

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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NICHIA CORPORATION,

Petitioner,

v.

DOCUMENT SECURITY SYSTEMS, INC.,

Patent Owner.

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Case IPR2018-00966

Patent 7,652,297

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**MANDATORY NOTICES BY PATENT OWNER  
DOCUMENT SECURITY SYSTEMS, INC. UNDER 37 C.F.R. § 42.8(a)(2)  
AND DESIGNATION OF LEAD AND BACK-UP COUNSEL**

The Patent Owner Document Security Systems, Inc. submits the following mandatory notices and designation of counsel pursuant to 37 C.F.R. § 42.8(a)(2).

**1. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))**

Patent owner Document Security Systems, Inc. is the sole Patent Owner and Real Party-In-Interest.

**2. Related Matters (37 C.F.R. § 42.8(b)(2))**

The following judicial matters, in which the '297 Patent is at issue, may affect or be affected by a decision in this proceeding:

- *Document Security Systems, Inc. v. Nichia Corporation, et al.*, Case No. 2:17-cv-08849 (C.D. Cal.); and
- *Document Security Systems, Inc. v. OSRAM GmbH, et al.*, Case No. 2:17-cv-05184 (C.D. Cal.)

**3. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))**

Pursuant to 37 C.F.R. §§ 42.8(b)(3) and 42.10(a), Patent Owner provides the following designation of counsel:

Lead Counsel:

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Pursuant to 37 C.F.R. § 42.10(b), Power of Attorney for lead and back-up counsel are being filed concurrently with these Mandatory Notices.

**4. Service Information (37 C.F.R. § 42.8(b)(4))**

Service of any documents via mail or hand-delivery may be made at the postal mailing address for lead counsel designated above, with electronic service to [whelge@dbjg.com](mailto:whelge@dbjg.com), [jwilson@dbjg.com](mailto:jwilson@dbjg.com), and [anoto@dbjg.com](mailto:anoto@dbjg.com). Counsel consents to electronic service in this case. Telephone and facsimile numbers for lead counsel are designated above.

Case IPR2018-00966  
Patent 7,652,297

Dated: May 14, 2018

Respectfully submitted,

By: /s/ Wayne M. Helge  
Registration No. 56,905  
Lead Counsel for Patent Owner

## CERTIFICATE OF SERVICE

I hereby certify that on May 14, 2018, a true and correct copy of the foregoing *Mandatory Notices by Patent Owner Document Security Systems, Inc. under 37 C.F.R. § 42.8(a)(2) and Designation of Lead and Backup Counsel* was served via email, by consent, to Petitioner by serving the correspondence email addresses of record as follows:

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