

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

CREE, INC.
Petitioner

v.

DOCUMENT SECURITY SYSTEMS, INC.
Patent Owner

Case No. IPR2018-_____
U.S. Patent No. 7,256,486

DECLARATION OF MICHAEL PECHT PH.D. IN SUPPORT OF
U. S. PATENT NO. 7,256,486 UNDER
35 U.S.C. §§ 311-319 AND 37 C.F.R. § 42.100 *ET SEQ.*

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I. INTRODUCTION

1. I, Michael Pecht, of 7027 Hunter Lane, Hyattsville, Maryland, USA, have been retained by Jones Day on behalf of Cree, Inc. to provide an analysis of the scope and content of U.S. Patent No. 7,256,486 (“the ’486 patent”) relative to the state of the art at the time of the earliest application underlying the ’486 Patent. In particular, my analysis relates only to claims 1-3. I have also been retained to provide analysis regarding what a person of ordinary skill in the art related to packaging for semiconductor-based light emitting devices would have understood at the time of the earliest application underlying the ’486 Patent.

2. This report summarizes the opinions I have formed to date. I reserve the right to modify my opinions, if necessary, based on further review and analysis of information that I receive subsequent to the filing of this report, including in response to positions taken by Document Security Systems, Inc. or its experts that I have not yet seen.

II. MY EXPERIENCE AND QUALIFICATIONS

3. I am a licensed Professional Engineer in the State of Maryland.

4. I have a BS in Physics, an MS in Electrical Engineering, and an MS and PhD in Engineering Mechanics from the University of Wisconsin at Madison.

5. I worked as a civil servant electrical technician for 4 years to pay my way through college. After graduation, I spent one year working as a NASA contractor on the Astro-1 space telescope.

6. Since my Ph.D., I have had over thirty years of additional experience in the area of electronics, generally including the area of electronic materials, packaging, design, and testing. I have extensive, hands-on experience with electronic components, including LED packages. I have served as a professor in Electrical Engineering, as a Chair Professor in Mechanical Engineering and a Professor of Applied Mathematics, Statistics, and Scientific Computation, and I have taught numerous undergraduate and graduate classes on electronic packaging, including the packaging of LED components. In addition, I have taught formal courses on electronics components including LED packages for numerous companies and professional organizations, such as the IEEE, SMTA, and the U.S. military.

7. I am a Fellow of three of the largest professional engineering societies: the Institute of Electrical and Electronics Engineers, Inc. (“IEEE”), the International Microelectronics Packaging Society (“IMAPS”), the American Society of Mechanical Engineers (“ASME”), and the Fellow of the Society of

Automotive Engineering (“SAE”). These professional societies address electronic components including LED components.

8. I am the founder and Director of CALCE Electronic Products and Systems Center at the University of Maryland, which is funded by over 150 of the world’s leading electronics companies. The focus of the center is on electronic components and products. My center has over 120 professionals and one of the largest electronics testing and analysis laboratories in the world, including state of the art equipment and methods to analyze and test LEDs.

9. I have consulted with over eighty major international electronics companies, including with companies on the subject of electronics components and in particular LEDs. Examples of companies I have consulted with include: Osram, Philips, Dell, Huawei, Nortel, Nokia, Ericson, and Emerson.

10. I have also served on various National Academy of Science/Engineering (NAE / NAS) Committees (invited to participate), including the committee for reliability growth (how to improve US military weapon systems), the committee to investigate electronics printed circuit board manufacturing in the U.S., and the committee to examine US research needs in materials engineering.

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