

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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KINGSTON TECHNOLOGY COMPANY, INC.,  
Petitioner,

v.

SPEX TECHNOLOGIES, INC.,  
Patent Owner.

Patent No. 6,088,802  
Filing Date: June 4, 1997  
Issue Date: July 11, 2000  
Title: PERIPHERAL DEVICE WITH  
INTEGRATED SECURITY FUNCTIONALITY

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**SPEX TECHNOLOGIES, INC.'S  
MANDATORY NOTICES**

Case No. IPR2018-01003

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Pursuant to 37 C.F.R. § 42.8(a)(2), Patent Owner, SPEX Technologies, Inc., hereby files its Mandatory Notices. This notice is timely filed within 21 days of the purported date of service of the petition, May 2, 2018.

**I. 37 C.F.R § 42.8(b)(1) – REAL PARTY-IN-INTEREST**

The patent owner and real party-in-interest is SPEX Technologies, Inc.

**II. 37 C.F.R § 42.8(b)(2) – RELATED MATTERS**

Pursuant to 37 C.F.R § 42.8(b)(2), Patent Owner identifies the following related matters involving U.S. Patent No. 6,088,802 (the “’802 Patent”):

The ’802 Patent is the subject of IPR Nos. IPR2017-00430, IPR2017-00824, IPR2018-00082, and IPR2018-01067.

U.S. Patent No. 6,033,135 (the “’135 Patent”) to Bialick, et al., entitled “Modular Security Device” issued from a commonly-owned patent application (U.S. Patent Appl. No. 08/869,120) filed on the same day as the ’802 patent application (U.S. Patent Appl. No. 08/869,305, with the same inventive entity as the ’305 application, which was identified as related in the ’305 application, and the disclosure of the ’120 application was incorporated by reference in the ’305 application). The ’135 Patent is the subject of IPR Nos. IPR2017-00824, IPR2017-01021, IPR2018-00084, IPR2018-01002, and IPR2018-01068.

The ’802 Patent and ’135 Patent are the subject of the following district court cases:

- *SPEX Technologies, Inc. v. Western Digital Corporation, et al.*, No. 8:16-cv-01799 (C.D. Cal. Filed Sept. 28, 2016);
- *SPEX Technologies, Inc. v. Kingston Technology Company Inc., et al.*, No. 8:16-cv-01790 (C.D. Cal. Filed Sept. 27, 2016);
- *SPEX Technologies, Inc. v. Toshiba America Electronics Components Inc., et al.*, No. 8:16-cv-01800 (C.D. Cal. Filed Sept. 28, 2016); *SPEX Technologies, Inc. v. CMS Products, Inc.*, No. 8:16-cv-01801 (C.D. Cal. Filed Sept. 28, 2016);
- *SPEX Technologies, Inc. v. Integral Memory, PLC*, No. 8:16-cv-01805 (C.D. Cal. Filed Sept. 28, 2016); and
- *SPEX Technologies, Inc. v. Apricorn*, No. 2:16-cv-07349 (C.D. Cal. Filed Sept. 28, 2016).

### **III. 37 C.F.R § 42.8(b)(3) – DISCLOSURE OF COUNSEL**

Pursuant to 37 C.F.R § 42.8(b)(3), Patent Owner identifies lead and back-up counsel as follows:

<b>Lead Counsel</b>	<b>Back-up Counsel</b>
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#### **IV. 37 C.F.R § 42.8(b)(4) – CORRESPONDENCE**

Pursuant to 37 C.F.R § 42.8(b)(4), Patent Owner requests that all electronic correspondence be directed to lead counsel and back-up counsel identified in Section III above. Patent Owner also consents to electronic service at the following e-mail addresses: vrubino@brownrudnick.com;

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<sup>1</sup> Alfred Fabricant is identified as a back-up counsel subject to the grant of a motion to appear *pro hac vice* to be filed upon the Board's authorization.

afabricant@brownrudnick.com; plambrianakos@brownrudnick.com; and  
eiturralde@brownrudnick.com.

Dated: May 23, 2018

By: /Peter Lambrianakos /  
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