PTO/SB/05 (08-08)

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UTILITY	Attorney Docket No.	2159.3210002/JMC/MRG/U-S		
PATENT APPLICATION	First Inventor	Matvey E. LUKASHEV		
TRANSMITTAL	Title	Treatment for Multiple Sclerosis		
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label N	l0.		
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application content	s. ADDRESS TO:	Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450		
1. Fee Transmittal Form (e.g., PTO/SB/17)	ACCOMP	ANYING APPLICATION PARTS		
<ul> <li>2. Applicant claims small entity status. See 37 CFR 1.27.</li> <li>3. Specification [Total Pages 38] Both the claims and abstract must start on a new page</li> </ul>	•	t <b>Papers</b> (cover sheet (PTO-1595) & document(s Assignee <u>Biogen Idec MA Inc.</u>		
(For information on the preferred arrangement, see MFEP 608.01(a)) 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 4	1			
5. Oath or Declaration [Total Sheets 2]		3(b) Statement Power of Attorney		
<ul> <li>A copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</li> </ul>	11. 🛄 English Tr	anslation Document (if applicable)		
<ul> <li>i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>		n Disclosure Statement (PTO/SB/08 or PTO-1449) pies of foreign patent documents, publications, & other information		
6. X Application Data Sheet. See 37 CFR 1.76	13. 🔀 Preliminar	13. 🔀 Preliminary Amendment		
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Landscape Table on CD		14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
<ol> <li>Nucleotide and/or Amino Acid Sequence Submission (if applicable, items a c. are required)</li> </ol>		opy of Priority Document(s) priority is claimed)		
a. Computer Readable Form (CRF) b. Specification Sequence Listing on:	16. <b>Nonpublic</b> Applicant	ation Request under 35 U.S.C. 122(b)(2)(B)(i). must attach form PTO/SB/35 or equivalent.		
i. CD-ROM or CD-R (2 copies); or ii. X Paper		thorization under 37 CFR 1.136(a)(3)		
c. Statements verifying identity of above copies	See 1 in	Addendum		
18. If a CONTINUING APPLICATION, check appropriate box, a specification following the title, or in an Application Data Sheet u		ation below and in the first sentence of the		
		of prior application No. 12/526,296		
Prior application information: Examiner John D. U	<u>LM</u> A	rt Unit: <u>1649</u>		
19. CORRE	SPONDENCE ADDRESS			
X The address associated with Customer Number:	53644	OR Correspondence address below		
Name				
Address				
City St	ate	Zip Code		
Country Telepi	·····	Email		
Signature Maraba U. H.O.C	ζ	Date 2/13/2012		
Name (Print/Type) Marsha A. Rose		Registration No. (Attorney/Agent) 58,403		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**Biogen Exhibit 2204** Mylan v. Biogen IPR 2018-01403

## Addendum

- 1. Authorization to Permit Access to Application by Participating Offices (PTO/SB/39);
  - 2. Certification and Request for Prioritized Examination Under 37 C.F.R. § 1.102(e);
  - 3. Request for Transfer of a Computer Readable Form Under 37 CFR 1.821(e);
  - 4. First Supplemental Information Disclosure Statement;
  - 5. Second Supplemental Information Disclosure Statement;
  - 6. Third Supplemental Information Disclosure Statement.

PTO/SB/424 (12-11)

## CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

First Named Inventor:	Matvey E. LUKASHEV	Nonprovisional Application Number (if known):	To be assigned
Title of Invention:	Treatment for Multiple Scle	erosis (As Amended)	

# APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- The processing fee set forth in 37 CFR 1.17(i), the prioritized examination fee set forth in 37 CFR 1.17(c), and if not already paid, the publication fee set forth in 37 CFR 1.18(d) have been filed with the request. The basic filing fee, search fee, examination fee, and any required excess claims and application size fees are filed with the request or have been already been paid.
- 2. The application contains or is amended to contain no more than four independent claims and no more than thirty total claims, and no multiple dependent claims.
- 3. The applicable box is checked below:
  - I. Criginal Application (Track One) Prioritized Examination under § 1.102(e)(1)
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a). This certification and request is being filed with the utility application via EFS-Web.

(b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.

- ii. An executed oath or declaration under 37 CFR 1.63 is filed with the application.
  - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature Marsha G. Rome	Date 2 12/2012
Name (Print/Typed) Marsha A. Rose	Practitioner 58,403
<b><u>Note</u>:</b> Signatures of all the inventors or assignees of record of the entire interest or their repr 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessar signature, see below*.	esentative(s) are required in accordance with ary, submit multiple forms for more than one
✓ *Total of forms are submitted.	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:Confirmation No.: To be assignedLUKASHEV et al.Art Unit: To be assignedAppl. No.: To be assignedExaminer: To be assigned(Continuation of Appl. No. 12/526,296; § 371(c)Atty. Docket: 2159.3210002/JMC/MRG/U-SDate: January 13, 2011)Filed: HerewithFor: Treatment for Multiple Sclerosis (As<br/>Amended)Amended

Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. § 1.136(a)(3)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

a lan

Marsha A. Rose Attorney for Applicants Registration No. 58,403

Date:

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Page 4 of 150

## **APPLICATION DATA SHEET**

Electronic Version v14 Stylesheet Version v14.1 14 A

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<i>Correspondence Informa</i> Customer Number:	ation:	53644	*53644*
Application Information Title of Invention: Application Type: Attorney Docket Numb	Treatment for Multiple Sclerosis regular, utility er: 2159.3210002/JMC/M		
Botanic Information: Publication Information: Suggested Figure for Pu Suggested Classification Suggested Technology ( Total Number of Drawin	- Center -		
Representative Informati	lon:		
Domestic Priority Inform	uation:		
This is a Continuation of US application number 12/526,296, having a § 371(c) date of 2011-01-13, now pending.			
of international application	2/526,296, having a § 371( on number PCT/US2008/00 application number 60/888	1602, filed 2008 3,921, filed 2007	

Foreign Priority Information:

## Assignee Information:

Assignee 1:

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Respectfully submitted,

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## COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Treatment for Multiple Sclerosis** (as sumended), the specification of which:

- is attached hereto.
- [] was filed on \_\_\_\_\_as Application No.
- [x] was filed as PCT International Application No. PCT/US2008/001602, filed on February 7, 2008, and as amended herewith in U.S. Application No. 12/526,296, which is the national stage entry of PCT/US2008/001602 and having a § 371(c) date of January 13, 2011.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §119(e)(1) of any United States provisional application(s) listed below:

U.S. Serial No.	Filing Date	Status
60/888,921	February 8, 2007	Expired

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Serial No.	Filing Date	Status

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Application No.	Filing Date	Priority Claimed	
			[]Yes []No	

#### Combined Declaration and Power of Attorney Page 2 of 2 Pages

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All Attorneys and Agents associated with

#### 53644 PTO Customer Number

Direct all telephone calls to John M. Covert at telephone number (202) 772-8673.

Direct all correspondence to the following:

#### 53644 PTO Customer Number

For Assigned Inventions: I understand that the purpose of making this appointment is to permit prosecution of patent applications for the above-identified invention for the benefit of my assignee, and that this appointment does not create a personal attorney-client relationship between me and these appointees.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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KAREN BROWN Notsry Public COMMONWEALTH OF SANSERCHUSETTS My Contraission Explice November 15, 2013

#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(54) Title: NRF2 SCREENING ASSAYS AND RELATED METHODS AND COMPOSITIONS

(57) Abstract: Provided are certain methods of screening, identifying, and evaluating neuroprotective compounds useful for treatment of neurological diseases, such as, e.g., multiple sclerosis (MS). The compounds described upregulate the cellular cytoprotective pathway regulated by Nrf2. Also provided are certain methods of utilizing such compounds in therapy for neurological disease, particularly, for slowing or reducing demyelination, axonal loss, or neuronal and oligodendrocyte death.

## Nrf2 SCREENING ASSAYS AND RELATED METHODS AND COMPOSITIONS

[0001] Provided are certain compounds for treating neurological diseases, including demyelinating neurological diseases, such as, e.g., multiple sclerosis.

[0002] Multiple sclerosis (MS) is an autoimmune disease with the autoimmune activity directed against central nervous system (CNS) antigens. The disease is characterized by inflammation in parts of the CNS, leading to the loss of the myelin sheathing around neuronal axons (demyelination), loss of axons, and the eventual death of neurons, oligodenrocytes and glial cells.

[0003] An estimated 2,500,000 people in the world suffer from MS. It is one of the most common diseases of the CNS in young adults. MS is a chronic, progressing, disabling disease, which generally strikes its victims some time after adolescence, with diagnosis generally made between 20 and 40 years of age, although onset may occur earlier. The disease is not directly hereditary, although genetic susceptibility plays a part in its development. Relapsing-remitting MS presents in the form of recurrent attacks of focal or multifocal neurologic dysfunction. Attacks may occur, remit, and recur, seemingly randomly over many years. Remission is often incomplete and as one attack follows another, a stepwise downward progression ensues with increasing permanent neurological deficit.

[0004] Although various immunotherapeutic drugs can provide relief in patients with MS, none is capable of reversing disease progression, and some can cause serious adverse effects. Most current therapies for MS are aimed at the reduction of inflammation and suppression or modulation of the immune system. As of 2006, the available treatments for MS reduce inflammation and the number of new episodes but not all have an effect on disease progression. A number of clinical trials have shown that the suppression of inflammation in chronic MS rarely significantly limits the accumulation of disability through sustained disease progression, suggesting that neuronal damage and inflammation are independent pathologies. Promoting CNS remyelination as a repair mechanism and otherwise preventing axonal loss and

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neuronal death are some of the important goals for the treatment of MS. For a comprehensive review of MS and its current therapies, see, e.g., McAlpine's Multiple Sclerosis, by Alastair Compston et al., 4th edition, Churchill Livingstone Elsevier, 2006.

[0005] "Phase 2 enzymes" serve as a protection mechanism in mammalian cells against oxygen/nitrogen species (ROS/RNS), electrophiles and xenobiotics. These enzymes are not normally expressed at their maximal levels and, their expression can be induced by a variety of natural and synthetic agents. Nuclear factor E2-related factor 2 (Nrf2) is a transcription factor responsible for the induction of a variety of important antioxidant and detoxification enzymes that coordinate a protective cellular response to metabolic and toxic stress.

[0006] ROS/RNS are most damaging in the brain and neuronal tissue, where they attack post-mitotic (i.e., non-dividing) cells such as glial cells, oligodendocytes, and neurons, which are particularly sensitive to free radicals. This process leads to neuronal damage. Oxidative stress has been implicated in the pathogenesis of a variety of neurodegenerative diseases, including ALS, Alzheimer's disease (AD), and Parkinson's disease (PD). For review, see, e.g., van Muiswinkel et al., Curr. Drug Targets CNS--Neurol. Disord., 2005, 4:267-281. An anti-oxidative enzyme under control of Nrf2, NQO1 (NAD(P)H dehydrogenase, quinone (1), was recently reported to be substantially upregulated in the brain tissues of AD and PD subjects (Muiswinkel et al., Neurobiol. Aging, 2004, 25: 1253). Similarly, increased expression of NQO1 was reported in the ALS subjects' spinal cord (Muiswinkel et al., Curr. Drug Targets--CNS. Neurol. Disord., 2005, 4:267-281) and in active and chronic lesions in the brains of patients suffering from MS (van Horssen et al., Free Radical Biol. & Med., 2006, 41 311-311). These observations indicate that the Nrf2 pathway may be activated in neurodegenerative and neuroinflammatory diseases as an endogenous protective mechanism. Indeed, most recently, it has been reported that induced activation of Nrf2-dependent genes by certain cyclopenanone-based compounds (NEPP) counters the toxic effects of metabolic inhibition and ROS/RNS production in

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the brain and protects neurons from death in vitro and in vivo (see Satoh et al., PNAS, 2006, 103(3):768-773).

[0007] Additionally, many publications have reported neuroprotective effects of compounds in natural plant-derived compounds ("phytochemicals"), including α-tocopherol (vitamin E), lycopene (tomatoes), resveratrol (red grapes), sulforaphane (broccoli), EGCG (green tea), etc. For review, see Mattson and Cheng, Trends in Neurosci., 2006, 29(11):632-639. Originally, the action of these compounds was attributed to their anti-oxidant properties. However, while most anti-oxidants are effective only at high concentrations, at least some of these compounds appear to exert neuroprotective effects at much lower doses. Emerging evidence suggests that these compounds may exert their neuroprotective effects by activating cellular stress-response pathways, including the Nrf2 pathway, resulting in the upregulation of neuroprotective genes. However, the exact mechanism of action of these compounds remains poorly understood.

[0008] To date, more than 10 different chemical classes of inducers of Nrf2 pathway have been identified including isothiocyanates and their thiol addition products, dithiocarbamates, as well as 1,2-dithiole-3-thiones, trivalent arsenic derivatives (e.g., phenyl arsenoxide), heavy metals, certain conjugated cyclic and acyclic polyenes (including porphyrins, chlorophyllins, and chlorophyll), and vicinal dimercaptans. These inducers have few structural similarities. They are mostly electrophiles, and all can react chemically with thiol groups by alkylation, oxidation, or reduction, suggesting that the intracellular sensor for inducers is likely to contain very highly reactive (cysteine) thiols. The inducers can modify thiol groups by a variety of mechanisms including: alkylation (Michael addition acceptors, isothiocyanates, quinones); oxidation (e.g., peroxides and hydroperoxides); and direct reaction with thiol/disulfide linkages (e.g., vicinal dithiols such as 1,2-dimercaptopropanol, lipoic acid). These diverse response mechanisms provide plasticity for cellular responses to a variety of electrophilic and oxidant stressors.

[0009] Provided are methods that comprise at least one of the following methods:

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- methods of screening for at least one new candidate compound for treating a neurological disease;
- methods of evaluating neuroprotective properties of at least one drug candidate for treating a neurological disease;
- methods of comparing (e.g., for bioequivalence) at least two pharmaceutical compositions which comprise fumaric acid derivatives;
- methods of treating a neurological disease by administering to the subject in need thereof at least one compound that is partially structurally similar to DMF or MMF; and
- 5) methods of treating a neurological disease by a combination therapy that comprises administration of at least one first compound that upregulates the Nrf2 pathway and at least one second compound that does not upregulate the Nrf2 pathway.

[0010] In some embodiments, the neurological disease is a neurodegenerative disease such as, for example, ALS, Parkinson's disease, Alzheimer's disease, and Huntington's disease. In some embodiments the neurological disease is MS or another demyelinating neurological disease.

[0011] In some embodiments, the methods 1-3 further comprise:

- a) contacting a cell with the test compound, and
- b) determining whether the Nrf2 pathway is upregulated in the cell.

In some embodiments, the methods may further comprise:

- c) determining whether the test compound slows or prevents demyelination, axonal loss, and/or neuronal death, and/or
- d) selecting the test compound as a candidate for treating neurodegeneration in a neurological disease if 1) the Nrf2 pathway is upregulated and 2) demyelination, axonal loss, and/or neuronal death are/is prevented or slowed.

[0012] In some embodiments, the methods 1-3 comprise contacting a cell with at least one test compound and determining whether the Nrf2 pathway is upregulated in the cell. In such methods, an upregulation of the Nrf2 pathway above a threshold

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(e.g., by at least 30% over a control) indicates that the at least one compound has at least one biological property beneficial in treating a neurological disease (e.g., neuroprotective properties). In some embodiments, the upregulation of the Nrf2 pathway is assessed (in vivo and/or in vitro) by at least one of the following:

- expression levels of endogenously produced and/or exogenously introduced Nrf2;
- ii) subcellular localization and/or nuclear translocation of Nrf2;
- iii) expression levels and/or activity of one or more genes under control of Nrf2 (e.g., endogenous NQO1) or an Nrf2-regulated reporter gene in an artificial reporter construct;
- iv) levels of Nrf2 binding to the Nrf2-binding DNA element ARE;
- v) stability of Nrf2/Keap1 complexes; and
- vi) modification (e.g., alkylation) levels of Keap1 and/or at least one other Nrf2/Keap1-associated proteins.

[0013] In some embodiments of methods 1-3, the compounds that are being screened, evaluated, or compared comprise at least one member of at least one of the following classes of compounds: mild alkylating agents, Michael addition acceptors, and compounds that are metabolized upon administration to Michael addition acceptors. In some embodiments, the Michael addition acceptor has the structure of Formula I, II, III, or IV set forth below.

[0014] In some embodiments method 1 comprises:

- a) contacting a cell with a plurality of test compounds,
- b) determining whether the Nrf2 pathway is upregulated in the cell, and
- selecting from the plurality of compounds at least one compound that upregulates the Nrf2 pathway,

wherein an upregulation of the Nrf2 pathway by the selected at least one compound indicates that the selected at least one compound may be useful for treating a neurological disease. The plurality of compounds may be represented, e.g., by a combinatorial chemical library, and the method may be performed, e.g., by high-throughput screening.

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[0015] In some embodiments method 2 comprises:

a) contacting a cell with the at least one drug or drug candidate, and

b) determining whether the Nrf2 pathway is upregulated in the cell, wherein an upregulation of the Nrf2 pathway by the at least one drug or drug candidate indicates that the at least one drug or drug candidate is useful for neuroprotection in treating a human having a neurological disease.

[0016] In some embodiments method 3 comprises:

- a) contacting a cell with a first composition comprising at least one test compound, and
- b) comparing the level of Nrf2 pathway upregulation in the cell by the at least one test compound to the corresponding level of the Nrf2 pathway upregulation in a control cell treated with a second composition comprising at least one of DMF and MMF.

[0017] In some embodiments of method 3, the test compound is fumaric acid, a salt thereof, or a fumaric acid derivative. In some embodiments, the first composition comprises DMF, MMF, or both. In some embodiments, the dose and/or the formulation of the first composition differs from the dose and/or the formulation of the second composition.

[0018] In some embodiments, method 3 further comprises:

c) comparing at least one pharmacokinetic parameter (e.g., serum-half-life) of the first and the second compositions.

[0019] In some embodiments method 4 comprises administering to the mammal a therapeutically effective amount of at least one neuroprotective compound having Formula I, II, III, or IV, e.g., a fumaric acid derivative (e.g., DMF or MMF).

[0020] In some embodiments method 4 provides a method of slowing or preventing neurodegeneration in a patient in need thereof, by administering the compound in an amount and for a period of time sufficient to slow or prevent demyelination, axonal loss, and/or neuronal death, e.g., by at least 30% relative to a control.

[0021] In some embodiments method 5 comprises:

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- a) administering to the mammal a therapeutically effective amount of at least one first compound that upregulates the Nrf2 pathway, and
- b) administering a therapeutically effective amount of at least one second compound that does not upregulate the Nrf2 pathway.

[0022] In some embodiments of method 5, the at least one first compound, used in step (a), is a compound of Formula I, II, III, or IV, e.g., a fumaric acid derivative (e.g., DMF or MMF); and the at least one second compound, which is used in step (b), is an immunosuppressive or an immunomodulatory compound that does not upregulate the Nrf2 pathway (e.g., by more than 30% over a control).

[0023] In some embodiments method 5 comprises administering to the mammal a therapeutically effective amount of a compound of Formula I, II, III, or IV.

[0024] In some embodiments of methods 1-5, the at least onecompound being screened, identified, evaluated, or used for treating a neurological disorder is not fumaric acid or its salt, or a fumaric acid derivative (e.g., DMF or MMF).

[0025] Other features and embodiments of the invention will be apparent from the following description and the claims.

### BRIEF DESCRIPTION OF THE FIGURES

[0026] Figure 1 demonstrates that DMF and MMF are activators of Nrf2 at concentrations within clinical exposure range (cells in culture).

[0027] Figure 2 shows results of RNAi experiments.

[0028] Figure 3 shows evidence of Nrf2 activation by DMF and MMF In vivo.

[0029] Figure 4 shows evidence of Nrf2 activation by DMF and MMF In vivo.

[0030] Fumaric acid esters, such as DMF, have been proposed for treatment of MS (see, e.g., Schimrigk et al., Eur. J. Neurol., 2006, 13(6):604-10; Drugs R&D, 2005, 6(4):229-30).

[0031] Provided are, among other things, means for identifying compounds with a new therapeutic modality useful in at least one of multiple neurological indications and, optionally, complementary to other drugs for the treatment of a neurological disease, including a number of currently used immunomodulators.

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[0032] DMF is a member of a large group of anti-oxidant molecules known for their cytoprotective and anti-inflammatory properties. These molecules also share the property of the Nrf2 pathway activation. Thus, the finding that DMF activates the Nrf2 pathway in conjunction with the neuroprotective effects of DMF further offers a rationale for identification of structurally and/or mechanistically related molecules that would be expected to be therapeutically effective for the treatment of neurological disorders, such as, e.g., MS.

[0033] Certain terms are defined in this section; additional definitions are provided throughout the description.

[0034] The terms "activation" and "upregulation," when used in reference to the Nrf2 pathway, are used interchangeably herein.

[0035] The terms "disease" and "disorder" are used interchangeably herein.

[0036] The term "a drug for treating a neurological disease" refers to a compound that has a therapeutic benefit in a specified neurological disease as shown in at least one animal model of a neurological disease or in human clinical trials for the treatment of a neurological disease.

[0037] The term "neuroprotection" and its cognates refer to prevention or a slowing in neuronal degeneration, including, for example, demyelination and/or axonal loss, and/or, neuronal and/or oligodendrocyte death. Neuroprotection may occur through several mechanisms, e.g., through reducing inflammation, providing neurotrophic factors, scavenging free radicals, etc. As used herein, a compound is considered neuroprotective if it (1) upregulates the Nrf2 pathway above a certain threshold and (2) provides neuroprotection, regardless of possible other mechanisms of action.

[0038] The terms "treatment," "therapeutic method," "therapeutic benefits," and the like refer to therapeutic as well as prophylactic/preventative measures. Thus, those in need of treatment may include individuals already having a specified disease and those who are at risk for acquiring that disease.

[0039] The terms "therapeutically effective dose" and "therapeutically effective amount" refer to that amount of a compound which results in at least one of prevention

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or delay of onset or amelioration of symptoms of a neurological disorder in a subject or an attainment of a desired biological outcome, such as reduced neurodegeneration (e.g., demyelination, axonal loss, and neuronal death) or reduced inflammation of the cells of the CNS.

[0040] In one aspect, provided are methods of evaluating neuroprotective properties of test compounds, including the following methods:

- methods of screening for new candidate compounds that may be useful for treating a neurological disease;
- methods of evaluating neuroprotective properties of drugs and candidates that are used or proposed for treating a neurological disease;
- methods of comparing (e.g., for bioequivalence) two or more
   pharmaceutical compositions which contain fumaric acid derivatives;

[0041] In some embodiments, methods 1-3 may comprise:

- a) contacting a cell with the test compound,
- b) determining whether the Nrf2 pathway is upregulated in the cell,

and, in some embodiments, additionally performing the following step(s):

- c) determining whether the test compound slows or prevents demyelination, axonal loss, and/or neuronal death, and/or
- d) selecting the test compound as a candidate for treating neurodegeneration in a neurological disease if 1) the Nrf2 pathway is upregulated and 2) demyelination, axonal loss, and/or neuronal death are/is prevented or slowed.

## Method 1

[0042] In some embodiments the methods of screening for a candidate compound for treating a neurological disease comprise:

- a) contacting a cell with a plurality of test compounds,
- b) determining whether the Nrf2 pathway is upregulated in the cell, and
- c) selecting from the plurality of compounds at least one compound that upregulates the Nrf2 pathway,

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wherein an upregulation of the Nrf2 pathway by the selected at least one compound indicates that the selected at least one compound may be useful for treating a neurological disease. For example, the plurality of compounds may be represented by a combinatorial chemical library, and the screening method may be performed by a high-throughput screening as described in, e.g., High-Throughput Screening in Drug Discovery (Methods and Principles in Medicinal Chemistry), by Jörg Hüser (ed.), John Wiley & Sons (2006).

[0043] Combinatorial libraries of compounds are also described in, e.g., Solid-Supported Combinatorial and Parallel Synthesis of Small-Molecular-Weight Compound Libraries (Tetrahedron Organic Chemistry) Ian Salusbury (ed.), Elsevier (1998); Combinatorial Libraries: Synthesis, Screening and Application Potential (Library Binding), by Riccardo Cortese (ed.), Walter de Gruyter (1995). The libraries of compounds may be, for example, quinone libraries and other libraries as described in Mittoo, Comb. Chem. & High Throughput Screen, 2006, 9:421-423.

[0044] In some embodiments, the at least one compound or plurality of compounds being screened and/or selected comprises at least one compound selected from at least one of the following groups of compounds: mild alkylating agents, Michael addition acceptors or compounds that are metabolized to Michael addition acceptors, including compounds of Formulas I, II, III, or IV.

[0045] In some of the embodiments, the at least one compound is selected from fumaric acid, its salts, and fumaric acid derivatives.

## Method 2

[0046] Also provided are methods of evaluating neuroprotective properties of at least one drug or drug candidate for treating at least one neurological disease. Such methods comprise:

a) contacting a cell with the at least one drug or drug candidate, and

b) determining whether the Nrf2 pathway is upregulated in the cell, wherein the upregulation of the Nrf2 pathway by the at least one drug or drug candidate indicates that the at least one drug or drug candidate is neuroprotective in treating a human having a neurological disease.

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[0047] In some embodiments, the upregulation of the Nrf2 pathway by the at least one drug or drug candidate indicates that the at least one drug or drug candidate has at least one activity selected from slowing demyelination, slowing the loss of axons, and slowing the rate of neuronal death.

[0048] In some embodiments, the method of evaluating at least one drug or drug candidate comprises an additional step:

c) evaluating demyelination, loss of axons, and/or neuronal death.

[0049] In some embodiments, steps a) and c) are performed in vivo in at least one model of a neurological disease, e.g., as described below.

[0050] In other embodiments, particularly those in which the neurological disease is multiple sclerosis or another demyelinating disease, the evaluated at least one drug or drug candidate for a neurological disease is chosen from the following: FTY720 (2-(4-octylphenethyl)-2-aminopropane-1,3-diol; Novartis); anti-IL12 antibody (e.g., ABT-874; Abbott Laboratories); GSK683699 (GSK/Tanabe); NeuroVax (Immune Response Corp.; Darlington, Curr. Opin. Mol. Ther., 2005, 7(6):598-603); anti-CCR2 antibody (e.g., MLN 1202; Millennium); interferon  $\beta$ -1a (e.g., Avonex®; Biogen Idec); anti- $\alpha$ 4-integrin antibody (e.g., Tysabri®; Biogen Idec/Elan); anti-CD20 antibody (e.g., Rituxan® (Biogen Idec/Genentech); TV 5010 (Teva); NBI-788 (Neurocrine); MBP8298 (BioMS (see Warren et al., Eur. J. Neurol., 2006, 13(8):887-95); Mylinax (Oral Cladribine; 2-chlorodeoxyadenosine; Serono/IVAX); Teriflunomide ((Z)-2-cyano-N-(4-(trifluoromethyl)phenyl)-3-hydroxybut-2-enamide; Sanofi-Aventis);

Temsirolimus (Wyeth); Laquinimod

(5-chloro-N-ethyl-1,2-dihydro-4-hydroxy-1-methyl-2-oxo-N-phenylquinoline-3-carboxa mide; Active Biotech/Teva); and interferon tau (Tauferon; Pepgen).

[0051] In some embodiments, the at least one drug or drug candidate being evaluated is at least one compound selected from at least one class selected from a mild alkylating agent, a Michael addition acceptor, and a compound that is metabolized to a Michael addition acceptor, including compounds of Formulas I, II, III, or IV.

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[0052] In some of the embodiments, the compound is fumaric acid, its salt, or a fumaric acid derivative.

## Method 3

[0053] Also provided are methods of comparing (e.g., for bioequivalence) at least two pharmaceutical compositions. Such methods comprise:

- a) contacting a cell with at least one first composition comprising a test compound, and
- b) comparing the level of the Nrf2 pathway upregulation in the cell by the test compound to the corresponding level of the Nrf2 pathway upregulation in a cell treated with at least one second composition ("comparator composition") comprising DMF, MMF, or both.

[0054] In some embodiments, substantially dissimilar levels of upregulation by the at least one first and at least one second compositions indicate that the compositions are not bioequivalent.

[0055] In some embodiments, the test compound is fumaric acid, its salt thereof, a fumaric acid derivative, or mixtures thereof. In some embodiments, the first composition comprises at least one of DMF, MMF, and both DMF and MMF. In some embodiments, the dose and/or the formulation of the at least one first composition differs from the dose and/or the formulation of the at least one second composition. The at least one first composition may be a controlled release composition such as, e.g., compositions described in WO 2006/037342.

[0056] In some embodiments, the method further comprises and additional step:

c) comparing at least one pharmacokinetic parameter of the at least one first and the at least one second compositions.

[0057] Pharmacokinetic parameters and methods for evaluating the same are well known and are described in, e.g., Pharmacokinetics, Second Edition (Drugs and the Pharmaceutical Sciences) by Milo Gibaldi et al. (eds.), Informa Healthcare (1982). Examples of such pharmacokinetic parameters that can be evaluated include serum half-life, clearance, and volume distribution.

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[0058] In some embodiments, substantially dissimilar pharmacokinetic parameter(s) of the a least one first and at least one second compositions indicate that the compositions are not bioequivalent.

[0059] In some embodiments, the test compound being evaluated is a mild alkylating agent, and more specifically, a Michael addition acceptor, or a compound that is metabolized to a Michael addition acceptor.

[0060] In some of the embodiments, the test compound is fumaric acid or its salt, or a fumaric acid derivative.

[0061] Also provided are methods of treating a mammal who has or is at risk for developing a neurological disease, including the following methods:

- methods of treating a neurological disease by administering to the subject in need thereof at least one compound that is partially structurally similar to DMF or MMF (including compounds selected using methods 1-3 described above); and
- 5) methods of treating a neurological disorder by a combination therapy that includes administration of a first compound that does not upregulate the Nrf2 pathway and a second compound that upregulates the Nrf2 pathway.

## Method 4

[0062] Also provided are methods of treating a neurological disease by administering to the subject in need thereof at least one compound that is at least partially structurally similar to DMF and/or MMF.

[0063] In some embodiments of method 4, a method of treating a mammal who has or is at risk for a neurological disease is provided. The methods comprises administering to the mammal a therapeutically effective amount of at least one neuroprotective compound which has Formula I, II, III, or IV, e.g., a fumaric acid derivative (e.g., DMF or MMF).

[0064] In some embodiments of method 4, a method of slowing or preventing neurodegeneration (more specifically, e.g., demyelination, axonal loss, and/or neuronal death) in a subject in need thereof, by administering the at least one compound in an amount and for a period of time sufficient to do at least one of slow or

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prevent demyelination, slow or prevent axonal loss, and alow or prevent neuronal death, e.g., by at least 30%, 50%, 100% or higher over a control over a period of at least 5, 10, 12, 20, 40, 52, 100, or 200 weeks, or more.

## Method 5

[0065] Also provided are methods of treating a mammal having a neurological disease by combination therapy. In some embodiments such methods comprise:

- a) administering to the mammal a therapeutically effective amount of at least one first compound that upregulates the Nrf2 pathway, and
- b) administering a therapeutically effective amount of at least one second compound that does not upregulate the Nrf2 pathway.

[0066] In some of embodiments of method 5, the at least one first compound, used in step (a), is a compound of Formula I, II, III, or IV, e.g., DMF or MMF; and the at least one second compound, which is used in step (b), is an immunosuppressive or an immunomodulatory compound that does not upregulate the Nrf2 pathway (e.g., by more than 30%, 50%, 100% over a control).

[0067] In some embodiments of method 5, the method comprises administering to the mammal a therapeutically effective amount of a compound of Formula I, II, III, or IV.

[0068] In method 5, the at least one first compound and the at least one second compound may be administered concurrently (as separate compositions or a mixed composition) or consecutively over overlapping or non-overlapping intervals. In the sequential administration, the at least one first compound and the at least one second compound can be administered in any order. In some embodiments, the length of an overlapping interval is more than 2, 4, 6, 12, 24, or 48 weeks, for example.

[0069] Michael addition acceptors generally include olefins or acetylenes conjugated to an electron withdrawing group, such as carbonyl containing groups, thiocarbonyl containing groups, cyano, sulfonyl, sulfonamido, amido, formyl, keto, and nitro. Exemplary carbonyl groups include carboxylic acid esters and carboxylic acid.

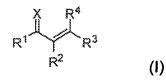
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[0070] In some embodiments of methods 1-5, the at least one compound being screened, identified, evaluated, or used for treating a neurological disorder is selected from a mild alkylating agent, aMichael addition acceptor, and a compound that is metabolized to a Michael addition acceptor.

[0071] In some embodiments, the Michael addition acceptor has the structure of Formula I:



or a pharmaceutically acceptable salt thereof, wherein:

X is O; S; C(R)( $C_{1-12}$ )alkyl; or C(R)( $C_{2-12}$ )alkenyl, wherein R is H, ( $C_{1-12}$ )alkyl or ( $C_{2-12}$ )alkenyl;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are independently selected from: H; OH; O; CO<sub>2</sub>H, CO<sub>2</sub><sup>-</sup>; SH; S<sup>-</sup>; SO<sub>2</sub>H, SO<sub>2</sub><sup>-</sup>; (C<sub>1-24</sub>)alkyl; (C<sub>1-24</sub>)alkenyl; (C<sub>6-50</sub>)aryl, CO<sub>2</sub>(C<sub>1-24</sub>)alkyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkyl; CO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; CO<sub>2</sub>Y, wherein Y is psoralen-9-yl, retinyl, alpha-tocopherol, calciferyl, corticostreoid-21-yl or monosaccarid- $\omega$ -yl; (C<sub>1-24</sub>)alkoxy; (C<sub>1-24</sub>)alkenyloxy; (C<sub>6-50</sub>)aryloxy; (C<sub>1-24</sub>)alkylthio; (C<sub>1-24</sub>)alkenylthio; (C<sub>6-50</sub>)arylthio, amino; amido; arylalkyl; cyano; nitro; sulfonyl; sulfoxido; sulfonamido; formyl; keto; and D and L natural or unnatural amino acids; or any two of X, R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup>, and R<sup>4</sup> may be joined together to form a cyclic moiety; and wherein the alkyl, alkoxy, alkenyl, alkenyloxy, aryl and aryloxy groups may be optionally substituted with at least one group chosen from halogen (F, CI, Br, or I), OH, (C<sub>1-4</sub>)alkoxy, nitro and cyano.

[0072] In some embodiments, the at least one Michael addition acceptor has the structure of Formula I, with the following provisos:

R<sup>1</sup> is selected from: H; OH; O<sup>-</sup>; CO<sub>2</sub>H, CO<sub>2</sub><sup>-</sup>; SH; S<sup>-</sup>; SO<sub>2</sub>H, SO<sub>2</sub><sup>-</sup>; (C<sub>1-24</sub>)alkyl; (C<sub>1-24</sub>)alkenyl; (C<sub>6-50</sub>)aryl; CO<sub>2</sub>(C<sub>1-24</sub>)alkyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkyl; CO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; CO<sub>2</sub>Y, wherein Y is psoralen-9-yl, retinyl, alpha-tocopherol, calciferyl, corticostreoid-21-yl or monosaccarid- $\omega$ -yl; (C<sub>1-24</sub>)alkoxy; (C<sub>1-24</sub>)alkenyloxy; (C<sub>6-50</sub>)aryloxy; (C<sub>1-24</sub>)alkylthio; (C<sub>1-24</sub>)alkenylthio; (C<sub>6-50</sub>)arylthio;

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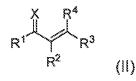
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arylalkyl; amino; amido; cyano; nitro; sulfonyl, sulfoxido; sulfonamido; formyl, keto; and D or L natural or unnatural amino acids; and wherein the alkyl, alkoxy, alkenyl, alkyenyloxy, aryl and aryloxy groups may be optionally substituted with at least one group chosen from halogen (F, Cl, Br, or I), OH, (C<sub>1-4</sub>)alkoxy, nitro and cyano;

 $R^2$  is selected from: H; CO<sub>2</sub>H; CO<sub>2</sub><sup>-</sup>; SO<sub>2</sub>H; SO<sub>2</sub><sup>-</sup>; (C<sub>1-24</sub>)alkyl; (C<sub>1-24</sub>)alkenyl; (C<sub>6-50</sub>)aryl; CO<sub>2</sub>(C<sub>1-24</sub>)alkyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkyl; CO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; CO<sub>2</sub>Y, wherein Y is psoralen-9-yl, retinyl, alpha-tocopherol, calciferyl, corticostreoid-21-yl or monosaccarid- $\omega$ -yl; (C<sub>1-24</sub>)alkoxy; (C<sub>1-24</sub>)alkenyloxy; (C<sub>6-50</sub>)aryloxy; (C<sub>1-24</sub>)alkylthio; (C<sub>1-24</sub>)alkenylthio; (C<sub>6-50</sub>)arylthio, amido; arylalkyl; cyano; nitro; sulfonyl, sulfoxido, sulfonamido; formyl, keto; and D or L natural or unnatural amino acids; wherein the alkyl, alkoxy, alkenyl, alkyenyloxy, aryl and aryloxy groups may be optionally substituted with at least one group chosen from halogen (F, Cl, Br, or I), OH, (C<sub>1-4</sub>)alkoxy, nitro and cyano; and

 $R^3$  and  $R^4$  are independently selected from: H; CO<sub>2</sub>H; CO<sub>2</sub>; SO<sub>2</sub>H; SO<sub>2</sub>; (C<sub>1-24</sub>)alkyl; (C<sub>1-24</sub>)alkenyl; (C<sub>1-24</sub>)alkenyl; (C<sub>1-24</sub>)alkenyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; CO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; CO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; SO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; CO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; CO<sub>2</sub>(C<sub>1-24</sub>)alkenyl; (C<sub>1-24</sub>)alkenyl; amido; arylalkyl; cyano; nitro; cyano; nitro; sulfonyl; sulfoxido; sulfonamido; formyl; and keto; wherein the alkyl, alkoxy, alkenyl, alkyenyloxy, aryl and aryloxy groups may be optionally substituted with at least one group chosen from halogen (F, Cl, Br, or I), OH, (C<sub>1-4</sub>)alkoxy, nitro and cyano.

[0073] In some embodiments, the at least one Michael addition acceptor has the structure of Formula II:



or a pharmaceutically acceptable salt thereof, wherein:

X is selected from O; S; C(R)(C<sub>1-12</sub>)alkyl; and C(R)(C<sub>2-12</sub>)alkenyl, wherein R is selected from H; (C<sub>1-12</sub>)alkyl; and (C<sub>2-12</sub>)alkenyl; and R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>

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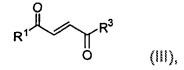
are independently selected from: H; OH; O<sup>-</sup>; CO<sub>2</sub>H; CO<sub>2</sub><sup>-</sup>; (C<sub>1-12</sub>)alkyl; (C<sub>1-12</sub>)alkenyl; and CO<sub>2</sub>(C<sub>1-12</sub>)alkyl;

or any two of X, R<sup>1</sup>, R<sup>2</sup> and R<sup>3</sup> may be joined together to form a cyclic molety.

[0074] In some embodiments of the compounds of Formulae I-IV, the pharmaceutically acceptable salt is a salt of a metal (M) cation, wherein M can be an alkali, alkaline earth, or transition metal such as Li, Na, K, Ca, Zn, Sr, Mg, Fe, or Mn.

[0075] In some embodiments of methods 1-5, the compounds of Formula I include fumaric acid, its salts, and fumaric acid derivatives.

[0076] In some embodiments, the at least one compound of Formula I has the structure of Formula III:

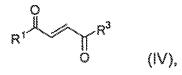


or a pharmaceutically acceptable salt thereof, wherein:

 $R^1$  and  $R^3$  are independently selected from OH; O<sup>-</sup>; (C<sub>1-24</sub>)alkoxy; (C<sub>1-24</sub>)alkenyloxy; (C<sub>6-50</sub>)aryloxy; **psoralen-9-yloxy; retinyloxy; alpha-**tocopheroloxy; calciferyloxy; corticostreoid-21-yloxy; monosaccarid- $\omega$ -yloxy; amino; and a D or L natural or unnatural amino acid; and wherein at least one of the the (C<sub>1-24</sub>)alkoxy; (C<sub>1-24</sub>)alkenyloxy; and (C<sub>6-50</sub>)aryloxy groups may be optionally substituted with at least one group chosen from halogen (F, CI, Br, or I), OH, (C<sub>1-4</sub>)alkoxy, nitro and cyano.

[0077] Compounds wherein at least one of R<sup>1</sup> and R<sup>3</sup> is derived from a natural or unnatural D or L amino acid are described in U.S. Application Serial Nos. 10/433,295, paragraphs 10 to 11 and 18-28, and 11/421,083, which are incorporated herein by reference.

[0078] In some embodiments, the compound of formula (I) has the structure of Formula IV:



or a pharmaceutically acceptable salt thereof, wherein:

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 $R^1$  and  $R^3$  are independently selected from OH; O<sup>-</sup>; (C<sub>1-24</sub>)alkoxy; allyloxy; vinyloxy; (C<sub>6-50</sub>)aryloxy; psoralen-9-yloxy; retinyloxy; alpha-tocopheroloxy; calciferyloxy; corticostreoid-21-yloxy; monosaccarid- $\omega$ -yloxy; amino; and a D or L natural or unnatural amino acid; and wherein at least one of the the (C<sub>1-24</sub>)alkoxy, allyloxy, vinyloxy, and (C<sub>6-50</sub>)aryloxy may be optionally substituted with at least one group chosen from CI, F, I, Br, OH, (C<sub>1-4</sub>)alkoxy, nitro, and cyano.

[0079] In some embodiments, the "fumaric acid derivative" is chosen from the compounds of Formula III, compounds of Formula IV and the following:

1) fumaric acid amides derived from natural and unnatural amino D or L acids, as described in U.S. Patent Application Serial Nos. 10/433,295, paragraphs 10 to 11 and 18-28, and 11/421,083.

 a carbocyclic or oxacyclic furnaric acid oligomer as described in U.S. Patent Application Serial No. 10/511,564, paragraphs 15-44; and

3) a glycerol or alkane diol or polyol derivative of fumaric acid as described in U.S. Patents Nos. 4,851,439, 5,149,695, 5,451,667, at cols. 2-4.

[0080] In some embodiments, "fumaric acid derivative" is one or more dialkyl fumarates (e.g., DMF), mono alkyl fumarates (MMF) or salts thereof.

[0081] In some of the embodiments of methods 1-5, the at least one compound being screened, evaluated, compared or used for treating a neurological disorder is not fumaric acid or its salt, or a fumaric acid derivative (e.g., DMF or MMF).

[0082] Nrf2 (Nuclear Factor-E2-related factor 2; for sequence of the Nrf2, see Accession No. AAB32188) is a transcription factor that, upon activation by oxidative stress, binds to the antioxidant response element (ARE), and activates transcription of Nrf2-regulated genes. This pathway has been well characterized for its role in hepatic detoxification and chemoprevention through the activation of phase II gene expression. ARE-regulated genes may also contribute to the maintenance of redox homeostasis by serving as endogenous anti-oxidant systems. At present, the list of Nfr2-regulated genes contains over 200 genes encoding proteins and enzymes involved in detoxification and antioxidant response (Kwak et al., J. Biol. Chem., 2003, 278:8135) such as, e.g., HO-1, ferritin, glutathione peroxidase,

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glutathione-S-transferases (GSTs), NAD(P)H:quinone oxidoreductases, now commonly known as nicotinamide quinone oxidoreductase 1 (NQO1; EC 1.6.99.2; also known as DT diaphorase and menadione reductase), NQO2, g-glutamylcysteine synthase (g-GCS), glucuronosyltransferase, ferritin, and heme oxygenase-1 (HO-1), as well as any one of the enzymes proteins listed in Table 1 in Chen & Kunsch, Curr. Pharm. Designs, 2004, 10:879-891; Lee et al., J. Biol. Chem., 2003, 278(14):12029-38, and Kwak, supra.

[0083] Accordingly, in some embodiments, the at least one Nrf2-regulated gene which is used to assess the activation of the Nrf2 pathway is selected from a phase II detoxification enzyme, an anti-oxidant enzyme, an enzyme of the NADPH generating system, and Nrf2 itself. Examples of the phase II detoxification enzymes include NQO1, NQO2, GST-Ya, GST-pi, GST-theta 2, GST-mu (1,2,3), microsomal GST 3, catalytic y-GCS, regulatory-GCS, microsomal epoxide hydrolase, UDP-glucuronosyltransferase, transaldolase, transketolase, and drug-metabolizing enzyme. Examples of the anti-oxidant enzymes include HO-1, ferritin (L), glutathione reductase, glutathione peroxidase, metallothionein I, thioredoxin, thioredoxin reductase, peroxiredoxin MSP23, Cu/Zn superoxide dismutase, and catalase. Examples of the enzymes of the NADPH generating system include malic enzyme, UDP-glucose dehydrogenase, malate oxidoreductase, and glucose-6-phosphate dehydrogenase.

[0084] The antioxidant response element (ARE, also referred to as the electrophile response element (EpRE), GRE1, ARE4, and StREb) is a *cis*-acting DNA regulatory element with a core nucleotide sequence of 5'-TGA(C/T/G)NNNGC-3' (SEQ ID NO:1) (Rushmore et al., J. Biol. Chem., 1991, 266(18):11632-9; see also Nioi et al., Mutation Res., 2004, 555:14-171).

[0085] Accordingly, in some embodiments, the DNA sequence of the ARE element, to which Nrf2 binds (whether the former is a part of an endogenous gene or an artificial construct), comprises the core ARE sequence TGA(C/T/G)NNNGC (SEQ ID NO:2) or the ARE consensus sequence (G/A)TGA(C/T/G)NNNGC(A/G) (SEQ ID

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NO:3). In further specific embodiments, the ARE sequence comprises any one of the "minimal enhancer" sequences shown in Table 1.

[0086] In some embodiments, the ARE sequence further comprises at least one of corresponding 5'- and 3'-USR sequences as shown in Table 1. In some embodiments, the ARE sequence comprises the sequence GTGANNNNGCA (SEQ ID NO:4), or more particularly, the mouse (NNNN=gtcg) or human (NNNN=ctca) versions thereof.

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Species	Gene	Element	5'-USR	Minimal enhancer	3'-USR	SEQ ID NO
mouse	nqo1	ARE	agTCAca	GTGAgtcgGCA	aaattt	SEQ ID NO:5
rat	NQO1	ARE	agTCAca	GTGACttgGCA	aaatct	SEQ ID NO:6
human	NQO1	ARE	agTCAca	GTGACtcaGCA	gaatct	SEQ ID NO:7
mouse	gsta1	EpRE	gcTAAtg	GTGACaaaGCA	actttc	SEQ ID NO:8
rat	GSTA2	ARE	gcTAAtg	GTGACaaaGCA	actttc	SEQ ID NO:9
mouse	gsta3	ARE	ctcAggc	ATGACattGCA	ttttc	SEQ ID NO:10
rat	GSTP1	GPE1	agTCAct	ATGATtcaGCA	acaaaa	SEQ ID NO:11
human	GCLC	ARE4	CCTCCCC	GTGACtcaGCG	ctttgt	SEQ ID NO:12
human	GCLM	EpRE	gaagAca	ATGACtaaGCA	gaaatc	SEQ ID NO:13
mouse	ho1	StREb	CCCAACC	ATGACacaGCA	taaaag	SEQ ID NO:14
ARE 'core	)'		TGACnnnGC SEQ ID NO:15			SEQ ID NO:15
			T <u>A</u> Ann <u>A</u> TGA <u>C</u> nnnGC <u>A</u> <u>aaaa</u> S		SED ID NO:16	
ARE cons	ensus		С	G T G	tttt	

[0087] A current model of Nrf2 function is as follows. Under basal conditions, Nrf2 is sequestered in the cytoplasm to the actin-bound Kelch-like ECH-associated

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protein 1 (Keap1; Accession No. NP\_987096 for human Keap1), a Cullin3 ubiquitin ligase adaptor protein. More specifically, the N-terminal domain of Nrf2, known as Neh2 domain, is thought to interact with the C-terminal Kelch-like domain of Keap1. In response to xenobiotics or oxidative stress, Nrf2 is released from the Keap1/Nrf2 complex, thereby promoting nuclear translocation of Nrf2 and concomitant activation of ARE-mediated gene transcription. Keap1 function, in turn, requires association with Cullin3, a scaffold protein that positions Keap1 and its substrate in proximity to the E3 ligase Rbx1, allowing the substrate (Nrf2) to be polyubiquitinated and thus targeted for degradation. The exact mechanism of how the Keap1/Nrf2 complex senses oxidative stress is not fully understood. Human Keap1 contains 25 cysteine residues that were hypothesized to function as sensors of oxidative stress; 9 of the cysteines are thought to be highly reactive (Dinkova-Kostova et al., PNAS, 2005, 102(12):4584-9). It was theorized but is not relied on for the purposes of this invention that alkylation of cysteins leads to a conformational change, resulting in the liberation of Nrf2 from Nrf2/Keap1/Cullin3 complexes, followed by nuclear translocation of the liberated Nrf2.

[0088] In some embodiments, methods 1-3 described herein comprise contacting a cell with at least one test compound and determining whether the Nrf2 pathway is upregulated in the cell. In such methods, an upregulation of the Nrf2 pathway above a threshold (e.g., by at least 30%, 50%, 100%, 200%, 500% over a control) indicates that the at least one compound has certain biological properties beneficial in treating a neurological disease (e.g., neuroprotective properties).

[0089] The ability of a compound to activate the Nrf2 pathway can be determined by one or more in vitro and in vivo assays, including, e.g., the following assays described below.

[0090] i) Expression *levels of Nrf2*--The sequence of the promoter region of the *nrf2* gene (-1065 to -35) has been published, for example, in Chan et al., PNAS, 1996, 93:13943-13948. One may use an artificially constructed expression construct containing the Nrf2 promoter element and an artificial reporter gene. Alternatively, one may use PCR or Northern blotting to determine expression levels of Nrf2 mRNA, or Western blotting to determine Nrf2 protein levels. Exemplary procedures for

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determining expression levels of Nrf2 are described in Kwak et al., Mol. Cell. Biol. 2002, 22(9):2883-2892 and Kwak et al., Mol. Med., 2001, 7:135-145. Antibodies against Nrf2 are can be produced by methods known in the art and are commercially available from, for example, StressGen. Accordingly, in some embodiments, the Nrf2 pathway is activated so that the expression levels of Nrf2 are increased by, for example, at least 30%, 50%, 100%, 200%, 500% or more as compared to the non-activated state.

[0091] ii) Subcellular localization and/or nuclear translocation of Nrf2-- Such assays include cell staining, or analysis of cytoplasmic versus nuclear cell extracts. For example, a Nrf2-green fluorescence protein (GFP) fusion protein construct can be made and introduced into cells and visualized as described in, e.g., Kraft et al., J. Neurosci., 2004, 24, 1101-1112; and Satoh et al., PNAS, 2006, 103(3):768-773. Accordingly, in some embodiments, the Nrf2 pathway is activated so that the ratio between cytomplasmic and nuclear Nrf2 is elevated by, for example, at least 30%, 50%, 100%, 200%, 500% or more as compared to the non-activated state.

[0092] iii) *Expression levels and/or activity of one or more genes under the control of Nrf2*--Such genes under the control of Nrf2 include endogenous or artificially introduced reporter genes in reporter constructs introduced into cells. For example, expression levels of endogenous or exogenously introduced NQO1 may be determined as described in the Examples. Alternatively, a reporter gene construct with one or more ARE sites operably linked to a reporter gene (e.g., luceferase or GFP) can be made, as described in, e.g., Satoh et al., PNAS, 2006, 103(3):768-773. Expression levels of an Nrf-2 induced gene product can be measured at the protein (e.g., by Western blotting or enzymatic activity assays) or at the mRNA levels (e.g., by PCR). Methods for performing RT-PCT are described in, e.g., Calabrese et al., J. Neurosci. Res., 2005, 79:509-521 for HO-1, in Wierinckx et al., J. Neuroimmunology, 2005, 166:132-143 for NQO1. Methods for measuring enzymatic activity of NQO1, using for example, menadione as a substrate, are described in Dinkova-Kostova et al., PNAS, 2001, 98:3404-09 or by Prochaska et al., Anal. Biochem., 1988, 169:328-336. Methods for measuring GST activity, using for example, 1-chloro-2,4-dinitrobenzene as

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a substrate, are described in Ramos-Gomez et al., J. Neurosci., 2004, 24(5):1101-1112 and Habig et al., 1974, J. Biol. Chem., 219, 7130-7139. Methods for measuring HO-1 activity are described in, e.g., in Calabrese et al., 2005, J. Neurosci. Res., 79:509-521. Accordingly, in some embodiments, the Nrf2 pathway is activated so that the expression levels and/or activity of the gene produced are increased by, for example, at least 30%, 50%, 100%, 200%, 500% or more as compared to the non-activated state.

[0093] iv) Levels of Nrf2 binding to ARE--For example, such assays may utilize electromobility shift assays (EMSA) and Chromatin Immununoprecipitation (ChIP) assay, as described in, e.g., Satoh et al., PNAS, 2006, 103(3):768-773 and Kwak et al., Mol. Cell Biol., 2002, 22(9):2883-2892. Accordingly, in some embodiments, the Nrf2 pathway is activated so that the level of Nrf2 binding to ARE is increased by, for example, at least 30%, 50%, 100%, 200%, 500% or more as compared to the non-activated state.

[0094] v) The stability of Nrf2/Keap1 complexes--Such assay may include analysis of immunoprecipitated complexes with Nrf2 and/or Keap1 or other Nrf2/Keap1-associated proteins as described in, e.g., Satoh et al., PNAS, 2006, 103(3):768-773. Anti-Keap1 antibodies can be produced using methods known in the art and are available commercially from, for example, Santa Cruz Biotechnology. Accordingly, in some embodiments, the Nrf-2 pathway is activated so that the stability of Nrf2/Keap1 complexes is increased by, for example, at least 30%, 50%, 100%, 200%, 500% or more as compared to the non-activated state.

[0095] vi) Modification (e.g., alkylation levels) of Keap1 and other Nrf2/Keap1-associated proteins--Such assays may include mass spectrometric analysis of immunoprecipitated Keap1, using techniques as described in, e.g., Dinkova-Kostova et al., PNAS, 2005, 102(12):4584-9 and Gao et al., J. Biol. Chem., on-line pub. Manuscript M607622200. In some embodiments, the Nrf-2 pathway is activated so that the level of Keap1 and other Nrf2/Keap1-associated proteins is increased by, for example, at least 30%, 50%, 100%, 200%, 500% or more as compared to the non-activated state.

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[0096] Alkylating capacity of a compound can be assessed using recombinant Keap1, by a competition reaction with 5,5'-dithiobis(2-nitrobezoic acid) (DTNB) as described in, e.g., Gao et al., J. Biol. Chem., on-line pub. Manuscript M607622200.

[0100] In some embodiments, the cell being contacted with at least one test compound is a neuron or a neuronal cell line. In some embodiments, the cell being contacted with the at least one test compound is selected from a colon carcinoma cell line (e.g., DLD1), a neuroblastoma cell line (e.g., SkNSH or IMR32), and a primary monocyte. The cell may be a cell in culture (in vitro) or be inside of an animal (in vivo).

[0101] Cell viability, and in particular, neuronal viability can be assessed in vivo or in vitro using any suitable method, including methods as described in the Examples. For example, neuronal viability can be assessed using an MTT assay after exposure of neuronal cell cultures to cytotoxic levels of glutamate as described in, e.g., Shih et al., J. Neurosci., 2005, 25(44):10321-35. Additionally, cell viability may also be assessed in assays in which cell death is induced by oxidative damage, for example, by the addition of glucose oxidase to astrocyte cell cultures, as described in, e.g., Calabrese et al., J. Neurosci. Res., 2005, 79:509-521. In vivo assays may be performed as described in, e.g., Misgeld, Histochem. Cell Biol., 2005, 124:189-196.

[0102] The amount of the reporter gene expressed can be determined by any suitable method. Expression levels, at the RNA or the protein level, can be determined using routine methods. Expression levels are usually scaled and/or normalized per total amount of RNA or protein in the sample and/or a control, which is typically a housekeeping gene such as actin or GAPDH. RNA levels are determined by quantitative PCR (e.g., RT-PCR), Northern blotting, or any other method for determining RNA levels, e.g., as described in Cloning: A Laboratory Manual, by Sambrook et al. (eds.), 2nd ed., Cold Spring Harbor Laboratory Press, 1989; Lodie et al., Tissue Eng., 2002, 8(5):739-751); or as described in the Examples. Protein levels are determined using, Western blotting, ELISA, enzymatic activity assays, or any other method for determining protein levels as described in, e.g., Current Protocols in Molecular Biology, by Ausubel et al. (eds.), John Wiley and Sons, 1998.

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[0103] Expression levels may also be determined using reporter gene assays in cell/tissue extracts or by tissue or whole-animal imaging. In addition to MRI, tissue imaging on living animals can be performed by fluorescence detection (Hoffman Lancet Oncol., 2002 3:546-556; Tung et al., Cancer Res., 2000, 60:4953-4958), bioluminescence detection (Shi et al., PNAS, 2001, 98:12754-12759; Luke et al., J. Virol., 2002, 76:12149-12161; and U.S. Patent Nos. 5,650,135 and 6,217,847), positron emission tomography (Liang et al., Mol. Ther., 2002, 6:73-82, near-infrared fluorescence (Tung et al., Cancer Res., 2000, 60:4953-4958), or X-ray imaging (Hemminki et al., J. Nat. Cancer Inst., 2002, 94:741-749).

[0104] A neurological disease in methods 1-5 above can be a neurodegenerative disease such as, for example, ALS, Parkinson's disease, Alzheimer's disease, and Huntington's disease. The neurological disease can also be multiple sclerosis (MS), or other demyelinating diseases of the central or peripheral nervous system. In some embodiments the form of MS in methods 1-5 is selected from: relapsing remitting MS (RRMS), secondary progressive MS (SPMS), primary progressive MS (PPMS), and malignant MS (Marburg Variant).

[0105] The subject being treated or administered the compound as per methods described herein, is a mammal in need thereof, such as a subject in need of neuroprotection, including a subject who has or is at risk for developing a demyelinating and another specified neurodegenerative disease. The subject is mammalian, and can be a rodent or another laboratory animal, e.g., a non-human primate. In some embodiments, the subject is human.

[0106] Neurodegenerative diseases are described in, for example, Neurodegenerative Diseases: Neurobiology, Pathogenesis and Therapeutics, M. Flint Beal, Anthony E. Lang, Albert C. Ludolph, Cambridge University Press (July 11, 2005). Examples of neurological diseases suitable for the methods described herein include neurodegenerative diseases such as amyotrophic lateral sclerosis (ALS), Parkinson's disease, Alzheimer's disease, and Huntington's disease. Other examples include demyelinating neurological disease including, in addition to MS, the following diseases: acute haemorrhagic leucoencephalomyelitis, Hurst's disease, acute

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disseminated encephalomyelitis, optic neuritis, Devic's disease, spinal cord lesions, acute necrotizing myelitis, transverse myelitis, chronic progressive myelopathy, progressive multifocal leukoencephalopathy (PML), radiation myelopathy, HTLV-1 associated myelopathy, monophasic isolated demyelination, central pontine myelinolysis, and leucodystrophy (e.g., adrenoleucodystrophy, metachromatic leucodystrophy, Krabbe's disease, Canavan's disease, Alexander's disease, Pelizaeus-Merbacher disease, vanishing white matter disease, oculodentodigital syndrome, Zellweger's syndrome), chronic inflammatory demyelinating polyneuropathy (CIDP), acute inflammatory demyelinating polyneuropathy (AIDP), Leber's optic atrophy, and Charcot-Marie-Tooth disease.

[0107] Additional examples of diseases suitable for the methods described herein include polyneuritis and mitochondrial disorders with demyelination. These disorders may be co-presented with, and possibly aggravated by diabetes, e.g., insulin-dependent diabetes mellitus (IDDM; type I diabetes), or other diseases.

[0108] A test compound may be further assayed in an animal model of MS, known as Experimental Autoimmune Encephalomyelitis (EAE) (Tuohy et al., J. Immunol., 1988, 141:1126-1130, Sobel et al. J. Immunol., 1984, 132:2393-2401, and Traugott, Cell Immunol., 1989 119:114-129). Chronic relapsing EAE provides a well established experimental model for testing agents that would be useful for the treatment of MS. The mouse EAE is an induced autoimmune demyelinating disease with many similarities to human MS in its clinical manifestations. In both EAE and MS, clinical disease is associated with blood-brain barrier (BBB) dysfunction, infiltration of central nervous system by mononuclear cells (mainly macrophages and T lymphocytes, and serum products), and demyelination (Baker et al. J. Neuroimmunol., 1990, 28:261; Butter et al., J. Neurol. Sci., 1991, 104:9; Harris et al., Ann. Neurol., 1991, 29:548; Kermonde et al., Brain, 1990, 113:1477).

[0109] Clinical signs of MS and demyelinating pathology in EAE result from immunization with CNS myelin proteins or peptides (e.g., MBP, PLP, and MOG) under Th1 conditions (direct immunization model), or by adoptive transfer of CNS antigen-specific Th1 cells (adoptive transfer model) (Ben-Nun et al., Eur. J. Immunol.,

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1981, 11:195-199; Ando et al., Cell Immunol., 1989, 124:132-143; Zamvil et al., Nature, 1985, 317:355-358; Zamvil et al., Ann. Rev. Immunol., 1990, 8:579-621). For example, in the SJL mouse model of EAE, immunization with the CNS peptide PLP 139-151 or adoptive transfer of PLP-specific Th1 cells results in a disease course consisting of an acute phase with loss of tail tone on day 10 to day 12, followed by hind limb paralysis and CNS mononuclear cell infiltration (Tuohy et al., J. Immunol., 1988, 141:1126-1130, Sobel et al., J. Immunol., 1984, 132:2393-2401, and Traugott, Cell Immunol., 1989, 119:114-129). Resolution of clinical signs and recovery occurs on day 20 to day 25 and the animals may undergo several more relapses less severe than the initial phase. EAE has been used to evaluate new therapeutic approaches to T-cell-mediated autoimmune disease because of the clinical and histopathological similarities to the human demyelinating MS.

[0110] The ability of a compound to slow or prevent neurodegeneration (including demyelination and neuronal death) can be assessed in the EAE model or another animal model, including for example, Thieler's murine encephalomyelitis virus (TMEV)-induced demyelinating disease, murine hepatitis virus (MHV), Semliki Forest Virus, or Sindbis virus as described in, e.g., Ercoli et al., J. immunol., 2006, 175:3293-3298.

[0111] A compound may be optionally tested in at least one additional animal model (see, generally, Immunologic Defects in Laboratory Animals, eds. Gershwin et al., Plenum Press, 1981), for example, such as the following: the SWR X NZB (SNF1) mouse model (Uner et al., J. Autoimmune Disease, 1998, 11(3):233-240), the KRN transgenic mouse (K/BxN) model (Ji et al., Immunol. Rev., 1999, 69:139); NZB X NZW (B/W) mice, a model for SLE (Riemekasten et al., Arthritis Rheum., 2001, 44(10):2435-2445); the NOD mouse model of diabetes (Baxter et al., Autoimmunity, 1991, 9(1):61-67), etc.); or mouse models of multiple sclerosis (see, e.g., Linker et al., Eur. J. Immunol., 2002, 8(6):620-624, and Eugster et al., Nat. Med., 1999, 29:626-632; and Gold et al., Brain, 2006, 129:1953-1971).

[0112] Preliminary doses, for example, as determined in animal tests, and the scaling of dosages for human administration is performed according to art-accepted

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practices. Toxicity and therapeutic efficacy can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, e.g., for determining the LD<sub>50</sub> (the dose lethal to 50% of the population) and the ED<sub>50</sub> (the dose therapeutically effective in 50% of the population). The dose ratio between toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio  $LD_{50}/ED_{50}$ . In some embodiments compositions that exhibit large therapeutic indices are used.

[0113] The therapeutically effective dose can be estimated initially from cell culture assays. A dose may be formulated in animal models to achieve a circulating plasma concentration range that includes the  $IC_{50}$  (i.e., the concentration of the therapeutic compound which achieves a half-maximal inhibition of symptoms) as determined in cell culture assays or animal models. Levels in plasma may be measured, for example, by ELISA or HPLC. The effects of any particular dosage can be monitored by a suitable bioassay. Examples of dosages are: about 0.1 x  $IC_{50}$ , about 0.5 x  $IC_{50}$ , about 1 x  $IC_{50}$ , about 5 x  $IC_{50}$ , 10 x  $IC_{50}$ , about 50 x  $IC_{50}$ , and about 100 x  $IC_{50}$ .

[0114] The data obtained from the in vitro assays or animal studies can be used in formulating a range of dosages for use in humans. Therapeutically effective dosages achieved in one animal model can be converted for use in another animal, including humans, using conversion factors known in the art (see, e.g., Freireich et al., Cancer Chemother. Reports, 1966, 50(4):219-244 and Table 2 for Equivalent Surface Area Dosage Factors).

Table 2

То:	Mouse (20 g)	Rat (150 g)	Monkey (3.5 kg)	Dog (8 kg)	Human (60 kg)
From:					
Mouse	1	1/2	1/4	1/6	1/12
Rat	2	1	1/2	1/4	1/7
Monkey	4	2	1	3/5	1/3
Dog	6	4	3/5	1	1/2
Human	12	7	3	2	1

[0115] In some embodiments the dosage of such compounds lies within a range of circulating concentrations that include the ED<sub>50</sub> with little or no toxicity. In some embodiments the dosage varies within this range depending upon the dosage form employed and the route of administration utilized. Generally, a therapeutically effective amount may vary with the subject's age, condition, and sex, as well as the severity of the medical condition in the subject. Examples of pharmaceutically acceptable dosages for compounds described herein are from 1  $\mu$ g/kg to 25 mg/kg, depending on the compounds, severity of the symptoms and the progression of the disease. The appropriate therapeutically effective doses can be selected by a treating clinician and in some embodiments range approximately from 1  $\mu$ g/kg to 20 mg/kg, from 1  $\mu$ g/kg to 100  $\mu$ g/kg, from 100  $\mu$ g to 1 mg/kg. Additionally, certain specific dosages are indicated in the Examples.

[0116] For DMF or MMF, an effective amount can range from 1 mg/kg to 50 mg/kg (e.g., from 2.5 mg/kg to 20 mg/kg or from 2.5 mg/kg to 15 mg/kg). Effective doses will also vary, as recognized by those skilled in the art, dependent on route of

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administration, excipient usage, and the possibility of co-usage with other therapeutic treatments including use of other therapeutic agents. For example, an effective dose of DMF or MMR to be administered to a subject orally can be from about 0.1 g to 1 g per pay, 200 mg to about 800 mg per day (e.g., from about 240 mg to about 720 mg per day; or from about 480 mg to about 720 mg per day; or about 720 mg per day may be administered in separate administrations of 2, 3, 4, or 6 equal doses.

[0117] The dosage may be determined by a physician and adjusted, as necessary, to suit observed effects of the treatment. The compositions may be given as a bolus dose, to maximize the circulating levels for the greatest length of time after the dose. Continuous infusion may also be used after the bolus dose.

[0118] In some embodiments, compositions used in the methods described herein further comprise a pharmaceutically acceptable excipient. As used herein, the phrase "pharmaceutically acceptable excipient" refers to any and all solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents, and the like, that are compatible with pharmaceutical administration. The use of such media and agents for pharmaceutically active substances is well known in the art. The compositions may also contain other active compounds providing supplemental, additional, or enhanced therapeutic functions. The pharmaceutical compositions may also be included in a container, pack, or dispenser together with instructions for administration.

[0119] A pharmaceutical composition is formulated to be compatible with its intended route of administration. Methods to accomplish the administration are known in the art. "Administration" is not limited to any particular delivery system and may include, without limitation, parenteral (including subcutaneous, intravenous, intramedullary, intraarticular, intramuscular, or intraperitoneal injection), rectal, topical, transdermal, or oral (for example, in capsules (e.g., as, poweder, granules, microtablet, micropellets, etc.), suspensions, or tablets). Examples of some of formulations containing DMF and/or MMF are given in, e.g., US Patents Nos. 6,509,376, and 6,436,992.

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[0120] Administration to an individual may occur in a single dose or in repeat administrations, and in any of a variety of physiologically acceptable salt forms, and/or with an acceptable pharmaceutical carrier and/or additive as part of a pharmaceutical composition. Physiologically acceptable salt forms and standard pharmaceutical formulation techniques and excipients are well known to persons skilled in the art.

[0121] The following Examples are intended for illustrative purposes and do not limit the inventions claimed.

# **EXAMPLES**

#### Example 1

[0122] Human colon carcinoma DLD1 cells were treated with DMF or MMF at indicated concentrations (5, 15, or 50 µM) for 16 hours, rinsed with PBS, and harvested into reducing SDS sample buffer. The lysates were subjected to SDS PAGE and the separated proteins were electrophoretically transferred onto nitrocellulose membranes for Western blot analysis. To detect Nrf2 and NQO1, the membranes were incubated with the respective primary antibodies overnight at 4°C, washed, and incubated with peroxidase-conjugated secondary antibodies followed by the chemiluminescent peroxidase substrate. Detection of the target protein band luminescence and image acquisition were done using CCD-equipped imaging station Kodak2000R. The results shown in Figure 1, demonstrate that DMF and MMF are potent activators of Nrf2 at concentrations within clinical exposure range.

#### Example 2

[0123] DLD1 cells were grown in MEM supplemented with 10% fetal bovine serum. The cells were transfected with the indicated siRNA's using the Lipofectamine reagent (Invitrogen) according to the manufacturer's instructions and 30 hrs later stimulated with 30  $\mu$ M DMF for 40 hours. The cells were harvested and processed for Western blotting analysis of Nrf2 and NQO1 levels as described in Example 1. Sources and

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the identity of reagents used in Examples 1 and 2 are specified Table 3 below:

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	Target	Reagent	Source/Sequence	Vendor
Primary	Nrf2	Nrf2 (T-19)	goat polyclonal	Santa Cruz
Antibody			antibody	Biotechnology
	Keap1	Keap1 (E-	goat polyclonal	Santa Cruz
		20)	antibody	Biotechnology
•••••••••••••••••••••••••••••••••••••••	NQ01	NQ01	mouse monoclonal	Santa Cruz
		(A180)	antibody	Biotechnology
	GAPDH	Anti-	mouse monoclonal	Ambion
		GAPDH	antibody	
Secondary	anti-	HRP-	sheep	Amersham
antibody	mouse	Mouse IgG		Biosciences
	anti-	HRP-	donkey	Amersham
	rabbit	Rabbit IgG		Biosciences
	anti-Goat	HRP-Goat	Bovine	Santa Cruz
		lgG		Biotechnology
siRNA	Nrf2	Nrf2-2	UCAUUGAACUGC	Dharmacon
	:		UCUUUGGUU	
			(antisense)	
	:		(SEQ ID NO:17)	
	Keap1	Keap1-1	GAAUUAAGGCGG	Dharmacon
			UUUGUCCUU	
			(antisense)	
			(SEQ ID NO:18)	

[0124] The results are shown in Figure 2 (for ease of representation, the image of the Western blot is turned upside down). The results demonstrate that DMFinduced upregulation of NQO1 requires Nrf2 and can be mimicked by activation of

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Nrf2 through repression of Keap1. Therefore, DMF acts as an Nrf2 agonist causing cellular accumulation of Nrf2 and Nrf2 target gene expression.

# Example 3

[0125] For induction of EAE, mice received s.c. injections in the flanks and tail base of 50 µg MOG 35–55 peptide in PBS emulsified in an equal volume of complete Freund's adjuvant (CFA) containing Mycobacterium tuberculosis H37RA (Difco, Detroit MI, USA) at a final concentration of 0·5 mg/ml. Two injections of pertussis toxin (List Biological Laboratories Inc., California, USA; 200 ng per mouse i.p) were given on days 0 and 2.

[0126] DMF and MMF was diluted in 200 µl 0-08% Methocel/H<sub>2</sub>O as vehicle and administered by oral gavage starting from day 3 post immunization (p.i) until termination. Each treatment group consisted of 8 animals: vehicle alone as a negative control, 5 mg/kg body weight DMF twice a day, 15 mg/kg body weight DMF twice a day, 15 mg/kg body weight DMF twice a day. The compounds were obtained via Fumapharm AG. Oral gavage was used to ensure exact dosing and to avoid compound degradation.

[0127] Spinal cord tissues were fixed in 4% paraformaldehyde and embedded in paraffin. Slides were deparaffinized and rehydrated in graded alcohol solutions. Antigen retreival was performed by immersing the slides in 10 mM Citrate, pH 6.0 for 20 minutes in a pressure cooker at 120 C (Pascal, Dako Cytomation).

[0128] Immunohistochemistry was performed using the Dako autostainer as follows. Endogenous peroxidase was quenched by a 10 minute incubation in 3% H<sub>2</sub>0<sub>2</sub> / Methanol. The rabbit anti Nrf2 antibody C-20 (sc-722, Santa Cruz Biotechnology) was added at a 1:250 dilution in Dako Diluent with Background Reducing Components (Dako # S3022) C-20 antibody was detected using the Envision anti rabbit labeled polymer-HRP (Dako #K4003) and DAB (Vector Labs #SK-4100) was used as the chromogenic substrate. Morphometric analysis of Nrf2 immunostaining was performed using ImageJ software from NIH (http://rsb.info.ih.gov/ii/).

[0129] The resuluts, shown in Figures 3 and 4, demonstrate MMF and DMF activation of Nrf2 in vivo.

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[0130] All publications and patent documents cited herein are incorporated by reference in their entirety. To the extent the material incorporated by reference contradicts or is inconsistent with the present specification, the present specification will supersede any such material.

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# <u>CLAIMS</u>

1. A method of evaluating neuroprotective properties of at least one test compound, the method comprising:

- a) contacting a cell with the at least one test compound,
- b) determining whether the Nrf2 pathway is upregulated in the cell,
- c) determining whether the at least one test compound slows or prevents at least one of demyelination, axonal loss, and neuronal death, and
- d) selecting the test compound as a candidate for treating neurodegeneration in a neurological disease if 1) the Nrf2 pathway is upregulated and, optionally,
- e) further determining whether at least one of demyelination, axonal loss, and neuronal death are prevented or slowed by the compound.

2. The method of claim 1, wherein the neurological disease is a demyelinating disease.

3. The method of claim 2, wherein the demyelinating disease is multiple sclerosis.

The method of claim 1, wherein the cell in step a) is contacted with a plurality of test compounds.

5. The method of claim 1, wherein the Nrf2 pathway upregulation is determined by the levels of expression or activity of NQO1.

6. The method of claim 1, wherein the Nrf2 pathway is upregulated by at least 30% as indicated by one or more of the following parameters:

- i) expression levels of at least one of endogenously produced and exogenously introduced Nrf2;
- ii) at least one of subcellular localization and nuclear translocation of Nrf2;
- iii) the expression level of at least one gene under control of Nrf2;
- iv) the level of Nrf2 binding to the Nrf2-binding DNA element ARE;
- v) the stability of Nrf2/Keap1 complexes; and
- vi) modification levels of at least one protein selected from Keap1 and other Nrf2/Keap1-associated proteins.

7. The method of claim 6, wherein the at least one gene under control of Nrf2 is an Nrf2-regulated reporter gene in an artificial reporter construct.

8. The method of claim 1, further comprising comparing the level of Nrf2 pathway upregulation by the at least one test compound with the level of Nrf2 pathway upregulation by at least one comparator compound.

9. The method of claim 8, wherein the at least one comparator compound is selected from dimethyl fumarate and monomethyl fumarate.

10. The method of claim 1, wherein the at least one test compound has the structure of Formula I.

11. The method of claim 1, wherein the at least one test compound is selected from fumaric acid, its salts, and fumaric acid derivatives.

12. The method of claim 1, wherein the at least one test compound is chosen from FTY 720, ABT-874, GSK683699, NeuroVax, MLN 1202, interferon γ, Tysabri™, Rituxan, TV 5010, NBI-788, MBP8298, Cladribine, Teriflunomide, Temsirolimus, and Laquinimod.

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13. A method of treating a mammal having a neurological disease, comprising:

a) selecting a test compound according to the method of any one of claims
 1-10, and

b) administering the selected test compound a mammal in need thereof, thereby treating neurodegeneration in the mammal.

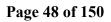
14. A method of treating a mammal having a neurological disease by combination therapy, the method comprising:

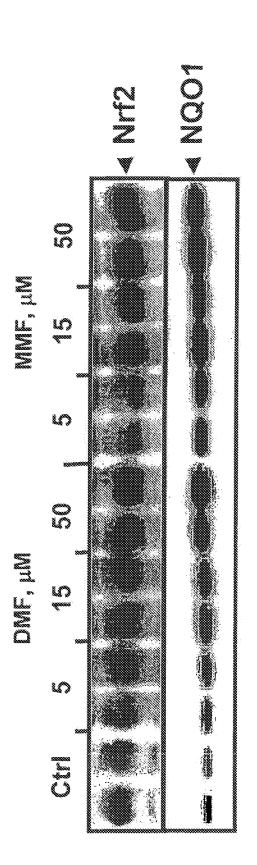
- a) administering to the mammal a therapeutically effective amount of at least one first compound that upregulates the Nrf2 pathway, and
- b) administering a therapeutically effective amount of at least one second compound that does not upregulate the Nrf2 pathway.

15. The method of claim 14, wherein the at least one first compound is selected from fumaric acid, its salts, and fumaric acid derivatives.

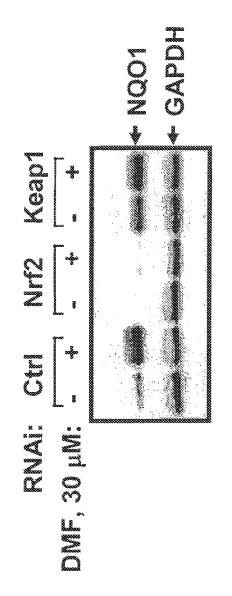
16. The method of claim 13 or 14, wherein the neurological disease is a demyelinating disease.

17. The method of claim 16, wherein the demyelinating disease is multiple sclerosis.





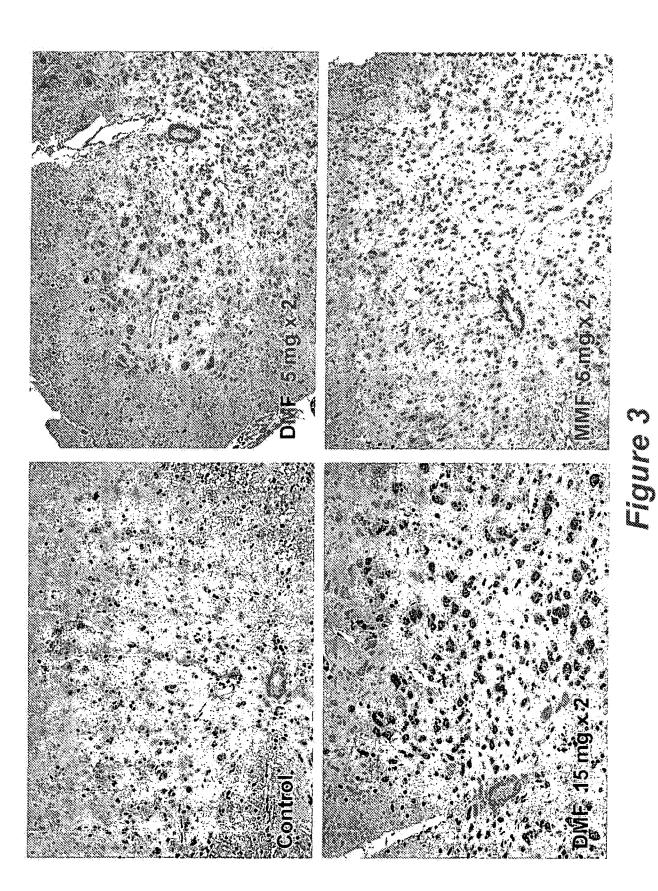
# Figure 1

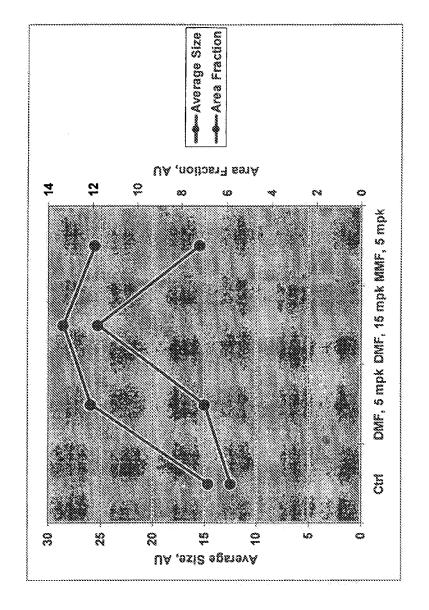




Page 49 of 150

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#### SEQUENCE LISTING

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Document Description: Request for Transfer of a Computer Readable Form

REG	QUEST FOR TRANS	FER OF A CO NDER 37 CFR		BLE FORM
Application No.:	To be assigned	First Named Inventor:	Matvey E. LUKASH	HEV
Filing Date:	February 13, 2012	Attorney Docket No.:	2159.3210002/JMC/N	MRG/U-S
Title of the Invention:	eatment for Multip	le Sclerosis (	As Amended)	
The sequenc	e information in the paper	copy or PDF file o	f the Sequence Listing	filed:
	herewith; as part of the originally as a separate amendm	•	of this application;	
for the □ ☑	above identified applicatic only last filed other (specify second, t			n in the
in appli This compute	ter readable form which w cation number <u>12/526,296</u> er readable form was com ed as the computer readab	filed	13, 2011 (§ 371(c) Date) 1.821-1.825, and appl	
The above r	eferenced paper copy o	r PDF file of the S	Sequence Listing con	tains no new matter.
A sequence listing text file submitted via EFS-Web that complies with the requirements of 37 CFR 1.824(a) (2)-(6) and (b) (i.e., is a compliant sequence listing ASCII text file), serves as both the paper copy required by 37 CFR 1.821(c) and the CRF required by 37 CFR 1.821(e). If a user submits a compliant sequence listing ASCII text file via EFS-Web, the U.S. Patent and Trademark Office will not carry out a request to use a compliant computer readable "Sequence Listing" that is already on file for another application pursuant to 37 CFR 1.821(e) but will use the sequence listing submitted with the application as originally filed via EFS-Web.				
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This collection of information is required by 35 U.S.C. 119, 37 CFR 1.55, and 37 CFR 1.102(d). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Confirmation No.: <i>To be assigned</i>
LUKASHEV et al.	Art Unit: To be assigned
Appl. No.: To be assigned	
(Continuation of Appl. No. 12/526,296,	
§ 371(c) Date: January 13, 2011)	Examiner: To be assigned
Filing Date: Herewith	Atty. Docket: 2159.3210002/JMC/MRG/U-S
For: <b>Treatment for Multiple Sclerosis</b> (As Amended)	

# Preliminary Amendment Under 37 C.F.R. § 1.115

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In advance of prosecution, Applicants submit the following amendments and remarks.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on

page 3 of this paper.

Remarks and Arguments begin on page 6 of this paper.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.

# Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application.

- 1-17. (Cancelled)
- 18. (New) A method of treating a subject in need of treatment for multiple sclerosis comprising orally administering to the subject in need thereof a pharmaceutical composition consisting essentially of (a) a therapeutically effective amount of dimethyl fumarate, monomethyl fumarate, or a combination thereof, and (b) one or more pharmaceutically acceptable excipients, wherein the therapeutically effective amount of dimethyl fumarate, monomethyl fumarate, monomethyl fumarate, or a combination thereof is about 480 mg per day.
- 19. (New) The method of claim 18, wherein the pharmaceutical composition is administered in the form of a tablet, a suspension, or a capsule.
- 20. (New) The method of claim 18, wherein the therapeutically effective amount is administered in separate administrations of 2, 3, 4, or 6 equal doses.
- 21. (New) The method of claim 20, wherein the therapeutically effective amount is administered in separate administrations of 2 equal doses.
- 22. (New) The method of claim 20, wherein the therapeutically effective amount is administered in separate administrations of 3 equal doses.
- 23. (New) The method of claim 18, wherein the pharmaceutical composition consists essentially of dimethyl fumarate and one or more pharmaceutically acceptable excipients.

- 24. (New) The method of claim 18, wherein the pharmaceutical composition consists essentially of monomethyl fumarate and one or more pharmaceutically acceptable excipients.
- 25. (New) The method of claim 18, wherein the pharmaceutical composition is administered to the subject for at least 12 weeks.
- 26. (New) The method of claim 23, wherein the therapeutically effective amount is administered to the subject in 2 equal doses.
- 27. (New) The method of claim 26, wherein the therapeutically effective amount is administered to the subject for at least 12 weeks.
- 28. (New) A method of treating a subject in need of treatment for multiple sclerosis consisting essentially of orally administering to the subject about 480 mg per day of dimethyl fumarate, monomethyl fumarate, or a combination thereof.
- 29. (New) The method of claim 28, wherein about 480 mg of dimethyl fumarate per day is administered to the subject.
- 30. (New) The method of claim 29, wherein the dimethyl fumarate is administered in separate administrations of 2 equal doses.
- 31. (New) The method of claim 29, wherein the dimethyl fumarate is administered in separate administrations of 3 equal doses.
- 32. (New) A method of treating a subject in need of treatment for multiple sclerosis comprising orally administering to the subject a pharmaceutical composition consisting essentially of (a) a therapeutically effective amount of dimethyl fumarate and (b) one or more pharmaceutically acceptable excipients, wherein

the therapeutically effective amount of dimethyl fumarate is about 480 mg per day.

- 33. (New) The method of claim 32, wherein the dimethyl fumarate is administered in separate administrations of 2 equal doses.
- 34. (New) The method of claim 18, wherein the expression level of NQO1 in the subject is elevated after administering to the subject the therapeutically effective amount of dimethyl fumarate, monomethyl fumarate, or a combination thereof.
- 35. (New) The method of claim 28, wherein the expression level of NQO1 in the subject is elevated after administering to the subject about 480 mg per day of dimethyl fumarate, monomethyl fumarate, or a combination thereof.
- 36. (New) The method of claim 32, wherein the expression level of NQO1 in the subject is elevated after administering to the subject the therapeutically effective amount of dimethyl fumarate.

### Remarks

Upon entry of the foregoing amendment, claims 18-36 are pending in the application, with claims 18, 28, and 32 being the independent claims.

Claims 1-17 are sought to be cancelled without prejudice or disclaimer thereof. New claims 18-36 are sought to be added. Support for claims 18-36 is set forth in Section I below.

#### I. Summary of the Claimed Subject Matter

The claimed invention is generally directed to methods of <u>orally</u> treating multiple sclerosis (MS). MS is a chronic disease for which only a limited number of disease-modifying treatment options are currently available, most of which are administered by injection. Only one disease-modifying <u>oral</u> drug has been approved in the United States and that has only recently been approved. In addition, not all MS drugs are indicated for every MS patient. Furthermore, patients must carefully weigh the risks associated with each drug at a given disease state. It is very clear that additional medications are needed to provide better life quality and reduced risk of disability for MS patients. Oral MS medications with favorable safety profiles are particularly desired. Applicants' invention satisfies this desire.

Applicants disclose a method for treating a neurological disease with at least one fumaric acid derivative, including dimethyl fumarate (DMF) or monomethyl fumarate (MMF), as "method 4" in paragraph [0009], lines 9-11 and paragraphs [0062-0063] of the specification. The application discloses that "[i]n some embodiments the neurological disease is MS or another demyelinating neurological disease."

(Specification, p. 4, paragraph [0010]) (emphasis added). Applicants also discussed a MS animal model, Experimental Autoimmune Encephalomyelitis (EAE), in paragraphs [0108] and [0109], as well as Example 3. Therefore, MS is supported in the application.

Additionally, Applicants disclose that DMF and/or MMF are effective in treating MS. For example, DMF and MMF are listed as specific examples of neuroprotective compounds. (Specification, p. 13, paragraph [0063].) Specifically, the specification indicates that

[i]n some embodiments of method 4, a method of treating a mammal who has or is at risk for a neurological disease is provided. The methods comprises administering to the mammal a therapeutically effective amount of at least one neuroprotective compound which has Formula I, II, III, or IV, e.g., a fumaric acid derivative (e.g., DMF or MMF).

(*Id.*) As such, DMF and MMF are specifically named in the application as compounds effective in treating neurological diseases such as MS. Furthermore, the dosages disclosed in paragraph [0116] of the application refer to the specific compounds "DMF" and "MMF". Accordingly, Applicants teach that DMF and MMF are effective in treating MS.

Applicants also disclose that orally administering 480 mg per day of DMF and/or MMF is effective in treating MS. (Specification, p. 30, paragraph [0116].) Specifically, the specification discloses that

[a]n effective dose of DMF or MMR [sic] to be administered to a subject orally can be from about 0.1 g to 1 g per pay [sic], 200 mg to about 800 mg per day (e.g.,

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from about 240 mg to about 720 mg per day; or **from about 480 mg to about 720 mg per day**; or about 720 mg per day).

(*Id.*) (emphasis added). Because Applicants teach 480 to 720 mg/day, and further disclose this dosage range as the most narrow range, it is clear that Applicants describe orally administering 480 mg DMF daily to treat MS. *See, e.g., In re Wertheim*, 541 F.2d 257, 191 U.S.P.Q. 90 (C.C.P.A. 1976).

The specification further discloses that the daily dose of DMF and/or MMF can be administered in 2, 3, 4, or 6 equal doses. *See*, *e.g.*, Specification, pp. 29-30, paragraph [0116] ("[F]or example, the 720 mg per day may be administered in separate administrations of 2, 3, 4, or 6 equal doses.") It is clear from the entire paragraph [0116] that, although the above citation from the specification refers to 720 mg/day as an example, the disclosure of multiple separate administrations equally applies to other dosages, *e.g.*, the 480 mg/day dose.

The specification further discloses that the expression level of NQO1 is elevated in *vivo* after administration of DMF or MMF. *See*, *e.g.*, original claims 1, 5, and 11; p. 2, paragraph [0006]; pp. 4-5, paragraph [0012]; pp. 22-23, paragraph [0092]; p. 31, paragraph [0122], Example 1, Figure 1; p. 31-32, paragraph [0123], Example 2, Figure 2.

Accordingly, Applicants disclose treating a subject with MS by orally administering 480 mg/day DMF and/or MMF to the subject.

Applicants' claimed method involves the <u>oral</u> administration of a specific daily dose of about <u>480 mg/day</u> of dimethyl fumarate (DMF) and/or monomethyl fumarate (MMF) (the physiologically active metabolite of DMF). The claimed method has been proven effective for the treatment of MS in human patients in two large-scale Phase 3 clinical studies (further discussed herein below). Quite surprisingly, it was found in those clinical studies that the 480 mg/day dose is just as effective in treating MS as a higher dose of 720 mg/day DMF. This is especially unexpected given the results of a Phase 2 clinical study in which a dose of 720 mg/day DMF, but not a 360 mg/day DMF dose, was found to be effective.

# II. Patentability of the Claimed Invention

The prior art teaches that certain autoimmune diseases (*e.g.*, MS) can be treated with fumarates (*e.g.*, DMF). *See e.g.*, U.S. Patent Publication No. 2003/0018072 to Joshi *et al.* ("Joshi") and Schimrigk *et al.*, *European Journal of Neurology* 2006, 13(6):604-610 ("Schimrigk"). However, the prior art does not teach or suggest a dose consisting essentially of about 480 mg/day of DMF and/or MMF. Needless to say, the prior art does not mention the efficacy of the 480 mg/day dose.

As mentioned above, it is unexpected that the dose of about 480 mg/day DMF was similarly effective compared to the higher dose of about 720 mg/day. The evidence of these unexpected results are provided in a declaration under 37 CFR § 1.132 of Katherine T. Dawson, M.D. ("Declaration") previously filed on October 13, 2011, in U.S. Patent Application No. 12/526,296, submitted herewith as Exhibit 1.

Biogen Idec MA Inc. ("Biogen Idec"), the assignee of the current application, recently completed two pivotal Phase 3 placebo-controlled, double-blind, clinical studies, "the DEFINE study" and "the CONFIRM study", which evaluated the investigational oral drug candidate BG-12 (DMF as the only active ingredient) to treat relapsing-remitting MS (RRMS).

Results of the DEFINE study are depicted in Figures 4-11 and Table 2 of the Declaration. The results of the DEFINE study indicate that the dose of 480 mg/day unexpectedly demonstrated significant efficacy on MS disease activity as measured by the key clinical and MRI disease activity endpoints. (Declaration, pages 11-18, Figures 4-11; and page 20, Table 2.) Even more unexpected was the magnitude of the treatment effect. Given that the dose typically impacts the efficacy, it was quite surprising that the 480 mg/day dose demonstrated similar efficacy to the higher 720 mg/day dose on both clinical and MRI measures of MS disease activity – with a *high level of statistical significance*. (*Id.* at page 19, paragraphs 13-15; and page 20, Table 2.)

Furthermore, the results of the second Phase 3 study (CONFIRM) support the first Phase 3 study. See Exhibit 2, which states "[r]esults of the CONFIRM study showed that 240 mg of BG-12, administered either twice a day (BID) or three times a day (TID), demonstrated significant efficacy and favorable safety and tolerability profiles. Further analyses of the CONFIRM study are ongoing ....."

Therefore, the results of the DEFINE and CONFIRM studies indicate that the 480 mg/day DMF dose demonstrates efficacy in the DEFINE study, meeting all measured endpoints with a high level of statistical significance. (*See* Declaration, page 16, paragraph 16; *see* Exhibit 2.) Not only was the 480 mg/day DMF dose efficacious, but the 480 mg/day dose surprisingly demonstrated similar effectiveness on clinical and MRI measures of MS disease activity as 720 mg/day DMF. (*See* Declaration, page 15, paragraph 15.)

# III. <u>The Unexpected Results Must Be Given Substantial Weight: There is a</u> <u>Nexus Between the Supported Claims 18-36 and the Unexpected Results of</u> <u>the DEFINE and CONFIRM Studies</u>

Unexpected results of the claimed invention do not need to be included in the specification for an Examiner to consider them. The MPEP at 716.01(b) states that "[t]o be given substantial weight in the determination of obviousness or nonobviousness, evidence of secondary considerations must be relevant to the *subject matter as claimed*, and therefore the examiner must determine whether there is a nexus between the merits of the claimed invention and the evidence of secondary considerations." (emphasis added). Thus, according to the MPEP, the Examiner must consider whether there is a nexus between the relevant to the *claimed invention* and the unexpected results.

As mentioned above, the application teaches and fully supports the claimed invention of treating MS using DMF and/or MMF at a dose of 480 mg/day. Thus, the data from the DEFINE and CONFIRM clinical studies, which flow inherently from the claimed invention, must be given substantial weight when considering the patentability of claims 18-36.

# IV. Summary

Based on the reasons set forth above, Applicants respectfully submit that the present claims are patentable.

# Conclusion

Prompt and favorable consideration of this Preliminary Amendment is respectfully requested. Applicants believe the present application is in condition for allowance. If the Examiner believes, for any reason, that personal communication will expedite prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

> Respectfully submitted, STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Marshe a. Som

Marsha A. Rose Attorney for Applicants Registration No. 58,403

Date: 02 13/2012

1100 New York Avenue, N.W. Washington, D.C.20005-3934 (202) 371-2600

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Equivalent of Form PTO/SB/08a (07-09)

Substitute for form	1449/РТО
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# SECOND SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Use as many sheets as necessary)

Comp	olete if Known
Application Number	To be assigned
Filing Date	Herewith
First Named Inventor	Matvey E. LUKASHEV
Art Unit	To be assigned
Examiner Name	To be assigned
 Attorney Docket Number	2159.3210002/JMC/MRG/U-S

#### 1 of 1

Sheet	1	of 1		Attorney Docket Number	2159.3210002/JMC/MRG/U-S
			U.S. PATEN	T DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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#### FOREIGN PATENT DOCUMENTS

Examiner		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т6
initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Figures Appear	ļ.,
	FP51	DE 25 30 372 A1	01-13-1977	Schweckendiek, Walter, Dr.		<u> </u>
	FP52	DE 26 21 214 A1	11-17-1977	Koronis GmbH, Chemisch- Pharmazeutische Präparate		
	FP53	DE 28 40 498 B1	08-02-1979	Schweckendiek, Walter, Dr.		
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	1					

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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Pragee70anfa150s attached.

Doc Code: PD.TO.AUTH Document Description: Authorization to access Appl. by Trilateral Office

Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. COMPLETE IF KNOWN AUTHORIZATION TO PERMIT Application Number To be assigned ACCESS TO APPLICATION BY Filing Date Herewith **PARTICIPATING OFFICES** Matvey E. LUKASHEV **First Named Inventor** 2159/3210002/IMC/MRG/U-9 Attorney Docket Number Send completed form to: Commissioner for Patents Treatment for Multiple Sclerosis (As P.O. Box 1450, Alexandria, VA 22313-1450 Title

(Required)

Amended

The undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the above-identified patent application is filed access to the above-identified patent application. See 37 CFR 1.14(c) and (h).

In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the above-identified application with respect to: 1) the above-identified patent application-as-filed; 2) any foreign application to which the above-identified patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the aboveidentified patent application; and 3) any U.S. application-as-filed from which benefit is sought in the aboveidentified patent application.

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing the Authorization to Permit Access to Application by Participating Offices.

This written authorization should be submitted prior to the filing of a subsequent foreign application, in which priority is claimed to the above-identified patent application, with any intellectual property office (e.g., the EPO, JPO, KIPO, or DAS Accessing Office). However, if applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the aboveidentified patent application is filed to have access to the above-identified patent application, this written authorization should not be filed.

No fee will be charged under 37 CFR 1.19(b)(1) for providing a participating intellectual property office with an electronic copy of the above-identified patent application.

This form must be signed by an authorized party in accordance with 37 CFR 1.14(c).

Title

Maxabb 2012 Signature Date (202) 371-2600 Marsha A. Rose Printed or Typed Name **Telephone Number** Attorney for Applicants 58.403

This collection of information is required by 37 CFR 1.14(h). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process an application). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/39 (07-09)

Registration Number, if applicable

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO

Sheet

# THIRD SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

Complete if Known To be assigned Application Number Filing Date Herewith Matvey E. LUKASHEV First Named Inventor Art Unit To be assigned To be assigned **Examiner** Name 2159.3210002/JMC/MRG/U-S Attorney Docket Number

(Use as ma	ny shee	ets as necessary)
1	of	1

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL328	LANGNER, A., <i>et al.</i> , "Results of a Phase II Study of a Novel Oral Fumarate, BG00012, in the Treatment of Severe Psoriasis," European Congress on Psoriasis, October 21-24, 2004, Paris, France	
	NPL329	LANGNER, A., et al., "The Efficacy and Safety of a Novel Oral Formulation of Dimethylfumarate, BG00012, in Patients with Severe Psoriasis: Results of a Phase 2 Dose-Finding and Safety Extension Study," 3rd Spring Symposium of the European Academy of Dermatology and Venerology (EADV), 2005, Sofia, Bulgaria	
	NPL330	LANGNER, A., et al., "Oral Fumarate for the Treatment of Severe Forms of Psoriasis: Results of a Phase II Clinical Study," 2 <sup>nd</sup> Spring Symposium of the European Academy of Dermatology and Venerology (EADV) April 29-May 1, 2004, Budapest, Hungary	
	NPL331	LANGNER, A., <i>et al.</i> , "Effects of a Novel Oral Fumarate, BG00012, in Patients with Severe Psoriasis: Results of a Phase 2 Study," 13 <sup>th</sup> Congress of the European Academy of Dermatology and Venerology (EADV), November 17-21, 2004, Florence, Italy	
	NPL332	LANGNER, A., et al., "Efficacy and Safety of a New Oral Formulation of Fumaric Acid Ester for the Treatment of Moderate to Severe Psoriasis," 10 <sup>th</sup> International Psoriasis Symposium, June 10-13, 2004, Toronto, Canada	
	NPL333	'T HART <i>et al.</i> , "Modelling of multiple sclerosis: lessons learned in a non-human primate," <i>The Lancet Neurology 3(10):588-597</i> , Elsevier Ltd. (2004)	
	NPL334	Office Action mailed July 13, 2011, in U.S. Application No. 12/526,296, Lukashev et al., § 371(c) Date: January 13, 2011	
	NPL335	Office Action mailed December 15, 2011, in U.S. Application No. 12/526,296, Lukashev <i>et al.</i> , § 371(c) Date: January 13, 2011	
	NPL336	"Efficacy and Safety Study of Oral BG00012 with Active Reference in Relapsing- Remitting Multiple Sclerosis (CONFIRM)," ClinicalTrials.gov, accessed at http://www.clinicaltrials.gov/ct2/show/NCT00451451?term=bg00012&rank=8, first received on March 21, 2007, 4 pages	

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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Electronic Patent A	Appli	cation Fee	Transmi	ittal		
Application Number:						
Filing Date:						
Title of Invention:	Treatn	nent for Multiple	• Sclerosis			
First Named Inventor/Applicant Name:		y E. LUKASHEV				
Filer:		Marsha A. Rose/Erin Miller				
Attorney Docket Number:	2159.3210002/JMC/MRG/U-S					
Filed as Large Entity						
Track I Prioritized Examination - Nonprovisio	onal A	pplication ι	under 35 U	SC 111(a) Fili	ng Fees	
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	380	380	
Utility Search Fee		1111	1	620	620	
Utility Examination Fee		1311	1	250	250	
Request for Prioritized Examination		1817	1	4800	4800	
Pages:						
Claims:						
Miscellaneous-Filing:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Publ. Fee- early, voluntary, or normal	1504	1	300	300	
Processing Fee, except for Provis. apps	1808	1	130	130	
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:	Extension-of-Time:				
Miscellaneous:					
Total in USD (\$) 648			6480		

Electronic A	Electronic Acknowledgement Receipt		
EFS ID:	12062982		
Application Number:	13372426		
International Application Number:			
Confirmation Number:	5998		
Title of Invention:	Treatment for Multiple Sclerosis		
First Named Inventor/Applicant Name:	Matvey E. LUKASHEV		
Customer Number:	53644		
Filer:	Marsha A. Rose/Erin Miller		
Filer Authorized By:	Marsha A. Rose		
Attorney Docket Number:	2159.3210002/JMC/MRG/U-S		
Receipt Date:	13-FEB-2012		
Filing Date:			
Time Stamp:	20:56:53		
Application Type:	Utility under 35 USC 111(a)		

# Payment information:

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RAM confirmation Number	7068					
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File Listing:						
Document Number 75 of 180cument Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		

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			11		12
	Abstract		13		13
	Specification		14	4	47
	Claims		48	1	50
	Drawings-only black and	white line drawings	51	ļ	54
	Sequence L	isting	55	e	50
	Request for Transfer of a Cor	nputer Readable Form	61	e	51
	Preliminary Am	endment	62	e	52
	Specificat	tion	63	e	53
	Claims		64	e	56
	Applicant Arguments/Remarks	Made in an Amendment	67	-	73
	Authorization to access Ap	pl. by Trilateral Office	74	-	74
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	Information Disclosure Stater	ment (IDS) Form (SB08)	26		55
	Transmittal	Letter	56		63
	Information Disclosure Statement (IDS) Form (SB08)		64		64
	Information Disclosure Statement (IDS) Form (SB08)		65	68	
	Transmittal Letter		69	74	
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4	Non Patent Literature	NPL329_Langner.pdf	71864	no	4
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10	Non Patent Literature	NPL335_FOA_12526296.pdf	0b10f24ae2624953ca32d3d50966af03904f 1edc	no	9
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. MARSHA A. ROSE DIRECTOR (202) 772-8692 MROSE@SKGF.COM



February 13, 2012

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b) (*Continuation of Appl. No. 12/526,296; § 371(c) Date: January 13, 2011*) Appl. No. To be assigned; Filed: Herewith For: Treatment for Multiple Sclerosis (As Amended) Inventors: LUKASHEV *et al.* Our Ref: 2159.3210002/JMC/MRG/U-S

Sir:

The following documents are transmitted herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Utility Patent Application Transmittal Form (PTO/SB/05);
- 2. Online Credit Card Payment Authorization for \$6,480.00 to cover:

\$1,250.00 Patent Application fees (including basic filing, search, and examination fees);

\$4,800.00 Prioritized Examination Fee;

\$300.00 Publication Fee; and

\$130.00 Processing Fee.

- 3. Certification and Request for Prioritized Examination Under 37 C.F.R. § 1.102(e);
- 4. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3);
- 5. U.S. Utility Patent Application entitled:

Treatment for Multiple Sclerosis (As Amended)

and naming as inventors:

Commissioner for Patents February 13, 2012 Page 2

Matvey E. LUKASHEV and Gilmore O'NEILL

the application consisting of:

- a. An Application Data Sheet (37 C.F.R. § 1.76);
- b. Copy of an executed combined Declaration and Power of Attorney filed in U.S. Application No. 12/526,296;
- c. A specification containing:
  - i. 34 pages of description prior to the claims;
  - ii. 3 pages of claims (17 claims);
  - iii. a one (1) page abstract;
- d. 4 sheets of drawings (Figures 1-4); and
- e. Sequence listing;
- 6. Request for Transfer of a Computer Readable Form Under 37 C.F.R. § 1.821(e);
- 7. Preliminary Amendment Under 37 C.F.R. § 1.115;
- 8. Authorization to Permit Access to Application by Participating Offices (PTO/SB/39);
- 9. Information Disclosure Statement (IDS);
- 10. IDS Form PTO/SB/08a (1 page);
- 11. First Supplemental Information Disclosure Statement;
- 12. First Supplemental IDS Form PTO/SB/08a (7 pages);
- 13. First Supplemental IDS Form PTO/SB/08b (30 pages);
- 14. Second Supplemental Information Disclosure Statement;
- 15. Second Supplemental IDS Form PTO/SB/08a (1 page);
- 16. Second Supplemental IDS Form PTO/SB/08b (4 pages);
- 17. Third Supplemental Information Disclosure Statement; and

Commissioner for Patents February 13, 2012 Page 3

18. Third Supplemental IDS Form PTO/SB/08b (1 page) with 9 accompanying documents (NPL328-NPL336).

# The above-listed documents are filed electronically through EFS-Web.

Fee payment is provided through online credit card payment. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Marsha a. None

Marsha A. Rose Attorney for Applicants Registration No. 58,403

MRG/U-S:enm Enclosures

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Substitute for form 1449/PTO	Сотр	lete if Known
	Application Number	To be assigned
INFORMATION DISCLOSURE	Filing Date	Herewith
STATEMENT BY APPLICANT	First Named Inventor	Matvey E. LUKASHEV
(Use as many sheets as necessary)	Art Unit	To be assigned
· · · · · · · · · · · · · · · · · · ·	Examiner Name	To be assigned
Sheet 1 of 1	Attorney Docket Number	2159.3210002/JMC/MRG/U-S

Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials	No.'	Number-Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

******	FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Forest: Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>	
	er et si sanna es	WO 2007/005879 A2	<b>01</b> -11-2007	BISWAL, ET AL.			
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Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	Translation
Initials	No.'	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, شارع and/or country where published.	
		SCHIMRIGK, ET AL., "Oral fumaric acid esters for the treatment of active multiple sclerosis: an open-label, baseline-controlled pilot study", European Journal of Neurology, vol. 13, no. 6, XP-002496537, pages 604- 610, (June 2006)	
		WIERINCKX, ET AL., "Detoxication enzyme inducers modify cytokine production in rat mixed glial cells", Journal of Neuroimmunology, Elsevier Science Publishers BV, XX, vol. 166, no. 1-2, XP-005000427, pages 132-143 (September 1, 2005)	
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:Confirmation No.: To be assignedLUKASHEV et al.Art Unit: To be assignedAppl. No.: To be assigned(Continuation of Appl. No. 12/526,296;<br/>§ 371(c) Date: January 13, 2011)Filed: HerewithExaminer: To be assignedFor: Treatment for Multiple Sclerosis<br/>(As Amended)Atty. Docket: 2159.3210002/JMC/MRG/U-S

# **First Supplemental Information Disclosure Statement**

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms PTO/SB/08a equivalent and/or PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- □ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☑ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

- 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
  - □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
  - □ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. §
     1.17(p).

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- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
  - □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - □ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- □ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office

Atty. Dkt. No. 2159.3210002/JMC/MRG/U-S

is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38 and MPEP 609.04(a)(III).

Document FP1, JP 54-80439 A, is in the Japanese language. An English language abstract of document FP1 is cited as document NPL1 and submitted herewith.

Document **FP2**, **EP 0 188 749 A2**, is in the German language. Document **US3** is believed to be an English-language equivalent of document FP2.

Document FP4, EP 0 312 697 A2, is in the German language. Document US4 is believed to be an English-language equivalent of document FP4.

Document FP5, DE 38 34 794 A1, is in the German language. An English language abstract of document FP5 is cited as document NPL2 and submitted herewith.

Document FP6, EP 0 518 388 A2, is in the German language. Document US4 is believed to be an English-language equivalent of document FP6.

Document FP7, JP 6-345644 A, is in the Japanese language. An English language abstract of document FP7 is cited as document NPL3 and submitted herewith.

Document **FP9**, **JP 8-99906 A**, is in the Japanese language. An English language abstract of document FP9 is cited as document **NPL4** and submitted herewith.

Document FP13, JP 9-221428 A, is in the Japanese language. An English language abstract of document FP13 is cited as document NPL5 and submitted herewith.

Document FP15, WO 97/48405 A1, is in the Russian language. An English language abstract of document FP15 is cited as document NPL6 and submitted herewith.

Document FP19, DE 197 21 099 A1, is in the German language. Document US14 is believed to be an English-language equivalent of document FP19.

Document FP23, RU 2 189 813 C1, is in the Russian language. An English language abstract of document FP23 is cited as document NPL7 and submitted herewith.

Document **FP25**, **WO 2005/027899 A1**, is in the German language. An English language abstract of document FP25 is cited as document **NPL8** and submitted herewith.

Document **FP37**, **CN 1125141 A**, is in the Chinese language. An English language abstract of document FP37 is cited as document **NPL292** and submitted herewith.

Document NPL28, Dücker and Pfeiff, is in the German language. An English language abstract of document NPL28 can be found on the face page of the publication.

Document NPL31, Fliegner and Spiegel, is in the German language. An English language abstract of document NPL31 can be found on the face page of the publication.

Document NPL48, Hunziker and Schmidli, is in the German language. It is believed that document NPL47 is a partial English language translation of document NPL48.

Document NPL163, Gutzmer *et al.*, is in the German language. An English language abstract of Document NPL163 is cited as document NPL164 and submitted herewith.

Document NPL165, Hagedorn *et al.*, is in the German language. An English language abstract of document NPL165 can be found on the face page of the publication.

Document **NPL187**, **Roodnat** *et al.*, is in the German language. An English language abstract of document NPL187 can be found on the face page of the publication.

Document **NPL191**, **Schilling and Schopf**, is in the German language. An English language abstract of document NPL191 can be found on the face page of the publication.

Document NPL198, Van Loenen *et al.*, is in the Dutch language. An English language abstract of document NPL198 can be found on the face page of the publication.

Document NPL202, Wanscher and Sørensen, is in the Danish language. An English language abstract of document NPL202 can be found on the page 6357 of the publication.

Document NPL210, *Immunmodulation durch Fumaderm. Das richtungsweisende Konzept*, is in the German language. It is believed that document NPL211 is a partial English language translation of document NPL210.

Document NPL215, Bayard *et al.*, is in the German language. An English language abstract of document NPL215 can be found on the face page of the publication.

Document **NPL234**, "Klinische Studie mit Fumaderm® als magensaftresistente Mikrotabletten," the first three pages are in the German language. An English language translation of pages 1-3 of document NPL234 can be found on pages 4-6 of the same document.

Document NPL247, Peeters *et al.*, is in the Dutch language. An English language abstract of document NPL247 can be found on the last page of the publication.

Document NPL257, Stangel *et al.*, is in the German language. An English language abstract of document NPL257 can be found on page 215 of the publication.

Document NPL258, Stühlinger *et al.*, is in the German language. An English language abstract of document NPL258 can be found on the face page of the publication.

- 7. Copies of the documents are submitted. However, in accordance with 37 C.F.R.
   § 1.98(a)(2), no copies of U.S. patents and patent application publications cited as documents US1-US40 on the attached IDS Forms are submitted.
- ☑ 9. It is expected that the examiner will review the prosecution and cited art in the parent application no. 12/526,296 in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.
- ☑ 10. In accordance with the Federal Circuit decision in *Dayco Prods., Inc. v. Total Containment, Inc.* 329 F.3d 1358 (Fed. Cir. 2003), Applicants submit herewith Office Actions from the co-pending U.S. Patent Application Nos. 09/194,862, §

371(c) date July 8, 1999; 09/402,103, § 371(c) date September 27, 1999; 09/743,978, § 371(c) date January 17, 2001; 09/831,620, § 371(c) date May 10, 2001; 10/148,858, § 371(c) date May 28, 2002; 10/197,077, filed July 17, 2002; 11/765,563, filed June 20, 2007; 11/765,578, filed June 20, 2007; 12/405,661, filed March 17, 2009; and 12/405,665, filed March 17, 2009, as documents **NPL269-NPL291**.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee

deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

a. You

Marsha A. Rose Attorney for Applicants Registration No. 58,403

Date: 2 3 2012

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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Substitute for form 1449/PTO

# SECOND SUPPLEMENTAL **INFORMATION DISCLOSURE STATEMENT BY APPLICAN** (Use as many sheets as necessary)

Equivalent of Form PTO/SB/08b (7-09)

 Complete if Known			
Application Number	To be assigned		
Filing Date	Herewith		
First Named Inventor	Matvey E. LUKASHEV		
Art Unit	To be assigned		
 Examiner Name	To be assigned		
 Attorney Docket Number	2159.3210002/JMC/MRG/U-S		

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL294	Memorandum of Meeting Minutes for the meeting held on August 30, 2006, between attendees from the FDA and Biogen Idec regarding the End of Phase 2 for application PIND 73,061, BG00012	
	NPL295	ALTMEYER, P. and NÜCHEL, C., "Systemtherapie der Psoriasis," Dtsch. med. Wschr. 121:1605-1607, Georg Thieme Verlag, Germany (1996)	
	NPL296	English language translation of ALTMEYER, P. and NÜCHEL, C., "Systemtherapie der Psoriasis," <i>Dtsch. med. Wschr. 121</i> :1605-1607, Georg Thieme Verlag, Germany (1996)	
	NPL297	ALTMEYER, P. and NÜCHEL, C., "Systemische Therapie der Psoriasis," T&E Dermatologie 27:380-382, 384, Reed Elsevier Deutschland, Germany (1997)	
	NPL298	English language translation of ALTMEYER, P. and NÜCHEL, C., "Systemische Therapie der Psoriasis," <i>T&amp;E Dermatologie 27</i> :380-382, 384, Reed Elsevier Deutschland, Germany (1997)	
	NPL299	COMPSTON, A., et al., "The person with multiple sclerosis: a prospectus," in <i>McAlpine's Multiple Sclerosis, 4th Edition</i> , p. 803-810, Compston, A., et al., eds., Elsevier Inc., China (2006)	
	NPL300	KRAFT, A.D., et al., "Nuclear Factor E2-Related Factor 2-Dependent Antioxidant Response Element Activation by <i>tert</i> -Butylhydroquinone and Sulforaphane Occurring Preferentially in Astrocytes Conditions Neurons against Oxidative Insult," J. Neurosci. 24(5):1101-1112, Society for Neuroscience, United States (2004)	
	NPL301	MALIPIERO, U., <i>et al.</i> , "Myelin oligodendrocyte glycoprotein-induced autoimmune encephalomyelitis is chronic/relapsing in perforin knockout mice, but monophasic in Fas- and Fas ligand-deficient <i>lpr</i> and <i>gld</i> mice," <i>Eur. J. Immunol.</i> 27(12):3151-3160, WILEY-VCH Verlag GmbH, Germany (1997)	
	NPL302	MCDONALD, W.I., et al., "Recommended Diagnostic Criteria for Multiple Sclerosis: Guidelines from the International Panel on the Diagnosis of Multiple Sclerosis," Ann. Neurol. 50(1):121-127, Wiley-Liss, Inc., United States (2001)	
	NPL303	MISGELD, T., "Death of an axon: studying axon loss in development and disease," Histochem. Cell Biol. 124:189-196, Springer-Verlag, Germany (2005)	

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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO

# SECOND SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Use as many sheets as necessary)

**Complete if Known** Application Number To be assigned Filing Date Herewith First Named Inventor Matvey E. LUKASHEV To be assigned Art Unit Examiner Name To be assigned Attorney Docket Number 2159.3210002/JMC/MRG/U-S

Sheet

2 of 4

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>			
	NPL304	MROWIETZ, U., "Nephrotoxische Wirkung durch Fumarsäure," Der Hautarzt 51:615, Springer-Verlag, Germany (2000)				
	NPL305	English language translation of MROWIETZ, U., "Nephrotoxische Wirkung durch Fumarsäure," <i>Der Hautarzt 51</i> :615, Springer-Verlag, Germany (2000)				
	NPL306	NOSEWORTHY, J., <i>et al.</i> , "The treatment of symptoms in multiple sclerosis and the role of rehabilitation," in <i>McAlpine's Multiple Sclerosis, 4th Edition</i> , p. 701-728, Compston, A., <i>et al.</i> , eds., Elsevier Inc., China (2006)				
	NPL307	NOSEWORTHY, J., et al., "Disease-modifying treatments in multiple sclerosis," in <i>McAlpine's Multiple Sclerosis, 4th Edition</i> , p. 729-802, Compston, A., et al., eds., Elsevier Inc., China (2006)				
	NPL308	RIEMEKASTEN, G., <i>et al.</i> , "Strong Acceleration of Murine Lupus by Injection of the SmD1 <sup>83-119</sup> Peptide," <i>Arthritis &amp; Rheum. 44(10)</i> :2435-2445, Wiley-Liss, Inc., United States (2001)				
	NPL309	SADJAK, A., et al., "Nephrotoxische Wirkung von Fumarsäurederivaten," Dtsch. med. Wschr. 116(12):478, Georg Thieme Verlag, Germany (1991)				
	NPL310	English language translation of SADJAK, A., et al., "Nephrotoxische Wirkung von Fumarsäurederivaten," Dtsch. med. Wschr. 116(12):478, Georg Thieme Verlag, Germany (1991)				
	NPL311	English language translation of German Patent Publication No. DE 25 30 372 A1				
:	NPL312	English language translation of German Patent Publication No. DE 26 21 214 A1				
	NPL313	English language translation of German Patent Publication No. DE 28 40 498 B1				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known		
SECOND SUPPLEMENTAL	Application Number	To be assigned	
	Filing Date	Herewith	
INFORMATION DISCLOSURE	First Named Inventor	Matvey E. LUKASHEV	
STATEMENT BY APPLICANT	Art Unit	To be assigned	
(Use as many sheets as necessary)	Examiner Name	To be assigned	
Sheet 3 of 4	Attorney Docket Number	2159.3210002/JMC/MRG/U-S	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
:	NPL314	BALASUBRAMANIAM, P., <i>et al.</i> , "Fumaric acid esters in severe psoriasis, including experience of use in combination with other systemic modalities," <i>Br. J. Dermatol.</i> 150:741-746, British Association of Dermatologists, England (2004)	
	NPL315	FFRENCH-CONSTANT, C., "Pathogenesis of multiple sclerosis," <i>Lancet</i> 343(8892):271-275, The Lancet Ltd., England (1994)	
	NPL316	GHORESCHI, K., <i>et al.</i> , "A molecule solves psoriasis? Systemic therapies for psoriasis inducing interleukin 4 and Th2 responses," <i>J. Mol. Med. (Berl.)</i> 81(8):471-480, Springer-Verlag, Germany (2003)	
	NPL317	HARTUNG, HP., <i>et al.</i> , "Circulating adhesion molecules and inflammatory mediators in demyelination: A review," <i>Neurology</i> 45(6)(Suppl. 6):S22-S32, Advanstar Communications Inc., United States (1995)	
	NPL318	LEE, JM., <i>et al.</i> , "Nrf2, a multi-organ protector?" <i>FASEB J. 19(9)</i> :1061-1066, Federation of American Societies for Experimental Biology, United States (2005)	
	NPL319	LOEWE, R., et al., "Dimethylfumarate Inhibits Tumor-Necrosis-Factor-Induced CD62E Expression in an NF-kB-Dependent Manner," J. Invest. Dermatol. 117:1363-1368, The Society for Investigative Dermatology, United States (2001)	
	NPL320	SORMANI, M.P., <i>et al.</i> , "Clinical trials of multiple sclerosis monitored with enhanced MRI: new sample size calculations based on large data sets," <i>J. Neurol. Neurosurg. Psychiatry</i> 70:494-499, British Medical Association, England (2001)	
	NPL321	TRAUGOTT, U., <i>et al.</i> , "Multiple Sclerosis Distribution of T Cells, T Cell Subsets and Ia-positive Macrophages in Lesions of Different Ages," <i>J. Neuroimmunol.</i> 4:201-221, Elsevier Science Publishers, Netherlands (1983)	
	NPL322	TRAUGOTT, U. and LEBON, P., "Multiple Sclerosis: Involvement of Interferons in Lesion Pathogenesis," <i>Ann. Neurol.</i> 24(2):243-251, American Neurological Association, United States (1988)	
	NPL323	WALSH, M.J. and TOURTELLOTTE, W.W., "Temporal Invariance And Clonal Uniformity Of Brain And Cerebrospinal IgG, IgA, And IgM in Multiple Sclerosis," J. Exp. Med. 163:41-53, Rockefeller University Press, United States (1986)	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO SECOND SUPPLEMENTAL INFORMATION DISCLOSURE		-					
		Application Number To be assigned					
		Filing Date	Herewith Matvey E. LUKASHEV				
		First Named Inventor	***************************************				
STATEMENT BY APPLICANT (Use as many sheets as necessary)			AINI	Art Unit	To be assigned		
			necessary)		Examiner Name	To be assigned 2159.3210002/JMC/MRG/U	-5
Sheet	4	of	4		Attorney Docket Number	1 2139.3210002/JWIC/WIKG/0	
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Examiner Initials*	Cite No. <sup>1</sup>	appro	priate) title	of the item	r (in CAPITAL LETTERS), (book, magazine, journal, s number, publisher, city and/o	serial, symposium, catalog,	T <sup>2</sup>
	NPL324	Derivat	BG 00012, ive – Fumap ition BV, Ne	harm/Bioge	I Fumarate, FAG-201, Secon en Idec," <i>Drugs in R&amp;D 6(4)</i> (2005)	nd-Generation Fumarate ):229-230, Adis Data	
	NPL325	http://w accesse	ww.clinicalt d on Septem	rials.gov/ct ber 19, 200		n=bg00012&rank=3,	
	NPL326	(DEFIN http://w accesse	IE)," Clinica ww.clinicalt d on Septem	lTrials.gov trials.gov/ct ber 19, 200	G00012 in Relapsing-Remit , accessed at (2/show/NCT00420212?terr )8, 4 pages	n=bg00012&rank=1,	
	NPL327	Investig	gating Oral E ww.biogeni	3G-12 (Din dec.com/PI	ive Top-Line Results from S hethyl Fumarate) in Multiple RESS_RELEASE_DETAIL 2011, 3 pages	Second Phase 3 Trial e Sclerosis," accessed at S.aspx?ID=5981&ReqId=162	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:Confirmation No.: To be assignedLUKASHEV et al.Art Unit: To be assignedAppl. No.: To be assigned(Continuation of Appl. No. 12/526,296;<br/>§ 371(c) Date: January 13, 2011)Filed: HerewithExaminer: To be assignedFor: Treatment for Multiple SclerosisAtty. Docket: 2159.3210002/JMC/MRG/U-S

## **Third Supplemental Information Disclosure Statement**

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

(As Amended)

Sir:

Listed on accompanying IDS Form PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed concurrently herewith in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication

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dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- □ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☑ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR

before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

- 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
  - □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- □ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. §
   1.17(p).
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

- 4 -

- □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

□ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38 and MPEP 609.04(a)(III).

- 5 -

- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below in accordance with 37 C.F.R. § 1.98(a)(3).
- 7. Copies of documents NPL328-NPL336 are submitted.
- 8. Copies of the \_\_\_\_\_\_ documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No.\_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- 9. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). \_\_\_\_\_\_\_ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.
- ☑ 10. In accordance with the Federal Circuit decision in Dayco Prods., Inc. v. Total Containment, Inc. 329 F.3d 1358 (Fed. Cir. 2003), Applicants submit herewith

Atty. Dkt. No. 2159.3210002/JMC/MRG/U-S

Office Actions from the co-pending U.S. Patent Application No. 12/526,296, § 371(c) Date January 13, 2011, as documents NPL334 and NPL335.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Marela a. Non

Marsha A. Rose Attorney for Applicants Registration No. 58,403

Date: 2 13 2012

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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Atty. Dkt. No. 2159.3210002/JMC/MRG/U-S

Substitute for form 1449/PTO

Sheet

# FIRST SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Use as many sheets as necessary)

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of 7

Complete if Known				
Application Number	To be assigned			
Filing Date	Herewith			
First Named Inventor	Matvey E. LUKASHEV			
Art Unit	To be assigned			
Examiner Name	To be assigned			
Attorney Docket Number	2159.3210002/JMC/MRG/U-S			

			U.S. PATEN	TDOCUMENTS	
Examiner initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	T T CL A	known)	07.07.1007		
	US1	4,515,974	05-07-1985	Zecher et al.	<u></u>
	US2	4,746,668	05-24-1988	Sato <i>et al.</i>	
	US3	4,851,439	07-25-1989	Speiser <i>et al</i> .	
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\*EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. Page 105 of 150

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FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

Complete if KnownApplication NumberTo be assignedFiling DateHerewithFirst Named InventorMatvey E. LUKASHEVArt UnitTo be assignedExaminer NameTo be assignedAttorney Docket Number2159.3210002/JMC/MRG/U-S

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Application Number	To be assigned		
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First Named Inventor	Matvey E. LUKASHEV		
Art Unit	To be assigned		
Examiner Name	To be assigned		
Attorney Docket Number	2159.3210002/JMC/MRG/U-S		

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# FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

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Examiner Name	To be assigned			
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	Attorney Docket Number	2159.3210002/JMC/MRG/U-S			

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Application Number	To be assigned			
Filing Date	Herewith			
First Named Inventor	Matvey E. LUKASHEV			
Art Unit	To be assigned			
 Examiner Name	To be assigned			
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## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

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**Complete if Known** Application Number To be assigned Herewith Filing Date Matvey E. LUKASHEV First Named Inventor Art Unit To be assigned To be assigned Examiner Name 2159.3210002/JMC/MRG/U-S Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
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· :	NPL2	English language Abstract of German Patent Publication No. DE 38 34 794 A1, European Patent Office, Espacenet database — Worldwide (2001)	Abs.
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STATEMENT BY APPLICANT	Art Unit	To be assigned	
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		NON PATENT LITERATURE DOCUMENTS	
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Art Unit	To be assigned	
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	NPL90	EBERLEIN-KÖNIG, B., et al., "Disseminated Granuloma Annulare - Treatment with Fumaric Acid Esters," <i>Dermatology 210</i> :223-226, S. Karger AG, Switzerland (2005)	

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	NPL91	LINK, H., <i>et al.</i> , "Virus-reactive and autoreactive T cells are accumulated in cerebrospinal fluid in multiple sclerosis," <i>J. Neuroimmunol.</i> 38:63-74, Elsevier Science Publishers B.V., Netherlands (1992)	
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	NPL101	MUSTAFA, M.I., <i>et al.</i> , "T cell immunity and interferon-γ secretion during experimental allergic encephalomyelitis in Lewis rats," <i>J. Neuroimmunol.</i> 31:165-177, Elsevier Science Publishers B.V., Netherlands (1991)	
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:	NPL111	OLSSON, T., <i>et al.</i> , "Autoreactive T Lymphocytes in Multiple Sclerosis Determined by Antigen-induced Secretion of Interferon-γ," <i>J. Clin. Invest.</i> 86:981-985, The American Society for Clinical Investigation, Inc., United States (1990)	
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	NPL120	PANITCH, H.S., et al., "Exacerbations Of Multiple Sclerosis In Patients Treated With Gamma Interferon," Lancet 329:893-895, Lancet Publishing Group, England (1987)	

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	NPL121	PEREIRA, M.A., <i>et al.</i> , "Use of azoxymethane-induced foci of aberrant crypts in rat colon to identify potential cancer chemopreventive agents," <i>Carcinogenesis</i> 15(5):1049-1054, Oxford University Press, England (1994)	
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	NPL141	SU, J.Y.C., <i>et al.</i> , "Reduction of $H_2O_2$ -evoked, intracellular calcium increases in the rat N18-RE-105 neuronal cell line by pretreatment with an electrophilic antioxidant inducer," <i>Neurosci. Lett.</i> 273:109-112, Elsevier Science Ireland Ltd., Ireland (1999)	
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-	NPL168	KREUTER, A., <i>et al.</i> , "Fumaric acid esters in necrobiosis lipoidica: results of a prospective noncontrolled study," <i>Br. J. Dermatol.</i> 153:802-807, British Association of Dermatologists, England (2005)			
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	NPL170	KWAK, MK., <i>et al.</i> , "Modulation of Gene Expression by Cancer Chemopreventive Dithiolethiones through the Keap1-Nrf2 Pathway," <i>J. Biol. Chem.</i> 278(10):8135-8145, The American Society for Biochemistry and Molecular Biology, Inc., United States (2003)			

Examiner	Date	
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#### FIRST SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Use as many sheets as necessary)

**Complete if Known** Application Number To be assigned Herewith Filing Date Matvey E. LUKASHEV First Named Inventor To be assigned Art Unit To be assigned Examiner Name 2159.3210002/JMC/MRG/U-S Attorney Docket Number

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#### **NON PATENT LITERATURE DOCUMENTS**

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		NONTATENT EMERATORE DOCCARENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	Т 2
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	NPL176	MA, Q., et al., "Multiorgan Autoimmune Inflammation, Enhanced Lymphoproliferation, and Impaired Homeostasis of Reactive Oxygen Species in Mice Lacking the Antioxidant-Activated Transcription Factor Nrf2," Am. J. Pathol. 168(6):1960-1974, American Society for Investigative Pathology, United States (June 2006)	
	NPL177	MATTSON, M.P. and CHENG, A., "Neurohormetic phytochemicals: low-dose toxins that induce adaptive neuronal stress responses," <i>TRENDS in Neurosciences 29(11)</i> :632-639, Elsevier Ltd., England (September 2006)	
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···········	NPL180	NOWACK, U., et al., "Successful treatment of recalcitrant cutaneous sarcoidosis with fumaric acid esters," <i>BMC Dermatol.2</i> (15):1-5, BioMed Central Ltd., England (2002)	

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	NPL188	RUDGE, P., "Cyclosporine and multiple sclerosis: The cons," <i>Neurology 38(7)(Suppl 2)</i> :29-30, Lippincott Williams & Wilkins, United States (1988)	
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STATEMENT BY APPLICANT	Art Unit	To be assigned
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	NPL191	SCHILLING, F. and SCHOPF, R.E., "Adultes Debré-de Toni-Fanconi-Syndrom mit Osteomalazie, erworben durch Langzeittherapie einer Psoriasis mit Fumarsäureester - zugleich ein Beitrag zur malazischen Osteoarthropathie," <i>Akt. Rheumatol.</i> 24(6):174- 179, Georg Thieme Verlag, Germany (1999) (Abstract Only in English)	Abs.
	NPL192	SCHILLING, S., <i>et al.</i> , "Fumaric acid esters are effective in chronic experimental autoimmune encephalomyelitis and suppress macrophage infiltration," <i>Clin. Exp. Immunol. 145</i> :101-107, British Society for Immunology, England (2006)	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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	NPL209	"Polyarthritis," Wikipedia.org, accessed at <u>www.en.wikipedia.org/wiki/Polyarthritis</u> , accessed on September 3, 2008, 4 pages			
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#### FIRST SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Use as many sheets as necessary)

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First Named Inventor	Matvey E. LUKASHEV	
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	NPL211	Partial English language translation, 4 pages, of <i>Immunmodulation durch Fumaderm</i> . <i>Das richtungsweisende Konzept</i> , Charite-Berlin Hautklinik Symposium, November 1- 3, 1996, p. 1-27	
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	NPL221	EUGSTER, HP., et al., "Severity of symptoms and demyelination in MOG-induced EAE depends on TNFR1," Eur. J. Immunol. 29:626-632, WILEY-VCH Verlag GmbH, Germany (1999)	
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	NPL231	KAPPOS, L., <i>et al.</i> , "Efficacy of a novel oral single-agent fumarate, BG00012, in patients with relapsing-remitting multiple sclerosis: results of a phase 2 study," Abstract O108, Proceedings of the 16th Meeting of the European Neurological Society, May 27-31, 2006, Lausanne, Switzerland (Abstract Only)	Abs.
	NPL232	KAPPOS, L., <i>et al.</i> , "The Efficacy of BG00012 in Patients With Relapsing-Remitting Multiple Sclerosis: Subgroup Analyses From the Phase 2b Study," poster from the 60th Annual Meeting of the American Academy of Neurology, April 12-19, 2008, Chicago, IL, United States	
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	NPL234	"Klinische Studie mit Fumaderm <sup>®</sup> als magensaftresistente Mikrotabletten," Report, 11 pages	
	NPL235	KURODA, K. and AKAO, M., "Antitumor And Anti-Intoxication Activities Of Fumaric Acid In Cultured Cells," <i>Gann.</i> 72(5):777-782, Japanese Cancer Association and the Japanese Foundation for Cancer Research, Japan (1981)	
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STATEMENT BY APPLICANT	Art Unit	To be assigned
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Sheet 25 of 30	Attorney Docket Number	2159.3210002/JMC/MRG/U-S

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		NON PATENT LITERATURE DOCUMENTS	*****
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL241	NIEBOER, C., et al., "Treatment of psoriasis with fumaric acid derivates," Proceedings of the 239th Meeting of the Netherlands Society for Dermatology and Venereology Amsterdam, 14 February 1987, Br. J. Dermatol. 117(6):791-92, Blackwell Scientific Publications, England (1987) (Abstract Only)	Abs.
	NPL242	NIOI, P. and HAYES, J.D., "Contribution of NAD(P)H:quinone oxidoreductase 1 to protection against carcinogenesis, and regulation of its gene by the Nrf2 basic-region leucine zipper and the arylhydrocarbon receptor basic helix-loop-helix transcription factors," <i>Mutat. Res.</i> 555:149-171, Elsevier B.V., Netherlands (2004)	
	NPL243	OLSSON, T., "15: Future prospects of cytokines in the pathogenesis and management of multiple sclerosis," in Frontiers in Multiple Sclerosis, <i>Volume 2</i> , p. 139-150, Siva, A., <i>et al.</i> , eds., Martin Dunitz Ltd., England (1999)	
	NPL244	OLSSON, T., "Chapter 6: Cytokines in Multiple Sclerosis and Its Experimental Models," in <i>Neuroscience Intelligence Unit 5: T-Cell Autoimmunity and Multiple Sclerosis</i> , Londei, M., ed., p. 91-112, R.G. Landes Company, United States (1999)	
	NPL245	Biosis Database, Accession No. PREV199497368291, English language abstract for PEARL, J.M., <i>et al.</i> , "Fumarate-enriched blood cardioplegia results in complete functional recovery of immature myocardium," <i>Ann. Thorac. Surg.</i> 57(6):1636-1641, Elsevier, Netherlands (1994) (Abstract Only)	Abs
	NPL246	PEETERS, A.J., et al., "Fumaric Acid Therapy for Psoriatic Arthritis. A Randomized, Double-blind, Placebo-controlled Study," Br. J. Rheumatol. XXXI(7):502-504, British Association for Rheumatology and Rehabilitation, England (1992)	
	NPL247	PEETERS, A.J., <i>et al.</i> , "Gunstig effect van fumaarzuurtherapie bij arthritis psoriatica: een dubbelblind, placebo-gecontroleerd onderzoek," <i>Ned. Tijdschr. Geneeskd.</i> <i>136(49)</i> :2428-2431, Bohn Stafleu van Loghum, Netherlands (1992) (Abstract Only in English)	Abs
	NPL248	PERRELLA, O., <i>et al.</i> , "Interleukin-10 and IFN-α in multiple sclerosis: is there a balance?" <i>J. Neurovirol. 3(Suppl 1)</i> :P17, Stockton Press, United States (1997) (Abstract Only)	Abs
	NPL249	POLMAN, C.H., et al., "Diagnostic Criteria for Multiple Sclerosis: 2005 Revisions to the "McDonald Criteria," Ann. Neurol. 58(6):840-846, Wiley-Liss, Inc., United States (2005)	
	NPL250	PROCHASKA, H.J. and SANTAMARIA, A.B., "Direct Measurement of NAD(P)H:Quinone Reductase from Cells Cultured in Microtiter Wells: A Screening Assay for Anticarcinogenic Enzyme Inducers," <i>Anal. Biochem. 169</i> :328-336, Academic Press, Inc., United States (1988)	

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### FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

**Complete if Known** Application Number To be assigned Herewith Filing Date Matvey E. LUKASHEV First Named Inventor To be assigned Art Unit To be assigned Examiner Name 2159.3210002/JMC/MRG/U-S Attorney Docket Number

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#### NON PATENT LITERATURE DOCUMENTS

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>	
	NPL251	ROITT, I.M., et al., eds., "23.Autoimmunity and Autoimmune Disease," in <i>Immunology</i> , p. 23.1-23.12, Gower Medical Publishing, United States (1985)		
	NPL252	ROSTAMI-YAZDI, M., et al., "Detection of Metabolites of Fumaric Acid Esters in Human Urine: Implications for Their Mode of Action," J. Invest. Dermatol. 129:231- 234, Nature Publishing Group, United States (2008)		
	NPL253	RUSHMORE, T.H., et al., "The Antioxidant Responsive Element: Activation By Oxidative Stress And Identification of the DNA Consensus Sequence Required For Functional Activity," J. Biol. Chem. 266(18):11632-11639, The American Society for Biochemistry and Molecular Biology, Inc., United States (1991)		
	NPL254	Biosis Database, Accession No. PREV199699044855, English language abstract for SCHMIDT, K.N, <i>et al.</i> , "Anti-psoriatic drug anthralin activates transcription factor NF-kappa-B in murine keratinocytes," <i>J. Immunol.</i> 156(11):4514-4519, American Association of Immunologists, United States (1996) (Abstract Only)	Abs.	
	NPL255	SHI, N., <i>et al.</i> , "Brain-specific expression of an exogenous gene after i.v. administration," <i>Proc. Natl. Acad. Sci. USA</i> 98(22):12754-12759, National Academy of Sciences, United States (2001)		
	NPL256	SOBEL, R.A., <i>et al.</i> "The Immunopathology Of Experimental Allergic Encephalomyelitis. I. Quantitative Analysis of Inflammatory Cells <i>In Situ</i> ," <i>J.</i> <i>Immunol.</i> 132(5):2393-2401, American Association of Immunologists, United States (1984)		
	NPL257	STANGEL, M., et al., "Fumarat in der Behandlung der Multiplen Sklerose: Mögliche Wirkmechanismen und Studien," <i>Der Nervenarzt 79</i> :212-217, Springer Medizin Verlag, Germany (2008) (Abstract Only in English)	Abs	
	NPL258	STÚHLINGER, W., et al., "Nephrotoxische Wirkung einer Therapie mit Fumarsäureestern bei Psoriasis," Dtsch. Med. Wschr. 115:1712-1715, Georg Thieme Verlag Stuttgart, Germany (1990) (Abstract Only in English)	Abs	
	NPL259	TRAUGOTT, U., "Detailed Analysis of Early Immunopathologic Events during Lesion Formation in Acute Experimental Autoimmune Encephalomyelitis," <i>Cell. Immunol. 119</i> :114-129, Academic Press, Inc., United States (1989)		
	NPL260	TUNG, CH., et al., "In Vivo Imaging of Proteolytic Enzyme Activity Using a Novel Molecular Reporter," Cancer Res. 60:4953-4958, American Association for Cancer Research, Inc., United States (2000)		

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# FIRST SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

**Complete if Known** To be assigned Application Number Filing Date Herewith First Named Inventor Matvey E. LUKASHEV To be assigned Art Unit To be assigned Examiner Name 2159.3210002/JMC/MRG/U-S Attorney Docket Number

(Use as many sheets as necessary) 27 of 30

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL261	TUOHY, V.K., <i>et al.</i> , "A Synthetic Peptide From Myelin Proteolipid Protein Induces Experimental Allergic Encephalomyelitis," <i>J. Immunol.</i> 141(4):1126-1130, The American Association of Immunologists, United States (1988)	
	NPL262	ÚNER, A.H., <i>et al.</i> , "Characteristics of Auto Anti-idiotypic Antibodies Reactive with Antibodies Expressing the Pathogenic Idiotype, Id <sup>LN</sup> F <sub>1</sub> , in the (NZB x SWR)F <sub>1</sub> Model for Lupus Nephritis and its Parental Strains," <i>J. Autoimmun.</i> 11:233-240, Academic Press, England (1998)	
	NPL263	VAN MUISWINKEL, F.L., <i>et al.</i> , "Expression of NAD(P)H:quinone oxidoreductase in the normal and Parkinsonian substantia nigra," <i>Neurobiol. Aging 25</i> :1253-1262, Elsevier Inc., United States (2004)	
	NPL264	VAN MUISWINKEL, F.L. and KUIPERIJ, H.B., "The Nrf2-ARE Signalling Pathway: Promising Drug Target to Combat Oxidative Stress in Neurodegenerative Disorders," <i>Curr. Drug Targets-CNS &amp; Neurol. Disord.</i> 4:267-281, Bentham Science Publishers Ltd., Netherlands (2005)	
	NPL265	WEINMANN, I., et al., "Influence Of Fumaric Acid Derivates On T Lymphocytes In The Murine Model Of HSV-1 Keratitis," <i>Invest. Opthalmol. Vis. Sci.</i> 41(4):S146, Association for Research in Vision and Ophthalmology annual meeting. Fort Lauderdale, Florida, USA, April 30 – May 5, 2000, United States (2000) (Abstract Only)	Abs
	NPL266	<i>The Merck Index: An Encyclopedia of Chemicals, Drugs, and Biologicals</i> , 10 <sup>th</sup> Edition, p. 396, WINDHOLZ, M., <i>et al.</i> , eds., Merck & Co., Inc., United States (1983)	
	NPL267	ZAMVIL, S., <i>et al.</i> , "T-cell clones specific for myelin basic protein induce chronic relapsing paralysis and demyelination" <i>Nature 317</i> :355-358, Nature Publishing Group, England (1985)	
	NPL268	ZAMVIL, S.S. and STEINMAN, L., "The T Lymphocyte In Experimental Allergic Encephalomyelitis," Ann. Rev. Immunol. 8:579-621, Annual Reviews Inc., United States (1990)	
	NPL269	Office Action mailed April 26, 2000, in U.S. Application No. 09/194,862, Joshi, R.K., et al., § 371(c) date July 8, 1999 (now U.S. Patent No. 6,436,992 B1)	
	NPL270	Office Action mailed October 31, 2000, in U.S. Application No. 09/402,103, Joshi, R.K., et al., § 371(c) date September 27, 1999 (now U.S. Patent No. 6,277,882 B1)	

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### FIRST SUPPLEMENTAL **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Use as many sheets as necessary)

Equivalent of Form PTO/SB/08b (7-09)

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Application Number	To be assigned		
Filing Date	Herewith		
First Named Inventor	Matvey E. LUKASHEV		
Art Unit	To be assigned		
Examiner Name	To be assigned		
Attorney Docket Number	2159.3210002/JMC/MRG/U-S		

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>	
	NPL271	Office Action mailed May 21, 2001, in U.S. Application No. 09/743,978, Joshi, R.K., et al., § 371(c) date January 17, 2001 (now U.S. Pat. No. 6,355,676 B1)		
	NPL272	Office Action mailed December 7, 2001, in U.S. Application No. 09/831,620, Joshi, R.K., <i>et al.</i> , § 371(c) date May 10, 2001 (now U.S. Pat. No. 6,509,376 B1)		
	NPL273	Office Action mailed March 4, 2002, in U.S. Application No. 09/831,620, Joshi, R.K., et al., § 371(c) date May 10, 2001 (now U.S. Patent No. 6,509,376 B1)		
	NPL274	Office Action mailed August 12, 2003, in U.S. Application No. 10/148,858, Joshi, R.K., et al., § 371(c) date May 28, 2002 (now U.S. Patent No. 6,858,750 B2)		
	NPL275	Office Action mailed March 23, 2004, in U.S. Application No. 10/148,858, Joshi, R.K., et al., § 371(c) date May 28, 2002 (now U.S. Patent No. 6,858,750 B2)		
	NPL276	Office Action mailed March 22, 2004, in U.S. Application No. 10/197,077, Joshi, R.K., et al., filed July 17, 2002 (now U.S. Patent No. 7,320,999 B2)		
	NPL277	Office Action mailed November 28, 2005, in U.S. Application No. 10/197,077, Joshi, R.K., et al., filed July 17, 2002 (now U.S. Patent No. 7,320,999 B2)		
	NPL278	Office Action mailed June 21, 2006, in U.S. Application No. 10/197,077, Joshi, R.K., <i>et al.</i> , filed July 17, 2002 (now U.S. Patent No. 7,320,999 B2)		
	NPL279	Office Action mailed May 15, 2007, in U.S. Application No. 10/197,077, Joshi, R.K., <i>et al.</i> , filed July 17, 2002 (now U.S. Patent No. 7,320,999 B2)		
	NPL280	Office Action mailed December 3, 2007, in U.S. Application No. 11/765,563, Joshi, R.K., <i>et al.</i> , filed June 20, 2007 (now U.S. Patent No. 7,612,110 B2)		

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### FIRST SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Use as many sheets as necessary)

**Complete if Known** Application Number To be assigned Filing Date Herewith First Named Inventor Matvey E. LUKASHEV Art Unit To be assigned Examiner Name To be assigned Attorney Docket Number 2159.3210002/JMC/MRG/U-S

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#### NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when Examiner Cite $T^2$ appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, No.1 Initials\* etc.), date, page(s), volume number, publisher, city and/or country where published Office Action mailed September 9, 2008, in U.S. Application No. 11/765,563, Joshi, NPL281 R.K., et al., filed June 20, 2007 (now U.S. Patent No. 7,612,110 B2) Office Action mailed March 12, 2009, in U.S. Application No. 11/765,563, Joshi, R.K., NPL282 et al., filed June 20, 2007 (now U.S. Patent No. 7,612,110 B2) Office Action mailed December 14, 2007, in U.S. Application No. 11/765,578, Joshi, **NPL283** R.K., et al., filed June 20, 2007 (now U.S. Patent No. 7,619,001 B2) Office Action mailed July 25, 2008, in U.S. Application No. 11/765,578, Joshi, R.K., et **NPL284** al., filed June 20, 2007 (now U.S. Patent No. 7,619,001 B2) Office Action mailed September 15, 2008, in U.S. Application No. 11/765,578, Joshi, NPL285 R.K., et al., filed June 20, 2007 (now U.S. Patent No. 7,619,001 B2) Office Action mailed March 30, 2009, in U.S. Application No. 11/765,578, Joshi, R.K., NPL286 et al., filed June 20, 2007 (now U.S. Patent No. 7,619,001 B2) Office Action mailed October 2, 2009, in U.S. Application No. 12/405,661, Joshi, R.K., NPL287 et al., filed March 17, 2009 (now U.S. Patent No. 7,803,840 B2) Office Action notification date January 19, 2010, in U.S. Application No. 12/405,661, **NPL288** Joshi, R.K., et al., filed March 17, 2009 (now U.S. Patent No. 7,803,840 B2) Office Action notification date May 20, 2010, in U.S. Application No. 12/405,661, **NPL289** Joshi, R.K., et al., filed March 17, 2009 (now U.S. Patent No. 7,803,840 B2) Office Action notification date March 23, 2010, in U.S. Application No. 12/405,665, NPL290 Joshi, R.K., et al., filed March 17, 2009 (now U.S. Patent No. 7,915,310 B2)

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### FIRST SUPPLEMENTAL **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Use as many sheets as necessary)

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**Complete if Known** To be assigned Application Number Filing Date Herewith Matvey E. LUKASHEV First Named Inventor Art Unit To be assigned To be assigned Examiner Name 2159.3210002/JMC/MRG/U-S Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS
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	NPL291	Office Action notification date September 9, 2010, in U.S. Application No. 12/405,665, Joshi, R.K., <i>et al.</i> , filed March 17, 2009 (now U.S. Patent No. 7,915,310 B2)
	NPL292	English language Abstract of Chinese Patent Publication No. CN 1125141 A, European Patent Office, Espacenet database — Worldwide (Abstract Only)

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,	NPL291	Office Action notification date September 9, 2010, in U.S. Application No. 12/405,665, Joshi, R.K., <i>et al.</i> , filed March 17, 2009 (now U.S. Patent No. 7,915,310 B2)	
	NPL292	English language Abstract of Chinese Patent Publication No. CN 1125141 A, European Patent Office, Espacenet database — Worldwide (Abstract Only)	Abs.
	NPL293	WALLACE, D.C., "Mitochondrial Diseases in Man and Mouse," <i>Science 283</i> :1482- 1488, American Association for the Advancement of Science, United States (1999)	
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:Confirmation No.: To be assignedLUKASHEV et al.Art Unit: To be assignedAppl. No.: To be assigned(Continuation of Appl. No. 12/526,296;<br/>§ 371(c) Date: January 13, 2011)Filed: HerewithExaminer: To be assignedFor: Treatment for Multiple Sclerosis<br/>(As Amended)Atty. Docket: 2159.3210002/JMC/MRG/U-S

#### Second Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms PTO/SB/08a equivalent and/or PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in the First Supplemental Information Disclosure Statement filed concurrently herewith in connection with the above-captioned application.

Applicants bring to the Examiner's attention document NPL294, which is a Memorandum of Meeting Minutes for a meeting held on August 30, 2006 ("memorandum"), attended by U.S. Food and Drug Administration (FDA) and Biogen Idec MA Inc. (assignee of the current application) representatives. The memorandum (page 3, bottom line to page 4, line 2) contains a reference to a BG00012 (dimethyl fumarate) dose of 240 mg b.i.d. (240 mg twice daily) corresponding to a 480 mg/day dose. Prior to the meeting of August 30, 2006, Matvey E. Lukashev and Gilmore O'Neill, employees of Biogen Idec MA Inc., conceived of the subject matter presently claimed in this application. A declaration executed by Matvey E. Lukashev and Gilmore O'Neill is filed separately herewith.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☑ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
  - □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than

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three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).
- 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
  - □ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than

three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. \$ 1.97(e)(1).

- b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38 and MPEP 609.04(a)(III).

Document **FP51**, **DE 25 30 372 A1**, is in the German language. An English language translation of document FP51 is cited as document **NPL311** and submitted herewith.

Document **FP52**, **DE 26 21 214 A1**, is in the German language. An English language translation of document FP52 is cited as document **NPL312** and submitted herewith.

- 6 -

Document FP53, DE 28 40 498 B1, is in the German language. An English language translation of document FP53 is cited as document NPL313 and submitted herewith.

Document NPL295, Altmeyer and Nüchel (1996), is in the German language. An English language translation of document NPL295 is cited as document NPL296 and submitted herewith.

Document NPL297, Altmeyer and Nüchel (1997), is in the German language. An English language translation of document NPL297 is cited as document NPL298 and submitted herewith.

Document **NPL304**, **Mrowietz**, is in the German language. An English language translation of document NPL304 is cited as document **NPL305** and submitted herewith.

Document NPL309, Sadjak *et al.*, is in the German language. An English language translation of document NPL309 is cited as document NPL310 and submitted herewith.

7. Copies of the documents are submitted.

- ☑ 8. Copies of documents FP51-FP53 and NPL294-NPL327 were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. 12/526,296, with a § 371(c) date of January 13, 2011, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- ☑ 9. It is expected that the examiner will review the prosecution and cited art in the parent application no. 12/526,296 in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.
- 10. In accordance with the Federal Circuit decision in *Dayco Prods.*, *Inc. v. Total Containment, Inc.* 329 F.3d 1358 (Fed. Cir. 2003), Applicants submit herewith Office Actions from the co-pending U.S. Patent Application No.\_\_\_\_\_\_\_, filed \_\_\_\_\_\_\_, as documents \_\_\_\_\_\_ to \_\_\_\_\_\_.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

LUKASHEV *et al.* Appl. No. To be assigned

The U.S. Patent and Trademark Office is hereby authorized to charge any fee

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deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

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Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Marshe a. How

Marsha A. Rose Attorney for Applicants Registration No. 58,403

Date: 2/13/2012

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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#### Amendments to the Specification

- 2-

Please amend the title as follows:

Treatment for Multiple Sclerosis NRF2-screening assays and related methods and compositions

Please amend paragraph [0128], beginning on page 33, line 21, as follows:

[0128] Immunohistochemistry was performed using the Dakoautostainer as follows. Endogenous peroxidase was quenched by a 10 minute incubation in 3% H<sub>2</sub>0<sub>2</sub> / Methanol. The rabbit anti Nrf2 antibody C-20 (sc-722, Santa Cruz Biotechnology) was added at a 1:250 dilution in Dako Diluent with Background Reducing Components (Dako # S3022) C-20 antibody was detected using the Envision anti rabbit labeled polymer-HRP (Dako #K4003) and DAB (Vector Labs #SK-4100) was used as the chromogenic substrate. Morphometric analysis of Nrf2 immunostaining was performed using ImageJ software from NIH (http://rsb.info.ih.gov/ij/).

On page 1, below the title of the invention, please add the following new paragraph:

#### CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation of U.S. Patent Application No. 12/526,296, § 371(c) Date January 13, 2011, now pending, which is the U.S. National Phase of International Application No. PCT/US2008/001602, filed February 7, 2008, which claims the benefit of U.S. Provisional Application 60/888,921, filed February 8, 2007.