# **Petitioner Bluehouse Global Ltd.**

**Ex. 1002** 



UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	APPLICATION NO. ISSUE DATE		PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/008,285 07/23/2013		8492840	0756-9138	8496	
31780	7590	07/03/2013			

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, VA 22033

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 153 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Shunpei YAMAZAKI, Setagaya, JAPAN; Hiromichi GODO, Isehara, JAPAN; Hideomi SUZAWA, Atsugi, JAPAN; Shinya SASAGAWA, Chigasaki, JAPAN; Motomu KURATA, Isehara, JAPAN; Mayumi MIKAMI, Atsugi, JAPAN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees will be mailed to the current correspondence address. maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

31780 7590 03/20/2013 Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, VA 22033

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

### **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)	Γ
(Signature)	F
(Date)	F

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/008,285	01/18/2011	INV001Shunpei YAMAZAKI	0756-9138	8496

TITLE OF INVENTION: SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1780	\$300	\$0	\$2080	06/20/2013
EXAN	MINER	ART UNIT	CLASS-SUBCLASS			
RAO, SHI	RINIVAS H	2814	257-347000			
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			or agents OR, alternati (2) the name of a single registered attorney of	<ul> <li>3 registered patent attorn vely,</li> <li>e firm (having as a membragent) and the names of urneys or agents. If no names of urneys or agents. If no names of uncertainty of agents.</li> </ul>	Robinson <sup>per a</sup> Property L	binson, Intellectual aw Office, P.C
<ul> <li>3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)</li> <li>PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been file recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.</li> <li>(A) NAME OF ASSIGNEE</li> <li>(B) RESIDENCE: (CITY and STATE OR COUNTRY)</li> </ul>						cument has been filed fo
Semicondu	ictor Energy Labor	atory Co., Ltd.	Atsugi-shi, Ka	nagawa-ken, Japar	ו	
Please check the appror	priate assignee category o	r categories (will not be p	rinted on the patent) :	Individual 🖾 Corporat	tion or other private grou	p entity 🖵 Governmen

5. Change in Entity Status (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29

Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

<u>NOTE</u>: Absent a valid certification of Micro Entity Status (see form PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. <u>NOTE</u>: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

<u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature	June 20, 2013
Sean C. Flood	Registration No. 64,378
Typed or printed name	

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Electronic Patent Application Fee Transmittal						
Application Number:	130	008285				
Filing Date:	18-	Jan-2011				
Title of Invention:	SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER					
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI					
Filer:	Eric J. Robinson/Doris Vasquez Soriano					
Attorney Docket Number:	0756-9138					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl Issue Fee		1501	1	1780	1780	
Publ. Fee- Early, Voluntary, or Normal		1504	1	300	300	

Description	Fee Code Quantity		Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Printed Copy of Patent - No Color	8001	3	3	9
	Tot	al in USD	(\$)	2089

Electronic Ac	knowledgement Receipt
EFS ID:	16094930
Application Number:	13008285
International Application Number:	
Confirmation Number:	8496
Title of Invention:	SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI
Customer Number:	31780
Filer:	Eric J. Robinson/Doris Vasquez Soriano
Filer Authorized By:	Eric J. Robinson
Attorney Docket Number:	0756-9138
Receipt Date:	20-JUN-2013
Filing Date:	18-JAN-2011
Time Stamp:	14:54:09
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted wit	h Payment	yes	yes					
Payment Type		Electronic Funds Trans	sfer					
Payment was s	uccessfully received in RAM	\$2089						
RAM confirma	ion Number	1246						
Deposit Accou	nt							
Authorized Us	er							
File Listing	:							
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			

characterize	vledgement Receipt evidences receip d by the applicant, and including pages described in MPEP 503.				
		Total Files Size (in bytes)	3	46013	
Information	:				
Warnings:					
-			ee49d67357193b3512f68e26fe035793ec5f a04c	110	
2	Fee Worksheet (SB06)	fee-info.pdf	34160	no	2
Information					
Warnings:					
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1	Issue Fee Payment (PTO-85B) IF.pdf		311853	no	2

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# Receipt date: 04/04/2013

( This IDS was originally submitted on February 14,2012 and resubmitted on April 04,2013.

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Substitut	e for form	1449A/PTO			Complet	e if Known		
INFO	<b>B</b> M	ATION DISCLOS	TIRE	Application Number		13/008,285		
		ENT BY APPLIC		Filing Date	Janua		8, 2011	
BIA				First Named Inventor			AMAZAKI et al.	
	(use i	is many sheets as necessary)		Group Art Unit		2811		
	·····			Examiner Name		L. A. Gurle		
Sheet	1	of 1		Attorney Docket Number	l	0756-9138	3	
			U.S.	PATENT DOCUMENT	s			
		U.S. Patent Document				cation of Cited	Pages, Columns, Lines, W	
Examiner Initials	Cite No. <sup>1</sup>		Code <sup>2</sup> Nam known)	ne of Patentee or Applicant of Cited Document		ument D-YYYY	Relevant Passages or Relevant Figures Appear	
		2011/0193080	Yaı	mazaki et al.	08/11	/2011		
		2011/0210326	Su:	zawa et al.	09/01	/2011		
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Examiner Signature	/	Shrinivas Rao/			Date Considered	05/	/09/2013	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.R./

Please type a plus sign (+) inside this box → [+] PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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Substitut	Substitute for form 1449A/PTO					Complete if Known				
INFO	)RM	ATION	J DISC	CLOSURI	r D	Application Number		13/008,28	5	
				PLICAN		Filing Date		January 1	18, 2011 YAMAZAKI et al.	
51A					L L	First Named Inventor				
	(use i	is many she	ets as neces	sary)		Group Art Unit		2811		
						Examiner Name		L. A. Gurle	эу	
Sheet	1		of	1		Attorney Docket Number		0756-9138		
					U.S. P	ATENT DOCUMENT	s			
Examiner	Cite			New			plication of Cited	Pages, Columns, Lines, Where		
Initials'	No.1				Name of Patentee or Applicant of Cited Document		DD-YYYY	Relevant Passages or Relevant Figures Appear		
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Initials"	No. <sup>1</sup>	Office	Number		a Code" "known)	Applicant of Cited Document	Document MM-DD-YYYY		Relevant Passages or Relevant Figures Appear	т"
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Examiner Signature							Date Considere	d	441 - 242 - 785 - 785 - 785 - 285 - 285 - 285 - 285 - 285 - 285 - 285 - 285 - 285 - 285 - 285 - 285 - 285 - 285	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Electronic Ac	Electronic Acknowledgement Receipt			
EFS ID:	15432136			
Application Number:	13008285			
International Application Number:				
Confirmation Number:	8496			
Title of Invention:	SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER			
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI			
Customer Number:	31780			
Filer:	Eric J. Robinson/Jennifer Rosenfeld			
Filer Authorized By:	Eric J. Robinson			
Attorney Docket Number:	0756-9138			
Receipt Date:	04-APR-2013			
Filing Date:	18-JAN-2011			
Time Stamp:	13:56:47			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Submitted wi	th Payment	no	no			
File Listin	g:					
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1		RESUBMISSIONOFIDS_04APR20	475270	Vec	4	
ſ		13.pdf	328d50b318e2d55f84d66c8033f99ba97d2 a1e57	yes	7	

	Multipart Description/PDF files in .zip description				
	Document Description	Start	End		
	Miscellaneous Incoming Letter	1	3		
	Information Disclosure Statement (IDS) Form (SB08)	4	4		
Warnings:					
Information	3				
	Total Files Size (in bytes):	475	5270		
Post Card, a	d by the applicant, and including page counts, where applicable. It so s described in MPEP 503. tions Under 35 U.S.C. 111	erves as evidence o	of receipt similar to		
Post Card, a <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowled <u>c</u>	tions Under 35 U.S.C. 111 lication is being filed and the application includes the necessary com nd MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due cou ement Receipt will establish the filing date of the application.	ponents for a filing	g date (see 37 CFR		
Post Card, a <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowled <u>c</u> <u>National Sta</u> If a timely su U.S.C. 371 a	s described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the application includes the necessary com nd MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due cou	ponents for a filing rse and the date sh s compliant with tl acceptance of the a	g date (see 37 CFR nown on this he conditions of 35 application as a		

the application.

### Attorney Docket No. 0756-9138

Shrinivas H. Rao

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Patent Application of:				Confirmation No. 8496
Shun	pei YAI	MAZAKI et	)	Group Art Unit: 2814	
Serial No. 13/008,285				)	Examiner: Shrinivas H
Filed	: Janua	ary 18, 201	1	)	
For:	SEMIC	CONDUCT	OR DEVICE HAVING	)	
	AN	OXIDE	SEMICONDUCTOR	)	
	LAYER				

# RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

It has come to Applicant's attention that the Information Disclosure Statement previously filed on February 14, 2012 was only partially considered by the Examiner. Specifically, it is noted that while it appears that the Examiner has considered the references cited therein by placing the electronic signature "/Shrinivas Rao/" in the "Examiner Signature" block and the date "06/12/2012" in the "Date Considered" block, the Examiner has not included the phrase "ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH' along with the examiner's electronic initials" as required by the alternate electronic signature method set forth in MPEP § 609.05(b).

## - 2 - Application Serial No. 13/008,285 Attorney Docket No. 0756-9138

Further in this regard, it is noted that while a copy of the above-referenced form 1449 can be found in PAIR (Mail Room Date: 02-14-2012; Document Code: IDS; Document Description: Information Disclosure Statement (IDS) Form (SB08)), further to the telephone conversation with Examiner Rao on April, 4, 2013, the Applicant resubmits a copy of form 1449, as requested by the Examiner, which was <u>previously</u> filed with the Office on February 14, 2012, for the Examiner's convenience. The above-referenced Information Disclosure Statement was properly and timely filed on February 14, 2012 and is merely being resubmitted as requested by the Examiner. The Applicant respectfully requests that the Examiner provide a copy of the Form PTO-1449 in conformance with MPEP § 609.05(b) evidencing consideration of the above-referenced Information Disclosure Statement.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

Attorney Docket No. 0756-9138

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shunpei YAMAZAKI et al. Serial No. 13/008,285 Filed: January 18, 2011 For: SEMICONDUCTOR DEVICE ) Confirmation No. 8496

) Group Art Unit: 2811

) Examiner: L. A. Gurley

### **INFORMATION DISCLOSURE STATEMENT**

)

)

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789 UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

31780759003/20/2013Robinson Intellectual Property Law Office, P.C.3975 Fair Ridge DriveSuite 20 NorthFairfax, VA 22033

EXAMINER

RAO, SHRINIVAS H

ART UNIT PAPER NUMBER
2814

DATE MAILED: 03/20/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/008,285	01/18/2011	INV001Shunpei YAMAZAKI	0756-9138	8496

TITLE OF INVENTION: SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1780	\$300	\$O	\$2080	06/20/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE

# **Commissioner for Patents** P.O. Box 1450 Alexandria, Virginia 22313-1450

### (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

31780 7590 03/20/2013 Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, VA 22033

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/008,285	01/18/2011	INV001Shunpei YAMAZAKI	0756-9138	8496

TITLE OF INVENTION: SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1780	\$300	\$0	\$2080	06/20/2013
EXAMINER		ART UNIT	CLASS-SUBCLASS			
RAO, SHRINIVAS H		2814	257-347000	-		
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			or agents OR, alternativ (2) the name of a single registered attorney or a	3 registered patent attorn	er a 2	

### 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE

Please check the appropriate assignee category or categories (will n	ot be printed on the patent): 🔲 Individual 📮 Corporation or other private group entity 📮 Government
<ul> <li>4a. The following fee(s) are submitted:</li> <li>Issue Fee</li> <li>Publication Fee (No small entity discount permitted)</li> <li>Advance Order - # of Copies</li> </ul>	<ul> <li>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).</li> </ul>

5. Change in Entity Status (from status indicated above)	
Applicant certifying micro entity status. See 37 CFR 1.29	<u>NOTE:</u> Absent a valid certification of Micro Entity Status (see form PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
Applicant asserting small entity status. See 37 CFR 1.27	<u>NOTE:</u> If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.
Applicant changing to regular undiscounted fee status.	<u>NOTE</u> : Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

 Authorized Signature
 Date

Typed or printed name

Registration No. \_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	TED STATES PATE	ENT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/008,285	01/18/2011	INV001Shunpei YAMAZAKI	0756-9138	8496
31780 75	90 03/20/2013		EXAM	AINER
	tual Property Law O	ffice, P.C.	RAO, SHE	RINIVAS H
Suite 20 North			ART UNIT	PAPER NUMBER
Fairfax, VA 22033			2814	
			DATE MAILED: 03/20/201	3

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 194 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 194 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)			
	13/008,285	YAMAZAKI ET AL.			
Notice of Allowability	Examiner	Art Unit			
STEVEN RAO 2814					
The MAILING DATE of this communication All claims being allowable, PROSECUTION ON THE MEF nerewith (or previously mailed), a Notice of Allowance (PT NOTICE OF ALLOWABILITY IS NOT A GRANT OF PAT of the Office or upon petition by the applicant. See 37 CF	RITS IS (OR REMAINS) CLOSED in OL-85) or other appropriate commu ENT RIGHTS. This application is s	n this application. If not included unication will be mailed in due court	rse. <b>THIS</b>		
1. 🛛 This communication is responsive to <u><math>08/12/2012</math></u> .					
<ol> <li>An election was made by the applicant in response t requirement and election have been incorporated int</li> </ol>		during the interview on; the	e restrictio		
<ol> <li>The allowed claim(s) is/are <u>1-23</u>. As a result of the a Highway program at a participating intellectual prop http://www.uspto.gov/patents/init_events/pph/index.j</li> </ol>	erty office for the corresponding app	plication. For more information, ple			
4. 🔀 Acknowledgment is made of a claim for foreign prior	ity under 35 U.S.C. § 119(a)-(d) or	(f).			
a) 🔀 All b) 🗌 Some* c) 🗌 None of the:					
1. 🛛 Certified copies of the priority documer	nts have been received.				
2. 🗌 Certified copies of the priority documer	nts have been received in Application	n No			
3. 🔲 Copies of the certified copies of the priv	ority documents have been receive	d in this national stage application	from the		
International Bureau (PCT Rule 17.2(a)	)).				
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING noted below. Failure to timely comply will result in ABAN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE	NDONMENT of this application.	a reply complying with the require	ements		
5. 🔲 CORRECTED DRAWINGS ( as "replacement sheet:	s") must be submitted.				
	•				

including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
- 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 4. Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_.

- 5. 
  Examiner's Amendment/Comment
- 6.  $\boxtimes$  Examiner's Statement of Reasons for Allowance
- 7. 🗌 Other \_\_\_\_\_.

Application/Control Number: 13/008,285 Art Unit: 2814

## Response to Amendment

Applicants' amendment filed on 08/15/2012 has been entered.

Therefore Claims 1-23 as recited in the amendment are currently pending in the

Application.

# Information Disclosure Statement

No further IDS after the one filed on 06/25/2012 (previously considered) have been filed in the Application.

# Allowable Subject Matter

- 1. Claims 1 to 23 are allowed.
- 2. The following is an examiner's statement of reasons for allowance:

3. The Applied prior art of record does not describe/suggest the combination of elements suggested in independent claims 1, 7,14 and 22 including the fourth conductive layer in contact with the oxide semiconductor layer and wherein the source /drain electrode region in contact with channel formation region has higher resistance than other regions of the source/drain electrode ( cl.22), as Applicants. Initially stated that 2011/0210326 to Suzawa was prior art in their IDS filed on 05/25/2012 ( which was considered and mailed to Applicants') and have argued in their response filed on 08/15/2012 that the present Application has an earlier effective filling date and therefore effectively removing Suzawa as an applicable reference.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably Application/Control Number: 13/008,285 Art Unit: 2814

accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to STEVEN RAO whose telephone number is (571)272-1718. The examiner can normally be reached on 8.30-5.30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on 571-272-1714. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Steven H Rao/ Examiner, Art Unit 2814 /Howard Weiss/ Primary Examiner, Art Unit 2814

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	13008285	YAMAZAKI ET AL.
	Examiner	Art Unit
	STEVEN RAO	2814

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED					
Symbol Date Examiner					

US CLASSIFICATION SEARCHED								
Class	Class Subclass Date Examiner							
257	347, E 29.14	03/15/13	SR					

SEARCH NOTES		
Search Notes	Date	Examiner
East	03/15/2013	SR

INTERFERENCE SEARCH							
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner				
257	347, E23.14	03/15/2013	SR				

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13008285	YAMAZAKI ET AL.
	Examiner	Art Unit
	STEVEN RAO	2814

Symbol	Туре	Version

CPC Combination Sets						
Symbol	Туре	Set	Ranking	Version		

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/STEVEN RAO/ Examiner.Art Unit 2814	031213	Total Claims Allowed:				
(Assistant Examiner)	(Date)	23				
/HOWARD WEISS/ Primary Examiner.Art Unit 2814	03/18/2013	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	1			

U.S. Patent and Trademark Office

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/STEVEN RAO/ Examiner.Art Unit 2814	031213	Total Claims Allowed: 23				
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U.S. Patent and Trademark Office

Part of Paper No. 20130111

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	13008285	YAMAZAKI ET AL.
	Examiner	Art Unit
	STEVEN RAO	2814

⊠	Claims re	enumbere	d in the s	ame orde	r as prese	ented by a	applicant	CPA . T.D R.1.47							
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/STEVEN RAO/ Examiner.Art Unit 2814	031213	Total Claims Allowed:				
(Assistant Examiner)	(Date)	2	3			
/HOWARD WEISS/ Primary Examiner.Art Unit 2814	03/18/2013	O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	1			
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U.S. Patent and Trademark Office

Part of Paper No. 20130111



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

# **CONFIRMATION NO. 8496**

SERIAL NUMB	ER	FILING or 371(c) DATE	CLASS	GF	ROUP ART	UNIT	ΑΤΤΟ	DRNEY DOCKET NO.				
13/008,285		01/18/2011	257		2814			0756-9138				
		RULE										
INV002Hird INV003Hid INV004Shi INV005Mot INV006May	APPLICANTS INV001Shunpei YAMAZAKI, Setagaya, JAPAN; INV002Hiromichi GODO, Isehara, JAPAN; INV003Hideomi SUZAWA, Atsugi, JAPAN; INV004Shinya SASAGAWA, Chigasaki, JAPAN; INV005Motomu KURATA, Isehara, JAPAN; INV006Mayumi MIKAMI, Atsugi, JAPAN; ** CONTINUING DATA ***********											
	** FOREIGN APPLICATIONS ************************************											
	** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 01/31/2011											
Foreign Priority claimed 35 USC 119(a-d) conditi	•	Yes   No Yes   No	fter ance STATE O COUNTR		SHEETS RAWINGS	TOT. CLAII		INDEPENDENT CLAIMS				
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### Attorney Docket No. 0756-9138

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shunpei YAMAZAKI et al. Serial No. 13/008,285 Filed: January 18, 2011 For: SEMICONDUCTOR DEVICE

- ) Confirmation No.: 8496
- ) Examiner: Shrinivas H. Rao
- ) Group Art Unit: 2814

### AMENDMENT

)

)

Honorable Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action dated June 25, 2012, please consider the following amendments and remarks in connection with the above-identified application.

Amendments to the Specification begin on page 2 of this paper.

**Remarks** begin on page 3 of this paper.

# Amendments to the Specification:

Please replace the title beginning at page 1, line 1, with the following amended title:

SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER

Application Serial No. 13/008,285 Attorney Docket No. 0756-9138

### REMARKS

The Official Action mailed June 25, 2012, has been received and its contents carefully noted. This response is filed within three months of the mailing date of the Official Action and therefore is believed to be timely without extension of time. Accordingly, the Applicant respectfully submits that this response is being timely filed.

The Applicant notes with appreciation the consideration of the Information Disclosure Statements filed on January 18, 2011; February 8, 2011; April 14, 2011; May 31, 2011; February 14, 2012 and May 18, 2012.

Claims 1-23 are pending in the present application, of which claims 1, 7, 14 and 22 are independent. For the reasons set forth in detail below, all claims are believed to be in condition for allowance. Favorable reconsideration is requested.

The Official Action objects to the title as not descriptive and requests a new title that is clearly indicative of the invention to which the claims are directed page 2, Paper No. 20120612). In response, the title has been changed to "SEMICONDUCTOR DEVICE HAVING AN OXIDE SEMICONDUCTOR LAYER," which is consistent with the claims and is believed to be sufficiently descriptive. If the presently amended title is not sufficiently descriptive, the Applicant respectfully requests that the Examiner further clarify why the title is not descriptive or, if possible, suggest a title believed to be sufficiently descriptive. Reconsideration of the objection is requested.

Paragraph 2 of the Official Action rejects claims 1-23 as obvious based on the combination of U.S. Publication No. 2007/0187760 to Furuta and U.S. Publication No. 2011/0210326 to Suzawa. The Applicant respectfully disagrees and traverses the rejection. In this regard, it is noted that Suzawa is <u>not</u> prior art to the present application. Specifically, because Suzawa's filing date of <u>February 17, 2011</u>, is <u>later</u> than the current application's filing date of <u>January 18, 2011</u>, Suzawa does not qualify as prior art under 35 U.S.C. § 102. As such, the Applicant respectfully submits that the Office has failed to provide a proper *prima facie* case for obviousness. Accordingly,

- 3 -

reconsideration and withdrawal of the rejections under 35 U.S.C. § 103 are in order and respectfully requested.

- 4 -

Should the Examiner believe that anything further would be desirable to place this application in better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number listed below.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive, Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

Electronic A	cknowledgement Receipt
EFS ID:	13503501
Application Number:	13008285
International Application Number:	
Confirmation Number:	8496
Title of Invention:	SEMICONDUCTOR DEVICE
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI
Customer Number:	31780
Filer:	Eric J. Robinson/Adele Stamper
Filer Authorized By:	Eric J. Robinson
Attorney Docket Number:	0756-9138
Receipt Date:	15-AUG-2012
Filing Date:	18-JAN-2011
Time Stamp:	15:12:58
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted wi	th Payment		no									
File Listing:												
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)						
1	Amendment/Req. Reconsideration-After	A٨	IENDMENT_15AUG2012_07	375152	no	4						
	Non-Final Reject		569138.pdf	e5990a42b9675507d4dd32b8adbd74d5fef 6f518	110	-						
Warnings:												
Information:												

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-032 

P/	Under the Pa ATENT APPL		E DETE	RMINATION			pplication or l	of information unle Docket Number 18,285	Fil	splays a valid ing Date 18/2011	OMB control number.
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	SEARCH FEE (37 CFR 1.16(k), (i), d	or (m))	N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	ed States Patent a	ND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	Trademark Office FOR PATENTS	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
13/008,285	01/18/2011	Shunpei YAMAZAKI	0756-9138	8496	
Robinson Intell 3975 Fair Ridge	7590 06/25/2012 ectual Property Law Office e Drive	e, P.C.	EXAMINER RAO, SHRINIVAS H		
Suite 20 North Fairfax, VA 22	033		ART UNIT	PAPER NUMBER	
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			MAIL DATE	DELIVERY MODE	
			06/25/2012	PAPER	

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	13/008,285	YAMAZAKI ET AL.
Office Action Summary	Examiner	Art Unit
	STEVEN RAO	2814
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING D/</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period v</li> <li>Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on <u>18 Ja</u>	anuary 2011.	
	action is non-final.	
3) An election was made by the applicant in respo	onse to a restriction requirement	set forth during the interview on
; the restriction requirement and election	have been incorporated into this	action.
4) Since this application is in condition for allowar	nce except for formal matters, pro	osecution as to the merits is
closed in accordance with the practice under E	<i>Ex parte Quayle</i> , 1935 C.D. 11, 45	53 O.G. 213.
Disposition of Claims		
5) Claim(s) <u>1-23</u> is/are pending in the application.		
5a) Of the above claim(s) is/are withdraw		
6) Claim(s) is/are allowed.		
7)⊠ Claim(s) <u>1-23</u> is/are rejected.		
8) Claim(s) is/are objected to.		
9) Claim(s) are subject to restriction and/or	r election requirement.	
Application Papers		
10) The specification is objected to by the Examine	r.	
11) The drawing(s) filed on <u>18 January 2011</u> is/are:	a) accepted or b) accepted or b)	I to by the Examiner.
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correct	ion is required if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).
12) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.
Priority under 35 U.S.C. § 119		
13) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	)-(d) or (f).
a)⊠ All b) Some * c) None of:		
1. Certified copies of the priority documents	s have been received.	
2. Certified copies of the priority documents	s have been received in Applicati	on No
3. Copies of the certified copies of the prior	ity documents have been receive	ed in this National Stage
application from the International Bureau	u (PCT Rule 17.2(a)).	
* See the attached detailed Office action for a list	of the certified copies not receive	ed.
Attachment(s)		
1) X Notice of References Cited (PTO-892)	4) Interview Summary	
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> </ul>	Paper No(s)/Mail Da 5) 🔲 Notice of Informal F	
Paper No(s)/Mail Date <u>01/18/11 02/08,04/14,05/03,02/14.5/18/1</u>		

Continuation Sheet (PTOL-326)

#### **DETAILED ACTION**

#### Priority

Acknowledgement is made of papers filed claiming priority from Japanese Patent

Application No. 2010-012540 filed on January 22, 2010.

### Information Disclosure Statement

The IDSs filed on 01/18/2011, 02/08/2011, 04/14/2011, 05/03/2011 and 02/14/2012

and 05/18/2012 has been considered and the initialed PTO1449s made of record.

### Specification

1. The title of the invention is not descriptive. A new title is required that is clearly

indicative of the invention to which the claims are directed.

### Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

2. Claims 1-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over

### Furuta (U.S. Patent Publication No. 2007/0187760 and suzuwa U.S. Patent

#### Application No. 20110210326 both cited by Applicants; in their IDs)

With respect to claim/s 1,7 Furuta describes Furuta semiconductor device comprising: an oxide semiconductor layer; (Fig. 9a 110) 5 a source electrode (fig. 9A 102) in contact with the oxide semiconductor layer comprising: (figs. 102 in electrical contact with 110)

a first conductive layer; (fig.9a,110a) and

a second conductive layer; (Fig.9a,103) a drain electrode (Fig. (a, second 102) in contact with the oxide semiconductor layer ( fig, (a, 102 in contact with 110) comprising:

a third conductive layer; (fig. 8C,161) and

Furuta does not specifically mention a fourth conductive layer.

However Suzawa describes in para 130 etc. a fourth wiring line (a fourth conductive layer) to vertically integrate the elements of the transistor and form a miniature transistor that operates at high speed and low power consumption.

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to include Suzawa's fourth conductive layer in Furuta's device, the motivation for the combination is to vertically integrate the elements of the transistor and form a miniature transistor that operates at high speed and low power consumption. (Suzawa para 017).

The remaining limitations of claim/s 1,7 :

a gate electrode overlapping with the oxide semiconductor layer; (Furuta fig. 9a, 106) and a gate insulating layer provided (Furuta 104)between the oxide semiconductor layer and the gate electrode, (fig.9 A)

wherein the second conductive layer extends beyond an end portion of the first conductive layer, (Furuta fig. 9a 119 a extends beyond 103)

wherein the fourth conductive layer extends beyond an end portion of the third conductive layer, (Suzuwa figs,244 extends beyond 242) and

wherein the end portion of the first conductive layer and the end portion of the third conductive layer are opposed to each other. (Furuta fig. 9A) .

second conductive layer has a higher resistance than the first conductive layer, and wherein the fourth conductive layer is over the third conductive layer, and the fourth conductive layer has a higher resistance than the third conductive layer. (Furuta abstract lines 3,5 etc.)

With respect to claim/s, 2,10,18,19 Furuta describes the semiconductor device according to claim 1, wherein the first conductive layer, the second conductive layer, the third conductive layer and the fourth conductive layer each has a tapered shape. (Furua figs. three tapered layers Suzawa figs. four tapered layers)

With respect to claims 3,15 Furuta describes the semiconductor device according to claim 1, further comprising:

a first sidewall insulating layer over the second conductive layer and in contact with the end portion of the first conductive layer; (Suzawa fig.2 E, 254a) and a second side wall insulating layer over the fourth conductive layer and in contact with the end portion of the third conductive layer. Suzawa fig.4C).

With respect to claims 4,11 Furuta describes the semiconductor device according to claim 1, wherein a material of the second conductive layer and a material of the fourth conductive layer are a nitride of a metal. (Suzawa para 0024)

With respect to claims 5,12,20 Furuta describes the semiconductor device according to claim 1, wherein a thickness of the second conductive layer and a thickness of the fourth conductive layer are from 5 nm to 15 nm. (Furuta para 0024)

With respect to claims 6,13,21 Furuat describes the semiconductor device according to claim 1, further comprising:

a first insulating layer provided between the oxide semiconductor layer and the source electrode; and a second insulating layer provided between the oxide semiconductor layer and the drain electrode, (Furuta figs. 4 between s/d 2 and 3) wherein the source electrode and the drain electrode are in contact with the oxide semiconductor layer at end portions of the source electrode and the drain electrode. (Furuta figs., Suzawa figs.)

With respect to claim 8 Furuta describes the semiconductor device according to claim 7, wherein the first conductive layer and the third conductive layer are in contact with the oxide semiconductor layer. (Furuta figs., Suzawa figs.)

With respect to claims 9. 16 Furuta he semiconductor device according to claim 7, wherein the second conductive layer extends beyond an end portion of the first conductive layer, wherein the fourth conductive layer extends beyond an end portion of the third conductive layer, and wherein the end portion of the first conductive layer and the end portion of the third conductive layer are opposed to each other.

With respect to 14 Furuata describes a semiconductor device comprising: an oxide semiconductor layer; a source electrode comprising: a first conductive layer; and a second conductive layer in contact with the oxide semiconductor layer; a drain electrode comprising: a third conductive layer; and a fourth conductive layer in contact with the oxide semiconductor layer; a gate electrode overlapping with the oxide semiconductor layer; and a gate insulating layer provided between the oxide semiconductor layer and the

gate electrode, wherein the first conductive layer is over the second conductive layer,

and the second conductive layer has a higher resistance than the first conductive layer, and wherein the third conductive layer is over the fourth conductive layer, and the fourth conductive layer has a higher resistance than the third conductive layer. (rejected for reasons under claims 1,7 above)

With respect to claim 22 Futura describes a semiconductor device comprising: an oxide semiconductor layer including a channel formation region; a source electrode including a region in contact with the channel formation region; a drain electrode including a region in contact with the channel formation region; a gate electrode overlapping with the channel formation region; and a gate insulating layer provided between the oxide semiconductor layer and the gate electrode, wherein the region of the source electrode has a higher resistance than other regions of the source electrode, and wherein the region of the drain electrode has a higher resistance than other regions of the drain electrode. (rejected for reasons under claim 7 etc. above).

With respect to claim 23 Futura describes the semiconductor device according to claim 22, further comprising: a first insulating layer provided between the oxide semiconductor layer and the source electrode; and a second insulating layer provided between the oxide semiconductor layer and the drain electrode, wherein the source electrode and the drain electrode are in contact with the oxide semiconductor layer at end portions of the source electrode and the drain electrode. (rejected for reasons under claims 1,7 14 etc. above).

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to STEVEN RAO whose telephone number is (571)272-

1718. The examiner can normally be reached on 8.30-5.30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Wael Fahmy can be reached on 571-272-1714. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Steven H Rao/ Examiner, Art Unit 2814 /Howard Weiss/ Primary Examiner, Art Unit 2814

Notice of References Cited	Application/Control No. 13/008,285	Reexamination	
Notice of Hereneuces Cited	Examiner	Art Unit	
	STEVEN RAO	2814	Page 1 of 1

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	А	US-2007/0187760	08-2007	Furuta et al.	257/347
	В	US-			
	С	US-			
	D	US-			
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#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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INFORMATION DISCLOSURE				Filing Date	January 18, 2011	
STATEME	STATEMENT BY APPLICANT		First Named Inventor	Shunpei YAMAZAKI et al.		
				Art Unit	2811	
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Sheet	1	of	2	Attorney Docket Number	0756-9138	

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		WO-2007/058329	05-24-2007			Eng.
		WO-2007/089048	08-09-2007			Eng.
		WO-2007/142167	12-13-2007			Eng

Examiner		Date	06/10/0010
Signature	/Shrinivas Rao/	Considered	06/12/2012

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	NFORMATION DISCLOSUR		SUIDE	Application Number	13/008,285
INFORMATION DISCLOSU STATEMENT BY APPLICA				Filing Date	January 18, 2011
STATEME	ENT BY /	APPL	ICANT	First Named Inventor	Shunpei YAMAZAKI et al.
				Art Unit	2811
(Use a	is many sheets as	necessary	r)	Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	0756-9138

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
		INTERNATIONAL SEARCH REPORT (Application No.PCT/JP2010/073886) Dated February 15, 2011.	Eng.				
		WRITTEN OPINION (Application No.PCT/JP2010/073886) Dated February 15, 2011.	Eng.				
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		U	.S. Patent D	ocument				Date of Pub	lication of Cited	Pages, Columns, Line	es. Where
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		9010		Application Number	13/008,285	
INFORMATION DISCLOSURE		Filing Date	January 18, 2011			
STATEM	STATEMENT BY APPLICANT		First Named Inventor	Shunpei YAMAZAKI et al.		
				Art Unit	2811	
(Use a	(Use as many sheets as necessary)		Examiner Name	Unknown		
Sheet	1	of	12	Attorney Docket Number	0756-9138	

			U. S. PATENT DOCU	MENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
muais	INO.	Number-Kind Cade <sup>2 (If known)</sup>			Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т <sup>6</sup>					
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	t .					
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		eri i	SUIDE	Application Number	13/008,285	
INFORMATION DISCLOSURE				Filing Date	January 18, 2011	
STATEMENT BY APPLICANT		First Named Inventor	Shunpei YAMAZAKI et al.			
				Art Unit	2811	
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Sheet	2	of	12	Attorney Docket Number	0756-9138	

			U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	т6			
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear				
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INFORMATION DISCLOSURE				Application Number	13/008,285	
STATEMENT DV ADDUCANT				Filing Date	January 18, 2011	
			ICAN I	First Named Inventor	Shunpei YAMAZAKI et al.	
(1)			<b>、</b>	Art Unit	2811	
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Sheet	3	of	12	Attorney Docket Number	0756-9138	

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Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear
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Examiner	Date	
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				Art Unit	2811	
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Examiner Signature	/Shrinivas Rao/	Date Considered	06/12/2012
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INFORMA		scia	SURE	Application Number	13/008,285
		-		Filing Date	January 18, 2011
STATEME	NI BY A	APPL	ICAN I	First Named Inventor	Shunpei YAMAZAKI et al.
() las a			4	Art Unit	2811
(Use a	(Use as many sheets as necessary)			Examiner Name	Unknown
Sheet 5 of 12				Attorney Docket Number	0756-9138

			U. S. PATENT DOCU	MENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2 (If Known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Cite	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	<del>т</del> 6				
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	א MM-DD-YYYY	Applicant of Cited Document	Figures Appear	•				

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6	of	12	Attorney Docket Number	0756-9138
		TION DISCLO ENT BY APPL	TION DISCLOSURE ENT BY APPLICANT as many sheets as necessary)	ATION DISCLOSURE ENT BY APPLICANT Is many sheets as necessary) Application Number Filing Date First Named Inventor Art Unit Examiner Name

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Signature	/Shrinivas Hao/	Considered	06/12/2012

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Sheet	7	of	12	Attorney Docket Number	0756-9138

		NON PATENT LITERATURE DOCUMENTS							
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STATEMENT BY APPLICANT				First Named Inventor	Shunpei YAMAZAKI et al.
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Sheet	8	of	12	Attorney Docket Number	0756-9138

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#### NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Cite Examiner T<sup>2</sup> (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials\* No.1 publisher, city and/or country where published. OSADA.T et al., "DEVELOPMENT OF DRIVER-INTEGRATED PANEL USING AMORPHOUS IN-GA-ZN-OXIDE Eng. TFT,", AM-FPD '09 DIGEST OF TECHNICAL PAPERS, July 1, 2009, pp. 33-36. HIRAO.T et al., "NOVEL TOP-GATE ZINC OXIDE THIN-FILM TRANSISTORS (ZNO TFTS) FOR AMLCDS,", Eng JOURNAL OF THE SID, 2007, Vol. 15, No. 1, pp. 17-22. HOSONO.H, "68.3:INVITED PAPER:TRANSPARENT AMORPHOUS OXIDE SEMICONDUCTORS FOR HIGH PERFORMANCE TFT,", SID DIGEST '07 : SID INTERNATIONAL SYMPOSIUM DIGEST OF TECHNICAL Eng. PAPERS, 2007, Vol. 38, pp. 1830-1833. GODO.H et al., "P-9:NUMERICAL ANALYSIS ON TEMPERATURE DEPENDENCE OF CHARACTERISTICS OF AMORPHOUS IN-GA-ZN-OXIDE TFT,", SID DIGEST '09 : SID INTERNATIONAL SYMPOSIUM DIGEST OF Eng. TECHNICAL PAPERS, May 31, 2009, pp. 1110-1112. OHARA.H et al., "21.3:4.0 IN. QVGA AMOLED DISPLAY USING IN-GA-ZN-OXIDE TFTS WITH A NOVEL PASSIVATION LAYER,", SID DIGEST '09 : SID INTERNATIONAL SYMPOSIUM DIGEST OF TECHNICAL Eng. PAPERS, May 31, 2009, pp. 284-287. MIYASAKA.M, "SUFTLA FLEXIBLE MICROELECTRONICS ON THEIR WAY TO BUSINESS,", SID DIGEST '07 Eng. SID INTERNATIONAL SYMPOSIUM DIGEST OF TECHNICAL PAPERS, 2007, Vol. 38, pp. 1673-1676. CHERN.H et al., "AN ANALYTICAL MODEL FOR THE ABOVE-THRESHOLD CHARACTERISTICS OF POLYSILICON THIN-FILM TRANSISTORS,", IEEE TRANSACTIONS ON ELECTRON DEVICES, July 1, 1995, Eng. Vol. 42, No. 7, pp. 1240-1246. KIKUCHI.H et al., "39.1:INVITED PAPER:OPTICALLY ISOTROPIC NANO-STRUCTURED LIQUID CRYSTAL COMPOSITES FOR DISPLAY APPLICATIONS,", SID DIGEST '09 : SID INTERNATIONAL SYMPOSIUM Eng. DIGEST OF TECHNICAL PAPERS, May 31, 2009, pp. 578-581. ASAOKA.Y et al., "29.1:POLARIZER-FREE REFLECTIVE LCD COMBINED WITH ULTRA LOW-POWER DRIVING TECHNOLOGY,", SID DIGEST '09 : SID INTERNATIONAL SYMPOSIUM DIGEST OF TECHNICAL Eng. PAPERS, May 31, 2009, pp. 395-398. LEE.H et al., "CURRENT STATUS OF, CHALLENGES TO, AND PERSPECTIVE VIEW OF AM-OLED ,", IDW '06 : PROCEEDINGS OF THE 13TH INTERNATIONAL DISPLAY WORKSHOPS, December 7, 2006, pp. 663-Eng. 666.

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(Use as many sheets as necessary)			()	Examiner Name	Unknown		
Sheet 10 of 12			12	Attorney Docket Number 0756-9138			

#### NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite $\mathsf{T}^2$ (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials\* No.1 publisher, city and/or country where published. KIKUCHI.H et al., "62.2:INVITED PAPER:FAST ELECTRO-OPTICAL SWITCHING IN POLYMER-STABILIZED LIQUID CRYSTALLINE BLUE PHASES FOR DISPLAY APPLICATION,", SID DIGEST '07 : SID Eng. INTERNATIONAL SYMPOSIUM DIGEST OF TECHNICAL PAPERS, 2007, Vol. 38, pp. 1737-1740. NAKAMURA.M, "Synthesis of Homologous Compound with New Long-Period Structure,", NIRIM NEWSLETTER, Full March 1, 1995, Vol. 150, pp. 1-4. KIKUCHI.H et al., "POLYMER-STABILIZED LIQUID CRYSTAL BLUE PHASES,", NATURE MATERIALS, Eng. September 1, 2002, Vol. 1, pp. 64-68. KIMIZUKA.N et al., "SPINEL, YBFE2O4, AND YB2FE3O7 TYPES OF STRUCTURES FOR COMPOUNDS IN THE IN203 AND SC203-A203-BO SYSTEMS [A; FE, GA, OR AL; B: MG, MN, FE, NI, CU,OR ZN] AT Eng. TEMPERATURES OVER 1000°C,", JOURNAL OF SOLID STATE CHEMISTRY, 1985, Vol. 60, pp. 382-384. KITZEROW.H et al., "OBSERVATION OF BLUE PHASES IN CHIRAL NETWORKS,", LIQUID CRYSTALS, 1993, Eng. Vol. 14, No. 3, pp. 911-916. COSTELLO.M et al., "ELECTRON MICROSCOPY OF A CHOLESTERIC LIQUID CRYSTAL AND ITS BLUE Eng. PHASE,", PHYS. REV. A (PHYSICAL REVIEW. A), May 1, 1984, Vol. 29, No. 5, pp. 2957-2959. . MEIBOOM.S et al., "THEORY OF THE BLUE PHASE OF CHOLESTERIC LIQUID CRYSTALS,", PHYS. REV. Eng. LETT. (PHYSICAL REVIEW LETTERS), May 4, 1981, Vol. 46, No. 18, pp. 1216-1219. PARK.SANG-HEE et al., "42.3: Transparent ZnO Thin Film Transistor for the Application of High Aperture Ratio Bottom Emission AM-OLED Display,", SID DIGEST '08 : SID INTERNATIONAL SYMPOSIUM DIGEST OF Eng. TECHNICAL PAPERS, May 20, 2008, Vol. 39, pp. 629-632. ORITA.M et al., "MECHANISM OF ELECTRICAL CONDUCTIVITY OF TRANSPARENT InGaZnO4,", PHYS. Eng. REV. B (PHYSICAL REVIEW. B), January 15, 2000, Vol. 61, No. 3, pp. 1811-1816. NOMURA.K et al., "AMORPHOUS OXIDE SEMICONDUCTORS FOR HIGH-PERFORMANCE FLEXIBLE THIN-FILM TRANSISTORS,", JPN. J. APPL. PHYS. (JAPANESE JOURNAL OF APPLIED PHYSICS), 2006, Vol. 45, Eng. No. 5B, pp. 4303-4308.

Examiner Date Signature /Shrinivas Rao/ Considered 06/12/2012

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Substitute for form 1449/PTO				Complete if Known			
INFORMA	TION DIS	SCI (	SURF	Application Number	13/008,285		
				Filing Date	January 18, 2011		
STATEMENT BY APPLICANT			ICAN I	First Named Inventor	Shunpei YAMAZAKI et al.		
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Sheet 11 of 12			12	Attorney Docket Number 0756-9138			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		JANOTTI.A et al., "NATIVE POINT DEFECTS IN ZnO,", PHYS. REV. B (PHYSICAL REVIEW. B), October 4, 2007, Vol. 76, No. 16, pp. 165202-1-165202-22.	Eng.
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		HSIEH.H et al., "P-29:Modeling of Amorphous Oxide Semiconductor Thin Film Transistors and Subgap Density of States,", SID DIGEST '08 : SID INTERNATIONAL SYMPOSIUM DIGEST OF TECHNICAL PAPERS, 2008, Vol. 39, pp. 1277-1280.	Eng.
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		HOSONO.H et al., "Working hypothesis to explore novel wide band gap electrically conducting amorphous oxides and examples,", J. NON-CRYST. SOLIDS (JOURNAL OF NON-CRYSTALLINE SOLIDS), 1996, Vol. 198-200, pp. 165-169.	Eng.
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		CLARK.S et al., "FIRST PRINCIPLES METHODS USING CASTEP,", Zeitschrift fur Kristallographie, 2005, Vol. 220, pp. 567-570.	Eng.

Examiner Signature /Shrinivas Rao/ Date Considered 06/12/2012

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INFORMA	TION DIS	SCL	OSLIRE	Application Number	13/008,285		
				Filing Date	January 18, 2011		
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		LANY.S et al., "Dopability, Intrinsic Conductivity, and Nonstoichiometry of Transparent Conducting Oxides,", PHYS. REV. LETT. (PHYSICAL REVIEW LETTERS), January 26, 2007, Vol. 98, pp. 045501-1-045501-4.	Eng.							
		PARK.J et al., "Dry etching of ZnO films and plasma-induced damage to optical properties,", J. VAC. SCI. TECHNOL. B (JOURNAL OF VACUUM SCIENCE & TECHNOLOGY B), March 1, 2003, Vol. 21, No. 2, pp. 800- 803.	Eng.							
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		UENO.K et al., "FIELD-EFFECT TRANSISTOR ON SrTiO3 WITH SPUTTERED AI2O3 GATE INSULATOR,", APPL. PHYS. LETT. (APPLIED PHYSICS LETTERS), September 1, 2003, Vol. 83, No. 9, pp. 1755-1757.	Eng.							
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	Examiner	Art Unit		
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	FOREIGN PATENT DOCUMENTS											
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		Nomura et al., "Room-Temperature Fabrication of Transparent Flexible Thin-Film Transistors Using Amorphous Oxide Semiconductors," NATURE, November 25, 2004, Vol. 432, pp. 488-492.	Eng.

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#### **REQUEST FOR STATUS**

Honorable Commissioner of Patents

P. O. Box 1450

Alexandria VA 22313-1450

Sir:

ć,

To date the undersigned attorney of record has received no action in the aboveidentified patent application. Please provide the undersigned with a status report of the application in writing.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789 (703)766-2394 (Fax) Please type a plus sign (+) inside this box  $\rightarrow$  [+]

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Electronic Ac	knowledgement Receipt
EFS ID:	12813674
Application Number:	13008285
International Application Number:	
Confirmation Number:	8496
Title of Invention:	SEMICONDUCTOR DEVICE
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI
Customer Number:	31780
Filer:	Eric J. Robinson/Doris Vasquez Soriano
Filer Authorized By:	Eric J. Robinson
Attorney Docket Number:	0756-9138
Receipt Date:	18-MAY-2012
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Time Stamp:	14:55:08
Application Type:	Utility under 35 USC 111(a)

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an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Confirmation No. 8496
Shunpei YAMAZAKI et al.	)	Group Art Unit: 2814
Serial No. 13/008,285	)	Examiner: S. Rao
Filed: January 18, 2011	)	
For: SEMICONDUCTOR DEVICE	)	

#### **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

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Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

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EFS ID:	12070735	
Application Number:	13008285	
International Application Number:		
Confirmation Number:	8496	
Title of Invention:	SEMICONDUCTOR DEVICE	
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI	
Customer Number:	31780	
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Time Stamp:	13:23:52	
Application Type:	Utility under 35 USC 111(a)	

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Shunpei YAMAZAKI et al.	)	Group Art Unit: 2811
Serial No. 13/008,285	)	Examiner: L. A. Gurley
Filed: January 18, 2011	)	
For: SEMICONDUCTOR DEVICE	)	

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Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

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出願年月日 Date of Application:

**Application Number:** 

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2010年 1月22日 特願2010-012540

パリ条約による外国への出願 に用いる優先権の主張の基礎 となる出願の国コードと出願 番号

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is

出 願 人 Applicant(s):

JP2010-012540

株式会社半導体エネルギー研究所

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2011年 8月24日

【書類名】 特許願 【整理番号】 P013132 【提出日】 平成22年 1月22日 【あて先】 特許庁長官 細野 哲弘 殿 【発明者】 【住所又は居所】 神奈川県厚木市長谷398番地 株式会社半導体エネルギー研究 所内 【氏名】 山崎 舜平 【発明者】 【住所又は居所】 神奈川県厚木市長谷398番地 株式会社半導体エネルギー研究 所内 【氏名】 郷戸 宏充 【発明者】 【住所又は居所】 神奈川県厚木市長谷398番地 株式会社半導体エネルギー研究 所内 【氏名】 須沢 英臣 【発明者】 【住所又は居所】 神奈川県厚木市長谷398番地 株式会社半導体エネルギー研究 所内 【氏名】 笹川 慎也 【発明者】 【住所又は居所】 神奈川県厚木市長谷398番地 株式会社半導体エネルギー研究 所内 【氏名】 倉田 求 【発明者】 【住所又は居所】 神奈川県厚木市長谷398番地 株式会社半導体エネルギー研究 所内 三上 真弓 【氏名】 【特許出願人】 【識別番号】 000153878 【氏名又は名称】 株式会社半導体エネルギー研究所 【代表者】 山崎 舜平 【手数料の表示】 【振替番号】 00003528 【納付金額】 15.000円 【提出物件の目録】 【物件名】 特許請求の範囲 1 明細書 1 【物件名】 【物件名】 図面 1 要約書 1 【物件名】

【書類名】明細書

【発明の名称】半導体装置

【技術分野】

[0001]

発明の技術分野は、半導体装置に関する。ここで、半導体装置とは、半導体特性を利用す ることで機能する素子および装置全般を指すものである。

【背景技術】

[0002]

金属酸化物は多様に存在し、さまざまな用途に用いられている。酸化インジウムはよく知られた材料であり、液晶表示装置などに必要とされる透明電極の材料として用いられている。

[0003]

金属酸化物の中には半導体特性を示すものがある。半導体特性を示す金属酸化物としては 、例えば、酸化タングステン、酸化錫、酸化インジウム、酸化亜鉛などがあり、このよう な金属酸化物をチャネル形成領域に用いた薄膜トランジスタが既に知られている(例えば 、特許文献1乃至特許文献4、非特許文献1等参照)。

[0004]

ところで、金属酸化物には、一元系酸化物のみでなく多元系酸化物も知られている。例えば、ホモロガス相を有する $InGaO_3(ZnO)_m(m: h)$ は、In、GaおよびZnを有する多元系酸化物半導体として知られている(例えば、非特許文献2乃至非特許文献4等参照)。

【0005】

そして、上記のようなIn-Ga-Zn系酸化物で構成される酸化物半導体も、薄膜トランジスタのチャネル形成領域に適用可能であることが確認されている(例えば、特許文献 5、非特許文献5および非特許文献6等参照)。

【先行技術文献】

【特許文献】

[0006]

【特許文献1】特開昭60-198861号公報

【特許文献2】特開平8-264794号公報

【特許文献3】特表平11-505377号公報

【特許文献4】特開2000-150900号公報

【特許文献5】特開2004-103957号公報

【非特許文献】

[0007]

【非特許文献1】M. W. Prins, K. O. Grosse-Holz, G. Muller, J. F. M. Cillessen, J. B. Giesbers, R. P. Weening, and R. M. Wo lf、「A ferroelectric transparent thin-f ilm transistor」、 Appl. Phys. Lett.、17 June 1996、 Vol. 68 p. 3650-3652

【非特許文献2】M. Nakamura, N. Kimizuka, and T. Mohri、「The Phase Relations in the I n2O3-Ga2ZnO4-ZnO System at 1350℃」、J. S olid State Chem.、1991、Vol. 93, p. 298-31 5

【非特許文献3】N. Kimizuka, M. Isobe, and M. Nakamura、「Syntheses and Single-Crystal Data of Homologous Compounds, In2O3(Z nO)m(m=3, 4, and 5), InGaO3(ZnO)3, and Ga2O3 (ZnO) m (m=7, 8, 9, and 16) in the In 2O3-ZnGa2O4-ZnO System], J. Solid State Chem., 1995, Vol. 116, p. 170-178

【非特許文献4】中村真佐樹、君塚昇、毛利尚彦、磯部光正、「ホモロガス相、In FeO3(ZnO)m(m:自然数)とその同型化合物の合成および結晶構造」、固 体物理、1993年、Vol. 28、No. 5、p. 317-327

【非特許文献5】K. Nomura, H. Ohta, K. Ueda, T. Kamiya, M. Hirano, and H. Hosono、「Th in-film transistor fabricated in singl e-crystalline transparent oxide semico nductor」、SCIENCE、2003、Vol. 300、p. 1269-1272

【非特許文献6】K. Nomura, H. Ohta, A. Takagi, T. Kamiya, M. Hirano, and H. Hosono、「 Room-temperature fabrication of transp arent flexible thin-film transistors u sing amorphous oxide semiconductors」、N ATURE、2004、Vol. 432 p. 488-492

【発明の概要】

【発明が解決しようとする課題】

[0008]

ところで、トランジスタの動作の高速化、トランジスタの低消費電力化、低価格化、など を達成するためには、トランジスタの微細化は必須である。

[0009]

トランジスタを微細化する場合には、製造工程において発生する不良が大きな問題となる 。例えば、ソース電極およびドレイン電極と、チャネル形成領域とは電気的に接続される が、微細化に伴う被覆性の低下などに起因して、断線や接続不良などが生じうる。

[0010]

また、トランジスタを微細化する場合には、短チャネル効果の問題も生じる。短チャネル 効果とは、トランジスタの微細化(チャネル長(L)の縮小)に伴って顕在化する電気特 性の劣化である。短チャネル効果は、ドレインの電界の効果がソースにまでおよぶことに 起因するものである。短チャネル効果の具体例としては、しきい値電圧の低下、S値の増 大、漏れ電流の増大などがある。特に、酸化物半導体を用いたトランジスタは、室温にお いてシリコンを用いたトランジスタと比較してオフ電流が小さいことが知られており、こ れは熱励起により生じるキャリアが少ない、つまりキャリア密度が小さいためと考えられ る。キャリア密度が小さい材料を用いたトランジスタでは、しきい値電圧の低下などの短 チャネル効果が現れやすい傾向にある。

[0011]

そこで、開示する発明の一態様は、不良を抑制しつつ微細化を達成した半導体装置の提供 を目的の一とする。または、良好な特性を維持しつつ微細化を達成した半導体装置の提供 を目的の一とする。

【課題を解決するための手段】

[0012]

開示する発明の一態様は、酸化物半導体層と、酸化物半導体層と接するソース電極及びド レイン電極と、酸化物半導体層と重なるゲート電極と、酸化物半導体層とゲート電極との 間に設けられたゲート絶縁層と、を有し、ソース電極またはドレイン電極は、第1の導電 層と、第1の導電層の端面よりチャネル長方向に伸長した領域を有する第2の導電層と、 を含む半導体装置である。

[0013]

上記の半導体装置において、第1の導電層および第2の導電層はテーパー形状であるのが

好ましい。

[0014]

また、上記の半導体装置において、第2の導電層の領域の上にサイドウォール絶縁層を有 するのが好ましい。

[0015]

また、開示する発明の別の一態様は、酸化物半導体層と、酸化物半導体層と接するソース 電極及びドレイン電極と、酸化物半導体層と重なるゲート電極と、酸化物半導体層とゲー ト電極との間に設けられたゲート絶縁層と、を有し、ソース電極及びドレイン電極は、第 1の導電層と、第1の導電層よりも高抵抗である第2の導電層と、を含み、第2の導電層 において、酸化物半導体層と接する半導体装置である。

[0016]

また、上記の半導体装置において、第2の導電層は、金属の窒化物であるのが好ましい。 【0017】

また、上記の半導体装置において、第2の導電層の膜厚は5 n m乃至1 5 n mであるのが 好ましい。

[0018]

また、開示する発明の別の一態様は、チャネル形成領域を含む酸化物半導体層と、チャネ ル形成領域と接するソース電極及びドレイン電極と、チャネル形成領域と重なるゲート電 極と、酸化物半導体層とゲート電極との間に設けられたゲート絶縁層と、を有し、ソース 電極またはドレイン電極において、酸化物半導体層のチャネル形成領域と接する領域は、 その他の領域よりも高抵抗である半導体装置である。

[0019]

また、上記の半導体装置において、ソース電極またはドレイン電極は、その端面において 酸化物半導体層と接し、かつ、ソース電極またはドレイン電極と、酸化物半導体層との間 に絶縁層を有するのが好ましい。

[0020]

ここで半導体装置とは、半導体特性を利用することで機能しうる装置全般を指す。例えば 、表示装置や記憶装置、集積回路などは半導体装置に含まれうる。

[0021]

また、本明細書等において「上」や「下」の用語は、構成要素の位置関係が「直上」また は「直下」であることを限定するものではない。例えば、「ゲート絶縁層上のゲート電極 」の表現であれば、ゲート絶縁層とゲート電極との間に他の構成要素を含むものを除外し ない。また、「上」「下」の用語は説明の便宜のために用いる表現に過ぎず、特に言及す る場合を除き、その上下を入れ替えたものも含む。

[0022]

また、本明細書等において「電極」や「配線」の用語は、これらの構成要素を機能的に限 定するものではない。例えば、「電極」は「配線」の一部として用いられることがあり、 その逆もまた同様である。さらに、「電極」や「配線」の用語は、複数の「電極」や「配 線」が一体となって形成されている場合をなどをも含む。

[0023]

また、「ソース」や「ドレイン」の機能は、異なる極性のトランジスタを採用する場合や 、回路動作において電流の方向が変化する場合などには入れ替わることがある。このため 、本明細書においては、「ソース」や「ドレイン」の用語は、入れ替えて用いることがで きるものとする。

[0024]

また、本明細書等において、「電気的に接続」には、「何らかの電気的作用を有するもの」を介して接続されている場合が含まれる。ここで、「何らかの電気的作用を有するもの」は、接続対象間での電気信号の授受を可能とするものであれば、特に制限を受けない。 例えば、「何らかの電気的作用を有するもの」には、電極や配線をはじめ、トランジスタ などのスイッチング素子、抵抗素子、インダクタ、キャパシタ、その他の各種機能を有す る素子などが含まれる。

【発明の効果】

【0025】

開示する発明の一態様によって、以下のいずれか、または双方の効果を得ることが可能で ある。

[0026]

第一に、ソース電極またはドレイン電極を第1の導電層と第2の導電層の積層構造として 、第2の導電層に、第1の導電層の端面よりチャネル長方向に伸長した領域を設けること で、ソース電極またはドレイン電極上に半導体層を形成する際の被覆性が向上する。この ため、接続不良などの発生が抑制される。

[0027]

第二に、ソース電極またはドレイン電極において、チャネル形成領域と接する領域の近傍 を高抵抗領域とすることで、ソース電極とドレイン電極の間の電界を緩和することができ る。このため、しきい値電圧低下などの短チャネル効果を抑制することができる。

[0028]

このような効果により、微細化に伴う問題点が解消されることになるため、結果として、 トランジスタサイズを十分に小さくすることが可能になる。トランジスタサイズを十分に 小さくすることで、半導体装置の占める面積が小さくなり、半導体装置の取り数が増大す る。これにより、半導体装置あたりの製造コストは抑制される。また、半導体装置が小型 化されるため、同程度の大きさでさらに機能が高められた半導体装置を実現することがで きる。また、チャネル長の縮小による、動作の高速化、低消費電力化などの効果を得るこ ともできる。つまり、開示する発明の一態様により酸化物半導体を用いたトランジスタの 微細化が達成されることで、これに付随する様々な効果を得ることが可能である。

[0029]

このように、開示する発明の一態様によって、不良を抑制しつつ、または、良好な特性を 維持しつつ、微細化を達成した半導体装置を提供することができる。

【図面の簡単な説明】

[0030]

- 【図1】半導体装置の断面図
- 【図2】半導体装置の作製工程に係る断面図
- 【図3】半導体装置の作製工程に係る断面図
- 【図4】半導体装置の断面図
- 【図5】半導体装置の作製工程に係る断面図
- 【図6】半導体装置の回路図の例
- 【図7】半導体装置の回路図の例
- 【図8】半導体装置の回路図の例
- 【図9】電子機器の例
- 【図10】計算に用いたトランジスタのモデルを示す断面図

【図11】チャネル長L(nm)としきい値電圧のシフト量 $\Delta$ Vth(V)との関係 を示す図

【発明を実施するための形態】

[0031]

本発明の実施の形態の一例について、図面を用いて以下に説明する。但し、本発明は以下 の説明に限定されず、本発明の趣旨およびその範囲から逸脱することなくその形態および 詳細を様々に変更し得ることは当業者であれば容易に理解される。従って、本発明は以下 に示す実施の形態の記載内容に限定して解釈されるものではない。

[0032]

なお、図面等において示す各構成の、位置、大きさ、範囲などは、理解の簡単のため、実際の位置、大きさ、範囲などを表していない場合がある。このため、開示する発明は、必ずしも、図面等に開示された位置、大きさ、範囲などに限定されない。

[0033]

なお、本明細書等における「第1」、「第2」、「第3」などの序数は、構成要素の混同 を避けるために付すものであり、数的に限定するものではないことを付記する。

[0034]

(実施の形態1)

本実施の形態では、開示する発明の一態様に係る半導体装置の構成およびその作製工程の 例について、図1乃至図3を参照して説明する。

[0035]

〈半導体装置の構成例〉

図1(A)乃至図1(D)に、半導体装置の例として、トランジスタの断面構造を示す。

図1(A)乃至図1(D)では、開示する発明の一態様に係るトランジスタとして、トップゲート型のトランジスタを示している。

[0036]

図1(A)に示すトランジスタ160は、基板100上に、第1の導電層142a及び第 2の導電層145aが順に積層されたソース電極と、第1の導電層142b及び第2の導 電層145bが順に積層されたドレイン電極と、ソース電極上に設けられた絶縁層143 aと、ドレイン電極上に設けられた絶縁層143bと、絶縁層143a及び絶縁層143 b上に設けられた酸化物半導体層144と、酸化物半導体層144上に設けられたゲート 絶縁層146と、ゲート絶縁層146上に設けられたゲート電極148と、を有している

[0037]

図1(A)に示すトランジスタ160において、第2の導電層145aは、第1の導電層 142aの端面よりチャネル長方向(キャリアの流れる方向)に伸長した領域を有してお り、第2の導電層145aと酸化物半導体層144の少なくともチャネル形成領域とは接 している。また、第2の導電層145bは、第1の導電層142bの端面よりチャネル長 方向に伸長した領域を有しており、第2の導電層145bと酸化物半導体層144の少な くともチャネル形成領域とは接している。

[0038]

図1(B)に示すトランジスタ170と、図1(A)に示すトランジスタ160との相違 の一は、絶縁層143a、143bの有無である。図1(B)に示すトランジスタ170 は、第2の導電層145a及び第2の導電層145bの上面及び端面に接するように、酸 化物半導体層144が設けられている。

[0039]

図1(B)に示すトランジスタ170においても、トランジスタ160と同様に、第2の 導電層145aは、第1の導電層142aの端面よりチャネル長方向に伸長した領域を有 しており、第2の導電層145bは、第1の導電層142bの端面よりチャネル長方向に 伸長した領域を有している。

[0040]

図1(C)に示すトランジスタ180と、図1(A)に示すトランジスタ160との相違 の一は、第1の導電層142a及び第2の導電層145aの積層順、及び第1の導電層1 42b及び第2の導電層145bの積層順である。図1(C)に示すトランジスタ180 は、第2の導電層145a及び第1の導電層142aが順に積層されたソース電極と、第 2の導電層145b及び第1の導電層142bが順に積層されたドレイン電極と、を有し ている。

[0041]

また、図1(C)に示すトランジスタ180において、第2の導電層145aは、第1の 導電層142aの端面よりチャネル長方向に伸長した領域を有しており、第2の導電層1 45bは、第1の導電層142bの端面よりチャネル長方向に伸長した領域を有している 。したがって、絶縁層143aは、第2の導電層145aにおいて第1の導電層142a の端面よりチャネル長方向に伸長した領域と、第1の導電層142aと、に接して設けら れている。また、絶縁層143bは、第2の導電層145bにおいて第1の導電層142 bの端面よりチャネル長方向に伸長した領域と、第1の導電層142bと、に接して設け られている。

[0042]

図1(D)に示すトランジスタ190と、図1(C)に示すトランジスタ170との相違 の一は、絶縁層143a、143bの有無である。図1(D)に示すトランジスタ190 では、第1の導電層142aと、第1の導電層142bと、第2の導電層145aにおい て第1の導電層142aの端面よりチャネル長方向に伸長した領域と、第2の導電層14 5bにおいて第1の導電層142bの端面よりチャネル長方向に伸長した領域と、に接し て酸化物半導体層144が設けられている。

[0043]

図1(D)に示すトランジスタ190において、第2の導電層145aは、第1の導電層 142aの端面よりチャネル長方向に伸長した領域を有しており、第2の導電層145a と酸化物半導体層144の少なくともチャネル形成領域とは接している。また、第2の導 電層145bは、第1の導電層142bの端面よりチャネル長方向に伸長した領域を有し ており、第2の導電層145bと酸化物半導体層144の少なくともチャネル形成領域と は接している。

[0044]

くトランジスタの作製工程の例〉

以下、図2及び図3を用いて、図1に示すトランジスタの作製工程の例について説明する

[0045]

〈トランジスタ160またはトランジスタ170の作製工程〉

まず、図2(A)乃至図2(F)を用いて、図1(A)に示すトランジスタ160の作製 工程の一例について説明する。なお、図1(B)に示すトランジスタ170は、絶縁層1 43a、143bを有しない以外は、トランジスタ160の作製工程を参酌することがで きるため、詳細な記載を省略する。

[0046]

絶縁表面を有する基板100上に第1の導電膜を形成し、該導電膜を選択的にエッチング して、第1の導電層142a、142bを形成する(図2(A)参照)。第1の導電膜の 膜厚は、例えば、50nm乃至500nmとする。

【0047】

なお、基板100に使用することができる基板に大きな制限はないが、少なくとも、後の 加熱処理に耐えうる程度の耐熱性を有していることが必要となる。例えば、ガラス基板、 セラミック基板、石英基板、サファイア基板など基板を用いることができる。また、絶縁 表面を有していれば、シリコンや炭化シリコンなどの単結晶半導体基板、多結晶半導体基 板、シリコンゲルマニウムなどの化合物半導体基板、SOI基板などを適用することも可 能であり、これらの基板上に半導体素子が設けられていてもよい。また、基板100上に 下地膜が設けられていても良い。

[0048]

第1の導電膜は、スパッタ法をはじめとするPVD法や、プラズマCVD法などのCVD 法を用いて形成することができる。また、第1の導電膜の材料としては、アルミニウム、 クロム、銅、タンタル、チタン、モリブデン、タングステンからから選ばれた元素やこれ らの窒化物、上述した元素を成分とする合金等を用いることができる。マンガン、マグネ シウム、ジルコニウム、ベリリウムのいずれか、またはこれらを複数組み合わせた材料を 用いてもよい。また、アルミニウムに、チタン、タンタル、タングステン、モリブデン、 クロム、ネオジム、スカンジウムから選ばれた元素、またはこれらを複数組み合わせた材 料を用いてもよい。

【0049】

第1の導電膜は、単層構造であっても良いし、2層以上の積層構造としてもよい。例えば

、チタン膜の単層構造、シリコンを含むアルミニウム膜の単層構造、アルミニウム膜上に チタン膜が積層された2層構造、チタン膜とアルミニウム膜とチタン膜とが積層された3 層構造などが挙げられる。なお、第1の導電膜を単層構造とする場合には、テーパー形状 を有するソース電極またはドレイン電極への加工が容易であるというメリットがある。

【0050】

また、第1の導電膜は、導電性の金属酸化物を用いて形成しても良い。導電性の金属酸化物としては酸化インジウム( $In_2O_3$ )、酸化スズ( $SnO_2$ )、酸化亜鉛(ZnO)

、酸化インジウム酸化スズ合金(In<sub>2</sub>О<sub>3</sub>-SnO<sub>2</sub>、ITOと略記する場合がある) 、酸化インジウム酸化亜鉛合金(In<sub>2</sub>О<sub>3</sub>-ZnO)、または、これらの金属酸化物材 料にシリコン若しくは酸化シリコンを含有させたものを用いることができる。

[0051]

第1の導電膜のエッチングは、形成される第1の導電層142a、142bの端部が、テ ーパー形状となるように行うことが好ましい。ここで、テーパー角は、例えば、30°以 上60°以下であることが好ましい。

[0052]

次に、第1の導電層142a、142b、及び基板100を覆うように、第2の導電膜1 45を形成する。第2の導電膜145の膜厚は、3nm乃至30nm、好ましくは5nm 乃至15nmとする。

[0053]

第2の導電膜145は、第1の導電膜と同様の材料、同様の成膜方法で形成することがで きる。つまり、第2の導電膜の材料としては、アルミニウム、クロム、銅、タンタル、チ タン、モリブデン、タングステンからから選ばれた元素やこれらの窒化物、上述した元素 を成分とする合金等を用いることができる。マンガン、マグネシウム、ジルコニウム、ベ リリウムのいずれか、またはこれらを複数組み合わせた材料を用いてもよい。また、アル ミニウムに、チタン、タンタル、タングステン、モリブデン、クロム、ネオジム、スカン ジウムから選ばれた元素、またはこれらを複数組み合わせた材料を用いてもよい。また、 酸化インジウム(In<sub>2</sub>O<sub>3</sub>)、酸化スズ(SnO<sub>2</sub>)、酸化亜鉛(ZnO)、酸化イン ジウム酸化スズ合金(In<sub>2</sub>O<sub>3</sub>-SnO<sub>2</sub>、ITOと略記する場合がある)、酸化イン ジウム酸化亜鉛合金(In<sub>2</sub>O<sub>3</sub>-ZnO)、または、これらの金属酸化物材料にシリコ ン若しくは酸化シリコンを含有させた導電性の金属酸化物を用いても良い。

[0054]

なお、第2の導電膜145の材料として、第1の導電層142a、142bよりも高抵抗 な材料を用いると、作製されるトランジスタ160のソース電極及びドレイン電極におい て、酸化物半導体層のチャネル形成領域と接する領域が、その他の領域よりも高抵抗とな るため、ソース電極とドレイン電極の間の電界を緩和して短チャネル効果を抑制すること ができ、好ましい。第2の導電膜145に用いる導電材料としては、例えば、窒化チタン 、窒化タングステン、窒化タンタル、または窒化モリブデン等の金属窒化物を好ましく用 いることができる。また、第2の導電膜145はソース電極またはドレイン電極の一部と なり酸化物半導体層と接するから、第2の導電膜145には、酸化物半導体層との接触に より化学反応しない材料を用いるのが望ましい。上述の金属窒化物は、この点においても 好適である。

【0055】

次いで、第2の導電膜145上に、絶縁膜143を膜厚50nm乃至300nm、好まし くは、100nm乃至200nmで形成する(図2(A)参照)。本実施の形態において は、絶縁膜143として、酸化シリコン膜を形成するものとする。なお、図1(B)にお いてトランジスタ170で示したように、絶縁膜143は必ずしも形成しなくてもよいが 、絶縁膜143を設ける場合には、後に形成されるソース電極またはドレイン電極と、酸 化物半導体層との接触領域(接触面積など)の制御が容易になる。つまり、ソース電極ま たはドレイン電極の抵抗の制御が容易になり、短チャネル効果の抑制を効果的に行うこと ができる。また、絶縁膜143を設けることにより、後に形成されるゲート電極と、ソー ス電極及びドレイン電極と、の間の寄生容量を低減することが可能である。

【0056】

次いで、絶縁膜143上にマスクを形成し、該マスクを用いて絶縁膜143をエッチング することにより、絶縁層143a、143bを形成する(図2(B)参照)。絶縁膜14 3のエッチングには、ウェットエッチングまたはドライエッチングを用いることができ、 ウェットエッチングとドライエッチングを組み合わせて用いてもよい。絶縁膜を所望の形 状にエッチングできるよう、材料に合わせてエッチング条件(エッチングガスやエッチン グ液、エッチング時間、温度等)を適宜設定するものとする。ただし、トランジスタのチ ャネル長(L)を微細に加工するためには、ドライエッチングを用いるのが好ましい。ド ライエッチングに用いるエッチングガスとしては、例えば、フッ化硫黄(SF<sub>6</sub>)、フッ 化窒素(NF<sub>3</sub>)、トリフルオロメタン(CHF<sub>3</sub>)などのフッ素を含むガス、又は、四 フッ化メタン(CF<sub>4</sub>)と水素の混合ガス等を用いることができ、希ガス(ヘリウム(H e)、アルゴン(Ar)、キセノン(Xe))、一酸化炭素、又は二酸化炭素等を添加し ても良い。

【0057】

次いで、絶縁膜143のエッチングに用いたマスクを用いて、第2の導電膜145をエッ チングすることにより、第2の導電層145a、145bを形成する(図2(C)参照) 。なお、第2の導電膜145をエッチングする前にマスクを除去し、絶縁層143a及び 絶縁層143bをマスクとして用いて第2の導電膜145をエッチングしても良い。また 、図1(B)のトランジスタ170で示したように、絶縁層を設けない場合は、第2の導 電膜145上に直接マスクを形成して第2の導電膜をエッチングすればよい。

【0058】

第2の導電膜145のエッチングには、ウェットエッチングまたはドライエッチングを用 いることができ、ウェットエッチングとドライエッチングを組み合わせて用いてもよい。 所望の形状にエッチングできるよう、材料に合わせてエッチング条件(エッチングガスや エッチング液、エッチング時間、温度等)を適宜設定するものとする。ただし、トランジ スタのチャネル長(L)を微細に加工するためには、ドライエッチングを用いるのが好ま しい。第2の導電膜145のエッチングに用いるエッチングガスとしては、例えば、塩素 (C1<sub>2</sub>)、三塩化ホウ素(BC1<sub>3</sub>)、四塩化ケイ素(SiC1<sub>4</sub>)、四フッ化メタン (CF<sub>4</sub>)フッ化硫黄(SF<sub>6</sub>)、フッ化窒素(NF<sub>3</sub>)等を用いることができ、これら のうちから複数を選択した混合ガスを用いてもよい。また、希ガス(ヘリウム(He)、 アルゴン(Ar))、又は酸素等を添加しても良い。また、第2の導電膜のエッチングは 絶縁層143のエッチングと同じガスを用いて連続的に行うことも可能である。

[0059]

このエッチング工程によって、第1の導電層142a及び第2の導電層145aが積層したソース電極と、第1の導電層142b及び第2の導電層145bが積層したドレイン電極とが形成される。エッチングに用いるマスクを適宜調整することで、第1の導電層142aの端面よりチャネル長方向に伸長した領域を有する第2の導電層145a、または、第1の導電層142bの端面よりチャネル長方向に伸長した領域を有する第2の導電層145a、または、45bとすることができる。

[0060]

なお、トランジスタ160のチャネル長(L)は、第2の導電層145aの下端部と第2 の導電層145bの下端部との間隔によって決定される。チャネル長(L)は、トランジ スタ160の用途によって異なるが、例えば10nm乃至1000nm、好ましくは20 nm乃至400nmとすることができる。

[0061]

なお、チャネル長(L)が25nm未満のトランジスタを形成する場合、絶縁膜143及 び第2の導電膜145のエッチングに用いるマスク形成の露光を行う際には、数nm~数 10nmと波長の短い超紫外線(Extreme Ultraviolet)を用いるの が望ましい。超紫外線による露光は、解像度が高く焦点深度も大きい。従って、後に形成 されるトランジスタのチャネル長(L)を、十分に小さくすることも可能であり、回路の 動作速度を高めることが可能である。また、微細化によって、半導体装置の消費電力を低 減することも可能である。

[0062]

また、第2の導電層において、第1の導電層の端面よりチャネル長方向に伸長した領域は、後の酸化物半導体層及びゲート絶縁層形成工程において、被覆性を向上させる効果を奏する。第2の導電層145aにおいて、第1の導電層142aの端面よりチャネル長方向に伸長した領域のチャネル長方向の長さ( $L_S$ )と、第2の導電層145bにおいて、第1の導電層142bの端面よりチャネル長方向に伸長した領域のチャネル長方向の長さ( $L_D$ )と、は、必ずしも同一ではないが、トランジスタ160を同一基板上に複数設ける場合、各トランジスタにおける $L_S$ と $L_D$ との合計の値は略一定となる。

【0063】

次に、絶縁層143a、143b、及び基板100上に、酸化物半導体層144をスパッ タ法によって形成する(図2(D)参照)。酸化物半導体層144の膜厚は、例えば、3 nm乃至30nm、好ましくは5nm乃至15nmとする。形成された酸化物半導体層1 44は、第2の導電層145a及び第2の導電層145bと少なくともチャネル形成領域 において接している。

【0064】

ここで、第2の導電層145a、145bが、第1の導電層142a、142bの端面よ りもチャネル長方向に伸長した領域を有することで、ソース電極及びドレイン電極の端部 における段差を緩やかなものとすることができるため、酸化物半導体層144の被覆性を 向上させ、段切れを防止することが可能である。

[0065]

なお、作製されるトランジスタ160のソース電極またはドレイン電極は、第2の導電層 145a及び第2の導電層145bの端面においてのみ、酸化物半導体層144と接して おり、ソース電極またはドレイン電極の上面においても酸化物半導体層と接する場合と比 較して、その接触面積を大幅に低減することができる。このように、ソース電極またはド レイン電極と、酸化物半導体層144との接触面積を低減することで、接触界面における コンタクト抵抗を増大させることができ、ソース電極とドレイン電極の間の電界を緩和す ることができる。なお、開示する発明の技術思想は、ソース電極またはドレイン電極に高 抵抗な領域を形成することにあるので、ソース電極またはドレイン電極は、厳密に第2の 導電層145a及び第2の導電層145bの端面においてのみ酸化物半導体層144と接 する必要はない。例えば、第2の導電層145a及び第2の導電層145bは、上面の一 部において、酸化物半導体層144と接していても良い。

[0066]

酸化物半導体層144は、四元系金属酸化物であるIn-Sn-Ga-Zn-O系や、三元系金属酸化物であるIn-Ga-Zn-O系、In-Sn-Zn-O系、In-A1-Zn-O系、Sn-Ga-Zn-O系、A1-Ga-Zn-O系、Sn-A1-Zn-O系や、二元系金属酸化物であるIn-Zn-O系、Sn-Zn-O系、A1-Zn-O系、Zn-Mg-O系、Sn-Mg-O系、In-Mg-O系や、In-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O系、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-O名、Sn-OA (Sn-O) (Sn

[0067]

中でも、 I n-G a - Z n - O系の酸化物半導体材料は、無電界時の抵抗が十分に高くオ フ電流を十分に小さくすることが可能であり、また、電界効果移動度も高いため、半導体 装置に用いる半導体材料としては好適である。

[0068]

 $In-Ga-Zn-O系の酸化物半導体材料の代表例としては、<math>InGaO_3$ (ZnO) m(m>0)で表記されるものがある。また、 $Gaに代えてMを用い、InMO_3$ (ZnO)m(m>0)のように表記される酸化物半導体材料がある。ここで、Mは、ガリウム(Ga)、アルミニウム(A1)、鉄(Fe)、ニッケル(Ni)、マンガン(Mn)、 コバルト(Co)などから選ばれた一の金属元素または複数の金属元素を示す。例えば、 Mとしては、Ga、GaおよびA1、GaおよびFe、GaおよびNi、GaおよびMn 、GaおよびCoなどを適用することができる。なお、上述の組成は結晶構造から導き出 されるものであり、あくまでも一例に過ぎないことを付記する。

[0069]

酸化物半導体層144をスパッタ法で作製するためのターゲットとしては、In:Ga: Zn=1:x:y(xは0以上、yは0.5以上5以下)の組成式で表されるものを用い るのが好適である。例えば、In:Ga:Zn=1:1:1[atom比](x=1、y =1)、(すなわち、In<sub>2</sub>O<sub>3</sub>:Ga<sub>2</sub>O<sub>3</sub>:ZnO=1:1:2[mol数比])の 組成比を有する金属酸化物ターゲットなどを用いることができる。また、In:Ga:Z n=1:1:0.5[atom比](x=1、y=0.5)の組成比を有する金属酸化物 ターゲットや、In:Ga:Zn=1:1:2[atom比](x=1、y=2)の組成 比を有する金属酸化物ターゲットや、In:Ga:Zn=1:0:1[atom比](x =0、y=1)の組成比を有する金属酸化物ターゲットを用いることもできる。 【0070】

本実施の形態では、非晶質構造の酸化物半導体層144を、In-Ga-Zn-O系の金 属酸化物ターゲットを用いるスパッタ法により形成することとする。

[0071]

金属酸化物ターゲット中の金属酸化物の相対密度は80%以上、好ましくは95%以上、 さらに好ましくは99.9%以上である。相対密度の高い金属酸化物ターゲットを用いる ことにより、緻密な構造の酸化物半導体層144を形成することが可能である。 【0072】

酸化物半導体層144の形成雰囲気は、希ガス(代表的にはアルゴン)雰囲気、酸素雰囲 気、または、希ガス(代表的にはアルゴン)と酸素との混合雰囲気とするのが好適である 。具体的には、例えば、水素、水、水酸基、水素化物などの不純物が、濃度1ppm以下 (望ましくは濃度10ppb以下)にまで除去された高純度ガス雰囲気を用いるのが好適 である。

[0073]

酸化物半導体層144の形成の際には、例えば、減圧状態に保持された処理室内に被処理 物(ここでは、基板100を含む構造体)を保持し、被処理物の温度が100℃以上55 0℃未満、好ましくは200℃以上400℃以下となるように被処理物を熱する。または 、酸化物半導体層144の形成の際の被処理物の温度は、室温としてもよい。そして、処 理室内の水分を除去しつつ、水素や水などが除去されたスパッタガスを導入し、上記ター ゲットを用いて酸化物半導体層144を形成する。被処理物を熱しながら酸化物半導体層 144を形成することにより、酸化物半導体層144に含まれる不純物を低減することが できる。また、スパッタによる損傷を軽減することができる。処理室内の水分を除去する ためには、吸着型の真空ポンプを用いることが好ましい。例えば、クライオポンプ、イオ ンポンプ、チタンサブリメーションポンプなどを用いることができる。また、ターボポン プにコールドトラップを加えたものを用いてもよい。クライオポンプなどを用いて排気す ることで、処理室から水素や水などを除去することができるため、酸化物半導体層144 中の不純物濃度を低減できる。

[0074]

酸化物半導体層144の形成条件としては、例えば、被処理物とターゲットの間との距離 が170mm、圧力が0.4Pa、直流(DC)電力が0.5kW、雰囲気が酸素(酸素 100%)雰囲気、またはアルゴン(アルゴン100%)雰囲気、または酸素とアルゴン の混合雰囲気、といった条件を適用することができる。なお、パルス直流(DC)電源を 用いると、ごみ(成膜時に形成される粉状の物質など)を低減でき、膜厚分布も均一とな るため好ましい。酸化物半導体層144の膜厚は、例えば、3nm乃至30nm、好まし くは5nm乃至15nmとする。このような厚さの酸化物半導体層144を用いることで 、微細化に伴う短チャネル効果を抑制することが可能である。ただし、適用する酸化物半 導体材料や、半導体装置の用途などにより適切な厚さは異なるから、その厚さは、用いる 材料や用途などに応じて選択することもできる。

[0075]

なお、酸化物半導体層144をスパッタ法により形成する前には、アルゴンガスを導入し てプラズマを発生させる逆スパッタを行い、形成表面(例えば絶縁層143a、143b の表面)の付着物を除去するのが好適である。ここで、逆スパッタとは、通常のスパッタ においては、スパッタターゲットにイオンを衝突させるところを、逆に、処理表面にイオ ンを衝突させることによってその表面を改質する方法のことをいう。処理表面にイオンを 衝突させる方法としては、アルゴン雰囲気下で処理表面側に高周波電圧を印加して、被処 理物付近にプラズマを生成する方法などがある。なお、アルゴン雰囲気に代えて窒素、ヘ リウム、酸素などによる雰囲気を適用してもよい。

[0076]

その後、酸化物半導体層144に対して、熱処理(第1の熱処理)を行うことが望ましい 。この第1の熱処理によって酸化物半導体層144中の、過剰な水素(水や水酸基を含む )を除去し、酸化物半導体層の構造を整え、エネルギーギャップ中の欠陥準位を低減する ことができる。第1の熱処理の温度は、例えば、300℃以上550℃未満、または40 0℃以上500℃以下とする。

[0077]

熱処理は、例えば、抵抗発熱体などを用いた電気炉に被処理物を導入し、窒素雰囲気下、 450℃、1時間の条件で行うことができる。この間、酸化物半導体層144は大気に触 れさせず、水や水素の混入が生じないようにする。

[0078]

熱処理装置は電気炉に限られず、加熱されたガスなどの媒体からの熱伝導、または熱輻射 によって、被処理物を加熱する装置を用いても良い。例えば、GRTA(Gas Rap id Thermal Anneal)装置、LRTA(Lamp Rapid The rmal Anneal)装置等のRTA(Rapid Thermal Anneal )装置を用いることができる。LRTA装置は、ハロゲンランプ、メタルハライドランプ 、キセノンアークランプ、カーボンアークランプ、高圧ナトリウムランプ、高圧水銀ラン プなどのランプから発する光(電磁波)の輻射により、被処理物を加熱する装置である。 GRTA装置は、高温のガスを用いて熱処理を行う装置である。ガスとしては、アルゴン などの希ガス、または窒素のような、熱処理によって被処理物と反応しない不活性気体が 用いられる。

[0079]

例えば、第1の熱処理として、熱せられた不活性ガス雰囲気中に被処理物を投入し、数分間熱した後、当該不活性ガス雰囲気から被処理物を取り出すGRTA処理を行ってもよい。GRTA処理を用いると短時間での高温熱処理が可能となる。また、被処理物の耐熱温度を超える温度条件であっても適用が可能となる。なお、処理中に、不活性ガスを、酸素を含むガスに切り替えても良い。酸素を含む雰囲気において第1の熱処理を行うことで、酸素欠損に起因するエネルギーギャップ中の欠陥準位を低減することができるためである

[0080]

なお、不活性ガス雰囲気としては、窒素、または希ガス(ヘリウム、ネオン、アルゴン等 )を主成分とする雰囲気であって、水、水素などが含まれない雰囲気を適用するのが望ま しい。例えば、熱処理装置に導入する窒素や、ヘリウム、ネオン、アルゴン等の希ガスの 純度を、6N(99.9999%)以上、好ましくは7N(99.99999%)以上( すなわち、不純物濃度が1ppm以下、好ましくは0.1ppm以下)とする。

[0081]

いずれにしても、第1の熱処理によって不純物を低減し、 i 型(真性半導体)または i 型 に限りなく近い酸化物半導体層144を形成することで、極めて優れた特性のトランジス タを実現することができる。 [0082]

ところで、上述の熱処理(第1の熱処理)には水素や水などを除去する効果があるから、 当該熱処理を、脱水化処理や、脱水素化処理などと呼ぶこともできる。当該脱水化処理や 、脱水素化処理は、酸化物半導体層の形成後やゲート絶縁層の形成後、ゲート電極の形成 後、などのタイミングにおいて行うことも可能である。また、このような脱水化処理、脱 水素化処理は、一回に限らず複数回行っても良い。

[0083]

次に、酸化物半導体層144に接するゲート絶縁層146を形成する(図2(E)参照) 。ここで、第2の導電層145a、145bが、第1の導電層142a、142bの端面 よりもチャネル長方向に伸長した領域を有することで、ソース電極及びドレイン電極の端 部における段差を緩やかなものとすることができるため、ゲート絶縁層146の被覆性を 向上させ、段切れを防止することが可能である。

[0084]

ゲート絶縁層146は、CVD法やスパッタ法等を用いて形成することができる。また、 ゲート絶縁層146は、酸化シリコン、窒化シリコン、酸窒化シリコン、酸化アルミニウム、酸化タンタル、酸化ハフニウム、酸化イットリウム、ハフニウムシリケート(HfSixOy(x>0, y>0))、窒素が添加されたハフニウムシリケート(HfSixOy(x>0, y>0))、窒素が添加されたハフニウムアルミネート(HfAlxOy(x>0, y>0))、などを含むように形成するのが好適である。ゲート絶縁層146は、単層構造としても良いし、積層構造としても良い。また、その厚さは特に限定されないが、半導体装置を微細化する場合には、トランジスタの動作を確保するために薄くするのが望ましい。例えば、酸化シリコンを用いる場合には、1nm以上100nm以下、好ましくは10nm以上50nm以下とすることができる。

[0085]

上述のように、ゲート絶縁層を薄くすると、トンネル効果などに起因するゲートリークが 問題となる。ゲートリークの問題を解消するには、ゲート絶縁層146に、酸化ハフニウ ム、酸化タンタル、酸化イットリウム、ハフニウムシリケート(HfSixOy(x>0 、y>0)、窒素が添加されたハフニウムシリケート(HfSixOy(x>0、y>0)、窒素が添加されたハフニウムアルミネート(HfAlxOy(x>0、y>0) 、などの高誘電率(high-k)材料を用いると良い。high-k材料をゲート絶 縁層146に用いることで、電気的特性を確保しつつ、ゲートリークを抑制するために膜 厚を大きくすることが可能になる。なお、high-k材料を含む膜と、酸化シリコン、 窒化シリコン、酸化窒化シリコン、窒化酸化シリコン、酸化アルミニウムなどのいずれか を含む膜との積層構造としてもよい。

[0086]

ゲート絶縁層146の形成後には、不活性ガス雰囲気下、または酸素雰囲気下で第2の熱処理を行うのが望ましい。熱処理の温度は、200℃以上450℃以下、望ましくは250℃以上350℃以下である。例えば、窒素雰囲気下で250℃、1時間の熱処理を行えばよい。第2の熱処理を行うことによって、トランジスタの電気的特性のばらつきを軽減することができる。また、ゲート絶縁層146が酸素を含む場合、酸化物半導体層144 に酸素を供給し、該酸化物半導体層144の酸素欠損を補填して、i型(真性半導体)またはi型に限りなく近い酸化物半導体層を形成することもできる。

[0087]

なお、本実施の形態では、ゲート絶縁層146の形成後に第2の熱処理を行っているが、 第2の熱処理のタイミングはこれに特に限定されない。例えば、ゲート電極の形成後に第 2の熱処理を行っても良い。また、第1の熱処理に続けて第2の熱処理を行っても良いし 、第1の熱処理に第2の熱処理を兼ねさせても良いし、第2の熱処理に第1の熱処理を兼 ねさせても良い。

[0088]

上述のように、第1の熱処理と第2の熱処理の少なくとも一方を適用することで、酸化物

半導体層144を、その主成分以外の不純物が極力含まれないように高純度化することができる。これにより、酸化物半導体層144中の水素濃度を、 $5 \times 10^{19} \text{ atoms/} \text{cm}^3$ 以下、望ましくは $5 \times 10^{18} \text{ atoms/} \text{cm}^3$ 以下、より望ましくは $5 \times 10^{17} \text{ atoms/} \text{cm}^3$ 以下とすることができる。また、酸化物半導体層144のキャリア密度を、一般的なシリコンウェハにおけるキャリア密度( $1 \times 10^{14} \text{/} \text{cm}^3$ 程度)と比較して、十分に小さい値(例えば、 $1 \times 10^{12} \text{/} \text{cm}^3$ 未満、より好ましくは、1 .  $45 \times 10^{10} \text{/} \text{cm}^3$ 未満)とすることができる。そして、これにより、オフ電流が十分に小さくなる。例えば、トランジスタ160の室温でのオフ電流(ここでは、単位チャネル幅( $1 \mu \text{m}$ )あたりの値)は、 $100 \text{ zA} \text{/} \mu \text{m}$ (1 zA(ゼプトアンペア)は $1 \times 10^{-21} \text{ A}$ )以下、望ましくは、 $10 \text{ zA} \text{/} \mu \text{m}$ 以下となる。

[0089]

次に、ゲート絶縁層146上において酸化物半導体層144のチャネル形成領域と重畳す る領域にゲート電極148を形成する(図2(F)参照)。ゲート電極148は、ゲート 絶縁層146上に導電膜を形成した後に、当該導電膜を選択的にエッチングすることによ って形成することができる。ゲート電極148となる導電膜は、スパッタ法をはじめとす るPVD法や、プラズマCVD法などのCVD法を用いて形成することができる。詳細は 、ソース電極またはドレイン電極などの場合と同様であり、これらの記載を参酌できる。 ただし、ゲート電極148の材料の仕事関数が酸化物半導体層144の電子親和力と同程 度またはそれより小さいと、トランジスタを微細化した場合に、そのしきい値電圧がマイ ナスにシフトすることがある。よって、ゲート電極148には、酸化物半導体層144の 電子親和力より大きい仕事関数を有する材料を用いるのが好ましい。このような材料とし ては、例えば、タングステン、白金、金、p型の導電性を付与したシリコンなどがある。 【0090】

以上により、酸化物半導体層144を用いたトランジスタ160が完成する。

[0091]

〈トランジスタ180またはトランジスタ190の作製工程〉

次いで、図3(A)乃至(F)を用いて、図1(C)に示すトランジスタ180の作製工 程の一例について説明する。なお、図1(D)に示すトランジスタ190は、絶縁層14 3a、143bを有しない以外は、トランジスタ180の作製工程を参酌することができ るため、詳細な記載を省略する。

[0092]

基板100上に、第2の導電膜145を成膜する。第2の導電膜145の膜厚は、3nm 乃至30nm、好ましくは5nm乃至15nmとする。次いで、第2の導電膜145上に 第1の導電膜を形成し、該第1の導電膜を選択的にエッチングして、第1の導電層142 a、142bを形成する。その後、第1の導電層142a、142b、及び第2の導電膜 145上に、絶縁膜143を形成する(図3(A)参照)。

[0093]

なお、第2の導電膜上に第1の導電膜を成膜する場合には、第2の導電膜と第1の導電膜 は、エッチングの選択比がとれる材料をそれぞれ選択するものとする。また、第2の導電 膜は、第1の導電膜よりも高抵抗な材料を用いることが好ましい。本実施の形態において は、第2の導電膜145として窒化チタン膜を形成し、第1の導電膜としてタングステン 膜またはモリブデン膜を形成し、四フッ化メタン(CF4)と塩素(C12)と酸素(O 2)との混合ガス、四フッ化メタン(CF4)と酸素(O2)の混合ガス、フッ化硫黄( SF6)と塩素(C12)と酸素(O2)との混合ガス、または、フッ化硫黄(SF6) と酸素(O2)との混合ガスを用いて第1の導電膜をエッチングすることで、第1の導電 層142a、142bを形成するものとする。

【0094】

また、図1(D)においてトランジスタ190で示したように、絶縁膜143は必ずしも 形成しなくてもよいが、絶縁膜143を設けることにより、後に形成されるゲート電極と 、ソース電極及びドレイン電極と、の間の寄生容量を低減することが可能である。

#### [0095]

次いで、図2(B)で示した工程と同様に、絶縁膜143上にマスクを形成し、該マスク を用いて絶縁膜143をエッチングすることにより、絶縁層143a、143bを形成す る(図3(B)参照)。

[0096]

次いで、図2(C)で示した工程と同様に、絶縁層143a及び絶縁層143bのエッチ ングに用いたマスクを用いて第2の導電膜145をエッチングすることにより、第2の導 電層145a、145bを形成する(図3(C)参照)。なお、第2の導電膜145をエ ッチングする前にマスクを除去し、絶縁層143a及び絶縁層143bをマスクとして用 いて第2の導電膜145をエッチングしても良い。第2の導電膜145のエッチングに用 いるエッチングガスとしては、例えば、塩素(C1<sub>2</sub>)、三塩化ホウ素(BC1<sub>3</sub>)、四 塩化ケイ素(SiC1<sub>4</sub>)、四フッ化メタン(CF<sub>4</sub>)フッ化硫黄(SF<sub>6</sub>)、フッ化窒 素(NF<sub>3</sub>)等を用いることができ、これらのうちから複数を選択した混合ガスを用いて もよい。また、希ガス(ヘリウム(He)、アルゴン(Ar))を添加しても良い。また 、図1(D)のトランジスタ190で示したように、絶縁層を設けない場合は、第2の導 電膜145上に直接マスクを形成して第2の導電膜をエッチングすればよい。

[0097]

次いで、図2(D)で示した工程と同様に、絶縁層143a、143b、及び基板100 上に、酸化物半導体層144をスパッタ法によって形成する(図3(D)参照)。形成さ れた酸化物半導体層144は、第2の導電層145a及び第2の導電層145bと少なく ともチャネル形成領域において接している。また、酸化物半導体層144に対しては、熱 処理(第1の熱処理)を行うことが望ましい。

[0098]

次いで、図2(E)で示した工程と同様に、酸化物半導体層144に接するゲート絶縁層 146を形成する(図3(E)参照)。ゲート絶縁層146の形成後には、熱処理(第2 の熱処理)を行うのが望ましい。

[0099]

次いで、図2(F)で示した工程と同様に、ゲート絶縁層146上において酸化物半導体 層144のチャネル形成領域と重畳する領域にゲート電極148を形成する(図3(F) 参照)。

[0100]

以上により、酸化物半導体層144を用いたトランジスタ180が完成する。

[0101]

本実施の形態において示すトランジスタ160、170、180、190では、第1の導 電層及び第2の導電層が積層されたソース電極及びドレイン電極を含み、第2の導電層1 45a、145bは、第1の導電層142a、142bの端面よりもチャネル長方向に伸 長した領域を有する。これによって、ソース電極及びドレイン電極の端部における段差を 緩やかなものとすることができるため、酸化物半導体層144及びゲート絶縁層146の 被覆性を向上し、接続不良の発生を抑制することができる。

[0102]

また、本実施の形態において示すトランジスタ160、170、180、190では、ソ ース電極またはドレイン電極において、チャネル形成領域と接する領域の近傍を高抵抗領 域とすることで、ソース電極とドレイン電極の間の電界を緩和することができ、トランジ スタサイズの縮小に伴う短チャネル効果を抑制することができる。

[0103]

このように、開示する発明の一態様では、微細化に伴う問題点を解消することができるため、結果として、トランジスタサイズを十分に小さくすることが可能になる。トランジスタサイズを十分に小さくすることで、半導体装置の占める面積が小さくなるため、半導体装置の取り数が増大する。これにより、半導体装置あたりの製造コストは抑制される。また、半導体装置が小型化されるため、同程度の大きさでさらに機能が高められた半導体装

置を実現することができる。また、チャネル長の縮小による、動作の高速化、低消費電力 化などの効果を得ることもできる。つまり、開示する発明の一態様により酸化物半導体を 用いたトランジスタの微細化が達成されることで、これに付随する様々な効果を得ること が可能である。

[0104]

以上、本実施の形態に示す構成、方法などは、他の実施の形態に示す構成、方法などと適 宜組み合わせて用いることができる。

[0105]

(実施の形態2)

本実施の形態では、実施の形態1とは異なる、開示する発明の一態様に係る半導体装置の 構成およびその作製工程について、図4乃び図5を参照して説明する。

[0106]

〈半導体装置の構成例〉

図4に示すトランジスタ280は、半導体装置の構成の例である。トランジスタ280は 、図1(C)に示すトランジスタ180に対応しており、第2の導電層245aの第1の 導電層242aの端面からチャネル長方向に伸長した領域の上にサイドウォール絶縁層2 52aが設けられ、第2の導電層245bの、第1の導電層242bの端面からチャネル 長方向に伸長した領域の上にサイドウォール絶縁層252bが設けられている点において 異なっている。

[0107]

図4に示すトランジスタ280は、基板200上に、第2の導電層245a及び第1の導 電層242aが順に積層されたソース電極と、第2の導電層245b及び第1の導電層2 42bが順に積層されたドレイン電極と、ソース電極上に設けられた絶縁層243aと、 ドレイン電極上に設けられた絶縁層243bと、絶縁層243a及び絶縁層243b上に 設けられた酸化物半導体層244と、酸化物半導体層244上に設けられたゲート絶縁層 246と、ゲート絶縁層246上に設けられたゲート電極248と、を有している。

[0108]

図4に示すトランジスタ280において、第2の導電層245aは、第1の導電層242 aの端面からチャネル長方向に伸長した領域を有しており、第2の導電層245aと酸化 物半導体層244の少なくともチャネル形成領域とは接している。また、第2の導電層2 45bは、第1の導電層242bの端面からチャネル長方向に伸長した領域を有しており 、第2の導電層245bと酸化物半導体層244の少なくともチャネル形成領域とは接し ている。

【0109】

さらに、図4に示すトランジスタ280は、第2の電極層245aにおいて、第1の導電 層242aの端面からチャネル長方向に伸長した領域上に、サイドウォール絶縁層252 aを有し、第2の電極層245bにおいて、第1の導電層242bの端面からチャネル長 方向に伸長した領域の上に、サイドウォール絶縁層252bを有している。サイドウォー ル絶縁層252aは、酸化物半導体層244の少なくともチャネル形成領域、第2の導電 層245a、第1の導電層242a、及び絶縁層243aに接して設けられている。また 、サイドウォール絶縁層252aにおいて、酸化物半導体層244と接する領域の少なく とも一部は湾曲形状を有している。サイドウォール絶縁層252bは、酸化物半導体層2 44の少なくともチャネル形成領域、第2の導電層245b、第1の導電層242b、及 び絶縁層243bに接して設けられている。また、サイドウォール絶縁層252bにおい て、酸化物半導体層244と接する領域の少なくとも一部は湾曲形状を有している。

[0110]

くトランジスタ280の作製工程の例>

次に、上記トランジスタ280の作製工程の例について、図5(A)乃至(F)を参照して説明する。

[0111]

まず、基板200上に第2の導電膜245を形成する。次いで、第2の導電膜245上に 、第1の導電膜242を形成し、該第1の導電膜242上に絶縁膜245を形成する(図 5(A)参照)。

[0112]

ここで、基板200は、実施の形態1で示した基板100と同様の材料を用いることがで きる。また、第2の導電膜245は、実施の形態1で示した第2の導電膜145と同様の 材料、成膜方法を用いて形成することができる。また、第1の導電膜242は、実施の形 態1で示した第1の導電膜と同様の材料、成膜方法を用いて形成することができる。以上 の詳細については、実施の形態1の記載を参酌することができる。

[0113]

ただし、第1の導電膜242と、第2の導電膜245とは、エッチング選択比が確保され る材料を用いる。本実施の形態においては、第2の導電膜245として窒化チタン膜を形 成し、第1の導電膜242としてタングステン膜またはモリブデン膜を形成するものとす る。

[0114]

次いで、絶縁膜243上にマスクを形成し、該マスクを用いて絶縁膜243をエッチング することにより、絶縁層243a、243bを形成する。絶縁膜243のエッチングには 、ウェットエッチングまたはドライエッチングを用いることができ、ウェットエッチング とドライエッチングを組み合わせて用いてもよい。絶縁膜を所望の形状にエッチングでき るよう、材料に合わせてエッチング条件(エッチングガスやエッチング液、エッチング時 間、温度等)を適宜設定するものとする。ただし、トランジスタのチャネル長(L)を微 細に加工するためには、ドライエッチングを用いるのが好ましい。ドライエッチングに用 いるエッチングガスとしては、例えば、フッ化硫黄(SF6)、フッ化窒素(NF3)、 トリフルオロメタン(CHF3)などのフッ素を含むガス、又は、四フッ化メタン(CF 4)と水素の混合ガス等を用いることができ、希ガス(ヘリウム(He)、アルゴン(A r)、キセノン(Xe))、一酸化炭素、又は二酸化炭素等を添加しても良い。

【0115】

次いで、絶縁膜243のエッチングに用いたマスクを用いて、第1の導電膜242をエッ チングすることにより、第1の導電層242a、242bを形成する(図5(B)参照) 。なお、第1の導電膜242をエッチングする際には、第2の導電膜245とのエッチン グの選択比が確保されるエッチング材料を用いる。また、第1の導電膜242をエッチン グする前にマスクを除去し、絶縁層243a及び絶縁層243bをマスクとして用いて第 1の導電膜242をエッチングしても良い。

[0116]

本実施の形態においては、第1の導電膜242をエッチングするためのエッチングガスとして四フッ化メタン(CF<sub>4</sub>)と塩素(C1<sub>2</sub>)と酸素(O<sub>2</sub>)との混合ガス、四フッ化 メタン(CF<sub>4</sub>)と酸素(O<sub>2</sub>)の混合ガス、フッ化硫黄(SF<sub>6</sub>)と塩素(C1<sub>2</sub>)と 酸素(O<sub>2</sub>)との混合ガス、または、フッ化硫黄(SF<sub>6</sub>)と酸素(O<sub>2</sub>)との混合ガス を用いるものとする。

【0117】

絶縁層243a、絶縁層243bを設けることで、後に形成されるソース電極またはドレ イン電極と、酸化物半導体層との接触領域(接触面積など)の制御が容易になる。つまり 、ソース電極またはドレイン電極の抵抗の制御が容易になり、短チャネル効果の抑制を効 果的に行うことができる。また、絶縁層243a、絶縁層243bを設けることにより、 後に形成されるゲート電極と、ソース電極及びドレイン電極と、の間の寄生容量を低減す ることが可能である。

[0118]

次に、絶縁層243a、243b、および、露出した第2の導電膜245を覆うように絶 縁膜252を形成する(図5(C)参照)。絶縁膜252は、CVD法やスパッタリング 法を用いて形成することができる。また、絶縁膜252は、酸化シリコン、窒化シリコン 、酸化窒化シリコン、酸化アルミニウムなどを含むように形成するのが好適である。また

、絶縁膜252は、単層構造としても良いし、積層構造としても良い。

【0119】

次に、第2の導電膜245の露出した領域(第1の導電層242aと第1の導電層242 bの間の領域)上に、サイドウォール絶縁層252a、252bを形成する(図5(D) 参照)。サイドウォール絶縁層252a、252bは、絶縁膜252に異方性の高いエッ チング処理を行うことで、自己整合的に形成することができる。ここで、異方性の高いエ ッチングとしては、ドライエッチングが好ましく、例えば、エッチングガスとして、トリ フルオロメタン(CHF<sub>3</sub>)などのフッ素を含むガスを用いることができ、ヘリウム(H e)やアルゴン(Ar)などの希ガスを添加しても良い。さらに、ドライエッチングとし て、基板に高周波電圧を印加する、反応性イオンエッチング法(RIE法)を用いるのが 好ましい。

[0120]

次に、サイドウォール絶縁層252a、252bをマスクとして第2の導電膜245を選 択的にエッチングし、第2の導電層245a、245bを形成する(図5(E)参照)。 このエッチング工程によって、第2の導電層245a及び第1の導電層242aが積層し たソース電極と、第2の導電層245b及び第1の導電層242bが積層したドレイン電 極とが形成される。なお、第2の導電膜245のエッチングは、サイドウォール絶縁層2 52a、252bをマスクとして用いること以外は、実施の形態1で図2(C)を用いて 示した方法と同様の方法で行うことができる。

[0121]

トランジスタ280のチャネル長(L)は、第2の導電層245aの下端部と第2の導電 層245bの下端部との間隔によって決定される。チャネル長(L)は、トランジスタ2 80の用途によって異なるが、例えば10nm乃至1000nm、好ましくは20nm乃 至400nmとすることができる。

[0122]

なお、本実施の形態で示すトランジスタの作製工程では、サイドウォール絶縁層252a または252bを用いて第2の導電膜245をエッチングしているため、第2の導電層2 45aにおいて、第1の導電層242aの端面からチャネル長方向に伸長した領域のチャ ネル長方向の長さ(L<sub>S</sub>)と、サイドウォール絶縁層252aの底面におけるチャネル長 方向の長さは略一致している。同様に、第2の導電層245bにおいて、第1の導電層2 42bの端面からチャネル長方向に伸長した領域のチャネル長方向の長さ(L<sub>D</sub>)と、サ イドウォール絶縁層252bの底面におけるチャネル長方向の長さは略一致している。サ イドウォール絶縁層252a、252bは、絶縁膜252のエッチング処理によって自己 整合的に形成されるため、上記(L<sub>S</sub>)または(L<sub>D</sub>)は、絶縁膜252の膜厚によって 決定される。つまり、絶縁膜252の膜厚を制御することで、トランジスタ280のチャ ネル長(L)を微細に調整することができる。例えば、トランジスタ280のチャネル長 (L)を、マスク形成のための露光装置の最小加工寸法より微細に調整することもできる 。このため、トランジスタ280の所望のチャネル長(L)および、第1の導電層242 a、242bの加工に用いる露光装置の解像度等に応じて、絶縁膜252の膜厚を決定す ればよい。

[0123]

次に、絶縁層243a、243b、サイドウォール絶縁層252a、252bを覆い、且 つ、第2の導電層245a及び第2の導電層245bに接するように酸化物半導体層24 4を形成し、酸化物半導体層244上にゲート絶縁層246を形成する。その後、ゲート 絶縁層246上において、トランジスタ280のチャネル形成領域となる領域と重畳する 領域にゲート電極248を形成する(図5(F)参照)。

[0124]

酸化物半導体層244は、実施の形態1で示した酸化物半導体層144と同様の材料、方法により形成することができる。また、酸化物半導体層244に対しては、熱処理(第1

の熱処理)を行うことが望ましい。詳細については、実施の形態1の記載を参酌すること ができる。

【0125】

ゲート絶縁層246は、実施の形態1で示したゲート絶縁層146と同様の材料、方法に より形成することができる。また、ゲート絶縁層246の形成後には、不活性ガス雰囲気 下、または酸素雰囲気下で熱処理(第2の熱処理)を行うのが望ましい。詳細については 、実施の形態1の記載を参酌することができる。

[0126]

ゲート電極248は、ゲート絶縁層246上に導電膜を形成した後に、当該導電膜を選択 的にエッチングすることによって形成することができる。ゲート電極248は、実施の形 態1で示したゲート電極148と同様の材料、方法により形成することができる。

[0127]

なお、トランジスタ280のソース電極は、第2の導電層245aにおいて、第1の導電 層242aの端面よりもチャネル長方向に伸長した領域の端面で、酸化物半導体層244 と接している。また、ドレイン電極は、第2の導電層245bにおいて、第1の導電層2 42bの端面よりもチャネル長方向に伸長した領域の端面で、酸化物半導体層244と接 している。このように、第1の導電層242a、242bよりも膜厚の小さい第2の導電 層245a、245bの端面において酸化物半導体層244と接することで、ソース電極 またはドレイン電極と酸化物半導体層244との接触面積を低減することができるため、 接触界面におけるコンタクト抵抗を増大させることができる。したがって、トランジスタ 280のチャネル長(L)を短くしても、ソース電極とドレイン電極の間の電界を緩和し て短チャネル効果を抑制することができる。加えて、第2の導電層を第1の導電層よりも 高抵抗な材料を用いて作製すると、より効果的にコンタクト抵抗を高めることができるため、 好ましい。なお、開示する発明の技術思想は、ソース電極またはドレイン電極に高抵 抗な領域を形成することにあるので、ソース電極またはドレイン電極は、厳密に第2の導 電層245a及び第2の導電層245bの端面においてのみ酸化物半導体層244と接す る必要はない。

[0128]

以上により、酸化物半導体層244を用いたトランジスタ280を作製することができる

[0129]

本実施の形態に示すトランジスタ280のチャネル長(L)は、サイドウォール絶縁層252a、252bを形成するための絶縁層252の膜厚によって微細に制御することができる。よって、該絶縁層252の膜厚を適宜設定することにより、トランジスタ280の チャネル長(L)を縮小し、容易に半導体装置の微細化を図ることができる。

[0130]

本実施の形態に示すトランジスタ280は、第2の導電層245aにおいて、第1の導電 層242aの端面からチャネル長方向に伸長した領域、及び、第2の導電層245bにお いて、第1の導電層242bの端面からチャネル長方向に伸長した領域に、サイドウォー ル絶縁層252a及びサイドウォール絶縁層252bがそれぞれ設けられることにより、 酸化物半導体層244、ゲート絶縁層246の被覆性を向上し、接続不良などの発生を抑 制することができる。

[0131]

さらに、本実施の形態に示すトランジスタ280は、第2の導電層245aに第1の導電 層242aの端面からチャネル長方向に伸長した領域を設け、且つ、第2の導電層245 bに第1の導電層242bの端面からチャネル長方向に伸長した領域を設けて、酸化物半 導体層244のチャネル形成領域と接する領域の近傍を高抵抗領域とすることで、ソース 電極とドレイン電極の間の電界を緩和して、しきい値電圧低下などの短チャネル効果を抑 制することができる。

[0132]

このように、開示する発明の一態様では、微細化に伴う問題点を解消することができるた め、結果として、トランジスタサイズを十分に小さくすることが可能になる。トランジス タサイズを十分に小さくすることで、半導体装置の占める面積が小さくなるため、半導体 装置の取り数が増大する。これにより、半導体装置あたりの製造コストは抑制される。ま た、半導体装置が小型化されるため、同程度の大きさでさらに機能が高められた半導体装 置を実現することができる。また、チャネル長の縮小による、動作の高速化、低消費電力 化などの効果を得ることもできる。つまり、開示する発明の一態様により酸化物半導体を 用いたトランジスタの微細化が達成されることで、これに付随する様々な効果を得ること が可能である。

[0133]

以上、本実施の形態に示す構成、方法などは、他の実施の形態に示す構成、方法などと適 宜組み合わせて用いることができる。

[0134]

(実施の形態3)

本実施の形態では、開示する発明の一態様に係る半導体装置の応用例について、図6を参 照して説明する。ここでは、記憶装置の一例について説明する。なお、回路図においては 、酸化物半導体を用いたトランジスタであることを示すために、OSの符号を併せて付す 場合がある。

[0135]

図6 (A-1)に示す半導体装置において、第1の配線(1st Line)とトランジ スタ300のソース電極とは、電気的に接続され、第2の配線(2nd Line)とト ランジスタ300のドレイン電極とは、電気的に接続されている。また、第3の配線(3 rd Line)とトランジスタ310のソース電極またはドレイン電極の他方とは、電 気的に接続され、第4の配線(4th Line)と、トランジスタ310のゲート電極 とは、電気的に接続されている。そして、トランジスタ300のゲート電極と、トランジ スタ310のソース電極またはドレイン電極の一方は、容量素子320の電極の一方と電 気的に接続され、第5の配線(5th Line)と、容量素子320の電極の他方は電 気的に接続されている。

[0136]

ここで、トランジスタ310には、上述の酸化物半導体を用いたトランジスタが適用され る。酸化物半導体を用いたトランジスタは、オフ電流が極めて小さいという特徴を有して いる。このため、トランジスタ310をオフ状態とすることで、トランジスタ300のゲ ート電極の電位を極めて長時間にわたって保持することが可能である。そして、容量素子 320を有することにより、トランジスタ300のゲート電極に与えられた電荷の保持が 容易になり、また、保持された情報の読み出しが容易になる。

[0137]

なお、トランジスタ300については特に限定されない。情報の読み出し速度を向上させ るという観点からは、例えば、単結晶シリコンを用いたトランジスタなど、スイッチング 速度の高いトランジスタを適用するのが好適である。

[0138]

また、図6(B)に示すように、容量素子320を設けない構成とすることも可能である

[0139]

図6(A-1)に示す半導体装置では、トランジスタ300のゲート電極の電位が保持可 能という特徴を生かすことで、次のように、情報の書き込み、保持、読み出しが可能であ る。

【0140】

はじめに、情報の書き込みおよび保持について説明する。まず、第4の配線の電位を、ト ランジスタ310がオン状態となる電位にして、トランジスタ310をオン状態とする。 これにより、第3の配線の電位が、トランジスタ300のゲート電極、および容量素子3 20に与えられる。すなわち、トランジスタ300のゲート電極には、所定の電荷が与えられる(書き込み)。ここでは、異なる二つの電位を与える電荷(以下、低電位を与える 電荷を電荷QL、高電位を与える電荷を電荷QHという)のいずれかが与えられるものと する。なお、異なる三つまたはそれ以上の電位を与える電荷を適用して、記憶容量を向上 させても良い。その後、第4の配線の電位を、トランジスタ310がオフ状態となる電位 にして、トランジスタ310をオフ状態とすることにより、トランジスタ300のゲート 電極に与えられた電荷が保持される(保持)。

[0141]

トランジスタ310のオフ電流は極めて小さいから、トランジスタ300のゲート電極の 電荷は長時間にわたって保持される。

[0142]

次に、情報の読み出しについて説明する。第1の配線に所定の電位(定電位)を与えた状態で、第5の配線に適切な電位(読み出し電位)を与えると、トランジスタ300のゲート電極に保持された電荷量に応じて、第2の配線は異なる電位をとる。一般に、トランジスタ300をnチャネル型とすると、トランジスタ300のゲート電極にQ<sub>H</sub>が与えられている場合の見かけのしきい値V<sub>th</sub>\_Hは、トランジスタ300のゲート電極にQ<sub>L</sub>が与えられている場合の見かけのしきい値V<sub>th</sub>\_Lより低くなるためである。ここで、見かけのしきい値電圧とは、トランジスタ300を「オン状態」とするために必要な第5の配線の電位をいうものとする。したがって、第5の配線の電位をV<sub>th</sub>\_HとV<sub>th</sub>\_L の中間の電位V<sub>0</sub>とすることにより、トランジスタ300のゲート電極に与えられた電荷を判別できる。例えば、書き込みにおいて、Q<sub>H</sub>が与えられていた場合には、第5の配線の電位がV<sub>0</sub>( $>V_{th}$ \_H)となれば、トランジスタ300は「オン状態」となる。Q Lが与えられていた場合には、第5の配線の電位がV<sub>0</sub>( $<V_{th}$ \_L)となっても、トランジスタ300は「オフ状態」のままである。このため、第2の配線の電位を見ることで、保持されている情報を読み出すことができる。

[0143]

なお、メモリセルをアレイ状に配置して用いる場合には、所望のメモリセルの情報のみを 読み出せることが必要になる。このように、所定のメモリセルの情報を読み出し、それ以 外のメモリセルの情報を読み出さない場合には、読み出しの対象ではないメモリセルの第 5の配線に対して、ゲート電極の状態にかかわらずトランジスタ300が「オフ状態」と なるような電位、つまり、V<sub>th\_H</sub>より小さい電位を与えればよい。または、ゲート電 極の状態にかかわらずトランジスタ300が「オン状態」となるような電位、つまり、V th Lより大きい電位を第5の配線に与えればよい。

[0144]

次に、情報の書き換えについて説明する。情報の書き換えは、上記情報の書き込みおよび 保持と同様に行われる。つまり、第4の配線の電位を、トランジスタ310がオン状態と なる電位にして、トランジスタ310をオン状態とする。これにより、第3の配線の電位 (新たな情報に係る電位)が、トランジスタ300のゲート電極および容量素子320に 与えられる。その後、第4の配線の電位を、トランジスタ310がオフ状態となる電位に して、トランジスタ310をオフ状態とすることにより、トランジスタ300のゲート電 極は、新たな情報に係る電荷が与えられた状態となる。

[0145]

このように、開示する発明に係る半導体装置は、再度の情報の書き込みによって直接的に 情報を書き換えることが可能である。このためフラッシュメモリなどにおいて必要とされ る高電圧を用いてのフローティングゲートからの電荷の引き抜きが不要であり、消去動作 に起因する動作速度の低下を抑制することができる。つまり、半導体装置の高速動作が実 現される。

[0146]

なお、トランジスタ310のソース電極またはドレイン電極は、トランジスタ300のゲ ート電極と電気的に接続されることにより、不揮発性メモリ素子として用いられるフロー ティングゲート型トランジスタのフローティングゲートと同等の作用を奏する。このため 、図中、トランジスタ310のソース電極またはドレイン電極とトランジスタ300のゲ ート電極が電気的に接続される部位をフローティングゲート部FGと呼ぶ場合がある。ト ランジスタ310がオフの場合、当該フローティングゲート部FGは絶縁体中に埋設され たと見ることができ、フローティングゲート部FGには電荷が保持される。酸化物半導体 を用いたトランジスタ310のオフ電流は、シリコン半導体などで形成されるトランジス タの10万分の1以下であるため、トランジスタ310のリークによる、フローティング ゲート部FGに蓄積される電荷の消失を無視することが可能である。つまり、酸化物半導 体を用いたトランジスタ310により、電力の供給が無くても情報の保持が可能な不揮発 性の記憶装置を実現することが可能である。

[0147]

例えば、トランジスタ310の室温でのオフ電流が10zA(1zA(ゼプトアンペア) は1×10<sup>-21</sup>A)以下であり、容量素子320の容量値が10fF程度である場合に は、少なくとも104秒以上のデータ保持が可能である。なお、当該保持時間が、トラン ジスタ特性や容量値によって変動することはいうまでもない。

[0148]

また、この場合、従来のフローティングゲート型トランジスタにおいて指摘されているゲ ート絶縁膜(トンネル絶縁膜)の劣化という問題が存在しない。つまり、従来問題とされ ていた、電子をフローティングゲートに注入する際のゲート絶縁膜の劣化という問題を解 消することができる。これは、原理的な書き込み回数の制限が存在しないことを意味する ものである。また、従来のフローティングゲート型トランジスタにおいて書き込みや消去 の際に必要であった高電圧も不要である。

[0149]

図6(A-1)に示す半導体装置は、当該半導体装置を構成するトランジスタなどの要素 が抵抗および容量を含むものとして、図6(A-2)のように考えることが可能である。 っまり、図6(A-2)では、トランジスタ300および容量素子320が、それぞれ、 抵抗および容量を含んで構成されると考えていることになる。R1およびC1は、それぞ れ、容量素子320の抵抗値および容量値であり、抵抗値R1は、容量素子320を構成 する絶縁層による抵抗値に相当する。また、R2およびC2は、それぞれ、トランジスタ 300の抵抗値および容量値であり、抵抗値R2はトランジスタ300がオン状態の時の ゲート絶縁層による抵抗値に相当し、容量値C2はいわゆるゲート容量(ゲート電極と、 ソース電極またはドレイン電極との間に形成される容量、及び、ゲート電極とチャネル形 成領域との間に形成される容量)の容量値に相当する。

[0150]

トランジスタ310がオフ状態にある場合のソース電極とドレイン電極の間の抵抗値(実 効抵抗とも呼ぶ)をROSとすると、トランジスタ310のゲートリークが十分に小さい 条件において、R1およびR2が、R1≧ROS、R2≧ROSを満たす場合には、電荷 の保持期間(情報の保持期間ということもできる)は、主としてトランジスタ310のオ フ電流によって決定されることになる。

[0151]

逆に、当該条件を満たさない場合には、トランジスタ310のオフ電流が十分に小さくと も、保持期間を十分に確保することが困難になる。トランジスタ310のオフ電流以外の リーク電流(例えば、ソース電極とゲート電極の間において生じるリーク電流等)が大き いためである。このことから、本実施の形態において開示する半導体装置は、上述の関係 を満たすものであることが望ましいといえる。

[0152]

一方で、C1とC2は、C1≧C2の関係を満たすことが望ましい。C1を大きくするこ とで、第5の配線によってフローティングゲート部FGの電位を制御する際(例えば、読 み出しの際)に、第5の配線の電位の変動を低く抑えることができるためである。

[0153]

上述の関係を満たすことで、より好適な半導体装置を実現することが可能である。なお、 R1およびR2は、トランジスタ300のゲート絶縁層や容量素子320の絶縁層によっ て制御される。C1およびC2についても同様である。よって、ゲート絶縁層の材料や厚 さなどを適宜設定し、上述の関係を満たすようにすることが望ましい。

[0154]

本実施の形態で示す半導体装置においては、フローティングゲート部FGが、フラッシュ メモリ等のフローティングゲート型のトランジスタのフローティングゲートと同等の作用 をするが、本実施の形態のフローティングゲート部FGは、フラッシュメモリ等のフロー ティングゲートと本質的に異なる特徴を有する。フラッシュメモリでは、コントロールゲ ートに印加される電圧が高いため、その電位の影響が、隣接するセルのフローティングゲ ートにおよぶことを防ぐために、セルとセルとの間隔をある程度保つ必要が生じる。この ことは、半導体装置の高集積化を阻害する要因の一つである。そして、当該要因は、高電 界をかけてトンネル電流を発生させるというフラッシュメモリの根本的な原理に起因する ものである。

[0155]

また、フラッシュメモリの上記原理によって、絶縁膜の劣化が進行し、書き換え回数の限 界(104~105回程度)という別の問題も生じる。

[0156]

開示する発明に係る半導体装置は、酸化物半導体を用いたトランジスタのスイッチングに よって動作し、上述のようなトンネル電流による電荷注入の原理を用いない。すなわち、 フラッシュメモリのような、電荷を注入するための高電界が不要である。これにより、隣 接セルに対する、コントロールゲートによる高電界の影響を考慮する必要がないため、高 集積化が容易になる。

[0157]

また、トンネル電流による電荷の注入を用いないため、メモリセルの劣化の原因が存在し ない。つまり、フラッシュメモリと比較して高い耐久性および信頼性を有することになる

[0158]

また、高電界が不要であり、大型の周辺回路(昇圧回路など)が不要である点も、フラッシュメモリに対するアドバンテージである。

[0159]

なお、C1を構成する絶縁層の比誘電率  $\varepsilon$  r 1と、C2を構成する絶縁層の比誘電率  $\varepsilon$  r 2とを異ならせる場合には、C1の面積S1と、C2の面積S2とが、2・S2 $\ge$ S1( 望ましくはS2 $\ge$ S1)を満たしつつ、C1 $\ge$ C2を実現することが容易である。具体的には、例えば、C1においては、酸化ハフニウムなどのhigh-k材料でなる膜、または酸化ハフニウムなどのhigh-k材料でなる膜と酸化物半導体でなる膜との積層構造を採用して $\varepsilon$ r1を10以上、好ましくは15以上とし、C2においては、酸化シリコンを採用して、 $\varepsilon$ r2=3~4とすることができる。

[0160]

このような構成を併せて用いることで、開示する発明に係る半導体装置の、より一層の高 集積化が可能である。

[0161]

なお、上記説明は、電子を多数キャリアとするn型トランジスタ(nチャネル型トランジ スタ)を用いる場合についてのものであるが、n型トランジスタに代えて、正孔を多数キ ャリアとするp型トランジスタを用いることができるのはいうまでもない。

[0162]

以上示したように、開示する発明の一態様の半導体装置は、オフ状態でのソースとドレイン間のリーク電流(オフ電流)が少ない書き込み用トランジスタ、該書き込み用トランジ スタと異なる半導体材料を用いた読み出し用トランジスタ及び容量素子を含む不揮発性の メモリセルを有している。 [0163]

書き込み用トランジスタのオフ電流は、使用時の温度(例えば、25℃)で100zA( 1×10<sup>-19</sup>A)以下、好ましくは10zA(1×10<sup>-20</sup>A)以下、さらに好まし くは、1zA(1×10<sup>-21</sup>A)以下である。通常のシリコン半導体では、上述のよう に低いオフ電流を得ることは困難であるが、酸化物半導体を適切な条件で加工して得られ たトランジスタにおいては達成しうる。このため、書き込み用トランジスタとして、酸化 物半導体を含むトランジスタを用いることが好ましい。

[0164]

さらに酸化物半導体を用いたトランジスタはサブスレッショルドスイング値(S値)が小 さいため、比較的移動度が低くてもスイッチング速度を十分大きくすることが可能である 。よって、該トランジスタを書き込み用トランジスタとして用いることで、フローティン グゲート部FGに与えられる書き込みパルスの立ち上がりを極めて急峻にすることができ る。また、オフ電流が小さいため、フローティングゲート部FGに保持させる電荷量を少 なくすることが可能である。つまり、酸化物半導体を用いたトランジスタを書き込み用ト ランジスタとして用いることで、情報の書き換えを高速に行うことができる。

[0165]

読み出し用トランジスタとしては、オフ電流についての制限はないが、読み出しの速度を 高くするために、高速で動作するトランジスタを用いるのが望ましい。例えば、読み出し 用トランジスタとしてスイッチング速度が1ナノ秒以下のトランジスタを用いるのが好ま しい。

[0166]

メモリセルへの情報の書き込みは、書き込み用トランジスタをオン状態とすることにより 、書き込み用トランジスタのソース電極またはドレイン電極の一方と、容量素子の電極の 一方と、読み出し用トランジスタのゲート電極とが電気的に接続されたフローティングゲ ート部FGに電位を供給し、その後、書き込み用トランジスタをオフ状態とすることによ り、フローティングゲート部FGに所定量の電荷を保持させることで行う。ここで、書き 込み用トランジスタのオフ電流は極めて小さいため、フローティングゲート部FGに供給 された電荷は長時間にわたって保持される。オフ電流が例えば実質的に0であれば、従来 のDRAMで必要とされたリフレッシュ動作が不要となるか、または、リフレッシュ動作 の頻度を極めて低く(例えば、一ヶ月乃至一年に一度程度)することが可能となり、半導 体装置の消費電力を十分に低減することができる。

[0167]

また、メモリセルへの再度の情報の書き込みによって直接的に情報を書き換えることが可 能である。このためフラッシュメモリなどにおいて必要とされる消去動作が不要であり、 消去動作に起因する動作速度の低下を抑制することができる。つまり、半導体装置の高速 動作が実現される。また、従来のフローティングゲート型トランジスタで書き込みや消去 の際に必要とされた高い電圧を必要としないため、半導体装置の消費電力をさらに低減す ることができる。本実施の形態に係るメモリセルに印加される電圧(メモリセルの各端子 に同時に印加される電位の最大のものと最小のものの差)の最大値は、2段階(1ビット )の情報を書き込む場合、一つのメモリセルにおいて、5V以下、好ましくは3V以下で ある。

[0168]

開示する発明に係る半導体装置に配置されるメモリセルは、書き込み用トランジスタと、 読み出し用トランジスタと、容量素子とを少なくとも含んでいればよく、また、容量素子 の面積は小さくても動作可能である。したがって、メモリセルあたりの面積を、例えば、 1メモリセルあたり6つのトランジスタを必要とするSRAMと比較して、十分に小さく することが可能であり、半導体装置においてメモリセルを高密度で配置することができる

[0169]

また、従来のフローティングゲート型トランジスタでは、書き込み時にゲート絶縁膜(ト

ンネル絶縁膜)中を電荷が移動するために、該ゲート絶縁膜(トンネル絶縁膜)の劣化が 不可避であった。しかしながら、本発明の一態様に係るメモリセルにおいては、書き込み 用トランジスタのスイッチング動作により情報の書き込みがなされるため、ゲート絶縁膜 の劣化の問題がない。これは、原理的な書き込み回数の制限が存在せず、書き換え耐性が 極めて高いことを意味するものである。例えば、本発明の一態様に係るメモリセルは、1 ×109回(10億回)以上の書き込み後であっても、電流-電圧特性に劣化が見られな い。

[0170]

さらに、メモリセルの書き込み用トランジスタとして酸化物半導体を用いたトランジスタ を用いる場合、酸化物半導体は一般にエネルギーギャップが大きく(例えば、In-Ga -Zn-O系の場合3.0~3.5eV)熱励起キャリアが極めて少ないこともあり、例 えば、150℃もの高温環境下でもメモリセルの電流-電圧特性に劣化が見られない。

[0171]

本発明者らは、鋭意研究の結果、酸化物半導体を用いたトランジスタは、150℃の高温 下であっても特性の劣化を起こさず、且つオフ電流が100zA以下と極めて小さいとい う優れた特性を有すること見出した。本実施の形態では、このような優れた特性を有する トランジスタをメモリセルの書き込み用トランジスタとして適用し、従来にない特徴を有 する半導体装置を提供するものである。

[0172]

開示する発明の一態様により、酸化物半導体を用いたトランジスタにおいて、不良を抑制 しつつ、または良好な特性を維持しつつ、微細化を達成することができる。そして、この ようなトランジスタを用いることにより、上述のような優れた記憶装置を、高度に集積化 することができるのである。

[0173]

以上、本実施の形態に示す構成、方法などは、他の実施の形態に示す構成、方法などと適 宜組み合わせて用いることができる。

【0174】

(実施の形態4)

本実施の形態では、開示する発明の一態様に係る半導体装置の応用例について、図7およ び図8を用いて説明する。

[0175]

図7(A)および図7(B)は、図6(A-1)に示す半導体装置(以下、メモリセル4 00とも記載する。)を複数用いて形成される半導体装置の回路図である。図7(A)は 、メモリセル400が直列に接続された、いわゆるNAND型の半導体装置の回路図であ り、図7(B)は、メモリセル400が並列に接続された、いわゆるNOR型の半導体装 置の回路図である。

【0176】

図7(A)に示す半導体装置は、ソース線SL、ビット線BL、第1信号線S1、複数本 の第2信号線S2、複数本のワード線WL、複数のメモリセル400を有する。図7(A)では、ソース線SLおよびビット線BLを1本ずつ有する構成となっているが、これに 限られることなく、ソース線SLおよびビット線BLを複数本有する構成としてもよい。 【0177】

各メモリセル400において、トランジスタ300のゲート電極と、トランジスタ310 のソース電極またはドレイン電極の一方と、容量素子320の電極の一方とは、電気的に 接続されている。また、第1信号線S1とトランジスタ310のソース電極またはドレイ ン電極の他方とは、電気的に接続され、第2信号線S2と、トランジスタ310のゲート 電極とは、電気的に接続されている。そして、ワード線WLと、容量素子320の電極の 他方は電気的に接続されている。

[0178]

また、メモリセル400が有するトランジスタ300のソース電極は、隣接するメモリセ

ル400のトランジスタ300のドレイン電極と電気的に接続され、メモリセル400が 有するトランジスタ300のドレイン電極は、隣接するメモリセル400のトランジスタ 300のソース電極と電気的に接続される。ただし、直列に接続された複数のメモリセル のうち、一方の端に設けられたメモリセル400が有するトランジスタ300のドレイン 電極は、ビット線と電気的に接続される。また、直列に接続された複数のメモリセルのう ち、他方の端に設けられたメモリセル400が有するトランジスタ300のソース電極は 、ソース線と電気的に接続される。

[0179]

図7(A)に示す半導体装置では、行ごとの書き込み動作および読み出し動作を行う。書 き込み動作は次のように行われる。書き込みを行う行の第2の信号線S2にトランジスタ 310がオン状態となる電位を与え、書き込みを行う行のトランジスタ310をオン状態 にする。これにより、指定した行のトランジスタ300のゲート電極に第1の信号線S1 の電位が与えられ、該ゲート電極に所定の電荷が与えられる。このようにして、指定した 行のメモリセルにデータを書き込むことができる。

[0180]

また、読み出し動作は次のように行われる。まず、読み出しを行う行以外のワード線WL に、トランジスタ300のゲート電極に与えられた電荷によらず、トランジスタ300が オン状態となるような電位を与え、読み出しを行う行以外のトランジスタ300のゲート 電極が有する電荷によって、トランジスタ300のオン状態またはオフ状態が選択される ような電位(読み出し電位)を与える。そして、ソース線SLに定電位を与え、ビット線 BLに接続されている読み出し回路(図示しない)を動作状態とする。ここで、ソース線 SL-ビット線BL間の複数のトランジスタ300は、読み出しを行う行を除いてオン状 態となっているため、ソース線SL-ビット線BL間のコンダクタンスは、読み出しを行 う行のトランジスタ300の状態(オン状態またはオフ状態)によって決定される。読み 出しを行う行のトランジスタ300のゲート電極が有する電荷によって、トランジスタの コンダクタンスは異なるから、それに応じて、ビット線BLの電位は異なる値をとること になる。ビット線の電位を読み出し回路によって読み出すことで、指定した行のメモリセ ルから情報を読み出すことができる。

[0181]

図7(B)に示す半導体装置は、ソース線SL、ビット線BL、第1信号線S1、第2信 号線S2、およびワード線WLをそれぞれ複数本有し、複数のメモリセル400を有する 。各トランジスタ300のゲート電極と、トランジスタ310のソース電極またはドレイ ン電極の一方と、容量素子320の電極の一方とは、電気的に接続されている。また、ソ ース線SLとトランジスタ300のソース電極とは、電気的に接続され、ビット線BLと トランジスタ300のドレイン電極とは、電気的に接続されている。また、第1信号線S 1とトランジスタ310のソース電極またはドレイン電極の他方とは、電気的に接続され 、第2信号線S2と、トランジスタ310のゲート電極とは、電気的に接続されている。 そして、ワード線WLと、容量素子320の電極の他方は電気的に接続されている。

[0182]

図7(B)に示す半導体装置では、行ごとの書き込み動作および読み出し動作を行う。書 き込み動作は、上述の図7(A)に示す半導体装置と同様の方法で行われる。読み出し動 作は次のように行われる。まず、読み出しを行う行以外のワード線WLに、トランジスタ 300のゲート電極に与えられた電荷によらず、トランジスタ300がオフ状態となるよ うな電位を与え、読み出しを行う行以外のトランジスタ300をオフ状態とする。それか ら、読み出しを行う行のワード線WLに、トランジスタ300のゲート電極が有する電荷 によって、トランジスタ300のオン状態またはオフ状態が選択されるような電位(読み 出し電位)を与える。そして、ソース線SLに定電位を与え、ビット線BLに接続されて いる読み出し回路(図示しない)を動作状態とする。ここで、ソース線SL-ビット線B L間のコンダクタンスは、読み出しを行う行のトランジスタ300の状態(オン状態また はオフ状態)によって決定される。つまり、読み出しを行う行のトランジスタ300のゲ ート電極が有する電荷によって、ビット線BLの電位は異なる値をとることになる。ビッ ト線の電位を読み出し回路によって読み出すことで、指定した行のメモリセルから情報を 読み出すことができる。

[0183]

なお、上記においては、各メモリセル400に保持させる情報量を1ビットとしたが、本 実施の形態に示す記憶装置の構成はこれに限られない。トランジスタ300のゲート電極 に与える電位を3以上用意して、各メモリセル400が保持する情報量を増加させても良 い。例えば、トランジスタ300のゲート電極にあたえる電位を4種類とする場合には、 各メモリセルに2ビットの情報を保持させることができる。

[0184]

次に、図7に示す半導体装置などに用いることができる読み出し回路の一例について図8 を用いて説明する。

[0185]

図8(A)には、読み出し回路の概略を示す。当該読み出し回路は、トランジスタとセン スアンプ回路を有する。

[0186]

読み出し時には、端子Aは読み出しを行うメモリセルが接続されたビット線に接続される。また、トランジスタのゲート電極にはバイアス電位Vbiasが印加され、端子Aの電 位が制御される。

[0187]

メモリセル400は、格納されるデータに応じて、異なる抵抗値を示す。具体的には、選 択したメモリセル400のトランジスタ300がオン状態の場合には低抵抗状態となり、 選択したメモリセル400のトランジスタ300がオフ状態の場合には高抵抗状態となる

[0188]

メモリセルが高抵抗状態の場合、端子Aの電位が参照電位Vrefより高くなり、センス アンプは端子Aの電位に対応する電位を出力する。一方、メモリセルが低抵抗状態の場合 、端子Aの電位が参照電位Vrefより低くなり、センスアンプ回路は端子Aの電位に対 応する電位を出力する。

[0189]

このように、読み出し回路を用いることで、メモリセルからデータを読み出すことができる。なお、本実施の形態の読み出し回路は一例である。他の回路を用いても良い。また、読み出し回路は、プリチャージ回路を有しても良い。参照電位Vrefの代わりに参照用のビット線が接続される構成としても良い。

[0190]

図8(B)に、センスアンプ回路の一例である差動型センスアンプを示す。差動型センス アンプは、入力端子Vin(+)とVin(-)と出力端子Voutを有し、Vin(+ )とVin(-)の差を増幅する。Vin(+)>Vin(-)であればVoutは、概 ねHigh出力、Vin(+)<Vin(-)であればVoutは、概ねLow出力とな る。当該差動型センスアンプを読み出し回路に用いる場合、Vin(+)とVin(-) の一方は入力端子Aと接続し、Vin(+)とVin(-)の他方には参照電位Vref を与える。

[0191]

図8(C)に、センスアンプ回路の一例であるラッチ型センスアンプを示す。ラッチ型センスアンプは、入出力端子V1およびV2と、制御用信号Sp、Snの入力端子を有する。まず、信号SpをHigh、信号SnをLowとして、電源電位(Vdd)を遮断する。そして、比較を行う電位をV1とV2に与える。その後、信号SpをLow、信号SnをHighとして、電源電位(Vdd)を供給すると、比較を行う電位V1inとV2inがV1in>V2inの関係にあれば、V1の出力はHigh、V2の出力はLowと

なり、V1in<V2inの関係にあれば、V1の出力はLow、V2の出力はHigh となる。このような関係を利用して、V1inとV2inの差を増幅することができる。 当該ラッチ型センスアンプを読み出し回路に用いる場合、V1とV2の一方は、スイッチ を介して端子Aおよび出力端子と接続し、V1とV2の他方には参照電位Vrefを与え る。

[0192]

本実施の形態に示す構成、方法などは、他の実施の形態に示す構成、方法などと適宜組み合わせて用いることができる。

【0193】

(実施の形態5)

本実施の形態では、上述の実施の形態で説明した半導体装置を電子機器に適用する場合に ついて、図9を用いて説明する。本実施の形態では、コンピュータ、携帯電話機(携帯電 話、携帯電話装置ともいう)、携帯情報端末(携帯型ゲーム機、音響再生装置なども含む )、デジタルカメラ、デジタルビデオカメラ、電子ペーパー、テレビジョン装置(テレビ 、またはテレビジョン受信機ともいう)などの電子機器に、上述の半導体装置を適用する 場合について説明する。

[0194]

図9(A)は、ノート型のパーソナルコンピュータであり、筐体601、筐体602、表示部603、キーボード604などによって構成されている。筐体601と筐体602内には、先の実施の形態に示す微細化された半導体装置が設けられている。そのため、小型、高速動作、低消費電力、といった特徴を備えたノート型のパーソナルコンピュータが実現される。

[0195]

図9(B)は、携帯情報端末(PDA)であり、本体611には、表示部613と、外部 インターフェイス615と、操作ボタン614等が設けられている。また、携帯情報端末 を操作するスタイラス612などを備えている。本体611内には、先の実施の形態に示 す微細化された半導体装置が設けられている。そのため、小型、高速動作、低消費電力、 といった特徴を備えた携帯情報端末が実現される。

[0196]

図9(C)は、電子ペーパーを実装した電子書籍620であり、筐体621と筐体623 の2つの筐体で構成されている。筐体621及び筐体623には、それぞれ表示部625 及び表示部627が設けられている。筐体621と筐体623は、軸部637により接続 されており、該軸部637を軸として開閉動作を行うことができる。また、筐体621は 、電源631、操作キー633、スピーカー635などを備えている。筐体621、筐体 623の少なくとも一には、先の実施の形態に示す微細化された半導体装置が設けられて いる。そのため、小型、高速動作、低消費電力、といった特徴を備えた電子書籍が実現さ れる。

[0197]

図9(D)は、携帯電話機であり、筐体640と筐体641の2つの筐体で構成されてい る。さらに、筐体640と筐体641は、スライドし、図9(D)のように展開している 状態から重なり合った状態とすることができ、携帯に適した小型化が可能である。また、 筐体641は、表示パネル642、スピーカー643、マイクロフォン644、ポインテ ィングデバイス646、カメラ用レンズ647、外部接続端子648などを備えている。 また、筐体640は、携帯電話機の充電を行う太陽電池セル649、外部メモリスロット 650などを備えている。また、アンテナは、筐体641に内蔵されている。筐体640 と筐体641の少なくとも一には、先の実施の形態に示す微細化された半導体装置が設け られている。そのため、小型、高速動作、低消費電力、といった特徴を備えた携帯電話機 が実現される。

【0198】

図9(E)は、デジタルカメラであり、本体661、表示部667、接眼部663、操作

スイッチ664、表示部665、バッテリー666などによって構成されている。本体6 61内には、先の実施の形態に示す微細化された半導体装置が設けられている。そのため 、小型、高速動作、低消費電力、といった特徴を備えたデジタルカメラが実現される。 【0199】

図9(F)は、テレビジョン装置670であり、筐体671、表示部673、スタンド6 75などで構成されている。テレビジョン装置670の操作は、筐体671が備えるスイ ッチや、リモコン操作機680により行うことができる。筐体671及びリモコン操作機 680には、先の実施の形態に示す微細化された半導体装置が搭載されている。そのため 、高速動作、低消費電力、といった特徴を備えたテレビジョン装置が実現される。

[0200]

以上のように、本実施の形態に示す電子機器には、先の実施の形態に係る半導体装置が搭 載されている。このため、小型、高速動作、低消費電力、といった特徴を備えた電子機器 が実現される。

【実施例1】

[0201]

本実施例では、発明の一態様に係る半導体装置の特性について、計算機を用いて検証した 結果について説明する。具体的には、異なるチャネル長しを有するトランジスタの特性に ついて比較した。なお、計算には、デバイスシミュレーションソフトAtlas(Sil vaco Data Systems社製)を用いた。

[0202]

計算に用いたトランジスタの構造を、図10に示す。図10(A)は本発明の一態様に係 る構造(ソース電極またはドレイン電極の一部を伸長させた構造)であり、図10(B) は、比較のための構造(ソース電極またはドレイン電極の一部を伸長させていない構造) である。

[0203]

計算に用いたトランジスタの詳細について説明する。図10(A)に示すトランジスタは 、第1の導電層742a(材質:チタン、厚さ:100nm)および第2の導電層745 a(材質:窒化チタン、厚さ:任意)が順に積層されたソース電極と、第1の導電層74 2b(材質:チタン、厚さ:100nm)および第2の導電層745b(材質:窒化チタ ン、厚さ:任意)が順に積層されたドレイン電極と、ソース電極上に設けられた絶縁層7 43a(材質:酸化シリコン、厚さ:100nm)と、ドレイン電極上に設けられた絶縁層7 43b(材質:酸化シリコン、厚さ:100nm)と、ドレイン電極上に設けられた絶縁層 743b(材質:酸化シリコン、厚さ:100nm)と、絶縁層743aおよび絶縁層 743b上に設けられた酸化物半導体層744(材質:In-Ga-Zn-O系の酸化物 半導体、厚さ:10nm)と、酸化物半導体層744上に設けられたゲート絶縁層746 (材質:酸化ハフニウム、厚さ:10nm)と、ゲート絶縁層746上に設けられたゲー ト電極748(材質:タングステン)を有する。

[0204]

図10(A)に示すトランジスタにおいて、第2の導電層745aは、第1の導電層74 2aの端面よりチャネル長方向に伸長した領域を有しており(つまり、第2の導電層74 5aの端部は、第1の導電層742aの端部よりチャネル形成領域に近い。)、第2の導 電層745aの端部は、酸化物半導体層744のチャネル形成領域と接している。同様に 、第2の導電層745bは、第1の導電層742bの端面よりチャネル長方向に伸長した 領域を有しており(つまり、第2の導電層745bの端部は、第1の導電層742bの端 部よりチャネル形成領域に近い。)、第2の導電層745bの端部は、酸化物半導体層7 44のチャネル形成領域と接している。

[0205]

図10(B)に示すトランジスタは、導電層752aでなるソース電極(材質:窒化チタン、厚さ:100nm)および導電層752bでなるドレイン電極(材質:窒化チタン、 厚さ:100nm)と、ソース電極およびドレイン電極上に設けられた酸化物半導体層7 44(材質:In-Ga-Zn-O系の酸化物半導体、厚さ:10nm)と、酸化物半導 体層744上に設けられたゲート絶縁層746(材質:酸化ハフニウム、厚さ:10nm)と、ゲート絶縁層746上に設けられたゲート電極748(材質:タングステン)を有する。

[0206]

図10(A)と図10(B)の相違は、上述の第2の導電層745aにおける、第1の導 電層742aの端面よりチャネル長方向に伸長した領域、および、第2の導電層745b における、第1の導電層742bの端面よりチャネル長方向に伸長した領域の有無、ソー ス電極上の絶縁層およびドレイン電極上の絶縁層の有無である。

[0207]

図10(A)において、第2の導電層745aにおける、第1の導電層742aの端面よ りチャネル長方向に伸長した領域(第2の導電層でなる領域)は、他の領域(第1の導電 層と第2の導電層の積層でなる領域)と比較して電極の厚さが小さい。つまり、電荷の流 れに垂直な断面の面積が小さくなっている。抵抗は断面積に反比例するから、第2の導電 層745aにおける、第1の導電層742aの端面よりチャネル長方向に伸長した領域は 、他の領域と比較して抵抗が高いということができる。第2の導電層745bについても 同様のことがいえる。以下、本実施例において、第2の導電層745aにおける、第1の 導電層742aの端面よりチャネル長方向に伸長した領域、および、第2の導電層745 bにおける、第1の導電層742bの端面よりチャネル長方向に伸長した領域を高抵抗領 域(HRR:High-Resistance Region)と記載する。

[0208]

また、図10(A)において、ソース電極の上部は絶縁層743aに覆われており、ドレイン電極の上部は絶縁層743bに覆われているため、ソース電極やドレイン電極と、酸化物半導体層744の接触面積は非常に小さくなっている(ここでは、第2の導電層の端部のみが接する)。つまり、ソース電極やドレイン電極は、チャネル形成領域と接する領域の近傍において、他の領域よりも高抵抗になっていることになる。

[0209]

上述の構成(図10(A)、および図10(B))において、チャネル長Lを変更して、 トランジスタのしきい値電圧Vthがどのような挙動を示すかを調査した。チャネル長L としては、20nm、30nm、50nm、100nm、200nm、400nmの6条 件を採用した。

[0210]

また、第2の導電層の厚さを変更してしきい値電圧Vthの挙動を調査した。第2の導電 層の厚さとしては、3nm、10nm、50nm、100nmの4条件を採用した。

【0211】

ソース電極とドレイン電極の間の電圧Vdsは、1Vとした。また、高抵抗領域のチャネ ル長方向の長さは0.3μmとした。

【0212】

計算に用いたパラメータは以下の通りである。

1. In-Ga-Zn-O系の酸化物半導体(酸化物半導体層の材料)

バンドギャップEg:3.15eV、電子親和力χ:4.3eV、比誘電率:15、電子 移動度:10cm<sup>2</sup>/Vs、伝導帯の実効状態密度:5×10<sup>18</sup>cm<sup>-3</sup>

2. 窒化チタン(ソース電極およびドレイン電極の材料)

仕事関数  $\varphi_M$ : 3. 9 e V、抵抗率  $\rho$ : 2. 2×10<sup>-4</sup>  $\Omega$ ・c m

3.酸化ハフニウム(ゲート絶縁層の材料)

比誘電率:15

4. タングステン(ゲート電極の材料)

仕事関数 $φ_M$ :4.9eV

[0213]

計算結果を図11に示す。図11において、横軸はチャネル長L(nm)を、縦軸はしき い値電圧のシフト量ΔVth(V)を、それぞれ示している。なお、ΔVthは、チャネ ル長L=400nmのしきい値電圧を基準に算出したものである。

[0214]

図11(A)乃至図11(D)は図10(A)に示す構造の計算結果であり、図11(A)は、第2の導電層の厚さが100nm、図11(B)は、第2の導電層の厚さが50nm、図11(C)は、第2の導電層の厚さが10nm、図11(D)は、第2の導電層の厚さが3nm、の場合をそれぞれ示している。また、図11(E)は図10(B)に示す構造の計算結果である。

[0215]

図11(A)~図11(D)の比較により、第2の導電層が薄くなるほど、しきい値電圧 のマイナスシフトが抑制されるのが分かる。また、図11(A)と図11(E)の比較に より、ソース電極やドレイン電極を覆う絶縁層を設ける場合には、Vthのマイナスシフ トが抑制されるのが分かる。これらはいずれも、ソース電極やドレイン電極と酸化物半導 体層の接触面積を縮小し、抵抗を増大させることにより、短チャネル効果を抑制できるこ とを示唆するものである。

[0216]

さらに上述の結果より、半導体層と接する領域の近傍において、ソース電極やドレイン電 極の抵抗が高くなっていれば、短チャネル効果抑制の効果を得ることができるということ もできる。

[0217]

以上より、ソース電極またはドレイン電極のチャネル形成領域と接する領域近傍を高抵抗 にする(具体的には、例えば、ソース電極またはドレイン電極の一部の断面積を小さくす る、ソース電極またはドレイン電極の上部を覆う絶縁層を形成して酸化物半導体層との接 触面積を小さくする)ことで、しきい値電圧のマイナスシフトが抑制されることが理解さ れる。これは、ソース電極とドレイン電極の間の電界強度が緩和されることに起因するも のである。このように、開示する発明の一態様によって、しきい値電圧低下などの短チャ ネル効果を抑制できることが示された。

【符号の説明】 [0218]100 基板 142a 第1の導電層 142b 第1の導電層 絶縁膜 143 143a 絶縁層 143b 絶縁層 144 酸化物半導体層 145 導電膜 第2の導電層 145a 145b 第2の導電層 146 ゲート絶縁層 148 ゲート電極 160 トランジスタ 170 トランジスタ 180 トランジスタ 190 トランジスタ 200 基板 242a 第1の導電層 242b 第1の導電層 243 絶縁膜 243a 絶縁層 243b 絶縁層

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6	7	3		表示部
6	7	5		スタンド
6	8	0		リモコン操作機
7	4	2	а	導電層
7	4	2	b	導電層
7	4	3	а	絶縁層
7	4	3	b	絶縁層
7	4	4		酸化物半導体層
7	4	5	а	導電層
7	4	5	b	導電層
7	4	6		ゲート絶縁層
_				
7	4	8		ゲート電極
•	4 5	-	a	ゲート電極 導電層
7	-	2		

【書類名】特許請求の範囲

【請求項1】

酸化物半導体層と、

前記酸化物半導体層と接するソース電極及びドレイン電極と、

前記酸化物半導体層と重なるゲート電極と、

前記酸化物半導体層と前記ゲート電極との間に設けられたゲート絶縁層と、を有し、

前記ソース電極または前記ドレイン電極は、第1の導電層と、前記第1の導電層の端面 よりチャネル長方向に伸長した領域を有する第2の導電層と、を含む半導体装置。

【請求項2】

前記第1の導電層および前記第2の導電層はテーパー形状である請求項1に記載の半導体 装置。

【請求項3】

前記第2の導電層の前記領域の上にサイドウォール絶縁層を有する請求項1または請求項 2に記載の半導体装置。

【請求項4】

酸化物半導体層と、

前記酸化物半導体層と接するソース電極及びドレイン電極と、

前記酸化物半導体層と重なるゲート電極と、

**前記酸化物半導体層と前記ゲート電極との間に設けられたゲート絶縁層と、を有し、** 

前記ソース電極及び前記ドレイン電極は、第1の導電層と、前記第1の導電層よりも高 抵抗である第2の導電層と、を含み、前記第2の導電層において、前記酸化物半導体層と 接する半導体装置。

【請求項5】

前記第2の導電層は、金属の窒化物である請求項1乃至請求項4のいずれか一に記載の半 導体装置。

【請求項6】

前記第2の導電層の膜厚は5nm乃至15nmである請求項1乃至請求項5のいずれか一 に記載の半導体装置。

【請求項7】

チャネル形成領域を含む酸化物半導体層と、

前記チャネル形成領域と接するソース電極及びドレイン電極と、

前記チャネル形成領域と重なるゲート電極と、

前記酸化物半導体層と前記ゲート電極との間に設けられたゲート絶縁層と、を有し、

前記ソース電極または前記ドレイン電極において、前記酸化物半導体層の前記チャネル 形成領域と接する領域は、その他の領域よりも高抵抗である半導体装置。

【請求項8】

前記ソース電極または前記ドレイン電極は、その端面において前記酸化物半導体層と接し 、かつ、前記ソース電極または前記ドレイン電極と、前記酸化物半導体層との間に絶縁層 を有する請求項1乃至請求項7のいずれか一に記載の半導体装置。 【書類名】要約書

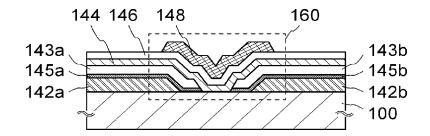
【要約】

【課題】良好な特性を維持しつつ、微細化を達成した、酸化物半導体を用いた半導体装置 を提供することを目的の一とする。

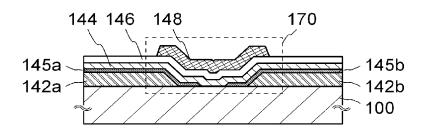
【解決手段】酸化物半導体層と、酸化物半導体層と接するソース電極及びドレイン電極と、酸化物半導体層と重なるゲート電極と、酸化物半導体層とゲート電極との間に設けられたゲート絶縁層と、を有し、ソース電極またはドレイン電極は、第1の導電層と、第1の導電層の端面よりチャネル長方向に伸長した領域を有する第2の導電層と、を含む半導体装置である。

【選択図】図1

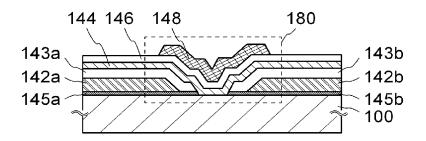
【書類名】図面 【図1】 (A)



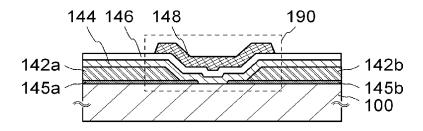
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(C)

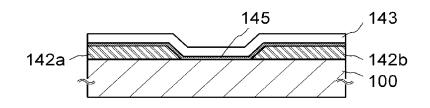


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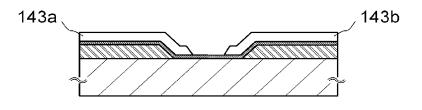




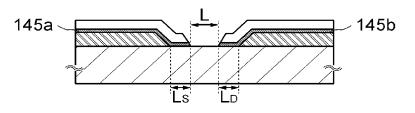
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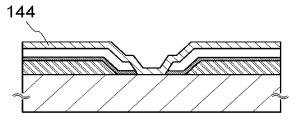
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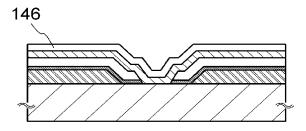
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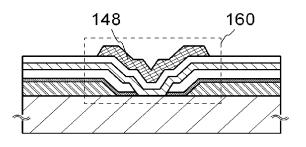
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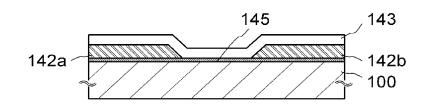
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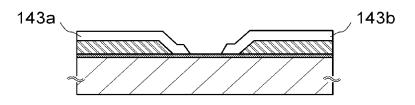




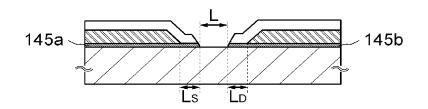




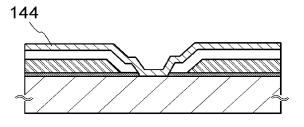
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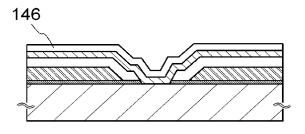




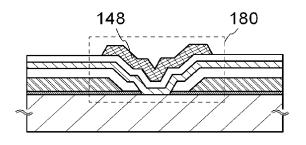
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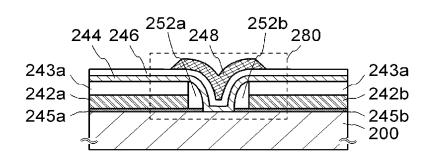
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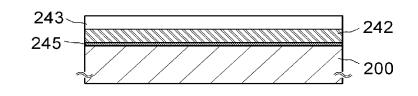
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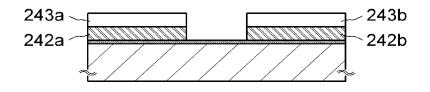
【図4】



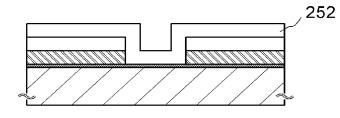
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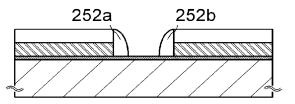
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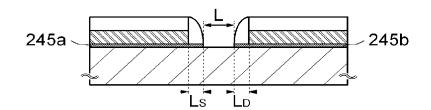
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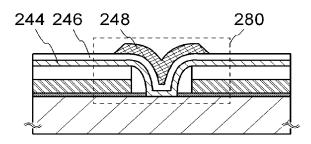
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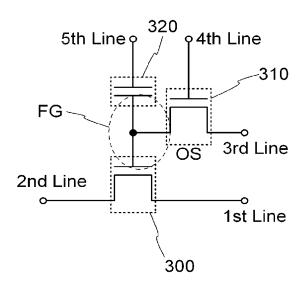


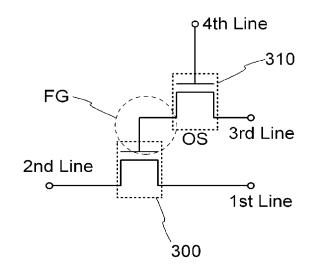
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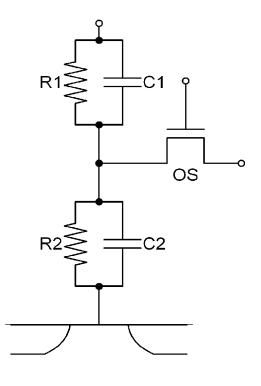
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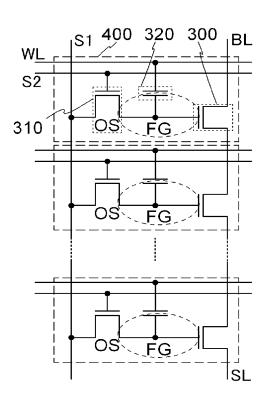




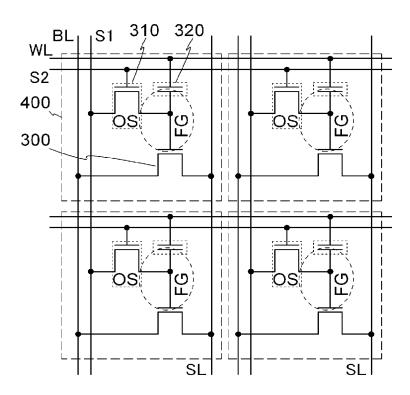
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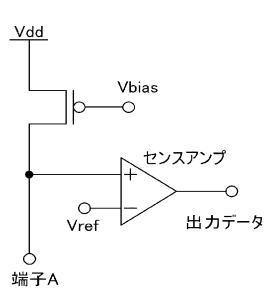
【図7】 (A)



(B)

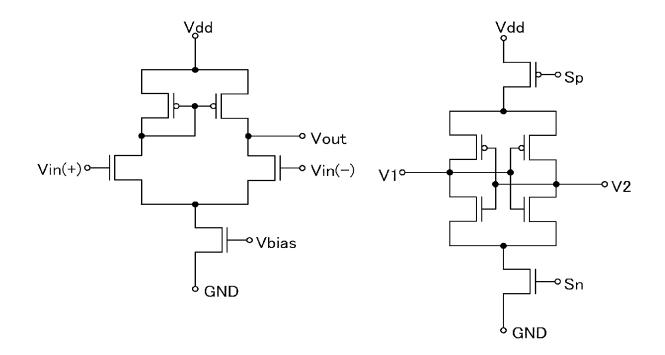


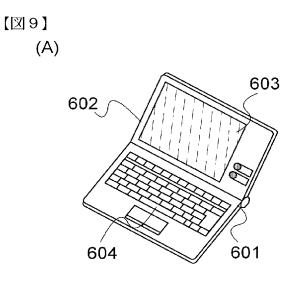
【図 8】 (A)

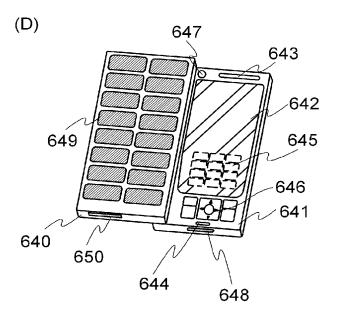


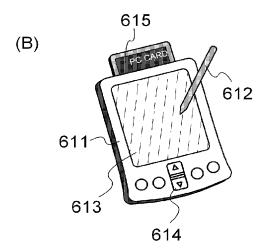
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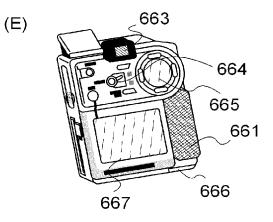
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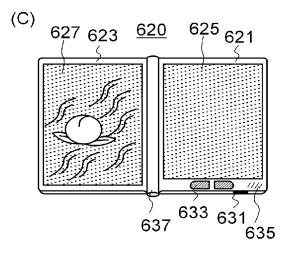


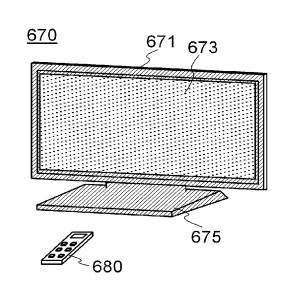




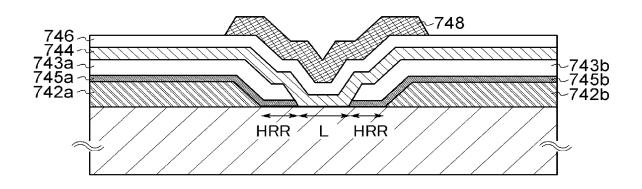


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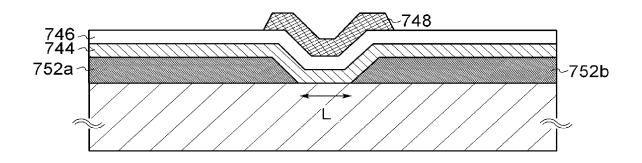


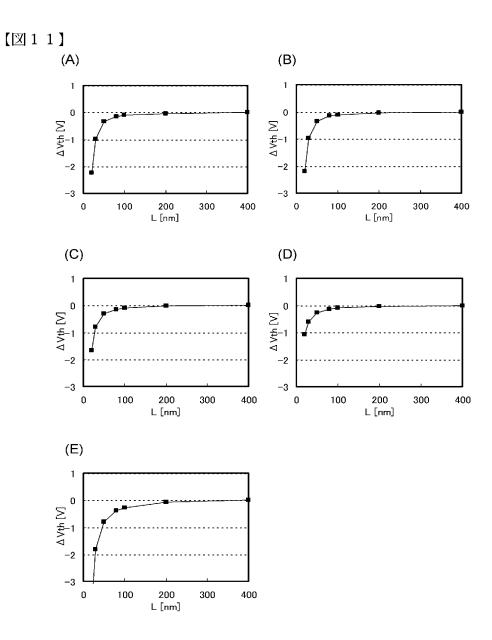


【図10】 (A)



(B)





出願人履歴

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		Alexandri www.uspt	a, Virginia 22313-1450 o.gov
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
13/008,285	01/18/2011	Shunpei YAMAZAKI	0756-9138
			<b>CONFIRMATION NO. 8496</b>
31780		PUBLICA	ΓΙΟΝ ΝΟΤΙCΕ
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3975 Fair Ridge Drive	- <b>,</b>		OC000000049010333*
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Title:SEMICONDUCTOR DEVICE

Publication No.US-2011-0180796-A1 Publication Date:07/28/2011

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Sheet

C	omplete if Known
Application Number	13/008,285
Filing Date	January 18, 2011
First Named Inventor	Shunpei YAMAZAKI et al.
Art Unit	2811
Examiner Name	Unknown
Attorney Docket Number	0756-9138
	Application Number Filing Date First Named Inventor Art Unit Examiner Name

	****		U. S. PATENT DOCU		Deser Caluman Lines Wheel
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2 (/ known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY				
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		JP-05-251705A	09-28-1993			Full	
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of

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URE	Application Number	13/008,285	
	Filing Date	January 18, 2011	
	First Named Inventor	Shunpei YAMAZAKI et al.	
	Art Unit	2811	
	Examiner Name	Unknown	
12	Attorney Docket Number	0756-9138	

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		US-2007/0090365	04-26-2007	HAYASHI.R et al.	

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Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					
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		JP-63-210023A	08-31-1988			Full	
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 Application Number
 13/008,285

 Filing Date
 January 18, 2011

 First Named Inventor
 Shunpei YAMAZAKI et al.

 Art Unit
 2811

 Examiner Name
 Unknown

 12
 Attorney Docket Number

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Initials* No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
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		US-2008/0258141	10-23-2008	PARK.J et al.	
		US-2008/0258143	10-23-2008	KIM.S et al.	· · · · · · · · · · · · · · · · · · ·

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Examiner Cit	Cite	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
Initials*	No.1	Country Code3 -Number4 -Kind Code5 (if known)	MM-DD-YYYY			
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INFORMATION DISCLOSURE				Application Number	13/008,285	
STATEMENT BY APPLICANT				Filing Date	January 18, 2011	
			ICANT	First Named Inventor	Shunpei YAMAZAKI et	
				Art Unit	2811	
(Use as many sheets as necessary)				Examiner Name	Unknown	
Sheet	4	of	12	Attorney Docket Number	0756-9138	

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2 (If known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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 CLOSURE
 Application Number
 13/008,285

 PLICANT
 Filing Date
 January 18, 2011

 First Named Inventor
 Shunpei YAMAZAKI et al.

 Art Unit
 2811

 Examiner Name
 Unknown

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 Attorney Docket Number

	-		U. S. PATENT DOCU		Pages, Columns, Lines, Where
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				KIND	Figures Appear
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Application Number	13/008,285		
Filing Date	January 18, 2011		
First Named Inventor	Shunpei YAMAZAKI et al.		
Art Unit	2811		
Examiner Name	Unknown		
Attorney Docket Number	0756-9138		
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		NON PATENT LITERATURE DOCUMENTS	
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INFORMATION DISCLOSURE			OSURE	Application Number	13/008,285	
				Filing Date	January 18, 2011	
STATEMENT BY APPLICANT		First Named Inventor	Shunpei YAMAZAKI et al.			
(Use as many sheets as necessary)		Art Unit	2811			
		Examiner Name	Unknown			
Sheet 7 of 12		Attorney Docket Number	0756-9138			

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	IFiling Date January 18, 2011			
	First Named Inventor	Shunpei YAMAZAKI et al.		
	Art Unit	2811		
y)	Examiner Name	Unknown		
12	Attorney Docket Number	0756-9138		

#### NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item Examiner Cite $T^2$ (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Initials\* No.1 publisher, city and/or country where published. KIKUCHI.H et al., "62.2:INVITED PAPER:FAST ELECTRO-OPTICAL SWITCHING IN POLYMER-STABILIZED LIQUID CRYSTALLINE BLUE PHASES FOR DISPLAY APPLICATION,", SID DIGEST '07 : SID Enq. INTERNATIONAL SYMPOSIUM DIGEST OF TECHNICAL PAPERS, 2007, Vol. 38, pp. 1737-1740. NAKAMURA.M, "Synthesis of Homologous Compound with New Long-Period Structure,", NIRIM NEWSLETTER, Full March 1, 1995, Vol. 150, pp. 1-4. KIKUCHI.H et al., "POLYMER-STABILIZED LIQUID CRYSTAL BLUE PHASES,", NATURE MATERIALS, Eng. September 1, 2002, Vol. 1, pp. 64-68. KIMIZUKA.N et al., "SPINEL, YBFE204, AND YB2FE307 TYPES OF STRUCTURES FOR COMPOUNDS IN Eng. THE IN2O3 AND SC2O3-A2O3-BO SYSTEMS [A; FE, GA, OR AL; B: MG, MN, FE, NI, CU,OR ZN] AT TEMPERATURES OVER 1000°C,", JOURNAL OF SOLID STATE CHEMISTRY, 1985, Vol. 60, pp. 382-384. KITZEROW.H et al., "OBSERVATION OF BLUE PHASES IN CHIRAL NETWORKS,", LIQUID CRYSTALS, 1993, Eng. Vol. 14, No. 3, pp. 911-916. COSTELLO.M et al., "ELECTRON MICROSCOPY OF A CHOLESTERIC LIQUID CRYSTAL AND ITS BLUE Eng. PHASE,", PHYS. REV. A (PHYSICAL REVIEW. A), May 1, 1984, Vol. 29, No. 5, pp. 2957-2959. . MEIBOOM.S et al., "THEORY OF THE BLUE PHASE OF CHOLESTERIC LIQUID CRYSTALS,", PHYS. REV. Eng. LETT. (PHYSICAL REVIEW LETTERS), May 4, 1981, Vol. 46, No. 18, pp. 1216-1219. PARK.SANG-HEE et al., "42.3; Transparent ZnO Thin Film Transistor for the Application of High Aperture Ratio Bottom Emission AM-OLED Display,", SID DIGEST '08 : SID INTERNATIONAL SYMPOSIUM DIGEST OF Eng. TECHNICAL PAPERS, May 20, 2008, Vol. 39, pp. 629-632. ORITA.M et al., "MECHANISM OF ELECTRICAL CONDUCTIVITY OF TRANSPARENT InGaZnO4,", PHYS. Eng. REV. B (PHYSICAL REVIEW. B), January 15, 2000, Vol. 61, No. 3, pp. 1811-1816. NOMURA.K et al., "AMORPHOUS OXIDE SEMICONDUCTORS FOR HIGH-PERFORMANCE FLEXIBLE THIN-FILM TRANSISTORS,", JPN, J. APPL, PHYS. (JAPANESE JOURNAL OF APPLIED PHYSICS), 2006, Vol. 45, Eng. No. 5B, pp. 4303-4308.

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	Application Number	13/008,285		
	Filing Date January 18, 2011			
	First Named Inventor	Shunpei YAMAZAKI et al.		
	Art Unit 2811			
	Examiner Name	Unknown		
12	Attorney Docket Number	0756-9138		

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Application Number:	13008285				
International Application Number:					
Confirmation Number:	8496				
Title of Invention:	SEMICONDUCTOR DEVICE				
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI				
Customer Number:	31780				
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#### Attorney Docket No. 0756-9138

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:
Shunpei YAMAZAKI et al.
Serial No. 13/008,285
Filed: January 18, 2011
For: SEMICONDUCTOR DEVICE

Confirmation No. 8496

) Group Art Unit: 2811

### INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

U.S. Patent No. 6,563,174 is in the family of JP 2003-086808.

U.S. Publication No. 2006/0244107 is in the family of WO 2004/114391.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

Electronic Acknowledgement Receipt					
EFS ID:	10193973				
Application Number:	13008285				
International Application Number:					
Confirmation Number:	8496				
Title of Invention:	SEMICONDUCTOR DEVICE				
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI				
Customer Number:	31780				
Filer:	Eric J. Robinson/Doris Vasquez Soriano				
Filer Authorized By:	Eric J. Robinson				
Attorney Docket Number:	0756-9138				
Receipt Date:	31-MAY-2011				
Filing Date:	18-JAN-2011				
Time Stamp:	14:16:54				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

Submitted with Payment			no				
File Listing:							
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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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PTO/SB/08A (07-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

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of

Sheet

	Complete if Known					
OSURE	Application Number	13/008,285				
	Filing Date	January 18, 2011				
_ICANT	First Named Inventor	Shunpei YAMAZAKI et al.				
	Art Unit	2811				
y)	Examiner Name	Unknown				
2	Attorney Docket Number	0756-9138				

Examiner Cite		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Initials*	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Figures Appear	
		US-2007/0108446	05-17-2007	ΑΚΙΜΟΤΟ.Κ		
		US-2007/0187760	08-16-2007	FURUTA.M et al.		
		US-2009/0186437	07-23-2009	AKIMOTO.K		
		US-2009/0186445	07-23-2009	AKIMOTO.K		
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		US-2007/0278490	12-06-2007	HIRAO.T et al.		
		US-7576394	08-18-2009	FURUTA.M et al.		
		US-2009/0269881	10-29-2009	FURUTA.M et al.		
		US-2010/0003783	01-07-2010	AKIMOTO.K		
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		US-2009/0286351	11-19-2009	HIRAO.T et al.		
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	FOREIGN PATENT DOCUMENTS								
Examiner			Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant	Т <sup>6</sup>				
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures Appear				
		WO-2007/058329	05-24-2007			Eng.			
		WO-2007/089048	08-09-2007			Eng.			
		WO-2007/142167	12-13-2007			Eng.			

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Substitute for form 1449/PTO			- <u> </u>	Complete if Known		
INFORMATION DISCLOSURE			CUDE	Application Number	13/008,285	
				Filing Date	January 18, 2011	
STATEME	ENT BY A	<b>ABB</b>	ICANT	First Named Inventor	Shunpei YAMAZAKI et al.	
				Art Unit	2811	
(Use as many sheets as necessary)			)	Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	0756-9138	

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		INTERNATIONAL SEARCH REPORT (Application No.PCT/JP2010/073886) Dated February 15, 2011.	Eng.			
		WRITTEN OPINION (Application No.PCT/JP2010/073886) Dated February 15, 2011.	Eng.			

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process) an application. Commentantly is governed by 30.0.0. 122 and 01 ref 14.14. This consider to the use to the Index to the the process of the individual case. Any comments including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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- (71) Applicant (for all designated States except US): SEMI-CONDUCTOR ENERGY LABORATORY CO., LTD. [JP/JP]; 398, Hase, Atsugi-shi, Kanagawa, 2430036 (JP).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): AKIMOTO, Kengo [JP/JP]; c/o SEMICONDUCTOR ENERGY LABORA-TORY CO., LTD., 398, Hase, Atsugi-shi, Kanagawa, 2430036 (JP).

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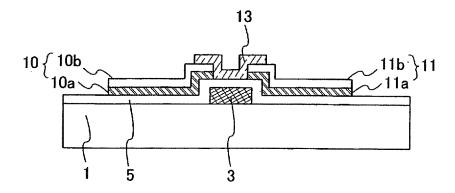
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#### **Published:**

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF



(57) Abstract: To provide a semiconductor device in which a defect or fault is not generated and a manufacturing method thereof WO 2007/058329 even if a ZnO semiconductor film is used and a ZnO film to which an n-type or p-type impurity is added is used for a source electrode and a drain electrode. The semiconductor device includes a gate insulating film formed by using a silicon oxide film or a silicon oxynitride film over a gate electrode, an Al film or an Al alloy film over the gate insulating film, a ZnO film to which an n-type or p-type impurity is added over the Al film or the Al alloy film, and a ZnO semiconductor film over the ZnO film to which an n-type or p-type impurity is added and the gate insulating film.

#### DESCRIPTION

### SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

#### 5 TECHNICAL FIELD

[0001]

The present invention relates to a semiconductor device using ZnO (Zinc Oxide) and a manufacturing method thereof.

#### BACKGROUND ART

10 [0002]

A semiconductor device used for a display panel of a liquid crystal display device or an EL (Electroluminescent) display device, for example, a semiconductor portion of a TFT (Thin Film Transistor), is generally formed by using a-Si (amorphous silicon) or poly-Si (polycrystalline silicon).

15 [0003]

Si (silicon) does not have a large band gap (for example, single-crystalline Si is 1.1 eV), and absorbs visible light. By irradiation with the light, electrons and holes (carriers) are formed in Si. If a Si film is used for a channel formation region of a TFT, a carrier is generated in the channel formation region by irradiation with the light even in an OFF state. Then, current flows between a source region and a drain region. The current which flows in an OFF state is called "OFF-leak current". If the current value is high, a display panel does not operate normally. Consequently, a light shielding film is formed so as not to irradiate the Si film with light. However, a process becomes complex when the light shielding film is formed, because a deposition step, a photolithography step, and an etching step are required.

25 [0004]

 $\mathbf{20}$ 

To solve the problem, an attention is paid to a transparent transistor using zinc oxide (ZnO) which is a semiconductor having a larger band gap of 3.4 eV than that of Si. Concerning such a transparent transistor, the band gap is larger than light energy in a visible light band and the visible light is not absorbed. Consequently, it has an advantage that the OFF-leak current

does not increase if irradiated with light.

[0005]

A semiconductor device using ZnO for the channel formation region is disclosed in Reference 1, for example. The structure of the semiconductor device using ZnO is described referring to FIG. 7A.

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[0006]

A semiconductor device in FIG 7A has a source electrode 1001 and a drain electrode 1002, a ZnO layer 1003 arranged so as to be contacted with the source electrode 1001 and the drain electrode 1002, and a gate insulating layer 1004 stacked over the ZnO layer 1003 and a gate electrode 1005 over an insulating substrate 1000 such as a glass substrate.

[0007]

For the source electrode 1001 and the drain electrode 1002, a conductive ZnO is used. The conductive ZnO is doped with one of the following: B(boron), Al (aluminum), Ga (gallium), In (indium), or Tl (thallium), which are III group elements; F(fluorine), Cl (chlorine), Br (bromine), or I (iodine), which are VII group elements; Li (lithium), Na (sodium), K (potassium), Rb (rubidium), or Cs (caesium), which are I group elements; and N (nitrogen), P (phosphorus), As (arsenic), Sb (antimony), or Bi (bismuth), which are V group elements.

[Reference 1] Japanese Published Patent Application No. 2000-150900

DISCLOSURE OF INVENTION

20 [0008]

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According to the examination by the present inventor, it was revealed that the substrate 1000 is etched in some cases when the source electrode 1001 and the drain electrode 1002 of the top gate semiconductor device shown in FIG. 7A is formed by etching. Even in the case of forming a base film 1006 formed by using a silicon oxide film or a silicon oxynitride film on the substrate 1000, the surface of the substrate 1000 is exposed in some cases when the base film is etched. In addition, in the case of a bottom gate semiconductor device shown in FIG. 7B, it is revealed that a gate insulating film 1004 formed by using a silicon oxide film or a silicon oxide film or a silicon oxynitride film or a silicon oxynitride film is etched when a source electrode 1001 and a drain electrode 1002 are formed by etching.

30 [0009]

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It the case of the top gate semiconductor device, when the glass substrate 1000 or the base film 1006 formed by using a silicon oxide film or a silicon oxynitride film is etched, an impurity such as sodium is diffused into a semiconductor film 1003 from the substrate 1000, so that characteristics are deteriorated.

5 [0010]

In the case of the bottom gate semiconductor device (FIG. 7B), if the gate insulating film 1004 is etched when the source electrode 1001 and the drain electrode 1002 are formed by etching, the characteristics are not stable and causes a fault.

[0011],

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In consideration of the above situation, it is an object of the present invention to provide a semiconductor device in which a defect or a fault is not generated and a manufacturing method thereof even if a ZnO semiconductor film is used for the channel formation region, and a ZnO film to which an n-type or p-type impurity is added is used for the source electrode and the drain electrode.

15 [0012]

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An aspect of a semiconductor device of this invention has an Al film or an Al alloy film over a silicon oxide film or a silicon oxynitride film, and a ZnO film to which an n-type or p-type impurity is added over the Al film or the Al alloy film. <u>"A silicon oxide film"</u>, "a silicon oxynitride film", "an Al film", "an Al alloy film" and "a ZnO film" in this specification means a film containing silicon oxide, a film containing silion oxynitride, a film containing Al, a film containing Al alloy, a film containing ZnO, respectively.

[0013]

An aspect of a semiconductor device of this invention has a gate insulating film formed by using a silicon oxide film or a silicon oxynitride film over a gate electrode, an Al film or an Al alloy film over the gate insulating film, a ZnO film to which an n-type or p-type impurity is added over the Al film or the Al alloy film, and a ZnO semiconductor film over the ZnO film to which an n-type or p-type impurity is added and the gate insulating film.

[0014]

An aspect of a semiconductor device of this invention has an Al film or an Al alloy film 30 over a silicon oxide film or a silicon oxynitride film, a ZnO film to which an n-type or p-type

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impurity is added over the Al film or the Al alloy film, a ZnO semiconductor film over the silicon oxide film or the silicon oxynitride film and the ZnO film to which an n-type or p-type impurity is added, a gate insulating film over the ZnO semiconductor film, and a gate electrode over the gate insulating film.

5 [0015]

An aspect of a manufacturing method of a semiconductor device of this invention has the steps of: forming a silicon oxide film or a silicon oxynitride film; forming an Al film or an Al alloy film over the silicon oxide film or the silicon oxynitride film; forming a ZnO film to which an n-type or p-type impurity is added over the Al film or the Al alloy film, wherein the ZnO film

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to which an n-type or p-type impurity is added is etched to have an island-like shape by a first etching, and the Al film or the Al alloy film is etched to have an island-like shape by a second etching.

[0016]

An aspect of a manufacturing method of a semiconductor device of this invention, 15 wherein a ZnO semiconductor film is formed over the ZnO film to which an n-type or p-type impurity is added, and the silicon oxide film or the silicon oxynitride film after the second etching.

[0017]

In the case of the bottom gate semiconductor device, a gate insulating film formed by using the silicon oxide film or the silicon oxynitride film is formed over the gate electrode after forming a gate electrode.

[0018]

In the case of the top gate semiconductor device, a gate insulating film is formed and a gate electrode is formed after the ZnO semiconductor film is formed.

25 [0019]

A first etching of this invention may be wet etching.

[0020]

A first etching of this invention may be wet etching using buffered fluoric acid.

[0021]

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A first etching of this invention may be dry etching.

[0022]

A first etching of this invention may be dry etching using CH<sub>4</sub> (methane) gas.

[0023]

A second etching of this invention may be wet etching.

5 [0024]

A second etching of this invention may be wet etching using developing solution for a photoresist.

[0025]

A second etching of this invention may be wet etching using an organic alkaline. 10 solution.

[0026]

A second etching of this invention may be wet etching using TMAH (tetramethylammonium hydroxide).

[0027]

An aspect of a semiconductor device of this invention has a gate electrode, a gate insulating film over the gate electrode, a first film comprising metal material over the gate insulating film, a second film comprising a transparent semiconductor material and an n-type or p-type impurity over the first film, and a third film comprising the transparent semiconductor material over the second film and the gate insulating film.

20 [0028]

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An aspect of a semiconductor device of this invention has an insulating film over a substrate, a first film comprising a metal material over the insulating film, a second film comprising a transparent semiconductor material and an n-type or p-type impurity over the metal film, a third film comprising the transparent semiconductor material over the insulating film and the second film, a gate insulating film over the third film, and a gate electrode over the gate insulating film.

[0029]

An aspect of a manufacturing method of a semiconductor device of this invention has the steps of: forming an insulating film over a substrate, forming a first film comprising a metal material over the insulating film, forming a second film comprising a transparent semiconductor

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material and an n-type or p-type impurity over the first film, etching the second film, and etching the first film.

[0030]

An aspect of a manufacturing method of a semiconductor device of this invention has the steps of: forming a gate electrode over a substrate, forming a gate insulating film over the gate electrode, forming a first film comprising a metal material over the gate insulating film, forming a second film comprising a transparent semiconductor material and an n-type or p-type impurity over second film, etching the second film, and etching the first film. [0031].

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In the top gate semiconductor device, a base film formed by using a glass substrate, a silicon oxide film or a silicon oxynitride film is not etched, and an impurity such as sodium is not diffused from a substrate into a semiconductor film so that its characteristics are not deteriorated. [0032]

In the bottom gate semiconductor device, the gate insulating film is not etched and its characteristics do not become unstable.

[0033]

Since Al is used for a part of the source electrode and drain electrode, low resistance of a wire can be obtained.

#### 20. BRIEF DESCRIPTION OF DRAWINGS

[0034]

In the accompanying drawings:

FIGS. 1A and 1B show semiconductor devices of this invention;

FIGS. 2A to 2D show manufacturing steps of a semiconductor device of this invention;

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FIGS. 3A to 3D show manufacturing steps of a semiconductor device of this invention;

FIGS. 4A and 4B show manufacturing steps of a semiconductor device of this invention;

FIGS. 5A to 5D show manufacturing steps of a semiconductor device of this invention; FIGS. 6A to 6C show manufacturing steps of a semiconductor device of this invention; FIGS. 7A and 7B show conventional examples;

FIGS. 8A and 8B show a manufacturing step of a liquid crystal display device;
FIGS. 9A and 9B show manufacturing steps of a liquid crystal display device;
FIGS. 10A and 10B show manufacturing steps of a light-emitting device;
FIGS. 11A and 11B show manufacturing steps of a light-emitting device;
FIGS. 12A to 12F each show an equivalent circuit of a light-emitting device;

FIG. 13 shows an equivalent circuit of a light-emitting device;

FIG. 14A illustrates a top front view of a pixel portion and FIG. 14B illustrates an equivalent circuit of a light-emitting device;

FIGS. 15A to 15E each show an example of an electronic apparatus to which this invention is applied; and

FIG. 16 shows an example of electronic apparatuses to which this invention is applied.

[0035]

The embodiments of this invention will be described hereinafter referring to the accompanying drawings. Note that this invention is not limited to the description below, and it is easily understood by those skilled in the art that the embodiments and details herein disclosed can be modified in various ways without departing from the purpose and the scope of the invention. Therefore, this invention should not be interpreted as being limited to the description of the embodiments to be given below.

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#### BEST MODE FOR CARRYING OUT THE INVENTION

[0036]

[Embodiment 1]

Here, a bottom gate semiconductor device is described.

25 [0037]

FIG. 1A is a cross-sectional view in which one example of the embodiment of this invention is shown. In FIG. 1A, numeral reference 1 denotes a substrate, 3 denotes a gate electrode, 5 denotes a gate insulating film, 10 denotes a source electrode, 10a denotes a first conductive film, 10b denotes a second conductive film, 11 denotes a drain electrode, 11a denotes a first conductive film, 11b denotes a second conductive film, and 13 denotes a semiconductor

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film. An insulating film for passivation or planarization may be formed over the semiconductor film 13.

[0038]

The gate electrode 3 is formed over the substrate 1, the gate insulating film 5 is formed over the gate electrode 3, and the source electrode 10 and the drain electrode 11 are formed over the gate insulating film 5. The source electrode 10 is formed of a layered film having the first conductive film 10a and the second conductive film 10b, and the drain electrode 11 is formed of a layered film having the first conductive film 11a and the second conductive film 11b. A third conductive film may be formed between the first conductive film 10a and the second conductive film 10b, or between the first conductive film 11a and the second conductive film 11b. The

source electrode 10 and the drain electrode 11 may be each formed so as to overlap partially with the gate electrode 3 through the gate insulating film 5. The semiconductor film 13 is formed over the source electrode 10 and the drain electrode 11 over the gate insulating film 5.

[0039]

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Hereinafter, each structure is described.

(1) substrate

The following can be used for forming a substrate: a substrate formed by using a glass substrate; an insulating material such as alumina; and a plastic substrate which can resist a processing temperature in post-steps; and the like. In the case of using a plastic substrate for the substrate 1, the following can be used: PC (polycarbonate); PES (polyethersulfone); PET (polyethylene terephthalate); PEN (polyethylene naphthalate); or the like. In the case of the plastic substrate, an inorganic layer or an organic layer may be provided as a gas barrier layer over the surface. In the case where a prominence due to dust or the like which is generated on the substrate in the manufacturing process of the plastic substrate, the substrate may be used after polishing it with CMP or the like to make its surface planarized. An insulating film such as silicon oxide (SiOx), silicon nitride (SiNx), silicon oxynitride (SiOxNy) (X>Y), and silicon nitride oxide (SiNxOy) (X>Y) may be formed over the substrate 1 for preventing an impurity or the like from diffusing from the substrate side.

[0040]

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(2) gate electrode

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A gate electrode can be formed by using an Al (aluminum) film, a W (tungsten) film, a Mo (molybdenum) film, a Ta (tantalum) film, a Cu (copper) film, a Ti (titanium) film, an alloy material containing the elements as a main component (for example, an Al alloy film, a MoW (molybdenum tungsten) alloy film), or the like. A semiconductor film represented by a polycrystalline silicon film doped with an impurity element such as P (phosphourus) may be used. The gate electrode 3 may be a single layer or a layered film in which two or more layers are stacked.

[0041]

. (3) gate insulating film

The gate insulating film 5 is formed by using an insulating film containing silicon as a main component, for example, silicon oxide film, and silicon oxynitride film. In addition, it may be a single layer or a layered film.

[0042]

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(4) source electrode and drain electrode

The source electrode 10 is formed with a layered film of the first conductive film 10a and the second conductive film 10b, and the drain electrode 11 is formed with a layered film of the first conductive film 11a and the second conductive film 11b.

[0043]

As the first conductive film, an Al film, an Al alloy film such as an AlNi (aluminum nickel) film, and an AlNd (neodymium aluminum) film can be used. As the second conductive film, ZnO (zinc oxide) to which a p-type or n-type impurity of B (boron), Al (aluminum), Ga (gallium), P (phosphorus), or As (arsenic) is added can be used. A metal film such as a Ti film may be provided as a third conductive film between the first conductive film and the second conductive film.

25 [0044]

(5) semiconductor film

A ZnO film is used as a semiconductor film. Since the source electrode and the drain electrode contacted with the semiconductor film have the ZnO film to which a p-type or n-type impurity is added, they can be easily connected with the semiconductor film.

30 [0045]

(6) insulating film

An insulating film such as a passivation film and a planarization film may be formed over the semiconductor film 13, although not shown. Silicon oxide (SiOx), silicon nitride (SiNx), silicon oxynitride (SiOxNy) (x>y), silicon nitride oxide (SiNxOy) (x>y), a SOG (spin-on-glass) film, or an organic resin film of acryl, or a layered film of those can be used.

[0046]

In the bottom gate semiconductor device, a gate insulating film is not etched in manufacturing process, and characteristics do not become unstable. All is used for a part of the source electrode and the drain electrode, thereby achieving lower resistance of a wire.

10 [0047]

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[Embodiment 2]

Here, a top gate semiconductor device is described.

[0048]

FIG. 1B is a cross-sectional view showing one example of an embodiment of this invention. In FIG. 1B, numeral reference 1 denotes a substrate, 20 denotes an insulating film, 25 denotes a source electrode, 25a denotes a first conductive film, 25b denotes a second conductive film, 26 denotes a drain electrode, 26a denotes a first conductive film, 26b denotes a second conductive film, 27 denotes a semiconductor film, 28 denotes a gate insulating film, and 29 denotes a gate electrode. An insulating film for passivation or planarization may be formed over the gate electrode.

[0049]

The insulating film 20 is formed on the substrate 1, and the source electrode 25 and the drain electrode 26 are formed over the insulating film 20. The source electrode 25 is formed with a layered film of the first conductive film 25a and the second conductive film 25b, and the drain electrode 26 is formed with a layered film of the first conductive film 26a and the second conductive film 26b. A third conductive film may be formed between the first conductive film 25a and the second conductive film 26b. The semiconductor film 27 is formed over the source electrode 25 and the drain electrode 26 over the insulating film 20, the gate insulating film 28 is formed over the semiconductor film 27, and the gate electrode 29 is formed over the gate insulating film 28.

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The gate electrode 29 may be formed so as to partially overlap with the source electrode and the drain electrode with the gate insulating film 28 and the semiconductor film 27 interposed therebetween.

[0050]

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Here, each structure is described.

[0051]

For the substrate, the source electrode, the drain electrode, the semiconductor film, and the gate electrode, the same ones described in Embodiment 1 can be used.

(1) insulating film over substrate

An silicon oxide film or a silicon oxynitride film is formed as the insulating film 20 for preventing an impurity or the like from diffusing from the substrate side over the substrate 1. In addition, it may be a single layer or a layered film.

[0052]

(2) gate insulating film

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The gate insulating film 28 is formed by using an insulating film containing silicon as a main component, for example, a silicon oxide film, a silicon oxynitride film, a silicon nitride oxide film, and a silicon nitride film. In addition, it may be a single layer or a layered film. [0053]

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(3) insulating film over gate electrode

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An interlayer insulating film such as a passivation film and a planarization film may be formed over the gate electrode 29, although not shown.  $A SiO_x$  film, a SiNx film, a SiON film, SiNO film, an SOG (spin-on-glass) film, and an organic resin film of acrylic or a layered film of those can be used.

[0054]

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In the top gate semiconductor device, the substrate or the base film formed by using a silicon oxide film or a silicon oxynitride film is not etched, so that an impurity such as sodium is not diffused into the semiconductor film from the substrate and the characteristics are not deteriorated. All is used for a part of the source electrode and the drain electrode, thereby achieving lower resistance of a wire.

30 [0055]

[Embodiment 3]

A manufacturing method of the bottom gate semiconductor device is described, in which a silicon oxide film or a silicon oxynitride film is formed as a gate insulating film over the gate electrode, an Al film or an Al alloy film is formed as a first conductive film, and a ZnO film to which an n-type or p-type impurity is added is formed as a second conductive film, and then, the second conductive film is etched to have an island-like shape by a first etching and the first conductive film is etched to have an island-like shape by a second etching to form source and

drain electrodes, and a ZnO semiconductor film is formed.

[0056]

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As shown in FIG. 2A, a gate electrode 3 is formed. The thickness of the gate electrode may be 10 to 200 nm over a substrate 1. The substrate 1 may be formed by using the material shown in Embodiment 1. Here, a glass substrate is used. [0057]

An insulating film 2 containing silicon oxide (SiOx), silicon nitride (SiNx), silicon 15 oxynitride (SiOxNy) (x>y), silicon nitride oxide (SiNxOy) (x>y), or the like may be formed with a thickness of 10 to 200 nm by CVD or sputtering so as to prevent impurity or the like from diffusing from the substrate side (FIG. 2B).

[0058]

The insulating film 2 may be formed by processing the surface of the substrate 1 with high density plasma. For example, the high density plasma can be generated using a microwave of 2.45 GHz, and it is only required that electron density ranges from  $1 \times 10^{11}$  to  $1 \times 10^{13}$ /cm<sup>3</sup>, and electron temperature is 2 eV or less. Such high density plasma has a low kinetic energy of active species and a film with fewer defects can be formed with less damage caused by plasma compared to a conventional plasma treatment.

25 [0059]

The surface of the substrate 1 can be nitrided by the high density plasma treatment under a nitriding atmosphere such as an atmosphere containing nitrogen and a noble gas, an atmosphere containing nitrogen, hydrogen and a noble gas, and an atmosphere containing ammonia and a noble gas. In the case where a glass substrate is used as the substrate 1 subjected to a nitriding treatment by the high density plasma, as a nitride film formed over the

surface of the substrate 1, the insulating film 2 containing silicon nitride as a main component can be formed. The insulating film 2 may be formed by using a plurality of layers in which a silicon oxide film or a silicon oxynitride film is formed by plasma CVD over the nitride film.

[0060]

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In addition, a nitride film can be formed by nitriding over the surface of the insulating film 2 with high density plasma similarly.

[0061]

The nitride film formed by nitriding with high density plasma can suppress diffusion of impurity from the substrate 1.

10 [0062]

> The gate electrode 3 can be formed by using materials shown in Embodiment 1. Here, an AlNd (aluminum neodymium) film is formed by sputtering using an AlNd target and processed into an island-like shape. A photolithography method is used for processing the film into an island-like shape, and dry etching or wet etching is used.

[0063] 15

> After cleaning the surface of the gate electrode 3 and the surface of the substrate 1 or the insulating film 2, a gate insulating film 5 is formed with a thickness of 10 to 200 nm using a known CVD or sputtering over the gate electrode 3 (FIG. 2A and 2B). The surface cleaning step and the formation step of the gate insulating film 5 may be carried out continuously without being exposed to air. In the case where an Al film is used for the gate electrode 3, when the gate insulating film 5 is formed at a high temperature, a hillock is generated in some cases. Thus, it is preferable to form the film at a low temperature of 500°C or less, preferably 350 °C or less.

[0064]

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The gate insulating film 5 can be formed by using the material shown in Embodiment 1. Here, a silicon oxide film is formed. Note that the insulating film 2 is omitted in the drawings below.

[0065]

A first conductive film 6 for source and drain electrodes is formed with a thickness of 10 to 200 nm on the gate insulating film 5. The first conductive film 6 can be formed by using

the material shown in Embodiment 1. Here, an AlNi (aluminum nickel) film or an AlNd film is used. The first conductive film 6 can be formed by sputtering using an AlNi target or an AlNd target. After forming the gate insulating film 5, the first conductive film 6 may be formed continuously without being exposed to the air.

5 [0066]

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A second conductive film 7 is formed with a thickness of 10 to 200 nm on the first conductive film 6 (FIG. 2C). The second conductive film 7 can be formed by using the material shown in Embodiment 1. Here, ZnO (zinc oxide) to which an impurity such as Al or Ga is added is used. Consequently, an ohmic contact can be easily created between the second conductive film 7 and a ZnO film which is formed as a semiconductor layer later. The second conductive film 7 can be formed by sputtering. For example, the following methods can be used for adding Al or Ga: sputtering using a ZnO target to which 1 to 10 weight % of Al or Ga is added; or sputtering in which an Al or Ga chip is mounted on a ZnO target at 200 to 300°C.

[0067]

After forming the first conductive film 6, the second conductive film 7 may be formed continuously without being exposed to the air. Therefore, formation from the gate insulating film 5 to the second conductive film 7 may be continuously carried out without being exposed to air.

[0068]

A third conductive film 8 may be formed with a thickness of 10 to 200 nm between the first conductive film 6 and the second conductive film 7 (FIG 2D). A contact resistance is occasionally increased between the first conductive film 6 and the second conductive film 7 depending on a heat treatment temperature in a manufacturing process. However, the contact resistance can be reduced between the first conductive film 6 and the second conductive film 7 by forming the third conductive film 8. The third conductive film 8 can be formed by using a metal film such as a Ti film which is formed by sputtering or the like. [0069]

A resist mask 9 is formed over the second conductive film 7, and the second conductive film 7 is etched (FIGS. 3A and 3B). In the case of using wet etching, buffered fluoric acid (in which HF (hydrofluoric acid) and NH<sub>4</sub>F (ammonium fluoride) are mixed), for example, solution

with a ratio of HF:NH<sub>4</sub>F (weight ratio)=1: 100 to 1: 10 is used. [0070]

In the case of using dry etching, anisotropic plasma etching using CH<sub>4</sub> gas can be used. [0071]

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Under the second conductive film 7, the first conductive film 6 is formed. Thus, the first conductive film 6 serves as an etching stopper when the second conductive film 7 is etched. Consequently, source and drain electrodes can be formed without damaging the gate insulating film 5 in etching.

[0072] /

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A part of the first conductive film 6 may be etched when the second conductive film 7 is etched. However, attention is required to be paid so as not to totally etch the first conductive film 6 because the gate insulating film is damaged if the first conductive film 6 is totally etched. [0073]

Next, a source electrode 10 and a drain electrode 11 are formed by etching the first conductive film 6 using the resist mask 9 (FIG. 3C). In this invention, the first conductive film 6 is etched using an organic alkaline solution represented by TMAH (tetramethylammonium hydroxide), which is a developer for a photoresist.

[0074]

In the case of using an AlNi film for the first conductive film 6 and TMAH for etching solution, the etching ratio is approximately 300 nm/min at 30°C. On the other hand, the second conductive film 7 or the gate insulating film 5 to which the above-mentioned material is used is not etched with TMAH. Consequently, the source electrode 10 and the drain electrode 11 can be formed without damaging the gate insulating film 5. Further, the island-like shaped second conductive films 10b and 11b are not reduced in size. In this invention, the first conductive film 6 can be etched using a developer which is used when a resist mask is formed without using a special etching solution. Consequently, cost is reduced and efficiency is increased. [0075]

The resist mask 9 is removed after forming the source electrode 10 and the drain electrode 11.

30 [0076]

A ZnO film is formed as a semiconductor film 12 with a thickness of 20 to 200 nm by sputtering over the source electrode 10, the drain electrode 11, and the gate insulating film 5 (FIG. 3D). For example, the film can be formed by sputtering using a ZnO target with a flow ratio of oxygen/argon ranging from 30 to 20, at 200 to 300°C.

5 [0077]

[0078],

The semiconductor film 12 is etched by a photolithography method to form an island-like shaped semiconductor film 13 (FIG. 4A). A wet etching method using a buffered fluoric acid or anisotropic dry etching method using  $CH_4$  gas can be used.

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Zno is commonly used in the semiconductor film 12 and the second conductive films 10b and 11b, and it is difficult to obtain a sufficient etching selectivity. However, since the second conductive film 7 is required to be formed in a portion in contact with the semiconductor film 12, the second conductive film 7 may be etched in a portion out of contact with the semiconductor film 12, for example, a wire portion. In the above-mentioned etching method, the second conductive films 10b and 11b may be etched, but the first conductive films 10a and 11a are not etched. Consequently, the first conductive films 10a and 11a serve as wires, and the electrical connection with the semiconductor device is ensured.

[0079]

An insulating film 14 is formed with a thickness of 50 nm to 1 µm over a semiconductor film 13 by CVD or sputtering (FIG. 4B). An insulating film containing silicon as a main component can be formed as the insulating film 14. An organic resin film or the like may be stacked over the insulating film containing silicon. The insulating film 14 functions as a planarization film or a passivation film. Since Al is included in the source electrode 10 and the drain electrode 11, a hillock is occasionally generated when the insulating film 14 is formed at high temperature. Thus, it is preferably formed at low temperature, 500°C or less, preferably

350°C or less.

[0080]

Contact holes are formed in the insulating film 14, and conductive films in contact with the gate electrode 3, the source electrode 10, and the drain electrode 11 are provided if necessary.

30 [0081]

According to this invention, a semiconductor device can be formed without damaging the gate insulating film. An Al alloy film such as an AlNi film is used as the first conductive film, thereby achieving lower resistance of the wire.

[0082]

5 [Embodiment 4]

Here, a manufacturing method of a top gate semiconductor device is described, in which an Al film or an Al alloy film is formed as a first conductive film on a silicon oxide film or a silicon oxynitride film, and a ZnO film to which an n-type or p-type impurity is added is formed as a second conductive film, and then, the second conductive film is formed to have an island-like shape by a first etching, the first conductive film is formed to have an island-like shape by a second etching to form source and drain electrodes, a ZnO semiconductor film is formed, a gate insulating film is formed, and a gate electrode is formed. Note that it is needless to say that materials and methods for manufacture described in Embodiments 1 to 3 can be applied to those used for the present embodiment.

15 [0083]

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As shown in FIG. 5A, a silicon oxide (SiOx) film is formed as an insulating film 20 over a substrate 1 with a thickness of 10 to 200 nm by CVD or sputtering. The insulating film 20 prevents impurity or the like from diffusing from the substrate 1 side.

[0084]

 $20 \cdot$ 

A first conductive film 21 for the source and drain electrodes is formed with a thickness of 10 to 200 nm by sputtering or evaporation over the insulating film 20. An Al alloy film such as AlNi (aluminum nickel) film which is shown in Embodiment 1 can be used as the first conductive film 21. After forming the insulating film 20, the first conductive film 21 may be formed continuously without being exposed to the air.

25 [0085]

A second conductive film 22 is formed with a thickness of 10 to 200 nm by sputtering on the first conductive film 21 (FIG 5A). As the second conductive film 22, ZnO (zinc oxide) to which a p-type or n-type impurity such as B (boron), Al (aluminum), Ga (gallium), P (phosphourus), or As (arsenic) is added can be used. After forming the first conductive film 21, the second conductive film 22 may be formed continuously without being exposed to the air.

Therefore, the steps of forming the insulating film 20 to the second conductive film 22 may be carried out continuously without being exposed to the air.

[0086]

A metal film such as a Ti film may be formed as a third conductive film 23 with a 5 thickness of 10 to 200 nm by sputtering between the first conductive film 21 and the second conductive film 22 in order to reduce the contact resistance between the first conductive film 21 and the second conductive film 22 (FIG. 5B).

[0087]

A resist mask 24 is formed over the second conductive film 22, and the second conductive film 22 is etched (FIG. 5C). Wet etching using buffered fluoric acid or dry etching using CH<sub>4</sub> gas can be used as an etching method.

[0088]

The first conductive film 21 is formed under the second conductive film 22. Therefore, the first conductive film 21 serves as an etching stopper when the second conductive film 22 is etched. Thus, the source and drain electrodes can be formed without exposing the substrate 1 by etching the insulating film 20.

[0089]

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When the second conductive film 22 is etched, a part of the first conductive film 21 may be etched. Note that if all of the first conductive film 21 is etched, the insulating film 20 is etched and the substrate 1 is exposed, which would cause diffusion of impurity included in the substrate 1.

[0090]

The first conductive film 21 is etched to form the source electrode 25 and the drain electrode 26 (FIG 5D). Wet etching using a developer for a photoresist, TMAH is used as an etching method. Thus, the source electrode 25 and the drain electrode 26 can be formed without etching the insulating film 20. Further, the sizes of the island-like shaped second conductive films 25b and 26b are not reduced because the ZnO film is not etched by TMAH. Etching can be performed with a developer which is used in formation of a resist mask without a special etching solution for the first conductive film 21, which leads to cost reduction and

30 improvement in efficiency.

[0091]

After forming the source electrode 25 and the drain electrode 26, the resist mask 24 is removed.

[0092]

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A ZnO film is formed with a thickness of 20 to 200 nm by sputtering as the semiconductor film 27 over the source electrode 25, the drain electrode 26, and the insulating film 20 (FIG 6A).

[0093]

The semiconductor film 27 is etched by a photolithography method to make an island-like shaped semiconductor film 27. Wet etching using buffered fluoric acid or dry etching using CH<sub>4</sub> gas can be used as an etching method.

[0094]

ZnO is commonly used for the semiconductor film 27 and the second conductive films 25b and 26b, and it is difficult to obtain a high etching selectivity. However, the second conductive film may be etched in the portion out of contact with the semiconductor film 27, specially the wire portion, because the second conductive film 22 may be formed in the source and drain electrode portions, which is the same as Embodiment 3.

[0095]

A gate insulating film 28 is formed with a thickness of 10 to 200 nm by CVD or sputtering over the semiconductor film 27 (FIG. 6B). The semiconductor film 27 may be subjected to a high density plasma treatment shown in the above-mentioned Embodiment to form a gate insulating film. The surface of the semiconductor film 27 can be nitrided by the high density plasma treatment under a nitriding atmosphere such as an atmosphere containing nitrogen and a noble gas; an atmosphere containing nitrogen, hydrogen, and a noble gas; and an 25 atmosphere containing ammonia and a noble gas.

[0096]

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The gate insulating film 28 may be formed by using an insulating film containing silicon as a main component, for example, a silicon oxide film, a silicon oxynitride film, a silicon nitride film, and a silicon nitride oxide film. In addition, it may be a single layer or a layered film.

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[0097]

A gate electrode 29 is formed over the gate insulating film 28 (FIG 6B). The gate electrode 29 can be formed by using the material shown in the above-mentioned embodiment, and may be a single layer or a layered film including two or more layers. A known CVD sputtering, evaporation, or the like can be employed as a method for film formation. Dry etching or wet etching method can be used for processing the gate electrode 29 into an island-like shape with a photolithography method.

[0098]

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An insulating film 30 is formed with a thickness of 50 nm to 1 μm by CVD or sputtering over the gate electrode 29 and the gate insulating film 28 (FIG 6C). The insulating film 30 can be formed by using an insulating film containing silicon. An organic resin film or the like may be stacked over the insulating film containing silicon. The insulating film 30 functions as a planarization film or a passivation film. Since Al is included in the source electrode 25 and the drain electrode 26, a hillock is occasionally generated when the gate insulating film 28, the gate electrode 29, and the insulating film 30 are formed at a high temperature. Thus, they are preferably formed at a low temperature, at 500°C or less, preferably 350°C or less.

[0099]

As described above, this invention can prevent an impurity from diffusing due to an 20 exposure of the substrate 1. An Al alloy film such as an AlNi film is used as the first conductive film, thereby achieving lower resistance of a wire.

[0100]

[Embodiment 5]

Here, a description is made of a method of manufacturing a liquid crystal display device using a bottom gate semiconductor device which is shown in Embodiments 1 and 3 referring to FIGS. 8A and 8B and 9A and 9B. Note that it is needless to say that the top gate semiconductor device which is shown in Embodiments 2 and 4 can be applied. FIGS. 8A and 9A show cross-sectional views taken along line X-Y in FIG. 8B.

[0101]

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A gate wire 40 and an auxiliary capacitor wire 41 are formed over a glass substrate or a

plastic substrate 1. An AlNd film is formed by sputtering, and then, formed by known photolithography method and etching.

[0102]

A gate insulating film 42 formed by using a silicon oxide film or a silicon oxynitride 5 film is formed by CVD or sputtering.

[0103]

An AlNi film is formed as a first conductive film by sputtering over the gate insulating film 42. The first conductive film forms a source electrode 45a, a drain electrode 46a and a source wire 47 later.

10 [0104]

A ZnO (zinc oxide) film to which Al is added is formed as a second conductive film by sputtering over the first conductive film. The second conductive film forms a source electrode 45b, a drain electrode 46b, and a source wire 47 later.

[0105]

15 A resist mask is formed in a region which is to be a source electrode portion, a drain electrode portion, and a source wire portion, over the second conductive film (not shown in the figure). Then, the second conductive film is etched. Here, etching is performed using buffered fluoric acid and a solution of HF:NH<sub>4</sub>F=1:100 (weight ratio).

[0106]

Next, the first conductive film is etched using TMAH solution to form the source electrode 45a, the drain electrode 46a, and the source wire 47. After that, the resist mask is removed. Then, the source electrode 45, the drain electrode 46, and the source wire 47 can be formed without damaging the gate insulating film 42. In addition, since the ZnO film is not etched by TMAH, the size of the island-like shaped second conductive film is not reduced.
Further, since an AlNi film is used for the first conductive film, the resistance of the source wire

[0107]

can be reduced.

Next, a semiconductor film 48 is formed. A ZnO film is formed by sputtering, and then, the semiconductor film 48 is formed from the ZnO film by a photolithography method and etching. Wet etching using buffered fluoric acid is used as etching. The portion of the second

conductive film out of contact with the semiconductor film 48 may be partially removed here, because the first conductive film is formed in a portion to be a wire.

[0108]

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An insulating film 49 is formed by CVD, sputtering, coating, or the like over the semiconductor film 48. The insulating film 49 can be formed by using a layered film having an insulating film containing silicon, an organic resin film, or the like. The insulating film 49 may be a film which makes the unevenness of the surface planarized.

[0109]

A contact hole leading to the drain electrode 46 and a contact hole for the auxiliary capacitor are formed in the insulating film 49 using a photolithography method and an etching method.

[0110]

A transparent conductive film is formed by sputtering, and then, a pixel electrode 50 is formed using a photolithography method and etching. For example, ITO (Indium Tin Oxide), ITSO (Indium Tin Oxide containing silicon oxide), or IZO (Indium Zinc Oxide) may be used.

[0111]

In the case of a reflective liquid crystal display device, a light reflective metal material such as Ag (silver), Au (gold), Cu (copper), W (tungsten), or Al (aluminum) is formed instead of a transparent electrode.

20 [0112]

The portion where the pixel electrode 50 and the auxiliary capacitor wire 41 are overlapped forms an auxiliary capacitor 100 which is formed of the pixel electrode 50, the gate insulating film 42, and the auxiliary capacitor wire 41 (FIGS. 8A and 8B).

[0113]

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A corner of a bent portion or a portion where width changes may be smoothed and rounded in a wire and an electrode. A shape of a chamfered corner can be realized by using a photomask pattern manufactured using a pattern of photomask. This will have advantages described below. When dry etching using plasma is performed, generation of fine particles due to abnormal discharge can be suppressed by chamfering a projecting portion. Even though the fine particles are generated, the fine particles can be prevented from accumulating at the corner

at the time of cleaning, and the fine particles can be washed away by chamfering a concave portion. Thus, a problem of fine particles or dust in the manufacturing process can be solved and the yield can be improved.

[0114]

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An alignment film 51 is formed so as to cover the pixel electrode 50. The alignment film is formed by a droplet discharge method, printing, or the like. After forming the alignment film, rubbing is conducted.

[0115]

A color filter 55 is formed by using a colored layer and a light-shielding layer (black matrix), and a protective insulating film 54 is formed on an opposing substrate 56. A transparent electrode 57 is formed, and an alignment film 53 is formed on the protective insulating film 54 (FIG 9A). The alignment film is subjected to a rubbing process.

[0116]

Next, a closed pattern 75 of a sealant is formed by a droplet discharge method (FIG. 9B). A region surrounded by the sealant is filled with liquid crystal composition 52 (FIG. 9A).

[0117]

After dropping the liquid crystal composition 52 in the closed pattern 75, the opposing substrate 56 and a substrate 1 in which a semiconductor device is formed are attached to each other. When the liquid crystal composition 52 is filled, thefollowing alternative may be adopted: a seal pattern having an opening portion is provided on the substrate 1; the opposing substrate 56 and the substrate 1 are attached to each other; then, liquid crystal is injected using capillary action.

[0118]

As an alignment mode of the liquid crystal composition 52, TN mode in which the alignment of liquid crystal molecules is twisted at 90° from the side of light incidence to the side of light emission, FLC mode, IPS mode, or the like can be used. Note that an electrode pattern is different from one shown in FIG. 8B and is a comb-like shape in the case of the IPS mode.

[0119]

Polarizing plates are attached to both of the opposing substrate 56 and the substrate 1 on which the semiconductor device is formed. In addition, an optical film can be attached if

required.

[0120]

The distance between the opposing substrate 56 and the substrate 1 on which the semiconductor device is formed may be kept by dispersing spherical spacers or forming a columnar spacer formed of a resin, or by mixing fillers in the sealant. The aforementioned columnar spacer is formed of an organic resin material containing at least one of acrylic, polyimide, polyimide amide, or epoxy as a main component, or an inorganic material having one of silicon oxide, silicon nitride and silicon oxide containing nitrogen, or a layered film thereof. [0121].

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Then, an FPC (Flexible Printed Circuit) is attached to the the substrate 1 with an anisotropic conductive layer interposed therebetween using a known technique.

[0122]

A peripheral driver circuit may be formed over the substrate. A plane exemplary diagram is shown in FIG 9B.

15 [0123]

 $20^{\circ}$ 

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A gate wire driver circuit 62, a source wire driver circuit 63, and an active matrix portion 64 are formed over a substrate 61 formed of glass or the like. The gate wire driver circuit 62 is constituted from at least a shift register 62a and a buffer 62b. The source wire driver circuit 63 is constituted from at least a shift register 63a, a buffer 63b, and an analog switch 69 which samples video signals transmitted via video lines 68. A plurality of gate wires 72 extended from the gate wire driver circuit 62 is arranged in parallel with each other in the active matrix portion 64. A plurality of source wires 71 extended from the source wire driver circuit 63 is arranged orthogonally to the gate wires 72. In addition, an auxiliary capacitor wire 73 is arranged in parallel with the gate wires 72. In addition, a semiconductor device 65, a liquid crystal portion 66, and an auxiliary capacitor 67 are provided in a region surrounded by the gate wire 72, the source wires 71, and the auxiliary capacitor wire 73.

[0124]

The gate wire driver circuit 62, the source wire driver circuit 63, and the analog switch 69 are provided with a semiconductor device manufactured by the same manufacturing method as the semiconductor device 65 to have a similar structure.

[0125]

In the semiconductor device 65, a gate electrode is connected to the gate wire 72, and the source electrode is connected to the source wire 71. A liquid crystal portion 66 is formed by introducing a liquid crystal to be sealed between a pixel electrode connected to the drain electrode of the semiconductor device 65 and an opposing electrode over the opposing substrate. The auxiliary capacitor wire 73 is connected to an electrode having the same potential as the opposing electrode.

[0126]

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In the aforementioned liquid crystal display device, the gate insulating film is not etched and the characteristics do not become unstable, and thus, high reliability is realized. In the case of using a top gate semiconductor device, a glass substrate or a base film formed by using, a silicon oxide film or a silicon oxynitride film is not etched, so that impurity such as sodium is not diffused into a semiconductor film from the substrate and the characteristics are not deteriorated, and thus, high reliability can be realized.

15 [0127]

Al is used for a part of the source electrode and the drain electrode, thereby achieving lower resistance of a wire.

[0128]

[Embodiment 6]

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Here, a description is made of a method for manufacturing a light-emitting device with using the bottom gate semiconductor device shown in Embodiments 1 and 3 referring to FIGS. 10A and 10B and 11A and 11B. Note that it is needless to say that the semiconductor device of Embodiments 2 and 4 can be applied.

[0129]

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The semiconductor device is manufactured based on the description of the aforementioned embodiment, and formation to the stage shown in FIG. 10A is carried out. Note that the same parts as those of the above embodiments are denoted by the same reference numerals.

[0130]

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In the EL display device, the pixel electrode 50 functions as an anode or a cathode. As

the material for the pixel electrode 50, the following can be employed: a conductive metal such as aluminum (Al), silver (Ag), gold (Au), platinum (Pt), nickel (Ni), tungsten (W), chromium (Cr), molybdenum (Mo), iron (Fe), cobalt (Co), copper (Cu), palladium (Pd), lithium (Li), caesium (Cs), magnesium (Mg), calcium (Ca), strontium (Sr), or titanium (Ti); an alloy such as aluminum-silicon (Al-Si), aluminum-titanium (Al-Ti), 'or aluminum-silicon-copper (Al-Si-Cu); nitride of a metal material such as titanium nitride (TiN); a metal compound such as ITO, ITO

[0131]

containing silicon, or IZO.

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An electrode from which light emitted from an EL layer is extracted is only required to be formed by using a light-transmitting conductive film, and a very thin film of metal such as Al or Ag may be used as well as a metal compound such as ITO, ITO containing silicon, or IZO. [0132]

When light-emission is extracted from an electrode which is opposed to the pixel electrode 50, a highly reflective material (Al, Ag, or the like) can be used for the pixel electrode 50. In this embodiment, ITSO, which means ITO containing silicon, is used as the pixel electrode 50 (FIG 10A).

[0133]

Next, an insulating film formed by using an organic material or an inorganic material is formed so as to cover the insulating film 49 and the pixel electrode 50. Then, the insulating
film is processed to expose the pixel electrode 50 partially, thereby forming partition walls 81. As the material of the partition walls 81, a photosensitive organic material (such as acrylic or polyimide) is preferable. Alternatively, a non-photosensitive organic material or inorganic material may also be used. Further, the partition walls 81 may be used as a black matrix by coloring the partition walls 81 black in such a way that a black pigment or dye such as titanium
black or carbon nitride is dispersed into the material of the partition wall 81 with the use of a dispersant. It is desirable that the partitions wall 81 have a tapered shape and those end surfaces 81a toward the pixel electrode have curvatures changing continuously (FIG 10B).

[0134]

Next, a layer 82 including a light-emitting substance is formed, and an opposing electrode 83 which covers the layer 82 including a light-emitting substance is formed. Then, a

light-emitting element with the layer 82 including a light-emitting substance interposed between the pixel electrode 50 and the opposing electrode 83 can be manufactured, and light-emission can be obtained by applying a voltage between the opposing electrode 83 and the pixel electrode 50.

5 [0135]

As an electrode material used for forming the opposing electrode 83, a material similar to one which can be used for the pixel electrode can be used. In this embodiment, aluminum is used for a second electrode.

[0136]

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The layer 82 including a light-emitting substance is formed by evaporation, ink-jet, spin coating, dip coating, roll-to-roll method, sputtering, or the like.

[0137]

In the case of an organic electroluminescent display device, the layer 82 including a light-emitting substance may be a layered film of layers having functions of hole transportation, hole injection, electron transportation, electron injection, or light-emission, respectively, or a single layer of a light-emitting layer. As a layer including a light-emitting substance, a single layer or a layered film of an organic compound may be used.

[0138]

A hole injecting layer is provided between an anode and a hole transporting layer. As the hole injecting layer, a mixed layer of an organic compound and a metal oxide can be used. This prevents short circuit between the pixel electrode 50 and the opposing electrode 83 due to unevenness which is formed on the surface of the pixel electrode 50 or a foreign substance which is left on the surface of the electrode. The thickness of the mixed layer is preferably 60 nm or more, more preferably 120 nm or more. Since increase in thickness of a film does not cause increase in driving voltage, the thickness of the film can be selected such that the influence of the unevenness or foreign substance can be covered sufficiently. Thus, a dark spot is not generated, and driving voltage or power consumption is not increased in the light-emitting device manufactured by this invention.

[0139]

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An oxide or a nitride of transition metal is preferable as a metal oxide, concretely,

zirconium oxide, hafnium oxide, vanadium oxide, niobium oxide, tantalum oxide, chromium oxide, molybdenum oxide, tungsten oxide, titanium oxide, manganese oxide, and rhenium oxide. [0140]

·	As an organic compound, the following can be employed: an organic material having an		
5	arylamino group such as 4,4'-bis[N-(1-napthyl)-N-phenylamino]	biphenyl (NPB);	
	4,4'-bis[N-(3-methylphenyl]-N-phenylamino]biphenyl	(TPD),	
·	4,4',4''-tris(N,N-diphenylamino)triphenylamine	(TDATA),	
	4,4',4''-tris[N-(3-methylphenyl)-N-phenylamino]triphenylamine	(MTDATA),	
	4,4'-bis{N-[4-(N,N-di-m-tolylamino)phenyl]-N-phenylamino}biphenyl	(DNTPD),	

10 1,3,5-tris[N,N-di(m-tolyl)amino] benzene (m-MTDAB), and 4,4',4"-tris(N-carbazolyl) triphenylamine (TCTA); phthalocyanine (H<sub>2</sub>Pc); copper phthalocyanine (CuPc); vanadyl phthalocyanine (VOPc); or the like.

[0141]

The hole transporting layer is provided between the anode and a light-emitting layer, or between the hole injecting layer and the light-emitting layer when the hole injecting layer is provided. The hole transporting layer is formed by using a layer which has an excellent property of transporting a hole, for example, a layer formed by using a compound of aromatic amine (that is, having a benzene ring-nitrogen bond) such as NPB, TPD, TDATA, MTDATA, and BSPB. The substances mentioned here have the hole mobility of 1 × 10<sup>-6</sup> to 10 cm<sup>2</sup>/Vs mainly. Note that a substance having higher transporting property of holes than electrons may be used as well as the materials. Note that the hole transporting layer may be formed by not only a single layer but also a layered film in which two or more layers formed from the above mentioned substances are stacked.

[0142]

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The light-emitting layer is provided between the anode and the cathode, or between the hole transporting layer and the electron transporting layer when the hole transporting layer and the electron transporting layer are provided. There is no particular limitation on the light-emitting layer; however, a layer serving as the light-emitting layer has two modes roughly. One is a host-guest type layer which includes a dispersed light-emitting substance in a layer formed of a material (host material) having a larger energy gap than an energy gap of a

light-emitting substance (dopant material) which becomes a luminescent center, while the other is a layer in which a light-emitting layer is made of a light-emitting substance only. The former is preferable, because concentration quenching hardly occurs. As the light-emitting substance employed: following can be be a luminescent center, the to (DCJT); 4-dicyanomethylene-2-methyl-6-(1,1,7,7-tetramethyljulolidyl-9-enyl)-4H-pyran 4-dicyanomethylene-2-t-butyl-6-(1,1,7,7-tetramethyljulolidine-9-enyl)-4H-pyran; periflanthene; 4-bis(10-methoxy-1,1,7,7-tetramethyljulolidine-9-enyl)benzene; 2,5-dicyano-1, 545T; tris coumarin 6; coumarin (DMQd); N.N'-dimethylquinacridone (DPA); 9,10-diphenylanthracene 9,9'-bianthryl;  $(Alg_3)$ : (8-quinolinolato)aluminum 9,10-bis(2-naphthyl)anthracene (DNA); 2,5,8,11-tetra-t-butylperylene (TBP); PtOEP; Ir(ppy)3; Btp2Ir(acac); FIrpic; or the like. As the base material to be a host material in the case of forming the layer in which the light-emitting substance is diffused, the following can be used: an anthracene derivative such as 9,10-di(2-naphtyl)-2-tert-butylanthracene (t-BuDNA); a carbazole derivative such as 4,4'-bis(N-carbazolyl)biphenyl (CBP); or a metal complex such as (Alq<sub>3</sub>), tris(4-methyl-8-quinolinolato)aluminum  $(Almq_3);$ tris(8-quinolinolato)aluminum (BeBq<sub>2</sub>); bis(10-hydroxybenzo[h]-quinolinato)beryllium bis(2-methyl-8-quinolinolato)-4-phenylphenolato-aluminum (BAlg); bis[2-(2-hydroxyphenyl)pyridinato]zinc (Znpp<sub>2</sub>); or bis[2-(2-hydroxyphenyl)benzoxazolate]zinc (ZnBOX). As the material which can constitute the light-emitting layer only with a light-emitting substance, tris(8-quinolinolato)aluminum (Alq3), 9,10-bis(2-naphtyl)anthracene (DNA), bis(2-methyl-8-quinolinolato)-4-phenylphenolato-aluminum (BAlq), or the like can be used.

[0143]

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An electron transporting layer is provided between the light-emitting layer and the cathode, or between the light-emitting layer and an electron injecting layer when the electron injecting layer is provided. The electron transporting layer is a layer having an excellent electron transporting property, and for example, a layer formed using a metal complex having a quinoline skeleton or a benzoquinoline skeleton such as tris(8-quinolinolato)aluminum (Alq<sub>3</sub>), tris(5-methyl-8-quinolinolato)aluminum (Almq<sub>3</sub>), bis(10-hydroxybenzo[h]-quinolinato)beryllium (BeBq<sub>2</sub>), and bis(2-methyl-8-quinolinolato)-4-phenylphenolato-aluminum (BAlq). In addition,

having an oxazole ligand or a thiazole ligand metal complex such as а bis[2-(2-hydroxyphenyl)-benzoxazolato]zinc  $(Zn(BOX)_2),$  $bis[2-(2-hydroxyphenyl)-benzothiazolato]zinc (Zn(BTZ)_2), or the like can be used. In addition$ to the metal complexes, 2-(4-biphenylyl)-5-(4-tert-butylphenyl)-1,3,4-oxadiazole (PBD); (OXD-7); 1.3-bis[5-(p-tert-butylphenyl)-1,3,4-oxadiazole-2-yl]benzene (TAZ); 3-(4-tert-butylphenyl)-4-phenyl-5-(4-biphenylyl)-1,2,4-triazole 3-(4-tert-butylphenyl)-4-(4-ethylphenyl)-5-(4-biphenylyl)-1,2,4-triazole (p-EtTAZ); bathophenanthroline (BPhen); bathocuproine (BCP); or the like can be used. These substances mentioned here mainly have the electron mobility of  $1 \times 10^{-6}$  to  $10 \text{ cm}^2/\text{Vs}$ . Note that other substance may be used for the electron transporting layer so long as it has a higher electron transporting property than a hole transporting property. Further, the electron transporting layer may be formed by not only a single layer but also a layered film in which two or more layers made from the above mentioned substances are stacked.

[0144]

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The electron injecting layer is provided between the cathode and the electron 15 transporting layer. As the electron injecting layer, a compound of alkali metal or alkaline earth metal such as lithium fluoride (LiF), cesium fluoride (CsF), or calcium fluoride (CaF<sub>2</sub>) can be employed. In addition to that, a layer formed by using an electron transporting substance which contains alkali metal or alkaline earth metal, for example, Alq3 containing magnesium (Mg) or  $20 \cdot$ the like can be used.

[0145]

In the case of an inorganic electroluminescent display device, one in which a fluorescent substance particles are diffused in dispersing agent for the layer 82 including a light-emitting substance can be used.

25 [0146]

> A fluorescent substance in which a donor impurity such as Cl (chlorine), I (iodine), or Al (aluminum) is added with Cu (copper) in ZnS can be used.

[0147]

As the dispersing agent, the following can be employed: a polymer having a relatively high dielectric constant such as cyanoethyl cellulose based resin, polyethylene based resin,

polypropylene based resin, polystyrene based resin, silicone resin, epoxy resin, vinylidene fluoride resin, or the like. The dielectric constant can be adjusted by mixing the resin and minute particles having high dielectric constant such as  $BaTiO_3$  (barium titanate) or  $SrTiO_3$  (strontium titanate). As a diffusing means, an ultrasonic diffusing machine or the like can be used.

[0148]

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A dielectric layer may be provided between the layer 82 including a light-emitting substance and one of the electrodes. For the dielectric layer, a highly dielectric and insulating material which has a high dielectric breakdown voltage is employed. One is selected from a metal oxide or nitride, for example, TiO<sub>2</sub>, BaTiO<sub>3</sub>, SrTiO<sub>3</sub>, PbTiO<sub>3</sub>, KNbO<sub>3</sub>, PbNbO<sub>3</sub>, Ta<sub>2</sub>O<sub>3</sub>, BaTa<sub>2</sub>O<sub>6</sub>, LiTaO<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub>, AlON, ZnS, or the like. Those may be disposed as a uniform film or a film having a particle structure.

[0149]

In the case of an inorganic electroluminescent display device, a double-insulating structure in which a light-emitting layer is interposed between insulating layers may be employed. The light-emitting layer can be formed by using a II-VI compound such as Mn (manganese) or ZnO (zinc sulfide) containing a rare earth element, and the insulating layer can be formed by using oxide or nitride such as Si<sub>3</sub>N<sub>4</sub>, SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, or TiO<sub>2</sub>.

[0150]

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A silicon oxide film containing nitrogen is formed as a passivation film over the opposing electrode 83 by plasma CVD (not shown). In the case of using a silicon oxide film containing nitrogen, the following can be used: a silicon oxynitride film formed by using SiH<sub>4</sub>,  $N_2O$ , and  $NH_3$  by plasma CVD; a silicon oxynitride film formed by using SiH<sub>4</sub>, and  $N_2O$ ; or a silicon oxynitride film formed by using a gas in which SiH<sub>4</sub> and  $N_2O$  is diluted with Ar.

25 [0151]

A silicon oxide nitride hydride film manufactured from SiH<sub>4</sub>, N<sub>2</sub>O, and H<sub>2</sub> may be employed as a passivation film. Note that a passivation film is not limited to the aforementioned substance. Another insulating film containing silicon as a main component can be also used. In addition, a layered film structure may be employed as well as a single layer structure. Further, a multilayer film of a carbon nitride film and a silicon nitride film or a

multilayer film of a styrene polymer can be used. A silicon nitride film or a diamond-like carbon film may be formed.

[0152]

Then, a display portion is sealed to protect a light-emitting element from a material such
as water which promotes deterioration. In the case of using an opposing substrate for sealing, the opposing substrate is attached by using an insulating sealant so as to expose an external connection portion. A space between the opposing substrate and an element substrate may be filled with an inert gas such as dry nitrogen, or the opposing substrate may be attached by applying a sealant to the pixel portion entirely. It is preferable to use an ultraviolet curing resin
or the like as the sealant. A drying agent or particles for keeping the gap between the substrates constant may be mixed in the sealant. Then, the light-emitting device is completed by attaching a flexible wire board to the external connection portion.

[0153]

One example of a structure of the light-emitting device manufactured as described above is shown referring to FIGS. 11A and 11B. Note that portions having the same functions are sometimes denoted by the same reference numerals even though they have different shapes, and the explanations are occasionally omitted.

[0154]

FIG. 11A shows a structure in which the pixel electrode 50 is formed using a light transmitting conductive film, and light generated in the layer 82 including a light-emitting substance is emitted toward a substrate 1. Further, reference numeral 86 denotes an opposing substrate. This opposing substrate is firmly attached to the substrate 1 using a sealant or the like after forming a light emitting element. A space between the opposing substrate 86 and the element is filled with resin 85 having a light-transmitting property or the like to seal the light emitting element. Accordingly, the light emitting element can be prevented from being deteriorated by moisture or the like. Preferably, the resin 85 has a hygroscopic property. More preferably, a drying agent 84 with a high light-transmitting property is dispersed in the resin 85 to prevent the adverse influence of moisture.

[0155]

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FIG. 11B shows a structure in which both the pixel electrode 50 and an opposing

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substrate 83 are formed by using conductive films having light-transmitting property. Accordingly, light can be emitted toward both the substrate 1 and the opposing substrate 86 as shown by an arrow of dotted lines. In this structure, by providing polarizing plates 88 outside of the substrate 1 and the opposing substrate 86, a screen can be prevented from being transparent, thereby improving visibility. Protection films 87 are preferably provided outside of the polarizing plates 88.

[0156]

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The light-emitting device of this invention having a display function may employ either an analog video signal or a digital video signal. If a digital video signal is used, the video signal may use either a voltage or a current.

[0157]

When the light-emitting element emits light, a video signal to be inputted to a pixel may have either a constant voltage or a constant current. When a video signal has a constant voltage, a constant voltage is applied to a light-emitting element or a constant current flows through the light-emitting element.

[0158]

Also, when a video signal has a constant current, a constant voltage is applied to a light-emitting element or a constant current flows through the light-emitting element. A driving method where a constant voltage is applied to a light-emitting element is called a constant voltage drive. Meanwhile, a driving method where a constant current flows through a light-emitting element is called a constant current drive. In the constant current drive, constant current flows regardless of change in resistance of a light emitting element. The light emitting display device according to this invention and the driving method thereof may use any one of the aforementioned methods.

25 [0159]

In the light-emitting device, a gate insulating film is not etched, and the characteristics of the light-emitting element is not unstable so that its reliability is high. In the case of using a top gate semiconductor device, since a glass substrate or a base film formed by using, a silicon oxide film or a silicon oxynitride film is not etched, impurity such as sodium which deteriorates characteristics is not diffused from the substrate into the semiconductor film so that high

reliability is obtained.

[0160]

Al is used for a part of the source electrode and the drain electrode, thereby achieving lower resistance of a wire.

[0161] 5

> A pixel circuit and a protective circuit included in a panel and module, and operation thereof are shown referring to FIGS. 12A to 12F and 13 or the like. FIGS. 10A and 10B and 11A and 11B each show a cross-sectional view of a driving TFT 1403 of the semiconductor device. A switching TFT 1401, a current control TFT 1404, and an eraser TFT 1406 may be manufactured at the same time of the driving TFT 1403, and may have the same structure as the driving TFT 1403.

[0162]

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A pixel shown in FIG. 12A includes a signal line 1410 and power source lines 1411 and 1412 arranged in a column direction and a scan line 1414 arranged in a row direction. The pixel further includes a switching TFT 1401, the driving TFT 1403, the current control TFT 1404, an auxiliary capacitor 1402; and a light-emitting element 1405.

[0163]

A pixel shown in FIG. 12C has the same structure as one in FIG. 12A except for that the gate electrode of the driving TFT 1403 is connected to the power source line 1412 provided in the row direction. In other words, the pixels shown in FIGS. 12A and 12C have the same  $20 \cdot$ equivalent circuit diagram. However, a power source line formed in the case of arranging the power source line 1412 in the column direction (FIG. 12A) is formed by using a conductive layer in a different layer from a layer in which a power source line is formed by using a conductive layer in the case of arranging the power source line 1412 in the row direction (FIG. 12C). Here, attention is paid to a wire connected to the gate electrode of the driving TFT 1403, and the 25structure is shown separately in FIGS. 12A and 12C in order to show that these wires are manufactured with different layers.

[0164]

As a feature of the pixels shown in FIGS. 12A and 12C, the driving TFT 1403 and the current control TFT 1404 are connected serially within the pixel, and it is preferable to set the 30

channel length L (1403) and the channel width W (1403) of the driving TFT 1403, and the channel length L (1404) and the channel width W (1404) of the current control TFT 1404 so as to satisfy L (1403)/W (1403):L (1404)/W (1404)=5 to 6000:1.

[0165]

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The driving TFT 1403 operates in a saturation region and serves to control the current value of the current flowing into the light-emitting element 1405. The current control TFT 1404 operates in a linear region and serves to control the current supplied to the light-emitting element 1405. Both the TFTs preferably have the same conductivity type in the manufacturing process, and the TFTs are n-channel type TFTs in this embodiment. The driving TFT 1403 may be either an enhancement mode TFT or a depletion mode TFT. Since the current control TFT 1404 operates in the linear region in the light-emitting device having the above structure, slight fluctuation of Vgs of the current control TFT 1404 does not affect the current value of the light-emitting element 1405. That is to say, the current value of the light-emitting element 1405 can be determined by the driving TFT 1403 operating in the saturation region. With the above structure, the variation of the luminance of the light-emitting element due to the variation of the characteristics of the TFT can be remedied, thereby providing a light-emitting device having improved image quality.

[0166]

In each pixel shown in FIGS. 12A to 12D, the switching TFT 1401 is to control the input of the video signal to the pixel, and the video signal is inputted into the pixel when the switching TFT 1401 is turned on. Then, the voltage of the video signal is held in the auxiliary capacitor 1402. Although FIGS. 12A and 12C show the structure in which the auxiliary capacitor 1402 is provided, this invention is not limited thereto. When the gate capacitance and the like can serve as a capacitor holding the video signal, the auxiliary capacitor 1402 is not necessarily provided.

[0167]

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A pixel shown in FIG 12B has the same pixel structure as that in FIG 12A except for that a TFT 1406 and a scan line 1415 are added. In the same way, a pixel shown in FIG 12D has the same pixel structure as that in FIG 12C expect for that the TFT 1406 and the scan line 1415 are added.

[0168]

ON and OFF of the TFT 1406 is controlled by the additionally provided scan line 1415. When the TFT 1406 is turned on, the charge held in the auxiliary capacitor 1402 is discharged, thereby turning off the current control TFT 1404. In other words, by the provision of the TFT 1406, a state can be produced compellingly in which the current does not flow into the light-emitting element 1405. For this reason, the TFT 1406 can be referred to as an eraser TFT. Consequently, in the structures shown in FIGS. 12B and 12D, a lighting period can be started at the same time as or just after the start of a writing period before the writing of the signal into all the pixels; therefore the duty ratio can be increased.

10 [0169]

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In a pixel shown in FIG 12E, the signal line 1410 and the power source line 1411 are arranged in the column direction, and the scan line 1414 is arranged in the row direction. Further, the pixel includes the switching TFT 1401, the driving TFT 1403, the auxiliary capacitor 1402, and the light-emitting element 1405. A pixel shown in FIG 12F has the same pixel structure as that shown in FIG 12E except for that the TFT 1406 and the scan line 1415 are added. In the structure shown in FIG 12F, the duty ratio can also be increased by the provision of the TFT 1406.

[0170]

Such an active matrix light-emitting device can be driven at low voltage when the pixel 20 density increases, because the TFTs are provided in respective pixels. Therefore, it is considered that the active matrix light-emitting device is advantageous.

[0171]

Although this embodiment described the active matrix light-emitting device in which the respective TFTs are provided in respective pixels, a passive matrix light-emitting device can also be formed. Since the TFTs are not provided in respective pixels in the passive matrix light-emitting device, high aperture ratio can be obtained. In the case of a light-emitting device in which light is emitted to both sides of the light emission stack, the transmissivity of the passive matrix light-emitting device is increased.

[0172]

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Subsequently, a case will be described in which a diode is provided as a protective

circuit on the scan line and the signal line with the use of an equivalent circuit shown in FIG. 12E.

[0173]

In FIG. 13, the switching TFT 1401, the driving TFT 1403, the auxiliary capacitor 1402, and the light-emitting element 1405 are provided in a pixel area 1500. Diodes 1561 and 1562 are provided on the signal line 1410. In the similar way to the switching TFT 1401 and the driving TFT 1403, the diodes 1561 and 1562 are manufactured based on the above embodiments, and have a gate electrode, a semiconductor layer, a source electrode, a drain electrode, and the like. The diodes 1561 and 1562 are operated as diodes by connecting the gate electrode with the drain electrode or the source electrode.

[0174]

Common potential lines 1554 and 1555 connecting to the diodes 1561 and 1562 are formed by using the same layer as the gate electrode. Therefore, in order to connect the common potential lines 1554 and 1555 with the source electrode or the drain electrode of the diode, it is necessary to form a contact hole in the gate insulating layer.

[0175]

Diodes 1563 and 1564 provided on the scan line 1414 have a similar structure. Further, common potential lines 1565 and 1566 has the similar structure.

[0176]

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In this manner, protection diodes can be simultaneously formed in an input stage according to this invention. Further, the positions of the protection diodes are not limited to this, and they can be provided between a driver circuit and a pixel.

[0177]

A top view of a pixel portion in the case of using an equivalent circuit shown in FIG 12E is described in FIG 14A. In addition, the same equivalent circuit as that in FIG 12E is shown in FIG 14B. Each semiconductor device shown in FIGS. 10A, 10B, 11A, and 11B is corresponds to each driving TFT 1403. FIGS. 10A, 10B, 11A and 11B show cross-sectional views taken along line X-Y in FIG 14A and 14B. The power source line 1411, the signal line 1410, and the source electrode and the drain electrode of the switching TFT 1401 are formed by using the first conductive film, and the source electrode and the drain electrode of the driving TFT 1403 are formed by using the second conductive film. [0178]

The switching TFT 1401 is manufactured by the same method as the driving TFT 1403. The drain electrode of the switching TFT 1401 and a gate electrode 40 of the driving TFT 1403 are connected electrically with each other through a contact hole formed in an insulating film in the same layer as the gate insulating film 42.

[0179]

The auxiliary capacitor 1402 is formed by using a portion where the gate electrode of the driving TFT 1403 is extended, the power source line 1411, and an insulating film in the same layer as the gate insulating film 42.

[0180]

A light-emitting region 1420 is formed in an opening portion of a partition wall 81. The partition wall 81 is formed in the vicinity of the light-emitting region 1420, although it is not shown. The corner portion of the light-emitting region 1420 may be rounded. By making the corner portion of the opening portion of the partition wall 81 rounded, the corner portion of the light-emitting region 1420 can be rounded. When dry etching using plasma is performed to process the partition wall 81, generation of fine particles due to abnormal discharge can be suppressed by making the corner portion rounded.

[0181]

This embodiment can be combined with a suitable structure of the above embodiments as appropriate.

[0182]

[Embodiment 7]

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As an electronic device having semiconductor devices according to this invention mounted with modules shown as examples in the above embodiments, a camera such as a video camera or a digital camera; a goggle type display (a head mounted display); a navigation system; an audio reproducing device (e.g., a car audio component); a computer; a game machine; a portable information terminal (e.g., a mobile computer, a cellular phone, a portable game machine, an electronic book, or the like); an image reproducing device equipped with a recording medium (specifically, a device which can reproduce the content of a recording medium

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such as a digital versatile disc (DVD) and which has a display for displaying an image stored therein); and the like can be given. Specific examples of these electronic appliances are shown in FIGS. 15A to 15E, and FIG. 16.

[0183]

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FIG. 15A shows a monitor for a television receiver or a personal computer, or the like, including a housing 3001, a display area 3003, speakers 3004, and the like. An active matrix display device is provided in the display area 3003. Each pixel of the display area 3003 includes a semiconductor device manufactured in accordance with this invention. By using the semiconductor device of this invention with this structure, a television with less characteristic deterioration can be obtained.

[0184]

FIG. 15B shows a cellular phone, including a main body 3101, a housing 3102, a display area 3103, an audio input portion 3104, an audio output portion 3105, operation keys 3106, an antenna 3108, and the like. An active matrix display device is provided in the display area 3103. Each pixel of the display area 3103 includes a semiconductor device manufactured in accordance with this invention. By using the semiconductor device of this invention with this structure, a cellular phone with less characteristic deterioration can be obtained. [0185]

FIG. 15C shows a computer, including a main body 3201, a housing 3202, a display area 3203, a keyboard 3204, an external connection port 3205, a pointing mouse 3206, and the like. An active matrix display device is provided in the display area 3203. Each pixel of the display area 3203 includes a semiconductor device manufactured in accordance with this invention. By using the semiconductor device of this invention with this structure, a computer with less characteristic deterioration can be obtained.

25 [0186]

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FIG. 15D shows a mobile computer, including a main body 3301, a display area 3302, a switch 3303, operation keys 3304, an infrared port 3305, and the like. An active matrix display device is provided in the display area 3302. Each pixel of the display area 3302 includes a semiconductor device manufactured in accordance with this invention. By using the semiconductor device of this invention with this structure, a mobile computer with less

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characteristic deterioration can be obtained.

[0187]

FIG. 15E shows a portable game machine, including a housing 3401, a display area 3402, speakers 3403, operation keys 3404, a recording medium insert portion 3405, and the like. An active matrix display device is provided in the display area 3402. Each pixel of the display

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An active matrix display device is provided in the display area 3402. Each pixel of the display area 3402 includes a semiconductor device manufactured in accordance with this invention. By using the semiconductor device of this invention with this structure, a portable game machine with less characteristic deterioration can be obtained.

[0188]

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FIG 16 shows a flexible display, including a main body 3110, a pixel area 3111, a driver IC 3112, a receiving device 3113, a film buttery 3114, and the like. The receiving device can receive a signal from an infrared communication port 3107 of the above described cellular phone. An active matrix display device is provided in the pixel area 3111. Each pixel of the pixel area 3111 includes a semiconductor device manufactured in accordance with this invention. By using the semiconductor device of this invention with this structure, a flexible display with less characteristic deterioration can be obtained.

[0189]

As set forth above, the application range of this invention is extremely wide, and this invention can be applied to electronic devices in all fields.

20 [0190]

The present application is based on Japanese Patent Application serial No. 2005-329806 filed on November 15, 2005 in Japanese Patent Office, the entire contents of which are hereby incorporated by reference.

#### CLAIMS

1. A semiconductor device comprising:

a gate electrode;

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a gate insulating film over the gate electrode;

a first film comprising metal material over the gate insulating film;

a second film comprising a transparent semiconductor material and an n-type or p-type impurity over the first film; and

. a third film comprising the transparent semiconductor material over the second film and 10 the gate insulating film.

2. A semiconductor device according to claim 1, wherein the gate insulating film comprises silicon oxide or silicon oxynitride.

15 3. A semiconductor device according to claim 1, wherein the metal material is aluminum or aluminum alloy.

4. A semiconductor device according to claim 1, wherein the transparent semiconductor material is zinc oxide.

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5. A semiconductor device comprising:

an insulating film over a substrate;

a first film comprising a metal material over the insulating film;

a second film comprising a transparent semiconductor material and an n-type or p-type impurity over the metal film;

a third film comprising the transparent semiconductor material over the insulating film

and the second film;

a gate insulating film over the third film; and

a gate electrode over the gate insulating film.



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6. A semiconductor device according to claim 5, wherein the insulating film comprises silicon oxide or silicon oxynitride.

7. A semiconductor device according to claim 5, wherein the metal material is aluminum5 or aluminum alloy.

8. A semiconductor device according to claim 5, wherein the transparent semiconductor material is zinc oxide.

9. A manufacturing method of a semiconductor device, comprising:

forming an insulating film over a substrate;

forming a first film comprising a metal material over the insulating film;

forming a second film comprising a transparent semiconductor material and an n-type or p-type impurity over the first film,

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etching the second film, and

etching the first film.

10. A manufacturing method of a semiconductor device according to Claim 9, further comprising forming a third film comprising the transparent semiconductor material over the second film and the insulating film after the step of etching the first film.

11. A manufacturing method of a semiconductor device according to claim 9, wherein the insulating film comprises silicon oxide or silicon oxynitride.

25 12. A manufacturing method of a semiconductor device according to claim 9, wherein the metal material is aluminum or aluminum alloy.

13. A manufacturing method of a semiconductor device according to claim 9, wherein the transparent semiconductor material is zinc oxide.

14. A manufacturing method of the semiconductor device according to claim 9, wherein the substrate is a glass substrate.

15. A manufacturing method of the semiconductor device according to claim 10,
wherein the transparent semiconductor material is zinc oxide.

16. A manufacturing method of the semiconductor device according to Claim 9, wherein the etching of the second film is wet etching.

10 17. A manufacturing method of the semiconductor device according to Claim 9, wherein the etching of the second film is wet etching using a buffered fluoric acid.

18. A manufacturing method of the semiconductor device according to Claim 9, wherein the etching of the second film is performed by dry etching.

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19. A manufacturing method of the semiconductor device according to Claim 9, wherein the etching of the second film is performed by dry etching using CH<sub>4</sub> gas.

20. A manufacturing method of the semiconductor device according to Claim 9, wherein20 the etching of the first film is performed by wet etching.

21. A manufacturing method of the semiconductor device according to Claim 9, wherein the etching of the first film is performed by wet etching using a developer for a photoresist.

25 22. A manufacturing method of the semiconductor device according to Claim 9, wherein the etching of the first film is performed by wet etching using an organic alkali solution.

23. A manufacturing method of the semiconductor device according to Claim 9, wherein the etching of the first film is performed by wet etching using TMAH (tetramethylammonium 30 hydroxide).

24. A manufacturing method of a semiconductor device, comprising:

forming a gate electrode over a substrate;

forming a gate insulating film over the gate electrode;

forming a first film comprising a metal material over the gate insulating film;

forming a second film comprising a transparent semiconductor material and an n-type or p-type impurity over second film,

etching the second film, and

etching the first film.

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25. A manufacturing method of the semiconductor device according to Claim 24, further comprising forming a third film comprising the transparent semiconductor material over the second film and the gate insulating film after the step of etching the first film.

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26. A manufacturing method of the semiconductor device according to claim 24, wherein the gate insulating film comprises silicon oxide or silicon oxynitride.

27. A manufacturing method of the semiconductor device according to claim 24, wherein the metal material is aluminum or aluminum alloy.

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28. A manufacturing method of the semiconductor device according to claim 24, wherein the transparent semiconductor material is zinc oxide.

29. A manufacturing method of the semiconductor device according to claim 24,wherein the substrate is a glass substrate.

30. A manufacturing method of the semiconductor device according to claim 25, wherein the transparent semiconductor material is zinc oxide.

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31. A manufacturing method of the semiconductor device according to Claim 25, further

comprising:

forming a gate insulating film over the third film, and forming a gate electrode over the gate insulating film.

5 32. A manufacturing method of the semiconductor device according to Claim 24, wherein the etching of the second film is performed by wet etching.

33. A manufacturing method of the semiconductor device according to Claim 24, wherein the etching of the second film is performed by wet etching using a buffered fluoric acid.

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34. A manufacturing method of the semiconductor device according to Claim 24, wherein the etching of the second film is performed by dry etching using CH<sub>4</sub> gas.

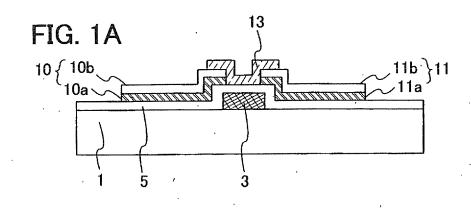
35. A manufacturing method of the semiconductor device according to Claim 24,wherein the etching of the first film is performed by wet etching.

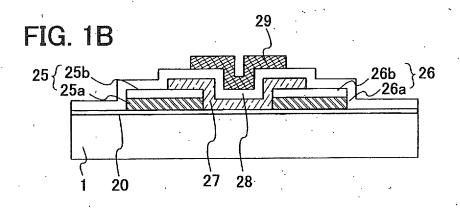
36. A manufacturing method of the semiconductor device according to Claim 24, wherein the etching of the first film is performed by wet etching using a developer for a photoresist.

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37. A manufacturing method of the semiconductor device according to Claim 24, wherein the etching of the first film is performed by wet etching using an organic alkali solution.

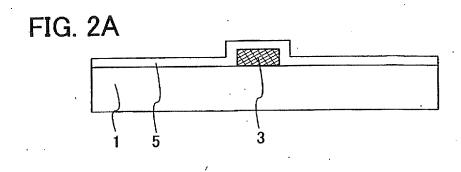
38. A manufacturing method of the semiconductor device according to Claim 24,
25 wherein the etching of the first film is performed by wet etching using TMAH (tetramethylammonium hydroxide).

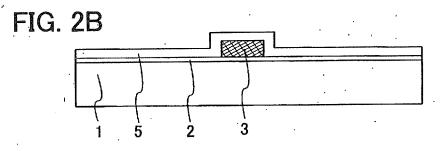


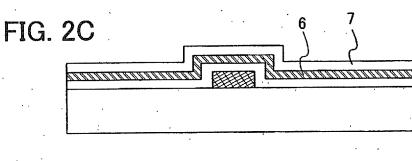


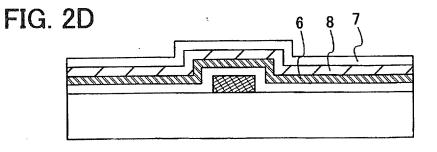
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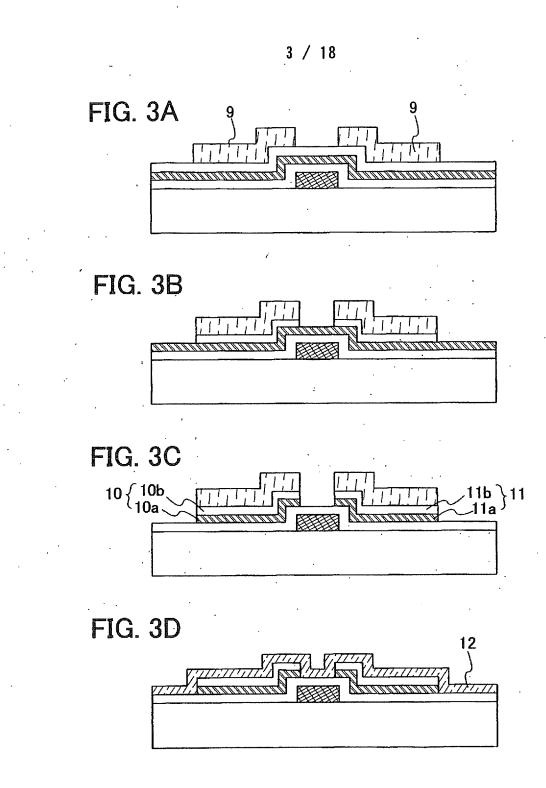






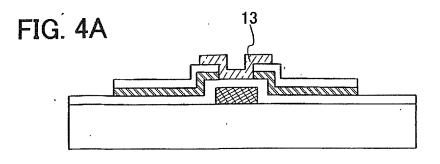


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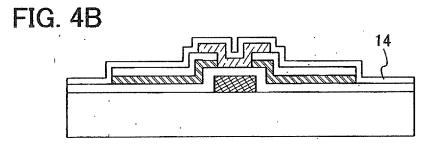
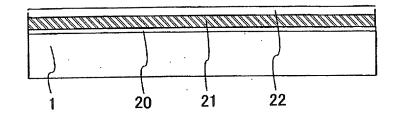
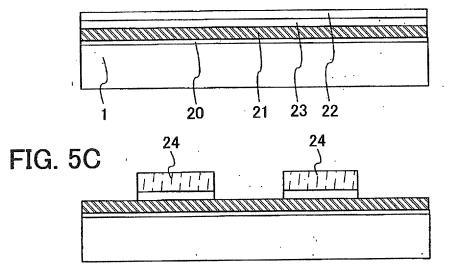


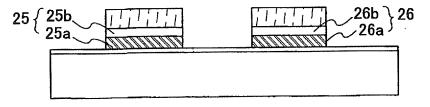
FIG. 5A



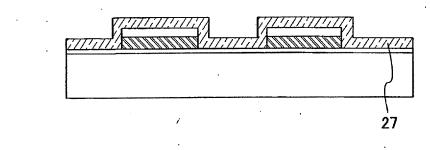
## FIG. 5B

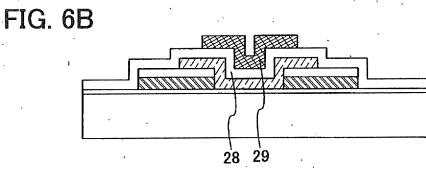


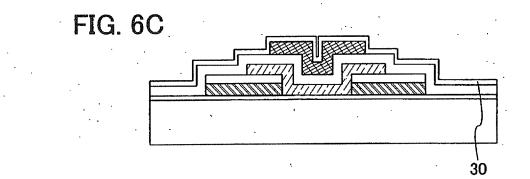
## FIG. 5D

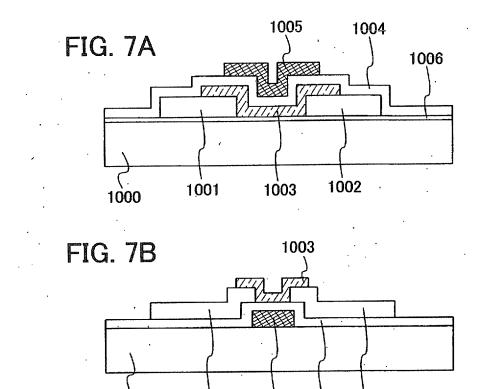


# FIG. 6A





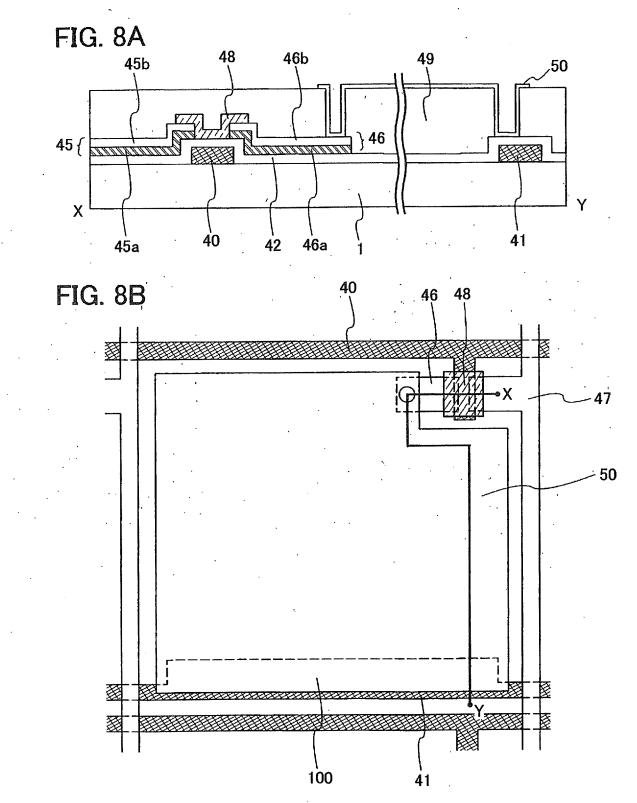


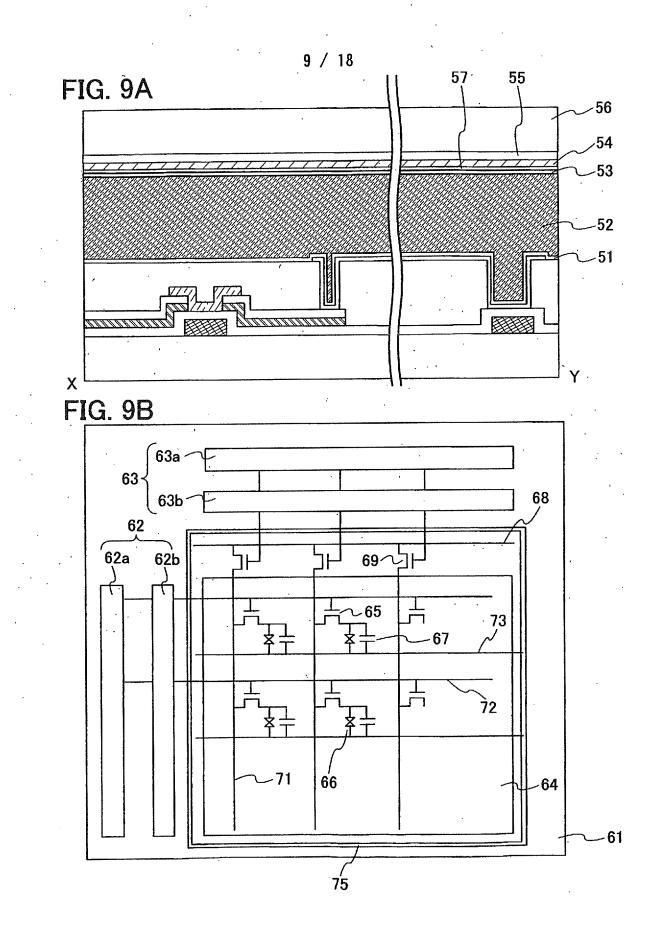


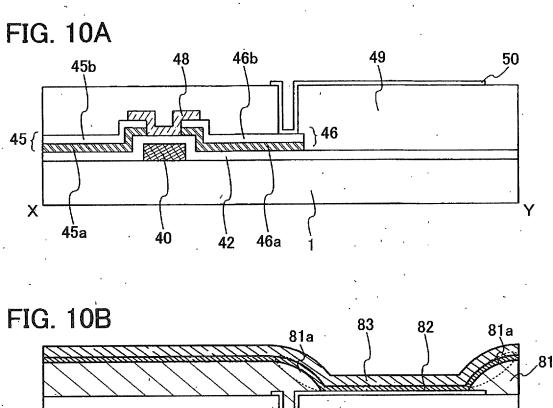
1000 1001 1005 1004 1002

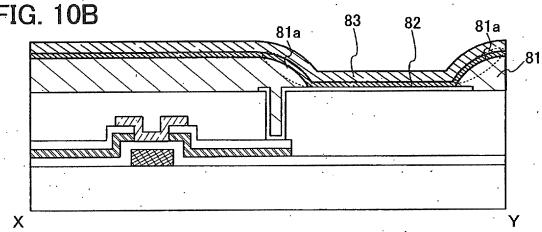
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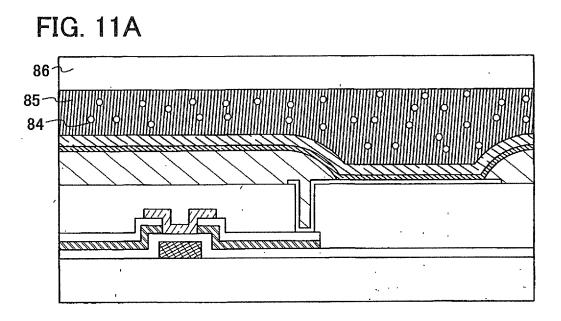


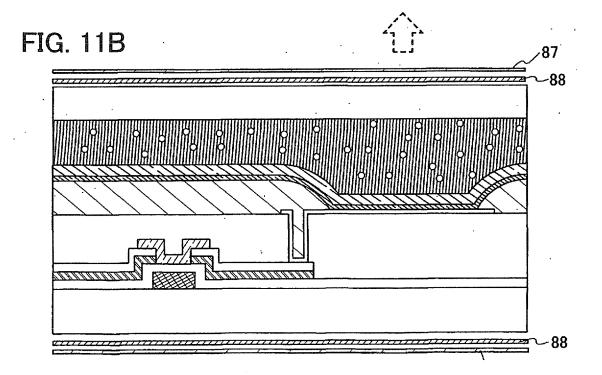


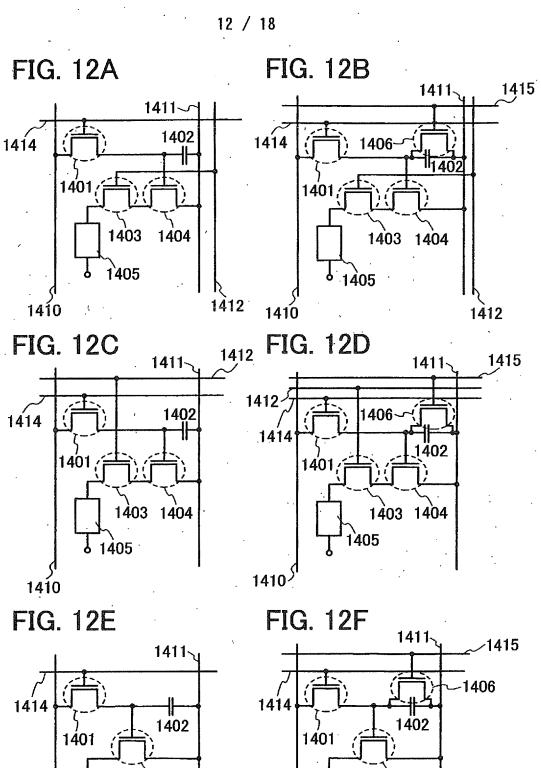


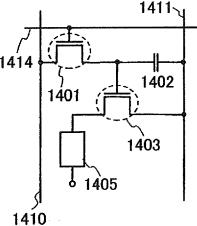












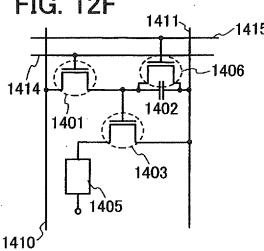
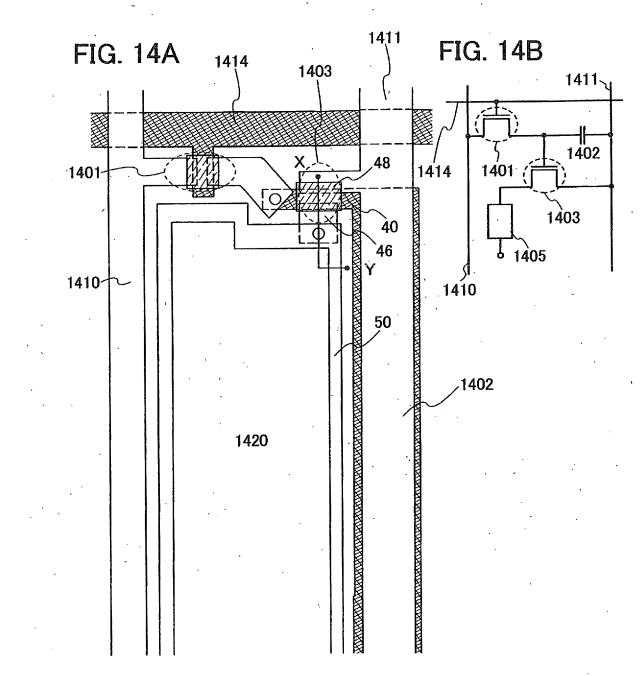
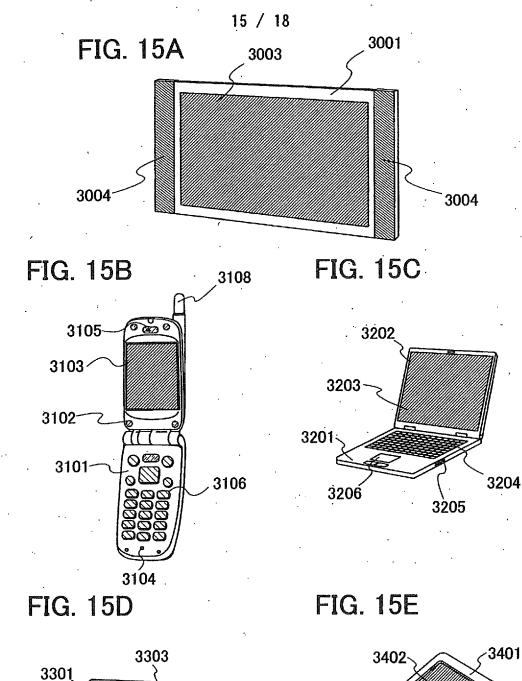
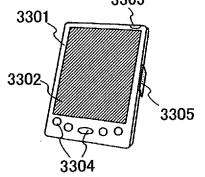
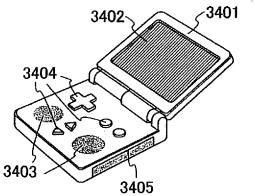


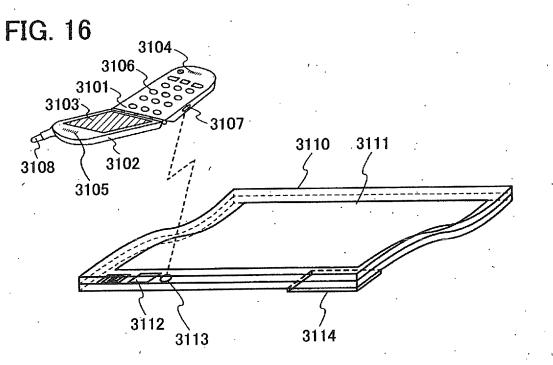
FIG. 13 1554 15,61 1562 1555 **~1565** 1566~ /1564 1402 ĨĻ **`1563** 1414 1401 1500 403 1405 1410











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### EXPLANATION OF REFERENCE

1: substrate, 2: insulating film, 3: gate electrode, 5: gate insulating film, 6: first conductive film, 7: second conductive film, 8: third conductive film, 9: resist mask, 10: source electrode, 10a: source electrode, first conductive film, 10b: source electrode, second conductive film, 11: drain electrode, 11a: drain electrode, first conductive film, 11b: drain electrode, second conductive film, 12: semiconductor film, 13: island-like shaped semiconductor film, 14: insulating film, 20: insulating film, 21: first conductive film, 22: second conductive film, 23: third conductive film, 24: resist mask, 25: source electrode, 25a: source electrode, first conductive film, 25b: source electrode, second conductive film, 26: drain electrode, 26a: drain electrode, first conductive film, 26b: drain electrode, second conductive film, 27: semiconductor film, 28: gate insulating film, 29: gate electrode, 30: insulting film, 40: gate electrode, gate wire, 41: auxiliary capacitor wire, 42: gate insulating film, 45: source electrode, 45a: source electrode, 45b: source electrode, 46a: drain electrode, 46b: drain electrode, 47: source wire, 48: semiconductor film, 49: insulating film, 50: pixel electrode, 51: alignment wire, 52: liquid crystal composition,

- 15 film, 49: insulating film, 50: pixel electrode, 51: alignment wire, 52: liquid crystal composition, 53: alignment film, 54: protective insulating film, 55: color filter, 56: opposing substrate, 61: substrate, 62: gate wire driver circuit, 62a: shift register, 62b: buffer, 63: source wire driver circuit, 63a: shift register, 63b: buffer, 64: active matrix portion, 65: semiconductor device, 66: liquid crystal portion, 67: auxiliary capacitor, 68: video line, 69: analog switch, 71: source wire,
- 20 72: gate wire, 73: auxiliary capacitor wire, 75: sealant, 81: partition wall, 81a: end surface, 82: layer including light-emitting substance, 83: opposing electrode, 84: drying agent, 85: resin, 86: opposing substrate, 87: protective film, 88: polarizing plate, 100: auxiliary capacitor, 1000: substrate, 1001: source electrode, 1002: drain electrode, 1003: semiconductor film, 1004: gate insulating film, 1005: gate electrode, 1006: base film, 1401: switching TFT, 1402: auxiliary
- 25 capacitor, 1403: driving TFT, 1404: current control TFT, 1405: light-emitting element, 1406: TFT, 1410: signal line, 1411: power source line, 1412: power source line, 1414: scan line, 1415: scan line, 1420: light-emitting region, 1500: pixel portion, 1554: common potential line, 1555: common potential line, 1561: diode, 1562: diode, 1563: diode, 1564: diode, 1565: common potential line, 1566: common potential line, 3001: housing, 3003: display area, 3004: speaker,

3101: main body, 3102: housing, 3102: housing, 3103: display area, 3104: audio input portion, 3105: audio output portion, 3106: operation keys, 3107: infrared communication port, 3108: antenna, 3110: main body, 3111: pixel portion, 3112: driver IC, 3113: receiving device, 3114: film buttery, 3201: main body, 3202: housing, 3203: display area, 3204: keyboard, 3205: external

5 connection port, 3206: pointing mouse, 3301: main body, 3302: display area, 3303: switch, 3304: operation keys, 3305: infrared port, 3401: housing, 3402: display area, 3403: speakers, 3404: operation keys, 3405: recording medium insert portion

### INTERNATIONALSEARCHREPORT

	SIFICATION OF SUBJECT MATTER			
Int.Cl. H01L21/336(2006.01)i, G02F1/1368(2006.01)i, H01L21/28(2006.01)i, H01L29/786(2006.01)i, H01L51/50(2006.01)i				
According to International Patent Classification (IPC) or to both national classification and IPC				
	OS SEARCHED	1		
	cumentation searched (classification system followed by c L21/336, G02F1/1368, H01L21/28, H			
Int.CI. HUI	LL21/330, G02F1/1300, H01L21/20, I	1011129/700, 11011131/30		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Published examined utility model applications of Japan 1922-1996 Published unexamined utility model applications of Japan 1971-2007 Registered utility model specifications of Japan 1996-2007 Published registered utility model applications of Japan 1994-2007				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.	
Х	JP 02-226729 A (SEMICONDUCTO CO.,LTD.) 1990.09.10, Fig.4		1-2	
Further documents are listed in the continuation of Box C.				
* Special categories of cited documents: "T" later document published after the international filing date or				
conside	ent defining the general state of the art which is not ered to be of particular relevance application or patent but published on or after the inter-	understand the principle or theory und	lerlying the invention	
nationa "L" docum	I filing date ent which may throw doubts on priority claim(s) or which to establish the publication date of another citation or other	be considered novel or cannot b inventive step when the document i	e considered to involve an s taken alone	
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than the priority date claimed "&" document member of the same patent family				
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International application No. PCT/JP2006/323042

The "special technical feature" of claims 1-38 relates to "a gate electrode; a gate insulating film over the gate electrode; a first film comprising metal material over the gate insulating film; a second film comprising a transparent semiconductor material and an n-type or p-type impurity over the first film; and a third film comprising the transparent semiconductor material over the second film and the gate insulating film". However, this feature is disclosed in a prior art document JP 02-226729 A (SEMICONDUCTOR ENERGY LABORATORY CO., LTD. ), 1990.09.10., Fig.4. So the feature cannot be a special technical feature. And there exists no special technical feature linking the inventions of claims 1-38 as to form a single general inventive concept among the inventions.

Therefore there is no technical relationship which is considered as "special technical feature" (PCT rule 13.2) among the claims 1-38. So this application contains the following groups of invention which are not so linked as to form a single inventive concept under PCT rule 13.2.

Group 1:Claims 1-2 Group 2:Claim 3 Group 3:Claim 4 Group 4:Claims 5-6 Group 5:Claim 7 Group 6:Claim 8 Group 7:Claims 9-10,15 Group 8:Claim 11 Group 9:Claim 12 Group 10:Claim 13 Group 11:Claim 14 Group 12:Claim 16 Group 13:Claim 17 Group 14:Claim 18 Group 15:Claim 19 Group 16:Claim 20 Group 17:Claim 21 Group 18:Claim 22 Group 19:Claim 23 Group 20:Claims 24-25,30 Group 21:Claim 26 Group 22:Claim 27 Group 23:Claim 28 Group 24:Claim 29 Group 25:Claim 31 Group 26:Claim 32 Group 27:Claim 33 Group 28:Claim 34 Group 29:Claim 35 Group 30:Claim 36 Group 31:Claim 37 Group 32:Claim 38

### INTERNATIONALSEARCHREPORT

International application No. PCT/JP2006/323042

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)				
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
1. Claims Nos.: because they relate to subject matter not require	red to be searched by this Authority, namely:			
<ol> <li>Claims Nos.: because they relate to parts of the internation extent that no meaningful international search</li> </ol>	al application that do not comply with the prescribed requirements to such an can be carried out, specifically:			
3. Claims Nos.: because they are dependent claims and are no	t drafted in accordance with the second and third sentences of Rule 6.4(a).			
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)				
This International Searching Authority found multiple Refer to extra sheet.				
claims.	nely paid by the applicant, this international search report covers all searchable			
2. As all searchable claims could be searched w additional fees.	ithout effort justifying additional fees, this Authority did not invite payment of			
3. As only some of the required additional search only those claims for which fees were paid, s	h fees were timely paid by the applicant, this international search report covers pecifically claims Nos.:			
<ul> <li>4. In No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:</li> <li>1-2</li> </ul>				
payment of a prote	rch fees were accompanied by the applicant's protest and, where applicable, the est fee. rch fees were accompanied by the applicant's protest but the applicable protest <i>r</i> ithin the time limit specified in the invitation. panied the payment of additional search fees.			

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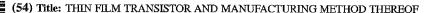
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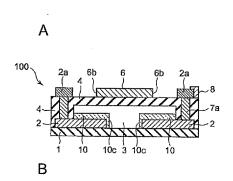
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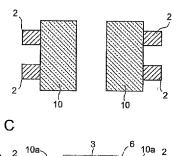
3992-2, Nunoshida, Kochi-shi, Kochi 781-5101 (JP). FU-RUTA, Hiroshi [JP/JP]; c/o Kochi Industrial Promotion Center, 3992-2, Nunoshida, Kochi-shi, Kochi 781-5101 (JP). MATSUDA, Tokiyoshi [JP/JP]; c/o Kochi Industrial Promotion Center, 3992-2, Nunoshida, Kochi-shi, Kochi 781-5101 (JP). HIRAMATSU, Takahiro [JP/JP]; c/o Kochi Industrial Promotion Center, 3992-2, Nunoshida, Kochi-shi, Kochi 781-5101 (JP). ISHII, Hiromitsu [JP/JP]; c/o Casio Computer Co., Ltd., Hamura R & D Center, 2-1, Sakae-cho 3-chome, Hamura-shi, Tokyo 205-8555 (JP). HOKARI, Hitoshi [JP/JP]; c/o Casio Computer Co., Ltd., Hamura R & D Center, 2-1, Sakae-cho 3-chome, Hamura-shi, Tokyo 205-8555 (JP). YOSHIDA. Motohiko [JP/JP]; c/o Casio Computer Co., Ltd. Hamura R & D Center, 2-1, Sakae-cho 3-chome, Hamura-shi, Tokyo 205-8555 (JP).

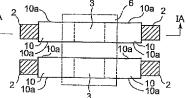
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[Continued on next page]









(57) Abstract: A thin film transistor includes a substrate (1, 11), and a pair of source/drain electrodes (2, 14) (i.e., a source electrode and a drain electrode) formed on the substrate and defining a gap therebetween. A pair of low resistance conductive thin films (10, 20) are provided such that each coats at least a part of one of the source/drain electrodes. The low resistance conductive thin films define a gap therebetween. An oxide semiconductor thin film layer (3, 15) is continuously formed on upper surfaces of the pair of low resistance conductive thin films and extends along the gap defined between the low resistance conductive thin film so as to function as a channel. Side surfaces of the oxide semiconductor thin film layer and corresponding side surfaces of the low resistance conductive thin films coincide with each other in a channel width direction of the channel. AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

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### DESCRIPTION

# THIN FILM TRANSISTOR AND MANUFACTURING METHOD THEREOF

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is based upon and claims the benefit of priority from the prior Japanese Patent Application No. 2006-26320, filed on February 2, 2006, the entire contents of which are incorporated herein by reference.

### BACKGROUND OF THE INVENTION

### <u>Field of the Invention</u>

The present invention relates to a thin film transistor and the manufacturing method thereof, and particularly to a thin film transistor including an oxide semiconductor thin film layer and a manufacturing method thereof.

### Description of the Background Art

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It has been known for many years that oxides such as zinc oxide or magnesium zinc oxide have excellent characteristics as a semiconductor (an active layer). In recent years, active research and development of a semiconductor thin film layer using these compounds have been made in order to apply such a semiconductor thin film layer to electronic devices such as a thin film transistor (hereinafter abbreviated as TFT), a light emitting device, and a transparent conductive film.

An oxide TFT including a semiconductor thin film layer made of zinc oxide or magnesium zinc oxide has greater electron mobility and better TFT

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characteristics than an amorphous silicon TFT including a semiconductor thin film layer of amorphous silicon (a-Si: H), which has been mainly used for liquid crystal displays. Another advantage of the oxide TFTs is that high electron mobility can be expected because a crystalline thin film is

5 formed even at a temperature as low as a room temperature. These advantages have been encouraging the development of the oxide TFTs.

TFTs using an oxide semiconductor thin film layer, such as a bottom gate type TFT (See, for example, Japanese Patent Publication No. 2005-033172 and No. 2004-349583) and a top gate type TFT, have been

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The bottom gate type TFTs include, for example, a lamination of a gate electrode disposed over a substrate, a gate insulating film, source/drain electrodes, and an oxide semiconductor thin film layer, which are laminated in this order.

On the other hand, the top gate type TFTs, for example, include a lamination of source/drain electrodes disposed over a substrate, an oxide semiconductor thin film layer, a gate insulating film, and a gate electrode, which are laminated in this order.

In both of the bottom and top gate type TFTs, sufficient contact is 20 required between each of the source/drain electrodes and the oxide semiconductor thin film layer primarily comprising zinc oxide in order to ensure high current drive power.

In a conventional method, a source/drain region having lower resistance than the oxide semiconductor thin film layer is provided to 25 improve the contact property between the source/drain electrodes and the oxide semiconductor thin film layer.

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Fig. 9A shows a TFT (500) as one example of the TFTs provided according to the conventional method. The TFT (500) has a pair of low resistance conductive thin films (110) sandwiched between the oxide semiconductor thin film layer (103) and a pair of source/drain electrodes (102) placed on a substrate (101). Since the low resistance conductive thin

- 5 (102) placed on a substrate (101). Since the low resistance conductive thin films (110) have a lower resistance than the oxide semiconductor thin film layer (103), they improve the contact between each of the source/drain electrodes (102) and the oxide semiconductor thin film layer (103). The oxide semiconductor thin film layer (103) is disposed on the low resistance
- 10 conductive thin films (110) and on an area of the substrate (101) exposed between the pair of the low resistance conductive thin films (110), while the outer periphery (110a) (See Fig. 9B (described below) for a plan view) of the low resistance conductive thin films (110) remains uncovered. All the exposed surfaces of the oxide semiconductor thin film layer (103) are
- 15 covered with a gate insulating film (104). A gate electrode (106) is disposed over the gate insulating film (104). Fig. 9B is a plan view of an array of the TFTs (500) shown in Fig. 9A. In Fig. 9B, two of the TFTs (500) are aligned in parallel. Fig. 9A is a cross sectional view along line IXA-IXA of Fig. 9B. For clarity, Fig. 9B omits gate insulating film 104 shown in Fig. 9A.

In manufacturing the TFT (500), first a pair of source/drain electrodes (102) is patterned and then the low resistance conductive thin film (110) is formed. The low resistance conductive thin film (110) is separated into a plurality of low resistance conductive thin films (110) that are spaced apart from each other, using a photo-lithography technique.

25 Accordingly, an outer periphery (110a) (cross-hatched in Fig. 9B) of the low resistance conductive thin films (110) protrudes from the outer profile of the

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oxide semiconductor thin film layer (103). As shown in Fig. 9B, at least a distance D (distance D = width A + gap B + width A) is needed between the oxide semiconductor thin film layers of the TFTs. Narrower distance D is preferable in order to achieve higher integration of TFTs. The width A is

5 defined by the mask-alignment accuracy of an aligner, in other words, by the alignment accuracy in the photo-lithography of the low resistance conductive thin film (110) and the oxide semiconductor thin film layer (103). The higher the alignment accuracy is, the smaller the width A becomes. On the other hand, the gap B is defined by the minimum resolution during

the patterning of the low resistance conductive thin film (110). The higher the minimum resolution is, the smaller the gap B becomes. When a conventional aligner for an LCD is used, the width A determined by the alignment accuracy, is about 1.5 µm, and the gap B determined by the minimum resolution is about 4.0 µm. Therefore in the conventional TFT
500, the distance D between the oxide semiconductor thin film layer 103 is

approximately 7.0  $\mu$ m (1.5  $\mu$ m + 4.0  $\mu$ m +1.5  $\mu$ m) (see Fig. 9B).

On the other hand, in manufacturing a TFT that includes no low resistance conductive thin film, an oxide semiconductor thin film layer is laid over the source/drain electrodes of a plurality of TFTs, and then the oxide semiconductor thin film layer is patterned. Therefore, the width A required in TFT (500) according to the mask-alignment accuracy is not necessary. Thus the width A is eliminated from the distance D so that the distance D includes only the gap B.

As mentioned above, for TFTs including no low resistance conductive thin film, the minimum distance D between the adjacent oxide semiconductor thin film layers is equal to the gap B, whereas, for TFTs (e.g.

TFT (500)) including the low resistance conductive thin film, the minimum distance D between the oxide semiconductor thin film layers is equal to the sum of width A, gap B, and width A (width A + gap B + width A). In other words, in the TFTs (e.g. TFT (500)) including the low resistance conductive

5 thin film for improving the contact properties, the low resistance conductive thin film (110) forces the gap between the oxide semiconductor thin film layers to be wider, which results in difficulty in achieving a high integration of the TFTs.

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#### SUMMARY OF THE INVENTION

Considering the above-mentioned problems, one object of the present invention is to decrease the distance D between oxide semiconductor thin film layers by eliminating the width A so as to increase a degree of integration of the thin film transistors.

15 According to one aspect of the presnet invention, a thin film transistor includes a substrate, and a pair of source/drain electrodes (i.e., a source electrode and a drain electrode) formed on the substrate and defining a gap therebetween. A pair of low resistance conductive thin films are provided such that each coats at least a part of one of the source/drain

20 electrodes. The low resistance conductive thin films define a gap therebetween. An oxide semiconductor thin film layer is continuously formed on upper surfaces of the par of low resistance conductive thin films and extends along the gap defined between the low resistance conductive thin films so as to function as a channel. Side surfaces of the oxide

25 semiconductor thin film layer and corresponding side surfaces of the low resistance conductive thin films coincide with each other in a channel width

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direction of the channel.

The manufacturing method of the thin film transistor according to one aspect of the present invention includes forming a pair of source/drain electrodes on a substrate; forming low resistance conductive thin films,

- 5 which are made of an oxide, on the source/drain electrodes; and forming an oxide semiconductor thin film layer, which functions as a channel, along the gap defined between the low resistance conductive thin films and on the upper surfaces of the low resistance conductive thin films. The low resistance conductive thin films and the oxide semiconductor thin film layer
- 10 are etched so that side surfaces of the oxide semiconductor thin film layer and corresponding side surfaces of the low resistance conductive thin films coincide with each other in a channel width direction of the channel.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Further features and advantages of the present invention will become apparent from the following detailed description, taken in combination with the accompanying drawings.

Figs. 1A to 1C show the thin film transistor of the first embodiment of the present invention. Fig. 1A is a cross-sectional view of the thin film transistor of the present invention along line IA-IA in Fig. 1C; Fig. 1B is a plan view of the layout of the thin film transistor according to the first embodiment after forming source/drain electrodes and low resistance conductive thin films; and Fig. 1C is a plan view of the layout of the thin film transistor according to the first embodiment.

Figs. 2A to 2D are cross-sectional views showing steps of the manufacturing method of the thin film transistor according to the first

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embodiment of the present invention. Fig. 2A is a cross-sectional view of the thin film transistor according to the first embodiment after forming the source/drain electrodes and the low resistance conductive thin films on the substrate; Fig. 2B is a cross-sectional view of the thin film transistor

- 5 according to the first embodiment after coating the oxide semiconductor thin film layer; Fig. 2C is a cross-sectional view of the thin film transistor according to the first embodiment after performing etching; Fig. 2D is a cross-sectional view of the thin film transistor according to the first embodiment after laminating the gate insulating film and a gate electrode;
- 10 and Fig. 2E is a cross-sectional view after laminating contact parts, external source/drain electrodes, and a display electrode.

Fig. 3 is a cross-sectional view of the thin film transistor according to the second embodiment of the present invention.

- Figs. 4A to 4D are cross-sectional views showing steps of the manufacturing method of the thin film transistor according to the second embodiment of the present invention. Fig. 4A is a cross-sectional view of the thin film transistor according to the second embodiment after forming the source/drain electrodes, the low resistance conductive thin films and the oxide semiconductor thin film layer on the substrate; Fig. 4B is a
- 20 cross-sectional view of the thin film transistor according to the second embodiment after forming the first insulating film; Fig. 4C is a cross-sectional view of the thin film transistor according to the second embodiment after performing etching; and Fig. 4D is a cross-sectional view of the thin film transistor according to the second embodiment after
- 25 laminating the second gate insulating film and the gate electrode. Fig. 5 is a cross-sectional view of the thin film transistor according to the third

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embodiment of the present invention.

Figs. 6A to 6D are cross-sectional views showing steps of the manufacturing method of the thin film transistor according to the third embodiment of the present invention. Fig. 6A is a cross-sectional view of the thin film transistor according to the third embodiment after forming the gate electrode and the gate insulating film on the substrate; Fig. 6B is a cross-sectional view of the thin film transistor according to the third embodiment after forming the source/drain electrodes, the low resistance conductive thin films, and the oxide semiconductor thin film layer; Fig. 6C is a cross-sectional view of the thin film transistor according to the third embodiment after performing etching; and Fig. 6D is a cross-sectional view

after forming an overcoat insulating film.

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Fig. 7 is a cross-sectional view of the thin film transistor according to the fourth embodiment of the present invention.

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Figs. 8A to 8D are cross-sectional views showing steps of the manufacturing method of the thin film transistor according to the fourth embodiment of the present invention. Fig. 8A is a cross-sectional view of the thin film transistor according to the fourth embodiment after forming the gate electrode and the gate insulating film on the substrate; Fig. 8B is a cross-sectional view of the thin film transistor according to the fourth embodiment after forming the source/drain electrodes, the low resistance conductive thin films, the oxide semiconductor thin film layer, and the first overcoat insulating film; Fig. 8C is a cross-sectional view of the thin film transistor according to the fourth embodiment after performing etching; and

Fig. 8D is a cross-sectional view of the thin film transistor according to the fourth embodiment after forming the second overcoat insulating film.

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Fig. 9 shows one example of a conventional thin-film transistor. Fig. A is a cross-sectional viewalong line IXA-IXA in Fig. 9B; and Fig. 9B is a plan view of the layout of the conventional thin film transistor of Fig. 9A.

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### DETAILED DESCRIPTION

Figs. 1A-1C are views of the TFT (100) according to the first embodiment of the present invention. Fig. 1A is a cross-sectional view along line IA-IA of Fig. 1C. Fig. 1B shows the TFTs (100) at a stage of manufacturing after formation of the source/drain electrodes and low resistance conductive thin films and before coating the TFTs (100) with an oxide semiconductor thin film layer. In Fig. 1B a plurality (two in the

figure) of the TFTs (100) are aligned in parallel for integration. Fig. 1C is a plan view for describing the subsequent processes. Hereinafter, the first embodiment of the present invention will be described referring mainly to
Fig. 1A, as well as Fig. 1B and Fig. 1C.

"Channel length direction" as used herein refers to the direction perpendicular to the channel width. The channel length direction is the right-to-left direction in Fig. 1A. "Channel width direction" as used herein refers to the up-and-down direction in Fig. 1C.

A thin film transistor (100) according to the first embodiment of the present invention includes a substrate (1), a pair of source/drain electrodes (2), a pair of low resistance conductive thin films (10), an oxide semiconductor thin film layer (3), a gate insulating film (4), a gate electrode (6), contact parts (7a), a pair of external source/drain electrodes (2a), and a display electrode (8), which are laminated in the order shown in Fig. 1A.

The thin film transistor (100), as shown in Fig. 1A, is formed on a

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substrate (1) made of glass (non-alkali glass primarily comprising  $SiO_2$  and  $Al_2O_3$ ). The material for the substrate (1) is not limited to glass, and other insulating materials, such as plastics covered with an insulator and metal foils covered with an insulator, are applicable to form the substrate 1

5 according to the present invention.

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The pair of the source/drain electrodes (2) is laminated on the upper surface of the substrate (1). The source/drain electrodes (2) include a source electrode and a drain electrode that are spaced apart from each other.

The source/drain electrodes (2) are made of metal. Conductive oxides, such as indium tin oxide (ITO) and n+ZnO, which are generally used as source/drain electrodes, are preferably not used in the source/drain electrode (2) of the present invention because a conductive oxide such as ITO or n+ZnO would be etched when the oxide semiconductor thin film layer and low resistance conductive thin films are etched.

The length in the channel width direction (the up-and-down direction in Fig. 1C) of the source/drain electrodes (2) is preferably equal to or smaller than the length of the oxide semiconductor thin film layer (3) in the channel width direction. If the length of the source/drain electrodes (2) in the channel width direction is larger than the length of the oxide semiconductor thin film layer (3) in the channel width direction, it prevents high integration of the thin film transistors when a plurality of TFTs are integrated as shown in Fig. 1C.

The source/drain electrodes (2) may be formed as a monolayer of Ti, Cr, Ta, Mo, W, Al, Cu, and Ni or as a lamination of two or more of these materials, or as an alloy containing one or more of Ti, Cr, Ta, Mo, W, Al, Cu,

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Si and Ni. Specific examples of the alloy include, for example, TiW, TaW, MoW, MoSi, AlCu, AlSi, and NiSi.

The thickness of the source/drain electrodes (2) may be, for example, though not limited to, 30 to 150 nm (in the hight direction of the layer of the source/drain electrodes 2 corresponding to the up-and-down direction of Fig. 1).

The low resistance conductive thin films (10) are formed on the pair of source/drain electrodes (2) in the manner shown in Fig. 1B. The low resistance conductive thin films (10) may be, for example, a thin film

- 10 primarily comprising indium tin oxide (ITO); or zinc oxide doped with gallium (Ga) or aluminum (Al); or the like. If the oxide semiconductor thin film layer 3 primarily comprises zinc oxide the low resistance conductive thin films 10 may be made of intrinsic zinc oxide (ZnO) with no impurity introduced. When the low resistance conductive thin films 10 are made of
- 15 intrinsic zinc oxide with no impurities introduced, the zinc oxide in the low resistance conductive thin films (10) must have larger crystal grain size than the zinc oxide in the oxide semiconductor thin film layer (3). The crystal grain size of the zinc oxide may be adjusted by applying high frequency bias during film formation or by changing film forming conditions
- 20 during the film formation.

The resistance of the low resistance conductive thin films (10) is higher than the resistance of the source/drain electrodes (2) and lower than that of the oxide semiconductor thin film layer (3). Therefore, the contact properties between the source/drain electrodes (2) and the oxide

25 semiconductor thin film layer (3) are improved by using the low resistance conductive thin films (10).

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The oxide semiconductor thin film layer (3), which is formed by an oxide semiconductor, is arranged so that a channel is formed on each of the low resistance conductive thin films (10) and between the pair of the source/drain electrodes (2). The oxide semiconductor thin film layer 3 may be an oxide semiconductor primarily comprising zinc oxide. As used herein, an oxide semiconductor primarily comprising zinc oxide includes intrinsic zinc oxide; zinc oxide doped with a p-type dopant such as Li, Na, N, C; zinc oxide doped with an n-type dopant such as B, Al, Ga, In; and zinc oxide doped with Mg, Be. The oxide semiconductor thin film layer 3 may be an amorphous oxide semiconductor, such as an IGZO (In-Ga-Zn-O).

The oxide semiconductor thin film layer (3) covers the entire upper surfaces of each of the low resistance conductive thin films (10). At least side surfaces (10a) (see Fig. 1C) of the low resistance conductive thin films (10), extending in the channel length direction, are positioned coincident with the side surfaces of the oxide semiconductor thin film layer (3).

The thickness of the oxide semiconductor thin film layer (3) may be, for example, though not limited to, about 25 to 200 nm, and preferably about 50 to 100 nm (in the height direction of the layer of the source/drain electrodes 2, corresponding to the up and down direction of Fig. 1).

In the present invention, the low resistance conductive thin films (10) and the oxide semiconductor thin film layer (3) are formed in a self-aligning manner in the channel width direction, as shown in Fig. 1C, so that the low resistance conductive thin films (10) below the oxide semiconductor thin film layer (3) are not seen when viewed from above. In other words, the entire upper surfaces of the low resistance conductive thin films (10) are coated with the oxide semiconductor thin film layer (3).

Consequently, the space provided between the adjacent oxide semiconductor thin films (3) according to the accuracy of mask alignment between the low resistance conductive thin films (10) and the oxide semiconductor thin film layer (3) (width A described above with respect to Fig. 9B) is not necessary.

Therefore, it is possible to shorten the distance (spacing) between the low resistance conductive thin films (10) to the minimum line width of the aligner (gap B described above with respect to Fig. 9B) and this enables high integration of the thin film transistors (100).

A specific comparison between the conventional TFT (500) (see Fig.
9) and the TFT (100) according to the first embodiment of the present invention is set forth below.

As mentioned above, the TFT (500) is fabricated by patterning the low resistance conductive thin films (110) on each TFT and then forming the oxide semiconductor thin film layers (103). Consequently, the distance

- 15 (spacing) between the oxide semiconductor thin film layers (103) is defined as gap  $B + 2 \times \text{width A}$  (here the gap B is the width of an area determined by the minimum resolution: and the width A is the width of an area determined by the alignment accuracy of the photolithography of the low resistance conductive thin film (110) and the oxide semiconductor thin film layer (103)).
- As explained above with respect to Fig. 9B, when a conventional aligner for an LCD is used, the width A determined by the alignment accuracy, is about 1.5 µm, and the gap B determined by the minimum resolution is about 4.0 µm. Therefore, in the conventional TFT (500), the distance D between the oxide semiconductor thin film layers (103) is approximately 7.0 µm (1.5 µm + 4.0 µm + 1.5 µm) (see Fig. 9B).

On the other hand, in manufacturing the TFT (100) according to the

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present invention, the low resistance conductive thin films (10) are formed on multiple pairs of the source/drain electrodes (2) (two pairs in the example shown in Fig. 1B) of the TFTs (100) as shown in Fig. 1B. Then the oxide semiconductor thin film layer (3) is coated on the low resistance conductive

5 thin films (10). The oxide semiconductor thin film layer (3) and the low resistance conductive thin films (10) are subsequently etched together in a self-aligning manner so that the side surfaces (10a) of the low resistance conductive thin films (10) have an identical shape to the side surfaces of the oxide semiconductor thin film layer (3) so that the side surfaces of the low

resistance conductive thin films (10) and the oxide semiconductor thin film layer (3) are positioned coincident with each other. Therefore, although the width A, which is determined by the alignment accuracy, is necessary in the conventional TFT (500), the width A is not necessary in the TFT (100) of the present invention. The distance between the adjacent oxide semiconductor thin film layers (3) of the TFT (100) may be reduced to be equal to the gap B (4.0 µm), which is determined by the minimum resolution. Consequently,

the TFT (100) according to the present invention enables nearly twice as high integration as the conventional TFT (500).

The gate insulating film (4) is formed so as to cover the upper surface and the side surfaces of the oxide semiconductor thin film layer (3).

The gate insulating film (4) may be a silicon oxide  $(SiO_x)$  film, a silicon oxide nitride (SiON) film, a silicon nitride  $(SiN_x)$  film, or a silicon nitride  $(SiN_x)$  film that is doped with oxygen using oxygen or a compound containing oxygen. Preferably, the gate insulating film (4) is formed by a silicon nitride  $(SiN_x)$  film that is doped with oxygen using oxygen or compound (e.g. N<sub>2</sub>O) containing oxygen. Such a doped silicon nitride film

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has a higher dielectric constant than silicon oxide compound  $(SiO_x)$  or silicon oxide nitride (SiON). Therefore, if the TFT (100) has a the gate insulating film (4) made of a  $SiN_x$  film doped with oxygen, the gate insulating film has a high dielectric constant and an excellent protecting effect on the oxide semiconductor thin film layer 3.

The gate electrode (6) is formed on the gate insulating film (4). The gate electrode (6) is configured to control electron density in the oxide semiconductor thin film layer (3) according to the gate voltages applied to the thin film transistor. The gate electrode (6) is made of a metal film such

10 as films comprising Cr or Ti.

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Along the channel length direction, the outer ends (6b) of the gate electrode (6) are positioned outside the inner ends 10c of the low resistance conductive thin films (10).

Each of the external source/drain electrodes (2a) is connected to the corresponding source/drain electrodes (2) via the contact part (7a).

The display electrode (8) is configured to apply a voltage to a liquid crystal in a liquid crystal display via the thin film transistor. The display electrode (8) is formed by a conductive oxide thin film such as an indium tin oxide (ITO) thin film and the like because it must have high transmittance with respect to visible light.

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Referring to Figs. 2A-2E, a manufacturing method of a thin film transistor (TFT) according to the first embodiment of the present invention will be described.

First, as shown in Fig. 2A, the source/drain electrodes (2) are formed on the substrate (1). In the case a plurality of TFTs are arranged in parallel on the substrate (1), the corresponding number of pairs of the

source/drain electrodes (2) are patterned, as shown in Fig. 1B (which shows two pairs of source/drain electrodes 2 corresponding to two TFTs). Next, the low resistance conductive thin film (10) having, for example, a 10 to 100 nm thickness is coated on the source/drain electrodes (2) and the substrate

- 5 (1) by means of magnetron sputtering. The low resistance conductive thin film (10) is then patterned as shown in Fig. 1B. In the patterning, the low resistance conductive thin film (10) is etched in an area (channel-corresponding area) that corresponds to the gap extending between the source electrodes and the drain electrodes. The resultant low
- 10 resistance conductive thin film (10) is separated into a first low resistance conductive thin film piece and a second low resistance conductive thin film piece. The first low resistance conductive thin film piece bridges one of the source electrodes and the drain electrodes of the plurality of the TFTs (e.g., the source electrodes), while the second low resistance conductive thin film piece bridges the other of the source electrodes and the drain electrodes are determined as and the drain electrodes are determined as and the drain electrodes of the plurality of the TFTs (e.g., the source electrodes), while the second low resistance conductive thin film
- 15 piece bridges the other of the source electrodes and the drain electrodes of the plurality of the TFTs (e.g., the drain electrodes).

At this stage, the low resistance conductive thin films (10) are shaped to extend longer in the channel length direction than the final shape of the low resistance conductive thin films (10). The outer ends of the low resistance conductive thin films (10) are then etched together with the oxide semiconductor thin film layer (3) that is formed thereon so that the outer ends of the low resistance conductive thin films (10) and the oxide semiconductor thin film layer (3) have an identical shape, as described below.

As shown in Fig. 2B, the oxide semiconductor thin film layer (3) is coated on all the exposed surfaces of the substrate (1), the source/drain

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electrodes (2), and the low resistance conductive thin films (10), with a thickness, for example, of about 50 to 100 nm.

After being coated with the oxide semiconductor thin film layer (3), the low resistance conductive thin films (10) are etched together with the oxide semiconductor thin film layer (3).

It is preferable to perform the etching treatment by means of dry etching. Wet etching is also applicable but not preferable because the wet-etched edges form a nonplanar surface, which results in insufficient step coverage of the gate insulating film (4) to be formed on these layers and in increasing leak current

10 in increasing leak current.

If the low resistance conductive thin film (10) is made of zinc oxide doped with gallium (Ga) or aluminum (Al); or intrinsic zinc oxide (ZnO) with no impurity introduced, gases such as CH<sub>4</sub>, CF<sub>4</sub>, CHF<sub>3</sub>, Cl<sub>2</sub>, or gas containing one of these gases and oxygen may be used in the dry etching.

15 On the other hand, if the low resistance conductive thin film (10) is made of indium tin oxide (ITO), gases such as CH<sub>4</sub> or mixture of CH<sub>4</sub> and oxygen may be used.

For example, conventional reactive ion etching (RIE method) or
inductively coupled plasma (ICP) etching may be used in the dry etching
process of the present invention. The low resistance conductive thin films
(10) and the oxide semiconductor thin film layer (3) are etched together. As
a result, the outer ends (10b) of each of the low resistance conductive thin
films (10) and the outer ends (3b) of the oxide semiconductor thin film layer
(3) are positioned coincident with each other along the channel length
direction, as shown in Fig. 2C. Also, the low resistance conductive thin

films (10) and the oxide semiconductor thin film layer (3) are formed to have

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an identical shape in the channel width direction. The low resistance conductive thin films 10 and the oxide semiconductor thin film layer 3 are slightly longer than the source/drain electrodes 2 along the channel width direction, as shown in Fig. 1C.

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Fig. 2C is a sectional view illustrating the lamination of the substrate (1), the source/drain electrodes (2), the low resistance conductive thin films (10), and the oxide semiconductor thin film layer (3) after performing dry etching as described above. In the manufacturing stage shown in Fig. 2C, etched surfaces (3b and 10b in Fig. 2C) must be

formed outside the respective inner ends (2c) of the source/drain electrodes
(2), along the channel length direction. The source/drain electrodes (2)
subsequently serve as etching stoppers (since the source/drain electrodes 2
are made of metal, as described above) so that only the low resistance
conductive thin films (10) and the oxide semiconductor thin film layers (3)
are etched.

Next, a gate insulating film (4) is formed on the oxide semiconductor thin film layer (3) using a technique and under a condition(s) that do not reduce the resistance of the semiconductor thin film layer (3).

The gate insulating film (4) may be a silicon-based-insulating film
such as a silicon oxide (SiO<sub>x</sub>) film; a silicon oxide nitride (SiON) film; a
silicon nitride (SiN<sub>x</sub>) film; or a silicon nitride (SiN<sub>x</sub>) film doped with oxygen
using oxygen or a compound containing oxygen as a constituent element.
Among these, a film of SiN<sub>x</sub> doped with oxygen using oxygen or a compound
(e.g. N<sub>2</sub>O) including oxygen or the like is desirable because the constituents
of such a film have a high dielectric constant as well as an excellent effect of
preventing reduction and removal of oxygen and zinc from the oxide

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semiconductor thin film layer (3).

The gate insulating film (4) may be a 100 to 300 nm thick  $SiN_x$  film created by means of a plasma-enhanced chemical vapor deposition (PCVD) under a condition, for example, where a substrate temperature is 250 °C and mixed gas containing NH<sub>3</sub> and SiH<sub>4</sub> is used at a flow rate ratio of 4 to 1.

As shown in Fig. 2D, a gate electrode (6) is disposed over the gate insulating film (4) so that both of the outer ends (6b) of the gate electrode (6) are positioned outside the respective inner ends (10c) of the low resistance conductive thin films (10).

As shown in Fig. 2E, contact holes are opened in the gate insulating film 4 to expose portions of the source/drain electrodes (2) by means of photolithography. The external source/drain electrodes (2a) are respectively connected to the source/drain electrodes 2 through the contact holes via contact parts (7a), respectively. In the final step to form a TFT array, a display electrode (8) made of indium tin oxide (ITO) and the like is formed.

Fig. 3 is a cross-sectional view showing the structure of the thin film transistor (200) according to the second embodiment of the present invention. The TFT (200) according to the second embodiment has some

20 similar structures to the TFT (100) according to the first embodiment. These structures are denoted by the same reference numerals. However, in place of the gate insulating film (4) of the TFT 100 according to the first embodiment, the TFT (200) according to the second embodiment includes a first gate insulating film and a second gate insulating film, which are

25 denoted as the first gate insulating film (41) and the second gate insulating film (5).

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The first gate insulating film (41) is formed to cover only the upper surface of the oxide semiconductor thin film layer (3). The first gate insulating film (41) is provided as a part of the gate insulating film. The first gate insulating film (41) serves as a protective film configured to

5 protect the oxide semiconductor thin film layer (3) from the resist stripper used in the manufacturing process.

The second gate insulating film (5) is laminated to cover the side surfaces of the source/drain electrodes (2) and the oxide semiconductor thin film layer (3) as well as the entire upper surfaces of the first gate insulating

10 film (41). Since the upper surface of the oxide semiconductor thin film layer (3) is covered with the first gate insulating film (41), the coverage on all the exposed surfaces of the oxide semiconductor thin film layer 3 is then completed.

The first gate insulating film (41) and the second gate insulating
film (5) may be a silicon oxide (SiO<sub>x</sub>) film; a silicon oxide nitride (SiON) film;
a silicon nitride (SiN<sub>x</sub>) film; or a silicon nitride (SiN<sub>x</sub>) film doped with
oxygen using oxygen or a compound containing oxygen as a constituent
element. Preferably, the first gate insulating film (41) and the second gate
insulating film (5) are formed by a SiN<sub>x</sub> film doped with oxygen using
oxygen or a compound (e.g. N<sub>2</sub>O) containing oxygen. Such a doped SiN<sub>x</sub>

film has a higher dielectric constant than silicon oxide compounds  $(SiO_x)$  or silicon oxide nitride (SiON).

The first gate insulating film (41) and the second gate insulating film (5) are formed by means of a plasma-enhanced chemical vapor deposition (PCVD) process. It is desirable to perform the film formation by the plasma-enhanced chemical vapor deposition (PCVD) process at a

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substrate temperature of 250 °C or below. In this temperature range, the reduction of the oxide semiconductor thin film layer or removal of oxygen and zinc does not occur.

Hereinafter, a manufacturing method of a thin film transistor (TFT) according to the second embodiment of the present invention will be explained referring to Figs. 4A-4D.

First, as shown in Fig. 4A, source/drain electrodes (2) and low resistance conductive thin films (10) are formed on a substrate (1) and as in the first embodiment of the present invention. The low resistance

10 conductive thin films (10) are formed over the source/drain electrodes (2) of a plurality of TFTs (200). An oxide semiconductor thin film layer (3) is formed on all the exposed surfaces of the substrate (1), the source/drain electrodes (2), and the low resistance conductive thin films (10).

Next, as shown in Fig. 4B, a first gate insulating film (41) is formed on the oxide semiconductor thin film layer (3) with an approach and a condition that do not reduce the resistance of the oxide semiconductor thin film layer (3). Then, a photo-resist is coated on the first gate insulating film (41) and patterned. Using the patterned photo-resist as a mask, the first gate insulating film (41), the low resistance conductive thin film (10),

20 and the oxide semiconductor thin film layer (3) are simultaneously etched. Preferably, dry etching is used in the etching process because the dry-etched edges are positioned coincident with each other. Thus leakage current resulting from insufficient step coverage is suppressed after the first gate insulating film (41) is formed.

As in the first embodiment of the present invention, if the low resistance conductive thin film (20) is made of zinc oxide doped with gallium

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(Ga) or aluminum (Al); or intrinsic zinc oxide (ZnO) with no impurity introduced, gases such as  $CH_4$ ,  $CF_4$ ,  $CHF_3$ ,  $Cl_2$ , or gas containing one of these and oxigen may be used in the dry etching. On the other hand, if the low resistance conductive thin films (10) are made of indium tin oxide (ITO),

5 gases such as CH<sub>4</sub> or a mixture of CH<sub>4</sub> and oxygen may be used. For example, common reactive ion etching (RIE method) or inductively coupled plasma (ICP) etching may be used in the dry etching process.

Fig. 4C shows a cross-section of a lamination comprising the oxide semiconductor thin film layer (3), the low resistance conductive thin films

10 (10), and the first gate insulating film (41) after etching and removing the photo-resist. Etched surfaces (3b), etched surfaces (10b), and etched surfaces (41b) of the layers are positioned coincident with each other. Consequently, sufficient step coverage is maintained and leakage current is suppressed, after a second gate insulating film (5) is formed.

The etched surfaces must be formed outside the respective inner ends (2c) of the source/drain electrodes (2) in the channel length direction. Thus, only the first gate insulating film (41), the low resistance conductive thin films (10) and the oxide semiconductor thin film layer (3) are etched.

The first gate insulating film (41) not only forms an interface with the oxide semiconductor thin film layer (3) but also protects the oxide semiconductor thin film layer (3) while an active region of the TFT is patterned. If the first gate insulating film 41 is not present, while the resist stripper is used to remove photo-resist after the patterning of the active layer, the resist stripper contacts with the surface of the oxide semiconductor thin film layer (3). The resist stripper generally etches and

roughens the surface and crystal grain boundary of the oxide semiconductor

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thin film layer (3). However, if the first gate insulating film (41) is present on the surface of the oxide semiconductor thin film layer (3), the first gate insulating film (41) functions as a protective film against various kinds of liquid chemicals such as a resist stripper used in a photo-lithography

5 process. The first gate insulating film (41) therefore prevents the surface of the oxide semiconductor thin film layer (3) from roughening. Thus, sufficient interface properties between the oxide semiconductor thin film layer (3) and the gate insulating film are maintained.

Referring to Fig. 4D, after a TFT active layer region is patterned, a
second gate insulating film (5) is formed on all the exposed surfaces of substrate (1), the source/drain electrodes (2), the oxide semiconductor thin film layer (3), the low resistance conductive thin films (10), and the first gate insulating film (41). Then contact holes are opened in the gate insulating film 5 to expose portions of the source/drain electrodes (2). In

15 this embodiment, it is desirable to form the second gate insulating film (5) under similar conditions to the first gate insulating film (41).

A gate electrode (6) made of a metal film is formed on the second gate insulating film (5). After that, external source/drain electrodes (2a) are formed with the same material as the gate electrode (6). The external source/drain electrodes are connected to the source/drain electrodes (2) via contact parts (7a). A display electrode (8) is formed in the final step to form a TFT array of the second embodiment of the present invention (see the TFT shown in Fig. 3).

Hereinafter, the thin film transistor according to the third embodiment of the present invention will be described with reference to Fig. 5.

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Fig. 5 is a cross-sectional view showing the structure of a thin film transistor (300) according to the third embodiment of the present invention. The thin film transistor (300) includes a substrate (11), a gate electrode (12), a gate insulating film (13), source/drain electrodes (14), low resistance

5 conductive thin films (20), an oxide semiconductor thin film layer (15), an overcoat insulating film (16), external source/drain electrodes (14a), contact parts (18a), and a display electrode (19). The TFT (300) is a bottom gate type TFT in which these layers are laminated in the order shown in Fig. 5.

As shown in Fig. 5, the thin film transistor (300) is formed on the 10 substrate (11). The gate electrode (12) is formed on the substrate (11). In this step, the gate electrode (12) is disposed over the substrate (11) so that the outer ends of the gate electrode (12) will be positioned outside the inner ends of the low resistance conductive thin films (20) (described below), along the channel length direction.

The gate insulating film (13) is laminated on the entire upper surface of the substrate (11) so as to cover the gate electrode (12).

The source/drain electrodes (14) are laminated on the gate insulating film (13). The source/drain electrodes (14) are made of metal. In general, source/drain electrodes are formed with conductive oxides such as indium tin oxide (ITO) and n+ZnO. In the present invention, however, the conductive oxides are not preferable because the source/drain electrodes made of the conductive oxides are etched when the oxide semiconductor thin film layer and low resistance conductive thin films are etched.

The length in the channel width direction of the source/drain electrodes (14) is preferably equal to or smaller than the length of the oxide semiconductor thin film layer (15) in the channel width direction. If the

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length of the source/drain electrodes (14) is larger than the length of the source drain electrodes in the channel width direction, it prevents high integration of the thin film transistors when a plurality of TFTs are integrated.

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The low resistance conductive thin films (20) are formed on the source/drain electrodes (14). The low resistance conductive thin films (20) may be, for example, a thin film primarily comprising indium tin oxide (ITO); or the zinc oxide doped with gallium (Ga) or aluminum (Al); or the like. If the oxide semiconductor thin film layer 15 primarily comprises zinc oxide, the low resistance conductive thin films 20 may be made of intrinsic zinc oxide (ZnO) with no impurity introduced. When the low resistance conductive thin films 10 are made of intrinsic zinc oxide with no impurities introduced, the zinc oxide in the low resistance conductive thin films (20) must have larger crystal grain size than the zinc oxide in the oxide

15 semiconductor thin film layer (15).

The resistance of the low resistance conductive thin films (20) is higher than the resistance of the source/drain electrodes (14) and lower than the resistance of the oxide semiconductor thin film layer (15). Therefore, the contact properties between each of the source/drain electrodes (14) and the oxide semiconductor thin film layer (15) are improved by using the low resistance conductive thin films (20).

The oxide semiconductor thin film layer (15), is arranged so that a channel is formed on each of the low resistance conductive thin films (20) and between the electrodes of the low resistance conductive thin films (20). The oxide semiconductor thin film layer 15 may be made of, for example, an oxide semiconductor primarily comprising zinc oxide or an IGZO as the

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oxide semiconductor thin film layer 3 described above.

The oxide semiconductor thin film layer (15) covers the entire upper surfaces of the low resistance conductive thin films (20). At least side surfaces of the low resistance conductive thin films (20) have an identical shape to the side surfaces of the oxide semiconductor thin film layer (15) so

that they form a planar surface.

Consequently, the space provided between the adjacent oxide semiconductor thin films (15) according to the accuracy of mask alignment of the low resistance conductive thin films (20) and the oxide semiconductor

thin film layer (15) (i.e., a space corresponding to width A described above with respect to Fig. 9B) is not necessary. Therefore, it is possible to shorten the distance (spacing) between the low resistance conductive thin films (20) to the minimum line width of the aligner (i.e., the gap B described above with respect to Fig. 9B), which enables high integration of the thin film
transistors (300).

The overcoat insulating film (16) is formed so as to cover the upper surface and side surfaces of the oxide semiconductor thin film layer (15). The external source/drain electrodes (14a) are formed so as to be connected to the source/drain electrodes (14) via the contact parts (18a) in the contact holes opened in the overcoat insulating film (16).

The display electrode (19) is configured to apply a voltage to a liquid crystal in a liquid crystal display via the thin film transistor. The display electrode (19) is formed by a conductive oxide thin film such as an indium tin oxide (ITO) thin film and the like because it must have high

25 transmittance with respect to visible light.

Referring to Figs. 6A-6D, a manufacturing method of the bottom

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gate type TFT according to the third embodiment of the present invention will be described below.

As shown in Fig. 6A, a gate electrode (12) is formed on a substrate (11) made of, for example, glass. Then, a gate insulating film (13) is formed on the entire upper surface of the substrate (11) so as to cover the gate electrode (12). Although the method for forming the gate insulating film (11) is not limited especially, it is preferable to use a plasma enhanced chemical vapor deposition (PCVD) process that enables film formation on a substrate having a large area.

Referring to Fig. 6B, after the gate insulating film (13) is formed, a metal film is formed on the entire upper surfaces of the gate insulating film (13). The metal film is then subjected to photolithography to form the source/drain electrodes (14). After that, low resistance conductive thin film 20 having a thickness of, for example, about 10 to 100 nm, are formed on the source/drain electrodes (14) by means of a magnetron sputtering process. The low resistance conductive thin film (20) is then patterned to bridge the source/drain electrodes (14) of a plurality of TFTs. More specifically, in the patterning, the low resistance conductive thin film (20) is etched in an area (channel-corresponding area) that corresponds to the gap extending

20 between the source electrodes and the drain electrodes. The resultant low resistance conductive thin films (20) are separated into a first low resistance conductive thin film piece and a second low resistance conductive thin film piece. The first low resistance conductive thin film piece bridges, for example, the source electrodes of the plurality of the TFTs, while the second low resistance conductive thin film piece bridges for example, the source electrodes of the plurality of the TFTs, while the second low resistance conductive thin film piece bridges.

25 low resistance conductive thin film piece bridges, for example, the drain electrodes of the plurality of the TFTs. At this stage, the low resistance

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conductive thin films (20) are shaped to extend longer in the channel length direction than the final shape of the low resistance conductive thin films (20). The oxide semiconductor thin film layer (15) is then coated on the low resistance conductive thin films (20), as shown in Fig. 6B.

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A photo-resist is coated on the upper surface of the oxide semiconductor thin film layer (15) and patterned. Using the patterned photo-resist as a mask, the low resistance conductive thin films (20) and the oxide semiconductor thin film layer (15) are simultaneously etched. Preferably, dry etching is used in the etching process because the dry-etched

edges form a planer surface. Thus, leakage current resulting from
 insufficient step coverage is suppressed after an overcoat insulating film
 (16) is formed.

If the low resistance conductive thin film (20) is made of zinc oxide doped with gallium (Ga) or aluminum (Al); or intrinsic zinc oxide (ZnO) with no impurity introduced, gases such as CH<sub>4</sub>, CF<sub>4</sub>, CHF<sub>3</sub>, Cl<sub>2</sub>, or gas containing one of these gases and oxygen may be used in the dry etching. On the other hand, if the low resistance conductive thin film (10) is made of indium tin oxide (ITO), gases such as CH<sub>4</sub> or a mixture of CH<sub>4</sub> and oxygen may be used. For example, common reactive ion etching (RIE method) or inductively coupled plasma (ICP) etching may be used in the dry etching process of the present invention.

Fig. 6C is a cross-sectional view of the TFT (300) after performing dry etching. At this stage, etched surfaces (15b) of the oxide semiconductor thin film layer (15) and etched surfaces (20b) of the low resistance conductive thin films (20) must be located outside respective inner ends (14c) of the source/drain electrodes (14) in the channel length direction.

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The source/drain electrodes (14) subsequently serve as etching stoppers so that only the low resistance conductive thin films (20) and the oxide semiconductor thin film layer (15) are etched.

After the patterning of the oxide semiconductor thin film layer (15), the overcoat insulating film (16) is formed to cover all the exposed surfaces of the oxide semiconductor thin film layer (15), as shown in Fig. 6D.

The overcoat insulating film (16) may be a silicon oxide  $(SiO_x)$  film; a silicon oxide nitride (SiON) film; a silicon nitride  $(SiN_x)$  film; or a silicon nitride  $(SiN_x)$  film doped with oxygen using oxygen or a compound

10 containing oxygen as a constituent element. Preferably, the overcoat insulating film (16) is formed with a  $SiN_x$  film that is doped with oxygen using oxygen or a compound (e.g. N<sub>2</sub>O) containing oxygen. Such a doped  $SiN_x$  film has a higher dielectric constant than silicon oxide compounds  $(SiO_x)$  or silicon oxide nitride (SiON).

15 The overcoat insulating film (16) is formed by means of a plasma-enhanced chemical vapor deposition (PCVD) process.

After forming the overcoat insulating film (16), external source/drain electrodes (14a) are formed. The external source/drain electrodes (14a) are connected to the source/drain electrodes (14) via contact

20 parts (18a). A display electrode (19) is formed in the final step to form a TFT array of the third embodiment of the present invention (see the TFT shown in Fig. 5).

Finally, the fourth embodiment of the present invention will be described.

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Fig. 7 is a cross-sectional view showing the structure of the thin film transistor 400 according to the fourth embodiment of the present invention.

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The TFT (400) according to the fourth embodiment has some similar structures to the TFT (300) according to the third embodiment. These structures are denoted by the same reference numerals. However, in place of the overcoat insulating film (16) of the TFT 300 according to the third

5 embodiment, TFT (400) according to the fourth embodiment includes a first overcoat insulating film and a second overcoat insulating film, which are denoted as the first overcoat insulating film (161) and the second overcoat insulating film (17).

The first overcoat insulating film (161) is formed so as to cover only the upper surface of the oxide semiconductor thin film layer (15). The first overcoat insulating film (161) is provided as a part of the gate insulating film. The first overcoat insulating film (161) serves as a protective film configured to protect the oxide semiconductor thin film layer (15) from resist stripper used in the manufacturing process.

The second overcoat insulating film (17) is provided for protecting the TFT (400). The second overcoat insulating film (17) is laminated to cover all the exposed surfaces of the first overcoat insulating film (161) and side surfaces of the oxide semiconductor thin film layer (15).

The second overcoat insulating film (17) ensures that the entire side surfaces of the oxide semiconductor thin film layer (15) get covered even if some parts of them are not covered with the first overcoat insulating film (161).

The first overcoat insulating film (161) and the second overcoat insulating film (17) may be a silicon oxide (SiO<sub>x</sub>) film; a silicon oxide nitride 25 (SiON) film; a silicon nitride (SiN<sub>x</sub>) film; or a silicon nitride (SiN<sub>x</sub>) film doped with oxygen using oxygen or a compound containing oxygen.

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Preferably, the first overcoat insulating film (161) and the second overcoat insulating film (17) are formed with the  $SiN_x$  film that is doped with oxygen using oxygen or a compound (e.g. N<sub>2</sub>O) containing oxygen. Such a doped  $SiN_x$  film has a higher dielectric constant than silicon oxide compounds  $(SiO_x)$  or silicon oxide nitride (SiON).

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The first overcoat insulating film (161) and the second overcoat insulating film (17) are formed by, for example, a plasma-enhanced chemical vapor deposition (PCVD) process.

Referring to Figs. 8A-8D, a manufacturing method of the thin film transistor (TFT) according to the fourth embodiment of the present invention will be described below.

First, as shown in Fig. 8A, a gate electrode (12) and a gate insulating film (13) are formed on a substrate (11) as in the third embodiment of the present invention.

Next, as shown in Fig. 8B, source/drain electrodes (14), low resistance conductive thin films (20), an oxide semiconductor thin film layer (15), and a first overcoat insulating film (16) are laminated in this order on the gate insulating film (13). Then, the low resistance conductive thin films (20), the oxide semiconductor thin film layer (15) and the first overcoat insulating film (161) are simultaneously etched. Preferably, dry etching is used in the etching process because the dry-etched edges are positioned coincident with each other. Thus leakage current resulting from insufficient step coverage is suppressed, after the first overcoat insulating film (161) is formed.

As in the third embodiment of the present invention, if the low resistance conductive thin film (20) is made of zinc oxide doped with gallium

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(Ga) or aluminum (Al); or intrinsic zinc oxide (ZnO) with no impurity introduced, gases such as  $CH_4$ ,  $CF_4$ ,  $CHF_3$ ,  $Cl_2$ , or gas containing one of these gases and oxygen may be used in the dry etching. On the other hand, if the low resistance conductive thin film (20) is made of indium tin oxide

5 (ITO), gases such as CH<sub>4</sub> or a mixture of CH<sub>4</sub> and oxygen may be used. In the fourth embodiment of the present invention, for example, common reactive ion etching (RIE method) or inductively coupled plasma (ICP) etching may be used in the dry etching process, as in the first embodiment of the present invention.

Fig. 8C shows a cross-section of a lamination comprising the oxide semiconductor thin film layer (15), the low resistance conductive thin films (20), and the first overcoat insulating film (161) after etching and removing the photo-resist. Etched surfaces (15b), etched surfaces (20b), and etched surfaces (161b) of the above-mentioned layers are positioned coincident with each other. Consequently, sufficient step coverage is maintained after a second overcoat insulating film (17) is formed.

The first overcoat insulating film (161) also protects the oxide semiconductor thin film layer (15) while an active region of the TFT is patterned. If the first overcoat insulating film 161 is not present when the resist stripper is used to remove photo-resist after the patterning of an active layer, the resist stripper contacts with the surface of the oxide semiconductor thin film layer 15. The resist stripper generally etches and roughens the surface and crystal grain boundary of the oxide semiconductor thin film layer 15. However, if the first overcoat insulating film 161 is present on the surface of the oxide semiconductor thin film layer 15, the first overcoat insulating film 161 functions as a protective film against

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various kinds of liquid chemicals such as a resist stripper used in a photo-lithography process. The first overcoat insulating film (161) therefore prevents the surface of the oxide semiconductor thin film layer (15) from roughening. Thus, sufficient interface properties between the oxide semiconductor thin film layer (15) and the first overcoat insulating film (161) are maintained.

Referring to Fig. 8D, after the active layer region of the TFT is patterned, a second overcoat insulating film (17) is formed on all the exposed surfaces of the gate insulating film (13), the source/drain electrodes

10 (14), the oxide semiconductor thin film layer (15), the low resistance conductive thin films (20), and the first overcoat insulating film (161).

Then contact holes are opened in the first overcoat layer 17 to expose portions of the source/drain electrodes (14).

External source/drain electrodes (14a), which are connected to the source/drain electrodes (14) via contact parts (18a), are formed. Then a display electrode (19) is formed in the final step to form a TFT array of the fourth embodiment of the present invention (see the FTF shown in Fig. 7).

As described above, the thin film transistor according to the present invention has excellent performance so that it is preferably used as an active element of a liquid crystal display device and the like.

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# CLAIMS

1. A thin film transistor comprising: a substrate (1, 11);

a pair of source/drain electrodes (2, 14) formed on the substrate and defining a gap therebetween;

a pair of low resistance conductive thin films (10, 20), each coating at least a part of one of the source/drain electrodes, the low resistance conductive thin films defining a gap therebetween; and

an oxide semiconductor thin film layer (3, 15), which is continuously formed on upper surfaces of the pair of low resistance conductive thin films, and which extends along the gap defined between the low resistance conductive thin films so as to function as a channel;

wherein side surfaces of the oxide semiconductor thin film layer and corresponding side surfaces of the low resistance conductive thin films coincide with each other in a channel width direction of the channel.

2. The thin film transistor according to claim 1, wherein a length of the oxide semiconductor thin film layer (3, 15) in a channel width direction of the channel is equal to or larger than a length of the source/drain electrodes (2, 14) in the channel width direction.

3. The thin film transistor according to claim 1, wherein the oxide semiconductor thin film layer primarily comprises zinc oxide.

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4. The thin film transistor according to claim 1, wherein the

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source/drain electrodes (2, 14) are made of metal.

5. The thin film transistor according to claim 1, wherein each of the low resistance conductive thin films (10, 20) primarily comprises any one selected from a group consisting of indium tin oxide (ITO), zinc oxide doped with gallium (Ga), and zinc oxide doped with aluminum (Al).

6. The thin film transistor according to claim 1, wherein the low resistance conductive thin films (10, 20) are made of intrinsic zinc oxide
and the zinc oxide forming the low resistance conductive thin films has a crystal grain size that is larger than a crystal grain size of the zinc oxide forming the oxide semiconductor thin film layer (3, 15).

7. The thin film transistor according to claim 1, further 15 comprising:

a gate insulating film disposed over the oxide semiconductor thin film layer (3), the gate insulating film (41, 5) having a dual-layer structure comprising a lamination of a first gate insulating film (41) that covers only an upper surface of the oxide semiconductor thin film layer and a second gate insulating film (5) that covers an upper surface and side surfaces of the first gate insulating film and side surfaces of the oxide semiconductor thin film layer; and

a gate electrode (6) disposed over the gate insulating film.

25 8. The thin film transistor according to claim 1, further comprising:

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a gate electrode (12) disposed below the oxide semiconductor thin film layer (15); and

an overcoat insulating film (161, 17) disposed over the oxide semiconductor thin film layer, the overcoat insulating film having a

5 dual-layer structure comprising a lamination of a first overcoat insulating film (161) that covers only the upper surface of the oxide semiconductor thin film layer and a second overcoat insulating film (17) that covers an upper surface and side surfaces of the first overcoat insulating film and side surfaces of the oxide semiconductor thin film layer.

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9. A thin film transistor comprising:

an oxide semiconductor thin film layer (3, 15) having a pair of side surfaces;

a pair of low resistance conductive thin films (10, 20) defining a gap therebetween along an area corresponding to a channel of the thin film transistor, each of the low resistance conductive thin films having a pair of side surfaces, each of the side surfaces being positioned so as to coincide with a corresponding one of the side surfaces of the oxide semico'nductor thin film layer; and

a pair of source/drain electrodes (2, 14), each having a pair of side surfaces, each of the side surfaces being one of positioned so as to coincide with and positioned inside of a corresponding one of the side surfaces of the low resistance conductive thin films.

10. A manufacturing method of a thin film transistor comprising:

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forming a pair of source/drain electrodes (2) on a substrate (1), such that the source/drain electrodes define a gap therebetween;

forming low resistance conductive thin films (10), which define a gap therebetween, on the source/drain electrodes;

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forming an oxide semiconductor thin film layer (3) on upper surface of the low resistance conductive thin films and in the gap defined between the low resistance conductive thin films so that the oxide semiconductor thin film layer functions as a channel;

etching the low resistance conductive thin films and the oxide semiconductor thin film layer so that side surfaces of the low resistance conductive thin films and corresponding side surfaces of the oxide semiconductor thin film layer coincide with each other in a channel width direction of the channel; and

mounting a gate electrode (6) over the oxide semiconductor thin film 15 layer.

11. The method according to claim 10, wherein the oxide semiconductor thin film layer primarily comprises zinc oxide.

12. The method according to claim 1, further comprising:

forming a first gate insulating film (41) on the oxide semiconductor thin film layer (3);

etching the first gate insulating film together with the low resistance conductive thin films (10), and the oxide semiconductor thin film layer such that the side surfaces of the low resistance conductive thin films, the corresponding side surfaces of the oxide semiconductor thin film, and corresponding side surfaces of the first gate insulating film coincide with

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each other at least in the channel width direction, and such that respective outer ends of the low resistance conductive thin films, the oxide semiconductor thin film, and the first gate insulating film, are positioned outside the inner ends of the source/drain electrodes (2) in the channel

5 length direction; and

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forming a second gate insulating film (5) on the first gate insulating film (6) and mounting a gate electrode over the second gate insulating film.

13. The method according to claim 10, wherein the etching is dry10 etching.

14. A manufacturing method of a thin film transistor comprising:

mounting a gate electrode (12) over a substrate (11);

placing a gate insulating film (13) on the gate electrode and forming a pair of source/drain electrodes (14) on the gate insulating film, such that the source/drain electrodes define a gap therebetween;

forming a pair of low resistance conductive thin films (20), which define a gap therebetween, each of the low resistance conductive thin films covering at least a part of the one of source/drain electrodes and having an inner end that is positioned inside outer ends of the gate electrode in the channel length direction of a channel of the thin film transistor;

forming an oxide semiconductor thin film layer (15) on upper surface of the low resistance conductive thin films and in the gap so that the oxide semiconductor thin film layer functions as the channel; and etching the low resistance conductive thin films and the oxide

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semiconductor thin film layer such that side surfaces of the low resistance conductive thin films and corresponding side surfaces of the oxide semiconductor thin film layer coincide with each other in a channel width direction of the channel.

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15. The method according to claim 14, herein the oxide semiconductor thin film layer primarily comprises zinc oxide.

 The method according to claim 14, further comprising: forming a first overcoat insulating film (161) on the oxide semiconductor thin film layer (15);

etching the first overcoat insulating film, together with the low resistance conductive thin films (20), and the oxide semiconductor thin film layer, such that the side surfaces of the low resistance conductive thin films,

15 the corresponding side surface of the oxide semiconductor thin fim, and corresponding side surfaces of the first gate insulating film coincide with each other at least in the channel width direction; and

forming a second overcoat insulating film (17) on the first overcoat insulating film.

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17. The method according to claim 14, wherein the etching is dry etching.

A manufacturing method of a thin film transistor25comprising:

forming a predetermined number of pairs of source/drain electrodes

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(2, 14), each pair comprising a source electrode and a drain electrode defining a gap therebetween along a channel-corresponding area and spaced apart from adjacent pair by a spacing;

forming a pair of low resistance conductive thin films (10, 20) defining a gap therebetween along the channel-corresponding area, one of the low resistance conductive thin films covering the source electrodes and the other of the low resistance conductive thin films covering the drain electrodes;

forming an oxide semiconductor thin film layer (3, 15) on the pair of low resistance conductive thin films, on the channel-corresponding area, and on the spacing; and

etching the oxide semiconductor thin film layer and the low resistance conductive thin films to separate each of them into the predetermined number of oxide semiconductor thin film layer pieces and

15 low resistance conductive thin film pieces along the spacing defined between the pairs of source/drain electrodes so that side surfaces of the respective oxide semiconductor thin film layer pieces are positioned coincident with the side surfaces of the corresponding oxide semiconductor thin film layer pieces.

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19. The method of according to claim 18, wherein the forming of the pair of low resistance conductive thin films (10, 20) comprises etching a low resistance conductive thin film to form the pair of low resistance conductive thin films to have outer ends that are positioned outside outer ends of a final shape of the low resistance conductive thin films.

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FIG. 1A

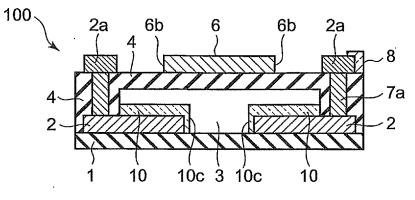


FIG. 1B

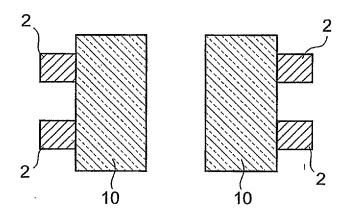
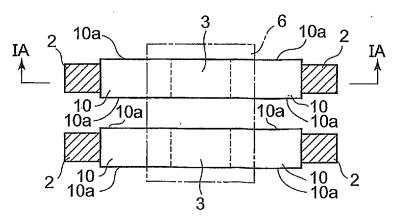
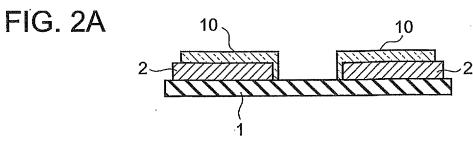
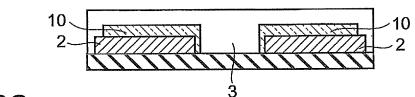


FIG. 1C









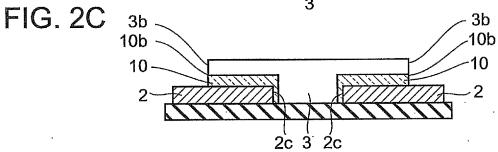
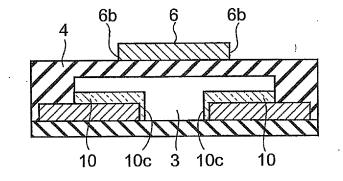
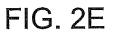


FIG. 2D





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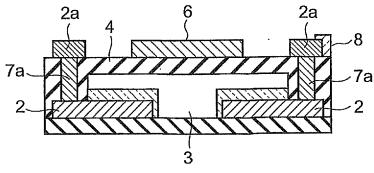
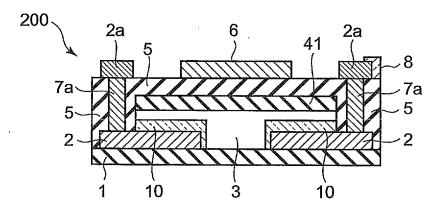
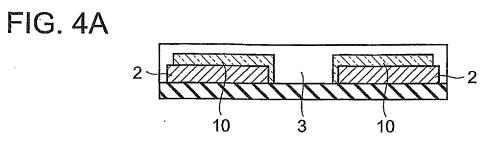
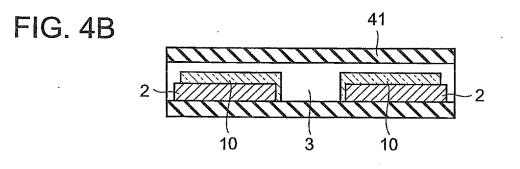


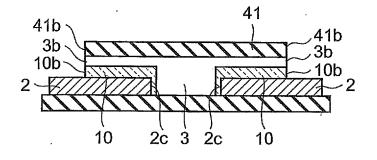
FIG. 3



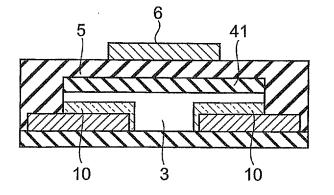








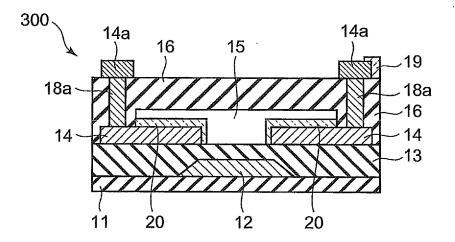


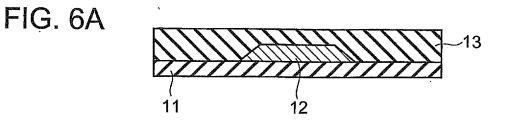


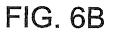
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FIG. 5

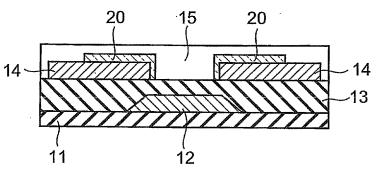


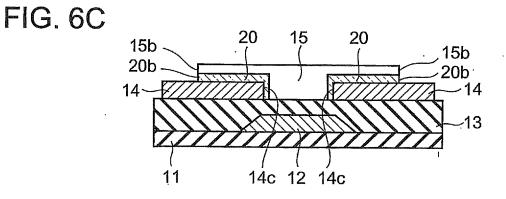


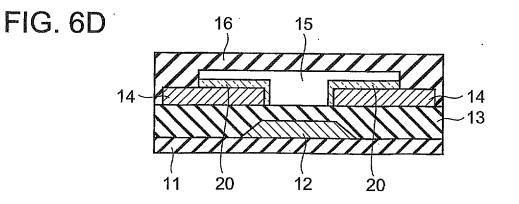


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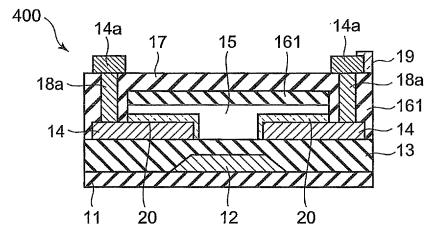


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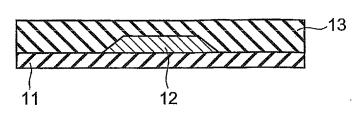
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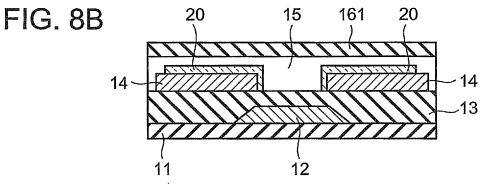
FIG. 7



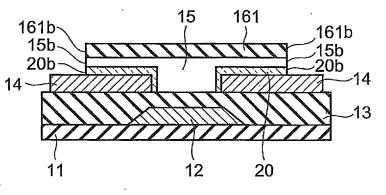
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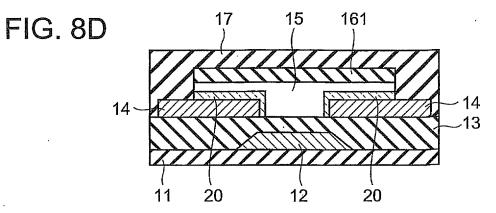
FIG. 8A





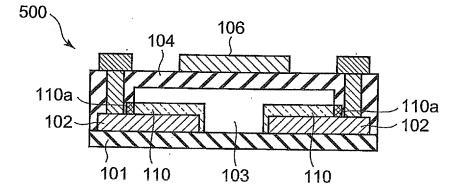




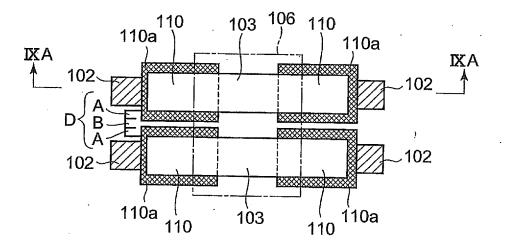


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FIG. 9A







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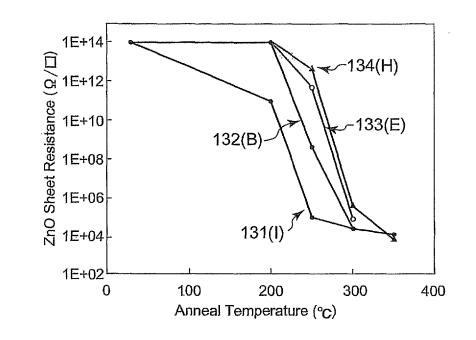
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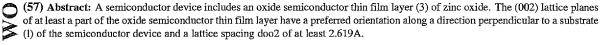
Nunoshida, kochi-shi, Kochi 781-5101 (JP). HIRA-MATSU, Takahiro [JP/JP]; c/o Kochi Industrial Promotion Center, 3992-2, Nunoshida, Kochi-shi, Kochi 781-5101 (JP). FURUTA, Mamoru [JP/JP]; c/o Kochi Industrial Promotion Center, 3992-2, Nunoshida, Kochi-shi, Kochi 781-5101 (JP). MATSUDA, Tokiyoshi [JP/JP]; c/o Kochi Industrial Promotion Center, 3992-2, Nunoshida, Kochi-shi, Kochi 781-5101 (JP).

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(54) Title: SEMICONDUCTOR DEVICE INCLUDING AN OXIDE SEMICONDUCTOR THIN FILM LAYER OF ZINC OXIDE AND MANUFACTURING METHOD THEREOF





GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

#### DESCRIPTION

SEMICONDUCTOR DEVICE INCLUDING AN OXIDE SEMICONDUCTOR THIN FILM LAYER OF ZINC OXIDE AND MANUFACTURING METHOD THEREOF

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### **CROSS-REFERENCE TO RELATED APPLICATIONS**

This application is based upon and claims the benefit of priority from the prior Japanese Patent Applications No. 2006-155188 (filed on June 2, 2006), No. 2006-155189 (filed on June 2, 2006), and No. 2007-37176 (filed

10 on February 16, 2007), the entire contents of which are incorporated herein by reference.

#### BACKGROUND OF THE INVENTION

#### Field of the Invention

15 The present invention relates to a semiconductor device including an active layer of zinc oxide and a manufacturing method thereof.

#### Description of the Background Art

It has been known for many years that zinc oxide has excellent characteristics as a semiconductor (an active layer). In recent years, active research and development of a semiconductor thin film layer of zinc oxide have been made in order to apply such a semiconductor thin film layer to a semiconductor device which includes a thin film transistor (hereinafter abbreviated as TFT), a light emitting device, a transparent conductive film, or the like.

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An oxide TFT including a semiconductor thin film layer of zinc oxide has a greater electron mobility and better TFT performance than an amorphous silicon TFT having a semiconductor thin film layer of amorphous silicon (a-Si: H), which has been mainly used for liquid crystal displays. Another advantage of the oxide TFT is that high electron mobility can be expected because a crystalline thin film is formed even at a

temperature as low as a room temperature. These advantages have been encouraging the development of the oxide TFTs.

TFTs using an oxide semiconductor thin film layer, such as a bottom gate TFT and a top gate TFT, have been reported. For example, the bottom gate structure includes, in order: a substrate, a gate electrode, a gate insulator, source/drain electrodes, an oxide semiconductor thin film layer, and a protective insulator. The top gate structure includes, for example, in order: a substrate, a pair of source/drain electrodes, an oxide semiconductor thin film layer, a gate insulator, and a gate electrode.

If an oxide semiconductor thin film layer of zinc oxide is formed on an amorphous material (e.g., glass or plastic as used in a substrate of a display), it is known that physical constants (e.g., orientation and lattice constant) of the zinc oxide vary according to the conditions employed in the

20 film formation. For example, "Microstructural evolution and preferred orientation change of radio-frequency-magnetron sputtered ZnO thin films.", Journal of Vacuum and Science of Technology Part. A Vol. 14, p. 1943 (1996) shows that the orientation and lattice constant of a zinc oxide film vary according to the ratio between argon (Ar) and oxygen (O<sub>2</sub>) used as source

25 gases in a sputtering process to form the zinc oxide film. However, this publication does not disclose how the physical properties (e.g., orientation

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and lattice constant) of zinc oxide affect the heat resistance of the zinc oxide or the performance of semiconductor devices which include a TFT or the like.

The effect of the orientation and the lattice constant of zinc oxide on the performance of a semiconductor device is described in Japanese Patent Publication No. 2005-150635. Japanese Patent Publication No. 2005-150635 discloses that a thin film transistor exhibits preferable performance when the lattice spacing d<sub>002</sub> of lattice planes along (002) direction ranges from 2.613Å to 2.618Å. In Japanese Patent Publication

10 No. 2005-150635, TFT performance of a bottom gate TFT was measured. As shown in Fig. 16, the bottom gate TFT includes a substrate 51, a gate electrode 52, a gate insulator 53, oxide semiconductor thin film layer 54 of zinc oxide, and a pair of source/drain electrodes 55. These layers are combined in this order.

Japanese Patent Publication No. 2005-150635 defines a preferable range of lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 54 as 2.613Å to 2.618Å based on X-ray diffraction values, which are mean values throughout oxide semiconductor thin film layer 54. Thus, the preferable range 2.613Å to 2.618Å defined by Japanese Patent Publication No. 2005-150635 for the lattice spacing  $d_{002}$  is calculated from mean values

In bottom gate TFTs, a portion of oxide semiconductor thin film layer 54 that forms an interface between gate insulator 53 and oxide semiconductor thin film layer 54, having a thickness of 10 nm or less, functions as a channel region. The channel region has a poorer crystallinity than the other part of oxide semiconductor thin film layer 54

throughout oxide semiconductor thin film layer 54.

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since the channel region is formed at an early stage in formation of oxide semiconductor thin film layer 54.

This means that the channel area formed in oxide semiconductor thin film layer 54 at an early stage of the film formation does not always have a lattice spacing  $d_{002}$  that is in the range calculated from the mean value of entire oxide semiconductor thin film layer 54 as disclosed in Japanese Patent Publication No. 2005 150635.

In a practical use of a bottom gate TFT in a liquid crystal display or the like, a protective insulator is formed on the oxide semiconductor thin

- film layer using a heating process. Since zinc oxide has a poor heat 10 resistance, the heat history during the protective insulator formation results in desorption of zinc or oxygen from the oxide semiconductor thin film layer as well as defects in the oxide semiconductor thin film layer. The defects form a shallow impurity level and reduce the resistance of the oxide
- semiconductor thin film layer. 15

In a bottom gate TFT, the defects caused by the formation of the protective insulator form defects in the surface of the oxide semiconductor thin film layer, which is at a back channel side of the bottom gate TFT. As described above, the bottom part of the oxide semiconductor thin film layer functions as a channel in the bottom gate TFT. The defects formed on the

back channel side greatly affect the performance of the bottom gate TFT.

In producing the bottom gate TFT disclosed in Japanese Patent Publication No. 2005-150635, only vacuum deposition of source/drain electrodes 55 is performed after oxide semiconductor thin film layer 54 is

formed. Thus, oxide semiconductor thin film layer 54 as described in 25Japanese Patent Publication No. 2005-150635 is not affected by the heat

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history caused during the formation of a protective insulator. In other words, the effects of heat on zinc oxide are not taken into account in defining the lattice constant range disclosed in Japanese Patent Publication No. 2005-150635. Therefore, it is not clear whether oxide semiconductor thin

5 film layer 54 has a lattice spacing that is within the above-mentioned range during the actual use of the TFT in a liquid crystal display or the like after a protective insulator is formed in the TFT.

## SUMMARY OF INVENTION

One object of the present invention is to provide a semiconductor device that includes an oxide semiconductor thin film layer of zinc oxide and that exhibits excellent performance even after being subjected to a heat treatment process during formation of an insulating film or the like.

According to one aspect of the present invention, a semiconductor 15 device includes an oxide semiconductor thin film layer of zinc oxide. The (002) lattice planes of at least a part of the oxide semiconductor thin film layer have a preferred orientation along a direction perpendicular to a substrate and a lattice spacing  $d_{002}$  of at least 2.619Å.

According to one aspect of a manufacturing method of a semiconductor device according to the present invention, a substrate is provided and an oxide semiconductor thin film layer of zinc oxide is deposited on the substrate. In the as-deposited state, the (002) lattice planes of at least a part of the oxide semiconductor thin film layer have a preferred orientation along a direction perpendicular to the substrate and a lattice spacing d<sub>002</sub> of at least 2.619Å.

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## BRIEF DESCRIPTION OF THE DRAWINGS

Further features and advantages of the present invention will 5 become apparent from the following detailed description, taken in combination with the accompanying drawings.

Fig. 1 is a cross sectional view of the thin film transistor according to the first embodiment of the present invention;

- Figs. 2A to 2F are cross sectional views of the thin film transistor (TFT) sequentially showing a manufacturing method of the thin film transistor of the first embodiment. Fig. 2A is a cross sectional view of the thin film transistor after formation of the pair of source/drain electrodes on the substrate; Fig. 2B is a cross sectional view of the thin film transistor after-formation of the oxide semiconductor thin film layer and the first gate
- insulator; Fig. 2C is a cross sectional view of the thin film transistor after formation of the photoresist; Fig. 2D is a cross sectional view of the thin film transistor after patterning of the oxide semiconductor thin film layer and the first gate insulator; Fig. 2E is a cross sectional view of the thin film transistor after formation of the second gate insulator and the contact holes;
  and Fig. 2F is a cross sectional view of the thin film transistor after
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electrodes, and the display electrode;

Fig. 3 is a cross sectional view of the thin film transistor according to the second embodiment of the present invention;

formation of the gate electrode, the contact parts, the external source/drain

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Figs. 4A to 4E are cross sectional views of the thin film transistor sequentially showing a manufacturing method of the thin film transistor of

the second embodiment. Fig. 4A is a cross sectional view of the thin film transistor after formation of the pair of source/drain electrodes and the contact layers on the substrate; Fig. 4B is a cross sectional view of the thin film transistor after formation of the oxide semiconductor thin film layer; Fig. 4C is a cross sectional view of the thin film transistor after formation of the first gate insulator; Fig. 4D is a cross sectional view of the thin film transistor after patterning of the first gate insulator, the oxide semiconductor thin film layer, and the contact layers; and Fig. 4E is a cross sectional view of the thin film transistor after formation of the second gate insulator and the contact holes;

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Fig. 5 is a cross-sectional view of the thin film transistor according to the third embodiment of the present invention;

Figs. 6A to 6F are cross sectional views of the thin film transistor (TFT) sequentially showing a manufacturing method of the thin film transistor of the third embodiment. Fig. 6A is a cross sectional view of the 15 thin film transistor after formation of the pair of source/drain electrodes and the oxide semiconductor thin film layer on the substrate; Fig. 6B is a cross sectional view of the thin film transistor after formation of the first gate insulator; Fig. 6C is a cross sectional view of the thin film transistor after patterning of the oxide semiconductor thin film layer and the gate insulator; 20Fig. 6D is a cross sectional view of the thin film transistor after formation of the second gate insulator; Fig. 6E is a cross sectional view of the thin film transistor after formation of the gate electrode and patterning of the first gate insulator and the second gate insulator; and Fig. 6F is a cross sectional view of the thin film transistor after formation of the interlayer insulator; 25

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Fig. 7 is a cross-sectional view of the thin film transistor according to the fourth embodiment of the present invention;

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Fig. 8 is a cross-sectional view of the thin film transistor according to the fifth embodiment of the present invention;

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Fig. 9 is a cross-sectional view of the thin film transistor according to the sixth embodiment of the present invention;

Figs. 10A to 10E are cross sectional views of the thin film transistor sequentially showing a manufacturing method of the thin film transistor of the sixth embodiment. Fig. 10A is a cross sectional view of the thin film transistor after formation of the gate electrode and the gate insulator; Fig. 10B is a cross sectional view of the thin film transistor after formation of the oxide semiconductor thin film layer and the first overcoat insulator; Fig. 10C is a cross sectional view of the thin film transistor after patterning of the oxide semiconductor thin film layer and the first overcoat insulator; Fig. 10D is a cross sectional view of the thin film transistor after formation of the second overcoat insulator and the contact holes; and Fig. 10E is a cross sectional view of the thin film transistor after formation of the pair of

Fig. 11 is a graph showing (002) diffraction peak locations in zinc oxide thin films formed under different film formation pressures; 20

Fig. 12 is a graph showing the dependency of the lattice spacing doo2, which is calculated from X-ray diffraction results, on the film-formation pressure under different gas flow rates;

Fig. 13 is a graph showing the dependency of the sheet resistance of zinc oxide thin films on the heat treatment temperatures;  $\mathbf{25}$ 

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source/drain electrodes;

Fig. 14 is a graph showing the drain current versus applied gate voltage;

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Fig. 15 is a graph showing the dependency of the sheet resistance of ion-doped zinc oxide thin films on the heating treatment temperature, comparing the zinc oxide thin films of different lattice spacings; and

Fig. 16 is a graph showing the structure of a conventional bottom gate thin film transistor.

#### DETAILED DESCRIPTION OF THE INVENTION

10 Embodiments of a semiconductor device according to the present invention will be described below using a thin film transistor, which is formed on a substrate, as an example of the semiconductor device. The term "semiconductor device" as used herein refers to a structure including a substrate, and specifically to a device in which at least one semiconductor 15 element (e.g., thin film transistor), which does not include a substrate, is formed on a substrate. It should be understood that the semiconductor device according to the present invention is not limited by the embodiments described below. For example, the semiconductor elements are not limited to thin film transistors but may be other semiconductor elements such as 20 diodes or photoelectric conversion elements. The structure of thin film

In the following description, orientations of zinc oxide are represented by the Miller indices, such as (002) preferred orientation. The Miller index (002) corresponds to (0002) preferred orientation represented by an index for the hexagonal crystal system.

transistors is not limited by the embodiments shown below.

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The term "intrinsic zinc oxide" as used herein refers to zinc oxide that contains substantially no impurities. The term "dope" as used herein refers to a process of introducing ions and includes an ion-implantation process.

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First Embodiment

electrode 8.

Fig. 1 shows the structure of a thin film transistor 100 according to the first embodiment of the present invention. Thin film transistor 100, which has a top-gate structure, is supported on substrate 1, and includes: a pair of source/drain electrodes 2, an oxide semiconductor thin film layer 3, a first gate insulator 4, contact parts 5a, a pair of external source/drain electrodes 2a, a second gate insulator 6, a gate electrode 7, and a display

The pair of-source/drain electrodes 2 are formed on substrate 1. 5 Source/drain electrodes 2 are spaced apart from each other on the upper surface of substrate 1.

Oxide semiconductor thin film layer 3 is formed on substrate 1 and the pair of source/drain electrodes 2. Oxide semiconductor thin film layer 3 is arranged such that a channel is formed between a source electrode and a drain electrode of source/drain electrodes 2. Oxide semiconductor thin film layer 3 is formed by an oxide semiconductor mainly comprising zinc oxide.

In Fig. 1, oxide semiconductor thin film layer 3 is shown as having a thinner portion on the pair of source/drain electrodes 2 and a thicker portion between the pair of source/drain electrodes 2, for convenience of illustration. However, the thinner portion and the thicker portion of oxide semiconductor thin film layer 3 actually have a substantially identical thickness. In other

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words, oxide semiconductor thin film layer 3 has a substantially constant thickness on the pair of source/drain electrodes 2 and between the pair of source/drain electrodes 2. This is also true in other drawings described below.

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The (002) lattice planes of the zinc oxide used in oxide semiconductor thin film layer 3 according to the present invention have a preferred orientation along a direction perpendicular to substrate 1 and a lattice spacing  $d_{002}$  of at least 2.619Å. Accordingly, oxide semiconductor thin film layer 3 has a high heat resistance.

The (002) lattice planes of single crystal zinc oxide have a lattice 10 spacing  $d_{002}$  that is in a range from 2.602Å to 2.604Å. Single crystal zinc oxide therefore exhibits an insufficient heat resistance. If such single crystal zinc oxide having an insufficient heat resistance is used in a top gate thin film transistor having the structure described above, the heat historyduring formation of gate insulator 4 would cause desorption of zinc and 15 oxygen from the zinc oxide near the surface of oxide semiconductor thin film layer 3 (channel region). The desorption of zinc and oxygen from oxide semiconductor thin film layer 3 causes defects which worsen the film quality of oxide semiconductor thin film layer 3. Such defects form electrically shallow impurity levels and reduce the resistance of oxide semiconductor 20thin film layer 3. In this situation, thin film transistor 100 operates in a normally on mode or a depletion mode. Such operation results in increased defect levels, a smaller threshold voltage, and an increased leak current.

The lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3 25 according to the present invention is at least 2.619Å. The oxide semiconductor thin film layer 3 having such a lattice spacing  $d_{002}$  exhibits

an excellent heat resistance. In other words, it is possible to suppress desorption of oxygen and zinc and to prevent a decrease in the resistance of oxide semiconductor thin film layer 3. Therefore, leak current in thin film transistor 100 is suppressed.

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More preferably, the lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3 is at least 2.625Å. Since such oxide semiconductor thin film layer 3 has an improved heat resistance, leak current in thin film transistor 100 is suppressed. The effect of the lattice spacing and the heat resistance on TFT performance will be described in the EXAMPLES section below.

10 First gate insulator 4 is formed to coat only the upper surface of oxide semiconductor thin film layer 3. First gate insulator 4 constitutes a part of a gate insulator. First gate insulator 4 functions not only as a gate insulator but also as a protective film that protects oxide semiconductor thin film layer 3 from etching by a resist stripper that is used to remove a photoresist mask employed in etching oxide semiconductor thin film layer 3.

Second gate insulator 6 is formed to coat the entire exposed surfaces of source/drain electrodes 2, oxide semiconductor thin film layer 3, and first gate insulator 4. By forming such second gate insulator 6, the upper surface of semiconductor thin film layer 3 is thoroughly coated with first gate insulator 4 while the side surfaces of semiconductor thin film layer 3 are thoroughly coated with second gate insulator 6.

First gate insulator 4 and second gate insulator 6 may be a silicon oxide  $(SiO_x)$  film, a silicon oxide nitride (SiON) film, a silicon nitride (SiN)film, or a silicon nitride (SiN) film doped with oxygen using oxygen or a compound containing oxygen. Preferably, first gate insulator 4 and second gate insulator 6 are formed by a silicon nitride (SiN) film doped with oxygen

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using oxygen or compound (e.g.  $N_2O$ ) containing oxygen. Such a doped silicon nitride film has a higher dielectric constant than silicon oxide compound (SiOx) or silicon oxide nitride (SiON).

First gate insulator 4 and second gate insulator 6 are formed, for 5 example, by means of plasma enhanced chemical vapor deposition (PCVD).

External source/drain electrodes 2a are respectively connected to source/drain electrodes 2 through contact holes 5 via contact parts 5a.

Gate electrode 7 is formed on second insulator 6. Gate electrode 7 is configured to control electron density in oxide semiconductor thin film layer 3 according to the gate voltage applied to thin film transistor 100.

Display electrode 8 is configured to apply a voltage to liquid crystal used in a liquid crystal display. Since display electrode 8 is required to have a high transmittance with respect to visible light, display electrode 8 is formed by a conductive oxide thin film containing indium tin oxide (FTO) or

15 the like. It should be understood that the display electrode 8 may be formed by a low resistance zinc oxide thin film of zinc oxide that is doped with dopants such as Al and Ga.

Referring to Figs. 2A to 2G, a manufacturing method of the thin film transistor 100 according to the first embodiment of the present invention will be described below.

Referring to Fig. 2A, a thin metal film is formed on substrate 1, and is then patterned by means of photolithography to form the pair of source/drain electrodes 2.

Referring to Fig. 2B, an intrinsic ZnO semiconductor thin film as oxide semiconductor thin film layer 3 is formed by means of magnetron sputtering on all of the exposed surfaces of substrate 1 and the pair of the

source/drain electrodes 2 to have a thickness of 50 to 100 nm. First gate insulator 4 is formed on oxide semiconductor thin film layer 3 using a technique and condition(s) that do not reduce the resistance of oxide semiconductor thin film layer 3. It is preferable to form first gate insulator 4 at a temperature of 250°C or below.

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According to one example of the film formation conditions of semiconductor thin film layer 3 of the present embodiment, semiconductor thin film layer 3 may be formed by way of radio-frequency magnetron sputtering using a mixed gas of argon and oxygen as a source gas.

The film formation conditions of oxide semiconductor thin film layer 3 according to the present invention are controlled such that the (002) lattice planes of oxide semiconductor thin film layer 3, in the as deposited state, have a lattice spacing  $d_{002}$  of at least 2.619Å, while the lattice spacing  $d_{002}$  of single crystal zinc oxide is in a range from about 2.602Å to 2.604Å.

Specifically, a lower film-formation pressure results in a larger lattice spacing  $d_{002}$ . In addition, if Ar and O<sub>2</sub> are used as source gases in forming a zinc oxide film, a lower Ar/O<sub>2</sub> flow ratio (flow ratio of Ar to O<sub>2</sub>) results in a larger lattice spacing  $d_{002}$ . Control of the lattice spacing  $d_{002}$ will be described in detail in the EXAMPLES section below.

20 Oxide semiconductor thin film layer 3 experiences a heat history during formation of first gate insulator 4. However, the high heat resistance of oxide semiconductor thin film layer 3 having a lattice spacing of at least 2.619Å according to the present invention suppresses desorption of oxygen and zinc from oxide semiconductor thin film layer 3 during

25 formation of first gate insulator 4, whereby a decrease in the resistance of

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oxide semiconductor thin film layer 3 is prevented. Accordingly, leak current in thin film transistor 100 is suppressed.

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Referring to Fig. 2C, a photoresist is coated and patterned on first gate insulator 4 so as to form a photoresist 4a. Using photoresist 4a as a mask, first gate insulator 4 is dry etched. Then oxide semiconductor thin film layer 3 is wet etched.

Fig. 2D shows a cross section of thin film transistor 100 after removal of photoresist 4a, subsequent to wet etching of oxide semiconductor thin film layer 3. In thin film transistor 100, a TFT active layer region

- 10 including first gate insulator 4 is formed in a self-aligning manner with respect to oxide semiconductor thin film layer 3. First gate insulator 4 is configured not only to form an interface with oxide semiconductor thin film layer 3 but also to protect oxide semiconductor thin film layer 3 during patterning of the active region. Specifically, gate insulator 4 protects oxide
- 15 semiconductor thin film layer 3 from various agents (e.g., resist stripper) used in a photolithography process. Without first gate insulator 4, the resist stripper, which is used for removal of photoresist 4a after the patterning of the active layer, contacts and roughens the surface and the grain boundaries of oxide semiconductor thin film layer 3. The presence of
- 20 first gate insulator 4 on oxide semiconductor thin film layer 3 prevents roughening of the surface and the grain boundaries of oxide semiconductor thin film layer 3.

First gate insulator 4 and oxide semiconductor thin film layer 3 may be processed using other methods than the above-mentioned methods. For example, both the first gate insulator and the oxide semiconductor thin film layer may be dry-etched or wet-etched.

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As shown in FIG. 2E, after patterning of the TFT active region, second gate insulator 6 is formed on the entire exposed surfaces of substrate 1, source/drain electrodes 2, oxide semiconductor thin film layer 3, and first gate insulator 4 such that second gate insulator 6 coats first gate insulator 4 and source/drain electrodes 2. Then contact holes 5 are opened in second gate insulator 6 to expose portions of source/drain electrodes 2. It is preferable to form second gate insulator 6 under the same conditions as

Lastly, referring to Fig. 2F, gate electrode 7 is formed by a metal film on second gate insulator 6. Then external source/drain electrodes 2a are formed by the same material as gate electrode 7. External source/drain electrodes 2a are respectively connected to source/drain electrodes 2 through contact holes 5 via contact parts 5a. Display electrode 8 is formed in the final step to form TFT 100 according to the first embodiment of the present invention.

those employed in forming first gate insulator 4.

Although it has been described above that the (002) lattice planes of the entire oxide semiconductor thin film layer 3 have a preferred orientation along a direction perpendicular to substrate 1 and a lattice spacing door of at least 2.619Å, the orientation and the lattice spacing of zinc oxide vary

20 depending on the material on which the zinc oxide is formed into a film. In thin film transistor 100, a portion of oxide semiconductor thin film layer 3 that is in contact with substrate 1 (a portion that is positioned between the pair of source/drain electrodes 2) may have a different orientation and a different lattice spacing from the other portion of oxide semiconductor thin

25 film layer 3 that is in contact with the pair of source/drain electrodes 2. In this case, at least the portion that is in contact with substrate 1 should have

a lattice spacing  $d_{002}$  of at least 2.619Å and maintain a high resistance. Since a channel is formed above the portion that is in contact with substrate 1, the leak current in thin film transistor 100 is suppressed by maintaining the high resistance of the portion that is in contact with substrate 1.

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### Second Embodiment

Next, a thin film transistor 200 according to the second embodiment of the present invention will be described. In the following description,

- 10 parts that are similar to or the same as parts described above with respect to the thin film transistor 100 of the first embodiment will be identified with the same reference numerals as used above with respect to the thin film transistor 100 according to the first embodiment, and description of these parts will be omitted.
- 15 Fig. 3 shows a cross section of thin film transistor 200 according to the second embodiment of the present invention. Thin film transistor 200 is formed on a substrate 1, and includes: a pair of source/drain electrodes 2, a pair of contact layers 10, an oxide semiconductor thin film layer 3, a first gate insulator 4, contact parts 5a, a pair of external source/drain electrodes
- 20 2a, a second gate insulator 6, a gate electrode 7, and a display electrode 8. These layers are combined in this order as shown in Fig. 3. As compared to thin film transistor 100, thin film transistor 200 additionally includes a pair of contact layers 10 between the pair of source/drain electrodes 2 and oxide semiconductor thin film layer 3.

The pair of contact layers 10 are mainly formed of zinc oxide and are formed to be in contact with oxide semiconductor thin film layer 3.

Specifically, the pair of contact layers 10 are respectively formed on source/drain electrodes 2. Oxide semiconductor thin film layer 3 is formed on contact layers 10 and between contact layers 10 between source/drain electrodes 2 so as to provide a channel between a source electrode and a drain electrode of source/drain electrodes 2. In this way, contact layers 10 are formed between oxide semiconductor thin film layer 3 and the pair of source/drain electrodes 2 to connect oxide semiconductor thin film layer 3 and the pair of source/drain electrodes 2.

In thin film transistor 200, the (002) lattice planes of the zinc oxide
used in oxide semiconductor thin film layer 3 and the pair of contact layers
10 have a preferred orientation along a direction perpendicular to substrate
1. Since both oxide semiconductor thin film layer 3 and the pair of contact
layers 10 are zinc oxide having (002) planes with a preferred orientation, it
is possible to form these layers using an identical target. Therefore, it is
possible to form oxide semiconductor thin film layer 3 and contact layers 10
using an identical apparatus. This eliminates the need to provide another

The lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3 is at least 2.619Å. The high heat resistance of oxide semiconductor thin film

20 layer 3 having such a lattice spacing d<sub>002</sub> reduces effects of the heat treatment during, for example, formation of first gate insulator 4. Therefore it is possible to suppress the occurrence of defects that form shallow impurity levels in oxide semiconductor thin film layer 3 so as to prevent a decrease in the resistance of oxide semiconductor thin film layer 3.

25 Thus, leak current in thin film transistor 200 is suppressed.

apparatus to form the pair of contact layers 10.

The lattice spacing  $d_{002}$  of the pair of contact layers 10 is controlled to be smaller than the lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3. Therefore, the heat resistance of the pair of contact layers 10 is lower than the heat resistance of oxide semiconductor thin film layer 3.

5 Accordingly, the heat treatment during, for example, formation of first gate insulator 4 causes more defects in the pair of contact layers 10 than in oxide semiconductor thin film layer 3. The presence of more defects in the pair of contact layers 10 reduces the resistance of the pair of contact layers 10 below the resistance of oxide semiconductor thin film layer 3. This

10 improves the contact between the pair of source/drain electrodes 2 and oxide semiconductor thin film layer 3 as well as the current driving capability of thin film transistor 200.

Specifically, it is preferable that the lattice spacing d<sub>002</sub> of the pair of contact layers 10 is 2.605Å or below. Contact layers 10 having a lattice
spacing d<sub>002</sub> of 2.605Å or below have a sufficiently lower heat resistance than oxide semiconductor thin film layer 3, which as described above has a lattice spacing d<sub>002</sub> of at least 2.619Å. Accordingly, after the heat treatment the resistance of the pair of contact layers 10 is lower than the resistance of oxide semiconductor thin film layer 3. This improves the contact between the pair of source/drain electrodes 2 and oxide semiconductor thin film layer 3 as well as the current driving capability of thin film transistor 200.

More preferably, the lattice spacing d<sub>002</sub> of oxide semiconductor thin film layer 3 is at least 2.625Å. Oxide semiconductor thin film layer 3 having a lattice spacing d<sub>002</sub> of at least 2.625Å has a further improved heat resistance. Therefore, even if oxide semiconductor thin film layer 3 is

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subjected to a more intensive heat history, the resistance of oxide semiconductor thin film layer 3 is not significantly reduced (see the more detailed discussion in the EXAMPLES section below). Thus, leak current in thin film transistor 200 is suppressed.

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If the lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3 is at least 2.625Å, it is preferable that the lattice spacing  $d_{002}$  of contact layers 10 is 2.619Å or below. In this case, the heat resistance of contact layers 10 is lower than the heat resistance of oxide semiconductor thin film layer 3. Accordingly, after the heat treatment the resistance of the pair of contact

layers 10 is lower than the resistance of oxide semiconductor thin film layer
3. This provides better contact between the pair of source/drain electrodes
2 and oxide semiconductor thin film layer 3. Therefore, thin film transistor
200 has a high current driving capability.

If the lattice spacing done of oxide semiconductor thin film layer 3 is at least 2.625Å, it is more preferable that the lattice spacing done of contact layers 10 is 2.605Å or below. In this case, the heat resistance of the pair of contact layers 10 is further reduced, and therefore the resistance of the pair of contact layers 10 having such a lattice spacing is more significantly reduced by a heat treatment. As a result, better contact between the pair of source/drain electrodes 2 and oxide semiconductor thin film layer 3 is

provided.

The effect of the lattice spacing  $d_{002}$  of zinc oxide used as a main component of the pair of contact layers 10 and oxide semiconductor thin film layer 3 on the resistance of these layers will be described in detail in the EXAMPLES section below.

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Next, the manufacturing method of thin film transistor 200 according to the second embodiment of the present invention will be described with reference to Figs. 4A to 4E.

The pair of source/drain electrodes 2 are formed on substrate 1.

5 Then, a contact layer of zinc oxide is formed to have a 10 to 100 nm thickness on all of the exposed surfaces of respective source/drain electrodes 2 and substrate 1. The contact layer of zinc oxide is then patterned so as to form the pair of contact layers 10 on the respective source/drain electrodes 2 with a gap therebetween in the region between the pair of source/drain

10 electrodes 2, as shown in FIG. 4A.

Referring to Fig. 4B, oxide semiconductor thin film layer 3 of zinc oxide is formed on all of the exposed surfaces of substrate 1 and the pair of contact layers 10 to have a thickness of 50 to 100 nm.

-The-pair of contact layers 10 and the oxide semiconductor thin film layer 3 are formed by means of, for example, magnetron sputtering. The conditions used in these film formations are controlled such that (002) planes of oxide semiconductor thin film layer 3 and the pair of contact layers 10, in the as deposited state, have a preferred orientation along a direction perpendicular to substrate 1. The film formation conditions are further

20 controlled such that oxide semiconductor thin film layer 3, in the as deposited state, has a lattice spacing d<sub>002</sub> of at least 2.619Å and such that the pair of contact layers 10, in the as deposited state, have a lattice spacing d<sub>002</sub> that is smaller than the lattice spacing d<sub>002</sub> of oxide semiconductor thin film layer 3, in the as deposited state. (See the disclosure of the preferred

25 relationship between the respective lattice spacings d<sub>002</sub> of the pair of contact layers 10 and the oxide semiconductor thin film layer 3 above.)

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The lattice spacing  $d_{002}$  may be controlled by varying the film-formation pressure or the gas flow ratio as mentioned above with respect to the first embodiment.

Specifically, a lower film-formation pressure results in a larger
Iattice spacing doo2. Thus, if the oxide semiconductor thin film layer 3 is formed with a film-formation pressure that is lower than the film-formation pressure used when forming the pair of contact layers 10, the oxide semiconductor thin film layer 3 will have a larger lattice spacing doo2 than the lattice spacing of the pair of contact layers 10. In addition, if Ar and O2 are used as source gases in forming a zinc oxide film, a lower Ar/O2 flow ratio (flow ratio of Ar to O2) results in a larger lattice spacing doo2. Control

of the lattice spacing  $d_{002}$  will be described in detail in the EXAMPLES section below.

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The oxide semiconductor thin film layer 3 and the pair of contact
15 layers 10 are both of zinc oxide. The lattice spacing dou2 of oxide
semiconductor thin film layer 3 and contact layers 10 is controlled by
modifying film formation conditions. In other words, it is possible to form
oxide semiconductor thin film layer 3 and the pair of contact layers 10 by
using an identical apparatus under different conditions. Therefore, no
additional apparatus is needed to form the pair of contact layers 10 when
forming thin film transistor 200 having the pair of contact layers 10.

Referring to Fig. 4C, first gate insulator 4 is formed on oxide semiconductor thin film layer 3. During formation of first gate insulator 4, oxide semiconductor thin film layer 3 and contact layers 10 experience a heat history. As described above, the lattice spacing doo2 of oxide semiconductor thin film layer 3, in the as-deposited state, is larger than the

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lattice spacing d<sub>002</sub> of contact layers 10, in the as-deposited state. Therefore, oxide semiconductor thin film layer 3 has a higher heat resistance than contact layers 10. Accordingly, the resistance of the pair of contact layers 10 is reduced by the heat history during the formation of first gate insulator 4, while oxide semiconductor thin film layer 3 maintains a high resistance.

Contact layers 10 have a lower resistance than oxide semiconductor thin film layer 3. Providing contact layers 10 having the lower resistance helps to provide better contact between the pair of source/drain electrodes 2 and oxide semiconductor thin film layer 3.

Further, oxide semiconductor thin film layer 3 maintains a high resistance through the heat history to suppress the leak current in thin film transistor 200.

After formation of first gate insulator 4, a photoresist is formed on first gate insulator 4. Using the photoresist as a mask, first gate insulator 4, oxide semiconductor thin film layer 3, and the pair of contact layers 10 are etched.

Fig. 4D shows a cross section of thin film transistor 200 after
removal of the photoresist subsequent to the etching. Thin film transistor
20 as shown in Fig. 4D has a TFT active layer region that includes first
gate insulator 4 formed in a self-aligning manner with respect to
semiconductor thin film layer 3. First gate insulator 4 is configured not
only to form an interface with oxide semiconductor thin film layer 3 but also
to protect oxide semiconductor thin film layer 3 during patterning of the

25 active region. Specifically, gate insulator 4 protects oxide semiconductor thin film layer 3 from various agents (e.g., resist stripper) used in a

photolithography process. Without first gate insulator 4, the resist stripper, which is used for removal of photoresist 4a after the patterning of the active layer, contacts and roughens the surface and the grain boundaries of oxide semiconductor thin film layer 3. The presence of first gate

5 insulator 4 on oxide semiconductor thin film layer 3 prevents the surface and the grain boundaries of oxide semiconductor thin film layer 3 from roughening.

Referring to Fig. 4E, second gate insulator 6 is then formed on all of the exposed surfaces of substrate 1, the pair of source/drain electrodes 2, the pair of contact layers 10, oxide semiconductor thin film layer 3, and first gate insulator 4, such that second gate insulator 6 coats first gate insulator 4 and source/drain electrodes 2. Then contact holes 5 are opened in second gate insulator 6 to expose portions of source/drain electrodes 2. It is preferable to form second gate insulator 6 under the same conditions used to form first gate insulator 4.

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Lastly, gate electrode 7 is formed by a metal film on second gate insulator 6. Then external source/drain electrodes 2a are formed by the same material as gate electrode 7. External source/drain electrodes 2a are respectively connected to source/drain electrodes 2 through contact holes 5 via contact parts 5a. Display electrode 8 is formed (see Fig. 3) in the final step to form a TFT 200 according to second embodiment of the present invention.

In a similar manner to the first embodiment described above, the orientation and the lattice spacing of the oxide semiconductor thin film layer 3 and contact layers 10 of TFT 200 vary depending on the underlying layer. The (002) planes of at least a portion of oxide semiconductor thin

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film layer 3 that is in contact with substrate 1 (a portion that is positioned between the pair of source/drain electrodes 2) and at least a portion of contact layers 10 that is in contact with substrate 1 (a portion that is positioned between the pair of source/drain electrodes 2) should have a

<sup>5</sup> preferred orientation along a direction perpendicular to substrate 1 and a lattice spacing d<sub>002</sub> of at least 2.619Å in order to maintain a high resistance in an area in which a channel is formed. This further provides better contact between the pair of source/drain electrodes 2 and oxide semiconductor thin film layer 3.

10 Although the thin film transistor 200 of the second embodiment has been described as being a top gate thin film transistor, the thin film transistor 200 having contact layers 10 according to the second embodiment of the present invention may be a top gate thin film transistor of a different structure or a bottom gate thin film transistor.

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## Third to Sixth Embodiments

Next, thin film transistors according to the third to sixth embodiments of the present invention will be described.

Oxide semiconductor thin film layer 3 of the thin film transistors according to the third to sixth embodiments includes a first region of intrinsic zinc oxide and second regions doped with donor ions. The first region is a channel region of the oxide semiconductor thin film layer 3 and the second regions are included in a pair of source/drain regions that define the channel region therebetween. The term "intrinsic zinc oxide" as used herein refers to zinc oxide that contains substantially no impurities. The

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term "dope" as used herein refers to a process of introducing ions and includes an ion-implantation process.

# Third Embodiment

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Fig.5 shows thin film transistor 300 according to the third embodiment of the present invention. Thin film transistor 300 is a so-called staggered thin film transistor, which is supported on a substrate 1, and includes: a pair of source/drain electrodes 2 formed on substrate 1 and spaced apart from each other, oxide semiconductor thin film layer 3, a first

10 gate insulator 4, a second gate insulator 6, a gate electrode 7, an interlayer insulator 9, contact parts 5a, a pair of external source/drain electrodes 2a, and a display electrode 8. First gate insulator 4 and second gate insulator 6 are indicated by different numerals because they are formed by separate steps in a manufacturing process of thin film transistor 300.

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Oxide semiconductor thin film layer 3 of zinc oxide is arranged to form a channel between a source electrode and a drain electrode of the pair of source/drain electrodes 2.

The (002) planes of the zinc oxide used in oxide semiconductor thin film layer 3 have a preferred orientation and a lattice spacing  $d_{002}$  of at least 2.619Å. Accordingly, oxide semiconductor thin film layer 3 has a high heat resistance.

The high heat resistance of oxide semiconductor thin film layer 3 suppresses desorption of oxygen and zinc and also prevents a decrease in the resistance of oxide semiconductor thin film layer 3. Therefore, leak current in thin film transistor 300 is suppressed.

More preferably, the lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3 is at least 2.625Å. Since semiconductor thin film layer 3 having such a lattice spacing  $d_{002}$  has a further improved heat resistance, leak current in thin film transistor 300 is further suppressed.

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Oxide semiconductor thin film layer 3 has a channel region 31 (the first region) and a pair of source/drain regions 32 (regions including the second regions). Channel region 31 is located directly below gate electrode 7 (as shown in Fig. 5) and functions as a channel.

The pair of source/drain regions 32 are the regions of oxide semiconductor thin film layer 3 other than channel region 31.

10 semiconductor thin film layer 3 other than thanker region out Source/drain regions 32 include the second regions, which are doped with donor ions and exhibit a low resistance. In the thin film transistor 300 according to the third embodiment, the entire areas of source/drain regions 32 are the second regions having a decreased resistance.

By providing source/drain regions 32, it is possible to suppress a parasitic resistance from the pair of source/drain electrodes 2 to the channel as well as current rate degradation. After being ion-doped, the pair of source/drain regions 32 are subjected to an activation treatment in order to reduce the resistance of the pair of source/drain regions 32. The method of reducing the resistance of the pair of source/drain regions 32 will be described in detail later.

First gate insulator 4 is formed to coat only the upper surface of channel region 31 of oxide semiconductor thin film layer 3 whereas second gate insulator 6 is formed to coat only the upper surface of first gate insulator 4. First gate insulator 4 and second gate insulator 6 may be a silicon oxide (SiOx) film, a silicon oxide nitride (SiON) film, a silicon nitride

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 $(SiN_x)$  film, or a silicon nitride  $(SiN_x)$  film doped with oxygen using oxygen or a compound containing oxygen. Also, first gate insulator 4 and second gate insulator 6 may be an aluminum oxide  $(AlO_x)$  film.

Gate electrode 7 is formed on second gate insulator 6. Preferably,
each end of gate electrode 7 is coincident with one of the inner ends of the pair of source/drain regions 32 along the film thickness direction. This reduces a parasitic capacitance between source/drain regions 32 and gate electrode 7 so as to improve the circuit speed of thin film transistor 300. Preferably, gate electrode 7 is narrower than the spacing between the inner ends of the pair of source/drain electrodes 2. This reduces a parasitic

capacitance between source/drain regions 32 and gate electrode 7 so as to suppress a decrease in the circuit speed of thin film transistor 300.

Interlayer insulator 9 is formed to coat all of the exposed surfaces of the pair of source/drain electrodes 2, the pair of source/drain regions 32, and gate electrode 7. Interlayer insulator 9 is configured not only to protect thin film transistor 300 but also to heat the pair of source/drain regions 32.

This reduces the resistance of the pair of source/drain regions 32.

External source/drain electrodes 2a are respectively connected to source/drain electrodes 2 through contact holes 5 via contact parts 5a.

Display electrode 8 is configured to apply a voltage to liquid crystal used in a liquid crystal display.

Referring to Fig. 6, a manufacturing method of thin film transistor 300 according to the third embodiment will be described.

As shown in Fig. 6A, a semiconductor thin film of zinc oxide is formed on all of the exposed surfaces of substrate 1 and the pair of source/drain electrodes 2 to have a thickness of, for example, 50 to 100 nm.

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According to one example of the film formation conditions of semiconductor thin film layer 3 of the third embodiment, semiconductor thin film layer 3 may be formed by way of radio-frequency magnetron sputtering using a mixed gas of argon and oxygen as a source gas.

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The film formation conditions of oxide semiconductor thin film layer 3 according to the present invention are controlled such that the lattice spacing d<sub>002</sub> of oxide semiconductor thin film layer 3, in the as deposited state, is at least 2.619Å. Specifically, the lattice spacing doo2 may be increased by reducing a film-formation pressure or Ar/O<sub>2</sub> flow ratio.

Next, as shown in Fig. 6B, first gate insulator 4 is formed on oxide semiconductor thin film layer 3. During formation of first gate insulator 4, oxide semiconductor thin film layer 3 experiences a heat history. Oxide semiconductor thin film layer 3 according to this embodiment has a lattice spacing d<sub>002</sub> of at least 2.619Å and exhibits a high heat resistance. The

- high heat resistance of oxide semiconductor thin film layer 3 prevents the 15 heat history during the formation of first gate insulator 4 from causing desorption of oxygen and zinc from oxide semiconductor thin film layer 3, so as to suppress leak current in thin film transistor 300. Specifically, the high heat resistance of oxide semiconductor thin film layer 3 reduces limitations on the temperature and the time for treating first gate insulator
  - For example, even if thin film transistor 300 is formed at a 4. comparatively high temperature, thin film transistor 300 becomes excellent in suppression of leak current.

Preferably, the film formation temperature of first gate insulator film 4 is 250°C or below. The film formation temperature may be 25determined according to the lattice spacing  $d_{002}$  and other conditions of

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oxide semiconductor thin film layer 3 as well as the desired TFT properties in order to prevent a decrease in the resistance of oxide semiconductor thin film layer 3.

Oxide semiconductor thin film layer 3 and first gate insulator 4 are patterned collectively. The collective patterning of oxide semiconductor thin film layer 3 and first gate insulator 4 makes it possible to protect the surface of oxide semiconductor thin film 3 from a resist stripper or the like. The resist stripper is used in, for example, removing a resist for patterning. Fig. 6C shows thin film transistor 300 after the patterning of oxide semiconductor thin film layer 3 and first gate insulator 4. 10

As shown in Fig. 6D, after the patterning of oxide semiconductor thin film layer 3 and first gate insulator 4, second gate insulator 6 is formed.

Gate electrode 7 is then formed on second gate insulator 6. Using gate electrode 7 as a mask, first gate insulator 4 and second gate-insulator 6 are dry-etched using gas such as SF<sub>6</sub>.

Fig. 6E shows a cross section of thin film transistor 300 after dry-etching of first gate insulator 4 and second gate insulator 6. As shown in Fig. 6E, first gate insulator 4, second gate insulator 6, and gate electrode 7 are formed in a self-aligning manner. Since oxide semiconductor thin

film layer 3 is not etched in the dry-etching, each end of oxide semiconductor 20 thin film layer 3 is not coated by first gate insulator 4, such that the ends of the oxide semiconductor thin film layer 3 are in an uncovered state.

After the patterning of first gate insulator 4 and second gate insulator 6, source/drain regions 32 are doped with ions that function as donors for zinc oxide through the full thickness of semiconductor thin film 25

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layer 3. Source/drain regions 32 are unmasked regions that are adjacent to the channel region 31 masked with gate electrode 7.

The donor ions include, for example, ions obtained by ionizing group III elements. The group III elements may be at least one of indium, gallium, aluminum, and the like.

5 gallium, aluminum, and the like.

Preferably, the ion-implantation technique is used in the doping. In the ion-implantation technique, accelerated ions with energies of several keV to several MeV are irradiated and doped to a target object. Using the ion-implantation technique, it is possible to dope source/drain regions 32

10 with the ions after oxide semiconductor thin film layer 3 is formed. In addition, the ion-doping results in interfaces between the doped region and the undoped region being coincident with the edges of first gate insulator 4, respectively as shown in Fig. 6F.

The donor-ions may be, for example, ions obtained by ionizing at least one of hydrogen (H), helium (He), neon (Ne), argon (Ar), krypton (Kr), fluorine (F), xenon (Xe), and oxygen (O). Since it is easy to obtain these ions by way of plasma decomposition or the like, mass segregation is not required to dope the ions to a large area.

The pair of source/drain regions 32 according to this embodiment are 20 in an uncovered state. The ions are doped directly to the pair of source/drain regions 32 and not through first gate insulator 4 or second gate insulator 6. This reduces an acceleration voltage used in the doping so as to reduce damages caused by the ion-doping to other regions than the pair of source/drain regions 32.

Now the mechanism of the decrease in resistance of the pair of source/drain regions 32 will be explained.

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The decrease in the resistance of the pair of source/drain electrodes 32 is caused by replacing oxygen and zinc (i.e., components of zinc oxide) with the doped ions, which are caused to enter lattice locations of zinc oxide. The entry of the doped ions to lattice locations of zinc oxide is referred to as activation of ions, and is caused by an activation treatment. If oxide

semiconductor thin film layer 3 has a lattice spacing d<sub>002</sub> of 2.602Å to 2.604Å, which is similar to the lattice spacing of so-called single crystal zinc oxide, the activation of the ions doped in oxide semiconductor thin film layer 3 is easily caused by an activation treatment such as a heat treatment at a

relatively low temperature. However, in zinc oxide with a larger lattice spacing d<sub>002</sub> (as in the structure of the present invention), the doped ions are less likely to enter lattice locations of the zinc oxide. In other words, ion activation is less likely to occur. In this case, the pair of source/drain regions 32 of oxide semiconductor thin film layer 3 are subjected to a heat
treatment (activation treatment) at a higher temperature to cause the activation of the ions doped in these regions. In other words, a heat treatment at a higher temperature allows the doped ions occupying interstitial sites to enter lattice sites to be electrically activated. Therefore,

it is possible to selectively reduce the resistance of the pair of source/drain
regions 32 so as to suppress a parasitic resistance from the pair of
source/drain electrodes 2 to the channel as well as current rate degradation.

The required temperature in the heat treatment applied to the pair of source/drain regions 32 to activate the ions depends on the lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3 (the lattice spacing  $d_{002}$  of the pair of source/drain regions 32) and the doping amount of the ions. If the lattice spacing  $d_{002}$  of oxide semiconductor thin film layer 3 is at least

2.625Å, the temperature of the heat treatment is preferably at least 250°C, and more preferably, at least 300°C. A heat treatment at such temperatures securely reduces the resistance of source/drain regions 32. The heat treatment as an activation treatment of the pair of source/drain

regions 32 adds a heat history also to channel region 31. However, channel region 31 has a lattice spacing  $d_{002}$  of at least 2.619Å (in this example, at least 2.625Å) and exhibits a high heat resistance. Therefore, channel region 31 maintains a high resistance through the heat history.

- Although the activation treatment has been explained using heat treatment as an example, the activation treatment may be laser irradiation or the like. If a laser irradiation is performed as the activation treatment, only the pair of source/drain regions 32 are irradiated with a laser beam and the pair of source/drain regions 32 are thus selectively activated. The laser used in the laser irradiation may be, for example, ultraviolet, infrared;
- 15 visible light, and the like. Particularly, ultraviolet light with at least 3.3eV energy is effectively used because it exhibits a high absorption rate to zinc oxide. Since the pair of source/drain regions 32 are in an uncovered state, it is possible for a laser to directly irradiate the pair of source/drain regions 32. Thus it is easy to activate the pair of source/drain regions 32.
- In thin film transistor 300 according to the third embodiment of the present invention, first gate insulator 4 and second gate insulator 6 are temporarily formed on the pair of source/drain regions 32 before the ion-doping to the pair of source/drain regions 32 (see Figs. 6B to 6D). The resistance of the pair of source/drain regions 32 that have experienced such processes is easily reduced by the ion-doping. This is because the heat history during the formation of first gate insulator 4 and second gate

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insulator 6 makes the pair of source/drain regions 32 more likely to be activated.

An insulator may be formed on the pair of source/drain regions 32, which are in an uncovered state according to the third embodiment. In this case, the heat history during the formation of the insulator activates the pair of source/drain regions 32 and reduces their resistance.

Specifically, as shown in Fig. 6F, formation of interlayer insulator 9
adds a heat history to the pair of source/drain regions 32. If the resistance
of the pair of source/drain regions 32 is sufficiently reduced in the formation
of interlayer insulator 9, the above described activation treatment may not

be required, so as to simplify the manufacturing process.

A reduction process may be performed as the activation treatment of the pair of source/drain regions 32. Specifically, interlayer insulator 9 is formed by means of plasma CVD to subject the pair of source/drain regions

32 to a reduction atmosphere of hydrogen or the like. In this treatment, only the pair of source/drain regions 32 are subjected to the reduction atmosphere without subjecting channel region 31 to the reduction atmosphere. This is possible because first gate insulator 4, second gate insulator 6, and gate electrode 7 overlie channel region 31. Therefore, it is possible to selectively reduce the resistance of only the pair of source/drain regions 32.

Then contact holes are opened by means of photolithography in interlayer insulator 9 to expose portions of the pair of the source/drain electrodes 2. External source/drain electrodes 2a are respectively connected to source/drain electrodes 2 through contact holes 5 via contact

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parts 5a. In the final step to form the TFT 300, display electrode 8 is formed using, for example, indium tin oxide (ITO).

Although thin film transistor 300 as described above includes first gate insulator 4 and second gate insulator 6, thin film transistor 300 may have a single-layered gate insulator to protect the surface of oxide semiconductor thin film layer 3 from etching. In this case, oxide semiconductor thin film layer 3 is patterned before formation of the gate insulator. Then gate electrode 7 is placed on the gate insulator to use the gate electrode 7 as a mask in etching the gate insulator.

10 Similarly to thin film transistors 100 and 200, it is required in thin film transistor 300 that (002) planes of at least a portion of oxide semiconductor thin film layer 3 that is in contact with substrate 1 (a portion that is positioned between the pair of source/drain electrodes 2) have a preferred orientation along a direction perpendicular to substrate 1 and a

lattice spacing d<sub>002</sub> of at least 2.619Å. However, it is not necessary for entire oxide semiconductor thin film layer 3 to have these properties.
Although thin film transistor 300 as described above is a top gate thin film transistor where gate electrode 7 is positioned above oxide semiconductor thin film layer 3, it may be a bottom gate thin film transistors where gate electrode 7 is positioned thin film transistors where gate electrode 3.

# Fourth embodiment

Fig. 7 shows thin film transistor 400 according to the fourth
embodiment. According to the fourth embodiment, thin film transistor 400
has gate insulators 4 and 6 which are configured to coat the entire upper
surface of oxide semiconductor thin film layer 3. Thus, in contrast to the

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structure of the third embodiment, in thin film transistor 400 according to the fourth embodiment, etching surfaces E defining all of the ends of gate insulators 4 and 6 are not coincident with the ends of gate electrode 7 along a film thickness direction.

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If etching surfaces E defining every end of gate insulator 4 and 6 are coincident with corresponding ends of gate electrode 7, as in thin film transistor 300 according to the third embodiment, an electric current flows near rough surfaces E, resulting from the etching. This causes a problem of an increased leak current. With the structure of thin film transistor 400 according to the fourth embodiment, by contrast, etching surfaces E of gate 10 insulator 4 and 6 are not coincident with the ends of gate electrode 7 along the film thickness direction such that no electric current flows through etching surfaces E. Therefore it is possible to prevent an increase in leak current due to the roughness of etching surfaces E.

The pair of source/drain regions 32 of thin film transistor 400 are not 15 in an uncovered state during ion-doping of the pair of source/drain regions In addition, surfaces of the pair of source/drain regions 32 are 32.protected by first gate insulator 4 and second gate insulator 6 during formation of interlayer insulator 9. Although etching surfaces E of first and second gate insulator 4 and 6 are formed in a self-aligning manner in 20 the present embodiment with respect to the etching surfaces E of source/drain regions 32, the etching surfaces E may have a different shape. For example, etching surfaces E of gate insulator 6 and gate electrode 7 and etching surfaces of gate insulator 4 and source/drain regions 32 may be formed in a self-aligning manner to obtain a similar effect as well as to 25reduce acceleration voltage during ion implantation.

Similarly to thin film transistors 100, 200 and 300, it is required in thin film transistor 400 that (002) planes of at least a portion of oxide semiconductor thin film layer 3 that is in contact with substrate 1 (a portion that is positioned between the pair of source/drain electrodes 2) have a

5 preferred orientation along a direction perpendicular to substrate 1 and a lattice spacing  $d_{002}$  of at least 2.619Å. However, it is not necessary for entire oxide semiconductor thin film layer 3 to have these properties.

Although thin film transistor 400 as described above is a top gate thin film transistor where gate electrode 7 is positioned above oxide

10 semiconductor thin film layer 3, it may be a bottom gate thin film transistors where gate electrode 7 is positioned below oxide semiconductor thin film layer 3.

### Fifth Embodiment

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In the staggered TFTs according to the above-described third and fourth embodiments, the full thickness of the pair of source/drain regions 32 must be doped with ions. However, sometimes it is impossible to dope the full thickness of the source/drain regions 32. For example, it is impossible to dope ions through the full thickness of a film using hydrogen (H), helium (He), neon (Ne), argon (Ar), krypton (Kr), fluorine (F), xenon (Xe), oxygen (O), or the like, even if the ion implantation technique is applied, although the ion implantation technique generally dopes ions to a deeper area of the film than other techniques. In addition, if the film has an excessive thickness, it is impossible to dope ions through the full thickness of a film.

In this case, a coplanar thin film transistor 500 as shown in Fig. 8 is used. The characteristic configurations of the staggered thin film

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transistors may be applied to coplanar thin film transistor 500. In coplanar thin film transistor 500 according to the fifth embodiment of the present invention, a pair of source/drain electrodes 2 are formed respectively on a pair of source/drain regions 32. With this structure, even a low resistance of only an upper surface of the pair of source/drain regions

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32 helps to suppress current rate degradation between the pair of source/drain electrodes 2 and a channel region 31.

In a coplanar thin film transistor, the doping of hydrogen (H), helium (He), neon (Ne), argon (Ar), krypton (Kr), fluorine (F), xenon (Xe),

10 oxygen (O), or the like may be performed by ionizing these ions using plasma decomposition and then subjecting the pair of source/drain regions 32 to the plasma.

Although thin film transistor 500 as described above is a top gate thin film transistor where gate electrode 7 is positioned above oxide

15 semiconductor thin film layer 3, it may be a bottom gate thin film transistor where gate electrode 7 is positioned below oxide semiconductor thin film layer 3.

## Sixth Embodiment

Fig. 9 shows bottom gate thin film transistor 600 according to the sixth embodiment of the present invention.

Thin film transistor 600 includes a gate electrode 7 formed on a substrate 1, a gate insulator 4 formed after the gate electrode 7 to coat gate electrode 7, an oxide semiconductor thin film layer 3 formed on gate insulator 4, a first overcoat insulator 11 formed to coat an upper surface of

oxide semiconductor thin film layer 3, a second overcoat insulator 12 formed

to coat side surfaces of oxide semiconductor thin film layer 3 (as well as first overcoat insulator 11), and a pair of source/drain electrodes 2. Gate insulator 4 of thin film transistor 600 has a single-layered structure. Similarly to thin film transistors 100 to 500, it is required in thin film

5 transistor 600 that (002) planes of the zinc oxide used in oxide semiconductor thin film layer 3 have a preferred orientation along a direction perpendicular to the substrate and a lattice spacing d<sub>002</sub> of at least 2.619Å.

In thin film transistor 600, oxide semiconductor thin film layer 3 is configured to have a connection area connected with the pair of source/drain electrodes 2. A portion of oxide semiconductor thin film layer 3 positioned inside the connection area functions as channel region 31. Outside portions of oxide semiconductor thin film layer 3 function as the pair of source/drain regions 32, which include an area having a lower resistance

than channel region 31. More specifically, each of the pair of source/drain regions 32 has a connection area (an area connected with one of the source/drain electrodes 2) that has a resistance that is lower than the resistance of the other areas of the pair of source/drain regions 32. This suppresses a parasitic resistance from the pair of source/drain electrodes 2
to a channel as well as current rate degradation.

Next, a manufacturing method of thin film transistor 600 will be described with reference to Figs. 10A to 10E.

As shown in Fig. 10A, gate electrode 7 and gate insulator 4 are formed on substrate 1. As shown in Fig. 10B, oxide semiconductor thin film layer 3 and first overcoat insulator 11 are then sequentially formed on gate insulator 4.

After formation of first overcoat insulator 11, oxide semiconductor thin film layer 3 and first overcoat insulator 11 are etched. Fig. 10C shows a cross section of thin film transistor 600 after the etching. Oxide semiconductor thin film layer 3 and first overcoat insulator 11, as shown in

5 Fig. 10C, are formed in a self-aligning manner. First overcoat insulator 11 is configured to protect oxide semiconductor thin film layer 3 during the etching. Specifically, first overcoat insulator 11 functions as a protective film that protects oxide semiconductor thin film layer 3 from various agents such as a resist stripper used in the etching of oxide semiconductor thin film

10 layer 3. This avoids the surface roughening of semiconductor thin film layer 3.

As seen in Fig. 10D, after formation of first overcoat insulator 11, second overcoat insulator 12 is formed. Then contact holes 5 are opened through first and second overcoat insulators 11 and 12 to oxide

15 semiconductor thin film layer 3 by way of photolithography.

Oxide semiconductor thin film layer 3 is subjected to an activation treatment by ion-doping through contact holes 5 (see Fig. 10D) to reduce the resistance of portions of oxide semiconductor thin film layer 3 under contact holes 5.

Since the portions of oxide semiconductor thin film layer 3 under contact holes 5 are in an uncovered state, it is easy to subject these portions to an ion-doping and an activation treatment.

In the final step to form thin film transistor 600, contact holes 5 are filled with metallic materials or the like (see Fig. 10E). The metallic materials in contact holes 5 form the pair of source/drain electrodes 2. As described above, the portion of oxide semiconductor thin film layer 3

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positioned inside the connection area (area connected with the pair of source/drain electrodes) functions as channel region 31, while the outside portions of oxide semiconductor thin film layer 3 function as the pair of source/drain regions 32. Since the exposed portions with the reduced

resistance are located in the pair of source/drain regions 32 as the second regions, a parasitic resistance between the pair of source/drain electrodes 2 and the channel is suppressed.

It has been described that a treatment for reducing the resistance of oxide semiconductor thin film layer 3 of thin film transistor 600 is

10 performed through contact holes 5, which are formed in second overcoat insulator 12 by means of photolithography (see Fig. 10D). To use such a treatment, at least a part of contact holes 5 and gate electrode 7 should overlap each other in an overlap area. If such an overlap area is not formed; an offset structure, in which an area of a high resistance is formed.
15 between the channel and source/drain regions 32, of the thin film transistor 600 results. This may increase parasitic capacitance of thin film transistor 600 and cause current rate degradation.

If the thin film transistor 600 has this structure in which the overlap area of the contact holes 5 with the gate electrode 7 is not present, one solution to prevent the high resistance area between the channel and source/drain regions 32 and to reduce the parasitic capacitance of thin film transistor 600 is patterning a resist on first overcoat insulator 11 without using a photomask to reduce the resistance of oxide semiconductor thin film layer 3. Specifically, after the patterning of first overcoat insulator 11 and oxide semiconductor thin film layer 3 (see Fig. 10C), a resist is formed on first overcoat insulator 11. The resist is exposed to light from a substrate

side and patterned. The resist is used as a mask in the ion-doping and activation treatment performed subsequently to reduce the resistance of the pair of source/drain regions 32, which are located outside the area that overlies gate electrode 7.

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In this case, gate electrode 7 should be shorter in a channel length direction and longer in a channel width direction than oxide semiconductor thin film layer 3. A portion of semiconductor thin film layer 3 directly above gate electrode 7 functions as channel region 31 whereas the other portions of oxide semiconductor thin film layer 3 defining the channel region 31 therebetween function as the pair of source/drain regions 32.

This method enables the resistance of the entire area of the pair of source/drain regions 32, which are defined as regions in oxide semiconductor thin film layer 3 other than channel region 31, to be reduced.

#### EXAMPLES

Hereinafter, the effect of the film formation conditions of a zinc oxide thin film on the preferred orientation and the lattice spacing of the zinc oxide film will be explained.

A zinc oxide thin film was formed on a glass substrate by means of radio-frequency magnetron sputtering, using nine film formation conditions resulting from combinations between three film-formation pressures and three Ar/O<sub>2</sub> gas flow ratios in a mixed gas of argon and oxygen as a source gas. The three film-formation pressures were 7Pa, 1Pa, and 0.5Pa. The three Ar/O<sub>2</sub> gas flow ratios were 10/5, 10/15, and 10/30ccm (cc/min).

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The following conditions are used in this example. Sintered and pressed zinc oxide having a purity of 99.999% was used as a target. The

substrate temperature was kept at 150°C. The distance between the substrate and the target was fixed at 88mm. The diameter of the zinc oxide target was 4 inches  $\varphi$ . The applied electric power was 180W, i.e., the radio-frequency power density was 2.2W/cm<sup>2</sup>.

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X-ray diffraction was used to estimate the preferred orientation and the lattice spacing of the zinc oxide films prepared under the above-mentioned nine film formation conditions. CuKal (wave length: 1.54056Å) was used in the X-ray diffraction measurement.

It was confirmed that all of the zinc oxide thin films had an X-ray diffraction peak only in (002) direction. It was also confirmed that the (002) planes of all the zinc oxide thin films had a preferred orientation.

The X-ray enters samples and produces diffraction peaks in an angle that meets the following Bragg's condition,

 $2 \times d \times \sin \theta = n \times \lambda$ ,

15 where d is a lattice spacing along the film thickness direction;  $\lambda$  is a wave length of the X-ray used in the measurement; n is a diffraction order; and  $\theta$ is a diffraction angle (rad) of the X-ray.

For thin films in which (002) planes have a preferred orientation, d corresponds to lattice spacing  $d_{002}$  of (002) lattice planes. For CuKa1 ray used in this measurement,  $\lambda$ =1.54056Å. In this example, n=1.

Therefore, this example meets the following equation.

 $d = (1 \times 1.54056) / (2 \times \sin \theta)$ .

This means that lattice spacing d depends on the diffraction angle  $\theta$ at which the X-ray produces diffraction peaks. The increase in lattice spacing d results in a smaller diffraction angle  $\theta$ .

Since all of the zinc oxide thin films formed under the nine film formation conditions have a preferred c-axis orientation, d obtained for the zinc oxide thin films of this example is lattice spacing  $d_{002}$ . Lattice spacing  $d_{002}$  is calculated from X-ray diffraction peak locations.

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It has been reported that the lattice spacing constant  $2d_{002}$  of single-crystal zinc oxide along (002) direction ranges from 5.204Å to 5.208Å. Considering that there are two of Zn planes or O planes in a unit lattice, the (002) lattice planes have a lattice spacing  $d_{002}$  of single-crystal zinc oxide that is in the range from 2.602Å to 2.604Å.

Fig. 11 shows a change in (002) diffraction peak locations of the zinc oxide thin films with respect to different film formation pressures. Ar/O<sub>2</sub> flow ratio is fixed to 10/15ccm.

In Fig. 11, curves 111, 112, and 113 respectively indicate results when the film formation pressure is 7Pa, 1Pa, and 0.5Pa. The vertical scale plots X-ray diffraction intensity (arb. unit) whereas the horizontal scale plots diffraction peak location 20.

As shown in Fig. 11, the decrease in film-formation pressure from 7Pa to 0.5Pa results in a decreasing shift of X-ray peak location, which indicates increase in lattice spacing  $d_{002}$  of (002) lattice planes.

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Fig. 12 shows the dependency of lattice spacing  $d_{002}$  on film-formation pressures according to the X-ray diffraction results obtained for different gas flow ratios.

In Fig. 12, curves 121, 122, and 123 respectively indicate the results when the gas flow ratio Ar/O<sub>2</sub> is 10/5, 10/15, and 10/30ccm. The vertical scale plots lattice spacing d<sub>002</sub> whereas the horizontal scale plots film formation pressure during formation of the zinc oxide films.

The lattice spacing of single crystal zinc oxide is distributed within range A shown in Fig. 12.

As shown in Fig. 12, the lower the film-formation pressure is or the  $Ar/O_2$  flow ratio is, the larger the lattice spacing  $d_{002}$  becomes.

It is noted that it is possible to control the lattice spacing (i.e., lattice constant) of the zinc oxide by changing film formation conditions.

The nine kinds of data plotted in Fig. 11 and Fig. 12 are shown in Table 1 below. The characters A-I respectively indicate the nine zinc oxide thin films.

Ta	ble	1
	DIC	L.

	Ar/O <sub>2</sub>	Pressure	20	d002
A	10/5	0.5	34.02	2.63280
В	10/5	1	34.20	2.61964
С	10/5	7	34.45	2.60138
D	10/15	0.5	33.93	2.63955
E	10/15	1	34.12	2.62583
F	10/15	7	34.42	2.60327
G	10/30	0.5	34.06	2.63009
H	10/30	1	33.97	2.63685
I	10/30	7	34.39	2.60560

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Next, the relation between the heat resistance and the lattice spacing of zinc oxide thin films will be described.

Fig. 13 shows the dependency of the sheet resistance of zinc oxide thin films on heat-treatment temperature.

In Fig. 13, curves 131, 132, 133, and 134 respectively indicate the sheet resistivity of zinc oxide thin films in which the (002) lattice planes have a lattice spacing  $d_{002}$  of 2.605Å (thin film I), 2.619Å (thin film B), 2.625Å (thin film E), and 2.636Å (thin film H). The sheet resistivity was measured after a heat treatment for 2 hours in a vacuum. The vertical scale plots sheet resistivity whereas the horizontal scale plots anneal

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temperature. Since the sheet resistivity of the zinc oxide films exhibits an identical behavior below 200°C, curves 132, 133, and 134 overlap each other in this range.

For a zinc oxide thin film in which the (002) lattice planes have a lattice spacing  $d_{002}$  of 2.605Å (thin film I, curve 131 in Fig. 13), which is similar to the lattice spacing  $d_{002}$  of single crystal zinc oxide, a heat treatment at200°C results in a reduction in resistivity by at least 3 orders of magnitude from the high resistance ( $10^{14}\Omega/\Box$  sheet resistance) of the zinc oxide thin film, in the as-deposited state (immediately after the film formation). A heat treatment at 250°C applied to the zinc oxide thin film having a lattice spacing  $d_{002}$  of 2.605Å results in a reduction in resistivity by approximately 10 orders of magnitude from the high resistance ( $10^{14}\Omega/\Box$ sheet resistance) of the zinc oxide thin film in the as-deposited state.

For the zinc oxide thin film in which the (002) lattice planes have a lattice spacing d<sub>002</sub> of 2.619Å (thin film B, curve 132 in Fig. 13), a heat treatment at 200°C does not significantly reduce the resistvity of the zinc oxide thin film from the resistance of the oxide semiconductor thin film in the as deposited state. A heat treatment at 250°C applied to the same zinc oxide thin film results in a reduction in the resistivity by approximately 5 orders of magnitude, which is smaller than the reduction by approximately 9 orders of magnitude found when the heat treatment at 250°C is applied to the zinc oxide thin film having a lattice spacing d<sub>002</sub> of 2.605Å.

For the zinc oxide thin film in which (002) lattice planes have a lattice spacing  $d_{002}$  of 2.625Å (thin film E, curve 133 in Fig. 13), a heat

25 treatment at 250°C results in a reduction in resistivity by approximately 2 orders of magnitude. For the zinc oxide thin film having a lattice spacing

 $d_{002}$  of 2.636Å (thin film H, curve 134 in Fig. 13), the same heat treatment results in a still smaller reduction in the resistivity by approximately 1 order of magnitude. (As described above the sheet resistivity of the zinc oxide films E and H exhibits a behavior identical to the behavior of the sheet

5 resistivity of the zinc oxide film B below 200°C.)

Thus, an increase in the lattice spacing  $d_{002}$  reduces the temperature at which the decrease in resistivity starts and thereby improves heat resistance.

Considering the above results, an oxide semiconductor thin film 10 layer having a lattice spacing d<sub>002</sub> of at least 2.619Å that exhibits a high heat resistance is preferably used as an oxide semiconductor thin film layer having a high heat resistance, in the present invention. More preferably, an oxide semiconductor thin film layer having a lattice spacing d<sub>002</sub> of at least 2:625Å is used.

15 It is found from the above results that the thin film transistor 200 according to the second embodiment of the present invention exhibits an excellent TFT performance. For example, if a zinc oxide thin film having a lattice spacing d<sub>002</sub> of 2.625Å is used as oxide semiconductor thin film layer 3 and if a heat treatment at 250°C is used in formation of first gate

20 insulator 4, a zinc oxide thin film having a lattice spacing d<sub>002</sub> of 2.619Å or below is preferably used as contact layers 10 so as to control the resistance of the pair of contact layers 10 to be smaller than the resistance of oxide semiconductor thin film layer 3 by at least 2 orders of magnitude. This difference between the resistance of contact layers 10 and the resistance of 25 oxide semiconductor thin film layer 3 is sufficient to provide a better contact between the pair of source/drain electrodes 2 and oxide semiconductor thin

film layer 3. More preferably, a zinc oxide thin film having a lattice spacing  $d_{002}$  of 2.605Å or below is used as contact layers 10 so as to control the resistance of the pair of contact layers 10 to be smaller than the resistance of oxide semiconductor thin film layer 3 by at least 6 orders of

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 $\mathbf{5}$ magnitude.

> Alternatively, if a zinc oxide thin film having a lattice spacing doo2 of 2.619Å is used as oxide semiconductor thin film layer 3 and if a heat treatment at 250°C is used in formation of first gate insulator 4, a zinc oxide thin film having a lattice spacing door of 2.605Å or below is preferably used

as the pair of contact layers 10 so as to control the resistance of the pair of 10 contact layers 10 to be smaller, by at least 2 orders of magnitude, than the resistance of the oxide semiconductor thin film layer 3.

Next, the TFT performance of a thin film transistor is tested to clarify the effects of the present invention.

Thin film transistor 100 (see Fig. 1) is prepared according to the following method (see Fig. 2).

A substrate 1 of no alkali glass mainly comprising SiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> is provided. A pair of source/drain electrodes 2 of indium tin oxide are formed on the substrate 1 to be 40nm in thickness.

A zinc oxide thin film is deposited by radio frequency magnetron sputtering on all of the exposed surfaces of substrate 1 and the pair of source/drain electrodes 2 to form an oxide semiconductor thin film layer 3 of 60nm thickness.

After formation of oxide semiconductor thin film layer 3, first gate insulator 4 of SiN having a 50nm thickness is formed on the entire upper 25surface of oxide semiconductor thin film layer 3. Formation of first gate

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insulator 4 is performed at 250°C by means of plasma enhanced chemical vapor deposition (PCVD) using SiH<sub>4</sub>+NH<sub>3</sub>+N<sub>2</sub> gas.

Then a photoresist is coated and patterned on first gate insulator 4. Using the patterned photoresist as a mask, first gate insulator 4 is

5 dry-etched using  $CF_4+O_2$  gas.

After the etching of first gate insulator 4, oxide semiconductor thin film layer 3 is wet-etched using 0.2% HNO<sub>3</sub> solution to remove the photoresist. Then second gate insulator 6 of SiN<sub>x</sub> having a 300nm thickness is formed on all of the exposed surfaces of substrate 1,

10 source/drain electrodes 2, oxide semiconductor thin film layer 3, and first gate insulator 4.

Formation of second gate insulator 6 is performed at 250°C by means of plasma enhanced chemical vapor deposition (PCVD) using  $SiH_4+NH_3+N_2$  gas.

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After formation of second gate insulator 6, contact holes are opened in an upper part of the pair of source/drain electrodes 2.

Lastly, gate electrode 7 of Cr having a 100nm thickness is formed on second gate insulator 6, and then external source/drain electrodes 2a are formed, using the same material. The external source/drain electrodes 2a are respectively connected to source/drain electrodes 2 through contact holes 5 via contact parts 5a in the final step of forming thin film transistor 100 used in the TFT performance test.

Three sample thin film transistors were prepared by the manufacturing process described above using respective zinc oxide thin films having different lattice spacings. A control thin film transistor, referred to below as thin film transistor 101, was formed using a zinc oxide

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thin film (thin film I) having a lattice spacing  $d_{002}$  of 2.605Å as oxide semiconductor thin film layer 3. A thin film transistor referred to below as thin film transistor 102 was formed using a zinc oxide thin film (thin film E) having a lattice spacing  $d_{002}$  of 2.625Å as oxide semiconductor thin film

5 layer 3. And a thin film transistor referred to below as thin film transistor 103 was formed using a zinc oxide thin film (thin film G) having a lattice spacing  $d_{002}$  of 2.630Å as oxide semiconductor thin film layer 3.

Thin film transistor 100 and the control thin film transistor have a top gate structure. In the top gate structure, an upper part of oxide semiconductor thin film layer 3 is used as a channel region. The oxide semiconductor thin film layer 3 in a top gate thin film transistor is more directly affected by the heat produced in forming the gate insulator on the oxide semiconductor thin film layer 3 than the oxide semiconductor thin film layer 3 in a bottom gate thin film transistor. Use of the top gate thin film transistor enables results to be obtained that more precisely reflect the

15 film transistor enables results to be obtained that more precisely remost the effects of the heat applied to the oxide semiconductor thin film layer.

The TFT performance of each of the thin film transistors 101, 102, and 103 formed by the above described process were tested as described below. Fig. 14 shows a change in the drain current of thin film transistor 101, 102, and 103 according to various gate voltages applied to thin film transistor 101, 102, and 103. In Fig. 14, the vertical scale plots the magnitude of drain current Id whereas the horizontal scale plots the magnitude of the applied gate voltage Vg. A constant drain voltage Vd is used in this test.

As shown in Fig. 14, thin film transistor 101 including an oxide semiconductor thin film layer having a lattice spacing  $d_{002}$  of 2.605Å allows

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a constant drain current to remain unaffected by the change in the gate voltage. This means that thin film transistor 101 operates in a so-called normally-on mode or a depletion mode. In other words, thin film transistor 101 does not function as a thin film transistor.

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The oxide semiconductor thin film layer of thin film transistor 101 has a similar lattice spacing  $d_{002}$  to the lattice spacing  $d_{002}$  of single crystal zinc oxide and exhibits an insufficient heat resistance, as shown by curve 131 in Fig. 13. The heat history during formation of gate insulator 4 causes desorption of zinc and oxygen from the channel region located

10 around the surface of oxide semiconductor thin film layer 3. The desorption forms defects in the oxide semiconductor thin film layer, which reduces the resistance of the oxide semiconductor thin film layer. Thus thin film transistor 101 loses its functions as a TFT.

The drain current of TFT 103 having a lattice spacing d<sub>602</sub> of 2.630Å 15 rises at a gate voltage of 2V. This means that TFT 103 has sufficient functions as a TFT.

The drain current of TFT 102 having a lattice spacing d<sub>002</sub> of 2.625Å exhibits a higher rise characteristic than TFT 103. In addition, the drain current value of TFT 102 at a gate voltage 10V is improved by at least 1 order of magnitude, as compared to TFT 103.

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As described above, an increase in the lattice spacing  $d_{002}$  results in an increase in the heat resistance. The increased heat resistance suppresses defects in the oxide semiconductor thin film layer to improve the TFT performance. The better TFT performance of TFT 102 than the TFT performance of TFT 103 of a greater lattice spacing  $d_{002}$  could be due to the more advanced crystallization in thin film E (lattice spacing  $d_{002}=2.625$ Å) of

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TFT 102 than the crystallization in thin film G (lattice spacing  $d_{002}=2.630$ Å) of TFT 103, referring to the comparison between the X-ray diffraction intensities of the curve 113 (thin film D:  $d_{002}=2.639$ Å) and the curve 112 (thin film E:  $d_{002}=2.625$ Å). Though not shown in the drawings, a test comparing the X-ray diffraction intensities of thin film E and thin film G was carried out and the test revealed a more advanced crystallization in thin film G.

In this example, the TFT performance of top gate thin film transistors has been tested. This is because the top gate thin film transistors are more sensitive to the heat history than bottom gate thin film transistors. However, the heat history during formation of a protective insulator on the oxide semiconductor thin film layer also causes defects in a bottom gate thin film transistor so as to particularly affect the back channel. The effects on the back channel change the TFT performance. Therefore, the high resistance oxide semiconductor thin film layer according to the

15 the high resistance oxide semiconductor thin init layer according to the present invention may also be useful in bottom gate thin film transistors.

The semiconductor element included in the present invention is not limited to a thin film transistor but may be other kinds of semiconductor element. The present invention may be useful not only in a thin film transistor but also in other semiconductor devices. The present invention is particularly useful in a structure where an oxide semiconductor thin film layer is affected by the heat history during formation of an insulator on the oxide semiconductor thin film layer.

Lastly, thin film transistors including an ion-doped oxide semiconductor thin film layer as described in the third to sixth

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embodiments were tested. Fig. 15 is a graph showing the heat resistance of ion-doped zinc oxide thin films.

In Fig. 15, curves 151 and 152 respectively indicate the heat resistance of a zinc oxide thin film (thin film I) having a lattice spacing  $d_{002}$ of 2.605Å and of a zinc oxide thin film (thin film H) having a lattice spacing  $d_{002}$  of 2.636Å, both of which were doped with gallium (Ga) ions. The thin films were heat-treated for 2 hours in a vacuum. After the sample temperature fell below 200°C, the thin films were subjected to the atmosphere. Fig. 15 shows the sheet resistivity of the thin films

10 measured after the subjection to the atmosphere. The vertical scale plots sheet resistivity whereas the horizontal scale plots anneal temperature.

In the doping of gallium,  $1 \times 10^{15}$ /cm<sup>2</sup> accelerated gallium ions with 80 keV energy were doped without heating.

The resistance of the zinc oxide thin film having a lattice spacing d<sub>002</sub> of 2.605Å, which is similar to the lattice spacing d<sub>002</sub> of zinc oxide single crystal, decreases right after the ion doping (see curve 151 in Fig. 15).

The resistance of the zinc oxide thin film having a lattice spacing  $d_{002}$  of 2.636Å does not significantly decrease after the ion-doping.

However, a heat treatment after the ion-doping reduces the
resistance of the zinc oxide thin film having a lattice spacing dool of 2.636Å (see curve 152 in Fig. 15). As described by curve 134 in Fig. 13, the non ion-doped zinc oxide thin film maintains a high resistance until around 250°C. The heat treatment causes a difference between the resistance of the ion-doped zinc oxide thin film and the resistance of the non ion-doped zinc oxide thin film. Specifically, a heat treatment at around 200 to 250°C allows the resistance of the ion-doped zinc oxide the ion-doped zinc oxide to be maintained at a high

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level (as indicated by curve 134 in Fig. 13) while reducing the resistance of the non ion-doped zinc oxide to a sufficiently lower level than the resistance of the ion-doped zinc oxide (as indicated by curve 152 in Fig. 15).

If a thin film transistor is formed to include an oxide semiconductor thin film layer having a large lattice spacing d<sub>002</sub>, it is not sufficient to dope ions in order to reduce the resistance of the oxide semiconductor thin film layer such that a pair of source/drain regions are appropriately formed. An activation treatment (e.g., heat treatement) is further used to reduce the resistance of only the ion-doped area such that a pair of source/drain regions

10 are appropriately formed. In addition, the non ion-doped area (i.e., channel area) maintains a high resistance through the activation treatment such that a thin film transistor with a high current driving capability is provided.

At a lattice spacing door of 2.605Å, a heat treatment at 200°C or above results in a decrease in the resistance of both the ion-doped zinc oxide (see curve 151 in Fig. 15) and the non ion-doped zinc oxide (see curve 131 in Fig. 13). As a result, it is not possible to maintain a high resistance of the channel region so as to form a thin film transistor with a high current driving capability at a lattice spacing door of 2.605Å.

The rate of decrease in the resistance caused by the heat treatment after the ion-doping depends on the amount of doped ions, the type of doped ions, or the heat treatment.

As described above, the semiconductor device including a semiconductor thin film layer of zinc oxide according to the present invention exhibits an excellent performance and is suitably used as a driving element in, for example, a liquid crystal display device and the like.

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#### CLAIMS

1. A semiconductor device comprising: a substrate (1); and

a semiconductor element including an oxide semiconductor thin film layer (3) of zinc oxide, which is formed on the substrate, and at least a portion of which includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing  $d_{002}$  of at least 2.619Å.

- 10 2. The semiconductor device according to claim 1, wherein the entire oxide semiconductor thin film layer (3) includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate (1) and a lattice spacing  $d_{002}$  of at least 2.619Å.
- 15 3. The semiconductor device according to claim 1, wherein a portion of the oxide semiconductor thin film layer (3) which is in contact with the substrate (1) includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing  $d_{002}$  of at least 2.619Å.

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4. The semiconductor device according to claim 1, wherein the lattice spacing  $d_{002}$  of the (002) lattice planes of the oxide semiconductor thin film layer (3) is at least 2.625Å.

5. The semiconductor device according to claim 1, further comprising an insulating film (4, 6, 9, 11, 12) formed on the oxide semiconductor thin film layer (3).

5 6. The semiconductor device according to claim 1, wherein the semiconductor element comprises a thin film transistor.

7. A semiconductor device comprising: a substrate (1);

an oxide semiconductor thin film layer (3) of zinc oxide, which is formed on the substrate, and at least a portion of which includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing d<sub>002</sub> of at least 2.619Å; and

contact layers (10) of zinc oxide, which are formed in contact with the oxide semiconductor thin film layer, and at least a portion of each of which includes (002) lattice planes having a preferred orientation along the direction perpendicular to the substrate and a lattice spacing d<sub>002</sub> that is smaller than the lattice spacing d<sub>002</sub> of the (002) lattice planes of the oxide semiconductor thin film layer.

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8. The semiconductor device according to claim 7, wherein the entire oxide semiconductor thin film layer (3) and the entire contact layers (10) include (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing d<sub>002</sub> of at least 2.619Å.

9. The semiconductor device according to claim 7, wherein a portion of the oxide semiconductor thin film layer (3) which is in contact with the substrate (1) and a portion of the contact layers (10) which is in contact with the substrate include (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing  $d_{002}$  of at least 2.619Å.

10. The semiconductor device according to claim 7, wherein the lattice spacing d<sub>002</sub> of the (002) lattice planes of the contact layers (10) is not
10 more than 2.605Å.

11. The semiconductor device according to claim 7, wherein the lattice spacing d<sub>002</sub> of the (002) lattice planes of the oxide semiconductor thin film layer (3) is at least 2.625Å, and the lattice spacing-d<sub>002</sub> of the (002) lattice planes of the contact layers (10) is not more than 2.619Å.

12. The semiconductor device according to claim 11, wherein the lattice spacing  $d_{002}$  of the (002) lattice planes of the contact layers (10) is not more than 2.605Å.

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13. The semiconductor device according to claim 7, further comprising:

a pair of source/drain electrodes (2) electrically coupled to the oxide semiconductor thin film layer (3) via the contact layers (10);

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a gate insulator (4, 6); and

a gate electrode (7) formed on the gate insulator;

. . .

wherein the oxide semiconductor thin film layer, the contact layers, the pair of the source/drain electrodes, the gate insulator, and the gate electrode constitute a thin film transistor.

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# 14. A semiconductor device comprising:

a substrate (1); and

an oxide semiconductor thin film layer (3) of zinc oxide which is formed on the substrate, and at least a portion of which includes (002) lattice planes having a preferred orientation along a direction perpendicular

10 to the substrate and a lattice spacing  $d_{002}$  of at least 2.619Å;

wherein the oxide semiconductor thin film layer includes a first region (31) of intrinsic zinc oxide and second regions (32) of zinc oxide doped with ions acting as donors to zinc oxide, and

wherein the second regions have a lower resistance than a resistance of the first regions.

15. The semiconductor device according to claim 14, wherein the entire oxide semiconductor thin film layer (3) includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate (1) and a lattice spacing  $d_{002}$  of at least 2.619Å.

16. The semiconductor device according to claim 14, wherein a portion of the oxide semiconductor thin film layer (3) which is in contact with the substrate (1) includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing  $d_{002}$  of at least 2.619Å.

17. The semiconductor device according to claim 14, wherein the lattice spacing  $d_{002}$  of the (002) lattice planes of the oxide semiconductor thin film layer (3) is at least 2.625Å.

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18. The semiconductor device according to claim 14, further comprising:

a pair of source/drain electrodes (2) electrically coupled to the second regions (32) of the oxide semiconductor thin film layer (3) ;

a gate insulator (4, 6); and

a gate electrode (7) formed on the gate insulator to lie over the first region (31) of the oxide semiconductor thin film layer via the gate insulator; wherein the oxide semiconductor thin film layer, the pair of the source/drain electrodes, the gate insulator and the gate electrode constitute

15 a thin film transistor.

19. The semiconductor device according to claim 14, wherein the ions acting as donors to zinc oxide are obtained by ionizing at least one group III element.

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20. A manufacturing method of a semiconductor device comprising:

providing a substrate (1); and

depositing an oxide semiconductor thin film layer (3) of zinc oxide on the substrate, such that at least a portion of the oxide semiconductor thin film layer in an as-deposited state includes (002) lattice planes having a

preferred orientation along a direction perpendicular to the substrate and a lattice spacing  $d_{002}$  of at least 2.619Å.

21. The manufacturing method of a semiconductor device
according to claim 20, wherein the entire oxide semiconductor thin film layer (3) in the as-deposited state includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate (1) and a lattice spacing d<sub>002</sub> of at least 2.619Å.

10 22. The manufacturing method of a semiconductor device according to claim 20, wherein a portion of the oxide semiconductor thin film layer (3) in the as-deposited state which is in contact with the substrate (1) includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing=doo2 of at least
15 2.619Å.

23. The manufacturing method of a semiconductor device according to claim 20, wherein the lattice spacing  $d_{002}$  of the (002) lattice planes of the oxide semiconductor thin film layer (3) in the as-deposited state is at least 2.625Å.

24. A manufacturing method of a semiconductor device comprising:

providing a substrate (1);

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depositing an oxide semiconductor thin film layer (3) of zinc oxide, such that at least a portion of the oxide semiconductor thin film layer in an

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spacing d<sub>002</sub> of at least 2.619Å.

as-deposited state includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing  $d_{002}$  of at least 2.619Å; and

depositing contact layers (10) to be in contact with the oxide
semiconductor thin film layer, such that at least a portion of each of the
contact layers in an as-deposited state includes (002) lattice planes having a
preferred orientation along a direction perpendicular to the substrate and a
lattice spacing d<sub>002</sub> that is smaller than the lattice spacing d<sub>002</sub> of the (002)
planes of the oxide semiconductor thin film layer.

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25. The manufacturing method of a semiconductor device according to claim 24, wherein the entire oxide semiconductor thin film layer (3) and the entire contact layers (10), which are both in the as-deposited state, include (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate (1) and a lattice

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26. The manufacturing method of a semiconductor device

according to claim 24, wherein a portion of the oxide semiconductor thin film layer (3) in the as-deposited state which is in contact with the substrate (1) and a portion of the contact layers (10) in the as-deposited state which is in contact with the substrate include (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing d<sub>002</sub> of at least 2.619Å.

27. The manufacturing method of a semiconductor device according to claim 24, wherein the lattice spacing  $d_{002}$  of the (002) lattice planes of the contact layers (10) in the as-deposited state is not more than 2.605Å.

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28. The manufacturing method of a semiconductor device according to claim 24, wherein the lattice spacing  $d_{002}$  of the (002) lattice planes of the oxide semiconductor thin film layer (3) in the as-deposited state is at least 2.625Å, and the lattice spacing  $d_{002}$  of the (002) lattice

planes of the contact layers (10) in the as-deposited state is not more than2.619Å.

29. The manufacturing method of a semiconductor device according to claim 28, wherein the lattice spacing d<sub>002</sub> of the (002) lattice
planes of the contact layers (10) in the as-deposited state is not more than 2.605Å.

30. A manufacturing method of a semiconductor device comprising:

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providing a substrate (1);

depositing, on the substrate, an oxide semiconductor thin film layer (3) of zinc oxide, such that at least a portion of the oxide semiconductor thin film layer in an as-deposited state includes (002) lattice planes having a preferred orientation along a direction perpendicular to the substrate and a lattice spacing of at least 2.619Å;

doping a plurality of regions of the oxide semiconductor thin film layer with ions that act as donors to zinc oxide to form second regions which are doped with the ions, such that a first region (31) of the oxide semiconductor thin film layer is defined as a region other than the second

5 regions (32); and

subjecting the second regions to an activation treatment.

31. The manufacturing method of a semiconductor device according to claim 30, wherein the entire oxide semiconductor thin film
10 layer (3) in the as-deposited state includes (002) lattice planes having a preferred orientation along the direction perpendicular to the substrate (1) and a lattice spacing dooz of at least 2.619Å.

32. The manufacturing method of a semiconductor device according to claim 30, wherein a portion of the oxide semiconductor thin film layer (3) in the as deposited state which is in contact with the substrate (1) includes (002) lattice planes having a preferred orientation along the direction perpendicular to the substrate and a lattice spacing doo2 of at least 2.619Å.

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33. The manufacturing method of a semiconductor device according to claim 30, wherein the activation treatment includes a heat treatment.

34. The manufacturing method of a semiconductor device according to claim 30, wherein the lattice spacing  $d_{002}$  of the (002) lattice

planes of the oxide semiconductor thin film layer (3) in the as-deposited state is at least 2.625Å.

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35. The manufacturing method of a semiconductor device according to claim 30, further comprising:

forming source/drain electrodes (2) coupled to the oxide semiconductor thin film layer (3);

forming a gate insulator (4, 6); and

forming a gate electrode on the gate insulator (7);

wherein the oxide semiconductor thin film layer, the source/drain electrodes, the gate insulator and the gate electrode function as a thin film transistor in which the first region (31) of the oxide semiconductor thin film layer functions as a channel.

36. The manufacturing method of a semiconductor device according to claim 35, further comprising uncovering the second regions of the oxide semiconductor thin film layer by removing the gate insulator (4, 6) from the second regions (32) of the oxide semiconductor thin film layer (3) using the gate electrode (7) as a mask.

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37. The manufacturing method of a semiconductor device according to claim 36, wherein the doping of the oxide semiconductor thin film layer (3) with the ions that act as donors to zinc oxide to form the second regions (32) is performed after the uncovering of the second regions of the oxide semiconductor thin film layer.

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38. The manufacturing method of a semiconductor device according to claim 36, wherein the activation treatment is performed by forming an insulating film (4, 9, 11) on the uncovered second regions (32).

5 39. The manufacturing method of a semiconductor device according to claim 30, wherein the doping of at least a part of the second regions (32) of the oxide semiconductor thin film layer (3) is performed using ions obtained by ionizing at least one group III element.

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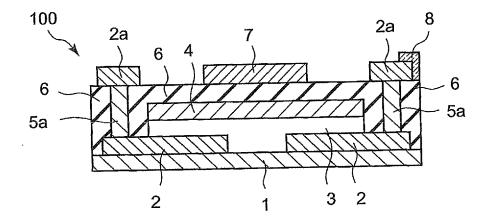
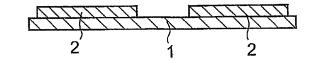




FIG. 2A

FIG. 2B



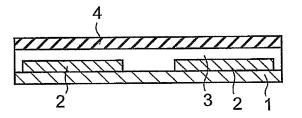


FIG. 2C

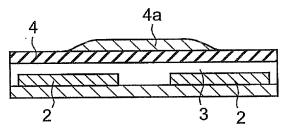


FIG. 2D

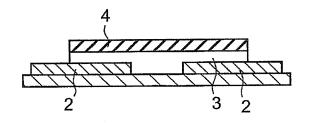
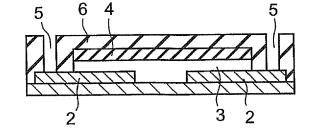
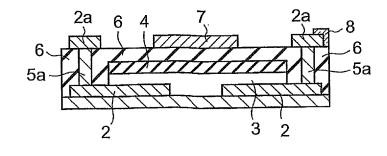


FIG. 2E









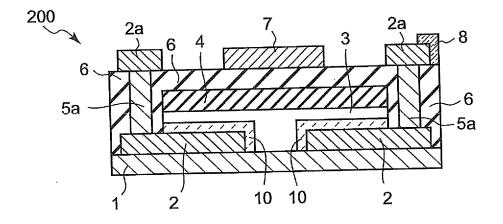
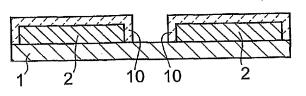
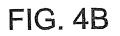




FIG. 4A





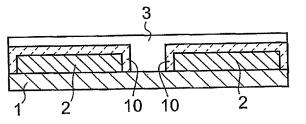


FIG. 4C

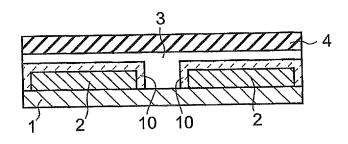


FIG. 4D

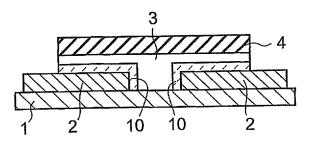


FIG. 4E

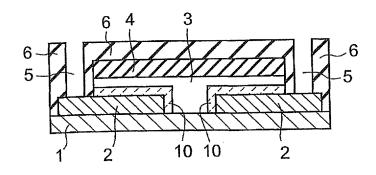
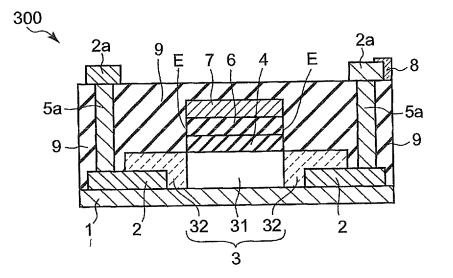
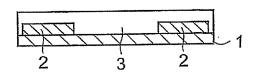


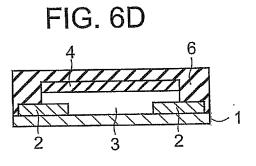
FIG. 5

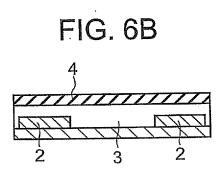


.

FIG. 6A







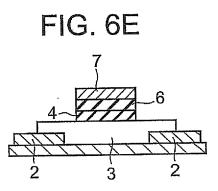
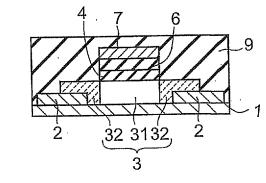
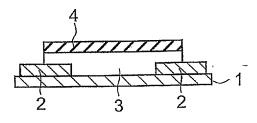


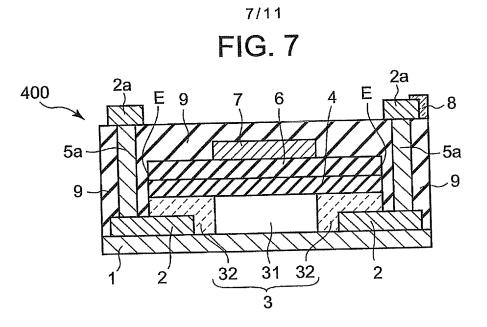
FIG. 6F



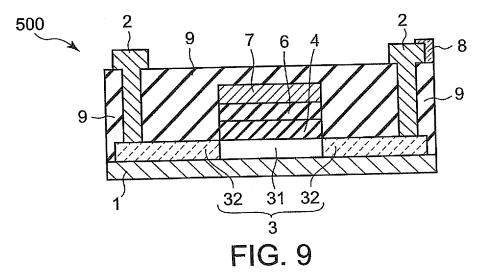


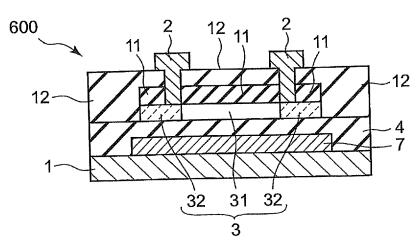
· \_



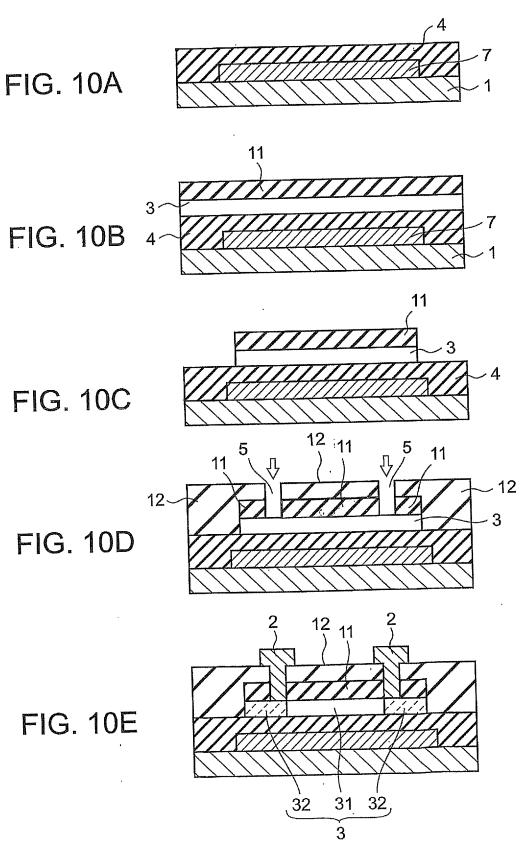






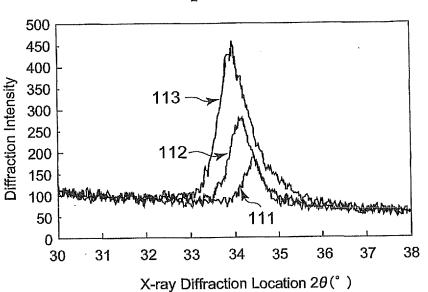


8/11

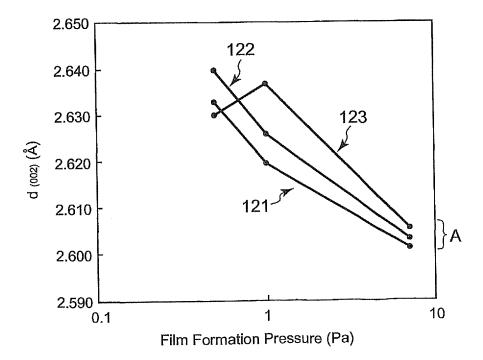


### 9/11

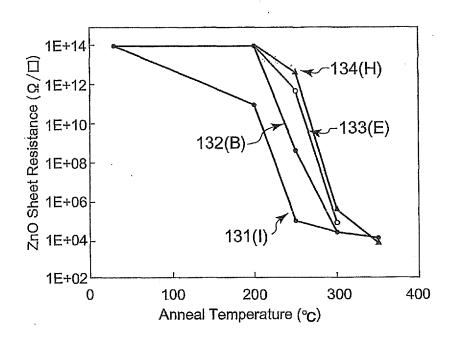
FIG. 11



Ar/O2 Flow Ratio 10/15



<sup>10/11</sup> FIG. 13



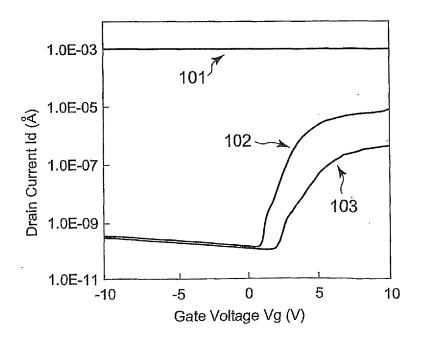
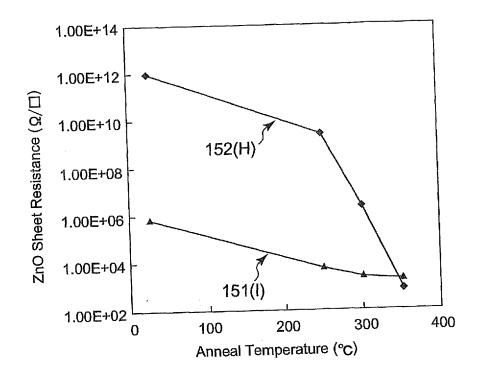
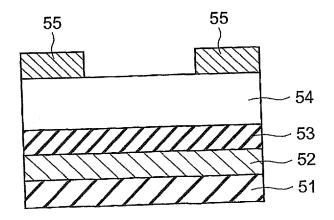


FIG. 15





International application No PCT/JP2007/061242

a. classif INV. H	TCATION OF SUBJECT MATTER 101L29/786				
According to International Patent Classification (IPC) or to both national classification and IPC					
B. FIELDS					
	Minimum documentation searched (classification system followed by classification symbols)				
Documentati	ion searched other than minimum documentation to the extent that	such documents are included in the fields se	arched		
Electronic da	ata base consulted during the international search (name of data ba	ase and, where practical, search terms used	)		
EPO-Int	ternal				
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.		
X	SUN ET AL: "Changes of structur optical energy gap induced by ox pressure during the deposition o films" PHYSICA B. CONDENSED MATTER, AMS NL, vol. 381, no. 1-2, 31 May 2006 (2006-05-31), pages XP005428873 ISSN: 0921-4526 the whole document	ygen f ZnO TERDAM,	1-6, 20-23		
X Furt	her documents are listed in the continuation of Box C.	See patent family annex.			
<ul> <li>Special categories of cited documents :</li> <li>'A' document defining the general state of the arl which is not considered to be of particular relevance</li> <li>'E' earlier document but published on or after the international filing date</li> <li>'L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>'O' document referring to an oral disclosure, use, exhibition or other means</li> <li>'P' document published prior to the international filing date but later than the priority date claimed</li> </ul>		<ul> <li>*T* later document published after the int or priority date and not in conflict with cited to understand the principle or th invention</li> <li>*X* document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the d</li> <li>*Y* document of particular relevance; the cannot be considered to involve an it document is combined with one or m ments, such combination being obvid in the art.</li> <li>*&amp;* document member of the same paten</li> </ul>	I the application but leory underlying the claimed invention to be considered to occument is taken alone claimed invention nventive step when the lore other such docu- bus to a person skilled		
2	21 August 2007	29/08/2007			
Name and	mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Authorized officer Hoffmann, Niels			

Form PCT/ISA/210 (second sheet) (April 2005)

International application No PCT/JP2007/061242

Continue	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
C(Continue Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	HUR TAE-BONG ET AL: "Strain effects in ZnO thin films and nanoparticles" JOURNAL OF APPLIED PHYSICS, AMERICAN INSTITUTE OF PHYSICS. NEW YORK, US, vol. 99, no. 6, 27 March 2006 (2006-03-27), pages 64308-64308, XP012084016 ISSN: 0021-8979 the whole document	1-3,5,6, 20-22
x	MAHALINGAM T ET AL: "Growth and characterization of electrosynthesised zinc oxide thin films" MATERIALS RESEARCH BULLETIN, ELSEVIER, KIDLINGTON, GB, vol. 38, no. 2, 25 January 2003 (2003-01-25), pages 269-277, XP004405329 ISSN: 0025-5408	1-5, 20-23
Ŷ	the whole document	6-19, 24-39
Y	EP 1 209 748 A (MATSUSHITA ELECTRIC IND CO LTD [JP]) 29 May 2002 (2002-05-29) paragraph [0099] - paragraph [0130]	6-19, 24-39
A	JP 2005 150635 A (SANYO ELECTRIC CO) 9 June 2005 (2005-06-09) cited in the application abstract	1–39
A	JP 2006 005116 A (CASIO COMPUTER CO LTD; KOCHI PREFECTURE SANGYO SHINKO) 5 January 2006 (2006-01-05) abstract	7–19 <sup>.</sup> , 24–39
A	US 2004/127038 A1 (CARCIA PETER FRANCIS [US] ET AL) 1 July 2004 (2004-07-01) paragraph [0046] 	7-21, 24-39

INT		ATIONAL SEARC	Intero	national application No T/JP2007/061242
Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 1209748	A	29-05-2002	CN 1353329 A KR 20020038482 A SG 102643 A TW 588209 B US 2002056838 A	23-05-2002 1 26-03-2004 21-05-2004
JP 2005150635	A	09-06-2005	NONE	
JP 2006005116	A	05-01-2006	NONE	
US 2004127038	A1	01-07-2004	US 2006183274 A	17-08-2006

.

.

Form PCT/ISA/210 (patent family annex) (April 2005)

# $\begin{array}{c} \text{PATENT COOPERATION TREATY} \\ \textbf{PCT} \end{array}$

#### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference PCT000013132 w $1736\mathcal{V}$	FOR FURTHER ACTION	see Form PCT/ISA/220 as well as, where applicable, item 5 below.		
International application No. PCT/JP2010/073886	International filing date(day/mon 24.12.2010	th/year) (Earliest) Priority Date (day/month/year) 22.01.2010		
Applicant SEMICONDUCTOR ENER	GY LABORATORY CO	D.,LTD.		
according to Article 18. A copy is being to	ransmitted to the International Bure	arching Authority and is transmitted to the applicant eau.		
This international search report consists o	f a total of <u>4</u> sheets. py of each prior art document cited	in this report.		
the international applic	e international search was carried ation in the language in which it was	as filed.		
<ul> <li>a translation of the international application into</li></ul>				
2. Certain claims were found	unsearchable (see Box No. II).			
<ul> <li>3.  Vinity of invention is lacking (see Box No. III).</li> <li>4. With regard to the title,</li> <li>the text is approved as submitted by the applicant.</li> <li>the text has been established by this Authority to read as follows:</li> </ul>				
<ul> <li>5. With regard to the abstract,</li> <li>the text is approved as submit the text has been established</li> </ul>		Authority as it appears in Box No. IV. The applicant		
may, within one month from the date of mailing of this international search report, submit comments to this Authority.				
6. With regard to the <b>drawings</b> ,				
<ul> <li>a. the figure of the drawings to be published with the abstract is Figure No. 1</li> <li>as suggested by the applicant.</li> <li>as selected by this Authority, because the applicant failed to suggest a figure.</li> <li>as selected by this Authority, because this figure better characterizes the invention.</li> </ul>				
b. 🔽 none of the figures is to be p	ublished with the abstract.			

International application No. PCT/JP2010/073886

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)					
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following	g reasons:				
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:					
<ul> <li>Claims Nos.:</li> <li>because they relate to parts of the international application that do not comply with the prescribed requirements extent that no meaningful international search can be carried out, specifically:</li> </ul>	to such an				
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule	6.4(a).				
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)					
This International Searching Authority found multiple inventions in this international application, as follows:					
Claim 1 lacks novelty over D1, and involves no special technical features. Thus, as for the international application, the unity of invention is lacking.					
D1: WO 2007/089048 A2 (KOCHI INDUSTORIAL PROMOTION CENTER) 2007.08.09, page 9 line 6 - page 12 line 19, page 15 line 21 - page 19 line 9, Fig.1, Fig.2 & JP 2008-535205 A & US 2007/0187760 A1 & KR 10-2007-0122517 A & CN 101326644 A					
1. T As all required additional search fees were timely paid by the applicant, this international search report covers all claims.	searchable				
2. 🔽 As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite additional fees.	payment of				
3. As only some of the required additional search fees were timely paid by the applicant, this international search re only those claims for which fees were paid, specifically claims Nos.:	port covers				
4. To required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:					
Remark on Protest          The additional search fees were accompanied by the applicant's protest and, where apply payment of a protest fee.          The additional search fees were accompanied by the applicant's protest but					
<ul> <li>The additional search fees were accompanied by the applicant's protest but the applicant's protest bu</li></ul>	no protest				
, No protest accompanied the payment of additional scatter necs.					

A. CLA	SSIFICATION OF SUBJECT MATTER					
Int.Cl. HO	1L29/786(2006.01)i, H01L21/28(200	6.01)i, H01L29/417(2006.(	01)i			
According	to International Patent Classification (IPC) or to both n	ational classification and IPC				
	DS SEARCHED					
	ocumentation searched (classification system followed by	classification symbols)				
Int.CI, HU	1L29/786, H01L21/28, H01L29/417					
Pupli Pupli Regis Publi	Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Published examined utility model applications of Japan 1922-1996 Published unexamined utility model applications of Japan 1931-221 Registered utility model specifications of Japan 1936-2011 Published registered itility model applications of Japan 1994-221.					
Electronic da	ata base consulted during the international search (name of	lata base and, where practicable, search term	is used)			
C. DOCUM	IENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.			
X	WO 2007/089048 A2 (KOCHI II CENTER) 2007.08.09, page 9	line 6 – page 12 line				
A	19, page 15 line 21 - page 19 line 9, Fig.1, Fig.2 3,4,6,8,11,1 & JP 2008-535205 A & US 2007/0187760 A1 & KR 3-21,23 10-2007-0122517 A & CN 101326644 A					
X A						
✓ Furthe	r documents are listed in the continuation of Box C.	See patent family annex.				
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "T" later document published after the international filing date or understand the principle or theory underlying the invention						
<ul> <li>"E" earlier application or patent but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"C" earlier application or patent but published on or after the international filing date</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot</li> </ul>						
"O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed ""&" document member of the same patent family						
Date of the actual completion of the international search Date of mailing of the international search report						
02.02.2011 15.02.2011						
ļ	nailing address of the ISA/JP	Authorized officer	4M 9056			
	Japan Patent Office	MITSUO KAWAMOTO				
3-4-3, Kasumigaseki, Chiyoda-ku, Tokyo 100-8915, Japan Telephone No. +81-3-3581-1101 Ext. 3462						

International application No. PCT/JP2010/073886

Citation of document, with indication, where appropriate, of the WO 2007/142167 A1 (KOCHI INDUSTORI. CENTER) 2007.12.13, page 17 line 7 - 22, FIG.3, FIG.4 & JP 2009-5284 2007/0278490 A1 & EP 2025004 10-2008-0066678 A & CN 101356652 A	AL PROMOTION page 24 line 670 A & US A1 & KR	Relevant to claim No.
CENTER) 2007.12.13, page 17 line 7 - 22, FIG.3, FIG.4 & JP 2009-528 2007/0278490 A1 & EP 2025004	page 24 line 670 A & US A1 & KR	1-23

### PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: SEMICONDUCTOR ENERGY LABORATORY CO., LTD.

398,Hase,Atsugi-shi,Kanagawa 2430036 Japan

## PCT

#### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43*bis.*1)

•				
		Date of mailing (day/month/year)	15.02.2011	
Applicant's or agent's file reference PCT000013132		FOR FURTHER ACTION See paragraph 2 below		
International application No. PCT/JP2010/073886	International filing date 24.12.1	· · ·	Priority date (day/month/year) 22.01.2010	
International Patent Classification (IPC) or both national classification and IPC Int.Cl. H01L29/786(2006.01)i, H01L21/28(2006.01)i, H01L29/417(2006.01)i				
Applicant SEMICONDUCTOR ENERGY LABORATORY CO., LTD.				
1. This opinion contains indications relating to the following items:				

P	Box No. I	Basis of the	opinion
M	Box No. 1	Basis of the	opinion

Box No. II Priority

- Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- Box No. IV Lack of unity of invention
- Box No. V Reasoned statement under Rule 43*bis*.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- Box No. VI Certain documents cited
- Box No. VII Certain defects in the international application
- Box No. VIII Certain observations on the international application

#### 2. FURTHER ACTION

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Date of completion of this opinion 02.02.201	1	
Name and mailing address of the ISA/JP	Authorized officer	4M 9056
Japan Patent Office	MITSUO KAWAMOTO	
3-4-3, Kasumigaseki, Chiyoda-ku, Tokyo 100-8915, Japan	Telephone No. +81-3-3581-1101 Ext.	3462

Form PCT/ISA/237 (cover sheet) (July 2009)

### WRITTEN OPINION OF THE

#### INTERNATIONAL SEARCHING AUTHORITY

Bo	x No. I Basis of this opinion
1.	With regard to the language, this opinion has been established on the basis of:
	the international application in the language in which it was filed.
	T a translation of the international application into which is the language of a
	translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.	This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43 <i>bis.</i> 1(b)).
3.	With regard to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international application, this opinion has been established on the basis of a sequence listing filed or furnished:
	a. (means)
	on paper
	in electronic form
	b. (time)
	in the international application as filed
	together with the international application in electronic form
	subsequently to this Authority for the purposes of search
5.	statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished. Additional comments:

# WRITTEN OPINION OF THE

International application No.

	INTERNATIONAL SEARCHING AUTHORITY	PCT/JP2010/073886
Box No. IV	Lack of unity of invention	
l. 🗖 In resp	ponse to the invitation (Form PCT/ISA/206) to pay additional fees the appli	cant has, within the applicable time limit:
Г	paid additional fees.	
Г	paid additional fees under protest and, where applicable, the protest fee.	
Г	paid additional fees under protest but the applicable protest fee was not paid	đ.
Г	not paid additional fees.	
	Authority found that the requirement of unity of invention is not complied dditional fees.	with and chose not to invite the applicant to
3. This Auth	ority considers that the requirement of unity of invention in accordance wit	h Rule 13.1, 13.2 and 13.3 is
Г сог	mplied with.	
non 🤜	t complied with for the following reasons:	
	1 lacks novelty over D1, and involves	no special technical
featur Thus, a is lac	as for the international application,	the unity of invention
page 9 Fig.l,	2007/089048 A2 (KOCHI INDUSTORIAL PROMOTI line 6 - page 12 line 19, page 15 line Fig.2 & JP 2008-535205 A & US 2 7-0122517 A & CN 101326644 A	e 21 - page 19 line 9,
	ently, this opinion has been established in respect of the following parts of t	the international application:
	ll parts.	
🖵 th	e parts relating to claims Nos.	

International application No.

PCT/JP2010/073886

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement								
1. Statemen	t							
Novelty (N)		Claims Claims	2-4,6,10,11,13-21,23 1,5,7-9,12,22	YES NO				
Inventive step (IS)		Claims Claims	3,4,6,11,13-21,23 1,2,5,7-10,12,22	YES NO				
Indus	trial applicability (IA)	Claims Claims	1-23	YES NO				
	and explanations:	OCHT IN	IDIISTORIAL PROMOTION CENTERI 2007 08 09	ener				
<ul> <li>D1: WO 2007/089048 A2 (KOCHI INDUSTORIAL PROMOTION CENTER) 2007.08.09, page 9 line 6 - page 12 line 19, page 15 line 21 - page 19 line 9, Fig.1, Fig.2 &amp; JP 2008-535205 A &amp; US 2007/0187760 A1 &amp; KR 10-2007-0122517 A &amp; CN 101326644 A</li> <li>D2: WO 2007/058329 A1 (SEMICONDUCTOR ENERGY LABORATORY CO., LTD.) 2007.05. 24, [0047] -[0054], [0082] -[0099], FIG.1B, FIG.5, FIG.6 &amp; JP 2007-165861 A &amp; US 2007/0108446 A1 &amp; KR 10-2008-0070811 A &amp; CN 101283444 A</li> <li>D3: WO 2007/142167 A1 (KOCHI INDUSTORIAL PROMOTION CENTER) 2007.12.13, page 17 line 7 - page 24 line 22, FIG.3, FIG.4 &amp; JP 2009-528670 A &amp; US 2007/0278490 A1 &amp; EP 2025004 A1 &amp; KR 10-2008-0066678 A &amp; CN 101356652 A</li> </ul>								
Claims 1,5,7,9,12,22 The subject matter of claims 1,5,7,9,12,22 does not meet the requirement of novelty and does not appear to involve an inventive step in view of the D1 cited in the ISR.								
Claims 7,8,12,22 The subject matter of claims 7,8,12,22 does not meet the requirement of novelty and does not appear to involve an inventive step in view of the D2 cited in the ISR.								
The sub step in The tec	Claims 2,10 The subject matter of claim 2,10 does not appear to involve an inventive step in view of the D1,D2 cited in the ISR. The technical feature [The conductive layer has a tapered shape] is widely known among the skilled person in the art.							
The sub to invol	lve an inventive	claims e step,	3,4,6,11,13-21,23 is novel and is consi- since it is not disclosed in any of the p nternational search report.					

Electronic Acknowledgement Receipt						
EFS ID:	9879938					
Application Number:	13008285					
International Application Number:						
Confirmation Number:	8496					
Title of Invention:	SEMICONDUCTOR DEVICE					
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI					
Customer Number:	31780					
Filer:	Eric J. Robinson/Doris Vasquez Soriano					
Filer Authorized By:	Eric J. Robinson					
Attorney Docket Number:	0756-9138					
Receipt Date:	14-APR-2011					
Filing Date:	18-JAN-2011					
Time Stamp:	14:13:21					
Application Type:	Utility under 35 USC 111(a)					

### Payment information:

Submitted wit	h Payment	no	no							
File Listing:										
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)					
1		IDS_04_14_2011.pdf	669267	yes	4					
			93c3d9e5caaeb3a5ca5025982a8cc188f867 f141							

	Multi	part Description/PDF files in .	zip description			
	Document De	escription	Start	Er	nd	
	Transmittal	Letter	1	:	2	
	Information Disclosure State	ment (IDS) Filed (SB/08)	3 4			
Warnings:						
Information:						
2	Foreign Reference	WO2007058329.pdf	9130477	no	67	
2	, oreign nereferie		cc17573bf3eb345e5b7263b6425a458ec57 699da		07	
Warnings:						
Information:						
3	Foreign Reference	WO2007089048.pdf	6976642	no	51	
	i oreign hereitende	W02007089048.pdi	4e46feec41d787afb7bfd2ed1f3c6418f1a7a 486	110		
Warnings:						
Information:						
4	Foreign Reference	WO2007142167.pdf	10701990	no	81	
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5	NPL Documents	INTERNATIONALSEARCHREPOR	587620	no	4	
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6	NPL Documents	WRITTENOPINION.pdf	561322 no		4	
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Warnings:						
Information:						
		Total Files Size (in bytes)	2862	27318		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)	Confirmation No. 8496
Shunpei YAMAZAKI et al.	)	Group Art Unit: 2811
Serial No. 13/008,285	)	
Filed: January 18, 2011	)	
For: SEMICONDUCTOR DEVICE	)	

## **INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

Unless otherwise noted, the references submitted were cited in PCT Application No. PCT/JP2010/073886 in an International Search Report mailed February 15, 2011.

U.S. Patent No. 7,576,394 and U.S. Publication Nos. 2009/0269881 and 2007/0187760 are in the family of WO2007/089048. U.S. Patent No. 7,576,394 and U.S. Publication No. 2009/0269881 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Publication Nos. 2009/0186437; 2009/0186445; 2009/0189155; 2009/0189156; 2010/0003783; 2010/0038639 and 2007/0108446 are in the family of WO2007/058329. U.S. Publication Nos. 2009/0186437; 2009/0186445; 2009/0189155; 2009/0189156; 2010/0003783; 2010/0038639 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

U.S. Patent No. 7,598,520 and U.S. Publication Nos. 2009/0286351 and 2007/0278490 are in the family of WO2007/142167. U.S. Patent No. 7,598,520 and

U.S. Publication No. 2009/0286351 were not directly cited by the foreign patent office, but are submitted herewith for consideration by the Examiner.

- 2 -

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

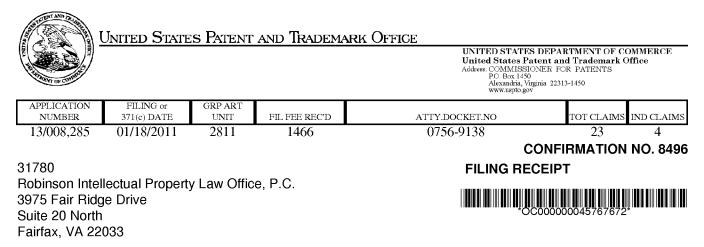
The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

	PAT	ENT APPLI		<b>DN FEE DE</b> titute for Form		TION RECOF	RD.		tion or Docket Nun 8,285	nber
	APP	LICATION A			umn 2)	SMAL	L ENTITY	OR	OTHEF SMALL	
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	SIC FEE FR 1.16(a), (b), or (c))	N	/A	Ν	J/A	N/A		1	N/A	330
	ARCH FEE FR 1.16(k), (i), or (m))	N	/A	М	J/A	N/A		1	N/A	540
	MINATION FEE FR 1.16(0), (p), or (q))	N	/A	Ν	J/A	N/A		1	N/A	220
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	EPENDENT CLAI	<sup>VIS</sup> 4	minus	3 = *	1			1	× 220 =	220
(37 CFR 1.16(h))       If the specification and drawings exceed 11 sheets of paper, the application size fee du \$270 (\$135 for small entity) for each additi 50 sheets or fraction thereof. See 35 U.S.O 41(a)(1)(G) and 37 CFR 1.16(s).					ze fee due is ch additional					0.00
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AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=	X =	-	OR	x =	
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LT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
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Date Mailed: 02/09/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Shunpei YAMAZAKI, Setagaya, JAPAN; Hiromichi GODO, Isehara, JAPAN; Hideomi SUZAWA, Atsugi, JAPAN; Shinya SASAGAWA, Chigasaki, JAPAN; Motomu KURATA, Isehara, JAPAN; Mayumi MIKAMI, Atsugi, JAPAN;

## Assignment For Published Patent Application

SEMICONDUCTOR ENERGY LABORATORY CO., LTD., Kanagawa-ken, JAPAN

**Power of Attorney:** Eric Robinson--38285

## Domestic Priority data as claimed by applicant

**Foreign Applications** (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) JAPAN 2010-012540 01/22/2010

Request to Retrieve - This application either claims priority to one or more applications filed in an intellectual property Office that participates in the Priority Document Exchange (PDX) program or contains a proper **Request to Retrieve Electronic Priority Application(s)** (PTO/SB/38 or its equivalent). Consequently, the USPTO will attempt to electronically retrieve these priority documents.

If Required, Foreign Filing License Granted: 01/31/2011

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 13/008,285** 

Projected Publication Date: 07/28/2011

Non-Publication Request: No

Early Publication Request: No Title

SEMICONDUCTOR DEVICE

### **Preliminary Class**

257

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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INFC	DRMA	<b>TION I</b>	DISC	LOSI	IRE	Application Number		13/00	08,285	
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Sheet	1		of	1		Attorney Docket Number		0756-	-9138	
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		<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>	
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Electronic Ac	knowledgement Receipt
EFS ID:	9396804
Application Number:	13008285
International Application Number:	
Confirmation Number:	8496
Title of Invention:	SEMICONDUCTOR DEVICE
First Named Inventor/Applicant Name:	Shunpei YAMAZAKI
Customer Number:	31780
Filer:	Eric J. Robinson/Doris Vasquez Soriano
Filer Authorized By:	Eric J. Robinson
Attorney Docket Number:	0756-9138
Receipt Date:	08-FEB-2011
Filing Date:	18-JAN-2011
Time Stamp:	14:13:24
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted wi	th Payment	no	no						
File Listin	g:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1		IDS_02_08_2011.pdf	257227 8ea6ef760829692573bd2f6a6b96ffbeed60 f50c	yes	2				

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an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Attorney Docket No. 0756-9138

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shunpei YAMAZAKI et al. Serial No. 13/008,285 Filed: January 18, 2011 For: SEMICONDUCTOR DEVICE ) Confirmation No. 8496

) Group Art Unit: 2811

INFORMATION DISCLOSURE STATEMENT

)

)

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

U.S. Patent No. 5,744,864 is in the family of JP 11-505377. JP 11-505377 was previously cited in an Information Disclosure Statement filed January 18, 2011.

This Information Disclosure Statement is being submitted before the issuance of a first Office Action on the merits, therefore, no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789

						PTO/SB/05 (03		
			Attorn	ey Docket No.	0756-91			
	UTILITY		First I	nventor	Shunpei	YAMAZAKI et al.		
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5. Oath or Declarati	ion [Tota ecuted (original or cop	al Pages 6]		<ul> <li>13. ☐ Preliminary Amendment</li> <li>14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li> <li>15. ☑ Certified Copy of Priority Document(s) JP 2010-012540 filed 01/22/2010 (obtained electronically by PTO) (if foreign priority is claimed)</li> <li>16. ☐ Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</li> <li>17. ☐ Other:</li> </ul>				
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6. Application D	ata Sheet. See 37 C.	F.R. 1.76	17. [					
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Customer Number		31780	· · · · ·	0	r 🗌 Corr	espondence address below		
Name	Eric J. Robinson Robinson Intellectua	al Property Law Office, P.	<u>C.</u>					
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City	Fairfax	State			Zip Code	22033		
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Signature	5				Date	January 18, 2011		

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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	0756-9138				
		Application Number					
Title of Invention	SEMICONDUCTOR DEVICE	SEMICONDUCTOR DEVICE					
bibliographic data arran This document may be	iged in a format specified by the Un	ited States Patent and Trademark C mitted to the Office in electronic fo	being submitted. The following form contains the office as outlined in 37 CFR 1.76. rmat using the Electronic Filing System (EFS) or the				

# Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

# **Applicant Information:**

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Application Data Sheet 37 CFR		1 76 Attorney Docket Number		0756-9	0756-9138		
		Application Number					
Title of Invention SEM	MICONDUCTOR DEV	CONDUCTOR DEVICE					
Citizenship under 37 (	<b>FR 1.41(b)</b> i JP	,					
Mailing Address of Ap							
Address 1	Iress 1 c/o Semiconductor Energy Laboratory Co., Ltd.						
Address 2	398, Hase						
City Atsugi-shi, K	nagawa-ken State/Province						
Postal Code	243-0036		Country <sup>i</sup> JP				
Applicant 4	ł		1 1		Remove		
Applicant Authority •	Inventor OLegal	Representati	ve under 35 U.S.C.	117 (	Party of Interest under 35 U.S.	C. 118	
Prefix Given Name	L	Middle Na	me	Fami	y Name	Suffix	
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Residence Informatio	n (Select One) 🔿	US Residen	cy 💿 Non US	Residency	Active US Military Service	<u>,</u>	
City Chigasaki	Co	ountry Of R	esidence <sup>i</sup> JP				
Citizenship under 37 0	<b>FR 1.41(b)</b> i JP	)					
Mailing Address of Applicant:							
Address 1	c/o Semiconductor Energy Laboratory Co., Ltd.						
Address 2	398, Hase						
City Atsugi-shi, K	anagawa-ken State/Province						
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Application Data Sheet 37 CFR 1.76		0756-9138
Title of Invention SEMICONDUCTOR DEVICE		
of Applicant:		
		Application Number

Address 1		c/o Semiconductor Energy Laboratory Co., Ltd.					
Address 2		398, Hase					
City	Atsugi-shi, Ka	anagawa-ken	nagawa-ken State/Province				
Postal Co	de	243-0036	Cou	ntry <sup>i</sup>	JP	-	
	All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.						

# **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).						
An Address is being	An Address is being provided for the correspondence Information of this application.					
Customer Number	Customer Number 31780					
Email Address	erobinson@riplo.com	Add Email	Remove Email			

# **Application Information:**

Title of the Invention	SEMICONDUCTO	R DEVICE	
Attorney Docket Number	0756-9138		Small Entity Status Claimed
Application Type	Nonprovisional		
Subject Matter	Utility		
Suggested Class (if any)			Sub Class (if any)
Suggested Technology C	enter (if any)		
Total Number of Drawing Sheets (if any) 14			Suggested Figure for Publication (if any)

## **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.
 C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

# **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One:	Oustomer Number	O US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	31780		

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	0756-9138
		Application Number	
Title of Invention	SEMICONDUCTOR DEVICE		

# Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
Additional Domestic Benefi by selecting the Add buttor	t/National Stage Data may be ge า.	enerated within this form	Add

# **Foreign Priority Information:**

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

			Remove			
Application Number	Country <sup>i</sup>	Parent Filing Date (YYYY-MM-DD)	Priority Claimed			
2010-012540	JP	2010-01-22	💿 Yes 🔿 No			
Additional Foreign Priority Data may be generated within this form by selecting the Add						

# Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1			Remove		
If the Assignee is an Organization check here.					
Organization Name	Semiconductor Energy Laboratory C	co., Ltd.			
Mailing Address Info	rmation:				
Address 1	398, Hase				
Address 2			_		
City	Atsugi, Kanagawa-ken	State/Province			
Country <sup>i</sup> JP		Postal Code	243-0036		
Phone Number		Fax Number			
Email Address		·			
Additional Assignee D button.	ata may be generated within this	form by selecting the Ac	ld Add		

# Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

-18

Signature	/Eric J. Robinson/	Date (YYYY-MM-DD)	2011-01
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	0756-9138			
		Application Number				
Title of Invention SEMICONDUCTOR DEVICE						
First Name	Eric	J.	Last Name	Robinson	Registration Number	38285

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

### Attorney Docket No. 0756-9138

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Shunpei YAMAZAKI et al. Based on JP 2010-012540 Filed: January 22, 2010 For: SEMICONDUCTOR DEVICE

New Application

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. §§ 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. § 1.98(a).

U.S. Patent Nos. 6,727,522 is in the family of JP 2000-150900.

U.S. Patent No. 7,061,014 is in the family of JP 2004-103957.

Although no fee is due for this Information Disclosure Statement, the Commissioner is hereby authorized to charge fees under 37 C.F.R. §§ 1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

Robinson Intellectual Property Law Office, P.C. 3975 Fair Ridge Drive Suite 20 North Fairfax, Virginia 22033 (571) 434-6789 Please type a plus sign (+) inside this box  $\rightarrow$  [+]

#### PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ad to a collection of information unless it contains a valid OMB control number.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE							Complete	if Know	n
					BE	Application Number			
		ENT BY				Filing Date		Janua	ary 18, 2011
<b>51</b> A					191	First Named Inventor		Shun	pei YAMAZAKI et al.
	(use a	s many sheets	as necess	ary)		Group Art Unit			
						Examiner Name			
Sheet	1		of	2		Attorney Docket Number		0756-	-9138
					U.S	S. PATENT DOCUMEN	VTS		
Examiner Initials	Cite No. <sup>1</sup>	U.S. 1	Patent Doc	ument	Nam	e of Patentee or Applicant of Cited MM-DD-Y		nt	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number		ind Code <sup>2</sup> [known]		Document			
		6,727,5	22		Kav	wasaki et al.	04/27/2004		
		7,061,0			Hos	ono et al. 06/13/20		006	
		5,648,6			Zha	ang et al.	07/15/1	997	
	-	2009/0	13438	3		ahayashi et al.	05/28/2009		
		2008/00	04818	3	Oh	sawa et al.	02/28/2	008	
	-	2010/02	29780	9	Ima	ahayashi et al.	11/25/2	010	

	FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>		Foreign Patent Document	Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant		
		Office <sup>3</sup>	Kind Code <sup>5</sup> Number <sup>4</sup> <i>(if known)</i>	Applicant of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>	
	1	JP	60-198861		10/08/1985		Full	
		JP	08-264794		10/11/1996		Full	
		JP	11-505377		05/18/1999	•	Abst.	
	-	JP	2000-150900		05/30/2000		Abst.	
		JP	2004-103957		04/02/2004		Abst.	

<b>OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
		Nomura et al., "Room-Temperature Fabrication of Transparent Flexible Thin-Film Transistors Using Amorphous Oxide Semiconductors," NATURE, November 25, 2004, Vol. 432, pp. 488-492.	Eng.			

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup>Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# Please type a plus sign (+) inside this box $\rightarrow$ [+] PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO							Complete	if Knowl	1	
	ЮМА	TION	ntec	T OSTI	DF	Application Number				
						Filing Date		January 18, 2011		
	STATEMENT BY APPLICANT					First Named Inventor		Shunpei YAMAZAKI et al.		
	(use a.	s many sheets	as necess	iary)		Group Art Unit				
						Examiner Name				
Sheet	Sheet 2 of 2		Attorney Docket Number		0756-9138					
					TI	S DATENT DOCUMEN	TC			
			•		<u> </u>	S. PATENT DOCUMEN	15			
Examiner Initials	Cite No. <sup>1</sup>	U.S. 1	Patent Doc	ument	Nam	e of Patentee or Applicant of Cited	Date of Publication of Cited Document MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number		ind Code <sup>2</sup> ( <i>known)</i>		Document				

FOREIGN PATENT DOCUMENTS							
Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	,
	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
		No.1	No. <sup>1</sup>	Cite Foreign Patent Document No. <sup>1</sup> Kind Code <sup>5</sup>	Cite Foreign Patent Document Name of Patentee or Applicant of Cited Document	Cite         Foreign Patent Document         Name of Patentee or         Date of Publication of Cited           No. <sup>1</sup> Kind Code <sup>5</sup> Applicant of Cited Document         MM-DD-YYYY	Cite         Foreign Patent Document         Name of Patentee or         Date of Publication of Cited         Pages, Columns, Lines,           No. <sup>1</sup> Kind Code <sup>3</sup> Applicant of Cited Document         Document         MM-DD-YYYY         Passages or Relevant

		<b>OTHER PRIOR ART – NON PATENT LITER</b>	ATURE DOC	CUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of t item (book, magazine, journal, serial, symposium, catalog, etc.) publisher, city and/or country wher	., date, page(s), v	appropriate), title of the olume-issue number(s),	T <sup>2</sup>
		Prins et al., "A Ferroelectric Transparent Thir LETT. (APPLIED PHYSICS LETTERS), June 17 3652.	n-Film Trar 7, 1996, Vo	nsistor," APPL. PHYS. I. 68, No. 25, pp. 3650-	Eng.
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		Nomura et al., "Thin-Film Transistor Fabricated in Single-Crystalline Transparent Oxide Semiconductor," SCIENCE, May 23, 2003, Vol. 300, No. 5623, pp. 1269- 1272.			
Examiner			Date		

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Signature		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# Declaration and Power of Attorney For Patent Application 特許出願宣言書及び委任状

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### SEMICONDUCTOR DEVICE

The specification of which is attached hereto unless the following box is checked:

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I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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**Priority Not Claimed** 優先権主張なし

2010-012540	Japan	January 22, 2010	
(Number)	(Country)	(Day/Month/Year Filed)	
(番号)	(国名)	(出願年月日)	

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(Application No.)	(Filling Date)	(Status: Patented, Pending, Abandoned)
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(出願番号)	(出願日)	(現況:特許許可済、継続中、放棄済)
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#### DESCRIPTION

#### SEMICONDUCTOR DEVICE

#### 5 TECHNICAL FIELD

[0001]

A technical field of the present invention relates to a semiconductor device. Note that semiconductor devices herein refer to general elements and devices which function by utilizing semiconductor characteristics.

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## BACKGROUND ART

[0002]

There are a wide variety of metal oxides and such metal oxides are used for various applications. Indium oxide is a well-known material and has been used for transparent electrodes required in liquid crystal display devices or the like.

[0003]

Some metal oxides have semiconductor characteristics. The examples of such metal oxides having semiconductor characteristics are, for example, tungsten oxide, tin oxide, indium oxide, zinc oxide, and the like. A thin film transistor in which a channel

20 formation region is formed using such metal oxides is already known (for example, see Patent Documents 1 to 4, Non-Patent Document 1, and the like).
[0004]

As metal oxides, not only single-component oxides but also multi-component oxides are known. For example, InGaO<sub>3</sub>(ZnO)<sub>m</sub> (m: natural number) having a homologous phase is known as a multi-component oxide semiconductor including In, Ga, and Zn (for example, see Non-Patent Documents 2 to 4 and the like). [0005]

Furthermore, it is confirmed that an oxide semiconductor including such an In-Ga-Zn-based oxide is applicable to a channel formation region of a thin film transistor (for example, see Patent Document 5, Non-Patent Documents 5 and 6, and the like).

[References]

[Patent Documents]

[0006]

[Patent Document 1] Japanese Published Patent Application No. S60-198861

[Patent Document 2] Japanese Published Patent Application No. H8-264794

5 [Patent Document 3] Japanese Translation of PCT International Application No. H11-505377

[Patent Document 4] Japanese Published Patent Application No. 2000-150900 [Patent Document 5] Japanese Published Patent Application No. 2004-103957

10 [Non-Patent Document]

[0007]

[Non-Patent Document 1] M. W. Prins, K. O. Grosse-Holz, G. Muller, J. F. M. Cillessen, J. B. Giesbers, R. P. Weening, and R. M. Wolf, "A ferroelectric transparent thin-film transistor", *Appl. Phys. Lett.*, 17 June 1996, Vol.68 pp.3650-3652

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- InGaO<sub>3</sub>(ZnO)<sub>3</sub>, and Ga<sub>2</sub>O<sub>3</sub>(ZnO)<sub>m</sub> (m = 7, 8, 9, and 16) in the In<sub>2</sub>O<sub>3</sub>-ZnGa<sub>2</sub>O<sub>4</sub>-ZnO System", *J. Solid State Chem.*, 1995, Vol.116, pp.170-178
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- 30 Hosono, "Room-temperature fabrication of transparent flexible thin-film transistors using amorphous oxide semiconductors", *NATURE*, 2004, Vol.432 pp.488-492

#### DISCLOSURE OF INVENTION

[0008]

In order to achieve high-speed operation, low power consumption, cost 5 reduction, or the like of a transistor, it is necessary to miniaturize a transistor.

[0009]

In the case where a transistor is miniaturized, defects generated in a manufacturing process become a major problem. For example, each of a source electrode and a drain electrode and a channel formation region are electrically connected; however, disconnections, poor connections, and the like may occur due to a decrease in coverage by the miniaturization.

[0010]

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In addition, in the case where a transistor is miniaturized, a problem of a short-channel effect is also caused. The short-channel effect refers to degradation of electrical characteristics which becomes obvious with miniaturization of a transistor (a reduction in channel length (*L*)). The short-channel effect results from the effect of an electric field of a drain electrode on a source electrode. Specific examples of the short-channel effect are a decrease in threshold voltage, an increase in subthreshold swing (S value), an increase in leakage current, and the like. In particular, it is known that a transistor including an oxide semiconductor has smaller off current at a room temperature as compared to a transistor including silicon. This is attributed to the fact that carriers generated by thermal excitation are small, that is, carrier density is small. In the transistor in which a material whose carrier density is small is used as described above, a short-channel effect such as a decrease in a threshold voltage tends to be

caused easily.

[0011]

Thus, according to an embodiment of the disclosed invention, it is an object of the present invention to provide a semiconductor device which achieves miniaturization while the defects are suppressed. Further, it is another object of the present invention to provide a semiconductor device which achieves miniaturization while favorable characteristics are maintained.

[0012]

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One embodiment of the disclosed invention is a semiconductor device which includes an oxide semiconductor layer, a source electrode and a drain electrode in contact with the oxide semiconductor layer, a gate electrode overlapping with the oxide semiconductor layer, and a gate insulating layer provided between the oxide semiconductor layer and the gate electrode, in which the source electrode and the drain electrode each include a first conductive layer, and a second conductive layer having a region which extends in a channel length direction from an end portion of the first conductive layer.

[0013]

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In the above semiconductor device, each of the first conductive layer and the second conductive layer preferably has a tapered shape.

[0014]

In the above semiconductor device, sidewall insulating layers are preferably provided over the regions of each of the second conductive layer.

15 [0015]

Another embodiment of the disclosed invention is a semiconductor device which includes an oxide semiconductor layer, a source electrode and a drain electrode in contact with the oxide semiconductor layer, a gate electrode overlapping with the oxide semiconductor layer, and a gate insulating layer provided between the oxide semiconductor layer and the gate electrode, in which the source electrode and the drain electrode each include a first conductive layer and a second conductive layer having a higher resistance than the first conductive layer, where the second conductive layer is in contact with the oxide semiconductor layer.

[0016]

Another embodiment of the disclosed invention is a semiconductor device which includes an oxide semiconductor layer, a source electrode and a drain electrode in contact with the oxide semiconductor layer, a gate electrode overlapping with the oxide semiconductor layer, and a gate insulating layer provided between the oxide semiconductor layer and the gate electrode, in which the source electrode and the drain electrode each include a first conductive layer and a second conductive layer having a higher resistance than the first conductive layer, where the second conductive layer and

the first conductive layer are in contact with the oxide semiconductor layer.

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[0017]

In the above semiconductor device, the second conductive layer is preferably a nitride of a metal.

[0018]

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In the above semiconductor device, the thickness of the second conductive layer is preferably from 5 nm to 15 nm.

[0019]

Another embodiment of the disclosed invention is a semiconductor device which includes an oxide semiconductor layer including a channel formation region, a source electrode and a drain electrode in contact with the channel formation region, a gate electrode overlapping with the channel formation region, and a gate insulating layer provided between the oxide semiconductor layer and the gate electrode, in which a region in each of the source electrode and the drain electrode in contact with the channel formation region of the oxide semiconductor layer has a higher resistance than other

15 regions.

[0020]

In the above semiconductor device, each of the source electrode and the drain electrode is in contact with the oxide semiconductor layer at an end portion thereof, and an insulating layer is provided between the source electrode and the oxide semiconductor layer or between the drain electrode and the oxide semiconductor layer.

[0021]

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Note that semiconductor devices herein refer to general devices which function by utilizing semiconductor characteristics. For example, a display device, a memory device, an integrated circuit, and the like are included in the category of the semiconductor device.

[0022]

In this specification and the like, the terms "over" and "below" do not necessarily mean "directly on" and "directly below", respectively, in the description of a physical relationship between components. For example, the expression "a gate electrode over a gate insulating layer" can mean the case where there is an additional component between the gate insulating layer and the gate electrode. Moreover, the

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terms such as "over" and "below" are only used for convenience of description and can include the case where the relation of components is reversed, unless otherwise specified.

[0023]

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In addition, in this specification and the like, the term such as "electrode" or "wiring" does not limit a function of a component. For example, an "electrode" is sometimes used as part of a "wiring", and vice versa. Furthermore, the term "electrode" or "wiring" can include the case where a plurality of "electrodes" or "wirings" are formed in an integrated manner.

10 [0024]

Functions of a "source electrode" and a "drain electrode" are sometimes replaced with each other when a transistor of opposite polarity is used or when the direction of current flowing is changed in circuit operation, for example. Therefore, the terms "source electrode" and "drain electrode" can be replaced with each other in

15 this specification.

[0025]

Note that in this specification and the like, the term "electrically connected" includes the case where components are connected through an object having any electric function. There is no particular limitation on an object having any electric function as long as electric signals can be transmitted and received between components that are

- 20 long as electric signals can be transmitted and received between components that are connected through the object. Examples of an "object having any electric function" are a switching element such as a transistor, a resistor, an inductor, a capacitor, and an element with a variety of functions as well as an electrode and a wiring.
  [0026]
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According to one embodiment of the disclosed invention, either of the following effects or both of the effects can be obtained.

[0027]

First, each of the source electrode and the drain electrode is formed to have a stacked structure including the first conductive layer and the second conductive layer, a
region which extends in the channel length direction from an end portion of the first conductive layer is provided; thus, coverage in forming a semiconductor layer over the source electrode and the drain electrode is improved. Therefore, occurrence of poor

connections or the like can be prevented.

[0028]

Second, in the source electrode or the drain electrode, a vicinity of the region in contact with the channel formation region can be high-resistance region, whereby an electric field between the source electrode and the drain electrode can be relieved. Thus, the short-channel effect such as a decrease in a threshold voltage can be suppressed.

[0029]

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With such an effect, a problem accompanied with miniaturization can be
resolved. As a result, the size of a transistor can be sufficiently reduced. By
sufficiently reducing the size of the transistor, an area of a semiconductor device
including the transistor is decreased, and the number of semiconductor devices obtained
from one substrate is increased. Accordingly, manufacturing costs of the
semiconductor device can be reduced. Further, since the semiconductor device is
downsized, the semiconductor device which is substantially the same in size with

further increased function can be realized. Furthermore, effects of high-speed operation, low power consumption, and the like of a transistor can be obtained in accordance with a reduction in channel length. Thus, miniaturization of a transistor including an oxide semiconductor can be achieved according to an embodiment of the

20 disclosed invention, and various effects accompanied with the miniaturization can be obtained.

[0030]

As described above, according to an embodiment of the disclosed invention, a semiconductor device which achieves miniaturization can be provided while the defects are suppressed and favorable characteristics are maintained.

#### BRIEF DESCRIPTION OF DRAWINGS

[0031]

In the accompanying drawings:

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FIGS. 1A to 1D are cross-sectional views of semiconductor devices;

FIGS. 2A to 2F are cross-sectional views illustrating a manufacturing process of a semiconductor device;

FIGS. 3A to 3F are cross-sectional views illustrating a manufacturing process of a semiconductor device;

FIG. 4 is a cross-sectional view of a semiconductor device;

FIGS. 5A to 5F are cross-sectional views illustrating a manufacturing process of a semiconductor device;

FIGS. 6A1, 6A2, and 6B illustrate examples of a circuit diagram of a semiconductor device;

FIGS. 7A and 7B illustrate examples of a circuit diagram of a semiconductor device;

10 FIGS. 8A to 8C illustrate examples of a circuit diagram of a semiconductor device;

FIGS. 9A to 9F illustrate examples of an electronic device;

FIGS. 10A and 10B are cross-sectional views each illustrating a model of a transistor used for the simulation;

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FIGS. 11A and 11B are graphs each showing a relationship between a channel length L (nm) and the amount of shift in threshold voltage  $\Delta V$ th (V);

FIGS. 12A and 12B are graphs each showing a relationship between a channel length L (nm) and the amount of shift in threshold voltage  $\Delta V$ th (V); and

FIG. 13 is a graph showing a relationship between a channel length L (nm) and the amount of shift in threshold voltage  $\Delta V$ th (V).

## BEST MODE FOR CARRYING OUT THE INVENTION

[0032]

Hereinafter, embodiments of the present invention will be described with reference to the drawings. Note that the present invention is not limited to the following description and it will be readily appreciated by those skilled in the art that modes and details can be modified in various ways without departing from the spirit and the scope of the present invention. Therefore, the invention should not be construed as being limited to the description in the following embodiments.

30 [0033]

Note that the position, the size, the range, or the like of each structure

illustrated in drawings and the like is not accurately represented in some cases for easy understanding. Therefore, the disclosed invention is not necessarily limited to the position, size, range, or the like as disclosed in the drawings and the like. [0034]

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In this specification and the like, ordinal numbers such as "first", "second", and "third" are used in order to avoid confusion among components, and the terms do not mean limitation of the number of components.

[0035]

(Embodiment 1)

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In this embodiment, an example of a structure and a manufacturing process of a semiconductor device according to an embodiment of the disclosed invention will be described with reference to FIGS. 1A to 1D, FIGS. 2A to 2F, and FIGS. 3A to 3F. [0036]

<Example of Structure of Semiconductor Device>

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In FIGS. 1A to 1D, as examples of semiconductor devices, cross-sectional structures of transistors are illustrated. In FIGS. 1A to 1D, top-gate transistors are illustrated as transistors according to one embodiment of the disclosed invention. [0037]

A transistor 160 illustrated in FIG. 1A includes, over a substrate 100, a source electrode in which a first conductive layer 142a and a second conductive layer 145a are stacked in this order; a drain electrode in which a first conductive layer 142b and a second conductive layer 145b are stacked in this order; an insulating layer 143a provided over the source electrode; an insulating layer 143b provided over the drain electrode; an oxide semiconductor layer 144 provided over the insulating layers 143a and 143b; a gate insulating layer 146 provided over the oxide semiconductor layer 144;

and a gate electrode 148 provided over the gate insulating layer 146. [0038]

In the transistor 160 illustrated in FIG. 1A, the second conductive layer 145a has a region which extends in the channel length direction (a flowing direction of carriers) from an end portion of the first conductive layer 142a, and the second conductive layer 145a and at least a channel formation region of the oxide semiconductor layer 144 are in contact with each other. Further, the second conductive

layer 145b has a region which extends in the channel length direction from an end portion of the first conductive layer 142b, and the second conductive layer 145b and at least the channel formation region of the oxide semiconductor layer 144 are in contact with each other.

5 [0039]

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More specifically, the second conductive layer 145a has the region which extends in the channel length direction (the flowing direction of carriers) from the end portion of the first conductive layer 142a toward the drain electrode. Further, the second conductive layer 145b has the region which extends in the channel length direction from the end portion of the first conductive layer 142b toward the source electrode.

[0040]

One different point of the transistor 170 illustrated in FIG. 1B from the transistor 160 illustrated in FIG. 1A is the existence of the insulating layers 143a and 15 143b. In the transistor 170 illustrated in FIG. 1B, the oxide semiconductor layer 144 is provided so as to be in contact with top surfaces and end portions of the second conductive layers 145a and 145b.

[0041]

Also in the transistor 170 illustrated in FIG. 1B, as in the transistor 160, the 20 second conductive layer 145a has a region which extends in the channel length direction from the end portion of the first conductive layer 142a, and the second conductive layer 145b has a region which extends in the channel length direction from the end portion of the first conductive layer 142b.

[0042]

25 One different point of the transistor 180 illustrated in FIG. 1C from the transistor 160 illustrated in FIG. 1A is the stacking order of the first conductive layer 142a and the second conductive layer 145a and the stacking order of the first conductive layer 142b and the second conductive layer 145b. The transistor 180 illustrated in FIG. 1C has a source electrode in which the second conductive layer 145a and the first 30 conductive layer 142a are stacked in this order and a drain electrode in which the second conductive layer 145b and the first conductive layer 142b are stacked in this order. [0043]

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Further, in the transistor 180 illustrated in FIG. 1C, the second conductive layer 145a has a region which extends in the channel length direction from the end portion of the first conductive layer 142a, and the second conductive layer 145b has a region which extends in the channel length direction from the end portion of the first conductive layer 142b. Thus, the insulating layer 143a is provided so as to be in contact with the first conductive layer 142a and the region in the second conductive layer 145a, which extends in the channel length direction from the end portion of the first conductive layer 142a. Furthermore, the insulating layer 143b is provided so as to be in contact with the first conductive layer 142a. Furthermore, the insulating layer 143b is provided so as to be in contact with the first conductive layer 142b and the region in the second conductive layer 145b, which extends in the channel length direction from the end portion of the first conductive layer 142b.

[0044]

One different point of the transistor 190 illustrated in FIG. 1D from the 15 transistor 180 illustrated in FIG. 1C is the existence of the insulating layers 143a and 143b. In the transistor 190 illustrated in FIG. 1D, the oxide semiconductor layer 144 is provided so as to be in contact with the first conductive layers 142a and 142b, the region in the second conductive layer 145a, which extends in the channel length direction from the end portion of the first conductive layer 142a, and the region in the 20 second conductive layer 145b, which extends in the channel length direction from the

20 second conductive layer 145b, which extends in the channel length direction from the end portion of the first conductive layer 142b. [0045]

In the transistor 190 illustrated in FIG. 1D, the second conductive layer 145a has the region which extends in the channel length direction from the end portion of the first conductive layer 142a, and the second conductive layer 145a and at least the channel formation region of the oxide semiconductor layer 144 are in contact with each other. Furthermore the second conductive layer 145b has the region which extends in the channel length direction from the end portion of the first conductive layer 142b, and the second conductive layer 145b and at least the channel length direction from the end portion of the first conductive layer 142b, and the second conductive layer 145b and at least the channel formation region of the oxide

30 semiconductor layer 144 are in contact with each other.[0046]

<Example of Manufacturing Process of Transistor>

An example of a manufacturing process of a transistor illustrated in FIGS. 1A to 1D will be described below with reference to FIGS. 2A to 2F and FIGS. 3A to 3F. [0047]

<Manufacturing Process of Transistor 160 and Transistor 170>

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First, with reference to FIGS. 2A to 2F, an example of a manufacturing process of the transistor 160 illustrated in FIG. 1A will be described. Note that the manufacturing process of the transistor 160 can be referred to for the transistor 170 illustrated in FIG. 1B except that the insulating layers 143a and 143b are not provided; thus detailed description is omitted.

10 [0048]

First, a first conductive film is formed over the substrate 100 having an insulating surface, and then etching is selectively performed on the first conductive film, so that the first conductive layers 142a and 142b are formed (see FIG 2A). The first conductive film has a thickness of, for example, 50 nm to 500 nm.

15 [0049]

Note that there is no particular limitation on a substrate that can be used as the substrate 100 as long as it has at least heat resistance to withstand later heat treatment. For example, a substrate such as a glass substrate, a ceramic substrate, a quartz substrate, a sapphire substrate, or the like can be used. As long as the substrate 100 has an insulating surface, a single crystal semiconductor substrate or a polycrystalline semiconductor substrate of silicon, carbon silicon, or the like; a compound semiconductor substrate of silicon germanium or the like; an SOI substrate; or the like can be used as the substrate 100, and a semiconductor element can be provided over the substrate. In addition, a base film may be provided over the substrate 100.

25 [0050]

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The first conductive film can be formed by a PVD method such as a sputtering method or a CVD method such as a plasma CVD method. As a material of the first conductive layer, an element selected from aluminum, chromium, copper, tantalum, titanium, molybdenum, and tungsten, a nitride thereof, an alloy containing any of the above elements as its component, or the like can be used. Any of manganese, magnesium, zirconium, and beryllium, or a material including any of these in combination may be used. Further, aluminum combined with an element selected from titanium, tantalum, tungsten, molybdenum, chromium, neodymium, and scandium, or a material including any of these in combination may be used [0051]

The first conductive layer may have either a single-layer structure or a staked 5 structure of two or more layers. For example, the first conductive film may have a single-layer structure of a titanium layer, a single-layer structure of an aluminum film including silicon, a two-layer structure in which a titanium film is stacked over an aluminum film, or a three-layer structure in which a titanium film, an aluminum film, and a titanium film are stacked in this order. Note that in the case where the first 10 conductive layer has a single-layer structure, there is an advantage that the first conductive layer can be easily processed into the source and drain electrode each having a tapered shape.

[0052]

- The first conductive film may be formed using a conductive metal oxide. As 15 the conductive metal oxide, indium oxide (In<sub>2</sub>O<sub>3</sub>), tin oxide (SnO<sub>2</sub>), zinc oxide (ZnO), an indium oxide-tin oxide alloy (In<sub>2</sub>O<sub>3</sub>-SnO<sub>2</sub>, which is abbreviated to ITO in some cases), an indium oxide-zinc oxide alloy (In<sub>2</sub>O<sub>3</sub>-ZnO), or any of these metal oxide materials in which silicon or silicon oxide is included can be used. [0053]
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The first conductive film is preferably etched so that end portions of the first conductive layers 142a and 142b are tapered. Here, a taper angle  $\alpha 1$  is an angle of a side surface of an end portion of the first conductive layer 142a with respect to a substrate surface, and a taper angle  $\beta 1$  is an angle of a side surface of an end portion of the first conductive layer 142b with respect to the substrate surface. For example, each of the taper angle  $\alpha 1$  and the taper angle  $\beta 1$  is preferably greater than or equal to  $30^{\circ}$  and less than or equal to  $60^{\circ}$  (see FIG. 2A).

[0054]

Next, the second conductive film 145 is formed so as to cover the first conductive layers 142a and 142b and the substrate 100. The film thickness of the

second conductive film 145 is from 3 nm to 30 nm, preferably, 5 nm to 15 nm.[0055]

The second conductive film 145 can be formed using a material and a method similar to those of the first conductive film. As a material of the second conductive film, an element selected from aluminum, chromium, copper, tantalum, titanium, molybdenum, and tungsten, a nitride thereof, an alloy containing any of the above elements as its component, or the like can be used. Any of manganese, magnesium, zirconium, and beryllium, or a material including any of these in combination may be used. Alternatively, aluminum combined with an element selected from titanium, tantalum, tungsten, molybdenum, chromium, neodymium, and scandium, or a material including any of these in combination may be used. Further alternatively, a conductive metal oxide such as indium oxide (In<sub>2</sub>O<sub>3</sub>-SnO<sub>2</sub>, which is abbreviated to ITO in some cases), an indium oxide-zinc oxide alloy (In<sub>2</sub>O<sub>3</sub>-ZnO), or any of these metal oxide materials containing silicon or silicon oxide may be used.

[0056]

As the material for the second conductive film 145, a material having higher resistance than the first conductive layers 142a and 142b is preferably used. This is because in the source electrode and the drain electrode of the transistor 160 to be manufactured, regions in contact with the channel formation region of the oxide semiconductor layer become higher resistance than other regions, whereby an electric field between the source electrode and the drain electrode can be relieved, and a short-channel effect can be controlled. As a conductive material used for the second conductive film 145, for example, a metal nitride such as titanium nitride, tungsten nitride, tantalum nitride, or molybdenum nitride can be preferably used. The second conductive film 145 serves one part of the source electrode or the drain electrode and is in contact with the oxide semiconductor layer is preferably used.

[0057]

Then, the insulating film 143 is formed with a thickness of 50 nm to 300 nm, 30 preferably 100 nm to 200 nm over the second conductive film 145 (see FIG 2A). In this embodiment, as the insulating film 143, a silicon oxide film is formed. As illustrated in the transistor 170 of FIG 1B, the insulating film 143 is not necessarily

The above mentioned metal nitride is preferable in this regard.

formed. However, in the case where the insulating film 143 is provided, contact regions (contact areas and the like) between each of the source electrode and the drain electrode to be formed later and the oxide semiconductor layer can be controlled easily. That is, the resistance of the source electrode or the drain electrode can be easily controlled, and the short-channel effect can be effectively controlled. Furthermore, by providing the insulating film 143, parasitic capacitance between the gate electrode to be formed later and each of the source electrode and the drain electrode can be reduced. [0058]

Next, a mask is formed over the insulating film 143, and the insulating film 143 is etched using the mask, whereby the insulating layers 143a and 143b are formed (see FIG. 2B). For the etching of the insulating film 143, either wet etching or dry etching can be used. Alternatively, wet etching and dry etching may be used in combination. The etching conditions (e.g., an etching gas or an etchant, etching time, and temperature) are set as appropriate depending on the material so that the insulating

15 film can be etched into a desired shape. However, it is preferable to use dry etching for microfabrication of a channel length (L) of the transistor. As an etching gas used for dry etching, for example, a gas containing fluorine, such as sulfur hexafluoride (SF<sub>6</sub>), nitrogen trifluoride (NF<sub>3</sub>), or trifluoromethane (CHF<sub>3</sub>), a mixed gas of carbon tetrafluoride (CF<sub>4</sub>) and hydrogen, or the like can be used. A rare gas (heliun (He), argon (Ar), or xenon (Xe)), carbon monoxide, carbon dioxide, or the like may be added

to the etching gas for dry etching.

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Then, by using the mask used for etching of the insulating film 143, the second conductive film 145 is etched, whereby the second conductive layers 145a and 145b are formed (see FIG. 2C). The mask is removed before the second conductive film 145 is etched, and the second conductive film 145 may be etched using the insulating layers 143a and 143b as masks. Further, as illustrated in the transistor 170 of FIG. 1B, in the case where the insulating layer is not provided, a mask may be directly formed on the second conductive film 145 and the second conductive film 145 may be etched. The second conductive film 145 is preferably etched so that end portions of the second conductive layers 145a and 145b are tapered. In the case where the insulating layer 143 is provided, etching is preferably performed so that end portions of the insulating layer

layers 143a and 143b are also tapered. Here, a taper angle  $\alpha 2$  is an angle of a side surface of an end portion of the second conductive layer 145a and the insulating film 143a with respect to the substrate surface, and a taper angle  $\beta 2$  is an angle of a side surface of an end portion of the second conductive layer 145b and the insulating layer 143b with respect to the substrate surface. For example, each of the taper angle  $\alpha 2$ 

and the taper angle  $\beta 2$  is preferably greater than or equal to 30° and less than or equal to

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[0060]

60°.

For the etching of the second conductive film 145, either wet etching or dry 10 etching can be used. Alternatively, wet etching and dry etching may be used in combination. The etching conditions (e.g., an etching gas or an etchant, etching time, and temperature) are set as appropriate depending on the material so that the second conductive film 145 can be etched into a desired shape. However, it is preferable to use dry etching for microfabrication of a channel length (L) of the transistor. As an 15 etching gas used for etching the second conductive film 145, for example, chlorine  $(Cl_2)$ , boron trichloride (BCl<sub>3</sub>), silicon tetrachloride (SiCl<sub>4</sub>), carbon tetrafluoride (CF<sub>4</sub>), sulfur hexafluoride (SF<sub>6</sub>), nitrogen trifluoride (NF<sub>3</sub>), or the like, or a mixed gas selected from two or more of the above-mentioned gases may be used. Further, a rare gas (heliun (He), or Argon (Ar)), oxygen, or the like may be added to the etching gas for dry 20 etching. Furthermore, the second conductive film 145 can be etched successively using the same gas used for etching of the insulating film 143.

[0061]

With this etching process, the source electrode in which the first conductive layer 142a and the second conductive layer 145a are stacked and the drain electrode in which the first conductive layer 142b and the second conductive layer 145b are stacked are formed. The mask used for the etching is adjusted as appropriate, whereby the second conductive layer 145a having the region which extends in the channel length direction from the end portion of the first conductive layer 142a and the second conductive layer 145b having the region which extends in the channel length direction

from the end portion of the first conductive layer 142b can be formed.[0062]

Note that the channel length (L) of the transistor 160 is determined by the distance between the lower end portion of the second conductive layer 145a and the lower end portion of the second conductive layer 145b. The channel length (L) differs depending on the application of the transistor 160; which can be, for example, 10 nm to 1000 nm, preferably, 20 nm to 400 nm.

[0063]

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Note that in the case where a transistor with a channel length (L) of less than 25 nm is formed, for light exposure for forming a mask used for etching the insulating film 143 and the second conductive film 145, it is preferable to use extreme ultraviolet whose wavelength is as short as several nanometers to several tens of nanometers. In the light exposure by extreme ultraviolet light, the resolution is high and the focus depth is large. For these reasons, the channel length (L) of the transistor formed later can be sufficiently reduced, and the circuit can operate at higher speed. Moreover, power consumption of the semiconductor device can be reduced by miniaturization.

15 [0064]

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In the second conductive layer, the region which extends in the channel length direction from the end portion of the first conductive layer has an effect of improving coverage in the later step of forming the oxide semiconductor layer and the gate insulating layer. In the second conductive layer 145a, the length  $(L_S)$  in the channel length direction in the region which extends in the channel length direction from the end portion of the first conductive layer 142a and the length  $(L_D)$  in the channel length direction in the region which extends in the channel length direction from the end portion of the first conductive layer 142a and the length direction from the end portion of the first conductive layer 142b are not always the same. However, in the case where a plurality of transistors 160 is provided over one substrate, the total length

25 of  $L_{\rm S}$  and  $L_{\rm D}$  is almost constant. [0065]

Next, the oxide semiconductor layer 144 is formed over the insulating layers 143a and 143b and the substrate 100 with a sputtering method (see FIG. 2D). The oxide semiconductor layer 144 has a thickness of, for example, 3 nm to 30 nm, preferably, 5 nm to 15 nm. The formed oxide semiconductor layer 144 is in contact with the second conductive layers 145a and 145b at least in its channel formation region.

[0066]

Here, the second conductive layers 145a and 145b have regions which extend in the channel length direction from end portions of the first conductive layers 142a and 142b, respectively; therefore, steps on the end portions of the source electrode and the drain electrode can be made gradual. Thus, it is possible to improve the coverage of the oxide semiconductor layer 144 and to prevent breaking of the film.

[0067]

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Note that the source electrode and the drain electrode of the transistor 160 to be manufactured is in contact with the oxide semiconductor layer 144 only at end portions of the second conductive layers 145a and 145b, respectively. Accordingly, the contact area can be drastically reduced compared to the case where the top surface of the source electrode and the drain electrode of the transistor 160 is also in contact with the oxide semiconductor layer 144. By reducing the contact area of the source electrode and the drain electrode semiconductor layer 144 in such a manner, contact

15 resistance at a contact interface can be increased and an electric field between the source electrode and the drain electrode can be relieved. Note that a technical idea of the disclosed invention is to form a high-resistance region in the source electrode and the drain electrode. Thus, it is not necessary that the source electrode and the drain electrode be exactly in contact with the oxide semiconductor layer 144 only at end portions of the second conductive layers 145a and 145b. For example, part of the top surfaces of the second conductive layers 145a and 145b may be in contact with the

[0068]

oxide semiconductor layer 144.

As the oxide semiconductor layer 144, any of the following oxide 25 semiconductors can be used: an In-Sn-Ga-Zn-O-based oxide semiconductor which is a four-component metal oxide; an In-Ga-Zn-O-based oxide semiconductor, an In-Sn-Zn-O-based oxide semiconductor, an In-Al-Zn-O-based oxide semiconductor, a Sn-Ga-Zn-O-based oxide semiconductor, an Al-Ga-Zn-O-based oxide semiconductor, or a Sn-Al-Zn-O-based oxide semiconductor which are three-component metal oxides; an 30 In-Zn-O-based oxide semiconductor, a Sn-Zn-O-based oxide semiconductor, an Al-Zn-O-based oxide semiconductor, a Sn-Zn-O-based oxide semiconductor, an Sn-Mg-O-based oxide semiconductor, or an In-Mg-O-based oxide semiconductor which are two-component metal oxides; or an In-O-based oxide semiconductor, a Sn-O-based oxide semiconductor, or a Zn-O-based oxide semiconductor which are single-component metal oxides.

[0069]

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In particular, an In-Ga-Zn-O-based oxide semiconductor material has sufficiently high resistance when there is no electric field and thus off current can be sufficiently reduced. In addition, having a high field-effect mobility, the In-Ga-Zn-O-based oxide semiconductor material is preferable as a semiconductor material.

10 [0070]

As a typical example of the In-Ga-Zn-O-based oxide semiconductor material, one represented by  $InGaO_3(ZnO)_m$  (m > 0 and m is not a natural number) is given. Using M instead of Ga, there is an oxide semiconductor material expressed by  $InMO_3(ZnO)_m$  (m > 0 and m is not a natural number). Here, M denotes one or more of metal elements selected from gallium (Ga), aluminum (Al), iron (Fe), nickel (Ni), manganese (Mn), cobalt (Co), and the like. For example, M may be Ga, Ga and Al, Ga and Fe, Ga and Ni, Ga and Mn, Ga and Co, or the like. Note that the above-described compositions are derived from the crystal structures of the oxide semiconductor

20 [0071]

material and are only examples.

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As a target for forming the oxide semiconductor layer 144 with a sputtering method, a target having a composition ratio of In:Ga:Zn = 1:x:y (*x* is 0 or more and *y* is more than or equal to 0.5 and less than or equal to 5) is preferably used. For example, a metal oxide target having a composition ratio of  $In_2O_3:Ga_2O_3:ZnO = 1:1:2$  [molar ratio], or the like can be used. Alternatively, a metal oxide target having a composition ratio of  $In_2O_3:Ga_2O_3:ZnO = 1:1:1$  [molar ratio], a metal oxide target having a composition ratio of  $In_2O_3:Ga_2O_3:ZnO = 1:1:4$  [molar ratio], or a metal oxide target having a composition ratio of  $In_2O_3:Ga_2O_3:ZnO = 1:0:2$  [molar ratio] can be used. [0072]

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In this embodiment, the oxide semiconductor layer 144 having an amorphous structure is formed by a sputtering method using an In-Ga-Zn-O-based metal oxide

target.

[0073]

The relative density of the metal oxide in the metal oxide target is greater than or equal to 80 %, preferably greater than or equal to 95 %, and more preferably greater than or equal to 99.9 %. With the use of the metal oxide target with high relative density, the oxide semiconductor layer 144 having a dense structure can be formed. [0074]

The atmosphere in which the oxide semiconductor layer 144 is formed is preferably a rare gas (typically argon) atmosphere, an oxygen atmosphere, or a mixed 10 atmosphere of a rare gas (typically argon) and oxygen. Specifically, it is preferable to use a high-purity gas atmosphere, for example, from which an impurity such as hydrogen, water, a hydroxyl group, or a hydride is removed so that the concentration is 1 ppm or lower (preferably 10 ppb or lower).

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[0075]

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In forming the oxide semiconductor layer 144, for example, an object (here, a structure including the substrate 100) is held in a treatment chamber kept under reduced pressure and the object is heated to a temperature higher than or equal to 100 °C and lower than 550 °C, preferably higher than or equal to 200 °C and lower than or equal to 400 °C. Alternatively, the temperature of the object in forming the oxide 20 semiconductor layer 144 may be room temperature. While moisture remaining in the treatment chamber is removed, a sputtering gas from which hydrogen, moisture and the like are removed is introduced, and the oxide semiconductor layer144 is formed with the use of the target. The oxide semiconductor layer 144 is formed while the object is heated, so that impurities contained in the oxide semiconductor layer 144 can be 25 reduced. Moreover, damage due to sputtering can be reduced. In order to remove moisture in the treatment chamber, an entrapment vacuum pump is preferably used. For example, a cryopump, an ion pump, a titanium sublimation pump, or the like can be used. A turbo pump provided with a cold trap may be used. By evacuation with the cryopump or the like, hydrogen, water, and the like can be removed from the treatment 30 chamber, whereby the impurity concentration of the oxide semiconductor layer 144 can

be reduced.

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[0076]

The oxide semiconductor layer 144 can be formed under the following conditions, for example: the distance between the object and the target is 170 mm, the pressure is 0.4 Pa, the direct current (DC) power is 0.5 kW, and the atmosphere is an

- 5 oxygen (100 % oxygen) atmosphere, an argon (100 % argon) atmosphere, or a mixed atmosphere of oxygen and argon. A pulse direct current (DC) power supply is preferable because powder substances (also referred to as particles or dust) generated in the film formation can be reduced and the film thickness can be made uniform. The thickness of the oxide semiconductor layer 144 is 3 nm to 30 nm, preferably, 5 nm to 15 nm. Using the oxide semiconductor layer 144 with such a thickness can suppress the short-channel effect due to miniaturization. Note that an appropriate thickness differs
  - depending on an oxide semiconductor material used, the usage of a semiconductor device, or the like; therefore, it is also possible to set the thickness as appropriate depending on the material to be used, the usage, or the like.

15 [0077]

Note that before the oxide semiconductor layer 144 is formed by a sputtering method, a material attached to a surface on which the oxide semiconductor layer 144 is formed (e.g., a surface of the insulating layers 143a and 143b) is preferably removed by reverse sputtering in which an argon gas is introduced and plasma is generated. Here,

- 20 the reverse sputtering is a method by which ions collide with a surface to be processed so that the surface is modified, in contrast to normal sputtering by which ions collide with a sputtering target. An example of a method for making ions collide with a surface to be processed is a method in which high-frequency voltage is applied to the surface to be processed in an argon atmosphere so that plasma is generated near the object. Note that a nitrogen atmosphere, a helium atmosphere, an oxygen atmosphere, or the like may be used instead of an argon atmosphere.
  - [0078]

After that, heat treatment (first heat treatment) is preferably performed on the oxide semiconductor layer 144. Excess hydrogen (including water and a hydroxyl group) contained in the oxide semiconductor layer 144 can be removed by the first heat treatment; thus, the structure of the oxide semiconductor layer can be improved and a defect level in an energy gap can be reduced. The temperature of the first heat

treatment is, for example, higher than or equal to 300 °C and lower than 550 °C, or higher than or equal to 400 °C and lower than or equal to 500 °C. [0079]

The heat treatment can be performed in such a way that, for example, an object is introduced into an electric furnace in which a resistance heating element or the like is used, and heated in a nitrogen atmosphere at 450 °C for an hour. The oxide semiconductor layer 144 is not exposed to the air during the heat treatment so that entry of water and hydrogen can be prevented.

[0080]

10 The heat treatment apparatus is not limited to the electric furnace and may be an apparatus for heating an object by thermal radiation or thermal conduction from a medium such as a heated gas. For example, a rapid thermal annealing (RTA) apparatus such as a lamp rapid thermal annealing (LRTA) apparatus or a gas rapid thermal annealing (GRTA) apparatus can be used. An LRTA apparatus is an apparatus for heating an object by radiation of light (an electromagnetic wave) emitted from a lamp such as a halogen lamp, a metal halide lamp, a xenon arc lamp, a carbon arc lamp, a high pressure sodium lamp, or a high pressure mercury lamp. A GRTA apparatus is an apparatus for performing heat treatment using a high-temperature gas. As the gas, an inert gas that does not react with an object by heat treatment, for example, nitrogen or a 20 rare gas such as argon is used.

[0081]

For example, as the first heat treatment, a GRTA process may be performed as follows. An object is put in an inert gas atmosphere that has been heated, heated for several minutes, and taken out from the inert gas atmosphere. The GRTA process
enables high-temperature heat treatment for a short time. Moreover, the GRTA process can be employed even when the temperature exceeds the upper temperature limit of the object. Note that the inert gas may be changed to a gas containing oxygen during the process. This is because a defect level in an energy gap caused by oxygen deficiency can be reduced by performing the first heat treatment in the atmosphere containing 30 oxygen.

, oxyger

Note that as the inert gas atmosphere, an atmosphere that contains nitrogen or a rare gas (e.g., helium, neon, or argon) as its main component and does not contain water, hydrogen, or the like is preferably used. For example, the purity of nitrogen or a rare gas such as helium, neon, or argon introduced into a heat treatment apparatus is greater

5 than or equal to 6 N (99.9999 %), preferably greater than or equal to 7 N (99.99999 %) (that is, the concentration of the impurities is less than or equal to 1 ppm, preferably less than or equal to 0.1 ppm).

[0083]

In any case, the i-type (intrinsic) or substantially i-type oxide semiconductor 10 layer 144 in which impurities are reduced by the first heat treatment is formed, which enables a transistor having extremely excellent characteristics to be realized. [0084]

The above heat treatment (first heat treatment) can be referred to as dehydration treatment, dehydrogenation treatment, or the like because of its effect of removing hydrogen, water, and the like. The dehydration treatment or dehydrogenation treatment can be performed, for example, after the oxide semiconductor layer is formed, after the gate insulating layer is formed, or after the gate electrode is formed. Such dehydration treatment or dehydrogenation treatment may be performed once or plural times.

20 [0085]

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Next, the gate insulating layer 146 which is in contact with the oxide semiconductor layer 144 is formed (see FIG. 2E). Here, the second conductive layers 145a and 145b have regions which extend in the channel length direction from end portions of the first conductive layers 142a and 142b, respectively; therefore, steps on the end portions of the source electrode and the drain electrode can be made gradual. Thus, it is possible to improve the coverage of the gate insulating layer 146 and to

[0086]

prevent breaking of the film.

The gate insulating layer 146 can be formed by a CVD method, a sputtering 30 method, or the like. The gate insulating layer 146 is preferably formed so as to contain silicon oxide, silicon nitride, silicon oxynitride, aluminum oxide, tantalum oxide, hafnium oxide, yttrium oxide, hafnium silicate (HfSi<sub>x</sub>O<sub>y</sub> (x > 0, y > 0)), hafnium silicate to which nitrogen is added (HfSi<sub>x</sub>O<sub>y</sub>N<sub>z</sub> (x > 0, y > 0, z > 0)), hafnium aluminate to which nitrogen is added (HfAl<sub>x</sub>O<sub>y</sub>N<sub>z</sub> (x > 0, y > 0, z > 0)), or the like. The gate insulating layer 146 may have a single-layer structure or a stacked structure. There is no particular limitation on the thickness; however, in the case where the semiconductor device is miniaturized, the thickness is preferably small for ensuring operation of the transister. For example, in the case where silicon evide is used, the thickness can be

transistor. For example, in the case where silicon oxide is used, the thickness can be set to greater than or equal to 1 nm and less than or equal to 100 nm, preferably greater than or equal to 10 nm and less than or equal to 50 nm. [0087]

10 As described above, when the gate insulating layer 146 is made thin, there is a problem of gate leakage due to a tunneling effect or the like. In order to solve the problem of gate leakage, a high dielectric constant (high-k) material such as hafnium oxide, tantalum oxide, yttrium oxide, hafnium silicate (HfSi<sub>x</sub>O<sub>y</sub> (x > 0, y > 0)), hafnium silicate to which nitrogen is added (HfSi<sub>x</sub>O<sub>y</sub>N<sub>z</sub> (x > 0, y > 0, z > 0)), or hafnium aluminate to which nitrogen is added (HfAl<sub>x</sub>O<sub>y</sub>N<sub>z</sub> (x > 0, y > 0, z > 0)) is preferably used for the gate insulating layer 146. By using the high-k material for the gate insulating layer 146. By using the high-k material for the gate insulating layer 146, electrical characteristics can be ensured and the thickness can be increased to prevent gate leakage. Note that a stacked structure of a film containing the high-k material and a film containing any one of silicon oxide, silicon nitride, silicon oxynitride, silicon nitride oxide, aluminum oxide, and the like may be employed.

[0088]

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After the gate insulating layer 146 is formed, second heat treatment is desirably performed in an inert gas atmosphere or an oxygen atmosphere. The temperature of the heat treatment is set to higher than or equal to 200 °C and lower than or equal to 450 °C, preferably higher than or equal to 250 °C and lower than or equal to 350 °C. For example, the heat treatment may be performed at 250 °C for 1 hour in a nitrogen atmosphere. The second heat treatment can reduce variation in electrical characteristics of transistors. Further, in the case where the gate insulating layer 146 contains oxygen, oxygen is supplied to the oxide semiconductor layer 144 to cover oxygen deficiency in the oxide semiconductor layer 144, so that an i-type (intrinsic semiconductor) or substantially i-type oxide semiconductor layer can be formed. [0089]

Note that although the second heat treatment is performed in this embodiment after the gate insulating layer 146 is formed, the timing of the second heat treatment is not limited thereto. For example, the second heat treatment may be performed after the gate electrode is formed. Alternatively, the second heat treatment may be performed following the first heat treatment, the first heat treatment may serve also as the second heat treatment, or the second heat treatment may serve also as the first heat

[0090]

treatment.

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10 As described above, at least one of the first heat treatment and the second heat treatment is applied, whereby the oxide semiconductor layer 144 can be highly purified as to minimize the amount of impurities that are not main components of the oxide semiconductor. The concentration of hydrogen in the oxide semiconductor layer 144 can be 5 × 10<sup>19</sup> atoms/cm<sup>3</sup> or less, preferably 5 × 10<sup>18</sup> atoms/cm<sup>3</sup> or less, more 15 preferably 5 × 10<sup>17</sup> atoms/cm<sup>3</sup> or less. Accordingly, the off-state current is sufficiently small. For example, the off current (per unit channel width (1 µm), here) of the transistor 160 at room temperature is 100 zA/µm (1 zA (zeptoampere) is 1 × 10<sup>-21</sup> A) or less, preferably 10 zA/µm or less.

[0091]

20 Next, the gate electrode 148 is formed over the gate insulating layer 146 in a region overlapping with the channel formation region of the oxide semiconductor layer 144 (see FIG. 2F). The gate electrode 148 can be formed in such a manner that a conductive film is formed over the gate insulating layer 146 and then etched selectively. The conductive film to be the gate electrode 148 can be formed by a PVD method 25 typified by a sputtering method or a CVD method such as a plasma CVD method. The details are similar to those of the source electrode, the drain electrode or the like; thus, the description thereof can be referred to. However, if the work function of the material of the gate electrode 148 is approximately the same as or smaller than the electron affinity of the oxide semiconductor layer 144, the threshold voltage sometimes shifts in the negative direction when a transistor is miniaturized. Thus, a material having a larger work function than the electron affinity of the oxide semiconductor layer

144 is preferably used. As such a material, for example, tungsten, platinum, gold, silicon which imparts p-type conductivity, or the like can be given. [0092]

Through the above steps, the transistor 160 including the oxide semiconductor 5 layer 144 is completed.

[0093]

<Manufacturing Process of Transistor 180 or Transistor 190>

Next, an example of a manufacturing process of the transistor 180 illustrated in FIG. 1C will be described with reference to FIGS. 3A to 3F. Note that the manufacturing process of the transistor 180 can be referred to for the transistor 190 illustrated in FIG. 1D except that the insulating layers 143a and 143b are not provided; thus detailed description is omitted.

[0094]

- The second conductive film 145 is formed over the substrate 100. The second conductive film 145 has a thickness of, for example, 3 nm to 30 nm, preferably, 5 nm to 15 nm. Then, the first conductive film is formed over the second conductive film 145 and then etched selectively, whereby the first conductive layers 142a and 142b are formed. After that, the insulating film 143 is formed over the first conductive layers 142a and 142b and the second conductive film 145 (see FIG. 3A).
- 20 [0095]

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Note that in the case where the first conductive film is formed over the second conductive film, materials which can obtain etching selectivity is selected for the first conductive film and the second conductive film. Further, a material having higher resistance than the first conductive film is preferably used for the second conductive film. In this embodiment, a titanium nitride film is formed as the second conductive film; and the first conductive film or a molybdenum film is formed as the first conductive film; and the first conductive film is etched using a mixed gas of carbon tetrafluoride (CF<sub>4</sub>), chlorine (Cl<sub>2</sub>), and oxygen (O<sub>2</sub>), a mixed gas of sulfur hexafluoride (SF<sub>6</sub>), chlorine (Cl<sub>2</sub>), and oxygen (O<sub>2</sub>), or a

30 mixed gas of sulfur hexafluoride (SF<sub>6</sub>) and oxygen (O<sub>2</sub>), whereby the first conductive layers 142a and 142b are formed. [0096] As illustrated in the transistor 190 of FIG. 1D, the insulating film 143 is not necessarily formed. However, by providing the insulating film 143, parasitic capacitance between the gate electrode to be formed later and each of the source electrode and the drain electrode can be reduced.

5 [0097]

Next, in a manner similar to the step shown in FIG. 2B, a mask is formed over the insulating film 143, and the insulating film 143 is etched using the mask, whereby the insulating layers 143a and 143b are formed (see FIG. 3B). [0098]

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Next, in a manner similar to the step shown in FIG. 2C, the second conductive film 145 is etched using the mask used for etching of the insulating layers 143a and 143b, whereby the second conductive layers 145a and 145b are formed (see FIG. 3C). Note that the mask may be removed before the second conductive film 145 is etched, and then the second conductive film 145 may be etched using the insulating layers 143a

- 15 and 143b as masks. As an etching gas used for etching the second conductive film 145, for example, chlorine (Cl<sub>2</sub>), boron trichloride (BCl<sub>3</sub>), silicon tetrachloride (SiCl<sub>4</sub>), carbon tetrafluoride (CF<sub>4</sub>), sulfur hexafluoride (SF<sub>6</sub>), nitrogen trifluoride (NF<sub>3</sub>), or the like, or a mixed gas selected from two or more of the above-mentioned gases can be used. Further, a rare gas (heliun (He), or Argon (Ar)) may be added to the etching gas.
- 20 Furthermore, as illustrated in the transistor 190 of FIG. 1D, in the case where an insulating layer is not provided, a mask is directly formed on the second conductive film 145, and the second conductive film is etched.
  [0099]
- Next, in a manner similar to the step illustrated in FIG 2D, the oxide semiconductor layer 144 is formed over the insulating layers 143a and 143b and the substrate 100 (see FIG 3D). The formed oxide semiconductor layer 144 is in contact with the second conductive layers 145a and 145b in its channel formation region. Further, the oxide semiconductor layer 144 is desirably subjected to heat treatment (first heat treatment).

30 [0100]

Then, in a manner similar to the step illustrated in FIG. 2E, the gate insulating layer 146 is formed (see FIG. 3E). After forming the gate insulating layer 146, heat

treatment (second heat treatment) is desirably performed.

[0101]

Then, in a manner similar to the step illustrated in FIG. 2F, the gate electrode 148 is formed over the gate insulating layer 146 in a region overlapping with the channel formation region of the oxide semiconductor layer 144 (see FIG. 3F).

[0102]

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Through the above steps, the transistor 180 including the oxide semiconductor layer 144 is completed.

[0103]

10 The transistors 160, 170, 180, and 190 illustrated in this embodiment each include the source electrode and the drain electrode in which the first electrode and the second electrode are stacked. In each of the transistors, the second conductive layers 145a and 145b have regions which extend in the channel length direction from the end portions of the first conductive layers 142a and 142b. Accordingly, steps on end 15 portions of the source electrode and the drain electrode can be made gradual. Thus, it is possible to improve the coverage of the oxide semiconductor layer 144 and the gate

insulating layer 146 and to prevent occurrence of poor connections.

[0104]

- In each of the transistors 160, 170, 180, and 190 illustrated in this embodiment, 20 in the source electrode or the drain electrode, the vicinity of the region in contact with the channel formation region can be a high-resistance region, whereby an electric field between the source electrode and the drain electrode can be relieved. Thus, a short-channel effect in accordance with a reduction in a transistor size can be controlled. [0105]
- As described above, according to an embodiment of the disclosed invention, a problem due to miniaturization can be resolved. As a result, the size of the transistor can be sufficiently reduced. By sufficiently reducing the size of the transistor, an area of a semiconductor device including the transistor is decreased, and the number of semiconductor devices obtained from one substrate is increased. Accordingly, manufacturing costs of the semiconductor device can be reduced. Further, since the semiconductor device is downsized, the semiconductor device which is substantially the same in size with increased function can be realized. Furthermore, effects of

high-speed operation, low power consumption, and the like of a transistor can be obtained in accordance with a reduction in channel length. Thus, miniaturization of a transistor including an oxide semiconductor can be achieved according to an embodiment of the disclosed invention, and various effects accompanied with the miniaturization can be obtained.

[0106]

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The structures, methods, and the like described in this embodiment can be combined as appropriate with any of the structures, methods, and the like described in the other embodiments.

10 [0107]

(Embodiment 2)

In this embodiment, a structure and a manufacturing process of a semiconductor device according to one embodiment of the disclosed invention, which are different from those of Embodiment 1, will be described with reference to FIG. 4 and FIGS, 5A to 5F.

[0108]

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<Example of Structure of Semiconductor Device>

A transistor 280 illustrated in FIG. 4 is an example of a structure of a semiconductor device. The order of stacking of the transistor 280 corresponds to that of the transistor 180 illustrated in FIG. 1C. The difference between the transistor 280 and the transistor 180 is that a sidewall insulating layer 252a is provided over the second conductive layer 245a in a region which extends in a channel length direction from an end portion of the first conductive layer 242a and a sidewall insulating layer 252b is provided over the second conductive layer the second conductive layer the second conductive layer 245b in a region which extends in a region which extends in a sidewall insulating layer 252b is provided over the second conductive layer 245b in a region which extends in a

channel length direction from an end portion of the first conductive layer 242b.[0109]

The transistor 280 illustrated in FIG. 4 includes, over a substrate 200, a source electrode in which the second conductive layer 245a and the first conductive layer 242a are stacked in this order; a drain electrode in which the second conductive layer 245b and the first conductive layer 242b are stacked in this order; an insulating layer 243a provided over the source electrode; an insulating layer 243b provided over the drain electrode; an oxide semiconductor layer 244 provided over the insulating layers 243a

and 243b; a gate insulating layer 246 provided over the oxide semiconductor layer 244; and a gate electrode 248 provided over the gate insulating layer 246. [0110]

In the transistor 280 illustrated in FIG. 4, the second conductive layer 245a has the region which extends in the channel length direction from the end portion of the first conductive layer 242a, and the second conductive layer 245a and at least a channel formation region of the oxide semiconductor layer 244 are in contact with each other. Further, the second conductive layer 245b has the region which extends in the channel length direction from the end portion of the first conductive layer 242b, and the second conductive layer 245b and at least the channel formation region of the oxide semiconductor layer 244 are in contact with each other.

[0111]

More specifically, the second conductive layer 245a has the region which extends in the channel length direction (the flowing direction of carriers) from the end portion of the first conductive layer 242a toward the drain electrode. Further, the second conductive layer 245b has the region which extends in the channel length direction from the end portion of the first conductive layer 242b toward the source electrode.

[0112]

20 Further, the transistor 280 illustrated in FIG. 4 has the sidewall insulating layer 252a over the second conductive layer 245a in the region which extends in the channel length direction from the end portion of the first conductive layer 242a and the sidewall insulating layer 252b over the second conductive layer 245b in the region which extends in the channel length direction from the end portion of the first conductive layer 242b. 25 The sidewall insulating layer 252a is provided so as to be in contact with the oxide semiconductor layer 244 (at least the channel formation region thereof), the second conductive layer 245a, the first conductive layer 242a, and the insulating layer 243a. Further, in the sidewall insulating layer 252a, part of a region in contact with the oxide semiconductor layer 244 has a curved shape. The sidewall insulating layer 252b is 30 provided so as to be in contact with the oxide semiconductor layer 244 (at least the channel formation region thereof), the second conductive layer 245b, the first conductive layer 242b, and the insulating layer 243b. Further, in the sidewall insulating layer 252b, part of a region in contact with the oxide semiconductor layer 244 has a curved shape.

[0113]

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<Example of Manufacturing Process of Transistor 280>

Next, an example of a manufacturing process of the transistor 280 will be described with reference to FIG. 5A to 5F.

[0114]

First, the second conductive film 245 is formed over the substrate 200. Next, the first conductive film 242 is formed over the second conductive film 245, and the insulating film 243 is formed over the first conductive film 242 (see FIG. 5A).

[0115]

Here, a material similar to that of the substrate 100 described in Embodiment 1 can be used for the substrate 200. Further, the second conductive film 245 can be formed using a material and a method similar to those of the second conductive film 145 described in Embodiment 1. Furthermore, the first conductive film 242 can be formed using a material and a method similar to those of the first conductive film

described in Embodiment 1. Embodiment 1 can be referred to for the details.

[0116]

However, materials which can obtain etching selectivity are used for the first conductive film 242 and the second conductive film 245. In this embodiment, a titanium nitride film is formed as the second conductive film 245 and a tungsten film or a molybdenum film is formed as the first conductive film 242. [0117]

Next, a mask is formed over the insulating film 243, and the insulating film
243 is etched using the mask, whereby the insulating layers 243a and 243b are formed.
For the etching of the insulating film 243, either wet etching or dry etching can be used.
Alternatively, wet etching and dry etching may be used in combination. The etching conditions (e.g., an etching gas or an etchant, etching time, and temperature) are set as appropriate depending on the material so that the insulating film can be etched into a
desired shape. However, it is preferable to use dry etching for microfabrication of a channel length (L) of the transistor. As an etching gas used for dry etching, for example, a gas containing fluorine such as, sulfur hexafluoride (SF<sub>6</sub>), nitrogen

trifluoride (NF<sub>3</sub>), or trifluoromethane (CHF<sub>3</sub>); or a mixed gas of carbon tetrafluoride  $(CF_4)$  and hydrogen can be used. A rare gas (heliun (He), Argon (Ar), or xenon (Xe)), carbon monoxide, carbon dioxide, or the like may be added to the etching gas for dry etching.

5 [0118]

> Next, the first conductive film 242 is etched using the mask used for etching of the insulating film 243, whereby the first conductive layers 242a and 242b are formed (see FIG. 5B). Note that when the first conductive film 242 is etched, a material which can obtain etching selectivity with respect to the second conductive film 245 is used. Note that the mask may be removed before etching the first conductive film 242, and the first conductive film 242 may be etched using the insulating layers 243a and 243b as masks.

[0119]

In this embodiment, as an etching gas for etching the first conductive film 242, 15 a mixed gas of carbon tetrafluoride ( $CF_4$ ), chlorine ( $Cl_2$ ), and oxygen ( $O_2$ ), a mixed gas of carbon tetrafluoride ( $CF_4$ ) and oxygen ( $O_2$ ), a mixed gas of sulfur hexafluoride ( $SF_6$ ) chlorine  $(Cl_2)$ , and oxygen  $(O_2)$ , or a mixed gas of sulfur hexafluoride  $(SF_6)$  and oxygen  $(O_2)$ , is used.

[0120]

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By providing the insulating layers 243a and 243b, contact regions (contact areas and the like) between each of the source electrode and the drain electrode to be formed later and the oxide semiconductor layer can be controlled easily. That is, the resistance of the source electrode and the drain electrode can be easily controlled, and the short-channel effect can be effectively controlled. Furthermore, by providing the 25 insulating layers 243a and 243b, parasitic capacitance between the gate electrode to be formed later and each of the source electrode and the drain electrode can be reduced. [0121]

Then, an insulating film 252 is formed so as to cover the insulating layers 243a, 243b, and the exposed second conductive film 245 (see FIG. 5C). The insulating film 30 252 can be formed by a CVD method, or a sputtering method. The insulating film 252 preferably contains silicon oxide, silicon nitride, silicon oxynitride, aluminum oxide, or The insulating film 252 may have a single-layer structure or a stacked the like.

structure.

[0122]

Then, the sidewall insulating layers 252a and 252b are formed over the region where the second conductive film 245 is exposed (a region between the first conductive layer 242a and the first conductive layer 242b) (see FIG. 5D). The insulating film 252 is subjected to highly anisotropic etching treatment, whereby the sidewall insulating layers 252a and 252b can be formed in a self-aligned manner. Here, as a highly anisotropic etching process, dry etching is preferable. As an example of the etching gas, a gas containing fluorine such as, trifluoromethane (CHF<sub>3</sub>) can be used, or a rare 10 gas such as heliun (He) or Argon (Ar) may be added. In addition, as the dry etching, a reactive ion etching (RIE) method in which high-frequency voltage is applied to a substrate, is preferably used.

[0123]

- Next, the second conductive film 245 is selectively etched using the sidewall insulating layers 252a and 252b as masks (see FIG 5E). Through this etching process, the source electrode in which the second conductive layer 245a and the first conductive layer 242a are stacked and the drain electrode in which the second conductive layer 245b and the first conductive layer 242b are stacked are formed. The etching of the second conductive film 245 can be performed in a manner similar to that described in
- 20 Embodiment 1 with reference to FIG. 2C except that the sidewall insulating layers 252a and 252b are used as masks.

[0124]

Note that the channel length (*L*) of the transistor 280 is determined by the distance between the lower end portion of the second conductive layer 245a and the lower end portion of the second conductive layer 245b. The channel length (*L*) depends on the application of the transistor 280; which can be, for example, 10 nm to 1000 nm, preferably, 20 nm to 400 nm.

[0125]

Note that in the manufacturing process of the transistor described in this 30 embodiment, the second conductive film 245 is etched using the sidewall insulating layer 252a or the sidewall insulating layer 252b. Therefore, in the second conductive layer 245a, the length ( $L_s$ ) in a channel length direction in the region which extends in the channel length direction from the end portion of the first conductive layer 242a and the length in a channel length direction in the bottom face of the sidewall insulating layer 252a are almost the same. At the same time, in the second conductive layer 245b, the length ( $L_D$ ) in a channel length direction in the region which extends in the channel

- 5 length direction from the end portion of the first conductive layer 242b and the length in the channel length direction in the bottom face of the sidewall insulating layer 252b are almost the same. Since the sidewall insulating layers 252a and 252b are formed in a self-aligned manner by etching treatment on the insulating film 252, the ( $L_S$ ) or ( $L_D$ ) is determined in accordance with the film thickness of the insulating film 252. Thus, by
- 10 controlling the thickness of the insulating film 252, the channel length (L) of the transistor 280 can be adjusted finely. For example, the channel length (L) of the transistor 280 can be adjusted more minutely than the minimum processing dimension of a light-exposure apparatus for forming a mask. Thus, the thickness of the insulating film 252 is determined in accordance with the desired channel length (L) of the transistor 280, resolution of the light-exposure apparatus used for processing of the first

conductive layers 242a and 242b, and the like. [0126]

Next, the oxide semiconductor layer 244 is formed to cover the insulating layers 243a and 243b and the sidewall insulating layers 252a and 252b and to be in contact with the second conductive layers 245a and 245b, and the gate insulating layer 246 is formed over the oxide semiconductor layer 244. Then, the gate electrode 248 is formed over the gate insulating layer 246 in a region overlapping with the channel formation region of the transistor 280 (see FIG. 5F).

[0127]

The oxide semiconductor layer 244 can be formed using a material and a method similar to those of the oxide semiconductor layer 144 illustrated in Embodiment 1. Further, the oxide semiconductor layer 244 is desirably subjected to heat treatment (first heat treatment). Embodiment 1 can be referred to for the details. [0128]

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The gate insulating layer 246 can be formed using a material and a method similar to those of the gate insulating layer 146 illustrated in Embodiment 1. Further, the formed gate insulating layer 246 is desirably subjected to heat treatment (second

heat treatment) in an inert gas atmosphere or an oxygen atmosphere. Embodiment 1 can be referred to for the details.

[0129]

The gate electrode 248 can be formed in such a manner that a conductive film 5 is formed over the gate insulating layer 246 and then etched selectively. The gate electrode 248 can be formed using a material and a method similar to those of the gate electrode 148 illustrated in Embodiment 1.

[0130]

Note that the source electrode of the transistor 280 is in contact with the oxide semiconductor layer 244 at an end portion of the region which extends in the channel length direction from an end portion of the first conductive layer 242a in the second conductive layer 245a. On the other hand, the drain electrode of the transistor 280 is in contact with the oxide semiconductor layer 244 at an end portion of the region which extends in the channel length direction from an end portion of the first conductive layer

- 15 242b in the second conductive layer 245b. As described, the source electrode and the drain electrode of the transistor 280 is in contact with the oxide semiconductor layer 244 at the end portions of the second conductive layers 245a and 245b which have smaller film thickness than the first conductive layers 242a and 242b, whereby the contact area thereof can be reduced, and contact resistance at an contact interface can be
- 20 increased. Accordingly, even if the channel length (L) of the transistor 280 is shortened, electric field between the source electrode and the drain electrode can be relieved, and a short-channel effect can be controlled. In addition, when the second conductive layer is formed using a higher resistance material than the first conductive layer, contact resistance can be increased effectively, which is preferable. Note that a
- 25 technical idea of the disclosed invention is to form a high-resistance region in the source electrode and the drain electrode; thus, the source electrode and the drain electrode does not need to be exactly in contact with the oxide semiconductor layer only at the end portions of the second conductive layers 245a and 245b.

[0131]

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Accordingly, the transistor 280 including the oxide semiconductor layer 244 can be manufactured.

[0132]

The channel length (L) of the transistor 280 described in this embodiment can be adjusted finely by the film thickness of the insulating film 252 for forming the sidewall insulating layers 252a and 252b. Therefore, the film thickness of the insulating film 252 is set as appropriate, the channel length (L) of the transistor 280 is shortened, and thus a semiconductor device can be easily miniaturized.

[0133]

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In the transistor 280 described in this embodiment, the sidewall insulating layer 252a is provided over the region which extends in the channel length direction from the end portion of the first conductive layer 242a in the second conductive layer 245a, and the sidewall insulating layer 252b is provided over the region which extends in the channel length direction from the end portion of the first conductive layer 242b in the second conductive layer 245b. Accordingly, coverage of the oxide semiconductor layer 244 and the gate insulating layer 246 are improved, and occurrence of poor connections can be prevented.

15 [0134]

Further, the transistor 280 described in this embodiment includes the region which extends in the channel length direction from the end portion of the first conductive layer 242a in the second conductive layer 245a, and the region which extends in the channel length direction from the end portion of the first conductive layer 242b in the second conductive layer 245b, and the vicinity of the regions in contact with the channel formation region of the oxide semiconductor layer 244 is made to be high-resistance region. Therefore, an electric field between the source electrode and the drain electrode can be relieved, and a short-channel effect such as a decrease in a threshold voltage can be controlled.

25 [0135]

As described above, according to an embodiment of the disclosed invention, a problem due to miniaturization can be resolved. As a result, the size of the transistor can be sufficiently reduced. By sufficiently reducing the size of the transistor, an area of a semiconductor device including the transistor is decreased, and the number of semiconductor devices obtained from one substrate is increased. Accordingly, manufacturing costs of the semiconductor device can be reduced. Further, since the semiconductor device is downsized, the semiconductor device which is substantially the same in size with increased function can be realized. Furthermore, effects of high-speed operation, low power consumption, and the like of a transistor can be obtained in accordance with a reduction in channel length. Thus, miniaturization of a transistor including an oxide semiconductor can be achieved according to one embodiment of the disclosed invention, and various effects accompanied with the miniaturization can be obtained.

[0136]

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The structures, methods, and the like described in this embodiment can be combined as appropriate with any of the structures, methods, and the like described in the other embodiments.

[0137]

(Embodiment 3)

In this embodiment, application examples of a semiconductor device according to one embodiment of the disclosed invention will be described with reference to FIGS.

15 6A-1, 6A-2, and 6B. Here, an example of a memory device will be described. Note that in a circuit diagram, "OS" is written in order to indicate that a transistor includes an oxide semiconductor.

[0138]

In the semiconductor device illustrated in FIG. 6A-1, a first wiring (a 1st line) is electrically connected to a source electrode of a transistor 300. A second wiring (a 2nd line) is electrically connected to a drain electrode of the transistor 300. Further, a third wiring (a 3rd line) is electrically connected to one of a source electrode and a drain electrode of a transistor 310, and a fourth wiring (4th Line) is electrically connected to a gate electrode of the transistor 310. A gate electrode of the transistor 300 and the other of the source electrode and the drain electrode of the transistor 310 are electrically connected to one of electrodes of a capacitor 320. A fifth wiring (a 5th line) is electrically connected to the other of the electrodes of the capacitor 320. [0139]

Here, a transistor including an oxide semiconductor described in Embodiments
1 and 2 are used for the transistor 310. A transistor including an oxide semiconductor has a characteristic of a significantly small off current. For that reason, a potential of the gate electrode of the transistor 300 can be held for an extremely long time by turning

off the transistor 310. Provision of the capacitor 320 facilitates holding of charge given to the gate electrode of the transistor 300 and reading of stored data. [0140]

Note that there is no particular limitation on the transistor 300. In terms of increasing the speed of reading data, it is preferable to use, for example, a transistor with high switching rate such as a transistor formed using single crystal silicon.

[0141]

Further, as illustrated in FIG. 6B, a structure in which the capacitor 320 is not provided may also be employed.

10 [0142]

The semiconductor device in FIG. 6A-1 utilizes the advantage that the potential of the gate electrode of the transistor 300 can be held, whereby writing, holding, and reading of data can be performed as described below.

[0143]

Firstly, writing and holding of data will be described. First, the potential of the fourth wiring is set to a potential at which the transistor 310 is turned on, so that the transistor 310 is turned on. Accordingly, the potential of the third wiring is supplied to the gate electrode of the transistor 300 and the capacitor 320. That is, predetermined charge is given to the gate electrode of the transistor 300 (writing). Here, one of charges for supply of two different potentials (hereinafter, a charge for supply of a low potential is referred to as a charge Q<sub>L</sub> and a charge for supply of a high potential is referred to as a charge Q<sub>H</sub>) is given to the gate electrode of the transistor 300. Note that charges giving three or more different potentials may be applied to improve a storage capacitor. After that, the potential of the fourth wiring is set to a potential at which the transistor 310 is turned off, so that the transistor 310 is turned off. Thus, the

charge given to the gate electrode of the transistor 300 is held (storing).

[0144]

Since the off current of the transistor 310 is significantly small, the charge of the gate electrode of the transistor 300 is retained for a long time.

30 [0145]

Secondly, reading of data will be described. By supplying an appropriate potential (read-out potential) to the fifth wiring while a predetermined potential

(constant potential) is supplied to the first wiring, the potential of the second wiring varies depending on the amount of charge held in the gate electrode of the transistor 300. This is because in general, when the transistor 300 is an n-channel transistor, an apparent threshold voltage  $V_{th_{\rm H}}$  in the case where  $Q_{\rm H}$  is given to the gate electrode of

- 5 the transistor 300 is lower than an apparent threshold voltage V<sub>th\_L</sub> in the case where Q<sub>L</sub> is given to the gate electrode of the transistor 300. Here, an apparent threshold voltage refers to the potential of the fifth wiring, which is needed to turn on the transistor 300. Thus, the potential of the fifth wiring is set to a potential V<sub>0</sub> intermediate between V<sub>th\_H</sub> and V<sub>th\_L</sub>, whereby charge given to the gate electrode of the transistor 300 can be determined. For example, in the case where Q<sub>H</sub> is given in writing, when the potential
- of the fifth wiring is set to  $V_0$  (>  $V_{th_H}$ ), the transistor 300 is turned on. In the case where  $Q_L$  is given in writing, even when the potential of the fifth wiring is set to  $V_0$  (<  $V_{th_L}$ ), the transistor 300 remains in an off state. Therefore, the stored data can be read by the potential of the second wiring.

15 [0146]

Note that in the case where memory cells are arrayed to be used, only data of desired memory cells is needed to be read. Thus, in order that data of predetermined memory cells is read and data of the other memory cells is not read, in the case where the transistors 300 are connected in parallel between the memory cells, a potential which allows the transistor 300 to be turned off regardless of a state of the gate electrode, that is, a potential lower than V<sub>th\_H</sub> may be applied to the fifth wiring of the memory cells whose data is not to be read. Further, in the case where transistors 300 are connected in series between the memory cells, a potential which allows the transistor 300 to be turned.
25 potential higher than V<sub>th\_L</sub> may be applied to the fifth wiring of the memory cells whose data is not to be read.

[0147]

Then, rewriting of data will be described. Rewriting of data is performed in a manner similar to that of the writing and holding of data. That is, the potential of the 30 fourth wiring is set to a potential at which the transistor 310 is turned on, so that the transistor 310 is turned on. Accordingly, the potential of the third wiring (potential

related to new data) is supplied to the gate electrode of the transistor 300 and the capacitor 320. After that, the potential of the fourth wiring is set to a potential which allows the transistor 310 to be turned off, whereby the transistor 310 is turned off. Accordingly, charge related to new data is given to the gate electrode of the transistor 300.

[0148]

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In the semiconductor device according to the invention disclosed herein, data can be directly rewritten by another writing of data as described above. Therefore, extracting of charge from a floating gate with the use of a high voltage needed in a flash memory or the like is not necessary and thus, reduction in operation speed, which is attributed to erasing operation, can be suppressed. In other words, high-speed operation of the semiconductor device can be realized.

[0149]

- The source electrode or the drain electrode of the transistor 310 is electrically connected to the gate electrode of the transistor 300, thereby having an effect similar to that of a floating gate of a floating gate transistor used for a nonvolatile memory element. Therefore, a portion in the drawing where the source electrode or the drain electrode of the transistor 310 is electrically connected to the gate electrode of the transistor 300 is called a floating gate portion FG in some cases. When the transistor 310 is off, the floating gate portion FG can be regarded as being embedded in an insulator and thus charge is held in the floating gate portion FG. The amount of off current of the transistor 310 including an oxide semiconductor is smaller than or equal
- to one hundred thousandth of the amount of off current of a transistor including a silicon

semiconductor; thus, lost of the charge accumulated in the floating gate portion FG due

25 to a leakage current of the transistor 310 is negligible. That is, with the transistor 310 including an oxide semiconductor, a nonvolatile memory device which can store data without being supplied with power can be realized.

[0150]

For example, when the off current of the transistor 310 is 10 zA (1 zA (2 zeptoampere) is  $1 \times 10^{-21}$  A) or less at room temperature and the capacitance value of the capacitor 320 is approximately 10 fF, data can be stored for  $10^4$  seconds or longer. It is needless to say that the storage time depends on transistor characteristics and the

capacitance value.

[0151]

Further, in that case, the problem of deterioration of a gate insulating film (tunnel insulating film), which is pointed out in a conventional floating gate transistor, does not exist. That is to say, the problem of deterioration of a gate insulating film when an electron is injected into a floating gate, which has been traditionally regarded as a problem, can be neglected. This means that there is no limit on the number of times of writing in principle. Furthermore, a high voltage needed for writing or erasing in a conventional floating gate transistor is not necessary.

10 [0152]

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The components such as transistors in the semiconductor device in FIG. 6A-1 can be regarded as including a resistor and a capacitor as shown in FIG. 6A-2. That is, in FIG. 6A-2, the transistor 300 and the capacitor 320 are each regarded as including a resistor and a capacitor. R1 and C1 denote the resistance value and the capacitance value of the capacitor 320, respectively. The resistance value R1 corresponds to the

- 15 value of the capacitor 320, respectively. The resistance value R1 corresponds to the resistance value which depends on an insulating layer included in the capacitor 320. R2 and C2 denote the resistance value and the capacitance value of the transistor 300, respectively. The resistance value R2 corresponds to the resistance value which depends on a gate insulating layer at the time when the transistor 300 is on. The capacitance value C2 corresponds to the capacitance value of so-called gate capacitance
- (capacitance formed between the gate electrode and each of the source electrode and the drain electrode and capacitance formed between the gate electrode and the channel formation region).

[0153]

A charge holding period (also referred to as a data holding period) is determined mainly by off current of the transistor 310 under the conditions that gate leakage of the transistor 310 is sufficiently small and that R1 ≥ ROS (R1 is ROS or more) and R2 ≥ ROS (R2 is ROS or more) are satisfied, where the resistance value (also referred to as effective resistance) between the source electrode and the drain electrode in the case where the transistor 310 is off is ROS.

[0154]

On the other hand, when the conditions are not met, it is difficult to sufficiently secure the holding period even if the off current of the transistor 310 is small enough. This is because a leakage current other than the off current of the transistor 310 (e.g., a leakage current generated between the source electrode and the gate electrode) is large.

Thus, it can be said that the semiconductor device disclosed in this embodiment

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desirably satisfies the above relation.

[0155]

It is desirable that C1 and C2 satisfy  $C1 \ge C2$  (C1 is C2 or more). If C1 is large, variation in potential of the fifth wiring can be suppressed when the potential of the floating gate portion FG is controlled by the fifth wiring (e.g., at the time of reading).

[0156]

When the above relation is satisfied, a more preferable semiconductor device can be realized. Note that R1 and R2 are controlled by the gate insulating layer of the
15 transistor 300 and the insulating layer of the capacitor 320. The same relation is applied to C1 and C2. Therefore, the material, the thickness, and the like of the gate insulating layer are desirably set as appropriate to satisfy the above relation. [0157]

- In the semiconductor device described in this embodiment, the floating gate 20 portion FG has an effect similar to a floating gate of a floating gate transistor of a flash memory or the like, but the floating gate portion FG of this embodiment has a feature which is essentially different from that of the floating gate of the flash memory or the like. In the case of a flash memory, since a voltage applied to a control gate is high, it is necessary to keep a proper distance between cells in order to prevent the potential 25 from affecting a floating gate of the adjacent cell. This is one of inhibiting factors for
- high integration of the semiconductor device. The factor is attributed to a basic principle of a flash memory, in which a tunneling current flows in applying a high electric field.

[0158]

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Further, because of the above principle of a flash memory, deterioration of an insulating film proceeds and thus another problem of the limit on the number of times of rewriting (approximately  $10^4$  to  $10^5$  times) occurs.

[0159]

The semiconductor device according to the disclosed invention is operated by switching of a transistor including an oxide semiconductor and does not use the above-described principle of charge injection by a tunneling current. That is, a high electrical field for charge injection is not necessary unlike a flash memory. Accordingly, it is not necessary to consider an influence of a high electric field from a control gate on an adjacent cell, which facilitates high integration.

[0160]

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Further, charge injection by a tunneling current is not utilized, which means 10 that there is no causes for deterioration of a memory cell. In other words, the semiconductor device according to the disclosed invention has higher durability and reliability than a flash memory.

[0161]

In addition, it is also advantageous that a high electric field is unnecessary and a large peripheral circuit (such as a booster circuit) is unnecessary as compared to a flash memory.

[0162]

In the case where the dielectric constant  $\varepsilon r1$  of the insulating layer included in the capacitor 320 is different from the dielectric constant  $\varepsilon r2$  of the insulating layer included in a gate capacitor of the transistor 300, it is easy to satisfy  $C1 \ge C2$  (C1 is C2 or greater) while  $2 \cdot S2 \ge S1$  ( $2 \cdot S2$  is S1 or more) (desirably,  $S2 \ge S1$  (S is S1 or more)) is satisfied where S1 is the area of the insulating layer included in the capacitor 320 and S2 is the area of the insulating layer included in a gate capacitor of the transistor 300. That is, it is easy to satisfy that C1 is C2 or greater while reducing S1. Specifically, for

25 example, a film formed of a high-k material such as hafnium oxide or a stack of a film formed of a high-k material such as hafnium oxide and a film formed of an oxide semiconductor is used for the insulating layer included in the capacitor 320 so that ɛr1 can be set to 10 or more, preferably 15 or more, and silicon oxide is used for the insulating layer included in a gate capacitor of the transistor 300 so that ɛr2 can be set to

30 3 to 4.

[0163]

Combination of such structures enables higher integration of the semiconductor device according to the disclosed invention.

[0164]

Note that an n-channel transistor in which electrons are majority carriers is used in the above description; it is needless to say that a p-channel transistor in which holes are majority carriers can be used instead of the n-channel transistor.

[0165]

As described above, a semiconductor device according to an embodiment of the disclosed invention has a nonvolatile memory cell including a writing transistor where a leakage current (off current) between a source electrode and a drain electrode is small in an off state, a reading transistor formed of a semiconductor material different from that of the writing transistor, and a capacitor.

[0166]

The off current of the writing transistor is preferably 100 zA (1 × 10<sup>-19</sup> A) or
15 less, more preferably 10 zA (1 × 10<sup>-20</sup> A) or less, still more preferably 1 zA (1 × 10<sup>-21</sup> A) or less at a temperature at which the memory cell is used (e.g., 25 °C). Such small off-state current is difficult to obtain with a general silicon semiconductor, but can be achieved by a transistor which is obtained by processing an oxide semiconductor under an appropriate condition. Therefore, a transistor including an oxide semiconductor is
20 preferably used as the writing transistor.

[0167]

In addition, a transistor including an oxide semiconductor has a small subthreshold swing (S value), so that the switching rate can be sufficiently high even if mobility is comparatively low. Therefore, by using the transistor as the writing transistor, rising of a writing pulse given to the floating gate portion FG can be very sharp. Further, an off current is small and thus, the amount of charge held in the floating gate portion FG can be reduced. That is, by using a transistor including an oxide semiconductor, rewriting of data can be performed at high speed.

[0168]

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Although there is no limitation on the off-state current of the reading transistor, a transistor that operates at high speed is preferably used as the reading transistor in order to increase the readout speed. For example, a transistor with a switching rate of 1 nano second or lower is preferably used as the reading transistor. [0169]

Data is written to the memory cell by turning on the writing transistor so that a 5 potential is supplied to the floating gate portion FG where one of a source electrode and a drain electrode of the writing transistor, one of electrodes of the capacitor, and a gate electrode of the reading transistor are electrically connected, and then turning off the writing transistor so that the predetermined amount of charge is held in the floating gate portion FG. Here, the off current of the writing transistor is very small; thus, the charge supplied to the floating gate portion FG is held for a long time. When an off current is, for example, substantially 0, refresh operation needed for a conventional DRAM can be unnecessary or the frequency of refresh operation can be significantly low (for example, about once a month or a year). Accordingly, power consumption of a semiconductor device can be reduced sufficiently.

15 [0170]

Further, data can be rewritten directly by overwriting of new data to the memory cell. For that reason, erasing operation which is necessary for a flash memory or the like is not needed, so that a reduction in operation speed because of erasing operation can be prevented. In other words, high-speed operation of the semiconductor device can be realized. Moreover, a high voltage necessary for a conventional floating gate transistor to write and erase data is unnecessary; thus, power consumption of the semiconductor device can be further reduced. The highest voltage applied to the memory cell according to this embodiment (the difference between the highest potential and the lowest potential applied to respective terminals of the memory cell at the same time) can be 5 V or lower or 3 V or lower, preferably, 3V or lower in each memory cell in the case where data of two stages (one bit) is written.

[0171]

The memory cell provided in the semiconductor device according to the disclosed invention may include at least the writing transistor, the reading transistor, 30 and the capacitor. Further, the memory cell can operate even when the area of the capacitor is small. Accordingly, the area of each memory cell can be sufficiently small as compared to an SRAM which requires six transistors in each memory cell, for

example; thus, the memory cells can be arranged in a semiconductor device at high density.

[0172]

In a conventional floating gate transistor, charge travels in a gate insulating film (tunnel insulating film) during writing operation, so that deterioration of the gate insulating film (tunnel insulating film) cannot be avoided. In contrast, in the memory cell according to an embodiment of the present invention, data is written by switching operation of a writing transistor; therefore, there is no deterioration of a gate insulating film. This means that there is no limit on the number of times of writing in principle and writing durability is very high. For example, in the memory cell according to one embodiment of the present invention, the current-voltage characteristic is not degraded even after data is written  $1 \times 10^9$  or more times (one billion or more times).

[0173]

- Further, in the case of using a transistor including an oxide semiconductor as 15 the writing transistor of the memory cell, the current-voltage characteristic of the memory cell is not degraded even at, for example, a high temperature of 150 °C because an oxide semiconductor generally has a wide energy gap (e.g., 3.0 to 3.5 eV in the case of an In-Ga-Zn-O-based oxide semiconductor) and extremely few thermally excited carriers.
- 20 [0174]

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As a result of intensive research, the present inventors have succeeded in finding for the first time that a transistor including an oxide semiconductor has excellent characteristics in that the characteristics do not deteriorate even at a high temperature of 150 °C and off current is less than or equal to 100 zA, which is extremely small. According to this embodiment, a semiconductor device having a novel feature by using a transistor having such excellent characteristics as the writing transistor of the memory cell is provided.

[0175]

According to an embodiment of the disclosed invention, a transistor including an oxide semiconductor can achieves miniaturization while defects are suppressed and favorable characteristics are maintained. By using such a transistor, an excellent memory device as described above can be highly-integrated.

[0176]

The structures, methods, and the like described in this embodiment can be combined as appropriate with any of the structures, methods, and the like described in the other embodiments.

[0177]

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(Embodiment 4)

In this embodiment, application examples of a semiconductor device according to one embodiment of the disclosed invention will be described with reference to FIGS. 7A and 7B, and FIGS. 8A to 8C.

[0178]

FIGS. 7A and 7B are circuit diagrams of semiconductor devices each including a plurality of semiconductor devices (hereinafter also referred to as memory cells 400) illustrated in FIG. 6A-1. FIG. 7A is a circuit diagram of a so-called NAND
15 semiconductor device in which the memory cells 400 are connected in series, and FIG. 7B is a circuit diagram of a so-called NOR semiconductor device in which the memory cells 400 are connected in series, and FIG.

[0179]

- The semiconductor device in FIG. 7A includes a source line SL, a bit line BL, a 20 first signal line S1, m second signal lines S2, m word lines WL, and a plurality of memory cells 400 (1, 1) to 400 (m, 1) which is arranged in m (rows) (in a vertical direction)  $\times$  1 (a column) (in a horizontal direction). Note that in FIG. 7A, one source line SL and one bit line BL are provided in the semiconductor device; however, one embodiment of the disclosed invention is not limited to this. n source lines SL and n
- bit lines BL may be provided so that a memory cell array where the memory cells are arranged in a matrix of m (rows) (in a vertical direction)  $\times n$  (columns) (in a horizontal direction) is formed.

[0180]

In each of the memory cells 400, the gate electrode of the transistor 300, the 30 one of the source electrode and the drain electrode of the transistor 310, and the one of the electrodes of the capacitor 320 are electrically connected to one another. In addition, the first signal line S1 and the other of the source electrode and the drain electrode of the transistor 310 are electrically connected to each other, and the second signal line S2 and the gate electrode of the transistor 310 are electrically connected to each other. The word line WL and the other of the electrodes of the capacitor 320 are electrically connected to each other.

[0181]

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Further, the source electrode of the transistor 300 in the memory cell 400 is electrically connected to the drain electrode of the transistor 300 in one adjacent memory cell 400. The drain electrode of the transistor 300 included in the memory cell 400 is electrically connected to the source electrode of the transistor 300 in another adjacent memory cell 400. Note that the drain electrode of the transistor 300 included in the memory cell 400 of the plurality of memory cells connected in series, which is provided at one of ends, is electrically connected to the bit line. The source electrode of the transistor 300 included in the memory cell 400 of the plurality of memory cells

15 connected in series, which is provided at the other end, is electrically connected to the source line.

[0182]

In the semiconductor device in FIG. 7A, writing operation and reading operation are performed for each row. The writing operation is performed as follows. 20 A potential at which the transistor 310 is turned on is applied to the second signal line S2 of a row where writing is to be performed, whereby the transistor 310 of the row where writing is to be performed is turned on. Accordingly, a potential of the first signal line S1 is applied to the gate electrode of the transistor 300 of the specified row, whereby predetermined charge is given to the gate electrode of the transistor 300. 25 Thus, data can be written to the memory cell of the specified row.

[0183]

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The reading operation is performed as follows. First, a potential at which the transistor 300 is turned on regardless of the charge in the gate electrode of the transistor 300 is applied to the word lines WL of rows other than a row where reading is to be performed, whereby the transistors 300 of the rows other than the row where reading is to be performed are turned on. Then, a potential (a read-out potential) at which an on state or an off state of the transistor 300 is determined depending on the charge in the

gate electrode of the transistor 300 is applied to the word line WL of the row where reading is performed. After that, a constant potential is applied to the source line SL and a read-out circuit (not illustrated) connected to the bit line BL is operated. Here, since the plurality of transistors 300 between the source line SL and the bit line BL are in an on state except the transistors 300 of the row where reading is performed, conductance between the source line SL and the bit line BL is determined by the state of the transistor 300 (on state or off state) of the row where reading is performed. Since the conductance of the transistors varies depending on the charge in the gate electrode of the transistor 300, a potential of the bit line BL varies accordingly. By reading the potential of the bit line BL with the read-out circuit, data can be read out from the memory cells of the specified row.

[0184]

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The semiconductor device illustrated in FIG. 7B includes *n* source lines SL, *n* bit lines BL, n first signal lines S1, m second signal lines S2, m word lines WL, and a 15 memory cell array 410 including the plurality of memory cells 400 (1, 1) to 400 (m, n)which are arranged in a matrix of m (rows) (in a vertical direction)  $\times n$  (columns) (in a horizontal direction). The gate electrode of the transistor 300, the one of the source electrode and drain electrode of the transistor 310, and the one electrode of the capacitor 320 are electrically connected to one another. In addition, the source line SL and the 20 source electrode of the transistor 300 are electrically connected to each other, and the bit line BL and the drain electrode of the transistor 300 are electrically connected to each other. In addition, the first signal line S1 and the other of the source electrode and drain electrode of the transistor 310 are electrically connected to each other, and the second signal line S2 and the gate electrode of the transistor 310 are electrically connected to each other. The word line WL and the other electrode of the capacitor 25 320 are electrically connected.

[0185]

In the semiconductor device in FIG. 7B, writing operation and reading operation are performed in each row. The writing operation is performed in a manner 30 similar to that of the semiconductor device in FIG. 7A. The reading operation is performed as follows. First, a potential at which the transistor 300 is turned off regardless of charge given to the gate electrode thereof is supplied to the word lines WL of the rows other than the row where reading is to be performed, whereby the transistors 300 of the rows other than the row where reading is to be performed are turned off. Then, a potential (a read-out potential) at which an on state or an off state of the transistor 300 is determined depending on charge in the gate electrode of the transistor

5 300 is supplied to the word line WL of the row where reading is to be performed. After that, a constant potential is supplied to the source line SL so that a read-out circuit (not illustrated) connected to the bit line BL is operated. Here, conductance between the source line SL and the bit line BL is determined by the state of the transistor 300 of the row where reading is performed. That is, a potential of the bit line BL which is read out by the read-out circuit changes depending on the charge in the gate electrode of the transistor 300 of the row where reading is performed. Thus, data can be read out from to the memory cell of the specified row.

[0186]

Although the amount of data which can be stored in each of the memory cells 400 is one bit in the above description, the structure of the memory device of this embodiment is not limited to this. The amount of data which is stored in each of the memory cells 400 may be increased by preparing three or more potentials to be supplied to the gate electrode of the transistor 300. For example, in the case where the number of potentials to be supplied to the gate electrode of the transistor 300 is four, data of two bits can be stored in each of the memory cells.

[0187]

Next, examples of read-out circuit which can be used for the semiconductor devices in FIGS. 7A and 7B, or the like will be described with reference to FIGS. 8A to 8C.

25 [0188]

FIG. 8A illustrates a schematic view of the read-out circuit. The read-out circuit includes a transistor and a sense amplifier circuit.

[0189]

At the time of reading data, a terminal A is connected to a bit line to which a 30 memory cell from which data is to be read is connected. Further, a bias potential Vbias is applied to a gate electrode of the transistor to control a potential of the terminal A. [0190] The resistance of the memory cell 400 changes depending on stored data. Specifically, when the transistor 300 in the selected memory cell 400 is on, the memory cell 400 has a low resistance, whereas when the transistor 300 in the selected memory cell 400 is off, the memory cell 400 has a high resistance.

5 [0191]

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When the memory cell has a high resistance, a potential of the terminal A is higher than a reference potential Vref and the sense amplifier circuit outputs a potential corresponding to the potential of the terminal A. On the other hand, when the memory cell has a low resistance, the potential of the terminal A is lower than the reference potential Vref and the sense amplifier circuit outputs a potential corresponding to the potential of the terminal A.

[0192]

Thus, by using the read-out circuit, data can be read out from the memory cell. Note that the read-out circuit of this embodiment is one of examples. Another circuit

15 may be used. The reading circuit may further include a precharge circuit. Instead of the reference potential Vref, a reference bit line may be connected to the sense amplifier circuit.

[0193]

- FIG. 8B illustrates a differential sense amplifier which is an example of sense 20 amplifier circuits. The differential sense amplifier has an input terminal Vin(+) and an input terminal Vin(-), and an output terminal Vout, and amplifies the difference between Vin(+) and Vin(-). When Vin(+) > Vin(-), output of the Vout is substantially High, whereas when Vin(+) < Vin(-), the output of the Vout is substantially Low. In the case where the differential sense amplifier is used for the read-out circuit, one of Vin(+) and
- 25 Vin(-) is connected to the terminal A, and the reference potential Vref is applied to the other of Vin(+) and Vin(-).

[0194]

FIG. 8C illustrates a latch sense amplifier which is an example of a sense amplifier circuit. The latch sense amplifier includes input/output terminals V1, and V2,
an input terminal of a control signal Sp, and an input terminal of a control signal Sn.
First, the control signals Sp and Sn are set to High and Low, respectively, and a power

supply potential (Vdd) is cut off. Then, potentials to be compared are applied to V1 and V2. After that, the signals Sp and Sn are set to Low and High, respectively, and a power supply potential (Vdd) is applied. If the potentials V1in and V2in to be compared satisfy V1 in > V2 in, output of the V1 is High and output of the V2 is Low, whereas if the potentials satisfy V1 in < V2 in, the output of V1 is Low and the output of V2 is High. By utilizing such a relation, the difference between V1 in and V2 in can be amplified. When the latch sense amplifier is used for the read-out circuit, one of V1 and V2 is connected to the terminal A and the output terminal through a switch, and the reference potential Vref is applied to the other of V1 and V2.

10 [0195]

> The methods and structures described in this embodiment can be combined as appropriate with any of the methods and structures described in the other embodiments. [0196]

(Embodiment 5)

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In this embodiment, the case where the semiconductor device described in any of embodiments 1 to 4 is applied to an electronic device will be described with reference to FIGS. 9A to 9F. In this embodiment, the case where the semiconductor device described in any of embodiments 1 to 4 is applied to an electronic device such as a computer, a mobile phone (also referred to as a mobile telephone or a mobile telephone device), a portable information terminal (including a portable game console, 20 an audio player, and the like), a digital camera, a digital video camera, an electronic paper, or a television device (also referred to as a television or a television receiver) will be described.

[0197]

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FIG. 9A is a notebook personal computer including a housing 601, a housing 602, a display portion 603, a keyboard 604, and the like. The semiconductor device described in any of the above embodiments is provided in the housing 601 and the housing 602. Therefore, the notebook personal computer which is downsized, with high speed operation and lower power consumption is realized.

30 [0198]

FIG. 9B is a portable information terminal (personal digital assistance (PDA)).

A main body 611 is provided with a display portion 613, an external interface 615, operation buttons 614, and the like. Further, a stylus 612 for operating the portable information terminal or the like is also provided. The miniaturized semiconductor device described in any of the above embodiments is provided in the main body 611. Therefore, the portable information terminal which is downsized, with high speed

operation and lower power consumption is realized.

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[0199]

FIG. 9C is an e-book reader 620 mounting an electronic paper. The e-book reader has two housings, a housing 621 and a housing 623. The housing 621 and the housing 623 are provided with a display portion 625 and a display portion 627, respectively. The housing 621 and the housing 623 are connected by a hinge 637 and can be opened and closed along the hinge 637. Further, the housing 621 is provided with a power switch 631, operation keys 633, a speaker 635, and the like. At least one of the housing 621 and the housing 623 is provided with the miniaturized semiconductor device described in any of the above embodiments. Therefore, the e-book reader which is downsized, with high speed operation and lower power consumption is realized.

[0200]

- FIG. 9 D is a mobile phone including two housings, a housing 640 and a
  housing 641. Further, the housing 640 and the housing 641 in a state where they are developed as illustrated in FIG. 9D can shift by sliding so that one is lapped over the other; therefore, the size of the mobile phone can be reduced, which makes the mobile phone suitable for being carried. The housing 641 is provided with a display panel 642, a speaker 643, a microphone 644, a pointing device 646, a camera lens 647, an
  external connection terminal 648, and the like. The housing 640 is provided with a solar cell 649 that charges the mobile phone, an external memory slot 650, and the like. In addition, an antenna is incorporated in the housing 641. At least one of the housing 640 and the housing 641 is provided with the miniaturized semiconductor device described in any of the above embodiments. Therefore, the mobile phone which is downsized, with high speed operation and lower power consumption is realized.
  - [0201]

FIG. 9E is a digital camera including a main body 661, a display portion 667,

an eyepiece 663, an operation switch 664, a display portion 665, a battery 666, and the like. The miniaturized semiconductor device described in any of the above embodiments is provided in the main body 661. Therefore, the digital camera which is downsized, with high speed operation and lower power consumption is realized.

5 [0202]

FIG. 9F is a television device 670 including a housing 671, a display portion 673, a stand 675, and the like. The television device 670 can be operated by an operation switch of the housing 671 or a separate remote control 680. The miniaturized semiconductor device described in any of the above embodiments is mounted in the housing 671 and the remote control 680. Therefore, the television device which is downsized, with high speed operation and lower power consumption is realized.

[0203]

Thus, the semiconductor device according to any of the above embodiments is 15 mounted on the electronic devices described in this embodiment. Accordingly, electronic devices which are down sized, with high speed operation and lower power consumption are realized.

[Example 1]

[0204]

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In this example, the results of computational verification of characteristics of the semiconductor device according to an embodiment of the present invention will be described with reference to FIGS. 10A and 10B, FIGS. 11A and 11B, FIG. 12A and 12B, and FIG. 13. Specifically, characteristics of transistors each having a different channel length L were compared. Note that a device simulation software "Atlas" manufactured by Silvaco Inc. was used for the calculation.

[0205]

FIGS. 10A and 10B illustrate structures of transistors which were used for the calculation. FIG 10A illustrates a structure according to one embodiment of the present invention (a structure in which part of a source electrode or a drain electrode is extended), and FIG 10B illustrates a structure for comparison (a structure in which part of a source electrode or a drain electrode is not extended). [0206]

The detail of the transistors used for the calculation is described. The transistor illustrated in FIG. 10A includes a source electrode in which a first conductive layer 742a (material: titanium, thickness: 100 nm) and a second conductive layer 745a (material: titanium nitride, thickness: arbitrary set) are stacked in this order; a drain

- electrode in which a first conductive layer 742b (material: titanium, thickness: 100 nm) 5 and a second conductive layer 745b (material: titanium nitride, thickness: arbitrary set) are stacked in this order; an insulating layer 743a (material: silicon oxide, thickness: 100 nm) provided over the source electrode; an insulating layer 743b (material: silicon oxide, thickness: 100 nm) provided over the drain electrode; an oxide semiconductor
- 10 layer 744 (material: In-Ga-Zn-O-based oxide semiconductor, thickness: 10 nm) provided over the insulating layers 743a and 743b; a gate insulating layer 746 (material: hafnium oxide, thickness: 10 nm) provided over the oxide semiconductor layer 744; and a gate electrode 748 (material: tungsten) provided over the gate insulating layer 746. [0207]

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In the transistor illustrated in FIG. 10A, the second conductive layer 745a has a region which extends in the channel length direction from an end portion of the first conductive layer 742a (that is, an end portion of the second conductive layer 745a is closer to a channel formation region than an end portion of the first conductive layer 742a), and the end portion of the second conductive layer 745a is in contact with the 20 channel formation region of the oxide semiconductor layer 744. Similarly, the second conductive layer 745b has a region which extends in the channel length direction from an end portion of the first conductive layer 742b (that is, an end portion of the second conductive layer 745b is closer to the channel formation region than an end portion of the first conductive layer 742b), and the end portion of the second conductive layer 25 745b is in contact with the channel formation region of the oxide semiconductor layer 744.

[0208]

The transistor illustrated in FIG. 10B includes a source electrode (material: titanium nitride, thickness: 100 nm) and a drain electrode (material: titanium nitride, 30 thickness: 100 nm) including a conductive layer 752a and a conductive layer 752b, respectively; the oxide semiconductor layer 744 (material: In-Ga-Zn-O-based oxide semiconductor, thickness: 10 nm) over the source electrode and the drain electrode; the gate insulating layer 746 (material: hafnium oxide, thickness: 10 nm) provided over the oxide semiconductor layer 744; and the gate electrode 748 (material: tungsten) provided over the gate insulating layer 746.

[0209]

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The difference between FIGS. 10A and 10B is the existences of the region which extends in a channel length direction from the end portion of the first conductive layer 742a in the second conductive layer 745a, the region which extends in a channel length direction from the end portion of the first conductive layer 742b in the second conductive layer 745b, and the insulating layer over the source electrode and the insulating layer over the drain electrode.

[0210]

In FIG. 10A, the region (the region formed of the second conductive layer) which extends in the channel length direction from the end portion of the first conductive layer 742a in the second conductive layer 745a has smaller thickness of the

- 15 electrode than the other region (the region formed of a stacked-layer of the first conductive layer and the second conductive layer). That is, the area of the cross section perpendicular to the flow of the charge becomes small. The resistance is inversely proportional to the area of the cross section; therefore, the region which extends in the channel length direction from the end portion of the first conductive layer
- 20 742a in the second conductive layer 745a has higher resistance than other regions. The same can be said for the second conductive layer 745b. Hereinafter, in this example, the region which extends in the channel length direction from the end portion of the first conductive layer 742a in the second conductive layer 745a, and the region which extends in the channel length direction from the end portion of the first conductive layer
- 25 742b in the second conductive layer 745b are referred to as high-resistance regions (HRR).

[0211]

In FIG. 10A, un upper portion of the source electrode is covered with the insulating layer 743a and un upper portion of the drain electrode is covered with the insulating layer 743b; thus, the contact area between each of the source electrode and drain electrode and the oxide semiconductor layer 744 is extremely small (here, only the end portions of the second conductive layers are in contact with the oxide

semiconductor layer 744). That is, it can be said that the source electrode and drain electrode has higher resistance regions than other regions in the vicinity of the regions in contact with the channel formation region.

[0212]

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In the above structure (FIGS. 10A and 10B), by changing the channel length (L), how the threshold voltage  $(V_{\text{th}})$  of the transistor moves was examined. As the channel length (L), the six conditions of 20 nm, 30 nm, 50 nm, 100 nm, 200 nm, and 400 nm were adopted.

[0213]

Further, by changing the thickness of the second conductive layer, how the threshold voltage ( $V_{\text{th}}$ ) of the transistor moves was examined. As the thickness of the second conductive layer (L), the following four conditions were adopted, 3 nm, 10 nm, 50 nm, and 100 nm.

[0214]

The voltage (*Vds*) between the source electrode and the drain electrode was set to 1V. In addition, the length in the channel length direction of the high-resistance regions was set to  $0.3 \mu m$ .

[0215]

Parameters used for the calculation are given below.

1. an In-Ga-Zn-O-based oxide semiconductor (a material for the oxide semiconductor layer), a band gap Eg: 3.15 eV, an electron affinity  $\chi$ : 4.3 eV, a relative dielectric constant: 15, an electron mobility: 10 cm<sup>2</sup>/Vs, and effective density of states in the conduction band:  $5 \times 10^{18}$  cm<sup>-3</sup>.

2. titanium nitride (a material for the source electrode and drain electrode), a 25 work function  $\phi_{\rm M}$ : 3.9 eV, and resistivity  $\rho$ : 2.2 × 10<sup>-4</sup>  $\Omega$ ·cm.

3. hafnium oxide (a material for the gate insulating layer), and a relative dielectric constant: 15.

4. tungsten (a material for the gate electrode), and a work function  $\phi_{M}$ : 4.9 eV.

[0216]

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The calculation results are shown in FIGS. 11A and 11B, FIGS. 12A and 12B, and FIG. 13. In FIGS. 11A and 11B, FIGS. 12A and 12B, and FIG. 13, the horizontal axis represents the channel length L (nm), and the vertical axis represents the amount of

shift in the threshold voltage ( $\Delta V_{\text{th}}$ ). Note that  $\Delta V_{\text{th}}$  is calculated on the basis of the threshold voltage when the channel length *L* is 400 nm. [0217]

FIGS. 11A and 11B, and FIGS. 12A and 12B show calculation results of the structure illustrated in FIG. 10A. FIG. 11A shows a calculation result when the thickness of the second conductive layer is 100 nm, FIG. 11B shows a calculation result when the thickness of the second conductive layer is 50 nm, FIG. 12A shows a calculation result when the thickness of the second conductive layer is 10 nm, and FIG. 12B shows a calculation result when the thickness of the second conductive layer is 3

10 nm. FIG. 13 shows a calculation result of the structure illustrated in FIG. 10B.

[0218]

By comparison of FIGS. 11A and 11B, and FIGS. 12A and 12B, it is found that, as the second conductive film is thinner, minus shift of the threshold voltage can be suppressed. Further, by comparison of FIG. 11A and FIG. 13, it is found that the minus

15 shift of  $V_{\text{th}}$  is suppressed when the insulating layer which covers the source electrode and drain electrode is provided. The above suggests that the short-channel effect can be controlled by reducing the contact area between each of the source electrode and drain electrode and the oxide semiconductor layer and increasing contact resistance at a contact interface.

20 [0219]

[0220]

Further, from the above results, in the vicinity of the region in contact with the semiconductor layer, when the resistance of the source electrode and drain electrode is increased, an effect of controlling the short-channel effect can be obtained.

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Accordingly, it can be understood that the minus shift of the threshold voltage can be suppressed by making the vicinity of the region where each of the source electrode and drain electrode is in contact with the channel formation region have high resistance (specifically, for example, by making a small cross-sectional area of part of each of the source electrode and drain electrode, and forming the insulating layer which covers the upper portion of each of the source electrode and drain electrode so that the contact area of the source electrode or drain electrode and the oxide semiconductor

layer 144 is reduced). This is because the electric field intensity between the source

electrode and drain electrode is relieved. As described above, it is suggested that the short-channel effect such as a decrease in a threshold voltage can be suppressed according to an embodiment of the present invention.

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This application is based on Japanese Patent Application serial no. 2010-012540 filed with Japan Patent Office on January 22, 2010, the entire contents of which are hereby incorporated by reference.

#### CLAIMS

	1. A semiconductor device comprising:
	an oxide semiconductor layer;
5	a source electrode in contact with the oxide semiconductor layer comprising:
	a first conductive layer; and
	a second conductive layer;
	a drain electrode in contact with the oxide semiconductor layer comprising:
	a third conductive layer; and
10	a fourth conductive layer;
	a gate electrode overlapping with the oxide semiconductor layer; and
	a gate insulating layer provided between the oxide semiconductor layer and the
	gate electrode,
	wherein the second conductive layer extends beyond an end portion of the first
15	conductive layer,
	wherein the fourth conductive layer extends beyond an end portion of the third
	conductive layer, and
	wherein the end portion of the first conductive layer and the end portion of the
	third conductive layer are opposed to each other.
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	2. The semiconductor device according to claim 1, wherein the first conductive
	layer, the second conductive layer, the third conductive layer and the fourth conductive
	layer each has a tapered shape.
25	3. The semiconductor device according to claim 1, further comprising:
	a first sidewall insulating layer over the second conductive layer and in contact
	with the end portion of the first conductive layer; and
	a second side wall insulating layer over the fourth conductive layer and in
	contact with the end portion of the third conductive layer.

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4. The semiconductor device according to claim 1, wherein a material of the second conductive layer and a material of the fourth conductive layer are a nitride of a

metal.

5. The semiconductor device according to claim 1, wherein a thickness of the second conductive layer and a thickness of the fourth conductive layer are from 5 nm to 15 nm.

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6. The semiconductor device according to claim 1, further comprising:

a first insulating layer provided between the oxide semiconductor layer and the source electrode: and

a second insulating layer provided between the oxide semiconductor layer and the drain electrode.

wherein the source electrode and the drain electrode are in contact with the oxide semiconductor layer at end portions of the source electrode and the drain electrode.

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7. A semiconductor device comprising:

an oxide semiconductor layer;

a source electrode comprising:

a first conductive layer; and

20 a second conductive layer in contact with the oxide semiconductor layer;

a drain electrode comprising:

a third conductive layer; and

a fourth conductive layer in contact with the oxide semiconductor

25 layer;

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a gate electrode overlapping with the oxide semiconductor layer; and

a gate insulating layer provided between the oxide semiconductor layer and the gate electrode,

wherein the second conductive layer is over the first conductive layer, and the second conductive layer has a higher resistance than the first conductive layer, and wherein the fourth conductive layer is over the third conductive layer, and the

fourth conductive layer has a higher resistance than the third conductive layer.

8. The semiconductor device according to claim 7, wherein the first conductive layer and the third conductive layer are in contact with the oxide semiconductor layer.

9. The semiconductor device according to claim 7,

wherein the second conductive layer extends beyond an end portion of the first conductive layer,

wherein the fourth conductive layer extends beyond an end portion of the third conductive layer, and

wherein the end portion of the first conductive layer and the end portion of the third conductive layer are opposed to each other.

10. The semiconductor device according to claim 7, wherein the first conductive layer, the second conductive layer, the third conductive layer and the fourthconductive layer each has a tapered shape.

11. The semiconductor device according to claim 7, wherein a material of the second conductive layer and a material of the fourth conductive layer are a nitride of a metal.

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12. The semiconductor device according to claim 7, wherein a thickness of the second conductive layer and a thickness of the fourth conductive layer are from 5 nm to 15 nm.

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13. The semiconductor device according to claim 7, further comprising:

a first insulating layer provided between the oxide semiconductor layer and the source electrode; and

a second insulating layer provided between the oxide semiconductor layer and the drain electrode,

wherein the source electrode and the drain electrode are in contact with the oxide semiconductor layer at end portions of the source electrode and the drain electrode.

14. A semiconductor device comprising:

an oxide semiconductor layer;

a source electrode comprising:

a first conductive layer; and

a second conductive layer in contact with the oxide semiconductor layer;

a drain electrode comprising:

a third conductive layer; and

10 a fourth conductive layer in contact with the oxide semiconductor layer;

a gate electrode overlapping with the oxide semiconductor layer; and

a gate insulating layer provided between the oxide semiconductor layer and the gate electrode,

wherein the first conductive layer is over the second conductive layer, and the

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second conductive layer has a higher resistance than the first conductive layer, and

wherein the third conductive layer is over the fourth conductive layer, and the fourth conductive layer has a higher resistance than the third conductive layer.

20 15. The semiconductor device according to claim 14, wherein the first conductive layer and the third conductive layer are in contact with the oxide semiconductor layer.

16. The semiconductor device according to claim 14,

25 wherein the second conductive layer extends beyond an end portion of the first conductive layer,

wherein the fourth conductive layer extends beyond an end portion of the third conductive layer, and

wherein the end portion of the first conductive layer and the end portion of the 30 third conductive layer are opposed to each other.

17. The semiconductor device according to claim 14, wherein the first

conductive layer, the second conductive layer, the third conductive layer and the fourth conductive layer each has a tapered shape.

18. The semiconductor device according to claim 16, further comprising:

a first sidewall insulating layer over the second conductive layer and in contact with the end portion of the first conductive layer; and

a second side wall insulating layer over the fourth conductive layer and in contact with the end portion of the third conductive layer.

10 19. The semiconductor device according to claim 14, wherein a material of the second conductive layer and a material of the fourth conductive layer are a nitride of a metal.

20. The semiconductor device according to claim 14, wherein a thickness of thesecond conductive layer and a thickness of the fourth conductive layer are from 5 nm to15 nm.

21. The semiconductor device according to claim 14, further comprising:

a first insulating layer provided between the oxide semiconductor layer and the source electrode; and

a second insulating layer provided between the oxide semiconductor layer and the drain electrode,

wherein the source electrode and the drain electrode are in contact with the oxide semiconductor layer at end portions of the source electrode and the drain

electrode .

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22. A semiconductor device comprising:

an oxide semiconductor layer including a channel formation region;

a source electrode including a region in contact with the channel formation

30 region;

a drain electrode including a region in contact with the channel formation region;

a gate electrode overlapping with the channel formation region; and

a gate insulating layer provided between the oxide semiconductor layer and the gate electrode,

wherein the region of the source electrode has a higher resistance than other regions of the source electrode, and

wherein the region of the drain electrode has a higher resistance than other regions of the drain electrode.

23. The semiconductor device according to claim 22, further comprising:

10 a first insulating layer provided between the oxide semiconductor layer and the source electrode; and

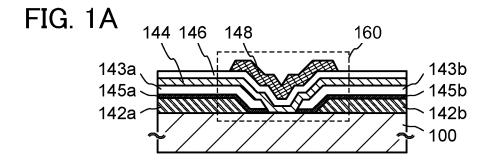
a second insulating layer provided between the oxide semiconductor layer and the drain electrode,

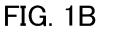
wherein the source electrode and the drain electrode are in contact with the 15 oxide semiconductor layer at end portions of the source electrode and the drain electrode.

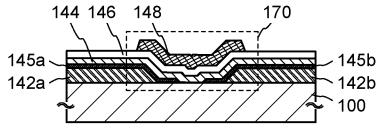
### ABSTRACT

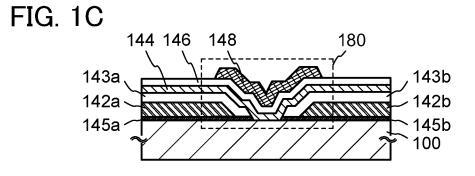
An object is to provide a semiconductor device including an oxide semiconductor, which maintains favorable characteristics and achieves miniaturization. The semiconductor device includes an oxide semiconductor layer, a source electrode

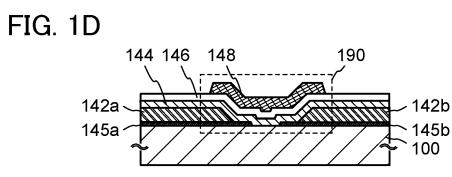
5 and a drain electrode in contact with the oxide semiconductor layer, a gate electrode overlapping with the oxide semiconductor layer, and a gate insulating layer provided between the oxide semiconductor layer and the gate electrode, in which the source electrode and the drain electrode each include a first conductive layer, and a second conductive layer having a region which extends in a channel length direction from an 10 end portion of the first conductive layer.

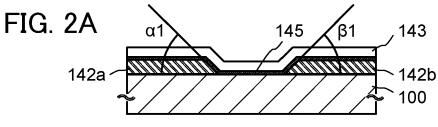




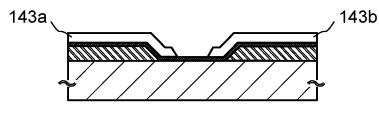


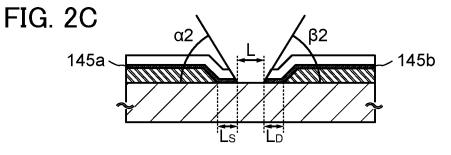


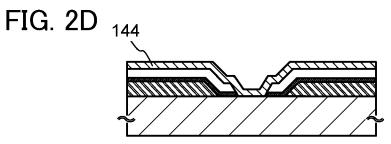


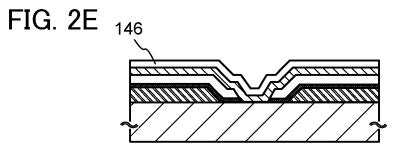


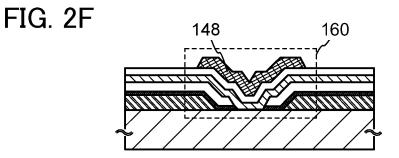


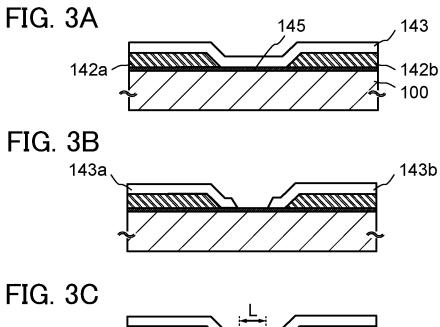


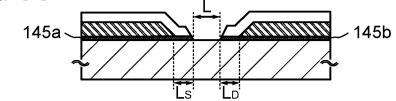


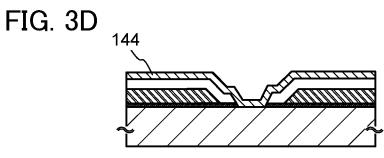


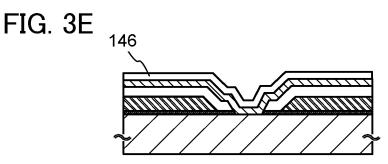












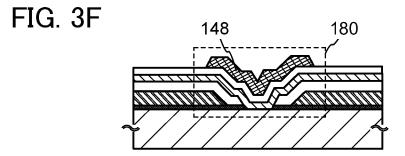
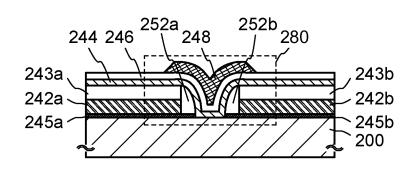
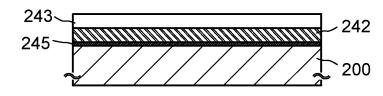


FIG. 4



# FIG. 5A



## FIG. 5B

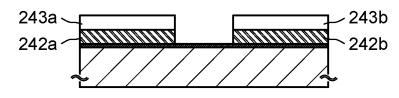


FIG. 5C

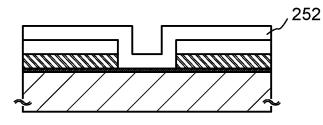
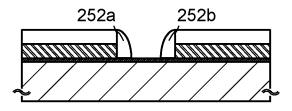
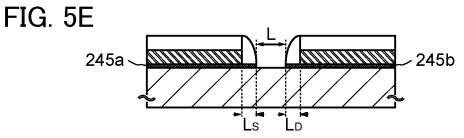


FIG. 5D





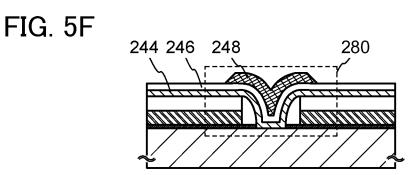
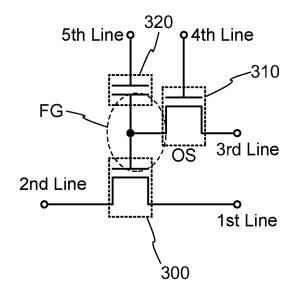


FIG. 6A1

FIG. 6B



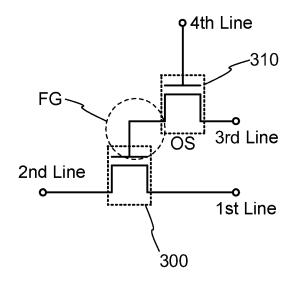
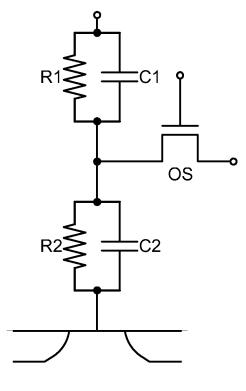
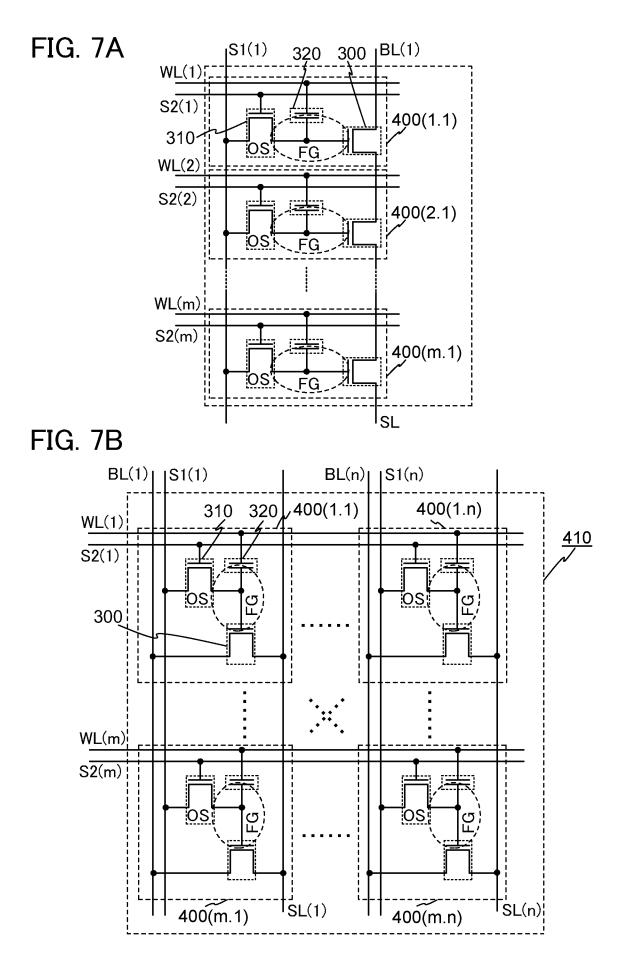
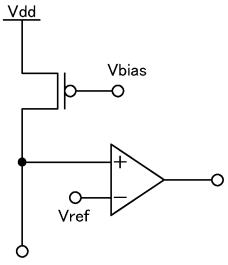


FIG. 6A2



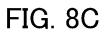












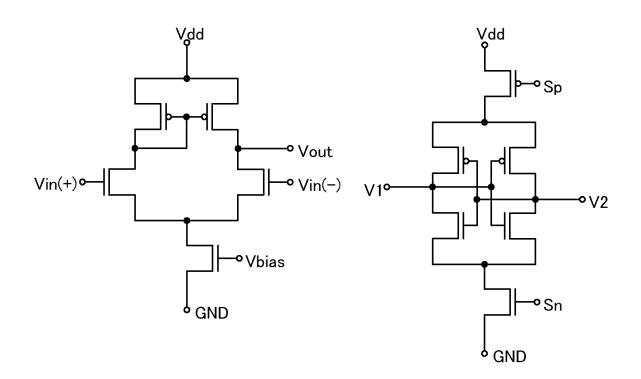
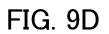
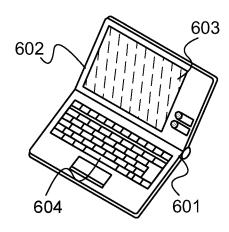
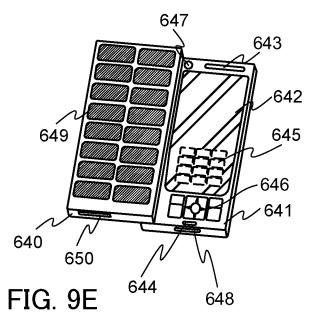
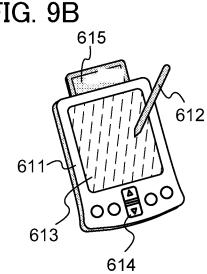


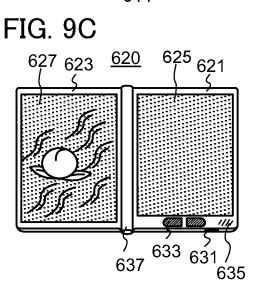
FIG. 9A











664 665 661 666 667

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FIG. 9F

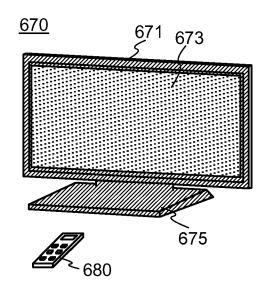
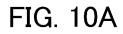
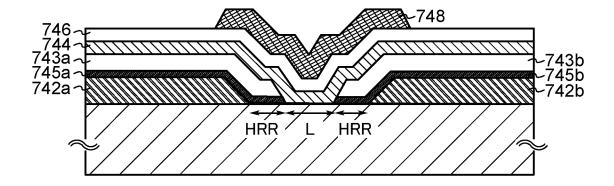


FIG. 9B





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FIG. 10B

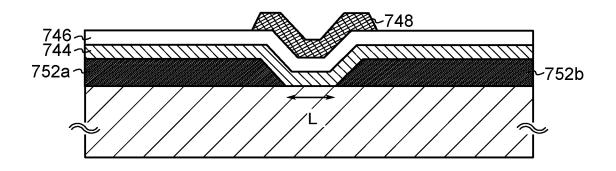


FIG. 11A

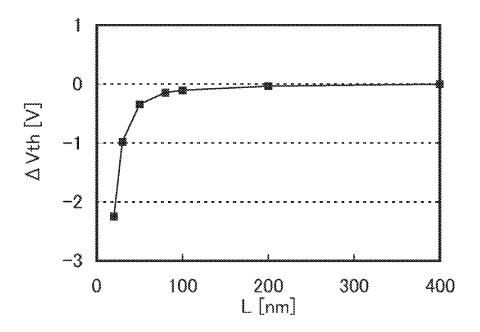
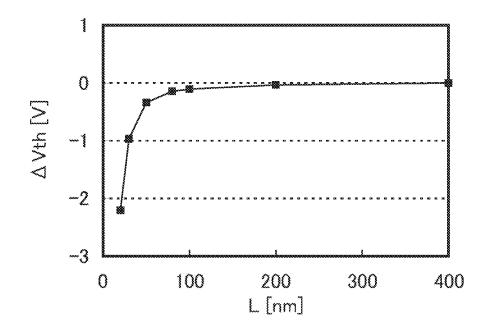


FIG. 11B



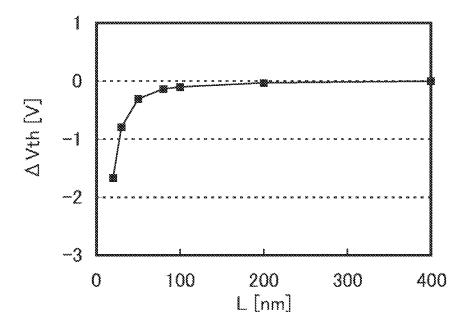


FIG. 12B

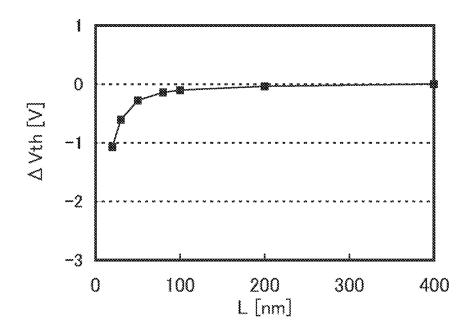
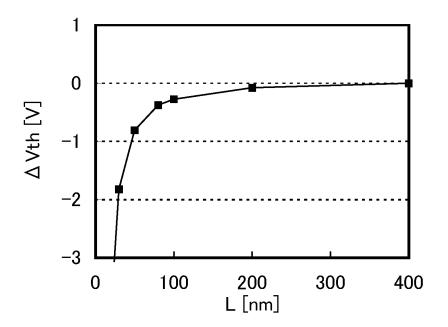


FIG. 13



#### EXPLANATION OF REFERENCE

100: substrate, 142a: first conductive layer, 142b: first conductive layer, 143: insulating film; 143a: insulating layer, 143b: insulating layer, 144: oxide semiconductor layer, 145: conductive film, 145a: second conductive layer, 145b: second conductive layer, 146: gate insulating layer, 148: gate electrode, 160: transistor, 170: transistor, 180: transistor, 190: transistor, 200: substrate, 242: conductive film, 242a: first conductive layer, 242b: first conductive layer, 243: insulating film, 243a: insulating layer, 243b: insulating layer, 244: oxide semiconductor layer, 245: conductive film, 245a: second conductive layer, 245b: second conductive layer, 246 gate insulating layer, 248: gate electrode, 252: insulating film, 252a: sidewall insulating layer, 252b: sidewall insulating layer, 280: transistor, 300: transistor, 310: transistor, 320: capacitor, 400: memory cell, 410: memory cell array, 601: housing, 602: housing, 603: display portion, 604: keyboard, 611: main body, 612: stylus, 613: display portion, 614: operation button, 615: external interface, 620: e-book reader, 621: housing , 623: housing, 625: display portion, 627: display portion, 631: power switch, 633: operation key, 635: speaker, 637: hinge, 640: housing, 641: housing, 642: display panel, 643: speaker, 644: a microphone, 645: operation key, 646: pointing device, 647: camera lens, 648: an external connection terminal, 649: solar cell, 650: external memory slot, 661: main body, 663: eyepiece, 664: operation switch, 665: display portion, 666: battery, 667: display portion, 670: television device, 671: housing, 673: display portion, 675: stand, 680: remote control, 742a: first conductive layer, 742b: first conductive layer, 743a: insulating layer, 743b: insulating layer, 744: oxide semiconductor layer, 745a: second conductive layer, 745b: second conductive layer, 746: gate insulating layer, 748: gate electrode, 752a: conductive layer.

Electronic Patent Application Fee Transmittal							
Application Number:							
Filing Date:							
Title of Invention:	SEMICONDUCTOR DEVICE						
First Named Inventor/Applicant Name:	Sh	unpei Yamazaki					
Filer:	Eri	c J. Robinson/Sue A	nn Carr				
Attorney Docket Number:	07:	56-9138					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Utility application filing		1011	1	330	330		
Utility Search Fee		1111	1	540	540		
Utility Examination Fee		1311	1	220	220		
Pages:							
Claims:							
Claims in excess of 20		1202	3	52	156		
Independent claims in excess of 3		1201	1	220	220		
Miscellaneous-Filing:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1466

Electronic Ac	Electronic Acknowledgement Receipt							
EFS ID:	9246253							
Application Number:	13008285							
International Application Number:								
Confirmation Number:	8496							
Title of Invention:	SEMICONDUCTOR DEVICE							
First Named Inventor/Applicant Name:	Shunpei Yamazaki							
Customer Number:	31780							
Filer:	Eric J. Robinson/Sue Ann Carr							
Filer Authorized By:	Eric J. Robinson							
Attorney Docket Number:	0756-9138							
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Time Stamp:	14:38:34							
Application Type:	Utility under 35 USC 111(a)							

## Payment information:

Submitted wit	n Payment	yes	yes							
Payment Type		Electronic Funds Trans	Electronic Funds Transfer							
Payment was s	uccessfully received in RAM	\$1466								
RAM confirmat	ion Number	1020								
Deposit Accou	nt									
Authorized Use	er									
File Listing	File Listing:									
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)					

1	Fee Worksheet (PTO-875)	FEEWORKSHEET.pdf	261182	no	2					
	、 <i>·</i>		92a414b6401ef5d80911027d55dc7b0bd13 536c7							
Warnings:										
Information:										
2	Transmittal of New Application	TRNA.pdf	209230	no	1					
			2e81f954a4b52269854e5911143a186e812 86889							
Warnings:										
Information:										
3	Application Data Sheet	ADS.pdf	1354018	no	6					
			01962f0b8d2b96197740e9f70f39752ec0d4 a5ca							
Warnings:			· · · · ·		-					
Information:										
4		IDS.pdf	530023	1061daf10c1d476						
7		123.941	72c95e1eead07ee237e31061daf10c1d476 42af1	yes	5					
	Multip	art Description/PDF files ir	.zip description							
	Document Des	scription	Start	E	nd					
	Transmittal	Letter	1		1					
	Information Disclosure Stater	nent (IDS) Filed (SB/08)	2	3						
Warnings:										
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5	Foreign Reference	JP60198861_FULL.pdf	228044	no	9					
5	rolegimeletence	Si consocon_i ott.pdi	0acc954afc3aa6d54c23c316f70a32f5fff479 c7	no						
Warnings:										
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6	Foreign Reference	JP08264794_FULL.pdf	1094129	no	30					
Ŭ	roligimeterence	51 002047 54_1 OLL.pdf	3e924116863efdb8a874c05862f0e691006c 8cca	no						
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7	Foreign Reference	JP11505377.pdf	2934355	no	28					
,			efd3379c07f11ab4d625b4772ce165eb655 587a6	ΠŬ	20					
Warnings:										
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8	Foreign Reference	JP2000150900.pdf	1959657	no	10					
	<b>J</b>		f675f6cd41feb6411ede8f150dbf0a3b17d5	-	10					
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9	Foreign Reference	JP2004103957.pdf	1264785	no	7		
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10	NPL Documents	4_NOMURA_NATURE.pdf	552919 A_NATURE.pdf no				
			da9ebb036462bcca5f020c6ee6651b50946 6a84a		5		
Warnings:							
Information:		1					
11	NPL Documents	8_PRINS.pdf	905373	no			
			340dc4cc33986e8631257745685964de1ad c9a0b				
Warnings:							
Information:							
12	NPL Documents	9_NAKAMURA_JSSC.pdf	839518	no	18		
		5_10/10/00/01/555C.pdf	2b9238144093a368a63f8e60ad985eb37fe 9b35c	110			
Warnings:							
Information:							
13	NPL Documents	10_KIMIZUKA_SYNTHESES.pdf	560316	no	9		
			bd2c356e201e694b36469d909ce533dba7 139bfb				
Warnings:		·					
Information:							
14	NPL Documents	4_NPL_NAKAMURA.pdf	4046136	no	27		
			5acd88eda926ed35f661470f917e03b456e 4ec0b		27		
Warnings:							
Information:							
15	NPL Documents	5_NPL_NOMURA.pdf	1806543	no	5		
			49407bd97808a99fad001bdb6e5c792f367 a7b7d				
Warnings:			· · · · ·				
Information:							
16	Oath or Declaration filed	DEC.pdf	733053	no	6		
			a15d78cc7c4e47d8ab483f4c7b762386103 64415	no	0		
Warnings:							
Information:							
17		SPEC.pdf	691294	Ver	00		
17		SFEC.pai	68e447f9693166884dba670fb8b97d9b33c	yes	80		

	Document Des	scription	Start	E	nd			
	Specificat	ion	1	5	59			
	Claims		60	e	55			
	Abstrac	66	66 (					
	Drawings-only black and v	white line drawings	67	٤	30			
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18	Fee Worksheet (PTO-875)	ree-into.pdf		no				
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SEA	(37 CFR 1.16(a), (b), or (c)) SEARCH FEE (37 CFR 1.16(a), (b), or (c)) N/A N/A N/A				N/A			N/A	540		
	(37 CFR 1.16(k), (i), or (m))			N/A		-					
(37 CFR 1.16(o), (p), or (q)) N/A N/A			⊢⊢	IN/A			N/A	220			
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	EPENDENT CLA CFR 1.16(h))	4	minus 3		1		x =	0		x 220 =	220
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MUL	TIPLE DEPEND	ENT CLAIM PRE	SENT (37 (	CFR 1.16(j))			N/A			N/A	
*lft	he difference in c	olumn 1 is less th	an zero, er	iter "0" in column :	2.		TOTAL	0		TOTAL	1466
				ED – PART II			-		-		
	APPL	ICATION AS	AWEND							OTHER	τμανι
		(Column 1)		(Column 2)	(Column 3)		SMALL E	NTITY	OR	SMALL	
NT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	addi- Tional Fee (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	*	Minus	**	= 0		x =	0	OR	x =	0
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	= 0		x =	0	OR	x =	0
ME	Application Size	e Fee (37 CFR 1.	16(s))		•						
◄	FIRST PRESENT	ATION OF MULTIP	E DEPEND	ENT CLAIM (37 CF	R 1.16(j))		N/A		OR	N/A	
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	0
		(Column 1)		(Column 2)	(Column 3)						
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	addi- Tional Fee (\$)
MEI	Total (37 CFR 1.16(i))	*	Minus	**	= 0		x =	0	OR	x =	0
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	= 0		x =	0	OR	x =	0
ME	Application Size	e Fee (37 CFR 1.	16(s))						1		
A	FIRST PRESENT			ENT CLAIM (37 CF	R 1.16(j))		N/A		OR	N/A	
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	0
,	™ If the "Highest N	Number Previous	ly Paid For	y in column 2, writ IN THIS SPACE IN THIS SPACE	is less than 20,	enter			-		

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- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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