY DEVICE )

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

Unless otherwise noted, the references submitted were cited in PCT Application No. PCT/JP2013/069456 in an International Search Report mailed October 22, 2013.

U.S. Patent No. 8,405,092 and U.S. Publication Nos. 2013/0168670 and 2012/0061666 and WO 2012/035984 and TW 201230341 are in the family JP 2012-084864. U.S. Patent No. 8,405,092 and U.S. Publication No. 2013/0168670 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Patent Nos. 6,861,710; 7,033,848 and 7,492,012 and U.S. Publication No. 2003/0127651 are in the family of JP 2003-197367. U.S. Patent Nos. 6,861,710; 7,033,848 and 7,492,012 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Publication No. 2012/0175625 is in the family of JP 2012-160715 and CN 102593185 and KR 2012-0090779 and TW 201238056.

U.S. Publication No. 2011/0204368 and WO 2011/102203 and TW 201214711 and KR 2012-0138770 are in the family of JP 2011-192977.



U.S. Patent Nos. 7,671,369; 8,008,666 and 8,415,669 and U.S. Publication Nos. 2013/0221361 and 2003/0189210 and TW I264822 and CN 001450665 and KR 2010-0061420 are in the family of JP 2003-302917. U.S. Patent Nos. 7,671,369; 8,008,666 and 8,415,669 and U.S. Publication No. 2013/0221361 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Patent No. 8,115,206 and U.S. Publication Nos. 2012/0132919 and 2009/0261337 and WO 2007/011061 and KR 2008-0035643 are in the family of JP 2007-053355. U.S. Patent No. 8,115,206 and U.S. Publication No. 2012/0132919 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

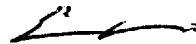
U.S. Patent Nos. 7,796,101 and 8,059,067 and U.S. Publication No. 2008/0036705 and EP 1 835 540 and KR 2007-0093830 and CN 101038932 are in the family of JP 2007-250244. U.S. Patent Nos. 7,796,101 and 8,059,067 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Publication No. 2011/0198598 and KR 2011-0094458 are in the JP 2011-171300.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,



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Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

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		Filing Date	July 11, 2013		
		First Named Inventor	Yasuharu HOSAKA et al.		
		Art Unit	2871		
		Examiner Name	E. Glick		
Sheet	1	of	12	Attorney Docket Number	0756-10194

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		Number-Kind Code <sup>2</sup> (if known)			
		US-2002/0056838	05-16-2002	OGAWA.K	
		US-2003/0189401	10-09-2003	KIDO.J et al.	
		US-6727522	04-27-2004	KAWASAKI.M et al.	
		US-2006/0169973	08-03-2006	ISA.T et al.	
		US-2006/0208977	09-21-2006	KIMURA.H	
		US-2006/0238135	10-26-2006	KIMURA.H	
		US-7064346	06-20-2006	KAWASAKI.M et al.	
		US-2007/0108446	05-17-2007	AKIMOTO.K	
		US-2006/0170111	08-03-2006	ISA.T et al.	
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		US-2004/0127038	07-01-2004	CARCIA.P et al.	
		US-2004/0038446	02-26-2004	TAKEDA.K et al.	
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		US-2006/0113539	06-01-2006	SANO.M et al.	
		US-2006/0113549	06-01-2006	DEN.T et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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		JP-2004-103957A	04-02-2004			Abst.
		JP-11-505377	05-18-1999			Abst.
		JP-08-264794A	10-11-1996			Full
		JP-2000-044236A	02-15-2000			Full

Examiner Signature	Date Considered
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	13/939,323
				Filing Date	July 11, 2013
				First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	2871
				Examiner Name	E. Glick
Sheet	2	of	12	Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-7323356	01-29-2008	HOSONO.H et al.	
		US-6294274	09-25-2001	KAWAZOE.H et al.	
		US-7061014	06-13-2006	HOSONO.H et al.	
		US-2008/0296568	12-04-2008	RYU.M et al.	
		US-7282782	10-16-2007	HOFFMAN.R et al.	
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		US-2007/0024187	02-01-2007	SHIN.H et al.	
		US-2007/0046191	03-01-2007	SAITO.K	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP-2002-289859A	10-04-2002			Full
		JP-05-251705A	09-28-1993			Full
		JP-2002-076356A	03-15-2002			Full
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		JP-2004-273614A	09-30-2004			Full
		WO-2004/114391	12-29-2004			Abst.

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Sheet	3	of	12	Attorney Docket Number	0756-10194	

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		US-2007/0052025	03-08-2007	YABUTA.H	
		US-2007/0090365	04-26-2007	HAYASHI.R et al.	
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		JP-2003-086000A	03-20-2003			Full
		JP-2003-086808A	03-20-2003			Abst.
		JP-63-210022A	08-31-1988			Full
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		JP-63-239117A	10-05-1988			Full
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		US-2005/0017302	01-27-2005	HOFFMAN.R	
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		NOMURA.K et al., "THIN-FILM TRANSISTOR FABRICATED IN SINGLE-CRYSTALLINE TRANSPARENT OXIDE SEMICONDUCTOR," SCIENCE, May 23, 2003, Vol. 300, No. 5623, pp. 1269-1272.	Eng.
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Sheet	8	of	12	Attorney Docket Number	0756-10194	

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Sheet	9	of	12	Attorney Docket Number	0756-10194

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		COSTELLO.M et al., "ELECTRON MICROSCOPY OF A CHOLESTERIC LIQUID CRYSTAL AND ITS BLUE PHASE," PHYS. REV. A (PHYSICAL REVIEW. A), May 1, 1984, Vol. 29, No. 5, pp. 2957-2959.	Eng.
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		UENO.K et al., "FIELD-EFFECT TRANSISTOR ON SrTiO <sub>3</sub> WITH SPUTTERED Al <sub>2</sub> O <sub>3</sub> GATE INSULATOR," APPL. PHYS. LETT. (APPLIED PHYSICS LETTERS) , September 1, 2003, Vol. 83, No. 9, pp. 1755-1757.	Eng.

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	16833703
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu HOSAKA
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Doris Vasquez Soriano
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	19-SEP-2013
<b>Filing Date:</b>	11-JUL-2013
<b>Time Stamp:</b>	14:36:03
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				43371000	
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: ) Confirmation No. 2340  
Yasuharu HOSAKA et al. ) Group Art Unit: 2871  
Serial No. 13/939,323 ) Examiner: E. Glick  
Filed: July 11, 2013 )  
For: DISPLAY DEVICE AND ELECTRONIC DEVICE )  
INCLUDING THE DISPLAY DEVICE )

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

U.S. Patent Nos. 6,727,522 and 7,064,346 are in the family of JP 2000-150900.

U.S. Patent No. 7,061,014 is in the family of JP 2004-103957.

U.S. Patent No. 5,744,864 is in the family of JP 11-505377.

U.S. Patent No. 6,563,174 is in the family of JP 2003-086808.

U.S. Publication No. 2006/0244107 is in the family of WO 2004/114391.

Although no fee is due for this Information Disclosure Statement, the Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,



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Eric J. Robinson  
Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

**PATENT APPLICATION FEE DETERMINATION RECORD**

Substitute for Form PTO-875

Application or Docket Number  
13/939,323

**APPLICATION AS FILED - PART I**

(Column 1) (Column 2)

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A
SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A
TOTAL CLAIMS (37 CFR 1.16(j))	20	minus 20 = *
INDEPENDENT CLAIMS (37 CFR 1.16(h))	2	minus 3 = *
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))		

**SMALL ENTITY**

RATE(\$)	FEE(\$)
N/A	
N/A	
N/A	
TOTAL	

**OR OTHER THAN SMALL ENTITY**

RATE(\$)	FEE(\$)
N/A	280
N/A	600
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x 80 =	0.00
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	0.00
TOTAL	1600

\* If the difference in column 1 is less than zero, enter "0" in column 2.

**APPLICATION AS AMENDED - PART II**

(Column 1) (Column 2) (Column 3)

AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
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	Independent (37 CFR 1.16(h))	*	Minus	***	=
	Application Size Fee (37 CFR 1.16(s))				
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					

**SMALL ENTITY**

RATE(\$)	ADDITIONAL FEE(\$)
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**OR OTHER THAN SMALL ENTITY**

RATE(\$)	ADDITIONAL FEE(\$)
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TOTAL ADD'L FEE	

(Column 1) (Column 2) (Column 3)

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	Independent (37 CFR 1.16(h))	*	Minus	***	=
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FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					

**SMALL ENTITY**

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**OR OTHER THAN SMALL ENTITY**

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\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



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Table with 7 columns: APPLICATION NUMBER, FILING or 371(c) DATE, GRP ART UNIT, FIL FEE REC'D, ATTY,DOCKET,NO, TOT CLAIMS, IND CLAIMS. Row 1: 13/939,323, 07/11/2013, 2811, 1600, 0756-10194, 20, 2

CONFIRMATION NO. 2340

31780
Robinson Intellectual Property Law Office, P.C.
3975 Fair Ridge Drive
Suite 20 North
Fairfax, VA 22033

FILING RECEIPT



Date Mailed: 08/01/2013

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Yasuharu HOSAKA, Tochigi, JAPAN;
Yukinori SHIMA, Tatebayashi, JAPAN;
Kenichi OKAZAKI, Tochigi, JAPAN;
Shunpei YAMAZAKI, Setagaya, JAPAN;

Applicant(s)

Semiconductor Energy Laboratory Co., Ltd., Atsugi-shi, JAPAN

Non-Applicant Assignee(s)

Semiconductor Energy Laboratory Co., Ltd.

Power of Attorney: The patent practitioners associated with Customer Number 31780

Domestic Applications for which benefit is claimed - None.

A proper domestic benefit claim must be provided in an Application Data Sheet in order to constitute a claim for domestic benefit. See 37 CFR 1.76 and 1.78.

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see http://www.uspto.gov for more information.)

JAPAN 2012-161344 07/20/2012

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**Retrieve Electronic Priority Application(s)** (PTO/SB/38 or its equivalent). Consequently, the USPTO will attempt to electronically retrieve these priority documents.

**If Required, Foreign Filing License Granted:** 07/26/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/939,323**

**Projected Publication Date:** 01/23/2014

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

**Preliminary Class**

257

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:** No

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <http://www.SelectUSA.gov> or call +1-202-482-6800.



UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194

**CONFIRMATION NO. 2340**

**POA ACCEPTANCE LETTER**



31780  
Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, VA 22033

Date Mailed: 08/01/2013

**NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY**

This is in response to the Power of Attorney filed 07/11/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ewondimu/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

## SCORE Placeholder Sheet for IFW Content

Application Number: 13939323

Document Date: 07/11/2013

The presence of this form in the IFW record indicates that the following document type was received in electronic format on the date identified above. This content is stored in the SCORE database.

- Drawing

Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

To access the documents in the SCORE database, refer to instructions developed by SIRA.

At the time of document entry (noted above):

- Examiners may access SCORE content via the eDAN interface.
- Other USPTO employees can bookmark the current SCORE URL (<http://es/ScoreAccessWeb/>).
- External customers may access SCORE content via the Public and Private PAIR interfaces.

Form Revision Date: February 8, 2006

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

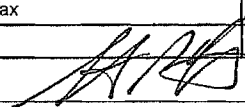
<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	0756-10194
	First Named Inventor	Yasuharu HOSAKA et al.
	Title	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
	Express Mail Label No.	

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
1. <input type="checkbox"/> Fee Transmittal Form (PTO/SB/17 or equivalent) 2. <input type="checkbox"/> Applicant asserts small entity status. See 37 CFR 1.27 3. <input type="checkbox"/> Applicant certifies micro entity status. See 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent. 4. <input checked="" type="checkbox"/> Specification [Total Pages 59] Both the claims and abstract must start on a new page. (See MPEP § 608.01(a) for information on the preferred arrangement) 5. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 11] 6. <input checked="" type="checkbox"/> Inventor's Oath or Declaration [Total Pages 8] (Including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e)) a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> A copy from a prior application (37 CFR 1.63(d)) 7. <input checked="" type="checkbox"/> Application Data Sheet * See note below. See 37 CFR 1.76 (PTO/AIA/14 or equivalent) 8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) <input type="checkbox"/> Landscape Table on CD 9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, items a. - c. are required) a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies	<b>ACCOMPANYING APPLICATION PAPERS</b> 10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) Name of Assignee Semiconductor Energy Laboratory Co., Ltd. 11. <input checked="" type="checkbox"/> 37 CFR 3.73(c) Statement [checked] Power of Attorney (when there is an assignee) 12. <input type="checkbox"/> English Translation Document (if applicable) 13. <input checked="" type="checkbox"/> Information Disclosure Statement (PTO/SB/08 or PTO-1449) <input checked="" type="checkbox"/> Copies of citations attached 14. <input type="checkbox"/> Preliminary Amendment 15. <input type="checkbox"/> Return Receipt Postcard (MPEP § 503) (Should be specifically itemized) 16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 17. <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent. 18. <input type="checkbox"/> Other: _____ _____ _____ _____

\*Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 must be included in an Application Data Sheet (ADS).  
 (2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).

### 19. CORRESPONDENCE ADDRESS

The address associated with Customer Number: 31780 OR  Correspondence address below

Name	Eric J. Robinson, Robinson Intellectual Property Law Office, P.C.				
Address	3975 Fair Ridge Drive, Suite 20 North				
City	Fairfax	State	VA	Zip Code	22033
Country	US	Telephone	571-434-6789	Email	erobinson@ripl.com
Signature				Date	July 11, 2013
Name (Print/Type)	Stephen P. Catlin			Registration No. (Attorney/Agent)	36,101

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(c)**Applicant/Patent Owner: Semiconductor Energy Laboratory Co., Ltd.Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: July 11, 2013Titled: DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICESemiconductor Energy Laboratory Co., Ltd., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1.  The assignee of the entire right, title, and interest.
2.  An assignee of less than the entire right, title, and interest (check applicable box):
- The extent (by percentage) of its ownership interest is \_\_\_\_\_%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

--

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3.  The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

--

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4.  The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(c)**

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

4. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

5. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

6. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

  
**Stephen P. Catlin**

Printed or Typed Name

July 11, 2013

Date

Reg. No. 36,101

Title or Registration Number

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



ASSIGNMENT

Serial No. \_\_\_\_\_

Filed \_\_\_\_\_

WHEREAS, Yasuharu HOSAKA , Yukinori SHIMA , Kenichi OKAZAKI and Shunpei YAMAZAKI

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE for which an application for Letters Patent of the United States of America has been executed by the undersigned on \_\_\_\_\_, and;

WHEREAS, Semiconductor Energy Laboratory Co., Ltd. of 398, Hase, Atsugi-shi, Kanagawa-ken, 243-0036 Japan and its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from

said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of ROBINSON INTELLECTUAL PROPERTY LAW OFFICE the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

*In witness thereof*, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 07/02/2013 Signature Yasuharu HOSAKA  
Name Yasuharu HOSAKA

Date 07/02/2013 Signature Yukinori SHIMA  
Name Yukinori SHIMA

Date 07/02/2013 Signature Kenichi OKAZAKI  
Name Kenichi OKAZAKI

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name Shunpei YAMAZAKI

This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed has (have) invented certain new and useful improvements in by at least two other persons who should sign here.

Witness \_\_\_\_\_ Signature \_\_\_\_\_

Witness \_\_\_\_\_ Signature \_\_\_\_\_

Witness \_\_\_\_\_ Signature \_\_\_\_\_

**ASSIGNMENT**

Serial No. \_\_\_\_\_

Filed \_\_\_\_\_

WHEREAS, Yasuharu HOSAKA , Yukinori SHIMA , Kenichi OKAZAKI and Shunpei YAMAZAKI

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE for which an application for Letters Patent of the United States of America has been executed by the undersigned on \_\_\_\_\_, and;

WHEREAS, Semiconductor Energy Laboratory Co., Ltd. of 398, Hase, Atsugi-shi, Kanagawa-ken, 243-0036 Japan and its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from

said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby convenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

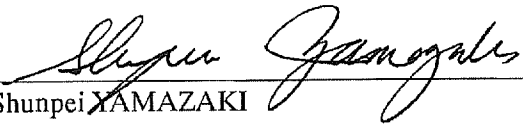
The undersigned hereby grant(s) the law firm of ROBINSON INTELLECTUAL PROPERTY LAW OFFICE the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

*In witness thereof*, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name Yasuharu HOSAKA

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name Yukinori SHIMA

Date \_\_\_\_\_ Signature \_\_\_\_\_  
Name Kenichi OKAZAKI

Date 07/02/2017 Signature   
Name Shunpei YAMAZAKI

This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed has (have) invented certain new and useful improvements in by at least two other persons who should sign here.

Witness \_\_\_\_\_ Signature \_\_\_\_\_

Witness \_\_\_\_\_ Signature \_\_\_\_\_

Witness \_\_\_\_\_ Signature \_\_\_\_\_

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>		Attorney Docket Number	0756-10194
		Application Number	
Title of Invention	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE		
<p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p>			

**Secrecy Order 37 CFR 5.2**

<input type="checkbox"/> Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)
--

**Inventor Information:**

<b>Inventor 1</b>					<input type="button" value="Remove"/>
<b>Legal Name</b>					
<b>Prefix</b>	<b>Given Name</b>	<b>Middle Name</b>	<b>Family Name</b>	<b>Suffix</b>	
	Yasuharu		HOSAKA		
<b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service					
<b>City</b>	Tochigi	<b>Country of Residence i</b>	JP		

**Mailing Address of Inventor:**

<b>Address 1</b>	c/o Semiconductor Energy Laboratory Co., Ltd.				
<b>Address 2</b>	398, Hase				
<b>City</b>	Atsugi-shi, Kanagawa-ken	<b>State/Province</b>			
<b>Postal Code</b>	243-0036	<b>Country i</b>	JP		

<b>Inventor 2</b>					<input type="button" value="Remove"/>
<b>Legal Name</b>					
<b>Prefix</b>	<b>Given Name</b>	<b>Middle Name</b>	<b>Family Name</b>	<b>Suffix</b>	
	Yukinori		SHIMA		
<b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service					
<b>City</b>	Tatebayashi	<b>Country of Residence i</b>	JP		

**Mailing Address of Inventor:**

<b>Address 1</b>	c/o Semiconductor Energy Laboratory Co., Ltd.				
<b>Address 2</b>	398, Hase				
<b>City</b>	Atsugi-shi, Kanagawa-ken	<b>State/Province</b>			
<b>Postal Code</b>	243-0036	<b>Country i</b>	JP		

<b>Inventor 3</b>					<input type="button" value="Remove"/>
<b>Legal Name</b>					

<b>Application Data Sheet 37 CFR 1.76</b>		Attorney Docket Number	0756-10194
		Application Number	
Title of Invention	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE		

Prefix	Given Name	Middle Name	Family Name	Suffix
	Kenichi		OKAZAKI	
<b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	Tochigi	Country of Residence i	JP	

**Mailing Address of Inventor:**

Address 1	c/o Semiconductor Energy Laboratory Co., Ltd.			
Address 2	398, Hase			
City	Atsugi-shi, Kanagawa-ken	State/Province		
Postal Code	243-0036	Country i	JP	
Inventor 4				<input type="button" value="Remove"/>

**Legal Name**

Prefix	Given Name	Middle Name	Family Name	Suffix
	Shunpei		YAMAZAKI	
<b>Residence Information (Select One)</b> <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	Setagaya	Country of Residence i	JP	

**Mailing Address of Inventor:**

Address 1	c/o Semiconductor Energy Laboratory Co., Ltd.			
Address 2	398, Hase			
City	Atsugi-shi, Kanagawa-ken	State/Province		
Postal Code	243-0036	Country i	JP	
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the <b>Add</b> button. <input type="button" value="Add"/>				

### Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).			
<input type="checkbox"/> An Address is being provided for the correspondence information of this application.			
Customer Number	31780		
Email Address	erobinson@riplo.com	<input type="button" value="Add Email"/>	<input type="button" value="Remove Email"/>

<b>Application Data Sheet 37 CFR 1.76</b>	Attorney Docket Number	0756-10194
	Application Number	
Title of Invention	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE	

**Application Information:**

<b>Title of the Invention</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE		
<b>Attorney Docket Number</b>	0756-10194	<b>Small Entity Status Claimed</b>	<input type="checkbox"/>
<b>Application Type</b>	Nonprovisional		
<b>Subject Matter</b>	Utility		
<b>Total Number of Drawing Sheets (if any)</b>	11	<b>Suggested Figure for Publication (if any)</b>	

**Publication Information:**

<input type="checkbox"/>	Request Early Publication (Fee required at time of Request 37 CFR 1.219)
<input type="checkbox"/>	<b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application <b>has not and will not</b> be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

**Representative Information:**

<p>Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer number will be used for the Representative Information during processing.</p>			
Please Select One:	<input checked="" type="radio"/> Customer Number	<input type="radio"/> US Patent Practitioner	<input type="radio"/> Limited Recognition (37 CFR 11.9)
Customer Number	31780		

**Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.			
Prior Application Status			<input type="button" value="Remove"/>
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the <b>Add</b> button.			<input type="button" value="Add"/>

**Foreign Priority Information:**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>	Attorney Docket Number	0756-10194
	Application Number	
Title of Invention	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE	

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Remove

Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
2012-161344	JP	2012-07-20	

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Add

## Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

## Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>	Attorney Docket Number	0756-10194
	Application Number	
Title of Invention	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE	

## Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

<b>Applicant 1</b>	<input type="button" value="Remove"/>		
<p>If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.</p>			
<input type="button" value="Clear"/>			
<input checked="" type="radio"/> Assignee	<input type="radio"/> Legal Representative under 35 U.S.C. 117		
<input type="radio"/> Person to whom the inventor is obligated to assign.	<input type="radio"/> Person who shows sufficient proprietary interest		
<p>If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:</p>			
<p>Name of the Deceased or Legally Incapacitated Inventor : <input type="text"/></p>			
<p>If the Applicant is an Organization check here. <input checked="" type="checkbox"/></p>			
Organization Name	Semiconductor Energy Laboratory Co., Ltd.		
<b>Mailing Address Information:</b>			
Address 1	398, Hase		
Address 2			
City	Atsugi-shi, Kanagawa-ken	State/Province	
Country   JP		Postal Code	243-0036
Phone Number		Fax Number	
Email Address			
<p>Additional Applicant Data may be generated within this form by selecting the Add button. <input type="button" value="Add"/></p>			

## Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>	Attorney Docket Number	0756-10194
	Application Number	
Title of Invention	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE	

<b>Assignee 1</b>				
Complete this section only if non-applicant assignee information is desired to be included on the patent application publication in accordance with 37 CFR 1.215(b). Do not include in this section an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest), as the patent application publication will include the name of the applicant(s).				
<input type="button" value="Remove"/>				
If the Assignee is an Organization check here. <input type="checkbox"/>				
Prefix	Given Name	Middle Name	Family Name	Suffix
<b>Mailing Address Information:</b>				
Address 1				
Address 2				
City		State/Province		
Country i		Postal Code		
Phone Number		Fax Number		
Email Address				
Additional Assignee Data may be generated within this form by selecting the Add button. <input type="button" value="Add"/>				

<b>Signature:</b> <input type="button" value="Remove"/>				
NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications				
Signature	/Stephen P. Catlin/		Date (YYYY-MM-DD)	2013-07-11
First Name	Stephen P.	Last Name	Catlin	Registration Number 36101
Additional Signature may be generated within this form by selecting the Add button. <input type="button" value="Add"/>				

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

# Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
Yasuharu HOSAKA et al. )  
Based on JP 2012-161344 ) New Application  
Filed: July 20, 2012 )  
For: DISPLAY DEVICE AND ELECTRONIC )  
DEVICE INCLUDING THE DISPLAY DEVICE )

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

U.S. Patent Nos. 7,791,072 and 8,237,166 and U.S. Publication Nos. 2006/0113536 and 2010/0295041 are in the family of JP 2006-165528.

U.S. Patent No. 8,269,218 and U.S. Publication Nos. 2011/0133181 and 2012/0319118 are in the family of JP 2011-139047.

Although no fee is due for this Information Disclosure Statement, the Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,



Stephen P. Catlin  
Reg. No. 36,101

Robinson Intellectual Property Law Office, P.C.  
3975 Fair Ridge Drive  
Suite 20 North  
Fairfax, Virginia 22033  
(571) 434-6789

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:

Practitioners associated with Customer Number: 31780

**OR**

Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignments documents attached to this form in accordance with 37 CFR 3.73(c).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:

The address associated with Customer Number: 31780

**OR**


<input type="checkbox"/>	Firm or Individual Name		
<input type="checkbox"/>	Address		
<input type="checkbox"/>	City		
<input type="checkbox"/>	Country		
<input type="checkbox"/>	Telephone		Email

Assignee Name and Address: SEMICONDUCTOR ENERGY LABORATORY CO., LTD.  
 398, HASE, ATSUGI-SHI  
 KANAGAWA-KEN 243-0036  
 JAPAN

**A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/SB/96 or equivalent) is required to be Filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of The practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.**

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	09/21/2012
Name	Dr. Shunpei Yamazaki	Telephone	81-46-270-1170
Title	President		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>			
				Application Number			
				Filing Date		July 11, 2013	
				First Named Inventor		Yasuharu HOSAKA et al.	
				Art Unit			
				Examiner Name			
Sheet	1	of	1	Attorney Docket Number	0756-10194		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US2006/0113536	06-01-2006	KUMOMI et al.	
		US7791072	09-07-2010	KUMOMI et al.	
		US2010/0295041	11-25-2010	KUMOMI et al.	
		US8237166	08-07-2012	KUMOMI et al.	
		US2011/0133181	06-09-2011	YAMAZAKI	
		US8269218	09-18-2012	YAMAZAKI	
		US2012/0319118	12-20-2012	YAMAZAKI	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		JP2006-165528	06-22-2006			abst.
		JP2011-139047	07-14-2011			abst.

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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**申請データシート(37 CFR 1.76)を使った実用及び意匠登録出願宣誓書(37 CFR 1.63)**  
**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET**  
**(37 CFR 1.76)**

発明の名称  
Title of  
Invention

**DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE**

下記発明者である私は、つぎのことがらを宣誓します。  
As the below named inventor, I hereby declare that:

本宣誓は  
This declaration  
is directed to:

添付されている、あるいは  
The attached application, or

米国出願、あるいは \_\_\_\_\_ に出願された PCT 国際願番号 \_\_\_\_\_ として出願されているものに宛てられています。  
United States application or PCT international application number \_\_\_\_\_ filed on \_\_\_\_\_.

上記の出願は私自身、あるいは私が権限を授与したのものによって行われたものです。  
The above-identified application was made or authorized to be made by me.

私は本出願書中にあらわれるもとの発明者、あるいはもとの共同発明者です。  
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

本宣誓書において故意に虚偽の申し立てを行った場合は 18 U.S.C. 1001 により、罰金あるいは最高五(5)年の禁固刑、あるいはその両方による罰則の対象となることを認めます。

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

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発明者の正式氏名  
LEGAL NAME OF INVENTOR

発明者:  
Inventor: Yasuharu HOSAKA

日付(任意):  
Date (Optional): 07/02/2013

署名:  
Signature: Yasuharu HOSAKA

備考: 出願データシート(PTO/AIA/14 あるいはその同等用紙) は、発明の自主独立体全体の命名を含め、本用紙に添付すること。なお残余の発明者ごとに PTO/SB/AIA01 用紙を使用する。

Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional PTO/SB/AIA01 form for each additional inventor.

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# プライバシー保護法声明書

1974 年プライバシー保護法 (P.L. 93-579)は、特許出願あるいは特許に関する添付種類の提出に関連して、特定情報があなたに与えられるよう規定しています。したがって、同法規の規定にしたがい、下記のことがらを銘記してください。(1) 本情報の収集を律する法規は 35 U.S.C. 2(b)(2)です。(2) 求められた情報の提供は、本人の任意です。さらには、(3) 米国特許商標庁がこの情報を使用する主目的は、特許出願または特許の提出を処理し、あるいは審査するためです。求められた情報を提供しなかった場合、米国特許商標庁は提出されたものを処理、審査できなくなる場合がありますので、その結果として、処理の打ち切り、あるいは出願の破棄、あるいは特許失効に終わることがあります。

本用紙に記載された情報は、下記の通常使用目的に従います。

1. 本用紙に記載されている情報は、情報公開法 (5 U.S.C. 552) およびプライバシー保護法 (5 U.S.C. 552a) が許容する範囲において極秘扱いとなります。本記録システムの記録は、本記録の開示が情報公開法で要求されているか否かを判断するために、司法省に開示される場合があります。
2. 本記録システムの記録は通常使用目的として、示談交渉手順における反対側弁護士への開示を含め、証拠の提示として法廷、予審判事、あるいは行政裁判所に開示される場合があります。
3. 本記録システム中の記録は通常使用目的として、記録に関する個人が該当する記録に関して、米国会議員に支援を要請する場合、個人の関与を要請する米国会議員に開示される場合があります。
4. 本記録システム中の記録は通常使用目的として、契約を執行するためにその情報を必要とする、本庁の契約業者に開示される場合があります。情報の受取者は 5 U.S.C. 552a(m) に基づき、1974 プライバシー法の規定要件を順守しなければなりません。
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6. 本記録システムの記録は通常使用目的として、国家安全保障 (35 U.S.C. 181) による再審理、および原子力法 (42 U.S.C. 218(c)) にもとづく再審理の目的において、他の連邦政府機関に開示される場合があります。
7. 本記録システムの記録は通常使用目的として、44 U.S.C. 2904 及び 2906 に基づく記録管理慣行及びプログラムの改善を推奨するために、米一般調達局長官(GSA)により、当機関の責任の一部として行われる記録の検査機関中に、GSA、またはその被指名人に開示される場合があります。上記の開示は、本目的のための記録検査を規定する GSA 規定、及び関連 (GSA あるいは商務省) の指令に準拠して行われます。かかる開示は、個人を特定する目的のもとに使用されてはなりません。
8. 本記録システムの記録は通常使用目的として、35 U.S.C. 122(b) に基づく出願公開後あるいは 35 U.S.C. 151 に基づく特許発行後に、一般に開示される場合があります。さらに記録は通常使用目的として、37 CFR 1.14 の制限のなかで、出願がなされても放棄され、またはその処理が終決しており、なおかつそれが公開出願で参照されている、特許出願が一般審査のために公開されている、または特許が発行されている場合は、一般に公開されることがあります。
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(37 CFR 1.76)

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本宣誓は  
This declaration  
is directed to:  添付されている、あるいは  
The attached application, or

米国出願、あるいは \_\_\_\_\_ に出願された PCT 国際願番号 \_\_\_\_\_ として出願されているものに宛てられて  
います。  
United States application or PCT international application number \_\_\_\_\_ filed on \_\_\_\_\_.

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私は本出願書中にあらわれるもとの発明者、あるいはもとの共同発明者です。  
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発明者の正式氏名  
LEGAL NAME OF INVENTOR

発明者:  
Inventor: Yukinori SHIMA

日付(任意):  
Date (Optional): 07/02/2013

署名:  
Signature: Yukinori Shima

備考: 出願データシート(PTO/AIA/14 あるいはその同等用紙) は、発明の自主独立体全体の命名を含め、本用紙に添付すること。なお残余の発明者ごとに PTO/SB/AIA01 用紙を使用する。

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発明の名称  
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As the below named inventor, I hereby declare that:

本宣誓は

This declaration  
is directed to:



添付されている、あるいは  
The attached application, or



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発明者の正式氏名

LEGAL NAME OF INVENTOR

発明者:

Inventor:

Kenichi OKAZAKI

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Date (Optional):

07/02/2013

署名:

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET**  
**(37 CFR 1.76)**

発明の名称  
Title of  
Invention

**DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE**

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As the below named inventor, I hereby declare that:

本宣誓は  
This declaration  
is directed to:

添付されている、あるいは  
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日付(任意):  
Date (Optional): 07/02/2013

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## DESCRIPTION

**DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY  
DEVICE**

5

## TECHNICAL FIELD

[0001]

The present invention relates to a display device using a liquid crystal panel or a display device using an organic EL panel. The present invention further relates to an electronic device including the display device.

10

## BACKGROUND ART

[0002]

In recent years, display devices using liquid crystal panels and display devices using organic EL panels have been under active development. These display devices are broadly classified into display devices in which only a transistor for pixel control (pixel transistor) is formed over a substrate and a scanning circuit (driver circuit) is included in a peripheral IC and display devices in which a scanning circuit is formed over the same substrate as the pixel transistor.

15

20 [0003]

A display device in which a driver circuit is integrated with a pixel transistor is effective in reducing the frame width of the display device or cost of the peripheral IC. However, a transistor used in the driver circuit is required to have better electrical characteristics (e.g., field-effect mobility ( $\mu$ FE) or threshold) than the pixel transistor.

25 [0004]

A silicon-based semiconductor material is widely known as a material for a semiconductor thin film applicable to a transistor. As another material, an oxide semiconductor material has been attracting attention. For example, a transistor in which a semiconductor thin film is formed using an amorphous oxide that contains indium (In), gallium (Ga), and zinc (Zn) and has an electron carrier concentration lower than  $10^{18}$  / $\text{cm}^3$  is disclosed (for example, see Patent Document 1).

30

[0005]



A transistor using an oxide semiconductor for a semiconductor layer has higher field-effect mobility than a transistor using amorphous silicon which is a silicon-based semiconductor material for a semiconductor layer. Hence, the transistor using an oxide semiconductor can operate at high speed and be suitably used for the display device in which a pixel transistor is integrated with a driver circuit. Besides, manufacturing steps of the transistor using an oxide semiconductor are easier than those of a transistor using polycrystalline silicon for a semiconductor layer.

[0006]

However, a problem of the transistor using an oxide semiconductor for a semiconductor layer is that entry of impurities such as hydrogen or moisture into the oxide semiconductor generates carriers and changes electrical characteristics of the transistor.

[0007]

To solve the above problem, a transistor whose reliability is improved by making the concentration of hydrogen atoms in an oxide semiconductor film used as a channel formation region of the transistor less than  $1 \times 10^{16} \text{ cm}^{-3}$  is disclosed (e.g., Patent Document 2).

[References]

[0008]

Patent Document 1: Japanese Published Patent Application No. 2006-165528

Patent Document 2: Japanese Published Patent Application No. 2011-139047

## DISCLOSURE OF INVENTION

[0009]

As also described in Patent Document 2, to sufficiently maintain the electrical characteristics of the transistor using an oxide semiconductor film for a semiconductor layer, it is important to remove hydrogen, moisture, and the like from the oxide semiconductor film as much as possible.

[0010]

Further, when transistors are used for both a pixel region and a driver circuit region in a display device, an electrical load on the transistor used for the driver circuit region is larger than that on the transistor used for the pixel region, although this

depends on the driving method. Thus, electrical characteristics of the transistor used for the driver circuit region is important.

[0011]

In particular, a problem with display devices in which transistors using an oxide semiconductor film for a semiconductor layer are used for the pixel region and the driver circuit region has been deterioration of the transistor used for the driver circuit region, which occurs in a reliability test in a high temperature and high humidity environment. The cause of the deterioration of the transistor is an increase in the carrier density of the oxide semiconductor film used as the semiconductor layer due to entry of moisture or the like into the oxide semiconductor film from an organic insulating film formed over the transistor.

[0012]

In view of the above, an object of one embodiment of the present invention is to suppress changes in the electrical characteristics of a display device including transistors in a pixel region and a driver circuit region and improve the reliability of the display device. An object of one embodiment of the present invention is, in particular, to suppress entry of hydrogen or moisture into the oxide semiconductor film in a display device using an oxide semiconductor film for a channel formation region of a transistor, suppress changes in the electrical characteristics of the display device, and improve its reliability.

[0013]

To achieve any of the above objects, one embodiment of the present invention provides a structure which can suppress changes in the electrical characteristics of transistors used for a pixel region and a driver circuit region in a display device. Specifically, one embodiment of the present invention provides a structure in which, an oxide semiconductor film is used for a channel formation region of a transistor, and a planarization film formed with an organic insulating material over the transistor has a characteristic structure so that hydrogen or moisture hardly enters the oxide semiconductor film, particularly the oxide semiconductor film used for the driver circuit region. The structure is more specifically described below.

[0014]

One embodiment of the present invention is a display device including a pixel

region where a plurality of pixels each including a pixel electrode and at least one first transistor electrically connected to the pixel electrode is arranged, a first substrate provided with a driver circuit region that is located outside and adjacent to the pixel region and includes at least one second transistor which supplies a signal to the first transistor included in each of the pixels in the pixel region, a second substrate provided to face the first substrate, a liquid crystal layer interposed between the first substrate and the second substrate, a first interlayer insulating film including an inorganic insulating material over the first transistor and the second transistor, a second interlayer insulating film including an organic insulating material over the first interlayer insulating film, and a third interlayer insulating film including an inorganic insulating material over the second interlayer insulating film. In the display device, the third interlayer insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver circuit region.

[0015]

In the above structure, the following may be included: a first alignment film over the pixel electrode; the liquid crystal layer over the first alignment film; a second alignment film over the liquid crystal layer; a counter electrode over the second alignment film; an organic protective insulating film over the counter electrode; a colored film and a light-blocking film over the organic protective insulating film; and the second substrate over the colored film and the light-blocking film.

[0016]

Another embodiment of the present invention is a display device including a pixel region where a plurality of pixels each including a pixel electrode and at least one first transistor electrically connected to the pixel electrode is arranged, a first substrate provided with a driver circuit region that is located outside and adjacent to the pixel region and includes at least one second transistor which supplies a signal to the first transistor included in each of the pixels in the pixel region, a second substrate provided to face the first substrate, a light-emitting layer interposed between the first substrate and the second substrate, a first interlayer insulating film including an inorganic insulating material over the first transistor and the second transistor, a second interlayer insulating film including an organic insulating material over the first interlayer insulating film, and a third interlayer insulating film including an inorganic insulating

material over the second interlayer insulating film. In the display device, the third interlayer insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver circuit region.

5 [0017]

In the above structure, the light-emitting layer over the pixel electrode and an electrode over the light-emitting layer may be included.

[0018]

Further, in any of the above structures, the third interlayer insulating film is preferably one selected from a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

[0019]

Further, in any of the above structures, a semiconductor material included in a channel formation region of each of the first transistor and the second transistor is preferably an oxide semiconductor. In addition, the first transistor and the second transistor each preferably include a gate electrode, a semiconductor layer including an oxide semiconductor over the gate electrode, and a source electrode and a drain electrode over the semiconductor layer.

[0020]

One embodiment of the present invention includes, in its category, an electronic device including a display device having any of the above structures.

[0021]

Changes in the electrical characteristics of a display device including transistors in a pixel region and a driver circuit region can be suppressed, and the reliability of the display device can be improved. In particular, entry of hydrogen or moisture into the oxide semiconductor film in a display device using an oxide semiconductor film for a channel formation region of a transistor can be suppressed, changes in the electrical characteristics of the display device can be suppressed, and its reliability can be improved.

30

#### BRIEF DESCRIPTION OF DRAWINGS

[0022]

In the accompanying drawings:

FIGS. 1A to 1C illustrate top views of one mode of a display device;

FIG. 2 illustrates a cross section of one mode of a display device;

FIG. 3 illustrates a top view of one mode of a display device;

5 FIG. 4 illustrates a cross section of one mode of a display device;

FIGS. 5A and 5B illustrate a circuit diagram and a cross-sectional view of an example of a display device with an image sensor according to one embodiment of the present invention;

10 FIGS. 6A to 6C illustrate an example of a tablet terminal according to one embodiment of the present invention;

FIGS. 7A to 7C each illustrate an example of an electronic device according to one embodiment of the present invention;

FIG. 8 shows the ion intensity of released gas versus mass-to-charge ratio;

15 FIG. 9 shows the ion intensity versus substrate surface temperature for each mass-to-charge ratio;

FIG. 10 illustrates a cross-sectional image of an observed sample; and

FIGS. 11A and 11B illustrate electrical characteristics of samples.

## BEST MODE FOR CARRYING OUT THE INVENTION

20 [0023]

Hereinafter, embodiments of the present invention will be described in detail with reference to the accompanying drawings. However, the present invention is not limited to the description below, and it is easily understood by those skilled in the art that modes and details disclosed herein can be modified in various ways without departing from the spirit and the scope of the present invention. Therefore, the present invention is not construed as being limited to description of the embodiments.

[0024]

30 In embodiments hereinafter described, the same components may be denoted by the same reference numerals throughout the drawings. Note that the thickness, the width, a relative position, and the like of components, namely, layers, regions, and the like illustrated in the drawings are exaggerated in some cases for clarification in the description of the embodiment.

[0025]

In this specification and the like, the term such as "electrode" or "wiring" does not limit a function of a component. For example, an "electrode" is sometimes used as part of a "wiring", and vice versa. Furthermore, the term "electrode" or "wiring" can include the case where a plurality of "electrodes" or "wirings" is formed in an integrated manner.

[0026]

Further, in this specification or the like, a silicon nitride oxide film is a film containing nitrogen, oxygen, and silicon as its components and containing more nitrogen than oxygen. Further, a silicon oxynitride film is a film containing oxygen, nitrogen, and silicon as its components and containing more oxygen than nitrogen.

[0027]

Functions of a "source" and a "drain" are sometimes replaced with each other when a transistor of opposite polarity is used or when the direction of current flowing is changed in circuit operation, for example. Therefore, the terms "source" and "drain" can be used to denote the drain and the source, respectively, in this specification and the like.

[0028]

(Embodiment 1)

In this embodiment, a display device using a liquid crystal panel is described as one mode of a display device with reference to FIGS. 1A to 1C and FIG. 2.

[0029]

FIGS. 1A to 1C illustrate top views of the display device as one mode of a display device. Note that FIG. 1A, FIG. 1B, and FIG. 1C illustrate top views of the whole display device, part of a driver circuit portion of the display device, and part of a pixel region, respectively. In addition, FIG. 2 corresponds to a cross-sectional view taken along the line X1-Y1 in FIG. 1A.

[0030]

In the display device illustrated in FIG. 1A, a sealant 166 is provided so as to surround a pixel region 142, and gate driver circuit portions 140 and a source driver circuit portion 144, which are driver circuit regions that are located outside and adjacent to the pixel region 142 and supply signals to the pixel region 142, which are provided

over a first substrate 102; sealing is performed with a second substrate 152. The second substrate 152 is provided so as to face the first substrate 102 where the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are provided. Thus, the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are sealed together with a display element by the first substrate 102, the sealant 166, and the second substrate 152.

[0031]

In FIG. 1A, a flexible printed circuit (FPC) terminal portion 146 which is electrically connected to the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 is provided in a region that is different from the region surrounded by the sealant 166, over the first substrate 102. An FPC 148 is connected to the FPC terminal portion 146. Signals and potentials applied to the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are supplied through the FPC 148.

[0032]

Although an example in which the gate driver circuit portions 140 and the source driver circuit portion 144 are formed over the first substrate 102 where the pixel region 142 is formed is shown in FIG. 1A, this structure does not limit the present invention. For example, only the gate driver circuit portions 140 may be formed over the first substrate 102 so that an additionally provided substrate where a source driver circuit is formed (e.g., a driver circuit substrate formed using a single crystal semiconductor film or a polycrystalline semiconductor film) is mounted on the first substrate 102.

[0033]

Although a structure in which the two gate driver circuit portions 140 are placed on both sides of the pixel region 142 is exemplified in FIG. 1A, this structure does not limit the present invention. For example, a gate driver circuit portion 140 may be placed on only one side of the pixel region 142.

[0034]

There is no particular limitation on a method of connecting the additionally provided driver circuit substrate; a chip on glass (COG) method, a wire bonding method, a tape automated bonding (TAB) method, or the like can be used. In addition, the

display device includes a panel in which a display element is sealed and a module in which an IC and the like including a controller are mounted on the panel.

[0035]

As described above, some or all of the driver circuits which include transistors  
5 can be formed over the first substrate 102 where the pixel region 142 is formed, so that a system-on-panel can be obtained.

[0036]

In FIG. 1C, a first transistor 101 and a capacitor 107 are formed in the pixel  
10 region 142. In the first transistor 101, a gate electrode 104, a source electrode 110, and a drain electrode 112 are electrically connected to a semiconductor layer 108. Although not illustrated in the plan view in FIG. 1C, over the first transistor 101, a first interlayer insulating film formed using an inorganic insulating material, a second interlayer insulating film formed using an organic insulating material over the first interlayer insulating film, and a third interlayer insulating film formed using an  
15 inorganic insulating material over the second interlayer insulating film are formed. The capacitor 107 includes a capacitor electrode 118, the third interlayer insulating film formed over the capacitor electrode 118, and a pixel electrode 122 formed over the third interlayer insulating film.

[0037]

In FIG. 1B, a second transistor 103 and a third transistor 105 are formed in the  
20 gate driver circuit portion 140 which is a driver circuit region. In each of the transistors in the gate driver circuit portion 140, the gate electrode 104, the source electrode 110, and the drain electrode 112 are electrically connected to the semiconductor layer 108. In the gate driver circuit portion 140, a gate line including  
25 the gate electrode 104 extends in the horizontal direction, a source line including the source electrode 110 extends in the vertical direction, and a drain line including the drain electrode 112 extends in the vertical direction with a distance from the source electrode.

[0038]

30 The gate driver circuit portion 140 including the second transistor 103 and the third transistor 105 can supply a signal to the first transistor 101 included in each pixel of the pixel region 142.



[0039]

To control various signals, raise a voltage, and the like, the second transistor 103 and the third transistor 105 in the gate driver circuit portion 140 require a relatively high voltage, specifically a voltage of about 10 V to 30 V. In contrast, the first transistor 101 in the pixel region 142 is used only for switching of a pixel and therefore can be driven at a voltage of about several volts to 20 volts. Thus, a stress applied to the second transistor 103 and the third transistor 105 in the gate driver circuit portion 140 is much larger than a stress applied to the first transistor 101 in the pixel region 142.

[0040]

To specifically describe a structure of the display device illustrated in FIGS. 1A to 1C, structures of the gate driver circuit portion 140 and the pixel region 142 are described below using FIG. 2 corresponding to a cross-sectional view along the line X1-Y1 in FIGS. 1A to 1C.

[0041]

In the pixel region 142, the first transistor 101 is formed with the first substrate 102, the gate electrode 104 formed over the first substrate 102, a gate insulating film 106 formed over the gate electrode 104, the semiconductor layer 108 which is in contact with the gate insulating film 106 and provided to overlap with the gate electrode 104, the source electrode 110 and the drain electrode 112 formed over the gate insulating film 106 and the semiconductor layer 108.

[0042]

In addition, the pixel region 142 includes a first interlayer insulating film 114 formed using an inorganic insulating material over the first transistor 101, specifically over the gate insulating film 106, the semiconductor layer 108, the source electrode 110, and the drain electrode 112, a second interlayer insulating film 116 formed using an organic insulating material over the first interlayer insulating film 114, the capacitor electrode 118 formed over the second interlayer insulating film 116, a third interlayer insulating film 120 formed using an inorganic insulating material over the second interlayer insulating film 116 and the capacitor electrode 118, and the pixel electrode 122 formed over the third interlayer insulating film 120.

[0043]

Note that the capacitor 107 is formed with the capacitor electrode 118, the third

interlayer insulating film 120, and the pixel electrode 122. The capacitor electrode 118, the third interlayer insulating film 120, and the pixel electrode 122 are preferably formed using a material having the property of transmitting visible light, in which case large capacitance can be ensured without reducing the aperture ratio of the pixel region.

5 [0044]

The pixel region 142 includes, over the pixel electrode 122, a first alignment film 124, a liquid crystal layer 162 provided over the first alignment film 124, a second alignment film 164 provided over the liquid crystal layer 162, a counter electrode 158 provided over the second alignment film 164, an organic protective insulating film 156 provided over the counter electrode 158, a colored film 153 and a light-blocking film 154 which are provided over the organic protective insulating film 156, and the second substrate 152 provided over the colored film 153 and the light-blocking film 154.

[0045]

Note that a liquid crystal element 150 which is a display element is formed with the pixel electrode 122, the first alignment film 124, the liquid crystal layer 162, the second alignment film 164, and the counter electrode 158.

[0046]

In the gate driver circuit portion 140, the second transistor 103 and the third transistor 105 are formed with the first substrate 102, the gate electrode 104 formed over the first substrate 102, the gate insulating film 106 formed over the gate electrode 104, the semiconductor layer 108 which is in contact with the gate insulating film 106 and provided to overlap with the gate electrode 104, the source electrode 110 and the drain electrode 112 formed over the gate insulating film 106 and the semiconductor layer 108.

25 [0047]

In addition, the gate driver circuit portion 140 includes the first interlayer insulating film 114 formed over the second transistor 103 and the third transistor 105, specifically over the gate insulating film 106, the semiconductor layer 108, the source electrode 110, and the drain electrode 112, and the second interlayer insulating film 116 formed over the first interlayer insulating film 114.

[0048]

Thus, the third interlayer insulating film 120 is provided in part of an upper

region of the pixel region 142, and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver circuit region.

[0049]

5           The above-described structure allows moisture taken in from the outside or a gas of moisture, hydrogen, or the like generated in the display device to be released to a portion above the second interlayer insulating film 116 of the gate driver circuit portion 140. Accordingly, it is possible to suppress incorporation of a gas of moisture, hydrogen, or the like into the first transistor 101, the second transistor 103, and the third  
10 transistor 105.

[0050]

          For the second interlayer insulating film 116 formed using an organic insulating material, an organic insulating material with which the planarity is improved is needed so that unevenness of the transistors included in the display device or the like  
15 is reduced. This is because the reduction in the unevenness of the transistors or the like leads to an improvement of the display quality of the display device. However, when heating or the like is performed, the organic insulating material releases hydrogen, moisture, or an organic component as a gas.

[0051]

20           The above-mentioned gas of hydrogen, moisture, or an organic component is unlikely to be a great problem for a transistor using a silicon film, which is a silicon-based semiconductor material, in the semiconductor layer 108, for example. However, in one embodiment of the present invention, the semiconductor layer 108 is formed using an oxide semiconductor film, and hence the gas from the second interlayer  
25 insulating film 116 formed using an organic insulating material needs to be suitably released. Note that, when the semiconductor layer 108 is formed using an oxide semiconductor film, the structure in which an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver circuit region has an excellent effect. Further, a similar effect can  
30 also be obtained in a transistor with the semiconductor layer 108 formed using a material (e.g., amorphous silicon or crystalline silicon which is a silicon-based semiconductor material) other than an oxide semiconductor.

[0052]

In this embodiment, the third interlayer insulating film 120 formed using an inorganic insulating material over the second interlayer insulating film 116 formed using an organic insulating material is used as a dielectric of the capacitor 107. Further, 5 the third interlayer insulating film 120 formed using an inorganic insulating material can suppress entry of hydrogen, moisture, or the like into the second interlayer insulating film 116 from the outside.

[0053]

However, if the third interlayer insulating film 120 is formed over the second 10 interlayer insulating film 116 over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, the gas released from the organic insulating material in the second interlayer insulating film 116 cannot be dispersed into the outside and enters the second transistor 103 and the third transistor 105.

[0054]

When the above-described gas released from the organic insulating material 15 enters the oxide semiconductor used in the semiconductor layer 108 of the transistors, the gas is taken in as an impurity into the oxide semiconductor film. This changes characteristics of the transistors using the semiconductor layer 108.

[0055]

In contrast, in the structure as illustrated in FIG. 2 where the third interlayer 20 insulating film 120 is holed over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, that is, the third interlayer insulating film 120 is provided in part of the pixel region 142 and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit 25 portion 140, the gas released from the second interlayer insulating film 116 can be dispersed into the outside.

[0056]

Also in the first transistor 101 used for the pixel region 142, as illustrated in FIG. 2, it is preferable to remove a portion of the third interlayer insulating film 120 30 formed using an inorganic insulating material, which overlaps with the semiconductor layer 108. Such a structure can suppress entry of the gas released from the second interlayer insulating film 116 formed using an organic insulating material into the first

transistor 101.

[0057]

Here, other components of the display device illustrated in FIGS. 1A to 1C and FIG. 2 are detailed below.

5 [0058]

For the first substrate 102 and the second substrate 152, a glass material such as aluminosilicate glass, aluminoborosilicate glass, or barium borosilicate glass is used. In the mass production, for the first substrate 102 and the second substrate 152, a mother glass with any of the following sizes is preferably used: the 8-th generation  
10 (2160 mm × 2460 mm), the 9-th generation (2400 mm × 2800 mm, or 2450 mm × 3050 mm), the 10-th generation (2950 mm × 3400 mm), and the like. High process temperature and a long period of process time drastically shrink the mother glass. Hence, in the case where mass production is performed with the use of the mother glass, it is preferable that the heat process in the manufacturing process be preferably  
15 performed at a temperature lower than or equal to 600 °C, further preferably lower than or equal to 450 °C, still further preferably lower than or equal to 350 °C.

[0059]

Note that a base insulating film may be provided between the first substrate 102 and the gate electrode 104. As the base insulating film, a silicon oxide film, a  
20 silicon oxynitride film, a silicon nitride film, a silicon nitride oxide film, a gallium oxide film, a hafnium oxide film, an yttrium oxide film, an aluminum oxide film, an aluminum oxynitride film, and the like can be given as examples. Note that when a silicon nitride film, a gallium oxide film, a hafnium oxide film, an yttrium oxide film, an aluminum oxide film, or the like is used as the base insulating film, it is possible to  
25 suppress entry of impurities such as an alkali metal, water, and hydrogen from the first substrate 102 into the oxide semiconductor layer 108.

[0060]

For the gate electrode 104, a metal element selected from aluminum, chromium, copper, tantalum, titanium, molybdenum, and tungsten, an alloy containing any of these  
30 metal elements as a component, an alloy containing these metal elements in combination, or the like can be used. One or both of the metal elements of manganese

and zirconium may be used. Further, the gate electrode 104 may have a single-layer structure or a stacked-layer structure of two or more layers. A single-layer structure of an aluminum film containing silicon, a two-layer structure in which a titanium film is stacked over an aluminum film, a two-layer structure in which a titanium film is stacked  
5 over a titanium nitride film, a two-layer structure in which a tungsten film is stacked over a titanium nitride film, a two-layer structure in which a tungsten film is stacked over a tantalum nitride film or a tungsten nitride film, a three-layer structure in which a titanium film, an aluminum film, and a titanium film are stacked in this order, and the like can be given as examples. Alternatively, a film, an alloy film, or a nitride film  
10 which contains aluminum and one or more elements selected from titanium, tantalum, tungsten, molybdenum, chromium, neodymium, and scandium may be used.

[0061]

The gate electrode 104 can also be formed using a light-transmitting conductive material such as indium tin oxide, indium oxide containing tungsten oxide,  
15 indium zinc oxide containing tungsten oxide, indium oxide containing titanium oxide, indium tin oxide containing titanium oxide, indium zinc oxide, or indium tin oxide to which silicon oxide is added. It is also possible to use a stacked-layer structure formed using the above light-transmitting conductive material and the above metal element.

[0062]

Further, between the gate electrode 104 and the gate insulating film 106, an  
20 In-Ga-Zn-based oxynitride semiconductor film, an In-Sn-based oxynitride semiconductor film, an In-Ga-based oxynitride semiconductor film, an In-Zn-based oxynitride semiconductor film, a Sn-based oxynitride semiconductor film, an In-based oxynitride semiconductor film, a film of a metal nitride (such as InN or ZnN), or the  
25 like may be provided. These films each have a work function higher than or equal to 5 eV, preferably higher than or equal to 5.5 eV, which is higher than the electron affinity of the oxide semiconductor. Hence, the threshold voltage of the transistor using the oxide semiconductor can be shifted in the positive direction, and a so-called normally-off switching element can be achieved. For example, as an In-Ga-Zn-based  
30 oxynitride semiconductor film, an In-Ga-Zn-based oxynitride semiconductor film having a higher nitrogen concentration than at least the semiconductor layer 108, specifically an In-Ga-Zn-based oxynitride semiconductor film having a nitrogen

concentration higher than or equal to 7 at.%, is used.

[0063]

As the gate insulating film 106, a single layer or a stacked layer of, for example, a silicon oxide film, a silicon oxynitride film, a silicon nitride oxide film, a silicon nitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, a Ga-Zn-based metal oxide film, or the like can be provided. To improve the properties of the interface with the semiconductor layer 108, at least a region of the gate insulating film 106, which is in contact with the semiconductor layer 108, is preferably formed with an oxide insulating film.

10 [0064]

Further, by providing an insulating film having a blocking effect against oxygen, hydrogen, water, and the like over the gate insulating film 106, it is possible to prevent outward diffusion of oxygen from the semiconductor layer 108 and entry of hydrogen, water, or the like into the semiconductor layer 108 from the outside. For the insulating film having a blocking effect against oxygen, hydrogen, water, and the like, an aluminum oxide film, an aluminum oxynitride film, a gallium oxide film, a gallium oxynitride film, an yttrium oxide film, an yttrium oxynitride film, a hafnium oxide film, and a hafnium oxynitride film can be given as examples.

15 [0065]

The gate insulating film 106 can be formed as a gate insulating film which has few defects and releases less hydrogen and less ammonia, when formed to have a stacked structure in which a silicon nitride film having few defects is used as a first silicon nitride film, a silicon nitride film which releases less hydrogen and less ammonia is provided as a second silicon nitride film over the first silicon nitride film, and an oxide insulating film is provided over the second silicon nitride film. Thus, transfer of hydrogen and nitrogen, which are contained in the gate insulating film 106, to the semiconductor layer 108 can be suppressed.

20 [0066]

The use of a silicon nitride film as the gate insulating film 106 has the following effect. As compared with a silicon oxide film, a silicon nitride film has a high dielectric constant and needs a large thickness to obtain an equivalent capacitance. Thus, the physical thickness of the gate insulating film can be increased. Accordingly,

25

30

a reduction in the withstand voltages of the first transistor 101, the second transistor 103, and the third transistor 105 is suppressed and the withstand voltages are improved, so that an electrostatic breakdown of the transistors used for the display device can be suppressed.

5 [0067]

Further, in the case where copper is used for the gate electrode 104 and a silicon nitride film is used as the gate insulating film 106 in contact with the gate electrode 104, the number of the ammonia molecules released from the silicon nitride film by heating is preferably reduced as much as possible so that reaction between  
10 copper and the ammonia molecules can be suppressed.

[0068]

In the transistor using an oxide semiconductor film for the semiconductor layer 108, the trap level (also referred to as interface level) at the interface between the oxide semiconductor film and the gate insulating film or in the gate insulating film shifts the  
15 threshold voltage of the transistor typically in the negative direction, and increases the subthreshold swing (S value), which refers to a gate voltage needed for changing the drain current by an order of magnitude when the transistor is turned on. This results in the problem of variation in the electrical characteristics among transistors. Therefore, with the use of a silicon nitride film having few defects as the gate insulating film, the  
20 shift of the threshold voltage in the negative direction and the variation in the electrical characteristics among transistors can be reduced.

[0069]

The gate insulating film 106 may be formed using a high-k material such as hafnium silicate ( $\text{HfSiO}_x$ ), hafnium silicate to which nitrogen is added ( $\text{HfSi}_x\text{O}_y\text{N}_z$ ),  
25 hafnium aluminate to which nitrogen is added ( $\text{HfAl}_x\text{O}_y\text{N}_z$ ), hafnium oxide, or yttrium oxide, so that gate leakage of the transistor can be reduced.

[0070]

The thickness of the gate insulating film 106 is preferably greater than or equal to 5 nm and less than or equal to 400 nm, more preferably greater than or equal to 10  
30 nm and less than or equal to 300 nm, still more preferably greater than or equal to 50 nm and less than or equal to 250 nm.

[0071]



An oxide semiconductor is used for the semiconductor layer 108, which preferably contains at least indium (In) or zinc (Zn) or both In and Zn. In order to reduce variation in the electrical characteristics among the transistors using the oxide semiconductor, the oxide semiconductor preferably contains one or more of stabilizers  
5 in addition to In or Zn.

[0072]

Examples of the stabilizer are gallium (Ga), tin (Sn), hafnium (Hf), aluminum (Al), zirconium (Zr), and the like. Another examples of the stabilizer are lanthanoids such as lanthanum (La), cerium (Ce), praseodymium (Pr), neodymium (Nd), samarium  
10 (Sm), europium (Eu), gadolinium (Gd), terbium (Tb), dysprosium (Dy), holmium (Ho), erbium (Er), thulium (Tm), ytterbium (Yb), and lutetium (Lu).

[0073]

As the oxide semiconductor, for example, any of the following can be used: indium oxide, tin oxide, zinc oxide, an In-Zn-based metal oxide, a Sn-Zn-based metal  
15 oxide, an Al-Zn-based metal oxide, a Zn-Mg-based metal oxide, a Sn-Mg-based metal oxide, an In-Mg-based metal oxide, an In-Ga-based metal oxide, an In-W-based metal oxide, an In-Ga-Zn-based metal oxide (also referred to as IGZO), an In-Al-Zn-based metal oxide, an In-Sn-Zn-based metal oxide, a Sn-Ga-Zn-based metal oxide, an Al-Ga-Zn-based metal oxide, a Sn-Al-Zn-based metal oxide, an In-Hf-Zn-based metal  
20 oxide, an In-La-Zn-based metal oxide, an In-Ce-Zn-based metal oxide, an In-Pr-Zn-based metal oxide, an In-Nd-Zn-based metal oxide, an In-Sm-Zn-based metal oxide, an In-Eu-Zn-based metal oxide, an In-Gd-Zn-based metal oxide, an In-Tb-Zn-based metal oxide, an In-Dy-Zn-based metal oxide, an In-Ho-Zn-based metal oxide, an In-Er-Zn-based metal oxide, an In-Tm-Zn-based metal oxide, an  
25 In-Yb-Zn-based metal oxide, an In-Lu-Zn-based metal oxide, an In-Sn-Ga-Zn-based metal oxide, an In-Hf-Ga-Zn-based metal oxide, an In-Al-Ga-Zn-based metal oxide, an In-Sn-Al-Zn-based metal oxide, an In-Sn-Hf-Zn-based metal oxide, and an In-Hf-Al-Zn-based metal oxide.

[0074]

30 Note that, for example, an In-Ga-Zn-based metal oxide means an oxide containing In, Ga, and Zn as its main components and there is no particular limitation on the ratio of In to Ga and Zn. The In-Ga-Zn-based metal oxide may contain a metal

element other than In, Ga, and Zn.

[0075]

Alternatively, a material represented by  $\text{InMO}_3(\text{ZnO})_m$  ( $m$  is larger than 0 and not an integer) may be used as the oxide semiconductor. Note that  $M$  represents one or more metal elements selected from Ga, Fe, Mn, and Co. Alternatively, as the oxide semiconductor, a material represented by  $\text{In}_2\text{SnO}_5(\text{ZnO})_n$  ( $n$  is an integer greater than 0) may be used.

[0076]

For example, it is possible to use an In-Ga-Zn-based metal oxide containing In, Ga, and Zn at an atomic ratio of 1:1:1 (= 1/3:1/3:1/3), 2:2:1 (= 2/5:2/5:1/5), or 3:1:2 (= 1/2:1/6:1/3), or any of oxides whose composition is in the neighborhood of the above compositions. Alternatively, an In-Sn-Zn-based metal oxide containing In, Sn, and Zn at an atomic ratio of 1:1:1 (= 1/3:1/3:1/3), 2:1:3 (= 1/3:1/6:1/2), or 2:1:5 (= 1/4:1/8:5/8) may be used. Note that the proportion of each atom in the atomic ratio of the oxide semiconductor film may vary within a range of  $\pm 20\%$  as an error.

[0077]

However, the composition is not limited to those described above, and a material having the appropriate composition may be used depending on required semiconductor characteristics and electrical characteristics (e.g., field-effect mobility, threshold voltage, and variation). In order to obtain required semiconductor characteristics, it is preferable that the carrier density, the impurity concentration, the defect density, the atomic ratio of a metal element to oxygen, the interatomic distance, the density, and the like be set appropriate.

[0078]

For example, high mobility can be obtained relatively easily in the case where an In-Sn-Zn-based metal oxide is used. Also in the case where an In-Ga-Zn-based metal oxide is used, the field-effect mobility can be increased by reducing the defect density in a bulk.

[0079]

Further, the energy gap of a metal oxide that can be used for the semiconductor layer 108 is greater than or equal to 2 eV, preferably greater than or equal to 2.5 eV,

more preferably greater than or equal to 3 eV. With the oxide semiconductor film having such a wide energy gap, the off-state current of the transistor can be reduced.

[0080]

5 Next, a structure of the oxide semiconductor film that can be used as the semiconductor layer 108 is described below.

[0081]

10 An oxide semiconductor film is roughly classified into a non-single-crystal oxide semiconductor film and a single-crystal oxide semiconductor film. The non-single-crystal oxide semiconductor film includes any of a c-axis aligned crystalline oxide semiconductor (CAAC-OS) film, a polycrystalline oxide semiconductor film, a microcrystalline oxide semiconductor film, an amorphous oxide semiconductor film, and the like.

[0082]

Here, the CAAC-OS film is described.

15 [0083]

The CAAC-OS film is one of oxide semiconductor films including a plurality of crystal parts, and most of each crystal part fits inside a cube whose one side is less than 100 nm. Thus, there is a case where a crystal part included in the CAAC-OS film fits a cube whose one side is less than 10 nm, less than 5 nm, or less than 3 nm.

20 [0084]

In a transmission electron microscope (TEM) image of the CAAC-OS film, a boundary between crystal parts, that is, a grain boundary is not clearly confirmed. Thus, in the CAAC-OS film, a reduction in electron mobility due to the grain boundary is less likely to occur.

25 [0085]

30 According to the TEM image of the CAAC-OS film observed in a direction substantially parallel to a sample surface (cross-sectional TEM image), metal atoms are arranged in a layered manner in the crystal parts. Each metal atom layer has a morphology reflected by a surface over which the CAAC-OS film is formed (hereinafter, a surface over which the CAAC-OS film is formed is referred to as a formation surface) or a top surface of the CAAC-OS film, and is arranged in parallel to the formation surface or the top surface of the CAAC-OS film.

[0086]

On the other hand, according to the TEM image of the CAAC-OS film observed in a direction substantially perpendicular to the sample surface (plan TEM image), metal atoms are arranged in a triangular or hexagonal configuration in the crystal parts. However, there is no regularity of arrangement of metal atoms between  
5 different crystal parts.

[0087]

In this specification, a term "parallel" indicates that the angle formed between two straight lines is greater than or equal to  $-10^\circ$  and less than or equal to  $10^\circ$ , and accordingly also includes the case where the angle is greater than or equal to  $-5^\circ$  and  
10 less than or equal to  $5^\circ$ . In addition, a term "perpendicular" indicates that the angle formed between two straight lines is greater than or equal to  $80^\circ$  and less than or equal to  $100^\circ$ , and accordingly includes the case where the angle is greater than or equal to  $85^\circ$  and less than or equal to  $95^\circ$ .

15 [0088]

From the results of the cross-sectional TEM image and the plan TEM image, alignment is found in the crystal parts in the CAAC-OS film.

[0089]

A CAAC-OS film is subjected to structural analysis with an X-ray diffraction (XRD) apparatus. For example, when the CAAC-OS film including an  $\text{InGaZnO}_4$  crystal is analyzed by an out-of-plane method, a peak appears frequently when the diffraction angle ( $2\theta$ ) is around  $31^\circ$ . This peak is derived from the (009) plane of the  $\text{InGaZnO}_4$  crystal, which indicates that crystals in the CAAC-OS film have c-axis alignment, and that the c-axes are aligned in a direction substantially perpendicular to  
20 the formation surface or the top surface of the CAAC-OS film.

[0090]

On the other hand, when the CAAC-OS film is analyzed by an in-plane method in which an X-ray enters a sample in a direction perpendicular to the c-axis, a peak appears frequently when  $2\theta$  is around  $56^\circ$ . This peak is derived from the (110) plane  
30 of the  $\text{InGaZnO}_4$  crystal. Here, analysis ( $\phi$  scan) is performed under conditions where the sample is rotated around a normal vector of a sample surface as an axis ( $\phi$  axis) with

$2\theta$  fixed at around  $56^\circ$ . In the case where the sample is a single-crystal oxide semiconductor film of  $\text{InGaZnO}_4$ , six peaks appear. The six peaks are derived from crystal planes equivalent to the (110) plane. On the other hand, in the case of a CAAC-OS film, a peak is not clearly observed even when  $\phi$  scan is performed with  $2\theta$  fixed at around  $56^\circ$ .

[0091]

According to the above results, in the CAAC-OS film having c-axis alignment, while the directions of a-axes and b-axes are different between crystal parts, the c-axes are aligned in a direction parallel to a normal vector of a formation surface or a normal vector of a top surface. Thus, each metal atom layer arranged in a layered manner observed in the cross-sectional TEM image corresponds to a plane parallel to the a-b plane of the crystal.

[0092]

Note that the crystal part is formed concurrently with deposition of the CAAC-OS film or is formed through crystallization treatment such as heat treatment. As described above, the c-axis of the crystal is aligned in a direction parallel to a normal vector of a formation surface or a normal vector of a top surface of the CAAC-OS film. Thus, for example, in the case where a shape of the CAAC-OS film is changed by etching or the like, the c-axis might not be necessarily parallel to a normal vector of a formation surface or a normal vector of a top surface of the CAAC-OS film.

[0093]

Further, the degree of crystallinity in the CAAC-OS film is not necessarily uniform. For example, in the case where crystal growth leading to the CAAC-OS film occurs from the vicinity of the top surface of the film, the degree of the crystallinity in the vicinity of the top surface is higher than that in the vicinity of the formation surface in some cases. Further, when an impurity is added to the CAAC-OS film, the crystallinity in a region to which the impurity is added is changed, and the degree of crystallinity in the CAAC-OS film varies depends on regions.

[0094]

Note that when the CAAC-OS film with an  $\text{InGaZnO}_4$  crystal is analyzed by an out-of-plane method, a peak of  $2\theta$  may also be observed at around  $36^\circ$ , in addition to

the peak of  $2\theta$  at around  $31^\circ$ . The peak of  $2\theta$  at around  $36^\circ$  is derived from the (311) plane of a  $\text{ZnGa}_2\text{O}_4$  crystal; such a peak indicates that a  $\text{ZnGa}_2\text{O}_4$  crystal is included in part of the CAAC-OS film including the  $\text{InGaZnO}_4$  crystal. It is preferable that in the CAAC-OS film, a peak of  $2\theta$  appear at around  $31^\circ$  and a peak of  $2\theta$  do not appear at

5

[0095]

The CAAC-OS film is an oxide semiconductor film having a low impurity concentration. The impurity is any of elements which are not the main components of the oxide semiconductor film and includes hydrogen, carbon, silicon, a transition metal element, and the like. In particular, an element (e.g., silicon) which has higher bonding strength with oxygen than a metal element included in the oxide semiconductor film causes disorder of atomic arrangement in the oxide semiconductor film because the element deprives the oxide semiconductor film of oxygen, thereby reducing crystallinity. Further, a heavy metal such as iron or nickel, argon, carbon dioxide, and the like have a

10

15

20

[0096]

The CAAC-OS film is an oxide semiconductor film having a low density of defect states. For example, oxygen vacancies in the oxide semiconductor film serve as carrier traps or serve as carrier generation sources when hydrogen is captured therein.

[0097]

25

The state in which impurity concentration is low and density of defect states is low (few oxygen vacancies) is referred to as "highly purified intrinsic" or "substantially highly purified intrinsic". A highly purified intrinsic or substantially highly purified intrinsic oxide semiconductor film has few carrier generation sources, and thus has a low carrier density. Thus, a transistor using the oxide semiconductor film rarely has a

30

carrier traps. Accordingly, the transistor using the oxide semiconductor film little changes in electrical characteristics and high reliability. Note that charges trapped by the carrier traps in the oxide semiconductor film takes a long time to be released and may behave like fixed charges. Thus, the transistor using the oxide semiconductor  
5 film with a high impurity concentration and a high density of defect states has unstable electrical characteristics in some cases.

[0098]

In a transistor using the CAAC-OS film, change in electrical characteristics due to irradiation with visible light or ultraviolet light is small.

10 [0099]

For example, the CAAC-OS film is formed with a polycrystalline oxide semiconductor sputtering target by a sputtering method. When ions collide with the sputtering target, a crystal region included in the sputtering target may be separated from the target along an a-b plane, and a sputtered particle having a plane parallel to the  
15 a-b plane (a flat-plate-like sputtered particle or a pellet-like sputtered particle) may be separated from the target. In that case, the flat-plate-like sputtered particle reaches a substrate while keeping its crystal state, so that the CAAC-OS film can be formed over the substrate.

[0100]

20 For the formation of the CAAC-OS film, the following conditions are preferably used.

[0101]

By reducing the amount of impurities entering the CAAC-OS film during the deposition, the crystal state can be prevented from being broken by the impurities. For  
25 example, the concentration of impurities (e.g., hydrogen, water, carbon dioxide, or nitrogen) which exist in the deposition chamber may be reduced. Furthermore, the concentration of impurities in a deposition gas may be reduced. Specifically, a deposition gas whose dew point is  $-80\text{ }^{\circ}\text{C}$  or lower, preferably  $-100\text{ }^{\circ}\text{C}$  or lower is used.

30 [0102]

By increasing the substrate heating temperature during the deposition, migration of a sputtered particle occurs after the sputtered particle reaches the substrate.

Specifically, the substrate heating temperature during the deposition is 100 °C to 740 °C, preferably 150 °C to 500 °C. By increasing the substrate heating temperature during the deposition, when the flat-plate-like sputtered particle reaches the substrate, migration occurs on the substrate, so that a flat plane of the sputtered particle is attached to the substrate.

[0103]

Furthermore, it is preferable to reduce plasma damage during the deposition by increasing the proportion of oxygen in the deposition gas and optimizing power. The proportion of oxygen in the deposition gas is 30 vol% or higher, preferably 100 vol%.

[0104]

Alternatively, the oxide semiconductor film used as the semiconductor layer may have a stacked-layer structure of a plurality of oxide semiconductor films. For example, the oxide semiconductor film may have a stacked-layer structure of a first oxide semiconductor film and a second oxide semiconductor film which are formed using metal oxides with different compositions. For example, the first oxide semiconductor film may be formed using any of a two-component metal oxide, a three-component metal oxide, and a four-component metal oxide, while the second oxide semiconductor film is formed using any of these which is different from the oxide for the first oxide semiconductor film.

[0105]

Further, the constituent elements of the first oxide semiconductor film and the second oxide semiconductor film may be made the same while the composition of the constituent elements of the first oxide semiconductor film and the second oxide semiconductor film is made different. For example, the first oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 1:1:1, while the second oxide semiconductor film contains In, Ga, and Zn at an atomic ratio of 3:1:2. Alternatively, the first oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 1:3:2, while the second oxide semiconductor film contains In, Ga, and Zn at an atomic ratio of 2:1:3. Note that the proportion of each atom in the atomic ratio of the oxide semiconductor film varies within a range of  $\pm 20\%$  as an error.

[0106]



At this time, one of the first oxide semiconductor film and the second oxide semiconductor film, which is closer to the gate electrode (on the channel side), preferably contains In and Ga such that  $\text{In} > \text{Ga}$ . The other oxide semiconductor film, which is farther from the gate electrode (on the back channel side), preferably contains In and Ga such that  $\text{In} \leq \text{Ga}$ .

[0107]

Further, the oxide semiconductor film may have a three-layer structure of a first oxide semiconductor film, a second oxide semiconductor film, and a third oxide semiconductor film, in which the constituent elements thereof may be made the same, while the composition of the constituent elements of the first oxide semiconductor film, the second oxide semiconductor film, and the third oxide semiconductor film is made different. For example, the first oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 1:3:2, the second oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 3:1:2, and the third oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 1:1:1.

[0108]

In an oxide semiconductor film which contains less In than Ga and Zn at an atomic ratio, typically, the first oxide semiconductor film containing In, Ga, and Zn at an atomic ratio of 1:3:2, generation of oxygen vacancies can be more inhibited than in an oxide semiconductor film containing more In than Ga and Zn at an atomic ratio, typically, the second oxide semiconductor film, and an oxide semiconductor film containing Ga, Zn, and In at the same atomic ratio, typically, the third oxide semiconductor film, and accordingly, an increase in carrier density can be suppressed. Further, when the first oxide semiconductor film containing In, Ga, and Zn at an atomic ratio of 1:3:2 has an amorphous structure, the second oxide semiconductor film is likely to be a CAAC-OS film.

[0109]

Since the constituent elements of the first oxide semiconductor film, the second oxide semiconductor film, and the third oxide semiconductor film are the same, the first oxide semiconductor film has fewer trap levels at the interface with the second oxide semiconductor film. Therefore, when the oxide semiconductor film has the above

structure, the amount of change in the threshold voltage of the transistor due to a change over time or photodegradation can be reduced.

[0110]

In an oxide semiconductor, the *s* orbital of heavy metal mainly contributes to carrier transfer, and when the In content in the oxide semiconductor is increased, overlap of the *s* orbitals is likely to be increased. Therefore, an oxide containing In and Ga such that  $\text{In} > \text{Ga}$  has higher carrier mobility than an oxide containing In and Ga such that  $\text{In} \leq \text{Ga}$ . Further, in Ga, the formation energy of an oxygen vacancy is larger and thus an oxygen vacancy is less likely to occur, than in In; therefore, the oxide containing In and Ga such that  $\text{In} \leq \text{Ga}$  has more stable characteristics than the oxide containing In and Ga such that  $\text{In} > \text{Ga}$ .

[0111]

By the use of an oxide semiconductor containing In and Ga such that  $\text{In} > \text{Ga}$  for the oxide semiconductor film on the channel side and an oxide semiconductor containing In and Ga such that  $\text{In} \leq \text{Ga}$  for the oxide semiconductor film on the back channel side, the field-effect mobility and reliability of the transistor can be further improved.

[0112]

Further, the first oxide semiconductor film, the second oxide semiconductor film, and the third oxide semiconductor film may be formed using oxide semiconductors having different crystallinity. In other words, the oxide semiconductor films may be formed using appropriate combination of a single crystal oxide semiconductor, a polycrystalline oxide semiconductor, a microcrystalline oxide semiconductor, an amorphous oxide semiconductor, and a CAAC-OS. When an amorphous oxide semiconductor is applied to the first oxide semiconductor film or the second oxide semiconductor film, internal stress of the oxide semiconductor film or external stress is reduced, change in characteristics of the transistor is reduced, and reliability of the transistor can be further improved.

[0113]

The thickness of the oxide semiconductor film is preferably greater than or equal to 1 nm and less than or equal to 100 nm, more preferably greater than or equal to

1 nm and less than or equal to 30 nm, still more preferably greater than or equal to 1 nm and less than or equal to 50 nm, further preferably greater than or equal to 3 nm and less than or equal to 20 nm.

[0114]

5           The concentration of an alkali metal or an alkaline earth metal in the oxide semiconductor film used for the semiconductor layer 108, which is obtained by secondary ion mass spectrometry (SIMS), is preferably less than or equal to  $1 \times 10^{18}$  atoms/cm<sup>3</sup>, more preferably less than or equal to  $2 \times 10^{16}$  atoms/cm<sup>3</sup>. This is because, when alkali metals or alkaline earth metals are bonded to an oxide semiconductor, some  
10 of the alkali metals or the alkaline earth metals generate carriers to increase the off-state current of the transistor.

[0115]

          Further, the hydrogen concentration in the oxide semiconductor film used for the semiconductor layer 108, which is obtained by secondary ion mass spectrometry, is  
15 lower than  $5 \times 10^{18}$  atoms/cm<sup>3</sup>, preferably less than or equal to  $1 \times 10^{18}$  atoms/cm<sup>3</sup>, more preferably less than or equal to  $5 \times 10^{17}$  atoms/cm<sup>3</sup>, still more preferably less than or equal to  $1 \times 10^{16}$  atoms/cm<sup>3</sup>.

[0116]

          Hydrogen contained in the oxide semiconductor film reacts with oxygen  
20 bonded to a metal atom to produce water, and a defect is formed in a lattice from which oxygen is released (or a portion from which oxygen is removed). In addition, when part of hydrogen is bonded to oxygen, electrons serving as carriers are generated. Thus, by reducing impurities including hydrogen as much as possible in the step of forming the oxide semiconductor film, the hydrogen concentration in the oxide  
25 semiconductor film can be reduced. Hence, by using an oxide semiconductor film in which hydrogen is removed as much as possible in the channel region, a shift of the threshold voltage in the negative direction can be suppressed and variation in electrical characteristics can be reduced. Further, leakage current between a source and a drain of the transistor, typically off-state current, can be reduced.

30 [0117]

          Furthermore, the nitrogen concentration in the oxide semiconductor film used

for the semiconductor layer 108 is set to be less than or equal to  $5 \times 10^{18}$  atoms/cm<sup>3</sup>, which can suppress a shift of the threshold voltage in the negative direction and reduce variation in electrical characteristics.

[0118]

5           Note that various experiments can prove the low off-state current of a transistor using an oxide semiconductor film which is highly purified by removing hydrogen as much as possible for a channel region. For example, even a transistor with a channel width of  $1 \times 10^6$   $\mu\text{m}$  and a channel length of 10  $\mu\text{m}$  can have an off-state current less than or equal to the measurement limit of a semiconductor parameter analyzer, that is,  
10 less than or equal to  $1 \times 10^{-13}$  A when the voltage (drain voltage) between a source electrode and a drain electrode ranges between 1 V and 10 V. In this case, it can be seen that the off-state current corresponding to a value obtained by dividing the off-state current by the channel width of the transistor is 100 zA/mm or less. In addition, a capacitor and a transistor were connected to each other and the off-state current was  
15 measured with a circuit in which charge flowing into or from the capacitor was controlled by the transistor. In the measurements, a highly purified oxide semiconductor film was used for a channel region of the transistor, and the off-state current of the transistor was measured from a change in the amount of charge of the capacitor per unit time. As a result, it was found that in the case where the voltage  
20 between the source electrode and the drain electrode of the transistor was 3 V, a lower off-state current of several tens of yoctoamperes per micrometer (yA/ $\mu\text{m}$ ) was able to be obtained. Thus, the transistor whose channel region is formed using a highly purified oxide semiconductor film has a very low off-state current.

[0119]

25           The source electrode 110 and the drain electrode 112 are formed to have a single-layer structure or a stacked-layer structure including, as a conductive material, any of metals such as aluminum, titanium, chromium, nickel, copper, yttrium, zirconium, molybdenum, silver, tantalum, and tungsten or an alloy containing any of these metals as its main component. The following structures can be given as examples: a  
30 single-layer structure of an aluminum film containing silicon; a two-layer structure in which a titanium film is stacked over an aluminum film; a two-layer structure in which

a titanium film is stacked over a tungsten film; a two-layer structure in which a copper film is formed over a copper-magnesium-aluminum alloy film; a three-layer structure in which a titanium film or a titanium nitride film, an aluminum film or a copper film, and a titanium film or a titanium nitride film are stacked in this order; and a three-layer structure in which a molybdenum film or a molybdenum nitride film, an aluminum film or a copper film, and a molybdenum film or a molybdenum nitride film are stacked in this order; and the like. Note that a transparent conductive material containing indium oxide, tin oxide, or zinc oxide may be used.

[0120]

10 The source electrode 110 and the drain electrode 112 are provided over the semiconductor layer 108 in this embodiment but may be provided between the gate insulating film 106 and the semiconductor layer 108.

[0121]

As the first interlayer insulating film 114, an oxide insulating film is preferably used so as to improve characteristics of the interface with the oxide semiconductor film used for the semiconductor layer 108. As the first interlayer insulating film 114, a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, a Ga-Zn-based metal oxide film, or the like having a thickness greater than or equal to 150 nm and less than or equal to 400 nm can be used.

15 The first interlayer insulating film 114 may have a stacked-layer structure of an oxide insulating film and a nitride insulating film. For example, the first interlayer insulating film 114 can have a stacked-layer structure of a silicon oxynitride film and a silicon nitride film.

[0122]

25 For the second interlayer insulating film 116, an organic insulating material having heat resistance such as an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, or an epoxy-based resin can be used. Note that the second interlayer insulating film 116 may be formed by stacking a plurality of insulating films formed using any of these materials. With the use of the second interlayer insulating film 116, the unevenness of the first transistor 101 and the like can be reduced.

[0123]

The capacitor electrode 118 can be formed using a light-transmitting conductive material such as indium oxide containing tungsten oxide, indium zinc oxide containing tungsten oxide, indium oxide containing titanium oxide, indium tin oxide containing titanium oxide, indium tin oxide (hereinafter referred to as ITO), indium zinc oxide, or indium tin oxide to which silicon oxide is added.

[0124]

As the third interlayer insulating film 120, an inorganic insulating material such as a silicon oxide film, a silicon oxynitride film, a silicon nitride oxide film, a silicon nitride film, or an aluminum oxide film can be used. In particular, one selected from a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film is preferably used as the third interlayer insulating film 120. By use of one selected from a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film as the third interlayer insulating film 120, release of hydrogen or moisture from the second interlayer insulating film 116 can be suppressed.

[0125]

As the pixel electrode 122, a material similar to that of the capacitor electrode 118 can be used. Although materials of the capacitor electrode 118 and the pixel electrode 122 may be the same or different, the use of the same materials is preferred, in which case manufacturing cost can be reduced.

[0126]

For the first alignment film 124 and the second alignment film 164, an organic material having heat resistance such as an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, or an epoxy-based resin can be used.

[0127]

For the liquid crystal layer 162, a liquid crystal material such as thermotropic liquid crystal, low-molecular liquid crystal, high-molecular liquid crystal, polymer dispersed liquid crystal, ferroelectric liquid crystal, or anti-ferroelectric liquid crystal can be used. Such a liquid crystal material exhibits a cholesteric phase, a smectic phase, a cubic phase, a chiral nematic phase, an isotropic phase, or the like depending on conditions.

[0128]

Alternatively, in the case of employing a horizontal electric field mode, liquid crystal exhibiting a blue phase for which an alignment film (the first alignment film 124 or the second alignment film 164) is unnecessary may be used. A blue phase is one of liquid crystal phases, which is generated just before a cholesteric phase changes into an isotropic phase while temperature of cholesteric liquid crystal is increased. Since the blue phase appears only in a narrow temperature range, a liquid crystal composition in which several weight percent or more of a chiral material is mixed is used for the liquid crystal layer in order to improve the temperature range. The liquid crystal composition which includes liquid crystal exhibiting a blue phase and a chiral material has a short response time, and has optical isotropy, which makes the alignment process unneeded and the viewing angle dependence small. In addition, since an alignment film does not need to be provided and rubbing treatment is unnecessary, electrostatic discharge damage caused by the rubbing treatment can be prevented and defects and damage of the liquid crystal display device can be reduced in the manufacturing process. Thus, the liquid crystal display device can be manufactured with improved productivity. A transistor using an oxide semiconductor film has a possibility that the electrical characteristics of the transistor may be significantly changed by the influence of static electricity and deviate from the designed range. Therefore, it is more effective to use a liquid crystal material exhibiting a blue phase for a liquid crystal display device including a transistor using an oxide semiconductor film.

[0129]

The specific resistivity of the liquid crystal material is higher than or equal to  $1 \times 10^9 \Omega\text{-cm}$ , preferably higher than or equal to  $1 \times 10^{11} \Omega\text{-cm}$ , further preferably higher than or equal to  $1 \times 10^{12} \Omega\text{-cm}$ . Note that the specific resistivity in this specification is measured at a temperature of 20 °C.

[0130]

The size of a storage capacitor formed in the display device is set considering the leakage current of the transistor provided in the pixel region or the like so that charge can be held for a predetermined period. The size of the storage capacitor can be set considering the off-state current of the transistor or the like. In the case where a transistor including an oxide semiconductor layer which is highly purified and in which

formation of an oxygen vacancy is inhibited is used and, for example, a liquid crystal element is used as the display element, a storage capacitor having a capacitance that is 1/3 or less, preferably 1/5 or less of the liquid crystal capacitance of each pixel is sufficient.

5 [0131]

It is possible to reduce the current in an off state (off-state current) of the transistor in this embodiment using the oxide semiconductor which is highly purified and in which formation of an oxygen vacancy is inhibited for the semiconductor layer. Accordingly, an electric signal such as an image signal can be held for a longer period, and a writing interval can be set longer in an on state. Thus, the frequency of refresh operation can be reduced, which leads to the effect of suppressing power consumption.

10 [0132]

As a driving mode of the liquid crystal element 150 in the display device illustrated in FIGS. 1A to 1C and FIG. 2, a twisted nematic (TN) mode, an in-plane-switching (IPS) mode, a fringe field switching (FFS) mode, an axially symmetric aligned micro-cell (ASM) mode, an optical compensated birefringence (OCB) mode, a ferroelectric liquid crystal (FLC) mode, an antiferroelectric liquid crystal (AFLC) mode, or the like can be used. In particular, an FFS mode is preferably used to achieve a wide viewing angle.

15 [0133]

The display device may be a normally black liquid crystal display device such as a transmissive liquid crystal display device utilizing a vertical alignment (VA) mode. Some examples are given as the vertical alignment mode. For example, a multi-domain vertical alignment (MVA) mode, a patterned vertical alignment (PVA) mode, and the like can be used. Moreover, it is possible to use a method called domain multiplication or multi-domain design, in which a pixel is divided into some regions (subpixels) and molecules are aligned in different directions in their respective regions.

20 [0134]

Although not illustrated in FIGS. 1A to 1C and FIG. 2, an optical member (optical substrate) such as a polarizing member, a retardation member, or an anti-reflection member, and the like may be provided as appropriate. For example, circular polarization may be obtained by using a polarizing substrate and a retardation

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30



substrate. In addition, a backlight, a side light, or the like may be used as a light source.

[0135]

As a method for display in the pixel region 142, a progressive method, an interlace method, or the like can be employed. Further, color components controlled in a pixel at the time of color display are not limited to three colors: R, G, and B (R, G, and B correspond to red, green, and blue, respectively). For example, R, G, B, and W (W corresponds to white) or R, G, B, and one or more of yellow, cyan, magenta, and the like can be used. Note that the sizes of display regions may be different between respective dots of color components. Note that the disclosed invention is not limited to the application to a display device for color display; the disclosed invention can also be applied to a display device for monochrome display.

[0136]

Further, a spacer 160 is provided below the second substrate 152 so as to control the distance (cell gap) between the first substrate 102 and the second substrate 152. Note that the cell gap determines the thickness of the liquid crystal layer 162. The spacer 160 may have any shape, like a columnar spacer or a spherical spacer obtained by selective etching of an insulating film, or the like.

[0137]

The colored film 153 functions as a so-called color filter. For the colored film 153, a material having the property of transmitting light in a specific wavelength band is used, and an organic resin film including a dye or a pigment, or the like can be used.

[0138]

The light-blocking film 154 functions as a so-called black matrix. As the light-blocking film 154, as long as it can block light emitted from adjacent pixels, any film such as a metal film or an organic resin film including a black dye or a black pigment can be used. In this embodiment, the light-blocking film 154 formed of an organic resin film including a black pigment is exemplified.

[0139]

The organic protective insulating film 156 is provided so that an ionic substance included in the colored film 153 is not dispersed into the liquid crystal layer 162. However, the organic protective insulating film 156 is not limited to this

structure and not necessarily provided.

[0140]

As the sealant 166, a thermosetting resin, an ultraviolet curable resin, or the like can be used. A region sealed by the sealant 166 illustrated in FIG. 2 has a structure in which the gate insulating film 106, an electrode 113 formed in the same step as the source electrode 110 and the drain electrode 112, the first interlayer insulating film 114, and the second interlayer insulating film 116 are provided between the first substrate 102 and the second substrate 152; however, this structure is an example and does not limit the present invention. For example, the structure may be a structure in which only the gate insulating film 106 and the first interlayer insulating film 114 are provided. Entry of moisture or the like from the outside is more prevented when the second interlayer insulating film 116 is removed, and therefore, part of the second interlayer insulating film 116 is preferably removed or recessed as illustrated in FIG. 2.

[0141]

As described above, the display device described in this embodiment includes the transistors formed in the pixel region and the driver circuit region, the first interlayer insulating film formed over the transistors, the second interlayer insulating film formed over the first interlayer insulating film, and the third interlayer insulating film formed over the second interlayer insulating film. In this structure, the third interlayer insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver circuit region. This structure can suppress entry of the gas released from the second interlayer insulating film into the transistor side, which can increase the reliability of the display device. Further, the first interlayer insulating film can suppress entry of the gas released from the second interlayer insulating film into the transistor side.

[0142]

This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[0143]

(Embodiment 2)

In this embodiment, a display device using an organic EL panel is described as one mode of a display device with reference to FIG. 3 and FIG. 4. Note that portions

that are similar to the portions in Embodiment 1 are denoted by the same reference numerals, and detailed description thereof is omitted.

[0144]

FIG. 3 and FIG. 4 illustrate a top view and a cross-sectional view, respectively, of the display device as one mode of a display device. Note that FIG. 4 corresponds to a cross-sectional view along the line X2-Y2 in FIG. 3.

[0145]

In the display device illustrated in FIG. 3, a sealant 166 is provided so as to surround a pixel region 142, and gate driver circuit portions 140 and a source driver circuit portion 144, which are driver circuit regions that are located outside and adjacent to the pixel region 142 and supply signals to the pixel region 142, which are provided over a first substrate 102; sealing is performed with a second substrate 152. The second substrate 152 is provided so as to face the first substrate 102 where the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are provided. Thus, the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are sealed together with a display element by the first substrate 102, the sealant 166, and the second substrate 152.

[0146]

As described above, some or all of the driver circuits which include transistors can be formed over the first substrate 102 where the pixel region 142 is formed, so that a system-on-panel can be obtained. Further, the whole or part of a driver circuit including a thin film transistor can be formed over the same substrate as a pixel region, so that a system-on-panel can be obtained.

[0147]

Next, structures of the pixel region 142 and the gate driver circuit portion 140 are detailed below using FIG. 4 corresponding to a cross-sectional view along the line X2-Y2 in FIG. 3.

[0148]

In the pixel region 142, the first transistor 101 is formed with the first substrate 102, the gate electrode 104 formed over the first substrate 102, a gate insulating film 106 formed over the gate electrode 104, the semiconductor layer 108 which is in contact with the gate insulating film 106 and provided to overlap with the gate electrode 104,

the source electrode 110 and the drain electrode 112 formed over the gate insulating film 106 and the semiconductor layer 108.

[0149]

In addition, the pixel region 142 includes the following: the first interlayer  
5 insulating film 114 formed using an inorganic insulating material over the first transistor  
101, specifically over the gate insulating film 106, the semiconductor layer 108, the  
source electrode 110, and the drain electrode 112; the second interlayer insulating film  
116 formed using an organic insulating material over the first interlayer insulating film  
114; the third interlayer insulating film 120 formed using an inorganic insulating  
10 material over the second interlayer insulating film 116; a partition 126 formed over the  
second interlayer insulating film 116 and the third interlayer insulating film 120; the  
pixel electrode 122 formed over the third interlayer insulating film 120 and the partition  
126; a light-emitting layer 128 formed over the pixel electrode 122; and an electrode  
130 formed over the light-emitting layer 128.

15 [0150]

Note that the pixel electrode 122, the light-emitting layer 128, and the electrode  
130 form a light-emitting element 170.

[0151]

In addition, a filler 172 is provided over the light-emitting element 170,  
20 specifically over the electrode 130. Over the filler 172, the second substrate 152 is  
provided. In other words, the light-emitting element 170 and the filler 172 are  
interposed between the first substrate 102 and the second substrate 152.

[0152]

In the gate driver circuit portion 140, the second transistor 103 and the third  
25 transistor 105 are formed with the first substrate 102, the gate electrode 104 formed  
over the first substrate 102, the gate insulating film 106 formed over the gate electrode  
104, the semiconductor layer 108 which is in contact with the gate insulating film 106  
and provided to overlap with the gate electrode 104, the source electrode 110 and the  
drain electrode 112 formed over the gate insulating film 106 and the semiconductor  
30 layer 108.

[0153]

In addition, the gate driver circuit portion 140 includes the first interlayer

insulating film 114 formed using an inorganic insulating material over the second transistor 103 and the third transistor 105, specifically over the gate insulating film 106, the semiconductor layer 108, the source electrode 110, and the drain electrode 112, and the second interlayer insulating film 116 formed using an organic insulating material  
5 over the first interlayer insulating film 114.

[0154]

Thus, the third interlayer insulating film 120 is provided in part of an upper region of the pixel region 142, and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver  
10 circuit region.

[0155]

The above-described structure allows moisture taken in from the outside or a gas of moisture, hydrogen, or the like generated in the display device to be released to a portion above the second interlayer insulating film 116 of the gate driver circuit portion  
15 140. Accordingly, it is possible to suppress incorporation of a gas of moisture, hydrogen, or the like into the first transistor 101, the second transistor 103, and the third transistor 105.

[0156]

For the second interlayer insulating film 116 formed using an organic insulating material, an organic insulating material with which the planarity is improved is needed so that unevenness of the transistors included in the display device or the like is reduced. This is because the reduction in the unevenness of the transistors or the like leads to an improvement of the display quality of the display device. However, when heating or the like is performed, the organic insulating material releases hydrogen,  
25 moisture, or an organic component as a gas.

[0157]

The above-mentioned gas of hydrogen, moisture, or an organic component is unlikely to be a great problem for a transistor using a silicon film, which is a silicon-based semiconductor material, in the semiconductor layer 108, for example.  
30 However, in one embodiment of the present invention, the semiconductor layer 108 is formed using an oxide semiconductor film, and hence the gas from the second interlayer insulating film 116 formed using an organic insulating material needs to be suitably

released. Note that, when the semiconductor layer 108 is formed using an oxide semiconductor film, the structure in which an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver circuit region has an excellent effect. Further, a similar effect can also be obtained in a transistor with the semiconductor layer 108 formed using a material (e.g., amorphous silicon or crystalline silicon which is a silicon-based semiconductor material) other than an oxide semiconductor.

[0158]

In this embodiment, the third interlayer insulating film 120 over the second interlayer insulating film 116 is formed in order to suppress entry of the gas released from the second interlayer insulating film 116 into the light-emitting element 170 side and/or to improve adhesion between the pixel electrode 122 and the second interlayer insulating film 116. Such a structure can suppress entry of the gas of hydrogen, moisture, or the like from the second interlayer insulating film 116 into the light-emitting element 170 side.

[0159]

However, if the third interlayer insulating film 120 is formed over the second interlayer insulating film 116 over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, the gas released from the organic insulating material in the second interlayer insulating film 116 cannot be dispersed into the outside and enters the second transistor 103 and the third transistor 105.

[0160]

When the above-described gas enters the oxide semiconductor used in the semiconductor layer 108 of the transistors, the gas is taken in as an impurity into the oxide semiconductor film. This changes characteristics of the transistors using the semiconductor layer 108.

[0161]

In contrast, in the structure as illustrated in FIG. 4 where the third interlayer insulating film 120 is holed over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, that is, the third interlayer insulating film 120 is provided in part of the pixel region 142 and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit

portion 140, the gas released from the second interlayer insulating film 116 can be dispersed into the outside.

[0162]

Also in the first transistor 101 used for the pixel region 142, as illustrated in FIG. 4, it is preferable to remove a portion of the third interlayer insulating film 120 formed using an inorganic insulating material, which overlaps with the semiconductor layer 108. Such a structure can suppress entry of the gas released from the second interlayer insulating film 116 formed using an organic insulating material into the first transistor 101.

[0163]

Here, other components of the display device illustrated in FIG. 3 and FIG. 4 which differ in structure from those in the display device described in Embodiment 1 are detailed below.

[0164]

The partition 126 is formed using an organic insulating material or an inorganic insulating material. It is particularly preferable that the partition 126 be formed using a photosensitive resin material to have an opening over the pixel electrode 122 so that a sidewall of the opening is formed as a tilted surface with continuous curvature.

[0165]

As the filler 172, an ultraviolet curable resin or a thermosetting resin can be used as well as an inert gas such as nitrogen or argon. For example, polyvinyl chloride (PVC), an acrylic-based resin, a polyimide-based resin, an epoxy-based resin, a silicone-based resin, polyvinyl butyral (PVB), or ethylene vinyl acetate (EVA) can be used. For example, nitrogen is used as the filler 172.

[0166]

As the light-emitting element 170, a light-emitting element utilizing electroluminescence can be used. Light-emitting elements utilizing electroluminescence are classified according to whether a light-emitting material is an organic compound or an inorganic compound. In general, the former is referred to as an organic EL element, and the latter is referred to as an inorganic EL element. Here, an organic EL element is used.

[0167]

In an organic EL element, by application of a voltage to a light-emitting element, electrons and holes are separately injected from a pair of electrodes (the pixel electrode 122 and the electrode 130) into a layer containing a light-emitting organic compound, and current flows. The carriers (electrons and holes) are recombined, and thus, the light-emitting organic compound is excited. The light-emitting organic compound returns to a ground state from the excited state, thereby emitting light. Owing to such a mechanism, this light-emitting element is referred to as a current-excitation light-emitting element.

[0168]

To extract light from the light-emitting element 170, at least one of the electrodes (the pixel electrode 122 or the electrode 130) has a light-transmitting property. The light-emitting element can employ any of the following emission structures: a top emission structure in which light emission is extracted through the surface opposite to the first substrate 102; a bottom emission structure in which light emission is extracted through the surface on the first substrate 102 side; or a dual emission structure in which light emission is extracted through the surface opposite to the first substrate 102 and the surface on the first substrate 102 side.

[0169]

A protective film may be formed over the electrode 130 and the partition 126 in order to prevent oxygen, hydrogen, moisture, carbon dioxide, or the like from entering the light-emitting element 170. As the protective film, a silicon nitride film, a silicon nitride oxide film, or the like can be formed. In addition, in a space which is formed with the first substrate 102, the second substrate 152, and the sealant 166, the filler 172 is provided for sealing. It is preferable that a panel be packaged (sealed) with a protective film (such as a laminate film or an ultraviolet curable resin film) or a cover material with high air-tightness and little degasification so that the panel is not exposed to the outside air, in this manner.

[0170]

In addition, if needed, an optical film, such as a polarizing plate, a circularly polarizing plate (including an elliptically polarizing plate), a retardation plate (a quarter-wave plate or a half-wave plate), or a color filter, may be provided as appropriate on a light-emitting surface of the light-emitting element 170. Further, the



polarizing plate or the circularly polarizing plate may be provided with an anti-reflection film. For example, anti-glare treatment by which reflected light can be diffused by projections and depressions on the surface so as to reduce the glare can be performed.

5 [0171]

For the light-emitting layer 128, it is preferable to use organic compounds including a guest material which is a light-emitting material converting triplet excitation energy to light emission and a host material the triplet excitation energy level ( $T_1$  level) of which is higher than that of the guest material. Note that the light-emitting layer 10 128 may have a structure in which a plurality of light-emitting layers is stacked (so-called tandem structure) or a structure including a functional layer (e.g., a hole-injection layer, a hole-transport layer, an electron-transport layer, an electron-injection layer, or a charge generation layer) other than a light-emitting layer.

[0172]

15 For the sealant 166, a material containing a glass material, such as a glass body formed by melting and solidifying powder glass (also called frit glass), may be used in addition to any of the materials described in Embodiment 1. Such a material can effectively suppress permeation of moisture and gas. Hence, when the light-emitting element 170 is used as the display element, deterioration of the light-emitting element 20 170 can be suppressed, so that the display device can have very high reliability.

[0173]

A region sealed by the sealant 166 illustrated in FIG. 4 has a structure in which only the gate insulating film 106 is provided between the first substrate 102 and the second substrate 152; however, this structure is an example and does not limit the present invention. For example, the structure may be a structure in which the gate 25 insulating film 106 and the first interlayer insulating film 114 are stacked. Note that in a preferred structure, the sealant 166 is placed in a region where the second interlayer insulating film 116 is removed, as illustrated in FIG. 4.

[0174]

30 As described above, the display device described in this embodiment includes the transistors formed in the pixel region and the driver circuit region, the first interlayer insulating film formed over the transistors, the second interlayer insulating film formed

over the first interlayer insulating film, and the third interlayer insulating film formed over the second interlayer insulating film. In this structure, the third interlayer insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver circuit region. This structure can suppress entry of the gas released from the second interlayer insulating film into the transistor side, which can increase the reliability of the display device. Further, the first interlayer insulating film can suppress entry of the gas released from the second interlayer insulating film into the transistor side.

[0175]

10 This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[0176]

(Embodiment 3)

In this embodiment, an image sensor that can be used in combination with any of the display devices described in the above embodiments is described.

[0177]

An example of a display device with an image sensor is illustrated in FIG. 5A. FIG. 5A illustrates an equivalent circuit of a pixel of the display device with an image sensor.

20 [0178]

One electrode of a photodiode element 4002 is electrically connected to a reset signal line 4058, and the other electrode of the photodiode element 4002 is electrically connected to a gate electrode of a transistor 4040. One of a source electrode and a drain electrode of the transistor 4040 is electrically connected to a power supply potential (VDD), and the other of the source electrode and the drain electrode of the transistor 4040 is electrically connected to one of a source electrode and a drain electrode of a transistor 4056. A gate electrode of the transistor 4056 is electrically connected to a gate selection line 4057, and the other of the source electrode and the drain electrode of the transistor 4056 is electrically connected to an output signal line 4071.

[0179]

A first transistor 4030 is a transistor for pixel switching. One of a source

electrode and a drain electrode of the first transistor 4030 is electrically connected to a video signal line 4059, and the other of the source electrode and the drain electrode of the first transistor 4030 is electrically connected to a capacitor 4032 and a liquid crystal element 4034. A gate electrode of the first transistor 4030 is electrically connected to a gate line 4036.

[0180]

Note that structures of the first transistor 4030, the capacitor 4032, and the liquid crystal element 4034 can be similar to those in the display device described in Embodiment 1.

[0181]

FIG. 5B illustrates a cross section of part of a pixel of the display device with an image sensor and a cross section of a driver circuit portion. In a pixel region 5042, the photodiode element 4002 and the first transistor 4030 are provided over a first substrate 4001. In a gate driver circuit portion 5040 which is a driver circuit, a second transistor 4060 and a third transistor 4062 are provided over the first substrate 4001.

[0182]

Over the photodiode element 4002 and the first transistor 4030 in the pixel region 5042, a first interlayer insulating film 4014, a second interlayer insulating film 4016, and a third interlayer insulating film 4020 are formed. Over the second interlayer insulating film 4016, the capacitor 4032 using the third interlayer insulating film 4020 as a dielectric is formed.

[0183]

Thus, the third interlayer insulating film 4020 is provided in part of the pixel region 5042, and an edge portion of the third interlayer insulating film 4020 is formed on an inner side than the gate driver circuit portion 5040. By this structure, a gas released from the second interlayer insulating film 4016 can be dispersed into the outside. Thus, this structure can suppress entry of the gas released from the second interlayer insulating film 4016 into the transistor side, which can increase the reliability of the display device.

[0184]

In the photodiode element 4002, a lower electrode formed in the same step as the source electrode and the drain electrode of the first transistor 4030 and an upper

electrode formed in the same step as a pixel electrode of the liquid crystal element 4034 are included as a pair of electrodes, and a diode is present between the pair of electrodes.

[0185]

5 As a diode that can be used as the photodiode element 4002, a pn-type diode including a stack of a p-type semiconductor film and an n-type semiconductor film, a pin-type diode including a stack of a p-type semiconductor film, an i-type semiconductor film, and an n-type semiconductor film, a Schottky diode, or the like can be used.

10 [0186]

Over the photodiode element 4002, a first alignment film 4024, a liquid crystal layer 4096, a second alignment film 4084, a counter electrode 4088, an organic insulating film 4086, a colored film 4085, a second substrate 4052, and the like are provided.

15 [0187]

Note that a pin-type diode has better photoelectric conversion characteristics when the p-type semiconductor film side is used as a light-receiving plane. This is because the hole mobility is lower than the electron mobility. This embodiment shows an example in which light which enters the photodiode element 4002 from a surface of  
20 the second substrate 4052 through the colored film 4085, the liquid crystal layer 4096, and the like is converted into an electric signal, but this example does not limit the present invention. For example, the colored film 4085 may be omitted.

[0188]

The photodiode element 4002 described in this embodiment utilizes flow of  
25 current between the pair of electrodes which is caused by entry of light into the photodiode element 4002. When the photodiode element 4002 detects light, information of an object to be detected can be read.

[0189]

By performing, for example, a step of forming the transistor for the display  
30 device and a step for the image sensor at the same time, the productivity of the display device with the image sensor described in this embodiment can be increased. However, any of the display devices described in the above embodiments and the image sensor

described in this embodiment may be fabricated over different substrates. Specifically, the image sensor may be fabricated over the second substrate in any of the display devices described in the above embodiments.

[0190]

5 This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[0191]

(Embodiment 4)

In this embodiment, an example of a tablet terminal using a display device of one embodiment of the present invention is described.

10 [0192]

FIGS. 6A to 6C illustrate a foldable tablet terminal. FIG. 6A illustrates the tablet terminal which is unfolded. The tablet terminal includes a housing 8630, and a display portion 8631a, a display portion 8631b, a display mode switch 8034, a power switch 8035, a power-saving mode switch 8036, a clasp 8033, and an operation switch 8038 which are provided on the housing 8630.

15 [0193]

A display device of one embodiment of the present invention can be applied to the display portion 8631a and the display portion 8631b.

20 [0194]

The whole or part of the display portion 8631a can function as a touch panel and data can be input when a displayed operation key is touched. For example, the display portion 8631a can display keyboard buttons in the whole region to function as a touch panel, and the display portion 8631b may be used as a display screen.

25 [0195]

Like the display portion 8631a, the whole or part of the display portion 8631b can function as a touch panel.

[0196]

Further, a touch panel region of the display portion 8631a and a touch panel region of the display portion 8631b can be touched for input at the same time.

30 [0197]

With the display mode switch 8034, the display can be switched between a

portrait mode, a landscape mode, and the like, and between monochrome display and color display, for example. With the power-saving mode switch 8036, display luminance can be controlled in accordance with external light detected by an optical sensor incorporated in the tablet terminal. Note that in addition to the optical sensor,  
5 another detection device including a sensor such as a gyroscope or an acceleration sensor which is capable of detecting inclination may be included in the tablet terminal.

[0198]

Note that FIG. 6A shows an example in which the areas of the display portion 8631a and the display portion 8631b are the same; however, this example does not limit  
10 the present invention. The display portion 8631a and the display portion 8631b may differ in area or display quality. For example, one display panel may be capable of higher-definition display than the other display panel.

[0199]

The tablet terminal is closed in FIG. 6B. The tablet terminal includes the  
15 housing 8630, and a solar cell 8633 and a charge and discharge control circuit 8634 with which the housing 8630 is provided. In FIG. 6B, a structure including a battery 8635 and a DCDC converter 8636 is illustrated as an example of the charge and discharge control circuit 8634.

[0200]

Since the tablet terminal is foldable, the housing 8630 can be closed when the  
20 tablet terminal is not used. Thus, the display portion 8631a and the display portion 8631b can be protected, which leads to excellent durability and excellent reliability in terms of long-term use.

[0201]

The tablet terminal illustrated in FIGS. 6A to 6C can also have a function of  
25 displaying various kinds of data (e.g., a still image, a moving image, and a text image), a function of displaying a calendar, the date, the time, or the like on the display portion, a touch-input function of operating or editing data displayed on the display portion by touch input, a function of controlling processing by various kinds of software  
30 (programs), and the like.

[0202]

Electric power obtained with the solar cell 8633 can be used for the operation

of the tablet terminal or can be stored in the battery 8635. Note that the solar cell 8633 can be provided on both surfaces of the housing 8630. When a lithium ion battery is used as the battery 8635, there is an advantage of downsizing or the like.

[0203]

5           The structure and the operation of the charge and discharge control circuit 8634 illustrated in FIG. 6B are described with reference to a block diagram in FIG. 6C. In FIG. 6C, the solar cell 8633, the battery 8635, the DCDC converter 8636, a converter 8637, a switch SW1, a switch SW2, a switch SW3, and a display portion 8631 are illustrated. The battery 8635, the DCDC converter 8636, the converter 8637, and the  
10 switches SW1 to SW3 in FIG. 6C correspond to the charge and discharge control circuit 8634 illustrated in FIG. 6B.

[0204]

In the case where power is generated by the solar cell 8633, the voltage of the power generated by the solar cell is raised or lowered by the DCDC converter 8636 so  
15 that the power has a voltage for charging the battery 8635. Then, the switch SW1 is turned on and the voltage of the power is stepped up or down by the converter 8637 so as to be the most suitable voltage for the display portion 8631. In addition, when display on the display portion 8631 is not performed, the switch SW1 is turned off and the switch SW2 is turned on so that the battery 8635 is charged.

20 [0205]

Note that the solar cell 8633 is described as an example of a power generation means, but this does not limit the present invention. Another power generation means such as a piezoelectric element or a thermoelectric conversion element (Peltier element) may be used instead. For example, the battery may be charged with another charging  
25 means, such as a non-contact power transmission module which is capable of charging by transmitting and receiving power wirelessly (without contact), used in combination.

[0206]

This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

30 [0207]

(Embodiment 5)

In this embodiment, examples of an electronic device including any of the

display devices described in the above embodiments or the like are described.

[0208]

FIG. 7A illustrates a portable information terminal. The portable information terminal illustrated in FIG. 7A includes a housing 9300, a button 9301, a microphone 9302, a display portion 9303, a speaker 9304, and a camera 9305, and has a function as a mobile phone. Any of the display devices and the display device with an image sensor described in the above embodiments can be applied to the display portion 9303.

[0209]

FIG. 7B illustrates a display. The display illustrated in FIG. 7B includes a housing 9310 and a display portion 9311. Any of the display devices and the display device with an image sensor which are described in the above embodiments can be applied to the display portion 9311.

[0210]

FIG. 7C illustrates a digital still camera. The digital still camera illustrated in FIG. 7C includes a housing 9320, a button 9321, a microphone 9322, and a display portion 9323. Any of the display devices and the display device with an image sensor described in the above embodiments can be applied to the display portion 9323.

[0211]

By application of one embodiment of the present invention, the reliability of the electronic devices can be increased.

[0212]

This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[Example 1]

[0213]

In this example, a released gas from an acrylic resin which is a typical example of the organic resin that can be used for a display device was examined.

[0214]

For a sample, an acrylic resin was applied onto a glass substrate, and heat treatment was performed in a nitrogen gas atmosphere at 250 °C for one hour. Note that the acrylic resin was formed so as to have a thickness of 1.5 μm after the heat



treatment.

[0215]

The released gas from the fabricated sample was measured by thermal desorption spectroscopy (TDS).

5 [0216]

FIG. 8 shows the ion intensity of the released gas versus mass-to-charge ratio (also referred to as  $M/z$ ) at a substrate surface temperature of 250 °C. In FIG. 8, the horizontal axis represents mass-to-charge ratio and the vertical axis represents intensity (arbitrary unit). As shown in FIG. 8, a gas of an ion having a mass-to-charge ratio of 18 (an  $H_2O$  gas) which seems to be due to water, a gas of an ion having a mass-to-charge ratio of 28 (a  $C_2H_4$  gas), a gas of an ion having a mass-to-charge ratio of 44 (a  $C_3H_8$  gas), and a gas of an ion having a mass-to-charge ratio of 56 (a  $C_4H_8$  gas), which seem to be due to hydrocarbon, were detected. Note that in the vicinities of the respective mass-to-charge ratios, fragment ions of gases were detected.

15 [0217]

FIG. 9 also shows the ion intensity versus substrate surface temperature for each mass-to-charge ratio (18, 28, 44, and 56). In FIG. 9, the horizontal axis represents substrate surface temperature (°C) and the vertical axis represents intensity (arbitrary unit). It was found that, in the case where the substrate surface temperature was in the range from 55 °C to 270 °C, the intensity of an ion having a mass-to-charge ratio of 18 which seems to be due to water had a peak in the range of greater than or equal to 55 °C and less than or equal to 100 °C and a peak in the range of greater than or equal to 150 °C and less than or equal to 270 °C. In contrast, it was found that the intensities of ions having mass-to-charge ratio of 28, 44, and 56 which seem to be due to hydrocarbon each had a peak in the range of greater than or equal to 150 °C and less than or equal to 270 °C.

[0218]

The above showed that water, hydrocarbon, and the like, which serve as impurities in the oxide semiconductor film, were released from the organic resin. In particular, water was found to be also released at a relatively low temperature greater than or equal to 55 °C and less than or equal to 100 °C. In other words, this indicated

30

that, when an impurity due to the organic resin reached the oxide semiconductor film, electrical characteristics of the transistor might deteriorate.

[0219]

5 The above also indicated that, when the organic resin was covered with a film that does not transmit a released gas of water, hydrocarbon, or the like (e.g. a silicon nitride film, a silicon nitride oxide film, or an aluminum oxide film), release of the gas from the organic resin increased pressure on the film that does not transmit a released gas of water, hydrocarbon, or the like, which might finally destroy the film that does not transmit a released gas of water, hydrocarbon, or the like and cause a shape defect of the  
10 transistor.

[Example 2]

[0220]

In this example, a transistor was fabricated and a cross-sectional shape and electrical characteristics thereof were estimated.

15 [0221]

In each sample, a bottom-gate top-contact transistor having a channel-etched structure in which an oxide semiconductor film is used is provided. The transistor includes a gate electrode provided over a glass substrate, a gate insulating film provided over the gate electrode, an oxide semiconductor film provided over the gate electrode  
20 with the gate insulating film interposed therebetween, and a pair of electrodes over and in contact with the oxide semiconductor film. Here, a tungsten film was used for the gate electrode, a silicon nitride film and a silicon oxynitride film thereover were used for the gate insulating film, and an In-Ga-Zn oxide film was used for the oxide semiconductor film. For each of the electrodes, a tungsten film, an aluminum film  
25 over the tungsten film, and a titanium film over the aluminum film were used.

[0222]

The protective insulating films (a 450-nm-thick silicon oxynitride film and a 50-nm-thick silicon nitride film thereover) are provided over each of the electrodes.

[0223]

30 In a sample of this example, a 2- $\mu\text{m}$ -thick acrylic resin is provided over the protective insulating films, and a 200-nm-thick silicon nitride film is provided over the acrylic resin so as to expose part of a side surface of the acrylic resin. In a sample of a

comparison example, a 1.5- $\mu\text{m}$ -thick acrylic resin is provided over the protective insulating films, and a 200-nm-thick silicon nitride film is provided over the acrylic resin so as to cover the acrylic resin.

[0224]

5           FIG. 10 shows a transmitted electron image (also referred to as a TE image) of a cross-sectional shape of an enlarged part of the sample of the comparison example, which was obtained by TEM. For the observation of the cross-sectional shape, an Ultra-thin Film Evaluation System HD-2300 manufactured by Hitachi High-Technologies Corporation was used. Note that in FIG. 10, only one of the electrodes is illustrated. It is found from the electrode and the protective insulating films provided so as to cover the electrode in FIG. 10 that in the protective films, cracks are generated from a step portion formed by the electrode. Since structures of the observed regions in the sample of this example and the sample of the comparison example are substantially the same, a cross-sectional shape of the sample of this  
10           example is not shown.  
15

[0225]

          Thus, the sample of this example has a structure in which a gas released from the acrylic resin is extracted to the outside of the sample, and the sample of the comparison example has a structure in which a gas released from the acrylic resin is not  
20           extracted to the outside of the sample. In other words, in the sample of the comparison example, the gas released from the acrylic resin is not extracted to the outside and enters the transistor through the crack generated in the protective insulating films.

[0226]

          Next, gate voltage ( $V_g$ )-drain current ( $I_d$ ) characteristics which are electrical  
25           characteristics of the transistors of the samples were measured. The  $V_g$ - $I_d$  characteristics were measured using the transistors each having a channel length of 3  $\mu\text{m}$  and a channel width of 3  $\mu\text{m}$ . Note that in the measurements of the  $V_g$ - $I_d$  characteristics, the drain voltage ( $V_d$ ) was set to 1 V or 10 V and the gate voltage ( $V_g$ ) was swept from  $-20$  V to 15 V.

30           [0227]

          FIGS. 11A and 11B show the  $V_g$ - $I_d$  characteristics of the samples. The  $V_g$ - $I_d$

characteristics of 20 transistors over a 600 mm by 720 mm glass substrate were measured as uniformly as possible. FIG. 11A shows the  $V_g$ - $I_d$  characteristics and field-effect mobility of the transistors of the sample of this example, and FIG. 11B shows the  $V_g$ - $I_d$  characteristics of the transistors of the sample of the comparison example. Note that the field-effect mobility shown in FIG. 11A was obtained at a drain voltage ( $V_d$ ) of 10 V. The field-effect mobility is not shown in FIG. 11B because it was difficult to calculate.

[0228]

FIG. 11A demonstrates that the transistors of the sample of this example exhibited excellent switching characteristics. FIG. 11B shows that the transistors of the sample of the comparison example did not exhibit switching characteristics and were normally on.

[0229]

Comparison with the sample of this example reveals that the deficiency of the switching characteristics of the sample of the comparison example was caused because the gas released from the acrylic resin affected the transistors. Specifically, this was probably because the gas released from the acrylic resin increased the carrier density in the oxide semiconductor film, and an electric field from the gate electrode prevented the transistors from being turned off.

[0230]

This example shows that, when an organic resin is covered with a film (a 200-nm-thick silicon nitride film, here) that does not transmit released gas of water, a hydrocarbon, or the like, the gas released from the organic resin causes a deficiency of the switching characteristics of a transistor. This example also shows that, by providing a path through which the released gas is extracted to the outside of the sample in part of the film that covers the organic resin and does not transmit the released gas of water, a hydrocarbon, or the like, a deficiency of the switching characteristics of a transistor can be avoided and excellent switching characteristics can be obtained.

REFERENCE NUMERALS

[0231]

101: first transistor, 102: first substrate, 103: second transistor, 104: gate electrode, 105:

third transistor, 106: gate insulating film, 107: capacitor, 108: semiconductor layer, 110: source electrode, 112: drain electrode, 113: electrode, 114: first interlayer insulating film, 116: second interlayer insulating film, 118: capacitor electrode, 120: third interlayer insulating film, 122: pixel electrode, 124: first alignment film, 126: partition, 128: light-emitting layer, 130: electrode, 140: gate driver circuit portion, 142: pixel region, 144: source driver circuit portion, 146: FPC terminal portion, 148: FPC, 150: liquid crystal element, 152: second substrate, 153: colored film, 154: light-blocking film, 156: organic protective insulating film, 158: counter electrode, 160: spacer, 162: liquid crystal layer, 164: second alignment film, 166: sealant, 170: light-emitting element, 172: filler, 4001: first substrate, 4002: photodiode element, 4014: first interlayer insulating film, 4016: second interlayer insulating film, 4020: third interlayer insulating film, 4024: first alignment film, 4030: first transistor, 4032: capacitor, 4034: liquid crystal element, 4036: gate line, 4040: transistor, 4052: second substrate, 4056: transistor, 4057: gate selection line, 4058: reset signal line, 4059: video signal line, 4060: second transistor, 4062: third transistor, 4071: output signal line, 4084: second alignment film, 4085: colored film, 4086: organic insulating film, 4088: counter electrode, 4096: liquid crystal layer, 5040: gate driver circuit portion, 5042: pixel region, 8033: clasp, 8034: switch, 8035: power supply switch, 8036: switch, 8038: operation switch, 8630: housing, 8631: display portion, 8631a: display portion, 8631b: display portion, 8633: solar cell, 8634: charge and discharge control circuit, 8635: battery, 8636: DCDC converter, 8637: converter, 9300: housing, 9301: button, 9302: microphone, 9303: display portion, 9304: speaker, 9305: camera, 9310: housing, 9311: display portion, 9320: housing, 9321: button, 9322: microphone, 9323: display portion.

25           This application is based on Japanese Patent Application serial no. 2012-161344 filed with the Japan Patent Office on July 20, 2012, the entire contents of which are hereby incorporated by reference.

## CLAIMS

1. A display device comprising:

a pixel portion comprising:

- 5           a first transistor;  
          a first insulating film over the first transistor;  
          a second insulating film over the first insulating film;  
          a third insulating film over the second insulating film;  
          a first electrode over the third insulating film, the first electrode being  
10       electrically connected to the first transistor;  
          a first alignment film over the first electrode; and  
          a liquid crystal layer over the first alignment film; and

a driver circuit portion comprising:

- a second transistor;  
15       the first insulating film over the second transistor;  
          the second insulating film over the first insulating film; and  
          the first alignment film over the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,  
wherein the second insulating film comprises an organic insulating material,  
20       wherein the third insulating film comprises an inorganic insulating material,

and

          wherein the first alignment film is entirely in contact with the second insulating  
film in the driver circuit portion.

25       2. The display device according to claim 1 further comprising:

- a second alignment film over the liquid crystal layer;  
          a second electrode over the second alignment film;  
          a fourth insulating film over the second electrode;  
          a colored film over the fourth insulating film; and  
30       a light-blocking film over the fourth insulating film.

3. The display device according to claim 1,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

5           4. The display device according to claim 1,  
              wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin.

10           5. The display device according to claim 1,  
              wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

              6. The display device according to claim 1,  
15           wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

              7. The display device according to claim 6,  
              wherein the oxide semiconductor layer comprises any of indium and zinc.

20           8. The display device according to claim 6,  
              wherein the oxide semiconductor layer has a first oxide semiconductor film and a second oxide semiconductor film.

25           9. An electronic device comprising the display device according to claim 1.

              10. A display device comprising:  
              a pixel portion comprising:  
                  a first transistor;  
30           a first insulating film over the first transistor;  
                  a second insulating film over the first insulating film;  
                  a third insulating film over the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;

a fourth insulating film over the first electrode;

a light-emitting layer over the first electrode;

5 a second electrode over the light-emitting layer; and

a filler over the second electrode; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor;

10 the second insulating film over the first insulating film; and

the filler over the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material,

15 and

wherein the filler is entirely in contact with the second insulating film in the driver circuit portion.

11. The display device according to claim 10,

20 wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

12. The display device according to claim 10,

25 wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin.

13. The display device according to claim 10,

30 wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.



14. The display device according to claim 10,  
wherein the first transistor and the second transistor each comprises an oxide  
semiconductor layer.

5           15. The display device according to claim 14,  
wherein the oxide semiconductor layer comprises any of indium and zinc.

16. The display device according to claim 14,  
wherein the oxide semiconductor layer has a first oxide semiconductor film and  
10 a second oxide semiconductor film.

17. An electronic device comprising the display device according to claim 10.

18. The display device according to claim 10,  
15 wherein the filler is any of an inert gas, an ultraviolet curable resin, and a  
thermosetting resin.

19. The display device according to claim 10,  
wherein the filler is an insulating film.

20           20. The display device according to claim 10,  
wherein the filler is any of polyvinyl chloride, an acrylic-based resin, a  
polyimide-based resin, an epoxy-based resin, a silicone-based resin, polyvinyl butyral,  
and ethylene vinyl acetate.

25

## ABSTRACT

The display device includes a first substrate provided with a driver circuit region that is located outside and adjacent to a pixel region and includes at least one  
5 second transistor which supplies a signal to the first transistor in each of the pixels in the pixel region, a second substrate facing the first substrate, a liquid crystal layer between the first substrate and the second substrate, a first interlayer insulating film including an inorganic insulating material over the first transistor and the second  
10 transistor, a second interlayer insulating film including an organic insulating material over the first interlayer insulating film, and a third interlayer insulating film including an inorganic insulating material over the second interlayer insulating film. The third interlayer insulating film is provided in part of an upper region of the pixel region, and has an edge portion on an inner side than the driver circuit region.

FIG. 1A

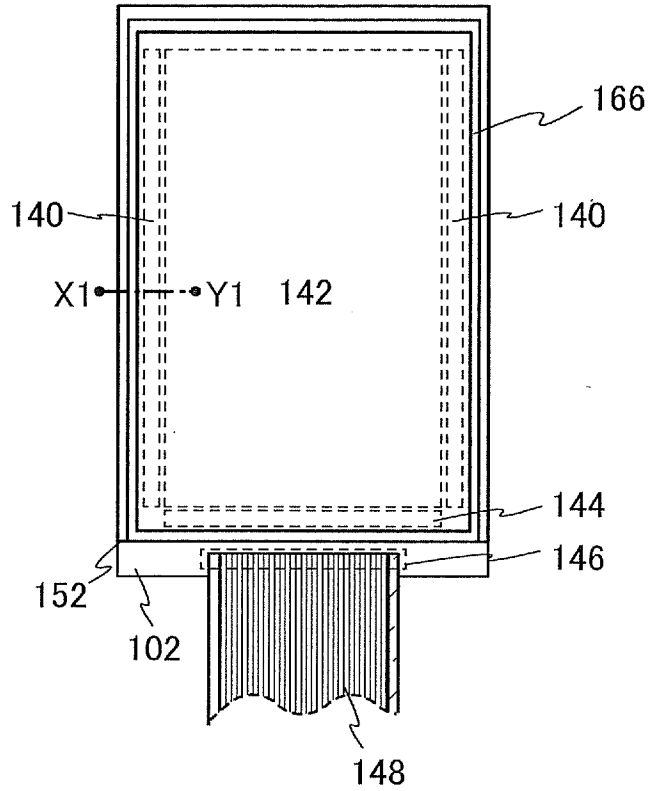
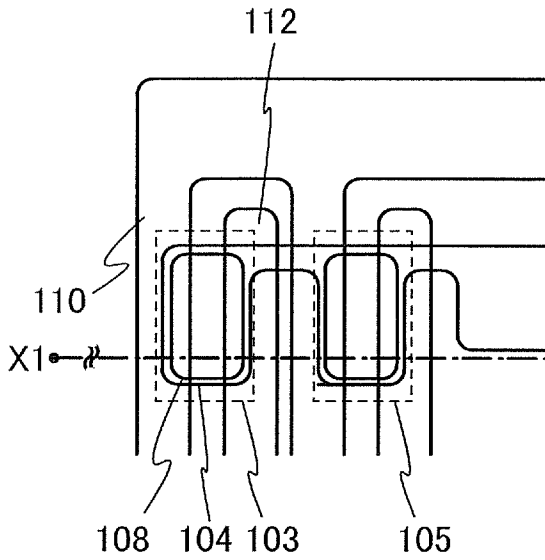
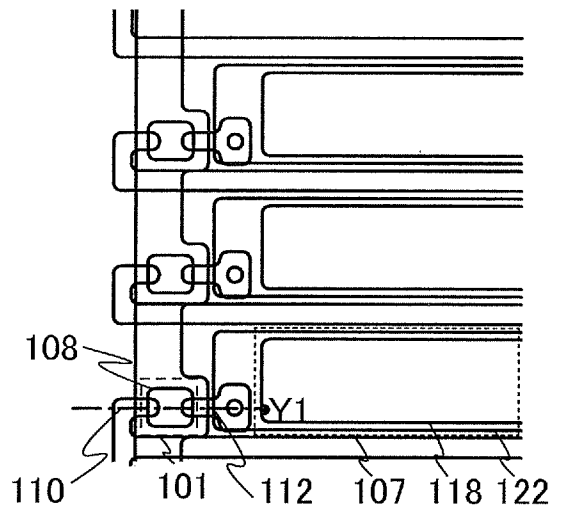


FIG. 1B



140

FIG. 1C



142

FIG. 2

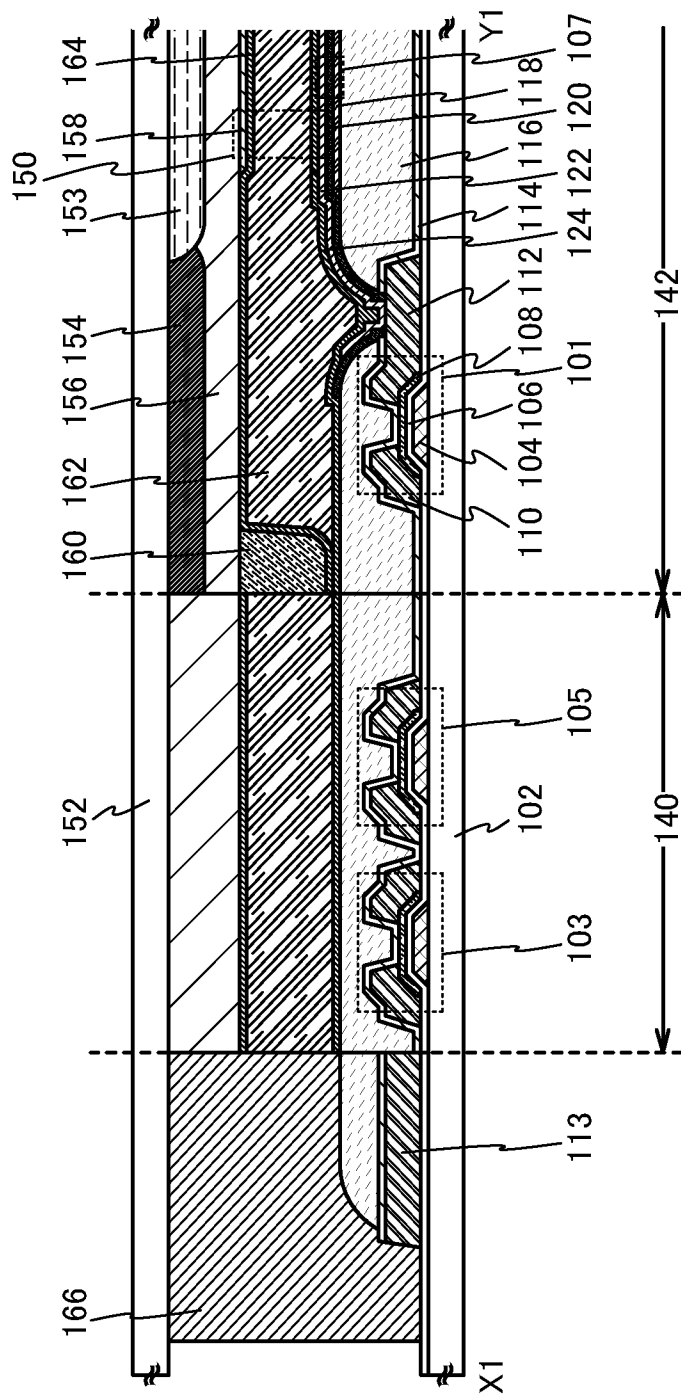


FIG. 3

3/11

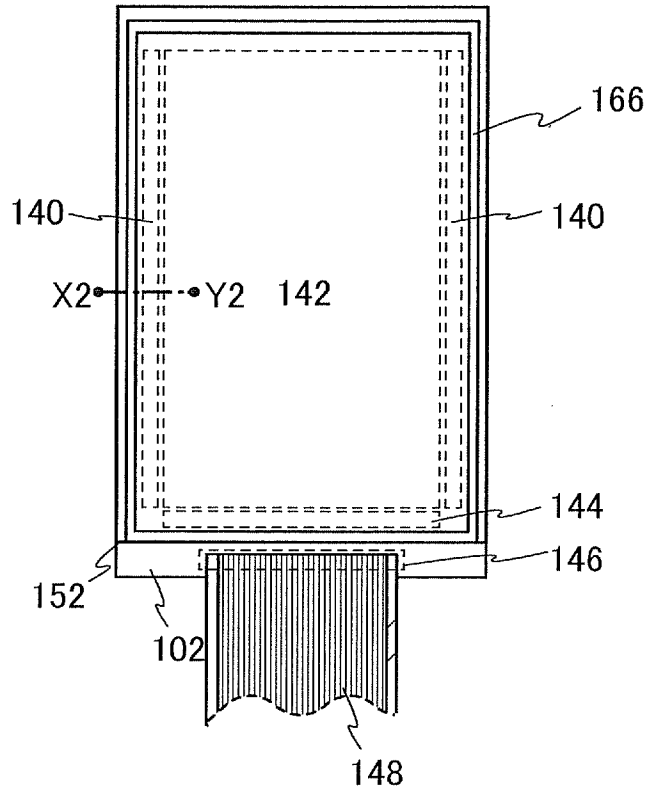
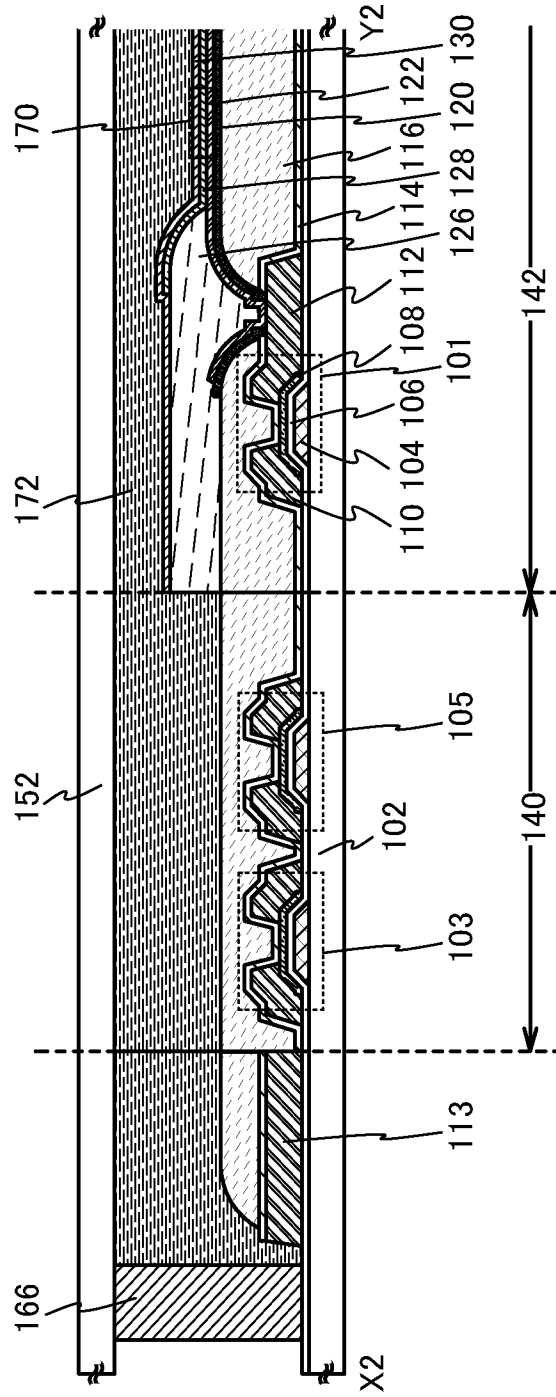


FIG. 4



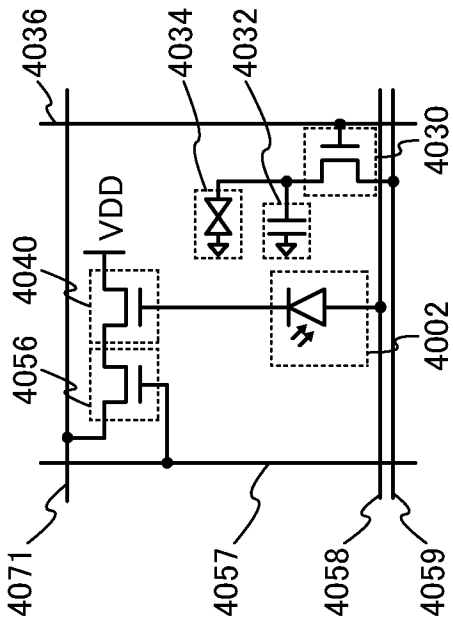


FIG. 5A

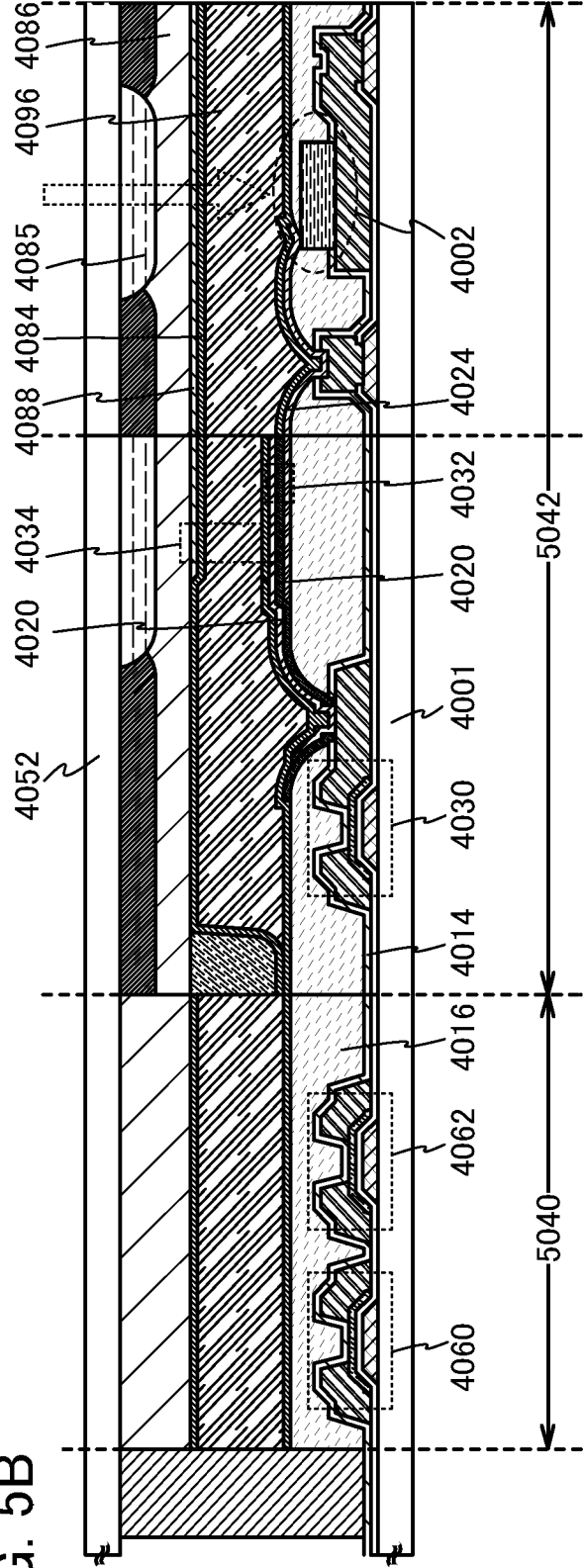


FIG. 5B

FIG. 6A

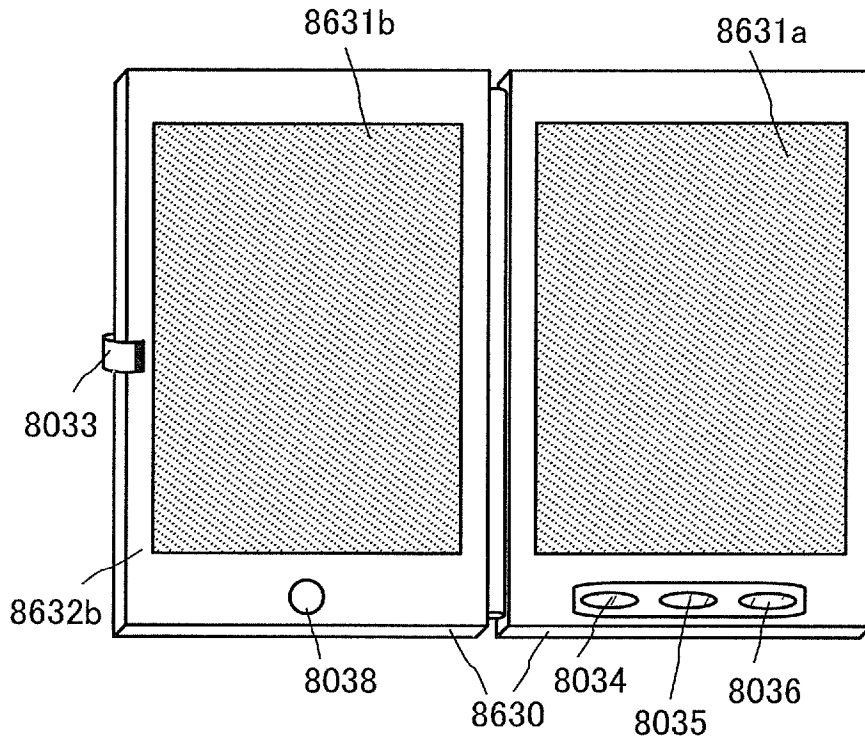


FIG. 6B

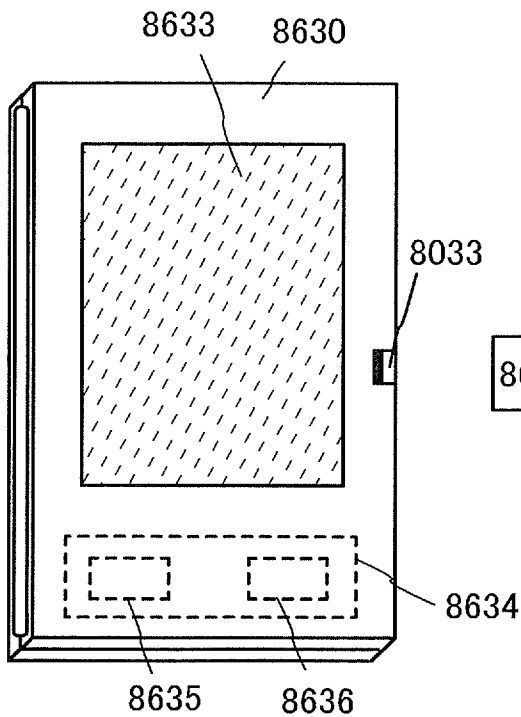


FIG. 6C

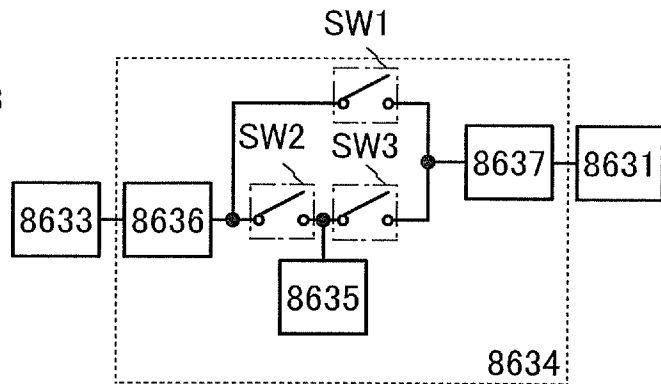




FIG. 7A

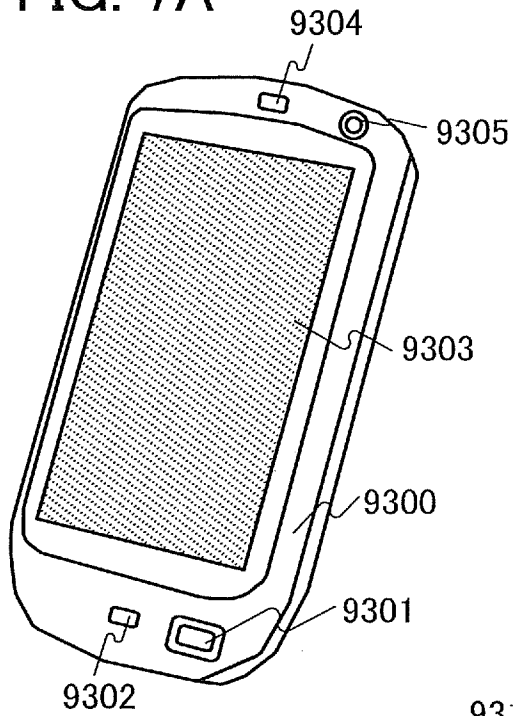


FIG. 7B

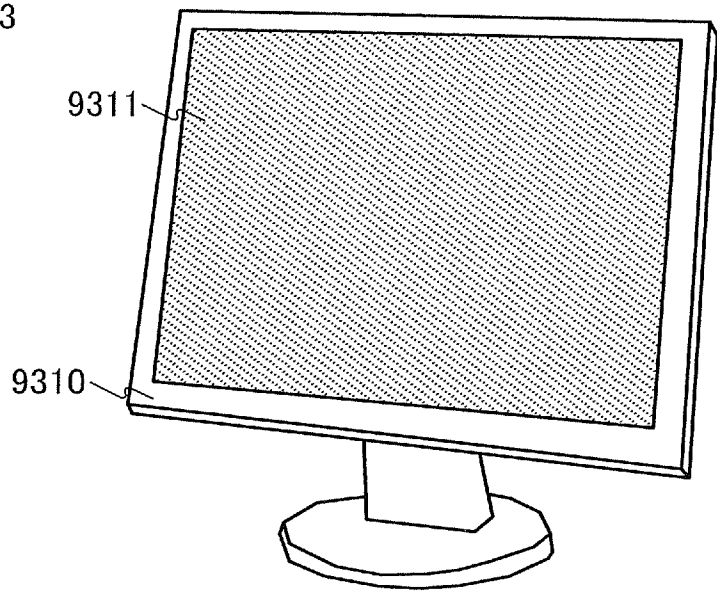


FIG. 7C

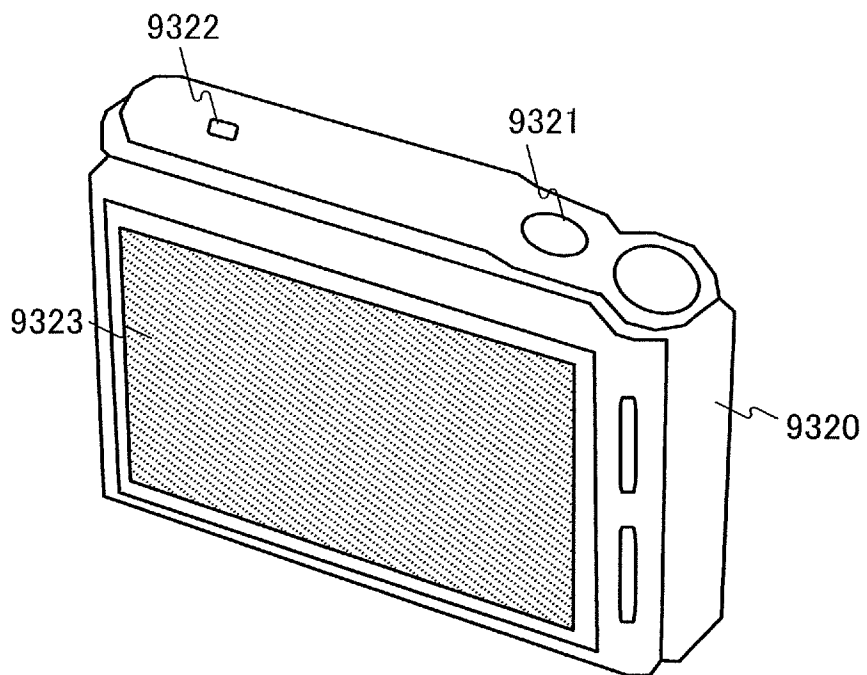


FIG. 8

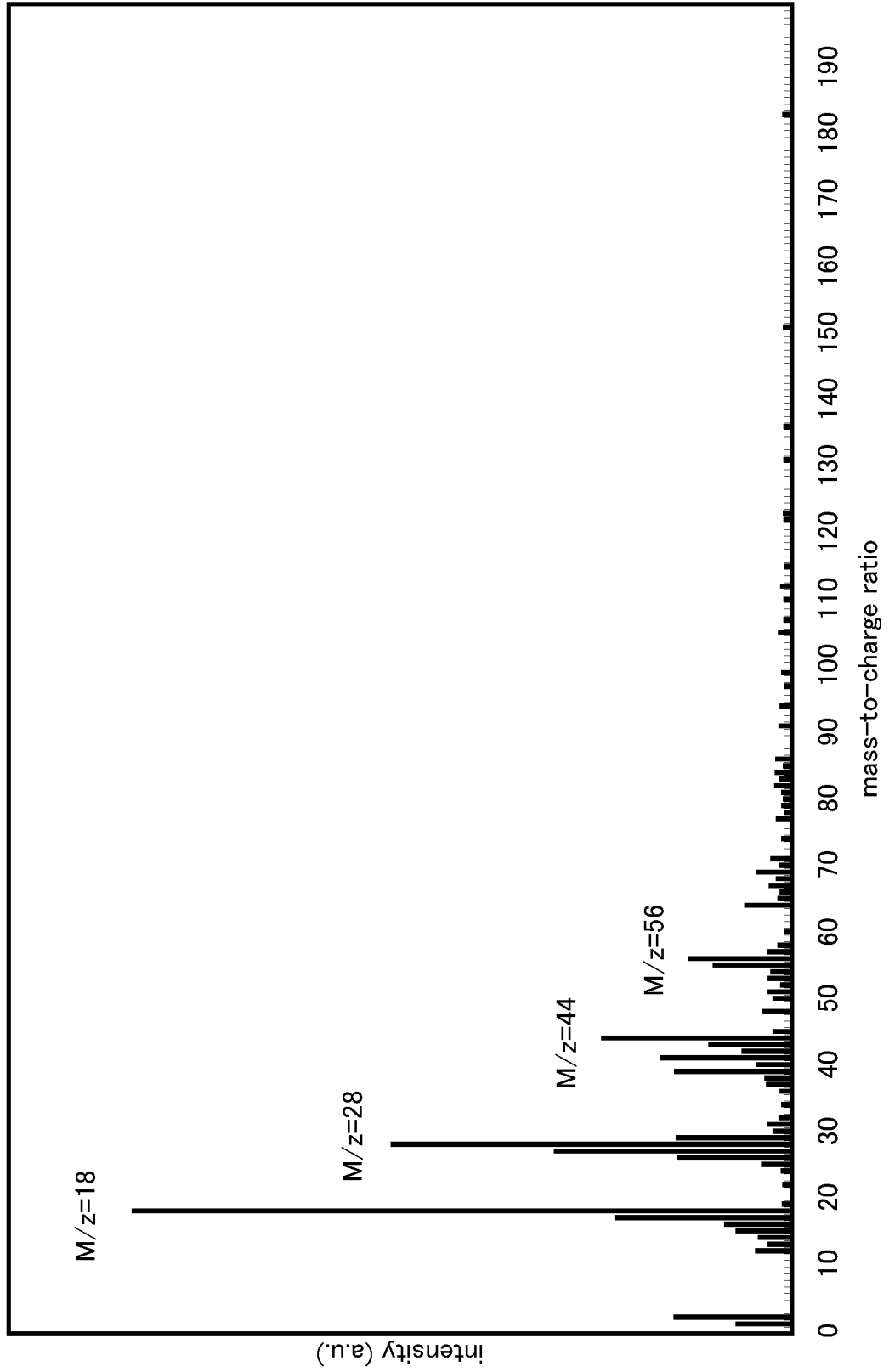


FIG. 9

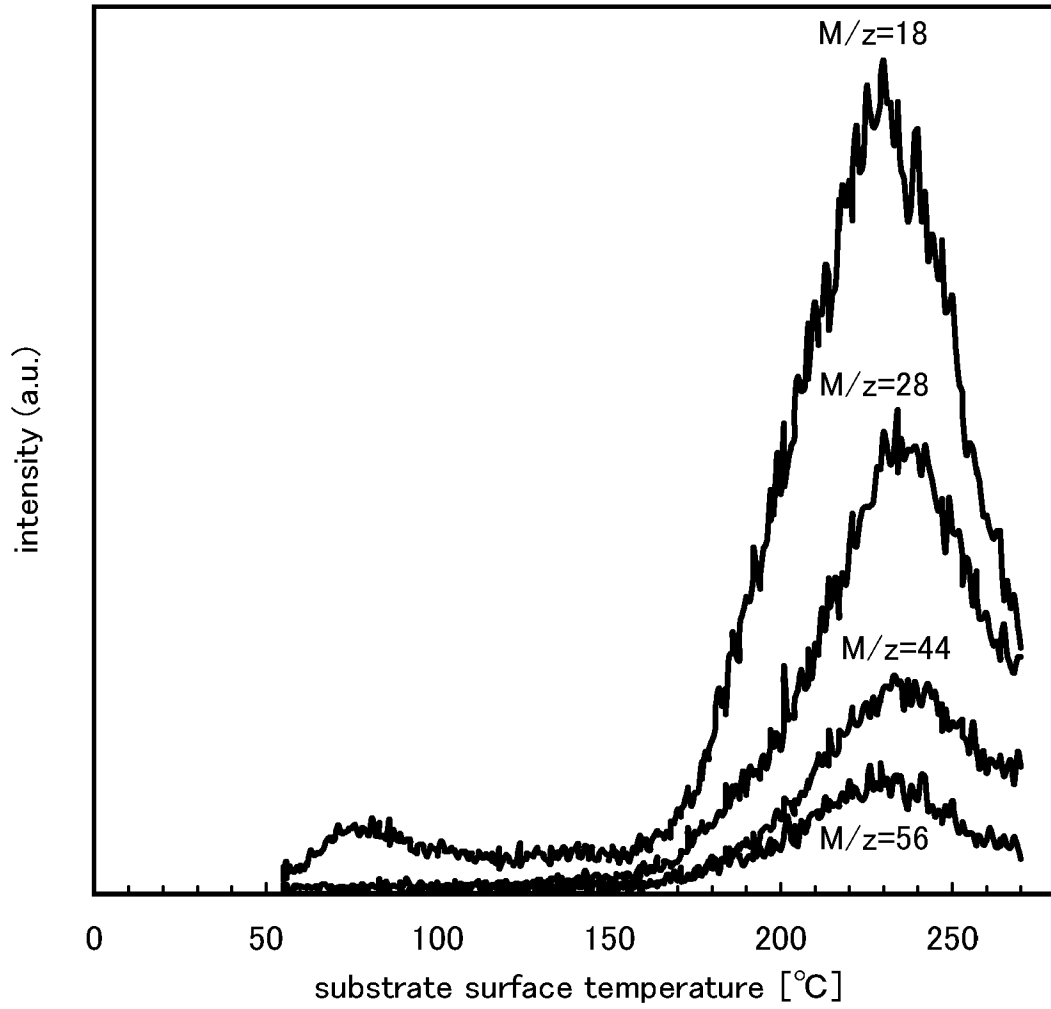


FIG. 10

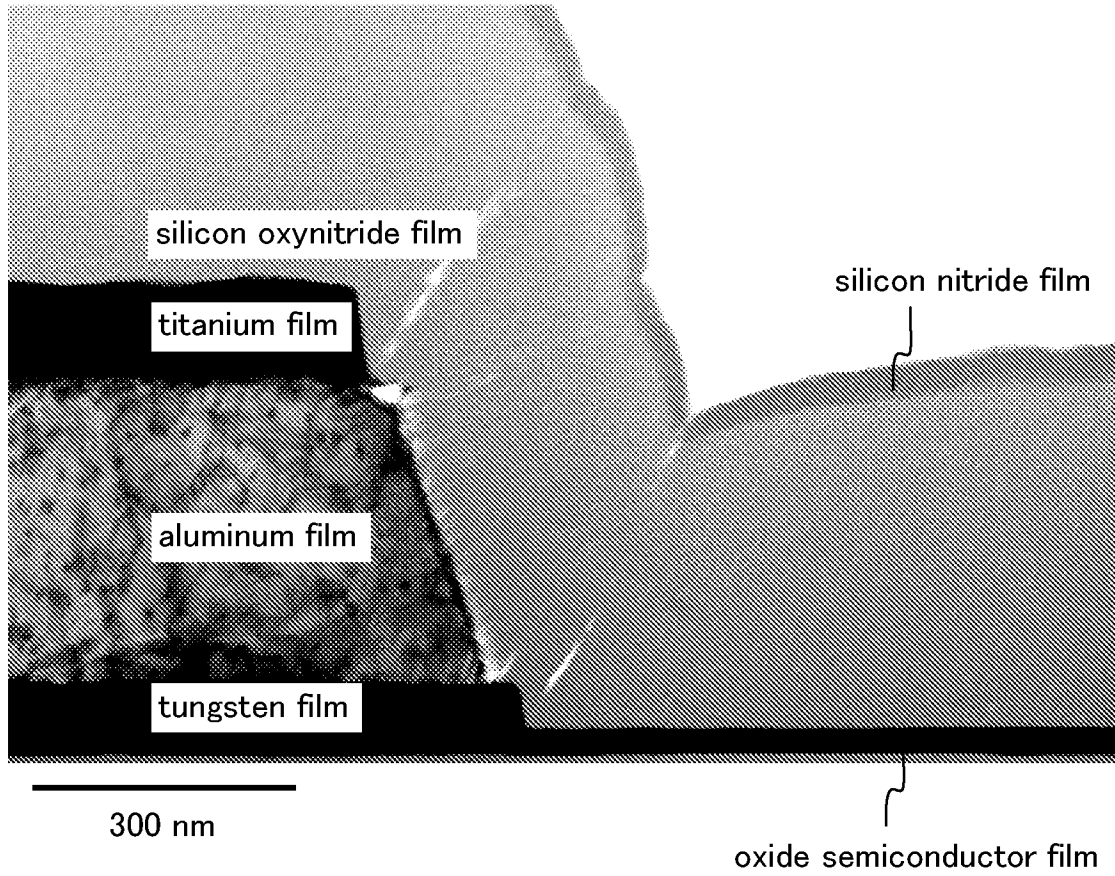


FIG. 11A

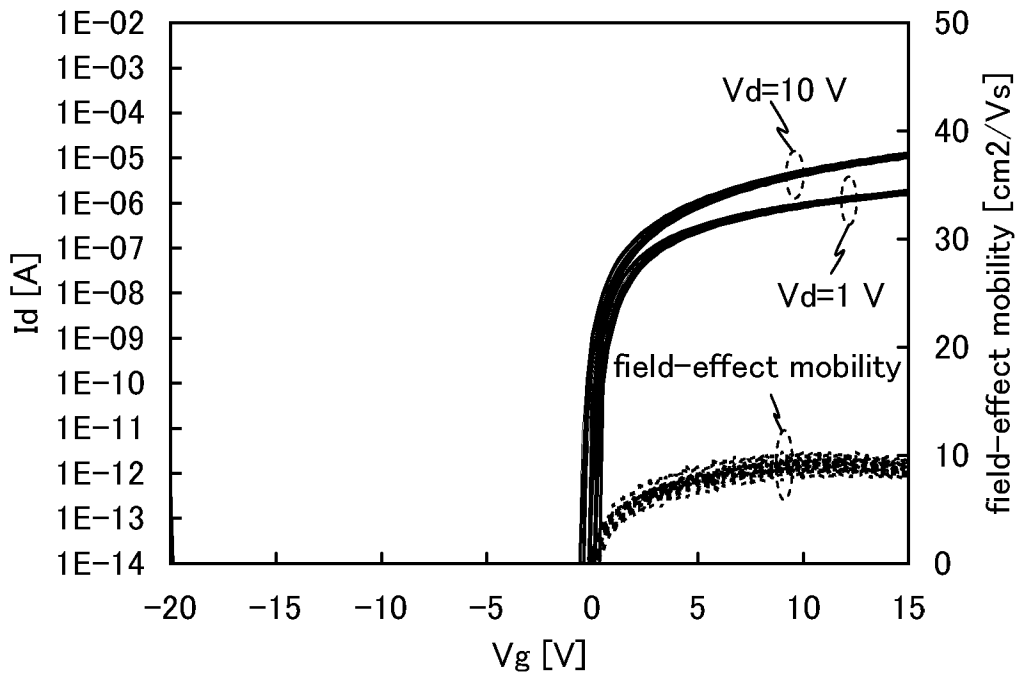
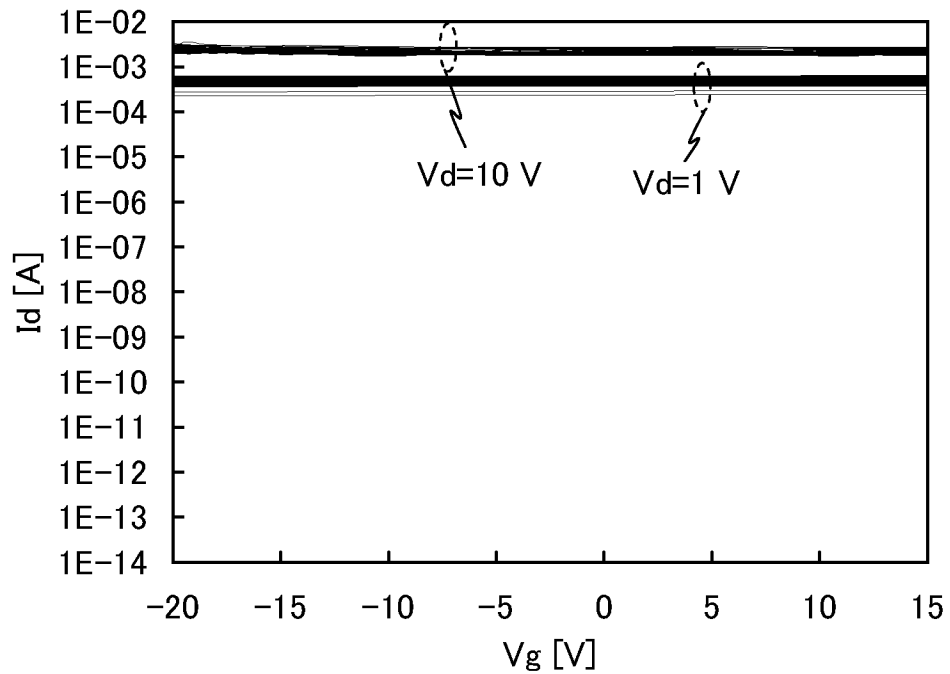


FIG. 11B



## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	
<b>Filing Date:</b>	
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu Hosaka
<b>Filer:</b>	Eric J. Robinson/Sue Ann Carr
<b>Attorney Docket Number:</b>	0756-10194

Filed as Large Entity

### Utility under 35 USC 111(a) Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Basic Filing:</b>				
Utility application filing	1011	1	280	280
Utility Search Fee	1111	1	600	600
Utility Examination Fee	1311	1	720	720

**Pages:**

**Claims:**

**Miscellaneous-Filing:**

**Petition:**

**Patent-Appeals-and-Interference:**

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>1600</b>

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	16263511
<b>Application Number:</b>	13939323
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2340
<b>Title of Invention:</b>	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE
<b>First Named Inventor/Applicant Name:</b>	Yasuharu Hosaka
<b>Customer Number:</b>	31780
<b>Filer:</b>	Eric J. Robinson/Sue Ann Carr
<b>Filer Authorized By:</b>	Eric J. Robinson
<b>Attorney Docket Number:</b>	0756-10194
<b>Receipt Date:</b>	11-JUL-2013
<b>Filing Date:</b>	
<b>Time Stamp:</b>	11:12:19
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

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RAM confirmation Number	9801
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /zip (if appl.)	Pages (if appl.)



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<b>Information:</b>					
5	Assignee showing of ownership per 37 CFR 3.73.	373c_STATEMENT.pdf	1207791 de7943e95c95532e0fddba5cf9ce0cb99a9c4973	no	7
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		Abstract	59	59	
		Drawings-other than black and white line drawings	60	70	
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<b>Total Files Size (in bytes):</b>			19262522		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					