PTO/AIA/15 (03-13)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number 064507-5014-US17 Attorney Docket No. UTILITY First Named Inventor Stephen J. Baker PATENT APPLICATION BORON-CONTAINING SMALL MOLECULES Title TRANSMITTAL Express Mail Label No. (Only for new nonprovisional applications under 37 CFR 1.53(b)) **Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 ACCOMPANYING APPLICATION PAPERS Fee Transmittal Form (PTO/SB/17 or equivalent) **Assignment Papers** Applicant asserts small entity status. (cover sheet & document(s)) Name of Assignee Applicant certifies micro entity status. See 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent. [Total Pages 316 37 CFR 3.73(c) Statement **Power of Attorney** (when there is an assignee) Both the claims and abstract must start on a new page. (See MPEP § 608.01(a) for information on the preferred arrangement) **English Translation Document** [Total Sheets <u>6</u>3 Drawing(s) (35 U.S.C. 113) (if applicable) Information Disclosure Statement 6. Inventor's Oath or Declaration [Total Pages (PTO/SB/08 or PTO-1449) (including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e)) Copies of citations attached Newly executed (original or copy) Preliminary Amendment A copy from a prior application (37 CFR 1.63(d)) b. Return Receipt Postcard (MPEP § 503) (Should be specifically itemized) See 37 CFR 1.76 (PTO/AIA/14 or equivalent) Certified Copy of Priority Document(s) (if foreign priority is claimed) CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix) **Nonpublication Request** Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 Landscape Table on CD or equivalent. 9. Nucleotide and/or Amino Acid Sequence Submission 18. Other: \*Request To Use Computer Readable Form of Sequence (if applicable, items a. - c. are required) Listing From Another Application Under 37 C.F.R. § 1.821(e); Computer Readable Form (CRF) \*Request For Prioritized Examination. b. ✓ Specification Sequence Listing on: CD-ROM or CD-R (2 copies); or ii. ✓ Paper c. / Statements verifying identity of above copies \*Note: (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 must be included in an Application Data Sheet (ADS). (2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).

19. CORRESPONDENCE ADDRESS

✓ The address associated with Customer Number: 43850 Correspondence address below Name Address Zip Code State City Email Telephone Country Signature Registration No. Name 46.690 Todd Esker (Attorney/Agent)

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Doc Code: TRACK1.REQ

**Document Description: TrackOne Request** 

PTO/AIA/424 (04-14)

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

First Named Inventor:	Stephen J. Baker	Nonprovisional Application Number (if known):	To be assigned
Title of Invention:	BORON-CONTAINING SMALL MOLECULES		

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
   This certification and request is being filed with the utility application via EFS-Web.
  - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
  - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature	Date Feb 17, 2016
Name (Print/Typed) Todd Esker	Practitioner 46,690 Registration Number
	e with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications.
*Total of forms are submitted.	To garrou.





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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
15/046,322	02/17/2016	Stephen J. BAKER	064507-5014-US17	1076
	7590 06/07/201 WIS & BOCKIUS LLI		EXAM	IINER
	ear Street Tower, Suite		SHIAO, R	EI TSANG
			ART UNIT	PAPER NUMBER
			1628	
			NOTIFICATION DATE	DELIVERY MODE
			06/07/2016	EI ECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

sfipdocketing@morganlewis.com donald.mixon@morganlewis.com



	Application No. 15/046,322		Applicant(s) BAKER ET AL.	
Office Action Summary	Examiner REI-TSANG SHIAO	Art Unit 1628	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the	he corresponder	nce address	
A SHORTENED STATUTORY PERIOD FOR REPL THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period of Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing	36(a). In no event, however, may a reply twill apply and will expire SIX (6) MONTHS accuse the application to become ABAND	pe timely filed from the mailing date of ONED (35 U.S.C. § 13	of this communication. 33).	
earned patent term adjustment. See 37 CFR 1.704(b).				
Status  1) Responsive to communication(s) filed on 2/17/  A declaration(s)/affidavit(s) under 37 CFR 1.1	130(b) was/were filed on	<u>.</u>		
7	action is non-final.			
An election was made by the applicant in responsible.      the restriction requirement and election since this application is in condition for alloware closed in accordance with the practice under Example 2.	n have been incorporated into nce except for formal matters,	this action. prosecution as	to the merits is	
Disposition of Claims*				
5) Claim(s) 1-27 is/are pending in the application 5a) Of the above claim(s) is/are withdray 6) Claim(s) is/are allowed. 7) Claim(s) is/are rejected. 8) Claim(s) is/are objected to. 9) Claim(s) 1-27 are subject to restriction and/or of the corresponding and the cor	wn from consideration.  election requirement.  ligible to benefit from the <b>Patent</b> I pplication. For more information, an inquiry to PPHfeedback@usger.  er.  epted or b) objected to by t drawing(s) be held in abeyance.	please see oto.gov. he Examiner. See 37 CFR 1.85	5(a).	
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign Certified copies:  a) All b) Some** c) None of the:  1. Certified copies of the priority documen 2. Certified copies of the priority documen 3. Copies of the certified copies of the priority documen application from the International Bureau See the attached detailed Office action for a list of the certified	ts have been received. ts have been received in Appl prity documents have been red u (PCT Rule 17.2(a)).	ication No		
Attachment(s)				
Notice of References Cited (PTO-892)	3) Interview Sumn			
P) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S	SB/08b) — Paper No(s)/Ma	ail Date		



Application/Control Number: 15/046,322 Page 2

Art Unit: 1628

The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

1. Claims 1-27 are pending in the application.

### Election/Restriction

- 2. The group set forth in the claims includes both independent and distinct inventions, and patentably distinct compounds (or species) within each invention. However, this application discloses and claims a plurality of patentably distinct inventions far too numerous to list individually. Moreover, each of these inventions contains a plurality of patentably distinct compounds, also far too numerous to list individually. For these reasons provided below, restriction to one of the following Groups is required under 35 U.S.C. 121, wherein an Group is a set of patentably distinct inventions of a broad statutory category (e.g. Compounds, Methods of Use, Methods of Making, etc.):
  - Claims 1-15, drawn to products, classified in classes 514/558, numerous subclasses.
  - II. Claims 16-27, drawn to methods of use, classified in classes 514/558, numerous subclasses.

In accordance with the decisions in *In re Harnisch*, 631 F.2d 716, 206 USPQ 300 (CCPA 1980); and *Ex parte Hozumi*, 3 USPQ2d 1059 (Bd. Pat. App. & Int. 1984),



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