UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD
INTUITIVE SURGICAL, INC.,

Petitioner,

v.

ETHICON LLC, Patent Owner.

Case IPR2018-01248 U.S. Patent No. 8,479,969

PETITIONER'S OPPOSITION TO PATENT OWNER'S MOTION TO TERMINATE



TABLE OF CONTENTS

I.	RELIEF REQUESTED	1
II.	INTRODUCTION	1
III.	RELEVANT FACTS	3
IV.	ARGUMENT	5
A.	Petitioner Could Not Have Reasonably "Raised" The Grounds in a Single Petition and Therefore Simultaneously Filed Three Petitions	
В.	Alternatively, For Estoppel Purposes, All Three Simultaneously-Filed Petitions Should Be Considered a Single Petition	8
C.	In Any Event, Petitioner Is Not "Maintaining" This Proceeding, Which Is Now In Board Control	
D.	Regardless, the Board Should Exercise Its Discretion and Issue the Final Written Decision	9



TABLE OF AUTHORITIES

P	Page(s)
Cases	
BioDelivery Scis. Int'l, Inc. v. Aquestive Therapeutics, Inc., 898 F.3d 1205 (Fed. Cir. 2018)	7
Gen. Plastic Indus. Co. v. Canon Kabushiki Kaisha, Case IPR2016-01357, Paper 19 (PTAB Sept. 6, 2017)	3
Great West Casualty Co. et al. v. Intellectual Ventures II LLC, IPR2016-01534, Paper 13 (PTAB Feb. 15, 2017)	8
Kingston Technology Company, Inc. v. Spex Technologies, Inc., IPR2018-01002, Paper 12 (P.T.A.B. November 6, 2018)	6
SAS Institute Inc. v. Iancu, 138 S. Ct. 1348 (2018)	5
Shaw Industries Group Inc. v. Automated Creel Systems, 817 F.3d 1293 (Fed. Cir. 2016)	7, 8
SK Hynix Inc. v. Netlist Inc., Case No. IPR2018-00364, Paper 32 at p. 6 (P.T.A.B. August 5, 2019)	6
Statutes	
35 U.S.C. § 314(b), 316(a)(11)	2
35 U.S.C. § 315(e)(1)	1, 5
35 U.S.C. § 317(a)	9, 10
35 U.S.C. § 318(a)	2, 9



I. RELIEF REQUESTED

Petitioner respectfully requests that Patent Owner's Motion be denied and that the Board issue its Final Written Decision ("FWD") in this proceeding.

II. INTRODUCTION

There is no basis to dismiss the proceeding because all three petitions challenging the '969 Patent were filed on the same day, and would have been filed as a single petition, but for the word count limit imposed by 37 C.F.R. § 42.24.

Significantly, Patent Owner fails to identify any authority where estoppel applied to multiple petitions filed <u>on the same day</u>. Indeed, no legitimate purpose would be served by such a rule. The purpose of statutory estoppel is to discourage a petitioner from filing a later petition **after** it files a first, in order to get two bites at the apple. This purpose would not be served by granting Patent Owner's Motion.

The legislative history makes this crystal clear. The estoppel of 35 U.S.C. § 315(e)(1) was intended to apply to "a **subsequent** PTO proceeding" to bar a party "from **later** using *inter partes* review or *ex parte* reexamination against the same patent, since the only issues that can be raised in an *inter partes* review or *ex parte* reexamination are those that could have been raised in the **earlier** post-grant or *inter partes* review." 157 Cong. Rec. S1376 (March 8, 2011) (statement of Sen. Kyle) (emphasis and italics added). Clearly, Congress did not intend to have this estoppel apply where multiple IPR petitions are filed simultaneously.



Here, the Board instituted review of the three simultaneously-filed petitions, and therefore all three should proceed to FWD pursuant to §318(a). Certainly, actions by Patent Owner or the Board should not impact the application of §318(a).

Specifically, a petitioner has control over the timing of its petitions, and the estoppel provision imposes consequences for petitioners who choose to file later, follow-on petitions. However, under Patent Owner's theories, even where a petitioner files simultaneous petitions, a Patent Owner could create an estoppel by choosing the timing of its Patent Owner Preliminary Responses—because it is that occurrence that triggers the institution deadline, which in turn triggers the final written decision deadlines. 35 U.S.C. § 314(b), 316(a)(11). It would be both peculiar and unjust to interpret the estoppel statute in a manner that would penalize Petitioner for actions taken by both the Patent Office (in timing the release of the FWDs), and Patent Owner (in timing the Preliminary Responses)—over which Petitioner has no control.¹

Moreover, the work of the parties here is done. In advance of the issuance

¹ Here, the consequences would be particularly unjust because Patent Owner first responded to the petitions with grounds based on Section 103, and only later filed a preliminary response to the Prisco petition which has a ground based on anticipation under Section 102.



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

