#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of:Yasuharu Hosaka et al.U.S. Patent No.:9,298,057Attorney Docket No.: 12732-1925IP1Issue Date:March 29, 2016Appl. Serial No.:13/939,323Filing Date:July 11, 2013Title:DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING<br/>THE DISPLAY DEVICE

#### **Mail Stop Patent Board**

Patent Trial and Appeal Board U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### **Declaration of Jacob Robert Munford**

- My name is Jacob Robert Munford. I am over the age of 18, have personal knowledge of the facts set forth herein, and am competent to testify to the same.
- 2. I earned a Master of Library and Information Science (MLIS) from the University of Wisconsin-Milwaukee in 2009. I have over ten years of experience in the library/information science field. Beginning in 2004, I have served in various positions in the public library sector including Assistant Librarian, Youth Services Librarian and Library Director. I have attached my Curriculum Vitae as Appendix A.
- 3. During my career in the library profession, I have been responsible for materials acquisition for multiple libraries. In that position, I have cataloged, purchased and processed incoming library works. That includes purchasing materials directly from vendors, recording publishing data from the material in question, creating detailed material records for library catalogs and physically preparing that material for circulation. In addition to my experience in acquisitions, I was also responsible for analyzing large collections of library materials, tailoring library records for optimal catalog

search performance and creating lending agreements between libraries during my time as a Library Director.

- 4. I am fully familiar with the catalog record creation process in the library sector. In preparing a material for public availability, a library catalog record describing that material would be created. These records are typically written in Machine Readable Catalog (herein referred to as MARC) code and contain information such as a physical description of the material, metadata from the material's publisher and date of library acquisition. In particular, the 008 field of the MARC record is reserved for denoting the creation of the library record itself. As this typically occurs during the process of preparing materials for public access, it is my experience that an item's MARC record accurately indicates the date of an item's public availability.
- I have reviewed Exhibit SEL2004, a book by John F. Wager entitled *Transparent Electronics* published by Springer in 2008.
- 6. Attached hereto as Appendix WA01 is a true and correct copy of scans of the cover, publishing data, title page and table of contents for *Transparent*

*Electronics* from the University of Pittsburgh. I secured these scans from the library's onsite holdings.

- In comparing Appendix WA01 to Exhibit SEL2004, it is my determination that Exhibit SEL2004 is a true and correct copy of *Transparent Electronics* by John F. Wager.
- 8. Attached hereto as Appendix WA02 is a true and correct copy of the MARC record for *Transparent Electronics* from the University of Pittsburgh's library. I secured this record from the library's online catalog.
- 9. The 008 field of *Transparent Electronics* MARC record included in Appendix WA02 indicates that *Transparent Electronics* was first recorded by University of Pittsburgh as of June 19, 2008. Based on this information, it is my determination that *Transparent Electronics* would have been made accessible and publicly available soon after it was received on June 19, 2008.
- 10.I have reviewed Exhibit SEL2008, a book by S.M. Sze entitled *Physics of Semiconductor Devices* published by Wiley in 1981.

- 11. Attached hereto as Appendix SZ01 is a true and correct copy of scans of the cover, publishing data, title page and table of contents for *Physics of Semiconductor Devices* from the University of Pittsburgh. I secured these scans from the library's onsite holdings.
- 12.In comparing Appendix SZ01 to Exhibit SEL2008, it is my determination that Exhibit SEL2008 is a true and correct copy of *Physics of Semiconductor Devices* by S.M. Sze.
- 13.Attached hereto as Appendix SZ02 is a true and correct copy of the MARC record for *Physics of Semiconductor Devices* from the University of Pittsburgh's library. I secured this record from the library's online catalog.
- 14. The 008 field of *Physics of Semiconductor Devices* MARC record included in Appendix SZ02 indicates that *Physics of Semiconductor Devices* was first recorded by University of Pittsburgh as of January 26, 1981. Based on this information, it is my determination that *Physics of Semiconductor Devices* would have been made accessible and publicly available soon after it was received on January 26, 1981.

- 15.I have reviewed Exhibit SEL2009, a book by Jean-Pierre Colinge entitled *Physics of Semiconductor Devices* published by Springer in 2006.
- 16.Attached hereto as Appendix CO01 is a true and correct copy of scans of the cover, publishing data, title page and table of contents for *Physics of Semiconductor Devices* from Carnegie-Mellon University. I secured these scans from the library's onsite holdings.
- 17.In comparing Appendix CO01 to Exhibit SEL2009, it is my determination that Exhibit SEL2009 is a true and correct copy of *Physics of Semiconductor Devices* by Jean-Pierre Colinge.
- 18.Attached hereto as Appendix CO02 is a true and correct copy of the MARC record for *Physics of Semiconductor Devices* from Carnegie-Mellon University's library. I secured this record from the library's online catalog.
- 19. The 008 field of *Physics of Semiconductor Devices* MARC record included in Appendix CO02 indicates that *Physics of Semiconductor Devices* was first recorded by Carnegie-Mellon University as of January 19, 2006. Based on this information, it is my determination that *Physics of Semiconductor*

*Devices* would have been made accessible and publicly available soon after it was received on January 19, 2006.

- 20.I have reviewed Exhibit SEL2010, a book edited by John Daintith entitled *A Dictionary of Chemistry* published by Oxford University Press in 2008.
- 21.Attached hereto as Appendix DA01 is a true and correct copy of scans of the cover, publishing data, title page and table of contents for *A Dictionary of Chemistry* from the Carnegie Library of Pittsburgh. I secured these scans from the library's onsite holdings.
- 22.In comparing Appendix DA01 to Exhibit SEL2010, it is my determination that Exhibit SEL2010 is a true and correct copy of *A Dictionary of Chemistry* by John Daintith.
- 23.Attached hereto as Appendix DA02 is a true and correct copy of the MARC record for *A Dictionary of Chemistry* from the Carnegie Library of Pittsburgh's library. I secured this record from the library's online catalog.
- 24. The 008 field of *A Dictionary of Chemistry* MARC record included in Appendix DA02 indicates that *A Dictionary of Chemistry* was first recorded

by the Carnegie Library of Pittsburgh as of June 26, 2008. Based on this information, it is my determination that *A Dictionary of Chemistry* would have been made accessible and publicly available soon after it was received on June 26, 2008.

- 25.I have reviewed Exhibit SEL2011, a book entitled *McGraw-Hill Dictionary* of Scientific and Technical Terms published by McGraw-Hill in 2002.
- 26.Attached hereto as Appendix MC01 is a true and correct copy of the MARC record for *McGraw-Hill Dictionary of Scientific and Technical Terms* from George Mason University's library. I secured this record from the library's online catalog.
- 27.In comparing the description included within the MARC record of Appendix MC01 to Exhibit SEL2011, it is my determination that Exhibit SEL2011 is a true and correct copy of *McGraw-Hill Dictionary of Scientific and Technical Terms*.
- 28. The 008 field of *McGraw-Hill Dictionary of Scientific and Technical Terms* MARC record included in Appendix MC01 indicates that *McGraw-Hill*

*Dictionary of Scientific and Technical Terms* was first recorded by George Mason University as of June 19, 2002. Based on this information, it is my determination that *McGraw-Hill Dictionary of Scientific and Technical Terms* would have been made accessible and publicly available soon after it was received on June 19, 2002.

- 29. I have been retained on behalf of the Patent Owner to provide assistance in the above-illustrated matter in establishing the authenticity and public availability of the documents discussed in this declaration. I am being compensated for my services in this matter at the rate of \$100.00 per hour plus reasonable expenses. My statements are objective, and my compensation does not depend on the outcome of this matter.
- 30.I declare under penalty of perjury that the foregoing is true and correct. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Dated: 12/5/18

// 1 -

Jacob Robert Munford

## APPENDIX A IPR2018-01405

Appendix A - Curriculum Vitae

#### Education

University of Wisconsin-Milwaukee - MS, Library & Information Science, 2009 Milwaukee, WI

- Coursework included cataloging, metadata, data analysis, library systems, management strategies and collection development.
- Specialized in library advocacy and management.

Grand Valley State University - BA, English Language & Literature, 2008 Allendale, MI

- Coursework included linguistics, documentation and literary analysis.
- Minor in political science with a focus in local-level economics and government.

**Professional Experience** 

Library Director, February 2013 - March 2015

Dowagiac District Library

Dowagiac, Michigan

- Executive administrator of the Dowagiac District Library. Located in Southwest Michigan, this library has a service area of 13,000, an annual operating budget of over \$400,000 and total assets of approximately \$1,300,000.
- Developed careful budgeting guidelines to produce a 15% surplus during the 2013-2014 & 2014-2015 fiscal years.
- Using this budget surplus, oversaw significant library investments including the purchase of property for a future building site, demolition of existing buildings and building renovation projects on the current facility.
- Led the organization and digitization of the library's archival records.
- Served as the public representative for the library, developing business relationships with local school, museum and tribal government entities.

• Developed an objective-based analysis system for measuring library services - including a full collection analysis of the library's 50,000+ circulating items and their records.

November 2010 - January 2013

Librarian & Branch Manager, Anchorage Public Library

Anchorage, Alaska

- Headed the 2013 Anchorage Reads community reading campaign including event planning, staging public performances and creating marketing materials for mass distribution.
- Co-led the social media department of the library's marketing team, drafting social media guidelines, creating original content and instituting long-term planning via content calendars.
- Developed business relationships with The Boys & Girls Club, Anchorage School District and the US Army to establish summer reading programs for children.

June 2004 - September 2005, September 2006 - October 2013

Library Assistant, Hart Area Public Library

Hart, MI

- Responsible for verifying imported MARC records and original MARC cataloging for the local-level collection as well as the Michigan Electronic Library.
- Handled OCLC Worldcat interlibrary loan requests & fulfillment via ongoing communication with lending libraries.

Professional Involvement

Alaska Library Association - Anchorage Chapter

• Treasurer, 2012

Library Of Michigan

- Level VII Certification, 2008
- Level II Certification, 2013

Michigan Library Association Annual Conference 2014

• New Directors Conference Panel Member

Southwest Michigan Library Cooperative

• Represented the Dowagiac District Library, 2013-2015

**Professional Development** 

Library Of Michigan Beginning Workshop, May 2008 Petoskey, MI

• Received training in cataloging, local history, collection management, children's literacy and reference service.

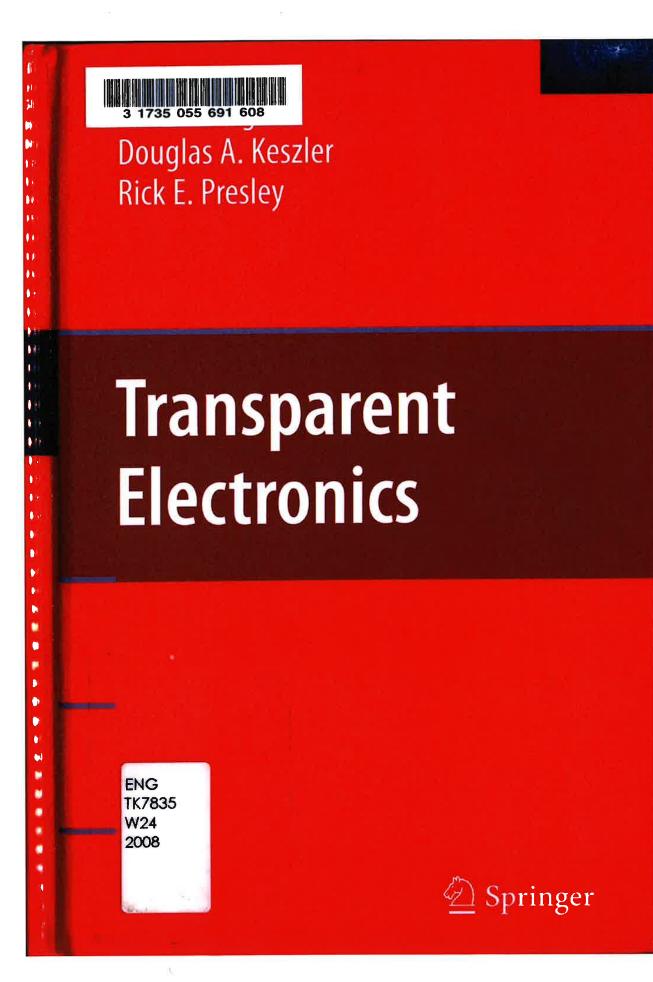
Public Library Association Intensive Library Management Training, October 2011 Nashville, TN

• Attended a five-day workshop focused on strategic planning, staff management, statistical analysis, collections and cataloging theory.

Alaska Library Association Annual Conference 2012 - Fairbanks, February 2012 Fairbanks, AK

• Attended seminars on EBSCO advanced search methods, budgeting, cataloging, database usage and marketing.

# APPENDIX WA01 IPR2018-01405





John F. Wager Douglas A. Keszler Rick F. Preslev

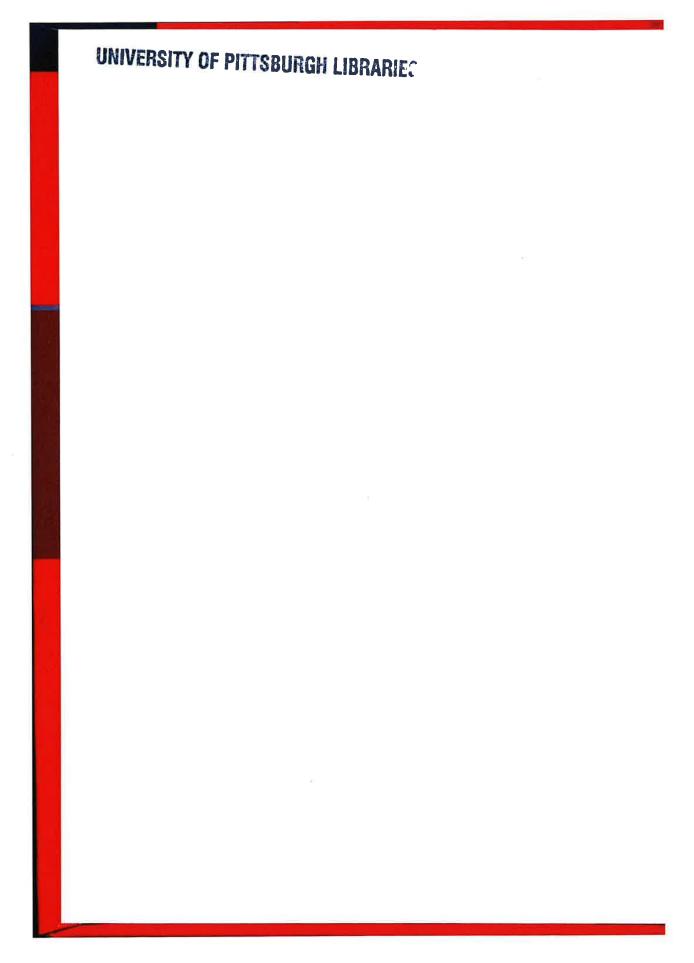
Transparent Electronics Transparent electronics is an emerging technology that employs wide band gap semiconductors for the realization of invisible circuits. This monograph provides the first roadmap for transparent electronics, identifying where the field is, where it is going, and what peeds to happen to move it forward. Although the central focus of this monograph involves transparent electronics, many of the materials, devices, circuits, and process integration strategies discussed herein will be of great interest to researchers working in other emerging fields of optoelectronics and electronics involving printing, large areas, low cost, flexibility wearability, and tashion and design. 1 e ini P. 111 1 0 ł • 4 **6** H 6 . 1.1

Π Π r . . . 1 . . 61 • 4 ... 0 0.1 - 4 2 <u>ه</u> د . .. 0 11

1 . M



> springer.com



## **Transparent Electronics**

### **Transparent Electronics**

#### John F. Wager

School of Electrical Engineering and Computer Science Oregon State University 1148 Kelley Engineering Center Corvallis, Oregon 97331-5501

#### **Douglas A. Keszler**

Department of Chemistry Oregon State University 010/153A Gilbert Hall Corvallis, Oregon 97331-4003

#### **Rick E. Presley**

School of Electrical Engineering and Computer Science Oregon State University 1148 Kelley Engineering Center Corvallis, Oregon 97331-5501



John F. Wager Oregon State University School of Electrical Engineering & Computer Science 1148 Kelley Engineering Center Corvallis, OR 97331-5501

Douglas A. Keszler Oregon State University Department of Chemistry 010/153A Gilbert Hall Corvallis, OR 97331-4003

Rick E. Presley Oregon State University School of Electrical Engineering & Computer Science 1148 Kelley Engineering Center Corvallis, OR 97331-5501

ISBN 978-0-387-72341-9 e-ISBN 978-0-387-72342-6

Library of Congress Control Number: 2007932718

© 2008 Springer Science+Business Media, LLC

All rights reserved. This work may not be translated or copied in whole or in part without the written permission of the publisher (Springer Science+Business Media, LLC, 233 Spring Street, New York, NY 10013, USA), except for brief excerpts in connection with reviews or scholarly analysis. Use in connection with any form of information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now know or hereafter developed is forbidden. The use in this publication of trade names, trademarks, service marks and similar terms, even if they are not identified as such, is not to be taken as an expression of opinion as to whether or not they are subject to proprietary rights.

Printed on acid-free paper.

987654321

springer.com

### **Table of Contents**

e,

Prefacevii
1 Introduction1
1.1 A technology in a hurry1
1.2 Pre-history
1.2.1 Transparent conducting oxides (TCOs)
1.2.2 Thin-film transistors (TFTs)
1.3 The stage is now set
2 A Review of Prior Work9
2.1 Origins
2.1.1 Transparent electronics - 2003
2.1.2 Transparent electronics - 2004
2.1.3 Transparent electronics - 2005
2.1.4 Transparent electronics - 2006
2.2 Perspective & Outlook
3 Applications
3.1 Looking into a crystal ball
3.2 A technology appraisal
3.2 A technology appraisal393.3 An application smorgasbord44
3.2 A technology appraisal393.3 An application smorgasbord443.4 Applications in retrospective56
3.3 An application smorgasbord443.4 Applications in retrospective56
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials57
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials574.1 Device components57
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials574.1 Device components574.2 n-type semiconductor channel materials58
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials574.1 Device components574.2 n-type semiconductor channel materials584.3 Amorphous oxide semiconductors67
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials574.1 Device components574.2 n-type semiconductor channel materials584.3 Amorphous oxide semiconductors674.4 p-type semiconductors71
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials574.1 Device components574.2 n-type semiconductor channel materials584.3 Amorphous oxide semiconductors674.4 p-type semiconductors714.4.1 Copper oxides and chalcogenides71
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials574.1 Device components574.2 n-type semiconductor channel materials584.3 Amorphous oxide semiconductors674.4 p-type semiconductors71
3.3 An application smorgasbord443.4 Applications in retrospective564 Materials574.1 Device components574.2 n-type semiconductor channel materials584.3 Amorphous oxide semiconductors674.4 p-type semiconductors714.4.1 Copper oxides and chalcogenides714.4.2 Rhodium oxides76

VI	lable	of Con	tents

	78
4.5 Dielectrics 4.5.1 Gate dielectrics	78
4.5.2 Interlevel dielectrics	82
	52
5 Devices	83
5.1 Transparent electronics devices	83
5.2 Passive, linear devices	84
5.2.1 Resistors	34
5.2.2 Capacitors	37
5.2.3 Inductors	20
5.3 Two-terminal devices	91
5.3.1 pn junctions	91
5.3.2 Schottky barriers	)4
5.3.3 Heterojunctions	12
5.3.4 Metal-insulator-semiconductor (MIS) capacitors	)5
5.4 Transparent thin-film transistors (TTFTs) 11	0
5.4.1 Ideal behavior	1
5.4.2 Non-ideal behavior	5
5.4.3 Device stability	88
5.4.4 Alternative TTFT device types	14
5.5 Alternative transistors	18
	0
6 Transparent Circuits15	;3
6.1 Introduction	
6.2 Exemplary transparent circuit process flow	כי
1) in the parent of care process now	3
6.2.1 Transparent ring oscillator process flow	3
6.2.1 Transparent ring oscillator process flow	3 3 6
6.2.1 Transparent ring oscillator process flow	3 3 6
6.2.1 Transparent ring oscillator process flow	i3 i3 i6 i0
6.2.1 Transparent ring oscillator process flow	i3 i3 i6 i0 i0 3
6.2.1 Transparent ring oscillator process flow	i3 i6 i0 i0 i3 i4
6.2.1 Transparent ring oscillator process flow	i3 i6 i0 i0 i3 i4
6.2.1 Transparent ring oscillator process flow	i3 i6 i0 i0 i3 i4 i5
6.2.1 Transparent ring oscillator process flow	i3 i3 i6 i0 i0 i0 i3 i4 i5 i8
6.2.1 Transparent ring oscillator process flow	i3 i3 i6 i0 i0 i3 i4 i5 i8 i8 i8
6.2.1 Transparent ring oscillator process flow	i3 i6 i0 i0 i0 i0 i0 i0 i0 i0 i0 i0 i0 i0 i0
6.2.1 Transparent ring oscillator process flow	i3 i3 i6 i0 i0 i3 i4 i5 i8 i8 i2 i3 i3 i6 i0 i3 i4 i5 i8 i8 i2 i3 i3 i3 i6 i0 i3 i4 i5 i8 i8 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9
6.2.1 Transparent ring oscillator process flow	i3 i3 i6 i0 i0 i3 i4 i5 i8 i8 i2 i3 i3 i6 i0 i3 i4 i5 i8 i8 i2 i3 i3 i3 i6 i0 i3 i4 i5 i8 i8 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9 i9
6.2.1 Transparent ring oscillator process flow	i3 i6 i0 i0 i3 i4 i5 i8 i8 i2 i3 i3 i6 i0 i0 i3 i4 i5 i8 i8 i2 i3 i3 i3 i6 i0 i0 i3 i4 i5 i8 i8 i8 i8 i2 i i i i i i i i i i i i
6.2.1 Transparent ring oscillator process flow	i3 i6 i0 i0 i3 i4 i5 i8 i8 i2 i3 i3 i6 i0 i0 i3 i4 i5 i8 i8 i2 i3 i3 i3 i6 i0 i0 i3 i4 i5 i8 i8 i8 i8 i2 i i i i i i i i i i i i
6.2.1 Transparent ring oscillator process flow	i3 i6 i0 i0 i3 i4 i5 i8 i8 i2 i3 i9

#### 2 1 Introduction

ent electronics 'killer apps' are admittedly either not yet well-defined or are presently unrealizable due to current limitations in transparent electronics or in a requisite auxiliary technology. However, this topical ordering inversion is meant to be intentionally provocative. Since transparent electronics is a nascent technology, we believe that its development will be most rapidly and efficiently accomplished if it is strongly applicationdriven, and if it is undertaken in a parallel fashion in which materials, devices, circuits, and system development are pursued concurrently. Hopefully, such a product-driven concurrent development strategy will lead to rapid technology assessment, the identification of new and most-likely unexpected applications, and an expeditious commercial deployment of this technology.

#### 1.2 Pre-history

Two primary technologies which preceded and underlie transparent electronics are briefly overviewed. These topics are transparent conductive oxides (TCOs) and thin-film transistors (TFTs).

#### 1.2.1 Transparent conducting oxides (TCOs)

TCOs constitute an unusual class of materials possessing two physical properties - high optical transparency and high electrical conductivity - that are generally considered to be mutually exclusive (Hartnagel et al. 1995). This peculiar combination of physical properties is only achievable if a material has a sufficiently large energy band gap so that it is non-absorbing or transparent to visible light, i.e., > 3.1 eV, and also possesses a high enough concentration of electrical carriers, i.e., an electron or hole concentration  $> -10^{19}$  cm<sup>-3</sup>, with a sufficiently large mobility, > -1 cm<sup>2</sup> V<sup>-1</sup>s<sup>-1</sup>, that the material can be considered to be a 'good' conductor of electricity.

The three most common TCOs are indium oxide  $In_2O_3$ , tin oxide  $SnO_2$ , and zinc oxide ZnO, the basic electrical properties of which are summarized in Table 1.1. All three of these materials have band gaps above that required for transparency across the full visible spectrum.

Note that although the TCOs listed in Table 1.1 are considered to be 'good' conductors from the perspective of a semiconductor, they are actually very poor conductors compared to metals. For example, the conduc-

tivities of tungsten W, aluminum Al, and copper Cu, are approximately 100,000, 350,000, and 600,000 S cm<sup>-1</sup>, indicating that the best  $In_2O_3$  conductivity (for indium tin oxide or ITO) is about a factor of 10 to 60 lower than that of a typical integrated circuit contact metal. The low conductance of TCOs compared to metals has important consequences for both TCO and transparent electronics applications, some of which are explored in this book. The theoretical absolute limit of the conductivity for a TCO has been estimated to be 25,000 S cm<sup>-1</sup> (Bellingham 1992).

(/-			1 0 0	
Material	Bandgap (eV)	Conductivity (S cm <sup>-1</sup> )	Electron concentration (cm <sup>-3</sup> )	Mobility (cm <sup>2</sup> V <sup>-1</sup> s <sup>-1</sup> )
In <sub>2</sub> O <sub>3</sub>	3.75	10,000	>10 <sup>21</sup>	35
ZnO	3.35	8,000	>10 <sup>21</sup>	20
SnO <sub>2</sub>	3.6	5,000	>10 <sup>20</sup>	15

 Table 1.1. Electrical properties of common transparent conducting oxides

 (TCOs) Conductivities reported are for best-case polycrystalline films.

Returning to Table 1.1, notice that all three of the TCOs included in this table are n-type, i.e., conductivity is a consequence of electron transport, and that the electron carrier concentration is strongly degenerate, i.e., the electron density exceeds that of the conduction effective band density of states by an appreciable amount (Pierret 1996; Sze and Ng 2007). All of the well-known and commercially relevant TCOs are n-type. p-type TCOs are a relatively new phenomenon and their conductivity performance is quite poor compared to that of n-type TCOs. To a large extent, the poor conductivity of p-type TCOs is due to the very low mobility of these materials, typically less than ~1 cm<sup>2</sup> V<sup>-1</sup>s<sup>-1</sup>, compared to mobilities in the range of ~10-40 cm<sup>2</sup> V<sup>-1</sup>s<sup>-1</sup> for n-type TCOs.

The n-type mobilities indicated in Table 1.1 are quite small compared to those representative single crystal silicon materials and devices, which range from ~250-1,500 cm<sup>2</sup> V<sup>-1</sup>s<sup>-1</sup>. However, this mobility comparison between TCOs and single crystal silicon is a bit misleading since single crystal silicon mobility is not usually specified at doping concentrations as large as those typical of TCOs. In fact, it is reported that single crystal silicon mobility is independent of doping concentration above ~10<sup>19</sup> cm<sup>-3</sup>, with an electron mobility of ~90 cm<sup>2</sup> V<sup>-1</sup>s<sup>-1</sup> and a hole mobility of ~50 cm<sup>2</sup> V<sup>-1</sup>s<sup>-1</sup> (Baliga 1995). A low mobility at high carrier concentrations is, to a large extent, a consequence of intense ionized impurity scattering associated with high doping concentrations (Hartnagel et al.1995).

			211		/		7
			271	6466	9		
	×						
8							
					3		
			DATE	DUE			
						•C	
						57	
		<u></u>				5	
						8	
		-				-	
		GAYLORD	1		PRINTED IN U.S.A.		

# APPENDIX WA02 IPR2018-01405

11/08/0019 Stoff Info		
11/28/2018 Staff Info PITTCat Online Catalog of the University of Pittsburgh Libraries	E-Z Borrow Find Articles Ask Us	Help Other Libraries Library Home Page
	ASK 05	Library Home Page
Search Back To Titles Edit Search Get it!	My Account	
Search Request: Title = transparent electronics Search Results: Displaying 1 of 3 entries		
Next 🕨		
Brief Info Detailed Info Staff Info		
Transparent electronics John F. Wager, Douglas A. Kesz	ler, Rick E. Presley	1.
000 04337cam a22003617a 450 001 6586590 005 20170413163643.0 006 m d 007 cr n 008 080619s2008 nyua sb 001 0 eng d 015  a GBA774913  2 bnb 015  a 07,N30,1209  2 dnb 016 7_  a 013950172  2 Uk 016 7_  a 94808140  2 DE-101 020  a 9780387723419 (hbk. : acid-free paper) 020  a 0387723412 (hbk. : acid-free paper) 035  a (WaSeSS)ssj0000261722 040  a UKM  c UKM  d YDXCP  d BTCTA  d BAKER  d ORE  d OHX  d CUS  d DLC  d WaSeSS 042  a lccopycat 050 00  a TK7835  b .W284 2008 082 04  a 621.38152  2 22 100 1_  a Wager, John F.  q (John Fisher) 245 10  a Transparent electronics  h [electronic resource] /  c John F. Wager, Do 260  a New York :  b Springer,  c c2008.	d VLB <b> d</b> DEBSZ <b> d</b>	HDC <b> d</b> OCLCO <b> d</b>
<ul> <li>300 [a viii, 212 p. ; [b ill. ; [c 24 cm.</li> <li>504 [a Includes bibliographical references (p. [189]-208) and index.</li> <li>505 00 [g 1.1 ]t A technology in a hurry [g 1 [g 1.2 ]t Pre-history [g 2 [g (TCOs) ]g 2 [g 1.2.2 ]t Thin-film transistors (TFTs) [g 5 [g 1.3 ]t The s Review of Prior Work [g 9 [g 2.1 ]t Origins [g 9 [g 2.1.1 ]t Transparent electronics 2004 [g 17 [g 2.1.3 ]t Transparent electronic Transparent electronics 2006 [g 30 [g 2.2 ]t Perspective &amp; Outlook [g 3.1 ]t Looking into a crystal ball [g 39 [g 3.2 ]t A technology appraisal [g smorgasbord [g 44 [g 3.4 ]t Applications in retrospective [g 56 [g 4.1 ]t components [g 57 [g 4.2 ]t n-type semiconductors [g 71 [g 4.4.1 ]t</li> </ul>	stage is now set   g t electronics 2003 cs 2005   g 25 37   g 3   t Applica g 39   g 3.3   t An t Materials   g 57 8   g 4.3   t Amorg	8  g 2  t A 3  g 13  g 2.1.2  g 2.1.4  t application  g 4.1  t Device bhous oxide

https://pittcat.pitt.edu/cgi-bin/Pwebrecon.cgi?v3=1&ti=1,1&SEQ=20181128171734&Search%5FArg=transparent%20 electronics&Search%5FCode=TA... 1/2

#### Staff Info

71 -- |g 4.4.2 |t Rhodium oxides |g 76 -- |g 4.4.3 |t Nanomaterials |g 77 -- |g 4.4.4 |t Prospects for p-type semiconductors in transparent electronics |g 77 -- |g 4.5 |t Dielectrics |g 78 -- |g 4.5.1 |t Gate dielectrics |g 78 -- |g 4.5.2 |t Interlevel dielectrics |g 82 -- |g 5 |t Devices |g 83 -- |g 5.1 |t Transparent electronics devices |g 83 -- |g 5.2 |t Passive, linear devices |g 84 -- |g 5.2.1 |t Resistors |g 84 -- |g 5.2.2 |t Capacitors |g 87 -- |g 5.2.3 |t Inductors |g 89 -- |g 5.3 |t Two-terminal devices |g 91 -- |g 5.3.1 |t pn junctions |g 91 -- |g 5.3.2 |t Schottky barriers |g 94 -- |g 5.3 |t Two-terminal devices |g 91 -- |g 5.3.4 |t Metal-insulator-semiconductor (MIS) capacitors |g 105 -- |g 5.4 |t Transparent thin-film transistors (TTFTs) |g 110 -- |g 5.4.1 |t Ideal behavior |g 111 -- |g 5.4.2 |t Non-ideal behavior |g 115 -- |g 5.4.3 |t Device stability |g 138 -- |g 5.4.4 |t Alternative TTFT device types |g 144 -- |g 5.5 |t Alternative transistors |g 148 -- |g 6 |t Transparent Circuits |g 153 -- |g 6.3 |t Exemplary transparent circuits |g 160 -- |g 6.3.1 |t Transparent inverters and ring oscillators |g 160 -- |g 6.3.2 |t Full-wave rectifier |g 163 -- |g 6.3.3 |t Level-shifting circuits |g 164 -- |g 6.3.4 |t AMLCD transparent switch |g 165 -- |g 6.3.5 |t AMOLED backplane |g 168 -- |g 6.3.6 |t Transparent charge-coupled devices (CCDs) |g 178 -- |g 6.4 |t Barely scratching the surface |g 182 -- |g 7 |t The Path Forward |g 183.

- **520 [a** "Transparent electronics is an emerging technology that employs wide band-gap semiconductors for the realization of invisible circuits. This monograph provides the first roadmap for transparent electronics, identifying where the field is, where it is going, and what needs to happen to move it forward. Although the central focus of this monograph involves transparent electronics, many of the materials, devices, circuits, and process-integration strategies discussed herein will be of great interest to researchers working in other emerging fields of optoelectronics and electronics involving printing, large areas, low cost, flexibility, wearability, and fashion and design." Back cover.
- 650 \_0 a Transparent electronics.
- 700 1\_ |a Keszler, Douglas A., |d 1957-
- 700 1\_ a Presley, Rick E.
- **856** 40 |z Pitt users please click through to access via SpringerLink ebooks Chemistry and Materials Science (2008) |u http://pitt.idm.oclc.org/login?url=https://link.springer.com/openurl?genre=book&isbn=978-0-387-72341-9
- 920 \_\_\_ a Serials Solutions Ebook

#### Next 🕨

Print/Save/Email				
Select Download Format	Print or Save Search to My Ac	count		
Enter your email addres	s:	Email		
Save results for later:	Save to Bookbag			



# APPENDIX SZ01 IPR2018-01405

### R13-M15-S10-T08 31735020957886 Hillman Library - Ask at Hillm Bequest ID: 406777

Pull Date: 2018/11/21 085711 Call No.: TK7871.85 S988 1981 Title: Physics of semiconductor devic

MUNFORD, JACOB R ULSortsyB 2L0002000358535 Req. Date: 2018/11/20 19:18:18

### Do Not Remove This Wrapper

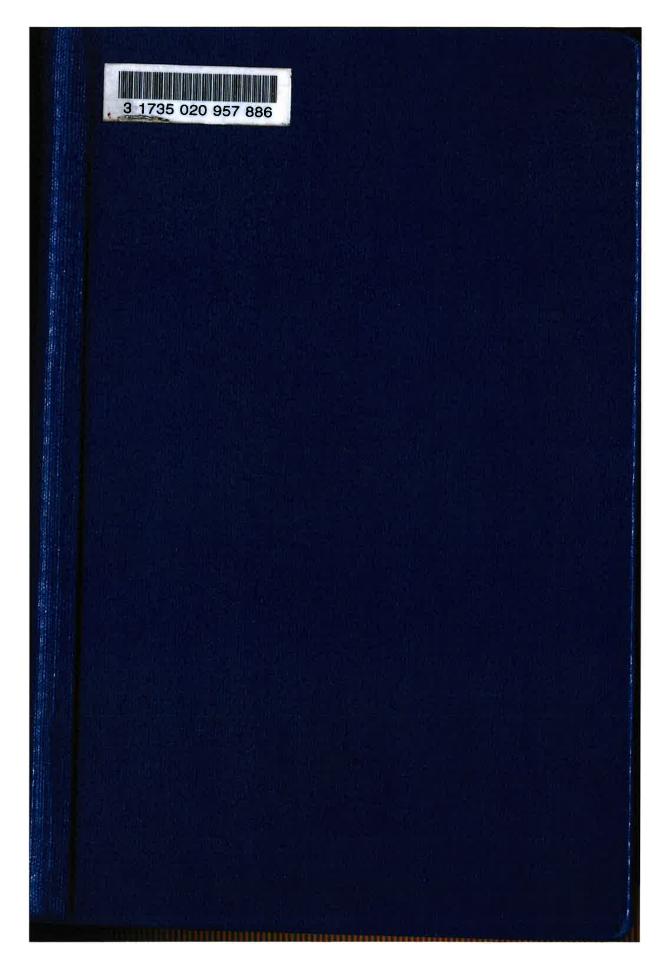


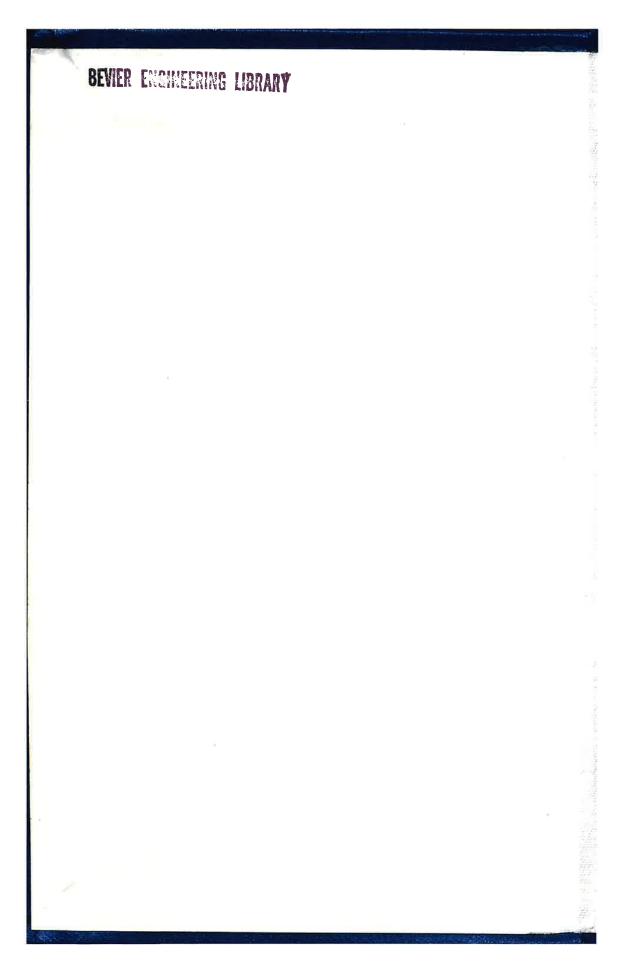
University of Pittsburgh University Library System

### Storage Facility

# CIRCULATING CIRCULATING CIRCULATING CIRCULATING







### Physics of Semiconductor Devices

SECOND EDITION

S. M. Sze Bell Laboratories, Incorporated Murray Hill, New Jersey

A WILEY-INTERSCIENCE PUBLICATION

**JOHN WILEY & SONS** 

New York • Chichester • Brisbane • Toronto • Singapore

### Copyright © 1981 by John Wiley & Sons, Inc.

All rights reserved. Published simultaneously in Canada.

5

732

48

× U

9

Reproduction or translation of any part of this work beyond that permitted by Sections 107 or 108 of the 1976 United States Copyright Act without the permission of the copyright owner is unlawful. Requests for permission or further information should be addressed to the Permissions Department, John Wiley & Sons, Inc.

#### Library of Congress Cataloging in Publication Data:

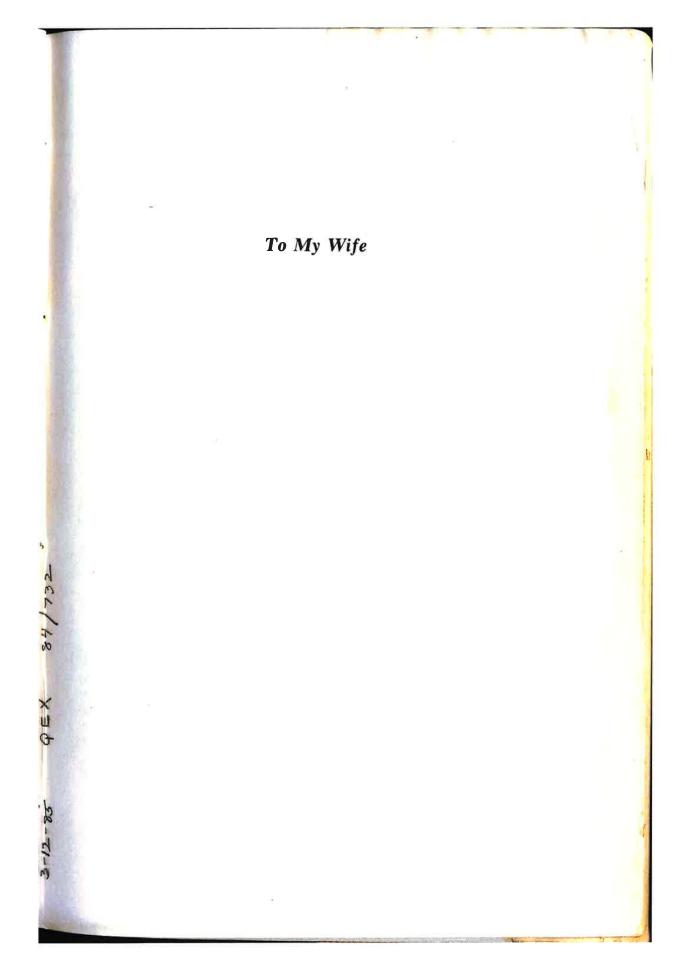
Sze, S. M., 1936-

Physics of semiconductor devices.

"A Wiley-Interscience publication." Includes index. 1. Semiconductors. I. Title.

TK7871.85.S988 1981 ISBN 0-471-05661-8	537.6'22	81–213 AACR2
		AACK2

Printed in the United States of America





INTRODUCTION	1
PART I SEMICONDUCTOR PHYSICS	5
Chapter 1 Physics and Properties of Semiconductors— A Résumé	7
<ol> <li>Introduction, 7</li> <li>Crystal Structure, 8</li> <li>Energy Bands, 12</li> <li>Carrier Concentration at Thermal Equilibrium, 16</li> <li>Carrier Transport Phenomena, 27</li> <li>Phonon Spectra and Optical, Thermal, and High-Field Properties of Semiconductors, 38</li> <li>Basic Equations for Semiconductor Device Operation, 50</li> </ol>	
PART II BIPOLAR DEVICES	61
Chapter 2 p-n Junction Diode	63
<ul> <li>2.1 Introduction, 63</li> <li>2.2 Basic Device Technology, 64</li> <li>2.3 Depletion Region and Depletion Capacitance, 74</li> <li>2.4 Current-Voltage Characteristics, 84</li> <li>2.5 Junction Breakdown, 96</li> <li>2.6 Transient Behavior and Noise, 108</li> <li>2.7 Terminal Functions, 112</li> <li>2.8 Heterojunction, 122</li> </ul>	
	ix

x		Contents
Chapter	3 Bipolar Transistor	133
3.1	Introduction, 133	
3.2	Static Characteristics, 134	
	Microwave Transistor, 156	
	Power Transistor, 169	
	Switching Transistor, 175	
	Related Device Structures, 181	
Chapter	4 Thyristors	190
4.1	Introduction, 190	
	Basic Characteristics, 191	
4.3	Shockley Diode and Three-Terminal Thyristor, 209	
4.4	Related Power Thyristors, 222	
4.5	Diac and Triac, 229	
	Unijunction Transistor and Trigger Thyristors, 234 Field-Controlled Thyristor, 238	
PART III	UNIPOLAR DEVICES	243
Chapter	5 Metal–Semiconductor Contacts	045
		245
5.1	Introduction, 245	
5.2	Energy-Band Relation, 246	
	Schottky Effect, 250	
	Current Transport Processes, 254	
	Characterization of Barrier Height, 270	
	Device Structures, 297	
<b>5.7</b>	Ohmic Contact, 304	
Chapter	6 JFET and MESFET	312
6.1	Introduction, 312	
6.3	General Characteristics, 324	
6.4	Microwave Performance, 341	
6.5	Related Field-Effect Devices, 351	
Çhapter	7 MIS Diode and CCD	362
7.1	Introduction, 362	
	Ideal MIS Diode, 363	
7.2	Si-SiO <sub>2</sub> MOS Diode, 379	
7.3	Charge Counted Dation 407	

7.4 Charge-Coupled Device, 407

Contents		xi
Chapter	8 MOSFET	431
8.1	Introduction, 431	
	Basic Device Characteristics, 433	
8.3	Nonuniform Doping and Buried-Channel Devices, 456	
8.4	Short-Channel Effects, 469	
8.5	MOSFET Structures, 486	
8.6	Nonvolatile Memory Devices, 496	
PART IV	SPECIAL MICROWAVE DEVICES	511
Chapter	9 Tunnel Devices	513
	Introduction, 513	
	Tunnel Diode, 516	
	Backward Diode, 537	
	MIS Tunnel Diode, 540	
	MIS Switch Diode, 549	
	MIM Tunnel Diode, 553	
9.7	Tunnel Transistor, 558	
Chapter	10 IMPATT and Related Transit-Time Diodes	566
	Introduction, 566	
	Static Characteristics, 568	
	Dynamic Characteristics, 577	
	Power and Efficiency, 585	
	Noise Behavior, 599	
	Device Design and Performance, 604	
	BARITT and DOVETT Diodes, 613	
10.8	TRAPATT Diode, 627	
Chapter <sup>·</sup>	11 Transferred-Electron Devices	637
	Introduction, 637	
	Transferred-Electron Effect, 638	
	Modes of Operation, 651	
11.4	Device Performances, 667	
PART V	PHOTONIC DEVICES	679
Chapter 1	2 LED and Semiconductor Lasers	681
12.1	Introduction, 681	
12.2	Radiative Transitions, 682	

- 12.3 Light-Emitting Diodes, 68912.4 Semiconductor Laser Physics, 704
- 12.5 Laser Operating Characteristics, 724

#### **Chapter 13 Photodetectors**

- 13.1 Introduction, 743
- **13.2** Photoconductor, 744
- 13.3 Photodiode, 749
- 13.4 Avalanche Photodiode, 766
- 13.5 Phototransistor, 782

#### Chapter 14 Solar Cells

- 14.1 Introduction, 790
- 14.2 Solar Radiation and Ideal Conversion Efficiency, 791
- **14.3** p-n Junction Solar Cells, 799
- 14.4 Heterojunction, Interface, and Thin-Film Solar Cells, 816
- 14.5 Optical Concentration, 830

#### **APPENDIXES**

- A. List of Symbols, 841
- B. International System of Units, 844
- C. Unit Prefixes, 845
- D. Greek Alphabet, 846
- E. Physical Constants, 847
- F. Lattice Constants, 848
- G. Properties of Important Semiconductors, 849
- H. Properties of Ge, Si, and GaAs at 300 K, 850
- I. Properties of SiO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub> at 300 K, 851

#### INDEX

853

#### xii

## 790

839

#### Heterojunction, Interface, and Thin-Film Solar Cells

be efficiently converted in the low-gap semiconductor. Figure 23 shows the normalized spectral responses of several  $Ga_{1-x}Al_xAs$ -GaAs solar cells, all having the same junction depths and doping levels. As the composition x increases, the bandgap  $E_{g1}$  increases; therefore, the spectral response extends to higher photon energies.

One interesting heterojunction solar cell is the conducting glass-semiconductor heterojunction. The conducting glasses include oxide semiconductors, such as indium oxide (In<sub>2</sub>O<sub>3</sub>, with  $E_g = 3.5 \text{ eV}$  and electron affinity  $\chi = 4.45 \text{ eV}$ ), tin oxide (SnO<sub>2</sub>, with  $E_g = 3.5 \text{ eV}$  and electron affinity  $\chi =$ 4.8 eV), and the indium tin oxide (ITO, a mixture of In<sub>2</sub>O<sub>3</sub> and SnO<sub>2</sub>, with  $E_g = 3.7 \text{ eV}$  and electron affinity  $\chi = 4.2 \text{ to } 4.5 \text{ eV}$ ). These oxide semiconductors in thin-film form have the unique properties of good electrical conductivity and high optical transparency. They serve not only as part of the heterojunction but also as an antireflection coating.

The energy-band diagrams for an ITO/Si solar cell are shown<sup>29</sup> in the insert of Fig. 24. The top layer is an *n*-type 4000 Å ITO with  $5 \times 10^{-4} \Omega$ -cm and the substrate is a 2  $\Omega$ -cm *p*-type silicon. The curves in Fig. 24 near 1 mA/cm<sup>2</sup> are all parallel to each other. The slope  $d(\ln J)/dV$  is about 24 V<sup>-1</sup> independent of temperature. This slope suggests a multistep tunnel process in this heterojunction. Conversion efficiencies in the 12 to 15% range

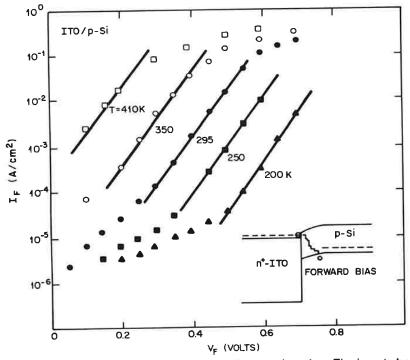


Fig. 24 Current-voltage characteristics of a ITO-Si heterojunction. The insert shows the band diagram under forward bias. (After Sites, Ref. 29.)

TK7871.85 5988		
1981	8	
-		
DATE DUE		
	01	
SFP # 9 2003 2/29	1 9 2011	
SFP # 9 2003 2/29		
SFP # 9 2003 2/29	1 9 2011	
	<b>1                                    </b>	
SFP A 9 2003 21 29 JAN 0 9 2004 JAN 0 0 1 14 2005 Marie Novio 8 2005 Marie FEB # 3 #006 #	<b>1                                    </b>	
SFP A 9 2003       2/20         JAN 0 9 2003       JAN 0 9 2003         JAN 0 2007       JAN 0 2007	<b>1                                    </b>	

# APPENDIX SZ02 IPR2018-01405

12/3/2018			:	Staff Info		
P	ITT	Ca	ιt		E-Z Borrow Find Articles	Help Other Libraries
Onlin	e Catalog o	f the Unive	rsity of Pittsbu	rgh Libraries	Ask Us	Library Home Page
	Search	Back To Titles	Edit Search	Get it!	My Account	
Search Request: Title Search Results: Displa			or devices	Next 🕨		
		Brief I				
		Physics of	semiconductor	devices / S.M.	Sze.	
<b>000</b> 00757pam a2200	265 a 450					
001 323						
005 20140122181808	.0					
<b>008</b> 810126s1981 nyu	a b 00110 en	g				
<b>010 a</b> 81000213						
<b>020   a</b> 047105661	8					
035  a (OCoLC)072	248763					
035  9 ABR7046B1						
040  a DLC  c DLC	d PIT					
<b>049  a</b> pijd						
<b>050</b> 0_  a TK7871.85	<b>b</b> .S988 198	1				
<b>082</b> 0_ <b> a</b> 537.6/22 <b> </b> 2	<b>2</b> 19					
<b>090 a</b> TK7871.85	<b>b</b> .S988 198	1  m PIJD				
100 1_  a Sze, S. M.,	<b>d</b> 1936-					
245 10 a Physics of s	emiconductor	devices /	<b>c</b> S.M. Sze.			
250 a 2nd ed.						
260 a New York :						
<b>300   a</b> xii, 868 p. :						
500 [a "A Wiley-In	-					
504 a Includes bit		eferences a	nd index.			
650_0 la Semiconduc	ctors.					
		1	Previous	Next 🕨		
			Print/Save/H	Email		
Select D	ownload Form	at Full Rec	ord <b>V</b> P	rint or Save S	ave Search to My Ac	count
Enter yo	ur email addr	ess:			Email	
Save res	ults for later:	Save to Bo	ookbag			
http://pittcat.pitt.edu/cgi-bin/Pv	vebrecon.cgi?v3=	9&ti=1,9&SEQ	=20181203231935&S	earch%5FArg=physi	ics%20of%20semiconducto	or%20devices&Sea 1/2

Staff Info

Search Back To Titles Edit Search Get it! My Account
---

# APPENDIX CO01 IPR2018-01405

# PHYSICS OF SEMICONDUCTOR DEVICES

Jean-Pierre Colinge Cynthia A. Colinge





*PHYSICS OF SEMICONDUCTOR DEVICES* is a textbook aimed at college undergraduate and graduate teaching. It covers both basic classic topics such as energy band theory and the gradual-channel model of the MOSFET as well as advanced concepts and devices such as MOSFET short-channel effects, low-dimensional devices and single-electron transistors. As a prerequisite, this text requires mathematics through differential equations and modern physics where students are introduced to quantum mechanics. Concepts are introduced to the reader in a simple way, often using comparisons to everyday-life experiences such as simple fluid mechanics. They are explained in depth and mathematical developments are fully described.

*PHYSICS OF SEMICONDUCTOR DEVICES* contains a list of problems that can be used as homework assignments or can be solved in class to exemplify the theory. Many of these problems make use of Matlab and are aimed at illustrating theoretical concepts in a graphical manner. A series of these Matlab problems is based on a simple finite-element solution of semiconductor equations. These yield the exact solution to equations that have no analytical solutions and are usually solved using approximations, such as the depletion approximation. The exact numerical solution can then be graphically compared to the solution using the approximation.

The different chapters of *PHYSICS OF SEMICONDUCTOR DEVICES* cover the following material:

- 1 Energy Band Theory
- 2 Theory of Electrical Conduction
- 3 Generation/Recombination Phenomena
- 4 The PN Junction Diode
- 5 Metal-semiconductor contacts
- 6 JFET and MESFET
- 7 The MOS Transistor
- 8 The Bipolar Transistor
- 9 Heterojunction Devices
- 10 Quantum-Effect Devices
- 11 Semiconductor Processing

C.A. Colinge is Professor of Electrical and Electronic Engineering at the California State University, Sacramento.

J.P. Colinge is Professor in the Department of Electrical and Computer Engineering of the University of California, Davis.





University Libraries Carnegie Mellon University Pittsburgh, PA 15213-3890

# PHYSICS OF SEMICONDUCTOR DEVICES

## PHYSICS OF SEMICONDUCTOR DEVICES

by

## J. P. Colinge

Department of Electrical and Computer Engineering University of California, Davis

C. A. Colinge

Department of Electrical and Electronic Engineering California State University



Library of Congress Cataloging-in-Publication Data

Colinge, Jean-Pierre.

Physics of semiconductor devices / by J.P. Colinge, C.A. Colinge. p. cm.

1. Semiconductors I. Colinge, C.A. (Cynthia A.) II. Title.

ISBN: 1-4020-7018-7 (HC) ISBN 13: 9781402070181

ISBN: 0-387-28523-7 (SC) ISBN 13: 9780387285238

e-ISBN: 0-306-47622-3

Printed on acid-free paper.

First softcover printing 2006.

© 2002 Springer Science+Business Media, Inc.

All rights reserved. This work may not be translated or copied in whole or in part without the written permission of the publisher (Springer Science+Business Media, Inc., 233 Spring Street, New York, NY 10013, USA), except for brief excerpts in connection with reviews or scholarly analysis. Use in connection with any form of information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed is forbidden.

The use in this publication of trade names, trademarks, service marks and similar terms, even if they are not identified as such, is not to be taken as an expression of opinion as to whether or not they are subject to proprietary rights.

Printed in the United States of America.

987654321

SPIN 11544159

537.622 CG9pa

springeronline.com

🕗 Springer 🕐

University Libraries Carnegie Mellon University Pittsburgh, PA 15213-3890

# CONTENTS

U.S. Generation V. combination Phraomeni R.U. I.

Preface	xi
L' Energy Band Theory	1
1.1. Electron in a crystal	1
1.1.1. Two examples of electron behavior	
1.1.1.1. Free electron	1
1.1.1.1. Free electron 1.1.1.2. The particle-in-a-box approach	3
1.1.2. Energy bands of a crystal (intuitive approach)	
1.1.3. Krönig-Penney model	
1.1.3. Krönig-Penney model 1.1.4. Valence band and conduction band	
1.1.5. Parabolic band approximation	19
1.1.6. Concept of a hole	
1.1.7. Effective mass of the electron in a crystal	
1.1.8. Density of states in energy bands	
1.2. Intrinsic semiconductor	
1.3. Extrinsic semiconductor	
<ul><li>1.3.1. Ionization of impurity atoms.</li><li>1.3.2. Electron-hole equilibrium.</li></ul>	
1.3.2. Electron-hole equilibrium	
1.3.3. Calculation of the Fermi Level	
1.3.4. Degenerate semiconductor	
1.4. Alignment of Fermi levels	40
Important Equations	
Important Equations Problems	44
2. Theory of Electrical Conduction 2.1. Drift of electrons in an electric field	51
2.1. Drift of electrons in an electric field	
2.2. Mobility	53
2.3. Drift current	
2.3.1. Hall effect	
2.4. Diffusion current	59
2.5. Drift-diffusion equations	
2.5.1. Einstein relationships	60
2.6. Transport equations	62
2.7. Quasi-Fermi levels	
Important Equations	
Problems	68

3. Generation/Recombination Phenomena	73
3.1. Introduction	73
3.2. Direct and indirect transitions	
3.3. Generation/recombination centers	
3.4. Excess carrier lifetime	79
3.5. SRH recombination	82
3.5.1. Minority carrier lifetime	86
3.6. Surface recombination	
Important Equations	
Problems	80
4. The PN Junction Diode	
4.1. Introduction	95
4.2. Oliolased PN junction	
4.5. Diased FN Junction	
4.4. Current-voltage characteristics	105
4.4.1. Derivation of the ideal diode model	107
4.4.2. Generation/recombination current	113
4.4.3. Junction breakdown	116
4.4.4. Short-base diode	118
4.5. PN junction capacitance	120
<ul><li>4.5.1. Transition capacitance</li><li>4.5.2. Diffusion capacitance</li></ul>	120
4.5.2. Charge storage and switching time	121
4.5.3. Charge storage and switching time	
4.6. Models for the PN junction	125
4.6.2 Small signal low froquency madel	126
4.6.2. Small-signal, low-frequency model	126
4.6.3. Small-signal, high-frequency model	128
4.7. Solar cell	128
4.8. PiN diode	132
Important Equations Problems	
5. Metal-semiconductor contacts	139
5.1. Schottky diode	
5.1.1. Energy band diagram	139
5.1.2. Extension of the depletion region	142
5.1.3. Schottky effect	143
5.1.4. Current-voltage characteristics	145
5.1.5. Influence of interface states	146
5.1.6. Comparison with the PN junction	147
5.2. Ohmic contact	149
Important Equations	150
Problems	151

and the second

villen ward of United Alar. Note First PAC 153 - 3306

6. JFET and MESFET	
6.1. The JFET	153
6.2. The MESFET	159
Important Equations	
71 Introduction and basic principles	
<b>7. The MOS Transistor</b> 7.1. Introduction and basic principles 7.2. The MOS capacitor	170
7.2.1. Accumulation	170
7.2.2. Depletion	
7.2.3. Inversion	1/0
7.2.5. Inversion	
<ul><li>7.3. Threshold voltage</li><li>7.3.1 Ideal threshold voltage</li></ul>	
7.3.1 Ideal Infestiold Voltage	
7.3.2. Flat-band voltage 7.3.3. Threshold voltage 7.4. Current in the MOS transistor	
7.3.3. Inreshold voltage	
7.4. Current in the WOS transistor	
7.4.1. Influence of substrate bias on threshold voltage	
<ul><li>7.4.2. Simplified model</li><li>7.5. Surface mobility</li></ul>	194
7.5. Surface mobility	196
7.6. Carrier velocity saturation	
7.7. Subthreshold current - Subthreshold slope	201
7.8. Continuous model	206
<ul><li>7.8. Continuous model</li><li>7.9. Channel length modulation</li></ul>	208
7.10. Numerical modeling of the MOS transistor	210
7.11. Short-channel effect	213
7.12. Hot-carrier degradation 7.12.1. Scaling rules	216
7.12.1. Scaling rules	216
7.12.2. Hot electrons	
7.12.3. Substrate current.	218
7.12.4. Gate current	
7.12.5. Degradation mechanism	.220
7.13. Terminal capacitances 7.14. Particular MOSFET structures	
7.14.1. Non-Volatile Memory MOSFETs	o south state =
7.14.2. SOI MOSFETs	
7.15. Advanced MOSFET concepts	230
7 15 1 Polysilicon depletion	230
7.15.1. Polysilicon depletion 7.15.2. High-k dielectrics	221
7.15.3. Drain-induced barrier lowering (DIBL)	
7.15.4. Gate-induced drain leakage (GIDL)	
7.15.5. Reverse short-channel effect	
7.15.6. Quantization effects in the inversion channel	
Important Equations	
Problems	236

vii

8. The Bipolar Transistor	
8.1. Introduction and basic principles	
8.1.1. Long-base device	
8.1.2. Short-base device	
8.1.3. Fabrication process	
8.2. Amplification using a bipolar transistor	258
8.3. Ebers-Moll model	
8.3.1. Emitter efficiency	268
8.3.2. Iransport factor in the base	269
8.4. Regimes of operation	
8.5. Transport model	273
8.0. Gummel-Poon model	
8.6.1. Current gain	280
8.0.1.1. Recombination in the base	200
8.6.1.2. Emitter efficiency and current gain	
0.7. Larry criticit	206
8.8. Dependence of current gain on collector current	290
8.8.1. Recombination at the emitter-base junction	290
8.8.2. Kirk effect	
8.9. Base resistance	205
8.10. Numerical simulation of the bipolar transistor	295
8.11. Collector junction breakdown	298
o.rr.r. common-base configuration	298
8.12.1. Forward active mode	
8.12.1. Forward active mode	
	300
6.12.3. Small-signal model	307
Important Equations	
Problems	
LES / Could as the second seco	
9. Heterojunction Devices	
9.1. Concept of a heterojunction	
	716
9.2. Heterojunction bipolar transistor (HBT)	320
9.2. Fign electron mobility transistor (HEMT)	321
U.S. Photonia Dovisor	
9.3.1. Light-emitting diode (LED)	324
Problems	

viii

10. Quantum-Effect Devices	
10.1. Tunnel Diode	
10.1.1. Tunnel effect	
10.1.2. Tunnel diode	
10.2. Low-dimensional devices	336
10.2.1. Energy bands	337
10.2.2. Density of states	343
10.2.3. Conductance of a 1D semiconductor sample	348
10.2.4. 2D and 1D MOS transistors	350
10.3. Single-electron transistor	353
10.3.1. Tunnel junction	353
10.3.2. Double tunnel junction	355
10.3.3. Single-electron transistor	358
Problems	
11. Semiconductor Processing	
11.1. Semiconductor materials	
11.2. Silicon crystal growth and refining	
11.3. Doping techniques	
11.3.1. Ion implantation	
11.3.2. Doping impurity diffusion.	
11.3.3. Gas-phase diffusion	
11.4. Oxidation	
11.5. Chemical vapor deposition (CVD)	
11.5.1. Silicon deposition and epitaxy	201
11.5.2. Dielectric layer deposition	
11.6. Photolithography	381
11.7. Etching	388
11.8. Metallization	301
11.8.2. Metal deposition	391
11.8.3. Metal silicides	392
11.9. CMOS process	303
11.10. NPN bipolar process	399
Problems	405
12. Annex	400
A1. Physical Quantities and Units	
A2. Physical Constants	
A3. Concepts of Quantum Mechanics	
A4. Crystallography – Reciprocal Space	411
A5. Getting Started with Matlab	
A6. Greek alphabet	
A7. Basic Differential Equations	
Index	431
	******** I J I

ix

$$\frac{N}{2\pi/(a+b)} = \frac{N(a+b)}{2\pi} = \frac{L}{2\pi}$$
(1.1.32)

In the case of a three-dimensional crystal, energy band calculations are, of course, much more complicated, but the essential results obtained from the one-dimensional calculation still hold. In particular, there exist permitted energy bands separated by forbidden energy gaps. The 3-D volume of the first Brillouin zone is  $8\pi^3 N/V$ , where V is the volume of the crystal, the number of wave vectors is equal to the number of elementary crystal lattice cells, N. The density of wave vectors is given by:

$$n(\mathbf{k}) = \text{density of } \mathbf{k} = \frac{\text{number of } \mathbf{k} \cdot \text{vectors}}{\text{volume of the zone}} = \frac{NV}{8\pi^3 N} = \frac{V}{8\pi^3} \quad (1.1.33)$$

#### 1.1.4. Valence band and conduction band

Chemical reactions originate from the exchange of electrons from the outer electronic shell of atoms. Electrons from the most inner shells do not participate in chemical reactions because of the high electrostatic attraction to the nucleus. Likewise, the bonds between atoms in a crystal, as well as electric transport phenomena, are due to electrons from the outermost shell. In terms of energy bands, the electrons responsible for forming bonds between atoms are found in the last occupied band, where electrons have the highest energy levels for the ground-state atoms. However, there is an infinite number of energy bands. The first (lowest) bands contain core electrons such as the 1s electrons which are tightly bound to the atoms. The highest bands contain no electrons. The last ground-state band which contains electrons is called the *valence band*, because it contains the electrons that form the -often covalent- bonds between atoms.

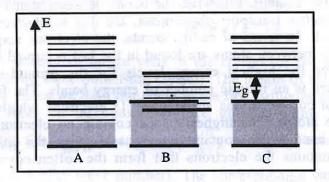
The permitted energy band directly above the valence band is called the *conduction band*. In a semiconductor this band is empty of electrons at low temperature (T=0K). At higher temperatures, some electrons have enough thermal energy to quit their function of forming a bond between atoms and circulate in the crystal. These electrons "jump" from the valence band into the conduction band, where they are free to move. The energy difference between the bottom of the conduction band and the top of the valence band is called "forbidden gap" or "bandgap" and is noted  $E_g$ .

In a more general sense, the following situations can occur depending on the location of the atom in the periodic table (Figure 1.11):

A: The last (valence) energy band is only partially filled with electrons, even at T=0K.

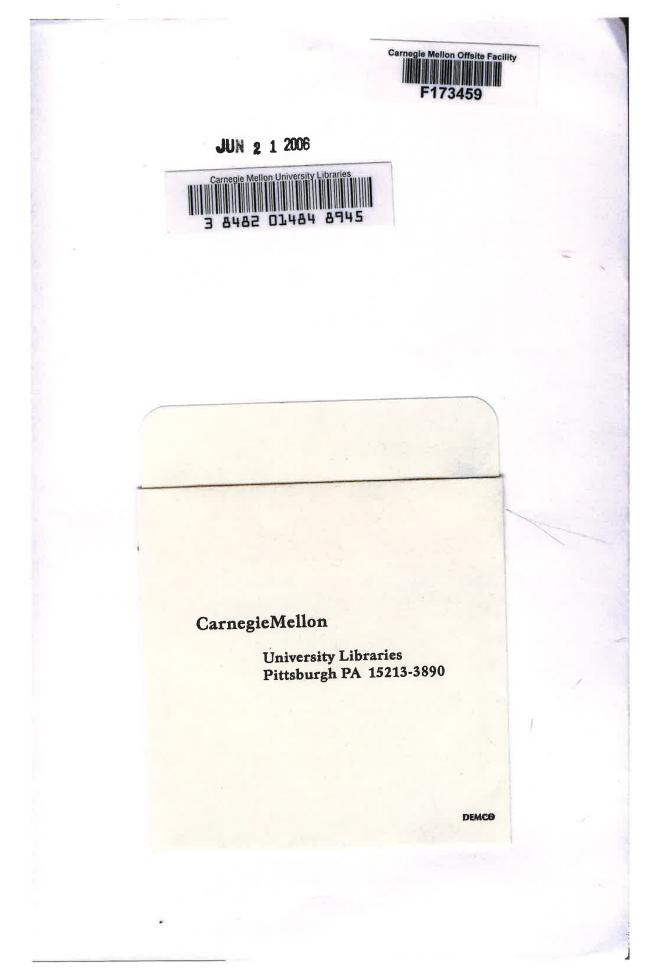
- B: The last (valence) energy band is completely filled with electrons at T=0K, but the next (empty) energy band overlaps with it (*i.e.*: an empty energy band shares a range of common energy values;  $E_g < 0$ ).
- C: The last (valence) energy band is completely filled with electrons and no empty band overlaps with it  $(E_g > 0)$ .

In cases A and B, electrons with the highest energies can easily acquire an infinitesimal amount of energy and jump to a slightly higher permitted energy level, and move through the crystal. In other words, electrons can leave the atom and move in the crystal without receiving any energy. A material with such a property is a *metal*. In case C, a significant amount of energy (equal to  $E_g$  or higher) has to be transferred to an electron in order for it to "jump" from the valence band into a permitted energy level of the conduction band. This means that an electron must receive a significant amount of energy before leaving an atom and moving "freely" in the crystal. A material with such properties is either an *insulator* or a *semiconductor*.



**Figure 1.11**: Valence band (bottom) and conduction band in a metal (A and B) and in a semiconductor or an insulator (C).<sup>[6]</sup>

The distinction between an insulator and a semiconductor is purely quantitative and is based on the value of the energy gap. In a semiconductor  $E_g$  is typically smaller than 2 eV and room-temperature thermal energy or excitation from visible-light photons can give electrons enough energy for "jumping" from the valence into the conduction band. The energy gap of the most common semiconductors are: 1.12 eV (silicon), 0.67 eV (germanium), and 1.42 eV (gallium arsenide). Insulators have significantly wider energy bandgaps: 9.0 eV (SiO<sub>2</sub>), 5.47 eV (diamond), and 5.0 eV (Si<sub>3</sub>N<sub>4</sub>). In these materials roomtemperature thermal energy is not large enough to place electrons in the conduction band.



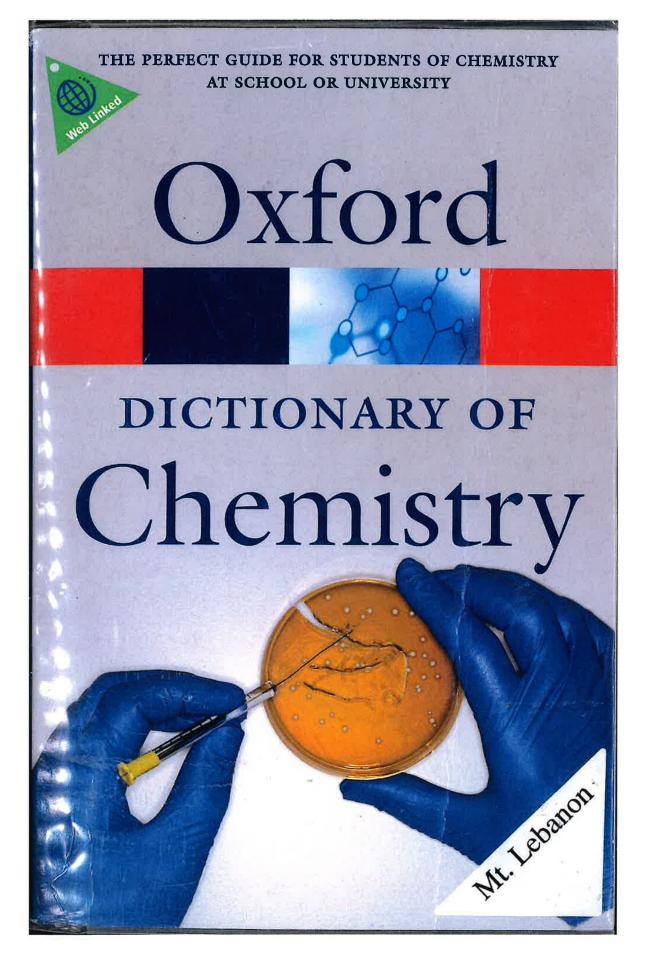
# APPENDIX CO02 IPR2018-01405

12/3/2018 https://cmu.primo.exlibrisgroup.com/discovery/sourceRecord?vid=01CMU\_INST:01CMU&docld=alma991000531039704436&recordOwn...

leader	01261nam a22003974a 4500			
001	991000531039704436			
005	20060515040318.0			
007				
008	060119120062002nyua b 001 0 eng			
020	##\$a0387285237 (alk. paper)			
035	##\$a1015438-01cmu inst			
035	##\$a(OCoLC)1015438 \$9ExL			
035	##\$a(Sirsi) o63111533			
040	##\$aCUY \$cCUY \$dBAKER			
049	##\$aPMCC			
050	00\$a0C611 \$b.C787 2006			
100	1#\$aColinge, Jean-Pierre.			
245	10\$aPhysics of semiconductor devices / \$cby J.P. Colinge, C.A. Colinge.			
250	##\$a1st softcover print.			
260	##\$aNew York : \$bSpringer, \$c[2006], c2002.			
300	##\$axiii, 436 p. : \$bill. ; \$c25 cm.			
504	##\$aIncludes bibliographical references and index.			
530	##\$aTable of contents also issued online.			
596	##\$a9			
650	#0\$aSemiconductors.			
700	1#\$aColinge, C. A. \$q(Cynthia A.)			
856	41\$3Table of contents \$uhttp://www.loc.gov/catdir/toc/fy031/2002025492.html			
901	##\$aocm63111533			
902	##\$a1015438			
903	##\$ao63111533			
916	##\$a20060616			
917	##\$a20060516			
918	##\$aACQORDER			
919	##\$a20170503			
920	##\$aCATASSIST			
994	##\$aC0 \$bPMC			
999	##\$aQC611 .C787 2006 \$wLC \$c1 \$iF173459 \$d1/14/2014 \$e12/11/2013 \$lBY-REQUEST \$mOFFSITE \$n17 \$p\$66.36 \$q4 \$rY \$sY \$tBOOK \$u6/16			

12/3/2018 https://cmu.primo.exlibrisgroup.com/discovery/sourceRecord?vid=01CMU\_INST:01CMU&docId=alma991000531039704436&recordOwn...

# APPENDIX DA01 IPR2018-01405



# Chemistry

Fully revised and updated, the sixth edition of this popular dictionary covers all aspects of chemistry from physical chemistry to biochemistry, and boasts broader coverage in forensics, metallurgy, and geology. It is the ideal reference resource for students of chemistry and related subjects at school or university.

## **Oxford Paperback Reference**

THE WORLD'S MOST TRUSTED REFERENCE BOOKS

- Over 4,700 entries—including feature entries on important topics such as polymers and crystal defects, and biographies of key figures
- Chronologies charting the main discoveries in such fields as atomic theory, biochemistry, explosives, and plastics
- Recommended web links for over 200 entries updated on the *Dictionary of Chemistry* website

'a favourite. It should be in every classroom and library . . . the reader is drawn inevitably from one entry to the next merely to satisfy curiosity' School Science Review

Cover photographs: (main) ©Image Source/ Punchstock; (inset) ©Photodisc/ Punchstock

## **OXFORD** UNIVERSITY PRESS

www.oup.com

Sixth Edition

> Many books in the Oxford Paperback Reference series are available as

> > Q 3-2

5

IBR SA

e-books. www.ou series/oj

9

ISBN 978-( 8

8019

£10.99 BBI

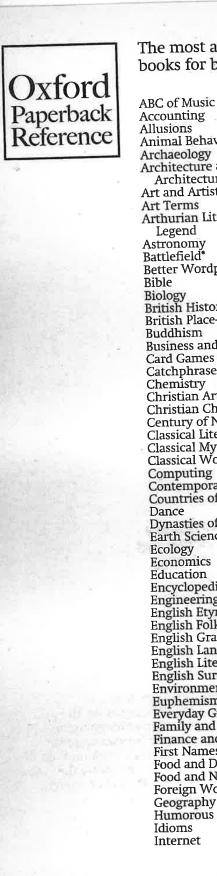
#### OXFORD PAPERBACK REFERENCE

A Dictionary of **Chemistry** 

Mt. Lebanon Public Library 16 Castle Shannon Boulevard Pittsburgh, PA 15228-2252 412-531-1912 www.mtlebanenlibrary.org.

#### SEE WEB LINKS

Many entries in this dictionary have recommended web links. When you see the above symbol at the end of an entry go to the dictionary's web page at http://www.oup.com/ uk/reference/resources/chemistry, click on Web links in the Resources section and locate the entry in the alphabetical list, then click straight through to the relevant websites.



The most authoritative and up-to-date reference books for both students and the general reader.

Accounting Animal Behaviour Archaeology Architecture and Landscape Architecture Art and Artists Arthurian Literature and Legend Astronomy Battlefield<sup>\*</sup> Better Wordpower British History British Place-Names Buddhism **Business and Management** Card Games Catchphrases Chemistry Christian Art Christian Church Century of New Words Classical Literature Classical Myth and Religion Classical World Computing Contemporary World History Countries of the World Dynasties of the World Earth Sciences Economics Education Encyclopedia Engineering English Etymology **English Folklore** English Grammar English Language **English** Literature English Surnames Environment and Conservation Euphemisms Everyday Grammar Family and Local History Finance and Banking First Names Food and Drink Food and Nutrition Foreign Words and Phrases Geography Humorous Quotations Idioms

Irish History Islam Kings and Queens of Britain Language Toolkit Law Law Enforcement Linguistics Literary Terms London Place-Names Mathematics Medical Medicinal Drugs Modern Design Modern Quotations Modern Slang Music Musical Terms Musical Works Nicknames Nursing Ologies and Isms Philosophy Phrase and Fable Physics **Plant Sciences** Plays Pocket Fowler's Modern English Usage Political Quotations Politics Popes Proverbs Psychology Quotations Quotations by Subject **Reverse** Dictionary Rhymes Rhyming Slang Saints Science Scientific Quotations Scottish History Shakespeare Ships and the Sea Slang Sociology Space Exploration Statistics Superstitions Synonyms and Antonyms Weather Weights, Measures, and Units Word Histories World History World Mythology World Religions Zoology

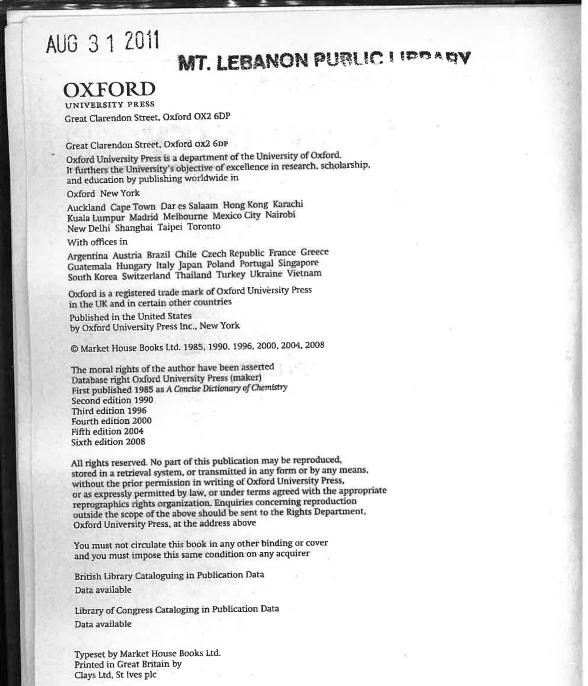
\*forthcoming

# A Dictionary of **Chemistry**

SIXTH EDITION

Edited by JOHN DAINTITH





ISBN 978-0-19-920463-2

Preface	vii
Credits	viii
Dictionary	1
Atomic Theory Chronology	49
Biochemistry Chronology	70
Crystal Defects (Feature)	152
Explosives Chronology	217
Plastics Chronology	422
Polymers (Feature)	430
Appendices	
The Greek alphabet	569
Fundamental constants	569
SI units	570
The electromagnetic spectrum	572
The periodic table	573
The chemical elements	574
Nobel prizes in chemistry	576
Useful websites	583
ALC: NOT THE REAL PROPERTY OF	7

#### computational chemistry

effect. See also INVERSE COMPTON EF-FECT.

computational chemistry The use of computers in chemical research. With the increase in processing power of computers, calculations on individual molecules and on chemical systems have become important tools for research and industrial development. With simple molecules, predictions can be made about electronic structure and properties using \*ab-initio calculations. For more complex molecules \*semiempirical calculations are used. The field has been particularly expanded by the \*density-functional method of treating large molecules and by the availability of software for analysing molecular behaviour and structure. See also MOLECULAR MODEL-LING.

**concentrated** Describing a solution that has a relatively high concentration of solute.

concentration The quantity of dissolved substance per unit quantity of a solution. Concentration is measured in various ways. The amount of substance dissolved per unit volume of the solution (symbol c) has units of mol dm<sup>-3</sup> or mol l<sup>-1</sup>. It is now called amount concentration (formerly molarity). The mass concentration (symbol  $\rho$ ) is the mass of solute per unit volume of solution. It has units of kg dm<sup>-3</sup>, g cm<sup>-3</sup>, etc. The molality is the amount of substance per unit mass of solvent, commonly given in units of mol kg<sup>-1</sup>. See also MOLE FRAC-TION.

concentration cell See CELL.

**concentration gradient (diffusion gradient)** The difference in concentration between a region of a solution or gas that has a high density of particles and a region that has a rela-

tively lower density of particles. By random motion, particles will move from the area of high concentration towards the area of low concentration, by the process of \*diffusion, until the particles are evenly distributed in the solution or gas.

**concerted reaction** A type of reaction in which there is only one stage rather than a series of steps. The  $S_N 2$  mechanism in \*nucleophilic substitutions is an example. See also PERI-CYCLIC REACTIONS.

**condensation** The change of a vapour or gas into a liquid. The change of phase is accompanied by the evolution of heat (*see* LATENT HEAT).

condensation polymerization See POLYMER.

**condensation pump** See DIFFU-SION PUMP.

**condensation reaction** A chemical reaction in which two molecules combine to form a larger molecule with elimination of a small molecule (e.g. H<sub>2</sub>O). *See* ALDEHYDES; KE-TONES.

**condenser** A device used to cool a vapour to cause it to condense to a liquid. *See* LIEBIG CONDENSER.

**conducting polymer** An organic polymer that conducts electricity. Conducting polymers have a crystalline structure in which chains of conjugated unsaturated carboncarbon bonds are aligned. Examples are polyacetylene and polypyrrole. There has been considerable interest in the development of such materials because they would be cheaper and lighter than metallic conductors. They do, however, tend to be chemically unstable and, so far, no commercial conducting polymers have been developed.

#### conformation

conductiometric titration A type of titration in which the electrical conductivity of the reaction mixture is continuously monitored as one reactant is added. The equivalence point is the point at which this undergoes a sudden change. The method is used for titrating coloured solutions, which cannot be used with normal indicators.

conduction band See ENERGY BANDS.

conductivity water See DISTILLED WATER.

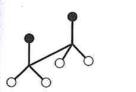
Condy's fluid A mixture of calcium and potassium permanganates (manganate(VII)) used as an antiseptic.

**configuration 1.** The arrangement of atoms or groups in a molecule. **2.** The arrangement of electrons about the nucleus of an \*atom.

configuration space The *n*-dimensional space with coordinates  $(q_1, q_2, \dots, q_n)$  associated with a system

that has n degrees of freedom, where the values q describe the degrees of freedom. For example, in a gas of N atoms each atom has three positional coordinates, so the configuration space is 3N-dimensional. If the particles also have internal degrees of freedom, such as those caused by vibration and rotation in a molecule, then these must be included in the configuration space, which is consequently of a higher dimension. See also STATISTICAL MECHANICS.

conformation One of the very large number of possible spatial arrangements of atoms that can be interconverted by rotation about a single bond in a molecule. In the case of ethane, H<sub>3</sub>C-CH<sub>3</sub>, one methyl group can rotate relative to the other. There are two extreme cases. In one, the C-H bonds on one group align with the C-H bonds on the other (as viewed along the C-C bond). This is an eclipsed conformation (or eclipsing conformation) and corresponds to a maximum in a



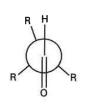




eclipsed conformation anti conformation

= methyl group

Conformations of butane (sawhorse projection)





bisecting conformation

eclipsed conformation

Conformations of R<sub>3</sub>CHO (Newman projection)

gauche conformation

# APPENDIX DA02 IPR2018-01405

eiNetwork / All Libraries

#### A Quick Survey Catalog Home My Account Login Help

Your Library	Tips & Resources	Booklists	Find Articles	Interlibrary Loan	Get a Library Card	
	Tips & Resources	BOOKIISIS	Find Articles		Get a Library Caru	
Start C	over Add to Regular Wish List Display	Return to List		earch History)	▼	
5 14 Oxford dicti 5 30 Chemistry 9 6th ed. 9 New York : b	<pre>16.0 nyua d 000 0 en; 2008277973 2 3575 KER dUtOrBLW 2008 of chemistry / cedited b; onary of chemistry 0xford University Press, r billustrations ; c20 cm. dacontent n 2rdamedia rdacarrier back reference ictionaries.</pre>	y John Daintith.				
CATION		C	CALL #	STAT	US	
<u>Mt Lebanon Non-Fiction</u>			540 <u>.3 D51</u>		ABLE	
Northland Nonfiction			<u>540.3 D56 2008</u>		AVAILABLE	

eiNetwork

The ciNetwork is a collaboration of the Allegheny County Library Association and Carnegie Library of Pittsburgh



# APPENDIX MC01 IPR2018-01405

leader	00750cam a2200217 a 4500
001	9910939339004101
008	020619s2003 nyua d 0000eng
010	##\$a2002026436
020	##\$a007042313X (alkaline paper)
035	##\$a(DGW-M)b15713520-01wrlc_gwahlth
035	##\$aocm50143897 \$9ExL
040	##\$aDLC \$cDLC \$dUKM \$dC#P \$dVET
245	00\$aMcGraw-Hill dictionary of scientific and technical terms.
246	30\$aDictionary of scientific and technical terms.
250	##\$a6th ed.
260	##\$aNew York : \$bMcGraw-Hill, \$cc2003.
300	##\$axvii, 2380 p. : \$bill. ; \$c28 cm.
650	#2\$aScience \$vDictionary.
650	#2\$aTechnology \$vDictionary.
907	##\$a.b15713520 \$b171115 \$c130308

This service is provided by Mason Libraries in partnership with the Washington Research Library Consortium

12/3/2018 https://wrlc-gm.primo.exlibrisgroup.com/discovery/sourceRecord?vid=01WRLC\_GML:01WRLC\_GML&docld=alma999860433404105&re...