Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	[ON	DISCLO	OSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT				ICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
, , , , , , , , , , , , , , , , , , ,				Examiner Name	BOCURE, Tesfaldet		
Sheet	24	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL153	Appendix D: U.S. Patent No. 7,417,568 (The 568 Patent), from Defendant Bloomberg L.P.'s Invalidity Contentions Pursuant to Patent Local Rule 3-3, Realtime Data, LLC d/b/a IXO vs. Thomson Reuters Corp., et al., 6:2009-cv-00333 LED-JDL, 6:2010-cv-00247 LED-JDL, 6:2010-cv-00425 LED-JDL, October 29, 2010, 147 pages.	
	NPL154	Appendix E: U.S. Patent No. 7,714,747 (The "747 Patent"), from Defendant Bloomberg L.P.'s Invalidity Contentions Pursuant to Patent Local Rule 3-3, Realtime Data, LLC d/b/a 1XO vs. Thomson Reuters Corp., et al., 6:2009-cv-00333 LED-JDL, 6:2010-cv-00247 LED-JDL, 6:2010-cv-00425 LED-JDL, October 29, 2010, 137 pages.	
	NPL155	Appendix F: Comparison of FAST to the Prior Art, from Defendant Bloomberg L.P.'s Invalidity Contentions Pursuant to Patent Local Rule 3-3, Realtime Data, LLC d/b/a IXO vs. Thomson Reuters Corp., et al., 6:2009-cv-00333 LED-JDL, 6:2010-cv-00247 LED-JDL, 6: 2010-cv-00425 LED-JDL, October 29, 2010, 7 pages.	
	NPL156	Defendant Bloomberg L.P.'s Invalidity Contentions Pursuant to Patent Local Rule 3-3 Regarding U.S. Patent No. 7,777,651, Realtime Data, LLC d/b/a IXO vs. Thomson Reuters Corp., et al., 6:2009-cv-00333 LED-JDL, 6:2010-cv-00247 LED-JDL, 6:2010-cv-00425 LED-JDL, February 4, 2011, 21 pages.	
	NPL157	Appendix G: U.S. Patent No. 7,777,651 (The 651 Patent), Defendant Bloomberg L.P.'s Invalidity Contentions Pursuant to Patent Local Rule 3-3 Regarding U.S. Patent No. 7,777,651, Realtime Data, LLC d/b/a IXO vs. Thomson Reuters Corp., et al., 6:2009-cv-00333 LED-JDL, 6:2010-cv-00247 LED-JDL, 6:2010-cv-00425 LED-JDL, February 4, 2011, 480 pages.	
	NPL158	RICE, ROBERT F., "Some Practical Universal Noiseless Coding Techniques", Jet Propulsion Laboratory, Pasadena, California, JPL Publication 79-22, March 15, 1979; 140 pgs.	
	NPL159	ANDERSON, J., et al. "Codec squeezes color teleconferencing through digital telephone lines," Electronics 1984, pp. 13-15.	
	NPL160	VENBRUX, JACK, "A VLSI Chip Set for High-Speed Lossless Data Compression", IEEE Trans. On Circuits and Systems for Video Technology, Vol. 2, No. 4, December 1992, pp. 381-391.	
	NPL161	"Fast Dos Soft Boot", IBM Technical Disclosure Bulletin, Feb. 1994, Vol. 37, Issue No. 2B, pp. 185-186.	
	NPL162	"Operating System Platform Abstraction Method", IBM Technical Disclosure Bulletin, Feb. 1995, Vol. 38, Issue No. 2, pp 343-344.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

HULU LLC Exhibit 1002 IPR2018-01170

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	[ON	DISCLO	SURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT				CANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
, , , , , , , , , , , , , , , , , , ,				Examiner Name	BOCURE, Tesfaldet		
Sheet	25	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL163	MURASHITA, K., et al., "High-Speed Statistical Compression using Self-Organized Rules and Predetermined Code Tables", IEEE, 1996 Data Compression Conference.	
	NPL164	COENE, W., et al. "A Fast Route For Application of Rate-distortion Optimal Quantization in an MPEG Video Encoder" Proceedings of the International Conference on Image Processing, US., New York, IEEE, 16 September 1996, pp. 825-828.	
	NPL165	RICE, ROBERT, "Lossless Coding Standards for Space Data Systems", IEEE 1058-6393197, Nov. 3-6, 1996, pp. 577-585.	
	NPL166	MILLMAN, HOWARD, "Image and video compression", Computerworld, Vol. 33, Issue No. 3, January 18, 1999, pp. 78.	
	NPL167	"IBM boosts your memory", Geek.com [online], June 26, 2000 [retrieved on July 6, 2007, www.geek.com/ibm-boosts-your-memory/, 7 pages.	
	NPL168	"IBM Research Breakthrough Doubles Computer Memory Capacity", IBM Press Release [online], June 26, 2000 [retrieved on July 6, 20071, www-03.ibm.com/press/us/en/pressrelease/1653.wss, 3 pages.	
	NPL169	"ServerWorks To Deliver IBM's Memory expansion Technology in Next-Generation Core Logic for Servers", ServerWorks Press Release [online], June 27, 2000 [retrieved on July 14, 20001, http://www.serverworks.com/news/press/000627.html, 1 page.	
	NPL170	ABALI, B., et al., "Memory Expansion Technology (MXT) Software support and performance", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 287-301.	
	NPL171	FRANASZEK, P. A., et al., "Algorithms and data structures for compressed-memory machines", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 245-258.	
	NPL172	FRANASZEK, P. A., et al., "On internal organization in compressed random-access memories", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 259-270.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	[ON	DISCLO	SURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT				CANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)				C7 1 1 \ 1	Art Unit	2634	
, , , , , , , , , , , , , , , , , , ,				Examiner Name	BOCURE, Tesfaldet		
Sheet	26	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL173	SMITH, T.B., et al., "Memory Expansion Technology (MXT) Competitive impact", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 303-309.	
	NPL174	TREMAINE, R. B., ct al., "IBM Memory Expansion Technology (MXT)", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 271-285.	
	NPL175	YEH, PEN-SHU, "The CCSDS Lossless Data Compression Recommendation for Space Applications", Chapter 16, Lossless Compression Handbook, Elsevier Science (USA), 2003, PP. 311-326.	
	NPL176	Expand Networks Accelerator 4000 Series User's Guide, 1999, 101 pgs.	
	NPL177	Tridgell, Andrew; "Efficient Algorithms for Sorting and Synchronization"; A thesis submitted for the degree of Doctor of Philosophy at The Australian National University; February 1999; pages iii-106	
	NPL178	Jung, et al.; "Performance optimization of wireless local area networks through VLS1 data compression"; Wireless Networks, Volume 4, 1998; pages 27-39.	
	NPL179	Baker, K. et al., "Lossless Data Compression for Short Duration 3D Frames in Positron Emission Tomography," 0-7803-1487, May 1994, pages 1831-1834.	
	NPL180	Maier, Mark W.; "Algorithm Evaluation for the Synchronous Data Compression Standard"; University of Alabama: 1995, pages 1-10.	
	NPL181	Bassiouni, et al.; "A Scheme for Data Compression in Supercomputers"; IEEE; 1988; pages 272-278.	
	NPL182	Welch, Terry A.; "A Technique for High-Performance Data Compression"; IEEE; June 1984; pages 8-19.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О		Сотр	Complete if Known		
				Application Number	14/033,245		
INFORN	IAT	ION	DISCLOSURE	Filing Date	September 20, 2013		
STATEMENT BY APPLICANT				First Named Inventor	James J. FALLON		
(Use as many sheets as necessary)				Art Unit	2634		
				Examiner Name	BOCURE, Tesfaldet		
Sheet	27	of	94	Attorney Docket Number	2855.0050007		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	\mathbf{T}^2
	NPL183	ALDC: Adaptive Lossless Data Compression; IBM; 1994, 2 pgs.	
	NPL184	ALDC-Macro: Adaptive Lossless Data Compression; IBM Corporation; 1994, 2 pgs.	
	NPL185	ALDC1-20S: Adaptive Lossless Data Compression; IBM Corporation; 1994, 2 pgs.	
	NPL186	ALDC1-40S: Adaptive Lossless Data Compression; IBM Corporation; 1994, 2 pgs.	
	NPL187	ALDC1-5S: Adaptive Lossless Data Compression; IBM Corporation; 1994, 2 pgs.	
	NPL188	Craft, David J.; "Data Compression Choice No Easy Call"; Computer Technology Review; Volume XIV, Number 1; January 1994, 2 pgs.	
	NPL189	Costlow, Terry; "Sony designs faster, denser tape drive"; Electronic Engineering Times; May 20, 1996, pages 86-87.	
	NPL190	Wilson, Ron; "IBM ups compression ante"; Electronic Engineering Times; August 16, 1993; pages 1-94.	
	NPL191	"IBM Announces New Feature for 3480 Subsystem"; Tucson Today; Volume 12, Number 337, July 25, 1989, 1 pg.	
	NPL192	Syngress Media, Inc.; "CCA Citrix Certified Administrator for MetaFrame 1.8 Study Guide"; 2000, 568 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known	
					Application Number	14/033,245
INFORMATION DISCLOSURE			LOSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)			LICANT	First Named Inventor	James J. FALLON	
				Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet	
Sheet	28	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL193	International Telecommunication Union; "Data Compression Procedures for Data Circuit Terminating Equipment (DCE) Using Error Correction Procedures"; Geneva, 1990, 29 pgs.	
	NPL194	Cheng, et al.; "A fast, highly reliable data compression chip and algorithm for storage systems"; IBM J. Res. Develop.; Vol. 40, No. 6, November 1996; pages 603-613.	
	NPL195	Cisco Systems; "Cisco IOS Data Compression"; 1997; pages 1-10.	
	NPL196	Craft, D. J.; "A fast hardware data compression algorithm and some algorithmic extensions"; IBM J. Res. Develop.; Vol. 42; No. 6; November 6, 1998; pages 733-746.	
	NPL197	Rustici, Robert; "Enhanced CU-SeeMe"1995, Zero In Technologies, Inc., 308 pgs.	
	NPL198	White Pine Software; "CU-SeeMe Pro: Quick Start Guide"; Version 4.0 for Windows; 1999, 86 pgs.	
	NPL199	"CU-SeeMe Reflector"; www.geektimes.com/michael/CU-SeeMe/faqs/reflectors.html; accessed on December 2, 2008, 5 pgs.	
	NPL200	Daniels, et al.; "Citrix WinFrame 1.6 Beta"; May 1 1996; license.icopyright.net/user/downloadLicense.act?lic=3.7009-9123; accessed December 2, 2008, 4 pgs.	
	NPL201	Held, et al.; "Data Compression"; Third Edition; John Wiley & Sons Ltd.; 1991, 150 pgs.	
	NPL202	Data Compression Applications and Innovations Workshop; Proceedings of a Workshop held in Conjunction with the IEEE Data Compression Conference; Snowbird, Utah; March 31, 1995, 64 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	Substitute for form 1449/PTO				Complete if Known	
					Application Number	14/033,245
INFORMATION DISCLOSURE				DSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT (Use as many sheets as necessary)			ICANT	First Named Inventor	James J. FALLON	
				Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet	
Sheet	29	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL203	Britton, et al.; "Discovery Desktop Conferencing with NetMeeting 2.0"; IDG Books Worldwide, inc.; 1997, 244 pgs.	
	NPL204	Sattler, Michael; "Internet TV with CU-SeeMe"; Sams.Net Publishing; 1995; First Edition, 80 pgs.	
	NPL205	IBM Microelectronics Comdex Fall '93 Booth Location, 1 pg.	
	NPL206	Disz, et al.; "Performance Model of the Argonne Voyager Multimedia Server"; IEEE; 1997; pages 316-327.	
	NPL207	"Downloading and Installing NetMeeting"; www.w4mq.comlhelplh3.htm; accessed on December 2, 2008; 6 pgs.	
	NPL208	Fox, et al.; "Adapting to Network and Client Variability via On-Demand Dynamic Distillation"; ASPLOS VII; October 1996; pages 160-170.	
	NPL209	Fox, et al.; "Adapting to Network and Client Variation Using Infrastructural Proxies: Lessons and Perspectives"; IEEE Personal Communications, August 1998; pages 10-19.	
	NPL210	Han, et al.; "CU-SeeMe VR Immersive Desktop Teleconferencing"; Department of Computer Science; Cornell University; To appear in ACM Multimedia 1996, 9 pgs.	
	NPL211	Howard, et al.; "Parallel Lossless Image Compression Using Huffman and Arithmetic Coding"; 1992; pages 1-9.	
	NPL212	Howard, Paul G.; "Text Image Compression Using Soft Pattern Matching"; The Computer Journal; Vol. 40, No. 213; 1997; pages 146-156.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known	
					Application Number	14/033,245
INFORMATION DISCLOSURE			C	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT			7	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)				Art Unit	2634	
,				Examiner Name	BOCURE, Tesfaldet	
Sheet	30	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL213	Howard, et al.; "The Emerging JBIG2 Standard"; IEEE Transactions on Circuits and Systems for Video Technology, Vol. 8, No. 7, November 1998; pages 838-848.	
	NPL214	Craft, D. J.; "A fast hardware data compression algorithm and some algorithmic extensions"; Journal of Research and Development; Vol. 42, No. 6, November 1998; pages 733-745.	
	NPL215	"Direct Access Storage Device Compression and Decompression Data Flow"; IBM Technical Disclosure Bulletin; Vol. 38, No. 11; November 1995; pages 291-295.	
	NPL216	ICA Timeline, September 24, 2007, 3 pgs.	
	NPL217	Converse, et al.; "Low Bandwidth X Extension"; Protocol Version 1 .O; X Consortium; December 21, 1996, 55 pgs.	
	NPL218	Magstar and IBM 3590 High Performance Tape Subsystem Technical Guide; November 1996; IBM International Technical Support Organization, 288 pgs.	
	NPL219	MetaFrame Administration Student Workbook; June 1998; Citrix Professional Courseware; Citrix Systems, Inc, 113 pgs.	
	NPL220	NCD Wincenter 3.1 : Bringing Windows to Every Desktop, 1998; 2 pgs.	
	NPL221	Overview NetMeeting 2.1; Microsoft TechNet; technet.microsoft.com1en-usllibraryl cc767141 (printer).aspx; accessed December 2, 2008; 7 pgs.	
	NPL222	NetMeeting 2.1 Resource Kit; Microsoft TechNet; technet.microsoft.com1en-usllibrary1 cc767142(printer).aspx; accessed on December 2, 2008, 34 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
	Examiner Name	BOCURE, Tesfaldet
Sheet 31 of 94	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL223	Conferencing Standards: NetMeeting 2.1 Resource Kit: Microsoft TechNet; technet.microsoft.com/—us/library/cc767150(printer).aspx; accessed December 2, 2008, 14 pgs.	
	NPL224	Summers, Bob; "Official Microsoft NetMeeting Book," Microsoft Press, 1998, 374 pgs.	
	NPL225	Zebrose, Katherine L.; "Integrating Hardware Accelerators into Internetworking Switches"; Teleo Systems, 1995, 10 pages.	
	NPL226	Simpson, et al.; "A Multiple Processor Approach to Data Compression"; ACM; 1998; pages 641-649, 9 pgs.	
	NPL227	"IBM Technology Products Introduces New Family of High-Performance Data Compression Products"; IBM; August 16, 1993, 6 pgs.	
	NPL228	ReadMe; PowerQuest Drive Image Pro; Version 3.00; 1994-1999; PowerQuest Corporation; page 1-6.	
	NPL229	Schulzrinne, et al., "RTP Profile for Audio and Video Conferences with Minimal Control," January 1996, www.ietf.org/rfc/rfc1890.txt, accessed on December 3, 2008; 17 pgs.	
	NPL230	Zhu, C., "RTP Payload Format for H.263 Video Streams," Standards Track, September 1997, pages 1-12.	
	NPL231	Simpson, W., "The Point-To-Point Protocol (PPP)," Standards Track, July 1994, pages i-52.	
	NPL232	Reynolds, et al., "Assigned Numbers," Standards Track, October 1994, pages 1-230.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	[ON	DISCLO	SURE	Filing Date	September 20, 2013	
STATE	MEN'	TR	Y APPLIC	CANT	First Named Inventor	James J. FALLON	
			ts as necessary)		Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	32	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL233	Deutsch, et al., "ZLIB Compressed Data Format Specification version 3.3," Informational, May 1996, pages 1-10.	
	NPL234	Deutsch, P., "DEFLATE Compressed Data Format Specification version 1.3," Informational, May 1996, pages 1-15.	
	NPL235	Rand, D., "The PPP Compression Control Protocol (CCP)," Standards Track, June 1996, pages 1-9.	
	NPL236	Schneider, et al., "PPP LZS-DCP Compression Protocol (LZS-DCP)," Informational, August 1996, pages 1-18.	
NPL237 Friend, et al., "PPP Stac LZS Compression Protocol pages 1-20.		Friend, et al., "PPP Stac LZS Compression Protocol," Informational, August 1996; pages 1-20.	
	NPL238	Schneider, et al., "PPP for Data Compression in Data Circuit-Terminating Equipment (DCE)," Informational, August 1996, pages 1-10.	
	NPL239	Atkins, et al., "PGP Message Exchange Formats," Informational, August 1996, pages 1-21.	
	NPL240 Castineyra, et al., "The Nimrod Routing Architecture," Informational, August 1996, pages 1-27.		
	NPL241 Freed, et al., "Multipurpose Internet Mail Extensions (MIME) Part Four: Registration Procedures," Best Current Practice, November 1996, pages 1-21.		
	NPL242 Shacham, et al., "IP Payload Compression Protocol (IPComp)," Standards Track, December 1998, pages 1-10.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	[ON	DISCLOS	URE	Filing Date	September 20, 2013	
STATE	MEN	T R	Y APPLIC	ANT	First Named Inventor	James J. FALLON	
			ts as necessary)	7 8 1 1 1	Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	33	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL243	Sidewinder 50 Product Manual, Seagate Technology, Inc., 1997, 189 pgs.	
	NPL244	IBM RAMAC Virtual Array, IBM, July 1997, 490 pgs.	
	NPL245	Bruni, et al., "DB2 for OS/390 and Data Compression" IBM Corporation, November 1998, 172 pgs.	
	NPL246	Smith, Mark, "Thin Client/Server Computing Works," WindowsITPro, November 1, 1998, pages 1-13, license.icopyright.net/user/downloadLicense.act?lic=3.7009-8355, accessed December 2, 2008.	
	NPL247	International Telecommunication Union, "Information Technology - Digital Compression and Coding of Continuous-Tone Still Images-Requirements and Guidelines," 1993, 186 pgs.	
	NPL248	International Telecommunications Union, "Information technology - Lossless and near-lossless compression of continuous-tone still images – Baseline," 1999, 75 pgs.	
	NPL249	Davis, Andrew W., "The Video Answering Machine: Intel Proshare's Next Step," Advanced Imaging, Vol. 12, No. 3, March 1997, pages 28, 30.	
	Abbott, IIII, Walter D., "A Simple, Low Overhead Data Compression Algorithm Converting Lossy Compression Processes to Lossless," Naval Postgraduate Scho Thesis; December 1993, 93 pgs.		
	NPL251 Thomborson. Clark, "V.42bis and Other Ziv-Lemoel Variants," IEEE, 1991, page 460		
	NPL252 Thomborson, Clark, "The V.42bis Standard for Data-Compressing Modems," IEEE, October 1992, pages 41-53.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORM	MAT	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATE	MEN	T R	Y APPLI	CANT	First Named Inventor	James J. FALLON	
. –			ts as necessary)		Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	34	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL253	Sun, Andrew, "Using and Managing PPP," O'Reilly & Associates, Inc., 1999, 89 pgs.	
	NPL254	"What is the V42bis Standard?," www.faqs.org/faqs/compression-faq/partl/section-10.html, accessed on December 2,2008, 2 pgs.	
	NPL255	"The WSDC Download Guide: Drive Image Professional for DOS, OS/2, and Windows," wsdcds01 .watson.ibm.com/WSDC.nsf/Guides/Download/Applications-DriveImage.htm, Accessed November 22, 1999, 4 pgs.	
NPL256 wsdcds01.watson.ibm.co		"The WSDC Download Guide: Drive Image Professional," wsdcds01.watson.ibm.com/wsdc.nsf/Guides/Download/Applications-DriveImage.htm, accessed on May 3, 2001, 5 pgs.	
	NPL257	APPNOTE-TXT from pkware.txt, Version 6.3.2, PKWARE Inc., 1989, 52 pgs.	
	NPL258	CU-SeeMe readme.txt, December 2, 1995, 9 pgs.	
	NPL259	CU-seeme txt from indstate.txt, README.TXT for CU-SeeMe version 0.90bl, March 23, 1997, 5 pgs.	
	NPL260 Cuseeme txt 19960221 .txt; CUSEEME.TXT, February 21, 1996, 9 pgs.		
	NPL261 Citrix Technology Guide, 1997, 413 pgs.		
	NPL262	Lettieri, et al., "Data Compression in the V.42bis Modems," 1992, pages 398-403.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	[ON	DISCLO	SURE	Filing Date	September 20, 2013	
STATE	MEN'	T R	Y APPLI	CANT	First Named Inventor	James J. FALLON	
			ts as necessary)	C/11/1	Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	35	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2		
	NPL263	High Performance x2/V.34+N.42bis 56K BPS Plug & Play External Voice/FAX/Data Modem User's Manual, 1997, 27 pgs.			
	NPL264	H.323 Protocols Suite, www.protocols.com/pbook~h323.htm, 26 pages (referenced in Expert Report of Dr. James A. Storer on Invalidity filed on behalf of some of the defendants, filed in Realtime Data, LLC d/b/a IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED, U.S. District Court for the Eastern District of Texas, June 10, 2009, and indicated as being last accessed in 2008, see e.g., Exhibit E, page 12).			
	NPL265	LBX X Consortium Algorithms; rzdocs.uni-hohenheim.dc/aix~4.33/ext~doc/usr/sharc/man/info/cn~US/a~doc~lib./.x."l;1 X I 1R 6 Technical Specifications, December 1996, 3 pgs.			
	NPL266	Basics of Images; www.geom.uiuc.edu/events/courses/1996/cmwh/StilIs/basics.html, 1996, 5 pgs.			
	NPL267	Parties' Joint Claim Construction and Prehearing Statement Pursuant to P.R. 4-3, filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-ev-00144-LED; U.S. District Court for the Eastern District of Texas, February 18, 2009, 168 pages.			
	NPL268	Declaration of Professor James A. Storer, Ph.D., relating to U.S. Patent No. 6,604,158, March 18, 2009, 10 pgs.			
	NPL269	Declaration of Professor James A. Storer, Ph.D., relating to U.S. Patent No. 6,601,104, March 18, 2009, 8 pgs.			
	NPL270 Declaration of Professor James A. Storer, Ph.D., relating to U.S. Patent No. 7,321 May 4, 2009, 15 pgs.				
	NPL271 Declaration of Professor James A. Storer, Ph.D., relating to U.S. Patent No. 6,624,761 May 4, 2009, 6 pgs.				
	NPL272 Declaration of Professor James A. Storer, Ph.D., relating to U.S. Patent No. 7,378,992 May 20, 2009, 6 pgs.				

Examiner	,	Date	00/00/004 4
Signature	/Tesfaldet Bocure/	Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	(ON	DISCLOSURE		Filing Date	September 20, 2013	
STATE	MEN'	TR	Y APPLICANT		First Named Inventor	James J. FALLON	
			ts as necessary)		Art Unit	2634	
(=,,)					Examiner Name	BOCURE, Tesfaldet	
Sheet	36	of	94		Attorney Docket Number	2855.0050007	

	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2						
	NPL273	Declaration of Professor James A. Storer, Ph.D., relating to U.S. Patent No. 7,161,506, May 26, 2009, 5 pgs.							
	NPL274	"Video Coding for Low Bit Rate Communication", International Telecommunication Union (ITU), Recommendation H.263, §3.4 (March 1996) ("ITU H.263"), 52 pgs.							
	NPL275	Order Adopting Report and Recommendation of United States Magistrate Judge, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, August 24, 2009, 2 pgs.							
	NPL276	Second Amended Answer filed on behalf of Citrix Systems, Inc, (includes allegations of inequitable conduct on at least pages 24-43) filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 10, 2009, 45 pgs.							
	NPL277	Expert Report of James B. Gambrell on Inequitable Conduct filed on behalf of some of the defendants [Includes Appendices - Exhibits A-I] filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-ev-00144-LED; U.S. District Court for the Eastern District of Texas, June 10, 2009, 199 pgs.							
	NPL278	Expert Report of Dr. James A. Storer on Invalidity filed on behalf of some of the defendants [Includes Appendices - Exhibits A-K (Exhibit A has been redacted pursuant to a protective order)] filed in Realtime Data, LLC d/b/a IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, June 10, 2009, 1090 pgs.							
	NPL279	Supplemental Expert Report of Dr. James A. Storer on Invalidity filed on behalf of some of the defendants [Includes Appendices - Exhibits 1-8] filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, June 19, 2009, 301 pgs.							
	NPL280	Deposition of Dr. James A. Storer conducted on behalf of the plaintiffs filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 27, 2009, 242 pgs.							
	NPL281	Deposition of Brian Von Herzen conducted on behalf of the plaintiffs filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 26, 2009, 241 pgs.							
	NPL282	Second Amended Complaint filed on behalf of the Plaintiff in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 10, 2009, 28 pgs.							

Examiner	/Tesfaldet Bocure/	Date	00/00/0044
Signature		Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	(ON	DISCLOSURI	C	Filing Date	September 20, 2013	
STATE	MEN'	TR	Y APPLICAN	7	First Named Inventor	James J. FALLON	
			ts as necessarv)		Art Unit	2634	
(=,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					Examiner Name	BOCURE, Tesfaldet	
Sheet	37	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL283	Answers to the Second Amended Complaint and Counterclaims filed by Citrix Systems, Inc, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 17, 2009, 46 pgs.	
	NPL284	Answers to the Second Amended Complaint and Counterclaims filed by F5 Networks, Inc, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 17, 2009, 17 pgs.	
	NPL285	Answers to the Second Amended Complaint and Counterclaims filed by Averitt Express, Inc, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 17, 2009, 17 pgs.	
	NPL286	Answers to the Second Amended Complaint and Counterclaims filed by DHL Express, Inc, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 17, 2009, 37 pgs.	
	NPL287	Answers to the Second Amended Complaint and Counterclaims filed by Expand Networks, Inc, Interstate Battery System of America, Inc., and O'Reilly Automotive, Inc. in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 17, 2009, 21 pgs.	
	NPL288	Answers to the Second Amended Complaint and Counterclaims filed by Blue Coat Systems, Inc., Packeteer, Inc., 7-Eleven, Inc., ABM Industries, Inc., ABM Janitorial Services-South Central, Inc., and Build -A-Bear Workshop, Inc. in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, February 18, 2009, 84 pgs.	
	NPL289	Plaintiff's Response to the Answers to the Second Amended Complaint and Counterclaims filed by Citrix Systems, Inc., in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 4, 2009, 24 pgs.	
	NPL290	Plaintiff's Responses to the Answers to the Second Amended Complaint and Counterclaims filed by F5 Networks, Inc, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 4, 2009, 5 pgs.	
	NPL291	Plaintiff's Responses to the Answers to the Second Amended Complaint and Counterclaims filed by Averitt Express, Inc, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 4, 2009, 5 pgs.	
	NPL292	Plaintiff's Responses to the Answers to the Second Amended Complaint and Counterclaims filed by DHL Express, Inc, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 4, 2009, 17 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	[ON	DISCLO	SURE	Filing Date	September 20, 2013	
STATE	MEN	TR	Y APPLI	CANT	First Named Inventor	James J. FALLON	
			ts as necessary)	C/11/1	Art Unit	2634	
(=,,)					Examiner Name	BOCURE, Tesfaldet	
Sheet	38	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMEN	TS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTER appropriate), title of the item (book, magazine, journa etc.), date, page(s), volume number, publisher, city and	ium, catalog,	T^2		
	NPL293	Plaintiff's Responses to the Answers to the Second Amendfiled by Expand Networks, Inc, Interstate Battery System of Automotive, Inc. in Realtime Data, LLC d/b/a/IXO v. Pack 6:08-cv-00144-LED; U.S. District Court for the Eastern Dipps.	of America, Inc., a keteer, Inc. et al., (nd O'Reilly Civil Action No.		
	Plaintiff's Responses to the Answers to the Second Amended Complaint and Counterclain filed by Blue Coat Systems, Inc., Packeteer, Inc., 7-Eleven, Inc., ABM Industries, Inc., NPL294 ABM Janitorial Services-South Central, Inc., and Build -A-Bear Workshop, Inc. in Realt Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LFD; U.S District Court for the Eastern District of Texas, March 4, 2009, 34 pgs.					
	Opening Claim Construction Brief filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, I et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District Texas, March 5, 2009, 36 pgs.					
NPL296 Declaration of Jordan Adler in support of the Opening Claim Construction Brief filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144 LED; U.S. District Court for the Eastern District of Texas, March 5, 2009, 214 pgs.						
	NPL297 Motion for Partial Summary Judgment for Invalidity of some of the Patents in Suit for Indefiniteness, including the '104 patent, filed on behalf of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 16, 2009, 22 pgs.					
	NPL298	Declaration of Michele E. Moreland in support Motion for Partial Summary Judgment for Invalidity of some of the Patents in Suit for Indefiniteness, including the '104 patent, filed on behalf of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LE, March 16, 2009, 168 pgs.				
	NPL299 Declaration of James A. Storer in support Motion for Partial Summary Judgment for Invalidity of some of the Patents in Suit for Indefiniteness, including the '104 patent, filed on behalf of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LE, March 16, 2009, 27 pgs.					
	NPL300	Joint Defendants Reply regarding Motion for Partial Sumn some of the Patents in Suit for Indefiniteness, including the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, cv-00144-LE, April 2, 2009, 20 pgs.	e '104 patent, filed	on behalf of the		
	Responsive Briefs in Support of Claim Construction filed by Blue Coats Systems, Inc., Packeteer, Inc., 7-Eleven, Inc., ABM Industries, Inc., ABM Janitorial Services-South NPL301 NPL301 Responsive Briefs in Support of Claim Construction filed by Blue Coats Systems, Inc., Packeteer, Inc., 7-Eleven, Inc., ABM Industries, Inc., ABM Janitorial Services-South Central, Inc. and Build-A-Bear Workshop, Inc. in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-ev-00144-LED; U.S. District Court for the Eastern District of Texas, March 19, 2009, 451 pgs.					
	NPL302 Responsive Briefs in Support of Claim Construction filed by F5 Networks, Inc. and Averitt Express, Inc. in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 19, 2009, 20 pgs.					
Examiner Signature		/Tesfaldet Bocure/	Date Considered	02/22/2014		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	ION	DISCLOSUR	${\mathbb E}$	Filing Date	September 20, 2013	
STATE	MEN	TR	Y APPLICAN	Г	First Named Inventor	James J. FALLON	
			ts as necessarv)		Art Unit	2634	
(=,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					Examiner Name	BOCURE, Tesfaldet	
Sheet	39	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL303	Responsive Briefs in Support of Claim Construction filed by Citrix Systems, Inc., Expand Networks, Inc., DIIL Express (USA), Inc., Interstate Battery System of America, Inc., and O'Reilly Automotive Inc. in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 19, 2009, 377 pgs.	
	NPL304	Declaration of Dr. James A. Storer filed in Support of the Brief in Support of Claim Construction filed on behalf of F5 Networks, Inc. in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 19, 2009, 778 pgs.	
	NPL305	Defendant Citrix Systems, Inc.'s Motion to Exclude Dr. Brian Von Herzen's Opinions Regarding Claim Construction filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 20, 2009, 244 pgs.	
	NPL306	Plaintiff's Opposition to Defendant Citrix Systems, Inc.'s Motion to Exclude Dr. Brian Von Herzen's Opinions Regarding Claim Construction filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 6, 2009, 20 pgs.	
	NPL307	Declaration of Karim Oussayef submitted in support of the Opposition of Plaintiff's Opposition to Defendant Citrix Systems, Inc.'s Motion to Exclude Dr. Brian Von Herzen's Opinions Regarding Claim Construction filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 6, 2009, 119 pgs.	
	NPL308	Order of the Court Denying Defendant Citrix Systems, Inc.'s Motion to Exclude Dr. Brian Von Herzen's Opinions Regarding Claim Construction, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, April 6, 2009, 1 pg.	
	NPL309	Parties Joint Submission of Terms to be Heard at the Markman Hearing filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 24, 2009, 5 pgs.	
	NPL310	Order of the Court Regarding the terms to be heard at the Markman Hearing in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 24, 2009, 2 pgs.	
	NPL311	Transcript of the Markman Hearing held on April 9, 2009 in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, 174 pgs.	
	NPL312	Plaintiff's Reply Claim Construction Brief filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 30, 2009, 30 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORM	MATI	ION	DISCL	OSURE	Filing Date	September 20, 2013	
STATE	MEN'	ТR	V APPI	ICANT	First Named Inventor	James J. FALLON	
			ets as necessar		Art Unit	2634	
(=,,,,					Examiner Name	BOCURE, Tesfaldet	
Sheet	40	of	94	·	Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL313	Declaration of Brian von Herzen in Support of the Plaintiff's Reply Claim Construction Brief filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 30, 2009, 25 pgs.	
	NPL314	F5 Sur-Reply to Plaintiff's Claim Construction Brief filed by some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 3, 2009, 12 pg	
	NPL315	Citrix Sur-Reply to Plaintiff's Claim Construction Brief filed by some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 3, 2009, 13 pgs.	
	NPL316	Bluc Coat Sur-Reply to Plaintiff's Claim Construction Brief filed by some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 3, 2009, 12 pgs.	
	NPL317	Declaration of Michele Moreland in Support of Sur-Replies to Plaintiff's Claim Construction Brief filed by some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 3, 2009, 8 pgs.	
	NPL318	Declaration of James Storer in Support of Sur-Replies to Plaintiff's Claim Construction Brief filed by some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 7, 2009, 6 pgs.	
	NPL319	Plaintiff's Motion for Leave to Supplement the Parties' Joint Claim Construction and Prehearing Statement filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 8, 2009, 123 pgs.	
	NPL320	Motion for Reconsideration of the Court's Order Denying Plaintiff's Motion for Leave to Supplement the Parties' Joint Claim Construction and Prehearing Statement filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 13, 2009, 3 pgs.	
	NPL321	Citrix Systems' Opposition to Realtime Data's Motion for Reconsideration of Realtime's Motion for Leave to Supplement the Parties' Joint Claim Construction, filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 27, 2009, 6 pgs.	
	NPL322	Notice of Agreement to Claim Term between Plaintiff and Defendant filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 22, 2009, 3 pgs.	

Examiner	/Toefaldet Rooure/	Date	
Signature	/ restaluet bocure/	Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	n 1449/PT	O.		Сотр	Complete if Known	
				Application Number	14/033,245	
INFOR	MAT:	ION	DISCLOSURE	Filing Date	September 20, 2013	
STATE	MEN	ТR	Y APPLICANT	First Named Inventor	James J. FALLON	
			ets as necessary)	Art Unit	2634	
7				Examiner Name	BOCURE, Tesfaldet	
Sheet	41	of	94	Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL323	Provisional Claim Construction Order issued by the Court on June 2, 2009 in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, 28 pgs.	
	NPL324	Citrix Request for Consideration and Objections to the Provisional Claim Construction Order issued by the Court on June 22, 2009 filed on behalf of some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 9, 2009, 22 pgs.	
	NPL325	Blue Coat Request for Consideration and Objections to the Provisional Claim Construction Order issued by the Court on June 22, 2009 filed on behalf of some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED, U.S. District Court for the Eastern District of Texas, July 10, 2009, 9 pgs.	
	NPL326	F5 Request for Consideration and Objections to the Provisional Claim Construction Order issued by the Court on June 22, 2009 filed on behalf of some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 10, 2009, 15 pgs.	
	NPL327	Comtech AHA Corporation's Complaint in Intervention against the Plaintiff filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, April 6, 2009, 8 pgs.	
	NPL328	Report and Recommendation of United States Magistrate Judge on Motion for Partial Summary Judgment issued on June 23, 2009, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, 22 pgs.	
	NPL329	Blue Coat Defendants' Report and Recommendations Regarding Motion for Partial Summary Judgment of Invalidity for Indefiniteness in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 8, 2009, 18 pgs.	
	NPL330	Plaintiff's Objections To and Partially Unopposed Motion for Reconsideration of United States Magistrate Judge's Claim Construction Memorandum and Order, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 13, 2009, 11 pgs.	
	NPL331	Defendant Citrix Opposition to Realtime's Objections to and Partially Unopposed Motion for Reconsideration of Magistrate Love's Claim Construction Memorandum and Order filed by Citrix Systems, Inc., filed on behalf of some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 27, 2009, 8 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known	
					Application Number	14/033,245
INFORMATION DISCLOSURE					Filing Date	September 20, 2013
STATE	MEN	T R	V APPLI	CANT	First Named Inventor	James J. FALLON
STATEMENT BY APPLICANT (Use as many sheets as necessary)					Art Unit	2634
					Examiner Name	BOCURE, Tesfaldet
Sheet	42	of	94		Attorney Docket Number	2855.0050007

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2		
	NPL332	Defendant F5 Networks, Inc.'s Opposition to Plaintiff's Objections and Partially Unopposed Motion for Reconsideration of Magistrate Judge Love's Claim Construction and Order, filed on behalf of some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-ev-00144-LED; U.S. District Court for the Eastern District of Texas, July 27, 2009, 4 pgs.			
	NPL333	Defendants' Response in Opposition to Realtime Data's Objections to and Partially Unopposed Motion for Reconsideration of Magistrate Judge Love's Claim Construction Memorandum and Order, filed on behalf of some of the defendants in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 27, 2009, 9 pgs.			
	NPL334	Realtime Data's Response in Opposition to Defendant Citrix Systems Objections to and Request for Reconsideration of Magistrate's Order Regarding Claim Construction, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 27, 2009, 13 pgs.			
	NPL335	Plaintiff Realtime Data's Response in Opposition to Blue Coat Defendants' Objection to Magistrate's Memorandum Opinion and Order Regarding Claim Construction, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-ev-00144-LED; U.S. District Court for the Eastern District of Texas, July 27, 2009, 9 pgs.			
	NPL336	Plaintiff's selected Responses to Defendant Citrix System's Interrogatories and First Set of Requests for Admission filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 15, 2009, 151 pgs.			
	NPL337	Script for Defendants' Joint Claim Construction Technology Tutorial Presented to the Magistrate Judge in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed on April 18, 2008 and terminated February 2, 95 pgs.			
	NPL338	Preliminary Data Sheet, 9600 Data Compressor Processor, Hi/fn, 1997-99, HIFN 000001-68, 68 pgs.			
	NPL339	Data Sheet, 9751 Data Compression Processor, 1997-99, HIFN 000069-187, 119 pgs.			
	NPL340	Signal Termination Guide, Application Note, Hi/fn, 1997-98, HIFN 000188-194, 7 pgs.			
	NPL341	How LZS Data Compression Works, Application Note, Hi/fn, 1997-99, HIFN 000195-207, 13 pgs.			

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2	Examiner Name	BOCURE, Tesfaldet
Sheet 43 of 94	Attorney Docket Number	2855.0050007

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2		
	NPL342	Reference Hardware, 9751 Compression Processor, Hi/fn, 1997-99, HIFN 000208-221, 14 pgs.			
	NPL343	Using 9751 in Big Endian Systems, Application Note, Hi/fn, 1997-99, HIFN 000222-234, 13 pgs.			
	NPL344	Specification Update, 9751 Compression Processor, Hi/fn, 1997-2000, HIFN 000235-245, 11 pgs.			
	NPL345	9732AM Product Release, Hi/fn, 1994-99, HIFN 000246-302, 57 pgs.			
	NPL346	Data Sheet, 9732A Data Compression Processor, Hi/fn, 1997-99, HIFN 000303-353, 51 pgs.			
	NPL347	9711 to 7711 Migration, Application Note, Hi/fn, 1997-99, HIFN 000354-361, 8 pgs.			
	NPL348	Specification Update, 9711 Data Compression Processor, Hi/fn, 1997-99, HIFN 000362-370, 9 pgs.			
	NPL349	Differences Between the 9710 & 9711 Processors, Application Note, Hi/fn, 1997-99, HIFN 000371-77, 7 pgs.			
	NPL350	Specification Update, 9710 Data Compression Processor, Hi/fn, 1997-99, HIFN 000378-388, 11 pgs.			
	NPL351	9706/9706A Data Compression Coprocessor Data Sheet, Stac Electronics, 1991-97, HIFN 000389-473, 85 pgs.			

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2-1-10-10-10-10-10-10-10-10-10-10-10-10-1	Examiner Name	BOCURE, Tesfaldet
Sheet 44 of 94	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS		
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2	
	NPL352	9705/9705A Data Compression Coprocessor, Stac Electronics, 1988-96, HIFN 000474-562, 88 pgs.		
NPL353 9705/9705A Data Compression Coprocessor Data Sheet, Stac Electronics, 1988-96, HIFN 000563-649, 87 pgs.				
NPL354 9700/9701 Compression Coprocessors, Hi/fn, 1997, HIFN 000650-702, 53 pgs.				
NPL355 Data Sheet 9610 Data Compression Processor, Hi/fn, 1997-98, HIFN 000703-744 pgs.				
NPL356 Specification Update 9610 Data Compression Processor, Hi/fn, 1997-99, 751, 7 pgs.				
	NPL357	9705 Data Compression Coprocessor, Stac Electronics, 1988-92, HIFN 000752-831, 80 pgs.		
	NPL358	9705 Network Software Design Guide, Application Note, Stac Electronics, 1990-91, HIFN 000832-861, 30 pgs.		
NPL359 Data Sheet 9601 Data Compression Processor, Hi/fn, May 21, 1998, HIFN 59 pgs.		Data Sheet 9601 Data Compression Processor, Hi/fn, May 21, 1998, HIFN 000862-920, 59 pgs.		
	NPL360	7751 Encryption Processor Reference Kit, Hi/fn, April 1999, HIFN 000921-1114, 194 pgs.		
	NPL361	Hardware Data Book, Hi/fn, November 1998, HIFN 001115-1430, 316 pgs.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					Filing Date	September 20, 2013	
					First Named Inventor	James J. FALLON	
					Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	45	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Initials* No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2		
	NPL362	Data Compression Data Book, Hi/fn, January 1999, HIFN 001431-1889, 459 pgs.			
NPL363 Reference Software 7751 Encryption Processor, Hi/fn, November 1998, HIFN 00216 2201, 38 pgs.					
NPL364 Interface Specification for Synergize Encoding/Decoding Program, JPB, October 10 1997, HIFN 002215-2216, 2 pgs.					
NPL365 Anderson, Chip, Extended Memory Specification Driver, 1998, HIFN 002217-2 pgs.					
NPL366 Whiting, Doug, LZS Hardware API, March 12, 1993, HIFN 002265-68, 4 p.					
	NPL367	Whiting, Doug, Encryption in Sequoia, April 28, 1997, HIFN 002309-2313, 5 pgs.			
	NPL368	LZS221-C Version 4 Data Compression Software, Data Sheet, Hi/fn, 1994-97, HIFN 002508-2525, 18 pgs.			
	NPL369 eXtended Memory Specification (XMS), ver. 2.0, Microsoft, July 19, 1988, HIFT 002670-2683, 14 pgs.				
	NPL370	King, Stanley, Just for Your Info – From Microsoft 2, May 4, 1992, HIFN 002684-2710, 27 pgs.			
	NPL371	eXtended Memory Specification (XMS), ver. 2.0, Microsoft, July 19, 1988, HIFN 002711-2724, 14 pgs.			

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	MAT	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)					First Named Inventor	James J. FALLON	
					Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	46	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2	
	NPL372	Advanced LZS Technology (ALZS), Whitepaper, Hi/fn, June 1, 1998, HIFN 002725-2727, 3 pgs.		
NPL373 Secure Tape Technology (STT) Whitepaper, Hi/fn, June 1, 1998, HIFN 002728-2733, 6 pgs.				
NPL374 SSLRef 3.0 API Details, Netscape, November 19, 1996, HIFN 002734-2778, 45 pgs.				
NPL375 LZS221-C Version 4 Data Compression Software Data Sheet, Hi/fn, 1994-97, HIFN 002779-2796, 18 pgs.				
	NPL376 MPPC-C Version 4 Data Compression Software Data Sheet, Hi/fn, 1994-1997, HIFN 002797-2810, 14 pgs.			
	NPL377 Magstar MP Hardware Reference B Series Models Document GA32-0365-01, 1996-1997, [IBM_1_601 pages 1-338], 338 pages.			
	NPL378	Magstar MP 3570 Tape Subsystem, Operator Guide, B-Series Models, 1998-1999, [IBM_1_601 pages 339-525], 188 pages.		
	NPL379 Preview, IBM Magstar 3590 Tape System Enhancements, Hardware Announcement February 16, 1999, [IBM_1_601 pages 526-527], 2 pgs.			
	NPL380	New IBM Magstar 3590 Models E11 and E1A Enhance Tape Drive Performance, Hardware Announcement, April 20, 1999, [IBM_1_601 pages 528-540] 13 pgs.		
	NPL381	NEW IBM Magstar 3590 Model A60 Dramatically Enhances Tape Drive Performance, Hardware Announcement July 27, 1999, [IBM_1_601 pages 541-550] 10 pgs.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2	Examiner Name	BOCURE, Tesfaldet
Sheet 47 of 94	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2	
	NPL382	The IBM Magstar MP Tape Subsystem Provides Fast Access to Data, September 3, 1996, Announcement No. 196-176, [IBM_1_601 pages 551-563] 13 pgs.		
NPL383 IBM 3590 High Performance Tape Subsystem, April 10, 1995, Announcement 195- [IBM_1_601 pages 564-581] 18 pgs.				
NPL384 Standard ECMA-222 (June 1995): ECMA – Standardizing Information and Communications Systems, Adaptive Lossless Data Compression Algorithm, [IBM_1_601 pages 582-601] 20 pgs.				
	IBM 3590 and 3494 Revised Availability, Hardware Announcement August 8, 1995, [IBM_743_1241 page 1] 1 pg.			
	NPL386	Direct Delivery of IBM 3494, 3466, and 3590 Storage Products, Hardware Announcement, September 30, 1997, Announcement 197-297, [IBM_743_1241 pages 2-3] 2 pgs.		
	NPL387	IBM Magstar 3590 Enhances Open Systems, Hardware Announcement February 9, 1996, Announcement 198-014, [IBM_743_1241 pages 4-7] 4 pgs.		
	NPL388	Hardware Withdrawal: IBM Magstar 3590 A00 Controller – Replacement Available, Announcement Number 197-267, Withdrawal Announcement, December 9, 1997, [IBM_743_1241 page 9] 1 pg.		
NPL389 IBM Magstar 3590 Tape Subsystem, Introduction and Planning CGA32-0329007, [IBM_743_1241 pages 10-499] 490 pgs.		IBM Magstar 3590 Tape Subsystem, Introduction and Planning Guide, Document No. GA32-0329007, [IBM_743_1241 pages 10-499] 490 pgs.		
	NPL390	NetMeeting 2.0 Reviewers Guide, April 1997, [MSCS_298_339] 42 pgs.		
	NPL391	Microsoft NetMeeting Compatible Products and Services Directory, April 1997, [MSCS_242_297] 56 pgs.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known	
					Application Number	14/033,245
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)					Filing Date	September 20, 2013
					First Named Inventor	James J. FALLON
					Art Unit	2634
					Examiner Name	BOCURE, Tesfaldet
Sheet	48	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL392	Microsoft NetMeeting "Try This!" Guide, 1997, [MSCS_340_345] 6 pgs.	
	NPL393	The Professional Companion to NetMeeting 2 – The Technical Guide to Installing, Configuring, and Supporting NetMeeting 2.0 in Your Organization -Microsoft NetMeeting 2.0, 1996-97, [MSCS_2_241] 240 pgs.	
	NPL394	CUSeeMe 3.1.2 User Guide, November 1998, [RAD_1_220] 220 pgs.	
	NPL395	MeetingPoint Conference Server Users Guide 3.0, November 1997, [RAD_221_548] 328 pgs.	
	NPL396	MeetingPoint Conference Server Users Guide 4.0.2, December 1999, [RAD_549_818] 270 pgs.	
	NPL397	MeetingPoint Conference Service Users Guide 3.5.1, December 1998, [RAD_819_1062] 244 pgs.	
	NPL398	Enhanced CUSeeMe – Authorized Guide, 1995-1996, [RAD_1063_1372] 310 pgs.	
	NPL399	Meeting Point Reader File, June 1999, [RAD_1437_1445] 9 pgs.	
	NPL400	Press Release - White Pine Announces Launch of MeetingPoint Conferences Server, October 9, 1997, [RAD_1738_1739] 2 pgs.	
	NPL401	Press Release - Leading Network Service Providers Line Up to Support White Pine's MeetingPoint Conference Server Technology, October 9, 1997, [RAD_1740_1743] 4 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORM	MAT	ION	DISCL	OSURE	Filing Date	September 20, 2013	
STATE	MEN	ТR	V APPI	ICANT	First Named Inventor	James J. FALLON	
	STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	2634	
,			,	Examiner Name	BOCURE, Tesfaldet		
Sheet	49	of	94		Attorney Docket Number	2855.0050007	

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2			
	NPL402	BYTE - A New MeetingPoint for Videoconferencing, October 9, 1997, [RAD_1744_1750] 7 pgs.				
	NPL403	Declaration of Patrick Gogerty, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, executed May 8, 2009, 3 pgs.				
	NPL404	Other Responses to Interrogatories, Requests for Admission, and Objections to Requests for Admission filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)				
	NPL405	Deposition Transcript of persons involved in litigation, including inventor James Fallon, and third-party witnesses Jim Karp, Ke-Chiang Chu, and Frank V. DeRosa filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)				
	NPL406	Office of Rebuttal Expert Reports of Dr. Brian Von Herzen, Lester L. Hewitt and Dr. James A. Storer, and Expert Reports of Dr. James A. Storer and Dr. Nathaniel Polish filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)				
	NPL407	Proposed Amended Infringement Contentions filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)				
	NPL408	Documents Concerning Agreements for Meiations and Mediation Proceedings Between Plaintiffs and Some of the Defendants filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)				
	NPL409	Plaintiff's Oppostion to Joint Defendants' Motion for Parital Summary Judgment of Invalidity of some of the patents in Suit for indefiniteness, including the '104 patent, Blue Coat's response to this objection, Blue Coat's Reply to Plaintiff's response and Plaintiff's Sur-Reply to Blue Coat's Reply filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)				

۱	miner	/Tesfaldet Bocure/	Date Considered	02/22/2014
51gn	nature	/ 1 0010100 t 200010;	Considered	() L. () L. () (

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known	
					Application Number	14/033,245
INFORMATION DISCLOSURE					Filing Date	September 20, 2013
STATE	MEN	TR	Y APPLICAN	Г	First Named Inventor	James J. FALLON
			ts as necessarv)		Art Unit	2634
				Examiner Name	BOCURE, Tesfaldet	
Sheet	50	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL410	Plaintiff's Amended P.R. 3-1 Disclosures and Infringement Contentions, Defendants' Motions to Strick unauthorized portions of these disclosures, and Sur-Replies to these Motions filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)	
	NPL411	Expert Report of Dr. James A. Storer Regarding Non-Infringement that contains positions related to the validity of the patents in suit filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, filed April 18, 2008 and terminated February 2, 2010. (PTO Notified Document NOT submitted)	
	NPL412	Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, No. 09 CV 7868 (S.D.N.Y.) 2009.09.23 Order Dismissing Case in Favor of Texas Action, 1 pg.	
	NPL413	Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, No. 09 CV 7868 (S.D.N.Y.) 2009.09.30 Response to Order re Transfer, 103 pgs.	
	NPL414	Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, No. 09 CV 7868 (S.D.N.Y.) 2009.10.07 Reply Letter regarding Judge Berman 2009.09.23 Order re Transfer, 182 pgs.	
	NPL415	Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, No. 09 CV 7868 (S.D.N.Y.) 2009.10.15 Order Staying Case Until TX Action Decided, 3 pgs.	
	NPL416	Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, No. 09 CV 7868 (S.D.N.Y.) 2009.09.11 Complaint - DJ SD NY, 41 pgs.	
	NPL417	Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, No. 09 CV 7868 (S.D.N.Y.) 2009.09.11 Rule 7.1 Disclosure Statement for Thomson Reuters, 1 pg.	
	NPL418	Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, No. 09 CV 7868 (S.D.N.Y.) Order- Stay Pending Transfer Motion Confirmed 10_15_09, 3 pgs.	
	NPL419	Opinion and Order of United States Magistrate Judge regarding Claim Construction, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, issued June 22, 2009, 75 pgs.	

Examiner	/Tesfaldet Rocure/	Date	00/00/004 4
Signature	/ restaluet bocure/	Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known	
INFORMATION DISCLOSURE					Application Number	14/033,245
					Filing Date	September 20, 2013
STATE	MEN'	TR	V APPLI	CANT	First Named Inventor	James J. FALLON
STATEMENT BY APPLICANT (Use as many sheets as necessary)					Art Unit	2634
, , , , , , , , , , , , , , , , , , , ,					Examiner Name	BOCURE, Tesfaldet
Sheet	51	of	94		Attorney Docket Number	2855.0050007

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2				
	NPL420	Script for Realtimes' Technology Tutorial Presented to the Magistrate Judge in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, March 16, 2009, 69 pgs.					
	NPL421	Opinion and Order of United States Magistrate Judge regarding Plaintiff's Motion to Strike Unauthorized New Invalidity Theories from Defendant Citrix's Opening and Reply Briefs in Support of its Motion for Summary Judgment of Invalidity, Realtime Data, LLD/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, issued Dec. 8, 2009, 10 pgs					
	NPL422	Defendant Citrix Systems, Inc.'s Notice Pursuant to 35 U.S.C. Section 282 Disclosures, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 11, 2009, 7 pgs.					
	NPL423	Blue Coat Defendants' Notice Pursuant to 35 U.S.C. Section 282 Disclosures, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 11, 2009, 7 pgs.					
	NPL424	Expand Networks' 35 U.S.C. Section 282 Disclosures, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 11, 2009, 4 pgs.					
	NPL425	Expand Networks' 35 U.S.C. Section 282 Disclosures (Amended), Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 11, 2009, 5 pgs.					
	NPL426	Defendant Citrix Systems, Inc.'s Notice of Obviousness Combinations Pursuant to Court Order, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 11, 2009, 3 pgs.					
	NPL427	Order of United States Magistrate Judge regarding Motion to Limit the Number of Prior Art References to be Asserted at Trial, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08ev144, filed December 21, 2009, 6 pgs.					
	NPL428	Expand Defendants' Notice of Obviousness Combinations Pursuant to Court Order, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 22, 2009, 3 pgs.					
	NPL429	Blue Coat Systems, Inc. and 7-Eleven, Inc.'s Notice of Obviousness Combinations to be Used at Trial, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 22, 2009, 38 pgs.					

Examiner	/Toofoldot Poouro/	Date	00/00/004
Signature	/ restaidet bocure/	Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known	
					Application Number	14/033,245
INFORMATION DISCLOSURE					Filing Date	September 20, 2013
STATEMENT BY APPLICANT (Use as many sheets as necessary)				CANT	First Named Inventor	James J. FALLON
					Art Unit	2634
, , , , , , , , , , , , , , , , , , , ,				Examiner Name	BOCURE, Tesfaldet	
Sheet	52	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL430	Defendant Citrix Systems, Inc's Notice of Other Prior Art References Within the Scope of the References Discussed at the Dec. 17, 2009 Hearing, Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08cv144, filed December 29, 2009, 6 pgs.	
	NPL431	Docket Listing downloaded March 10, 2010 for Realtime Data, LLC D/B/A Ixo v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08ev144, filed April 18, 2008, 165 pgs.	
	NPL432	CCITT Draft Recommendation T.4, RFC 804, January 1981, 12 pgs.	
	NPL433	SNA Formats, IBM Corporation, 14th Ed., November 1993, 3 pgs.	
	NPL434	Munteanu et al, "Wavelet-Based Lossless Compression Scheme with Progressive Transmission Capability," John Wiley & Sons, Inc., Int'l J. Imaging Sys. Tech., vol. 10, (1999) pgs 76-85.	
	NPL435	Forchhammer and Jensen, "Data Compression of Scanned Halftone Images," IEEE Trans. Commun., vol. 42, FebApr. 1994, pgs 1881-1893.	
	NPL436	Christopher Eoyang et al., "The Birth of the Second Generation: The Hitachi S-820/80," Proceedings of the 1998 ACM/IEEE Conference on Supercomputing, pgs 296-303 (1998)	
	NPL437	Transcript for Hearing on Motions for Summary Judgment, Realtime Data, LLC d/b/a IXO v. Packeteer, Inc. et al, Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, 133 pgs, November 8, 2009.	
	NPL438	Transcript for Motions Hearing (Including Supplemental Claim Construction Hearing), Realtime Data, LLC d/b/a IXO v. Packeteer, Inc. et al, Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, 88 pgs, November 10, 2009	
	NPL439	Nelson, "The Data Compression Book," M&T Books (2nd Ed. 1996), 283 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE					Filing Date	September 20, 2013	
STATE	MEN	T R	V APPLI	ICANT	First Named Inventor	James J. FALLON	
STATEMENT BY APPLICANT (Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	53	of	94		Attorney Docket Number	2855.0050007	

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2					
	NPL440	"The Authoritative Dictionary of IEEE Standards Terms," 7th Ed. 2000, pg. 273.						
	NPL441	Larousse Dictionary of Science and Technology, 1st Ed., 1995, pg. 916.						
NPL442 Plaintiff Realtime Data's Motion to Strike Unauthorized New Invalidity Theories for Defendant Citrix's Opening and Reply Briefs in Support Its Motion for Summary Judgment of Invalidity of U.S. Patent No. 7,352,300 (September 22, 2009),14 pgs.								
Realtime Data's Reply in Support of Its Motion to Strike Unauthorized New Inva Theories from Defendant Citrix's Opening and Reply Briefs in Support of Its Mot Summary Judgment of Invalidity of U.S. Patent No. 7,352,300 (October 19, 2009) pgs.								
	NPL444	Defendant Citrix Systems, Inc.'s Sur-Reply in Opposition to Realtime Data LLC's Motion to Strike Unauthorized New Invalidity Theories from Citrix's Opening and Reply Briefs in Support of Its Motion for Summary Judgment of Invalidity of U.S. Patent No. 7,352,300 (October 30, 2009), 9 pgs.						
	NPL445	Blue Coat Defendants' Response to Realtime Data, LLC's Notice Re Proposed Construction of "Data Storage Rate" (November 11, 2009), 3 pgs.						
NPL446 Order for Supplemental Briefing on Blue Coat 7-11 Motion for Partial SJ on No infringement of Pat 6,601,104 (November 13, 2009), 6 pgs.								
NPL447 Memorandum Opinion and Order (November 23, 2009), 15 pgs.								
	NPL448	Memorandum Opinion and Order (December 8, 2009), 10 pgs.						
	NPL449	Expand's Conclusions of Fact and Law Regarding Defense of Inequitable Conduct Concerning the Unenforceability of U.S. Patent No. 7,321,937 (November 12, 2009), 3 pgs.						

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2	Examiner Name	BOCURE, Tesfaldet
Sheet 54 of 94	Attorney Docket Number	2855.0050007

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2					
	NPL450	Realtime Data's Sur-reply Supplemental Claim Construction Brief Concerning Whether the Asserted Claims of the '104 Patent are Product Claims (December 23, 2009), 6 pgs.						
	NPL451	Order regarding Defendant Citrix Systems, Inc's Notice of Other Prior Art References Within the Scope of the References Discussed at the December 17, 2009 Hearing (December 30, 2009), 3 pgs.						
	NPL452	Network Working group RFC 2068 (Jan. 1997), 163 pgs.						
NPL453 Network Working group RFC 2616 (Jun. 1999), 114 pgs.								
	NPL454	Network Working group RFC 1945 (May 1996), 61 pgs.						
	NPL455	Network Working group RFC 1950 (May 1996), 10 pgs.						
	NPL456	Network Working group RFC 1951 (May 1996), 15 pgs.						
	NPL457	Network Working group RFC 1952 (May 1996), 12 pgs.						
	NPL458	Notice of Plaintiff Realtime Data LLC's Proposed Supplemental Construction of "Data Storage Rate" In Response to the Court's Comments During the November 10, 2009 Supplemental Claim Construction Hearing (November 10, 2009), 4 pgs.						
	NPL459	Citrix's Amended Invalidity Contentions, Including Appendices G2-G8 (December 15, 2009), 509 pgs.						

				_
Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014	1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE					Filing Date	September 20, 2013	
STATE	MEN	T R	V APPLI	CANT	First Named Inventor	James J. FALLON	
STATEMENT BY APPLICANT (Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	55	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2	
	NPL460	"Plaintiff Realtime Data's Opposition to Defendant F5 Networks' Motion for Summary Judgment that Claims 18-20 of U.S. Patent No. 7,321,937 are Invalid (August 25, 2009)" Civil Action No. 6:08-cv-00144-LED Jury Trial Demanded Filed Under Seal; In the United States District Court for the Eastern District of Texas Tyler Division. [Under Seal - Document NOT Submitted]		
	NPL461	Declaration of Dr. James W. Modestino relating to U.S. Patent No. 7,161,506, March 15, 2010, 49 pgs.		
NPL462 Second Declaration of Dr. George T. Ligler under 37 C.F.R. §1.132 relating Patent No. 6,601,104, executed May 5, 2010, 3 pgs.				
	Realtime Data, LLC Complaint for Patent Infringement, Realtime Data, LLC D/B/A IXO v. CME Group Inc., et al. (II), District Court for the Eastern District of Texas, No. 6:10-cv-246, filed May 11, 2010, 24 pages.			
	NPL464	Realtime Data, LLC Complaint for Patent Infringement, Realtime Data, LLC D/B/A IXO v. Thompson Reuters Corporation, et al. (II), District Court for the Eastern District of Texas, No. 6:10-cv-247, filed May 11, 2010, 15 pages.		
	Realtime Data, LLC Complaint for Patent Infringement, Realtime Data, LLC D/B/A IXO v. Morgan Stanley, et al. (II), District Court for the Eastern District of Texas, No. 6:10-cv-248, filed May 11, 2010, 27 pages.			
	NPL466	Declaration of Padmaja Chinta In Support of Realtime Data's Reply Claim Construction Brief (including Exhibits A-S), Realtime Data, LLC D/B/A IXO v. Packeteer, Inc., et al., District Court for the Eastern District of Texas, No. 6:08-cv-00144-LED, dated March 30, 2009, 217 pgs.		
	NPL467	Extended European search report issuing from European Patent Application 09150508.1, August 3, 2010, 5 pgs.		
	NPL468	Complaint, Thomson Reuters Corporation v. Realtime Data, LLC D/B/A IXO, Southern District of New York, No. 2:09-cv-7868-RMB, filed September 11, 2009, 6 pages.		
	NPL469	Realtime Data, LLC Complaint for Patent Infringement, Realtime Data, LLC D/B/A IXO v. MetroPCS Texas, LLC et al., District Court for the Eastern District of Texas, No. 6:10-cv-00493, filed September 23, 2010, 14 pages.		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE					Filing Date	September 20, 2013	
STATE	MEN	T R	V APPLI	ICANT	First Named Inventor	James J. FALLON	
STATEMENT BY APPLICANT (Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	56	of	94		Attorney Docket Number	2855.0050007	

	NON PATENT LITERATURE DOCUMENTS						
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2				
	NPL470	Complaint and Demand for Jury Trial, Chicago Board Options Exchange, Incorporated v. Realtime Data, LLC D/B/A IXO, United States District Court for the Northern District of Illinois, No. 09 CV 4486, filed July 24, 2009, 6 pages.					
	NPL471	Realtime's Response in Opposition to the Defendants' Joint Objections to Report and Recommendation of Magistrate Regarding Motion for Partial Summary Judgment of Invalidity for Indefiniteness, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 27, 2009, 15 pgs.					
	NPL472	Reply to Realtime's Response to Blue Coat Defendants' Objections to Report and Recommendation of United States Magistrate Judge Regarding Motion for Partial Summary Judgment of Invalidity for Indefiniteness Entered June 23, 2009, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, July 31, 2009, 3 pgs.					
	NPL473	Realtime Data's Sur-Reply in Opposition to the Defendants' Joint Objections to Report and Recommendation of Magistrate Regarding Motion for Partial Summary Judgment of Invalidity for Indefiniteness, in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc. et al., Civil Action No. 6:08-cv-00144-LED; U.S. District Court for the Eastern District of Texas, August 3, 2009, 3 pgs.					
	NPL474	Defendants' Invalidity Contentions, Realtime Data, LLC d/b/a IXO, vs. MetroPCS Texas, LLC, et al., Case No. 6:10-CV-00493-LED, In the United States District Court Eastern District of Texas Tyler Division, June 17, 2011, 138 pages.					
	NPL475	Appendix A, Claim Charts A-1 to A-25, from Invalidity Contentions, Realtime Data LLC v. MetroPCS Texas, LLC, et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 173 pages.					
	NPL476	Appendix B, Claim Charts B-1 to B-23, from Realtime Data LLC v. MetroPCS Texas, LLC et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 809 pages.					
	NPL477	Appendix C, Claim Charts C-1 to C-22, from Realtime Data LLC v. MetroPCS Texas, LLC et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 530 pages.					
	NPL478	Appendix D, Claim Charts D-1 to D-16, from Realtime Data LLC v. MetroPCS Texas, LLC et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 253 pages.					
	NPL479	Appendix E, Claim Charts E-1 to E-20, from Realtime Data LLC v. MetroPCS Texas, LLC et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 397 pages.					

				_
Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014	1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	ON	DISCLOSU	IRE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)					First Named Inventor	James J. FALLON	
					Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	57	of	94		Attorney Docket Number	2855.0050007	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2			
	NPL480	Appendix F, Claim Charts F-1 to F-19, from Realtime Data LLC v. MetroPCS Texas, LLC et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 462 pages.				
	NPL481	Appendix G, Claim Charts G-1 to G-18, from Realtime Data LLC v. MetroPCS Texas, LLC et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 548 pages.				
	NPL482	Appendix H, Claim Charts H-1 to H-22, from Realtime Data LLC v. MetroPCS Texas, LLC et al., Case No. 6:10-CV-00493-LED, June 17, 2011, 151 pages.				
NPL483 AMIR et al., "An Application Level Video Gateway," 1995, 11 pages.		AMIR et al., "An Application Level Video Gateway," 1995, 11 pages.				
	NPL484	KATZ, Randy H. and Eric A. Brewer, "The Bay Area Research Wireless Access Network: Towards a Wireless Overlay Internetworking Architecture," Computer Science Division, EECS Department, U.C. Berkeley, 1995, 56 pages.				
	NPL485	KATZ, R.H. and E.A. Brewer, "The Bay Area Research Wireless Access Network (BARWAN)," UC Berkeley, 1995, 68 pages.				
	NPL486	BRUCKMAN, Alfred and Andreas UHL, "Selective Medical Image Compression Using Wavelet Techniques," June 1998, 23 pages.				
	NPL487 CROWLEY et al., "Dynamic Compression During System Save Operations," May 1 1984, 3 pages.					
	NPL488	HERSHKOVITS, "Universal Data Compression with Finite-Memory," February 1995, 99 pages.				
	NPL489	KATZ et al., "The Bay Area Research Wireless Access Networks (BARWAN)," 1996, 6 pages.				

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE					Filing Date	September 20, 2013	
STATEMENT BY APPLICANT					First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	58	of	94		Attorney Docket Number	2855.0050007	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2			
	NPL490	KLEIN, "Compression and Coding in Information Retrieval Systems," June 1987, pages vii-viii, 1-4, 10-15, 22-30, 43-48, 62-66, 86-89, 108-111.				
	NPL491	REGHBATI, "An Overview of Data Compression Techniques," April 1981, pages 71-75.				
	NPL492	Defendants' Joint Preliminary Invalidity Contentions filed in Realtime Data, LLC D/B/A IXO v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, United States District Court for the Eastern District of Texas Tyler Division, December 8, 2008, 19 pages.				
NPL493 Appendix A, Claim Charts A-1 to A-46, from Realtime Data, LLC v. Packete al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 345 pages.		Appendix A, Claim Charts A-1 to A-46, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 345 pages.				
	NPL494	Appendix B, Claim Charts B-1 to B-17, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 1893 pages.				
	NPL495	Appendix C, Claim Charts C-1 to C-34, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 1,055 pages.				
	NPL496	Appendix D, Claim Charts D-1 to D-14, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 197 pages.				
	NPL497	Appendix E, Claim Charts E-1 to E-11, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 735 pages.				
	NPL498	Appendix F, Claim Charts F-1 to F-11, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 775 pages.				
	NPL499	Appendix G Claim Charts G-1 to G-8 from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 567 pages.				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATE	MEN	T R	V APPLI	ICANT	First Named Inventor	James J. FALLON	
STATEMENT BY APPLICANT (Use as many sheets as necessary)					Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet	59	of	94		Attorney Docket Number	2855.0050007	

	NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2			
	NPL500	Appendix H, Claim Charts H-1 to H-18, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 97 pages.				
	NPL501	Appendix I, Claim Charts I-1 to I-18, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-ev-144-LED, December 8, 2008, 146 pages.				
	NPL502	Appendix J, Prior Art Chart, from Realtime Data, LLC v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-144-LED, December 8, 2008, 25 pages.				
NPL503 Contentions filed in Realtime Data, LLC D/B/A/IXO v. Packeteer, Inc., et al., Civi Action No. 6:08-cv-00144-LED, United States District Court for the Eastern District Court for th		Realtime Data, LLC's [Corrected] P.R. 3-1 Disclosures and Preliminary Infringement Contentions filed in Realtime Data, LLC D/B/A/IXO v. Packeteer, Inc., et al., Civil Action No. 6:08-cv-00144-LED, United States District Court for the Eastern District of Texas Tyler Division, October 8, 2008, 591 pages.				
	Amended Answer and Counterclaims of Defendants Blue Coat Systems, Inc., Packeteer, Inc., 7-Eleven, Inc., ABM Industries, Inc., ABM Janitorial Services-Sout Central, Inc., and Build-A-Bear Workshop, Inc. to Plaintiff's First Amended Comple for Patent Infringement filed in Realtime Data, LLC d/b/a/IXO v. Packeteer, Inc., et Civil Action No, 6:08cv144-LED, United States District Court for the Eastern Distr of Texas Tyler Division, October 28, 2008, 81 pages.					
	NPL505	"Packeteer iShaper, PacketShaper and iShared Appliances Drive Intelligent Application Acceleration Across Coogee Resources Wide Area Network", Business Wire, accessed on August 25, 2008, 2 pages.				
	NPL506	WHITING, Doug, "Deflate vs. LZS", November, 2000, 2 pages.				
	NPL507 "The Packeteer Q4 2005 Financial Conference Call", January 26, 2006, 9 pages.					
	NPL508 "Data Compression Ratio", Wikipedia, the free encyclopedia, accessed on August 10, 2011 from http://en.wikipedia.org/wiki/Data_compression_ratio, 2 pages.					
	NPL509	"Hard Disk Data Control Method", IBM Technical Disclosure Bulletin NN9302301, Vol. 36, No. 2, February 1993, pages 301-302.				

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORM	AAT I	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT					First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	60	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL510	Defendants' Supplemental Invalidity Contentions, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed May 17, 2012, 54 pages.	
	NPL511	Expert Report of Michael Brogioli Regarding Asserted Claims of U.S. Patent Nos. 7,417,568 and 7,777,651, with Exhibit A: List of Materials Reviewed, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 26 pages.	
	NPL512	Exhibit 1, Curriculum Vitae of Michael C. Brogioli, from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 9 pages.	
	NPL513	Exhibit 2, [Proposed] Order Adopting the Parties' Agreed Claim Constructions, from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 6 pages.	
	NPL514	Exhibit 3, The Parties' Disputed Claim Constructions, revised May 3, 2012, from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 6 pages.	
	NPL515	Exhibit 4, E-Mail Correspondence between James Shalek and Brett Cooper, dated May 17 and 18, 2012, from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 3 pages.	
	NPL516	Exhibit 5, Source Code Chart for U.S. Pat. No. 7,417,568 comparing representative elements of the NQDSLIB source code (April 29, 2002 or earlier), from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 3 pages.	

Examiner		Date	02/22/2014
Signature	/Tesfaldet Bocure/	Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	ION	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT					First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	61	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalogetc.), date, page(s), volume number, publisher, city and/or country where publish			
	NPL517	Exhibit 6, Source Code Chart for U.S. Pat. No. 7,417,568 comparing representative elements of the NQDSLIB source code (May 02, 2002 or earlier), from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 3 pages.			
	NPL518	Exhibit 7, Source Code Chart for U.S. Pat. No. 7,777,651 comparing representative elements of the NQDSLIB source code (April 29, 2002 or earlier), from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 21 pages.			
	NPL519	Exhibit 8, Source Code Chart for U.S. Pat. No. 7,777,651 comparing representative elements of the NQDSLIB source code (May 02, 2002 or earlier), from Expert Report, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 21 pages.			
	NPL520	Invalidity Expert Report of Dr. James A. Storer (Redacted), filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 227 pages.			
	NPL521	Defendants' Claim Construction Tutorial, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 15, 2012, 54 pages.			
	NPL522	Opinion and Order (Markman), filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 22, 2012, 41 pages.			
	NPL523	Opinion and Order (Partial Motion for Summary Judgment re Written Description: "Data Packets"), filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 26, 2012, 8 pages.			

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				Complete if Known		
					Application Number	14/033,245
INFORN	IAT	ION	DISCLOSURE		Filing Date	September 20, 2013
STATEMENT BY APPLICANT (Use as many sheets as necessary)					First Named Inventor	James J. FALLON
					Art Unit	2634
, , , , , , , , , , , , , , , , , , ,				Examiner Name	BOCURE, Tesfaldet	
Sheet	62	of	94		Attorney Docket Number	2855.0050007

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2				
	NPL524	Opinion and Order (Partial Motion for Summary Judgment re Data Decompression) filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 27, 2012, 21 pages.					
	NPL525	Technology Tutorial (.exe file), presentation filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed June 2012 (submitted on accompanying CD-ROM).					
	NPL526	Lilley, J., et al., "A Unified Header Compression Framework for Low-Bandwidth Links," MobiCom 2000, August 6-11, 2000. Boston, MA, 12 pages.					
	NPL527	"WAN Link Compression on HP Routers," Hewlett Packard Application Note, May 1995, 7 pages.					
	NPL528	"User Manual for XMill," 2001, 21 pages.					
	NPL529	"High Speed Network, Developer's Guide," Standard & Poor's Comstock, Version 1.1, 1994, pages 1-42, and 53-124.					
	NPL530	Larmouth, J., "ASN.1 Complete", Academic Press, 2000, pages xxi-xxvii, 1-45, 115-130, 168-172, 174, 270-276, and 443-472.					
	NPL531	Petty, J., "PPP Hewlett-Packard Packet-by-Packet Compression (HP PPC) Protocol," draft-ietf-ppext-hpppc-00.txt., October 1993, 7 pages.					
	NPL532	Friend, R., et al., "IP Payload Compression Using LZS," Network Working Group, Request for Comments: 2395, Category: Informational, December 1998; 9 pages.					
	NPL533	"Information technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation," Series X: Data Networks and Open System Communications, OSI networking and system aspects - Abstract Syntax Notation One (ASN.1), International Telecommunication Union, ITU-T Recommendation X.680, December 1997, 109 pages.					

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	IAT	[ON	DISCLO	SURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT					First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
, , , , , , , , , , , , , , , , , , , ,				Examiner Name	BOCURE, Tesfaldet		
Sheet	63	of	94		Attorney Docket Number	2855.0050007	

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTER appropriate), title of the item (book, magazine, journetc.), date, page(s), volume number, publisher, city at	al, serial, sympos	ium, catalog,	\mathbf{T}^2			
"Information technology - ASN.1 encoding rules - Specification of Packed Enc Rules (PER), Series X: Data Networks and Open System Communications, OS networking and system aspects - Abstract Syntax Notation One (ASN.1), Interr Telecommunication Union, ITU-T Recommendation X.691, December 1997, 5								
	Opinion and Order, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed September 24, 2012, 48 pages.							
Memorandum Opinion and Order, filed in Realtime Data, LLC d/b/a IXO, v. Metrol Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for Eastern District of Texas, filed October 1, 2012, 22 pages.								
	T-Mobile's Motion for Leave to Supplement Trial Witness List & Invalidity Contentions, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 16 pages. Exhibit 2, Defendant T-Mobile's Supplemental Invalidity Contentions, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 13 pages. Exhibit 3, FNLTD-74478, Flash Networks: Commercial Part Written by Flash Networks for Cegetel, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 6 pages. Exhibit 4, FNLTD-74444, Response to Cegetel RFP: Technical Section, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 5 pages. Exhibit 5, FNLTD-74926, Flash Networks Optimization Products Selected by AT&T Wireless, Flash Networks, Inc. Press Release, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 3 pages. Exhibit 6, Flash Networks: Harmony, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 6 pages.							
	NPL543	Exhibit 7, Declaration of Adi Weiser, filed in Realtime MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv Court for the Eastern District of Texas, filed December	-00493, United S	tates District				
Examiner Signature		/Tesfaldet Bocure/	Date Considered	02/22/2014				

Signature /Tesfaldet Bocure/ Considered 02/22/2014	Examiner Signature	Toofoldot Doorrol	Date Considered	02/22/2014
--	-----------------------	-------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	n 1449/PT	O			Complete if Known		
					Application Number	14/033,245	
INFOR	MAT	ION	DISCLOSUR	\mathbf{E}	Filing Date	September 20, 2013	
STATE	STATEMENT BY APPLICANT				First Named Inventor	James J. FALLON	
	(Use as many sheets as necessary)			•	Art Unit	2634	
		Examiner Name	BOCURE, Tesfaldet				
Sheet	64	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2	
	NPL544	Exhibit 8, Declaration of Yoav Weiss, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 4 pages.		
	NPL545	Exhibit 9, Declaration of Richard Luthi, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 4 pages.		
	NPL546	Exhibit 13, Declaration of Gali Weiss, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed December 17, 2012, 4 pages.		
	NPL547 Exhibit 17, P.R. 3-1 Claim Chart for T-Mobile, U.S. Patent No. 7,161,506, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6 cv-00493, United States District Court for the Eastern District of Texas, filed Decem 17, 2012, 33 pages.			
	NPL548 "Flash Networks Introduces NettGain 1100, New Products for Carrriers & Enterpris that Enables Immediate Deployment of Wireless Data Solutions," Press Release, dat March 20, 2001, 2 pages.			
	NPL549	Amended Expert Report of Dr. Cliff Reader, filed in Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC, et al., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed July 30, 2012, 205 pages.		
	NPL550	Final Judgment, filed in Realtime Data, LLC, d/b/a IXO, v. T-Mobile USA, Inc., Civil Action No. 6:10-cv-00493, United States District Court for the Eastern District of Texas, filed March 28, 2013, 1 page.		
	NPL551	Final Judgment Pursuant to Fed. R. Civ. P. 45(b), filed in Realtime Data LLC, d/b/a IXO, v. CME Group Inc., et al., Civil Action No. 1:11-cv-06697, United States District Court Southern District of New York, dated November 9, 2012, 10 pages.		
	NPL552	Final Judgment Pursuant to Fed. R. Civ. P. 45(b), filed in Realtime Data LLC, d/b/a IXO, v. Morgan Stanley, et al., Civil Action No. 1:11-cv-06696, United States District Court Southern District of New York, dated November 9, 2012, 10 pages.		
	NPL553	Final Judgment Pursuant to Fed. R. Civ. P. 45(b), filed in Realtime Data LLC, d/b/a IXO, v. Thomson Reuters Corporation, et al., Civil Action No. 1:11-cv-06698, United States District Court Southern District of New York, dated November 9, 2012, 6 pages.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE				SURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)			CANT	First Named Inventor	James J. FALLON		
				Art Unit	2634		
		Examiner Name	BOCURE, Tesfaldet				
Sheet	65	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL554	Opinion and Order (Motion 10), filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed August 2, 2012, 13 pages.	
	NPL555	Supplemental Order, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed November 9, 2012, 5 pages.	
	NPL556	Memorandum & Order, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed August 2, 2012, 13 pages.	
	NPL557	Amended Opinion & Order, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, ct al., Civil Action No. 1:11-cv-6696, Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al., Civil Action No. 1:11-cv-6697, and Realtime Data, LLC d/b/a IXO v. Thomson Reuters, et al., Civil Action No. 1:11-cv-6698, United States District Court Southern District of New York, filed August 15, 2012, 48 pages.	
	NPL558	Non-Confidential Brief for Plaintiff-Appellant Realtime Data, LLC d/b/a IXO, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley et al., Case Nos. 2013-1092, -1093, -1095, -1097, -1098, -1099, -1100, -1101, and -1103, United States Court of Appeals for the Federal Circuit, filed March 6, 2013, 80 pages.	
	NPL559	Non-Confidential Brief for Defendants - Appellees CME Group, Inc., Board of Trade of the City of Chicago, Inc., The New York Mercantile Exchange, Inc., BATS Trading, Inc., and NASDAQ OMX Group, Inc. and NASDAQ OMX PHLX, Inc., filed in Realtime Data, LLC d/b/a IXO v. CME Group, Inc., et al., Case Nos. 13-1093, -1097, and -1100, United States Court of Appeals for the Federal Circuit, filed May 20, 2013, 74 pages.	
	NPL560	Non-Confidential Reply Brief for Plaintiff-Appellant Realtime Data, LLC d/b/a IXO, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Case Nos. 13-1092, -1093, -1095, -1097, -1098, -1099, -1100, -1101, and -1103, United States Court of Appeals for the Federal Circuit, filed June 19, 2013, 53 pages.	
	NPL561	ChangeLog file for zlib, zlib.net/ChangeLog.txt file, accessed on May 23, 2013, with date references April 11, 1995 - April 28, 2013, 26 pages.	
	NPL562	2.0.39 Kernel Release History, accessed at lwn.net/2001/1018/a/hist-2.0.39.php3, dated October 14, 2001, 8 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	14/033,245
INFORN	AAT	ION	DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT			VAPPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)			-	Art Unit	2634
, , , , , , , , , , , , , , , , , , , ,		Examiner Name	BOCURE, Tesfaldet		
Sheet	66	of	94	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL563	"Linux Kernel," Wikipedia - the Free Encyclopedia, accessed at en.wikipedia.org/wiki/Linux_kernel, accessed on May 9, 2013, 20 pages.	
	NPL564	RUBINI, A., "Booting the Kernel," accessed at www.linux.it/~rubini/docs/boot/, June 1997, 6 pages.	
	NPL565	ZADOK, E., et al., "Fast Indexing: Support for Size-Changing Algorithms in Stackable File Systems," Proceedings of the 2001 Annual USENIX Technical Conference, June 2001, 16 pages.	
	NPL566 Court Docket History for 6:10-cv-00493-LED Realtime Data, LLC d/b/a IXO, v. MetroPCS Texas, LLC et al., downloaded August 9, 2013, 78 pages.		
	NPL567	Court Docket History for 1:09-cv-04486 Chicago Board Options Exchange, Incorporated v. Realtime Data, LLC, downloaded August 9, 2013, 7 pages.	
	NPL568	Court Docket History for 6:08-cv-00144-LED-JDL Realtime Data, LLC d/b/a IXO v. Packeteer, Inc. et al., downloaded August 9, 2013, 119 pages.	
	NPL569	Court Docket History for 6:09-cv-00326-LED-JDL Realtime Data, LLC d/b/a IXO, v. Morgan Stanley et al., downloaded August 9, 2013, 45 pages.	
	NPL570	Court Docket History for 6:09-cv-00327-LED-JDL Realtime Data, LLC d/b/a IXO, v. CME Group Inc. et al., downloaded August 9, 2013, 56 pages.	
	NPL571	Court Docket History for 6:09-cv-00333-LED-JDL Realtime Data, LLC d/b/a IXO v. Thomson Reuters et al., downloaded August 9, 2013, 30 pages.	
	NPL572	Court Docket History for 1:09-cv-07868-RMB Thomson Reuters Corporation v. Realtime Data, LLC, downloaded August 9, 2013, 3 pages.	
	NPL573	Notice of Allowance in Commonly-Assigned U.S. Application. No. 11/651,366, issued April 10, 2009, 7 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2	Examiner Name	BOCURE, Tesfaldet
Sheet 67 of 94	Attorney Docket Number	2855.0050007

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2			
	NPL574 Copy of Non-Final Office Action for U.S. Appl. No. 12/684,624, mailed November 10, 2010, 5 pgs.					
	NPL575	Copy of Notice of Allowance for U.S. Appl. No. 12/123,081, mailed February 17, 2011, 7 pgs.				
	NPL576 Copy of Non-Final Office Action for U.S. Appl. No. 12/688,413, mailed September 2 2010, 13 pgs.					
	NPL577 Copy of Notice of Allowance for U.S. Appl. No. 11/551,211, mailed January 31, 2 4 pgs.					
	NPL578 Copy of Notice of Allowance for U.S. Appl. No. 11/551,211, mailed September 22 2010, 4 pgs.					
	NPL579	Copy of Notice of Allowance for U.S. Appl. No. 11/551,204, mailed January 11, 2011, 4 pgs.				
	NPL580	Copy of Notice of Allowance for U.S. Appl. No. 11/553,419, mailed September 22, 2010, 4 pgs.				
	NPL581	Copy of Non-Final Office Action for U.S. Appl. No. 11/400,008, mailed November 23, 2010, 7 pgs.				
	NPL582	Copy of Notice of Allowance for U.S. Appl. No. 11/651,365, mailed February 4, 2010, 8 pgs.				
	NPL583	Copy of Notice of Allowance for U.S. Appl. No. 11/651,365, mailed November 19, 2009, 8 pgs.				

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORM	MATI	(ON	DISCL	LOSURE	Filing Date	September 20, 2013	
STATE	MEN'	ТR	V APPI	ICANT	First Named Inventor	James J. FALLON	
	STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	68	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL584	Copy of Non-Final Office Action for U.S. Appl. No. 09/969,987, mailed August 27, 2010, 13 pgs.	
	NPL585	Copy of Final Office Action for U.S. Appl. No. 09/969,987, mailed January 28, 2010, 11 pgs.	
	NPL586	Copy of Notice of Allowance for U.S. Appl. No. 12/131,631, mailed June 22, 2010, 5 pgs.	
	NPL587	Copy of Final Office Action for U.S. Appl. No. 11/400,008, mailed October 30, 2009, 7 pgs.	
	NPL588	Copy of Final Office Action for U.S. Appl. No. 11/400,008, mailed May 11, 2010, 7 pgs.	
	NPL589	Copy of Notice of Allowance for U.S. Appl. No 11/551,204, mailed September 30, 2010; 4 pages	
	NPL590	Copy of Non-Final Office Action for U.S. Appl. No.11/551,204, mailed June 16, 2009, 5 pgs.	
	NPL591	Copy of Notice of Allowance for U.S. Appl. No. 11/551,204, mailed June 21, 2010, 4 pgs.	
	NPL592	Copy of Non-Final Office Action for U.S. Appl. No.11/551,204, mailed September 22, 2008, 9 pgs.	
	NPL593	Copy of Notice of Allowance for U.S. Appl. No. 11/551,204, mailed January 27, 2010, 4 pgs.	

	Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
--	-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known		
	Application Number	14/033,245	
INFORMATION DISCLOSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)	Art Unit	2634	
(2	Examiner Name	BOCURE, Tesfaldet	
Sheet 69 of 94	Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL594	Copy of Non-Final Office Action for U.S. Appl. No.12/690,125, mailed September 21, 2010, 12 pgs.	
	NPL595	Copy of Notice of Allowance for U.S. Appl. No 11/553,427, mailed March 24, 2011, 5 pages	
	NPL596	Copy of Notice of Allowance for U.S. Appl. No 12/703,042, mailed May 5, 2011, 8 pages.	
	NPL597	Copy of Notice of Allowance for U.S. Appl. No 11/551,211, mailed May 6, 2011, 5 pages	
	NPL598	Copy of Notice of Allowance for U.S. Appl. No 11/553,419, mailed May 20, 2011, 5 pages	
	NPL599	Copy of Final Office Action for U.S. Appl. No. 09/969,987, mailed May 24, 2011, 17 pgs.	
	NPL600	Copy of Notice of Allowance for U.S. Appl. No. 11/553,427, mailed May 31, 2011, 5 pages.	
	NPL601	Copy of Final Office Action for U.S. Appl. No. 12/690,125, mailed June 7, 2011, 11 pages.	
	NPL602	Copy of Final Office Action for U.S. Appl. No. 12/688,413, mailed June 7, 2011, 15 pages.	
	NPL603	Copy of Final Office Action for U.S. Appl. No. 11/400,008, mailed June 27, 2011, 6 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE				OSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				ICANT	First Named Inventor	James J. FALLON	
					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	70	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	\mathbf{T}^2
	NPL604	Copy of Notice of Allowance for U.S. Appl. No. 11/551,204, mailed July 11, 2011, 5 pages.	
	NPL605	Copy of Notice of Allowance for U.S. Appl. No. 12/684,624, mailed July 25, 2011, 5 pages.	
	NPL606	Copy of Non-Final Office Action for U.S. Appl. No. 12/703,042, mailed July 28, 2011, 5 pages.	
	NPL607	Copy of Non-Final Office Action for U.S. Appl. No. 12/857,238, mailed August 10, 2011, 6 pages.	
	NPL608	Copy of Non-Final Office Action for U.S. Appl. No. 13/101,994, mailed August 16, 2011, 10 pages.	
	NPL609	Copy of Notice of Allowance for U.S. Appl. No. 11/551,211, mailed August 24, 2011, 5 pages.	
	NPL610	Copy of Notice of Allowance for U.S. Appl. No. 12/684,624, mailed September 1, 2011, 9 pages.	
	NPL611	Copy of Notice of Allowance for U.S. Appl. No. 12/123,081, mailed September 26, 2011, 9 pages.	
	NPL612	Copy of Notice of Allowance for U.S. Appl. No. 11/551,204, mailed September 28, 2011, 5 pages.	
	NPL613	Copy of Notice of Allowance for U.S. Appl. No. 11/551,211, mailed October 18, 2011, 5 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	O.		Сотр	Complete if Known		
				Application Number	14/033,245		
INFORMATION DISCLOSURE				Filing Date	September 20, 2013		
STATE	MEN	ТR	Y APPLICANT	First Named Inventor	James J. FALLON		
			ets as necessary)	Art Unit	2634		
			*/	Examiner Name	BOCURE, Tesfaldet		
Sheet	71	of	94	Attorney Docket Number	2855.0050007		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	\mathbf{T}^2
	NPL614	Copy of Non-Final Office Action for U.S. Appl. No. 13/154,239, mailed November 2, 2011, 6 pages.	
	NPL615	Copy of Notice of Allowance for U.S. Appl. No. 12/703,042, mailed November 15, 2011, 8 pages.	
	NPL616	Copy of Non-Final Office Action for U.S. Appl. No. 12/688,413, mailed November 28, 2011, 14 pages.	
	NPL617	Copy of Notice of Allowance for U.S. Appl. No. 12/857,238, mailed December 30, 2011, 5 pages.	
	NPL618	Copy of Notice of Allowance for U.S. Appl. No. 11/400,008, mailed February 6, 2012, 8 pages.	
	NPL619	Copy of Non-Final Office Action for U.S. Appl. No. 12/690,125, mailed March 8, 2012, 7 pages.	
	NPL620	Copy of Notice of Allowance for U.S. Patent Appl. No. 12/703,042, mailed March 30, 2012, 8 pages.	
	NPL621	Copy of Non-Final Office Action for U.S. Appl. No. 09/969,987, mailed April 11, 2012, 6 pages.	
	NPL622	Copy of Notice of Allowance for U.S. Appl. No. 11/553,419, mailed April 23, 2012, 6 pages.	
	NPL623	Copy of Notice of Allowance for U.S. Appl. No. 11/553,427, mailed May 7, 2012, 7 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2	Examiner Name	BOCURE, Tesfaldet
Sheet 72 of 94	Attorney Docket Number	2855.0050007

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2	
	NPL624	Copy of Non-Final Office Action for U.S. Appl. No. 13/118,122, mailed May 16, 2012, 9 pages.		
	NPL625	Copy of Non-Final Office Action for U.S. Appl. No. 13/101,994, mailed May 23, 2012, 12 pages.		
	NPL626	Copy of Notice of Allowance for U.S. Appl. No. 12/857,238, mailed May 29, 2012, 5 pages.		
	NPL627 Copy of Notice of Allowance for U.S. Appl. No. 11/400,008, mailed June 21, 2012, 8 pages.			
	NPL628	Copy of Final Office Action for U.S. Appl. No. 13/154,239, mailed June 26, 2012, 14 pages.		
	NPL629	Copy of Notice of Allowance for U.S. Appl. No. 12/857,238, mailed July 12, 2012, 5 pages.		
	NPL630	Copy of Notice of Allowance for U.S. Appl. No. 12/703,042, mailed July 16, 2012, 8 pages.		
	NPL631 Copy of Non-Final Office Action for U.S. Appl. No. 13/482,800, mailed July 20, 2012 14 pages.			
	NPL632	Copy of Notice of Allowance for U.S. Appl. No. 11/553,427, mailed November 6, 2012, 5 pages.		
	NPL633	Copy of Notice of Allowance for U.S. Appl. No. 12/703,042, mailed November 15, 2012, 9 pages.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2	Examiner Name	BOCURE, Tesfaldet
Sheet 73 of 94	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL634	Copy of Non-Final Office Action for U.S. Appl. No. 12/857,238, mailed November 29, 2012, 17 pages.	
	NPL635	Copy of Final Office Action for U.S. Appl. No. 09/969,987, mailed December 4, 2012, 7 pages.	
	NPL636	Copy of Final Office Action for U.S. Appl. No. 13/101,994, mailed December 13, 2012, 5 pages.	
	NPL637	Copy of Supplemental Notice of Allowability for U.S. Appl. No. 12/703,042, mailed December 18, 2012, 6 pages.	
	NPL638	Copy of Notice of Allowance for U.S. Appl. No. 12/690,125, mailed December 28, 2012, 5 pages.	
	NPL639	Copy of Final Office Action for U.S. Appl. No. 13/118,122, mailed January 9, 2013, 11 pages.	
	NPL640	Copy of Non-Final Office Action for U.S. Appl. No. 11/553,419, mailed January 15, 2013, 4 pages.	
	NPL641	Copy of Non-Final Office Action for U.S. Appl. No. 13/482,800, mailed February 19, 2013, 15 pages.	
	NPL642	Copy of Notice of Allowance for U.S. Appl. No. 12/703,042, mailed March 4, 2013, 9 pages.	
	NPL643	Copy of Non-Final Office Action for U.S. Appl. No. 12/690,125, mailed April 15, 2013, 11 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				Complete if Known		
					Application Number	14/033,245
INFORMATION DISCLOSURE			OSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT			ICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)				Art Unit	2634	
		Examiner Name	BOCURE, Tesfaldet			
Sheet 74 of 94		Attorney Docket Number	2855.0050007			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL644	Copy of Notice of Allowance for U.S. Appl. No. 13/154,239, mailed April 24, 2013, 10 pages.	
	NPL645	Copy of Notice of Allowance for U.S. Appl. No. 11/553,427, mailed May 14, 2013, 6 pages.	
	NPL646	Copy of Supplemental Notice of Allowance for U.S. Appl. No. 11/553,427, mailed May 15, 2013, 6 pages.	
	NPL647 Copy of Notice of Allowance for U.S. Appl. No. 12/857,238, mailed June 17, 2013, opages.		
	NPL648	Copy of Supplemental Notice of Allowance for U.S. Appl. No. 12/703,042, mailed June 18, 2013, 6 pages.	
	NPL649	Copy of Supplemental Notice of Allowance for U.S. Appl. No. 11/553,427, mailed July 2, 2013, 2 pages.	
	NPL650	Copy of Non-Final Office Action for U.S. Appl. No. 09/969,987, mailed July 3, 2013, 8 pages.	
	NPL651	Copy of Notice of Allowance for U.S. Appl. No. 13/154,211, mailed July 11, 2013, 10 pages.	
	NPL652	Copy of Non-Final Office Action for U.S. Appl. No. 13/118,122, mailed July 19, 2013, 12 pages.	
	NPL653	Copy of Notice of Allowance for U.S. Appl. No. 13/154,239, mailed August 2, 2013, 9 pages.	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO	Complete if Known	
	Application Number	14/033,245
INFORMATION DISCLOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)	Art Unit	2634
(2	Examiner Name	BOCURE, Tesfaldet
Sheet 75 of 94	Attorney Docket Number	2855.0050007

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2	
	NPL654	Copy of Notice of Allowance for U.S. Appl. No. 13/118,122, mailed September 19, 2013, 6 pages.		
	NPL655	Copy of Notice of Allowance for U.S. Appl. No. 11/553,419, mailed October 17, 2013, 7 pages.		
	NPL656	Copy of Notice of Allowance for U.S. Appl. No. 12/857,238, mailed October 23, 2013, 7 pages.		
	NPL657	NPL657 Copy of Notice of Allowance for U.S. Appl. No. 13/154,211, mailed October 24, 2013, 9 pages.		
	NPL658	Copy of Final Office Action for U.S. Appl. No. 13/482,800, mailed October 25, 2013, 21 pages.		
	NPL659	International Search Report for PCT/US00/42018, mailed July 31, 2001, 3 pages.		
	NPL660	International Search Report for PCT/US01/03712, mailed May 10, 2002, 2 pages.		
	NPL661	International Search Report for PCT/US01/03711, mailed January 28, 2001, 5 pages.		
	NPL662	Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 6,604,158, March 3, 2011, 5 pgs.		
	NPL663	Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 7,415,530, March 3, 2011, 14 pgs.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE				OSURE	Filing Date	September 20, 2013	
STATE	MEN'	T R	V APPLI	ICANT	First Named Inventor	James J. FALLON	
			ts as necessary)		Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	76	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL664	Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 6,601,104, March 3, 2011, 5 pgs.	
	NPL665	Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 7,161,506, March 3, 2011, 12 pgs.	
	NPL666	Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 7,395,345, March 3, 2011, 14 pgs.	
	NPL667 Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 7,321,937, March 3, 2011, 14 pgs.		
	NPL668	Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 7,352,300, March 3, 2011, 14 pgs.	
	NPL669	Copy of submission of prior art under 37 CFR 1.501, for U.S. Pat. No. 7,378,992, March 3, 2011, 14 pgs.	
	NPL670	Ex Parte Reexamination Interview Summary, mailed December 3, 2009, for U.S. Reexam App. No. 90/009,428, 4 pgs.	
	NPL671 Request for Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, filed December 30, 2010, 696 pages.		
	NPL672 Replacement Request for Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, filed March 1, 2011, 357 pages.		
	NPL673 L. Gannoun, "RTP Payload Format for X Protocol Media Streams," Audio-Visual Transport WG Internet Draft, Internet Engineering Task Force, March 11, 1998,15 pgs.		

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	0			Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE				OSURE	Filing Date	September 20, 2013	
STATE	MEN'	TR	V APPLI	ICANT	First Named Inventor	James J. FALLON	
			ts as necessary)		Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	77	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite Initials* No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL674	Official Order Granting Request for Inter Partes Reexamination of U.S. Pat. No. 6,624,761, Control No. 95/000,464, issued July 24, 2009, 29 pgs.	
NPL675 Non-Final Office Action in Inter Partes Reexamination of U.S. Pat. No. 6,624,761, Control No. 95/000,464, issued December 15, 2009, 20 pgs.			
	NPL676	Non-Final Office Action in Inter Partes Reexamination of U.S. Pat. No. 7,321,937, Control No. 95/000,466, issued June 22, 2009, 11 pgs.	
	NPL677 Official Order Granting Request for Inter Partes Reexamination of U.S. Pat. No. 7,321,937, Control No. 95/000,466, issued June 22, 2009, 16 pgs.		
	NPL678 Official Action Closing Prosecution for Inter Partes Reexamination of U.S. Pat. No 7,321,937, Control No. 95/000,466, issued December 22, 2009, 20 pgs.		
	NPL679	Comments by Third Party Requester to Patent Owner's Response Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/000,466, filed November 10, 2009, 30 pgs.	
	NPL680	Supplemental Declaration of Professor James A. Storer, Ph.D. under 37 C.F.R. §1.132 in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/000,466, executed on November 10, 2009, 16 pgs.	
	NPL681 Examiner Interview Summary in Ex Parte Reexamination of U.S. Pat. No. 6,601,104, Control No. 90/009,428, issued December 3, 2009, 3 pgs.		
	NPL682 Non-Final Office Action in Ex Parte Reexamination of U.S. Pat. No. 6,601,104, Control No. 90/009,428, issued November 2, 2009, 13 pgs.		
	NPL683	Official Order Granting Request for Ex Parte Reexamination of U.S. Pat. No. 6,601,104, Control No. 90/009,428, issued June 1, 2009, 12 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
· ·					Application Number	14/033,245	
INFORMATION DISCLOSURE				OSURE	Filing Date	September 20, 2013	
STATE	MEN	T R	V APPL	ICANT	First Named Inventor	James J. FALLON	
			ts as necessary)		Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	78	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials* Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL684	Declaration of Dr. George T. Ligler under 37 C.F.R. §1.132 in Ex Parte Reexamination of U.S. Pat. No. 6,601,104, Control No. 90/009,428, executed December 28, 2009 16 pgs.	
	NPL685	Supplementary Declaration of Dr. George T. Ligler under 37 C.F.R. §1.132 in Ex Parte Reexamination of U.S. Pat. No. 6,601,104, Control No. 90/009,428, executed December 30, 2009 1 pg.	
	NPL686	Declaration of Dr. George T. Ligler under 37 C.F.R. §1.132 in Inter Partes Reexamination of U.S. Pat. No. 7,321,937, Control No. 95/000,466, executed August 24, 2009, 30 pgs.	
NPL687 Official Order Granting Request for Inter Partes Reexamination of U.S. Pat 7,161,506, Control No. 95/000,479, issued August 14, 2009, 41 pgs.		Official Order Granting Request for Inter Partes Reexamination of U.S. Pat. No. 7,161,506, Control No. 95/000,479, issued August 14, 2009, 41 pgs.	
	NPL688	Non-Final Office Action in Inter Partes Reexamination of U.S. Pat. No. 7,161,506, Control No. 95/000,479, issued December 15, 2009, 37 pgs.	
	NPL689	Official Order Granting Request for Inter Partes Reexamination of U.S. Pat. No. 7,378,992, Control No. 95/000,478, issued August 13, 2009, 60 pgs.	
	NPL690	Non-Final Office Action in Inter Partes Reexamination of U.S. Pat. No. 7,378,992, Control No. 95/000,478, issued December 15, 2009, 27 pgs.	
	NPL691 Official Order Granting Request for Inter Partes Reexamination of U.S. Pat. No. 6,604,158 Control No. 95/000,486, issued August 14, 2009, 35 pgs.		
	NPL692 Non-Final Office Action in Inter Partes Reexamination of U.S. Pat. No. 6,604,158, Control No. 95/000,486, issued November 12, 2009, 199 pgs.		
	NPL693	Right of Appeal Notice in Inter Partes Reexamination of U.S. Pat. No. 6,624,761, Control No. 95/000,464, issued January 6, 2011, 15 pgs.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О		Сотр	Complete if Known		
				Application Number	14/033,245		
INFORMATION DISCLOSURE				Filing Date	September 20, 2013		
STATE	MEN	TR	Y APPLICANT	First Named Inventor	James J. FALLON		
	. — — .		ts as necessary)	Art Unit	2634		
, , , , , , , , , , , , , , , , , , ,				Examiner Name	BOCURE, Tesfaldet		
Sheet	79	of	94	Attorney Docket Number	2855.0050007		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	\mathbf{T}^2
	NPL694	Action Closing Prosecution in Inter Partes Reexamination of U.S. Pat. No. 6,624,761, Control No. 95/000,464, issued August 27, 2010, 25 pgs.	
NPL695 Right of Appeal Notice in Inter Partes Reexamination of U.S. Pat. No. 7,321,937, Control No. 95/000,466, issued May 24, 2010, 23 pgs.			
	NPL696	Final Office Action in Ex Parte Reexamination of U.S. Pat. No. 6,601,104, Control No. 90/009,428, issued February 5, 2010, 16 pgs.	
	NPL697 Right of Appeal Notice for Inter Partes Reexamination of U.S. Pat. No. 7,161,506, Control No. 95/000,479, issued January 6, 2011, 18 pgs.		
NPL698 Action Closing Prosecution in Inter Partes Reexamination of U.S. Pat. No. 7,161 Control No. 95/000,479, issued August 27, 2010, 34 pgs.		Action Closing Prosecution in Inter Partes Reexamination of U.S. Pat. No. 7,161,506, Control No. 95/000,479, issued August 27, 2010, 34 pgs.	
	NPL699	Right of Appeal Notice for Inter Partes Reexamination of U.S. Pat. No. 7,378,992, Control No. 95/000,478, issued January 6, 2011, 15 pgs.	
	NPL700	Action Closing Prosecution in Inter Partes Reexamination of U.S. Pat. No. 7,378,992, Control No. 95/000,478, issued August 23, 2010, 31 pgs.	
	NPL701 Action Closing Prosecution in Inter Partes Reexamination of U.S. Pat. No. 6,604,158 Control No. 95/000,486, issued March 7, 2011, 257 pgs.		
	NPL702	Patent Owner's reply to Office Action in Inter Partes Reexamination of U.S. Patent No. 7,378,992, mailed March 15, 2010, 23 pages.	
	NPL703	Patent Owner's Reply to Office Action in Inter Partes Reexamination of U.S. Patent No. 7,161,506, mailed March 15, 2010, 23 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT					First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	80	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL704	Patent Owner's Reply to Action Closing Prosecution of August 23, 2010 in Inter Partes Reexamination of U.S. Patent 7,378,992, mailed September 23, 2010, 23 pages.	
	NPL705	Patent Owner's Reply to Action Closing Prosecution of August 27, 2010 in Inter Partes Reexamination of U.S. Patent No. 7,161,506, mailed September 27, 2010, 26 pages.	
	NPL706	Patent Owner's reply to Action Closing Prosecution of August 27, 2010 in Inter Partes Reexamination of U.S. Patent No. 6,624,761, mailed September 27, 2010, 20 pages.	
	NPL707	Corrected Request for Inter Partes Reexamination of U.S. Patent No. 6,624,761, filed June 15, 2009, 241 pages.	
	NPL708	Request for Inter Partes Reexamination of U.S. Patent No. 7,378,992, filed May 21, 2009, 255 pages.	
	NPL709	Request for Inter Partes Reexamination of U.S. Patent No. 7,161,506, filed May 28, 2009, 455 pages.	
	NPL710	Request for Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, filed March 21, 2011, 2,136 pages.	
	NPL711	Request for Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, filed February 14, 2011, 420 pages.	
	NPL712	Action Closing Prosecution in Inter Partes Reexamination of U.S. Pat. No. 7,321,937, Control No. 95/000,466 issued December 22, 2009, 20 pages.	
	NPL713	Order Granting request for inter partes reexamination of U.S. Patent No. 7,400,274 and Non-Final Office Action in Inter Partes reexam of U.S. Patent No. 7,400,274, Control No. 95/001,544, issued March 25, 2011, 47 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORN	AAT I	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT					First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	81	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL714	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed May 20, 2011, 47 pages.	
	NPL715	Order Granting Request for Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed June 15, 2011, 22 pages.	
	NPL716	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,553, mailed May 6, 2011, 105 pages.	
	NPL717	Order Granting Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed March 9, 2011, 21 pages.	
	NPL718	Appeal Brief filed in Inter Partes Reexamination of U.S. Patent No. 6,601,104, Control no. 90/009,428, mailed September 2, 2010, 28 pages	
	NPL719	Examiner's Answer to Appeal Brief in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/000,466, mailed July 18, 2011, 33 pages.	
	NPL720	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed July 25, 2011, 274 pages.	
	NPL721	Non-Final Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed September 21, 2011, 29 pages.	
	NPL722	Definition of "data packet", Academic Press Dictionary of Science and Technology, Copyright 1992, 1996, cited by Examiner in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed September 21, 2011, 2 pages.	
	NPL723	Patent Owner's Reply to Office Action in Inter Partes Reexamination of U.S. Patent No.7,777,651, Control No. 95/001,581, mailed September 26, 2011, 44 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	AAT	ION	DISCLOS	SURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT				ANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)			71111	Art Unit	2634		
					Examiner Name	BOCURE, Tesfaldet	
Sheet	82	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	\mathbf{T}^2
	NPL724	Examiner's Answer to Appeal Brief in Inter Partes Reexamination of U.S. Patent No. 6,624,761, Control No. 95/000,464, mailed September 28, 2011, 20 pages.	
	NPL725	Examiner's Answer to Appeal Brief in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control no. 95/000,479, mailed September 28, 2011, 25 pages.	
	NPL726	Examiner's Answer to Appeal Brief in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/000,478, mailed September 29, 2011, 27 pages.	
	NPL727	Decision on Appeal in Ex parte Reexamination of U.S. Patent No. 6,601,104 B1, Control No. 90/009,428, dated March 18, 2011, 14 pages.	
	NPL728	Patent Owner's Rebuttal Brief Under 37 C.F.R § 41.71 Retracting the Arguments Made to Overcome the Claim Rejections and Thereby Eliminating the Issues on Appeal in Inter Partes Reexamination of U.S. Patent No, 6,624,761, Control No. 95/000,464, dated October 28, 2011, 9 pages.	
	NPL729	Patent Owner's Rebuttal Brief Under 37 C.F.R § 41.71 Retracting the Arguments Made to Overcome the Claim Rejections and Thereby Eliminating the Issues on Appeal in Inter Partes Reexamination of U.S. Patent No, 7,378,992, Control No. 95/000,478, dated October 28, 2011, 10 pages.	
	NPL730	Patent Owner's Rebuttal Brief Under 37 C.F.R § 41.71 Retracting the Arguments Made to Overcome the Claim Rejections and Thereby Eliminating the Issues on Appeal in Inter Partes Reexamination of U.S. Patent No, 7,161,506, Control No. 95/000,479, dated October 28, 2011, 9 pages.	
	NPL731	Non-Final Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed November 18, 2011, 39 pages.	
	NPL732	Non-Final Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed December 9, 2011, 42 pages.	
	NPL733	Patent Owner's Reply to Action Closing Prosecution of November 18, 2011 in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed December 19, 2011, 9 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	AAT	ION	DISCL	OSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT				ICANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
(-				~ /	Examiner Name	BOCURE, Tesfaldet	
Sheet	83	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL734	Patent Owner's Reply to Action Closing Prosecution of December 9, 2011 in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed December 29, 2011, 14 pages.	
	NPL735	Notice of Intent to Issue Ex Parte Reexamination Certificate in Ex Parte Reexamination of U.S. Patent No. 6,601,104, Control No. 90/009,428, mailed January 13, 2012, 5 pages.	
	NPL736	Decision on Appeal in Inter Partes Reexamination of U.S. Patent No. 6,624,761, Control No. 95/000,464, mailed January 18, 2012, 5 pages.	
	NPL737	Decision on Appeal in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/000,466, mailed January 18, 2012, 8 pages.	
	NPL738	Decision on Appeal in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/000,478, mailed January 18, 2012, 5 pages.	
	NPL739	Decision on Appeal in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/000,479, mailed January 18, 2012, 6 pages.	
	NPL740	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed January 27, 2012, 152 pages.	
	NPL741	Patent Owner's Respondent Brief on Appeal Under 37 C.F.R. § 41.68 in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, filed February 17, 2012, 20 pages.	
	NPL742	Patent Owner's Reply to Second Non-Final Office Action of January 27, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, filed February 24, 2012, 30 pages.	
	NPL743	Ex Parte Reexamination Certificate in Ex Parte Reexamination of U.S. Patent No. 6,601,104, Control No. 90/009,428, issued February 28, 2012, 2 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	Substitute for form 1449/PTO				Complete if Known	
					Application Number	14/033,245
INFORM	MAT	ION	DISCLO	SURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT				~ANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)				<i>31</i> 111 1	Art Unit	2634
				Examiner Name	BOCURE, Tesfaldet	
Sheet	84	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL744	Examiner's Answer to Appeal Brief in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed March 1, 2012, 4 pages.	
	NPL745	Right of Appeal Notice in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed March 1, 2012, 8 pages.	
	NPL746	Right of Appeal Notice in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed March 6, 2012, 7 pages.	
	NPL747	Request for Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, filed March 2, 2012, including accompanying Exhibits PA-A to PA-D, PAT-A to PAT-C, CC-A to CC-D, Oth-A, and Form PTO/SB/08a, 2865 pages.	
	NPL748	Request for Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, filed March 2, 2012, including accompanying Exhibits PA-A to PA-D, PAT-A to PAT-B, CC-A to CC-F, Oth-A, and Form PTO/SB/08a, 560 pages.	
	NPL749	Request for Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, filed March 2, 2012, including accompanying Exhibits PA-A to PA-H, PAT-A to PAT-B, CC-A to CC-F, Oth-A, and Form PTO/SB/08a, 1012 pages.	
	NPL750	Request for Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, filed March 2, 2012, including accompanying Exhibits PA-A to PA-C, PAT-A, CC-A to CC-C, Oth-A, and Form PTO/SB/08a, 204 pages.	
	NPL751	Request for Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, filed March 2, 2012, with accompanying Exhibits PA-A to PA-C, PAT-A to PAT-C, CC-A to CC-B, Oth-A to Oth-B, and Form PTO/SB/08a, 2651 pages.	
	NPL752	Request for Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, filed March 2, 2012, including accompanying Exhibits PA-A to PA-F, PAT-A to PAT-B, CC-A to CC-O, Oth-A, and Form PTO/SB/08a, 700 pages.	
	NPL753	Request for Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, filed March 2, 2012, including Exhibits PA-A to PA-D, PAT-A to PAT-C, CC-A to CC-B, Oth-A, and Form PTO/SB/08a, 2316 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	O.			Complete if Known	
					Application Number	14/033,245
INFORM	MAT	ION	DISCL	OSURE	Filing Date	September 20, 2013
STATE	MEN	ТR	V APPI	ICANT	First Named Inventor	James J. FALLON
	STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	2634
		Examiner Name	BOCURE, Tesfaldet			
Sheet	85	of	94	•	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	\mathbf{T}^2
	NPL754	Official Order Granting Request for Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, mailed March 19, 2012, 11 pages.	
	NPL755	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, mailed March 19, 2012, 20 pages.	
	NPL756	Notice of Intent to Issue Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/000,466, mailed March 21, 2012, 7 pages.	
	NPL757	Right of Appeal Notice for Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/000,486, mailed March 26, 2012, 253 pages.	
	NPL758	Notice of Intent to Issue Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 6,624,761, Control No. 95/000,464, mailed April 3, 2012, 7 pages.	
	NPL759	Notice of Intent to Issue Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/000,479, mailed April 4, 2012, 15 pages.	
	NPL760	Notice of Intent to Issue Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/000,478, mailed April 6, 2012, 5 pages.	
	NPL761	Official Order Granting Request for Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control no. 95/001,922, mailed April 20, 2012, 17 pages.	
	NPL762	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,321.937, Control No. 95/001,922, mailed April 20, 2012, 8 pages.	
	NPL763	Official Order Granting Request for Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, mailed April 25, 2012, 9 pages.	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO				Complete if Known		
					Application Number	14/033,245
INFORN	AAT	ION	DISCLOS	URE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT				ANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)				Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet
Sheet	86	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	appropriate) title of the item (book magazine journal cerial cympocium cata		T^2
	NPL764	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, mailed April 25, 2012, 7 pages.	
	NPL765	Official Order Granting Request for Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, mailed April 25, 2012, 8 pages.	
	NPL766	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7.378,992, Control No. 95/001,928, mailed April 25, 2012, 8 pages.	
	NPL767	Official Order Denying Request for Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, mailed April 27, 2012, 52 pages.	
	NPL768	Official Order Granting Request for Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, mailed May 7, 2012, 14 pages.	
	NPL769	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, mailed May 7, 2012, 8 pages.	
	NPL770	Petition Under 37 C.F.R. §§ 1.181 and 1.182 for Correction of Notice of Intent to Issue Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/000,478, filed May 9, 2012, 8 pages.	
	NPL771	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/000,466, issued May 15, 2012, 2 pages.	
	NPL772	Official Order Granting Request for Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed May 17, 2012, 12 pages.	
	NPL773	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed May 17, 2012, 18 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known	
					Application Number	14/033,245
INFORN	IAT	ION	DISCI	LOSURE	Filing Date	September 20, 2013
STATEMENT BY APPLICANT				LICANT	First Named Inventor	James J. FALLON
(Use as many sheets as necessary)				Art Unit	2634	
,					Examiner Name	BOCURE, Tesfaldet
Sheet	87	of	94		Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL774	Patent Owner's Response to Office Action of March 19, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, filed May 21, 2012, 21 pages.	
	NPL775	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/000,479, issued May 22, 2012, 2 pages.	
	NPL776	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 6,624,761, Control No. 95/000,464, issued June 12, 2012, 2 pages.	
	NPL777	Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed June 18, 2012, 45 pages.	
	NPL778	Patent Owner's Response to Office Action of April 20, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, filed June 20, 2012, 11 pages.	
	NPL779	Patent Owner's Response to Office Action of April 25, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, filed June 25, 2012, 20 pages.	
	NPL780	Patent Owner's Response to Office Action of April 25, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, filed June 25, 2012, 20 pages.	
	NPL781	Patent Owner's Response to Office Action of May 7, 2012 in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, filed July 9, 2012, 19 pages.	
	NPL782	Patent Owner's Response to Office Action of May 17, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control. No. 95/001,924, filed July 17, 2012, 31 pages.	
	NPL783	New Decision on Appeal after Board Decision in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control. No. 95/001,517, mailed July 24, 2012, 24 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORM	MAT	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				CANT	First Named Inventor	James J. FALLON	
					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	88	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL784	Right of Appeal Notice for Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed August 3, 2012, 7 pages.	
	NPL785	Notice of Intent to Issue Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/000,486, mailed August 30, 2012, 5 pages.	
	NPL786	Notice of Intent to Issue Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/000,478, mailed August 31, 2012, 6 pages.	
	NPL787	Decision on Petition for Supervisory Review of Refusal to Order Reexamination for Claims 1-2, 16-21, and 23 (37 CFR §§ 1.927 and 1.181) in Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, mailed August 31, 2012, 10 pages.	
	NPL788	Decision on Petition Under 37 C.F.R. §§ 1.181 and 1.182 for Correction of Notice of Intent to Issue Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/000,478, mailed September 10, 2012, 6 pages.	
	NPL789	Decision on Petition for Supervisory Review of Refusal to Order Reexamination of Claims 5-7, 14-16, and 18-19 (37 CFR §§ 1.927 and 1.181) in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, mailed September 10, 2012, 12 pages.	
	NPL790	Decision on Petition for Supervisory Review of Refusal to Order Reexamination for Claims 86, 89, 90, 92-96, and 98 (37 CFR §§ 1.927 and 1.181) in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, mailed September 21, 2012, 10 pages.	
	NPL791	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, mailed September 21, 2012, 15 pages.	
	NPL792	Patent Owner's Request to Reopen Prosecution Before the Examiner Under 37 C.F.R. § 41.77(b) in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, filed September 24, 2012, 29 pages.	
	NPL793	Examiner's Answer to Appeal Brief in Ex Parte Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed October 1, 2012, 17 pages.	

_				
	Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORM	MATI	ION	DISCL	OSURE	Filing Date	September 20, 2013	
STATE	MEN'	ТR	V APPI	ICANT	First Named Inventor	James J. FALLON	
	STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	2634	
,			•	**	Examiner Name	BOCURE, Tesfaldet	
Sheet	89	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL794	Inter Partes Reexam Certificate in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/000,478, issued October 4, 2012, 2 pages.	
	NPL795	Inter Partes Reexam Certificate in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/000,486, issued October 10, 2012, 2 pages.	
	NPL796	Examiner's Answer to Appeal Brief in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed October 15, 2012, 44 pages.	
	NPL797	Non-Final Office Action in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, mailed October 18, 2012, 10 pages.	
	NPL798	Patent Owner's Rebuttal Brief Under 37 C.F.R § 41.71 in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, filed November 15, 2012, 15 pages.	
	NPL799	Patent Owner's Response to Office Action of October 18, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, filed November 19, 2012, 30 pages.	
	NPL800	Patent Owner's Supplemental Amendment Subsequent to Timely Submission of Response to Office Action of October 18, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, filed November 27, 2012, 6 pages.	
	NPL801	Patent Owner's Response to Office Action of September 21, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, filed December 21, 2012, 51 pages.	
	NPL802	Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, mailed March 5, 2013, 23 pages.	
	NPL803	Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, mailed March 5, 2013, 29 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О			Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE				SURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT				CANT	First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)				C7 1 1 \ 1	Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	90	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL804	Examiner's Answer to Appeal Brief in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed March 14, 2013, 21 pages.	
	NPL805	Decision on Petition to Strike Patent Owner's Rebuttal Brief in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed March 15, 2013, 7 pages.	
	NPL806	Order Remanding Inter Partes Reexamination Under 37 C.F.R § 41.77(d) to the Examiner in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed March 18, 2013, 3 pages.	
	NPL807	Decision on Petition Under 37 C.F.R. § 1.183 to Request Examiner Enter Evidence in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed March 20, 2013, 7 pages.	
	NPL808 Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,415,530 Control No. 95/001,927, mailed April 3, 2013, 24 pages.		
	NPL809	Patent Owner's Reply to Action Closing Prosecution of March 5, 2013 in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, filed April 5, 2013, 19 pages.	
	NPL810	Patent Owner's Reply to Action Closing Prosecution of March 5, 2013 in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, filed April 5, 2013, 23 pages.	
	NPL811	Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, mailed April 9, 2013, 59 pages.	
	NPL812	"Data Transfer Rate (DTR)," accessed at http://searchunifiedcommunications.techtarget.com/definition/data-transfer-rate, published May 18, 2011, 1 page.	
	NPL813	"Bandwidth - technical definition," accessed at http://computer.yourdictionary.com/bandwidth, accessed on March 7, 2013, 4 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	0			Complete if Known		
					Application Number	14/033,245	
INFORMATION DISCLOSURE				DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				CANT	First Named Inventor	James J. FALLON	
					Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet		
Sheet	91	of	94		Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL814	"Bandwidth - Definition," accessed at http://www.yourdictionary.com/bandwidth, accessed on March 7, 2013, 2 pages.	
	NPL815	"Bandwidth," accessed at http://searchenterprisewan.techtarget.com/definitions/bandwidth, published March 24, 2010, 1 page.	
	NPL816	Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed April 9, 2013, 30 pages.	
	NPL817	Examiner's Determination Under 37 C.F.R. § 41.77(d) in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed April 10, 2013, 7 pages.	
	NPL818	Patent Owner's Supplemental Response to Office Action of May 7, 2012 in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, filed April 29, 2013, 20 pages.	
	NPL819	Patent Owner's Supplemental Response to Office Action of March 19, 2012 in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, filed May 6, 2013, 24 pages.	
	NPL820	Patent Owner's Response to Action Closing Prosecution of April 9, 2013 in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, filed May 9, 2013, 13 pages.	
	NPL821	Patent Owner's Response to Action Closing Prosecution of April 9, 2013 in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, filed May 9, 2013, 29 pages.	
	NPL822	Patent Owner's Comments in Response to Examiner's Determination Under 37 C.F.R. § 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, filed May 10, 2013, 20 pages.	
	NPL823	Patent Owner's Supplemental Response to Action Closing Prosecution of April 9, 2013 in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, filed May 15, 2013, 13 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORN	AAT	ION	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT					First Named Inventor	James J. FALLON	
(Use as many sheets as necessary)					Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet 92 of 94				Attorney Docket Number	2855.0050007		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL824	Right of Appeal Notice in Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, mailed May 31, 2013, 26 pages.	
	NPL825	Petition Under 37 C.F.R. § 1.181 to Expunge Third Party Requester's Improper Submission of Declarations Under 37 C.F.R. § 1.132 and Strike Comments Directed to Examiner's Determination in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, filed June 26, 2013, 6 pages.	
	NPL826	Notice of Intent to Issue A Reexam Certificate in Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, mailed July 19, 2013, 5 pages.	
		Right of Appeal Notice in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, mailed August 15, 2013, 12 pages.	
	NPL828	Right of Appeal Notice in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, mailed August 16, 2013, 11 pages.	
	NPL829	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,415,530, Control No. 95/001,927, issued August 16, 2013, 2 pages.	
	NPL830	Right of Appeal Notice in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, mailed August 16, 2013, 11 pages.	
	NPL831	Right of Appeal Notice in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,925, mailed August 29, 2013, 23 pages.	
	NPL832	Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, mailed September 20, 2013, 47 pages.	
	NPL833	Decision on Petition(s) Decided Under 37 C.F.R. 1.181 in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed September 23, 2013, 3 pages.	

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form	1449/PT	О		Complete if Known		
				Application Number	14/033,245	
INFORN	IAT	ION	DISCLOSURE	Filing Date	September 20, 2013	
STATE	MEN	TR	Y APPLICANT	First Named Inventor	James J. FALLON	
	. — — .		ets as necessary)	Art Unit	2634	
				Examiner Name	BOCURE, Tesfaldet	
Sheet 93 of 94			94	Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL834	Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, mailed October 2, 2013, 18 pages.	
	NPL835	Patent Owner's Reply to Action Closing Prosecution of September 20, 2013 in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, filed October 21, 2013, 9 pages.	
	NPL836	Decision on Appeal in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed November 1, 2013, 18 pages.	
		Decision on Appeal in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed November 1, 2013, 12 pages.	
	NPL838	Decision on Appeal in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed November 1, 2013, 15 pages.	
	NPL839	Patent Owner's Reply to Action Closing Prosecution of October 2, 2013 in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, filed November 4, 2013, 9 pages.	
	NPL840	Notice of Intent to Issue A Reexam Certificate in Inter Partes Reexamination of U.S. Patent No. 7,321,937, Control No. 95/001,922, mailed November 13, 2013, 8 pages.	
	NPL841	Court Docket History for 6:10-cv-00493-LED-JDL, Realtime Data, LLC d/b/a IXO, v. T-Mobile, USA Inc., dated July 8, 2013, 77 pages.	
	NPL842 Court Docket History for 1:11-cv-06696-RJH, Realtime Data, LLC d/b/a IXO, v. Morgan Stanley et al., dated July 8, 2013, 80 pages.		
	NPL843	Court Docket History for 1:11-cv-06697-UA, Realtime Data, LLC d/b/a IXO, v. CME Group Inc. et al., dated July 8, 2013, 105 pages.	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO					Complete if Known		
					Application Number	14/033,245	
INFORM	IAT	[ON	DISCLO	DSURE	Filing Date	September 20, 2013	
STATEMENT BY APPLICANT (Use as many sheets as necessary)					First Named Inventor	James J. FALLON	
					Art Unit	2634	
					Examiner Name	BOCURE, Tesfaldet	
Sheet 94 of 94					Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T^2
	NPL844	Court Docket History for 1:11-cv-06698-UA, Realtime Data, LLC d/b/a IXO, v. Thomson Reuters et al., dated July 8, 2013, 59 pages.	
	NPL845	U.S. Patent Application No. 14/035,561, James J. FALLON, "Data Compression Systems and Methods," filed September 24, 2013.	
	NPL846	U.S. Patent Application No. 14/035,712, FALLON et al., "Methods for Encoding and Decoding Data," filed September 24, 2013.	
	NPL847 U.S. Patent Application No. 14/035,716, FALLON et al., "Methods for Encoding and Decoding Data," filed September 24, 2013.		
	NPL848	U.S. Patent Application No. 14/035,719, FALLON et al., "Methods for Encoding and Decoding Data," filed September 24, 2013.	

1773900_1.DOCX

Examiner Signature	/Tesfaldet Bocure/	Date Considered	02/22/2014
-----------------------	--------------------	--------------------	------------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	56706300	@ad< = "20010213"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53
L2	2800	Fallon.inv. or mcerlain.inv.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53
L3	227	(Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attributes)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53
L4	48	L1 and L3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53
L5	28	L2 and L3	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53
L6	138	(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2014/02/21 17:53
L7	50	L6 and L1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53

 $file: ///CI/Users/tbocure/Documents/e-Red\%20 Folder/14033245/EAST Search History. 14033245_Accessible Version. htm \cite{Colored} the property of the proper$

,	.,	\	\	,	,,	
L8	10	L6 and L2	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2014/02/21 17:53
L9	345	L3 or L6	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53
L10	149	L9 AND ((H03M7/30 OR H03M7/3084 OR H03M7/3059 OR G06F17/30153 OR G06F2212/401 OR G06F12/0246 OR G06F17/30501 OR G06F3/0679 OR G06F3/0688 OR H04L69/04 OR Y10S707/99931 OR Y10S707/99942 OR H04W28/06 OR H04N1/00236 OR H04N2201/3283 OR G11C29/40 OR Y02B60/1225).CPC. OR (707/E17.001 OR 375/240 OR 375/E7.094 OR 370/477 OR 711/E12.008 OR 711/154 OR 709/247 OR 708/203).CCLS. OR (G06F17/30 OR G06F12/00 OR G06F12/02 OR H03M7/30 OR H04B1/66 OR H04N7/26 OR H04N11/02 OR H04J3/18).I PCR.)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:53
L11	109	("5354315" "5488365" "6990247" "77714747" "7777651"). PN. or ("4127518" "5598388" "5864678" "6285458" "7552069"). PN. or ("4464650" "4646061" "4745559" "4750135" "4814746" "5027376" "5132992" "5267333" "5353132" "5374916" "5521940" "5577248" "5838821" "5838927" "5907801" "5938737" "5949968" "6021433" "6032197" "6115384"). PN. or ("20020169950" "20090125698" "20120194362" "20120239921" "5475388" "6909745" "8275897"). PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:54
L12	77	"5454079".pn. or "5455680".pn. or "5610657".pn. or "5809299".pn. or "5812195".pn. or "5974387".pn. or "5987022".pn. or "6175650".pn. or "6192155".pn. or "6208273".pn. or "6963608".pn. or "6526174".pn. or "7565441".pn. or "20010047473" or "20090287839" or "20090154545" or "7386046".pn. or "5331425".pn. or "5987432".pn. or "6038346".pn. or "6097845".pn. or "6195125".pn. or "6195391".pn. or "6198850".pn. or	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:54
		"8054879".pn. or "8073047".pn. or "8090936".pn. or "8112619".pn. or "20110199243" or "20110208833" or "20110231642" or "20110285559"		nere en		

 $file: ///CI/Users/tbocure/Documents/e-Red\%20 Folder/14033245/EAST Search History. 14033245_Accessible Version. htm [2/21/2014~7:18:30~PM]$

	"3394352" "3490690" "4032893" "4054951" "4360840" "4386416" "4626829" "4701745" "4748638" "4813040" "4866601" "4890282" "4906991" "4956808" "5016009" "5109226" "5115309" "5126739" "5155484" "5167034" "5280600" "5289580" "5347600" "5367629" "5437020" "5454107" "5479633" "5546375" "5576953" "5576953" "5576953" "5576953" "5576953" "5576953" "5576953" "5742773" "5576953" "5742773" "5768455" "5768255" "5794229" "580284" "580284" "5835788" "5930358" "5943692" "59930358" "5943692" "5982360" "5987590" "6023755" "6058459" "6078958" "6092123" "6134631" "6145020" "6170047" "6170049" "6185625" "6185659" "6237054" "6243829" "6310563" "6365689" "6452933" "6639438" "66452933" "6639438" "6682278" "6879266" "6893597" "7707099" "7089391" "7129860" "7330912") .PN.	"4021782" "4325085" "4499499" "4729020" "4862167" "4897717" "5003307" "5109433" "5146221" "5249053" "5341440" "5535369" "55535369" "55535369" "5553160" "5553160" "5581715" "5615287" "5671413" "5765027" "5774715" "587165" "5909559" "5923860" "5923860" "5923860" "5923860" "5945933" "69927" "69927" "6175856" "6998114" "6170007" "6175856" "6208273" "6230223" "6230223" "623102" "67921511" "6822589" "6959359" "6959359" "6959359" "6959359" "6959359" "6959359" "7069342" "7319667"	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			17:55
L14 320	("5819215" "5825424" "5832037" "5832126" "5838996" "5839100" "5847762" "5861824" "5864342" "5867167" "5870036" "5870087" "5983975" "5986655" "5915079" "5917438" "5936616" "5949355" "59684842" "5968149" "5974235" "5991515" "60262742" "6000009" "6003115" "6008743" "6026217" "6028725" "6032148" "6061398" "6075470" "6091777" "6097520" "6104389"	"5825830" "5825830" "5836003" "5841979" "5861920" "5867602" "5872530" "5920326" "5960465" "5973630" "5978483" "5996033" "6002411" "6011901" "6031939" "6073232" "6094634" "6105130"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:56

 $file: ///CI/Users/tbocure/Documents/e-Red\%20Folder/14033245/EASTS earch History. 14033245_Accessible Version. htm [2/21/2014~7:18:30~PM]$

ii :	ii :		3	;	11 1	1
		"6128412" "6141053" "6145069" "6169241" "6172936" "6173381"				
		"6182125" "6192082" "6195024"				
		"6195465" "6222886" "6225922"				
		"6226667" "6226740" "6253264"				
		"6272178" "6272627" "6272628"				
		"6282641" "6308311" "6309424"				
		"6317714" "6330622" "6345307"				
		"6392567" "6404931" "6421387"				
		"6434168" "6434695" "6442659"				
		"6449682" "6452602" "6459429" "6463509" "6487640" "6489902"				
		6463509				
		"6539456" "6542644" "6577254"				
		"6590609" "6597812" "6601104"				
		"6604040" "6604158" "6606413"				
		"6609223" "6618728" "6624761"				
		"6661839" "6661845" "6704840"				
		"6717534" "6745282" "6748457"				
		"6756922" "6810434" "6856651"				
		"6885316" "6885319" "6888893"				
		"6909383" "6944740" "0601694" "7054493" "7102544" "7130913"				
		7054493 7102544 7130913				
115	100	<u> </u>	US-PGPUB:	OD.	OEE	2014/00/01
L15	480	("20040056783" "20040073710" "20040073746" "20060015650"	US-PGPUB; USPAT;	OR	OFF	2014/02/21 17:56
		20040073746	USOCR;			17.50
		"20060184687" "20060184696"	FPRS:			
		"20060190644" "20060195601"	EPO; JPO;			
		"20070043939" "20070050514"	DERWENT;			
		"20070050515" "20070067483"	IBM_TDB			
		"20070083746" "20070109154"				
		"20070109155" "20070109156"				
		"20070174209" "20080232457" "4302775" "4394774" "4494108"				
					:1	1
		<u> </u>				
5 E		"4574351" "4593324" "4682150"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959"				
		"4574351" "4593324" "4682150"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5097261" "5113522" "5121342"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5097261" "5113522" "5121342" "5128963" "5150430" "5159336"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5097261" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5097261" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "512342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5097261" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5237675" "5243341"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5291766" "5227893" "5231492" "5237460" "5227893" "5247646" "5247638" "5247646" "5263168" "5270832" "5287420" "5293379" "5293576" "5307497"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5296176" "520720" "5226176" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5237675" "5243341" "5243348" "5247638" "5247646" "5293379" "5293576" "5307497" "5309555" "5355498" "5357614"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5237675" "5243341" "5243348" "5247638" "5247646" "5293379" "5293576" "5307497" "5309555" "5355498" "5357614" "5379290" "5379036" "5379757"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5091782" "5097261" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5197651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5247646" "5247646" "5247638" "5247646" "5293379" "5293576" "5307497" "5309555" "5355498" "5379757" "5381145" "5394534" "5396228"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5091782" "5097261" "5113522" "5121342" "5128963" "5150430" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "52474646" "5243348" "5247638" "5247646" "5293379" "5293576" "5307497" "5309555" "5355498" "5379757" "5381145" "5394534" "5396228" "5400401" "5403639" "5406278"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5150430" "5159336" "5175543" "5159336" "5175543" "5197651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "527675" "5247646" "5263168" "527675" "537497" "539379" "5293576" "5307497" "53793290" "5379036" "5379757" "5381145" "5394534" "5396228" "5400401" "5403639" "5406278" "5412384" "5414850"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5150430" "5159336" "5175543" "5159336" "5175543" "5197651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "527675" "5247646" "5263168" "5270832" "5247646" "5293379" "5293576" "5307497" "539379" "5293576" "5307497" "5381145" "5394534" "5396228" "5400401" "5403639" "5406278" "5412887" "54128				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5150430" "5159336" "5175543" "5159336" "5175543" "5197651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "527675" "5247646" "5263168" "527675" "537497" "539379" "5293576" "5307497" "53793290" "5379036" "5379757" "5381145" "5394534" "5396228" "5400401" "5403639" "5406278" "5412384" "5414850"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5150430" "5159336" "5175543" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5247638" "5247646" "5263168" "5270832" "5247646" "5293379" "5293576" "5307497" "539369" "5379757" "5381145" "5394534" "5396228" "5400401" "5403639" "5406278" "5412884" "5414850" "5420639" "54461679" "5467087"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "512342" "5128963" "5150430" "5159336" "5175543" "5197651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5237675" "5247646" "5293379" "5293576" "5379497" "5309555" "5355498" "5357614" "5373290" "5379036" "5379757" "5381145" "5394534" "5396228" "5406279" "5412384" "5414850" "5420639" "5434983" "5452287" "5455576" "5461679" "5467087" "5471206" "5479587" "5483470"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "512342" "5128963" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5247638" "5247646" "5263168" "527832" "5247646" "5293379" "5293576" "537497" "5381452" "5376543" "537614" "5373290" "5379757" "5381145" "5394534" "5396228" "5406279" "5412384" "5446278" "5471206" "5479587" "5483470" "5486826" "5495244" "5506844" "5506872" "5537658" "5557551"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "5128963" "5113522" "5121342" "5128963" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5237675" "5247341" "5243348" "5247638" "5247646" "5293379" "5235761" "5390555" "53955498" "5357614" "5373290" "5379036" "5379757" "5381145" "5394534" "5396228" "5406279" "5412384" "5414850" "5420639" "5434983" "5452287" "5486826" "5495244" "5506844" "5506872" "5537658" "5537651" "5557668" "5557759" "5557551" "5557668" "5557759" "5557551" "5557668" "5557749" "5561824"				
		"4574351" "4593324" "4682150" "4730348" "4754351" "4804959" "4870415" "4872009" "4876541" "4888812" "4906995" "4929946" "4953324" "4965675" "4988998" "5028922" "5045848" "5045852" "5046027" "5049881" "5091782" "512342" "5128963" "5159336" "5175543" "5179651" "5187793" "5191431" "5204756" "5209220" "5226176" "5227893" "5231492" "5237460" "5247638" "5247646" "5263168" "527832" "5247646" "5293379" "5293576" "537497" "5381452" "5376543" "537614" "5373290" "5379757" "5381145" "5394534" "5396228" "5406279" "5412384" "5446278" "5471206" "5479587" "5483470" "5486826" "5495244" "5506844" "5506872" "5537658" "5557551"				

 $file: ///CI/Users/tbocure/Documents/e-Red\%20Folder/14033245/EASTS earch History. 14033245_Accessible Version. htm [2/21/2014~7:18:30~PM]$

		"5583500" "5590306" "5596674" "5604824" "5606706" "5611024" "5612788" "5613069" "5615017" "5621820" "5623623" "5623701" "5627534" "5627995" "5629732" "56363932" "56363932" "56363932" "56363932" "5635932" "5652957" "5652857" "5652917" "5652795" "5652857" "5652917" "5654703" "5655138" "5666560" "5668737" "5671389" "5675333" "5686916" "5694619" "5715477" "5717393" "5717394" "5719862" "5721958" "5724475" "5729228" "5778411" "5781767" "5784572" "5787487" "5799110" "5805932" "5808660" "5809176" "5809337" "5818368" "5818369" "5818369" "5818368" "5818369" "5818369" "5818368"				
L16	24	19 and (111 or 112 or 113 or 114 or 115)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 17:59
L17	98	(L3 or L6) and I1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 18:09
L18	0	I9 and (assymetric near4 (compressing or compression))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 19:07
L19	0	I9 and (((fast or slow) near (read or reading or retrieving or retieve)) same ((fast or slow) near (write or writing)))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2014/02/21 19:13

2/21/2014 7:18:28 PM

 $\pmb{\text{C:}} \ \textbf{Users} \ \textbf{tbocure} \ \textbf{Documents} \ \textbf{EAST} \ \textbf{Workspaces} \ \textbf{14033245.wsp}$

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

									_				
✓	Rejected		Can	celled		1	Non-E	lected		Α	A	ppeal	
=	Allowed	÷	Rest	tricted		•	Interf	erence		0	Ol	ojected	t
			•										
☐ CI	☐ Claims renumbered in the same order as pres			esented by a	pplicant			□ СРА] T.C). [R.1.47	
	CLAIM DATE												
Fina	al Original	12/03/2013	02/22/2014										

Claims	renumbered	in the same	order as pre	esented by	applicant		□ СРА	<u> </u>). 📙	R.1.47
CL	AIM	DATE								
Final	Original	12/03/2013	02/22/2014							
	1	÷	✓							
	2	÷	✓							
	3	÷	√							
	4	÷	√							
	5	÷	✓							
	6	÷	✓							
	7	÷	✓							
	8	÷	✓							
	9	÷	✓							
	10	÷	✓							
	11	÷	✓							
	12	÷	=							
	13	÷	=							
	14	÷	=							
	15	÷	=							
	16	÷	=							
	17	÷	=							
	18	÷	=							
	19	÷	=							
	20	÷	=							
	21	÷	=							
	22	÷	=							
	23	÷	N							
	24	÷	N							
	25	÷	N							
	26	÷	N							
	27	÷	N							
	28	÷	✓							
	29	÷	✓							
	30	÷	✓							

U.S. Patent and Trademark Office Part of Paper No.: 20140221

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO	Comp	lete if Known
FIRST SUPPLEMENTAL	Application Number	14/033,245
	Filing Date	September 20, 2013
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON
STATEMENT BY APPLICANT	Art Unit	2634
(Use as many sheets as necessary)	Examiner Name	BOCURE, Tesfaldet
Sheet 1 of 4	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	NPL1	Opinion, with Errata, filed in Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al., Case Nos. 13-1092, -1093, -1095, -1097, -1098, -1099, -1100, -1101, and -1103, United States Court of Appeals for the Federal Circuit, filed January 27, 2014, 41 pages.	
	NPL2	Copy of Supplemental Notice of Allowability for U.S. Appl. No. 13/154,211, mailed November 26, 2013, 4 pages.	
	NPL3	Copy of Notice of Allowance for U.S. Appl. No. 13/101,994, mailed December 2, 2013, 7 pages.	
	NPL4	Copy of Notice of Allowance for U.S. Appl. No. 11/553,419, mailed December 18, 2013, 6 pages.	
	NPL5	Copy of Supplemental Notice of Allowability for U.S. Appl. No. 13/154,211, mailed December 19, 2013, 4 pages.	
	NPL6	Copy of Non-Final Office Action for U.S. Appl. No. 14/035,716, mailed December 20, 2013, 12 pages.	
***************************************	NPL7	Copy of Notice of Allowance for U.S. Appl. No. 14/035,712, mailed December 20, 2013, 8 pages.	
	NPL8	Copy of Non-Final Office Action for U.S. Appl. No. 14/035,719, mailed December 20, 2013, 11 pages.	
	NPL9	Copy of Final Office Action for U.S. Appl. No. 12/690,125, mailed December 27, 2013, 12 pages.	
	NPL10	Copy of Corrected Notice of Allowability for U.S. Appl. No. 11/553,419, mailed January 14, 2014, 2 pages.	

A			
Examiner	T- afallet D (Date	02/22/2014
Signature	/ I estaldet Bocure/	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Сотр	lete if Known	
Application Number	14/033,245	
Filing Date	September 20, 2013	
First Named Inventor	James J. FALLON	
Art Unit	2634	
Examiner Name	BOCURE, Tesfaldet	7
Attorney Docket Number	2855.0050007	

Sheet	2	of 4	Attorney Docket Number 2855.0050007	
		Non Pat	ENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	appropriate), title of	the author (in CAPITAL LETTERS), title of the article (when f the item (book, magazine, journal, serial, symposium, catalog, volume number, publisher, city and/or country where published	T ²
	NPL11	Copy of Notice of Allo 9 pages.	owance for U.S. Appl. No. 14/035,561, mailed January 16, 2014,	
	NPL12	Copy of Corrected Not January 31, 2014, 2 pa	tice of Allowability for U.S. Application No. 11/553,419, mailed ages.	
	NPL13	Copy of Non-Final Off 2014, 23 pages.	fice Action for U.S. Appl. No. 13/118,122, mailed February 19,	choose
	NPL14		ue an Inter Partes Reexamination Certificate in Inter Partes . Patent No. 7,378,992, Control No. 95/001,928, mailed 0 pages.	****
	NPL15	1	ue an Inter Partes Reexamination Certificate in Inter Partes . Patent No. 7,161,506, Control No. 95/001,926, mailed 0 pages.	
	NPL16	41.77(b) in Inter Partes	st to Reopen Prosecution Before the Examiner under 37 C.F.R. § s Reexamination of U.S. Patent No. 7,417,568, Control No. ember 2, 2013, 41 pages.	****
	NPL17	41.77(b) in Inter Partes	st to Reopen Prosecution Before the Examiner under 37 C.F.R. § s Reexamination of U.S. Patent No. 7,777,651, Control No. ember 2, 2013, 57 pages.	>0000
	NPL18	41.77(b) in Inter Partes	st to Reopen Prosecution Before the Examiner under 37 C.F.R. § s Reexamination of U.S. Patent No. 7,400,274, Control No. ember 2, 2013, 33 pages.	
	NPL19		ation Certificate in Inter Partes Reexamination of U.S. Patent No. p. 95/001,922, mailed December 5, 2013, 2 pages.	
	NPL20	Petition to Strike Paten	n Under 37 C.F.R. § 1.182 in Opposition to CME Group's nt Owner's Proposed New Claims, in Inter Partes Reexamination 17,568, Control No. 95/001,533, mailed January 2, 2014, 8 pages.	

	,		,
Examiner	/Tesfaldet Bocure/	Date	02/22/2014
Signature		Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

Substitute for form 1449/PTO		Complete if Known				
ETD COLORD ENGINEER I		Application Number	14/033,245	•••••••		
FIRST SUPPLEMENTAL		Filing Date	September 20, 2013	······		
INFO	INFORMATION DISCLOSURE First Named Inventor James J. FALLON					
STAT	EMEN	T BY APPLICANT	Art Unit	2634		
	(Use as mo	any sheets as necessary)	Examiner Name	BOCURE, Tesfaldet		
Sheet	3	of 4	Attorney Docket Number	2855.0050007		
		Non Patent Li	TERATURE DOCUMENTS		·····	
Examiner Initials*	Cite No. ¹	appropriate), title of the iter	or (in CAPITAL LETTERS) n (book, magazine, journal, number, publisher, city and/	serial, symposium, catalog,	Т	
	NPL21	Patent Owner's Petition Under Petition to Strike Patent Owne of U.S. Patent No. 7,400,274,	r's Proposed New Claims, ir	Inter Partes Reexamination		
	NPL22	Patent Owner's Petition Under 37 C.F.R. § 1.182 in Opposition to CME Group's Petition to Strike Patent Owner's Proposed New Claims, in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed January 2, 2014, 10 pages.				
	NPL23		Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,161,506, Control No. 95/001,926, mailed January 8, 2014, 2 pages.			
	NPL24		Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,378,992, Control No. 95/001,928, mailed January 8, 2014, 3 pages.			
	NPL25	Examiner's Determination Under 37 C.F.R. § 41.77(d) in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, mailed January 14, 2014, 11 pages.				
	NPL26	Patent Owner's Petition Under Improper Response Under 37 Patent No. 7,417,568, Control	C.F.R. § 41.77(c), in Inter P	artes Reexamination of U.S.	dinoran management of the second	
	NPL27	Patent Owner's Petition Under Improper Response Under 37 Patent No. 7,400,274, Control	C.F.R. § 41.77(c), in Inter P	artes Reexamination of U.S.	****	

- 5				······
-	Examiner		Date	00/00/0044
9	Signature	/ Lesfaldet Bocure/	Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional)

Applicant is to place a check mark here if English language Translation is attached. ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THRO

Patent Owner's Petition Under 37 C.F.R. § 1.181 to Strike Third Party Requester's Improper Response Under 37 C.F.R. § 41.77(c), in Inter Partes Reexamination of U.S.

Patent No. 7,777,651, Control No. 95/001,581, mailed January 22, 2014, 3 pages. Patent Owner's Petition Under 37 C.F.R. § 1.181 to Strike Third Party Requester's Improper Response Under 37 C.F.R. § 1.132, in Inter Partes Reexamination of U.S.

Patent No. 7,417,568, Control No. 95/001,533, mailed January 22, 2014, 3 pages. Patent Owner's Petition Under 37 C.F.R. § 1.181 to Strike Third Party Requester's

Improper Response Under 37 C.F.R. § 1.132, in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed January 22, 2014, 3 pages.

NPL28

NPL29

NPL30

Substitute for form 1449/PTO

4

Sheet

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known				
Application Number	14/033,245			
Filing Date	September 20, 2013			
First Named Inventor James J. FALLON				
Art Unit 2634				
Examiner Name	BOCURE, Tesfaldet			
Attorney Docket Number	2855.0050007			

		NON PATENT LITERATURE DOCUMENTS	نسسند
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	Т
	NPL31	Patent Owner's Petition Under 37 C.F.R. § 1.181 to Strike Third Party Requester's Improper Response Under 37 C.F.R. § 1.132, in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed January 22, 2014, 3 pages.	
· · · · · · · · · · · · · · · · · · ·	NPL32	Patent Owner's Request For Rehearing Under 37 C.F.R. § 41.79, in Inter Partes Reexamination of U.S. Patent No. 7,714,747, Control No. 95/001,517, filed February 14, 2014, 11 pages.	
	NPL33	Court Docket History for 6:10-cv-00493-LED-JDL, Realtime Data, LLC d/b/a IXO, v. T-Mobile, USA Inc., downloaded January 30, 2014, 78 pages.	
	NPL34	Court Docket History for 1:11-cv-06696-RJH, Realtime Data, LLC d/b/a IXO, v. Morgan Stanley et al., downloaded January 30, 2014, 80 pages.	
	NPL35	Court Docket History for 1:11-cv-06697-UA, Realtime Data, LLC d/b/a IXO, v. CME Group Inc. et al., downloaded January 30, 2014, 105 pages.	
	NPL36	Court Docket History for 1:11-cv-06698-UA, Realtime Data, LLC d/b/a IXO, v. Thomson Reuters et al., downloaded January 30, 2014, 59 pages.	
	NPL37	U.S. Patent Application No. 14/134,926, FALLON, et al., "System and Methods for Video and Audio Data Distribution," filed December 19, 2013.	
	NPL38	U.S. Patent Application No. 14/134,933, FALLON, et al., "System and Methods for Video and Audio Data Distribution," filed December 19, 2013.	
: 1			MARKATA CONTROL OF THE PARTY OF
			-

1814266_1

			hammannaman
Examiner	/Tesfaldet Booure/	Date	00/00/0014
Signature	/ Testaldet Boodio/	Considered	02/22/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

Substitute for form 1449/PTO

Sheet

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	14/033,245			
Filing Date	September 20, 2013			
First Named Inventor	James J. FALLON			
Art Unit	2634			
Examiner Name	BOCURE, Tesfaldet			
Attorney Docket Number	2855.0050007			

	U.S. PATENT DOCUMENTS					
_	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner initials*	Chairman 1	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
	USI	5,784,631	07-21-1998	Wise		
	US2	5,807,036	09-15-1998	Lostlen		
	US3	5,856,797	01-05-1999	Kawauchi		
	US4	6,009,491	12-28-1999	Roppel et al.		
	US5	7,327,287 B2	02-05-2008	Martinian et al.		
	US6	7,711,938 B2	05-04-2010	Wise et al.		
	US7	8,004,431 B2	08-23-2011	Reznik		
	US8	2001/0019630 A1	09-06-2001	Johnson		
<u> </u>						
<u> </u>						

<u> </u>	İ					

	1					
	<u> </u>	·				

	FOREIGN PATENT DOCUMENTS					
Eveninas		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where	_
Examiner initials*	Cite No.1	Country Code ³ Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	Т6
					20.00	
		•				

1814261_1

		********************	::::::::::::::::::::::::::::::::::::::
Examiner	(Tenfoldet Denuze/	Date	02/22/2014
Signature	/ restaider Bocure/	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japaness patent documents, the majoritary of the respect of the respective property of the respective property of the respective property of the patent propriate symbots as indicated on the document under WIPO Standard ST. To if possible. ⁴ Applicant is to place a check many netering the English language Translation is attached.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and

Audio Data Storage and

Distribution

Amendment and Reply Under 37 C.F.R. § 1.111

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

In reply to the Office Action dated February 26, 2014 ("Office Action"), Applicant submits the following Amendment and Remarks.

It is not believed extensions of time are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any additional fees required to continue prosecution or appeal of this application (including issue fee, fees for net addition of claims or forwarding to appeal) are hereby authorized to be charged to our Deposit Account No. 19-0036.

Amendments to the Specification

- Applicant respectfully requests ¶ [0001] of the Specification as filed on 1. September 20, 2013 be amended as follows:
 - [0001] This application is a continuation of United States Patent Application No. 13/154,239, filed on June 6, 2011, now U.S. Patent No. 8,553,759, which is a continuation of United States Patent Application No. 12/123,081, filed on May 19, 2008, now U.S. Patent No. 8,073,047, which is a continuation of United States Patent Application No. 10/076,013, filed on February 13, 2002, now U.S. Patent No. 7,386,046, which claims the benefit of United States Provisional Application No. 60/268,394, filed on February 13, 2001, each of which is fully incorporated herein by reference in its entirety.
- 2, Applicant respectfully requests ¶ [0054] of the Specification as filed on September 20, 2013 be amended as follows:
 - The present invention is directed to a system and method for [0054] compressing and decompressing based on the actual or expected throughput (bandwidth) of a system employing data compression. Although one of ordinary skill in the art could readily envision various implementations for the present invention, a preferred system in which this invention is employed comprises a data storage controller that preferably utilizes a real-time data compression system to provide "accelerated" data storage and retrieval bandwidths. The concept of "accelerated" data storage and retrieval was introduced in co-pending U.S. Patent Application Serial No. 09/266,394, filed March 11, 1999, entitled "System and Methods For Accelerated Data Storage and Retrieval," now U.S. Patent No. 6,601,104, and eo-pending U.S. Patent Application Serial No. 09/481,243, filed January 11, 2000, entitled "System and Methods For Accelerated Data Storage and Retrieval," now

<u>U.S. Patent No. 6,604,158</u>, both of which are commonly assigned and incorporated herein by reference.

- 3. Applicant respectfully requests ¶ [0079] of the Specification as filed on September 20, 2013 be amended as follows:
 - [0079] Further, the present invention can be implemented with a data storage controller utilizing data compression and decompression to provided accelerated data storage and retrieval from a mass storage device. Exemplary embodiments of preferred data storage controllers in which the present invention may be implemented are described, for example, in U.S. Patent Application Serial No. 09/775,905, filed on February 2, 2001, entitled "Data Storewidth Accelerator", now U.S. Patent No. 6,748,457, which is commonly assigned and fully incorporated herein by reference.
- 4. Applicant respectfully requests ¶ [0083] of the Specification as filed on September 20, 2013 be amended as follows:
 - [0083] The storage controller 120 further comprises computer reset and power up circuitry 128 (or "boot configuration circuit") for controlling initialization (either cold or warm boots) of the host computer system and storage controller 120. A preferred boot configuration circuit and preferred computer initialization systems and protocols are described in U.S. Patent Application Serial No. 09/775,897, filed on February 2, 2001, entitled "System and Methods For Computer Initialization," now abandoned, which is commonly assigned and incorporated herein by reference. Preferably, the boot configuration circuit 128 is employed for controlling the initializing and programming the programmable logic device 122 during configuration of the host computer system (i.e., while the CPU of the host is held in reset). The boot configuration circuit 128 ensures that the programmable logic device 122 (and possibly other

Reply to Office Action of February 26, 2014

volatile or partially volatile logic devices) is initialized and programmed before the bus 116 (such as a PCI bus) is fully reset. In particular, when power is first applied to the boot configuration circuit 128, the boot configuration circuit 28 generates a control signal to reset the local system (e.g., storage controller 120) devices such as a DSP, memory, and I/O interfaces. Once the local system is powered-up and reset, the controlling device (such as the DSP 121) will then proceed to automatically determine the system environment and configure the local system to work within that environment. By way of example, the DSP 121 of the disk storage controller 120 would sense that the data storage controller 120 is on a PCI computer bus (expansion bus) and has attached to it a hard disk on an IDE interface. The DSP 121 would then load the appropriate PCI and IDE interfaces into the programmable logic device 122 prior to completion of the host system reset. Once the programmable logic device 122 is configured for its environment, the boot device controller is reset and ready to accept commands over the computer/expansion bus 116.

-4-

Realtime Data, LLC Appl. No. 14/033,245

Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application.

1. (Currently Amended) A method, comprising:

determining a parameter or attribute of <u>at least a portion of</u> a data block <u>having</u> audio or video data;

selecting an access profile from among a plurality of access profiles based [[on]]

upon the determined parameter or attribute; and

compressing the at least the portion of the data block with one or more compressors using asymmetric data compression utilizing and information from the selected access profile to create one or more compressed data blocks, the information being indicative of the one or more compressors to apply to the at least the portion of the data block to create the one or more compressed data blocks.

- 2. (Cancelled)
- 3. (Currently Amended) [[The]] <u>A</u> method, of claim 1, wherein the compressing comprises comprising:

determining a parameter or attribute of at least a portion of a data block;

selecting an access profile from among a plurality of access profiles based upon the determined parameter or attribute; and

compressing the at least the portion of the data block with one or more compressors utilizing information from the selected access profile to create one or more

compressed data blocks, the information being indicative of the one or more compressors to apply to the at least the portion of the data block,

wherein the one or more compressors utilize slow compress encoders and fast decompress decoders, and

wherein compressing the at least the portion of the data block with the slow compress encoders takes more time than decompressing the at least the portion of the data block with the fast decompress decoders if the time were measured with the slow compress encoders and fast decompress decoders running individually on a common host system.

4. (Currently Amended) The method of claim 1, wherein the data block is from among a plurality of data blocks, and wherein the compressing comprises:

compressing one or more the plurality of data blocks to create the one or more compressed data blocks.

5. (Currently Amended) The method of claim 4, wherein the one or more plurality of data blocks comprises:

one or more files.

6. (Currently Amended) The method of claim [[4]] 1, wherein the one or more compressed data blocks comprise:

one or more files.

7. (Currently Amended) The method of claim 1, further comprising: storing at least a portion of the one or more compressed data blocks in one or

Reply to Office Action of February 26, 2014

more files.

- 8. (Currently Amended) The method of claim 1, further comprising: storing at least a portion of the one or more compressed data blocks.
- 9. (Currently Amended) The method of claim 8, further comprising: retrieving at least a portion of the at least stored portion of the one or more compressed stored data blocks;

transmitting the <u>at least</u> retrieved <u>portion of the at least stored portion of the</u>

<u>compressed</u> one or more <u>compressed</u> data blocks over the Internet; and

decompressing the <u>at least</u> transmitted and compressed portion of the at least stored portion of the one more compressed data blocks in real-time.

10. (Currently Amended) The method of claim 1, wherein the one or more compressors is further comprising:

selecting the one or more compressors selected to compress the at least the portion of the data block to create at least a second compressed data block based upon a number of reads of at least a portion of a first compressed data block that was created from the at least the portion of the data block to provide a compressed data block.

- 11. (Cancelled)
- 12. (Currently Amended) A method, comprising:

 determining one or more a parameter[[s]] or an attribute[[s]] of at least a portion

Reply to Office Action of February 26, 2014

of a data block;

selecting one or more asymmetric compressors from among a plurality of compressors based upon the one or more determined parameter[[s]] or attribute[[s]];

compressing the <u>at least the portion of the</u> data block with the selected one or more asymmetric compressors to provide one or more compressed data blocks; and storing <u>at least a portion of</u> the one or more compressed data blocks.

- 13. (Currently Amended) The method of claim [[12]] 18, further comprising: retrieving and transmitting the one or more of at least a portion of the at least stored at least one or more portions of the one or more compressed data blocks based[[,]] at least in part, upon a user command.
- 14. (Currently Amended) The method of claim [[12]] 18, further comprising: retrieving and transmitting at least a portion of the one or more at least stored one or more portions of the one or more compressed data blocks based upon[[,]] at least in part, a user value.
- 15. (Currently Amended) The method of claim [[12]] 18, further comprising: retrieving and transmitting at least a portion of the one or more of the at least stored one or more at least portions of the one or more compressed data blocks based upon a utilized capacity of a portion of a memory device.
 - 16. (Currently Amended) The method of claim [[12]] 18, further comprising: retrieving and transmitting at least a portion of the one or more of the at least

stored at least one or more portions of the one or more compressed data blocks based upon a bandwidth throughput of a transmission link a communication channel used for transmission of the at least retrieved portion of the at least one or more stored one or more portions of the one or more compressed data blocks.

- 17. (Currently Amended) The method of claim [[12]] 18, wherein the at least stored one or more portions of the one or more compressed data blocks comprises: audio or video information.
- 18. (Currently Amended) The method of claim 12, wherein the compressing comprises:

compressing the at least the portion of the data block with multiple the selected one or more asymmetric compressors to create multiple one or more portions of the one or more compressed data blocks, the at least the portion of the data block having been compressed with the one or more selected asymmetric compressors to create the one or more portions of the one or more compressed data blocks. [[,]] and further comprising wherein the storing comprises:

storing at least the one or more portions of the multiple one or more compressed data blocks in one file.

19. (Currently Amended) The method of claim [[12]] 18, further comprising: retrieving and transmitting the one or more at least a portion of the at least one or more stored portions of the one or more compressed data blocks occurs in real-time; and decompressing the one or more a portion of the at least transmitted portion of the

at least the portion of the one or more compressed data blocks after transmission in realtime.

20. (Currently Amended) The method of claim 12, wherein the one or more asymmetric compressors is selected, at least in part, wherein the selecting comprises:

selecting the one or more asymmetric compressors based upon the determined parameter or attribute and a number of reads of the at least the portion of the data block to provide the one or more compressed data blocks.

21. (Currently Amended) The method of claim 12, further comprising:

decompressing at least a portion of the one or more compressed data blocks to provide [[a]] one or more decompressed data blocks based [[on]] upon a first number of reads of the least the portion of one or more compressed data blocks; and

recompressing at least a portion of the one or more decompressed data blocks with the one or more asymmetric compressors to provide a recompressed data block.

22. (Currently Amended) The method of claim 21, wherein the selection of the one or more asymmetric compressors encoders for recompressing the decompressed data block was [[is]] based [[on]] upon a second number of reads of the at least the portion of the one or more compressed data blocks[[,]] [[and]]

wherein the first and second number of reads may be the same or different from one another.

23. - 27. (Cancelled)

28. (Currently Amended) A method, comprising:

selecting one or more compressors based upon a number of reads of at least a portion of a data block having audio or video data to identify one or more selected compressors;

compressing at least a portion of a second data block with [[a]] the one or more selected compressors using asymmetric data compression that is selected based upon a number of reads of the data block to provide a compressed data block[[;]] [[and]]

if the number of reads of the data block exceeds a threshold:

decompressing the compressed data block to provide a decompressed data block; and

recompressing the decompressed data block with the selected compressor based on the number of reads to provide a recompressed data block.

- 29. (Currently Amended) The method of claim 28, wherein the number of reads of the at least the portion of the data block occurs within a given period of time.
- 30. (Currently Amended) The method of claim 28, further comprising: retrieving and transmitting a portion of the compressed or the recompressed data block based upon a user command.
- 31. (New) The method of claim 1, wherein the determining of the parameter or attribute of the portion the data block excludes determining based only upon reading a descriptor of the at least the portion of the data block.

32. (New) The method of claim 1, wherein the at least the portion of the data block is from among a plurality of data blocks; and wherein the compressing comprises:

compressing at least a portion of the plurality of data blocks with the one or more compressors using the asymmetric data compression and the information to create the one or more compressed data blocks.

33. (New) The method of claim 32, wherein the plurality of data blocks or the one or more compressed data blocks comprise:

at least a portion of a file.

34. (New) The method of claim 1, wherein the compressing comprises:

compressing the at least the portion of the data block with the selected one or more asymmetric compressors to create one or more portions of the one or more compressed data blocks, the at least the portion of the data block having been compressed with the selected one or more asymmetric compressors to create the one or more portions of the one or more compressed data blocks, and further comprising:

storing at least the one or more portions of the one or more compressed data blocks.

35. (New) The method of claim 34, further comprising:

retrieving at least a portion of the at least stored one or more portions of the one or more compressed data blocks;

Reply to Office Action of February 26, 2014

transmitting the at least retrieved portion of the at least stored one or more portions of the one or more compressed data blocks over the Internet; and

decompressing the at least transmitted portion of the at least stored one or more portions of the one or more compressed data blocks in real-time.

36. (New) The method of claim 18, further comprising:

retrieving at least a portion of the at least stored one or more portions of the one or more compressed data blocks based upon a utilized capacity of one or more central processing units (CPUs).

37. (New) The method of claim 13, wherein the retrieving is based upon a utilized capacity of one or more central processing units (CPUs).

Remarks

Reconsideration of this Application is respectfully requested.

Upon entry of the foregoing amendment, claims 1, 3-10, 12-22, and 28-37 are pending in the application, with claims 1, 3, 12, and 28 being the independent claims. Claims 1, 3-10, 12-22, and 28-30 are sought to be amended. Claims 2, 11, and 23-27 are sought to be canceled without prejudice to or disclaimer of the subject matter therein. Applicant reserves the right to prosecute similar or broader claims, with respect to the cancelled and/or amended claims, in the future. New claims 31-37 are sought to be added. These changes are believed to introduce no new matter, and their entry is respectfully requested.

Based on the above amendment and the following remarks, Applicant respectfully requests the Examiner reconsider all outstanding objections and rejections and that they be withdrawn.

Prioritized Examination

The request for prioritized examination for this Application was granted on October 13, 2013. Applicant has canceled, without prejudice to or disclaimer of the subject matter therein, claims 2, 11, and 23-27 and added claims 31-37 in this Amendment and Reply. Accordingly, this Application continues to contain four independent claims and thirty total claims. And Applicant is timely filing this Amendment and Reply without a petition for extension of time. Accordingly, this Application remains eligible for prioritized examination.

Objection to the Specification

The specification stands objected to because of alleged informalities. Without acquiescing to the merits of this allegation, Applicant has amended the specification to accommodate the Examiner's objection. Accordingly, Applicant respectfully requests the objection to the specification be reconsidered and withdrawn.

Rejections under 35 U.S.C. § 112

Claims 11 and 28-30 stand rejected under 35 U.S.C. § 112, first paragraph, for allegedly failing to comply with the written description requirement. Without acquiescing to the merits of this allegation, Applicant has cancelled claim 11, without prejudice or disclaimer, and amended independent claim 28 to accommodate the Examiner's rejection. Applicant contends the canceling and/or amending of these claims does not give rise to any implication regarding whether Applicant agrees with or acquiesces to this rejection.

Rejections under 35 U.S.C. § 102

Claims 1 and 4-10

Claims 1 and 4-10 stand under 35 U.S.C. § 102(e) as allegedly being anticipated by United States Patent No. 6,374,353 to Settsu et al. ("Settsu"). Applicant respectfully traverses the rejection and provides the following arguments to support patentability.

The Office Action indicates "[c]laims 2 and 3 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims." (Office Action, p. 8). Without acquiescing to the merits of this allegation and for the purpose of

Appl. No. 14/033,245

advancing prosecution of this Application, Applicant has amended independent claim 1 to substantially incorporate most of the features that were previously recited by dependent claim 2, now cancelled. The amendments to independent claim 1 presented herewith does not give rise to any implication regarding whether Applicant agrees with or acquiesces to this rejection.

Accordingly, Applicant believes independent claim 1 should be in condition for allowance. Applicant believes dependent claims 4-10 should likewise be in condition for allowance for at least the same reasons as the independent claims from which they depend and further in view of their own respective features. Accordingly, Applicant respectfully requests the rejection of claims 1 and 4-10 under 35 U.S.C. § 102(e) be reconsidered and withdrawn.

Allowed Claims

Applicant thanks the Examiner for indicating the allowability of claims 12-22 in the Office Action. Applicant believes these claims should remain allowed even in light of the amendments to some of these claims presented herewith.

Allowable Subject Matter

Claims 2 and 3

Claims 2 and 3 stand objected to as allegedly "being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims." (Office Action, p. 8). Without acquiescing to the merits of this allegation, Applicant has cancelled dependent claim 2 without prejudice or disclaimer, thereby rendering moot the objection of the same.

Applicant contends the canceling of this claim does not give rise to any implication regarding whether Applicant agrees with or acquiesces to this objection. In regard to dependent claim 3, Applicant has written this claim into independent form incorporating most of the features that were previously recited by independent claim 1. The amendments to independent claim 3 presented herewith does not give rise to any implication regarding whether Applicant agrees with or acquiesces to this objection. Accordingly, Applicant respectfully requests the objection of claims 3 be reconsidered and withdrawn.

Addition of New Claims

Claims 31-37

New claims 31-37 have been added. From the discussion above, Applicant has traversed the rejections to independent claims 1 and 12. Applicant believes dependent claims 31-37 should likewise be allowable for at least the same reasons as the independent claims from which they respectively depend and further in view of their own respective features.

Withdraw of Claims 23-27

The Examiner has withdrawn claims 23-27 in the Office Action from examination in the Office Action. (Office Action, p. 3). Although the Examiner has not contacted Applicant's representatives to discuss this withdrawal of claims 23-27 or provided any reasoning for this withdrawal of claims 23-27, Applicant has cancelled claims 23-27, without prejudice or disclaimer, in this Amendment and Reply for the sole purpose of accommodating the Examiner's request. Applicant contends the canceling of

Realtime Data, LLC Appl. No. 14/033,245

Reply to Office Action of February 26, 2014

these claims does not give rise to any implication regarding whether Applicant agrees

with or acquiesces to this withdrawal of claims 23-27.

Conclusion

All of the stated grounds of objection and rejection have been properly traversed,

accommodated, or rendered moot. Applicant therefore respectfully requests the

Examiner reconsider all presently outstanding objections and rejections and that they be

withdrawn. Applicant believes a full and complete reply has been made to the

outstanding Office Action and, as such, the present application is in condition for

allowance. If the Examiner believes, for any reason, personal communication will

expedite prosecution of this application, the Examiner is invited to telephone the

undersigned at the number provided.

Prompt and favorable consideration of this Amendment and Reply is respectfully

requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant

Registration No. 37,575

Date: 1/2 2018

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

1820655_1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and Audio Data Storage and

Distribution

Second Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Notice of Prior and Concurrent Proceedings

Applicants hereby call to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent No. 6,601,104	Ex Parte Reexamination
(Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No. 7,714,747 (Control No. 95/001,517)	Patent Owner's Request for
7,714,747 (Control No. 95/001,517)	Rehearing Under 37 C.F.R. § 41.79 mailed 02/14/2014
Inter Partes Reexamination of U.S. Patent No.	Patent Owner's Corrected
7,417,568 (Control No. 95/001,533)	Request to Reopen
	Prosecution under 37 C.F.R.
	§ 41.77(b) filed 03/11/2014
Inter Partes Reexamination of U.S. Patent No.	Patent Owner's Corrected
7,777,651 (Control No. 95/001,581)	Request to Reopen
	Prosecution under 37 C.F.R.
	§ 41.77(b) filed 03/11/2014
Inter Partes Reexamination of U.S. Patent No.	Patent Owner's Corrected
7,400,274 (Control No. 95/001,544)	Request to Reopen
	Prosecution under 37 C.F.R.
	§ 41.77(b) filed 03/11/2014

Applicants hereby call to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No. 7,321,937 (Control No. 95/001,922)	Inter Partes Reexamination Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent No. 6,604,158 (Control No. 95/001,923)	Patent Owner's Supplemental Reply to Action Closing Prosecution filed 02/27/2014
Inter Partes Reexamination of U.S. Patent No. 7,352,300 (Control No. 95/001,924)	Right of Appeal Notice mailed 08/29/2013
Inter Partes Reexamination of U.S. Patent No. 7,395,345 (Control No. 95/001,925)	Patent Owner's Supplemental Reply to Action Closing Prosecution filed 02/27/2014
Inter Partes Reexamination of U.S. Patent No. 7,161,506 (Control No. 95/001,926)	Inter Partes Reexamination Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent No. 7,415,530 (Control No. 95/001,927)	Inter Partes Reexamination Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No. 7,378,992 (Control No. 95/001,928)	Inter Partes Reexamination Certificate issued 01/08/2014

Applicants invite the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to the reexaminations are listed as NPL7-NPL14.

Notice of Related Litigation

Applicants notify the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al., No. 6:08-cv-00144-LED (E.D. Texas)	Dismissed

Applicants also notify the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-ev-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-ev-00326-LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York)	Opinion of the Court of Appeals for the

	(transferred from E.D. Texas; No. 6:09-cv-00327- LED)	Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. III.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2
9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Notice of Appeal Filed

Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

- 5 -

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. The required fee is provided through online credit card payment authorization in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

Copies of documents NPL1-NPL14 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited as documents US1-US4 on the attached IDS Forms are submitted.

Additionally, a copy of document **NPL15** is not provided in accordance with the U.S. Patent and Trademark Office Official Gazette notice of October 19, 2004, which states: "the requirement in 37 C.F.R. § 1.98(a)(2)(iii) for a legible copy of the specification, including the claims, and drawings of each cited pending U.S. patent application (or portion of the application which caused it to be listed) is *sua sponte* waived where the cited pending application is stored in the USPTO's IFW system."

Applicants submit herewith Office Actions from the following co-pending, commonly-assigned U.S. Patent Application Nos.:

Document **NPL1** is a copy of a Notice of Allowance mailed February 20, 2014, in the prosecution of co-pending, commonly-assigned U.S. Application No. 13/101,994.

Document **NPL2** is a copy of a Supplemental Notice of Allowance mailed February 25, 2014, in the prosecution of co-pending, commonly-assigned U.S. Application No. 12/857,238.

Document NPL3 is a copy of a Non-Final Office Action mailed February 25, 2014, in the prosecution of co-pending, commonly-assigned U.S. Application No. 14/134,933.

Document **NPL4** is a copy of a Non-Final Office Action mailed February 27, 2014, in the prosecution of co-pending, commonly-assigned U.S. Application No. 14/134,926.

Document **NPL5** is a copy of a Final Office Action mailed April 8, 2014, in the prosecution of co-pending, commonly-assigned U.S. Application No. 09/969,987.

Document **NPL6** is a copy of a Non-Final Office Action mailed May 9, 2014, in the prosecution of co-pending, commonly-assigned U.S. Application No. 13/403,785.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE KEŞSLER, GOLDSZEIN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicants Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

My 27, 2014

1826487 1

Substitute for form 1449/PTO		Complete if Known				
C	7.00 N T	TA CITITATA TANGTAN	TERT A. W	Application Number	14/033,245	
SECOND SUPPLEMENTAL			Filing Date	September 20, 2013		
INF	ORM.	ATION DISCLO	SURE	First Named Inventor	James J. FALLON	
STA	ATEM	ENT BY APPLI	CANT	Art Unit	2634	
,		as many sheets as necessary)		Examiner Name	BOCURE, Tesfaldet	-
Sheet	1	of 1		Attorney Docket Number	2855.0050007	
			U.S. PATE	NT DOCUMENTS		
	Circ	Document Number				
Examiner initials*	Cite No. ¹	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures A	ppear
	US1	5,881,104	03-09-1999	Akahane		
	US2	7,050,639 B1	05-23-2006	Barnes et al.		
	US3	2002/0078241 A1	06-20-2002	Vidal et al.		
	US4	2003/0058873 A1	03-27-2003	Geiger et al.		
		F	OREIGN PA	TENT DOCUMENTS		
		Foreign Patent Document	Publication		Pages, Columns, Lines, Whe	rė
Examiner initials*	Cite No. 1	Country Code ³ -Number ⁴ -Kind	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Docume	Relevant Passages or Releva Figures Appear	nt T
		Code ⁵ (if known)	300000	100000		_
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,						-
						-

Date Examiner Considered Signature

1826473 1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\) Applicant is to place a check mark here if Excelleb Loguege Templetion is attached. English language Translation is attached.

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO	Complete if Known		
SECOND SUPPLEMENTAL	Application Number	14/033,245	
	Filing Date	September 20, 2013	
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON	
STATEMENT BY APPLICANT	Art Unit	2634	
(Use as many sheets as necessary)	Examiner Name	BOCURE, Tesfaldet	
Sheet 1 of 2	Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite No. 1					
	NPL1	Copy of Notice of Allowance for U.S. Appl. No. 13/101,994, mailed February 20, 2014, 5 pages.			
	NPL2	Copy of Supplemental Notice of Allowance for U.S. Appl. No. 12/857,238, mailed February 25, 2014, 2 pages.			
***************************************	NPL3	Copy of Non-Final Office Action for U.S. Appl. No. 14/134,933, mailed February 25, 2014, 7 pages.			
	NPL4	Copy of Non-Final Office Action for U.S. Appl. No. 14/134,926, mailed February 27, 2014, 16 pages.			
	NPL5	Copy of Final Office Action for U.S. Appl. No. 09/969,987, mailed April 8, 2014, 8 pages.			
	NPL6	Copy of Non-Final Office Action for U.S. Application No. 13/403785, mailed May 9, 2014, 5 pages.			
	NPL7	Declaration of Dr. James W. Modestino under 37 C.F.R. § 1.132 in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, executed November 29, 2013; 51 pages.			
	NPL8	Declaration of Dr. James W. Modestino under 37 C.F.R. § 1.132 in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, executed November 29, 2013; 49 pages.			
	NPL9	Declaration of Dr. James W. Modestino under 37 C.F.R. § 1.132 in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, executed November 29, 2013; 50 pages.			
	NPI10	Patent Owner's Supplemental Reply to Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, filed February 27, 2014, 10 pages.			

	 	· · · · · · · · · · · · · · · · · · ·
Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute for	form 1449/P	ro:		***************************************		Сотр	lete if Known	Λ	
SEC	OND S	TITE I	DIEN		TAT	Application Number	14/033,245		
						Filing Date	September 20, 2013		
	RMAT					First Named Inventor	James J. FALLON		
STAT	EMEN				CANT	Art Unit	2634		
	(Use as mo	iny shee	ets as nec	essary)		Examiner Name	BOCURE, Tesfaldet		
Sheet	2	of	2			Attorney Docket Number	2855.0050007		
				Non P	ATENT LIT	TERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹		ropriate), title of	f the item (be	nor (in CAPITAL LETTERS), title of the article (when (book, magazine, journal, serial, symposium, catalog, etc.), number(s), publisher, city and/or country where published			
	NPL11	Ree		tion of U		eply to Action Closing Prose o. 7,395,345, Control No. 9.			
NPL12 Corrected Request to Reopen Prosecution Before the Examiner under 37 C.F.R. § 41,77(b) in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed March 11, 2014, 48 pages.									
NPL13 Corrected Request to Reopen Prosecution Before the Examiner under 37 C.F.R. § 41.77(b) in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed March 11, 2014, 39 pages.									
	NPL14	41.7	7(b) in	Inter Par	tes Reexam		Examiner under 37 C.F.R. § t No. 7,777,651, Control No.		
	NPL15			A A.		251,453, James J. FALLON, ril 11, 2014.	, "Data Compression		
				·					

1826475_1

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Electronic Patent Application Fee Transmittal								
Application Number:	140	033245						
Filing Date:	20-	Sep-2013						
Title of Invention:	Sys	Systems and Methods for Video and Audio data Storage and Distribution.						
First Named Inventor/Applicant Name:	James J. Fallon							
Filer:	Michael V. Messinger							
Attorney Docket Number:	2855.0050007							
Filed as Large Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:	Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	(\$)	180	

Electronic Acknowledgement Receipt				
EFS ID:	19136277			
Application Number:	14033245			
International Application Number:				
Confirmation Number:	9902			
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.			
First Named Inventor/Applicant Name:	James J. Fallon			
Customer Number:	26111			
Filer:	Michael V. Messinger/Lauren Harrison			
Filer Authorized By:	Michael V. Messinger			
Attorney Docket Number:	2855.0050007			
Receipt Date:	27-MAY-2014			
Filing Date:	20-SEP-2013			
Time Stamp:	17:31:07			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
File Listing	:							
Authorized Use	r							
Deposit Accour	nt							
RAM confirmat	ion Number	5300	5300					
Payment was su	uccessfully received in RAM	\$180						
Payment Type		Credit Card						
Submitted with	Payment	yes	yes					

1	Miscellaneous Incoming Letter	28550050007cl.pdf	531069 545efd87eec35967fce0a6a40dc2a9ad004b f370	no	2
Warnings:					
Information:			1		1
2		28550050007amendids.pdf	13180239	yes	28
			f4a490136c17423b333fe70ebbb37e7fcaa9 150b		
	Multip	part Description/PDF files in .	zip description		
	Document De	scription	Start	E	nd
	Amendment/Req. Reconsiderati	on-After Non-Final Reject	1		1
	Specificat	2		4	
	Claims	5		13	
	Applicant Arguments/Remarks	14		18	
	Transmittal	Transmittal Letter			
	Information Disclosure Stater	Information Disclosure Statement (IDS) Form (SB08)			
Warnings:					
Information:		Γ	T		
3	Non Patent Literature	NPL1_NOA_13101994_022020 14.pdf	347089 64fe53b0b8d5167275c8ece9757d4d7f27e ba1f5	no	5
Warnings:			1		
Information:					
4	Non Patent Literature	NPL2_SNOA_12857238_02252 014.pdf	77034	no	2
		014.pui	06cb69d9f199970989c7cf15030cbac4eb90 c779		
Warnings:					
Information:					
5	Non Patent Literature	NPL3_NFOA_14134933_02252	221548	no	7
-		014.pdf	e68d5e76762d9fcaa30370dfac4f574d1a9b 9f0c		·
Warnings:		•			•
Information:					
6	Non Patent Literature	NPL4_NFOA_14134926_02272 014.pdf	531170 7412340de6768bc46e2b48688cab61850df	no	16
Ma			844ca		
Warnings:					
Information:					

7	Non Patent Literature	NPL5_FOA_09969987_0408201 4.pdf	303601 a542a86be015dccef890dda3cbe43b1fc46e 7ebb	no	8
Warnings:			/eub		
Information:					
8	Non Patent Literature	NPL6_NFOA_13403785_05092	182588	no	5
	North atent Electrical	014.pdf	ba04e5ae6f2e68014b374ac418fe6af0f0e64 907	110	
Warnings:					
Information:		•			
9	Non Patent Literature	NPL7_Modestino_Declaration_ 95001533_11292013.pdf	4223192	no	51
		33001333_11232013.pui	50d17a8a2f7fcf5f4a105509b86bffceab01c a4a		
Warnings:					
Information:					
10	Non Patent Literature	NPL8_Modestino_Declaration_	3943689	no	49
		95001544_11292013.pdf	ee396a8937181d4a353e42a5905180533fb cd8bb		
Warnings:					
Information:					
11	Non Patent Literature	NPL9_Modestino_Declaration_ 95001581_11292013.pdf	4118296	no	50
			923ae77425f9b6fdac5e956da2e996bfc5bc 06be		
Warnings:					
Information:					
12	Non Patent Literature	NPL10_PO_Suppl_Reply_to_A CP_95001923_02272014.pdf	504577	no	10
		C1 _95001925_02272014.pui	08ded6d7e30d71a4fd7c70a61c43906f351f 29e2		
Warnings:					
Information:					
13	Non Patent Literature	NPL11_PO_Suppl_Reply_to_A	537505	no	9
		CP_95001925_02272014.pdf	220a2c853836c7116737b6dcde05e525ba2 40c9f		
Warnings:		1			
Information:					
14	Non Patent Literature	NPL12_Corrected_PO_Request _to_Reopen_Prosecution_9500		no	48
	North atent Literature	1533_03112014.pdf	aa66b30f60e7e142ff553ce973930fdc6cf9d f18	110	10
Warnings:					
Information:					
15	Non Patent Literature	NPL13_Corrected_PO_Request _to_Reopen_Prosecution_9500		no	39
		1544_03112014.pdf	f379fb10e4fa629745fbc0328ba2f96ae5e67 535		
Warnings:					
-					

16	Non Patent Literature	NPL14_Corrected_PO_Request _to_Reopen_Prosecution_9500		no	67
	Norri atent Enerature	l	8dff8efa9cc9d811cfe4cc0a2239dfb6ee226 349		,
Warnings:					
Information:					
17	Fee Worksheet (SB06)	fee-info.pdf .	29700	no	2
.,	, cc , on an ear (coo)	·	818d04e4cf6b17a7d4e090e1cf5577014232 286b		_
Warnings:					
Information:					
	Total Files Size (in bytes			461751	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

MICHAEL V. MESSINGER

DIRECTOR (202) 772-8667 MIKEM@SKGF.COM



May 27, 2014

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Confirmation No. 9902
Art Unit 2634
Attn: Mail Stop Amendment

Re: U.S. Utility Patent Application

Application No. 14/033,245; Filing Date: September 20, 2013

For: Systems and Methods for Video and Audio Data Storage and

Distribution

Inventors: FALLON et al. Our Ref: 2855.0050007

Commissioner:

Transmitted herewith for appropriate action are the following documents:

- 1. Online Credit Card Payment Authorization in the amount of \$180.00 to cover the Information Disclosure Statement fee:
- 2. Amendment and Reply Under 37 C.F.R. § 1.111;
- 3. Second Supplemental Information Disclosure Statement;
- 4. Form PTO/SB/08a (1 sheet) listing 4 documents (US1-US4);
- 5. Form PTO/SB/08b (2 sheets) listing 15 documents (NPL1-NPL15); and
- 6. Copies of cited documents (NPL1-NPL14).

The above-listed documents are filed electronically through EFS-Web.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents May 27, 2014 Page 2

Fee payment is provided through online credit card payment. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

MVM/MRM/leh Enclosures

1855219_1

PTO/SB/06 (09-11)

Approved for use through 1/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					on or Docket Number 4/033,245	Filing Date 09/20/2013	To be Mailed		
							ENTITY: 🛛 L	ARGE 🗌 SMA	LL MICRO
	APPLICATION AS FILED – PART I								
	(Column 1) (Column 2)								
ᄂ	FOR		NUMBER FIL	.ED	NUMBER EXTRA	_	RATE (\$)	F	EE (\$)
Ш	BASIC FEE (37 CFR 1.16(a), (b), (or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			X \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	IS	mi	nus 3 = *			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	FEE f	of paper, the a for small entity	application size f /) for each additi	gs exceed 100 s ee due is \$310 (onal 50 sheets c . 41(a)(1)(G) and	\$155 or			
	MULTIPLE DEPEN								
* If	the difference in colu	umn 1 is less	than zero, ente	r "0" in column 2.			TOTAL		
		(Column	1)	APPLICAT	ION AS AMEN		ART II		
TN:	05/27/2014	CLAIMS REMAININ AFTER AMENDME		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 30	Minus	** 30	= 0		x \$80 =		0
	Independent (37 CFR 1.16(h))	* 4	Minus	***4	= 0		× \$420 =		0
AMI	Application Si	ize Fee (37 C	FR 1.16(s))						
	FIRST PRESEN	NTATION OF M	IULTIPLE DEPENI	DENT CLAIM (37 CF	R 1.16(j))				
							TOTAL ADD'L FE		0
		(Column		(Column 2)	(Column 3)			
 		CLAIMS REMAINII AFTER AMENDME	NG ≀	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
IDN	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
AMENDME	Application Si	ize Fee (37 C	FR 1.16(s))			_			
A	FIRST PRESEN	NTATION OF M	IULTIPLE DEPENI	DENT CLAIM (37 CF	R 1.16(j))				
							TOTAL ADD'L FEE		
** If	the entry in column the "Highest Numbe If the "Highest Number "Highest Number P	er Previously oer Previously	Paid For" IN TH y Paid For" IN T	IIS SPACE is less HIS SPACE is less	than 20, enter "20' s than 3, enter "3".		LIE /DIANA BATE:		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005 EXAMINER

BOCURE, TESFALDET

ART UNIT PAPER NUMBER

2634

DATE MAILED: 07/22/2014

APPLICATION NO.	APPLICATION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/033,245	09/20/2013	James J. Fallon	2855.0050007	9902

TITLE OF INVENTION: Systems and Methods for Video and Audio data Storage and Distribution.

	APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
_	nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	10/22/2014

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 02/11)

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 26111 07/22/2014 7590 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005 (Depositor's name APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 14/033,245 09/20/2013 James J. Fallon 2855.0050007 9902 TITLE OF INVENTION: Systems and Methods for Video and Audio data Storage and Distribution. APPLN. TYPE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional UNDISCOUNTED \$960 \$0 \$960 10/22/2014 EXAMINER ART UNIT CLASS-SUBCLASS BOCURE, TESFALDET 2634 375-240000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🚨 Corporation or other private group entity 📮 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) ☐ Issue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number _______(enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. Applicant certifying micro entity status. See 37 CFR 1.29 NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. Applicant asserting small entity status. See 37 CFR 1.27 Applicant changing to regular undiscounted fee status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. Authorized Signature Date

Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

Typed or printed name

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Registration No.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ICATION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
14/033,245 09/20/2013 James J. Fallon		2855.0050007	9902		
26111 75	90 07/22/2014		EXAM	INER	
STERNE, KESSI 1100 NEW YORK	LER, GOLDSTEIN & AVENUE, N.W.	BOCURE, TESFALDET			
WASHINGTON, I	,		ART UNIT	PAPER NUMBER	
			2634		
			DATE MAILED: 07/22/201	4	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	14/033,245	FALLON ET AL.			
Notice of Allowability	Examiner TESFALDET BOCURE	Art Unit 2634	AIA (First Inventor to File) Status		
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED in th or other appropriate communic IGHTS. This application is sub	is application. If no cation will be mailed	ce address ot included d in due course. THIS		
 This communication is responsive to <u>5/27/2014</u>. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was 	/were filed on				
 An election was made by the applicant in response to a rest requirement and election have been incorporated into this a 	•	ring the interview o	on; the restriction		
 The allowed claim(s) is/are 1.3-10.12-22 and 28-37. As a re Prosecution Highway program at a participating intellectual please see http://www.uspto.gov/patents/init_events/pph/inc 	al property office for the corresp	onding application.	For more information,		
4. Acknowledgment is made of a claim for foreign priority under	er 35 U.S.C. § 119(a)-(d) or (f).				
Certified copies:					
a) ☐ All b) ☐ Some *c) ☐ None of the:					
1. Certified copies of the priority documents have					
2. Certified copies of the priority documents have					
3. Copies of the certified copies of the priority do	cuments have been received in	this national stage	application from the		
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying wit	h the requirements		
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.				
including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in	the Office action of	·		
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			t (not the back) of		
 DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FO 			the		
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. ⊠ Examiner's Ar	mendment/Comme	nt		
2. X Information Disclosure Statements (PTO/SB/08),	6. 🛛 Examiner's St	atement of Reason	s for Allowance		
Paper No./Mail Date <u>5/27/2014</u> 3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. ☐ Interview Summary (PTO-413),	7. ☑ Other <i><u>Intervie</u></i>	w Summary.			
Paper No./Mail Date					
/TESFALDET BOCURE/					
Primary Examiner, Art Unit 2634					

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20140708

Application/Control Number: 14/033,245 Page 2

Art Unit: 2634

DETAILED ACTION

1. The present application is being examined under the pre-AIA first to invent provisions.

2. This office action (Notice of Allowance) is in response to the amendment and remarks filed on 5/27/2014. The statuses of the claims are: claims 2, 11 and 23-27 have been cancelled; and the pending claims 1, 3-10, 12-22 and 28-37, renumbered as claims 1-30 are now allowed.

Information Disclosure Statement

4. The information disclosure statement (IDS) submitted on 5/27/2014 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner. Attached with this correspondence is the initialed copy of the IDS.

EXAMINER'S AMENDMENT

5. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment¹ was given in a telephone interview

with Mr. Michael R. Malek (Reg. 65,211) on 7/11/2014.

The application has been amended as follows:

In the claims:

Claim 3 has been amended to read as follows:

3. (Currently Amended) A method, comprising:

determining a parameter or attribute of at least a portion of a data block;

selecting an access profile from among a plurality of access profiles based upon

the determined parameter or attribute; and

compressing the at least the portion of the data block with one or more

compressors utilizing information from the selected access profile to create one or more

compressed data blocks, the information being indicative of the one or more

compressors to apply to the at least the portion of the data block,

wherein the one or more compressors utilize at least one slow compress

encoders encoder and at least one fast decompress decoders decoder, and

wherein compressing the at least the portion of the data block with the at least

one slow compress encoders encoder takes more time than decompressing the at least

the portion of the data block with the <u>at least one</u> fast decompress decoders <u>decoder</u> if

the time were measured with the <u>at least one</u> slow compress encoders <u>encoder</u> and <u>at</u>

<u>least one</u> fast decompress decoders <u>decoder</u> running individually on a common host

system.

¹ the amendments to the claims and the remarks to claims 3, 18 and 19 are entirely based on the preliminary amendment sent by Mr. Malek to the Examiner on 7/9/2014. As to the amendments to claims

28 and 29, it is based on the proposal made by the Examiner.

Page 3

Claim 18 has been amended to read as follows:

18. (Currently Amended) The method of claim 12, wherein the compressing

comprises:

compressing the at least the portion of the data block with the selected one or

more asymmetric compressors to create one or more portions of the one or more

compressed data blocks, the at least the portion of the data block having been

compressed with the one or more selected asymmetric compressors to create the one

or more portions of the one or more compressed data blocks, [[.]] and wherein the

storing comprises:

storing at least the one or more portions of the one or more compressed data

blocks.

Claim 19 has been amended to read as follows:

19. (Currently Amended) The method of claim 18, further comprising:

retrieving and transmitting at least a portion of the at least one or more stored

portions of the one or more compressed data blocks occurs in real-time; and

decompressing a portion of the at least transmitted portion of the at least one or

more stored [[the]] portions of the one or more compressed data blocks after

transmission in real-time.

Claim 28 has been amended to read as follows:

Art Unit: 2634

28. (Currently Amended) A method, comprising:

selecting one or more compressors based upon a number of reads of at least a portion of a <u>compressed</u> data block having audio or video data to identify one or more selected compressors; <u>and</u>

compressing at least a portion of a second data block with the one or more selected compressors using asymmetric data compression to provide a compressed data block.

Claim 29 has been amended to read as follows:

29. (Currently Amended) The method of claim 28, wherein the number of reads of the at least the portion of the <u>compressed</u> data block occurs within a given period of time.

Remarks:

- 6. The above Examiner's Amendment was to the claims was made for the following reasons:
 - a. The amendment to claim 28 was to refer to the 'read data' as a compressed data. It should be noted as disclosed, it the compressed pending data used to determine for the compression of new data block (claimed second).
 - b. The amendment to claim 29 was made for same reason as above to claim28.

Application/Control Number: 14/033,245

Art Unit: 2634

forms.

c. The amendments to claims 3,18 and 19 were made to correct for matter of

Reasons for Allowance

- 7. The following is an examiner's statement of reasons for allowance: the claimed subject matter in claims is allowable because the arts of record fail to teach or fairly suggest in combinations to the claimed:
 - d. A method, comprising:

determining a parameter or attribute of at least a portion of a data block having audio or video data:

selecting an access profile from among a plurality of access profiles based the determined parameter or attribute: and

compressing the at least the portion of the data block with one or more compressors using asymmetric data compression and information from the selected access profile to create one or more compressed data blocks, the information being indicative of the one or more compressors to apply to the at least the portion of the data block, as in claim 1. See figures 1,2 and corresponding text for selecting asymmetric data compression routing according to the data parameter or attribute and access profile as disclosed with respect to access profile tables disclosed in ¶[0064], [0069] and as shown in figures 1-3.

e. A method, comprising:

Page 6

determining a parameter or an attribute of at least a portion of a data block;

selecting one or more asymmetric compressors from among a plurality of compressors based upon the determined parameter or attribute;

compressing the at least the portion of the data block with the selected one or more asymmetric compressors to provide one or more compressed data blocks; and storing at least a portion of the one or more compressed data blocks, as in claim 12. See figures 1,2 and corresponding text for selecting asymmetric data compression routing according to the data parameter or attribute and access profile as disclosed with respect to access profile tables disclosed in ¶[0064], [0069] and as shown in figures 1-3.

f. A method, comprising:

selecting one or more compressors based upon a number of reads of at least a portion of a compressed data block having audio or video data to identify one or more selected compressors; and

compressing at least a portion of a second data block with the one or more selected compressors using asymmetric data compression to provide a compressed data block, as in claim 28. See figure 2, access profile tables disclosed in ¶[0064], [0069] and corresponding text in ¶[0064], [0069] and [0074], where the compression routing (see steps 22-31 in figure 2) for the pending disc access, claimed second data block, is depending on the throughput of the

Application/Control Number: 14/033,245

Art Unit: 2634

system having inherently data blocks compressed to be read.

8. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

- 9. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
 - f. US patent numbers 6,725,225, 6,959110, 7,548,657 issued to Scheps, Danskin et al., and Deaven and, US patent publication numbers 2007/0096954 and 2010/0011012 published to Boldt et al. and Rawson respectively disclose selection of compression/decompression algorithm according to the data parameter or attributes.
- 10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to TESFALDET BOCURE whose telephone number is (571)272-3015. The examiner can normally be reached on Mon-Th, 8:30-6:00 and 8:30-5:00 2nd Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, DANIEL C. WASHBURN can be reached on (571)272-5551. The fax phone

Page 8

Application/Control Number: 14/033,245 Page 9

Art Unit: 2634

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call

/TESFALDET BOCURE/ Primary Examiner, Art Unit 2634

/T. B./ Primary Examiner, Art Unit 2634

800-786-9199 (IN USA OR CANADA) or 571-272-1000.

	Application No.	Applicant(s)						
Examiner-Initiated Interview Summary	14/033,245	FALLON ET AL.						
Examiner initiated interview cummary	Examiner	Art Unit						
	TESFALDET BOCURE	2634						
All participants (applicant, applicant's representative, PTO personnel):								
(1) <u>TESFALDET BOCURE</u> . (3)								
(2) Mr. Michael R. Malek (reg. # 65211).	(4)							
Date of Interview: 11 July 2014.								
Type: ☐ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant [applicant's representative]							
Exhibit shown or demonstration conducted: Yes If Yes, brief description:	⊠ No.							
Issues Discussed 101 112 102 103 Othe (For each of the checked box(es) above, please describe below the issue and detail								
Claim(s) discussed: <u>3,18,19,28 and 29</u> .								
Identification of prior art discussed: None.								
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, arguments.)		dentification or clarification of a						
Examiner called Applicant's representative Mr. Michael Ma amendment to claims 28 and 29. Mr. Malek send a proposa and 29 on 7/9/2014. Regarding the issue for clarification and the further telephone interview on 7/11/2014, Mr. Malek ma ¶ [0069] [0074] and [0075] with respect to figure 2, Examine	I <u>amendment to claims 28 and</u> I support to the claimed subjed de a reference to the table in s	d including claims 3, 18, 19 ot matter in claim 28, during						
Applicant recordation instructions: It is not necessary for applicant to p	rovide a separate record of the substa	ance of interview.						
Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.								
/TESFALDET BOCURE/ Primary Examiner, Art Unit 2634								

U.S. Patent and Trademark Office PTOL-413B (Rev. 8/11/2010)

Interview Summary

Paper No. 20140708

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,723,225	04-2004	Scheps, Richard	205/687
*	В	US-6,959,110	10-2005	Danskin et al.	382/166
*	C	US-7,548,657	06-2009	Deaven, David M	382/243
*	D	US-2007/0096954	05-2007	Boldt et al.	341/050
*	Е	US-2010/0011012	01-2010	Rawson, Andrew R.	707/101
	F	US-			
	G	US-			
	Ξ	US-			
	-	US-			
	J	US-			
	К	US-			
	L	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	Z					
	0					
	Ρ					
	Ø					
	R					
	Ø					
	Т					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	כ	
	>	
	W	
	×	

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

O-892 (Rev. 01-2001) Notice of References Cited

Part of Paper No. 20140708

WEST Search History for Application 14033245

Creation Date: 2014070721:04

Prior Art Searches

Query	DB	Op.	Plur.	Thes.	Date
@ad<="20010213"	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
Fallon.inv. or mcerlain.inv.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (pending near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same	PGPB, USPT, USOC,	OR	YES		07-07-2014

WEST Search History for Application 14033245

(assymetric near4 (compressing or compression)) same (pending nea3 data)	EPAB, JPAB, DWPI, TDBD			
((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) same (assymetric near4 (compressing or compression))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same ((assymetric\$ or (lempel adj2 ziv)) near4 (compressing or compression)) same (pending nea3 data)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) and @ad<="20010213") or ((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) and @ad<="20010213"))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or	PGPB, USPT, USOC, EPAB,	OR	YES	07-07-2014

retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (Fallon.inv. or mcerlain.inv.)	JPAB, DWPI, TDBD			
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(375/240).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or 341/50.ccls. or 341/51.ccls. or 341/126.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014

and ((375/240).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or 341/50.ccls. or 341/51.ccls. or 341/126.ccls.)				
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="20010213") and ((await\$3 or pending) near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) or (Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and @ad<="'20010213") and ((H04N19/0026 G06F21/80 G11C29/40 G09G2340/02 H04B1/66 H04W28/06).CPC. or (358/426.01 375/E7.144 382/232 382/239 382/244 370/477 704/500 704/504 707/999.001 710/74 341/51 369/60.01 455/72 708/203 709/247 711/113).CCLS. or (H04N1/41 H03M7/30 G11B20/00 H04B1/66 G10L19/00).IPCR.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014

WEST Search History for Application 14033245

Creation Date: 2014071119:41

Prior Art Searches

Query	DB	Op.	Plur.	Thes.	Date
@ad<="20010213"	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
Fallon.inv. or mcerlain.inv.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (pending near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same	PGPB, USPT, USOC,	OR	YES		07-07-2014

WEST Search History for Application 14033245

(assymetric near4 (compressing or compression)) same (pending nea3 data)	EPAB, JPAB, DWPI, TDBD			
((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) same (assymetric near4 (compressing or compression))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same ((assymetric\$ or (lempel adj2 ziv)) near4 (compressing or compression)) same (pending nea3 data)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) and @ad<="20010213") or ((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) and @ad<="20010213"))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or	PGPB, USPT, USOC, EPAB,	OR	YES	07-07-2014

retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (Fallon.inv. or mcerlain.inv.)	JPAB, DWPI, TDBD			
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(375/240).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or 341/50.ccls. or 341/51.ccls. or 341/126.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014

and ((375/240).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or 341/50.ccls. or 341/51.ccls. or 341/126.ccls.)				
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="20010213") and ((await\$3 or pending) near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) or (Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and @ad<="'20010213") and ((H04N19/0026 G06F21/80 G11C29/40 G09G2340/02 H04B1/66 H04W28/06).CPC. or (358/426.01 375/E7.144 382/232 382/239 382/244 370/477 704/500 704/504 707/999.001 710/74 341/51 369/60.01 455/72 708/203 709/247 711/113).CCLS. or (H04N1/41 H03M7/30 G11B20/00 H04B1/66 G10L19/00).IPCR.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-07-2014
@ad<=''20010213''	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
Fallon.inv. or mcerlain.inv.		OR	YES	07-11-2014

	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD			
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (pending near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (assymetric near4 (compressing or compression)) same (pending nea3 data)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) same (assymetric near4 (compressing or compression))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same ((assymetric\$ or (lempel adj2 ziv)) near4 (compressing	PGPB, USPT, USOC, EPAB,	OR	YES	07-11-2014

or compression)) same (pending nea3 data)	JPAB, DWPI, TDBD			
((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) and @ad<="20010213") or ((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) and @ad<="20010213"))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (Fallon.inv. or mcerlain.inv.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.	PGPB, USPT,	OR	YES	07-11-2014

	USOC, EPAB, JPAB, DWPI, TDBD			
(375/240).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or 341/50.ccls. or 341/51.ccls. or 341/126.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and ((375/240).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or 341/50.ccls. or 341/51.ccls. or 341/126.ccls.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="20010213") and ((await\$3 or pending) near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4	PGPB, USPT,	OR	YES	07-11-2014

Prior Art Searches

(algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="20010213")	USOC, EPAB, JPAB, DWPI, TDBD			
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) or (Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and @ad<="'20010213") and ((H04N19/0026 G06F21/80 G11C29/40 G09G2340/02 H04B1/66 H04W28/06).CPC. or (358/426.01 375/E7.144 382/232 382/239 382/244 370/477 704/500 704/504 707/999.001 710/74 341/51 369/60.01 455/72 708/203 709/247 711/113).CCLS. or (H04N1/41 H03M7/30 G11B20/00 H04B1/66 G10L19/00).IPCR.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
("20020078241" "20030058873" "5881104" "7050639").PN.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014
(("20020078241" "20030058873" "5881104" "7050639").PN.) and (((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	07-11-2014

Prior Art Searches 8



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 9902

SERIAL NUM	IBER	FILING OF			CLASS	GRO	OUP ART	UNIT	ATTC	RNEY DOCKET
14/033,24	ŀ5	09/20/2	_		375		2634		28	855.0050007
		RUL	E							
APPLICANT Realtime		LC, Armonk,	NY, Assig	nee (w	rith 37 CFR 1.17	2 Inte	rest);			
James J.	INVENTORS James J. Fallon, Armonk, NY; Stephen J. McErlain, New York, NY;									
This appl wh wh wh	** CONTINUING DATA ******************************** This application is a CON of 13/154,239 06/06/2011 PAT 8553759 which is a CON of 12/123,081 05/19/2008 PAT 8073047 which is a CON of 10/076,013 02/13/2002 PAT 7386046 which claims benefit of 60/268,394 02/13/2001 ** FOREIGN APPLICATIONS ************************************									
10/08/20		- I - I - I - I - I - I - I - I - I - I	LIGENO							
Foreign Priority claime 35 USC 119(a-d) con-		Yes No	☐ Met af Allowa	ter	STATE OR COUNTRY	_	HEETS	TOT CLAI		INDEPENDENT CLAIMS
Verified and	/TESFALDI BOCURE/ Examiner's	ET	Allowa	ince	NY		4	30		4
ADDRESS				•						
1100 NE	W YORI GTON,	LER, GOLDS K AVENUE, I DC 20005 S		OX P.L	.L.C.					
TITLE										
Systems	and Me	thods for Vid	eo and Au	dio dat	a Storage and D	istribi	ution.			
							☐ All Fe	es		
	EEEC.	Authority had	hoon give	n in Da	nor.		☐ 1.16 F	ees (Fil	ing)	
FILING FEE RECEIVED						ng Ext. of time)				

BIB (Rev. 05/07).

Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
14033245	FALLON ET AL.
Examiner	Art Unit
TESFALDET BOCURE	2634

CPC- SEARCHED						
Symbol	Date	Examiner				
((H03M7/30; H03M7/3084; 03M7/3059; G06F17/30153; G06F2212/40; G06F12/0246; G06F17/30501; G06F3/0679; G06F3/0688; H04L69/04; Y10S707/99931; Y10S707/99942;H04W28/06 H04N1/00236;H04N2201/3283; G11C29/40;	2/20/2014	ТВ				
	7/1/2014	ТВ				
CPC Search Updated	7/11/2014	ТВ				

CPC COMBINATION SETS - SEARCE	CHED	
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			
375	240, E7.094	2/20/2014	ТВ			
707	E17.001	2/20/2014	ТВ			
711	E12.008, 154,	2/20/2014	TB			
709	247	2/20/2014	TB			
708	203	2/20/2014	ТВ			
375, 370, 348, 341, 711, 709, 382,358	Searched ALL (\$8.ccls.)	2/21/2014	ТВ			
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL	7/1/2014	ТВ			
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL (\$8.ccls.)	7/11/2014	ТВ			

	/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634
--	--

U.S. Patent and Trademark Office Part of Paper No.: 20140708

SEARCH NOTES						
Search Notes	Date	Examiner				
EAST and Inventor's name Searched	2/20/2104	ТВ				
EAST Updated	2/21/2014	ТВ				
CPC Search Note for IPC and USPC: (G06F17/30 OR G06F12/00 OR G06F12/02 OR H03M7/30 OR H04B1/66 OR H04N7/26 OR H04N11/02 OR H04J3/18).IPCR.)	2/21/2014	ТВ				
CPC Search Note for IPC and USPC: (358/426.01 375/E7.144 382/232 382/239 382/244 370/477 704/500 704/504 707/999.001 710/74 341/51 369/60.01 455/72 708/203 709/247 711/113).CCLS. or (H04N1/41 H03M7/30 G11B20/00 H04B1/66 G10L19/00).IPCR.)	7/1/2014 and 7/11/2014	ТВ				
CPC Seearch class/subclasses: ((H04N19/0026 G06F21/80 G11C29/40 G09G2340/02 H04B1/66 H04W28/06).CPC.	7/1/2014 and 7/11/2014	ТВ				

INTERFERENCE SEARCH						
US Class/	US Subclass / CPC Group	Date	Examiner			
CPC Symbol						
375	240, 240.01, 204.02	7/11/2014	TB			

	/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634
--	--

U.S. Patent and Trademark Office Part of Paper No.: 20140708

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

СРС					
Symbol				Туре	Version
H03M	7	/ 609	94	F	2013-01-01
НОЗМ	7	/ 308	34	I	2013-01-01
НОЗМ	7	/ 30		1	2013-01-01
		1			
		7			
		1			
		1			
		1			
		1			
		1			
		1			
		1			
		7			
		/			

CPC Combination Sets								
Symbol	Туре	Set	Ranking	Version				

NONE		Total Clain	ns Allowed:		
(Assistant Examiner)	(Date)	30			
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	07/11/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1		

U.S. Patent and Trademark Office Paper No. 20140708

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	Examine	Artonic

	US OR	IGINAL CL	.ASSIFIC	ATION		INTERNATIONAL CLASSIFICATION									
	CLASS		(SUBCLASS		CLAIMED					NON-CLAIMED				
375 240.01				Н	0	4	N	7 / 12 (2006.01.01)							
CROSS REFERENCE(S)				_											
CLASS SUBCLASS (ONE SUBCLASS PER BLOCK)				CK)											

NONE		Total Clain	ns Allowed:		
(Assistant Examiner)	(Date)	30			
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	07/11/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1		

U.S. Patent and Trademark Office Paper No. 20140708

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

	Claims re	numbere	d in the s	ame orde	r as prese	ented by a	applicant	☐ CPA ☐ T.D. ☐ R.1.47							
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	22	17	11	33										
-	2	16	18	12	34										
14	3	23	19	13	35										
2	4	24	20	30	36										
3	5	25	21	18	37										
4	6	26	22												
5	7	-	23												
6	8	-	24												
7	9	-	25												
8	10	-	26												
-	11	-	27												
15	12	27	28												
17	13	28	29												
19	14	29	30												
20	15	9	31												
21	16	10	32												

NONE		Total Claims Allowed:			
(Assistant Examiner)	(Date)	30			
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	07/11/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1		

U.S. Patent and Trademark Office Part of Paper No. 20140708

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

✓	Rejected	- Cancelled		N Non-Elected		Α	Appeal
=	Allowed -		Restricted I In		Interference	0	Objected
	Claims renumbered in the	e same o	rder as presented by ap	plicant	□ СРА] T.C	D. 🗌 R.1.47

☐ Claims r	renumbered	in the same	order as pro	esented by a	applicant		☐ CPA	□ т.с). 🗆	R.1.47		
CLA	MIM	DATE										
Final	Original	12/03/2013	02/22/2014	07/08/2014								
1	1	÷	✓	=								
-	2	÷	✓	-								
14	3	÷	✓	=								
2	4	÷	✓	=								
3	5	÷	✓	=								
4	6	÷	✓	=								
5	7	÷	✓	=								
6	8	÷	✓	=								
7	9	÷	√	=								
8	10	÷	✓	=								
-	11	÷	✓	-								
15	12	÷	=	=								
17	13	÷	=	=								
19	14	÷	=	=								
20	15	÷	=	=								
21	16	÷	=	=								
22	17	÷	=	=								
16	18	÷	=	=								
23	19	÷	=	=								
24	20	÷	=	=								
25	21	÷	II	=								
26	22	÷	=	=								
-	23	÷	N	N								
-	24	÷	N	N								
•	25	÷	Ν	N								
-	26	÷	Ν	N								
-	27	÷	N	N								
27	28	÷	✓	=								
28	29	÷	✓	=								
29	30	÷	√	=								
9	31			=								
10	32			=								
11	33			=								
12	34			=								
13	35			=								
30	36			=								

U.S. Patent and Trademark Office

Part of Paper No.: 20140708

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14033245	FALLON ET AL.
	Examiner	Art Unit
188181 1811 81811 88188 11188 1118 11811 8181 8111 1881	TESFALDET BOCURE	2634

	iejecieu		Cail	icelled		14	NOII-L	iecieu	^	^	ppea	
= 4	Allowed	÷	Res	tricted		ı	Interfe	erence	0	Ob	jecte	ed
☐ Claims	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47						17					
CL	AIM	DATE										
Final	Original	12/03/2013	02/22/2014	07/08/2014								
10	27											

U.S. Patent and Trademark Office Part of Paper No.: 20140708

					Equivalent of Form PTO/SB/08a (07	-06
Substitute f	or form 144	9/PTO			plete if Known	
C)	CON	D SUPPLEMEN	TAL	Application Number	14/033,245	
				Filing Date	September 20, 2013	
		ATION DISCLO		First Named Inventor	James J. FALLON	
STA	ATEM	ENT BY APPLI	CANT	Art Unit	2634	
	(Use	as many sheets as necessary)		Examiner Name	BOCURE, Tesfaldet	
Sheet	1	of 1		Attorney Docket Number	2855.0050007	-
			U.S. PATE	NT DOCUMENTS		
F. comban.	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appea	r
	US1	5,881,104	03-09-1999	Akahane		
	US2	7,050,639 B1	05-23-2006	Barnes et al.		
	US3	2002/0078241 A1	06-20-2002	Vidal et al.		
	US4	2003/0058873 A1	03-27-2003	Geiger et al.		
	, , , , ,					
	.1	F	OREIGN PA	TENT DOCUMENTS		
P		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where	
Examiner initials*	Cite No.	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Docum	ent Relevant Passages of Relevant Figures Appear	Т
						_

Examiner Signature	/Tesfaldet Bocure/	Date Considered	07/11/2014

1826473_1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if Exalich Insurance Translation is attached. English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO	Complete if Known			
SECOND SUPPLEMENTAL	Application Number	14/033,245		
	Filing Date	September 20, 2013		
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON		
STATEMENT BY APPLICANT	Art Unit	2634		
(Use as many sheets as necessary)	Examiner Name	BOCURE, Tesfaldet		
Sheet 1 of 2	Attorney Docket Number	2855.0050007		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²			
	NPL1	Copy of Notice of Allowance for U.S. Appl. No. 13/101,994, mailed February 20, 2014, 5 pages.				
	NPL2	Copy of Supplemental Notice of Allowance for U.S. Appl. No. 12/857,238, mailed February 25, 2014, 2 pages.				
	NPL3	Copy of Non-Final Office Action for U.S. Appl. No. 14/134,933, mailed February 25, 2014, 7 pages.				
	NPL4	Copy of Non-Final Office Action for U.S. Appl. No. 14/134,926, mailed February 27, 2014, 16 pages.				
	NPL5	Copy of Final Office Action for U.S. Appl. No. 09/969,987, mailed April 8, 2014, 8 pages.				
	NPL6	Copy of Non-Final Office Action for U.S. Application No. 13/403785, mailed May 9, 2014, 5 pages.				
	NPL7	Declaration of Dr. James W. Modestino under 37 C.F.R. § 1.132 in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, executed November 29, 2013; 51 pages.				
	NPL8	Declaration of Dr. James W. Modestino under 37 C.F.R. § 1.132 in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, executed November 29, 2013; 49 pages.				
	NPL9	Declaration of Dr. James W. Modestino under 37 C.F.R. § 1.132 in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, executed November 29, 2013; 50 pages.				
	NPI10	Patent Owner's Supplemental Reply to Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, filed February 27, 2014, 10 pages.				

,			
Examiner Signature	/Tesfaldet Bocure/	Date Considered	07/11/2014
Signature		W. W. C. W. C. W. C. W.	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

Substitute for form 1449/PTO		Complete if Known						
			Application Number	14/033,245				
SEC	CONDS	SUPPLEMENTAL	Filing Date	September 20, 2013				
INFO	RMAT	TON DISCLOSURE	First Named Inventor James J. FALLON					
STAT	EMEN	T BY APPLICANT	Art Unit	2634				
O A I X A		vany sheets as necessary)	Examiner Name	BOCURE, Tesfaldet				
Sheet	2	of 2	Attorney Docket Number	2855,0050007				
Sheet			TERATURE DOCUMENTS	2000.000	**********			
Examiner Initials*	Cite No.1	Include name of the autho appropriate), title of the item (t date, page(s), volume-issue m		ıl, symposium, catalog, etc.),	T^2			
	NPL11	Patent Owner's Supplemental Reply to Action Closing Prosecution in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, filed February 27, 2014, 9 pages.						
	NPL12	41.77(b) in Inter Partes Reexan	Corrected Request to Reopen Prosecution Before the Examiner under 37 C.F.R. § 41.77(b) in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, mailed March 11, 2014, 48 pages.					
	NPL13	Corrected Request to Reopen Prosecution Before the Examiner under 37 C.F.R. § 41.77(b) in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, mailed March 11, 2014, 39 pages.						
	NPL14	41.77(b) in Inter Partes Reexan	Corrected Request to Reopen Prosecution Before the Examiner under 37 C.F.R. § 41.77(b) in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, mailed March 11, 2014, 67 pages.					
	NPL15	U.S. Patent Application No. 14. Systems and Methods," filed A		. "Data Compression				

1826475_1

Examiner Signature	/Tesfaldet Bocure/	Date Considered	07/11/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FFE.

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571)-273-2885

INSTRUCTIONS: Bits form should be used by unconsting the BIND 111 and PERISONIES 111, is required. Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance level will be mailed to the current correspondence including the Patent, advance orders and notification of maintenance level will be mailed to the current correspondence including the Patent and an accordance of the current correspondence including the Patent and an accordance of the current correspondence including the Patent and accordance of the current correspondence including the Patent and Complete Complet

TO SECOND CORNESS USON NOT APPEAUSS (Note: 5 of Book, Eller my Stange, 4 abbords				Note: A certificate of mailing can only be used for domestic mailing of the Feef() Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, raust have its own certificate of mailing or transmission.				
STERNE, KESSI 1100 NEW YORK	ER, GOLDST AVENUE, N.W	EIN & FOX P.L.	L.C.	I hereby certil States Postal (addressed to transmitted to	Certific by that this Pa Service with the Mail St the ISP FO (ate of Mailing or Trans sc(s) Transmittal is being sufficient postage for firs pr ISSUE 1995, address 571) 272–2888, on the da	nission deposited with the United telass mail in an envelope above, or being tacsimile te indicated below.	
WASHINGTON, D	XC 20005						Copyrighter's second	
					***************************************		(Sugasture)	
			,				15 Mg (2)	
Aminor control						organism for construction for the	CONFRMATION NO.	
Asia a. Casa yo	: 6 3NG DAR		FORST NAMED STORY	rtist	1.37	SOUNDE ON RESENT	9902	
14/033,345	0%2082013		James J. Fallon			2844 0050007	4507	
TITLE OF INVENTION: Sy	Skrips and Metheds (es. z 1060. auti Anéno ten	s aleksist mer rosannen	us,				
AP9. N. 2.529	F2345.2.2/3/5/2 ²	1881 (11182), 1914.	DEBLICATION HELD	13. 28EV.P	ana (ssta: Et.	E 1970 AL PEP(8) DU E	DATE DUE	
nonprevisional U	NDISCOUNTED	\$960	20		80	\$960	10/22/2014	
TAMEN		TRT 18L	CL 488 81 303 388	_				
BOCURE IESI		2634	375-240000					
CHR 1-363) Change of cornespond Address from PTO/SB/42 The Address midnent PTO/SB/42; Rev 03/02 o Number is required. A ASSIGNEE NAME AND PLEASE, MOTE: Unless recordation as set forth in (A) NAME OF ASSIGNE	ion for The Address in more recompattach RESIDENCE DAT, in assigned is ident VI CFR 3.11. Com	* Indication form rd. Use of a Customer \[\times TOTAL PRENTITO ON \[\times \times \text{Indication} \]	registered attorney 2 registered patent ficted, no name will 8 TIJE PATENT (prints) 9 data will appear on if	natively, single firm the veragents and alterneys or a l be printed. r type i ue patent. If a g an assignme	aving as a me i the names of tigents. If no to an assigner i	mber a 2 Fox P.L.L.d a fup to a same as 3	C. scument has been filed for	
Realtime Data L	LC		Armonk	, New York				
			, ,, , , , ,	y Herr Torn	5			
Please check the appropriate	, assigned enjogney or	categories (will not be				nation or other private gre	яц еццу 🔁 Солегатері	
Please check the appropriate 4a. The following feets/arc 4b. Essec Lee 4c. Philliplation Fee (New 5c. Advance Order # of	sulmined: mall entity discount j		printed on the patent): 4b Payment of Fee(s): t A check wenches 1 Payment by credi	I Individu Please first r ed. t çard Form l	al & Corpore	reviously paid issue fee:	oup entity	
4a. The following feets yare XI Issue Lee Published to Tee (News	sulumined: omall entity discount ; Copies (from status indicate	permitted) d abover	printed on the patent): 4b Payment of Ueet(s): t A check to enclose Payment by credi If the Director is for overpayment, to 1	Please first reed. t can't Form I beposit Accom	ad Corporer capply any particle 2038 is a conditional control of Micro Line country and mount will not count will not count will not control and count will not control and count will not control and country and	reviously paid issue fee utached. he required feets; any de 19-0036 tenclose a uty Status (see forms PTC be accepted at the risk of	shown above) ficiency, or credit, any it extra copy of this form). O/SB/15A, and 15B), issue application shandonment.	
4a. The following feets you. **Essected**: Publication Lee (New York Advance Order # of S. Change in Entity Status	sulumited: amall entity discount j Copues thom status indicate metro entity status. Se	ocrmitted) diabove) w 32 CFR 1,29	printed on the patent): 4b Payment of Ueet(s): t A check to enclose Payment by credi If the Director is for overpayment, to 1	Individual Please first reed. It card Form bereits authorize Deposit Accoming the certification meto entits are then was previous	ad Corpor capply any p 'TO-2088 is a red to charge t int Normbot nout will not iously under-	reviously paid issue fer utached, he required feet's), any de 19-0036 tenclore a tity Status (see forms PTC be accepted at the risk of micro entity status, check	shown above) ficiency, or credit, any it extra copy of this form). O/SB/15A, and 15B), issue application shandonment.	
4a. The following feets/arc Structec Publication Feet New Advance Order # of. Structec Change in Entity Status Applicant certifying a	submitted: omall entity discount y Copies (from status instruct there entity status. So mall entity status. So	d above) e 37 CFR 1-29 - 37 CFR 1-27	printed on the patent): 4b Payment of Feeter (Please first red. (card. Form I beposit Accombines entits artification and personal Accombines entits artification entits artification entits artification entits artification entits artification entits artification entits are those wifil because the entits above wifil because the entits are	al Zi Corpo capply my p (TO-2038 is a yel to charge t int Sambar as of Micro Lin count will not count to inter- ement to inter- ement to inter-	nterviously paid issue fer- utached. In required feets), any de 19-0036 teaches a futy Status (see forms PTC be accepted at the risk of microcentity status, check to centity status.	shown above) ficiency, or credit, any it extra copy of this form). O/SB/15A, and 15B), issue application shandonment.	
4a. The following feets/arc **All Issue Fee **Light Publication Feets/arc **Light Advance Order ** of **S. Change in Entity Status **Light Applicant configurers **Light Applicant asserting sa	sulmined: amall entity discount j Copies thom status indicate metro entity status. Se mall entity status, Ses o regular andiscounte	d above) e 37 CFR 1-29 e 37 cFR 1-27 d fee status	printed on the patent): 4b Payment of Feeth: t A check to enclose Payment by credi If the Director is fit overpayment, to I AOLL: Absent a valifice payment in the in NOIL: If the applied to be a notific atton to NOIL: Checking the only status, as applied.	Please first red. It card. Form I recks authors? Deposit Account of certification tests entity are tion was prey I loss of entity is how will be cable.	capply any p (FO-2988 is a cel to charge to int Number as of Micro Lin count will not icously under- cement so inter- tasken to be a	reviously paid issue fer- utached. the required feets), any de 19-0036 tenclose a tuty Status (see forms PTC be accepted at the risk of micro emity status, check formity status, check motification of loss of enti-	ficiency, or credits any nextra copy of this form) 0/8B/15A and 15B), issue application shandonment, ing this box will be taken	
4a. The following feets/arc Streetec Publication Feets/arc Advance Order # of. Stronge in Entity Status Applicant certifying to Applicant asserting satisfies Applicant changing to	sulmined: amall entity discount j Copies thom status indicate metro entity status. Se mall entity status, Ses o regular andiscounte	d above) e 37 CFR 1-29 e 37 cFR 1-27 d fee status	printed on the patent): 4b Payment of Feeth: t A check to enclose Payment by credi If the Director is fit overpayment, to I AOLL: Absent a valifice payment in the in NOIL: If the applied to be a notific atton to NOIL: Checking the only status, as applied.	Please first red. It card. Form I recks authors? Deposit Account of certification tests entity are tion was prey I loss of entity is how will be cable.	capply any p (FO-2988 is a cel to charge to int Number as of Micro Lin count will not icously under- cement so inter- tasken to be a	reviously paid issue fer utached, he required feet's), any de 19-0036 tenclore a uty Status (see forms PTC be accepted at the risk of micro entity status, check to getting status, check to getting status.	ficiency, or credits any nextra copy of this form) 0/8B/15A and 15B), issue application shandonment, ing this box will be taken	

Pirot. 85 Part B (10:13) Approved for use through 10/31/2013.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and

Audio Data Storage and

Distribution

Amendment Under 37 C.F.R. § 1.312

Mail Stop Issue Fee

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Submitted herein is an Amendment Under 37 C.F.R. § 1.312. As payment of the issue fee has not yet been made or is filed herewith, Applicant respectfully submits that filing under 37 C.F.R. § 1.312 is proper. (M.P.E.P. § 714.16.)

It is believed that extensions of time are not required beyond those that may otherwise be provided for in documents accompanying this Amendment. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Amendments to the Specification

1. Applicant respectfully requests ¶ [0080] of the Specification as filed on December 19, 2013 and as amended in the Amendment Under 37 C.F.R. § 1.111 that was filed on May 27, 2014 be amended as follows:

[0800] Fig. 3 illustrates a preferred embodiment of a data storage controller 120 as described in the above-incorporated U.S. Serial No. 09/775,905, now U.S. Patent No. 6,748,457, for implementing a bandwidth sensitive data compression protocol as described herein. The data storage controller 120 comprises a DSP (digital signal processor) 121 (or any other microprocessor device) that implements a data compression/decompression routine. The DSP 121 preferably employs a plurality of symmetric and asymmetric compression/decompression as described herein. The data storage controller 120 further comprises at least one programmable logic device 122 (or volatile logic device). The programmable logic device 122 preferably implements the logic (program code) for instantiating and driving both a disk interface 114 and a bus interface 115 and for providing full DMA (direct memory access) capability for the disk and bus interfaces 114, 115. Further, upon host computer power-up and/or assertion of a system-level "reset" (e.g., PCI Bus reset), the DSP 121 initializes and programs the programmable logic device 122 before of the completion of initialization of the host computer. This advantageously allows the data storage controller 120 to be ready to accept and process commands from the host computer (via the bus 116) and retrieve boot data from the disk (assuming the data storage controller 120 is

implemented as the boot device and the hard disk stores the boot data (e.g., operating system, etc.))

- 2. Applicant respectfully requests ¶ [0083] of the Specification as filed on September 20, 2013 and as amended in the Amendment Under 37 C.F.R. § 1.111 that was filed on May 27, 2014 be further amended as follows:
 - The storage controller 120 further comprises computer reset and [0083] power up circuitry 128 (or "boot configuration circuit") for controlling initialization (either cold or warm boots) of the host computer system and storage controller 120. A preferred boot configuration circuit and preferred computer initialization systems and protocols are described in U.S. Patent Application Serial No. 09/775,897, filed on February 2, 2001, entitled "System and Methods For Computer Initialization," now abandoned, published as U.S. Patent Publication No. US 2001-0047473 A1, which is commonly assigned and incorporated herein by reference. Preferably, the boot configuration circuit 128 is employed for controlling the initializing and programming the programmable logic device 122 during configuration of the host computer system (i.e., while the CPU of the host is held in reset). The boot configuration circuit 128 ensures that the programmable logic device 122 (and possibly other volatile or partially volatile logic devices) is initialized and programmed before the bus 116 (such as a PCI bus) is fully reset. In particular, when power is first applied to the boot configuration circuit 128, the boot configuration circuit 28 generates a control signal to reset the local system (e.g., storage

controller 120) devices such as a DSP, memory, and I/O interfaces. Once the local system is powered-up and reset, the controlling device (such as the DSP 121) will then proceed to automatically determine the system environment and configure the local system to work within that environment. By way of example, the DSP 121 of the disk storage controller 120 would sense that the data storage controller 120 is on a PCI computer bus (expansion bus) and has attached to it a hard disk on an IDE interface. The DSP 121 would then load the appropriate PCI and IDE interfaces into the programmable logic device 122 prior to completion of the host system reset. Once the programmable logic device 122 is configured for its environment, the boot device controller is reset and ready to accept commands over the computer/expansion bus 116.

Amendments to the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application.

1. (Previously Presented) A method, comprising:

determining a parameter or attribute of at least a portion of a data block having audio or video data;

selecting an access profile from among a plurality of access profiles based upon the determined parameter or attribute; and

compressing the at least the portion of the data block with one or more compressors using asymmetric data compression and information from the selected access profile to create one or more compressed data blocks, the information being indicative of the one or more compressors to apply to the at least the portion of the data.

2. (Cancelled)

3. (Currently Amended) A method, comprising:

determining a parameter or attribute of at least a portion of a data block;

selecting an access profile from among a plurality of access profiles based upon the determined parameter or attribute; and

compressing the at least the portion of the data block with one or more compressors utilizing information from the selected access profile to create one or more compressed data blocks, the information being indicative of the one or more compressors to apply to the at least the portion of the data block,

Atty. Dkt. No. 2855.0050007

Page 511

wherein the one or more compressors utilize at least one slow compress encoder and at least one slow fast decompress decoder, and

wherein compressing the at least the portion of the data block with the at least one slow compress encoder takes more time than decompressing the at least the portion of the data block with the at least one fast decompress decoder if the time were measured with the at least one slow compress encoder and the at least one fast decompress decoder running individually on a common host system.

4. (Previously Presented) The method of claim 1, wherein the data block is from among a plurality of data blocks, and wherein the compressing comprises:

compressing the plurality of data blocks to create the one or more compressed data blocks.

5. (Previously Presented) The method of claim 4, wherein the plurality of data blocks comprises:

one or more files.

6. (Previously Presented) The method of claim 1, wherein the one or more compressed data blocks comprise:

one or more files.

7. (Previously Presented) The method of claim 1, further comprising: storing at least a portion of the one or more compressed data blocks in one or more files.

- 8. (Previously Presented) The method of claim 1, further comprising: storing at least a portion of the one or more compressed data blocks.
- 9. (Previously Presented) The method of claim 8, further comprising: retrieving at least a portion of the at least stored portion of the one or more compressed data blocks;

transmitting the at least retrieved portion of the at least stored portion of the one or more compressed data blocks over the Internet; and

decompressing the at least transmitted portion of the at least stored portion of the one more compressed data blocks.

10. (Previously Presented) The method of claim 1, further comprising:

selecting the one or more compressors to compress the at least the portion of the data block to create at least a second compressed data block based upon a number of reads of at least a portion of a first compressed data block that was created from the at least the portion of the data block.

- 11. (Cancelled)
- 12. (Previously Presented) A method, comprising:

determining a parameter or an attribute of at least a portion of a data block;

selecting one or more asymmetric compressors from among a plurality of compressors based upon the determined parameter or attribute;

compressing the at least the portion of the data block with the selected one or more asymmetric compressors to provide one or more compressed data blocks; and storing at least a portion of the one or more compressed data blocks.

- 13. (Previously Presented) The method of claim 18, further comprising: retrieving and transmitting at least a portion of the at least stored one or more portions of the one or more compressed data blocks based upon a user command.
- 14. (Previously Presented) The method of claim 18, further comprising: retrieving and transmitting at least a portion of the at least stored one or more portions of the one or more compressed data blocks based upon a user value.
- 15. (Previously Presented) The method of claim 18, further comprising: retrieving and transmitting at least a portion of the at least stored one or more portions of the one or more compressed data blocks based upon a utilized capacity of a portion of a memory device.
- 16. (Previously Presented) The method of claim 18, further comprising: retrieving and transmitting at least a portion of the at least stored one or more portions of the one or more compressed data blocks based upon a throughput of a communication channel used for transmission of the at least retrieved portion of the at least stored one or more portions of the one or more compressed data blocks.
 - 17. (Previously Presented) The method of claim 18, wherein the at least stored

 Atty. Dkt. No. 2855.0050007

one or more portions of the one or more compressed data blocks comprises:

audio or video information.

18. (Previously Presented) The method of claim 12, wherein the compressing comprises:

compressing the at least the portion of the data block with the selected one or more asymmetric compressors to create one or more portions of the one or more compressed data blocks, the at least the portion of the data block having been compressed with the one or more selected asymmetric compressors to create the one or more portions of the one or more compressed data blocks, and wherein the storing comprises:

storing at least the one or more portions of the one or more compressed data blocks.

19. (Previously Presented) The method of claim 18, further comprising:

retrieving and transmitting at least a portion of the at least one or more stored portions of the one or more compressed data blocks in real-time; and

decompressing a portion of the at least transmitted portion of the at least one or more stored portions of the one or more compressed data blocks after transmission in real-time.

20. (Previously Presented) The method of claim 12, wherein the selecting comprises:

selecting the one or more asymmetric compressors based upon the determined

parameter or attribute and a number of reads of the at least the portion of the data block.

21. (Previously Presented) The method of claim 12, further comprising:

decompressing at least a portion of the one or more compressed data blocks to provide one or more decompressed data blocks based upon a first number of reads of the least the portion of one or more compressed data blocks; and

recompressing at least a portion of the one or more decompressed data blocks with the one or more asymmetric compressors.

22. (Currently Amended) The method of claim 21, wherein the selection of the one or more asymmetric compressors for recompressing the <u>at least the portion of the one or more</u> decompressed data blocks was based upon a second number of reads of the at least the portion of the one or more compressed data blocks.

23. – 27. (Cancelled)

28. (Previously Presented) A method, comprising:

selecting one or more compressors based upon a number of reads of at least a portion of a compressed data block having audio or video data to identify one or more selected compressors; and

compressing at least a portion of a second data block with the one or more selected compressors using asymmetric data compression to provide a compressed data block.

29. (Previously Presented) The method of claim 28, wherein the number of reads of the at least the portion of the compressed data block occurs within a given period of time.

30. (Currently Amended) The method of claim 28, further comprising: retrieving and transmitting [[a]] the at least the portion of the compressed block based upon a user command.

31. (Currently Amended) The method of claim 1, wherein the determining of the parameter or attribute of the <u>at least the</u> portion <u>of</u> the data block excludes determining based only upon reading a descriptor of the at least the portion of the data block.

32. (Previously Presented) The method of claim 1, wherein the at least the portion of the data block is from among a plurality of data blocks; and wherein the compressing comprises:

compressing at least a portion of the plurality of data blocks with the one or more compressors using the asymmetric data compression and the information to create the one or more compressed data blocks.

33. (Previously Presented) The method of claim 32, wherein the plurality of data blocks or the one or more compressed data blocks comprise:

at least a portion of a file.

34. (Previously Presented) The method of claim 1, wherein the compressing comprises:

compressing the at least the portion of the data block with the selected one or more asymmetric compressors to create one or more portions of the one or more compressed data blocks, the at least the portion of the data block having been compressed with the selected one or more asymmetric compressors to create the one or more portions of the one or more compressed data blocks, and further comprising:

storing at least the one or more portions of the one or more compressed data blocks.

35. (Previously Presented) The method of claim 34, further comprising:

retrieving at least a portion of the at least stored one or more portions of the one or more compressed data blocks;

transmitting the at least retrieved portion of the at least stored one or more portions of the one or more compressed data blocks over the Internet; and

decompressing the at least transmitted portion of the at least stored one or more portions of the one or more compressed data blocks in real-time.

36. (Previously Presented) The method of claim 18, further comprising:

retrieving at least a portion of the at least stored one or more portions of the one or more compressed data blocks based upon a utilized capacity of one or more central processing units (CPUs).

37. (Previously Presented) The method of claim 13, wherein the retrieving is based upon a utilized capacity of one or more central processing units (CPUs).

Remarks

Reconsideration of this Application and entry of the above Amendment is respectfully requested.

Upon entry of the foregoing amendment, claims 1, 3-10, 12-22, and 28-37 are allowed in the application, with claims 1, 3, 12, and 28 being the independent claims. Claims 3, 22, 30, and 31 are sought to be amended to correct formal matters in these claims without changing the scope thereof. Applicant reserves the right to prosecute similar or broader claims, with respect to the amended claims in the future. The Specification as filed on September 30, 2009 and as amended in the Amendment Under 37 C.F.R. § 1.111 that was filed on May 27, 2014 is sought to be further amended. These changes are believed to introduce no new matter, and their entry is respectfully requested.

Allowed Claims

Claims 1, 3-10, 12-22, and 28-37

Applicant thanks the Examiner for indicating the allowability of claims 1, 3-10, 12-22, and 28-37 in the Notice of Allowability dated October 22, 2007.

Conclusion

Prompt and favorable consideration of this Amendment is respectfully requested.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger

Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600 1892480_1

August 20,2014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and Audio Data Storage and

Distribution

Third Supplemental Information Disclosure Statement

Mail Stop Issue Fee

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent No. 6,601,104	Ex Parte Reexamination
(Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No. 7,714,747 (Control No. 95/001,517)	Patent Owner's Request for Rehearing Under 37 C.F.R. §
	41.79 mailed 02/14/2014
Inter Partes Reexamination of U.S. Patent No.	Order Remanding Inter Partes
7,417,568 (Control No. 95/001,533)	Reexamination Under 37
	C.F.R. 41.77(d) to the
	Examiner mailed 05/29/2014
Inter Partes Reexamination of U.S. Patent No.	Order Remanding Inter Partes
7,777,651 (Control No. 95/001,581)	Reexamination Under 37
	C.F.R. 41.77(d) to the
	Examiner mailed 05/29/2014
Inter Partes Reexamination of U.S. Patent No.	Order Remanding Inter Partes
7,400,274 (Control No. 95/001,544)	Reexamination Under 37
	C.F.R. 41.77(d) to the
	Examiner mailed 05/29/2014

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,321,937 (Control No. 95/001,922)	Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent	Notice of Appeal filed
No. 6,604,158 (Control No. 95/001,923)	07/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,352,300 (Control No. 95/001,924)	Certificate issued 08/04/2014
Inter Partes Reexamination of U.S. Patent	Right of Appeal Notice
No. 7,395,345 (Control No. 95/001,925)	mailed 06/10/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,161,506 (Control No. 95/001,926)	Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,415,530 (Control No. 95/001,927)	Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,378,992 (Control No. 95/001,928)	Certificate issued 01/08/2014

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to the reexaminations are listed as NPL5-NPL8.

Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	Dismissed
1	No. 6:08-cy-00144-LED (E.D. Texas)	

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York)	Opinion of the Court of Appeals for the

	(transferred from E.D. Texas; No. 6:09-cv-00327- LED)	Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. Ill.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2
9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Appeal Terminated

Information Disclosure Statement

Listed on accompanying IDS Form PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicant has listed publication dates on the attached IDS Form based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. The required fee is provided through online credit card payment authorization in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

Copies of documents NPL1-NPL8 are submitted.

Applicant submits herewith Office Actions from the co-pending U.S. Patent Application Nos.:

Document **NPL1** is a copy of a Final Office Action mailed June 18, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 13/118,122.

Document **NPL2** is a copy of a Notice of Allowance mailed June 25, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/251,453.

Document **NPL3** is a copy of a Final Office Action mailed June 27, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/134,933.

Document NPL4 is a copy of a Notice of Allowance mailed July 8, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/134,926.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Form, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE KESSIER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

1891367_1.DOCX

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO	Complete if Known		
THIRD SUPPLEMENTAL	Application Number	14/033,245	
	Filing Date	September 20, 2013	
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON	
STATEMENT BY APPLICANT	Art Unit	2634	
(Use as many sheets as necessary)	Examiner Name	BOCURE, Tesfaldet	
Sheet 1 of 1	Attorney Docket Number	2855.0050007	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	NPL1	Copy of Final Office Action for U.S. Application No. 13/118,122, mailed June 18, 2014, 14 pages.	
	NPL2	Copy of Notice of Allowance for U.S. Appl. No. 14/251,453, mailed June 25, 2014; 8 pages.	
	NPL3	Copy of Final Office Action for U.S. Appl. No. 14/134,933, mailed June 27, 2014; 9 pages.	
	NPL4	Copy of Notice of Allowance for U.S. Appl. No. 14/134,926, mailed July 8, 2014, 9 pages.	
	NPL5	Right of Appeal Notice Under 37 C.F.R. § 1.953 in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, mailed June 9, 2014, 14 pages.	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	NPL6	Right of Appeal Notice Under 37 C.F.R. § 1.953 in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925 mailed June 10, 2014, 10 pages.	
	NPL7	Notice of Intent to Issue a Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed June 27,2014, 7 pages.	
	NPL8	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed August 4, 2014, 4 pages.	

1891362_1.DOCX

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Electronic Patent Application Fee Transmittal					
Application Number:	14	033245			
Filing Date:	20-	-Sep-2013			
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.				
First Named Inventor/Applicant Name:	James J. Fallon				
Filer:	Michael V. Messinger/Ann-Marie Fazekas				
Attorney Docket Number:	2855.0050007				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Utility Appl Issue Fee		1501	1	960	960
Extension-of-Time:					

Description	Fee Code	Fee Code Quantity		Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	Tot	al in USD	(\$)	1140

Electronic Acl	Electronic Acknowledgement Receipt			
EFS ID:	19920100			
Application Number:	14033245			
International Application Number:				
Confirmation Number:	9902			
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.			
First Named Inventor/Applicant Name:	James J. Fallon			
Customer Number:	26111			
Filer:	Michael V. Messinger/Ann-Marie Fazekas			
Filer Authorized By:	Michael V. Messinger			
Attorney Docket Number:	2855.0050007			
Receipt Date:	20-AUG-2014			
Filing Date:	20-SEP-2013			
Time Stamp:	18:25:36			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
File Listin	File Listing:					
Authorized U	ser					
Deposit Acco	unt					
RAM confirmation Number		4583				
Payment was successfully received in RAM		\$1140	\$1140			
Payment Type	2	Credit Card	Credit Card			
Submitted wi	th Payment	yes				

		28550050007 Fand 3rd Suppl DS.	10376319	yes		
1		pdf	ed87a9ade7a4d8281d768291af0d32faa3d 9475f		26	
	Multip	part Description/PDF files in .	zip description			
	Document Des	scription	Start	E	nd	
	Miscellaneous Inco	oming Letter	1		2	
	Issue Fee Paymen	Issue Fee Payment (PTO-85B)			3	
	Amendment after Notice of	Allowance (Rule 312)	4		4	
	Specificat	ion	5		7	
	Claims		8		16	
	Applicant Arguments/Remarks Made in an Amendment		17		18	
	Information Disclosure Stater	19	:	26		
Warnings:						
Information:						
2	Non Patent Literature	NPL1_FOA_13118122_0618201 4.pdf	425568	no	14	
		11,50.	b714a6c0d021d367ed2a290c4ba92f8f77f8 75cf			
Warnings:						
Information:						
3	Non Patent Literature	NPL2_NOA_14251453_062520 14.pdf	430088	no	8	
		Тн.рат	5173c673f00116facd34126593c86635943b a476			
Warnings:						
Information:						
4	Non Patent Literature	NPL3_FOA_14134933_0627201 4.pdf	329800	no	9	
		тірчі	afacad8ce45e0076d242c155007ce50a2883 5049			
Warnings:						
Information:			<u> </u>			
5	Non Patent Literature	NPL4_NOA_14134926_070820	453459	no	9	
		14.pdf	1031c28f4d57837c3ca40333d5372baecb8 6bc1f	•		
Warnings:						
Information:						
6	Non Patent Literature	NPL5_RAN_95001923_0609201 4.pdf	496972 77c3c54c8bf37444d4f2417afc58f08583d37	no	14	
	ras-		17/C3/C34C8D13/4440412417a1C38108583d37 8da			

Warnings:					
Information:					
7	Non Patent Literature	NPL6_RAN_95001925_0610201	327226	no	10
,	North atent Enterature	4.pdf	cd8e02d381577590f3d86d673c966c8fa97f 5175	110	10
Warnings:					
Information:					
8	Non Patent Literature	NPL7_NIIRC_95001924_062720	230696	no	7
		14.pdf	9c349dd7827b1624eb9df8ef8bb79260244 8cdfd		
Warnings:					
Information:					
9	Non Patent Literature	NPL8_Reexam_Cert_95001924	369303	no	4
		_08042014.pdf	868825f0ff7f7e0fdad258e1fbe025140fb04 1b9		
Warnings:					
Information:					
10	Fee Worksheet (SB06)	fee-info.pdf	31531	no	2
	. ,	·	4e124314d5e757a47b5a13fce2edc225ddc 0592a		
Warnings:					
Information:					
		Total Files Size (in bytes):	134	70962	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

MICHAEL V. MESSINGER DIRECTOR (202) 772-8667 MIKEM@SKGF.COM



August 20, 2014

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 <u>Confirmation No. 9902</u> Mail Stop Issue Fee

Re: Allowed U.S. Utility Patent Application

Appl. No. 14/033,245; Filed: September 20, 2013

For: Systems and Methods for Video and Audio Data Storage and

DistributionInventors: FALLON *et al.*Our Ref: 2855.0050007

Commissioner:

In response to the **Notice of Allowance and Fee(s) Due** dated July 22, 2014, the following documents are transmitted for appropriate action by the U.S. Patent and Trademark Office:

- 1. Issue Fee Transmittal (Form PTOL-85);
- 2. Online Credit Card Payment Authorization for \$1,140.00 to cover: \$960.00 Issue Fee payment; \$180.00 Information Disclosure Statement Fee;
- 3. Amendment Under 37 C.F.R. §1.312;
- Third Supplemental Information Disclosure Statement;
- 5. Form PTO/SB/08b (1 sheet) listing 8 documents (NPL1-NPL8); and
- 6. Copies of cited documents (NPL1-NPL8).

The above-listed documents are filed electronically through EFS-Web.

Commissioner for Patents August 20, 2014 Page 2

Fee payment is provided through online credit card payment. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNS, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

MVM/MRM/aff Enclosure

1886729_1



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATIO		
14/033,245	14/033,245 09/20/2013 James J. Fallon		2855.0050007	9902	
26111 7590 08/28/2014 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W.			EXAMINER		
			BOCURE, TESFALDET		
WASHINGTON, DC 20005		ART UNIT	PAPER NUMBER		
			2634		
			MAIL DATE	DELIVERY MODE	
			08/28/2014	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application/Control Number: 14/033,245 Page 2

Art Unit: 2634

DETAILED ACTION

1. The present application is being examined under the pre-AIA first to invent provisions.

Information Disclosure Statement

2. In response to the Information Disclosure Statements (IDSs) <u>after allowance</u> before payment of issue fee received on 8/20/2014, the following action has been taken:

THE PRESECUTION OF THIS CASE IS CLOSED AS OF THE DTAE THE NOTICE

OF ALLOWANCE WAS MAILED ON 8/12/2014.

The IDS received on 8/20/2014 has been considered by the Examiner and the initialed copy of the IDS is attached with this correspondence.

Response to Amendment Under Rule CFR § 1.312

3. In response to amendment and remark received under Rule CFR § 1.312 on 8/20/2014, the following actions have taken:

The amendments to correct minor informalities in claims 3,22,30 and 31 do not constitute new matter nor raise new matter and have been entered; and The amendment to update the related patent applications disclosed in ¶ [0080] and [0083] do not constitute new matter nor raise new matter and have been entered.

Conclusion

Art Unit: 2634

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to TESFALDET BOCURE whose telephone number is (571)272-3015. The examiner can normally be reached on Mon-Th, 8:30-6:00 and 8:30-5:00 2nd Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, DANIEL C. WASHBURN can be reached on (571)272-5551. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/TESFALDET BOCURE/ Primary Examiner, Art Unit 2634

/T. B./ Primary Examiner, Art Unit 2634

	Application No.	Applicant(s)
Barrana ta Bula 240 Cammunication	14/033,245	FALLON ET AL.
Response to Rule 312 Communication	Examiner	Art Unit
	TESFALDET BOCURE	2634
The MAILING DATE of this communication ap	ppears on the cover sheet with the	correspondence address –
 The amendment filed on <u>20 August 2014</u> under 37 CFR a) ☐ entered. 	1.312 has been considered, and has	been:
b) 🛛 entered as directed to matters of form not affecting	the scope of the invention.	
c) disapproved because the amendment was filed after Any amendment filed after the date the issue fee and the required fee to withdraw the application	e is paid must be accompanied by a p	petition under 37 CFR 1.313(c)(1)
d) disapproved. See explanation below.		
e) entered in part. See explanation below.		
See attached office action.		
	/TESFALDET BOCURE/ Primary Examiner, Art Unit	2634

U.S. Patent and Trademark Office PTOL-271 (Rev. 04-01) OK TO ENTER: /T.B./

/T.B./ 08/24/2014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and

Audio Data Storage and

Distribution

Amendment Under 37 C.F.R. § 1.312

Mail Stop Issue Fee

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Submitted herein is an Amendment Under 37 C.F.R. § 1.312. As payment of the issue fee has not yet been made or is filed herewith, Applicant respectfully submits that filing under 37 C.F.R. § 1.312 is proper. (M.P.E.P. § 714.16.)

It is believed that extensions of time are not required beyond those that may otherwise be provided for in documents accompanying this Amendment. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION	
14/033,245	09/20/2013	James J. Fallon	2855.0050007	9902
	7590 10/22/201 SLER, GOLDSTEIN &	EXAMINER		
1100 NEW YORK AVENUE, N.W.			BOCURE, T	ESFALDET
WASHINGTON, DC 20005		ART UNIT	PAPER NUMBER	
		2634		
			MAIL DATE	DELIVERY MODE
			10/22/2014	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Supplemental Notice of Allowability

Application No. 14/033,245	Applicant(s) FALLON ET	AL.
Examiner TESFALDET BOCURE	Art Unit 2634	AIA (First Inventor to File) Status

			No
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (of herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIG of the Office or upon petition by the applicant. See 37 CFR 1.313 a	OR REMAINS) CLOSED in this a rother appropriate communicati iHTS. This application is subjected MPEP 1308.	pplication. If not on will be mailed to withdrawal fro	included in due course. THIS
1. This communication is responsive to <u>IDS and amendment under the Adeclaration(s)/affidavit(s) under 37 CFR 1.130(b) was/v</u>		<u>20/14</u> .	
2. ☐ An election was made by the applicant in response to a restri requirement and election have been incorporated into this act	ction requirement set forth during	ι the interview on	; the restriction
3. The allowed claim(s) is/are 1.3-10.12-22 and 28-37 . As a res Prosecution Highway program at a participating intellectual please see http://www.uspto.gov/patents/init_events/pph/inde	property office for the correspon	ding application. F	For more information,
4. Acknowledgment is made of a claim for foreign priority under	35 U.S.C. § 119(a)-(d) or (f).		
Certified copies:			
a) ☐ All b) ☐ Some *c) ☐ None of the:			
1. Certified copies of the priority documents have be	peen received.		
2. Certified copies of the priority documents have be	peen received in Application No.		
3. Copies of the certified copies of the priority docu	ıments have been received in th	s national stage a	application from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" or noted below. Failure to timely comply will result in ABANDONME THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		y complying with	the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must	pe submitted.		
including changes required by the attached Examiner's Paper No./Mail Date	Amendment / Comment or in the	Office action of	
Identifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in the			not the back) of
6. DEPOSIT OF and/or INFORMATION about the deposit of BIO attached Examiner's comment regarding REQUIREMENT FOR			he
Attachment(s)			
1. Notice of References Cited (PTO-892)	5. 🛛 Examiner's Ame	ıdment/Comment	· ·
2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 8/20/14	6. Examiner's State	ment of Reasons	for Allowance
3. Examiner's Comment Regarding Requirement for Deposit	7. 🔲 Other		
of Biological Material 4. ☐ Interview Summary (PTO-413), Paper No./Mail Date			
/TESFALDET BOCURE/			
Primary Examiner, Art Unit 2634			

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20141014

Application/Control Number: 14/033,245 Page 2

Art Unit: 2634

DETAILED ACTION

1. The present application is being examined under the pre-AIA first to invent provisions.

Information Disclosure Statement

2. In response to the Information Disclosure Statements (IDSs) <u>after allowance</u> before payment of issue fee received on 8/20/2014, the following action has been taken:

THE PRESECUTION OF THIS CASE IS CLOSED AS OF THE DTAE THE NOTICE

OF ALLOWANCE WAS MAILED ON 8/12/2014.

The IDS received on 8/20/2014 has been considered by the Examiner and the initialed copy of the IDS is attached with this correspondence.

Response to Amendment Under Rule CFR § 1.312

3. In response to amendment and remark received under Rule CFR § 1.312 on 8/20/2014, the following actions have taken:

The amendments to correct minor informalities in claims 3,22,30 and 31 do not constitute new matter nor raise new matter and have been entered; and The amendment to update the related patent applications disclosed in ¶ [0080] and [0083] do not constitute new matter nor raise new matter and have been entered.

Conclusion

Art Unit: 2634

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to TESFALDET BOCURE whose telephone number is (571)272-3015. The examiner can normally be reached on Mon-Th, 8:30-6:00 and 8:30-5:00 2nd Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, DANIEL C. WASHBURN can be reached on (571)272-5551. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/TESFALDET BOCURE/ Primary Examiner, Art Unit 2634

/T. B./ Primary Examiner, Art Unit 2634

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected
	Claime renumbered in the	came o	same order as presented by applicant				

☐ Claims r	enumbered	in the same	order as pr	esented by a	applicant		☐ CPA	□ т.с).	R.1.47
CLA	MIM					DATE				
Final	Original	12/03/2013	02/22/2014	07/08/2014	10/14/2014					
1	1	÷	✓	=	=					
-	2	÷	✓	-	-					
14	3	÷	✓	=	=					
2	4	÷	✓	=	=					
3	5	÷	✓	=	=					
4	6	÷	✓	=	=					
5	7	÷	✓	=	=					
6	8	÷	✓	=	=					
7	9	÷	√	=	=					
8	10	÷	✓	=	=					
-	11	÷	✓	-						
15	12	÷	=	=	=					
17	13	÷	=	=	=					
19	14	÷	=	=	=					
20	15	÷	=	=	=					
21	16	÷	=	=	=					
22	17	÷	=	=	=					
16	18	÷	=	=	=					
23	19	÷	=	=	=					
24	20	÷	=	=	=					
25	21	÷	=	=	=					
26	22	÷	=	=	=					
-	23	÷	N	N	-					
-	24	÷	N	N	-					
-	25	÷	Ζ	N	-					
-	26	÷	Ν	N	-					
-	27	÷	Ν	N	-					
27	28	÷	✓	=	=					
28	29	÷	✓	=	=					
29	30	÷	√	=	=					
9	31			=	=					
10	32			=	=					
11	33			=	=					
12	34			=	=					
13	35			=	=					
30	36			=	=					

U.S. Patent and Trademark Office

Part of Paper No. : 20141014

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14033245	FALLON ET AL.
	Examiner	Art Unit
188181 1811 81811 88188 11188 1118 11811 8181 8111 1881	TESFALDET BOCURE	2634

V	Rejected		Can	icelled			Non-Elected	Α		App	peal
=	Allowed	÷	Res	tricted	ı		Interference	0	(Obje	cted
☐ Cla	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47						R.1.47				
	CLAIM		DATE								
Fina	ıl Original	12/03/2013	/03/2013 02/22/2014 07/08/2014 10/14/2014								
10	27										

U.S. Patent and Trademark Office Part of Paper No.: 20141014

OK TO ENTER: /T.B./

/T.B./ 10/14/2014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and

Audio Data Storage and

Distribution

Amendment Under 37 C.F.R. § 1.312

Mail Stop Issue Fee

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Submitted herein is an Amendment Under 37 C.F.R. § 1.312. As payment of the issue fee has not yet been made or is filed herewith, Applicant respectfully submits that filing under 37 C.F.R. § 1.312 is proper. (M.P.E.P. § 714.16.)

It is believed that extensions of time are not required beyond those that may otherwise be provided for in documents accompanying this Amendment. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

СРС					
Symbol				Туре	Version
H03M	7	/ 6094	F		2013-01-01
НОЗМ	7	/ 30	T.		2013-01-01
H03M	7	/ 3084	1		2013-01-01
		/			
		/			

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE		Total Claim	ns Allowed:
(Assistant Examiner)	(Date)	3	0
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	10/14/2014	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office Paper No. 20141014

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	Examine	Artonic

US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	SS	IFIC	ΑTI	ON	
	CLASS		(SUBCLASS					С	LAIMED			N	ON-	CLAIMED
375			240.01			Н	0	4	N	7 / 12 (2006.01.01)					
CROSS REFERENCE(S)				_											
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										

NONE	Total Claims Allowed:			
(Assistant Examiner)	(Date)	30		
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	10/14/2014	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	1	

U.S. Patent and Trademark Office Paper No. 20141014

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	22	17	11	33										
-	2	16	18	12	34										
14	3	23	19	13	35										
2	4	24	20	30	36										
3	5	25	21	18	37										
4	6	26	22												
5	7	-	23												
6	8	-	24												
7	9	-	25												
8	10	-	26												
-	11	-	27												
15	12	27	28												
17	13	28	29												
19	14	29	30												
20	15	9	31												
21	16	10	32												

NONE	Total Claims Allowed:			
(Assistant Examiner)	(Date)	3	0	
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	10/14/2014	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	1	

U.S. Patent and Trademark Office Part of Paper No. 20141014

Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
14033245	FALLON ET AL.
Examiner	Art Unit
TESFALDET BOCURE	2634

CPC- SEARCHED							
Symbol	Date	Examiner					
((H03M7/30; H03M7/3084; 03M7/3059; G06F17/30153; G06F2212/40; G06F12/0246; G06F17/30501; G06F3/0679; G06F3/0688; H04L69/04; Y10S707/99931; Y10S707/99942;H04W28/06 H04N1/00236;H04N2201/3283; G11C29/40;	2/20/2014	ТВ					
	7/1/2014	ТВ					
CPC Search Updated	7/11/2014	ТВ					

CPC COMBINATION SETS - SEARCHED							
Symbol	Date	Examiner					

US CLASSIFICATION SEARCHED							
Class	Subclass	Date	Examiner				
375	240, E7.094	2/20/2014	ТВ				
707	E17.001	2/20/2014	ТВ				
711	E12.008, 154,	2/20/2014	ТВ				
709	247	2/20/2014	ТВ				
708	203	2/20/2014	ТВ				
375, 370, 348, 341, 711, 709, 382,358	Searched ALL (\$8.ccls.)	2/21/2014	ТВ				
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL	7/1/2014	ТВ				
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL (\$8.ccls.)	7/11/2014	ТВ				
375, 370, 348, 341, 711, 709, 382,35	Search Updated ALL	10/14/2014	ТВ				

	/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634
--	--

U.S. Patent and Trademark Office Part of Paper No.: 20141014

SEARCH NOTES						
Search Notes	Date	Examiner				
EAST and Inventor's name Searched	2/20/2104	ТВ				
EAST Updated	2/21/2014	ТВ				
CPC Search Note for IPC and USPC: (G06F17/30 OR G06F12/00 OR G06F12/02 OR H03M7/30 OR H04B1/66 OR H04N7/26 OR H04N11/02 OR H04J3/18).IPCR.)	2/21/2014	ТВ				
CPC Search Note for IPC and USPC: (358/426.01 375/E7.144 382/232 382/239 382/244 370/477 704/500 704/504 707/999.001 710/74 341/51 369/60.01 455/72 708/203 709/247 711/113).CCLS. or (H04N1/41 H03M7/30 G11B20/00 H04B1/66 G10L19/00).IPCR.)	7/1/2014 and 7/11/2014	ТВ				
CPC Seearch class/subclasses: ((H04N19/0026 G06F21/80 G11C29/40 G09G2340/02 H04B1/66 H04W28/06).CPC.	7/1/2014 and 7/11/2014	ТВ				
WEST, CPC and Invnetor's Name Search Updated	10/14/2014	ТВ				

	INTERFERENCE SEARCH									
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner							
375	240, 240.01, 204.02	7/11/2014	ТВ							
375	240, 240.01, 240.02	10/14/2014	ТВ							

/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634

U.S. Patent and Trademark Office Part of Paper No.: 20141014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and Audio Data Storage and

Distribution

Third Supplemental Information Disclosure Statement

Mail Stop Issue Fee

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent No. 6,601,104	Ex Parte Reexamination
(Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012

/Tesfaldet Bocure/ 08/23/2014

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Patent Owner's Request for
7,714,747 (Control No. 95/001,517)	Rehearing Under 37 C.F.R. §
	41.79 mailed 02/14/2014
Inter Partes Reexamination of U.S. Patent No.	Order Remanding Inter Partes
7,417,568 (Control No. 95/001,533)	Reexamination Under 37
	C.F.R. 41.77(d) to the
	Examiner mailed 05/29/2014
Inter Partes Reexamination of U.S. Patent No.	Order Remanding Inter Partes
7,777,651 (Control No. 95/001,581)	Reexamination Under 37
	C.F.R. 41.77(d) to the
	Examiner mailed 05/29/2014
Inter Partes Reexamination of U.S. Patent No.	Order Remanding Inter Partes
7,400,274 (Control No. 95/001,544)	Reexamination Under 37
	C.F.R. 41.77(d) to the
	Examiner mailed 05/29/2014

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No. 7,321,937 (Control No. 95/001,922)	Inter Partes Reexamination Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent No. 6,604,158 (Control No. 95/001,923)	Notice of Appeal filed 07/08/2014
Inter Partes Reexamination of U.S. Patent No. 7,352,300 (Control No. 95/001,924)	Inter Partes Reexamination Certificate issued 08/04/2014
Inter Partes Reexamination of U.S. Patent No. 7,395,345 (Control No. 95/001,925)	Right of Appeal Notice mailed 06/10/2014
Inter Partes Reexamination of U.S. Patent No. 7,161,506 (Control No. 95/001,926)	Inter Partes Reexamination Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent No. 7,415,530 (Control No. 95/001,927)	Inter Partes Reexamination Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No. 7,378,992 (Control No. 95/001,928)	Inter Partes Reexamination Certificate issued 01/08/2014

/Tesfaldet Bocure/

08/23/2014

Atty. Dkt. No. 2855.0050007

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to the reexaminations are listed as NPL5-NPL8.

Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	Dismissed
1	No. 6:08-cv-00144-LED (E.D. Texas)	

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York)	Opinion of the Court of Appeals for the

/Tesfaldet Bocure/

08/23/2014 Atty. Dkt. No. 2855.0050007

	(transferred from E.D. Texas; No. 6:09-cv-00327- LED)	Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. Ill.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2
9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Appeal Terminated

Information Disclosure Statement

Listed on accompanying IDS Form PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicant has listed publication dates on the attached IDS Form based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

/Tesfaldet Bocure/

08/23/2014

Atty. Dkt. No. 2855.0050007

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. The required fee is provided through online credit card payment authorization in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

Copies of documents NPL1-NPL8 are submitted.

Applicant submits herewith Office Actions from the co-pending U.S. Patent Application Nos.:

Document **NPL1** is a copy of a Final Office Action mailed June 18, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 13/118,122.

/Tesfaldet Bocure/

08/23/2014

Atty. Dkt. No. 2855.0050007

Realtime Data, LLC Appl. No. 14/033,245

- 6 -

Document **NPL2** is a copy of a Notice of Allowance mailed June 25, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/251,453.

Document **NPL3** is a copy of a Final Office Action mailed June 27, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/134,933.

Document NPL4 is a copy of a Notice of Allowance mailed July 8, 2014, in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/134,926.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Form, and indicate in the official file wrapper of this patent application that the documents have been considered.

/Tesfaldet Bocure/

08/23/2014

Atty. Dkt. No. 2855.0050007

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE KESSIER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

1891367_1.DOCX

Atty. Dkt. No. 2855.0050007

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO		Comp	lete if Known		
בוריורי	HDD CT	DDI	ENTERITAT	Application Number	14/033,245
THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	September 20, 2013		
		First Named Inventor	James J. FALLON		
		Art Unit	2634		
	(Use as man	y sheets	as necessary)	Examiner Name	BOCURE, Tesfaldet
Sheet	1	of	l	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	NPL1	Copy of Final Office Action for U.S. Application No. 13/118,122, mailed June 18, 2014, 14 pages.	
	NPL2	Copy of Notice of Allowance for U.S. Appl. No. 14/251,453, mailed June 25, 2014; 8 pages.	
	NPL3	Copy of Final Office Action for U.S. Appl. No. 14/134,933, mailed June 27, 2014; 9 pages.	
	NPL4	Copy of Notice of Allowance for U.S. Appl. No. 14/134,926, mailed July 8, 2014, 9 pages.	
····	NPL5	Right of Appeal Notice Under 37 C.F.R. § 1.953 in Inter Partes Reexamination of U.S. Patent No. 6,604,158, Control No. 95/001,923, mailed June 9, 2014, 14 pages.	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	NPL6	Right of Appeal Notice Under 37 C.F.R. § 1.953 in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925 mailed June 10, 2014, 10 pages.	
	NPL7	Notice of Intent to Issue a Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed June 27,2014, 7 pages.	
	NPL8	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,352,300, Control No. 95/001,924, mailed August 4, 2014, 4 pages.	

1891362_1.DOCX

Examiner Signature	/Tesfaldet Bocure/	Date Considered	10/20/2014

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandia, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. ISSUE DATE PATENT NO. ATTORNEY DOCKET NO. CONFIRMATION NO. 14/033,245 11/25/2014 8897356 2855.0050007 9902

26111 7590 11/05/2014

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Realtime Data, LLC, Armonk, NY, Assignee (with 37 CFR 1.172 Interest); James J. Fallon, Armonk, NY; Stephen J. McErlain, New York, NY;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)

Approved for use through 07/31/2012. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paserwork Reduction Act of 1995, no sersons are reduit	led to legarified to a collection of knotth	ation unless it contains a valid Own control numb	٥١.
Request	Application Number	14/033,245	1
for	Filing Date	September 20, 2013	
Continued Examination (RCE) Transmittal	First Named Inventor	James J. FALLON	****
Address to:	Art Unit	2634	
Mail Stop RCE Commissioner for Patents	Examiner Name	BOCURE, Tesfaldet	
P.O. Box 1450 Alexandria. VA 22313-1450	Attornay Dockat Number	2855.0050007	

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8,

1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.					
1. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).					
 a. Previously submitted, If a final Office action is outstanding, any amendment considered as a submission even if this box is not checked. 	ents filed after the final Office action may be				
i. Consider the arguments in the Appeal Brief or Reply Brief previous	ly filed on				
li. Other					
b. \overline{X} Enclosed					
I. Amendment/Reply iii. \overline{X} Info	ormation Disclosure Statement (IDS)				
ii. Affidavit(s)/ Declaration(s) iv. Oth	ner				
ii. Affidavit(s)/ Declaration(s) iv. Other 2. Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) b. Other 3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 19-0036 i. RCE fee required under 37 CFR 1.17(e) iii. Dther b. Check in the amount of \$ enclosed c. Payment by credit card (Form PTO-2038 enclosed) WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
Singles					
Signature Name (Print/Type) Michael V. Messinger	Registration No. 37,575				
CERTIFICATE OF MAILING OR TRANSMISSION					
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.					
Signature	Date				
Name (Print/Type)	f Date }				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SE ND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2. 1932357

			<u> </u>		Equiv	alent of Form PTO/SB/08a (07	7-09)
Substitute for form 1449/PTO				Complete if Known			
FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	14/033,245		
				Filing Date	September 20, 2013		
				First Named Inventor	James J. FALLON		
				Art Unit	2634		
				Examiner Name	BOCURE, Tesfaldet		
Sheet		l of 1		Attorney Docket Number	2855.005	50007	**********
	·····	.,	U.S. PATE	NT DOCUMENTS	·		
Examiner initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (1f} known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		ages, Columns, Lines, Where Passages or Relevant Figures App	ear
	US1	5,109,451	04-28-1992	Aono et al.			
	US2	6,057,790	05-02-2000	Igata et al.			
	US3	6,735,195 B1	05-11-2004	Mehta			
	US4	8,867,610 B2	10-21-2014	Fallon et al.	***************************************		
	US5	8,880,862 B2	11-04-2014	Fallon et al.			********
		0,000,002 132	11 01 2011	1 411011 41 411	 		
			+				

***************************************			<u> </u>				

					ļ		
			1		1		
	······································	F	OREIGN PA	TENT DOCUMENTS		·	······
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Name of Patentee or Pages, Colu		Т
initials*	No.1	Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Docum	ent	Where Relevant Passages or Relevant Figures Appear	6
				<u> </u>			-
					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		ļ
							ļ
							<u> </u>
]
***************************************	1	-					1

Examiner Signature Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO	Complete if Known			
FOURTH SUPPLEMENTAL	Application Number	14/033,245		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	September 20, 2013		
	First Named Inventor	James J. FALLON		
	Art Unit	2634		
	Examiner Name	BOCURE, Tesfaldet		
Sheet 1 of 1	Attorney Docket Number	2855.0050007		
Annual Communication of the C	······································			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	NPL1	Copy of Non-Final Office Action for U.S. Appl. No. 14/495,574, mailed October 23, 2014; 10 pages.	
	NPL2	Copy of Non-Final Office Action for U.S. Appl. No. 09/969,987, mailed October 23, 2014; 11 pages.	
	NPL3	Comments in Response to Examiner's Determination Under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, filed November 3, 2014; 30 pages.	
	NPL4	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, mailed November 3, 2014; 2 pages.	
	NPL5	Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, filed November 10, 2014; 19 pages.	
	NPL6	Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, filed November 10, 2014; 19 pages.	

1932337 1.DOCX

	 	e	i
Examiner	Date		
Signature	Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Doc Code: PET.AUTO Document Description: Petition auton	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce			
Electronic Petition Request	PETITION TO WITHDRAW AN APPLICATE ISSUE FEE UNDER 37 CFR 1.313(c)	ATION FROM ISSUE AFTER PAYMENT OF :)			
Application Number	14033245				
Filing Date	20-Sep-2013				
First Named Inventor	James Fallon				
Art Unit	2634				
Examiner Name	TESFALDET BOCURE				
Attorney Docket Number	2855.0050007				
Title	Systems and Methods for Video and Audio data Storage and Distribution.				
withdraw an application from issue, a showing of good and sufficient reason. APPLICANT HEREBY PETITIONS TO WAS A grantable petition requires the following reasons: (a) Unpatentability of one or more clare unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for core	ins why withdrawal of the application from in ITHDRAW THIS APPLICATION FROM ISSUE Upwing items: aims, which must be accompanied by an unequency of the such claim or claims, and an explanation as an attinued examination in compliance with § 1.	on including the fee set forth in § 1.17(h) and a ssue is necessary.			
CPA under 37 CFR 1.53(d).	icatom sach express asamasimient may se	and the second s			
Petition Fee					
Small Entity					
○ Micro Entity					
Regular Undiscounted					
Reason for withdrawal from issue					

One or more claims are unpatentable						
Consideration of a request for consideration of a request	Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)					
Applicant hereby expressly abain have power of attorney pursuar	ndons the instant application (any attorney/agent signing for this reason must at to 37 CFR 1.32(b)).					
RCE request, submission, and fee.						
I certify, in accordance with 3 The RCE request ,submission,	37 CFR 1.4(d)(4) that: and fee have already been filed in the above-identified application on					
Are attached.						
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES					
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:					
An attorney or agent registered in this application.	to practice before the Patent and Trademark Office who has been given power of attorney					
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.					
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application						
A joint inventor; all of whom are signing this e-petition						
Signature /Michael Messinger/						
Name Michael V. Messinger						
Registration Number	37575					

Electronic Patent Application Fee Transmittal					
Application Number:	14033245				
Filing Date:	20-	-Sep-2013			
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.				
First Named Inventor/Applicant Name:	Jan	nes J. Fallon			
Filer:	Mid	chael V. Messinger/	William Flanigei	າ	
Attorney Docket Number:	285	55.0050007			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Petition fee- 37 CFR 1.17(h) (Group III)		1464	1	140	140
Request for Continued Examination		1801	1	1200	1200
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1340



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: November 24, 2014

In re Application of :

James Fallon

UNDER CFR 1.313(c)(2)

Application No : 14033245

Filed : 20-Sep-2013

Attorney Docket No : 2855.0050007

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed November 24, 201 Ato withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.**

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 2634 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

Electronic Acknowledgement Receipt			
EFS ID:	20776179		
Application Number:	14033245		
International Application Number:			
Confirmation Number:	9902		
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.		
First Named Inventor/Applicant Name:	James J. Fallon		
Customer Number:	26111		
Filer:	Michael V. Messinger/William Flanigen		
Filer Authorized By:	Michael V. Messinger		
Attorney Docket Number:	2855.0050007		
Receipt Date:	24-NOV-2014		
Filing Date:	20-SEP-2013		
Time Stamp:	10:40:09		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)	
File Listing:						
Authorized U	ser					
Deposit Account		190036	190036			
RAM confirmation Number		8999	8999			
Payment was successfully received in RAM		\$1340	\$1340			
Payment Type	2	Deposit Account	Deposit Account			
Submitted wi	th Payment	yes	yes			

		i	· · · · · · · · · · · · · · · · · · ·		
1 285500		28550050007_QPIDS.pdf	1529891	yes	13
			94767c0d91492e9c77ccdb05abbe33fa990 848c5	•	
	Multip	oart Description/PDF files in .	zip description		
	Document De	scription	Start	E	nd
	Transmittal	Letter	1		2
	Request for Continued E	Examination (RCE)	3		3
	Quick Path Information Di	isclosure Statement	4		4
	Transmittal	Letter	5		11
	Information Disclosure Stater	ment (IDS) Form (SB08)	12		13
Warnings:			· · · · · · · · · · · · · · · · · · ·		
Information:					
2 Non Patent Literature	NPL1_NFOA_14495574_10232	325400	no	10	
	110/11 die In Enclarare	014.pdf	e42d2dac693e695a14492ba87522991f7ed bd77b		
Warnings:					
Information:					•
3	Non Patent Literature	NPL2_NFOA_09969987_10232	408536	no	11
		014.pdf	12becefd57f9833b71f0748634dd92b0570 633c0		
Warnings:					
Information:					
4	Non Patent Literature	NPL3_Comments_on_Ex_Decis	1511847		30
-	North atent Literature	ion_95001533_11032014.pdf	fd1beeb86412bfb29dbaeafdb4e240ccb21 9b6af	no	30
Warnings:					
Information:					
5	Non Patent Literature	NPL4_Reexam_Cert_95001925	104069	no	2
	Hom acent Encluder	_11032014.pdf	9324420b7ac8073927da8ba7c6e0b8a9ab9 37ddd		
Warnings:					
Information:					
6	Non Patent Literature	NPL5_Comments_on_Examine rs_Determination_95001544_1	894913	no	19
		1102014.pdf	bdf3c6fe26ce04a676031c0962d92874b8a4 3a97		
Warnings:					
Information:					

7	Non Patent Literature	NPL6_Comments_on_Examine rs_Determination_95001581_1_	2337334	no	46
,	Non ratem Enclature	1102014.pdf		110	10
Warnings:					
Information					
8	Petition automatically granted by EFS	petition-request.pdf	31465	no	2
	Tennon autoniantany grantes 29 210		4f92d6a4d6ff0a2d75761e59a38a4e3298a3 c09d		
Warnings:					
Information					
9	Fee Worksheet (SB06)	fee-info.pdf	31885	no	2
,	ree worksheet (3555)	•	6560edfd2c705ba0948f819f71075003b08d db9d	110	-
Warnings:					
Information					
		Total Files Size (in bytes):	71	75340	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

MICHAEL V. MESSINGER DIRECTOR

(202) 772-8667 MIKEM@SKGF.COM



November 24, 2014

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 Confirmation No. 9902 Art Unit 2634 Attn: Mail Stop 313(c)

Re:

U.S. Utility Patent Application

Application No. 14/033,245; Filing Date: September 20, 2013

For: Systems and Methods for Video and Audio Data Storage and

Distribution ors: FALLON et

Inventors: FALLON et al. Our Ref: 2855.0050007

Commissioner:

Transmitted herewith for appropriate action are the following documents:

1. Authorization to charge the amount of <u>\$1,520.00</u> to Deposit Account No. 19-0036 to cover:

\$180.00 Information Disclosure Statement Fee;

\$140.00 Petition to Withdraw from Issue after Payment of the Issue Fee (under 37 C.F.R. § 1.313(c)(2)); and

\$1,200.00 Request for Continued Examination Fee;

- 2. Request for Continued Examination (RCE) Transmittal (PTO/SB/30);
- 3. ePetition to Withdraw an Application from Issue After Payment of the Issue Fee under 37 C.F.R. 1.313(c)(2);
- 4. Quick Path Information Disclosure Statement (QPIDS) Transmittal (PTO/SB/09);
- 5. Fourth Supplemental Information Disclosure Statement;
- 6. Form PTO/SB/08a (1 sheet) listing 5 documents (US1-US5);
- 7. Form PTO/SB/08b (1 sheet) listing 6 documents (NPL1-NPL6); and
- 8. Copies of cited documents (NPL1-NPL6).

SKGF, COM

Commissioner for Patents November 24, 2014 Page 2

The above-listed documents are filed electronically through EFS-Web.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNY, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

MVM/MRM/wcf Enclosures

1932361_1.DOCX

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and Audio Data Storage and Distribution

Fourth Supplemental Information Disclosure Statement

Mail Stop 313(c)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent	Ex Parte Reexamination
No. 6,601,104 (Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012

Proceeding	Status
<i>Inter Partes</i> Reexamination of U.S. Patent No. 7,714,747 (Control No. 95/001,517)	Patent Owner's Request for Rehearing Under 37 C.F.R. § 41.79 mailed 02/14/2014
Inter Partes Reexamination of U.S. Patent No. 7,417,568 (Control No. 95/001,533)	Patent Owner's Comments in Response to Examiner's Determination Under 37 C.F.R. 41.77(d) filed 11/03/2014
Inter Partes Reexamination of U.S. Patent No. 7,777,651 (Control No. 95/001,581)	Patent Owner's Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) filed 11/10/2014
Inter Partes Reexamination of U.S. Patent No. 7,400,274 (Control No. 95/001,544)	Patent Owner's Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) filed 11/10/2014

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,321,937 (Control No. 95/001,922)	Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent	Patent Owner's Appeal Brief
No. 6,604,158 (Control No. 95/001,923)	filed 09/22/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,352,300 (Control No. 95/001,924)	Certificate issued 08/04/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,395,345 (Control No. 95/001,925)	Certificate issued 11/03/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,161,506 (Control No. 95/001,926)	Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,415,530 (Control No. 95/001,927)	Certificate issued 08/16/2013
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,378,992 (Control No. 95/001,928)	Certificate issued 01/08/2014

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to the reexaminations are listed as NPL3-NPL6.

Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	Dismissed
1	No. 6:08-cy-00144-LED (E.D. Texas)	

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014

4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York) (transferred from E.D. Texas; No. 6:09-cv-00327-LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. III.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2
9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Appeal Terminated

Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Realtime Data, LLC Appl. No. 14/033,245

-5-

Applicant reserves the right to establish the patentability of the claimed invention over any

of the information provided herewith, and/or to prove that this information may not be prior art,

and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or

that information more material to the examination of the present patent application does not exist.

The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed after payment of the Issue Fee. The

required fee is provided through authorization to deduct the amount of \$180.00 from Deposit

Account No. 19-0036 in payment of the fee under 37 C.F.R. § 1.17(p); in addition, I hereby state

that no item of information in this Information Disclosure Statement was cited in a communication

from a foreign patent office in a counterpart foreign application and, to my knowledge after making

reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three

months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

Copies of documents NPL1-NPL6 are submitted. However, in accordance with 37 C.F.R. §

1.98(a)(2), no copies of the U.S. patents cited as documents US1-US5 on the attached IDS Forms

are submitted.

Applicants submit herewith Office Actions from the co-pending U.S. Patent Application

Nos.:

Realtime Data, LLC Appl. No. 14/033,245

-6-

Document **NPL1** is a copy of a Non-Final Office Action mailed October 23, 2014 in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/495,574.

Document NPL2 is a copy of a Non-Final Office Action mailed October 23, 2014 in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 09/969,987.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

- 7 -

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STEWNS, KESSLER, GOLDWILL & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

November 24,204

1932353_1.DOCX

Document code: WFEE

United States Patent and Trademark Office Sales Receipt for Accounting Date: 11/26/2014

SALE #00000006 Mailroom Dt: 11/24/2014 190036 14033245 01 FC: 1806 180.00 DA KVESTAL

The following application has been WITHDRAWN from the 11/25/2014 SERIAL NO. PATENT NUMBER 14033245 PATENT NUMBER SYSTEMS AND METHODS FOR VIDEO AND AUDIO DATA STORAGE AND DISTRIBUTION. NAME AND ADDRESS JAMES FALLON Armonk, NY REASON FOR WITHDRAWAL Auto-petition to withdraw - Granted	
PATENT WITHD	RAWAL NOTICE
DATE WITHDRAWN	WITHDRAWAL NUMBER
The following application has been WITHDRAWN from the 11/25/2014 SERIAL NO. PATENT NUMBER 14033245 8897356 TITLE SYSTEMS AND METHODS FOR VIDEO AND AUDIO DATA STORAGE AND DISTRIBUTION.	
The following application has	been WITHDRAWN from the
<u>11/25</u>	<u>5/2014</u>
·	
SERIAL NO.	PATENT NUMBER
14033245	8897356
TITLE	
SYSTEMS AND METHODS FOR VIDEO AND AUD	IO DATA STORAGE AND DISTRIBUTION.
NAME AND ADDRESS	
JAMES FALLON Armonk, NY	· .
REASON FOR WITHDRAWAL	
Auto-petition to withdraw - Granted	
APPROVED	
/Kimberly Ter	rrell/, Manager

FORM PTO-302 -- (REV. 05-2009)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/033,245	09/20/2013	James J. Fallon	2855.0050007	9902
	7590 12/10/201 SLER, GOLDSTEIN &		EXAM	IINER
	RK AVENUE, N.W.	eronribb.c.	BOCURE, T	ESFALDET
			ART UNIT	PAPER NUMBER
			2634	
			MAIL DATE	DELIVERY MODE
			12/10/2014	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Supplemental Notice of Allowability

Application No.	Applicant(s)	
14/033,245	FALLON ET	AL.
Examiner TESFALDET BOCURE	Art Unit 2634	AIA (First Inventor to File) Status No

	No
All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGOR of the Office or upon petition by the applicant. See 37 CFR 1.313	or other appropriate communication will be mailed in due course. THIS GHTS. This application is subject to withdrawal from issue at the initiative and MPEP 1308.
1. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/	
2. \square An election was made by the applicant in response to a restr requirement and election have been incorporated into this ac	iction requirement set forth during the interview on; the restriction tion.
	sult of the allowed claim(s), you may be eligible to benefit from the Patent property office for the corresponding application. For more information, ex.jsp or send an inquiry to PPHfeedback@uspto.gov .
4. ☐ Acknowledgment is made of a claim for foreign priority under Certified copies: a) ☐ All b) ☐ Some *c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received:	been received.
Applicant has THREE MONTHS FROM THE "MAILING DATE" on noted below. Failure to timely comply will result in ABANDONMI THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file a reply complying with the requirements ENT of this application.
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.
including changes required by the attached Examiner's Paper No./Mail Date	
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the	84(c)) should be written on the drawings in the front (not the back) of se header according to 37 CFR 1.121(d).
6. DEPOSIT OF and/or INFORMATION about the deposit of BI attached Examiner's comment regarding REQUIREMENT FO	
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 11/24/2014 3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. ☐ Interview Summary (PTO-413), Paper No./Mail Date	 5. ⊠ Examiner's Amendment/Comment 6. ☐ Examiner's Statement of Reasons for Allowance 7. ☐ Other
/TESFALDET BOCURE/ Primary Examiner, Art Unit 2634	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20141130

Application/Control Number: 14/033,245 Page 2

Art Unit: 2634

DETAILED ACTION

1. The present application is being examined under the pre-AIA first to invent provisions.

Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 11/24/2014 has been entered.

Information Disclosure Statement

3. In response to the Information Disclosure statement (IDS) <u>after Allowance and</u> <u>before the payment of issue fee</u> received on 11/24/2014, the following action has been taken:

THE PRESECUTION OF THIS CASE IS CLOSED AS OF THE DATE THE NOTICE OF ALLOWANCE WAS MAILED ON 10/22/2014.

The information disclosure statement (IDS) submitted on 09/05/2014 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure

statement is being considered by the examiner. Attached with this correspondence is

the initialed copy of the IDS.

Conclusion

4. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to TESFALDET BOCURE whose telephone number is

(571)272-3015. The examiner can normally be reached on Mon-Th, 8:30-6:00 and 8:30-

5:00 2nd Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, DANIEL C. WASHBURN can be reached on (571)272-5551. The fax phone

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for published

applications may be obtained from either Private PAIR or Public PAIR. Status

information for unpublished applications is available through Private PAIR only. For

more information about the PAIR system, see http://pair-direct.uspto.gov. Should you

have questions on access to the Private PAIR system, contact the Electronic Business

Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO

Customer Service Representative or access to the automated information system, call

800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/TESFALDET BOCURE/

Primary Examiner, Art Unit 2634

Page 588

Page 3

Application/Control Number: 14/033,245 Page 4

Art Unit: 2634

/T. B./ Primary Examiner, Art Unit 2634

,					~~~~~~~~~~~	alent of Form PTO/SB/08a (07	7-09)
Substitute	for form 14	149/PTO			plete if Kn		
Tr (TIPT	H SUPPLEMEN	J'T'AT.	Application Number	14/033,24	45	
}				Filing Date	Septembe	er 20, 2013	
3		ATION DISCLO		First Named Inventor	James J.	FALLON	
STA	ATEM	IENT BY APPLI	CANT	Art Unit	2634		
	(Us	e as many sheets as necessary)		Examiner Name		E, Tesfaldet	
Sheet		1 of 1		Attorney Docket Number	2855.005	0007	
			U.S. PATE	NT DOCUMENTS			
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pa	ges, Columns, Lines, Where	
initials*	No.1	Number-Kind Code ^{2 (if} known)	MM-DD-YYYY	Applicant of Cited Document		Passages or Relevant Figures App	ear
	US1	5,109,451	04-28-1992	Aono et al.			
	US2	6,057,790	05-02-2000	Igata et al.			
***************************************	US3	6,735,195 B1	05-11-2004	Mehta			
	US4	8,867,610 B2	10-21-2014	Fallon et al.	·\$		
(4,000,000,000,000,000,000,000,000,000,0	US5	8,880,862 B2	11-04-2014	Fallon et al.	·		*******
	1	2,000,002 122	11.01.2011		†		
			<u> </u>				

	ļ					************************************	
						,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
		F	OREIGN PA	TENT DOCUMENTS		<u>,</u>	*********
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or		Pages, Columns, Lines,	Т
initials*	No.1	Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Docum	ent	Where Relevant Passages or Relevant Figures Appear	6

							1
			†				-
***************************************			<u> </u>				1
		<u> </u>	<u> </u>	<u></u>			1
1932335_	i 1.DOCX		<u> </u>			;·····	Access

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark by it is appropriate symbols.

/Tesfaldet Bocure/

Examiner Signature

Date Considered

11/30/2014

Equivalent of Form PTO/SB/08b (7-09)

Substitute for form 1449/PTO	Com	iplete if Known
FOURTH SUPPLEMENTAL	Application Number	14/033,245
a continue to the analytical transfer of the second	Filing Date	September 20, 2013
INFORMATION DISCLOSURE	First Named Inventor	James J. FALLON
STATEMENT BY APPLICANT	Art Unit	2634
(Use as many sheets as necessary)	Examiner Name	BOCURE, Tesfaldet
Sheet 1 of 1	Attorney Docket Number	2855.0050007

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	NPL1	Copy of Non-Final Office Action for U.S. Appl. No. 14/495,574, mailed October 23, 2014; 10 pages.	
	NPL2	Copy of Non-Final Office Action for U.S. Appl. No. 09/969,987, mailed October 23, 2014; 11 pages.	
	NPL3	Comments in Response to Examiner's Determination Under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,417,568, Control No. 95/001,533, filed November 3, 2014; 30 pages.	
	NPL4	Inter Partes Reexamination Certificate in Inter Partes Reexamination of U.S. Patent No. 7,395,345, Control No. 95/001,925, mailed November 3, 2014; 2 pages.	
	NPL5	Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,400,274, Control No. 95/001,544, filed November 10, 2014; 19 pages.	
	NPL6	Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) in Inter Partes Reexamination of U.S. Patent No. 7,777,651, Control No. 95/001,581, filed November 10, 2014; 19 pages.	

1932337 1.DOCX

		······		
Examiner	A 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Date	14 (20 (204)	
Signature	/Tesfaldet Bocure/	Considered	11/30/2014	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

WEST Search History for Application 14033245

Creation Date: 2014113022:00

Prior Art Searches

Query	DB	Op.	Plur.	Thes.	Date
@ad<="20010213"	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		11-30-2014
Fallon.inv. or mcerlain.inv.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		11-30-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		11-30-2014
(Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		11-30-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (pending near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES		11-30-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same	PGPB, USPT, USOC,	OR	YES		11-30-2014

WEST Search History for Application 14033245

(assymetric near4 (compressing or compression)) same (pending nea3 data)	EPAB, JPAB, DWPI, TDBD			
((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) same (assymetric near4 (compressing or compression))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same ((assymetric\$ or (lempel adj2 ziv)) near4 (compressing or compression)) same (pending nea3 data)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and (@ad<="20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes) and @ad<="20010213") or ((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) and @ad<="20010213"))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving	PGPB, USPT, USOC, EPAB,	OR	YES	11-30-2014

or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (Fallon.inv. or mcerlain.inv.)	JPAB, DWPI, TDBD			
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
((375/240)).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or (341/50).ccls. or (341/51).ccls. or (341/126).ccls.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (375/\$8.ccls. or 348/\$8.ccls. or 370/\$8.ccls. or 382/\$8.ccls. or 341/\$8.ccls.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and (((375/240)).ccls. or 375/240.01. ccls. or 375/240.02. ccls. or (341/50).ccls. or (341/51).ccls. or (341/126).ccls.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014

	1			
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="'20010213") and ((await\$3 or pending) near3 (file\$ or data\$ or application\$))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes))) and (@ad<="'20010213")	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs) or (Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) and @ad<="'20010213") and (((H04N19/0026) (G06F21/80) (G11C29/40) (G09G2340/02) (H04B1/66) (H04W28/06)).CPC. or ((358/426.01) (375/E7.144) (382/232) (382/239) (382/244) (370/477) (704/500) (704/504) (707/999.001) (710/74) (341/51) (369/60.01) (455/72) (708/203) (709/247) (711/113)).CCLS. or ((H04N1/41) (H03M7/30) (G11B20/00) (H04B1/66) (G10L19/00)).IPCR.)	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
("20020078241" "20030058873" "5881104" "7050639").PN.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(("20020078241" "20030058873" "5881104" "7050639").PN.) and (((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or	PGPB, USPT, USOC, EPAB, JPAB,	OR	YES	11-30-2014

retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)))	DWPI, TDBD			
("5109451" "6057790" "6735195" "8867610" "8880862").PN.	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014
(("5109451" "6057790" "6735195" "8867610" "8880862").PN.) and (((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (read or reading or write or writing or retrieve or retrieving or throughput or throughputs)) or ((Selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same (parameter or parameters or attribute or attributes)) or ((selecting or select or choose or choosing) near4 ((compress or compression or compressing) near4 (algorithm or algorithms or mode or modes)) same ((assymetric\$ or (lempel adj2 ziv)) near4 (compressing or compression)) same (pending nea3 data))	PGPB, USPT, USOC, EPAB, JPAB, DWPI, TDBD	OR	YES	11-30-2014

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

✓	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	ı	Interference	0	Objected

☐ Claims r	enumbered	in the same	order as pr	esented by	applicant		□ СРА	□ т.с	D. 🗆	R.1.47
CLA	MIM					DATE				
Final	Original	12/03/2013	02/22/2014	07/08/2014	10/14/2014	11/30/2014				
1	1	÷	✓	=	=	=				
-	2	÷	✓	-	-	-				
14	3	÷	✓	=	=	=				
2	4	÷	✓	=	=	=				
3	5	÷	✓	=	=					
4	6	÷	✓	=	=					
5	7	÷	✓	=	=	=				
6	8	÷	✓	=	=	=				
7	9	÷	✓	=	=	=				
8	10	÷	✓	=	=	=				
-	11	÷	✓	-	-	-				
15	12	÷	=	=	=	=				
17	13	÷	=	=	=	=				
19	14	÷	=	=	=					
20	15	÷	=	=	=	-				
21	16	÷	=	=	=	-				
22	17	÷	=	=	=	=				
16	18	÷	=	=	=	=				
23	19	÷	=	=	=	-				
24	20	÷	=	=	=	-				
25	21	÷	=	=	=	-				
26	22	÷	=	=	=	=				
-	23	÷	N	N	-	-				
-	24	÷	N	N	-	-				
-	25	÷	N	N	-					
-	26	÷	N	N	-	-				
-	27	÷	N	N	-	-				
27	28	÷	✓	=	=	=				
28	29	÷	✓	=	=	=				
29	30	÷	✓	=	=	=				
9	31			=	=	=				
10	32			=	=	=				
11	33			=	=	=				
12	34			=	=	=				
13	35			=	=	=				
30	36			=	=	=				

U.S. Patent and Trademark Office

Page 597

Part of Paper No. : 20141130

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

✓	✓ Rejected			-	Can	celled		Z	Non-Elected		A Appea		oeal	
= Allowed			÷	Res	tricted		I	Interf	erence	0		Obje	ected	
☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.4									R.1.47					
	CLAIM							DATE						
Fin	nal	Original	12/03/20	13 (02/22/2014	07/08/2014	10/14	2014	11/30/2014					
	- 1		l	- 1										1

U.S. Patent and Trademark Office Part of Paper No. : 20141130

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

CPC								
Symbol			Туре	Version				
НозМ	7	/ 6094	F	2013-01-01				
НОЗМ	7	/ 30	ı	2013-01-01				
Нозм	7	3084	ı	2013-01-01				
		1						
		1						
		/						
		1						
		/						
		1						

CPC Combination Sets										
Symbol	Туре	Set	Ranking	Version						

NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	30			
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	11/30/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1		

U.S. Patent and Trademark Office Part of Paper No. 20141130

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

US ORIGINAL CLASSIFICATION						INTERNATIONAL CLASSIFICATION									
CLASS SUBCLASS				CLAIMED						NON-CLAIMED					
375 240.01			Н	0	4	N	7 / 12 (2006.01.01)								
CROSS REFERENCE(S)															
CLASS	S SUBCLASS (ONE SUBCLASS PER BLOCK)			CK)											

NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	30			
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	11/30/2014	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	1		

U.S. Patent and Trademark Office Part of Paper No. 20141130

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	14033245	FALLON ET AL.
	Examiner	Art Unit
	TESFALDET BOCURE	2634

	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	22	17	11	33										
-	2	16	18	12	34										
14	3	23	19	13	35										
2	4	24	20	30	36										
3	5	25	21	18	37										
4	6	26	22												
5	7	-	23												
6	8	-	24												
7	9		25												
8	10		26												
-	11	-	27												
15	12	27	28												
17	13	28	29												
19	14	29	30												
20	15	9	31												
21	16	10	32												

NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	3	0
/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	11/30/2014	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	1

U.S. Patent and Trademark Office Part of Paper No. 20141130

Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
14033245	FALLON ET AL.
Examiner	Art Unit
TESFALDET BOCURE	2634

CPC- SEARCHED		
Symbol	Date	Examiner
((H03M7/30; H03M7/3084; 03M7/3059; G06F17/30153; G06F2212/40; G06F12/0246; G06F17/30501; G06F3/0679; G06F3/0688; H04L69/04; Y10S707/99931; Y10S707/99942;H04W28/06 H04N1/00236;H04N2201/3283; G11C29/40;	2/20/2014	ТВ
	7/1/2014	TB
CPC Search Updated	7/11/2014	TB

CPC COMBINATION SETS - SEARCE	CHED	
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED					
Class	Subclass	Date	Examiner		
375	240, E7.094	2/20/2014	ТВ		
707	E17.001, 736, 781-788	2/20/2014	TB		
711	E12.008, 154,	2/20/2014	ТВ		
709	247	2/20/2014	TB		
708	203	2/20/2014	TB		
375, 370, 348, 341, 711, 709, 382,358	Searched ALL (\$8.ccls.)	2/21/2014	ТВ		
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL	7/1/2014	ТВ		
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL (\$8.ccls.)	7/11/2014	ТВ		
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL	10/14/2014	ТВ		

/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634	
--	--

U.S. Patent and Trademark Office Part of Paper No.: 20141130

US CLASSIFICATION SEARCHED						
Class	Subclass	Date	Examiner			
375, 370, 348, 341, 711, 709, 382,358	Search Updated ALL	11/30/2014	ТВ			

SEARCH NOTES					
Search Notes	Date	Examiner			
EAST and Inventor's name Searched	2/20/2104	ТВ			
EAST Updated	2/21/2014	ТВ			
CPC Search Note for IPC and USPC: (G06F17/30 OR G06F12/00 OR G06F12/02 OR H03M7/30 OR H04B1/66 OR H04N7/26 OR H04N11/02 OR H04J3/18).IPCR.)	2/21/2014	ТВ			
CPC Search Note for IPC and USPC: (358/426.01 375/E7.144 382/232 382/239 382/244 370/477 704/500 704/504 707/999.001 710/74 341/51 369/60.01 455/72 708/203 709/247 711/113).CCLS. or (H04N1/41 H03M7/30 G11B20/00 H04B1/66 G10L19/00).IPCR.)	7/1/2014 and 7/11/2014	ТВ			
CPC Seearch class/subclasses: ((H04N19/0026 G06F21/80 G11C29/40 G09G2340/02 H04B1/66 H04W28/06).CPC.	7/1/2014 and 7/11/2014	ТВ			
WEST, CPC and Invnetor's Name Search Updated	10/14/2014	TB			
WEST, CPC and Invnetor's Name Search Updated	11/30/2014	ТВ			

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
375	240, 240.01, 204.02	7/11/2014	TB
375	240, 240.01, 240.02	10/14/2014	TB
375	240, 240.01, 240.02	11/31/2014	ТВ

/TESFALDET BOCURE/ Primary Examiner.Art Unit 2634

U.S. Patent and Trademark Office Part of Paper No.: 20141130

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Confirmation No.: 9902

Realtime Data, LLC Art Unit: 2634

Appl. No.: 14/033,245 Examiner: BOCURE, Tesfaldet Filed: September 20, 2013 Atty. Docket: 2855.0050007

For: Systems and Methods for Video and Audio Data Storage and Distribution

Fourth Supplemental Information Disclosure Statement

Mail Stop 313(c)

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Commissioner:

Notice of Prior and Concurrent Proceedings

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
6,604,158 (Control No. 95/000,486)	Certificate issued 10/10/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,321,937 (Control No. 95/000,466)	Certificate issued 05/15/2012
Inter Partes Reexamination of U.S. Patent	Terminated
No. 6,604,158 (Control No. 95/000,453)	
Ex Parte Reexamination of U.S. Patent	Ex Parte Reexamination
No. 6,601,104 (Control No. 90/009,428)	Certificate issued 02/28/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 7,378,992 (Control No. 95/000,478)	Certificate issued 10/04/2012
Inter Partes Reexamination of U.S. Patent	Inter Partes Reexamination
No. 6,624,761 (Control No. 95/000,464)	Certificate issued 06/12/2012
Inter Partes Reexamination of U.S. Patent No.	Inter Partes Reexamination
7,161,506 (Control No. 95/000,479)	Certificate issued 05/22/2012

/Tesfaldet Bocure/

11/30/2014

Proceeding	Status
<i>Inter Partes</i> Reexamination of U.S. Patent No. 7,714,747 (Control No. 95/001,517)	Patent Owner's Request for Rehearing Under 37 C.F.R. § 41.79 mailed 02/14/2014
Inter Partes Reexamination of U.S. Patent No. 7,417,568 (Control No. 95/001,533)	Patent Owner's Comments in Response to Examiner's Determination Under 37 C.F.R. 41.77(d) filed 11/03/2014
Inter Partes Reexamination of U.S. Patent No. 7,777,651 (Control No. 95/001,581)	Patent Owner's Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) filed 11/10/2014
Inter Partes Reexamination of U.S. Patent No. 7,400,274 (Control No. 95/001,544)	Patent Owner's Comments in Response to Examiner's Determination under 37 C.F.R. 41.77(e) filed 11/10/2014

Applicant hereby calls to the attention of the Patent and Trademark Office the following reexamination proceedings filed by Cellco Partnership d/b/a Verizon Wireless, involving patents that are commonly-assigned with the patent in the above-identified patent application:

Proceeding	Status
<i>Inter Partes</i> Reexamination of U.S. Patent No. 7,321,937 (Control No. 95/001,922)	Inter Partes Reexamination Certificate issued 12/05/2013
Inter Partes Reexamination of U.S. Patent No. 6,604,158 (Control No. 95/001,923)	Patent Owner's Appeal Brief filed 09/22/2014
Inter Partes Reexamination of U.S. Patent No. 7,352,300 (Control No. 95/001,924)	Inter Partes Reexamination Certificate issued 08/04/2014
<i>Inter Partes</i> Reexamination of U.S. Patent No. 7,395,345 (Control No. 95/001,925)	Inter Partes Reexamination Certificate issued 11/03/2014
Inter Partes Reexamination of U.S. Patent No. 7,161,506 (Control No. 95/001,926)	Inter Partes Reexamination Certificate issued 01/08/2014
Inter Partes Reexamination of U.S. Patent No. 7,415,530 (Control No. 95/001,927)	Inter Partes Reexamination Certificate issued 08/16/2013
<i>Inter Partes</i> Reexamination of U.S. Patent No. 7,378,992 (Control No. 95/001,928)	Inter Partes Reexamination Certificate issued 01/08/2014

/Tesfaldet Bocure/

11/30/2014

Atty. Dkt. No. 2855.0050007

Applicant invites the Examiner to review the Requests for Reexamination, issued Office Actions, replies, and any other papers in the above-identified reexamination proceedings. If the Examiner is unable to obtain copies of papers in any reexamination proceeding, copies can be provided to the Examiner upon request. Those documents which may be material that are not already of record in this patent application are listed on the accompanying Form PTO/SB/08. For example, documents related to the reexaminations are listed as NPL3-NPL6.

Notice of Related Litigation

Applicant notifies the Patent and Trademark Office of the following litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
1	Realtime Data LLC d/b/a IXO v. Packeteer, Inc. et al.,	Dismissed
1	No. 6:08-cy-00144-LED (E.D. Texas)	

Applicant also notifies the Patent and Trademark Office of the following additional litigation involving U.S. Patents commonly-owned with the current patent application, the subject matter of which may be related to the present patent application:

No.	Case	Status
2	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. No. 1:11-cv-06698-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00333- LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
3	Realtime Data LLC d/b/a IXO v. Morgan Stanley et al., No. 1:11-cv-06696-RJH (S.D. New York) (transferred from E.D. Texas; 6:09-cv-00326-LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014

/Tesfaldet Bocure/

11/30/2014

Atty. Dkt. No. 2855.0050007

4	Realtime Data LLC d/b/a IXO v. CME Group Inc., et al., No. 1:11-cv-06697-RJH (S.D. New York) (transferred from E.D. Texas; No. 6:09-cv-00327-LED)	Opinion of the Court of Appeals for the Federal Circuit received 01/27/2014
5	Chicago Board Options Exchange, Inc., v. Realtime Data LLC d/b/a IXO, No. 09-cv-4486 (N.D. III.)	Dismissed
6	Thomson Reuters Corporation v. Realtime Data, LLC d/b/a IXO, No. 1:09-cv-07868-RMB (S.D.N.Y)	Consolidated with Case No. 2
7	Realtime Data, LLC d/b/a IXO v. CME Group Inc., et al. (II), No. 6:10-cv-246 (E.D. Texas)	Consolidated with Case No. 4
8	Realtime Data LLC d/b/a IXO v. Thomson Reuters Corporation et al. (II), No. 6:10-cv-247 (E.D. Texas)	Consolidated with Case No. 2
9	Realtime Data, LLC d/b/a IXO v. Morgan Stanley, et al. (II), No. 6:10-cv-248 (E.D. Texas)	Consolidated with Case No. 3
10	Realtime Data, LLC d/b/a IXO v. MetroPCS Texas, LLC et al., No. 6:10-cv-00493 (E.D. Texas)	Appeal Terminated

Information Disclosure Statement

Listed on accompanying IDS Forms PTO/SB/08a equivalent and PTO/SB/08b equivalent are documents that may be considered material to the patentability of this application as defined in 37 C.F.R. §1.56, and in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.97 and 1.98.

Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

/Tesfaldet Bocure/ 11/30/2014

Atty. Dkt. No. 2855.0050007

Realtime Data, LLC Appl. No. 14/033,245

-5-

Applicant reserves the right to establish the patentability of the claimed invention over any

of the information provided herewith, and/or to prove that this information may not be prior art,

and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or

that information more material to the examination of the present patent application does not exist.

The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed after payment of the Issue Fee. The

required fee is provided through authorization to deduct the amount of \$180.00 from Deposit

Account No. 19-0036 in payment of the fee under 37 C.F.R. § 1.17(p); in addition, I hereby state

that no item of information in this Information Disclosure Statement was cited in a communication

from a foreign patent office in a counterpart foreign application and, to my knowledge after making

reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three

months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

Copies of documents NPL1-NPL6 are submitted. However, in accordance with 37 C.F.R. §

1,98(a)(2), no copies of the U.S. patents cited as documents US1-US5 on the attached IDS Forms

are submitted.

Applicants submit herewith Office Actions from the co-pending U.S. Patent Application

Nos.:

/Tesfaldet Bocure/

11/30/2014

Atty. Dkt. No. 2855,0050007

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.B./

Page 608

Realtime Data, LLC Appl. No. 14/033,245

-6-

Document **NPL1** is a copy of a Non-Final Office Action mailed October 23, 2014 in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 14/495,574.

Document NPL2 is a copy of a Non-Final Office Action mailed October 23, 2014 in the prosecution of co-pending, commonly-assigned U.S. Patent Application No. 09/969,987.

The identification of these Office Actions is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during examination.

It is expected that the examiner will review the prosecution and cited art in the parent application nos. 13/154,239, filed June 6, 2011 (now U.S. Patent No. 8,553,759); 12/123,081, filed May 19, 2008 (now U.S. Patent No. 8,073,047); and 10/076,013, filed February 13, 2002 (now U.S. Patent No. 7,386,046), in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

/Tesfaldet Bocure/

11/30/2014

Atty. Dkt. No. 2855.0050007

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STEWNER, KESSLER, GOLDWIE & FOX P.L.L.C.

Michael V. Messinger Attorney for Applicant Registration No. 37,575

Date:

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

November 24,204

1932353_1.DOCX

Atty. Dkt. No. 2855,0050007

Electronic Ac	knowledgement Receipt
EFS ID:	20776179
Application Number:	14033245
International Application Number:	
Confirmation Number:	9902
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.
First Named Inventor/Applicant Name:	James J. Fallon
Customer Number:	26111
Filer:	Michael V. Messinger/William Flanigen
Filer Authorized By:	Michael V. Messinger
Attorney Docket Number:	2855.0050007
Receipt Date:	24-NOV-2014
Filing Date:	20-SEP-2013
Time Stamp:	10:40:09
Application Type:	Utility under 35 USC 111(a)

Payment information:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
File Listing	:				
Authorized Use	er				
Deposit Accou	nt	190036			
RAM confirmat	ion Number	8999			
Payment was s	uccessfully received in RAM	\$1340			
Payment Type		Deposit Account			
Submitted witl	n Payment	yes			

Electronic Patent A	App	lication Fee	Transmit	ital 	
Application Number:	140	14033245			
Filing Date:	20-	20-Sep-2013			
Title of Invention:	Sys	tems and Methods	for Video and A	udio data Storage	e and Distribution.
First Named Inventor/Applicant Name:	James J. Fallon				
Filer:	Michael V. Messinger/William Flanigen				
Attorney Docket Number:	2855.0050007				
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Petition fee- 37 CFR 1.17(h) (Group III)		1464	1	140	140
Request for Continued Examination		1801	1	1200	1200
Pages:					
Claims:					SDIRETA1 1483324
Miscellaneous-Filing: Adjustment date: 12/16/0000399 1/98030 Adjustment date: 16/16/0000399 1/98030 Adjustment date: 16/16/0000399 1/98030 Adjustment date: 16/16/0000399 1/98030 Adjustment date: 16/16/0000399 1/98030					8 19 198830
Petition:			or ,		
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					·



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandia, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	APPLICATION NO. ISSUE DATE		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
14/033.245	01/13/2015	8934535	2855,0050007	9902	

26111 7590

WASHINGTON, DC 20005

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W.

12/23/2014

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Realtime Data, LLC, Armonk, NY, Assignee (with 37 CFR 1.172 Interest); James J. Fallon, Armonk, NY; Stephen J. McErlain, New York, NY;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)

Case 2:17-cv-07611 Document 3 Filed 10/17/17 Page 1 of 1 Page ID #:226

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313, 1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450		TRADEMARK		
filed in the U.S. Dist		Central	1116 you are hereby advised that a court a District of California s 35 U.S.C. § 292.):	on the following
DOCKET NO. 2:17-cv-07611	DATE FILED 10/17/2017	U.S. DI	STRICT COURT Central District of Cali	fornia
PLAINTIFF	13,77,23,7		DEFENDANT	Iomia
REALTIME ADAPTIVE	STREAMING LLC		HULU, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	RADEMARK
1 8,934,535	1/13/2015	Real	time Data, LLC	
2 9,769,477	9/19/2017	Rea	time Adaptive Streaming LLC	
3 9,762,907	9/12/2017	Rea	time Adaptive Streaming LLC	
4				
5				
DATE NO LINES		e, the following	patent(s)/ trademark(s) have been included	1:
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TE	RADEMARK
1				
2				
3				
4				
5				
In the abo	ve—entitled case, the follow	wing decision h	ns been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK		(BY) DEPUTY	CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 6:17-cv-00591 Document 3 Filed 10/18/17 Page 1 of 1 PageID #: 283

AO 120 (Rev. 08/10) REPORT ON THE Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR P.O. Box 1450 Alexandria, VA 22313-1450 TRADEMARK In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been Eastern District of Texas, Tyler Division filed in the U.S. District Court on the following ☑ Patents. (☐ the patent action involves 35 U.S.C. § 292.): U.S. DISTRICT COURT DOCKET NO. DATE FILED 10/18/2017 Eastern District of Texas, Tyler Division 6:17-cv-491 PLAINTIFF DEFENDANT CISCO SYSTEMS, INC. REALTIME ADAPTIVE STREAMING LLC PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 1 8,934,535 1/13/2015 REALTIME ADAPTIVE STREAMING LLC 2 9,769,477 9/19/2017 REALTIME ADAPTIVE STREAMING LLC REALTIME ADAPTIVE STREAMING LLC 3 8,929,442 1/6/2015 9/12/2017 4 9,762,907 REALTIME ADAPTIVE STREAMING LLC In the above-entitled case, the following patent(s)/ trademark(s) have been included: INCLUDED BY DATE INCLUDED ☐ Other Pleading ☐ Cross Bill ☐ Answer Amendment DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 2 3 4 In the above-entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

(BY) DEPUTY CLERK

CLERK

DATE

AO 120 (Rev. 08/10)

то:

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

i	P.O. Box 1450 ndria, VA 22313-1450	ACTION REGARDING TRADEMA			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas, Tyler Division on the following ☐ Trademarks or ✓ Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO. 6:17-cv-491 DATE FILED U.S. DISTRICT COURT Eastern District of Texas, Tyler Division					
PLAINTIFF REALTIME ADAPTIVE			DEFENDANT CISCO SYSTEMS, INC.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	ADEMARK	
1 8,934,535	1/13/2015	REA	LTIME ADAPTIVE STREAMING LI	_C	
2 9,769,477	9/19/2017	REA	REALTIME ADAPTIVE STREAMING LLC		
3 8,929,442 1/6/2015 REALTIME ADAPTIVE STREAMING LLC			_C		
4 9,762,907	9/12/2017	7 REALTIME ADAPTIVE STREAMING LLC			
5					
	In the above—entitled case, the	he following	patent(s)/ trademark(s) have been included	:	
DATE INCLUDED	INCLUDED BY	nendment	☐ Answer ☐ Cross Bill	Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	ADEMARK	
1					
2					
3					
4					
5					
In the above	e-entitled case, the following	g decision ha	s been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(B	Y) DEPUTY	CLERK	DATE	

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Doc Code: PA..

Document Description: Power of Attorney

PTO/AIA/828 (07-13)
Approved for use through 01/31/2018, OMB 0651-0035
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

POWER OF ATTORNEY BY APPLICANT

	oy revoke all pr∈ xes below.	vious powers of attorney given	in the applicat	ion identified	in <u>either</u> the a	attached transmittal letter or
	Δ	pplication Number		Filing Date		
1	:				***************************************	
	(Note:	The boxes above may be left blan	k if information i	s provided on	form PTO/AIA/8	.i 32A.)
Ø	I hereby appoin to transact all b	t the Patent Practitioner(s) associa usiness in the United States Paten	ited with the folio	owing Custom COffice conne	er Number as m	y/our attorney(s) or agent(s), an
300000000000000000000000000000000000000	or attached tra	nsmittal letter (form PTO/AIA/82A)	or identified abo	ove: 15114	15	
	all business in t	t Practitioner(s) named in the attac he United States Patent and Trade nittal letter (form PTO/AIA/82A) or i	mark Office con	nected therev	vith for the paten	nt application referenced in the
Pleas letter	e recognize or or the boxes a	change the correspondence	address for ti	ne applicati	on identified i	n the attached transmittal
	The address as	sociated with the above-mentioned	l Customer Num	ber		
	The address as	sociated with Customer Number:				
	OR Firm or			***************************************		
	Individual Name					
Address	3					
City			State			Zip
Country	~~~~				·····	
Telepho	ne		Ema	ail L		
I am the	Applicant (if the	Applicant is a juristic entity, list the	Applicant name	in the box)		
Rea	altime A	daptive Streami	ng LLC			
		t Inventor (title not required below)				
	Legal Represen	tative of a Deceased or Legally Inc	capacitated Inve	ntor (title not	equired below)	
		son to Whom the Inventor is Unde				e if applicant is a juristic entity)
	Person Who Oth	nerwise Shows Sufficient Proprieta concurrently being filed with this d	ry Interest (e.g.,	a petition und	der 37 CFR 1.46	i(b)(2) was granted in the
			JRE of Applica			
The	undersigned (who	se title is supplied below) is authorize	ed to act on beha	If of the applic	ant (e.g., where th	he applicant is a juristic entity).
Signa	***************************************			Date	(Optional)	10/25/17
Name	6	<u> </u>	<u> Carpin</u>			
Title		Managing Mea	<u> </u>			
and o	E: Signature - Thi certifications. If mo	s form must be signed by the applicate than one applicant, use multiple for	ant in accordance orms.	with 37 CFR	1.33. See 37 CFF	R 1.4 for signature requirements
Total	i of	former and authorities of				

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

*Total of

PTO/AIA/82A (07-13)
Approved for use through 01/31/2018. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application. Application Number 14/033,245 09-20-2013 Filing Date James J. Fallon First Named Inventor Title Systems and Methods for Video and Audio data Storage and Distribution. 2634 Art Unit BOCURE, TESFALDET **Examiner Name** 0013.0050007 Attorney Docket Number **SIGNATURE of Applicant or Patent Practitioner** Signature Date (Optional) /Michael Messinger/ Name Registration Michael V. Messinger 37.575 Number Title (if Applicant is a juristic entity) Applicant Name (if Applicant is a juristic entity) NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

forms are submitted.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Ack	knowledgement Receipt
EFS ID:	31160429
Application Number:	14033245
International Application Number:	
Confirmation Number:	9902
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.
First Named Inventor/Applicant Name:	James J. Fallon
Customer Number:	26111
Filer:	Michael V. Messinger/Casey Berger
Filer Authorized By:	Michael V. Messinger
Attorney Docket Number:	2855.0050007
Receipt Date:	07-DEC-2017
Filing Date:	20-SEP-2013
Time Stamp:	15:15:21
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted wi	th Payment	no	no						
File Listing:									
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
			292048						
1	Power of Attorney	00130050007_POA.pdf	2df44941f9ea272ca66e837ab5578ae7050c d1da	no	2				
Warnings:	•			•					

The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing

Information:

Total Files Size (in bytes)	292048
-----------------------------	--------

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: N572



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. BOX 1450 Alexandra, Vurginia 22313-1450 www.uspio.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/033 245	09/20/2013	lames I Fallon	2855 0050007

26111 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. CONFIRMATION NO. 9902

OC000000096079603

WASHINGTON, DC 20005

CC: 151145

SHAMI MESSINGER PLLC 1000 POTOMAC STREET NW FIFTH FLOOR WASHINGTON, DC 20007

Mail Date: 12/13/2017

DENIAL OF REQUEST FOR POWER OF ATTORNEY

The request for Power of Attorney filed on 12-07-2017 is acknowledged. However, the request cannot grant

at this time for the reason stated below.

The Power of Attorney you provided did not comply with the new Power of Attorney rules that became effective on June 25, 2004. See 37 CFR 1.32.

The revocation is not signed by the applicant, the assignee of the entire interest, or one particular principal attorney having the authority to revoke.

The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(c) has not been received.

The person signing for the assignee has omitted their empowerment to sign on behalf of the assignee.

The inventor(s) is without authority to appoint attorneys since the assignee has intervened as provided by 37 CFR 3.71.

The person(s) appointed in the Power of Attorney is not registered to practice before the U.S. Patent and Trademark Office.

Only one Customer Number can be designated for the Power of Attorney in an application. The Customer Number that was captured is the first Customer Number provided on the Power of Attorney document.

Doc Code: N572



571-272-4200

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

	A request under 37 CFR 1.48 to add an inventor was granted in this application, however, no power of attorney consistent with the power of attorney granted by the originally named inventive entity has been received. Thus, the addition of the inventor has resulted in the loss of power of attorney in the application. See 37 CFR 1.32(e).
zí	The power of attorney has not been accepted because the party who is giving power of attorney has not been identified. Power of attorney may only be signed by the applicant for patent (37 CFR 1.42) or the patent owner. A patent owner who was not the applicant must appoint any power of attorney in compliance with 37 CFR 3.71 and 3.73. See 37 CFR 1.32(b)(4).
	The power of attorney from the inventors has not been accepted because it is a copy from a prior national application for which benefit is claimed and the continuing application names an inventor who was not named as an inventor in the prior application.
	The power of attorney from the inventors has not been accepted because the power of attorney must be signed by the applicant for patent. See 37 CFR 1.32(b)(4).
z	Any request to correct or update the name of the applicant must include an application data sheet (ADS) in compliance with 37 CFR 1.76 specifying the correct or updated name of the applicant information section. Any request to change the applicant after an original applicant has been specified under 37 CFR 1.46(b) must include a new ADS in compliance with 37 CFR 1.76 specifying the applicant in the applicant information section and comply with 37 CFR 3.71 and 3.73. See 37 CFR 1.46(c).
	y inquiries regarding this notice should be directed to the Application Assistance Unit at 571-272-4200.
MP	olication Assistance Unit



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/033,245 09/20/2013 James J. Fallon 2855.0050007

CONFIRMATION NO. 9902 MISCELLANEOUS NOTICE

26111 STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005



Date Mailed: 12/14/2017

A communication which cannot be delivered in electronic form has been mailed to the applicant.

Doc Code: PA..

Document Description: Power of Attorney

PTO/AIA/828 (07-13)
Approved for use through 01/31/2018, OMB 0651-0035
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

POWER OF ATTORNEY BY APPLICANT

	oy revoke all pr∈ xes below.	vious powers of attorney given	in the applicat	ion identified	in <u>either</u> the a	attached transmittal letter or
	Δ	pplication Number		Filing Date		
1	:				***************************************	
	(Note:	The boxes above may be left blan	k if information i	s provided on	form PTO/AIA/8	.i 32A.)
Ø	I hereby appoin to transact all b	t the Patent Practitioner(s) associa usiness in the United States Paten	ited with the folio	owing Custom COffice conne	er Number as m	y/our attorney(s) or agent(s), an
300000000000000000000000000000000000000	or attached tra	nsmittal letter (form PTO/AIA/82A)	or identified abo	ove: 15114	15	
	all business in t	t Practitioner(s) named in the attac he United States Patent and Trade nittal letter (form PTO/AIA/82A) or i	mark Office con	nected therev	vith for the paten	nt application referenced in the
Pleas letter	e recognize or or the boxes a	change the correspondence	address for ti	ne applicati	on identified i	n the attached transmittal
	The address as	sociated with the above-mentioned	l Customer Num	ber		
	The address as	sociated with Customer Number:				
	OR Firm or			***************************************		
	Individual Name					
Address	3					
City			State			Zip
Country	~~~~				·····	
Telepho	ne		Ema	ail L		
I am the	Applicant (if the	Applicant is a juristic entity, list the	Applicant name	in the box)		
Rea	altime A	daptive Streami	ng LLC			
		t Inventor (title not required below)				
	Legal Represen	tative of a Deceased or Legally Inc	capacitated Inve	ntor (title not	equired below)	
		son to Whom the Inventor is Unde				e if applicant is a juristic entity)
	Person Who Oth	nerwise Shows Sufficient Proprieta concurrently being filed with this d	ry Interest (e.g.,	a petition und	der 37 CFR 1.46	i(b)(2) was granted in the
			JRE of Applica			
The	undersigned (who	se title is supplied below) is authorize	ed to act on beha	lf of the applic	ant (e.g., where th	he applicant is a juristic entity).
Signa	***************************************			Date	(Optional)	10/25/17
Name	6	<u> </u>	<u> Carpin</u>			
Title		Managing Mea	<u> </u>			
and o	E: Signature - Thi certifications. If mo	s form must be signed by the applicate than one applicant, use multiple for	ant in accordance orms.	with 37 CFR	1.33. See 37 CFF	R 1.4 for signature requirements
Total	i of	former and authorities of				

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

*Total of

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE REGISTERED PRACTITIONERS

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application. **Application Number** 14/033,245 09-20-2013 Filing Date James J. Fallon First Named Inventor Title Systems and Methods for Video and Audio data Storage and Distribution. 2634 Art Unit BOCURE, TESFALDET **Examiner Name** 0013.0050007 Attorney Docket Number **SIGNATURE of Applicant or Patent Practitioner** Signature Date (Optional) /Michael Messinger/ Name Registration Michael V. Messinger 37.575 Number Title (if Applicant is a juristic entity) Applicant Name (if Applicant is a juristic entity) NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

forms are submitted.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Do	ata Sheet 37 CFR 1.76	Attorney Docket Number	2855.8959007 <u>0013.0050007</u>					
Application De	ita Sileet S/ GFN 1.70	Application Number						
Title of Invention	Fitle of Invention Systems and Methods for Video and Audio data Storage and Distribution							
bibliographic data arrar This document may be	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.							
Secrecy Order 37 CFR 5.2:								
Portions or all of 37 CFR 5.2 (F	of the application associated wit Paper filers only. Applications	th this Application Data Sheet that fall under Secrecy Orde	may fall under a Secrecy Order pursuant to er may not be filed electronically.)					

Inventor Information:

,											
Invent	tor	1							R	emove	
Legal	Name	!									
Prefix	Give	en Name			Middle Name	······		Family	Name		Suffix
	Jam	es			J.			FALLON	 J		<u> </u>
Resid	ience	Information	(Select One)	®	US Residency	0	Non US Re	sidency	O Activ	e US Military Service	} }
City	Arm	onk		St	ate/Province	NY	Count	ry of Res	idence	US	
		·····			***************************************					-	
Mailing	Addr	ess of Inven	tor:				vannananananananananananananananananana				
Addre	ss 1		11 Wampus	Clos	е						
Addre	ss 2										
City		Armonk					State/Pro	vince	NY		
Posta	l Cod	9	10504		Country			US			
Invent	tor	2							R	emaye	
Legal	Name	!									
Prefix	Give	en Name			Middle Name	······		Family	Name		Suffix
	Step	hen			J.			MCERL	 AIN		
Resid	ience	Information	(Select One)	®	US Residency	0	Non US Re	sidency	O Activ	e US Military Service	}
City	Asto	ria		St	ate/Province	NY	Count	ry of Res	idence	US	
ļ	4					4				}	
Mailing	Addı	ess of Inven	tor:								
Addre	ss 1		2454 37th St	reet	***************************************		***************************************	***************************************	nnanananananananan		
Addre	ss 2		#4F								
City		Astoria					State/Pro	vince	NY		
Posta	l Code	L	11103			Cou	antry i	US	.1		
All Inv	ventor	s Must Be L	Listed - Addit by selecting		al Inventor Info Add button.					Add	

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).

EFS Web 2.2.12

PTO/AIA/14 (11-15)

Approved for use through 04/30/2017. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76			Attorney Docket Number		2055.0050007-	0013.0050007		
			1.70	Application Number				
Title of Invention Systems and Methods for Video and Audio data Storage and Distribution								
☐ An Address is t	peing p	provided fo	r the co	rrespondence li	formation o	of this applicati	ion.	
Customer Number		20111	<u>15114</u> :	5				
Email Address						Adi	Email Remove Email	
Application In	form	ation:						
Title of the Inventio	រា	Systems a	nd Metho	ds for Video and Ai	idio data Stora	age and Distributi	on	
Attorney Docket Nu	ımber	2855 0050	<u>007</u> 001	3.0050007	Small Enti	ity Status Clain	ned [
Application Type		Nonprovisi	onal					
Subject Matter		Utility						
Total Number of Dr	awing	Sheets (if	any)	4	Suggeste	d Figure for Pu	ublication (if any)	
Filing By Refer	ence	3 ×					λ	
Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information"). For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).								
Application number of t filed application	· 			ite (YYYY-MM-DD)		Intellectual Property Authority or Country		
Publication In	form	ation:						
Request Early F	Publica	tion (Fee re	quired a	t time of Request	37 CFR 1.2	19)		
35 U.S.C. 122(t subject of an ap	Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.							
Representative Information: Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.								
Please Select One:		Custome	r Numbe	r O US Pate	nt Practitione	r C Limited	Recognition (37 CFR 11.9)	
Customer Number		20111 1	51145					

EFS Web 2.2.12

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid CMB control number.

Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number 2965.9959997		0013.0050007			
Application De	ita gileet of Of It 1.70	Application Number					
Title of Invention	e of Invention Systems and Methods for Video and Audio data Storage and Distribution						

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

Prior Applicati	on Status	Patented			Fie	move		
Application Number	Continuity Type		Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number	Issue Date (YYYY-MM-DD)		
	Continua	tion of	13154239	2011-06-06	8553759	2013-10-08		
Prior Applicati	on Status	Patented			Re	nove		
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number	Issue Date (YYYY-MM-DD)		
13154239	13154239 Continuation of		12123081	2008-05-19	8073047	2011-12-06		
Prior Applicati	on Status	Patented		Remove				
Application Number	Cont	inuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number	Issue Date (YYYY-MM-DD)		
12123081	Continua	lion of	10076013	2002-02-13	7386046	2008-06-10		
Prior Applicati	on Status	Expired		Remove				
Application Number		Continuity Type		Prior Application Number		or 371(c) Date YY-MM-DD)		
10076013		Claims benefit of provisional		60268394	2001-02-13	2001-02-13		
Additional Damas	Additional Deposits Deposits Deposits Deposits and Other Date was the appropriate within this form							

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)

Additional Foreign Priority Data may be generated within this form by selecting the Add button.

PTO/AIA/14 (11-15)

Approved for use through 04/30/2017. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	2956,0050007	0013.0050007
Application be	ita Jileet 37 OFN 1.70	Application Number		
Title of Invention	Systems and Methods for Video and Audio data Storage and Distribution			

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition **Applications**

١	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
١	contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
١	16, 2013.
١	NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
	16, 2013, will be examined under the first inventor to file provisions of the AIA.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	2255,0050007	0013.0050007
		Application Number		
Title of Invention	Systems and Methods for Video and Audio data Storage and Distribution			

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is <u>ONLY</u> reviewed and processed with the <u>INITIAL</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. Priority Document Exchange (PDX) Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2.	Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)
	A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
	B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.
	TE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the olication in accordance with 37 CFR 1.14.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	2255,0050007	0013.0050007	
	Application Data Sheet 37 CFK 1.76		Application Number		
	Title of Invention	Systems and Methods for Video and Audio data Storage and Distribution			

Applicant Information:

Providing assignment informatio to have an assignment recorded		for compliance with any i	requirement of part 3 of Title 37 of CFR			
Applicant 1						
The information to be provided in 1.43; or the name and address of who otherwise shows sufficient prapplicant under 37 CFR 1.46 (ass	this section is the name and address the assignee, person to whom the in roprietary interest in the matter who is signee, person to whom the inventor	s of the legal representat oventor is under an obliga s the applicant under 37 is obligated to assign, or	this section should not be completed. ive who is the applicant under 37 CFR ation to assign the invention, or person CFR 1.46. If the applicant is an eperson who otherwise shows sufficient rs who are also the applicant should be			
Assignee	C Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor			
Person to whom the inventor is	s obligated to assign.	Person who sho	ws sufficient proprietary interest			
If applicant is the legal represe	entative, indicate the authority to	file the patent applicat	ion, the inventor is:			
Name of the Deceased or Leg	gally Incapacitated Inventor:					
If the Applicant is an Organiz	ation check here.					
Organization Name	time Date LLC Realtime Adap	otive Streaming LLC				
Mailing Address Informatio	on For Applicant:					
Address 1	14 Wampus Clase 1828 ESE	LOOP 323				
Address 2						
City +	Armonic Tyler	State/Province	N Y <u>TX</u>			
Country US Postal Code 10504 75701						
Phone Number Fax Number						
Email Address	Email Address					
Additional Applicant Data may	be generated within this form by	selecting the Add but	ton.			

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	2055.0050007	0013.0050007	
		Application Number			
Title of Invention Systems and Methods for Vid		eo and Audio data Storage and	Distribution		

Assignee 1 Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication. If the Assignee or Non-Applicant Assignee is an Organization check here. X Organization Name Realtime Adaptive Streaming LLC Mailing Address Information For Assignee including Non-Applicant Assignee: Address 1 1828 ESE LOOP 323 Address 2 TX City State/Province Tyler 75701 Country i Postal Code US Phone Number Fax Number Email Address Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.

Signature:

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the <u>INITIAL</u> filing of the application <u>and</u> either box A or B is <u>not</u> checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).

This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of <u>all</u> joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

Signature	May	Ato	>	Date (YYYY-MM-DD)	2017-12-22
First Name	Michael	Last Name	Messinger	Registration Number	37575
Additional Circuit many by according within this form by adjusting the Add by the					

Additional Signature may be generated within this form by selecting the Add button.

Approved for use through 04/30/2017. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	2 955.0050007	0013.0050007
Application pe	ita gileet 57 Ol IV 1.70	Application Number		
Title of Invention	Systems and Methods for Video and Audio data Storage and Distribution			

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m)
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

EFS Web 2.2.12

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)				
Applicant/Patent Owner: Realtime Data, LLC				
Application No./Patent No.: 8,934,535	Filed/Issue Date: 01-13-2015			
Titled: Systems and Methods for Video and Aud				
Realtime Adaptive Streaming LLC, a Li	mited Liability Company			
(Name of Assignee) (Type	be of Assignee, e.g., corporation, partnership, university, government agency, etc.)			
states that, for the patent application/patent identified abo	ve, it is (choose one of options 1, 2, 3 or 4 below):			
1.				
2. An assignee of less than the entire right, title, and	,			
The extent (by percentage) of its ownership intended holding the balance of the interest must be submit	erest is%. Additional Statement(s) by the owners tted to account for 100% of the ownership interest.			
There are unspecified percentages of ownersh right, title and interest are:	ip. The other parties, including inventors, who together own the entire			
ngii, tito aid interest are.				
Additional Statement(s) by the owner(s) holding right, title, and interest.	g the balance of the interest <u>must be submitted</u> to account for the entire			
	ty (a complete assignment from one of the joint inventors was made).			
The other parties, including inventors, who together own	the balance of the interest must be submitted to account for the entire			
4. The recipient, via a court proceeding or the like (e complete transfer of ownership interest was made). The	g., bankruptcy, probate), of an undivided interest in the entirety (a certified document(s) showing the transfer is attached.			
The interest identified in option 1, 2 or 3 above (not option	4) is evidenced by either (choose one of options A or B below):			
	application/patent identified above. The assignment was recorded in Reel, Frame, or for which a copy			
B. A chain of title from the inventor(s), of the patent a	application/patent identified above, to the current assignee as follows:			
1. From: FALLON, JAMES F. et al.	To: Realtime Data, LLC			
Reel <u>031267</u> , Frame <u>0357</u>	ed States Patent and Trademark Office at, or for which a copy thereof is attached To: REALTIME ADAPTIVE STREAMING LLC			
	ed States Patent and Trademark Office at, or for which a copy thereof is attached.			

[Page 1 of 2]
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)					
3. From:			To:		
	The documer	it was recorded in the	United States Patent and Trade	emark Office at	
	Reel	, Frame	, or for which a copy th	nereof is attached.	
4. From:			To:		
	The documer	t was recorded in the	United States Patent and Trad	emark Office at	
	Reel	, Frame	, or for which a copy th	nereof is attached.	
5. From:			To:		
	The documer	t was recorded in the	United States Patent and Trad	emark Office at	
	Reel	, Frame	, or for which a copy th	nereof is attached.	
6. From:			To:		
	The documer	t was recorded in the	United States Patent and Trad	emark Office at	
	Reel	, Frame	, or for which a copy th	nereof is attached.	
	Additional documents	in the chain of title are	e listed on a supplemental shee	et(s).	
			mentary evidence of the chain tted for recordation pursuant to	of title from the original owner to the 37 CFR 3.11.	
				ent(s)) must be submitted to Assignment ecords of the USPTO. See MPEP 302.08]	
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.					
/Michae	/Michael Messinger/ December 20, 2017			December 20, 2017	
Signature					
Micha	Michael V. Messinger 37,575				
Printed or Typed Name Title or Registration Number			Title or Registration Number		

[Page 2 of 2]

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt				
EFS ID:	31323591			
Application Number:	14033245			
International Application Number:				
Confirmation Number:	9902			
Title of Invention:	Systems and Methods for Video and Audio data Storage and Distribution.			
First Named Inventor/Applicant Name:	James J. Fallon			
Customer Number:	26111			
Filer:	Michael V. Messinger/Casey Berger			
Filer Authorized By:	Michael V. Messinger			
Attorney Docket Number:	2855.0050007			
Receipt Date:	22-DEC-2017			
Filing Date:	20-SEP-2013			
Time Stamp:	15:54:54			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted wi	th Payment	no	no			
File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
			292048			
1	Power of Attorney	00130050007_POA.pdf	2df44941f9ea272ca66e837ab5578ae7050c d1da	no	2	
Warnings:	•			•		

The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing							
Information:							
			143732				
2	Application Data Sheet	00130050007_ADS.pdf	ae977a514af8dc52e47ea92b7e8b531c192 bc318	no	9		
Warnings:	-						
Information	:						
This is not an U	JSPTO supplied ADS fillable form						
			119951				
3	Assignee showing of ownership per 37 CFR 3.73	00130050007_373.pdf	4fa4a60b562f96f9d1041291a2ced6172862 b1c6	no	3		
Warnings:							
Information:							
		Total Files Size (in bytes):	55	55731			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

14/033,245 09/20/2013 James J. Fallon

2855.0050007 **CONFIRMATION NO. 9902**

26111 **POWER OF ATTORNEY NOTICE** STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C. 1100 NEW YORK AVENUE, N.W.

WASHINGTON, DC 20005

OCO00000963344430

Date Mailed: 12/29/2017

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/22/2017.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/ggasgedom/



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 14/033,245 09/20/2013 James J. Fallon 0013.0050007

151145 Shami Messinger PLLC 1000 Potomac Street NW Fifth Floor Washington, DC 20007

CONFIRMATION NO. 9902 POA ACCEPTANCE LETTER

OC00000096334473

Date Mailed: 12/29/2017

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/22/2017.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/ggasgedom/		
-------------	--	--

page 1 of 1

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Complian filed in the U.S. Dis		15 U.S.C. § 1116 you are hereby advised that Colorado District Court	t a court action has been on the following
	Patents. (the patent act		
DOCKET NO. 17cv2097	DATE FILED 8/31/2017	U.S. DISTRICT COURT Colorado Di	strict Court
PLAINTIFF		DEFENDANT	
Realtime Data LLC		Sling TV	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATEN	T OR TRADEMARK
1 8,275,897			
2 8,867,610			
3 8,934,535			
4			
5			
D . TD D101 I DDD		e following patent(s)/ trademark(s) have been	included:
DATE INCLUDED	INCLUDED BY	endment Answer Cross E	Bill
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATEN	T OR TRADEMARK
1			
2			
3			
4			
5			
In the abo	ove—entitled case, the following	decision has been rendered or judgement issu	ued:
DECISION/JUDGEMENT	, 3	J &	
CLERK			

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Complian	nce with 35 U.S.C. § 290 and/or 1.	5 U.S.C. §	1116 you are hereby advised that a court	action has been
filed in the U.S. District Court Eastern District of Texas, Tyler Division on the following				
☐ Trademarks or	Patents. (the patent action	on involve	es 35 U.S.C. § 292.):	
DOCKET NO. 6:17cv549	DATE FILED 9/27/2017	U.S. DI	STRICT COURT Eastern District of Texas, T	yler Division
PLAINTIFF	•	•	DEFENDANT	
REALTIME ADAPTIVE	STREAMING LLC		AMAZON.COM, INC. et al	
PATENT OR	DATE OF PATENT	I		
TRADEMARK NO.	OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
1 8,934,535	1/13/2015	REA	LTIME ADAPTIVE STREAMING L	LC
2 9,769,477	9/19/2017	REA	LTIME ADAPTIVE STREAMING L	LC
3 8,929,442	1/6/2015	REA	LTIME ADAPTIVE STREAMING L	LC
4				
5				
		-		
	In the above—entitled case, the	following	patent(s)/ trademark(s) have been include	d:
DATE INCLUDED	INCLUDED BY			
		ndment	Answer Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
1				
2				
3				
4				
5				
	ove—entitled case, the following of	decision h	as been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK	I/RV)	DEPUTY	'CI ERK	DATE
CLEAN	(61)	ווטושע	CLEAN	
				1

Case 1:17-cv-01520-JFB-SRF Document 3 Filed 10/26/17 Page 1 of 1 PageID #: 355

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Ale	xanuria, VA 22313-1430		I KADEWIAKK	
In Compli	· · · · · · · · · · · · · · · · · · ·		\$ 1116 you are hereby advised that a court action has been District of Delaware on the following	
☐ Trademarks or	Patents. (the patent action	n involve	s 35 U.S.C. § 292.):	
DOCKET NO.	DATE FILED 10/26/2017	U.S. DI	STRICT COURT for the District of Delaware	
PLAINTIFF	10/20/2017		DEFENDANT	
REALTIME ADAPTIV	E STREAMING LLC		HAIVISION NETWORK VIDEO INC., HAIVISION NETWORK VIDEO CORP., AND HAIVISION KB, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 8,934,535	1/13/2015	REA	ALTIME ADAPTIVE STREAMING LLC	
2 9,769,477	9/19/2017	REA	ALTIME ADAPTIVE STREAMING LLC	
3 8,929,442	1/6/2015	REA	ALTIME ADAPTIVE STREAMING LLC	
4 9,762,907	9/12/2017	REA	ALTIME ADAPTIVE STREAMING LLC	
5 7,386,046	6/10/2008	REALTIME ADAPTIVE STREAMING LLC		
		following	patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY ☐ Amer	ndment	☐ Answer ☐ Cross Bill ☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1				
2				
3				
4				
5				
In the al	bove—entitled case, the following d	ecision h	as been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK	(BY)	DEPUTY	CLERK DATE	

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexa	andria, VA 22313-1430		IKADEMAKK
In Complian			1116 you are hereby advised that a court action has been ict of Texas, Tyler Division on the following
☐ Trademarks or	Patents. (the patent acti	on involve	s 35 U.S.C. § 292.):
DOCKET NO. 6:17cv567	DATE FILED 10/10/2017	U.S. DI	STRICT COURT Eastern District of Texas, Tyler Division
PLAINTIFF	10/10/2017		DEFENDANT
REALTIME ADAPTIVE	STREAMING LLC		ECHOSTAR TECHNOLOGIES L.L.C., DISH NETWORK L.L.C., AND ARRIS GROUP, INC.,
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,867,610	10/21/2014	REA	LTIME ADAPTIVE STREAMING LLC
2 8,934,535	1/13/2015	REA	LTIME ADAPTIVE STREAMING LLC
3			
4			
5			
	In the above—entitled case, the	following	patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY		• • • • • • • • • • • • • • • • • • • •
D. TINIT OR		endment	Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1			
2			
3			
4			
5			
In the abo	ove—entitled case, the following	decision ha	as been rendered or judgement issued:
DECISION/JUDGEMENT			
CLERK	(BY)) DEPUTY	CLERK DATE

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	·			
In Complian	ce with 35 U.S.C. § 290 and/or 15	5 U.S.C. §	§ 1116 you are hereby advised that a court	action has been
filed in the U.S. District Court Eastern District of Texas, Tyler Division on the following				
☐ Trademarks or	Patents. (the patent action	on involve	es 35 U.S.C. § 292.):	
DOCKET NO. 6:17-cv-491	DATE FILED 10/18/2017	U.S. DI	STRICT COURT Eastern District of Texas, T	vler Division
PLAINTIFF			DEFENDANT	
REALTIME ADAPTIVE	STREAMING LLC		CISCO SYSTEMS, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
1 8,934,535	1/13/2015	BE A	ALTIME ADAPTIVE STREAMING L	1.C
2 9,769,477	9/19/2017		ALTIME ADAPTIVE STREAMING L	
		<u> </u>		
3 8,929,442	1/6/2015	REA	ALTIME ADAPTIVE STREAMING L	LC
4 9,762,907	9/12/2017	REA	ALTIME ADAPTIVE STREAMING L	LC
5				
	In the above—entitled case, the	following	patent(s)/ trademark(s) have been include	d:
DATE INCLUDED	INCLUDED BY	ndment	☐ Answer ☐ Cross Bill	Other Pleading
PATENT OR	DATE OF PATENT	T	HOLDER OF PATENT OR T	
TRADEMARK NO.	OR TRADEMARK		HOLDER OF FATENT OR I	KADLWAKK
1				
2				
3				
4				
5				
In the abo	ve—entitled case, the following d	lecision h	as been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK	(RV)	DEBLIE	CLERK	DATE
CLLICIA	(1)	<i>D</i> 11 011	CLEANX	
İ	i i			1

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexa	maria, VA 22313-1430		IKADEMAKK
In Complian filed in the U.S. Dis			1116 you are hereby advised that a court action has been District of California on the following
☐ Trademarks or	Patents. (the patent action	on involve	s 35 U.S.C. § 292.):
DOCKET NO. 2:17-cv-07611	DATE FILED 10/17/2017	U.S. DIS	STRICT COURT Central District of California
PLAINTIFF	10/11/2017		DEFENDANT
REALTIME ADAPTIVE	STREAMING LLC		HULU, LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
1 8,934,535	1/13/2015	Real	time Data, LLC
2 9,769,477	9/19/2017	Real	time Adaptive Streaming LLC
3 9,762,907	9/12/2017	Real	time Adaptive Streaming LLC
4			
5			
		following	patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY	endment	☐ Answer ☐ Cross Bill ☐ Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	$\overline{}$	HOLDER OF PATENT OR TRADEMARK
1		†	
2		†	
3		†	
4		†	
5		†	
	ve—entitled case, the following of	decision ha	s been rendered or judgement issued:
DECISION/JUDGEMENT			
CLERK	(BY)) DEPUTY	CLERK DATE

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		IKADEM	AIII
In Complia filed in the U.S. D			1116 you are hereby advised that a cour ict of Texas, Tyler Division	t action has been on the following
☐ Trademarks or	Patents. (the patent a	ction involve	s 35 U.S.C. § 292.):	
DOCKET NO. 6:17cv567	DATE FILED 10/10/2017	U.S. DI	STRICT COURT Eastern District of Texas,	Tyler Division
PLAINTIFF	•	•	DEFENDANT	•
REALTIME ADAPTIVE	E STREAMING LLC		ECHOSTAR TECHNOLOGIES L.L.C., AND ARRIS GROUP, IN	L.L.C., DISH NETWORK IC.,
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
1 8,867,610	10/21/2014	REA	LTIME ADAPTIVE STREAMING	LLC
2 8,934,535	1/13/2015	REA	LTIME ADAPTIVE STREAMING	LLC
3				
4				
5				
	In the above—entitled case, t	he following	patent(s)/ trademark(s) have been includ-	ed:
DATE INCLUDED	INCLUDED BY □ A	mendment	☐ Answer ☐ Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
1				
2				
3				
4				
5				
In the ab	ove—entitled case, the following	ng decision ha	as been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK	(E	BY) DEPUTY	CLERK	DATE

Case 1:17-cv-01692-JFB-SRF Document 3 Filed 11/21/17 Page 1 of 2 PageID #: 310

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450		TRADEMARK		
filed in the U.S. Dist		for the I	District of Delaware on the following 35 U.S.C. § 292.):	
DOCKET NO.	DATE FILED		TRICT COURT	
17cv1692	11/21/2017		for the District of Delaware	
PLAINTIFF REALTIME ADAPTIVE	STREAMING LLC		DEFENDANT NETFLIX, INC. and NETFLIX STREAMING SERVICES, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 7,386,046	6/10/2008	Realt	Realtime Adaptive Streaming LLC	
2 8,634,462	1/21/2014	Realt	ime Adaptive Streaming LLC	
3 8,934,535	1/13/2015	Realt	ime Adaptive Streaming LLC	
4 9,578,298	2/21/2017	Realt	ime Adaptive Streaming LLC	
5 9,762,907	9/12/2017	Realt	Realtime Adaptive Streaming LLC	
DATE INCLUDED	INCLUDED BY	ne following p	patent(s)/ trademark(s) have been included: G Answer G Cross Bill G Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1				
2				
3				
4				
5				
In the abov	re—entitled case, the following	g decision has	been rendered or judgement issued:	
DECISION/JUDGEMENT				
CLERK	(B	Y) DEPUTY	CLERK DATE	
	,			

AO 120 FORM Report on the Filing and Determination of an Action Regarding a Patent or Trademark Attachment – Additional Patents

PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK
TRADEMARK NO.	OR TRADEMARK	
9,769,477	09/19/2017	RealTime Adaptive Streaming LLC

Case 1:17-cv-01693-JFB-SRF Document 3 Filed 11/21/17 Page 1 of 2 PageID #: 418

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexa	muria, VA 22313-1430	IKADEWIAKK	
In Compliand filed in the U.S. Dis	*	5 U.S.C. § 1116 you are hereby advised that a court action has been for the District of Delaware on the following	
☐ Trademarks or ☐	Patents. (the patent action	on involves 35 U.S.C. § 292.):	
DOCKET NO. 17cv1693	DATE FILED 11/21/2017	U.S. DISTRICT COURT for the District of Delaware	
PLAINTIFF REALTIME ADAPTIVE	STREAMING LLC	DEFENDANT SONY ELECTRONICS INC., and SONY CORPORATION	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1 7,386,046	6/10/2008	RealTime Adaptive Streaming LLC	
2 8,634,462	1/21/2014	RealTime Adaptive Streaming LLC	
3 8,929,442	1/6/2015	RealTime Adaptive Streaming LLC	
4 8,934,535	1/13/2015	RealTime Adaptive Streaming LLC	
5 9,578,298	2/21/2017	RealTime Adaptive Streaming LLC	
	In the above—entitled case, the f	following patent(s)/ trademark(s) have been included:	
DATE INCLUDED	INCLUDED BY	ndment	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
2			
3			
4			
5			
In the abo	ve—entitled case, the following de	ecision has been rendered or judgement issued:	
DECISION/JUDGEMENT			
CLERK	(BY)	DEPUTY CLERK DATE	
	,		

AO 120 FORM Report on the Filing and Determination of an Action Regarding a Patent or Trademark Attachment – Additional Patents

PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR TRADEMARK
TRADEMARK NO.	OR TRADEMARK	
9,762,907	09/12/2017	RealTime Adaptive Streaming LLC
9,769,477	09/19/2017	RealTime Adaptive Streaming LLC