# **Petitioner Bluehouse Global Ltd.**

**Ex. 1002** 

# UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO.	: 9,298,057 B2
APPLICATION NO.	: 13/939323
DATED	: March 29, 2016
INVENTOR(S)	: Yasuharu Hosaka et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the specification,

At column 26, lines 59-60, "terminal Note" should be --terminal. Note--;

At column 29, line 40, "1.5 µm-thick" should be --1.5-µm-thick--;

At column 30, line 5, "lam" should be --µm--;

In the claims,

In claim 12, column 32, line 36, "in the second insulating film" should be --in the second insulating film,--.

Signed and Sealed this

Twelfth Day of July, 2016 Michelle K. Lee

Michelle K. Lee Director of the United States Patent and Trademark Office

**BLUEHOUSE EXHIBIT 1002** Page 1 of 337

Page 1 of 1

Attorney Docket No. 0756-10194

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)

)

In re Patent Application of:
Yasuharu HOSAKA et al.
U.S. Patent No. 9,298,057
Serial No. 13/939,323
Filed: July 11, 2013
For: DISPLAY DEVICE AND
ELECTRONIC DEVICE
INCLUDING THE DISPLAY
DEVICE

Confirmation No. 2340

Group Art Unit: 2871

Examiner: Richard H. Kim

# REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. § 1.322 FOR CORRECTION OF OFFICE MISTAKE

ATTN: Certificate of Correction Branch Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As provided in detail in the attached, the patentee respectfully requests that a Certificate of Correction be granted in the above-identified patent to correct a mistake in a patent, incurred through the fault of the Office.

Under 37 C.F.R. 1.322, "The Commissioner may issue a certificate of correction pursuant to 35 U.S.C. 254 to correct a mistake in a patent, incurred through the fault of the Office, which mistake is clearly disclosed in the records of the Office at the request of the patentee or the patentee's assignee."

The patentee furthermore requests <u>Expedited Issuance</u> of this Certificate of Correction in accordance with MPEP § 1480.01. Specifically, this section provides that:

In an effort to reduce the overall time required in processing and granting Certificate of Correction requests, the Office will expedite processing and granting of patentee requests where such requests are

### Application Serial No. 13/939,323 U.S. Patent No. 9,298,057 Attorney Docket No. 0756-10194

accompanied by evidence to show that the error is attributable solely to the Office .... Where the correction requested was incurred through the fault of the Office, and the matter is clearly disclosed in the records of the Office, and is accompanied by documentation that unequivocally supports the patentee's assertion(s), a Certificate of Correction will be expeditiously issued. MPEP § 1480.01

- 2 -

The following errors appear to have occurred through the fault of the Office, and the patentee respectfully requests correction thereof.

At column 26, lines 59-60, "terminal Note" should be --terminal. Note--;

At column 29, line 40, "1.5 µm-thick" should be --1.5-µm-thick--;

At column 30, line 5, "lam" should be --µm--;

In claim 12, column 32, line 36, "in the second insulating film" should be --in the second insulating film,--.

The corrections in the specification and claim 12 (original claim 21) are directed to mistakes in the patent incurred through the fault of the Office, possibly resulting from the Office's document scanning processes. As the errors were incurred through the fault of the Office, a fee is not believed to be necessary. Should it be determined that a fee is necessary, any deficiencies or overages in any fees due in connection with this patent and the requested actions should be applied to Deposit Account No. 50-2280.

Respectfully submitted,

Lauren and and a start of the s

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789 PTO/SB/44 (09-07) Approved for use through 08/31/2010. OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 9,298,057

DATED : March 29, 2016

INVENTOR(S) : Yasuharu HOSAKA et al.

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In claim 12, column 32, line 36, "in the second insulating film" should be --in the second insulating film,--.

MAILING ADDRESS OF SENDER:

#### PATENT NO. 9,298,057

No. of additional copies

Eric J. Robinson Robinson Intellectual Property Law Office 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Acknowledgement Receipt				
EFS ID:	25963489			
Application Number:	13939323			
International Application Number:				
Confirmation Number:	2340			
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE			
First Named Inventor/Applicant Name:	Yasuharu HOSAKA			
Customer Number:	31780			
Filer:	Eric J. Robinson/Sulma Portillo			
Filer Authorized By:	Eric J. Robinson			
Attorney Docket Number:	0756-10194			
Receipt Date:	03-JUN-2016			
Filing Date:	11-JUL-2013			
Time Stamp:	14:22:09			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted with Payment no						
File Listing	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Certificate of Correction		COC_03JUNE2016.pdf	30083	no	3
	hequest for certificate of confection			d209c2a9b2b8607615fc66ce1f759c53f8e6 edbb	110	5
Warnings:						
Information:				BLUEHOUS	SE EXHIBIT 10	002
					Page 5 of 3	337

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



APPLICATION 1 13/939,323

UNITED STATES PATENT AND TRADEMARK OFFICE

		United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov		
NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	03/29/2016	9298057	0756-10194	2340

31780759003/09/2016Robinson Intellectual Property Law Office, P.C.3975 Fair Ridge DriveSuite 20 NorthFairfax, VA 22033

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 50 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Semiconductor Energy Laboratory Co., Ltd., Atsugi-shi, JAPAN; Yasuharu HOSAKA, Tochigi, JAPAN; Yukinori SHIMA, Tatebayashi, JAPAN; Kenichi OKAZAKI, Tochigi, JAPAN; Shunpei YAMAZAKI, Setagaya, JAPAN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

UNITED STATES DEDADTMENT OF COMMEDCE

# Document Description: Issue Fee Payment (PTO-85B)

# **Issue Fee Transmittal Form**

Application Number	Filing Date	First Named Inventor	Atty. Docket No.	Confirmation No.		
13939323	11-Jul-2013	Yasuharu HOSAKA	0756-10194	2340		
TITLE OF INVENTION :						

# DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

Entity Status			Application Type A		rt Unit	Class - Subclass	EXAMINER
Regular Undiscounted	l	Utility	/ under 35 USC 111(a)	287	1	043000	RICHARD KIM
Issue Fee Due	Publication Du	e	Total Fee(s) Due		Da	ate Due	Prev. Paid Fee
\$960	\$0		\$960		19-Feb-20	016 9	\$0

# 1.Change of Correspondence Address and/or Indication Of Fee Address (37 CFR 1.33 & 1.363)

Current Indicated Fee Address :
Fee Address indication requested, system generated SB/47-EFS form attached

### 2.Entity Status

### **Change in Entity Status**

0	Applicant certifying micro entity status; system generated Micro Entity certification form attached. See 37 CFR 1.29. Note: Absent a valid certification of micro entity status, issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. If this box is checked, you will be prompted to choose a micro entity status on the gross income basis (37 CFR 1.29(a)) or the institution of higher education basis (37 CFR 1.29(d)), and make the applicable certification online.
$\cap$	Applicant asserting small entity status. See 37 CFR 1.27.

Note: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

ullet	Applicant changing to regular undiscounted fee status.
U	Note: Checking this hav will be taken to be a notification of loss of an

Note: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

3.The Following Fee(s) Are Submitted:	
Ssue Fee	I authorize USPTO to apply my previously paid issue fee to the current fees due
Publication Fee	The Director is hereby authorized to apply my previously paid issue fee to the current fee due and to charge deficient fees to Deposit Account Number
Advance Order - # of copies 2	If in addition to the payment of the issue fee amount submitted with this form, there are any discrepancies in any amount(s) due, the Director is authorized to charge any deficiency, or credit any overpayment, to Deposit Account Number $\frac{50-2280}{2}$ . The issue fee must be submitted with this form. If payment of the issue fee does not accompany this form, checking this box and providing a deposit account number will NOT be effective to satisfy full payment of the fee(s) due.

#### 4.Firm and/or Attorney Names To Be Printed

NOTE: If no name is listed, no name will be printed For printing on the patent front page, list to be displayed as entered

#### 1. ROBINSON INTELLECTUAL PROPERTY LAW OFFICE

- 2. ERIC J. ROBINSON
- 3.

#### 5.Assignee Name(s) and Residence Data To Be Printed

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

Name	City	State	Country	Category
Semiconductor Energy Laboratory Co., Ltd.	Kanagawa- ken		japan	corporation

#### 6.Signature

I certify, in accordance with 37 CFR 1.4(d)(4) that I am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application. I also certify that this Fee(s) Transmittal form is being transmitted to the USPTO via EFS-WEB on the date indicated below.

Signature	/Eric J. Robinson/	Date	02-19-2016
Name	Eric J. Robinson	Registration Number	38285

Electronic Patent Application Fee Transmittal					
Application Number:	139	939323			
Filing Date:	11-	Jul-2013			
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE				
First Named Inventor/Applicant Name:	Yasuharu HOSAKA				
Filer:	Eric J. Robinson/Sue Ann Carr				
Attorney Docket Number:	0756-10194				
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility Appl Issue Fee		1501	1	960	960
Publ. Fee- Early, Voluntary, or Normal		1504	1	0	0
Printed Copy of Patent - No Color		8001	2	3	6
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:				BLUEHOUSE E	XHIBIT 1002 <del>ge 10 of 337</del>

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	) (\$)	966

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	24925623					
Application Number:	13939323					
International Application Number:						
Confirmation Number:	2340					
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE					
First Named Inventor/Applicant Name:	Yasuharu HOSAKA					
Customer Number:	31780					
Filer:	Eric J. Robinson/Sue Ann Carr					
Filer Authorized By:	Eric J. Robinson					
Attorney Docket Number:	0756-10194					
Receipt Date:	19-FEB-2016					
Filing Date:	11-JUL-2013					
Time Stamp:	07:31:59					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$966
RAM confirmation Number	7440,7441
Deposit Account	
Authorized User	
The Director of the UCDTO is hereby putherized to the	ran indicated foor and credit any overpayment as follows:

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

# File Listing:

1       Issue Fee Payment (PTO-858)       Web85b.pdf       46334       no         Varnings:       20179973(1303)       20179973(1303)       0       0         Varnings:       Information:       35568       no       0         2       Fee Worksheet (SB06)       fee-info.pdf       35568       no       0         Warnings:       Information:       0<	Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
Warnings:         Information:         2       Fee Worksheet (SB06)         fee-info.pdf       35568         entizedefreedescotting (SB06)       fee-info.pdf         warnings:       no         Warnings:       Information:         Total Files Size (in bytes):         81902	1	Issue Fee Payment (PTO-85B)	Web85b.pdf	46334	no	2
Information:         2       Fee Worksheet (SB06)       fee-info.pdf       35568       no         Warnings:         Information:       Total Files Size (in bytes):       81902         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt simila Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111       If a new application includes the necessary components for a filing date (see 37 Cf 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371       If a timely submission to enter the national stage of an international application is compliant with the conditions or U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office		issue ree rayment (r to osb)	Webbbb.pui		no	2
2       Fee Worksheet (SB06)       fee-info.pdf       33568       no         Warnings:         Information:         Total Files Size (in bytes)       81902         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt simila Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111         If a new application is being filed and the application includes the necessary components for a filing date (see 37 CI 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371         If a timely submission to enter the national stage of an international application is compliant with the conditions or U.S.C. 371 and other applicable requirements a Form PCT/DO/E0/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office	Warnings:			<u> </u>		
2       Fee Worksheet (SB06)       fee-info.pdf       no         warnings:       eff235646764889428313246147       no         Warnings:       Information:       85636         Total Files Size (in bytes):       81902         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt simila Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111         If a new application is being filed and the application includes the necessary components for a filing date (see 37 CI 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371         If a timely submission to enter the national stage of an international application is compliant with the conditions of U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office	Information:					
Warnings:         Information:         Total Files Size (in bytes):       81902         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt simila Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111         If a new application is being filed and the application includes the necessary components for a filing date (see 37 CI 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371         If a timely submission to enter the national stage of an international application is compliant with the conditions o U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office	2	Fee Worksheet (SB06)	fee-info ndf	35568		2
Information: Total Files Size (in bytes): 81902 This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt simila Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 Cl 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u>	2	ree worksheet (5000)			10	2
Total Files Size (in bytes):       81902         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt simila Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111       If a new application is being filed and the application includes the necessary components for a filing date (see 37 Cl 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371       If a timely submission to enter the national stage of an international application is compliant with the conditions or U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office	Warnings:					
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	National Stage If a timely sub U.S.C. 371 and	of an International Application un mission to enter the national stage other applicable requirements a F	nder 35 U.S.C. 371 e of an international applicati form PCT/DO/EO/903 indicati	ng acceptance of the	application	
If a new international application is being filed and the international application includes the necessary componen	<u>New Internatio</u>	onal Application Filed with the USF	PTO as a Receiving Office			

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

31780 7590 11/19/2015 Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, VA 22033 EXAMINER KIM, RICHARD H

ART UNIT PAPER NUMBER 2871

DATE MAILED: 11/19/2015

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194	2340

TITLE OF INVENTION: DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$O	\$960	02/19/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

#### or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

31780 7590 11/19/2015 Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, VA 22033

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's	name)
(Sig	nature)
	(Date)

APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTOR	NEY DOCKET NO.	CONFIRMATION NO.
13/939,323	07/11/2013	•	Yasuharu HOSAKA			0756-10194	2340
TITLE OF INVENTION	: DISPLAY DEVICE A	ND ELECTRONIC DEV	ICE INCLUDING THE D	ISPLAY DEVICE			
A DDI NI (TX/DE			DUDI ICATION EEE DUE	PREV. PAID ISSUI			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE		EFEE	TOTAL FEE(S) DUE	
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0		\$960	02/19/2016
EXAN	IINER	ART UNIT	CLASS-SUBCLASS				
KIM, RIC	CHARD H	2871	349-043000				
1. Change of correspond	ence address or indicatio	on of "Fee Address" (37	2. For printing on the p	atent front page, lis	st		
CFR 1.363).	ondence address (or Cha	ange of Correspondence	(1) The names of up to or agents OR, alternativ	3 registered paten	it attorne	eys 1	
	oondence address (or Cha B/122) attached.		(2) The name of a singl	le firm (having as a	n membe	ra 2	
Address form PTO/SB/122) attached.  (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.							
Number is required.     listed, no name will be printed.							
			THE PATENT (print or typ	· · ·			
PLEASE NOTE: Un recordation as set fort	less an assignee is ident h in 37 CFR 3.11. Com	tified below, no assignee pletion of this form is NO	data will appear on the pa T a substitute for filing an	atent. If an assign assignment.	ee is ide	entified below, the d	ocument has been filed for
(A) NAME OF ASSI		-	(B) RESIDENCE: (CITY				
			_	_			_
Please check the appropr	riate assignee category or	r categories (will not be p	rinted on the patent):	Individual 🖵 Co	orporatio	n or other private gro	oup entity 🖵 Government
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): (Plea	ise first reapply ar	ıy previ	ously paid issue fee	shown above)
Issue Fee			A check is enclosed.				
	No small entity discount		Payment by credit car				<b>~</b>
☐ Advance Order - #	# of Copies		overpayment, to Depo	authorized to charged in the second s	ge the re-	quired fee(s), any del	ficiency, or credits any n extra copy of this form).
5. Change in Entity Sta	tus (from status indicate	d above)					
	ng micro entity status. Se		NOTE: Absent a valid ce	rtification of Micro	Entity S	Status (see forms PTC	O/SB/15A and 15B), issue
							O/SB/15A and 15B), issue application abandonment.
	g small entity status. See		<u>NOTE:</u> If the application to be a notification of loss	s of entitlement to i	der micro micro en	o entity status, check tity status.	ing this box will be taken
Applicant changin	nging to regular undiscounted fee status. <u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or mice entity status, as applicable.						tlement to small or micro
NOTE: This form must t	be signed in accordance v	with 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for signa	ature requirements	and certi	ifications.	
Authorized Signature				Date			
Typed or printed nam	e			Registration N	lo		
			Page 2 of 3			BLUEHOUSE EX	HIBIT 1002
			- 490 - 01 0			Pag	

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box, 1450 Alexandria, Virginia 22313-1450 www.uspto.gov							
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194	2340			
31780 75	90 11/19/2015		EXAM	IINER			
Robinson Intellec 3975 Fair Ridge Di	etual Property Law O	ffice, P.C.	KIM, RIC	CHARD H			
Suite 20 North			ART UNIT	PAPER NUMBER			
Fairfax, VA 22033			2871				
			DATE MAILED: 11/19/201	5			

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation BLUEHOUSE EXHIBIT 1002

	Application No.	Applicant(s	)
	13/939,323	HOSAKA ET	AL.
Notice of Allowability	Examiner RICHARD KIM	Art Unit 2871	AIA (First Inventor to File) Status
		2071	No
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate commu GHTS. This application is s	this application. If not inication will be mailed	included in due course. <b>THIS</b>
<ol> <li>This communication is responsive to <u>11/3/15</u>.</li> <li>☐ A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was</li> </ol>	/were filed on		
2. An election was made by the applicant in response to a rest requirement and election have been incorporated into this ac		during the interview on	; the restriction
3. ☑ The allowed claim(s) is/are <u>1-9 and 21-38</u> . As a result of the Prosecution Highway program at a participating intellectual please see <u>http://www.uspto.gov/patents/init_events/pph/ind</u>	I property office for the corre	esponding application.	For more information,
4. 🛛 Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C. § 119(a)-(d) or (	(f).	
a) ⊠ All b) □ Some *c) □ None of the: 1. ⊠ Certified copies of the priority documents have	been received		
2. Certified copies of the priority documents have		n No.	
3. Copies of the certified copies of the priority do			application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		a reply complying with	the requirements
5. CORRECTED DRAWINGS ( as "replacement sheets") must	t be submitted.		
including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or	in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on th he header according to 37 CF	ne drawings in the front ( R 1.121(d).	(not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC			he
Attachment(s)			
1. Notice of References Cited (PTO-892)	5. 🔲 Examiner's	Amendment/Commen	t
2. Information Disclosure Statements (PTO/SB/08),	6. 🛛 Examiner's	Statement of Reasons	for Allowance
Paper No./Mail Date 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material	7. 🗌 Other		
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date			
U.S. Patent and Trademark Office			

### **DETAILED ACTION**

### Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/3/15 has been entered.

### Allowable Subject Matter

3. Claims 1-9 and 21-38 allowed.

4. The following is an examiner's statement of reasons for allowance: the prior art of record, taken alone or in combination, fails to teach or disclose, in light of the specifications, **claim 1**) a display device wherein the third insulating film is in an opening provided in the second insulating film; **claim 21**) a display device wherein the third insulating film is in an opening provided in the second insulating film; and **claim 27**) a display device wherein an edge portion of the second insulating film overlaps with the third insulating film, and wherein the third insulating film is not provided in the driver circuit portion.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue

Application/Control Number: 13/939,323 Art Unit: 2871

fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Caley can be reached on 571-272-2286. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/ Primary Examiner, Art Unit 2871 Application/Control Number: 13/939,323 Art Unit: 2871



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

# **CONFIRMATION NO. 2340**

SERIAL NUMB	IAL NUMBER FILING or 371(c) CLASS GROUP ART UNIT										
13/939,323	<b>DA1</b> 07/11/		349		2871			<b>NO.</b> 0756-10194			
	RULE										
APPLICANTS Semiconductor Energy Laboratory Co., Ltd., Atsugi-shi, JAPAN;											
INVENTORS Yasuharu HOSAKA, Tochigi, JAPAN; Yukinori SHIMA, Tatebayashi, JAPAN; Kenichi OKAZAKI, Tochigi, JAPAN; Shunpei YAMAZAKI, Setagaya, JAPAN;											
** CONTINUING	DATA ************	************	*								
** FOREIGN APF JAPAN 201	PLICATIONS ***** 2-161344 07/20/2		*****								
** <b>IF REQUIRED</b> , 07/26/2013	FOREIGN FILIN	G LICENS	E GRANTED **								
Foreign Priority claimed	Yes 🗋 No	D Mat of	STATE OR		HEETS	тот		INDEPENDENT			
	ons met 🔽 Yes 🖵 No CHARD H KIM/ aminer's Signature	Met af Allowa	ter Ince JAPAN		WINGS	<b>CLAI</b> 20		CLAIMS 2			
ADDRESS			•								
Robinson Ir 3975 Fair F Suite 20 No Fairfax, VA UNITED ST	orth 22033	y Law Offic	be, P.C.								
TITLE											
DISPLAY D	EVICE AND ELE	CTRONIC	DEVICE INCLUDING	THE C	DISPLAY	DEVICE					
					🗅 All Fe	es					
					🖵 1.16 F	ees (Fil	ing)				
	p fo			_	🖵 1.18 F	<sup>-</sup> ees (Iss	sue)				
					C Other						
					Credit	t					

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13939323	HOSAKA ET AL.
	Examiner	Art Unit
	RICHARD KIM	2871

CPC					
Symbol				Туре	e Version
G02F	1	/ 13	68	F	2013-01-01
G02F	1	/ 13	3345	А	2013-01-01
H01L	27	/ 12	14	1	2013-01-01
H01L	27	/ 12	25	1	2013-01-01
H01L	27	/ 12	48		2013-01-01
G02F	1	/ 13	454	1	2013-01-01

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	27			
/RICHARD KIM/ Primary Examiner.Art Unit 2871	11/15/15	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	2		
I.S. Patent and Trademark Office		D-	rt of Paper No. 2015111.		

U.S. Patent and Trademark Office

Part of Paper No. 20151114

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13939323	HOSAKA ET AL.
	Examiner	Art Unit
	RICHARD KIM	2871

	US ORIGINAL CLASSIFICATION						INTERNATIONAL CLASSIFICATION						ON		
	CLASS			SUBCLASS					С	LAIMED		NON-CLAIMED			
						G	0	2	F	1 / 1345 (2006.01.01)					
	CROSS REFERENCE(S)														
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										

NONE					
(Date)	27				
11/15/15	O.G. Print Claim(s)	O.G. Print Figure			
(Date)	1	2			
	11/15/15	(Date) 11/15/15 O.G. Print Claim(s)			

U.S. Patent and Trademark Office

Part of Paper No. 20151114

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13939323	HOSAKA ET AL.
	Examiner	Art Unit
	RICHARD KIM	2871

	Claims renumbered in the same order as presented by applicant								СР		] т.р.	[	] R.1.4	47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1		17	10	33										
2	2		18	11	34										
3	3		19	18	35										
4	4		20	19	36										
5	5	12	21	26	37										
6	6	13	22	27	38										
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	13	22	29												
	14	23	30												
	15	24	31												
	16	25	32												

NONE					
	27				
	O.G. Print Claim(s)	O.G. Print Figure			
	1	2			
		1			

U.S. Patent and Trademark Office

Part of Paper No. 20151114

# **EAST Search History**

# EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator		Time Stamp
S178	1602	( (G02F1/133345).CPC. and ((thin adj film adj transistor) tft) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:19
S181	797	S180 and driv\$5	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:20
S180	835	(349/151).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/11/12 17:20
S179	1423	( (G02F1/134363).CPC. and ffs )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:20
S182	3053	((G02F1/13454).CPC.)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:22
S183	2863	( (G02F1/13454).CPC. and @ad< "20130720" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:23
S184	206	( (G02F1/13454).CPC. and @ad<"20130720" and (third adj (passivat\$5 insulat\$5)) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 17:24
S185	164	( (G02F1/133345).CPC. and S182 )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/12 18:16
S186	8	("20110068334"   "20110095299"   "20130321726"   "20140078440"   "7142260"   "8189130"   "8592814"   "8791458").PN. OR ("9146429").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/11/12 18:17
S187	243	("3967882"   "4390245"   "4775225"   "4844597"   "5148301"   "5338240"   "5381255"   "5537235"   "5566013"   "5739888"   "5880803").PN. OR ("6163357").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/11/12 18:25
S188	120	("20010046027"   "20020056838"	US-	OR	ON	2015/11/12

BLUEHOUSE EXHIBIT 1002 Page 26 of 337

	"20020132454"   "20030137621"   "20030189401"   "20030218222"   "20040038446"   "20040127038"   "20050017302"   "20050088589"   "20050199959"   "20060012729"   "20060035452"   "20060043377"   "20060091793"   "20060108529"	PGPUB; USPAT; USOCR			18:37
	"20060108636"   "20060110867"   "20060113536"   "20060113539"   "20060113549"   "20060113565"   "20060169973"   "20060170111"   "20060197092"   "20060208977"   "20060228974"   "20060231882"   "20060238135"   "20060244107"   "20060284171"   "20060284172"   "20060292777"   "20070024187"   "20070046191"   "20070052025"				
	"20070054507"   "20070072439"   "20070090365"   "20070108446"   "20070152217"   "20070172591"   "20070187678"   "20070187760"   "20070194379"   "20070252928"   "20070272922"   "20070287296"   "20080006877"   "20080038882"   "20080038929"   "20080050595"   "20080073653"   "20080083950"				
	"20080106191"   "20080128689"   "20080129195"   "20080166834"   "20080182358"   "20080224133"   "20080254569"   "20080258139"   "20080258140"   "20080258141"   "20080258143"   "20080296568"   "20080308796"   "20080308797"   "20080308804"   "20080308805"   "20080308806"   "20090008639"				
	"20090068773"   "20090073325"   "20090114910"   "20090134399"   "20090152506"   "20090152541"   "20090239335"   "20090278122"   "20090280600"   "20090305461"   "20100051937"   "20100065844"   "20100092800"   "20100109002"   "20100136743"   "20110102697"   "5731856"   "5744864"   "6294274"				
	"6489952"   "6563174"   "6635505"   "6727522").PN. OR ("6847422"   "7049190"   "7061014"   "7064346"   "7105868"   "7198967"   "7211825"   "7282782"   "7297977"   "7298437"   "7323356"   "7385224"   "7402506"   "7411209"   "7453065"   "7453087"   "7462862"   "7468304"   "7501293"   "7544981"   "7674650"   "7732819").PN. OR ("8592814").URPN.				
S189 1	("20020084459").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/11/12 20:09
S190 566	( (G02F1/13454).CPC. and @ad<"20130720" and (second adj insulat\$5) )	US- PGPUB; USPAT;	OR	ON	2015/11/12 21:03

S191	206	( (G02F1/13454).CPC. and @ad<"20130720" and (third adj (passivat\$5 insulat\$5)) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/13 17:16
L1	20147	(g02f1/1368 h01l27/1214 h01l27/1225 h01l27/1248 g02f1/1354).cpc.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:56
L3	1903	( (G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and (third adj (insulat\$5 passivat\$5))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:58
L2	18653	( (G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:58
L5	925	( (G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and (third adj (insulat\$5 passivat\$5)) and ((driv\$5 peripher\$5) near5 (TFT transistor)) and @ad<"20120720"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:59
L4	1192	( (G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and (third adj (insulat\$5 passivat\$5)) and ((driv\$5 peripher\$5) near5 (TFT transistor))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 20:59
L6	4714	( (G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and ((opening hole) near5 (insulat\$5 passivat\$5)) and ((driv\$5 peripher\$5) near5 (TFT transistor)) and @ad<"20120720"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 21:25
L7	2130	( (G02F1/1368 OR H01L27/1248 OR H01L27/1214 OR H01L27/1255).CPC. ) and ((opening hole) near5 (insulat\$5 passivat\$5) near5 (second third)) and ((driv\$5 peripher\$5) near5 (TFT transistor)) and @ad<"20120720"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 21:26
L9	2313	( (G02F1/133345).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/11/15 21:53

## EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L8		(third adj insulating adj film adj3 opening adj provided).clm.	US-PGPUB; USPAT	OR	ON	2015/11/15 21:53

#### 11/15/2015 10:00:57 PM

C:\Users\rkim3\Documents\EAST\Workspaces\13939323.wsp

EAST Search History

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13939323	HOSAKA ET AL.
	Examiner	Art Unit
	RICHARD KIM	2871

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED					
Symbol Date Examiner					

US CLASSIFICATION SEARCHED					
Class	Subclass	Date	Examiner		

SEARCH NOTES				
Search Notes	Date	Examiner		
EAST search attached	1/12/2015	RHK		
EAST search attached	7/31/2015	RHK		
EAST search attached	11/15/2015	RHK		

INTERFERENCE SEARCH					
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		
	PGPUB claim language search	11/15/2015	RHK		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQU	JEST FC		D EXAMINATIC d Only via EFS	N(RCE)TRANSMI	TTAL	
Application Number	13/939,323	Filing Date	2013-07-11	Docket Number (if applicable)	0756-10194	Art Unit	2871
First Named Inventor	Yasuharu HOSA	KA et al.		Examiner Name	Richard H. Kim		
Request for C	ontinued Examina	ation (RCE)	practice under 37 C		above-identified applica oply to any utility or plant a NWW.USPTO.GOV		I prior to June 8,
		S	UBMISSION REC	UIRED UNDER 37	CFR 1.114		
in which they	were filed unless a	applicant ins		applicant does not wi	nents enclosed with the Re sh to have any previously		
	y submitted. If a fin on even if this box			any amendments file	ed after the final Office acti	ion may be cor	sidered as a
□ Co	nsider the argume	ents in the A	ppeal Brief or Reply	Brief previously filed	l on		
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Signature of Registered U.S. Patent Practitioner					
Signature	/Eric J. Robinson/	Date (YYYY-MM-DD)	2015-11-03		
Name	Eric J. Robinson	Registration Number	38285		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Patent Application of:	)	Confirmation No. 2340
Yasu	haru HOSAKA et al.	)	Group Art Unit: 2871
Seria	l No. 13/939,323	)	Examiner: Richard H. Kim
Filed	: July 11, 2013	)	
For:	DISPLAY DEVICE AND	)	
	ELECTRONIC DEVICE	)	
	INCLUDING THE DISPLAY	)	
	DEVICE	)	

#### AMENDMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action dated August 6, 2015, please consider the following amendments and remarks in connection with the above-identified application.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.

## - 2 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

The listing of claims will replace all prior versions, and listings, of claims in the application:

#### Listing of Claims:

1. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film covering the second insulating film; and

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film,

wherein the third insulating film is in a opening provided in the second insulating

film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein an edge portion of the second insulating film overlaps with the third insulating film.

2. (Previously Presented) The display device according to claim 1 further comprising:

a second electrode over the first electrode;

BLUEHOUSE EXHIBIT 1002 Page 35 of 337 a fourth insulating film over the second electrode; a colored film over the fourth insulating film; and a light-blocking film over the fourth insulating film.

3. (Original) The display device according to claim 1,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Znbased metal oxide film.

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4. (Original) The display device according to claim 1,

wherein the second insulating film is any of an acrylic-based resin, a polyimidebased resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxybased resin.

5. (Original) The display device according to claim 1,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

6. (Original) The display device according to claim 1,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

7. (Original) The display device according to claim 6,wherein the oxide semiconductor layer comprises any of indium and zinc.

8. (Original) The display device according to claim 6,

wherein the oxide semiconductor layer has a first oxide semiconductor film and a second oxide semiconductor film.

### Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

9. (Original) An electronic device comprising the display device according to claim 1.

10.-20. (Canceled)

21. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film covering the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and

a liquid crystal layer over the first electrode; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film,

wherein the third insulating film is in an opening provided in the second insulating

<u>film,</u>

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein an edge portion of the second insulating film overlaps with the third insulating film.

22. (Previously Presented) The display device according to claim 21, further comprising:

- 5 -

a first alignment film over the first electrode;

a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film;

a fourth insulating film over the second electrode;

a colored film over the fourth insulating film; and

a light-blocking film over the fourth insulating film.

23. (Previously Presented) The display device according to claim 21,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

24. (Previously Presented) The display device according to claim 21,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

25. (Previously Presented) The display device according to claim 21,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

26. (Previously Presented) An electronic device comprising the display device according to claim 21.

27. (Previously Presented) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film covering the second insulating film;

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a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and

a liquid crystal layer over the first electrode; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film;

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material,

wherein an edge portion of the second insulating film overlaps with the third insulating film, and

wherein the third insulating film is not provided in the driver circuit portion.

28. (Previously Presented) The display device according to claim 27, further comprising:

a first alignment film over the first electrode;

a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film;

a fourth insulating film over the second electrode;

a colored film over the fourth insulating film; and

a light-blocking film over the fourth insulating film.

29. (Previously Presented) The display device according to claim 27,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

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30. (Previously Presented) The display device according to claim 27,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

31. (Previously Presented) The display device according to claim 27,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

32. (Previously Presented) An electronic device comprising the display device according to claim 27.

33. (Previously Presented) The display device according to claim 1,

wherein an edge portion of the first insulating film overlaps with the third insulating film.

34. (Previously Presented) The display device according to claim 1,

wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and

wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

35. (Previously Presented) The display device according to claim 21,

wherein an edge portion of the first insulating film overlaps with the third insulating film.

36. (Previously Presented) The display device according to claim 21,

wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and

wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

37. (Previously Presented) The display device according to claim 27,

wherein an edge portion of the first insulating film overlaps with the third insulating film.

38. (Previously Presented) The display device according to claim 27,

wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and

wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

#### **REMARKS**

-9-

The Official Action mailed August 6, 2015, has been received and its contents carefully noted. This response is filed within three months of the mailing date of the Official Action and therefore is believed to be timely without extension of time. Filed concurrently herewith is a *Request for Continued Examination*. Accordingly, the Applicant respectfully submits that this response is being timely filed.

The Applicant notes with appreciation the consideration of the Information Disclosure Statements filed on July 11, 2013; September 19, 2013; November 12, 2013; December 2, 2014 and April 21, 2015.

Claims 1-9 and 21-38 are pending in the present application, of which claims 1, 21 and 27 are independent. The Applicant notes with appreciation the allowance of claims 27-32, 37 and 38. Claims 1 and 21 have been amended to better recite the features of the present invention. For the reasons set forth in detail below, all claims are believed to be in condition for allowance. Favorable reconsideration is requested.

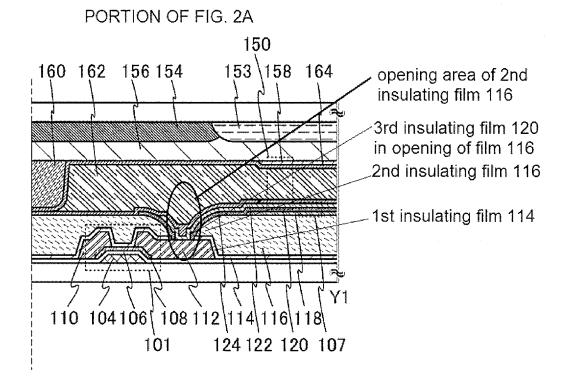
Paragraph 3 of the Official Action rejects claims 1-5, 9, 21-24, 26 and 33-36 as obvious based on U.S. Patent No. 8,988,623 to Koyama. Paragraph 12 of the Official Action rejects claims 6, 7 and 25 as obvious based on the combination of Koyama and U.S. Publication No. 2012/0013817 to Kim. Paragraph 16 of the Official Action rejects claim 8 as obvious based on the combination of Koyama, Kim and U.S. Publication No. 2011/0157252 to Yamazaki. The Applicant respectfully traverses the rejections because a *prima facie* case of obviousness cannot be maintained against the independent claims of the present application, as amended.

As stated in MPEP §§ 2142-2144.04, to establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some reason, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations.

# - 10 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

Obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some reason to do so found either explicitly or implicitly in the references themselves or in the knowledge generally available to one of ordinary skill in the art. "The test for an implicit showing is what the combined teachings, knowledge of one of ordinary skill in the art, and the nature of the problem to be solved as a whole would have suggested to those of ordinary skill in the art." In re Kotzab, 217 F.3d 1365, 1370, 55 USPQ2d 1313, 1317 (Fed. Cir. 2000). See also In re Fine, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988); In re Jones, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992).

With respect to independent claims 1 and 21, the prior art, either alone or in combination, does not teach or suggest all the features of the independent claims, as amended. Specifically, rejected independent claims 1 and 21 have been revised to add the limitation that "the third insulating film is in an opening provided in the second insulating film," as supported by at least Applicant's FIG. 2A, a portion of which is annotated below.

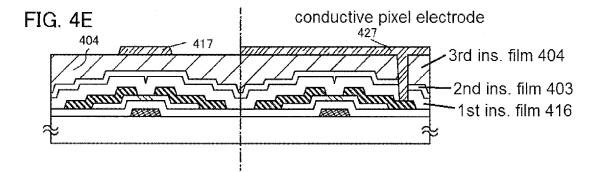


### Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

Koyama does not teach or suggest the added feature. The Patent Office relies on FIGS. 4A-4E and alleges that Koyama discloses a first insulating film 416, a second insulating film 403, and a third insulating film 404. However, as shown by asserted FIG. 4E of Koyama annotated below, to the extent that the alleged second insulating film 403 has an opening therein, the alleged third insulating film 404 is not "in" such an opening. Rather, it is conductive pixel electrode 427 that is provided into such an opening. For at least this reason, a *prima facie* case of obviousness cannot be maintained.

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#### KOYAMA



Moreover, in the claimed invention, the second insulating film is formed using an organic insulating material with which the planarity is improved. However, when heating or the like is performed, the organic insulating material releases hydrogen, moisture or an organic component as a gas. Entry of such gas into a transistor could adversely impact and change the electrical characteristics of the display device and the reliability of the display device can be decreased. However, the claimed first insulating film and the third insulating film cover the second insulating film. The first insulating film and the third insulating film include inorganic insulating materials such as a silicon nitride film, a silicon nitride oxide film, or the like. These can prevent entry of the gas into the first transistor and suppress a change of electrical characteristics and decrease in the reliability of the display device (e.g., see Applicant's paragraphs [0156] to [0162]). Thus, the stacking order of the organic insulating film and the inorganic insulating film and the as a specific beneficial function.

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On the other hand, while the cited references may disclose a display device including various organic and inorganic insulating films, they do not disclose the stacking order or appreciate the criticality to such in obtaining a functionality that can prevent entry of the gas from the second insulating film into the first transistor. As a result, one of ordinary skill in the art would not have been predictably led to the claimed invention. For this additional reason, a *prima facie* case of obviousness has not been met.

Because Koyama alone or in view of Kim and Yamazaki do not appear to teach or suggest all the claim limitations, or appreciate advantages thereof, a *prima facie* case of obviousness cannot be maintained with respect to independent claims 1 and 21. Therefore, Applicant believes the rejections of claims 1 and 21 and claims dependent therefrom are not proper. Accordingly, reconsideration and withdrawal of the rejections under 35 U.S.C. § 103(a) are in order and respectfully requested.

Should the Examiner believe that anything further would be desirable to place this application in better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number listed below.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson

Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

Electronic Patent Application Fee Transmittal							
Application Number:	13	939323					
Filing Date:	11	11-Jul-2013					
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE						
First Named Inventor/Applicant Name:	Ya	suharu HOSAKA					
Filer:	Eri	c J. Robinson					
Attorney Docket Number:	07	56-10194					
Filed as Large Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for Continued Examination	1801	1	1200	1200
	1200			

Electronic Ac	Electronic Acknowledgement Receipt							
EFS ID:	23974637							
Application Number:	13939323							
International Application Number:								
Confirmation Number:	2340							
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE							
First Named Inventor/Applicant Name:	Yasuharu HOSAKA							
Customer Number:	31780							
Filer:	Eric J. Robinson/Jennifer Rosenfeld							
Filer Authorized By:	Eric J. Robinson							
Attorney Docket Number:	0756-10194							
Receipt Date:	03-NOV-2015							
Filing Date:	11-JUL-2013							
Time Stamp:	15:53:43							
Application Type:	Utility under 35 USC 111(a)							

# Payment information:

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File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination		697481		
1	(RCE)	RCE_03NOV2015.pdf	947ec17b1bf46bc400b179963e7c331dbe0 6eea4	no	3
Warnings:				•	
Information				1	
2	Amendment Submitted/Entered with	AMENDMENT_03NOV2015.pdf	1550890	no	12
Z	Filing of CPA/RCE	AMENDMENT_05NOV2013.pdf	59d270935a8dcc077e4a4bbcea2f0da7973 3380a	110	12
Warnings:			· · ·		
Information					
3	Fee Worksheet (SB06)	fee-info.pdf	30686	no	2
5			8962f62b9b268395a9b0bda0fab7257ca6- bdee6		2
Warnings:					
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		Total Files Size (in bytes)	: 22	79057	
characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new international stage and of the Im	Aledgement Receipt evidences receip d by the applicant, and including pages described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin <u>ge of an International Application un</u> abmission to enter the national stage nd other applicable requirements a F ge submission under 35 U.S.C. 371 with <u>tional Application Filed with the USP</u> rnational application is being filed an onal filing date (see PCT Article 11 an iternational Filing Date (Form PCT/RC urity, and the date shown on this Ack ion.	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>Inder 35 U.S.C. 371</u> of an international application orm PCT/DO/EO/903 indication orm PCT/DO/EO/903 indication 2 <u>TO as a Receiving Office</u> and the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	It serves as evidence components for a filin course and the date s ion is compliant with t ing acceptance of the e Filing Receipt, in du ion includes the neces of the International A course, subject to pres	of receipt si g date (see hown on th the conditic application e course. ssary comp Application scriptions co	imilar to a 37 CFR is ons of 35 as a onents for Number oncerning

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		Unde	er the Pap	perwork R	eduction Act of 1995,	no persons are requi	red to respond to	U.S. Patent and Tradema	ark Office; U.S. DEPARTMENT OF COMMERCE on unless it displays a valid OMB control number
P/	ATENT APPL	ICATION Substitu				N RECORD		or Docket Number /939,323	Filing Date 07/11/2013 To be Mailed
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						ATION AS FIL			
			(C	Column 1		(Column 2)	LU-FAN		
	FOR			/BER FIL		NUMBER EXTRA		RATE (\$)	FEE (\$)
	BASIC FEE			N/A		N/A		N/A	
	(37 CFR 1.16(a), (b), SEARCH FEE			N/A		N/A		N/A	
	(37 CFR 1.16(k), (i), o EXAMINATION FE			N/A		N/A	_	N/A	
тот	(37 CFR 1.16(0), (p), AL CLAIMS	or (q))				N/A			
	CFR 1.16(i)) EPENDENT CLAIM	S			us 20 = *			X \$ =	
(37 (	CFR 1.16(h))		If the sr		nus 3 = *	gs exceed 100 s	neets	X \$ =	
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		(Column	1)		<b>APPLICAT</b> (Column 2)	ION AS AMEN (Column 3		RT II	
NT	11/03/2015	CLAIMS REMAININ AFTER AMENDMI			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIONAL FEE (\$)
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AM	Application Si	ize Fee (37 C	CFR 1.16	6(s))					
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proce	ss) an application. (	Confidentiality	y is gove	erned by	35 U.S.C. 122 and	d 37 CFR 1.14. Thi	s collection is	estimated to take 12	which is to file (and by the USPTO to minutes to complete, including gathering, y comments on the amount of time you

require to complete upper and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	ed States Paten	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	FOR PATENTS	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194	2340
		EXAMINER KIM, RICHARD H		
Fairfax, VA 22			ART UNIT	PAPER NUMBER
			2871	
			MAIL DATE 08/06/2015	DELIVERY MODE PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	<b>Application No.</b> 13/939,323	Applicant(s) HOSAKA ET AL.	
Office Action Summary	Examiner RICHARD KIM	<b>Art Unit</b> 2871	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orresponden	ce address
A SHORTENED STATUTORY PERIOD FOR REPL' THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tir will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	nely filed the mailing date of D (35 U.S.C. § 133	this communication.
Status			
1) Responsive to communication(s) filed on <u>4/21</u>			
	action is non-final.		
3) An election was made by the applicant in resp		set forth durir	ng the interview on
; the restriction requirement and election	have been incorporated into this	action.	
4) Since this application is in condition for alloward	nce except for formal matters, pro	osecution as t	o the merits is
closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D. 11, 4	53 O.G. 213.	
<ul> <li>Disposition of Claims*</li> <li>5) ☐ Claim(s) <u>1-9 and 21-38</u> is/are pending in the a 5a) Of the above claim(s) is/are withdray</li> <li>6) ☐ Claim(s) <u>27-32,37 and 38</u> is/are allowed.</li> <li>7) ☐ Claim(s) <u>1-9,21-26 and 33-36</u> is/are rejected.</li> <li>8) ☐ Claim(s) is/are objected to.</li> <li>9) ☐ Claim(s) are subject to restriction and/o</li> <li>* If any claims have been determined <u>allowable</u>, you may be eleparticipating intellectual property office for the corresponding a <u>http://www.uspto.gov/patents/init_events/pph/index.jsp</u> or send</li> <li>Application Papers <ul> <li>10) ☐ The specification is objected to by the Examine 11) ☐ The drawing(s) filed on is/are: a) ☐ acc Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct</li> </ul> </li> </ul>	wn from consideration. In election requirement. Igible to benefit from the <b>Patent Pro</b> pplication. For more information, plea an inquiry to <u>PPHfeedback@uspto.c</u> er. epted or b) objected to by the drawing(s) be held in abeyance. Sec	ase see <u>aov</u> . Examiner. e 37 CFR 1.850	(a).
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign Certified copies: a) All b) Some** c) None of the: 1. Certified copies of the priority documen 2. Certified copies of the priority documen 3. Copies of the certified copies of the priority documen ** See the attached detailed Office action for a list of the certified	ts have been received. ts have been received in Applica prity documents have been receiv u (PCT Rule 17.2(a)).	tion No	—
<ul> <li>Attachment(s)</li> <li>1)  Notice of References Cited (PTO-892)</li> <li>2)  Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SPaper No(s)/Mail Date <u>4/21/15</u>.</li> </ul>	3)		

1. The present application is being examined under the pre-AIA first to invent provisions.

# **DETAILED ACTION**

#### Claim Rejections - 35 USC § 103

# 2. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

3. Claims 1-5, 9, 21-24, 26 and 33-36 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Koyama et al. (US 8,988,623 B2).

4. Re claims 1, 9, 21, 26, Koyama et al. discloses an electronic device (Figs. 4a-4e) comprising a first transistor (420); a first insulating film (416) over the first transistor; a second insulating film (403) over the first insulating film; a third insulating film (404) covering the second insulating film; and a first electrode (427) over the third insulating film, the first electrode being electrically connected to the first transistor (see Fig. 4D, ref. 427); a liquid crystal layer (Fig. 10, ref. 650) over the first electrodes; and a driver circuit portion comprising: a second transistor (410); the first insulating film (416) over the second transistor; and the second insulating film (403) over the first insulating film, wherein the first insulating film comprises an inorganic insulating material (col. 25, lines 29, 30), wherein an edge portion of the second insulating film (403) overlaps with the third insulating film (404) (at the contact hole in Fig. 4e). Koyama et al. does not disclose the device wherein the second insulating film comprises an

organic insulating material, and wherein the third insulating film comprises an inorganic insulating material.

5. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the second insulating film comprises an organic insulating material, wherein the third insulating film comprises an inorganic insulating material. Alternating layers of inorganic and organic insulating material is well known in the art to obtain excellent insulating properties. Additionally, the simple substitution of one known element for another to obtain predictable results requires routine skill in the art (*KSR*).

6. Re claims 2 and 22, Koyama et al. does not disclose the device comprising a first alignment film over the first electrode; a second alignment film over the liquid crystal layer; a second electrode over the second alignment film; a fourth insulating film over the second electrode; a colored film over the fourth insulating film; and a light blocking film over the fourth insulating film.

7. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device comprising a first alignment film over the first electrode; a second alignment film over the liquid crystal layer; a second electrode over the second alignment film; a fourth insulating film over the second electrode; a colored film over the fourth insulating film; and a light blocking film over the fourth insulating film. Doing so is a well-known structure in the art to form a color filter substrate in order to obtain a color display.

8. Re claims 3-5, 23 and 24, Koyama et al. does not disclose the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the

second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film.

9. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film. Employing the claimed materials as an insulating layer is well known in the art due to their high insulating properties. Furthermore, it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

10. Re claims 33 and 35, Koyama et al. discloses the device wherein an edge portion of the first insulating film (416) overlaps with the third insulating film (404) (at the contact hole in Fig. 4e).

11. Re claims 34 and 36, Koyama et al. discloses the device wherein the first transistor and the second transistor each comprises a gate insulating film (402), a source electrode (415a), and a drain electrode (415b), and wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

12. Claims 6, 7 and 25 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Koyama et al. in view of Kim et al. (US 2012/0013817 A1).

13. Koyama et al. discloses the device previously recited, but does not disclose the device wherein the first and the second transistors each comprise an oxide semiconductor layer, wherein the oxide semiconductor layer comprises any of indium and zinc.

14. Kim et al. discloses a device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc (paragraph 0107).

15. It would have been obvious to one having ordinary skill in the art at the time the invention as made to employ the device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc since one would be motivated by superior semiconductor characteristics (paragraph 0107).

16. Claim 8 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Koyama et al. and Kim et al. in view of Yamazaki et al. (US 2011/0157252 A1).

17. Koyama et al. does not disclose the device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer.

18. Yamazaki et al. discloses a device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer (paragraph 0178).

19. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the oxide semiconductor layer has a first

oxide semiconductor layer and a second oxide semiconductor layer since one would be motivated by obtaining an oxide semiconductor having a crystal region having a large thickness (paragraph 0178).

#### Allowable Subject Matter

20. Claims 27-32, 37 and 38 allowed.

#### **Response to Arguments**

21. Applicant's arguments with respect to the claims have been considered but are moot due to new grounds of rejection.

#### **Conclusion**

22. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bumsuk Won can be reached on (571)272-2713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/ Primary Examiner, Art Unit 2871

Notice of References Cited	Application/Control No. 13/939,323	Applicant(s)/Patent Under Reexamination HOSAKA ET AL.				
	Examiner	Art Unit				
	RICHARD KIM	2871	Page 1 of 1			

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-8,988,623 B2	03-2015	Koyama et al.	349/43
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	Ι	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
	Q					
	R					
	s					
	Т					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	w	
	x	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Part of Paper No. 20150729

# EAST Search History

# EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator		Time Stamp
S72 4		("6498369" "20090046230").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 19:54
S73	7	yasuharu.in. and hosaka.in. and (edge adj portion)		OR	ON	2015/07/29 20:03
S76	234	S74 and S75	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:35
S75	3440	(349/43).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:35
S74	1463	(349/138).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:35
S78	75	S77 and ((align\$5 adj film) near5 (contact adj hole))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:43
S77	60592	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:43
S80	27	S79 and Richard.xa.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:51
S79	1463	(349/138).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 20:51
S82	3	S79 and Richard.xa. and superimpos\$5	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:52
S81	2	S79 and Richard.xa. and superimpose	US- PGPUB; USPAT;	OR	ON	2015/07/29 20:52 JSE EXHIBIT 10

			EPO; DERWENT			
S83	322	( (G02F1/136227 and G02F1/133345).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 20:53
S84	254	( (G02F1/136227 and G02F1/133345).CPC. and @ad< "20130711" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:01
S86	102	((G02F1/136227 and G02F1/133345).CPC. and @ad< "20120720") and (driv\$5 near5 (transistor tft))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:04
S85	223	( (G02F1/136227 and G02F1/133345).CPC. and @ad< "20120720")	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:04
S87	110	( (G02F1/136227 and G02F1/133345).CPC. and @ad< "20130720") and (driv\$5 near5 (transistor tft))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:05
S88	251	( (G02F1/136227 and G02F1/13454).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:06
S89	19	("4826297"   "5095304"   "5130829"   "5641974"   "5798534"   "5936698"   "5952588"   "5982462"   "5982469"   "6046479"   "6108055").PN. OR ("6246460").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:08
S91	278	S90 and (driv\$5 near5 transistor)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:09
S90	835	(349/151).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 21:09
S94	147	S92 and S93	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:10
S93	1896	( (G02F1/133345).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:10
S92	2878	( (G02F1/13454).CPC. )	US- PGPUB; USPAT; EPO;	OR	ON	2015/07/29 21:10 JSE EXHIBIT 10

			DERWENT			
S95	64	("4408836"   "4832457"   "4874227"   "4906071"   "5032531"   "5055899"   "5106197"   "5121237"   "5148301"   "5164853"   "5200847"   "5250931"   "5453858"   "5525822"   "5608557"   "5644370"   "5650664"   "5710606"   "5739880"   "5780872"   "5796150"   "5815226"   "5818070"   "5821138"   "5821622"   "5859443"   "5864150"   "5929464").PN. OR ("6115094").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:11
S98	1443	S96 and (driv\$5 near2 TFT) and ((contact adj hole) near5 (insulat\$5))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:14
S97	4795	S96 and (driv\$5 near2 TFT)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:14
S96	60592	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 21:14
S99	1343	S96 and (driv\$5 near2 TFT) and ((contact adj hole) near5 (insulat\$5)) and @ad<"20120711"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:15
S100	6	("20060215102"   "20070279572"   "20080218838"   "20090051286"   "20110068334"   "20110080549").PN. OR ("8982306").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:17
S101	15	("20060256268"   "20070146591"   "20070222925"   "6727969"   "6798480"   "6914656"   "7088409"   "7362400"   "7486351"   "7502084").PN. OR ("7643115").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/07/29 21:48
S103	419	S102 and ((tft) near5 driv\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 21:54
S102	2740	(349/141).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 21:54
S104	15	("20060256268"   "20070146591"   "20070222925"   "6727969"   "6798480"   "6914656"   "7088409"   "7362400"   "7486351"   "7502084").PN. OR ("7643115").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/07/29 22:01
S107	137	S105 and S106	US- PGPUB; USPAT; EPO;	OR	ON	2015/07/29 22:02

	<u> </u>		DERWENT		<u> </u>	
S106	2740	(349/141).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:02
S105	1463	(349/138).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:02
S109	1325	( (G02F1/133345).CPC. and ((thin adj film adj transistor) tft) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:09
S108	1896	( (G02F1/133345).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:09
S110	946	( (G02F1/133345).CPC. and ((thin adj film adj transistor) tft) and @ad<"20120720" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:10
S111	1	("8531637").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:21
S113	46	S112 and (bottom adj gate)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/29 22:28
S112	835	(349/151).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:28
S114	1	("6498369").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/29 22:42
S115	1	("8988623").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 15:11
S116	13	( (G02F1/133345).CPC. and (inorganic near5 organic near5 alternat\$5) and @ad<"20120720" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:32
S118	60633	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 15:34
S117	1	( (G02F1/133345).CPC. and (inorganic near5 organic near5 alternating) and @ad<"20120720" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:34

S120	10	near5 alternate) and @ad<"20120720)		OR	ON	2015/07/30 15:35
S119	18	18 (S118 and (inorganic near5 organic near5 alternating) and @ad<"20120720")		OR	ON	2015/07/30 15:35
S121	64	( S118 and (inorganic near5 organic near5 gas) and @ad<"20120720" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:36
S123	23	( S118 and (inorganic near5 organic near5 react\$5) and @ad<"20120720" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:37
S122	1	(S118 and (inorganic near5 organic near5 reactivity) and @ad<"20120720" )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/30 15:37
S124	1	("20120013817").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 16:48
S125	1	("20110157252"). <b>PN</b> .	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 16:50
S126	1	("6498369").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 21:45
S127	1	("8,988,623").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/30 21:46
S129	129923	g02f1/\$.cpc.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:21
S128	0	g02f1.cpc.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:21
S131	41	S129 and ((alternating alternate) near5 organic near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:22
S130	242	S129 and ((alternating alternat\$5) near5 organic near5 inorganic)	US- PGPUB; USPAT; EPO;	OR	ON	2015/07/31 00:22

			DERWENT			1
S132	11	S129 and ((alternates) near5 organic near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:24
S135	400	S134 and (organic near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:26
S134	1463	(349/138).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 00:26
S133	11	S132 and (organic near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:26
S137	310	S134 and S136	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:27
S136	4760	(349/42,43).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 00:27
S139	75	S137 and (organic near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:28
S138	388489	"19" and (organic near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 00:28
S146	34	S145 and ((organic near5 inorganic) near5 (alternating))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29
S145	129923	g02f1/\$.cpc.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29
S144	0	S141 and ((organic near5 inorganic) near5 (alternating))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29
S143	0	S142 and ((organic near5 inorganic) near5 (alternating))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:29

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S142	310	S140 and S141	US- PGPUB; USPAT; EPO;	OR	ON	2015/07/31 13:29
			DERWENT			
S141	4760	(349/42,43).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 13:29
S140	1463	(349/138).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 13:29
S147	171	S145 and ((organic near5 inorganic) near5 (barrier))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:32
S148	0	S145 and ((organic near5 inorganic) near5 (barrier) near5 tft)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:33
S149	6	S145 and ((organic near5 inorganic) near5 (stack) near5 tft)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:40
S152	56	S141 and ((organic near5 inorganic) near5 (stacked stack))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:41
S151	480	S145 and ((organic near5 inorganic) near5 (stacked stack))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:41
S150	4	S145 and ((organic near5 inorganic) near5 (stacked) near5 tft)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:41
S153	3	"8988623"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 13:48
S156	24	S154 and S155	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:08
S155	1463	(349/138).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 14:08
S154	835	(349/151).CCLS.	US- PGPUB; USPAT;	OR	OFF	2015/07/31 14:08 OUSE EXHIBIT 10

			USOCR			
S157	1205	S155 and (insulating)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:09
S161	2623	( (G02F1/13454).CPC. ) and @ad< "20120720"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:10
S160	2878	( (G02F1/13454).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:10
S159	287	S158 and (tft near5 driv\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:10
S158	835	(349/151).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/07/31 14:10
S162	141	( (G02F1/13454).CPC. ) and @ad< "20120720" and zhang.in.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/07/31 14:11
S163	23	("4457589"   "5148301"   "5300446"   "5523865"   "5777701"   "5888856"   "6011607"   "6055034"   "6072556"   "6075580").PN. OR ("6404479").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/07/31 14:12
L1	1	("8988623").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 14:41
L2	1	("20110157252").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 14:52
L4	23	("7,583,336" "7,696,529" "7,760,309" "8,115,893" "8,399,182" "8,704,962" "7,859,510" "20100117991").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 14:56
L3	21	("7,583,336" "7,696,529" "7,760,309" "8,115,893" "8,399,182" "8,704,962" "7,859,510" 2010/0117991).pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 14:56
L5	23	("7583336" "7696529" "7760309" "8115893" "8399182" "8704962" "7859510" "20100117991").pn.	US- PGPUB; USPAT;	OR	ON	2015/08/03 14:58
			EPO; DERWENT			

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		"20080239214"   "20080252618"   "20080266661"   "20090051842"   "20090075421"   "20100020029"   "20100194709").PN. OR ("8704962").URPN.	PGPUB; USPAT; USOCR			15:03
L9	59	7 and 8	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:05
L8	3214	(349/149-152).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 15:05
L7	2741	(349/141).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/08/03 15:05
L10	96	("3774989"   "3814501"   "3838909"   "3864905"   "4097128"   "4345249"   "4923286"   "5035490"   "5128782"   "5194976"   "5270846"   "5448385"   "5453858"   "5574292"   "5583678"   "5612799"   "5745203").PN. OR ("6160600").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/08/03 15:07
L11	1338	( (G02F1/134363).CPC. and ffs )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:14
L14	11	((G02F1/13454).CPC.) and 11	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:15
L13	1184	((G02F1/13454).CPC. and ((align\$5 orientat\$5) adj3 (layer film)))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:15
L12	1915	( (G02F1/133345).CPC. )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/08/03 15:15

#### EAST Search History (Interference)

<This search history is empty>

8/ 3/ 2015 3:17:04 PM C:\ Users\ rkim3\ Documents\ EAST\ Workspaces\ 13939323.wsp

PTO/SB/08A (07-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449	bstitute for form 1449/PTO			C	omplete if Known
		SCL	OSURE	Application Number	13/939,323
				Filing Date	July 11, 2013
STATEM	ENIBL	APPI	_ICAN I	First Named Inventor	Yasuharu HOSAKA
(1):00	as many sheats as	000000000	4	Art Unit	2871
(036)	(Use as many sheets as necessary)			Examiner Name	R. KIM
Sheet	1	of	1	Attorney Docket Number	0756-10194

			U. S. PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2 (/f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-7,583,336	09-01-2009	AHN.B		
		US-7,696,529	04-13-2010	CHOO.K et al.		
		US-7,760,309	07-20-2010	AHN.B et al.		
		US-8,115,893	02-14-2012	JUNG.T et al.		
		US-8,399,182	03-19-2013	LIM.J et al.		
		US-8,704,962	04-22-2014	OH.K et al.		
		US-7,859,510	12-28-2010	UMEZAKI.A	· · · · · · · · · · · · · · · · · · ·	
		US-2010/0117991	05-13-2010	KOYAMA.J et al.		
		-				

FOREIGN PATENT DOCUMENTS						
Examiner	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T€
Initials*		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)				
		JP-2007-123861A	05-17-2007			Abst.
		JP-2012-128159A	07-05-2012			Abst.
		JP-2009-271103A	11-19-2009			Abst.
		EP-2466365A	06-20-2012			Eng.
		KR-2012-0067288A	06-25-2012			Abst.
		CN-102540603A	07-04-2012			Abst.

Examiner Signature	/Richard Kim/	Date Considered	08/03/2015

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. 3 Enler Office that issued the document, by the two-letter code (WPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13939323	HOSAKA ET AL.
	Examiner	Art Unit
	RICHARD KIM	2871

CPC- SEARCHED			
Symbol	Date	Examiner	

CPC COMBINATION SETS - SEARCHED			
Symbol Date Examiner			

US CLASSIFICATION SEARCHED				
Class	Subclass	Date	Examiner	

SEARCH NOTES				
Search Notes	Date	Examiner		
EAST search attached	1/12/2015	RHK		
EAST search attached	7/31/2015	RHK		

INTERFERENCE SEARCH				
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner	

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:		)	Confirmation No. 2340
Yasu	haru HOSAKA et al.	)	Group Art Unit: 2871
Seria	l No. 13/939,323	)	Examiner: Richard H. Kim
Filed	: July 11, 2013	)	
For:	DISPLAY DEVICE AND	)	
	ELECTRONIC DEVICE	)	
	INCLUDING THE DISPLAY	)	
	DEVICE	)	

#### AMENDMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action dated January 21, 2015, please consider the following amendments and remarks in connection with the above-identified application.

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.

# - 2 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

The listing of claims will replace all prior versions, and listings, of claims in the application:

### Listing of Claims:

1. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film [[over]] covering the second insulating film; and

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;

a first alignment film over the first electrode; and

a liquid crystal layer over the first alignment film; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film[[; and]]

the first alignment film over the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein the first alignment film is entirely in contact with the second insulating film in the driver circuit portion

wherein an edge portion of the second insulating film overlaps with the third insulating film.

2. (Currently Amended) The display device according to claim 1 further comprising:

a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film first electrode;

a fourth insulating film over the second electrode;

a colored film over the fourth insulating film; and

a light-blocking film over the fourth insulating film.

3. (Original) The display device according to claim 1,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Znbased metal oxide film.

4. (Original) The display device according to claim 1,

wherein the second insulating film is any of an acrylic-based resin, a polyimidebased resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxybased resin.

5. (Original) The display device according to claim 1,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

6. (Original) The display device according to claim 1,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

7. (Original) The display device according to claim 6, wherein the oxide semiconductor layer comprises any of indium and zinc.

8. (Original) The display device according to claim 6,

wherein the oxide semiconductor layer has a first oxide semiconductor film and a second oxide semiconductor film.

9. (Original) An electronic device comprising the display device according to claim 1.

10.-20. (Canceled)

21. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film [[over]] covering the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; and

a first alignment film over the first electrode; and

a liquid crystal layer over the first alignment film electrode; and a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film[[; and]]

the first alignment film over the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein the first alignment film is in contact with the second insulating film over the driver circuit portion

wherein an edge portion of the second insulating film overlaps with the third insulating film.

22. (Currently Amended) The display device according to claim 21, further comprising:

a first alignment film over the first electrode;

a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film;

a fourth insulating film over the second electrode;

a colored film over the fourth insulating film; and

a light-blocking film over the fourth insulating film.

23. (Previously Presented) The display device according to claim 21,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

24. (Previously Presented) The display device according to claim 21,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

25. (Previously Presented) The display device according to claim 21,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

26. (Previously Presented) An electronic device comprising the display device according to claim 21.

27. (Currently Amended) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film over and in contact with covering the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor; <u>and</u>

a first alignment film over the first electrode; and

a liquid crystal layer over the first alignment film electrode; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor; and

the second insulating film over the first insulating film; [[and]]

the first alignment film over and in contact with the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, [[and]] wherein the first alignment film is in contact with the second insulating film over the driver circuit portion

wherein an edge portion of the second insulating film overlaps with the third insulating film, and

wherein the third insulating film is not provided in the driver circuit portion.

28. (Currently Amended) The display device according to claim 27, further comprising:

a first alignment film over the first electrode;

a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film;

a fourth insulating film over the second electrode;

a colored film over the fourth insulating film; and

a light-blocking film over the fourth insulating film.

29. (Previously Presented) The display device according to claim 27,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

30. (Previously Presented) The display device according to claim 27,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

31. (Previously Presented) The display device according to claim 27,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

32. (Previously Presented) An electronic device comprising the display device according to claim 27.

33. (New) The display device according to claim 1,

wherein an edge portion of the first insulating film overlaps with the third insulating film.

34. (New) The display device according to claim 1,

wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and

wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

35. (New) The display device according to claim 21,

wherein an edge portion of the first insulating film overlaps with the third insulating film.

36. (New) The display device according to claim 21,

wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and

wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

37. (New) The display device according to claim 27,

wherein an edge portion of the first insulating film overlaps with the third insulating film.

38. (New) The display device according to claim 27,

wherein the first transistor and the second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and

wherein bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film.

9 -	Application Serial No. 13/939,323
	Attorney Docket No. 0756-10194

#### **REMARKS**

The Official Action mailed January 21, 2015, has been received and its contents carefully noted. This response is filed within three months of the mailing date of the Official Action and therefore is believed to be timely without extension of time. Accordingly, the Applicant respectfully submits that this response is being timely filed.

The Applicant notes with appreciation the consideration of the Information Disclosure Statements filed on July 11, 2013; September 19, 2013; November 12, 2013 and December 2, 2014.

<u>A further Information Disclosure Statement is submitted herewith and</u> consideration of this Information Disclosure Statement is respectfully requested.

Claims 1-9 and 21-32 were pending in the present application prior to the above amendment. Claims 1-2, 21-22 and 27-28 have been amended to better recite the features of the present invention and new claims 33-38, which read on the elected species, have been added to recite additional protection to which the Applicant is entitled. Accordingly, claims 1-9 and 21-38 are now pending in the present application and elected, of which claims 1, 21 and 27 are independent. For the reasons set forth in detail below, all claims are believed to be in condition for allowance. Favorable reconsideration is requested.

Paragraph 3 of the Official Action rejects claims 1-5, 9 and 21-32 as obvious based on the combination of U.S. Patent No. 6,498,369 to Yamazaki and U.S. Publication No. 2009/0046230 to Sakurai. Paragraph 15 of the Official Action rejects claims 6-7 as obvious based on the combination of Yamazaki '369, Sakurai and U.S. Publication No. 2012/0013817 to Kim. Paragraph 19 of the Official Action rejects claim 8 as obvious based on the combination of Sakurai and U.S. Publication No. 2012/0013817 to Kim. Paragraph 19 of the Official Action rejects claim 8 as obvious based on the combination of Sakurai and U.S. Publication No. 2011/0157252 to Yamazaki. The Applicant respectfully traverses the rejections because a *prima facie* case of obviousness cannot be maintained against the independent claims of the present application, as amended.

# - 10 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

As stated in MPEP §§ 2142-2144.04, to establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some reason, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. Obviousness can only be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some reason to do so found either explicitly or implicitly in the references themselves or in the knowledge generally available to one of ordinary skill in the art. "The test for an implicit showing is what the combined teachings, knowledge of one of ordinary skill in the art, and the nature of the problem to be solved as a whole would have suggested to those of ordinary skill in the art." In re Kotzab, 217 F.3d 1365, 1370, 55 USPQ2d 1313, 1317 (Fed. Cir. 2000). See also In re Fine, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988); In re Jones, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992).

With respect to independent claims 1, 21 and 27, the prior art, either alone or in combination, does not teach or suggest all the features of the independent claims, as amended. Specifically, rejected independent claims 1, 21 and 27 are revised to add that a third insulating film (an inorganic film) covers a second insulating film (an inorganic film) and an edge portion of the second insulating film overlaps the third insulating film. Independent claim 27 further adds that the third insulating film is not provided in the driver circuit portion. These features are supported by at least Applicant's FIG. 2 (below) and FIG. 5 as well as paragraph [0235] of Applicant's publication where there are shown and described first-third insulating films 114, 116 and 120, respectively oriented as claimed.

- 11 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

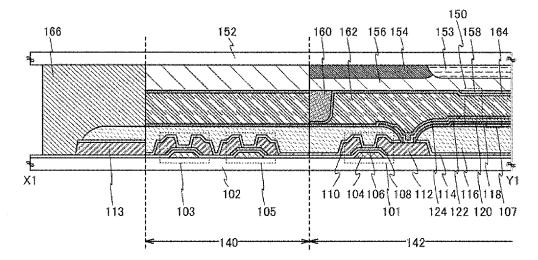


FIG. 2

In the present invention, the second insulating film is formed using an organic insulating material, with which planarity is improved. However, when heating or the like is performed, the organic insulating material may release hydrogen, moisture, or an organic component as a gas. Entry of such a gas into a transistor of the display device may adversely change the electrical characteristics of a display device, and decrease the reliability of the display device. However, covering the second insulating film with the third insulating film including an inorganic insulating material (such as a silicon nitride film, a silicon nitride oxide film, or the like) can prevent entry of such a gas into the first transistor. This acts to suppress a change of electrical characteristics and any adverse decrease in the reliability of the display device.

In rejecting the claims, the Official Action alleges that Yamazaki '369 discloses a second insulating film and a third insulating film over the second insulating film. However, Yamazaki does not necessarily teach or suggest that the third insulating film covers the second insulating film, and fails to teach that entry of gas from the second insulating film into the transistor should be reduced. The secondary references do not appear to overcome the above deficiencies of Yamazaki '369.

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# - 12 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

Because Yamazaki '369 and Sakurai do not teach or suggest all the claim limitations, a *prima facie* case of obviousness cannot be maintained with respect to independent claims 1, 21 and 27. The secondary references to Kim and Yamazaki '252 do not cure the deficiencies of Yamazaki '369 and Sakurai. Therefore, Applicant believes the rejections of claims 1, 21 and 27 and claims dependent therefrom are not proper. Accordingly, reconsideration and withdrawal of the rejections under 35 U.S.C. § 103(a) are in order and respectfully requested.

New claims 33-38 have been added to recite additional protection to which the Applicant is entitled. The features of claims 33-38 are supported in the present specification, for example, by at least Applicant's FIGS. 2 and 5. New claims 33, 35, and 37 recite that an edge of a first insulating film and the third insulating film are overlapped with each other. Thus, the second insulating film is surrounded by the first insulating film and the third insulating film, which are inorganic insulating films that suppress entry of hydrogen, moisture, or an organic component into the transistor. Surrounding the second insulating film can prevent entry of gas into the transistor, and suppress a change of electrical characteristics or a decrease in the reliability of the display device. New claims 34, 36, and 38 recite that a first transistor and a second transistor each comprises a gate insulating film, a source electrode, and a drain electrode, and bottom surfaces of the source electrode and the drain electrode are in contact with a top surface of the gate insulating film. These features do not appear to be taught or suggested by Yamazaki '369 or the other cited references. For the reasons stated above, the Applicant respectfully submits that new claims 33-38 are in condition for allowance.

Should the Examiner believe that anything further would be desirable to place this application in better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number listed below.

# - 13 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	)	Cor	
Yasu	.)	Gro	
Seria	l No. 13/939,323	)	Exa
Filed:	July 11, 2013	)	
For:	DISPLAY DEVICE AND	)	
	ELECTRONIC DEVICE INCLUDING	)	
	THE DISPLAY DEVICE	)	

Confirmation No. 2340

Group Art Unit: 2871

Examiner: Richard H. Kim

# **INFORMATION DISCLOSURE STATEMENT**

)

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

JP 2012-128159; KR 20120067288 and CN 102540603 are in the family of EP 2 466 365.

A payment in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Same

Eric J. Robinson Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

#### PTO/SB/08A (07-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449	/PTÓ			Complete if Known			
INFORMATION DISCLOSURE				Application Number	13/939,323		
STATEMENT BY APPLICANT				Filing Date	July 11, 2013		
			_ICAN I	First Named Inventor	Yasuharu HOSAKA		
(1):00.0				Art Unit	2871		
(036.9	(Use as many sheets as necessary)		Examiner Name	R. KIM			
Sheet	1	of	1	Attorney Docket Number	0756-10194		

	U. S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2 (/f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant				
					Figures Appear				
		US-7,583,336	09-01-2009	AHN.B					
		US-7,696,529	04-13-2010	CHOO.K et al.					
		US-7,760,309	07-20-2010	AHN.B et al.					
		US-8,115,893	02-14-2012	JUNG.T et al.					
		US-8,399,182	03-19-2013	LIM.J et al.					
		US-8,704,962	04-22-2014	OH.K et al.					
		US-7,859,510	12-28-2010	UMEZAKI.A					
		US-2010/0117991	05-13-2010	KOYAMA.J et al.					
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite Initials* No. <sup>1</sup>	Cite Foreign Patent Document F	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant					
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)	MM-DD-YYYY Applicant c	MM-DD-YYYY Applicant of Cited Document	Applicant of Cited Document	-YYYY Applicant of Cited Document	DD-YYYY Applicant of Cited Document	DD-YYYY Applicant of Cited Document	Figures Appear
		JP-2007-123861A	05-17-2007			Abst.			
		JP-2012-128159A	07-05-2012			Abst.			
		JP-2009-271103A	11-19-2009			Abst.			
		EP-2466365A	06-20-2012			Eng.			
		KR-2012-0067288A	06-25-2012			Abst.			
		CN-102540603A	07-04-2012			Abst.			

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number:	139	13939323				
Filing Date:	11-	Jul-2013				
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE				HE DISPLAY DEVICE	
First Named Inventor/Applicant Name:	Yasuharu HOSAKA					
Filer:	Eric J. Robinson/Adele Stamper					
Attorney Docket Number:	075	56-10194				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Claims in Excess of 20		1202	6	80	480	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	660

Electronic Acknowledgement Receipt					
EFS ID:	22124387				
Application Number:	13939323				
International Application Number:					
Confirmation Number:	2340				
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE				
First Named Inventor/Applicant Name:	Yasuharu HOSAKA				
Customer Number:	31780				
Filer:	Eric J. Robinson/Adele Stamper				
Filer Authorized By:	Eric J. Robinson				
Attorney Docket Number:	0756-10194				
Receipt Date:	21-APR-2015				
Filing Date:	11-JUL-2013				
Time Stamp:	16:07:05				
Application Type:	Utility under 35 USC 111(a)				

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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
	Amendment/Req. Reconsideration-After	AMENDMENT_21APR2015_075	1683063		
1	Non-Final Reject	610194.pdf	af69ddecf41b1823f996e23476530e37d860 eed0	no	13
Warnings:	l		· ·		
Information:					
2		IDS_21APR2015_075610194.	319421	Voc	2
2		pdf	dc9d8c5c4dca4192ea632d22a0be3f945fa5 ab28	yes	2
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3	Foreign Reference	JP_2007123861.pdf	7792536	no	48
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4	Foreign Reference		2372423	no	16
7	i ofeigh Reference	JP2012128159.pdf	e4908e568eaa1a36a58ea819f47e2b5862ef 93ba	110	10
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5	Foreign Reference	JP_2009271103.pdf	ee7f277e088ae6f742b8ffef150e52a4fc160 7c5	no	
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6	Foreign Poferonco	ED 2466265 pdf	747621	20	17
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7	Foreign Reference	KR_20120067288.pdf	2002650	no	16				
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8	Foreign Reference	CN_102540603.pdf	2752561	no	19				
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9	Fee Worksheet (SB06)	fee-info.pdf	32381	no	2				
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		Total Files Size (in bytes)	190	663226					
characterize Post Card, as	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.								
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<u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.									
<u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.									

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P	ATENT APPL		EE DET	ERMINATION		Application	n or Docket Number /939,323	Filing Date 07/11/2013	To be Mailed
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	SEARCH FEE		N/A		N/A		N/A		
	(37 CFR 1.16(k), (i), EXAMINATION FE		N/A		N/A		N/A		
TO	(37 CFR 1.16(o), (p), TAL CLAIMS	or (q))			11/7	_			
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**BLUEHOUSE EXHIBIT 1002** Page 91 of 337

		Under	the Paperwork R	eduction Act of 1995,	no persons are requi	red to respond	U.S. Patent and Tradem to a collection of informati		
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					ATION AS FIL	ED – PAR	TI		
			(Column 1	)	(Column 2)		_	-	
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(	37 CFR 1.16(s))	fr		f. See 35 U.S.C.					
	MULTIPLE DEPEN		. ,	7 CFR 1.16(j))					
*lft	he difference in colu	umn 1 is less t	han zero, ente	r "0" in column 2.			TOTAL		
				APPLICATI	ION AS AMEN	DED – PA	ART II		
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		CLAIMS		HIGHEST			1		
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L Z	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
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AN			JLTIPLE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))				
				×	• · ·		TOTAL ADD'L FE	E	
* If t	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.								
	** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /JOSEPHINE DOUGLAS/								
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preparing, and submitting the completed application form to the USP10. Time will vary depending upon the individual case. Any comments on the amount of time require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	ed States Paten	T AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	FOR PATENTS			
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194	2340			
Robinson Intell	Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive			inson Intellectual Property Law Office, P.C. 5 Fair Ridge Drive		EXAM KIM, RIC	
Fairfax, VA 22	033		ART UNIT	PAPER NUMBER			
			2871				
			MAIL DATE 01/21/2015	DELIVERY MODE PAPER			

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	<b>Application No.</b> 13/939,323		Applicant(s) HOSAKA ET AL.		
Office Action Summary	Examiner RICHARD KIM		<b>Art Unit</b> 2871	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication app	bears on the cove	r sheet with the c	orresponder		
<ul> <li>Period for Reply         A SHORTENED STATUTORY PERIOD FOR REPLY         THIS COMMUNICATION.         Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.         If NO period for reply is specified above, the maximum statutory period N         Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).     </li> </ul>	36(a). In no event, how will apply and will expire , cause the application to	ever, may a reply be tim SIX (6) MONTHS from t b become ABANDONEE	ely filed the mailing date o 0 (35 U.S.C. § 13	of this communication. 33).	
Status					
<ol> <li>Responsive to communication(s) filed on <u>12/2</u></li> <li>A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b></li> </ol>		filed on			
	action is non-fin				
3) An election was made by the applicant in resp			set forth duri	ing the interview on	
; the restriction requirement and election		•		<u> </u>	
4) Since this application is in condition for allowar				to the merits is	
closed in accordance with the practice under E	Ex parte Quayle,	1935 C.D. 11, 45	3 O.G. 213.		
<ul> <li>Disposition of Claims*</li> <li>5)  Claim(s) <u>1-9 and 21-32</u> is/are pending in the a 5a) Of the above claim(s) is/are withdraw</li> <li>6) Claim(s) is/are allowed.</li> <li>7)  Claim(s) <u>1-9 and 21-32</u> is/are rejected.</li> <li>8) Claim(s) is/are objected to.</li> <li>9) Claim(s) is/are object to restriction and/o</li> <li>* If any claims have been determined <u>allowable</u>, you may be eleparticipating intellectual property office for the corresponding a <a href="http://www.uspto.gov/patents/init_events/pph/index.jsp">http://www.uspto.gov/patents/init_events/pph/index.jsp</a> or send</li> <li>Application Papers <ul> <li>10) The specification is objected to by the Examine 11) The drawing(s) filed on <u>7/11/13</u> is/are: a) ac Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct</li> </ul> </li> </ul>	wn from consider ligible to benefit fro pplication. For mor I an inquiry to <u>PPH</u> er. cepted or b) ] ol drawing(s) be held	ment. m the <b>Patent Pros</b> e information, plea <u>ieedback@uspto.g</u> Djected to by the in abeyance. See	se see ov. Examiner. 37 CFR 1.85	5(a).	
Priority under 35 U.S.C. § 119         12) Acknowledgment is made of a claim for foreign         Certified copies:         a) All       b) Some** c) None of the:         1. Certified copies of the priority documen         2. Certified copies of the priority documen         3. Copies of the certified copies of the priority documen         3. Copies of the certified copies of the priority documen         ** See the attached detailed Office action for a list of the certified	ts have been rec ts have been rec prity documents h u (PCT Rule 17.2	eived. eived in Applicati ave been receive (a)).	on No		
Attachment(s)         1)  X       Notice of References Cited (PTO-892)         2)  X       Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SPaper No(s)/Mail Date <u>See Continuation Sheet</u> .         U.S. Patent and Trademark Office	SB/08b)	Interview Summary Paper No(s)/Mail Da Other:			

Continuation of Attachment(s) 2). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :7/11/13, 9/19/13, 11/12/13, 12/2/14.

1. The present application is being examined under the pre-AIA first to invent provisions.

# **DETAILED ACTION**

#### Claim Rejections - 35 USC § 103

# 2. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

3. Claims 1-5, 9, 21-32 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yamazaki et al. (US 6,498,369 B1) in view of Sakurai et al. (US 2009/0046230 A1).

4. Re claims 1, 9, 21, 26, 27 and 32, Yamazaki et al. discloses an electronic device comprising: a pixel portion comprising: a first transistor (304); a first insulating film (14) over the first transistor; a second insulating film (15) over the first insulating film; a third insulating film (135) over and in contact with the second insulating film (in fig. 6, the end portion of the insulating film 135 is in contact with the second insulating film 15); a first electrode (137) over the third insulating film, the first electrode being electrically connected to the first transistor; a first alignment film (601) over the first electrode; and a liquid crystal layer (605) over the first alignment film; and a driver circuit portion comprising: a second transistor (302); the first insulating film over the second transistor; the second insulating film over the first alignment film is entirely in contact with the second insulating film in the driver portion (Fig. 6, ref. 601). Yamazaki does not disclose the device wherein the first insulating film comprises an inorganic

insulating material, wherein the second insulating film comprises an organic insulating material, wherein the third insulating film comprises an inorganic insulating material.

5. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the first insulating film comprises an inorganic insulating material, wherein the second insulating film comprises an organic insulating material, wherein the third insulating film comprises an inorganic insulating material. Alternating layers of inorganic and organic insulating material is well known in the art to obtain excellent insulating properties.

6. Furthermore, in regard to the third insulating film, Sakurai et al. discloses employing an inorganic insulating layer (paragraph 0013).

7. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the third insulating film comprises an inorganic insulating material since one would be motivated by superior capacitance properties (paragraph 0013).

8. Re claims 2, 22 and 28, Yamazaki et al. does not disclose the device comprising a second alignment film over the liquid crystal layer; a second electrode over the second electrode over the second alignment film; a fourth insulating layer over the second electrode; a colored film over the fourth insulating film; and a light blocking film over the fourth insulating film.

9. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device comprising a second alignment film over the liquid crystal layer; a second electrode over the second alignment film; a fourth insulating layer over the second electrode; a colored film over the fourth insulating film; and a light blocking film

over the fourth insulating film. Doing so is a well-known structure in the art to form a color filter substrate in order to obtain a color display.

10. Re claims 3-5, 23-25, and 29-31, Yamazaki does not disclose the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film.

11. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, and aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a GA-Zn-based metal oxide film; wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin; wherein the third insulating film is any of a silicon nitride film, a silicon oxide film, and an aluminum oxide film. Employing the claimed materials as an insulating layer is well known in the art due to their high insulating properties. Furthermore, it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. *In re Leshin*, 125 USPQ 416.

15. Claims 6 and 7 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yamazaki et al. and Sakurai et al. in view of Kim et al. (US 2012/0013817 A1).

16. Yamazaki et al. discloses the device previously recited, but does not disclose the device wherein the first and the second transistor each comprise an oxide semiconductor layer, wherein the oxide semiconductor layer comprises any of indium and zinc.

17. Kim et al. discloses a device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc (paragraph 0107).

18. It would have been obvious to one having ordinary skill in the art at the time the invention as made to employ the device wherein the transistor comprises an oxide semiconductor layer comprising indium or zinc since one would be motivated by superior semiconductor characteristics (paragraph 0107).

19. Claim 8 rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yamazaki et al. and Sakurai et al. in view of Yamazaki et al. (US 2011/0157252 A1).

20. Yamazaki et al. does not disclose the device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer.

21. Yamazaki et al. (US 2011/0157252 A1) discloses a device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer (paragraph 0178).

22. It would have been obvious to one having ordinary skill in the art at the time the invention was made to employ the device wherein the oxide semiconductor layer has a first oxide semiconductor layer and a second oxide semiconductor layer since one would be

motivated by obtaining an oxide semiconductor having a crystal region having a large thickness (paragraph 0178).

# Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bumsuk Won can be reached on (571)272-2713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/ Primary Examiner, Art Unit 2871

Notice of References Cited	Application/Control No. 13/939,323	Applicant(s)/Pat Reexamination HOSAKA ET AL			
Notice of hereferices cited	Examiner	Art Unit			
	RICHARD KIM	2871	Page 1 of 1		

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-6,498,369 b1	12-2002	Yamazaki et al.	257/347
*	В	US-2009/0046230 a1	02-2009	SAKURAI et al.	349/138
*	С	US-2012/0013817 a1	01-2012	KIM et al.	349/41
*	D	US-2011/0157252 a1	06-2011	Yamazaki et al.	345/690
	Е	US-			
	F	US-			
	G	US-			
	Н	US-			
	Ι	US-			
	J	US-			
	к	US-			
	L	US-			
	М	US-			

# FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Ν					
	0					
	Р					
	q					
	R					
	s					
	т					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	υ	
	v	
	w	
	x	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Part of Paper No. 20150111

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13939323	HOSAKA ET AL.
	Examiner	Art Unit
	RICHARD KIM	2871

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol	Date	Examiner		

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search attached	1/12/2015	RHK

INTERFERENCE SEARCH				
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner	

# EAST Search History

# EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L4	1	("6115094"). <b>PN</b> .	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:35
L6	1	("8643812").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:37
L7	3635	(349/106).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:47
L8	4	7 and (organic adj color adj filter)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:47
L9	490	7 and (organic near5 (color adj filter))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:47
L10	0	(64/989369).APP.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:50
L11	1	("6498369").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:50
L12	1390	(349/138).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:52
L13	397	12 and (second adj insulat\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:52
L14	3635	(349/106).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 12:55
L15	0	14 and (filer near5 (bottom near5 substrate))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:55
L16	79	14 and (filter near5 (bottom near5	US-	OR	ON	2015/01/12

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		substrate))	PGPUB; USPAT; EPO; DERWENT			12:56
L17	1470	14 and (filter near5 (first near5 substrate))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 12:57
L18	815	(349/151).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 13:03
L19	252	18 and ((align\$5 orient\$5) adj2 (layer film))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:03
L20	1	("6498369").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 13:05
L21	58443	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 13:18
L22	267	21 and ((metal adj oxide) near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:18
L23	44	21 and (oxide near5 oxidizing near5 metal)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:20
L24	0	21 and (oxide near5 oxidizing near5 metal near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:20
L25	0	21 and (oxidizing near5 metal near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:20
L26	2	21 and (oxidizing near5 inorganic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:20
L27	0	21 and (oixidizing near5 metal near5 organic)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:21
L28	3	21 and (oxidizing near5 metal near5 organic)	US- PGPUB; USPAT; EPO;	OR	ON	2015/01/12 13:21 OUSE EXHIBIT 10

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		1	DERWENT	1		
L29	2264	21 and (organic near5 inorganic near5 insulat\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:29
L30	0	21 and (organic near5 inorganic near5 insulat\$5 near5 alternate)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:32
L31	157	21 and (organic near5 inorganic near5 alternat\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:35
L32	4	21 and (organic near5 inorganic near5 alternat\$5 near5 combination)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:36
L33	4271	(349/138,139).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 13:42
L34	32	33 and (capacit\$5 near5 inorganic near5 insulat\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:42
L35	253	21 and (organic near5 inorganic near5 (silicon adj oxide))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:53
L36	1563	21 and (insulating near5 (acrylic))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 13:54
L37	174	21 and ((silicon adj nitride) near5 capacit\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:00
L38	58443	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 14:00
L39	2231	38 and (semiconductor near5 oxide)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:00
L40	9	38 and (semiconductor near5 oxide nEAR5 SUPERIOR)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:01

L41	171	38 and (semiconductor near5 second near5 oxide near5 film)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:05
L42	42	38 and (second adj oxide adj semiconductor adj film)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:10
L43	1	("20090046230"). <b>PN</b> .	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 14:21
L44	36	("7323356" "6294274" "7061014" 2008/0296568 "7282782" "7297977" "7462862" "20080254569" "20090073325" "20050199959" "7049190" "20070194379" "20090134399" "20060231882" "20060284171" "20060284172" "20060292777" "20070024187" "20070046191").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:44
L45	38	("20070052025" "20070090365" "20070172591" "20070187678" "20070187760" "20070252928" "20070287296" "20080038882" "20080038929" "20080050595" "20080073653" "20080083950" "20080106191" "20080128689" "20080129195" "20080166834" "20080182358" "20080224133" "20080258139").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:46
L46	52	("20080258140" "20080258141" "20080258143" "20090114910" "7105868" "7402506" "7411209" "7453065" "7453087" "7468304" "20060197092" "20080006877" "20060228974" "20020132454" "20090152541" "20070091793" "20010046027" "20060035452" "7501293").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:48
L47	38	("20060244107" "7211825" "20050017302" "5731856" "5744864" "20030218222" "7674650" "6563174" "7385224" "20070152217" "20090068773" "7732819" "20060043377" "20090278122" "20090280600" "20090152506" "20100092800" "20100109002" "20100065844").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:49
L48	3	("7796101" "8059067").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 14:51
S1	3161	(349/149-152).CCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/11 22:11
S2	815	(349/151).OCLS.	US-	OR	OFF	2015/01/11 OUSE EXHIBIT 10

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			PGPUB; USPAT; USOCR			22:12
S3	3276	(349/43).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/11 22:12
S4	56	S2 and S3	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 22:12
S5	1129	( (G02F1/13454).CPC. and ((align\$5 orientat\$5) adj3 (layer film)) )	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 22:16
S6	4	("20010052950" "6115097" "64983696115094").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:26
S7	0	("20010052950" "6115097" "64983696115094").pn. and orgainc	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:26
S8	1	("20010052950" "6115097" "64983696115094").pn. and organic	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:26
S9	4	("20010052950" "6115097" "64983696115094").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/11 23:29
S10	1	("6115094").PN.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/11 23:30
S11	1390	(349/138).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 00:50
S12	1136	S11 and (peripheral driv\$5)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 00:50
S13	113	S11 and ((peripheral driv\$5) near5 (align\$5 orientation))	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 00:56
S14	7	("20030058376"   "20070242179"   "20090147188"   "6115097"   "6577372"   "7333160"   "8120742").PN. OR ("8643812").URPN.	US- PGPUB; USPAT; USOCR	OR	ON	2015/01/12 01:00

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S15	1717	(349/123).OCLS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 01:28
S16	199	S15 and ((align\$5 orientat\$5) adj3 (layer film)) near5 (peripher\$5 driv\$5 non\$5display)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 01:29
S17	9	("200610113536" "7791072" "201010295041" "8237166" "20110133181" "8269218" "20120319118").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 02:48
S18	38	("20020056838" "20030189401" "6727522" "20060169973" "20060208977" "20060238135" "7064346" "20070108446" "20060170111" "20070054507" "20070272922" "20040127038" "20040038446" "20060108636" "20060108529" "20060110867" "20060113565" "20060113539" "20060113549").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 02:50
S19	141144	("20030189210" "20030127651" "6861710" "7033848" "20090261337" "7671369" "7492012" "8008666" "20110204368" "8115206" "20120061666" "20120132919" "20120175625" "8405092" "8415669" "20130168670" "20130221361" "20080036705" "20110198598")".pn"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 02:52
\$20	141144	("20030189210" "20030127651" "6861710" "7033848" "20090261337" "7671369" "7492012" "8008666" "20110204368" "8115206" "20120061666" "20120132919" "20120175625" "8405092" "8415669" "20130168670" "20130221361" "20080036705" "20110198598")".pn"	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 02:52
S21	43	("20030189210" "20030127651" "6861710" "7033848" "20090261337" "7671369" "7492012" "8008666" "20110204368" "8115206" "20120061666" "20120132919" "20120175625" "8405092" "8415669" "20130168670" "20130221361" "20080036705" "20110198598").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 02:52
\$22	19	("6,960,786" "7,554,116" "7,989,808" "8,207,537" "8,471,259" "20110084272" "20120153292" "20140022480").pn.	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 02:54
\$23	65	S11 and S15	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 03:13
S24	0	go2f.cpc. and (align\$5 orientat\$5) near5 (driv\$5 peripheral periphery non\$display)	US- PGPUB; USPAT;	OR		2015/01/12 03:21 JSE EXHIBIT 10

			EPO; DERWENT			
S25	58443	("349").CLAS.	US- PGPUB; USPAT; USOCR	OR	OFF	2015/01/12 03:22
S26	4252	S25 and (align\$5 orientat\$5) near5 (driv\$5 peripheral periphery non\$display)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 03:22
S27	1334	S25 and ((align\$5 orientat\$5) adj3 (film layer)) near5 (driv\$5 peripheral periphery non\$display)	US- PGPUB; USPAT; EPO; DERWENT	OR	ON	2015/01/12 03:22

#### EAST Search History (Interference)

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#### Receipt date: 11/12/2013

#### 13939323 - GAU: 2871

PTO/SB/08A (07-06)

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Substitute for form 144	9/PTO			Complete if Known		
		SCI (	SURE	Application Number	13/939,323	
INFORMATION DISCLOSURE				Filing Date	July 11, 2013	
	STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.	
(1)00	a many shaata aa		۵	Art Unit	2871	
(Use	(Use as many sheets as necessary)			Examiner Name	E. Glick	
Sheet 1 of 6		Attorney Docket Number	0756-10194			

	U. S. PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
		US-2003/0189210	10-09-2003	YAMAZAKI.S et al.						
		US-2003/0127651	07-10-2003	MURAKAMI.S et al.						
		US-6861710	03-01-2005	MURAKAMI.S et al.						
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		US-2012/0175625	07-12-2012	YAMAZAKI.S						
		US-8405092	03-26-2013	INOUE.S et al.						
		US-8415669	04-09-2013	YAMAZAKI.S et al.						
		US-2013/0168670	07-04-2013	INOUE.S et al.						
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		US-2008/0036705	02-14-2008	IWASHITA.T et al.						
		US-2011/0198598	08-18-2011	KIM.Y et al.						

	FOREIGN PATENT DOCUMENTS										
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т <sup>6</sup>					
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document Figures Appear	'						
		JP-2003-197367A	07-11-2003			Abst.					
		WO-2011/102203	08-25-2011			Eng.					
		WO-2007/011061	01-25-2007			Eng.					
		WO-2012/035984	03-22-2012			Eng.					
		JP-2003-302917A	10-24-2003	······	,	Abst.					
		JP-2012-084864A	04-26-2012			Abst.					

Examiner		Date	01/10/0015
Signature	/Richard Kim/	Considered	01/12/2015

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# 13939323 - GAU: 2871

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bstitute for form 1449	/PTO			Complete if Known		
INFORMA			SUIDE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEME	ENT BY A	<b>APPL</b>	ICANT	First Named Inventor	Yasuharu HOSAKA et al.	
				Art Unit	2871	
(Use as many sheets as necessary)				Examiner Name	E. Glick	
Sheet	2	of	6	Attorney Docket Number	0756-10194	

	U. S. PATENT DOCUMENTS										
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant						
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>		Applicant of Cited Document	Figures Appear						
		US-7796101	09-14-2010	IWASHITA.T et al.							
		US-8059067	11-15-2011	IWASHITA.T et al.							
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_ /	Evaminar Cite Foreign Patent Document Publication Date Name of Patentee or Pages, Columns, Lines, Where									
Examiner	Cite		Publication Date	Name of Patentee or	Relevant Passages or Relevant	T <sup>6</sup>				
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear					
		JP-2011-192977A	09-29-2011			Abst				
		JP-2007-053355A	03-01-2007			Abst				
		JP-2007-250244A	09-27-2007			Abst				
		JP-2011-171300A	09-01-2011			Abst				
		TW-201230341	07-16-2012			Abst				
		JP-2012-160715A	08-23-2012			Abst				

Examiner Signature /Richard Kim/	Date Considered	01/12/2015
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### Receipt date: 11/12/2013

# 13939323 - GAU: 2871

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Substitute for form 144	19/PTO			Complete if Known		
INFORM		SCLO	SUBE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEMENT BY APPLICANT			ICANT	First Named Inventor	Yasuharu HOSAKA et al.	
				Art Unit	2871	
(Use as many sheets as necessary)				Examiner Name	E. Glick	
Sheet	3	of	6	Attorney Docket Number	0756-10194	

U. S. PATENT DOCUMENTS									
Examiner Initials*	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant				
initials	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>		Applicant of cited Document	Figures Appear				
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	<b>τ</b> <sup>6</sup>				
Initials*	Na. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear					
		CN-102593185	07-18-2012			Abst.				
		KR-2012-0090779A	08-17-2012		,	Abst.				
		TW-201238056	09-16-2012			Abst.				
		TW-201214711	04-01-2012			Abst.				
		KR-2012-0138770A	12-26-2012			Abst.				
		TW-1264822	10-21-2006			Abst.				

Examiner Signature /Richard Kim/	Date Considered	01/12/2015
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PTO/SB/08A (07-06)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	13/939,323	
STATEMENT BY APPLICANT				Filing Date	July 11, 2013	
			ICANI	First Named Inventor	Yasuharu HOSAKA et al.	
			0	Art Unit	2871	
(Use as many sheets as necessary)		Examiner Name	E. Glick			
Sheet	4	of	6	Attorney Docket Number	0756-10194	

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	NO.	Number-Kind Code <sup>2 (if known)</sup>	10101-BB-1111	Applicant of Orled Document	Figures Appear	
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	FOREIGN PATENT DOCUMENTS							
Examiner	aminer Cite Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т <sup>6</sup>		
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear			
		CN-001450665	10-22-2003			Abst.		
		KR-2010-0061420A	06-07-2010			Abst.		
		KR-2008-0035643A	04-23-2008			Abst.		
		EP-1835540A	09-19-2007			Eng.		
		KR-2007-0093830A	09-19-2007			Abst.		
		CN-101038932	09-19-2007			Abst.		

Examiner Signature	/Richard Kim/	Date Considered	01/12/2015	
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# 13939323 - GAU: 2871

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Substitute for form 1449	Substitute for form 1449/PTO			Complete if Known		
INFORMATION DISCLOSURE			OSURE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEME	STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.	
(1)				Art Unit	2871	
(Use as many sheets as necessary)			')	Examiner Name	E. Glick	
Sheet	5	of	6	Attorney Docket Number	0756-10194	

U. S. PATENT DOCUMENTS							
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
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				Figures Appear	AL _1
	KR-2011-0094458A	08-24-2011			Abst.
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-	No. <sup>1</sup>	Cite Foreign Patent Document	Cite         Foreign Patent Document         Publication Date           No. <sup>1</sup> Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)         MM-DD-YYYY	Cite         Foreign Patent Document         Publication Date         Name of Patentee or           No. <sup>1</sup> Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)         MM-DD-YYYY         Applicant of Cited Document	Cite         Foreign Patent Document         Publication Date         Name of Patentee or Applicant of Cited Document         Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

Examiner /Richard Kim/	Date Considered	01/12/2015
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ubstitute for form 144	9/PTO			Complete if Known		
INFORMA			SUBE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEMENT BY APPLICANT			ICANT	First Named Inventor	Yasuharu HOSAKA et al.	
(Use as many sheets as necessary)				Art Unit	2871	
			7)	Examiner Name	E. Glick	
Sheet	6	of	6	Attorney Docket Number	0756-10194	

# NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Cite Examiner $T^2$ (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials\* No.1 publisher, city and/or country where published. INTERNATIONAL SEARCH REPORT (APPLICATION NO.PCT/JP2013/069456) DATED October 22, 2013. Eng. WRITTEN OPINION (APPLICATION NO.PCT/JP2013/069456) DATED October 22, 2013. Eng.

Examiner	//////////////////////////////////////	Date	01/10/0015
Signature	/Richard Kim/	Considered	01/12/2015

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Substitute for form 1449	(PTO			Complete if Known		
INFORMA		SCL	OSURE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEM		APPI		First Named Inventor	Yasuharu HOSAKA	
(100.0	s many sheets as	00000000	à	Art Unit	2871	
(Use a	s many sneets as	Hecessar <u>i</u>	()	Examiner Name	R. KIM	
Sheet	1	of	1	Attorney Docket Number	0756-10194	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2 (If known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-6,960,786	11-01-2005	YAMAZAKI.S et al.	
		US-7,554,116	06-30-2009	YAMAZAKI.S et al.	
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		US-2011/0084272	04-14-2011	MIYANAGA.A et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	6
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	1.
		JP-2005-173106A	06-30-2005			Abs
				(L = 0, = 0, = 0, = 0, = 0, = 0, = 0, = 0		
	l					

Examiner Signature /Richard Kim/ Date Considered 01/12/2015
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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#### Receipt date: 09/19/2013

#### 13939323 - GAU: 2871

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ubstitute for form 1449/PTO				Complete if Known			
INFORM			CIIDE	Application Number	13/939,323		
				Filing Date	July 11, 2013		
STATEN	IENT BY	APPLI	CANT	First Named Inventor	Yasuharu HOSAKA et al.		
				Art Unit	2871		
(Us	e as many sheets :	as necessary)	· · · ·	Examiner Name	E. Glick		
Sheet	1 1	of	12	Attorney Docket Number	0756-10194		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (If known)</sup>			Figures Appear
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		US-2003/0189401	10-09-2003	KIDO.J et al.	
		US-6727522	04-27-2004	KAWASAKI.M et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	T <sup>6</sup>	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
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	Examiner /Richard Kim/ Signature		Date Considered	01/12/2015
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#### Receipt date: 09/19/2013

#### 13939323 - GAU: 2871

GH. /R.K./

PTO/SB/08A (07-06)

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Substitute for form 1449/PTO				Complete if Known		
		SCI	SUBE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEM	ENIBY	APPL	ICAN I	First Named Inventor	Yasuharu HOSAKA et al.	
			4	Art Unit	2871	
(Use	as many sheets as	necessary	() <sup>,</sup>	Examiner Name	E. Glick	
Sheet	2	of	12	Attorney Docket Number	0756-10194	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		FO	REIGN PATEN	DOCUMENTS		•
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т6
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		JP-2002-289859A	10-04-2002			Full
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		JP-2002-076356A	03-15-2002			Full
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		JP-2004-273614A	09-30-2004	1		Full
		WO-2004/114391	12-29-2004			Abst.

Examiner Signature /Richard Kim/ Date Considered 01/12/2015	Signature /Richard Kim/	Considered	01/12/2015
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#### PTO/SB/08A (07-06)

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Substitute for form 1449/PTO				Complete if Known		
INFORMATION DISCLOSURE		Application Number	13/939,323			
				Filing Date	July 11, 2013	
STATEMENT BY APPLICANT				First Named Inventor	Yasuharu HOSAKA et al.	
				Art Unit	2871	
(Use a	(Use as many sheets as necessary)			Examiner Name	E. Glick	
Sheet	3	of	12	Attorney Docket Number	0756-10194	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*	No.1	Number-Kind Code <sup>2 (If known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-2007/0052025	03-08-2007	YABUTA.H	
		US-2007/0090365	04-26-2007	HAYASHI.R et al.	÷ ·
		US-2007/0172591	07-26-2007	SEO.O et al.	ş., -
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	т6				
Initials*	Initials* No.1 Cou	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Appear					
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Signature /Richard Kim/ Considered 01/12/2015	olghadale	Date 01/12/2015 Considered	5
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Substitute for form 144	9/PTO			C	omplete if Known
INFORMATION DISCLOSURE		Application Number	13/939,323		
				Filing Date	July 11, 2013
STATEM	ENT BY /	APPL	ICANT	First Named Inventor	Yasuharu HOSAKA et al.
(1)				Art Unit	2871
(Use	(Use as many sheets as necessary)			Examiner Name	E. Glick
Sheet	4	of	12	Attorney Docket Number	0756-10194

			U. S. PATENT DOCU	MENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2 ((f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
				11	Figures Appear
		US-2008/0258140	10-23-2008	LEE.E et al.	1
		US-2008/0258141	10-23-2008	PARK.J et al.	· · · ·
		US-2008/0258143	10-23-2008	KIM.S et al.	
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Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т6					
Initials*	Initials* No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear					
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		EP-1737044A	12-27-2006			Eng.				
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Examiner		Date	01/12/2015
Signature	/Richard Kim/	Considered	01/12/2010

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STATEMENT BY APPLICANT				First Named Inventor	Yasuharu HOSAKA et al.	
				Art Unit	2871	
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Sheet	5	of	12	Attorney Docket Number	0756-10194	

	nonen anter her her her h		U.S. PATENT DOCU	MENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2 (If known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
					Figures Appear
Barr 10 - An Anna Paramata - Paragan - An An Analas - An An	·	US-2006/0244107	11-02-2006	SUGIHARA.T et al.	
		US-7211825	05-01-2007	SHIH.Y et al.	
		US-2005/0017302	01-27-2005	HOFFMAN.R	
		US-5731856	03-24-1998	KIM.D et al.	
		US-5744864	04-28-1998	CILLESSEN.J et al.	
		US-2003/0218222	11-27-2003	WAGER.J et al.	
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	FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т <sup>6</sup>				
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) MM-DD-Y	MM-DD-YYYY	Applicant of Cited Document	Figures Appear					

Examiner Signature	/Richard Kim/	Date Considered	01/12/2015
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Substitute for form 14	49/PTO	, ,		Complete if Known		
INFORM		ISCI	SUIDE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEMENT BY APPLICANT				First Named Inventor	Yasuharu HOSAKA et al.	
41-			,	Art Unit	2871	
(Use	(Use as many sheets as necessary)			Examiner Name	E. Glick	
Sheet	6	of	12	Attorney Docket Number	0756-10194	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		FORTUNATO.E et al., "WIDE-BANDGAP HIGH-MOBILITY ZNO THIN-FILM TRANSISTORS PRODUCED AT ROOM TEMPERATURE,", APPL. PHYS. LETT. (APPLIED PHYSICS LETTERS), September 27, 2004, Vol. 85, No. 13, pp. 2541-2543.	Eng.
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Examiner	/Richard Kim/	Date	01/12/2015
Signature		Considered	

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Substitute for form 144	9/PTO			Complete if Known		
INFORM/			relide	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEMENT BY APPLICANT				First Named Inventor	Yasuharu HOSAKA et al.	
			,	Art Unit	2871	
. (Use	as many sheets a	s necessary	)	Examiner Name	E. Glick	
Sheet	7	of	12	Attorney Docket Number	0756-10194	

		NON PATENT LITERATURE DOCUMENTS	
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		NOMURA.K et al., "THIN-FILM TRANSISTOR FABRICATED IN SINGLE-CRYSTALLINE TRANSPARENT OXIDE SEMICONDUCTOR,", SCIENCE, May 23, 2003, Vol. 300, No. 5623, pp. 1269-1272.	Eng.
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Examiner Signature /Richard Kim/ Date 01/12/2015	
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Substitute for form 1449	/PTO			Complete if Known		
INFORMA		SCI	SUIDE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
SIAIEME	STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.	
(11.)				Art Unit	2871	
(Use a	is many sheets as	necessary	)	Examiner Name	E. Glick	
Sheet	8	of	12	Attorney Docket Number	0756-10194	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Examiner	/Dichard Kim/	Date	01/10/0015
Signature	/Richard Kim/	Considered	01/12/2015

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Substitute for form 1449/	/PTO			Complete if Known		
		sci	SUBE	Application Number	13/939,323	
STATEMENT DV ADDI ICANT				Filing Date	July 11, 2013	
				First Named Inventor	Yasuharu HOSAKA et al.	
(1) and a			4	Art Unit	2871	
(Use as many sheets as necessary)			7)	Examiner Name	E. Glick	
Sheet	9	of	12	Attorney Docket Number	0756-10194	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Examiner	/Richard Kim/	Date	01/12/2015
Signature		Considered	

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Substitute for form 144	9/PTO			Complete if Known		
		SCL	OSURE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEM	STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.	
(1)			٨	Art Unit	2871	
(Use	(Use as many sheets as necessary)			Examiner Name	E. Glick	
Sheet	10	of	12	Attorney Docket Number	0756-10194	

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Examiner	/Richard Kim/	Date	01/10/0015
Signature		Considered	01/12/2015

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#### Receipt date: 09/19/2013

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Substitute for form 1449	РТО			C	omplete if Known
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Sheet 11 of 12	SUIRE	Application Number	13/939,323	
				Filing Date	July 11, 2013
STATEMENT BY APPLICANT			ICAN I	First Named Inventor	Yasuharu HOSAKA et al.
(1)== =				Art Unit	2871
(Use a	s many sneets as i	necessary	)	Examiner Name	E. Glick
Sheet	11	of	12	Attorney Docket Number	0756-10194

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Examiner Signature	/Richard Kim/	Date Considered	01/12/2015
Signature	/ nonaro rana	Cullsidered	01/12/2010

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		SCL	SUIRE	Application Number	13/939,323	
INFORMATION DISCLOSURE				Filing Date	July 11, 2013	
STATEN	STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.	
(lles -			4	Art Unit	2871	
(099.5	(Use as many sheets as necessary)			Examiner Name	E. Glick	
Sheet 12 of 12			12	Attorney Docket Number	0756-10194	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
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Examiner Signature /Richard Kim/ Date 01/12/2015

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				Filing Date	July 11, 2013
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Sheet	1	of	1	Attorney Docket Number	0756-10194

U. S. PATENT DOCUMENTS								
Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant				
Initials* No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear				
	US2006/0113536	06-01-2006	KUMOMI et al.					
	US7791072	09-07-2010	KUMOMI et al.					
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	US8269218	09-18-2012	YAMAZAKI					
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		No.1         Number-Kind Code <sup>2 (f known)</sup> US2006/0113536         US7791072           US2010/0295041         US8237166           US2011/0133181         US8269218	Cite No. <sup>1</sup> Document Number         Publication Date MM-DD-YYYY           US2006/0113536         06-01-2006           US7791072         09-07-2010           US2010/0295041         11-25-2010           US8237166         08-07-2012           US2011/0133181         06-09-2011           US8269218         09-18-2012	Cite No. <sup>1</sup> Document Number         Publication Date MM-DD-YYYY         Name of Patentee or Applicant of Cited Document           US2006/0113536         06-01-2006         KUMOMI et al.           US7791072         09-07-2010         KUMOMI et al.           US2010/0295041         11-25-2010         KUMOMI et al.           US8237166         08-07-2012         KUMOMI et al.           US2011/0133181         06-09-2011         YAMAZAKI           US8269218         09-18-2012         YAMAZAKI				

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initials*	initials* No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Applicant of Cited Document						
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of: Yasuharu HOSAKA et al. Serial No. 13/939,323 Filed: July 11, 2013 For: DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

- ) Confirmation No. 2340
- ) Examiner: Richard H. Kim
- ) Group Art Unit: 2871

#### AMENDMENT AND RESPONSE TO ELECTION REQUIREMENT

Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please consider the following amendments and remarks in connection with the above-identified application.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.

The listing of claims will replace all prior versions, and listings, of claims in the application:

- 2 -

#### Listing of Claims:

1. (Original) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film over the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;

a first alignment film over the first electrode; and

a liquid crystal layer over the first alignment film; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor;

the second insulating film over the first insulating film; and

the first alignment film over the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein the first alignment film is entirely in contact with the second insulating film in the driver circuit portion.

2. (Original) The display device according to claim 1 further comprising: a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film; a fourth insulating film over the second electrode; a colored film over the fourth insulating film; and a light-blocking film over the fourth insulating film.

3. (Original) The display device according to claim 1,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

- 3 -

4. (Original) The display device according to claim 1,

wherein the second insulating film is any of an acrylic-based resin, a polyimidebased resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxybased resin.

5. (Original) The display device according to claim 1,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

6. (Original) The display device according to claim 1,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

7. (Original) The display device according to claim 6, wherein the oxide semiconductor layer comprises any of indium and zinc.

8. (Original) The display device according to claim 6,

wherein the oxide semiconductor layer has a first oxide semiconductor film and a second oxide semiconductor film.

- 4 -

9. (Original) An electronic device comprising the display device according to claim 1.

10.-20. (Canceled)

21. (New) A display device comprising:

a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film over the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;

a first alignment film over the first electrode; and

a liquid crystal layer over the first alignment film; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor;

the second insulating film over the first insulating film; and

the first alignment film over the second insulating film,

wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein the first alignment film is in contact with the second insulating film over the driver circuit portion. 22. (New) The display device according to claim 21, further comprising:a second alignment film over the liquid crystal layer;a second electrode over the second alignment film;a fourth insulating film over the second electrode;a colored film over the fourth insulating film; anda light-blocking film over the fourth insulating film.

- 5 -

23. (New) The display device according to claim 21,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

24. (New) The display device according to claim 21,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

25. (New) The display device according to claim 21,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

26. (New) An electronic device comprising the display device according to claim 21.

27. (New) A display device comprising: a pixel portion comprising:

a first transistor;

a first insulating film over the first transistor;

a second insulating film over the first insulating film;

a third insulating film over and in contact with the second insulating film;

a first electrode over the third insulating film, the first electrode being electrically connected to the first transistor;

a first alignment film over the first electrode; and

a liquid crystal layer over the first alignment film; and

a driver circuit portion comprising:

a second transistor;

the first insulating film over the second transistor;

the second insulating film over the first insulating film; and

the first alignment film over and in contact with the second insulating film, wherein the first insulating film comprises an inorganic insulating material,

wherein the second insulating film comprises an organic insulating material,

wherein the third insulating film comprises an inorganic insulating material, and

wherein the first alignment film is in contact with the second insulating film over the driver circuit portion.

28. (New) The display device according to claim 27, further comprising:

a second alignment film over the liquid crystal layer;

a second electrode over the second alignment film;

a fourth insulating film over the second electrode;

a colored film over the fourth insulating film; and

a light-blocking film over the fourth insulating film.

29. (New) The display device according to claim 27,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

30. (New) The display device according to claim 27,

wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

- 7 -

31. (New) The display device according to claim 27,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

32. (New) An electronic device comprising the display device according to claim 27.

#### REMARKS

- 8 -

In response to the election requirement in the Office Action of October 31, 2014, Applicant hereby elects Species (1) "The specifics of the device comprising a liquid crystal display device comprising a liquid crystal layer (Fig. 2)." Claims 1-9 read on the elected species. New claims 21-32, which also read on Species (1), have been added. Specifically, newly added independent claims 21 and 27 are supported by, for example, Applicant's Figs.1A to 1C and Fig. 2 and associated disclosure while added dependent claims 22-26 and 28-32 correspond to dependent claims 2, 3, 5, 6, and 9. Non-elected claims 10-20 have been canceled without prejudice or disclaimer. Thus, claims 1-9 and 21-32 are pending and subject to examination at this time.

Examination on the merits is respectfully requested.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive, Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

Attorney Docket No. 0756-10194

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Confirmation No. 2340
Yasuharu HOSAKA et al.	)	Examiner: Richard H. Kim
Serial No. 13/939,323	)	Group Art Unit: 2871
Filed: July 11, 2013	)	
For: DISPLAY DEVICE AND	)	
ELECTRONIC DEVICE INCLUDING	)	
THE DISPLAY DEVICE	)	

#### INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

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Eric J. Robinson Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive, Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

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(100.0				Art Unit	2871	
(Use a	(Use as many sheets as necessary)		Examiner Name	R. KIM		
Sheet	1	of	1	Attorney Docket Number 0756-10194		

	U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	No. <sup>1</sup> Number-Kind Code <sup>2 (If known)</sup> MM-	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
					Figures Appear			
		US-6,960,786	11-01-2005	YAMAZAKI.S et al.				
		US-7,554,116	06-30-2009	YAMAZAKI.S et al.				
		US-7,989,808	08-02-2011	YAMAZAKI.S et al.				
		US-8,207,537	06-26-2012	YAMAZAKI.S et al.				
		US-8,471,259	06-25-2013	YAMAZAKI.S et al.				
		US-2011/0084272	04-14-2011	MIYANAGA.A et al.				
		US-2012/0153292	06-21-2012	NAKAMURA.T et al.				
		US-2014/0022480	01-23-2014	YOKOYAMA.M et al.				
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	FOREIGN PATENT DOCUMENTS									
	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Тę				
	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY							
		JP-2005-173106A	06-30-2005			Abst.				

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WPO Slandard ST.3). 4 For Japanese patent documents, the indication of the year of the region of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450. Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Electronic Patent Application Fee Transmittal							
Application Number:	13939323						
Filing Date:	11-	Jul-2013					
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE						
First Named Inventor/Applicant Name:	Yasuharu HOSAKA						
Filer:	Eric J. Robinson						
Attorney Docket Number:	075	56-10194					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Claims in Excess of 20		1202	1	80	80		
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:	Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:				BLUEHOUSE EX Pag	KHIBIT 1002 e 140 of 337		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	)(\$)	80

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	20841612					
Application Number:	13939323					
International Application Number:						
Confirmation Number:	2340					
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE					
First Named Inventor/Applicant Name:	Yasuharu HOSAKA					
Customer Number:	31780					
Filer:	Eric J. Robinson/Adele Stamper					
Filer Authorized By:	Eric J. Robinson					
Attorney Docket Number:	0756-10194					
Receipt Date:	02-DEC-2014					
Filing Date:	11-JUL-2013					
Time Stamp:	15:52:22					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with	n Payment	yes	yes			
Payment Type		Electronic Funds Tran	sfer			
Payment was s	uccessfully received in RAM	\$80	\$80			
RAM confirmat	ion Number	2379	2379			
Deposit Accou	nt					
Authorized Use	r					
File Listing	File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Multi Pages BUEHOUSE EXHIBIT 1002 Message Digest Page 142 0f B37			

1	Response to Election / Restriction Filed	RER_02DEC2014_075610194.	835725	no	8
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Warnings:				-	
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		pdf	175db00c699f16c11663c821611da6a6ff28 59cf	-	
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	Transmittal l	Letter	1		1
	Information Disclosure Staten	nent (IDS) Form (SB08)	2		2
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3	Foreign Reference	JP_2005173106.pdf	3552108	no	23
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4	Fee Worksheet (SB06)	fee-info.pdf	30402	no	2
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		Total Files Size (in bytes)	47	33217	
characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u>	vledgement Receipt evidences receip ed by the applicant, and including pag s described in MPEP 503. <u>Ations Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF gement Receipt will establish the filin age of an International Application un	ge counts, where applicable. tion includes the necessary o R 1.54) will be issued in due g date of the application. <u>ader 35 U.S.C. 371</u>	It serves as evidence components for a filir course and the date s	of receipt s ng date (see shown on th	imilar to a 37 CFR iis
U.S.C. 371 ar	Ibmission to enter the national stage nd other applicable requirements a F ge submission under 35 U.S.C. 371 wi	orm PCT/DO/EO/903 indicati	ing acceptance of the	application	
lf a new inter an internatio and of the In	tional Application Filed with the USP rnational application is being filed ar onal filing date (see PCT Article 11 an iternational Filing Date (Form PCT/RC urity, and the date shown on this Ack ion.	nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	of the International course, subject to pres	Application scriptions c	Number oncerning

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		Under th	e Paperwork F	Reduction Act of 1995.	, no persons are require		U.S. Patent and Tradema	ark Office; U.S. DEPARTMENT OF COMMERCE on unless it displays a valid OMB control number.
P	PATENT APPLICATION FEE DETERMINATION RECOR Substitute for Form PTO-875						or Docket Number /939,323	Filing Date 07/11/2013 To be Mailed
							ARGE 🗌 SMALL 🗌 MICRO	
				APPLIC	ATION AS FILE			
			(Column 1		(Column 2)			
	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (i), (i), (i), (i), (i), (i), (i		N/A		N/A		N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	E	N/A	N/A			N/A	
	TAL CLAIMS CFR 1.16(i))	or (q))	mir	nus 20 = *			X \$ =	
INC	CFR 1.16(h))	S	m	inus 3 = *			X \$ =	
(07					gs exceed 100 sh ee due is \$310 (\$			
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	MULTIPLE DEPEN	IDENT CLAIM P	RESENT (3	7 CFR 1.16(j))				
* If	the difference in colu	umn 1 is less tha	in zero, ente	r "0" in column 2.			TOTAL	
	(Column 1) (Column 2) (Column 3)							
INT	12/02/2014	CLAIMS REMAINING AFTER AMENDMENT	r	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	RA	RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 21	Minus	** 20	= 1		x \$80 =	80
EN	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		x \$420 =	0
Application Size Fee (37 CFR 1.16(s))					_			
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		(Column 1)		(Column 2)	(Column 3)			
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXT	RA	RATE (\$)	ADDITIONAL FEE (\$)
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AN	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							
							TOTAL ADD'L FE	=
<ul> <li>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.</li> <li>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".</li> <li>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".</li> </ul>								
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**BLUEHOUSE EXHIBIT 1002** 

Page 144 of 337

			UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
13/939,323	07/11/2013	Yasuharu HOSAKA	0756-10194	2340
31780759010/31/2014Robinson Intellectual Property Law Office, P.C.3975 Fair Ridge DriveState 20 Number			EXAM	INER
			KIM, RICHARD H	
Suite 20 North Fairfax, VA 22	033		ART UNIT	PAPER NUMBER
,			2871	
			MAIL DATE	DELIVERY MODE
			MAILDATE	DELIVERIMODE

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No.Applicant(s)13/939,323HOSAKA ET AL.					
Office Action Summary	Examiner RICHARD	KIM	<b>Art Unit</b> 2871	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply					
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY</li> <li>THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.12 after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period v</li> <li>Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	36(a). In no eve will apply and wil a, cause the appli	nt, however, may a reply be tir expire SIX (6) MONTHS from cation to become ABANDONE	nely filed the mailing date ( D (35 U.S.C. § 13	of this communication. 33).	
Status					
1) Responsive to communication(s) filed on		<b>.</b>			
A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>	• •				
	action is no			ion the interview on	
3) An election was made by the applicant in response , the restriction requirement and election		•		ing the interview on	
<ul> <li>4) Since this application is in condition for allowar</li> </ul>		•		to the merits is	
closed in accordance with the practice under E		•			
Disposition of Claims*					
<ul> <li>5) ☐ Claim(s) <u>1-20</u> is/are pending in the application.</li> <li>5a) Of the above claim(s) is/are withdraw</li> <li>6) ☐ Claim(s) is/are allowed.</li> <li>7) ☐ Claim(s) is/are objected.</li> <li>8) ☐ Claim(s) is/are objected to.</li> <li>9) ☐ Claim(s) <u>1-20</u> are subject to restriction and/or etails and the second second</li></ul>	wn from cor election req ligible to bene pplication. Fo an inquiry to an inquiry to er. epted or b)[ drawing(s) b	uirement. efit from the <b>Patent Pro</b> or more information, plea <u>PPHfeedback@uspto.c</u> objected to by the e held in abeyance. Sec	ase see <u>aov</u> . Examiner. e 37 CFR 1.85	ō(a).	
<ul> <li>Priority under 35 U.S.C. § 119</li> <li>12) △ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>Certified copies: <ul> <li>a) △ All</li> <li>b) △ Some** c) △ None of the:</li> <li>1. △ Certified copies of the priority documents have been received.</li> <li>2. △ Certified copies of the priority documents have been received in Application No</li> <li>3. △ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ul> </li> <li>** See the attached detailed Office action for a list of the certified copies not received.</li> </ul>					
Attachment(s)		_			
1) Notice of References Cited (PTO-892)		3) Interview Summary			
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date 4) Other:					

Application/Control Number: 13/939,323 Art Unit: 2871

## **DETAILED ACTION**

1. The present application is being examined under the pre-AIA first to invent provisions.

## **Election/Restrictions**

2. This application contains claims directed to the following patentably distinct species:

3. (1) The specifics of the device comprising a liquid crystal display device comprising a liquid crystal layer (Fig. 2);

4. (2) The specifics of the device comprising an organic EL panel comprising a filler (Fig.4).

5. The species are independent or distinct because they represent mutually exclusive embodiments distinguished by the type of display device. In addition, these species are not obvious variants of each other based on the current record.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species, or a single grouping of patentably indistinct species, for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable.

There is a search and/or examination burden for the patentably distinct species as set forth above because at least the following reason(s) apply:

A search and/or examination of both species would require searches in different class/subclasses and/or require different fields of search (i.e. search queries). Additionally, a reference relevant to one species may not be relevant to the other species.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species to be examined even though the requirement may be traversed (37 Application/Control Number: 13/939,323 Art Unit: 2871

CFR 1.143) and (ii) identification of the claims encompassing the elected species or grouping of patentably indistinct species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

The election may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected species or grouping of patentably indistinct species.

Should applicant traverse on the ground that the species, or groupings of patentably indistinct species from which election is required, are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing them to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the species unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103 or pre-AIA 35 U.S.C. 103(a) of the other species.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which depend from or otherwise require all the limitations of an allowable generic claim as provided by 37 CFR 1.141.

6. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be corrected in compliance with 37 CFR 1.48(a) if one or more of the

Page 3

Application/Control Number: 13/939,323 Art Unit: 2871

currently named inventors is no longer an inventor of at least one claim remaining in the application. A request to correct inventorship under 37 CFR 1.48(a) must be accompanied by an application data sheet in accordance with 37 CFR 1.76 that identifies each inventor by his or her legal name and by the processing fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RICHARD KIM whose telephone number is (571)272-2294. The examiner can normally be reached on 9:00-6:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bumsuk Won can be reached on (571)272-2713. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/RICHARD KIM/ Primary Examiner, Art Unit 2871

## 日本国特許庁 JAPAN PATENT OFFICE

別紙添付の書類に記載されている事項は下記の出願書類に記載されている事項と同一であることを証明する。

This is to certify that the annexed is a true copy of the following application as filed with this Office.

出願年月日 Date of Application:	2012年 7月20日
出 願 番 号 Application Number:	特願2012-161344
パリ条約による外国への出願 に用いる優先権の主張の基礎 となる出願の国コードと出願 番号 The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is	JP2012—161344
出 願 人	株式会社半導体エネルギー研究所

Applicant(s):

2014年 2月24日

羽

特許庁長官 Commissioner, Japan Patent Office

> **BLUEHOUSE EXHIBIT 1002** Page 150 of 337

【書類名】 特許願 P017384 【整理番号】 【提出日】 平成24年 7月20日 【あて先】 特許庁長官 殿 【発明者】 【住所又は居所】 栃木県栃木市都賀町升塚161-2 アドバンスト フィルム ディバイス インク株式会社内 保坂 泰靖 【氏名】 【発明者】 【住所又は居所】 栃木県栃木市都賀町升塚161-2 アドバンスト フィルム ディバイス インク株式会社内 【氏名】 島 行徳 【発明者】 【住所又は居所】 栃木県栃木市都賀町升塚161-2 アドバンスト フィルム ディバイス インク株式会社内 岡崎 健一 【氏名】 【発明者】 神奈川県厚木市長谷398番地 株式会社半導体エネルギー研究 【住所又は居所】 所内 山崎 舜平 【氏名】 【特許出願人】 【識別番号】 000153878 株式会社半導体エネルギー研究所 【氏名又は名称】 【代表者】 山崎 舜平 【手数料の表示】 【振替番号】 00003528 【納付金額】 15,000円 【提出物件の目録】 【物件名】 明細書 1 【物件名】 特許請求の範囲 1 要約書 1 【物件名】 【物件名】 図面 1

【書類名】明細書

【発明の名称】表示装置、及び該表示装置を有する電子機器

【技術分野】

[0001]

液晶パネルを用いる表示装置、または有機ELパネルを用いる表示装置に関する。また 、該表示装置を有する電子機器に関する。

【背景技術】

[0002]

近年、液晶パネルを用いる表示装置や有機ELパネルを用いる表示装置の開発が盛んで ある。この表示装置には、大別して画素制御用のトランジスタ(画素トランジスタ)のみ を基板上に形成して走査回路(駆動回路)は周辺ICで行うものと、画素トランジスタと ともに走査回路を同一基板上に形成するものに分類される。

[0003]

表示装置の狭額縁化または周辺 I Cのコスト低減のため、駆動回路一体型の表示装置の 方が、有利である。しかしながら、駆動回路に用いるトランジスタとしては、画素トラン ジスタに用いられる電気特性(例えば、電界効果移動度(μFE)またはしきい値等)よ りも、高い電気特性が求められる。

[0004]

トランジスタに適用可能な半導体薄膜としてシリコン系半導体材料が広く知られている が、その他の材料として酸化物半導体が注目されている。例えば、トランジスタに用いる 半導体薄膜として、電子キャリア濃度が10<sup>18</sup>/cm<sup>3</sup>未満であるインジウム(In) 、ガリウム(Ga)、及び亜鉛(Zn)を含む非晶質酸化物を用いたトランジスタが開示 されている(例えば、特許文献1参照)。

[0005]

酸化物半導体を半導体層に用いるトランジスタは、シリコン系半導体材料である非晶質 シリコンを半導体層に用いるトランジスタよりも電界効果移動度が大きいため、動作速度 が速く、駆動回路一体型の表示装置には好適であり、且つ多結晶シリコンを半導体層に用 いるトランジスタよりも製造工程が容易である。

[0006]

しかし、酸化物半導体を半導体層に用いるトランジスタは、酸化物半導体に水素、水分 等の不純物が入り込むことによってキャリアが形成され、該トランジスタの電気特性が変 動するという問題がある。

[0007]

上述した問題を解決するために、トランジスタのチャネル形成領域として用いる酸化物 半導体膜中の水素原子の濃度を1×10<sup>16</sup> c m<sup>-3</sup>未満とすることで、信頼性を向上さ せたトランジスタが開示されている(例えば、特許文献2)。

【先行技術文献】

【特許文献】

[0008]

【特許文献1】特開2006-165528号公報

【特許文献2】特開2011-139047号公報

【発明の概要】

【発明が解決しようとする課題】

[0009]

特許文献2にも記載されているように、酸化物半導体膜を半導体層に用いるトランジス タは、その電気特性を十分に維持するためには、水素、水分等を該酸化物半導体膜より極 力排除することが重要である。

[0010]

また、表示装置の画素領域及び駆動回路領域に双方にトランジスタを用いる場合、駆動 方法にも依存するが、画素領域よりも駆動回路領域に用いるトランジスタの方が、電気的 負荷が大きいため、駆動回路領域に用いるトランジスタの電気特性が重要となる。

[0011]

とくに、酸化物半導体膜を半導体層に用いるトランジスタを、画素領域及び駆動回路領 域に用いた表示装置では、高温高湿環境下の信頼性試験において、駆動回路領域に用いる トランジスタの劣化が問題になっている。該トランジスタの劣化原因としては、トランジ スタ上に形成された有機絶縁膜から、半導体層に用いる酸化物半導体膜へ水分等が入り込 み、該酸化物半導体膜のキャリア密度が増加するためである。

[0012]

そこで、本発明の一態様は、画素領域及び駆動回路領域にトランジスタを有する表示装置において、電気特性の変動を抑制すると共に、信頼性を向上させることを課題の一とする。とくに、トランジスタのチャネル形成領域に酸化物半導体膜を用いた表示装置において、該酸化物半導体膜への水素、水分の入り込みを抑制し、電気特性の変動を抑制すると 共に、信頼性を向上させることを課題の一とする。

【課題を解決するための手段】

[0013]

上述した課題に鑑み、本発明の一態様では、画素領域及び駆動回路領域に用いるトラン ジスタを有する表示装置において、トランジスタの電気特性の変動を抑制することができ る構造を提供する。より具体的には、トランジスタのチャネル形成領域に酸化物半導体膜 を用い、該トランジスタ上に設けられた有機絶縁材料により形成された平坦化膜の構造に 特徴を持たせ、水素、水分が酸化物半導体膜、特に駆動回路領域に用いる酸化物半導体膜 に入り込みづらい構造とする。より具体的には以下の通りである。

[0014]

本発明の一態様は、画素電極と、該画素電極と電気的に接続される少なくとも一の第1 のトランジスタを含む画素が複数個配列されている画素領域と、画素領域の外側に隣接し 、該画素領域の各画素に含まれる第1のトランジスタに信号を供給する少なくとも一の第 2のトランジスタを含む駆動回路領域と、が形成された第1の基板と、第1の基板と対向 するように設けられた第2の基板と、第1の基板と第2の基板間に挟持された液晶層と、 を有し、第1のトランジスタ及び第2のトランジスタ上に無機絶縁材料で形成された第1 の層間絶縁膜と、第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間絶縁膜と、 第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜と、を有し、第3の層 間絶縁膜は、画素領域上の一部に設けられ、該第3の層間絶縁膜の端部が駆動回路領域よ りも内側に形成されることを特徴とする表示装置である。

[0015]

上記構成において、画素電極上に設けられた第1の配向膜と、第1の配向膜上に形成された液晶層と、液晶層上に設けられた第2の配向膜と、第2の配向膜上に設けられた対向 電極と、対向電極上に設けられた有機保護絶縁膜と、有機保護絶縁膜上に設けられた有色 膜及び遮光膜と、有色膜及び遮光膜上に設けられた第2の基板と、を有していてもよい。

【0016】

また、本発明の他の一態様は、画素電極と、該画素電極と電気的に接続される少なくと も一の第1のトランジスタを含む画素が複数個配列されている画素領域と、画素領域の外 側に隣接し、該画素領域の各画素に含まれる第1のトランジスタに信号を供給する少なく とも一の第2のトランジスタを含む駆動回路領域と、が形成された第1の基板と、第1の 基板と対向するように設けられた第2の基板と、第1の基板と第2の基板間に挟持された 発光層と、を有し、第1のトランジスタ及び第2のトランジスタ上に無機絶縁材料で形成 された第1の層間絶縁膜と、第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間 絶縁膜と、第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜と、を有し 、第3の層間絶縁膜は、画素領域上の一部に設けられ、該第3の層間絶縁膜の端部が駆動 回路領域よりも内側に形成されることを特徴とする表示装置である。

[0017]

上記構成において、画素電極上に設けられた発光層と、発光層上に設けられた電極と、

を有していてもよい。

[0018]

また、上記各構成において、第3の層間絶縁膜は、窒化シリコン膜、窒化酸化シリコン 膜、酸化アルミニウム膜の中から選ばれたいずれか一であると好ましい。

[0019]

また、上記各構成において、第1のトランジスタ及び第2のトランジスタは、チャネル 形成領域を形成する半導体材料が酸化物半導体であると好ましい。また、第1のトランジ スタ及び第2のトランジスタは、ゲート電極と、ゲート電極上に形成された酸化物半導体 からなる半導体層と、半導体層上に形成されたソース電極及びドレイン電極と、を有する 構成であると好ましい。

[0020]

また、本発明の一態様は、上記各構成の表示装置を有する電子機器も範疇に含めるものである。

【発明の効果】

[0021]

画素領域及び駆動回路領域にトランジスタを有する表示装置において、電気特性の変動 を抑制すると共に、信頼性を向上させることができる。とくに、トランジスタのチャネル 形成領域に酸化物半導体膜を用いた表示装置において、該酸化物半導体膜への水素、水分 の入り込みを抑制し、電気特性の変動を抑制すると共に、信頼性を向上させることができ る。

【図面の簡単な説明】

[0022]

【図1】表示装置の一形態の上面を説明する図。

【図2】表示装置の一形態の断面を説明する図。

【図3】表示装置の一形態の上面を説明する図。

【図4】表示装置の一形態の断面を説明する図。

【図5】本発明の一態様に係るイメージセンサ付表示装置の一例を示す回路図および 断面図。

【図6】本発明の一態様に係るタブレット型端末の一例を示す図。

【図7】本発明の一態様に係る電子機器の例を示す図。

【図8】各質量電荷比における放出ガスのイオン強度を示す図。

【図9】基板表面温度に対する各質量電荷比のイオン強度を示す図。

【図10】試料の断面観察像。

【図11】各試料の電気特性を示す図。

【発明を実施するための形態】

[0023]

以下では、本発明の実施の形態について図面を用いて詳細に説明する。ただし、本発明 は以下の説明に限定されず、その形態および詳細を様々に変更し得ることは、当業者であ れば容易に理解される。また、本発明は以下に示す実施の形態の記載内容に限定して解釈 されるものではない。

[0024]

以下に説明する実施の形態において、同じものを指す符号は異なる図面間で共通して用いる。なお、図面において示す構成要素、すなわち層や領域等の厚さ幅、相対的な位置関係等は、実施の形態において説明する上で明確性のために誇張して示される。

[0025]

また、本明細書等において「電極」や「配線」の用語は、これらの構成要素を機能的に 限定するものではない。例えば、「電極」は「配線」の一部として用いられることがあり 、その逆もまた同様である。さらに、「電極」や「配線」の用語は、複数の「電極」や「 配線」が一体となって形成されている場合なども含む。

[0026]

また、本明細書等において、窒化酸化シリコン膜とは、窒素と、酸素と、シリコンと、 を成分として含有し、且つ、窒素の含有量が酸素の含有量よりも多い膜である。また、酸 化窒化シリコン膜とは、酸素と、窒素と、シリコンと、を成分として含有し、且つ、酸素 の含有量が窒素の含有量よりも多い膜である。

[0027]

また、「ソース」や「ドレイン」の機能は、異なる極性のトランジスタを採用する場合 や、回路動作において電流の方向が変化する場合などには入れ替わることがある。このた め、本明細書等においては、「ソース」や「ドレイン」の用語は、入れ替えて用いること ができるものとする。

[0028]

(実施の形態1)

本実施の形態では、表示装置の一形態として、液晶パネルを用いた表示装置について図 1及び図2を用いて説明する。

[0029]

図1(A)、(B)、(C)に表示装置の一形態として、表示装置の上面図を示す。なお、図1(A)は、表示装置全体を、図1(B)は、表示装置の駆動回路部の一部分を、図1(C)は画素部の一部分の上面図を、それぞれ示す。また、図2は、図1(A)におけるX1-Y1の断面図に相当する。

[0030]

図1(A)に示す表示装置において、第1の基板102上に設けられた画素領域142 と、画素領域142の外側に隣接し、該画素領域142に信号を供給する駆動回路領域で あるゲートドライバ回路部140及びソースドライバ回路部144を囲むようにして、シ ール材166が設けられ、第2の基板152によって封止されている。また、画素領域1 42と、ゲートドライバ回路部140及びソースドライバ回路部144が設けられた第1 の基板102と対向するように第2の基板152が設けられている。よって画素領域14 2と、ゲートドライバ回路部140と、ソースドライバ回路部144とは、第1の基板1 02とシール材166と第2の基板152によって、表示素子と共に封止されている。

[0031]

また、図1(A)においては、第1の基板102上のシール材166によって囲まれて いる領域とは異なる領域に、画素領域142、ゲートドライバ回路部140、ソースドラ イバ回路部144と電気的に接続されているFPC端子部146(FPC:Flexib le printed circuit)が設けられており、FPC端子部146には、 FPC148が接続され、画素領域142、ゲートドライバ回路部140、及びソースド ライバ回路部144に与えられる各種信号、及び電位は、FPC148により供給されて いる。

[0032]

また、図1(A)においては、ゲートドライバ回路部140及びソースドライバ回路部 144を画素領域142と同じ第1の基板102に形成している例を示しているが、この 構成に限定されない。例えば、ゲートドライバ回路部140のみを第1の基板102に形 成し、別途用意されたソースドライバ回路が形成された基板(例えば、単結晶半導体膜、 多結晶半導体膜で形成された駆動回路基板)を、第1の基板102に実装する構成として も良い。

[0033]

また、図1(A)においては、ゲートドライバ回路部140は画素領域142の両側に 2つ配置する構成について例示しているが、この構成に限定されない。例えば、画素領域 142の片側にのみゲートドライバ回路部140を配置する構成としても良い。

[0034]

なお、別途形成した駆動回路基板の接続方法は、特に限定されるものではなく、COG (Chip On Glass)方法、ワイヤボンディング方法、或いはTAB(Tap e Automated Bonding)方法などを用いることができる。また、表示 装置は、表示素子が封止された状態にあるパネルと、該パネルにコントローラを含むIC 等を実装した状態にあるモジュールとを含む。

[0035]

このように、トランジスタを含む駆動回路の一部または全体を、画素領域142と同じ 第1の基板102上に一体形成し、システムオンパネルを形成することができる。

【0036】

また、図1(C)においては、画素領域142に、第1のトランジスタ101、容量素 子107が形成されている。第1のトランジスタ101は、半導体層108に対して、ゲ ート電極104、ソース電極110、及びドレイン電極112が、それぞれ電気的に接続 されている。また、図1(C)に示す平面図においては、図示しないが、第1のトランジ スタ101上には、無機絶縁材料で形成された第1の層間絶縁膜と、第1の層間絶縁膜上 に有機絶縁材料で形成された第2の層間絶縁膜と、第2の層間絶縁膜上に無機絶縁材料で 形成された第3の層間絶縁膜が形成されている。また、容量素子107は、容量電極11 8と、容量電極118上に形成された第3の層間絶縁膜と、該第3の層間絶縁膜上に形成 された画素電極122により構成されている。

[0037]

また、図1(B)においては、駆動回路領域であるゲートドライバ回路部140に、第 2のトランジスタ103、及び第3のトランジスタ105が形成されている。また、ゲー トドライバ回路部140の各トランジスタは、半導体層108に対して、ゲート電極10 4、ソース電極110、及びドレイン電極112が、それぞれ電気的に接続されている。 また、ゲートドライバ回路部140においては、ゲート電極104を含むゲート線が左右 方向に延在し、ソース電極110を含むソース線が上下方向に延在し、ドレイン電極11 2を含むドレイン線がソース電極と離間して上下方向に延在している。

[0038]

第2のトランジスタ103、及び第3のトランジスタ105を含むゲートドライバ回路 部140は、画素領域142の各画素に含まれる第1のトランジスタ101に信号を供給 することができる。

[0039]

また、ゲートドライバ回路部140における第2のトランジスタ103、及び第3のト ランジスタ105は、各種信号の制御、及び昇圧等を行うために、比較的高い電圧が必要 となる。具体的には、10V~30V程度の電圧が必要となる。一方、画素領域142に おける第1のトランジスタ101は、画素のスイッチングのために用いるのみであるため 、数V~20V程度の電圧で駆動することができる。そのため、ゲートドライバ回路部1 40における第2のトランジスタ103、及び第3のトランジスタ105は、画素領域1 42における第1のトランジスタ101と比較し、与えられるストレスが非常に大きい構 成となる。

[0040]

図1(A)、(B)、(C)に示す表示装置の構成をより具体的に説明するため、図1 (A)、(B)、(C)におけるX1-Y1の断面図に相当する図2を用いて、ゲートド ライバ回路部140、及び画素領域142の構成について、以下説明を行う。

[0041]

画素領域142において、第1の基板102と、第1の基板102上に形成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜106と、ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体層108と、ゲート 絶縁膜106、及び半導体層108上に形成されたソース電極110及びドレイン電極1 12と、により、第1のトランジスタ101が形成されている。

[0042]

また、画素領域142において、第1のトランジスタ101上、より詳しくはゲート絶 縁膜106、半導体層108、ソース電極110、及びドレイン電極112上に無機絶縁 材料で形成された第1の層間絶縁膜114と、第1の層間絶縁膜114上に有機絶縁材料 で形成された第2の層間絶縁膜116と、第2の層間絶縁膜116上に形成された容量電 極118と、第2の層間絶縁膜116及び容量電極118上に無機絶縁材料で形成された 第3の層間絶縁膜120と、第3の層間絶縁膜120上に形成された画素電極122と、 を有している。

[0043]

なお、容量電極118と、第3の層間絶縁膜120と、画素電極122と、により容量 素子107が形成されている。容量電極118、第3の層間絶縁膜120、及び画素電極 122を、それぞれ、可視光において、透光性を有する材料により形成することで、画素 部の開口率を損ねることなく大きな容量を確保することができるので、好適である。

[0044]

また、画素電極122上には、第1の配向膜124と、第1の配向膜124上に設けら れた液晶層162と、液晶層162上に設けられた第2の配向膜164と、第2の配向膜 164上に設けられた対向電極158と、対向電極158上に設けられた有機保護絶縁膜 156と、有機保護絶縁膜156上に設けられた有色膜153及び遮光膜154と、有色 膜153及び遮光膜154上に設けられた第2の基板152と、を有する。

[0045]

なお、画素電極122と、第1の配向膜124と、液晶層162と、第2の配向膜16 4と、対向電極158と、により表示素子である液晶素子150が形成されている。

[0046]

ゲートドライバ回路部140において、第1の基板102と、第1の基板102上に形 成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜106と、 ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体層10 8と、ゲート絶縁膜106、及び半導体層108上に形成されたソース電極110及びド レイン電極112と、により、第2のトランジスタ103、及び第3のトランジスタ10 5が形成されている。

[0047]

また、ゲートドライバ回路部140において、第2のトランジスタ103及び第3のト ランジスタ105上、より詳しくはゲート絶縁膜106、及び半導体層108、ソース電 極110、及びドレイン電極112上に形成された第1の層間絶縁膜114と、第1の層 間絶縁膜114上に形成された第2の層間絶縁膜116が形成されている。

[0048]

すなわち、第3の層間絶縁膜120は、画素領域142上の一部に設けられ、第3の層 間絶縁膜120の端部が駆動回路領域であるゲートドライバ回路部140よりも内側に形 成される。

[0049]

このような構成とすることによって、外部から取り込まれる水分、または表示装置内部 で生じた水分、水素等のガスをゲートドライバ回路部140の第2の層間絶縁膜116か ら上部へ放出することができる。したがって、第1のトランジスタ101、第2のトラン ジスタ103、及び第3のトランジスタ105内部に水分、水素等のガスが取り込まれる のを抑制することができる。

[0050]

なお、有機絶縁材料により形成される第2の層間絶縁膜116は、表示装置を構成する トランジスタの凹凸等を低減するために、平坦性の高い有機絶縁材料が必要とされる。こ れは、トランジスタの凹凸等を低減することにより、表示装置の画質を向上させることが できるためである。しかしながら、該有機絶縁材料は加熱等により、水素、水分、または 有機成分をガスとして放出してしまう。

[0051]

しかし、半導体層108に、例えば、シリコン系半導体材料であるシリコン膜を用いた トランジスタにおいては、上述の水素、水分、または有機成分のガスが大きな問題になる 可能性が低い。しかし、本発明の一態様においては、半導体層108に酸化物半導体膜を 用いるため、有機絶縁材料により形成される第2の層間絶縁膜116からのガスを外部に 好適に放出させる必要がある。なお、第3の層間絶縁膜120の端部が駆動回路領域であ るゲートドライバ回路部140よりも内側に形成される構成は、半導体層108を酸化物 半導体膜により形成した場合において、優れた効果を奏する。ただし、半導体層108に 酸化物半導体以外の材料(例えば、シリコン系半導体材料である非晶質シリコン、結晶性 シリコンなど)により形成したトランジスタにおいても、同様の効果が得られる。

[0052]

また、有機絶縁材料で形成された第2の層間絶縁膜116上に形成される無機絶縁材料 で形成された第3の層間絶縁膜120は、本実施の形態においては、容量素子107の誘 電体として用いる。また、無機絶縁材料で形成された第3の層間絶縁膜120は、外部か ら第2の層間絶縁膜116に入り込む水素、水分等を抑制することができる。

[0053]

しかしながら、第3の層間絶縁膜120をゲートドライバ回路部140に用いる第2の トランジスタ103及び第3のトランジスタ105上の第2の層間絶縁膜116上に形成 すると、第2の層間絶縁膜116に用いる有機絶縁材料から放出されるガスを外部に拡散 することができず、第2のトランジスタ103、及び第3のトランジスタ105内部に入 り込む。

[0054]

上述した有機絶縁材料から放出されるガスが、トランジスタの半導体層108に用いる 酸化物半導体に入り込むと、酸化物半導体膜中で不純物として取り込まれ、該半導体層1 08を用いたトランジスタの特性が変動してしまう。

[0055]

しかし、図1(B)に示すように、ゲートドライバ回路部140に用いる第2のトランジスタ103、及び第3のトランジスタ105上の第3の層間絶縁膜120が開口された 構成、すなわち第3の層間絶縁膜120が、画素領域142の一部に設けられ、第3の層 間絶縁膜120の端部がゲートドライバ回路部140よりも内側に形成される構成とする ことによって、第2の層間絶縁膜116から放出されるガスを、外部へ拡散できる構造と することができる。

[0056]

なお、図1(B)に示すように、画素領域142に用いる第1のトランジスタ101に おいても、半導体層108の重畳する位置の無機絶縁材料で形成された第3の層間絶縁膜 120が除去された構成が好ましい。このような構成とすることで、有機絶縁材料で形成 された第2の層間絶縁膜116から放出されるガスが、第1のトランジスタ101への入 り込むのを抑制することができる。

[0057]

ここで、図1及び図2に示す表示装置の他の構成要素について、以下詳細な説明を行う

[0058]

第1の基板102及び第2の基板152としては、アルミノシリケートガラス、アルミノホウケイ酸ガラス、バリウムホウケイ酸ガラスなどのガラス材料を用いる。量産する上では、第1の基板102及び第2の基板152は、第8世代(2160mm×2460mm)、第9世代(2400mm×2800mm、または2450mm×3050mm)、第10世代(2950mm×3400mm)等のマザーガラスを用いることが好ましい。マザーガラスは、処理温度が高く、処理時間が長いと大幅に収縮するため、マザーガラスを使用して量産を行う場合、作製工程の加熱処理は、好ましくは600℃以下、さらに好ましくは350℃以下とすることが望ましい。

[0059]

なお、第1の基板102及びゲート電極104の間に下地絶縁膜を設けてもよい。下地 絶縁膜としては、酸化シリコン膜、酸化窒化シリコン膜、窒化シリコン膜、窒化酸化シリ コン膜、酸化ガリウム膜、酸化ハフニウム膜、酸化イットリウム膜、酸化アルミニウム膜 、酸化窒化アルミニウム膜等がある。なお、下地絶縁膜として、窒化シリコン膜、酸化ガ リウム膜、酸化ハフニウム膜、酸化イットリウム膜、酸化アルミニウム膜等を用いること で、第1の基板102から不純物、代表的にはアルカリ金属、水、水素等の半導体層10 8へ入り込むのを抑制することができる。

[0060]

ゲート電極104としては、アルミニウム、クロム、銅、タンタル、チタン、モリブデ ン、タングステンから選ばれた金属元素、または上述した金属元素を成分とする合金か、 上述した金属元素を組み合わせた合金等を用いて形成することができる。また、マンガン 、ジルコニウムのいずれかーまたは複数から選択された金属元素を用いてもよい。また、 ゲート電極104は、単層構造でも、二層以上の積層構造としてもよい。例えば、シリコ ンを含むアルミニウム膜の単層構造、アルミニウム膜上にチタン膜を積層する二層構造、 窒化チタン膜上にチタン膜を積層する二層構造、窒化チタン膜上にタングステン膜を積層 する二層構造、窒化タンタル膜または窒化タングステン膜上にタングステン膜を積層 する二層構造、チタン膜と、そのチタン膜上にアルミニウム膜を積層し、さらにその上にチタ ン膜を形成する三層構造等がある。また、アルミニウムに、チタン、タンタル、タングス テン、モリブデン、クロム、ネオジム、スカンジウムから選ばれた元素の膜、または複数 組み合わせた合金膜、もしくは窒化膜を用いてもよい。

[0061]

また、ゲート電極104は、インジウム錫酸化物、酸化タングステンを含むインジウム 酸化物、酸化タングステンを含むインジウム亜鉛酸化物、酸化チタンを含むインジウム酸 化物、酸化チタンを含むインジウム錫酸化物、インジウム亜鉛酸化物、酸化シリコンを添 加したインジウム錫酸化物等の透光性を有する導電性材料を適用することもできる。また 、上記透光性を有する導電性材料と、上記金属元素の積層構造とすることもできる。

[0062]

また、ゲート電極104とゲート絶縁膜106との間に、In-Ga-Zn系酸窒化物 半導体膜、In-Sn系酸窒化物半導体膜、In-Ga系酸窒化物半導体膜、In-Zn 系酸窒化物半導体膜、Sn系酸窒化物半導体膜、In系酸窒化物半導体膜、金属窒化膜( InN、ZnN等)等を設けてもよい。これらの膜は5eV、好ましくは5.5eV以上 の仕事関数を有し、酸化物半導体の電子親和力よりも大きい値であるため、酸化物半導体 を用いたトランジスタのしきい値電圧をプラスにシフトすることができ、所謂ノーマリー オフ特性のスイッチング素子を実現できる。例えば、In-Ga-Zn系酸窒化物半導体 膜を用いる場合、少なくとも半導体層108より高い窒素濃度、具体的には7原子%以上 のIn-Ga-Zn系酸窒化物半導体膜を用いる。

[0063]

ゲート絶縁膜106としては、例えば酸化シリコン膜、酸化窒化シリコン膜、窒化酸化 シリコン膜、窒化シリコン膜、酸化アルミニウム膜、酸化ハフニウム膜、酸化ガリウム膜 またはGa-Zn系金属酸化物、窒化シリコン膜、窒化酸化シリコン膜などを用いればよ く、積層または単層で設ける。なお、半導体層108との界面特性を向上させるため、ゲ ート絶縁膜106において少なくとも半導体層108と接する領域は酸化絶縁膜で形成す ることが好ましい。

[0064]

また、ゲート絶縁膜106に、酸素、水素、水等のブロッキング効果を有する絶縁膜を 設けることで、半導体層108からの酸素の外部への拡散と、外部から半導体層108へ の水素、水等の入り込むのを防ぐことができる。酸素、水素、水等のブロッキング効果を 有する絶縁膜としては、酸化アルミニウム、酸化窒化アルミニウム、酸化ガリウム、酸化 窒化ガリウム、酸化イットリウム、酸化窒化イットリウム、酸化ハフニウム、酸化窒化ハ フニウム等がある。

[0065]

また、ゲート絶縁膜106を積層構造とし、第1の窒化シリコン膜として、欠陥が少な い窒化シリコン膜とし、第1の窒化シリコン膜上に、第2の窒化シリコン膜として、水素 放出量及びアンモニア放出量の少ない窒化シリコン膜を設け、第2の窒化シリコン膜上に 酸化絶縁膜を設けることで、ゲート絶縁膜106として、欠陥が少なく、且つ水素及びア ンモニアの放出量の少ないゲート絶縁膜106を形成することができる。この結果、ゲー ト絶縁膜106に含まれる水素及び窒素が、半導体層108への移動を抑制することが可 能である。

[0066]

また、ゲート絶縁膜106に窒化シリコン膜を用いることで、以下の効果を得ることができる。窒化シリコン膜は、酸化シリコン膜と比較して比誘電率が高く、同等の静電容量を得るのに必要な膜厚が大きいため、ゲート絶縁膜を物理的に厚膜化することができる。よって、第1のトランジスタ101、第2のトランジスタ103、及び第3のトランジスタ105の絶縁耐圧の低下を抑制、さらには絶縁耐圧を向上させて、表示装置に用いるトランジスタの静電破壊を抑制することができる。

[0067]

また、ゲート電極104として銅を用い、ゲート電極104に接するゲート絶縁膜10 6に窒化シリコン膜を用いる場合、銅とアンモニア分子が反応することを抑制するために 当該窒化シリコン膜は、加熱によるアンモニア分子放出量をできる限り低減することが好 ましい。

[0068]

酸化物半導体膜を半導体層108に用いるトランジスタにおいて、酸化物半導体膜及び ゲート絶縁膜の界面またはゲート絶縁膜中に捕獲準位(界面準位ともいう。)があると、 トランジスタのしきい値電圧の変動、代表的にはしきい値電圧のマイナスシフト、及びト ランジスタがオン状態となるときにドレイン電流が一桁変化するのに必要なゲート電圧を 示すサブスレッショルド係数(S値)の増大の原因となる。この結果、トランジスタごと に電気特性がばらつくという問題がある。このため、ゲート絶縁膜として、欠陥の少ない 窒化シリコン膜を用いることで、しきい値電圧のマイナスシフト、及びトランジスタの電 気特性のばらつきを低減することができる。

[0069]

また、ゲート絶縁膜106として、ハフニウムシリケート(HfSiO<sub>x</sub>)、窒素が添加されたハフニウムシリケート(HfSi<sub>x</sub>O<sub>y</sub>N<sub>z</sub>)、窒素が添加されたハフニウムアルミネート(HfAl<sub>x</sub>O<sub>y</sub>N<sub>z</sub>)、酸化ハフニウム、酸化イットリウムなどのhigh ーk材料を用いることでトランジスタのゲートリークを低減できる。

[0070]

ゲート絶縁膜106の厚さは、5nm以上400nm以下、より好ましくは10nm以上300nm以下、より好ましくは50nm以上250nm以下とするとよい。

[0071]

半導体層108は、酸化物半導体を用い、少なくともインジウム(In)若しくは亜鉛 (Zn)を含むことが好ましい。または、InとZnの双方を含むことが好ましい。また 、該酸化物半導体を用いたトランジスタの電気特性のばらつきを減らすため、それらと共 に、スタビライザーの一または複数を有することが好ましい。

[0072]

スタビライザーとしては、ガリウム(Ga)、スズ(Sn)、ハフニウム(Hf)、ア ルミニウム(A1)、またはジルコニウム(Zr)等がある。また、他のスタビライザー としては、ランタノイドである、ランタン(La)、セリウム(Ce)、プラセオジム( Pr)、ネオジム(Nd)、サマリウム(Sm)、ユウロピウム(Eu)、ガドリニウム (Gd)、テルビウム(Tb)、ジスプロシウム(Dy)、ホルミウム(Ho)、エルビ ウム(Er)、ツリウム(Tm)、イッテルビウム(Yb)、ルテチウム(Lu)等があ る。

[0073]

例えば、酸化物半導体として、酸化インジウム、酸化スズ、酸化亜鉛、二元系金属酸化物である I n-Z n系金属酸化物、Sn-Zn系金属酸化物、Al-Zn系金属酸化物、

Zn-Mg系金属酸化物、Sn-Mg系金属酸化物、In-Mg系金属酸化物、In-Ga-Zn系金属酸化物、In-W系金属酸化物、 $\Xi$ 元系金属酸化物であるIn-Ga-Zn系金属酸化物(IGZO2も表記する)、In-A1-Zn系金属酸化物、In-Sn-Zn系金属酸化物、Sn-Ga-Zn系金属酸化物、A1-Ga-Zn系金属酸化物、Sn-A1-Zn系金属酸化物、In-Hf-Zn系金属酸化物、In-La-Zn系金属酸化物、In-Nd-Zn系金属酸化物、In-Sm-Zn系金属酸化物、In-Ce-Zn系金属酸化物、In-Pr-Zn系金属酸化物、In-Nd-Zn系金属酸化物、In-Sm-Zn系金属酸化物、In-Dy-Zn系金属酸化物、In-Ho-Zn系金属酸化物、In-Er-Zn系金属酸化物、In-Tm-Zn系金属酸化物、In-Tm-Zn系金属酸化物、In-Tm-Zn系金属酸化物、In-Tm-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-Zn系金属酸化物、In-Ca-ZnAmmediated and In-Sn-Hf-ZnAmmediated and In-Ca-ZnAmmediated and In-Ca-ZnAmmediated and In-Ca-ZnAmmediated and In-Ca-ZnAmmediated and In-Ca-ZnAmmediated and In-Sn-Hf-ZnAmmediated and In-Hf-Al-ZnAmmediated and In-Ca-ZnAmmediated and In-Ca-Ammediated and In-Ca-Amm

【0074】

なお、ここで、例えば、In-Ga-Zn系金属酸化物とは、InとGaとZnを主成 分として有する酸化物という意味であり、InとGaとZnの比率は問わない。また、InとGaとZn以外の金属元素が入っていてもよい。

[0075]

また、酸化物半導体として、 $InMO_3(ZnO)_m(m>0)$ 、且つ、mは整数でない)で表記される材料を用いてもよい。なお、Mは、Ga、Fe、Mn及びCoから選ばれた一の金属元素または複数の金属元素を示す。また、酸化物半導体として、 $In_2SnO_5(ZnO)_n(n>0)$ 、且つ、nは整数)で表記される材料を用いてもよい。

[0076]

[0077]

しかし、これらに限られず、必要とする半導体特性及び電気特性(電界効果移動度、し きい値電圧、ばらつき等)に応じて適切な組成のものを用いればよい。また、必要とする 半導体特性を得るために、キャリア密度や不純物濃度、欠陥密度、金属元素と酸素の原子 数比、原子間距離、密度等を適切なものとすることが好ましい。

[0078]

例えば、In-Sn-Zn系金属酸化物では比較的容易に高い移動度が得られる。しかしながら、<math>In-Ga-Zn系金属酸化物でも、バルク内欠陥密度を低くすることにより電界効果移動度を上げることができる。

[0079]

また、半導体層108として用いることのできる酸化物半導体膜としては、エネルギー ギャップが2eV以上、好ましくは2.5eV以上、より好ましくは3eV以上である。 このように、エネルギーギャップの広い酸化物半導体膜を用いることで、トランジスタの オフ電流を低減することができる。

[0080]

また、半導体層108として用いる酸化物半導体膜は、非晶質構造、単結晶構造、また は多結晶構造であってもよい。

[0081]

また、半導体層108として用いる酸化物半導体膜として、結晶化した部分を有するC AAC-OS(C Axis Aligned Crystalline Oxide Semiconductorともいう。)膜を用いてもよい。

[0082]

CAAC-OS膜は、完全な単結晶ではなく、完全な非晶質でもない。CAAC-OS 膜は、非晶質相に結晶部及び非晶質部を有する結晶-非晶質混相構造の酸化物半導体膜で ある。なお、当該結晶部は、一辺が100nm未満の立方体内に収まる大きさであること が多い。また、透過型電子顕微鏡(TEM:Transmission Electro n Microscope)による観察像では、CAAC-OS膜に含まれる非晶質部と 結晶部との境界は明確ではない。また、TEMによってCAAC-OS膜には粒界(グレ インバウンダリーともいう。)は確認できない。そのため、CAAC-OS膜は、粒界に 起因する電子移動度の低下が抑制される。

[0083]

CAAC-OS膜に含まれる結晶部は、c軸がCAAC-OS膜の被形成面の法線ベクトルまたは表面の法線ベクトルに平行な方向に揃い、かつab面に垂直な方向から見て三角形状または六角形状の原子配列を有し、c軸に垂直な方向から見て金属原子が層状または金属原子と酸素原子とが層状に配列している。なお、異なる結晶部間で、それぞれa軸及びb軸の向きが異なっていてもよい。本明細書において、単に垂直と記載する場合、85°以上95°以下の範囲も含まれることとする。また、単に平行と記載する場合、-5°以上5°以下の範囲も含まれることとする。なお、酸化物半導体膜を構成する酸素の一部は窒素で置換されてもよい。

[0084]

なお、CAAC-OS膜において、結晶部の分布が一様でなくてもよい。例えば、CA AC-OS膜の形成過程において、酸化物半導体膜の表面側から結晶成長させる場合、被 形成面の近傍に対し表面の近傍では結晶部の占める割合が高くなることがある。また、C AAC-OS膜へ不純物を添加することにより、当該不純物添加領域において結晶部が非 晶質化することもある。

[0085]

CAAC-OS膜に含まれる結晶部のc軸は、CAAC-OS膜の被形成面の法線ベクトルまたは表面の法線ベクトルに平行な方向に揃うため、CAAC-OS膜の形状(被形成面の断面形状または表面の断面形状)によっては互いに異なる方向を向くことがある。なお、結晶部のc軸の方向は、CAAC-OS膜が形成されたときの被形成面の法線ベクトルまたは表面の法線ベクトルに平行な方向となる。結晶部は、成膜することにより、または成膜後に加熱処理などの結晶化処理を行うことにより形成される。

[0086]

CAAC-OS膜を用いたトランジスタは、可視光や紫外光の照射による電気特性の変動を抑制することが可能である。よって、当該トランジスタは、信頼性が高い。

[0087]

また、CAAC-OS膜は、例えば、多結晶である酸化物半導体スパッタリング用ター ゲットを用い、スパッタリング法によって成膜する。当該スパッタリング用ターゲットに イオンが衝突すると、スパッタリング用ターゲットに含まれる結晶領域が a - b 面から劈 開し、a - b 面に平行な面を有する平板状またはペレット状のスパッタリング粒子として 剥離することがある。この場合、当該平板状のスパッタリング粒子が、結晶状態を維持し たまま基板に到達することで、CAAC-OS膜を成膜することができる。

[0088]

また、CAAC-OS膜を成膜するために、以下の条件を適用することが好ましい。

[0089]

成膜時の不純物混入を低減することで、不純物によって結晶状態が崩れることを抑制で きる。例えば、成膜室内に存在する不純物濃度(水素、水、二酸化炭素および窒素など) を低減すればよい。また、成膜ガス中の不純物濃度を低減すればよい。具体的には、露点 が-80℃以下、好ましくは-100℃以下である成膜ガスを用いる。

[0090]

また、成膜時の基板加熱温度を高めることで、基板到達後にスパッタリング粒子のマイ グレーションが起こる。具体的には、基板加熱温度を100℃以上740℃以下、好まし くは150℃以上500℃以下として成膜する。成膜時の基板加熱温度を高めることで、 平板状のスパッタリング粒子が基板に到達した場合、基板上でマイグレーションが起こり 、スパッタリング粒子の平らな面が基板に付着する。

[0091]

また、成膜ガス中の酸素割合を高め、電力を最適化することで成膜時のプラズマダメージを軽減すると好ましい。成膜ガス中の酸素割合は、30体積%以上、好ましくは100体積%とする。

[0092]

また、半導体層108として用いる酸化物半導体膜は、複数の酸化物半導体膜が積層された構造でもよい。例えば、酸化物半導体膜を、第1の酸化物半導体膜と第2の酸化物半導体膜に、異なる組成の金属酸化物を用いてもよい。例えば、第1の酸化物半導体膜に二元系金属酸化物乃至四元系金属酸化物の一を用い、第2の酸化物半導体膜に第1の酸化物半導体膜と異なる二元系金属酸化物乃至四元系金属酸化物乃至四元系金属酸化物方至四元系金属酸化物を用いてもよい。

[0093]

また、第1の酸化物半導体膜と第2の酸化物半導体膜の構成元素を同一とし、両者の組 成を異ならせてもよい。例えば、第1の酸化物半導体膜の原子数比をIn:Ga:Zn= 1:1:1とし、第2の酸化物半導体膜の原子数比をIn:Ga:Zn=3:1:2とし てもよい。また、第1の酸化物半導体膜の原子数比をIn:Ga:Zn=1:3:2とし 、第2の酸化物半導体膜の原子数比をIn:Ga:Zn=2:1:3としてもよい。なお 、各酸化物半導体膜の原子数比は、誤差として上記の原子数比のプラスマイナス20%の 変動を含む。

[0094]

この時、第1の酸化物半導体膜と第2の酸化物半導体膜のうち、ゲート電極に近い側( チャネル側)の酸化物半導体膜のInとGaの含有率をIn>Gaとするとよい。またゲート電極から遠い側(バックチャネル側)の酸化物半導体膜のInとGaの含有率をIn  $\leq$ Gaとするとよい。

[0095]

また、酸化物半導体膜を3層構造とし、第1の酸化物半導体膜~第3の酸化物半導体膜 の構成元素を同一とし、且つそれぞれの組成を異ならせてもよい。例えば、第1の酸化物 半導体膜の原子数比をIn:Ga:Zn=1:3:2とし、第2の酸化物半導体膜の原子 数比をIn:Ga:Zn=3:1:2とし、第3の酸化物半導体膜の原子数比をIn:G a:Zn=1:1:1としてもよい。

[0096]

G a 及びZ n より I n の原子数比が小さい酸化物半導体膜、代表的には原子数比が I n :G a : Z n = 1 : 3 : 2 である第1の酸化物半導体膜は、G a 及びZ n より I n の原子 数比が大きい酸化物半導体膜、代表的には第2の酸化物半導体膜、並びにG a、Z n、及 び I n の原子数比が同じ酸化物半導体膜、代表的には第3の酸化物半導体膜と比較して、 酸素欠損が生じにくいため、キャリア密度が増加することを抑制することができる。また 、原子数比が I n : G a : Z n = 1 : 3 : 2 である第1の酸化物半導体膜が非晶質構造で

あると、第2の酸化物半導体膜がCAAC-OS膜となりやすい。

[0097]

また、第1の酸化物半導体膜~第3の酸化物半導体膜の構成元素は同一であるため、第 1の酸化物半導体膜は、第2の酸化物半導体膜との界面におけるトラップ準位が少ない。 このため、酸化物半導体膜を上記構造とすることで、トランジスタの経時変化や光劣化に よるしきい値電圧の変動量を低減することができる。 [0098]

酸化物半導体では主として重金属のs軌道がキャリア伝導に寄与しており、Inの含有率を多くすることにより、より多くのs軌道が重なるため、In>Gaの組成となる酸化物はIn≦Gaの組成となる酸化物と比較して高いキャリア移動度を備える。また、GaはInと比較して酸素欠損の形成エネルギーが大きく酸素欠損が生じにくいため、In≦Gaの組成となる酸化物はIn>Gaの組成となる酸化物と比較して安定した特性を備える。

[0099]

チャネル側にⅠn>Gaの組成となる酸化物半導体を適用し、バックチャネル側にⅠn ≤Gaの組成となる酸化物半導体を適用することで、トランジスタの電界効果移動度及び 信頼性をさらに高めることが可能となる。

[0100]

また、第1の酸化物半導体膜乃至第3の酸化物半導体膜に、結晶性の異なる酸化物半導体を適用してもよい。すなわち、単結晶酸化物半導体、多結晶酸化物半導体、非晶質酸化物半導体、またはCAAC-OSを適宜組み合わせた構成としてもよい。また、第1の酸化物半導体膜乃至第2の酸化物半導体膜のいずれかーに非晶質酸化物半導体を適用すると、酸化物半導体膜の内部応力や外部からの応力を緩和し、トランジスタの特性ばらつきが低減され、また、トランジスタの信頼性をさらに高めることが可能となる。

[0101]

酸化物半導体膜の厚さは、1 n m 以上100 n m 以下、更に好ましくは1 n m 以上30 n m 以下、更に好ましくは1 n m 以上50 n m 以下、更に好ましくは3 n m 以上20 n m 以下とすることが好ましい。

[0102]

半導体層108に用いる酸化物半導体膜において、二次イオン質量分析法(SIMS: Secondary Ion Mass Spectrometry)により得られるア ルカリ金属またはアルカリ土類金属の濃度を、 $1 \times 10^{18}$  atoms/cm<sup>3</sup>以下、さ らに好ましくは $2 \times 10^{16}$  atoms/cm<sup>3</sup>以下であることが望ましい。アルカリ金 属及びアルカリ土類金属は、酸化物半導体と結合するとキャリアを生成する場合があり、 トランジスタのオフ電流の上昇の原因となるためである。

[0103]

また、半導体層108に用いる酸化物半導体膜において、二次イオン質量分析法により 得られる水素濃度を、 $5 \times 10^{18}$  atoms/cm<sup>3</sup>未満、好ましくは $1 \times 10^{18}$  a toms/cm<sup>3</sup>以下、より好ましくは $5 \times 10^{17}$  atoms/cm<sup>3</sup>以下、さらに好 ましくは $1 \times 10^{16}$  atoms/cm<sup>3</sup>以下とすることが好ましい。

[0104]

酸化物半導体膜に含まれる水素は、金属原子と結合する酸素と反応して水となると共に 、酸素が脱離した格子(あるいは酸素が脱理した部分)には欠損が形成されてしまう。ま た、水素の一部が酸素と結合することで、キャリアである電子が生じてしまう。これらの ため、酸化物半導体膜の成膜工程において、水素を含む不純物を極めて減らすことにより 、酸化物半導体膜の水素濃度を低減することが可能である。このため、水素をできるだけ 除去された酸化物半導体膜をチャネル領域とすることにより、しきい値電圧のマイナスシ フトを抑制することができると共に、電気特性のばらつきを低減することができる。また 、トランジスタのソース及びドレインにおけるリーク電流を、代表的には、オフ電流を低 減することが可能である。

[0105]

また、半導体層108に用いる酸化物半導体膜の窒素濃度を5×10<sup>18</sup> a t o m s/ c m<sup>3</sup>以下とすることで、トランジスタのしきい値電圧のマイナスシフトを抑制すること ができると共に、電気特性のばらつきを低減することができる。

[0106]

なお、水素をできるだけ除去することで高純度化された酸化物半導体膜をチャネル領域

に用いたトランジスタのオフ電流が低いことは、いろいろな実験により証明できる。例え ば、チャネル幅が1×10<sup>6</sup>μmでチャネル長が10μmのトランジスタであっても、ソ ース電極とドレイン電極間の電圧(ドレイン電圧)が1Vから10Vの範囲において、オ フ電流が、半導体パラメータアナライザの測定限界以下、すなわち1×10<sup>-13</sup>A以下 という特性を得ることができる。この場合、オフ電流をトランジスタのチャネル幅で除し た数値に相当するオフ電流は、100zA/μm以下であることが分かる。また、容量素 子とトランジスタとを接続して、容量素子に流入または容量素子から流出する電荷を当該 トランジスタで制御する回路を用いて、オフ電流の測定を行った。当該測定では、上記ト ランジスタに高純度化された酸化物半導体膜をチャネル領域に用い、容量素子の単位時間 あたりの電荷量の推移から当該トランジスタのオフ電流を測定した。その結果、トランジ スタのソース電極とドレイン電極間の電圧が3Vの場合に、数+yA/μmという、さら に低いオフ電流が得られることが分かった。従って、高純度化された酸化物半導体膜をチ ャネル領域に用いたトランジスタは、オフ電流が著しく小さい。

[0107]

ソース電極110及びドレイン電極112としては、導電材料として、アルミニウム、 チタン、クロム、ニッケル、銅、イットリウム、ジルコニウム、モリブデン、銀、タンタ ル、またはタングステンからなる単体金属、またはこれを主成分とする合金を単層構造ま たは積層構造として用いる。例えば、シリコンを含むアルミニウム膜の単層構造、アルミ ニウム膜上にチタン膜を積層する二層構造、タングステン膜上にチタン膜を積層する二層 構造、銅ーマグネシウムーアルミニウム合金膜上に銅膜を積層する二層構造、チタン膜ま たは窒化チタン膜と、そのチタン膜または窒化チタン膜上に重ねてアルミニウム膜または 銅膜を積層し、さらにその上にチタン膜または窒化チタン膜を形成する三層構造、モリブ デン膜または窒化モリブデン膜と、そのモリブデン膜または窒化モリブデン膜上に重ねて アルミニウム膜または銅膜を積層し、さらにその上にモリブデン膜または窒化モリブデン 膜を形成する三層構造等がある。なお、酸化インジウム、酸化錫または酸化亜鉛を含む透 明導電材料を用いてもよい。

[0108]

なお、本実施の形態では、ソース電極110及びドレイン電極112を半導体層108 上に設けたが、ゲート絶縁膜106と半導体層108の間に設けても良い。

[0109]

第1の層間絶縁膜114としては、半導体層108として用いる酸化物半導体膜との界 面特性を向上させるため、酸化物絶縁膜を用いることが好ましい。第1の層間絶縁膜11 4としては、厚さ150nm以上400nm以下の酸化シリコン膜、酸化窒化シリコン膜 、酸化アルミニウム膜、酸化ハフニウム膜、酸化ガリウム膜、またはGa-Zn系金属酸 化物等を用いることができる。また、第1の層間絶縁膜114としては、酸化物絶縁膜と 窒化物絶縁膜との積層構造としてもよい。例えば、第1の層間絶縁膜114として、酸化 窒化シリコン膜と、窒化シリコン膜との積層構造とすることができる。

[0110]

第2の層間絶縁膜116としては、アクリル系樹脂、ポリイミド系樹脂、ベンゾシクロ ブテン系樹脂、ポリアミド系樹脂、エポキシ系樹脂等の、耐熱性を有する有機絶縁材料を 用いることができる。なお、これらの材料で形成される絶縁膜を複数積層させることで、 第2の層間絶縁膜116を形成してもよい。第2の層間絶縁膜116を用いることにより

、第1のトランジスタ101等の凹凸を平坦化させることが可能となる。

 $[0\ 1\ 1\ 1]$ 

容量電極118としては、酸化タングステンを含むインジウム酸化物、酸化タングステンを含むインジウム亜鉛酸化物、酸化チタンを含むインジウム酸化物、酸化チタンを含む インジウム錫酸化物、インジウム錫酸化物(以下、ITOと示す。)、インジウム亜鉛酸 化物、酸化ケイ素を添加したインジウム錫酸化物などの透光性を有する導電性材料を用い ることができる。

[0112]

第3の層間絶縁膜120としては、酸化シリコン膜、酸化窒化シリコン膜、窒化酸化シ リコン膜、窒化シリコン膜、酸化アルミニウム膜などの無機絶縁材料を用いることができ る。特に、第3の層間絶縁膜120としては、窒化シリコン膜、窒化酸化シリコン膜、酸 化アルミニウム膜の中から選ばれたいずれか一であることが好ましい。窒化シリコン膜、 窒化酸化シリコン膜、酸化アルミニウム膜の中から選ばれたいずれか一を第3の層間絶縁 膜120として用いることにより、第2の層間絶縁膜116からの水素、水分の放出を抑 制することができる。

[0113]

画素電極122としては、容量電極118に示す材料と同様の材料を用いることができる。容量電極118と画素電極122に用いる材料としては、同一の材料、または異なる 材料を用いても良いが、同一の材料の方が、製造コストを低減できるため好ましい。

[0114]

第1の配向膜124及び第2の配向膜164としては、アクリル系樹脂、ポリイミド系 樹脂、ベンゾシクロブテン系樹脂、ポリアミド系樹脂、エポキシ系樹脂等の、耐熱性を有 する有機材料を用いることができる。

[0115]

液晶層162としては、サーモトロピック液晶、低分子液晶、高分子液晶、高分子分散 型液晶、強誘電性液晶、反強誘電性液晶等の液晶材料を用いることができる。これらの液 晶材料は、条件により、コレステリック相、スメクチック相、キュービック相、カイラル ネマチック相、等方相等を示す。

[0116]

また、横電界方式を採用する場合、配向膜(第1の配向膜124及び第2の配向膜16 4)を用いないブルー相を示す液晶を用いてもよい。ブルー相は液晶相の一つであり、コ レステリック液晶を昇温していくと、コレステリック相から等方相へ転移する直前に発現 する相である。ブルー相は狭い温度範囲でしか発現しないため、温度範囲を改善するため に数重量%以上のカイラル剤を混合させた液晶組成物を用いて液晶層に用いる。ブルー相 を示す液晶とカイラル剤とを含む液晶組成物は、応答速度が短く、光学的等方性であるた め配向処理が不要であり、視野角依存性が小さい。また配向膜を設けなくてもよいのでラ ビング処理も不要となるため、ラビング処理によって引き起こされる静電破壊を防止する ことができ、作製工程中の液晶表示装置の不良や破損を軽減することができる。よって液 晶表示装置の生産性を向上させることが可能となる。酸化物半導体膜を用いるトランジス タは、静電気の影響によりトランジスタの電気的な特性が落しく変動して設計範囲を逸脱 する恐れがある。よって酸化物半導体膜を用いるトランジスタを有する液晶表示装置にブ ルー相の液晶材料を用いることはより効果的である。

[0117]

また、液晶材料の固有抵抗は、 $1 \times 10^{9}\Omega \cdot cm$ 以上であり、好ましくは $1 \times 10^{1}$  $^{1}\Omega \cdot cm$ 以上であり、さらに好ましくは $1 \times 10^{12}\Omega \cdot cm$ 以上である。なお、本明 細書における固有抵抗の値は、20℃で測定した値とする。

[0118]

表示装置に設けられる保持容量の大きさは、画素部に配置されるトランジスタのリーク 電流等を考慮して、所定の期間の間電荷を保持できるように設定される。保持容量の大き さは、トランジスタのオフ電流等を考慮して設定すればよい。高純度且つ酸素欠損の形成 を抑制した酸化物半導体層を有するトランジスタを用いることにより、例えば表示素子と して、液晶素子を用いた場合、各画素における液晶容量に対して1/3以下、好ましくは 1/5以下の容量の大きさを有する保持容量を設ければ充分である。

[0119]

また、本実施の形態で用いる高純度化し、酸素欠損の形成を抑制した酸化物半導体を半 導体層に用いるトランジスタは、オフ状態における電流値(オフ電流値)を低くすること ができる。よって、画像信号等の電気信号の保持時間を長くすることができ、電源オン状 態では書き込み間隔も長く設定できる。よって、リフレッシュ動作の頻度を少なくするこ とができるため、消費電力を抑制する効果を奏する。

[0120]

また、図1及び図2に示す表示装置において、液晶素子150の駆動モードとしては、 TN (Twisted Nematic)モード、IPS (In-Plane-Swit ching)モード、FFS (Fringe Field Switching)モード 、ASM (Axially Symmetric aligned Micro-cel l)モード、OCB (Optical Compensated Birefringe nce)モード、FLC (Ferroelectric Liquid Crystal )モード、AFLC (AntiFerroelectric Liquid Cryst al)モードなどを用いることができる。特に、高視野角を得るにはFFSモードを用い ると好ましい。

[0121]

また、ノーマリブラック型の液晶表示装置、例えば垂直配向(VA)モードを採用した 透過型の液晶表示装置としてもよい。垂直配向モードとしては、いくつか挙げられるが、 例えば、MVA(Multi-Domain Vertical Alignment) モード、PVA(Patterned Vertical Alignment)モード などを用いることができる。また、画素(ピクセル)をいくつかの領域(サブピクセル) に分け、それぞれ別の方向に分子を倒すよう工夫されているマルチドメイン化あるいはマ ルチドメイン設計といわれる方法を用いてもよい。

[0122]

また、図1及び図2においては、図示していないが、偏光部材、位相差部材、反射防止 部材などの光学部材(光学基板)などを適宜設けても良い。例えば、偏光基板及び位相差 基板による円偏光を用いてもよい。また、光源としてバックライト、サイドライトなどを 用いてもよい。

[0123]

また、画素領域142における表示方式は、プログレッシブ方式やインターレース方式 等を用いることができる。また、カラー表示する際に画素で制御する色要素としては、R GB(Rは赤、Gは緑、Bは青を表す)の三色に限定されない。例えば、RGBW(Wは 白を表す)、又はRGBに、イエロー、シアン、マゼンタ等を一色以上追加したものがあ る。なお、色要素のドット毎にその表示領域の大きさが異なっていてもよい。ただし、開 示する発明はカラー表示の表示装置に限定されるものではなく、モノクロ表示の表示装置 に適用することもできる。

[0124]

また、第2の基板152上には、スペーサ160が形成されており、第1の基板102 と第2の基板152との間隔(セルギャップともいう)を制御するために設けられている 。なお、セルギャップにより、液晶層162の膜厚が決定される。なお、スペーサ160 としては、絶縁膜を選択的にエッチングすることで得られる柱状のスペーサ、球状のスペ ーサ等の任意の形状のスペーサを用いればよい。

[0125]

また、有色膜153は、所謂カラーフィルタとして機能する。有色膜153としては、 特定波長帯域の光に対して透過性を示す材料を用いればよく、染料や顔料を含有した有機 樹脂膜等を用いることができる。

[0126]

また、遮光膜154は、所謂ブラックマトリクスとして機能する。遮光膜154として は、隣接する画素間の放射光を遮光できればよく、金属膜、及び黒色染料や黒色顔料を含 有した有機樹脂膜等を用いることができる。なお、本実施の形態においては、黒色顔料を 含有した有機樹脂膜による遮光膜154を例示している。

[0127]

また、有機保護絶縁膜156としては、有色膜153に含まれるイオン性物質が液晶層 162中に拡散しないように設ける。ただし、有機保護絶縁膜156は、この構成に限定 されず、設けない構成としても良い。

[0128]

また、シール材166としては、熱硬化型樹脂、または紫外線硬化型の樹脂等を用いる ことができる。なお、図2に示すシール材166の封止領域においては、第1の基板10 2と第2の基板152間に、ゲート絶縁膜106、ソース電極110及びドレイン電極1 12と同一工程で形成される電極113、第1の層間絶縁膜114、及び第2の層間絶縁 膜116を設ける構成を例示したがこれに限定されない。例えば、ゲート絶縁膜106と 、第1の層間絶縁膜114のみの構成としても良い。なお、第2の層間絶縁膜116を除 去したほうが、外部からの水分等の入り込みがないため、図2に示すように、第2の層間 絶縁膜116の一部を除去または一部を後退させる構造が好ましい。

[0129]

以上のように本実施の形態に示す表示装置は、画素領域と駆動回路領域のそれぞれに形成されたトランジスタと、該トランジスタ上に形成された第1の層間絶縁膜と、第1の層間絶縁膜上に形成された第2の層間絶縁膜と、第2の層間絶縁膜上に形成された第3の層間絶縁膜と、を有し、第3の層間絶縁膜が画素領域上の一部に設けられ、第3の層間絶縁 膜の端部が駆動回路領域よりも内側に形成される構成である。このような構成とすることで、第2の層間絶縁膜からの脱ガスをトランジスタ側へ入り込むのを抑制し、信頼性の高 ド表示装置とすることができる。また、さらに第1の層間絶縁膜により、第2の層間絶縁 膜からの脱ガスをトランジスタ側へ入り込むのを抑制できる。

[0130]

本実施の形態に示す構成は、他の実施の形態または実施例に示す構成と適宜組み合わせて用いることができる。

[0131]

(実施の形態2)

本実施の形態では、表示装置の一形態として、有機ELパネルを用いた表示装置について図3及び図4を用いて説明する。なお、実施の形態1で示す構成と同一の箇所には同一 の符号を付し、その詳細な説明は省略する。

[0132]

表示装置の一形態として、表示装置の上面図を図3に、表示装置の断面図を図4にそれ ぞれ示す。なお、図4は、図3におけるX2-Y2の断面図に相当する。

[0133]

図3に示す表示装置において、第1の基板102上に設けられた画素領域142と、画 素領域142の外側に隣接し、該画素領域142に信号を供給する駆動回路領域であるゲ ートドライバ回路部140及びソースドライバ回路部144を囲むようにして、シール材 166が設けられ、第2の基板152によって封止されている。また、画素領域142と 、ゲートドライバ回路部140及びソースドライバ回路部144が設けられた第1の基板 102と対向するように第2の基板152が設けられている。よって画素領域142と、 ゲートドライバ回路部140と、ソースドライバ回路部144とは、第1の基板102と シール材166と第2の基板152によって、表示素子と共に封止されている。

[0134]

このように、トランジスタを含む駆動回路の一部または全体を、画素領域142と同じ 第1の基板102上に一体形成し、システムオンパネルを形成することができる。

【0135】

次に、図3におけるX2-Y2の断面図に相当する図4を用いて、画素領域142、及 びゲートドライバ回路部140の構成について、以下詳細に説明を行う。

[0136]

画素領域142において、第1の基板102と、第1の基板102上に形成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜106と、ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体層108と、ゲート 絶縁膜106、及び半導体層108上に形成されたソース電極110及びドレイン電極1 12と、により、第1のトランジスタ101が形成されている。

[0137]

また、画素領域142において、第1のトランジスタ101上、より詳しくはゲート絶 縁膜106、及び半導体層108、ソース電極110、及びドレイン電極112上に無機 絶縁材料で形成された第1の層間絶縁膜114と、第1の層間絶縁膜114上に有機絶縁 材料で形成された第2の層間絶縁膜116と、第2の層間絶縁膜116上に無機絶縁材料 で形成された第3の層間絶縁膜120と、第2の層間絶縁膜116、及び第3の層間絶縁 膜120上に形成された隔壁126と、第3の層間絶縁膜120、及び隔壁126上に形 成された画素電極122と、画素電極122上に形成された発光層128と、発光層12 8上に形成された電極130が形成されている。

[0138]

なお、画素電極122と、発光層128と、電極130と、により発光素子170が形 成されている。

[0139]

また、発光素子170上、より詳しくは電極130上には、充填材172が設けられ、 充填材172上には、第2の基板152が設けられている。すなわち、第1の基板102 と、第2の基板152との間に発光素子170、及び充填材172が挟持された構造であ る。

[0140]

また、ゲートドライバ回路部140において、第1の基板102と、第1の基板102 上に形成されたゲート電極104と、ゲート電極104上に形成されたゲート絶縁膜10 6と、ゲート絶縁膜106と接し、ゲート電極104と重畳する位置に設けられた半導体 層108と、ゲート絶縁膜106、及び半導体層108上に形成されたソース電極110 及びドレイン電極112と、により、第2のトランジスタ103、及び第3のトランジス タ105が形成されている。

[0141]

また、ゲートドライバ回路部140において、第2のトランジスタ103及び第3のト ランジスタ105上、より詳しくはゲート絶縁膜106、及び半導体層108、ソース電 極110、及びドレイン電極112上に無機絶縁材料で形成された第1の層間絶縁膜11 4と、第1の層間絶縁膜114上に有機絶縁材料で形成された第2の層間絶縁膜116が 形成されている。

[0142]

すなわち、第3の層間絶縁膜120は、画素領域142上の一部に設けられ、第3の層 間絶縁膜120の端部が駆動回路領域であるゲートドライバ回路部140よりも内側に形 成される。

[0143]

このような構成とすることによって、外部から取り込まれる水分、または表示装置内部 で生じた水分、水素等のガスをゲートドライバ回路部140の第2の層間絶縁膜116か ら上部へ放出することができる。したがって、第1のトランジスタ101、第2のトラン ジスタ103、及び第3のトランジスタ105内部に水分、水素等のガスが取り込まれる のを抑制することができる。

[0144]

なお、有機絶縁材料により形成される第2の層間絶縁膜116は、表示装置を構成する トランジスタの凹凸等を低減するために、平坦性の高い有機絶縁材料が必要とされる。し かしながら、該有機絶縁材料は加熱等により、水素、水分、または有機成分をガスとして 放出してしまう。

[0145]

しかし、半導体層108に、例えば、シリコン系半導体材料であるシリコン膜を用いた トランジスタにおいては、上述の水素、水分、または有機成分のガスが大きな問題になる 可能性が低い。しかし、本発明の一態様においては、半導体層108に酸化物半導体膜を 用いるため、有機絶縁材料により形成される第2の層間絶縁膜116からのガスを外部に 好適に放出させる必要がある。なお、第3の層間絶縁膜120の端部が駆動回路領域であ るゲートドライバ回路部140よりも内側に形成される構成は、半導体層108を酸化物 半導体膜により形成した場合において、優れた効果を奏する。ただし、半導体層108に 酸化物半導体以外の材料(例えば、シリコン系半導体材料である非晶質シリコン、結晶性 シリコンなど)により形成したトランジスタにおいても、同様の効果が得られる。

[0146]

また、第2の層間絶縁膜116上に形成される第3の層間絶縁膜120は、本実施の形 態においては、第2の層間絶縁膜116からの放出するガスを発光素子170側へ入り込 むのを抑制するため、及び/または画素電極122と、第2の層間絶縁膜116との密着 性を向上させるために形成されている。このような構成とすることで発光素子170側へ 第2の層間絶縁膜116からの水素、水分等のガスが入り込むのを抑制することができる

[0147]

しかしながら、第3の層間絶縁膜120をゲートドライバ回路部140に用いる第2の トランジスタ103、及び第3のトランジスタ105上の第2の層間絶縁膜116上に形 成すると、第2の層間絶縁膜116に用いる有機絶縁材料から放出されるガスを外部に拡 散することができず、第2のトランジスタ103、及び第3のトランジスタ105内部に 入り込んでしまう。

[0148]

上述したガスがトランジスタの半導体層108に用いる酸化物半導体に入り込むと、酸 化物半導体膜中で不純物として取り込まれ、該半導体層108を用いたトランジスタの特 性が変動してしまう。

[0149]

しかし、図4に示すように、ゲートドライバ回路部140に用いる第2のトランジスタ 103、及び第3のトランジスタ105上の第3の層間絶縁膜120が開口された構成、 すなわち第3の層間絶縁膜120が、画素領域142の一部に設けられ、第3の層間絶縁 膜120の端部がゲートドライバ回路部140よりも内側に形成される構成とすることに よって、第2の層間絶縁膜116から放出されるガスを、外部へ拡散できる構造とするこ とができる。

[0150]

なお、図4に示すように、画素領域142に用いる第1のトランジスタ101において も、半導体層108の重畳する位置の無機絶縁材料で形成された第3の層間絶縁膜120 が除去された構成が好ましい。このような構成とすることで、有機絶縁材料で形成された 第2の層間絶縁膜116から放出されるガスが、第1のトランジスタ101への入り込む のを抑制することができる。

【0151】

ここで、図3及び図4に示す表示装置の他の構成要素について、実施の形態1に示す表示装置と異なる構成について、以下詳細な説明を行う。

【0152】

隔壁126としては、有機絶縁材料、又は無機絶縁材料を用いて形成する。特に感光性 の樹脂材料を用い、画素電極122上に開口部を形成し、その開口部の側壁が連続した曲 率を持って形成される傾斜面となるように形成することが好ましい。

[0153]

充填材172としては、窒素やアルゴンなどの不活性な気体の他に、紫外線硬化樹脂または熱硬化樹脂を用いることができ、PVC(ポリビニルクロライド)、アクリル系樹脂 、ポリイミド系樹脂、エポキシ系樹脂、シリコーン系樹脂、PVB(ポリビニルブチラル )またはEVA(エチレンビニルアセテート)を用いることができる。例えば充填材17 2として、窒素を用いればよい。

[0154]

発光素子170としては、エレクトロルミネッセンスを利用する発光素子を適用することができる。エレクトロルミネッセンスを利用する発光素子は、発光材料が有機化合物であるか、無機化合物であるかによって区別され、一般的に、前者は有機EL素子、後者は 無機EL素子と呼ばれている。ここでは、有機EL素子を用いて説明する。

[0155]

有機EL素子は、発光素子に電圧を印加することにより、一対の電極(画素電極122 及び電極130)から電子および正孔がそれぞれ発光性の有機化合物を含む層に注入され 、電流が流れる。そして、それらキャリア(電子および正孔)が再結合することにより、 発光性の有機化合物が励起状態を形成し、その励起状態が基底状態に戻る際に発光する。 このようなメカニズムから、このような発光素子は、電流励起型の発光素子と呼ばれる。

[0156]

発光素子170は発光を取り出すために少なくとも一対の電極(画素電極122または 電極130)の一方が透光性であればよい。そして、第1の基板102とは逆側の面から 発光を取り出す上面射出や、第1の基板102側の面から発光を取り出す下面射出や、第 1の基板102側及び第1の基板102とは反対側の面から発光を取り出す両面射出構造 の発光素子があり、どの射出構造の発光素子も適用することができる。

[0157]

また、発光素子170に酸素、水素、水分、二酸化炭素等が入り込まないように、電極 130、及び隔壁126上に保護膜を形成してもよい。保護膜としては、窒化シリコン膜 、窒化酸化シリコン膜等を形成することができる。また、第1の基板102、第2の基板 152、及びシール材166によって封止された空間には充填材172が設けられ密封さ れている。このように外気に曝されないように気密性が高く、脱ガスの少ない保護フィル ム(貼り合わせフィルム、紫外線硬化樹脂フィルム等)やカバー材でパッケージング(封 入)することが好ましい。

[0158]

また、必要であれば、発光素子170の射出面に偏光板、又は円偏光板(楕円偏光板を 含む)、位相差板( $\lambda$ /4板、 $\lambda$ /2板)、カラーフィルタなどの光学フィルムを適宜設 けてもよい。また、偏光板又は円偏光板に反射防止膜を設けてもよい。例えば、表面の凹 凸により反射光を拡散し、映り込みを低減できるアンチグレア処理を施すことができる。

【0159】

また、発光層128としては、三重項励起エネルギーを発光に変える発光性材料をゲスト材料と、該ゲスト材料よりも三重項励起エネルギーの準位(T1準位)が高いホスト材料と、を含む有機化合物を用いると好適である。なお、発光層128は、発光層が複数積層された構造(所謂タンデム構造)や、発光層以外の機能層(正孔注入層、正孔輸送層、電子輸送層、電子注入層、電荷発生層など)を含む構成としてもよい。

[0160]

また、シール材166としては、実施の形態1に示す材料に加えて、ガラス材料を含む 材料、例えば粉末ガラス(フリットガラスともよぶ)を溶解、凝固させて形成されたガラ ス体を用いてもよい。このような材料は、水分やガスの透過を効果的に抑制することがで きるため、表示素子として、発光素子170を用いた場合、該発光素子170の劣化を抑 制し、極めて信頼性の高い表示装置を実現できる。

[0161]

また、図4に示すシール材166の封止領域においては、第1の基板102と第2の基 板152の間に、ゲート絶縁膜106のみを設ける構成を例示したが、これに限定されな い。例えば、ゲート絶縁膜106と、第1の層間絶縁膜114を積層した構成としても良 い。ただし、図4に示すように、第2の層間絶縁膜116が除去された領域において、シ ール材166が配置されるような構成が好ましい。

[0162]

以上のように本実施の形態に示す表示装置は、画素領域と駆動回路領域のそれぞれに形 成されたトランジスタと、該トランジスタ上に形成された第1の層間絶縁膜と、第1の層 間絶縁膜上に形成された第2の層間絶縁膜と、第2の層間絶縁膜上に形成された第3の層 間絶縁膜と、を有し、第3の層間絶縁膜が画素領域上の一部に設けられ、第3の層間絶縁 膜の端部が駆動回路領域よりも内側に形成される構成である。このような構成とすること で、第2の層間絶縁膜からの脱ガスをトランジスタ側へ入り込むのを抑制し、信頼性の高 い表示装置とすることができる。また、さらに第1の層間絶縁膜により、第2の層間絶縁 膜からの脱ガスをトランジスタ側へ入り込むのを抑制できる。

[0163]

本実施の形態に示す構成は、他の実施の形態または実施例に示す構成と適宜組み合わせて用いることができる。

[0164]

(実施の形態3)

本実施の形態では、先の実施の形態で示した表示装置と組み合わせが可能な、イメージ センサについて説明する。

[0165]

図5(A)に、イメージセンサ付の表示装置の一例を示す。図5(A)はイメージセン サ付の表示装置の一画素を示す等価回路である。

[0166]

フォトダイオード素子4002は、一方の電極がリセット信号線4058に、他方の電 極がトランジスタ4040のゲート電極に電気的に接続されている。トランジスタ404 0は、ソース電極またはドレイン電極の一方が電源電位(VDD)に、ソース電極または ドレイン電極の他方がトランジスタ4056のソース電極またはドレイン電極の一方に電 気的に接続されている。トランジスタ4056は、ゲート電極がゲート選択線4057に 、ソース電極またはドレイン電極の他方が出力信号線4071に電気的に接続されている

[0167]

また、第1のトランジスタ4030は、画素スイッチング用のトランジスタであり、ソ ース電極またはドレイン電極の一方が映像信号線4059に、ソース電極またはドレイン 電極の他方が容量素子4032及び液晶素子4034に電気的に接続されている。また、 第1のトランジスタ4030のゲート電極は、ゲート線4036に電気的に接続されてい る。

[0168]

なお、第1のトランジスタ4030、容量素子4032、液晶素子4034は、実施の 形態1で示した表示装置と同様の構造を適用すればよい。

[0169]

図5(B)は、イメージセンサ付の表示装置の一画素の一部を示す断面図と、駆動回路 部の断面図であり、画素領域5042においては、第1の基板4001上に、フォトダイ オード素子4002および第1のトランジスタ4030が設けられている。また、駆動回 路であるゲートドライバ回路部5040においては、第1の基板4001上に、第2のト ランジスタ4060、及び第3のトランジスタ4062が設けられている。

[0170]

なお、画素領域5042上のフォトダイオード素子4002、及び第1のトランジスタ 4030上には、第1の層間絶縁膜4014、第2の層間絶縁膜4016、及び第3の層 間絶縁膜4020が形成されている。また、第2の層間絶縁膜4016上に第3の層間絶 縁膜4020を誘電体として用いる容量素子4032が形成されている。

[0171]

すなわち、第3の層間絶縁膜4020が、画素領域5042の一部に設けられ、第3の 層間絶縁膜4020の端部がゲートドライバ回路部5040よりも内側に形成される構成 である。このような構成とすることによって、第2の層間絶縁膜4016から放出される ガスを、外部へ拡散できる構造とすることができる。したがって、第2の層間絶縁膜40 16からの脱ガスをトランジスタ側へ入り込むのを抑制し、信頼性の高い表示装置とする ことができる。

[0172]

なお、フォトダイオード素子4002は、第1のトランジスタ4030のソース電極及 びドレイン電極と同一の工程で形成される下部電極と、液晶素子4034の画素電極と同 一工程で形成される上部電極と、を一対の電極とし、該一対の電極間にダイオードを有す る構成である。

[0173]

フォトダイオード素子4002に用いることのできるダイオードとしては、 p型半導体 膜、 n型半導体膜の積層を含む p n型ダイオード、 p型半導体膜、 i 型半導体膜、 n型半 導体膜の積層を含む p i n型ダイオード、ショットキー型ダイオードなどを用いればよい

[0174]

また、フォトダイオード素子4002上には、第1の配向膜4024、液晶層4096、第2の配向膜4084、対向電極4088、有機絶縁膜4086、有色膜4085、第 2の基板4052等が設けられている。

[0175]

なお、pin型ダイオードはp型の半導体膜側を受光面とする方が高い光電変換特性を 示す。これは、正孔移動度は電子移動度に比べて小さいためである。本実施の形態におい ては、第2の基板4052の面から、有色膜4085、液晶層4096等を介して、フォ トダイオード素子4002に入射する光を電気信号に変換する構成について例示している が、これに限定されない。例えば、有色膜4085を設けない構成としてもよい。

[0176]

本実施の形態で示したフォトダイオード素子4002は、フォトダイオード素子400 2に光が入射することで、一対の電極間に電流が流れることを利用する。フォトダイオー ド素子4002が光を検出することによって、被検出物の情報を読み取ることができる。

[0177]

本実施の形態で示したイメージセンサ付の表示装置は、トランジスタの作製など、表示 装置およびイメージセンサの工程を共通化させることで、生産性を高めることができる。 ただし、先の実施の形態で示した表示装置と、本実施の形態で示したイメージセンサを異 なる基板上に作製しても構わない。具体的には、先の実施の形態で示した表示装置におい て、第2の基板上にイメージセンサを作製しても構わない。

[0178]

本実施の形態は、他の実施の形態または他の実施例に記載した構成と適宜組み合わせて実施することが可能である。

[0179]

(実施の形態4)

本実施の形態では、本発明の一態様の表示装置を用いたタブレット型端末の一例を説明する。

[0180]

図6(A)及び図6(B)は2つ折り可能なタブレット型端末である。図6(A)は、 タブレット型端末を開いた状態である。タブレット型端末は、筐体8630と、筐体86 30に設けられた、表示部8631a、表示部8631b、表示モード切り替えスイッチ 8034、電源スイッチ8035、省電力モード切り替えスイッチ8036、留め具80 33および操作スイッチ8038と、を有する。

[0181]

本発明の一態様である表示装置は、表示部8631a、表示部8631bに適用することができる。

[0182]

表示部8631aは、一部または全部をタッチパネルとして機能させることができ、表示された操作キーに触れることで入力することができる。例えば、表示部8631aの全

面にキーボードボタンを表示し、タッチパネルとして機能させ、表示部8631bを表示 画面として用いても構わない。

[0183]

また、表示部8631aと同様に、表示部8631bの一部または全部をタッチパネル として機能させることができる。

[0184]

また、表示部8631aのタッチパネルの領域と表示部8631bのタッチパネルの領 域を同時にタッチ入力することもできる。

[0185]

また、表示モード切り替えスイッチ8034は、縦表示または横表示などの表示の向き の切り替え、白黒表示やカラー表示の切り替えなどを選択できる。省電力モード切り替え スイッチ8036は、タブレット型端末に内蔵している光センサで検出される外光に応じ て表示の輝度を最適なものとすることができる。なお、タブレット型端末は、光センサだ けでなく、傾きを検出可能なジャイロ、加速度センサなど、他の検出装置を有してもよい

[0186]

また、図6(A)では、表示部8631bと表示部8631aの面積が同じ例を示して いるが特に限定されない。表示部8631bと表示部8631aの面積が異なっていても よく、表示の品質が異なっていてもよい。例えば、一方が他方よりも高精細な表示を行え る表示パネルとしてもよい。

[0187]

図6(B)は、タブレット型端末を閉じた状態である。タブレット型端末は、筐体86 30と、筐体8630に設けられた、太陽電池8633および充放電制御回路8634と 、を有する。なお、図6(B)では充放電制御回路8634の一例としてバッテリー86 35、DCDCコンバータ8636を有する構成について示している。

[0188]

なお、タブレット型端末は2つ折り可能なため、未使用時に筐体8630を閉じた状態 にすることができる。従って、表示部8631a、表示部8631bを保護できるため、 耐久性に優れ、長期使用の観点からも信頼性に優れる。

[0189]

また、この他にも図6(A)及び図6(B)に示したタブレット型端末は、様々な情報 (静止画、動画、テキスト画像など)を表示する機能、カレンダー、日付または時刻など を表示部に表示する機能、表示部に表示した情報をタッチ入力操作または編集するタッチ 入力機能、様々なソフトウェア(プログラム)によって処理を制御する機能、などを有す ることができる。

[0190]

タブレット型端末は、太陽電池8633によって得られた電力を、タブレット型端末の 動作に用いることができる。または、当該電力をバッテリー8635に蓄積することがで きる。なお、太陽電池8633は、筐体8630の二面に設ける構成とすることもできる 。なおバッテリー8635としては、リチウムイオン電池を用いると、小型化を図れるな どの利点がある。

[0191]

また、図6(B)に示す充放電制御回路8634の構成、及び動作について図6(C) にブロック図を示し説明する。図6(C)には、太陽電池8633と、バッテリー863 5と、DCDCコンバータ8636と、コンバータ8637と、スイッチSW1と、スイ ッチSW2と、スイッチSW3と、表示部8631と、を示している。図6(C)におい て、バッテリー8635、DCDCコンバータ8636、コンバータ8637、スイッチ SW1、スイッチSW2およびスイッチSW3が、図6(B)に示す充放電制御回路86 34に対応する。

[0192]

太陽電池8633により発電がされる場合、太陽電池で発電した電力は、バッテリー8 635を充電するための電圧となるようDCDCコンバータ8636で昇圧または降圧さ れる。次に、スイッチSW1をオンし、コンバータ8637で表示部8631に最適な電 圧に昇圧または降圧をする。また、表示部8631での表示を行わない際は、スイッチS W1をオフし、スイッチSW2をオンしてバッテリー8635の充電を行う。

[0193]

なお、発電手段の一例として太陽電池8633について示したが、特に限定されず、圧 電素子(ピエゾ素子)や熱電変換素子(ペルティエ素子)などの他の発電手段で代替して も構わない。例えば、無線(非接触)で電力を送受信して充電する無接点電力電送モジュ ールなど、他の充電手段を組み合わせて行う構成としてもよい。

[0194]

本実施の形態は、他の実施の形態または他の実施例に記載した構成と適宜組み合わせて 実施することが可能である。

[0195]

(実施の形態5)

本実施の形態では、先の実施の形態で示した表示装置などを搭載した電子機器の例について説明する。

[0196]

図7(A)は携帯型情報端末である。図7(A)に示す携帯型情報端末は、筐体930 0と、ボタン9301と、マイクロフォン9302と、表示部9303と、スピーカ93 04と、カメラ9305と、を具備し、携帯型電話機としての機能を有する。表示部93 03に先の実施の形態で示した表示装置または/およびイメージセンサ付の表示装置を適 用することができる。

[0197]

図7(B)は、ディスプレイである。図7(B)に示すディスプレイは、筐体9310 と、表示部9311と、を具備する。表示部9311に先の実施の形態で示した表示装置 または/およびイメージセンサ付表示装置を適用することができる。

【0198】

図7(C)は、デジタルスチルカメラである。図7(C)に示すデジタルスチルカメラ は、筐体9320と、ボタン9321と、マイクロフォン9322と、表示部9323と 、を具備する。表示部9323に先の実施の形態で示した表示装置または/およびイメー ジセンサ付表示装置を適用することができる。

[0199]

本発明の一態様を用いることで、電子機器の信頼性を高めることができる。

[0200]

本実施の形態は、他の実施の形態または他の実施例に記載した構成と適宜組み合わせて実施することが可能である。

【実施例1】

[0201]

本実施例においては、表示装置に用いることのできる代表的な有機樹脂であるアクリル 樹脂の放出ガスについて調査した。

[0202]

試料は、ガラス基板上にアクリル樹脂を塗布し、窒素ガス雰囲気下、250℃にて1時間の加熱処理を行った。なお、アクリル樹脂は加熱処理後に厚さが1.5µmとなるように形成した。

[0203]

作製した試料に対し、TDS (Thermal Desorption Spectroscopy:昇温脱離ガス分光法)による放出ガスの測定を行った。

[0204]

図8に、基板表面温度250℃のときの、各質量電荷比(M/zともいう。)における

放出ガスのイオン強度を示す。図8において、横軸は質量電荷比を、縦軸は強度(任意単位)を、それぞれ示す。図8より、試料からは、水起因と見られる質量電荷比が18(H2O)のガスと、炭化水素起因と見られる質量電荷比が28(C2H4)、44(C3H8)および56(C4H8)のガスが検出された。なお、各質量電荷比の近傍には、それぞれのフラグメントイオンが検出された。

[0205]

同様に、図9に、基板表面温度に対する各質量電荷比(18、28、44および56) のイオン強度を示す。図9において、横軸は基板表面温度(C)を、縦軸は強度(任意単 位)を、それぞれ示す。基板表面温度を55Cから270Cの範囲とした場合、水起因と 見られる質量電荷比が18のイオン強度は、55C以上100C以下および150C以上 270C以下にピークを有することがわかった。一方、炭化水素起因と見られる質量電荷 比が28、44および56のイオン強度は、150C以上270C以下にピークを有する ことがわかった。

[0206]

以上に示したように、有機樹脂からの水、炭化水素などの酸化物半導体膜にとっての不 純物が放出されることがわかった。特に、水は55℃以上100℃以下の比較的低温でも 放出されることがわかった。即ち、有機樹脂に起因する不純物が酸化物半導体膜に到達し た場合、トランジスタの電気特性を劣化させることが示唆された。

[0207]

また、有機樹脂を水、炭化水素などの放出ガスを透過しない膜(窒化シリコン膜、窒化 酸化シリコン膜、酸化アルミニウム膜など)で覆った場合、有機樹脂からガスが放出され ることで水、炭化水素などの放出ガスを透過しない膜への圧力が高まり、最終的に水、炭 化水素などの放出ガスを透過しない膜が破壊され、トランジスタの形状不良となることが 示唆された。

【実施例2】

[0208]

本実施例では、トランジスタを作製し、断面形状および電気特性を評価した。

[0209]

各試料には、ボトムゲート・トップコンタクト型のチャネルエッチ構造の酸化物半導体 膜を用いたトランジスタが設けられている。当該トランジスタは、ガラス基板上に設けら れたゲート電極と、ゲート電極上に設けられたゲート絶縁膜と、ゲート絶縁膜を介しゲー ト電極上に設けられた酸化物半導体膜と、酸化物半導体膜上にあり酸化物半導体膜と接し て設けられた一対の電極と、を有する。ここで、ゲート電極はタングステン膜を、ゲート 絶縁膜は窒化シリコン膜、および窒化シリコン膜上の酸化窒化シリコン膜を、酸化物半導 体膜はIn-Ga-Zn酸化物膜を、一対の電極はタングステン膜、タングステン膜上の アルミニウム膜、およびアルミニウム膜上のチタン膜を、それぞれ用いた。

[0210]

一対の電極上には保護絶縁膜(450nmの厚さの酸化窒化シリコン膜と、酸化窒化シ リコン膜上に設けられた50nmの厚さの窒化シリコン膜)が設けられている。

[0211]

なお、実施例試料は、保護絶縁膜上に2μmの厚さでアクリル樹脂が設けられており、 アクリル樹脂上にはアクリル樹脂の側面の一部を露出するように200nmの厚さで窒化 シリコン膜が設けられている。また、比較試料は、保護絶縁膜上に1.5μmの厚さでア クリル樹脂が設けられており、アクリル樹脂上にはアクリル樹脂を覆うように200nm の厚さで窒化シリコン膜が設けられている。

[0212]

図10に、比較例試料の一部を拡大した領域のTEMによる透過電子像(Transmitted Electron:TE像ともいう。)断面形状を示す。断面形状の観察には、株式会社日立ハイテクノロジーズ製「日立超薄膜評価装置HD-2300」を用いた。図10において、一対の電極、および一対の電極を覆うように設けられた保護絶縁膜に

着目すると、一対の電極が形成する段差部から保護絶縁膜に亀裂が生じていることがわかった。なお、観察領域において、実施例試料と比較例試料は概略同様の構造であるため、 実施例試料の断面形状は省略する。

[0213]

従って、実施例試料はアクリル樹脂からの放出ガスが実施例試料外部へ抜ける構造であ り、比較例試料はアクリル樹脂からの放出ガスが比較試料外部へ抜けない構造である。即 ち、比較例試料において、アクリル樹脂からの放出ガスは、外部へは抜けず、保護絶縁膜 に生じた亀裂を介してトランジスタに到達することがわかった。

[0214]

次に、各試料のトランジスタの電気特性であるゲート電圧(Vg)ードレイン電流(Id)特性を測定した。Vg-Id特性は、チャネル長が3 $\mu$ m、チャネル幅が3 $\mu$ mのトランジスタを用いて測定した。電気特性は、ドレイン電圧(Vd)を1Vまたは10Vとし、ゲート電圧(Vg)を-20Vから15Vへ掃引した。

[0215]

図11に各試料のVg-Id特性を示す。なお、600mm×720mmのガラス基板において、なるべく均等に20個のトランジスタのVg-Id特性を測定した。なお、図11(A)に実施例試料のトランジスタのVg-Id特性および電界効果移動度を示し、図11(B)に比較例試料のトランジスタのVg-Id特性を示す。なお、図11(A)に示す電界効果移動度はドレイン電圧(Vd)が10Vにおける値を示す。また、図11(B)においては、電界効果移動度の算出が困難であったため省略する。

[0216]

図11(A)より、実施例試料のトランジスタでは、良好なスイッチング特性が得られることがわかった。また、図11(B)より、比較例試料のトランジスタでは、スイッチング特性が得られず、常時オンであることがわかった。

[0217]

実施例試料との比較により、比較例試料のスイッチング特性不良は、アクリル樹脂から の放出ガスがトランジスタに影響を及ぼしたためとわかる。具体的には、アクリル樹脂か らの放出ガスの影響で酸化物半導体膜のキャリア密度が高まり、ゲート電極からの電界に よってトランジスタをオフすることができなかったためと推察される。

[0218]

本実施例より、有機樹脂を水、炭化水素などの放出ガスを透過しない膜(ここでは厚さ が200nmの窒化シリコン膜)で覆うと、有機樹脂からの放出ガスによってトランジス タのスイッチング特性不良が引き起こされることがわかる。また、有機樹脂を覆う、水、 炭化水素などの放出ガスを透過しない膜の一部に、放出ガスの試料外部への抜け道を設け ることで、当該トランジスタのスイッチング特性不良を回避でき、良好なスイッチング特 性を得られることがわかる。

【符号の説明】

[0219]

- 101 第1のトランジスタ
- 102 第1の基板
- 103 第2のトランジスタ
- 104 ゲート電極
- 105 第3のトランジスタ
- 106 ゲート絶縁膜
- 107 容量素子
- 108 半導体層
- 110 ソース電極
- 112 ドレイン電極
- 113 電極
- 114 第1の層間絶縁膜

1	1	6		第2の層間絶縁膜
1	1			容量電極
1	2	0		第3の層間絶縁膜
1	2	2		画素電極
	2			第1の配向膜
1	2	6		隔壁
1	2	8		発光層
	3			電極
1	4	0		ゲートドライバ回路部
1	4	2		画素領域
1	4	Δ		ソースドライバ回路部
	4			FPC端子部
1	4	8		FPC
1	5	0		液晶素子
	5			第2の基板
	5			有色膜
1	5	4		遮光膜
1	5	6		有機保護絶縁膜
	5			
				対向電極
1	6	0		スペーサ
1	6	2		液晶層
	6			第2の配向膜
	6			シール材
1	7	0		発光素子
1	7	2		充填材
4	0	0	1	第1の基板
	0			フォトダイオード素子
4	0	1	4	第1の層間絶縁膜
4	0	1	6	第2の層間絶縁膜
4	0	2	0	第3の層間絶縁膜
	0			
				第1の配向膜
	0			第1のトランジスタ
4	0	3	2	容量素子
	0			液晶素子
	0			ゲート線
4	0	4	0	トランジスタ
4	0	5	2	第2の基板
	0			トランジスタ
	0			ゲート選択線
4	0	5	8	リセット信号線
4	0	5	9	映像信号線
	0			第2のトランジスタ
	0			第3のトランジスタ
4	0	7	1	出力信号線
4	0	8	4	第2の配向膜
	0			有色膜
	0			有機絶縁膜
4	0	8	8	対向電極
4	0	9	6	液晶層
	0			ゲートドライバ回路部
J	U	4	U	クテ いいノイ 八回始的

5042	画素領域
8033	留め具
8034	スイッチ
8035	電源スイッチ
8036	スイッチ
8038	操作スイッチ
8630	筐体
8631	表示部
8631a	表示部
8631b	表示部
8633	太陽電池
8634	充放電制御回路
8635	バッテリー
8636	DCDCコンバータ
8637	コンバータ
9300	筐体
9301	ボタン
9302	マイクロフォン
9303	表示部
	スピーカ
9305	カメラ
9310	筐体
9311	表示部
9320	筐体
	ボタン
	マイクロフォン
9323	表示部

【書類名】特許請求の範囲

【請求項1】

画素電極と、該画素電極と電気的に接続される少なくとも一の第1のトランジスタを含 む画素が複数個配列されている画素領域と、

前記画素領域の外側に隣接し、該画素領域の各画素に含まれる前記第1のトランジスタ に信号を供給する少なくとも一の第2のトランジスタを含む駆動回路領域と、が形成され た第1の基板と、

前記第1の基板と対向するように設けられた第2の基板と、前記第1の基板と前記第2 の基板間に挟持された液晶層と、を有し、

前記第1のトランジスタ及び前記第2のトランジスタ上に無機絶縁材料で形成された第 1の層間絶縁膜と、

前記第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間絶縁膜と、

前記第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜と、を有し、

前記第3の層間絶縁膜は、前記画素領域上の一部に設けられ、該第3の層間絶縁膜の端部が前記駆動回路領域よりも内側に形成される

ことを特徴とする表示装置。

【請求項2】

請求項1において、

前記画素電極上に設けられた第1の配向膜と、

前記第1の配向膜上に形成された前記液晶層と、

前記液晶層上に設けられた第2の配向膜と、

前記第2の配向膜上に設けられた対向電極と、

前記対向電極上に設けられた有機保護絶縁膜と、

前記有機保護絶縁膜上に設けられた有色膜及び遮光膜と、

前記有色膜及び前記遮光膜上に設けられた前記第2の基板と、を有する

ことを特徴とする表示装置。

【請求項3】

画素電極と、該画素電極と電気的に接続される少なくとも一の第1のトランジスタを含む ある数 も 動素が複数 個配列されている 画素領域と、

前記画素領域の外側に隣接し、該画素領域の各画素に含まれる前記第1のトランジスタ に信号を供給する少なくとも一の第2のトランジスタを含む駆動回路領域と、が形成され た第1の基板と、

前記第1の基板と対向するように設けられた第2の基板と、前記第1の基板と前記第2 の基板間に挟持された発光層と、を有し、

前記第1のトランジスタ及び前記第2のトランジスタ上に無機絶縁材料で形成された第 1の層間絶縁膜と、

前記第1の層間絶縁膜上に有機絶縁材料で形成された第2の層間絶縁膜と、

前記第2の層間絶縁膜上に無機絶縁材料で形成された第3の層間絶縁膜と、を有し、

前記第3の層間絶縁膜は、前記画素領域上の一部に設けられ、該第3の層間絶縁膜の端部が前記駆動回路領域よりも内側に形成される

ことを特徴とする表示装置。

【請求項4】

請求項3において、

前記画素電極上に設けられた前記発光層と、

前記発光層上に設けられた電極と、を有する

ことを特徴とする表示装置。

【請求項5】

請求項1または請求項3において、

前記第3の層間絶縁膜は、窒化シリコン膜、窒化酸化シリコン膜、酸化アルミニウム膜 の中から選ばれたいずれか一である ことを特徴とする表示装置。

【請求項6】

請求項1または請求項3において、

前記第1のトランジスタ及び前記第2のトランジスタは、チャネル形成領域を形成する 半導体材料が酸化物半導体である

ことを特徴とする表示装置。

【請求項7】

請求項6において、

前記第1のトランジスタ及び前記第2のトランジスタは、

ゲート電極と、

前記ゲート電極上に形成された酸化物半導体からなる半導体層と、

前記半導体層上に形成されたソース電極及びドレイン電極と、を有する

ことを特徴とする表示装置。

【請求項8】

請求項1乃至請求項7のいずれか一に記載する表示装置を有する電子機器。

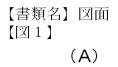
【書類名】要約書

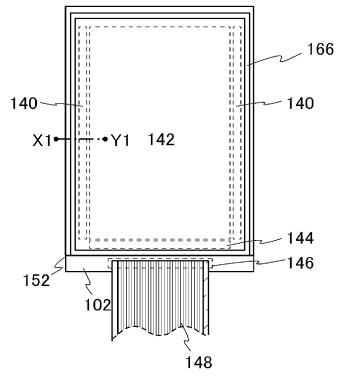
【要約】

【課題】 画素領域及び駆動回路領域にトランジスタを有する表示装置において、電気特性 の変動を抑制すると共に、信頼性を向上させる。

【解決手段】画素領域の外側に隣接し、該画素領域の各画素に含まれる第1のトランジス タに信号を供給する少なくとも一の第2のトランジスタを含む駆動回路領域が形成された 第1の基板と、第1の基板と対向するように設けられた第2の基板と、第1の基板と第2 の基板間に挟持された液晶層と、を有し、第1のトランジスタ及び第2のトランジスタ上 に無機絶縁材料で形成された第1の層間絶縁膜と、第1の層間絶縁膜上に有機絶縁材料で 形成された第2の層間絶縁膜と、第2の層間絶縁膜上に無機絶縁材料で形成された第3の 層間絶縁膜と、を有し、第3の層間絶縁膜は、画素領域上の一部に設けられ、該第3の層 間絶縁膜の端部が駆動回路領域よりも内側に形成される。

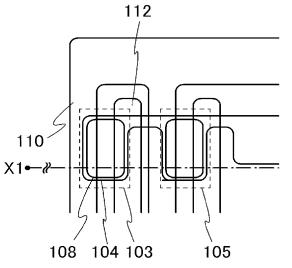
【選択図】図1



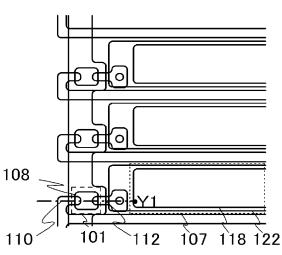






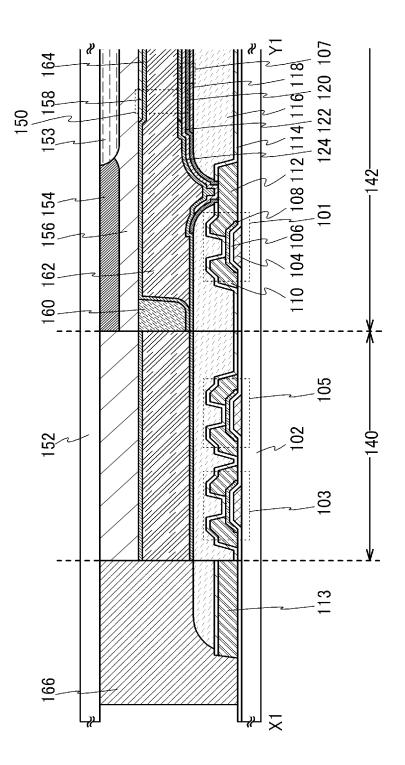


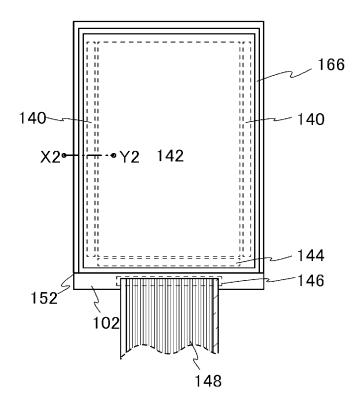
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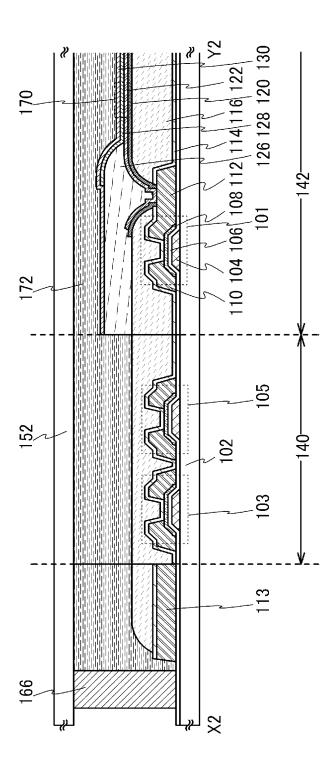
<u>142</u>

【図2】

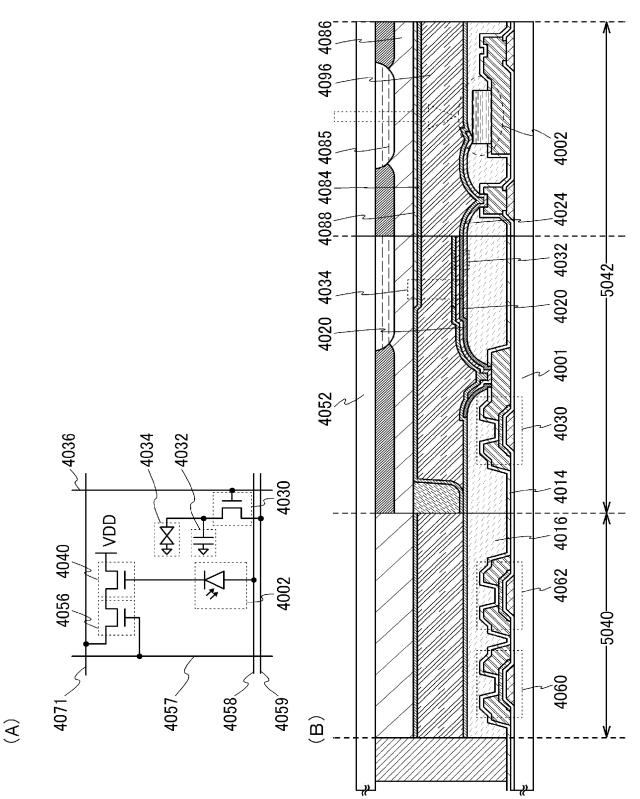




【図3】

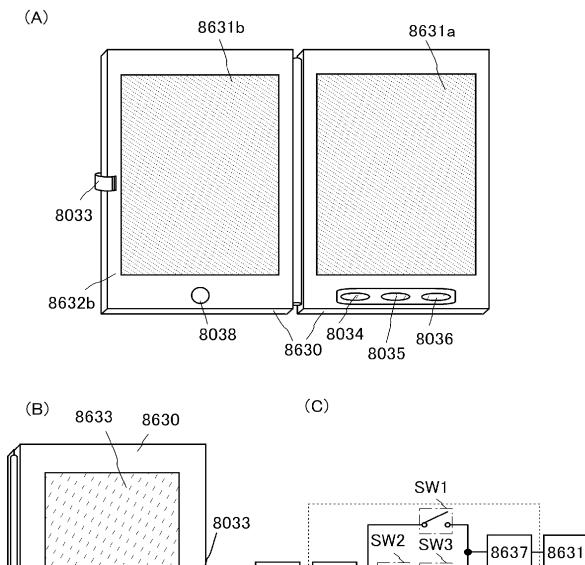


【図4】



【図5】

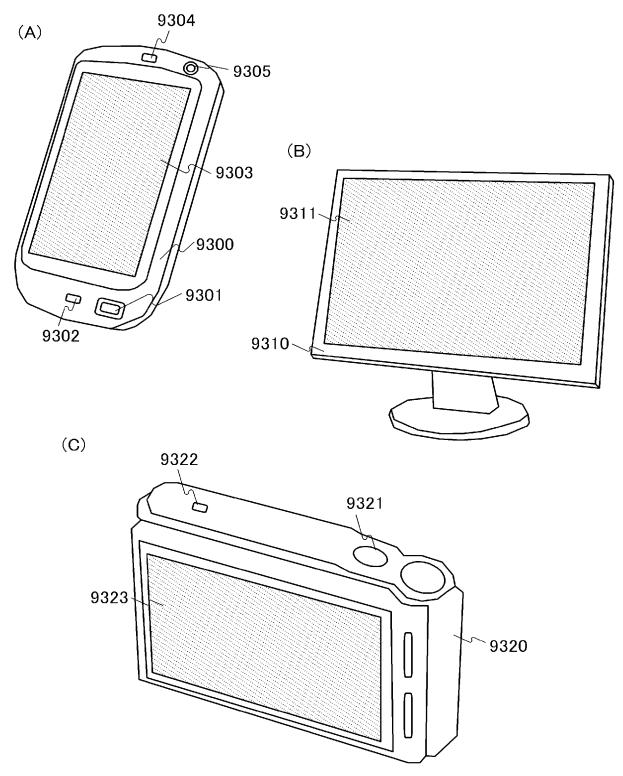
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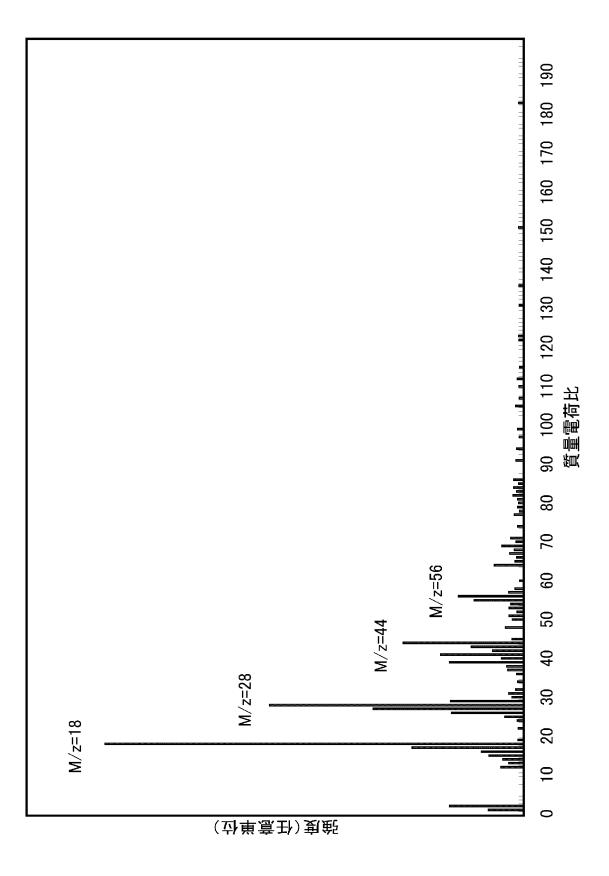


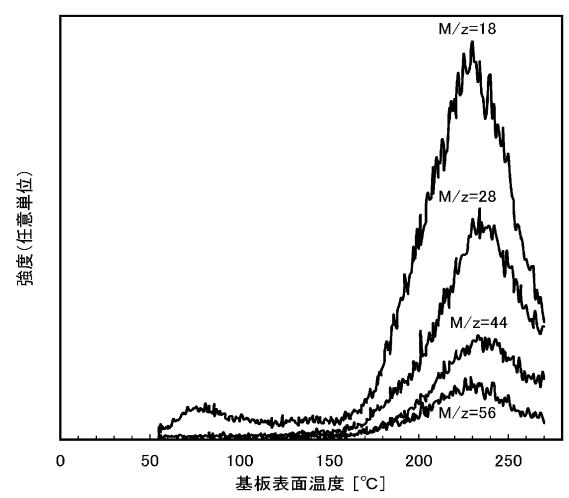
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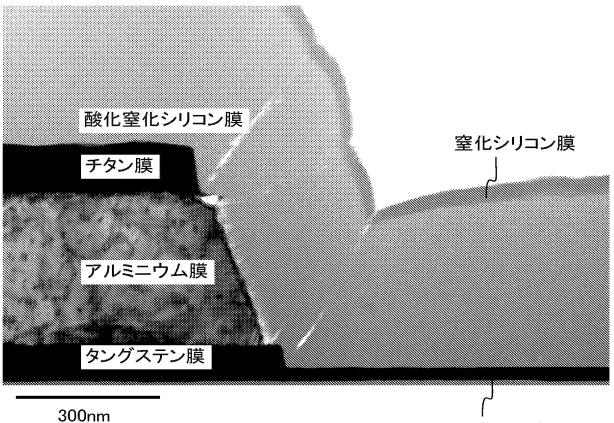
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【図7】

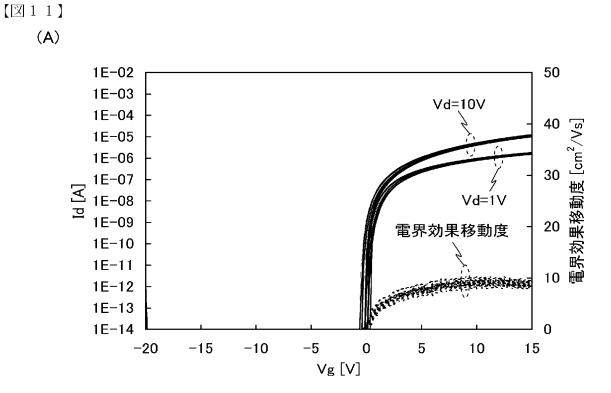




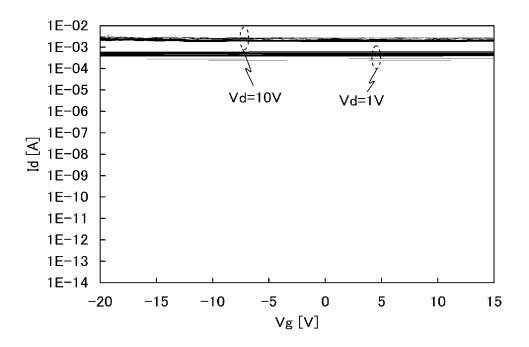




酸化物半導体膜



(B)



出願人履歴

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新規登録

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Sheet

			Complete if Known				
ISCLOSURE APPLICANT			Application Number	13/939,323			
			Filing Date	July 11, 2013			
		ICANT	First Named Inventor	Yasuharu HOSAKA et al.			
s necessary)		٨	Art Unit	2871			
		')	Examiner Name	E. Glick			
		6	Attorney Docket Number	0756-10194			

	_		U. S. PATENT DOCU	IMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2 (if known)</sup>	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	110.				Figures Appear
		US-2003/0189210	10-09-2003	YAMAZAKI.S et al.	
		US-2003/0127651	07-10-2003	MURAKAMI.S et al.	
		US-6861710	03-01-2005	MURAKAMI.S et al.	
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		US-2012/0061666	03-15-2012	INOUE.S et al.	
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite Foreign Patent Document P	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т°	
Initials*	No. <sup>1</sup>	0. <sup>1</sup> Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	MM-DD-YYYY Applicant of Cited Document	Figures Appear	1
		JP-2003-197367A	07-11-2003			Abst.
		WO-2011/102203	08-25-2011			Eng.
		WO-2007/011061	01-25-2007			Eng.
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		JP-2012-084864A	04-26-2012			Abst.

Examiner	Date	
Signature	Considered	

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Complete if Known						
Application Number	13/939,323					
Filing Date	July 11, 2013					
First Named Inventor	Yasuharu HOSAKA et al.					
Art Unit	2871					
Examiner Name	E. Glick					
Attorney Docket Number	0756-10194					

-			U. S. PATENT DOCL	JMENTS		
Examiner Initials*	Cite No. <sup>1</sup>		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-7796101	09-14-2010	IWASHITA.T et al.		
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Initials*		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	,
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		JP-2007-053355A	03-01-2007			Abst.
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C	Complete if Known					
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Filing Date	July 11, 2013					
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Art Unit	2871					
Examiner Name	E. Glick					
Attorney Docket Number	0756-10194					

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Initials*	Na.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Figures Appear			
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~		TW-1264822	10-21-2006			Abst.		

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		Filing Date	July 11, 2013				
		First Named Inventor	Yasuharu HOSAKA et al.				
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Sheet	4	of	6	Attorney Docket Number	0756-10194		

	U. S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant		
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
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	FOREIGN PATENT DOCUMENTS							
Examiner Ci	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	T6		
Initials*	Initials* No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			Relevant Passages or Relevant Figures Appear			
		CN-001450665	10-22-2003			Abst.		
		KR-2010-0061420A	06-07-2010			Abst.		
		KR-2008-0035643A	04-23-2008			Abst.		
		EP-1835540A	09-19-2007			Eng.		
		KR-2007-0093830A	09-19-2007			Abst.		
		CN-101038932	09-19-2007			Abst.		

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Application Number	13/939,323					
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First Named Inventor	Yasuharu HOSAKA et al.					
Art Unit	2871					
Examiner Name	E. Glick					
Attorney Docket Number	0756-10194					

	U. S. PATENT DOCUMENTS								
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Examiner Cite Initials* No. <sup>1</sup>	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	<b></b> 6			
	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	D-YYYY Applicant of Cited Document Figures Appear	-	1			
		KR-2011-0094458A	08-24-2011			Abst.			

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Sheet	6	of	6

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URE	Application Number	13/939,323				
ANT	Filing Date	July 11, 2013				
	First Named Inventor	Yasuharu HOSAKA et al.				
	Art Unit	2871				
	Examiner Name	E. Glick				
6	Attorney Docket Number	0756-10194				

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Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	17373551					
Application Number:	13939323					
International Application Number:						
Confirmation Number:	2340					
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE					
First Named Inventor/Applicant Name:	Yasuharu HOSAKA					
Customer Number:	31780					
Filer:	Eric J. Robinson/Doris Vasquez Soriano					
Filer Authorized By:	Eric J. Robinson					
Attorney Docket Number:	0756-10194					
Receipt Date:	12-NOV-2013					
Filing Date:	11-JUL-2013					
Time Stamp:	13:28:31					
Application Type:	Utility under 35 USC 111(a)					

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Information:					
21	Foreign Reference	KR20100061420.pdf	6817809	no	55
21	Foleigh Reference	KK20100001420.pdf	0dca36727c2c1d19da38885b730c866baffe 4c2c	110	
Warnings:			· ·		
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22	Foreign Reference	(/D00000055510/	6410405		48
22		KR20080035643.pdf	0c9c8ad40177e54cd9a819a33c260aaa87b 86e07	no	
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23	Foreign Reference	EP1835540.pdf	3406871	no	21
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24	Foreign Reference	KR20070093830.pdf	2701917	no	22
24	r ofeigh Reference	(120070093830.pdf	4ac9a15dbd9db1dc5611ba3850e468b662 27e85c	110	
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25			4567183	no	
25	Foreign Reference	CN101038932.pdf	884ce71cc96719813fc392e74e3a8c563397 4f36		29
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~			2655480		
26	Foreign Reference	KR20110094458.pdf	c0c25ddbb6001cad63568ebfcb8da144e4c 5be97	no	19
Warnings:			1		1
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27	Non Patent Literature	INTERNATIONALSEARCHREPOR		no	6
		T.pdf	c9c7a33e44001a12b97cc8e0e738eabfd44e 064c		
Warnings:					
Information					
			645001		
28	Non Patent Literature	WRITTENOPINION.pdf		no	5
			3a116e1ea7f50bf2fd1324c462c5889d41cd 0e21		
Warnings:					
Information	:				
		Total Files Size (in bytes)	: 188	3201023	
This Acknow	rledgement Receipt evidences receip	t on the noted date by the U	SPTO of the indicated	l document	< .
	d by the applicant, and including page				
	s described in MPEP 503.	ge county, marc applicable.		o, receipes	

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re F	Patent Application of:	)	Confirmation No. 2340
Yasuł	naru HOSAKA et al.	)	Group Art Unit: 2871
Serial	No. 13/939,323	)	Examiner: E. Glick
Filed:	July 11, 2013	)	
For:	DISPLAY DEVICE AND ELECTRONIC DEVICE	)	
	INCLUDING THE DISPLAY DEVICE	)	

#### INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

Unless otherwise noted, the references submitted were cited in PCT Application No. PCT/JP2013/069456 in an International Search Report mailed October 22, 2013.

U.S. Patent No. 8,405,092 and U.S. Publication Nos. 2013/0168670 and 2012/0061666 and WO 2012/035984 and TW 201230341 are in the family JP 2012-084864. U.S. Patent No. 8,405,092 and U.S. Publication No. 2013/0168670 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Patent Nos. 6,861,710; 7,033,848 and 7,492,012 and U.S. Publication No. 2003/0127651 are in the family of JP 2003-197367. U.S. Patent Nos. 6,861,710; 7,033,848 and 7,492,012 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Publication No. 2012/0175625 is in the family of JP 2012-160715 and CN 102593185 and KR 2012-0090779 and TW 201238056.

U.S. Publication No. 2011/0204368 and WO 2011/102203 and TW 201214711 and KR 2012-0138770 are in the family of JP 2011-192977.

## - 2 - Application Serial No. 13/939,323 Attorney Docket No. 0756-10194

U.S. Patent Nos. 7,671,369; 8,008,666 and 8,415,669 and U.S. Publication Nos. 2013/0221361 and 2003/0189210 and TW I264822 and CN 001450665 and KR 2010-0061420 are in the family of JP 2003-302917. U.S. Patent Nos. 7,671,369; 8,008,666 and 8,415,669 and U.S. Publication No. 2013/0221361 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Patent No. 8,115,206 and U.S. Publication Nos. 2012/0132919 and 2009/0261337 and WO 2007/011061 and KR 2008-0035643 are in the family of JP 2007-053355. U.S. Patent No. 8,115,206 and U.S. Publication No. 2012/0132919 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Patent Nos. 7,796,101 and 8,059,067 and U.S. Publication No. 2008/0036705 and EP 1 835 540 and KR 2007-0093830 and CN 101038932 are in the family of JP 2007-250244. U.S. Patent Nos. 7,796,101 and 8,059,067 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Publication No. 2011/0198598 and KR 2011-0094458 are in the JP 2011-171300.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

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Substitute for form 144	19/PTO			Complete if Known		
	INFORMATION DISCLOSURE			Application Number	13/939,323	
• •				Filing Date	July 11, 2013	
STATEMENT BY APPLICANT		First Named Inventor	Yasuharu HOSAKA et al.			
				Art Unit	2871	
(Use as many sheets as necessary)		Examiner Name	E. Glick			
Sheet	. 1	of	12	Attorney Docket Number	0756-10194	
		www.choresen.com.com.com.com.com				

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
11111015	NO.	Number-Kind Code <sup>2 (I known)</sup>			Figures Appear
		US-2002/0056838	05-16-2002	OGAWA.K	
		US-2003/0189401	10-09-2003	KIDO.J et al.	
		US-6727522	04-27-2004	KAWASAKI.M et al.	
		US-2006/0169973	08-03-2006	ISA.T et al.	
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		US-2006/0170111	08-03-2006	ISA.T et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	τ6
initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	-
		JP-2000-150900A	05-30-2000			Abst.
		JP-60-198861A	10-08-1985	· · · · ·		Full
		JP-2004-103957A	04-02-2004			Abst.
		JP-11-505377	05-18-1999			Abst.
		JP-08-264794A	10-11-1996			Full
		JP-2000-044236A	02-15-2000			Full

Examiner	Date	
Signature	Considered	

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Substitute for form 1449	Substitute for form 1449/PTO				Complete if Known		
INFORMA		SCL		Application Number	13/939,323		
		-		Filing Date	July 11, 2013		
SIAIEMI	STATEMENT BY APPLICANT		First Named Inventor	Yasuharu HOSAKA et al.			
			a	Art Unit	2871		
(Use i	as many sheets as	necessary	().	Examiner Name	E. Glick		
Sheet	2	of	12	Attorney Docket Number	0756-10194		
		<u> </u>					

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	US-7323356	01-29-2008	HOSONO.H et al.	
		US-6294274	09-25-2001	KAWAZOE.H et al.	
		US-7061014	06-13-2006	HOSONO.H et al.	
		US-2008/0296568	12-04-2008	RYU.M et al.	
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		US-2009/0073325	03-19-2009	KUWABARA.H et al.	
		US-2005/0199959	09-15-2005	CHIANG.H et al.	· · · · · · · · · · · · · · · · · · ·
		US-7049190	05-23-2006	TAKEDA.K et al.	
		US-2007/0194379	08-23-2007	HOSONO.H et al.	
		US-2009/0134399	05-28-2009	SAKAKURA.M et al.	
	· · · · · · · · · · · · · · · · · · ·	US-2006/0231882	10-19-2006	KIM.I et al.	
		US-2006/0284171	12-21-2006	LEVY.D et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т6
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	, 
		JP-2002-289859A	10-04-2002			Full
		JP-05-251705A	09-28-1993			Full
		JP-2002-076356A	03-15-2002			Full
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		WO-2004/114391	12-29-2004			Abst.

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEME	STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.	
<i></i>				Art Unit	2871	
(Use a	is many sheets as r	necessary	r) ·	Examiner Name	E. Glick	
Sheet	3	of	12	Attorney Docket Number	0756-10194	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials* No.	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
		US-2007/0052025	03-08-2007	YABUTA.H	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	т6
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Appear	
		JP-2003-086000A	03-20-2003			Full
		JP-2003-086808A	03-20-2003			Abst.
		JP-63-210022A	08-31-1988			Full
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		JP-63-210024A	08-31-1988			Full
		JP-63-215519A	09-08-1988			Full

Examiner	Date	
Signature	Considered	

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ubstitute for form 1449/PTO				Complete if Known		
INFORMATION DISCLOSURE			SUIDE	Application Number	13/939,323	
				Filing Date	July 11, 2013	
STATEM	STATEMENT BY APPLICANT		First Named Inventor	Yasuharu HOSAKA et al.		
				Art Unit	2871	
(Use	as many sheets as	necessary	)	Examiner Name	E. Glick	
Sheet	4	of	12	Attorney Docket Number	0756-10194	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (I( known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		US-2008/0258140	10-23-2008	LEE.E et al.	1	
		US-2008/0258141	10-23-2008	PARK.J et al.	· · · · ·	
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		US-2009/0114910	05-07-2009	CHANG.C		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т <sup>6</sup>	
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
		JP-63-239117A	10-05-1988			Full	
		JP-63-265818A	11-02-1988			Full	
		EP-1737044A	12-27-2006			Eng.	
		EP-2226847A	09-08-2010			Eng.	

Examiner	Date
Signature	Considered

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Substitute for form 1449/PTO	Complete if Known		
INFORMATION DISCLOSURE	Application Number	13/939,323	
	Filing Date	July 11, 2013	
STATEMENT BY APPLICANT	First Named Inventor	Yasuharu HOSAKA et al.	
<i>"</i>	Art Unit	2871	
(Use as many sheets as necessary)	Examiner Name	E. Glick	
Sheet 5 of 12	Attorney Docket Number	0756-10194	

			U.S. PATENT DOCU	MENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2 ((f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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Examiner Cite	Cite Foreign Patent Document P	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т <sup>6</sup>		
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear		
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STATEMENT BY APPLICANT				Filing Date	July 11, 2013	
			ICANT	First Named Inventor	Yasuharu HOSAKA et al.	
				Art Unit	2871	
(Use as many sheets as necessary)		Examiner Name	E. Glick			
Sheet 6 of 12		Attorney Docket Number	0756-10194			

		NON PATENT LITERATURE DOCUMENTS	
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Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	13/939,323		
	Filing Date	July 11, 2013		
ICANI	First Named Inventor	Yasuharu HOSAKA et al.		
	Art Unit	2871		
(Use as many sneets as necessary)		E. Glick		
Sheet 7 of 12		0756-10194		
		Application Number ICANT Application Number Filing Date First Named Inventor Art Unit Examiner Name		

		NON PATENT LITERATURE DOCUMENTS			
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Signature	Considered	

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		sci	SUIDE	Application Number	13/939,323	
INFORMATION DISCLOSURE				Filing Date	July 11, 2013	
STATEMENT BY APPLICANT			ICAN I	First Named Inventor	Yasuharu HOSAKA et al.	
(11.)				Art Unit	2871	
(Use as many sheets as necessary)			()	Examiner Name	E. Glick	
Sheet	8	of	12	Attorney Docket Number	0756-10194	

	NON PATENT LITERATURE DOCUMENTS							
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EESTEMINEN ALEXANDRON (HELLEN)	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	(hook magazina journal parial symposium catalag ata) data paga(s) volume issue number(s)						
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	SCL	SUBE	Application Number	13/939,323		
			Filing Date	July 11, 2013		
STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.		
			Art Unit	2871		
(Use as many sneets as necessary)			Examiner Name	E. Glick		
11	of	12	Attorney Docket Number	0756-10194		
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INFORMATION DISCLOSURE				Application Number	13/939,323		
· · · · · · · · · · · · · · · · · · ·				Filing Date	July 11, 2013		
STATEMI	STATEMENT BY APPLICANT			First Named Inventor	Yasuharu HOSAKA et al.		
(1)			4	Art Unit	2871		
(0593	(Use as many sheets as necessary)			Examiner Name	E. Glick		
Sheet 12 of 12		Attorney Docket Number	0756-10194				

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		ORITA.M et al., "Amorphous transparent conductive oxide InGaO3(ZnO)m (m<4):a Zn4s conductor,", PHILOSOPHICAL MAGAZINE, 2001, Vol. 81, No. 5, pp. 501-515.	Eng.
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Electronic Acknowledgement Receipt							
EFS ID:	16833703						
Application Number:	13939323						
International Application Number:							
Confirmation Number:	2340						
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE						
First Named Inventor/Applicant Name:	Yasuharu HOSAKA						
Customer Number:	31780						
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Application Type:	Utility under 35 USC 111(a)						

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48	Non Patent Literature	68_OH_IMPROVING_THE_GATE	1192533	no	6				
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49	Non Patent Literature	69_UENO_FIELD_EFFECT_TRA NSISTOR.pdf	812168	no	3				
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Post Card, as <u>New Applicat</u> If a new appli 1.53(b)-(d) an Acknowledge <u>National Stag</u> If a timely sub U.S.C. 371 an	I by the applicant, and including pa described in MPEP 503. ions Under 35 U.S.C. 111 cation is being filed and the applica d MPEP 506), a Filing Receipt (37 Cl ement Receipt will establish the filir ge of an International Application up omission to enter the national stage d other applicable requirements a F	ation includes the necessary of FR 1.54) will be issued in due ng date of the application. <u>nder 35 U.S.C. 371</u> e of an international applicati Form PCT/DO/EO/903 indicati	components for a filin course and the date s on is compliant with ng acceptance of the	ng date (see shown on th the condition application	37 CFR iis ons of 35				
<u>New Internat</u> If a new intern an internation and of the Int	e submission under 35 U.S.C. 371 w ional Application Filed with the USF national application is being filed a nal filing date (see PCT Article 11 ar cernational Filing Date (Form PCT/R rity, and the date shown on this Acl	PTO as a Receiving Office nd the international applicat nd MPEP 1810), a Notification O/105) will be issued in due c	ion includes the nece of the International ourse, subject to pres	essary comp Application scriptions co	Number oncernin				

Attorney Docket No. 0756-10194

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)Yasuharu HOSAKA et al.)Serial No. 13/939,323)Filed: July 11, 2013)For:DISPLAY DEVICE AND ELECTRONIC DEVICEINCLUDING THE DISPLAY DEVICE)

- ) Confirmation No. 2340
- ) Group Art Unit: 2871
  - Examiner: E. Glick

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

U.S. Patent Nos. 6,727,522 and 7,064,346 are in the family of JP 2000-150900.

U.S. Patent No. 7,061,014 is in the family of JP 2004-103957.

U.S. Patent No. 5,744,864 is in the family of JP 11-505377.

U.S. Patent No. 6,563,174 is in the family of JP 2003-086808.

U.S. Publication No. 2006/0244107 is in the family of WO 2004/114391.

Although no fee is due for this Information Disclosure Statement, the Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

1 and a start of the start of t

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 BLUEF (571) 434-6789

BLUEHOUSE EXHIBIT 1002 Page 228 of 337

									Application or Docket Number 13/939,323		
	APP		S FILEI		umn 2)		SMALL	ENTITY	OR	OTHEF SMALL	
	FOR	NUMBE	RFILE	D NUMBE	R EXTRA		RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
	SIC FEE FR 1.16(a), (b), or (c))	N	/A	N	J/A		N/A			N/A	280
	ARCH FEE FR 1.16(k), (i), or (m))	N	/A	N	J/A		N/A			N/A	600
	MINATION FEE FR 1.16(0), (p), or (q))	N	/A	N	I/A		N/A		1	N/A	720
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NT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
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AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x =		OR	x =	
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		(Column 1)		(Column 2)	(Column 3)				-		
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
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UNITED STATES PATENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PC. Box 1450 Alexandrix, Virginia 22313-1450 www.uspto.gov										
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS					
13/939,323	07/11/2013	2811	1600	0756-10194 20 2						
				C	ONFIRMATION NO. 2340					
31780				FILING REC	CEIPT					
Robinson Intel	Robinson Intellectual Property Law Office, P.C.									
3975 Fair Ridg	e Drive									
Suite 20 North				*00	;000000062868614*					
Fairfax, VA 22	Fairfax, VA 22033									

Date Mailed: 08/01/2013

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Yasuharu HOSAKA, Tochigi, JAPAN; Yukinori SHIMA, Tatebayashi, JAPAN; Kenichi OKAZAKI, Tochigi, JAPAN; Shunpei YAMAZAKI, Setagaya, JAPAN;

#### Applicant(s)

Semiconductor Energy Laboratory Co., Ltd., Atsugi-shi, JAPAN

#### Non-Applicant Assignee(s)

Semiconductor Energy Laboratory Co., Ltd.

Power of Attorney: The patent practitioners associated with Customer Number 31780

#### Domestic Applications for which benefit is claimed - None.

A proper domestic benefit claim must be provided in an Application Data Sheet in order to constitute a claim for domestic benefit. See 37 CFR 1.76 and 1.78.

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) JAPAN 2012-161344 07/20/2012

Permission to Access - A proper Authorization to Permit Access to Application by Participating Offices (PTO/SB/39 or its equivalent) has been received by the USPTO.

Request to Retrieve - This application either claims priority to one or more applications filed in an intellectual property Office that participates in the Priority Document Exchange (PDX) program or contains a proper **Request to** 

**Retrieve Electronic Priority Application(s)** (PTO/SB/38 or its equivalent). Consequently, the USPTO will attempt to electronically retrieve these priority documents.

#### If Required, Foreign Filing License Granted: 07/26/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/939,323** 

Projected Publication Date: 01/23/2014

#### Non-Publication Request: No

#### Early Publication Request: No

Title

#### DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

#### **Preliminary Class**

257

#### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

#### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

page 2 of 3

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

UNITED STATES PATENT AND TRADEMARK OFFICE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspic.gov						
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE			
13/939,323	07/11/2013	Yasuharu HOSAKA 0756-10194				
31780 Robinson Intellectual Prop 3975 Fair Ridge Drive Suite 20 North Fairfax, VA 22033	perty Law Office, P.C.		CONFIRMATION NO. 2340 EPTANCE LETTER			

Date Mailed: 08/01/2013

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 07/11/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ewondimu/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

DocCode – SCORE

## **SCORE Placeholder Sheet for IFW Content**

## Application Number: 13939323

## Document Date: 07/11/2013

The presence of this form in the IFW record indicates that the following document type was received in electronic format on the date identified above. This content is stored in the SCORE database.

Drawing

Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

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- Examiners may access SCORE content via the eDAN interface.
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• External customers may access SCORE content via the Public and Private PAIR interfaces.

Under	the Paperwork Reduction Act of 1995 no p	persons are requi	red to re		ent and T	rademark Offic	PTO/AIA/15 (03-13) hrough 01/31/2014. OMB 0651-0032 e; U.S. DEPARTMENT OF COMMERCE displays a valid OMB control number	
$\bigcap$	UTILITY			Attorney Docket			-10194	
	PATENT APPLICAT	ION		First Named Inve	ntor	Yasuharu HOSAKA et al.		
	TRANSMITTAI			Title		DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE		
(Only	for new nonprovisional applications under	7 37 CFR 1.53(b))		Express Mail Lab	el No.			
See MP	APPLICATION ELEMEN EP chapter 600 concerning utility patent ap		5.	ADDRESS	TO:		mmissioner for Patents P.O. Box 1450 kandria, VA 22313-1450	
	Transmittal Form D/SB/17 or equivalent)			ACCON	IPAN	YING API	PLICATION PAPERS	
2. Apr See 3. Apr	olicant asserts small entity status. 37 CFR 1.27 olicant certifies micro entity status. S			10. 🖌 Assignm (cover sl	h&teer	locument(s))	Semiconductor Energy Laboratory Co., Ltd.	
4. ✓ Spe Bott (See 5. ✓ Dra 6. Invento (Including serving a a. ✓ b 7. ✓ App See 8. CD	<ul> <li>Applicant must attach form PTO/SB/15A or B or equivalent.</li> <li>4. ✓ Specification [Total Pages 59] Both the claims and abstract must start on a new page. (See MPEP § 608.01(a) for information on the preferred arrangement)</li> <li>5. ✓ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]</li> <li>6. Inventor's Oath or Declaration [Total Pages 8]</li> <li>(including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e))</li> <li>a. ✓ Newly executed (original or copy)</li> <li>b. A copy from a prior application (37 CFR 1.63(d))</li> <li>7. ✓ Application Data Sheet * See note below. See 37 CFR 1.76 (PTO/AIA/14 or equivalent)</li> </ul>				<ul> <li>11. ✓ 37 CFR 3.73(c) Statement (when there is an assignee)</li> <li>12. English Translation Document (if applicable)</li> <li>13. ✓ Information Disclosure Statement (PTO/S8/08 or PTO-1449)</li> <li>✓ Copies of citations attached</li> <li>14. Preliminary Amendment</li> <li>15. Return Receipt Postcard (MPEP § 503) (Should be specifically itemized)</li> <li>16. Certified Copy of Priority Document(s) (if foreign priority is claimed)</li> <li>17. Nonpublication Request Under 35 U.S.C. 122(b)(2)(8)(0). Applicant must attach form PTO/SB/35</li> </ul>			
(if appli a b i ii	<ul> <li>b. Specification Sequence Listing on:</li> <li>i. CD-ROM or CD-R (2 copies); or</li> <li>ii. Paper</li> </ul>				be inclu	ided in an An	plication Data Sheet (ADS)	
	Benefit claims under 37 CFR 1.78 and For applications filed under 35 U.S.C assignee, person to whom the inven- interest in the matter. See 37 CFR 1.	111, the appli tor is under an	ication I	must contain an Al	)S specif	fying the app	licant if the applicant is an	
			RESPO	NDENCE ADDR	ESS			
🔽 The a	address associated with Customer Nu	mber: <u>31780</u>				OR	Correspondence address below	
Name	Eric J. Robinson, Robinson Intellec	ctual Property	Law Of	ffice, P.C.				
Address	3975 Fair Ridge Drive, Suite 20 No	orth						
City	Fairfax	State	VA			Zip Code	22033	
Country	US // Alla	Telephone	571-43	34-6789	,	Email	erobinson@riplo.com	
Signature	AIA				Date		July 11, 2013	
Name (Print/Typ	e) Stephen P. Catlin				-	ration No. ney/Agent)	36,101	
This collection	n of information is required by 37 CFR 1.53	(b). The informat	ion is rec	quired to obtain or re	tain a ber	nefit by the put	blic which is to file (and by the USPTO	

This collection of information is required by 37 CFR 1.33(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the complete dapplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/AIA/96 (08-12) Approved for use through 01/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	0.5. Faterit and Trademark Once, 0.5. DEFARTMENT OF COMMENCE
Under the Paperwork Reduction Act of 1995.	no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)					
Applicant/Patent Owner: Semiconductor Energy Laboratory Co., Ltd.					
Application No./Patent No.: Filed/Issue Date: July 11, 2013	-				
Titled: DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE	-				
Semiconductor Energy Laboratory Co., Ltd, a corporation	_				
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)					
states that, for the patent application/patent identified above, it is (choose <b>one</b> of options 1, 2, 3 or 4 below):					
1. I The assignee of the entire right, title, and interest.					
2. 🗌 An assignee of less than the entire right, title, and interest (check applicable box):					
The extent (by percentage) of its ownership interest is%. Additional Statement(s) by the owners holding the balance of the interest <u>must be submitted</u> to account for 100% of the ownership interest.					
There are unspecified percentages of ownership. The other parties, including inventors, who together own the ent right, title and interest are:	tire				
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the er right, title, and interest.	ıtire				
3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made The other parties, including inventors, who together own the entire right, title, and interest are:	э).				
Additional Statement(s) by the owner(s) holding the balance of the interest <u>must be submitted</u> to account for the en right, title, and interest.	tire				
4. The recipient, via a court proceeding or the like ( <i>e.g.</i> , bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.					
The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):					
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	1				
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follow	s:				
1. From: To:					
The document was recorded in the United States Patent and Trademark Office at					
Reel, Frame, or for which a copy thereof is attached.					
2. From: To:					
The document was recorded in the United States Patent and Trademark Office at					
Reel, Frame, or for which a copy thereof is attached.					
[Page 1 of 2]					

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	PTO/AIA/96 (08-12) pproved for use through 01/31/2013. OMB 0651-0031 demark Office; U.S. DEPARTMENT OF COMMERCE mation unless it displays a valid OMB control number.			
STATEMENT UNDER 37 CFR 3.73(	<u>c)</u>			
3. From: To:				
The document was recorded in the United States Patent and Trader				
Reel, Frame, or for which a copy the				
4. From: To:				
The document was recorded in the United States Patent and Trader				
Reel, Frame, or for which a copy the				
5. From: To:				
The document was recorded in the United States Patent and Trader	nark Office at			
Reel, Frame, or for which a copy the	ereof is attached.			
6. From: To:				
The document was recorded in the United States Patent and Trader				
Reel, Frame, or for which a copy the	ereof is attached.			
Additional documents in the chain of title are listed on a supplemental sheet	(s).			
As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of assignee was, or concurrently is being, submitted for recordation pursuant to 3	f title from the original owner to the 37 CFR 3.11.			
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]				
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.				
THE L	July 11, 2013			
Signature	Date			
Stephen P. Catlin	Reg. No. 36,101			
Printed or Typed Name	Title or Registration Number			

[Page 2 of 2]

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Attorney Docket No. 0756-10194

#### ASSIGNMENT

Serial No.

Filed

WHEREAS, <u>Yasuharu HOSAKA</u>, <u>Yukinori SHIMA</u>, <u>Kenichi OKAZAKI</u> and <u>Shunpei</u> <u>YAMAZAKI</u>

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in <u>DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE</u> <u>DISPLAY DEVICE</u> for which an application for Letters Patent of the United States of America has been executed by the undersigned on , and;

WHEREAS, <u>Semiconductor Energy Laboratory Co., Ltd.</u> of <u>398</u>, <u>Hase</u>, <u>Atsugi-shi</u>, <u>Kanagawa-ken</u>, <u>243-0036 Japan</u> and its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby convenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of ROBINSON INTELLECTUAL PROPERTY LAW OFFICE the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

In witness thereof, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date 07/02/2013	Signature <u>Yasuharu</u> HOSAKA Name Yasuharu HOSAKA
Date <u>07/02/201</u> 3	Signature Tukinori SHIMA
Date_07/02/2013	Signature <u>Kenichi OKAZAKI</u> Name Kenichi OKAZAKI
Date	Signature Name Shunpei YAMAZAKI

This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed has (have) invented certain new and useful improvements in by at least two other persons who should sign here.

Witness	Signature
Witness	Signature
Witness	Signature

Attorney Docket No.

#### ASSIGNMENT

Serial No. \_\_\_\_\_

Filed \_\_\_\_\_

WHEREAS, <u>Yasuharu HOSAKA</u>, <u>Yukinori SHIMA</u>, <u>Kenichi OKAZAKI</u> and <u>Shunpei</u> <u>YAMAZAKI</u>

(hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in <u>DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE</u> <u>DISPLAY DEVICE</u> for which an application for Letters Patent of the United States of America has been executed by the undersigned on , and;

WHEREAS, <u>Semiconductor Energy Laboratory Co., Ltd.</u> of <u>398</u>, <u>Hase</u>, <u>Atsugi-shi</u>, <u>Kanagawa-ken</u>, <u>243-0036</u> Japan and its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America and its territories and for all foreign countries, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America and its territories, dependencies and possessions, and in and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or any continuation, division or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims under or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and Trademarks to issue any and all Letters Patents of the United States of America resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby convenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of ROBINSON INTELLECTUAL PROPERTY LAW OFFICE the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

In witness thereof, this Assignment has been executed by the undersigned on the date(s) opposite the undersigned name(s).

Date	Signature
	Name Yasuharu HOSAKA
_	
Date	Signature
	Name Yukinori SHIMA
	· · · · ·
Date	Signature
	Name Kenichi OKAZAKI
Andread	n Cardi
Date 01/02/2017	Signature_ Sluper gamogales
·····	Name Shunpei XAMAZAKI

This assignment should preferably be acknowledged before a United States Consul or Notary Public. If not, then the execution by the Inventor(s) should be witnessed has (have) invented certain new and useful improvements in by at least two other persons who should sign here.

Witness	Signature
Witness	Signature
Witness	Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	0756-10194		
		Application Number			
Title of Invention	DISPLAY DEVICE AND ELEC	ISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE			
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the					

bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## **Inventor Information:**

Invent							Remove	
Legal	Name							
Prefix	Given Name		Middle Nam	e		Family N	Name	Suffix
	Yasuharu					HOSAKA		
Resid	Residence Information (Select One) US Residency   Non US Residency   Active US Military Service						ce	
City	ty Tochigi Country of Residence i JP							
	Address of Inver	itor:						
Addre	ess 1	c/o Semiconducto	or Energy Labora	atory Co	o., Ltd.			
Addre	ess 2	398, Hase						
City	Atsugi-shi, ł	Kanagawa-ken			State/Pro	ovince		
Postal Code 243-0036				Cou	ntry i	JP		
Invent	tor 2						Remove	
Legal	Name							
Prefix	Given Name		Middle Nam	e		Family N	Name	Suffix
	Yukinori					SHIMA		
Resid	ence Information	(Select One)	US Residency	۲	Non US F	Residency (	Active US Military Servi	ce
City	Tatebayashi		Country of	Reside	nce i		JP	
Mailing	Address of Inver	itor:						
Addre	ess 1	c/o Semiconducto	or Energy Labora	atory Co	o., Ltd.			
Addre	Address 2 398, Hase							
City	Atsugi-shi, ł	Kanagawa-ken			State/Pr	ovince		
Posta	l Code	243-0036		Cou	ntry i	JP		
Invent	tor 3						Remove	
Legal								

PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

					1		-				
Appli	catio	on Data Sh	eet 37 CFR 1.	76	Attorney	Dock	et Number	0756-101	194		
	outre				Applicatio	on Nu	Imber				
Title of	Inver	ntion DISP	LAY DEVICE AND I	ELEC	CTRONIC DI	EVICE		G THE DISP	LAY DEVICE		
Prefix	Give	en Name		M	Middle Name			Family I	Family Name		
	Kenichi							OKAZAK	1		
Residence Information (Select One) US Re					Residency	$\overline{\bullet}$	Non US R	esidency (	Active US Military Ser	vice	
City					Country of F	Resid	ence i		JP		
Mailing	Addr	ess of Inven	tor:								
Addre	ss 1		c/o Semiconducto	or Er	nergy Labora	itory C	Co., Ltd.				
Addre	ss 2		398, Hase								
City		Atsugi-shi, K	anagawa-ken				State/Pro	vince	nce		
Postal	Code	9	243-0036			Οοι	untry i	JP			
Invent	or 4	4							Remove		
Legal Name											
Legal I	Name										
Legal I Prefix		en Name		Mi	iddle Name	9		Family I	Name	Suffix	
		en Name		Mi	iddle Name	9		<b>Family I</b> YAMAZA		Suffix	
Prefix	<b>Give</b> Shur	en Name	(Select One) 〇		iddle Name	•	Non US R	YAMAZA			
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Prefix Resid City Mailing Addre	Give Shur ence Setag Addr ss 1	en Name Ipei Information aya ess of Inven	tor:		Residency	_ Resid	ence i	YAMAZA esidency (	KI Active US Military Sei		
Prefix Resid City Mailing Addre Addre	Give Shur ence Setag Addr ss 1 ss 2	en Name hpei Information aya ess of Inven Atsugi-shi, K	tor: c/o Semiconducto 398, Hase		Residency	Resid	ence i Co., Ltd.	YAMAZA esidency (	KI Active US Military Sei		
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An Address is being provided for the correspondence Information of this application.					
Customer Number	31780				
Email Address	erobinson@riplo.com	Add Email	Remove Email		

#### PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	0756-10194				
Application Da		Application Number					
Title of Invention	DISPLAY DEVICE AND ELEC	TRONIC DEVICE INCLUDING	THE DISPLAY DEVICE				

## **Application Information:**

Title of the Invention	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE					
Attorney Docket Number	0756-10194		Small Entity Status Claimed			
Application Type	Nonprovisional					
Subject Matter	Utility					
Total Number of Drawing	Sheets (if any)	11	Suggested Figure for Publication (if any)			

### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Request Not to Publish. I hereby request that the attached application not be published under
35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the
subject of an application filed in another country, or under a multilateral international agreement, that requires
publication at eighteen months after filing.

## **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	O US Patent Practitioner	C Limited Recognition (37 CFR 11.9)
Customer Number	31780		

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

Prior Application Status			Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.						

## **Foreign Priority Information:**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	0756-10194
Application Da		Application Number	
Title of Invention	DISPLAY DEVICE AND ELEC	TRONIC DEVICE INCLUDING	THE DISPLAY DEVICE

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) <sup>i</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
2012-161344	JP	2012-07-20	
Additional Foreign Priority <b>Add</b> button.	Data may be generated with	hin this form by selecting the	Add

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

## Authorization to Permit Access:

X Authorization to Permit Access to the Instant Application by the Participating Offices

If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	0756-10194
Application Da		Application Number	
Title of Invention	DISPLAY DEVICE AND ELEC	TRONIC DEVICE INCLUDING	THE DISPLAY DEVICE

## **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.						
Applicant 1				Remove		
The information to be provi 1.43; or the name and add who otherwise shows suffic applicant under 37 CFR 1.4	ded in this se ress of the a cient propriet 46 (assignee	ection is the name and address ssignee, person to whom the ir ary interest in the matter who i , person to whom the inventor	s of the legal representat iventor is under an oblig s the applicant under 37 is obligated to assign, or	, this section should not be completed. tive who is the applicant under 37 CFR ation to assign the invention, or person CFR 1.46. If the applicant is an r person who otherwise shows sufficient rs who are also the applicant should be Clear		
Assignee		Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor		
O Person to whom the inv	entor is oblig	ated to assign.	O Person who sho	ows sufficient proprietary interest		
If applicant is the legal re	epresentativ	ve, indicate the authority to	file the patent applicat	ion, the inventor is:		
Name of the Deceased	or Legally I	ncapacitated Inventor :				
If the Applicant is an O	rganization	check here. X				
Organization Name	Semicondu	ictor Energy Laboratory Co., L	td.			
Mailing Address Info	mation:					
Address 1	398, <b>⊢</b>	ase				
Address 2						
City	Atsugi	-shi, Kanagawa-ken	State/Province			
Country <sup>i</sup> JP			Postal Code	243-0036		
Phone Number			Fax Number			
Email Address			·	·		
Additional Applicant Data	may be ger	nerated within this form by se	lecting the Add button.	Add		

## **Non-Applicant Assignee Information:**

Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

#### PTO/AIA/14 (03-13) Approved for use through 01/31/2014. OMB 0651-0032

5. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Und	der the Paperw	ork Reductio	n Act of 1995, no pers	sons are required to				ns a valid OMB control number.
Applicatio	n Doto S	hoot 2	7 CED 4 76	Attorney Doc	ket Number	0756-1	0194	
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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re l	Patent Application of:	)	
Yasuharu HOSAKA et al.		)	
Based on JP 2012-161344		)	New Application
Filed:	July 20, 2012	)	
For:	DISPLAY DEVICE AND ELECTRONIC	)	
	DEVICE INCLUDING THE DISPLAY DEVICE	)	

#### **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

U.S. Patent Nos. 7,791,072 and 8,237,166 and U.S. Publication Nos. 2006/0113536 and 2010/0295041 are in the family of JP 2006-165528.

U.S. Patent No. 8,269,218 and U.S. Publication Nos. 2011/0133181 and 2012/0319118 are in the family of JP 2011-139047.

Although no fee is due for this Information Disclosure Statement, the Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Stephen P. Catlin Reg. No. 36,101

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

PTO/AIA/80 (07-12)

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his collection o	f information is requ	uired by 37 CFR 1.31, 1.3	32 and 1.33. T	he informatio	n is required	to obtain or retain a bene	fit by the public w	hich is to file (and

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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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INFORMATION DISCLOSURE				Filing Date	July 11, 2013
STATEMENT BY APPLICANT			ICAN I	First Named Inventor	Yasuharu HOSAKA et al.
				Art Unit	
(Use as many sheets as necessary)			()	Examiner Name	
Sheet	1	of	1	Attorney Docket Number	0756-10194

	U. S. PATENT DOCUMENTS					
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		US2006/0113536	06-01-2006	KUMOMI et al.		
		US7791072	09-07-2010	KUMOMI et al.		
		US2010/0295041	11-25-2010	KUMOMI et al.		
		US8237166	08-07-2012	KUMOMI et al.		
		US2011/0133181	06-09-2011	YAMAZAKI		
		US8269218	09-18-2012	YAMAZAKI		
		US2012/0319118	12-20-2012	YAMAZAKI		
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	FOREIGN PATENT DOCUMENTS					
Examiner Cite	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	76
initials* No. <sup>1</sup>		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		JP2006-165528	06-22-2006			abst.
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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発明の名称 Title of Invention
下記発明者である私は、つぎのことがらを宣誓します。 As the below named inventor, I hereby declare that:
本宣誓は This declaration 添付されている、あるいは is directed to: The attached application, or
米国出願、あるいはに出願されたPCT国際願番号として出願されているものに宛てられ います。 United States application or PCT international application numberfiled on
上記の出願は私自身、あるいは私が権限を授与したものによって行われたものです。 The above-identified application was made or authorized to be made by me.
私は本出願書中にあらわれるもともとの発明者、あるいはもともとの共同発明者です。 I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
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発明者の正式氏名 LEGAL NAME OF INVENTOR
発明者: 日付(任意): Inventor: Yasuharu HOSAKA Date (Optional): 07/02/2013
署名: Signature: Yasuharu HOSAKA
備考: 出願データシート(PTO/AIA/14 あるいはその同等用紙) は、発明の自主独立体全体の命名を含め、本用紙に添付すること。なお残余の発明者ごとに PTO/SB/AIA01 用紙を使用する。 Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use an additional PTO/SB/AIA01 form for each additional inventor.
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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2. BLUEHOUSE EXHIBIT 1002 Page 255 of 337

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### 申請データシート(37 CFR 1.76)を使った実用及び意匠登録出願宣誓書(37 CFR 1.63) DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) 発明の名称 Title of Invention DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE F記発明者である私は、つぎのことがらを宣誓します。 As the below named inventor, I hereby declare that:

本宣誓は This declaration is directed to:

添付されている、あるいは The attached application, or

> 米国出願、あるいは\_\_\_\_\_\_に出願されたPCT国際願番号\_\_\_\_\_として出願されているものに宛てられています。 United States application or PCT international application number \_\_\_\_\_\_filed on \_\_\_\_\_\_

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私は本出願書中にあらわれるもともとの発明者、あるいはもともとの共同発明者です。 I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

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#### 発明者の正式氏名 LEGAL NAME OF INVENTOR

発明者: Inventor:	Yukinori SHIMA	日付(任意): Date (Optional) :	07/02/2013	
署名: Signature:	Tukinori	Shiwa	. /	
備考: 出願データシー	ト(PTO/AIA/14 あるいはその同等用紙	紙)は、発明の自主独立体全体の命名	を含め、本用紙に添付すること。なお残余の発明者に	ことに

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Note: An application data sheet (PTU/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this forr Use an additional PTO/SB/AIA01 form for each additional inventor.

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- 1. 本用紙に記載されている情報は、情報公開法 (5 U.S.C. 552) およびプライバシー保護法 (5 U.S.C 552a) が許容する範囲において極秘扱いとなります。本記録システムの記録は、本記録の開示が情 報公開法で要求されているか否かを判断するために、司法省に開示される場合があります。
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発明の名称 Title of Invention	Y DEVICE
下記発明者である私は、つぎのことがらを宣誓します。 As the below named inventor, I hereby declare that:	
本宣誓は This declaration 添付されている、あるいは is directed to: The attached application, or	
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発明者: Inventor:Kenichi OKAZAKI 日付(任意): Date (Optional):O门/ひンノ	12013
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発明の名称 Title of Invention	DISPL	AY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE			
		Dぎのことがらを宣誓します。 entor, I hereby declare that:			
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#### DESCRIPTION

### DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE

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### TECHNICAL FIELD

[0001]

The present invention relates to a display device using a liquid crystal panel or a display device using an organic EL panel. The present invention further relates to an electronic device including the display device.

### BACKGROUND ART

[0002]

In recent years, display devices using liquid crystal panels and display devices using organic EL panels have been under active development. These display devices are broadly classified into display devices in which only a transistor for pixel control (pixel transistor) is formed over a substrate and a scanning circuit (driver circuit) is included in a peripheral IC and display devices in which a scanning circuit is formed over the same substrate as the pixel transistor.

20 [0003]

A display device in which a driver circuit is integrated with a pixel transistor is effective in reducing the frame width of the display device or cost of the peripheral IC. However, a transistor used in the driver circuit is required to have better electrical characteristics (e.g., field-effect mobility ( $\mu$ FE) or threshold) than the pixel transistor.

25 [0004]

A silicon-based semiconductor material is widely known as a material for a semiconductor thin film applicable to a transistor. As another material, an oxide semiconductor material has been attracting attention. For example, a transistor in which a semiconductor thin film is formed using an amorphous oxide that contains indium (In), gallium (Ga), and zinc (Zn) and has an electron carrier concentration lower

indium (In), gallium (Ga), and zinc (Zn) and has an electron carrier concentration lo than 10<sup>18</sup> /cm<sup>3</sup> is disclosed (for example, see Patent Document 1).
 [0005]

A transistor using an oxide semiconductor for a semiconductor layer has higher field-effect mobility than a transistor using amorphous silicon which is a silicon-based semiconductor material for a semiconductor layer. Hence, the transistor using an oxide semiconductor can operate at high speed and be suitably used for the display device in which a pixel transistor is integrated with a driver circuit. Besides, manufacturing steps of the transistor using an oxide semiconductor are easier than those of a transistor using polycrystalline silicon for a semiconductor layer.

[0006]

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However, a problem of the transistor using an oxide semiconductor for a semiconductor layer is that entry of impurities such as hydrogen or moisture into the oxide semiconductor generates carriers and changes electrical characteristics of the transistor.

[0007]

To solve the above problem, a transistor whose reliability is improved by making the concentration of hydrogen atoms in an oxide semiconductor film used as a channel formation region of the transistor less than  $1 \times 10^{16}$  cm<sup>-3</sup> is disclosed (e.g., Patent Document 2).

[References]

[0008]

[0009]

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Patent Document 1: Japanese Published Patent Application No. 2006-165528 Patent Document 2: Japanese Published Patent Application No. 2011-139047

DISCLOSURE OF INVENTION

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As also described in Patent Document 2, to sufficiently maintain the electrical characteristics of the transistor using an oxide semiconductor film for a semiconductor layer, it is important to remove hydrogen, moisture, and the like from the oxide semiconductor film as much as possible.

[0010]

Further, when transistors are used for both a pixel region and a driver circuit region in a display device, an electrical load on the transistor used for the driver circuit region is larger than that on the transistor used for the pixel region, although this depends on the driving method. Thus, electrical characteristics of the transistor used for the driver circuit region is important. [0011]

In particular, a problem with display devices in which transistors using an oxide semiconductor film for a semiconductor layer are used for the pixel region and the driver circuit region has been deterioration of the transistor used for the driver circuit region, which occurs in a reliability test in a high temperature and high humidity environment. The cause of the deterioration of the transistor is an increase in the carrier density of the oxide semiconductor film used as the semiconductor layer due to entry of moisture or the like into the oxide semiconductor film from an organic insulating film formed over the transistor.

[0012]

In view of the above, an object of one embodiment of the present invention is to suppress changes in the electrical characteristics of a display device including transistors in a pixel region and a driver circuit region and improve the reliability of the display device. An object of one embodiment of the present invention is, in particular, to suppress entry of hydrogen or moisture into the oxide semiconductor film in a display device using an oxide semiconductor film for a channel formation region of a transistor, suppress changes in the electrical characteristics of the display device, and improve its reliability.

[0013]

To achieve any of the above objects, one embodiment of the present invention provides a structure which can suppress changes in the electrical characteristics of transistors used for a pixel region and a driver circuit region in a display device. 25 Specifically, one embodiment of the present invention provides a structure in which, an oxide semiconductor film is used for a channel formation region of a transistor, and a planarization film formed with an organic insulating material over the transistor has a characteristic structure so that hydrogen or moisture hardly enters the oxide semiconductor film, particularly the oxide semiconductor film used for the driver circuit

30 region. The structure is more specifically described below. [0014]

One embodiment of the present invention is a display device including a pixel

region where a plurality of pixels each including a pixel electrode and at least one first transistor electrically connected to the pixel electrode is arranged, a first substrate provided with a driver circuit region that is located outside and adjacent to the pixel region and includes at least one second transistor which supplies a signal to the first

- 5 transistor included in each of the pixels in the pixel region, a second substrate provided to face the first substrate, a liquid crystal layer interposed between the first substrate and the second substrate, a first interlayer insulating film including an inorganic insulating material over the first transistor and the second transistor, a second interlayer insulating film including an organic insulating material over the first interlayer insulating film including an inorganic insulating film, and a third interlayer insulating film. In the display device, the third interlayer insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver circuit region.
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In the above structure, the following may be included: a first alignment film over the pixel electrode; the liquid crystal layer over the first alignment film; a second alignment film over the liquid crystal layer; a counter electrode over the second alignment film; an organic protective insulating film over the counter electrode; a colored film and a light-blocking film over the organic protective insulating film; and the second substrate over the colored film and the light-blocking film.

#### [0016]

[0015]

Another embodiment of the present invention is a display device including a pixel region where a plurality of pixels each including a pixel electrode and at least one first transistor electrically connected to the pixel electrode is arranged, a first substrate provided with a driver circuit region that is located outside and adjacent to the pixel region and includes at least one second transistor which supplies a signal to the first transistor included in each of the pixels in the pixel region, a second substrate provided to face the first substrate, a light-emitting layer interposed between the first substrate and the second substrate, a first interlayer insulating film including an inorganic insulating film including an organic insulating material over the first interlayer insulating film including an inorganic insulating film, and a third interlayer insulating film including an inorganic insulating

material over the second interlayer insulating film. In the display device, the third interlayer insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver circuit region.

5 [0017]

In the above structure, the light-emitting layer over the pixel electrode and an electrode over the light-emitting layer may be included.

[0018]

Further, in any of the above structures, the third interlayer insulating film is preferably one selected from a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

[0019]

Further, in any of the above structures, a semiconductor material included in a channel formation region of each of the first transistor and the second transistor is
preferably an oxide semiconductor. In addition, the first transistor and the second transistor each preferably include a gate electrode, a semiconductor layer including an oxide semiconductor over the gate electrode, and a source electrode and a drain electrode over the semiconductor layer.

[0020]

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One embodiment of the present invention includes, in its category, an electronic device including a display device having any of the above structures.

[0021]

Changes in the electrical characteristics of a display device including transistors in a pixel region and a driver circuit region can be suppressed, and the reliability of the display device can be improved. In particular, entry of hydrogen or moisture into the oxide semiconductor film in a display device using an oxide semiconductor film for a channel formation region of a transistor can be suppressed, changes in the electrical characteristics of the display device can be suppressed, and its reliability can be improved.

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BRIEF DESCRIPTION OF DRAWINGS [0022]

In the accompanying drawings:

FIGS. 1A to 1C illustrate top views of one mode of a display device;

FIG. 2 illustrates a cross section of one mode of a display device;

FIG. 3 illustrates a top view of one mode of a display device;

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FIG. 4 illustrates a cross section of one mode of a display device;

FIGS. 5A and 5B illustrate a circuit diagram and a cross-sectional view of an example of a display device with an image sensor according to one embodiment of the present invention;

FIGS. 6A to 6C illustrate an example of a tablet terminal according to one embodiment of the present invention;

FIGS. 7A to 7C each illustrate an example of an electronic device according to one embodiment of the present invention;

FIG. 8 shows the ion intensity of released gas versus mass-to-charge ratio;

FIG. 9 shows the ion intensity versus substrate surface temperature for each mass-to-charge ratio;

FIG. 10 illustrates a cross-sectional image of an observed sample; and FIGS. 11A and 11B illustrate electrical characteristics of samples.

### BEST MODE FOR CARRYING OUT THE INVENTION

20 [0023]

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Hereinafter, embodiments of the present invention will be described in detail with reference to the accompanying drawings. However, the present invention is not limited to the description below, and it is easily understood by those skilled in the art that modes and details disclosed herein can be modified in various ways without departing from the spirit and the scope of the present invention. Therefore, the present invention is not construed as being limited to description of the embodiments.

[0024]

In embodiments hereinafter described, the same components may be denoted by the same reference numerals throughout the drawings. Note that the thickness, the width, a relative position, and the like of components, namely, layers, regions, and the like illustrated in the drawings are exaggerated in some cases for clarification in the description of the embodiment.

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[0025]

In this specification and the like, the term such as "electrode" or "wiring" does not limit a function of a component. For example, an "electrode" is sometimes used as part of a "wiring", and vice versa. Furthermore, the term "electrode" or "wiring" can include the case where a plurality of "electrodes" or "wirings" is formed in an integrated manner.

[0026]

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Further, in this specification or the like, a silicon nitride oxide film is a film containing nitrogen, oxygen, and silicon as its components and containing more nitrogen than oxygen. Further, a silicon oxynitride film is a film containing oxygen, nitrogen, and silicon as its components and containing more oxygen than nitrogen. [0027]

Functions of a "source" and a "drain" are sometimes replaced with each other when a transistor of opposite polarity is used or when the direction of current flowing is changed in circuit operation, for example. Therefore, the terms "source" and "drain" can be used to denote the drain and the source, respectively, in this specification and the

like.

[0028]

(Embodiment 1)

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In this embodiment, a display device using a liquid crystal panel is described as one mode of a display device with reference to FIGS. 1A to 1C and FIG. 2.

[0029]

FIGS. 1A to 1C illustrate top views of the display device as one mode of a display device. Note that FIG. 1A, FIG. 1B, and FIG. 1C illustrate top views of the
whole display device, part of a driver circuit portion of the display device, and part of a pixel region, respectively. In addition, FIG. 2 corresponds to a cross-sectional view taken along the line X1-Y1 in FIG. 1A.

[0030]

In the display device illustrated in FIG. 1A, a sealant 166 is provided so as to surround a pixel region 142, and gate driver circuit portions 140 and a source driver circuit portion 144, which are driver circuit regions that are located outside and adjacent to the pixel region 142 and supply signals to the pixel region 142, which are provided over a first substrate 102; sealing is performed with a second substrate 152. The second substrate 152 is provided so as to face the first substrate 102 where the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are provided. Thus, the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are sealed together with a display element by the first substrate 102, the sealant 166, and the second substrate 152.

[0031]

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In FIG. 1A, a flexible printed circuit (FPC) terminal portion 146 which is electrically connected to the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 is provided in a region that is different from the region surrounded by the sealant 166, over the first substrate 102. An FPC 148 is connected to the FPC terminal portion 146. Signals and potentials applied to the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are supplied through the FPC 148.

15 [0032]

Although an example in which the gate driver circuit portions 140 and the source driver circuit portion 144 are formed over the first substrate 102 where the pixel region 142 is formed is shown in FIG. 1A, this structure does not limit the present invention. For example, only the gate driver circuit portions 140 may be formed over the first substrate 102 so that an additionally provided substrate where a source driver circuit is formed (e.g., a driver circuit substrate formed using a single crystal semiconductor film or a polycrystalline semiconductor film) is mounted on the first substrate 102.

[0033]

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Although a structure in which the two gate driver circuit portions 140 are placed on both sides of the pixel region 142 is exemplified in FIG. 1A, this structure does not limit the present invention. For example, a gate driver circuit portion 140 may be placed on only one side of the pixel region 142.

[0034]

There is no particular limitation on a method of connecting the additionally provided driver circuit substrate; a chip on glass (COG) method, a wire bonding method, a tape automated bonding (TAB) method, or the like can be used. In addition, the

display device includes a panel in which a display element is sealed and a module in which an IC and the like including a controller are mounted on the panel. [0035]

As described above, some or all of the driver circuits which include transistors can be formed over the first substrate 102 where the pixel region 142 is formed, so that 5 a system-on-panel can be obtained.

[0036]

In FIG. 1C, a first transistor 101 and a capacitor 107 are formed in the pixel region 142. In the first transistor 101, a gate electrode 104, a source electrode 110, and a drain electrode 112 are electrically connected to a semiconductor layer 108. 10 Although not illustrated in the plan view in FIG. 1C, over the first transistor 101, a first interlayer insulating film formed using an inorganic insulating material, a second interlayer insulating film formed using an organic insulating material over the first interlayer insulating film, and a third interlayer insulating film formed using an 15inorganic insulating material over the second interlayer insulating film are formed. The capacitor 107 includes a capacitor electrode 118, the third interlayer insulating film formed over the capacitor electrode 118, and a pixel electrode 122 formed over the third interlayer insulating film.

[0037]

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In FIG. 1B, a second transistor 103 and a third transistor 105 are formed in the gate driver circuit portion 140 which is a driver circuit region. In each of the transistors in the gate driver circuit portion 140, the gate electrode 104, the source electrode 110, and the drain electrode 112 are electrically connected to the semiconductor layer 108. In the gate driver circuit portion 140, a gate line including the gate electrode 104 extends in the horizontal direction, a source line including the 25source electrode 110 extends in the vertical direction, and a drain line including the drain electrode 112 extends in the vertical direction with a distance from the source electrode.

[0038]

The gate driver circuit portion 140 including the second transistor 103 and the third transistor 105 can supply a signal to the first transistor 101 included in each pixel of the pixel region 142.

[0039]

To control various signals, raise a voltage, and the like, the second transistor 103 and the third transistor 105 in the gate driver circuit portion 140 require a relatively high voltage, specifically a voltage of about 10 V to 30 V. In contrast, the first transistor 101 in the pixel region 142 is used only for switching of a pixel and therefore can be driven at a voltage of about several volts to 20 volts. Thus, a stress applied to the second transistor 103 and the third transistor 105 in the gate driver circuit portion 140 is much larger than a stress applied to the first transistor 101 in the pixel region 142. [0040]

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To specifically describe a structure of the display device illustrated in FIGS. 1A to 1C, structures of the gate driver circuit portion 140 and the pixel region 142 are described below using FIG. 2 corresponding to a cross-sectional view along the line X1-Y1 in FIGS. 1A to 1C.

[0041]

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In the pixel region 142, the first transistor 101 is formed with the first substrate 102, the gate electrode 104 formed over the first substrate 102, a gate insulating film 106 formed over the gate electrode 104, the semiconductor layer 108 which is in contact with the gate insulating film 106 and provided to overlap with the gate electrode 104, the source electrode 110 and the drain electrode 112 formed over the gate insulating film 106 and the semiconductor layer 108 which is including film 106 and the semiconductor layer 108.

[0042]

In addition, the pixel region 142 includes a first interlayer insulating film 114 formed using an inorganic insulating material over the first transistor 101, specifically over the gate insulating film 106, the semiconductor layer 108, the source electrode 110, and the drain electrode 112, a second interlayer insulating film 116 formed using an organic insulating material over the first interlayer insulating film 114, the capacitor electrode 118 formed over the second interlayer insulating film 116, a third interlayer insulating film 120 formed using an inorganic insulating material over the second interlayer insulating material over the second 122 formed over the third interlayer insulating film 120.

[0043]

Note that the capacitor 107 is formed with the capacitor electrode 118, the third

interlayer insulating film 120, and the pixel electrode 122. The capacitor electrode 118, the third interlayer insulating film 120, and the pixel electrode 122 are preferably formed using a material having the property of transmitting visible light, in which case large capacitance can be ensured without reducing the aperture ratio of the pixel region. [0044]

5 [0044]

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The pixel region 142 includes, over the pixel electrode 122, a first alignment film 124, a liquid crystal layer 162 provided over the first alignment film 124, a second alignment film 164 provided over the liquid crystal layer 162, a counter electrode 158 provided over the second alignment film 164, an organic protective insulating film 156 provided over the counter electrode 158, a colored film 153 and a light-blocking film 154 which are provided over the organic protective insulating film 156, and the second substrate 152 provided over the colored film 153 and the light-blocking film 154. [0045]

Note that a liquid crystal element 150 which is a display element is formed with the pixel electrode 122, the first alignment film 124, the liquid crystal layer 162, the second alignment film 164, and the counter electrode 158. [0046]

In the gate driver circuit portion 140, the second transistor 103 and the third transistor 105 are formed with the first substrate 102, the gate electrode 104 formed over the first substrate 102, the gate insulating film 106 formed over the gate electrode 104, the semiconductor layer 108 which is in contact with the gate insulating film 106 and provided to overlap with the gate electrode 104, the source electrode 110 and the drain electrode 112 formed over the gate insulating film 106 and the semiconductor layer 108.

25 [0047]

In addition, the gate driver circuit portion 140 includes the first interlayer insulating film 114 formed over the second transistor 103 and the third transistor 105, specifically over the gate insulating film 106, the semiconductor layer 108, the source electrode 110, and the drain electrode 112, and the second interlayer insulating film 116 formed over the first interlayer insulating film 114.

[0048]

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Thus, the third interlayer insulating film 120 is provided in part of an upper

region of the pixel region 142, and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver circuit region.

[0049]

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The above-described structure allows moisture taken in from the outside or a gas of moisture, hydrogen, or the like generated in the display device to be released to a portion above the second interlayer insulating film 116 of the gate driver circuit portion 140. Accordingly, it is possible to suppress incorporation of a gas of moisture, hydrogen, or the like into the first transistor 101, the second transistor 103, and the third transistor 105.

[0050]

For the second interlayer insulating film 116 formed using an organic insulating material, an organic insulating material with which the planarity is improved is needed so that unevenness of the transistors included in the display device or the like is reduced. This is because the reduction in the unevenness of the transistors or the like leads to an improvement of the display quality of the display device. However, when heating or the like is performed, the organic insulating material releases hydrogen, moisture, or an organic component as a gas.

[0051]

20The above-mentioned gas of hydrogen, moisture, or an organic component is unlikely to be a great problem for a transistor using a silicon film, which is a silicon-based semiconductor material, in the semiconductor layer 108, for example. However, in one embodiment of the present invention, the semiconductor layer 108 is formed using an oxide semiconductor film, and hence the gas from the second interlayer insulating film 116 formed using an organic insulating material needs to be suitably 25released. Note that, when the semiconductor layer 108 is formed using an oxide semiconductor film, the structure in which an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver circuit region has an excellent effect. Further, a similar effect can also be obtained in a transistor with the semiconductor layer 108 formed using a 30 material (e.g., amorphous silicon or crystalline silicon which is a silicon-based semiconductor material) other than an oxide semiconductor.

[0052]

In this embodiment, the third interlayer insulating film 120 formed using an inorganic insulating material over the second interlayer insulating film 116 formed using an organic insulating material is used as a dielectric of the capacitor 107. Further, the third interlayer insulating film 120 formed using an inorganic insulating material can suppress entry of hydrogen, moisture, or the like into the second interlayer insulating film 116 from the outside.

[0053]

However, if the third interlayer insulating film 120 is formed over the second interlayer insulating film 116 over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, the gas released from the organic insulating material in the second interlayer insulating film 116 cannot be dispersed into the outside and enters the second transistor 103 and the third transistor 105. [0054]

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When the above-described gas released from the organic insulating material enters the oxide semiconductor used in the semiconductor layer 108 of the transistors, the gas is taken in as an impurity into the oxide semiconductor film. This changes characteristics of the transistors using the semiconductor layer 108.

[0055]

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In contrast, in the structure as illustrated in FIG. 2 where the third interlayer insulating film 120 is holed over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, that is, the third interlayer insulating film 120 is provided in part of the pixel region 142 and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140, the gate region 140, the gate released from the second interlayer insulating film 116 can be dispersed into the outside.

[0056]

Also in the first transistor 101 used for the pixel region 142, as illustrated in FIG. 2, it is preferable to remove a portion of the third interlayer insulating film 120 formed using an inorganic insulating material, which overlaps with the semiconductor layer 108. Such a structure can suppress entry of the gas released from the second interlayer insulating film 116 formed using an organic insulating material into the first

transistor 101.

[0057]

Here, other components of the display device illustrated in FIGS. 1A to 1C and FIG. 2 are detailed below.

5 [0058]

For the first substrate 102 and the second substrate 152, a glass material such as aluminosilicate glass, aluminoborosilicate glass, or barium borosilicate glass is used. In the mass production, for the first substrate 102 and the second substrate 152, a mother glass with any of the following sizes is preferably used: the 8-th generation (2160 mm × 2460 mm), the 9-th generation (2400 mm × 2800 mm, or 2450 mm × 3050 mm), the 10-th generation (2950 mm × 3400 mm), and the like. High process temperature and a long period of process time drastically shrink the mother glass, it is preferable that the heat process in the manufacturing process be preferably performed at a temperature lower than or equal to 600 °C, further preferably lower than or equal to 450 °C, still further preferably lower than or equal to 350 °C. [0059]

Note that a base insulating film may be provided between the first substrate 102 and the gate electrode 104. As the base insulating film, a silicon oxide film, a 20 silicon oxynitride film, a silicon nitride film, a silicon nitride oxide film, a gallium oxide film, a hafnium oxide film, an yttrium oxide film, an aluminum oxide film, an aluminum oxynitride film, and the like can be given as examples. Note that when a silicon nitride film, a gallium oxide film, a hafnium oxide film, an yttrium oxide film, an aluminum oxide film, or the like is used as the base insulating film, it is possible to 25 suppress entry of impurities such as an alkali metal, water, and hydrogen from the first substrate 102 into the oxide semiconductor layer 108.

[0060]

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For the gate electrode 104, a metal element selected from aluminum, chromium, copper, tantalum, titanium, molybdenum, and tungsten, an alloy containing any of these metal elements as a component, an alloy containing these metal elements in combination, or the like can be used. One or both of the metal elements of manganese

and zirconium may be used. Further, the gate electrode 104 may have a single-layer structure or a stacked-layer structure of two or more layers. A single-layer structure of an aluminum film containing silicon, a two-layer structure in which a titanium film is stacked over an aluminum film, a two-layer structure in which a titanium film is stacked over a titanium nitride film, a two-layer structure in which a tungsten film is stacked over a titanium nitride film, a two-layer structure in which a tungsten film is stacked over a titanium nitride film or a tungsten nitride film, a three-layer structure in which a tungsten film is stacked over a tantalum nitride film or a tungsten nitride film, a three-layer structure in which a titanium film, and a titanium film are stacked in this order, and the like can be given as examples. Alternatively, a film, an alloy film, or a nitride film which contains aluminum and one or more elements selected from titanium, tantalum, tungsten, molybdenum, chromium, neodymium, and scandium may be used. [0061]

The gate electrode 104 can also be formed using a light-transmitting conductive material such as indium tin oxide, indium oxide containing tungsten oxide, indium zinc oxide containing tungsten oxide, indium oxide containing titanium oxide, indium tin oxide containing titanium oxide, indium zinc oxide, or indium tin oxide to which silicon oxide is added. It is also possible to use a stacked-layer structure formed using the above light-transmitting conductive material and the above metal element. [0062]

20Further, between the gate electrode 104 and the gate insulating film 106, an In-Ga-Zn-based oxynitride semiconductor film, an In-Sn-based oxynitride semiconductor film, an In-Ga-based oxynitride semiconductor film, an In-Zn-based oxynitride semiconductor film, a Sn-based oxynitride semiconductor film, an In-based oxynitride semiconductor film, a film of a metal nitride (such as InN or ZnN), or the like may be provided. These films each have a work function higher than or equal to 5 25eV, preferably higher than or equal to 5.5 eV, which is higher than the electron affinity of the oxide semiconductor. Hence, the threshold voltage of the transistor using the oxide semiconductor can be shifted in the positive direction, and a so-called normally-off switching element can be achieved. For example, as an In-Ga-Zn-based oxynitride semiconductor film, an In-Ga-Zn-based oxynitride semiconductor film 30 having a higher nitrogen concentration than at least the semiconductor layer 108, specifically an In-Ga-Zn-based oxynitride semiconductor film having a nitrogen

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concentration higher than or equal to 7 at.%, is used. [0063]

As the gate insulating film 106, a single layer or a stacked layer of, for example, a silicon oxide film, a silicon oxynitride film, a silicon nitride oxide film, a silicon nitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, a Ga-Zn-based metal oxide film, or the like can be provided. To improve the properties of the interface with the semiconductor layer 108, at least a region of the gate insulating film 106, which is in contact with the semiconductor layer 108, is preferably formed with an oxide insulating film.

10 [0064]

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Further, by providing an insulating film having a blocking effect against oxygen, hydrogen, water, and the like over the gate insulating film 106, it is possible to prevent outward diffusion of oxygen from the semiconductor layer 108 and entry of hydrogen, water, or the like into the semiconductor layer 108 from the outside. For the insulating film having a blocking effect against oxygen, hydrogen, water, and the like, an aluminum oxide film, an aluminum oxynitride film, a gallium oxide film, a gallium oxynitride film, an yttrium oxide film, an yttrium oxynitride film, a hafnium oxide film, and a hafnium oxynitride film can be given as examples.

[0065]

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20 The gate insulating film 106 can be formed as a gate insulating film which has few defects and releases less hydrogen and less ammonia, when formed to have a stacked structure in which a silicon nitride film having few defects is used as a first silicon nitride film, a silicon nitride film which releases less hydrogen and less ammonia is provided as a second silicon nitride film over the first silicon nitride film, and an 25 oxide insulating film is provided over the second silicon nitride film. Thus, transfer of hydrogen and nitrogen, which are contained in the gate insulating film 106, to the semiconductor layer 108 can be suppressed.

[0066]

The use of a silicon nitride film as the gate insulating film 106 has the 30 following effect. As compared with a silicon oxide film, a silicon nitride film has a high dielectric constant and needs a large thickness to obtain an equivalent capacitance. Thus, the physical thickness of the gate insulating film can be increased. Accordingly, a reduction in the withstand voltages of the first transistor 101, the second transistor 103, and the third transistor 105 is suppressed and the withstand voltages are improved, so that an electrostatic breakdown of the transistors used for the display device can be suppressed.

5 [0067]

Further, in the case where copper is used for the gate electrode 104 and a silicon nitride film is used as the gate insulating film 106 in contact with the gate electrode 104, the number of the ammonia molecules released from the silicon nitride film by heating is preferably reduced as much as possible so that reaction between copper and the ammonia molecules can be suppressed.

[0068]

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In the transistor using an oxide semiconductor film for the semiconductor layer 108, the trap level (also referred to as interface level) at the interface between the oxide semiconductor film and the gate insulating film or in the gate insulating film shifts the threshold voltage of the transistor typically in the negative direction, and increases the subthreshold swing (S value), which refers to a gate voltage needed for changing the drain current by an order of magnitude when the transistor is turned on. This results in the problem of variation in the electrical characteristics among transistors. Therefore, with the use of a silicon nitride film having few defects as the gate insulating film, the shift of the threshold voltage in the negative direction and the variation in the electrical

20 shift of the threshold voltage in the negative direction and the variation in the electric characteristics among transistors can be reduced.
[0069]

The gate insulating film 106 may be formed using a high-k material such as hafnium silicate (HfSiO<sub>x</sub>), hafnium silicate to which nitrogen is added (HfSi<sub>x</sub>O<sub>y</sub>N<sub>z</sub>), hafnium aluminate to which nitrogen is added (HfAl<sub>x</sub>O<sub>y</sub>N<sub>z</sub>), hafnium oxide, or yttrium oxide, so that gate leakage of the transistor can be reduced.

[0070]

The thickness of the gate insulating film 106 is preferably greater than or equal to 5 nm and less than or equal to 400 nm, more preferably greater than or equal to 10 nm and less than or equal to 300 nm, still more preferably greater than or equal to 50 nm and less than or equal to 250 nm.

[0071]

An oxide semiconductor is used for the semiconductor layer 108, which preferably contains at least indium (In) or zinc (Zn) or both In and Zn. In order to reduce variation in the electrical characteristics among the transistors using the oxide semiconductor, the oxide semiconductor preferably contains one or more of stabilizers in addition to In or Zn.

[0072]

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Examples of the stabilizer are gallium (Ga), tin (Sn), hafnium (Hf), aluminum (Al), zirconium (Zr), and the like. Another examples of the stabilizer are lanthanoids such as lanthanum (La), cerium (Ce), praseodymium (Pr), neodymium (Nd), samarium (Sm), europium (Eu), gadolinium (Gd), terbium (Tb), dysprosium (Dy), holmium (Ho), erbium (Er), thulium (Tm), ytterbium (Yb), and lutetium (Lu). [0073]

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As the oxide semiconductor, for example, any of the following can be used: indium oxide, tin oxide, zinc oxide, an In-Zn-based metal oxide, a Sn-Zn-based metal 15oxide, an Al-Zn-based metal oxide, a Zn-Mg-based metal oxide, a Sn-Mg-based metal oxide, an In-Mg-based metal oxide, an In-Ga-based metal oxide, an In-W-based metal oxide, an In-Ga-Zn-based metal oxide (also referred to as IGZO), an In-Al-Zn-based metal oxide, an In-Sn-Zn-based metal oxide, a Sn-Ga-Zn-based metal oxide, an Al-Ga-Zn-based metal oxide, a Sn-Al-Zn-based metal oxide, an In-Hf-Zn-based metal oxide, an In-La-Zn-based metal oxide, an In-Ce-Zn-based metal oxide, an 20In-Pr-Zn-based metal oxide, an In-Nd-Zn-based metal oxide, an In-Sm-Zn-based metal oxide, an In-Eu-Zn-based metal oxide, an In-Gd-Zn-based metal oxide, an In-Tb-Zn-based metal oxide, an In-Dy-Zn-based metal oxide, an In-Ho-Zn-based metal oxide, an In-Er-Zn-based metal oxide, an In-Tm-Zn-based metal oxide, an In-Yb-Zn-based metal oxide, an In-Lu-Zn-based metal oxide, an In-Sn-Ga-Zn-based 25metal oxide, an In-Hf-Ga-Zn-based metal oxide, an In-Al-Ga-Zn-based metal oxide, an In-Sn-Al-Zn-based metal oxide, an In-Sn-Hf-Zn-based metal oxide, and an In-Hf-Al-Zn-based metal oxide.

[0074]

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Note that, for example, an In-Ga-Zn-based metal oxide means an oxide containing In, Ga, and Zn as its main components and there is no particular limitation on the ratio of In to Ga and Zn. The In-Ga-Zn-based metal oxide may contain a metal

element other than In, Ga, and Zn.

[0075]

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Alternatively, a material represented by  $InMO_3(ZnO)_m$  (*m* is larger than 0 and not an integer) may be used as the oxide semiconductor. Note that *M* represents one or more metal elements selected from Ga, Fe, Mn, and Co. Alternatively, as the oxide semiconductor, a material represented by  $In_2SnO_5(ZnO)_n$  (*n* is an integer greater than 0) may be used.

[0076]

For example, it is possible to use an In-Ga-Zn-based metal oxide containing In, 10 Ga, and Zn at an atomic ratio of 1:1:1 (= 1/3:1/3:1/3), 2:2:1 (= 2/5:2/5:1/5), or 3:1:2 (= 1/2:1/6:1/3), or any of oxides whose composition is in the neighborhood of the above compositions. Alternatively, an In-Sn-Zn-based metal oxide containing In, Sn, and Zn at an atomic ratio of 1:1:1 (= 1/3:1/3:1/3), 2:1:3 (= 1/3:1/6:1/2), or 2:1:5 (= 1/4:1/8:5/8) may be used. Note that the proportion of each atom in the atomic ratio of the oxide 15 semiconductor film may vary within a range of  $\pm 20$  % as an error.

[0077]

However, the composition is not limited to those described above, and a material having the appropriate composition may be used depending on required semiconductor characteristics and electrical characteristics (e.g., field-effect mobility, threshold voltage, and variation). In order to obtain required semiconductor characteristics, it is preferable that the carrier density, the impurity concentration, the defect density, the atomic ratio of a metal element to oxygen, the interatomic distance, the density, and the like be set appropriate.

[0078]

For example, high mobility can be obtained relatively easily in the case where an In-Sn-Zn-based metal oxide is used. Also in the case where an In-Ga-Zn-based metal oxide is used, the field-effect mobility can be increased by reducing the defect density in a bulk.

[0079]

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Further, the energy gap of a metal oxide that can be used for the semiconductor layer 108 is greater than or equal to 2 eV, preferably greater than or equal to 2.5 eV,

more preferably greater than or equal to 3 eV. With the oxide semiconductor film having such a wide energy gap, the off-state current of the transistor can be reduced. [0080]

Next, a structure of the oxide semiconductor film that can be used as the semiconductor layer 108 is described below.

[0081]

An oxide semiconductor film is roughly classified into a non-single-crystal oxide semiconductor film and a single-crystal oxide semiconductor film. The non-single-crystal oxide semiconductor film includes any of a c-axis aligned crystalline oxide semiconductor (CAAC-OS) film, a polycrystalline oxide semiconductor film, a microcrystalline oxide semiconductor film, an amorphous oxide semiconductor film, and the like.

[0082]

Here, the CAAC-OS film is described.

15 [0083]

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The CAAC-OS film is one of oxide semiconductor films including a plurality of crystal parts, and most of each crystal part fits inside a cube whose one side is less than 100 nm. Thus, there is a case where a crystal part included in the CAAC-OS film fits a cube whose one side is less than 10 nm, less than 5 nm, or less than 3 nm.

20 [0084]

In a transmission electron microscope (TEM) image of the CAAC-OS film, a boundary between crystal parts, that is, a grain boundary is not clearly confirmed. Thus, in the CAAC-OS film, a reduction in electron mobility due to the grain boundary is less likely to occur.

25 [0085]

According to the TEM image of the CAAC-OS film observed in a direction substantially parallel to a sample surface (cross-sectional TEM image), metal atoms are arranged in a layered manner in the crystal parts. Each metal atom layer has a morphology reflected by a surface over which the CAAC-OS film is formed (hereinafter,

30 a surface over which the CAAC-OS film is formed is referred to as a formation surface) or a top surface of the CAAC-OS film, and is arranged in parallel to the formation surface or the top surface of the CAAC-OS film. [0086]

On the other hand, according to the TEM image of the CAAC-OS film observed in a direction substantially perpendicular to the sample surface (plan TEM image), metal atoms are arranged in a triangular or hexagonal configuration in the crystal parts. However, there is no regularity of arrangement of metal atoms between different crystal parts.

[0087]

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In this specification, a term "parallel" indicates that the angle formed between two straight lines is greater than or equal to  $-10^{\circ}$  and less than or equal to  $10^{\circ}$ , and accordingly also includes the case where the angle is greater than or equal to  $-5^{\circ}$  and less than or equal to  $5^{\circ}$ . In addition, a term "perpendicular" indicates that the angle formed between two straight lines is greater than or equal to  $80^{\circ}$  and less than or equal to  $100^{\circ}$ , and accordingly includes the case where the angle is greater than or equal to  $85^{\circ}$  and less than or equal to  $95^{\circ}$ .

15 [0088]

From the results of the cross-sectional TEM image and the plan TEM image, alignment is found in the crystal parts in the CAAC-OS film. [0089]

A CAAC-OS film is subjected to structural analysis with an X-ray diffraction 20 (XRD) apparatus. For example, when the CAAC-OS film including an InGaZnO<sub>4</sub> crystal is analyzed by an out-of-plane method, a peak appears frequently when the diffraction angle  $(2\theta)$  is around 31°. This peak is derived from the (009) plane of the InGaZnO<sub>4</sub> crystal, which indicates that crystals in the CAAC-OS film have c-axis alignment, and that the c-axes are aligned in a direction substantially perpendicular to 25 the formation surface or the top surface of the CAAC-OS film.

[0090]

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On the other hand, when the CAAC-OS film is analyzed by an in-plane method in which an X-ray enters a sample in a direction perpendicular to the c-axis, a peak appears frequently when  $2\theta$  is around 56°. This peak is derived from the (110) plane of the InGaZnO<sub>4</sub> crystal. Here, analysis ( $\phi$  scan) is performed under conditions where the sample is rotated around a normal vector of a sample surface as an axis ( $\phi$  axis) with  $2\theta$  fixed at around 56°. In the case where the sample is a single-crystal oxide semiconductor film of InGaZnO<sub>4</sub>, six peaks appear. The six peaks are derived from crystal planes equivalent to the (110) plane. On the other hand, in the case of a CAAC-OS film, a peak is not clearly observed even when  $\phi$  scan is performed with  $2\theta$  fixed at around 56°.

[0091]

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According to the above results, in the CAAC-OS film having c-axis alignment, while the directions of a-axes and b-axes are different between crystal parts, the c-axes are aligned in a direction parallel to a normal vector of a formation surface or a normal vector of a top surface. Thus, each metal atom layer arranged in a layered manner observed in the cross-sectional TEM image corresponds to a plane parallel to the a-b plane of the crystal.

[0092]

Note that the crystal part is formed concurrently with deposition of the CAAC-OS film or is formed through crystallization treatment such as heat treatment. As described above, the c-axis of the crystal is aligned in a direction parallel to a normal vector of a formation surface or a normal vector of a top surface of the CAAC-OS film. Thus, for example, in the case where a shape of the CAAC-OS film is changed by etching or the like, the c-axis might not be necessarily parallel to a normal vector of a

20 formation surface or a normal vector of a top surface of the CAAC-OS film. [0093]

Further, the degree of crystallinity in the CAAC-OS film is not necessarily uniform. For example, in the case where crystal growth leading to the CAAC-OS film occurs from the vicinity of the top surface of the film, the degree of the crystallinity in the vicinity of the top surface is higher than that in the vicinity of the formation surface in some cases. Further, when an impurity is added to the CAAC-OS film, the crystallinity in a region to which the impurity is added is changed, and the degree of crystallinity in the CAAC-OS film varies depends on regions.

[0094]

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Note that when the CAAC-OS film with an InGaZnO<sub>4</sub> crystal is analyzed by an out-of-plane method, a peak of  $2\theta$  may also be observed at around 36°, in addition to

the peak of  $2\theta$  at around  $31^\circ$ . The peak of  $2\theta$  at around  $36^\circ$  is derived from the (311) plane of a ZnGa<sub>2</sub>O<sub>4</sub> crystal; such a peak indicates that a ZnGa<sub>2</sub>O<sub>4</sub> crystal is included in part of the CAAC-OS film including the InGaZnO<sub>4</sub> crystal. It is preferable that in the CAAC-OS film, a peak of  $2\theta$  appear at around  $31^\circ$  and a peak of  $2\theta$  do not appear at around  $36^\circ$ .

[0095]

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The CAAC-OS film is an oxide semiconductor film having a low impurity concentration. The impurity is any of elements which are not the main components of the oxide semiconductor film and includes hydrogen, carbon, silicon, a transition metal element, and the like. In particular, an element (e.g., silicon) which has higher bonding strength with oxygen than a metal element included in the oxide semiconductor film causes disorder of atomic arrangement in the oxide semiconductor film because the element deprives the oxide semiconductor film of oxygen, thereby reducing crystallinity. Further, a heavy metal such as iron or nickel, argon, carbon dioxide, and the like have a

15 large atomic radius (or molecular radius); therefore, when any of such elements is contained in the oxide semiconductor film, the element causes disorder of the atomic arrangement of the oxide semiconductor film, thereby reducing crystallinity. Note that the impurity contained in the oxide semiconductor film might become a carrier trap or a source of carriers.

20 [0096]

The CAAC-OS film is an oxide semiconductor film having a low density of defect states. For example, oxygen vacancies in the oxide semiconductor film serve as carrier traps or serve as carrier generation sources when hydrogen is captured therein. [0097]

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The state in which impurity concentration is low and density of defect states is low (few oxygen vacancies) is referred to as "highly purified intrinsic" or "substantially highly purified intrinsic". A highly purified intrinsic or substantially highly purified intrinsic oxide semiconductor film has few carrier generation sources, and thus has a low carrier density. Thus, a transistor using the oxide semiconductor film rarely has a negative threshold voltage (rarely has normally-on characteristics). A highly purified intrinsic or substantially highly purified intrinsic oxide semiconductor film has few carrier traps. Accordingly, the transistor using the oxide semiconductor film little changes in electrical characteristics and high reliability. Note that charges trapped by the carrier traps in the oxide semiconductor film takes a long time to be released and may behave like fixed charges. Thus, the transistor using the oxide semiconductor film with a high impurity concentration and a high density of defect states has unstable

electrical characteristics in some cases.

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[0098]

In a transistor using the CAAC-OS film, change in electrical characteristics due to irradiation with visible light or ultraviolet light is small.

10 [0099]

For example, the CAAC-OS film is formed with a polycrystalline oxide semiconductor sputtering target by a sputtering method. When ions collide with the sputtering target, a crystal region included in the sputtering target may be separated from the target along an a-b plane, and a sputtered particle having a plane parallel to the

15 a-b plane (a flat-plate-like sputtered particle or a pellet-like sputtered particle) may be separated from the target. In that case, the flat-plate-like sputtered particle reaches a substrate while keeping its crystal state, so that the CAAC-OS film can be formed over the substrate.

[0100]

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For the formation of the CAAC-OS film, the following conditions are preferably used.

[0101]

By reducing the amount of impurities entering the CAAC-OS film during the deposition, the crystal state can be prevented from being broken by the impurities. For example, the concentration of impurities (e.g., hydrogen, water, carbon dioxide, or nitrogen) which exist in the deposition chamber may be reduced. Furthermore, the concentration of impurities in a deposition gas may be reduced. Specifically, a deposition gas whose dew point is -80 °C or lower, preferably -100 °C or lower is used.

30 [0102]

By increasing the substrate heating temperature during the deposition, migration of a sputtered particle occurs after the sputtered particle reaches the substrate.

Specifically, the substrate heating temperature during the deposition is 100 °C to 740 °C, preferably 150 °C to 500 °C. By increasing the substrate heating temperature during the deposition, when the flat-plate-like sputtered particle reaches the substrate, migration occurs on the substrate, so that a flat plane of the sputtered particle is attached to the substrate.

[0103]

Furthermore, it is preferable to reduce plasma damage during the deposition by increasing the proportion of oxygen in the deposition gas and optimizing power. The proportion of oxygen in the deposition gas is 30 vol% or higher, preferably 100 vol%.

10 [0104]

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Alternatively, the oxide semiconductor film used as the semiconductor layer 108 may have a stacked-layer structure of a plurality of oxide semiconductor films. For example, the oxide semiconductor film may have a stacked-layer structure of a first oxide semiconductor film and a second oxide semiconductor film which are formed using metal oxides with different compositions. For example, the first oxide semiconductor film may be formed using any of a two-component metal oxide, a three-component metal oxide, and a four-component metal oxide, while the second oxide semiconductor film is formed using any of these which is different from the oxide for the first oxide semiconductor film.

20 [0105]

Further, the constituent elements of the first oxide semiconductor film and the second oxide semiconductor film may be made the same while the composition of the constituent elements of the first oxide semiconductor film and the second oxide semiconductor film is made different. For example, the first oxide semiconductor film 25 may contain In, Ga, and Zn at an atomic ratio of 1:1:1, while the second oxide semiconductor film contains In, Ga, and Zn at an atomic ratio of 3:1:2. Alternatively, the first oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 1:3:2, while the second oxide semiconductor film contains In, Ga, and Zn at an atomic ratio of 2:1:3. Note that the proportion of each atom in the atomic ratio of the oxide semiconductor film varies within a range of ±20 % as an error.

[0106]

At this time, one of the first oxide semiconductor film and the second oxide semiconductor film, which is closer to the gate electrode (on the channel side), preferably contains In and Ga such that In > Ga. The other oxide semiconductor film, which is farther from the gate electrode (on the back channel side), preferably contains

5 In and Ga such that  $In \leq Ga$ .

[0107]

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Further, the oxide semiconductor film may have a three-layer structure of a first oxide semiconductor film, a second oxide semiconductor film, and a third oxide semiconductor film, in which the constituent elements thereof may be made the same, while the composition of the constituent elements of the first oxide semiconductor film, the second oxide semiconductor film, and the third oxide semiconductor film is made different. For example, the first oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 1:3:2, the second oxide semiconductor film may contain In, Ga, and Zn at an atomic ratio of 3:1:2, and the third oxide semiconductor film may contain

In, Ga, and Zn at an atomic ratio of 1:1:1.[0108]

In an oxide semiconductor film which contains less In than Ga and Zn at an atomic ratio, typically, the first oxide semiconductor film containing In, Ga, and Zn at an atomic ratio of 1:3:2, generation of oxygen vacancies can be more inhibited than in 20 an oxide semiconductor film containing more In than Ga and Zn at an atomic ratio, typically, the second oxide semiconductor film, and an oxide semiconductor film containing Ga, Zn, and In at the same atomic ratio, typically, the third oxide semiconductor film, and accordingly, an increase in carrier density can be suppressed. Further, when the first oxide semiconductor film containing In, Ga, and Zn at an atomic 25 ratio of 1:3:2 has an amorphous structure, the second oxide semiconductor film is likely

to be a CAAC-OS film.

[0109]

Since the constituent elements of the first oxide semiconductor film, the second oxide semiconductor film, and the third oxide semiconductor film are the same, the first oxide semiconductor film has fewer trap levels at the interface with the second oxide semiconductor film. Therefore, when the oxide semiconductor film has the above structure, the amount of change in the threshold voltage of the transistor due to a change over time or photodegradation can be reduced.

[0110]

In an oxide semiconductor, the *s* orbital of heavy metal mainly contributes to carrier transfer, and when the In content in the oxide semiconductor is increased, overlap of the *s* orbitals is likely to be increased. Therefore, an oxide containing In and Ga such that In > Ga has higher carrier mobility than an oxide containing In and Ga such that In  $\leq$  Ga. Further, in Ga, the formation energy of an oxygen vacancy is larger and thus an oxygen vacancy is less likely to occur, than in In; therefore, the oxide containing In and Ga such that In  $\leq$  Ga has more stable characteristics than the oxide containing In and Ga such that In > Ga.

[0111]

By the use of an oxide semiconductor containing In and Ga such that In > Ga for the oxide semiconductor film on the channel side and an oxide semiconductor 15 containing In and Ga such that In ≤ Ga for the oxide semiconductor film on the back channel side, the field-effect mobility and reliability of the transistor can be further improved.

[0112]

- Further, the first oxide semiconductor film, the second oxide semiconductor 20 film, and the third oxide semiconductor film may be formed using oxide semiconductors having different crystallinity. In other words, the oxide semiconductor films may be formed using appropriate combination of a single crystal oxide semiconductor, a polycrystalline oxide semiconductor, a microcrystalline oxide semiconductor, an amorphous oxide semiconductor, and a CAAC-OS. When an 25 amorphous oxide semiconductor is applied to the first oxide semiconductor film or the second oxide semiconductor film, internal stress of the oxide semiconductor film or external stress is reduced, change in characteristics of the transistor is reduced, and reliability of the transistor can be further improved.
  - [0113]

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The thickness of the oxide semiconductor film is preferably greater than or equal to 1 nm and less than or equal to 100 nm, more preferably greater than or equal to

1 nm and less than or equal to 30 nm, still more preferably greater than or equal to 1 nm and less than or equal to 50 nm, further preferably greater than or equal to 3 nm and less than or equal to 20 nm.

[0114]

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The concentration of an alkali metal or an alkaline earth metal in the oxide semiconductor film used for the semiconductor layer 108, which is obtained by secondary ion mass spectrometry (SIMS), is preferably less than or equal to  $1 \times 10^{18}$  atoms/cm<sup>3</sup>, more preferably less than or equal to  $2 \times 10^{16}$  atoms/cm<sup>3</sup>. This is because, when alkali metals or alkaline earth metals are bonded to an oxide semiconductor, some of the alkali metals or the alkaline earth metals generate carriers to increase the off-state current of the transistor.

[0115]

Further, the hydrogen concentration in the oxide semiconductor film used for the semiconductor layer 108, which is obtained by secondary ion mass spectrometry, is lower than  $5 \times 10^{18}$  atoms/cm<sup>3</sup>, preferably less than or equal to  $1 \times 10^{18}$  atoms/cm<sup>3</sup>, more preferably less than or equal to  $5 \times 10^{17}$  atoms/cm<sup>3</sup>, still more preferably less than or equal to  $1 \times 10^{16}$  atoms/cm<sup>3</sup>.

[0116]

Hydrogen contained in the oxide semiconductor film reacts with oxygen bonded to a metal atom to produce water, and a defect is formed in a lattice from which oxygen is released (or a portion from which oxygen is removed). In addition, when part of hydrogen is bonded to oxygen, electrons serving as carriers are generated. Thus, by reducing impurities including hydrogen as much as possible in the step of forming the oxide semiconductor film, the hydrogen concentration in the oxide semiconductor film can be reduced. Hence, by using an oxide semiconductor film in which hydrogen is removed as much as possible in the channel region, a shift of the threshold voltage in the negative direction can be suppressed and variation in electrical characteristics can be reduced. Further, leakage current between a source and a drain of the transistor, typically off-state current, can be reduced.

30 [0117]

Furthermore, the nitrogen concentration in the oxide semiconductor film used

for the semiconductor layer 108 is set to be less than or equal to  $5 \times 10^{18}$  atoms/cm<sup>3</sup>, which can suppress a shift of the threshold voltage in the negative direction and reduce variation in electrical characteristics.

[0118]

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Note that various experiments can prove the low off-state current of a transistor using an oxide semiconductor film which is highly purified by removing hydrogen as much as possible for a channel region. For example, even a transistor with a channel width of  $1 \times 10^6 \,\mu\text{m}$  and a channel length of 10  $\mu\text{m}$  can have an off-state current less than or equal to the measurement limit of a semiconductor parameter analyzer, that is, less than or equal to  $1 \times 10^{-13}$  A when the voltage (drain voltage) between a source 10 electrode and a drain electrode ranges between 1 V and 10 V. In this case, it can be seen that the off-state current corresponding to a value obtained by dividing the off-state current by the channel width of the transistor is 100 zA/mm or less. In addition, a capacitor and a transistor were connected to each other and the off-state current was measured with a circuit in which charge flowing into or from the capacitor was 15In the measurements, a highly purified oxide controlled by the transistor. semiconductor film was used for a channel region of the transistor, and the off-state current of the transistor was measured from a change in the amount of charge of the capacitor per unit time. As a result, it was found that in the case where the voltage between the source electrode and the drain electrode of the transistor was 3 V, a lower 20off-state current of several tens of yoctoamperes per micrometer (yA/µm) was able to be obtained. Thus, the transistor whose channel region is formed using a highly purified oxide semiconductor film has a very low off-state current.

[0119]

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The source electrode 110 and the drain electrode 112 are formed to have a single-layer structure or a stacked-layer structure including, as a conductive material, any of metals such as aluminum, titanium, chromium, nickel, copper, yttrium, zirconium, molybdenum, silver, tantalum, and tungsten or an alloy containing any of these metals as its main component. The following structures can be given as examples: a single-layer structure of an aluminum film containing silicon; a two-layer structure in which a titanium film is stacked over an aluminum film; a two-layer structure in which

a titanium film is stacked over a tungsten film; a two-layer structure in which a copper film is formed over a copper-magnesium-aluminum alloy film; a three-layer structure in which a titanium film or a titanium nitride film, an aluminum film or a copper film, and a titanium film or a titanium nitride film are stacked in this order; and a three-layer structure in which a molybdenum film or a molybdenum nitride film, an aluminum film or a copper film, and a molybdenum film or a molybdenum nitride film are stacked in this order; and the like. Note that a transparent conductive material containing indium oxide, tin oxide, or zinc oxide may be used.

[0120]

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The source electrode 110 and the drain electrode 112 are provided over the semiconductor layer 108 in this embodiment but may be provided between the gate insulating film 106 and the semiconductor layer 108.

[0121]

- As the first interlayer insulating film 114, an oxide insulating film is preferably used so as to improve characteristics of the interface with the oxide semiconductor film used for the semiconductor layer 108. As the first interlayer insulating film 114, a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, a Ga-Zn-based metal oxide film, or the like having a thickness greater than or equal to 150 nm and less than or equal to 400 nm can be used. The first interlayer insulating film 114 may have a stacked-layer structure of an oxide
- insulating film and a nitride insulating film. For example, the first interlayer insulating film 114 can have a stacked-layer structure of a silicon oxynitride film and a silicon nitride film.

[0122]

For the second interlayer insulating film 116, an organic insulating material having heat resistance such as an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, or an epoxy-based resin can be used. Note that the second interlayer insulating film 116 may be formed by stacking a plurality of insulating films formed using any of these materials. With the use of the

30 second interlayer insulating film 116, the unevenness of the first transistor 101 and the like can be reduced.

[0123]

The capacitor electrode 118 can be formed using a light-transmitting conductive material such as indium oxide containing tungsten oxide, indium zinc oxide containing tungsten oxide, indium oxide containing titanium oxide, indium tin oxide (hereinafter referred to as ITO), indium zinc oxide, or indium tin oxide to which silicon oxide is added.

[0124]

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As the third interlayer insulating film 120, an inorganic insulating material such as a silicon oxide film, a silicon oxynitride film, a silicon nitride oxide film, a silicon nitride film, or an aluminum oxide film can be used. In particular, one selected from a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film is preferably used as the third interlayer insulating film 120. By use of one selected from a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film as the third interlayer insulating film 120, release of hydrogen or moisture from the second interlayer insulating film 116 can be suppressed.

15 [0125]

As the pixel electrode 122, a material similar to that of the capacitor electrode 118 can be used. Although materials of the capacitor electrode 118 and the pixel electrode 122 may be the same or different, the use of the same materials is preferred, in which case manufacturing cost can be reduced.

20 [0126]

For the first alignment film 124 and the second alignment film 164, an organic material having heat resistance such as an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, or an epoxy-based resin can be used.

25 [0127]

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For the liquid crystal layer 162, a liquid crystal material such as thermotropic liquid crystal, low-molecular liquid crystal, high-molecular liquid crystal, polymer dispersed liquid crystal, ferroelectric liquid crystal, or anti-ferroelectric liquid crystal can be used. Such a liquid crystal material exhibits a cholesteric phase, a smectic phase, a cubic phase, a chiral nematic phase, an isotropic phase, or the like depending

[0128]

on conditions.

Alternatively, in the case of employing a horizontal electric field mode, liquid crystal exhibiting a blue phase for which an alignment film (the first alignment film 124 or the second alignment film 164) is unnecessary may be used. A blue phase is one of liquid crystal phases, which is generated just before a cholesteric phase changes into an isotropic phase while temperature of cholesteric liquid crystal is increased. Since the 5 blue phase appears only in a narrow temperature range, a liquid crystal composition in which several weight percent or more of a chiral material is mixed is used for the liquid crystal layer in order to improve the temperature range. The liquid crystal composition which includes liquid crystal exhibiting a blue phase and a chiral material has a short response time, and has optical isotropy, which makes the alignment process unneeded 10 and the viewing angle dependence small. In addition, since an alignment film does not need to be provided and rubbing treatment is unnecessary, electrostatic discharge damage caused by the rubbing treatment can be prevented and defects and damage of the liquid crystal display device can be reduced in the manufacturing process. Thus,

15 the liquid crystal display device can be manufactured with improved productivity. A transistor using an oxide semiconductor film has a possibility that the electrical characteristics of the transistor may be significantly changed by the influence of static electricity and deviate from the designed range. Therefore, it is more effective to use a liquid crystal material exhibiting a blue phase for a liquid crystal display device 20 including a transistor using an oxide semiconductor film.

[0129]

The specific resistivity of the liquid crystal material is higher than or equal to  $1 \times 10^9 \ \Omega$ ·cm, preferably higher than or equal to  $1 \times 10^{11} \ \Omega$ ·cm, further preferably higher than or equal to  $1 \times 10^{12} \ \Omega$ ·cm. Note that the specific resistivity in this specification is measured at a temperature of 20 °C.

[0130]

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The size of a storage capacitor formed in the display device is set considering the leakage current of the transistor provided in the pixel region or the like so that charge can be held for a predetermined period. The size of the storage capacitor can be set considering the off-state current of the transistor or the like. In the case where a transistor including an oxide semiconductor layer which is highly purified and in which formation of an oxygen vacancy is inhibited is used and, for example, a liquid crystal element is used as the display element, a storage capacitor having a capacitance that is 1/3 or less, preferably 1/5 or less of the liquid crystal capacitance of each pixel is sufficient.

5 [0131]

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It is possible to reduce the current in an off state (off-state current) of the transistor in this embodiment using the oxide semiconductor which is highly purified and in which formation of an oxygen vacancy is inhibited for the semiconductor layer. Accordingly, an electric signal such as an image signal can be held for a longer period, and a writing interval can be set longer in an on state. Thus, the frequency of refresh operation can be reduced, which leads to the effect of suppressing power consumption. [0132]

As a driving mode of the liquid crystal element 150 in the display device illustrated in FIGS. 1A to 1C and FIG. 2, a twisted nematic (TN) mode, an in-plane-switching (IPS) mode, a fringe field switching (FFS) mode, an axially symmetric aligned micro-cell (ASM) mode, an optical compensated birefringence (OCB) mode, a ferroelectric liquid crystal (FLC) mode, an antiferroelectric liquid crystal (AFLC) mode, or the like can be used. In particular, an FFS mode is preferably used to achieve a wide viewing angle.

20 [0133]

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The display device may be a normally black liquid crystal display device such as a transmissive liquid crystal display device utilizing a vertical alignment (VA) mode. Some examples are given as the vertical alignment mode. For example, a multi-domain vertical alignment (MVA) mode, a patterned vertical alignment (PVA) mode, and the like can be used. Moreover, it is possible to use a method called domain multiplication or multi-domain design, in which a pixel is divided into some regions (subpixels) and molecules are aligned in different directions in their respective regions. [0134]

Although not illustrated in FIGS. 1A to 1C and FIG. 2, an optical member 30 (optical substrate) such as a polarizing member, a retardation member, or an anti-reflection member, and the like may be provided as appropriate. For example, circular polarization may be obtained by using a polarizing substrate and a retardation substrate. In addition, a backlight, a side light, or the like may be used as a light source.

[0135]

As a method for display in the pixel region 142, a progressive method, an interlace method, or the like can be employed. Further, color components controlled in a pixel at the time of color display are not limited to three colors: R, G, and B (R, G, and B correspond to red, green, and blue, respectively). For example, R, G, B, and W (W corresponds to white) or R, G, B, and one or more of yellow, cyan, magenta, and the like can be used. Note that the sizes of display regions may be different between respective dots of color components. Note that the disclosed invention is not limited to the application to a display device for color display; the disclosed invention can also be applied to a display device for monochrome display.

[0136]

Further, a spacer 160 is provided below the second substrate 152 so as to control the distance (cell gap) between the first substrate 102 and the second substrate 152. Note that the cell gap determines the thickness of the liquid crystal layer 162. The spacer 160 may have any shape, like a columnar spacer or a spherical spacer obtained by selective etching of an insulating film, or the like.

[0137]

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The colored film 153 functions as a so-called color filter. For the colored film 153, a material having the property of transmitting light in a specific wavelength band is used, and an organic resin film including a dye or a pigment, or the like can be used. [0138]

The light-blocking film 154 functions as a so-called black matrix. As the light-blocking film 154, as long as it can block light emitted from adjacent pixels, any film such as a metal film or an organic resin film including a black dye or a black pigment can be used. In this embodiment, the light-blocking film 154 formed of an organic resin film including a black pigment is exemplified.

[0139]

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The organic protective insulating film 156 is provided so that an ionic substance included in the colored film 153 is not dispersed into the liquid crystal layer 162. However, the organic protective insulating film 156 is not limited to this

structure and not necessarily provided.

[0140]

As the sealant 166, a thermosetting resin, an ultraviolet curable resin, or the like can be used. A region sealed by the sealant 166 illustrated in FIG. 2 has a structure in which the gate insulating film 106, an electrode 113 formed in the same step as the 5 source electrode 110 and the drain electrode 112, the first interlayer insulating film 114, and the second interlayer insulating film 116 are provided between the first substrate 102 and the second substrate 152; however, this structure is an example and does not limit the present invention. For example, the structure may be a structure in which only the gate insulating film 106 and the first interlayer insulating film 114 are provided. 10 Entry of moisture or the like from the outside is more prevented when the second interlayer insulating film 116 is removed, and therefore, part of the second interlayer insulating film 116 is preferably removed or recessed as illustrated in FIG. 2. [0141]

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As described above, the display device described in this embodiment includes the transistors formed in the pixel region and the driver circuit region, the first interlayer insulating film formed over the transistors, the second interlayer insulating film formed over the first interlayer insulating film, and the third interlayer insulating film formed over the second interlayer insulating film. In this structure, the third interlayer 20insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver circuit region. This structure can suppress entry of the gas released from the second interlayer insulating film into the transistor side, which can increase the reliability of the display device. Further, the first interlayer insulating film can suppress entry of the gas released from the second interlayer insulating film into the transistor side. 25

[0142]

This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[0143]

(Embodiment 2) 30

> In this embodiment, a display device using an organic EL panel is described as one mode of a display device with reference to FIG. 3 and FIG. 4. Note that portions

that are similar to the portions in Embodiment 1 are denoted by the same reference numerals, and detailed description thereof is omitted.

[0144]

FIG. 3 and FIG. 4 illustrate a top view and a cross-sectional view, respectively,
of the display device as one mode of a display device. Note that FIG. 4 corresponds to a cross-sectional view along the line X2-Y2 in FIG. 3.

[0145]

In the display device illustrated in FIG. 3, a sealant 166 is provided so as to surround a pixel region 142, and gate driver circuit portions 140 and a source driver circuit portion 144, which are driver circuit regions that are located outside and adjacent to the pixel region 142 and supply signals to the pixel region 142, which are provided over a first substrate 102; sealing is performed with a second substrate 152. The second substrate 152 is provided so as to face the first substrate 102 where the pixel region 142, the gate driver circuit portions 140, and the source driver circuit portion 144 are provided. Thus, the pixel region 142, the gate driver circuit portions 140, and the

source driver circuit portion 144 are sealed together with a display element by the first substrate 102, the sealant 166, and the second substrate 152.

[0146]

As described above, some or all of the driver circuits which include transistors can be formed over the first substrate 102 where the pixel region 142 is formed, so that a system-on-panel can be obtained. Further, the whole or part of a driver circuit including a thin film transistor can be formed over the same substrate as a pixel region, so that a system-on-panel can be obtained.

[0147]

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Next, structures of the pixel region 142 and the gate driver circuit portion 140 are detailed below using FIG. 4 corresponding to a cross-sectional view along the line X2-Y2 in FIG. 3.

[0148]

In the pixel region 142, the first transistor 101 is formed with the first substrate 30 102, the gate electrode 104 formed over the first substrate 102, a gate insulating film 106 formed over the gate electrode 104, the semiconductor layer 108 which is in contact with the gate insulating film 106 and provided to overlap with the gate electrode 104, the source electrode 110 and the drain electrode 112 formed over the gate insulating film 106 and the semiconductor layer 108. [0149]

In addition, the pixel region 142 includes the following: the first interlayer insulating film 114 formed using an inorganic insulating material over the first transistor 101, specifically over the gate insulating film 106, the semiconductor layer 108, the source electrode 110, and the drain electrode 112; the second interlayer insulating film 116 formed using an organic insulating material over the first interlayer insulating film 114; the third interlayer insulating film 120 formed using an inorganic insulating 10 material over the second interlayer insulating film 116; a partition 126 formed over the second interlayer insulating film 116 and the third interlayer insulating film 120; the pixel electrode 122 formed over the third interlayer insulating film 120; the pixel electrode 122 formed over the third interlayer insulating film 120; and the partition 126; a light-emitting layer 128 formed over the pixel electrode 122; and an electrode 130 formed over the light-emitting layer 128.

15 [0150]

Note that the pixel electrode 122, the light-emitting layer 128, and the electrode 130 form a light-emitting element 170.

[0151]

- In addition, a filler 172 is provided over the light-emitting element 170, 20 specifically over the electrode 130. Over the filler 172, the second substrate 152 is provided. In other words, the light-emitting element 170 and the filler 172 are interposed between the first substrate 102 and the second substrate 152. [0152]
- In the gate driver circuit portion 140, the second transistor 103 and the third transistor 105 are formed with the first substrate 102, the gate electrode 104 formed over the first substrate 102, the gate insulating film 106 formed over the gate electrode 104, the semiconductor layer 108 which is in contact with the gate insulating film 106 and provided to overlap with the gate electrode 104, the source electrode 110 and the drain electrode 112 formed over the gate insulating film 106 and the semiconductor 30 layer 108.

[0153]

In addition, the gate driver circuit portion 140 includes the first interlayer

insulating film 114 formed using an inorganic insulating material over the second transistor 103 and the third transistor 105, specifically over the gate insulating film 106, the semiconductor layer 108, the source electrode 110, and the drain electrode 112, and the second interlayer insulating film 116 formed using an organic insulating material over the first interlayer insulating film 114.

[0154]

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Thus, the third interlayer insulating film 120 is provided in part of an upper region of the pixel region 142, and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver circuit region.

[0155]

The above-described structure allows moisture taken in from the outside or a gas of moisture, hydrogen, or the like generated in the display device to be released to a portion above the second interlayer insulating film 116 of the gate driver circuit portion

15 140. Accordingly, it is possible to suppress incorporation of a gas of moisture, hydrogen, or the like into the first transistor 101, the second transistor 103, and the third transistor 105.

[0156]

- For the second interlayer insulating film 116 formed using an organic insulating material, an organic insulating material with which the planarity is improved is needed so that unevenness of the transistors included in the display device or the like is reduced. This is because the reduction in the unevenness of the transistors or the like leads to an improvement of the display quality of the display device. However, when heating or the like is performed, the organic insulating material releases hydrogen,
- 25 moisture, or an organic component as a gas.

[0157]

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The above-mentioned gas of hydrogen, moisture, or an organic component is unlikely to be a great problem for a transistor using a silicon film, which is a silicon-based semiconductor material, in the semiconductor layer 108, for example. However, in one embodiment of the present invention, the semiconductor layer 108 is formed using an oxide semiconductor film, and hence the gas from the second interlayer insulating film 116 formed using an organic insulating material needs to be suitably released. Note that, when the semiconductor layer 108 is formed using an oxide semiconductor film, the structure in which an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit portion 140 which is a driver circuit region has an excellent effect. Further, a similar effect can also be obtained in a transistor with the semiconductor layer 108 formed using a material (e.g., amorphous silicon or crystalline silicon which is a silicon-based semiconductor material) other than an oxide semiconductor.

[0158]

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In this embodiment, the third interlayer insulating film 120 over the second interlayer insulating film 116 is formed in order to suppress entry of the gas released from the second interlayer insulating film 116 into the light-emitting element 170 side and/or to improve adhesion between the pixel electrode 122 and the second interlayer insulating film 116. Such a structure can suppress entry of the gas of hydrogen, moisture, or the like from the second interlayer insulating film 116 into the light-emitting element 170 side.

[0159]

However, if the third interlayer insulating film 120 is formed over the second interlayer insulating film 116 over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, the gas released from the organic

20 insulating material in the second interlayer insulating film 116 cannot be dispersed into the outside and enters the second transistor 103 and the third transistor 105. [0160]

When the above-described gas enters the oxide semiconductor used in the semiconductor layer 108 of the transistors, the gas is taken in as an impurity into the oxide semiconductor film. This changes characteristics of the transistors using the semiconductor layer 108.

[0161]

In contrast, in the structure as illustrated in FIG. 4 where the third interlayer insulating film 120 is holed over the second transistor 103 and the third transistor 105 which are used for the gate driver circuit portion 140, that is, the third interlayer insulating film 120 is provided in part of the pixel region 142 and an edge portion of the third interlayer insulating film 120 is formed on an inner side than the gate driver circuit

portion 140, the gas released from the second interlayer insulating film 116 can be dispersed into the outside.

[0162]

Also in the first transistor 101 used for the pixel region 142, as illustrated in 5 FIG. 4, it is preferable to remove a portion of the third interlayer insulating film 120 formed using an inorganic insulating material, which overlaps with the semiconductor layer 108. Such a structure can suppress entry of the gas released from the second interlayer insulating film 116 formed using an organic insulating material into the first transistor 101.

10 [0163]

Here, other components of the display device illustrated in FIG. 3 and FIG. 4 which differ in structure from those in the display device described in Embodiment 1 are detailed below.

[0164]

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The partition 126 is formed using an organic insulating material or an inorganic insulating material. It is particularly preferable that the partition 126 be formed using a photosensitive resin material to have an opening over the pixel electrode 122 so that a sidewall of the opening is formed as a tilted surface with continuous curvature. [0165]

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As the filler 172, an ultraviolet curable resin or a thermosetting resin can be used as well as an inert gas such as nitrogen or argon. For example, polyvinyl chloride (PVC), an acrylic-based resin, a polyimide-based resin, an epoxy-based resin, a silicone-based resin, polyvinyl butyral (PVB), or ethylene vinyl acetate (EVA) can be used. For example, nitrogen is used as the filler 172.

25 [0166]

As the light-emitting element 170, a light-emitting element utilizing electroluminescence can be used. Light-emitting elements utilizing electroluminescence are classified according to whether a light-emitting material is an organic compound or an inorganic compound. In general, the former is referred to as an organic EL element, and the latter is referred to as an inorganic EL element. Here,

an organic EL element is used.

[0167]

In an organic EL element, by application of a voltage to a light-emitting element, electrons and holes are separately injected from a pair of electrodes (the pixel electrode 122 and the electrode 130) into a layer containing a light-emitting organic compound, and current flows. The carriers (electrons and holes) are recombined, and thus, the light-emitting organic compound is excited. The light-emitting organic compound returns to a ground state from the excited state, thereby emitting light. Owing to such a mechanism, this light-emitting element is referred to as a current-excitation light-emitting element.

[0168]

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10 To extract light from the light-emitting element 170, at least one of the electrodes (the pixel electrode 122 or the electrode 130) has a light-transmitting property. The light-emitting element can employ any of the following emission structures: a top emission structure in which light emission is extracted through the surface opposite to the first substrate 102; a bottom emission structure in which light emission is extracted 15 through the surface on the first substrate 102 side; or a dual emission structure in which light emission is extracted through the surface opposite to the first substrate 102 and the surface on the first substrate 102 side.

[0169]

A protective film may be formed over the electrode 130 and the partition 126 in order to prevent oxygen, hydrogen, moisture, carbon dioxide, or the like from entering the light-emitting element 170. As the protective film, a silicon nitride film, a silicon nitride oxide film, or the like can be formed. In addition, in a space which is formed with the first substrate 102, the second substrate 152, and the sealant 166, the filler 172 is provided for sealing. It is preferable that a panel be packaged (sealed) with a 25 protective film (such as a laminate film or an ultraviolet curable resin film) or a cover material with high air-tightness and little degasification so that the panel is not exposed to the outside air, in this manner.

[0170]

In addition, if needed, an optical film, such as a polarizing plate, a circularly 30 polarizing plate (including an elliptically polarizing plate), a retardation plate (a quarter-wave plate or a half-wave plate), or a color filter, may be provided as appropriate on a light-emitting surface of the light-emitting element 170. Further, the polarizing plate or the circularly polarizing plate may be provided with an anti-reflection film. For example, anti-glare treatment by which reflected light can be diffused by projections and depressions on the surface so as to reduce the glare can be performed.

5 [0171]

For the light-emitting layer 128, it is preferable to use organic compounds including a guest material which is a light-emitting material converting triplet excitation energy to light emission and a host material the triplet excitation energy level ( $T_1$  level) of which is higher than that of the guest material. Note that the light-emitting layer 128 may have a structure in which a plurality of light-emitting layers is stacked (so-called tandem structure) or a structure including a functional layer (e.g., a hole-injection layer, a hole-transport layer, an electron-transport layer, an electron-injection layer, or a charge generation layer) other than a light-emitting layer. [0172]

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For the sealant 166, a material containing a glass material, such as a glass body formed by melting and solidifying powder glass (also called frit glass), may be used in addition to any of the materials described in Embodiment 1. Such a material can effectively suppress permeation of moisture and gas. Hence, when the light-emitting element 170 is used as the display element, deterioration of the light-emitting element 170 can be suppressed, so that the display device can have very high reliability.

[0173]

A region sealed by the sealant 166 illustrated in FIG. 4 has a structure in which only the gate insulating film 106 is provided between the first substrate 102 and the second substrate 152; however, this structure is an example and does not limit the present invention. For example, the structure may be a structure in which the gate insulating film 106 and the first interlayer insulating film 114 are stacked. Note that in a preferred structure, the sealant 166 is placed in a region where the second interlayer insulating film 116 is removed, as illustrated in FIG. 4.

[0174]

As described above, the display device described in this embodiment includes the transistors formed in the pixel region and the driver circuit region, the first interlayer insulating film formed over the transistors, the second interlayer insulating film formed over the first interlayer insulating film, and the third interlayer insulating film formed over the second interlayer insulating film. In this structure, the third interlayer insulating film is provided in part of an upper region of the pixel region, and an edge portion of the third interlayer insulating film is formed on an inner side than the driver

5 circuit region. This structure can suppress entry of the gas released from the second interlayer insulating film into the transistor side, which can increase the reliability of the display device. Further, the first interlayer insulating film can suppress entry of the gas released from the second interlayer insulating film into the transistor side. [0175]

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This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[0176]

(Embodiment 3)

In this embodiment, an image sensor that can be used in combination with any of the display devices described in the above embodiments is described.

[0177]

An example of a display device with an image sensor is illustrated in FIG. 5A. FIG. 5A illustrates an equivalent circuit of a pixel of the display device with an image sensor.

20 [0178]

One electrode of a photodiode element 4002 is electrically connected to a reset signal line 4058, and the other electrode of the photodiode element 4002 is electrically connected to a gate electrode of a transistor 4040. One of a source electrode and a drain electrode of the transistor 4040 is electrically connected to a power supply potential (VDD), and the other of the source electrode and the drain electrode of the transistor 4056. A gate electrode of the transistor 4056 is electrically connected to a gate selection line 4057, and the other of the source electrode and the drain electrode and the drain electrode of the transistor 4056 is electrically connected to an output signal line 4051.

30 4071.

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[0179]

A first transistor 4030 is a transistor for pixel switching. One of a source

electrode and a drain electrode of the first transistor 4030 is electrically connected to a video signal line 4059, and the other of the source electrode and the drain electrode of the first transistor 4030 is electrically connected to a capacitor 4032 and a liquid crystal element 4034. A gate electrode of the first transistor 4030 is electrically connected to a gate line 4036.

[0180]

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Note that structures of the first transistor 4030, the capacitor 4032, and the liquid crystal element 4034 can be similar to those in the display device described in Embodiment 1.

10 [0181]

FIG. 5B illustrates a cross section of part of a pixel of the display device with an image sensor and a cross section of a driver circuit portion. In a pixel region 5042, the photodiode element 4002 and the first transistor 4030 are provided over a first substrate 4001. In a gate driver circuit portion 5040 which is a driver circuit, a second

15 transistor 4060 and a third transistor 4062 are provided over the first substrate 4001.
[0182]

Over the photodiode element 4002 and the first transistor 4030 in the pixel region 5042, a first interlayer insulating film 4014, a second interlayer insulating film 4016, and a third interlayer insulating film 4020 are formed. Over the second interlayer insulating film 4016, the capacitor 4032 using the third interlayer insulating film 4020 as a dielectric is formed.

[0183]

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Thus, the third interlayer insulating film 4020 is provided in part of the pixel region 5042, and an edge portion of the third interlayer insulating film 4020 is formed on an inner side than the gate driver circuit portion 5040. By this structure, a gas released from the second interlayer insulating film 4016 can be dispersed into the outside. Thus, this structure can suppress entry of the gas released from the second interlayer insulating film 4016 can increase the reliability of the display device.

30 [0184]

In the photodiode element 4002, a lower electrode formed in the same step as the source electrode and the drain electrode of the first transistor 4030 and an upper electrode formed in the same step as a pixel electrode of the liquid crystal element 4034 are included as a pair of electrodes, and a diode is present between the pair of electrodes.

[0185]

5

As a diode that can be used as the photodiode element 4002, a pn-type diode including a stack of a p-type semiconductor film and an n-type semiconductor film, a pin-type diode including a stack of a p-type semiconductor film, an i-type semiconductor film, and an n-type semiconductor film, a Schottky diode, or the like can be used.

10 [0186]

Over the photodiode element 4002, a first alignment film 4024, a liquid crystal layer 4096, a second alignment film 4084, a counter electrode 4088, an organic insulating film 4086, a colored film 4085, a second substrate 4052, and the like are provided.

15 [0187]

20

Note that a pin-type diode has better photoelectric conversion characteristics when the p-type semiconductor film side is used as a light-receiving plane. This is because the hole mobility is lower than the electron mobility. This embodiment shows an example in which light which enters the photodiode element 4002 from a surface of the second substrate 4052 through the colored film 4085, the liquid crystal layer 4096, and the like is converted into an electric signal, but this example does not limit the present invention. For example, the colored film 4085 may be omitted.

[0188]

The photodiode element 4002 described in this embodiment utilizes flow of current between the pair of electrodes which is caused by entry of light into the photodiode element 4002. When the photodiode element 4002 detects light, information of an object to be detected can be read.

[0189]

By performing, for example, a step of forming the transistor for the display 30 device and a step for the image sensor at the same time, the productivity of the display device with the image sensor described in this embodiment can be increased. However, any of the display devices described in the above embodiments and the image sensor described in this embodiment may be fabricated over different substrates. Specifically, the image sensor may be fabricated over the second substrate in any of the display devices described in the above embodiments.

[0190]

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This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[0191]

(Embodiment 4)

In this embodiment, an example of a tablet terminal using a display device of one embodiment of the present invention is described.

[0192]

FIGS. 6A to 6C illustrate a foldable tablet terminal. FIG. 6A illustrates the tablet terminal which is unfolded. The tablet terminal includes a housing 8630, and a display portion 8631a, a display portion 8631b, a display mode switch 8034, a power

switch 8035, a power-saving mode switch 8036, a clasp 8033, and an operation switch8038 which are provided on the housing 8630.

[0193]

A display device of one embodiment of the present invention can be applied to the display portion 8631a and the display portion 8631b.

20 [0194]

The whole or part of the display portion 8631a can function as a touch panel and data can be input when a displayed operation key is touched. For example, the display portion 8631a can display keyboard buttons in the whole region to function as a touch panel, and the display portion 8631b may be used as a display screen.

25 [0195]

Like the display portion 8631a, the whole or part of the display portion 8631b can function as a touch panel.

[0196]

Further, a touch panel region of the display portion 8631a and a touch panel 30 region of the display portion 8631b can be touched for input at the same time.

[0197]

With the display mode switch 8034, the display can be switched between a

portrait mode, a landscape mode, and the like, and between monochrome display and color display, for example. With the power-saving mode switch 8036, display luminance can be controlled in accordance with external light detected by an optical sensor incorporated in the tablet terminal. Note that in addition to the optical sensor, another detection device including a sensor such as a gyroscope or an acceleration sensor which is capable of detecting inclination may be included in the tablet terminal.

[0198]

5

Note that FIG. 6A shows an example in which the areas of the display portion 8631a and the display portion 8631b are the same; however, this example does not limit 10 the present invention. The display portion 8631a and the display portion 8631b may differ in area or display quality. For example, one display panel may be capable of higher-definition display than the other display panel.

[0199]

The tablet terminal is closed in FIG. 6B. The tablet terminal includes the housing 8630, and a solar cell 8633 and a charge and discharge control circuit 8634 with which the housing 8630 is provided. In FIG. 6B, a structure including a battery 8635 and a DCDC converter 8636 is illustrated as an example of the charge and discharge control circuit 8634.

[0200]

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Since the tablet terminal is foldable, the housing 8630 can be closed when the tablet terminal is not used. Thus, the display portion 8631a and the display portion 8631b can be protected, which leads to excellent durability and excellent reliability in terms of long-term use.

[0201]

The tablet terminal illustrated in FIGS. 6A to 6C can also have a function of displaying various kinds of data (e.g., a still image, a moving image, and a text image), a function of displaying a calendar, the date, the time, or the like on the display portion, a touch-input function of operating or editing data displayed on the display portion by touch input, a function of controlling processing by various kinds of software (programs), and the like.

[0202]

Electric power obtained with the solar cell 8633 can be used for the operation

of the tablet terminal or can be stored in the battery 8635. Note that the solar cell 8633 can be provided on both surfaces of the housing 8630. When a lithium ion battery is used as the battery 8635, there is an advantage of downsizing or the like. [0203]

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10

The structure and the operation of the charge and discharge control circuit 8634 illustrated in FIG. 6B are described with reference to a block diagram in FIG. 6C. In FIG. 6C, the solar cell 8633, the battery 8635, the DCDC converter 8636, a converter 8637, a switch SW1, a switch SW2, a switch SW3, and a display portion 8631 are illustrated. The battery 8635, the DCDC converter 8636, the converter 8637, and the switches SW1 to SW3 in FIG. 6C correspond to the charge and discharge control circuit 8634 illustrated in FIG. 6B.

[0204]

In the case where power is generated by the solar cell 8633, the voltage of the power generated by the solar cell is raised or lowered by the DCDC converter 8636 so 15 that the power has a voltage for charging the battery 8635. Then, the switch SW1 is turned on and the voltage of the power is stepped up or down by the converter 8637 so as to be the most suitable voltage for the display portion 8631. In addition, when display on the display portion 8631 is not performed, the switch SW1 is turned off and the switch SW2 is turned on so that the battery 8635 is charged.

20 [0205]

25

Note that the solar cell 8633 is described as an example of a power generation means, but this does not limit the present invention. Another power generation means such as a piezoelectric element or a thermoelectric conversion element (Peltier element) may be used instead. For example, the battery may be charged with another charging means, such as a non-contact power transmission module which is capable of charging by transmitting and receiving power wirelessly (without contact), used in combination. [0206]

This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

30 [0207]

(Embodiment 5)

In this embodiment, examples of an electronic device including any of the

display devices described in the above embodiments or the like are described. [0208]

FIG. 7A illustrates a portable information terminal. The portable information terminal illustrated in FIG. 7A includes a housing 9300, a button 9301, a microphone 9302, a display portion 9303, a speaker 9304, and a camera 9305, and has a function as a mobile phone. Any of the display devices and the display device with an image sensor described in the above embodiments can be applied to the display portion 9303.
[0209]

FIG. 7B illustrates a display. The display illustrated in FIG. 7B includes a
housing 9310 and a display portion 9311. Any of the display devices and the display
device with an image sensor which are described in the above embodiments can be
applied to the display portion 9311.

[0210]

FIG. 7C illustrates a digital still camera. The digital still camera illustrated in
FIG. 7C includes a housing 9320, a button 9321, a microphone 9322, and a display portion 9323. Any of the display devices and the display device with an image sensor described in the above embodiments can be applied to the display portion 9323.
[0211]

By application of one embodiment of the present invention, the reliability of 20 the electronic devices can be increased.

[0212]

This embodiment can be implemented in appropriate combination with any of the structures described in the other embodiments and examples.

[Example 1]

25 [0213]

In this example, a released gas from an acrylic resin which is a typical example of the organic resin that can be used for a display device was examined.

[0214]

For a sample, an acrylic resin was applied onto a glass substrate, and heat 30 treatment was performed in a nitrogen gas atmosphere at 250 °C for one hour. Note that the acrylic resin was formed so as to have a thickness of 1.5 μm after the heat treatment.

[0215]

The released gas from the fabricated sample was measured by thermal desorption spectroscopy (TDS).

5 [0216]

FIG. 8 shows the ion intensity of the released gas versus mass-to-charge ratio (also referred to as M/z) at a substrate surface temperature of 250 °C. In FIG. 8, the horizontal axis represents mass-to-charge ratio and the vertical axis represents intensity (arbitrary unit). As shown in FIG. 8, a gas of an ion having a mass-to-charge ratio of 18 (an H<sub>2</sub>O gas) which seems to be due to water, a gas of an ion having a mass-to-charge ratio of 28 (a  $C_2H_4$  gas), a gas of an ion having a mass-to-charge ratio of 44 (a  $C_3H_8$  gas), and a gas of an ion having a mass-to-charge ratio of 56 (a  $C_4H_8$  gas), which seem to be due to hydrocarbon, were detected. Note that in the vicinities of the respective mass-to-charge ratios, fragment ions of gases were detected.

15 [0217]

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FIG. 9 also shows the ion intensity versus substrate surface temperature for each mass-to-charge ratio (18, 28, 44, and 56). In FIG. 9, the horizontal axis represents substrate surface temperature (°C) and the vertical axis represents intensity (arbitrary unit). It was found that, in the case where the substrate surface temperature was in the range from 55 °C to 270 °C, the intensity of an ion having a mass-to-charge ratio of 18 which seems to be due to water had a peak in the range of greater than or equal to 55 °C and less than or equal to 100 °C and a peak in the range of greater than or equal to 150 °C and less than or equal to 270 °C. In contrast, it was found that the intensities of ions having mass-to-charge ratio of 28, 44, and 56 which seem to be due to hydrocarbon each had a peak in the range of greater than or equal to 270 °C.

[0218]

30

The above showed that water, hydrocarbon, and the like, which serve as impurities in the oxide semiconductor film, were released from the organic resin. In particular, water was found to be also released at a relatively low temperature greater than or equal to 55 °C and less than or equal to 100 °C. In other words, this indicated

that, when an impurity due to the organic resin reached the oxide semiconductor film, electrical characteristics of the transistor might deteriorate. [0219]

The above also indicated that, when the organic resin was covered with a film that does not transmit a released gas of water, hydrocarbon, or the like (e.g. a silicon nitride film, a silicon nitride oxide film, or an aluminum oxide film), release of the gas from the organic resin increased pressure on the film that does not transmit a released gas of water, hydrocarbon, or the like, which might finally destroy the film that does not transmit a released gas of water, hydrocarbon, or the like and cause a shape defect of the transistor.

[Example 2]

[0220]

In this example, a transistor was fabricated and a cross-sectional shape and electrical characteristics thereof were estimated.

15 [0221]

In each sample, a bottom-gate top-contact transistor having a channel-etched structure in which an oxide semiconductor film is used is provided. The transistor includes a gate electrode provided over a glass substrate, a gate insulating film provided over the gate electrode, an oxide semiconductor film provided over the gate electrode 20 with the gate insulating film interposed therebetween, and a pair of electrodes over and in contact with the oxide semiconductor film. Here, a tungsten film was used for the gate electrode, a silicon nitride film and a silicon oxynitride film thereover were used for the gate insulating film, and an In-Ga-Zn oxide film was used for the oxide semiconductor film. For each of the electrodes, a tungsten film, an aluminum film over the tungsten film, and a titanium film over the aluminum film were used.

[0222]

The protective insulating films (a 450-nm-thick silicon oxynitride film and a 50-nm-thick silicon nitride film thereover) are provided over each of the electrodes. [0223]

30

In a sample of this example, a  $2-\mu$ m-thick acrylic resin is provided over the protective insulating films, and a 200-nm-thick silicon nitride film is provided over the acrylic resin so as to expose part of a side surface of the acrylic resin. In a sample of a

comparison example, a 1.5-µm-thick acrylic resin is provided over the protective insulating films, and a 200-nm-thick silicon nitride film is provided over the acrylic resin so as to cover the acrylic resin.

[0224]

5 FIG. 10 shows a transmitted electron image (also referred to as a TE image) of a cross-sectional shape of an enlarged part of the sample of the comparison example, which was obtained by TEM. For the observation of the cross-sectional shape, an Film Ultra-thin Evaluation System HD-2300 manufactured bv Hitachi High-Technologies Corporation was used. Note that in FIG. 10, only one of the 10 electrodes is illustrated. It is found from the electrode and the protective insulating films provided so as to cover the electrode in FIG. 10 that in the protective films, cracks are generated from a step portion formed by the electrode. Since structures of the observed regions in the sample of this example and the sample of the comparison example are substantially the same, a cross-sectional shape of the sample of this example is not shown. 15

[0225]

Thus, the sample of this example has a structure in which a gas released from the acrylic resin is extracted to the outside of the sample, and the sample of the comparison example has a structure in which a gas released from the acrylic resin is not 20 extracted to the outside of the sample. In other words, in the sample of the comparison example, the gas released from the acrylic resin is not extracted to the outside and enters the transistor through the crack generated in the protective insulating films. [0226]

Next, gate voltage (Vg)-drain current (Id) characteristics which are electrical characteristics of the transistors of the samples were measured. The Vg-Id characteristics were measured using the transistors each having a channel length of 3  $\mu$ m and a channel width of 3  $\mu$ m. Note that in the measurements of the Vg-Id characteristics, the drain voltage (Vd) was set to 1 V or 10 V and the gate voltage (Vg) was swept from -20 V to 15 V.

30 [0227]

FIGS. 11A and 11B show the Vg-Id characteristics of the samples. The Vg-Id

characteristics of 20 transistors over a 600 mm by 720 mm glass substrate were measured as uniformly as possible. FIG. 11A shows the Vg-Id characteristics and field-effect mobility of the transistors of the sample of this example, and FIG. 11B shows the Vg-Id characteristics of the transistors of the sample of the comparison example. Note that the field-effect mobility shown in FIG. 11A was obtained at a drain voltage (Vd) of 10 V. The field-effect mobility is not shown in FIG. 11B because it

[0228]

was difficult to calculate.

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FIG. 11A demonstrates that the transistors of the sample of this example exhibited excellent switching characteristics. FIG. 11B shows that the transistors of the sample of the comparison example did not exhibit switching characteristics and were normally on.

[0229]

Comparison with the sample of this example reveals that the deficiency of the switching characteristics of the sample of the comparison example was caused because the gas released from the acrylic resin affected the transistors. Specifically, this was probably because the gas released from the acrylic resin increased the carrier density in the oxide semiconductor film, and an electric field from the gate electrode prevented the transistors from being turned off.

20 [0230]

25

This example shows that, when an organic resin is covered with a film (a 200-nm-thick silicon nitride film, here) that does not transmit released gas of water, a hydrocarbon, or the like, the gas released from the organic resin causes a deficiency of the switching characteristics of a transistor. This example also shows that, by providing a path through which the released gas is extracted to the outside of the sample in part of the film that covers the organic resin and does not transmit the released gas of water, a hydrocarbon, or the like, a deficiency of the switching characteristics of a transistor can be avoided and excellent switching characteristics can be obtained.

### 30 REFERENCE NUMERALS

[0231]

101: first transistor, 102: first substrate, 103: second transistor, 104: gate electrode, 105:

third transistor, 106: gate insulating film, 107: capacitor, 108: semiconductor layer, 110: source electrode, 112: drain electrode, 113: electrode, 114: first interlayer insulating film, 116: second interlayer insulating film, 118: capacitor electrode, 120: third interlayer insulating film, 122: pixel electrode, 124: first alignment film, 126: partition, 128:

- 5 light-emitting layer, 130: electrode, 140: gate driver circuit portion, 142: pixel region, 144: source driver circuit portion, 146: FPC terminal portion, 148: FPC, 150: liquid crystal element, 152: second substrate, 153: colored film, 154: light-blocking film, 156: organic protective insulating film, 158: counter electrode, 160: spacer, 162: liquid crystal layer, 164: second alignment film, 166: sealant, 170: light-emitting element, 172:
- filler, 4001: first substrate, 4002: photodiode element, 4014: first interlayer insulating film, 4016: second interlayer insulating film, 4020: third interlayer insulating film, 4024: first alignment film, 4030: first transistor, 4032: capacitor, 4034: liquid crystal element, 4036: gate line, 4040: transistor, 4052: second substrate, 4056: transistor, 4057: gate selection line, 4058: reset signal line, 4059: video signal line, 4060: second
- 15 transistor, 4062: third transistor, 4071: output signal line, 4084: second alignment film, 4085: colored film, 4086: organic insulating film, 4088: counter electrode, 4096: liquid crystal layer, 5040: gate driver circuit portion, 5042: pixel region, 8033: clasp, 8034: switch, 8035: power supply switch, 8036: switch, 8038: operation switch, 8630: housing, 8631: display portion, 8631a: display portion, 8631b: display portion, 8633: solar cell,
- 8634: charge and discharge control circuit, 8635: battery, 8636: DCDC converter, 8637: converter, 9300: housing, 9301: button, 9302: microphone, 9303: display portion, 9304: speaker, 9305: camera, 9310: housing, 9311: display portion, 9320: housing, 9321: button, 9322: microphone, 9323: display portion.
- 25 This application is based on Japanese Patent Application serial no. 2012-161344 filed with the Japan Patent Office on July 20, 2012, the entire contents of which are hereby incorporated by reference.

### CLAIMS

	1. A display device comprising:
	a pixel portion comprising:
5	a first transistor;
	a first insulating film over the first transistor;
	a second insulating film over the first insulating film;
	a third insulating film over the second insulating film;
	a first electrode over the third insulating film, the first electrode being
10	electrically connected to the first transistor;
	a first alignment film over the first electrode; and
	a liquid crystal layer over the first alignment film; and
	a driver circuit portion comprising:
	a second transistor;
15	the first insulating film over the second transistor;
	the second insulating film over the first insulating film; and
	the first alignment film over the second insulating film,
	wherein the first insulating film comprises an inorganic insulating material,
	wherein the second insulating film comprises an organic insulating material,
20	wherein the third insulating film comprises an inorganic insulating material,
	and
	wherein the first alignment film is entirely in contact with the second insulating
	film in the driver circuit portion.
25	2. The display device according to claim 1 further comprising:
	a second alignment film over the liquid crystal layer;
	a second electrode over the second alignment film;
	a fourth insulating film over the second electrode;
	a colored film over the fourth insulating film; and
30	a light-blocking film over the fourth insulating film.

3. The display device according to claim 1,

wherein the first insulating film is any of a silicon oxide film, a silicon oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film, and a Ga-Zn-based metal oxide film.

4. The display device according to claim 1,

wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin.

## 5. The display device according to claim 1, wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

6. The display device according to claim 1,

15 wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

7. The display device according to claim 6,

wherein the oxide semiconductor layer comprises any of indium and zinc.

20

5

8. The display device according to claim 6,	8.	The	display	device	according	to	claim 6	
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wherein the oxide semiconductor layer has a first oxide semiconductor film and a second oxide semiconductor film.

9. An electronic device comprising the display device according to claim 1.

10. A display device comprising:

a pixel portion comprising:

a first transistor;

# a first insulating film over the first transistor;a second insulating film over the first insulating film;a third insulating film over the second insulating film;

	a first electrode over the third insulating film, the first electrode being
	electrically connected to the first transistor;
	a fourth insulating film over the first electrode;
	a light-emitting layer over the first electrode;
5	a second electrode over the light-emitting layer; and
	a filler over the second electrode; and
	a driver circuit portion comprising:
	a second transistor;
	the first insulating film over the second transistor;
10	the second insulating film over the first insulating film; and
	the filler over the second insulating film,
	wherein the first insulating film comprises an inorganic insulating material,
	wherein the second insulating film comprises an organic insulating material,
	wherein the third insulating film comprises an inorganic insulating material,
15	and
	wherein the filler is entirely in contact with the second insulating film in the
	driver circuit portion.
	11. The display device according to claim 10,
20	wherein the first insulating film is any of a silicon oxide film, a silicon
	oxynitride film, an aluminum oxide film, a hafnium oxide film, a gallium oxide film,
	and a Ga-Zn-based metal oxide film.

12. The display device according to claim 10,

25

wherein the second insulating film is any of an acrylic-based resin, a polyimide-based resin, a benzocyclobutene-based resin, a polyamide-based resin, and an epoxy-based resin.

13. The display device according to claim 10,

30 wherein the third insulating film is any of a silicon nitride film, a silicon nitride oxide film, and an aluminum oxide film.

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14. The display device according to claim 10,

wherein the first transistor and the second transistor each comprises an oxide semiconductor layer.

5 15. The display device according to claim 14,wherein the oxide semiconductor layer comprises any of indium and zinc.

16. The display device according to claim 14,

wherein the oxide semiconductor layer has a first oxide semiconductor film and 10 a second oxide semiconductor film.

17. An electronic device comprising the display device according to claim 10.

18. The display device according to claim 10,

15 wherein the filler is any of an inert gas, an ultraviolet curable resin, and a thermosetting resin.

19. The display device according to claim 10, wherein the filler is an insulating film.

20

20. The display device according to claim 10,

wherein the filler is any of polyvinyl chloride, an acrylic-based resin, a polyimide-based resin, an epoxy-based resin, a silicone-based resin, polyvinyl butyral, and ethylene vinyl acetate.

25

### ABSTRACT

The display device includes a first substrate provided with a driver circuit region that is located outside and adjacent to a pixel region and includes at least one second transistor which supplies a signal to the first transistor in each of the pixels in the pixel region, a second substrate facing the first substrate, a liquid crystal layer between the first substrate and the second substrate, a first interlayer insulating film including an inorganic insulating material over the first transistor and the second transistor, a second interlayer insulating film including an organic insulating film, and a third interlayer insulating film including an inorganic insulating film, and a third interlayer insulating film. The third interlayer insulating film is provided in part of an upper region of the pixel region, and has an edge portion on an inner side than the driver circuit region.

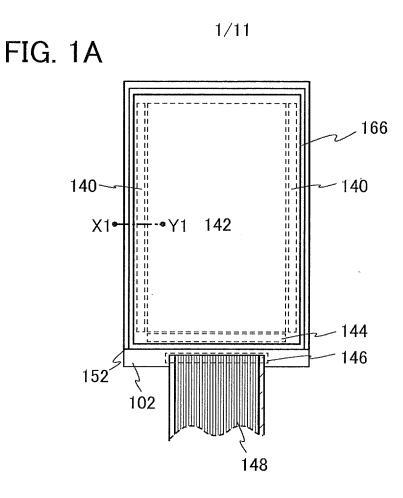
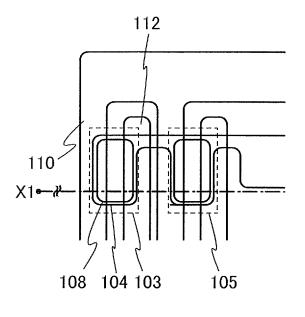
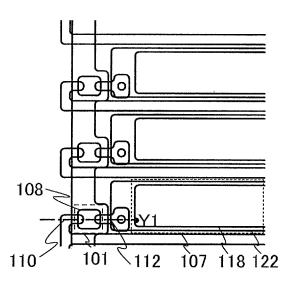


FIG. 1B

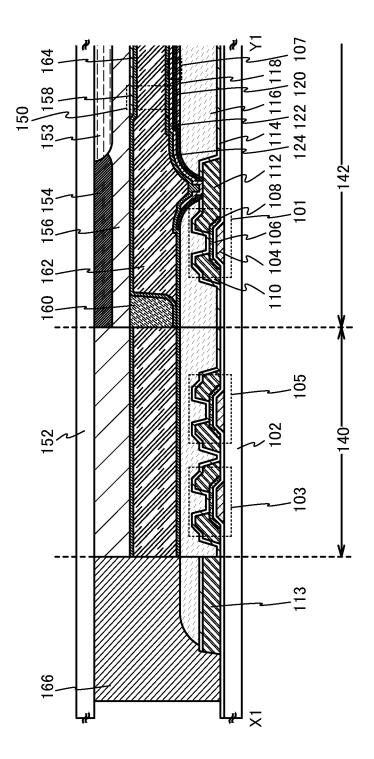


<u>140</u>

FIG. 1C



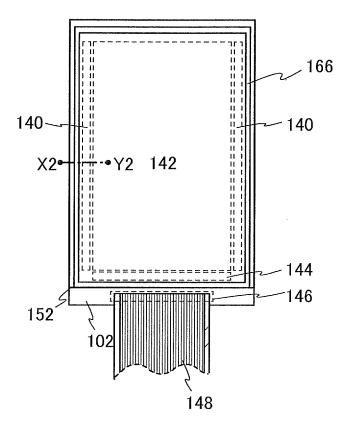
<u>142</u>

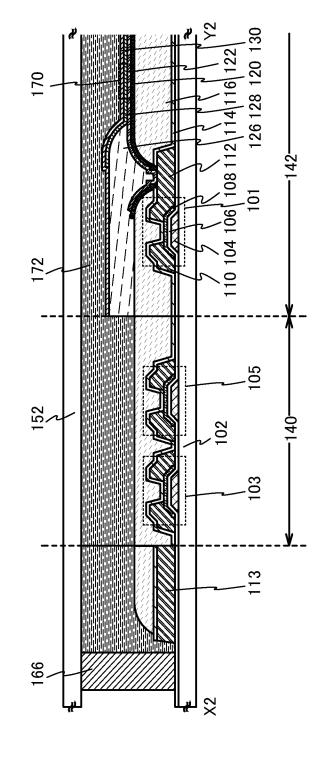




2/11

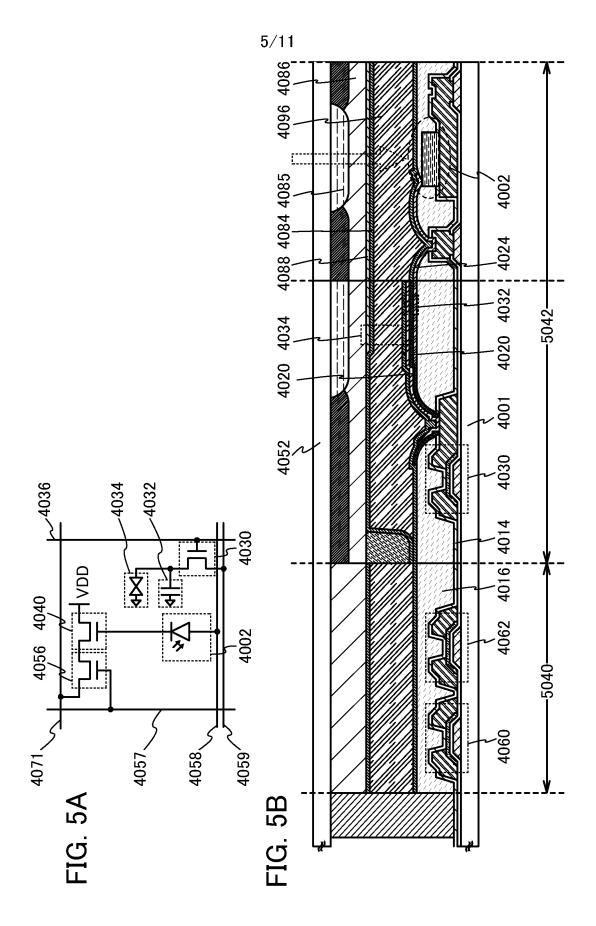




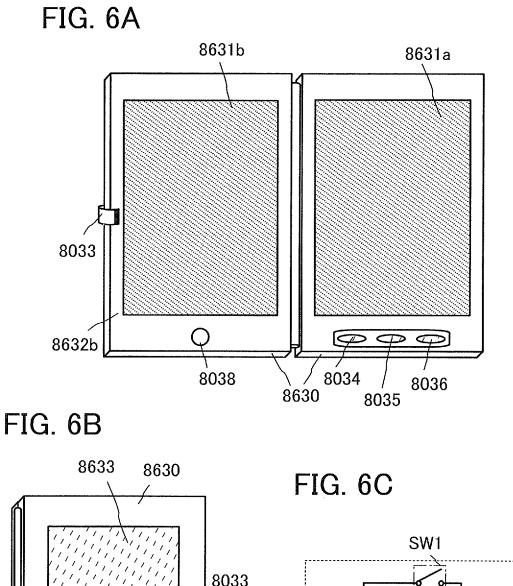


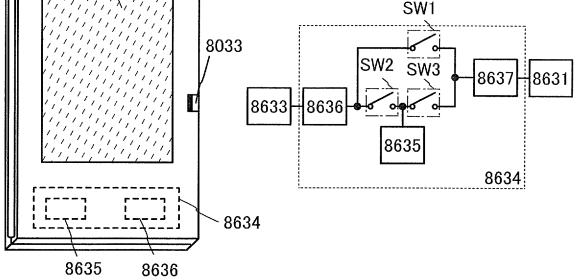


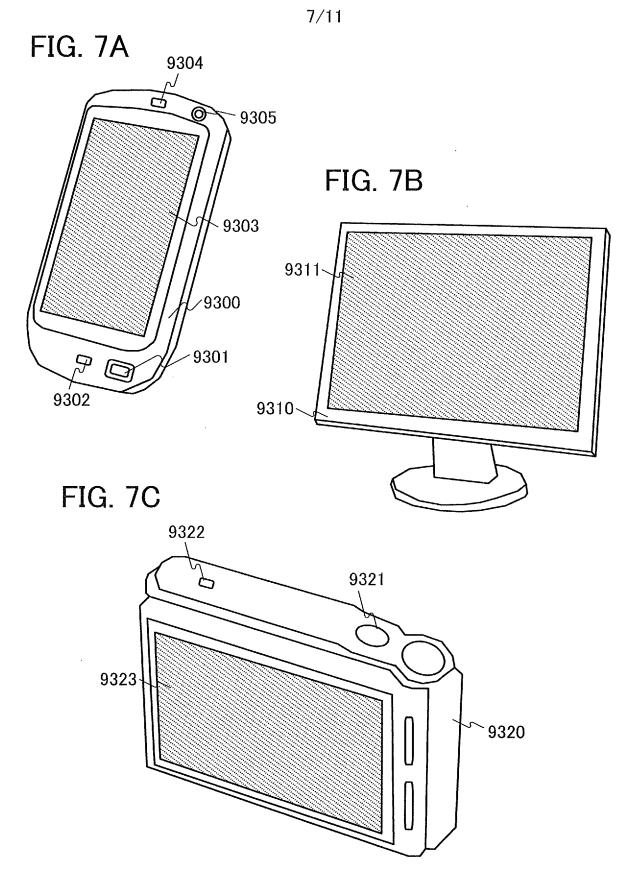
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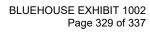












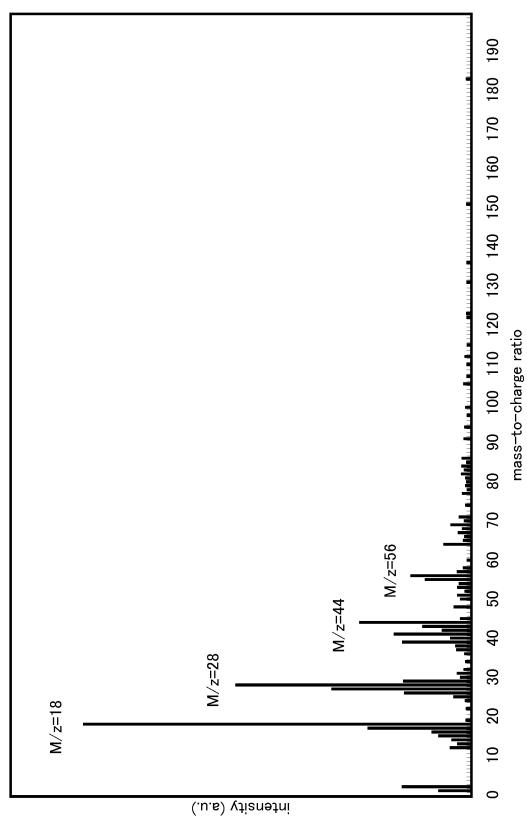
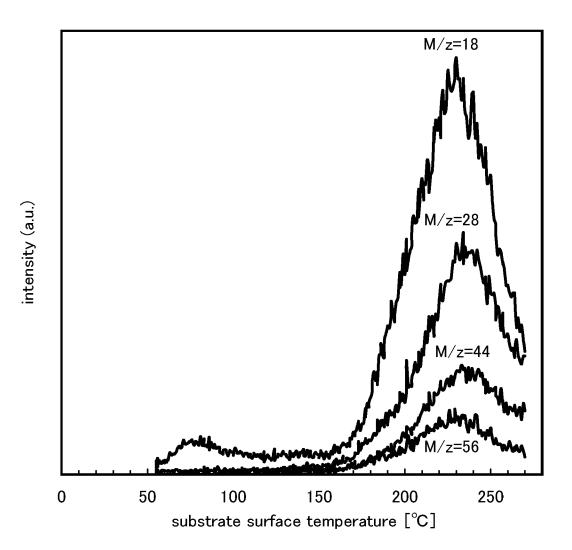
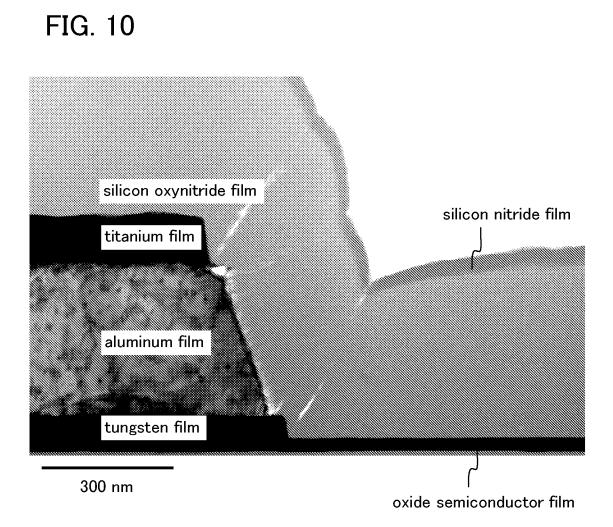


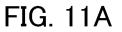
FIG. 8

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FIG. 9







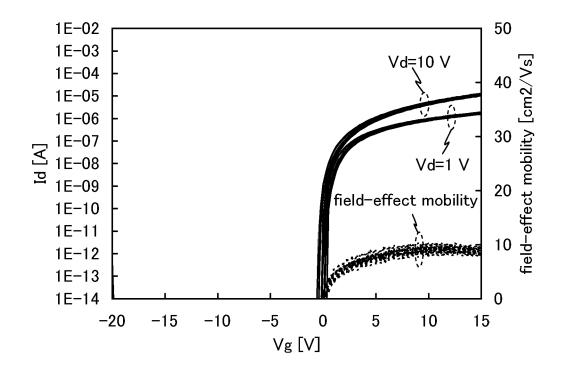
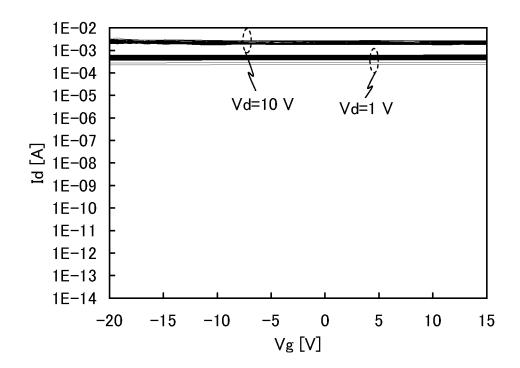


FIG. 11B



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Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE					
First Named Inventor/Applicant Name:	Yasuharu Hosaka					
Filer:	Eric	: J. Robinson/Sue A	nn Carr			
Attorney Docket Number:	0756-10194					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	280	280	
Utility Search Fee		1111	1	600	600	
Utility Examination Fee		1311	1	720	720	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference: BLUEHOUSE EXHIBIT 1002						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)		1600	

Electronic Acknowledgement Receipt					
EFS ID:	16263511				
Application Number:	13939323				
International Application Number:					
Confirmation Number:	2340				
Title of Invention:	DISPLAY DEVICE AND ELECTRONIC DEVICE INCLUDING THE DISPLAY DEVICE				
First Named Inventor/Applicant Name:	Yasuharu Hosaka				
Customer Number:	31780				
Filer:	Eric J. Robinson/Sue Ann Carr				
Filer Authorized By:	Eric J. Robinson				
Attorney Docket Number:	0756-10194				
Receipt Date:	11-JUL-2013				
Filing Date:					
Time Stamp:	11:12:19				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment		yes	yes			
Payment Type		Electronic Funds Trans	Electronic Funds Transfer			
Payment was	successfully received in RAM	\$1600				
RAM confirmation Number		9801				
Deposit Accou	unt					
Authorized Us	ser					
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Multi Pages BLUEHOUSE_EXHIBIT 1002 Message Digest Part 335 0F B37			

1	Power of Attorney	POA.pdf	196278	no	2
		'	8aa5bbc1f08a1834cb8f35c584f7cf8ab733d 38b		
Warnings:					
Information:					
2	Foreign Reference	JP2006165528.pdf	5223095	no	39
			e4ae6189c877b0b18998ca18d7ee71e3446 18055		
Warnings:					
Information:			1 1		
3	3 Foreign Reference	JP2011139047.pdf	6921006	no	35
			0333eea81858f1767bf635766d0b928943e ca368		
Warnings:					
Information:					
4	Transmittal of New Application	TRNA.pdf	352590	no	2
		niva.poi	2005ebe22ccf24b3fe71f3b1d9a5c698fcbf5 7cc	10	
Warnings:					
Information:					
5	Assignee showing of ownership per 37	373c_STATEMENT.pdf	1207791	no	7
5	CFR 3.73.	JJJC_JTATEMENT.pdf	de7943e95c95532e0fddba5cf9ce0cb99a9c 4973	10	
Warnings:			·		
Information:					
6	Application Data Shoot		1507848		7
0	Application Data Sheet	ADSAIA14.pdf	40b9fb1b2e7193147b441127e9b65b750a 6a0d30	no	
Warnings:					
Information:					
7			386818	Vos	2
7		IDS.pdf	df3a1193627c2eeaf1d27a3a9e95471a492e 8ba6	yes	
	Multip	art Description/PDF files ir	.zip description		
	Transmittal Letter		Start	End	
			1	1	
	Information Disclosure Statement (IDS) Form (SB08)		2	2	
Warnings:					
 Information:					
8 Oath or Declaration filed		2161994			
	Oath or Declaration filed	DEC.pdf	561dee1ebaa1216c1f21feb18a3b8fa87593 be5c <b>BLUEHOU</b>	no SE EXHIBIT 1	8
				Page 336 of	337

Warnings:							
Information:							
9		SPEC.pdf _	1271866	yes	70		
		51 20.901	548bcdc213b8250b96d948465e58190375 a3f24a	yes			
	Multip	part Description/PDF files in .	zip description				
	Document De	scription	Start	E	ind		
	Specification		1	54			
	Claims		55	58			
	Abstract		59	59			
	Drawings-other than black and white line drawings		60	70			
Warnings:							
Information	;				1		
10	Fee Worksheet (SB06)	fee-info.pdf	33236	no	2		
	、 <i>·</i>		12f923f5b53a1b50f486f9e5b2d66d876a68 e200				
Warnings:							
Information:			1				
		Total Files Size (in bytes)	19.	262522			
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	urity, and the date shown on this Ack						